| INFORMATION DISCLOSURE | Application Number | 16202940 |
| :--- | :--- | :--- |
|  | Filing Date | $2018-11-28$ |
|  | First Named Inventor | Meyer et al. |
|  | Art Unit |  |
| STATEMENT BY APPLICANT | Examiner Name | BASS, DIRK R |
|  | Attorney Docket Number | C00008774USO1CON(MED-2908 |


| U.S. PATENTS |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Examiner Initial | Cite No. | Patent Number | Kind Code | Issue Date | Name of Patentee or Applicant of cited Document | Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear |
|  | 1 | 6814724 |  | 2004-11-09 | Taylor |  |
|  | 2 | 6814724B2 |  | 2004-11-09 | Taylor |  |
|  | 3 | 6818196 |  | 2004-11-16 | Wong |  |
|  | 4 | 6818196B2 |  | 2004-11-16 | Wong |  |
|  | 5 | 6824524 |  | 2004-11-30 | Olivier Favre |  |
|  | 6 | 6861266 |  | 2005-03-01 | Jan Sternby |  |
|  | 7 | 6861266B1 |  | 2005-03-01 | Sternby |  |
|  | 8 | 6878283 |  | 2005-04-12 | Thompson |  |
|  | 9 | 6878283B2 |  | 2005-04-12 | Thompson |  |
|  | 10 | 6960179 |  | 2005-11-01 | Gura |  |
|  | 11 | 6960179B2 |  | 2005-11-01 | Gura |  |
|  | 12 | 7023359 |  | 2006-04-04 | Goetz |  |
|  | 13 | 7033498 |  | 2006-04-25 | Wong |  |
|  | 14 | 7033498B2 |  | 2006-04-25 | Wong |  |
|  | 15 | 7074332 |  | 2006-07-11 | Summerton |  |
|  | 16 | 7077819 |  | 2006-07-18 | Goldau |  |
|  | 17 | 7097630 |  | 2006-08-29 | Frank A. Gotch |  |
|  | 18 | 7101519 |  | 2006-09-05 | Raymond J. Wong |  |
|  | 19 | 7101519 B 2 |  | 2006-09-05 | Wong |  |
|  | 20 | 7153693 |  | 2006-12-26 | Tajiri |  |
|  | 21 | 7169303 |  | 2007-01-30 | Sullivan |  |
|  | 22 | 7208092 |  | 2007-04-24 | Micheli |  |
|  | 23 | 7208092 B 2 |  | 2007-04-24 | Micheli |  |
|  | 24 | 7241272 |  | 2007-07-10 | Karoor |  |
|  | 25 | 7241272 B 2 |  | 2007-07-10 | Karoor et al |  |
|  | 26 | 7276042 |  | 2007-10-02 | Polaschegg |  |
|  | 27 | 7276042B2 |  | 2007-10-02 | Polaschegg et al |  |
|  | 28 | 7279031 |  | 2007-10-09 | David W. Wright |  |
|  | 29 | 7318892 |  | 2008-01-15 | Mark E. Connell |  |
|  | 30 | 7326576 |  | 2008-02-05 | Prescient Medical Inc. |  |
|  | 31 | 7326576B2 |  | 2008-02-05 | Womble et al |  |
|  | 32 | 7435342 |  | 2008-10-14 | Takuji Tsukamoto |  |
|  | 33 | 7435342B2 |  | 2008-10-14 | Tsukamoto |  |
|  | 34 | 7488447 |  | 2009-02-10 | Jan Peter Sternby |  |
|  | 35 | 7488447B2 |  | 2009-02-10 | Sternby |  |
|  | 36 | 7500958 |  | 2009-03-10 | Asbrink |  |
|  | 37 | 7537688 |  | 2009-05-26 | Masatoshi Tarumi |  |
|  | 38 | 7537688 B 2 |  | 2009-05-26 | Tarumi et al |  |
|  | 39 | 7544300 |  | 2009-06-09 | James M. Brugger |  |
|  | 40 | 7544300B2 |  | 2009-06-09 | Brugger et al |  |
|  | 41 | 7544737 |  | 2009-06-09 | Mitchell J. Poss |  |
|  | 42 | 7544737B2 |  | 2009-06-09 | Poss et al |  |
|  | 43 | 7563240 |  | 2009-07-21 | Malte Gross |  |
|  | 44 | 7563240B2 |  | 2009-07-21 | Gross et al |  |
|  | 45 | 7566432 |  | 2009-07-28 | Wong |  |
|  | 46 | 7575564 |  | 2009-08-18 | Childers |  |
|  | 47 | 7597806 |  | 2009-10-06 | Uchi |  |
|  | 48 | 7597806B2 |  | 2009-10-06 | Uchi et al |  |
|  | 49 | 7674231 |  | 2010-03-09 | MCCOMBIE |  |
|  | 50 | 7704361 |  | 2010-04-27 | Garde |  |

Find authenticated court documents without watermarks at docketalarm.com.

| INFORMATION DISCLOSURE | Application Number | 16202940 |
| :--- | :--- | :--- |
|  | Filing Date | $2018-11-28$ |
|  | First Named Inventor | Meyer et al. |
|  | Art Unit |  |
| STATEMENT BY APPLICANT | Examiner Name | BASS, DIRK R |
|  | Attorney Docket Number | C00008774USO1CON(MED-2908 |


U.S. PATENT APPLICATION PUBLICATIONS

Examiner Initial

Find authenticated court documents without watermarks at docketalarm.com.

|  |  |  |  | Application Number | 16202940 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| INFORMATI | ION DIS | OSU | JRE | Filing Date | 2018-11-28 |
|  |  |  |  | First Named Inventor | Meyer et al. |
|  |  |  |  | Art Unit |  |
| STATEMEN | NT BY A | LICA | NT | Examiner Name | BASS, DIRK R |
| $\begin{aligned} & \text { Cite } \\ & \text { Co. } \\ & \text { No. } \end{aligned}$ | Publication Number | $\begin{aligned} & \text { Kind } \\ & \text { Code } \end{aligned}$ | $\begin{array}{\|l\|} \text { Publica } \\ \text { Date } \end{array}$ | tiftorn Nveqeqidatiffeer <br> pplicant of cited |  <br> Relevant Passages or Relevan |


|  |  |  |  | FOREIGN PATENT DOCUMENTS |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- | :--- | :---: |
| Examiner <br> Initial | Cite <br> No. | Foreign Doc. <br> Number | Country <br> Code | Kind <br> Code | Publicaiton <br> Date | Name of Patentee or <br> Applicant of cited <br> Document | Pages, Columns, Lines <br> where Relevant Passages or <br> Relevant Figures Appear |  |

NON-PATENT LITERATURE DOCUMENTS

| Examiner <br> Initial | Cite <br> No.. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the <br> item(book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), <br> publisher, city and/or country where published. | T |
| :--- | :--- | :--- | :--- |



| INFORMATION DISCLOSURE | Application Number | 16202940 |
| :--- | :--- | :--- |
|  | Filing Date | $2018-11-28$ |
|  | First Named Inventor | Meyer et al. |
|  | Art Unit |  |
| STATEMENT BY APPLICANT | Examiner Name | BASS, DIRK R |
|  | Attorney Docket Number | C00008774USO1CON(MED-2908 |

## CERTIFICATION STATEMENT

| CERTIFICATION STATEMENT |  |
| :--- | :--- |
|  | That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a <br> counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1). |
|  | OR |
|  | That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a <br> counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information <br> contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing <br> of the information disclosure statement. See 37 CFR 1.97(e)(2). |


|  | See attached certification statement. |
| :--- | :--- |
| X | A certification statement is not submitted herewith. |

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

| SIGNATURE |  |  |  |  |  |  |  | Date (YYYY-MM-DD) | $2019-02-07$ |
| :--- | :---: | :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Signature | /Roger C. Hahn/ | Registration Number | 46,376 |  |  |  |  |  |  |
| Name/Print | Roger C. Hahn |  |  |  |  |  |  |  |  |


| Application Number | 16202940 |
| :--- | :--- |
| Filing Date | $2018-11-28$ |
| First Named Inventor | Meyer et al. |
| Art Unit |  |
| Examiner Name | BASS, DIRK R |
| Attorney Docket Number | C00008774US01CON(MED-2908 |

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act ( 5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14 , as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.
