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NOTICE OF ALLOWANCE AND FEE(S) DUE

PATTERSON THUENTE PEDERSEN, P.A. 4800 IDS CENTER 80 SOUTH 8TH STREET MINNEAPOLIS, MN 55402-2100 EXAMINER

WILLIAMS, CATHERINE SERKE

ART UNIT PAPER NUMBER

3993

DATE MAILED: 05/11/2016

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/195,435	03/03/2014	Howard C. Root	2005.86USREI6	9278

TITLE OF INVENTION: COAXIAL GUIDE CATHETER FOR INTERVENTIONAL CARDIOLOGY PROCEDURES

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	08/11/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.



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Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents

P.O. Box 1450 Alexandria, Virginia 22313-1450

or <u>Fax</u> (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own partificate of mailing a transmission.

4800 IDS CENT 80 SOUTH 8TH				Certi	of mailing or transmission. ificate of Mailing or Trans s Fee(s) Transmittal is bein th sufficient postage for fir Stop ISSUE FEE address O (571) 273-2885, on the d	emission g deposited with the United st class mail in an envelope above, or being facsimile ate indicated below. (Depositor's name) (Signature) (Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	Ι	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/195,435 TITLE OF INVENTION	03/03/2014 I: COAXIAL GUIDE CA	ATHETER FOR INTERV	Howard C. Root 2005.86USREI6 ENTIONAL CARDIOLOGY PROCEDURES			9278
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nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	08/11/2016
EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
WILLIAMS, CAT	THERINE SERKE	3993	604-164010	_		
"Fee Address" ind PTO/SB/47; Rev 03- Number is required. 3. ASSIGNEE NAME A	ND RESIDENCE DATA less an assignee is ident h in 37 CFR 3.11. Comp	" Indication form ed. Use of a Customer A TO BE PRINTED ON	(1) The names of up to or agents OR, alternative (2) The name of a singregistered attorney or a 2 registered patent atto listed, no name will be THE PATENT (print or type data will appear on the ptr a substitute for filing an (B) RESIDENCE: (CITY)	vely, e firm (having as a gent) and the name rneys or agents. If n printed. be) atent. If an assigne assignment.	member a sof up to o name is 3e is identified below, the definition of the solution of t	ocument has been filed for
Please check the appropri	riate assignee category or	categories (will not be p	rinted on the patent): \Box	Individual 🗖 Cor	poration or other private gr	oup entity 🚨 Government
4a. The following fee(s) are submitted: ☐ Issue Fee ☐ Publication Fee (No small entity discount permitted) ☐ Advance Order - # of Copies		 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) A check is enclosed. Payment by credit card. Form PTO-2038 is attached. The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number (enclose an extra copy of this form). 				
5. Change in Entity Status (from status indicated above) Applicant certifying micro entity status. See 37 CFR 1.29 Applicant asserting small entity status. See 37 CFR 1.27 Applicant changing to regular undiscounted fee status.		NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.				
NOTE: This form must b	be signed in accordance v	vith 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for signs	nture requirements a	nd certifications.	
Authorized Signature				Date		





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Determination of Patent Term Extension or Adjustment under 35 U.S.C. 154 (b)

A reissue patent is for "the unexpired part of the term of the original patent." See 35 U.S.C. 251. Accordingly, the above-identified reissue application is not eligible for Patent Term Extension or Adjustment under 35 U.S.C. 154(b).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.



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The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issuad patent.



Notice of Allowability

Application No. 14/195,435	Applicant(s) ROOT ET AL.	
Examiner CATHERINE S. WILLIAMS	Art Unit 3993	AIA (First Inventor to File) Status No

The MAILING DATE of this communication appears on the All claims being allowable, PROSECUTION ON THE MERITS IS (OR REM herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other a NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. To the Office or upon petition by the applicant. See 37 CFR 1.313 and MPE	IAINS) CLOSED in this application. If not included appropriate communication will be mailed in due course. THIS his application is subject to withdrawal from issue at the initiative				
 ☑ This communication is responsive to <u>ADS filed 4/1/16</u>. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on 					
☐ An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.					
3. The allowed claim(s) is/are <u>25-55</u> . As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov .					
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.	C. § 119(a)-(d) or (f).				
Certified copies:					
a) ☐ All b) ☐ Some *c) ☐ None of the:					
 Certified copies of the priority documents have been rec 	eived.				
2. Certified copies of the priority documents have been rec					
Copies of the certified copies of the priority documents h	nave been received in this national stage application from the				
International Bureau (PCT Rule 17.2(a)).					
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this connoted below. Failure to timely comply will result in ABANDONMENT of the THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.					
5. CORRECTED DRAWINGS (as "replacement sheets") must be subm	itted.				
including changes required by the attached Examiner's Amendr Paper No./Mail Date	nent / Comment or in the Office action of				
Identifying indicia such as the application number (see 37 CFR 1.84(c)) sho each sheet. Replacement sheet(s) should be labeled as such in the header					
 DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGIC attached Examiner's comment regarding REQUIREMENT FOR THE D 					
AM					
Attachment(s) 1. ☐ Notice of References Cited (PTO-892)	5. Examiner's Amendment/Comment				
2. Information Disclosure Statements (PTO/SB/08),	6. Examiner's Statement of Reasons for Allowance				
Paper No./Mail Date	7 🗖 04-24				
 Examiner's Comment Regarding Requirement for Deposit of Biological Material 	7. Other				
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date					



U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

DOCKET

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