

AO 120 (Rev. 08/10)

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| TO: <b>Mail Stop 8</b><br><b>Director of the U.S. Patent and Trademark Office</b><br><b>P.O. Box 1450</b><br><b>Alexandria, VA 22313-1450</b> | <b>REPORT ON THE</b><br><b>FILING OR DETERMINATION OF AN</b><br><b>ACTION REGARDING A PATENT OR</b><br><b>TRADEMARK</b> |
|---|---|

In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court District of Delaware on the following

Trademarks or  Patents. (  the patent action involves 35 U.S.C. § 292.):

|   |                             |  |
|---|-----------------------------|--|
| DOCKET NO.  | DATE FILED<br>4/10/2017     | U.S. DISTRICT COURT<br>District of Delaware          |
| PLAINTIFF<br>Bristol-Myers Squibb Company and Pfizer Inc. |                             | DEFENDANT<br>Wockhardt Bio AG and Wockhardt USA, LLC |
| PATENT OR TRADEMARK NO.                                   | DATE OF PATENT OR TRADEMARK | HOLDER OF PATENT OR TRADEMARK                        |
| 1 US 9,326,945 B2   | 5/3/2016                    | Bristol-Myers Squibb Company and Pfizer Inc.         |
| 2   |                             |  |
| 3   |                             |  |
| 4   |                             |  |
| 5   |                             |  |

In the above—entitled case, the following patent(s)/ trademark(s) have been included:

|                         |   |                               |
|-------------------------|---|-------------------------------|
| DATE INCLUDED           | INCLUDED BY<br><input type="checkbox"/> Amendment <input type="checkbox"/> Answer <input type="checkbox"/> Cross Bill <input type="checkbox"/> Other Pleading |                               |
| PATENT OR TRADEMARK NO. | DATE OF PATENT OR TRADEMARK   | HOLDER OF PATENT OR TRADEMARK |
| 1                       |   |                               |
| 2                       |   |                               |
| 3                       |   |                               |
| 4                       |   |                               |
| 5                       |   |                               |

In the above—entitled case, the following decision has been rendered or judgement issued:

|                    |
|--------------------|
| DECISION/JUDGEMENT |
|--------------------|

|       |                   |      |
|-------|-------------------|------|
| CLERK | (BY) DEPUTY CLERK | DATE |
|-------|-------------------|------|