

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/984,416	11/08/2004	Darin G. Schaeffer	12730-25 (PA-5447-RF5)	3477
	7590 11/21/2014		EXAMINER	
BGL/Cook - Chicago PO BOX 10395 CHICAGO, IL 60610			LOUIS, RICHARD G	
omerico, in	00010		ART UNIT	PAPER NUMBER
			3734	
			MAIL DATE	DELIVERY MODE
			11/21/2014	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



### UNITED STATES PATENT AND TRADEMARK OFFICE

### BEFORE THE PATENT TRIAL AND APPEAL BOARD

Ex parte DARIN G. SCHAEFFER

Appeal 2012-009282 Application 10/984,416 Technology Center 3700

Before DONALD E. ADAMS, JEFFREY N. FREDMAN, and CHRISTOPHER G. PAULRAJ, *Administrative Patent Judges*.

PAULRAJ, Administrative Patent Judge.

### **DECISION ON APPEAL**

This is an appeal<sup>1</sup> under 35 U.S.C. § 134 involving claims to a stent graft system. The Examiner rejected the claims for obviousness. We have jurisdiction under 35 U.S.C. § 6(b). We affirm-in-part.

### STATEMENT OF THE CASE

Background

The Specification "relates to a branch vessel stent for use in connection with a fenestrated stent graft device for placement in a vessel of a body" (Spec. ¶ 16). The Specification describes the use of "[p]ositional indicators 43, such as radiopaque markers, [that] may be attached to or

<sup>&</sup>lt;sup>1</sup> Appellant identifies the Real Party in Interest as Cook Incorporated (see App. Br. 1).



Application 10/984,416

integral with the stent and/or graft material, and may be placed at positions on the branch vessel prosthesis 11 to indicate the proximal end 30, the flaring portion 36 and/or the distal end 32" (id., ¶ 75). "Preferably, a positional marker 43 is placed so as to indicate that portion of the branch vessel prosthesis 11 that generally aligns with the fenestration 7" (id.).

The Claims

Claims 1–4, 6, 7, 10, 11, 13, 15–21, 24, and 25 are under appeal. Independent claim 1 is representative, and reads as follows:

1. A stent graft system for intraluminal deployment in an aorta and a branch vessel comprising:

an aorta stent graft with a fenestration configured to be aligned with a branch vessel;

a branch vessel prosthesis having proximal and distal ends, and further having a flaring portion and a tubular portion, wherein, when deployed, the flaring portion is retained within the aorta stent graft, and the tubular portion is configured to extend through the fenestration and into the branch vessel; and

a first positional indicator positioned between the tubular portion and the flaring portion of the branch vessel prosthesis that aligns with the fenestration during deployment,

wherein the branch vessel prosthesis comprises an undeployed state prior to flaring of the flaring portion, and further comprises a deployed state in which the flaring portion is flared outward relative to the tubular portion,

wherein the first positional indicator indicates a bending portion of the branch vessel prosthesis that comprises one of a "V" shaped-segment, a "W" shaped-segment, and an "S" shaped-segment in at least the undeployed state.



### The Issues

The Examiner rejected the claims as follows:

- I. Claim 25 under 35 U.S.C. § 112, ¶ 1 for failing to comply with the written description requirement.
- II. Claim 25 under 35 U.S.C. § 112, ¶ 1 for failing to comply with the enablement requirement.
- III. Claims 1–4, 6, 7, 21, and 24 under 35 U.S.C. § 103(a) as being unpatentable over the combination of Vardi '429,<sup>2</sup> Thompson,<sup>3</sup> and Frantzen.<sup>4</sup>
- IV. Claims 10 and 11 under 35 U.S.C. § 103(a) as being unpatentable over the combination of Vardi '429, Thompson, Frantzen, and Burney.<sup>5</sup>
- V. Claims 13 and 15–20 under 35 U.S.C. § 103(a) as being unpatentable over the combination of Vardi '429, Thompson, Frantzen, and Vardi '483.6

Appellant does not present any arguments for the rejections of claim 25 under § 112, ¶ 1. We therefore summarily affirm those rejections and will not discuss them further.

<sup>&</sup>lt;sup>6</sup> Vardi et al., US 6,692,483 B2, issued Feb. 17, 2004.



<sup>&</sup>lt;sup>2</sup> Vardi et al., US 6,210,429 B1, issued Apr. 3, 2001.

<sup>&</sup>lt;sup>3</sup> Thompson et al., US 2004/0254627 A1, published Dec. 16, 2004.

<sup>&</sup>lt;sup>4</sup> Frantzen, US 6,293,966 B1, issued Sep. 25, 2001. The Examiner entered a new ground of rejection for claim 21 based on the same combination of Vardi '429, Thompson, and Frantzen (Ans. 4). In accordance with 37 C.F.R. § 41.39(b)(2), Appellant has chosen to maintain the appeal as to that rejection by addressing it in the Reply Brief (Reply Br. 6).

<sup>&</sup>lt;sup>5</sup> Burney et al., US 6,056,700, issued May 2, 2000.

### **ANALYSIS**

With respect to the obviousness rejections, the Examiner finds that

Vardi '429 discloses positional indicators located at a variety of locations including at the proximal and distal ends and surrounding the fenestration on the aorta stent 12, and at the proximal and distal ends of the tubular portion of the branch vessel as well as on the flaring portion 18. (See Col. 6 Line 61-Col. 7 Line 4, Col. 7, Lines 28-38, and Col. 8, Lines 48-54).

(Ans. 6). The Examiner also relies upon Figure 5 of Vardi '429 as "showing two rows of positional indicators 56 seemingly adjacent to one another," and asserts that "it appears from the disclosure one of these two rows of indicators 56 is disposed on the proximal end of the tubular member while the other is disposed on the flared portion" (*id.* at 7). The Examiner acknowledges that "Vardi '429 fails to disclose positional indicators disposed between the tubular portion and the flaring portion of the branch vessel prosthesis that align with the fenestration during deployment," but relies upon Thompson's teaching of

a related prosthesis comprising positional indicators 40 disposed between a tubular portion 22 and a flaring portion 24 of a prosthesis wherein the indicators 40 align with a fenestration during deployment. The flaring portion 24 is considered to comprise the outer portion of the cantilever member 32 since this is the portion that flares outwardly away from the stent body. Said indicators 40 allow a physician to precisely determine the position of the portion



# DOCKET

# Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

### API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### **LAW FIRMS**

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### **FINANCIAL INSTITUTIONS**

Litigation and bankruptcy checks for companies and debtors.

### **E-DISCOVERY AND LEGAL VENDORS**

Sync your system to PACER to automate legal marketing.

