Exhibit 5

UNITED STATES INTERNATIONAL TRADE COMMISSION Washington, D.C.

In the Matter of

CERTAIN TOBACCO HEATING ARTICLES AND COMPONENTS THEREOF

Investigation No. 337-TA-1199

LIMITED EXCLUSION ORDER

The United States International Trade Commission ("Commission") has determined that there is a violation of section 337 of the Tariff Act of 1930, as amended, 19 U.S.C. § 1337, in the unlawful importation, sale for importation, or sale within the United States after importation by Altria Client Services LLC; Philip Morris USA, Inc.; and Philip Morris Products S.A. (collectively, "Respondents") of certain tobacco heating articles and components thereof that infringe claims 1-3 and 5 of U.S. Patent No. 9,930,915 ("the '915 patent") and claims 27-30 of U.S. Patent No. 9,901,123 ("the '123 patent") (collectively, "the Asserted Patents").

Having reviewed the record in this investigation, including the written submissions of the parties, the Commission has made its determination on the issues of remedy, public interest, and bonding. The Commission has determined that the appropriate form of relief includes a limited exclusion order prohibiting the unlicensed entry of tobacco heating articles and components thereof manufactured abroad by or on behalf of, or imported by or on behalf of, Respondents or any of their affiliated companies, parents, subsidiaries, or other related business entities, or their successors or assigns.

The Commission has also determined that the public interest factors enumerated in 19 U.S.C. § 1337(d) do not preclude the issuance of the limited exclusion order, and that the



bond during the period of Presidential review shall be in the amount of zero (0) percent of the entered value of the covered articles (*i.e.*, no bond).

Accordingly, the Commission hereby **ORDERS** that:

- 1. Tobacco heating articles and components thereof that infringe one or more of claims 1-3 and 5 of the '915 patent or claims 27-30 of the '123 patent that are manufactured abroad by or on behalf of, or imported by or on behalf of, Respondents, or their affiliated companies, parents, subsidiaries, or other related business entities, or their successors or assigns, are excluded from entry for consumption into the United States, entry for consumption from a foreign trade zone, or withdrawal from a warehouse for consumption, for the remaining term of the patent, except under license of, or with the permission of, the patent owner or as provided by law.
- 2. The tobacco heating article and components therof that are subject to this Order ("covered articles") are as follows: electric tobacco heating device systems and the associated tobacco sticks sold for use with the device systems.
- 3. Notwithstanding paragraphs 1-2 of this Order, covered articles are entitled to entry into the United States for consumption, entry for consumption from a foreign-trade zone, or withdrawal from a warehouse for consumption under bond in the amount of zero (0) percent of the entered value of the products (*i.e.*, no bond), pursuant to subsection (j) of Section 337 (19 U.S.C. § 1337(j)) and the Presidential Memorandum for the United States Trade Representative of July 21, 2005 (70 Fed. Reg. 43,251), from the day after this Order is received by the United States Trade Representative until such time as the United States Trade



- Representative notifies the Commission that this Order is approved or disapproved but, in any event, not later than sixty (60) days after the date of receipt of this Order. All entries of covered articles made pursuant to this paragraph are to be reported to U.S. Customs and Border Protection ("CBP"), in advance of the date of the entry, pursuant to procedures CBP establishes.
- 4. At the discretion of CBP and pursuant to the procedures it establishes, persons seeking to import articles that are potentially subject to this Order may be required to certify that they are familiar with the terms of this Order, that they have made appropriate inquiry, and thereupon state that, to the best of their knowledge and belief, the products being imported are not excluded from entry under paragraphs 1-2 of this Order. At its discretion, CBP may require persons who have provided the certification described in this paragraph to furnish such records or analyses as are necessary to substantiate the certification.
- 5. In accordance with 19 U.S.C. § 1337(1), the provisions of this Order shall not apply to covered articles that are imported by and for the use of the United States, or imported for, and to be used for, the United States with the authorization or consent of the Government.
- 6. The Commission may modify this Order in accordance with the procedures described in section 210.76 of the Commission's Rules of Practice and Procedure (19 C.F.R. § 210.76).
- 7. The Secretary shall serve copies of this Order upon each party of record in this investigation and upon CBP.
- 8. Notice of this Order shall be published in the *Federal Register*.



By order of the Commission.

Lisa R. Barton

Secretary to the Commission

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