12-4547-cv

United States Court Of Appeals

for the

Second Circuit

THE AUTHORS GUILD, INC., et al.,

Plaintiffs-Appellants,

v.

HATHITRUST, et al.,

Defendants-Appellees,

NATIONAL FEDERATION OF THE BLIND, et al.,

Intervenor Defendants-Appellees.

(Full Caption and List of Amici Joining this Brief Provided on Inside Cover)

ON APPEAL FROM THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF NEW YORK

BRIEF OF AMICI CURIAE HIGHER EDUCATION ASSOCIATIONS IN SUPPORT OF APPELLEES HATHITRUST, ET AL., AND AFFIRMANCE

Ada Meloy General Counsel American Council on Education One DuPont Circle, NW Washington, DC 20036 (202) 939-9300

June 4, 2013

Bruce G. Joseph Karyn K. Ablin WILEY REIN LLP 1776 K St. NW Washington, DC 20006 (202) 719-7000

Counsel for Amici Curiae



THE AUTHORS GUILD, INC., THE AUSTRALIAN SOCIETY OF AUTHORS LIMITED, UNION DES ECRIVAINES ET DES ECRIVAINS QUEBECOIS, ANGELO LOUKAKIS, ROXANA ROBINSON, ANDRE ROY, JAMES SHAPIRO, DANIELE SIMPSON, T.J. STILES, FAY WELDON, THE AUTHORS LEAGUE FUND, INC., AUTHORS' LICENSING AND COLLECTING SOCIETY, SVERIGES FORFATTARFORBUND, NORSK FAGLITTERAER FORFATTERO OG OVERSETTERFORENING, THE WRITERS' UNION OF CANADA, PAT CUMMINGS, ERIK GRUNDSTROM, HELGE RONNING, JACK R. SALAMANCA,

Plaintiffs-Appellants,

v.

HATHITRUST, CORNELL UNIVERSITY, MARY SUE COLEMAN, President, University of Michigan, MARK G. YUDOF, President, The University of California, KEVIN REILLY, President, The University of Wisconsin System, MICHAEL MCROBBIE, President, Indiana University,

Defendants-Appellees,

NATIONAL FEDERATION OF THE BLIND, GEORGINA KLEEGE, BLAIR SEIDLITZ, COURTNEY WHEELER,

Intervenor Defendants-Appellees.

BRIEF OF AMICI CURIAE AMERICAN COUNCIL ON EDUCATION, ASSOCIATION OF AMERICAN UNIVERSITIES, ASSOCIATION OF PUBLIC AND LAND-GRANT UNIVERSITIES, AMERICAN ASSOCIATION OF STATE COLLEGES AND UNIVERSITIES, AMERICAN ASSOCIATION OF COMMUNITY COLLEGES, THE NATIONAL ASSOCIATION OF INDEPENDENT COLLEGES AND UNIVERSITIES, AND EDUCAUSE IN SUPPORT OF APPELLEES HATHITRUST, ET AL., AND AFFIRMANCE



CORPORATE DISCLOSURE STATEMENT

Pursuant to Rules 26.1 and 29(c) of the Federal Rules of Appellate

Procedure, *amici* American Council on Education, Association of American

Universities, Association of Public and Land-Grant Universities, American

Association of State Colleges and Universities, American Association of

Community Colleges, the National Association of Independent Colleges and

Universities, and EDUCAUSE each states that it is a non-profit association, with
no parent corporation, and no publicly held corporation owns 10 percent or more

of its stock.



TABLE OF CONTENTS

		<u>Page</u>		
COR	PORA	TE DISCLOSURE STATEMENT i		
TAB	LE OF	AUTHORITIES iv		
INTE	EREST	OF <i>AMICI</i>		
SUM	MARY	Y OF ARGUMENT2		
ARG	UMEN	NT 5		
I.	GOA UND AND	USE IS INTEGRAL TO COPYRIGHT'S PUBLIC INTEREST L OF PROMOTING THE PROGRESS OF SCIENCE – ERSTOOD TO MEAN LEARNING AND KNOWLEDGE – THE FAIR USE FACTORS MUST BE ANALYZED IN IT OF THIS PURPOSE		
	В.	Laws for the Public Purpose of Promoting Learning, Not for the Private Benefit of Authors		
	В.	Creating Significantly Circumscribed Rights		
	C.	Fair Use Is an Integral Part of Copyright Law, Essential To Fulfilling the Constitution's Purpose of Promoting Learning		
	D.	Fair Use Should Be Construed To Advance Copyright's Public Purposes		
II.	THE PURPOSE OF THE CHALLENGED USES HEAVILY FAVORS FAIR USE – EDUCATION, SCHOLARSHIP, AND RESEARCH ARE CORE PUBLIC INTERESTS THAT ARE SYNONYMOUS WITH THE PROMOTION OF KNOWLEDGE AND LEARNING			
	A.	The Copyright Act Expressly Favors the Challenged Uses 12		
	B.	The Public Has a Strong Interest in Fostering Higher Education, and the Educational Uses Challenged in this Case Confer		



	Fundamental Public Benefits Central to the Purpose of the Copyright Clause and the First Amendment				
	1.		Public's Vital Interest in Higher Education Is an erican Article of Faith.	13	
	2.	Esse	Right To Acquire Knowledge and Learning Is an ntial Right Protected by the First Amendment and, refore, by Fair Use.	19	
	3.	Thes	Challenged Uses Are Instrumental in Fulfilling se Core Public Values and Are Too Important To ect to Narrow, Limited Private Interests.	21	
		a.	The HDL Dramatically Expands Opportunities for Education, Research, and Scholarship by Enabling Scholars To Conduct Full-Text Searches and Text Analysis.	21	
		b.	The HDL Dramatically and Uniquely Expands Educational Opportunities for the Print Disabled, Promoting the Goals of the Copyright Clause and the Public Policy of the United States	23	
		c.	The HDL's Preservation Function Ensures that its Member Institutions Will Continue To Provide the Benefits Described Above and Safeguards the Future Progress of Science Against the Deterioration or Destruction of Books.	26	
C.	Plain	tiffs' A	Arguments Against Fair Use Are Misguided	28	
CONCLUS	SION	•••••		31	
CERTIFIC	ATE C	OF CO	MPLIANCE	32	
CERTIFIC	ATE C	F SEI	RVICE	33	



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

