EXHIBIT C

Case 6:22-cv-00697-ADA Document 43-4 Filed 09/05/23 Page 2 of 305

 Trials@uspto.gov
 Paper 7

 571-272-7822
 Date: 1/23/2023

UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD APPLE INC., Petitioner,

V.

SHENZHEN RFCYBER ASSET MANAGEMENT, LLP, Patent Owner.

IPR2022-01240 Patent 8,118,218 B2

Before JOSIAH C. COCKS, PATRICK R. SCANLON, and KEVIN W. CHERRY, *Administrative Patent Judges*.

 ${\tt SCANLON}, {\it Administrative Patent Judge}.$

DECISION
Denying Institution of *Inter Partes* Review 35 U.S.C. § 314



I. INTRODUCTION

Apple Inc. ("Petitioner") filed a Petition (Paper 1, "Pet.") requesting an *inter partes* review of claims 1–5, 12–14, 17, and 18 of U.S. Patent No. 8,118,218 B2 (Ex. 1001, "the '218 patent"). Shenzhen RFCyber Asset Management, LLP ("Patent Owner") filed a Preliminary Response (Paper 6, "Prelim. Resp.").

We have authority to determine whether to institute an *inter partes* review. *See* 35 U.S.C. § 314 (2018); 37 C.F.R. § 42.4(a) (2022). To institute an *inter partes* review, we must determine that the information presented in the Petition shows "a reasonable likelihood that the petitioner would prevail with respect to at least 1 of the claims challenged in the petition." 35 U.S.C. § 314(a). For the reasons set forth below, we determine that Petitioner has not demonstrated a reasonable likelihood that it would prevail with respect to at least one challenged claim. Accordingly, we do not institute an *inter partes* review of any challenged claim on any asserted ground.

II. BACKGROUND

A. Real Parties in Interest

Petitioner identifies itself as the real parties in interest. Pet. 70. Patent Owner identifies itself and RFCyber Corp. as the real parties in interest. Paper 5, 1.

B. Related Matters

The parties identify the following proceedings as related matters involving the '218 patent: *RFCyber Corp. v. Apple Inc.*, Case No. 6:21-cv-00916-ADA (W.D. Tex.) (the "District Court Case"); and *RFCyber Corp. v. Visa U.S.A. Inc.*, Case No. 6:22-cv-00697 (W.D. Tex.). Pet. 70; Paper 5, 1. Petitioner also identifies the following dismissed district-court proceedings

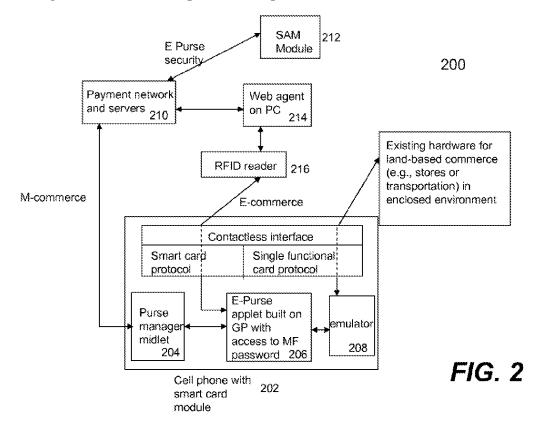


as related matters: *RFCyber Corp. v. Google LLC*, No. 2:20-cv-00274 (E.D. Tex.); *RFCyber Corp. v. LG Electronics, Inc.*, No. 2:20-cv-00336 (E.D. Tex.); and *RFCyber Corp. v. Samsung Electronics Co.*, 2:20-cv-00335 (E.D. Tex.). Pet. 70. Petitioner further identifies the following Board proceedings involving other petitioners and the '218 patent: IPR2021-00957 (terminated), and IPR2021-00979 (denied). Pet. 70.

C. The '218 Patent

The '218 patent, titled "Method and Apparatus for Providing Electronic Purse," issued February 21, 2012, with claims 1–18. Ex. 1001, codes (45), (54), 8:37–10:63. The '218 patent "is related to a mechanism provided to devices, especially portable devices, functioning as an electronic purse (e-purse) to be able to conduct transactions over an open network with a payment server without compromising security." *Id.* at 1:50–54.

Figure 2 of the '218 patent is reproduced below.





Case 6:22-cv-00697-ADA Document 43-4 Filed 09/05/23 Page 5 of 305 IPR2022-01240 Patent 8,118,218 B2

Figure 2 illustrates an exemplary architecture diagram 200 according to one embodiment. *Id.* at 2:63–64, 4:57–59. The architecture includes cellphone 202 embedded with a smart card module and including an RFID interface. *Id.* at 4:59–60, 64–66. The smart card module is pre-loaded with emulator 208 for storing values. *Id.* at 4:62–64.

Cellphone 202 includes purse manager midlet 204, which, for m-commerce, can act as an agent to facilitate communications between e-purse applet 206 and one or more payment network and servers 210 to conduct transactions. *Id.* at 5:5–9. Purse manager midlet 204 is also configured to provide administrative functions such as changing a PIN, viewing a purse balance and a history log. *Id.* at 5:16–18. A card issuer provides security authentication module ("SAM") 212 that is used to enable and authenticate transactions between a card and a payment server. *Id.* at 5:19–22.

For e-commerce, web agent 214 is responsible for interacting with RFID reader 216 and network server 210. *Id.* at 5:30–32. Web agent 214 sends commands or receives responses through RFID reader 216 to or from e-purse applet 206 residing in cellphone 202. *Id.* at 5:32–35. Web agent 214 also composes network requests (such as HTTP) and receives responses thereto from payment server 210. *Id.* at 5:35–37.

D. Challenged Claims

As noted above, Petitioner challenges claims 1–18 of the '218 patent. Claims 1 and 11 are independent. Claim 1 is illustrative of the claimed subject matter and is reproduced below:

1. A method for providing an e-purse, the method comprising: providing a portable device including or communicating with a smart card pre-loaded with an emulator configured to



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

