

# EXHIBIT 9

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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ROKU, INC. AND VIZIO, INC.,  
Petitioner,

v.

ANCORA TECHNOLOGIES, INC.,  
Patent Owner.

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U.S. Patent No. 6,411,941 B1

Case No.: IPR2021-01406

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**PATENT OWNER'S PRELIMINARY RESPONSE TO PETITION  
FOR *INTER PARTES* REVIEW UNDER 37 C.F.R. § 42.107**

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