

# Exhibit 3

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THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION of

Applicants	:	Miki MULLOR et al.	)	Customer No.
			)	*26694*
Appln. No.	:	09/164,777	)	26694
			)	PATENT TRADEMARK
Filed	:	October 1, 1998	)	OFFICE
			)	
For	:	METHOD OF RESTRICTING SOFTWARE OPERATION WITHIN A LICENSED LIMITATION	)	
			)	
Group Art Unit	:	2161	)	
Examiner	:	C. Hewitt	)	
Atty. Dkt.	:	39636-176166	)	

Assistant Commissioner for Patents  
Washington, D.C. 22031

AMENDMENT

Sir:

Responsive to the Office Action dated January 15, 2002, please amend the application as follows:

IN THE CLAIMS:

Please cancel claims 11, 12, 14 and 15 without prejudice to their re-entry at a later date.

Please amended the claims as follows:

C1 12/16. (Amended) The method of Claim 1, wherein a pseudo-unique key is stored in the non-volatile memory of the BIOS.

C2 18/20. (Amended) A method for accessing an application software program using a

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pseudo-unique key stored in a first non-erasable non-volatile memory area of a computer, the first non-volatile memory area being unable to be programmatically changed, the method, comprising:

loading the application software program residing in a non-volatile memory area of the computer;

extracting license information from the software program;

encrypting license information using the pseudo-unique key stored in the first non-volatile memory area;

storing the encrypting license information in a second erasable, writable, non-volatile memory area of the BIOS of the computer;

subsequently verifying the application software program based on the encrypted license information stored in the second erasable, writable, non-volatile memory area of the BIOS; and

acting on the application software program based on the verification.

#### REMARKS

Claims 1-10, 13 and 16-23 are now pending in this application. Each of the pending claims is believed to define an invention which is novel and unobvious over the cited references. Favorable reconsideration of this case is respectfully requested.

Claims 16 and 20 have been amended to correct the informalities noted by the Examiner. Claims 11, 12, 14 and 15 have been canceled. In view of these amendments, it is respectfully submitted that all pending claims are now in all aspects in compliance with 35 U.S.C. 112, second paragraph. Therefore, the withdrawal of this rejection is respectfully requested.

Amendment

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Claims 1-23 have been rejected under 35 U.S.C. 103(a) as being unpatentable over Misra et al. in view of U.S. Patent No. 5,684,951 to Goldman et al. and U.S. Patent No. 5,479,639 Ewertz et al.

The cited references do not render the present invention obvious as they do not teach or suggest, among other things, storing a verification structure, such as a software license information, in the BIOS of a computer as is recited in the present claims.

Misra et al. is cited as the primary reference against the present claims. Misra relates to a system and method for enforcing software licenses. The system of Misra generates unique identifiers for servers and clients, col 12, lines 41-42. The client system ID 142 is a unique identifier for the client computer, col 12, lines 50-51. The client system IDs can be based on information collected from a computer's hardware and installed software. For example, hard disk volume numbers, registered software, video cards, and some microprocessors contain unique identifiers. This information can be combined to uniquely identify a particular PC. Thus, the client system ID of Misra, is similar to the pseudo-unique key recited in claims 1 and 20.

Misra also describes a license ID, which is a unique identifier assigned to a software license when the software license is issued to a client device, col. 11, lines 9-12. The license ID may be a digital certificate indicating the right to use the particular software at issue, col. 10, lines 60-67. The license ID of Misra is similar to the verification structure and license information recited in claims 1 and 20, respectively.

Misra fails to teach using the BIOS of a computer to store the license ID, as noted in Section 7, Page 6 of the Office Action. Ewertz is cited as supplementing Misra to teach this feature. However, the license information described in Ewertz has a different meaning and a different function from the license information described in Misra. Therefore, a combination of these references would not result in the claimed invention, as is discussed in detail below.

## Amendment

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In Ewertz, a “software license number” is described as one type of identification information, col. 3, lines 20-22. This identification information may also include an Ethernet address or system serial numbers, col 3, lines 20-22. The identification information is a unique identification value stored in a non-writable, non-erasable area of the BIOS during manufacture. The identification information uniquely identifies a particular computer. Therefore, according to Ewertz a “software license number” is one of a type of static data structures identifying a specific computer and the static data structure is stored such that it cannot be modified. Accordingly, the software license number of Ewertz is simply identification for the operating system of a particular computer.

For example, col. 2, lines 47-49 of Ewertz disclose that the memory storing the identification information may be electronically locked to prevent erasure or modification of its contents once installed. Moreover, in teaching a preferred embodiment, col. 11, line 23 - col. 12, line 14 of Ewertz describe that several types of identification information must be retained for individual computer systems. One type of identification number, as mentioned above, is an Ethernet address. The Ethernet address is stored in a protected area 306 in static page 2 of the flash memory of Ewertz and cannot be erased or altered once the device is installed. Thus the identification number cannot be destroyed. Ewertz also teaches other computer system identification numbers, such as unique serial number, printed board assembly (PBA) numbers or operating system license numbers may be stored in the locked memory.

Consequently, Ewertz teaches storing identification information for the computer in a non-writable, non-erasable non-volatile memory. This identification information of Ewertz corresponds to the pseudo-unique key stored in the first non-erasable, non-volatile memory as recited in claims 1 and 20 and does not correspond to the license information recited in these claims. The identification information of Ewertz is a static data structure, like the system ID of

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