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December 30, 2004

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: Trademark Trial and Appeal Board

Re:

McGraw-Edison Company v. Mule Lighting, Inc.

Cancellation No. 92,042,545

U.S. Registration No. 2,324,402 - Mark: LEDISON

Dear Sir:

Enclosed for filing in the referenced action are the following documents:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment, and a redacted copy of the memorandum to protect information that was designated by Registrant as "confidential";
- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including Exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;



Ostrager Chong Flaherty & Broitman P.C.

Box TTAB NO FEE Commissioner for Trademarks December 30, 2004 Page 2 of 2

- (7) Declaration of Joshua S. Broitman, including exhibits, and a redacted copy of the Declaration, with Exhibit 1 redacted and Exhibits 13-23 removed to protect information that was designated by Registrant as "confidential";
- (8) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., with exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV485974814; and
 - (10) Return Receipt Postcard.

All of the foregoing documents were served by first-class mail today on Registrant's counsel.

Respectfully submitted,

Joshua S. Broitman

Enclosure

cc: Charles F. O'Brien, Esq. (Registrant's counsel)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		X	
McGRAW-EDISON COMPANY,		:	
		:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v .		:	
		:	
MULE LIGHTING, INC	·•••	:	
		:	
	Registrant.	:	
		X	

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

<u>December 30, 2004</u>

Date

Roberto L. Gomez

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gome

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		Y	
McGRAW-EDISON COMPANY,		:	
	Petitioner,	:	
	ŕ	:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, INC	Z.,	:	
		:	
	Registrant.	; v	
		A	

PETITIONER'S MOTION FOR SUMMARY JUDGMENT

Petitioner, by and through its counsel, hereby moves for summary judgment pursuant to Fed R. Civ. P. 56 canceling United States Trademark Registration No. 2,324,402 for the mark LEDISON as applied to "light emitting diodes and light emitting diode displays", i.e., LED light bulbs.

Petitioner is the owner of the publicity and all commercial rights associated with the name, likeness and signature of the famous inventor, Thomas A. Edison, including the trademarks EDISON, THOMAS A. EDISON, and McGRAW-EDISON as applied to a wide variety of consumer and electronic products, including lighting products (the "EDISON Trademarks"). The EDISON Trademarks have been widely and continuously used by Petitioner and its predecessors since the early 1900's.

¹ On December 1, 2004, McGraw-Edison Company was merged into Cooper Industries, Inc. Concurrently with this motion, Petitioner is filing a motion to substitute Cooper Industries, Inc. as the Petitioner in this action.

The present motion is made on grounds that Registrant's LEDISON mark so resembles Petitioner's previously used and registered EDISON Trademarks as to be likely, when applied to Registrant's LED light bulbs, to cause confusion, or to cause mistake or to deceive, or to falsely suggest a connection with Petitioner and the EDISON Trademarks, or to dilute the distinctiveness of the EDISON Trademarks.

This action is ripe for summary judgment. The discovery period has ended, and Petitioner's testimony period is set to begin January 1, 2005. The presentation of more evidence than is already available in connection with this motion could not reasonably be expected to change the conclusion that Petitioner is entitled to cancellation of United States Trademark Registration No. 2,324,402.

Petitioner's motion is supported by the following:

- (1) Petitioner's Memorandum of Law in Support of Motion for Summary Judgment;
- (2) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc.;
- (3) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company;
 - (4) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc.;
 - (5) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries
 - (6) Declaration of Joshua S. Broitman; and
 - (7) The pleadings herein.

Dated: December 30, 2004

Respectfully submitted,

McGRAW-EDISON COMPANY

By its attorneys,

Alenn F. Ostrager

Joshua S. Broitman

OSTRAGER CHONG FLAHERTY &

BROITMAN P.C.

250 Park Avenue, Suite 825

New York, NY 10177-0899

(212) 681-0600

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing MOTION FOR SUMMARY JUDGEMENT was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Robert L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

MEMORANDUM OF LAW IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT

Glenn F. Ostrager Joshua S. Broitman OSTRAGER CHONG FLAHERTY & BROITMAN P.C. 250 Park Avenue, Suite 825 New York, NY 10177-0899

Attorneys for Petitioner

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		***	
McGRAW-EDISON COMPANY,		:	
	Petitioner,	:	
v.		:	Cancellation No. 92,042,545
MULE LIGHTING, INC	,	: :	
	Registrant.	:	
	8	X	

MEMORANDUM OF LAW IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT

Preliminary Statement

Petitioner McGraw-Edison Company ("McGraw-Edison"), a wholly-owned subsidiary of Cooper Industries, Inc. ("Cooper")¹, is the successor to the publicity and commercial rights associated with the name, likeness, and signature of the famous inventor Thomas A. Edison (the "EDISON Publicity Rights") and the trademarks EDISON and THOMAS A. EDISON as applied to a variety of consumer and electronic products, such as lighting products.² The EDISON Publicity Rights and trademarks have been commercially exploited by Petitioner, its

¹ On December 1, 2004, McGraw-Edison was merged into Cooper, thus all McGraw-Edison assets are now owned by Cooper. The term "Petitioner" is used herein to refer to both McGraw-Edison, pre-December 1, 2004, and Cooper thereafter. Petitioner is filing herewith a separate motion to substitute Cooper as the petitioner in this action.

² Among Thomas Edison's many great achievements is the invention of the first practical electrical incandescent light bulb and power generation technologies that power and light the modern world.

predecessors and their affiliated companies for over a century, and have acquired enormous goodwill and consumer value.

Notwithstanding Petitioner's long-standing prior rights, Registrant Mule Lighting, Inc. commenced using an "Edison" mark in combination with the letter "L" in connection with the sale of light bulbs, and registered the mark LEDISON as U.S. Trademark Registration No. 2,324,402 ("the '402 Registration") as applied to "light emitting diodes and light emitting diode displays", i.e., light bulbs. Petitioner brought this action to cancel the '402 Registration.

In this motion, Petitioner shows that there are no genuine issues of material fact regarding the parties' marks or their respective uses of the marks, and that Petitioner is entitled to summary judgment canceling the '402 Registration on grounds that the LEDISON mark: (i) is likely to cause confusion with Petitioner's EDISON trademark, or to cause mistake, or to deceive in violation of 15 U.S.C. § 1052(d) (POINT I, *infra*); (ii) falsely suggests a connection with Thomas Edison in violation of 15 U.S.C. § 1052(a) (POINT II, *infra*); and (iii) dilutes the distinctiveness of Petitioner's EDISON trademark in violation of 15 U.S.C. § 1125(c)(1)(POINT III, *infra*). It is manifest that Registrant's unauthorized use of a mark substantially identical to Petitioner's EDISON trademark as applied to light bulbs, perhaps the most famous of Thomas Edison's inventions, is intended to exploit the commercial value associated with the EDISON name, persona, and trademark that has been developed for over a century by Petitioner, its predecessors and its affiliated companies.

³ In support of Petitioner's motion, Petitioner submits the Declarations of: Glenn Siegel, a Director of Marketing and Product Development for the Cooper Lighting Division of Cooper Industries, Inc. (the "Siegel Decl."); Terrance Helz, Corporate Secretary of Cooper Industries, Inc. (the "Helz Decl."); Kathryn Barrett Park, Trademark Counsel of General Electric Company (the "Park Decl."); Carolyn M. Coley, a Marketing Manager for Salton, Inc. (the "Coley Decl."); and Joshua S. Broitman, Petitioner's counsel, (the "Broitman Decl.") with Exhibits PX 1 – PX 10, annexed thereto (hereinafter, "PX __")

FACTUAL BACKGROUND

A. Petitioner and Affiliated Companies

Petitioner, its predecessors and affiliated companies, including Thomas A. Edison and his company Thomas A. Edison, Incorporated, have actively marketed diverse lines of consumer and electronic products for more than a century under the brands EDISON and THOMAS A. EDISON, and for nearly fifty years under the brand MCGRAW-EDISON (collectively, the "EDISON Trademarks"). (Helz Decl., ¶¶ 2, 11-12; Siegel Decl, ¶¶ 4)

1. Thomas A. Edison and His Company

Throughout the late 1800s and early 1900s, Thomas A. Edison invented and developed hundreds of commercial products, perhaps most notably the first practical electrical incandescent light bulb. (PX 3; Deposition of Robert P. Cross ("Cross Dep."), p. 108, annexed as PX 1 to the Broitman Decl.) It is not disputed that Thomas Edison is recognized as one of the most distinguished inventors in American history, and that Mr. Edison's name is associated with light bulbs. (PX 3; PX1-Cross Dep., pp. 21-22)

Thomas Edison and his company, Thomas A. Edison, Incorporated, of West Orange,
New Jersey, also marketed diverse lines of industrial power equipment, consumer electronics and
electrical home appliances under the brands THOMAS A. EDISON and EDISON. (Helz Decl.,
¶ 2) During his lifetime, Mr. Edison vigorously exercised and protected the commercial and
publicity rights in his name and likeness, including the assertion of such rights in the New Jersey
Courts where he resided. *See, e.g., Edison v. Edison Polyform Mfg. Co.*, 67 A. 392, 395 (N.J.
Ch. 1907). Because of Thomas Edison's contributions to the advancement of science and
technology, particularly in the fields of lighting and electronic products, and the widespread
commercial activity under the EDISON Trademarks by Mr. Edison and his company, the

EDISON Trademarks became among the most famous in the world. Likewise, the "Edison" name is one of the most recognized names in American history, immediately identifying Thomas Edison and his achievements.

Prior to his death, Mr. Edison, by Assignment dated November 24, 1930, transferred his commercial and publicity rights to his company. Among the concerns addressed by Mr. Edison in the Assignment was the need to protect the public against deception from unauthorized uses of his name, likeness and signature. (Helz Decl. ¶ 4; Ex. 1) The Assignment provides, in part:

I am informed and believe that the capital invested in American Industries based upon or substantially affected by my inventions and discoveries exceeds twenty billion dollars. I realize that the public has for a great many years associated my name with the industries based upon my inventions and discoveries and also with the articles of commerce manufactured by the companies in which I am interested. I do not wish my name to be used for commercial purposes indiscriminately by concerns with which I have had no association. ...I realize also that the public has placed faith and credit in the industries that use my name with my authority and in the articles of commerce manufactured by the companies in which I am interested. I desire, as completely as possible, to protect the industries which rightfully use my name, to justify the public's faith in my name in these industries, and to prevent, as far as I may be able to do so, the unauthorized commercial use of my name, portrait and signature.

* * *

In furtherance of these purposes, I, Thomas A. Edison, of West Orange, New Jersey, therefore assign, transfer and convey to you, Thomas A. Edison, Incorporated, a New Jersey corporation, having your principal office at West Orange, New Jersey, your successors and assigns, all rights not heretofore conveyed, in and to the names EDISON and THOMAS A. EDISON for commercial purposes and for the promotion of research and inventions in whatsoever forms the same may be used, including my signature, which is now extensively used as a trade mark by you; and also my rights for the use for commercial purposes of all portraits, photographs and pictures of myself.

It is my desire that no persons or concerns, not duly authorized so to do, shall use my name, signature or portrait for commercial purposes unless permission be first obtained from you, your successors or assigns, and I trust that my wish will be respected, but if not I direct that unauthorized users of my name, signature or portrait be vigorously prosecuted.

2. Petitioner's Acquisition and Use of the "Edison" Commercial Rights

Thomas A. Edison, Incorporated subsequently sold substantially all of its assets to McGraw-Edison Company of Elgin, Illinois ("McGraw-Edison-Elgin"), including the EDISON Trademarks and EDISON Publicity Rights. (Helz Decl. ¶ 5, Exs. 2, 3) Thereafter, Cooper acquired McGraw-Edison-Elgin, which was restructured as Petitioner McGraw-Edison Company. McGraw-Edison Company later merged into Cooper. (Helz Decl., ¶ 9, Ex. 7)

Throughout the foregoing transition period, the EDISON Trademarks continued to be used in connection with commercial products by Petitioner and its predecessors. Since at least 1958, McGraw-Edison-Elgin and, later, McGraw-Edison Company and Cooper used the EDISON Trademarks on a diverse line of consumer electric and electronic products, including lighting fixtures. (Helz Decl., ¶ 11; Siegel Decl., ¶ 4) In the mid-1980's, the "Edison" name was used as part of Petitioner's trade name in connection with their lighting products – "Edison Lighting". (Siegel Decl., ¶ 8, Ex. 6)

In recent years, Cooper Lighting has marketed electric lighting fixtures and buss fuses under the EDISON Trademarks through electrical wholesalers and distributors, "Do-It-Yourself" stores and retail channels such as Home Depot. (Siegel Decl., ¶10) Cooper Lighting also sells several product lines that include LEDs, such as LED traffic signals and emergency exit signs. (Siegel Decl., ¶5, Exs. 4, 5) All of these products are directly competitive with Registrant's

⁴ Cooper is a holding company for Petitioner and affiliated companies including Cooper Lighting, Inc. ("Cooper Lighting") and Cooper Power Systems, Inc. ("Cooper Power Systems") that market diverse lines of industrial and consumer electronic products under the EDISON Trademarks. (Helz, ¶¶ 12-13)

In the acquisition, McGraw-Edison-Elgin later became a subsidiary of CI Acquisition Company, which was, in turn, a subsidiary of Cooper. (Helz Decl., ¶ 6, Ex. 28) In 1986, McGraw-Edison-Elgin merged with the CI Acquisition Company. (Helz Decl., ¶ 7, Ex. 29) Cooper later changed the name of one of its subsidiaries to Petitioner McGraw-Edison Company and certain assets of CI Acquisition Company, including the EDISON Trademarks and EDISON Publicity Rights were sold to McGraw-Edison. (Helz Decl., ¶ 8, Ex. 6).

product lines. (Siegel Decl., ¶ 16; PX1-Cross Dep., pp. 13-14, 39, 50-52, 106, 113)

Petitioner and its affiliated companies promote their EDISON brand products through catalogs, Internet advertising, brochures, point-of-sale displays, co-op advertising, and by attending trade shows throughout the country. (Siegel Decl., ¶¶ 4-5, 11) Petitioner has expended in excess of one million dollars for such promotions in the past year. (*Id.*)

Sales of products under the EDISON Trademarks over the last century by Petitioner, its predecessors and affiliates are believed to have totaled billions of dollars. Over the last ten years, Cooper Lighting's sales under the EDISON Trademarks have totaled hundreds of millions of dollars and are presently in excess of 20 million dollars per year. (Siegel Decl., ¶ 7)

Cooper Power Systems markets power generation equipment, computer hardware and software under the EDISON Trademarks to public utilities throughout the country. (Helz Decl., ¶ 13) In connection therewith, Cooper owns and operates the THOMAS A. EDISON TECHNICAL CENTER in Franksville, Wisconsin, an internationally recognized laboratory devoted to research and development of electric power technology. (*Id*; PX 4)

Petitioner and its affiliated companies are also engaged in developing new products as well as licensing opportunities for the EDISON Trademarks, and in protecting these rights against unauthorized use. (Siegel Decl., ¶ 13; Helz Decl. ¶ 14; PX 5) Petitioner, through affiliates, is presently planning to expand its offerings of EDISON brand consumer electronic products. (Siegel Decl., ¶¶ 13-14; Coley Decl., ¶ 5) Apart from its U.S. marketing activities, Cooper recently began construction of a 30 million dollar EDISON TECHNICAL CENTER in Shanghai, China. (Helz Decl., ¶ 14) Among other objectives, Petitioner and its affiliates plan to develop new generations of EDISON products for worldwide distribution. (*Id.*; Siegel Decl., ¶¶ 13-14; Coley Decl., ¶ 5)

Petitioner's licensee, Salton, Inc. ("Salton") and its predecessor Toastmaster, Inc. ("Toastmaster") have also marketed EDISON brand consumer electronic appliances. (Coley Decl., ¶¶ 4-8; Helz Decl., ¶¶ 12) Beginning in or about 1980, Toastmaster commenced marketing home appliances, such as, fans and humidifiers, under the EDISON Trademarks. (Coley Decl., ¶¶ 4, Ex. 1) Salton acquired Toastmaster in 1999 and continued product sales pursuant to license with Petitioner under the EDISON Trademarks. (Coley Decl. ¶¶ 2, 5, 9) Toastmaster markets its EDISON brand products through national retail outlets, such as Wal-Mart and K-Mart. (Coley Decl. ¶¶ 5) In December 2001, Salton commenced marketing a line of home appliances under the EDISON brand, including toaster-oven broilers, blender/chopper combo unit, coffee urns, rice cookers, and electric skillets. (Coley Decl., ¶¶ 6, Exs. 2-5) Salton has recently introduced a line of EDISON home appliances on the QVC Home Shopping Network and QVC internet website at http://www.qvc.com. (Coley Decl., ¶¶ 8, Exs. 6-7)

As a result of the foregoing commercial activity by Mr. Edison and his company during his lifetime and thereafter by successor companies and their licensees, Mr. Edison's name, likeness and signature, and the EDISON Trademarks, have acquired enormous goodwill and consumer value.

3. Petitioner's U.S. Trademark Registrations for the EDISON Trademarks

Petitioner owns the following federal registrations for the EDISON Trademarks (status and title copies of which are submitted with this motion as PX 10):

TRADEMARK	REG. NO.	REG. DATE	FIRST USE	CLASS	GOODS
EDISON	372,127	10/24/1939	07/23/1936	009	Electrical switches and electric relays
EDISON	409,187	09/19/1944	06/1940	009	Indicating instruments- namely, temperature indicators and indicating instruments or units each comprising an assembly of a temperature indicator, a pressure gauge, and a differential pressure gauge
EDISON	1,288,874	08/07/1984	09/01/1974	009	Indoor and outdoor electric light fixtures
EDISON	1,636,822	03/05/1991	08/24/1987	009	Electric lighting fixtures
THOMAS A. EDISON TECHNICAL CENTER	1,644,681	05/14/1991	12/1959	042	Electrical testing and analytical services for the electric power generation, transmission and distribution industry
THOMAS A. EDISON (signature logo)	2,443,841	04/17/2001	01/1958	011	Electric lighting fixtures and components therefore; namely, electric ballasts, electrical transformers and track lighting units
THOMAS A. EDISON	2,495,399	10/09/2001	01/1958	011	Electrical lighting fixtures and components therefore; namely, electric ballasts, electrical transformers and track lighting units
EDISON	2,726,711	06/17/2003	12/06/2001	011	Electric kitchen appliances for domestic use; namely, counter-top toaster, broiler and cooking ovens, electric skillets and coffee makers and coffee urns
MCGRAW- EDISON	2,294,981	11/30/1999	06/09/1958	011	Electric lighting fixtures
MCGRAW- EDISON	2,015,393	11/12/1996	02/10/1994	009	Transformers, voltage regulators, power capacitors, fuses, fault indicators,

B. Third-Party Uses of the EDISON Trademarks

The EDISON brand and name have acquired enormous fame through Petitioner's, and its predecessors', marketing activities under the EDISON Trademarks – which span more than a century. (Siegel Decl., ¶ 12; Coley Decl., ¶ 10) The fame of the EDISON Trademarks has been further enhanced by Petitioner's cooperation with a "family" of Edison companies, all founded by or otherwise associated with Thomas A. Edison, which market consumer products under the EDISON Trademarks (the "Edison Family of Companies"). Petitioner and the Edison Family of Companies have cooperated to police the market against unauthorized infringements of the EDISON Trademarks. (Park Decl., ¶ 8; Broitman Decl., ¶ 6, PX 5)

1. The Edison Legacy

In 1878, Thomas Edison and a group of investors established Edison Electric Light Company ("EELC"), the predecessor to the General Electric Company ("GE"), to develop power generation technologies (the "Edison Technology"). (PX 6, ¶ 47) Power utilities throughout the country that licensed the Edison Technology were permitted to use the "Edison" name as a part of their company names. (PX 6, ¶ 48) Thus, for more than a century, a variety of electric utility companies throughout the country have used the "Edison" name in the power generation field. As recent as 1985, SCE Corp, a California utility company, obtained Petitioner's consent to rename its company "Edison International". (PX 6, ¶ 62)

2. The General Electric Company

Among Thomas Edison's greatest achievements was the invention of the electric light bulb. For nearly a century, with the consent of Petitioner and its predecessors, GE has marketed a line of electric light bulbs under the trademark "GE EDISON". (Park Decl., ¶¶ 4-7) GE markets its GE EDISON line bulbs nationally to the general public in hardware and convenience

stores, as well as through national chain stores. GE's product is shown at its website.⁶ (Park Decl., ¶ 6) GE's representatives concur that Registrant's marketing of LEDISON light bulbs evokes an association with GE's long established GE EDISON trademark and Petitioner's EDISON Trademarks. (Park Decl., ¶¶ 9-10)

C. Registrant's LEDISON Mark

1. The '402 Registration

Registrant filed Application Serial Number 75/674,056 on April 5, 1999 for the mark LEDISON (in block letters) based on its use of the mark in connection with "light emitting diodes and light emitting diode displays" in International Class 009. (PX 7) The application asserted first use of the LEDISON mark in interstate commerce since December 1998, long after Petitioner's first and extensive use of the EDISON Trademarks. Registrant's '402 Registration issued February 29, 2000.

2. Registrant's Use of the LEDISON Mark

Like Petitioner and its affiliated company Cooper Lighting, Registrant is a manufacturer of lighting equipment such as emergency and exit lighting, compact fluorescent lighting, and commercial and industrial lighting. (PX 1-Cross Dep., pp. 5, 9-11; PX 8; Siegel Decl, ¶ 3)

Registrant's product line also includes solid-state LED light bulbs for applications such as exit fixtures and emergency lighting units, as well as decorative, accent and general purpose lighting.

⁶ http://www.gelighting.com/na/home_lighting/products/edison/mail.htm

⁷ The application further asserted that the "mark is used by placing it on the goods, by casting, molding, stamping, or directly imprinting thereon, by use on tags or labels affixed to the goods, or on the containers in which they are shipped, or when such placing is impractical on documents associated with the goods or their sale...." However, Registrant only uses the LEDISON mark on a specification sheet, and has never applied the LEDISON mark to the goods or the containers or packaging for the goods. (PX 1-Cross Dep., pp. 34-35; PX 7, p. 00148).

(Id.; PX 11)⁸.

Registrant's apparent strategy for presenting its series of light bulbs to the marketplace was to seize upon Thomas Edison's fame, commercial endeavors, and reputation of excellence and innovation. To that end, Registrant employed the "Edison" name in its "LEDISON Series" light bulbs, which are equivalent to conventional incandescent light bulbs of the type invented and first commercialized by Thomas Edison. (Id.; PX 12) This brand presentation unmistakably associates Registrant's LEDISON light bulbs with Thomas Edison. This is just the sort of indiscriminate misuse of the Thomas Edison name and persona that Mr. Edison sought to guard against by assigning these rights to his company.

Registrant sells its products, including its LEDISON series of light bulbs, throughout the United States to energy service companies and electrical wholesalers and distributors, who then resell the products to entities such as electrical contractors, industrial and plant facility managers and public utilities. (PX 1-Cross Dep., pp. 6, 8, 10, 115-116; PX 2-Registrant's Answers to Petitioner's First Set of Interrogatories, No. 5 ("Registrant's Interrogatory Answers")). It is not disputed that the types of products sold by Petitioner are sold by the same types of electrical wholesalers and distributors that sell Registrant's products. (PX 1-Cross Dep., p. 111; Siegel Decl., ¶ 10; PX 10) Registrant also does not dispute that Cooper and its Cooper Lighting division are direct competitors of Registrant. (PX 1-Cross Dep., pp. 13-14). For example, Cooper Lighting's "AtLite" and "Sure-Lites" brand emergency lighting and exit signs directly

⁸ The LED light bulbs are sold under the trademark DYNALUX, and the trademark MULE appears on the base of the bulbs. (PX 11)

⁹ Registrant admitted that its LEDISON light bulbs compete with all types of light bulbs, including incandescent bulbs, for commercial and residential applications. (PX 1-Cross Dep., pp. 39, 50-52).

¹⁰ The record shows that Thomas Edison and the EDISON Trademarks are closely identified and associated by the public with the Edison Family of Companies. (Siegel Decl., ¶ 12; Coley Decl., ¶ 10; Park Decl., ¶¶ 4-8)

compete with Registrant's emergency light and exit sign products, and often appear at trade shows attended by Registrant. (PX 1-Cross Dep., pp. 16, 107-108). Registrant's other competitors include the lighting industry in general, including such products as electric ballasts, which are also sold by Petitioner. (PX 1-Cross Dep., pp. 13-14; Siegel Decl., ¶¶ 3-4; U.S. Trademark Registration No. 2,495,399)

Registrant's total sales for its LEDISON series of light bulbs are less than \$800,000 for the period December 1998 through August 2004. (PX 1-Cross Dep., pp. 57, 83, 87, 91, 93; PX 13; PX 15-20) Registrant primarily promotes its products, including the LEDISON series of light bulbs, through catalogs and Internet advertising, such as pop-up ads that appear in "Google" and "Overture" Internet search engines. (PX 1-Cross Dep., p. 86) Registrant has also used a public relations firm to prepare news releases that are submitted to various magazines, and attended industry trade shows. (PX 1-Cross Dep., pp. 11-12) Registrant produced documents showing total advertising expenses of approximately \$600,000 for all of its products, and approximately \$4,000 specifically geared toward its LEDISON series of light bulbs. (PX 1-Cross Dep., pp. 95-99; PX 21-23; PX 2-Registrant's Interrogatory Answers, No. 11)

3. Registrants' Bad Faith

Registrant's president, Robert Cross, testified at his deposition that he was well aware of the fame associated with Thomas Edison and Cooper's lighting products when he selected the LEDISON brand. (PX 1-Cross Dep., pp. 4, 6, 17, 21-22) Cross is Registrant's sole owner and is responsible for the company's product branding and marketing strategies. (PX 1-Cross Dep., p. 4, 6; PX 2-Registrant's Interrogatory Answers, No. 2) Notwithstanding this knowledge, Cross selected the mark LEDISON on his own, conducted his own Internet search, received a verbal

opinion from his son-in-law, and proceeded to market LEDISON light bulbs. 11 (PX 1-Cross Dep., pp. 22, 23, 30-32)

Registrant's assertion in this action that its marketing strategy is not designed to trade on the EDISON Trademarks and "Edison" fame is beyond preposterous. Registrant itself identifies its LEDISON series light bulbs with "Edison", as evidenced by a hand-written note stating "Edison Red" on a purchase order to Registrant's manufacturer. (PX 1-Cross Dep., pp. 63-64; PX 15). There can be no doubt that Registrant's target consumers also identify LEDISON light bulbs with Thomas Edison and Petitioner's EDISON brand commercial products.

ARGUMENT

PETITIONER IS ENTITLED TO SUMMARY JUDGMENT CANCELING U.S. REGISTRATION NO. 2,324,402

Summary judgment should be granted if, after reviewing the facts in the light most favorable to the non-moving party, no genuine issue of material fact remains. Fed.R.Civ.P. 56(c); Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 247-48 (1986). To determine that a factual dispute is "genuine," the Board must decide that the evidence is such that a reasonable fact-finder could return a verdict for the non-moving party. Id. "The mere existence of some alleged factual dispute between the parties will not defeat an otherwise properly supported motion for summary judgment." Quaker State Oil Refining v. Garrity Oil Co., 884 F.2d 1510, 1513 (1st Cir. 1989) citing Anderson, 477 U.S. at 247-248; See also Levi Strauss & Co. v. Genesco, Inc., 222 USPQ 939 (Fed. Cir. 1984) (unsupported arguments not sufficient to defeat summary judgment).

Petitioner shows below that there are no genuine issues of material fact that preclude the Board from canceling the '402 Registration for the mark LEDISON in view of Petitioner's long-

¹¹ Registrant did not produce any documents or information evidencing that any further due diligence was conducted by Registrant or its counsel. (PX 1-Cross Dep., p. 36).

standing, prior use and registration of the marks EDISON, THOMAS A. EDISON and MCGRAW-EDISON as applied to similar goods. Therefore, the Board should not hesitate to dispose of this case on summary judgment. *See Sweats Fashions Inc. v. Pannill Knitting Co.*, 4 USPQ2d 1793 (Fed. Cir. 1987)(no relevant evidence to raise genuine issue of material fact).

I. Registrant's LEDISON Mark Is Likely To Cause Confusion With Petitioner's EDISON Trademarks

Petitioner asserts that Registrant's LEDISON mark as applied to LED light bulbs should be canceled because it so resembles Petitioner's previously used and registered EDISON Trademarks as to be likely to cause confusion, or to cause mistake, or to deceive in violation of Section 2(d) of the Lanham Act, 15 U.S.C. § 1052(d). To make out a *prima facie* claim under Section 2(d), Petitioner must establish: (1) that it has standing; (2) that its use and/or registration of the EDISON Trademarks was prior to the first use of the LEDISON mark by Registrant; and (3) that Registrant's use is likely to cause confusion, to cause mistake or to deceive. 15 U.S.C. § 1052(d). Here, likelihood of confusion is the central inquiry, as there can be no doubt that Petitioner has standing 12 and priority of use and registration 13.

The evidentiary factors the Board considers in determining likelihood of confusion are set out in *In re E.I. du Pont de Nemours & Co.*, 476 F.2d 1357, 1361, 177 USPQ 563 (CCPA 1973):

¹² Any person who believes it is or will be damaged by registration of a mark has standing to file a complaint. TBMP § 309.03(b). Petitioner's real commercial interest in protecting the registered EDISON Trademarks set forth above, alone, is manifest and justifies Petitioner's belief that it will be damaged by the '402 registration. *Federated Foods, Inc. v. Fort Howard Paper Co.*, 544 F.2d 1098, 1101, 192 USPQ 24 (CCPA 1976). Further, establishing a claim of likelihood of confusion is sufficient to show a real interest in the proceeding and that Petitioner has standing. *See Metromedia Steakhouses, Inc. v. Pondco II Inc.*, 28 USPQ2d 1205, 1209 (TTAB 1993).

¹³ Petitioner set forth in detail above undisputed facts concerning commercial use of the EDISON Trademarks over the last century by Petitioner, its predecessors and their affiliated companies. Petitioner also identified several U.S. Trademark Registrations for the EDISON Trademarks. All of Petitioner's use substantially predates Registrant's earliest date of use (December 2000) and its filing date (April 5, 1999).

(1) the similarity or dissimilarity of the marks in their entireties as to appearance, sound, connotation and commercial impression. (2) the similarity or dissimilarity and nature of the goods or services as described in an application or registration or in connection with which a prior mark is in use. (3) the similarity or dissimilarity of established likely-to-continue trade channels. (4) The conditions under which and buyers to whom sales are made, i.e., "impulse" vs. careful, sophisticated purchasing. (5) The fame of the prior mark (sales, advertising, length of use). (6) The number and nature of similar marks in use on similar goods. (7) The nature and extent of any actual confusion. (8) The length of time during and conditions under which there has been concurrent use without evidence of actual confusion. (9) the variety of goods on which a mark is or is not used (house mark, "family" mark, product mark). (10) The market interface between [registrant] and the owner of a prior mark... (11) the extent to which applicant has a right to exclude others from use of its mark on its goods. (12) The extent of potential confusion, i.e., de minimis or substantial. (13) Any other establish fact probative of the effect of use.

Significantly, a petitioner is not required to prove each of these factors, and no one factor is determinative. *Id.* at 1362. The "fundamental inquiry mandated by § 2(d) goes to the cumulative effect of differences in the essential characteristics of the goods and differences in the marks." *In re Louis Upkins*, 2002 TTAB LEXIS 212 at *2 (TTAB 2002), *quoting*, *Federated Foods*, *Inc. v. Fort Howard Paper Co.*, 192 USPQ at 29.

A. Strength of the EDISON Trademarks

The strength of the EDISON Trademarks cannot be overstated. As indicated above, Petitioner's EDISON Trademarks are among the most popular and recognizable marks in the world, and have been used for nearly a century in diverse fields, including the electric lighting industry in which Registrant markets its products. The EDISON Trademarks represent the innovation and fame of Thomas Edison, one of the most famous people in American history. The strength of the EDISION Trademarks is bolstered by use of the marks by Petitioner's licensees, and by the ongoing interest in the EDISON Trademarks by potential licensees. *See*,

Univ. of Georgia Athletic Assoc. v. Laite et al., 756 F.2d 1535, 1545 (11th Cir. 1985). Therefore, this factor weighs heavily in favor of a determination that a likelihood of confusion exits.

B. Registrant's LEDISON Mark is Substantially Identical to Petitioner's EDISON Trademarks

In an attempt to gain instant market recognition for its light bulbs, Registrant evoked the goodwill of the EDISON Trademarks and the fame associated with Thomas Edison by using a mark that simply adds the letter "L" to Petitioner's EDISON trademark. The addition of a letter to a previously used mark does not, however, serve to distinguish the marks. *In re James Raymond Bevan*, 2002 TTAB LEXIS 181 at *9 (TTAB 2002). This is especially true when the marks appear on virtually identical goods. *In re Louis Upkins*, 2002 TTAB LEXIS at *4 (the degree of similarity between the marks which is necessary to support a finding of likelihood of confusion declines when the goods are virtually identical)(*see* POINT I (C), *infra*)

The name "Edison" is the most prominent and dominant portion of both Petitioner's and Registrant's marks. Registrant's LEDISON mark not only looks and sounds strikingly similar to Petitioner's EDISON Trademarks, but the commercial impression of both marks is the same. See Id. (it is not improper to give more weight to the dominant portion of the marks when determining the commercial impression created by the marks). As set forth above, Petitioner's EDISON Trademarks have been in use for nearly a century and have acquired substantial good will and commercial value, immediately identifying the marks' connection to the famous inventor Thomas Edison. Registrant's LEDISON mark, as applied to light bulbs that are equivalent to the type commonly known to be invented by Thomas Edison, also unmistakably identifies Thomas Edison and therefore has the same connotation as the EDISON Trademarks. Even if purchasers note the slight difference in the marks, they are likely to believe that Registrant's LEDISON mark is simply a version of Petitioner's EDISON Trademarks, adopted

for Registrant's "Edison" style light bulbs. ¹⁴ See, In re Kent-Gamebore Corp., 59 USPQ2d 1373 (TTAB 2001)(HI-IMPACT is likely to be confused with IMPACT). Therefore, this factor weighs heavily in Petitioner's favor.

C. Registrant's Goods Are Virtually Identical to Petitioner's Goods

It is well settled that goods need not be identical or even competitive to support a finding of likelihood of confusion. It is sufficient that the goods are related in some manner. *In re James Raymond Bevan*, 2002 TTAB LEXIS 181 at *5. Here, Registrant's goods are virtually identical to the lighting products marketed and sold by Petitioner, its predecessors and affiliated companies for nearly a century.

The goods identified in the '402 Registration are "light emitting diodes and light emitting diode displays". Registrant's application file history does not show use of the mark on LEDs. Instead, Registrant submitted a specimen of use showing light bulbs that are equivalent to conventional incandescent light bulbs except the light source is an LED. Registrant's President, Robert Cross, testified that Registrant's LEDISON series of light bulbs may be used for commercial and residential applications anywhere a conventional incandescent light bulb can be used. (PX 1-Cross Dep. pp. 39, 50-52; PX 12).

Although Petitioner does not currently sell light bulbs, Petitioner and its affiliated companies market a wide range of lighting products under the EDISON Trademarks for commercial and residential applications, such as track lighting. All of these products use light bulbs, including the type Registrant sells as part of its LEDISON series. (Siegel Decl., ¶¶ 3, 4, 8-10) Petitioner also owns several trademark registrations for the mark EDISON as applied to

¹⁴ However, in view of the identical commercial impression, consumers are unlikely to remember the slight difference between the LEDISON mark and the EDISON Trademarks "due to the recollection of the average purchaser, who normally retains a general, rather than a specific, impression of the many trademarks encountered." *In re James Raymond Bevan*, 2002 TTAB LEXIS at *9-10.

light fixtures. See, e.g., Reg. Nos. 1,288,874 and 1,636,822. Further, Petitioner and its predecessors consented to GE's marketing and sale of "GE EDISON" brand incandescent light bulbs, in view of GE's association with Thomas Edison for nearly a century. (Park Decl., ¶¶ 4-7) GE's representatives concur that Registrant's marketing of LEDISON light bulbs is likely to cause confusion with Petitioner's EDISON Trademarks. (Park Decl., ¶¶ 9-10).

Moreover, Petitioner's ongoing efforts to license the EDISON Trademarks and expand the products on which the marks are used evidence that Registrant's and Petitioner's goods are sufficiently related to cause confusion among consumers as to whether the owners of the EDISON Trademarks are affiliated with or have sponsored Registrant's light bulbs.

D. The Relationship Between The Parties' Channels Of Trade And Advertising, Plus The Classes Of Prospective Purchasers

The '402 Registration does not limit or restrict the market for Registrant's light bulbs, thus the Board must presume that "registrant would market their respective goods in all normal trade channels and to all normal classes of purchasers for such goods...." *In re Louis Upkins*, 2002 TTAB LEXIS 212 at *3. Registrant markets and sells its LEDISON series of light bulbs to electrical wholesalers and distributors who then resell the light bulbs to electrical contractors, industrial and plant facility managers and public utilities. (PX 1-Cross Dep., pp. 6, 8, 10, 115-116). Registrant does not dispute that Petitioner markets and sells its goods through the same channels of trade (electrical wholesalers and distributors) or that Petitioner's goods are intended for the same market (electrical contractors, public utilities). (PX 1-Cross Dep., pp. 13-14, 111). Registrant also admits that many of its products are directly competitive with many of Petitioner's products. (*Id.*). Further, both parties promote their products to their target markets through the Internet. (PX 1-Cross Dep., pp. 11-12, 86; PX 21-23). This overlap in target

consumers for the parties' respective products increases the likelihood of confusion.

Volkswagenwerk v. Wheeler, 814 F.2d 812, 818 (1st Cir. 1987)

Further, it is actionable under the Lanham Act, as here, where a party trades on an established brand to garner initial consumer recognition and credibility. Petitioner submits that Registrant's use of the LEDISON mark at least initially causes consumers to mistakenly believe that there is an association between the parties. *EMC Corp. v. Hewlett-Packard Co.*, 59 F. Supp. 2d 147, 150 (D. Mass 1999)("initial confusion" is actionable under the Trademark Act even if a person is only initially confused but subsequently corrects that confusion). There can be no doubt that consumers of Registrant's LEDISON brand "Edison" style light bulbs associate the product with Thomas Edison, who is indisputably associated with Petitioner's EDISON brand products. Accordingly, the parties' respective channels of trade, advertising and classes of purchasers favor a finding of likelihood of confusion.

E. Registrant Adopted the LEDISON Mark in Bad Faith

Registrant's intent also militates in favor of a finding of confusion, as there can be little doubt that Registrant intended for consumers to associate its LEDISON brand "Edison" style light bulbs with the *bona fide* EDISON Trademarks and the fame of Thomas Edison. Further, it is well established that a "newcomer" has a heightened burden to maintain a safe distance from established trademark proprietors. It is accordingly proper to resolve any doubt on the question of likelihood of confusion against Registrant. *See,TBC Corp. v. Holsa Inc., 126 F.3d 1470, 44 USPQ2d 1315 (Fed. Cir. 1997); In re James Raymond Bevan, 2002 TTAB LEXIS 181 at *10.*

F. Actual Confusion

Proof of actual confusion is not necessary to establish likelihood of confusion. See, e.g., Volkswagenwerk, 814 F.2d at 819. Here, Registrant's use of the LEDISON mark has been quite

limited. The LEDISON mark does not appear on the product or the packaging. Further, Registrant's sales under the LEDISON brand have been quite limited, less than \$800,000 over a seven-year period, and the Registrant's LEDISON series light bulbs have been in the marketplace for a relatively short period of time. See 3 McCarthy on Trademarks, § 23:18, p. 23-68 ("The absence of evidence of actual confusion is less significant when the period in which the two marks have coexisted is relatively short.").

In sum, an examination of the confusion factors establishes that Registrant's use of the LEDISON mark in connection with the marketing and sale of light bulbs is likely, if not certain, to cause confusion over the source and/or sponsorship of the light bulbs, in violation of Section 2(d) of the Lanham Act.

II. Registrant's LEDISON Mark Falsely Suggests A Connection With Thomas Edison

Petitioner asserts that Registrant's LEDISON mark as applied to light bulbs should be canceled because it falsely suggests a connection to Thomas Edison in violation of Section 2(a) of the Lanham Act, 15 U.S.C. § 1052(a). In determining a Section 2(a) violation, The Board should consider the following:

(1) that the mark is the same as, or a close approximation of, the name or identity previously used by another person or institution; (2) the mark would be recognized as such, in that it points uniquely and unmistakably to that person or institution; (3) the person or institution named by the mark is not connected with the activities performed by [Registrant] under the mark; and (4) the fame or reputation of the person or institution is such that, when the mark is used with [Registrant's] goods or services a connection with the person or institution would be presumed.

In re Julie White, 2002 TTAB LEXIS 529 at *15 (TTAB 2004). 15

¹⁵ Section 2(a) has its roots in rights of privacy and publicity, i.e., the right to control use of one's identity, personality or persona. *Id*.

The "initial and critical requirement is that the name (or an equivalent thereof) claimed to be appropriated by another must be unmistakably associated with a particular personality or persona." ¹⁶ *Id.* at *16; *Univ. of Notre Dame du Lac v. J.C. Gourmet Food Imports Co.*, 703 F.2d 1372, 217 USPQ 505, 509 (Fed. Cir. 1983). Here, it is manifest that Registrant's use of the mark LEDISON as applied to light bulbs, undisputedly the most famous and identifiable of Thomas Edison's inventions, unmistakably associates Registrant's light bulbs with the name and heritage of Thomas Edison, and with Petitioner's EDISON Trademarks. See, Mostchenbacher v. R.J. Reynolds Tobacco Co., 498 F.2d 821 (9th Cir. 1974)("Objects closely related to a person may perform the same identification function as a 'name'). The mere fact that Registrant added the letter "L" to Thomas Edison's name does not allow Registrant to avoid cancellation, because "Edison" is the most dominant and recognizable portion of the mark. *In re North American Free Trade Association*, 43 USPQ2d 1282, 1285 (TTAB 1998)(applicant cannot take another's name and add matter to it to avoid refusal of false suggestion under Section 2(a)).

There is no dispute that Registrant's use of the "Edison" name and persona is and has always been unauthorized, and that Registrant is not connected in any way to Petitioner or any of its predecessors, including Thomas Edison.¹⁷

Finally, Registrant does not dispute that Thomas Edison is famous and readily recognized as the inventor of, *inter alia*, the light bulb. (PX 1-Cross Dep., pp. 21-22) Therefore, there

¹⁶ The first and second elements of the four-element test are often viewed as one. *Id.*

¹⁷ As set forth in detail in the Factual Background, herein, the evidence is clear that Petitioner succeeded to, and now owns, all commercial rights in Thomas Edison's name and persona, including the EDISON Publicity Rights. Neither Petitioner nor any of its predecessors ever authorized Registrant's use of the "Edison" name or persona.

¹⁸ The TTAB cautioned, however, that the final inquiry should not be focused on determining whether the name would qualify as famous under traditional likelihood of confusion or dilution analyses. Instead, the key is "the combination of (1) the name of sufficient fame or reputation and (2) its use on or in connection

can be no doubt that Registrant's use of LEDISON in connection with its sale of light bulbs admittedly equivalent to the type of light bulbs invented by Thomas Edison unmistakably identifies Thomas Edison to consumers of Registrant's goods.

Accordingly, there is no genuine issue that Registrant's LEDISON mark falsely suggests a connection to Thomas Edison and misappropriates Petitioner's exclusive rights in the EDISON Publicity Rights in violation of Section 2(a).

III. Registrant is Diluting Petitioner's Famous EDISON Trademarks

To make out a *prima facie* case for famous mark dilution under the Federal Trademark Dilution Act, Petitioner must show: (1) that it owns a famous and distinctive mark; (2) that Registrant is making commercial use of the famous mark in commerce; (3) that Registrant adopted its mark after Petitioner's mark became famous; and (4) that Registrant's mark dilutes Petitioner's famous mark. 15 U.S.C. § 1125(c)(1); *The Toro Co. v. ToroHead, Inc.*, 2001 TTAB LEXIS 823 at *28-29, 61 USPQ2d 1164 (TTAB 2001).

As discussed above, Petitioner's EDISON Trademarks are not only "distinctive", but are among the most famous of trademarks, and are therefore entitled to protection under the Lanham Act. Petitioner and its predecessor companies, directly and through licensees, have used the EDISON Trademarks in interstate commerce as applied to diverse commercial fields for nearly a century, and long before Registrant's first use of their infringing LEDISON mark. In recent

with particular goods or services, that would point consumers of the goods or services uniquely to a particular person or institution." *In re White*, 2004 TTAB LEXIS 529 at *23-24.

¹⁹ Petitioner owns valid and subsisting federal registrations for the EDISON Trademarks, including the mark EDISON, as applied generally to electric light fixtures, switches, relays and indicating instruments. *See* Factual Background. These registrations constitute *prima facie* and conclusive evidence of the validity and distinctiveness of the EDISON Trademarks, of the registrations for the EDISON Trademarks, and of Petitioner's exclusive right to use the EDISON Trademarks as applied to the goods specified in the registrations. *See, Equine Techs Inc. v. Equitechnology, Inc.*, 68 F.3d 542, 544 (1st Cir. 1995).

years, Petitioner has used the EDISON Trademarks on an extensive line of home electric appliances and electric light fixtures through its licensees, Salton/Toastmaster and Cooper Lighting, and in connection with computer hardware and software directed to the power generation and distribution systems market. Through such use, the EDISON Trademarks have acquired enormous goodwill and consumer recognition of substantial value to Petitioner. (*See, e.g.,* Siegel Decl., ¶ 12; Coley Decl., ¶ 10)

Moreover, the long commercial use of an historical name, places the EDISON

Trademarks in the same category as inherently distinctive and arbitrary marks. *Du Barry of Hollywood, Inc. v. Richard Hudnut*, 323 F.2d 986 (9th Cir. 1963); *Two Pesos, Inc. v. Taco Cabana, Inc.*, 505 U.S. 763, 768 (1992), *reh'g denied*, 505 U.S. 1224 (1992). Therefore, it cannot reasonably be disputed that Petitioner's EDISON Trademarks are famous marks, and that Registrant's use of the LEDISON mark in commerce commenced after the EDISON Trademarks acquired their famed status.

Finally, the evidence establishes that Registrant is diluting Petitioner's famous EDISON Trademarks. Under the Lanham Act, dilution "means the lessening of the capacity of a famous mark to identify and distinguish goods or services, regardless of the presence or absence of . . ." competition or likelihood of confusion, mistake or deception. 15 U.S.C. § 1127. The Board held that three factors should be considered: (1) the similarity of the marks; (2) renown of the senior party; and (3) "whether target consumers are likely to associate two different products with the mark even if they are not confused as to the different origins of these products." *The NASDAQ Stock Market, inc. v. Antartica, S.r.l.*, 2003 TTAB LEXIS 391, 69 USPQ2d 1718 (TTAB 2003) *quoting Toro*, 61 USPQ2d at 1183. Petitioner showed above that the marks are effectively

identical and that the EDISON Trademarks became famous and distinctive prior to Registrant's earliest priority date, and remain famous and distinctive today.

Petitioner also showed above that there can be no doubt consumers of Registrant's LEDISON light bulbs unmistakably associate the light bulbs with Thomas Edison and the EDISON Trademarks. Petitioner has submitted declarations of representatives of Cooper Lighting (Siegel Decl., ¶ 15-16), GE (Park Decl., ¶¶ 9-10) and Salton (Coley Decl, ¶¶ 11-12) to substantiate the presence of actual dilution. *See Mosley v. V Secret Catalogue, Inc.*, 537 U.S. 418, 423, 65 USPQ2d 1801, 1808 (2003)(actual dilution may be proved by "circumstantial evidence", in particular when the junior and senior marks are identical).

Therefore, members of the public familiar with Petitioner's EDISON Trademarks, when encountering the "Edison" name in connection with Registrant's goods, would either conclude that it was Petitioner's mark being used on or in connection with Registrant's goods or would have to reach a contrary conclusion only by associating the mark less strongly with Petitioner. "Either result would be a blurring and would lessen the capacity of [Petitioner's EDISON Trademarks] to identify goods and services having their source in [Petitioner]." *NASDAQ*, 2003 TTAB LEXIS 391 at *69; *Mosely*, 65 USPQ2d at 1808.

Accordingly, there is no genuine issue that Registrant's LEDISON mark is diluting the EDISON Trademarks in violation of 15 U.S.C. § 1125(c).

CONCLUSION

For the foregoing reasons, the Board should grant Petitioner's motion for summary judgment in its entirety.

Dated: December 30, 2004

Respectfully submitted,

McGRAW-EDISON COMPANY

By its attorneys,

Glean F. Ostrager Joshua S. Broitman

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CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing

MEMORANDUM OF LAW IN SUPPORT OF MOTION FOR SUMMARY

JUDGEMENT was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

	v	
COMPANY,	:	
Petitioner,	: :	Compallation No. 02 042 545
	: :	Cancellation No. 92,042,545
NC.,	: :	
Registrant.	; ; v	
	Petitioner,	Petitioner, : Petitioner, : NC., :

PETITIONER'S MOTION TO SUBSTITUTE PETITIONER

Petitioner, by and through its counsel, hereby moves to substitute Cooper Industries, Inc. as the Petitioner in the above-identified action, on the following grounds:

- (1) Until December 1, 2004, McGraw-Edison Company was a wholly-owned subsidiary of Cooper Industries, Inc.
- (2) On December 1, 2004, McGraw-Edison Company was merged into Cooper Industries, Inc. A true and accurate copy of the "Certificate of Ownership and Merger Merging McGraw-Edison Company into Cooper Industries, Inc." dated December 1, 2004 is annexed as **Exhibit 1** to this motion.
- (3) Cooper Industries, Inc. is now the owner of all assets previously owned by McGraw-Edison Company, including the publicity and commercial rights associated with the name, likeness and signature of the famous inventor Thomas Edison (the "EDISON Publicity Rights") and the trademarks EDISON, THOMAS A. EDISON and MCGRAW-

EDISON and the U.S. registrations therefore (the "EDISON Trademarks"), which are the subject of this action.¹

WHEREFORE, Petitioner respectfully requests that the Board substitute Cooper Industries, Inc. as the Petitioner in this action.

Dated: December 30, 2004

Respectfully submitted,

McGRAW-EDISON COMPANY

By its attorneys,

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¹ Concurrently with this motion, Petitioner is filing a motion for summary judgment.

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **MOTION TO SUBSTITUTE PETITIONER** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Robert L. Go

Delaware

PAGE 1

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"MCGRAW-EDISON COMPANY", A DELAWARE CORPORATION,

WITH AND INTO "COOPER INDUSTRIES, INC." UNDER THE NAME OF
"COOPER INDUSTRIES, INC.", A CORPORATION ORGANIZED AND EXISTING
UNDER THE LAWS OF THE STATE OF OHIO, AS RECEIVED AND FILED IN
THIS OFFICE THE THIRTIETH DAY OF NOVEMBER, A.D. 2004, AT 7:03
O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.

3888590 8100**M**

040860704

Darriet Smith Hindson

arriet Smith Windsor. Secretary of State **AUTHENTICATION:** 3511306

DATE: 12-01-04

State of Dalaware Secretary of State Division of Corporations Delivered 07:12 PM 11/30/2004 FILED 07:03 PM 11/30/2004 SRV 040860704 - 2059181 FILE

CERTIFICATE OF OWNERSHIP AND MERGER

MERGING

McGraw-Edison Company

INTO

Cooper Industries, Inc

Cooper Industries, Inc. a corporation organized and existing under the laws of the State of Ohio,

DOES HEREBY CERTIFY:

FIRST: That this corporation was incorporated on the 8th day of January, 1919, pursuant to the General Corporation Law of the State of Ohio, the provisions of which permit the merger of a subsidiary corporation of another state into a parent corporation organized and existing under the laws of said state.

SECOND: That this corporation owns all of the outstanding shares of the stock of McGraw-Edison Company, a corporation incorporated on the 11th day of April, 1985, pursuant to the General Corporation Law of the State of Delaware.

THIRD: That this corporation, by the following resolutions of its Board of Directors, duly adopted by the unanimous written consent of its members dated November 29, 2004 as filed with the minutes of the Board, determined to merge into itself said McGraw-Edison Company:

Merger with McGraw-Edison Company.

RESOLVED, that the Merger Agreement providing for the merger of McGraw-Edison Company, a Delaware corporation and wholly-owned subsidiary of the Corporation, with and into the Corporation be and hereby is authorized and approved;

RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized to execute and deliver on behalf of the Corporation the Merger Agreement, substantially in the form and on the terms and conditions approved in the foregoing resolution, together with such additions, changes or amendments as such officer shall approve, his or her execution and delivery thereof to be conclusive evidence of such approval;

RESOLVED, that the merger shall become effective on November 30, 2004;

RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized for and on behalf of the Corporation to prepare, execute and file a Certificate of Ownership and Merger as prescribed by the laws of the State of Delaware;

RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized for and on behalf of the Corporation to prepare, execute and file a Certificate of Merger as prescribed by the laws of the State of Ohio; and

RESOLVED, that the officers of the Corporation be, and each of them hereby is. authorized for and on behalf of the Corporation to execute and deliver any and all other documents and instruments, make any and all filings and to take any and all actions as in their judgment may be necessary, desirable or appropriate (their taking of any such action to be conclusive evidence thereof), in order to carry out the intent and purposes of the foregoing resolutions.

FOURTH: That this corporation survives the merger and may be served with process in the State of Delaware in any proceeding for enforcement of any obligation of McGraw-Edison Company 23 well as for enforcement of any obligation of the surviving corporation arising from the merger, including any suit or other proceeding to enforce the right of any stockholder as determined in appraisal proceedings pursuant to the provisions of Section 262 of the General Corporation Law of the State of Delaware, and it does hereby irrevocably appoint the Secretary of State of Delaware as its agent to accept service of process in any such suit or other proceeding, The address to which a copy of such process shall be mailed by the Secretary of State of Delayare is Cooper Industries, Inc., c/o General Counsel, 600 Travis, Suite 5800, Houston, TX 77002 until the surviving corporation shall have hereafter designated in writing to the said Secretary of State a different address for such purpose. Service of such process may be made by personally delivering to and leaving with the Secretary of State of Delaware duplicate copies of such process, one of which copies the Secretary of State of Delaware shall forthwith send by registered mail to Cooper Industries, Inc. at the above address,

IN WITNESS WHEREOF, Cooper Industries, Inc. has caused this Certificate to be signed by Terrance V. Helz, its Associate General Counsel and Secretary this 29th day of November, 2004.

COOPER INDUSTRIES, INC.

Terrance V. Helz

Associate General Counsel and

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		v	
McGRAW-EDISON COM	PANY,	:	
		:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, INC.,		:	
		:	
	Registrant.	:	
		X	

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

<u>December 30, 2004</u>

Date

Roberto L. Gomez

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gome

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

	••	
COMPANY,	X :	
Petitioner,	: :	
	:	Cancellation No. 92,042,545
INC.,	:	
Registrant.	; ; v	
	Petitioner,	Petitioner, :

DECLARATION OF JOSHUA S. BROITMAN

Joshua S. Broitman declares pursuant to 37 C.F.R. §2.20 and 28 U.S.C. §1746:

- 1. I am a partner of the firm of Ostrager Chong Flaherty & Broitman P.C., counsel for petitioner McGraw-Edison Company. I am competent to make this declaration and, except as otherwise stated, I have personal knowledge of the facts contained in this declaration.
- 2. Attached as Exhibit 1 is a true and accurate copy of the transcript of the deposition of defendant Robert P. Cross ("Cross Dep.").
- 3. Attached as Exhibit 2 is a true and accurate copy of Registrant's Answers to Petitioner's First Set of Interrogatories.
- 4. Attached as Exhibit 3 are true and accurate copies of pages 969-971 of The New Encyclopædia Britannica (Macropædia), Vol. 17 (15th Ed.)

5. Attached as Exhibit 4 is a true and accurate copy of a printout dated October 27, 2004 from web site of Cooper Industries at http://www.cooperpower.com/products/systems/SE_edison.asp

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- 6. Petitioner and its predecessors have policed and continue to police the market against the unauthorized use of the name, signature and likeness of Thomas Edison as exemplified by the true and accurate copies of the documents listed below, which are attached as Exhibit 5, to wit:
 - a. Final Judgment and Permanent Injunction filed March 31, 2000 in the case of McGraw-Edison Company and Cooper Industries v. Thomas A. Edison, Inc. et al., Civil Action No. H-99-1989, U.S. District Court, Southern District of Texas (ME01362-ME01368);
 - b. U.S. Dept. of Commerce Patent and Trademark Office ("PTO") Notice of Cancellation No. 29,666 (ME03358) with attached with attached Trademark Trial and Appeal Board Inquiry System ("TTABVUE") Report dated October 29, 2004
 - c. PTO Notice sustaining the opposition of opposer McGraw-Edison against applicant Baby Einstein Co. in Opposition No. 91,151,299 with attached TTABVUE Report dated October 29, 2004.
 - d. PTO Notice sustaining the opposition of opposer McGraw-Edison against applicant B&P Lamp Supply, Inc. in Opposition No. 91,155,190 with attached TTABVUE Report dated October 29, 2004.
 - e. Letter dated December 2, 1999 from James W. Feltus to Glenn F. Ostrager (ME03249);

f. Agreement dated July 18, 2002 between McGraw-Edison and Ben Makabi (ME04487-ME04491);

- g. Agreement dated August 22, 2002 between McGraw-Edison and Edison Lighting Fixture Manufacturing Corp. (ME03439-ME03436); and
 - h. TTABVUE Report dated October 29, 2004 for Opposition No. 91,154,206
- 7. Attached as Exhibit 6 is a true and accurate copy of the Answer and Affirmative Defenses filed by the defendant in the case of *Consolidated Edison Company of New York, Inc.* v. Edison International, 97 Civ. 7311 (LBS), U.S. District Court for the Southern District of New York. (ME01616-01640).
- 8. Attached as Exhibit 7 is a true and accurate copy of a letter dated March 22, 1999 from Peter R. Hagerty to the Assistant Commissioner for Trademarks together with its accompanying attachments and which is labeled as MULE-00142 to 00149.
- 9. Attached as Exhibit 8 is a true and accurate copy of a printout dated September 22, 2004 from the web site of Mule Lighting (http://www.mulelighting.com/) and previously marked as Cross Dep. Exhibit No. 6.
- 10. Attached as Exhibit 9 is a true and accurate copy of printouts from the web site of Cooper Lighting and previously marked as Cross Dep. Exhibit No. 24.

11. Attached as Exhibit 10 are certified copies of the status and title of the following United States Trademark Registrations: Registration Nos. 372,127; 409,187; 1,288,874; 1,636,822; 1,644,681; 2,443,841; 2,495,399; 2,726,711; 2,294,981; and 2,015,393.

- 12. Attached as Exhibit 11 is a true and accurate copy of a printout dated

 September 27, 2004 from the web site of Mule Lighting and previously marked as Cross Dep.

 Exhibit No. 4.
- 13. Attached as Exhibit 12 is a true and accurate copy of a LEDISON Series brochure previously marked as Cross Dep. Exhibit No. 5 which is Bates labeled MULE-00032 to 00036.
- 14. Attached as Exhibit 13 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00152 to 00156 and previously marked as Cross Dep. Exhibit No. 7.
- 15. Attached as Exhibit 14 is a true and accurate copy of purchase orders bearing Bates stamp MULE-00241 to 00265 and previously marked as Cross Dep. Exhibit No. 8.
- 16. Attached as Exhibit 15 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00157-00163 and previously marked as Cross Dep. Exhibit No. 9.
- 17. Attached as Exhibit 16 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00164 to 00181 and previously marked as Cross Dep. Exhibit No. 10.

18. Attached as Exhibit 17 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00182 to 00205 and previously marked as Cross Dep. Exhibit No. 11.

- 19. Attached as Exhibit 18 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00206 to 00223 and previously marked as Cross Dep. Exhibit No. 12.
- 20. Attached as Exhibit 19 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00224 to 00240 and previously marked as Cross Dep. Exhibit No. 13.
- 21. Attached as Exhibit 20 is a true and accurate copy of an inventory control document bearing a run date of 08/31/04 and previously marked as Cross Dep. Exhibit No. 14.
- 22. Attached as Exhibit 21 is a true and accurate copy of a document bearing the heading "overture google TRA" and previously marked as Cross Dep. Exhibit No. 16.
- 23. Attached as Exhibit 22 is a true and accurate copy of a set of documents bearing the header "Thomas Rankin Associates" and previously marked as Cross Dep. Exhibit No. 21.

24. Attached as Exhibit 23 is a true and accurate copy of a set of documents bearing the header "Thomas Rankin Associates" and previously marked as Cross Dep. Exhibit No. 22.

Pursuant to the provisions of 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

Executed on this 30th day of December 2004.

JØSHUA S. BROITMAN

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **DECLARATION OF JOSHUA S. BROITMAN** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L. Gomez

1	BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD
2	
3	In the Matter of Trademark Registration No. 2,324,402
4	
5	Mark: LEDISON
6	
7	Petitioner
8	vs. Cancellation No. 92,042,545
	MULE LIGHTING, INC.
9	
10	
11	DEPOSITION OF ROBERT P. CROSS, a Witness in the above-entitled case, taken on behalf of the
12	Petitioner, before Linda L. Guglielmo, RPR-RMR, a
13	Notary Public in and for the State of Rhode Island, at the offices of Allied Court Reporters, 115 Phenix Avenue, Cranston, Rhode Island on
14	·
15	
16	APPEARANCES: FOR THE PETITIONEROSTRAGER, CHONG, FLAHERTY & BROITMAN, PC
17	BY: GLENN OSTRAGER, ESQUIRE 250 PARK AVENUE
18	NEW YORK, NY 10177-0899
19	FOR THE REGISTRANTCANTOR COLBURN, LLP BY: CHARLES F. O'BRIEN, ESQUIRE
20	
21	
22	
23	
24	CRANSTON, RHODE ISLAND 02920 401/946-5500

•			
Page 2			Page 4
1 INDEX	1	Q.	Fine. Sir, you're here pursuant to this notice to
2 WITNESS PAGE ROBERT CROSS	2	•	testify regarding your knowledge concerning the
3 EXAMINATION BY MR. OSTRAGER3	3		matters in controversy in this proceeding and
4 5	4		you've also been designated by Mule Lighting, Inc.
EXHIBITS	5		as their representative to testify with regard to
6 (PETITIONER'S) NO. DESCRIPTION PAGE	6		the subject areas set forth at Page 3. I'd ask
7 1 NOTICE OF DEPOSITION3 2 PETITION FOR CANCELLATION17	7		that you turn to Page 3 and ask you to review the
8 3 PATENT APPLICATION31 4 MULE dynalux WEBSITE PAGES38			
9 5 LEDISON SERIES DOCUMENTS 00032 TO 0003639	8		list of subject areas and confirm for us that
6 MULE WEBSITE PAGES42 10 7 MULE INVENTORY CONTROL REPORT57	9		you're knowledgeable regarding the 20 listed
8 PURCHASE ORDERS, 00241 TO 26561 11 9 MULE INVENTORY CONTROL REPORT, 00157 TO	10		items?
16375 12 10 MULE INVENTORY CONTROL REPORT, 00164 TO	11	_	A. Yes, I am.
18183	12	Q.	Have you reviewed all 20, sir?
13 11 MULE INVENTORY CONTROL REPORT, 00182 TO 20587	13		A. Yes.
14 12 MULE INVENTORY CONTROL REPORT, 00206 TO 22391	14	Q.	Sir, what is your affiliation or association with
15 13 MULE INVENTORY CONTROL REPORT, 00224 TO 24092	15		Mule Lighting?
16 14 MULE INVENTORY CONTROL REPORT94	16		A. President.
15 OVERTURE EXPENDITURE REPORTS96 17 16 OVERTURE, GOOGLE, TRA REPORT98	17	Q.	Okay. How long have you held that position?
17 OVERTURE SERVICES STATEMENTS	18		A. 1975.
19 GOOGLE INVOICE101 19 20 GOOGLE DOCUMENTS102	19	0.	Until the present?
21 THOMAS RANKIN DOCUMENTS104 20 22 THOMAS RANKIN INVOICES105	20	•	A. Yes.
23 COOPER LIGHTING WEB PAGES105	21	0.	Sir, could you please describe your educational
21 24 COOPER/McGRAW-EDISON WEB PAGES109 25 COOPERLED LED TRAFFIC SIGNALS WEB PAGES112	22		background.
22 26 COOPER LIGHTING ATLITE WEB PAGES112 27 "ABOUT COOPER" WEB DOCUMENTS114	23		A. Bachelor's Degree in accounting, Master's in
23 24	24		Business Administration, Northeastern University.
	-		business naministration, Northeastern oniversity.
D2			Page 5
Page 3 1 (DEPOSITION COMMENCED AT 9:27 A.M.)	1	0	When did you obtain your degree from Northeastern
1	2	ų.	University?
2 ROBERT P. CROSS 3 Being duly sworn, deposes and testifies as follows:	3		A. The early '70s.
	4	0	Could you describe your employment history prior
4 THE REPORTER: Would you state	5	Ų.	
5 your full name for the record, please.	1		to joining Mule?
6 THE WITNESS: Robert Cross.	6		A. I was in the military, U.S. Air Force in the
7 EXAMINATION BY MR. OSTRAGER	7		'60s, then I held a couple of part-time jobs while
8 Q. Mr. Cross, my name is Glenn Ostrager, I'm with the	8		I was going to college, and then I began working
9 firm Ostrager, Chong, Flaherty & Broitman, we're	9		for Tyco Laboratories (phonetic) now known as Tyco
10 located in New York. I'm an attorney for Cooper	10		International and worked there for about three
11 Industries, Inc. and McGraw-Edison Company with	11		years and then came to Mule.
the affiliate of Cooper Industries. We're here	12	Q.	What position did you hold with Tyco Laboratories?
today pursuant to a notice of deposition in a	13		A. Assistant treasurer.
13 today pursuant to a notice of deposition in a		O.	Sir, what type of business is Mule Lighting?
patent for cancellation proceedings captioned	14	٠.	, ,,
, ,	14 15	•	A. We manufacture and distribute emergency
14 patent for cancellation proceedings captioned	1		
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc.	15		A. We manufacture and distribute emergency
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc. First thing I'd like to do is show you a notice of deposition which we'll mark as Petitioner's	15 16		A. We manufacture and distribute emergency lighting, exit signs and LED lighting products at
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc. First thing I'd like to do is show you a notice of deposition which we'll mark as Petitioner's Exhibit 1, and I'll ask you if you've ever seen	15 16 17		A. We manufacture and distribute emergency lighting, exit signs and LED lighting products at this time.
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc. First thing I'd like to do is show you a notice of deposition which we'll mark as Petitioner's Exhibit 1, and I'll ask you if you've ever seen that document before?	15 16 17 18		A. We manufacture and distribute emergency lighting, exit signs and LED lighting products at this time. From 1975 to the present, has the business always been approximately what you just described?
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc. First thing I'd like to do is show you a notice of deposition which we'll mark as Petitioner's Exhibit 1, and I'll ask you if you've ever seen that document before? (PETITIONER'S EXHIBIT 1	15 16 17 18 19 20		A. We manufacture and distribute emergency lighting, exit signs and LED lighting products at this time. From 1975 to the present, has the business always been approximately what you just described? A. That, plus we're in the battery standby
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc. First thing I'd like to do is show you a notice of deposition which we'll mark as Petitioner's Exhibit 1, and I'll ask you if you've ever seen that document before? (PETITIONER'S EXHIBIT 1 MARKED FOR IDENTIFICATION)	15 16 17 18 19 20 21		A. We manufacture and distribute emergency lighting, exit signs and LED lighting products at this time. From 1975 to the present, has the business always been approximately what you just described? A. That, plus we're in the battery standby battery manufacturing business for up through
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc. First thing I'd like to do is show you a notice of deposition which we'll mark as Petitioner's Exhibit 1, and I'll ask you if you've ever seen that document before? (PETITIONER'S EXHIBIT 1 MARKED FOR IDENTIFICATION) A. Yes, I have.	15 16 17 18 19 20 21 22	Q.	A. We manufacture and distribute emergency lighting, exit signs and LED lighting products at this time. From 1975 to the present, has the business always been approximately what you just described? A. That, plus we're in the battery standby battery manufacturing business for up through 1986, I think.
patent for cancellation proceedings captioned McGraw-Edison Company against Mule Lighting, Inc. First thing I'd like to do is show you a notice of deposition which we'll mark as Petitioner's Exhibit 1, and I'll ask you if you've ever seen that document before? (PETITIONER'S EXHIBIT 1 MARKED FOR IDENTIFICATION)	15 16 17 18 19 20 21	Q.	A. We manufacture and distribute emergency lighting, exit signs and LED lighting products at this time. From 1975 to the present, has the business always been approximately what you just described? A. That, plus we're in the battery standby battery manufacturing business for up through

_		1		
1	Page 6			Page 8
1	Q. Where is it incorporated?	1	Q.	And are you active in the Chicago area?
2	A. Rhode Island.	2		A. I'm sure we are.
3	Q. Who are the owners of the business?	3	Q.	What is your sales percent percentage of sales
4	A. Myself, 100 percent.	4		area in the Chicago area?
5	Q. Did you acquire the business in 1975?	5		A. I have no idea.
6	A. In 1975 from Tyco.	6	0.	Would you have documents that would reflect your
7	Q. I apologize that I asked you this, where is Mule	7		sales in the New York City and the Chicago areas?
8	Lighting, Incorporated?	8		A. I don't know how I could do that. The reason
9	A. Rhode Island.	9		I say that is, see, we sell into distribution, we
10	Q. Rhode Island. And where is the business active in	10		don't sell to the end user. So, I could very well
11	what parts of the country or world?	11		sell to an electrical distributor in some suburb
12	A. We distribute our products pretty much	12		of Chicago, and it could stay in that suburb or it
13	throughout the U.S., it's a limited amount of	13		could end up in Chicago, same with New York City.
14	export as well.	14		I know we have sales into Illinois and New York
15	Q. What is the approximate dollar volume of Mule	15		State.
16	Lighting's business for 2002 and 2003?	16	'n	
17		ì	Q.	How many distributors do you have?
18	MR. O'BRIEN: For the record, if you can designate this part of the record as	17	^	A. I'd say we have several hundred.
19	confidential.	18	Ų.	Several hundred. Where are they located?
20		19	^	A. Throughout the United States.
21	MR. OSTRAGER: Fine. Why don't we	20	Ų.	Do you have distributors located in the Chicago
1	afford you an opportunity to review the entire	21		area?
22	transcript.	22	_	A. Yes.
23	MR. O'BRIEN: That's an easier way to	23	Q.	Do you have distributors located in the New York
27	do it.	24		City Metropolitan area?
1				,
\vdash	Page 7			
1	Page 7 MR. OSTRAGER: And you can designate	1		Page 9
1 2	MR. OSTRAGER: And you can designate	1 2	· · · · · · · · · · · · · · · · · · ·	Page 9 A. I'm not sure. Right in the city, you mean?
2	MR. OSTRAGER: And you can designate whatever you want as confidential.	2		Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan
	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year	2	***************************************	Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area.
2 3 4	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year each year.	2 3 4	0	Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area. A. Off the top of my head, I don't know.
2 3 4 5	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year each year. Q. Over what time period has your company maintained	2 3 4 5	Q.	Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area. A. Off the top of my head, I don't know. Would you have documents that one could look at to
2 3 4 5 6	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year each year. Q. Over what time period has your company maintained that dollar volume?	2 3 4 5 6	Q.	Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area. A. Off the top of my head, I don't know. Would you have documents that one could look at to identify where your distributors are located?
2 3 4 5 6 7	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year each year. Q. Over what time period has your company maintained that dollar volume? A. A number of years, five, maybe.	2 3 4 5 6 7	Q.	Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area. A. Off the top of my head, I don't know. Would you have documents that one could look at to identify where your distributors are located? A. Yes.
2 3 4 5 6 7 8	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year each year. Q. Over what time period has your company maintained that dollar volume? A. A number of years, five, maybe. Q. Is your company active in marketing its product in	2 3 4 5 6 7 8	Q.	Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area. A. Off the top of my head, I don't know. Would you have documents that one could look at to identify where your distributors are located? A. Yes. MR. OSTRAGER: I request production
2 3 4 5 6 7 8 9	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year each year. Q. Over what time period has your company maintained that dollar volume? A. A number of years, five, maybe. Q. Is your company active in marketing its product in New York City?	2 3 4 5 6 7 8 9		Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area. A. Off the top of my head, I don't know. Would you have documents that one could look at to identify where your distributors are located? A. Yes. MR. OSTRAGER: I request production of those documents.
2 3 4 5 6 7 8 9	MR. OSTRAGER: And you can designate whatever you want as confidential. A. The answer is between 3 and 4 million a year each year. Q. Over what time period has your company maintained that dollar volume? A. A number of years, five, maybe. Q. Is your company active in marketing its product in New York City? A. I don't know what you mean by active.	2 3 4 5 6 7 8 9		Page 9 A. I'm not sure. Right in the city, you mean? MR. OSTRAGER: New York Metropolitan area. A. Off the top of my head, I don't know. Would you have documents that one could look at to identify where your distributors are located? A. Yes. MR. OSTRAGER: I request production of those documents. Do you have distributors located in the Houston,
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Page 12 Page 10 O. Do you engage in any other type of -- what other emergency lighting? 1 2 type of marketing activities does your company 2 A. 1972. 3 3 Q. So that predates your arrival? engage in? 4 A. Printing catalogs. 4 A. I was the general manager of Mule for Tyco. Q. And what is your distribution of your catalogs? Q. I see. And to whom do you market your 5 5 6 battery-operated emergency lighting? 6 A. They're mailed usually by customer request or we distribute them to our manufacturer's reps who 7 7 A. Basically electrical wholesalers and would redistribute them. Requests come over the 8 distributors. 8 9 Internet, the website, a lot go out that way. 9 Q. Okay. Approximately what percentages of that Q. How many a year do you send out? 10 10 product category represent your overall sales? MR. O'BRIEN: Do you mean currently? 11 A. Between five and 10,000, I'd say. 11 12 MR. OSTRAGER: Currently, the last 12 Q. Are you engaged in any other type of advertising 13 activities? 13 two years. 14 A. Internet advertising, people like Google and 14 A. 30 percent. 15 15 Q. To whom do you market exit signage? Overture. Q. Do you attend any trade shows? 16 A. Electrical wholesalers and distributors. 16 Q. And approximately what percentage does exit 17 A. Some. Although, I can't think when the last 17 18 signage represent your overall sales volume? 18 one was. 19 A. 30 percent. 19 Q. Do you recall the last --20 Q. To whom do you market LED lighting products? 20 A. Oh, yes, I was in Lightfair in New York City. A. Electrical wholesalers and distributors. 21 Q. What type of products are prepped at trade show 21 22 Q. Approximately what percentage does LED lighting 22 such as Lightfair? A. Lighting products of all types. 23 products represent of your overall sales? 23 24 Q. Would it be fair to say that your competition is 24 A. Again, some of the exit products are LED, so Page 13 Page 11 the lighting industry in general? other than that --1 1 O. To whom do you market batteries? 2 2 A. Yes, it would. 3 3 A. Primarily electrical wholesalers and Q. Who are your major competitors? 4 4 A. Well, let's see, Lithonia Lighting, I think distributors. Q. What type of batteries are we talking about? 5 5 is the biggest. 6 A. All kinds of batteries, replacement batteries 6 Q. Where are they located? 7 7 for emergency lights for UPS systems, laptop A. Lithonia, Georgia. 8 computers, all kinds of things. 8 Q. What do they market? 9 Q. If my mathematics is correct, would it be fair to 9 A. Pretty much a full range of lighting 10 say batteries represent about 25 percent of your 10 products. 11 sales volume? 11 Q. Who are your other competitors? 12 A. Uh-huh. 12 A. Cooper Industries. 13 Q. How does your company market its products? 13 Q. Okay. And to your knowledge, what does Cooper 14 A. We -- well, we have a public relations firm 14 Industries market? 15 that does periodic, what do you call them, news 15 A. A pretty complete line of lighting products 16 releases and product applications, stories that 16 and at least two of their subsidiary companies are 17 are submitted to various magazines product 17 direct competitors of mine in the emergency 18 18 release, things like that. lighting. 19 Q. What's the name of your public relations firm? 19 O. Who are they? 20 A. TRA Associates, located in East Greenwich, 20 A. All those names are so similar. 21 Rhode Island. 21 Q. Let me see if I can help you out. 22 Q. Okay. And approximately how much do you spend a 22 A. I want to highlight. Q. Are you familiar with a company by the name of 23 year working with your public relations firm? 23

24

AtLite?

A. 25 to \$50,000 a year.

•		•			
		Page 14			Page 16
1	_	A. AtLite, that's one of them.	1	Q.	Do you ever encounter Cooper Industries at various
2	Q.	What's your knowledge of AtLite?	2		trade shows?
3		A. They sell battery-operated emergency lighting	3		A. I don't recall ever saying Cooper Industries
4	_	and exit signs, too, I believe.	4	_	but divisions of theirs.
5	Q.	Do you encounter AtLite in the marketplace?	5	Q.	Fair enough. You've seen AtLite?
6	_	A. I'm sure we do.	6	_	A. Yes.
7	Ų.	What's the name of the other subsidiary that you	7	Q.	You've seen Cooper Lighting?
8		were thinking of?	8	_	A. Maybe I have.
9	_	A. I know there are at least two.	9	Ų.	Would you say — would you agree that the
10	Ų.	Are you familiar with a company by the name of	10		marketplace generally understands that Cooper
11		Cooper Lighting?	11		Industries has many different divisions that
12	_	A. Cooper Lighting? As a corporation, yeah.	12		market lighting products?
13	Ų.	What is your knowledge of Cooper Lighting?	13		A. Uh-huh.
14	_	A. I believe they're a holding company.	14		MR. O'BRIEN: Objection to form. For
15	Q.	Who are your other competitors in the market?	15		the record, I assume we're working under the
16		A. Other competitors would be companies like	16		normal stipulations.
17		Extronics (phonetic) located in either Arizona or	17		MR. OSTRAGER: Sure, we are. Go
18	_	Nevada.	18		ahead, you can answer the question, sir.
19	Ų.	What does Extronics market?	19		A. My perception of Cooper Lighting is that it's
20	^	A. Exit signs.	20		a holding company. I'm not specifically aware
21 22	Ų.	Any other competitors?	21 22		that they manufacture and sell or distribute
23	0	A. A company called Bodine, B-o-d-i-n-e. What do they market?	23		lighting products directly under that name. It
24	Ų.	A. Emergency ballasts.	24		always seems to me it's under some subsidiary division or affiliate's name.
		- Indigency bandous			division of difficulty states.
١.	_	Page 15		_	Page 17
	Ų.	Any others?	1	Q.	But you understand Cooper Industries and its
2		A. A company called Big Beam, I believe located	2		affiliate market all different types of lighting
3	^	in Illinois.	3		products?
5	Ų.	What does Big Beam market?	4		A. Oh, sure. Absolutely. Yes.
6	0	A. Exit signs and emergency lighting. Anybody else?	5		MR. OSTRAGER: Sir, I'm going to mark
7	Ų.	A. Sure. There is dozens of them.	6		as Petitioner's Exhibit 2 a petition for
	^	and the second s	7		cancellation. After it's marked, we'll show it to
8	Ų.	Why don't you give them to us?	8		you and ask you if you've ever seen it.
10		A. If I had known this, I could have produced a list of 50 companies.	9		(PETITIONER'S EXHIBIT 2
11	^		10		MARKED FOR IDENTIFICATION)
12	Q.	Do you have any literature that would identify	11	_	A. Yes, I have seen it.
13		your competitors that you maintain in your offices?	12	Q.	•
14			13	^	THE WITNESS: Pardon?
15		A. No. Maybe some old catalogs, but it would be pretty sparse.	14 15	Q.	,
16	0	Have you ever conducted any analysis or survey of	16	Q.	A. I've reviewed it. I didn't read it verbatim.
17	٧.	the marketplace and your competitors in general?	17	ų.	Are you aware that Cooper Industries is the proprietor of the mark Edison?
18		A. No.	18		A. I wasn't.
19	0	Is your knowledge of these other entities reflect	19	0	
20	٧.	just your daily business activity?	20	Q.	- · · · · · · · · · · · · · · · · · · ·
21		A. Correct.	21	0	A. Today, I am.
22	O.	Do you ever encounter these different entities at	22	Q.	When did you first learn that Cooper Industries and its affiliates had an interest and owned the
23	٧.	trade shows?	23		trademark Edison?
1 -		Δ Sure	23		A Drobably when I received this notition

24

A. Sure.

A. Probably when I received this petition.

Page 18 Page 20 Q. Is it your testimony that you've never encountered 1 brand name LEDison? 2 2 an Edison product in the marketplace? A. Yes, we do. 3 Q. What product or products do you market under the A. No. I just never associated it with Cooper 3 4 4 Industries. brand name LEDison? 5 5 Q. Well, have you ever seen or encountered an Edison A. It is a lamp made from light emitting diodes. 6 lighting product in the marketplace? Q. When did you first begin to use the brand name 7 7 LEDison? A. No, I don't think I have. 8 8 Q. To this day you've never seen an Edison product in A. I think the question was answered somewhere 9 the marketplace? 9 along the lines. 10 THE WITNESS: A lighting product 10 Q. I'm asking based upon your present recollection when did you first start marketing the products? 11 that said Edison on it? 11 12 MR. OSTRAGER: Yes. 12 A. The late 1990s. 13 A. I don't think so. 13 Q. Can you be more specific? 14 Q. From 1972 until the present, you've never 14 A. Not without looking at my records. 15 encountered an Edison Lighting product in the 15 Q. Fair enough. Sir, could you describe for us -market; is that your testimony, sir? 16 16 you said an LED bulb? 17 A. I don't think I ever have. 17 A. Yes. 18 Q. After you received this petition for cancellation, 18 Q. Any other product? 19 did you conduct any investigation concerning the 19 A. No. 20 20 allegations that are contained --Q. Could you tell us what the derivation is of the 21 MR. O'BRIEN: I'm going to object to 21 trademark LEDison? 22 the extent it calls for any communication between 22 A. Sure. It is a contraction of LEDison. The 23 attorney/client. 23 advantage of LED lamps is the long life, plus the 24 MR. OSTRAGER: Fine. 24 energy saving. So, I was looking for a name that Page 19 Page 21 1 MR. O'BRIEN: Go ahead and answer. 1 was short, that would describe what the product 2 A. Was there an investigation? What was the 2 was and a feature of it, we'll say. Now, 3 3 question? originally, in coming up with the name, I had it 4 Q. Any allegations contained in the petition. 4 as capital LED dash IS dash ON all the time, 5 MR. O'BRIEN: Let me say with respect 5 that's the implication. But I discarded that 6 to the objection, I don't want you to divulge any 6 because it wouldn't make for a good domain name. 7 7 communications that you had with any of your So I discarded the dashes and came up with 8 attorneys after this cancellation was filed, but 8 LEDison. 9 if you had any independent investigations, talk 9 Q. Now, do you have any familiarity with an inventor 10 about that. 10 by the name of Thomas A. Edison? 11 A. No. 11 A. Yes. 12 Q. Did you go on the Internet and take a look and see 12 Q. Who is Thomas A. Edison? 13 whether you could find any Edison-related 13 A. An inventor of the incandescent lamp, I 14 products? 14 believe. 15 A. I believe I went on the Internet and looked 15 Q. Am I correct in understanding that Thomas A. 16 up McGraw-Edison. 16 Edison is associated with light bulbs? 17 Q. And what did you find? 17 MR. O'BRIEN: Objection to the form. 18 A. That they manufactured lighting products but 18 MR. OSTRAGER: You can answer the 19 were not a competitor of mine. 19 question. 20 Q. Did you talk to anybody about Cooper Industries or 20 A. Yes. 21 McGraw-Edison Company and the Edison brand mark 21 Q. You're knowledgeable about Thomas A. Edison? 22 other than your attorney? 22 23 A. No. 23 Q. Would you agree Thomas A. Edison is one of the 24 Q. Sir, does your company market a product under the 24 most famed inventors of the last century?

Page 24

Page 25

Page 22 A. No. 1 1 A. Yes. 2 Q. Sir, how many -- could you describe for us your 2 O. Would you agree that his fame is associated with 3 company's intellectual property portfolio? 3 light bulbs --A. Well, we have some trademarks and we also 4 MR. O'BRIEN: Objection to form. 4 5 have some patents on LED bulbs, that's the extent 5 Q. -- in part, at least? 6 A. I think he has thousands of patents, doesn't 6 of it. 7 Q. Let's go back to your time with Tyco. During your he? So, many, many things. 7 8 career, prior to your acquisition of Mule, did you 8 Q. But certainly light bulbs? 9 MR. O'BRIEN: Objection to form. 9 ever have any responsibility for conducting due diligence investigations concerning property 10 10 A. Definitely one of them. Q. Now, when -- am I also correct in understanding rights, intellectual property rights? 11 11 12 A. No. 12 that you yourself selected the trade market 13 Q. Who in your company, if anybody, has such 13 LEDison? 14 responsibility today? A. Yes. 14 15 A. No one within the company. Q. Did you consult with anybody else concerning that 15 16 Q. Is that your responsibility, sir? 16 selection? 17 A. If it were to fall on anybody, it would be 17 18 me, yes. Q. When you conceived of the mark LEDison, did it in 18 19 any respect suggest to you an association with 19 Q. How many employees does Mule have? 20 20 Edison, the inventor? 21 Q. Okay. You said you have some trademarks, how many 21 A. No, it did not. 22 22 Q. Did it, apart from your thought that it was trademarks do you have? 23 A. Let's see, I believe four -- five. 23 LED-IS-ON, did it occur to you that the dominant 24 24 Q. What are those trademarks, sir? portion of the mark LEDison is Edison? Page 23 A. One is dynaLUX, one is Lightworld, one is 1 MR. O'BRIEN: Objection to form. 1 2 LEDalux and one is LEDison. I believe that's it 2 A. No, it isn't. As a matter of fact, because 3 3 the stylized depiction we use is capital LED, what now. 4 Q. Have you ever conducted a trademark search 4 we're promoting is light emitting diodes. LED is regarding any of these trademarks? 5 5 the thing that jumps out at you. 6 Q. Am I correct in my recollection that you testified 6 THE WITNESS: Personally? 7 7 MR. OSTRAGER: No, through counsel or earlier that you've never encountered an Edison 8 8 product in the marketplace? otherwise. 9 A. With the name Edison on it, I don't believe 9 A. Through counsel and similar to this mark here 10 10 so. in question, an Internet search. Q. When did you adopt dynaLUX? 11 O. Okay. Now, after you conceived of the trademark 11 12 LEDison, did you conduct any due diligence to 12 A. dynaLUX is a mark that Mule had back in the 13 determine that the mark was available for us? 13 '60s and '70s that lapsed, and we just renewed it 14 A. Internet search I did. 14 within the last two years. 15 Q. What type of Internet search did you conduct? 15 Q. And do you have any recollection of ever 16 A. Well, basically typing the name into a search 16 conducting any kind of database search or 17 17 trademark search working with counsel relating to Q. Do you recall which search engine you employed? 18 18 the mark dynaLUX? A. Well, I know counsel would conduct their own 19 A. I believe it was Alta Vista. 19 20 Q. Did you print out the results of your search? 20 search, if presented with a name. Are you talking 21 21 me personally? A. There were no results. Q. You found no reference to LEDison? 22 22 Q. I'm asking you, sir, whether it's your company's 23 A. No. 23 policy to conduct investigations concerning the 24 availability of trademarks for use in registration 24 Q. Or any variant of LEDison?

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1		Page 26			Page 28
1		in the United States Patent and Trademark Office?	1	Q	. Have you ever received an opinion from counsel
2		MR. O'BRIEN: You mean employees of	2		regarding the trademark Lightworld?
3		the company?	3		A. Yes.
4		MR. OSTRAGER: The company.	4	Q.	. When did you receive that opinion?
5	Q.	Is it company policy to conduct due diligence	5		A. That one is older, so I would say in the late
6		investigation before adopting a mark for use?	6		1990s.
7		THE WITNESS: Including company and	7	Q.	. Is that prior to LEDison or after LEDison?
8		counsel?	8		A. After.
9	Q.	I'm talking about Mule. Does Mule have a policy	9	Q.	Okay. And am I correct in your prior testimony
10		of conducting an investigation itself or through	10		that you've never receive an opinion concerning
11		counsel?	11		LEDalux?
12		A. I would conduct an investigation through	12		A. That one there I did online myself with the
13		basically an Internet search.	13		USPTO.
14	Q.	Okay. Has your company ever authorized its	14	Q.	After conducting a search of their databases, did
15		counsel to conduct a search relating to a	15		you conduct a search at the patent office database
16		trademark?	16		for LEDison?
17		A. Yes.	17		A. No.
18	Q.	Okay. What searches have you authorized counsel	18	Q.	Why not, sir?
19		to conduct, to your best recollection?	19		A. Well
20		A. Those names that I just delineated.	20		MR. O'BRIEN: Do you mean Mr. Cross
21	Q.	So you have asked to conduct searches regarding	21		personally?
22		each of these marks, dynaLUX, Lightworld, LEDalux	22		THE WITNESS: Did I personally?
23		and LEDison?	23		MR. OSTRAGER: You or anybody in your
24		A. All but LEDalux.	24		company.
\vdash					
١.	^	Page 27			Page 29
1 2	Q.	, , ,	1	_	A. No.
3		counsel to conduct searches on behalf of dynaLUX,	2	Q.	My question is why?
4		Lightworld and LEDalux? A. No. I said all but LEDalux.	3	^	A. Because counsel did it.
5	Ω		4	Q.	Counsel did it. When did counsel conduct that
6	Q.	Did your company receive opinions from counsel concerning the availability of the opinion	5		search?
7		- · · · · · · · · · · · · · · · · · · ·	6	_	A. In the late 1990s.
		concerning the availability of the mark dynaLUX	7	Q.	Did you receive a written opinion?
9		strike that. Did you ever obtain an opinion from	8		MR. O'BRIEN: Same objection.
10		trademark counsel concerning the availability of	9		MR. OSTRAGER: You can answer yes or
11		the mark dynaLUX for use by your company?	10		no.
12		MR. O'BRIEN: I'll object to the extent it calls for attorney/client	11		MR. O'BRIEN: You can answer yes or
13		communications. Instruct you to answer yes or no.	12		NO.
14		MR. OSTRAGER: That's fine, answer	13	_	A. I don't think so, no.
15		yes or no.	14	Ų.	Did you receive a verbal opinion?
16		A. Well, I never received anything in writing.	15 16	0	A. Yes.
17	O.	Did you receive a verbal opinion from counsel?	17	ų.	Okay. You mentioned your company has a number of patents?
18	ų.	A. Yes.	18		A. Two or three.
19	0	Approximately when did you receive that opinion?	19	0	Who obtained those patents for you, which law
20	٠,	A. I'd have to check the records. But the	20	ų.	firm? Which law firm did you work with in
21		dynaLUX you're referring to?	21		obtaining those patents?
22		MR. OSTRAGER: Yes.	22		A. It wasn't Cantor Colburn.
23		A. I would say it was in the last year, one to	23		MR. O'BRIEN: If you have a memory of
24		two years.	24		the name; I don't want you to guess.
Ľ.	Siconas Para		٠.١		the name, I don't want you to guess.
			and Shines are he	100 May	

Page 30 Page 32 Q. You don't recall. Sir, you testified a moment ago 1 submitting a trademark application on your behalf. 1 2 2 Do you see that letter? you received a verbal opinion concerning LEDison 3 3 from counsel; is that correct? A. Yes. 4 A. Yes. 4 Q. You see Peter R. Hagerty, is that the gentlemen 5 5 Q. Do you recall the name of the counsel that that provided you with a verbal opinion? 6 provided the verbal opinion? 6 A. Yes, it is. 7 7 Q. How did you come about that you were working with A. Yes. 8 8 Q. What's the name of the counsel? this particular law firm. 9 A. Peter Hagerty. 9 A. Mr. Hagerty is my son-in-law. 10 10 Q. What firm is Peter Hagerty associated with? Q. Very good. Is Mr. Hagerty now associated with Mr. 11 MR. O'BRIEN: I don't want to keep 11 O'Brien's firm? 12 interrupting you, I just want to make a general 12 A. Yes, he is. 13 13 Q. I see. Let's turn to page 00144. In the third objection. To the extent these call for attorney/ 14 client privilege, I'm objecting to it and 14 paragraph you see this is a statement 15 15 instructing him not to answer. I can object after describing -- this is actually a trademark 16 every question, I'll allow him to answer yes or 16 application. Do you recognize this document as a 17 17 no, name names, but you're not going to get into trademark application? 18 18 the substance of any communication. A. I guess, yeah. 19 MR. OSTRAGER: I'm just asking what 19 Q. Take a look -- would you take my representation 20 firm Peter is associated with. 20 this is a trademark application, sir? You 21 21 MR. O'BRIEN: That's fine. I want to needn't, sir. You see in the third full paragraph 22 preserve the right. So if you agree there is no 22 it says, "The mark was first used in connection 23 waiver of attorney/client privilege. 23 with the goods," referring to light emitting 24 24 MR. OSTRAGER: No waiver. diodes, "since December '98." Does that refresh Page 31 Page 33 1 A. He's currently with Cantor Colburn. 1 your recollection as to when you first used the 2 Q. Okay. Approximately when did you receive that 2 mark? 3 verbal opinion? 3 A. That would be the date. 4 A. The late 1990s. 4 Q. Next paragraph begins, "The mark is used by 5 Q. Did there come a time when you filed an 5 placing it on the goods by casting, molding 6 stamping or directly imprinting thereon, by use on application with the U.S. Patent and Trademark 6 7 Office to register the LEDison trademark? 7 tags or labels affixed to the goods or on 8 A. Sure. Yes. 8 containers in which they are shipped, or when such 9 MR. OSTRAGER: I'm going to mark as 9 placing is impractical on documents associated 10 Petitioner's Exhibit 3 a file history or 10 with the goods or their sale." Could you describe 11 application Number 75/674,056 for the mark LEDison 11 for us how you used the mark on the goods in 12 in the name of Mule Lighting, Inc. and it bears 12 connection with the goods? 13 Mule Bates stamp numbers, 000137 through 00149. 13 A. It would be placed on the documents. 14 (PETITIONER'S EXHIBIT 3 MARKED 14 Q. Now, at Page 148 there is a specimen showing how 15 FOR IDENTIFICATION) 15 you use the mark. Would this be representative as 16 Q. After the court reporter marks this exhibit, 16 to how you used the mark LEDison? 17 she'll show it to you and ask you whether you've 17 A. Yes, it is. 18 ever seen any of these documents. Could you 18 Q. Do you place the mark LEDison on the bulbs 19 review this exhibit and tell me whether you've 19 themselves? 20 ever seen it before? 20 A. As I recall, we may have placed the mark on 21 A. Yes, I have. 21 some of the earlier products, but I do know that 22 Q. Let's turn to Page 142, there is a letter from the 22 we quickly went to the model number as depicted on 23 law firm of Watts, Hoffmann, Fisher & Heinke to 23 this page LIL001. 24 the Assistant Commissioner for Trademarks, 24 Q. LEDison is used as a model number, sir?

Page 36 Page 34 A. No, LEDison is used to describe a series of Q. Is that your signature, sir? 1 2 2 light emitting diode lamps. A. Yes, it is. 3 3 Q. Okay. Fair enough. We're making progress. Why Q. When one purchases one of your LED bulbs as shown 4 4 don't you read the first -- I'll read the first in this picture, is the LEDison designation 5 5 anywhere on the product? few lines of this declaration, "Robert P. Cross 6 A. No. 6 states that he is president of the applicant 7 7 Q. Is it on the packaging? corporation and is authorized to execute this 8 declaration on behalf of said corporation." Is 8 A. No. 9 9 that correct, sir? Q. Is it on the bulb? 10 10 A. No. A. Yes. MR. OSTRAGER: I'd request production Q. "He believes said corporation to be the owner of 11 11 12 of a specimen bulb and packaging showing how you 12 the mark sought to be registered." What's the 13 13 basis for that statement, sir? present the product to the marketplace. 14 MR. O'BRIEN: Sure. 14 A. Well, I thought it up. I did an Internet 15 15 Q. Let's turn to page 00145, declaration and search and counsel did a more formal search as to 16 16 availability. power-of-attorney. 17 Q. Do you know what type of formal search counsel 17 THE WITNESS: Can we go back to that 18 18 conducted? other question? 19 MR. OSTRAGER: Sure. Do you want to 19 A. No, I don't. 20 20 Q. Do you have any records that would reflect what add something? 21 A. I'm seeing this, which looks like a carton 21 type of search counsel conducted? 22 label to me. 22 A. No. 23 Q. Let's take a look. Where is that, sir? 23 MR. OSTRAGER: I'd request production 24 24 A. 146. of any such search. Page 35 Page 37 O. 146. What is 146? MR. O'BRIEN: I'll look. To the 1 2 2 extent it's attorney/client privilege, we'll mark A. I believe it's the old style carton label we 3 3 used where the name is shown. I know it's not it as such and put it in privilege log. 4 done that way now, but like I said very early on, 4 Mr. OSTRAGER: To the extent it 5 this looks to be how it was, the carton was 5 exists. I'd like it identified. 6 marked. 6 Q. The paragraph proceeds, "To the best of his 7 7 Q. Well, how long did you mark it in this manner? knowledge and belief no other person, firm, 8 8 A. Few weeks, maybe. corporation or association has the right to use Q. And do you have a specimen showing that particular 9 9 said mark in commerce." What's the basis for that 10 packaging? 10 statement? 11 A. Just what you see here. I wouldn't now, 11 A. Well, the result of the search didn't produce 12 after all those years. 12 13 Q. This is like a stamp you put on some early 13 Q. It proceeds, "Either in identical form or such 14 product? 14 near resemblance thereto and to be likely when -15 A. No, it would be a label, runoff of a computer 15 applied to the goods to such other person to cause 16 in a multiple labels. 16 confusion or to cause mistake or to deceive, and 17 Q. And you placed it on the box and shipped it? 17 he is authorized to appoint and hereby appoints 18 A. Right. 18 counsel"? 19 Q. Then you stopped doing that? 19 A. Yes. 20 A. Then we went to strictly our part number and 20 Q. Do you also understand if you look at the last 21 21 model numbers on everything. three lines, "willful, false statements and the like, so made are punishable by fine or 22 Q. Okay, thank you. Let's turn to document page 145. 22 23 Did you recognize this document? 23 imprisonment." 24 A. Yes. 24 A. Yes.

Page 38 Page 40 Q. Do you see that, sir? (PAUSE) 1 1 2 A. Yes. 2 A. Okay. 3 Q. Can you tell us what this document represents? Q. MR. OSTRAGER: Sir, I'm going to mark 3 4 A. Well, let's see, these are catalog pages and 4 as Petitioner's Exhibit 4 a two-page document 5 web pages. 5 which we've printed from your website and it bears 6 the label category dynaLUX LED bulbs, LEDison 6 Q. Do you still use these particular pages in 7 7 marketing your product? Series and ask, after the court reporter marks it, 8 A. Some of these are dated. I don't think any 8 I'm going to ask you to identify it if you can. 9 (PETITIONER'S EXHIBIT 4 9 of these are in current use. MARKED FOR IDENTIFICATION) Q. On Page 32 the description starts off with, "Our 10 10 Q. Do you recognize this as a printout from your 11 11 patented LEDison Series of LED lamps is a 12 website, sir? 12 breakthrough design." Do you have a U.S. patent 13 A. I do. 13 for the particular bulbs and their technology? 14 Q. You will see underneath the designation category, 14 A. Yes, we do. 15 dynaLUX LED bulbs, you will see the designation, 15 Q. How many patents do you have? 16 LEDison Series? 16 A. I believe two apply to this. 17 A. Yes. 17 Q. And are those issued U.S. patents? Q. Is that the manner in which you use the LEDison 18 18 A. U.S. patents. 19 designation, generally? 19 Q. When did you obtain those patents? 20 A. Generally. 20 A. In the 1990s. Q. If you look at the bottom of the page, you see a Q. Okay. Could you briefly describe for us what the 21 21 22 list of specific applications, including lobby 22 technology that's the subject of the patents? 23 lighting, lighting cabinet or under cabinet, hotel 23 A. One of them is the shape of the lens and the 24 24 lighting, step lighting, high ceilings and so other one is the circuit board design. Page 39 Page 41 1 forth? Q. Does Mule Lighting have an in-house research and 1 2 A. Yes. 2 development department? 3 Q. Could you tell me what products in the marketplace 3 A. No. 4 are competitive to your LED bulbs that are for use 4 Q. Does Mule Lighting employ engineers? 5 5 in these various applications? A. No. 6 A. Incandescent or fluorescent lamps, halogen 6 Q. Could you tell us where the technology originated 7 7 lamps, maybe neon lighting in some cases. that's the subject of these two patents? 8 Q. Would it be fair to characterize these various 8 A. It originated in China. 9 applications as mostly commercial in nature? 9 Q. Who is the inventor of the technology? 10 A. Yes. 10 A. I believe the name of the firm is Shanghai 11 Q. Do these bulbs have application in the residential 11 Baoshan. 12 market as well? 12 Q. Who are the named inventors on the two patents 13 A. Not -- residential? These specific bulbs? I 13 that you hold for these bulbs? 14 don't believe so. 14 A. Employees of that company. 15 MR. OSTRAGER: I'm going to mark as 15 Q. And does the Shanghai entity assign these rights 16 Petitioner's Exhibit 5 a document entitled LEDison 16 in this technology to Mule Lighting? 17 Series, Energy Efficient Long Life and it bears 17 A. Let's see, how did that work? I think it was 18 Mule Bates stamp number 00032 through 00036. And 18 a joint application. 19 after the court reporter marks it, I'll ask you to 19 Q. Who are the named inventors on the patents? Are 20 take a look at it and identify it if you can. 20 you a named inventor, sir? 21 (PETITIONER'S EXHIBIT 5 21 A. No. 22 MARKED FOR IDENTIFICATION) 22 Q. Is anybody in your company a named inventor? 23 Q. Take your time and I ask you to turn through all 23 A. No. 24 24 Q. Is the technology assigned from the Shanghai the pages.

Page 44 Page 42 1 company to Mule Lighting? 1 characteristics of this product? 2 A. That product uses -- I can't think of the 2 A. The technology? I'm not sure. 3 3 right term, but it's a nuclear-based material. If MR. OSTRAGER: Fair enough. Sir, I'm 4 going to mark as Petitioner's Exhibit 6 a 4 we had the XL data, it would tell us. 5 O. It's a self-luminous? 5 collection of documents that was printed from your 6 website on September 22nd, numbering a total of 14 6 A. Self-luminous but not from natural light like 7 pages. After the court reporter marks this 7 you might be thinking. It's self-generated. 8 8 Q. I see, okay. Let's turn to the next page. Here exhibit, I'd ask you to review these 14 pages and 9 tell me if they reflect your current web page. 9 we have exit signs and emergency lighting. Could 10 (PETITIONER'S EXHIBIT 6 MARKED FOR 10 you describe the applications for these products? 11 IDENTIFICATION) 11 A. These are commercial, industrial 12 A. Yes. 12 applications. Q. Let's go through this together. The first couple 13 13 Q. Next page shows various emergency lighting 14 of pages appear to relate to your exit sign 14 products. Could you describe these various 15 products, is that correct, first three pages? 15 16 A. Yes. 16 A. These are all used, again, in commercial and Q. How many different products do you have within the 17 17 industrial applications. 18 exit sign product category? 18 Q. So, let's just -- for the record we have an Econo-Lite Series, Lite-Way Series, Radius Series, 19 A. There's probably eight or ten series and then 19 20 within that there will be multiple individual 20 RT Series, Recessed Series, C Series, EC Series, 21 products under each series. 21 an X Series, KES Series, NEMA 4X Series; is that 22 Q. They cover primarily industrial applications? 22 correct, sir? 23 A. Yes. Industrial commercial applications. 23 A. Yes, it is. 24 Q. Let's turn to the fourth page and you will see a 24 Q. Is it your common practice to use these various Page 43 Page 45 designations to identify models, different models, 1 Spring-Twister Series. Can you tell me about the 1 2 2 Spring-Twister series? product models? 3 3 A. These are compact fluorescent lamps. MR. O'BRIEN: Objection to form. Go 4 4 Q. What are their applications? ahead, you can answer. 5 A. General lighting applications. 5 A. Not models. 6 Q. Would that be commercial and residential? 6 Q. What are they, sir? 7 7 A. Yes. A. Type, their types. 8 8 Q. Let's turn to the next page, and we see Smartest Q. Econo-Lite Series, is that a brand name in your 9 Self-Diagnostics, what does that represent? 9 company, or is it a model name? 10 10 A. It's a type name, series name. See, you take A. That's an option you can get in certain 11 products of ours. 11 any one of these and there might be color, voltage 12 Q. What is that option? 12 variations lamp styles. So it isn't just one 13 A. Basically it monitors the battery charger and 13 Econo-Lite, there might be five models of it. So 14 the battery within the product. 14 we designate each product type as a series. So if you went to the relevant catalog page for 15 Q. Would that be for an exit product, sign product? 15 16 A. It basically is for battery-operated 16 Econo-Lite Series, you might see a number of 17 emergency lighting. There may be some exit series 17 different models within the series. 18 that would use something like that. 18 Q. Did you conduct any type of trademark 19 19 Q. Okay. Let's turn to the next page. There here investigation to determine your right to use the 20 you show some LED exit signs. Are these 20 series designation Econo-Lite? 21 representative of your exit sign products? 21 A. That name could come one of two ways, one, an 22 A. Yes. 22 Internet search to see if the name was being used 23 Q. Next page shows an Ever-Green series of exit 23 by someone else, or alternatively, our suppliers 24 signs. Can you describe for us the 24 already use that name and private labels the

- 28. In response to the allegations set forth in Paragraph 28 of the Complaint, El affirmatively alleges that the Edison International name as well as the names of El's subsidiaries appear on El's website on the Internet. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 28 of the Complaint.
- 29. In response to the allegations set forth in Paragraph 29 of the Complaint, El affirmatively alleges that El was aware that plaintiff Con Edison was an electric utility serving New York City under the name Consolidated Edison Company of New York, Inc. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 29 of the Complaint.
 - 30. El denies the allegations set forth in Paragraph 30 of the Complaint.
 - 31. El denies the allegations set forth in Paragraph 31 of the Complaint.
 - 32. El denies the allegations set forth in Paragraph 32 of the Complaint.
 - 33. El denies the allegations set forth in Paragraph 33 of the Complaint.

FIRST CLAIM FOR RELIEF

False Designation, Description and Representation under § 43(a) of the Lanham Act

- 34. In response to the allegations set forth in Paragraph 34 of the Complaint, EI repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 35. EI denies the allegations set forth in Paragraph 35 of the Complaint.
 - 36. El denies the allegations set forth in Paragraph 36 of the Complaint.

SECOND CLAIM FOR RELIEF

Common Law Unfair Competition

- 37. In response to the allegations set forth in Paragraph 37 of the Complaint, El repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 38. El denies the allegations set forth in Paragraph 38 of the Complaint.

THIRD CLAIM FOR RELIEF

Common Law Service Mark and Trade Name Infringement

- 39. In response to the allegations set forth in Paragraph 39 of the Complaint, EI repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 40. El denies the allegations set forth in Paragraph 40 of the Complaint.

FOURTH CLAIM FOR RELIEF

New York's Antidilution Statute

- 41. In response to the allegations set forth in Paragraph 41 of the Complaint, EI repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 42. El denies the allegations set forth in Paragraph 42 of the Complaint.

<u>AFFIRMATIVE DEFENSES</u>

43. EI, by its attorneys Latham & Watkins and Lyon & Lyon, alleges in support of its affirmative defenses, on information and belief, except on personal knowledge where stated, as follows:

Preliminary Statement

- A4. Many utilities across the United States operate under tradenames that contain the name "Edison" in combination with other words. These corporate identities were established in the decades-long era of regulated electric utility monopolies. Recently, however, there has been a nationwide change in energy policy and rapid movement toward deregulation, and demonopolization, of the generation and supply of electric power. This movement has already reached California, where EI's predecessor companies have been known as "Edison" for nearly a century. New York, the home of Con Edison, is on a five-year path toward complete deregulation of electric power. Thus, we are entering an era in which affiliates of Con Edison and EI do and will compete directly.
- In supervising the dismantling of regional electric utility monopolies and paving the way toward competition, state public service and public utility commissions, including New York's Public Service Commission ("PSC") and California's Public Utility Commission ("CPUC"), are considering various measures to introduce competition into the marketplace, including restrictions on the manner in which the utilities may legitimately use their tradenames and trademarks to market new services and products and on the manner in which affiliate companies can also legitimately use the tradenames and trademarks to market services and products. El presently is engaged in proceedings before the CPUC to determine the manner in which it may compete in California under its tradenames and trademarks. Con Edison is similarly engaged in proceedings before the New York PSC.
- 46. Among the strongest and most significant of Con Edison's actual and potential competitors in the deregulated environment is EI, the parent company of Southern

California Edison Company and its unregulated affiliates. Con Edison has engaged in a pattern of predatory activities, including the flouting of the requirements of the New York PSC with respect to the manner in which Con Edison has used its tradename in the deregulated environment, a concerted effort to orchestrate a horizontal anticompetitive arrangement among its actual and potential competitors with respect to the continued use of the Edison names, and the deliberate attempt to prevent consumers from understanding the identity of and selecting among major competitors using the "Edison" name in the New York and other interstate markets.

Historical Use of the Edison Name in Connection with Electric Utility Service

- 47. In 1878, Thomas Alva Edison and a group of investors established the EELC to develop an electrically powered lighting source. EELC, the predecessor to General Electric, owned all the patents to the Edison system. In order to use the Edison technology, it was necessary for a company to receive a license from EELC. Under this system, many electric utility companies, including EELC subsidiaries, sought and received exclusive licenses from EELC for their geographic areas.
- 48. Along with a license to use the patented technology, the licensees were permitted, but not required, to use the "Edison" name in and as part of their company name. Thus, a variety of electric utility companies in different geographic locations across the country have legitimately and concurrently used the "Edison" name in connection with the provision of electric energy since the late 1800's (hereinafter, the "Edison Utilities"). Each of the Edison Utilities traces its use of "Edison" back to the first use in commerce and interstate commerce of the "Edison" name by EELC.

- 49. In 1894, a group of financiers organized the Los Angeles Edison Electric Company ("LAEEC") to obtain a license from General Electric to use the "Edison" name and patented technology in the Los Angeles area. In 1897, the West Side Lighting Company discovered that it was unable to use Edison's technology due to LAEEC's prior and exclusive Southern California rights. The two parties negotiated, and, as a result, the Edison Electric Company of Los Angeles was formed in 1897, consolidating the West Side Lighting Company and LAEEC.
- 50. In 1909, Southern California Edison Company was organized to acquire Edison Electric Company of Los Angeles, along with other pioneer electric companies operating in California. Southern California Edison Company divested its gas properties and expanded its electric and steam businesses through acquisitions and new construction. In 1988, SCEcorp was formed as the holding company of Southern California Edison Company and its unregulated affiliates. SCEcorp's unregulated affiliates have conducted business across the United States and internationally. Southern California Edison Company currently supplies electricity to approximately ten million people in southern, coastal and central California, making it the second-largest, investor-owned electric utility company in the country.

Deregulation of the Electric Utility Industry

51. Since the early 1900's, electric utility companies, including the Edison Utilities, have generally operated as regulated entities within the limited geographic areas they were licensed to serve under federal and state regulations. In large part, these utility companies provided electricity to their customers without competition from other electric service providers.

- 52. In the early 1990's, FERC decided to allow deregulation in the electric industry at the wholesale and interstate level. Shortly thereafter, state regulatory agencies began to address deregulation at the retail consumer level in their jurisdictions.
- In December 1995, the CPUC voted to deregulate its electric power industry by January 1, 1998. In September 1996, the State of California enacted AB 1890 to provide a transition to a competitive market structure. This legislation substantially adopted the CPUC December 1995 restructuring decision. California will thus be the first state to fully deregulate its electric utility industry by permitting competition at the retail level for all consumers. In New York, home of Con Edison, retail competition will be introduced in phases beginning June 1, 1998.
- 54. Eleven other states also have adopted final regulations introducing some form of competition in the electric utility industry, including Arizona, Maine, Massachusetts, Michigan, Montana, Nevada, New Hampshire, Oklahoma, Pennsylvania, Rhode Island and Vermont. In Massachusetts, home of both Boston Edison and Eastern Edison, the Department of Public Utilities issued its final restructuring plan, which includes the start of retail competition in 1998. In Michigan, home of Detroit Edison and Edison Sault Electric, the Public Service Commission in June 1997 ordered retail access to begin in 1998 with 2.5 percent of load, with 2.5 percent more added each year through January 2001. In January 2002, all customers in Michigan will be given direct access capability. In Pennsylvania, home of Metropolitan Edison, restructuring legislation has been enacted that will phase in retail competition for all customers by 2001.

- 55. In addition, many other states are considering some form of deregulation. For instance, in Illinois, home of Commonwealth Edison, the state legislature is considering four restructuring bills with start dates ranging from May 1998 to 2000. In Maryland, home of the Potomac Edison Company, the Public Service Commission issued a staff report in May 1997 proposing full retail competition by 2002. In Ohio, home to both Ohio Edison and Toledo Edison, the Public Utilities Commission adopted final rules for a pilot program to test sales of electricity to groups of customers.
- 56. The intent of this nationwide trend of deregulation is to allow competition in the generation and sale of electric energy, to increase consumer choice of supplier and service company and ultimately to reduce prices for electric energy. The eventual result will be that electric companies will be able to compete in a variety of businesses for customers on a national, and perhaps international, scale.

El's Adoption and Use of the Edison International Name

- 57. In anticipation of competition on a national and international basis, El's predecessor SCEcorp conducted a review of its corporate identity in the marketplace. El believed that the SCEcorp name did not accurately reflect the scope of the company's activities, was geographically limiting, and was not favorably received in the financial markets.
- SCEcorp, the parent company, and Southern California Edison Company, the operating utility, and that the public and press referred to both companies as "Edison." Thus, the goodwill and reputation of SCEcorp and Southern California Edison Company were related to the historical uses of the "Edison" name by SCEcorp and its affiliated companies.

- SCEcorp decided to ready itself for national and international competition and to increase its recognition as an international company in the financial markets through a corporate identity change. One of SCEcorp's affiliates had become a leading independent power producer and had become very well known at the international level. This affiliate, Edison Mission Energy (until January 1996 "Mission Energy Company"), is one of the leading independent power production companies in the world with over 50 projects worldwide. Selection of the "Edison International" name for SCEcorp naturally flowed from the reputation and goodwill built up from EI's one hundred year old identity as "Edison" and was intended to capitalize on Edison Mission Energy's success and indicate the growing international nature of the SCEcorp's businesses.
- 60. In addition to El, a number of other utility companies continue to use the "Edison" name, including: Boston Edison Company, plaintiff Consolidated Edison Company of New York, Commonwealth Edison, The Detroit Edison Company, Eastern Edison Company, Metropolitan Edison Company, Edison Sault Electric Company, The Potomac Edison Company, Ohio Edison Company and Toledo Edison Company. All of these companies are now in actual or potential competition with one another.
- Research revealed that these other Edison Utilities had not federally registered their names or marks, had used their marks only in limited geographic areas, and had used the "Edison" name with other distinguishing words. Research also showed a trend in these companies away from using the "Edison" name. For example, in 1995, Commonwealth Edison announced its new identity as "ComEd" and is currently offering products and services under the ComEd mark. Detroit Edison announced the formation of a new holding company named DTE

Energy Co. and is currently using the mark "DTE Edison America." Plaintiff Con Edison, when it first established unregulated subsidiaries, did not use the "Edison" name but rather named its only two subsidiaries ProMark Energy, Inc. and Gramercy Development, Inc.

- 62. Research also disclosed that the "Edison International" name was available. Because EI had learned that McGraw-Edison at one time may have used "Edison International" and had other federal trademark registrations incorporating the word "Edison," however, EI contacted Cooper Industries, the parent company of McGraw-Edison, prior to filing its trademark applications. Cooper Industries did not object to EI's proposed use of "Edison International" or EI's other marks and agreed to cooperate wherever necessary to allow EI to register its marks in the United States and abroad.
- 63. Shortly thereafter, in April 1995, El filed an Intent to Use Application in the United States Patent and Trademark Office for the mark "Edison International," which was published for opposition in the <u>Trademark Gazette</u> in December 1995. At around this time, El filed applications for a number of other marks as well, including Edison Capital, Edison Mission Energy, Edison EV, Edison Source, Edison OnCall, and Edison's Home Energy Loan Program.
- 64. Detroit Edison filed opposition proceedings against four of the applications filed by EI, including Edison International. The parties engaged in productive discussions regarding their use of marks incorporating the Edison name. On May 29, 1997, EI and Detroit Edison reached a settlement agreement which permitted each party to continue to incorporate the "Edison" name in their marks in direct competition across the United States. In fact, Detroit Edison is currently selling products in Southern California under the name DTE Edison America.

- 65. No other entity filed timely oppositions to El's initial trademark applications, and the opposition periods for those applications are now closed. In fact, Edison EV was registered, and EI received notices of allowance for Edison Capital and Edison Mission Energy, and expects to receive notices of allowance for the others shortly.
- 66. On January 29, 1996, EI further publicized its new corporate identity, which included not only the new name but also a new logo to be used with all affiliated companies, in a national announcement. John E. Bryson, chairman and CEO of EI, stated that "Our new corporate identity signifies our proud heritage and readiness to compete successfully in a restructured utility environment while building shareholder value through local, regional, national and international business opportunities." Significant media events were held in Los Angeles, New York, and the District of Columbia, and media was purchased in New York and national publications including <u>The New York Times</u>, the <u>Wall Street Journal</u>, and CNN. The costs associated with roll out of the new identity totaled approximately \$10.3 million.
- 67. As part of EI's strategy for competition in the deregulated environment, two existing EI affiliates, Mission Energy and Mission First Financial, both formed approximately ten years ago, were renamed Edison Mission Energy and Edison Capital, respectively, on January 29, 1996. Edison Enterprises was formed in July 1997 as the holding company for the other existing affiliates, Edison EV, Edison Source and Edison Select.
- 68. Southern California Edison Company, the regulated utility subsidiary, currently supplies electricity to approximately ten million people in southern, coastal and central California, making it the second-largest, investor-owned utility company in the country.

- 69. Edison Mission Energy specializes in the development, acquisition, construction, management and operation of independent power production ("IPP") facilities. Edison Mission Energy is one of the world's leading IPP developers with more than 60 projects all over the world. Edison Mission Energy was incorporated about ten years ago to take advantage of the developing market for cogeneration energy following the 1987 Utility Act. One of Edison Mission Energy's projects is the Brooklyn Navy Yard Cogeneration Plant at the Brooklyn Navy Yard Industrial Park. All of the power generated from that facility, other than host loads, is sold to Con Edison by contract.
- 70. Edison Capital provides capital and financial services to support the growth of energy and infrastructure projects, products and services both domestically and internationally. Established in 1987, Edison Capital has a diversified investment portfolio of more than \$1 billion. Edison Capital is also one of the country's most active corporate investors in affordable housing projects. Edison Capital has a three person staff located in New York City, is listed in the New York telephone directory, and is involved in a variety of projects in the area.
- 71. Edison EV provides installation of charging equipment for electric vehicles. It was created to meet the needs of public charging, fleet charging, recharging and residential electric vehicle owners. Edison EV currently contracts with vehicle manufacturers such as Saturn and Honda.
- 72. Edison Source is an unregulated energy service company ("ESCO") which provides three key areas of service: energy efficiency services, environmental consulting, and energy marketing. Edison Source was formed to help businesses and governments across

North America evaluate their energy needs and help them to make optimal decisions for lower energy costs and improved productivity.

- 73. Edison Select offers mass market retail consumer products and services, including electric and appliance repair, computer repair, internet access and home security services.
- the manner in which EI and its affiliates will compete in California under their tradenames and trademarks. In this proceeding, two commissioners have taken the position that any use of the utility's name by the holding company or its unregulated affiliates raises competitive concerns. EI is subject to competition, however, from numerous third parties, both in-state and out-of-state, using the name "Edison" in connection with energy related products and services. For instance, Detroit Edison is offering products to residential customers in Southern California under the name "DTE Edison America." EI has taken the position that such competition should be permitted and that the public should be fully informed as to the association or lack of association between these companies and of the availability of products and services from all competing Edison companies.

Conduct by Con Edison Prior to Deregulation

The Total Transfer of Total Tr

Edison's Home Energy Loan Program, and the opposition periods for such applications have since closed.

- Con Edison, with full knowledge of El's name change and the fact that EI was expending major resources and money to position itself as a competitor in the national and state marketplaces under the "Edison International" name and under the names of its subsidiaries, took no action against and never contacted El to express any concern regarding the name change or El's branding strategy, despite El's clear intent to use the marks on a national basis. In fact, Con Edison has dealt extensively with an Edison Mission Energy subsidiary with regard to the Brooklyn Navy Yard Cogeneration Plant before the deregulation in New York. In addition, Edison Source will serve as a power marketer in connection with approximately one megawatt of power from that plant.
- Numerous third parties in Con Edison's service area, adjacent areas and nationwide use the "Edison" name (and variants on that name) in connection with the sale of products and services similar to those offered by Con Edison (including the recent adoption of the name "Com Ed" by Commonwealth Edison). Many more third parties use the "Edison" name in connection with unrelated products and services in Con Edison's service area, adjacent areas, and nationwide. Many of these third parties have registered trademarks in the name. Upon information and belief, Con Edison has never taken any action to oppose the use of the "Edison" name by a third party and has never opposed a third party's application to register a trademark that included the "Edison" name.

Anticompetitive Conduct by Con Edison Since Deregulation

- 78. As alleged in its Complaint, Con Edison is a monopoly in the market for producing and supplying electric energy in New York City and Westchester County. As such, said monopoly affects and is part of interstate commerce -- to wit, the national market for energy production and supply of energy related products and services -- and is a relevant submarket for the production and supply of electric energy, products and services nationwide. Said nationwide market is further composed of a number of additional relevant submarkets in which the various regional utilities have historically operated across the nation (hereinafter, said national and regional markets are referred to as "relevant markets").
- 79. On May 20, 1996, the New York PSC issued an order directing Con Edison to file a rate/restructuring plan consistent with the New York PSC's policy and vision for effective competition in the energy generation and energy services sectors, reduced prices and increased consumer choice of energy supplier and service company.
- After many months of negotiations, meetings, procedural conferences, and the like, including consideration of Con Edison's original proposed agreement dated March 12, 1997, the New York PSC approved an Agreement and Settlement (the "PSC Settlement") on September 10, 1997, and issued an order adopting the PSC Settlement on November 3, 1997 (the "PSC Order"). In the PSC Settlement, Con Edison agreed to introduce retail competition in phases, beginning June 1, 1998, and divest fifty percent of its generating capacity in New York City.
- 81. Pursuant to the PSC Settlement, Con Edison also agreed to abide by specifically enumerated Standards of Competitive Conduct designed to ensure a level playing

field for present and future competitors, including competition from the other Edison Utilities under their own tradenames and trademarks. Under the Standards of Competitive Conduct, Con Edison's unregulated affiliates are properly permitted to use the same name and/or marks as Con Edison. Con Edison, however, is prohibited from falsely representing that any special advantage will accrue to any customer, supplier or third party simply by virtue of the affiliation. For instance, Con Edison cannot state that a customer will receive better delivery or other services from the regulated utility if it purchases its electric power from Con Edison's ESCO.

- 82. Despite the stated intent of the New York PSC to introduce competition into the market for producing and supplying electric energy in New York City and Westchester County, and Con Edison's agreement to take the required actions to effectuate that intent under the PSC Settlement, Con Edison has taken several steps specifically intended to mislead consumers and to unfairly inhibit EI and other actual and potential out-of-state and in-state competitors from entering its market and competing with it in the other relevant markets. It has also sought to prevent consumers from distinguishing and selecting among competitors in the energy related services and product markets, including the Edison utilities which are among the most significant competitors to Con Edison in the relevant markets.
- 83. In furtherance of its willful scheme to unfairly inhibit competition in the relevant markets, Con Edison has willfully violated the Standards of Competitive Conduct in the PSC Settlement by, among other things, placing advertisements in The New York Times and the Wall Street Journal which misrepresented the nature of its continuing relationship with its unregulated affiliates and stated: "With so many unfamiliar names out there, it's nice to know

one thing stays the same. Con Edison Solutions and Con Edison Development will still offer the unrivaled reliability of Con Edison itself."

- groups, in a formal complaint filed with the New York PSC, accurately charged that such advertising by Con Edison violates the New York PSC Standards of Competitive Conduct. The complaint states that: "The ink is not yet dry on the Con Edison settlement agreement just approved by the commission, and already problems are emerging with the company's attempt to exploit the use of its name in affiliation with its newly unregulated marketing affiliates, Con Edison Solutions and Con Edison Development." As stated in the complaint, the clear message of the Con Edison advertisements "is that dealing with affiliates assures better reliability within the Con Edison service territory than would otherwise be available from competitors. This ad is highly misleading, and violates both the spirit and letter of the standards of conduct."
- Despite failing to take action against any of El's "Edison" trademark applications prior to the PSC Order, Con Edison filed oppositions to El's later applications to register Edison Powerlink and Edison AGTAC on January 22, 1997 and July 9, 1997, respectively. Such oppositions are vexatious and filed in bad faith as evidenced by Con Edison's total failure to pursue such oppositions in accordance with the rules of the United States Patent and Trademark Office or to respond to legitimate discovery demands therein prior to the PSC Order.
- 86. In the face of actual and potential competition from EI, and with full knowledge of EI's branding strategy and EI's various trademarks and tradenames incorporating the name "Edison," Con Edison's unregulated subsidiaries changed their names from ProMark

Energy, Inc. and Gramercy Development, Inc. to Consolidated Edison Solutions and Consolidated Edison Development, respectively, on September 24, 1997, mere days before filing this lawsuit. In doing so, Con Edison and its affiliates are intentionally copying El's branding strategy in furtherance of Con Edison's unlawful attempt to hinder or inhibit El from entering into Con Edison's traditional market or other relevant markets or submarkets with its own unique "Edison" brand name and to prevent consumers from distinguishing among and selecting among affiliates of the competing Edison utilities.

- 87. Upon information and belief, Con Edison also contacted the other Edison Utilities in furtherance of an unlawful scheme to monopolize and attempt to monopolize and attempted to engage in an unlawful combination or conspiracy with its horizontal actual or potential competitors whereby each company would limit its ability and attempt to limit El's ability to compete under the "Edison" names.
- 88. Through its conduct, Con Edison is attempting unfairly to impair competition and to prevent consumers from selecting among competing Edison utilities in the New York markets and to deprive consumers of knowledge of the corporate origins and relationships of these competing companies. It is attempting unfairly and unlawfully to perpetuate itself with consumers as the only "Edison" entity in the relevant markets in New York providing electrical products and services.
- 89. In furtherance of its scheme, Con Edison, has used its trademark to misrepresent the nature of the relationship between its regulated and unregulated corporate entities. It has also sought to perpetuate the false perception in the minds of New York

consumers that it is the only "Edison" company with electric utility affiliates in the New York market.

90. Also in furtherance of its scheme, Con Edison has stated its intent to foreclose legitimate competition and now alleges in bad faith in this lawsuit that on a nationwide basis "the use of the 'Edison' name in a corporate name for any company offering energy-related products and services will inevitably confuse consumers who have over the past century come to associate 'Edison' with Con Edison and its predecessor companies." Con Edison has been aware of and acquiesced in multiple third party uses and uses by EI and its predecessors of the "Edison" name in connection with energy related products and services for more than one hundred years.

FIRST AFFIRMATIVE DEFENSE

91. Con Edison's claims are barred by the doctrine of trademark misuse.

SECOND AFFIRMATIVE DEFENSE

92. Con Edison's claims are barred by the doctrines of unclean hands and bad faith conduct.

THIRD AFFIRMATIVE DEFENSE

93. Con Edison's claims are barred, in whole or in part, by laches.

FOURTH AFFIRMATIVE DEFENSE

94. Con Edison's claims are barred, in whole or in part, by waiver.

FIFTH AFFIRMATIVE DEFENSE

95. Con Edison's claims are barred, in whole or in part, by estoppel.

SIXTH AFFIRMATIVE DEFENSE

96. Con Edison's claims are barred, in whole or in part, by acquiescence.

SEVENTH AFFIRMATIVE DEFENSE

97. Con Edison's claims are barred, in whole or in part, by the applicable statute of limitations.

EIGHTH AFFIRMATIVE DEFENSE

98. Con Edison's claims fail, in whole or in part, to state a claim upon which relief can be granted.

PRAYER FOR RELIEF

WHEREFORE, EI respectfully requests:

- A. Judgment dismissing the claims set forth in the Complaint;
- B. Costs and attorneys' fees; and
- C. An award of such other relief in law or equity as this Court may

deem just and proper.

Dated:

New York, New York

November 19, 1997

Respectfully submitted,

LATHAM & WATKINS

Βv

John/J. Kirby, Jg. (JK 9699) Thomas G. Gallatin, Jr. (TG 5250)

Maureen C. Shay (MS 0536)

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(212) 906-1200

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March 22, 1999

BLYTHE D. WATTS (1888-1984) DANIEL J. SAMMON (1934-1991) JAMES T. HOFFMANN (RETIRED)

PATENTS . TRADEMARKS
COPYRIGHTS
AND OTHER
INTELLECTUAL PROPERTY LAW

TELEPHONE (216) 241-6700

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FILE NO:

15-166

Assistant Commissioner for Trademarks BOX NEW APP, FEE 2900 Crystal Drive Arlington, VA 22202-3513

Dear Sir:

Enclosed are the following:

- An application for the service mark LEDISON in International Class 9.
- 2. A Declaration and Power of Attorney.
- A trademark drawing.
- 4. Three facsimiles or specimens for each class.
- 5. The requisite Patent and Trademark Office filing fee in the amount of \$245.00 to cover the cost of the application for registration in one class.

Please charge any additional fees, or credit any overpayment, to Deposit Account No. 23-0630.

Very truly yours,

Peter R. Hagert

PRH/esz Encls. i hereby certify that this paper is being deposited with the U.S. Postal Service as 1st Class Mail addressed to the Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202-3513

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VATTS. HOFFMANN, FISHER & HEINKE CO., L.P.A.	次 (2)
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK DIVISION

Mark:

LEDISON

Int. Class:

9

Docket No.:

15-166

Watts, Hoffmann, Fisher & Heinke Co., L.P.A.
1100 Superior Avenue, Suite 1750
Cleveland, Ohio 44114-2518
Telephone (216) 241-6700
Facsimile (216) 241-8151

Assistant Commissioner for Trademarks Box NEW APP (FEE) 2900 Crystal Drive Arlington, VA 22202-3513

STATEMENT

MULE LIGHTING, INC., a corporation of the state of Rhode Island, having an office and place of business at 325 Valley Street, Providence, Rhode Island 02908, has adopted and is using the mark shown on the accompanying drawing for:

LIGHT EMITTING DIODES AND LIGHT EMITTING DIODE DISPLAYS, in International Class 9, and requests that the mark be registered in the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946.

The mark was first used in connection with the goods since December, 1998; was first used in Interstate Commerce since December, 1998; and is now in use in such Commerce.

The mark is used by placing it on the goods, by casting, molding, stamping, or directly imprinting thereon, by use on tags or labels affixed to the goods, or on the containers in which they are shipped, or when such placing is impractical on documents associated with the goods or their sale, or in displays associated with the goods. Three (3) facsimiles or specimens showing the mark as actually used are presented herewith for each class.

t hereby certify that this paper is being depositr U: S. Postal Service as 1st Class Mail addre Assistant Commissioner for Trademarks, 2900 Arlington, VA 22202-3513

MULE-00144

on 4-2-99 cy: 2. Con June

DECLARATION AND POWER OF ATTORNEY

Robert P. Cross, states that: He/She is President of the applicant corporation and is authorized to execute this declaration on behalf of said corporation, he believes said corporation to be the owner of the mark sought to be registered; to the best of his knowledge and belief, no other person, firm, corporation or association has the right to use said mark in commerce, either in the identical form or in such near resemblance thereto as to be likely, when applied to the goods of such other person, to cause confusion or to cause mistake, or to deceive; and he is authorized to appoint and hereby appoints the lawyers associated with WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A., namely T.E. Fisher, L.L. Heinke, J.G. Watterson, L.J. Raney, J.R. Hlavka, S.J. Schultz, G.L. Pinchak, R.A. Sharpe, P.A. Serbinowski, M.C. Fagan and J. Nock Hinton, (members in good standing of the Bar of the State of Ohio) and P.R. Hagerty (member in good standing of the Bar of the State of Massachusetts), whose post office address is 1100 Superior Avenue, Suite 1750, Cleveland, Ohio 44l14-2518 (telephone: 2l6-241-6700) to prosecute this application to register, to transact all business in the Patent and Trademark Office in connection therewith and to receive the Certificate of Registration; and all statements made herein of his own knowledge are true and all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or document or any registration resulting therefrom.

MULE LIGHTING, INC.

Date: 3/5/91

By: Robert P. Cross,

Title: President

LEDison LED Lamps Model #:___

LEDison LED Lamps Model#:__ Applicant: Mule Lighting, Inc. 325 Valley Street

Providence, Rhode Island 02908

Date of First Use: Since December, 1998

Date of First Use in Interstate Commerce: Since December, 1998

Goods: LIGHT EMITTING DIODES AND LIGHT EMITTING DIODE DISPLAYS,

in International Class 9

Attorneys: WATTS, HOFFMANN, FISHER & HEINKE

1100 Superior Avenue, Suite 1750

Cleveland, Ohio 44114-2518

Our Docket No. 15-166

LEDISON



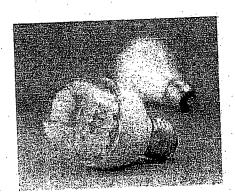
LEDison SERIES



Energy Efficient Long Life

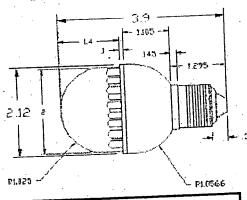
The LEDison SERIES of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Often used in Indicator, Task, Medical and Marine applications. Be the first in your industry.

** LET MULE DESIGN A LAMP FOR YOUR NEEDS *



(PATENTS APPLIED FOR)

DIMENSIONAL DRAWING



BULB WEIGHT: 20Z. PACKAGING: 12 PER CASE

BENEFITS & FEATURES

- Replaces incandescent bulbs
- Long life reliability
- 110-130 VAC (call for other voltages)
- 360° Visibility
- Rugged thermoplastic housing
- Even illumination
- Reduce's maintenance fewer lamp changes
- Edison E-26 base (standard socket)

LEDison SERIES LED lamps are available in a number of colors and color combinations. Special high abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.

ORDERING INFORMATION

STANDARD APPLICATIONS

Model	Color	Voltage*	Visibility	Wattage
LIL0001-A LIL0002-R LIL0003-G LIL0004-B LIL0005-W	RED GREEN BLUE WHITE	110-130 110-130 110-130 110-130 110-130	360° 360° 360° 360° 360°	1.6 1.1 1.9 1.2 1.1

HIGH ABUSE APPLICATIONS**

Model	Color	Voltage*	Visibility	Wattage
HALIL0001-A	AMBLE	110-130	360°	1.6
HALIL0002-R	RED	110-130	360°	1.1
HALIL0003-G	GREEN	110-130	360°	1.9
HALIL0004-B	BLUE	110-130	360°	1.2
HALIL0005-W	WHITE	110-130	360°	1.1

Voltage levels can effect lamp brightness. 220-277V Available.

Internal components are sealed against vibration and shock.

Applicant: Mule Lighting, Inc. 325 Valley Street

Providence, Rhode Island 02908

Date of First Use: Since December, 1998
Date of First Use in Interstate Commerce: Since December, 1998

Goods: LIGHT EMITTING DIODES AND LIGHT EMITTING DIODE DISPLAYS,

in International Class 9

Attorneys: WATTS, HOFFMANN, FISHER & HEINKE

1100 Superior Avenue, Suite 1750

Cleveland, Ohio 44114-2518 Our Docket No. 15-166

LEDISON

COMPANY INFO

DUR CATALOG

SALES REPS

TECHNICAL SUPPORT

INDUSTRY LINKS

CONTACT





Classic Series

Enter Zip Code to locate Rep





LED-Flex[™]
The LED Substitute for Neon
Lighting.



The Mule Battery Company was founded in 1923 with two thoughts in mind- to provide quality products and to back them with exemplary customer service. For nearly 80 years now, we have grown and prospered by aggressively pursuing these objectives- meeting the needs of our industry and developing products that serve our customers well.

Our business began with the development of innovative, communication grade batteries. These were products with quality built in, backed by full customer service that was decades ahead of its time. (You can still see from our logo that they "kicked like a mule.")

Over the years, as the market expanded rapidly, we saw the need for a supplier also able to provide complete lighting equipment and systems. In 1970, Mule responded to that need by acquiring a highquality manufacturer of emergency lighting equipment, Litecor, and becoming Mule Lighting, Inc.

The synergistic combination of technical expertise and marketing know-how produced by that acquisition has led to many more innovative product developments by Mule Lighting, Inc. Today, our catalog showcases a broad line of lighting products (including emergency and exit, compact fluorescent, commercial and industrial lighting) and a range of battery products. Most recently, Mule's innovation has resulted in the design and development of an extensive new product line, an array of solid state LED lamps for a wide range of applications, from exit fixtures and emergency lighting units to decorative, accent and general purpose lighting.

Today Mule is enjoying unparalled success as a well-established company with well over seventy years of battery manufacturing experience and more than thirty years of involvement with emergency lighting. We still retain sole source responsibility for the design, complete manufacture, sales, and service of all our products. Best of all, we still follow those tenets upon which we were founded- to manufacture quality products and back them with

Partner Login | Partner Register



COMPANY INFO

OUR CATALOG

SALES REPS

TECHNICAL SUPPORT

INDUSTRY DANKS

CONTACT





Classic Series

Enter Zip Code to locate Rep





LED-Flex [™]
The LED Substitute for Neon Lighting.



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Our business began with the development of innovative, communication grade batteries. These were products with quality built in, backed by full customer service that was decades ahead of its time. (You can still see from our logo that they "kicked like a mule.")

Over the years, as the market expanded rapidly, we saw the need for a supplier also able to provide complete lighting equipment and systems. In 1970, Mule responded to that need by acquiring a high-quality manufacturer of emergency lighting equipment, Litecor, and becoming Mule Lighting, Inc.

The synergistic combination of technical expertise and marketing know-how produced by that acquisition has led to many more innovative product developments by Mule Lighting, Inc. Today, our catalog showcases a broad line of lighting products (including emergency and exit, compact fluorescent, commercial and industrial lighting) and a range of battery products. Most recently, Mule's innovation has resulted in the design and development of an extensive new product line, an array of solid state LED lamps for a wide range of applications, from exit fixtures and emergency lighting units to decorative, accent and general purpose lighting.

Today Mule is enjoying unparalled success as a well-established company with well over seventy years of battery manufacturing experience and more than thirty years of involvement with emergency lighting. We still retain sole source responsibility for the design, complete manufacture, sales, and service of all our products. Best of all, we still follow those tenets upon which we were founded- to manufacture quality products and back them with

exemplary customer service. We trust your experience with Mule will find this to be true.

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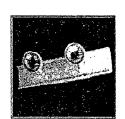


Select your LED Replacement Bulbs product to view more information.



Quick-Fit Series

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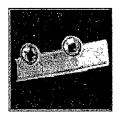


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Smartest Self-Diagnostics

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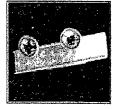


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Ever-Green Series

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Combination Series - Steel

Combination Series - Sqaure Heads

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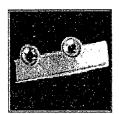
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C Series

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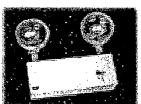
Econo-Lite Series



Radius Series



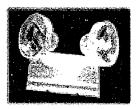
Recessed Series - Gimbal



EC Series



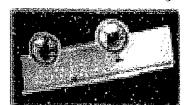
KES Series



Lite-Way Series - BKEM-2A



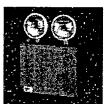
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C Series



X Series



NEMA 4X Series - NM4



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Mariner Series - SD

Mariner Series - W

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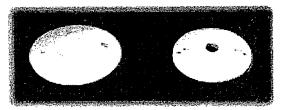
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LEDison Series



G45 Series



LEDelier Series



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LED-M50 Series



LED-A19 Series



FlameTip™ Seri€

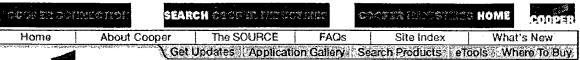


LED-R50™ & R63™ Series

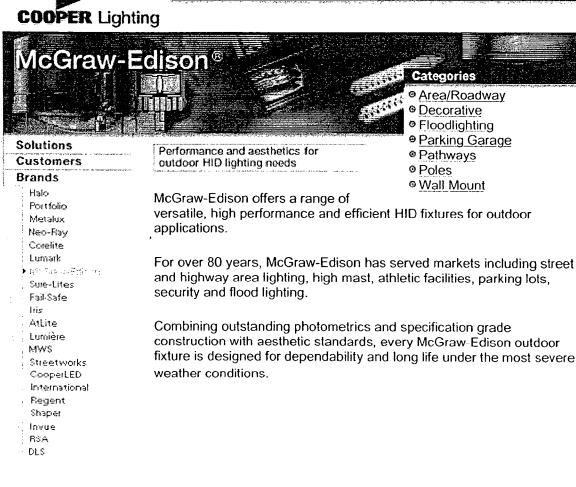
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CLM **CLM SQUARE**

ARCHITECTURAL AREA LUMINAIRE



GALLERIA ROUND

ARCHITECTURAL AREA LUMINAIRE



GSS/GSM/GSL **GALLERIA SQUARE** ARCHITECTURAL AREA LUMINAIRE



LND LANDAU

LARGE AREA LIGHT

ZD

ARCHITECTURAL AREA



CREDENZA

LUMINAIRE

Metal Halide (MH)



CAL **CONCOURSE III**

ARCHITECTURAL AREA LUMINAIRE



<u>CI</u> **CIRRUS**

ARCHITECTURAL AREA LUMINAIRE



CLM CLM SQUARE

ARCHITECTURAL AREA LUMINAIRE





GALLERIA ROUND

ARCHITECTURAL AREA

LUMINAIRE



GSS/GSM/GSL **GALLERIA SQUARE**

ARCHITECTURAL AREA

LUMINAIRE



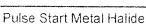
LND LANDAU

LARGE AREA LIGHT



CREDENZA

ARCHITECTURAL AREA LUMINAIRE





LND **LANDAU**

LARGE AREA LIGHT

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GAR

ACORN DECORATIVE



GENERATION SERIES AVENUE CUTOFF



GLC GENERATION SERIES

CLASSICAL DECORATIVE LUMINAIRE



GLC-1 **GENERATION SERIES**

CLASSICAL DECORATIVE LUMINAIRE



GLC-2 **GENERATION SERIES**

CLASSICAL DECORATIVE LUMINAIRE



GLC-3 **GENERATION SERIES**

CLASSICAL DECORATIVE

LUMINAIRE



GLC-C **GENERATION SERIES CLASSICAL CUTOFF**

DECORATIVE LUMINAIRE



MNC MANCHESTER

DECORATIVE GLASS LUMINAIRE



NHN **NEW HAVEN**

POST-TOP AREA LUMINAIRE



TRD DAYFORM TRADITIONAIRE

POST-TOP AREA LUMNIAIRE



TRR **TRADITIONAIRE**

POST-TOP AREA LUMINAIRE



WBG WOODBRIDGE

POST-TOP AREA LUMINAIRE

Metal Halide (MH)



<u>ARN</u> **ACORN**

DECORATIVE LUMINAIRE

<u>BKG</u> **BRECKENRIDGE**

POST-TOP AREA LUMINAIRE





<u>CAC</u> Cascade



GAR GENERATION SERIES ACORN DECORATIVE LUMINAIRE



GAR-1 **GENERATION SERIES** ACORN DECORATIVE

LUMINAIRE



GAR-2 **GENERATION SERIES** ACORN DECORATIVE

LUMINAIRE



GAR-3 **GENERATION SERIES** ACORN DECORATIVE LUMINAIRE



GAR-C **GENERATION SERIES ACORN CUTOFF**

DECORATIVE LUMINAIRE



GAT GENERATION SERIES ARCHITECTURAL

DECORATIVE LUMINAIRE



GAT AVENUE GENERATION SERIES AVENUE

DECORATIVE LUMINAIRE



GAT-1 **GENERATION SERIES** ARCHITECTURAL DECORATIVE LUMINAIRE



GAT-2 **GENERATION SERIES** **ARCHITECTURAL** DECORATIVE LUMINAIRE



GAT-3 **GENERATION SERIES** ARCHITECTURAL DECORATIVE LUMINAIRE



GAT-C
GENERATION SERIES
ARCHITECTURAL CUTOFF

DECORATIVE LUMINAIRE



GAT-C AVENUE
GENERATION SERIES
AVENUE CUTOFF

DECORATIVE LUMINAIRE



GLC
GENERATION SERIES

CLASSICAL DECORATIVE LUMINAIRE



GLC-1
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GLC-2
GENERATION SERIES

CLASSICAL DECORATIVE





GLC-3
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LUMINAIRE



GLC-C GENERATION SERIES CLASSICAL CUTOFF

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MNC MANCHESTER

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NHN NEW HAVEN

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TRR TRADITIONAIRE

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WBG WOODBRIDGE

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ACURA SLIPFITTER

<u>A</u>LF

LARGE YOKE-MOUNTED



ACURA

FLOODLIGHT



AMF ACURA YOKE

MEDIUM YOKE-MOUNTED FLOODLIGHT



AMF ACURA SLIPFITTER

MEDIUM SLIPFITTER-MOUNTED FLOODLIGHT



ASF ACURA YOKE

SMALL SLIPFITTER-MOUNTED FLOODLIGHT



ASF ACURA KNUCKLE

SMALL KNUCKLE-MOUNTED FLOODLIGHT



ASF ACURA SLIPFITTER

SMALL YOKE-MOUNTED FLOODLIGHT

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PSC PARKING GARAGE LUMINAIRE

PARKING GARAGE LUMINAIRE



PARKING STRUCTURE LUMINAIRE

PARKING STRUCTURE LUMINAIRE

Pulse Start Metal Halide

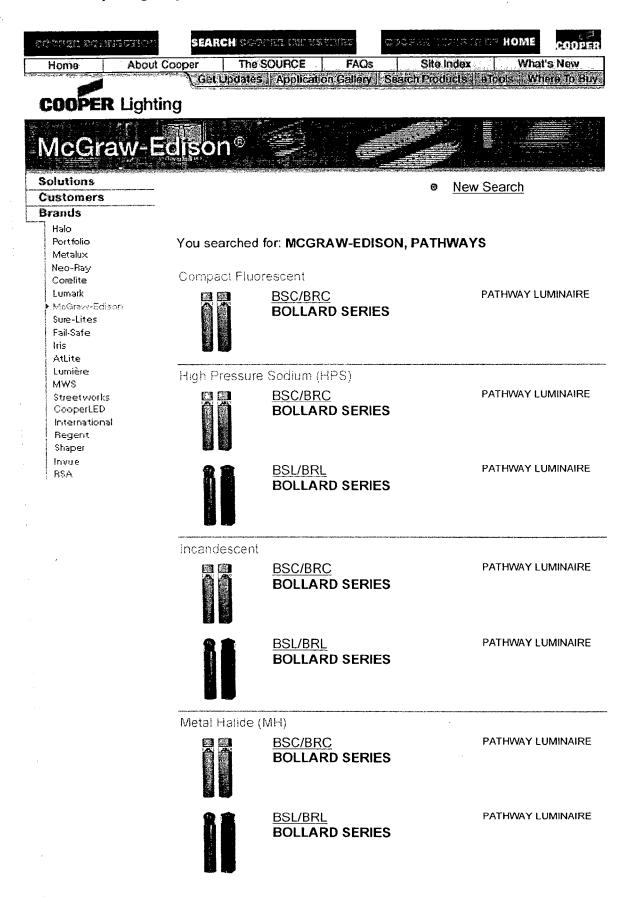


<u>PSC</u> **PARKING GARAGE LUMINAIRE** PARKING GARAGE LUMINAIRE



PSL PARKING STRUCTURE LUMINAIRE PARKING STRUCTURE LUMINAIRE

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Invue **RSA**

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CFA

CRUCIFORM

DECORATIVE

DECORATIVE ALUMINUM

FTS

FLUTED TAPERED STEEL

HINGED TAPERED STEEL

RSA ROUND STRAIGHT ALUMINUM

BRACKETS AND ADAPTERS

8'-30' MOUNTING HEIGHT

12'-18' MOUNTING HEIGHT

21'-41' MOUNTING HEIGHT

20'-39' MOUNTING HEIGHT

8'-20' MOUNTING HEIGHT

10'-50' MOUNTING HEIGHT

20'-50' MOUNTING HEIGHT

8'-35' MOUNTING HEIGHT

RTA

ROUND TAPERED ALUMINUM

ROUND TAPERED STEEL-

MCGRAW

SSA

SQUARE STRAIGHT ALUMINUM

SSS

10'-39' MOUNTING HEIGHT

SQUARE STRAIGHT STEEL

<u>STS</u>

20'-39' MOUNTING HEIGHT

SQUARE TAPERED STEEL

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<u>CWS</u>

CIRRUS WALL SCONCE

WALL MOUNTED LUMINAIRE

ZDW

CREDENZA WALL SCONCE

WALL MOUNTED LUMINAIRE

High Pressure Sodium (HPS)

<u>CWS</u> CIRRUS WALL SCONCE WALL MOUNTED LUMINAIRE



ZDW CREDENZA WALL SCONCE

WALL MOUNTED LUMINAIRE

Metal Halide (MH)



CWS CIRRUS WALL SCONCE

WALL MOUNTED LUMINAIRE



ZDW CREDENZA WALL SCONCE

WALL MOUNTED LUMINAIRE

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United States Patent and Trademark Office

December 10, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,015,393 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

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MCGRAW-EDISON COMPANY A DELAWARE CORPORATION

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

N. WILLIAMS

Certifying Officer



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UNITED STATES DEPARTMENT OF COMMERCE
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December 14, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 372,127 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 20 YEARS FROM October 24, 1939 3rd RENEWAL FOR A TERM OF 10 YEARS FROM October 24, 1999

SAID RECORDS SHOW TITLE TO BE IN:

MCGRAW EDISON COMPANY

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W. MONTGOMERY

Certifying Officer

Prior U.S. Cl.: 21

Reg. No. 372,127

United States Patent and Trademark Office

Registered Oct. 24, 1939

10 Year Renewal

Renewal Term Begins Oct. 24, 1999

TRADEMARK PRINCIPAL REGISTER

EDISON

MCGRAW-EDISON COMPANY (DELAWARE CORPORATION)
P.O. BOX 4446
HOUSTON. TX 77210. BY ASSIGNMENT,
BY ASSIGNMENT, BY ASSIGNMENT
THOMAS A. EDISON, INC. (NEW
JERSEY CORPORATION) WEST
ORANGE, NJ

OWNER OF U.S. REG. NO. 259,035.
FOR: ELECTRICAL SWITCHES AND ELECTRICAL RELAYS., IN CLASS 21 (INT. CL. 9).
FIRST USE 7-23-1936; IN COMMERCE 7-23-1936.

SER. NO. 71-418,443, FILED 4-19-1939.

In testimony whereof I have hereunto set my hand and caused the seal of The Patent and Trademark Office to be affixed on Apr. 18, 2000.

COMMISSIONER OF PATENTS AND TRADEMARKS

UNITED STATES PATENT OFFICE

Thomas A. Edison, Incorporated, West Orange, N. J.

Act of February 20, 1905

Application April 19, 1939, Serial No. 418,433

EDISON

STATEMENT

To the Commissioner of Patents:

Thomas A. Edison, Incorporated, a corporation duly organized under the laws of the State of New Jersey and located at West Orange, New Jersey, and doing business at 51 Lakeside Avenue, West Orange, New Jersey, has adopted and used the trade-mark shown in the accompanying drawing, for ELECTRICAL SWITCHES AND ELECTRICAL RELAYS, in Class 21, Electrical apparatus, machines, and supplies, and presents herewith five specimens showing the trade-mark as actually used by applicant upon the goods and requests that the same be registered in the United States Patent Office in accordance with the act of February 20, 1905, as amended. The trademark has been continuously used and applied to said goods in applicant's business since July 23, 1936. The trade-mark is applied or affixed to the goods by securing to the goods plates bearing the trade-mark.

The trade-mark consists of the word "Edison".

Applicant is the owner of registration No. 259,035 dated July 23, 1929, effected on the ground of actual and exclusive use by the applicant of the mark shown therein on the goods recited in said registration as a trade-mark for ten years next preceding February 20, 1905, and the mark has been used by the applicant on the articles named in the present application in interstate and foreign commerce for at least one year.

The undersigned appoints Henry Lanahan, registration No. 9871, whose address is Edison Office Building, West Orange, New Jersey, its attorney, with full power of substitution and revocation, to prosecute this application for registration, to make alterations and amendments therein, to receive the certificate and to transact all business in the Patent Office in connection therewith.

THOMAS A. EDISON, INCORPORATED, By F. C. ERWIN,

Secretary.



ANTOMOCIAN PROCESSION PROCESSION

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UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

August 19, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 409,187 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 20 YEARS FROM September 19, 1944 2nd RENEWAL FOR A TERM OF 20 YEARS FROM September 19, 1984

SAID RECORDS SHOW TITLE TO BE IN:

MCGRAW-EDISON COMPANY

A DE CORP

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

P. R. GRANT

Certifying Officer

UNITED STATES PATENT OFFICE

Thomas A. Edison, Incorporated, West Orange, N. J.

Act of February 20, 1905

Application May 13, 1944, Serial No. 470,222

EDISON

STATEMENT

To the Commissioner of Patents:

Thomas A. Edison, Incorporated, a corporation duly organized under the laws of the State of New Jersey and located at West Orange, New Jersey, and doing business at 51 Lakeside Avenue, West Orange, New Jersey, has adopted and used the trade-mark shown in the accompanying drawing, for INDICATING INSTRUMENTS— NAMELY, TEMPERATURE INDICATORS AND INDICATING INSTRUMENTS OR UNITS EACH COMPRISING AN ASSEMBLY OF A TEMPERATURE INDICATOR, A PRESSURE GAUGE, AND A DIFFERENTIAL PRESSURE GAUGE-in Class 26, Measuring and scientific appliances, and presents herewith five specimens showing the trade-mark as actually used by applicant upon the goods, and requests that the same be registered in the United States Patent Office in accordance with the act of February 20, 1905, as amended. The trade-mark has been continuously used and applied to said goods in applicant's business, in respect of temperature indicators since sometime in June 1940, and in respect of indicating instruments or units each comprising an assembly of a temperature indicator, a pressure gauge and a differential pressure gauge, since sometime in July 1940. The trade-mark is applied or affixed to the goods by stamping or printing the same on plates which constitute the dials of said goods.

Applicant is the owner of registration. No. 259,035 of July 23, 1929, effected on the ground of actual and exclusive use by the applicant of the mark shown therein on the goods recited in said registration as a trade-mark for 10 years next preceding February 20, 1905, and the mark has been used by the applicant on the goods named in the present application in interstate commerce for at least one year.

Applicant is also the owner of trade-mark registration No. 377,016 dated April 16, 1940.

The undersigned appoints Henry Lanahan, registration No. 9871, whose address is Edison Office Building, West Orange, New Jersey, its attorney, with full power of substitution and revocation, to prosecute this application for registration, to make alterations and amendments therein, to receive the certificate, and to transact all business in the Patent Office in connection therewith.

THOMAS A. EDISON, INCORPORATED, By F. C. ERWIN,

Secretary.



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UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

August 23, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,288,874 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 20 YEARS FROM August 07, 1984 SECTION 8 & 15

SAID RECORDS SHOW TITLE TO BE IN:

MCGRAW-EDISON COMPANY A DELAWARE CORPORATION

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

L. EDELEN

Certifying Officer

L. Edelew

Prior U.S. Cl.: 21

United States Patent and Trademark Office

Reg. No. 1,288,874 Registered Aug. 7, 1984

TRADEMARK
Principal Register

EDISON

McGraw-Edison Company (Delaware corporation)
One Continental Towers
1701 Golf Rd.
Rolling Meadows, Ill. 60008

For: INDOOR AND OUTDOOR ELECTRIC LIGHT FIXTURES, in CLASS 11 (U.S. Cl. 21). First use Sep. 1974; in commerce Sep. 1974. Sec. 2(f).

Ser. No. 438,434, filed Aug. 8, 1983.

ABRAM I. SACHS, Examining Attorney



MONTH OF THE PROPERTY OF THE P

TO ALL TO WHOM THESE PRESENTS SHALL COME:
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

August 19, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,636,822 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM March 05, 1991
1st RENEWAL FOR A TERM OF 10 YEARS FROM March 05, 2001
SECTION 8 & 15
SAID RECORDS SHOW TITLE TO BE IN:
MCGRAW-EDISON COMPANY
A DE CORP

By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS

P. R. GRANT
Certifying Officer

8-24-1987.

Prior U.S. Cl.: 21

Reg. No. 1,636,822

United States Patent and Trademark Office

Registered Mar. 5, 1991

TRADEMARK PRINCIPAL REGISTER



COOPER INDUSTRIES, INC. (OHIO CORPORATION)
P.O. BOX 4446
HOUSTON, TX 77210

FOR: ELECTRICAL LIGHTING FIXTURES, IN CLASS 11 (U.S. CL. 21).

FIRST USE 8-24-1987; IN COMMERCE

OWNER OF U.S. REG. NO. 1,288,874.

THE DRAWING IS LINED FOR THE COLOR RED AND SAID COLOR IS CLAIMED AS PART OF THE MARK.

SER. NO. 73-839,197, FILED 11-14-1989.

J. TINGLEY, EXAMINING ATTORNEY



Prior U.S. Cl.: 100

United States Patent and Trademark Office Reg. No. 1,644,681 Reg. No. 1,644,681 Registered May 14, 1991

SERVICE MARK PRINCIPAL REGISTER

THOMAS A. EDISON TECHNICAL CENTER

COOPER INDUSTRIES, INC. (OHIO CORPORATION)
P.O. BOX 4446
HOUSTON, TX 77210

FOR: ELECTRICAL TESTING AND ANALYTICAL SERVICES FOR THE ELECTRIC POWER GENERATION, TRANSMISSION AND DISTRIBUTION INDUSTRY, IN CLASS 42 (U.S. CL. 100).

FIRST USE 12-0-1959; IN COMMERCE 12-0-1965.

OWNER OF U.S. REG. NOS. 33,236, 985,365 AND OTHERS.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "TECHNICAL CENTER", APART FROM THE MARK AS SHOWN.

SER. NO. 73-838,893, FILED 11-13-1989.

JAMES F. VOEGELI, EXAMINING ATTORNEY



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TO ALL TO WHOM THESE PRESENTS SHALL COME?

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

August 19, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,443,841 IS CERTIFIED TO BE A TRUE COPY OF THE REGISTRATION ISSUED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE WHICH REGISTRATION IS IN FULL FORCE AND EFFECT.

REGISTERED FOR A TERM OF 10 YEARS FROM April 17, 2001 SAID RECORDS SHOW TITLE TO BE IN: Registrant

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

P. SWAIN

Certifying Officer

Prior U.S. Cls.: 13, 21, 23, 31 and 34

United States Patent and Trademark Office

Reg. No. 2,443,841 Registered Apr. 17, 2001

TRADEMARK PRINCIPAL REGISTER

Thomas a Edison

MCGRAW-EDISON COMPANY (DELAWARE CORPORATION)
600 TRAVIS STREET HOUSTON, TX 77002

FOR: ELECTRIC LIGHTING FIXTURES AND COMPONENTS THEREFOR; NAMELY, ELECTRIC BALLASTS, ELECTRICAL TRANSFORMERS AND TRACK LIGHTING UNITS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

FIRST USE 1-0-1958; IN COMMERCE 1-0-1958.

OWNER OF U.S. REG. NOS. 372,127, 1,644,681 AND OTHERS.

THE MARK CONSISTS OF THE SIGNATURE OF "THOMAS A. EDISON".

SER. NO. 75-714,374, FILED 5-26-1999.

SARAH OTTE, EXAMINING ATTORNEY



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TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

December 13, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,495,399 IS CERTIFIED TO BE A TRUE COPY OF THE REGISTRATION ISSUED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE WHICH REGISTRATION IS IN FULL FORCE AND EFFECT.

REGISTERED FOR A TERM OF 10 YEARS FROM October 09, 2001 SAID RECORDS SHOW TITLE TO BE IN: Registrant

By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS



MONTGOMERY

Certifying Officer

Prior U.S. Cls.: 13, 21, 23, 31 and 34

United States Patent and Trademark Office

Reg. No. 2,495,399 Registered Oct. 9, 2001

TRADEMARK PRINCIPAL REGISTER

THOMAS A. EDISON

MCGRAW-EDISON COMPANY (DELAWARE CORPORATION)
600 TRAVIS STREET HOUSTON, TX 77002

FOR: ELECTRIC LIGHTING FIXTURES AND COMPONENTS THEREFOR; NAMELY, ELECTRIC BALLASTS, ELECTRICAL TRANSFORMERS AND TRACK LIGHTING UNITS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

FIRST USE 1-0-1958; IN COMMERCE 1-0-1958.

OWNER OF U.S. REG. NOS. 372,127, 1,746,302 AND OTHERS.

SER. NO. 75-714,373, FILED 5-26-1999.

SARAH OTTE, EXAMINING ATTORNEY



ALLES ON THE REPORT OF THE PROPERTY OF THE PRO

TO ALL TO WHOM THESE: PRESENTS: SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

December 13, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,726,711 IS CERTIFIED TO BE A TRUE COPY OF THE REGISTRATION ISSUED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE WHICH REGISTRATION IS IN FULL FORCE AND EFFECT.

REGISTERED FOR A TERM OF 10 YEARS FROM June 17, 2003 SAID RECORDS SHOW TITLE TO BE IN: Registrant

By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS



W. MONTGOMERY
Certifying Officer

Prior U.S. Cls.: 13, 21, 23, 31 and 34

Reg. No. 2,726,711

United States Patent and Trademark Office

Registered June 17, 2003

TRADEMARK PRINCIPAL REGISTER

EDISON

MCGRAW-EDISON COMPANY (DELAWARE CORPORATION)
600 TRAVIS STREET HOUSTON, TX 77002

FOR: ELECTRIC KITCHEN APPLIANCES FOR DOMESTIC USE; NAMELY, COUNTER-TOP TOASTER, BROILER AND COOKING OVENS, ELECTRIC SKILLETS AND COFFEE MAKERS AND COFFEE URNS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

FIRST USE 12-6-2001; IN COMMERCE 12-6-2001.

OWNER OF U.S. REG. NOS. 372,127, 2,495,399 AND OTHERS.

SER. NO. 76-381,492, FILED 3-12-2002.

EDWARD NELSON, EXAMINING ATTORNEY



DE BILLIAN DE LA RANDE DE LA R

TO ALL TO WHOM THESE: PRESENTS SHALL COMES UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

December 13, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,294,981 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM November 30, 1999

SAID RECORDS SHOW TITLE TO BE IN: **COOPER TECHNOLOGIES COMPANY** A DELAWARE CORPORATION

> By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS



Certifying Officer

Int. Cl.: 11

Prior U.S. Cls.: 13, 21, 23, 31 and 34

Reg. No. 2,294,981

United States Patent and Trademark Office

Registered Nov. 30, 1999

TRADEMARK PRINCIPAL REGISTER

MCGRAW-EDISON

6-9-1958.

MCGRAW-EDISON COMPANY (TEXAS CORPORATION)
600 TRAVIS, SUITE 5800
HOUSTON, TX 77210, BY ASSIGNMENT; BY ASSIGNMENT COOPER INDUSTRIES, INC. (OHIO CORPORATION) HOUSTON, TX 77002

SER. NO. 75-362,764, FILED 9-25-1997.

FOR: ELECTRICAL LIGHTING FIXTURES, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

JANICE L. MCMORROW, EXAMINING ATTORNEY

FIRST USE 6-9-1958; IN COMMERCE

HOME | SITE MAP

Partner Login | Partner Register



COMPANY INFO

OUR CATALOG

SALES REPS

TECHNICAL SUPPORT

INDUSTRY UNKS

CONTACT

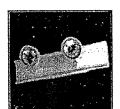






CATEGORY: dynaLUX®LED Bulbs LEDison Series





C Series

Enter Zip Code to locate Rep

Search

Product Overview



Our patented LEDison® Series of LED lamps is a breakthrough design. Now energy conservation is possi for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Ideal for use in decorative, indicator, task, medical and marine applications. LEDison Series LED lamps are available in a number of colors and color combinations. Special high-abuse versions, with a sealed circuit board design, are available for the most extreme applications Mule personnel are always available to work with customers on any special requirements.

BENEFITS AND FEATURES

- Long life, up to 100,000+ hours
- Significantly reduces maintenance costs
- Standard bulbs consume under 1 watt
- Electricity consumption reduced 80-90%
- Vibration resistant, solid state electronic circuitry
- Internal TVS surge protection
- Low heat generation saves HVAC operating costs
- Variety of sharp, vibrant colors and luminance outputs available
- Wide range of voltages (12 VDC -277 VAC)
- 120 VAC standard

SPECIFIC APPLICATIONS

- Lobby lighting
- Cabinet/under cabinet
- Hotel lighting
- Step lighting
- High ceilings
- Cove lighting
- Chandeliers
- Wall sconces
- Display cases
- Column lights
- Signage
- Carnival rides
- Nurse call lights
- Signal lighting
- Solar lighting
- Pathway lighting

COMPANY INFO

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SALES REPS

TECHNICAL SUPPORT

INDUSTRY UNKS

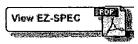
CONTACT

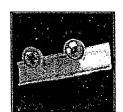






CATEGORY: dynaLUX®LED Bulbs LEDison Series





C Series

Enter Zip Code to locate Rep

Search

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Product Overview



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SPECIFIC APPLICATIONS

- Lobby lighting
- Cabinet/under cabinet
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- Wall sconces
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- Column lights
- Signage
- Carnival rides
- Nurse call lights
- Signal lighting
- Solar lighting
- · Pathway lighting

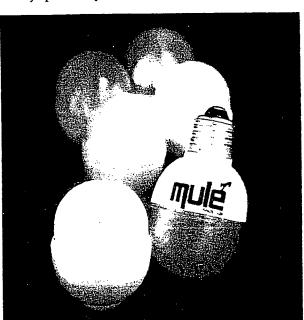
http://www.mulelighting.com/catalogue asn?C=1&P=1

9/27/2004

LEDISON® Series Energy Efficient Long Life

Our patented LEDison® Series of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Ideal for use in decorative, indicator, task, medical and marine applications.

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BENEFITS AND FEATURES

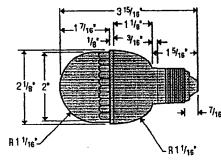
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- Significantly reduces maintenance costs
- Standard bulbs consume under 1 watt
- Electricity consumption reduced 80-90%
- Vibration resistant, solid state electronic circuitry
- Internal TVS surge protection
- Low heat generation saves HVAC operating costs
- Variety of sharp, vibrant colors and luminance outputs available
- Wide range of voltages (12 VDC 277 VAC)
- 120 VAC standard
- Medium base standard
- Investment payback within 1 year
- Replaces 10-60 watt incandescent bulbs

SPECIFIC APPLICATIONS

- Lobby lighting
- Hotel lighting
- · High ceilings
- Chandeliers
- Display cases
- Signage
- Nurse call lights
- Solar lighting

- Cabinet/under cabinet
- Step lighting
- · Cove lighting
- Wall sconces
- Column lights
- · Carnival rides
- Signal lighting
- Pathway lighting

ORDERING INFORMATION



BULB WEIGHT: 2 OZ. PACKAGING: 12 PER CASE

Model	Color	Voltage*	Lens	Options
LED	A = Amber R = Red G = Green B = Blue CW = Cool White (8000K) WW = Warm White (4500K)	120 = 120 VAC 277 = 277 VAC 12 = 12 VDC 24 = 24 VDC		WP = Weatherproof SO = Special Order**

Ordering Example: LED-WW-120-FR-SO

*International voltages available.

""We welcome the opportunity to custom design an LED bulb to exactly meet customer requirements. We have the ability to increase or decrease the light output, change the lens material and color, and/or alter the overall shape.



EZ-SPEC
Go to www.mulelighting.com

www.mulelighting.com

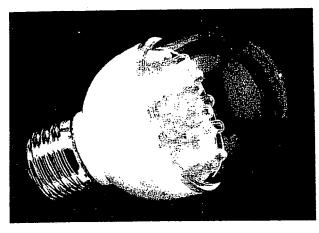


EDisonTM SERIES

Energy Efficient Long Life

LEDison™ SERIES of LED lamps is a breakthrough design. Now energy conservation is possible for most is of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional indescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Often used in cator, Task, Medical and Marine applications. Be the first in your industry.

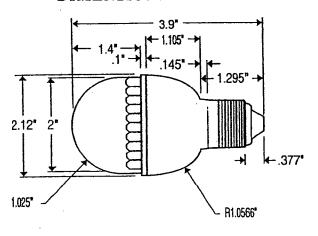
** LET MULE DESIGN A LAMP FOR YOUR NEEDS **





(PATENTS APPLIED FOR)

DIMENSIONAL DRAWING



BULB WEIGHT: 2OZ. PACKAGING: 12 PER CASE

BENEFITS & FEATURES

- Replaces incandescent bulbs
- Long life reliability
- 110-130 VAC (call for other voltages)
- 360° Visibility
- · Rugged thermoplastic housing
- Even illumination
- Reduces maintenance fewer lamp changes
- Edison E-26 base (standard socket)

LEDison™ SERIES LED lamps are available in a number of colors and color combinations. Special high abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.

ORDERING INFORMATION

STANDARD APPLICATIONS

Model	Color	Voltage*	Visibility	Wattage
LIL0001-A LIL0002-R LIL0003-G LIL0004-B LIL0005-W	RED GREEN BLUE WHITE	110-130 110-130 110-130 110-130 110-130	360° 360° 360° 360°	1.6 1.1 1.9 1.2 1.1

HIGH ABUSE APPLICATIONS**

Model	Color	Voltage*	Visibility	Wattage
HALIL0001-A		110-130	360°	1.6
HALIL0002-R	RED	110-130	360°	1.1
HALIL0003-G	GREEN	110-130	360°	1.9
HALIL0004-B	BLUE	110-130	360°	1.2
HALIL0005-W	WHITE	110-130	360°	1.1
				1

- Voltage levels can effect lamp brightness. 220-277V Available.
- ** Internal components are sealed against vibration and shock.

MULE-00033

11 2002" CATALUG

LEDison® Series

BENEFITS AND FEATURES • Long life, up to 100,000+ hours

Our patented LEDison® Series of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Ideal for use in decorative, indicator, task, medical and marine applications.

LEDison Series LED lamps are available in a number of colors and color combinations. Special high-abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.

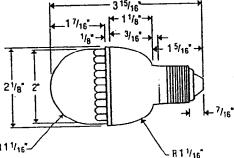


- Significantly reduces maintenance costs
- Standard bulbs consume under 1 watt
- Electricity consumption reduced 80-90%
- Vibration resistant, solid state electronic circuitry
- Internal TVS surge protection
- Low heat generation saves HVAC operating costs
- Variety of sharp, vibrant colors and luminance outputs available
- Wide range of voltages (12 VDC 277 VAC)
- 120 VAC standard
- Medium base standard
- Investment payback within 1 year
- Replaces 10-60 watt incandescent bulbs

SPECIFIC APPLICATIONS

- · Lobby lighting
- Hotel lighting
- · High ceilings
- Chandeliers
- Display cases
- Signage
- · Nurse call lights
- · Solar lighting

- Cabinet/under cabinet
- Step lighting
- Cove lighting
- Wall sconces
- Column lights
- Carnival rides
- Signal lighting
- Pathway lighting



BULB WEIGHT: 2 OZ. PACKAGING: 12 PER CASE

ORDERING INFORMATION

	···-					
I	Model	Color	Voltage*	Lens	Options	
•			120 = 120 VAC 277 = 277 VAC 12 = 12 VDC 24 = 24 VDC		WP = Weatherproof SO = Special Order**	

Ordering Example: LED-WW-120-FR-SO

*International voltages available.

**We welcome the opportunity to custom design an LED bulb to exactly meet customer requirements. We have the ability to increase or decrease the light output, change the lens material and color, and/or alter the overall shape.



Go to www.mulelighting.com



LEDisonTM SERIES

EZ-SPEC Page

Energy Efficient & Long Life

Order Here

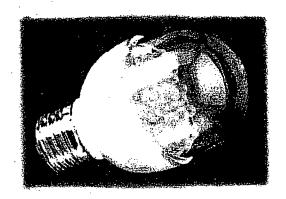
The LEDison™ SERIES of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Often used in Indicator, Task, Medical and Marine applications. Be the first in your industry.

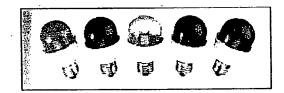
** LET MULE DESIGN A LAMP FOR YOUR NEEDS **

BENEFITS & FEATURES

- · Replaces incandescent bulbs
- · Long life reliability
- · 110-130 VAC (call for other voltages)
- · 360° Visibility
- · Rugged thermoplastic housing
- · Even illumination
- · Reduce's maintenance _ fewer lamp changes
- · Edison E-26 base (standard socket)

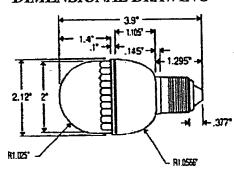
LEDison[™] SERIES LED lamps are available in a number of colors and color combinations. Special high abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.





ORDERING INFORMATION

DIMENSIONAL DRAWING



BULB WEIGHT: 20Z. PACKAGING: 12 PER CASE

STANDARD APPLICATIONS

Model	Color	Voltage*	Visibility	Wattage
LIL0001-A		110-130	360	1.6
LIL0002-R	RED	110-130	360	1.1
LIL0003-G	REELI	110-130	360	1.9
LIL0004-B	BLUE	110-130	360	1.2
LIL0005-W	WHITE	110-130	360	1.1

Model	Color	Voltage *	Visibility	Wattage
HALILOOD1-A		110-130	360	1.6
HALILOOO2-R	RED	110-130	360	1.1
HALILO003-G	\$8FU	110-130	360	1.9
HALILOOO4-B	BLUE	110-130	360	1.2
HALILOOOS-W	WHITE	110-130	360	1.1
	l			

- * Voltage levels can effect lamp brightness. 220-277V Available.
- ** Internal components are sealed against vibration and shock.



EZ-SpecTM interactive specification sheets are located at www.lightworld.com/ledison.htm

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MULE EMERGENCY LIGHTING Inventory Control Year To Date Report

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PAGE 1

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ITEM #	P C DESCRIPTION	NET SA UNITS	AMOUNT	AMOUNT	% SALES	AMOUNT	% SALES	PRICE
949 195	a ani TuuuuA-A	0.00	0.00	0.00	0.00	0.00	0.00	0.00
9159	H MHILLUUUZ N	0.00	0.00	0.00	0.00	0.00	0.00	0.00
19179	A MALILOOO3-G	0.00	0.00	0:00	0.00	0.00	0.00	0.00
ADIO	A MALICOVOR B	1,00	77.00	16.00	44.00	23.00	55.72	0.00
949200 - AM	A LIL0001-A-277YAC	1000.00	0.00	6000.00	0.00	6000.00-		23.59
949250 - 2	.A LILOO2-R-277VAC	50.00	1179.50	300.00	25.43	879.50 0.00	74.57 0.00	0.00
949409 JWW'	A HALILOOOS-W	0.00	0.00	0.00	0.00 70_83	7424_£1_	(0.00	8-66
10			8445.24	3532.88	41.83	4912.36	58.17	7 55
960002	A H2 A H-3 HEAD	0.00	0.00	0.00	0.00	0.00	0.00	7.0
960010		4.00	42.00	14.32	34.10	27.68	65.90	10.5
960012	A H-4 A H-4 12V METAL KEAD	0.00	0.00	0.00	0.00	0.00	0.00	
960013	4 H-5	0.00	0.00	0.00	0.00	0.00	0.00	0.0
960020	A 1-6	0.00	0.00	0.00	0.00	0.00	0.00	0.0
960025	A VOLT METER	0.00	0.00	0.00		0.00	0.00	0.0
960026	A H-O	0.00	0.00	0.00		8.00	0.00	0.0
960027 960028	A H-10 HEAD	0.00	0.00	0.00		0.00	0.00	0.0
960029	A K-11 HEAD	0.00	0.00	0.00		0.00	0.00	
960031	A H 12V-12W HALOGEN	0.00	0.00	0.00		0.00	0.00	0.0
960032	A H 12V-8W	0.00	0.00	0.00		0.00	0.00	0.0
960033	A H14	667.00	6169.87	1667.30		4502.37	72.97	9.:
960039	A K-7	596.00	5248.62	2217.35		2971.27	56.61	8.
960040	E CX-1-120-C-LED-SWAR	0.00	0.00	0.00	0.00	0.00	0.00	0.
960045	A H-20	62.00	728.99	217.00	29.77	511.90	70.23	1 1.
960070	A HM	12.00	147.60	48.00	32.52	99.60	67.48	12.
960075	A HM	0.00	0.00	0.00	0.00	0.00	0.00	0.
960190	A PP	2.00	72.90	57.12	78.35	15.78	21.65	
960192	A PPH	0.00	0.00	0.00	0.00	0.00	0.00	
960220	A ER12	0,40	0.00	0.00	0.00	0.00	0.00	
960225	A ER11	0.00	0.00	0.00		0.00		•
960235	A ERF 12YDC	0.00	0.00	0.00	0.00	0.00		
960255	A ERG	0.00	0.00			0.00		
96028 0	A ERSG	0.00	0.00	0.00	*	0.00		
960300	A H-36	0.00	0.00	0.00	-	0.00	0.00	
960310	A CYL	0.00	0.00	0.00		0.00		
960682	X PH	5.00	44.50	40.00		4.50		
960683	X BCT	8.00	34.00	18.72		15.28	44.94	
960684	X SPECIAL LETTERING	0.00	0.00	0.00		0.00	0.00	
960685	X DCE	28.00	203.40	71.68		131.12	64.76	
960688	X ALN	0.00	0.00	0.00		0.00	0.00	
360689	X CANOPY, COMPLETE	662.00	2019.25	1092.30		926.95	15.91	
9806898	X CANOPY, BLACK	12.00	33.40	19.80		13.60	40.22	,
960690 3505554025	X DOUBLE FACE KIT	0.00		^^	_0_00	_0_00_		

MULE EMERGENCY LIGHTING

325 VALLEY STREET PROVIDENCE, RHODE ISLAND 02908 TEL:: 401 521 6853 FAX: 401 521 6856 Internet: http://www.lightworld.com

INVOICE

INVOICE NUMBER: 076469

Source:

INVOICE DATE: 11/20/98 Order 076469

PAGE: 1

ROYAL ELECTRIC SUPPLY

BOX: 12618

PHILADELPHIA, PA.

19129

SHIP TÖ:

ROYAL ELECTRIC SUPPLY

3300 W CLEARFIELD STREET

PHILADELPHIA, PA

19132

SHIP VIA:

11/20/98 SHIP DATE 12/20/98

DUE DATE: TERMS:

CUST. ID.:

23703

32619 P.O. NUMBER:

P.O. DATE

10/27/98

OUR ORDER NO.

SALESPERSON:

DELTA

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ENTERED NOV 3 0 1998

CONFIDENTIAL. TRIAL COUNSEL ONLY

VISIT MULE'S WEBSITE AT nttp://www.lightworld.com

MULE-00153

SUBTOTAL TAX: PAYMENTS

TOTAL:

593.43 40.00 40.00 593.43

MULE EMERGENCY LIGHTING

325 VALLEY STREET PROVIDENCE BLODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet : http://www.lightworld.com



INVOICE NUMBER: 076855

Source:

INVOICE DATE:: 12/04/98 Order 076855

PAGE:

ROYAL ÉLECTRIC SUPPLY

BOX 12618

PHILADELPHIA, PA.

19129

ROYAL ELECTRIC SUPPLY SHIP 3300 WECLEARFIELD STREET

PHILADELPHIA, PA.

19132

SHIP VIA:

12/04/98 SHIP DATE: DUE DATE: 01/03/99

TERMS:

23703 CUST. ID.

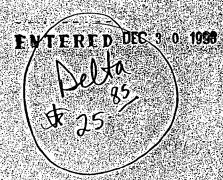
P.O. NUMBER: 33705

P.O. DATE: 12/03/98

OUR ORDER NO.:

SALESPERSON: DELTA

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CONFIDENTIAL TRIAL COUNSEL ONLY

ISIT MULE'S WEBSITE AT ittp://www.lightworld.com

MULE-00154

SUBTOTAL: TAX: PAYMENTS TOTAL

517.00 0.00 0.00 517.00

MULE EMERGENCY LIGHTING

325 VALLEY STREET PROVIDENCE RHODE ISLAND 02908 TEL: 401-521-6852 7AX: 401-521-6856 Internet: http://www.lightworld.com



INVOICE NUMBER: 076829

Source:

invoice date: 12/02/98 Order: 076829

PAGE:≋ 1...

BETHESDA MEM'L HOSPITAL 2815 SO SEACREST BLYD.

BOYNTON, BEACH, EL. ATTN: ACCTS PAYABLE

33435

BETHESDA MEM'L HOSPITAL

2013 CORPORATE DRIVES

BOYNTON BEACH, FL:

ATTN: RON BEAM/PO#135181

33426

SHIP VIA: B

SHIP DATE: 12/02/98 DUE DATE: 01/01/99 TERMS: 30

CUST.ID: 00326

P.O. NUMBER: \$135181

P.O. DATE: 12/02/98

OUR ORDER NO.:

SALESPERSON: M4

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CONFIDENTIAL TRIAL COUNSEL ONLY

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325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

SHANGHAI BAOSHAN IMPORT EXPORT

150 YIXIAN ROAD

SHANGHAI

CHINA

PURCHASE ORDER NO.

5890

VENDOR CODE

SHANGE

PURCHASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING. INC. 325 Valley Street Providence R.I. 02908

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ENERGY SAVING PRODUCTS

· EMERGENCY LIGHTING

• UPS INVERTERS

• BATTERIES

DYNALUX® Battery Conditioners

March 23, 1998 Mr. Luo Yong Yu Shanghai Baoshan Import & Export Trade Corporation, Ltd. 150 Yixian Rd., 16th FL., Chujiang Hotel Shanghai, China

fax 011-86-21-65316845 heibao@online.sh.cn

Dear Mr. Yu:

- 1) Thank you again for your very rapid response on the LIL samples. We will make good use of them to negotiate this large order for our mutual benefit.
- 2) I agree with you on assembly of bulbs here. We do not intend to sell any complete bulbs, just to have the materials on hand to illustrate how they are assembled and to use the parts for experiments with new led's etc. We will inform our clients that actual production is elsewhere and that this location is, as you stated, design, brand, marketing and capital. If this is a problem for you, we will understand and not pursue, but large customers need to know we are in control of the product in North America. Perhaps some information on the patent you have, etc. would be sufficient?

Thank you for your assistance.

Best Regards,

Robert P. Cross

President

CONFIDENTIAL. TRIAL COUNSEL ONLY

Sb57

MULE LIGHTING, INC.

325 Valley Street, Providence, RI 02908 (800) 556-7690 • (401) 521-6853 • Fax: (401) 521-6856 Fax on Demand: (401) 274-6820

Internet: http://www.lightworld.com



MAR 22 RECO

International Division
One Citizens Plaza
Providence, RI 02903 USA
401-456-7311 FAX 401-455-5859
SWIFT CTZIUS33
TELEX 211047 CTZINTI.

TRIAL COUNSEL

larch 18, 1999

TULE EMERGENCY LIGHTING, INC. 125 VALLEY STREET PROVIDENCE, RI 02908

This is to confirm that we have made payment as follows

Bene. Bank: INDUSTRIAL COMMERCIAL BK OF CHINA

BEIJING, CHINA

02232006519008

Beneficiary: SHANGHAI BAOSHAN IMP+EXP TRADE CORP

LTD

150 YIXIAN RD 16TH FL

SHANGHAI CHINA

Value Date 03/18/1999

Face Amount USD 4910.00

Rate 1.000000 =

USD Amount:

4910.00

Plus our charges of USD

International Fee:

30.00

TOTAL INCLUDING CHARGES: USD

4940.00

Please refer to our reference OP-084579 in all correspondence.

We have debited your account number 0001067583.

award 3wyes

10#5472. LEDIONS bulbs

325 Valley Street
PROVIDENCE, RI 02908
(401) 521-6853
FAX (401) 521-6856

PURCHASE ORDER NO.

6073

VENDOR CODE

SHANGB

PURCHASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING, INC. 325 Valley Street Providence R.I. 02908

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA

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5	949414	LEDISON, 120V, MILKY, MED, 4-WHITE	11.50	57.50
0	949416	LEDISON, 120V, MILKY, MED, 6-WHITE	15.60	156.00
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325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com PURCHASE ORDER NO.

SHANGB :

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VENDOR CODE

PURCHASE ORDER

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA SHIPTO: MULE EMERGENCY LIGHTING, INC. 325 Valley Street Providence R.I. 02908

011-86-21-65316

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325 Valley Street PRÖVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

TO: SHANGHÁI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI -CHINA

PURCHASE ORDER NO.

VENDOR CODE

325 Valley Street Providence R.I. 02908

011-86-21-65316

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325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

SHANGHAI BAOSHAN IMPORT EXPORT

PURCHASE ORDER NO.

6323

VENDOR CODE

SHANGB

PURCHASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING. 325 Valley Street
Providence
R.I.
02908

011-86-21-65316

150 YIXIAN ROAD

SHANGHAI

CHINA .

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TAX EXEMPT NO IS "14483" FOR RESALE

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949400EN	LILO005-W-FR-16 WHITE LED E2	7 17.25	34500.00
949150EN	LILO002-R-FR-16 RED LED E27	3.95	395.00
949190EN	LILO004-B-FR-16 BLUE LED E27	16.20	1620.00
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UNDER 200LBS	SHIP UPS.OTHERWISE SHIP GOD OR	YELLOW	
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325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com PURCHASE ORDER NO.

6469

VENDOR CODE

MULE-00248

SHANGB

PURCHASE ORDER

SHIP TO:

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA MULE EMERGENCY LIGHTING, INC. 325 Valley Street Providence R.I. 02908

011-86-21-65316

TAX EXEMPT NO IS "14483" FOR RESALE

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SHANGHAI BAOLITE LIGHTING & ELECTRIC CO.LTD.

RM.1801, BAISHU MANSION, 1230 ZHONGSHAN ROAD(N. 1) SHANGHAI 200437 CHINA

E-mail; heibaob@online.sh.cn.

TEL: 021-65447050 FAX: 021-65444802 www.baolighting.com

TO: MULE LIGHTING INC.	FAX NO: 001-401-521-6856
ATTN: MR.CROSS	DATE: OCT.18,2000
FROM: LUO YONG YU	REF NO:
REF	

Jear Mr. Cross,

Thanks for your fax and new order. We confirm as follows:

20.6469 4600pcs LEDison

Nov.15,2000 (delivery).

PO.6497 6000pcs LEDison

Dec.5,2000 (delivery).

PO.6502 5000pcs

LEDison

Dec.5,2000 (delivery).

Regarding the payment of LEDison bulb, may we suggest that 30% of total amount is paid by T/T in advance and the balance 70% paid by L/C 30 days. It is very imperative for us to get the loan from our bank with good interest rate, as we have to pay the full amount to Nichia before they make the production and delivery.

The total amount is USD269,100.00. Please kindly wire us the deposit of

USD80,000.00 and balance by L/C 30 days.

For the green Mulennium Exit lamp, we find if we make the green LED in frost type, the uniformity will be very good. We will send you the sample of green LED strips for your reference together with your sample order of LED elier.

The government will solve the financial problem of Shanghai Baoshan by the end of this year and I will inform you the result at that time. Also we are continuing the sample of die cast aluminum exit lamp.

Thanks and best Regards. Luo Yong Yu

罗永高

CONFIDENTIAL TRIAL COUNSEL ONLY

BaolitE

325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

150 YIXIAN ROAD

SHANGHAI

CHINA .

SHANGHAI BAOSHAN IMPORT EXPORT

VENDOR CODE

PURCHASE ORDER NO.

SHANGB

6497

SHIP TO:

MULE EMERGENCY LIGHTING. INC. 325 Valley Street providence R.I. 02908

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325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

150 YIXIAN ROAD

SHANGHAI

CHINA

O:

VENDOR CODE

SHANGB

URCHASE ORDER

SHIP TO:

SHANGHAI BAOSHAN IMPORT EXPORT

MULE EMERGENCY LIGHTING, INC. 325 Valley Street Providence R.I. 02908

011-86-21-65316 TAX FXEMPT NO IS "14483" FOR RESALE LUO YOMOBYU re 🚟 SHIP VIA PROV CONFIRMING TO REQ. DATE FREIGHT DESCRIPTION CUPY IS UNITED TO UNITED STATES ITEM NO. 1000. 14.20 LILO005-W-FR-16 WHITE LED E27)Q 949400EN CONFIDENTIAL. TRIAL COUNSEL ONLY STATE ON BILL OF LADING "13 HEIGHT RECEIVING DOCK"

UNDER 200LBS SHIP UPS OTHERWISE SHIP GOD OR YELLOW

MULE-00251

86250.00

325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA

PURCHASE ORDER NO.

SHANGB VENDOR CODE .

SHIP TO:

MULE EMERGENCY LIGHTING INC. 325 Valley Street Providence R.I. 02908

011-86-21-65316

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325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

SHANGHAI BAOSHAN IMPORT EXPORT

150 YIXIAN ROAD

SHANGHAI.

CHINA

PURCHASE ORDER NO.

,6634

VENDOR CODE

02908 ..

SHANGB

PURCHASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING, INC 325 Valley Street Providence R.I.

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5 01			. R.I.		TEN, NET 30	
1	FREIGHT	REQ. DATE	CONFIRMING		<u> </u>	
		<u> Jan 15 01</u>		PY IS OR	IGINAL CONE UNITCOST	TRM SHIP DATE EXTENDED COST
·	ITEM NO.		DESCRIPTION		UNIT COSI	
			•		<u>.</u>	
•			·	•		
				l	4.39	2195
	_			.aecn	3.95	1975.00
0	949150WP	LEDISON F	ED WEATHERPRO	Ureu	3.73	
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		60	NFIDENTIA			
		TDI	AL COUNS			
			ONLY			
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				-AETUTUA	book"	
		1	"13"HEIGHT R			
	UNDER 200LBS	SHIP UPS,0	THERWISE SHIP	GOD OR Y	rELLOW	
						1975.00

325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com PURCHASE ORDER NO.

6667A

VENDOR CODE

SHANGB

PURCHASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING. INC. 325 Valley Street Providence R.I. 02908

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA

011-86-21-65316 TAX EXEMPT NO IS "14483" FOR RESALE TERMS LUO YONGOBU SHIP VIA E PROV CONFIRMING TO REQ. DATE **FREIGHT** reb zo ol ITEM NO. 46200.00 LEDISON, 120V, FROSTED, BLUE (12) 14.00 949192

> CONFIDENTIAL-TRIAL COUNSEL ONLY

STATE ON BILL OF LADING "13" HEIGHT RECEIVING DOCK"
UNDER 200LBS SHIP UPS.OTHERWISE SHIP GOD OR YELLOW

325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com PURCHASE ORDER NO.

6726

VENDOR CODE

SHANGB

PURCHASE ORDER

MULE-00255

SHIP TO:

MULE EMERGENCY LIGHTING, INC: 325 Valley Street Providence R.I. 02908

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA

	SHIP VIA		O YONEORD		TER	15
)1			OV. R.I.		<u>₩₩₩</u> ₩ <u>₩₩₽</u> Ĩ\∕3⁄6\ REMA	/DAYY'S). RKS TAX (
	FREIGHT	REQ. DAT	E CONFIRM	IING TO	DEWA	nno a sagara sa sagara sa
	ITEM NO.	<u> </u>	O1 "YELLOW" DESCRIPTION	COPY IS OF	UNIT CONF	RM SHIP DATE EXTENDED COST
	749400EN	LILO005	-W-FR-12 WHIT	E LED E27	14.20	42600.00
				: · · · · · · · · · · · · · · · · · · ·		
		CC TR	ONFIDENTIAL COUNS			
		•				
	STATE ON BILL	. OF LADII	NG "13'HEIGHT	RECEIVING	роск"	

325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

PURCHASE ORDER NO. 6760

VENDOR CODE AND SHANGB

SHIP TO:

MULE EMERGENCY LIGHTING. INC. 325 Valley Street Providence R.I. 02908

O: SHANGHAI BAOSHAN IMPORT EXPORT

150: YIXIAN ROAD SHANGHAI CHINA

F-0118621654448

	F:0118621654448	TAX EXEMPT NO IS "14483" FOR RESALE	-
E	SHIP VIA	LUO YOM® BYU TERMS	
		DROV R I 2% TEN NET 30 DAY	'S
2_(FREIGHT	REQ. DATE CONFIRMING TO REMARKS	TAX
	List of the	/ / / / / / / / / / / / / / / / / / /	CUIDONATE
	A ITEM NO.	Jul 02 01 DESCRIPTION COPY IS ORIGINAL CONFIRM	exfended Cost
		and the formal section of the sectio	
	the state of the s		
٠O	949150EN	LIL0002-R-FR-16 RED LED E27 3.80	1900.00
,0	949172	LEDISON.MED, 12SUPGRN, XOFF, VIBF 16.00	4000.00
		misc items A land mice in	
) <u>5</u>		pica item Andrews (1)	
15	199999	pica items to the Property (2)	84.05
) 5		mico itamo (4) 5 65	141 25
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	·	A I A	
		CONFIDENTIAL- TRIAL COUNSEL ONLY	
	1	OF LADING "13'HEIGHT RECEIVING DOCK"	
	UNDER 200LBS	SHIP UPS, OTHERWISE SHIP GOD OR YELLOW	

325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

VENDOR CODE

PURCHASE ORDER NO.

6855

SHANGB

SHIP TO:

MULE EMERGENCY LIGHTING. 325 Valley Street Providence

R.I. 02908

TO:

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA

	F:0118621654448		TAY EXEMPT	NO IS "14	483" FOR RE	SALE
DATE	SHIP VIA	LUO	YOHROD.BYU		JER	MS
02 0		PROV	R I CONFIRMIN	29	TEN. NET 30	DAYS RKS
YER	FREIGHT	RÉQ. DATE	CONFIRMIN	<u> </u>	<u> </u>	
BEQ.	ITEM NO.	Jul 02 01	DESCRIPTION (AD SI YYU:	TE UNIT COST	EXTENDED COST
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		\sim	(2)	Vapul	ver pros	\$ _
100	949150EN	FIT0005-L	1-FR-16-RED-1	ED E27	3.80	380.00
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	STATE ON BILL			•	· •	
	UNDER 200LBS	SHIP UPS.O	THERWISE SHI	P GOD OR	MELLOW.	
	<u> </u>	<u> </u>			•	

325 Valley Street PROVIDENCE, RI 02908 (401) 521-6853 FAX (401) 521-6856 www.lightworld.com

SHANGHAI BAOSHAN IMPORT EXPORT

PURCHASE ORDER NO.

7035

VENDOR CODE

3 1 1 1 1 1 1 1 1 1

SHANGB

PURGUASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING. INC. 325 Valley Street Providence R.I. 02908

E-0118621654448

150 YIXIAN ROAD

SHANGHAI CHINA

١	F:0118621654448	3						
F	SHIP VIA	LUD YONG O'BU	YONG O'BU					
0		PROV. R.I.	2%	TEN. NET 30	DAYS RKSTAX			
}	FREIGHT	REQ. DATE CON	FIRMING TO	<u>in terresponsibilità del modellità del 1986 (1989)</u>	de and an			
-1	ITEM NO.	OCT 11 OT PLEASE DESCRIPTION	CONFIRM SHIP	DATE VIA RE UNIT COST	TURN FAX EXTENDED COST			
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_	D 40 400 Fkbb	LILOOO5-W-FR-WRW		10.70	21400.00			
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)	949400ENWWP	LILOOO5-W-FR-WRW-V	V P	11.00	,			
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:	STATE ON BILL	OF LADING "13'HEIG	HT RECEIVING	роск"				
	į.	SHIP UPS.OTHERWISE		i				
	UNDER 200163	Olize Orototiliemane			24700.00			

VALLEY STREET, PROVIDENCE, RI 02908
(401) 521-6853

(401) 521-6853 (401) 521-6856

v.mulelighting.com hasing@mulelighting.com

EXEMPT NUMBER: 14483 FOR RESALE

PURCHASE ORDER NO:

VENDOR CODE: SHANGB

PURCHASE ORDER

TO:_{SHANGHAI} BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD SHANGHAI CHINA SHIP TO: MULE EMERGENCY LIGHTING, INC.
325 Valley Street
Providence
R.I.
02908

011862165444802

YX:

LUO YONGNYU

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PROFO.BR.I.

2% TEN, TERMS30 DAYS

DBEO 10ATA PLEASE CONFIRM SHEMABASE VIA RETURN FAX

<u>[Y</u>	ITEM NUMBER	DESCRIPTION	UNIT COST	EXTENDED COST
O		misc items 10-645 10pcs white-clear 10-645 10pcs white-frest	12 00	240.00
·Ο	99999	misc item 50 Flame candle 2pcs white-clear	3.45	345.00
	999999	misc items 50-Flame candle 4pcs white-clear	5.65	565,00
Ō	949172	LEDISON, MED, 12SUPGRN, XOFF, VIBR	14.00	5600.00
		CONFIDENTIAL- TRIAL COUNSEL		
		ONLY		

STATE ON BILL OF LADING "13'HEIGHT RECEIVING DOCK"
UNDER 200LBS SHIP UPS,OTHERWISE SHIP GOD OR YELLOW

TOTAL COST

ALLEY STREET, PROVIDENCE, RI 02908

(401) 521-6853 (401) 521-6856 mulelighting.com asing@mulelighting.com

EMPT NUMBER: 14483 FOR RESALE

PURCHASE ORDER NO:

.7728

VENDOR CODE:

SHANGB

PURCHASE ORDER

°O:

SHIP TO:

SHANGHAI BAOSHAN IMPORT EXPORT 150 YIXIAN ROAD

SHANGHAI CHINA MULE EMERGENCY LIGHTING, INC. 46 Baker Street

Providence

R.I. 02905

X: 011862165444802

E: DATE

26 03

ATTN:

LUO YONG YU F.O.B.

PROV. R.I.

TERMS

2% TEN, NET 30 DAYS

REQ. DATE

REMARKS

Feb 26 03 PLEASE CONFIRM SHIP DATE VIA RETURN FAX

Y	ITEM NUMBER	DESCRIPTION	UNIT COST	EXTENDED COST
20	949917	THE TOP CONTROL TROOT		130.00
23	7-1-100	MR16 WHITE 12VDC	8.50	112.50
25	949501	MR16 RED 12VDC	8.50	212.50
75	949502	MR16 AMBER 12VDC	8.50	212.50
5	949503	MR16 BLUE 12VDC	8.50	127.50
	040504			127 50
.00	949400	LEDISON 12PCS WHITE 12V CLEAR	14.50	1450.00
20	7.7712	G45 TOPCS BLUE FRUST	7.50	
20	949713	G45 10PCS ORLEN FRUST	9.50	1,90.0
0	9.4812	A12 1A22 B115 500	9.50	
		CONFIDENTIAL- TRIAL COUNSEL ONLY		

UNDER 200LB SHIP UPS, OVER PLYMOUTH ROCK OR ROADWAY

TOTAL COST

ALLEY STREET, PROVIDENCE, RI 02908

SHANGHAI BAOSHAN IMPORT EXPORT

(401) 521-6853 (401) 521-6856 nulelighting.com

0:

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ATE

4 03

nulelighting.com sing@mulelighting.com

SHANGHAI

CHINA

EMPT NUMBER: 14483 FOR RESALE

150 YIXIAN ROAD

PURCHASE ORDER NO:

7778

VENDOR CODE:

SHANGB

PURCHASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING, INC.

46 Baker Street

Providence

R.I.

02905

C: 011862165444802

ATTN:

LUO YONG YU

F.O.B.

PROV. R.I.

TERMS

2% TEN, NET 30 DAYS

REQ. DATE

REMARKS

Mar 24 03 PLEASE CONFIRM SHIP DATE VIA RETURN FAX

Ţ	ITEM NUMBER	DESCRIPTION	UNIT COST	EXTENDED COST
5	949191	LEDISON BLUE 39 PCS CLEAR 12V	26.00	1430.00
		·		
				•
				• .
-				
•				·
		CONFIDENTIAL- TRIAL COUNSEL ONLY		

UNDER 200LB SHIP UPS, OVER PLYMOUTH ROCK OR ROADWAY

TOTAL COST

MULE-00261

1430.00

E LIGHTING, INC.

KER STREET, PROVIDENCE, RI 02905

SHANGHAI BAOSHAN IMPORT EXPORT

[401] 941-4446 [401] 941-2929 mulelighting.com

Ď:

ATE

3 03

MPT NUMBER: 14483 FOR RESALE

PURCHASE ORDER NO:

VENDOR CODE:

SHANGE

7946B

PURCHASE ORDER

SHIP TO:

MULE EMERGENCY LIGHTING, INC. 46 Baker Street

Providence

R.I.

02905

011862165444802

150 YIXIAN ROAD

SHANGHAI

CHINA

ATTN:

LUO YONG YU

F.O.B.

PROV. R.I.

TERMS

2% TEN, NET 30 DAYS

REQ. DATE

REMARKS

Aug 28 03 PLEASE CONFIRM SHIP DATE VIA RETURN FAX

	ITEM NUMBER	DESCRIPTION	UNIT COST	EXTENDED COST
9	740100		4-30	
)	999999	misc items LEDISON White-2777	14.20	142.00
Э	999999	misc items/ED/50N-RED ATN	3.80	`38.00
Э	999999	misc items/ED/SON Green-271V	14.20	142.00
-	199777	misc items MRW-White 1200 PC		200
		CONFIDENTIAL- TRIAL COUNSEL ONLY		

UNDER 200LB SHIP UPS, OVER PLYMOUTH ROCK OR ROADWAY

TOTAL COST

E LIGHTING, INC.

KER STREET, PROVIDENCE, RI 02905

(401) 941-4446

(401) 941-2929

mulelighting.com

EMPT NUMBER: 14483 FOR RESALE

PURCHASE ORDER NO:

8058

VENDOR CODE:

SHANGB

PURCHASE ORDER

SHANGHAI BAOSHAN IMPORT EXPORT

150 YIXIAN ROAD

SHANGHAI CHINA

ATE

2 03

SHIP TO:

MULE EMERGENCY LIGHTING, INC.

46 Baker Street

Providence

R.I.

02905

t: 011862165444802

ATTN:

LUO YONG YU

F.O.B.

PROV. R.I.

TERMS

2% TEN, NET 30 DAYS

REQ. DATE

REMARKS

PLEASE CONFIRM SHIP DATE VIÀ RETURN FAX Sep 12 03

<u>,</u>	ITEM NUMBER	DESCRIPTION	UNIT COST	EXTENDED COST
0	949425	LEDISON AMBER 39PCS,120V-FR-WP	5.20	7540.00
			·	•
-			,	·
				·
		CONFIDENTIAL- TRIAL COUNSEL ONLY		

UNDER 200LB SHIP UPS, OVER PLYMOUTH ROCK OR ROADWAY

TOTAL COST

MULE-00263

7540.00

E LIGHTING, INC.

AKER STREET, PROVIDENCE, RI 02905

(401) 941-4446 (401) 941-2929 .mulelighting.com

CEMPT NUMBER: 14483 FOR RESALE

PURCHASE ORDER NO: 80618

VENDOR CODE: SHANGB

PURCHASE ORDER

TO: SHANGHAI BAOSHAN IMPORT EXPORT

150 YIXIAN ROAD

SHANGHAI CHINA

SHIP TO:

MULE EMERGENCY LIGHTING, INC.

46 Baker Street

Providence

R.I. 02905

x: 011862165444802

IE:

ATTN: LUO YONG YU

F.O.B. PROV. R.I. **TERMS**

2% TEN, NET 30 DAYS

DATE 1 03

REMARKS

REQ. DATE PLEASE CONFIRM SHIP DATE VIA RETURN FAX Oct 31 03

~ /	ITEM NUMBER	DESCRIPTION	UNIT COST	EXTENDED COST
Y	ITEM WOMBELL			100
	010070	THE TRUST AMBER 120V		
	949831	FTL CLEAR AMBER 120V	1.10	110.00
)0	949850	FTL FROST CW 120V	10.00	1000.00
)0	949851	FIL SLEAR CW 120V	10.00	1000.00
	949860	FTL FROST WW L	8.50	850.00
00			10.00	200.00
20	949951	M50 ELEAR CW 120V		
20	949950	M50 FROST CW 120V	. 10.00	200.00
د 10	949552	R63 CW 12V	10.00	00.00
			10.00	
00	949410	LEDISON FROST RED 120V	2.65	265.00
		LEDISON FROST AMBER 120V	2.65	265.00
00	949430			15.00
00	C	NITE ENTYPIER DYNALUX SULOS		
	TR.	ial counsel		
		ONLY		

UNDER 200LB SHIP UPS, OVER PLYMOUTH ROCK OR ROADWAY

TOTAL COST

4215.00

E LIGHTING, INC.

KER STREET, PROVIDENCE, RI 02905

(401) 941-4446

(401) 941-2929 .mulelighting.com

EMPT NUMBER: 14483 FOR RESALE

BASE ORDER NO. 8508

VENDOR CODE: SHANGB

PURCHASE ORDER

°O:

SHANGHAI BAOSHAN IMPORT EXPORT

150 YIXIAN ROAD

SHANGHAI

CHINA

SHIP TO:

MULE EMERGENCY LIGHTING, INC.

46 Baker Street

Providence

R.I.

02905

REMARKS

X: 011862165444802

E:

DATE

3 03

ATTN:

LUO YONG YU

F.O.B.

PROV. R.I.

TERMS

2% TEN, NET 30 DAYS

REQ. DATE

Dec 23 03 PLEASE CONFIRM SHIP DATE VIA RÉTURN FAX

Y	ITEM NUMBER	DESCRIPTION	UNIT COST	EXTENDED COST
<u>.</u>	949750	LET TRUST CW 120V	5.50	
	949310		1.75	:
0		BOICK FIT WHILE	7.60	2280.00
νΔ	948016	LEDISON FROST RED 120V	3.80	760.00
)() 16	949410	LEDISON / NOO!		74-00
	747000		100	5000
25	CARAGO Z4	LED SW 120 TK 2	20.00	500.00
20-	0.40050	ET CW 120V	10.00	1000 00
		CONFIDENTIAL- TRIAL COUNSEL ONLY		

UNDER 200LB SHIP UPS.OVER PLYMOUTH ROCK OR ROADWAY

Total Unit Sales = 415

UN DATE: 12/30/99 UN TIME: 10:40 AM

MULE EMERGENCY LIGHTING Inventory Control Year To Date Report

EPARTMENT: 325

ITEM TYPE: P

ITEM # .	P C DESCRIPTION	NET S UNITS	SALES AMOUNT	COST OF GO AMOUNT	ODS SOLD	MARGI Amount	N % SALES	AVG SELLING PRICE
41002H33	5-9410020S3	0.00	0.00	0.00	0.00	0.00	0.00	0.000
41002X	A 941002X-GRN	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
1002X-DBL	A 941002X-GRN-DBL	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
41008	E IS PLUG	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
141007	E DC PLUG	12.00	22.80	6.00	26.32	16.80	73.68	1.9000
141008	E CS PLUG	60.00	120.80	30.00	24.83	90.80	75.17	2.0133
41009	AMB	63.00	117.50	53.55	45.57	63.95	54.45	1.8651
1015	E 941002	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
1060	E LED NI W/CS BASE	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
14 277	E 277V LED CIT	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
74 010	A RALSC	63.00	1998.00	1134.00	56.76	864.00	43.24	31.714
147011	A ST-11	67.00	806.00	536.00	66.50	270.00	33.50	12.029
147115	A ST-15	183.00	2122.20	1410.90	66.48	711.30	33.52	11.5967
}47 0 18	A ST-18	338.00	3881.65	2704.00	62.66	1177.65	30.34	11.4842
747023	A ST-23	256,00	2825.00	1971.20	69.78	853.80	30.22	11.0322
147016	A ST-26	135.00	2203.90	1417.50	64.32	786.40	35.68	16.32.2
747100	A R30 ALUN REFLECTOR	19.00	103.35	69.92	67.65	33.43	32.35	5.4395
9471 1	A R40 ALUM REFLECTOR	72.00	452.80	\$277.20	61.22	175.60	38.78	6.2889
3471 2	A R50 ALUM REFLECTOR	6.00	34, 50	27.00	78.26	7.50	21.74	5.750
9471 1	A DST-11	40.00	616.1	406.00	65.9 0	210.10	34.10	15.4015
7471 1C15	A CASE OF 15 PCS.DSTI		859 72	690.00	80.26	169.72	19.74	214.9300
3471 5	A DST-15	32.00	565.75	352.00	69.60	153.75	30.40	15.8047
7471.8	A DST-18	85.00	1272.25	987.50	76.83	294.75	23.17	14.9676
9471 BC15	A CASE OF 15 PCS.DST18		859.72	690.0	80.26	169.72	19.74	214.9300
3471 2 8	A DST-23	53.00	862.55	583.00	67.59	279.55	32.41	16.2745
34752	A 2ST-26	.00	69.00	48.52	70.42	20.48	29.68	17.2500
9475	A 2ST-32	2.00	34.50	24.26	70.32	10.24	29.68	17.250
9480	L (2)LED LAMPS-CS	403.00	7493.60	4030.00	53.78	3463.60	46.22	18.594
9480 5	L (2) LED LAMPS-DEL L (2)LED LAMPS IS	30.00	571.20	270.00	47.27	301-20	52.73	19.040
94801 948025	L (2) LED CAMPS-MB	108.00	1877.00	1080.00	57.54	797.00	42.46	17.379
94805	L (2) LED LAMPS-UNIV	382.00	6754.40	3437.50	50.89	3316.90	19.11	17.681
94810	(2) LED LAMPS-HW H/BI	3634.00	57732.85	41409.00	71.73	16323.85	28.97	15.8869
9482 DBP	E QUICK FIT BULBS-UNIT		70692.61 159348.75	42307.60 88424.80	59.85 55.40	28385.01 70923.95	40.15	17.1584
9483 08P	A SHORT QUICK-FIT-UN	1156.00	20108.05	10893.40	55.49 54.17	9214.65	44.51 45.83	16.136 17.394
3484	A 948402	61.00	1638.00	1098.00	67.03	540.00	32.97	28 8525
140450	H (Z) CEU CHIIPS UN ON		J0013.12	1070.00		340.00 340.0 2		25 0152 25 0152
949100	A LILO001-A	16.00	284.68	92.80	32.60	191.88	67.40	17.7925
949109	A HALILOODA-A	2.00	62.18	11.60	18.66	50.58	81.34	31.0900
949150	A LILOOO2-R	26.00	495.48	118.30	23.88	377.18	76.12	19.0569
949159	A HALILOOD2-R	10.00	272.50	45.50	16.70	227.00	83.30	27.2500
949170	A L1L0003-6	4.00	99.56	26.00	26.11	73.56	73.89	24.8900
749179	A HALILOOO3-G	6.00	164.68	39.00	23.68	125.68	76.32	27.4467
749190	A LILO004-B	ONFAD	EN MAL	75.20	53.33	65.80	46.67	35.2500
949199	A HALILOOO4-8	21A 1.00C	OUMSEL	37.60	38.09	61.12	61.91	49.3600
749200	A LILOOO1-A-277VAC	29,00,1	Y 901.61	169.20	18.77	732.41	81.23	31.0900
49250	A LILOO2-R-277VAC	194.00	4667.34	1162.55	24.91	3504.79	75.09	24.0585
49270	A HALILO003-G-250V	29.00	1022.28	188.50	18.44	833.78	81.56	35.2510
49290	A HALILO004-8-250V	3.00	148.08	56.40	38.09	91.68	61.91	49.3600

RUM DATE: 12/30/99 RUN TIME: 10:40 AM

MULE EMERGENCY LIGHTING Inventory Control Year To Date Report

DEPARTMENT: 325 ITEM TYPE: P

	p	NET	SALES	COST OF GO		MARGI		AVG SELLING
ITEM #	C DESCRI		AMOUNT	AMOUNT	% SALES	TRUOMA	% SALES	PRICE
949409	A HALILOOO5-	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
949500	A HALILOOOS-	W-250V 56.00	2443.88	1192.00	48.77	1251.88 7007.11	51.23	43.6407 9.7413
(000)	A 112	898.00 999.0 0	61V1.40 7905.29	2874,15 3158.52	47.11 39.95	4746.77	60.05	2.9132
960002	A H2	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
960030	A H-3 HEAD	0.00	0.00	0.00	0.00	0.00	0.00	0.0000
960012	A H-4 A H-4 12V ME		0.00	0.00	0.00	0.00	0.00	0.0000
60013		0.00	0.00	0.00	0.00	0.00	0.00	0.000
60020	A H-5	0.00	0.00	0.00	0.00	0.00	0.00	0.000
960025	A H-6			0.00	0.00	0.90	0.00	0.000
60026	VOLT METER	0.00		0:00		0.00	0.00	0.000
960027	A 1-0	0.00		0.00		0.00	0.00	0.000
960028	A H-11 HEAD	0.00		0.00		0.00	0.00	0.000
960029	H H-11V0CHU A H 12V-12₩			0.00		0.00	0.00	0.000
960031	•	0.00		0.00	,G	0.00	0.00	0.000
960032	A H 12V-8W	683.00		1707.50	2	5166.87	75.16	10.065
960033	A R14	1103.00		4246.55	A CONTRACTOR OF THE CONTRACTOR	5851.81	57.95	9.155
960039	A H-7	*		9.00	,	0.00	0.00	0.000
960040	E CX-1-120-0	193.00		£15.50		931.56	57.97	8.326
960045	A H-20	0.00		0.00		0.00	0.00	0.000
960070	A HM	0.00		0.00		0,00	0.00	0.000
960075	A HM	3.00		1056.72		302.68	22.27	36.740
960190	A PP	0.00		0.00		0.00	0.00	0.000
960192	A PPH	0.00		0.00		0.00	0.00	0.000
960220	A ER12	0.00		0.00		0.00	0.00	0.000
960225	A ERII		3. 2	0.00		0.00	0.00	0.000
960235	A ERF 12VDC A ERG	0.00	<i>y</i> 1	0.00		0.00	0.00	0.000
960255		0.00	<i>9</i>	0.00		0.00	0.00	0.000
960280	A ERSG	0.0	7	0.00		0.00	0.00	0.000
960300	A H-36	3 .00		0.00		0.00	0.00	0.000
960310	A CYL A MU4 BOARD	4		15.00		23.00	60.53	38.00
960534LQ1		15.00		67.50		83.28	55.23	10.052
960682	X PN	17.0		39.7		57.27	59.01	5.70
960683	X DCT			78.0		2.00	2.50	20.00
960684 -	X SPECIAL L	7.0		17.9		20.88		5.54
960685	X DCE	0.0		0.0	T	0.00		0.00
960688	X ALN			887.7	•	639.77		2.81
960689	X CANOPY,	A	DENTIA		***	0.00		0.00
960689B	X CANOPY,					0.00		0.00
960689DFC	X DOUBLE FI	HIE VII IRIAE.	COUNS	0.0		0.00		0.00
960690	X OPEN FACI	F v C	NLY 0.00	0.0		0.00		
960691	X FZ			0.0		0.00		
960692	JP 19	0.0		0.0		0.00		
960821	X UXWRCB	0.0		0.0		0.00		
960822	X UXBRCB	0.0		0.0		0.00	•	
960823	X UXWRGCB	0.0				0.00		
960824	X UXBRGCB	0.0		0.0 0.0		0.00		
960825	X UPXWRCB	0.0				0.00		**
96 9 825F	X UPXWRCB-			0.0		0.00		***
60826	X UPXBRCB	0.0				0.00		· ·
960827	X UPXWRGCB	0.0	0.00	0.0	0.00	V. 00) V.V(

PROVIDENCE RHODE ISLAND 02908 TEL: 401-521-6853) FAX: 401-521-6856 Internet: http://www.lightworld.com

INVOICE ____

INVOICE NUMBER: 079127

Source:

INVOICE DATE: 05/20/99 Order 079127

PAGE: 1

ROYAL ELECTRIC SUPPLY

BOX 12618

PHILADELPHIA, PA.

19129

ROYAL ELECTRIC SUPPLY SHIP

3233 HUNTING PARK AYENUE PHILADELPHIA: PA:

MARK: PO#38224

19132

SHIP VIA:

05/20/99 SHIP DATE:

DUE DATE: 06/19/99

: TERMS: 30

23703 CUST. ID.:

P.O. NUMBER: 38224

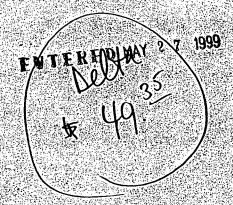
P.O. DATE: 05/19/99

OUR ORDER NO.

SALESPERSON: DELTA

	ORDERED	SHIPPED	UNIT	PRI	CE	NET.	The state of
ITEM I.D./DESC.			ver indexes in	STINGSANGEYS:			87.00 E
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MULE-00159

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325 VALLEY STREET PROVIDENCE, RHODE ISLAND 02908 TEL: 401-52<u>1-6852</u> FAX: 401-521-6856

Internet:/http://www.lightworld.com

INVOICE NUMBER: 27589A

Source:

INVOICE DATE: 03/19/99 Order: 077589

PAGE:

ROYAL ELECTRIC SUPPLY

BOX 12618

PHILADELPHIA, PA.

19129

SHIP

TO:

ROYAL ELECTRIC SUPPLY

3300 WECLEARFIELD STREET

PHILADELPHIA, PA.

19132

SHIP VIA:

SHIP DATE: 04/18/99

DUE DATE 30 TERMS:

03/19/99

23703

35161 02/01/99

P.O. NUMBER P.O. DATE: OUR ORDER NO.

CUST. ID.

SALESPERSON

DELTA

ITEM I.D./DESC. °	ORDERED	SHIPPED	UNIT	RICE	NET	TX
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REIGHT

CONFIDENTIAL-TRIAL COUNSEL ONLY

ENTERED MAR 3 1 1999

MULE-00160

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PAYMENTS	828.91
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PROVIDENCE, RHODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com

INVOICE

INVOICE NUMBER: 077589

Source:

INVOICE DATE: 02/02/99 Dr.dein 077589

PAGE:

ROYAL ELECTRIC SUPPLY

BOX 12618

PHILADELPHIA. PA.

19129

ROYAL ELECTRIC SUPPLY SHIP

3300 W GLEARFIELD STREET

PHILADELPHIA, PA.

19132

SHIP VIA: B

SHIP DATE: 02/02/99

DUE DATE: 03/04/99

TERMS:

CUST. ID: 23703

P.O. NUMBER

35161

02/01/99

P.O. DATE: OUR ORDER NO.:

SALESPERSON:

DELTA

ITEM I.D./DESC.	·		ORDERED		SHIPPED	UNIT		PRICE			NET	Ţ
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TO:

FNTERED FEB 2 6 1999

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MULE-00161

1532.82 SUBTOTAL 0:00 TAX: 0.00 **PAYMENTS** 1532.82 TOTAL:

325 VALLEY STREET

PROVIDENCE, RHODE ISLAND 02908 TEL 401-521-6853 FAX 401-521-6856 Internet: http://www.lightworld.com/

ROYAL ELECTRIC SUPPLY

PHILADELPHIA, PA.



INVOICE NUMBER: 76855A

Source:

invoice pate: 01/13/99 Order 076855

PAGE: 1

SHIP

ROYAL ELECTRIC SUPPLY

3300 W CLEARFIELD STREET TO:

PHILADELPHIA. PAG

19132

SHIP VIA:

19129

BOX 12618

01/13/99 SHIP DATE:

02/12/99 DUE DATE:

TERMS:

23703 CUST. ID.:

33705

12/03/98

OUR ORDER NO .:

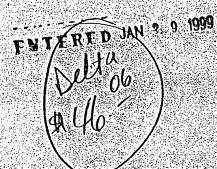
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DELTA

ITEM I.D./DESC	; ; .	ORDERED	SHIPPED	UNIT	PRICE		NET	хт
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CONFIDENTIAL TRIAL COUNSEL ONLY

(SIT MULE S WEBSITE AT stp://www.lightworld.com

MULE-00162

SUBTOTAL: TAX: PAYMENTS TOTAL:

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RUN DATE: 12/31/00 RUN TIME: 1:30 PM

MULE EMERGENCY LIGHTING Inventory Control Year To Date Report

T.TAL UNIT 10 Solut # 13.135

DEPARTMENT: 325

ITEM TYPE: P

Exclusion Date: 12/31/00

MULE-00164

PAGE 7

TITEM #	P C DESCRIPTION	NET UNITS	SALES AMOUNT	COST OF GOOD AMOUNT 1	S SOLD S SALES	MARGII AMOUNT 9	SALES	AVG SELLING PRICE
A017054		325.00	1001-00	2500.86	62.49	1501.04	37.31	17 JI V
947026MSC	A MSC#62036744 ST-26	72.00	888.48	632.16	71.15	256.32	28.85	12.340
947031MSC	A MSC#62036819 RLST-26	24.00	171.36	127.68	74.51	43.68	25.49	7.140
7032MSC	A MSC#62036827 RLST-32	25.00	179.70	133.00	74.01	46.70	25.99	7,188
947-90	A R30 ALUM REFLECTOR	51.00	307.15	187.68	61.10	119.47	38.90	6.022
947100036	A MSC#62036835 R30	36.00	233.64	144.00	61.63	89.64	38.37	6.490
947101	A R40 ALUM REFLECTOR	184.00	1196.95	708.40	59.18	488.55	40.82	6.505
947101MSC	8 MSC#62036843 R40	24.00	155.76	102.00	65.49 .	53 6	34.51	6.490
947102	A 850 ALUM REFLECTOR	1.00	7.50	4.50	60.00	3.00	40.00	7.500
947102MSC	A MSC 62036850 R50	26.00	168.74	110.50	65.49	58.24	34.51	6,490
947111	A DST-11	207.00	3030.49	2101.05	69.33	929.44	30.67	
947111MSC	A MSC#62038251 DST-11	24.00	389.76	276.00	70.81	113.76	29.19	16.240
947115	A DST-15	197.00	2929.64	2167.00	73.27	762.64	26.03	14.8713
47115MSC	A MSC#62036769 DST-15	24.00	. 389.76	276.00	<i>76</i> .81	113.76	29.19	16.2400
47118	A DST-18	230.00	3515.72	2645.00	75.23	870.72	24.77	15.2857
47118MSC	A MSC#62036777 DST-18	24.00	389.76	276.00	70.81	113.76	29.19	16.240
47123	A DST-23	121.00	2163.59	1331	61.52	832.59	38.48	17.880
917123MSC	A MSC#62036785 DST-23	48.00	779.52	5 5 2.00	70.81	227.52	29.19	16.240
97211MSC	A MSC#62036900 ST11GL1	18.00	233.82	71.00	73.13	· 62.82	26.87	12.990
₹7215MSC	A MSC#62036918 ST15GL1	12.00	155.88	114.00	73.13	41.88	26.87	12.990
7218MSC	A MSC#62036926 ST1BGL1	12.00	155.88	114.00	73.13	41.88	26.87	12.990
7223MSC	A MSC#62036934 ST23GL1	12.00	139 88	114.00	73.13	41.88	26.87	12.99
97311MSC	A MSC#62036868 ST11-CP	12.00	155 88	114.00	73.13	41.88	26.87	12.99
987315MSC	A MSC#62036876 ST15-CP	30.00	349.70	285.00	73.13	104.70	26.87	12.99 0
% 7318MSC	A MSC#62036884 ST18-CP	12.00	155.88	114.00	73.13	41.88	26.87	12.99 0
9 7323MSC	A MSC#62036892 ST23-CP	66.00	857.34	887.00	73.13	230.34	26.87	12.99 0
9 7415MSC	A MSC#62036942 ST15GL2	12.00	171.48	126.00	73.48	45.48	2 6.52	14.290
9 7418MSC	A MSC#62036959 ST1BGL2	12,00	171.48	126.00	73.48	45.48	26.52	14.2900
9 1 7423MSC	A MSC#62036967 ST23GL2	.00	171.48	126.00	33.48	45.48	2 6.52	14.2900
9 7426MSC	A MSC#62036975 ST26GL2	18.00	257.22	189.00	73.48	68.22	26.52	14.2900
9 7526	A 2ST-26	12.00	186.36	145.56	78.11	40.80	21.89	15.5.00
9.7526MSC	A MSC#62036793 281.76	24.00	382.76	1=12761	ተ/አላ -	119.76	30.73	16.2 00
9 7532	A 2ST-32	13.00	2 G.Q r		第五	69.44	30.57	17.415
9 7532MSC	A MSC#62036801 2ST-32	24.00		AL WILL			30.73	16.2400
98012	L (2)LED LAMPS-CS	17.00	325.40	OMEN		155. 0	47.76	19.1412
948017	L (2)LED CAMPS-IS	20.00	360.00	200.00	55.56	160.00	44.44	18,4000
94,025	L (2) (ED LAMPS-MB	217.00	3530.20	1953.00	55.32	1577.20	14.68	16.482
948050	L Z) LED LAMPS-UNIV	235.00	3404.25	2115.00	62.13	1289.25	37.87	14.4862
948 00	(2)LED LAMPS-HW W/BR	3285.00	52251.30	25477.95	48.76	26773.35	51.24	15.9060
948200BP	L QUICK FIT BULBS-UNIV		182123.20	96937.65	53.23	85185.55	46.77	14.6.19
945200BPMC	A MSC62400841 948200BP	200.00	2650.00	1290.00	48.68	1360.00	51.32	13.2900
#8300#P	L SHORT DUICK-FIT-UN	654.00	11472.70	4988.20	43.48	6484.50	56.52	1 544
48402	L 121LED LAMPS-CS-GRN.	34.00	910.20	488.00	53.61	422.20	46.39	26. 3.6
10.150	L 121 COV LAMPS-UN-GRN	654.00	VC. VIOCI	8382.00		7210.60		[7,450]
949100	L LILOOOI-A	11.00	191.98	63.80	33.23	128.18	66.77	17.4527
949109	L RALILODOA-A	93.00	2612.37	539.40	20.65	2072.97	79.35	28.0900
349150	L L1L0002-R	66.00	1015.45	300.30	29.57	715.15	70.43	15.3856
949159 949170	\	4.00 ar no	111.00	18.20	16.40	92.80	83.60 70 02	27,7500

PAGE 8

RUN DATE: 12/31/00 RUN TIME: 1:30 PM

MULE EMERGENCY LIGHTING Inventory Control Year To Date Report

DEPARTMENT: 325 ITEM TYPE: P

r*	p		NET SA	ILES	COST OF GOOD	S SOLD	MARGIN	l .	AVG SELLING
ITEM #	C	DESCRIPTION	UNITS	AMOUNT		SALES	r THUOMA	SALES	PRICE
, 9179	1 H	ALILOOO3-G	106.00	5137.82	689.00	13.41	4448.82	86.59	48.47
9190		IL0004-B	11.00	328.50	206.80	62.95	121.70	37.05	29.86
9250		IL002-R-277VAC	133.00	2950,50	798.00	27.05	2152.50	72.95	22.18
9290		ALIL0004-8-250V	2.00	75.00	37.60	50.13	37.40	49.87	37.50
9490 9400		1L0005-#	24.00	924.55	516.00	55.81	408.55	44.19	38.5
9400EN		1L0005-W-FR	11764.00	294100.00	193932.00	65.94	100168.00	34.06	25.0
9500		ALIL0005-W-250V	4.00	156.00	86.00	55.13	70.00	44.87	
9601		ULLILOOSWRWHITEFRO	880.00	14520.00	7480.00	51.52	7040.00	48.48	
9601		DECTEOVS INCHITITET AND	907.00	1467-12	1611:15	30.13	2843.77	03.03	
0.02	AF		639.00	5557.01	2019.24	36.34	3537.77	63.66	
0002450		ISC#62401005 H2-UDP	6.00	56.84	28.32	49.82	28.52	50.18	
0010MS		1SC#62400999 H3-UDP	2.00	18.92	9.40	49.68	9.52	50.32	9.4
0020MSC		1SC#62401013 H5-UDP	2.00	18.92	9.44	49.89	9.48	50.11	,
.0033	•	R14	897.00	8667.70	2242.50	25.87	6425.20	74.13	
0039	-		658.00	5693.97	2533.30	44.49	3160.67	55.51	
0039MSC		MSC#68401021 H7-UDP	10.00	94.76	47.20	49.81	47.56	50.19	
0045		H-20	137.00	1503.90	479.50	31.88	1024.40	68.12	
0070	A		25.00	300.00	100.00	33.33	200.00	66.67	
0190	A		1.00	37 A5	NFIDE	NTOAL	8.89	23.74	
30682	X	T .	2.00			UNSE	1.00	43.75	
50684		SPECIAL LETTERING	1.00	100.00	ALIST	19,00	80.50	80.50	
0685		DCE	61.00	224.20	431.76	- ¥ 65	68.04	30.35	
50689		CANOPY, COMPLETE	245.00	563.94	273.60	48.52	290.34	51.48	
50841		SX-1-120V	1332.80	14481.24	11377.67	78.57	3103.57	21.43	
50841L		SX-1-120-LED	7.00	479.50	140.00	29.20	339.50	70.80	
50841LQ1		SX-1-120/277-LED-01	5.00	167.55	75.00	44.76	92.55	55.24	
60841L 9 2		SX-1-120/277-LED-WWR	141.00	4506.60	2820.00	62.57	1686.60	37.43	
60842		SX-2-120V EXIT WHITE		1536.90	1043.68	67.91	493.22	32.09	
60842l		SX-2-120-LED-C	10.00	596 20	210.00	35.21	386.50	64.7	•
60842101		SX-1/2C-120/277-LED	3.00	161.93	49.50	36.68	85.45	63.3	
60842L 9 2		SX-1/2C-WWR-LED	1107.00	\$1575.26	22140.00	58.92	15435.26	41.0	
60843		PSX-1-120V EXIT WHT	1.00	162.00	34.04	21.01	127.96		
60843F	X	PSX-1-120V-FL	1.00	75.00	39.86	53.15	35.14	46.8	
60843L		PSX-1-120/277-LED	40 00	4403.00	944 80	21.46	3458.20	78.5	
60843LA		PSX-1-120/277-LED	2.00	110.00	65.22	59.29	44.78	40.7	
60843LMU4		PSX-1-120/277-LED	4.00	203.60	141.60	69.55	62.00	30.4	
60843L Q 1		PSX-1-WWR	262.00	13574.07	5764.00	42.46	7810.07	57.5	
60843L02	A	PSX-1-120/277-120	10.00	530.00	300.00	56.60	230.00	43.4	
60844L	Ε	PSX-2-120/27 -LED	102.00	5500.00	255 0 .0 0	46.36	2950.00	53.6	
608441 01		PSX-1/2C WWR	2210.00	102881.20	48620.00	47.26	54861.20	52.7	
60847		SX-1-20-DCE	69.0 0	1277.52	860.43	67.35	417.09	32.6	
60851		FDX-1-120/277V-2	1.00	94.00	53.56	56.98	40.44	43.0	
50851L	A	EPX-1-120/277-2-LED	877.00	78440.84	50734.45	64.68	27706.39	33	•
60851LMSC	A		65.00	5825.0 0	3380.00	58.03	2445.00	41.9	
960851RAD		RADUR	14.00	973.50	689.50	70.83	284.00	29.1	•
96086		CX-1-BAR	483.00	22597.99	12075.00	53.43	10522.99	46.5	
96 862L	Ε	CX-2C-BAR	205.00	10024.75	5358.00	53.45 57.59	4666.75 17560:51	46.5	

MULE EMERGENCY LIGHTING 325 VALLEY STREET

PROVIDENCE, RHODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com

INVOICE:

INVOICE NUMBER: 82994A

Source:

INVOICE DATE: 03/20/00 Dr.der 082994

PAGE: 1

U.S. POSTAL SERVICE-BMC

4900 SPEAKER ROAD KANSAS CITY, KS.

ATTN: PATRICIA WILLIAMS

66106-9726

U.S. POSTAL SERVICE-BMG SHIP

4900 SPEAKER ROAD

KANSAS CITY, KS.

ATTN: MAINTENANCE

66106-9726

SHIP VIA: A

SHIP DATE: 03/20/00

DUE DATE: 04/19/00

TERMS: 30

50234 CUST. ID.::

P.O. NUMBER: MO00664

P.O. DATE: \$ 02/04/00

OUR ORDER NO.:

LED SALESPERSON:

"智能性性证明,是证证证明如此数数数"的经验和证明的。	1 (2014) 2 (201 <u>4) 1 (2014) 1 (2014) 2 </u>	The party of the state of the first of the party of the p	diparental bili Deste de la causo (GND)	AT ALL PROPERTY OF THE PARTY AND ADDRESS OF THE PARTY.	1	
ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	TX
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					1540 80	
?59491 7 9	93.00	93,00	EACH	48.7400	4532.82	
HALILO003-G						
) COMPLETE BACKORD						
NID W/VISA# 4716-0	963-0000-064	8 01/01 1 1				新疆

TO:

CONFIDENTIAL: TRIAL COUNSEL ONLY

** WE NOW ACCEPT MASTERCARD & VISA. ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00166

5094:62 SUBTOTAL 0.00 TAX: 0.00. PAYMENTS 5094:62 TOTAL:

325 VALLEY STREET

PROVIDENCE, RHODE ISLAND 02908 TEL: 401-22-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com

INVOICE

INVOICE NUMBER: 082994

: Source:

INVOICE DATE: 02/07/00 Drder 082994

PAGE: 1

U.S. POSTAL SERVICE-BMC

4900 SPEAKER ROAD KANSAS CITY, KS.

ATTN: PATRICIA WILLIAMS

66106-9726

SHIP U.S. FOSTAL SERVICE-BMG

4900 SPEAKER ROAD KANSAS GITY, KS. ATTN:MAINTENANCE

66106-9726

SHIP VIA:

SHIP DATE: 02/07/00 DUE DATE: 03/08/00

TERMS: 30

CUST. ID.: 50234

M000664

P.O. NUMBER:

02/04/00

OUR ORDER NO.

SALESPERSON: LED

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ITEM I.D./DESC. ORDERED	SHIPPED	JNIT . PRIC		NET	17. 議
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	근하다 얼굴 됐는 그림에서 있어요?	도소 연극은 안 없고 화경화			
701			생활하면 하셔요 함		
λ_{01}	이번째가 된 경기가 있는데?				

TO:

CONFIDENTIAL: TRIAL COUNSEL ONLY

MULE-00167

** WE NOW ACCEPT MASTERCARD & VISA.

SUBTOTAL: TAX: PAYMENTS

TOTAL:

2050:57 0:00 0:00 2050:57

325 VALLEY STREET PROVIDENCE, RHODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com/

INVOICE 6 4 4 5 5

INVOICE NUMBER: 086471

Source: Cinvoicedate: 12/01/00 Order 086471

PAGE: 1

D U.S. POSTAL SERVICE BMC., 4900 SPEAKER ROAD KANSAS CITY, KS. ATTN:PAT WILLIAMS 66106-9726 SHIR U.S. POSTAL SERVIGE-BMC TO: 4900 SPEAKER ROAD KANSAS CITY, KS ATTNIPAT WILLIAMS 66106+9726

SHIP VIA: A

SHIP DATE: 12/01/00 DUE DATE: 12/31/00

TERMS: 30

CUST: ID: 50234

PO NUMBER VERBALTPAT

OUR OBDER NO.

SALESPERSON: LED

			A STATE OF THE STA	e destrugi por grande en la proposición de la composición del composición de la comp		
ITEM I D./DESC.	ORDERED	SHIPPED	UNIT.	PRICE	NET	TX
			5 17 SEC. 174		Carrier St.	o'.do E
949179	10.00	# 10.00	EACH	49.0000	alter of the state	

HALILOOO3-G

EIGHT VIA PRIORITY MAIL ID W/VISA#4716-0963-0000-0648 EXP. /01 6.95 E

CONFIDENTIAL: TRIAL COUNSEL ONLY

k* WE NOW ACCEPT MASTERCARD & VISA,
all accounts receivable for details ***

MULE-00168

SUBTOTAL: 498.95 TAX: 0.00 PAYMENTS 0.00 TOTAL: 496.95

325 VALLEY STREET

PROVIDENCE RHODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com

INVOICE

INVOICE NUMBER: 086597

Source:

INVOICE DATE: 12/01/00 Order 086597

PAGE:

WALTERS WHOLEBALE-LA PALM

5600 ERESCA DRIVE

LA PALMA. CA

SHIP DATE: 12/01/00

DUE DATE: 12/31/00 TERMS: 30

90623

SHIP VIA: A

SHIP ENRON C/O SUN IND

7291 HEIL AVENUE

HUNTINGTON BEACH & CA

ATTN: IVIS

92647

CUST ID. 32022

P.O. NUMBER

SEE BELDW: 10/24/00

. P.O. DATE

OUR ORDER NO.::-

SALESPERSON

THE METERS OF THE SECOND			州海洲沿岸		网络树木 (1)		400000000000000000000000000000000000000	25.00
ITEM I.D./DESC.	ORDERED	SHIPPED	. UNIT	PRICE		NET		TX
394 9 601	880.00	880.00	EACH	16	000	14520	00	

TO:

MULLILOOSWRWHITEFRO

STOMER PO# 147710-DIR-8128030

CONFIDENTIAL TRIAL COUNSEL ONLY

** WE NOW ACCEPT MASTERCARD & VISA. ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00169

14520-00 SUBTOTAL: #0.00 TAX: 0.00 PAYMENTS: 14520.00 TOTAL:

325 VALLEY STREET PROVIDENCE PHODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com



INVOICE NUMBER: 083426

Source: INVOICE DATE: 03/09/00 order 083426

PAGE:

ROYAL ELECTRIC SUPPLY

BOX 12618

PHILADELPHIA, PA.

19129

ROYAL ELECTRIC SUPPLY SHIP TO:

3300 W CLEARFIELD STREET

PHILADELPHIA: PA:

MARK: PO#45524

19132

SHIP VIA:

SHIP DATE: 03/09/00

DUE DATE: 04/08/00

TERMS: 30

REIGHT

CUST. ID.: 23703

P.O. NUMBER: 45524

P.O. DATE: 03/06/00

OUR ORDER NO.

SALESPERSON: DELTA

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET TX
25949250	25.00	25.00	EACH	23.5000	587.50 E
LILO02-R-277VAC					5 87 F

KED MÀR 3 1 2000

CONFIDENTIAL TRIAL COUNSEL ONLY

** WE NOW ACCEPT MASTERCARD & VISA ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00170

593.33 SUBTOTAL: 0.00 ∉TAX: 0.00 PAYMENTS 593.33 TOTAL:

PROVIDENCE RHODE ISLAND 02908
TEL: 40,17521-6853 FAX: 401-521-6856
Internet, http://www.lightworld.com

INVOICE

INVOICE NUMBER: 081224

Source:

INVOICE DATE: 01/05/00 Order 081224

PAGE: 1

D ROYAL ELECTRIC SUPPLY

BOX 12618

PHILADELPHIA, PA.

19129

EIGHT

SHIP ROYAL ELECTRIC SUPPLY

3300 WEST GLEARFIELD ST.

PHILADELPHÍA, PA.

MARK: PO#41789

19132

OO EACH

TO:

cust. ID.: 23703

P.O. NUMBER: 41789

P.O. DATE: 10/05/99

23.5000

OUR ORDER NO .:

SALESPERSON: DELTA

SHIP VIA: B

SHIP DATE: 01/05/00 DUE DATE: 02/04/00

TERMS: 30

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.C. PER AGR	FIMENI &	D150055	LUN (a) -	
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	ta Kiro Meli yekay			
"我一门的时候,都找到什么好我们的人。"	The State of Windows Co.		off Estimate ここ。	

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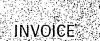
CONFIDENTIAL: TRIAL COUNSEL ONLY

* WE NOW ACCEPT MASTERCARD & VISA: LL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00171

SUBTOTAL 593.33 TAX 0.00 PAYMENTS 593.33

325 VALLEY STREET PROVIDENCE RHODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com



INVOICE NUMBER: 79127A

Source:

invoice date: 01/05/00 Onder 079127

PAGE: 1

ROYAL ELECTRIC SUPPLY

BOX 12618

PHILADELPHIA: PA.

19129

ROYAL ELECTRIC SUPPLY SHIP

3233 HUNTING PARK AVENUE

PHILADELPHIA, PAL

MARK: P0#38224

19132

SHIP VIA: A

SHIP DATE: 01/05/00

DUE DATE: 02/04/00 TERMS: 30

CUST. ID.: 23703 P.O. NUMBER: 38224 P.O. DATE: 7, 05/19/99

OUR ORDER NO.:

SALESPERSON: DELTA

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT PR	CE	NET	ТХ
949250	8.00	8.00	EACH 23	.5000"	188:00	*E

TO:

ILOO2-R-277VAC COMPLETE BACKORDER PPED WITH INVOICE # 081224

。1991年1月1日 - 1991年1月1日 - 1991年1日 -

ANTEKED JAN 3 1 2000

CONFIDENTIAL-TRIAL COUNSEL ONLY

WE NOW ACCEPT MASTERCARD & VISA. LL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00172

SUBTOTAL: TAX: PAYMENTS: TOTAL:

188.00 0.00 0.00

188.00

PROVIDENCE AHODE ISLAND 02908
TEL: 401-521-6853 FAX: 401-521-6856
Internet http://www.lightworld.com

INVOICE

INVOICE NUMBER: 085897

Source:

INVOICE DATE: 09/05/00 Order 085897

PAGE: 1

ROYAL ELECTRIC SUPPLY

BOX 12618

PHILADELPHIA, PA.

19129

SHP ROYAL ELECTRIC SUPPLY:

-3300 W. CLEARFLELD STREET

PHILADELPHIA; PA:

MARK: PO#49922

19129

cust iD 423703

P.O. NUMBER: 49922

P.O. DATE = 09705700

OUR ORDER NO.:

SALESPERSON: MDELTA

SHIP VIA: B SHIP DATE: 09/05/00 DUE DATE: 10/05/00

∉_теямs:" ЗО-

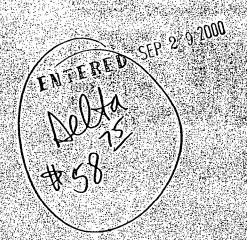
TEMID./DESC. ORDERED SHIPPED UNIT PRICE NET TX
25949250 50.00 EARH 23.5000 1175.00 E

TO:

LILOOZ-R-277VAC

UST SHIP TODAY PER CUSTOMER REQUEST

6.98% E REIGHT



CONFIDENTIAL:
THE SUNSEL
SUNLY

k** WE NOW ACCEPT MASTERCARD & VISA.
CALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00173

SUBTOTAL 1181.296 P:TAX 0.00 PAYMENTS 0.00 E:TOTAL 1181.96

325 VALLEY STREET PROVIDENCE, RHODE ISLAND 02908 TEL-, 401-521-6853: FAX: 401-521-6856 is Internet: http://www.lightworld.com

INVOICE

INVOICE NUMBER 087209

Source:

INVOICE DATE: 12/18/00 Order 087299

PÁGE:

ENROW ENERGY SERVICES; INC 1400 SMITH STREET/SAC-338

HOUSTON, TX. ATTN:/LISA.JACKSON/

77002

ENRON ENERGY SERVICES, INC

12647 ALCOSTA BLVD. SUITE SOO,ATTN:JOE HUI

SAN RAMON, CA.

94583

SHIP VIA

SHIP DATE 12/18/00 DUE DATE: 01/17/01

CUST. ID.: 09940

P.O. NUMBER 0151-0002 P.O. DATE: 12/18/00

OUR ORDER NO.

SALESPERSON:

ITEM I.D./DESC.	ORDERED	SHIPPED	TIMU	PRICE	NET	ŤΧ
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	7-74-1	r Biografi Burton Bu Twinter Com Brown Burton				
		CO	NEIDE! AL CUI ONL	JEL		

* WE NOW ACCEPT MASTERCARD & VISA, LL ACCOUNTS RECEIVABLE FOR DETAILS

MULE-00174

SUBTOTAL TAX. PAYMENTS TOTAL:

325 VALLEY STREET PROVIDENCE, PHODE ISLAND 02908 Internet: http://www.lightworld.com/

INVOICE

INVOICE NUMBER 086954

Source:

INVOICE DATE: 12/05/00 Order 086954

PAGE:

ENRON ENERGY SERVICES INC 1400 SHITH STREET HOUSTON, TX.

ATTNEACCOUNTS PAYABLE 77002

SUNLAND PARK MALLS 750 SUNLAND PARK DRIVE EL PASO, TX.

79912

SHIP VIA:

SHIP DATE: 12/05/00 DUE DATE: 01/04/01

っTERMS: 因の。

cűst id.: -05940

P.O. NUMBER: 0151-0002 P.O. DATE. 11/16/00:00

OUR ORDER NO.:

SALESPERSON: LED

ITEM I D /DESC	ORDERED	SHIPPED	UNIT	PRICE	NET
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HT VIA UPS RED	PER LISA J	ACKSON			328,55
	Carlos Ca				

1967年 1967年 - 新安林

CONFIDENTIAL TRIAL COUNSEL ONLY

WE NOW ACCEPT MASTERCARD & VISA. AGGOUNTS RECEIVABLE FOR DETAILS ***

MULE-00175

SUBTOTAL: TAX PAYMENTS. TOTAL:

28078.55 0.00% 0.00 280781.55

325 VALLEY STREET PROVIDENCE, RHODE ISLAND 02908 TEL-401:521-6853 FAX 401-521-6856 Internet: http://www.lightworld.com/



INVOICE NUMBER 56776A

Sources

INVOICE DATE: 11/29/00 Onder/086776

PAGE: : 1

ENRON ENERGY SERVICES, INC

1400 SMITH, STREET

HOUSTON, TX

ATIN: ACCOUNTS: PAYABLE

77002

SUN INDUSTRIES SHIP

7291 HEILLAVENUE

HUNTINGION BEACH, CA:

92647

SHIP VIA: (首)

SHIP DATE: 11/29/00 DUE DATE: 12/29/00

しTERMS: ○30/

09940 SECUSTIID.

0151-00023 P.O. NUMBER:

:11/03/00 📝 P.O. DATE

OUR ORDER NO.

SALESPERSON ... LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NE	ET T
A9400ENET	1-4000-001	4000.00	EACH	25.0000	1000	500 (00 E
LOOOS-W-FR DMPLETE BACKORD						

ετο._?

CONFIDENTIAL. TRIAL COUNSEL ONLY

* WE NOW ACCERT MASTERGARD & VISA NEL ACCOUNTS RECETYABLE FOR DETAILS ***

MULE-00176

100000 00 SUBTOTAL: 00%0 TAX 0.00 PAYMENTS 100000:00 TOTAL

325 VALLEY STREET PROVIDENCE BLODE ISLAND,02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet: http://www.lightworld.com



INVOICE NUMBER: 086776

Source:

Order 086776 INVOICE DATE: 1,1/16/00

PAGE: 1

ENRON ÉNERGY SERVICES INC

LADOREMITH STREET

HOUSTON, TX.

ATTN: ACCOUNTS PAYABLE

77002

SUN INDUSTRIES:

7291 HEIL AVENUE

HUNTINGTON BEACH, CA

92647

SHIP VIAL A

SHIP DATE: 11/16/00 DUE DATE: 12/16/00

0151-0002 11/03/00 P.O. NUMBER:

P.O. DATE.

OUR ORDER NO.

SALESPERSON: LED

TERMS: 30						
	ORDERED	SHIPPED	UNIT	PRICE	NET	тх
ITEM I.D./DESC		The state of the s			Tritocoro os	
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** WE NOW ACCEPT MASTERCARD & VISA ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00177

SUBTOTAL PAYMENTS TOTAL:

17000.00 0.00 0.00 17000100

325 VALLEY STREET PROVIDENCE, RHODE ISLAND 02908 TEL: 401-521-6853 FAX 401-521-6856 Internet; http://www.lightworld.com



INVOICE NUMBER: 086454

Source:

lnyoice Date/10/23/00% Order 086454

PAGE L

ENRON ENERGY SERVICES, INC. 1400 BMITH STREET

HOUSTON; TX-: ATTN: ACCOUNTS PAYABLE

77002

BUNLAND PARK MALL

750 SUNLAND PARK DRIVE ELEPASO, TX:

79912

SHIP VIA: A

SHIP DATE: 10/23/00 DUE DATE: 11/22/00

TERMS: 30

09940-CUST. ID.

P.O. NUMBER - 0151-0002

PO.DATE: 10/16/00:

OUR ORDER NO.

SALESPERSON: LED

ITEM I D /DESC	ORDERED	SHIPPED	UNIT	PRICE	NET	ΤX
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SHIP

TO.

CONFIDENTIAL TRIAL COUNSEL ONLY

K* WENDOW ACCEPT MASTERCARD & VISA . ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00178

132100.00 SUBTOTAL TAX: PAYMENTS 132100.00 TOTAL:

0:00

				·	
١.		Page 46			Page 48
1	^	product for us.	1		like a movie theatre or maybe a hotel lobby to
2	Ų.	As to this particular name, what is its	2	_	illuminate stairways used by the public.
3		derivation?	3	Q.	The one on the right?
4		A. I don't know its derivation, but I know what	4		A. This depicts our ability to furnish signs
5	^	it's implying.	5		with words other than exit, such as the Spanish
6	Ų.	What is it implying?	6	_	word for exit salida.
7	_	A. Economical.	7	Q.	Next page shows the Mariner Series - DD, Mariner
8	Ų.	How about the radius series, did you ever conduct	8		Series - SD, and the Mariner Series - W. Could
9		any investigation to determine your right to use	9		you describe those products for these?
10		the model or series name radius?	10	_	A. These are battery chargers.
11	_	A. Similar to the Econo-Lite, yes.	11	Q.	Could you tell us where series designation Mariner
12	Ų.	Did you conduct that search?	12		originates?
13	_	A. Probably.	13		A. We have sold — the Mariner Series W is made
14	Ų.	Do you have any documents to reflect that?	14		to a Coast Guard specification for battery
15	_	A. No.	15		chargers, and we've sold these to the Coast Guard
16	Q.	Did you obtain an opinion from counsel concerning	16		for Coast Guard cutters. So, we extended the line
17		your right to use the model designation or series	17		such as the Mariner DD series in an attempt to get
18		designation radius?	18		it into commercial fishing and things like that.
19	_	A. No.	19	Q.	Did you conduct any kind of investigation
20	Ų.	Did you obtain opinions from counsel regarding any	20		concerning your right to use the series
21		of these series or model names?	21		designation Mariner?
22	_	A. No.	22		A. Again, an Internet search to make sure it
23	Q.	Let's turn to the next page and we see the Embassy	23		didn't conflict with somebody in the marine field.
24		Series. Could you tell us what the Embassy Series	24	Q.	Earlier on, sir, did you not testify that you have
		Page 47			Page 40
1		Page 47 is?	1		Page 49 four trademarks, dynal UX, Lightworld, LEDalux and
1 2		is?	1 2		four trademarks, dynaLUX, Lightworld, LEDalux and
			1 2 3		four trademarks, dynaLUX, Lightworld, LEDalux and LEDison?
2	Q.	is? A. These are battery-operated fluorescent	2	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes.
2	Q.	is? A. These are battery-operated fluorescent ballasts.	2 3	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these
2 3 4	Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial	2 3 4	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your
2 3 4 5	Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one	2 3 4 5	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company?
2 3 4 5 6	Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast	2 3 4 5 6	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form.
2 3 4 5 6 7	Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one	2 3 4 5 6 7	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the
2 3 4 5 6 7 8		is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now.	2 3 4 5 6 7 8	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the question.
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2 3 4 5 6 7 8 9		is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now. Do you know what the derivation of the mark or	2 3 4 5 6 7 8 9 10 11	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the question. A. Those are registered trademarks. So my answer would be yes, these we consider these
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2 3 4 5 6 7 8 9 10 11	Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now. Do you know what the derivation of the mark or series designation Embassy? A. No, I don't. You didn't select it, did you, sir?	2 3 4 5 6 7 8 9 10 11 12 13		four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the question. A. Those are registered trademarks. So my answer would be yes, these we consider these trademarks. Unregistered trademarks?
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q. Q. Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now. Do you know what the derivation of the mark or series designation Embassy? A. No, I don't. You didn't select it, did you, sir? A. I just don't recall. Do you have any documents that would reflect any type of investigation concerning any of these various series designations? A. No. Let's turn to the next page which shows a designation custom applications, energy savers, there are two different products shown there.	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q.	four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the question. A. Those are registered trademarks. So my answer would be yes, these we consider these trademarks. Unregistered trademarks? A. Yup. MR. O'BRIEN: Objection. Form. Next page shows accessories. Could you tell us what those products are? A. Those are mounting plates for remote emergency lighting heads. MR. OSTRAGER: If I can just go off the record. (OFF THE RECORD)
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Page 53

Page	5

- and turn to the last page. Could you describe at
- 2 that page and the products shown there?
- 3 A. These are the different LED lamps that we
- 4 offer.
- 5 Q. I see here, "Select your dynaLUX LED bulbs." Is
- 6 dynaLUX a brand name you apply to these bulbs?
- 7 A. We just started to do that.
- 8 Q. How do you -- what did you just start to do?
- 9 A. Use the dynaLUX to indicate the whole family
- 10 of LED bulbs.
- 11 Q. Does the designation dynaLUX appear on the bulbs?
- 12 A. No.
- 13 Q. Does the designation dynaLUX appear on the
- packaging for the bulbs?
- 15 A. Not at this time.
- 16 Q. This page shows different types of bulbs, could
- you describe each one?
- 18 A. The first one is the LEDison lamp, which uses
- 19 a medium base socket.
- 20 Q. What are the application for the LEDison series
- 21 bulb?
- 22 A. One application I'm very familiar with is
- 23 accent lighting in public areas.
- 24 Q. Let's turn to the G45 series, can you describe

- 1 O. Is that commercial and residential?
 - 2 A. Yes.
 - 3 Q. Next one is LED A19 series. Could you describe
 - 4 that bulb?
 - 5 A. Again, an LED bulb, A19 designating the type
 - 6 of base.
 - 7 Q. What type of base is an A19?
 - I believe that's an industry term.
 - 9 Q. Does that bulb have commercial and residential
 - applications?
- 11 A. You know, I don't even know where that's
- 12 used

8

10

18

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18

- 13 Q. Is there anybody else in your company who would be
- familiar with the applications for these various
- 15 bulbs?
- 16 A. Yes.
- 17 Q. Who would that be?
 - A. Robert H. Cross.
- 19 Q. Who is Robert H. Cross?
- 20 A. He is my son.
- 21 Q. And what is his position with your company?
- 22 A. He's in sales.
- 23 Q. Do you have any documents that would describe the
- 24 various applications for these bulbs?

Page 51

- 1 those bulbs?
 - A. G45 is our LED bulbs that have a so-called
- 3 G45 base.

2

- 4 Q. What is a G45 base?
- 5 A. A certain dimension or base style.
- 6 Q. Then you have the LEDelier series. Can you
- 7 describe that bulb?
- 8 A. These are LED bulbs used to replace
- 9 incandescent lamps used in chandeliers.
- 10 Q. Would that be for residential use or commercial or
- 11 both?
- 12 A. Both.
- 13 Q. What about the LEDison and G45, would those be residential as well as commercial?
- 11 residential as well as confinercial
- 15 A. Sure. I'm not aware -- both.
- 16 Q. All right. The next one is the LED-M50 series,
- 17 could you describe that bulb?
- A. An LED bulb that replaces a so-called M50
- 19 lamp.
- 20 Q. What's an M50 lamp?
- 21 A. I believe that is a lamp that's used in down
- 22 lighting fixtures.
- 23 Q. What's down lighting fixtures?
- 24 A. Like a recessed light.

- 1 A. Well, maybe that's where the answer is, in
- 2 the current catalog. We don't have a catalog
- 3 here, do we?
 - MR. O'BRIEN: I think we did produce
 - to you a copy of the catalog.
- 6 Q. It's in your catalog?
- 7 A. Yes.
- 8 Q. The next one is a FlameTip Series. What is that?
- 9 A. An LED bulb with the lens shaped like a flame
- 10 tip.
- 11 Q. What are the applications for that bulb?
- A. Again, decorative lighting of some type,various types.
- 14 O. Commercial and residential?
 - A. Sure. Yes.
- 16 Q. You notice on this document next to the FlameTip
- 17 you put a TM, what does the TM reflect?
 - A. Trademark.
- 19 Q. Do you understand that designation to indicate
- 20 that you claim trademark rights in the designation
- 21 FlameTip?
- 22 A. Yes, we did.
- 23 Q. Do you notice that you don't have a TM next to
- 24 LEDison G45, LEDelier, LED M50 or A19?

	Page 54			Page 56
1	A. An oversight.	1		MR. OSTRAGER: I'd request production
2	Q. It's an oversight, sir?	2		of those documents.
3	A. On the LEDison, LEDelier and FlameTip are all	3		MR. O'BRIEN: It's been produced.
4	trademarks, as far as we're concerned. The others	4	_	2004 is produced.
5	are all industry designations of lamp base.	5	Q.	Do you have a printout which would just summarize
6	Q. I see. The last one is LED-R50 and R63 series.	6		your sales?
. 7	Could you describe those bulbs?	7		THE WITNESS: Each year?
8	A. These are LED bulbs equivalent to an	8		MR. OSTRAGER: Each year.
9	incandescent R50 or R63 bulb.	9		A. Sure.
10	Q. What's an R50 and R63 bulb? What strike that.	10		MR. OSTRAGER: I request that be
11	What are R50 and R63 bulbs?	11		produced.
12	A. These are bulbs that are used again in	12		MR. O'BRIEN: That has been produced.
13	recessed lighting fixtures.	13		MR. OSTRAGER: We'll go over the
14	Q. Would that be residential and commercial?	14		documents you produced and if Mr. Cross can print
15	A. Yes.	15		out a summary of his sales of the LEDison brand or
16	Q. Sir, could you tell us, when did you first start	16		series bulbs from their first sale to the present
17	marketing the LEDison series?	17		in one report from his database, we'd request
18	A. The late 1990s.	18		that.
19	Q. What about the G45 series?	19		MR. O'BRIEN: Again, that has been
20	A. Let's see, with the I believe with the	20		produced. We'll go over it. As we go over it, if
21	publication of our latest catalog in 2002.	21		there is something else you want in addition to
22	Q. Could you tell me when you started marketing each	22		that, we'll try to produce it.
23	of the other series on this page?	23		MR. OSTRAGER: Okay. We'll do that.
24	A. All of them I believe with the publication of	24		Sir, I'm going to mark as Petitioner's Exhibit 7
-				
	Page 55			Page 57
1	our current catalog printed in the year 2002. The	1		some reports, inventory control reports, an
2	LEDelier might predate.	2		inventory control report with a run date of
3	Q. Could you tell us what your what is your	3		December 31, '98, which bears Mule Bates stamp
4	approximate sales volume of LEDison bulbs in 2002	4		number 00152 through Mule Bates stamp number
5	and 2003?	5		00156. I'd ask you to identify the document after
6	 I don't know off the top of my head. 			
7		6		the court reporter marks it.
1	Q. Order of magnitude?	7		the court reporter marks it. (PETITIONER'S EXHIBIT 7
8	Q. Order of magnitude? MR. O'BRIEN: If you know. I don't	7 8		the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION)
9	Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess.	7 8 9	Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me
1	Q. Order of magnitude? MR. O'BRIEN: If you know. I don't	7 8 9 10	Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents?
9 10 11	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. 	7 8 9 10 11	Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in
9 10	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? 	7 8 9 10		the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998.
9 10 11	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. 	7 8 9 10 11		the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in
9 10 11 12	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? 	7 8 9 10 11 12 13 14		the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998.
9 10 11 12 13	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. 	7 8 9 10 11 12 13 14 15		the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs?
9 10 11 12 13 14	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? 	7 8 9 10 11 12 13 14		the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand
9 10 11 12 13 14 15	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? 	7 8 9 10 11 12 13 14 15	Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs?
9 10 11 12 13 14 15 16	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? MR. OSTRAGER: In each year 	7 8 9 10 11 12 13 14 15 16	Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs? A. I've marked out the parts that aren't.
9 10 11 12 13 14 15 16 17	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? MR. OSTRAGER: In each year respectively. 	7 8 9 10 11 12 13 14 15 16	Q. Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs? A. I've marked out the parts that aren't. Why don't you direct my attention to it.
9 10 11 12 13 14 15 16 17	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? MR. OSTRAGER: In each year respectively. A. Less. 	7 8 9 10 11 12 13 14 15 16 17	Q. Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs? A. I've marked out the parts that aren't. Why don't you direct my attention to it. A. To the arrow in the left-hand column.
9 10 11 12 13 14 15 16 17 18 19	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? MR. OSTRAGER: In each year respectively. A. Less. Q. Do you sell less than \$250,000 worth? 	7 8 9 10 11 12 13 14 15 16 17 18	Q. Q. Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs? A. I've marked out the parts that aren't. Why don't you direct my attention to it. A. To the arrow in the left-hand column. You're referring to Page 152?
9 10 11 12 13 14 15 16 17 18 19 20	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? MR. OSTRAGER: In each year respectively. A. Less. Q. Do you sell less than \$250,000 worth? A. I'm not sure. 	7 8 9 10 11 12 13 14 15 16 17 18 19 20	Q. Q. Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs? A. I've marked out the parts that aren't. Why don't you direct my attention to it. A. To the arrow in the left-hand column. You're referring to Page 152? A. Yes.
9 10 11 12 13 14 15 16 17 18 19 20 21	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? MR. OSTRAGER: In each year respectively. A. Less. Q. Do you sell less than \$250,000 worth? A. I'm not sure. Q. Do you have documents that reflect the dollar 	7 8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q. Q. Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs? A. I've marked out the parts that aren't. Why don't you direct my attention to it. A. To the arrow in the left-hand column. You're referring to Page 152? A. Yes. Where in that list is there a reference to LEDison
9 10 11 12 13 14 15 16 17 18 19 20 21 22	 Q. Order of magnitude? MR. O'BRIEN: If you know. I don't want you to guess. Q. Do you sell \$1 million worth of LEDison bulbs? A. No. Q. So it's less than \$1 million? A. Yes. Q. Do you sell less than a half million dollars? THE WITNESS: In 2002 and '3? MR. OSTRAGER: In each year respectively. A. Less. Q. Do you sell less than \$250,000 worth? A. I'm not sure. Q. Do you have documents that reflect the dollar volume of your sales of LEDison bulbs since you 	7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q. Q. Q.	the court reporter marks it. (PETITIONER'S EXHIBIT 7 MARKED FOR IDENTIFICATION) Sir, can you turn through those pages and tell me what it represents? A. These are the sales of the LEDison bulbs in 1998. Where in this document is there an indication that it represents the sale or sales of LEDison brand or series bulbs? A. I've marked out the parts that aren't. Why don't you direct my attention to it. A. To the arrow in the left-hand column. You're referring to Page 152? A. Yes. Where in that list is there a reference to LEDison bulbs?

Page 60 Page 58 production of the catalog that you had -- were A. Inventory number, like a SKU number kind of 1 1 2 using in 1998/99. 2 3 MR. O'BRIEN: I think we've produced Q. Do you have a schedule which says various item that. If you go through that with him, I think numbers with different bulbs or products? 4 4 5 you will find it's produced. If I'm mistaken, we Q. How did you determine that Item Number 949200 6 will produce it. 7 MR. OSTRAGER: Okay. Fine. represents a LEDison series bulb? 7 Q. Do you have any recollection how the first 8 8 By the description. Q. Okay. The description is LTL0001-R-277VAC? 9 transaction came about with respect to LEDison 9 10 series bulbs? A. Yes. 10 Q. What does that represent? 11 A. No. 11 O. Do you have an ongoing business relationship with A. It's right on here. 12 12 Royal R-o-y-a-I, Electric Supply in Philadelphia? MR. O'BRIEN: Explain to the best of 13 13 14 A. I believe so, yes. 14 your knowledge. O. Are they a current customer? 15 15 A. I don't understand the question, I guess. Q. What is the basis for your conclusion that that A. I don't know. 16 16 Q. Let's turn to Page 155, there is an invoice to 17 17 description represents a LEDison bulb? Bethesda Memorial Hospital in Boynton Beach, 18 18 A. It translates back to the catalog page 19 Florida. 19 LEDison bulbs. 20 A. Okay. 20 Q. If I were to look at your catalog, I would find that description associated with LEDison series Q. Does that document reflect the sale of LEDison 21 21 22 series bulbs? 22 bulb? 23 Of a LEDison bulb, yes. 23 A. LEDison bulbs, yes. 24 Q. What's the quantity that you shipped? 24 O. Let's turn to Page 153. Can you identify this Page 61 Page 59 1 A. Two. 1 document? A. This is an invoice to an electrical 2 Q. Is that a typical transaction that you would 2 engage in with respect to this product? wholesaler for 25 LEDison bulbs. 3 3 A. This indicates to me that it was a sample. Q. What is a -- in terms of -- what is a typical 4 4 Q. Would it be fair to say that your sales in '98 5 commercial shipment of bulbs? 5 6 reflected sample distributions? 6 A. There is no typical shipment. 7 MR. O'BRIEN: Objection to form. 7 Q. Would this be a typical shipment? A. I don't think I could say that. Why would 8 8 A. No. 9 somebody buy 25 samples, and then 22? So the 9 Q. Why is it not a typical shipment? A. Because the quantities can go from one to 10 answer is no. 10 Q. The answer is no. When you send out samples, how 11 11 thousands. many would you typically send out? Q. Do you recall how this particular sale came about? 12 12 13 A. One or two. 13 A. No. No, I don't. 14 MR. OSTRAGER: I'm going to mark as Q. Is this your first order placed for LEDison bulbs? 14 Petitioner's Exhibit 8 a group of purchase orders 15 15 A. These I believe are the first sales of directed to Shanghai Baoshan Import/Export and LEDison bulbs. 16 16 they bear Mule Bates stamp number 0241 to Mule Q. Was the LEDison series shown in your catalog in 17 17 Bates 00265 and ask the court reporter to mark 18 18 1998? them as Exhibit 8, and then afterwards ask you to A. 1998? I'm not sure. 19 19 identify these documents if you can. 20 Q. Do you have a copy of your 1998 catalog? 20 (PETITIONER'S EXHIBIT 8 21 21 A. I believe that would be the prior catalog, MARKED FOR IDENTIFICATION) 22 although I'm not 100 percent sure what time period 22 23 A. Basically purchase orders from China. 23 it covered. 24 Q. Is Shanghai the entity that developed the LEDison

MR. OSTRAGER: Well, I'd ask for

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Page 62 Page 64 1 A. Yes. 1 series bulb for you? 2 Q. So does the writing on this document reflect your 2 3 writing or your company's writing? 3 Q. Have they been your sole source supplier of this 4 A. Again, I think what someone was trying to 4 product since its first marketing? 5 denote was the base type they wanted. 5 A. Yes. Q. Do you continue to work with Shanghai? 6 Q. Is Edison red a base type? 7 A. Well, the word Edison base is sometimes used 7 A. Yeah, it has a different name now. 8 in the --8 Q. Do you know what the present name is? 9 A. I believe Shanghai Baoshan Import/Export 9 Q. Where do you see the word "base" on this document? A. I don't. I'm just trying to interpret what 10 10 Development Company Limited. 11 we're looking at here for you. 11 Q. Do you work with other suppliers in China? Q. I'd like you to comment on the actual words that 12 12 A. Yes. 13 are on the document. 13 Q. Is Shanghai your principal supplier? 14 A. No. 14 A. It's either, as I say, a request for a certain base type or it's an error. 15 Q. Let's take a look at first page of this Exhibit 8, 15 16 Q. It's an error? 16 241, can you tell us what that document 17 A. But in any case, it's an internal document 17 represents? A. A purchase order in 1999 for LEDison lamps. 18 between us and a vendor. 18 19 Q. I'm looking at this page, it says "Edison red"; do 19 Q. All right. Now under item number we see the 20 20 numbers 949250; do you recognize that item number? you see that? 21 A. That would be a LEDison lamp. 21 A. Yes, I do. 22 Q. Whose handwriting is that? 22 Q. Is it a red bulb? 23 A. Yes. 23 A. I don't know. 24 Q. So would it be fair to say that this is an order 24 Q. Would it be -- in your business practice do people Page 63 Page 65 abbreviate LEDison and use the designation Edison? for red Edison bulbs? 1 1 A. Never. 2 MR. O'BRIEN: Objection to form. 2 3 A. No. 3 Q. Does this show use of Edison, this document? 4 Q. Even if it's an error, that's what it is, is it 4 MR. O'BRIEN: Objection to form. 5 5 Q. Does Bates number 00241 show use of the not, sir? 6 designation Edison? A. No. It's an order for red LEDison bulbs. 7 7 Q. Okay. And mistakenly designated Edison? MR. O'BRIEN: Objection to form. 8 8 A. Absolute mistake. MR. OSTRAGER: You can answer it yes 9 9 Q. Have you ever made that mistake subsequent to this or no, sir. 10 A. No, it doesn't. What it's referring to is 10 time? 11 the crossed-out portion of the purchase order I 11 A. Not to my knowledge. Q. Has anybody ever called up a customer and said I'd 12 can see says "HY" which means, hardwire type, and 12 13 13 like some of those Edison LEDs? they will replace it with -- what they're asking 14 for is an Edison base lamp, which is another word 14 A. No. Q. Never happens? You're certain of that? 15 for a medium base socket. 15 16 Q. Sir, does this document bear the words "Edison 16 A. As certain as one can be. 17 red"? 17 Q. Have you ever received any communications from any 18 customers which refer to your product as Edison 18 A. Yes. 19 Q. What is Edison red? 19 20 THE WITNESS: Do you mean what are 20 A. Never. Now, the stylized presentation of the 21 name always capitalizes LED, okay, for any 21 they asking for? external consumption. So it's always -- I 22 22 Q. Who generated this purchase order, sir? 23 A. Mule. 23 indicated this early on, LED, that's what's 24 Q. Your company? emphasized, Light Emitting Diode. Now, you can

Page 68

Page 69

Page 66 A. 155. 1 1 parse the word if you want to, but it's LED. 2 MR. O'BRIEN: Why don't you wait for 2 Q. I see. They both seem to refer to -- oh, I see 3 another question. I don't think there was a 3 green and red, but they both have the same 4 designation of 949250; is that correct? 4 question pending there. 5 5 Q. On this document 00241, there's a box, Req. date, A. Yes. Q. Okay. Did you receive the greens before the reds, 6 6 is that the request date? 7 7 or what was the order? A. Required date, or requested date of delivery. 8 Q. Okay. And the date on this document is October 8 A. I believe we received all the samples around 9 9 25, '99; is that correct, sir? the same time. 10 Q. So you received samples of amber, white? 10 A. It's cut off, but I believe so, yes. 11 Yes, different colors. 11 Q. Does this document represent your first order for 12 Q. Red and green from Shanghai? 12 Item Number 949250? A. Yes. 13 A. I'm not sure. We did have sales in 1998, but 13 14 O. In '98? 14 we were furnished lots and lots of samples. So, 15 whether this is the first formal purchase order or 15 A. It appears in '98, yes. 16 16 Q. And then we have in Exhibit 8, this purchase not, I just -- I'm not sure. 17 order, from which appears to be October '99? 17 Q. So is it your testimony that you initially 18 received samples which you distributed and then 18 A. Okay. 19 Q. For the red; is that correct? 19 thereafter you placed a formal purchase order? A. Yes. 20 20 21 Q. Do you know whether this is your first purchase 21 Q. Let's turn to the next page. It's a letter from 22 Mule dated March 23, '98 addressed to Shanghai to 22 order, sir? 23 23 Mr. Yu. Do you see that letter? MR. O'BRIEN: Objection. I think 24 24 it's been asked and answered. A. Yes. Page 67 1 MR. OSTRAGER: Sir, you can answer 1 Q. Is that your signature on the page, sir? 2 2 the question. A. Yes, it is. 3 3 A. I'm not sure. Q. Do you have any -- do you have ongoing dealings 4 Q. Would you have any documents that would enable you 4 with Mr. Yu? 5 A. Yes. to identify your first purchase order for Item 5 6 Number 949250? Q. You do. This letter in Paragraph 1 states, "Thank 6 7 A. I think this is the document. you for your rapid response on the LIL samples." Q. Okay. Now, in Exhibit 7 you highlighted several 8 What is LL sample? 9 different item numbers, 949200, 949250 and 949409. 9 These are the LEDison bulbs. 10 Could you describe the difference between these 10 Q. "We'll make good use of them to negotiate this 11 three different items? large order for our mutual benefit." What are you 11 12 A. 949200 is amber colored LEDs; 949250 is red 12 referring to there? 13 LEDs; 949409 are white LEDs. 13 A. Potential order with Enron Corporation. 14 Q. Let's turn back to Exhibit 7 for a moment. Could 14 Tell us about the Enron order. 15 you go through these documents and these are your 15 A. Enron is an energy service company, so they 16 original invoices for the product. Can you tell 16 would be hired by building owners, commercial, 17 us which category of bulb is reflected in these 17 industrial, to come in and make their buildings 18 particular documents? 18 more energy efficient, which lighting is a part. 19 THE WITNESS: Exhibit 8, you mean? 19 Q. So how did your contact with Enron come about? 20 Q. Exhibit 7. Just to advance matters, do all these 20 A. I believe they contacted us directly. 21 documents reflect the red bulbs? 21 Q. And what did they ask you and what did you tell 22 A. The first two are red, the third one is one 22 23 red and one green. 23 A. They were interested in LED lamps for use as

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accent lighting in shopping malls.

Q. What page number is the third one?

Page 70

- Q. Okay. Did you have a product in your line that
- was suitable for their needs at that time? 2
- 3 A. Yes.

5

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- 4 Q. What product was that?
 - A. That was the LEDison lamp.
- 6 Q. That pre-dated this letter?
- 7 A. I'm not sure if it was Enron I'm referring
 - to, but I do know that Enron was the big order
- 9 eventually. So we might have been talking to
- 10 someone else, but I wouldn't have written this
- 11 letter unless there had been some prior discussion
- 12 with some potential customer.
- 13 Q. Were you -- does this letter reflect ongoing
- 14 discussion with Mr. Yu regarding the introduction
- of new LED bulbs to the marketplace in the U.S.? 15
- 16 A. Yes.
- 17 Q. When did you first have discussions with Shanghai
- 18 regarding the bulbs which ultimately you sold
- 19 under the series designation LEDison?
- 20 A. Well, first discussion is when I met with him
- 21 in Shanghai; the year and the month I don't
- 22
- 23 Q. Do you have a record of when that meeting took
- 24 place?

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- Page 72 Q. So, you don't have a set policy, sometimes you
 - 2 bill and sometimes you don't?
 - 3 A. Right.
 - 4 Q. Did you ever finalize an order with Enron?
 - A. Yes.

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- Q. And approximately when did that happen?
 - It's in the documents.
- 8 Q. Do you recall without looking at the documents?
- 9 A. Not without looking at them, no.
- 10 Q. When you made the order -- when did you first
- 11 start using the series designation LEDison?
- 12 A. We covered that earlier.
- 13 Q. I'm asking you, based on your recollection sitting
- 14 here right now?
 - A. I don't recall.
- 16 Q. Let's turn to page 00243 of Exhibit 8. Can you
- 17 tell us what this document is?
 - A. This is a wire transfer from Mule to Shanghai
- 19 Baoshan Import/Export Trade Corporation.
- 20 Q. What does it represent payment for?
- 21 A. Payment for Purchase Order Number 5472.
- 22 Q. Is that Page 1 of this document or Bates stamp
 - number 241?
- 24 A. No.

Page 71

- A. I believe so, sure.
 - MR. OSTRAGER: I'd ask for production
- 3 of those documents.
- 4 Q. Tell me how they chronologically, how you then 5 proceeded from the original meeting, to the
- 6 marketing of the LEDison series in the United
- 7 States?
- 8 A. Well, they showed me the product, and I felt
- 9 we could market that product in the U.S. and asked
- 10 them to send me a bunch of different sample types, 11 and we tried to distribute them as samples.
- 12 basically, to various potential customers and see
- 13 what happens.
- 14 Q. Were the samples distributed in 1998?
- 15 A. Must have been.
- 16 Q. When your company distributes samples, is it your
- 17 practice to bill your customers for the samples?
- 18 A. That would depend.
- 19 Q. It would depend on what, sir?
 - A. It would depend on the type of company it
- 21 was, in other words, if it was an electrical
- 22 wholesaler, distributor or energy service company,
- 23 probably not. If it was someone like a hospital,
- 24 we probably would.

Page 73 Q. It's not. Let's turn to Page 244. Can you tell

- us what this document is?
- 3 A. Purchase order to Shanghai Baoshan
- 4 Import/Export Company for LEDison bulbs.
- 5 Q. Do you know what the date of this document is?
- 6 A. February 14, the year 2000.
- 7 Q. Turn to page Bates stamp 246. You see a reference
- 8 to products bearing the description LIL0005
- 9 LIL0002, LIL0004. What do those designations
 - refer to?
- 11 A. Those refer to LEDison bulbs.
- 12 Q. LIL refers to a LEDison bulb?
- 13
- 14 Q. I would find that designation in your catalog?
 - A. Yes.
- 16 Q. Let's turn to Page 00253 of this document. Now
- 17 you see a LEDison red weatherproofed; do you see
- that? 18
- 19 A. Yes.
- 20 Q. Is that the same product as shown on the first
- 21 page of this exhibit at Page 241 or a different
- 22
- 23 A. The housing is the same.
- 24 Q. Is it a different product or is it the same

19 (Pages 70 to 73)

		Page 74			Page 76
1	р	roduct?	1		indicated that you that this October 25, '99
2	A	. Well, one difference is that it's	2		was your first purchase order from Shanghai, and
3	W	veatherproofed.	3		that prior to that you received samples; is that
4	Q. I	Do you have a list of all of your item numbers	4		correct?
5	-	hat bear within the LEDison series?	5		A. Yes.
6		MR. O'BRIEN: I'm just going to	6		Okay. And you see that this Page 00159 of Exhibit
7	o	bject to the characterization to the LEDison mark	7	-	9, we're looking at an invoice to Royal Electric
8		is a LEDison series. Go ahead, you can answer.	8		Supply and the invoice date is 5-20-99, which
9		A. Yes.	9		predates that purchase order?
10	0.	Would that be shown in your current catalog?	10		A. Right. So it probably was from the samples
11	-	A. What was the repeat the question, please.	11		supplied, sorry.
12		Sir, I'm just trying to identify all of the	12		That would be true for the remainder of these
13	_	lifferent item numbers associated with the LEDison	13	-	invoices; is that correct, sir?
14		series bulbs.	14		A. It would appear to me that there was a
15	_	A. Item numbers would not be in the catalog.	15		purchase order prior to this, and I believe it's
16		The description would be.	16		Number 5472 indicated on Page 243.
17		Where would I find a list of all the item numbers?	17	0.	Let me take a look at that. 243. You're
18	-	A. The item numbers would be in the internal	18	٠.	referring to Exhibit Number 8?
19		nventory documents.	19		A. Right. Page 243, handwritten in the bottom,
20		MR. OSTRAGER: Sir, I'm going to mark	20		Shanghai Baoshan PO Number 5472, LEDison bulbs.
21	a	as Exhibit 9 a collection of inventory control	21		So, that number is lower than the 5890 number
22		documents and invoices bearing your company's	22		listed in Exhibit 8, Page 241. So I would stand
23		Bates stamp number 00157 through 00163. I'm going	23		corrected as indicating this was the it just
24		to ask the court reporter to mark it as	24		seems in looking at this, there obviously, unless
_	_				
1		Page 75 Petitioner's Exhibit 9 and then request that you	1		Page 77 they cancelled the order or something, but between
2		take a look at the documents and tell us if you	2		that designation and the dating of these sales to
3		can identify them.	3		Royal Electric would indicate that they weren't
4		(PETITIONER'S EXHIBIT 9 MARKED	4		samples but purchased bulbs that were sold to
5		FOR IDENTIFICATION)	5		them.
6		A. Purchase orders and inventory control report.	6	0	Do you have the purchase order corresponding to
7		Now, looking first at the control report strike	7	Q.	this March 18, '99 wire transfer?
	-		_		A. Well, it did not come up in my first search,
8		that. First, these are documents you maintained in the ordinary course of your hysiness sir?	8		
9		in the ordinary course of your business, sir? A. Yes.	9 10		but I'll try again. MR. OSTRAGER: I request production
10			i		• • •
11	-	Who crossed out some of these numbers?	11	^	of the order that reflects this payment.
12		A. I did.	12	Ų.	Let me ask you a question, referring to this Page
13	-	What did you leave on the page?	13		243 of Exhibit 8, where on that document is there
14		A. Those items which are LEDison bulbs.	14		a description of the product that you're paying
15	-	Let's turn through the invoices. Again, these are	15		for? A Handwritten it save PO Number E472, and then
16		to Royal Electric Supply. Could you tell me	16		A. Handwritten, it says PO Number 5472, and then
17		whether these represent sample sales or not?	17	^	below that it says LEDison bulbs.
18		A. I would consider this a sale.	18	ų.	Whose handwriting is that?
1 (1)	-	Well, let's ask the question differently. Was	19	^	A. That's my handwriting.
19		this inventory bulbs that you received as part of	20	Ų.	Okay. And do you have PO 54172 in your records?
20		the samples from Shanghai?	21		A. 5472, that's what we just talked about. As I
20 21			22		and it didn't come un in must initial accords. As A
20 21 22		A. No.	22		said, it didn't come up in my initial search, but
20 21	Q.		22 23 24	^	said, it didn't come up in my initial search, but I will look again. How many pieces does this represent?

Page 78 Page 80 1 A. Well, again, there is a handwritten notation 1 A. Upwards of ten years. 2 2 Q. Anybody ever send you back one of these LEDison on Page 243 that says around 300 pieces. 3 3 series bulbs and tell you that it's burned out? Q. Whose handwritten notation is that? 4 A. That's my writing. 4 A. A few, yes. 5 Q. Okay. Is that a -- what would be a typical size 5 Q. What type of quality control do you maintain 6 6 regarding your manufacturing? of a commercial order from Shanghai? 7 A. Well, they started off in the hundreds, and 7 A. Well, these are manufactured in China. 8 then went into the thousands. 8 Q. Do you have any oversight concerning the 9 9 Q. Okay. You testified earlier that sometimes you manufacturing? 10 10 A. Well, they're supposed to test each one, each would bill your customers for samples and 11 11 sometimes you don't; is that correct? one is tested. 12 12 A. That's correct. Q. That's not my question, sir. What type of 13 Q. How about Shanghai, do they bill you for samples? 13 involvement does your company have in the quality 14 14 A. Sometimes they did, sometimes they didn't. control, if any? 15 Q. Okay. Do you think that -- does this invoice, 15 A. We do lot sample testing. 16 PO54172 reflect an invoice from Shanghai to you in 16 Q. What type of lot sample testing do you conduct? A. Well, depending on the quantity that came in, 17 fact representing a time in which they billed you 17 18 for samples? 18 we'd select a certain quantity and put them on a 19 A. I would have to see the document. I don't 19 test track we have built and run the lamps for 20 20 some period of days. In addition to that, we have know. 21 Q. Thank you, let's turn back to Exhibit 9. Let's 21 other lamps that have been operating for years on 22 turn to page 00159. What product -- it's an 22 test. 23 23 Q. Of LEDison series bulbs that have been operating invoice for product, what product does this 24 24 represent? for years? Page 79 Page 81 1 1 A. LEDison bulbs. A. Yes. 2 Q. How do you know it represents LEDison bulbs? 2 Q. Ever receive any complaint letters concerning 3 3 LEDison bulbs? A. The description LIL. 4 Q. I would find that -- I know I may have asked you 4 Not to my knowledge. 5 5 this before, where would I find that description, Q. Ever receive any complaint letters concerning any 6 6 which documents? of your products? 7 7 A. In the catalog. A. I'm not sure what you mean by --8 Q. And the item number I'd find in your inventory 8 Q. Do you maintain any files concerning 9 9 control document? correspondence with your customers concerning 10 10 A. Yes. product returns, quality issues, defective 11 11 Q. What type of database do you use for your products? 12 12 A. Well, let's see, if we sold a product and the inventory control document? 13 A. It's a very antiquated DOS-based inventory 13 customer had a problem with it, we'd issue them a 14 control system called M-Y-S-I-S, and I don't 14 return authorization number, they would send back 15 15 the product and we'd replace it or give them believe the company is even in business anymore. 16 Q. All right. Let's turn to -- well, looking at this 16 credit. 17 document 00159, it looks like delivery price is --17 Q. I said do you have a file or a database or

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A. \$992.34.

A. \$23.50.

Q. And the price per bulb?

what's the net price of this order?

Q. What's the lifespan of these bulbs?

THE WITNESS: Per unit?

MR. OSTRAGER: No, total.

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documents that would reflect those transactions of

Q. I wanted to identify any returns the company may

replacements that were sent out, would there be

have received of LEDison bulbs or credits or

any way to identify such transactions?

LEDison series bulbs?

A. No.

Page 84 Page 82 A. It would be very difficult. Q. Under the item description there's the statement 1 1 "Include nine pieces in warranty replacements" and 2 Q. So you have no documents that you can identify in 2 3 that category? 3 some language that's cut off or not discernible 4 "per agreement and discussion." Do you understand 4 A. There may be documents, but as far as 5 5 locating them, it would be unbelievably difficult. what that refers to? 6 6 A. Uh-huh. Q. Where would you maintain such documents? 7 7 Q. What is it? A. It would be in the accounts receivable file. 8 But the problem would be in determining who were 8 A. Well, it appears that the customer had a 9 9 the customers. The customers aren't designated by problem with nine pieces, shipping damage, wrong 10 voltage applied, whatever reason, and we're 10 the kind of product they purchase. Q. Who would be responsible in your company to handle 11 replacing them. 11 12 Q. What was your warranty policy with regard to the 12 such returns? 13 A. Robert H. Cross. 13 LEDison series bulbs? 14 A. I believe it's a one-year replacement. 14 Q. Is that your son? 15 15 Q. Do you have any documents that reflect what the A. Yes. 16 16 warranty policies are with respect to LEDison Q. Where is he based? 17 17 series bulbs? A. In Providence. 18 Q. You maintain your offices in Providence? 18 A. Not specifically. 19 A. Just the one location. 19 Q. Sir, I see that we have -- I frequently see a 20 reference to Royal Electric Supply, is that one of 20 Q. Do you have locations anyplace else? 21 A. No. 21 your principal customers for the LEDison series 22 22 Q. Where do you maintain your warehouses? bulbs? 23 A. In Providence. 23 A. It appears they were in the year 2000. 24 MR. OSTRAGER: Sir, I'm going to mark 24 Q. Could you identify for us your five largest Page 83 Page 85 1 as Petitioner's Exhibit 10, Mule Emergency 1 customers of LEDison series bulbs in the last two 2 2 Lighting Inventory Control, and the invoices years? 3 3 bearing Mule document production numbers 00164 A. I can't, no. 4 through 00181. And after the court reporter marks 4 Q. Would you have any documents that would reflect 5 the document, I'll ask you to take a look at it 5 that? 6 6 A. It would be very difficult to find that. As and tell us if you can identify it. 7 7 (PETITIONER'S EXHIBIT 10 you can tell from these inventory control reports, 8 MARKED FOR IDENTIFICATION) 8 they don't refer to any customer number. 9 9 Q. Could you tell me who your -- could you identify Year 2000 inventory control report, purchase 10 orders issued in the year 2000 for LEDison bulbs. 10 important customers with respect to your LEDison 11 Q. Sir, am I correct in understanding that this 11 series bulb product? 12 12 inventory control reflects all of your sales in THE WITNESS: What time period? 13 2000 of the product? By that I mean the LEDison 13 MR. OSTRAGER: 2003, 2004. series product? 14 14 A. Enron Energy Services. 15 A. Yes. 15 Q. Enron. Anybody else? 16 A. Well, apparently, this Royal Electric. 16 Q. Now if we can refer back to Exhibit 9, does that 17 document reflect all sales in '99? 17 Q. Do you still sell product to Royal Electric? 18 A. Yes. 18 A. I don't know off the top of my head. Q. Okay. Do you still sell product to Enron Energy 19 Q. And does Exhibit 7 reflect all sales in '98? 19 20 20 A. To the best of my knowledge, yes. Services? 21 Q. Okay. Let's focus on Exhibit 10. Turn to page 21 A. No. Mule 00171. Can you identify this document? 22 22 Q. They're now out of business? 23 A. An invoice, January 2000 to Royal Electric 23 A. As I understand it, yes. 24 24 Q. Did they pay you? Supply.

Page 86 Page 88 1 THE WITNESS: Is that a question? O. What pages are those invoices? 2 A. 186 through 189. Graybar Electric. 2 MR. OSTRAGER: Sure. 3 3 A. They paid for most of it. Q. What pages are those invoices? 4 Q. Let's turn to Page 00180. You see Cameron & 4 A. 191 through 194. Enron Energy Services, 195 5 5 Barkley. Are they an important customer of yours through 203. 6 with respect to the LEDison series bulbs? 6 Q. Let's take a look at the invoices to Walter's 7 7 A. Not particularly, no. Wholesale. What type of company is Walter's 8 Wholesale? 8 Q. In the ordinary course of your business, how do 9 these orders come about in general? 9 A. Walter's, electrical distributor. 10 Q. Do you know what type of products they sell? A. Generally, they're faxed to us. 10 11 Q. Do they originate at trade shows or through 11 A. All types of electrical goods. 12 catalog, Internet; what's your basic mode of 12 Q. Do you know who their principal customers are, end 13 receiving orders or marketing the product? 13 use customers? 14 A. Catalog and Internet are the major ones. We 14 A. I would guess it's electrical contractors, 15 15 also have independent reps in some locations who energy service companies. 16 would be calling on like a Cameron & Barkley. 16 MR. O'BRIEN: I don't want you to 17 17 Q. Would your son go out in the field and market guess, only if you know. 18 product? 18 Q. Do you know, sir? 19 A. Not in the field, but telephone. 19 A. I know. Electrical contractors and energy 20 MR. OSTRAGER: I'm going to mark as 20 service companies. Q. Can you look at the invoices to Walter's Wholesale 21 Petitioner's Exhibit 11 a Mule inventory control 21 22 document for the period apparently ending 12-31-01 22 and just tell us approximately what your sales 23 with annexed invoices. They bear Mule Bates stamp 23 were in 2001 of LEDison series bulbs? 24 24 number 00182 through Mule 00205. I'd ask the A. Approximately 15,000. Page 87 Page 89 1 court reporter to mark this document and then, 1 Q. Okay. Let's turn to page 00186, that's Voss sir, we'll request that you take a look at it and 2 2 Lighting in Albuquerque, New Mexico. What type of 3 3 identify it for us. company is Voss Lighting? 4 (PETITIONER'S EXHIBIT 11 MARKED FOR 4 A. I don't know. Q. Do you have any documents that would reflect what 5 **IDENTIFICATION**) 5 6 A. Inventory control reports for the year 2001. 6 type of company they are? 7 7 A. We probably have a purchase order from them Customer invoices for the year 2001. 8 8 Q. Does this document reflect all sales of LEDison that might give a more lengthy name, so they're 9 series bulbs in 2001? 9 either a wholesaler or a contractor. 10 MR. OSTRAGER: I would request 10 A. Yes. 11 Q. Looking at Page 00182, am I correct in 11 production of any purchase orders you may have 12 understanding that the item numbers that are not 12 from Voss Lighting. 13 13 crossed out reflect -- are item numbers which Q. What is your approximate sales of LEDison series 14 reflect LEDison product? 14 bulbs to Voss Lighting in 2001? 15 A. They reflect sales of LEDison bulbs. 15 A. \$5,000. 16 Q. Okay. Could you look through this document and 16 Q. Okay. Let's turn to document 00191. Here are a 17 collection of invoice to Graybar Electric Co. 17 tell us based upon this collection of invoices who 18 18 Lakeland. Can you tell us what type of company your most important customers were in 2001 with 19 respect to LEDison series product? 19 Gravhar is? 20 A. Walter's Wholesale. 20 A. Electrical wholesaler and distributor. 21 Q. What page do you see an invoice to Walter's? 21 Q. They're in St. Louis, Missouri? 22 A. There's a few. 183, 184, 185. 22 A. This particular branch. 23 Q. Anybody else? 23 Q. Okay. Do they have branches elsewhere in the 24 A. Voss Lighting. 24 country?

Page 92 Page 90 manner similar to Exhibit 11 and identify your A. All over the U.S., as far as I understand it. 1 2 Q. What type of business are they in? 2 most important customers in 2002 for the LEDison 3 A. As I said, electrical wholesaling and 3 bulbs? 4 distributing. 4 A. Voss Lighting, Applied Energy Management. 5 Q. Who do they sell to? 5 Those are the two major ones. MR. OSTRAGER: I'm going to mark as 6 A. Electrical contractors, energy service 6 7 companies and others, perhaps, industrial users 7 Petitioner's Exhibit 13 Mule Lighting inventory 8 would be one. 8 control for the year 2003 -- it looks like it's 9 Q. What's the approximate dollar value of sales to 9 the year 2003, and it bears Mule number 00224 10 Graybar in 2001? 10 through 00240, and we'll ask the court reporter to mark it and show it to you, sir, and request that 11 It looks like \$4,000. 11 you identify it for uses. 12 Q. Then you mentioned Enron. I think we have some 12 13 invoices from Enron beginning at Mule document 13 (PETITIONER'S EXHIBIT 13 14 MARKED FOR IDENTIFICATION) 14 00195. Could you tell us what your approximate A. Mule inventory control report for 2003, 15 sales were to Enron in 2001? 15 16 A. About 275,000. 16 invoices to customers for the year 2003. Q. Sir, does this report cover all of 2003 or does it 17 Q. Would it be fair to say Enron was your largest 17 18 customer for LEDison series bulbs in 2001? 18 run only through 11-30-03? I'm referring to the exclusion date at the top of the report. 19 A. For LEDison bulbs, yes. 19 20 MR. OSTRAGER: Okay. I'm going to 20 A. Right, I noticed that. There probably were 21 not any sales in December '03, but I believe I can mark as Petitioner's Exhibit 12 Mule inventory 21 22 22 reverify that. I prepared this, so I'm pretty control for the year 2002 with annexed invoices, 23 and this document bears Mule Bates stamp number 23 sure it's all of '03. There would be no other 24 00206 through 00223. We'll ask the court reporter 24 reason to cut it off. Page 91 Page 93 Q. Do you have any sales in '04 of LEDison bulbs? to mark this document and then we'll request sir 1 1 MR. O'BRIEN: It's actually part of 2 2 that you take a look at it and identify it for us. 3 the documentation today. 3 (PETITIONER'S EXHIBIT 12 MR. OSTRAGER: Great. I appreciate 4 MARKED FOR IDENTIFICATION) 4 5 5 it. A. Inventory control report for the year 2002, 6 (DOCUMENTS PRODUCED TO MR. OSTRAGER) 6 customer invoices for the year 2002. 7 THE WITNESS: I see an error in 7 Q. Would this document reflect all of your sales of 8 this. 8 LEDison series product in 2002? 9 Q. In which report, sir? 9 A. No, it would not. Q. What would be not included in this document? 10 A. Page 224. 10 A. It reflects the sales of LEDison bulbs. 11 Q. You're referring to Exhibit 13? 11 12 A. Yes. 12 Q. As distinct from LEDison product? A. Series. 13 Q. What's the error, sir? 13 14 A. Not the blocked-out numbers, but going to the 14 Q. Do you refer to LEDison in your catalogs as ones that are left, the third item down, 949110, 15 LEDison series? 15 16 and the fourth item number, 949130, are not A. Yes, we do, as a category description, not 16 17 LEDison bulbs. 17 the product. 18 Q. Let's talk about LEDison bulbs, we'll use that for 18 MR. OSTRAGER: Do you want to mark 19 that exhibit. 19 the present. 20 (WITNESS WRITING ON DOCUMENTS) 20 A. Thank you. 21 Q. Does this document reflect all your sales of that 21 MR. OSTRAGER: And put your initials. 22 THE WITNESS: Just line it? 22 particular product? 23 MR. OSTRAGER: Yes. 23 A. Yes, it does. 24 THE WITNESS: Oops, wrong line. 24 Q. Okay. Could you go through this document in a

					2.06
,		Page 94 I'll do it over here.	1		Page 96 click-throughs we're charged for them.
1			2		Is overture
2	^	(OFF THE RECORD)	3	-	A. It's similar to a Google.
3	Q.	Those numbers are which ones again? A. 949110 and 949130.	ა 4		Search engine. So, when people put in certain
4			5	Ų.	words, your advertisements pop up for them to
5		MR. OSTRAGER: Thank you, sir let's	6		review?
6		mark as Petitioner's Exhibit 14 a document that			A. That's the theory, yeah.
7		was produced today, and it's Mule inventory and it	7 8		And then they count the number of clicks and then
8		has an exclusion date of August 31, '04. I'll ask	9	Ų.	they charge you for that?
9		the report reporter to mark that and show it to	10		A. Correct.
10		YOU.		Λ	First, I'll show you the documents which appear to
11		(PETITIONER'S EXHIBIT 14	11 12	Ų.	have some handwritten notations on them with the
12	^	MARKED FOR IDENTIFICATION)	i		
13	Ų.	Can you tell us what this document represents?	13		year 2003 and we'll mark it as Petitioner's Exhibit 15.
14		A. This is the inventory control report for the	14 15		
15	^	year 2004 through August 31st.	16		(PETITIONER'S EXHIBIT 15 MARKED FOR IDENTIFICATION)
16	Ų.	Okay. So would that pick up where Exhibit 13	17		•
17		ends?	18		MR. OSTRAGER: I only have one copy of this document.
18		A. Yes. With the proviso there may have been	19		
19		sales in December, I really don't think there	20		MR. O'BRIEN: Glenn, as we're just producing these today, they're not Bates stamped,
20		Were.	21		some may be confidential, so I will be designating
21		MR. OSTRAGER: We'll ask for	22		them after the deposition.
22		production of those documents if they exist. So,	23		MR. OSTRAGER: I'll tell you what,
23		Mr. O'Brien, did you produce today the invoices	24		I've tried to collect these documents, and I don't
24		corresponding to what was marked as Exhibit 14?	24		The tried to collect triese documents, and I don't
		Page 95			Page 97
1		THE WITNESS: No.	1		know that your document corresponds fully to what
2		MR. OSTRAGER: We'll ask you to	2		I have here. Can we go off the record.
3		produce those documents. Also, I'd request that	3		(OFF THE RECORD)
4		you produce the purchase orders corresponding to	4	Q.	Can you tell us what that document reflects?
5		the invoices reflected in these inventory control	5		A. These are our expenditures with Overture for
6		reports for the period 1998 to the present.	6		Internet advertising, January '03 well, are for
7		THE WITNESS: Most of that has been	7		the year 2003.
8		furnished, not the last year or two.	8	Q.	This would be for your entire company?
9		(OFF THE RECORD)	9		A. Yes.
10		(LUNCH RECESS 12:10 TO 12:50 P.M.)	10	Q.	Not specifically directed to any specific product,
1	_	كالموم ومامون النفو وواريون المستقوم المستقوم	11		your entire product line?
11	Q.	Sir, you understand you're still under oath?	111		·
11 12	Q.	A. Yes, I do.	12		A. Well, they don't apply specifically to
3	Q. Q.	A. Yes, I do.	l.		
12		A. Yes, I do.	12	Q.	A. Well, they don't apply specifically to
12 13		A. Yes, I do. I'd like to go over some documents that your	12 13	Q.	A. Well, they don't apply specifically to LEDison bulbs, is that what you mean?
12 13 14		A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a	12 13 14	Q.	A. Well, they don't apply specifically to LEDison bulbs, is that what you mean? What pops up when there is a click?
12 13 14 15		A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a collection of documents for the year 2003 with the	12 13 14 15	Q.	A. Well, they don't apply specifically toLEDison bulbs, is that what you mean?What pops up when there is a click?A. It could be the name of it could be
12 13 14 15 16		A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a collection of documents for the year 2003 with the notation Overture on them. Could you tell us	12 13 14 15 16	Q.	A. Well, they don't apply specifically to LEDison bulbs, is that what you mean? What pops up when there is a click? A. It could be the name of it could be something as generic as emergency light, LED lamp,
12 13 14 15 16 17		A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a collection of documents for the year 2003 with the notation Overture on them. Could you tell us first what entity or concern is Overture? A. Internet advertising firm.	12 13 14 15 16 17		A. Well, they don't apply specifically to LEDison bulbs, is that what you mean? What pops up when there is a click? A. It could be the name of it could be something as generic as emergency light, LED lamp, Mule Lighting. So it could be products or the
12 13 14 15 16 17 18	Q.	A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a collection of documents for the year 2003 with the notation Overture on them. Could you tell us first what entity or concern is Overture? A. Internet advertising firm.	12 13 14 15 16 17 18		 A. Well, they don't apply specifically to LEDison bulbs, is that what you mean? What pops up when there is a click? A. It could be the name of it could be something as generic as emergency light, LED lamp, Mule Lighting. So it could be products or the name of the company, things like that.
12 13 14 15 16 17 18 19	Q.	A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a collection of documents for the year 2003 with the notation Overture on them. Could you tell us first what entity or concern is Overture? A. Internet advertising firm. Does your company work with Overture? A. Yes.	12 13 14 15 16 17 18 19		A. Well, they don't apply specifically to LEDison bulbs, is that what you mean? What pops up when there is a click? A. It could be the name of it could be something as generic as emergency light, LED lamp, Mule Lighting. So it could be products or the name of the company, things like that. And what information would the viewer observe once
12 13 14 15 16 17 18 19 20	Q.	A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a collection of documents for the year 2003 with the notation Overture on them. Could you tell us first what entity or concern is Overture? A. Internet advertising firm. Does your company work with Overture? A. Yes.	12 13 14 15 16 17 18 19 20		A. Well, they don't apply specifically to LEDison bulbs, is that what you mean? What pops up when there is a click? A. It could be the name of it could be something as generic as emergency light, LED lamp, Mule Lighting. So it could be products or the name of the company, things like that. And what information would the viewer observe once they plugged in one of these words into the Overture browser? A. Depending on the keyword, that's what they
12 13 14 15 16 17 18 19 20 21	Q.	A. Yes, I do. I'd like to go over some documents that your counsel produced for us today. First is a collection of documents for the year 2003 with the notation Overture on them. Could you tell us first what entity or concern is Overture? A. Internet advertising firm. Does your company work with Overture? A. Yes. What type of service or services does Overture	12 13 14 15 16 17 18 19 20 21		A. Well, they don't apply specifically to LEDison bulbs, is that what you mean? What pops up when there is a click? A. It could be the name of it could be something as generic as emergency light, LED lamp, Mule Lighting. So it could be products or the name of the company, things like that. And what information would the viewer observe once they plugged in one of these words into the Overture browser?

Page 100 Page 98 a range of products, which would be linked to our (PETITIONER'S EXHIBIT 17 1 1 2 MARKED FOR IDENTIFICATION) 2 website, so if they click on it they go right to 3 A. These are charges from Overture. 3 the website. 4 O. Does that cover 2004 period? 4 Q. The top right-hand corner of this Exhibit 15, tell 5 A. '03 and '04, so there's some duplication. To 5 us what that notation is in the top-right hand 6 the extent I was able to get the particular 6 corner? 7 statement for a month, I did print it out from the 7 A. T-h-o-s Edison. 8 Overture site but they only allowed me to go back 8 Q. T-h-o-s Edison? 9 to March '04; in other words, the prior months, 9 A. Yes. 10 they don't have. 10 Q. Is that like Thomas Edison? 11 Q. Am I correct in understanding, sir, these Overture 11 A. Exactly. 12 charges reflect advertising for your entire 12 O. Can you tell me whose handwriting that? product line through Overture? 13 13 A. That's mine. 14 A. Yes. 14 Q. Why do you have Thomas Edison there? A. Because that's how I internally refer to this 15 Q. How many products do you have in your line? 15 case or whatever. 16 Several hundred I'd say, sure. 16 17 MR. OSTRAGER: Oh, I see. Let's mark 17 MR. OSTRAGER: Sir, I'm going to show you a document which is an invoice dated 12-17-02, 18 18 as Exhibit 16, Petitioner's Exhibit 16, a document 19 from Accurate Litho, we'll mark that as which you produced today which has headings on it, 19 20 Petitioner's Exhibit 18 and ask you to, after the 20 Overture, Google, TRA and data for what appears to 21 court reporter marks it, to identify it for us. 21 be 2004. I'll ask the court reporter to mark that 22 (PETITIONER'S EXHIBIT 18 22 and then show it to you, sir. 23 MARKED FOR IDENTIFICATION) 23 (PETITIONER' EXHIBIT 16 24 MARKED FOR IDENTIFICATION) 24 A. This is an invoice from the printer who Page 101 Page 99 1 printed our most recent catalog. Q. Can you tell us what that document reflects? 1 2 Was your most recent catalog printed in '02? 2 A. This is a summary of advertising expenditures 3 A. In '02, yes. 3 and catalog printing costs by Mule. 4 Q. For what type period? 4 MR. OSTRAGER: I'm going to show you 5 a document, two-page document, sir, from which 5 A. Depending on the vendor, ranging from 2002 6 appears to be an account report for the period 6 through 2004. Q. When you say catalog printing, what connection 7 January '01 through December '03 from Google, and 7 mark it as Petitioner's Exhibit 19 and provide it 8 8 does Overture have to your catalog printing costs? 9 to the court reporter to mark it and then show it 9 A. Oh, it doesn't. That would be the columns 10 to you for identification. 10 labeled TRA and "printer new and print old." 11 (PETITIONER'S EXHIBIT 19 11 Q. Okay. So Overture and Google are Internet 12 advertising, and TRA is cost of catalog 12 MARKED FOR IDENTIFICATION) 13 O. Tell us what that represents. 13 production? 14 A. These are the expenditures to Google for the 14 A. Correct. 15 MR. OSTRAGER: I have some documents 15 year 2002, 2003, 2004. Q. That's for your entire company and your entire 16 16 which appear to be statements from Overture product line? 17 Services, for what appears to be 2004 period. Mr. 17 18 A. Correct. 18 O'Brien, do you have another set of these? MR. OSTRAGER: I'm going to show you 19 19 MR. O'BRIEN: I do. a document also under the Google letterhead which 20 MR. OSTRAGER: Let's mark these as 20 21 appear to be charges for the period '02 to the 21 Overture statements for 2004 as Petitioner's present, the January '04. We'll mark that as 22 22 Exhibit 17. We'll ask the court reporter to mark Petitioner's Exhibit 20. I'll ask you to identify 23 them and then show it to you for identification. 23 24 24 it after the court reporter marks it.

Page 102 Page 104 Exhibit 21 and we'll provide it to the court (PETITIONER'S EXHIBIT 20 MARKED 1 1 reporter to mark and then you can identify it for 2 FOR IDENTIFICATION) 2 3 A. These are the monthly charges by Google which 3 (PETITIONER'S EXHIBIT 21 4 would make up part of the summary page on Exhibit 4 5 MARKED FOR IDENTIFICATION) 5 Q. Again, you have a reference to Thomas Edison on 6 A. These are invoices from our public relations 6 7 firm projects they do. 7 that document; is that your handwriting? 8 A. Yes, it is. 8 Q. Does this cover all of your expenditures with 9 Thomas Rankin Associates in 2002? 9 Q. Again, why do you have that reference? 10 A. I think these only cover the ones where the 10 A. Because I felt this whole proceeding was a 11 catalog was involved or LEDison bulbs were joke, so I call it the Thomas Edison file. 11 12 involved. So there might be other invoices for 12 Q. So you think this whole proceeding is a joke? 13 A. Yeah. 13 things unrelated to that. 14 Q. Is it your testimony that the invoices shown in Q. Okay. Why do you think it's a joke, sir? 14 Exhibit 21 relate solely to LEDison bulbs? 15 15 A. I don't get the connection. 16 A. Parts of it. See, it's broken down by O. Do you think there is any similarity between 16 LEDison and Edison in terms of -different project numbers, so I went through and 17 17 18 A. No, I do not. 18 pulled out the ones that made any reference to LEDison bulbs or the production of the catalog. Q. How many letters do they share? 19 19 MR. OSTRAGER: I'm going to mark as 20 20 A. Well, let's see, six. Q. What is the distinction in terms of alphabetic 21 Petitioner's Exhibit 22 a collection of documents 21 22 under the heading Thomas Rankin Associates which 22 letters between LEDison and Edison? 23 appear to cover the period '03 through '04. We'll 23 A. The capital L, E and D. mark that as 22, ask the court reporter to mark 24 Q. How many different letters are there? 24 Page 105 Page 103 A. There would be one different letter. 1 that and show it to you for identification. 1 (PETITIONER'S EXHIBIT 22 2 Q. One different letter? 2 MARKED FOR IDENTIFICATION) 3 3 A. Yeah. A. These are invoices from '03 and '04 that have Q. Okay. Would you have a problem, sir, if somebody 4 4 5 opened up electrical lighting company and called 5 charges that relate to either LEDison bulbs or 6 maybe the catalog. 6 it LMule? MR. OSTRAGER: Okay. Thank you. MR. O'BRIEN: Objection. Calls for 7 7 8 speculation. Argumentative. 8 Sir, I'm going to mark as Petitioner's Exhibit 23 a collection of documents which is printed from 9 9 Q. I'm asking you whether you would consider that to 10 Cooper Lighting's web page, it consists of four 10 be a problem. 11 pages, we'll mark that 23 and ask the court 11 MR. O'BRIEN: I'm going to object reporter to provide you with a marked copy. again. It calls for speculation and 12 12 13 argumentative. 13 (PETITIONER'S EXHIBIT 23 MARKED FOR IDENTIFICATION) 14 MR. OSTRAGER: You can objection. 14 Q. Sir, I'd like you to look through this document 15 MR. O'BRIEN: Let me finish and state 15 16 16 and tell me if you've ever soon any of these pages my objection. Go ahead, you can answer. A. I can't answer it, I don't know. 17 before? 17 A. I know I've been to the McGraw-Edison site. Q. You don't know whether it would be troublesome to 18 18 19 Whether I was on Cooper's home page or not, I'm 19 you? 20 20 A. Right. not sure. Q. Why don't you turn to the third page of this 21 MR. OSTRAGER: Sir, I'm going to show 21 22 document. Do you see on the left-hand column a 22 you a document under the letterhead of Thomas list of various brand names? 23 Rankin Associates which appear to be invoices for 23 24 24 the year 2002 and we'll mark this as Petitioner's A. Yes, I do.

<u> </u>					
1	0	Page 106 Are you familiar with any of those products?	1		Page 108 shows?
2	Q.	A. Yes, I am.	2		THE WITNESS: What?
3	O.	Why don't you go through them and tell me what you	3		MR. OSTRAGER: McGraw-Edison.
4	ų.	know about each of those products?	4		A. Probably.
5		A. Sure-Lites is a direct competitor of Mule.	5	n	Is McGraw-Edison a brand you're generally familiar
6	Ο.	What is Sure-Lights, what product is	6	ų.	with?
7		A. Emergency lights and exit signs.	7		A. No.
8	Q.	Any others that you're familiar with?	8	Ο.	Do you know anything about the history of the
9	•	A. AtLite, direct competitor, emergency lights	9	-	McGraw-Edison Company?
10		and exit signs. A couple of these other names	10		A. No, I don't.
11		I've seen, but I am not familiar with what the	11	Ο.	Are you aware McGraw-Edison is a successor to
12		exact products are. Like that Metalux, I've seen	12	·	Thomas A. Edison?
13		that name before, but I have no idea what they	13		A. No, I'm not.
14		make.	14	Q.	Are you aware that Thomas A
15	Q.	How about CooperLED?	15	·	A. I am now.
16	-	A. No.	16	Q.	Are you aware Thomas A. Edison marketed light
17	Q.	Never heard of it,	17	•	bulbs?
18		A. Cooper Lighting.	18		MR. O'BRIEN: Objection to form.
19	Q.	Turn to the next page. You see under the heading	19		A. I know he invented it.
20		CooperLED, I'll read it into the record,	20	Q.	Okay. Sir, earlier on, sir, I had shown you
21		"CooperLED led traffic signals are" "CooperLED,	21		Exhibit 2, which was the petition to cancel. Did
22		LED traffic signals are designed to meet rigid	22		you ever read that document, sir?
23		traffic control device standards established by	23		A. I reviewed it, yes.
24		the various jurisdictional entities throughout the	24	Q.	Is there any explanation in that document
		Page 107			Page 109
1		United States and Canada." Are you familiar with	1		concerning McGraw-Edison and its heritage?
2		that product at all?	2		A. Well, I'm noticing it for the first time on
3		A. I know there are LED traffic signals in the	3		Page 5.
4		marketplace.	4		MR. OSTRAGER: Let's move on, sir.
5	Q.	Do you sell LED traffic signals?	5		I'm going to mark as Petitioner's Exhibit 24 a
6		A. No.	6		collection of documents under the heading Cooper
7	Q.	Would you consider that product to be competitive	7		Lighting McGraw-Edison, consisting of 16 pages, it
8		with yours?	8		was printed from the website on September 22nd,
9		A. No.	9		2004. I'll ask the court reporter to mark it and
10	Q.	, 5 5 .,	10		show it to you.
11		McGraw-Edison. Under that heading it reads,	11		(PETITIONER'S EXHIBIT 24
12		"Durable efficient HID fixtures." Does your	12		MARKED FOR IDENTIFICATION)
13		company sell fixtures of that type?	13	Q.	• • •
14		A. Do not manufacture fixtures of that type.	14		looked at the McGraw website, do you recognize any
15	Q.	What type of fixtures do you sell?	15		of the pages in this document.
16		A. LED bulbs, exit fixtures and emergency lights	16		A. I do recognize some of them, yes.
17	_	are the major categories.	17	Q.	Let's read into the record some of the text on
18	Q.		18		Page 1 of this exhibit. "McGraw-Edison offers a
19		various trade shows?	19		range of versatile, high performance and efficient
20		A. I've seen the divisions at trade shows. You	20		HID fixtures for outdoor applications." Were you
21		know, maybe it says Cooper Lighting across the top	21		aware of that prior to today?
22		of the booth. A lot of large companies will have multiple divisions under the same umbrella, but	22	_	THE WITNESS: Am I?
24	0	Have you ever seen McGraw-Edison at any trade	23 24	Ų.	Were you aware of that, that McGraw-Edison marketed such products prior to today?
		riave you ever seen ricoraw-Eurson at any trade			marketed such products prior to today:

Page 112 Page 110 A. Yes. When I received Exhibit 2. (PETITIONER'S EXHIBIT 25 1 1 MARKED FOR IDENTIFICATION) 2 2 Q. Prior to that you never heard of McGraw-Edison? 3 3 Q. Please take a look at this document and tell me A. I had heard of it, but again, was not 4 familiar with what kind of products they offered. 4 whether you've ever seen any of these pages on the 5 5 Q. When you conducted your Internet search when you 6 first derived the LEDison mark, did you see a 6 A. I don't believe so, no. It's all traffic, 7 right? 7 reference to McGraw-Edison? 8 Q. Take a look at it. 8 A. Absolutely not. 9 Q. Now in the right-hand column on Page 1 of this 9 (PAUSE) 10 10 document there's a list of product categories? Q. Does your company have any involvement in the 11 A. Uh-huh. 11 traffic field? ¸A. No. 12 Q. Areas/roadway, decorative, flood lighting, 12 13 13 parking, garage, pathways, poles, wallmount. Do MR. OSTRAGER: Sir, we're going to 14 you sell products in these categories? 14 mark as Petitioner's Exhibit 26, a printout from 15 15 Cooper Lighting's web page for the brand AtLite A. No, we don't. Q. Do your LED's have applications in any of these 16 which consists of 24 pages. After it's marked, 16 17 categories? 17 we'll ask you to take a look at it. 18 (PETITIONER'S EXHIBIT 26 18 A. Well, the decorative, perhaps, but it's too 19 general a term here. It appears the kind of 19 MARKED FOR IDENTIFICATION) 20 20 products that they're manufacturing are outdoor, (PAUSE) 21 high abuse and very high illumination. The LED 21 A. Okay. 22 bulbs are basically accent lighting, whether it's 22 Q. Are you familiar with this website? 23 23 A. No. used as decorative or even on a pathway, say, 24 24 Q. You've never seen it before? okay. Page 113 Page 111 A. I might have seen a couple of these pages on Q. Would electrical suppliers distribute products 1 1 2 shown in Exhibit 24? 2 the emergency lighting units or even the LED exit 3 3 A. Yes, they would. signs. Q. Would your customer sell the products that are 4 Q. Is AtLite a direct competitor of yours? 4 5 5 shown in Exhibit 24 and you can look through this A. Yes, they are. 6 whole exhibit? 6 Q. Are you familiar with the products shown on these 7 7 MR. O'BRIEN: Objection. Calls for various pages? 8 A. Only some of them. None of the first few 8 speculation. I'll let him answer if he knows. 9 Q. Do you understand the question, sir? 9 pages. Q. Why don't you tell me which products you're A. No. 10 10 11 Q. Are the products shown in Exhibit 24 distributed 11 familiar with. 12 A. The H industrial series; the recessed gimbal 12 through electrical suppliers? 13 page, the FP battery pack page, the XLA1 page, 13 A. I believe they are. 14 MR. O'BRIEN: Objection. Again. 14 XPAB page -- two pages, I believe that's it. Q. Are those products competitive with your lines? 15 15 Calls for speculation. A. I don't know if McGraw-Edison does. 16 A. Yes, they are. 16 Q. But in general? 17 Q. Do you encounter AtLite at various trade shows? 17 18 A. Probably, sure. 18 A. In general, yes. Q. Are you aware that AtLite sells into -- is 19 MR. OSTRAGER: Sir, I'm going to mark 19 20 20 approved for sale of its emergency lighting and as Petitioner's Exhibit 25 a printout from Cooper 21 21 Lighting's website under for their product brand signage in New York City? 22 CooperLED and it consists of eight pages and we'll 22 A. I wasn't, until I'm reading this first page 23 mark it as Petitioner's Exhibit 25. 23 here. 24 24 Q. Are your products approved for sale in New York

	····	Page 114			Page 116
1	(City?	1		sometimes.
2		A. Any products we have approved for sale in New	2	Q.	How about the rest of these categories?
3		York City are supplied to us by another	3	-	A. Well, we don't sell to associations, mass
4		manufacturer with that kind of a rating. It's	4		merchandisers, residential home builders, no.
5		very specific codes for New York City.	5		It's possible we've sold to a utility before.
6		Do you sell product into New York City?	6	o.	Sir, does anyone from your company attend trade
7	Æ.	MR. O'BRIEN: Any product?	7		shows around the country?
8		MR, OSTRAGER: Yes.	8		A. That would be me.
9		A. I couldn't put my finger on whether we have	9	O.	Okay. How many trade shows have you attended in
10		or not.	10	•	the last from January '03 to the present?
11		MR. OSTRAGER: We'll mark as	11		A. One.
12		Petitioner's Exhibit 27 a Cooper Lighting web	12	Q.	Which one is that?
13		pages with the heading, "About Cooper" on Page 1	13	Ī	A. That Lightfair in New York City, it's called
14		and consists of seven pages.	14		Lightfair.
15		(PETITIONER'S EXHIBIT 27	15	Q.	When was that?
16		MARKED FOR IDENTIFICATION)	16	-	A. Let's see, it was either 2002 or 2003.
17	Ο.	If you could turn through these pages, sir.	17	Q.	Do you plan to attend any trade shows in 2004?
18		(WITNESS PERUSING DOCUMENTS)	18	•	A. I have no specific plans, not to say that I
19	Q.	Have you have seen these pages?	19		wouldn't.
20	-	A. I don't believe so, no.	20	Q.	Okay. Why don't you look down the list of trade
21		Let's turn to the last three pages of the	21	-	shows listed here and tell me whether or not you
22	-	document, it has the heading "About Cooper," and	22		have any knowledge concerning these various trade
23		then there is the following text, "Cooper Lighting	23		shows, and I'm referring to the list of trade
24		actively supports and participates in trade shows	24		shows on the last three pages of Exhibit 27.
<u></u>					
		Page 115			Page 117
1		that serve industries such as residential home	1		A. The question is am I familiar?
2		builders, electrical contractors, industrial and	2	Q.	What knowledge do you have of these trade shows?
3		plant facility managers, utilities and departments	3		A. I've heard of this Lightfair International,
4		of transportation, local electrical associations	4		but I've never been to it.
5		and retail mass merchandising." Do you see that,	5	Q.	Do these various trade shows let's look at them
6		sir?	6		in order beginning in January '04, we have
7		A. Yes.	7		ACA-winter. Do you know what that refers to?
8	Q.	Would that description also apply to Mule	8		A. No.
9		Lighting?	9	Q.	
10		A. No.	10		A. No.
11	-	In what respects would it differ?	11	Q.	The SPECS?
12		A. We don't participate in trade shows.	12		A. No.
13	_	You don't participate in any trade shows anywhere?	13	Q.	The Clean Rooms-East?
14		A. No.	14		A. No.
15	Q.	Well, do you sell into the market identified in	15	Q.	21st Century Expo & Conference?
16		that text, namely, residential home builders,	16	_	A. No.
17		electrical contractors, industrial and plant	17	Q.	Lightfair International, you're familiar with?
18		facility managers, utilities and departments of	18	_	A. Yes.
19		transportation, local electrical associations and	19	Q.	What type of companies make presentations at
20		retail and mass merchandising?	20		Lightfair?
21	_	A. Definitely electrical contractors.	21	_	A. Lighting companies.
22	Q.	Anything else?	22	Q.	Like your own?
23		A. Maybe industrial and plant facility managers,	23	_	A. Sure.
24		some large very companies or organizations	24	Q.	Then we have the SWEE, South West Electrical Expo.
L					

					Page 120 F
		Page 118	1	C-E-R-T-I-F-I-C-A-T-E	Page 120
1		Any knowledge of that trade show?	2	I, LINDA L. GUGLIELMO, a Notary Public in and for	
2		A. No.	_	the State of Rhode Island, duly commissioned and	
3	Q.	How about the Upper Midwest Electrical Expo?	3	qualified to administer oaths, do hereby certify that the foregoing deposition of ROBERT P. CROSS, a	
4		A. No.	4	Witness in the above-entitled cause, was taken	
5	Q.	Main Street?	5	before me on behalf of the Petitioner at the offices of Allied Court Reporters, 115 Phenix	
6		A. No.	3	Avenue, Cranston, Rhode Island, on September 28,	
7	0.	Retail Construction Expo; no?	6	2004, at 9:30 A.M. that previous to examination of	
8	•	A. No.	7	said witness, who was of lawful age, he was first sworn by me and duly cautioned and sworn to testify	
9	0	Go down the list on the next page.		the truth, the whole truth, and nothing but the	
10	Q.	A. American Lighting Association, I've heard of	8	truth, and that he thereupon testified as in the foregoing manner as set out in the aforesaid	
11		that association, I don't know if they have a	9	transcript.	
12		trade show. NAED stands for National Association	10	I further certify that the foregoing deposition was taken down by me in machine shorthand and was later	
			11	transcribed by computer and that the foregoing	
13		of Electrical Distributors. I've never been to		deposition is a true and accurate record of the	
14		one of those. AIA I'm familiar with because I've	12 13	testimony of said witness. Pursuant to Rule 5 (d) and 30 (f) of the Federal	
15		used architects in the past personally. IES is	13	Rules of Civil Procedure, original transcripts	
16		Illumination Engineering Society, I don't believe	14	shall not be filed in court; therefore, the	
17		they have trade shows but I know that.	15	original is delivered and retained by Petitioner's attorney.	
18	Q.	Do you participate in any of their activities?	16	Signature of the witness has been waived by all	
19	•	A. No. Graybar who we've sold that's a	17	parties.	
20		national chain, so I don't know what that means.	18	IN WITNESS WHEREOF, I have hereunto set my hand	ļ.
21		Of course I know who they are. Lightshow West,	19	thisDAY OF October 2004.	ľ
22		maybe, I've heard of that. I don't know what it	20 21		
23		is. NECA I believe is National Electrical	22	LINDA L. GUGLIELMO, NOTARY PUBLIC/RPR-RMR	
		Contractors Association. Again, I don't know if	23 24	(MY COMMISSION EXPIRES AUGUST 13, 2005)	
24		Contractors Association. Again, I don't know it	[27		
1 2 3 4		Page 119 they have a trade show. I've never attended any of their things. And Retail Construction, I don't know them. MR. OSTRAGER: Sir, I thank you. I			
5		have no further questions. Maybe your attorney			
6		may have some cross?			,
7		MR. O'BRIEN: I don't have any			
8		questions.			
9		THE REPORTER: Do you want the			
10		original and a copy?			
11		MR. OSTRAGER: The original and a			
12		mini.	1		
13		MR. O'BRIEN: I'll have the mini and	1		
14		one full size.	Ì		
15		(DEPOSITION CLOSED AT 1:32 P.M.)			
16					
17					
18				·	
19			1		
20					
1					
21			1		
22					•
23					
24					

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of:

Trademark Registration No.: 2,324,402

Mark: LEDison

Class: 009

McGRAW-EDISON COMPANY,

Petitioner,

Cancellation No. 92042545

v.

MULE LIGHTING, INC.,

Registrant

REGISTRANT'S ANSWERS TO PETITIONER'S FIRST SET OF INTERROGATORIES

Registrant, Mule Lighting, Inc. ("Mule") pursuant to Rule 33 of the Federal Rules of Civil Procedure, hereby submits its answers to Petitioner, McGraw-Edison Company's First Set of Interrogatories.

GENERAL OBJECTIONS

- 1. Mule objects to the Instructions portion of Petitioner's Interrogatories to the extent it imposes a duty or seeks information beyond that which is provided for by the Federal Rules of Civil Procedure.
- 2. The responses provided herein are based upon the best relevant information presently available to Mule and are made without prejudice to the right of Mule to make

additional or modified responses should better or further information or documentation subsequently become available to it. These responses also are made without prejudice to any right of Mule to offer evidence on its behalf or to object to the relevance, competence, or admissibility on any ground of any evidence or witness offered by Petitioner; and these responses do not constitute an admission of competence or admissibility of evidence or a waiver of objection on any ground. Mule's discovery is continuing and Mule reserves the right to supplement these Responses.

- 3. Mule objects to each of Interrogatories 1-16 to the extent that any seek information or documents that are subject to the attorney-client privilege, constitute attorney work product, or are otherwise immune from discovery under the Federal Rules of Civil Procedure.
- 4. Mule objects to each of Interrogatories 1-16 to the extent that Petitioner seeks documents or information that is unreasonably cumulative or duplicative, or is obtainable from some other source that is more convenient, less expensive, or less burdensome, or where the burden or expense to Mule of the proposed discovery outweighs its likely benefit to Petitioner, taking into account the needs of the case, the amount in controversy, the parties' resources, the importance of the issues at stake in the litigation, the importance of the proposed discovery in resolving the issues, and the availability of the information to Petitioner from other sources.
- 5. Mule objects to each of Interrogatories 1 16 to the extent that any of them seeks the production of any information that is irrelevant and not likely to lead to the discovery of admissible evidence.
- 6. Mule specifically incorporates each of these General Objections into each of its specific responses to Interrogatories 1 16, whether or not express reference is made therein.

<u>INTERROGATORY NO. 1</u>: Please identify all present and former officers, directors, executives and managers of MULE.

ANSWER: Robert P. Cross, Melissa Cross, Robert H. Cross, Jeffrey P. Cross

INTERROGATORY NO. 2: Please identify the Person employed by MULE or who on behalf
of MULE is responsible for:

- (a) the selection and adoption of the LEDISON Designation, including the dates on which it was first decided to adopt the designation, and the circumstances and method by which the designation was selected, created and adopted;
- (b) the decision to register the LEDison Designation in the United States Patent and Trademark Office;
- (c) any search reports and/or investigations prepared by or for MULE that concern the LEDISON Designation;
 - (d) the preparation, filing and maintenance of the MULE's Trademark Registration;
 - (e) the manufacture and/or creation of MULE's Products and Services;
- (f) the display, marketing, promotion and/or advertising for MULE's Products andServices;
- (g) the distribution, licensing, sales or similar transactions involving MULE's Products and Services;
- (h) the maintenance of financial records for MULE's Products and Services, including but not limited to, marketing, advertising, surveys, research and development, licensing, sales or other transactions for the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it seeks to discover information protected by the attorney/client privilege and work-product privilege. Subject to and

without waiver of the above objections and the General Objections, Mule responds as follows:

- (a) Robert P. Cross and Robert H. Cross
- (b) Robert P. Cross
- (c) Robert P. Cross
- (d) Robert P. Cross
- (e) Robert P. Cross
- (f) Robert P. Cross and Robert H. Cross
- (g) Robert P. Cross, Robert H. Cross, Sam Livesley and Donna Brouillette
- (h) Donna Brouillette

<u>INTERROGATORY NO. 3</u>: Identify all goods and services on which MULE has used or intends to use the LEDISON Designation.

ANSWER: LEDison Series of LED lamps.

INTERROGATORY NO. 4: Identify all searches, surveys, marketing studies or reports of any survey results relating to the LEDISON Designation which MULE has ever conducted or caused to be conducted or has in its possession.

ANSWER: OBJECTION. Mule objects to this interrogatory as it seeks to discover information protected by the attorney/client privilege and work-product privilege. Mule further objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: Robert P. Cross performed an Internet search to determine whether there were any other uses of the mark LEDison and performed an Internet search to determine if the domain name LEDISON.COM had been registered.

INTERROGATORY NO. 5: Identify the class of consumers to whom MULE markets and/or

sells or intends to market and/or sell MULE's Products and Services.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objection and the General Objections, Registrant answers as follows: Mule primarily sells to energy service companies as well as distributors and electrical wholesalers.

<u>INTERROGATORY NO. 6</u>: Identify the channels of trade through which MULE uses, or intends to use, the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objection and the General Objections, Registrant answers as follows: See Mule's answer to Interrogatory Number 5.

INTERROGATORY NO. 7: Identify all media through which MULE has promoted or marketed its goods and services under the LEDISON Designation, with specificity and frequency, including, but not limited to, specific magazines, newspapers, journals, Internet, radio, and television.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: From 1999 through 2002 Mule used the website LIGHTWORLD.COM and from 2000 to the present Mule uses the website MULELIGHTING.COM. Mule has also published two catalogs and had press releases published through a public relations firm. Mule also had a display table at an LED convention in California in 2000 wherein catalogs containing the mark were made available. Mule has also advertised through various Internet companies such as Google and Overture.

INTERROGATORY NO. 8: Identify all media through which MULE intends to advertise,

promote or market its goods and services under the LEDISON Designation including, but not limited to, magazines, newspapers, journals, Internet, radio, and television.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: The website MULELIGHTING.COM, catalogs, brochures, press releases and advertising over the Internet

INTERROGATORY NO. 9: Identify each Person which has accepted or sold MULE's Products and Services as a retailer, wholesaler and/or distributor or has contracted to accept or sell MULE's Products and Services as retailer, wholesaler and/or distributor.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 10: Identify each Person who has purchased or contracted to purchase MULE's Products and Services.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 11: Identify the costs and expenditures on a yearly basis of all advertising, marketing, promotional and related activities in connection with the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: In April of 2002, Mule hired a public relations firm to, among other

things, promote the LEDison band bulbs, the total expenditure of which currently exceeds \$10,000. Two catalogs and a brochure have been printed that include, but are not limited to, the LEDison brand bulbs, the cost of which is approximately \$5,000. Mule has advertised its products, including but not limited to the LEDison brand bulbs, over the Internet through companies such as Google and Overture. The approximate cost of this Internet advertising in 2003 was \$235,000, the approximate cost of Internet advertising in 2002 was \$200,000, the approximate cost of Internet advertising in 2001 was \$100,000 and the approximate cost of Internet advertising in 2000 was \$75,000. The cost associated with developing the LIGHTWORLD.COM website was approximately \$10,000 and the cost associated with developing the MULELIGHTING.COM website was also approximately \$10,000.

INTERROGATORY NO. 12: Identify the projected costs and expenditures on a yearly basis of all advertising, marketing, promotional and related activities in connection with the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is overly burdensome, vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: Mule will continue to use an outside public relations firm, however the projected cost has not been determined. Mule will continue to advertise over the Internet and expects that such expenditures will exceed the expenditure made in 2003. Mule has hired a full time web developer to further develop the website MULELIGHTING.COM at a cost of approximately \$40,000 per year.

INTERROGATORY NO. 13: Identify, in units and dollars, the sales or projected sales of MULE's Products and Services on a yearly basis for each good and service on which MULE has used or intends to use the LEDISON Designation.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 14: Identify the revenue earned and/or projected revenue to be earned, on a yearly basis, by MULE in connection with the distribution or sale of MULE's Products and Services.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 15: Identify the profits realized and/or projected to be realized by MULE on a yearly basis in connection with the sale of MULE's Products and Services.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 16: Identify each person who assisted in the preparation of the responses to these Interrogatories and state the number of each Interrogatory in connection with which such assistance.

ANSWER: OBJECTION. Mule objects to this interrogatory as it seeks to discover information protected by the attorney/client privilege and work-product privilege. Subject to and without waiver of the above objections and the General Objections, Mule responds as follows:

Robert P. Cross assisted in the preparation of each answer to these interrogatories.

Jima. C. Cond. 3. 63. 11

I hereby declare, under the pains and penalties of perjury, that to the best of my knowledge, information and belief, the foregoing is true and correct.

MULE LIGHTING, INC.,

Date: 1/5/2004

Robert P. Cross

President

AS TO OBJECTIONS:

Charles F. O'Brien, Esq.

CANTOR COLBURN LLP

55 Griffin Road South

Bloomfield, Connecticut 06002

Telephone: (860) 286-2929

Facsimile: (860) 286-0115

CERTIFICATE OF SERVICE

1, 44

I hereby certify that a true and accurate copy of the above Answers to Petitioner's First Set of Interrogatories was served via Express Mail Post Office to Addressee on this 5th day of January, 2004 upon:

Eric A. Lerner

Ostrager, Chong & Flaherty, LL

825 Third Avenue

New York, New York 10022-75

By:

Charles F. O'Brien, Esq.

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Edison

homas Alva Edison was the quintessential American inventor in the era of Yankee ingenuity. He began his career in 1863, in the adolescence of the telegraph industry, when virtually the only source of electricity was primitive batteries putting out a low-voltage current. Before he died, in 1931, he had played a critical role in introducing the modern age of electricity. From his laboratories and workshops emanated the phonograph, the carbon-button transmitter for the telephone speaker and microphone, the incandescent lamp, a revolutionary generator of unprecedented efficiency, the first commercial electric light and power system, an experimental electric railroad, and key elements of motion-picture apparatus, as well as a host of other inventions. Singly or jointly he held a world-record 1,093 patents. In addition, he created the world's first industrial-research laboratory.



Edison demonstrating his tinfoil phonograph, photograph by Mathew Brady, 1878.

Born in Milan, Ohio, on Feb. 11, 1847, Edison was the seventh and last child-the fourth surviving-of Samuel Edison, Jr., and Nancy Elliot Edison. At an early age he developed hearing problems, which have been variously attributed but were most likely due to a familial tendency to mastoiditis. Whatever the cause, Edison's deafness strongly influenced his behaviour and career, providing the motivation for many of his inventions.

Early years. In 1854 Samuel Edison became the lighthouse keeper and carpenter on the Fort Gratiot military post near Port Huron, Mich., where the family lived in a substantial home. Alva, as the inventor was known until his second marriage, entered school there and attended sporadically for five years. He was imaginative and inquisitive, but because much instruction was by rote and he had difficulty hearing, he was bored and was labeled a misfit. To compensate, he became an avid and omnivorous reader. Edison's lack of formal schooling was not unusual. At the time of the Civil War the average American had attended school a total of 434 days-little more than two years' schooling by today's standards.

In 1859 Edison quit school and began working as a trainboy on the railroad between Detroit and Port Huron. Four years earlier, the Michigan Central had initiated the commercial application of the telegraph by using it to control the movement of its trains, and the Civil War brought a vast expansion of transportation and communication. Edison took advantage of the opportunity to learn telegraphy and in 1863 became an apprentice telegrapher.

Messages received on the initial Morse telegraph were inscribed as a series of dots and dashes on a strip of paper that was decoded and read, so Edison's partial deafness was no handicap. Receivers were increasingly being equipped with a sounding key, however, enabling telegraphers to "read" messages by the clicks. The transformation of telegraphy to an auditory art left Edison more and more disadvantaged during his six-year career as an itinerant telegrapher in the Midwest, the South, Canada, and New England. Amply supplied with ingenuity and insight, he devoted much of his energy toward improving the inchoate equipment and inventing devices to facilitate some of the tasks that his physical limitations made difficult. By January 1869 he had made enough progress with a duplex telegraph (a device capable of transmitting two messages simultaneously on one wire) and a printer, which converted electrical signals to letters, that he abandoned telegraphy for full-time invention and entrepreneurship.

Edison moved to New York City, where he initially went into partnership with Frank L. Pope, a noted electrical expert, to produce the Edison Universal Stock Printer and other printing telegraphs. Between 1870 and 1875 he worked out of Newark, N.J., and was involved in a variety of partnerships and complex transactions in the fiercely competitive and convoluted telegraph industry, which was dominated by the Western Union Telegraph Company. As an independent entrepreneur he was available to the highest bidder and played both sides against the middle. During this period he worked on improving an automatic telegraph system for Western Union's rivals. The automatic telegraph, which recorded messages by means of a chemical reaction engendered by the electrical transmissions, proved of limited commercial success, but the work advanced Edison's knowledge of chemistry and laid the basis for his development of the electric pen and mimeograph, both important devices in the early office machine industry, and indirectly led to the discovery of the phonograph. Under the aegis of Western Union he devised the quadruplex, capable of transmitting four messages simultaneously over one wire, but railroad baron and Wall Street financier Jay Gould, Western Union's bitter rival, snatched the quadruplex from the telegraph company's grasp in December 1874 by paying Edison more than \$100,000 in cash, bonds, and stock, one of the larger payments for any invention up to that time. Years of litigation followed.

Menlo Park. Although Edison was a sharp bargainer, he was a poor financial manager, often spending and giving away money more rapidly than he earned it. In 1871 he married 16-year-old Mary Stilwell, who was as improvident in household matters as he was in business, and before the end of 1875 they were in financial difficulties. To reduce his costs and the temptation to spend money, Edison brought his now-widowed father from Port Huron to build a 21/2-story laboratory and machine shop in the rural environs of Menlo Park, N.J.—12 miles south of Newark-where he moved in March 1876. Accompanying him were two key associates, Charles Batchelor and John Kruesi. Batchelor, born in Manchester in 1845, was a master mechanic and draftsman who complemented Edison perfectly and served as his "ears" on such projects as the phonograph and telephone. He was also responsible for fashioning the drawings that Kruesi, a Swiss-born machinist, translated into models.

Edison experienced his finest hours at Menlo Park. While experimenting on an underwater cable for the automatic telegraph, he found that the electrical resistance and conductivity of carbon (then called plumbago) varied according to the pressure it was under. This was a major theoretical discovery, which enabled Edison to devise a "pressure relay" using carbon rather than the usual magEarly inventions

Financial problems nets to vary and balance electric currents. In February 1877 Edison began experiments designed to produce a pressure relay that would amplify and improve the audibility of the telephone, a device that Edison and others had studied but which Alexander Graham Bell was the first to patent, in 1876. By the end of 1877 Edison had developed the carbon-button transmitter that is still used in telephone speakers and microphones.

Edison invented many items, including the carbon transmitter, in response to specific demands for new products or improvements. But he also had the gift of serendipity: when some unexpected phenomenon was observed, he did not hesitate to halt work in progress and turn off course in a new direction. This was how, in 1877, he achieved his most original discovery, the phonograph. Because the telephone was considered a variation of acoustic telegraphy, Edison during the summer of 1877 was attempting to devise for it, as he had for the automatic telegraph. a machine that would transcribe signals as they were received, in this instance in the form of the human voice, so that they could then be delivered as telegraph messages. (The telephone was not yet conceived as a general, person-to-person means of communication.) Some earlier researchers, notably the French inventor Léon Scott, had theorized that each sound, if it could be graphically recorded, would produce a distinct shape resembling shorthand, or phonography ("sound writing"), as it was then known. Edison hoped to reify this concept by employing a stylus-tipped carbon transmitter to make impressions on a strip of paraffined paper. To his astonishment, the scarcely visible indentations generated a vague reproduction of sound when the paper was pulled back beneath the stylus. Edison unveiled the tinfoil phonograph, which replaced the strip of paper with a cylinder wrapped in tinfoil, in December 1877. It was greeted with incredulity. Indeed, a leading French scientist declared it to be the trick device of a clever ventriloquist. The public's amazement was quickly followed by universal acclaim. Edison was projected into worldwide prominence and was dubbed the Wizard of Menlo Park, although a decade passed before the phonograph was transformed from a laboratory curiosity into a commercial product.

Another offshoot of the carbon experiments reached fruition sooner. Samuel Langley, Henry Draper, and other American scientists needed a highly sensitive instrument that could be used to measure minute temperature changes in heat emitted from the Sun's corona during a solar eclipse along the Rocky Mountains on July 29, 1878. To satisfy those needs Edison devised a "microtasimeter" employing a carbon button. This was a time when great advances were being made in electric arc lighting, and during the expedition, which Edison accompanied, the men discussed the practicality of "subdividing" the intense arc lights so that electricity could be used for lighting in the same fashion as with small, individual gas "burners." The basic problem seemed to be to keep the burner, or bulb, from being consumed by preventing it from overheating. Edison thought he would be able to solve this by fashioning a microtasimeter-like device to control the current. He boldly announced that he would invent a safe, mild, and inexpensive electric light that would replace the gaslight.

The incandescent electric light had been the despair of inventors for 50 years, but Edison's past achievements commanded respect for his boastful prophecy. Thus, a syndicate of leading financiers, including J.P. Morgan and the Vanderbilts, established the Edison Electric Light Company and advanced him \$30,000 for research and development. Edison proposed to connect his lights in a parallel circuit by subdividing the current, so that, unlike arc lights, which were connected in a series circuit, the failure of one light bulb would not cause a whole circuit to fail. Some eminent scientists predicted that such a circuit could never be feasible, but their findings were based on systems of lamps with low resistance—the only successful type of electric light at the time. Edison, however, determined that a bulb with high resistance would serve his purpose, and he began searching for a suitable one.

He had the assistance of 26-year-old Francis Upton, a graduate of Princeton University with an M.A. in science.

Upton, who joined the laboratory force in December 1878, provided the mathematical and theoretical expertise that Edison himself lacked. (Edison later revealed, "At the time I experimented on the incandescent lamp I did not understand Ohm's law." On another occasion he said, "I do not depend on figures at all. I try an experiment and reason out the result, somehow, by methods which I could not explain.")

By the summer of 1879 Edison and Upton had made enough progress on a generator—which, by reverse action, could be employed as a motor-that Edison, beset by failed incandescent lamp experiments, considered offering a system of electric distribution for power, not light. By October Edison and his staff had achieved encouraging results with a complex, regulator-controlled vacuum bulb with a platinum filament, but the cost of the platinum would have made the incandescent light impractical. While experimenting with an insulator for the platinum wire, they discovered that, in the greatly improved vacuum they were now obtaining through advances made in the vacuum pump, carbon could be maintained for some time without elaborate regulatory apparatus. Advancing on the work of Joseph Wilson Swan, an English physicist, Edison found that a carbon filament provided a good light with the concomitant high resistance required for subdivision. Steady progress ensued from the first breakthrough in mid-October until the initial demonstration for the backers of the Edison Electric Light Company on December 3.

It was, nevertheless, not until the summer of 1880 that Edison determined that carbonized bamboo fibre made a satisfactory material for the filament, although the world's first operative lighting system had been installed on the steamship Columbia in April. The first commercial landbased "isolated" (single-building) incandescent system was placed in the New York printing firm of Hinds and Ketcham in January 1881. In the fall a temporary, demonstration central power system was installed at the Holborn Viaduct in London, in conjunction with an exhibition at the Crystal Palace. Edison himself supervised the laying of the mains and installation of the world's first permanent, commercial central power system in lower Manhattan, which became operative in September 1882. Although the early systems were plagued by problems and many years passed before incandescent lighting powered by electricity from central stations made significant inroads into gas lighting, isolated lighting plants for such enterprises as hotels, theatres, and stores flourished—as did Edison's reputation as the world's greatest inventor.

One of the accidental discoveries made in the Menlo Park laboratory during the development of the incandescent light anticipated the British physicist J.J. Thomson's discovery of the electron 15 years later. In 1881–82 William J. Hammer, a young engineer in charge of testing the light globes, noted a blue glow around the positive pole in a vacuum bulb and a blackening of the wire and the bulb at the negative pole. This phenomenon was first called "Hammer's phantom shadow," but when Edison patented the bulb in 1883 it became known as the "Edison effect." Scientists later determined that this effect was explained by the thermionic emission of electrons from the hot to the cold electrode, and it became the basis of the electron tube and laid the foundation for the electronics industry.

Edison had moved his operations from Menlo Park to' New York City when work commenced on the Manhattan power system. Increasingly, the Menlo Park property was used only as a summer home. In August 1884 Edison's wife, Mary, suffering from deteriorating health and subject to periods of mental derangement, died there of "congestion of the brain," apparently a tumour or hemorrhage. Her death and the move from Menlo Park roughly mark the halfway point of Edison's life.

The Edison Laboratory. A widower with three young children, Edison, on Feb. 24, 1886, married 20-year-old Mina Miller, the daughter of a prosperous Ohio manufacturer. He purchased a hilltop estate in West Orange, N.J., for his new bride and constructed nearby a grand, new laboratory, which he intended to be the world's first true research facility. There, he produced the commercial phonograph, founded the motion-picture industry, and

The phono-graph

The world's first electric lighting system

The electric light developed the alkaline storage battery. Nevertheless, Edison was past the peak of his productive period. A poor manager and organizer, he worked best in intimate, relatively unstructured surroundings with a handful of close associates and assistants; the West Orange laboratory was too sprawling and diversified for his talents. Furthermore, as a significant portion of the inventor's time was taken up by his new role of industrialist, which came with the commercialization of incandescent lighting and the phonograph, electrical developments were passing into the domain of university-trained mathematicians and scientists. Above all, for more than a decade Edison's energy was focused on a magnetic ore-mining venture that proved the unquestioned disaster of his career.

The first major endeavour at the new laboratory was the commercialization of the phonograph, a venture launched in 1887 after Alexander Graham Bell, his cousin Chichester, and Charles Tainter had developed the graphophonean improved version of Edison's original device-which used waxed cardboard instead of tinfoil. Two years later, Edison announced that he had "perfected" the phonograph, although this was far from true. In fact, it was not until the late 1890s, after Edison had established production and recording facilities adjacent to the laboratory, that all the mechanical problems were overcome and the phonograph became a profitable proposition.

In the meantime, Edison conceived the idea of popularizing the phonograph by linking to it in synchronization a zoetrope, a device that gave the illusion of motion to photographs shot in sequence. He assigned the project to William K.L. Dickson, an employee interested in photography, in 1888. After studying the work of various European photographers who also were trying to record motion, Edison and Dickson succeeded in constructing a working camera and a viewing instrument, which were called, respectively, the Kinetograph and the Kinetoscope. Synchronizing sound and motion proved of such insuperable difficulty, however, that the concept of linking the two was abandoned, and the silent movie was born. Edison constructed at the laboratory the world's first motionpicture stage, nicknamed the "Black Maria," in 1893, and the following year Kinetoscopes, which had peepholes that allowed one person at a time to view the moving pictures, were introduced with great success. Rival inventors soon developed screen-projection systems that hurt the Kinetoscope's business, however, so Edison acquired a projector developed by Thomas Armat and introduced it as "Edison's latest marvel, the Vitascope."

Another derivative of the phonograph was the alkaline storage battery, which Edison began developing as a power source for the phonograph at a time when most homes still lacked electricity. Although it was 20 years before all the difficulties with the battery were solved, by 1909 Edison was a principal supplier of batteries for submarines and electric vehicles and had even formed a company for the manufacture of electric automobiles. In 1912 Henry Ford, one of Edison's greatest admirers, asked him to design a battery for the self-starter, to be introduced on the Model T. Ford's request led to a continuing relationship between these two Americans, and in October 1929 he staged a 50th-anniversary celebration of the incandescent light that turned into a universal apotheosis for Edison.

Most of Edison's successes involved electricity or communication, but throughout the late 1880s and early 1890s the Edison Laboratory's top priority was the magnetic oreseparator. Edison had first worked on the separator when he was searching for platinum for use in the experimental incandescent lamp. The device was supposed to cull platinum from iron-bearing sand. During the 1880s iron ore prices rose to unprecedented heights, so that it appeared that, if the separator could extract the iron from unusable low-grade ores, then abandoned mines might profitably be placed back in production. Edison purchased or acquired rights to 145 old mines in the east and established a large pilot plant at the Ogden mine, near Ogdensburg, N.J. He was never able to surmount the engineering problems or work the bugs out of the system, however, and when ore prices plummeted in the mid-1890s he gave up on the idea. By then he had liquidated all but a small part of his

holdings in the General Electric Company, sometimes at very low prices, and had become more and more separated from the electric lighting field.

Failure could not discourage Edison's passion for invention, however. Although none of his later projects were as successful as his earlier ones, he continued to work even in his 80s. He died in West Orange on Oct. 18, 1931.

Assessment. The thrust of Edison's work may be seen in the clustering of his patents: 389 for electric light and power, 195 for the phonograph, 150 for the telegraph, 141 for storage batteries, and 34 for the telephone. His life and achievements epitomize the ideal of applied research. He always invented for necessity, with the object of devising something new that he could manufacture. The basic principles he discovered were derived from practical experiments, invariably by chance, thus reversing the orthodox concept of pure research leading to applied research.

Edison's role as a machine shop operator and small manufacturer was crucial to his success as an inventor. Unlike other scientists and inventors of the time, who had limited means and lacked a support organization, Edison ran an inventive establishment. He was the antithesis of the lone inventive genius, although his deafness enforced on him an isolation conducive to conception. His lack of managerial ability was, in an odd way, also a stimulant. As his own boss, he plunged ahead on projects more prudent men would have shunned, then tended to dissipate the fruits of his inventiveness, so that he was both free and forced to develop new ideas. Few men have matched him in the positiveness of his thinking. Edison never questioned whether something might be done, only how.

Edison's career, the fulfillment of the American dream of rags-to-riches through hard work and intelligence, made him a folk hero to his countrymen. In temperament he was an uninhibited egotist, at once a tyrant to his employees and their most entertaining companion, so that there was never a dull moment with him. He was charismatic and courted publicity, but he had difficulty socializing and neglected his family. His shafts at the expense of the "longhaired" fraternity of theorists sometimes led formally trained scientists to deprecate him as anti-intellectual; yet he employed as his aides, at various times, a number of eminent mathematical physicists, such as Nikola Tesla and A.E. Kennelly. The contradictory nature of his forceful personality, as well as such eccentricities as his ability to catnap anywhere, contributed to his legendary status. By the time he was in his middle 30s Edison was said to be the best-known American in the world. When he died he was venerated and mourned as the man who, more than any other, had laid the basis for the technological and social revolution of the modern electric world.

BIBLIOGRAPHY. ALFRED O. TATE, Edison's Open Door: The Life Story of Thomas A. Edison, a Great Individualist (1938), which tells the story of the early years of the West Orange laboratory, was written by Edison's secretary of the period. FRANCIS JEHL, Menlo Park Reminiscences, 3rd ed. (1937-41), is a firsthand account of the 1878-80 period at Menlo Park, by an assistant who came to dislike Edison but was later the first curator at Henry Ford's Edison Institute. THOMAS A. EDISON, The Diary and Sundry Observations of Thomas A Edison, ed. by DAGOBERT D. RUNES (1948, reprinted 1976), provides insight into Edison's feelings and thoughts, especially in the period following the death of his first wife. MATTHEW JOSEPHSON, Edison: A Biography (1959), is based on the correspondence and laboratory notebooks in the Edison Laboratory archives, though at the time of its publication the access to the records was severely restricted, which makes the book outdated. ROBERT CONOT, A Streak of Luck (1979, reprinted 1986 as Thomas A. Edison), is the first comprehensive biography based entirely on the original sources from the West Orange and other depository archives. WYN WACHHORST, Thomas Alva Edison: An American Myth (1981), is a revisionist study of Edison's place in the cultural history of the United States, with an extensive bibliography. See also ROBERT FRIEDEL and PAUL ISRAEL, Edison's Electric Light: A Biography of an Invention (1986), a well-researched, illustrated account. Archival papers of Edison and his associates are published in Thomas A. Edison Papers: A Selective Microfilm Edition (1985-); part 1, for the period 1850-78, and part 2, for 1879-86, have been filmed from the West Orange archives. Subsequent parts will include documents from other repositories.

Motion oictures

he magetic oreeparator

(M.Jo./R.E.Co.)









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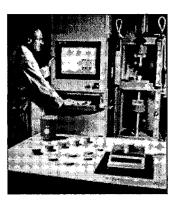
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The Thomas A. Edison Center, in Franksville, Wisconsin, is an internationally recognized laboratory devoted to electric power technology. Technologies include analytical chemistry, electronic controls, materials research, apparatus development, and high power/voltage testing. These technologies support Cooper's electrical transmission and distribution products and services marketed worldwide.

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IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

United States Co. Southern District of ENTERED

McGRAW-EDISON COMPANY and COOPER INDUSTRIES, INC...

200V APR 5

Plaintiffs.

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Michael N. M. Clerk of Court

THOMAS A. EDISON. INCORPORATED.

LEK TECHNOLOGIES, INC., LLOYD E. KRUCKEBERG, and HASTINGS ENTERTAINMENT, INC.,

Defendants.

Civil Action No. H-99-1989

FINAL JUDGMENT AND PERMANENT INJUNCTION

This action was instituted by Cooper Industries. Inc. and McGraw-Edison Company ("Plaintiffs") against Thomas A. Edison, Incorporated (now known as Goedison.com, Inc.), LEK Technologies. Inc., Lloyd E. Kruckeberg, and Hastings Entertainment, Inc. ("Defendants") for false designation of origin, trademark infringement, unfair competition, dilution under federal and Texas law, misappropriation of rights of publicity under New Jersey law, and violation of the Anticybersquatting Consumer Protection Act.

The parties have agreed to settle this action under the terms of a Settlement Agreement dated March 31. 2000 (the "Settlement Agreement") and by the entry of this final judgment and permanent injunction.

Accordingly, IT IS ORDERED THAT:

57 202 20 This Cours less intrivided do bier the parties and the subject matter of this U.S. COURTS

action.

55:2 HR 31 PM 5:22

2. As shown by the assignment attached as Exhibit B to Plaintiffs' Complaint, Thomas A. Edison assigned the commercial rights to his name, signature, and likeness to his company. Thomas A. Edison, Incorporated, Plaintiff McGraw-Edison Company is the successor to the rights of the original Thomas A. Edison, Incorporated in Edison's name, signature, and likeness.

- 3. The name of Thomas A. Edison is famous throughout the United States and elsewhere.
- 4. Plaintiffs' EDISON marks are valid trademarks for a variety of electrical products.
- 5. The Sentlement Agreement and this Judgment and Injunction shall not be construed to imply an admission of liability by any party, such liability being expressly denied.
- 6. The above-named defendants and their officers, agents, servants, and employees, and all persons in active concernor participation with them, are permanently enjoined from:
 - (a) Using the word "Edison," or any confusingly similar word, either alone or in combination with other words or symbols, as a part of any trademark, service mark, trade name, corporate name, assumed name, domain name, meta-tag or its equivalent, or in any other manner in connection with any computer hardware or software, Internet services, electrical products or services, consumer products or services, or business products or services (collectively, the "Prohibited Marks"). The Prohibited Marks shall include, without limitation, any variations of "Thomas A. Edison, Inc.," "thomasaedison.com," "thomasaedison.org," "thomasaedison.net," "taedison.com," "TAE," "goedison.com,"

"gotaedison.com." "GEM." "Triumph." "goedisonmall.com." "edisonelectronics.com." "buyedison.com." "gothomasaedison.com." or "goedisonshop.com."

- (b) Making any commercial use of Thomas Edison's name, signature or likeness.
- Prohibited Marks. The only exception to this subparagraph (c) is that on and before July 15. 2000. defendant Goedison.com. Inc. may continue to use "goedison.com" and "goedisonmail.com" only as domain names to link to its web site and for no other purpose. This exception shall not be construed to allow defendants to use these names for any other purpose or in any other manner, including but not limited to using these names to market its business or products over the internet or otherwise.
- 7. Upon entry of this judgment. Defendants shall cease distribution of any written or electronic materials (including over the Internet or on any web site) representing that they sell or formerly sold "Edison" brand products or services, or that they sell or formerly sold products or services under any of the Prohibited Marks.
- 8. Upon entry of this judgment. Defendants shall take immediate steps to have any of the Prohibited Marks used by them in the past deleted from future editions of any third-party publications, such as trade directories and telephone directories, where they appear.
- 9. Within five days of the entry of this judgment. Defendants shall complete all steps necessary to cause the domain names "thomasaedison.org." "thomasaedison.net." "edisonelectronics.com." "buyedison.com." "gothomasaedison.com." and "goedisonshop.com" (and any other domain name, unless specifically excepted below, owned or controlled by them containing or referring to any of the Prohibited Marks) to be transferred to McGraw-Edison Company. Within

thirty days of the entry of this judgment. Defendants shall complete all steps necessary to cause the domain names "gotaedison.com." "taedison.com." and "thomasaedison.com." to be transferred to McGraw-Edison Company. By July 15, 2000, Defendants shall complete all steps necessary to cause the domain names "goedison.com" and "goedisonmall.com" to be transferred to McGraw-Edison Company. Prior to such assignments, such domain names will not be used, except as expressly provided by this Judgment and Injunction and the Settlement Agreement.

- Within twenty days of the entry of this judgment. Defendants shall destroy all literature, signs, labels, tags, prints, packages, wrappers, containers, advertising materials. It shirts and promotional materials, stationery and similar materials in their possession or control that bear any of the Prohibited Marks, as well as all plates, molds, matrices, masters and other means of producing or applying them.
- By July 15, 2000, Defendants shall complete all necessary steps to cause the corporate name of Goedison.com. Inc., to be changed to a name that does not contain the term "edison," or any other Prohibited Mark.
- 12. Defendants shall have until July 30, 2000, to sell or otherwise dispose of the Inventory identified in the Settlement Agreement, provided that none of the packaging, products. labels, and marketing or advertising materials shall include any reference to a Prohibited Mark.
- Within five days of the entry of this judgment, Goedison.com, Inc., shall file in the U.S. Patent and Trademark Office the necessary papers to expressly abandon U.S. Trademark Application Serial Nos. 75/601.569;75/601.570;75/601.571;75/601.572;75/601.573; 75/659.806; 75/659.807; 75/765.321; 75/765.323; 75/601.324; and 75/694.428, and all other applications or registrations filed in any office (state, federal or foreign) for any trademark or service mark

of the Prohibited Marks, including but not limited to "Idea Book," Goedison.com. Inc. shall file the necessary papers to change the applicant's name to its new name created pursuant to paragraph 16 of this Order.

- 14. Within forty-five days after the entry of this judgment. Defendants shall file with the Court and serve on Plaintiffs' attorney a report in writing under oath setting forth in detail the manner and form in which Defendants have complied with this judgment. On August 1, 2000. Defendants shall file and serve a second written report under oath setting forth in detail the manner and form in which Defendants have complied with this judgment.
- plaintiffs or the famous inventor. Themas A. Edison, or any company with which he was associated. Should the need arise, defendants will take reasonable steps necessary to prevent the possibility of confusion in the marketplace arising in the future, including but not limited to any steps specified in the Settlement Agreement, which is incorporated herein by reference.
 - 16. Each of the parties shall bear its own costs and attorneys' fees.
- 17. The Court shall retain jurisdiction of this matter to enforce the terms of the parties' Settlement Agreement.

Signed at Houston, Texas. on March 4. 2000.

KENNETH M. HOYT

UNITED STATES DISTRICT JUDGE

Approved as to form and substance:

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U. S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

McGraw-Edison Company and Cooper Industries, Inc.

٧.

Jesse Broker dba Hatzlachh Supply, Inc.

Cancellation No. 29,666

Glenn F. Ostrager of Ostrager Chong Flaherty & Onofrio, PC for McGraw-Edison Company and Cooper Industries, Inc.

Jesse Broker dba Hatzlachh Supply, Inc., pro se.

The petition of McGraw-Edison Company and Cooper Industries, Inc. having been granted on May 22, 2000, Registration No. 2,247,296 is hereby cancelled.

Rated Al polar

Robert M. Anderson Deputy Commissioner for Trademark Operations

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Cancellation

Number: 92029666

Filing Date: 11/04/1999

Status: Terminated

Status Date: 06/02/2000

Interlocutory Attorney: KARYN RYAN

Defendant

Name: BROKER, JESSE DBA HATZLACHH SUPPLY, INC.

Correspondence: BROKER, JESSE DBA HATZLACHH SUPPLY, INC.

935 BROADWAY

NEW YORK, NY 10010

Serial #: 75374985 Registration #: 2247296

Application Status: Cancelled - Section 18

Mark: EDISON ELECTRONIC

Plaintiff

Name: MCGRAW-EDISON COMPANY AND COOPPER INDUSTRIES, INC.

Correspondence: GLENN F. OSTRAGER

OSTRAGER CHONG FLAHERTY & ONOFRIO, P.C.

300 PARK AVENUE

NEW YORK, NY 10022-7402

Registration #: 1288874 **Serial #:** 73438434

Application Status: Section 8 and 15 - Accepted and Acknowledged

Mark: EDISON

Prosecution History

#	Date	History Text		Due Date
7	06/02/2000	TERMINATED		
6	06/02/2000	COMMR'S ORDER CANCELLING REGISTRATION		
5	05/22/2000	BOARD'S DECISION: GRANTED		
4	02/07/2000	NOTICE OF DEFAULT		
3	11/18/1999	PENDING, INSTITUTED		
2	11/18/1999	NOTICE SENT; TRIAL DATES RESET; ANSWER DUE		12/28/1999
1	11/04/1999	FILED AND FEE		
Results as of 10/29/2004 10:19 AM Search:				

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Trademark Trial and Appeal Board 2900 Crystal Drive Arlington, Virginia 22202-3513

Ricks

Mailed: February 21, 2004

Opposition No. 91151299

MCGRAW-EDISON COMPANY

v.

BABY EINSTEIN COMPANY, LLC

On November 12, 2003, applicant filed abandonment's of its application Serial Nos. 75/865,898, 75/866,485, 75/865,899, 75/866,358 and 75/865,928 under Trademark Rule 2.68.1

However, the applicable rule is Trademark Rule 2.135, which provides that if, in an inter partes proceeding, the applicant files an abandonment without the written consent of every adverse party to the proceeding, judgment shall be entered against the applicant.

Accordingly, because opposer's written consent to the abandonment's is not of record, judgment is hereby entered against applicant, the opposition is sustained and registration to applicant is refused.

By the Trademark Trial and Appeal Board

Applicant's abandonment's does not indicate proof of service of a copy of same on counsel for opposer as required by Trademark Rule 2.119. In order to expedite this matter, a copy of said abandonment's are hereby forwarded herewith to counsel for opposer.



United States Patent and Trademark Office

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TTABVUE. Trademark Trial and Appeal Board Inquiry System

Opposition

Number: 91151299

Status: Terminated

Filing Date: 03/04/2002

Status Date: 02/21/2004

Interlocutory Attorney: KARYN RYAN

Defendant

Name: BABY EINSTEIN COMPANY, LLC

Correspondence: JOSEPH QUIGLEY

THE WALT DISNEY COMPANY 500 SOUTH BUENA VISTA STREET BURBANK, CA 91521-0633

Serial #: 7586<u>5898</u>

Application Status: Abandoned - After Inter-Partes Decision

Mark: BABY EDISON

Serial #: 75866485

Application Status: Abandoned - After Inter-Partes Decision

Mark: LITTLE EDISON

Serial #: 75865899

Application Status: Abandoned - After Inter-Partes Decision

Mark: LITTLE EDISON

Serial #: 75866358

Application Status: Abandoned - After Inter-Partes Decision

Mark: BABY EDISON

Serial #: 75865928

Application Status: Abandoned - After Inter-Partes Decision

Mark: BABY EDISON

Plaintiff

Name: MCGRAW-EDISON COMPANY

Correspondence: JOSHUA S. BROITMAN

OSTRAGER, CHONG & FLAHERTY 825 THIRD AVENUE, 30th FLOOR NEW YORK, NY 10022-7519

Serial #: 75841438

Application Status: Report Completed Suspension Check - Case Still Suspended

Mark: THOMAS A EDISON

Prosecution History

Date **History Text**

Due Date

17 02/21/2004 TERMINATED

16 02/21/2004 BOARD'S DECISION: SUSTAINED

15 11/12/2003 WITHDRAWAL OF APPLICATION

14 11/12/2003 WITHDRAWAL OF APPLICATION

13 11/12/2003 WITHDRAWAL OF APPLICATION

12 11/12/2003 WITHDRAWAL OF APPLICATION

11 11/12/2003 DEF'S EXPRESS ABANDONMENT

10 11/12/2003 DEF'S COMMUNICATION

- 9 08/11/2003 Procs resumed; trial dates reset
- 8 01/13/2003 DF'S POWER OF ATTORNEY
- 7 12/16/2002 SUSPENDED
- 6 11/05/2002 P'S MOT TO SUSP PEND SETLMT NEGOTIATIONS
- 5 06/21/2002 THE CLOSE OF DISCOVERY/TRIAL DATES REMAI N AS SET IN APRIL 11, 2002 ORDER.
- 4 05/22/2002 ANSWER
- 3 04/11/2002 PENDING, INSTITUTED
- 2 04/11/2002 NOTICE AND TRIAL DATES SENT; ANSWER DUE:

05/21/2002

1 03/04/200	2 FILED	AND	FEE
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Results as of	10/29/2004	10:15	AM
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Search:

UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office
Trademark Trial and Appeal Board
2900 Crystal Drive
Arlington, Virginia 22202-3513

Mailed: October 24, 2003
Opposition No. 91155190
McGraw-Edison Company

v.

B&P Lamp Supply, Inc.

Helen Johnson, Legal Assistant

On July 21, 2003, the Board sent a notice of default to applicant because no answer had been filed.

The record shows no response thereto.

Accordingly, judgment by default is hereby entered against applicant, the **opposition is sustained**, and registration to applicant is refused. See Fed. R. Civ. P. 55, and Trademark Rule 2.106(a).

By the Trademark Trial and Appeal Board



United States Patent and Trademark Office

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TTABVUE, Trademark Trial and Appeal Board Inquiry System

Opposition

Number: 91155190

Filing Date: 01/28/2003

Status: Terminated

Status Date: 10/24/2003

Interlocutory Attorney: CHERYL A BUTLER

Defendant

Name: B&P Lamp Supply, Inc.

Correspondence: B&P Lamp Supply, Inc.

843 OLD MORRISON HWY MC MINNVILLE, TN 37110-4917

Serial #: 78128642

Application Status: Abandoned - After Inter-Partes Decision

Mark: AMERICAN EDISON

Plaintiff

Date

Name: McGraw-Edison Company

Correspondence: Eric A. Lerner

History Text

TERMINATED

Ostrager Chong & Flaherty LLP

825 Third Avenue

New York, NY 10022-7519

Prosecution History

10/24/2003

<u>6</u>	10/24/2003	BOARD'S DECISION: SUSTAINED	
<u>5</u>	07/21/2003	NOTICE OF DEFAULT	
4	04/29/2003	MOT FOR DEFAULT JUDGMENT	
3	02/25/2003	PENDING, INSTITUTED	
<u>2</u>	02/25/2003	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	04/06/2003
<u>1</u>	01/28/2003	FILED AND FEE	
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Back to search results

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Due Date

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A Professional Services Corporation

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**Gregory A. Jacoby
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‡Adam E. Torem
Joseph P. Zehnder
Dave J. Luxenberg

*Also admitted in Florida and Idaho **Also admitted in Massachusetts ‡Also admitted in California and Oregon

Of Counsel
Ray Graves
Lawrence B. McNerthney
William P. Bergsten

Leo A. McGavick (1904-1994)

December 2, 1999

Via Facsimile and U.S. Mail

Glenn F. Ostrager, Esq. Ostrager Chong Flaherty & Onofrio 300 Park Avenue New York, NY 10022-7402

RE: Cooper Industries, Inc. v. The Thomas Edison Patent Company

Dear Mr. Ostrager:

This letter will confirm our conversation this morning. While my client does not agree with Cooper Industries' assessment of this matter and believes that it has not violated any state or federal laws, as we discussed, my client has decided to discontinue its authorization of the marketing U.S. patent copies under the name The Thomas Edison Patent Company. In addition, it is my understanding that steps have already been taken to bring down the website of The Thomas Edison Patent Company. The transition to the new name is expected to be completed by the end of the first quarter 2000.

Thank you for your courtesies in this matter and if you have any further questions or concerns, please do not hesitate to contact me.

Sincerely,

JAMES W. FELTUS

JWF/aka

cc: Client

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07/11/02

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AGREEMENT BINNES

This Agreement is entered into at of 18 day of July, 2002 (the "Effective Date"), by and between McGraw-Edison Company, a Delaware corporation having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("McGraw-Edison"), on the one hand, and Best Buy Imports ("Best Buy"), a Chiloson corporation, having an office at 3840 South Broadway Place, Los Angeles, California 90037 and Ben Makabi, an individual residence of interchangeably herein as a "Party," and collectively as the "Parties" to this Agreement.

WHEREAS, McGraw-Edison owns various intellectual property rights derived from Thomas A. Edison, including rights of publicity for commercial purposes and the trademarks THOMAS A. EDISON and EDISON, including without limitation, U.S. Trademark Registration Nos. 372,127; 409,187; 1,288,874; 1,636,822; 1,644,681; 1,746,302; 2,443,841; 2,452,699; 2,495,399 and 2,596,399 (collectively, the "EDISON Trademarks and Publicity Rights");

WHEREAS, Best Buy and Makabi have been importing to and selling in the United States appliances and related products under the trademark "Edison" and McGraw-Edison has objected to such use on grounds that it constitutes an infringement of the EDISON Trademarks and Publicity Rights; and

WHEREAS, the Parties wish to resolve the dispute between them amicably, without the payment of money to either side.

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NOW, THEREFORE, the Parties agree as follows:

Ban Hakabi

- Best Buy and Makabi hereby acknowledge McGraw-Edison's ownership of the 1. EDISON Trademarks and Publicity Rights.
- Best Buy and Makabi represent and warrant to McGraw-Edison that they have no 2. more than 10,000 units of goods bearing the name or mark "Edison" currently in inventory and in transit to the United States (the "Inventory"), and that no further goods bearing the name or mark "Edison" have been ordered or are in transit to the United States. In reliance on such representation and warranty, McGraw-Edison has agreed to settle this dispute on the terms and conditions set forth herein.
- Makabi and Best Buy, together with its officers, agents, servants, employees and all persons or entities in active concert or participation with them, or any of them, agree that they shall immediately ceuse all further use of the name or mark "Edison" or any name or mark containing "Edison" or any phonetic or grammatical variation thereof in connection with the advertising, promotion, offering for sale or sale of any goods, except as specified in paragraph 4 of this Agreement. In particular, and without limitation, Makabi and Best Buy shall remove from their website no later than July 18, 2002 all references to the name or mark "Edison". In addition, Best Buy and Makabi agree that they shall not seek to register any trade name, trademark or domain name containing the name or mark "Edison", or any phonetic or grammatical variation thereof, with any federal, state or Internet domain-name registration authority.
- Notwithstanding the provisions of paragraph 3 herein, the Parties agree that Best Buy and Makabi shall have six (6) months from the Effective Date of this Agreement within

16 02 04:30p

Ben Makabi

07/11/02 18:25 FAX 212 828 5909

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which to sell-off their Inventory of "Edison" branded products, provided that the term "Edison" is removed and/or permanently obliterated from all product packaging, for example, by affixation of a permanent label over each occurrence of the "Edison" name or mark. Makabi and Best Buy shall provide McGraw-Edison with a representative sample of goods evidencing such AUG 1 2002.

- 5. Best Buy and Makabi acknowledge that nothing contained in this Agreement shall be construed as granting Best Buy or Makabi a license or any other right, either express or implied, under the Edison Trademarks and Publicity Rights.
- 6. In the event that Best Buy or Makabi breach any provision of this Agreement, or in the event that any representation or warranty made by Best Buy and Makabi herein is shown to be folse or inaccurate:
- (a) Such breach shall be deemed to constitute immediate and irreparable harm to McGraw-Edison, and McGraw-Edison shall have the right to seek injunctive relief from a court of competent jurisdiction to enjoin any breach of this Agreement, in addition to any other remedies at law or in equity that McGraw-Edison may have. Best Buy and Makahi expressly waive the defense that a remedy in damages will be adequate and any requirement in an action for injunctive relief for the posting of a bond by McGraw-Edison;
- (b) In addition to any and all rights and remedies McGraw-Edison may have hereunder, McGraw-Edison shall have the right to commence an action against Best Buy and/or Makabi for trademark infringement, trademark dilution, and/or infringement of publicity rights under both state and federal laws;
- (c) Best Buy and Makabi shall be jointly and severally liable to McGraw-Edison for the amount of its reasonable attorneys' fees and costs, in the event that McGraw-

Jul 16 02 04:30p

Ben Makabi

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Edison commences any proceedings or efforts to suforce this Agreement or the Edison Trademarks and Publicity Rights;" and

- (d) Best Buy and Makahi shall be jointly and severally liable for damages in the amount of 25% of the retail price of each good sold in violation of this Agreement in addition to any further damages awarded by a court of competent jurisdiction.
- 7. This Agreement contains the entire understanding of the Parties with respect to the subject matter hereof.
- S. This Agreement may not be modified except in a written instrument signed by all Parties hereto.
- 9. No failure or dalay by any Party in exercising any right, power or privilege hereunder shall operate as a waiver thereof, nor shall any single or partial exercise thereof preclude any other or further exercise thereof or the exercise of any right, power or privilege hereunder.
- 10. The Parties agree that this Agreement can be executed in any number of identical counterparts, each of which will be deemed to be an original and will be as effective and binding as if executed as a whole, and by facsimile. Such facsimile signatures shall be viewed as having the same binding force and effect as original signatures.
- 11. This agreement shall be binding upon and inure to the benefit of the Parties hereto and their respective agents, heirs, successors, assigns, corporate affiliates, licensees and assigns.
- 12. This Agreement is the exclusive statement of the Partics' agreement and supersedes all prior agreements, negotiations, representations and proposale as of its date and relating to its subject matter.

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This Agreement shall be governed by the laws of the State of Texas, without 13. giving effect to the principles of conflicts of law thereof. All disputes arising from or relating to this Agreement or the subject matter of this Agreement shall be brought in a state or federal count situated in Houston, Texas, and the Parties hereby expressly consent to the exclusive jurisdiction of such courts for all purposes related to resolving such disputes.

IN WITNESS WHEREOF, the parties have caused this Agreement to be signed by duly authorized officers or representatives as of the Effective Date above written.

McGRAW-EDISON COMPANY

BEST BUY IMPORTS

Agreement

This Agreement is entered into as of 22 day of August, 2002 (the "Effective Date"), hy and between McGraw-Edison Company, a Delaware corporation having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("McGraw-Edison"), and Edison Lighting Fixture Manufacturing Corporation ("Edison Lighting"), a Florida corporation, having an office at 569 West 17th Street, Hialeah, Florida 33010, each are referred to interchangeably herein as a "Party," and collectively as the "Parties" to this Agreement.

WHEREAS, McGraw-Edison owns various intellectual property rights derived from Thomas A. Edison, including rights of publicity for commercial purposes and the trademarks THOMAS A. EDISON and EDISON, including without limitation, U.S. Trademark Registration Nos. 372,127; 409,187; 1,288,874; 1,636,822; 1,644,681; 2,443,841; 2,452,699 and 2,495,399 (the "EDISON Trademarks");

WHEREAS, Edison Lighting has been manufacturing and selling lighting fixtures and related products under the trademarks "Edison Lighting" and "Light Logo" (shown in Exhibit A hereto) and maintains a website and domain name www.edisonltg.com;

WHEREAS, McGraw-Edison has objected to Edison Lighting's use of "Edison Light" and "Light Logo" Trademarks and domain name "edisonltg.com" on grounds that such use constitutes an infringement of the EDISON Trademarks and publicity rights; and

WHEREAS, the Parties' desire to resolve this matter in an amicable manner.

NOW, THEREPORE, the Parties' agree as follows:

- 1. Edison Lighting hereby acknowledges McGraw-Edison's ownership of the EDISON Trademarks.
- 2. Edison Lighting shall within 60 days of the Effective Date of this Agreement change its corporate name to "Edsun Lighting Fixture Manufacturing Corporation", and cease further use of its "Light Logo" and the name or mark "Edison" or any name or mark containing "Edison" and file with the Florida Secretary of State such forms as are necessary to effectuate such change of its corporate name.
- 3. Edison Lighting shall within 45 days of the Effective Date of this Agreement discontinue use of the domain name www.edisonltg.com and any meta tag or title which includes the designation "edison" and shall assign such domain name to McGraw-Edison by Assignment in the form annexed hereto as Exhibit B.
- 4. Edison Lighting shall within 60 days of the Effective Date of this Agreement remove all signage bearing the "Edison" name from its place of business.

- 5. Edison Lighting shall immediately as of the Effective Date of this Agreement take all reasonable steps to withdraw any advertisements bearing the name "Edison" and to climinate all references to "Edison" in its telephone white and yellow pages, industry listings, and like publications. This provision shall not require Edison Lighting to "recall" from the marketplace publications which are not within its present possession or control, except to the extent of requiring any reprints or new editions of such publications to eliminate references to "Edison".
- 6. Notwithstanding any prohibition in this Agreement to the contrary, Edison Lighting shall for a period of ten months from the Effective Date of this Agreement be permitted to sell-off its current stock of products that bear the "Edison" name, provided that such continued use shall be permitted only to the extent that the "Edison" name is permanently affixed to and cannot be reasonably removed from such products.
- 7. McGraw-Edison will not object to Edison Lighting's use of the trade name "Edsun Lighting Fixture Manufacturing Corporation", a domain name which includes the designation "edsunltg" or of the Light Logo, provided that the Light Logo is modified to change "Edison" to "Edsun". Edison Lighting shall not use the designation "Edsun" alone or in any other way to designate its products separate from the trade name "Edsun Lighting Fixture Manufacturing Corporation" or Light Logo as modified hereunder.
- 8. This Agreement contains the entire understanding of the Parties with respect to the subject matter hereof.
- 9. This Agreement may be amended only if such agreement is in writing and is signed by the Parties hereto.
- 10. No failure or delay by any Party in exercising any right, power or privilege hereunder shall operate as a waiver thereof, nor shall any single or partial exercise thereof preclude any other or further exercise thereof or the exercise of any right, power or privilege hereunder.
- 11. McGraw-Edison hereby releases Edison Lighting and its owners, officers, directors and employees from any and all claims of trademark infringement or unfair competition arising from its use of the name "Edison" for all conduct to the date of this Agreement. Edison Lighting hereby releases and holds McGraw-Edison harmless from any and all claims in this matter
- 12. In the event that Edison Lighting breaches any provision of this Agreement, or in the event that any representation or warranty made by Edison Lighting herein is shown to be false or inaccurate:

- (a) Such breach shall be deemed to constitute immediate and irreparable harm to McGraw-Edison, and McGraw-Edison shall have the right to seek injunctive relief from a court of competent jurisdiction to enjoin any breach of this Agreement, in addition to any other remedies at law or in equity that McGraw-Edison may have. Edison Lighting expressly waives the defense that a remedy in damages will be adequate and any requirement in an action for injunctive relief for the posting of a bond by McGraw-Edison;
- (b) In addition to any and all rights and remedies McGraw-Edison may have hereunder, McGraw-Edison shall have the right to commence an action against Edison Lighting for trademark infringement, trademark dilution, and/or infringement of publicity rights under both state and federal laws;
- (c) Edison Lighting shall be liable to McGraw-Edison for the amount of its reasonable attorneys' fees and costs, in the event that McGraw-Edison prevails in any proceedings or efforts to enforce this Agreement or the EDISON Trademarks or publicity rights; and
- (d) Edison Lighting shall be liable for damages in the amount of 25% of the retail price of each good sold in violation of this Agreement in addition to any further damages awarded by a court of competent jurisdiction.
- 13. Each of the Parties shall bear their own attorneys' fees and costs associated with all matters relating to this Agreement.
- 14. The Parties agree that this Agreement can be executed in any number of identical counterparts, each of which will be deemed to be an original and will be as offective and binding as if executed as a whole, and by facsimile. Such tacsimile signatures shall be viewed as having the same binding force and effect as original signatures.
- 15. This Agreement shall be binding upon and inure to the benefit of the Parties hereto and their respective heirs, successors and assigns.
- 16. This Agreement shall be governed by the laws of the State of Texas, without giving effect to the principles of conflicts of law thereof. All disputes arising from or relating to this Agreement or the subject matter of the Agreement shall be brought in a state or federal court situated in Houston, Texas, and the Parties hereby expressly consent to the exclusive jurisdiction of such courts for all purposes related to resolving such disputes.

IN WITNESS WHEREOF, the parties have caused this Agreement to be signed by duly authorized officers or representatives as of the Effective Date above written.

McGRAW-EDISON COMPANY

EDISON LIGHTING FIXTURE MANUFACTURING CORP.

y: Cornelio Garcia, President

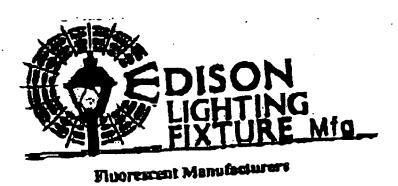


EXHIBIT A

EXHIBIT B

ASSIGNMENT OF DOMAIN NAME REGISTRATION

THIS ASSIGNMENT OF DOMAIN NAME REGISTRATION is made on the 21 day of July 2002 (the "Effective Date"), by and between Edison Lighting Fixture Manufacturing Corporation, a Florida corporation, having a place of business at 569 West 17th Street, Hialcah, Florida 33010 ("Assignor"), and McGraw-Edison Company, a Delaware corporation, having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("Assignee").

WHEREAS, Assignor has registered the domain name "edisonltg.com" (the "Domain Name") with Internet Wcb0.com (hereinaster "Web0"), and is sole owner of the Domain Name, and

WHEREAS Assignor desires to assign and Assignee desires to acquire the Domain Name and the registration thereof.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby assigns to Assignee all right, title and interest in, to and under the Domain Name including the registration thereof.

Assignor further agrees to provide Assignee with any additional information, and to do any and all such things and execute any and all other documents or instruments, and to follow any WebO procedures required to effectuate the assignment of the Domain Name as provided herein.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be executed as of the Effective Date written above.

Date:

By:

Name:

Title:

McGRAW-EDISON COMPANY

EDISON LIGHTING FIXTURE

MANUFACTURING CORPORATION

Date:

Name: A. St. Julian

Pitte · Vice Occoding of

ASSIGNMENT OF DOMAIN NAME REGISTRATION

THIS ASSIGNMENT OF DOMAIN NAME REGISTRATION is made on the day of July 2002 (the "Effective Date"), by and between Edison Lighting Fixture Manufacturing Corporation, a Florida corporation, having a place of business at 569 West 17th Street, Hialeah, Florida 33010 ("Assignor"), and McGraw-Edison Company, a Delaware corporation, having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("Assignee").

WHEREAS, Assignor has registered the domain name "edisonltg.com" (the "Domain Name") with Internet Web0.com (hereinaster "Web0"), and is sole owner of the Domain Name, and

WHEREAS Assignor desires to assign and Assignee desires to acquire the Domain Name and the registration thereof.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby assigns to Assignee all right, title and interest in, to and under the Domain Name including the registration thereof.

Assignor further agrees to provide Assignee with any additional information, and to do any and all such things and execute any and all other documents or instruments, and to follow any Web0 procedures required to effectuate the assignment of the Domain Name as provided herein.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be executed as of the Effective Date written above.

EDISON LIGHTING FIXTURE MANUFACTURING CORPORATION Date: Title: McGRAW-EDISON COMPANY Date: By: Name: Title:



United States Patent and Trademark Office

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TTABVUE. Trademark Trial and Appeal Board Inquiry System

Opposition

Number: 91154206

Filing Date: 11/27/2002

Status: Pending

Status Date: 01/04/2003

Interlocutory Attorney: FRANCES S WOLFSON

Defendant

Name: Fisher Hamilton LLC

Correspondence: MICHAEL D. FISHMAN

RADER, FISHMAN & GRAUER, PLLC

39533 WOODWARD AVENUE, SUITE 140 BLOOMFIELD HILLS, MI 48304

Serial #: 76385555

Application Status: Opposition Pending

Mark: EDISON

Plaintiff

Name: MCGRAW-EDISON COMPANY

Correspondence: ERIC A. LERNER

OSTRAGER CHONG FLAHERTY & BROITMAN P.C.

250 PARK AVENUE

NEW YORK, NY 10177-0899

Prosecution History

Results as of 10/29/2004 10:28 AM

# Date	History Text	Due Date
<u>12</u> 08/13/2004	SUSPENDED	
<u>11</u> 07/26/2004	P'S MOTION FOR SUSPENSION OF PROCEEDINGS W/CONSENT	
<u>10</u> 06/18/2004	ANSWER	
<u>9</u> 06/09/2004	OTHER FILING	
<u>8</u> 06/09/2004	OTHER FILING	
<u>7</u> 05/19/2004	PROCEEDINGS RESUMED	
<u>6</u> 03/27/2003	SUSPENDED	
<u>5</u> 03/13/2003	DEF'S MOTION FOR EXT OF TIME FOR DEF'S TO ANSWER OR OTHERWISE PLEAD	
<u>4</u> 02/13/2003	D'S MOT FOR EXTEN. OF TIME W/ CONSENT	
3 01/04/2003	PENDING, INSTITUTED	
<u>2</u> 01/04/2003	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	02/13/2003
<u>1</u> 11/27/2002	FILED AND FEE	
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UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

CONSOLIDATED EDISON COMPANY OF NEW YORK, INC.,

Plaintiff,

-against-

EDISON INTERNATIONAL,

Defendant.

97 CIV 7311 (LBS)

ANSWER AND **AFFIRMATIVE DEFENSES**

ANSWER

Edison International ("EI") by its attorneys Latham & Watkins and Lyon & Lyon, for its answer to the Complaint ("Complaint") of Consolidated Edison Company of New York, Inc. ("Con Edison") alleges as follows:

- El lacks knowledge or information sufficient to form a belief as to the 1. truth of the allegations set forth in Paragraph 1 of the Complaint.
 - El denies the allegations set forth in Paragraph 2 of the Complaint. 2.
- El lacks knowledge or information sufficient to form a belief as to the 3. truth of the allegations set forth in Paragraph 3 of the Complaint.
- El lacks knowledge or information sufficient to form a belief as to the 4. truth of the allegations set forth in Paragraph 4 of the Complaint.
- El admits that it is a corporation formed and existing under the laws of the State of California and that El maintains its principal place of business in Rosemead, California. El further admits that it adopted the name "Edison International" on January 29, 1996. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 5 of the Complaint.

- 6. In response to the allegations set forth in Paragraph 6 of the Complaint, El affirmatively alleges that El is a holding company and owns, directly or indirectly, all of the common stock of Southern California Edison Company, Edison Mission Energy (formerly Mission Energy Company), Edison Capital (formerly Mission First Financial), Edison Enterprises, Edison EV, Edison Source, and Edison Select. El further alleges that Edison EV and Edison Source were formed in November 1995; Edison Mission Energy and Edison Capital were renamed in January 1996; Edison Select was formed in May 1995 as Edison Spectrum and renamed in October 1996; and Edison Enterprises was formed in July 1997. El further alleges that El has no subsidiary or affiliate named "Edison ESI" but that Edison Services Inc., a subsidiary of Southern California Edison Company, occassionally does business as "ESI" and is sometimes referred to as "Edison ESI." Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 6 of the Complaint.
- 7. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 7 of the Complaint.
- 8. In response to the allegations set forth in Paragraph 8 of the Complaint, EI affirmatively alleges on information and belief that Thomas Edison and others formed the Edison Electric Light Company ("EELC") in 1878. Except as so expressly admitted or alleged, EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 8 of the Complaint.
- 9. In response to the allegations set forth in Paragraph 9 of the Complaint, El affirmatively alleges on information and belief that the Edison Electric Illuminating Company of New York ("EEI") was incorporated in 1880. Except as so expressly admitted or alleged, EI

lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 9 of the Complaint.

- 10. EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 10 of the Complaint.
- In response to the allegations set forth in Paragraph 11 of the Complaint, El affirmatively alleges on information and belief that EELC licensed Thomas Edison's technology, and the concomitant ability to use the "Edison" name in connection with the provision of electric utility services, to a multitude of companies across the nation. Except as so expressly admitted or alleged, El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 11 of the Complaint.
- 12. In response to the allegations set forth in Paragraph 12 of the Complaint, EI affirmatively alleges that electric utility companies were subject to state and federal regulations and, under such regulations, typically operated as regulated monopolies in different geographic areas. Except as so expressly admitted or alleged, EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 12 of the Complaint.
- 13. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 13 of the Complaint.
- 14. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 14 of the Complaint.
- 15. EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 15 of the Complaint.

- 16. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 16 of the Complaint.
 - 17. El denies the allegations set forth in Paragraph 17 of the Complaint.
- 18. EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 18 of the Complaint.
- 19. In response to the allegations set forth in Paragraph 19 of the Complaint, El affirmatively alleges on information and belief that the Federal Energy Regulatory Commission ("FERC") and several states have passed legislation and/or regulations to introduce competition into the electrical utility industry in their jurisdictions. Except as so expressly admitted or alleged, El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 19 of the Complaint.
- 20. In response to the allegations set forth in Paragraph 20 of the Complaint, El affirmatively alleges on information and belief that several states, including New York, have passed legislation and/or regulations to introduce competition into the electrical utility industry in their geographic areas. Except as so expressly admitted or alleged, El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 20 of the Complaint.
- 21. In response to the allegations set forth in Paragraph 21 of the Complaint, EI affirmatively alleges on information and belief that opening competition and increasing consumer choice are among the rationales behind deregulation of the electric industry. Except as so expressly admitted or alleged, EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 21 of the Complaint.

- 22. El denies the allegations set forth in Paragraph 22 of the Complaint.
- 23. In response to the allegations set forth in Paragraph 23 of the Complaint, EI affirmatively alleges that SCEcorp was renamed Edison International on January 29, 1996 and EI is the holding company of Southern California Edison Company, an electric utility. EI further affirmatively alleges that Edison Mission Energy and Edison Capital were renamed in January 1996; Edison EV and Edison Source were formed in November 1995; Edison Select was formed in May 1995 as Edison Spectrum and renamed in October 1996; and Edison Enterprises was formed in July 1997. EI refers to its 1995 Annual Report for the statements contained therein. Except as so expressly admitted or alleged, EI denies the allegations set forth in Paragraph 23 of the Complaint.
 - 24. EI denies the allegations set forth in Paragraph 24 of the Complaint.
 - 25. El denies the allegations set forth in Paragraph 25 of the Complaint.
- 26. In response to the allegations set forth in Paragraph 26 of the Complaint, EI affirmatively alleges that EI placed advertisements in The New York Times and other publications and admits that two such advertisements are attached as Exhibits A and B to the Complaint. Except as so expressly admitted or alleged, EI denies the allegations set forth in Paragraph 26 of the Complaint.
- 27. In response to the allegations set forth in Paragraph 27 of the Complaint, El affirmatively alleges that El's shares are traded on the New York Stock Exchange under the EIX symbol and that El has used certain of its trademarks generally in the financial business community. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 27 of the Complaint.

ÜLE EMERGENCY LIGHTINĞ

325 VALLEY STREET PROVIDENCE, RHODE ISLAND 02908 TEL: 401-521-6853 FAX: 401-521-6856 Internet http://www.lightworld.com.

INVOICE

INVOICE NUMBER: 086402

Source:

INVOICE DATE: 10/25/00

Order 086402

PAGE:

ENRON ENERGY SERVICES, INC 1400 SMITH STREET

HOUSTON, IX

ATTN: ACCOUNTS PAYABLE 77002

NEW ORLEANS MALL + AJTN: DARRELL CODESHENG DERT. 1400 POYDRASISTREET #1640 NEWLORLEANS. LAW

70112

CUST. ID: 09940

PO NUMBER OLS 1-0002

PO DATE 10/11/00

OUR ORDER NO.

SALESPERSON: LED

UNIT SHIPPED ORDERED ITEM I.D./DESC. 540.00% EACH 25.0000 49400EN 940.00

tE0005-W-FR-16

SHIP.VIA: 👸

TERMS: 30

SHIP DATE: 10/25/00

DUE DATE: 11/24/00

jject name: Simon properties

EASE # 0001

** WE NOW ACCEPT MASTERCARD & VISA, ... ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00179

13500,00 10,00 0.00 UBTOTAL TAX: PAYMENTS 13500:00 TOTAL:

325.VALLEY STREET PROVIDENCE RHODE ISLAND.02908 TEL: 401:521.6853 FAX: 401-521.6856 Internet: http://www.lightworld.com

invoice 4

INVOICE NUMBER: 086246

Source:

INVOICE DATE: 09/29/00 Order 086246

PAGE: 1

D CAMERON & BARKLEY CO.

AP CENTER

P.O. BOX 118098 CHARLESTON, S.C.

29423-8098

SHIP : INGLETI & STUBBS, INC.

5200 RIYERVIEW AD.

MABLETON, GA, 15

ATTN: EDDIE FROST

30126

cust.ib. 05153

SHIP VIA: B

SHIP DATE: 09/29/00 DUE DATE: 10/29/00

TERMS: 30

P.O. NUMBER: 19-88324-D

OUR ORDER NO.:

SALESPERSON:

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CONFIDENTIAL COUNSEL TRIAL COULY

** WE NOW ACCEPT MASTERCARD & VISA. ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00180

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RUN DATE: 12/31/01 RUN TIME: 1:20 PM

325 VALLEY STREET Inventory Control Year To Date Report Total Unit 11 DAGE 8
Subs = 13,480

DEPARTMENT: 325

ITEM TYPE: P

Exclusion Date: 12/31/01

I TEM #	P C DESCRIPTION	NET Units	SALES Amount	COST OF GO	ODS SOLD % SALES	MARG AMDUNT	IN % SALES	AVG SELLING PRICE
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400	THE TANKS HAVE THE WAR	7224-00	45177.50	Z4V18.8V	53.14	21180 50	10.86	IN.VI
48200BP	LOUICK FIT BULBS-UNIV	10394.00	137187.97	69442 95	30.02	67745.02	49.38	13.198
482008PMSC	A MSC62400841 74619084		260.00	1290.00	48.68	1360.00	51.32	13.25
148300BP	L SHORT QUICK-FIT-UN	24.00	11577 05	5393.80	46.60	6180.15	53.40	15.98
48402	L (2)LED LAMPS CS-GRN.	737.00	14587.89	9085.00	12-00	5502.89	37.72	19.79
48402HH	A GRN-HN.LED KIT N/BRK		650.00	364.00	56.00	286.00	44.00	91.2
-	L TZT LED LAMPS-UN GRI	1208.00	20720:43		J4.27	13222.40	43.11	
49100	L LILOOO1-A	13.00	300.05	75.40	25.13	224.65	74.87	23.08
49150	L LIL0002-R	414.00	5686.48	1883.70	33.13	3802.78	66.87	13.73
49150WP	E LILOOO2-R-WP	355.00	5271.75	1402,25	26.60	3869.50	73.40	14.85
949159	L HALILODO2-R	13.00	290.58	59.15	20.36	231.43	79.64	22.35
149170	L LILOOO3-G	344.00	8018.90	2236.00	27.88	5782.90	72.12	23.31
1493.79	L HALILOOO3-G	12.00	342.00	78.00	22.81	264.00	77.19	28.50
149190	L LILOOO4-B	1.00	26.50	18.80	70.94	7.70	29.06	26.50
749190EN	A LILOOO4-B-FR	3260.00	80207.60	45770.00	57.06	34437.60	42.94	24.60
749400	L LIL0005-₩	17.00	621.10	365.50	58.85	255::60	41.15	36.53
149400EN	A LILOOOS-W-FR	8916.00	223752.70	133740.00	59.77	90012.70	40.23	25.09
149406EN	A MULLILOOSHFR6WTFRO	10.00	165.00	100.00	60.61	65.00	39.39	16.50
949601	A MULLILOOS#RWHITEFRO	125.00	2062.50	1062.50	51.52	1000.00	48.48	16.50
60001			633.18	244.95	37:50	408+03	2.60	
960002	A H2	1174.00	10253.93	3709.84	36.18	6544.09	63.82	8.73
60002MSC	A MSC#62401005 H2-UDI		132.44	66.08	49.89	66.36	50.11	9.46
960010MSC	A MSC#62400999 H3-UDI	P 14.00	132.44	65.80	49.68	66.64	50 82	9.40
760020	AT 1-5	11.00	275.00	60.50	22.00	214.50	18.00	25.00
060020MSC	A MSC#62401013 H5-UDI	P 23.00	217.58	VFID8-56	49.89	109.02	50.11	9.46
60031	A H 12V-12W HALOGEN	3,00	TRIA	18.00	「444年」	24.60	57.75	14.29
60033	A H14	710.00	6787.61		Nor	5012.61	73.85	9.5
60039	A H-7	550.00	5763.67	ONLY	36.75	3646.17	63.26	10.4
60039MSC	A MSC#62401021 H7-UD	228.00	2155.88	1076.16	137.32	1079.72	50.08	9.4
60045	A H-20	49-00	507.97	171.50	33.76	336.47	66.24	10.3
960070	A HM	94.00	1857.05	588.74	31.43	1273.31	68.57	19.7
60255	A ERG	3.00	295.00	103.50	35.08	191.50	64.92	98.3
60280	A H-98 (LED)	4.00	348 00	65.20	19.18	274.80	80.82	85.0
60682	X PN	32.00	618.00	144.00	23.30	474.00	76.70	19.3
60683	X DCT	12.00	75.00	28.08	37.44	46.92	62.56	6.2
606.85	X DCE	18.00	99.50	46.08	46.31	53.42		5.5
60689	X CANOPY. COMPLETE	91.00	264.96	91.00	34.34	173.96	65.66	2.9
60689C	A CANOPY KIT-CAST EXA	66.00	263.63	141.90	\$3.83	121.73	46.17	3.9
60841	X SX-1-120V	176.00	2256.60	1496.00	66.29	760.60	33.71	12.8
60841L	E SX-1-120 (ED	3.00	150.00	60.00	40.00	90.00	60.00	50.0
60841101	A SX-1120/277-LED-01	2512.00	45735.40	3768 0.00	82.39	8055.40	17.61	18.2
\$0841LQ2	A 8X-1-120/277-LED-HH		8070.40	4840.00	59.97	3230.40	40.03	33.34
60842	X SX-2-120V EXIT WHIT		804.12	581.14	72.27	222.98	27:11	16.41
60842C	X SX-2-120V-C	2.00 35.00-	100.00	26.82	26.82	73.18 	73.18 ` 66.13	50.000

25 VALLEY STREET
ROVIDENCE, RI
2908
WW.LIGHTWORLD.COM
01-521-8853
AX: 401-521-6856

INVOICE

INVOICE NUMBER: 088751

Source: INVOICE DATE: 04/04/01 Order: 088751

PAGE: 1

D WALTERS WHOLESALE BILLING

2825 TEMPLE AVE. LONG BEACH CA. 90806 SHIP 5UN INDUSTRIES/ENRON TO: MARK! BREA MALE 7291 HEIL AVENUE / HUNTINGTON BEACH, CA 92647

SHIP VIA: A

SHIP DATE: 04/04/01 DUE DATE: 05/04/01

TERMS: 30

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OUR ORDER NO. SALESPERSON:

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	тх
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LIL0005-W-FR

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CONFIDENTIAL COUNSEL TRIAL COUNSEL

** WE NOW ACCEPT MASTERCARD & VISA, | >LL ACCOUNTS RECEIVABLE FOR DETAILS #

MULE-00183

SUBTOTAL: 6706:00 TAX: 0.00 PAYMENTS 0.00 TOTAL: 6706:00

25 VALLEY STREET ROVIDENCE, RI 12908 IWW.LIGHTWORLD.COM 101-521-6853

AX: 401-521-6856

INVOICE:

INVOICE NUMBER: 088397

Sources

PAGE:

WALTERS WHOLESALE-LA PALM 5600 FRESCA DRIVE

LA PALMA, CA. 90623

SHIP SUN INDUSTRIES TO:

alīn: ĪVIS 7291 Heil Avenue

HUNTINGTON BEACH TOA.

92646

SHIP VIA:

SHIP DATE: 03/09/01 DUE DATE: 04/08/01

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CONFIDENTIAL TRIAL COUNSEL ONLY

** WE NOW ACCEPT MASTERCARD & VISA, ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00184

SUBTOTAL 6175.60 0.00 √TAX: PAYMENTS 0.00 TOTAL: 6175.60

25 VALLEY STREET ROVIDENCE, RI 2908 WW. LIGHTWORLD COM 01-521-6853 AX: 401-521-6856

INVOICE A

INVOICE NUMBER: 088527

Source: INVOICE DATE: 03/20/01 Order 088527

PAGE:

WALTERS WHOLESALE-LA PALM 5600 FRESCA DRIVE LA PALMA, CA. 90623

SHIP SUN-INDUSTRIES 7291 HEIL AVENUE HUNTINGTON BEACH, CA. ATTN: IVIS 92647

SHIP VIA: B

SHIP DATE: 03/20/01 DUE DATE: 04/19/01 TERMS: 30

STOMER PO# 166566-DIR-8174074

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CONFIDENTIAL TRIAL COUNSEL TRIAL ONLY

* WE NOW ACCEPT MASTERCARD & VISA, ILL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00185

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25 VALLEY STRECT ROYIDENCE, RI

2908

WW.LIGHTWORLD.COM

01-521-6853

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INVOICE

INVOICE NUMBER: 091919

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INVOICE DATE: 11/14/01 Order 091919

PAGE: 1

YOSS LIGHTING 4615 MGLEOD N.E. ALBUQUERQUE, N.M.

87109-2120

SHIP VOSS LIGHTING

4615 MGLEOD N.E.

ALBUQUERQUE, NºM. 87109-2120

SHIP VIA: B

SHIP DATE: 11/14/01 DUE DATE: 12/14/01

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TERMS: 30

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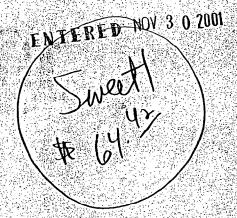
P.O. NUMBER: 7030658.

P.O. DATE: 11/14/01

OUR ORDER NO.

SALESPERSON: SWEET!

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49170	24.00	24.00	EACH	23.5000	564,00
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CONFIDENTIAL-TRIAL COUNSEL ONLY

* WE NOW ACCEPT MASTERCARD & VISA, LL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00186

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25 VALLEY STREET ROVIDENCE, RI

2908

WW. MULELIGHTING COM

01-521-6853

AX: 401-521-6856

INVOICE.

INVOICE NUMBER: 092155

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INVOICE DATE: 12/06/01 Drder 092155

PAGE: 1

VOSS LIGHTING 4615 MCLEOD NyE. ALBUQUERQUE, N.M

87109-2120

SHIP VOSS LIGHTING TO: 4615 MCLEOD N.E.

ALBUQUERQUE, N.M.

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SHIP VIA: B

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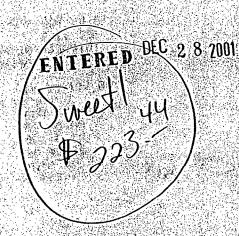
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CONFIDENTIAL TRIAL COUNSEL ONLY

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MULE-00187

SUBTOTAL: 3224275 TAX 0.00 PAYMENTS: 0.00 TOTAL: 3224.75

TO A STATE OF THE
325 VALLEY STREET PROVIDENCE, RI 22908

WWW. LIGHTWORLD COM

101-521-6853

AX: 401-521-6856

INVOICE

INVOICE NUMBER: 091522

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INVOICE DATE: 10/26/01 Onder 091522

PAGE: 1

D VOSS LIGHTING

1911 EA UNIVERSITY DR.

PHOENIX, AZ.

85034

SHIP VOSS LIGHTING

1911 EA.UNIVERSITY DR

PHOENIX, AZ.

85034

SHIP VIA: B

SHIP DATE: 10/26/01

DUE DATE: 11/25/01

TERMS: 30

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5949170	24.00	24.00	EACH	23.5000	564.00	E,
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CONFIDENTIAL: COUNSEL TRIAL COUNSEL ONLY

WE NOW ACCEPT MASTERCARD & VISA, L ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00188

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W, LIGHTWORLD COM

1-521-6853

X: 401-521-6856

INVOICE

INVOICE NUMBER: 091302

Source:

INVOICE DATE: 10/19/01 Onder 091302

PAGE:

VOSS LIGHTING

2604 N.E. INDUSTRIAL DR

SUITE #100

NO KANSAS CITY, MO.

64117-2648

SHIP VOSS-LIGHTING

2604 N.E. INDUSTRIAL DR

SUITE #100

NO KANSAS CITY, MO.

64117

SHIP VIA: B

SHIP DATE: 10/19/01

DUE DATE: 11/18/01

TERMS: 30

31710 CUST. ID.:

P.O. NUMBER: 5038149

P.O. DATE: LO/04/01

OUR ORDER NO.

SALESPERSON: LED

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9150/ 9002-R	6.00	Fig. 00	EACH	14.8500	89.10
170 003-G	6.00	6.00	EACH	21.1500	126: 90
					8.39

TO:

CONFIDENTIAL TRIAL COUNSEL ONLY

WE NOW ACCEPT MASTERCARD & VISA. ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00189

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	224.39
TOTAL:	

25 VALLEY STREET ROVIDENCE, RI 2908

WW.LIGHTWORLD.COM

01-521-6853

AX: 401-521-6856

INVOICE

INVOICE NUMBER: 089099

Source:

INVOICE DATE: 04/27/01 Order 089099

PAGE: 1

P APPLIED ENERGY MANAGEMENT

P.O. BOX 189 STOCKBRIDGE, MA.

01262

SHIP APPLIED ENERGY MANAGEMENT

116 BETHEA ROAD FAYETTEVILLE, GA. ATTN:TIM BEÄN

30214

SHIP VIA: B

SHIP DATE: 04/27/01 DUE DATE: 05/27/01

TERMS: 30

CUST ID: 02610

P.O. NUMBER: 7281

P.O. DATE: 04/26/01

OUR ORDER NO.:

SALESPERSON: LED

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CONFIDENTIAL-TRIAL COUNSEL ONLY

** WE NOW ACCEPT MASTERCARD & VISA,

MULE-00190

SUBTOTAL: 908.78
TAX: 0'.00
PAYMENTS 0.00
TOTAL: 908.78

25 VALLEY STREET ROVIDENCE, RI

12908

WW.LIGHTWORLD.COM

101-521-6853

AX: 401-521-6856

INVOICE 2

INVOICE NUMBER:

089125

1 INVOICE DATE:

Source: 07/05/01 Order 089125

PAGE:

GRAYBAR ELECT. CO-LAKELAND VENDOR ID#4332656/FL.BRCH

P.O. BOX 78099 ST.LOUIS. MO.

63178

SHIP VIA:

SHIP DATE:

DUE DATE:

SHIP TO:

GRAYBAR ELECT.CO.INC. 2100 CRYSTAL GROVE DR.

LAKELAND, FL. MARK: 108716

33801

CUST. ID.

12915

P.O. NUMBER: P.O. DATE

06171102

OUR ORDER NO.

04/30/01

TERMS:

08/04/01 30

07/05/01

SALESPERSON

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	TX
5949170 LIL0003~G	80,00	-0.80.00	EACH	24.0000	1920.66	Ė

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CONFIDENTIAL TRIAL COUNSEL ONLY

* WE NOW ACCEPT MASTERGARD & VISA ILL ACCOUNTS RECEIVABLE FOR DETAILS ***

1950 (1951) Europa (1951)

MULE-00191

1930.36 SUBTOTAL: 0.00 TAX: .0.00 **PAYMENTS** 1930.36 TOTAL:

15 VALLEY STEET IDVIDENCE, RI 2908

IW.LIGHTWORLD.COM

11-521-6853

X: 401-521-6856

INVOICE

INVOICE NUMBER: 089270

Source: INVOICE DATE: 05/10/01 Order 089270

PAGE: 1

GRAYBAR ELECTRIC CO. INC. VENDOR 10#4332656/

P.O. BOX 78099 ST.LOUIS, MO. 63178

SHIP KING COUNTY METROYSOUTH TO:

FACILITIES BEDG.C

11911 EA MARGINAL WAY 50.

SEATTLE, WA. MK:184614

99168

SHIP VIA:

SHIP DATE: 05/10/01 DUE DATE:

TERMS: 30

06/09/01

CUST. ID.: 12915 P.O. NUMBER: ** 16643152

P.O. DATE: 05/10/01 OUR ORDER NO.

SALESPERSON:

LAMBDA

ITEM I.D./DESC.	ORDERED		SHIPPED	UNIT	PRICE	NET T)
9400EN	2	00.	2.00	EACH	34.100	
0005-W-FR		行為自然的			建筑设置	_68.20 E

K ITEM, WAIVE MINIMUM BILLING PER CROSS MUST SHIP TODAY

SHIPPED MW 3 1 2001 Lambda \$18 93

CONFIDENTIAL trik: Cuundel

NE NOW ACCEPT MASTERCARÓ & VISA, ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00192

SUBTOTAL: TAX: **PAYMENTS** TOTAL:

73.95 0.00 0.00

73.95

25 VALUEY STATES ROVIDENCE: RI 2908

WW.LIGHTWORLD.COM

01-521-6853

AX: 401-521-6856

INVOICE

INVOICE NUMBER 088024

Source:

INVOICE DATE: 02/09/01 Order 088024

PAGE: 1

GRAYBAR ELECT-ST. LOUIS

P.O: BOX 78099 ST. LOUIS, MO.

VENDOR CODE# 4332656

63166

PUBLIX SUPER MARKETS, INC. SHIP TO:

PRODUCÉ FORK LIFT BLDG#5 3045 NEW TAMPA HWY. ATN:

LAKELAND, FL. S. SHEFFIELD

33815

SHIP VIA:

SHIP DATE: 02/09/01 DUE DATE: 03/11/01

TERMS: 30

CUST ID. 12915

P.O. NUMBER: 06168325

P.O. DATE: 02/08/01

OUR ORDER NO.:

SALESPERSON:

ITEM I.D./DESC	ORDERED	SHIPPED	UNIT	PRICE	NET	ΤX
5949150	Tig of	12.00	EACH	14,0000	168.00	
Ľ1L0002-R						
5949170	12.00	12,00	EACH	27,0000	324.00	E.
L1L0003→G						
ip VIA UPS FREIGH		CHARGE				
STOMER'S UPS ACCOMPLETE SHIP TO AC	した特性のではただちゃ 乗ったいできます しだたえ マガチ 接続し					
BLIX SUPER MARKET						
DOUGE FORK LIFT E	}LDG.#5:					#43
45 NEW TAMPA HIGH	化抗乳基化 医克勒氏 医水管 医抗性上的 九 经收入的 电电流电影电流电影					
CG DOCK @ REAR OF						
THESTEVE SHEFFIEL						
IRK: LPM20651						256

** WE NOW ACCEPT MASTERCARD & VISA. ALL ACCOUNTS RECEIVABLE FOR DETAILS: ***

MULE-00193

492.00 SUBTOTAL: 0.00 TAX: 0.00 PAYMENTS. 492.00 TOTAL:

25 VALLEY STREET ROVIDENCE, RI 2908

WW.LIGHTWORLD.COM

01-521-6853 AX: 401-521-6856 ∢INVOICE ***

INVOICE NUMBER 089125

Sounce:

INVOICE DATE: 04/30/01 Order 089125

PAGE:

GRAYBAR ELECT CO-LAKELAND VENDOR ID#4332656/FL:BRGH

P.O. BOX 78099 ST.LOUIS: MO. 63178

GRAYBAR ELECT: CO, INC. SHIP 2100 CRYSTAL GROVE DR. TÓ:

LAKELAND, FL. MARK: 108716 33801

SHIP VIA: B

SHIP DATE: 04/30/01 DUE DATE: 05/30/01

TERMS: 30

cust.id: 42915

P.O. NUMBER: 06171102 PO DATE: 04/30/01

OUR ORDER NO.: SALESPERSON:

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	 PRICE	NET	TX
5949170 LIL0003-G	20.00	20.00	EACH	2450000	=¥4 8 6.06%	
594915Ö	100.00	100.00	EACH	11.0000	1100.00	E
LIL0002-R					11.50	

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GONFIDENTIAL TRIAL COUNSEL

** WE NOW ACCEPT MASTERCARD & VISA, ALL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00194

1591.80 ့္ပ်ံ့, ဝီဝ SUBTOTAL: TAX: PAYMENTS 1591:80 TOTAL:

ō..öö

VALLEY STREET TDENCE, RI LIGHTWORLD, COM 401-521-6856

INVOYEE

INVOICE NUMBER: 091373

Source:

INVOICE DATE: 10/18/01 Order 091373

PAGE: 1

ENRON ENERGY SVGS N. A. INC CO, OSOS ATINIL JACKSON FO' BOX: 4734 Хт ", иотайан 77210:4734

AURORA MALL SHIP ATTN:ROGER MORSE T0:

14200 EAST ALAMEDA AVENUE

AURÔRA; CO; 80012

SHIP VIA: A SHIP DATE: 10/18/01 DUE DATE: 1,17,17,10,1 | terms: 30,5

CUST. ID.: 09940 PO NUMBER: SIMFAUCO-OA

P.O. DATE: 10/10/01

OUR ORDER NO.:

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET
9400EN	950,00	r - r rrigsonoo.	EACH	25.0000	23750.00[7]
0005-W-FR-WRM					

TRIAL

* WE NOW ACCEPT MASTERCARD & VISA, LL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00195

23750,00 SUBTOTAL 0,00 0:00 TAX: PAYMENTS 23750.00 TOTAL:

5 VALLEY STREET: 22 OVIDENCE, RI 2908, ;;; WW: LIGHTWORLD COM 01 -521-6853 AX: 301-521-6856

INVOICE

INVOICE NUMBER : 088668

Source: invoice date: 03/29/01 Drden 088668

PAGE:

ENRON ENERGY DERVICESTING L400 SMITH OTREET/JAC-338

HOUSTON, TX.

ATTN: LISA JACKSON

77002°

SHIP SUN INDUSTRIES

936 DETROIT, SUITE KI CONSORD, GAL E ATTNEBROOKS SHELFER

94518

09940 CUST.ID.:

SHIP VIA: A. SHIP DATE: 03/29/01

DUE DATE: 04/28/01. TERMS: 30

MAC-SRCA-01 P.O. NUMBER: P.O. DATE. 03/29/01

OUR ORDER NO.:

LED SALESPERSON.

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ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET		TX
				 A Property of the Control of		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	

TO:*

5949400EN ET TO LILOOOS-WHER

EIGHT VIA PRIORITY 3-DAY SERVICE PER

STOMER REQUEST:

577.78

* WELNOW ACCEPT MASTERCARD & VISA ILL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00196

SUBTOTAL: i o co TAX PAYMENTS 69377278 TOTAL:

25 VALLER STELET ROVIDENCE; RI 2908 WW.LIGHTWORLD.COM 019521-6853 AX: 401-521-6856

INVOICE* 1

INVOICE NUMBER 038387

INVOICE DATE: 03/15/01 Order 088387

PAGE: 1

ENRON-ENERGY SERVICES INC 1400 SMITH STREET/3AC-338

HOUSTON, TX.

ATTN: LISA JACKSON:

77002

SHIP APPLIED ENERGY MGMNT

C/O1LINCOLNWOOD TOWN CTR. 3333 WEST TOUTY AVENUE

LINCOLNWOOD; IL

60712

COST::D: 09940 PO NUMBER 0151-0002 SHIP VIA: * SHIP DATE : 03/15/01 P.O. DATE 03/09/01

DUE DATE: 04/14/01 OUR ORDER NO.: SALESPERSON LED

ITEM I.D./DESC. SHIPPED ORDERED 49190EN 312620076 731726 00 TEACHE 24 5000 7 76899 60 46

IL0004-B-FR

RIER NOTE MUST CALL APPLIED ENERGY NAGEMENT, TIM WHIGHAM @ 770-486-7000 HOURS BEFORE DELIVERY

CONFIDENTIAL TRIALICQUNSEL ONLY

* WE NOW ACCEPT MASTERCARD & VISA LE ACCOUNTS RECETVABLE FOR DETAILS ***

MULE-00197

UBTOTAL 76899.60 TAX: 0.00 PAYMENTS 0.00 TOTAL: 76899.60

5, VALLEY STREET. OVIDENCE, RIG 908 W.LIGHTWORLD COM 14521-6853 X: 401-521-6856

INVOICE (FIRE CO.)

INVOICE NUMBER: 088420

Source: INVOICE DATE: 04/18/01 | Dirtier | 088420

PAGE: 1

ENRON ENERGY SERVICES INC 1400 Shith Street/Cac-338 HOUSTON, TX

ATTN: LISA JACKSON

77002

APPLIED ENERGY MANAGEMENT 116/BETHEA ROAD STE 206

FAYETTEVILLE, GA. MARK:NORTHEAKE MALL

30214

SHIP VIA: A

SHIP DATE: 04/18/01 DUE DATE: Q5/18/01

TERMS: 300 /

09940 CUST. ID.

PO NUMBER SEE BELOW

03/13/01 P.O DATE

OUR ORDER NO.:

SALESPERSON: LED

ITEM I.D./DESC	ORDERED	SHIPPED	UNIT	PRICE	NET TX
AND THE WAR THE TRANSPORTER TO SERVED TO SERVE	THE WINDOW ANTEREST	THE PROPERTY OF	100 以正常性	E TOTO OF PORT OF	Part 27/2001 CONSTRU

SHIP

TO:

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TOMER PO#% SIM=A2GA=01-3292001

K WE NOW ACCEPT MAGTERCARD & VISA. L ACCOUNTS: RECEIVABLE FOR DETAILS ***

MULE-00198

SUBTOTAL: TAX

PAYMENTS TOTAL:

27200.00 0.00 0.00 27200:00

325. Valley Street Providence, RI 02908 www.lightworld.com

INVOICE 088829

INVOICE NUMBER 088829

INVOICE DATE: 04/09/01

PAGE 1

LD ENRON ENERGY SERVICES, INC.

1400 SMITH STREET 7 3AC-338

HOUSTON, TX.

ATTN: LISA JACKSON 7.7002

SHIR JOE HUI?DENISE CUNNINGHAM TO 12647 ALCOSTA BLVD: SUITE 500 SAN RAMON, CA 94583

SHIP VIA: B

SHIP DATE: 04/09/01 DUE DATE: 05/09/01 TERMS: 30 CUST. ID. (09940)

PO:NUMBER MAC-CRCA-01-001 (MU)

PIO DATE 04/09/01

OUR ORDER NO.: DSALESPERSON & LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	τx
5949400EN LILO005-W-FR	10.00	10.00	each	25.0000	250.00	E
5949100 LIL0001-A-FR	10.00	10.00	each:	25.000	250.00	E
. X		8%.			40.00	
EIGHT		UPS RED		± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ± ±	50 . 00	

CONFIDENTIAL TRIAL CNLY

MULE-00199

SUBTOTAL 590.00.
TAX
PAYMENTS 590.00

5 VALLEY STREETS OVIDENCE; RI 908 W.LIGHTWORLD.COM 11-521-6853 V. 401-521-6856

> ENRON ENERGY SERVICES, INC. 1400: SMITH STREET/JAC-338

HOUSTON, TX: ATTN:: LISA JACKSON

SHIP DATE: 03/12/01

DUE DATE: 04/11/01

77002

SHIP VIA: A

"тевм**я**: 30

INVOICE

INVOICE NUMBER: 088415

Source: INVOICE DATE: 03/12/01 Order 088415

PÀGE: 7 1

SHP ENRON ENERGY SERVICES LINC TO: 12647 ALEGSTA BLVD STESOO BAN RAMON: CA: ATTN: JOE HUI 94583

CUST/ID: F06940

P.O. NUMBER!: 0151-000Z-01 \$ P.O. DATE: 05712701

OUR ORDER NO.

SALESPERSON: 15 FM

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	тх
949400EN 1L0005-W-FR	20:00	7.20.00	EACH	25.000	Bootoo	

ILOOOS-W-IR-<-FOR-MACERICH-JHE MALL AT NORTHGATE

CONFIDENTIAL TRIAL CONLY

* WE NOW ACCERT MASTERCARD & VISA, LL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00200

SUBTOTAL 500 00 = 1.7 TAX: 0.00 7 PAYMENTS: 0.00 7 POTAL 500 200

5 VALLEY STHEET ROVIDENCE, RI 908

W.LIGHTWORLD.COM

)1-521-6853

үх :: 401-521-6856

INVOICE

INVOICE NUMBER: 088409

INVOICE DATE: 03/12/01 Order 038409

PAGE: 1

ENRON ENERGY SERVICES, INC 1400 SMITH STREET/JAC-338

HOUS TON, STX:

ATTN: LISA, JACKSON

77002

SUN INDUSTRIES

ALIN:IVIS PARADA 7291 HEIL:AVENDE

HÚNTINGDÓN BEAGH.CA

92647

SHIP VIA:

SHIP DATE: 03/12/01 DUE DATE: 04/11/01

TERMS: 30 CUST IP 09940

FO.DATE: 03/12/01

OUR ORDER NO SALESPERSON: ELED

SHIPPED ITEM I.D./DESC. ORDERED

949400EN 515 00 515 00 EACH 7 25 0000 1 12875 00 2 E ILOOOS-W-FR

> CHARLESTEE TO

257900 1990 26500万**3**00英

CONFIDENTIAL TRIAL COUNSEL ONLY

* WE NOW ACCEPT MASTERCARD & VISA, 35 LL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00201

SUBTOTAL 12875700 TAX 0-00: PAYMENTS 0.00 TOTAL 12875.008

5 VALLEY STREET OVIDENCE, RI

1008 ·

√Ŵ.LIGHTWORLD.COM

)1-521-6853

3X: 401-521-6856

INVOICE NUMBER: 088180

Source: INVOICE DATE: 02/21/OL CITCEL CS8180

INVOICE

PAGE:

ENROW ENERGY SERVICES, INC. 1400 SMITH STREET/ZAC-338

, XI i,MOTeUoH

ATTN: PLISA JACKSON

77002

applied energy momnt: «

czo Eincolnwoodstown ctr

3333 W. TOUHY AVENUE

SINCOUNWOOD. TE

60712

SHIP VIA:

SHIP DATE: 02/21/01 DUE DATE: 03/23/01

TERMS: .30 CUST ID 09940 POINUMBER: 0151-0002

P.O. DATE

02/21/01/

OUR ORDER NO.

SALESPERSON LED

ITEM ID /DESC ORDERED . SHIPPED 745400EN TOLOTOGY EACH F 1010200 25,0000 ILOOOS-W-FR

RIER NOTE: MUST CALL APPLIED ENERGY AGEMENT: TIM WHIGHAM @ 770-486-7000:

HRS PRIOR TO DELIVERY

CONFIDENTIAL trial comincel ONLY

* WE NOW ACCEPT MASTERCARD & VISA LL ACCOUNTS RECEIVABLE FOR DETAILS **

MULE-00202

SUBTOTAL 25250:00 07.00 PAYMENTS 0:00 TOTAL: 25250:00

25 VALLEY STREET PROVIDENCE 2908

WWW_LIGHTWORLD.COM

401-521-6853.

TAX: 401-521-6856

EINVOICE

INVOICENUMBER OBSOSS

Source: NVOICE DATE: 02/15/01/ Order 088065

PAGE: 21/11

ENRON ENERGY DERVICES, INC. 1400 SMITH STREET/JAC-338

HOUSTON; STX:

ATTN: LISA JACKSON ...

77002

SHIP APPLIED ENERGY MGMNT TO:

C/O HAYWOOD MALL

700 HAYWOOD ROAD

GREENVILLE; S.C.

29607

SHIP VIA: A

SHIP DATE: 02/15/01

DUE DATE: 03/17/01

TERMS: 30

CUST ID: 09940

P.O. NUMBER 0151-0002

P.O. DATE: 62/12/61

OUR ORDER NO.

SALESPERSON; LED

ITEM I D / DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	TX
5949400EN	1953.00	1. 1953 00	EACH	23. OOO	42825.50	ČE,
5949190EN LILOOO4#8#FR	130.00	130,00	EACH	24 6000	3198 00-	E
RRIER NOTE: CALL NAGEMENT @ 770-48 FORE DELIVERY:						
DER MUST BE DELIV & 02/23/01	BRED-BETWEEN	10761/20				

CONFIDENTIAL TRIAL COUNSEL ONLY

** WE NOW ACCEPT MASTERCARD & VISA ALL ACCOUNTS RECEIVABLE FOR DETAILS XXX

MULE-00203

52023.00 SUBTOTAL 0.00 TAX **PAYMENTS**

325 VALLEY STREET PROVIDENCE 2908

WW. LIGHTWORLD. COM 101-521-6853

INVOICE NUMBER: AX: 401-521-6856 090028

Source: INVOICE DATE: 06/29/01 Order 090028

INVOICE

PAGE:

CAMERON & BARKLEY CO. AP CENTER

P.O. BOX 118098 CHARLESTON, S.C. 29423-8098

SHIP CAMERON & BARKLEY CO. TO: 6550-A-BEST FRIEND RD.

NORCROSS, GA.

30071

SHIP VIA:

SHIP DATE:

06/29/01 DUE DATE: 07/29/01

TERMS: 30 CUST. ID.: 05153

P.O. NUMBER: 19-45562-P P.O. DATE.

06/29/01 OUR ORDER NO.

SALESPERSON.

ITEM I.D./DESC. ORDERED	SHIPPED	UNIT	PRICE	NET	ΤX
5949190EN 4.0	4.00	EACH	2715000	110.00	E
L1L0004-B-FR					
ETOUT VIA UNO DED					

到的信息。2011年1月2日的 **新疆自然了这个通过,但是**找了 Line as the 打破"排作"的意 de la companya de la compa

CONFIDENTIAL TRIAL COUNSEL ONLY

* WE NOW ACCEPT MASTERCARD & VISA. ILL ACCOUNTS RECEIVABLE FOR DETAILS ***

MULE-00204

SUBTOTAL 133.50 TAX: 0.00 PAYMENTS 0.00 TOTAL: 133,50

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MULE LIGHTING, INC. Inventory Control Year To Date Report

ITEM TYPE: P ToTAL UNIT Exclusion Date: 12/31/02 Salasa HET SALES COST OF GOODS SOLD MARGIN AVG SELLING ITEM # DESCRIPTION UNITS AMOUNT AMOUNT 3 SALES THUOMA % SALES PRICE 39.00 740.96 351.00 47.37 389.96 52.63 L (2) LED LAMPS-UNIV 12.00 131.40 108.00 82.19 23.40 17.84 10.950 48100 L (Z) LLU LAMPS HM W/BR 4364.00 55845.60 32511.80 58.22 23333.00 41.78 12,796 48200BP L QUICK FIT BULBS-UNIV 6705.00 86086.53 50.63 43582-50 42504.03 49.37 12.839 948200BPMSC A MSC62400841 9482008P 680.00 9010.00 4386_00 48.68 4624.00 51.32 13.250 48300BP L SHORT QUICK-FIT-UN 35.00 11152.65 5460.85 18.96 5691.80 51.04 15.215 48402 L (2) LED LAMPS US-GRN. 245.00 4134.50 2989.00 72.29 1145.50 27.71 16.875 48402HW GRN-HW.LED KIT W/BRK 162,00 3253.50 2106.00 64.73 1147.50 35.27 TET LED EMINO ON GAN 1361.00 1100.70 40,51 2045 949100 L L1L0001-A 316.00 3787.63 1832,80 48.39 1954.83 51.61 11.9862 949109 L HALILOODA-A 9,00 279.81 52.20 18.66 227.61 81.34 31.0900 949150 L LIL0002-R 175.00 2591.30 796.25 30.73 1795.05 69.27 14.8074 949159 L HALILOOO2-R 20.00 327.45 91.00 27.79 236.45 72.21 16.3725 949170 L L1L0003-G 235.00 5521.00 1527.50 27.67 3993.50 72.33 23.4936 949179 L HALILOOO3-G 22,00 575.60 143.00 24.84 432.60 75.16 26.1636 949190 L LILOOO4-B 11.00 282.00 206.80 73.33 75.20 26.67 25.6364 949190EN A LILOOO4-B-FR 1.00 25.00 14.00 56.00 11.00 44.00 25.0000 949400EN A LILODOS-W-FR 331.00 8502.50 4965.00 58.39 3537.50 41.61 25,6873 949403 L HALILOOOS-W 12.00 312.00 252.00 80.77 60.00 19.23 26.0000 **300** A FIL-CH-120-FR 46.00 533.60 276.00 51.72 257.60 48.28 A H-1 128.00 1843.80 441.60 23.95 1402.20 76.05 4.4 60002 A H2 189.00 1922.00 597.24 31.07 1324.76 68,93 10.1 50002MSC MSC#62401005 H2-UDP 57.00 539.22 269.04 49.89 270.18 50.11 9.4 b٥ 0010 A HEAD 4.00 42.00 14.32 34.10 65490 27.68 10.5 00 0010MSC A MSC#62-00999 H3-UDP 16.00 151.36 76.16 50.32 9. 500 0012 À H-4 42.00 397.32 62.16 ĝ, 6ôô 463.64 TRIAL 2COLLINS E 162.36 DO20MSC A MSC#62401013 HSUDP 49,00 50.12 620 9@031 A H 12V-12W HALOGEN 8.00 48 ON LAY 95 102.24 54.24 53.05 12 7800 9-033 A H14 **56.00** 5963.44 1390.00 4573.44 76.69 10 7256 96 039 A H-7 903.00 9963.05 3476 34.89 6486.50 65.11 11 0333 039MSC A MSC#62401021 H7-UDP 60.00 567.60 283.20 49.89 284.40 50.11 4600 9 045 A H-20 81.00 845.86 283.50 33.52 562.36 66.48 4427 0070 A HM 2:00 12,42 34.50 23.58 65.50 0000 0255 A ERG 29.00 594.00 1000.50 62.77 593.50 37.23 54 9655 2682 X PN 11_00 162.00 49.50 30.56 112.50 69.44 14 7273 0685 X DCE **21**.00 63.86 53. 84.18 10.10 15.82 0410 D689 X CANOPY, COMPLETE 98.00 337.42 98.00 29.04 239.42 70.96 4431 53791 9689C A CANOPY KIT-CASE 175.00 697.11 376.25 320.86 46.03 9835 9 690 X OPEN FAC 1.00 15.00 0.00 0.00 15.00 100.00 15 0000 9 841 120V 695.00 10203.66 5907.50 57.90 \$226.16 42.10 14 6815 0841L X-1-120-LED 45.00 2879.90 900.00 1979 31.25 68.75 63 1978 0841191 A SX-1-120/277-LED-Q1 857.00 15466.00 12855.00 83.12 2611.00 16.88 18. 467 08411 A SX-1-120/277-LED-WWR 45.00 1868.00 900.00 48.18 968.00 41, 111 X SX-2-120V EXIT WHITE 54.00 737.80 640.44 86.80 97.36 E_SX-2-120-LED-C

46 BAKER STREET PROVIDENCE HI WWW MULELIGHTING COM 401-941-4446 FAX: 401-941-2929

INVOICE AND A

INVOICE NUMBER:

INVOICE DATE 02/06/02 Order 092155

PAGE:

VOSS LIGHTING 4615 MCLEOD N.E. ALBUQUERQUE, N.M. 87109-2120

SHIP YOSS LIGHTING TO: 4615 MCLEOD N.E. ALBUQUÉRQUE, N.M.

37109

SHIP VIA:

SHIP DATE: 02/06/02 DUE DATE: 03/08/02

TERMS:

CUST: ID.

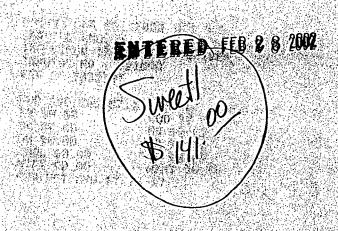
31712 P.O. NUMBER: 7031147 12/05/01

P.O. DATE OUR ORDER NO.:

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ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET TX
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O COMPLETE BACKOR	arvers.				



CONFIDENTIAL-TRIAL COUNSEL ONLY

MULE-00207

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS* JLE LIGHTING, INC. 6 BAKER STREET ROVIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL:	1422.73
TAX:	
PAYMENTS	
TOTAL:	1422.73
《中国学院社研究中的研究》	■ 本体的、主义器、自然基础的工作。在1967年中的自然。

IULE EMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE, RI 02905
(401) 941-4446 • FAX: (401) 941-2929

www.mulelighting.com

INVOICE NUMBER: 096364

INVOICE DATE: 10/04/02 Drider 096364

PAGE: 1

VOSS LIGHTING-BILLING P.O. BOX 22159 LINCOLN, NE: 68542 SHIP YOSS LIGHTING TO: 4615 MCLEOD N.E. ALBUQUERQUE, N.M. 87109

SHIP VIA: B SHIP DATE: 10/04/02 DUE DATE: 11/03/02 TERMS: 30 CUST ID: 31710 P.O. NUMBER: 7039128 P.O. DATE: 10704/02 OUR ORDER NO.: 250 SALESPERSON: 250

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ITEM LD /DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	TX
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IL0003-G						
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LIGHT NAME OF THE PARTY OF THE				당근하다 그러 이렇다 말하다.		

CONFIDENTIAL-TRIAL COUNSEL ONLY

MULE-00208

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** ELIGHTING, INC. BAKER STREET DVIDENCE, RI 02905 401-941-4446 F:941-2929 SUBTOTAL: 931.63 TAX: 0.00 PAYMENTS 0.00 TOTAL: 931.63

46 BAKER VOTREET OF LUCA MENTERS PROVIDENCE CREC

02905 WWW.MULELIGHTING.COM.

401-941-4446

FAX 1 401-941-2929

INVOICE

INVOICE NUMBER: 62507A

Source:

INVOICE DATE: 02/06/02 Order 092502

TPAGE:

VOSS LIGHTING

1911 EARUNIVERSITY DR.

PHOENIX, AZ.

85034

SHIP VOSS LIGHTING

1911 EASUNIVERSITY DR.

PHOERIX, AZ.

85034

CUST. ID.: 31711

P.O. NUMBER: 1/2037336-MULE SHIP VIA:

TO:

SHIP DATE: 02/05/02 P.O. DATE: 01/08/02

OUR ORDER NO. DUÈ DATE: 03/08/02

SALESPERSON: TERMS: 30 . LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	TX
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CONFIDENTIAL-TRIAL COUNSEL ONLY

MULE-00209

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS NULE LIGHTING; INC. 16 BAKER STREET

PROVIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL: TAX: PAYMENTS TOTAL:

1129:00 0.00 0100 1128.00

46 BAKER STREET PROVIDENCESTRO 02905 http://www.baranter.ac.

WWW.MULELIGHTING.COM

401-941-4446

FAX: 401-941-2929

INVOICE

INVOICE NUMBER:

921.976

INVOICE DATE:

02/06/02

Order 092197

PAGE: $\mathbf{1}$

VOSS LIGHTING ĹĎ

1911 EA. UNIVERSITY DR.

02/06/02

03/08/02

PHOENIX, AZ.

85034

SHIP VIA:

SHIP DATE:

DUE DATE:

TERMS:

SHIP

VOSS LIGHTING

TO: 1911 EAGUNIVERSITY OR.

PHOENIX, AZ.

85034

CUST. ID.:

31,711 P.O. NUMBER:

P.O. DATE:

8036618-MUE 12/10/01

OUR ORDER NO.:

SALESPERSON:

LED

SHIPPED UNIT PRICE ITEM I.D./DESC. ORDERED 48.00 EACH 25949170 48.00 23.5000 ; 1128100 ; E LILOUD3+G

O COMPLETE BACKORDER HIPPED WITH INV# 92502A

> CONFIDENTIAL: TRIAL COUNSEL ONLY

> > **MULE-00210**

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS YULE LIGHTING, INC.

46 BAKER STREET

PROVIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL: TAX: **PAYMENTS**

TOTAL:

1128000 0.00 0.00

1128,00

VALLEY SIBLET NIDENCE: RI 10B V.MULELIGHTING.COM 1-521-6853 (: 401-521-6856

INVOICE

INVOICE NUMBER: 092197

Source: INVOICE DATE: 01/16/02 Order 092197

PAGE:

VOSS LIGHTING 1911 EA: UNIVERSITY DR. PHOENIX, AZ. 85034

VOSS: LIGHTING SHIP 1911 EA UNIVERSITY DR. TO:

PHOENIX. AZ. 85034 *

SHIP VIA: A

SHIP DATE: 01/16/02

TERMS: 30

DUE DATE: 02/15/02

CUST.ID. 31711

P.O. NUMBER 8036618-MUE

PO:DATE: 12/10/01

OUR ORDER NO.: SALESPERSON: LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	TX
49150	48.00		ĒÃCH!	 ******1°4.:8500`**	742 8	03 E
L0002-R						

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CONFIDENTIAL-TRIAL COUNSEL ONLY

MULE ACCEPTS VISA. MASTERCARD & AMERICAN RESS. CONTACT A/R FOR DETAILS ***

MULE-00211

SUBTOTAL TAX PAYMENTS TOTAL:

0.00 0.00

; VALUEY STREET IVIDENCE: RI 208 (:MULELIGHTING:COM: L:521-6853 (: 401-521-6856

INVOICE

INVOICE NUMBER: 092502

Sourcet

INVOICE DATE: 01/16/02 Order 092502

PAGE: 1

VOSS LIGHTING 1911 EA UNIVERSITY DR. PHOENIX, AZ: 85034 SHIP YOSS LIGHTING TO: 1944 EASUNIVERSTIY DR.

PHOENIX, AZ.

85034

SHIP VIA: B

SHIP DATE: 01/16/02 DUE DATE: 02/15/02

TERMS: 30

CUST ID. 31711.

P.O. NUMBER: 8037336 MULE

P.O. DATE: 01/08/02

OUR ORDER NO. LED

ITEM I.D./DESC. ORDERED SHIPPED UNIT PRICE 491503 248:00 EACH 14:8500

L0002-R

GHT FOR INVOICES 092502 & 092197

15.09 E

CONFIDENTIAL-TRIAL COUNSEL ONLY

MULE ACCEPTS VISA, MASTERCARD & AMERICAN ESS. CONTACT A/R FOR DETAILS ***

MULE-00212

ISUBTOTAL:
TAX:
PAYMENTS
TOTAL:

727189. 0100 0100 727.89

INVOICE

INVOICE NUMBER: 093083

Source:

invoice date: 02/18/02 Order 093083

PAGE: 1

VOSS LIGHTING-BILLING

P.O. BOX 22159 LINCOLN, NE.

68542

SHIP VOSS LIGHTING

1911 EARUNIVERSITY DRIVE

PHOENIX, AZ.

85034

SHIP VIA: B

SHIP DATE: 02/18/02 DUE DATE: 03/20/02

TERMS: 30

CUST. ID. 31710

P.O. NUMBER: 8038556-HULE

P.O. DATE: 02/15/02

OUR ORDER: NO. LED

TO:

949150¹ 24.00

IL0002-R

24.00

24.00 EACH

24.00 EACH

14.8500

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23.5000

564:00

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IL0003-G IGHT

249170

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CONFIDENTIAL-TRIAL COUNSEL ONLY

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MULE-00213

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS***

E LIGHTING, INC. RAKED STREET

BAKER STREET

WIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL: TAX: PAYMENTS TOTAL: 1255.43 0.00 0.00 1255.43 NULE EMERGENCY LIGHTING

746 BAKER STREET, PROVIDENCE, RI 02905. (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

(INVOICE)

INVOICE NUMBER: 095738

Source:

INVOICE DATE: 09/26/02 Drder 095738

PAGE: 1

D APPLIED ENERGY MANAGEMENT P.O. BOX 189 STOCKBRIDGE, MA. ATTN:NANCY EMERSON 01262 SHIP APPLIED ENERGY MANAGEMENT TO: C/O WEST MANGHESTER MALL OFFICES 1800 LOUCKS ROAD

YORK, PAS 17404

SHIP VIA: A

SHIP DATE: 09/26/02 DUE DATE: 10/26/02

TERMS: 30

CUST ID: 02610 PO NUMBER 78546

P.O. DATE: 08/22/02

OUR ORDER NO.

SALESPERSON: LIED

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ITEM I.D./DESC.	ORDERED		SHIPPED	UNIT		PRICE		NET	. T
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	有特別的政府 网络特别的现在分词	£							

CONFIDENTIAL. TRIAL COUNSEL ONLY

MULE-00214

*MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** LE LIGHTING, INC. BAKER STREET OVIDENCE, RI 02905 401-941-4446 F:941-2929 SUBTOTAL: 35600.00
TAX: 0.00
PAYMENTS: 0.00
TOTAL: 3600.00

ULE EMERGENCY: LIGHTING 46 BAKER STREET: PROVIDENCE: RI 02905 (401): 941-2929 www.mulelighting.com



INVOICE NUMBER: 096019

INVOICE DATE: 09/11/02 Onder 096019

PAGE L

ENTERGY

3734 TULANE AVENUE NEW ORLEANS, LAL ATTN: DANE SMITH

SHIP

ENTERGY

To: 3754 TULANE AVENUE NEW ORLEANS, LA. ATTN:DANE SMITH

70161

SHIP VIA: B

70161

SHIP DATE: 09/11/02 DUE DATE: 10/11/02

TERMS:

cust.io: Oppyz

P.O. NUMBERS DSOF11 P.O. DATE: 09/11/02

OUR ORDER NO.

SALESPERSON LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	ТX
949100 : IL0001-A (AMBER)	1.00	1.00	EACH	L2, 0000	12.00	E.
949150 IL0002-R (RED)	1.00	1.00	EACH	12.0000	12.00	E /
949170 (L0003+G (GREEN	1.00÷	1.00	EACH	22,0000	22.00-	
949190 ILO0044B (BLUE)	1:00	1.00	EACH	240000	24.00	E
949400EN 1L0005-W (WHIT	: 1.00 E)	1.00	EACH	24.0000!	, 24.00	E
IGHT	AHMOUS	LSolte			7.28	E
MC:556	Me en en en	2032 - SV 6106)G	CONFIDENTIAL- TRIAL COUNSEL ONLY		
	94 - F				MULE-00215	
TULE HAS MOVED, LIGHTING, INC. BAKER STREET TIDENCE, RI 0290				SUBTOTAL: TAX: PAYMENTS: TOTAL:	101/28 0200 0.00 101.28	

MULE EMERGENCY LIGHTING

U.S. POSTAL SERVICE-BHC

DAKEN STREET IT IN PROVIDENCE AND A PROVIDENCE OF THE PROVIDENCE OF WWW.MULELIGHTING.COM

401-941-4446 FAX: 401-941-2929

INVOICE TO THE

Bource:

INVOICE DATE: The figure of the landing

02/06/02

Order 09222

PAGE:

U.S. POSTAL SERVICE-BMG.

4900 SPEAKER ROAD KANSAS ČITY: KS:

MARKEPOHO2-M-237HAS

66106-9726

SHIP VIA:

02/06/02 SHIP DATE: DUE DATE: 03/08/02

4 4900 SPEAKER ROAD

KANSAS CITY, KS.

ATTN:KEN HIX

661,06-9726.

CUST. ID.: 50234

P.O. NUMBER: ๐๊ฆะหะฆัส7H P.O. DATE: 12/11/01

OUR ORDER NO.:

SALESPERSON:

ITEM I.D./DESC	ORDERED	SHIPPED	UNIT	PRICE		NET	T
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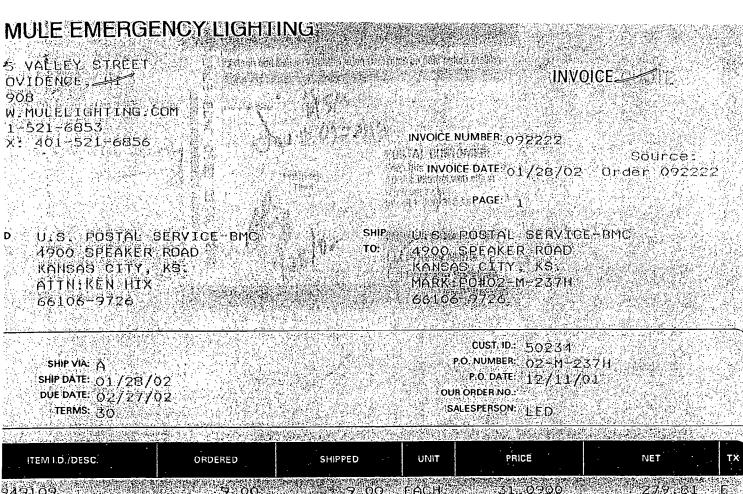
CONFIDENTIAL-TRIAL COUNSEL ONLY

MULE-00216

MULE HAS MOVED; PLEASE:NOTE NEW!ADDRESS WLE LIGHTING; INC. 16 BAKER STREET

ROVIDENCE, RI 02905 401-941-4446 F:941-2925

SUBTOTAL 176 10 TAX: 0.00 PAYMENTS .00,00 TOTAL: 176.10



ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	ΤX
949109 ALILODOA-A	7,00	7.9.00	ÉACH ^(S)	310900	Z,9:81	E
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				COM INCIN FRIAL COUL ONLY		
					MULE-00217	

MULE ACCEPTS VISA, MASTERCARD & AMERICAN SUBTOTAL 0.00°
PRESS. CONTACT A/R FOR DETAILS ***
PRESS. CONTACT A/R FOR DETAILS ***
1TAX 0.00°
PAYMENTS 0.00°
1TOTAL 285.56

JULE EMERGENCY LIGHTING VALLEY STREET, INVOICE 28 MULELIGHTING, COM -521-6853 401-521-6856 INVOICE NUMBER: 022809 Source: INVOICE DATE: 01/28/02 Order 092809 PAGE: MARYLAND TRANS AUTHORITY 850 REVELL HWY/BAY BRIDGE ADMIN BLOG ATNEBOB WOJIK GRAYBAR ELECT CO. BILLING SHIP VENDOR ID#4332656/ TO: P.O. BOX: 78099 ANNAPOLIS: MD. ST.LOUIS, MO. 63178 21401 CUST. ID.: 02234381 SHIP VIA: P.O. NUMBER: SHIP DATE: 01/28/02 01/28/02 P.O. DATE DUE DATE: 02/27/02 OUR ORDER NO.: TERMS: 30 SALESPERSON: ITEM I.D./DESC. ORDERED SHIPPED UNIT PRICE NET 9400EN 0005-W-CL VISA-1041 REL: @012502Ta HIT 6.90 Γ SVC (M) on pe average of the control CONFIDENTIAL THE MANUE TRIAL COUNSEL **护利** ONLY **MULE-00218**

NULE ACCEPTS VISA: MASTERCARD & AMERICAN: ISS: CONTACT AYR FOR DETAILS *** SUBTOTAL: 342.90 TAX: 0.00 PAYMENTS 0.00 TOTAL: 342.99

ULE EMERGENCY LIGHTING

: INVOICE (**!)

INVOICE NUMBER: 093052

Source:

INVOICE DATE: 02/21/02 Order 093052

PAGE: 1

GRAYBAR ELECTICO .- DES MOT YENDOR ID#4332656/

P.O. BOX 78099 ST.LOUIS, MO.

63178

SHIP TO:

ANAMOSA 91 PENITENTIARY BUSLOFFICE-MK:4IIGWO37002

406 NORTH HIGH ST. /X214

anamosa, ía.

52205

SHIP VIA:

SHIP DATE: 02/21/02 DUE DATE: 03/23/02

TERMS: 30

*cûst ip.: 12915

P.O. NUMBER: 12143961

P.O DATE: 02/14/02

OUR ORDER NO.: SALESPERSON:

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ITEM I.D./DESC.	ORDERED	SHIPPED	דואט	PRICE	<i>2</i> 1	NET	TX
		ATTENDED TO STATE OF THE STATE	To be be a		ASSESSED OF THE PARTY OF THE PA	多种性与内部的	1251
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CONFIDENTIAL TRIAL COUNSEL ONLY

MULE-00219

*MULE HAS MOVED, PLEASE NOTE NEW ADDRESS***

LE LIGHTING, INC. BAKER STREET

401-941-4446 F: 941-2929 OVIDENCE, RI 02905

SUBTOTAL: TAX: PAYMENTS TOTAL:

00.0

NULLEMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 093651

Source: INVOICE DATE 03/27/02 Order 093651

PAGE: 1

GRAYBAR ELECT CO. BILLING

VENDOR ID#4332656/

P.O. BOX 78099 ST.LOUIS, MO.

63178

SHIP TO:

GRAYBAR, ELECT, COLINC 3701 EAST MONUMENT STREET BALTIMORE, MO MARKJAMEXELARRY

21205

CSHIP VIA: B

华门的德国

SHIP DATE: 03/27/02

DUE DATE: 04/26/02 TERMS:

OF PETER CHEEK PROVINCE AND A PROVINCE OF THE PROPERTY OF THE PERSON OF THE PERSON OF THE PETER PROPERTY OF THE PETER PE

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IDENCE, RI 02905

CUST: ID.:

P.O. NUMBER: 02236711

P.O. DATE: 03/27/62

OUR ORDER NO SALESPERSON

ITEM I.D./DESC	ORDERED	SHIPPED	UNIT	PRICE	NET T
49170 L0003-G	6,00	6.00	EACH	23.5000	Faltoot E
49190 L0004-B	6.00	⁹⁴ 6.00	EACH*	25.10000	150.00° E
GHT					
					7.09 E

CONFIDENTIAL TRIAL COUNSEL ONLY

MULE-00220

ULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** LIGHTING, INC. AKER STREET

401-941-4446 F:941-2929

SUBTOTAL 298409 TAX: 0.00 PAYMENTS 0.00 TOTAL. 298.09

MULE EMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE, BI 02905) (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE AND A SECOND

INVOICE NUMBER: 095037

Source:

Invoice bate: 09/17/02 Onder 096037

PAGE: 1

STANDARD LIGHTING DIST. 4141-A BARRINGER DRIVE CHARLOTTE, N.C.

28217

STANDARÓ LIGHTING DIST 4141 A BARRINGER DRIVE CHARLOTTE, N.C.

28217

SHIP DATE: 09/17/02 DUE DATE: 10/17/02

*MULE HAS MOVED, PLEASE NOTE NEW ADDRESS***

OVIDENCE, RI 02905 401-941-4446 FI941-2929

LE LIGHTING, INC. BAKER STREET

CUST 10 26468 P.O. NUMBER 0001170 - I.P.O. DATE 09/12/02 P.O. DATE

> SUBTOTAL: TAX:

> **PAYMENTS** TOTAL:

0,100 0.00

576:32

OUR ORDER NO.

SALESPERSON:

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	ТХ
CV-1-7-X±5/ip			- - 131		- Lating-toe	E
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101CK	1.00	160	.EAGH	25.0000	25:00	E.
:1ант					13.32	E
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			CONTRIP	EIBENTIAL LCOUNSEI ONLY		
					agent.	
				MU	LE-00221	

NULE EMERGENCY LIGHTING

746 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

(INVOICE

INVOICE NUMBER: 095015

Source:

INVOICE DATE: 07/08/02 . Order 095015

PAGE: 1

DEAMERON & BARKLEY COL AP CENTER Pro: BoX 118098 CHARLESTON, S.C. 29423-8098

SHIP CAMERON & BARKLEY CO. TO: 150 CHATHAM BARKWAY SAVANNAH, GA. 31408

SHIP VIA: B

SHIP DATE: 07/08/02 DUE DATE: 08/07/02

TERMS: 30

CUST ID: 05153

P.O. NUMBER: 17-95010-P

P.O. DATE:: 07/08/02

OUR ORDER NO!! SALESPERSON:

ITEM I.D./DESC:	ORDERED	SHIPPED	UNIT	PRICE	NET	ΤX
}49150 (L0002-R	17:00	1447.00	EACH	-14.8500	252.45	
949170 [L0003-q	17.00	17.00	EACH	. 23: 5000	399.50 (Ē.
₹49159 \L1L0002-R	17.00	17.00	EACH	14.8500	252.45	E
949179 \LIL0003-G	17.00	17.00	EACH	23,5000	399.50 в	E .
tант					L5.22 E	

CONFIDENTIAL: TRIAL COUNSEL ONLY

MULE-00222

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** E LIGHTING, INC. BAKER STREET

VIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL: 1319:12 TAX 0.00 PAYMENTS 0:00 TOTAL: 1319:12

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       10.00 4
        4-00 +
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        1.00 +
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RUN DATE: 11/26/03 RUN TIME: 1:15 PM MULE LIGHTING, INC. Inventory Control Year To Date Report



DEPARTMENT: 325

ITEM TYPE: P

Exclusion Date: 11/30/03

JUTAL UNIT

	P		NET S		COST OF GO		MARGIN		AVG SELLING PRICE
ITEN #	C	DESCRIPTION	UNITS	AMOUNT	TAUOMA	% SALES	AMOUNT %	SALES 	
:					18.00	50.00	18.00	5 v.v v	
7102		ALL REFERENCE	7.70	36.00	253.75	81.10	59.15	18.90	3
1111	A DST		25.00	312.90	770.50	85.19	134.00	14.81	13.50
1110	A DST		67.00	904.50	110.00	81.48	25.00	10052	13.50
7123	A DST		10.00	135.00	241.50	70.81	99,54	29.19	16.24
7123MSC		#62036785 DST-23	21.00	341.04		73.12	55	26.88	12.99
7215MSC		2036918 ST15GL1	16.00	207.88	152.00	73.12	83.76	26.87	12.95
7223MSC	a MSC	162036734 S123GL1	24.00	311.76	228.00	13.13 73.13	20.94	26.87	12.9
7315MSC	A MSC	#62036876 STAS-CP	6.00	77.94	57.00	20	20.94	26.87	12.9
7318MSC		#62036884 ST16-C	6.00	77.94	57.00	-0.0	188.46	26.87	12.9
7323MSC		#62036892 ST23-CP	4.00	701.46	513.00 513.00	73.13 53.85	9.00	46.15	19.5
7423MSC	a MSC	#62036967 ST23GL2	1.00	19.50	1500		23.80	21.89	15.5
7526	A 2ST		7.00	108.74	84.91	78.11	114.04	35.61	18.8
7532	A 281		17.00		MAND	ENTIA	60.00	37.50	16.0
8012		LED LAMPS-CS	10.00	200.00		I have		78.57	42.0
8015		LED LAMPS-DC	22.00	924.00		UNSE	1103.36	38.97	14.7
9025		LED LAMPS-MB	192	2831.36	772890	61.03	19436.13	50.18	12.1
8100		LED LAMPS-HA W/BB		38730.08	19293.95	7.00	41601.22	46.65	12.1
8200BP		ICK FIT BULES MILV	7319.00	89174.72	47573.50		2012.00	51.32	13.2
8200BPMSC		0624009 4 9482008F	340.00	4505.00	2193.00			47.61	14.2
83008P		QUICK-FII-UN	773.00	10991.60	5758.85		5232.75 2199.65	47.01 15.92	19.5
8402		LED LAMPS-CS-GRH.	283.00	5652.25	3452.60			35.11	20.2
8492H#		N-HW.LED KIT W/BRK	186.00	3761.00	2418.00		1343.00 5439.45	43.57	21.7
8450	•) LED LAMPS-UN-GRN	587.00	12483.45	7044.00				
49100		L0001-A	1412.00	30384.40	8189.60		22194.80	73.05	21.5
49109	L RA	LILOOOA-A	10.00	160.00	58.00		102.00	63.75	16.
19110	A MR	16-WHITE	4.00	136.00	93.80		42.20	31.03	34.
19150	A FA	STOON-WHITE	8.00	272.00	166.77		105.28	38.71	34.
49150	LII	L0002-R	203.00	3203.10	923.65		2279.45	71.16	15.
49150WP	ELI	L0002-R-WP	120.00	.2160.00	474.00		1686.00	78.06	
49159	L RA	L1L0002-R	46.00	760.35	209.30		551.05	72.47	
49170	l LI	L0003-G	55.00	1385.30	357.50		1027.80	74.19	
49179	L HA	LIL0003-6	36.00	918.50	234.0		684.50	74.52	
49190	LII	L0004-B	4.00	101.10	75.2		25.90	25.62	
49190EN	A LI	L0004-B-FR	159.00	6331.70	2226.0		4105.70	64.84	
49310	A LC	L-R-120-FR	1.00	7.00	1.9		5.05	72.14	
49320	A LD	L-G-120-FR	1.00	13.00	8.5		4.50	34.62	
49342	A LE	L-B-12V-FR	1.00	15.00	8.5		6.50	43.33	
49350	A Li	IL-CN-120-FR	1.00	14.00	10.0		4.00	28.57	
49360	A LI)L-WW-120-FR	1.00	17.00	8.5		8,50	50.00	
49361	A LI)L-WW-120-CL	1,00	14.00	10.0		4.00	28.57	
49400	LLI	[L0005-W	8.00	235.00	172.0		63.00	26.81	
49400EH		IL0005-#-FR	845.00	21476.05	12675.0		8801.05	40.98	
949410WP	A LI	ED-R-120-FR-NP	74.00	1332.00	292.3		1039.70	78.06	
149420	A L	ED-G-120-FR	5.00	113.00	60.0		53.00	46.90	
749430	A L	D-A-120-FR	1.00	16.00	2.6		13.35	83.44	
949431	A LI	D-A-120-CL	2.00	40.00	10.4		23.60 54.00	74.00 42.80	
		ED-B-120-FR	6.00	126.00					

JULE EMERGENCY LIGHTING

, 46 BAKER STREET, PROVIDENCE: RI 02905 (401) 941-4446 • FAX: (401) 941-2929

www.mulelighting.com

INVOICE CONTRACTOR

INVOICE NUMBER: 1007を6

Source:

FILE PAGE: 1

ROYAL WHOLESALE ELECTRIC 10096 6TH STREET-UNIT B RANCHO-CUCAMONGA, CA. 91730 SHP. ROYAL WHOLESALE ELECTRIC TO 10098 STH STREET-UNIT B RANCHO CUCAMONGA, CA. 91730

SHIP VIA: B

SHIP DATE: 07/22/03 DUE DATE: 08/21/03 TERMS: 30 CUST. ID: 23709

P.O. NUMBER: 6046MWS9201225

P.O. DATE: 07/21/03

OUR ORDER NO.

SALESPERSON: LED

ITEM I.D./DESC	ORDERED	SHIPPED	UNIT	PRICE	NET TX
949180	1.00	1.00	EACH.	13.0000	13:00° E
ED-R-120-CL IGHT					5425 E

Physical 1975 (1975)

CONFIDENTIAL-TRIAL COUNSEL ONLY

MULE-00225

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS***
LIGHTING, INC.

BAKER STREET

)VIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL: 18.25 TAX: 0.00 PAYMENTS: 0.00 TOTAL: 18.25

JULE EMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 102478

Source: Invoice DATE: <u>11/04/03</u>: Under: 102498

PAGE: 1

GRAYBAR ELEGT.CO. -BILLING

VENDOR ID#4332656/ P.O. BOX 78099 ST.LOUIS, MD. 63178 SHIP: WINTER HAVEN HOSPITAL FL

TO: 200 AVE F. N.E. WINTER HAVEN, FL. MARK - E003912

33880

SHIP VIA: B

SHIP DATE: 11/04/03 DUE DATE: 12/04/03

TERMS: 📆 🖰

CUST.ID 1 12915

P.O. NUMBÉR: 06101286 P.O. DATE: 11704/03

OUR ORDER NO.: SALESPERSON:

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	T
49400EN	50,00	30.00	EACH	23,0000	670.00	Ë
)-CW-120-FR						
HTX VICE OF SEV					2,48	É,
			CO	NFIDENTIA	<u>L</u> e (A)	
			::::::::::::::::::::::::::::::::::::::	AL COUNS	IL .	
				ONLY		
		Light college (4.5)				
"						

MULE-00226

MULE MAS MOVED, PLEASE NOTE NEW ADDRESS*** E LIGHTING, THE. BAKER STREET VIDENCE, RI-02905 401-941-4446 F:941-2929

TAX:: PAYMENTS TOTAL::

SUBTOTAL

0.00 6.00 679.48 ULEEMERGENOYLIGHTING

46 BAKER STREET; PROVIDENCE: RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE:

INVOICE NUMBER: 102499

Source:

INVOICE DATE: 11/04/03% Didder 102499

PAGE: 1.

GRAYBAR ELECT CO: - BILLING

VENDOR ID#4332656/ F.O. BOX 78099

STILOUIS, MO.

63178

SHIP VIA: F

SHIP DATE: 11/04/03

SHIP WINTER HAVEN HOSPITAL FL

200 AVE F; NSES

WINTER HAVEN, FL.

MARK: E003727 REL:032441

35880

cust.id.: 12915

P.O. NUMBER: 06101287

P.O. DATE: 11/04/03

OUR ORDER NO...

DUE DATE: 12/04/03 TERMS: 30 UNIT PRICE SHIPPED ITEM I.D./DESC. ORDERED -

23.0000 650.00% E 30.00 EACH 749400EN: 30:,00 ED-CW-120-FR

P.AVAR.

9.48 E IGHT

> CONFIDENTIAL TŘÍŘĽ GOUNSEL

> > **MULE-00227**

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** E GIGHTING, INC. BAKER STREET

NIDENCE, RI 02905 401-941-4446 F:941-2929

699:48 SUBTOTAL 0.00 TAX: 0.00**PAYMENTS** TOTAL 629.48

JULE EMERGENCY LIGHTING

ுர் BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 098184

Source:

INVOICE DATE: 02/14/03 Onder 098184

PAGE: 4

VOSS LIGHTING 4615 MCLEOD NIE. ALBUQUERQUE, NIMS 57109-2120 SHP VOSS LIGHTING TO: 4615 MCLEOD N.E. ALBUQUERQUE, N.M. 87109-2120

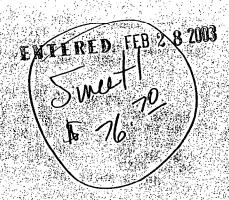
custing: 31.7.12

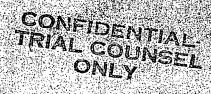
SHIP VIA: B P.O. NUMBER: 7042461-MUE SHIP DATE: 02/14/03 P.O. DATE: 02/11/03

DUE DATE: 03/16/03 OUR ORDER NO.

TERMS 30 SALESPERSON SWEETL

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE		NET	ΤX
			LOUIS TO THE		See See See See See		er and
49150	20,00	##Z0;;do	EACH.	14.8506		297:00	
L0002-R							
			im a inn i				
49170	20.00	20,00	EALH	23.5000		470,00	
£0003-0					金属		
GHT 1 III TO THE PROPERTY	三水层面 数多级 医动脉切断器					10.68	, L





MULE-00228

ULE HAS MOVED, PLEASE NOTE NEW ACCRESS*** LIGHTING, INC.

AKER STREET

IDENCE, RI 02905 401-941-4446 F 941-2929

SUBTOTAL:
TAX
PAYMENTS
TOTAL:

777, 68 0, 00 0, 00 777, 68

ULE EMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE; R[/02905] (401) 941-4446 FAX (401) 941-2929 www.mulelighting.com?

INVOICE

INVOICE NUMBER: 098250

Source:

invoice DATE: 03/04/03 Onder: 098250

PAGE: 1

GRAYBAR ELECT.CO.-PHOENIX
VENDOR ID#4332656/

P.O. BOX 78099 ST.LOUIS, MO: 63178 SHP MAYO HOSPITAE (ELECT). TO: 5777 FA MAYO BI VD

5777 EA MAYO BEVO PHOENIX, AZLEPOHIA9600 ATTN:REC: Q/MARK SMITH

65054

SHIP VIA: E

SHIP DATE: 03/04/03 DUE DATE: 04/03/03 TERMS: 30 CUST.ID.: 12915 PO NUMBER: 17303197. PO DATE: 02/17/03

OUR ORDER NO.: SALESPERSON.

CONFIDENTIAL: TRIAL COUNSEL ONLY

MULE-00229

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** E LIGHTING, INC. BAKER STREET

VIDENCE, RI 02905 401-941-4446 F:741-2929

SUBTOTAL 284-15 TAX I 0.00 PAYMENTS 0-00 TOTAL 284-15

工程,不是一个工程,不是一个工程,不是一个工程,不是一个工程,

IULE EMERGENCY LIGHTING

,746 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE AND A STATE

INVOICE NUMBER: 100545

Source:

INVOICE DATE::07//08/03 Or den 100545

PAGE: 1

GRAYBAR ELECT GO -BILLING VENDOR ID#4332656/

VENDOR 10#4332656 P.O. BOX 78099 ST.LOUIS; MO. 63178 SHIP. TO: PUBLIX SUPER MARKETS GM DOCKLIGHTS JO45 NEW TAMPA HWY-RRODUCE FORKLIFT SHOP BLDG #46-LAKELAND; FL: 33815

SHIP VIA: C

SHIP DATE: 07708/03 DUE DATE: 08/07/03

TERMS: 30

CUST ID: 12915 P.O. NUMBER'S 06196411

P.O. DATE: -07/07/03

OUR ORDER NO.:

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	. TX
9170	6.00	6.00	EACH	24.0000	าให้สำรัติ	WE:
0003-G						

IL0002-R

P VIA UPS FREIGHT COLLECT & CHARGE

TOMER'S UPS ACCOUNT #362566

4000年1月1日日本開催宣传等

K FOR: IC8716

CONFIDENTIAL TRIAL COUNSEL ONLY

MULE-00230

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS***
LE LIGHTING, INC.
BAKER STREET

BAKER STREET JVIDENCE, RI 02905 401-941-4446 F:941-2929 SUBTOTAL: 245.00 TAX: 0.00 PAYMENTS 0.100 TOTAL: 243.00 <u> UNE EMERGENOY LIGHTING</u>

46 BAKER STREET; PROVIDENCE; Rl. 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 101525

Source:

INVOICE DATE: 10/28/03 On den 101525

PAGE: 1

HARRAH'S LAU HOTEL AND CASINO-ATTN:ACCTS PAYABLE 2900 SOUTH CASINO DRIVE LAUGHLIN, NY

VIDENCE, RI 02905 401-941-4446 E:941-

SHIP HARRAH'S LAU HOTEL AND TO: CASINO-ATTN:BOB!NEFF: 2900 BOUTH:CASING DRIVE LAUGHLIN; NV:

SHIP VIA:

89029

SHIP DATE: 10/28/03 DUE DATE: 11/27/03 TERMS: 30 CUST ID: 13465 P.O. NUMBER: 00072742 P.O. DATE: 09704/03

OUR ORDER NO.:

SALESPERSON: LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	Ţ)
9100 -A-120-FR:WP-S 9 LED'S	1400.00	1-7, 1400 200°	EACH	21::5000	30100.00	WE.
	THE	AL STATE		CONFIDE! TRIAL COI ONL	JNSEL	
a section						
				N	IULE-00231	
LE HAS MOVED: LIGHTING: ING KER STREET	PLEASE, NOTE N	EW:ADDRESS**	*	SUBTOTAL: TAX: PAYMENTS	30100 00 20100 00 30100 00	

1ULE EMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 ⋅ FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 102428

Source:

INVOICE DATE: 11/18/03 Onder 102428

PAGE: 1.

DUNITED STATES ENRICHMENT CORP-ATN'ACCOUNTS PAYABLE P.O.BOX 628, MS-6006 PIKETON, OH. 45661 SHP UNITED STATES ENRICHMENT. TO CORPENTN: JEN BROWN 5600 HÜBBS RÜAU RADDUCAH, KY: 42001

SHIP VIA:

SHIP DATE: 11/18/03 DUE DATE: 12/18/03 TERMS: 30 CUST. ID. 28972 P.O. NUMBER 650742 P.O. DATE: 10/29/03

OUR ORDER NO.:
SALESPERSON: LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET TX
949416WP ED-R-120-FR-WP	24.00	24.00	EACH	11910000	432,00 E

FED-EX USING ACCT# 1138-1886-7

CONFIDENTIAL: TRIAL COUNSEL ONLY

MULE-00232

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** E LIGHTING; INC. BAKER STREET

VIDENCE, RT 02905 401-941-4446 F5941-2929 1

SUBTOTAL: 4.5 Z ... 00 -... 0 ... 00 ... 0 ... 00 ... 0 ... 0 ... 00 ... 0 ...

中的人们并不是自己的人们的

ULE EMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 102650

Source: INVOICE DATÉ: 11/18/03 Order 102650

PAGE: 1

UNITED STATES ENRICHMENT CORP-ATN: ACCOUNTS PAYABLE Plo:BOX 628, MS-6006 PIKETON: OH: 45661 SHP UNITED STATES ENRICHMENT TO: CORPLATH: JEN BROWN 5600: HOBBS ROAD PADDUCAH: KY

4200E

CUST ID: 28972

P.O. NUMBER: 650742-ADD P.O. DATE: 11/12/03

OUR ORDER NO.

SALESPERSON: (LED

ITEM I.D./DESC. ORDERED SHIPPED UNIT PRICE NET TX
25416WP 50:00 50:00 EACH 18:0000 (1900:00 EACH)

D-R-120-FR-WP

SHIP VIA: ()

SHIP DATE: 11/18/03

DUE DATE: 12/18/03

TERMS: 30

FEDEEX USING ACCT# 1138-1836-7

CONFIDENTIAL TRIAL COUNSEL ONLY

MULE-00233

YULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** E LIGHTING, INC. BAKER STREET

VIDENÇE, RI 02905 401-941-4446 E:941-2929

SUBTOTAL:
TAX:
PAYMENTS

900.00 0.00 0.00 900.00 LEEMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 100894

Source:

INVOICE DATE: 07/28/03 015der: 100894

PAGE....1

UNITED STATES ENRICHMENT

CORP-ATN: ACCOUNTS PAYABLE

P: 0.80X+628;M\$-6006+

PIKETON, OH:

45661

UNITED STATES ENRICHMENT SHIP

CORR-5600 HOBBS ROAD

FADUCAH, KY.

42001 ...

CUST: ID.: 28972

P.O. NUMBER: 645923

P.O.DATE: 07/28/03

OUR ORDER NO.:

SALESPERSON: LED.

SHIP VIA: C

SHIP DATE: 0.7/28/03

DUE DATE: 08/27/03

TERMS: 50

ITEM I.D./DESC.	ORDERE	D .	SHIPPED	UNIT	PR	ICE	NET	ΤX
							Entire to the local and appropriately	envenien Wernsansenes
949150WP	開始時間である	00	้าง ใหก้ กละ เกลา	PARH	18	0000	216	3:00 E
· "我们的","我们是我们就是对你的人的人的,我们就会没有的。""我们的,我们们不会会看到这一个人的。"								
ED-R-120-FR-WP	建筑和农业中的 基		是对于"是是是是对这个					
man that we have the man from the first the fi	THOOLERS	TOPE	MOOR	100	自己各种产品 對於	17/2014 Telephone		[54] (1) (4) (4) (4) (5)

*TO:

P VIA UPS FREIGHT COLLECT & CH

TOMER'S UPS ACCOUNT #448-137

CONFIDENTIAL. TRIAL COUNSEL ONLY

MULE-00234

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** ELIGHTING, INC.

BAKER STREET

WIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL: TAX: PAYMENTS TOTAL:

2160.00 OI OO 0.00 2160.00

46 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 FAX: (401) 941-2929 www.mulelighting.com

INVOICE &

INVOICE NUMBER: 100155

Source:

INVOICE DATE: 06/10/03: 01der 100155

PAGE: L

UNITED STATES ENRICHMENT CORP-ATNEACCOUNTS PAYABLE P.O.BOX 628,MS-6006 PIKETON: OH:

45661

U.S.ENRICHMENT CORP. SHIP TO: 5600 HOBBS ROAD

PADUCAH, KY.

42001

SHIP VIA: C SHIP DATE: 06/10/03 DUE DATE: 07/10/03

CUST. ID:: 28972 P.O. NUMBER: 643691 P.O. DATE: 06/10/03

OUR ORDER NO.:

SALESPERSON: LED.

TERMS: 30				LuU		<u>439 F.</u> Deleva
Grand Colonia State Colonia (Section 1)						
ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET	TX
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	5 (2 1346) 5 (2 1346)					
				MU	LE-00235	

*MULE HAS MOVED, PLEASE NOTE NEW ADDRESS** LE LIGHTING; INC. BAKER STREET OVIDENCE: RI 02905 401-941-4446

46:00 0:00 SUBTOTAL: ∌ TAX:^ ំ ០៖ ចុច PAYMENTS 46.00 TOTAL:

LE EMERGENCY LIGHTING

BAKER'STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 098205

INVOICE DATE: 02/28/03 Orcler 098205

PAGE: 1

VOSS LIGHTING-BILLING

P20. BOX 22159

LINCOLN, NE.

68542

VOSS LIGHTING SHIP

11420 FERRELL, STE. Joa DALLAS, TX TO:

75234-

SHIP VIA: B

SHIP DATE: 02/28/03

DUE DATE: 03/30/03

TERMS: 30

31710 CUST. JD.:

P.O. NUMBER: 4044134-MUE

P.O. DATE: 02/13/03

OUR ORDER NO.:

SALESPERSON: LED

ITEM I.D./DESC.	ORDERED	SHIPPED	UNIT	PRICE	NET
2800 -WW-120-CL	1/200	1.00	EACH:**	12:2500	学。""过2)"等影响自
P S OD -WW-120-FR	1.00	1.90	EACH	12.2500	12-25 E
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			V	ON	LY
				MU	LE-00236
raditing, INC.	PLEASE NOTE NEW	ADDRESS***		SUBTOTAL:	36 35 0300
R STREET NCE, RI 0290	5 401-941-4446	E:941-2929		PAYMENTS TOTAL:	0.00 30.35

LE EMERGENCY LIGHTING

46 BAKER STREET; PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com?

INVOICE : 1

INVOICE NUMBER: 098639

Source:

INVOICE DATE: 03/10/03 Order: 098639

PAGE: L

STANDARD LIGHTING DIST. 4141-A BARRINGER DRIVE CHARLOTTE, N.C. 28217

STANDARD LIGHTING DIST. 414L-A. BARRINGER DRIVE TO: CHARLOITE, N.C.

28217

SHIP VIA: B

SHIP DATE: 03/10/03 DUE DATE: 04/09/03

TERMS: 30

CUST. ID. 26468 P.O. NUMBER: 0002244 P.O. DATE: 03/10/63 OUR ORDER NO.

SALESPERSON:

ITEM I.D./DESC.	ORDERED	SHIPPED .	UNIT	PRICE	NET
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CONFIDENTIAL TRIAL COUNSEL ONLY

MULE-00237

MULE HAS MOVED PLEASE NOTE NEW ADDRESS*** E'LIGHTING, INC. BAKER STREET

WIDENCE, RI 02905 401-941-4446 F:941-2929

SUBTOTAL TAX: **PAYMENTS** TOTAL:

181.65 0.00 0.00

181.65

ILLE EMERGENCY LIGHTING

46 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE

INVOICE NUMBER: 029215

Source:

INVOICE DATE: 04/09/03 Under: 099215

PAGE: L

STANDARD LIGHTING DIST. 4141-A BARRINGER DRIVE CHARLOTTE; N.C. 28217

SHIP TO: STANDARD LIGHTING DIST: 4141:A BARRINGER DRIVE CHARLDTTE, N.G. 28217

SHIP VIA: B

SHIP DATE: 04/09/03 DUE DATE: 05/09/03

terms: 30

cust. id : 26468

P.O. NUMBER: 0002419 P.O. DATE: 04/09/03

OUR ORDER NO.:

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ITEM I.D./DESC	ORDERED	SHIPPED	UNIT	PRICE	NET	i. T
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IGHT					5.78	E

CONFIDENTIAL: TRIAL COUNSEL ONLY

MULE-00238

MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** E LIGHTING, INC. BAKER STREET WIDENCE, RI 02905 401-941-444& F:941+2929

SUBTOTAL: 81.78
TAX: 0.00
PAYMENTS 0.00
TOTAL: 81.78

46 BAKER STREET, PROVIDENCE, RI 02905 (401) 941-4446 • FAX: (401) 941-2929 www.mulelighting.com

INVOICE (5.47)

INVOICE NUMBER: 100149

Source:

INVOICE DATE: 06/10/03 | 01 der 100149

PAGE: L

STANDARD LIGHTING DIST. 1026 JAY STREET CHARLOTTE, N.C.

28208

STANDARD LIGHTING DIST: TO:

1026 JAY STREET CHARLOTTE, N.C.

28208F

SHIP VIA: A

SHIP DATE: 06/10/03 DUE DATE: 07/10/03 TERMS: 30

CUST. ID. 26468 P.O. NUMBER: 0002763 08/10/03

P.O. DATE: OUR ORDER NO.

SALESPERSON:

ITEM I.D./DESC. ORDERED		SHIPPED	TINU	PRICE		NET	TX
949400EN 207.00		207,100	EAC)	22 Jc	οď	4657.50	
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CIAL ORDER, LAMPS ARE NON-RETURNABLE.

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CONFIDENTIAL TRIAL COUNSEL ONLY

MULE-00239

*MULE HAS MOVED, PLEASE NOTE NEW ADDRESS*** _E LIGHTING, INC.

BAKER STREET

OVIDENCE, RI 02905 401-941-4446 F:941

SUBTOTAL: · //TAX: **PAYMENTS** TOTAL:

4657,50 ::0:00 01.00

2200.00 + 4600.00 + 6000.00 + 5000.00 + 1000.00 + 3300.00 + 3000.00 + 3000.00 + 1000.0

MULE-00240

200-00 + 300-00 + 130-00 + 150-00 + 4300-00 + MULE LIGHTING, INC. Inventory Control Year To Date Report

14

PEPARTHENT: 325

ITEN TYPE: P

Exclusion Date: 08/31/04

	P.	DEDOCTOTIO		SALES	COST OF GOO		MARG	•	AVG SELLIN
ITEN #	C 	DESCRIPTION	CTINU	ANOUNT	THUOHA	* SALES	AMOUNT	\$ SALES	PRICE
17426NSC	A	NSC#62036975 ST26GL2	12.00	217.68	126.00	57.88	91.68	42.12	18.14
17532USC		MSC#62036801 2ST-32	103.00	1672.72	1158.75	69.27	513.97	30.73	16.24
8012		(2)LED LAMPS-CS	9.00	134.00	90.00	67.16	44.00	72.84	14.88
18015		(2)LED LAMPS-UN-WHT.	698.00	21993.00	6072.60	27.61	15920.40	72.39	31.50
8025		LED LAMPS-HB	23.00	407.00	207.00	50.86	200.00	49.14	17.69
8100		(2)LED LAMPS-HW W/BR	1735.00	22874.85	9716.00	42.47	13158.85	57.53	13.16
82008		QUICK-FIT ON(2)LAMPS	243.00	2486.80	959.85	38.60	1526.95	61.40	10.2
82008P		QUICK FIT BULBS WIV	4336.00	50085.80	28184.00	56.27	21901.80	43.73	11.5
82008PMSC		MSC62400841 9482008		4240.00	2064.00	48.68	2176.00	51.32	13.2
8300BP		SHORT QUICK-FIT-UN	341,00	3753.10	2465	65.70	1287.15	34.30	11.3
8402		(2)LED LAMPS-CS-GRN.	36.00	838.00	459.20	52.41	398.80	47.59	23.2
8402HW		GRN-HW.LED KIT W/BRK	120.00	2411.00	1560.00	64.70	851.00	35.30	20.0
8450		(2) LED LAMPS-UN-GRM	341.00	7000 70	4092.00	58.45	2908.70	41.55	20.5
9110		MR16-WHITE	31.00	1043.00	726.95	69.70	316.05	30.30	33.6
9120		SPOT-WHITE	69.00	2422.00	1797.45	74.21	624.55	25.79	35.1
9130		FASTOON-WHITE	80.00	2487.00	1667.20	67.04	819.80	32.96	31.0
9150WP		LILOOO2-R-WP.	50.00	950.00	197.50	20.79	752.50	79.21	19.0
9221		R50-G-120-CL	1.00	16.45	8.50	51.67	7.95	48.33	16.4
9251		R50-CW-120-CL	2.00	41.00	17.00	41:46	24.00	58.54	20.5
9260		R50-NN-120-CL	4.00	81.60	40.00		41.60	50.98	
310		LDL-R-120-FR				49.02			20.4
9311		LDL-R-120-CL	12.00	152.00 255.00	23.40	15.39	128.60	84.61	12.6
9320		LOL-R-120-FR	30.00		58.50	22.94	196.50	17.06	8.5
9330		LOL-A-120-FR	2.00	40.00	17.00	42.50	23.00	57.50	20.0
7330 9331	_	LDL-A-120-CL	4.00	40.00	10.00	25.00	30.00	75.00	10.0
9350		•	8.00	53.50	20.00	37.38	33.50	62.62	6.6
9351		LDL-CW-120-FR	36.00	677.00	360.00	53.18	317.00	46.82	18.8
7360		LDL-CW-120-CL	543.00	7296.40	4344.00	59.54	2952.40	40.46	13.
		LDL-WW-120-FR	11.00	242.00	93.50	38.64	148.50	61.36	22.0
9410		LED-R-120-FR	69.00	937.90	345.00	36.78	592.90	63.22	13.5
9410MP		LED-R-120-FR-NP	365.00	6570.00	1441.75	21.94	5128.25	78.06	18.0
9411		LED-R-120-CL	1.00	16.00	5.00	31.25	11.00	68.75	16.0
9413		LED-R-24-FR	15.00	300.00	180.00	60.00	120.00	40.00	20.0
7420		LED-G-120-FR	75.00	1618.30	900.00	55.61	718.30	44.39	21.5
3430		LED-A-120-FR	14.00	4 16.00	37.10	8.92	378.90	91.08	29.7
1431		LED-A-120-CL	• 1.00		5.20	36.11	9.20	63.89	14.4
1440		LED-8-120-FR	3.00	3 82.00	36.00	43.90	46.00	56.10	27.3
450		LED-CN-120-FR	63.00	2016.00	315.00	15.63	1701.00	84.38	32.0
451			48.00	1805.00	0.48	0.03	1804.52	99.97	37.6
470 4 61	AI	LED-CN-277-FR	3 1.00	23.50	14.00	59.57	9.50	40.43	23.5
מטנדי		LED-CN-277-FR-24	1.00	38.00	24.00	63.16	14.00	36.84	38.0
460	'- A (LED-NN-120-FR	17.00	441.00	0.17	0.04	440.83	99.96	25.9
461		LED-WW-120-CL	12.00	288.00	144.00	50.00	440.83	50.00	24.0
W.	A	R63-R-120-CL	1.00	12.50	2.10	16.80	10.40	83.20	12.5
521	A	R63-G-120-CL	1.00	15.45	4.20	27.18	11.25	72.82	15.4
531	A	105-A-120-CL-NP	1.00	12.50	2.10	16.80	10.40	93.20	12.5
550	A	R63-CW-120-FR	46.00	835.50	460.00	55.06	325,50	44.94	18.1
551		R63-CW-120-CL	50-00	661.40	255.00_	19.55	406.40	61.45	22.0
560		163-WW-120-FR	59.00	994-00	501.50	50.45	492.50	49.55	16.8
561		163-WW-120-CL	13.00	251.00	110.30	17.22	123.50	52.78	18.0

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Thomas Rankin Associates

A Marketing Communications Company



Invoice

Thomas Rankin Associates, Inc. 5853 Post Rd #205
East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

5806 06/28/02 46019

Page:

1

Agency Contact: Lori Backman Description: Billing

Job/Description

Amount

Spec - PR Services (7259)

Develop and implement PR program as outlined in April 2002 Proposal and according to the terms in the Letter of Agreement signed April 19, 2002.

Public Relations Services Fee Sub-total:

\$ 3,000.00 \$ 3,000.00

7259 TOTAL:

Photography

\$ 3,000.00

NR - LEDison® Bulbs (7265)

Distribution and out-of-pocket costs for news release. Development costs covered by PR Services (Job #7259)

Electronic Scanning & Retouching Production Sub-total:

Cuantity Photo Prints
Out-of-Pocket Expenses

Out-of-Pocket Expenses Sub-total:

\$ 338.00 \$ 58.50 \$ 396.50

\$ 162.00 \$ 379.59 \$ 561.59

7265 TOTAL:

958.09

NR - G45™ Bulbs (7266)

Distribution and out-of-pocket costs for news release. Development costs covered by PR Services (Job #7259)

Out-of-Pocket Expenses
Out-of-Pocket Expenses Sub-total:

\$ 35.00 \$ 35.00

.

7266 TOTAL:

\$ 35.00

Last Greenwich HI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940

Number Date PO#

5806 06/28/02 46019

Page:

2

Agency Contact: Lori Backman Description:

Billing

Job/Description

Amount

NR - Marine Applications (7289)

Distribution and out-of-pocket costs for news release. Development costs covered by PR Services (Job #7259)

Out-of-Pocket Expenses

\$35.00

Out-of-Pocket Expenses Sub-total:

\$ 35.00

7289 TOTAL:

\$ 35.00

CHF - Customer #1 (7290)

Out-of-pocket costs for case history featurette. Development costs covered by PR Services (Job #7259)

Out-of-Pocket Expenses

Out-of-Pocket Expenses Sub-total:

\$35.00

\$ 35.00

\$ 35.00

CHF - Customer #2 (7291)

Out-of-pocket costs for case history featurette. Development costs covered by PR Services (Job #7259)

Out-of-Pocket Expenses

Out-of-Pocket Expenses Sub-total:

\$35.00

\$ 35.00

7291 TOTAL:

\$ 35.00

Cat - Mule Lighting Catalog 2002 (7307)

Edit, lay out and produce catalog using existing files and input provided. Design new catalog cover. Coordinate and execute product photography of props provided. Specify and coordinate printing.

Project Management

Agency Services Sub-total:

\$ 156.25 *

\$ 156.25

Invoice

Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

5806 06/28/02 46019

\$ 4,300.28

Page:

3

Agency Contact: Lori Backman Description: Billing

Job/Description Amount Out-of-Pocket Expenses \$ 35.00 Out-of-Pocket Expenses Sub-total: \$ 35.00 191.25 **SUB-TOTAL:** \$ 4,289.34 17.0000% Sales Tax: \$ 10.94 TOTAL:

PAYMENT TERMS: 30 days

Invoice

Thomas Rankin Associates, Inc. 5853 Post Rd #205
East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

Page:

5831 08/01/02

2

Agency Contact: Lori Backman Description: Billing

Job/Description

Amount

Cat - Mule Lighting Catalog 2002 (7307)

Edit, lay out and produce catalog using existing files and input provided. Design new catalog cover. Coordinate and execute product photography of props provided. Specify and coordinate printing.

Project Management \$ 312.50 * **Administrative Services** \$ 120.00 * Agency Services Sub-total: \$ 432.50 Photography \$ 338.00 * Retouching \$44.20 **Production Sub-total:** \$ 382.20 **Electronic Scanning** \$58.50 * Out-of-Pocket Expenses Sub-total: \$ 58.50

7307 TOTAL:

\$ 873.20

Brochure - LED Bulbs & Fixtures (7324)

Develop, design, produce and print one 6-page (gatefold), 2-sided, 4/4 brochure.

Project Management
Agency Services Sub-total:
Out-of-Pocket Expenses
Out-of-Pocket Expenses Sub-total:

\$ 187.50 * **187.50**

\$ 35.00 **\$ 35.00**

7324 TOTAL:

\$ 222.50

A Marketing Communications Company



		Invoice
Thomas Rankin Associates, Inc.		
5853 Post Rd #205		
East Greenwich RI 02818		
Rob Cross	Number	587
Mule Lighting, Inc.	Date	09/03/02
46 Baker Street Providence, RI 02940	PO#	-
Tiovoetice, ni 02940	Page:	-
Agency Contact: Lori Backman		
Description: Billing		
Job/Description		Amount
		Amount
Spec - PR Services (7259) PO #46019:Advance minimum monthly retainer (\$3,36 incurred to date: develop and implement PR program a and according to the terms in the Letter of Agreement	account 2002 from in benilture	
Public Relations Services		¢ 3 300 o
Fee Sub-total:		\$ 3,300.00 \$ 3,300.0 0
		+ 0,000.00
		\$ 3,300.00
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and and additional input provided. Coordinate and execute	ord proof conversion assisting the	\$ 3,300.00
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute	ord proof conversion assisting the	\$ 3,300.00
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit an and additional input provided. Coordinate and execute provided. Specify and coordinate printing.	ord proof conversion assisting the	
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services	ord proof conversion assisting the	\$ 897.50
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services	ord proof conversion assisting the	\$ 897.50 \$ 15.00
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total:	ord proof conversion assisting the	\$ 897.50 \$ 15.00
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total:	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Production Sub-total:	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50 \$ 2,062.90
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Production Sub-total:	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50 \$ 2,062.90
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Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Sub-total: Out-of-Pocket Expenses Sub-total:	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50 \$ 2,062.90
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit an and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Sub-total: Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total:	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Production Production Sub-total: Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total:	ord proof conversion assisting the	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50 \$ 2,062.90 \$ 11.68 \$ 11.68
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Production Production Sub-total: Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total:	nd proof copy using existing files product photography of props	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50 \$ 2,062.90 \$ 11.68 \$ 11.68
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Production Production Sub-total: Out-of-Pocket Expenses Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total: 7307 TOTAL: Brochure - LED Bulbs & Fixtures (7324) Develop, design, produce and print one 6-page (gatefoliopywriting	nd proof copy using existing files product photography of props	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50 \$ 2,062.90 \$ 11.68 \$ 11.68
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Production Sub-total: Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total: 2307 TOTAL: Brochure - LED Bulbs & Fixtures (7324) Develop, design, produce and print one 6-page (gatefoliopywriting project Management	nd proof copy using existing files product photography of props	\$ 11.68 \$ 2,987.08 \$ 160.00
Cat - Mule Lighting Catalog 2002 (7307) Design, lay out and produce catalog pages and edit and additional input provided. Coordinate and execute provided. Specify and coordinate printing. Project Management Administrative Services Agency Services Sub-total: Design Photography Production Production Production Sub-total: Out-of-Pocket Expenses Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total: 7307 TOTAL: Brochure - LED Bulbs & Fixtures (7324) Develop, design, produce and print one 6-page (gatefoliopywriting	d proof copy using existing files product photography of props d), 2-sided, 4/4 brochure.	\$ 897.50 \$ 15.00 \$ 912.50 \$ 701.40 \$ 364.00 \$ 997.50 \$ 2,062.90 \$ 11.68 \$ 11.68 \$ 2,987.08

Invoice

\$ 468.00 * \$ 468.00

\$ 234.00 * **234.00**

	•	
homas Rankin Associates, Inc.		
853 Post Rd #205		
ast Greenwich RI 02818		
ast Greenwich in 02010		5004
	Number	5894
lob Cross	Date	10/01/02
Mule Lighting, Inc.	PO#	
6 Baker Street		_ 4
Providence, RI 02940	Page:	2
Dool Dool Dool Dool		
Agency Contact: Lori Backman Backman Billing		
escription: Billing		
		A
lob/Description		Amount
Ob/Description		
		\$ 232.00
7268 TOTAL:		4 202.00
1200 1317C		OMESTICAL CONTRACTOR
Cat - Mule Lighting Catalog (7307)		
provided. Specify and coordinate printing. Project Management		\$ 2,401.75 \$ 996.00
Copywriting & editing		\$ 540.00
Proofreading		\$ 1,035.00
Administrative Services		\$ 4,972.75
Agency Services Sub-total:		
		\$ 1,872.00
Photography		\$ 183.70
Production		\$ 2,055.70
Production Sub-total:		
o Determina		\$ 117.00
Electronic Scanning & Retouching		\$ 1,053.00
Electronic Scanning & Retouching		\$ 1,170.00
Out-of-Pocket Expenses Sub-total:		
and the second s		\$ 8,198.4
7307 TOTAL:	7	> 0,130.4
1307 101AL.		
Brochure - LED Bulbs & Fixtures (7324)	Id) 2-sided 4/4 hrochure.	
Develop, design, produce and print one 6-page (gatefo	iu), ₄ -3iucu, 4-1 01001013.	·
		\$ 135.0
Administrative Services		
Copywriting		
Durity at Management		\$ 710.0
Project Management		\$ 710.0 \$ 226.7
Project Management Agency Services Sub-total:		\$ 710.0

Photography Production Sub-total:

---- Cub-total:

Electronic Scanning

homas Rankin Associates



A Marketing Communications Company

Invoice

Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

5917 11/01/02 46019

Page:

1

Agency Contact: Lori Backman

Description:

Billing

Job/Description

Amount

NR - FlameTip™ Bulbs (7268)

Distribution and out-of-pocket costs for news release. Development costs covered by PR Services (Job #7259)

Quantity Photo Prints Out-of-Pocket Expenses Electronic Scanning Out-of-Pocket Expenses Sub-total: \$ 104.00 \$ 405.99

\$ 127.00 636.99

7268 TOTAL:

CHF - Electrical/Elec Contract& Eng News (7290)

Out-of-pocket costs for case history featurette. Development costs covered by PR

Services (Job #7259)

\$ 156.25

Electronic Scanning Out-of-Pocket Expenses Sub-total: 156.25

Cat - Mule Lighting Catalog (7307)

Design, lay out and produce catalog pages and edit and proof copy using existing files and additional input provided. Coordinate and execute product photography of props provided. Specify and coordinate printing.

Project Management Copywriting & editing **Proofreading Administrative Services** Agency Services Sub-total: \$ 4.281.25 \$ 665.00 \$ 1,755.00 \$ 525.00

7,226.25

Photography Production

\$ 149.50 \$6,134.75 *

Production Sub-total:

6,284.25

Electronic Scanning

\$ 351.00 4

Invoice

Thomas Rankin Associates, Inc. 5853 Post Rd #205
East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

5917 11/01/02 46019

Amount

Page:

2

Agency Contact: Lori Backman 🦿

Description:

Billing

Job/Description
Out-of-Pocket Expenses Sub-total: \$ 351.00

7307 TOTAL: \$ 13,861.50

Brochure - LED Bulbs & Fixtures (7324)
Develop, design, produce and print one 6-page (gatefold), 2-sided, 4/4 brochure.

Project Management
Agency Services Sub-total:

\$ 190.50 * **190.50**

7324: TOTAL: \$ 190.50

SUB-TOTAL:

\$ 14,845.24

*7.0000% Sales Tax:

\$ 983.64

TOTAL:

\$ 15,828.88

PAYMENT TERMS: 30 days

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Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

5978 01/02/03 46019

Page:

1

Agency Contact: Lori Backman

Description:

Billing

Job/Description

Amount

NR - R63™ Bulbs (7267)

Distribution and out-of-pocket costs for news release. Development costs covered by PR Services (Job #7259)

Quantity Photo Prints Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total:

\$ 104.00 \$ 363.05 467.05

NR - dynaLUX Line of LED Bulbs (7396)

Distribution and out-of-pocket costs for news release. Development costs covered by PR Services (Job #7259)

Quantity Photo Prints Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total:

\$ 104.00 \$ 447.91 551.91

TOTAL:

1,018.96

PAYMENT TERMS: 30 days

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Invoice

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Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

6014 04/01/03 46019

Page:

1

Agency Contact: Lori Backman

Description:

Billing

Job/Description

Amount

ANR - LEDison Mail Applications (7292)

Out-of-pocket costs for application news release. Development costs covered by PR Services (Job #7259)

Out-of-Pocket Expenses

Out-of-Pocket Expenses Sub-total:

\$ 35.00

35.00

7292 TOTAL:

PR Services - Edit Support (7492)

March Item 1: Contact with new editor at LD+A. Electronically submitted materials for "Up to Code?" Executive News Release. Identified additional artwork and developed photo captions for submission. Provided editorial support for scheduled inclusion in LD+A's June issue on safety and emergency lighting. Approved budget \$125 - 190.

Copywriting

Account/Project Management Agency Services Sub-total: \$ 30.00

\$ 63.50

93.50

7492 TOTAL:

ENR - Safety: Going Beyond Code (7500)

From input provided, draft a consumer oriented news release from safety and exit lighting experts at Mule Lighting. To be distributed over the news wire and to existing trade list. Approved budget for development: \$800 - 1200 - Actual billed: \$1020.50 Approved budget for Business Wire: \$1200 -- Actual billed: \$1195.00

Quantity Photo Prints Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total:

\$ 104.00

\$ 474.52

578.52

7500 TOTAL:



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Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

6023 05/01/03 46019

Page:

Agency Contact: Lori Backman

Description:

Billing

Job/Descrip@on

Amount

ANR - LEDison Mall Applications (7292)

Out-of-pocket costs for application news release. Development costs covered by PR Services (Job #7259). Release mailed 4/22/03.

Quantity Photo Prints Out-of-Pocket Expenses

\$ 104.00

\$ 454.65

Out-of-Pocket Expenses Sub-total:

558.65

TOTAL:

\$ 558.65

PAYMENT TERMS:

30 days

Terms 30 days net. A finance charge of 1.5% per month may be applied to all unpaid balances for each additional thirty day period or fraction thereof.



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Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

6042 07/01/03

Page:

Agency Contact: Lori Backman

Description:

Billing

Amount

Job/Description

Prod - Digital Artwork (7486)

Printed five hundred (500) color copies of Product of the Month pdf from EC&M. Digitally re-placed photo with high res version at TRA. Pdf was not created with fonts included; TRA had to identify and reformat with appropriate font. Approved budget=\$450.

Color, B&W output

Out-of-Pocket Expenses Sub-total:

\$ 475.00

475.00

7486 TOTAL:

NR - KES Series (7532)

Develop and distribute news release from information provided, using photo from catalog. Approved budget: \$800 - \$1200.

Account/Project Management Copywriting Administrative Services Agency Services Sub-total:

\$ 63.50 \$ 60.00

\$67.50

Quantity Photo Prints

191.00

Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total: \$ 104.00 \$ 447.69

551.69

SUB-TOTAL:

1,217.69

*7.0000% Sales Tax:

\$ 33.25

TOTAL:

1,250.94

PAYMENT TERMS:

30 days

Terms 30 days net. A finance charge of 1.5% per month may be applied to all unpaid balances for each additional thirty day period or fraction thereof.

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Thomas Rankin Associates, Inc. 5853 Post Rd #205
East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940

Account Executive or Backman Description: Billing

ON AND

Page:

Invoice

Number 6060 Date 08/01/03 PO# ---

1

Job/Description

Amount

PR Services - Edit Support (7492)

July Item 1: Edit Support for LD&A Application Piece. Edit existing application article and news release, work with Rob to provide answers to editor's questions and arrange for photography. Approved budget for project management and copyediting: \$775. Advised client of budget for photography: \$1200 plus OOP.

Administrative Services
Copywriting
Editorial Support
Account/Project Management
Agency Services Sub-total:

Photography
Production Sub-total:

Out-of-Pocket Expenses
Out-of-Pocket Expenses Sub-total:

.

\$ 22.50 \$ 35.00 \$ 127.00

\$ 603.25

\$ 787.75

\$ 1,170.00 1,170.00

\$ 97.00

\$ 97.00

7492 TOTAL:

\$₁ -2,054,75

TOTAL:

\$ 2,054.75

PAYMENT TERMS:

30 days

Terms 30 days net. A finance charge of 1.5% per month may be applied to all unpaid balances for each additional thirty day period or fraction thereof.



Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940

Agency Contact: Lori Backman

Description:

Billing

Invoice 6101 10/01/03

Number Date PO#

Page:

Job/Description

Amount

Prod - Digital Artwork (7486)

Print five hundred (500) color copies each of 1) Product of the Month pdf from EC&M and 2) Scanning the Spectrum article in LD+A Sept 03. Approved budget=\$900 for output. Also included, 1/4 hour management time.

Account Services

Agency Services Sub-total:

\$ 31.75

31.75

Color, B&W output

Out-of-Pocket Expenses Sub-total:

\$ 900.00 *

900.00

PR Services - Edit Support (7492)

September item 1: Coordinated Interview with Rob Cross and editor of Lodging Magazine;

provided editor with background editorial materials.

September item 2: Provided editorial materials to VM+SD for their upcoming issue on

September item 3: Completed and submitted Mule entry for VM+SD Buyer's Guide 2004.

Editorial Support

Agency Services Sub-total:

\$ 254.00

254.00

NR - MR-16 LED Spotlamps (7610)

Develop news release from information provided and distribute to mailing list. Post news release to MULE page in TRA pressroom, and provide high resolution color image on TRA FTP site for download.

Estimate provided and approved for \$1,149.43. Actual billing (\$1421.37) reflects the addition of original product photography, not included in the estimate. Mailed 9/18/03.

Account/Project Management Administrative Services Agency Services Sub-total:

\$ 158.75 \$80.00

238.75



Invoice

Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

6141 12/01/03

Page:

2

Account Executivé ori Backman

Description:

Billing

Job/Description

Amount

7613 TOTAL:

\$ 31.75

ANR - Mall Application Re-release (7651)

Develop new photo captions and rerelease mall application ANR. Produce HTML file with copy, photos and live links, and distribute electronically to mailing list. Post news release to MULE page in TRA pressroom, and provide high resolution color images of both on-site application and product shots on TRA FTP site for download.

Administrative Services
Copywriting
Account/Project Management
Agency Services Sub-total:

\$ 20.00 \$ 60.00

\$ 127.00 207.00

Out-of-Pocket Expenses
Out-of-Pocket Expenses Sub-total:

\$ 35.00 **\$ 35.00**

7651 TOTAL:

nn oke s

TOTAL:

\$ 1,361.43

PAYMENT TERMS:

30 days

Terms 30 days net. A finance charge of 1.5% per month may be applied to all unpaid balances for each additional thirty day period or fraction thereof.



Thomas Rankin Associates, Inc. 5853 Post Rd #205 Easl Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940

Agency Contact: Lori Backman Description:

Billing

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Invoice

Number Date PO#

Page:

6162 01/05/04

Job/Description

Amount

PR Services - Edit Support (7492)

Covers editorial support activities such as identifying, presenting and facilitating editorial opportunities, reviewing industry trade publications, and processing clips on an ongoing basis.

Administrative Services **Editorial Support** Account/Project Management Agency Services Sub-total:

\$31.75 \$ 381.00 452.75

\$40.00

7492 TOTAL:

452.75

ANR - Mall Application Re-release

Develop new photo captions and re-release mail application ANR. Produce HTML file with copy, photos and live links, and distribute electronically to mailing list. Post news release to MULE page in TRA pressroom, and provide high resolution color images of both on-site application and product shots on TRA FTP site for download.

Copywriting Account/Project Management Agency Services Sub-total:

\$ 30.00 \$ 36.25 66.25

Out-of-Pocket Expenses Out-of-Pocket Expenses Sub-total:

\$415.33 415.33

7651 TOTAL:

481.58

TOTAL:

\$ 934.33

PAYMENT TERMS: 30 days

Terms 30 days net. A finance charge of 1.5% per month may be applied to all unpaid balances for each additional thirty day period or fraction thereof.



MAR 0 4 2004

Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

6199 03/01/04

Invoice

Page:

Agency Contact: Lori Backman Description:

Billing

Job/Description

Amount

PR - PR Services 2004 (7676)

Monthly PR support services for Mule products including media research and editorial support, mailing list maintenance, PR counsel, client meetings and reporting, clipping service and reports, plus net out-of-pocket costs incurred to date.

Account/Project Management **Editorial Support** Copywriting Administrative Services Agency Services Sub-total:

\$381.00 \$ 158.75 \$30,00

\$ 202.50 772.25

7678 TOTAL:

NR - LEDelier (7682)

Develop news release from information provided. Produce electronic file with copy, photos and live links, and distribute electronically to mailing list. Post news release to MULE page in TRA pressroom, and provide high resolution color image on TRA FTP site for download.

Administrative Services Account/Project Management Agency Services Sub-total:

\$ 105.00 \$ 95.25 200.25

Out-of-Pocket Expenses

Out-of-Pocket Expenses Sub-total:

\$350.50 350.50

7682 TOTAL:

F - Harrahs Uses Amber LEDison Bulbs (7692)

Conduct interview with contact at Harrah's hotel. Develop article, identify appropriate publication, pitch story and submit article with photos.

Account/Project Management Agency Services Sub-total:

\$ 158.75 158.75



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Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number Date PO#

6228 04/02/04

Page:

1

Agency Contact: Lori Backman

Description:

Billing

Job/Description

Amount

PR - PR Services 2004 (7676)

Monthly PR support services for Mule products including media research and editorial support, mailing list maintenance, PR counsel, client meetings and reporting, clipping service and reports, plus net out-of-pocket costs incurred to date.

Account/Project Management
Editorial Support
Administrative Services
Agency Services Sub-total:

\$ 607.75 \$ 63.50 \$ 80.00

\$ 751.25

7676 TOTAL:

\$ 751.25

F - Harrahs Uses Amber LEDison Bulbs (7692)

Conduct interview with contact at Harrah's hotel. Develop article, identify appropriate publication, pitch story and submit article with photos.

Account/Project Management Agency Services Sub-total:

\$ 222.25 \$ 222.25

Out-of-Pocket Expenses

Out-of-Pocket Expenses Sub-total:

\$ 45.00 **\$ 45.00**

692 TOTAL:

NR - Model 501 Solar LED Marine Light (7716)

Develop news release. Produce electronic file with copy, photo, live links; distribute electronically to mail list. Post release to TRA pressroom; provide hi-res color image on TRA FTP site for download.

Account/Project Management Agency Services Sub-total:

\$ 95.25 **\$ 95.25**

Out-of-Pocket Expenses

\$ 45.00

Out-of-Pocket Expenses Sub-total:

\$ 45.00



Thomas Rankin Associates, Inc. 5853 Post Rd #205
East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940

Agency Contact: Lori Backman

Description:

Billing

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Invoice

Number Date PO#

6262 05/03/04

Page:

- 1

Job/Description

Amount

PR - PR Services 2004 (7676)

Monthly PR support services for Mule products including media research and editorial support, mailing list maintenance, PR counsel, client meetings and reporting, clipping service and reports, plus net out-of-pocket costs incurred to date.

Account/Project Management Editorial Support Administrative Services Agency Services Sub-total:

\$ 21.25 **\$ 910.25**

Out-of-Pocket Expenses
Out-of-Pocket Expenses Sub-total:

\$ 12.00 \$ 12.00

\$825.50

\$ 63.50

7676 TOTAL

\$ 922.25

F - Harrahs Uses Amber LEDison Bulbs (7692)

Conduct interview with contact at Harrah's hotel. Develop article, identify appropriate publication, pitch story and submit article with photos.

Account/Project Management
Agency Services Sub-total:

\$ 158.75 158.75

7600 TOTAL

\$ 158,7

NR - Line of Solar LED Marine Lights (7716)

Develop news release. Produce electronic file with copy, photo, live links; distribute electronically to mail list. Post release to TRA pressroom; provide hi-res color image on TRA FTP site for download.

Account/Project Management Agency Services Sub-total:

\$ 99.75

\$ 99.78

7716 TOTAL

\$ 99,75



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Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940

Agency Contact: Lori Backman

Description:

Billing

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Invoice

Number Date PO#

6292 06/01/04

Page:

Job/Description

Amount

PR - PR Services 2004 (7676)

Monthly PR support services for Mule products including media research and editorial support, mailing list maintenance, PR counsel, client meetings and reporting, clipping service and reports, plus net out-of-pocket costs incurred to date.

Account/Project Management **Editorial Support** Administrative Services Agency Services Sub-total:

\$612.00 \$ 285.75 \$40.00 937.75

\$ 937.75

F - Harrahs Uses Amber LEDison Bulbs (7692)

Gonduct interview with contact at Harrah's hotel. Develop article, identify appropriate publication, pitch story and submit article with photos.

Account/Project Management Agency Services Sub-total:

\$31.75 \$ 31.75

7692 TOTAL

7676 TOTAL:

NLR - Mule Catalog (7718)

Develop news release. Produce electronic file with copy, photo, live links; distribute electronically to mail list. Post release to TRA pressroom; provide hi-res color image on TRA FTP site for download. Distributed 5/24/04.

Administrative Services Copywriting Account/Project Management Agency Services Sub-total:

\$ 20.00 \$ 250.00

\$ 127.00 397.00

Out-of-Pocket Expenses

Out-of-Pocket Expenses Sub-total:

\$ 470.93 470.93

7718 TOTAL:



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Invoice

Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940 Number 6310 Date 07/01/04 PO# --

Page:

1

Agency Contact: Lori Backman

Description:

Billing

Job/Description	Hours Billed	Amount
PR - PR Services 2004 (7676) Monthly PR support services for Mule products including media support, malling list maintenance, PR counsel, client meetings service and reports, plus net out-of-pocket costs incurred to describe the costs in the costs incurred to describe the costs in the costs in the costs in t	and reporting, clipping	
Account/Project Management	4.50	\$ 571.50
Editorial Support	0.50	\$ 63.50
Administrative Services	0.25	\$ 20.00
Agency Services Sub-total:	5.25	\$ 655.00
7676 TOTAL:	* 5 +25	\$ 685:00
F - Harrahs Uses Amber LEDison Bulbs (7692 Conduct interview with contact at Harrah's hotel, Develop article with photos.		,
		\$ 31.75 \$ 31.75
Conduct interview with contact at Harrah's hotel. Develop article publication, pitch story and submit article with photos. Account/Project Management	le, identify appropriate 0.25	• • • • •
Conduct interview with contact at Harrah's hotel. Develop article publication, pitch story and submit article with photos. Account/Project Management Agency Services Sub-total:	o.25 0.25 0.25 0.25 o, live links; distribute	• • • • •
Conduct interview with contact at Harrah's hotel. Develop article publication, pitch story and submit article with photos. Account/Project Management Agency Services Sub-total: 7692 TOTAL: NR - Line of Solar LED Marine Lights (7716) Develop news release. Produce electronic file with copy, photelectronically to mail list. Post release to TRA pressroom; proving the product of the pressroom; proving the pressroom; proving the product of the pressroom; proving	o.25 0.25 0.25 0.25 o, live links; distribute	• • • • •

NR - Ever-Green Series Exit Lights (7719)

Develop news release. Produce electronic file with copy, photo, live links; distribute electronically to mail list. Post release to TRA pressroom; provide hi-res color image on



Thomas Rankin Associates, Inc. 5853 Post Rd #205 East Greenwich RI 02818

Rob Cross Mule Lighting, Inc. 46 Baker Street Providence, RI 02940

Agency Contact: Lori Backman

Description:

Billing



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Invoice

Number 6328 Date 08/02/04 PO# ---

Page:

1

Job/Description

Amount

PR - PR Services 2004 (7676)

Monthly PR support services for Mule products including media research and editorial support, mailing list maintenance, PR counsel, client meetings and reporting, plus net out-of-pocket costs incurred to date.

Highlights for July Include:

*Established Mule Lighting as a news provider on Industrialsafetytalk.com and submitted Ever-Green, Emergency Ballasts and KES Series NRs, and Safety ENR.

*Responded to article in Occupational Hazards magazine on exit lighting with "Beyond Code" article and artwork.

*Submitted Evergreen Series and Emergency Ballast news releases and photos to Industrial Equipment News for Sep issue on Worker and Plant Safety.

Account/Project Management \$ 666.75
Editorial Support \$ 222.25
Copywriting \$ 90.00
Administrative Services \$ 42.50
Agency Services Sub-total: \$ 1,021.50

7676 TOTAL:

\$ 1.021.50

F - Harrah's Uses Amber LEDison Bulbs (7692)

Conduct interview with contact at Harrah's hotel. Develop article, identify appropriate publication, pitch story and submit article with photos.

Account/Project Management Copywriting Administrative Services Agency Services Sub-total:

\$ 235.75 \$ 1,450.00 \$ 40.00 \$ 1,725.75

7692 TOTAL:

\$ 1,725.75

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		37	
McGRAW-EDISON COMPANY,		X :	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, INC.,	,	:	
		:	
	Registrant.	:	
		X	

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

December 30, 2004

Date

Roberto L. Gomez

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gdmez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		v	
McGRAW-EDISON COMPANY,			
	Petitioner,	:	
	,	:	Cancellation No. 92,042,545
v.		:	
	_	:	
MULE LIGHTING, INC.,	C.,	:	
		:	
	Registrant.	:	
		——X	

DECLARATION OF GLENN SIEGEL

Glenn Siegel declares pursuant to 37 C.F.R. §2.20 and 28 U.S.C. §1746:

- I am a Director of Marketing and Product Development at the Cooper Lighting Division ("Cooper Lighting") of Cooper Industries, Inc. Cooper Lighting's offices are located at Customer First Center, 1121 Highway 74 South, Peachtree City, Georgia 30269-3019. I submit this declaration in support of McGraw-Edison Company's motion for summary judgment in the above-identified cancellation action. I am competent to make this declaration and, except as otherwise stated, I do so based upon my personal knowledge.
- I have been employed by Cooper Lighting since 1984, and my current responsibilities include directing all marketing and brand management activities within Cooper Lighting for various brands including Edison Lighting.

- 3. Cooper Lighting is engaged in the design, development, manufacture, marketing and sale of a wide range of lighting products for commercial, residential, institutional and industrial applications. Some of the many products sold by Cooper Lighting include architectural lighting systems, recessed, track and linear lighting systems, fluorescent lighting and accessories, indoor and outdoor light fixtures, security fixtures, and emergency and exit signs. A copy of a page from Cooper Lighting's Internet website (www.cooperlighting.com/brands), which summarizes our diverse brands and products, is annexed as **Exhibit 1**.
- 4. Since approximately 1958, Cooper Lighting, McGraw-Edison Company and their predecessor companies have marketed, advertised and sold in retail, commercial and industrial channels throughout the United States a line of recessed, track and surface lighting products under the brand name "Edison" and a wide range of high performance and efficient HID fixtures for outdoor applications under the brand name "McGraw-Edison", including lighting for street and highway areas, high mast, athletic facilities, parking lots, security and flood lighting. A copy of a page from Cooper Lighting's Internet website (www.cooperlighting.com/brands/mcgraw-edison.com), showing many of the products currently marketed under the "McGraw-Edison" brand is annexed as Exhibit 2.
- 5. Cooper Lighting also manufactures, markets, advertises and sells several product lines that include light emitting diodes, or LEDs. For example, Cooper Lighting sells a line of LED traffic signals under the brand name "CooperLED", as shown in the copies of the CooperLED web pages on Cooper Lighting Internet website annexed **Exhibit 3**. Cooper Lighting also markets and sells lines of emergency lighting and

illuminated exit sign products, some of which include LED lamps, under the brand names "AtLite" and "Sure-Lites", as shown in the copies of web pages from Cooper Lightings Internet website annexed as **Exhibit 4** and **Exhibit 5**, respectively. Cooper Lighting has been selling LED exit signs since 1995.

- 6. It is my understanding that McGraw-Edison Company is the successor to Thomas Edison, the inventor of the light bulb, and his company, and is the owner of the trademarks EDISON, THOMAS A. EDISON (in word and logo formats) and McGRAW-EDISON as applied to a diverse line of electronic products, including electric lighting fixtures, as well as rights of publicity in the name and persona of Thomas Edison. It is my further understanding that McGraw-Edison is a wholly-owned subsidiary of Cooper Industries, Inc., that has now been merged into Cooper Industries, Inc. Cooper Lighting's "Edison" and "McGraw-Edison" brands of lighting systems therefore have ties to the famed inventor.
- 7. The "Edison" and "McGraw-Edison" brands of lighting systems have been used by Cooper Lighting and/or McGraw-Edison, its predecessors and successors, continuously from their first introduction to the marketplace. Sales of products under the "Edison" and "McGraw-Edison" trademarks by Cooper Lighting and McGraw-Edison over just the last 10 years have totaled hundreds of millions of dollars. Cooper Lighting's combined sales of lighting fixtures under the "Edison" and "McGraw-Edison" brands on an annual basis are presently in excess of 20 million dollars. Over a period of the last eight years, Cooper Lighting's sales of "Edison" brand lighting systems have totaled in excess of 12 million dollars.

- 8. Cooper Lighting and its predecessors have always prominently displayed their "Edison" and "McGraw-Edison" brands on packaging and advertising for their products. In fact, in the mid-1980's, the "Edison" name was part of McGraw-Edison Company's trade name Edison Lighting. Examples of Edison Lighting's prominent use of the "Edison" brand in the mid-1980's are annexed as **Exhibit 6**. The McGraw-Edison brand is prominently displayed at Cooper Lighting's website, shown in **Exhibits 1** and **2**.
- 9. Cooper Lighting has continued to prominently display the "Edison" brand on its packaging in recent years. Attached as **Exhibit 7** are copies of representative packaging for several of Cooper Lighting's "Edison" brand track lights. Cooper Lighting has always endeavored to sell and distribute high quality products under the "Edison" trademark. Attached as **Exhibit 8** is a brochure showing the full line of "Edison" brand lighting products currently available from Cooper Lighting.
- 10. The retail channels through which Cooper Lighting markets and sells its "Edison" brand of lighting systems include electrical wholesalers and distributors, Do-It-Yourself stores, and large consumer outlets such as Ace Hardware and Home Depot.

 Annexed as **Exhibit 9** are representative photographs showing Cooper Lighting's "Edison" brand lighting system currently on display in a Home Depot in California.
- 11. Cooper Lighting markets and advertises its "Edison" and "McGraw-Edison" brand lighting systems in national markets, and has received coverage in marketing by large consumer outlets, such as Home Depot. All such advertising and promotion of Cooper Lighting's "Edison" and "McGraw-Edison" brand lighting products is created to maintain the high stature associated with the name and heritage of Thomas Edison. Over the past decade, Cooper Lighting has expended in excess of 1 million

dollars in advertising to market and promote its "Edison" and "McGraw-Edison" brand lighting products through catalogs, brochures, point-of-sale displays, co-op advertising, and attending tradeshows throughout the country.

- 12. As a result of this extensive use over the past several decades, the "Edison" and "McGraw-Edison" brands are well recognized among electrical lighting products, and have become valuable properties.
- 13. In recognition of the strong brand equity and value of the "Edison" and "McGraw-Edison" brands, Cooper Lighting is expanding its use of the "Edison" brand for other products, such as commercial downlighting products, that will be distributed through both the retail and commercial/industrial channels. The expanded use of the "Edison" brand into the commercial/industrial channels will add value to this brand. Cooper Lighting's planned expansion of the "Edison" brand is tied to the development of new downlighting products, which is currently in progress. The anticipated commercialization date for these new "Edison" brand products is the fourth quarter of 2005. During the interim period, Cooper Lighting is continuing to market other lighting products under the "Edison" brand to the retail market.
- 14. Cooper Lighting also has plans to expand its use of the "Edison" brand to other lighting products.
- 15. I am informed that the registrant in this action is using the term "LEDISON" in connection with its marketing and sale of LED light bulbs. I have reviewed registrant's "LEDISON" LED light bulbs at its website, www.mulelighting.com. It is clear that registrant's use of the mark "LEDISON" associates its LED light bulbs with the name and heritage of Thomas Edison, particularly

in view of the fact that Thomas Edison invented the light bulb. It is therefore my belief that consumers will identify registrant's company with Thomas Edison and his commercial legacy and/or Cooper Lighting.

16. I am further informed that registrant markets its "LEDISON' light bulbs to electrical distributors and wholesalers, which falls squarely within the consumer base targeted by Cooper Lighting for its "Edison" brand products. As such, it is my belief that registrant's "LEDISON" light bulbs will at least initially mislead consumers to believe that Cooper Lighting has sponsored or approved of registrant's use of the "Edison" name, thereby damaging the established reputation and goodwill associated with Cooper Lighting's "Edison" and "McGraw-Edison" brand products.

Pursuant to the provisions of 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

Executed on the 10 day of December 2004.

GLENN SIEGE

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **DECLARATION OF GLENN SIEGEL** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L. Gonf

Language/Idioma 🕃

5olutions

Customers

Brands

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The SOURCE

Energy ReSOURCE

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Where to Buy

Career Opportunities

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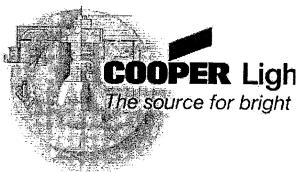
SEARCH AND IN CLASSIC CONTROL

What's New at Cooper Lighting









Cooper Lighting On-Line Premium Merchandise Calalog What's i

Metalux F
 Bay

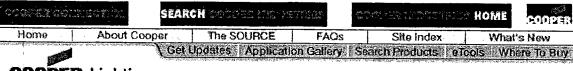
Sales Ag

⊗ How are





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COOPER Lighting

Customers //

Solutions

Customers

Lighting Professionals Distributors Sales Agents Contractors Home Owners National Accounts

International Accounts

A Site Tailored to Your Needs

As a Cooper Lighting customer, you belong to a diverse group. The agents and distributors who bring our products to market differ substantially in their needs from the contractors and lighting professionals who convert wires and hardware into lighting designs. Homeowners will make lighting decisions that directly impact their lives on a daily basis.

Brands



Dedicated to being the leading provider of innovative, high-quality lighting fixtures for retail, commercial and industrial markets, <u>National Accounts</u> is powered by the largest team in the industry and offers customized programs to meet your specific design and construction requirements.

In recognition of your diversity, we've tailored the Customers area of Cooperlighting.com to support your specific needs. Each Customer's area presents a range of online tools and information designed to improve the level of service Cooper Lighting brings to our customers.



Visit your personal customer area to see what Cooper Lighting has to offer you. If you have suggestions for how we can improve your area, please <u>Talk to Us</u>.

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राजान

Solutions

Customers

Brands

Halo

Portfolio

Metalux

Neo-Ray

Corelite

Lumark

McGraw-Edison

Sure-Lites Fail-Safe

his

AtLite

Lumière MWS

Streetworks

CooperLED International

Regent

Shaper Invue

R\$4

DLS







RSA is recognized as the leader in the development of cuttingedge, architectural lighting systems. As innovators in the design and manufacture of multilamp, recessed, track and linear lighting, RSA places their emphasis on unique and functional design.

Halo 🛭

Quality recessed, track and surface products serve a wide range of commercial, residential, institutional and industrial applications.

Port folio ®

High performance, specification grade architectural downlighting fixtures with precision optics and a flexible range of accessories.

. Metalux [©]

Single source fluorescent lighting for commercial, industrial, institutional, retail and residential markets.

Neo-Ray TM

Nationally recognized excellence in architectural lighting for contemporary office, educational, research and corporate environments.

The integration of high performance and design-appeal in fluorescent lighting.

Etimatik 🥹

A full-line of quality commercial and industrial products to satisfy virtually every indoor or outdoor HID lighting need.

McGraw-Edison 🙉

Durable, efficient HID fixtures

The DLS-Digital Lighting SystemTM from Cooper Lighting offers an architectural dimming option for Cooper Lighting fixtures. DLS provides zone and scene selection, and programming, with the ease of a remote control. DLS is an option with the following Cooper Lighting brands: Portfolio, Metalux. Corelite, Neo-Ray, Shaper, Fail-Safe and Halo.

INVUETM

Establishing a new benchmark in specification grade architectural site lighting, INVUE Lighting Systems brings together leading edge form and unmatched performance.

Fail-Safe ®

Security fixtures, control systems and specialty hardware built to withstand the rigors of correctional facilities, clean rooms, public access areas, hospitals and other high abuse environments.

lris ©

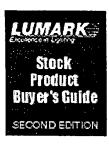
Aesthetics and performance combine for highly flexible architectural, residential and commercial lighting designs.

At Lite ®

Top flight emergency, exit. fluorescent and downlighting luminaries for The New York Metropolitan market from our IBEW Local 3 Facility in Maspeth, New York.

Lumière 🔊

Innovative solutions for complex landscape, area/accent and special application illumination.





illuminate a complete range of outdoor industrial, utility and commercial applications.

Sure-Lites ®

Dependable emergency and exit lighting for commercial, residential, upscale architectural or hazardous industrial markets.

Regent ®

Regent is a leading U.S. manufacturer of residential outdoor security lighting fixtures including motion-activated lights, dusk-to-dawn lights, floodlights and worklights.

CooperLED

COOPERLED led traffic signals are designed to meet rigid traffic control device standards established by the various jurisdictional entities throughout the United States and Canada.

MWS TM

The cost and labor saving alternative to conventional hardwiring, Modular Wiring Systems (MWS) power fluorescent, incandescent and HID lighting fixtures when speed and versatility is a must.

Shaper

Shaper's standard product line includes interior ceiling mounted and pendant luminaires, interior and exterior, wet listed wall mounted fixtures, a selection of exterior bollard designs and a specialty collection of products, the "STARTER HOUSE" line, geared to budget oriented projects.

Streetworks

Streetworks...The Complete Outdoor Lighting Solution!

International

Besides all of the standard domestic products, Cooper also offers products exclusively dedicated for international markets with IEC and NOM certification.

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24

COOPER Lighting

Portfolio

Metalux

Neo-Ray Corelite Lumark

BY (**) BY (**)

Fail-Safe his AtLite

Lumière

Streetworks

CooperLED International Regent Shaper Invue RSA DLS

MWS

Sure-Lites

3



McGraw-Edison offers a range of versatile, high performance and efficient HID fixtures for outdoor applications.

For over 80 years, McGraw-Edison has served markets including street and highway area lighting, high mast, athletic facilities, parking lots, security and flood lighting.

Combining outstanding photometrics and specification grade construction with aesthetic standards, every McGraw-Edison outdoor fixture is designed for dependability and long life under the most severe weather conditions.

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CREDENZA

LUMINAIRE

Metal Halide (MH)



CAL **CONCOURSE III** ARCHITECTURAL AREA LUMINAIRE



CIRRUS

ARCHITECTURAL AREA

LUMINAIRE



CLM CLM SQUARE ARCHITECTURAL AREA

LUMINAIRE



GALLERIA ROUND

ARCHITECTURAL AREA

LUMINAIRE



GSS/GSM/GSL **GALLERIA SQUARE** ARCHITECTURAL AREA

LUMINAIRE



LND LANDAU LARGE AREA LIGHT



ZD **CREDENZA** ARCHITECTURAL AREA LUMINAIRE

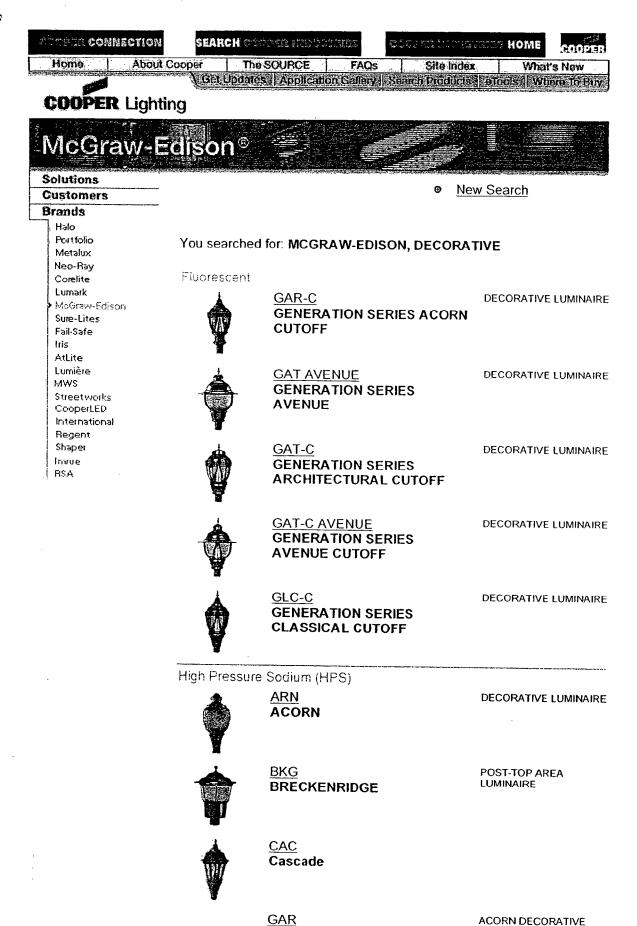
Pulse Start Metal Halide



LND LANDAU

LARGE AREA LIGHT

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GAT-C AVENUE

GENERATION SERIES AVENUE CUTOFF

DECORATIVE LUMINAIRE



Metal Halide (MH)



ARN ACORN

WBG

WOODBRIDGE

DECORATIVE LUMINAIRE

BKG BRECKENRIDGE

POST-TOP AREA LUMINAIRE

POST-TOP AREA LUMINAIRE





<u>CAC</u> **Cascade**



GAR
GENERATION SERIES

ACORN DECORATIVE LUMINAIRE



GAR-1
GENERATION SERIES

ACORN DECORATIVE LUMINAIRE



GAR-2 GENERATION SERIES

ACORN DECORATIVE LUMINAIRE



GAR-3
GENERATION SERIES

ACORN DECORATIVE LUMINAIRE



GAR-C
GENERATION SERIES ACORN
CUTOFF

DECORATIVE LUMINAIRE



GAT
GENERATION SERIES

ARCHITECTURAL DECORATIVE LUMINAIRE



GAT AVENUE
GENERATION SERIES
AVENUE

DECORATIVE LUMINAIRE



GAT-1
GENERATION SERIES

ARCHITECTURAL DECORATIVE LUMINAIRE



GAT-2
GENERATION SERIES

ARCHITECTURAL DECORATIVE LUMINAIRE



GAT-3
GENERATION SERIES

ARCHITECTURAL DECORATIVE LUMINAIRE



GAT-C GENERATION SERIES ARCHITECTURAL CUTOFF

DECORATIVE LUMINAIRE



GAT-C AVENUE
GENERATION SERIES
AVENUE CUTOFF

DECORATIVE LUMINAIRE



GLC GENERATION SERIES

CLASSICAL DECORATIVE LUMINAIRE



GLC-1 GENERATION SERIES

CLASSICAL DECORATIVE LUMINAIRE



GLC-2 GENERATION SERIES

CLASSICAL DECORATIVE LUMINAIRE



GLC-3
GENERATION SERIES

CLASSICAL DECORATIVE LUMINAIRE



GLC-C GENERATION SERIES CLASSICAL CUTOFF

DECORATIVE LUMINAIRE



MNC MANCHESTER

DECORATIVE GLASS LUMINAIRE



NHN NEW HAVEN

POST-TOP AREA LUMINAIRE



TRD DAYFORM TRADITIONAIRE

POST-TOP AREA LUMNIAIRE



TRR
TRADITIONAIRE

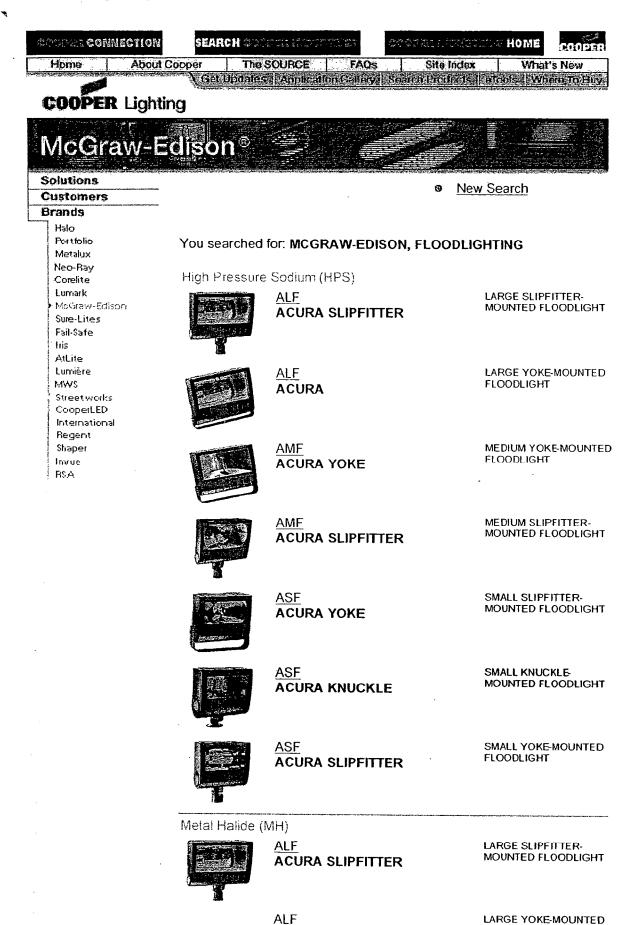
POST-TOP AREA LUMINAIRE



WBG WOODBRIDGE

POST-TOP AREA LUMINAIRE

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ACURA

FLOODLIGHT



<u>AMF</u> **ACURA YOKE**

MEDIUM YOKE-MOUNTED FLOODLIGHT



ACURA SLIPFITTER

MEDIUM SLIPFITTER-MOUNTED FLOODLIGHT



<u>ASF</u> **ACURA YOKE**

SMALL SLIPFITTER-MOUNTED FLOODLIGHT



ACURA KNUCKLE

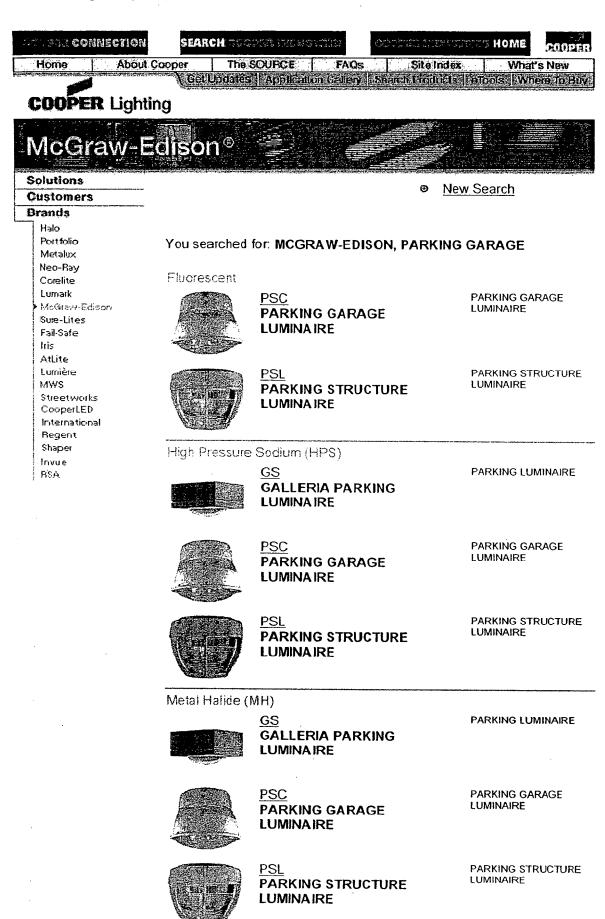
SMALL KNUCKLE-MOUNTED FLOODLIGHT



ACURA SLIPFITTER

SMALL YOKE-MOUNTED FLOODLIGHT

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Pulse Start Metal Halide

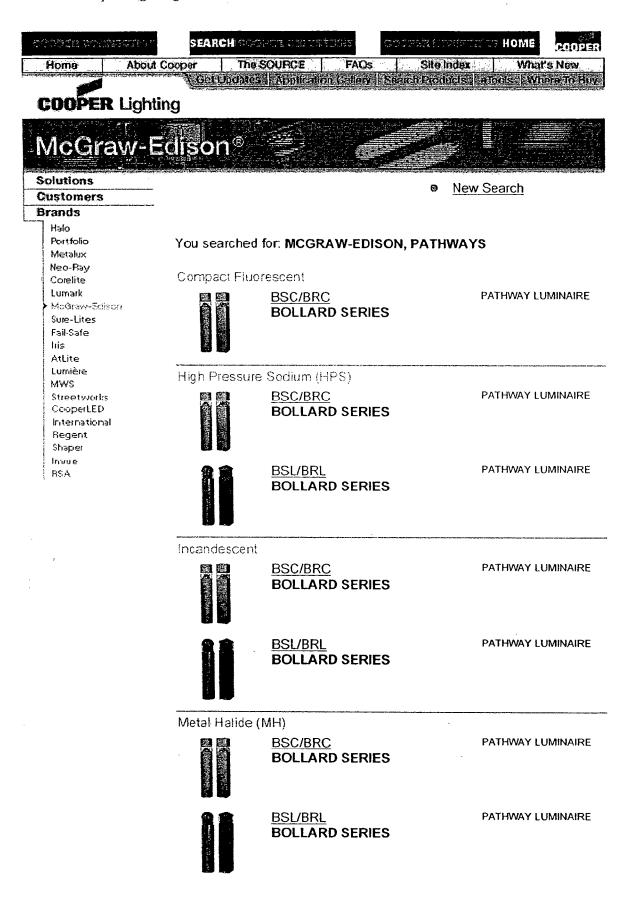


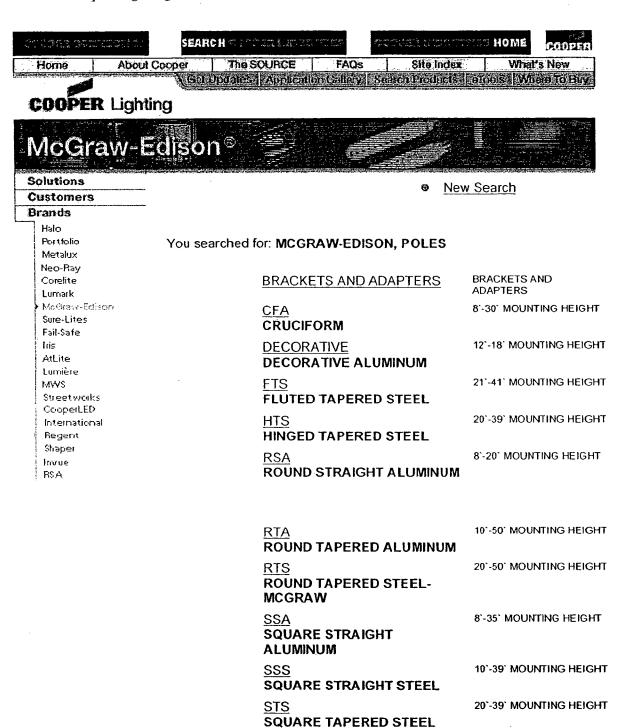
PSC PARKING GARAGE LUMINAIRE PARKING GARAGE LUMINAIRE



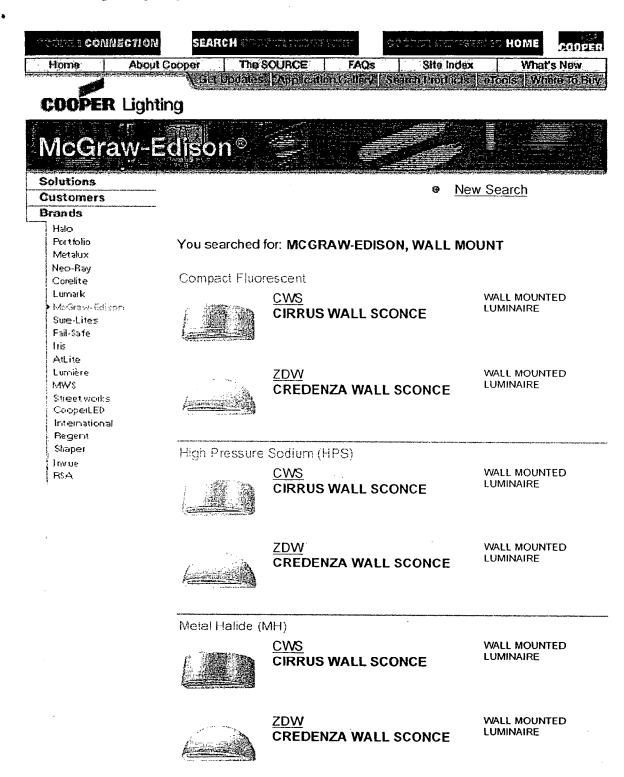
PSL PARKING STRUCTURE LUMINAIRE PARKING STRUCTURE LUMINAIRE

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Solutions

Customers

Brands

Halo
Portfolio
Metalux
Neo-Flay
Corelite
Lumark
McGraw-Edison
Sure-Lites
Fail-Safe
Iris
AtLite
Lumière
MWS

AtLite
Lumière
MWS
Street works
CoccelLED
International
Regent
Shaper
Invue

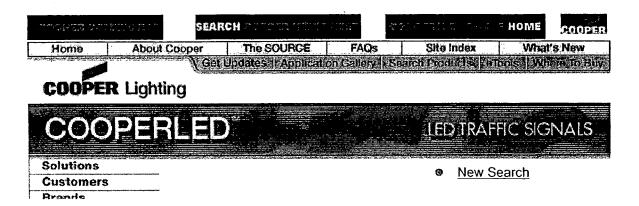
R\$A DL\$ Quality. Performance. Integrity. Unique Concepts? No...lust the way we do business.

COOPERLED LED traffic signals are designed to meet rigid traffic control device standards established by the various jurisdictional entities throughout the United States and Canada. Ranging from the standard red, yellow and green indicators to the different pedestrian configurations, Cooper Lighting leads the transportation industry in professional lighting design and function with COOPERLED LED traffic signals.

For an authorized Distributor nearest you, please contact:

Bob Atkins
Director, Sales and Marketing
Traffic Signal Products
batkins@cooperlighting.com

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You searched for: COOPERLED, LANE CONTROL MODULES

L.ED

CLL16DA RED X / GREEN ARROW LED LANE CONTROL

MODULE

CLL16XA ENCAPSULATED RED X LED LANE CONTROL MODULE

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Halo Portfolio

Metalux

Neo-Ray Corelite

Lumark

McGravy-Edison

Sure-Lites

Fail-Safe

lris

AtLite

Lumière

MWS

Streetworks
CooperLED
International

Regent

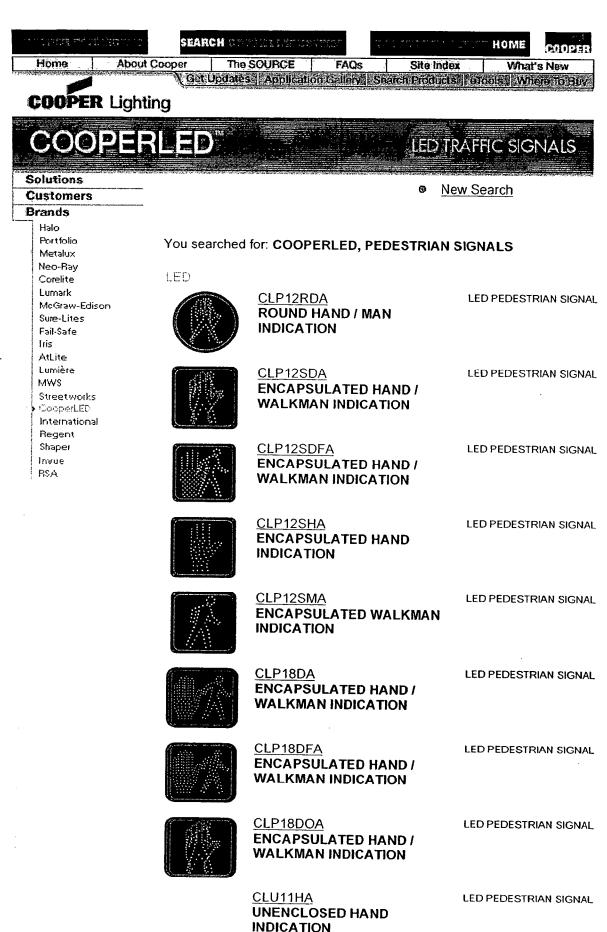
Shaper

Invue

BSA











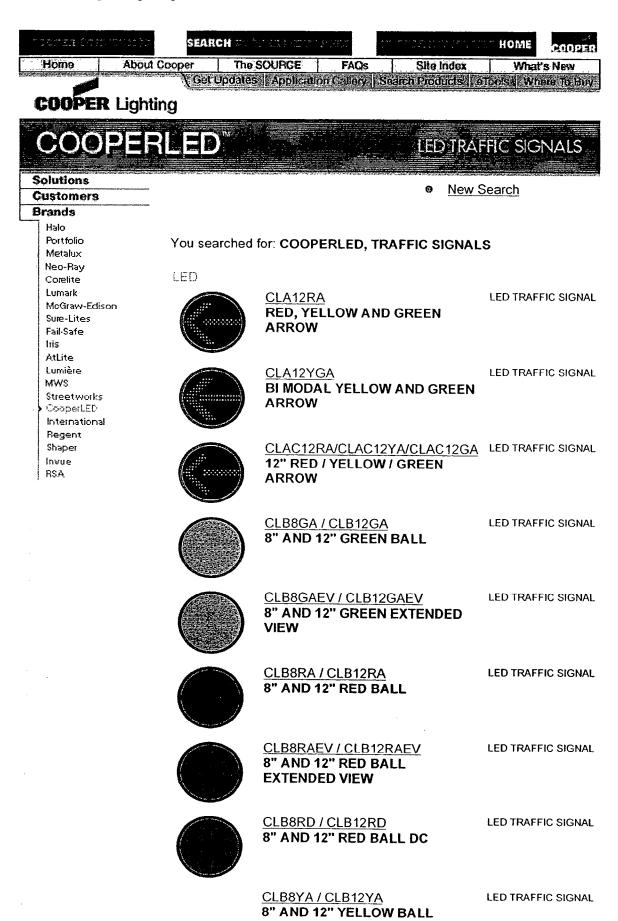
CLU11MA **UNENCLOSED MAN** INDICATION

LED PEDESTRIAN SIGNAL

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CLB8YAEV / CLB12YAEV
8" AND 12" YELLOW BALL
EXTENDED VIEW

LED TRAFFIC SIGNAL



CLB8YD / CLB12YD 8" AND 12" YELLOW BALL DC

LED TRAFFIC SIGNAL



CLBC8GA / CLBC12GA 8" AND 12" GREEN BALL EXTENDED VIEW

LED TRAFFIC SIGNAL



CLBC8RA / CLBC12RA 8" AND 12" RED BALL EXTENDED VIEW

LED TRAFFIC SIGNAL



CLBC8YA / CLBC12YA
8" AND 12" YELLOW BALL
EXTENDED VIEW

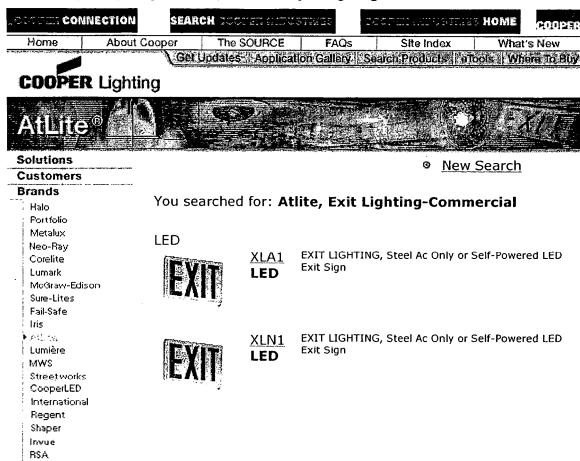
LED TRAFFIC SIGNAL



CLP12SRA 12" RED SQUARE

LED TRAFFIC SIGNAL

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COOPER Lighting

Atlite Customers **Exit Lighting-Commercial**

LED

Brands

Halo Portfolio

Metalux Neo-Ray Corelite

Lumiere MWS CooperLED

Lumark McGraw-Edison Sure-Lites Fail-Safe ltis م+: إخت

Streetworks International Regent Shaper Invue **BSA**

Product Information for:

XLA1

New Search

Get Updates



Find this brand at a Sales Agents

Enter Zip/Postal Code:

Product Data

Model Number Description

Last Updated

XLA1

The AtLite AC Only XLA1 and Self-Powered XLN1 LED Exit 8/11/1999 Series feature a rugged steel housing. The battery and electronics are contained within the housing, eliminating the need for a top-mounted power pack, allowing the unit to be mounted over most any doorway. Energy efficient LED illuminator strip provides bright, even illumination in red or green for the six inch and eight inch red letters. The XLA1 and XLN1 are an attractive combination of durable materials and energy saving technology in a compact fixture. The series exceeds all applicable NEC and NFPA requirements and is UL 924 listed.

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COOPER Lighting

Atlite Customers **Exit Lighting-Commercial**

LED

Brands

Halo

Portfolio

Metalux Neo-Ray

Corelite

Lumark McGraw-Edison

Sure-Lites Fail-Safe lris

P. 1. 1. Lumière

MWS Streetworks

CooperLED International

Regent Shaper

Invue **RSA**

Product Information for:

XLN1

New Search

Get Updates



Find this brand at a Sales Agents

Enter Zip/Postal Code:

Product Data

Model Number

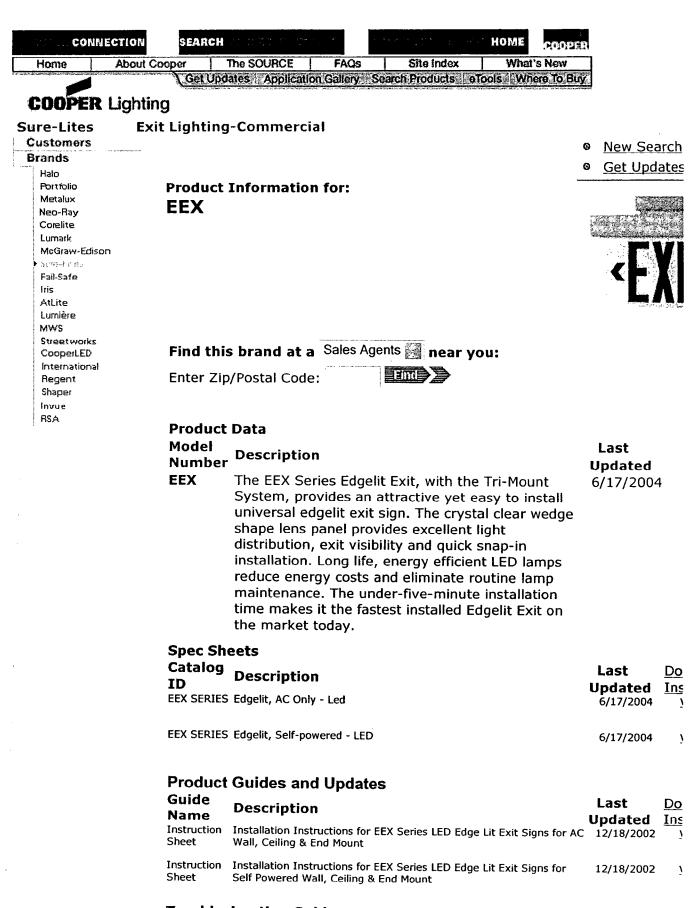
Description

Last **Updated**

XLN1

The AtLite AC Only XLA1 and Self-Powered XLN1 LED Exit 8/11/1999 Series feature a rugged steel housing. The battery and electronics are contained within the housing, eliminating the need for a top-mounted power pack, allowing the unit to be mounted over most any doorway. Energy efficient LED illuminator strip provides bright, even illumination in red or green for the six inch and eight inch red letters. The XLA1 and XLN1 are an attractive combination of durable materials and energy saving technology in a compact fixture. The series exceeds all applicable NEC and NFPA requirements and is UL 924 listed.

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Guide Name	Last Updated	<u>Do</u> Ins
Sure-Lites Guide	1/01/01	<u>V</u> i
Sure-Lites Interactive Guide	1/01/01	Us
Technical Information Technical Information for:		
Sure-Lites Technical Information		<u>C</u>

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CONNECTION SEARCH HOME **About Cooper** The SOURCE FAQs Site Index What's New Get Updates Application Gallery Search Products oTools Where to Buy **COOPER** Lighting **Sure-Lites Exit Lighting-Architectural LED** Customers New Search **Brands** Get Updates Halo Portfolio **Product Information for:** Metalux CX Neo-Ray Corelite Lumark McGraw-Edison Sure-Lites Fail-Safe Iris AtLite Lumière MWS Streetworks Find this brand at a Sales Agents near you: CooperLED International Enter Zip/Postal Code: Regent Shaper Invue RSA **Product Data** Model Last Description Number Updated CX The Sure-Lites Aluminum Exit Series provides the 6/16/2004 ideal exits for general purpose non-emergency applications. An extensive range of field installed accessories, choice of faces and the variety of possible mounting configurations permit the tailoring of the basic exit for almost any application. **Spec Sheets** Catalog Last Do Description ID Updated Ins CX Ac Only - Led 6/16/2004 CX The CX LED Die Cast Aluminum Exit combines the strength and 6/16/2004 7 durability of die casting with the bright even illumination of LED lamp sources. **Product Guides and Updates** Guide Last <u>Do</u>ı Description Name Updated Ins Instruction Installation Instructions for ACL L.E.D. Exit Signs CX Series 12/18/2002 Sheet Installation Instructions for Self Powered Self Diagnostic L.E.D. Exit 12/18/2002 Instruction > Sheet Signs, CX Series **Troubleshooting Guides** Last Dov **Guide Name** Updated <u>Ins</u> Sure-Lites Guide 1/01/01 Vi

Sure-Lites Interactive Guide

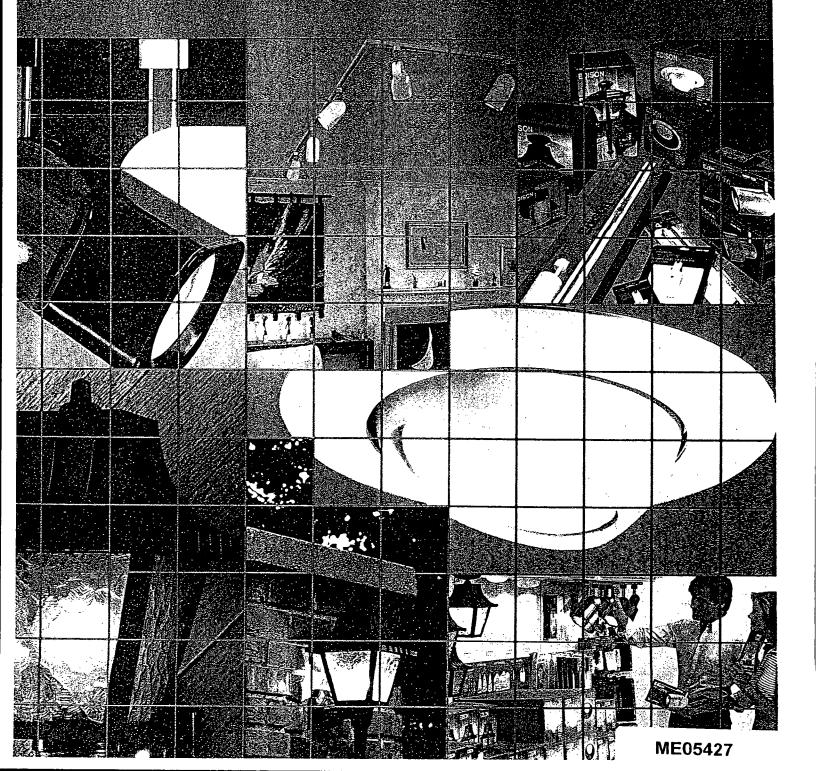
1/01/01 Us

Technical Information Technical Information for: Sure-Lites Technical Information

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Edison Lighting



son Lighting

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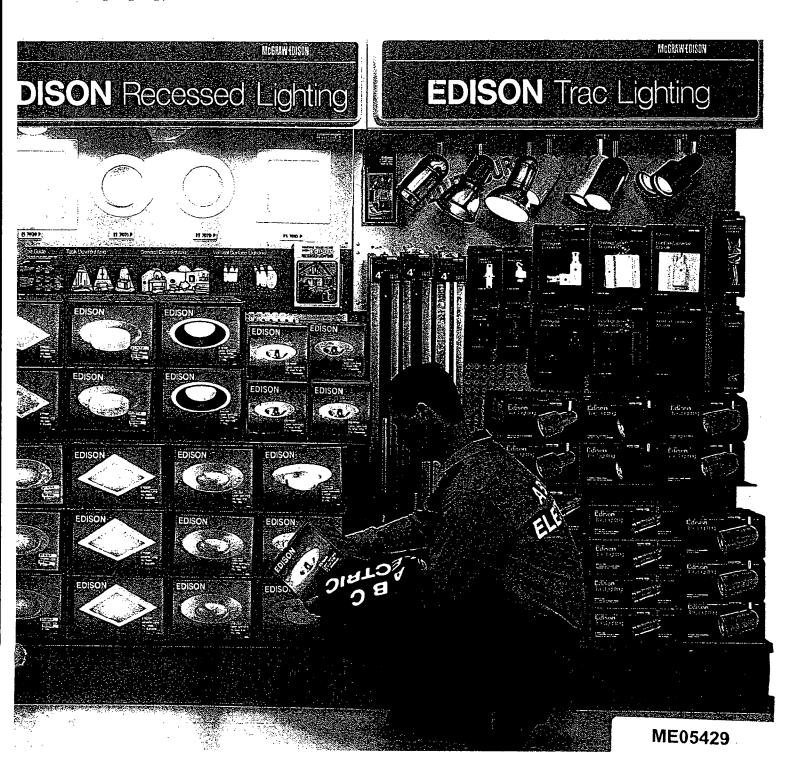


Edison lighting products have the best turns per Stock-Keeping-Unit in the industry. We're a division of McGraw-Edison and a unit of the world's largest manufacturer of track lighting systems, recessed lighting products and the mass marketing of outdoor lanterns. This experience in product development and merchandising has resulted in lighting products that have set the industry standard for design and safety. All Edison lighting fixtures are in full compliance with NEC/UL requirements.

Consumer response is tremendous. Edison is the leader in the merchandising of lighting prod-

ucts. We recognize the needs of mass merchandisers in selling to the Do-It-Yourself consumer by offering full-color Point-of-Sale literature, retail product packaging and hard hitting Point-of-Sale displays. Numerous "Drummer Awards" from Building Supply News as well as awards from the Hardware Industry Packaging Exposition have acknowledged our excellence in literature, packaging and displays. Edison offers a complete Cooperative Advertising Program to extend support to your entire electrical department's selling effort.

Edison is the leader.



on Lighting

plete Dealer ram...

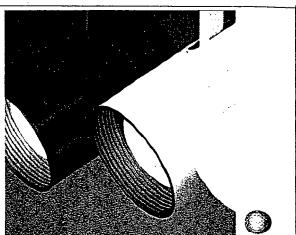


on commitment: Quality tures; attractive, informaging; hard-working Sale displays; and a vigaler support program. on product lines consist ghting, Recessed Light-utdoor Lanterns. Each ne is supported by disackaging, specialized or gondolas, and conochures.

Trac Lighting

Edison Trac Lighting: Today's most popular lighting product. Versatility, ease of installation and trend-setting design make the Edison Trac System a winner in the Do-It-Yourself marketplace.

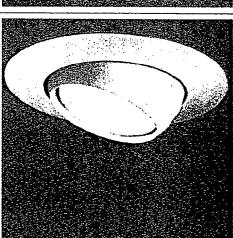
pages 8-15



Recessed Lighting

Edison Recessed lighting products deliver a quality built-in look well within the installation capabilities of the average home owner. Our Recessed product line includes open trims, lens units, and baffle units. Detailed literature and comprehensive instructions guide the consumer through selection and installation. We have been in the forefront of the industry in meeting the new UL/NEC code requirements for product safety.

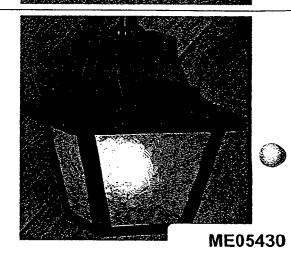
pages 16-21



Outdoor Lanterns

Edison Outdoor Lanterns, for a small investment in time and money, revive the tradition of a warm welcome at the entrance of any residence. All Edison Lanterns feature maintenance-free, weather-resistant construction and easy Do-It-Yourself installation.

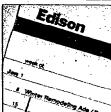
pages 22-28



Edison Lighting

Leadership: Style, Safety and Sales

Complete Co-Op Ad Program



page 4

Full-Color Product Brochures for Consumers



page 15

Dynamic Attractive Point-Of-Purchase Displays

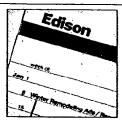


page 15

Full-Color Illustrated Packaging



page 15



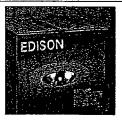
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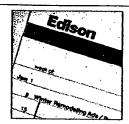
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page 21



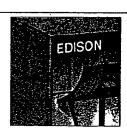
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page 28



page 28

he Edison Co-op rogram

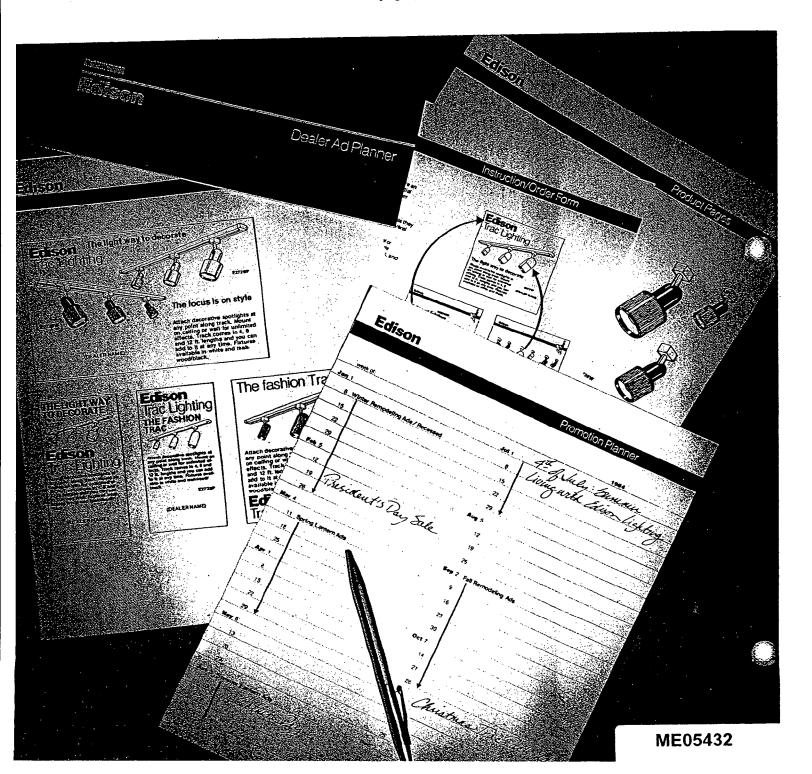
n-Target Sales romotions

Edison provides extensive dealer aids as well as valuable dollar credits through a complete Co-Op Advertising Program. This program was created to encourage the promotion and sale of the Edison product line. Most types of programs are eligible including radio, TV, newspaper, magazine, outdoor billboards, direct mail, open houses, shows, displays and in-store promotions.

An amount equal to 3% of a dealer's net purchases is set aside for use in co-op advertising. Edison will reimburse the Dealer through credit memos for 50% of the qualifying expenditures. Complete

qualifying and claim instructions are contained in the Edison Co-Op brochures.

A comprehensive, hardhitting, ready-to-use set of ad slicks for Edison Trac, Recessed and Outdoor Lanterns is available for use in newspaper and magazine advertising. Edison logos and individual product artwork for customizing dealer advertisements is included in this kit.

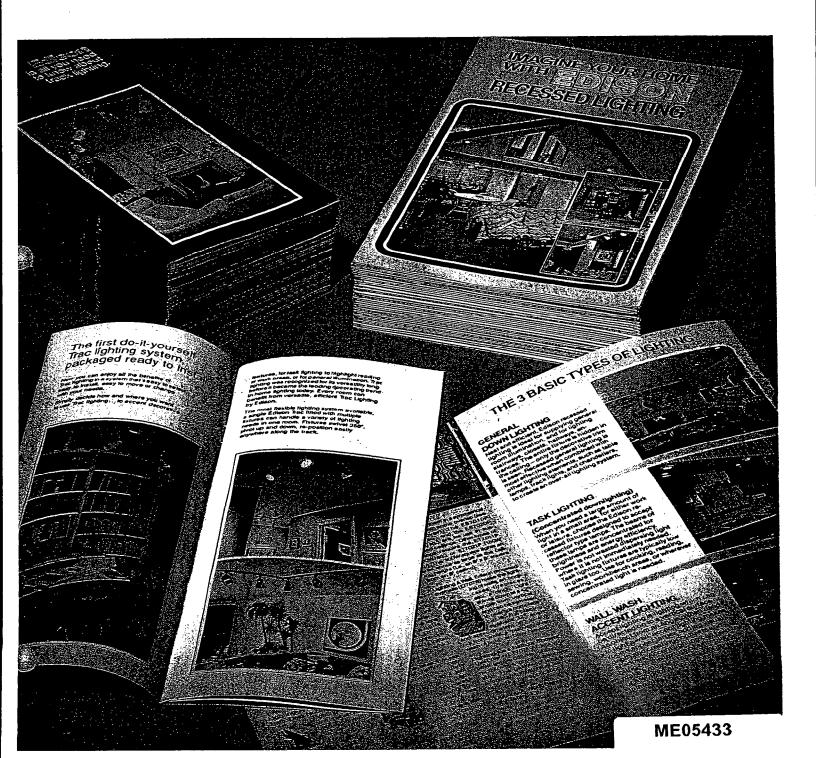


Edison Consumer Product Literature

Stimulates the Do-It-Yourself Buyer

Full-color consumer literature is available from Edison to explain and sell Do-It-Yourself lighting. The Edison Trac brochure shows the homeowner types of lighting effects; how to plan a Trac System layout; and illustrates the simple installation of the electrical connectors. The Recessed consumer product brochure illustrates each of the fixtures, provides installation methods and demonstrates lighting techniques. An important feature of this brochure is a set of easy-to-understand graphics

explaining the National Electrical Code and UL requirements as they apply to the installation of all Edison Recessed lighting products. Edison consumer product literature is available in quantity to further strengthen the merchandising of Edison products in your electrical department.



lison Displays

If-Serve: Fast Turns: ore Dollar Sales Per juare Foot Use these space-efficient, dramatic Point-Of-Purchase displays to effectively merchandise Edison lighting products. Five separate and unique displays are available for the Trac, Recessed and Outdoor Lantern product lines. All five displays were designed to create a complete Edison Lighting Center within the electrical department of any store. Each display consists of a backboard and headerboard that fit on your existing 48" wide store gondolas. You will find details on each of the different displays at the end of the Trac, Recessed and Outdoor Lantern sections of this catalog.











Award-Winning Edison Packaging

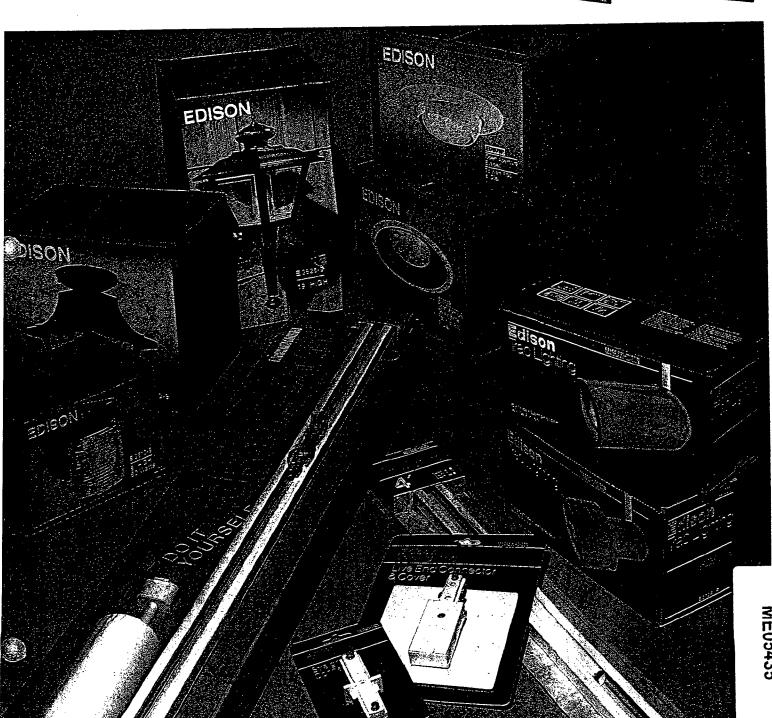
Full-Color Labels Tell the Story!

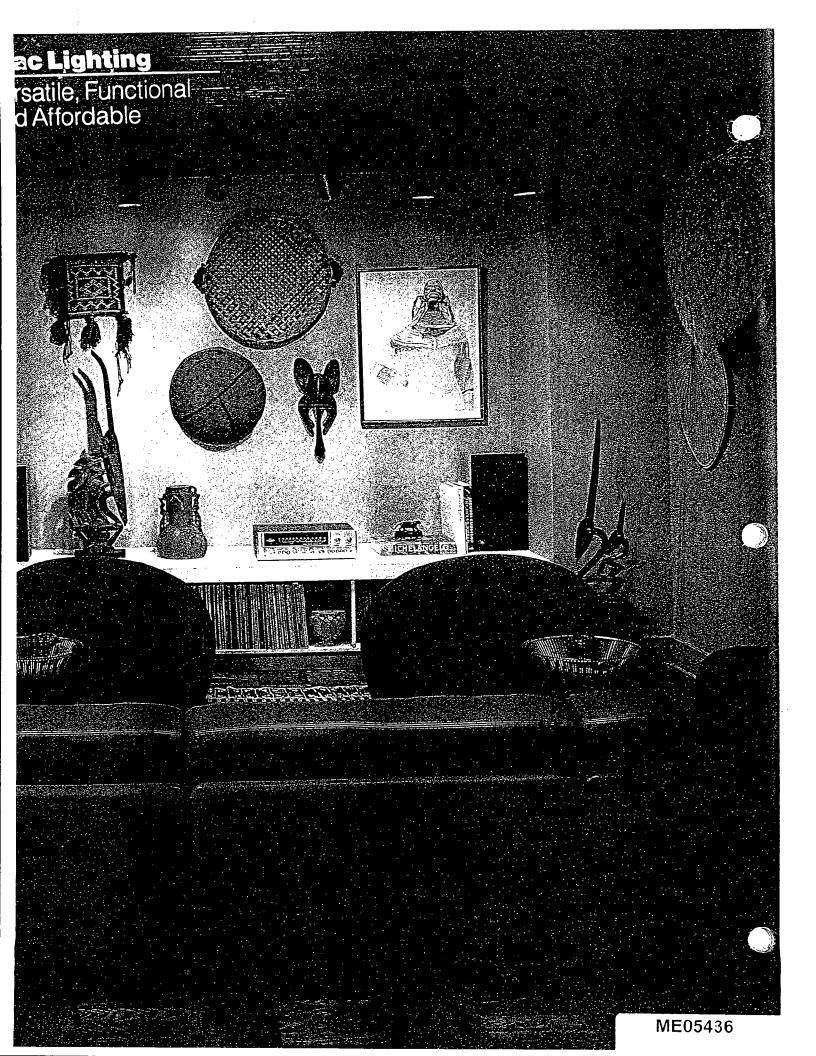
To stimulate consumer sales all Edison products are packaged in attractive, coordinated cartons with large, full-color labels showing the actual product. Each carton provides complete information such as size, lamp wattage and the need for other necessary installation items. The latest Edison packaging innovation, Trac

Pac, consists of one 4' section of Trac and two economically priced lampholders. This "Starter Set" provides the basic elements needed by a Do-It-Yourselfer to "get started" in Trac Lighting.









One of the major advantages of the Edison Trac Lighting System is its versatility. It provides an almost infinite variety of lighting functions and effects for virtually any layout from a single electrical power source.

A comprehensive full-color consumer-oriented brochure provides all of the necessary information for the Do-It-Yourselfer on the selection and installation of the Edison Trac Lighting System.

Edison offers the widest range of styles in America's best-selling residential lampholders.

Look what an Edison Trac Lighting System can do:

General Lighting
A centrally-located Edison Trac provides ideal overall illumination for large rooms, hallways, entrances, bath and bedrooms. Lampholders may be aimed straight down or at different angles to brighten every

corner.

Accent Lighting Flexible Edison lampholders let you direct light onto the vertical plane to reflect off walls and highlight decorative accessories. Accent lighting can make rooms appear larger as it heightens visual dimensions with contrasts and shadows. It brings out textures and brightens colors to make eloquent displays of art and accessories.

Task Lighting

Energy-efficient Edison lampholders have built-in reflectors to produce more useful light from lower-watt lamps, they focus light where you need it without creating eye-tiring glare. Edison Trac lighting can concentrate light in a small area for work, reading or hobbies. Install the Trac above your work space and direct light to work surface. If ceilings are especially high, simply attach the Trac to the wall or under cabinets or shelves.



Lampholders can be snapped-in at any point on Edison Trac for greatest efficiency and flexibility.

Edison Trac arrangements are limited only by your imagination.

E4911
Permanent End
Installation.

Trac starter connector installed to electrical junction box using junction box cover accessory, model E4911.

E4909 Permanent Variable-Point Installation.

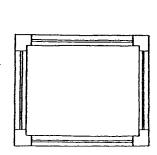
Trac may be installed at any point to electrical junction box using floating canopy accessory E4909. (Capacity: Max. 20 amps.)

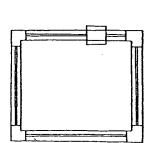
E4950 Portable Plug-in Installation. Where polymetion be

Where no junction box exists, Trac mounts to ceiling or wall and plugs into wall receptacle. (Capacity: Max. 10 amps.)



Right angle connectors (E4904) can be used as a power feed or they can be used with a variable point power feed (E4909) to make rectangular trac layouts.







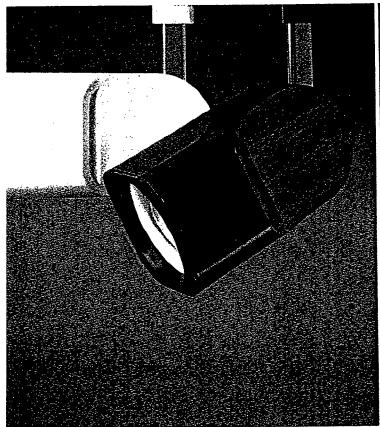


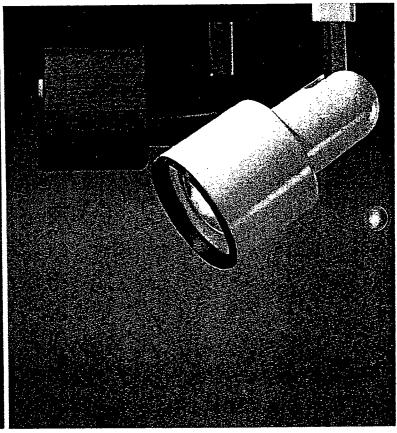
dison ampholders

yles That Fit Every ome Decor

Edison offers only proven best sellers. Each lampholder will provide fast turns, high profits — Total consumer satisfaction.







2721 P White 2721 W Black with loodgrain

inchback: Smart oking, sculptured: ccommodates both effector-style lamps and effector-style lamps and all service A lamps. A uilt-in reflector boosts in light output when sing A lamps.

/attages: 30 or 50 watt 20, or up to 60 watt 19. ize: 63/4" length, 33/4" idth, 93/4" maximum

rtension.

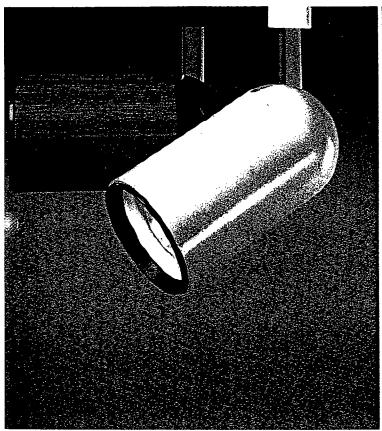
E2726P White E2726W Black with Woodgrain

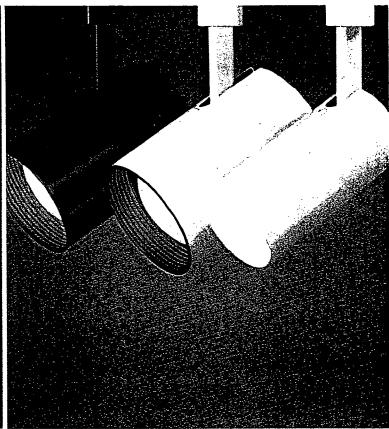
Step Cylinder: A sophisticate that blends well with any color scheme or decor.

Use either reflector style lamps or the general service A lamps.

Wattages: 30 or 50 watt R20, or up to 60 watt A 19. Size: 634" length, 334" width, 936" maximum extension.







E2728P White E2728W Black with Woodgrain

Roundback: The top selling Edison lampholder uses either reflector style or general service A lamps.

Wattages: 30 or 50 watt R20, or up to 60 watt A 19. Size: 7" length, 33/4" width, 95/6" maximum extension. E2762P White E2762MB Matte Black E2761P White

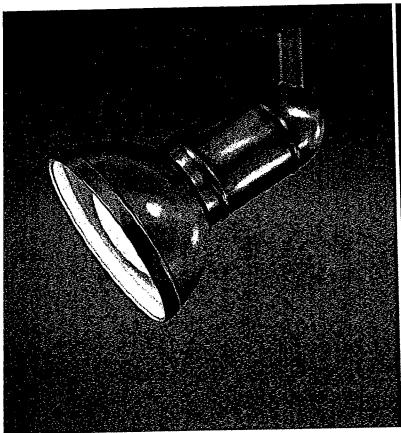
Cylinders: Simple and elegant: Blend well with all types of home furnishings.

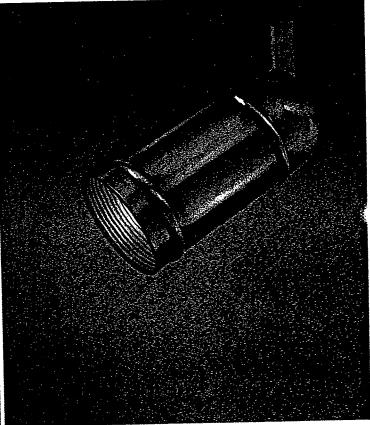
Available in two sizes up to 100 watts. The E2762 lampholder features a black coilex baffle to eliminate glare.

Wattages: E2762-30 or 50 watt R20, or 100 watt R25. E2761 40 watt R16. Size: E2762-5½" length, 3½" width, 7¼" maximum extension. E2761-5½" length, 2½" width, 8½" maximum extension.

Edison Lampholders

Styled for Traditional Interiors





E2710AB Antique

Charming bellshape: The warm brass finish subtly contrasts with the baked white enamel shade interior.

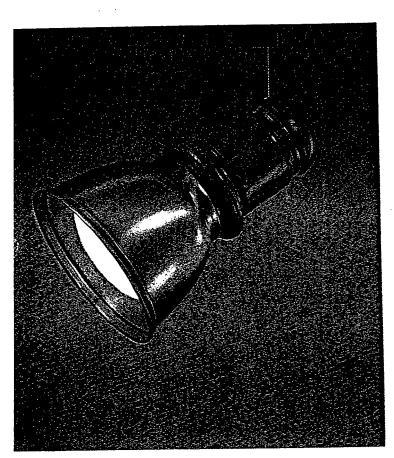
Utilizes up to 150 watts to provide a wide range of lighting effects.

Wattages: 75 watt R30, 75 watt ER30, 100 watt R25 or 150 watt A21. Sizes: 7%" length, 5¾" withth, 10%" maximum extension.

E2711AB Antique Brass

A traditional beaded cylinder: Warm Antique Brass finish fitted with a black coilex baffle to eliminate glare.

Wattages: 100 watt R25, or 100 watt A19 Size: 7" length, 31/2" width, 10" maximum extension



E2546AB Antique Brass with real wood

Real Wood: An Edison exclusive! Combines the warmth of fine hardwood with a charming Antique Brass finished shade. A true "decorator touch" for the most style conscious.

Wattage: 75 watt R30. Size: 8" length, 5%" width, 10%" maximum extension.

E4000P White

A real winner?
Edison Trac Pac:
Great Starter-Set to build traffic for special promotions. One convenient package contains the basic components required for a track installation. Included are one 4' section of White Trac, two E2761P White lampholders, mounting hardware and full installation instructions. The package back fully illustrates the power-feed options required and accessories available for the first-time Do-It-Yourself consumer.



ison Trac

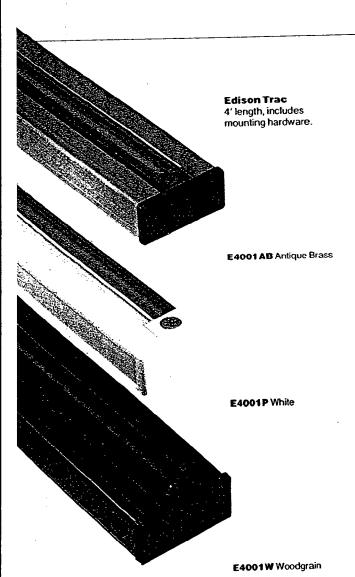
n, Low Profile: fect for Residential plication

Edison Trac

An Edison Trac Lighting System is energy saving because it allows lamps to be brought directly to the area where they are needed. With the use of straight and angle connectors, Trac can be extended for long runs or arranged in rectangular layouts. Its low profile (only 11/16" deep and 1%" wide) makes it ideal for installation on ceilings, walls, undercabinets or behind a valance. Connectors simply snap into the Trac — no tools are required. For safety's sake, all Edison Trac is fully grounded, polarized and UL listed. Edison Trac is both visually and mechanically keyed for safe electrical operation.



Trac Accessories







E4911MB Matte Black
Live-End Connector
and Junction Box
Cover. Use to begin a
Trac run and to feed electrical power.

E491 1P White



E4908MB Matte Black
Mini-Connector. A
low profile connector
used to join two Trac sections end-to-end. (Cannot be used as an
electrical feed point.)



E4904MB Matte Black
L Connector. Used to
join two Trac sections at
right angles. May also be
used as an electrical
feed-point.



Floating Canopy and Connector. Connects Trac to an existing junction box at any point along the length of the Trac. Saves repositioning of junction boxes and rewiring. Includes canopy cover, connector and

installation hardware.

E4909P White



E4961 MB Matte Black
Swag Hook. This is a
very popular accessory
that translates into addon sales. Its quick-lock
adaptor snaps into the
Trac at any point. It can
be used to suspend
plants or other decorative items up to 35 lbs.

E4961P White



E4950MB Matte Black
Cord and Plug Connector. Fast, simple and easy. The E4950 eliminates wiring chores for a Trac installation. Simply insert the connector into the Trac and plug the cord into any grounded outlet. A 15' cord includes a separate plug-in switch. Maximum capacity of Trac using the E4950 connector is 10 amps.



TracMerchandisingAids



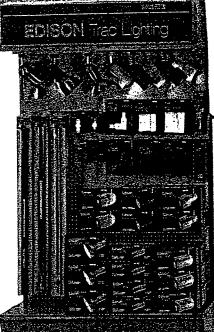
roduct

Trac Consumer Product Brochures

All you need to know about Trac Lighting is featured in this full-color pocket-size guide. Perfect for handouts during in-store promotions and demonstrations. Written in simple easy-to-understand language for even the most novice Do-It-Yourselfer.

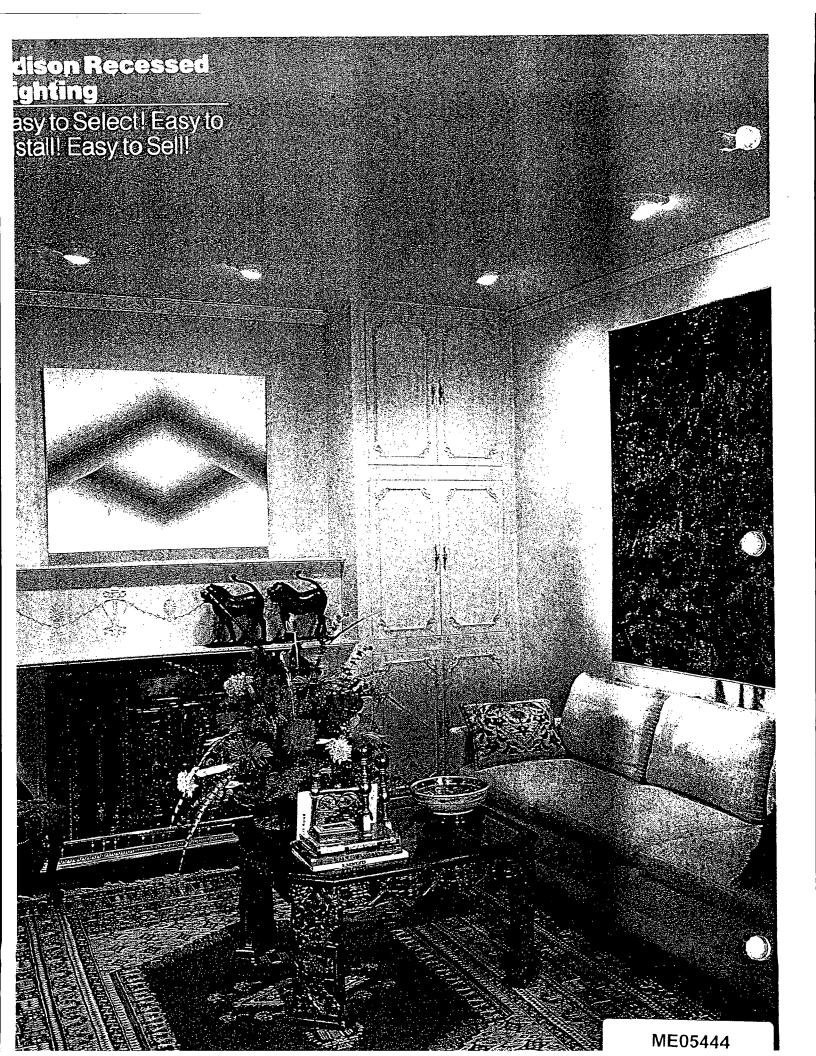
Packaging

Eye-catching, informative: Easy to stock and display. Full-color labels on the Trac lampholder cartons highlight their attractive finishes and simplify inventory record-keeping. All Edison Trac and Accessories are film-packed for easy display on pegboard hooks and offer hands-on appeal for the Do-It-Yourselfer.



ETD-20 Trac Displays

Get it all together with the Edison ETD-20 display! Simply mount the 30" high background backboard on existing 48" wide store gondolas. Already wired — simply plug into any convenient grounded outlet. Choose the Trac lampholders from your inventory and put them on your display. Insert the Edison Trac brochures in the convenient literature pocket built into the display and your Edison Traffic Builder is ready to sell.



Enjoy the sales growth in Recessed Lighting. Edison Recessed products are engineered and designed for simple installation and maximum safety. We pioneered the development of products that fully comply with the National Electrical Code and UL requirements for recessed lighting fixutre. All Edison pre-wired recessed products are complete with everything the Do-It-Yourselfer needs for installation. All in one package. No extra accessories are needed.

Look What You Can Do:

The three basic types of lighting required for most residences are General Downlighting, Task Lighting and Wall Wash Accent Lighting. The full range of trims and wattages of the Edison Recessed line offer many product lighting effects which are fully described in the Edison Recessed consumer product brochure.

Adjustable socket plate

ferent size bulbs.

allows efficient use of dif-

that simplify selling by creating customer confidence.

Heavy duty porcelain socket with brass plated screw shell.

Edison Recessed Fixtures: Features

> Thermal protector on "T" units shuts off bulb if insulation is closer than 3" or if incorrect bulb is used.

Fixture is pre-wired. Make electrical connections in junction box.

Adjustable hanger bars are included.

Hanger bar brackets can be snapped off for remodeling installations.

Separate ground wire to insure safe operation.

Edison Installation Instructions: Simple as 1, 2, 3!

Edison guides the consumer through each step of the installation. (1) Cut the ceiling opening, (2) Bring electrical power to the fixture, (3) Slide the fixture through the opening and install the trim and lamp. There are suitable Edison Recessed fixtures for suspended ceilings, new ceilings, or existing ceilings. Installation methods and hardware are provided for each type of ceiling.







The National Electrical Code and Underwriters Laboratories have established requirements for the design and installation of Recessed lighting fixtures. There are three categories.



T - Thermally **Protected Fixtures** These fixtures may be installed in ceilings that have insulation, but the insulation must be spaced 3" from the fixture. Edison "T" type fix-tures include a thermal protector that de-activates the unit if an incorrect lamp is used, or if the ceiling insulation is placed in contact with the fixture.



IC-Insulated **Ceiling Fixtures** Insulated ceiling fixtures can be installed in direct contact with insulation.



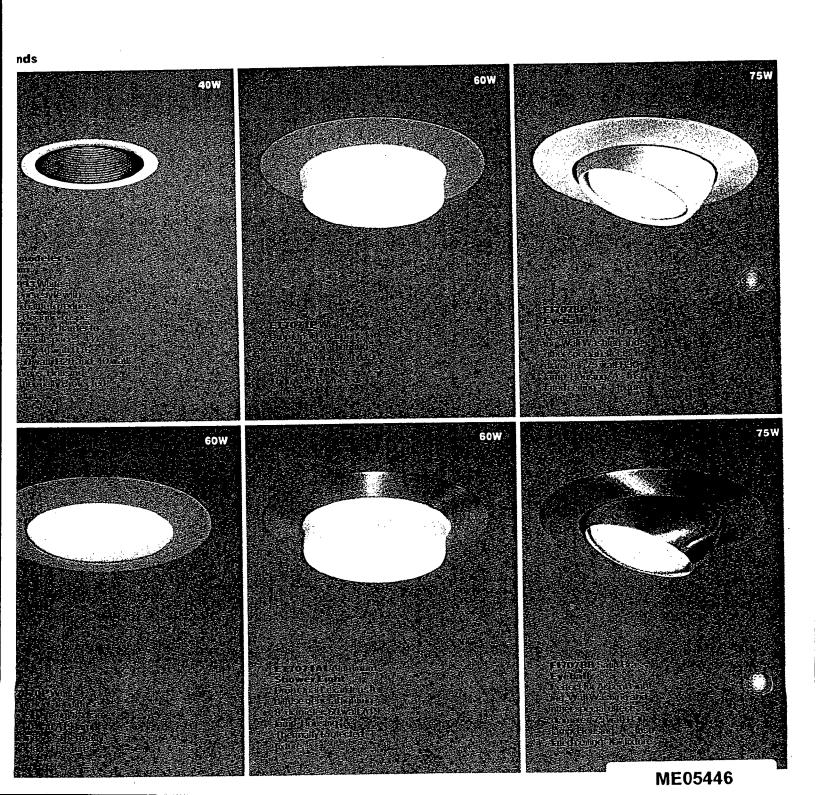
S-Suspended **Ceiling Fixtures** These fixtures are primarily designed for use in T-Bar suspended ceilings that are neither permanent nor insulated.

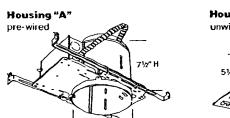
ison Recessed (tures

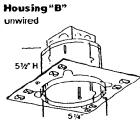
tch Form and notion with Safety

Every Edison pre-wired Recessed fixture is packaged and sold as a complete unit including trim ring, housing, mounting hardware, hanger bars and remodeling clips. In selecting an Edison Recessed fixture, the consumer need only know the ceiling condition and the lighting effect he desires.

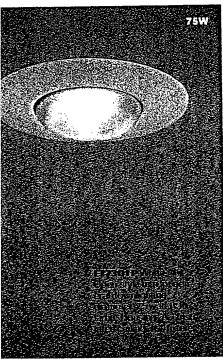


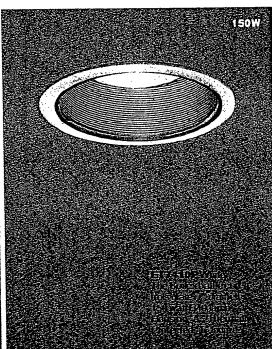


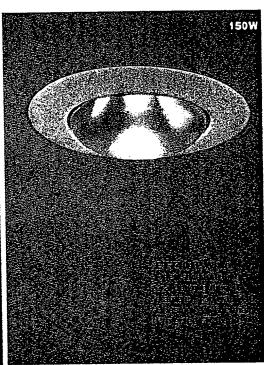


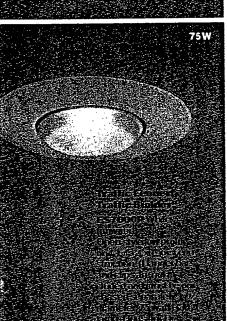


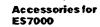












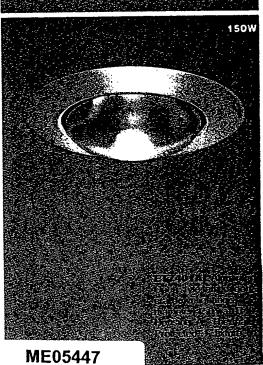




TB-7 T-Bar attachment clips.

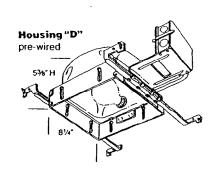
HB2-24 Bar Hanger for suspended ceilings. Spans up to 24" ceiling openings.

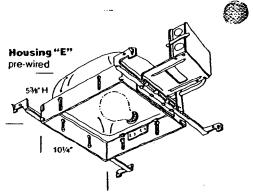
HB-3Bar hangers for suspended ceilings. Will span up to 18".

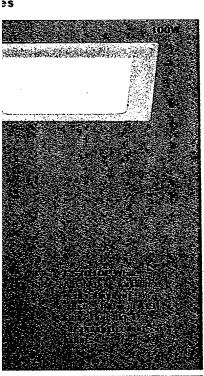


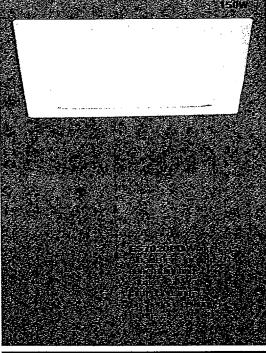
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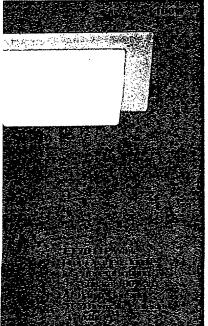
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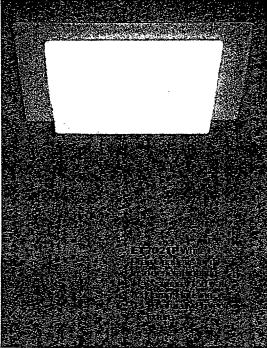
















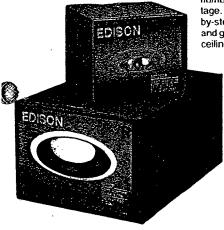
Recessed Merchandising Aids

Packaging

Every Edison carton makes a sale! All Recessed products are packaged in a colorful carton with a full-color label that clearly identifies product, catalog number, size and wattage. We include a stepby-step instruction sheet and guide to the NEC/UL ceiling applications.

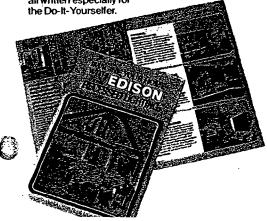
Product Displays

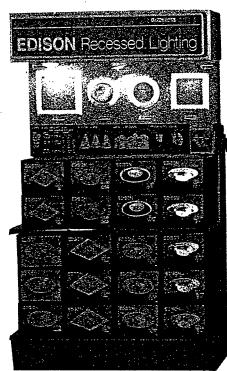
Edison makes it easy to sell Recessed fixtures with two Electrified Product displays.



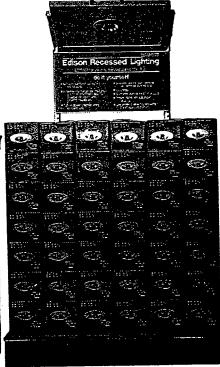
Recessed Consumer Product Brochures

Complete guide for the consumer on all aspects of Recessed lighting. Includes installation methods, lighting effects, NEC/UL regulations... all written especially for

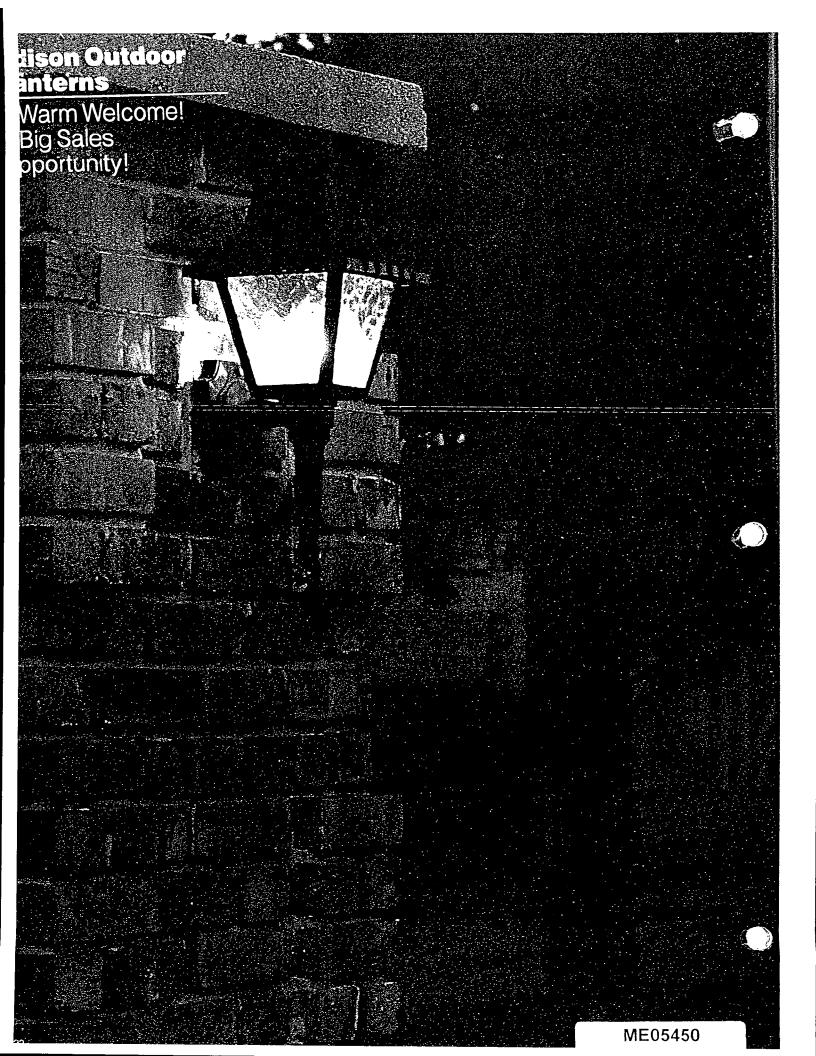








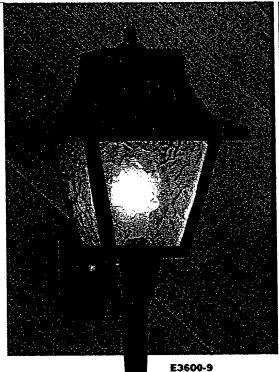
estable Specially designed to feature our best selling ES7000. Perfect for end-aisle placement as a traffic builder. Unique clear plastic header lets the Do-It-Yourself consumer see the wiring, junction box and all other aspects of the installation.

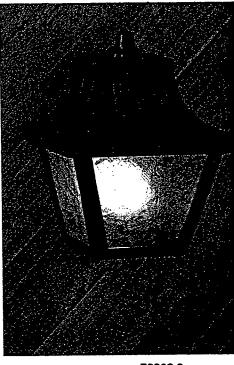


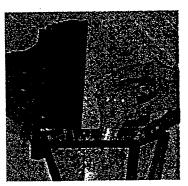
Edison Outdoor Lanterns: Today's Best Value!

The best sales opportunity in lighting today is the replacement market for residential outdoor fixtures. Edison Outdoor Lanterns are economically priced and are a perfect project for the novice Doit-Yourselfer. They are easy to install and provide immediate consumer satisfaction. Edison Outdoor Lanterns are weatherproof, rustproof and rugged. They never need painting and will not rust, chip or peel. They are proven best sellers for wall, ceiling or post top mounting styles.

Mansard Roof Style







Easy Relamping
All Edison Lanterns use
popular and readily available medium base bulbs.
Most Edison Lanterns
feature a unique pivoting
roof that swings open,
allowing quick, easy bulb ...
replacement.



wint lear Field Fleth Spare tern acrylic panels. Black Finish. 17" height, 734" width, 81/4" extension from walt. Up to 60 wait A19 bulb.

E3800-9
Mansard Roof "Pocket Lantern with clear Flerr ish pattern acrylic panels. Black Finish. 91/6" height, 81/2" width, 51/6" extension from wall. Up to 60 watt A19 bulb.



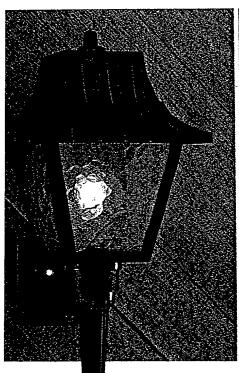
dison Outdoor anterns

ow Cost ong-Lasting – ales Leaders!

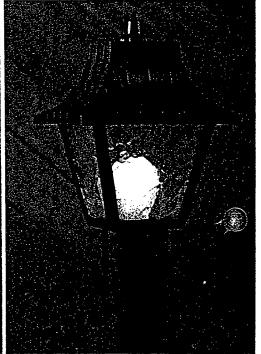


E3801-9

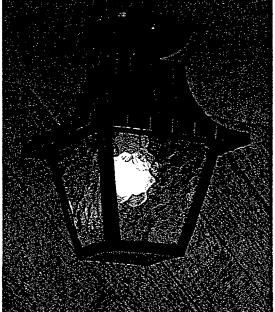
Mansard Roof "Pocket" Lantern with Amber Flemish pattern acrylic panels. Black Finish. 91/a" height, 81/2" width, 51/a" extension from wall. Up to 60 watt A19 bulb.







3601-9 ansard Roof Lantern ith Amber Flemish patrn acrylic panels. Black inish. 17" height, 73/4" idth, 81/4" extension om wall. Up to 60 watt 19 bulb.



E3700-9 Mansard Roof Lantern for Post Top Mounting with clear Flemish pattern acrylic panels. 11" height, 8" square base. Up to 60 watt A19 bulb.

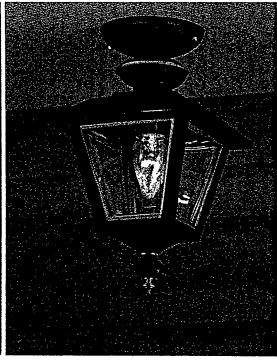


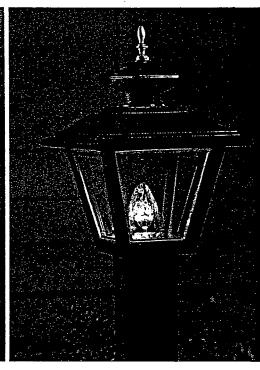
Mansard Roof Ceiling Mounted Lantern with clear Flemish pattern acrylic panels. Black Finish. 1034" height, 8" width, 8" depth. Up to 60 watt A19 bulb.



Traditional Favorite!







E3626-9
Traditional Lantern.
Clear acrylic beveled panels. Black with Bright Brass Finish trim. 19" height, 734" width, 8" extension from wall. Up to 60 watt bulb. Flame style recommended.

E3226-9
Traditional Ceiling
Mounted Lantern. Clear
acrylic beveled panels.
Black with Brass Finish
trim. 12½" height, 7¾"
width, 7¾" depth. Up to
60 watt bulb. Flarne style
bulb recommended.

E3726-9
Traditional Lantern for Post Top mounting.
Clear acrylic beveled panels. Black with Brass Finish Trim. 1094" height, 794" square base. Up to 60 watt bulb. Flame style bulb recommended.

lison Outdoor interns

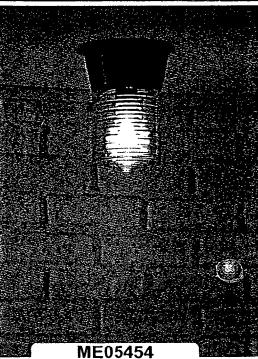
any Choices... any Sales aportunities!





Nautical style clear glass Wall Bracket. Black Lexan fitter is virtually indestructible. 6" height, 4½" width, 4¾" extension from wall. Up to 60 watt A19 bulb. (bottom)
E3314-9
Nautical Style Clear
Glass Ceiling Mount.
Black Finish. 7½" height.
4½" diameter. Up to 60
watt A19 bulb.







ic Look. Amber sh pattern acrylic s. Black Finish. 31" t, 10" width, 10" sion from wall. Up watt A19 buib.





E3901-9 Metal Post for Lantern. Black Finish. The post is 84" high with a 3" outer diameter.

(top) E3619-9

Smoke Glass Sphere. Textured Black Lexan fitter is virtually indestructible. Sphere is 5" in diameter. 61/4" total height. 51/2" extension from wall. Up to 60 watt A19 bulb. Clear bulb recommended.

(bottom) E3620-9

Opal Glass Sphere. Textured Black Lexan fitter is virtually indestructible. Sphere is 5" in diameter. 6¼" total height, 5½" extension from wall. Up to 60 watt A19 bulb.

(top) 3624-9

6" Smoke Glass Sphere. Wall bracket. Black finish. 13" total height, extends 6½" from wall. Up to 60 watt A19 butb.

(bottom)

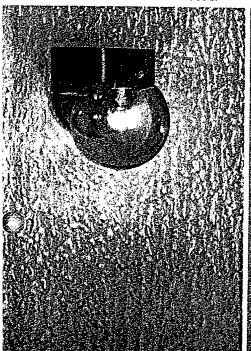
3623-9 6" Opal Glass Sphere. Wall bracket. Black finish. 13" total height, extends 6½" from wall. Up to 60 watt A19 bulb.

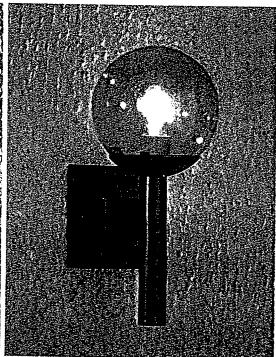
(top) **E3311-9**

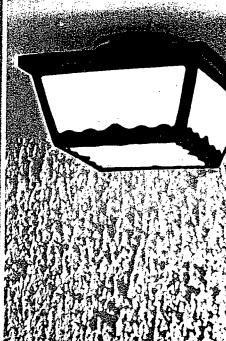
Tapered Square Porch Ceiling lighting fixture. Black Finish with Opal lens. Hinged for easy relamping. Two light unit. 9½" square, 4¼" depth. Up to two 60 watt A19 bulbs.

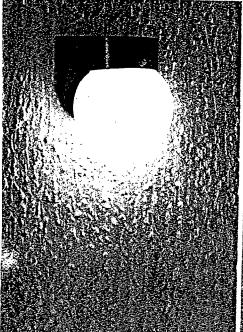
(bottom) **E3310-9**

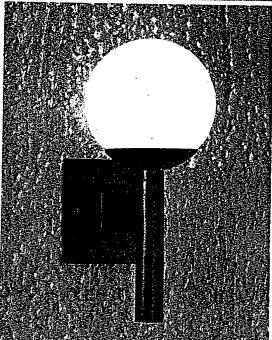
Tapered Square Porch Ceiling lighting fixture. Black Finish with Opal lens. Hinged for easy relamping. One light unit. 794" square, 334" depth. Up to 60 watt A19 bulb.

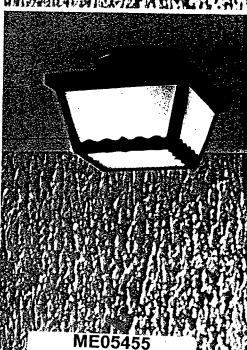






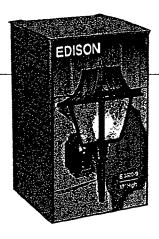






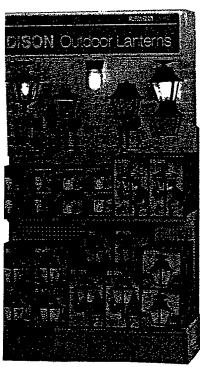
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Packaging

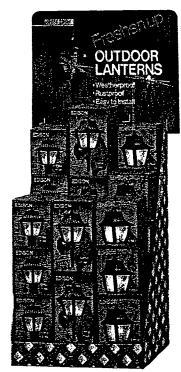
Show and Sell with our full-color lantern packaging. Almost life-size photographs for tremendous consumer impact. The full-color labels feature product description including dimensions and wattage.



>7 Seven of our it popular lanterns leatured on this one-e backboard. Electriand equipped with a venient line-ord and that lets you demonte these best-selling erns.



ELD-25 For the complete Lantern Department, we recommend this display be used in conjunction with the ELD-7. Features a wide assortment of best-selling lanterns and brackets.



EPOP-1 A colorful corrugated displayer that can accommodate Edison lantern cartons and provide immediate merchandising impact. Quick, easy, tool-free set up. Includes base and full color header.



Guidelines for fixture installation

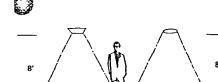
Each fixture is designed and engineered to provide optimum safety and performance when used with the recommended lamps.

Certain Edison Trac lampholders and Recessed fixtures have built-in reflectors that enable them to be used with conventional A lamps (light bulbs). Other fixtures use high efficiency R and PAR lamps which have internal reflectorized coatings, with various beam spreads that offer narrow to wide patterns.

Guidelines for fixture installations to achieve certain lighting results are outlined below.

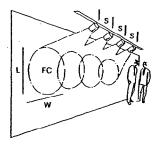
Installation Guidelines

With most Edison Recessed fixtures, the primary lighted area will be approximately as large as the height of the ceiling, i.e., in an 8' ceiling a 100 w square will light an 8' by 8' area. A round lens type downlight will light an 8' diameter circle.



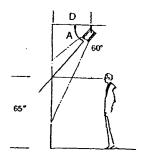
Washing a Wall with Light

Vertical surface can be flooded with overall light to add drama or enhance the spatial aspects of the interiors. A grazing or sharp angle of light might be used with fixture installed 12" to 18" from the wall. A scalloping lighting effect can be achieved by placing lamps at regular interval so that the elliptical pattern of their beams are defined.



Lighting a Painting

Amajor factor in lighting vertical surfaces is the correct placement of the light fixture to insure that proper aiming angles are achieved. The center of the projected beam spread should be focused at eye level or approximately 65" from the floor. To avoid glare from glossy or reflective surface a 60° aiming angle from the horizontal should be maintained.



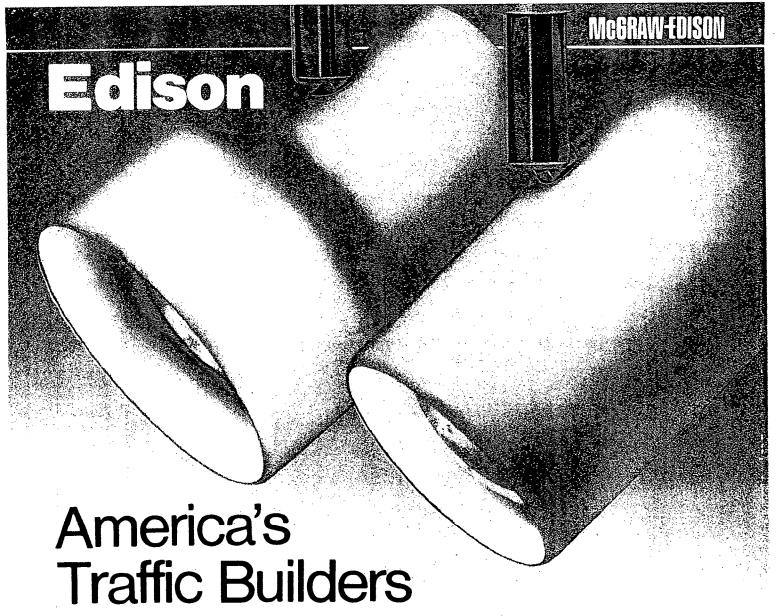
Lamp Performance Data

		•			
	D	FC	Ł	w	· s
30W/R20 FL	2	16	5	3	3
	3	7	7	4.	4
40W R16	2	16	4	4	3
	3	7.	5	6	5
50W R20 FL	2	32	5	3	3
	3	14	7	5	4
75W R30 FL	2	43	4	5	<u> </u>
	3	19	6	7	6
75W R30 SP	2	69	5	2	
	3	31	7	4	3
100W R25	2	48	4	.3	2
	3	21	6	.5	4
150W R40 FL	3	49	6	7	- 5
	4	27	8	ģ	7
150W R40 SP	3	102	7	3	
	4	57	10	4	4
150W PAR38 FL	3	89	7	4	 4
	4	50	9	5	5
150W PAR38 SP	3	190	5	2	2
	4	107	é	2	2

Track application illustration, letter code key

- A Aiming angle
- Distance to fixture from wall or floor
- FC Maximum horizontal footcandles on wall or floor within the effective visual beam
- L Effective visual beam length in feet
- W Effective visual beam width in feet
- EVB Effective Visual Beam, the point that the candle power is reduced to a ratio of 5:1 from the light source
 - S Spacing, the maximum distance between fixtures for uniform illumination, taking into consideration overlap of, beams.

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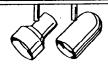


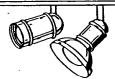
America's largest trac manufacturer offers two new traffic builders . . .

E2600P Roundback Cylinder, white . . . Uses 50W R20 or 60W A19 lamps. Contemporary styling that fits into all existing Edison trac. No need to add new trac or accessories.

E2700P Step Cylinder, white:... Uses 50W R20 or 60W A19 lamps. Handsome styling that fits into all existing Edison trac. No need to add new trac or accessories.







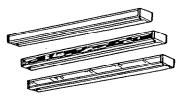




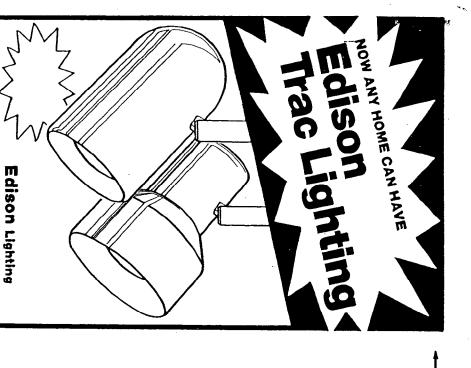


Display the Edison Trac Line . . . it sells itself.

Miniature size Trac... decorator designs and finishes... simple push-in connectors... packaged complete and ready to install by do-it-yourselfers. It's the most popular system because it's the most versatile: for permanent or portable installation on walls, ceilings, under cabinets, anywhere accent or mood lighting is needed.



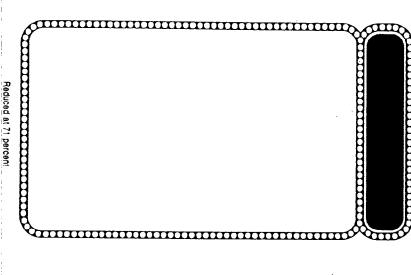
ME05458



Easy to install, portable, sized and styled for every area in house, apartment, loft! Shop the complete display of Edison Mini Trac, lampholders and accessories. Buy it today, enjoy it tonight!

(Customer Imprint Area)

ADS CAN BE REDUCED BY
YOUR LOCAL NEWSPAPER TO
FIT SINGLE COLUMN USE
WITHOUT ADDITIONAL COST



EDISON TRAC SYSTEM OFFERS NEW...

THE LIGHTS

Edison Lighting

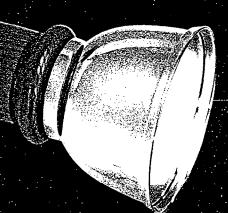
Styled to flatter any modern decor. Sized for use in any room, on wall, celling under cabinets, lighting work space, spotting prized possessions. Part of Edison's versatile, easy-to-install Trac System. Buy it today, enjoy it tonight! Shop the complete display of Edison Mini Trac, lampholders and accessories.

The Go Anywhere, Do Anything Lighting System.

(Customer Imprint Area)

Edison Lighting: New Reises

Five great new lampholders...all designed for use with the Edison Power - Trac system...all designed to add extra impact to any decorating scheme. Plus the warmth and excitement of polished brass!

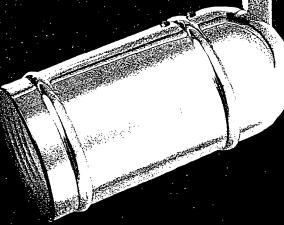


E2545B

A real wood lampholder with gleaming brass metal shade . . . a real touch of class and an Edison exclusive. For use with 75W R30 lamps. 8" length, 5%" diameter, 10%" extension.

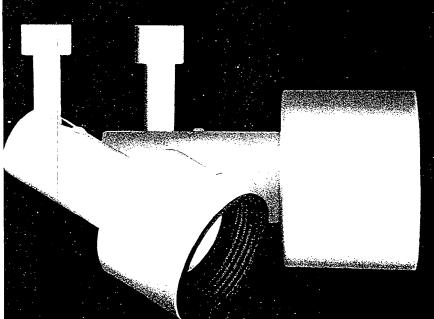
E2711B

Traditional style lampholder in a gleaming polished brass finish. For use with 30 to 50W, R20 or 100W A19 lamps.
7" length, 3½" diameter, 10" extension.



E4001B

4' polished brass track...the perfect companion for the new polished brass lampholders. Packaged in a blister pack with complete installation instructions.



E2732P, E2733P
Two sizes of the Classic Continental... a shape that blends well with a number of decorating styles. Two sizes to handle a number of lighting jobs. (E2732) 30 to 50W R20 or 100W R25. 6%" length, 3%" diameter, 9%" extension.

(E2733) 75W R30 or 100W R25. 834" length, 4%" diameter, 11%" extension.

E2762AB

America's favorite lampholder now offered in antique brass... perfect for panelled interiors.

For use with 30 to 50W R20 or 100W R25 lamps. 51/2" length, 33/4" diameter, 71/4" extension.

Nograw Édison Company 400 Bussa Ad. Zik Grave Village, IL 80007



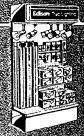
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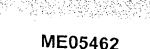




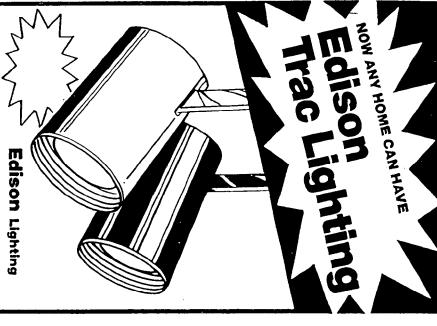


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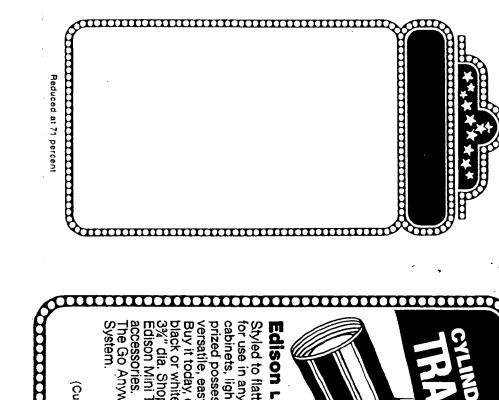




Buy it today, enjoy it tonight! for every area in house, apartment, loft! Cylinders shown are just 5½" deep, 3¾" dia. Shop the complete display of Edison Mini Easy to install, portable, sized and styled Trac, lampholders and accessories

(Customer Imprint Area)

FIT SINGLE COLUMN USE ADS CAN BE REDUCED BY WITHOUT ADDITIONAL COST YOUR LOCAL NEWSPAPER THESE TO



Edison Lighting

Julian Lighting

(Customer Imprint Area) Styled to flatter any modern decor. Sized for use in any room, on wall, ceiling unde

Edsa thing:

37933 2793 3 2003

Imaginative ... nostalgic and contemporary

Edison's Brass and Glass trac lampholders offer refreshing design possibilities. They are compatible with country or other traditional furnishings or they can be used to provide a unique accent for contemporary styles.

E2536B

Polished brass pendant with distinctive beaded brass fitting and clear optic glass shade. This lampholder includes a detachable cord clip for adjusting the lamp height as needed. For most dramatic lighting effects, use a clear 100 Watt maximum A19 lamp. Height of fixture (without cord) 6½". Width: 10"; Height with cord 85".



E2522B

This polished brass lampholder will add a touch of old time country charm to almost any setting evoking memories of ice cream cones and 5¢ malts. It features a gleaming brass beaded fitting with a fluted, scalloped clear glass shade. A clear 100 Watt A-lamp provides effective accent lighting.

Editor Lighting Color Edison Company 400 Susaa Road Est Susaa Wilaga, 11 80007

EDISON

Trac Lighting
OLD TIME
COUNTRY
CHARM



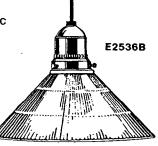
- Brass and glass
- For use with Edison Trac
- 85" cord can be adjusted for any height
- 10" clear glass shade, glearning brass fittings
- 100 Watt

EDISON

Trac Lighting

OLD TIME COUNTRY CHARM

- Brass and glass
- For use with Edison Trac
- 85" cord can be adjusted for any height
- 10" clear glass shade, gleaming brass fittings
- 100 Watt



EDISON

Trac Lighting



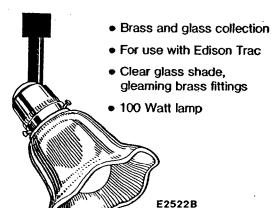
E2522B

- Brass and glass collection
- For use with Edison Trac
- Clear glass shade, gleaming brass fittings
- 100 Watt lamp

EDISON

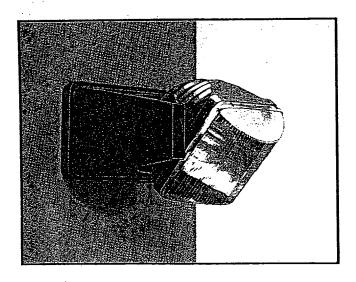
Trac Lighting

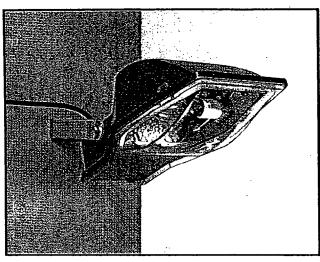
OLD TIME COUNTRY CHARM



Edison Lighting

Re-Introduces Classic Security Lighting





E5000 Bronze Finish50 Watt High Pressure Sodium Includes Bulb

- Energy efficient lamp operates for 5¢ a night
- 50 Watt High Pressure Sodium Bulb lasts 12 times longer than a 150 watt spot
- Light output is increased by 15% compared to 2 – 150 watt spot
- Unit is weatherproof, rustproof never needs painting
- Tough Lexan housing & lens makes unit vandal resistant
- · Easy to install to existing junction box
- · Aimable light may be directed as needed
- Flexible mounting allows for horizontal, vertical & ceiling mount positioning

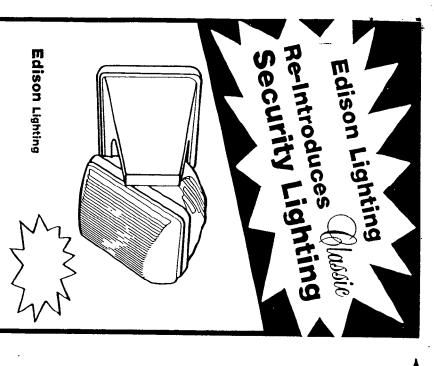
E6000 Bronze Finish70 Watt High Pressure Sodium Includes Bulb

- Energy efficient lamp operates for only 6¢ a night
- 70 Watt High Pressure Sodium lasts 12 times longer than 150 Watt spot
- Light output is increased by 10% compared to 3 – 150 Watt spots
- Polycarbonate lens makes this unit vandal resistant
- Lightweight housing (7 lbs) allows easy installation into existing junction box or hard to reach areas
- Mounting features allows fixture to be installed on a wall, ceiling or pole
- Unique reflector & yoke allows light to be cut off at property line
- Ideal for sports & yard activities
- Die cast housing w/seal makes unit rustproof & weatherproof

REPRODUCTION PROOFS

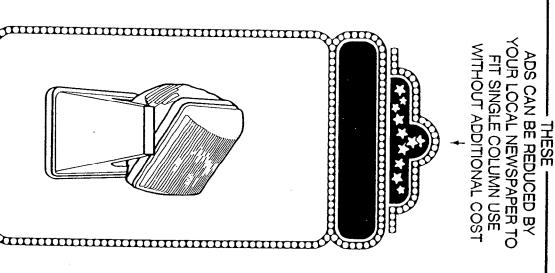
READY TO USE ARTWORK

EDISON



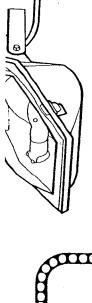
12 times longer than a 150 Watt spot. Security lighting that is easy to install, waterproof, rustproof, and never needs pennies a night. High pressure sodium painting. Ideal for sports and yard activities bulbs that increase light output and lasts Energy efficient lamps that operate for

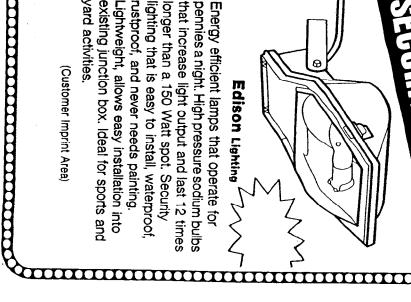
(Customer Imprint Area)



Reduced at 71 percent

existing junction box. Ideal for sports and yard activities. rustproof, and never needs painting. longer than a 150 Watt spot. Security that increase light output and last 12 times pennies a night. High pressure sodium bulbs Lightweight, allows easy installation into ighting that is easy to install, waterproof



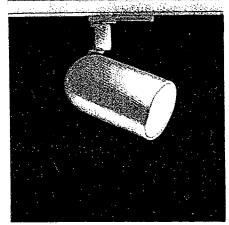


Do-It-Yourself Track Lighting

Introducing the most exciting and affordable track system ever...Pronto!

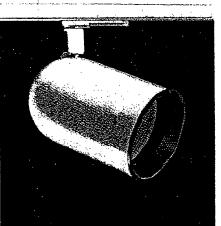
Pronto! by Edison Lighting is designed with today's discriminating consumer in mind. Five totally new track fixtures are perfectly designed in the most popular styles to give every consumer the economical, dependable and stylish track system they always wanted.

Only Pronto! offers the most advanced low profile adapter while promoting economy with maximum user satisfaction.

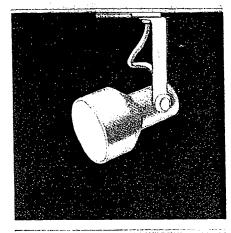


The roundback cylinder's tasteful contemporary design makes it the most popular track fixture.

P1402 Roundback Cylinder 50 watt R20, white

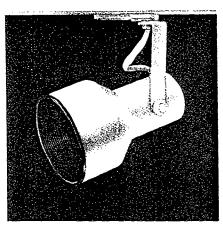


P1404 Roundback Cylinder with cóilex baffle 75 watt R30, white

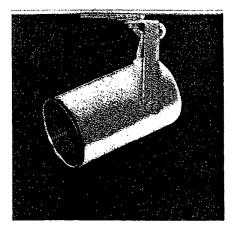


The sophisticated continental step cylinder represents the ultimate in versatility and styling.

P1401 Step Cylinder 50 watt R20, white

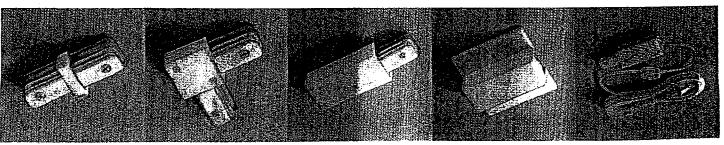


P1403 Step Cylinder with coilex baffle 75 watt R30, white



The basic geometric shape of the flatback cylinder blends with every style and decor.

P1405 Flatback Cylinder with coilex baffle 50 watt R20, white



P1500

P1501

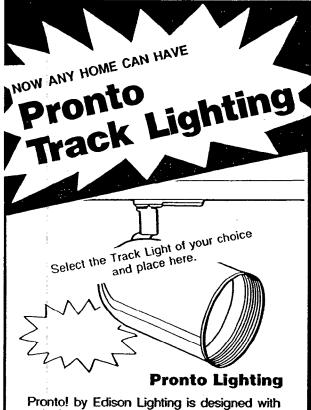
P1503

P1504

Edison Lighting

REPRODUCTION PROOFS READY TO USE ARTWORK

HIS AD CAN BE REDUCED BY YOUR LOCAL JEWSPAPER TO FIT SINGLE COLUMN USE VITHOUT ADDITIONAL COST



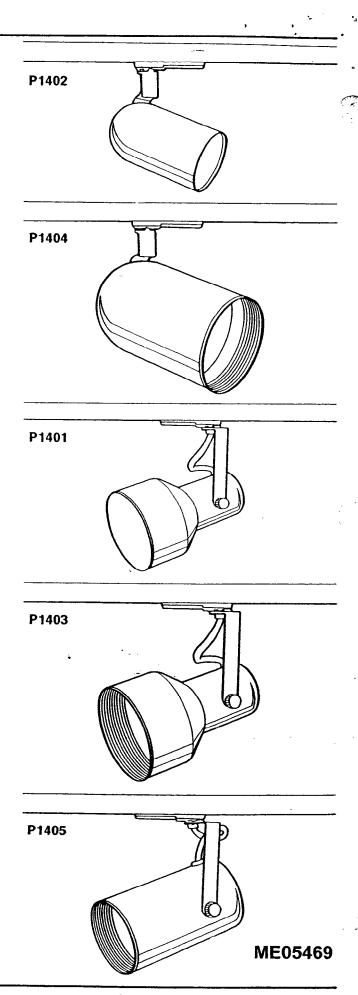
Pronto! by Edison Lighting is designed with today's discriminating consumer in mind. Five totally new track fixtures are perfectly designed in the most popular styles to give every consumer the economical, dependable and stylish track system they always wanted.

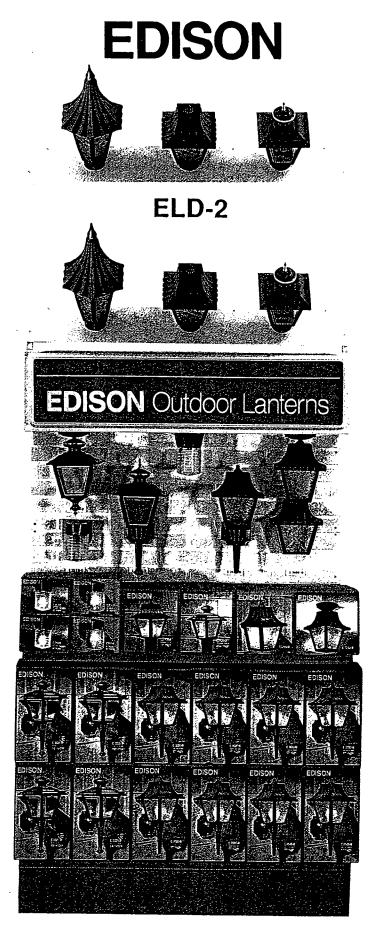
Only Pronto! offers the most advanced low profile adapter while promoting economy with maximum user satisfaction.

(Customer Imprint Area)

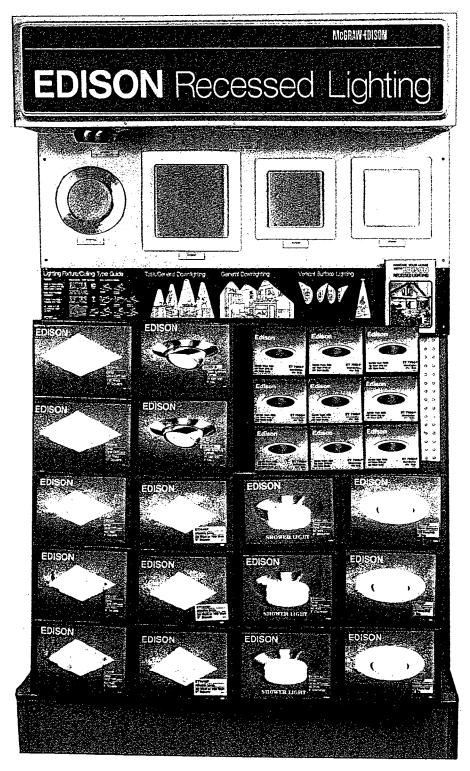


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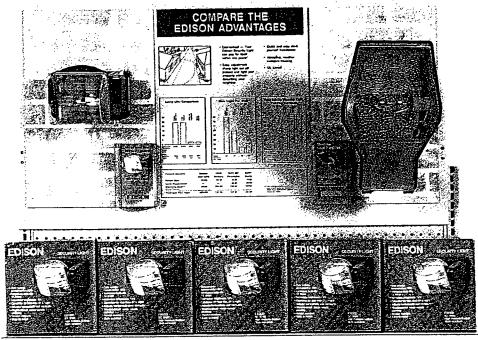
ELD-7 with ELD-2 attached



ERD14

EDISON

EDISON Security Lights

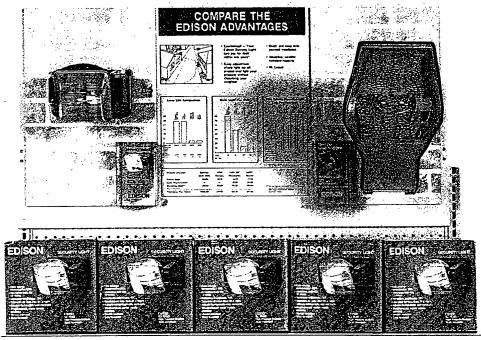




ESD-2

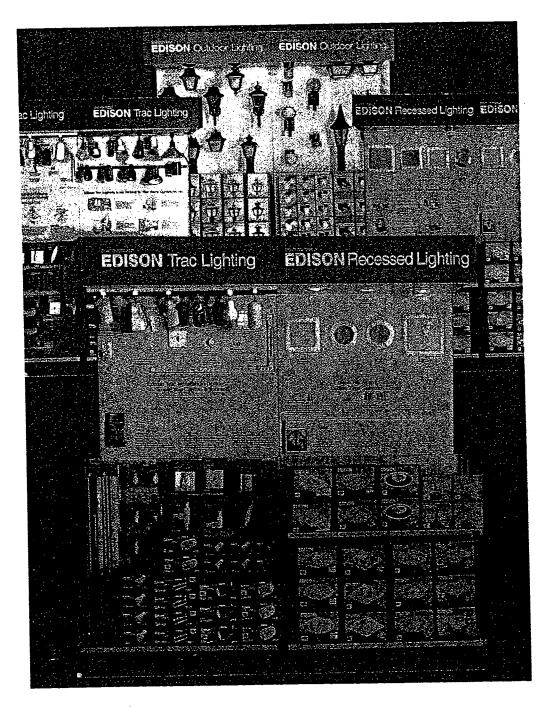
EDISON

EDISON Security Lights





ESD-2



Warehouse Modular Display Order Form

EDISON (ERW-8) RECESSED WAREHOUSE DISPLAY

DISPLAY PACKAGE INCLUDES:

	UNIT	TOTAL
QTY.	DESCRIPTION COST	COST
1	Package of selling stock includes:	
6	ET7010P 60/100W square, albalite lens, white . \$17.65	5 \$105.90
6	ET7011P 100W square, drop opal, white 20.70	-
6	ET7021P 150W square, drop opal, white 25.50	153.00
6	ET7071AL 60W round, shower light,	
•	drop opal, aluminum 21.1	5 126.90
6	EIT7078B 75W round, eyeball, brass 25.9	5 155.70
6	ET7401P 150W round, open, white 17.3	0 103.80
6	ET7993P 30/50W round, mini "coilex"	
	remodeler, white	5 110.10
	Selling Stock Package	. \$879.60
7	Fixtures mounted to display panels, three fixtures in top panel are wired	. \$ 99.90
	Subtotal	. \$979.50
1	ERW-8 Merchandise display panels \$150.0	
	Receive the three merchandise display panels at N/C with purchase of entire selling stock package.	
	Total Price	\$

EDISON (ETW-16) TRAC WAREHOUSE DISPLAY

DISPLAY PACKAGE INCLUDES:

		UNIT	TOTAL
QTY.	DESCRIPTION	COST	COST
1	Package of selling stock includes:		
6	EA001P A' Trac white	\$15.35	\$ 92.10
6	E4001W 4' Trac, teak/black	16.60	99.60
6	E4911P Live-end connector and junction box		
•	cover white	6.20	37.20
6	E4911MB Live-end connector and junction box		
٧	cover, black	6.20	37.20
6	E4908P Mini-connector, white	3.60	21.60
6	E4908MB Mini-connector, black	3.60	21.60
6	E4904P "L" Connector, white	6.85	41.10
6	E4904MB "L" Connector, black	6.85	41.10
ě	E4950P 15' Cord and plug w/switch, white	12.40	74.40
6	E4950MB 15' Cord and plug w/switch, black	12.40	74.40
6	E4909P Floating canopy and connector, white .	8.00	48.00
6	E4909MB Floating canopy and connector, black	8.00	48.00
6	E4961P Swag hook, white	2.25	13.50
ĕ	E4961MB Swag hook, black	2.25	13.50
6	E4903P Straight connector, white	5.70	34.20
6	E4903MB Straight connector, black	5.70	34.20
ĕ	E4905P "T" Connector, white	9.85	59.10
6	E4905MB "T" Connector, black	9.85	59.10
12	F4983P T-bar attachment clip	1.50	18.00
6	E4973P Canopy adapter, monopoint, white	5.40	32.40
6	E4973MB Canopy adapter, monopoint, black	5.40	32.4
	Trac and accessories total		\$932.7
1	ETW-16 Merchandise display panels	\$150.00	\$150.00
	Includes two 4' sections of Trac (white and		
	teak/black), electrified with accessories and		

connectors mounted.

EDISON (ERW-9) RECESSED WAREHOUSE DISPLAY

DISPLAY PACKAGE INCLUDES:

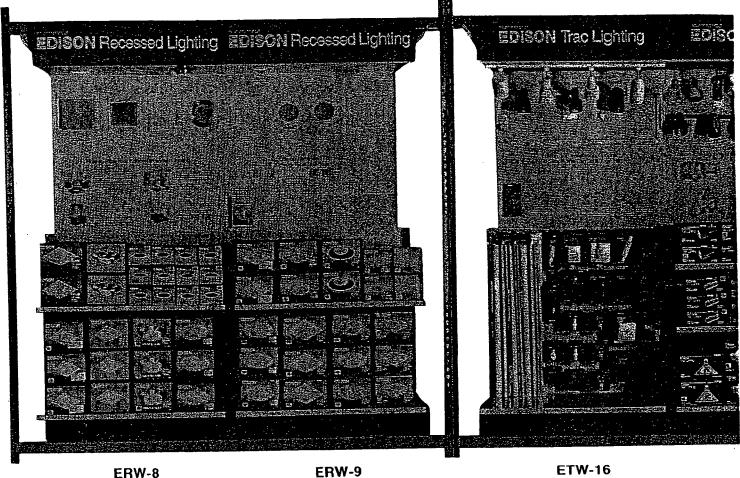
	UNIT	TOTAL
QTY	DESCRIPTION COST	COST
1	Package of selling stock includes:	
6	ES7010P 100W square, albalite lens, white \$16.25	
6	ES7020P 150W square, albalite lens, white 20.90	
6	ET7070P 60W round, albalite lens, white 18.30	
6	ET7071P 60W round, drop opal diffuser, white . 18.90	
6	EIT7078P 75W round, eyeball, white 23.90	
6	ET7301P 75W round, open, white 18.60) 111.6C
6	ET7410P 150W round, "coilex" baffle, white 18.60	111.6C
12	ES7000P 75W round, open, white 8.40	-
6	ES7001P 60W square, albalite lens, white 10.25	5 61.5C
	Selling Stock Package	\$975.0C
7	Fixtures mounted to display panels, three fixtures in top panel are wired	
-	Subtotal	. \$1,065.0C
1	ERW-9 Merchandise display panels \$150.0	0 \$150.0C
	Receive the three merchandise display . panels at N/C with purchase of entire selling stock package.	
	Total Price	. \$

EDISON (ETW-16) TRAC WAREHOUSE DISPLAY

CONTINUED FROM LEFT COLUMN

Receive the three merchandise panels N/C with purchase of the Trac and Accessory package plus \$600.00 worth of the following Trac lampholders:

STD		UNIT COST
	E2721P Pinchback fixture, white	\$16.05
6	E2721W Pinchback fixture, teak/black	16.60
6	E2728P Roundback fixture, white	16.05
6	EZ/28P MOUNODAUK INIUIO, WING	16.60
6	E2728W Roundback fixture, teak/black	18.60
6	E2762P Cylinder fixture, white	20.25
6	E2762AB Cylinder fixture, antique brass	18.60
6	E2762MB Cylinder fixture, black	
6	E2726P Step cylinder fixture, white	16.05
6	E2726W Step cylinder fixture, teak/black	16.60
6	F2710AB Cylinder w/shade, antique brass	23.80
6	F2711AB Beaded cylinder fixture, antique brass	23.55
6	E2711B Beaded cylinder fixture, brass	26.60
4	F2522B Polished brass with glass	25.96
4	F2536B Brass pendant w/glass shade	34.75
6	E2546AB Wood cylinder w/antique brass shade	31.10
6	E2761P Cylinder fixtures, white	14.10
6	E2732P Continental fixture, white	19.25
6	E2733P Continental fixture, white	22.05
6	E2600P Roundback cylinder, white	8.75
6	E2700P Step cylinder, white	
6	E4000P 4' Trac with two E2761P fixtures	34.90



\$150.00

EDISON (ETW-11) TRAC WAREHOUSE DISPLAY

DISPLAY PACKAGE INCLUDES:

ERW-8

ETW-16 Merchandise display panels Includes four electrified 4' Trac sections in all four finishes.

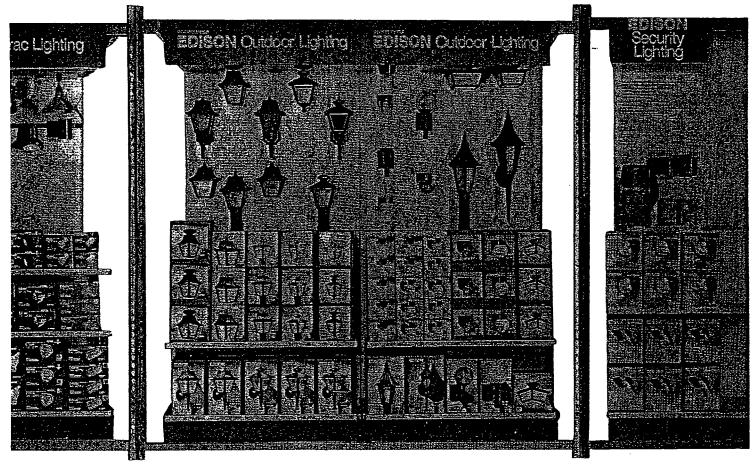
STD.		UNIT COST
6	E2721P Pinchback fixture, white	\$16.05
6	E2721W Pinchback fixture, teak/black	16.60
6	E2728P Roundback fixture, white	16.05
6	E2728W Roundback fixture, teak/black	16.60
6	E2762P Cylinder fixture, white	18.60
6	E2762AB Cylinder fixture, antique brass	20.25
6	E2762MB Cylinder fixture, black	18.60
6	E2726P Step cylinder fixture, white	16.05
6	E2726W Step cylinder fixture, teak/black	16.60
6	E2710AB Cylinder w/shade, antique brass	23.80
6	E2711AB Beaded cylinder fixture, antique brass	23.55
6	E2711B Beaded cylinder fixture, brass	26.60
4	E2522B Polished brass with glass	25.96
4	E2536B Brass pendant w/glass shade	34.75
6	E2546AB Wood cylinder w/antique brass shade	31.10
6	E2761P Cylinder fixtures, white	14.10
6	E2732P Continental fixture, white	19.25
6	E2733P Continental fixture, white	22.05
6	E2600P Roundback cylinder, white	8.75
6	E2700P Step cylinder, white	8.75
6	E4000P 4' Trac with two E2761P fixtures	34.90

ETW-16

EDISON (ELW-10) LANTERN WAREHOUSE DISPLAY

DISPLAY PACKAGE INCLUDES:

OTV		UNIT COST	TOTAL
QTY	. DESCRIPTION		
1	Package of selling stock includes:		
6	E3311-9 Taper sq. ceiling fixture, opal panels	12.50	\$ 75.00
6	E3310-9 Taper sq. porch ceiling fixture, opal		
	panels	9.95	59.70
12	E3314-9 Round ceiling fixture, clear nautical		co 00
	glass	4.90	58.80
6	E3623-9 Wall bracket, 6" opal glass sphere	11.10	66.60
6	E3624-9 Wall bracket, 6" smoke glass sphere .	12.35	74.10
6	E3610-0 Wall bracket, clear "nautical" glass	4.45	26.70
6	E3620-9 Wall bracket, opal glass sphere	8.00	48.00
12	E3619-9 Wall bracket, smoke glass sphere	8.00	48.00
6	E3707-9 Hex. post lantern (w/o post), amber		400.00
	panels	18.15	108.90
6	E3605-9 Hex. wall lantern w/torch handle,		
	amber panels	19.95	119.70
	Selling Stock Package		\$685.00
10	Fixtures mounted to display panels,		
	one example from each style of lantern listed		\$109.35
	Subtotal		\$794.85
1	ELW-10 Merchandise display panels\$		\$150.00
	Receive the three merchandise display panels at N/C with purchase of entire selling stock package.		
	Total Price		\$



ΓW-11

ELW-10

EDISON (ELW-9) LANTERN WAREHOUSE DISPLAY

DISPLAY PACKAGE INCLUDES:

		UNIT	TOTAL
QTY	. DESCRIPTION	COST	COST
1	Package of selling stock includes:		
6	E3200-9 Sq. ceiling lantern, clear panels	\$ 9.15	\$ 54.90
6	E3226-9 Sq. ceiling lantern, clear panels	15.40	92.40
12	E3600-9 Sq. wall lantern w/torch handle,		
	clear panels	9.50	114.00
6	E3601-9 Sq. wall lantern w/torch handle, amber		
	panels	9.50	57.00
12	E3626-9 Sq. wall lantern w/torch handle,		
	clear panels	16.30	195.60
6	E3800-9 Sq. wall pocket lantern, clear panels	8.70	104.40
6	E3801-9 Sq. wall pocket lantern, amber panels.	8.70	52.20
6	E3700-9 Sq. post lantern (w/o post),		
	clear panels	9.00	54.00
6	E3726-9 Sq. post lantern (w/o post),		
	clear panels	13.70	82.20
	Selling Stock Package		\$806.70
9	Fixtures mounted to display panels,		•
	one example from each style of lantern listed		\$ 99.95
	Subtotal		\$906.65
1	ELW-9 Merchandise display panels	150.00	\$150.00
	Receive the three merchandise display panels at N/C with purchase of entire selling stock package.		
	Total Price	\$	

ELW-9

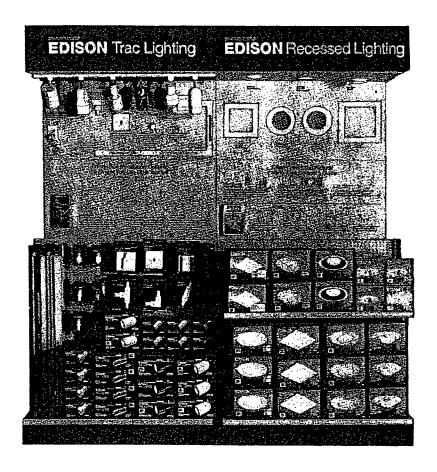
ESW-4

EDISON (ESW-4) SECURITY WAREHOUSE DISPLAY

DISPLAY PACKAGE INCLUDES:

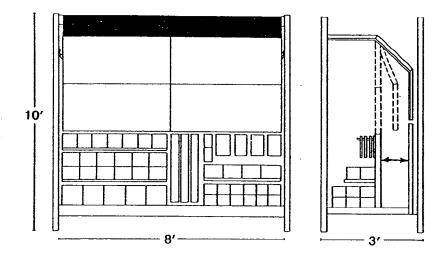
QTY	DESCRIPTION	UNIT COST	TOTAL COST
1	Package of selling stock includes:		
6	E5000 50W H.P.S. Security Light	\$69.90	\$ 419.40
6	E6000 70W H.P.S. Security Light	78.50	471.00
	Selling Stock Package		\$890.40
1	ESW-4 Merchandise display panel (fixtures not included)		
	Receive merchandise display panel at N/C with purchase of entire selling stock package.		
	Total Price		\$
	Note: Unite with Photo Control		

Note: Units with Photo Control (E5001 and E6001) may be substituted for an additional \$10.00 per unit.



Edison now offers a unique merchandising concept — an attractive, informative and space-saving modular warehouse point-of-sale display. The easy-to-install display panels create the kind of focal point that captures the attention of the consumer.

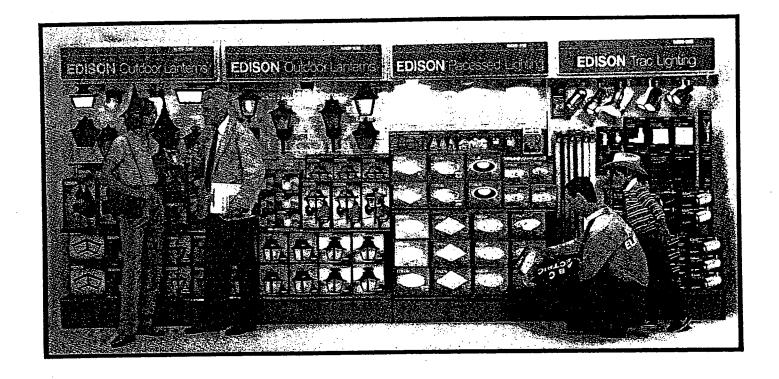
Seven separate and unique displays are available for Trac, Recessed, Outdoor Lanterns and Security Lighting products. Each display is perfectly coordinated to present a complete lighting center and provide immediate merchandising impact. The colorful panels feature an assortment of the best selling products, convenient literature pockets, easy-to-install instructions and suggested residential applications.



The Edison Warehouse Modular
Displays are designed to be suspended from standard warehouse racking with the selling stock displayed on shelving or gondola bases.

The hinged display panels permit customized configurations which conform to the depth of the racking. Each panel measures 22" high x 47¼" wide and will accommodate twenty-four to thirty-six inch deep racking.

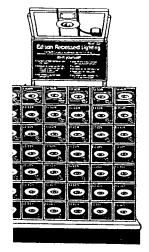
The Trac, Recessed and Outdoor Lanterns displays may be used as individual four foot sections or combined with the complementary Trac, Recessed and Outdoor lighting display to create eight foot sections.



DISPLAY PACKAGE ORDER FORM May, 1986

COOPER

lison Lighting



Fits Standard 48" Gondola Base

EDISON (ERD-1) RECESSED GONDOLA DISPLAY PACKAGE INCLUDES:

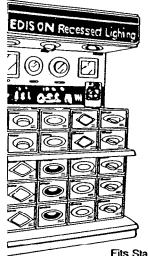
QTY.	DESCRIPTION	UNIT COST	TOTAL COST
1	Package of selling stock includes:		
72	ES7000P 75W round, open, white	\$ 8.40	\$604.80
12	EHB-2-24 Bar hanger, 24"	1.15	13.80
	Selling Stock Package		.\$618.60
1	ERD-1 Merchandise header/backboard (fixtures not included)	\$75.00	\$ 75.00
	purchase of entire selling stock package Total Price		.\$

EDISON (ERD-7) RECESSED GONDOLA DISPLAY PACKAGE INCLUDES:

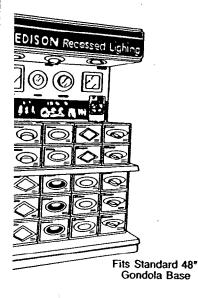
QTY	DESCRIPTION	UNIT COST	TOTAL COST
1	Package of selling stock includes:		
6	ES7010-P 100W square, Albalite lens, white	\$ 16.25	\$ 9750
6	ES7020-P 150W square, Albalite lens, white	20.90	125.40
6	ET7070 -P 60W round, Albalite lens, white	18.30	109.80
6	ET7071 -P 60W round, Drop opal diffuser, white	18.90	113.40
6	EIT7078 -P 75W round, Eyeball, white trim	23.90	143.40
	ET7301 P 75W round, Open, white	18.60	111.60
6	ET7410 -P 150W round "Coilex" baffle, white	18.60	111.60
12	ES7000-P 75W round, Open, white	8.40	100.80
7	Selling Stock Package. Fixtures mounted to the display header/backboard: One example from each style of recessed light listed. 3 fixtures in soffit are wired.		
1	Subtotal ERD-7 Merchandise header/backboard Receive the merchandise header/backboard at N/C with purchase of entire selling stock package.	\$	1,000.90 \$150.00
	Total Price		_

EDISON (ERD-14) RECESSED GONDOLA DISPLAY PACKAGE INCLUDES:

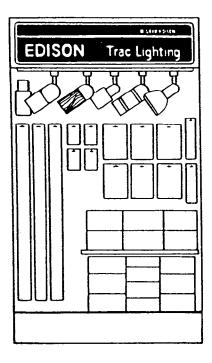
QTY.	DESCRIPTION	UNIT COST	TOTAL COST
1	Package of selling stock includes:		
6	ET704Å D COMOONAL	17.65	105.90
6	ET7011 -P 100W square, drop opal, white	20.70	124.20
6	ET7021 -P 150W square, drop opal, white	25.50	153.00
6	ET7071 -AL 60W round, shower lite, drop opal, aluminum	21.15	126.90
6	EIT7078-B 75W round, eyeball, brass	25.95	
6	ET7401 -P 150W round, open, white	17.30	103.80
6	ET7993 -P 30/50W round, mini-coilex remodeler, white	18.35	
7	Selling Stock Package. Fixtures mounted to display header/backboard: One example from each style of recessed light listed 3 fixtures in soffit are wired.		
1	Subtotal	150.00	\$07C 00
	Total Price		\$



Fits Standard 48" Gondola Base







Fits Standard 48" Gondola Base

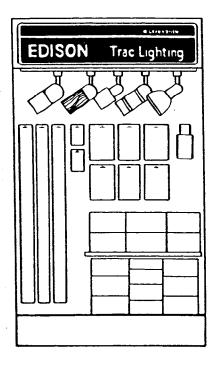
EDISON (ETD-20) TRAC GONDOLA DISPLAY PACKAGE INCLUDES:

QTY.	DESCRIPTION	UNIT COST	TOTAL COST	
166666666666666	Package of selling stock includes: E4001-B 4' Trac, brass E4001-P 4' Trac, white E4001-W 4' Trac, teak/black E4001-AB 4' Trac, antique brass E4911-P Live-End connector and junction box cover, to the self-self-self-self-self-self-self-self-	\$ 17.70 15.35 16.60 18.40 white 6.20 olack 6.20 3.60 6.85 6.85 12.40 12.40	\$106.20 92.10 99.60 110.40 37.20 37.20 21.60 41.10 41.10 74.40	
6 6	E4909-P Floating canopy and connector, white E4909-MB Floating canopy and connector, black	8.00 8.00	48.00 48.00	
6 6	E4961-P Swag hook, white E4961-MB Swag hook, black	2.25 2.25	13.50 13.50	
1	Trac and accessories total ETD-20 Merchandise header/backboard Electrified and includes Trac in 4 finishes. Receive the merchandise header/backboard at N/C with purchase of the Trac and accessory package, plus \$600.00 worth of the following Trac lampholders:	\$150.00	\$879.90 \$150.00	

QTY.		DESCRIPTION	STD PACK	UNIT COST
1	Package o	f selling stock includes:		
	E2721-P		6	\$ 16.05
	E2721-W	Pinchback fixture, teak/black	6	16.60
	E2728-P		6	16.05
	E2728-W		6	16.60
	E2762-P		6	18.60
		Cylinder fixture, antique brass	6	20.25
	E2762-MB	Cylinder fixture, black	6	18.60
	E2726-P		6	16.05
	E2726-W		6	16.60
		Cylinder w/shade, antique brass	6	23.80
	E2711-AB	Beaded cylinder fixture, antique brass	6	23.55
	E2711-B		6	26.60
	E2522-B	Polished brass with glass	Ă	25.96
	E2536-B	Brass pendant with glass shade	4	34.75
	E2545-B	Wood cylinder w/brass shade	6	34.85
		Wood cylinder w/antique brass shade	6	31.10
	E2761-P		ě	14.10
	E2732-P		6	19.25
	E2733-P			22.05
	E2600-P	Roundback cylinder, white	6 6	8.75
	E2700-P	Step cylinder, white	ě	8.75
	E4000-P	4' Trac with two E2761-P fixtures	ĕ	34.90

ME05481





Fits Standard 48" Gondola Base

EDISON (ETD-21) TRAC GONDOLA DISPLAY PACKAGE INCLUDES:

QTY.	DESCRIPTION CO		TOTAL COST	
1	Package of selling stock includes:			
6	E4001-B 4' Trac, brass \$ 17	7.70	\$106.20	
6	E4001-P 4' Trac, white 15	5.35	92.10	
6	E4001-W 4' Trac, teak/black	6.60	99.60	
6	E4001-AB 4' Trac, antique brass	B.40	110.40	
6	E4903-P Straight Connector, white	5.70	34.20	
6	E4903-MB Straight Connector, black	5.70	34.20	
6	E4905-P "T" Connector, white	9.85	59.10	
6	E4905-MB "T" Connector, black	9.85	59.10	
12	E4983 T-bar attachment clip	1.50	18.00	
6	E4973-P Canopy adapter, monopoint, white	5.40	32.40	
6	E4973-MB Canopy adapter, monopoint, black	5.40	32.40	
1	Trac and accessories total	0.00	\$677.70 \$150.00	

QTY.	DESCRIPTION	STD PACK	UNIT COST
1 Paci	rage of selling stock includes:		
	21-P Pinchback fixture, white	6	\$ 16.05
E272	21-W Pinchback fixture, teak/black	6	16.60
E272	28-P Roundback cylinder fixture, white	6	16.05
E272	28-W Roundback cylinder fixture, teak/black		16.60
E270	52-P Cylinder fixture, white	6 6	18.60
E270	52-AB Cylinder fixture, antique brass	. 6	20.25
E270	62-MB Cylinder fixture, black	6	18.60
E272	26-P Step cylinder fixture, white	6	16.05
E272	26-W Step cylinder fixture, teak/black	6	16.60
E27	10-AB Cylinder w/shade, antique brass	6 6	23.80
E27	11-AB Beaded cylinder fixture, antique brass	6	23.55
E27	11-B Beaded cylinder fixture, brass	6	26.60
E25	22-B Polished brass with glass	4	25.96
E253	36-B Brass pendant w/glass shade	4	34.75
E254	45-B Wood cylinder w/brass shade	6	34.85
E254	46-AB Wood cylinder w/antique brass shade	6	31.10
E270	51-P Cylinder fixtures, white	6	14.10
E273	32-P Continental fixture, white	6	19.25
E273	33-P Continental fixture, white	6	22.05
	00-P Roundback cylinder, white	6 6	8.75
	00-P Step cylinder, white	6	8.75
E400	00-P 4' Trac with two E2761-P fixtures	6	34.90
Tota	l Prico		•

COOPER

Edison Lighting

EDISON (ELD-2) POST LANTERN DISPLAY

The versatile ELD-2 retrofits our current ELD-7 lantern header board or it can be attached to a wall, or the top of a gondola.

QTY.	DESCRIPTION	UNIT COST	TOTAL COST
1	Package of selling stock includes:		
6	E3700-9 Sq. post lantern (w/o post), Clear panel	\$ 9.00	\$ 54.00
6	E3726-9 So post lantern (w/o post). Clear panel	13.70	82.20
6	E3707-9 Hex. post lantern (w/o post), Amber panel	18.15	108.90
1	Selling Stock Package	ed). \$ 50.00	\$245.10 \$ 50.00
	entire selling stock package.		\$

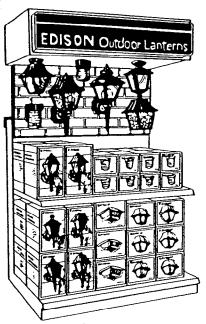
EDISON (ELD-7) LANTERN GONDOLA DISPLAY PACKAGE INCLUDES:

QTY.	DESCRIPTION	UNIT COST	TOTAL COST	_
1	Package of selling stock includes:			
6	E3200-9 Sq. ceiling lantern, Clear panels	\$ 9.15	\$ 54.90	
6	E3226-9 Sq. ceiling lantern, Clear panels	15.40	92.40	
12	E3314-9 Round ceiling fixture, Clear nautical glass	4.90	58.80	
12	E3600-9 Sq. wall lantern w/torch handle, Clear panels	9.50	114.00	
12	E3610-9 Wall bracket, Clear "nautical" glass	4.45	53.40	
12		16.30	195.60	
12	E3800-9 Sq. wall pocket lantern, Clear panels	8.70	104.40	
7	Selling Stock Package		\$673.50	
	3 fixtures are wired		\$ 68.40	
1	Subtotal		\$741.90	
	purchase of entire selling stock package. Total Price		\$	

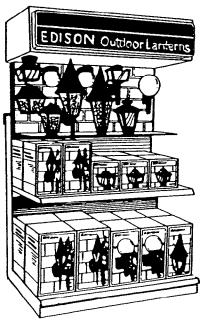
EDISON (ELD-25) LANTERN GONDOLA DISPLAY PACKAGE INCLUDES:

		UNIT	TOTAL	
QTY.	DESCRIPTION	COST	COST	
1	Package of selling stock includes:			
6	E3310-9 Taper. Sq. porch ceiling fixture, Opal panels	\$ 9.95	\$ 59.70	
6	E3605-9 Hex. wall lantern w/torch handle, Amber panels	19.95	119.70	
ě	E3619-9 Wall bracket, Smoke glass sphere	8.00	48.00	
6	E3620-9 Wall bracket, Opal glass sphere	8.00	48.00	
ő	E3623-9 Wall bracket, 6" Opal glass sphere	11.10	66.60	
6	E3624-9 Wall bracket, 6" Smoke glass sphere	12.35	74.10	
ő	E3311-9 Taper. Sq. ceiling fixture, Opal panels	12.50	75.00	
6	E3700-9 Sq. post lantern (w/o post), Clear panels	9.00	54.00	
6	E3707-9 Hex. post lantern (w/o post), Amber panels	18.15	108.9 0	
6	E3726-9 Sq. post lantern (w/o post), Clear panels	13.70	82.20	
6	E3801-9 Sq. wall pocket lantern, Amber panels	8.70	52.20	
	Selling Stock Package		\$788.40	
. 10	3 fixtures are wired	<u></u>	\$120.30	
	Subtotal		\$908.70	
1	ELD-25 Merchandise header/backboard	\$150.00	\$150.00	
	Total Price		\$	





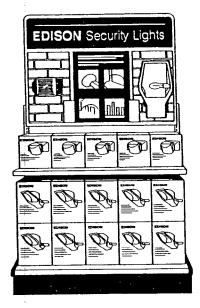
Fits Standard 48" Gondola Base



Fits Standard 48" Gondola Base

COOPER

Edison Lighting



Fits Standard 48" Gondola

EDISON (ESD-2) SECURITY GONDOLA DISPLAY PACKAGE INCLUDES:

QTY.	DESCRIPTION	UNIT TOTAL COST COST
12	Package of selling stock includes: E5000 - 50 Watt H.P.S. Security Light E6000 - 70 Watt H.P.S. Security Light	\$ 69.90 \$ 838.80 \$ 78.50 785.00
1	Selling Stock Package. ESD-2 Merchandise header/backboard (fixtures not included) Receive merchandise header/backboard at N/C with purchase of entire selling stock package. Total Price.	\$150.00 \$ 150.00

NOTE: Units with Photo Control (E5001 & E6001) may be substituted at additional \$10.00 per unit.

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NET PRICE SHEET
No. EPIN-186
Effective January 1, 1985
Revised 1/31/86

General Terms and Conditions of Sale

Terms of Payment: 2% discount allowed for payments on the 10th proximo.

Freight Terms: Freight will be prepaid and allowed on each order totalling \$1,000.00 or partial release totalling \$1,000.00 prepaid or more within the continental limits of the United States. For other areas, consult factory. Orders not qualified for prepaid freight will be shipped with transportation charges collect.

All shipments will be made via carrier selected by shipper.

Merchandise and Claims Terms: Title to all merchandise shall pass from Edison Lighting to our customers upon execution of the bill of lading or tender of freight to carrier's agent or customer's agent, whichever shall first occur.

All claims for loss or damage in transit must be made by consignee to carrier.

Return Merchandise Terms: No merchandise may be returned without prior written authorization. This written authorization will be issued at Edison Lighting's discretion and must be requested by the customer within 90 days of the original shipment date. Only items determined by the manufacturer to be defective may be returned.

Custom made equipment and "specials" may not be returned except for defects proven to be the manufacturer's responsibility.

Order Cancellation Terms: Cancellation of orders will be accepted only if made in writing and received prior to shipment of order. Verbal order cancellations will not be accepted.

Custom made equipment and "specials" may not be cancelled after release of order.

Edison Lighting reserves the right to assess a minimum cancellation charge of \$25.00 when an order is cancelled and an additional charge of 25% of the value of the order if the order is cancelled after it has been processed for shipment.

Minimum Order Charge: A service charge of \$5.00 will be added to all original orders which total less than \$50.00 net.

Pricing: Prices are subject to change without notice. Merchandise will be shipped at prices prevailing at the time of shipment.

One-Year Product Warranty to the Dealer: Lighting Products Division warrants to the Dealer, for one year* only, that its products are free from defects in material and workmanship. Lighting Products Division obligation is expressly limited to repair or replacement, without charge, at Lighting Products Division factory, only after prior written return authorization has been granted. This warranty shall not apply to products which have been altered or repaired outside of the Lighting Products Division's factory. This warranty is in lieu of all other warranties, express or implied, and without limiting the generality of the foregoing phrase, excludes any implied warranty of merchantability. Also, there are no warranties which extend beyond the description of the product on the company's literature setting forth the terms of sale.

*For this determination, the warranty period shall commence not later than three months from date of shipment from Lighting Products Division.

Edison Lighting Division 400 Busse Rd. Elk Grove Village, III. 60007

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Edison Lighting		NET PRICE LIST		EPIN-1	86
OUTDOOR LANTERNS	CATALOG NUMBER	DESCRIPTION		NET WT. LBS. EA.	NET PRICE
8	E3200-9	Sq. ceiling fixture, Clear panels (ht. 10%") 60W	12	1¾	9.15
E3200-9	E3226-9	Sq. ceiling fixture, Clear panels (ht. 13") 60W	6	2	15.40
E3226-9 E3310-9 E3311-9					0.05
	E3310-9 E3311-9	Taper Sq. porch ceiling fixture (1 lt.) Opal panels (7¾" sq.) 60W Taper Sq. porch ceiling fixture (2 lt.) Opal panels (9½" sq.) 2-60W	6 6	2 2½	9.95 12.50
E3314-9	E3314-9	Outdoor ceiling fixture, Clear "nautical" glass, (ht. 71/2") 60W	12	11/2	4.90
E3600-9			· · · ·		
	E3600-9 E3601-9	Sq. wall lantern w/torch handle, Clear panels (ht. 17") 60W Sq. wall lantern w/torch handle, Amber panels (ht. 17") 60W	12 12	2	9.50 9.50
E3605-9	E3605-9	Hex. wall lantern w/lorch handle, Amber panels (ht. 31") 60W	1	3	19.95
E3610-9	E3610-9	Wall bracket, Clear "nautical" glass (ht. 6") 60W	12	11/2	4.45
E3619-9 E3620-9	E3619-9	Wall bracket, Smoke glass (ht. 61/4") 60W	6	11/2	8.00
E3623-9	E3620-9	Wall bracket, Opal glass (ht. 6¼") 60W	6	11/2	8.00
E3624-9	E3623-9 E3624-9	Wall bracket, 6" Opal glass (ht: 13") 60W Wall bracket, 6" Smoke glass (ht: 13") 60W	1 1	2 2	11.10 12.35
E3626-9	E3626-9	Sq. wall lantern w/torch handle, Clear panels (ht. 19") 60W	1	21/4	16.30
E3700-9	E3700-9	Sq. post lantern (w/o post) Clear panels (ht. 11") 60W	6	11/2	9.00
E3707-9	E3707-9	Hex. post lantern (w/o post) Amber panels (ht. 19*) 60W	1	21/4	18.15
E3726-9	E3726-9	Sq. post lantern (w/o post) Clear panels (body ht. 10¾") 60W	12	1¾	13.70
E3800-9	E3800-9 E3801-9	Sq. wall pocket lantern, Clear panels (ht. 9½") 60W Sq. wall pocket lantern, Amber panels (ht. 9½") 60W	12 12	1½ 1½	8.70 8.70
E3801-9	E3901-9	Metal post for lantern (ht. 84", 3" O.D.)	1	111/2	22.9
E3901-9	ELD-7 ELD-25	Outdoor Lantern Display (includes display fixtures) Outdoor Lantern Display (includes display fixtures)	1 1	50 50	150.00 150.00
	All Lanterns	made of high density polyethylene FINISH: 9 — Matte Black	•		7- 1-7-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1-1
SECURITY LIGHTS	CATALOG NUMBER			NET WT.	
	E5000 E5001	50 W H.P.S. Security Light - Brze. 50 W H.P.S. Security Light w/photoelectric cell - Brze.	6 6	3½ 3½	69.9 79.9
55000 E6000	E6000	70 W H.P.S. Utility/Activity Light - Brze. 70 W H.P.S. Utility/Activity Light - Brze.	T :	7 7	78.5 88.5
E5001 E6001	E6001	70 W H.P.S. Utility/Activity Light w/photoelectric celt - Brze	1 1	<u> </u>	08.5

Edison Lighting			NET PRICE LIST		EPIN-	186
ACCESSORIES	CATALOG NUMBER		DESCRIPTION		NET WT. LBS. EA.	NET PRICE
E4001P E4001W E4001AB E4001B	E4001AB E4001B E4001P E4001W	Antique Brass Brass White Woodgrain	4' Trac and hardware in blister pk. w/inst. instructions 4' Trac and hardware in blister pk. w/inst. instructions 4' Trac and hardware in blister pk. w/inst. instructions 4' Trac and hardware in blister pk. w/inst. instructions	6 6 6	1¾ 1¾ 1¾ 1¾ 1¾	18.40 17.70 15.35 16.60
E4903P E4903MB	E4903P E4903MB	White Black	Straight connector Straight connector	6 6	½ ½	5.70 5.70
E4911P E4911MB	E4905P E4905MB	White Black	"T" connector	6 6	1/2 1/2	9.85 9.85
E4905P E4905MB	E4911P E4911MB	White Black	Live end connector and junction box cover Live end connector and junction box cover	6 6	½ ½	6.20 6.20
E4908P E4904P	E4908P E4908MB	White Black	Mini-Connector Mini-Connector	6	1/4 1/4	3.60 3.60
E4908MB E4904MB	E4904P E4904MB	White Black	"L" Connector "L" Connector	6	1/2 1/2	6.85 6.85
E4950P E4950MB	E4950P E4950MB	White Black	15" Cord and plug with switch 15" Cord and plug with switch	6	1 1	12.40 12.40
E4961P E4909P	E4909P E4909MB	White Black	Floating Canopy and Connector Floating Canopy and Connector	6	½ ½	8.00 8.00
E4961MB E4909MB	E4961P E4961MB	White Black	Swag Hook Swag Hook	6	y ₄ y ₄	2.25 2.25
4983 4973P	4983		T-bar attachment clip	12	1/4	1.50
O 4973MB	4973P 4973MB	White Black	Canopy adapter—monopoint Canopy adapter—monopoint	6 6	1/4 1/4	5.40 5.40
TRAC LIGHTING E2522B	CATALOG NUMBER	FINISH	DESCRIPTION	STD PKG.	NET WT. LBS. EA.	NET PRICE
E2536B	E2522B	Brass	Polished Brass with fluted clear glass 100W A19; 71/4" length, 6" width			25.96
				4	2	
E2545B E2546AB	E2536B	Brass	Polished Brass pendant with clear glass shade, 85" adjustable cord, 100W A19; 61/2" high, 10" wide	4	2 4½	34.75
	E2536B E2545B E2546AB	Brass Brass Antique Brass	Polished Brass pendant with clear glass shade, 85" adjustable cord, 100W A19; 6½" high, 10" wide Wood Cylinder w/metal shade, 75W R30; 8" length, 5¾" dia., 10¾" extension			
E2546AB E2600P	E2545B	Brass	Wood Cytinder w/metal shade, 75W R30:	4	41/2	34.75
E2546AB E2600P	E2545B E2546AB	Brass Antique Brass White	adjustable cord, 100W A19; 6½" high, 10" wide Wood Cylinder w/metal shade, 75W R30; 8" length, 5 ³ / ₈ " dia., 10 ³ / ₈ " extension Promotional Roundback Cylinder R20 50W	4 6 6	11/2	34.75 34.85 31.10
E2546AB E2600P	E2545B E2546AB E2600P E2700P E2710AB E2711AB E2711B E2721P E2721W	Brass Antique Brass White White Antique Brass Antique Brass	adjustable cord, 100W A19; 6½" high, 10" wide Wood Cylinder w/metal shade, 75W R30; 8" length, 5½" dia., 10½" extension Promotional Roundback Cylinder R20 50W Promotional Step Cylinder R20 50W Traditional Lampholder (E2710) 75W R30/150W A21; 7½" length, 5¾" dia., 10½" ext. (E2711) 30/50W R20/	4 6 6 6 6 6	4½ 1½ 1½ 1½ 1½ 1 1 1 1½ 1½	34.75 34.85 31.10 8.75 8.75 23.80 23.55
E2546AB E2600P E2700P E2711AB E2711B E2721W E2726W	E2545B E2546AB E2600P E2700P E2710AB E2711AB E2711B E2721P E2721W	Brass Antique Brass White White Antique Brass Antique Brass Brass White	adjustable cord, 100W A19; 6½" high, 10" wide Wood Cylinder w/metal shade, 75W R30; 8" length, 5½" dia., 10½" extension Promotional Roundback Cylinder R20 50W Promotional Step Cylinder R20 50W Traditional Lampholder (E2710) 75W R30/150W A21; 7½" length, 5¾" dia., 10½" ext. (E2711) 30/50W R20/100W A19; 7" length, 3½" dia. 10" extension Pinchback Lampholder 30/50W R20/60W A19:	4 6 6 6 6 6 6 6	1½ 1½ 1½ 1 1 1 1 1½ 1¼ 1¼	34.75 34.85 31.10 8.75 8.75 23.80 23.55 26.60
E2546AB E2600P E2700P E2711AB E2711B E2721W E2726W E2726W	E2545B E2546AB E2600P E2700P E2710AB E2711AB E2711B E2721P E2721W	Brass Antique Brass White White Antique Brass Antique Brass Brass White Woodgrain White	adjustable cord, 100W A19; 6½" high, 10" wide Wood Cylinder w/metal shade, 75W R30; 8" length, 53/6" dia., 103/6" extension Promotional Roundback Cylinder R20 50W Promotional Step Cylinder R20 50W Traditional Lampholder (E2710) 75W R30/150W A21; 73/6" length, 5¾" dia., 101/6" ext. (E2711) 30/50W R20/100W A19; 7" length, 3½" dia. 10" extension Pinchback Lampholder 30/50W R20/60W A19; 6¾" length, 3¾" dia., 9¾" extension Step Cylinder 30/50W R20/60W A19; 6¾" length	4 6 6 6 6 6 6 6 6 6	1½ 1½ 1½ 1 1 1 1 1½ 1¼ 1¼ 1¼	34.75 34.85 31.10 8.75 8.75 23.80 23.55 26.60 16.05 16.05
E2546AB E2600P E2700P E2711AB E2711B E2721W E2726W	E2545B E2546AB E2600P E2700P E2710AB E2711AB E2711B E2721P E2721W E2726P E2726W	Brass Antique Brass White White Antique Brass Antique Brass Brass White Woodgrain White Woodgrain White	adjustable cord, 100W A19; 6½" high, 10" wide Wood Cylinder w/metal shade, 75W R30; 8" length, 5½" dia., 10½" extension Promotional Roundback Cylinder R20 50W Promotional Step Cylinder R20 50W Traditional Lampholder (E2710) 75W R30/150W A21; 7½" length, 5¾" dia., 10½" ext. (E2711) 30/50W R20/100W A19; 7" length, 3½" dia. 10" extension Pinchback Lampholder 30/50W R20/60W A19; 6¾" length, 3¾" dia., 9¾" extension Step Cylinder 30/50W R20/60W A19; 6¾" length 3¾" dia., 9¾" extension Cylinder Lampholder 30/50W R20/60W A19; 7" length	4 6 6 6 6 6 6 6 6 6 6	1½ 1½ 1½ 1½ 1 1 1 1 1½ 1¼ 1¼ 1¼ 1 1 1	34.75 34.85 31.10 8.75 8.75 23.80 23.55 26.60 16.05 16.05 16.05 16.05
E2546AB E2600P E2700P E2711AB E2711B E2710AB E2726W E2726W E2728W E2732P	E2545B E2546AB E2546AB E2710AB E2711AB E2711B E2721P E2721W E2726P E2726W E2728W	Brass Antique Brass White White Antique Brass Antique Brass Brass White Woodgrain White Woodgrain White Woodgrain	adjustable cord, 100W A19; 6½" high, 10" wide Wood Cylinder w/metal shade, 75W R30; 8" length, 53/6" dia., 103/6" extension Promotional Roundback Cylinder R20 50W Promotional Step Cylinder R20 50W Traditional Lampholder (E2710) 75W R30/150W A21; 73/6" length, 5¾" dia., 101/6" ext. (E2711) 30/50W R20/100W A19; 7" length, 3½" dia. 10" extension Pinchback Lampholder 30/50W R20/60W A19; 6¾" length, 3¾" dia., 9¾" extension Step Cylinder 30/50W R20/60W A19; 6¾" length 3¾" dia., 93/6" extension Cylinder Lampholder 30/50W R20/60W A19; 7" length 3¾" dia., 95/6" extension	4 6 6 6 6 6 6 6 6 6 6 6	1½ 1½ 1½ 1½ 1 1 1 1 1 1 1 1 1 1	34.75 34.85 31.10 8.75 8.75 23.80 23.55 26.60 16.05 16.60 16.05 16.60

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	· :		NET PRICE LIST	ΕP	IN-186	
Edison Lighting			ALI PINOL LIOI			٠ <u>٠ و٠٠٠</u>
TRAC LIGHTING (cont.)	CATALOG NUMBER	FINISH	DESCRIPTION		NET WT. LBS. EA.	NET PRICE
- Q	E2762AB E2762P	Antique Brass White	Cylinder Lampholder 30/50W R20/100W R25	6 6	11/4 11/4	20.25 18.60
E2762MB	E2762MB	Black	5½" length, 3¾" dia., 7¼" extension	6	11/4	18.60
Towns of the second	E4000P	White	Edison Trac-Pac with 4 White Trac and two E2761 lampholders. Installation instructions on package back	6	31/2	34.90
E2762AB	E9		Lamp for E2761 and E4000P, 40W R16	1	1/4	2.20
E4000P	ETD-20		Merchandise display (Inc. display fixtures)	1	45	150.00
	FINISHES:	AB — Antique I	Brass, B — Brass, P — White, MB — Matte Black, W —	Black	with Teakwo	od Trim
COMPLETE UNITS	CATALOG NUMBER		DESCRIPTION		NET WT. LBS. EA.	
ES7001P	ES7001P	Albalite lens	s, 60W, 9" Sq. Trim unwired	6	4¾	10.25
ES7010P / S	ES7010P	Athalite lens	s, 100W, 9" Sq. Trim	6	61/4	16.25
25/100	ET7010P		5, 60/100W, 9" Sq. Trim	6	61/4	17.65
ES7020P	ET7011P	Drop opal d	liffuser, 100W, 9" Sq. Trim	6	6	20.70
ET7011P	ES7020P	Albalite lens	s, 150W, 11" Sq. Trim	6	7¾	20.9
	ET7021P	Drop opal o	diffuser, 150W, 11" Sq. Trim	6	7	25.50
ET7021P	ES7000F		open 75WR30, 7%" Dia. unwired	12	21/4	8.4
ES7000P	EHB2-24	ACCESSI Bar Hange		12 p		1.15(2
	ETB-7	T-Bar attacl	hment clips	25 sets	1	1.35(4
	ET7070F	Albalite len	ıs, 60W A19, 8" Dia.	6	51/2	18.3
ET7070P	E17071F	Drop opal,	60W A19, 8" Dia. Shower Light 60W A19, 8" Dia.	6		18.9
ET7071P	ET7071/				51/2	23.9
ET7071AL	EIT7078 EIT7078		5W R30, 8" Dia. 5W R30, 8" Dia.	6		25.9
EIT7078P EIT70788	ET7301	Open, 75V	V R30, 71/4" Dia.	-	5 5	18.6
ET7301P	ET7401		W R40, 8" Dia.		5 5	17.3
	ET7401,	AL Open, 150	W R40, 8" Dia.	1 '		_
ET7401AL	ET7410	P "Coilex" t	paffle, 150W R40, 7" Dia.		5	18.0
ET7410P	ET7993	Mini Rem	odel Housing with Baffle Trim, 41/4" Dia. 50W R20		6 2	18.
ET7993	ERD-1 ERD-7	ES 7000P	Display lising Display (includes display fixt.)	1	1 25 1 50	75. 150.

PINISHES: B — Satin Brass, P — Satin White Paint, AL — Anodized Satin Aluminum

PREFIXES: ES — Edison Suspended, ET — Edison Thermally Protected, EI — Edison Insulated Ceiling

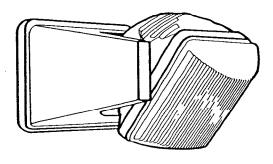
NOTE: All the above units excluding the ES7000P and ES7001P are pre-wired and include hanging bars, remodeling clips, junction box, can and trim.

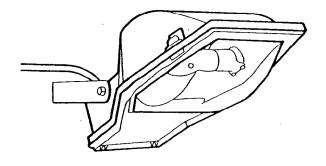
GLASS ONLY PRICE (per piece or per panel)

GLASS ONLY PRICE (per piece or per panel)								
	CAT PRICE		CAT. PRICE	CAT. PRICE				
CAT. PRICE	VAI.		E3707 1.85	E7011 7.15				
	E3600	Faces 455	F3726 1.85	E7020				
E3226	1 E3601	F3624	E3800	E7021 8.60				
E3310								
E331	E3610 3.90	E3700 1.00	E7010	E70/1 4.35				

Supplemental Price Sheet

Edison Lightings Vassic Security Lights





E5000 Bronze Finish 50 Watt High Pressure Sodium Includes Bulb **E6000 Bronze Finish** 70 Watt High Pressure Sodium Includes Bulb

Catalog No.	Description	Cubes	Std. Pkg.	Net Wt.	Net Price
E5000	50 Watt H.P.S., Bronze Finish	.5	6 -	3.5	69.90
E5001	50 Watt H.P.S., Bronze Finish, w/photo control	.5	6	3.5	79.90
E6000	70 Watt H.P.S., Bronze Finish	1.2	1	7	78.50
E6001	70 Watt H.P.S., Bronze Finish, w/photo control	1.2	1	7	88.50

E8-85

Price! Performance! Pronto!

- A new price point! Buy your import trac program from the world's most dependable and largest retail track lighting manufacturer. . . Edison Lighting!
- Order and combine the "Pronto!" track items along with your Edison recessed, trac, lantern, and security light products.
- The "Pronto!" track system is completely independent of the Edison trac system (not interchangeable). You can add this budget priced system as a traffic builder while maintaining the integrity of your Edison trac program.
- Our "Pronto!" track adapter is the lowest profile adapter in the industry. This means you'll have the cleanest looking budget trac system available.
- We maintain the inventory! You do not have to invest in overseas containers! We back you up 100%.
- Best of all . . . Your normal Edison terms will apply. You'll receive the same level of service, dating terms, freight terms, and
 advertising allowances that you are currently enjoying with your Edison products.

"PRONTO" TRACK SYSTEM

	CATALOG NUMBER	FINISH	DESCRIPTION	MASTER PACK	NET PRICE	EXTENDED NET PRICE
	PTD-1		"Pronto!" Header Panel - 2'x4' (N/C when purchasing recommended assortment)	1	\$50.00	\$50.00
P1200	P1200	White	4' Track	6	8.75	52.50
	P1401	White	Step cylinder, 50W R20	6	7.75	46.50
P1401	P1402	White	Roundback cylinder, 50W R20	6	7.75	46.50
P1403	P1403	White	Step cylinder, 75W R30	6	9.70	58.20
P1404	P1404	White	Roundback cylinder, 75W R30	6	9.85	59.10
P1405	P1405	White	Flatback cylinder, 50W R20	6	9.60	57.60
P1500	P1500	White	"I" Connector	6	2.45	14.70
P1501	P1501	White	"L' Connector	6	3.50	21.00
P1502 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0	P1502	White	Live-end connector and J box cover	6	4.75	28.50
1000	P1503	White	Center feed connector	6	6.20	37.20
P1504	P1504	White	15' Cord and plug connector with switch	6	5.70	34.20
	P1600	White	Track Kit Includes: 4' track, 2 P1401 step cylinders (50W R20), live-end connector and P1401 box cover	6	27.95	167.70
	P1700	White	Track Kit – Includes: 4' track, 3 P1401 step cylinders (50W R20), live-end connector and J box cover	6	35.95	215.70

EPSON TRACK LIGHTING SYSTEM

Installation Guidelines

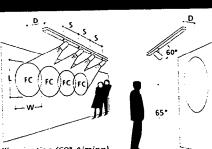
For wall washing, place track two to three feet from wall. For uniform illumination, distance between fixtures and distance from wall should be equal. To avoid reflected glare, aim lampholder 60° from horizontal and adjust lampholder so beam center is approximately eye level (60° to 65° high).

Installation - Directives

Pour l'éclairage de mur, installer le rail à 2 ou 3 pieds du mur. Pour un éclairage uniforme, s'assurer que la distance entre les appareils et la distance à partir du mur sont identiques. Pour éviter toute réflexion ou tout éblouissement, orienter le porte-lampe à 60° de l'horizontale et le régler de sorte que le milieu du faisceau se trouve environ à la hauteur des yeux (hauteur de 60 à 65 po).

Guía de instalación

Para iluminar una pared, coloque el riel a una distancia de entre 0,5 m y 1 m de la misma. Para lograr una iluminación uniforme, la distancia entre las luminarias y entre la pared deben ser iguales. Para evitar reflejos molestos, oriente el soporte de la lámpara a 60° de la horizontal y ajústelo de modo que el centro del haz quede aproximadamente a nivel de los ojos (1,50m a 1,65m de altura).



Illumination (60° Aiming) Éclairage (orientation de 60°) Iluminación (orientación de 60°)

Lamp Type/Watts/Beam Genre de lampe/wattage/faisceau Tipo de lámpara/Vatios/Haz	D Distance from wall Distance à partir du mur Distancia de la pared	FC Footcandles Pieds bougies Footcandles	L x W Beam Length and Width Longueur et largeur du faisceau Longitud y ancho del haz	S Spacing Espacement Separación	
50W MR16 NSP	9,	82	3' x 3'	3'	
50W MR16 NFL	7'	46	6' x 6'	5'	

EDSON Signature Series

Step Cylinder

TRACK LIGHT

riel

90°

łо

Cylindre à dents inégales

ÉCLAIRAGE SUR RAIL

Cilíndrica escalonada

LÁMPARA DE RIEL



LOW VOLTAGE HALOGEN
HALOGÈNE BASSE TENSION
LÁMPARA HALÓGENA DE
BAJO VOLTAJE

50W MR16

E9001



Installation Guidelines

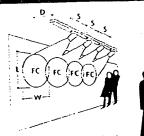
For wall washing, place track two to three feet from wall. For uniform illumination, distance between fixtures and distance from wall should be equal. To avoid reflected from horizontal and adjust lampholder so beam center is approximately eye level (60° to 65" high).

Installation - Directives

Pour l'éclairage de mur, installer le rail à 2 ou 3 pieds du mur. Pour un éclairage uniforme, s'assurer que la distance entre les appareils et la distance à partir du mur sont identiques. Pour éviter toute réflexion ou tout toute reliexion ou tout éblouissement, orienter le porte-lampe à 60° de l'horizontale et le régler de sorte que le milieu du faisceau se trouve environ à la hauteur des yeux (hauteur de 60 à 65 po).

Guía de instalación

Para iluminar una pared, coloque el riel a una distancia de entre 0,5 m y 1 m de la misma. Para lograr una iluminación uniforme, la distancia entre las luminarias distancia entre las luminarias y entre la pared deben ser iguales. Para evitar reflejos molestos, oriente el soporte de la lámpara a 60° de la horizontal y ajústelo de modo que el centro del haz quede aproximadamente a nivel de le aproximadamente a nivel de los ojos (1,50m a 1,65m de altura).



Illumination (60° Aiming) Éclairage (orientation de 60°) Iluminación (orientación de 60°)

Lamp Type/Watts/Beam Genre de lampe/wattage/faisceau Tipo de lámpara/Vatios/Haz	D Distance from wall Distance à partir du mur Distancia de la pared	FC Footcandles Pieds bougies Footcandles	L x W Beam Length and Width Longueur et largeur du faisceau Longitud y ancho del haz	5 Spacing Espacement Separación	
50W MR16 NSP	9,	82	3' x 3'	3'	
50W MR16 NFL	7.	46	e. x e,	5'	

SON Signature Series

Step Cylinder

TRACK LIGHT

Cylindre à dents inégales

ÉCLAIRAGE SUR RAIL

Cilíndrica escalonada

LÁMPARA DE RIEL



LOW VOLTAGE HALOGEN HALOGÈNE BASSE TENSION LÁMPARA HALÓGENA DE **BAJO VOLTAJE**

(ပူL) င**(**ပြူ

50W MR16

E9001



Mini White Coilex Baffle

151

RECESSED JOHTING

Mini-déflecteur coilex blanc

ECLA, PAGE ENCASTRÉ

Deflector a bobina, blanco

LE EMPORADA EN EL CIELO RASO

El juego incluye caja de luz y perfil Logement et gamiture dans un même emballage Housing and Trim Packaged Together

(L) (L) 50W A19/R16 50W R20/PAR20

Fabricado en China Fabriqué en Chine Made in China



Application Guidelines

you desire. achieve the lighting effects Edison Recessed Lighting lets you

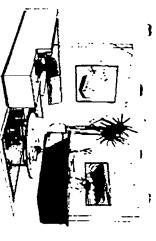
Application - directives

obtiendrez les effets voulus. Avec les systèmes d'éclairage sur luminaire encastré Edison, vous

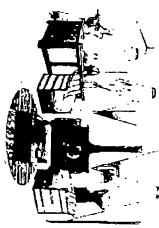
Guía de aplicación

ME 05643

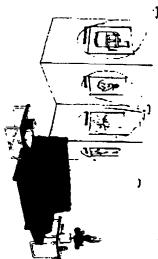
permite lograr los efectos La lluminación de Riel Edison luminosos que usted desea

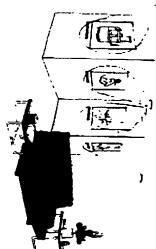


iluminación de ambiente Éclairage ambiant Ambient Lighting



iluminación directa Éclairage direct Task Lighting





iluminación de acentuación Eclairage d'accentuation Accent Lighting







MONIOE.

Eliston Lighting, 11211 fighway 74 South Prestries City, GACOSSI



EDISON

Roundback

TRACK LIGHT

Fond arrondi

ÉCLAIRAGE SUR RAIL

Lámpara redondeada

LAMPARA DE RIEL

BRASS WITH BLACK BAFFLE LAITON AVEC DEFLECTEUR NOIR BRONCE CON DEFLECTOR NEGRO

50W R20 **50W PAR20** 60W A19 E9301PB

TRACK LIGHTING SYSTEM

Application Guidelines

Edison Track Lighting lets you achieve the lighting effects you desire.

Application - directives

Avec les systèmes d'éclairage sur rail Edison, vous obtiendrez les effets voulus.

Guía de aplicación

La lluminación de Riel Edison permite lograr los efectos luminosos que usted desea.



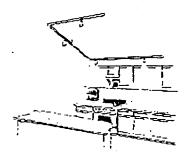
Wall Washing Éclairage de mur Iluminación de paredes



General Lighting Éclairage général Iluminación general



Accent and Task Lighting Éclairage d'accentuation et fonctionnel Iluminación decorativa y de trabajo



Task Lighting Éclairage fonctionnel Iluminación de trabajo

COOPER

Cooper Lighting

400 Busse Road + Elk Grove Village, Illinois 60007

77251

Ø1995 Made in China Fabriqué en Chine Fabricado en China "I find out what the world needs."
Then I go ahead and try to invent it"
Thomas Alva Edison



"Lighten" មន្ត្រ សម្រាប់ទៀត Ness - អង្គមិត្ត ធំពុធ



bright new Packaging From Edison Lighting

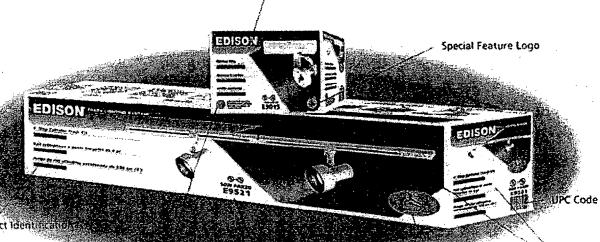
DISON LIGHTING SYSTEMS

* A CLEAN FRESH LOOK

- INSTALLATION INFORMATION
- **FIXTURE DIMENSIONS**
- **LAMPS SPECS**
- **BUILDING CODES**
- **APPLICATION GUIDELINES**
- SPECIAL FEATURE LOGOS

Edison is proud to introduce, a bright, clean, new fresh look of their product line that will "Lighten" up your store shelves. The easiness of installation, what type of lamp, dimensions, type of light source and building code information will make it easier for your customer to make a final decision right in your store.

Clean, Bright New Packaging



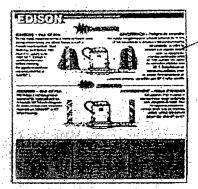
Easy Product Ident rication

Low Voltage **Energy Saving**

Large Fixture Number and Lamp Specification for easy identification

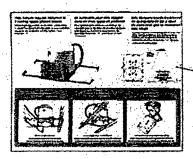
Special Feature Logo

Two Face Panel for easy shelf stocking



Building Code Information

> All Edison Foatures are પૂર) c(પૂર Approved



Installation Instructions



Fixture Placement

- Task Lighting
- · Wall Wathing
- Ambient Lighting
- Accent Lighting

Lamp Chart

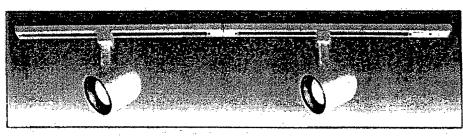




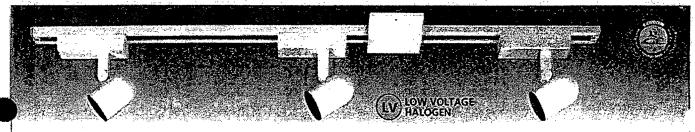


Track Pacs

E9021 Step Cylinder
50W PAR20
Kit includes:2-50W Step Cylinders,
2-2Track Lengths,
1-Track Connector, 1-Junction Box
Cover, 1-Live Connector,
Step by Step Instructions



E9022 Round Back
50W PAR20
Kit includes:2-50W Round Back
Cylinders, 2-2Track Lengths,
1-Track Connector, 1-Junction Box
Cover, 1-Live Connector,
Step by Step Instructions



E9502 Round Back Kit includes: 3-50W MR16 Round Back Cylinders, 1-4' Track,1-Track Adapter with Cover, 50W MR16 1-Mounting Hardware Package, Step by Step Instructions (Halogen Lamps not included)

Application Guidelines

Edison Track Lighting lets you achieve the lighting effects you desire.



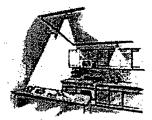
Wall Washing



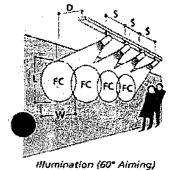
Accent and Task Lighting

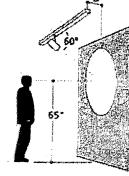


General Lighting



Task Lighting

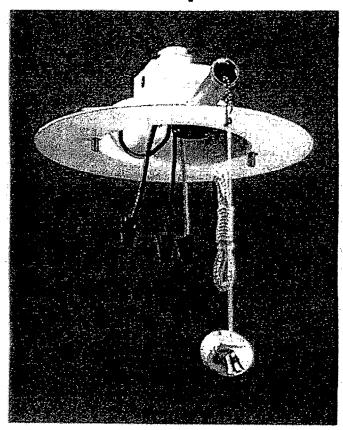




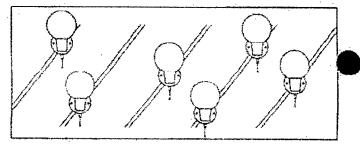
EDISON TRACK LIGHTING LAMP CHART LAMPIVES Low Voltage |

: :				Low Voltage		
FIXTURE NO	SOW PARCO	SOW PARSO	SOW MRIS HISP	SOW METS HEL	75W MR16	150H PARS
£9001	_	-	•	•	-	-
19002	-	-	•	•	-	_
E9015	-	-		.+	٠	•
£9035	-	٠	-	-		. ~
£9502	-	-	• .	•	-	-
£9505	-	-	-	-		•
£9521	٠	-	-	-	. +	-
£9522	٠	-	-			-
E9525	•	-	A	-	-	-
E95258	. .9	•	-	14 j	_	•

E4956P Showroom Adapter



The Edison E4956P Showroom Adapter is a simple solution to your lighting display requirements. It snaps onto our Track much like any track but allows you to attach any lighting fixture to it. The convenient switch and cord allow your customer to turn the fixture on and off. Track is available in convenient 2', 4' and 8' lengths:



For walls use a diagonal layout to maximise your selling space.

Ceiling and Wall Installation

Mount track 2' apart and adjust your showroom adapters to space out different size fixtures. Hang swag lights at different heights to group them closer.

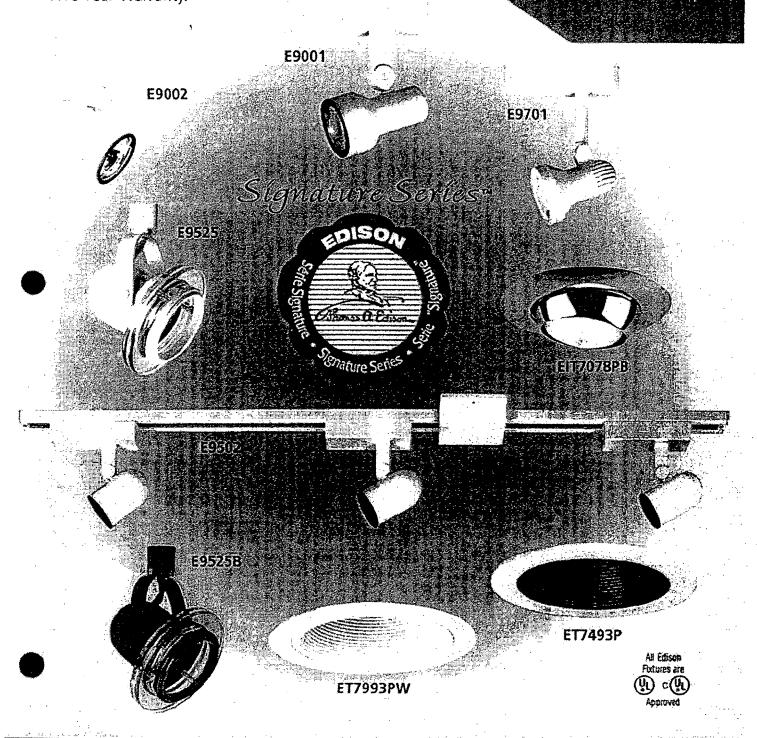
Provide one circuit for each system up to 1800 watts. Ad "T"s or "L"s where required. Because it is EDISON TRACK you can display Edison Lampholders.

DISON LIGHTING SYSTEMS

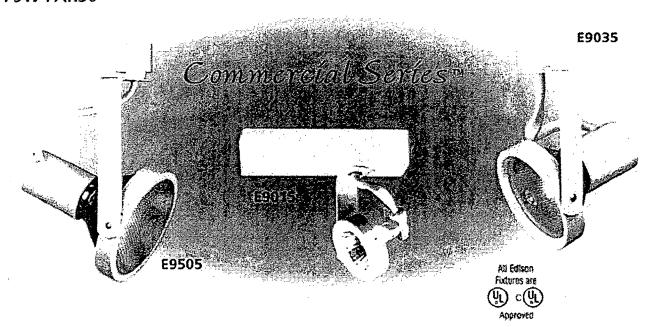
F.C.C. Approved – to eliminate "Cross Talk".

- Ceramic Sockets with brass nickle plated screw shells for Halogen PAR fixtures.
- Strong positive lock connector for safety.
- Five Year Warranty.

The Edison "Signature Series" is a select collection of refined lighting fixtures with exceptional quality and unique features. These fixtures are engineered to use energy-efficient, precision beamcontrol Halogen MR 16, and PAR20 lamps.



- When your requirements include maximum wattage
- 75W MR16
- 150W PAR38
- 75W PAR30



Application Guidelines

Edison Track Lighting lets you achieve the lighting effects you desire.



Wall Washing



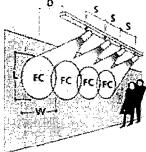
Accent and Task Lighting



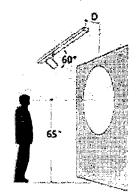
General Lighting



Task Lighting



Illumination (60* Aiming)



EDISON TRACK LIGHTING LAMP CHART

			PATRICL I B L.			
				Low Voltage	Part of the state	
FOCTURE NO.	SOW PARZO	50W PAR30	son mais nor	SOW MAIS NA	THE WATE	ISOM PARSE
E3001	-	-	•	n 🕈 NAA	• •	-
E3085	-	-	•	•		-
19015	-		-	-	∳ı	•
E9035	-	٠		-	· •	-
£9502	-	•	•	. •		-
E9505	-	-	<i>-</i>	-		•
E9521	•		-	4 ***		. i
£9522	. •	-	-	-	•	
E9525	. •	-		-	-	-
£95258	•	-	· -	-	-	-

EDISON

LIGHTING SYSTEMS

E9801

Step Cylinder

50W MR16

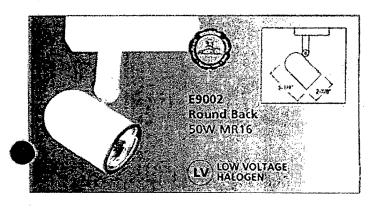
LOW VOLTAGE
HALOGEN

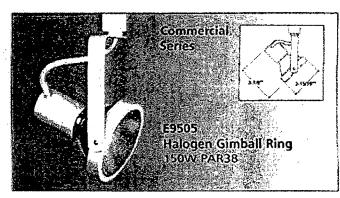
The Edison track line offers a wide range of lampholders, components and fittings to meet any lighting requirements; from traditional to contemporary settings, from task and accent lighting to general illumination. The Edison Track System lets you direct light exactly where it is needed, combining efficient lighting Fotures are with energy savings.

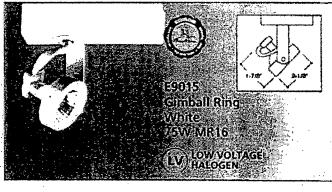
All Edison
Foctores are

(h) c (i)
Approved

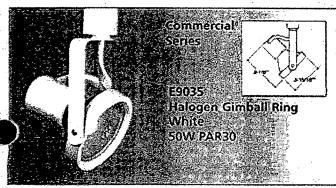
New Track Heads

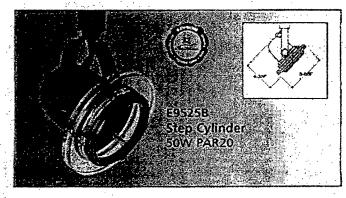






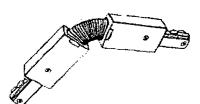






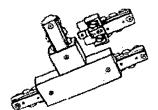
EDISON DIGHTING SYSTEMS

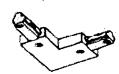
Track Accessories





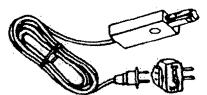


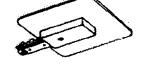


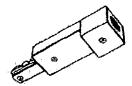














EDISON CONTRACTOR

Recessed Lighting Products for Home and Business

BULK-PACKED CONTRACTOR SERIES



E1-T

· UL listed - Thermally prefected

- · Insulation must be kept 3' away from housing
- Approved for through branch circuitry (connecting fixtures in series)
- Captive bar hangers expand to 24"
- · Damp location approved
- · Remodeling clips included

• For use with E1-T housing



- EYEBALL TRIM White Finish 8" Dia. Uses 75w R30 or 75w PAR30 bulb
- Eyebail rotates 358° and adjusts vertically
 For accent lighting or wall washing



- BAFFLE TRIM

 White Ring/Black Baffle 71/4" Dia.

 Uses 75w R30
 or 75w PAR 30 bulb
- For general or task type lighting

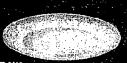


- Baffle Trim

 White Ring/White Baffle 7//2* Dia.

 Uses 75w R30 or
 75w PAR 30 bulb

 For general or task type lighting



- White Ring Albalite lens 8' Dia. Uses 60w A19 bulb
- Also approved for shower application



- FESS
 Reflector Trim
 White Ring/Silver Baffle 71/4*Dia.
 Uses 75w R30, 50w PAR 30 bulb
 For general type lighting



- Open Trim White Finish 71/4 Dia.
- Uses 75W R30 or 75w PAR 30 bulb
 • For general type lighting



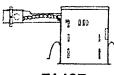
- Baffle Trim White Ring /Black Baffle 7 /4 Dia. Uses 150w R40 or 150w PAR 38 bulb
- For general or task type lighting



- Baffle Trim White Ring White Baille 7'4" Dia Uses 150w R40 or 150w PAR 38 bulb
- For general or task type lighting



- · UL listed Thermally protected
- · For direct contact with insulation Approved for through branch circuitry (connecting factures in series)
- Damp location approved
- Remodeling clips included



E1-ICR

- UL listed Thermally protected
- · For direct contact with insulation · For use in remodeling texisting ceiling applications)
- Damp location approved
- Remodeling clips included



- · Energy efficient-air fight can
- UL Listed-Thermally protected for direct contact with insulation
- Damp location approved
- Approved for through branch circuitry (connecting features in series
- · Remodeling clips included

• For use with E1-IC/ E1-ICR or E1-AT housings



- Open ICT Trim

 White Finish 7½ Dia.
 Uses 75w R30 bulb
 For general type lighting



- hite Finish 8" Dia
- Uses 75w R30 bulb Eyeball rotates 358° and adjusts vertically
- · For accent lighting or wall washing



- Baffle Trim

 White Ring/White Baffle 7½* Dia. White Ring/Black Baffle 7½* Dia. Uses 75w R30 or 50w PAR30 bulb

 Uses 75w R30 or 50w PAR30 bulb

 For general or task type lighting
- For general or task type lighting





- Reflector Trim White Ring/Silver Baffle 7/4'Dia. Uses 75w R30, 50w PAR 30 bulb
- For general type lighting



EDISON CONTRACTOR

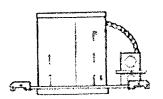
BULK HOUSINGS

E

E1-IC

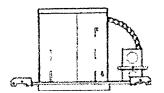
E1-ICR

E1-AT



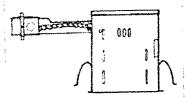
T Hon Insulated Housings

can be used where there is no calling insulation such as between floors in a musti-story house. They generally above higher wastages and provide better time position than IC housings. An integral thermal protection applies overfamping and misuse of ceding travialism.



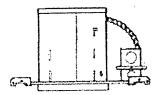
"IC" Non Insulated Housings

should be used wherever defining insulation is present. Since these housings can be completely covered with insulation they will allow an unbroken insulation barrier to be maintained. An intrigial thermal protector provides positive protection against overtampling.



Remodel Housings

are designed for easy installation in enishing ceilings or where there is no access above the ceiling. They can be installed from below the ceiling with Revible Winng.



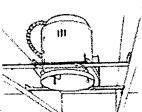
"AT" Sealed "IC" Housings

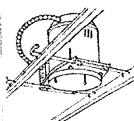
maintain an unbroken insulation borner and seal off heated or air conditioned living areas from attic spaces. By scoling all openings in and around the foliote. All finitures stop the total of air through the housing and conhibute to the design of energy efficient homes.

INSTALLATION GUIDELINES

SUSPENDED CEILING

NEW CONSTRUCTION





Secure Box Hangers with P99 Celling Olips or by box hangers over a supportfold grid and secure with wire

REMODELING

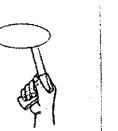


insert plaster frame if included: and housing through colling.

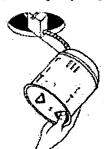
Nail bar hangers onto joists

REMODELING HOUSINGS

braw circle on cotting and cut with keyhole or salter 1244



Was junction box to electrical service insent bouring through celling.



Attach trim to housing. weent proper agric bulb

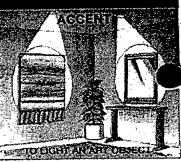


APPLICATION









EDISON

LIGHTING SYSTEMS



All Edison
Fodures are

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Approved

6.5" Recessed Housing Kits

The "EIT" housing <u>CAN BE</u> buried in insulated ceilings. Each kit comes complete with housing, trim and expandable "24" hanger bars. All of them are designed for use in "T" bar, post or pre-drywall ceilings.

Thermal protection, is added for safety.



ETT7071P5 8" Shower & Sauna White Trim 50W A19



8" WHITE EYEBALL White Trim! 75W R30//75W PAR30L



EIT7310PW 7-1/4" White Coilex Baffle White Trim 75W PAR30 / R30



EIT7426G '7-1/4' Gold Specular Beflector White Trim '75W PAR30//R30

All Fixtures for Insulated Ceilings



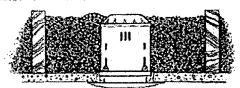




G17/0/69 7/4/4" diear/specular Reflector Witte Infris //SW PARS6//R30

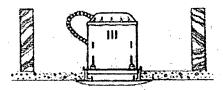


Do not install insulation within 3 inches of fixture sides, wiring compartment, nor above fixture in such a manner to entrap heat. Most dwellings built before 1985 have their supply wires rated 60° C. Consult a qualified electrician before installing. For supply connections use wire rated for at least 90° C.





This fixture is not designed or approved for insulated ceilings in Canada. For fixtures designed for direct contact with insulation materials use Edison EIT or ICT Series housings.

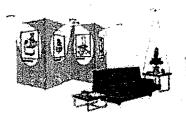


Application Guidelines

Edison Recessed Lighting lets you achieve the lighting effects you desire.



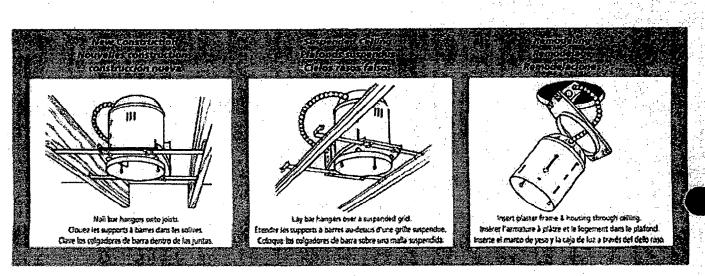






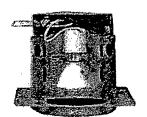
EDISON RECESSED LIGHTING LAMP CHART

LAMPTYPE														
						Low Voltage								
IXTURE NO	40W A19	SOW RIG	SOW R20	SOW PAR20	SONY PARSO	SOW MR15	GOW A19	75W.A19	22M N34	75W PAR30)	100W R25	150W PAR38	150W R40	250W.IA
T3000P	-	_	-	-	<u> </u>	-		-	•	•	*	-		
ET5001P		-				•	-		FT.87 6					
TS001PW	*	-	-	*	-	-	-	-	•	•	•	-	-	
T5002P		1 -	-		-	-	1 1 - 1 1 1							
T5003P	-	-	•	-	-	la Herri	-	•	•	-	<u> </u>	<u> </u>	<u>-</u>	<u> </u>
TSOOLP				[4,] - [erra erra erra erra erra erra erra erra									
T7100P	-	-	-	-	-	And -	•	-	-	-	<u> </u>	-	•	
:17001P			•				հերհյան և		4795.4					
ET707CP	-	-	-	_	-		•	•	-	-	-	-	-	<u> </u>
ET7071PS			-			mais il	•		PLONE":		(III)			
E117078P	-	-	-	-	•		-	-	•	•	<u> </u>			- \
EIT7078PB	-	-	-	1	•	F				•				
ET7301P	-	-	4	1	•	-	_	-	•	•	-		-	-
EIT73102NY		-		12550				, THEFT	(()	i i ka 💌 🗉				
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C174938	mandi Edi.	Farwari									Lasti			
ET7993PW] -	•	-	•	•		-		•	•	I -	-		
E179937	1040	- M	1 14						JJAJ	UFET	lkş e ti			
E179532	1 -	•	-	•	•		1 -	-		-	-	-		-



EDISON

LIGHTING SYSTEMS



All Edison
Fixtures are

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Approved

5"
Recessed Housing Kits

The EDISON ET5000 Series features six complete housing and trim kits. 24" Hanger Bar pairs are available as an option. (EHB-2-24) The housing fits into "T" bar, post or pre-drywall and ceilings. The housing can be installed into 6" ceilings and adjust for various ceiling thickness. Thermal protection, and brass nickle platted socket with a ceramic insulator provide durable and safe eletrical service. The housing, plaster frame, and all fasterners are resistent to rust.



ET5000P 7-1/4" Open Trim White Trim 75W R301/75W/PAR30



ET5001P 5-3/4" Black Coilex Baffle White Trim 75W PAR30 #100W R25



ET5001PW 5-3/4" White Coilex Baffle White Trim 75W PAR30 / 100W R25



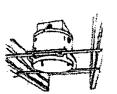
ET5002P 5-1/2" White Reflector White Tom 50W A19



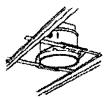
ET5003P 7-1/4" White Eyeball White Trim 50W PAR20



ET5004P 6-1/2" Shower Light White Trim 40W A19



New Construction

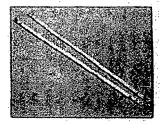


"T" Bar



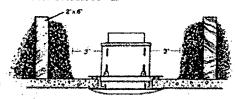
Retrofit



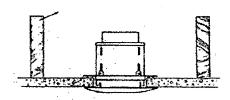




Do not install insulation within 3 inches of fixture sides, wiring compartment, nor above fixture in such a manner to entrap heat. Most dwellings built before 1985 have their supply wires rated 60° C. Consult a qualified electrician before installing. For supply connections use when rated for at least 90° C.



This fixture is not designed or approved for insulated ceilings in Canada, For fixtures designed for direct contact with insulation materials use Edison EIT or ICT Series housings.



Ali Edison Fixtures are (ŶL) c(ŶL) Approved

The "ET" housing CANNOT be buried in insulated ceilings Each kit comes complete witl housing, trim and expandable "24" hange? bars. All of them are designed for use in "T" bar, post or pre-drywall ceilings. Thermal protection, is added for safety.

6.5" **Recessed Housing Kits**



ET7070P 8′ Albalite Lens White Trim 60W A19



EHL7401AL 7-1/4" Heat Lamp Brush Aluminum Trim 250W IR



E17301P 7-1/4" Open White Trim 75W R30 75W PAR30



ET7410 7-1/4" Albalite Lens White Trim 150W PAR38 / R40

4" Recessed Housing Kits



Retro Fit Housing



ET7993PW 4-3/4 Minj Collex White Baffle White frim 50W PAR20 / 50W R20



ET7993P 4-3/4" Mini Coilex Black Baffle White Trim 50W PAR20 / 50W R20



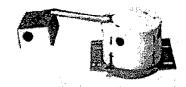
ET7998P 4-3/4" Mini Eyeball White Irim 60W R16 / 60W PAR16

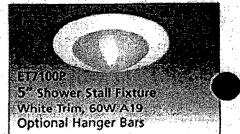


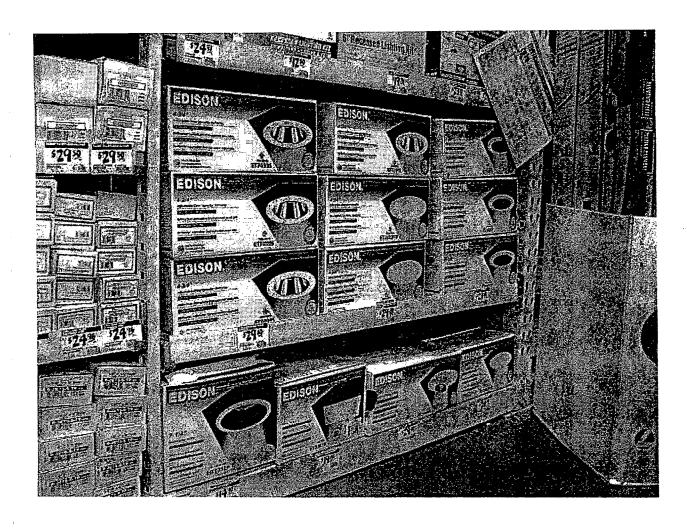


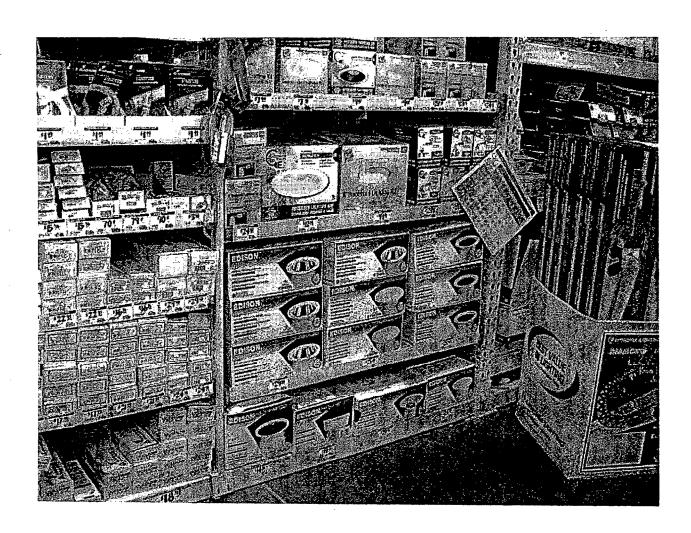












IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		v	
McGRAW-EDISON CON	MPANY,	:	
		:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, INC	••	:	
		:	
	Registrant.	:	
		X	

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

<u>December 30, 2004</u>

Date

Roberto L. Gomez

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		***	
McGRAW-EDISON CO	OMPANY,	· · · · · · · · · · · · · · · · · · ·	
	Petitioner,	:	
	2 000000000	:	Cancellation No. 92,042,545
v.		:	
MULE LIGHTING, IN	C	•	
	,	:	
	Registrant.	:	
		X	

DECLARATION OF CAROLYN M. COLEY

Carolyn M. Coley declares pursuant to 37 C.F.R. §2.20 and 28 U.S.C. §1746:

- 1. I am a Marketing Manager for Salton, Inc., a Delaware corporation with offices at 1801 N. Stadium Blvd., Columbia, MO 65202 ("Salton"). I submit this declaration in support of McGraw-Edison Company's motion for summary judgment in the above-identified cancellation proceeding. I am competent to make this declaration and I do so based upon my personal knowledge or on information and belief based upon information shown to me by petitioner's counsel.
- 2. In 1999, Salton acquired Toastmaster, Inc., petitioner McGraw-Edison Company's exclusive licensee for the trademark "Edison" as applied to personal care appliances (such as hairdryers, make-up mirrors, massagers), small portable electrically powered and kitchen counter-top appliances (such as toasters, mixers, food processors, blenders), travel appliances (such as portable irons), seasonal appliances (such as fans,

heaters and humidifiers), garment care appliances (such as irons and steamers), time keeping appliances (clocks and timers), and scales for bathroom and kitchen use.

- 3. Before the acquisition, I was employed by Toastmaster, Inc. as one of the product managers for goods sold under the "Edison" trademark. Now, as Marketing Manager at Salton, I handle goods sold under the "Edison" trademark.
- 4. Beginning in 1980, Toastmaster Holding Company, the predecessor of Toastmaster, Inc. (collectively, "Toastmaster"), began using the name "Edison" as a trademark, pursuant to a license from petitioner, McGraw-Edison Company's predecessor company, in connection with the manufacture, use, marketing and sale of heaters, humidifiers and fans. Attached as **Exhibit 1** are copies of promotional materials from 1983 and 1984 showing examples of Toastmaster's use of the "Edison" trademark.
- 5. In 1999, before the acquisition by Salton, Toastmaster and plaintiff
 McGraw-Edison Company executed a new license agreement for the "Edison" trademark
 expanding the licensed goods to those listed in paragraph 2, above. Since 1980,
 Toastmaster has widely distributed its "Edison" products and is now, together with
 Salton, actively engaged in marketing and promoting the "Edison" trademark to expand
 our "Edison" product line. These products have been sold through national retail outlets
 such as Wal-Mart and K-Mart.
- 6. In December 2001, Toastmaster commenced marketing and selling a new line of home appliances under the "Edison" brand. Attached as **Exhibit 2** is a copy of our Spring Program 2002 for the "Edison" mark, including a specification sheet and price list for a toaster-oven-broiler, a blender/chopper combo unit, a coffee urn and an electric skillet. Attached as **Exhibits 3, 4 and 5** are copies of an owner's manual for an "Edison

Combi Blender" and packaging for an "Edison 10-Cup Rice Cooker" and an "Edison .5 Liter Deep Fryer" sold by Toastmaster/Salton.

- 7. All packaging and associated commercial sales literature of Toastmaster's "Edison" brand products was created to maintain the high stature associated with the name and heritage of Thomas Edison.
- 8. More recently, Toastmaster/Salton sells "Edison" brand products through the QVC Internet and direct marketing shopping network. Attached as **Exhibit 6** are copies of pages from QVC's Internet website (www.qvc.com) printed on October 7, 2004 showing the following "Edison" products: (1) Edison 4qt 1200 Watt Cool Touch Pressure Cooker; (2) Edison Snack Size Cool-Touch Deep Fryer with Nonstick Bowl; (3) Edison 8 Cup 350 Watt Food Processor with PulseControl; and (4) Edison 32 oz Hot Pot w/ Low to High Temperature Dial. Attached as **Exhibit 7** is a copy of the Owner's Manual for the .5 Liter Non-Stick Deep Fryer, also downloaded from QVC's Internet website on October 7, 2004.
- 9. All products sold under the "Edison" trademark by Toastmaster/Salton include notice that the "Edison" trademark is used pursuant to license from plaintiff McGraw-Edison Company. See, for example, Exhibit 3 (Limited Warranty) and Exhibits 4 and 5. Toastmaster, and later Salton, has always endeavored to sell and distribute quality products under the "Edison" trademark.
- 10. It is my belief that the commercial impression created upon consumers when they see Toastmaster/Salton's "Edison" brand products is that the source of the products is Toastmaster/Salton, and that goodwill inures to the benefit of petitioner McGraw-Edison Company.

- 11. I have been informed that the registrant in this action is using the term "LEDISON" in connection with its marketing and sale of LED light bulbs. I have reviewed registrant's "LEDISON" LED light bulbs at its website, www.mulelighting.com. It is my belief that registrant's use of the "Edison" name in connection with the marketing and sale of light bulbs unmistakably identifies Thomas Edison, the inventor of the light bulb, and reduces the commercial value and distinctiveness of the "Edison" brand that Toastmaster has spent the last twenty years developing.
- 12. It is my further belief that registrant's "LEDISON" light bulbs will mislead the public to believe that either petitioner or Toastmaster/Salton has sponsored or approved of defendants' use of the "Edison" name, thereby damaging the established reputation and goodwill associated with Toastmaster/Salton's "Edison" brand products.

Pursuant to the provisions of 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

Executed on the 30^{+1} day of December 2004.

CAROLYN M COLEY

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the **DECLARATION OF CAROLYN M. COLEY** was served by hand delivery on:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L

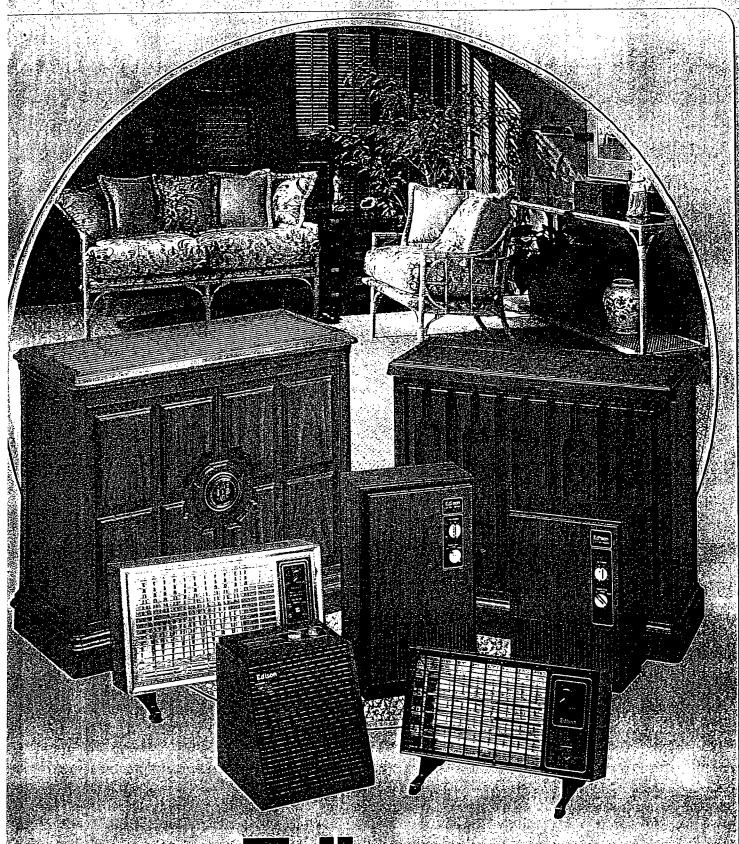
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Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L. (



Edison。 1983 Heaters & Humidifiers

QUALITY PRODUCTS OF M Toastmaster Inc.

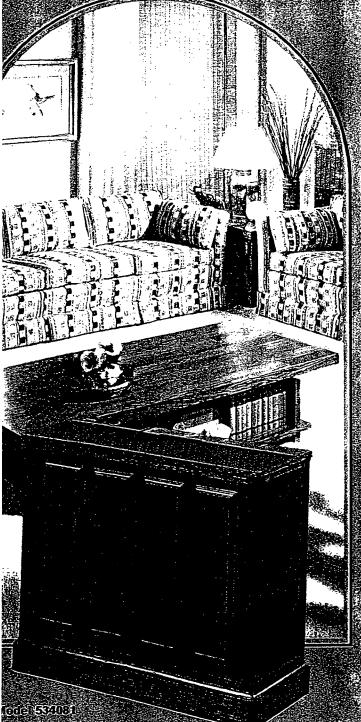
EdisonA Leader in the High Volume

Humiditier Category



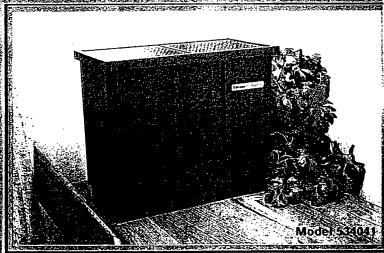
our Edisonsales volume
ader, the 534921 otters the
eatures consume stwart at an attordable price. Specificatures include 12 gallon per day our put (for homes up
o 2750 square (eet); attand rubbed pecan, woodgrain
abinet, choice of three fain speeds exclusive lift off
abinet for easy cleanings plus all the features found o
ther top of the line humiditiers.

ECISON: eader in Quality and Features a Economical Humidifiers



our Edison price leader the 534081 reatures 8 gallon enday output capacity. (for homes up 161,750 square et single-speed operation, lift of cabinet for easy leaning automatic humidistat and a stylish chesinut rown cabinet.





Modelle94042

The rotal furniturie for strole rooms, apartments of rices mobile horres, or any area up to 4,000 square feet features & crallons per day out put capacity, a one piece long lasting filter, water fill indicator, and is easy to clean and fill Roomar almond cabinet features pecan wood main from panels, and is packaged in a self-selling four-color carton.

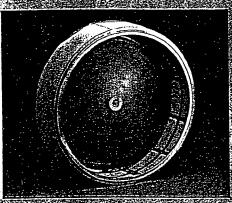
Model 534041

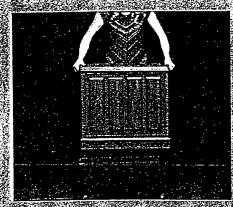
Same (catures as Model 534042 with chestnul brow abinet and two color carton.

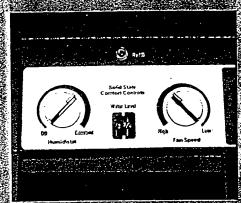
dison

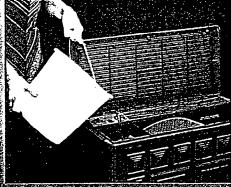
- Helps prevent static electricity shocks. A special static electricity shocks. A special static electricity shocks. A special s

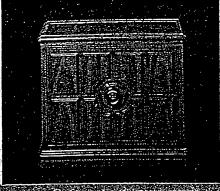
The Leader in Console Humidifier Features



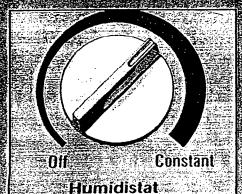


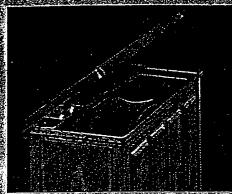


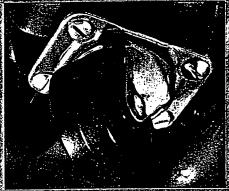






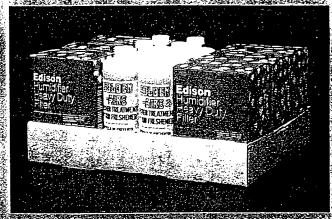






EDISON HUMIDIFIER ACCESSORIES & &

To support your Edison Humiditier sales. Edison offers a fully line of humiditier accessories; this year available in an attractive counter-top organizer. The organizer includes heavy duly replacement filters, humiditier water treatment liquid and tablets with air freshener that are colorfully packaged to self Edison replacement heavy duly filters in sure that the humiditier is operating at maximum efficiency. The Edison water treatment liquid controls lime scale build-up, iron deposits, odors and clogged filters.



EDISON» HUMIDIFIERS Features and Specifications



ACCOUNT OF THE PROPERTY OF THE	*************	~ U.S	The second second second	City of City o	4.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	Market and the second s	
Water Output Capacity : Gallons Per 24 Hrs.	172.33	147	12	10	85.7	3	3 3
Effective Area of Moisturization	4,000 sq. ft.?	3.250 sq. ft.	2:750'sq-ft	2,250 sq. ft.	1 750 sq ft.	1 000 sq. ft	1 000 sq. ft.
Number of Fan Speeds	Solid State	Solid States		21			
Automatic Humidistat	Yes .	Yes	Yès	Yes	Yes	No .	No S
Automatic Shut-Off	≥ Yes: €	Yes	Yes	Yes	No.	No	No.
Water Level Gauge 35	yes ¥	Yes	Yes	yes⊸	No No	Indicator	Indicator
Refill Signal Light	Yes	Yest_1	Yes 35	No	. No. ♣	No 2	No .
Water Reservoir Capacity	, 10 Gal □	75 Gal	. 27 5 Gal 👺	. 75 Gal	7.5 Gal	2 0 Galiz	2.0 Gal
Lift-Off Cabinet	Yes	yes.	Yes	Yest	Yes	No	No. 15
Top Fill/Discharge	Yesaka	Yes \	Yes	Yes	Yes Si	⊬Yes , is.	Yes &
Quiet Rotating Drum Moisturizing Action	Yes Yes	Yes yes.	Yes #£	Yes 🕰	Yes Sie	No. 34	i ∰. Norala.
Cabinet Construction : 200	Rolystyrenes,	Polystyrenes	Polystyrene	Polystyrenes	- Polystyrene	Polypropylene	<u>(Rolypropylene</u>
Cabinet Color	Recent	Walnus	Pecan	Z Autumn	: Chestour	Almond	Chestnut
	Woodgrain With Brass	Woodgrain	Woodgrain	Brown with Simulated Leather	Brown	With Pecan Woodgrain	≰Brown : ≨
tiinged Top	Hardware		No S	s a ceamer.	Note	Notal	Note:
Concealed Controls	V. V.	Yes a	No.	No ##	No	No	No
Ball-Bearing Casters	Yes	Yes .	Yes	Yes	No.	No.	No
Volts/Hertz	120/60	120/60	120/60	120/60	120/60	120/60	120/60
Fan Motor RPM	700 to 1500	700 to 1400	700 to 1400	900 and 1400	1100	1800	1800
Air Volume CFM	200 to 410	170 to 325	170 to 325	210 and 325	265	105 6	5/≥ 105 5
Cabinet Dimensions 7	26 x 28½ x 12¾	26%;" x 24½" x 11½ %	26% x 23% x 11% =	26 x 23%	26' x 234' 4 x 117'	14" x 16% 4 x 8%"	14" x 16%" x 8%"
Net Weight	321/2 lbs.	24 lbs.	24, lbs.	23½ lbs.	231/2 lbs	71/6 lbs.	71/6 lbs.
Shipping Size	7.44 cu! ft.	🤧 5.25 cu. ft. ⊹	≨ 5.25 cu. ft.	\$ 5.25 cu ft ≥	5.25 cu ffs	. 1.53 cu. ft. ∕	〒1.53 cu: ft 🏖
Shipping Weight	441/2 lbs 🛬		्र 22 lbs 🔙	32 lbs.∋_	32 lbs.	9¼ lbs.⊚≟	
Four-Color Carton	Yes	Yes	Yes	Yes	Yes	Yes	No.
Point-Of-Purchase Material	Yes 4-Color	Yes 4-Color_	t Yes ∴ 4-Color	Yes 4 Color	Yes 4 Color ?:	Yes	No ·

*AHAM certified



Capacity ratings verified by the Association of Home Appliance Manufacturers (AHAM) at 30% relative humidity, 70°F; Humidifiers sold without this seal may show higher capacities based on lower relative humidity conditions

EDISON® OFFERS COMPREHENSIVE ADVERTISING AND PROMOTIONAL SUPPORT

EDISON ADVERTISING

The Edison Consumer Advertising Program carries attention getting heater and shumidifier selling messages to millions of consumers all across the country each year. And Edison Consumer Advertising reaches consumers who are the best sales prospects for heaters and humidifiers at the time they are most likely to buy

NATIONAL CONSUMER MAGAZINES—COMFORT HEATER IL and COMFORT SENSOR, HEATERS—Full page; four color ads tell the Edison Heater story in a major consumer publications such as BETTER HOMES AND GARDENS, PEOPLE

NATIONAL NETWORK TELEVISION GAME SHOW PARTICIPATION—Featuring Edison Comfort Senso on leading game shows on the major networks hundreds

KEY MARKET TELEVISION Hard hitting Comfort Sensor Heater and Comfort Heater II campaigns run in key media markets.

KEY MARKET RADIO Attention-getting hard-selling radio spots run in key humidifier markets. Radio is an excellents.

medium for humidifier advertising and Edison is there with stron
LOCAL ADVERTISING ACCRUALS—Liberal cooperative adverti
Edison Heater and Humidifier purchases for your use in develop
which the in with the Edison national advertising.







EDISON MERCHANDISING

A solid selling program doesn't stop with advertising Attractive, attention getting merchandising support at the point of purchas important. And Edison provides it.

CARTONING:

Heaters Edison Heaters are packaged in bold attractive two arcordance at the packaged in bold attractive two arcordances.

Edison Comfort Sensor Heaters, and Comfort Heater IIs realting recolor cartons. Edison radiant and ritubo Heaters have attractive attractive rate in the recolor cartons. And all cartons diagram products leading and the recolor cartons. And all cartons diagram products leading and benefits that make Edison the seaders of the recolor cartons.

O) NEO EPURGBASE MATERIALS Sales de recalding and miornal vel Mylar labels on all somon Sensor de de sand Rudo de alles and collon jang lang de comion de aler discussioned, ay terisor production unes granter where product is displayed.





CARTONING

Humiditers: All Edison console libratines realized our consocations that runher enhance (Edison) excellent realizations that runher enhance (Edison) excellent realization ement.

Edison cartoning is asalesman working to you first realization ement.

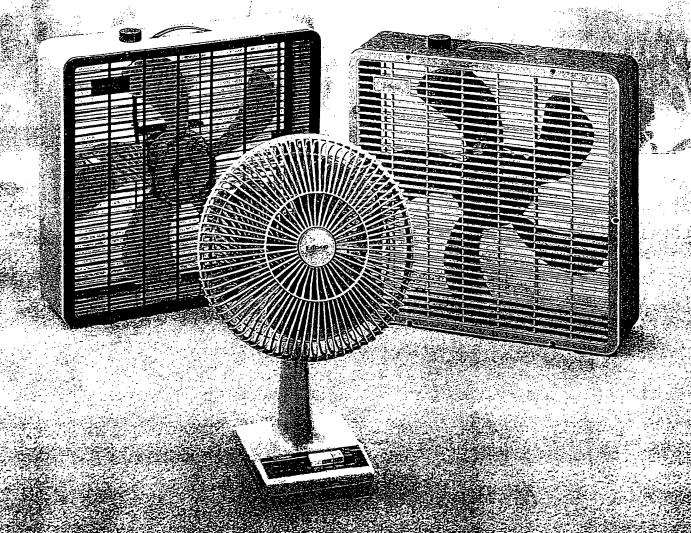
Edison cartoning is asalesman working to you first realization ement.

Edison cartoning is asalesman working to you first realization to expend the customers and console the product of the produc

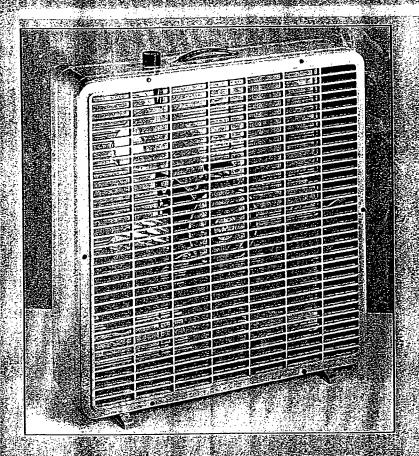
Edison. Products

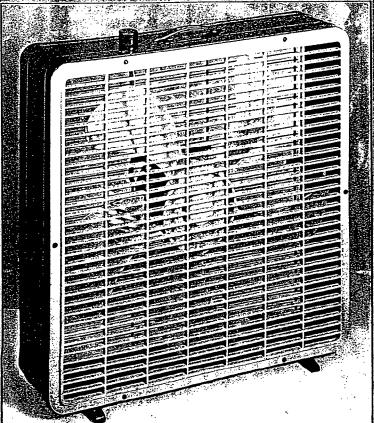
ME01388

1984



EDISON THIN NELIGHTS





America's favorite promotional fans

Edison: Thin Na Lights are the proven 202 (an A Edison Thin Na Lights are the proven 20 far choice. Constructed with the customer in mind, they re light for easy, handling and storage designed to blend with any decorand they move a largety of time of air. Plus the compact design saves you up to 25% in freight costs. More tans per fruckload means a lower landed cost and more profit for you. All Thin Na Lights feature permanently lubricated bearings and epoxy-coater. nently lubricated bearings and epoxy coated motors for years of reliable operation. Easy to see why Edison Thin N-Lights are America's 20 fan choice. and a wise choice for you för youl

Mödel 204021 2-speed 20% Thin Nº Light Fan:

- The pince leader in 20% tans
 Ropular almond cabinet, blade and plastic

 The pince leader in 20% tans

 Ropular almond cabinet, blade and plastic

 The pince leader in 20% tans

 The pince leader in 20% tans

 Ropular almond cabinet, blade and plastic
- gnile Jet Stream blade design for high volume

- ali movements in a Lifetime Judicaled bearings and er coaled molors

 Specifications 2-speed 115 V 60 Ship W 13 Jbs. Carlon size 2373. (1.57 cu. ft.) 🤄

Model 204022 3-speed 20" Thin N' Light Fan.

- Stylish cocoa brown cabinet with almond plassiblade and grille
- Exclusive Jet Stream blade creates high volume air flow
 Lifetime lubricated bearings and epoxy.
- Specifications: 3 speed, 115 V 60 Hz A6 3 Shiip wt. 13 lbs. Carton size: 23 x 21 /2 x 5 /2 (1.57 cu. ft.)

DISON WIDE-BOX COMFOR

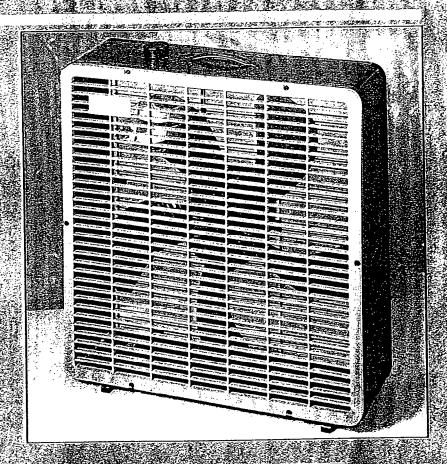
The leader in Breeze **Box Fans**

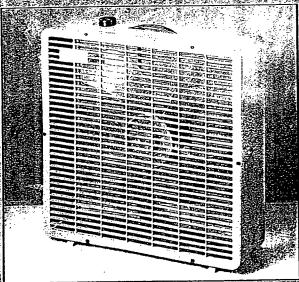
Our wide box Comfort Fans offer all the Our wide-box Comfort Fans offer all the features and quality you expect from Edison at promotional price points! Computer designed blades deliver more air flow than conventional breeze boxes with less vibration and noise! And epoxy-coated motors and per manently lubricated bearings assure long tan life; telable performance! Designers tyling in popular almond and coated brown earthones and not pecan wood grain make comfort Fans a sure winner with your customers. Ecok to Edison Comforts Fans to be a winner for you with greater volume faster turnover, higher margins!

Model 204026: Deluxe 3-speed 20 Comfort Fan.

- Beautiful pecari woodgrain wide box cabinets Siylish almond plastic grille, smoked transition cent blade and cocoa brown appointments.
 Computer designed blade for maximum air flow Lifetime lubricated bearings and epoxy coaled motors.
- motors

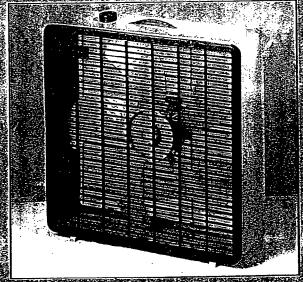
 Four-color cartons
 Specifications 3-speed 115 V 60 Hz AC Will 13/4 bs Carton size 22/4 tx 21/2 x 63/4 Circle III.)





Model 204024 2-speed 20 Comfort Fan-

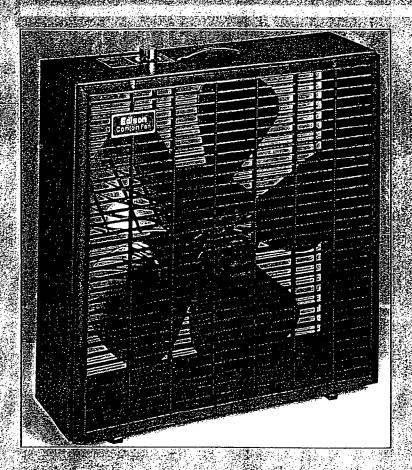
- Confemporary almond wide box cabinets:
 Almond plastic grille and blade with cocoal brown appointments
 Computer designed blade for greater are movement.
 Lifetime Libricated bearings and epoxy coated motor.
 Specifications

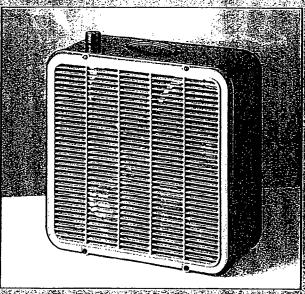


Model 204025

- Popular almond wide box cabinet and blade with coclibrowin plastic grille and appointments
 Computer designed blade provides high velocity air-liow
 Lifetime libbroated bearings and epoxy coated motor.
 Specifications 3 speed 115 V 60 Hz Ac Ship Wests/Joss carlon size 2234 × 21/2 × 63/2 01 Griller

edison deluxe comfort fans...





a step up for the customer, greater profits for you!



Model 204016. Solid State Infinite Speed 20 All-Season Fan.

Epergy: Savipg cool and warm air circulation for year round use. The solid state infinite speed control allows settings from a virtually noiseless slumber speed for night or winter air distribution. To high speed for hot summer days.

• Stylish walnut woodgram cabinet with chocolate brown and chrome appointments.

• Chocolate brown grille and smoked translucent plade.

- Diages
 Lifetime lubricated bearings and epoxy coale
- motor

 Four color cartones

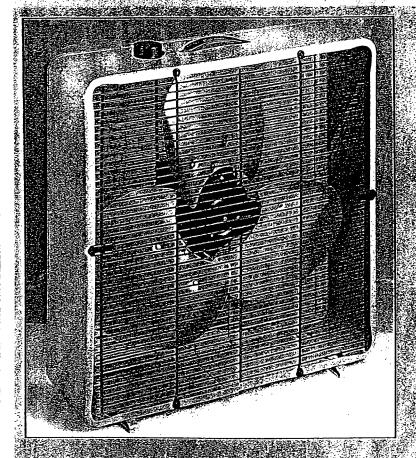
 Specifications: Solid state infinite speeds

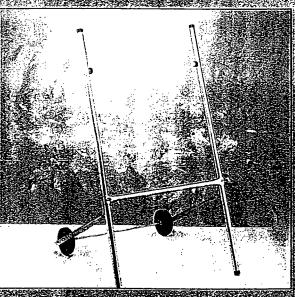
 115 V 60 Hz AC Ship w 15 lbs. Carton si

 2256 × 21√2 × 7/6′ (2 03 c0 ft.)

Model 144001 Sispeed 14 Compact Comfort Fair

- Decorator pecan woodd ain cabinet
 Attractive almond plastic ghile and smoked franslitten in blade
 Computer designed blade to maximum air movement
 Lifetime lubricated bearings and epoxy, coaled motor
 Specifications: 3 speed, 115 V; 60 Hz; AC Ship wi 97.
 Ibs: Carton size; 16% X 15" x 6% (92 cu. ft.)





Model 204007 3-speed 20' Extra Duty Comfort Fan

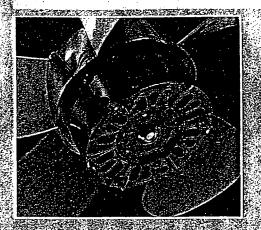
- Durable all metal construction
 Balanced 3 paddle metal blade for quiet and reliable operation
 Almond color steel case
 Cocoa brown metal guards and appointments
 Lifetime lubricated bearings and epoxy-coaled motor
 Specifications: 3 speed; 115 V 60 Hz; AC Ship wt: 14 lbs. Carton size: 2234, X 21/2; X 63 (1.91 cut.ft.)

- Model 201077/A
 Rollabout Fan Stands

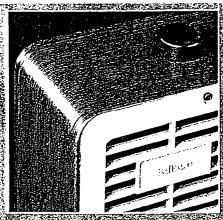
 For use With all Edison 204 lans
 Tubular seel construction:
 57 wheels lone asy folling
 Height adjusts from 39 to 45
 Specifications: Ship Wit 7/abs, Carton size
 3/- 3/300 x 5/2 c33 custified

EDISON FANSE:

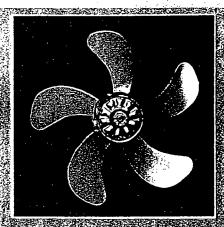
quality construction, more value, greater customer appeal?



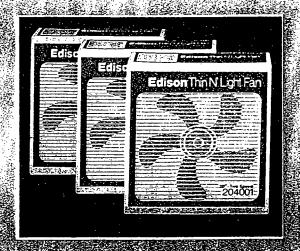
Lifetime jubricated bearings and epoxys coated motors: smoother more efficient operation and long, reliable fan life



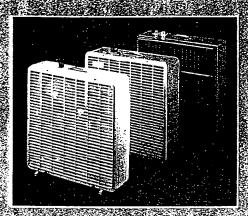
Decorator stylings pecan woodgrains and popular almond and cocoa brown earthfores have greater consumer appeals. That shigher margins and faster turnover for you!



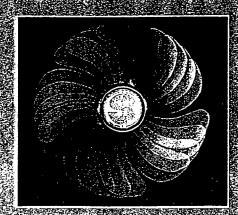
Computer designed blades provising greater air flow that conventional box to fans, with less vibration and poise.



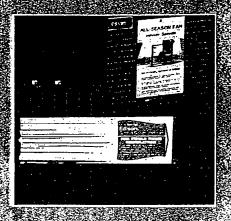
Sell selling cartoning. Edison Fans are packaged in bold; altractive two, and four color cartons that really get the consumers attention transmit the selling message and sell the product. And Edison is the only nationally advertised and resognized leader in home comforts products for nearly 50 years.



Step-up models: from Thin N Lights to our exclusive solid state all season fandonly Edison offers step-up profit oppor- a stunities



Energy savings executions fans cost less libant 1¢ per hour to operate based on and average electricitate of 70¢ per KWH saving customers can remain comfortable while reducing expensive air conditioning expensive air conditioning.



Point-of-purchase support seves calching and informative point of sepending purchase malerials get the sellings message to the consumer, no matter where the product is displayed.

EDISON OSCILLATING FANS. 2. quality construction, quiet

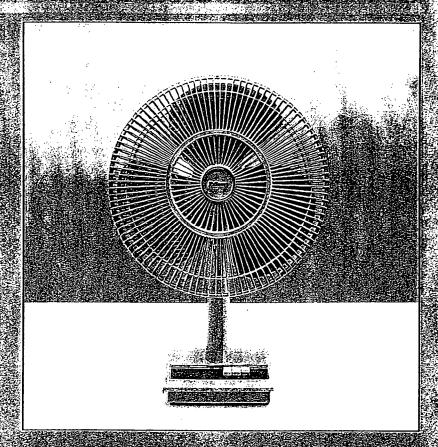
cooling, with a great new look

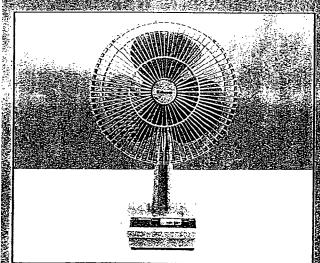
Edison Oscillating Fans have been redesigned with a new lock for great shell; appeal. Beautiful combinations of almond and brown earthones with woodgrain control panels blend perfectly with today sidecorating trends and consumer preferences. And of course with Edison, you're assured of duality reliability and extra-quiets cooling Alfans feature full-90 oscillation on stationary operation. And that all adds up to sales and profit advantage at the retail colinier.



3-speed 16 Deluxe Oscillating Fan

- Plano Keyboard controls
 Almond color base and neck with pecan v
 grain control panel s
 Brown transliticent plastic blade
 Extra-quiet capacitor motor
 Specifications 3 speed; 115 V:60 Hz AC
 Ship we 13 lbs Carlon size: 173, x 11%;
 (247 cit it)

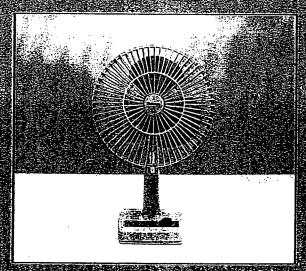




Model 124007

3-speed 12 Deluxe Oscillating Fan-

- Pjano keyboard controls
 Almond color base and neck with pecan wood grain control panel?
 Brown translate in plastic blade
 Extra quiet capacitor motor
 Specifications a speed his V 60 Hz Actship with libsication size 14%, x 84, \$187. (1-58 Gr (1)



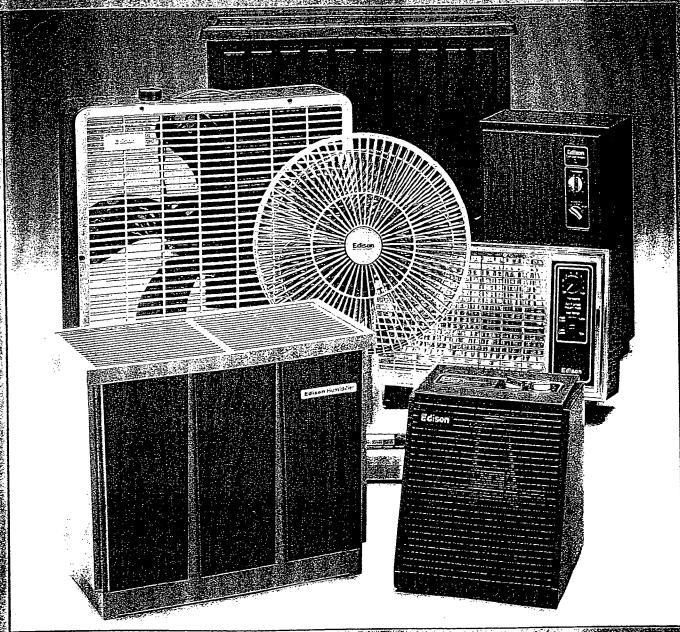
NET

Model (EVIX)

es escou Oscilating tans

- C compact design for discontrabelog or design.
 C Astrophic colorinas care in each will appear in woods grain control panels. C
 G to wir translucion plastic blade.
 C quiet shaped roote motor.
 Sign wir filts reason syzen (3): 7.7.0 m/s; 167 (3): 11.

Season your sales with Edison.

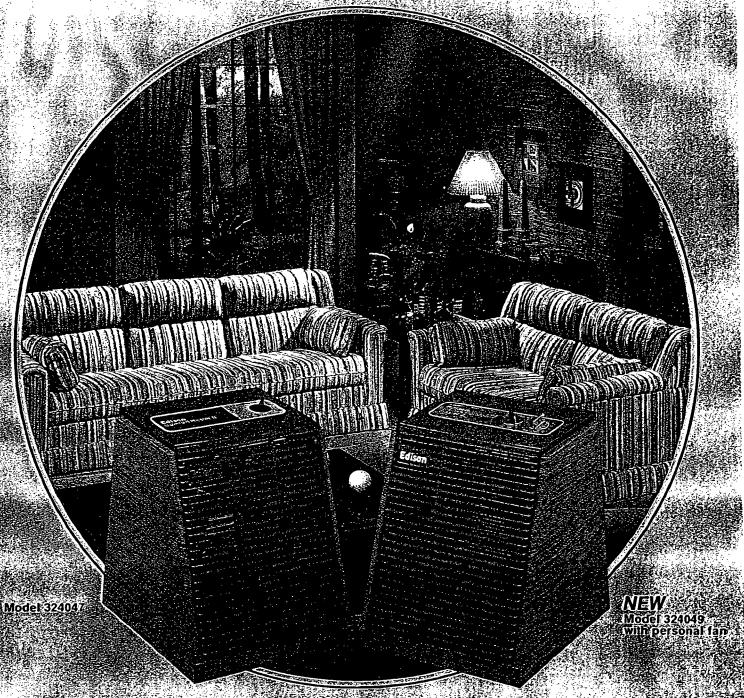


ans is an as invitidities. SE Edison has been the industry leader in times on main-invite complete products for nearly littly years. Whatever the complete products for nearly littly years. Whatever the complete products for nearly littly years. Whatever the complete products for the complete products fo

The state of the same all years long and decorator styled energy, saving has a claim of oscillating and decorator styled energy, saving has send a claim section seed on the steps and the styles that turn summer head not summer sales it on promotional models to Edison's exclusive all sections in the section of the styles of the section
Edisor Portable Heaters: Only, Edison offers a truly sample on portable heaters to provide your customers more visited by the provide your customers more visited by the complete of the provide some state of the provide some st

While single season manufacturers can leave you product the single of personal comfort products can delicate and profits to unseasonal highs, every seasonal calls of 1800 to a single of personal highs, every seasonal calls of 1800 to a single of the north products as the single of the north products as the single of the si

A SAFE WHÖLE-ROOM HEATER FOR THE COST OF A RADIANT HEATER



EDISON® COMFORT HEATER IIS®

Edison Comfort Heater IIs are safe and compact wholeroom heaters. Each model is economical to purchase and operate Comfort Heater IIs feature fan-forced air flow that will heat an entire room. And Model 324049 features a FAN ONLY MODE for cool personal comfort in summer. Each model is thermostatically controlled for comfort selection and energy savings. Comfort Heater IIs are now styled with beautiful pecan woodgrain cabinets, molded front grilles in cocoa brown and champagne gold appointments. Each model is designed for safety, with automatic shut-off, concealed elements, a cool-to-the-touch case and built-in carrying handle.

Comfort Heater IIs feature four-color cartons and eyecatching point-of-purchase materials that really sell.

Model 324047

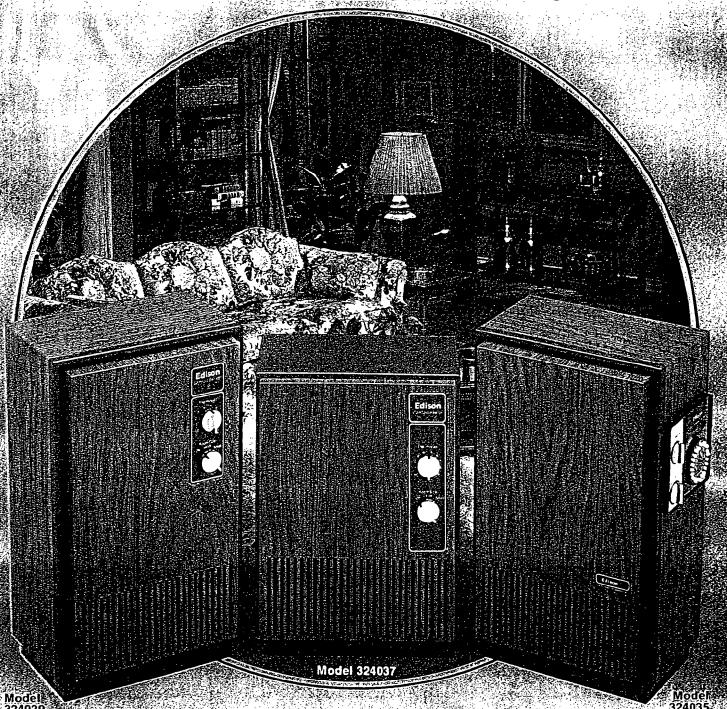
Single-Wattage Comfort Heater II

1500 Watts (5120 BTU)

Deluxe All Season Comfort Heater II with personal fan

- . 1500 Watts (5120 BTU) high heat
- 750 Watts (2560 BTU) low heat
- Single-speed personal fan

THE SALES LEADER IN WHOLE-ROOM ELECTRIC HEATING WITH NEW CONTEMPORARY STYLING



EDISON® COMFORT SENSOR® HEATERS

Edison Comfort Sensor heaters are the leading sellers in portable, forced air whole room electric heaters. Comfort Sensor heaters leature settings for two room sizes controlled by the energy saving Mastermind thermostat which automatically adjusts heat output and two quiet landspeeds. And Comfort Sensor heaters are designed for safety with a cool to the touch case, concealed elements and automatic shutoff.

Each Comfort Sensor model has been beautifully restyled in pecan woodgrain and champagne gold, a warm combination that blends with any decor

All Comfort Sensor heaters are packaged to sell with eye catching four-color cartoning and informative.

Model 324037 Comfort Sensor Heater

Large Room : 1500 Watts (5120 BTU); with automatic shift to 1000 Watts and off

Small Room - 1000 Watts (3410 BTU) with automatics shift to off

Model 324029 Deluxe Comfort Sensor Heater

Large Room : 1500 Watts (5120 BTU) with automate shift to 1000 Watts and off

Small Room : 1000 Watts (3410 BTU) with automath shift to off.
In operation pilot light. Built in carrying handless

Model 324035 Deluxe Comfort Sensor Heater with Limes

The same features as Model 324029 but includes a 24-hour multiple programmable timer

THE BENEFITS OF **ECISON** WHOLEROOM HEATERS

HEATS AN ENTIRE ROOM

Conventional radiant and quartz heaters work like a fireplace, heating only objects directly in front of them to the place. Heaters work to them to the place of
And Edison Comion Sensor Heaters and Comfort Heater IIs; with their high velocity forced air flow from a 5½ (or larger) fan warm a cold room quickly and completely. Edison Heaters are quiet too because all moving parts are isolated from the case.

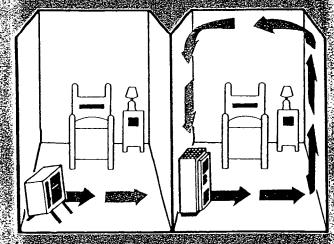


Diagram (

DESIGNEDFORSAFETY

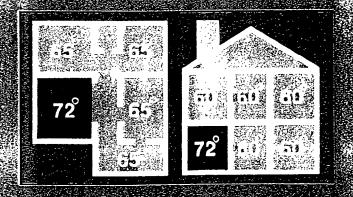
Comfort Sensor Heaters and Comfort Heater, Ils will automatically shut off it the air flow is restricted and before the temperature within the

- Concealed elements make the unit safe around
- children and pels.
 Comfort Sensor Heaters, and Comfort Heater IIs, remain cook to the louch even under maximum heating conditions, due to the high velocity all flow.



ECONOMICAL

Edison Comfort Sensor Heaters and Comfort Heater IIS are the perfect solution to the problem of thich home nearing costs. These costs can be reduced substantially without sacrificing comfort. Simply lower the central thermostat to an economical 60 to 65 degrees, while maintaining a comfortable temperature in any room a selected. Edison Comfort Sensor Heaters and Comfort Heater IIs use less than one kilowatt of electricity per hour, under normal use conditions

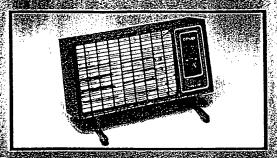


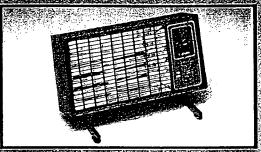
CONSTANT ROOM TEMPERATURE

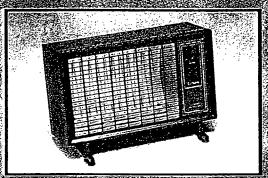
omfort Sensor Heaters offer the convenience and efficiency of a constant room temperature with a single setting of the controls. The Edison exclusive double action, self-adjusting Mastermind, the mostat warms a

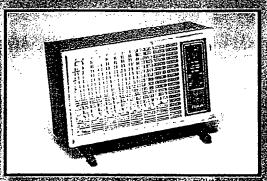
oomicadestedienperature thentikees (herooma trat temperature by automatically adjusting the beat level and an clow. (Regulating the mostation

A COMPLETE LINE OF STYLISH RADIANT HEATERS FROM EDISON®









Model 324051 1000 Watt Radiant Heater

- 1000 Watts (3410 BTU)
- Cocoa brown textured steel cabinet; with pecan woodgrain control panel Ribbon-type elements for instant heat
- Tip-over safety switch.
- Fold-away handle

Model 324052 ...

- 1320 Watt Radiant Heater C 1320 Watts (4505: BTU) fan-forced heat output Cocoa brown textured steel cabinet, with pecan woodgrain control
- Thermostat controlled
- Ribbon-type elements for instant heat
- Tip-over safety switch
- : Fold-away handle

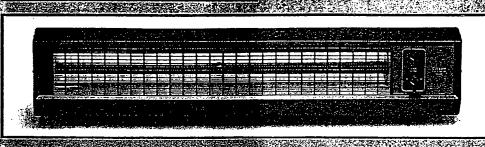
Model 324053 Deluxe 1500 Watt Radiant Healer

- 1500: Watts (5120: BTU): fan joyced freat ou touts NEW: Pecan, woodgrain cabinet and control panels. Thermostat controlled. Ribbon: Noe elements joy instant heat. Tip: over safety switches. Fold away handle.

Model 324054

Deluxe Dual-Wattage Radiant Heater

- Guile Tipermostatvonirolled Riccontype elements formstagt heat Tipsoversaletyiswiich Fold away handle



Dial-Vallage Low-Profile Radianu Heater

Cliotte 65 i worland bireed heat levels
1320 Watis (4505 BTU)

1000Watis(8410BTU)

HEALUBE elements for even heat?

or long life and added safety

Cocoa brown lextured steek cabinet
with pecan woodgrain control panel

THE RUGGED EDISION TURBO HEATER®

Model 324034 120 Volt Turbo Healer

120 Volt Turbo-Heater
Choice of two forced air levels.
1500 Watts (5120 BTU)
1140 Watts (3890 BTU)
Heavy duty steel case remains cool to the touch
Powerful 656 diameter fan
Selt resetting safely incompostate
Long-life nor glowing elements
Rugged carrying handle and legs

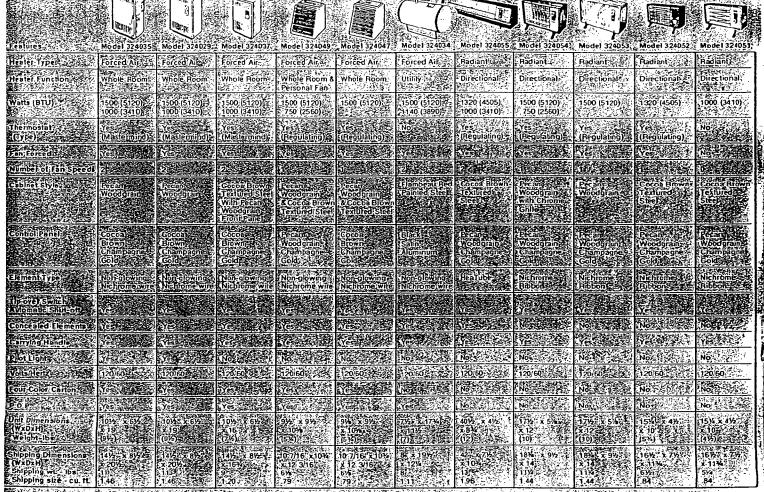
The ideal utility healer to garage

rugged, high velocity heater is

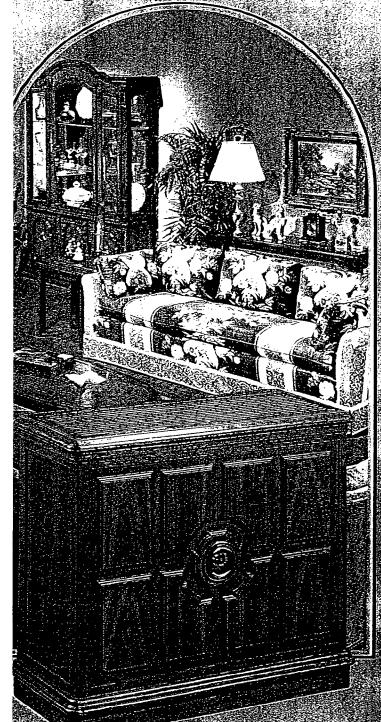


EDISON® HEATERS

Features and Specifications



EdisonLeader in High Capacity,
High Styled Humidifiers



A beautiful hand-rubbed traditional style cabinet in rich pecan with brass ornamentation combined with a big 17 pecal with blass of hameulands, combined with a big-gallon per day capacity (for homes up to 4,000 square feet), makes the 534171 the frue leader in top of the line humidiners. And, of course, this deluxe unit offers all, the features—including solid state infinite speed control. exclusive easy-to-clean cabinet and full instrumentation



Mödel 534142

The 534142 features a big 14 gallons per day moisture outputs (for homes up to 3,250 square feet), and a beautiful hand-rubbed walnut woodgrain cabinet for a truly ine furniture appearance. Plus there are all the other cabinets automatic humidistat and shut-off, refill light, water level gauge, full hinged top and much much more:



Spring Program 2002

Essentials for Living

Sugg

Avaitability	Current New 5/02 New 02/02 New 03/02
Comments	
Promo <u>Retall</u>	\$19.99 \$29.99 \$19.99 \$19.89
ADV	net net net
FOB Cost	\$17.00 \$22.00 \$17.00 \$15.00
List Price	\$21.25 \$27.50 \$21.25 \$18.75

All Pricing is Quoted FOB Warehouse

Biender/Chopper Combo Unit

Urn Electric Skillet

EDTOB EDBLC EDUR EDES

Toaster-Oven-Broller

Description

Model #

PRICING \$17.00 \$17.00 \$19.99	\$22.00 \$22.00 Promo Retail \$29.99 net	\$17.00 \$17.00 Promo Ratail \$19.99 net	\$15.00 \$15.00 \$19.99 net
SPECIFICATIONS SINGLE CARTON: Size: 17.36" ×11.89" × 10.55" Weight: 7.5 lbs. MASTER CARTON: Size: 17.91" × 12.48" × 11.34" Weight: 10.8 lbs. Cu, FL: 1.47 Quantity: 1	SINGLE CARTON: Size: 15.59" x 6.54" x 11.1" Weight: TBD WASTER CARTON: Size: 27.17" x 16.54" x 12.41 Weight: TBD Cu. Ft.: 3.23 Quantity: 4	SINGLE CARTON: Size: 1024" × 15.75" Size: 1024" × 15.75" Weight: 4.48 lbs. MASTER CARTON: Size: 10.83" × 10.83" × 16.34" Weight: 6.04 lbs. Cu. Fl.: 1.11 Quantity: 1	SINGLE CARTON: SIZB: 13.47" × 12.4" × 5.6" Weighl: 4.74 bs. MASTER CARTON: SIZB: 22.95" × 12.72" × 14.25" Weighl: 20.72 lbs. Cu. FL: 2.41 Quantity: 4
Essentlats for Living Essentlats for Living FEATURES 4-slice capacity Rack advances when door is opened Rack advances when door is opened 30-minute timer 30-minute timer Full range thermostat Chrome plated bake tray and wire rack	Powerful 400-watt motor 12-speed touch-pad controls Durable 48 oz. glass Jar Ice crushing and pulse function Stainless steel blade Glass Jar inverts on base for easy storage On/Off switch 2-cup Mini Chopper attachment	12-30 cup capacity. Twist lock lid Two-way dripless faucet Heat-resistant handles, cover, faucet and base Removable, cord Removable, cord Ight Interior water level markings	Full-size skillet with lid Adjustable lid vent Non-stick coated Infinitely adjustable thermostat Completely immersible with probe removed 1200 watts
MODEL EDTOB UPC: 082846-08338(6)	EDBLC ÚPC: 082846-02544(7)	EDUR UPC: 082846-03435(7)	EDES UPC: 082846-02542(3)



ssentials for Living

PRICE LIST Effective January 1, 2002

				DEALER PRICE LIST								
	Product Name -	Model Number	UPC Code Prefut (0-82848)	Master Pack	Master Pack Wt (lbs.)	Master Pack Dimensions (in.)	Master Carron Cube (cfl)	Unit WL (Ibs.)	Unit Dimensions (in.)	Unite Cube (cff)	List Price	Sugg. Retail Price
6	4-Slica Toester-Oven-Broiler • 4-slice capacity • Rack advances when d • Bakes, broils, toasts an • 30-minute timer • Drop-down crumb tray • Full range thermostat	EDTOB per is opened d top browns	06338-6	1	10.8	17.91x12.48x11.34	1.47	7.5	17,36x11.89x10		\$21,25 40 FL Conta	\$29.99 imer: 1391
	Blender/Chopper Comb Powerful 400-walt mo 12-speed touch-pad o Durable 48 oz. glass j Ice crushing and puls Stelnless steel blade Glass jar inverts on b On/Off switch 2-cup Mini Chopper a	ontrols ar innction ase for easy s	:	4	ТВО	27,17x16.54x12.41	3.23	TBD	15.59x6.54x	n.1 · .65	\$27.50 40 Ft, Cor) \$39.99 htsiner: 2380
	30-Cup Aluminum Urn 12-30 cup capachy Twist look lid Two-way dripless fat		03435-	7 1	6.04	10.89x10.89x16.3	4 - 1.11	4.4	8 10.24x10.24	x15.75 .!	6 \$21.	25 · \$29.99 ·



Heat-resistant handles, cover, faucet and base Power light

Interior water level markings

Automatic temperature control

40 Ft. Container: 1680



Electric Skillet

EDES

02542-3

22.95x12.72x14.25

2.41

4.74

13.47x12.4x5.6

.54

\$18.75 \$29.99

Full-size skillet with Id Adjustable lid vent

Non-stick coated

Infinitely adjustable thermostat

Completely immersible with probe removed

1200 watts

40 Ft. Container, 3552

LIMITED ONE YEAR WARRANTY

period of (1) year from the original purchase date. This product warranly covers only the original consumer Marranty; This Edison® product is warranted to be free from defects in materials or workmanship for a purchaser of the product.

products or parts thereof which have had the serial number removed, altered, defaced or rendered illegible. normal wear and tear, improper assembly, installation or maintenance abuse or other causes not arising out unreasonable use, misuse, neglect, improper service, commercial use, repairs by unauthorized personnel instructions furnished with the product or to units which have been altered or modified or to damage to Marranty Coverage: This warranty is void if the product has been damaged by accident in shipment of defects in materials or workmanship. This warranty is effective only if the product is purchased and operated in the USA, and does not extend to any units which have been used in violation of written

Implied Wartanties: ANY IMPLIED WARRANTIES WHICH THE PURCHASER MAY HAVE ARE LIMITED IN DURATION TO ONE (1) YEAR FROM THE DATE OF PURCHASE. Some states do not allow limitations on how long an implied warranty lasts, so the above limitation may not apply to you.

in warranty for the balance of the one-year warranty period and an additional one-month period. No charge returned to the Repair Center, or the purchase price refunded. The repaired or replacement product will be Marranty Performance: During the above one-year warranty period, a product with a defect will be either repaired or replaced with a reconditioned comparable model (at our option) when the product is will be made for such repair or replacement.

between the hours of 9:00 am and 5:00 pm Central Standard Time and ask for CONSUMER SERVICE stating Service and Repair: Should the appliance malfunction, you should first call toll-free 1 (800) 947-3744 that you are a consumer with a problem. Please refer to model number EDCB10P when you call.

In-Warranty Service (USA): For an appliance covered under the warranty period, no charge is made for service or postage. Call for return authorization 1 (800) 947-3744.

Out-of Warranty Service: A flat rate charge by model is made for out-of-warranty service. Include 88.00 (U.S.) for return shipping and handling. We will notify you by mail of the amount of the charge for service and require you to pay in advance for the repair or replacement.

packaged with sufficient protection, and postage and insurance prepaid to the USA address listed below. Please note that all customs duty / brokerage fees, if any, must be paid by you and we will require you to For Products Purchased in the USA, but Used in Canada: You may return the product insured. pay the cost of customs duty / brokerage fees to us in advance of our performing any service.

Risk During Shipment: We cannot assume responsibility for loss or damage during incoming shipment. enclose the following items with your appliance: any accessories related to your problem, your full return address and daytime phone number, a note describing the problem you experienced, a copy of your sales For your protection, carefully package the product for shipment and insure it with the carrier. Be sure to receipt or other proof of purchase to determine warranty status. C.O.D. shipments cannot be accepted.

To return the appliance, ship to:

Attn: Repair Center Macon, MO 63552

To contact us, please write to or call:

P.O. Box 6916 Columbia, MO 65205-6916 1 (800) 947-3744 708 South Missouri Street

Email: consumer_relations@toastmaster.com www.toastmaster.com

we be liable for any incidental or consequential damages, losses or expenses. Some states do not allow the replacement or refund shall be the sole remedy of the purchaser under this warranty, and in no event shall exclusion or limitation of incidental or consequential damages, so the above limitation or exclusion may not in connection with the sale of our products. There shall be no claims for defects or failure of performance Limitation of Remedies: No representative or person is authorized to assume for us any other liability product failure under any theory of tort, contract or commercial law including, but not limited to negligence, gross negligence, strict liability, breach of warranty and breach of contract. Repair, apply to you.

Legal Rights: This warranty gives you specific legal rights, and you may also have other rights which vary from state to state.

All rights reserved

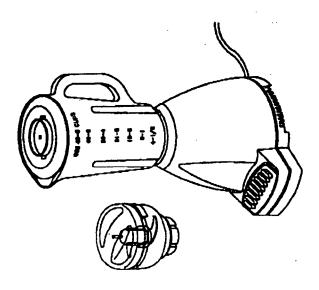
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Printed in China

06/02

P/N 61063

Essentials for Living



Combi Blender

Speeds

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Q. B10 Ü 0 Ш *Model*

IMPORTANT SAFEGUARDS

When using electrical appliances, basic safety precautions should always be followed including the following:

- 1.Read all instructions.
- To protect against risk of electrical shock, do not put cord, plugs, or appliance in water or other liquid.
- Close supervision is necessary when any appliance is used by or near children.
- 4. Unplug from outlet when not in use, before putting on or taking oif parts, and before cleaning.
- Avoid contacting moving parts.
- 6. Do not operate any appliance with a damaged cord or plug, or after the appliance malfunctions, or has been dropped or damaged in any manner. Contact Consumer Service for examination, repair or electrical or mechanical adjustment.
- 7. The use of attachments, including canning jars, not recommended by the manufacturer may cause a risk of injury to persons.
 - 8. Do not use outdoors.
- 9.Do not let cord hang over edge of table or counter.
- 10. Do not let cord contact hot surface, including the stove.
- 11. Keep hands and utensils out of the Blender Container while blending, or away from the Chopper Blade while chopping food, to reduce the risk of severe injury to persons or damage to the Blender or Chopper. A scraper may be used but must be used only when the Blender or Chopper is not running.
- 2. Blades are sharp. Handle carefully.
- To reduce the risk of injury, never place Stainless Steel Blade Assembly on Motor Housing without Blender Container properly attached.
- 14. To reduce the risk of injury, never place Chopper Blade on Motor Housing without first putting the Chopper Container properly in place.
- 15. Always operate Blender with Blender Container Lid in place.
- 16. When blending hot liquids, remove Center Lid Cap of the two-piece Lid.
- 17. Be certain Chopper Lid is securely locked in place before operating appliance.
- 3. Do not attempt to defeat the Chopper Lid Interlock Mechanism.

SAVE THESE INSTRUCTIONS FOR HOUSEHOLD USE ONLY

Notes

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Cream of Broccoli Soup

1 pound fresh braccoli

can (13-3/4 ounces) chicken broth

3/4 cup half and half or cream

7.7 cup rial and rial of cream 1/2 cup finely chopped ham (optional)

1/2 teaspoon salt

1/4 teaspoon freshly ground black pepper

1/2 cup shredded Cheddar cheese

1/2 cup garlic croutons (optional)

Chop broccoli florets; thinly slice stems. Combine broccoli and broth in a medium saucepan. Bring to a boil; reduce heat. Cover and simmer 10 to 12 minutes or until broccoli is tender.

Transfer to Blender Container. Remove inner Center Cap from Blender Lid to allow steam to escape. Cover and process at HIGH Speed (8) until mixture is puréed. Return to saucepan; add half and half, ham, salt and pepper. Heat through, stirring frequently. Ladle into mixing bowls; top with cheese and serve with croutons.

Makes 4 servings, about 4 cups soup.

Additional Important Safeguards

1.All users of this appliance must read and understand this Owner's Manual before operating or cleaning this appliance.

 The cord to this appliance should be plugged into a 120V AC electrical outlet only.

3.Do not leave this appliance unattended during use.

4. If this appliance begins to malfunction during use, immediately press OFF Button and unplug the cord. Do not use or attempt to repair the malfunctioning appliance! Do not use the Blender Container if cracked or chipped.

Polarized Plug

This appliance has a polarized plug (one blade is wider than the other). To reduce the risk of electric shock, this plug is intended to fit into a polarized outlet only one way. If the plug does not fit fully in the outlet, reverse the plug. If it still does not fit, contact a qualified electrician. Do not attempt to modify the plug in any way.

Short Cord Instructions

A short power-supply cord is provided to reduce the risk resulting from becoming entangled in or tripping over a longer cord.

Longer extension cords are available and may be used if care is exercised in their use.

If an extension cord is used, (1) the marked electrical rating of the extension cord should be at least as great as the electrical rating of the appliance, and (2) the longer cord should be arranged so that it will not drape over the counter top or table top where it can be pulled on by children or tripped over unintentionally.

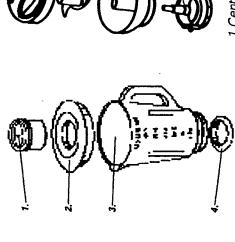
Plasticizer Warning

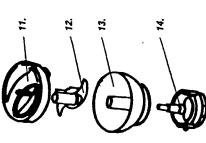
CAUTION: To prevent Plasticizers from migrating from the finish of the counter top or table top or other furniture, place **NON-PLASTIC** coasters or place mats between the appliance and the finish of the counter top or table top.

Failure to do so may cause the finish to darken, permanent blemishes may occur or stains can appear.

Getting To Know Your Edison® 10 Speed Combi Blender

PRODUCT MAY VARY SLIGHTLY FROM ILLUSTRATION





- 1. Center Lid Cap (P/N 70377)
- 2.Blender Container Lid (P/N 70378)
 - 3. Plastic Blender Container (P/N 70812)
- 4.Rubber Seal Ring (P/N 70380)
- Blade Assembly (P/N 70381) 5. Stainless Steel
- 6.Collar (P/N 70382)
- 7. Safety Switch
- 8. Motor Housing
 - 9. Power Cord
- 10 Speed Control Panel
- 12. Chopper Blade (P/N 70389) 11. Chopper Lid (P/N 70388)
 - Work Bowl (P/N 70390) Chopper Container/
 - Chopper Gearbox (P/N 70397)

Butternut Squash Bisque

- 2 tablespoons butter or margarine
- 1 large onion, chopped
- 1 small butternut squash, about 1-1/4 pounds
- 1 can (13-3/4 ounces) chicken broth
- 1/2 cup half and half, milk or additional chicken broth
- 1/2 teaspoon salt
- 1/4 teaspoon nutmeg
- 1/8 teaspoon cayenne pepper

Melt butter in a medium saucepan over medium heat. Add onion; knife, cut squash crosswise into 1/2 inch slices, discarding seeds and membrane. Cut slices into 1/2-inch pieces. Add to saucepan with broth, bring to a boil. Cover and simmer 20 to 25 minutes or cook 5 minutes, stirring occasionally. Peel squash. Using a large until squash is very tender. Transfer half of mixture to Blender Container. Remove inner Center saucepan. Repeat with remaining squash mixture. Add half and process at HIGH Speed (6) until mixture is puréed. Return to half, salt, nutmeg and cayenne pepper to squash mixture in Cap from Blender Lid to allow steam to escape. Cover and saucepan; heat through.

Makes 4 servings, about 5 cups soup.

Fropical Shake

1 large ripe banana, peeled and broken into chunks

1 can (8 ounces) crushed pineapple in juice, undrained

2 scoops (1 cup) mango or papaya sorbet

1/4 cup lemonade, limeade or orange juice

Lime slices (optional)

Combine the first four ingredients in Blender Container. Cover and blend at HIGH Speed (10) until smooth. Pour into frosted mugs or glasses. Garnish with lime slices, if desired.

Makes 2 servings.

Cranberry-Orange Cooler

3/4 cup orange juice

1/2 cup frozen cranberry cocktail concentrate, not thawed

4 large ice cubes, cracked into chunks or

1/2 cup mini ice cubes

Combine all ingredients in Blender Container. Cover and blend at HIGH Speed (10) until smooth. Pour into frosted mugs or glasses.

Makes 2 to 3 servings.

Cautions Before Using

1. Use this product with rated voltage AC outlet only.

Be sure to unplug the electrical cord when Blender is not being used.

Safety System

This appliance has a Safety System which disables the Motor if the Blender Container is not (or is improperly) seated in the Motor Housing. If the Blender Container is not seated properly, the Blender will not turn ON, or will turn OFF if it is already ON. This System is intended for safety reasons only.

DO NOT use this Safety System to turn the Blender ON and OFF.

Before Using For The First Time

Wash Blender Container, Center Lid Cap, Blender Container Lid, Chopper Container, Chopper Lid, and Blades with warm, soapy water. Rinse and dry thoroughly.

Do not immerse the Chopper Gearbox in water or other liquid.

CAUTION: To protect against risk of electrical shock, do not put Motor Housing in water or other liquid.

Blender Operating Instructions

- CAUTION: Use extreme care when handling the Blender or Chopper Blades. Do not touch Blades with your fingers. The Blades are very sharp and can cause injury if touched.
 - CAUTION: Never place the Blade Assembly on the Motor Housing without the Blender Container being completely assembled and securely tightened.
- Place Motor Housing on a dry level surface.
- 2.Be sure OFF Button is depressed. Plug the cord into a 120V AC wall outlet.
- Place completely assembled Blender (Blender Container, Rubber Seal Ring, Blade Assembly and Collar) on Motor Housing.
- NOTE:For blending hot ingredients, remove Center Lid Cap to allow for heat expansion before blending. Place a small rag or paper towel over opening to prevent hot liquids from splashing out.
- CAUTION: To prevent the risk of burns, do not use your hand to cover opening!
- 4.Add ingredients to Blender Container as directed by your recipe. Never operate when the Blender Container is empty.
 - Place Blender Container Lid with Center Cap on Blender Container. Remember to always hold Blender Container Lid in place during processing.
 - Select the desired Speed Control.
- 7. Press either HIGH or LOW Control Button. The LOW Button produces speeds printed above the Buttons (Speeds 1-5). The HIGH Button produces speeds printed below the Buttons (Speeds 6-10).
 - 8. Speeds with LOW printed above the Buttons will process ingredients as long as you press the Button and will stop processing when the Button is released.
- CAUTION: If Blender Container rotates during blending, immediately press the OFF Button, then secure the Container by tightening the Collar and Blade Assembly. 9.For continuous processing, press any Speed Button that
- 9.For continuous processing, press any Speed Button that does not have LOW above it. To stop processing, press the OFF Button.
- 10. CAUTION: Keep hands and utensils out of the Blender Container while blending to prevent the possibilities of severe injury to persons or damage to the unit. A spatula may be used but must only be used when the unit is not running.
 - 11. When blending is completed, press the OFF Button, unplug the cord from electrical outlet.

Recipes

Fruit Smoothie

1 cup sliced fresh or thawed frozen strawberries

1 large ripe banana, peeled and broken into chunks

2 scoops (1 cup) vanilla or strawberry ice cream or

strawberry sorbet

1/2 cup milk

Combine all ingredients in Blender Container. Cover and blend at HIGH Speed (10) until smooth. Pour into frosted mugs or glasses.

Makes 2 to 3 servings.

Creamy Raspberry Smoothie

1 cup fresh or thawed frozen raspberries

2 scoops (1 cup) vanilla frozen yogurt or ice cream

1/2 cup milk

1 teaspoon vanilla extract

Combine all ingredients in Blender Container. Cover and blend at HIGH Speed (10) until smooth. Pour into frosted mugs or glasses.

Makes 2 servings.

User Maintenance Instructions

This Edison® Combi Blender requires little maintenance. It contains no user-servicable parts. Do not try to repair it yourself.

CAUTION: Never immerse the Motor Housing, Chopper Gearbox, plug or cord in water or any other liquid.

- 1. Always unplug the unit before cleaning.
- Whenever possible, rinse parts immediately after processing to make cleanup easier.

CAUTION: Use extreme care when handling the Blades. Do not touch the blades with your fingers. The Blades are very sharp and can cause injury if touched.

- 3. Wash the Containers, Lids, Cap, Collar, Rubber Seal Ring and Blades in warm, soapy water. Rinse and dry thoroughly. Never use rough scouring pads or abrasive cleansers on any plastic or metal parts as the surface may be damaged.
- Never immerse the Motor Housing in water or any other liquid.
 If necessary wipe exterior surface with a moist cloth or sponge and dry thoroughly.
- 5.Do not allow the Blender Blade Assembly or Chopper Blade to soak in water for long periods of time.

Any servicing requiring disassembly other than the above cleaning must be performed by a qualified appliance repair technician.

Storage

CAUTION: Always assemble clean and dry Blender parts correctly before storing on the Motor Housing. Severe injury can result if the Blender is accidentally turned ON when not properly assembled.

Unplug and clean unit. Store in original box or in a clean, dry place. Never store Blender while it is hot or plugged in. Never wrap cord tightly around the appliance. Never place any stress on cord, especially where the cord enters the unit, as this could cause the cord to fray and break.

Chopper Operating Instructions

nportant

Always unplug before dismantling.

CAUTION: The Chopper Blades are very sharp. To avoid injury, be sure to insert or remove Blade by using Hub.

CAUTION: Never place the Blade Assembly on the Motor Housing without the Chopper Container being completely assembled and securely tightened.

Keep the appliance out of children's reach.

Never immerse the Gearbox into water or any other liquid.

The Gears inside the Chopper are lubricated. Do not re-oil. The Chopper does its work in seconds. Never use it for extended periods.

It is recommended that the Blender (not the Chopper) should be used for mixing larger quantities of liquids. If food accumulates against the sides of the Chopper Container while operating, press OFF Button to turn unit OFF and unplug the unit. When the Blades come to a complete stop, remove the Chopper Lid move the food towards the Blades with a spatula.

The Chopper Attachment is not suitable for chopping hard spices, coffee beans, ice cubes or rice.

How To Use The Chopper

- 1. Place the Chopper Container onto the Chopper Gearbox and turn to lock into place.
- 2. Place the Chopper Blade into the Chopper and press firmly to seat into position.
- Add the ingredients to the Chopper Container. Pre-cut the larger parts of foods into pieces of one to two inches. Never operate when the Blender Container is empty.
- 4. Put the Chopper Lid on the Chopper Container, push down and turn until locked. Place onto Motor Housing. Select desired Speed Control (see Blender Operating Instructions). If the appliance trembles initially due to unequal distribution of the food in the Container, put your hand on Chopper Lid to steady.
- 5. When you have finished processing the food, press the OFF Button and allow the Chopper Blades to come to a complete stop. Unplug the unit and detach the Chopper by turning. Remove the Chopper from the Motor Housing of the appliance before emptying the Chopper Container.

Hints and Tips

Gener

Add ingredients to Blender Container a little at a time to ensure proper blending and to prevent overflow. Never fill Blender Container more than 3/4 full; the action of the Blades will cause the ingredients to rise in the Blender Container. Make large recipes in several batches.

Unless the recipe states differently, pour liquid ingredients into Blender Container before solid ingredients. The liquid will help the processing go faster and at a lower speed.

Always add 1 cup of liquid before processing ice.

Blend for 5 to 30 seconds, not minutes, and check consistency. Continue blending until desired consistency is reached. Overblending will result in a loose consistency.

NOTE: Always operate Blender with Blender Container Lid in place.

Do not remove Blender Container from the Motor Housing when the Motor is running.

Keep hands and utensils out of Blender Container while processing to prevent the possibility of severe personal injury and/or damage to the Blender. A spatula may be used, but must only be used when the Blender is not running.

Solids

Thick mixtures require special attention during processing. Periodically press the OFF Button and scrape mixture off sides of Blender Container and onto the Blades. Replace Lid and continue processing. Select a higher speed if processing becomes sluggish. With the Center Lid Cap removed, add solids and ice a little at a time through the hole in the Blender Container Lid. Always cut solid foods such as vegetables and cheese into small chunks before placing in the Blender Container.

Hot Ingredients

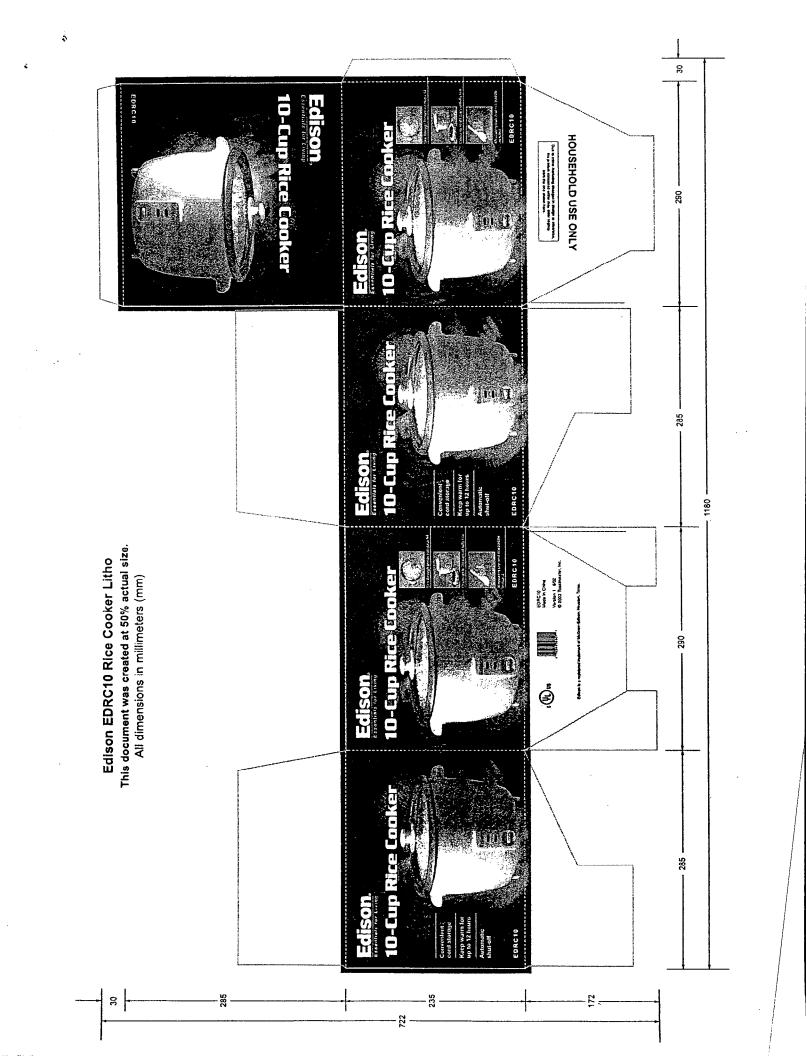
To prevent excessive heat expansion, remove Center Lid Cap from Blender Container Lid before adding hot ingredients. Add hot foods slowly (to a maximum of 2 cups) through the Lid's hole.

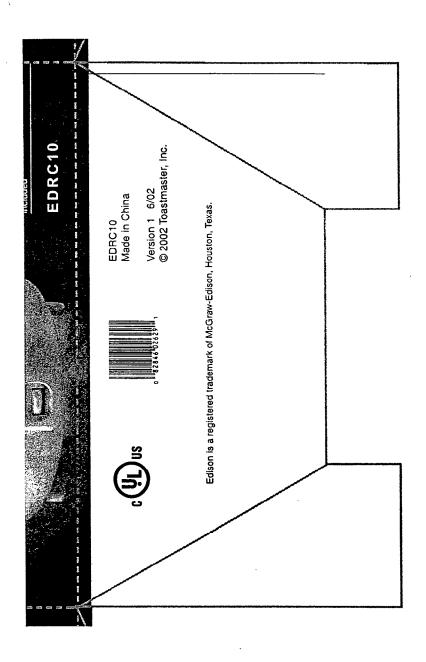
NOTE: For blending hot ingredients, remove Center Lid Cap to allow for heat expansion before blending. Place a small rag or paper towel over opening to prevent hot liquids from splashing out.

CAUTION: To prevent the risk of burns, do not use your hand to cover opening!

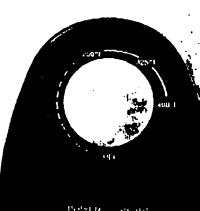
Chopping Guide for EDCB10P Combi Blender

Food	Maximum Quantity	Approx. Operation Time (seconds)	Preparation
Carrots	3-1/2 oz.	15-20 seconds	Peel, cut into 3/4" pieces.
Apples	3-1/2 oz.	15-20 seconds	Peel and core, cut into 3/4" pieces.
Cheese	1 OZ.	15-20 seconds	Cut into 3/4" pieces.
Eggs	3	5-10 seconds	Hard-boiled and peeled, cut into quarters.
Meats (cooked)	3-1/2 oz.	15-20 seconds	Cut into 1/2" pieces.
Walnuts	1-3/4 02.	10-15 seconds	Use halved or broken nuts. Scrape down sides of bowl to ensure even processing.
Onions	3-1/2 oz.	10-15 seconds	Peel, cut into quarters.
Parsley	Few sprigs	10 seconds	To ensure successful results, it is essential the bowl and parsley are completely dry. Remove any stalks.











Variable temperature control, power indicator and ready light

EDDF5NS





EDDF5NS Made in China

Version 1 11/02

Edison_{TM} is a trademark of McGraw-Edison Company, Houston, Texas.

LIMITED ONE YEAR WARRANTY

Warranty: This Edison a product is warrented to be free from defects in materials or workmanship for a period of (1) year from the original purchase date. This product warranty covers only the original consumer purchaser of the product.

Warranty Coverage: This warranty is void if the product has been damaged by accident in shipment unreasonable use, misuse, neglect, improper service, commercial use, repairs by unauthorized personnel, normal wear and tear, improper assembly, installation or maintenance abuse or other causes not arising out of defects in materials or workmanship. This warranty is effective only if the product is purchased and operated in the USA, and does not extend to any units which have been used in violation of written instructions furnished with the product or to units which have been altered or modified or to damage to products or parts thereof which have had the sarial number removed, altered, defaced or rendered illegible.

Implied Warranties: ANY IMPLIED WARRANTIES WHICH THE PURCHASER MAY HAVE ARE LIMITED IN DURATION TO ONE (1) YEAR FROM THE DATE OF PURCHASE. Some states do not allow limitations on how long an implied warranty lasts, so the above limitation may not apply to you.

Warranty Performance: During the above one-year warranty period, a product with a defect will be either repaired or replaced with a reconditioned comparable model (at our option) when the product is returned to the Repair Center, or the purchase price refunded. The repaired or replacement product will be in warranty for the balance of the one-year warranty period and an additional one-month period. No charge will be made for such repair or replacement.

Service and Repair: Should the appliance malfunction, you should first call toll-free 1 (800) 947-3744 between the hours of 9:00 am and 5:00 pm Central Standard Time and ask for CONSUMER SERVICE stating that you are a consumer with a problem. Please refer to model number EDQF5NS when you call.

In-Warranty Service (USA): For an appliance covered under the warranty period, no charge is made for service or postage. Call for return authorization 1 (800) 947-3744.

Out-of Warranty Service: A flat rate charge by model is made for out-of-warranty service. Include \$8.00 (U.S.) for return shipping and handling. We will notify you by mail of the amount of the charge for service and require you to pay in advance for the repair or replacement.

For Products Purchased in the USA, but Used in Canada: You may return the product insured, packaged with sufficient protection, and postage and insurance prepaid to the USA address listed below. Please note that all customs duty / brokerage fees, if any, must be paid by you and we will require you to pay the cost of customs duty / brokerage fees to us in advance of our performing any service.

Risk During Shipment: We cannot assume responsibility for loss or damage during incoming shipment. For your protection, carefully package the product for shipment and insure it with the carrier. Be sure to enclose the following items with your appliance: any accessories related to your problem, your full return address and daytime phone number, a note describing the problem you experienced, a copy of your sales receipt or other proof of purchase to determine warranty status. C.O.D. shipments cannot be accepted.

To return the appliance, ship to:

To contact us, please write to or call:

Attn: Repair Center 708 South Missouri Street Macon, MO 63552

P.O. Box 6916 Columbia, MO 65205-8916 1 (800) 947-3744

Limitation of Remedies: No representative or person is authorized to assume for us any other liability in connection with the sale of our products. There shall be no claims for defects or failure of performence or product failure under any theory of tort, contract or commercial law including, but not limited to negligence, gross negligence, strict liability, breach of warranty and breach of contract. Repair, replacement or refund shall be the sole remedy of the purchaser under this warranty, and in no event shall we be liable for any incidental or consequential damages, losses or expenses. Some states do not allow the exclusion or limitation of incidental or consequential damages, so the above limitation or exclusion may not apply to you.

Legal Rights: This warranty gives you specific legal rights, and you may also have other rights which vary from state to state.

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P/N 61188

v.1 11/02

extended the

Item Number K94465 Edison 4qt 1200 Watt Cool Touch **Pressure Cooker**



Retail Value \$91.50 **QVC Price \$54.78** Shipping and Handling \$7.97 Save! Buy two or more and save on S & H. Click here for details.

For moist, delicious, culinary creations get

is designed with a permanent inner pot,

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this 1200W pressure cooker from Edison. It

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K0170 • \$20.00 **Edison Snack** Size Cool-Touch Deep Fryer with **Nonstick Bowl**



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K1721 • \$10.26 Edison 32oz Hot Pot w/ Low to **High Temperature** Dial

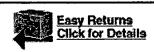
Measures 9"Diam x 13"H.

make with it will be a hit!

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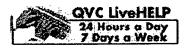
White.

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3

Item Number K0170
Edison Snack Size CoolTouch Deep Fryer with
Nonstick Bowl



Retail Value \$30.00 QVC Price \$20.00 Shipping and Handling \$5.47



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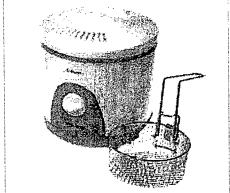
Having a party? Need a late night snack? This Edison deep fryer adds scrumptious sizzle to any occasion.

It's ideal for preparing chicken fingers, mozzarella sticks, french fries, and more! Best of all, cleanup is a breeze with its nonstick bowl and plastic construction. It offers a cool-touch exterior for easy handling, variable temperature control, and indicator light. The compact design is perfect for use on countertops, and can be easily stored away after use.

UL listed. 1-year LMW.

White.

Made in China.



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L32186 • \$49.90 Chesapeake Bay Gourmet 1 Dozen 3oz. Maryland Style Crab Cake

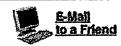


K1721 • \$10.26 Edison 32oz Hot Pot w/ Low to High Temperature Dial

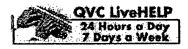


K0181 • \$29.51 Edison 8 Cup 350 Watt Food Processor with PulseControl









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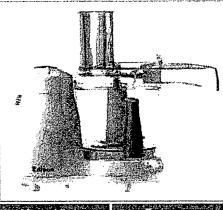
Item Number K0181 Edison 8 Cup 350 Watt Food Processor with PulseControl

Retail Value \$65.00 **QVC Price \$38.96** Clearance Price \$29.51 Shipping and Handling \$6.72 Save! Buy two or more and save on S & H. Click here for details.

Dice, slice, and mince like a pro with this Edison 350W food processor. Compact in design, it's equipped with pulse control button for smooth mixing and a continuous chute lid for quick and easy addition of ingredients. The 8-cup bowl and variable speeds ably handle a generous amount and wide variety of foodstuff. Stainless steel slicing and shredding disc.

1-year LMW.

Made in China.



More Views

1. Quantity: 1

2. Gift Options

Add To Shopping Cart or you can Speed Buy This Item

Order Anytime by Phone: 1-888-345-5788





K1721 • \$10.26 Edison 32oz Hot Pot w/ Low to **High Temperature** Dial



K0170 • \$20.00 Edison Snack Size Cool-Touch Deep Fryer with Nonstick Bowl



K94465 • \$54.78 Edison 4qt 1200 Watt Cool Touch Pressure Cooker









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Item Number K1721 Edison 32oz Hot Pot w/ Low to High **Temperature Dial**

QVC Price \$14.78 Clearance Price \$10.26 Shipping and Handling \$4.72 Save! Buy two or more and save on S & H. Click here for details.

This watched pot is sure to boil--almost instantly! Boasting 1000 watts of power, this electric kettle from Edison boils water quickly in your home, office, or dorm room. A 32-oz capacity makes it ideal for tea, instant coffee, oatmeal, or soup. Variable temperature dial. Boil dry protection.

Measures approximately 6-1/4"H x 6"D.

UL listed. 1-year LMW.

White/Gray Plastic.

Made in China.



1. Quantity: 1

2. Gift Options

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You Might Also Consider...



K94465 • \$54.78 Edison 4qt 1200 Watt Cool Touch Pressure Cooker



K0170 • \$20.00 Edison Snack Size Cool-Touch Deep Fryer with Nonstick Bowl

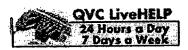


K0181 • \$29.51 Edison 8 Cup 350 Watt Food Processor with **PulseControl**





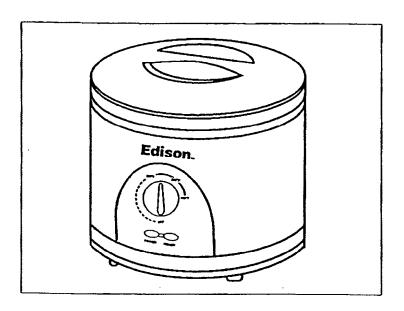




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Edison



.5 Liter Non-Stick Deep Fryer

OWNER'S MANUAL

& RECIPE GUIDE

Model EDDF5NS

IMPORTANT SAFEGUARDS

When using electrical appliances, basic safety precautions should always be followed, including the following:

- 1. Read all instructions.
- 2. Do not touch hot surfaces. Use handles or knobs. Use oven mitts or potholders.
- To protect against electrical shock, do not immerse cord, plugs or Fryer Body in water or other liquid.
- 4. This appliance is not for use by children.
- 5. Close supervision is necessary when any appliance is used near children.
- 6. Unplug from outlet when not in use and before cleaning.
 Allow to cool before putting on or taking off parts and before cleaning the appliance.
- 7. Do not operate any appliance with a damaged cord or plug or after the appliance malfunctions, or has been damaged in any manner. Contact Consumer Service for return authorization, examination, repair or adjustment.
- 8. The use of accessory attachments not recommended by the appliance manufacturer may cause injuries.
- 9. Do not use outdoors.
- 10. Do not let cord hang over edge of table or counter, or touch hot surfaces.
- 11. Do not place on or near a hot gas or electric burner, or in a heated oven.
- 12. Extreme caution must be used when moving an appliance containing hot oil or other hot liquids.
- 13. Always attach plug to appliance first, then plug cord into the wall outlet. To disconnect, turn Temperature Control Dial to OFF, then remove plug from wall outlet.
- 14. Do not use appliance for other than intended use.
- 15. Extreme caution must be used when moving a Fryer containing hot oil.
- 16. Be sure Handle is properly assembled to the Fryer Basket and locked in place. See Basket Handle Assembly section in this Owner's Manual.

SAVE THESE INSTRUCTIONS FOR HOUSEHOLD USE ONLY

Additional Important Safeguards

- CAUTION HOT SURFACES: This appliance generates heat and escaping steam during use. Proper precautions must be taken to prevent the risk of burns, fires or other damage to persons or property.
- CAUTION: This appliance is hot during operation and retains heat for some time after turning OFF. Always use oven mitts when handling hot materials and allow metal parts to cool before cleaning. Do not place anything on top of the appliance while it is operating or while it is hot.
 - 1. All users of this appliance must read and understand this Owner's Manual before operating or cleaning this appliance.
- 2. The cord to this appliance should be plugged into a 120V AC electrical outlet only.
- 3. If this appliance begins to malfunction during use, immediately unplug the cord. Do not use or attempt to repair the malfunctioning appliance.
- 4. Do not leave this appliance unattended during use.
- 5. Do not immerse the detachable power cord in any liquid. If the cord falls in water or other liquid, DISCARD IMMEDIATELY and replace it with a new cord. If the supply cord of this appliance is damaged, it must be replaced by contacting Consumer Service.
- Risk of electric shock and choking, keep the cord out of reach from children and infants.
- 7. Use Basket Handle to raise and lower Basket. Always raise Basket out of hot oil and allow cooked food to drain for 30 seconds before removing.
- Dry damp foods before placing into oil. When deep frying frozen foods, remove any excessive ice as it can cause hot oil to spatter.
- Always remove Lid and keep Lid off the Fryer while deep frying foods.
- 10. Keep appliance at least 4 inches away from walls or other objects during operation. Do not place any objects on top of appliance while it is operating. Place the appliance on a surface that is resistant to heat.

Additional Important Safeguards (Con't)

- 11. Be sure Oil Tank is filled with oil to at least the MIN oil marking level. Do not operate appliance without oil or with an insufficient amount of oil.
- 12. Always pour oil into Oil Tank before plugging in and heating up the appliance. Never pour oil into heated Fryer Body.
- 13. Ensure that there are no flammable objects on or near the appliance. If the oil catches fire, unplug the appliance and replace the Lid. Never use water to extinguish the fire.
- 14. Do not operate this appliance if the Lid and the Fryer Body are not completely dry.
- 15. It is not recommended to move a Fryer containing hot oil. Allow to cool before moving.

Polarized Plug

This appliance has a polarized plug (one blade is wider than the other). To reduce the risk of electric shock, this plug is intended to fit into a polarized outlet only one way. If the plug does not fit fully in the outlet, reverse the plug. If it still does not fit, contact a qualified electrician. Do not attempt to modify the plug in any way.

Detachable Cord Instructions

CAUTION: A short detachable power-supply cord is provided to reduce the risk of personal injury resulting from becoming entangled in or tripping over a longer cord.

The cord is designed to break away from the Deep Fryer quickly and smoothly to prevent the unit from tipping over when someone unintentionally pulls on the cord.

This detachable cord is designed for use with this designated Deep Fryer only. Do not try using it on any other appliance.

WARNING: Serious hot-oil burns may result from a deep fat fryer being pulled off a countertop. Do not allow the cord to hang over the edge of the counter where it may be grabbed by children or become entangled with the user.

Do not use with an extension cord.

Electric Power

If the electrical circuit is overloaded with other appliances, your appliance may not operate properly. It should be operated on a separate electrical circuit from other appliances.

Getting To Know Your Edison™ EDDF5NS Deep Fryer

Before using your Deep Fryer for the first time, you must become familiar with all of the parts. Read all instructions and safeguards carefully.

PRODUCT MAY VARY SLIGHTLY FROM ILLUSTRATIONS.

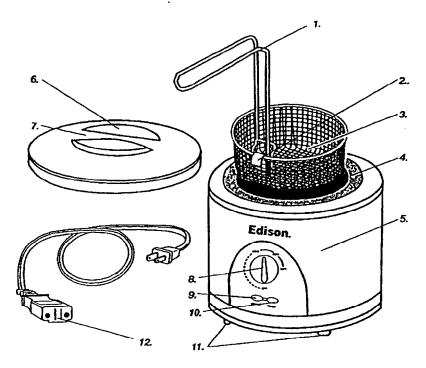


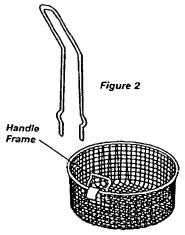
Figure 1

- 1. Basket Handle (P/N 22140)
- 2. Basket Handle Hook
- 3. Deep Fryer Basket (P/N 22141)
- 4. Deep Fryer Rim
- 5. Deep Fryer Body/ Non-Stick Oil Tank

- 6. Lid (P/N 22142)
- 7. Lid Handle
- 8. Temperature Control Dial
- 9. Red POWER Light
- 10. Green READY Light
- 11. Non-Skid Rubber Feet
- 12. Detachable Power Cord (P/N 22143)

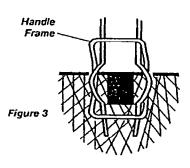
Basket Handle Assembly

The Basket Handle allows you to raise and lower the Basket in and out of the oil.



To Attach Handle to Basket

- Hold Handle over Basket as shown. Gently squeeze the arms of the Handle so they fit into the Handle Frame on the Basket. Fit the arms into the Frame until it fits underneath both sides. (See Figure 2.)
- Make sure the arms are securely locked into place. (See Figure 3.)



Before Using Your Deep Fryer For The First Time

- 1. Wash the Deep Fryer Basket in hot, soapy water.
- 2. Hand wash the Non-Stick Oil Tank and Lid with hot, soapy water. Refer to User Maintenance Instructions for proper cleaning guidelines. Rinse and dry well.
- 3. Use a damp paper towel to clean the outside of the Deep Fryer. Towel dry.

WARNING: DO NOT IMMERSE THE DEEP FRYER IN WATER OR ANY OTHER LIQUID.

4. Read the Owner's Manual carefully and completely before using the Deep Fryer to become familiar with the parts and features.

Instructions For Use

- Place the Deep Fryer on a flat level surface, approximately 2 feet from a standard 120V AC electrical outlet. DO NOT let the cord hang over the edge of the table or countertop.
- 2. Remove the Lid and Fryer Basket and set aside.
- 3. Pour liquid cooking oil (corn, vegetable, peanut, etc.) into the Deep Fryer's Non-Stick Oil Tank. Fill with oil to a level between the MIN (2 cups) and MAX (3-1/8 cups) markings on the inside of the Oil Tank. DO NOT under-fill or over-fill the Oil Tank.

NOTE: NEVER MIX 2 DIFFERENT TYPES OF OIL AND/OR FAT.

4. Connect the Detachable Power
Cord to the Receptacle at the back of
the Deep Fryer Body. (See Figure 4.)
Plug the Deep Fryer into a standard
120V AC electrical outlet. Both the red
POWER Indicator Light and the green
READY Indicator Light will illuminate.

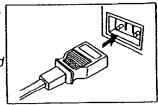


Figure 4

- 5. Replace the Lid.
- 6. Turn the Adjustable Control Dial to the desired frying temperature: 250°F, 325°F or 400°F.

NOTE: Oil may make a "popping" sound inside the Deep Fryer as the oil heats. This is normal.

- 7. When the desired temperature is reached, the Green READY Indicator Light will turn OFF. The Deep Fryer is now ready for use.
- 8. Wearing a protective oven mitt, grasp the Lid Handle and lift the Lid from the Deep Fryer, set aside.
- Grasp the empty Basket by the Handle and rest the Fryer Basket on the side of the Deep Fryer by placing the Handle Hook over the rim of the Deep Fryer Body.
- 10. Fill the Fryer Basket with food, never filling more than 1/2 full.

NOTE: Do not place batter-coated foods directly into the Deep Fryer Basket. See Cooking Batter-Coated Foods section of this Owner's Manual for an alternate deep frying procedure.

Instructions For Use (Con't)

- 11. Wearing a protective oven mitt, carefully lift Fryer Basket from rim of Deep Fryer and lower into the bottom of the Oil Tank. When the Fryer Basket is lowered into hot oil, all food should be immersed. Cook food according to package or recipe time.
- CAUTION: Take care to avoid any spattering oil which may result from the food being placed into the hot oil. Do not touch any interior part of the Deep Fryer or the oil as they are hot!
- CAUTION: This appliance is designed to fry foods with the Lid OFF. Use the Lid when preheating cooking oil ONLY. Make sure the Lid is removed when frying foods.
- WARNING: This appliance generates heat and escaping steam during use. Use proper precautions to prevent the risk of burns, fire, or other injury to persons or damage to property.
- 12. Allow food to cook. As the Deep Fryer cooks the food and the temperature of the oil decreases, the green READY Indicator Light will turn ON as necessary to maintain the proper cooking temperature of the oil. See Cooking Chart section in this Owner's Manual for sample cooking times and temperatures.
- 13. You may watch the progress of the fried foods and raise the Basket up out of the oil to inspect brownness of the food.

CAUTION: Use oven mitts when handling hot materials.

14. At the end of the desired cooking time or when you see that food is cooked to the desired doneness, wearing a protective oven mitt, grasp the Basket Handle and lift the Fryer Basket of food from the hot oil. Carefully hang the Basket Handle Hook on the rim of the Deep Fryer Body.

CAUTION: DO NOT touch Basket wires as they are very hot!

- 15. Allow cooked foods to drain for 30 seconds. Carefully tip the Deep Fryer Basket and remove the cooked foods onto a plate covered with absorbent paper towels.
- **NOTE:** To prevent early degradation of the oil, do not add salt or other spices to the food during frying or when the food is draining above the oil.
- 16. To continue to fry additional batches of food, replace Basket Hook onto rim of Deep Fryer Body. Carefully place food to be fried into the Fryer Basket, never filling more than 1/2 full. When the green READY Indicator Light goes out, continue to deep fry another batch of food.

Instructions For Use (Con't)

- 17. When all cooking is complete, turn the Temperature Control Dial to the OFF position. Remove the Detachable Power Cord by carefully unplugging it from the wall outlet, then detaching it from the Deep Fryer's Receptacle.
- 18. Allow oil to cool completely before removing it from the Deep Fryer.
- CAUTION: Oil will remain hot for an extended period of time. Be sure all parts of the Deep Fryer and the oil have cooled completely before discarding or storing oil and cleaning the unit. DO NOT pour oil from the Deep Fryer without first removing Basket from the Deep Fryer Body.
- **NOTE:** DO NOT pour used oil down any household drain. Discard used oil in a container with a lid and dispose in the garbage.

Cooking Batter-Coated Foods

Batter-coated foods cook best when placed directly into the preheated oil rather than into the Basket. Lower the Basket into the hot oil before placing foods into the oil. This will prevent any foods from sinking to the bottom where they would be difficult to retrieve.

- 1. Remove the Lid and assembled Fryer Basket and set aside.
- Pour liquid cooking oil (corn, vegetable, peanut, etc.) into the Deep Fryer's Non-Stick Oil Tank. Fill with oil to a level between the MIN (2 cups) and MAX (3-1/8 cups) markings on the inside of the Oil Tank. DO NOT under-fill or over-fill the Oil Tank.

NOTE: NEVER MIX 2 DIFFERENT TYPES OF OIL AND/OR FAT.

- Connect the Detachable Power Cord to the Appliance Receptacle. Plug the Deep Fryer into a standard 120V AC electrical outlet. Both the red POWER Indicator Light and the green READY Indicator Light will illuminate.
- 4. Lower the Fryer Basket into the oil before pre-heating.
 Preheat oil with Lid removed.
- 5. Turn the Adjustable Control Dial to the desired frying temperature: 250°F, 325°F or 400°F.
- **NOTE:** Oil may make a "popping" sound inside the Deep Fryer as the oil heats. This is normal.
 - 6. While oil is heating, coat food with batter.
 - When the desired temperature is reached, the Green READY Indicator Light will turn OFF. The Deep Fryer is now ready for use.
 - 8. Wearing a protective oven mitt, use metal tongs to CAREFULLY place batter-coated foods into hot oil inside Tank. Allow enough room around each piece to cook the food properly.
- CAUTION: Take care to avoid any spattering oil which may result from the food being placed into the hot oil. Do not touch any interior part of the Deep Fryer or the oil as they are hot!
- 9. Fry food following steps 12 through 15 in the Instructions For Use section of this Owner's Manual.
- 10. To continue to fry additional batches of food, remove Basket from oil and clean any fried bits from the oil. Lower Basket into Oil Tank. When the green READY Indicator Light goes out, continue to deep fry another batch of battered food.
- 11. Follow steps 17 through 18 in the Instructions For Use section of this Owner's Manual and complete deep frying.

User Maintenance Instructions

This Deep Fryer requires little maintenance. It contains no userserviceable parts inside the Deep Fryer Body. Any servicing requiring disassembly other than cleaning must be performed by a qualified appliance repair technician.

WARNING: Unplug Deep Fryer from wall outlet before cleaning.

Cleaning Instructions

- 1. Always unplug the appliance and let the appliance and oil cool down to room temperature before cleaning.
- **CAUTION:** Do not immerse any part of the Deep Fryer Body in water or other liquid. Doing so could permanently damage the product.
- 2. Drain the oil from the Deep Fryer Oil Tank into a suitable container.
- 3. Using a soft, damp, soapy sponge or cloth, wipe the Non-Stick Oil Tank and Lid. Then rinse using a clean sponge or cloth.
- 4. You may wash the Deep Fryer Basket by hand or in the dishwasher. Dry all parts thoroughly.
- 5. Ensure both the Lid and Oil Tank are completely dry after washing and before use. DO NOT wash Lid in dishwasher.
- 6. The Deep Fryer Body can be wiped clean with a soft, slightly damp cloth or sponge.

Storing Instructions

Unplug the unit from the wall outlet and clean all parts. Be sure all parts are dry before storing. Store appliance in its box or in a clean, dry place. Never store it while it is hot, wet or still plugged in. Never wrap Power Cord around the appliance.

Helpful Hints

Frying

- Fry more batches of smaller amounts of food. Best results are obtained when the hot oil can freely circulate all around the food.
- In general, pre-cooked foods require higher temperatures and less cooking time.
- Cut or sort food into uniform sizes. Cook same-size pieces together so they will be cooked in the same amount of time.
- Be sure foods are free from ice crystals and excess moisture before frying. Water on the surface of the food can cause the oil to spatter and foam.
- When using a breading, evenly coat the food to be fried. Fine, uniform crumbs stick better than coarse, uneven ones. Shake off all extra breading to help keep oil clean.
- Space coated foods so they do not touch while cooking.
- For best results when frying doughs or foods dipped in a liquid batter, make sure the Basket has been lowered into the hot oil before placing foods into the oil. This will prevent any foods from sinking to the bottom where they would be difficult to retrieve.

Oil

- Never over-fill or under-fill the Deep Fryer with oil. MINimum and MAXimum oil amounts are marked on the inside of the Oil Tank.
- Store clean or strained oil in Deep Fryer Oil Tank for only short amounts of time. Transfer oil to a covered container once cooled. Store oil in the refrigerator.
- When frying fish or seafood, the oil may absorb a "fishy" taste. To eliminate transferring this "fishy" taste to other foods, we suggest changing the oil before frying other foods.

Trouble Shooting

Symptom	Possible Solutions					
Deep Fryer does not	 Make sure the detachable power supply cord is properly attached to the Receptacle. Make sure the polarized plug is properly plugged into a 120V AC outlet. 					
operate 						
Oil temperature too high	Not enough oil; no oil in Oil Tank. Unplug Deep Fryer and check oil level. Oil level should be between MIN and MAX fill lines. Add oil as necessary.					
Oil bubbles	■ Too much oil in Deep Fryer Oil Tank. Do NOT fill above MAX fill line.					
over	Too much food. Fry smaller quantities at a time.					
	■ Food is too wet. Pat dry with paper towels before placing in Basket.					
Food tastes greasy or	Using wrong type of oil. Use a high-quality oil such as vegetable, canola or corn.					
not crisp	■ Too much food cooked at the same time. Cook smaller amounts of food — especially frozen foods.					
	Frying temperature is too low. Allow unit to preheat or reheat to the desired temperature prior to use.					
Emitting unpleasant odors	■ Oil is deteriorated. Change oil as needed.					

The following Cooking Chart is intended as a guide only. The quantity of food fried at one time may alter the total cooking time necessary.

Remember, frying smaller batches will result in better cooking times and a higher food quality. Adjust cooking temperature and cooking time as necessary to your taste.

Deep Fryer Cooking Chart

Onion Rings (Frozen)	400°F	2 to 4 minutes
Fish Fillets (Fresh)	400°F	5 to 8 minutes
French Fries (Frozen)	400°F	3 to 6 minutes
French Fries (Fresh)	400°F	5 to 7 minutes

NOTE: The United States Department of Agriculture recommends that meat and poultry be cooked to the following internal temperatures to be sure any harmful bacteria has been killed. Ground turkey and chicken should be cooked to an internal temperature of 165°F and ground beef, veal, lamb and pork be cooked to an internal temperature of 160°F. Chicken and turkey should be cooked to an internal temperature of 170°F for white meat and 180°F for dark meat. Goose and duck should be cooked to an internal temperature of 180°F. Fresh beef, veal, lamb, etc. should be cooked to an internal temperature of at least 145°F. Fresh pork should be cooked to an internal temperature of at least 160°F. When reheating meat and poultry products, they should also be cooked to an internal temperature of 165°F.

NOTE: Frozen foods may require different cooking times and temperatures; follow package directions. Remember, never fill the Deep Fryer Basket more than half full and remove ice crystals from frozen foods before placing in the hot oil.

Recipes

BATTERS AND COATINGS

Please consult the Cooking Batter-Coated Foods section of this manual for recommended deep-frying procedures when frying battered foods.

Beer Batter

A light batter good on fish and chicken.

1 cup all-purpose flour
3/4 cup flat beer
1/4 cup cornstarch
2 eggs, lightly beaten
1/4 teaspoon cayenne pepper
2 tablespoons vegetable oil
1 teaspoon garlic salt

Lower Basket into oil before preheating. Combine all dry ingredients. Add liquid ingredients and mix well. Allow batter to chill in refrigerator for at least 1 hour before coating fish or chicken. Dip food in batter and place into oil using a heat-resistant metal spoon.

Crispy Batter

A thicker batter good on meats, fish, poultry and vegetables.

3/4 cup all-purpose flour
1 cup water
1/4 cup cornstarch
dry bread crumbs, fine-dry
2 teaspoons baking powder (plain or seasoned)
1 teaspoon salt

Combine all dry ingredients, except bread crumbs. Add water and mix until smooth. Dip food in batter and then coat with bread crumbs. Place into raised Basket. Lower Basket into oil.

Flour Coating

A plain coating good on meats, fish and poultry.

1/2 cup all-purpose flour1 teaspoon salt1/4 teaspoon pepper

Combine all ingredients together. Coat food and place in Basket. Lower Basket into oil. For flavor variation, add 1/2 teaspoon dried thyme, tarragon, basil, poultry seasoning, or 1 teaspoon curry powder to flour mixture.

Vegetable Fritters

2 tablespoons cup bread crumbs, fine-dry
2/3 cups fresh vegetables:

carrots, mushrooms, green and red peppers, zucchini, sliced into 1/4 inch thick, 2-inch long pieces

1-1/2 teaspoons Parmesan cheese

1/4 teaspoon onion salt

1/8 teaspoon garlic powder

1 egg white, lightly beaten

1-1/2 teaspoons water

Preheat oil to 400°F. Combine dry ingredients, set aside. Combine liquid ingredients. Dip vegetables into liquid mixture and then coat with dry mixture. Place into raised Basket. Lower Basket into oil. Cook 3 to 5 minutes or until done. Serve with ranch-flavored dressing or sprinkle with powdered sugar.

Makes 2/3 cups.

French Fries

2 potatoes, peeled and cut into 3/8 inch wide strips salt to taste

Preheat oil to 400°F. Pat potatoes dry. Place potatoes in Basket. Lower Basket into oil. Cook 5 to 7 minutes or until done. Season with salt while still warm.

Makes 2 servings.

Cottage Fries

2 potatoes, thinly sliced (1/16 - 1/8 inch) seasoned salt to taste

Preheat oil to 400°F. Pat potatoes dry. Place sliced potatoes in raised Basket. Lower Basket into oil. Cook 4 to 6 minutes or until done. Sprinkle with seasoned salt while still warm.

Makes 2 servings.

Chicken Croquettes

1 (5 ounce) can cooked chicken*, drained
1/4 cup onion, finely chopped
2 teaspoons butter
1 tablespoon all-purpose flour
2 tablespoons chicken broth
1-1/2 tablespoons milk
1 teaspoon dried parsley
1 teaspoon lemon juice
2 tablespoons breadcrumbs
1/8 teaspoon salt
1/8 teaspoon pepper
pinch paprika
pinch nutmeg

1/4 cup bread crumbs, dry-fine2 tablespoons egg substitute1/2 teaspoon water

*Or substitute any other pre-cooked meat or seafood.

Combine chicken and onion, set aside. In a saucepan, melt butter, add flour and blend until smooth. Add chicken broth and milk. Cook until thick. Remove from heat. Stir in parsley, lemon juice, salt, pepper, nutmeg and paprika. Add chicken mixture and mix well. Chill at least 1 hour.

Preheat oil to 400°F. Roll chicken mixture into 1 to 1-1/2 inch balls. Mix egg and water. Dip each ball into egg mixture and roll in bread crumbs. Place in raised Basket. Lower Basket into oil. Cook 2 to 3 minutes or until done.

Makes 9 balls.

Fried Chicken Tenders

1/2 cup all-purpose flour1/4 teaspoon salt1/8 teaspoon pepper2 tablespoons egg substitute1/4 cup milk1/2 lb. chicken breast tenders

Lower Basket into oil before pre-heating. Preheat oil to 400°F. Combine all dry ingredients together. Combine all liquid ingredients together. Dip 2 chicken breast tenders into liquid mixture and then flour mixture. Repeat. Using a heat-resistant metal spoon, place chicken tender into oil. Cook 4 to 6 minutes or until done. Repeat for remaining chicken breast tenders. Serve with milk gravy.

Makes 2 servings.

Pork Chops

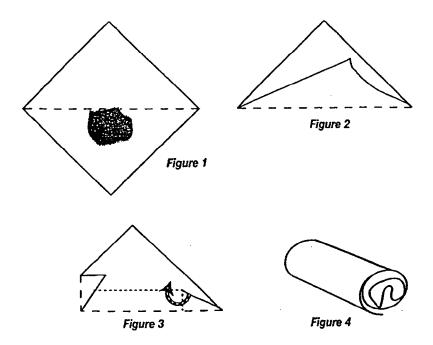
1/3 cup all-purpose flour
2 tablespoons cornstarch
1 teaspoon baking powder
1/2 teaspoon salt
1/2 cup water
2 pork loin rib-eye chops, 1/2-inch thick
1 cup bread crumbs, dry-fine

Lower Basket into oil before pre-heating. Preheat oil to 400°F. Combine first 5 ingredients until smooth. Dip pork chops into batter, coating thoroughly. Then, coat with bread crumbs. Use a heat-resistant metal spoon to place pork chops into oil. Repeat for remaining pork chops. Cook 8 to 10 minutes or until done.

Makes 2 servings.

Rolling Egg Rolls Using Won Ton Wrappers

- 1. Place wrapper on counter in a diamond shape. Orient the wrapper so that the inside corner is facing you.
- 2. Moisten all corners with water.
- 3. Spoon filling just below the center of the wrapper.(Figure 1)
- 4. Fold top of diamond over the filling, gently tucking it under the filling. Roll to midway point between the left and right points. (Figure 2)
- 5. Fold left and right side corners toward the center. (Figure 3)
- 6. Moisten bottom corner with water. Complete rolling the eggroll away from you, over the moistened corner until sealed. (Figure 4)



Mini Egg Rolls

2 oz. ground lean pork
1 oz. salad shrimp, fresh or frozen, chopped
1 tablespoon water chestnuts, finely chopped
1 tablespoon green onions, finely chopped
1/2 teaspoon soy sauce
1/8 teaspoon salt
won ton wrappers

Preheat oil to 400°F. Combine pork and shrimp. Add remaining ingredients and mix well. Place 2 teaspoons of mixture in the middle of won ton wrappers. Follow instructions for rolling egg roll. Place in Basket. Lower Basket into oil. Turn halfway through cooking time. Cook 4 to 6 minutes or until done.

Makes 6 egg rolls.

Samosas

1-1/2 teaspoons vegetable oil
1 medium tomato, chopped
1/2 cup onion, chopped
1 teaspoon salt
1 teaspoon ginger, grated
1/2 cup peas, cooked
1 teaspoon garlic powder
16 ounce package egg roll wrappers
1 teaspoon curry powder
1/2 lb. ground beef

Preheat oil to 340°F. Sauté onion in oil until browned. Add ginger, garlic and curry powder. Cook for 2 minutes. Add ground beef, tomato and salt, cook until beef is done. Stir in peas and allow to cool. Place 2 teaspoons of mixture in the middle of egg roll wrapper. Follow instructions (on previous page) for rolling egg roll wrappers. Place in Basket. Lower Basket into oil. Turn halfway through cooking time. Cook 3 to 6 minutes or until done.

Makes 8 samosas.

French Fried Seafood

1/2 lb. shrimp or 1/2 lb. fish fillets 1/4 cup all-purpose flour 2 teaspoons salt 1/8 teaspoon pepper 1 egg, lightly beaten 1/2 cup bread crumbs, dry-fine

Preheat oil to 400°F. Combine flour, salt, and pepper. Dip seafood in flour mixture, then into eggs. Coat with bread crumbs. Lower Basket into oil. Using a heat-resistant metal spoon, place fish into oil. Cook 2 to 8 minutes or until done.

Makes 2 servings.

Crispy Fish Sticks

1/2 Ib. fish fillets, skinless-fresh or frozen-thawed
1/4 cup all-purpose flour
1/2 teaspoon salt
1/4 tsp. pepper
2 eggs, lightly beaten
1 cup bread crumbs, dry-fine

Lower Basket into oil before pre-heating. Preheat oil to 325°F. Cut fish into 1 inch strips. Combine flour, salt, and pepper. Dip seafood in flour mixture, then into eggs. Coat with bread crumbs. Using a heat-resistant metal spoon, place fish into oil. Cook 3 to 5 minutes or until done.

Makes 2 servings.

Smoochies

1 can of of 6 refrigerated Pillsbury® Buttermilk Biscuits 20 pieces chocolate candy kisses 1/4 cup powdered sugar

Preheat oil to 400°F. Separate dough into 6 biscuits. Cut each biscuit in half and flatten. Place 1 chocolate kiss in the center of each and pull edges up and around candy kiss to seal tightly. Roll between palms until all seams are sealed, making a ball. Place smoochies into raised Basket. Lower Basket into oil. Cook 2 to 4 minutes, turning halfway through cooking time, or until done. Dust with powdered sugar while still warm.

Makes 12 pieces.

LIMITED ONE YEAR WARRANTY

Warranty: This Edison: product is warranted to be free from defects in materials or workmanship for a period of (1) year from the original purchase date. This product warranty covers only the original consumer purchaser of the product.

Warranty Coverage: This warranty is void if the product has been damaged by accident in shipment, unreasonable use, misuse, neglect, improper service, commercial use, repairs by unauthorized personnel, normal wear and tear, improper assembly, installation or maintenance abuse or other causes not arising out of defects in materials or workmanship. This warranty is effective only if the product is purchased and operated in the USA, and does not extend to any units which have been used in violation of written instructions furnished with the product or to units which have been altered or modified or to damage to products or parts thereof which have had the serial number removed, altered, defaced or rendered illegible.

Implied Warranties: ANY IMPLIED WARRANTIES WHICH THE PURCHASER MAY HAVE ARE LIMITED IN DURATION TO ONE (1) YEAR FROM THE DATE OF PURCHASE. Some states do not allow limitations on how long an implied warranty lasts, so the above limitation may not apply to you.

Warranty Performance: During the above one-year warranty period, a product with a defect will be either repaired or replaced with a reconditioned comparable model (at our option) when the product is returned to the Repair Center, or the purchase price refunded. The repaired or replacement product will be in warranty for the balance of the one-year warranty period and an additional one-month period. No charge will be made for such repair or replacement.

Service and Repair: Should the appliance malfunction, you should first call toll-free 1 (800) 947-3744 between the hours of 9:00 am and 5:00 pm Central Standard Time and ask for CONSUMER SERVICE stating that you are a consumer with a problem. Please refer to model number EDDF5NS when you call.

In-Warranty Service (USA): For an appliance covered under the warranty period, no charge is made for service or postage. Call for return authorization 1 (800) 947-3744.

Out-of Warranty Service: A flat rate charge by model is made for out-of-warranty service. Include \$8.00 (U.S.) for return shipping and handling. We will notify you by mail of the amount of the charge for service and require you to pay in advance for the repair or replacement.

For Products Purchased in the USA, but Used in Canada: You may return the product insured, packaged with sufficient protection, and postage and insurance prepaid to the USA address listed below. Please note that all customs duty / brokerage fees, if any, must be paid by you and we will require you to pay the cost of customs duty / brokerage fees to us in advance of our performing any service.

Risk During Shipment: We cannot assume responsibility for loss or damage during incoming shipment. For your protection, carefully package the product for shipment and insure it with the carrier. Be sure to enclose the following items with your appliance: any accessories related to your problem, your full return address and daytime phone number, a note describing the problem you experienced, a copy of your sales receipt or other proof of purchase to determine warranty status. C.O.D. shipments cannot be accepted.

To return the appliance, ship to:

To contact us, please write to or call:

Attn: Repair Center 708 South Missouri Street Macon, MO 63552 P.O. Box 6916 Columbia, MO 65205-6916

1 (800) 947-3744

Limitation of Remedies: No representative or person is authorized to assume for us any other liability in connection with the sale of our products. There shall be no claims for defects or failure of performance or product failure under any theory of tort, contract or commercial law including, but not limited to negligence, gross negligence, strict liability, breach of warranty and breach of contract. Repair, replacement or refund shall be the sole remedy of the purchaser under this warranty, and in no event shall we be liable for any incidental or consequential damages, losses or expenses. Some states do not allow the exclusion or limitation of incidental or consequential damages, so the above limitation or exclusion may not apply to you.

Legal Rights: This warranty gives you specific legal rights, and you may also have other rights which vary from state to state.

P/N 61188

Edison™ is a trademark of McGraw-Edison Company, Houston, Texas Printed in China

v.1 11/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		Y	
McGRAW-EDISON COMPANY,		:	
		:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, INC	7.,	:	
		:	
	Registrant.	:	
		X	

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

<u>December 30, 2004</u>

Date

Roberto L. Gomez

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		v	
McGRAW-EDISON COMPANY,		:	
	Petitioner,	:	;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;;
v.		: :	Cancellation No. 92,042,545
· ·		:	
MULE LIGHTING, IN	NC.,	: :	
	Registrant.	•	
		X	

DECLARATION OF TERRANCE HELZ

TERRANCE HELZ declares pursuant to 37 C.F.R. §2.20 and 28 U.S.C. §1746:

- 1. I am the Corporate Secretary of Cooper Industries, Inc., ("Cooper")

 Houston, Texas. I am competent to make this declaration and, except as otherwise stated, the facts contained in this declaration are based upon my personal knowledge and my review of the corporate records of Cooper and Petitioner, and their predecessors-in-interest.
- 2. During his lifetime, Thomas Edison and his company, Thomas A. Edison, Incorporated, marketed diverse lines of industrial power equipment and consumer electronics and electrical home appliances under the brands "Thomas A. Edison" and "Edison" (the "EDISON Trademarks").
- 3. In connection with the products described in ¶2, Thomas Edison also granted certain power generation and light companies throughout the United States the

right to use his name in their corporate names, or in connection with certain products, such as General Electric's "GE EDISON" light bulbs.

- 4. Thomas A. Edison assigned the rights for the use for commercial purposes of the name "EDISON" and "THOMAS A. EDISON", his signature and all portraits, photographs and pictures of himself, including the EDISON Trademarks, to his company Thomas A. Edison, Incorporated. Attached as **Exhibit 1** is a true and accurate copy of an Assignment, dated November 24, 1930, from Thomas A. Edison to Thomas A. Edison, Incorporated.
- 5. In 1957, pursuant to an agreement with McGraw Edison Company of Elgin, Illinois ("McGraw-Edison-Elgin"), Thomas A. Edison, Incorporated sold all of its assets and property (except certain cash reserves), including the EDISON Trademarks, to McGraw-Edison-Elgin. Attached as **Exhibit 2** is a true and accurate copy of an Agreement dated October 10, 1956 between Thomas A. Edison, Incorporated and McGraw-Edison-Elgin. Attached as **Exhibit 3** is a true and accurate copy of a Bill of Sale dated January 2, 1957 between Thomas A. Edison, Incorporated and McGraw-Edison-Elgin.
- 6. In 1985, McGraw-Edison-Elgin became a subsidiary of CI Acquisition Company. CI Acquisition Company was a subsidiary of Cooper. Attached as **Exhibit 4** is a true and accurate copy of an Agreement and Plan of Merger dated March 29, 1985 between Cooper, McGraw-Edison-Elgin and CI Acquisition Company.
- 7. In May 1986, McGraw-Edison-Elgin merged into the CI Acquisition

 Company. Attached as Exhibit 5 is true and accurate copy of a Certificate of Ownership

and Merger dated May 29, 1986 merging McGraw-Edison-Elgin and CI Acquisition Company.

- 8. Cooper changed the name of one of its subsidiaries, Cooper Bussman, Inc., to McGraw-Edison Company (the petitioner in this case, "McGraw-Edison"). Certain assets of CI Acquisition Company, which included the EDISON Trademarks, were thereafter sold to McGraw-Edison. Attached as **Exhibit 6** is a true and accurate copy of a Bill of Sale dated May 30, 1986 between CI Acquisition Company and McGraw-Edison.
- 9. On December 1, 2004, McGraw-Edison was merged into Cooper.

 Attached as **Exhibit 7** is a true and accurate copy of the Certificate of Ownership and

 Merger merging McGraw-Edison Company into Cooper Industries, Inc. dated December

 1, 2004.
- 10. Cooper is the present owner of the EDISON Trademarks, including the rights to the trademarks "THOMAS A. EDISON" (in block letter and signature formats and logos representing his likeness) and "EDISON" for a variety of consumer and electronic products, including electric switches and relays, indoor and outdoor electric light fixtures, and circuit protection devices.
- 11. Since at least 1958, McGraw-Edison-Elgin and, later, McGraw-Edison have used the EDISON Trademarks in connection with the marketing of electrical and electronic products.
- 12. In recent years, McGraw-Edison has used the EDISON Trademarks through its licensees, including Toastmaster, Inc. ("Toastmaster") and Cooper Lighting, Inc. ("Cooper Lighting"). Since at least August 1980, Toastmaster has marketed electric

home appliances, including electric fans, humidifiers and air conditioners, under the EDISON Trademarks. Cooper Lighting is a wholly owned subsidiary of Cooper and uses the EDISON Trademarks in connection with electric lighting fixtures.

- 13. Another subsidiary of Cooper, Cooper Power Systems, Inc., uses the EDISON Trademarks in connection with computer hardware and software directed to the power systems market. In connection with this use, Cooper owns and operates the Thomas A. Edison Technical Center in Franksville, Wisconsin, an internationally recognized laboratory devoted to the research and development of electric power technology.
- 14. Cooper is engaged in developing new licensing opportunities for the EDISON Trademarks, and in protecting these rights against unauthorized use. Apart from the U.S. marketing activities, Cooper recently began construction of a "Thomas A. Edison Research and Development Center" in Shanghai, China. Among other objects, Cooper and its affiliates plan to develop new generations of EDISON brand products for world-wide distribution.

Pursuant to the provisions of 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

Executed on the 15 day of December 2004.

TERRANCE HEL

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the **DECLARATION OF TERRANCE HELZ** was served by hand delivery on:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L.

Calle Address "Edison New York"

From the Salvatory Thornas A. Edison, Grange, V.J.

November 24, 1930

To Thomas A. Edison, Incorporated West Orange, New Jersey

I have devoted many years of my life to research, experiments and invention.

I am informed and believe that the capital invested in American industries based upon or substantially affected by my inventions and discoveries exceeds twenty billion dollars. I realize that the public has for a great many years associated my name with the industries based upon my inventions and discoveries and also with the articles of commerce manufactured by the companies in which I am interested. I do not wish my name to be used for commercial purposes indiscriminately by concerns with which I have had no association. I realize that it is not unusual for persons and industries to appropriate without authority the name and portrait of public and well known persons as part of their corporate names or as trade I realize also that the public marks for their merchandise. has placed faith and credit in the industries that use my name with my authority and in the articles of commerce manufactured by the companies in which I am interested.

Thomas A. Edison, Incorporated p-2 November 24, 1930

as completely as possible, to protect the industries which rightfully use my name, to justify the public's faith in my name in these industries, and to prevent, as far as I may be able to do so, the unauthorized commercial use of my name, portrait and signature.

I have heretofore conveyed to you by certain instruments in writing dated January 1, 1930, the physical property
constituting the Edison Laboratory, so that research and experimental work such as I have begun and been associated with may
be continued as nearly as possible as I have conducted it and
any good will I have gained in connection therewith may be
conserved and perpetuated.

I have also assigned or am about to assign to you all the copyrights registered in my name or owned by me of all photographs and portraits of myself.

In furtherance of these purposes, I, Thomas A. Edison, of West Orange, New Jersey, therefore assign, transfer and convey to you, Thomas A. Edison, Incorporated, a New Jersey corporation, having your principal office at West Orange, New Jersey, your successors and assigns, all the rights not heretofore conveyed, in and to the names EDISON and THOMAS A. EDISON for commercial purposes and for the promotion of research and inventions in whatever forms the same may be used, including my signature, which is now extensively used as a trade mark by you; and also my rights for the use for commercial purposes of all portraits, photographs MEO1474

Thomas A. Edison, Incorporated p-3 November 24, 1930

and pictures of myself.

It is my desire that no persons or concerns, not duly authorized so to do, shall use my name, signature or portrait for commercial purposes unless permission be first obtained from you, your successors or assigns, and I trust that my wish will be respected, but if not I direct that unauthorized users of my name, signature or portrait be vigorously prosecuted.

Witness my hand and seal this 24th day of

November, 1930.

Witness:

Thos Advison

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AGREEMENT BETWEEN

THOMAS A. EDISON, INCORPORATED

and

McGRAW ELECTRIC COMPANY

CONFIDENTIAL

AGREEMENT made between Thomas A. Edison, Incorporated, a New Jersey Corporation with its principal place of business at West Orange, New Jersey, hereinafter called "Edison" and McGraw Electric Company, a Delaware Corporation, with its principal place of business at Elgin, Illinois, hereinafter called "McGraw".

WHEREAS Edison is engaged in the business of owning and operating a manufacturing industry, producing and marketing a range of products, including dictating machines, batteries, instruments, medical gasses, juvenile furniture, and many others at West Orange and other locations, and throughout the United States and the world;

WHEREAS Edison desires to exchange the business, together with its related assets, for stock of McGraw; and

WHEREAS Edison's Board of Directors, subject to due approval of holders of more than two-thirds of its issued and outstanding voting stock, has duly authorized such exchange of Edison assets, in accordance with this agreement; and

WHEREAS at a duly convened meeting of the Board of Directors of McGraw, such acquisition of Edison's assets on the terms hereinafter set forth, was duly approved and authorized under the authority of McGraw's Certificate of Incorporation whereby McGraw is authorized to acquire and carry on this business in the manner herein specified.

IT IS THEREFORE AGREED:

I. Subject to the terms and conditions hereof, at the time of closing hereinafter referred to, Edison shall grant, convey, and assign to McGraw all its assets and properties of whatever kind and description, tangible and intangible, (including, without limitation, trade names, the company name, going concern value, and all instruments, documents, papers, books, and records of every kind except its minute book and stock record book), subject to the liabilities assumed by McGraw as set forth in paragraph 3. Such assets and properties shall include all Edison's assets as reflected on its balance sheet as of August 31, 1956 (Exhibit A hereto), subject to such changes and including such additions as have occurred and will occur in the ordinary course of its business between August 31, 1956 and the date of closing, except that Edison is authorized, prior to the closing date, to

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call its outstanding preferred stock remaining after the recapitalization referred to in paragraph 2 hereof. Notwithstanding the foregoing, Edison may retain from such properties and assets such amount of cash as is provided in paragraph 4 hereof.

- 2. Concurrently with the transfer to McGraw of Edison's assets as provided in paragraph 1 hereof, McGraw shall issue to Edison 252, 242-1/2 shares of its fully paid non-assessable voting common stock with original issue taxes paid, plus an additional one-half share of such McGraw common stock for each share of Edison class B common stock issued after October 10, 1956 (1) pursuant to the exercise of stock options granted by Edison prior to October 10, 1956 and (2) in exchange for preferred stock of Edison in accordance with a plan of recapitalization whereby Edison has offered or will offer to exchange three shares of its class B common stock for each share of its preferred stock. It is agreed that in lieu of issuing the required shares herein to the acquired corporation, they shall be issued in accordance with the direction of Edison, in one certificate to J. P. Morgan & Co., Incorporated, of New York, New York, as nominee for Edison and as exchange agent for distribution to the Edison shareholders entitled thereto, in accordance with the plan of reorganization and to facilitate the carrying out of the terms of this agreement. Notwithstanding the foregoing, McGraw may withhold from the number of shares of its common stock which would otherwise be issued, the number of shares provided for in paragraph 4 bereof.
- 3. Concurrently with the transfer of its assets by Edison to McGraw as provided in paragraph I hereof and the issuance by McGraw to Edison of the McGraw common stock as provided in paragraph 2 hereof, McGraw shall assume and discharge and shall indemnify Edison against all debts, liabilities, and obligations of Edison as shown on Edison's balance sheet as of August 31, 1956 (Exhibit A hereto), and in addition shall assume and discharge and shall indemnify Edison against all debts, obligations, and liabilities, which have arisen at any time, or which will arise in the ordinary course of its business prior to the date of closing, except (1) Edison's liabilities, if any, to its shareholders as such, and (2) expenses incurred or to be incurred by Edison in effecting the plan of reorganization set forth herein and in effecting the winding up and dissolution of Edison as provided in paragraph 6.
- 4. Edison shall retain from the properties and assets referred to in paragraph 1 hereof such amount of each as it may deem sufficient to cover (1) its expenses of reorganization and dissolution and (2) payment to the holders of Dissenting Shares (as defined hereinafter) and the expenses of Edison in connection therewith.

"Dissenting Share" or "Dissenting shares" as used in this Agreement means the share or shares of Class A or Class B Common Stock of



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Edison in respect of which the holders thereof have objected in writing to the transfer of assets of Edison provided for in paragraph 1 hereof and have demanded payment of the fair cash value thereof in accordance with the provisions of Section 14:3-5 of the General Corporation Laws of New Jersey and in respect of which at the time of closing such demand has not been effectively withdrawn.

Without the prior written approval of McGraw, Edison shall make no settlement or agreement with any shareholder as to the value of Dissenting Shares or permit a value of Dissenting Shares asserted by a shareholder to become final without adjudication thereof in proceedings conducted in good faith by Edison in consultation with McGraw.

McGraw shall withhold from the shares of Capital Stock which would otherwise be deliverable under paragraph 2 of this Agreement one-half (1/2) share of its Common Stock for each Dissenting Share of Edison Class A or Class B Common Stock. In the event that any holder of any Dissenting Shares should not become entitled to the payment of the value of his shares of Edison Common Stock pursuant to his demand therefor, then upon ascertainment of such fact, and if no payment has been made to such holder, McGraw shall deliver to Edison the additional shares of McGraw to which the holder of such shares of Edison shall be entitled unless such shares of McGraw shall have been previously delivered by McGraw to Edison.

Any cash remaining in Edison's hands after the payment of reorganization and dissolution expenses and payments to holders of Dissenting Shares shall be paid by Edison to McGraw.

- 5. McGraw shall take such steps as are necessary to increase its total authorized Common Shares from 3,000,000 shares of the par value of \$1 per share to 7,000,000 shares of the par value of \$1 and shall declare immediately after the closing date a stock dividend, payable not later than January 31, 1957, of one (1) share of its Common Stock for each share of its Common Stock then outstanding, it being the intention of the parties that each Common shareholder of Edison, other than holders of Dissenting Shares, shall receive upon dissolution of Edison as provided herein one (1) share of \$1 par value fully paid voting Common Stock of McGraw for each share of Class A or Class B Common Stock of Edison.
- 6. Edison agrees that as promptly as possible after the time of closing and after the receipt by it of the Common Stock of McGraw in exchange for its assets, as provided in paragraph 2 hereof, and including the shares received as a result of the stock dividend as provided in paragraph 5 hereof, to distribute all such Common Stock of McGraw among its share-holders other than holders of Dissenting Shares, in the proportion required by the Statues of New Jersey and the Articles of Incorporation of Edison. Edison agrees that as promptly as practicable after the time of closing it

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will dissolve and wind up its affairs and will not engage directly or indirectly in any business whatsoever,

- 7. Edison agrees to change its name so that as of the date of closing its name shall no longer contain the word "Edison" or the words "Thomas A. Edison" or any words similar thereto. McGraw agrees prior to closing to change its name to "McGraw-Edison Company". Income and other tax returns and reports of Edison due until the date of dissolution shall be cleared with the Treasurer of McGraw, or his representative. McGraw shall have the benefit of, and shall perform all binding contracts and commitments made in the ordinary course of Edison's business which are outstanding on the date of closing, and shall indemnify Edison against liabilities under such contracts and commitments. This agreement will not be construed for the benefit of third parties. McGraw may decline assumption of any contract provided it saves Edison harmless from the consequences. Edison further agrees to provide McGraw with the written assurances of Charles Edison and Madeleine Edison Sloan that they have no present intention of marketing their McGraw shares publicly, and that their present intention is to hold such shares, when received, as an investment.
 - 8. Edison warrants and represents the following:
- (a) Its financial reports submitted to McGraw and made a part hereof, namely, the audited P. and L. and balance sheet as of December 31, 1955,
 and the unaudited P. and L. and balance sheet of August 31, 1956, which has
 been signed by Edison's President fairly reflect the condition of the Corporation in accordance with sound accounting practices and, except for nonaccrual of the past service pension liability, there are known to be no
 liabilities of any substantial consequence, not reflected in the said statements.
- (b) There have been no changes in its financial condition since August 31, 1956, except those that have taken place in the ordinary course of business.
- (c) Edison's Board has duly authorized the execution of this agreement; a copy of the minutes thereof shall be certified and filed with McGraw, and upon due approval by the shareholders, a rider to this document shall be supplied and countersigned by Edison's Secretary, duly evidencing Edison's shareholder actions.
 - (d) No finder's fees are involved in this transaction.
 - 9. Edison represents the following:
- (a) All tax returns required to be filed have been duly prepared and filed, and taxes shown thereon to be payable, have been duly paid. Edison's

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Federal Income and Excess Profits Tax Returns have been audited through December 31, 1954.

- (b) There is no known or threatened litigation, action, proceeding, strike, lockout, or labor dispute, of any substantial consequence.
- (c) Neither the corporation nor its officers and directors is a party to or the present subject of, or concerned in, any investigation, hearing or proceeding by or before any governmental agency, authority, or board.
 - (d) Edison has the following wholly-owned subsidiaries:

The following are New Jersey Corporations: Edison Wood Products, Incorporated, Measurements Corporation, Thomas A. Edison International Corporation, Pohatcong Railroad Company, Dictating Machine-Voice Writer Company.

Also: Thomas A. Edison, Limited, a British Corporation; Thomas A. Edison of Canada, Limited, a Canadian Corporation; Measurements Corporation of Canada, Limited, a Canadian Corporation which is a subsidiary of Thomas A. Edison of Canada, Limited.

- 10. Edison hereby covenants with McGraw that subject to exceptions mutually approved in writing, pending the closing:
 - (a) Its business will be conducted only in the ordinary course.
- (b) No dividend, other than the regular dividend of 35¢ per share to holders of both classes of its Common Stock and \$1.62-1/2 to holders of its Preferred Stock (or such portion thereof as may be due upon the call of the Preferred Shares) to be paid in December, 1956, nor other distribution or payment will be declared or paid with respect to its capital stock, and it will not redeem, purchase, or otherwise acquire any such stock, except as allowed in paragraphs 1, 2, and 4.
- (c) It will make no changes in any of its contracts or commitments, except as such changes occur in the ordinary course of business.
- (d) It will make no new contracts or commitments for the purchase and sale of merchandise, materials, and supplies, except contracts in the ordinary course of business.
- (e) It will make no unusual expenditures for any alterations, additions, or improvements, except as to commitments outstanding as of date of this agreement, to any of its property.
- (f) It will make no salary changes or bonus arrangements of any kind other than in accordance with past consistent practices.

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- (g) It will make available to McGraw for examination all its properties, books of account, minute books, deeds, title papers, insurance policies, contracts, tax returns, records, and documents of every character and shall permit McGraw and its agents, accountants, attorneys, and engineers to have access to the same at all reasonable times, and shall supply information requested by McGraw and its representatives.
 - 11. McGraw represents the following:
- (a) It is a corporation duly organized and existing under the laws of the State of Delaware, with outstanding capital stock as of this date consisting of 2,285,000 shares of Common Stock of \$1 par value per share, all of which shares are validly issued and non-assessable.
- (b) Its Board of Directors has duly authorized the execution of this agreement.
 - (c) It is duly qualified to do business in the State of New Jersey.
- (d) McGraw's balance sheet and P. and L. statement dated August 31, 1956, supplied to Edison, fairly reflects the assets, liabilities, and net worth of McGraw in accordance with its books kept pursuant to sound accounting practice, and in the aggregate there have been no adverse changes in its financial condition since August 31, 1956.
 - 12. As conditions precedent to the closing of this transaction,
- (a) Edison shall obtain the written opinion of Ivins, Phillips & Barker, its tax counsel, and a written ruling from the Internal Revenue Service to the effect that no gain or loss will be recognized for Federal Income Tax purposes as a result of (1) the exchange by Edison of its assets and properties for McGraw Common Stock and the assumption of the liabilities and obligations of Edison pursuant hereto, and (2) the exchange by the shareholders of Edison pursuant hereto of Class A and Class B Common Stock of Edison for shares of Common Stock of McGraw on the liquidation of Edison.
- (b) In the interpretation of this agreements the requirements in paragraph 7 hereof that McGraw change its name to McGraw-Edison Company shall be considered a condition precedent.
- (c) At the closing Edison will deliver an opinion of Paul J. Christiansen, its legal counsel: (1) That it has corporate existence and good standing; (2) that it has marketable title to the property and assets conveyed; (3) that, except as he advises otherwise, he does not know of any lawsuit, proceeding or investigation pending relating to the company, its properties or business; (4) that all proceedings required by law were taken

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in support of the transaction; (5) that the instruments of conveyance tendered are sufficient to consummate the transaction.

- 13. The closing date shall take place at the office of Mr. Max McGraw, 120 South LaSalle Street, Chicago, Illinois, following due approval by the respective groups of shareholders as specified above, on January 2, 1957, unless this closing is deferred or anticipated by mutual written agreement. Upon the delivery to Edison of the required certificates for the McGraw Common Stock. Edison shall execute and deliver all deeds, bills of sale, conveyance, and such other instruments as are required by the agreement and as in the opinion of Clark J. A. Hazelwood, P. O. Box 2077, Milwaukee, 1, Wisconsin, are necessary to vest title in McGraw of all Edison's assets. All representations and covenants shall survive the closing.
- 14. This agreement supersedes any and all previous agreements made during the course of negotiations between the parties. It shall inure to the benefit of and shall be binding upon the parties and upon their respective successors.

IN WITNESS WHEREOF the parties have signed this instrument. Dated as of the 10th day of October, 1956.

THOMAS A. EDISON, INCORPORATED

CONFIDENTIAL

ansen, Secretary

Attest:

Approved:

Charles Edison, Chairman

Thomas A. Edison, Incorporated

McGRAW ELECTRIC COMPANY

Max McGraw, President

Attest:

Judson Large, Secretary

ME 05639

THOMAS A. EDISON, INCORPORATED, GRANTOR

to

McGRAW-EDISON COMPANY, GRANTEE



BILL OF SALE

For a valuable consideration and in full settlement of the Agreement of October 10, 1956, by and between the parties hereto, THOMAS A. EDISON, INCORPORATED, a New Jersey corporation, of West Orange, New Jersey (hereinafter called "Grantor"), has sold, conveyed, transferred, set over and delivered, and by these presents does hereby sell, convey, transfer, set over and deliver to McGRAW-EDISON COMPANY, a Delaware corporation, whose name hitherto has been McGRAW ELECTRIC COMPANY (also hereinafter included within the term "Grantee"), all the assets, business effects and property of the Grantor, real, personal and mixed, tangible and intangible, of every kind, nature and description and wheresoever situate, including particularly, but not exclusively, any and all property of any kind, nature and description held in trust by or in the possession of any other persons or corporations for the benefit of Grantor or in which the Grantor has any right, claim or interest, directly or indirectly, all lands, buildings, fixtures, tenements, hereditaments, leases, leaseholds, machinery, tools, equipment, furniture, vehicles, stationery, inventories, accounts, bills and notes receivable, choses in action, patents, patent applications, trade-marks, trade names, trade secrets, formulae, inventions, drawings, specifications, know-how, and the

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like, contracts, contract rights, automobiles, trucks, inventories of raw materials, goods in process, goods on hand and in transit, supplies, good will of the business as a going concern including all of Grantor's rights in the names "Edison" and "Thomas A. Edison" and (excepting the cash reserved under Section 4 of the October 10, 1956 agreement) all cash on hand and in the bank, all as of the opening of business on January 2, 1957, to be the absolute property forever of the Grantee, its successors and assigns.

It is the intention of these presents that, except for the cash reserved by Grantor as aforesaid, the Grantor shall, and it does hereby convey in the transfer to the Grantee, each and every species of property, right, privilege and thing of value belonging to the Grantor which it is in law capable of so transferring and conveying, except that there is no intent herein to transfer back to Grantee the shares of its stock received by Grantor in partial consideration of this instrument of transfer.

The Grantor at any time, and from time to time upon the request of the Grantee, shall forthwith execute and deliver such instruments of assignment, transfer, conveyance, endorsement, direction or authorization as will be sufficient, requisite or advisable to vest in the Grantee the full and complete absolute legal and equitable title in and to all property, assets and effects sold and transferred or intended to be sold and transferred hereunder, it being understood, however, that this instrument and these presents shall be, and the same are hereby, declared to be sufficient in all respects to, and the same do hereby, subject to the cash exception aforesaid, sell, convey, transfer, assign and set over unto the

Grantee full, absolute and complete title in and to all property, assets, business effects and franchises of the Grantor which can legally be conveyed.

This instrument shall inure to the benefit of the successors and assigns of the Grantee and shall be binding upon the successors and assigns of the Grantor.

IN WITNESS WHEREOF, the Grantor has caused this instrument to be duly executed and has caused its corporate seal to be hereunto affixed, all as of the opening of business on the 2nd day of January, 1957.

Attest:

Paul Ch. Lange

THOMAS A. EDISON INCORPORATED

President

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STATE OF ILLINOIS)

COOK COUNTY ; ss.

On this 2nd day of January, 1957, before me D. L. VAN HAM, the undersigned officer, personally appeared HENRY G. RITER 3rd and PAUL J. CHRISTIANSEN who acknowledged themselves to be the President and Secretary respectively of THOMAS A. EDISON, INCORPORATED, a corporation, and that they as such President and Secretary, being authorized so to do, executed the foregoing instrument for the purposes therein contained, by signing the name of the corporation

by themselves as such President and Secretary and attaching the seal of the corporation.

IN WITNESS WHEREOF, I hereunto set my hand and official seal.

Notary Public

Cock County, Illinois

My Commission expires:

January 28, 1958

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AGREEMENT AND PLAN OF MERGER

AGREEMENT AND PLAN OF MERGER, dated March 29, 1985, by and among Cooper Industries, Inc., an Ohio corporation ("Parent"), CI Acquisition Company, a Delaware corporation and a wholly owned subsidiary of Parent ("Offer Sub"), and McGraw-Edison Company, a Delaware corporation (the "Company") (Offer Sub and the Company being sometimes hereinafter together referred to as the "Constituent Corporations", provided, however, that if in lieu of Offer Sub, Parent shall, pursuant to Section 2.01(z), elect to cause another direct or indirect subsidiary to be merged into the Company, such other subsidiary and the Company shall be the "Constituent Corporations" for purposes of this Agreement).

WHEREAS, Offer Sub has commenced a tender offer for any and all shares of common stock, par value \$1.00 per share (the "Shares"), of the Company at a price of \$65 per Share, net to the seller in cash, upon the terms and subject to the conditions set forth in Offer Sub's Offer to Purchase dated March 27, 1985 (the "Offer to Purchase") and the related Letter of Transmittal (which together constitute the "Offer"); and

WHEREAS, the Board of Directors of Parent and the Company have each determined that it is advisable to merge Offer Sub or another direct or indirect subsidiary of Parent with and into the Company pursuant to this Agreement with the result that the Company shall become a wholly-owned direct or indirect subsidiary of Parent;

NOW, THEREFORE, in consideration of the premises and the mutual covenants herein contained, Parent, Offer Sub and the Company hereby agree as follows:

ARTICLE I

THE OFFER

1.01 Company Action. (a) The Company hereby consents to the Offer and represents that the Company's Board of Directors has approved the Offer and the Merger (as such term is defined in Section 2.01(a) of this Agreement), has resolved to recommend acceptance of the Offer and adoption of this Agreement by the Company's stockholders and has determined that the Offer and Merger are fair and in the best interests of the Company's stockholders. The Company shall promptly

file with the Securities and Exchange Commission (the "Commission") and mail to the holders of Shares a Solicitation/Recommendation Statement on Schedule 14D-9 with respect to the Offer (the "Schedule 14D-9"), which shall, subject to the fiduciary responsibilities and obligations of the Board of Directors under applicable law, in the opinion of counsel, reflect such recommendation.

- (b) The Company shall promptly furnish Parent with a list of the holders of Shares and mailing labels containing the names and addresses of all record holders of Shares and lists of securities positions of Shares held in stock depositories, each as of a recent date, and shall promptly furnish Parent with such additional information, including updated lists of stockholders of the Company, mailing labels and lists of securities positions, and assistance as Parent or its agents may reasonably request in connection with the Offer.
- (c) Offer Sub shall not decrease the price per Share to be paid pursuant to the Offer.
- (d) Offer Sub shall promptly amend the Offer to amend the conditions set forth in paragraphs (a), (b), (d) and (f) of Section 16 of the Offer to Purchase to read as set forth in Schedule A hereto and to add the conditions set forth in paragraphs (g), (h) and (i) set forth in such Schedule. Except as expressly modified in accordance with this Section 1.01(d), all of Section 16 of the Offer to Purchase shall remain in full force and effect and shall not be changed in any respect as a result of this Agreement.
- 1.02 Directors. Promptly upon the acceptance for payment of any Shares pursuant to the Offer, and from time to time thereafter as Shares are accepted for payment or otherwise purchased, Offer Sub shall be entitled to designate such number of directors, rounded up to the next whole number, on the Company's Board of Directors as will give Offer Sub representation on the Board of Directors equal to that number of directors (but not more than a majority of the directors) which equals the product of the total number of directors on the Board of Directors (giving effect to any directors elected pursuant to this sentence) multiplied by the percentage that such number of Shares so accepted for payment or purchased bears to the number of Shares outstanding, and the Company shall, at the request of Offer Sub, use its best efforts to increase the size of the Board and/or obtain the resignation of that number of directors sufficient to create the vacancies for the number of directors which Offer Sub is entitled

to designate under this Section 1.02 and with respect to each vacancy created by such increase in size or resignations shall take all lawful action necessary to effect the election of Offer Sub's designees to the Board, including mailing to its stockholders the information required by Section 14(f) of the Securities Exchange Act of 1934, as amended (the "Exchange Act") and Rule 14f-1 promulgated thereunder. No action will be taken by the Board of Directors of the Company after election or appointment of Parent's designees to the Company's Board of Directors with respect to the amendment or termination of this Agreement or the waiver of any right of the Company hereunder without the concurrence of a majority of directors not so designated by Parent.

ARTICLE II

THE MERGER

- 2.01 The Merger. (a) Subject to the terms and conditions hereof, at the Effective Time (as such term is defined in paragraph (b) of this Section 2.01), Offer Sub or, in Parent's sole discretion, another direct or indirect subsidiary of Parent shall be merged with and into the Company (the "Merger") in accordance with the provisions of the General Corporation Law of the State of Delaware (the "GCL"), the separate existence of Offer Sub (or of such other subsidiary) shall cease and the Company shall continue as the surviving corporation in the Merger (the "Surviving Corporation") under the name "McGraw-Edison Company".
- (b) As soon as practicable after satisfaction or waiver of the conditions set forth in Article V of this Agreement, the parties hereto shall cause the Merger to be consummated by the filing of an appropriate certificate of merger (the "Certificate of Merger") with the Secretary of State of the State of Delaware in accordance with the provisions of Section 251 of the GCL or, if applicable, a certificate of ownership and merger pursuant to Section 253 of the GCL. The date and time when the Merger shall become effective shall be the time of filing and is referred to herein as the "Effective Time".
- (c) At the Effective Time, the Surviving Corporation shall thereupon and thereafter possess all the rights, privileges, powers and franchises, as well of a public as of a private nature, of each of the Constituent Corporations,

and be subject to all the restrictions, disabilities and duties of each of the Constituent Corporations; and all and singular, the rights, privileges, powers and franchises of each of the Constituent Corporations, and all property, real, personal and mixed, and all debts due to either of the Constituent Corporations on whatever account, as well for stock subscriptions as all other things in action or belonging to each of the Constituent Corporations shall be vested in the Surviving Corporation; and all property, rights, privileges, powers and franchises and all and every other interest shall be thereafter as effectually the property of the Surviving Corporation as they were of the several and respective Constituent Corporations; and the title to any real estate, vested by deed or otherwise, under the laws of the State of Delaware or elsewhere in either of the Constituent Corporations, shall not revert or be in any way impaired by reason of the Merger; but all rights of creditors and all liens upon any property of either of the Constituent Corporations shall be preserved unimpaired, and all debts, liabilities and duties of each of the Constituent Corporations shall thenceforth attach to the Surviving Corporation, and may be enforced against it to the same extent as if said debts, liabilities and duties had been incurred or contracted by it; all in accordance with Section 259(a) of the GCL.

- 2.02 Closing. The closing of the Merger shall take place at the offices of Vinson & Elkins, First City Tower, Houston, Texas 77002, at 12:00 Noon, local time, on the day on which the last of the conditions set forth in Article V of this Agreement is fulfilled or waived.
- 2.03 <u>Conversion of Shares</u>. Subject to the terms and conditions of this Agreement, at the Effective Time, by virtue of the Merger and without any action on the part of the holder of any securities of the Constituent Corporations:
- (a) each Share then issued and outstanding, other than Dissenting Shares (as such term is defined in Section 2.05 of this Agreement) and Shares to be cancelled pursuant to paragraph (b) of this Section 2.03, shall be converted into and represent the right to receive \$65 net in cash or any higher price per Share which may be paid pursuant to the Offer, without any interest thereon (the "Merger Consideration");
- (b) each Share then held, directly or indirectly, by Parent, Offer Sub or any subsidiary or parent of Parent or Offer Sub, or held in the Company's treasury, shall be cancelled and retired without payment of any consideration therefor; and

- (c) each share of common stock of Offer Sub (or of the direct or indirect subsidiary of Parent other than Offer Sub which is to be merged into the Company pursuant to Section 2.01) issued and outstanding immediately prior to the Effective Time shall be converted into and become one validly issued, fully paid and non-assessable share of common stock of the Surviving Corporation ("Surviving Corporation Common Stock"). Immediately after the Effective Time, Parent shall be the holder of all outstanding shares of Surviving Corporation Common Stock.
- 2.04 Stock Options and Other Rights to Acquire Shares; Restricted Stock. (a) Immediately prior to the Effective Time and as part of the Merger, each holder of an outstanding option to purchase Shares or an alternate stock right (a "Stock Option") granted under the Company's 1974 Stock Incentive Program or 1980 Stock Incentive Program (collectively, the "Stock Option Plans") which is then exercisable in accordance with its terms (as in effect on the date of the Memorandum (as hereinafter defined)) will be entitled to receive in settlement thereof a cash payment from the Company in an amount equal to the excess of the Merger Consideration over the per Share exercise price of the Stock Option (provided that if an alternate stock right which shall be in tandem with a stock option shall have a lower exercise price than the related option, the holder thereof shall, with respect to any payment made pursuant to this Section 2.04(a), be entitled to the benefits of such lower exercise price), multiplied by the number of Shares issuable pursuant to such Stock Option. From and after the Effective Time, no Stock Option shall be exercisable for Shares or for shares of Surviving Corporation Common Stock or for shares of the common stock of Parent, and each such Stock Option outstanding immediately prior to the Effective Time shall represent only the right to receive a cash payment equal to the excess of the Merger Consideration over the per Share exercise price of the Stock Option (provided that if an alternate stock right which shall be in tandem with a stock option shall have a lower exercise price than the related option, the holder thereof shall, with respect to any payment made pursuant to this Section 2.04(a), be entitled to the benefits of such lower exercise price), multiplied by the number of Shares issuable pursuant to such Stock Option and shall be paid at the first opportunity that such Stock Option becomes exercisable in accordance with, and only to the extent provided by, its terms (as in effect on the date of the Memorandum, except as otherwise provided in the following sentence).

Notwithstanding the foregoing, subject to the provisions of Section 4.11 of this Agreement, after the Effective Time, if any employee of the Company holding a Stock Option at the time of the Offer (i) is terminated by the Company, (ii) resigns with the consent of the Company, or (iii) is reassigned to significantly different responsibilities with the Company or there is a material change in the nature of the services required, the time of performance, the conditions of performance (including a decrease in compensation to be paid), or location of performance, in each case, without such employee's consent, the Stock Option of such employee shall become immediately exercisable in accordance with the preceding sentence. If an alternate stock right is in tandem with a stock option, the exercise of either or the making of a cash payment pursuant to this Section 2.04(a) in respect of either shall result in the cancellation of the other. Company's Board of Directors and the Company's subsidiaries shall take such action prior to the Effective Time as may be required under the Stock Option Plans or otherwise to effectuate the foregoing.

- (b) Prior to the Effective Time, the Company shall offer to purchase, at a price equal to the Merger Consideration, all shares of restricted stock issued to employees of the Company prior to March 1, 1985 pursuant to the Company's Stock Incentive Plan, which shares are currently considered to be outstanding shares, whether or not such shares are then vested. From and after the Effective Time, such shares shall not be convertible into or exchangeable for Shares or shares of Surviving Corporation Common Stock or shares of the common stock of Parent and the Company's Board of Directors shall take such action prior to the Effective Time (if necessary) as may be required to effectuate the foregoing.
- 2.05 Dissenting Shares. Notwithstanding anything in this Agreement to the contrary, Shares that are outstanding immediately prior to the Effective Time and are held by stock-holders (other than Parent, Offer Sub or any subsidiary or parent of Parent or Offer Sub) who shall not have voted such Shares in favor of adoption of this Agreement and who shall have delivered to the Company a written demand for appraisal of such Shares in the manner provided in Section 262 of the GCL ("Dissenting Shares") shall not be converted into the right to receive, or be exchangeable for, the Merger Consideration, but the holders thereof shall be entitled to payment of the appraised value of such Shares in accordance with the provisions of such Section 262; provided, however, that (i) if any holder of Dissenting Shares shall subsequently deliver

a written withdrawal of his demand for appraisal of such Dissenting Shares (with the written approval of the Company, if such withdrawal is not tendered within 60 days after the Effective Time), or (ii) if any holder fails to establish his entitlement to appraisal rights as provided in such Section 262, or (iii) if neither any holder of Dissenting Shares nor the Surviving Corporation has filed a petition demanding a determination of the value of all Dissenting Shares within the time provided in such Section 262, such holder or holders (as the case may be) shall forfeit the right to appraisal of such Dissenting Shares and such Dissenting Shares shall thereupon be deemed to have been converted into the right to receive, and to have become exchangeable for, as of the Effective Time, the Merger Consideration.

2.06 Disbursing Agent. As soon as practicable after the Effective Time, the disbursing agent appointed by Parent to make payments of the Merger Consideration to former stockholders of the Company (the "Disbursing Agent") shall send a notice and a transmittal form to each holder of certificates formerly evidencing Shares (other than certificates formerly representing Shares to be cancelled pursuant to Section 2.03(b) of this Agreement and certificates representing Dissenting Shares) advising such holder of the effectiveness of the Merger and the procedure for surrendering to the Disbursing Agent such certificates for exchange into the Merger Consideration. Each holder of certificates theretofore evidencing Shares, upon proper surrender thereof to the Disbursing Agent together with and in accordance with such transmittal form, shall be entitled to receive in exchange therefor the Merger Consideration deliverable in respect of the Shares theretofore evidenced by the certificates so surrendered. Upon such proper surrender, the Disbursing Agent shall promptly deliver the Merger Consideration. Parent shall cause funds to be provided to the Disbursing Agent which are sufficient in amount to make such payments of the Merger Consideration to former stockholders of the Company, as and when certificates for Shares are properly surrendered. Until properly surrendered, certificates formerly evidencing Shares (other than Dissenting Shares) shall be deemed for all purposes to evidence only the right to receive the Merger Consideration. Notwithstanding the foregoing, neither the Disbursing Agent nor any party hereto shall be liable to a holder of certificates theretofore representing Shares for any amount which may be required to be paid to a public official pursuant to any applicable abandoned property, escheat or similar law.

- 2.07 No Further Rights. From and after the Effective Time, holders of certificates theretofore evidencing Shares shall cease to have any rights as stockholders of the Company, except as provided herein or by applicable law.
- 2.08 Closing of Company Transfer Books. After the Effective Time, there shall be no transfer of Shares which were outstanding immediately prior to the Effective Time.
- 2.09 Restated Certificate of Incorporation; By-laws; Directors; Officers. The Restated Certificate of Incorporation and By-laws of the Company in effect immediately prior to the Effective Time shall be the Certificate of Incorporation and By-laws of the Surviving Corporation until thereafter amended as provided therein and under the GCL. The directors of Offer Sub immediately prior to the Effective Time shall be the directors of the Surviving Corporation and the officers of the Company immediately prior to the Effective Time shall be the officers of the Surviving Corporation, in each case until their successors are duly elected and qualified.

ARTICLE III

REPRESENTATIONS AND WARRANTIES

- 3.01 Representations and Warranties of Parent and Offer Sub. Parent and Offer Sub hereby represent and warrant to the Company that:
- (a) Organization. Each of Parent and Offer Sub is a corporation duly organized, validly existing and in good standing under the laws of its jurisdiction of incorporation and has the requisite corporate power to carry on its business as it is now being conducted.
- (b) Authorization and Validity of Agreements. Each of Parent and Offer Sub has all requisite corporate power and authority to enter into this Agreement and to perform its respective obligations hereunder. Parent shall cause Offer Sub to perform all of its obligations hereunder. The execution, delivery and performance by each of Parent and Offer Sub of this Agreement, and the consummation by each of them of the transactions contemplated hereby, have been duly authorized by the Executive Committee of its Board of Directors or by its Board of Directors, respectively, and by Parent, as the sole stockholder of Offer Sub. No other corporate action on

the part of Parent or Offer Sub is necessary to authorize the execution, delivery or performance by Parent and Offer Sub of this Agreement and the consummation by Parent and Offer Sub of the transactions contemplated hereby. This Agreement has been duly executed and delivered by Parent and Offer Sub and is a valid and binding obligation of Parent and Offer Sub, enforceable against each of them in accordance with its terms.

- (c) <u>Financing</u>. The information set forth in the second paragraph of Section 10 ("Source and Amount of Funds") of the Offer to Purchase does not, as of the date hereof, contain any untrue statement of a material fact or omit to state a material fact necessary to make the statements therein not misleading.
- 3.02 Representations and Warranties of the Company. The Company hereby represents and warrants to Parent and Offer Sub that:
- (a) Organization. The Company and each of its subsidiaries is a corporation duly organized, validly existing and in good standing under the laws of its jurisdiction of incorporation and has all requisite corporate power and authority to own, lease and operate its properties and to carry on its business as now being conducted. Each of the Company and its subsidiaries is duly qualified or licensed as a foreign corporation to do business and is in good standing in each jurisdiction in which the property owned, leased or operated by it or the nature of the business conducted by it makes such qualification necessary, except where the failure to be so duly qualified or licensed would not have a material adverse effect on the financial condition, assets, liabilities, results of operations or businesses of the Company and its subsidiaries taken as a whole. True and complete copies of the Restated Certificate of Incorporation and By-laws of the Company have been delivered to Parent prior to the execution hereof.
- (b) Capitalization. The authorized capital stock of the Company consists of 25,000,000 Shares and 6,000,000 shares of preferred stock, \$100 par value ("Preferred Stock"). As of March 25, 1985, 16,547,115 Shares were issued and outstanding, and 712,801 Shares were held in the Company's treasury. No shares of Preferred Stock are issued and outstanding or held in the Company's treasury. All outstanding Shares are validly issued, fully paid and nonassessable. As

- of March 25, 1985, there were outstanding Stock Options to purchase an aggregate 407,453 Shares. Except for Stock Options, the Company does not have outstanding any subscriptions, options, warrants, rights, convertible securities or other agreements or commitments of any character relating to the issued or unissued capital stock or other securities of the Company obligating the Company to issue any securities.
- (c) Authorization and Validity of Agreements. The Company has all requisite corporate power and authority to enter into this Agreement and to perform its obligations hereunder (subject to obtaining the necessary approval of its stockholders). The execution, delivery and performance by the Company of this Agreement and the consummation by it of the transactions contemplated hereby have been duly authorized by the Company's Board of Directors and no other corporate action on the part of the Company is necessary to authorize the execution and delivery by the Company of this Agreement and the consummation by it of the transactions contemplated hereby other than obtaining the necessary approval of its stockholders. This Agreement has been duly executed and delivered by the Company and is the valid and binding obligation of the Company, enforceable against it in accordance with its terms.

ARTICLE IV

COVENANTS

- 4.01 Proxy Statement. As promptly as practicable after expiration of the Offer, the Company shall, if required by applicable law or otherwise deemed advisable by Parent, file with the Commission under the Exchange Act, and shall use all reasonable efforts to have cleared by the Commission, and as promptly as practicable thereafter shall mail to its stockholders, a proxy statement or information statement, as appropriate (the "Proxy Statement"), with respect to the Special Meeting (as such term is defined in Section 4.02 of this Agreement). In the Proxy Statement, the Company's Board of Directors shall, subject to its fiduciary responsibilities and obligations under applicable laws, as advised in the opinion of its counsel, recommend to the stockholders of the Company approval of the Merger.
- 4.02 Meeting of Stockholders of the Company. If required by the GCL, promptly after expiration of the Offer, the Company shall take all action necessary, in accordance

with the GCL and its Restated Certificate of Incorporation and By-laws, to convene a meeting of its stockholders (the "Special Meeting") as promptly as practicable to consider and vote on this Agreement. Pursuant to Section 228 of the GCL, any action to be taken at the Special Meeting may be taken by means of the written consent of the Company's stockholders. Subject to fiduciary responsibilities and obligations under applicable law, as advised in the opinion of its counsel, the Company shall use its best efforts to solicit from stockholders of the Company proxies in favor of the adoption of this Agreement and to take all other action necessary or, in the reasonable judgment of Parent, helpful to secure a vote of stockholders in favor of the adoption of this Agreement. At the Special Meeting, Parent shall vote, or cause to be voted, all of the Shares then owned by Parent (or any direct or indirect subsidiary of Parent) in favor of the adoption of this Agreement.

- 4.03 Merger Without Approval of Stockholders. Notwithstanding the foregoing, in the event that Parent, Offer Sub or any parent or subsidiary of Parent or Offer Sub shall own in the aggregate at least 90 percent of the outstanding Shares, the parties hereto agree, at the request of Parent, to take all necessary and appropriate action to cause a merger of the Company and Offer Sub to become effective without approval of stockholders of the Company, in accordance with Section 253 of the GCL.
- 4.04 Acquisition Proposals. The Company, its subsidiaries and the affiliates of the Company which it controls shall not, directly or indirectly, (a) solicit, initiate or encourage submission of proposals or offers from any person relating to any acquisition or purchase of all or a material amount of the assets of, or any equity interest in, the Company or any of its subsidiaries or any merger, consolidation or business combination with the Company or any of its subsidiaries, or (b) participate in any substantive discussions or any negotiations regarding, or knowingly furnish to any other person any information with respect to, or otherwise cooperate in any way with, or assist or participate in, facilitate or encourage, any effort or attempt by any other person to do or seek any of the foregoing. The Company shall use its best efforts to ensure that its officers, employees, directors, representatives, agents and affiliates (other than affiliates to which the first sentence of this Section 4.04 shall apply) shall not, directly or indirectly, take any action referred to in the first sentence of this Section.

The Company shall promptly notify Parent if any such proposal or offer, or any inquiry or contact with any person with respect thereto, is made.

- 4.05 <u>Interim Operations</u>. During the period from March 25, 1985 to the Effective Time, except as specifically contemplated by this Agreement or the Memorandum, or otherwise as consented to or approved in writing by Parent:
- (a) the business of the Company and each of its subsidiaries shall be conducted only in, and the Company and its subsidiaries shall not take any action except in, the ordinary and usual course of its businesses;
- (b) neither the Company nor its subsidiaries shall make or propose any change or amendment in their respective charters or By-laws;
- (c) neither the Company nor its subsidiaries shall issue or sell any shares of its capital stock or any of its other securities or issue any securities convertible into or exchangeable for, or options, warrants to purchase, scrip, rights to subscribe for, calls or commitments of any character whatsoever relating to, or enter into any contract, understanding or arrangement with respect to the issuance of, any shares of its capital stock or any of its other securities other than, in the case of the Company, Shares issuable pursuant to the terms of presently outstanding Stock Options as in effect on March 27, 1985, or enter into any arrangement or contract with respect to the purchase of shares of its capital stock, or adjust, split, combine or reclassify any of its securities, or make any other changes in its equity capital structure;
- (d) the Company shall not declare, pay or make any dividend or other distribution or payment with respect to, or purchase or redeem, any shares of its capital stock other than regular quarterly cash dividends of not more than \$.50 per Share declared and paid in accordance with prior practice.
- (e) the Company shall use its best efforts to preserve the goodwill of those having business relationships with it and its subsidiaries;
- (f) neither the Company nor any of its subsidiaries shall: grant any general increase in the compensation of officers or employees (including any such increase pursuant to any bonus, pension, profit-sharing or other plan or commitment) or any increase in the compensation payable or to

become payable to any officer or employee, except for reasonable increases in the ordinary course of business, consistent with past practice of the Company, or increases required under collective bargaining agreements, or enter into any employment agreements;

- (g) except with respect to the endorsement of negotiable instruments in the ordinary course of its business, neither the Company nor any of its subsidiaries shall (i) incur or assume any material indebtedness for money borrowed or (ii) guarantee any such indebtedness except, in each case, in the ordinary course of business within existing credit lines:
- (h) the Company shall not and shall not permit any subsidiary to sell, lease or otherwise dispose of any of its assets which are material, either individually or in the aggregate, to the Company or any of its subsidiaries; and
- (i) except as may be required by law, neither the Company nor any of its subsidiaries shall take any action to terminate or amend any of its employee benefit plans within the meaning of Section 3(2) of the Employment Retirement Income Security Act of 1974, as amended.
- 4.06 <u>Appraisal Rights</u>. The Company shall not settle or compromise any claim for appraisal rights under the GCL prior to the Effective Time without the prior written consent of Parent.
- 4.07 Pension Benefits. It is understood and agreed that the Company's Pension Benefit Replacement Plan has been amended to provide for payment thereunder of those additional benefits that would have been provided under the Company's Salaried Employee's Retirement Plan II ("Plan II") if Plan II had been amended (i) to recognize an additional three years of credited service and benefit service (or such lesser amount as would grant full service credit to age 65) for those eight (8) individuals who receive payments under the Company's Key Executive Severance Pay Plan ("Severance Plan"), and (ii) to take payments under the Severance Plan (base salary and annual incentive bonus) into account in determining "final average base earnings in calculating benefit payments under Plan II based upon all benefit service, as if such payments were paid as compensation during the additional years of benefit service recognized under (i) above. Parent's obligations pursuant to this Section 4.07 are subject to the condition that such payments be deductible by the Surviving

Corporation as ordinary and necessary business expenses when the payments are funded or included in the individual's income.

- 4.08 Additional Agreements. (a) The Company shall, and shall cause each of its subsidiaries to, afford Parent, Offer Sub and their respective counsel, accountants and other authorized representatives, reasonable access during normal business hours throughout the period prior to the Effective Time to all of its properties, books, contracts, commitments, records, tax records and accountants' working papers. During such period, the Company shall, and shall cause each of its subsidiaries to, furnish promptly to Parent (i) a copy of each report, schedule and other document filed or received by it pursuant to the requirements of federal or state securities laws in the last three years or for such earlier periods as Parent shall reasonably request and (ii) all such other information concerning its business, properties and personnel as Parent may request and which is customarily prepared by the Company or is in the Company's possession, provided that no investigation pursuant to this Section 4.07(a) shall affect or be deemed to modify any representations or warranties made in this Agreement or the conditions to the obligations of the parties to consummate the Merger under this Agreement or to purchase or pay for Shares pursuant to the Offer.
- (b) Parent and Offer Sub agree that each of them shall, and shall use all reasonable efforts to cause its officers, employees and authorized representatives to, hold in strict confidence all data and information obtained by them from the Company or its subsidiaries (unless such information is or becomes readily ascertainable from public or published information or trade sources or public disclosure of such information is required by law) and shall use all reasonable efforts to ensure that such officers, employees and authorized representatives do not disclose such information to others without the prior written consent of the Company.
- (c) In the event of the termination of this Agreement, Parent and Offer Sub shall return promptly every document furnished to them by the Company or any subsidiary in connection with the transactions contemplated hereby and any copies thereof which may have been made, and shall use all reasonable efforts to cause their representatives and others to whom such documents were furnished promptly to return such documents and any copies thereof any of them may have made, other than documents filed with the Commission or otherwise publicly available.

- (d) Each of the parties hereto agrees to use all reasonable efforts to take, or cause to be taken, all action and to do, or cause to be done, all things necessary, proper or advisable to consummate and make effective the transactions contemplated by this Agreement, including using all reasonable efforts to (i) obtain all necessary waivers, consents and approvals and effect all necessary registrations and filings, including, but not limited to, (x) filings under the Hart-Scott-Rodino Antitrust Improvements Act of 1976, as amended (the "Hart-Scott Act"), including responses as promptly as practicable to requests for additional information, and (y) submissions of information requested by government authorities, and (ii) rectify any event or circumstance which would impede consummation of the transactions contemplated hereby, including, without limitation, causing any injunction or other order which impedes any of such transactions to be lifted.
 - (e) The Company hereby waives any and all rights to any benefit or otherwise, including any right of action, that it may have under Section 203 of the GCL.
 - (f) After the Effective Time, Parent agrees that it shall, or shall cause the Surviving Corporation to, make the severance payments described in paragraph 5 of the Memorandum dated March 27, 1985 between Parent and the Company (the "Memorandum").
 - After the Effective Time, Parent 4.09 Bonus Plan. shall cause the Surviving Corporation to pay on January 1, 1986, pursuant to the Company's Management Incentive Compensation Plan ("MIC") and Middle Management Incentive Compansation Plan ("MMIC") and consistent with past practices, to any exempt management employees employed at the Company's corporate office during the full period from January 1, 1985 through April 24, 1985, a bonus for 1985 equal in amount to at least one-third of the maximum bonus to which such person is eligible under the MIC and MMIC plans, so long as such person does not voluntarily terminate his or her employment with the Company or the Surviving Corporation prior to December 31, 1985. For the purpose of this Section 4.09, Parent agrees that a voluntary termination shall not occur if any employee terminates his or her employment because of a material change in the nature of the services required, the time of performance, the conditions of performance (including a decrease in the level of compensation) or the location of performance.

- 4.10 Key Executive Severance Plans. After the Effective Time, Parent shall cause the Surviving Corporation to pay to any employee any amounts payable to such employee pursuant to the terms of the Severance Plan, in the form delivered to Parent prior to the execution of this Agreement, upon the termination of the employment of such employee, subject to the conditions and limitations of such Plan, it being agreed that no more than the eight individuals previously identified to Parent may receive payments pursuant to the Plan.
- 4.11. Right to Waive; No Payment for Taxes. Any of the persons covered by Section 4.10 shall have the right to waive in writing (whether or not such waiver is irrevocable) receipt of all or any portion of the payments contemplated by this Agreement or the Memorandum. Parent shall cause the Company to (i) inform such persons of the right to make such waiver within a period of 180 days after the Effective Time and (ii) make payments in the amounts set forth in such waiver (to the extent not waived). Notwithstanding any other provision of this Agreement, Parent, Offer Sub, the Company and the Surviving Corporation shall not make or increase any payment to any employee to reimburse such employee for any tax liability on account of any payments contemplated hereby. Parent shall accept the return of payments from an employee which have not been waived pursuant to this Section 4.11.

ARTICLE V

CONDITIONS

- 5.01 Conditions to the Obligations of Each Party. The respective obligations of each party hereto to consummate the Merger shall be subject to the fulfillment at or prior to the Effective Time of the following conditions:
- (a) Approval of Stockholders. The approval of the stockholders of the Company referred to in Section 4.02 of this Agreement shall have been obtained, if required by applicable law.
- (b) <u>Hart-Scott</u>. Any applicable waiting period (and any extension thereof) applicable to the Merger under the Hart-Scott Act shall have expired or been terminated.

- (c) <u>Litigation</u>. No preliminary or permanent injunction or other order, decree or ruling issued by a court of competent jurisdiction in the United States or by a United States federal or state governmental, regulatory or administrative agency or commission, and no statute, rule, regulation or executive order promulgated or enacted by any governmental authority shall be in effect which would prevent the consummation of the Merger.
- 5.02 Conditions to the Obligations of Parent and Offer Sub. The obligations of Parent and Offer Sub to consummate the Merger shall be subject to the fulfillment at or prior to the Effective Time of the additional condition that Parent or an affiliate thereof shall have purchased all Shares validly tendered and not withdrawn pursuant to the Offer.
- 5.03 Conditions to the Obligations of the Company The obligations of the Company to consummate the Merger shall be subject to the fulfillment at or prior to the Effective Time of the additional condition that Parent or an affiliate thereof shall have purchased all Shares validly tendered and not withdrawn pursuant to the Offer.

ARTICLE VI

MISCELLANEOUS

- 6.01 <u>Termination</u>. This Agreement may be terminated at any time prior to the Effective Time, whether or not it has been approved by the stockholders of the Company:
- (a) by the mutual written consent of the Board of Directors of the Company and the Board of Directors of Parent;
- (b) by Parent if the Offer is terminated or Shares are not purchased or paid for pursuant to the Offer due to the occurrence of any of the conditions set forth in Section 16 of the Offer to Purchase, as amended by this Agreement:
- (c) by the Company if the Offer is terminated due to the occurrence of any of the conditions set forth in Section 16 of the Offer to Purchase, as amended by this Agreement;
- (d) if Parent or Offer Sub shall not have purchased Shares pursuant to the Offer, by either Parent or the Company

- if the Merger has not been consummated on or before September 1, 1985, which date may be extended by the mutual written consent of the Board of Directors of the Company and the Board of Directors of Parent; or
- (e) by either Parent or the Company if any permanent injunction or other final nonappealable order, decree or ruling issued by a court of competent jurisdiction within the United States is in effect which would prevent the consummation of the Merger.
- 6.02 <u>Liabilities in Event of Termination</u>. In the event of any termination of this Agreement pursuant to Section 6.01 of this Agreement, the Company, Parent and Offer Sub shall have no obligation or liability to each other except as provided in Sections 4.08(b) and (c) and 6.04(c) and except that nothing herein will relieve any party from liability for any willful breach of this Agreement.
- 6.03 <u>Survival</u>. None of the representations, warranties or covenants contained in this Agreement shall survive the Merger except as provided in Sections 2.04 (a) (second and third sentences), 2.06, 4.07, 4.08(f), 4.09, 4.10, 4.11 (second sentence), 6.05 and 6.07.
- 6.04 Fees and Expenses. (a) Except as otherwise contemplated by this Agreement, each party hereto shall pay its own costs and expenses incident to its negotiation and preparation of this Agreement and to its performance of and compliance with all agreements and conditions contained herein to be performed or complied with by it.
- (b) Except for Goldman, Sachs & Co. and a reimbursement of expenses of not more than \$1,205,000 payable
 to Forstmann Little & Co., the Company hereby represents and
 warrants to Parent with respect to the Company, and except
 for Morgan Stanley & Co. Incorporated, Parent hereby represents and warrants to the Company with respect to Parent,
 that no person or entity is entitled to receive from the Company or Parent, respectively, any brokerage, finder's or other
 similar fee or commission in connection with the Offer or the
 Merger or the transactions contemplated by this Agreement or
 any proposed transaction or arrangement with Forstmann Little
 & Co. or relating thereto.
- (c) In the event that (i) the Board of Directors of the Company withdraws its recommendation of the Offer or of the Merger or recommends a proposal by a party other than Parent or an affiliate of Parent for the acquisition of the

Company or (ii) if anyone (other than Parent or an affiliate of Parent) acquires more than 25% of the Shares outstanding as of the date hereof, then the Company shall reimburse Parent for expenses incurred in connection with its proposed acquisition of the Company, whether or not incurred prior to, at or after the date hereof, provided that the Company shall not be obligated to pay Parent more than \$3 million pursuant to this Section 6.04.

- Indemnification and Insurance. Parent agrees 6.05 that all rights to indemnification now existing in favor of the employees, agents, directors or officers of the Company (the "Indemnified Parties") as provided in the charter or by-laws of the Company in effect on the date of the Memorandum shall survive the Merger and shall continue in full force and effect for a period of 5 years from the Effective Time. Without limiting the foregoing, in the event any Indemnified Party becomes involved in any capacity in any action, proceeding or investigation in connection with any matter, including the transactions contemplated hereby, occurring prior to, and including, the Effective Time, Parent will, subject to the provisions of Section 145(e) of the GCL relating to the receipt of an undertaking in connection with the advancement of expenses, periodically advance to such Indemnified Party its legal and other expenses (including the cost of any investigation and preparation incurred in connection therewith). Parent shall cause to be maintained in effect for not less than one year from the Effective Time the current policies of the directors' and officers' liability insurance maintained by the Company (provided that Parent may substitute therefor policies of at least same coverage containing terms and conditions which are no less advantageous so long as no lapse in coverage occurs as a result of such substitution) with respect to all matters, including the transactions contemplated hereby, occurring prior to, and including, the Effective Time.
 - 6.06 Waiver and Amendment. Any provision of this Agreement may be waived at any time by the party which is, or whose stockholders are, entitled to the benefits thereof. This Agreement may not be amended or supplemented at any time except by an instrument signed on behalf of each party hereto; provided, that after this Agreement has been adopted by the stockholders of the Company no such amendment shall reduce the amount or adversely change the consideration to be paid to the stockholders of the Company in the Merger or alter or change any of the terms or conditions of this Agreement if such alteration or change would adversely affect the stockholders of the Company.

- 6.07 Employee Benefit Plans. After the Effective Time, Parent agrees to cause the Surviving Corporation to continue in effect the present incentive compensation and employee benefit plans of the Company with the same rights to amend or terminate such compensation or benefit plans as exist as of the date of the Memorandum.
- 6.08. Applicable Law. This Agreement shall be governed by and construed in accordance with the substantive law of the State of Delaware without giving effect to the principles of conflicts of laws thereof.
- 6.09 Section Headings. The descriptive headings contained herein are for convenience of reference only and shall not affect in any way the meaning or interpretation of this Agreement.
- 6.10 Notices. All notices or other communications hereunder shall be in writing and shall be deemed to have been duly given if delivered personally or sent by registered or certified mail, postage prepaid, with return receipt requested, addressed as follows:

If to Parent

or Offer Sub, to: Cooper Industries, Inc.

First City Tower Suite 4000

P.O. Box 4446

Houston, Texas 77210

Attention:

Alan E. Riedel

With copies to:

Vinson & Elkins First City Tower

Houston, Texas 77002

Attention:

Campbell A. Griffin, Jr.

and

Wachtell, Lipton Rosen & Katz

299 Park Avenue

New York, New York 10171

Attention:

Lawrence Lederman

If to the Company to: McGraw-Edison Company

One Continental Towers

1701 Golf Road

Rolling Meadows, Illinois 60008

Attention: William R. Rawson

With a copy to: McDermott, Will & Emery

111 West Monroe Street Chicago, Illinois 60603

Attention: Frank E. Babb

Skadden, Arps, Slate, Meagher & Flom 919 Third Avenue

New York, New York 10022

Attention: Roger Aaron

- 6.11 Counterparts. This Agreement may be executed in any number of counterparts, each of which shall be deemed to be an original but all of which together shall be deemed to be one and the same instrument.
- 6.12 Assignment. This Agreement may be assigned by Parent to any direct or indirect wholly owned subsidiary of Parent, but no such assignment shall relieve Parent of its obligations under this Agreement if Parent's assignee does not perform such obligations. Except as set forth in the preceding sentence, this Agreement shall not otherwise be assignable without the prior written consent of the Company.
- 6.13 Specific Performance. The parties hereto acknowledge that the award of damages would be an inadequate remedy for any breach of any of the provisions of this Agreement and agree that the remedy of specific performance shall be available to enforce the obligations of the parties hereunder.
- 6.14 Severability. If any term, provision, covenant or restriction of this Agreement shall be held by a court of competent jurisdiction to be invalid, void or unenforceable, the remainder of the terms, provisions, covenants and restrictions of this Agreement shall remain in full force and effect and shall in no way be affected, impaired or invalidated.

IN WITNESS WHEREOF, each of the parties hereto, has caused this Agreement to be executed on its behalf by its officers thereunto duly authorized, all as of the day and year first above written.

COOPER INDUSTRIES, INC.

By /s/ Roger A. Scott

Attest:

/s/ Campbell A. Griffin, Jr. Assistant Secretary

CI ACQUISITION COMPANY

By /s/ Roger A. Scott

Attest:

/s/ Campbell A. Griffin, Jr. Assistant Secretary

MCGRAW-EDISON COMPANY

By /s/ Edward J. Williams

Attest:

/s/ William R. Rawson Secretary

SCHEDULE A

- (a) any change (or any condition, event or development involving a prospective change) shall have occurred in the business, properties, financial condition, results of operations or prospects of the Company or any of its subsidiaries, which, in the sole judgment of the Purchaser, is or may reasonably be expected to be materially adverse to the Company and its subsidiaries taken as a whole;
- (b) any action or proceeding shall be taken or instituted, or any statute, rule, regulation, judgment, order or injunction shall be introduced (with reasonable prospect of passage), enacted, promulgated, entered, enforced or deemed applicable to the Offer or the merger provided for in the Agreement and Plan of Merger (the "Merger Agreement") dated March 29, 1985 among the Company, the Purchaser and Cooper (the "Merger"), by or before any domestic court or governmental, administrative or regulatory agency, authority or instrumentality, or any official or representative of any of the foregoing, or by any other person, domestic or foreign, which (i) challenges the making or completion of the Offer or the Merger, (ii) would or, in the sole judgment of the Purchaser, is reasonably expected to restrict the ability of the Purchaser or Cooper to accept for payment or to pay for some or all of the Shares pursuant to the Offer, or to make the consummation of the Offer or Merger unduly burdensome to the Purchaser or Cooper, (iii) would or, in the sole judgment of the Purchaser, is reasonably expected to render the Purchaser or Cooper unable to accept for payment or to pay for some or all of the Shares pursuant to the Offer, (iv) would or, in the sole judgment of the Purchaser, is reasonably expected to make the acceptance for payment or payment for some or all of the Shares illegal or otherwise restrict or prohibit consummation of the Offer or the Merger, (v) seeks to or would prohibit ownership or require the divestiture by Cooper or the Purchaser or any of Cooper's subsidiaries or affiliates of any Shares or impose any material limitation on the ability of any of them to acquire or own Shares, (vi) may impose any limitation on the ability of the Purchaser or Cooper or any of Cooper's subsidiaries or affiliates to exercise effectively all rights of ownership with respect to the Shares, including the right to vote all Shares purchased by it on all matters properly presented to the stockholders of the Company, (vii) may impose any limitation upon the ability of the Purchaser or Cooper or any of Cooper's subsidiaries or affiliates effectively to control in any respect the businesses or operations of the Company or the Purchaser or Cooper

or any of their respective subsidiaries or affiliates, (viii) may prohibit or impose any limitation upon the Purchaser's or Cooper's ownership or operation of all or any material portion of the businesses or assets or properties of the Purchaser, Cooper or the Company (or any of their respective subthe Company to divest or hold separate all or any material portion of the businesses or assets of the Purchaser, Cooper or the Company (including the businesses or assets of any of their respective subsidiaries and affiliates) or impose any limitation on any of them in the conduct of their businesses, or (ix) may otherwise adversely affect the Purchaser, Cooper affiliates;

- (d) the Company, and Cooper or the Purchaser, shall have reached an agreement or understanding that the Offer be terminated or amended (other than any amendment of the Offer expressly provided for in the Merger Agreement);
- (f) a tender or exchange offer for any Shares shall have been made or publicly proposed to be made by another person, or it shall have been publicly disclosed or the Purchaser shall have learned that (i) any person, entity or "group" (as that term is used in Section 13(d)(3) of the Exchange Act) shall have acquired, or proposed to acquire, or shall have been formed which holds, more than 10% of the Shares, or shall have been granted any option or right, conditional or otherwise, to acquire more than 10% of the Shares, other than acquisitions for bona fide arbitrage purposes and other than acquisitions by any person, entity or group which has publicly disclosed such ownership in a Schedule 13D or 13G (or an amendment thereto) on file with the Commission on or prior to March 12, 1985, or (ii) any such person, entity or group which has publicly disclosed such ownership prior to such date shall have acquired or proposed to acquire additional Shares constituting more than 5% of the Shares or shall have been granted any option or right to acquire more than 5% of the Shares (except for acquisitions of Shares by the McGraw-Edison Company Profit Sharing Trust in accordance with the terms of such Trust in effect prior to the Offer, as disclosed in the Company's filings with the Commission made prior to March 22, 1985);
- (g) the Purchaser shall discover that any representation or warranty made by the Company in the Merger Agreement is untrue in any material respect;

- (h) the Company shall not have complied in all material respects with its obligations in the Merger Agree-
- (i) the Merger Agreement shall have been terminated in accordance with its terms;

CERTIFICATE OF OWNERSHIP AND MERGER

MERGING

McGraw-Edison Company

INTO

CI Acquisition Company

* * * *

CI Acquisition Company, a corporation organized and existing under the laws of Delaware,

DOES HEREBY CERTIFY:

FIRST: That this corporation was incorporated on the 22nd day of March, 1985, pursuant to the General Corporation Law of the State of Delaware.

SECOND: That this corporation owns all of the outstanding shares of the stock of McGraw-Edison Company, a corporation incorporated on the 17th day of November, 1926, pursuant to the Law of the State of Delaware.

THIRD: That this corporation, by the following resolutions of its Board of Directors, duly adopted at a meeting held on the 29th day of May, 1986, determined to and did merge into itself said McGraw-Edison Company.

RESOLVED, that CI Acquisition Company merge, and it hereby does merge into itself said McGraw-Edison Company, and assumes all of its obligations; and

FURTHER RESOLVED, that the merger shall be effective upon the date of filing with the Secretary of State of Delaware.

FURTHER RESOLVED, that the proper offices of this corporation be and they hereby are directed to make and execute a Certificate of Ownership and Merger setting forth a copy of the resolutions to merge said McGraw-Edison Company and assume its liabilities and obligations and the date of adoption thereof, and to cause the same to be filed with the Secretary of State and a certified copy recorded in the office of the Recorder of Deeds of New Castle County and to do all acts and things whatsoever, whether within or without the State of Delaware, which may be in anywise necessary or proper to effect said merger; and

IN WITNESS WHEREOF, said CI Acquisition Company has caused this certificate to be signed by Alan E. Riedel, its President and attested by Roger A. Scott, its Secretary, this <u>29th</u> day of <u>May</u>, 1986.

CI ACQUISITION COMPANY

President

ATTEST:

Roger A. Scot

Secretary



BILL OF SALE

CI ACOUISITION COMPANY SELLER

MCGRAW-EDISON COMPANY PURCHASER

THIS BILL OF SALE is made and entered into this 30th day of May, 1986, by and between CI Acquisition Company ("Seller"), a Delaware corporation and McGraw-Edison Company, a Delaware corporation ("Purchaser")

WHEREAS, Purchaser, has authorized the transfer of certain of its assets relating to operations other than its Power Systems, Controls, Clark and Service operations, subject to certain of the liabilities relating to all operations other than its Power Systems, Controls, Clark and Service operations, to Purchaser, solely in exchange for 799,041 shares of the common stock of Seller,

NOW THEREFORE, in order to document the transfer of title to the assets transferred by Seller to Purchaser pursuant to such authorization and the understanding of the parties with respect thereto, the parties agree as follows:

- 1. <u>Transfer of Assets</u>. Seller hereby transfers, conveys and assigns to Purchaser all of Seller's right, title and interest in, to or under the "Transferred Assets" described in Section 2 hereof.
- 2. <u>Transferred Assets</u>. The Transferred Assets shall be all of Seller's assets, rights and properties of every kind and nature, wherever located, as

they exist on the close of business on the date hereof which are used in or related to all operations other than its Power Systems, Controls, Clark and Service operations, including but not limited to the following:

- (a) all real property, including any term or leasehold interests in real property;
 - (b) all leasehold interests in personal property;
 - (c) cash, bank accounts and lockboxes, including the contents thereof;
 - (d) accounts and notes receivable;
- (e) actual or potential claims against any other party, whether or not such claims have been asserted by Seller or disputed by such other party;
- (f) all inventories (including raw materials, service parts, purchased parts and goods, work in process, and finished goods);
- (g) all machinery, equipment, vehicles, furniture, fixtures, and leasehold improvements;
- (h) all technical, manufacturing or marketing information, including new developments, inventions or ideas, trade secrets, know-how, formulae, blueprints and engineering drawings;
- (i) all patents and copyrights and all applications and registrations therefor:
 - (j) all trademarks;
 - (k) all computer software;
 - (1) all office, manufacturing, building and maintenance supplies;

- (m) all customer files and all rights under sales contracts, customer orders, service agreements, purchase orders, dealer and distributorship agreements, and domestic and foreign licensing agreements;
 - (n) all stock holdings;
- (o) all other assets, rights, properties and operations of the Seller used in or related to all operations other than its Power Systems, Controls, Clark and Service operations.
- 3. <u>Assumption of Obligation</u>. Purchaser hereby assumes all of the Seller's obligations and liabilities of every kind and nature, as they exist on the close of business on the date hereof which are related to the operation of all operations other than its Power Systems, Controls, Clark and Service operations, including the following:
- (a) all future obligations of Seller under all executory contracts, such as leases, sales contracts, customer orders, service agreements, purchase orders, dealer and distributorship agreements, employment contracts, collective bargaining agreements and any other contracts or agreements assigned by Purchaser pursuant hereto;
- (b) all liabilities and obligations to employees of the Seller, including liabilities and obligations for salaries and wages, health and workmen's compensation insurance benefits, accrued vacation, sick leave, holiday pay or severance pay, pension, profit sharing, or other employee benefit or perquisite plans;
- (c) all obligations of Seller under warranties for repairs, replacement and refunds with respect to products manufactured or distributed by Seller;

- (d) all debts and accounts payable;
- (e) all contingent and accrued liabilities;
- (f) any liabilities for any claim for injury to persons or damage to property resulting or alleged to be resulting from any products, property, act, or omission to act of all operations other than its Power Systems, Controls, Clark and Service operations.
- 4. <u>Power of Attorney</u>. Seller hereby constitutes and appoints Purchaser, its successors and assigns, the true and lawful attorneys of Seller with full power of substitution, in the name of Seller, or otherwise, and on behalf and for the benefit of Seller, its successor and assigns, to demand and receive from time to time all of the Transferred Assets hereby transferred, conveyed or assigned or intended so to be; to give receipts, releases and acquittances for or in account of Purchaser, all other items transferred to Purchaser as provided herein, and to endorse with the name of Seller any checks received on account of any such items; and to defend and compromise any and all actions, suits or proceedings in respect of any of the properties hereby assigned and transferred or intended so to be, as the Purchaser, its successors and assigns shall deem desirable. Seller hereby declares that the foregoing powers are coupled with an interest and shall be irrevocable.
- 5. <u>Further Assurances</u>. Seller agrees that it will from time to time, at the request of Purchaser, execute and deliver to Purchaser all other and further instruments necessary to vest in Purchaser full right, title and interest in or

to any of the assets, rights or property which this Agreement purports to transfer to Purchaser, and Purchaser will execute and deliver such further instruments and take such other action as Seller may require to more effectively assume the obligations which this Agreement purports to transfer to Purchaser.

IN WITNESS WHEREOF, the parties have caused this Agreement to be duly executed as of the date first written above.

CI ACQUISITION COMPANY

MCGRAW-EDISON COMPANY

By: Voyallett

By: Oggal Clark

RSC

Delaware

The First State

I, HARRIET SMITH WINDSOR, SECRETARY OF STATE OF THE STATE OF DELAWARE, DO HEREBY CERTIFY THE ATTACHED IS A TRUE AND CORRECT COPY OF THE CERTIFICATE OF OWNERSHIP, WHICH MERGES:

"MCGRAW-EDISON COMPANY", A DELAWARE CORPORATION,

WITH AND INTO "COOPER INDUSTRIES, INC." UNDER THE NAME OF "COOPER INDUSTRIES, INC.", A CORPORATION ORGANIZED AND EXISTING UNDER THE LAWS OF THE STATE OF OHIO, AS RECEIVED AND FILED IN THIS OFFICE THE THIRTIETH DAY OF NOVEMBER, A.D. 2004, AT 7:03 O'CLOCK P.M.

A FILED COPY OF THIS CERTIFICATE HAS BEEN FORWARDED TO THE NEW CASTLE COUNTY RECORDER OF DEEDS.



Harriet Smith Windsor, Secretary of State
AUTHENTICATION: 3511306

DATE: 12-01-04

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040860704

State of Delaware
Secretary of State
Division of Corporations
Delivered 07:12 PM 11/30/2004
FILED 07:03 PM 11/30/2004
SRV 040860704 - 2059181 FILE

CERTIFICATE OF OWNERSHIP AND MERGER

MERGING

McGraw-Edison Company

INTO

Cooper Industries, Inc

Cooper industries, inc. a corporation organized and existing under the laws of the State of Ohio,

DOES HEREBY CERTIFY:

FIRST: That this corporation was incorporated on the 8th day of January, 1919, pursuant to the General Corporation Law of the State of Ohio, the provisions of which permit the merger of a subsidiary corporation of another state into a parent corporation organized and existing under the laws of said state.

SECOND: That this corporation owns all of the outstanding shares of the stock of McGraw-Edison Company, a corporation incorporated on the 11th day of April, 1985, pursuant to the General Corporation Law of the State of Delaware.

THIRD: That this corporation, by the following resolutions of its Board of Directors, duly adopted by the unanimous written consent of its members dated November 29, 2004 as filed with the minutes of the Board, determined to merge into itself said McGraw-Edison Company:

Merger with McGraw-Edison Company.

RESOLVED, that the Merger Agreement providing for the merger of McGraw-Edison Company, a Delaware corporation and wholly-owned subsidiary of the Corporation, with and into the Corporation be and hereby is authorized and approved;

RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized to execute and deliver on hehalf of the Corporation the Merger Agreement, substantially in the form and on the terms and conditions approved in the foregoing resolution, together with such additions, changes or amendments as such officer shall approve, his or her execution and delivery thereof to be conclusive evidence of such approval;

RESOLVED, that the merger shall become effective on November 30, 2004;

9:49AM

RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized for and on behalf of the Corporation to prepare, execute and file a Certificate of Ownership and Merger as prescribed by the laws of the State of Delaware;

RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized for and on behalf of the Corporation to prepare, execute and file a Certificate of Merger as prescribed by the laws of the State of Ohio; and

RESOLVED, that the officers of the Corporation be, and each of them hereby is, authorized for and on behalf of the Corporation to execute and deliver any and all other documents and instruments, make any and all filings and to take any and all actions as in their judgment may be necessary, desirable or appropriate (their taking of any such action to be conclusive evidence thereof), in order to carry out the intent and purposes of the foregoing resolutions.

FOURTH: That this corporation survives the merger and may be served with process in the State of Delaware in any proceeding for enforcement of any obligation of McGraw-Edison: Company as well as for enforcement of any obligation of the surviving corporation arising from the merger, including any suit or other proceeding to enforce the right of any stockholder as determined in appraisal proceedings pursuant to the provisions of Section 262 of the General Corporation Law of the State of Delaware, and it does hereby irrevocably appoint the Secretary of State of Delaware as its agent to accept service of process in any such suit or other proceeding. The address to which a copy of such process shall be mailed by the Secretary of State of Delaware is Cooper Industries, Inc., c/o General Counsel, 600 Travis, Suite 5800, Houston, TX 77002 until the surviving corporation shall have hereafter designated in writing to the said Secretary of State a different address for such purpose. Service of such process may be made by personally delivering to and leaving with the Secretary of State of Delaware duplicate copies of such process, one of which copies the Secretary of State of Delaware shall forthwith send by registered mail to Cooper Industries, Inc. at the above address,

IN WITNESS WHEREOF, Cooper Industries, Inc. has caused this Certificate to be signed by Terrance V. Helz, its Associate General Counsel and Secretary this 29th day of November, 2004.

COOPER INDUSTRIES, INC.

Associate General Counsel and

Terrance V. Helz

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

<u>December 30, 2004</u>

Date

Roberto L. Gomez

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		77	
McGRAW-EDISON COMPANY,		:	
		:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, INC.,		:	
		:	
	Registrant.	:	
		X	

DECLARATION OF KATHRYN BARRETT PARK

Kathryn Barrett Park declares pursuant to 37 C.F.R. §2.20 and 28 U.S.C. §1746:

- 1. I am Trademark Counsel of General Electric Company ("GE"),
 Schenectady, New York, and submit this declaration on behalf of the McGraw-Edison Company
 ("McGraw-Edison") in support of its motion for summary judgment in the referenced
 cancellation action.
- 2. I have reviewed McGraw-Edison's Petition for Cancellation, Registrant's United States Trademark Registration No. 2,324,402 for the mark LEDISON and Registrant's Internet website, http://www.mulelighting.com. I believe that I am competent to make this declaration and, except as otherwise stated, I have personal knowledge of the facts contained in this declaration.

- 3. The General Electric Company was founded more than 100 years ago by Thomas Edison, who invented improvements to the incandescent electric lamp that made it commercially viable. Thomas Edison was also the founder of Thomas A. Edison, Incorporated which is the successor to a family of other companies that marketed inventions of Thomas Edison under the EDISON brand name. Thomas A. Edison, Incorporated maintained manufacturing facilities and the famed Edison Laboratory in what is today renamed Edison, New Jersey.
- 4. It is my understanding that Petitioner McGraw-Edison is the successor to Thomas A. Edison, Incorporated and proprietor of EDISON trademarks as applied to a diverse line of electronic products, as well as rights of publicity in the name and persona of Thomas A. Edison.

GE's Use of the "GE EDISON" Trademark

- 5. Since at least as early as 1914, GE has been marketing a line of light bulbs under brand name GE EDISON. The GE EDISON trademark was registered by GE in the United States Patent and Trademark Office on July 25, 1916 as U.S. Registration No. 0111634. This mark has been used by GE continuously from its first introduction of electric light bulbs to the marketplace. As a result of this extensive use, the GE EDISON trademark has become a valuable property of GE which is recognized throughout the United States and the world.
- 6. The GE EDISON line of light bulbs is shown at GE's website

 http://ww.gelighting.com/na/home_lightin/products/edison_main.htm. (Annexed hereto as Exhibit 1)

- McGraw-Edison and its predecessor companies have recognized GE's rights in the GE EDISON trademark.
- 8. For more than 100 years, McGraw-Edison and GE have cooperated in policing the marketplace against unauthorized uses of the EDISON trademark. See e.g., Thomas A. Edison, Inc. v. Shotkin,, 69 F. Supp. 176; 1946 U.S. Dist. LEXIS 1904 (Dist. Col. 1946)(setting forth historical information concerning the Edison Family of companies and the consents that Thomas Edison granted to companies in the power generation field to use the EDISON trademark). This cooperation between McGraw-Edison and GE continues to the present day in the parties' marketing of their respective products lines, and in joint efforts to maintain the good will and reputation for excellence associated with the EDISON brand.

Registrant's Use of the Trademark LEDISON

- 9. I have reviewed registrant's Internet website and been advised about registrant's marketing and sale of LED light bulbs under the trademark "LEDISON". It is my belief that registrant's use of the trademark "LEDISON" in connection with the sale of light bulbs trades upon the established good will associated with GE's GE EDISON light bulbs as well as the many lighting and electrical products marketed by McGraw-Edison and its affiliated companies under the EDISON trademark, thereby diluting the distinctiveness of the EDISON and GE EDISON trademarks.
- 10. It is my further belief that registrant's use of the trademark "LEDISON" in connection with the marketing and sale of light bulbs will mislead the public to believe that McGraw-Edison, GE or other affiliated companies have sponsored or approved of registrant's

use of the "LEDISON" mark, thereby damaging the established reputation and goodwill associated with the EDISON name and trademarks.

Pursuant to the provisions of 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

Executed on the 20° day of December 2004.

Karhryn Barrett Park

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing

DECLARATION OF KATHRYN BARRETT PARK was mailed, first class, postage prepaid
to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L. Gome



GE Consumer & Industrial **Lighting**

SEA World

> Corporate > Contact Us > GE Around the World

Products

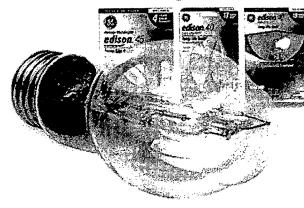
Design with Light

> Where to Buy

> Ask Us

Home ≥ Products : GE Edison™

GE Edison™ light bulbs





The Premium Lineup (Lighting Solutions.

Why settle for less than the I Showcase the beauty of you with the highest quality of lic exceptional white light of GE

About GE Edison



Brighter, Crisper, Whiter Light

GE Edison halogen bulbs provide the bright white light that makes your home look its best. That's why professionals choose GE Edison for exceptional results and longer bulb life.

Why Halogen?

Halogen lamps provide a small, highly efficient white light source that brings out the beautiful colors in your home. Unlike standard incandescent bulbs, halogen lamps use halogen gas which allows the bulbs to burn more intensely without sacrificing life.

Compared to Incandescent Bulbs, Halogen Bulbs Provide:

- Crisp, white light
- Excellent beam control
- High lumen maintenance (which means it stays bright over most of its life)
- · Energy savings
- Compact size
- · Long life

NOTE: Not all features available on all GE Edison products

Related Links



Pur GE lig bulbs save your

future.

Sign up at Upromito earn 3% toward child's college edu every time you pu GE Edison and Relight bulbs at partistores.

> Find out more

GE Edison bulbs a found in these pro categories. Make s look for the GE Ed brand!

- > General Purpose
- > Track & Recess€
- > Decorative Bulbs
- > Specialty Bulbs

Where to Buy Bulbs

See stores in your that sell GE light b

(Not all bulbs are : stores, so save tin calling ahead!)

> Halogen Facts Halogen light has a bad rap in recent y Find out why some common misconce are untrue.

> Home > Products > Design with Light > Where to Buy > Ask Us
> Press Room > Corporate > Investor Information > Privacy Policy > Accessibility Statement > Terms and Condi

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

December 30, 2004

Date

Roberto L. Gomeż

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Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

1 : 2

Mark: LEDISON		v	
McGRAW-EDISON C	COMPANY,	A :	
	•	:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, I	NC.,	:	
		:	
	Registrant.	:	
***************************************		X	

REDACTED

MEMORANDUM OF LAW IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT

Glenn F. Ostrager Joshua S. Broitman OSTRAGER CHONG FLAHERTY & BROITMAN P.C. 250 Park Avenue, Suite 825 New York, NY 10177-0899

Attorneys for Petitioner

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		v	
McGRAW-EDISON COMPANY,		:	
		:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, INC.,		:	
		:	
	Registrant.	:	
		X	

MEMORANDUM OF LAW IN SUPPORT OF PETITIONER'S MOTION FOR SUMMARY JUDGMENT

Preliminary Statement

Petitioner McGraw-Edison Company ("McGraw-Edison"), a wholly-owned subsidiary of Cooper Industries, Inc. ("Cooper")¹, is the successor to the publicity and commercial rights associated with the name, likeness, and signature of the famous inventor Thomas A. Edison (the "EDISON Publicity Rights") and the trademarks EDISON and THOMAS A. EDISON as applied to a variety of consumer and electronic products, such as lighting products.² The EDISON Publicity Rights and trademarks have been commercially exploited by Petitioner, its

¹ On December 1, 2004, McGraw-Edison was merged into Cooper, thus all McGraw-Edison assets are now owned by Cooper. The term "Petitioner" is used herein to refer to both McGraw-Edison, pre-December 1, 2004, and Cooper thereafter. Petitioner is filing herewith a separate motion to substitute Cooper as the petitioner in this action.

² Among Thomas Edison's many great achievements is the invention of the first practical electrical incandescent light bulb and power generation technologies that power and light the modern world.

predecessors and their affiliated companies for over a century, and have acquired enormous goodwill and consumer value.

Notwithstanding Petitioner's long-standing prior rights, Registrant Mule Lighting, Inc. commenced using an "Edison" mark in combination with the letter "L" in connection with the sale of light bulbs, and registered the mark LEDISON as U.S. Trademark Registration No. 2,324,402 ("the '402 Registration") as applied to "light emitting diodes and light emitting diode displays", i.e., light bulbs. Petitioner brought this action to cancel the '402 Registration.

In this motion, Petitioner shows that there are no genuine issues of material fact regarding the parties' marks or their respective uses of the marks, and that Petitioner is entitled to summary judgment canceling the '402 Registration on grounds that the LEDISON mark: (i) is likely to cause confusion with Petitioner's EDISON trademark, or to cause mistake, or to deceive in violation of 15 U.S.C. § 1052(d) (POINT I, *infra*); (ii) falsely suggests a connection with Thomas Edison in violation of 15 U.S.C. § 1052(a) (POINT II, *infra*); and (iii) dilutes the distinctiveness of Petitioner's EDISON trademark in violation of 15 U.S.C. § 1125(c)(1)(POINT III, *infra*). It is manifest that Registrant's unauthorized use of a mark substantially identical to Petitioner's EDISON trademark as applied to light bulbs, perhaps the most famous of Thomas Edison's inventions, is intended to exploit the commercial value associated with the EDISON name, persona, and trademark that has been developed for over a century by Petitioner, its predecessors and its affiliated companies.

³ In support of Petitioner's motion, Petitioner submits the Declarations of: Glenn Siegel, a Director of Marketing and Product Development for the Cooper Lighting Division of Cooper Industries, Inc. (the "Siegel Decl."); Terrance Helz, Corporate Secretary of Cooper Industries, Inc. (the "Helz Decl."); Kathryn Barrett Park, Trademark Counsel of General Electric Company (the "Park Decl."); Carolyn M. Coley, a Marketing Manager for Salton, Inc. (the "Coley Decl."); and Joshua S. Broitman, Petitioner's counsel, (the "Broitman Decl.") with Exhibits PX 1 – PX 10, annexed thereto (hereinafter, "PX")

FACTUAL BACKGROUND

A. Petitioner and Affiliated Companies

Petitioner, its predecessors and affiliated companies, including Thomas A. Edison and his company Thomas A. Edison, Incorporated, have actively marketed diverse lines of consumer and electronic products for more than a century under the brands EDISON and THOMAS A. EDISON, and for nearly fifty years under the brand MCGRAW-EDISON (collectively, the "EDISON Trademarks"). (Helz Decl., ¶¶ 2, 11-12; Siegel Decl, ¶ 4)

1. Thomas A. Edison and His Company

Throughout the late 1800s and early 1900s, Thomas A. Edison invented and developed hundreds of commercial products, perhaps most notably the first practical electrical incandescent light bulb. (PX 3; Deposition of Robert P. Cross ("Cross Dep."), p. 108, annexed as PX 1 to the Broitman Decl.) It is not disputed that Thomas Edison is recognized as one of the most distinguished inventors in American history, and that Mr. Edison's name is associated with light bulbs. (PX 3; PX1-Cross Dep., pp. 21-22)

Thomas Edison and his company, Thomas A. Edison, Incorporated, of West Orange,
New Jersey, also marketed diverse lines of industrial power equipment, consumer electronics and
electrical home appliances under the brands THOMAS A. EDISON and EDISON. (Helz Decl.,
¶ 2) During his lifetime, Mr. Edison vigorously exercised and protected the commercial and
publicity rights in his name and likeness, including the assertion of such rights in the New Jersey
Courts where he resided. *See, e.g., Edison v. Edison Polyform Mfg. Co.*, 67 A. 392, 395 (N.J.
Ch. 1907). Because of Thomas Edison's contributions to the advancement of science and
technology, particularly in the fields of lighting and electronic products, and the widespread
commercial activity under the EDISON Trademarks by Mr. Edison and his company, the

EDISON Trademarks became among the most famous in the world. Likewise, the "Edison" name is one of the most recognized names in American history, immediately identifying Thomas Edison and his achievements.

Prior to his death, Mr. Edison, by Assignment dated November 24, 1930, transferred his commercial and publicity rights to his company. Among the concerns addressed by Mr. Edison in the Assignment was the need to protect the public against deception from unauthorized uses of his name, likeness and signature. (Helz Decl. ¶ 4; Ex. 1) The Assignment provides, in part:

I am informed and believe that the capital invested in American Industries based upon or substantially affected by my inventions and discoveries exceeds twenty billion dollars. I realize that the public has for a great many years associated my name with the industries based upon my inventions and discoveries and also with the articles of commerce manufactured by the companies in which I am interested. I do not wish my name to be used for commercial purposes indiscriminately by concerns with which I have had no association. ...I realize also that the public has placed faith and credit in the industries that use my name with my authority and in the articles of commerce manufactured by the companies in which I am interested. I desire, as completely as possible, to protect the industries which rightfully use my name, to justify the public's faith in my name in these industries, and to prevent, as far as I may be able to do so, the unauthorized commercial use of my name, portrait and signature.

* * *

In furtherance of these purposes, I, Thomas A. Edison, of West Orange, New Jersey, therefore assign, transfer and convey to you, Thomas A. Edison, Incorporated, a New Jersey corporation, having your principal office at West Orange, New Jersey, your successors and assigns, all rights not heretofore conveyed, in and to the names EDISON and THOMAS A. EDISON for commercial purposes and for the promotion of research and inventions in whatsoever forms the same may be used, including my signature, which is now extensively used as a trade mark by you; and also my rights for the use for commercial purposes of all portraits, photographs and pictures of myself.

It is my desire that no persons or concerns, not duly authorized so to do, shall use my name, signature or portrait for commercial purposes unless permission be first obtained from you, your successors or assigns, and I trust that my wish will be respected, but if not I direct that unauthorized users of my name, signature or portrait be vigorously prosecuted.

2. Petitioner's Acquisition and Use of the "Edison" Commercial Rights

Thomas A. Edison, Incorporated subsequently sold substantially all of its assets to McGraw-Edison Company of Elgin, Illinois ("McGraw-Edison-Elgin"), including the EDISON Trademarks and EDISON Publicity Rights. (Helz Decl. ¶ 5, Exs. 2, 3) Thereafter, Cooper acquired McGraw-Edison-Elgin, which was restructured as Petitioner McGraw-Edison Company. McGraw-Edison Company later merged into Cooper. (Helz Decl., ¶ 9, Ex. 7)

Throughout the foregoing transition period, the EDISON Trademarks continued to be used in connection with commercial products by Petitioner and its predecessors. Since at least 1958, McGraw-Edison-Elgin and, later, McGraw-Edison Company and Cooper used the EDISON Trademarks on a diverse line of consumer electric and electronic products, including lighting fixtures. (Helz Decl., ¶ 11; Siegel Decl., ¶ 4) In the mid-1980's, the "Edison" name was used as part of Petitioner's trade name in connection with their lighting products – "Edison Lighting". (Siegel Decl., ¶ 8, Ex. 6)

In recent years, Cooper Lighting has marketed electric lighting fixtures and buss fuses under the EDISON Trademarks through electrical wholesalers and distributors, "Do-It-Yourself" stores and retail channels such as Home Depot. (Siegel Decl., ¶10) Cooper Lighting also sells several product lines that include LEDs, such as LED traffic signals and emergency exit signs. (Siegel Decl., ¶ 5, Exs. 4, 5) All of these products are directly competitive with Registrant's

⁴ Cooper is a holding company for Petitioner and affiliated companies including Cooper Lighting, Inc. ("Cooper Lighting") and Cooper Power Systems, Inc. ("Cooper Power Systems") that market diverse lines of industrial and consumer electronic products under the EDISON Trademarks. (Helz, ¶¶ 12-13)

⁵ In the acquisition, McGraw-Edison-Elgin later became a subsidiary of CI Acquisition Company, which was, in turn, a subsidiary of Cooper. (Helz Decl., ¶ 6, Ex. 28) In 1986, McGraw-Edison-Elgin merged with the CI Acquisition Company. (Helz Decl., ¶ 7, Ex. 29) Cooper later changed the name of one of its subsidiaries to Petitioner McGraw-Edison Company and certain assets of CI Acquisition Company, including the EDISON Trademarks and EDISON Publicity Rights were sold to McGraw-Edison. (Helz Decl., ¶ 8, Ex. 6).

product lines. (Siegel Decl., ¶ 16; PX1-Cross Dep., pp. 13-14, 39, 50-52, 106, 113)

Petitioner and its affiliated companies promote their EDISON brand products through catalogs, Internet advertising, brochures, point-of-sale displays, co-op advertising, and by attending trade shows throughout the country. (Siegel Decl., ¶¶ 4-5, 11) Petitioner has expended in excess of one million dollars for such promotions in the past year. (*Id.*)

Sales of products under the EDISON Trademarks over the last century by Petitioner, its predecessors and affiliates are believed to have totaled billions of dollars. Over the last ten years, Cooper Lighting's sales under the EDISON Trademarks have totaled hundreds of millions of dollars and are presently in excess of 20 million dollars per year. (Siegel Decl., ¶ 7)

Cooper Power Systems markets power generation equipment, computer hardware and software under the EDISON Trademarks to public utilities throughout the country. (Helz Decl., ¶ 13) In connection therewith, Cooper owns and operates the THOMAS A. EDISON TECHNICAL CENTER in Franksville, Wisconsin, an internationally recognized laboratory devoted to research and development of electric power technology. (*Id*; PX 4)

Petitioner and its affiliated companies are also engaged in developing new products as well as licensing opportunities for the EDISON Trademarks, and in protecting these rights against unauthorized use. (Siegel Decl., ¶ 13; Helz Decl. ¶ 14; PX 5) Petitioner, through affiliates, is presently planning to expand its offerings of EDISON brand consumer electronic products. (Siegel Decl., ¶¶ 13-14; Coley Decl., ¶ 5) Apart from its U.S. marketing activities, Cooper recently began construction of a 30 million dollar EDISON TECHNICAL CENTER in Shanghai, China. (Helz Decl., ¶ 14) Among other objectives, Petitioner and its affiliates plan to develop new generations of EDISON products for worldwide distribution. (*Id.*; Siegel Decl., ¶¶ 13-14; Coley Decl., ¶ 5)

Petitioner's licensee, Salton, Inc. ("Salton") and its predecessor Toastmaster, Inc. ("Toastmaster") have also marketed EDISON brand consumer electronic appliances. (Coley Decl., ¶¶ 4-8; Helz Decl., ¶¶ 12) Beginning in or about 1980, Toastmaster commenced marketing home appliances, such as, fans and humidifiers, under the EDISON Trademarks. (Coley Decl., ¶¶ 4, Ex. 1) Salton acquired Toastmaster in 1999 and continued product sales pursuant to license with Petitioner under the EDISON Trademarks. (Coley Decl. ¶¶ 2, 5, 9) Toastmaster markets its EDISON brand products through national retail outlets, such as Wal-Mart and K-Mart. (Coley Decl. ¶§ 5) In December 2001, Salton commenced marketing a line of home appliances under the EDISON brand, including toaster-oven broilers, blender/chopper combo unit, coffee urns, rice cookers, and electric skillets. (Coley Decl., ¶§ 6, Exs. 2-5) Salton has recently introduced a line of EDISON home appliances on the QVC Home Shopping Network and QVC internet website at http://www.qvc.com. (Coley Decl., ¶§, Exs. 6-7)

As a result of the foregoing commercial activity by Mr. Edison and his company during his lifetime and thereafter by successor companies and their licensees, Mr. Edison's name, likeness and signature, and the EDISON Trademarks, have acquired enormous goodwill and consumer value.

3. Petitioner's U.S. Trademark Registrations for the EDISON Trademarks

Petitioner owns the following federal registrations for the EDISON Trademarks (status and title copies of which are submitted with this motion as PX 10):

TRADEMARK	REG. NO.	REG. DATE	FIRST USE	CLASS	GOODS
EDISON	372,127	10/24/1939	07/23/1936	009	Electrical switches and electric relays
EDISON	409,187	09/19/1944	06/1940	009	Indicating instruments- namely, temperature indicators and indicating instruments or units each comprising an assembly of a temperature indicator, a pressure gauge, and a differential pressure gauge
EDISON	1,288,874	08/07/1984	09/01/1974	009	Indoor and outdoor electric light fixtures
EDISON	1,636,822	03/05/1991	08/24/1987	009	Electric lighting fixtures
THOMAS A. EDISON TECHNICAL CENTER	1,644,681	05/14/1991	12/1959	042	Electrical testing and analytical services for the electric power generation, transmission and distribution industry
THOMAS A. EDISON (signature logo)	2,443,841	04/17/2001	01/1958	011	Electric lighting fixtures and components therefore; namely, electric ballasts, electrical transformers and track lighting units
THOMAS A. EDISON	2,495,399	10/09/2001	01/1958	011	Electrical lighting fixtures and components therefore; namely, electric ballasts, electrical transformers and track lighting units
EDISON	2,726,711	06/17/2003	12/06/2001	011	Electric kitchen appliances for domestic use; namely, counter-top toaster, broiler and cooking ovens, electric skillets and coffee makers and coffee urns
MCGRAW- EDISON	2,294,981	11/30/1999	06/09/1958	011	Electric lighting fixtures
MCGRAW- EDISON	2,015,393	11/12/1996	02/10/1994	009	Transformers, voltage regulators, power capacitors, fuses, fault indicators,

B. Third-Party Uses of the EDISON Trademarks

The EDISON brand and name have acquired enormous fame through Petitioner's, and its predecessors', marketing activities under the EDISON Trademarks – which span more than a century. (Siegel Decl., ¶ 12; Coley Decl., ¶ 10) The fame of the EDISON Trademarks has been further enhanced by Petitioner's cooperation with a "family" of Edison companies, all founded by or otherwise associated with Thomas A. Edison, which market consumer products under the EDISON Trademarks (the "Edison Family of Companies"). Petitioner and the Edison Family of Companies have cooperated to police the market against unauthorized infringements of the EDISON Trademarks. (Park Decl., ¶ 8; Broitman Decl., ¶ 6, PX 5)

1. The Edison Legacy

In 1878, Thomas Edison and a group of investors established Edison Electric Light Company ("EELC"), the predecessor to the General Electric Company ("GE"), to develop power generation technologies (the "Edison Technology"). (PX 6, ¶ 47) Power utilities throughout the country that licensed the Edison Technology were permitted to use the "Edison" name as a part of their company names. (PX 6, ¶ 48) Thus, for more than a century, a variety of electric utility companies throughout the country have used the "Edison" name in the power generation field. As recent as 1985, SCE Corp, a California utility company, obtained Petitioner's consent to rename its company "Edison International". (PX 6, ¶ 62)

2. The General Electric Company

Among Thomas Edison's greatest achievements was the invention of the electric light bulb. For nearly a century, with the consent of Petitioner and its predecessors, GE has marketed a line of electric light bulbs under the trademark "GE EDISON". (Park Decl., ¶¶ 4-7) GE markets its GE EDISON line bulbs nationally to the general public in hardware and convenience

stores, as well as through national chain stores. GE's product is shown at its website.⁶ (Park Decl., ¶ 6) GE's representatives concur that Registrant's marketing of LEDISON light bulbs evokes an association with GE's long established GE EDISON trademark and Petitioner's EDISON Trademarks. (Park Decl., ¶¶ 9-10)

C. Registrant's LEDISON Mark

1. The '402 Registration

Registrant filed Application Serial Number 75/674,056 on April 5, 1999 for the mark LEDISON (in block letters) based on its use of the mark in connection with "light emitting diodes and light emitting diode displays" in International Class 009. (PX 7) The application asserted first use of the LEDISON mark in interstate commerce since December 1998, long after Petitioner's first and extensive use of the EDISON Trademarks. Registrant's '402 Registration issued February 29, 2000.

2. Registrant's Use of the LEDISON Mark

Like Petitioner and its affiliated company Cooper Lighting, Registrant is a manufacturer of lighting equipment such as emergency and exit lighting, compact fluorescent lighting, and commercial and industrial lighting. (PX 1-Cross Dep., pp. 5, 9-11; PX 8; Siegel Decl, ¶ 3)

Registrant's product line also includes solid-state LED light bulbs for applications such as exit fixtures and emergency lighting units, as well as decorative, accent and general purpose lighting.

⁶ http://www.gelighting.com/na/home_lighting/products/edison/mail.htm

⁷ The application further asserted that the "mark is used by placing it on the goods, by casting, molding, stamping, or directly imprinting thereon, by use on tags or labels affixed to the goods, or on the containers in which they are shipped, or when such placing is impractical on documents associated with the goods or their sale...." However, Registrant only uses the LEDISON mark on a specification sheet, and has never applied the LEDISON mark to the goods or the containers or packaging for the goods. (PX 1-Cross Dep., pp. 34-35; PX 7, p. 00148).

 $(Id.; PX 11)^8$.

Registrant's apparent strategy for presenting its series of light bulbs to the marketplace was to seize upon Thomas Edison's fame, commercial endeavors, and reputation of excellence and innovation. To that end, Registrant employed the "Edison" name in its "LEDISON Series" light bulbs, which are equivalent to conventional incandescent light bulbs of the type invented and first commercialized by Thomas Edison. (Id.; PX 12) This brand presentation unmistakably associates Registrant's LEDISON light bulbs with Thomas Edison. This is just the sort of indiscriminate misuse of the Thomas Edison name and persona that Mr. Edison sought to guard against by assigning these rights to his company.

Registrant sells its products, including its LEDISON series of light bulbs, throughout the United States to energy service companies and electrical wholesalers and distributors, who then resell the products to entities such as electrical contractors, industrial and plant facility managers and public utilities. (PX 1-Cross Dep., pp. 6, 8, 10, 115-116; PX 2-Registrant's Answers to Petitioner's First Set of Interrogatories, No. 5 ("Registrant's Interrogatory Answers")). It is not disputed that the types of products sold by Petitioner are sold by the same types of electrical wholesalers and distributors that sell Registrant's products. (PX 1-Cross Dep., p. 111; Siegel Decl., ¶ 10; PX 10) Registrant also does not dispute that Cooper and its Cooper Lighting division are direct competitors of Registrant. (PX 1-Cross Dep., pp. 13-14). For example, Cooper Lighting's "AtLite" and "Sure-Lites" brand emergency lighting and exit signs directly

⁸ The LED light bulbs are sold under the trademark DYNALUX, and the trademark MULE appears on the base of the bulbs. (PX 11)

⁹ Registrant admitted that its LEDISON light bulbs compete with all types of light bulbs, including incandescent bulbs, for commercial and residential applications. (PX 1-Cross Dep., pp. 39, 50-52).

¹⁰ The record shows that Thomas Edison and the EDISON Trademarks are closely identified and associated by the public with the Edison Family of Companies. (Siegel Decl., ¶ 12; Coley Decl., ¶ 10; Park Decl., ¶¶ 4-8)

limited. The LEDISON mark does not appear on the product or the packaging. Further,

Registrant's sales under the LEDISON brand have been quite limited, less than over a

seven-year period, and the Registrant's LEDISON series light bulbs have been in the

marketplace for a relatively short period of time. See 3 McCarthy on Trademarks, § 23:18, p.

23-68 ("The absence of evidence of actual confusion is less significant when the period in which
the two marks have coexisted is relatively short.").

In sum, an examination of the confusion factors establishes that Registrant's use of the LEDISON mark in connection with the marketing and sale of light bulbs is likely, if not certain, to cause confusion over the source and/or sponsorship of the light bulbs, in violation of Section 2(d) of the Lanham Act.

II. Registrant's LEDISON Mark Falsely Suggests A Connection With Thomas Edison

Petitioner asserts that Registrant's LEDISON mark as applied to light bulbs should be canceled because it falsely suggests a connection to Thomas Edison in violation of Section 2(a) of the Lanham Act, 15 U.S.C. § 1052(a). In determining a Section 2(a) violation, The Board should consider the following:

(1) that the mark is the same as, or a close approximation of, the name or identity previously used by another person or institution; (2) the mark would be recognized as such, in that it points uniquely and unmistakably to that person or institution; (3) the person or institution named by the mark is not connected with the activities performed by [Registrant] under the mark; and (4) the fame or reputation of the person or institution is such that, when the mark is used with [Registrant's] goods or services a connection with the person or institution would be presumed.

In re Julie White, 2002 TTAB LEXIS 529 at *15 (TTAB 2004). 15

¹⁵ Section 2(a) has its roots in rights of privacy and publicity, i.e., the right to control use of one's identity, personality or persona. *Id*.

opinion from his son-in-law, and proceeded to market LEDISON light bulbs. 11 (PX 1-Cross Dep., pp. 22, 23, 30-32)

Registrant's assertion in this action that its marketing strategy is not designed to trade on the EDISON Trademarks and "Edison" fame is beyond preposterous. Registrant itself identifies its LEDISON series light bulbs with "Edison", as evidenced by a hand-written note stating "Edison Red" on a purchase order to Registrant's manufacturer. (PX 1-Cross Dep., pp. 63-64; PX 15). There can be no doubt that Registrant's target consumers also identify LEDISON light bulbs with Thomas Edison and Petitioner's EDISON brand commercial products.

ARGUMENT

PETITIONER IS ENTITLED TO SUMMARY JUDGMENT CANCELING U.S. REGISTRATION NO. 2,324,402

Summary judgment should be granted if, after reviewing the facts in the light most favorable to the non-moving party, no genuine issue of material fact remains. Fed.R.Civ.P. 56(c); Anderson v. Liberty Lobby, Inc., 477 U.S. 242, 247-48 (1986). To determine that a factual dispute is "genuine," the Board must decide that the evidence is such that a reasonable fact-finder could return a verdict for the non-moving party. Id. "The mere existence of some alleged factual dispute between the parties will not defeat an otherwise properly supported motion for summary judgment." Quaker State Oil Refining v. Garrity Oil Co., 884 F.2d 1510, 1513 (1st Cir. 1989) citing Anderson, 477 U.S. at 247-248; See also Levi Strauss & Co. v. Genesco, Inc., 222 USPQ 939 (Fed. Cir. 1984) (unsupported arguments not sufficient to defeat summary judgment).

Petitioner shows below that there are no genuine issues of material fact that preclude the Board from canceling the '402 Registration for the mark LEDISON in view of Petitioner's long-

¹¹ Registrant did not produce any documents or information evidencing that any further due diligence was conducted by Registrant or its counsel. (PX 1-Cross Dep., p. 36).

standing, prior use and registration of the marks EDISON, THOMAS A. EDISON and MCGRAW-EDISON as applied to similar goods. Therefore, the Board should not hesitate to dispose of this case on summary judgment. *See Sweats Fashions Inc. v. Pannill Knitting Co.*, 4 USPQ2d 1793 (Fed. Cir. 1987)(no relevant evidence to raise genuine issue of material fact).

I. Registrant's LEDISON Mark Is Likely To Cause Confusion With Petitioner's EDISON Trademarks

Petitioner asserts that Registrant's LEDISON mark as applied to LED light bulbs should be canceled because it so resembles Petitioner's previously used and registered EDISON Trademarks as to be likely to cause confusion, or to cause mistake, or to deceive in violation of Section 2(d) of the Lanham Act, 15 U.S.C. § 1052(d). To make out a *prima facie* claim under Section 2(d), Petitioner must establish: (1) that it has standing; (2) that its use and/or registration of the EDISON Trademarks was prior to the first use of the LEDISON mark by Registrant; and (3) that Registrant's use is likely to cause confusion, to cause mistake or to deceive. 15 U.S.C. § 1052(d). Here, likelihood of confusion is the central inquiry, as there can be no doubt that Petitioner has standing 12 and priority of use and registration 13.

The evidentiary factors the Board considers in determining likelihood of confusion are set out in *In re E.I. du Pont de Nemours & Co.*, 476 F.2d 1357, 1361, 177 USPQ 563 (CCPA 1973):

¹² Any person who believes it is or will be damaged by registration of a mark has standing to file a complaint. TBMP § 309.03(b). Petitioner's real commercial interest in protecting the registered EDISON Trademarks set forth above, alone, is manifest and justifies Petitioner's belief that it will be damaged by the '402 registration. *Federated Foods, Inc. v. Fort Howard Paper Co.*, 544 F.2d 1098, 1101, 192 USPQ 24 (CCPA 1976). Further, establishing a claim of likelihood of confusion is sufficient to show a real interest in the proceeding and that Petitioner has standing. *See Metromedia Steakhouses, Inc. v. Pondco II Inc.*, 28 USPQ2d 1205, 1209 (TTAB 1993).

¹³ Petitioner set forth in detail above undisputed facts concerning commercial use of the EDISON Trademarks over the last century by Petitioner, its predecessors and their affiliated companies. Petitioner also identified several U.S. Trademark Registrations for the EDISON Trademarks. All of Petitioner's use substantially predates Registrant's earliest date of use (December 2000) and its filing date (April 5, 1999).

(1) the similarity or dissimilarity of the marks in their entireties as to appearance, sound, connotation and commercial impression. (2) the similarity or dissimilarity and nature of the goods or services as described in an application or registration or in connection with which a prior mark is in use. (3) the similarity or dissimilarity of established likely-to-continue trade channels. (4) The conditions under which and buyers to whom sales are made, i.e., "impulse" vs. careful, sophisticated purchasing. (5) The fame of the prior mark (sales, advertising, length of use). (6) The number and nature of similar marks in use on similar goods. (7) The nature and extent of any actual confusion. (8) The length of time during and conditions under which there has been concurrent use without evidence of actual confusion. (9) the variety of goods on which a mark is or is not used (house mark, "family" mark, product mark). (10) The market interface between [registrant] and the owner of a prior mark... (11) the extent to which applicant has a right to exclude others from use of its mark on its goods. (12) The extent of potential confusion, i.e., de minimis or substantial. (13) Any other establish fact probative of the effect of use.

Significantly, a petitioner is not required to prove each of these factors, and no one factor is determinative. *Id.* at 1362. The "fundamental inquiry mandated by § 2(d) goes to the cumulative effect of differences in the essential characteristics of the goods and differences in the marks." *In re Louis Upkins*, 2002 TTAB LEXIS 212 at *2 (TTAB 2002), *quoting*, *Federated Foods*, *Inc. v. Fort Howard Paper Co.*, 192 USPQ at 29.

A. Strength of the EDISON Trademarks

The strength of the EDISON Trademarks cannot be overstated. As indicated above, Petitioner's EDISON Trademarks are among the most popular and recognizable marks in the world, and have been used for nearly a century in diverse fields, including the electric lighting industry in which Registrant markets its products. The EDISON Trademarks represent the innovation and fame of Thomas Edison, one of the most famous people in American history. The strength of the EDISION Trademarks is bolstered by use of the marks by Petitioner's licensees, and by the ongoing interest in the EDISON Trademarks by potential licensees. *See*,

Univ. of Georgia Athletic Assoc. v. Laite et al., 756 F.2d 1535, 1545 (11th Cir. 1985). Therefore, this factor weighs heavily in favor of a determination that a likelihood of confusion exits.

B. Registrant's LEDISON Mark is Substantially Identical to Petitioner's EDISON Trademarks

In an attempt to gain instant market recognition for its light bulbs, Registrant evoked the goodwill of the EDISON Trademarks and the fame associated with Thomas Edison by using a mark that simply adds the letter "L" to Petitioner's EDISON trademark. The addition of a letter to a previously used mark does not, however, serve to distinguish the marks. *In re James Raymond Bevan*, 2002 TTAB LEXIS 181 at *9 (TTAB 2002). This is especially true when the marks appear on virtually identical goods. *In re Louis Upkins*, 2002 TTAB LEXIS at *4 (the degree of similarity between the marks which is necessary to support a finding of likelihood of confusion declines when the goods are virtually identical)(*see* POINT I (C), *infra*)

The name "Edison" is the most prominent and dominant portion of both Petitioner's and Registrant's marks. Registrant's LEDISON mark not only looks and sounds strikingly similar to Petitioner's EDISON Trademarks, but the commercial impression of both marks is the same. See Id. (it is not improper to give more weight to the dominant portion of the marks when determining the commercial impression created by the marks). As set forth above, Petitioner's EDISON Trademarks have been in use for nearly a century and have acquired substantial good will and commercial value, immediately identifying the marks' connection to the famous inventor Thomas Edison. Registrant's LEDISON mark, as applied to light bulbs that are equivalent to the type commonly known to be invented by Thomas Edison, also unmistakably identifies Thomas Edison and therefore has the same connotation as the EDISON Trademarks. Even if purchasers note the slight difference in the marks, they are likely to believe that Registrant's LEDISON mark is simply a version of Petitioner's EDISON Trademarks, adopted

for Registrant's "Edison" style light bulbs. ¹⁴ See, In re Kent-Gamebore Corp., 59 USPQ2d 1373 (TTAB 2001)(HI-IMPACT is likely to be confused with IMPACT). Therefore, this factor weighs heavily in Petitioner's favor.

C. Registrant's Goods Are Virtually Identical to Petitioner's Goods

C+

It is well settled that goods need not be identical or even competitive to support a finding of likelihood of confusion. It is sufficient that the goods are related in some manner. *In re James Raymond Bevan*, 2002 TTAB LEXIS 181 at *5. Here, Registrant's goods are virtually identical to the lighting products marketed and sold by Petitioner, its predecessors and affiliated companies for nearly a century.

The goods identified in the '402 Registration are "light emitting diodes and light emitting diode displays". Registrant's application file history does not show use of the mark on LEDs. Instead, Registrant submitted a specimen of use showing light bulbs that are equivalent to conventional incandescent light bulbs except the light source is an LED. Registrant's President, Robert Cross, testified that Registrant's LEDISON series of light bulbs may be used for commercial and residential applications anywhere a conventional incandescent light bulb can be used. (PX 1-Cross Dep. pp. 39, 50-52; PX 12).

Although Petitioner does not currently sell light bulbs, Petitioner and its affiliated companies market a wide range of lighting products under the EDISON Trademarks for commercial and residential applications, such as track lighting. All of these products use light bulbs, including the type Registrant sells as part of its LEDISON series. (Siegel Decl., ¶¶ 3, 4, 8-10) Petitioner also owns several trademark registrations for the mark EDISON as applied to

¹⁴ However, in view of the identical commercial impression, consumers are unlikely to remember the slight difference between the LEDISON mark and the EDISON Trademarks "due to the recollection of the average purchaser, who normally retains a general, rather than a specific, impression of the many trademarks encountered." *In re James Raymond Bevan*, 2002 TTAB LEXIS at *9-10.

light fixtures. See, e.g., Reg. Nos. 1,288,874 and 1,636,822. Further, Petitioner and its predecessors consented to GE's marketing and sale of "GE EDISON" brand incandescent light bulbs, in view of GE's association with Thomas Edison for nearly a century. (Park Decl., ¶¶ 4-7) GE's representatives concur that Registrant's marketing of LEDISON light bulbs is likely to cause confusion with Petitioner's EDISON Trademarks. (Park Decl., ¶¶ 9-10).

Moreover, Petitioner's ongoing efforts to license the EDISON Trademarks and expand the products on which the marks are used evidence that Registrant's and Petitioner's goods are sufficiently related to cause confusion among consumers as to whether the owners of the EDISON Trademarks are affiliated with or have sponsored Registrant's light bulbs.

D. The Relationship Between The Parties' Channels Of Trade And Advertising, Plus The Classes Of Prospective Purchasers

The '402 Registration does not limit or restrict the market for Registrant's light bulbs, thus the Board must presume that "registrant would market their respective goods in all normal trade channels and to all normal classes of purchasers for such goods...." *In re Louis Upkins*, 2002 TTAB LEXIS 212 at *3. Registrant markets and sells its LEDISON series of light bulbs to electrical wholesalers and distributors who then resell the light bulbs to electrical contractors, industrial and plant facility managers and public utilities. (PX 1-Cross Dep., pp. 6, 8, 10, 115-116). Registrant does not dispute that Petitioner markets and sells its goods through the same channels of trade (electrical wholesalers and distributors) or that Petitioner's goods are intended for the same market (electrical contractors, public utilities). (PX 1-Cross Dep., pp. 13-14, 111). Registrant also admits that many of its products are directly competitive with many of Petitioner's products. (*Id.*). Further, both parties promote their products to their target markets through the Internet. (PX 1-Cross Dep., pp. 11-12, 86; PX 21-23). This overlap in target

consumers for the parties' respective products increases the likelihood of confusion.

Volkswagenwerk v. Wheeler, 814 F.2d 812, 818 (1st Cir. 1987)

Further, it is actionable under the Lanham Act, as here, where a party trades on an established brand to garner initial consumer recognition and credibility. Petitioner submits that Registrant's use of the LEDISON mark at least initially causes consumers to mistakenly believe that there is an association between the parties. *EMC Corp. v. Hewlett-Packard Co.*, 59 F. Supp. 2d 147, 150 (D. Mass 1999)("initial confusion" is actionable under the Trademark Act even if a person is only initially confused but subsequently corrects that confusion). There can be no doubt that consumers of Registrant's LEDISON brand "Edison" style light bulbs associate the product with Thomas Edison, who is indisputably associated with Petitioner's EDISON brand products. Accordingly, the parties' respective channels of trade, advertising and classes of purchasers favor a finding of likelihood of confusion.

E. Registrant Adopted the LEDISON Mark in Bad Faith

Registrant's intent also militates in favor of a finding of confusion, as there can be little doubt that Registrant intended for consumers to associate its LEDISON brand "Edison" style light bulbs with the *bona fide* EDISON Trademarks and the fame of Thomas Edison. Further, it is well established that a "newcomer" has a heightened burden to maintain a safe distance from established trademark proprietors. It is accordingly proper to resolve any doubt on the question of likelihood of confusion against Registrant. *See,TBC Corp. v. Holsa Inc., 126 F.3d 1470, 44 USPQ2d 1315 (Fed. Cir. 1997); In re James Raymond Bevan, 2002 TTAB LEXIS 181 at *10.*

F. Actual Confusion

Proof of actual confusion is not necessary to establish likelihood of confusion. See, e.g., Volkswagenwerk, 814 F.2d at 819. Here, Registrant's use of the LEDISON mark has been quite

compete with Registrant's emergency light and exit sign products, and often appear at trade shows attended by Registrant. (PX 1-Cross Dep., pp. 16, 107-108). Registrant's other competitors include the lighting industry in general, including such products as electric ballasts, which are also sold by Petitioner. (PX 1-Cross Dep., pp. 13-14; Siegel Decl., ¶¶ 3-4; U.S. Trademark Registration No. 2,495,399)

Registrant's total sales for its LEDISON series of light bulbs are less than for the period December 1998 through August 2004. (PX 1-Cross Dep., pp. 57, 83, 87, 91, 93; PX 13; PX 15-20) Registrant primarily promotes its products, including the LEDISON series of light bulbs, through catalogs and Internet advertising, such as pop-up ads that appear in "Google" and "Overture" Internet search engines. (PX 1-Cross Dep., p. 86) Registrant has also used a public relations firm to prepare news releases that are submitted to various magazines, and attended industry trade shows. (PX 1-Cross Dep., pp. 11-12) Registrant produced documents showing total advertising expenses of approximately for all of its products, and approximately specifically geared toward its LEDISON series of light bulbs. (PX 1-Cross Dep., pp. 95-99; PX 21-23; PX 2-Registrant's Interrogatory Answers, No. 11)

3. Registrants' Bad Faith

Registrant's president, Robert Cross, testified at his deposition that he was well aware of the fame associated with Thomas Edison and Cooper's lighting products when he selected the LEDISON brand. (PX 1-Cross Dep., pp. 4, 6, 17, 21-22) Cross is Registrant's sole owner and is responsible for the company's product branding and marketing strategies. (PX 1-Cross Dep., p. 4, 6; PX 2-Registrant's Interrogatory Answers, No. 2) Notwithstanding this knowledge, Cross selected the mark LEDISON on his own, conducted his own Internet search, received a verbal

The "initial and critical requirement is that the name (or an equivalent thereof) claimed to be appropriated by another must be unmistakably associated with a particular personality or persona." ¹⁶ *Id.* at *16; *Univ. of Notre Dame du Lac v. J.C. Gourmet Food Imports Co.*, 703 F.2d 1372, 217 USPQ 505, 509 (Fed. Cir. 1983). Here, it is manifest that Registrant's use of the mark LEDISON as applied to light bulbs, undisputedly the most famous and identifiable of Thomas Edison's inventions, unmistakably associates Registrant's light bulbs with the name and heritage of Thomas Edison, and with Petitioner's EDISON Trademarks. See, Mostchenbacher v. R.J. Reynolds Tobacco Co., 498 F.2d 821 (9th Cir. 1974)("Objects closely related to a person may perform the same identification function as a 'name'). The mere fact that Registrant added the letter "L" to Thomas Edison's name does not allow Registrant to avoid cancellation, because "Edison" is the most dominant and recognizable portion of the mark. *In re North American Free Trade Association*, 43 USPQ2d 1282, 1285 (TTAB 1998)(applicant cannot take another's name and add matter to it to avoid refusal of false suggestion under Section 2(a)).

There is no dispute that Registrant's use of the "Edison" name and persona is and has always been unauthorized, and that Registrant is not connected in any way to Petitioner or any of its predecessors, including Thomas Edison.¹⁷

Finally, Registrant does not dispute that Thomas Edison is famous and readily recognized as the inventor of, *inter alia*, the light bulb. (PX 1-Cross Dep., pp. 21-22) Therefore, there

¹⁶ The first and second elements of the four-element test are often viewed as one. *Id.*

¹⁷ As set forth in detail in the Factual Background, herein, the evidence is clear that Petitioner succeeded to, and now owns, all commercial rights in Thomas Edison's name and persona, including the EDISON Publicity Rights. Neither Petitioner nor any of its predecessors ever authorized Registrant's use of the "Edison" name or persona.

¹⁸ The TTAB cautioned, however, that the final inquiry should not be focused on determining whether the name would qualify as famous under traditional likelihood of confusion or dilution analyses. Instead, the key is "the combination of (1) the name of sufficient fame or reputation and (2) its use on or in connection

can be no doubt that Registrant's use of LEDISON in connection with its sale of light bulbs admittedly equivalent to the type of light bulbs invented by Thomas Edison unmistakably identifies Thomas Edison to consumers of Registrant's goods.

Accordingly, there is no genuine issue that Registrant's LEDISON mark falsely suggests a connection to Thomas Edison and misappropriates Petitioner's exclusive rights in the EDISON Publicity Rights in violation of Section 2(a).

III. Registrant is Diluting Petitioner's Famous EDISON Trademarks

To make out a *prima facie* case for famous mark dilution under the Federal Trademark Dilution Act, Petitioner must show: (1) that it owns a famous and distinctive mark; (2) that Registrant is making commercial use of the famous mark in commerce; (3) that Registrant adopted its mark after Petitioner's mark became famous; and (4) that Registrant's mark dilutes Petitioner's famous mark. 15 U.S.C. § 1125(c)(1); *The Toro Co. v. ToroHead, Inc.*, 2001 TTAB LEXIS 823 at *28-29, 61 USPQ2d 1164 (TTAB 2001).

As discussed above, Petitioner's EDISON Trademarks are not only "distinctive", but are among the most famous of trademarks, and are therefore entitled to protection under the Lanham Act. Petitioner and its predecessor companies, directly and through licensees, have used the EDISON Trademarks in interstate commerce as applied to diverse commercial fields for nearly a century, and long before Registrant's first use of their infringing LEDISON mark. In recent

with particular goods or services, that would point consumers of the goods or services uniquely to a particular person or institution." *In re White*, 2004 TTAB LEXIS 529 at *23-24.

¹⁹ Petitioner owns valid and subsisting federal registrations for the EDISON Trademarks, including the mark EDISON, as applied generally to electric light fixtures, switches, relays and indicating instruments. *See* Factual Background. These registrations constitute *prima facie* and conclusive evidence of the validity and distinctiveness of the EDISON Trademarks, of the registrations for the EDISON Trademarks, and of Petitioner's exclusive right to use the EDISON Trademarks as applied to the goods specified in the registrations. *See, Equine Techs Inc. v. Equitechnology, Inc.*, 68 F.3d 542, 544 (1st Cir. 1995).

years, Petitioner has used the EDISON Trademarks on an extensive line of home electric appliances and electric light fixtures through its licensees, Salton/Toastmaster and Cooper Lighting, and in connection with computer hardware and software directed to the power generation and distribution systems market. Through such use, the EDISON Trademarks have acquired enormous goodwill and consumer recognition of substantial value to Petitioner. (See, e.g., Siegel Decl., ¶ 12; Coley Decl., ¶ 10)

1,53

Moreover, the long commercial use of an historical name, places the EDISON

Trademarks in the same category as inherently distinctive and arbitrary marks. *Du Barry of Hollywood, Inc. v. Richard Hudnut*, 323 F.2d 986 (9th Cir. 1963); *Two Pesos, Inc. v. Taco Cabana, Inc.*, 505 U.S. 763, 768 (1992), *reh'g denied*, 505 U.S. 1224 (1992). Therefore, it cannot reasonably be disputed that Petitioner's EDISON Trademarks are famous marks, and that Registrant's use of the LEDISON mark in commerce commenced after the EDISON Trademarks acquired their famed status.

Finally, the evidence establishes that Registrant is diluting Petitioner's famous EDISON Trademarks. Under the Lanham Act, dilution "means the lessening of the capacity of a famous mark to identify and distinguish goods or services, regardless of the presence or absence of . . ." competition or likelihood of confusion, mistake or deception. 15 U.S.C. § 1127. The Board held that three factors should be considered: (1) the similarity of the marks; (2) renown of the senior party; and (3) "whether target consumers are likely to associate two different products with the mark even if they are not confused as to the different origins of these products." *The NASDAQ Stock Market, inc. v. Antartica, S.r.l.*, 2003 TTAB LEXIS 391, 69 USPQ2d 1718 (TTAB 2003) *quoting Toro*, 61 USPQ2d at 1183. Petitioner showed above that the marks are effectively

identical and that the EDISON Trademarks became famous and distinctive prior to Registrant's earliest priority date, and remain famous and distinctive today.

Petitioner also showed above that there can be no doubt consumers of Registrant's LEDISON light bulbs unmistakably associate the light bulbs with Thomas Edison and the EDISON Trademarks. Petitioner has submitted declarations of representatives of Cooper Lighting (Siegel Decl., ¶ 15-16), GE (Park Decl., ¶¶ 9-10) and Salton (Coley Decl, ¶¶ 11-12) to substantiate the presence of actual dilution. *See Mosley v. V Secret Catalogue, Inc.*, 537 U.S. 418, 423, 65 USPQ2d 1801, 1808 (2003)(actual dilution may be proved by "circumstantial evidence", in particular when the junior and senior marks are identical).

Therefore, members of the public familiar with Petitioner's EDISON Trademarks, when encountering the "Edison" name in connection with Registrant's goods, would either conclude that it was Petitioner's mark being used on or in connection with Registrant's goods or would have to reach a contrary conclusion only by associating the mark less strongly with Petitioner. "Either result would be a blurring and would lessen the capacity of [Petitioner's EDISON Trademarks] to identify goods and services having their source in [Petitioner]." NASDAQ, 2003 TTAB LEXIS 391 at *69; Mosely, 65 USPQ2d at 1808.

Accordingly, there is no genuine issue that Registrant's LEDISON mark is diluting the EDISON Trademarks in violation of 15 U.S.C. § 1125(c).

CONCLUSION

For the foregoing reasons, the Board should grant Petitioner's motion for summary judgment in its entirety.

Dated: December 30, 2004

Respectfully submitted,

McGRAW-EDISON COMPANY

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CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing

MEMORANDUM OF LAW IN SUPPORT OF MOTION FOR SUMMARY

JUDGEMENT was mailed, first class, postage prepaid to:

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Attorney for Registrant Mule Lighting, Inc.

Roberto L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

n the Matter of Traucino Registration No. 2,324,402	
	Cancellation No. 92,042,545
Mark: LEDISON McGRAW-EDISON COMPANY, Petitioner,	Cancenato
v. :	
MULE LIGHTING, INC., Registrant. X	
missioner for Trademarks	

Commissioner for Trademarks

P.O. Box 1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD Alexandria, VA 22313-1451

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" certifies under 37 The undersigned hereby cerumes that the romowing papers are being deposited with the States Postal Service "Express Mail Post Office to Addressee" service under 37

United States Postal Service "Express Mail Post Office to Addressee" service and the Commission of the detailed above in an analysis and the detailed above in an analysis are being deposited with the Commission of the detailed above in an analysis and analysis and analysis and analysis and analysis and analysis and analys DATE OF DEPOSIT: December 30, 2004 United States Postal Service Express Ivian Post Office to Addressed to the Commissioner for CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademodic B.O. hor 1451 Alexandric B.O. hor 1451 Al Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner

Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- Petitioner's Motion for Summary Judgment; (including 1 exhibit); (1)
 - (2)
 - Petitioner's Memorandum of Law In Support of Motion for Summary (3) Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

December 30, 2004

Date

Roberto L. Gomeż

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gomez

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		v	
McGRAW-EDISON C	OMPANY,	:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		: :	
MULE LIGHTING, INC.,		:	
	Registrant.	: : Y	REDACTED

DECLARATION OF JOSHUA S. BROITMAN

Joshua S. Broitman declares pursuant to 37 C.F.R. §2.20 and 28 U.S.C. §1746:

- 1. I am a partner of the firm of Ostrager Chong Flaherty & Broitman P.C., counsel for petitioner McGraw-Edison Company. I am competent to make this declaration and, except as otherwise stated, I have personal knowledge of the facts contained in this declaration.
- 2. Attached as Exhibit 1 is a true and accurate copy of the transcript of the deposition of defendant Robert P. Cross ("Cross Dep.").
- 3. Attached as Exhibit 2 is a true and accurate copy of Registrant's Answers to Petitioner's First Set of Interrogatories.
- 4. Attached as Exhibit 3 are true and accurate copies of pages 969-971 of The New Encyclopædia Britannica (Macropædia), Vol. 17 (15th Ed.)

5. Attached as Exhibit 4 is a true and accurate copy of a printout dated October 27, 2004 from web site of Cooper Industries at http://www.cooperpower.com/products/systems/SE_edison.asp

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- 6. Petitioner and its predecessors have policed and continue to police the market against the unauthorized use of the name, signature and likeness of Thomas Edison as exemplified by the true and accurate copies of the documents listed below, which are attached as Exhibit 5, to wit:
 - a. Final Judgment and Permanent Injunction filed March 31, 2000 in the case of McGraw-Edison Company and Cooper Industries v. Thomas A. Edison, Inc. et al., Civil Action No. H-99-1989, U.S. District Court, Southern District of Texas (ME01362-ME01368);
 - b. U.S. Dept. of Commerce Patent and Trademark Office ("PTO") Notice of Cancellation No. 29,666 (ME03358) with attached with attached Trademark Trial and Appeal Board Inquiry System ("TTABVUE") Report dated October 29, 2004
 - c. PTO Notice sustaining the opposition of opposer McGraw-Edison against applicant Baby Einstein Co. in Opposition No. 91,151,299 with attached TTABVUE Report dated October 29, 2004.
 - d. PTO Notice sustaining the opposition of opposer McGraw-Edison against applicant B&P Lamp Supply, Inc. in Opposition No. 91,155,190 with attached TTABVUE Report dated October 29, 2004.
 - e. Letter dated December 2, 1999 from James W. Feltus to Glenn F. Ostrager (ME03249);

- f. Agreement dated July 18, 2002 between McGraw-Edison and Ben Makabi (ME04487-ME04491);
- g. Agreement dated August 22, 2002 between McGraw-Edison and Edison Lighting Fixture Manufacturing Corp. (ME03439-ME03436); and
 - h. TTABVUE Report dated October 29, 2004 for Opposition No. 91,154,206
- 7. Attached as Exhibit 6 is a true and accurate copy of the Answer and Affirmative Defenses filed by the defendant in the case of *Consolidated Edison Company of New York, Inc.* v. Edison International, 97 Civ. 7311 (LBS), U.S. District Court for the Southern District of New York. (ME01616-01640).
- 8. Attached as Exhibit 7 is a true and accurate copy of a letter dated March 22, 1999 from Peter R. Hagerty to the Assistant Commissioner for Trademarks together with its accompanying attachments and which is labeled as MULE-00142 to 00149.
- 9. Attached as Exhibit 8 is a true and accurate copy of a printout dated September 22, 2004 from the web site of Mule Lighting (http://www.mulelighting.com/) and previously marked as Cross Dep. Exhibit No. 6.
- 10. Attached as Exhibit 9 is a true and accurate copy of printouts from the web site of Cooper Lighting and previously marked as Cross Dep. Exhibit No. 24.

- 11. Attached as Exhibit 10 are certified copies of the status and title of the following United States Trademark Registrations: Registration Nos. 372,127; 409,187; 1,288,874; 1,636,822; 1,644,681; 2,443,841; 2,495,399; 2,726,711; 2,294,981; and 2,015,393.
- 12. Attached as Exhibit 11 is a true and accurate copy of a printout dated

 September 27, 2004 from the web site of Mule Lighting and previously marked as Cross Dep.

 Exhibit No. 4.
- 13. Attached as Exhibit 12 is a true and accurate copy of a LEDISON Series brochure previously marked as Cross Dep. Exhibit No. 5 which is Bates labeled MULE-00032 to 00036.
- 14. Attached as Exhibit 13 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00152 to 00156 and previously marked as Cross Dep. Exhibit No. 7.
- 15. Attached as Exhibit 14 is a true and accurate copy of purchase orders bearing Bates stamp MULE-00241 to 00265 and previously marked as Cross Dep. Exhibit No. 8.
- 16. Attached as Exhibit 15 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00157-00163 and previously marked as Cross Dep. Exhibit No. 9.
- 17. Attached as Exhibit 16 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00164 to 00181 and previously marked as Cross Dep. Exhibit No. 10.

- 18. Attached as Exhibit 17 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00182 to 00205 and previously marked as Cross Dep. Exhibit No. 11.
- 19. Attached as Exhibit 18 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00206 to 00223 and previously marked as Cross Dep. Exhibit No. 12.
- 20. Attached as Exhibit 19 is a true and accurate copy of inventory control documents and invoices bearing Bates stamp MULE-00224 to 00240 and previously marked as Cross Dep. Exhibit No. 13.
- 21. Attached as Exhibit 20 is a true and accurate copy of an inventory control document bearing a run date of 08/31/04 and previously marked as Cross Dep. Exhibit No. 14.
- 22. Attached as Exhibit 21 is a true and accurate copy of a document bearing the heading "overture google TRA" and previously marked as Cross Dep. Exhibit No. 16.
- 23. Attached as Exhibit 22 is a true and accurate copy of a set of documents bearing the header "Thomas Rankin Associates" and previously marked as Cross Dep. Exhibit No. 21.

24. Attached as Exhibit 23 is a true and accurate copy of a set of documents bearing the header "Thomas Rankin Associates" and previously marked as Cross Dep. Exhibit No. 22.

Pursuant to the provisions of 28 U.S.C. § 1746, I declare under penalty of perjury that the foregoing is true and correct.

Executed on this 30th day of December 2004.

JØSHUA S. BROITMAN

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing

DECLARATION OF JOSHUA S. BROITMAN was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002

Attorney for Registrant Mule Lighting, Inc.

Roberto L. Gome

1	Page 1 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD
2	
3	In the Matter of Trademark Registration No. 2,324,402
4	Regue et de la reconstruction
5	Mark: LEDISON
6	McGRAW-EDISON COMPANY Petitioner
7	vs. Cancellation No. 92,042,545
8	MULE LIGHTING, INC. Registrant
10	REDACTED
11	DEPOSITION OF ROBERT P. CROSS, a Witness in the
12	above-entitled case, taken on behalf of the Petitioner, before Linda L. Guglielmo, RPR-RMR, a
13	Notary Public in and for the State of Rhode Island, at the offices of Allied Court Reporters,
14	115 Phenix Avenue, Cranston, Rhode Island on September 28, 2004, at 9:30 A.M.
15	APPEARANCES:
16	FOR THE PETITIONEROSTRAGER, CHONG, FLAHERTY & BROITMAN, PC
17	BY: GLENN OSTRAGER, ESQUIRE 250 PARK AVENUE
18	NEW YORK, NY 10177-0899
19	FOR THE REGISTRANTCANTOR COLBURN, LLP BY: CHARLES F. O'BRIEN, ESQUIRE
20	55 GRIFFIN ROAD SOUTH BLOOMFIELD, CT 06002
21	
22	ALLIED COURT REPORTERS, INC.
23	115 PHENIX AVENUE CRANSTON, RHODE ISLAND 02920
24	401/946-5500
1	

	20, 2001		
	Page 2		Page 4
1 2	I N D E X WITNESS PAGE	1	Q. Fine. Sir, you're here pursuant to this notice to
3	ROBERT CROSS	2	testify regarding your knowledge concerning the
4	EXAMINATION BY MR. OSTRAGER3	3	matters in controversy in this proceeding and
5		4	you've also been designated by Mule Lighting, Inc.
6	EXHIBITS (PETITIONER'S)	5	as their representative to testify with regard to
7	NO. DESCRIPTION PAGE 1 NOTICE OF DEPOSITION3	6	the subject areas set forth at Page 3. I'd ask
8	2 PETITION FOR CANCELLATION17 3 PATENT APPLICATION	7	that you turn to Page 3 and ask you to review the
1	4 MULE dynaLUX WEBSITE PAGES38	8	list of subject areas and confirm for us that
	6 MULE WEBSITE PAGES42	9	you're knowledgeable regarding the 20 listed
1	7 MULE INVENTORY CONTROL REPORT57 8 PURCHASE ORDERS, 00241 TO 26561	10	items?
11	9 MULE INVENTORY CONTROL REPORT, 00157 TO 163	11	A. Yes, I am.
12		12	Q. Have you reviewed all 20, sir?
13		13	A. Yes.
14	12 MULE INVENTORY CONTROL REPORT, 00206 TO	14	Q. Sir, what is your affiliation or association with
15		15	Mule Lighting?
16		16	A. President.
17	15 OVERTURE EXPENDITURE REPORTS96 16 OVERTURE, GOOGLE, TRA REPORT98	17	Q. Okay. How long have you held that position?
	17 OVERTURE SERVICES STATEMENTS100 18 ACCURATE LITHO INVOICE	18	A. 1975.
	19 GOOGLE INVOICE101	19	Q. Until the present?
	20 GOOGLE DOCUMENTS102 21 THOMAS RANKIN DOCUMENTS104	20	A. Yes.
1	22 THOMAS RANKIN INVOICES105 23 COOPER LIGHTING WEB PAGES105	21	
21	24 COOPER/McGRAW-EDISON WEB PAGES109 25 COOPERLED LED TRAFFIC SIGNALS WEB PAGES112	22	Q. Sir, could you please describe your educational
22	26 COOPER LIGHTING ATLITE WEB PAGES112 27 "ABOUT COOPER" WEB DOCUMENTS114	23	background.
23 24		24	A. Bachelor's Degree in accounting, Master's in
		27	Business Administration, Northeastern University.
	Page 3		Page 5
1	(DEPOSITION COMMENCED AT 9:27 A.M.)	1	Q. When did you obtain your degree from Northeastern
2	ROBERT P. CROSS	2	University?
3	Being duly sworn, deposes and testifies as follows:	3	A. The early '70s.
4	THE REPORTER: Would you state	4	Q. Could you describe your employment history prior
5	your full name for the record, please.	5	to joining Mule?
6	THE WITNESS: Robert Cross.	6	A. I was in the military, U.S. Air Force in the
7	EXAMINATION BY MR. OSTRAGER	7	'60s, then I held a couple of part-time jobs while
8	Q. Mr. Cross, my name is Glenn Ostrager, I'm with the	8	I was going to college, and then I began working
9	firm Ostrager, Chong, Flaherty & Broitman, we're	9	for Tyco Laboratories (phonetic) now known as Tyco
10	located in New York. I'm an attorney for Cooper	10	International and worked there for about three
11	Industries, Inc. and McGraw-Edison Company with	11	years and then came to Mule.
12	the affiliate of Cooper Industries. We're here	12	Q. What position did you hold with Tyco Laboratories?
13	today pursuant to a notice of deposition in a	13	A. Assistant treasurer.
14	patent for cancellation proceedings captioned	14	Q. Sir, what type of business is Mule Lighting?
15	McGraw-Edison Company against Mule Lighting, Inc.	15	A. We manufacture and distribute emergency
16	First thing I'd like to do is show you a notice of	16	lighting, exit signs and LED lighting products at
17	deposition which we'll mark as Petitioner's	17	this time.
18	Exhibit 1, and I'll ask you if you've ever seen	18	Q. From 1975 to the present, has the business always
19	that document before?	19	been approximately what you just described?
20	(PETITIONER'S EXHIBIT 1	20	A. That, plus we're in the battery standby
21	MARKED FOR IDENTIFICATION)	21	battery manufacturing business for up through
22	A. Yes, I have.	22	1986, I think.
23	Q. When did you first see that document?	23	Q. Is Mule Lighting a public or private corporation?
			· · · · · · · · · · · · · · · · · · ·
24	A. Some time in the last month, I guess.	24	A. Private.

<u>, </u>		Nobele 1: Clos
	Page 6	:
1	Q. Where is it incorporated?	1
2	A. Rhode Island.	2
3	Q. Who are the owners of the business?	3
4	A. Myself, 100 percent.	4
5	Q. Did you acquire the business in 1975?	5
6	A. In 1975 from Tyco.	6
7	Q. I apologize that I asked you this, where is Mule	7
8	Lighting, Incorporated?	8
9	A. Rhode Island.	9
10	7. Tologe Island.	10
11		
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	<u> </u>	15
		16 MR. OSTRAGER: I'd ask for production
		17 of those documents,
	1,	18 Q. Could you describe for us the different product
	•	19 categories that you market?
		,
).	p. 1 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2 2
	•	battery-operated emergency lighting, two would be
		exit signage, three would be LED lighting
		products, and four would be batteries.
		24 Q. How long have you been marketing battery-operated

		1		
ļ	Page 10			Page 12
1	emergency lighting?	1	Q.	Do you engage in any other type of what other
2	A. 1972.	2		type of marketing activities does your company
3	Q. So that predates your arrival?	3		engage in?
4	A. I was the general manager of Mule for Tyco.	4	_	A. Printing catalogs.
5	Q. I see. And to whom do you market your	5	Q.	And what is your distribution of your catalogs?
6	battery-operated emergency lighting?	6		A. They're mailed usually by customer request or
7	A. Basically electrical wholesalers and distributors	7		we distribute them to our manufacturer's reps who
8	dictrini itare	8		would redistribute them. Requests come over the
9		9	_	Internet, the website, a lot go out that way.
10		10	Ų.	How many a year do you send out?
11		11	^	A. Between five and 10,000, I'd say.
12		12	Ų.	Are you engaged in any other type of advertising
13		13		activities?
14 15		14 15		A. Internet advertising, people like Google and Overture.
16		16	0	Do you attend any trade shows?
17		17	Ų.	A. Some. Although, I can't think when the last
18		18		one was.
19		19	Λ	Do you recall the last
20		20	Q.	A. Oh, yes, I was in Lightfair in New York City.
21		21	0	What type of products are prepped at trade show
22	'	22	٧.	such as Lightfair?
23	REDACTED	23		A. Lighting products of all types.
24	NED/ (C)	24	0.	Would it be fair to say that your competition is
<u> </u>		<u> </u>		
				Page 13
1		1		the lighting industry in general?
2		2		A. Yes, it would.
3		3	Q.	Who are your major competitors?
4		4		A. Well, let's see, Lithonia Lighting, I think
5		5		is the biggest.
6		6	Q.	Where are they located?
7		7		A. Lithonia, Georgia.
8		8	Q.	What do they market?
9		9		A. Pretty much a full range of lighting
10		10	_	products.
11		11	Q.	Who are your other competitors?
12		12	_	A. Cooper Industries.
13 14		13	Q.	Okay. And to your knowledge, what does Cooper
15		14		Industries market?
16		15		A. A pretty complete line of lighting products
17		16 17		and at least two of their subsidiary companies are direct competitors of mine in the emergency
18		18		lighting.
19		19	0	Who are they?
20		20	٧.	A. All those names are so similar.
21		21	O.	Let me see if I can help you out.
22		22	٧.	A. I want to highlight.
23	REDACTED	23	Q.	Are you familiar with a company by the name of
1			-	
24	NE-	24		AtLite?

Page 14 Page 16 A. AtLite, that's one of them. O. Do you ever encounter Cooper Industries at various 1 2 2 Q. What's your knowledge of AtLite? trade shows? 3 3 A. They sell battery-operated emergency lighting A. I don't recall ever saying Cooper Industries 4 4 but divisions of theirs. and exit signs, too, I believe. 5 5 Q. Fair enough. You've seen AtLite? Q. Do you encounter AtLite in the marketplace? 6 A. I'm sure we do. 6 A. Yes. 7 7 Q. What's the name of the other subsidiary that you Q. You've seen Cooper Lighting? 8 8 were thinking of? A. Maybe I have. 9 A. I know there are at least two. 9 Q. Would you say -- would you agree that the 10 marketplace generally understands that Cooper 10 Q. Are you familiar with a company by the name of 11 Cooper Lighting? 11 Industries has many different divisions that 12 A. Cooper Lighting? As a corporation, yeah. 12 market lighting products? 13 Q. What is your knowledge of Cooper Lighting? 13 A. Uh-huh. 14 A. I believe they're a holding company. 14 MR. O'BRIEN: Objection to form. For 15 Q. Who are your other competitors in the market? 15 the record, I assume we're working under the 16 A. Other competitors would be companies like 16 normal stipulations. 17 Extronics (phonetic) located in either Arizona or 17 MR. OSTRAGER: Sure, we are. Go 18 Nevada. 18 ahead, you can answer the question, sir. 19 Q. What does Extronics market? 19 A. My perception of Cooper Lighting is that it's 20 A. Exit signs. 20 a holding company. I'm not specifically aware 21 Q. Any other competitors? 21 that they manufacture and sell or distribute 22 A. A company called Bodine, B-o-d-i-n-e. 22 lighting products directly under that name. It 23 Q. What do they market? 23 always seems to me it's under some subsidiary 24 Emergency ballasts. 24 division or affiliate's name. Page 15 Page 17 1 Q. Any others? 1 Q. But you understand Cooper Industries and its 2 A. A company called Big Beam, I believe located 2 affiliate market all different types of lighting 3 in Illinois. 3 products? 4 Q. What does Big Beam market? A. Oh, sure. Absolutely. Yes. 4 5 A. Exit signs and emergency lighting. 5 MR. OSTRAGER: Sir, I'm going to mark 6 Q. Anybody else? 6 as Petitioner's Exhibit 2 a petition for 7 A. Sure. There is dozens of them. 7 cancellation. After it's marked, we'll show it to Q. Why don't you give them to us? 8 you and ask you if you've ever seen it. 9 A. If I had known this, I could have produced a 9 (PETITIONER'S EXHIBIT 2 10 list of 50 companies. 10 MARKED FOR IDENTIFICATION) 11 Q. Do you have any literature that would identify 11 A. Yes, I have seen it. 12 your competitors that you maintain in your 12 Q. Have you ever reviewed it? 13 offices? 13 THE WITNESS: Pardon? 14 A. No. Maybe some old catalogs, but it would be 14 Q. Have you ever reviewed this document? 15 pretty sparse. 15 A. I've reviewed it. I didn't read it verbatim. 16 Q. Have you ever conducted any analysis or survey of 16 Q. Are you aware that Cooper Industries is the the marketplace and your competitors in general? 17 17 proprietor of the mark Edison? 18 A. No. 18 A. I wasn't. 19 Q. Is your knowledge of these other entities reflect 19 Q. No. I'm asking you today are you aware --20 just your daily business activity? 20 A. Today, I am. 21 A. Correct. 21 Q. When did you first learn that Cooper Industries 22 Q. Do you ever encounter these different entities at 22 and its affiliates had an interest and owned the 23 trade shows? 23 trademark Edison?

24

A. Sure.

24

A. Probably when I received this petition.

Page 20 brand name LEDison? Q. Is it your testimony that you've never encountered 1 A. Yes, we do. 2 2 an Edison product in the marketplace? 3 Q. What product or products do you market under the 3 A. No. I just never associated it with Cooper 4 brand name LEDison? 4 Industries. 5 A. It is a lamp made from light emitting diodes. 5 Q. Well, have you ever seen or encountered an Edison Q. When did you first begin to use the brand name 6 lighting product in the marketplace? 6 7 7 A. No, I don't think I have. LEDison? 8 A. I think the question was answered somewhere 8 Q. To this day you've never seen an Edison product in 9 the marketplace? 9 along the lines. 10 10 Q. I'm asking based upon your present recollection THE WITNESS: A lighting product 11 when did you first start marketing the products? 11 that said Edison on it? 12 MR. OSTRAGER: Yes. 12 The late 1990s. 13 Q. Can you be more specific? 13 A. I don't think so. A. Not without looking at my records. 14 Q. From 1972 until the present, you've never 14 15 Q. Fair enough. Sir, could you describe for us --15 encountered an Edison Lighting product in the you said an LED bulb? 16 market; is that your testimony, sir? 16 17 A. I don't think I ever have. 17 A. Yes. Q. Any other product? 18 Q. After you received this petition for cancellation, 18 did you conduct any investigation concerning the A. No. 19 19 Q. Could you tell us what the derivation is of the 20 allegations that are contained --20 trademark LEDison? 21 21 MR. O'BRIEN: I'm going to object to 22 the extent it calls for any communication between 22 A. Sure. It is a contraction of LEDison. The advantage of LED lamps is the long life, plus the 23 attorney/client. 23 24 24 energy saving. So, I was looking for a name that MR. OSTRAGER: Fine. Page 19 Page 21 MR. O'BRIEN: Go ahead and answer. 1 was short, that would describe what the product 1 A. Was there an investigation? What was the 2 2 was and a feature of it, we'll say. Now, 3 auestion? 3 originally, in coming up with the name, I had it 4 4 as capital LED dash IS dash ON all the time, Q. Any allegations contained in the petition. 5 5 that's the implication. But I discarded that MR. O'BRIEN: Let me say with respect because it wouldn't make for a good domain name. 6 to the objection, I don't want you to divulge any 6 7 communications that you had with any of your 7 So I discarded the dashes and came up with 8 8 LEDison. attorneys after this cancellation was filed, but Q. Now, do you have any familiarity with an inventor 9 9 if you had any independent investigations, talk by the name of Thomas A. Edison? 10 about that. 10 11 A. Yes. 11 A. No. 12 Q. Who is Thomas A. Edison? 12 Q. Did you go on the Internet and take a look and see A. An inventor of the incandescent lamp, I 13 whether you could find any Edison-related 13 14 products? 14 believe. 15 Q. Am I correct in understanding that Thomas A. 15 A. I believe I went on the Internet and looked 16 Edison is associated with light bulbs? 16 up McGraw-Edison. 17 Q. And what did you find? 17 MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the 18 A. That they manufactured lighting products but 18 19 19 were not a competitor of mine. question. 20 20 Q. Did you talk to anybody about Cooper Industries or A. Yes. 21 Q. You're knowledgeable about Thomas A. Edison? 21 McGraw-Edison Company and the Edison brand mark 22 other than your attorney? 22 23 Q. Would you agree Thomas A. Edison is one of the 23 A. No. Q. Sir, does your company market a product under the 24 most famed inventors of the last century?

Page 22 Page 24 1 A. No. 1 A. Yes. 2 2 Q. Sir, how many -- could you describe for us your Q. Would you agree that his fame is associated with 3 3 company's intellectual property portfolio? light bulbs --4 A. Well, we have some trademarks and we also 4 MR. O'BRIEN: Objection to form. 5 5 Q. -- in part, at least? have some patents on LED bulbs, that's the extent 6 6 of it. A. I think he has thousands of patents, doesn't 7 Q. Let's go back to your time with Tyco. During your 7 he? So, many, many things. 8 Q. But certainly light bulbs? 8 career, prior to your acquisition of Mule, did you 9 9 ever have any responsibility for conducting due MR. O'BRIEN: Objection to form. 10 10 diligence investigations concerning property A. Definitely one of them. 11 rights, intellectual property rights? 11 Q. Now, when -- am I also correct in understanding 12 12 that you yourself selected the trade market A. No. 13 LEDison? 13 Q. Who in your company, if anybody, has such 14 responsibility today? 14 A. Yes. 15 Q. Did you consult with anybody else concerning that 15 A. No one within the company. 16 16 Q. Is that your responsibility, sir? selection? 17 A. No. 17 A. If it were to fall on anybody, it would be 18 Q. When you conceived of the mark LEDison, did it in 18 me, yes. 19 any respect suggest to you an association with 19 Q. How many employees does Mule have? 20 20 Edison, the inventor? 21 A. No, it did not. 21 Q. Okay. You said you have some trademarks, how many 22 22 trademarks do you have? Q. Did it, apart from your thought that it was 23 23 LED-IS-ON, did it occur to you that the dominant A. Let's see, I believe four -- five. 24 portion of the mark LEDison is Edison? 24 Q. What are those trademarks, sir? Page 23 Page 25 1 MR. O'BRIEN: Objection to form. 1 A. One is dynaLUX, one is Lightworld, one is 2 A. No, it isn't. As a matter of fact, because 2 LEDalux and one is LEDison. I believe that's it 3 the stylized depiction we use is capital LED, what 3 ทกพ 4 we're promoting is light emitting diodes. LED is 4 Q. Have you ever conducted a trademark search 5 the thing that jumps out at you. 5 regarding any of these trademarks? 6 Q. Am I correct in my recollection that you testified 6 THE WITNESS: Personally? 7 7 earlier that you've never encountered an Edison MR. OSTRAGER: No, through counsel or 8 product in the marketplace? 8 otherwise. 9 9 A. With the name Edison on it, I don't believe A. Through counsel and similar to this mark here 10 SO. 10 in question, an Internet search. 11 Q. Okay. Now, after you conceived of the trademark 11 Q. When did you adopt dynaLUX? 12 LEDison, did you conduct any due diligence to 12 A. dynaLUX is a mark that Mule had back in the 13 determine that the mark was available for us? 13 '60s and '70s that lapsed, and we just renewed it 14 A. Internet search I did. 14 within the last two years. 15 Q. What type of Internet search did you conduct? 15 Q. And do you have any recollection of ever 16 A. Well, basically typing the name into a search 16 conducting any kind of database search or 17 17 engine. trademark search working with counsel relating to Q. Do you recall which search engine you employed? 18 18 the mark dynaLUX? 19 A. I believe it was Alta Vista. 19 A. Well, I know counsel would conduct their own 20 20 Q. Did you print out the results of your search? search, if presented with a name. Are you talking 21 A. There were no results. 21 me personally? 22 O. You found no reference to LEDison? 22 Q. I'm asking you, sir, whether it's your company's 23 A. No. 23 policy to conduct investigations concerning the

24

Q. Or any variant of LEDison?

24

availability of trademarks for use in registration

Page 26 Page 28 in the United States Patent and Trademark Office? Q. Have you ever received an opinion from counsel 1 1 regarding the trademark Lightworld? 2 MR. O'BRIEN: You mean employees of 2 3 the company? 3 A. Yes. 4 4 MR. OSTRAGER: The company. Q. When did you receive that opinion? 5 Q. Is it company policy to conduct due diligence 5 A. That one is older, so I would say in the late 6 investigation before adopting a mark for use? 6 1990s. 7 THE WITNESS: Including company and 7 Q. Is that prior to LEDison or after LEDison? 8 counsel? 8 A. After. 9 9 Q. I'm talking about Mule. Does Mule have a policy Q. Okay. And am I correct in your prior testimony of conducting an investigation itself or through 10 10 that you've never receive an opinion concerning 11 11 counsel? LEDalux? 12 12 A. I would conduct an investigation through A. That one there I did online myself with the 13 basically an Internet search. 13 USPTO. 14 O. Okay. Has your company ever authorized its 14 Q. After conducting a search of their databases, did 15 counsel to conduct a search relating to a you conduct a search at the patent office database 15 16 trademark? 16 for LEDison? 17 A. Yes. 17 A. No. 18 Q. Okay. What searches have you authorized counsel 18 Q. Why not, sir? 19 to conduct, to your best recollection? 19 A. Well --20 A. Those names that I just delineated. 20 MR. O'BRIEN: Do you mean Mr. Cross 21 Q. So you have asked to conduct searches regarding 21 personally? 22 22 each of these marks, dynaLUX, Lightworld, LEDalux THE WITNESS: Did I personally? 23 and LEDison? 23 MR. OSTRAGER: You or anybody in your 24 A. All but LEDalux. 24 company. Page 27 Page 29 1 Q. So it would be fair to say that you authorized 1 A. No. 2 counsel to conduct searches on behalf of dynaLUX, 2 Q. My question is why? 3 Lightworld and LEDalux? 3 A. Because counsel did it. O. Counsel did it. When did counsel conduct that 4 A. No. I said all but LEDalux. 4 5 Q. Did your company receive opinions from counsel 5 search? 6 6 concerning the availability of the -- opinion A. In the late 1990s. 7 7 concerning the availability of the mark dynaLUX --Q. Did you receive a written opinion? 8 strike that. Did you ever obtain an opinion from 8 MR. O'BRIEN: Same objection. 9 9 MR. OSTRAGER: You can answer yes or trademark counsel concerning the availability of 10 the mark dynaLUX for use by your company? 10 no. 11 MR. O'BRIEN: I'll object to the 11 MR. O'BRIEN: You can answer yes or 12 12 extent it calls for attorney/client no. 13 communications. Instruct you to answer yes or no. 13 A. I don't think so, no. 14 MR. OSTRAGER: That's fine, answer 14 Q. Did you receive a verbal opinion? 15 15 yes or no. A. Yes. 16 A. Well, I never received anything in writing. 16 Q. Okay. You mentioned your company has a number of 17 Q. Did you receive a verbal opinion from counsel? 17 patents? 18 A. Yes. 18 A. Two or three. 19 Q. Approximately when did you receive that opinion? 19 Q. Who obtained those patents for you, which law 20 A. I'd have to check the records. But the 20 firm? Which law firm did you work with in 21 dynaLUX you're referring to? 21 obtaining those patents? 22 MR. OSTRAGER: Yes. 22 A. It wasn't Cantor Colburn. 23 A. I would say it was in the last year, one to 23 MR. O'BRIEN: If you have a memory of 24 24 two years. the name; I don't want you to guess.

Page 32 Page 30 1 submitting a trademark application on your behalf. Q. You don't recall. Sir, you testified a moment ago 2 Do you see that letter? 2 you received a verbal opinion concerning LEDison 3 3 A. Yes. from counsel; is that correct? Q. You see Peter R. Hagerty, is that the gentlemen 4 A. Yes. 5 Q. Do you recall the name of the counsel that 5 that provided you with a verbal opinion? provided the verbal opinion? 6 6 A. Yes, it is. 7 7 Q. How did you come about that you were working with A. Yes. 8 Q. What's the name of the counsel? 8 this particular law firm. 9 9 A. Mr. Hagerty is my son-in-law. A. Peter Hagerty. Q. What firm is Peter Hagerty associated with? 10 10 Q. Very good. Is Mr. Hagerty now associated with Mr. 11 O'Brien's firm? 11 MR. O'BRIEN: I don't want to keep 12 12 A. Yes, he is. interrupting you, I just want to make a general 13 objection. To the extent these call for attorney/ 13 Q. I see. Let's turn to page 00144. In the third 14 14 paragraph you see this is a statement client privilege, I'm objecting to it and 15 15 describing -- this is actually a trademark instructing him not to answer. I can object after 16 16 application. Do you recognize this document as a every question, I'll allow him to answer yes or 17 17 trademark application? no, name names, but you're not going to get into 18 the substance of any communication. 18 A. I guess, yeah. 19 19 Q. Take a look -- would you take my representation MR. OSTRAGER: I'm just asking what 20 20 firm Peter is associated with. this is a trademark application, sir? You 21 MR. O'BRIEN: That's fine. I want to 21 needn't, sir. You see in the third full paragraph 22 preserve the right. So if you agree there is no 22 it says, "The mark was first used in connection 23 waiver of attorney/client privilege. 23 with the goods," referring to light emitting 24 24 diodes, "since December '98." Does that refresh MR. OSTRAGER: No waiver. Page 31 Page 33 A. He's currently with Cantor Colburn. 1 your recollection as to when you first used the 2 Q. Okay. Approximately when did you receive that 2 mark? 3 verbal opinion? 3 A. That would be the date. 4 A. The late 1990s. 4 Q. Next paragraph begins, "The mark is used by Q. Did there come a time when you filed an 5 placing it on the goods by casting, molding 6 application with the U.S. Patent and Trademark 6 stamping or directly imprinting thereon, by use on 7 Office to register the LEDison trademark? 7 tags or labels affixed to the goods or on 8 A. Sure. Yes. 8 containers in which they are shipped, or when such 9 MR. OSTRAGER: I'm going to mark as 9 placing is impractical on documents associated 10 Petitioner's Exhibit 3 a file history or 10 with the goods or their sale." Could you describe 11 application Number 75/674,056 for the mark LEDison 11 for us how you used the mark on the goods in 12 in the name of Mule Lighting, Inc. and it bears 12 connection with the goods? 13 Mule Bates stamp numbers, 000137 through 00149. 13 It would be placed on the documents. 14 (PETITIONER'S EXHIBIT 3 MARKED 14 Q. Now, at Page 148 there is a specimen showing how 15 15 FOR IDENTIFICATION) you use the mark. Would this be representative as 16 Q. After the court reporter marks this exhibit, 16 to how you used the mark LEDison? 17 she'll show it to you and ask you whether you've 17 A. Yes, it is. 18 ever seen any of these documents. Could you 18 Q. Do you place the mark LEDison on the bulbs 19 review this exhibit and tell me whether you've 19 themselves? 20 ever seen it before? 20 A. As I recall, we may have placed the mark on 21 A. Yes, I have. 21 some of the earlier products, but I do know that 22 Q. Let's turn to Page 142, there is a letter from the 22 we quickly went to the model number as depicted on 23 law firm of Watts, Hoffmann, Fisher & Heinke to 23 this page LIL001. 24 the Assistant Commissioner for Trademarks. 24 Q. LEDison is used as a model number, sir?

Page 34 Page 36 Q. Is that your signature, sir? 1 A. No, LEDison is used to describe a series of 1 2 light emitting diode lamps. 2 A. Yes, it is. 3 Q. When one purchases one of your LED bulbs as shown 3 Q. Okay. Fair enough. We're making progress. Why 4 in this picture, is the LEDison designation 4 don't you read the first -- I'll read the first 5 anywhere on the product? 5 few lines of this declaration, "Robert P. Cross 6 6 A. No. states that he is president of the applicant 7 7 Q. Is it on the packaging? corporation and is authorized to execute this 8 A. No. 8 declaration on behalf of said corporation." Is 9 9 Q. Is it on the bulb? that correct, sir? 10 10 A. Yes. A. No. 11 MR. OSTRAGER: I'd request production 11 Q. "He believes said corporation to be the owner of 12 12 of a specimen bulb and packaging showing how you the mark sought to be registered." What's the 13 present the product to the marketplace. 13 basis for that statement, sir? 14 14 MR. O'BRIEN: Sure. A. Well, I thought it up. I did an Internet 15 Q. Let's turn to page 00145, declaration and 15 search and counsel did a more formal search as to 16 16 availability. power-of-attorney. Q. Do you know what type of formal search counsel 17 THE WITNESS: Can we go back to that 17 18 other question? 18 conducted? 19 MR. OSTRAGER: Sure. Do you want to 19 A. No, I don't. 20 add something? 20 Q. Do you have any records that would reflect what 21 A. I'm seeing this, which looks like a carton 21 type of search counsel conducted? 22 22 label to me. A. No. 23 Q. Let's take a look. Where is that, sir? 23 MR. OSTRAGER: I'd request production 24 A. 146. 24 of any such search. Page 35 Page 37 Q. 146. What is 146? 1 MR. O'BRIEN: I'll look. To the 2 A. I believe it's the old style carton label we 2 extent it's attorney/client privilege, we'll mark 3 3 used where the name is shown. I know it's not it as such and put it in privilege log. 4 done that way now, but like I said very early on, 4 Mr. OSTRAGER: To the extent it 5 this looks to be how it was, the carton was 5 exists, I'd like it identified. 6 marked. Q. The paragraph proceeds, "To the best of his 6 7 Q. Well, how long did you mark it in this manner? 7 knowledge and belief no other person, firm, 8 A. Few weeks, maybe. 8 corporation or association has the right to use 9 Q. And do you have a specimen showing that particular 9 said mark in commerce." What's the basis for that 10 packaging? 10 statement? 11 Just what you see here. I wouldn't now, 11 A. Well, the result of the search didn't produce 12 after all those years. 12 any. 13 Q. This is like a stamp you put on some early 13 Q. It proceeds, "Either in identical form or such 14 product? 14 near resemblance thereto and to be likely when 15 A. No, it would be a label, runoff of a computer 15 applied to the goods to such other person to cause 16 in a multiple labels. 16 confusion or to cause mistake or to deceive, and 17 Q. And you placed it on the box and shipped it? 17 he is authorized to appoint and hereby appoints 18 A. Right. 18 counsel"? 19 Q. Then you stopped doing that? 19 20 A. Then we went to strictly our part number and 20 Q. Do you also understand if you look at the last 21 model numbers on everything. 21 three lines, "willful, false statements and the 22 Q. Okay, thank you. Let's turn to document page 145. 22 like, so made are punishable by fine or 23 Did you recognize this document? 23 imprisonment." 24 A. Yes. 24 A. Yes.

Page 40 Page 38 (PAUSE) 1 1 Q. Do you see that, sir? 2 2 A. Okay. A. Yes. 3 Q. MR. OSTRAGER: Sir, I'm going to mark 3 Q. Can you tell us what this document represents? 4 A. Well, let's see, these are catalog pages and 4 as Petitioner's Exhibit 4 a two-page document 5 5 which we've printed from your website and it bears web pages. 6 the label category dynaLUX LED bulbs, LEDison 6 Q. Do you still use these particular pages in 7 7 marketing your product? Series and ask, after the court reporter marks it, 8 I'm going to ask you to identify it if you can. 8 A. Some of these are dated. I don't think any 9 9 (PETITIONER'S EXHIBIT 4 of these are in current use. 10 Q. On Page 32 the description starts off with, "Our MARKED FOR IDENTIFICATION) 10 11 patented LEDison Series of LED lamps is a Q. Do you recognize this as a printout from your 11 12 breakthrough design." Do you have a U.S. patent 12 website, sir? A. I do. 13 13 for the particular bulbs and their technology? 14 14 Q. You will see underneath the designation category, A. Yes, we do. dynaLUX LED bulbs, you will see the designation, 15 Q. How many patents do you have? 15 16 A. I believe two apply to this. 16 **LEDison Series?** 17 17 Q. And are those issued U.S. patents? A. Yes. 18 Q. Is that the manner in which you use the LEDison 18 A. U.S. patents. 19 designation, generally? 19 Q. When did you obtain those patents? 20 20 A. In the 1990s. A. Generally. Q. If you look at the bottom of the page, you see a 21 Q. Okay. Could you briefly describe for us what the 21 22 22 technology that's the subject of the patents? list of specific applications, including lobby 23 23 A. One of them is the shape of the lens and the lighting, lighting cabinet or under cabinet, hotel 24 lighting, step lighting, high ceilings and so 24 other one is the circuit board design. Page 39 Page 41 1 forth? 1 Q. Does Mule Lighting have an in-house research and 2 development department? 2 A. Yes. 3 Q. Could you tell me what products in the marketplace A. No. 4 are competitive to your LED bulbs that are for use 4 Q. Does Mule Lighting employ engineers? 5 in these various applications? 5 6 A. Incandescent or fluorescent lamps, halogen 6 Q. Could you tell us where the technology originated 7 7 that's the subject of these two patents? lamps, maybe neon lighting in some cases. Q. Would it be fair to characterize these various 8 A. It originated in China. 9 9 Q. Who is the inventor of the technology? applications as mostly commercial in nature? 10 A. Yes. 10 A. I believe the name of the firm is Shanghai 11 Q. Do these bulbs have application in the residential 11 Baoshan. 12 Q. Who are the named inventors on the two patents market as well? 12 13 A. Not -- residential? These specific bulbs? I 13 that you hold for these bulbs? 14 don't believe so. 14 A. Employees of that company. 15 MR. OSTRAGER: I'm going to mark as 15 Q. And does the Shanghai entity assign these rights 16 Petitioner's Exhibit 5 a document entitled LEDison 16 in this technology to Mule Lighting? 17 Series, Energy Efficient Long Life and it bears 17 A. Let's see, how did that work? I think it was 18 Mule Bates stamp number 00032 through 00036. And 18 a joint application. 19 after the court reporter marks it, I'll ask you to 19 Q. Who are the named inventors on the patents? Are 20 20 take a look at it and identify it if you can. you a named inventor, sir? 21 (PETITIONER'S EXHIBIT 5 21 A. No. 22 MARKED FOR IDENTIFICATION) 22 Q. Is anybody in your company a named inventor? 23 Q. Take your time and I ask you to turn through all 23 A. No. 24 24 Q. Is the technology assigned from the Shanghai the pages.

Page 42 Page 44 characteristics of this product? 1 company to Mule Lighting? 1 A. That product uses -- I can't think of the A. The technology? I'm not sure. 2 2 3 MR. OSTRAGER: Fair enough. Sir, I'm 3 right term, but it's a nuclear-based material. If going to mark as Petitioner's Exhibit 6 a 4 we had the XL data, it would tell us. 4 5 Q. It's a self-luminous? 5 collection of documents that was printed from your 6 website on September 22nd, numbering a total of 14 6 A. Self-luminous but not from natural light like 7 7 you might be thinking. It's self-generated. pages. After the court reporter marks this exhibit, I'd ask you to review these 14 pages and 8 8 Q. I see, okay. Let's turn to the next page. Here 9 we have exit signs and emergency lighting. Could 9 tell me if they reflect your current web page. 10 (PETITIONER'S EXHIBIT 6 MARKED FOR 10 you describe the applications for these products? 11 **IDENTIFICATION**) 11 These are commercial, industrial 12 A. Yes. 12 applications. 13 Q. Let's go through this together. The first couple 13 Q. Next page shows various emergency lighting 14 of pages appear to relate to your exit sign 14 products. Could you describe these various products, is that correct, first three pages? 15 15 products? 16 A. Yes. 16 A. These are all used, again, in commercial and Q. How many different products do you have within the industrial applications. 17 17 18 exit sign product category? 18 Q. So, let's just -- for the record we have an 19 A. There's probably eight or ten series and then 19 Econo-Lite Series, Lite-Way Series, Radius Series, 20 within that there will be multiple individual 20 RT Series, Recessed Series, C Series, EC Series, 21 products under each series. 21 an X Series, KES Series, NEMA 4X Series; is that 22 Q. They cover primarily industrial applications? 22 correct, sir? 23 A. Yes. Industrial commercial applications. 23 A. Yes, it is. 24 Q. Let's turn to the fourth page and you will see a 24 Q. Is it your common practice to use these various Page 43 Spring-Twister Series. Can you tell me about the designations to identify models, different models, 1 1 2 Spring-Twister series? 2 product models? 3 A. These are compact fluorescent lamps. 3 MR. O'BRIEN: Objection to form. Go 4 4 Q. What are their applications? ahead, you can answer. 5 A. General lighting applications. 5 A. Not models. 6 Q. Would that be commercial and residential? 6 Q. What are they, sir? 7 7 A. Yes. A. Type, their types. 8 Q. Let's turn to the next page, and we see Smartest 8 Q. Econo-Lite Series, is that a brand name in your 9 Self-Diagnostics, what does that represent? 9 company, or is it a model name? 10 A. That's an option you can get in certain 10 A. It's a type name, series name. See, you take 11 products of ours. 11 any one of these and there might be color, voltage 12 Q. What is that option? 12 variations lamp styles. So it isn't just one 13 A. Basically it monitors the battery charger and 13 Econo-Lite, there might be five models of it. So 14 the battery within the product. 14 we designate each product type as a series. So if 15 Q. Would that be for an exit product, sign product? 15 you went to the relevant catalog page for 16 A. It basically is for battery-operated 16 Econo-Lite Series, you might see a number of 17 emergency lighting. There may be some exit series 17 different models within the series. 18 that would use something like that. 18 Q. Did you conduct any type of trademark 19 19 Q. Okay. Let's turn to the next page. There here investigation to determine your right to use the 20 you show some LED exit signs. Are these 20 series designation Econo-Lite? 21 representative of your exit sign products? 21 That name could come one of two ways, one, an 22 22 A. Yes. Internet search to see if the name was being used 23 Q. Next page shows an Ever-Green series of exit 23 by someone else, or alternatively, our suppliers 24 24 signs. Can you describe for us the already use that name and private labels the

			Τ		
		Page 46			Page 48
1		product for us.	1		like a movie theatre or maybe a hotel lobby to
2	Q.	As to this particular name, what is its	2		illuminate stairways used by the public.
3		derivation?	3	Q.	The one on the right?
4		A. I don't know its derivation, but I know what	4		A. This depicts our ability to furnish signs
5		it's implying.	5		with words other than exit, such as the Spanish
6	Q.	What is it implying?	6		word for exit salida.
7		A. Economical.	7	Q.	Next page shows the Mariner Series - DD, Mariner
8	Q.	How about the radius series, did you ever conduct	8		Series - SD, and the Mariner Series - W. Could
9		any investigation to determine your right to use	9		you describe those products for these?
10		the model or series name radius?	10		A. These are battery chargers.
11		A. Similar to the Econo-Lite, yes.	11	Q.	Could you tell us where series designation Mariner
12	Q.	Did you conduct that search?	12		originates?
13		A. Probably.	13		A. We have sold the Mariner Series W is made
14	Q.	Do you have any documents to reflect that?	14		to a Coast Guard specification for battery
15		A. No.	15		chargers, and we've sold these to the Coast Guard
16	Q.	Did you obtain an opinion from counsel concerning	16		for Coast Guard cutters. So, we extended the line
17		your right to use the model designation or series	17		such as the Mariner DD series in an attempt to get
18		designation radius?	18		it into commercial fishing and things like that.
19		A. No.	19	Q.	Did you conduct any kind of investigation
20	Q.	Did you obtain opinions from counsel regarding any	20		concerning your right to use the series
21		of these series or model names?	21		designation Mariner?
22		A. No.	22		A. Again, an Internet search to make sure it
23	Q.	Let's turn to the next page and we see the Embassy	23		didn't conflict with somebody in the marine field.
24		Series. Could you tell us what the Embassy Series	24	Ο	Earlier on, sir, did you not testify that you have
		•		Q.	Earner on, on, and you not testiny that you have
ļ			ļ .		Earner on, on, one you not testify that you have
_		Page 47			Page 49
1		Page 47 is?	1		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and
2		Page 47 is? A. These are battery-operated fluorescent			Page 49
2		Page 47 is? A. These are battery-operated fluorescent ballasts.	1		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and
2 3 4	Q.	Page 47 is? A. These are battery-operated fluorescent ballasts. What are their applications?	1 2		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these
2 3 4 5	Q.	Page 47 is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial	1 2 3		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes.
2 3 4 5 6	Q.	Page 47 is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one	1 2 3 4 5 6		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company?
2 3 4 5 6 7	Q.	Page 47 is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast	1 2 3 4 5		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your
2 3 4 5 6 7 8	Q.	Page 47 is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this	1 2 3 4 5 6 7 8		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the
2 3 4 5 6 7 8 9		Page 47 is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now.	1 2 3 4 5 6 7 8		Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form.
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q. Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now. Do you know what the derivation of the mark or series designation Embassy? A. No, I don't. You didn't select it, did you, sir? A. I just don't recall. Do you have any documents that would reflect any type of investigation concerning any of these various series designations? A. No. Let's turn to the next page which shows a designation custom applications, energy savers, there are two different products?	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q.	Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the question. A. Those are registered trademarks. So my answer would be yes, these we consider these trademarks. Unregistered trademarks? A. Yup. MR. O'BRIEN: Objection. Form. Next page shows accessories. Could you tell us what those products are? A. Those are mounting plates for remote emergency lighting heads. MR. OSTRAGER: If I can just go off
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Q. Q. Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now. Do you know what the derivation of the mark or series designation Embassy? A. No, I don't. You didn't select it, did you, sir? A. I just don't recall. Do you have any documents that would reflect any type of investigation concerning any of these various series designations? A. No. Let's turn to the next page which shows a designation custom applications, energy savers, there are two different products shown there. What are those products? A. Well the first one on the left is what's	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Q. Q.	Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the question. A. Those are registered trademarks. So my answer would be yes, these we consider these trademarks. Unregistered trademarks? A. Yup. MR. O'BRIEN: Objection. Form. Next page shows accessories. Could you tell us what those products are? A. Those are mounting plates for remote emergency lighting heads. MR. OSTRAGER: If I can just go off the record. (OFF THE RECORD) (BRIEF RECESS)
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q. Q.	is? A. These are battery-operated fluorescent ballasts. What are their applications? A. Their application is in a commercial industrial environment where you would install one of these ballasts in place of a standard ballast in a fluorescent fixture similar to what's in this room right now. Do you know what the derivation of the mark or series designation Embassy? A. No, I don't. You didn't select it, did you, sir? A. I just don't recall. Do you have any documents that would reflect any type of investigation concerning any of these various series designations? A. No. Let's turn to the next page which shows a designation custom applications, energy savers, there are two different products?	1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q.	Page 49 four trademarks, dynaLUX, Lightworld, LEDalux and LEDison? A. Yes. Would it be fair to say you don't review these various designations as trademarks in your company? MR. O'BRIEN: Objection to the form. MR. OSTRAGER: You can answer the question. A. Those are registered trademarks. So my answer would be yes, these we consider these trademarks. Unregistered trademarks? A. Yup. MR. O'BRIEN: Objection. Form. Next page shows accessories. Could you tell us what those products are? A. Those are mounting plates for remote emergency lighting heads. MR. OSTRAGER: If I can just go off the record. (OFF THE RECORD)

		at commercial and residential		
	ic th	at commercial and residence (es. At one is LED A19 series. Cou	ild you describe	
	$p_{age} = 50 \left(\begin{array}{cc} 1 & Q. & Is th \\ 1 & A. \end{array} \right)$	yes. At one is LED A19 series. Col At bulb? Again, an LED bulb, A19 de Again, an LED bulb, A19 de	type	
	you describe at 2 A. Ne	at one is LED I	signating the Cit	
	$\frac{\text{You doe}}{\text{Yn there}}$	t bulb?		
	on there: lamps that we 4 that had 5 A.	Again, an LLL	•	
	lamps . 5	base. I hase is an Als:	v term.	
7	LUX LED bulbs." Is 6 00	Again, and	ercial and reside.	
	DDIA to these prips; 6 2 0.	i base. What type of base is an A19? What type of base is an industrian A. I believe that's an industrian That bulb have common	, that's	
		what type of base is an A19? What type of base is an A19? What type of base is an A19? A. I believe that's an industr Does that bulb have common applications? A. You know, I don't ever	know where the	1-0
	it. just start to do? pust start to do? 10	applications?	who would	De l
	i just start to do: i just start to do: 10 10 10 11 11 11	A. You know	your company various	N.
	aLUX appear on the bulbs?	A. You know, 1 used. Q. Is there anybody else in familiar with the applical	n know where your company who would your for these various	
74	Lux appear on the built 12	Q. Is there are, with the applica		N
	aLUX appear	t311111124		
	naLUX appear on the	Pr11113.		
*	narova.	A. Yes. Who would that be? Who would that be?		
Does the design.	5? Could 1	Who would that I Who would that I Robert H. Cross.	ross?	
Does the design. Does the design. packaging for the bulb	or types of bulbs, as	7 Q. Who Wood H. Cross. A. Robert H. Cross. A. Robert H. Cross. Who is Robert H. Cross. Who is Robert H. Cross.	our company?	
packaging for the packaging for the A. Not at this time. A. Not at this time.	erent of which uses	19 Q. Who is Robe. A. He is my son. A. what is his po	nsition with Your or	-cribe the
Q. This page show or	erent types of bulbs, could erent types of bulbs, could erent types of bulbs, could the? the LEDison lamp, which uses the LEDison lamp, which uses	20 A. Me what is his po	ross? Osition with your company? Mocuments that would de	SCHOOL
Q. This page shows under the first one is	erent types on the LEDison series with is son I'm very familiar with is	21 Q. And He's in sales.	y documents that would do	
A. The first one is a medium base sor	ket.	22 A. Do you have all	ons for these bear	page 53
a medium base what are the app	lication	23 Q. various applicati		or is, in
O Q. What are	on I'm very familio.	24	where the ansi	Catalog
o Q. bulb? 21 A One applicat	lication to: Jon I'm very familiar with is In public areas. The G45 series, can you describe Page		nybe that's where the anstatalog. We don't have a catalog. We don't have a	Cas
22 A. occent lighting I	og G45 series, Carry	e 51 A. Well, Ind	catalog. We s	tid produce
23 a Let's turn to ti	703	the contract	a? think	JIC .
24 Q. Lo	our LED bulbs that have a so-called	here,	MR. O Bica catalog.	
	that have a so-cull	۱۸	opy or a	- •
those bulbs?	our LED builds are	5 to you	our catalog? Sur catalog?	es. What is t
1 A. G45 15 C	2 . 40	6 Q. R. Yes	our catalog: Ext one is a FlameTip Serications for the lens of th	haped like a .
2 G45 base. 3 What is a	G45 base? Tain dimension or base style. Tain dimension or base style. Tan your base the LEDelier series.	I I the Ne	ext one is with the lens	u.2
4 Q. What is	ain dimension.	\ 8 Q. A. Ar	LED built	that bulb:
5 Then Y	u have the LL	1 s tip.	the applications.	of some cire
6 Q. Then re	G45 base? Tain dimension or base style. Tain dimension or ba	mercial or \10 Q. Wha	LED bulb with the value of the applications for the applications for Again, decorative lighting Again, decorative lighting automatical types.	
7 describe	ese are LED used in change or com	mercia. 11 Q.	F9-	7
8 A. incand	that bulb? that bulb? see are LED bulbs used to reprace see are LED bulbs used in chandeliers. escent lamps used in chandeliers. d that be for residential use or com case wo	Val.	ial and los	+h
9 Wou'	d that be	ald those be $\begin{pmatrix} 13 \\ 14 \end{pmatrix}$ Q. Co	Sure. Yes.	ent next co
		15 A.	Sure. Yes. You notice on this docum You notice on this does You put a TM, what does Trademark.	the In is
\11 A.	Both. LEDison and Commercial?	_{16} Q.	ou put a TM, What	L-cignation !
12 Q. WI	Both. The LEDison and O. Amat about the LEDison and	iso series, 17	you notice on a you notice on a you put a TM, what does you put a Tm, what does A. Trademark. Do you understand that you claim trademarks you claim trademarks are serie?	t designed in the
1 1 2 20	מונטייי אחווטיי והמונבי	1 1 1	Do you undersiant tradema	rk rigino.
14 A	Sure. I'm hot one is the salar right. The next one is the salar right. Sure. I'm hot one is the salar right. Sure. I'm hot one is the salar right. Sure. I'm hot one is the salar right.	$_{\text{called M50}}$ $_{19}$ Q.	that you claim us	
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	All right. describe that by replaces a 50	20		
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\			FlameTip: A. Yes, we did. Q. Do you notice that Y LEDison G45, LEDeli	OU GOT M50 C
17	A. All	used in down 22	O Do you nouse LEDeli	er, LLC
19	lamp. M50 lamp?	23	Q. LEDison G45, LEDG	ak same
$\sqrt{\frac{13}{20}}$ Q	A. An LED bulb that lamp. What's an M50 lamp? A. I believe that is a lamp that's A. in believe that is a lamp that's	\24		401-946
21	A. I believe tries lighting fixtures? What's down lighting fixtures? What's recessed light.			401-946
22	Q. What's down lighting was A. Like a recessed light.	The same of the sa		
23	Q. What's a recessed in		•	
24	A			

				Nobelet : cros
		Page 54		Page 56
1		A. An oversight.	1	
2	Q.	It's an oversight, sir?	2	• •
3	•	A. On the LEDison, LEDelier and FlameTip are all	3	
4		trademarks, as far as we're concerned. The others	4	•
5		are all industry designations of lamp base.	5	
6	O.	I see. The last one is LED-R50 and R63 series.	6	
7	٠.	Could you describe those bulbs?	7	·
8		A. These are LED bulbs equivalent to an	8	·
9		incandescent R50 or R63 bulb.	9	•
10	0	What's an R50 and R63 bulb? What strike that.	10	•
11	ų.	What are R50 and R63 bulbs?	11	•
12		A. These are bulbs that are used again in	12	•
13		recessed lighting fixtures.	13	
14	^	Would that be residential and commercial?	ŧ	3
1	Ų.	A. Yes.	14	, , ,
15	^		15	,
16	Ų.	Sir, could you tell us, when did you first start	16	•
17		marketing the LEDison series?	17	• • • • • • • • • • • • • • • • • • • •
18	_	A. The late 1990s.	18	
19	Q.	What about the G45 series?	19	3 ,
20		A. Let's see, with the I believe with the	20	•
21		publication of our latest catalog in 2002.	21	3 ,
22	Q.	Could you tell me when you started marketing each	22	, ,
23		of the other series on this page?	23	,
24		A. All of them I believe with the publication of	24	4 Sir, I'm going to mark as Petitioner's Exhibit 7
		Page 55		
1		our current catalog printed in the year 2002. The	1	Page 57
2		LEDelier might predate.	2	. ,
3		- · · · · · · · · · · · · · · · · · · ·	3	•
4			4	, ,
5			5	
6			6	,,,,
7			7	
8			8	(Ellistens Ellisti)
9				
10			9	
11			10	
12		REDACTED	11	_
13		• • • • •	12	•
14			13	
15			14	
16			15	
17			16	DEIMETER
18			17	-
19			18	
20			19	
21	Δ.	Do you have decreased that we go at the time	20	
22	ų.	Do you have documents that reflect the dollar	21	
23		volume of your sales of LEDison bulbs since you	22	
l		first started selling LEDison brand product? A. Yes.	23	
74				
24		A. Tes.	24	4

Robert P. Cross

	Se	pten	ոե
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1		1	Page 60 production of the catalog that you had were
2		2	using in 1998/99.
3		3	MR. O'BRIEN: I think we've produced
4		4	that. If you go through that with him, I think
5		5	you will find it's produced. If I'm mistaken, we
6		6	will produce it.
7	•	7	MR. OSTRAGER: Okay. Fine.
8		8	Q. Do you have any recollection how the first
9		9	transaction came about with respect to LEDison
10		10	series bulbs?
11		11	A. No.
12		12	
13		13	
14		14	
15		15	
16		16	
17		17	
18		18	
19	REDACTED	19	
20		20	
21		21	
22 23		22 23	
24		23 24	
24		24	
			REDACTED
1		1	Page 61
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10		10	
11		11	
12		12	
13		13	
14		14	
15		15	
16	Was the LEDisco as its	16	
	Was the LEDison series shown in your catalog in	17	
1	.998? A. 1998? I'm not sure.	18	
1	· · · · · · · · · · · · · · · · · · ·	19	
1	Do you have a copy of your 1998 catalog? A. I believe that would be the prior catalog,	20 21	
	Ithough I'm not 100 percent sure what time period	22	
1	covered.	23	
24	MR. OSTRAGER: Well, I'd ask for	24	1
	Tony I a doctor		

1	52			Page 64
1	72	1		A. Yes.
2		2		So does the writing on this document reflect your
3		3		writing or your company's writing?
4		4		A. Again, I think what someone was trying to
5		5		denote was the base type they wanted.
6		6		Is Edison red a base type?
7	DEDACTED	7		A. Well, the word Edison base is sometimes used
8	REDACTED	8		in the
9		9	Q.	Where do you see the word "base" on this document?
10		10		A. I don't. I'm just trying to interpret what
11	t	11		we're looking at here for you.
12	,	12	Q.	I'd like you to comment on the actual words that
13	1	13		are on the document.
14		14		A. It's either, as I say, a request for a
15	Q. Let's take a look at first page of this Exhibit 8,	15		certain base type or it's an error.
16	241, can you tell us what that document	16	Q.	It's an error?
17	represents?	17		A. But in any case, it's an internal document
18	A. A purchase order in 1999 for LEDison lamps.	18		between us and a vendor.
19	Q. I'm looking at this page, it says "Edison red"; do	19	Q.	All right. Now under item number we see the
20	you see that?	20		numbers 949250; do you recognize that item number?
21	A. Yes, I do.	21		A. That would be a LEDison lamp.
22	Q. Whose handwriting is that?	22	Q.	Is it a red bulb?
23	A. I don't know.	23		A. Yes.
24	Q. Would it be in your business practice do people	24	Q.	So would it be fair to say that this is an order
	Page 63			Page 65
1	abbreviate LEDison and use the designation Edison?	1		for red Edison bulbs?
2	A. Never.	2		MR. O'BRIEN: Objection to form.
3	Q. Does this show use of Edison, this document?	3		A. No.
4	MR. O'BRIEN: Objection to form.	4	Q.	Even if it's an error, that's what it is, is it
5	Q. Does Bates number 00241 show use of the	5	I	not, sir?
6	designation Edison?	6		A. No. It's an order for red LEDison bulbs.
7	MR. O'BRIEN: Objection to form.	7		Olinia Art III III A III A
18	MR. OSTRAGER: You can answer it yes	R	Q.	Okay. And mistakenly designated Edison?
1 -		l °	Q.	A. Absolute mistake.
9	or no, sir.	9	Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this
9	or no, sir. A. No, it doesn't. What it's referring to is	9	Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time?
9 10 11	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I	9 10 11	Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge.
9 10 11 12	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and	9 10 11 12	Q. Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd
9 10 11 12 13	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking	9 10 11 12 13	Q. Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs?
9 10 11 12 13 14	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word	9 10 11 12 13 14	Q. Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No.
9 10 11 12 13 14 15	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket.	9 10 11 12 13 14 15	Q. Q. Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that?
9 10 11 12 13 14 15 16	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison	9 10 11 12 13 14 15 16	Q. Q. Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be.
9 10 11 12 13 14 15 16 17	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison red"?	9 10 11 12 13 14 15 16 17	Q. Q. Q. Q. Q.	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be. Have you ever received any communications from any
9 10 11 12 13 14 15 16 17 18	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison red"? A. Yes.	9 10 11 12 13 14 15 16 17 18	Q. Q	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be. Have you ever received any communications from any customers which refer to your product as Edison
9 10 11 12 13 14 15 16 17 18	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison red"? A. Yes. Q. What is Edison red?	9 10 11 12 13 14 15 16 17 18 19	Q	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be. Have you ever received any communications from any customers which refer to your product as Edison bulbs?
9 10 11 12 13 14 15 16 17 18 19 20	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison red"? A. Yes. Q. What is Edison red? THE WITNESS: Do you mean what are	9 10 11 12 13 14 15 16 17 18 19 20	Q. (1) (2) (2) (3) (4) (4) (4) (4) (4) (4) (4) (4) (4) (4	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be. Have you ever received any communications from any customers which refer to your product as Edison bulbs? A. Never. Now, the stylized presentation of the
9 10 11 12 13 14 15 16 17 18 19 20 21	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison red"? A. Yes. Q. What is Edison red? THE WITNESS: Do you mean what are they asking for?	9 10 11 12 13 14 15 16 17 18 19 20 21	Q	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be. Have you ever received any communications from any customers which refer to your product as Edison bulbs? A. Never. Now, the stylized presentation of the name always capitalizes LED, okay, for any
9 10 11 12 13 14 15 16 17 18 19 20	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison red"? A. Yes. Q. What is Edison red? THE WITNESS: Do you mean what are	9 10 11 12 13 14 15 16 17 18 19 20	Q. Q	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be. Have you ever received any communications from any customers which refer to your product as Edison bulbs? A. Never. Now, the stylized presentation of the name always capitalizes LED, okay, for any external consumption. So it's always I
9 10 11 12 13 14 15 16 17 18 19 20 21 22	or no, sir. A. No, it doesn't. What it's referring to is the crossed-out portion of the purchase order I can see says "HY" which means, hardwire type, and they will replace it with what they're asking for is an Edison base lamp, which is another word for a medium base socket. Q. Sir, does this document bear the words "Edison red"? A. Yes. Q. What is Edison red? THE WITNESS: Do you mean what are they asking for? Q. Who generated this purchase order, sir?	9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q	A. Absolute mistake. Have you ever made that mistake subsequent to this time? A. Not to my knowledge. Has anybody ever called up a customer and said I'd like some of those Edison LEDs? A. No. Never happens? You're certain of that? A. As certain as one can be. Have you ever received any communications from any customers which refer to your product as Edison bulbs? A. Never. Now, the stylized presentation of the name always capitalizes LED, okay, for any

r		*		NODELL F. CIC
		Page 66	1	Page 6
1		parse the word if you want to, but it's LED.	1	A. 155.
2		MR. O'BRIEN: Why don't you wait for	2	Q. I see. They both seem to refer to oh, I see
3		another question. I don't think there was a	3	green and red, but they both have the same
4		question pending there.	4	designation of 949250; is that correct?
5	Q	. On this document 00241, there's a box, Req. date,	5	A. Yes.
6		is that the request date?	6	Q. Okay. Did you receive the greens before the reds,
7		A. Required date, or requested date of delivery.	7	or what was the order?
8	Q	. Okay. And the date on this document is October	8	A. I believe we received all the samples around
9		25, '99; is that correct, sir?	9	the same time.
10		A. It's cut off, but I believe so, yes.	10	Q. So you received samples of amber, white?
11	0	Does this document represent your first order for	11	A. Yes, different colors.
12	~	Item Number 949250?	12	A. res, unreferre colors,
13		A. I'm not sure. We did have sales in 1998, but	13	DEDACTE
14		we were furnished lots and lots of samples. So,	1	REDACTE
ı		·	14	Q. In '98?
15		whether this is the first formal purchase order or	15	A. It appears in '98, yes.
16	_	not, I just I'm not sure.	16	Q. And then we have in Exhibit 8, this purchase
17	Q	. So is it your testimony that you initially	17	order, from which appears to be October '99?
18		received samples which you distributed and then	18	A. Okay.
19		thereafter you placed a formal purchase order?	19	Q. For the red; is that correct?
20		A. Yes.	20	A. Yes.
21	Q	. Do you know whether this is your first purchase	21	t
22		order, sir?	22	
23		MR. O'BRIEN: Objection. I think	23	
24		it's been asked and answered.	24	
				-
		Page 67		55
1		MR. OSTRAGER: Sir, you can answer	1	
2		the question.	2	
3	_	A. I'm not sure.	3	
4	Q.	Would you have any documents that would enable you	4	
5		to identify your first purchase order for Item	5	
6		Number 949250?	6	
7		A. I think this is the document.	7	
8	Q.	Okay. Now, in Exhibit 7 you highlighted several	8	
9		different item numbers, 949200, 949250 and 949409.	9	
10		Could you describe the difference between these	10	
11		three different items?	11	REDACTED
12		A. 949200 is amber colored LEDs; 949250 is red	12	KEDAOTES
13		LEDs; 949409 are white LEDs.	13	
14	Q.	Let's turn back to Exhibit 7 for a moment. Could	14	
15	•	you go through these documents and these are your	15	
16		original invoices for the product. Can you tell	16	
17		us which category of bulb is reflected in these		
18		particular documents?	17	
19			18	
17	0	THE WITNESS: Exhibit 8, you mean?	19	
	Q.		20	
20	_		71	
20 21	-	documents reflect the red bulbs?	21	
20 21 22	-	A. The first two are red, the third one is one	22	
20 21 22 23	Q.			

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1		- 1		Q.	1 3
		_	8	Q.	to products bearing the description LIL0005
9		t	8 9	Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations
10		d 3,	8	Q.	to products bearing the description LIL0005
l .			8 9	Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations
10			8 9 10	•	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs.
10 11			8 9 10 11 12	•	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb?
10 11 12 13			8 9 10 11 12 13	Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes.
10 11 12 13 14			8 9 10 11 12 13 14	Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog?
10 11 12 13 14 15			8 9 10 11 12 13 14 15	Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes.
10 11 12 13 14 15 16			8 9 10 11 12 13 14 15 16	Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now
10 11 12 13 14 15 16 17			8 9 10 11 12 13 14 15 16 17	Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see
10 11 12 13 14 15 16 17 18			8 9 10 11 12 13 14 15 16	Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now
10 11 12 13 14 15 16 17 18 19			8 9 10 11 12 13 14 15 16 17	Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see
10 11 12 13 14 15 16 17 18			8 9 10 11 12 13 14 15 16 17	Q. Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see that? A. Yes.
10 11 12 13 14 15 16 17 18 19			8 9 10 11 12 13 14 15 16 17 18 19 20	Q. Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see that? A. Yes. Is that the same product as shown on the first
10 11 12 13 14 15 16 17 18 19			8 9 10 11 12 13 14 15 16 17 18 19 20 21	Q. Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see that? A. Yes. Is that the same product as shown on the first page of this exhibit at Page 241 or a different
10 11 12 13 14 15 16 17 18 19 20 21 22			8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see that? A. Yes. Is that the same product as shown on the first page of this exhibit at Page 241 or a different product?
10 11 12 13 14 15 16 17 18 19 20 21 22 23			8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23	Q. Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see that? A. Yes. Is that the same product as shown on the first page of this exhibit at Page 241 or a different product? A. The housing is the same.
10 11 12 13 14 15 16 17 18 19 20 21 22			8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	Q. Q. Q.	to products bearing the description LIL0005 LIL0002, LIL0004. What do those designations refer to? A. Those refer to LEDison bulbs. LIL refers to a LEDison bulb? A. Yes. I would find that designation in your catalog? A. Yes. Let's turn to Page 00253 of this document. Now you see a LEDison red weatherproofed; do you see that? A. Yes. Is that the same product as shown on the first page of this exhibit at Page 241 or a different product?

	D 74		Dana 75
1	Page 74 product?	1	
2	A. Well, one difference is that it's	2	
3	weatherproofed.	3	
4	Q. Do you have a list of all of your item numbers	4	
5	that bear within the LEDison series?	5	
6	MR. O'BRIEN: I'm just going to	6	
7	object to the characterization to the LEDison mark	7	•
8	as a LEDison series. Go ahead, you can answer.	8	·
9	A. Yes.	9	_
10	Q. Would that be shown in your current catalog?	10	
11	A. What was the repeat the question, please.	11	1
12	Q. Sir, I'm just trying to identify all of the	12	2 Q.
13	different item numbers associated with the LEDison	13	· · · · · · · · · · · · · · · · · · ·
14	series bulbs.	14	4
15	A. Item numbers would not be in the catalog.	15	5
16	The description would be.	16	6
17	Q. Where would I find a list of all the item numbers?	17	7 Q.
18	A. The item numbers would be in the internal	18	8
19	inventory documents.	19	9
20	MR. OSTRAGER: Sir, I'm going to mark	20	0
21	as Exhibit 9 a collection of inventory control	21	.1
22	documents and invoices bearing your company's	22	2
23	Bates stamp number 00157 through 00163. I'm going	23	.3
24	to ask the court reporter to mark it as	24	.4
-		<u> </u>	·
	Page 75		
1	Petitioner's Exhibit 9 and then request that you	1	
2	take a look at the documents and tell us if you	2	ILLUAGICI
3	can identify them.	3	3
4	(PETITIONER'S EXHIBIT 9 MARKED	4	
5	FOR IDENTIFICATION)	5	5

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18	18		
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20	20		
21	21		
22	22		
23	23	Q.	Of LEDison series bulbs that have been operating
24	24		for years?
			Page 81
1 2	1	_	A. Yes.
2	2	Q.	Ever receive any complaint letters concerning
3	3		LEDison bulbs?
5	4	_	A. Not to my knowledge.
6	5	Q.	Ever receive any complaint letters concerning any
7	6		of your products?
8	′′	^	A. I'm not sure what you mean by
9	8	Ų.	Do you maintain any files concerning
10	10		correspondence with your customers concerning product returns, quality issues, defective
11	11		products?
12	12		A. Well, let's see, if we sold a product and the
13	13		customer had a problem with it, we'd issue them a
14	14		return authorization number, they would send back
15	15		the product and we'd replace it or give them
16	16		credit.
17	17	0.	I said do you have a file or a database or
18	18	٠.	documents that would reflect those transactions of
19	19		LEDison series bulbs?
20	20		A. No.
21	21	0.	I wanted to identify any returns the company may
22	22	τ.	have received of LEDison bulbs or credits or
23	23		replacements that were sent out, would there be
24	24		any way to identify such transactions?
No.	100000000000000000000000000000000000000		

		Page 82	
1		A. It would be very difficult.	1
2	Q.	So you have no documents that you can identify in	2
3		that category?	3
4		A. There may be documents, but as far as	4
5		locating them, it would be unbelievably difficult.	5
6	Q.	Where would you maintain such documents?	6
7		A. It would be in the accounts receivable file.	7
8		But the problem would be in determining who were	8
9		the customers. The customers aren't designated by	9
10	_	the kind of product they purchase.	10
11	Q.	Who would be responsible in your company to handle	11
12		such returns?	12
13	_	A. Robert H. Cross.	13
14	Q.	Is that your son?	14
15	_	A. Yes.	15
16 17	Ų.	Where is he based?	16 17
l	_	A. In Providence.	1 -
18 19	Ų.	You maintain your offices in Providence? A. Just the one location.	18 19
20	Q.		20
21	Q.	A. No.	21
22	0	Where do you maintain your warehouses?	22
23	٧.	A. In Providence.	23
24		MR. OSTRAGER: Sir, I'm going to mark	24
l			1 - '
			ļ
		Page 83	-
1		as Petitioner's Exhibit 10, Mule Emergency	1
2		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices	2
2		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164	2
2 3 4		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks	2 3 4
2 3 4 5		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it	2 3 4 5
2 3 4 5 6		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it and tell us if you can identify it.	2 3 4 5 6
2 3 4 5 6 7		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it and tell us if you can identify it. (PETITIONER'S EXHIBIT 10	2 3 4 5 6 7
2 3 4 5 6 7 8	-	as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it and tell us if you can identify it.	2 3 4 5 6 7 8
2 3 4 5 6 7 8 9	-	as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it and tell us if you can identify it. (PETITIONER'S EXHIBIT 10	2 3 4 5 6 7 8 9
2 3 4 5 6 7 8 9 10	-	as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it and tell us if you can identify it. (PETITIONER'S EXHIBIT 10	2 3 4 5 6 7 8 9
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it and tell us if you can identify it. (PETITIONER'S EXHIBIT 10 MARKED FOR IDENTIFICATION)	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20
2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21		as Petitioner's Exhibit 10, Mule Emergency Lighting Inventory Control, and the invoices bearing Mule document production numbers 00164 through 00181. And after the court reporter marks the document, I'll ask you to take a look at it and tell us if you can identify it. (PETITIONER'S EXHIBIT 10 MARKED FOR IDENTIFICATION)	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21

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4 Q. The top right-hand corner of this Exhibit 15, tell	4
5 us what that notation is in the top-right hand 6 corner?	5 6
7 A. T-h-o-s Edison.	7
8 Q. T-h-o-s Edison?	8
9 A. Yes.	9
10 Q. Is that like Thomas Edison?	10
11 A. Exactly.	11
12 Q. Can you tell me whose handwriting that?	12
13 A. That's mine.	13
14 Q. Why do you have Thomas Edison there?	14
A. Because that's how I internally refer to this	15
16 case or whatever. 17	16
18	17 18
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6	Q. Again, you have a reference to Thomas Edison on	6		
7	that document; is that your handwriting?	7		
8	A. Yes, it is.	8		
9	Q. Again, why do you have that reference?	9		
10	A. Because I felt this whole proceeding was a	10		
11	joke, so I call it the Thomas Edison file.	11		
12	Q. So you think this whole proceeding is a joke?	12		
13	A. Yeah.	13		
14	Q. Okay. Why do you think it's a joke, sir?	14		
15	A. I don't get the connection.	15		
16	Q. Do you think there is any similarity between	16		
17	LEDison and Edison in terms of	17		
18	A. No, I do not.	18		
19	Q. How many letters do they share?	19		
20	A. Well, let's see, six.	20		
21	Q. What is the distinction in terms of alphabetic	21		
22	letters between LEDison and Edison?	22		
23	A. The capital L, E and D.	23		
24	Q. How many different letters are there?	24		n
 				REDACTED
1	Page 103			Page 105
1	A. There would be one different letter.	1		, 450 200
2	Q. One different letter?	2		
3	A. Yeah.	3		<u>I</u>
4	Q. Okay. Would you have a problem, sir, if somebody	4		
5	opened up electrical lighting company and called	5		ı
6	it LMule?	6		,
7	MR. O'BRIEN: Objection. Calls for	7		MR. OSTRAGER: Okay. Thank you.
8	speculation. Argumentative.	8		Sir, I'm going to mark as Petitioner's Exhibit 23
9	Q. I'm asking you whether you would consider that to	9		a collection of documents which is printed from
10	be a problem.	10		Cooper Lighting's web page, it consists of four
11	MR. O'BRIEN: I'm going to object	11		pages, we'll mark that 23 and ask the court
12	again. It calls for speculation and	12		reporter to provide you with a marked copy.
13	argumentative.	13		(PETITIONER'S EXHIBIT 23
14	MR. OSTRAGER: You can objection.	14		MARKED FOR IDENTIFICATION)
15	MR. O'BRIEN: Let me finish and state	15	Q.	Sir, I'd like you to look through this document
16	my objection. Go ahead, you can answer.	16		and tell me if you've ever soon any of these pages
17	A. I can't answer it, I don't know.	17		before?
18	Q. You don't know whether it would be troublesome to	18		A. I know I've been to the McGraw-Edison site.
19	you?	19		Whether I was on Cooper's home page or not, I'm
20	A. Right.	20		not sure.
21		21	Q.	Why don't you turn to the third page of this
22	DED 4 0===	22		document. Do you see on the left-hand column a
23	REDACTED	23		list of various brand names?
24		24		A. Yes, I do.
		1		l l

Page 108 Page 106 Q. Are you familiar with any of those products? 1 shows? 2 THE WITNESS: What? A. Yes, I am. 3 MR. OSTRAGER: McGraw-Edison. Q. Why don't you go through them and tell me what you 3 know about each of those products? 4 4 A. Probably. 5 Q. Is McGraw-Edison a brand you're generally familiar 5 A. Sure-Lites is a direct competitor of Mule. 6 Q. What is Sure-Lights, what product is -with? 6 7 A. Emergency lights and exit signs. Q. Do you know anything about the history of the 8 8 Q. Any others that you're familiar with? 9 A. AtLite, direct competitor, emergency lights 9 McGraw-Edison Company? and exit signs. A couple of these other names 10 10 A. No, I don't. Q. Are you aware McGraw-Edison is a successor to 11 I've seen, but I am not familiar with what the 11 12 exact products are. Like that Metalux, I've seen 12 Thomas A. Edison? 13 that name before, but I have no idea what they 13 A. No, I'm not. 14 14 Q. Are you aware that Thomas A. -make. 15 Q. How about CooperLED? 15 A. I am now. 16 A. No. 16 Q. Are you aware Thomas A. Edison marketed light 17 Q. Never heard of it, 17 bulbs? 18 A. Cooper Lighting. 18 MR. O'BRIEN: Objection to form. 19 Q. Turn to the next page. You see under the heading 19 A. I know he invented it. 20 CooperLED, I'll read it into the record, 20 Q. Okay. Sir, earlier on, sir, I had shown you 21 "CooperLED led traffic signals are" -- "CooperLED, 21 Exhibit 2, which was the petition to cancel. Did 22 LED traffic signals are designed to meet rigid 22 you ever read that document, sir? 23 traffic control device standards established by 23 A. I reviewed it, yes. 24 the various jurisdictional entities throughout the 24 Q. Is there any explanation in that document Page 107 Page 109 1 United States and Canada." Are you familiar with concerning McGraw-Edison and its heritage? 1 2 2 that product at all? A. Well, I'm noticing it for the first time on 3 A. I know there are LED traffic signals in the 3 Page 5. 4 marketplace. 4 MR. OSTRAGER: Let's move on, sir. 5 5 Q. Do you sell LED traffic signals? I'm going to mark as Petitioner's Exhibit 24 a 6 A. No. 6 collection of documents under the heading Cooper 7 Q. Would you consider that product to be competitive 7 Lighting McGraw-Edison, consisting of 16 pages, it 8 with yours? 8 was printed from the website on September 22nd, 9 9 A. No. 2004. I'll ask the court reporter to mark it and 10 Q. Turn to the third page again, you see 10 show it to you. 11 McGraw-Edison. Under that heading it reads, 11 (PETITIONER'S EXHIBIT 24 12 "Durable efficient HID fixtures." Does your 12 MARKED FOR IDENTIFICATION) 13 company sell fixtures of that type? 13 Q. Sir, you testified earlier that you may have 14 A. Do not manufacture fixtures of that type. 14 looked at the McGraw website, do you recognize any 15 Q. What type of fixtures do you sell? 15 of the pages in this document. 16 A. LED bulbs, exit fixtures and emergency lights 16 A. I do recognize some of them, yes. 17 are the major categories. 17 Q. Let's read into the record some of the text on 18 Q. Have you ever encountered Cooper Lighting at 18 Page 1 of this exhibit. "McGraw-Edison offers a 19 19 various trade shows? range of versatile, high performance and efficient 20 A. I've seen the divisions at trade shows. You 20 HID fixtures for outdoor applications." Were you 21 know, maybe it says Cooper Lighting across the top 21 aware of that prior to today? 22 of the booth. A lot of large companies will have 22 THE WITNESS: Am I? 23 multiple divisions under the same umbrella, but... 23 Q. Were you aware of that, that McGraw-Edison 24 Q. Have you ever seen McGraw-Edison at any trade 24 marketed such products prior to today?

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		Page 110			Page 112
1	_	A. Yes. When I received Exhibit 2.	1		(PETITIONER'S EXHIBIT 25
2	Q.	Prior to that you never heard of McGraw-Edison?	2		MARKED FOR IDENTIFICATION)
3		A. I had heard of it, but again, was not	3	Q.	Please take a look at this document and tell me
4		familiar with what kind of products they offered.	4		whether you've ever seen any of these pages on the
5	Q.	When you conducted your Internet search when you	5		Web.
6		first derived the LEDison mark, did you see a	6		A. I don't believe so, no. It's all traffic,
7		reference to McGraw-Edison?	7		right?
8		A. Absolutely not.	8	Q.	Take a look at it.
9	Q.	Now in the right-hand column on Page 1 of this	9		(PAUSE)
10		document there's a list of product categories?	10	Q.	Does your company have any involvement in the
11		A. Uh-huh.	11		traffic field?
12	Q.	Areas/roadway, decorative, flood lighting,	12	,	¸A. No.
13		parking, garage, pathways, poles, wallmount. Do	13	[MR. OSTRAGER: Sir, we're going to
14		you sell products in these categories?	14		mark as Petitioner's Exhibit 26, a printout from
15		A. No, we don't.	15		Cooper Lighting's web page for the brand AtLite
16	Q.	Do your LED's have applications in any of these	16		which consists of 24 pages. After it's marked,
17		categories?	17		we'll ask you to take a look at it.
18		A. Well, the decorative, perhaps, but it's too	18		(PETITIONER'S EXHIBIT 26
19		general a term here. It appears the kind of	19		MARKED FOR IDENTIFICATION)
20		products that they're manufacturing are outdoor,	20		(PAUSE)
21		high abuse and very high illumination. The LED	21		A. Okay.
22		bulbs are basically accent lighting, whether it's	22	Ο.	Are you familiar with this website?
23		used as decorative or even on a pathway, say,	23	•	A. No.
24		okay.	24	Q.	You've never seen it before?
 			<u> </u>		
		Page 111			Page 113
1	Q.	Would electrical suppliers distribute products	1		A. I might have seen a couple of these pages on
2		shown in Exhibit 24?	2		the emergency lighting units or even the LED exit
3		A. Yes, they would.	3		signs.
4	Q.	Would your customer sell the products that are	4	Q.	Is AtLite a direct competitor of yours?
5		shown in Exhibit 24 and you can look through this	5		A. Yes, they are.
6		whole exhibit?	6	Q.	Are you familiar with the products shown on these
7		MR. O'BRIEN: Objection. Calls for	7		various pages?
8		speculation. I'll let him answer if he knows.	8		A. Only some of them. None of the first few
9	Q.	,	9		pages.
10		A. No.	10	Q.	Why don't you tell me which products you're
11	Q.	Are the products shown in Exhibit 24 distributed	11		familiar with.
12		through electrical suppliers?	12		A. The H industrial series; the recessed gimbal
13		A. I believe they are.	13		page, the FP battery pack page, the XLA1 page,
14		MR. O'BRIEN: Objection. Again.	14		XPAB page two pages, I believe that's it.
15		Calls for speculation.	15	Q.	Are those products competitive with your lines?
16		A. I don't know if McGraw-Edison does.	16		A. Yes, they are.
17	Q.	But in general?	17	Q.	Do you encounter AtLite at various trade shows?
18		A. In general, yes.	18		A. Probably, sure.
19		MR. OSTRAGER: Sir, I'm going to mark	19	Q.	Are you aware that AtLite sells into is
20		as Petitioner's Exhibit 25 a printout from Cooper	20		approved for sale of its emergency lighting and
21		Lighting's website under for their product brand	21		signage in New York City?
22		CooperLED and it consists of eight pages and we'll	22		A. I wasn't, until I'm reading this first page
23		mark it as Petitioner's Exhibit 25.	23		here.
24			24		DEDACTED
£500000			20.55		REDACTED

Page 116 1 1 sometimes. 2 2 Q. How about the rest of these categories? 3 3 A. Well, we don't sell to associations, mass 4 4 merchandisers, residential home builders, no. REDACTED 5 5 It's possible we've sold to a utility before. 6 6 Q. Sir, does anyone from your company attend trade 7 7 shows around the country? 8 8 A. That would be me. 9 9 Q. Okay. How many trade shows have you attended in 10 10 the last -- from January '03 to the present? MR. OSTRAGER: We'll mark as 11 11 A. One. 12 Petitioner's Exhibit 27 a Cooper Lighting web 12 Q. Which one is that? 13 pages with the heading, "About Cooper" on Page 1 13 A. That Lightfair in New York City, it's called 14 and consists of seven pages. 14 Lightfair. 15 (PETITIONER'S EXHIBIT 27 15 Q. When was that? 16 MARKED FOR IDENTIFICATION) 16 A. Let's see, it was either 2002 or 2003. Q. If you could turn through these pages, sir. 17 Q. Do you plan to attend any trade shows in 2004? 17 18 (WITNESS PERUSING DOCUMENTS) 18 A. I have no specific plans, not to say that I 19 Q. Have you have seen these pages? 19 wouldn't. 20 A. I don't believe so, no. 20 Q. Okay. Why don't you look down the list of trade 21 Q. Let's turn to the last three pages of the 21 shows listed here and tell me whether or not you 22 document, it has the heading "About Cooper," and 22 have any knowledge concerning these various trade 23 then there is the following text, "Cooper Lighting 23 shows, and I'm referring to the list of trade 24 actively supports and participates in trade shows 24 shows on the last three pages of Exhibit 27. Page 115 Page 117 1 that serve industries such as residential home 1 A. The question is am I familiar? 2 builders, electrical contractors, industrial and Q. What knowledge do you have of these trade shows? 2 3 plant facility managers, utilities and departments A. I've heard of this Lightfair International, 3 4 of transportation, local electrical associations 4 but I've never been to it. 5 and retail mass merchandising." Do you see that, 5 Q. Do these various trade shows -- let's look at them 6 sir? 6 in order beginning in January '04, we have 7 A. Yes. 7 ACA-winter. Do you know what that refers to? Q. Would that description also apply to Mule 8 8 A. No. 9 Lighting? 9 Q. How about the NAHB? 10 A. No. 10 A. No. 11 Q. In what respects would it differ? 11 Q. The SPECS? 12 A. We don't participate in trade shows. 12 A. No. 13 Q. You don't participate in any trade shows anywhere? 13 Q. The Clean Rooms-East? 14 14 A. No. 15 Q. Well, do you sell into the market identified in 15 Q. 21st Century Expo & Conference? 16 that text, namely, residential home builders, 16 A. No. 17 electrical contractors, industrial and plant Q. Lightfair International, you're familiar with? 17 18 facility managers, utilities and departments of 18 A. Yes. 19 transportation, local electrical associations and 19 Q. What type of companies make presentations at 20 retail and mass merchandising? 20 Lightfair? 21 A. Definitely electrical contractors. 21 A. Lighting companies. 22 Q. Anything else? 22 Q. Like your own? 23 A. Maybe industrial and plant facility managers. 23 A. Sure. 24 some large very companies or organizations 24 Q. Then we have the SWEE, South West Electrical Expo.

		Page 118	Page 120
1 2		Any knowledge of that trade show? A. No.	1 C-E-R-T-I-F-I-C-A-T-E 2 I, LINDA L. GUGLIELMO, a Notary Public in and for
3	O.	How about the Upper Midwest Electrical Expo?	the State of Rhode Island, duly commissioned and qualified to administer oaths, do hereby certify
4	٠.	A. No.	that the foregoing deposition of ROBERT P. CROSS, a Witness in the above-entitled cause, was taken
5	Q.	Main Street?	before me on behalf of the Petitioner at the
6		A. No.	5 offices of Allied Court Reporters, 115 Phenix Avenue, Cranston, Rhode Island, on September 28,
7	Q.	Retail Construction Expo; no?	6 2004, at 9:30 A.M. that previous to examination of
8	-	A. No.	said witness, who was of lawful age, he was first 7 sworn by me and duly cautioned and sworn to testify
9	Q.	Go down the list on the next page.	the truth, the whole truth, and nothing but the
10		A. American Lighting Association, I've heard of	8 truth, and that he thereupon testified as in the foregoing manner as set out in the aforesaid
11		that association, I don't know if they have a	9 transcript. 10 I further certify that the foregoing deposition was
12		trade show. NAED stands for National Association	taken down by me in machine shorthand and was later
13		of Electrical Distributors. I've never been to	transcribed by computer and that the foregoing deposition is a true and accurate record of the
14		one of those. AIA I'm familiar with because I've	testimony of said witness. Pursuant to Rule 5 (d) and 30 (f) of the Federal
15		used architects in the past personally. IES is	Rules of Civil Procedure, original transcripts
16		Illumination Engineering Society, I don't believe	shall not be filed in court; therefore, the original is delivered and retained by Petitioner's
17 18	Ω	they have trade shows but I know that. Do you participate in any of their activities?	15 attorney.
19	Ų.	A. No. Graybar who we've sold that's a	Signature of the witness has been waived by all parties.
20		national chain, so I don't know what that means.	17 18 IN WITNESS WHEREOF, I have hereunto set my hand
21		Of course I know who they are. Lightshow West,	19 thisDAY OF October 2004.
22		maybe, I've heard of that. I don't know what it	20 21
23		is. NECA I believe is National Electrical	22 LINDA L. GUGLIELMO, NOTARY PUBLIC/RPR-RMR 23 (MY COMMISSION EXPIRES AUGUST 13, 2005)
24		Contractors Association. Again, I don't know if	24 (PT COMPISSION EXPIRES AUGUST 15, 2003)
1 2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22 23 24		they have a trade show. I've never attended any of their things. And Retail Construction, I don't know them. MR. OSTRAGER: Sir, I thank you. I have no further questions. Maybe your attorney may have some cross? MR. O'BRIEN: I don't have any questions. THE REPORTER: Do you want the original and a copy? MR. OSTRAGER: The original and a mini. MR. O'BRIEN: I'll have the mini and one full size. (DEPOSITION CLOSED AT 1:32 P.M.)	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

III tile iviation or	In	the	Matter	of:
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Trademark Registration No.: 2,324,402

Mark: LEDison

Class: 009

McGRAW-EDISON COMPANY,

Petitioner,

Cancellation No. 92042545

v.

MULE LIGHTING, INC.,

Registrant

REGISTRANT'S ANSWERS TO PETITIONER'S FIRST SET OF INTERROGATORIES

Registrant, Mule Lighting, Inc. ("Mule") pursuant to Rule 33 of the Federal Rules of Civil Procedure, hereby submits its answers to Petitioner, McGraw-Edison Company's First Set of Interrogatories.

GENERAL OBJECTIONS

- 1. Mule objects to the Instructions portion of Petitioner's Interrogatories to the extent it imposes a duty or seeks information beyond that which is provided for by the Federal Rules of Civil Procedure.
- 2. The responses provided herein are based upon the best relevant information presently available to Mule and are made without prejudice to the right of Mule to make

additional or modified responses should better or further information or documentation subsequently become available to it. These responses also are made without prejudice to any right of Mule to offer evidence on its behalf or to object to the relevance, competence, or admissibility on any ground of any evidence or witness offered by Petitioner; and these responses do not constitute an admission of competence or admissibility of evidence or a waiver of objection on any ground. Mule's discovery is continuing and Mule reserves the right to supplement these Responses.

- 3. Mule objects to each of Interrogatories 1-16 to the extent that any seek information or documents that are subject to the attorney-client privilege, constitute attorney work product, or are otherwise immune from discovery under the Federal Rules of Civil Procedure.
- 4. Mule objects to each of Interrogatories 1-16 to the extent that Petitioner seeks documents or information that is unreasonably cumulative or duplicative, or is obtainable from some other source that is more convenient, less expensive, or less burdensome, or where the burden or expense to Mule of the proposed discovery outweighs its likely benefit to Petitioner, taking into account the needs of the case, the amount in controversy, the parties' resources, the importance of the issues at stake in the litigation, the importance of the proposed discovery in resolving the issues, and the availability of the information to Petitioner from other sources.
- 5. Mule objects to each of Interrogatories 1 16 to the extent that any of them seeks the production of any information that is irrelevant and not likely to lead to the discovery of admissible evidence.
- 6. Mule specifically incorporates each of these General Objections into each of its specific responses to Interrogatories 1 16, whether or not express reference is made therein.

INTERROGATORY NO. 1: Please identify all present and former officers, directors, executives and managers of MULE.

ANSWER: Robert P. Cross, Melissa Cross, Robert H. Cross, Jeffrey P. Cross

INTERROGATORY NO. 2: Please identify the Person employed by MULE or who on behalf
of MULE is responsible for:

- (a) the selection and adoption of the LEDISON Designation, including the dates on which it was first decided to adopt the designation, and the circumstances and method by which the designation was selected, created and adopted;
- (b) the decision to register the LEDison Designation in the United States Patent and Trademark Office;
- (c) any search reports and/or investigations prepared by or for MULE that concern the LEDISON Designation;
 - (d) the preparation, filing and maintenance of the MULE's Trademark Registration;
 - (e) the manufacture and/or creation of MULE's Products and Services;
- (f) the display, marketing, promotion and/or advertising for MULE's Products and Services;
- (g) the distribution, licensing, sales or similar transactions involving MULE's Products and Services;
- (h) the maintenance of financial records for MULE's Products and Services, including but not limited to, marketing, advertising, surveys, research and development, licensing, sales or other transactions for the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it seeks to discover information protected by the attorney/client privilege and work-product privilege. Subject to and

without waiver of the above objections and the General Objections, Mule responds as follows:

- (a) Robert P. Cross and Robert H. Cross
- (b) Robert P. Cross
- (c) Robert P. Cross
- (d) Robert P. Cross
- (e) Robert P. Cross
- (f) Robert P. Cross and Robert H. Cross
- (g) Robert P. Cross, Robert H. Cross, Sam Livesley and Donna Brouillette
- (h) Donna Brouillette

<u>INTERROGATORY NO. 3</u>: Identify all goods and services on which MULE has used or intends to use the LEDISON Designation.

ANSWER: LEDison Series of LED lamps.

INTERROGATORY NO. 4: Identify all searches, surveys, marketing studies or reports of any survey results relating to the LEDISON Designation which MULE has ever conducted or caused to be conducted or has in its possession.

ANSWER: OBJECTION. Mule objects to this interrogatory as it seeks to discover information protected by the attorney/client privilege and work-product privilege. Mule further objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: Robert P. Cross performed an Internet search to determine whether there were any other uses of the mark LEDison and performed an Internet search to determine if the domain name LEDISON.COM had been registered.

INTERROGATORY NO. 5: Identify the class of consumers to whom MULE markets and/or

sells or intends to market and/or sell MULE's Products and Services.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objection and the General Objections, Registrant answers as follows: Mule primarily sells to energy service companies as well as distributors and electrical wholesalers.

INTERROGATORY NO. 6: Identify the channels of trade through which MULE uses, or intends to use, the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objection and the General Objections, Registrant answers as follows: See Mule's answer to Interrogatory Number 5.

INTERROGATORY NO. 7: Identify all media through which MULE has promoted or marketed its goods and services under the LEDISON Designation, with specificity and frequency, including, but not limited to, specific magazines, newspapers, journals, Internet, radio, and television.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: From 1999 through 2002 Mule used the website LIGHTWORLD.COM and from 2000 to the present Mule uses the website MULELIGHTING.COM. Mule has also published two catalogs and had press releases published through a public relations firm. Mule also had a display table at an LED convention in California in 2000 wherein catalogs containing the mark were made available. Mule has also advertised through various Internet companies such as Google and Overture.

INTERROGATORY NO. 8: Identify all media through which MULE intends to advertise,

promote or market its goods and services under the LEDISON Designation including, but not limited to, magazines, newspapers, journals, Internet, radio, and television.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: The website MULELIGHTING.COM, catalogs, brochures, press releases and advertising over the Internet

INTERROGATORY NO. 9: Identify each Person which has accepted or sold MULE's Products and Services as a retailer, wholesaler and/or distributor or has contracted to accept or sell MULE's Products and Services as retailer, wholesaler and/or distributor.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 10: Identify each Person who has purchased or contracted to purchase MULE's Products and Services.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 11: Identify the costs and expenditures on a yearly basis of all advertising, marketing, promotional and related activities in connection with the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: In April of 2002, Mule hired a public relations firm to, among other

things, promote the LEDison band bulbs, the total expenditure of which currently exceeds \$10,000. Two catalogs and a brochure have been printed that include, but are not limited to, the LEDison brand bulbs, the cost of which is approximately \$5,000. Mule has advertised its products, including but not limited to the LEDison brand bulbs, over the Internet through companies such as Google and Overture. The approximate cost of this Internet advertising in 2003 was \$235,000, the approximate cost of Internet advertising in 2002 was \$200,000, the approximate cost of Internet advertising in 2001 was \$100,000 and the approximate cost of Internet advertising in 2000 was \$75,000. The cost associated with developing the LIGHTWORLD.COM website was approximately \$10,000 and the cost associated with developing the MULELIGHTING.COM website was also approximately \$10,000.

INTERROGATORY NO. 12: Identify the projected costs and expenditures on a yearly basis of all advertising, marketing, promotional and related activities in connection with the LEDISON Designation.

ANSWER: OBJECTION. Mule objects to this interrogatory as it is overly burdensome, vague and ambiguous. Subject to and without waiver of the above objections and the General Objections, Mule answers as follows: Mule will continue to use an outside public relations firm, however the projected cost has not been determined. Mule will continue to advertise over the Internet and expects that such expenditures will exceed the expenditure made in 2003. Mule has hired a full time web developer to further develop the website MULELIGHTING.COM at a cost of approximately \$40,000 per year.

INTERROGATORY NO. 13: Identify, in units and dollars, the sales or projected sales of MULE's Products and Services on a yearly basis for each good and service on which MULE has used or intends to use the LEDISON Designation.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 14: Identify the revenue earned and/or projected revenue to be earned, on a yearly basis, by MULE in connection with the distribution or sale of MULE's Products and Services.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 15: Identify the profits realized and/or projected to be realized by MULE on a yearly basis in connection with the sale of MULE's Products and Services.

ANSWER: OBJECTION. Registrant objects to this interrogatory as it seeks to obtain highly confidential information. Registrant will supplement this answer upon the execution of a protective order regarding such highly confidential information.

INTERROGATORY NO. 16: Identify each person who assisted in the preparation of the responses to these Interrogatories and state the number of each Interrogatory in connection with which such assistance.

ANSWER: OBJECTION. Mule objects to this interrogatory as it seeks to discover information protected by the attorney/client privilege and work-product privilege. Subject to and without waiver of the above objections and the General Objections, Mule responds as follows:

Robert P. Cross assisted in the preparation of each answer to these interrogatories.

I hereby declare, under the pains and penalties of perjury, that to the best of my knowledge, information and belief, the foregoing is true and correct.

MULB LIGHTING, INC.,

Date: 1/5/2004

Robert P. Cross

President

AS TO OBJECTIONS:

Charles F. O'Brien, Esq.

CANTOR COLBURN LLP

55 Griffin Road South

Bloomfield, Connecticut 06002

Telephone: (860) 286-2929

Facsimile: (860) 286-0115

CERTIFICATE OF SERVICE

I hereby certify that a true and accurate copy of the above Answers to Petitioner's First Set of Interrogatories was served via Express Mail Post Office to Addressee on this 5th day of January, 2004 upon:

Eric A. Lerner

Ostrager, Chong & Flaherty, LI

825 Third Avenue

New York, New York 1002/2-75

By:

Charles F. O'Brien, Esq.

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Edison

homas Alva Edison was the quintessential American inventor in the era of Yankee ingenuity. He began his career in 1863, in the adolescence of the telegraph industry, when virtually the only source of electricity was primitive batteries putting out a low-voltage current. Before he died, in 1931, he had played a critical role in introducing the modern age of electricity. From his laboratories and workshops emanated the phonograph, the carbon-button transmitter for the telephone speaker and microphone, the incandescent lamp, a revolutionary generator of unprecedented efficiency, the first commercial electric light and power system, an experimental electric railroad, and key elements of motion-picture apparatus, as well as a host of other inventions. Singly or jointly he held a world-record 1,093 patents. In addition, he created the world's first industrial-research laboratory.



Edison demonstrating his tinfoil phonograph, photograph by Mathew Brady, 1878.

Born in Milan, Ohio, on Feb. 11, 1847, Edison was the seventh and last child—the fourth surviving—of Samuel Edison, Jr., and Nancy Elliot Edison. At an early age he developed hearing problems, which have been variously attributed but were most likely due to a familial tendency to mastoiditis. Whatever the cause, Edison's deafness strongly influenced his behaviour and career, providing the motivation for many of his inventions.

Early years. In 1854 Samuel Edison became the light-house keeper and carpenter on the Fort Gratiot military post near Port Huron, Mich., where the family lived in a substantial home. Alva, as the inventor was known until his second marriage, entered school there and attended sporadically for five years. He was imaginative and inquisitive, but because much instruction was by rote and he had difficulty hearing, he was bored and was labeled a misfit. To compensate, he became an avid and omnivorous reader. Edison's lack of formal schooling was not unusual. At the time of the Civil War the average American had attended school a total of 434 days—little more than two years' schooling by today's standards.

In 1859 Edison quit school and began working as a trainboy on the railroad between Detroit and Port Huron. Four years earlier, the Michigan Central had initiated the commercial application of the telegraph by using it to control the movement of its trains, and the Civil War brought a vast expansion of transportation and communication. Edison took advantage of the opportunity to learn telegraphy and in 1863 became an apprentice telegrapher.

Messages received on the initial Morse telegraph were inscribed as a series of dots and dashes on a strip of paper that was decoded and read, so Edison's partial deafness was no handicap. Receivers were increasingly being equipped with a sounding key, however, enabling telegraphers to "read" messages by the clicks. The transformation of telegraphy to an auditory art left Edison more and more disadvantaged during his six-year career as an itinerant telegrapher in the Midwest, the South, Canada, and New England. Amply supplied with ingenuity and insight, he devoted much of his energy toward improving the inchoate equipment and inventing devices to facilitate some of the tasks that his physical limitations made difficult. By January 1869 he had made enough progress with a duplex telegraph (a device capable of transmitting two messages simultaneously on one wire) and a printer, which converted electrical signals to letters, that he abandoned telegraphy for full-time invention and entrepreneurship.

Edison moved to New York City, where he initially went into partnership with Frank L. Pope, a noted electrical expert, to produce the Edison Universal Stock Printer and other printing telegraphs. Between 1870 and 1875 he worked out of Newark, N.J., and was involved in a variety of partnerships and complex transactions in the fiercely competitive and convoluted telegraph industry, which was dominated by the Western Union Telegraph Company. As an independent entrepreneur he was available to the highest bidder and played both sides against the middle. During this period he worked on improving an automatic telegraph system for Western Union's rivals. The automatic telegraph, which recorded messages by means of a chemical reaction engendered by the electrical transmissions, proved of limited commercial success, but the work advanced Edison's knowledge of chemistry and laid the basis for his development of the electric pen and mimeograph, both important devices in the early office machine industry, and indirectly led to the discovery of the phonograph. Under the aegis of Western Union he devised the quadruplex, capable of transmitting four messages simultaneously over one wire, but railroad baron and Wall Street financier Jay Gould, Western Union's bitter rival, snatched the quadruplex from the telegraph company's grasp in December 1874 by paying Edison more than \$100,000 in cash, bonds, and stock, one of the larger payments for any invention up to that time. Years of litigation followed.

Menlo Park. Although Edison was a sharp bargainer, he was a poor financial manager, often spending and giving away money more rapidly than he earned it. In 1871 he married 16-year-old Mary Stilwell, who was as improvident in household matters as he was in business, and before the end of 1875 they were in financial difficulties. To reduce his costs and the temptation to spend money, Edison brought his now-widowed father from Port Huron to build a 21/2-story laboratory and machine shop in the rural environs of Menlo Park, N.J.—12 miles south of Newark-where he moved in March 1876. Accompanying him were two key associates, Charles Batchelor and John Kruesi. Batchelor, born in Manchester in 1845, was a master mechanic and draftsman who complemented Edison perfectly and served as his "ears" on such projects as the phonograph and telephone. He was also responsible for fashioning the drawings that Kruesi, a Swiss-born machinist, translated into models.

Edison experienced his finest hours at Menlo Park. While experimenting on an underwater cable for the automatic telegraph, he found that the electrical resistance and conductivity of carbon (then called plumbago) varied according to the pressure it was under. This was a major theoretical discovery, which enabled Edison to devise a "pressure relay" using carbon rather than the usual mag-

Early inventions

Financial problems

nets to vary and balance electric currents. In February 1877 Edison began experiments designed to produce a pressure relay that would amplify and improve the audibility of the telephone, a device that Edison and others had studied but which Alexander Graham Bell was the first to patent, in 1876. By the end of 1877 Edison had developed the carbon-button transmitter that is still used in telephone speakers and microphones.

Edison invented many items, including the carbon transmitter, in response to specific demands for new products or improvements. But he also had the gift of serendipity: when some unexpected phenomenon was observed, he did not hesitate to halt work in progress and turn off course in a new direction. This was how, in 1877, he achieved his most original discovery, the phonograph. Because the telephone was considered a variation of acoustic telegraphy, Edison during the summer of 1877 was attempting to devise for it, as he had for the automatic telegraph, a machine that would transcribe signals as they were received, in this instance in the form of the human voice, so that they could then be delivered as telegraph messages. (The telephone was not yet conceived as a general, person-to-person means of communication.) Some earlier researchers, notably the French inventor Léon Scott, had theorized that each sound, if it could be graphically recorded, would produce a distinct shape resembling shorthand, or phonography ("sound writing"), as it was then known. Edison hoped to reify this concept by employing a stylus-tipped carbon transmitter to make impressions on a strip of paraffined paper. To his astonishment, the scarcely visible indentations generated a vague reproduction of sound when the paper was pulled back beneath the stylus.

Edison unveiled the tinfoil phonograph, which replaced the strip of paper with a cylinder wrapped in tinfoil, in December 1877. It was greeted with incredulity. Indeed, a leading French scientist declared it to be the trick device of a clever ventriloquist. The public's amazement was quickly followed by universal acclaim. Edison was projected into worldwide prominence and was dubbed the Wizard of Menlo Park, although a decade passed before the phonograph was transformed from a laboratory curiosity into a commercial product.

Another offshoot of the carbon experiments reached fruition sooner. Samuel Langley, Henry Draper, and other American scientists needed a highly sensitive instrument that could be used to measure minute temperature changes in heat emitted from the Sun's corona during a solar eclipse along the Rocky Mountains on July 29, 1878. To satisfy those needs Edison devised a "microtasimeter" employing a carbon button. This was a time when great advances were being made in electric arc lighting, and during the expedition, which Edison accompanied, the men discussed the practicality of "subdividing" the intense arc lights so that electricity could be used for lighting in the same fashion as with small, individual gas "burners." The basic problem seemed to be to keep the burner, or bulb, from being consumed by preventing it from overheating. Edison thought he would be able to solve this by fashioning a microtasimeter-like device to control the current. He boldly announced that he would invent a safe, mild, and inexpensive electric light that would replace the gaslight.

The incandescent electric light had been the despair of inventors for 50 years, but Edison's past achievements commanded respect for his boastful prophecy. Thus, a syndicate of leading financiers, including J.P. Morgan and the Vanderbilts, established the Edison Electric Light Company and advanced him \$30,000 for research and development. Edison proposed to connect his lights in a parallel circuit by subdividing the current, so that, unlike arc lights, which were connected in a series circuit, the failure of one light bulb would not cause a whole circuit to fail. Some eminent scientists predicted that such a circuit could never be feasible, but their findings were based on systems of lamps with low resistance—the only successful type of electric light at the time. Edison, however, determined that a bulb with high resistance would serve his purpose, and he began searching for a suitable one.

He had the assistance of 26-year-old Francis Upton, a graduate of Princeton University with an M.A. in science.

Upton, who joined the laboratory force in December 1878, provided the mathematical and theoretical expertise that Edison himself lacked. (Edison later revealed, "At the time I experimented on the incandescent lamp I did not understand Ohm's law." On another occasion he said, "I do not depend on figures at all. I try an experiment and reason out the result, somehow, by methods which I could not explain.")

By the summer of 1879 Edison and Upton had made enough progress on a generator-which, by reverse action, could be employed as a motor-that Edison, beset by failed incandescent lamp experiments, considered offering a system of electric distribution for power, not light. By October Edison and his staff had achieved encouraging results with a complex, regulator-controlled vacuum bulb with a platinum filament, but the cost of the platinum would have made the incandescent light impractical. While experimenting with an insulator for the platinum wire, they discovered that, in the greatly improved vacuum they were now obtaining through advances made in the vacuum pump, carbon could be maintained for some time without elaborate regulatory apparatus. Advancing on the work of Joseph Wilson Swan, an English physicist, Edison found that a carbon filament provided a good light with the concomitant high resistance required for subdivision. Steady progress ensued from the first breakthrough in mid-October until the initial demonstration for the backers of the Edison Electric Light Company on December 3

It was, nevertheless, not until the summer of 1880 that Edison determined that carbonized bamboo fibre made a satisfactory material for the filament, although the world's first operative lighting system had been installed on the steamship Columbia in April. The first commercial landbased "isolated" (single-building) incandescent system was placed in the New York printing firm of Hinds and Ketcham in January 1881. In the fall a temporary, demonstration central power system was installed at the Holborn Viaduct in London, in conjunction with an exhibition at the Crystal Palace. Edison himself supervised the laying of the mains and installation of the world's first permanent, commercial central power system in lower Manhattan, which became operative in September 1882. Although the early systems were plagued by problems and many years passed before incandescent lighting powered by electricity from central stations made significant inroads into gas lighting, isolated lighting plants for such enterprises as hotels, theatres, and stores flourished—as did Edison's reputation as the world's greatest inventor.

One of the accidental discoveries made in the Menlo Park laboratory during the development of the incandescent light anticipated the British physicist J.J. Thomson's discovery of the electron 15 years later. In 1881-82 William J. Hammer, a young engineer in charge of testing the light globes, noted a blue glow around the positive pole in a vacuum bulb and a blackening of the wire and the bulb at the negative pole. This phenomenon was first called "Hammer's phantom shadow," but when Edison patented the bulb in 1883 it became known as the "Edison effect." Scientists later determined that this effect was explained by the thermionic emission of electrons from the hot to the cold electrode, and it became the basis of the electron tube and laid the foundation for the electronics industry.

Edison had moved his operations from Menlo Park to New York City when work commenced on the Manhattan power system. Increasingly, the Menlo Park property was used only as a summer home. In August 1884 Edison's wife, Mary, suffering from deteriorating health and subject to periods of mental derangement, died there of "congestion of the brain," apparently a tumour or hemorrhage. Her death and the move from Menlo Park roughly mark the halfway point of Edison's life.

The Edison Laboratory. A widower with three young children, Edison, on Feb. 24, 1886, married 20-year-old Mina Miller, the daughter of a prosperous Ohio manufacturer. He purchased a hilltop estate in West Orange, N.J., for his new bride and constructed nearby a grand, new laboratory, which he intended to be the world's first true research facility. There, he produced the commercial phonograph, founded the motion-picture industry, and

The phonograph

The elec-

tric light

The world's first electric lighting system

developed the alkaline storage battery. Nevertheless, Edison was past the peak of his productive period. A poor manager and organizer, he worked best in intimate, relatively unstructured surroundings with a handful of close associates and assistants; the West Orange laboratory was too sprawling and diversified for his talents. Furthermore, as a significant portion of the inventor's time was taken up by his new role of industrialist, which came with the commercialization of incandescent lighting and the phonograph, electrical developments were passing into the domain of university-trained mathematicians and scientists. Above all, for more than a decade Edison's energy was focused on a magnetic ore-mining venture that proved the unquestioned disaster of his career.

The first major endeavour at the new laboratory was the commercialization of the phonograph, a venture launched in 1887 after Alexander Graham Bell, his cousin Chichester, and Charles Tainter had developed the graphophonean improved version of Edison's original device-which used waxed cardboard instead of tinfoil. Two years later, Edison announced that he had "perfected" the phonograph, although this was far from true. In fact, it was not until the late 1890s, after Edison had established production and recording facilities adjacent to the laboratory, that all the mechanical problems were overcome and the phonograph became a profitable proposition.

In the meantime, Edison conceived the idea of popularizing the phonograph by linking to it in synchronization a zoetrope, a device that gave the illusion of motion to photographs shot in sequence. He assigned the project to William K.L. Dickson, an employee interested in photography, in 1888. After studying the work of various European photographers who also were trying to record motion, Edison and Dickson succeeded in constructing a working camera and a viewing instrument, which were called, respectively, the Kinetograph and the Kinetoscope. Synchronizing sound and motion proved of such insuperable difficulty, however, that the concept of linking the two was abandoned, and the silent movie was born. Edison constructed at the laboratory the world's first motionpicture stage, nicknamed the "Black Maria," in 1893, and the following year Kinetoscopes, which had peepholes that allowed one person at a time to view the moving pictures, were introduced with great success. Rival inventors soon developed screen-projection systems that hurt the Kinetoscope's business, however, so Edison acquired a projector developed by Thomas Armat and introduced it as "Edison's latest marvel, the Vitascope.'

Another derivative of the phonograph was the alkaline storage battery, which Edison began developing as a power source for the phonograph at a time when most homes still lacked electricity. Although it was 20 years before all the difficulties with the battery were solved, by 1909 Edison was a principal supplier of batteries for submarines and electric vehicles and had even formed a company for the manufacture of electric automobiles. In 1912 Henry Ford, one of Edison's greatest admirers, asked him to design a battery for the self-starter, to be introduced on the Model T. Ford's request led to a continuing relationship between these two Americans, and in October 1929 he staged a 50th-anniversary celebration of the incandescent light that turned into a universal apotheosis for Edison.

Most of Edison's successes involved electricity or communication, but throughout the late 1880s and early 1890s the Edison Laboratory's top priority was the magnetic oreseparator. Edison had first worked on the separator when he was searching for platinum for use in the experimental incandescent lamp. The device was supposed to cull platinum from iron-bearing sand. During the 1880s iron ore prices rose to unprecedented heights, so that it appeared that, if the separator could extract the iron from unusable low-grade ores, then abandoned mines might profitably be placed back in production. Edison purchased or acquired rights to 145 old mines in the east and established a large pilot plant at the Ogden mine, near Ogdensburg, N.J. Hewas never able to surmount the engineering problems or work the bugs out of the system, however, and when ore prices plummeted in the mid-1890s he gave up on the idea. By then he had liquidated all but a small part of his

holdings in the General Electric Company, sometimes at very low prices, and had become more and more separated from the electric lighting field.

Failure could not discourage Edison's passion for invention, however. Although none of his later projects were as successful as his earlier ones, he continued to work even in his 80s. He died in West Orange on Oct. 18, 1931.

Assessment. The thrust of Edison's work may be seen in the clustering of his patents: 389 for electric light and power, 195 for the phonograph, 150 for the telegraph, 141 for storage batteries, and 34 for the telephone. His life and achievements epitomize the ideal of applied research. He always invented for necessity, with the object of devising something new that he could manufacture. The basic principles he discovered were derived from practical experiments, invariably by chance, thus reversing the orthodox concept of pure research leading to applied research.

Edison's role as a machine shop operator and small manufacturer was crucial to his success as an inventor. Unlike other scientists and inventors of the time, who had limited means and lacked a support organization, Edison ran an inventive establishment. He was the antithesis of the lone inventive genius, although his deafness enforced on him an isolation conducive to conception. His lack of managerial ability was, in an odd way, also a stimulant. As his own boss, he plunged ahead on projects more prudent men would have shunned, then tended to dissipate the fruits of his inventiveness, so that he was both free and forced to develop new ideas. Few men have matched him in the positiveness of his thinking. Edison never questioned whether something might be done, only how.

Edison's career, the fulfillment of the American dream of rags-to-riches through hard work and intelligence, made him a folk hero to his countrymen. In temperament he was an uninhibited egotist, at once a tyrant to his employees and their most entertaining companion, so that there was never a dull moment with him. He was charismatic and courted publicity, but he had difficulty socializing and neglected his family. His shafts at the expense of the "longhaired" fraternity of theorists sometimes led formally trained scientists to deprecate him as anti-intellectual; yet he employed as his aides, at various times, a number of eminent mathematical physicists, such as Nikola Tesla and A.E. Kennelly. The contradictory nature of his forceful personality, as well as such eccentricities as his ability to catnap anywhere, contributed to his legendary status. By the time he was in his middle 30s Edison was said to be the best-known American in the world. When he died he was venerated and mourned as the man who, more than any other, had laid the basis for the technological and social revolution of the modern electric world.

BIBLIOGRAPHY. ALFRED O. TATE, Edison's Open Door: The Life Story of Thomas A. Edison, a Great Individualist (1938), which tells the story of the early years of the West Orange laboratory, was written by Edison's secretary of the period. FRANCIS JEHL, Menlo Park Reminiscences, 3rd ed. (1937-41), is a firsthand account of the 1878-80 period at Menlo Park, by an assistant who came to dislike Edison but was later the first curator at Henry Ford's Edison Institute. THOMAS A. EDISON, The Diary and Sundry Observations of Thomas A. Edison, ed. by DAGOBERT D. RUNES (1948, reprinted 1976), provides insight into Edison's feelings and thoughts, especially in the period following the death of his first wife, MATTHEW JOSEPHSON, Edison: A Biography (1959), is based on the correspondence and laboratory notebooks in the Edison Laboratory archives, though at the time of its publication the access to the records was severely restricted, which makes the book outdated. ROBERT CONOT, A Streak of Luck (1979, reprinted 1986 as Thomas A. Edison), is the first comprehensive biography based entirely on the original sources from the West Orange and other depository archives. WYN WACHHORST, Thomas Alva Edison: An American Myth (1981), is a revisionist study of Edison's place in the cultural history of the United States, with an extensive bibliography. See also ROBERT FRIEDEL and PAUL ISRAEL. Edison's Electric Light: A Biography of an Invention (1986), a well-researched, illustrated account. Archival papers of Edison and his associates are published in Thomas A. Edison Papers: A Selective Microfilm Edition (1985-); part 1, for the period 1850-78, and part 2, for 1879-86, have been filmed from the West Orange archives. Subsequent parts will include documents from other repositories.

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Thomas A. Edison Technical Center

The Thomas A. Edison Center, in Franksville, Wisconsin, is an internationally recognized laboratory devoted to electric power technology. Technologies include analytical chemistry, electronic controls, materials research, apparatus development, and high power/voltage testing. These technologies support Cooper's electrical transmission and distribution products and services marketed worldwide.

Located at the Thomas A. Edison Technical Center, Systems Integration has access to the most sophisticated test laboratories and experts from various Cooper Power Systems product groups. Systems Integration offers a wide range of electrical consulting services including system studies, field measurements, software. measurement equipment and technical workshops. Customized equipment and specialized measurement systems and techniques developed by Systems Integration staff are used to perform on-site measurements and system studies. Software used by Systems Integration engineers is also available to the industry to analyze system operations.



Systems Integration
Thomas A. Edison Technical
Center
Staff Capabilities

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IN THE UNITED STATES DISTRICT COURT FOR THE SOUTHERN DISTRICT OF TEXAS HOUSTON DIVISION

United States Co. Southern District of ENTERED

McGRAW-EDISON COMPANY and COOPER INDUSTRIES, INC...

APR 5 2000

Plaintiffs.

v.

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Michael N. M. Clerk of Court

Civil Action No. H-99-1989

THOMAS A. EDISON. INCORPORATED. I.EK TECHNOLOGIES. INC., LLOYD E. KRUCKEBERG, and HASTINGS ENTERTAINMENT, INC.,

Defendants.

FINAL JUDGMENT AND PERMANENT INJUNCTION

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This action was instituted by Cooper Industries. Inc. and McGraw-Edison Company ("Plaintiffs") against Thomas A. Edison. Incorporated (now known as Goedison.com, Inc.). LEK Technologies. Inc., Lloyd E. Kruckeberg, and Hastings Entertainment. Inc. ("Defendants") for false designation of origin, trademark infringement, unfair competition, dilution under federal and Texas law, misappropriation of rights of publicity under New Jersey law, and violation of the Anticybersquatting Consumer Protection Act.

The parties have agreed to settle this action under the terms of a Settlement Agreement dated March 31. 2000 (the "Settlement Agreement") and by the entry of this final judgment and permanent injunction.

Accordingly, IT IS ORDERED THAT:

1. This Cours less singuisdes of the parties and the subject matter of this \$18000 513.

action.

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- 2. As shown by the assignment attached as Exhibit B to Plaintiffs' Complaint. Thomas A. Edison assigned the commercial rights to his name, signature, and likeness to his company. Thomas A. Edison, Incorporated, Plaintiff McGraw-Edison Company is the successor to the rights of the original Thomas A. Edison, Incorporated in Edison's name, signature, and likeness.
- 3. The name of Thomas A. Edison is famous throughout the United States and elsewhere.
- 4. Plaintiffs' EDISON marks are valid trademarks for a variety of electrical products.
- 5. The Sentlement Agreement and this Judgment and Injunction shall not be construed to imply an admission of liability by any party, such liability being expressly denied.
- 6. The above-named defendants and their officers, agents, servants, and employees, and all persons in active concert or participation with them, are permanently enjoined from:
 - (a) Using the word "Edison," or any confusingly similar word, either alone or in combination with other words or symbols, as a part of any trademark, service mark, trade name, corporate name, assumed name, domain name, meta-tag or its equivalent, or in any other manner in connection with any computer hardware or software, Internet services, electrical products or services, consumer products or services, or business products or services (collectively, the "Prohibited Marks"). The Prohibited Marks shall include, without limitation, any variations of "Thomas A. Edison, Inc.," "thomasaedison.com," "TAE," "goedison.com,"

"gotaedison.com." "GEM." "Triumph." "goedisonmall.com." "edisonelectronics.com." "buyedison.com." "gothomasaedison.com." or "goedisonshop.com."

- (b) Making any commercial use of Thomas Edison's name, signature or likeness.
- Prohibited Marks. The only exception to this subparagraph (c) is that on and before July 15.

 2000. defendant Goedison.com. Inc. may continue to use "goedison.com" and "goedisonmail.com" only as domain names to link to its web site and for no other purpose.

 This exception shall not be construed to allow defendants to use these names for any other purpose or in any other manner, including but not limited to using these names to market its business or products over the Internet or otherwise.
- Upon entry of this judgment. Defendents shall cease distribution of any written or electronic materials (including over the Internet or on any web site) representing that they sell or formerly sold "Edison" brand products or services, or that they sell or formerly sold products or services under any of the Prohibited Marks.
- 8. Upon entry of this judgment. Defendants shall take immediate steps to have any of the Prohibited Marks used by them in the past deleted from future editions of any third-party publications, such as trade directories and telephone directories, where they appear.
- 9. Within five days of the entry of this judgment. Defendants shall complete all steps necessary to cause the domain names "thomasaedison.org." "thomasaedison.net." "edisonelectronics.com." "buyedison.com." "gothomasaedison.com." and "goedisonshop.com" (and any other domain name, unless specifically excepted below, owned or controlled by them containing or referring to any of the Prohibited Marks) to be transferred to McGraw-Edison Company. Within

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thirty days of the entry of this judgment. Defendants shall complete all steps necessary to cause the domain names "gotaedison.com." "taedison.com." and "thomasaedison.com." to be transferred to McGraw-Edison Company. By July 15, 2000, Defendants shall complete all steps necessary to cause the domain names "goedison.com" and "goedisonmall.com" to be transferred to McGraw-Edison Company. Prior to such assignments, such domain names will not be used, except as expressiy provided by this Judgment and Injunction and the Sentement Agreement.

- Within twenty days of the entry of this judgment. Defendants shall desiroy 10. all literature, signs, labels, tags, prints, packages, wrappers, containers, advertising materials, 1-shirts and promotional materials, stationery and similar materials in their possession or control that bear any of the Prohibited Marks, as well as all plates, molds, matrices, masters and other means of producing or applying them.
- By July 15, 2000, Defendants shall complete all necessary steps to cause the 11. corporate name of Goedison.com. Inc., to be changed to a name that does not contain the term "edison," or any other Prohibited Mark.
- Defendants shall have until July 30, 2000, to sell or otherwise dispose of the 12. Inventory identified in the Settlement Agreement, provided that none of the packaging, products. labels, and marketing or advertising materials shall include any reference to a Prohibited Mark.
- Within five days of the entry of this judgment, Goedison.com, Inc., shall file 13. in the U.S. Patent and Trademark Office the necessary papers to expressly abandon U.S. Trademark Application Serial Nos. 75/601.569; 75/601.570; 75/601.571; 75/601.572; 75/601,573; 75/659.806; 75/659,807; 75/765,321; 75/765,323; 75/601,324; and 75/694,428, and all other applications or registrations filed in any office (state, federal or foreign) for any trademark or service mark

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of the Prohibited Marks, including but not limited to "Idea Book," Goedison.com. Inc. shall file the necessary papers to change the applicant's name to its new name created pursuant to paragraph 16 of this Order.

- Within forty-five days after the entry of this judgment. Defendants shall file with the Court and serve on Plaintiffs' attorney a report in writing under oath setting forth in detail the manner and form in which Defendants have complied with this judgment. On August 1, 2000. Defendants shall file and serve a second written report under oath setting forth in detail the manner and form in which Defendants have complied with this judgment.
- plaintiffs or the famous inventor. Thomas A. Edison, or any company with which he was associated. Should the need arise, defendants will take reasonable steps necessary to prevent the possibility of confusion in the marketplace arising in the future, including but not limited to any steps specified in the Senlement Agreement, which is incorporated herein by reference.
 - 16. Each of the parties shall bear its own costs and attorneys' fees.
- 17. The Court shall retain jurisdiction of this matter to enforce the terms of the parties. Settlement Agreement.

Signed at Houston, Texas, on March 4. 2000.

KENNETH M. HOYT

UNITED STATES DISTRICT JUDGE

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McGraw-Edison Company and Cooper Industries, Inc.

V.

Jesse Broker dba Hatzlachh Supply, Inc.

Cancellation No. 29,666

Glenn F. Ostrager of Ostrager Chong Flaherty & Onofrio, PC for McGraw-Edison Company and Cooper Industries, Inc.

Jesse Broker dba Hatzlachh Supply, Inc., pro se.

The petition of McGraw-Edison Company and Cooper Industries, Inc. having been granted on May 22, 2000, Registration No. 2,247,296 is hereby cancelled.

Robert M. Anderson

Deputy Commissioner for

Trademark Operations

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Cancellation

Number: 92029666

Filing Date: 11/04/1999

Status: Terminated

Status Date: 06/02/2000

Interlocutory Attorney: KARYN RYAN

Defendant

Name: BROKER, JESSE DBA HATZLACHH SUPPLY, INC.

Correspondence: BROKER, JESSE DBA HATZLACHH SUPPLY, INC.

935 BROADWAY

NEW YORK, NY 10010

Serial #: 75374985

Registration #: 2247296

Application Status: Cancelled - Section 18

Mark: EDISON ELECTRONIC

Plaintiff

Name: MCGRAW-EDISON COMPANY AND COOPPER INDUSTRIES, INC.

Correspondence: GLENN F. OSTRAGER

OSTRAGER CHONG FLAHERTY & ONOFRIO, P.C.

300 PARK AVENUE

NEW YORK, NY 10022-7402

Serial #: 73438434 Registration #: 1288874

Application Status: Section 8 and 15 - Accepted and Acknowledged

Mark: EDISON

Prosecution History

#	Date	History Text		Due Date
7	06/02/2000	TERMINATED		
6	06/02/2000	COMMR'S ORDER CANCELLING REGISTRATION		
5	05/22/2000	BOARD'S DECISION: GRANTED		
4	02/07/2000	NOTICE OF DEFAULT		
3	11/18/1999	PENDING, INSTITUTED		
2	11/18/1999	NOTICE SENT; TRIAL DATES RESET; ANSWER DUE		12/28/1999
1	11/04/1999	FILED AND FEE		
Results as of 10/29/2004 10:19 AM			Search:	CONTINUES AND AND AND AND AND AND AND AND AND AND

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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Trademark Trial and Appeal Board 2900 Crystal Drive Arlington, Virginia 22202-3513

Ricks

Mailed: February 21, 2004
Opposition No. 91151299
MCGRAW-EDISON COMPANY

v.

BABY EINSTEIN COMPANY, LLC

On November 12, 2003, applicant filed abandonment's of its application Serial Nos. 75/865,898, 75/866,485, 75/865,899, 75/866,358 and 75/865,928 under Trademark Rule 2.68.1

However, the applicable rule is Trademark Rule 2.135, which provides that if, in an inter partes proceeding, the applicant files an abandonment without the written consent of every adverse party to the proceeding, judgment shall be entered against the applicant.

Accordingly, because opposer's written consent to the abandonment's is not of record, judgment is hereby entered against applicant, the opposition is sustained and registration to applicant is refused.

By the Trademark Trial and Appeal Board

Applicant's abandonment's does not indicate proof of service of a copy of same on counsel for opposer as required by Trademark Rule 2.119. In order to expedite this matter, a copy of said abandonment's are hereby forwarded herewith to counsel for opposer.



United States Patent and Trademark Office

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TTABVUE. Trademark Trial and Appeal Board Inquiry System

Opposition

Number: 91151299

Filing Date: 03/04/2002

Status: Terminated

Status Date: 02/21/2004

Interlocutory Attorney: KARYN RYAN

Defendant

Name: BABY EINSTEIN COMPANY, LLC

Correspondence: JOSEPH QUIGLEY

THE WALT DISNEY COMPANY 500 SOUTH BUENA VISTA STREET BURBANK, CA 91521-0633

Serial #: 75865898

Application Status: Abandoned - After Inter-Partes Decision

Mark: BABY EDISON

Serial #: 75866485

Application Status: Abandoned - After Inter-Partes Decision

Mark: LITTLE EDISON

Serial #: 75865899

Application Status: Abandoned - After Inter-Partes Decision

Mark: LITTLE EDISON

Serial #: 75866358

Application Status: Abandoned - After Inter-Partes Decision

Mark: BABY EDISON Serial #: 75865928

Application Status: Abandoned - After Inter-Partes Decision

Mark: BABY EDISON

Plaintiff

Name: MCGRAW-EDISON COMPANY

Correspondence: JOSHUA S. BROITMAN

OSTRAGER, CHONG & FLAHERTY 825 THIRD AVENUE, 30th FLOOR NEW YORK, NY 10022-7519

Serial #: 75841438

Application Status: Report Completed Suspension Check - Case Still Suspended

Mark: THOMAS A EDISON

Prosecution History

Date **History Text** **Due Date**

17 02/21/2004 TERMINATED

16 02/21/2004 BOARD'S DECISION: SUSTAINED

15 11/12/2003 WITHDRAWAL OF APPLICATION

14 11/12/2003 WITHDRAWAL OF APPLICATION

13 11/12/2003 WITHDRAWAL OF APPLICATION

12 11/12/2003 WITHDRAWAL OF APPLICATION

11 11/12/2003 DEF'S EXPRESS ABANDONMENT

10 11/12/2003 DEF'S COMMUNICATION

- 9 08/11/2003 Procs resumed; trial dates reset
- 8 01/13/2003 DF'S POWER OF ATTORNEY
- 7 12/16/2002 SUSPENDED
- 6 11/05/2002 P'S MOT TO SUSP PEND SETLMT NEGOTIATIONS
- 5 06/21/2002 THE CLOSE OF DISCOVERY/TRIAL DATES REMAI N AS SET IN APRIL 11, 2002 ORDER.
- 4 05/22/2002 ANSWER
- 3 04/11/2002 PENDING, INSTITUTED
- 2 04/11/2002 NOTICE AND TRIAL DATES SENT; ANSWER DUE:

05/21/2002

1 03/04/2002 <u>FILED AND</u>	F	ΞΙ	E
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UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office Trademark Trial and Appeal Board 2900 Crystal Drive Arlington, Virginia 22202-3513

Mailed: October 24, 2003
Opposition No. 91155190
McGraw-Edison Company

v.

B&P Lamp Supply, Inc.

Helen Johnson, Legal Assistant

On July 21, 2003, the Board sent a notice of default to applicant because no answer had been filed.

The record shows no response thereto.

Accordingly, judgment by default is hereby entered against applicant, the opposition is sustained, and registration to applicant is refused. See Fed. R. Civ. P. 55, and Trademark Rule 2.106(a).

By the Trademark Trial and Appeal Board



United States Patent and Trademark Office

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TTABVUE. Trademark Trial and Appeal Board Inquiry System

Opposition

Number: 91155190

Filing Date: 01/28/2003

Status: Terminated

Status Date: 10/24/2003

Interlocutory Attorney: CHERYL A BUTLER

Defendant

Name: B&P Lamp Supply, Inc.

Correspondence: B&P Lamp Supply, Inc.

843 OLD MORRISON HWY MC MINNVILLE, TN 37110-4917

Serial #: 78128642

Application Status: Abandoned - After Inter-Partes Decision

Mark: AMERICAN EDISON

Plaintiff

Date

Name: McGraw-Edison Company

Correspondence: Eric A. Lerner

History Text

Ostrager Chong & Flaherty LLP

825 Third Avenue

New York, NY 10022-7519

Prosecution History

		•	
7	10/24/2003	TERMINATED	
<u>6</u>	10/24/2003	BOARD'S DECISION: SUSTAINED	
<u>5</u>	07/21/2003	NOTICE OF DEFAULT	
<u>4</u>	04/29/2003	MOT FOR DEFAULT JUDGMENT	
3	02/25/2003	PENDING, INSTITUTED	
<u>2</u>	02/25/2003	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	04/06/2003
1	01/28/2003	FILED AND FEE	

Results as of 10/29/2004 10:34 AM

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Due Date

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MCGAVICK GRAVES

ATTORNEYS AT LAW

A Professional Services Corporation

James W. Feltus jwf@mcgavick.com

1102 Broadway, Suite 500 P.O. Box 1317 Tacoma, Washington 98401-1317 Telephone (253) 627-1181

Toll Free From Seattle (253) 838-2860 Facsimile (253) 627-2247

Robert L. Beale Gregory H. Pratt Henry Haas K. Michael Jennings Roy F. Kussmann Edward R. Lindstrom Barbara Jo Sylvester Malcolm C. Lindquist Dennis P. Greenlee, Jr. L. Paul Alvestad Loren D. Combs James W. Feltus

*Also admitted in Florida and Idaho **Also admitted in Massachusetts ‡Also admitted in California and Oregon

Of Counsel Ray Graves Lawrence B. McNerthney William P. Bergsten

Leo A. McGavick (1904-1994)

*Paul R. Willett

**Gregory A. Jacoby

‡Adam E. Torem

Gregory F. Amann

Joseph P. Zehnder

Dave J. Luxenberg

December 2, 1999

Via Facsimile and U.S. Mail

Glenn F. Ostrager, Esq. Ostrager Chong Flaherty & Onofrio 300 Park Avenue New York, NY 10022-7402

> Cooper Industries, Inc. v. The Thomas Edison Patent Company RE:

Dear Mr. Ostrager:

This letter will confirm our conversation this morning. While my client does not agree with Cooper Industries' assessment of this matter and believes that it has not violated any state or federal laws, as we discussed, my client has decided to discontinue its authorization of the marketing U.S. patent copies under the name The Thomas Edison Patent Company. In addition, it is my understanding that steps have already been taken to bring down the website of The Thomas Edison Patent Company. The transition to the new name is expected to be completed by the end of the first quarter 2000.

Thank you for your courtesies in this matter and if you have any further questions or concerns, please do not hesitate to contact me.

AMES W. FELTUS

JWF/aka

CC:

Client

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T-433 P.03/07 J

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Ben Makabi

07/11/02 18:24 FAX 212 828 5909

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AGREEMENT GINN

This Agreement is entered into as of 18 day of July, 2002 (the "Effective Date"), by and between McGraw-Edison Company, a Delaware corporation having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("McGraw-Edison"), on the one hand, and Best Buy Imports ("Best Buy"), a CALIFORGE corporation, having an office at 3840 South Broadway Place, Los Angeles, California 90037 and Ben Makabi, an individual residing at McGraw-Edison"), on the other hand, each are referred to interchangeably herein as a "Party," and collectively as the "Parties" to this Agreement.

WHEREAS, McGraw-Edison owns various intellectual property rights derived from Thomas A. Edison, including rights of publicity for commercial purposes and the trademarks THOMAS A. EDISON and EDISON, including without limitation, U.S. Trademark Registration Nos. 372,127, 409,187; 1,288,874; 1,636,822; 1,644,681; 1,746,302; 2,443,841; 2,452,699; 2,495,399 and 2,596,399 (collectively, the "EDISON Trademarks and Publicity Rights");

WHEREAS, Best Buy and Makabi have been importing to and selling in the United States appliances and related products under the trademark "Edison" and McGraw-Edison has objected to such use on grounds that it constitutes an infringement of the EDISON Trademarks and Publicity Rights; and

WHEREAS, the Parties wish to resolve the dispute between them amicably, without the payment of money to either side.

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T-433 P.04/07 Job-064

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07/11/02 18:25 FAX 212 828 5909

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NOW, THEREFORE, the Parties agree as follows:

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- Best Buy and Makabi hereby acknowledge McGraw-Edison's ownership of the EDISON Trademarks and Publicity Rights.
- 2. Best Buy and Makabi represent and warrant to McGraw-Edison that they have no more than 10,000 units of goods bearing the name or mark "Edison" currently in inventory and in transit to the United States (the "Inventory"), and that no further goods bearing the name or mark "Edison" have been ordered or are in transit to the United States. In reliance on such representation and warranty, McGraw-Edison has agreed to settle this dispute on the terms and conditions set forth beroin.
- all persons or entities in active concert or participation with them, or any of them, agree that they shall immediately ceuse all finther use of the name or mark "Edison" or any name or mark containing "Edison" or any phonetic or grammatical variation thereof in connection with the advertising, promotion, offering for sale or sale of any goods, except as specified in paragraph 4 of this Agreement. In particular, and without limitation, Makabi and Best Buy shall remove from their website no later than July N. 2002 all references to the name or mark "Edison". In addition, Best Buy and Makabi agree that they shall not seek to register any trade name, trademark or domain name containing the name or mark "Edison", or any phonetic or grammatical variation thereof, with any federal, state or Internet domain-name registration authority.
- 4. Notwithstanding the provisions of paragraph 3 herein, the Parties agree that Best Buy and Makabi shall have six (6) months from the Effective Date of this Agreement within

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which to sell-off their Inventory of "Edison" branded products, provided that the term "Edison" is removed and/or permanently obliterated from all product packaging, for example, by affixation of a permanent label over each occurrence of the "Edison" name or mark. Makabi and Best Buy shall provide McGraw-Edison with a representative sample of goods evidencing such AUG 1 2002.

- 5. Best Buy and Makabi acknowledge that nothing contained in this Agreement shall be construed as granting Best Buy or Makabi a license or any other right, either express or implied, under the Edison Trademarks and Publicity Rights.
- 6. In the event that Best Buy or Makabi breach any provision of this Agreement, or in the event that any representation or warranty made by Best Buy and Makabi herein is shown to be folso or inaccurate:
- (a) Such breach shall be deemed to constitute immediate and irreparable harm to McGraw-Edison, and McGraw-Edison shall have the right to seek injunctive relief from a court of competent jurisdiction to enjoin any breach of this Agreement, in addition to any other remodies at law or in equity that McGraw-Edison may have. Best Buy and Makahi expressly waive the defense that a remedy in damages will be adequate and any requirement in an action for injunctive relief for the posting of a bond by McGraw-Edison;
- (b) In addition to any and all rights and remedies McGraw-Edison may have hereunder, McGraw-Edison shall have the right to commence an action against Best Buy and/or Makabi for trademark infringement, trademark dilution, and/or infringement of publicity rights under both state and federal laws;
- (c) Best Buy and Makadi shall be jointly and severally liable to McGraw-Edison for the amount of its reasonable attorneys' fees and costs, in the event that McGraw-

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Ben Makabi

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Edison commences any proceedings or efforts to suforce this Agreement or the Edison Trademarks and Publicity Rights;" and

- (d) Best Buy and Makahi shall be jointly and severally liable for damages in the amount of 25% of the retail price of each good sold in violation of this Agreement in addition to any further damages awarded by a court of competent jurisdiction.
- 7. This Agreement contains the entire understanding of the Parties with respect to the subject matter hereof.
- S. This Agreement may not be modified except in a written instrument signed by all Parties hereto.
- 9. No failure or dalay by any Party in exercising any right, power or privilege hereunder shall operate as a waiver thereof, nor shall any single or partial exercise thereof preclude any other or further exercise thereof or the exercise of any right, power or privilege hereunder.
- 10. The Parties agree that this Agreement can be executed in any number of identical counterparts, each of which will be deemed to be an original and will be as effective and binding as if executed as a whole, and by facsimile. Such facsimile signatures shall be viewed as having the same binding force and effect as original signatures.
- 11. This agreement shall be binding upon and inure to the benefit of the Parties hereto and their respective agents, heirs, successors, assigns, corporate affiliates, licensees and assigns.
- 12. This Agreement is the exclusive statement of the Partics' agreement and supersectes all prior agreements, negotiations, representations and proposale as of its date and relating to its subject matter.

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This Agreement shall be governed by the laws of the State of Texas, without 13. giving effect to the principles of conflicts of law thereof. All disputes arising from or relating to this Agreement or the subject matter of this Agreement shall be brought in a state or federal count situated in Houston, Texas, and the Parties hereby expressly consent to the exclusive jurisdiction of such courts for all purposes related to resolving such disputes.

IN WITNESS WHEREOF, the parties have caused this Agreement to be signed by duly authorized officers or representatives as of the Effective Date above written.

McGRAW-EDISON COMPANY

BEST BUY IMPORTS

Agreement

This Agreement is entered into as of 22" day of August, 2002 (the "Effective Date"), hy and between McGraw-Edison Company, a Delaware corporation having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("McGraw-Edison"), and Edison Lighting Fixture Manufacturing Corporation ("Edison Lighting"), a Florida corporation, having an office at 569 West 17th Street, Hialeah, Florida 33010, each are referred to interchangeably herein as a "Party," and collectively as the "Parties" to this Agreement.

WHEREAS, McGraw-Edison owns various intellectual property rights derived from Thomas A. Edison, including rights of publicity for commercial purposes and the trademarks THOMAS A. EDISON and EDISON, including without limitation, U.S. Trademark Registration Nos. 372,127; 409,187; 1,288,874; 1,636,822; 1,644,681; 2,443,841; 2,452,699 and 2,495,399 (the "EDISON Trademarks");

WHEREAS, Edison Lighting has been manufacturing and selling lighting fixtures and related products under the trademarks "Edison Lighting" and "Light Logo" (shown in Exhibit A hereto) and maintains a website and domain name www.edisonltg.com;

WHEREAS, McGraw-Edison has objected to Edison Lighting's use of "Edison Light" and "Light Logo" Trademarks and domain name "edisonlig.com" on grounds that such use constitutes an infringement of the EDISON Trademarks and publicity rights; and

WHEREAS, the Parties' desire to resolve this matter in an amicable manner.

NOW, THEREPORE, the Parties' agree as follows:

- 1. Edison Lighting hereby acknowledges McGraw-Edison's ownership of the EDISON Trademarks.
- 2. Edison Lighting shall within 60 days of the Effective Date of this Agreement change its corporate name to "Edson Lighting Fixture Manufacturing Corporation", and cease further use of its "Light Logo" and the name or mark "Edison" or any name or mark containing "Edison" and file with the Florida Secretary of State such forms as are necessary to effectuate such change of its corporate name.
- 3. Edison Lighting shall within 45 days of the Effective Date of this Agreement discontinue use of the domain name www.edisonltg.com and any meta tag or title which includes the designation "edison" and shall assign such domain name to McGraw-Edison by Assignment in the form annexed hereto as Exhibit B.
- 4. Edison Lighting shall within 60 days of the Effective Date of this Agreement remove all signage bearing the "Edison" name from its place of business.

- 5. Edison Lighting shall immediately as of the Effective Date of this Agreement take all reasonable steps to withdraw any advertisements bearing the name "Edison" and to eliminate all references to "Edison" in its telephone white and yellow pages, industry listings, and like publications. This provision shall not require Edison Lighting to "recall" from the marketplace publications which are not within its present possession or control, except to the extent of requiring any reprints or new editions of such publications to eliminate references to "Edison".
- 6. Notwithstanding any prohibition in this Agreement to the contrary, Edison Lighting shall for a period of ten months from the Effective Date of this Agreement he permitted to sell-off its current stock of products that bear the "Edison" name, provided that such continued use shall be permitted only to the extent that the "Edison" name is permanently affixed to and cannot be reasonably removed from such products.
- 7. McGraw-Edison will not object to Edison Lighting's use of the trade name "Edsun Lighting Fixture Manufacturing Corporation", a domain name which includes the designation "edsunltg" or of the Light Logo, provided that the Light Logo is modified to change "Edison" to "Edsun". Edison Lighting shall not use the designation "Edsun" alone or in any other way to designate its products separate from the trade name "Edsun Lighting Fixture Manufacturing Corporation" or Light Logo as modified hereunder.
- 8. This Agreement contains the entire understanding of the Parties with respect to the subject matter hereof.
- 9. This Agreement may be amended only if such agreement is in writing and is signed by the Parties hereto.
- 10. No failure or delay by any Party in exercising any right, power or privilege hereunder shall operate as a waiver thereof, nor shall any single or partial exercise thereof preclude any other or further exercise thereof or the exercise of any right, power or privilege hereunder.
- 11. McGraw-Edison hereby releases Edison Lighting and its owners, officers, directors and employees from any and all claims of trademark infringement or unfair competition arising from its use of the name "Edison" for all conduct to the date of this Agreement. Edison Lighting hereby releases and holds McGraw-Edison harmless from any and all claims in this matter
- 12. In the event that Edison Lighting breaches any provision of this Agreement, or in the event that any representation or warranty made by Edison Lighting horein is shown to be false or inaccurate:

- (a) Such breach shall be deemed to constitute immediate and irreparable harm to McGraw-Edison, and McGraw-Edison shall have the right to seek injunctive relief from a court of competent jurisdiction to enjoin any breach of this Agreement, in addition to any other remedies at law or in equity that McGraw-Edison may have. Edison Lighting expressly waives the defense that a remedy in damages will be adequate and any requirement in an action for injunctive relief for the posting of a bond by McGraw-Edison;
- (b) In addition to any and all rights and remedies McGraw-Edison may have hereunder, McGraw-Edison shall have the right to commence an action against Edison Lighting for trademark infringement, trademark dilution, and/or infringement of publicity rights under both state and federal laws;
- (c) Edison Lighting shall be liable to McGraw-Edison for the amount of its reasonable attorneys' fees and costs, in the event that McGraw-Edison prevails in any proceedings or efforts to enforce this Agreement or the EDISON Trademarks or publicity rights; and
- (d) Edison Lighting shall be liable for damages in the amount of 25% of the retail price of each good sold in violation of this Agreement in addition to any further damages awarded by a court of competent jurisdiction.
- 13. Each of the Parties shall bear their own attorneys' fees and costs associated with all matters relating to this Agreement.
- 14. The Parties agree that this Agreement can be executed in any number of identical counterparts, each of which will be deemed to be an original and will be as effective and binding as if executed as a whole, and by facsimile. Such facsimile signatures shall be viewed as having the same binding force and effect as original signatures.
- 15. This Agreement shall be binding upon and inure to the benefit of the Parties hereto and their respective heirs, successors and assigns.
- 16. This Agreement shall be governed by the laws of the State of Texas, without giving effect to the principles of conflicts of law thereof. All disputes arising from or relating to this Agreement or the subject matter of the Agreement shall be brought in a state or federal court situated in Houston, Texas, and the Parties hereby expressly consent to the exclusive jurisdiction of such courts for all purposes related to resolving such disputes.

IN WITNESS WHEREOF, the parties have caused this Agreement to be signed by duly authorized officers or representatives as of the Effective Date above written.

McGRAW-EDISON COMPANY

EDISON LIGHTING FIXTURE

MANUFACTURING CORP.

Cornelio Garcia, President



Fluorescent Manufacturers

EXHIBIT A

EXHIBIT B

ASSIGNMENT OF DOMAIN NAME REGISTRATION

THIS ASSIGNMENT OF DOMAIN NAME REGISTRATION is made on the 22 day of July 2002 (the "Effective Date"), by and between Edison Lighting Fixture Manufacturing Corporation, a Florida corporation, having a place of business at 569 West 17th Street, Hialcah, Florida 33010 ("Assignor"), and McGraw-Edison Company, a Delaware corporation, having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("Assignee").

WHEREAS, Assignor has registered the domain name "edisonltg.com" (the "Domain Name") with Internel Web0.com (hereinaster "Web0"), and is sole owner of the Domain Name, and

WHEREAS Assignor desires to assign and Assignee desires to acquire the Domain Name and the registration thereof.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby assigns to Assignee all right, title and interest in, to and under the Domain Name including the registration thereof.

Assignor further agrees to provide Assignee with any additional information, and to do any and all such things and execute any and all other documents or instruments, and to follow any Web0 procedures required to effectuate the assignment of the Domain Name as provided herein.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be executed as of the Effective Date written above.

Ву: _____

MANUFACTURING CORPORATION

EDISON LIGHTING FIXTURE

Date:

Name: Title:

McGRAW-EDISON COMPANY

Date:

Name: A St. Julian Zile: Vice President

ASSIGNMENT OF DOMAIN NAME REGISTRATION

August THIS ASSIGNMENT OF DOMAIN NAME REGISTRATION is made on the July 2002 (the "Effective Date"), by and between Edison Lighting Fixture Manufacturing Corporation, a Florida corporation, having a place of business at 569 West 17th Street, Hialeah, Florida 33010 ("Assignor"), and McGraw-Edison Company, a Delaware corporation, having a place of business at 600 Travis, Suite 5800, Houston, Texas 77002 ("Assignee").

WHEREAS, Assignor has registered the domain name "edisonltg.com" (the "Domain Name") with Internet Web0.com (hereinafter "Web0"), and is sole owner of the Domain Name, and

WHEREAS Assignor desires to assign and Assignee desires to acquire the Domain Name and the registration thereof.

NOW, THEREFORE, for good and valuable consideration, the receipt of which is hereby acknowledged, Assignor hereby assigns to Assignee all right, title and interest in, to and under the Domain Name including the registration thereof.

Assignor further agrees to provide Assignee with any additional information, and to do any and all such things and execute any and all other documents or instruments, and to follow any Web0 procedures required to effectuate the assignment of the Domain Name as provided herein.

IN WITNESS WHEREOF, the Assignor has caused this Assignment to be executed as of

the Effective Date written above. EDISON LIGHTING FIXTURE MANUFACTURING CORPORATION Date: Title: McGRAW-EDISON COMPANY Date: By: Name: Title:



United States Patent and Trademark Office

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TTABVUE. Trademark Trial and Appeal Board Inquiry System

Opposition

Number: 91154206

Filing Date: 11/27/2002

Status: Pending

Status Date: 01/04/2003

Interlocutory Attorney: FRANCES S WOLFSON

Defendant

Name: Fisher Hamilton LLC

Correspondence: MICHAEL D. FISHMAN

RADER, FISHMAN & GRAUER, PLLC 39533 WOODWARD AVENUE, SUITE 140

BLOOMFIELD HILLS, MI 48304

Serial #: 76385555

Application Status: Opposition Pending

Mark: EDISON

Plaintiff

Name: MCGRAW-EDISON COMPANY

Correspondence: ERIC A. LERNER

OSTRAGER CHONG FLAHERTY & BROITMAN P.C.

250 PARK AVENUE

NEW YORK, NY 10177-0899

Prosecution History

Results as of 10/29/2004 10:28 AM

#	Date	History Text	Due Date
<u>12</u>	08/13/2004	SUSPENDED	
<u>11</u>	07/26/2004	P'S MOTION FOR SUSPENSION OF PROCEEDINGS W/CONSENT	
<u>10</u>	06/18/2004	ANSWER	
9	06/09/2004	OTHER FILING	
<u>8</u>	06/09/2004	OTHER FILING	
7	05/19/2004	PROCEEDINGS RESUMED	
<u>6</u>	03/27/2003	SUSPENDED	
<u>5</u>	03/13/2003	DEF'S MOTION FOR EXT OF TIME FOR DEF'S TO ANSWER OR OTHERWISE PLEAD	
<u>4</u>	02/13/2003	D'S MOT FOR EXTEN. OF TIME W/ CONSENT	
3	01/04/2003	PENDING, INSTITUTED	
<u>2</u>	01/04/2003	NOTICE AND TRIAL DATES SENT; ANSWER DUE:	02/13/2003
1	11/27/2002	FILED AND FEE	
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UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK

Co#5

CONSOLIDATED EDISON COMPANY OF NEW YORK, INC.,

Plaintiff,

-against-

EDISON INTERNATIONAL,

Defendant.

97 CIV 7311 (LBS)

ANSWER AND AFFIRMATIVE DEFENSES

ANSWER

DOW

Edison International ("EI") by its attorneys Latham & Watkins and Lyon & Lyon, for its answer to the Complaint ("Complaint") of Consolidated Edison Company of New York, Inc. ("Con Edison") alleges as follows:

- 1. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 1 of the Complaint.
 - 2. El denies the allegations set forth in Paragraph 2 of the Complaint.
- 3. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 3 of the Complaint.
- 4. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 4 of the Complaint,
- 5. El admits that it is a corporation formed and existing under the laws of the State of California and that El maintains its principal place of business in Rosemead, California. El further admits that it adopted the name "Edison International" on January 29, 1996. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 5 of the Complaint.

- 6. In response to the allegations set forth in Paragraph 6 of the Complaint, El affirmatively alleges that El is a holding company and owns, directly or indirectly, all of the common stock of Southern California Edison Company, Edison Mission Energy (formerly Mission Energy Company), Edison Capital (formerly Mission First Financial), Edison Enterprises, Edison EV, Edison Source, and Edison Select. El further alleges that Edison EV and Edison Source were formed in November 1995; Edison Mission Energy and Edison Capital were renamed in January 1996; Edison Select was formed in May 1995 as Edison Spectrum and renamed in October 1996; and Edison Enterprises was formed in July 1997. El further alleges that El has no subsidiary or affiliate named "Edison ESI" but that Edison Services Inc., a subsidiary of Southern California Edison Company, occassionally does business as "ESI" and is sometimes referred to as "Edison ESI." Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 6 of the Complaint.
- 7. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 7 of the Complaint.
- 8. In response to the allegations set forth in Paragraph 8 of the Complaint, EI affirmatively alleges on information and belief that Thomas Edison and others formed the Edison Electric Light Company ("EELC") in 1878. Except as so expressly admitted or alleged, EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 8 of the Complaint.
- 9. In response to the allegations set forth in Paragraph 9 of the Complaint, El affirmatively alleges on information and belief that the Edison Electric Illuminating Company of New York ("EEI") was incorporated in 1880. Except as so expressly admitted or alleged, El

lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 9 of the Complaint.

- 10. EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 10 of the Complaint.
- In response to the allegations set forth in Paragraph 11 of the Complaint, El affirmatively alleges on information and belief that EELC licensed Thomas Edison's technology, and the concomitant ability to use the "Edison" name in connection with the provision of electric utility services, to a multitude of companies across the nation. Except as so expressly admitted or alleged, El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 11 of the Complaint.
- 12. In response to the allegations set forth in Paragraph 12 of the Complaint, EI affirmatively alleges that electric utility companies were subject to state and federal regulations and, under such regulations, typically operated as regulated monopolies in different geographic areas. Except as so expressly admitted or alleged, EI lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 12 of the Complaint.
- 13. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 13 of the Complaint.
- 14. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 14 of the Complaint.
- 15. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 15 of the Complaint.

- 16. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 16 of the Complaint.
 - 17. El denies the allegations set forth in Paragraph 17 of the Complaint.
- 18. El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 18 of the Complaint.
- 19. In response to the allegations set forth in Paragraph 19 of the Complaint, El affirmatively alleges on information and belief that the Federal Energy Regulatory Commission ("FERC") and several states have passed legislation and/or regulations to introduce competition into the electrical utility industry in their jurisdictions. Except as so expressly admitted or alleged, El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 19 of the Complaint.
- 20. In response to the allegations set forth in Paragraph 20 of the Complaint, El affirmatively alleges on information and belief that several states, including New York, have passed legislation and/or regulations to introduce competition into the electrical utility industry in their geographic areas. Except as so expressly admitted or alleged, El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 20 of the Complaint.
- 21. In response to the allegations set forth in Paragraph 21 of the Complaint, El affirmatively alleges on information and belief that opening competition and increasing consumer choice are among the rationales behind deregulation of the electric industry. Except as so expressly admitted or alleged, El lacks knowledge or information sufficient to form a belief as to the truth of the allegations set forth in Paragraph 21 of the Complaint.

- 22. El denies the allegations set forth in Paragraph 22 of the Complaint.
- 23. In response to the allegations set forth in Paragraph 23 of the Complaint, El affirmatively alleges that SCEcorp was renamed Edison International on January 29, 1996 and El is the holding company of Southern California Edison Company, an electric utility. El further affirmatively alleges that Edison Mission Energy and Edison Capital were renamed in January 1996; Edison EV and Edison Source were formed in November 1995; Edison Select was formed in May 1995 as Edison Spectrum and renamed in October 1996; and Edison Enterprises was formed in July 1997. El refers to its 1995 Annual Report for the statements contained therein. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 23 of the Complaint.
 - 24. EI denies the allegations set forth in Paragraph 24 of the Complaint.
 - 25. El denies the allegations set forth in Paragraph 25 of the Complaint.
- 26. In response to the allegations set forth in Paragraph 26 of the Complaint, EI affirmatively alleges that EI placed advertisements in <u>The New York Times</u> and other publications and admits that two such advertisements are attached as Exhibits A and B to the Complaint. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 26 of the Complaint.
- 27. In response to the allegations set forth in Paragraph 27 of the Complaint, EI affirmatively alleges that EI's shares are traded on the New York Stock Exchange under the EIX symbol and that EI has used certain of its trademarks generally in the financial business community. Except as so expressly admitted or alleged, EI denies the allegations set forth in Paragraph 27 of the Complaint.

- 28. In response to the allegations set forth in Paragraph 28 of the Complaint, El affirmatively alleges that the Edison International name as well as the names of El's subsidiaries appear on El's website on the Internet. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 28 of the Complaint.
- 29. In response to the allegations set forth in Paragraph 29 of the Complaint, El affirmatively alleges that El was aware that plaintiff Con Edison was an electric utility serving New York City under the name Consolidated Edison Company of New York, Inc. Except as so expressly admitted or alleged, El denies the allegations set forth in Paragraph 29 of the Complaint.
 - 30. El denies the allegations set forth in Paragraph 30 of the Complaint.
 - 31. El denies the allegations set forth in Paragraph 31 of the Complaint.
 - 32. El denies the allegations set forth in Paragraph 32 of the Complaint.
 - 33. El denies the allegations set forth in Paragraph 33 of the Complaint.

FIRST CLAIM FOR RELIEF

False Designation, Description and Representation under § 43(a) of the Lanham Act

- 34. In response to the allegations set forth in Paragraph 34 of the Complaint, EI repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 35. El denies the allegations set forth in Paragraph 35 of the Complaint.
 - 36. El denies the allegations set forth in Paragraph 36 of the Complaint.

SECOND CLAIM FOR RELIEF

Common Law Unfair Competition

- 37. In response to the allegations set forth in Paragraph 37 of the Complaint, El repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 38. El denies the allegations set forth in Paragraph 38 of the Complaint.

THIRD CLAIM FOR RELIEF

Common Law Service Mark and Trade Name Infringement

- 39. In response to the allegations set forth in Paragraph 39 of the Complaint, El repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 40. EI denies the allegations set forth in Paragraph 40 of the Complaint.

FOURTH CLAIM FOR RELIEF

New York's Antidilution Statute

- 41. In response to the allegations set forth in Paragraph 41 of the Complaint, El repeats and realleges its answers to Paragraphs 1 through 33 of the Complaint as if fully set forth herein.
 - 42. El denies the allegations set forth in Paragraph 42 of the Complaint.

AFFIRMATIVE DEFENSES

43. EI, by its attorneys Latham & Watkins and Lyon & Lyon, alleges in support of its affirmative defenses, on information and belief, except on personal knowledge where stated, as follows:

Preliminary Statement

- A4. Many utilities across the United States operate under tradenames that contain the name "Edison" in combination with other words. These corporate identities were established in the decades-long era of regulated electric utility monopolies. Recently, however, there has been a nationwide change in energy policy and rapid movement toward deregulation, and demonopolization, of the generation and supply of electric power. This movement has already reached California, where EI's predecessor companies have been known as "Edison" for nearly a century. New York, the home of Con Edison, is on a five-year path toward complete deregulation of electric power. Thus, we are entering an era in which affiliates of Con Edison and EI do and will compete directly.
- and paving the way toward competition, state public service and public utility commissions, including New York's Public Service Commission ("PSC") and California's Public Utility Commission ("CPUC"), are considering various measures to introduce competition into the marketplace, including restrictions on the manner in which the utilities may legitimately use their tradenames and trademarks to market new services and products and on the manner in which affiliate companies can also legitimately use the tradenames and trademarks to market services and products. El presently is engaged in proceedings before the CPUC to determine the manner in which it may compete in California under its tradenames and trademarks. Con Edison is similarly engaged in proceedings before the New York PSC.
- 46. Among the strongest and most significant of Con Edison's actual and potential competitors in the deregulated environment is EI, the parent company of Southern

California Edison Company and its unregulated affiliates. Con Edison has engaged in a pattern of predatory activities, including the flouting of the requirements of the New York PSC with respect to the manner in which Con Edison has used its tradename in the deregulated environment, a concerted effort to orchestrate a horizontal anticompetitive arrangement among its actual and potential competitors with respect to the continued use of the Edison names, and the deliberate attempt to prevent consumers from understanding the identity of and selecting among major competitors using the "Edison" name in the New York and other interstate markets.

Historical Use of the Edison Name in Connection with Electric Utility Service

- 47. In 1878, Thomas Alva Edison and a group of investors established the EELC to develop an electrically powered lighting source. EELC, the predecessor to General Electric, owned all the patents to the Edison system. In order to use the Edison technology, it was necessary for a company to receive a license from EELC. Under this system, many electric utility companies, including EELC subsidiaries, sought and received exclusive licenses from EELC for their geographic areas.
- 48. Along with a license to use the patented technology, the licensees were permitted, but not required, to use the "Edison" name in and as part of their company name. Thus, a variety of electric utility companies in different geographic locations across the country have legitimately and concurrently used the "Edison" name in connection with the provision of electric energy since the late 1800's (hereinafter, the "Edison Utilities"). Each of the Edison Utilities traces its use of "Edison" back to the first use in commerce and interstate commerce of the "Edison" name by EELC.

- In 1894, a group of financiers organized the Los Angeles Edison Electric Company ("LAEEC") to obtain a license from General Electric to use the "Edison" name and patented technology in the Los Angeles area. In 1897, the West Side Lighting Company discovered that it was unable to use Edison's technology due to LAEEC's prior and exclusive Southern California rights. The two parties negotiated, and, as a result, the Edison Electric Company of Los Angeles was formed in 1897, consolidating the West Side Lighting Company and LAEEC.
- 50. In 1909, Southern California Edison Company was organized to acquire Edison Electric Company of Los Angeles, along with other pioneer electric companies operating in California. Southern California Edison Company divested its gas properties and expanded its electric and steam businesses through acquisitions and new construction. In 1988, SCEcorp was formed as the holding company of Southern California Edison Company and its unregulated affiliates. SCEcorp's unregulated affiliates have conducted business across the United States and internationally. Southern California Edison Company currently supplies electricity to approximately ten million people in southern, coastal and central California, making it the second-largest, investor-owned electric utility company in the country.

Deregulation of the Electric Utility Industry

51. Since the early 1900's, electric utility companies, including the Edison Utilities, have generally operated as regulated entities within the limited geographic areas they were licensed to serve under federal and state regulations. In large part, these utility companies provided electricity to their customers without competition from other electric service providers.

- 52. In the early 1990's, FERC decided to allow deregulation in the electric industry at the wholesale and interstate level. Shortly thereafter, state regulatory agencies began to address deregulation at the retail consumer level in their jurisdictions.
- In December 1995, the CPUC voted to deregulate its electric power industry by January 1, 1998. In September 1996, the State of California enacted AB 1890 to provide a transition to a competitive market structure. This legislation substantially adopted the CPUC December 1995 restructuring decision. California will thus be the first state to fully deregulate its electric utility industry by permitting competition at the retail level for all consumers. In New York, home of Con Edison, retail competition will be introduced in phases beginning June 1, 1998.
- 54. Eleven other states also have adopted final regulations introducing some form of competition in the electric utility industry, including Arizona, Maine, Massachusetts, Michigan, Montana, Nevada, New Hampshire, Oklahoma, Pennsylvania, Rhode Island and Vermont. In Massachusetts, home of both Boston Edison and Eastern Edison, the Department of Public Utilities issued its final restructuring plan, which includes the start of retail competition in 1998. In Michigan, home of Detroit Edison and Edison Sault Electric, the Public Service Commission in June 1997 ordered retail access to begin in 1998 with 2.5 percent of load, with 2.5 percent more added each year through January 2001. In January 2002, all customers in Michigan will be given direct access capability. In Pennsylvania, home of Metropolitan Edison, restructuring legislation has been enacted that will phase in retail competition for all customers by 2001.

- 55. In addition, many other states are considering some form of deregulation. For instance, in Illinois, home of Commonwealth Edison, the state legislature is considering four restructuring bills with start dates ranging from May 1998 to 2000. In Maryland, home of the Potomac Edison Company, the Public Service Commission issued a staff report in May 1997 proposing full retail competition by 2002. In Ohio, home to both Ohio Edison and Toledo Edison, the Public Utilities Commission adopted final rules for a pilot program to test sales of electricity to groups of customers.
- 56. The intent of this nationwide trend of deregulation is to allow competition in the generation and sale of electric energy, to increase consumer choice of supplier and service company and ultimately to reduce prices for electric energy. The eventual result will be that electric companies will be able to compete in a variety of businesses for customers on a national, and perhaps international, scale.

El's Adoption and Use of the Edison International Name

- 57. In anticipation of competition on a national and international basis, El's predecessor SCEcorp conducted a review of its corporate identity in the marketplace. El believed that the SCEcorp name did not accurately reflect the scope of the company's activities, was geographically limiting, and was not favorably received in the financial markets.
- 58. Market research revealed that the public made little distinction between SCEcorp, the parent company, and Southern California Edison Company, the operating utility, and that the public and press referred to both companies as "Edison." Thus, the goodwill and reputation of SCEcorp and Southern California Edison Company were related to the historical uses of the "Edison" name by SCEcorp and its affiliated companies.

- SCEcorp decided to ready itself for national and international competition and to increase its recognition as an international company in the financial markets through a corporate identity change. One of SCEcorp's affiliates had become a leading independent power producer and had become very well known at the international level. This affiliate, Edison Mission Energy (until January 1996 "Mission Energy Company"), is one of the leading independent power production companies in the world with over 50 projects worldwide. Selection of the "Edison International" name for SCEcorp naturally flowed from the reputation and goodwill built up from EI's one hundred year old identity as "Edison" and was intended to capitalize on Edison Mission Energy's success and indicate the growing international nature of the SCEcorp's businesses.
- the "Edison" name, including: Boston Edison Company, plaintiff Consolidated Edison Company of New York, Commonwealth Edison, The Detroit Edison Company, Eastern Edison Company, Metropolitan Edison Company, Edison Sault Electric Company, The Potomac Edison Company, Ohio Edison Company and Toledo Edison Company. All of these companies are now in actual or potential competition with one another.
- 61. Research revealed that these other Edison Utilities had not federally registered their names or marks, had used their marks only in limited geographic areas, and had used the "Edison" name with other distinguishing words. Research also showed a trend in these companies away from using the "Edison" name. For example, in 1995, Commonwealth Edison announced its new identity as "ComEd" and is currently offering products and services under the ComEd mark. Detroit Edison announced the formation of a new holding company named DTE

Energy Co. and is currently using the mark "DTE Edison America." Plaintiff Con Edison, when it first established unregulated subsidiaries, did not use the "Edison" name but rather named its only two subsidiaries ProMark Energy, Inc. and Gramercy Development, Inc.

- 62. Research also disclosed that the "Edison International" name was available. Because EI had learned that McGraw-Edison at one time may have used "Edison International" and had other federal trademark registrations incorporating the word "Edison," however, EI contacted Cooper Industries, the parent company of McGraw-Edison, prior to filing its trademark applications. Cooper Industries did not object to EI's proposed use of "Edison International" or EI's other marks and agreed to cooperate wherever necessary to allow EI to register its marks in the United States and abroad.
- 63. Shortly thereafter, in April 1995, El filed an Intent to Use Application in the United States Patent and Trademark Office for the mark "Edison International," which was published for opposition in the <u>Trademark Gazette</u> in December 1995. At around this time, El filed applications for a number of other marks as well, including Edison Capital, Edison Mission Energy, Edison EV, Edison Source, Edison OnCall, and Edison's Home Energy Loan Program.
- 64. Detroit Edison filed opposition proceedings against four of the applications filed by El, including Edison International. The parties engaged in productive discussions regarding their use of marks incorporating the Edison name. On May 29, 1997, El and Detroit Edison reached a settlement agreement which permitted each party to continue to incorporate the "Edison" name in their marks in direct competition across the United States. In fact, Detroit Edison is currently selling products in Southern California under the name DTE Edison America.

- 65. No other entity filed timely oppositions to El's initial trademark applications, and the opposition periods for those applications are now closed. In fact, Edison EV was registered, and EI received notices of allowance for Edison Capital and Edison Mission Energy, and expects to receive notices of allowance for the others shortly.
- 66. On January 29, 1996, EI further publicized its new corporate identity, which included not only the new name but also a new logo to be used with all affiliated companies, in a national announcement. John E. Bryson, chairman and CEO of EI, stated that "Our new corporate identity signifies our proud heritage and readiness to compete successfully in a restructured utility environment while building shareholder value through local, regional, national and international business opportunities." Significant media events were held in Los Angeles, New York, and the District of Columbia, and media was purchased in New York and national publications including <u>The New York Times</u>, the <u>Wall Street Journal</u>, and CNN. The costs associated with roll out of the new identity totaled approximately \$10.3 million.
- 67. As part of El's strategy for competition in the deregulated environment, two existing El affiliates, Mission Energy and Mission First Financial, both formed approximately ten years ago, were renamed Edison Mission Energy and Edison Capital, respectively, on January 29, 1996. Edison Enterprises was formed in July 1997 as the holding company for the other existing affiliates, Edison EV, Edison Source and Edison Select.
- 68. Southern California Edison Company, the regulated utility subsidiary, currently supplies electricity to approximately ten million people in southern, coastal and central California, making it the second-largest, investor-owned utility company in the country.

- 69. Edison Mission Energy specializes in the development, acquisition, construction, management and operation of independent power production ("IPP") facilities. Edison Mission Energy is one of the world's leading IPP developers with more than 60 projects all over the world. Edison Mission Energy was incorporated about ten years ago to take advantage of the developing market for cogeneration energy following the 1987 Utility Act. One of Edison Mission Energy's projects is the Brooklyn Navy Yard Cogeneration Plant at the Brooklyn Navy Yard Industrial Park. All of the power generated from that facility, other than host loads, is sold to Con Edison by contract.
- 70. Edison Capital provides capital and financial services to support the growth of energy and infrastructure projects, products and services both domestically and internationally. Established in 1987, Edison Capital has a diversified investment portfolio of more than \$1 billion. Edison Capital is also one of the country's most active corporate investors in affordable housing projects. Edison Capital has a three person staff located in New York City, is listed in the New York telephone directory, and is involved in a variety of projects in the area.
- 71. Edison EV provides installation of charging equipment for electric vehicles. It was created to meet the needs of public charging, fleet charging, recharging and residential electric vehicle owners. Edison EV currently contracts with vehicle manufacturers such as Saturn and Honda.
- 72. Edison Source is an unregulated energy service company ("ESCO") which provides three key areas of service: energy efficiency services, environmental consulting, and energy marketing. Edison Source was formed to help businesses and governments across

North America evaluate their energy needs and help them to make optimal decisions for lower energy costs and improved productivity.

- 73. Edison Select offers mass market retail consumer products and services, including electric and appliance repair, computer repair, internet access and home security services.
- the manner in which EI and its affiliates will compete in California under their tradenames and trademarks. In this proceeding, two commissioners have taken the position that any use of the utility's name by the holding company or its unregulated affiliates raises competitive concerns. EI is subject to competition, however, from numerous third parties, both in-state and out-of-state, using the name "Edison" in connection with energy related products and services. For instance, Detroit Edison is offering products to residential customers in Southern California under the name "DTE Edison America." EI has taken the position that such competition should be permitted and that the public should be fully informed as to the association or lack of association between these companies and of the availability of products and services from all competing Edison companies.

Conduct by Con Edison Prior to Deregulation

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Edison's Home Energy Loan Program, and the opposition periods for such applications have since closed.

- EI was expending major resources and money to position itself as a competitor in the national and state marketplaces under the "Edison International" name and under the names of its subsidiaries, took no action against and never contacted EI to express any concern regarding the name change or EI's branding strategy, despite EI's clear intent to use the marks on a national basis. In fact, Con Edison has dealt extensively with an Edison Mission Energy subsidiary with regard to the Brooklyn Navy Yard Cogeneration Plant before the deregulation in New York. In addition, Edison Source will serve as a power marketer in connection with approximately one megawatt of power from that plant.
- Numerous third parties in Con Edison's service area, adjacent areas and nationwide use the "Edison" name (and variants on that name) in connection with the sale of products and services similar to those offered by Con Edison (including the recent adoption of the name "Com Ed" by Commonwealth Edison). Many more third parties use the "Edison" name in connection with unrelated products and services in Con Edison's service area, adjacent areas, and nationwide. Many of these third parties have registered trademarks in the name. Upon information and belief, Con Edison has never taken any action to oppose the use of the "Edison" name by a third party and has never opposed a third party's application to register a trademark that included the "Edison" name.

Anticompetitive Conduct by Con Edison Since Deregulation

- 78. As alleged in its Complaint, Con Edison is a monopoly in the market for producing and supplying electric energy in New York City and Westchester County. As such, said monopoly affects and is part of interstate commerce -- to wit, the national market for energy production and supply of energy related products and services -- and is a relevant submarket for the production and supply of electric energy, products and services nationwide. Said nationwide market is further composed of a number of additional relevant submarkets in which the various regional utilities have historically operated across the nation (hereinafter, said national and regional markets are referred to as "relevant markets").
- 79. On May 20, 1996, the New York PSC issued an order directing Con Edison to file a rate/restructuring plan consistent with the New York PSC's policy and vision for effective competition in the energy generation and energy services sectors, reduced prices and increased consumer choice of energy supplier and service company.
- After many months of negotiations, meetings, procedural conferences, and the like, including consideration of Con Edison's original proposed agreement dated March 12, 1997, the New York PSC approved an Agreement and Settlement (the "PSC Settlement") on September 10, 1997, and issued an order adopting the PSC Settlement on November 3, 1997 (the "PSC Order"). In the PSC Settlement, Con Edison agreed to introduce retail competition in phases, beginning June 1, 1998, and divest fifty percent of its generating capacity in New York City.
- 81. Pursuant to the PSC Settlement, Con Edison also agreed to abide by specifically enumerated Standards of Competitive Conduct designed to ensure a level playing

field for present and future competitors, including competition from the other Edison Utilities under their own tradenames and trademarks. Under the Standards of Competitive Conduct, Con Edison's unregulated affiliates are properly permitted to use the same name and/or marks as Con Edison. Con Edison, however, is prohibited from falsely representing that any special advantage will accrue to any customer, supplier or third party simply by virtue of the affiliation. For instance, Con Edison cannot state that a customer will receive better delivery or other services from the regulated utility if it purchases its electric power from Con Edison's ESCO.

- Despite the stated intent of the New York PSC to introduce competition into the market for producing and supplying electric energy in New York City and Westchester County, and Con Edison's agreement to take the required actions to effectuate that intent under the PSC Settlement, Con Edison has taken several steps specifically intended to mislead consumers and to unfairly inhibit EI and other actual and potential out-of-state and in-state competitors from entering its market and competing with it in the other relevant markets. It has also sought to prevent consumers from distinguishing and selecting among competitors in the energy related services and product markets, including the Edison utilities which are among the most significant competitors to Con Edison in the relevant markets.
- 83. In furtherance of its willful scheme to unfairly inhibit competition in the relevant markets, Con Edison has willfully violated the Standards of Competitive Conduct in the PSC Settlement by, among other things, placing advertisements in The New York Times and the Wall Street Journal which misrepresented the nature of its continuing relationship with its unregulated affiliates and stated: "With so many unfamiliar names out there, it's nice to know

one thing stays the same. Con Edison Solutions and Con Edison Development will still offer the unrivaled reliability of Con Edison itself."

- groups, in a formal complaint filed with the New York PSC, accurately charged that such advertising by Con Edison violates the New York PSC Standards of Competitive Conduct. The complaint states that: "The ink is not yet dry on the Con Edison settlement agreement just approved by the commission, and already problems are emerging with the company's attempt to exploit the use of its name in affiliation with its newly unregulated marketing affiliates, Con Edison Solutions and Con Edison Development." As stated in the complaint, the clear message of the Con Edison advertisements "is that dealing with affiliates assures better reliability within the Con Edison service territory than would otherwise be available from competitors. This ad is highly misleading, and violates both the spirit and letter of the standards of conduct."
- applications prior to the PSC Order, Con Edison filed oppositions to El's later applications to register Edison Powerlink and Edison AGTAC on January 22, 1997 and July 9, 1997, respectively. Such oppositions are vexatious and filed in bad faith as evidenced by Con Edison's total failure to pursue such oppositions in accordance with the rules of the United States Patent and Trademark Office or to respond to legitimate discovery demands therein prior to the PSC Order.
- 86. In the face of actual and potential competition from El, and with full knowledge of El's branding strategy and El's various trademarks and tradenames incorporating the name "Edison," Con Edison's unregulated subsidiaries changed their names from ProMark

Energy, Inc. and Gramercy Development, Inc. to Consolidated Edison Solutions and Consolidated Edison Development, respectively, on September 24, 1997, mere days before filing this lawsuit. In doing so, Con Edison and its affiliates are intentionally copying EI's branding strategy in furtherance of Con Edison's unlawful attempt to hinder or inhibit EI from entering into Con Edison's traditional market or other relevant markets or submarkets with its own unique "Edison" brand name and to prevent consumers from distinguishing among and selecting among affiliates of the competing Edison utilities.

- 87. Upon information and belief, Con Edison also contacted the other Edison Utilities in furtherance of an unlawful scheme to monopolize and attempt to monopolize and attempted to engage in an unlawful combination or conspiracy with its horizontal actual or potential competitors whereby each company would limit its ability and attempt to limit EI's ability to compete under the "Edison" names.
- 88. Through its conduct, Con Edison is attempting unfairly to impair competition and to prevent consumers from selecting among competing Edison utilities in the New York markets and to deprive consumers of knowledge of the corporate origins and relationships of these competing companies. It is attempting unfairly and unlawfully to perpetuate itself with consumers as the only "Edison" entity in the relevant markets in New York providing electrical products and services.
- 89. In furtherance of its scheme, Con Edison, has used its trademark to misrepresent the nature of the relationship between its regulated and unregulated corporate entities. It has also sought to perpetuate the false perception in the minds of New York

consumers that it is the only "Edison" company with electric utility affiliates in the New York market.

90. Also in furtherance of its scheme, Con Edison has stated its intent to foreclose legitimate competition and now alleges in bad faith in this lawsuit that on a nationwide basis "the use of the 'Edison' name in a corporate name for any company offering energy-related products and services will inevitably confuse consumers who have over the past century come to associate 'Edison' with Con Edison and its predecessor companies." Con Edison has been aware of and acquiesced in multiple third party uses and uses by EI and its predecessors of the "Edison" name in connection with energy related products and services for more than one hundred years.

FIRST AFFIRMATIVE DEFENSE

91. Con Edison's claims are barred by the doctrine of trademark misuse.

SECOND AFFIRMATIVE DEFENSE

92. Con Edison's claims are barred by the doctrines of unclean hands and bad faith conduct.

THIRD AFFIRMATIVE DEFENSE

93. Con Edison's claims are barred, in whole or in part, by laches.

FOURTH AFFIRMATIVE DEFENSE

94. Con Edison's claims are barred, in whole or in part, by waiver.

FIFTH AFFIRMATIVE DEFENSE

95. Con Edison's claims are barred, in whole or in part, by estoppel.

SIXTH AFFIRMATIVE DEFENSE

96. Con Edison's claims are barred, in whole or in part, by acquiescence.

SEVENTH AFFIRMATIVE DEFENSE

97. Con Edison's claims are barred, in whole or in part, by the applicable statute of limitations.

EIGHTH AFFIRMATIVE DEFENSE

98. Con Edison's claims fail, in whole or in part, to state a claim upon which relief can be granted.

PRAYER FOR RELIEF

WHEREFORE, EI respectfully requests:

- A. Judgment dismissing the claims set forth in the Complaint;
- B. Costs and attorneys' fees; and
- C. An award of such other relief in law or equity as this Court may

deem just and proper.

Dated:

New York, New York November 19, 1997

Respectfully submitted,

LATHAM & WATKINS

By___

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PATENTS - TRADEMARKS COPYRIGHTS

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JAMES G. WATTERSON

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PAUL A. SERBINOWSKI MATTHEW C. FAGAN

JENNIFER NOCK HINTON

GEORGE L. PINCHAK RICHARD A. SHARPE

PETER R. HAGERTY

NOT ADMITTED IN OHIO

OF COUNSEL THOMAS E. FISHER

ROBERT P. WRIGHT

Enclosed are the following:

- 1. An application for the service mark LEDISON in International Class 9.
- 2. A Declaration and Power of Attorney.
- 3. A trademark drawing.
- 4. Three facsimiles or specimens for each class.
- 5. The requisite Patent and Trademark Office filing fee in the amount of \$245.00 to cover the cost of the application for registration in one class.

Please charge any additional fees, or credit any overpayment, to Deposit Account No. 23-0630.

Very truly yours,

Peter R. Hagerty

PRH/esz Encls. i hereby certify that this paper is being deposited with the U.S. Postal Service as 1st Class Mail addressed to the Assistant Commissioner for Trademarks, 2900 Crystal Drive, Arlington, VA 22202-3513

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By: Elou furlil

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE TRADEMARK DIVISION

Mark:

LEDISON

Int. Class:

9

Docket No .:

15-166

Watts, Hoffmann, Fisher & Heinke Co., L.P.A.
1100 Superior Avenue, Suite 1750
Cleveland, Ohio 44114-2518
Telephone (216) 241-6700
Facsimile (216) 241-8151

Assistant Commissioner for Trademarks Box NEW APP (FEE) 2900 Crystal Drive Arlington, VA 22202-3513

STATEMENT

MULE LIGHTING, INC., a corporation of the state of Rhode Island, having an office and place of business at 325 Valley Street, Providence, Rhode Island 02908, has adopted and is using the mark shown on the accompanying drawing for:

LIGHT EMITTING DIODES AND LIGHT EMITTING DIODE DISPLAYS, in International Class 9, and requests that the mark be registered in the United States Patent and Trademark Office on the Principal Register established by the Act of July 5, 1946.

The mark was first used in connection with the goods since December, 1998; was first used in Interstate Commerce since December, 1998; and is now in use in such Commerce.

The mark is used by placing it on the goods, by casting, molding, stamping, or directly imprinting thereon, by use on tags or labels affixed to the goods, or on the containers in which they are shipped, or when such placing is impractical on documents associated with the goods or their sale, or in displays associated with the goods. Three (3) facsimiles or specimens showing the mark as actually used are presented herewith for each class.

t hereby certify that this paper is being deposite U. S. Postal Service as 1st Class Mail addre Assistant Commissioner for Trademarks, 2900 Arlington, VA 22202-3513

MULE-00144

on 4-2-99 cy: 2. Con June

DECLARATION AND POWER OF ATTORNEY

Robert P. Cross, states that: He/She is President of the applicant corporation and is authorized to execute this declaration on behalf of said corporation, he believes said corporation to be the owner of the mark sought to be registered; to the best of his knowledge and belief, no other person, firm, corporation or association has the right to use said mark in commerce, either in the identical form or in such near resemblance thereto as to be likely, when applied to the goods of such other person, to cause confusion or to cause mistake, or to deceive; and he is authorized to appoint and hereby appoints the lawyers associated with WATTS, HOFFMANN, FISHER & HEINKE CO., L.P.A., namely T.E. Fisher, L.L. Heinke, J.G. Watterson, L.J. Raney, J.R. Hlavka, S.J. Schultz, G.L. Pinchak, R.A. Sharpe, P.A. Serbinowski, M.C. Fagan and J. Nock Hinton, (members in good standing of the Bar of the State of Ohio) and P.R. Hagerty (member in good standing of the Bar of the State of Massachusetts), whose post office address is 1100 Superior Avenue, Suite 1750, Cleveland, Ohio 44l14-2518 (telephone: 2l6-241-6700) to prosecute this application to register, to transact all business in the Patent and Trademark Office in connection therewith and to receive the Certificate of Registration; and all statements made herein of his own knowledge are true and all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or document or any registration resulting therefrom.

MULE LIGHTING, INC.

Date: 3/5/11

By: Robert P. Cross,

Title: President

LEDison LED Lamps Model #: __ LEDison LED Lamps Model #: ____

MULE-00146

Applicant: Mule Lighting, Inc. 325 Valley Street

Providence, Rhode Island 02908

Date of First Use: Since December, 1998

Date of First Use in Interstate Commerce: Since December, 1998

Goods: LIGHT EMITTING DIODES AND LIGHT EMITTING DIODE DISPLAYS,

in International Class 9

Attorneys: WATTS, HOFFMANN, FISHER & HEINKE

1100 Superior Avenue, Suite 1750

Cleveland, Ohio 44114-2518 Our Docket No. 15-166

LEDISON



LEDison SERIES



Energy Efficient Long Life

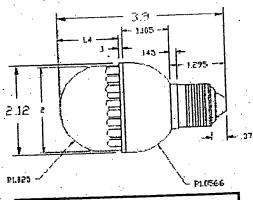
The **LEDison SERIES** of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Often used in Indicator, Task, Medical and Marine applications. Be the first in your industry.

** LET MULE DESIGN A LAMP FOR YOUR NEEDS **



(PATENTS APPLIED FOR)

DIMENSIONAL DRAWING



BULB WEIGHT: 20Z. PACKAGING: 12 PER CASE

BENEFITS & FEATURES

- Replaces incandescent bulbs
- Long life reliability
- 110-130 VAC (call for other voltages)
- 360° Visibility
- Rugged thermoplastic housing
- Even illumination
- Reduce's maintenance fewer lamp changes
- Edison E-26 base (standard socket)

LEDison SERIES LED lamps are available in a number of colors and color combinations. Special high abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.

ORDERING INFORMATION

STANDARD APPLICATIONS

Model	Color	Voltage*	Visibility	Wattage
LIL0001-A	AMRER	110-130	360°	1.6
LIL0002-R	RED	110-130	360°	1.1
LIL0003-G	GREEN	110-130	360°	1.9
LIL0004-B	BLUE	110-130	360°	1.2
LIL0005-W	WHITE	110-130	360°	1.1

HIGH ABUSE APPLICATIONS**

IIIOII ABCOZIZ				
M odel	Color	Voltage*	Visibility	Wattage
HALIL0001-A HALIL0002-R HALIL0003-G HALIL0004-B HALIL0005-W	AMBLE RED GREEN BLUE WHITE	110-130 110-130 110-130 110-130 110-130	360° 360° 360° 360° 360°	1.6 1.1 1.9 1.2 1.1

- Voltage levels can effect lamp brightness, 220-277V Available.
- ••• Internal components are sealed against vibration and shock.

Applicant: Mule Lighting, Inc. 325 Valley Street

Providence, Rhode Island 02908

Date of First Use: Since December, 1998
Date of First Use in Interstate Commerce: Since December, 1998

Goods: LIGHT EMITTING DIODES AND LIGHT EMITTING DIODE DISPLAYS,

in International Class 9

Attorneys: WATTS, HOFFMANN, FISHER & HEINKE 1100 Superior Avenue, Suite 1750

Cleveland, Ohio 44114-2518 Our Docket No. 15-166

LEDISON

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Classic Series

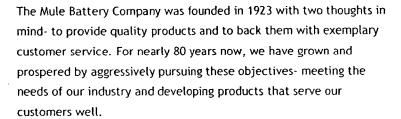
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LED-Flex The LED Substitute for Neon Lighting.





Our business began with the development of innovative, communication grade batteries. These were products with quality built in, backed by full customer service that was decades ahead of its time. (You can still see from our logo that they "kicked like a mule.")

Over the years, as the market expanded rapidly, we saw the need for a supplier also able to provide complete lighting equipment and systems. In 1970, Mule responded to that need by acquiring a highquality manufacturer of emergency lighting equipment, Litecor, and becoming Mule Lighting, Inc.

The synergistic combination of technical expertise and marketing know-how produced by that acquisition has led to many more innovative product developments by Mule Lighting, Inc. Today, our catalog showcases a broad line of lighting products (including emergency and exit, compact fluorescent, commercial and industrial lighting) and a range of battery products. Most recently, Mule's innovation has resulted in the design and development of an extensive new product line, an array of solid state LED lamps for a wide range of applications, from exit fixtures and emergency lighting units to decorative, accent and general purpose lighting.

Today Mule is enjoying unparalled success as a well-established company with well over seventy years of battery manufacturing experience and more than thirty years of involvement with emergency lighting. We still retain sole source responsibility for the design, complete manufacture, sales, and service of all our products. Best of all, we still follow those tenets upon which we were founded- to manufacture quality products and back them with

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LED-Flex[™]
The LED Substitute for Neon
Lighting.





The Mule Battery Company was founded in 1923 with two thoughts in mind- to provide quality products and to back them with exemplary customer service. For nearly 80 years now, we have grown and prospered by aggressively pursuing these objectives- meeting the needs of our industry and developing products that serve our customers well.

Our business began with the development of innovative, communication grade batteries. These were products with quality built in, backed by full customer service that was decades ahead of its time. (You can still see from our logo that they "kicked like a mule.")

Over the years, as the market expanded rapidly, we saw the need for a supplier also able to provide complete lighting equipment and systems. In 1970, Mule responded to that need by acquiring a high-quality manufacturer of emergency lighting equipment, Litecor, and becoming Mule Lighting, Inc.

The synergistic combination of technical expertise and marketing know-how produced by that acquisition has led to many more innovative product developments by Mule Lighting, Inc. Today, our catalog showcases a broad line of lighting products (including emergency and exit, compact fluorescent, commercial and industrial lighting) and a range of battery products. Most recently, Mule's innovation has resulted in the design and development of an extensive new product line, an array of solid state LED lamps for a wide range of applications, from exit fixtures and emergency lighting units to decorative, accent and general purpose lighting.

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exemplary customer service. We trust your experience with Mule will find this to be true.

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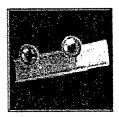
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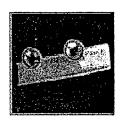
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Meridan Series



Renaissance Series



Precision Series



E-Star Series



ROBO Series



NEMA 4X Series - N4



Mulennium Series



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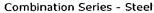
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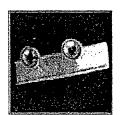
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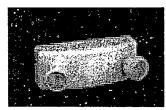
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Econo-Lite Series



Radius Series



Recessed Series - Gimbal



EC Series



KES Series



Lite-Way Series - BKEM-2A



RT Series - Remote Testing



C Series



X Series



NEMA 4X Series - NM4



Embassy Series

Remote Heads & Fixtures

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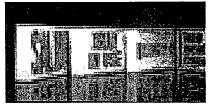
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Mariner Series - DD

Mariner Series - SD

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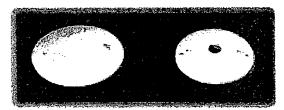
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LEDison Series



G45 Series



LEDelier Series



LED-M50 Series



LED-A19 Series



FlameTip™ Serie



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LED-R50™ & R63™ Series

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Neo-Ray Corelite Lumark

Sure-Lites

Fail-Safe Iris AtLite

Lumière

Streetworks

CooperLED International Regent Shaper Invue RSA DLS

MWS

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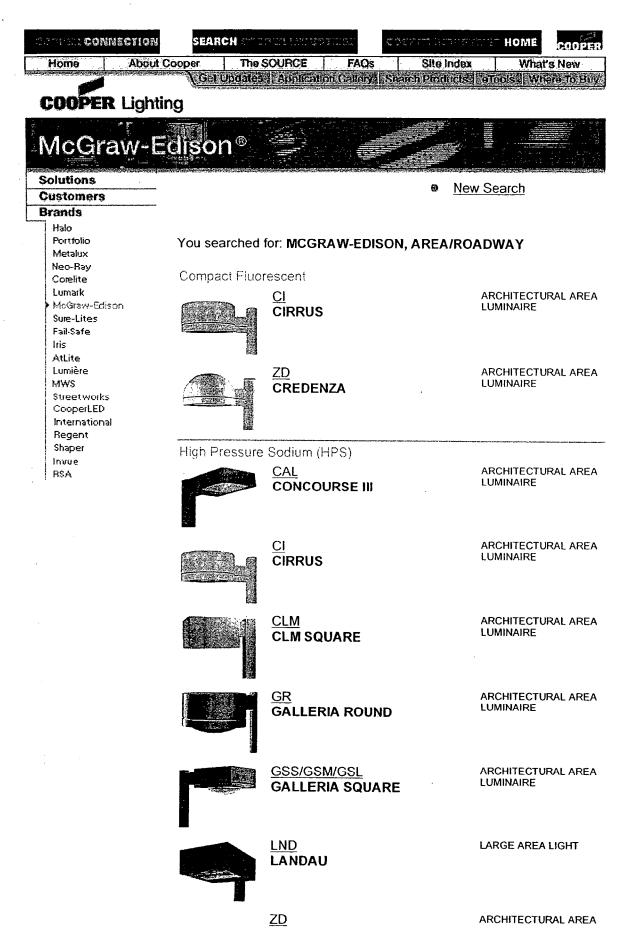


McGraw-Edison offers a range of versatile, high performance and efficient HID fixtures for outdoor applications.

For over 80 years, McGraw-Edison has served markets including street and highway area lighting, high mast, athletic facilities, parking lots, security and flood lighting.

Combining outstanding photometrics and specification grade construction with aesthetic standards, every McGraw-Edison outdoor fixture is designed for dependability and long life under the most severe weather conditions.

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CREDENZA

LUMINAIRE

Metal Halide (MH)



CAL **CONCOURSE III**

ARCHITECTURAL AREA LUMINAIRE



<u>CI</u> CIRRUS

ARCHITECTURAL AREA LUMINAIRE



CLM CLM SQUARE

ARCHITECTURAL AREA LUMINAIRE





GALLERIA ROUND

ARCHITECTURAL AREA LUMINAIRE



GSS/GSM/GSL **GALLERIA SQUARE**

ARCHITECTURAL AREA

LUMINAIRE



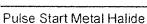
LND LANDAU

LARGE AREA LIGHT



<u>ZD</u> **CREDENZA**

ARCHITECTURAL AREA LUMINAIRE

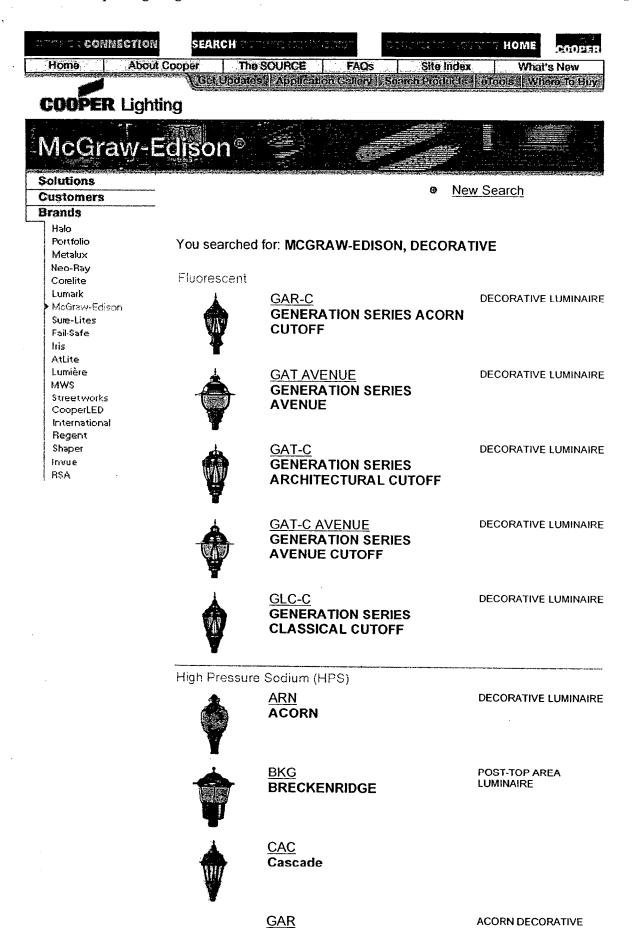


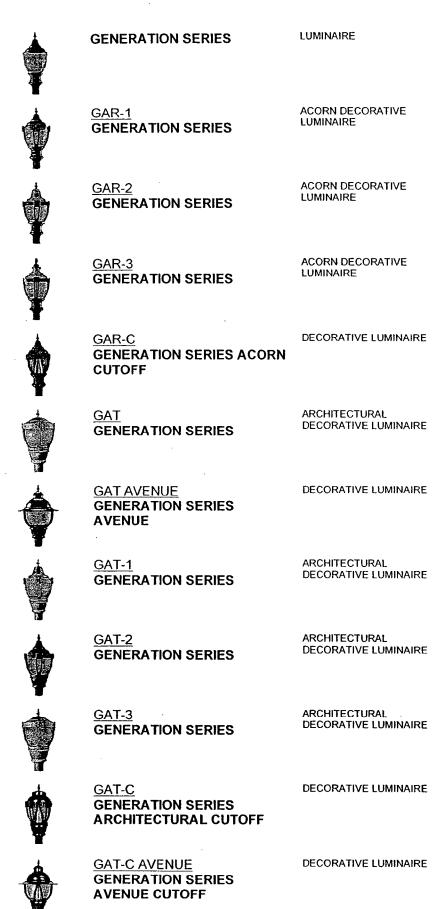


LND LANDAU

LARGE AREA LIGHT

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Metal Halide (MH)



ARN **ACORN**

DECORATIVE LUMINAIRE

BKG BRECKENRIDGE POST-TOP AREA LUMINAIRE





CAC Cascade



GAR
GENERATION SERIES

ACORN DECORATIVE LUMINAIRE



GAR-1 GENERATION SERIES ACORN DECORATIVE LUMINAIRE



GAR-2 GENERATION SERIES ACORN DECORATIVE LUMINAIRE



GAR-3
GENERATION SERIES

ACORN DECORATIVE LUMINAIRE



GAR-C
GENERATION SERIES ACORN
CUTOFF

DECORATIVE LUMINAIRE



GAT
GENERATION SERIES

ARCHITECTURAL DECORATIVE LUMINAIRE



GAT AVENUE
GENERATION SERIES
AVENUE

DECORATIVE LUMINAIRE



GAT-1 GENERATION SERIES ARCHITECTURAL DECORATIVE LUMINAIRE



GAT-2
GENERATION SERIES

ARCHITECTURAL DECORATIVE LUMINAIRE



GAT-3
GENERATION SERIES

ARCHITECTURAL DECORATIVE LUMINAIRE



		•
	GAT-C GENERATION SERIES ARCHITECTURAL CUTOFF	DECORATIVE LUMINAIRE
	GAT-C AVENUE GENERATION SERIES AVENUE CUTOFF	DECORATIVE LUMINAIRE
	GLC GENERATION SERIES	CLASSICAL DECORATIVE LUMINAIRE
	GLC-1 GENERATION SERIES	CLASSICAL DECORATIVE LUMINAIRE
	GLC-2 GENERATION SERIES	CLASSICAL DECORATIVE LUMINAIRE
	GLC-3 GENERATION SERIES	CLASSICAL DECORATIVE LUMINAIRE
	GLC-C GENERATION SERIES CLASSICAL CUTOFF	DECORATIVE LUMINAIRE
	MNC MANCHESTER	DECORATIVE GLASS LUMINAIRE
	NHN NEW HAVEN	POST-TOP AREA LUMINAIRE
	TRD DAYFORM TRADITIONAIRE	POST-TOP AREA LUMNIAIRE
7	TRR TRADITIONAIRE	POST-TOP AREA LUMINAIRE
) A	WBG WOODBRIDGE	POST-TOP AREA LUMINAIRE

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ACURA

FLOODLIGHT



AMF ACURA YOKE

MEDIUM YOKE-MOUNTED FLOODLIGHT



AMF ACURA SLIPFITTER

MEDIUM SLIPFITTER-MOUNTED FLOODLIGHT



ASF ACURA YOKE

SMALL SLIPFITTER-MOUNTED FLOODLIGHT



ASF ACURA KNUCKLE

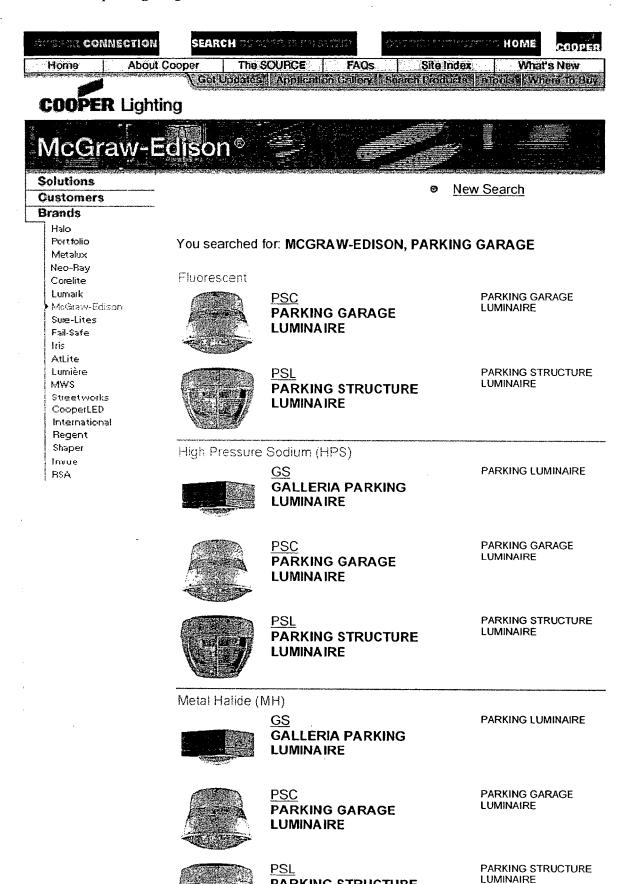
SMALL KNUCKLE-MOUNTED FLOODLIGHT



ASF ACURA SLIPFITTER

SMALL YOKE-MOUNTED FLOODLIGHT

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PARKING STRUCTURE

LUMINAIRE

Pulse Start Metal Halide



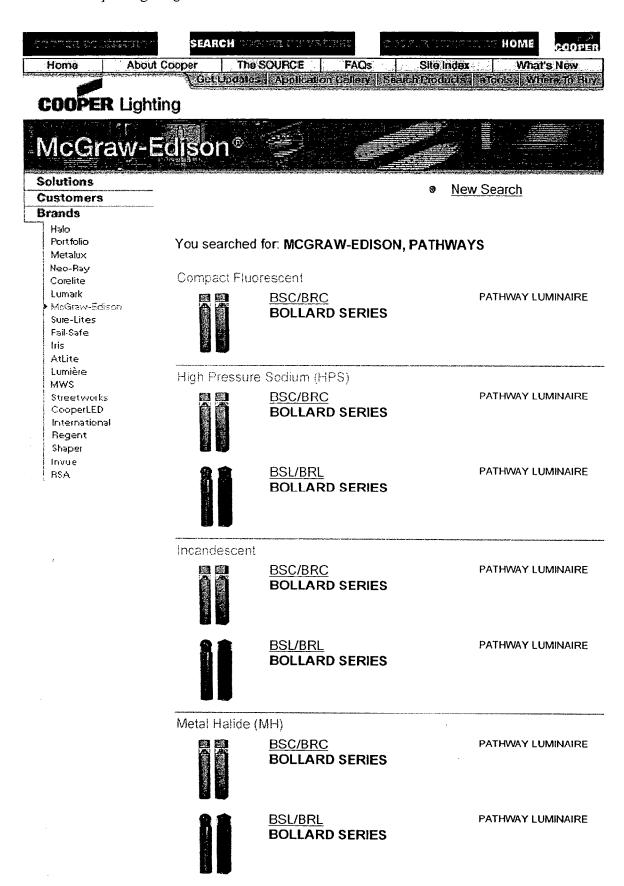
PSC
PARKING GARAGE
LUMINAIRE

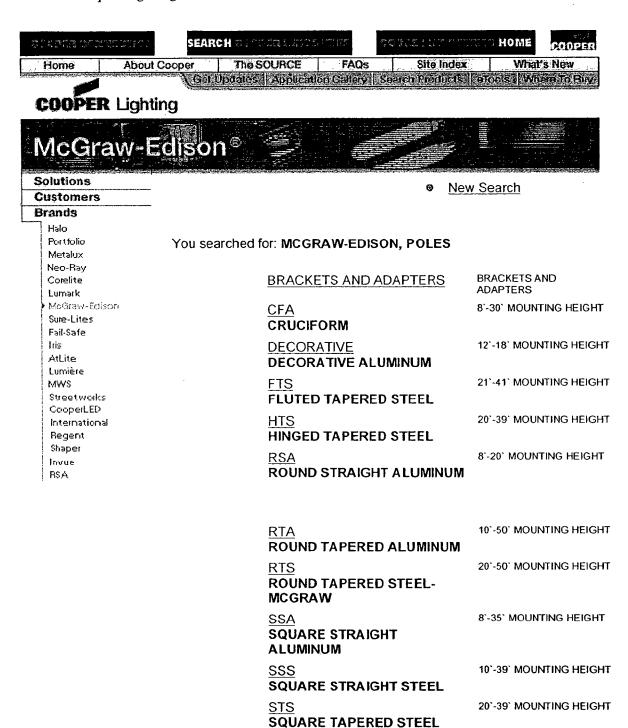
PARKING GARAGE LUMINAIRE



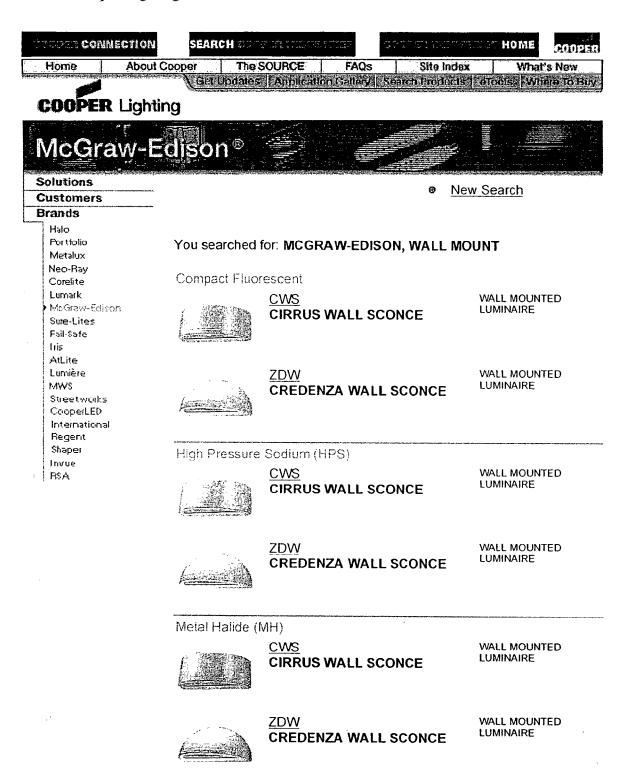
<u>PSL</u> **PARKING STRUCTURE LUMINAIRE** PARKING STRUCTURE LUMINAIRE

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THE BUNITURED STATURS OF ANTERIOR

TO ALL TO WHOM THESE: PRESENTS: SHALL COME; UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

December 10, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,015,393 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM November 12, 1996 SECTION 8 & 15 SAID RECORDS SHOW TITLE TO BE IN: MCGRAW-EDISON COMPANY

A DELAWARE CORPORATION

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

N. WILLIAMS

Certifying Officer

Prior U.S. Cls.: 21, 23, 26, 36 and 38

United States Patent and Trademark Office Reg. No. 2,015,393
Registered Nov. 12, 1996

TRADEMARK PRINCIPAL REGISTER

MCGRAW-EDISON

COOPER INDUSTRIES, INC. (OHIO CORPORA-TION) 1001 FANNIN, SUITE 4000 HOUSTON, TX 77002

FOR: TRANSFORMERS, VOLTAGE REGU-LATORS, POWER CAPACITORS, AIR BREAK DISCONNECT SWITCHES, LOAD BREAK SWITCHES, RECLOSERS, SECTIONALIZERS, VACUUM FAULT INTERRUPTERS, SWITCH-GEAR, SURGE ARRESTERS, FUSES, FUSE EQUIPMENT COMPRISING FUSE RECEPTA-CLES, FAULT INDICATORS, ELECTRICAL CABLE CONNECTION UNITS, TRANSFORM-ER SWITCHES, IN CLASS 9 (U.S. CLS. 21, 23, 26, 36 AND 38).

FIRST USE 2-10-1994; IN COMMERCE 2-10-1994.

SER. NO. 75-016,990, FILED 11-1-1995.

J. TINGLEY, EXAMINING ATTORNEY



THE UNITED STATES OF AMERICA

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United States Patent and Trademark Office

December 14, 2004

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REGISTERED FOR A TERM OF 20 YEARS FROM October 24, 1939 3rd RENEWAL FOR A TERM OF 10 YEARS FROM October 24, 1999

SAID RECORDS SHOW TITLE TO BE IN:

MCGRAW EDISON COMPANY

A DELAWARE CORPORATION

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

W. MONTGOMERY

Certifying Officer

Prior U.S. Cl.: 21

Reg. No. 372,127

United States Patent and Trademark Office

Registered Oct. 24, 1939

10 Year Renewal

Renewal Term Begins Oct. 24, 1999

TRADEMARK PRINCIPAL REGISTER

EDISON

MCGRAW-EDISON COMPANY (DELA-WARE CORPORATION)
P.O. BOX 4446
HOUSTON. TX 77210, BY ASSIGNMENT, BY ASSIGNMENT, BY ASSIGNMENT THOMAS A. EDISON, INC. (NEW JERSEY CORPORATION) WEST ORANGE, NJ

OWNER OF U.S. REG. NO. 259,035.

FOR: ELECTRICAL SWITCHES AND ELECTRICAL RELAYS., IN CLASS 21 (INT. CL. 9).

FIRST USE 7-23-1936; IN COMMERCE 7-23-1936.

SER. NO. 71-418,443, FILED 4-19-1939.

In testimony whereof I have hereunto set my hand and caused the seal of The Patent and Trademark Office to be affixed on Apr. 18, 2000.

COMMISSIONER OF PATENTS AND TRADEMARKS

UNITED STATES PATENT OFFICE

Thomas A. Edison, Incorporated. West Orange, N. J.

Act of February 20, 1905

Application April 19, 1939, Serial No. 418,433

EDISON

STATEMENT

To the Commissioner of Patents:

Thomas A. Edison, Incorporated, a corporation duly organized under the laws of the State of New Jersey and located at West Orange, New Jersey, and doing business at 51 Lakeside Avenue, West Orange, New Jersey, has adopted and used the trade-mark shown in the accompanying drawing, for ELECTRICAL SWITCHES AND ELECTRICAL RELAYS, in Class 21, Electrical apparatus, machines, and supplies, and presents herewith five specimens showing the trade-mark as actually used by applicant upon the goods and requests that the same be registered in the United States Patent Office in accordance with the act of February 20, 1905, as amended. The trademark has been continuously used and applied to said goods in applicant's business since July 23, 1936. The trade-mark is applied or affixed to the goods by securing to the goods plates bearing the trade-mark.

The trade-mark consists of the word "Edison".

Applicant is the owner of registration No. 259,035 dated July 23, 1929, effected on the ground of actual and exclusive use by the applicant of the mark shown therein on the goods recited in said registration as a trade-mark for ten years next preceding February 20, 1905, and the mark has been used by the applicant on the articles named in the present application in interstate and foreign commerce for at least one year.

The undersigned appoints Henry Lanahan, registration No. 9871, whose address is Edison Office Building, West Orange, New Jersey, its attorney, with full power of substitution and revocation, to prosecute this application for registration, to make alterations and amendments therein, to receive the certificate and to transact all business in the Patent Office in connection therewith.

THOMAS A. EDISON, INCORPORATED, By F. C. ERWIN,

Secretary.



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TO ALL TO WHOM THESE: PRESENTS: SHALL COMES
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

August 19, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 409,187 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 20 YEARS FROM September 19, 1944 2nd RENEWAL FOR A TERM OF 20 YEARS FROM September 19, 1984

SAID RECORDS SHOW TITLE TO BE IN:

MCGRAW-EDISON COMPANY

A DE CORP

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

P. R. GRANT

Certifying Officer

UNITED STATES PATENT OFFICE

Thomas A. Edison, Incorporated, West Orange, N. J.

Act of February 20, 1905

Application May 13, 1944, Serial No. 470,222

EDISON

STATEMENT

To the Commissioner of Patents:

Thomas A. Edison, Incorporated, a corporation duly organized under the laws of the State of New Jersey and located at West Orange, New Jersey, and doing business at 51 Lakeside Avenue. West Orange, New Jersey, has adopted and used the trade-mark shown in the accompanying drawing, for INDICATING INSTRUMENTS— NAMELY, TEMPERATURE INDICATORS AND INDICATING INSTRUMENTS OR UNITS EACH COMPRISING AN ASSEMBLY OF A TEMPERATURE INDICATOR, A PRESSURE GAUGE, AND A DIFFERENTIAL PRESSURE GAUGE-in Class 26, Measuring and scientific appliances, and presents herewith five specimens showing the trade-mark as actually used by applicant upon the goods, and requests that the same be registered in the United States Patent Office in accordance with the act of February 20, 1905, as amended. The trade-mark has been continuously used and applied to said goods in applicant's business, in respect of temperature indicators since sometime in June 1940, and in respect of indicating instruments or units each comprising an assembly of a temperature indicator, a pressure gauge and a differential pressure gauge, since sometime in July 1940. The

trade-mark is applied or affixed to the goods by stamping or printing the same on plates which constitute the dials of said goods.

Applicant is the owner of registration. No. 259,035 of July 23, 1929, effected on the ground of actual and exclusive use by the applicant of the mark shown therein on the goods recited in said registration as a trade-mark for 10 years next preceding February 20, 1905, and the mark has been used by the applicant on the goods named in the present application in interstate commerce for at least one year.

Applicant is also the owner of trade-mark registration No. 377,016 dated April 16, 1940.

The undersigned appoints Henry Lanahan, registration No. 9871, whose address is Edison Office Building, West Orange, New Jersey, its attorney, with full power of substitution and revocation, to prosecute this application for registration, to make alterations and amendments therein, to receive the certificate, and to transact all business in the Patent Office in connection therewith.

THOMAS A. EDISON, INCORPORATED, By F. C. ERWIN, Secretary.



THE UNIVER STAVES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

August 23, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,288,874 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 20 YEARS FROM August 07, 1984 SECTION 8 & 15

SAID RECORDS SHOW TITLE TO BE IN:

MCGRAW-EDISON COMPANY A DELAWARE CORPORATION

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

L. EDELEN

Certifying Officer

L. Eselen

Prior U.S. Cl.: 21

United States Patent and Trademark Office

Reg. No. 1,288,874 Registered Aug. 7, 1984

TRADEMARK
Principal Register

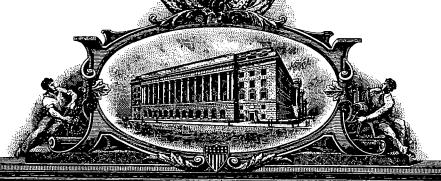
EDISON

McGraw-Edison Company (Delaware corporation) One Continental Towers 1701 Golf Rd. Rolling Meadows, Ill. 60008

For: INDOOR AND OUTDOOR ELECTRIC LIGHT FIXTURES, in CLASS 11 (U.S. Cl. 21). First use Sep. 1974; in commerce Sep. 1974. Sec. 2(f).

Ser. No. 438,434, filed Aug. 8, 1983.

ABRAM I. SACHS, Examining Attorney



736273

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TO ALL TO WHOM THESE: PRESENTS SHALL COME;
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

August 19, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,636,822 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM March 05, 1991
1st RENEWAL FOR A TERM OF 10 YEARS FROM March 05, 2001
SECTION 8 & 15
SAID RECORDS SHOW TITLE TO BE IN:
MCGRAW-EDISON COMPANY
A DE CORP

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

P. R. GRANT
Certifying Officer

Prior U.S. Cl.: 21

United States Patent and Trademark Office Reg. No. 1,636,822 Reg. No. 1,636,822

TRADEMARK PRINCIPAL REGISTER



COOPER INDUSTRIES, INC. (OHIO CORPORA-TION) P.O. BOX 4446 HOUSTON, TX 77210

FOR: ELECTRICAL LIGHTING FIXTURES, IN CLASS 11 (U.S. CL. 21).

FIRST USE 8-24-1987; IN COMMERCE 8-24-1987.

OWNER OF U.S. REG. NO. 1,288,874.

THE DRAWING IS LINED FOR THE COLOR RED AND SAID COLOR IS CLAIMED AS PART OF THE MARK.

SER. NO. 73-839,197, FILED 11-14-1989.

J. TINGLEY, EXAMINING ATTORNEY



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHAML COMES
UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

August 19, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 1,644,681 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM May 14, 1991 1st RENEWAL FOR A TERM OF 10 YEARS FROM May 14, 2001 SECTION 8 & 15

SAID RECORDS SHOW TITLE TO BE IN:

MCGRAW-EDISON COMPANY A DELAWARE CORPORATION

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

P. SWAIN

Certifying Officer

allin

Prior U.S. Cl.: 100

United States Patent and Trademark Office Registered May 14, 1991

SERVICE MARK PRINCIPAL REGISTER

THOMAS A. EDISON TECHNICAL CENTER

COOPER INDUSTRIES, INC. (OHIO CORPORATION)
P.O. BOX 4446
HOUSTON, TX 77210

FOR: ELECTRICAL TESTING AND ANALYTICAL SERVICES FOR THE ELECTRIC POWER GENERATION, TRANSMISSION AND DISTRIBUTION INDUSTRY, IN CLASS 42 (U.S. CL. 100).

FIRST USE 12-0-1959; IN COMMERCE 12-0-1965.

OWNER OF U.S. REG. NOS. 33,236, 985,365 AND OTHERS.

NO CLAIM IS MADE TO THE EXCLUSIVE RIGHT TO USE "TECHNICAL CENTER", APART FROM THE MARK AS SHOWN.

SER. NO. 73-838,893, FILED 11-13-1989.

JAMES F. VOEGELI, EXAMINING ATTORNEY



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TO ALL TO WHOM THESE; PRESENTS SHALL COME;

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

August 19, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,443,841 IS CERTIFIED TO BE A TRUE COPY OF THE REGISTRATION ISSUED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE WHICH REGISTRATION IS IN FULL FORCE AND EFFECT.

REGISTERED FOR A TERM OF 10 YEARS FROM April 17, 2001 SAID RECORDS SHOW TITLE TO BE IN: Registrant

By Authority of the

COMMISSIONER OF PATENTS AND TRADEMARKS

P. SWAIN

Certifying Officer

Prior U.S. Cls.: 13, 21, 23, 31 and 34

United States Patent and Trademark Office

Reg. No. 2,443,841 Registered Apr. 17, 2001

TRADEMARK PRINCIPAL REGISTER

Thomas a Edison.

MCGRAW-EDISON COMPANY (DELAWARE CORPORATION)
600 TRAVIS STREET HOUSTON, TX 77002

FOR: ELECTRIC LIGHTING FIXTURES AND COMPONENTS THEREFOR; NAMELY, ELECTRIC BALLASTS, ELECTRICAL TRANSFORMERS AND TRACK LIGHTING UNITS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

FIRST USE 1-0-1958; IN COMMERCE 1-0-1958.

OWNER OF U.S. REG. NOS. 372,127, 1,644,681 AND OTHERS.

THE MARK CONSISTS OF THE SIGNATURE OF "THOMAS A. EDISON".

SER. NO. 75-714,374, FILED 5-26-1999.

SARAH OTTE, EXAMINING ATTORNEY



THE UNIVER STAYES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

December 13, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,495,399 IS CERTIFIED TO BE A TRUE COPY OF THE REGISTRATION ISSUED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE WHICH REGISTRATION IS IN FULL FORCE AND EFFECT.

REGISTERED FOR A TERM OF 10 YEARS FROM October 09, 2001 SAID RECORDS SHOW TITLE TO BE IN: Registrant

By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS



MONTGOMERY
Certifying Officer

Prior U.S. Cls.: 13, 21, 23, 31 and 34

United States Patent and Trademark Office

Reg. No. 2,495,399

Registered Oct. 9, 2001

TRADEMARK PRINCIPAL REGISTER

THOMAS A. EDISON

MCGRAW-EDISON COMPANY (DELAWARE CORPORATION)
600 TRAVIS STREET HOUSTON, TX 77002

FOR: ELECTRIC LIGHTING FIXTURES AND COMPONENTS THEREFOR; NAMELY, ELECTRIC BALLASTS, ELECTRICAL TRANSFORMERS AND TRACK LIGHTING UNITS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

FIRST USE 1-0-1958; IN COMMERCE 1-0-1958.

OWNER OF U.S. REG. NOS. 372,127, 1,746,302 AND OTHERS.

SER. NO. 75-714,373, FILED 5-26-1999.

SARAH OTTE, EXAMINING ATTORNEY



THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE: PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office

December 13, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,726,711 IS CERTIFIED TO BE A TRUE COPY OF THE REGISTRATION ISSUED BY THE UNITED STATES PATENT AND TRADEMARK OFFICE WHICH REGISTRATION IS IN FULL FORCE AND EFFECT.

REGISTERED FOR A TERM OF 10 YEARS FROM June 17, 2003 SAID RECORDS SHOW TITLE TO BE IN: Registrant

By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS

T SAMINAT CITY

W. MONTGOMERY
Certifying Officer

Prior U.S. Cls.: 13, 21, 23, 31 and 34

Reg. No. 2,726,711 Registered June 17, 2003

United States Patent and Trademark Office

TRADEMARK PRINCIPAL REGISTER

EDISON

MCGRAW-EDISON COMPANY (DELAWARE CORPORATION) 600 TRAVIS STREET HOUSTON, TX 77002

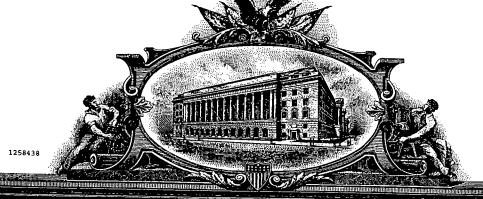
FOR: ELECTRIC KITCHEN APPLIANCES FOR DOMESTIC USE; NAMELY, COUNTER-TOP TOASTER, BROILER AND COOKING OVENS, ELECTRIC SKILLETS AND COFFEE MAKERS AND COFFEE URNS, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

FIRST USE 12-6-2001; IN COMMERCE 12-6-2001.

OWNER OF U.S. REG. NOS. 372,127, 2,495,399 AND OTHERS.

SER. NO. 76-381,492, FILED 3-12-2002.

EDWARD NELSON, EXAMINING ATTORNEY



THE UNIVER STAVES OF AMERICA

TO ALL TO WHOM THESE: PRESENTS: SHALL COME;
UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

December 13, 2004

THE ATTACHED U.S. TRADEMARK REGISTRATION 2,294,981 IS CERTIFIED TO BE A TRUE COPY WHICH IS IN FULL FORCE AND EFFECT WITH NOTATIONS OF ALL STATUTORY ACTIONS TAKEN THEREON AS DISCLOSED BY THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE.

REGISTERED FOR A TERM OF 10 YEARS FROM November 30, 1999

SAID RECORDS SHOW TITLE TO BE IN:

COOPER TECHNOLOGIES COMPANY
A DELAWARE CORPORATION

By Authority of the COMMISSIONER OF PATENTS AND TRADEMARKS

THE PART OF THE PA

M. MONTGOMERY
Certifying Officer

Prior U.S. Cls.: 13, 21, 23, 31 and 34

Reg. No. 2,294,981

United States Patent and Trademark Office

Registered Nov. 30, 1999

TRADEMARK PRINCIPAL REGISTER

MCGRAW-EDISON

MCGRAW-EDISON COMPANY (TEXAS CORPORATION)
600 TRAVIS, SUITE 5800
HOUSTON, TX 77210, BY ASSIGNMENT; BY ASSIGNMENT COOPER INDUSTRIES, INC. (OHIO CORPORATION) HOUSTON, TX 77002

FIRST USE 6-9-1958; IN COMMERCE 6-9-1958.

SER. NO. 75-362,764, FILED 9-25-1997.

FOR: ELECTRICAL LIGHTING FIXTURES, IN CLASS 11 (U.S. CLS. 13, 21, 23, 31 AND 34).

JANICE L. MCMORROW, EXAMINING ATTORNEY

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HOME | SITE MAP

Partner Login | Partner Register



COMPANY INFO

DUR CATALOG

SALES REPS

TECHNICAL SUPPORT

INDUSTRY LINKS

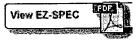
CONTACT







CATEGORY: dynaLUX®LED Bulbs LEDison Series





C Series

Enter Zip Code to locate Rep

Search

Product Overview



Our patented LEDison® Series of LED lamps is a breakthrough design. Now energy conservation is possi for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Ideal for use in decorative, indicator, task, medical and marine applications. LEDison Series LED lamps are available in a number of colors and color combinations. Special high-abuse versions, with a sealed circuit board design, are available for the most extreme applications Mule personnel are always available to work with customers on any special requirements.

BENEFITS AND FEATURES

- Long life, up to 100,000+ hours
- Significantly reduces maintenance costs
- Standard bulbs consume under 1 watt
- Electricity consumption reduced 80-90%
- Vibration resistant, solid state electronic circuitry
- Internal TVS surge protection
- Low heat generation saves HVAC operating costs
- Variety of sharp, vibrant colors and luminance outputs available
- Wide range of voltages (12 VDC 277 VAC)
- 120 VAC standard

SPECIFIC APPLICATIONS

- Lobby lighting
- Cabinet/under cabinet
- Hotel lighting
- Step lighting
- High ceilings
- Cove lighting
- Chandeliers
- Wall sconces
- Display cases
- Column lights
- Signage
- Carnival rides
- Nurse call lights
- Signal lighting
- Solar lighting
- Pathway lighting

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HOME I SITE MAP

Partner Login | Partner Register



COMPANY INFO

OUR CATALOG

SALES REPS

TECHNICAL SUPPORT

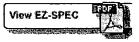
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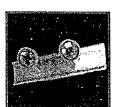
CONTACT





CATEGORY: dynaLUX®LED Bulbs LEDison Series





C Series

Enter Zip Code to locate Rep

Search

Product Overview



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- Wall sconces
- Display cases
- Column lights
- Signage
- Carnival rides
- Nurse call lights
- Signal lighting
- Solar lighting
- Pathway lighting

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- Medium base standard
- Investment payback within 1 year
- Replaces 10-60 watt incandescent bulbs

Back

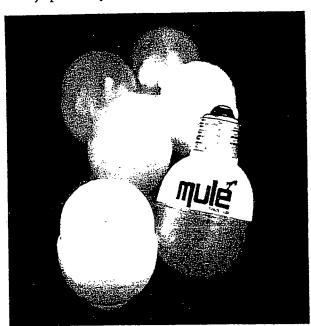
[home] [company info] [our catalog] [sales reps] [technical support] [industry links] [contact] [sitemap]

©2003 Mule Lighting, Inc.

LEDISON® Series Energy Efficient Long Life

Our patented LEDison® Series of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Ideal for use in decorative, indicator, task, medical and marine applications.

LEDison Series LED lamps are available in a number of colors and color combinations. Special high-abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.



BENEFITS AND FEATURES

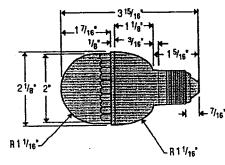
- Long life, up to 100,000+ hours
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- Low heat generation saves HVAC operating costs
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SPECIFIC APPLICATIONS

- Lobby lighting
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- · Nurse call lights
- Solar lighting

- Cabinet/under cabinet
- Step lighting
- · Cove lighting
- Wall sconces
- Column lights
- Carnival rides
- Signal lighting
- · Pathway lighting

ORDERING INFORMATION



BULB WEIGHT: 2 OZ. PACKAGING: 12 PER CASE

Voltage* Lens **Options** Model Color WP = Weatherproof 120 = 120 VAC FR = Frosted LED A == Amber SO = Special Order* CL = Clear277 = 277 VACR = Red12 = 12 YDCG = Green 24 = 24 VDCB = BiveCW = Cool White (8000K) WW = Warm White (4500K)

Ordering Example: LED-WW-120-FR-SO

*International voltages available.

**We welcome the opportunity to custom design an LED bulb to exactly meet customer requirements. We have the ability to increase or decrease the light output, change the lens material and color, and/or alter the overall shape.



Go to www.mulelighting.com

www.mulelighting.com



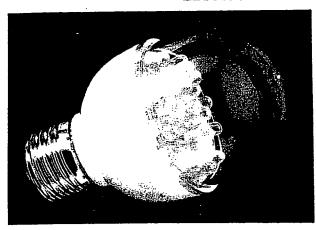


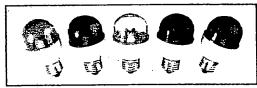
EDison[™] SERIES

Energy Efficient Long Life

LEDison™ SERIES of LED lamps is a breakthrough design. Now energy conservation is possible for most is of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional indescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Often used in cator, Task, Medical and Marine applications. Be the first in your industry.

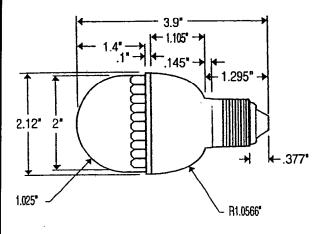
** LET MULE DESIGN A LAMP FOR YOUR NEEDS **





(PATENTS APPLIED FOR)

DIMENSIONAL DRAWING



BULB WEIGHT: 2OZ. PACKAGING: 12 PER CASE

BENEFITS & FEATURES

MAY AREA TO A CONTROL OF THE CONTROL

- Replaces incandescent bulbs
- Long life reliability
- 110-130 VAC (call for other voltages)
- 360° Visibility
- Rugged thermoplastic housing
- Even illumination
- Reduces maintenance fewer lamp changes
- Edison E-26 base (standard socket)

LEDison™ SERIES LED lamps are available in a number of colors and color combinations. Special high abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.

ORDERING INFORMATION

STANDARD APPLICATIONS

Model	Color	Voltage*	Visibility	Wattage
LIL0001-A		110-130	360°	1.6
LIL0002-R	RED	110-130	360°	1.1
LIL0003-G	GREEN	110-130	360°	1.9
LIL0004-B	BLUE	110-130	36 0°	1.2
LIL0005-W	WHITE	110-130	360°	1.1

HIGH ABUSE APPLICATIONS**

Model	Color	Voltage*	Visibility	Wattage
HALIL0001-A		110-130	360°	1.6
HALIL0002-R	RED	110-130	360°	1.1
HALIL0003-G	GREEN	110-130	360°	1.9
HALIL0004-B	BLUE	110-130	· 360°	1.2
HALIL0005-W	WHITE	110-130	360°	1.1
	,]		

- Voltage levels can effect lamp brightness. 220-277V Available.
- ** Internal components are sealed against vibration and shock.

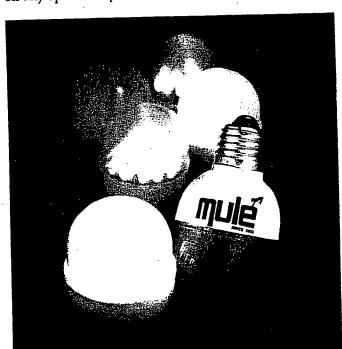
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EDison® Series Long Life

Our patented LEDison® Series of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Ideal for use in decorative, indicator, task, medical and marine applications.

LEDison Series LED lamps are available in a number of colors and color combinations. Special high-abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.



BENEFITS AND FEATURES

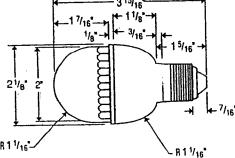
- Long life, up to 100,000+ hours
- Significantly reduces maintenance costs
- Standard bulbs consume under 1 watt
- Electricity consumption reduced 80-90%
- Vibration resistant, solid state electronic circuitry
- Internal TVS surge protection
- Low heat generation saves HVAC operating costs
- Variety of sharp, vibrant colors and luminance outputs available
- Wide range of voltages (12 VDC 277 VAC)
- 120 VAC standard
- Medium base standard
- Investment payback within 1 year
- Replaces 10-60 watt incandescent bulbs

SPECIFIC APPLICATIONS

- · Lobby lighting
- Hotel lighting
- High ceilings
- Chandeliers
- Display cases
- Signage
- · Nurse call lights
- Solar lighting

- Cabinet/under cabinet
- Step lighting
- · Cove lighting
- Wall sconces
- Column lights
- Carnival rides
- Signal lighting
- · Pathway lighting

ORDERING INFORMATION



BULB WEIGHT: 2 OZ. PACKAGING: 12 PER CASE

Model	Color	Voltage*	Lens	Options
LED	A = Amber R = Red G = Green B = Blue CW = Cool White (8000K) WW = Warm White (4500K)	120 = 120 VAC 277 = 277 VAC 12 = 12 VDC 24 = 24 VDC		WP = Weatherproof SO = Special Order**

Ordering Example: LED-WW-120-FR-SO

*International voltages available.

**We welcome the opportunity to custom design an LED bulb to exactly meet customer requirements. We have the ability to increase or decrease the light output, change the lens material and color, and/or alter the overall shape.



Go to www.mulelighting.com

MULE-00034



LEDisonTM SERIES

EZ-SPEC Page

Energy Efficient & Long Life

Order Here

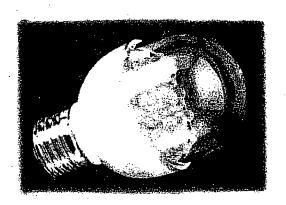
The **LEDison**[™] **SERIES** of LED lamps is a breakthrough design. Now energy conservation is possible for most forms of illumination. The 360° viewing angle design provides illumination patterns equivalent to conventional incandescent bulbs. Retrofitting for energy efficiency has been taken to a new and effortless level. Often used in Indicator, Task, Medical and Marine applications. Be the first in your industry.

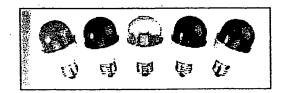
** LET MULE DESIGN A LAMP FOR YOUR NEEDS **

BENEFITS & FEATURES

- · Replaces incandescent bulbs
- · Long life reliability
- 110-130 VAC (call for other voltages)
- · 360° Visibility
- · Rugged thermoplastic housing
- · Even illumination
- · Reduce's maintenance fewer lamp changes
- · Edison E-26 base (standard socket)

LEDison™ SERIES LED lamps are available in a number of colors and color combinations. Special high abuse versions, with a sealed circuit board design, are available for the most extreme applications. Mule personnel are always available to work with customers on any special requirements.





ORDERING INFORMATION

STANDARD APPLICATIONS

Model	Color	Veltage*	Visibility	Wattage
LIL0001-A		110-130	360	1.6
LIL0002-R	RED	110-130	360	1.1
LIL0003-G	TREEH	110-130	360	19
LIL0004-B	BLUE	110-130	360	1.2
LIL0005-W	WHITE	110-130	360	1.1
				1
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DIMENSIONAL DRAWING 39° 1.4° 1.4° 1.4° 1.4° 1.295° RIDS'

BULB WEIGHT: 2OZ.
PACKAGING: 12 PER CASE

HIGH ARUSE APPLICATIONS**

Model	Color	Yoltage*	Visibility	Wattage
HALIL0001-A HALIL0002-R HALIL0003-G HALIL0004-B HALIL0005-W	RED 1990 PLUE WHITE	110-130 110-130 110-130 110-130 110-130	360 360 360 360 360	1.6 1.1 1.9 1.2 1.1

"1999" Web P.

MULE-00035

- * V_0 ltage levels can effect lamp brightness. 220–277V Available.
- ** Internal components are sealed against vibration and shock.



EZ-Spec™ interactive specification sheets are located at www.lightworld.com/ledison.htm

MULE-00036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE TRADEMARK TRIAL AND APPEAL BOARD

In the Matter of Trademark Registration No. 2,324,402

Mark: LEDISON		Y	
McGRAW-EDISON COMPANY,		:	
		:	
	Petitioner,	:	
		:	Cancellation No. 92,042,545
v.		:	
		:	
MULE LIGHTING, II	NC.,	:	
		:	
	Registrant.	:	
		X	

Commissioner for Trademarks P.O. Box 1451 Alexandria, VA 22313-1451

ATTN: TRADEMARK TRIAL AND APPEAL BOARD

EXPRESS MAIL CERTIFICATE

EXPRESS MAIL MAILING LABEL NO. EV 485974791 US DATE OF DEPOSIT: December 30, 2004

The undersigned hereby certifies that the following papers are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above in an envelope addressed to the Commissioner for Trademarks, P.O. box 1451, Alexandria, VA 22313-21451:

- (1) Petitioner's Motion to Substitute Cooper Industries, Inc. as the Petitioner (including 1 exhibit);
 - (2) Petitioner's Motion for Summary Judgment;
- (3) Petitioner's Memorandum of Law In Support of Motion for Summary Judgment;

- (4) Declaration of Glenn Siegel, Director of Marketing and Product Development at the Cooper Lighting division of Cooper Industries, Inc., including exhibits;
- (5) Declaration of Kathryn Barrett Park, Trademark Counsel of General Electric Company and including an exhibit;
- (6) Declaration of Carolyn M. Coley, Marketing Manager for Salton, Inc., including exhibits;
- (7) Declaration of Terrance Helz, Corporate Secretary, Cooper Industries, Inc., including exhibits;
 - (8) Declaration of Joshua S. Broitman, including exhibits;
- (9) Certificate of Express Mailing for all of the foregoing documents, dated December 30, 2004, Label No. EV 485974791 US; and
 - (10) Return Receipt Postcard

<u>December 30, 2004</u>

Date

Roberto L. Gomeż

CERTIFICATE OF SERVICE

I hereby certify that on this 30th day of December 2004, a true copy of the foregoing **CERTIFICATE OF EXPRESS MAILING** was mailed, first class, postage prepaid to:

Charles F. O'Brien, Esq CANTOR COLBURN, LLP 55 Griffin Road South Bloomfield, CT 06002 Attorney for Registrant Mule Lighting, Inc.

Robert L. Gomez