UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

## NOTICE OF ALLOWANCE AND FEE(S) DUE

826759004/30/2020ALSTON & BIRD LLPBANK OF AMERICA PLAZA101 SOUTH TRYON STREET, SUITE 4000CHARLOTTE, NC 28280-4000

## EXAMINER PACKARD, BENJAMIN J ART UNIT PAPER NUMBER 1612

DATE MAILED: 04/30/2020

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/773,641	01/27/2020	John Maloney	066859/543316	3992

TITLE OF INVENTION: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	07/30/2020

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD</u> <u>CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees. Page 1 of 3

PTOL-85 (Rev. 02/11)

Find authenticated court documents without watermarks at docketalarm.com.

#### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

By mail, send to:

DOCKET

Α

By fax, send to: (571)-273-2885

further correspondence including the Patent, advance orders and notification of mainte	LICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All enance fees will be mailed to the current correspondence address as indicated unless corrected ess; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.
826 7590 04/30/2020 ALSTON & BIRD LLP BANK OF AMERICA PLAZA 101 SOUTH TRYON STREET, SUITE 4000	Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below.
CHARLOTTE, NC 28280-4000	(Typed or printed name)
	(Signature)
	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/773,641	01/27/2020	John Maloney	066859/543316	3992

TITLE OF INVENTION: STABLE, HIGHLY PURE L-CYSTEINE COMPOSITIONS FOR INJECTION AND METHODS OF USE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	07/30/2020	
EXA	MINER	ART UNIT	CLASS-SUBCLASS				
PACKARD, BENJAMIN J 1612		424-621000	424-621000				
CFR 1.363). Change of corres Address form PTO/S Tree Address" in SB/47; Rev 03-09 or Number is required 3. ASSIGNEE NAME / PLEASE NOTE: Un recorded, or filed for (A) NAME OF ASS	dication (or "Fee Address more recent) attached. Us AND RESIDENCE DAT/ less an assignee is identifi recordation, as set forth i IGNEE	nge of Correspondence "Indication form PTO/ se of a Customer A TO BE PRINTED ON ' ed below, no assignee dat n 37 CFR 3.11 and 37 CF	or agents OR, alternativ (2) The name of a singl registered attorney or a 2 registered patent attor listed, no name will be THE PATENT (print or typ a will appear on the patent. 'R 3.81(a). Completion of	<ul> <li>a registered patent attorn rely,</li> <li>be firm (having as a membi- igent) and the names of up rneys or agents. If no nam- printed.</li> <li>be)</li> <li>If an assignee is identified this form is NOT a substit and STATE OR COUNT</li> </ul>	t e ra p to e is d below, the document mu ute for filing an assignment RY)	at.	
4a. Fees submitted:		lication Fee (if required)		of Copies		.y = Government	
4b. Method of Payment	: (Please first reapply any	previously paid fee show	en above)				
Electronic Payme	ent via EFS-Web	Enclosed check	Non-electronic payment by	credit card (Attach form H	PTO-2038)		
The Director is h	ereby authorized to charge	e the required fee(s), any	deficiency, or credit any ov	rerpayment to Deposit Acc	count No		
Applicant certify Applicant asserting	atus (from status indicate ing micro entity status. Se ng small entity status. See ng to regular undiscounte	e 37 CFR 1.29 37 CFR 1.27	fee payment in the micro <u>NOTE</u> : If the application to be a notification of loss	rtification of Micro Entity entity amount will not be was previously under mic: s of entitlement to micro en x will be taken to be a noti e.	accepted at the risk of app ro entity status, checking ntity status.	lication abandonment. this box will be taken	
NOTE: This form must	be signed in accordance v	vith 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for signa	ature requirements and cer	tifications.		
Authorized Signature	e			Date			
Typed or printed nar	ne			Registration No.			
PTOL-85 Part B (08-18	) Approved for use throug	gh 01/31/2020	Page 2 of 3 OMB 0651-0033	U.S. Patent and Trademark	c Office; U.S. DEPARTM	ENT OF COMMERCI	

Find authenticated court documents without watermarks at docketalarm.com.

			UNITED STATES DEPARTMENT OF COMM United States Patent and Trademark Off Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov	ess: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450		
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.		
16/773,641	01/27/2020	John Maloney	066859/543316	3992		
826 759	0 04/30/2020		EXAM	INER		
ALSTON & BIRI			PACKARD, B	ENJAMIN J		
BANK OF AMERI	and a second		ART UNIT	PAPER NUMBER		
CHARLOTTE, NC	ON STREET, SUITE 4000 28280-4000		1612			
			DATE MAILED: 04/30/2020	)		

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

PTOL-85 (Rev. 02/11)



Page 3 of 3

Find authenticated court documents without watermarks at docketalarm.com.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency. if the USPTO becomes aware of a violation or potential violation of law or regulation.

Find authenticated court documents without watermarks at docketalarm.com.

DOCKE.

	Application No. 16/773,641		Applicant(s) Maloney et al.	
Notice of Allowability	Examiner BENJAMIN J PACKARD	Art Unit 1612	AIA (FITF) Status Yes	
The MAILING DATE of this communication app All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85 NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to response filed 4/8/20 A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was	G (OR REMAINS) CLOSED in thi ) or other appropriate communic RIGHTS. This application is subject and MPEP 1308. (20.)	s application. If no ation will be maile	t included d in due course. <b>THIS</b>	
2. An election was made by the applicant in response to a re restriction requirement and election have been incorporate		iring the interview	on; the	
3. The allowed claim(s) is/are <u>1-27</u> . As a result of the allowed Highway program at a participating intellectual property o http://www.uspto.gov/patents/init_events/pph/index.js	ffice for the corresponding applic	ation. For more in	formation, please see	
4. Acknowledgment is made of a claim for foreign priority un	der 35 U.S.C. § 119(a)-(d) or (f).			
Certified copies:				
a) All b) Some *c) None of the:				
<ol> <li>Certified copies of the priority documents hat</li> <li>Certified copies of the priority documents hat</li> </ol>		No		
<ol><li>Copies of the certified copies of the priority of</li></ol>	documents have been received in	n this national stag	e application from the	
International Bureau (PCT Rule 17.2(a)).				
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATI noted below. Failure to timely comply will result in ABANDON THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		reply complying w	vith the requirements	
5. CORRECTED DRAWINGS (as "replacement sheets") mu	st be submitted.			
including changes required by the attached Examiner Paper No./Mail Date	's Amendment / Comment or in	the Office action o	f	
Identifying indicia such as the application number (see 37 CFR sheet. Replacement sheet(s) should be labeled as such in the h			nt (not the back) of each	
6. DEPOSIT OF and/or INFORMATION about the deposit of attached Examiner's comment regarding REQUIREMENT				
Attachment(s)				
1. Notice of References Cited (PTO-892)	5. □ Examiner's A 6. ☑ Examiner's S			
<ol> <li>Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 1pg (4/17/20).</li> </ol>			ons for Allowance	
3. Examiner's Comment Regarding Requirement for Deposit of Biological Material	7. 🗌 Other	93.		
4. Interview Summary (PTO-413), Paper No./Mail Date				
/BENJAMIN J PACKARD/				
Primary Examiner, Art Unit 1612				

**DOCKET A L A R M** Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

## DOCKET A L A R M



# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.