

Find authenticated court documents without watermarks at <u>docketalarm.com</u>.



Executive Editor Hillary E. Sussma	n	Assistant Editor Laura E. DeMare		
	Edito	rs		
Aravinda Chakravarti	Evan E. Ei	chler Ric	Richard A. Gibbs	
Eric Green	Richard M.	Myers Wil	liam J. Pavan	
Administrative Assistant Pro Peggy Calicchia	oduction Manager Linda Sussman	Production Editor Marie Cotter	Production Assistan Tara Kulesa	
Editorial Board				
Akey (Univ. of Washington)		K. Makova (Pennsylvania State Univ.)		
B. Andersson (Karolinska Institute)		E. Mardis (Washington Univ. in St. Louis School of Medicine)		
S.E. Antonarakis (Univ. of Geneva Medical School)		E.H. Margulies (National Human Genome Research Institute)		
D.E. Dernstein (Broad Institute)		4.1. Martn (Boston College)		
M Boehnke (Univ. of Michigan)		M.L. Meverson (Dana-Farber Cancer Institute and Harvard		
M. Boeinike (Univ. of Toronto)		Medical School)		
M. Bulyk (Brigham & Women's Hospital and Harvard		A. Milosavlievic (Baylor College of Medicine)		
Medical School)		R. Mitra (Washington Univ. in St. Louis School of Medicine)		
L. Carrel (Penn State College of Medicine)		J.V. Moran (Univ. of Michigan Medical School)		
I.P. Carter (Wellcome Trust Sanger Institute)	M.A. 1	M.A. Nobrega (Univ. of Chicago)		
G.A. Churchill (The Jackson Laboratory)		J.P. Noonan (Yale Univ. School of Medicine)		
3. Cohen (Washington Univ. in St. Louis School of Medicine)		J. Parkhill (The Wellcome Trust Sanger Institute)		
G.M. Cooper (HudsonAlpha Institute for Biotechnology)		W.R. Pearson (Univ. of Virginia)		
G.E. Crawford (Duke Univ.)		J.H. Postlethwait (Univ. of Oregon)		
A. DI Rienzo (Univ. of Chicago)		O. Rando (Univ. of Massachusetts Medical School)		
M. Dunham (Univ. of Washington)	A. Reg	jev (Broad Institute)		
P.J. Farnham (Univ. of California, Davis)	D.A. R	elman (Stanford Univ.)		
5. Gabriel (Broad Institute)	J. Rog	ers (Baylor College of Medicine	2)	
W.B. Gerstein (Yale Univ.)	S.L. Sa	Izberg (Johns Hopkins Univ. S	chool of Medicine)	
M. Hahn (Indiana Univ.)	P.C. S	cacheri (Case Western Reserve	Univ.)	
.M. Hall (Univ. of Virginia)	E. Seg	al (vveizmann Institute)		
J.D. Jarre (Broad Institute)	J. She	naure (Univ. or Washington)	(Mashington)	
Lonos (PC Cancer Agency)	J.A. St	amatoyannopoulos (Univ. ol	washington)	
Korbel (European Molecular Biology Laborator	M.K. 3	woff (Univ. of Doppsylvania)	er institute)	
D Lieb (Univ. of North Carolina in Chanol Hill)	y) 5. 1151 A I M	Walbout (Univ. of Massachus	etts Medical School)	
Lin (Genome Institute of Singapore)	A.J.M.	Jarren (Emory Univ. School of	Medicine)	
R. Lupski (Baylor College of Medicine)		Wheeler (Baylor College of Me	dicine)	
F.F.C. Mackay (North Carolina State Univ.)	K. Wo	Ife (University College, Dublin))	
P. Majumder (Indian Statistical Institute)	K 7h	(National Heart Lung and I	Blood Institute)	

Genome Research (ISSN 1088-9051) is published monthly by Cold Spring Harbor Laboratory Press, 500 Sunnyside Blvd., Woodbury, NY 11797-2924. Periodicals paid at Woodbury, NY and additional mailing offices. Canada Post International Publications Mail Product (Canadian distribution) Sales Agreement No. 1321846. POSTMASTER: Send address changes to Cold Spring Harbor Laboratory Press, 500 Sunnyside Boulevard, Woodbury, NY 11797-2924. Subscriptions: Kathleen Cirone, Subscription Manager. Individual subscribers have a choice of "online only" or "print + online" subscriptions for this journal. Online only: \$85; Print + Online: U.S., \$135; Canada and Mexico, \$220; R.O.W., \$250 (includes airlift). For 2014 institutional pricing, visit http://genome.org/site/ subscriptions/cost.dtl. Orders may be sent to Cold Spring Harbor Laboratory Press, Fulfillment Department, 500 Sunnyside Boulevard, Woodbury, New York 11797-2924. Telephone: Continental U.S. and Canada 1-800-843-4388; all other locations 516-422-4100. Fax 516-422-4097. Personal subscriptions must be prepaid by personal check, credit card, or money order. Claims for missing issues must be received within four months of issue date.

Advertising: Marcie Siconolfi, Advertising Manager, Cold Spring Harbor Laboratory Press, 1 Bungtown Rd., Cold Spring Harbor, New York 11724-2203. Phone: 516-422-4010; fax: 516-422-4092.

Information for Contributors: Author instructions are available at our website, http://www.genome.org.

Online Manuscript Submission: http://submit.genome.org.

Copyright Information: Authors of articles published in *Genome Research* retain copyright of the articles (except US Government employees) but grant Cold Spring Harbor Laboratory Press exclusive right to publish the articles for a period of six months following full-issue publication. This includes the rights to publish, reproduce, distribute, display, and store the article in all formats; to translate the article into other languages; to create adaptations, summaries, extracts, or derivations of the article; and to license others to do any or all of the above. Authors can reuse their articles in their work as long as *Genome Research* is credited as

Find authenticated court documents without watermarks at docketalarm.com.



Perspective	
Can I be sued for that? Liability risk and the disclosure of clinically significant genetic research findings	719
Amy L. McGuire, Bartha Maria Knoppers, Ma'n H. Zawati, and Ellen Wright Clayton	
Research	
PRDM9 binding organizes hotspot nucleosomes and limits Holliday junction migration Christopher L. Baker, Michael Walker, Shimpei Kajita, Petko M. Petkov, and Kenneth Paigen	724
Somatic mutations found in the healthy blood compartment of a 115-yr-old woman demonstrate oligoclonal hematopoiesis Henne Holstege, Wayne Pfeiffer, Daoud Sie, Marc Hulsman, Thomas J. Nicholas, Clarence C. Lee, Tristen Ross, Jue Lin, Mark A. Miller, Bauke Ylstra, Hanne Meijers-Heijboer, Martijn H. Brugman, Frank J.T. Staal, Gert Holstege, Marcel J.T. Reinders, Timothy T. Harkins, Samuel Levy, and Erik A. Sistermans	733 ^{0A}
Neo-antigens predicted by tumor genome meta-analysis correlate with increased patient survival Scott D. Brown, Rene L. Warren, Ewan A. Gibb, Spencer D. Martin, John J. Spinelli, Brad H. Nelson, and Robert A. Holt	743 ^{0a}
Enhancer-targeted genome editing selectively blocks innate resistance to oncokinase inhibition Dan E. Webster, Brook Barajas, Rose T. Bussat, Karen J. Yan, Poornima H. Neela, Ross J. Flockhart, Joanna Kovalski, Ashley Zehnder, and Paul A. Khavari	751
Recurrent epimutations activate gene body promoters in primary glioblastoma Raman P. Nagarajan, Bo Zhang, Robert J.A. Bell, Brett E. Johnson, Adam B. Olshen, Vasavi Sundaram, Daofeng Li, Ashley E. Graham, Aaron Diaz, Shaun D. Fouse, Ivan Smirnov, Jun Song, Pamela L. Paris, Ting Wang, and Joseph F. Costello	761
Identifying mRNA sequence elements for target recognition by human Argonaute proteins Jingjing Li, TaeHyung Kim, Razvan Nutiu, Debashish Ray, Timothy R. Hughes, and Zhaolei Zhang	775
Evolution of splicing regulatory networks in <i>Drosophila</i> C. Joel McManus, Joseph D. Coolon, Jodi Eipper-Mains, Patricia J. Wittkopp, and Brenton R. Graveley	786
Tempo and mode of regulatory evolution in <i>Drosophila</i> Joseph D. Coolon, C. Joel McManus, Kraig R. Stevenson, Brenton R. Graveley, and Patricia L. Wittkopp	797 ^{0A}

DOCKET ALARM Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

High-resolution mapping defines the cooperative architecture of Polycomb response elements Guillermo A. Orsi, Sivakanthan Kasinathan, Kelly T. Hughes, Sarah Saminadin-Peter, Steven Henikoff, and Kami Ahmad	809
Genome methylation in <i>D. melanogaster</i> is found at specific short motifs and is independent of DNMT2 activity Sachiko Takayama, Joseph Dhahbi, Adam Roberts, Guanxiong Mao, Seok-Jin Heo, Lior Pachter, David I.K. Martin, and Dario Boffelli	821
Widespread and frequent horizontal transfers of transposable elements in plants Moaine El Baidouri, Marie-Christine Carpentier, Richard Cooke, Dongying Gao, Eric Lasserre, Christel Llauro, Marie Mirouze, Nathalie Picault, Scott A. Jackson, and Olivier Panaud	831 ^{0A}
Predicting the virulence of MRSA from its genome sequence Maisem Laabei, Mario Recker, Justine K. Rudkin, Mona Aldeljawi, Zeynep Gulay, Tim J. Sloan, Paul Williams, Jennifer L. Endres, Kenneth W. Bayles, Paul D. Fey, Vijaya Kumar Yajjala, Todd Widhelm, Erica Hawkins, Katie Lewis, Sara Parfett, Lucy Scowen, Sharon J. Peacock, Matthew Holden, Daniel Wilson, Timothy D. Read, Jean van den Elsen, Nicholas K. Priest, Edward J. Feil, Laurence D. Hurst, Elisabet Josefsson, and Ruth C. Massey	839 ^{0A}
A genomic portrait of the genetic architecture and regulatory impact of microRNA expression in response to infection Katherine J. Siddle, Matthieu Deschamps, Ludovic Tailleux, Yohann Nédélec, Julien Pothlichet, Geanncarlo Lugo-Villarino, Valentina Libri, Brigitte Gicquel, Olivier Neyrolles, Guillaume Laval, Etienne Patin, Luis B. Barreiro, and Lluís Quintana-Murci	850
Methods	
General approach for in vivo recovery of cell type-specific effector gene sets Julius C. Barsi, Qiang Tu, and Eric H. Davidson	860
ISMARA: automated modeling of genomic signals as a democracy of regulatory motifs Piotr J. Balwierz, Mikhail Pachkov, Phil Arnold, Andreas J. Gruber, Mihaela Zavolan, and Erik van Nimwegen	869 ^{0A}

OAOpen Access paper



R

Μ

DOCKE.

Α

Cover Horizontal transfer of genetic material has been demonstrated on a small scale for several organisms. In this issue, this phenomenon is demonstrated to be widespread in the plant kingdom. On the cover is a Pointillist-like view of the horizontal transfer of transposable elements between palm (*top left*) and grape (*bottom right*). The two circles depict the respective DNA molecules and leaf colors indicate the chimeric nature of plants. Horizontal branches on the "tree of life" at the *bottom left* illustrate frequent transfer during the evolution of plants. (Cover illustration by Abdelkebir El Baidouri. [For details, see El Baidouri et al., pp. 831–838.])

Research

Neo-antigens predicted by tumor genome meta-analysis correlate with increased patient survival

Scott D. Brown,^{1,2} Rene L. Warren,¹ Ewan A. Gibb,^{1,3} Spencer D. Martin,^{1,3,4} John J. Spinelli,^{5,6} Brad H. Nelson,^{3,4,7} and Robert A. Holt^{1,3,8,9}

¹Canada's Michael Smith Genome Sciences Centre, BC Cancer Agency, Vancouver, British Columbia V5Z 1L3, Canada; ²Genome Science and Technology Program, University of British Columbia, Vancouver, British Columbia V6T 1Z4, Canada; ³Department of Medical Genetics, University of British Columbia, Vancouver, British Columbia V6T 1Z4, Canada; ⁴Deeley Research Centre, BC Cancer Agency, Victoria, British Columbia V8R 6V5, Canada; ⁵Cancer Control Research Program, BC Cancer Agency, Vancouver, British Columbia V5Z 1L3, Canada; ⁶School of Population and Public Health, University of British Columbia, Vancouver, British Columbia V6T 1Z4, Canada; ⁷Department of Biochemistry and Microbiology, University of Victoria, Victoria, British Columbia V8P 5C2, Canada; ⁸Department of Molecular Biology and Biochemistry, Simon Fraser University, Burnaby, British Columbia V5A 1S6, Canada

Somatic missense mutations can initiate tumorogenesis and, conversely, anti-tumor cytotoxic T cell (CTL) responses. Tumor genome analysis has revealed extreme heterogeneity among tumor missense mutation profiles, but their relevance to tumor immunology and patient outcomes has awaited comprehensive evaluation. Here, for 515 patients from six tumor sites, we used RNA-seq data from The Cancer Genome Atlas to identify mutations that are predicted to be immunogenic in that they yielded mutational epitopes presented by the MHC proteins encoded by each patient's autologous *HLA-A* alleles. Mutational epitopes were associated with increased patient survival. Moreover, the corresponding tumors had higher CTL content, inferred from *CD8A* gene expression, and elevated expression of the CTL exhaustion markers *PDCD1* and *CTLA4*. Mutational epitopes were very scarce in tumors without evidence of CTL infiltration. These findings suggest that the abundance of predicted immunogenic mutations may be useful for identifying patients likely to benefit from checkpoint blockade and related immunotherapies.

[Supplemental material is available for this article.]

The accumulation of somatic mutations underlies the initiation and progression of most cancers by conferring upon tumor cells unrestricted proliferative capacity (Hanahan and Weinberg 2011). The analysis of cancer genomes has revealed that tumor mutational landscapes (Vogelstein et al. 2013) are extremely variable among patients, among different tumors from the same patient, and even among the different regions of a single tumor (Gerlinger et al. 2012). There is a need for personalized strategies for cancer therapy that are compatible with mutational heterogeneity, and in this regard, immune interventions that aim to initiate or enhance anti-tumor immune responses hold much promise. Therapeutic antibodies and chimeric antigen receptor (CAR) technologies have shown anti-cancer efficacy (Fox et al. 2011), but such antibodybased approaches are limited to cell surface target antigens (Slamon et al. 2001; Coiffier et al. 2002; Yang et al. 2003; Cunningham et al. 2004; Kalos et al. 2011). In contrast, most tumor mutations are point mutations in genes encoding intracellular proteins. Short peptide fragments of these proteins, after intracellular processing and presentation at the cell surface as MHC ligands, can elicit T cell immunoreactivity. Further, the presence of tumor infiltrating lymphocytes (TIL), in particular, CD8+ T cells, has been associated with increased survival (Sato et al. 2005; Nelson 2008; Oble et al. 2009; Yamada et al. 2010; Gooden et al. 2011; Hwang et al. 2012), suggesting that the adaptive immune system can mount protective anti-tumor responses in many cancer patients (Kim et al. 2007; Fox

et al. 2011). The antigen specificities of tumor-infiltrating T cells remain almost completely undefined (Andersen et al. 2012), but there are numerous examples of cytotoxic T cells recognizing single amino acid coding changes originating from somatic tumor mutations (Lennerz et al. 2005; Matsushita et al. 2012; Heemskerk et al. 2013; Lu et al. 2013; Robbins et al. 2013; van Rooij et al. 2013; Wick et al. 2014). Thus, the notion that tumor mutations are reservoirs of exploitable neo-antigens remains compelling (Heemskerk et al. 2013). For a mutation to be recognized by CD8⁺ T cells, the mutant peptide must be presented by MHC I molecules on the surface of the tumor cell. The ability of a peptide to bind a given MHC I molecule with sufficient affinity for the peptide-MHC complex to be stabilized at the cell surface is the single most limiting step in antigen presentation and T cell activation (Yewdell and Bennink 1999). Recently, several algorithms have been developed that can predict which peptides will bind to given MHC molecules (Nielsen et al. 2003; Bui et al. 2005; Peters and Sette 2005; Vita et al. 2010; Lundegaard et al. 2011), thereby providing guidance into which mutations are immunogenic.

The Cancer Genome Atlas (TCGA) (http://cancergenome.nih. gov/) is an initiative of the National Institutes of Health that has created a comprehensive catalog of somatic tumor mutations identified using deep sequencing. As a member of The Cancer Genome Atlas Research Network, our center has generated extensive tumor RNA-seq data. Here, we have used public TCGA RNA-seq data to explore the T cell immunoreactivity of somatic missense

⁹Corresponding author E-mail rholt@bcgsc.ca

Article published online before print. Article, supplemental material, and publication date are at http://www.genome.org/cgi/doi/10.1101/gr.165985.113. Freely available online through the *Genome Research* Open Access option.

© 2014 Brown et al. This article, published in *Genome Research*, is available under a Creative Commons License (Attribution 4.0 International), as described at http://creativecommons.org/licenses/by/4.0.

Find authenticated court documents without watermarks at docketalarm.com.

DOCKET



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

