ANNALS of the New York Academy of Sciences

VOLUME 1284

Annals of the New York Academy of Scien v. 1284 (2013) General Collection W1 AN626YL 2013-07-16 11:00:47

The Renaissance of Cancer Immunotherapy

The 7th International Cancer Vaccine Symposium

ISSUE EDITORS

Olivera J. Finn Gerold Schuler



PROPERTY OF THE NATIONAL LIBRARY OF MF DICINE

Genome & Co. v. Univ. of Chicago PGR2019-00002

UNIV. CHICAGO EX. 2031



EDITOR-IN-CHIEF Douglas Braaten

ASSOCIATE EDITORS David Alvaro Azra Jaferi

PROJECT MANAGER Steven E. Bohall

DESIGN Ash Ayman Shairzay

The New York Academy of Sciences 7 World Trade Center 250 Greenwich Street, 40th Floor New York, NY 10007-2157 annals@nyas.org www.nyas.org/annals

THE NEW YORK ACADEMY OF SCIENCES BOARD OF GOVERNORS SEPTEMBER 2012 - SEPTEMBER 2013

CHAIR Nancy Zimpher

VICE-CHAIR Kenneth L. Davis

TREASURER Robert Catell

PRESIDENT Ellis Rubinstein [ex officio]

SECRETARY
Larry Smith [ex officio]

CHAIRMAN EMERITUS Torsten N. Wiesel

HONORARY LIFE GOVERNORS Karen E. Burke

Herbert J. Kayden John F. Niblack

GOVERNORS Len Blavatnik Mary Brabeck

Nancy Cantor Martin Chalfie Milton L. Cofield

Mikael Dolsten

Elaine Fuchs. Jay Furman

Alice P. Gast

Brian Greeno

Thomas L. Harnson Steven Hochberg

Thomas Campbell Jackson

Both Jacobs

John F. Kelly III. Mehmood Khan

Jeffrey D. Sachs

Kathe Sackler

Mortimer D.A. Sackler

John E. Sexton

George L. Thibault Paul Walker

Frank Wilczek

Frank Wilczek Michael Zigman

INTERNATIONAL GOVERNORS

Seth F. Berkley
Manuel Carnacho Sotts
Gereld Chan
S. "Kris" Gopalakrishnan.
Toni Hoover
Rajendra K. Pachaun
Russell Read
Paul Stoffels

Annals of the New York Academy of Sciences (ISSN: 0077-8923 [print]: ISSN: 1749-6032 [online]) is published 30 times a year on behalf of the New York Academy of Sciences by Wiley Subscription Services, Inc., a Wiley Company, 111 River Street, Hoboken, NJ 07030-5774.

Mailing: Annals of the New York Academy of Sciences is mailed standard rate.

Postmaster: Send all address changes to ANNALS OF THE NEW YORK ACADEMY OF SCIENCES, Journal Customer Services, John Wiley & Sons Inc., 350 Main Street, Malden, MA 02148-5020.

Disclaimer: The publisher, the New York Academy of Sciences, and the editors cannot be held responsible for errors or any consequences arising from the use of information contained in this publication; the views and opinions expressed do not necessarily reflect those of the publisher, the New York Academy of Sciences, and editors, neither does the publication of advertisements constitute any endorsement by the publisher, the New York Academy of Sciences and editors of the products advertised.

Copyright and Photocopying: © 2013 The New York Academy of Sciences, All rights reserved. No part of this publication may be reproduced, stored, or transmitted in any form or by any means without the pnor permission in writing from the copyright holder. Authorization to photocopy items for internal and personal use is granted by the copyright holder for libraries and other users registered with their local Reproduction Rights Organization (RRO), e.g. Copyright Clearance Center (CCC), 222 Rosewood Drive, Danvers, MA 01923, USA (www.copyright.com), provided the appropriate fee is paid directly to the RRO. This consent does not extend to other kinds of copying such as copying for general distribution, for advertising or promotional purposes, for creating new collective works, or for resale. Special requests should be addressed to: permissionsUK@wiley.com.

Publisher: Annals of the New York Academy of Sciences is published by Wiley Periodicals, Inc., Commerce Place, 350 Main Street, Malden, MA 02148; Telephone: 781 388 8200; Fax; 781 388 8210.

Journal Customer Services: For ordering information, claims, and any inquiry concerning your subscription, please go to www.wileycustomerhelp.com/ask or contact your nearest office. Americas: Email: cs-journals@wiley.com; Tel;+1 781 388 8598 or 1 800 835 6770 (Toll free in the USA & Canada). Europe, Middle East, Asia: Email: cs-journals@wiley.com; Tel: +65 6511 8000. Japan: For Japanese speaking support, Email: cs-japan@wiley.com; Tel: +65 6511 8010 or Tel (toll-free): 005 316 50 480. Visit our Online Customer Get-Help available in 6 languages at www.wileycustomerhelp.com.

Information for Subscribers: Annals of the New York Academy of Sciences is published in 30 volumes per year. Subscription prices for 2013 are: Print & Online: US\$6,053 (US), US\$6,589 (Rest of World), €4,269 (Europe), €3,364 (UK). Prices are exclusive of tax. Australian GST, Canadian GST, and European VAT will be applied at the appropriate ates. For more information on current tax rates, please go to www.wileyonlinelibrary.com/tax-vat. The price includes online access to the current and all online back files to January 1, 2009, where available. For other pricing options, including access information and terms and conditions, please visit www.wileyonlinelibrary.com/access.

Delivery Terms and Legal Title: Where the subscription price includes print volumes and delivery is to the recipient's address, delivery terms are Delivered at Place (DAP); the recipient is responsible for paying any import duty or taxes. Title to all volumes transfers FOB our shipping point, freight prepaid. We will endeavour to fulfill claims for missing or damaged copies within six months of publication, within our reasonable discretion and subject to availability.

Back issues: Recent single volumes are available to institutions at the current single volume price from cs-journals@wiley.com. Earlier volumes may be obtained from Periodicals Service Company, 11 Main Street, Germantown, NY 12526, USA. Tel: +1 518 537 4700, Fax: +1 518 537 5899, Email: psc@periodicals.com. For submission instructions, subscription, and all other information visit: www.wileyonlinelibrary.com/journal/nyas.

Production Editors: Kelly McSweeney and Allie Struzik (email: nyas@wiley.com).

Commercial Reprints: Dan Nicholas (email: dnicholas@wiley.com).

Membership information: Members may order copies of *Annals* volumes directly from the Academy by visiting www. nyan.crp/annals, emailing customerservice@nyas.org, faxing +1 212 298 3650, or calling 1 800 843 6927 (toll free in the USA), or +1 212 298 8640. For more information on becoming a member of the New York Academy of Sciences, please visit www.nyan.org/membership. Claims and inquiries on member orders should be directed to the Academy at annal membership@nyas.org or Tel: 1 800 843 6927 (toll free in the USA) or +1 212 298 8640.

Printed in the USA by The Sheridan Group.

View Acnals online at www.wileyonlinelibrary.com/journal/nyas.

Abstracting and Indexing Services: Annals of the New York Academy of Sciences is indexed by MEDLINE, Science Citation Index, and SCOPUS, For a complete list of A&I services, please visit the journal homepage at www.wileyonlinelibrary.com/journal/nyas.

Access to Annals is available free online within institutions in the developing world through the ACORA initiative with the FAO, the HINARI initiative with the WHO, and the OARI: initiative with UNEP For information, visit www.aiginternetwork.org, www.healthintemetwork.org, www.oarescience.org

Annals of the New York Academy of Sciences accepts articles for Open Access publication. Please visit http://olabout.wiley.com/WileyCDA/Section/id-406241.html for further information about OnlineOpen.

Wiley's Corporate Citizenship initiative seeks to address the environmental, social, economic, and ethical challenges faced in our business and which are important to our diverse stakeholder groups. Sloce launching the initiative, we have focused on sharing our content with those in need, enhancing community philanthropy, reducing our carbon impact, creating global guidelines and best practices for paper use, establishing a vendor code of ethics, and engaging our colleagues and other stakeholders in our efforts. Follow our progress at www.wileycom/ge/catzenship.



ISSUE

The Renaissance of Cancer Immunotherapy

The 7th International Cancer Vaccine Symposium

ISSUE EDITORS

Olivera J. Finn" and Gerold Schuler^b

"University of Pittsburgh and "University Hospital of Erlangen

TABLE OF CONTENTS

- v Introduction to The Renaissance of Cancer Immunotherapy
 Olivera J. Finn and Gerold Schuler
- 1 Cancer immunoediting: antigens, mechanisms, and implications to cancer immunotherapy

 Matthew D. Vesely and Robert D. Schreiber
- 6 Cell-extrinsic effects of the tumor unfolded protein response on myeloid cells and T cells

 Maurizio Zanetti
- 12 Immunotherapy in preneoplastic disease: targeting early procarcinogenic inflammatory changes that lead to immune suppression and tumor tolerance Bridget Keenan and Elizabeth M. Jaffee
- 17 Integration of epidemiology, immunobiology, and translational research for brain tumors
 Hideho Okada, Michael E. Scheurer, Saumendra N. Sarkar, and Melissa L. Bondy
- 24 Human dendritic cells subsets as targets and vectors for therapy Eynav Klechevsky and Jacques Banchereau
- 31 Dendritic cell immunotherapy Rachel Lubong Sabado and Nina Bhardwaj
- Molecular programming of steady-state dendritic cells: impact on autoimmunity and tumor immune surveillance

 Dylan J. Johnson and Pamela S. Ohashi
- Preventing cancer by targeting abnormally expressed self-antigens: MUC1 vaccines for prevention of epithelial adenocarcinomas

 Pamela L. Beatty and Olivera J. Finn
- 57 Immunological control of cell cycle aberrations for the avoidance of oncogenesis: the case of tetraploidy

 Laura Senovilla, Lorenzo Galluzzi, Maria Castedo, and Guido Kroemer



62	Ongoing adaptive immune responses in the microenvironment of
	melanoma metastases
	Nicolas van Baren and Pierre G. Coulie

- Main features of human T helper 17 cells Francesco Annunziato, Lorenzo Cosmi, Francesco Liotta, Enrico Maggi, and Sergio Romagnani
- 71 In silico modeling of cancer cell dissemination and metastasis Lu-En Wai, Vipin Narang, Alexandre Gouaillard, Lai Guan Ng, and Jean-Pierre Abastado
- 75 Common pathways to tumor rejection Ena Wang, Davide Bedognetti, Sara Tomei, and Francesco M. Marincola
- 80 Cancer-induced immunosuppressive cascades and their reversal by molecular-targeted therapy
 Yutaka Kawakami, Tomonori Yaguchi, Hidetoshi Sumimoto, Chie Kudo-Saito, Nobuo Tsukamoto,
 Tomoko Iwata-Kajihara, Shoko Nakamura, Hiroshi Nishio, Ryosuke Satomi, Asuka Kobayashi,
 Mayuri Tanaka, Jeong Hoon Park, Hajime Kamijuku, Takahiro Tsujikawa, and Naoshi Kawamura



ANNALS OF THE NEW YORK ACADEMY OF SCIENCES

Issue: The Renaissance of Cancer Immunotherapy

Cancer immunoediting: antigens, mechanisms, and implications to cancer immunotherapy

Matthew D. Vesely and Robert D. Schreiber

Department of Pathology and Immunology, Washington University School of Medicine, St. Louis, Missouri

Address for correspondence: Robert D. Schreiber, Department of Pathology and Immunology, Washington University School of Medicine, 660 South Euclid Avenue, St. Louis, MO 63110. schreiber@immunology.wustl.edu

Accumulated data from animal models and human cancer patients strongly support the concept that the immune system can identify and control nascent tumor cells in a process called cancer immunosurveillance. In addition, the immune system can also promote tumor progression through chronic inflammation, immunoselection of poorly immunogenic variants, and suppressing antitumor immunity. Together, the dual host-protective and tumor-promoting actions of immunity are referred to as cancer immunoediting. The current framework of cancer immunoediting is a dynamic process comprised of three distinct phases: elimination, equilibrium, and escape. Recently, we demonstrated that immunoselection by CD8⁺ T cells of tumor variants lacking strong tumor-specific antigens represents one mechanism by which cancer cells escape tumor immunity and points toward the future of personalized cancer therapy.

Keywords: cancer immunoediting; immunosurveillance; tumor antigens; immunotherapy; tumor escape; cancer genome

Introduction

Cancer immunoediting

A plethora of evidence now provides strong support for cancer immunoediting, a process wherein immunity functions not only as an extrinsic tumor suppressor but also to shape tumor immunogenicity.1 In its most complex form, cancer immunoediting occurs in three sequential phases: elimination, equilibrium, and escape. Elimination is a modern view of the older notion of cancer immunosurveillance, in which innate and adaptive immunity work together to detect and destroy transformed cells long before they become clinically apparent. However, sometimes tumor cell variants may not be completely eliminated but instead enter into an equilibrium phase in which the immune system controls net tumor cell outgrowth; in this phase, adaptive immunity constrains the growth of clinically undetectable occult tumor cells and edits tumor cell immunogenicity.2 Finally, the functional dormancy of the tumor cell population may be broken, leading to progression of the cells into the escape phase, during which edited tumors of reduced immunogenicity begin to grow progressively in an immunologically unrestrained manner, establish an immunosuppressive tumor microenvironment, and eventually become clinically apparent.³ Importantly, escape from immune control is now acknowledged to be one of the hallmarks of cancer.⁴

The antigens of unedited tumors

A central tenet of tumor immunology in general, and the cancer immunoediting process in particular, is that tumor cells express antigens that distinguish them from their nontransformed counterparts, thus permitting their recognition by T cells and their eventual destruction by immunological mechanisms. Although a deep understanding of human and mouse tumor antigens currently exists, it comes nearly entirely from analyses of tumor cells derived from immunocompetent hosts, which were likely subjected to the sculpting forces of cancer immunoediting during their development. Little is known about the antigens expressed in nascent tumor cells, for example, whether they are sufficient



DOCKET

Explore Litigation Insights



Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

