

Filed on behalf of Patent Owner  
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UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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ALIGN TECHNOLOGY, INC.  
Petitioner

v.

3SHAPE A/S  
Patent Owner

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Case No. PGR2018-00104  
Patent 9,962,244

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**PATENT OWNER MANDATORY NOTICE INFORMATION  
PURSUANT TO 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, Patent Owner 3Shape A/S hereby files the following mandatory notices.

**I. REAL PARTY-IN-INTEREST (37 C.F.R. § 42.8(b)(1))**

Patent Owner certifies that 3SHAPE A/S is the real party-in-interest.

**II. RELATED MATTERS (37 C.F.R. § 42.8(b)(2))**

The following is a list of judicial and administrative matters that would affect, or be affected by, a decision in this proceeding.

1. *3Shape A/S v. Align Technology, Inc.*, Civil Action No. 1:18-cv-00697 (D. Del.), filed on May 8, 2018 (pending);
  2. *Align Technology, Inc. v. 3Shape A/S*, PGR2018-00103, filed on October 26, 2018;
  3. *Align Technology, Inc. v. 3Shape A/S*, IPR2019-00117, filed on November 5, 2018;
  4. *Align Technology, Inc. v. 3Shape A/S*, IPR2019-00118, filed on November 5, 2018;
  5. U.S. Patent Application No. 15/888,416, filed on February 5, 2018;
- and
6. U.S. Provisional Application No. 61/764,178, filed on February 13, 2013.

### III. LEAD AND BACKUP COUNSEL (37 C.F.R. § 42.8(b)(3))

Patent Owner hereby identifies its lead and backup counsel as follows:

**Lead Counsel for Patent Owner:**

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### IV. SERVICE INFORMATION (37 C.F.R. § 42.8(b)(4))

Patent Owner consents to e-mail service at the addresses listed above.

Date: November 16, 2018

Respectfully submitted,

/Todd R. Walters/

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*Counsel for Patent Owner*

CERTIFICATE OF FILING AND SERVICE

I hereby certify that a true and correct copy of the foregoing **PATENT OWNER MANDATORY NOTICE INFORMATION PURSUANT TO 37 C.F.R. § 42.8** is being filed electronically via PTAB E2E and served on counsel for Petitioner by electronic mail this 16th day of November, 2018 as follows:

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