

UNITED STATES PATENT AND TRADEMARK OFFICE

---

BEFORE THE PATENT TRIAL AND APPEAL BOARD

---

AVX CORPORATION

Petitioner

v.

SAMSUNG ELECTRO-MECHANICS CO., LTD.

Patent Owner

Patent No. 9,326,381

Issue Date: April 26, 2016

Title: MULTILAYER CERAMIC CAPACITOR AND BOARD HAVING THE  
SAME MOUNTED THEREON

---

Post-Grant Review No. PGR2017-00010

---

**PETITIONER'S EXHIBIT LIST AS OF FEBRUARY 5, 2018  
PURSUANT TO 37 C.F.R. § 42.63(E)**

Pursuant to 37 C.F.R. § 42.63(E), Petitioner AVX CORPORATION presents a complete list of Petitioner’s Exhibits filed with Exhibit 1029 on February 5, 2018:

<b>Ex. #</b>	<b>Exhibit</b>
1001	U.S. Patent No. 9,326,381 (“’381 Patent”)
1002	Image File Wrapper for the ’381 Patent
1003	Declaration of John Galvagni
1004	U.S. Patent No. 7,808,770 (“Itamura”)
1005	U.S. Patent Publication No. 2011/0141655 (“Jeong”)
1006	U.S. Patent No. 5,134,540 (“Rutt”)
1007	U.S. Patent Publication No. 2012/0152604 (“Ahn”)
1008	AVX Surface Mount Ceramic Capacitor Products Catalog (“AVX Catalog”)
1009	EIA Standard “Visual and Mechanical Inspection Multilayer Ceramic Chip Capacitors” EIA-595-A (“EIA Standard”)
1011	Curriculum Vitae of John Galvagni
1012	Declaration of Ron Demcko
1013	Declaration of Steve Shipman
1014	Declaration of Sam Kinon
1015	Declaration of Randall Lewis
1016	U.S. Patent Publication No. 2013/0027843 (“Seo”)
1017	“Size Effect of Barium Titanate and MLCCs in the Next Generation” to Tsurumi et al.
1018	Invoice for Sale of Group 39 Capacitors to TTI, Inc.
1019	Ron Demcko Expense Report for AEC RW Conference

1020	Automotive Electronics Counsel Reliability Workshop Agenda
1021	Ron Demcko Travel Authorization Form for AEC RW Conference
1022	International Microwave Symposium Program
1023	Ron Demcko Expense Report for International Microwave Symposium
1024	Ron Demcko Travel Authorization Form for International Microwave Symposium
1025	Copy of Purchase Receipt for Group 39 Capacitors from eBay
1026	AVX Webpage <a href="http://www.avx.com/prodinfo_catlist.asp?ParentID=192">http://www.avx.com/prodinfo_catlist.asp?ParentID=192</a> from February 17, 2007
1027	AVX Webpage <a href="http://avx.com/prodinfo_productdetail.asp?I=34&amp;ParentID=192">http://avx.com/prodinfo_productdetail.asp?I=34&amp;ParentID=192</a> from November 20, 2010
1028	Transcript of Telephone Conference held May 25, 2017 among Board, counsel for Petitioner, and counsel for Patent Owner
1029	Settlement Agreement between Samsung Electro-Mechanics Co., Ltd and AVX Corporation (filed under seal)

Respectfully submitted,

Dated: February 5, 2018

By: /Michael R. Houston/

Michael Houston  
 Reg. No. 58,486  
 Foley & Lardner LLP  
 E-Mail: [MHouston@foley.com](mailto:MHouston@foley.com)

Nicholas M. Lagerwall  
 Reg. No. 63,272  
 Foley & Lardner LLP  
 E-Mail: [nlagerwall@foley.com](mailto:nlagerwall@foley.com)

**CERTIFICATE OF SERVICE**

The undersigned hereby certifies that copies of the foregoing Petitioner's Exhibit List of February 5, 2018 and exhibit 1029 were served on February 5, 2018 by electronic mail to the following counsel of record for patent owner:

Hosang Lee [HLee@mwe.com](mailto:HLee@mwe.com)  
Bernard J. Knight [BKnight@mwe.com](mailto:BKnight@mwe.com)  
Alexander P. Ott [AOtt@mwe.com](mailto:Aott@mwe.com)

Respectfully submitted,

Dated: February 5, 2018

By: */Michael R. Houston/*

Michael Houston  
Reg. No. 58,486  
Foley & Lardner LLP  
E-Mail: [MHouston@foley.com](mailto:MHouston@foley.com)

Nicholas M. Lagerwall  
Reg. No. 63,272  
Foley & Lardner LLP  
E-Mail: [nlagerwall@foley.com](mailto:nlagerwall@foley.com)