

Filed: February 10, 2020

Filed on behalf of: Arkema Inc. and Arkema France

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ARKEMA INC. and ARKEMA FRANCE,
Petitioner,

v.

HONEYWELL INTERNATIONAL INC.,
Patent Owner.

Case PGR2016-00011
Patent No. 9,157,017 B2

PETITIONER'S UPDATED EXHIBIT LIST
(as of February 10, 2020)

Further to 37 C.F.R. §§ 42.6(e)(4)(ii) and 42.63(e), Petitioner, Arkema Inc. and Arkema France, hereby submits an updated list of Arkema Inc. and Arkema France exhibits.

Exhibit	Description
Exhibit 1001	U.S. Patent No. 9,157,017 to Singh et al.
Exhibit 1002	Declaration of J. Steven Brown, Ph.D.
Exhibit 1003	Reserved
Exhibit 1004	Declaration of William J. Brock, Ph.D.
Exhibit 1005	<i>Arkema Inc. and Arkema France v. Honeywell Int'l, Inc.</i> , IPR2016-00643, Petition for IPR (February 22, 2016)
Exhibit 1006	Reserved
Exhibit 1007	<i>Arkema Inc. and Arkema France v. Honeywell Int'l, Inc.</i> , PGR2016-00012, Petition for PGR (February 22, 2016) (“PGR2”)
Exhibit 1008	U.S. Patent No. 4,755,316 to Magid et al.
Exhibit 1009	U.S. Patent No. 8,065,882 to Singh et al.
Exhibit 1010	B. Minor & M. Spatz, <i>HFO-1234yf Low GWP Refrigerant Update</i> , INT’L REFRIGERATION & AIR CONDITIONING CONF., Paper 937 (2008)
Exhibit 1011	Reserved
Exhibit 1012	Japanese Patent App. Publication No. JP H4-110388 to Inagaki et al., dated April 10, 1992 (English Translation)
Exhibit 1013	Reserved
Exhibit 1014	S. Uemura et al., <i>Characteristics of HFC Refrigerants</i> , INT’L REFRIGERATION & AIR CONDITIONING CONF. 385 (1992)
Exhibit 1015	Robert E. Tapscott, <i>Tropodegradable Fluorocarbon Replacements for Ozone-Depleting and Global-Warming Chemicals</i> , 101 J. FLUORINE CHEMISTRY 209 (2000)
Exhibit 1016	U.S. Patent No. 6,176,102 to Novak et al.

Exhibit	Description
Exhibit 1017	U.S. Patent No. 6,300,378 to Tapscott
Exhibit 1018	U.S. Patent No. 6,516,837 to Thomas et al.
Exhibit 1019	U.S. Patent No. 6,783,691 to Bivens et al.
Exhibit 1020	U.S. Patent No. 7,230,146 to Merkel et al.
Exhibit 1021	U.S. Patent No. 7,279,451 to Singh et al.
Exhibit 1022	Reserved
Exhibit 1023	U.S. Patent No. 7,534,366 to Singh et al.
Exhibit 1024	U.S. Patent No. 8,033,120 to Singh et al.
Exhibit 1025	U.S. Patent No. 8,974,688 to Yana Motta et al.
Exhibit 1026	EP 1 716 216 B1 to Singh et al.
Exhibit 1027	Expunged
Exhibit 1027A	Russian Patent No. 2,073,058 to Podchernjaev et al., dated February 10, 1997 (including the original Russian document (pages 1-6), an English translation thereof (pages 7-12), and a translator's affidavit under 37 C.F.R. § 42.63(b) (pages 13-14)).
Exhibit 1028	WO 2000/56834 to Bivens et al.
Exhibit 1029	WO 2005/105947 to Singh et al.
Exhibit 1030	WO 2004/037913 to Singh et al.
Exhibit 1031	Transcript of Oral Proceedings in Appeal No. T0860/12-3.3.10 of EPO Opposition of EP 1 716 216 B1 to Singh et al., dated September 22, 2015
Exhibit 1032	U.S. Patent No. 7,396,965 to Mukhopadhyay et al.
Exhibit 1033	U.S. Patent No. 7,560,602 to Van Der Puy et al.
Exhibit 1034	Expunged

Exhibit	Description
Exhibit 1034A	Japanese Patent Application Publication No. H5-85970 to Omure et al., dated April 6, 1993 (including the original Japanese document (pages 1-3), an English translation thereof (pages 4-12), and a translator's affidavit under 37 C.F.R. § 42.63(b) (pages 13-14)).
Exhibit 1035	U.S. Provisional Patent Application No. 60/421,263, filed October 25, 2002
Exhibit 1036	U.S. Provisional Patent Application No. 60/421,435, filed October 25, 2002
Exhibit 1037	U.S. Patent Application No. 10/694,272, filed October 27, 2003
Exhibit 1038	U.S. Patent Application No. 10/694,273, filed October 27, 2003
Exhibit 1039	Amendment dated October 24, 2007 in U.S. Patent Application No. 10/694,273
Exhibit 1040	U.S. Patent Application No. 10/695,212, filed October 27, 2003
Exhibit 1041	U.S. Patent Application No. 10/837,525, filed April 29, 2004
Exhibit 1042	Application Data Sheet for U.S. Patent Application No. 10/837,525, filed April 29, 2004
Exhibit 1043	U.S. Patent Application No. 11/847,192, filed August 29, 2007
Exhibit 1044	U.S. Patent Application No. 13/844,206, filed March 15, 2013
Exhibit 1045	U.S. Patent Application No. 14/225,588, filed March 26, 2014
Exhibit 1046	Application Data Sheet for U.S. Patent Application No. 14/225,588, filed March 26, 2014
Exhibit 1047	Preliminary Amendment in U.S. Patent Application No. 14/225,588, filed March 26, 2014
Exhibit 1048	Reserved
Exhibit 1049	Amendment dated August 29, 2014 in U.S. Patent Application No. 14/225,588, filed March 26, 2014
Exhibit 1050	Amendment dated December 19, 2014 in U.S. Patent Application No. 14/225,588, filed March 26, 2014

Exhibit	Description
Exhibit 1051	Reserved
Exhibit 1052	Reserved
Exhibit 1053	Reserved
Exhibit 1054	Reserved
Exhibit 1055	Action Closing Prosecution in Reexam Control No. 95/000,576 of U.S. Patent No. 7,279,451, dated May 25, 2012
Exhibit 1056	Reserved
Exhibit 1057	Declaration of Raymond Thomas originally filed in Reexam Control No. 95/001,783, dated October 15, 2012; submitted in the Prosecution History of U.S. Patent No. 9,157,017 on August 29, 2014
Exhibit 1058	Reserved
Exhibit 1059	Declaration of Donald B. Bivens originally filed in Reexam Control No. 95/002,030, dated December 24, 2012; submitted in the Prosecution History of U.S. Patent No. 9,157,017 on August 29, 2014
Exhibit 1060	Reserved
Exhibit 1061	Reserved
Exhibit 1062	Declaration of Rajiv Ratna Singh originally filed in Reexam Control No. 95/002,030, dated December 24, 2012; submitted in the Prosecution History of U.S. Patent No. 9,157,017 on August 29, 2014
Exhibit 1063	First Action Closing Prosecution in Reexam Control No. 95/002,030 of U.S. Patent No. 8,065,882, dated December 13, 2013
Exhibit 1064	Reserved
Exhibit 1065	Reserved
Exhibit 1066	Reserved

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