Paper No. \_\_\_\_\_

Filed on behalf of: Helsinn Healthcare S.A. and Roche Palo Alto LLC

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UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

DR. REDDY'S LABORATORIES, LTD. and DR. REDDY'S LABORATORIES, INC., Petitioner

v.

HELSINN HEALTHCARE S.A. and ROCHE PALO ALTO LLC, Patent Owner

> Case PGR2016-00008 Patent 9,173,942

PATENT OWNER'S MANDATORY NOTICES PURSUANT TO 37 C.F.R. § 42.8 Helsinn Healthcare S.A. ("Helsinn") and Roche Palo Alto LLC ("Roche") (collectively, "Patent Owner"), submit the following mandatory notices in connection with the above-identified proceeding.<sup>1</sup>

## I. Real Party-in-Interest

Pursuant to 37 C.F.R. § 42.8(b)(1), the real parties-in-interest are Helsinn and Roche, the assignees of record for U.S. Patent No. 9,173,942 ("the '942 patent").

### **II.** Related Matters

Patent Owner identifies the following matters that may be deemed related pursuant to 37 C.F.R. § 42.8(b)(2).

## A. USPTO Proceedings Involving the Patent-at-Issue

The '942 patent, which is the subject of this proceeding, is at issue in case PGR2016-00007.

<sup>&</sup>lt;sup>1</sup> A Power of Attorney appointing lead and back-up counsel is being filed concurrently with these Notices.

#### **B.** Litigations Involving the Patent-at-Issue and/or Related Patents

The '942 patent, or other patents claiming their earliest priority date to the same provisional application as the '942 patent (i.e., provisional application no. 60/444,351), are at issue in one or more of the following cases:

- Helsinn Healthcare S.A. et al. v. Dr. Reddy's Labs., Ltd. et al., Civil Action Nos. 11-3962, 11-5579, 13-5815 (consolidated) (D.N.J.)
- Helsinn Healthcare S.A. et al. v. Dr. Reddy's Labs., Ltd. et al., Civil Action No. 12-2867 (D.N.J.)
- Helsinn Healthcare S.A. et al. v. Dr. Reddy's Labs., Ltd. et al., Civil Action Nos. 14-4274 (D.N.J.)
- Helsinn Healthcare S.A. et al. v. Dr. Reddy's Labs., Ltd., Civil Action No. 15-8662 (D.N.J.)
- *Helsinn Healthcare S.A. et al. v. Teva Pharm. USA, Inc. et al.*, Civil Action No. 14-6341 (D.N.J.)
- Helsinn Healthcare S.A. et al. v. Teva Pharm. USA, Inc. et al., Civil Action No. 15-8663 (D.N.J.)
- Helsinn Healthcare S.A. et al. v. Fresenius Kabi USA, LLC et al., Civil Action No. 15-7015 (D.N.J.)
- Helsinn Healthcare S.A. et al. v. Fresenius Kabi USA, LLC et al., Civil Action No. 15-7378 (D.N.J.)

- Helsinn Healthcare S.A. et al. v. Hospira, Inc. et al., Civil Action No. 15-2077 (D.N.J)
- Helsinn Healthcare S.A. et al. v. Hospira, Inc. et al., Civil Action No. 15-264 (D. Del)
- *Helsinn Healthcare S.A., et al. v. Exela Pharma Scis., LLC, et al.*, Civil Action No. 14-1444 (D. Del)
- Helsinn Healthcare S.A. et al. v. Qilu Pharm. Co., Ltd. et al., Civil Action No. 15-8132 (D.N.J.)
- *Helsinn Healthcare S.A. et al. v. Sagent Pharms., Inc. et al.,* Civil Action No. 16-173 (D.N.J.)
- Helsinn Healthcare S.A. et al. v. Sagent Pharms., Inc., Civil Action No. 16-681 (D.N.J.)

## C. Federal Circuit Proceedings Involving the Patent-at-Issue

Other patents claiming their earliest priority date to the same provisional application as the '942 patent (i.e., provisional application no. 60/444,351), are at issue in *Helsinn Healthcare S.A. et al. v. Teva Pharms. USA*, Case No. 16-1284 (Fed. Cir.).

## III. Lead and Back-Up Counsel and Service Information

In accordance with 37 C.F.R. §42.8(b)(3) and (b)(4), Patent Owner identifies the following lead and back-up counsel and service information:

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