

**Initial Haptic Explorations with the Phantom:  
Virtual Touch Through Point Interaction**

by

**Thomas Harold Massie**

**B.S., Electrical Engineering  
Massachusetts Institute of Technology, 1993**

**Submitted to the Department of Mechanical Engineering  
in partial fulfillment of the requirements for the degree of**

**Master of Science**

**at the**

**MASSACHUSETTS INSTITUTE OF TECHNOLOGY**

**February 1996**

**© 1996 Thomas Harold Massie, All rights reserved.**

**The author hereby grants to MIT permission to reproduce and to distribute copies of this  
thesis document in whole or in part, and to grant others the right to do so.**

**Signature of Author.....**

**Department of Mechanical Engineering  
January 19, 1996**

**Certified by .....**

**J. Kenneth Salisbury, Jr.  
Principal Research Scientist  
rvisor**

**Accepted by.....**

**MASSACHUSETTS INSTITUTE  
OF TECHNOLOGY**

**Ain A. Sonin  
Chairman, Department Committee on Graduate Students**

# Initial Haptic Explorations with the Phantom: Virtual Touch Through Point Interaction

by

Thomas H. Massie

Submitted to the Department of Mechanical Engineering  
on January 19, 1996 in partial fulfillment of the requirements for the  
Degree of Master of Science

## ABSTRACT

The primary topic of this research was the implementation and programming of a force reflecting haptic interface, known as the PHANToM (Personal Haptic iNterface Mechanism). The goal was to develop software models that would allow users to feel and manipulate the data represented within computers. Compact models of texture, shape, compliance, viscosity, friction, and deformation were implemented using a point force paradigm of haptic interaction. The motivation for and implications of a point force haptic interface are discussed in detail. Finally, for those who are not immediately convinced of the utility of enabling humans to interact with computers through the sense of touch, a few of the applications enable by the PHANToM are described.

Thesis Supervisor: J. Kenneth Salisbury, Jr.  
Title: Principal Research Scientist

# Table of Contents

<b>1. INTRODUCTION</b> .....	4
1.1 HAPTIC INTERFACES.....	4
1.2 PERSONAL HAPTIC INTERFACE MECHANISM (PHANTOM).....	6
1.2.1 Three Enabling Observations.....	6
1.2.2 Three Necessary Criteria For an Effective Interface.....	8
1.2.3 PHANToM Mechanics.....	9
<b>2. IMPLICATIONS OF A POINT FORCE INTERFACE</b> .....	10
2.1 INTRODUCTION TO POINT FORCE.....	10
2.1.1 Initial Tests With Finger Sphere.....	11
2.1.2 PHANToM Thimble-Gimbal.....	12
2.2 INCREASES HAPTIC RESOLUTION.....	13
2.3 EXPANDING THE POINT TO A FRICTIONLESS SPHERE.....	14
2.4 HAPTIC POINT FORCE SPECTRUM.....	16
2.5 FEELING THROUGH AN OBJECT.....	17
2.6 SOFTWARE SIMPLIFICATION.....	17
<b>3. SOFTWARE RENDERING APPROACHES</b> .....	18
3.1 INTRODUCTION TO THE BASIC ALGORITHM FOR HAPTIC RENDERING.....	19
3.2 EXPLICIT SURFACE DEFINITIONS.....	21
3.3 PIECEWISE.....	22
3.4 TOPOGRAPHIC HEIGHT MAP.....	28
3.5 SURFACE PROPERTIES.....	31
3.5.1 Static Friction.....	31
3.5.2 Texture.....	32
3.5.3 Compliance and Hardness.....	33
3.6 BUTTONS AND SWITCHES.....	35
3.7 VIRTUAL CLAY.....	38
3.7.1 Present Implementation.....	38
3.7.2 Future Implementations.....	39
<b>4. APPLICATIONS</b> .....	40
4.1 COMPUTER INTERFACE FOR THE BLIND.....	40
4.2 MEDICAL TRAINING.....	41
4.3 COMPUTER AIDED DESIGN.....	41
4.4 INTERFACE TO THE MICROSCOPIC WORLD.....	42
<b>5. CONCLUSIONS</b> .....	43
<b>APPENDIX A: INFORMAL HAPTIC DICTIONARY</b> .....	45
<b>BIBLIOGRAPHY</b> .....	47

## 1. Introduction

This thesis addresses the design, programming, and implementation of a haptic interface designed solely with the intent of facilitating human-computer interaction. The Personal Haptic iNTERface Mechanism (PHANToM) uses a novel thimble-gimbal to achieve a “point force approach” to haptic interaction. Chapter 2 is dedicated to describing this approach as it has many implications for human perception and software development. Chapter 3 addresses the basic software issues, and Chapter 4 describes the applications for this particular haptic interface. Finally, Chapter 5, describes why point force haptic interfaces like the PHANToM will soon see wide use.

### 1.1 Haptic Interfaces

Real-time, photo-realistic 3-D computer graphics, and even spatialized 3-D sound are now achievable on high-end computer workstations. At the current pace of computer technology, few would argue that computer generated graphics and sounds, virtually indistinguishable from the real world they mimic, will be available on the personal computer within a few years. Visual and auditory feedback alone cannot enable a person to interact with the computer as naturally as he would interact with his real environment.

A significant component of our ability to visualize, remember, and establish cognitive models of the physical structure of our environment stems from haptic interactions with objects in the environment. Kinesthetic, force and cutaneous senses combined with motor capabilities permit us to probe, perceive and rearrange objects in the physical world. Information about how an object moves in response to applied force and the forces which arise when we attempt to move objects can provide cues to geometry (shape, locality, identity), attributes (constraint, impedance, friction, texture) and events (constraint change, contact, slip) in the environment [17].

In the course of a typical human computer interaction, a user views output on a video monitor, and works to change the input via a mouse, joystick, or keyboard. In

general, human beings do not interact with the world this way. Rather we use our hands to both **change and measure** our environment. Imagine an artist molding clay, a jeweler fixing a watch, or a surgeon searching for a bullet through a small incision. These activities involve such an immediate level of manual interaction that they are not easily described in terms of “input” and “output”. Unlike other sensory modalities, haptic interactions permit two-way interaction via work exchange. Controlled work can be performed on dynamic objects in the environment and modulated to accomplish tasks. Today, haptic feedback is woefully missing from the typical human computer interaction.

In that it was designed solely for human-computer interaction, the mouse is a unique exception among the list of human-computer interfaces. Consider the keyboard (typewriter), the cathode ray tube (television), the joy-stick (rate controller), and audio speakers (telephone receiver). All of these devices existed long before computers were attached to them, and arguably, only incremental improvements to these interfaces have been achieved. Haptic interfaces pre-date computers as well. Force-reflecting teleoperators were used to give humans a sense of presence in remote or dangerous environments long before they were attached to computers. The first computerized haptic interfaces were teleoperators, interfaced to computers which simulated the remote environment virtually [3]. In fact, some of the commercially available haptic interfaces today like the Sarcos Arm [25] and the Cybernet Per-Force [7] are teleoperator master controllers adapted for use in virtual environments.

In contrast to these earlier haptic interfaces, and analogous to the development of the mouse, haptic hardware and software algorithms with the specific goal of improving human-computer interaction are now under development. Some of the first efforts to build hardware designed specifically for enabling people to touch virtual environments was done at the University of California, San Diego in 1976 [2] and by Noll [21]. For a comprehensive reference of haptic projects see the bibliography provided in Margaret Minsky’s thesis [18].

# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

## LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

## FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

## E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.