

James A. Proctor Jr.

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EDUCATION

Georgia Institute of Technology, Atlanta, Georgia M.S. Electrical Engineering
Graduated: September 1992
Interests: Digital Signal Processing, Communications, Optics

The University of Florida, Gainesville, Florida B.S. Electrical Engineering
Graduated: May 1991
Minor Studies: Business
Honors & Activities: PI ETA SIGMA Honor Society
ALPHA LAMBDA DELTA Freshman Honor Society
ETA KAPPA NU National Electrical Engineering Fraternity

Skills & Achievements

- Successfully led Qualcomm / WiDeFi integration efforts resulting in a new product effort within Qualcomm related to the 3G/4G Market
- Co-founded WiDeFi and successfully raised >\$14M of venture funding
- Generated intellectual property and product strategy leading to the acquisition of two companies (WiDeFi, and Tantivy Communications), contributing to > \$100M of revenue
- Performed extensive customer and industry business development to provide support for innovative product definition, requirement definition, and customer support for business plan and forecasts (Qualcomm, WiDeFi, and Tantivy Communications)
- Led and coached many R&D teams to refine and de-risk innovative wireless concepts
- Led cross functional product teams to deliver high volume ready prototypes
- Ability to balance market, programmatic, and technical concerns and refine clear strategy and actionable plans
- Generated >320 issued US Patents Currently and >700 international patent publications
- Have spoken at numerous public events: industry, venture, and technical
- Strong communication and technical skills

Work Experience

Proctor Consulting, LLC **December 2008 - Present**

- Early stage market and technology strategy
 - o Interim CTO/Team Member, business development/validation
 - o Roadmap / IP development
 - o Advisory Board Member
- Technical / Market Consultant
 - o Fastback Networks, Audigence, Peregrine Semiconductor
- Intellectual property portfolio analysis within the communications market space
 - o Market / Product Applicability, Claims Chart Development and Analysis, Valuation
 - o Expert Witness Consulting in Wireless Communications (WiFi, 2G-GSM, 3G-C2K/WCDMA, 4G/LTE and associated networks)

Proxicom Wireless. Co-Founder, Managing Director **November 2011 – Present**

- Proximity based mobile technology utilizing a centralized trusted third party
- Development of foundational Intellectual Property (12+ US Patents)
- Enabling technology applicable to a variety of proximity based applications including highly secure: Mobile Payments, Electronic Coupons and Loyalty, Rating and Reviews, Social Commerce

Qualcomm Inc., Principal Engineer (Consulting)**October 2007 - October 2009**

- Technical and Business development leadership of a consumer level 3G wireless repeater product concept based upon WiDeFi's technology during the integration
- Provided technical leadership in architecture development
 - o Defined initial architecture approach for the wireless repeater concept based upon WiDeFi's technology
 - o Worked with technical team to refine approach and achieve "key proof points"
- Performed Business Development with International Wireless Operators
 - o Worked closely with business development from ESG and QCT divisions
 - o Developed bottoms up forecast with the top international wireless operators for consumer repeater products.
 - o Refined product features to meet carrier's requirements
- Market Analysis to estimate Market Size and Competitive Landscape
- Named inventor on 44+ Issued or pending patents for Qualcomm on wireless repeater concepts

WiDeFi, Inc., EVP/CTO, and Co-Founder, Director**August 2005 - October 2007**

- Focused on aligning WiDeFi's technology and product roadmaps to customer and market drivers
 - o Strategic and Technical Marketing, Product Definition
 - o Market Analysis to estimate Market Size and Competitive Landscape
 - o Collaborated with customers and internal team to define and pursue product/customer opportunities (Retail Wi-Fi, Mesh Repeater, VoiP over Wi-Fi Repeater, Cellular Repeaters, Wi-Max Repeaters, In-Building Distribution Systems)
 - o Customer Development from initial contact, joint testing, first purchase order, to new product introduction
 - o Contributed to and managed WiDeFi's IP portfolio
 - o Pursued fund raising from corporate and venture capital communities

WiDeFi, Inc., President, CEO, and Co-Founder, Director**July 2002 - August 2005**

- Led WiDeFi from inception to the recruitment of an expansion-stage CEO.
- Principal duties:
 - o Direct Fundraising of initial seed and Series A Venture Capital
 - o General Management (Finance, Business Administration, Legal/Contracts and Organizational Development/Recruiting)
 - o Coordination of Board of Directors and Investors
 - o Sales (WiDeFi was Cash Flow positive prior to venture investment)

Tantivy Communications**April 1998 - June 2002****Director of Strategic and Technical Marketing**

- Business Development/Technical Due Diligence
- Represented Tantivy in a wide range of Wireless Broad Band Industry Conferences
- Intellectual Property Management and Strategy
 - o Represented Tantivy's Patents and their value to External Companies
 - o Performed Validity, Infringement, and Value Analysis of Tantivy Patents for non-Tantivy products
- Industry Standards Representation for 3G Technologies
 - o TIA/3GPP2, Over 15 Technical Contributions/Papers
 - o T1P1 (3GPP Member)
- Project Management of an International Technology Transfer Team (S Korea)
- Performed System Architecture and Analysis

Spectrian, Advanced Development and Technical Marketing**1995 - April 1998**

- Interfaced with NORTEL/Qualcomm's Product Management
- Performed Advanced Technology Development/Systems Analysis

**Harris Corp. GCSD,
Senior Engineer Signal Processing Section, Modems Group**

September 1992 - 1995

Georgia Tech Research Institute, CSITL

June 1991 - August 1992

Harris Corp.. ISD, Electro-optics, Co-op

May 1990 - August 1990

- Acousto-optic signal processing

Standards Development

- Participated in architecting "Internet CDMA" (I-CDMA)
- Jointly led establishment of the Committee T1, working group T1P1.4 "WWINA" standardization effort, which stands for "Wireless Wideband Internet Access"
 - o T1 Approved this system as the T1.723-2002 standard
- ATIS approved as **ATIS0700723-2002 I-CDMA Spread Spectrum Systems Air Interface Standard**
- Participated in 3GPP2 Standards Developments (including 15+ technical contributions)

Intellectual Property

- More than 320 Issued U.S. Patents
- More than 700 Issued or Pending International Patent Applications (WIPO)
- Patents Cited at the USPTO more than 6000 times
- 55+ Patents Assigned to Intel
- 45+ Patents Assigned to Qualcomm
- 16+ Patents designated as "Standards Essential" for UMTS (WCDMA)
- 6+ Patents designated as "Standards Essential" for LTE

Testifying Expert Experience

SPH Am., LLC v. Acer, Inc., 09CV02535-CAB MDD, 2012 WL 1344515 (S.D. Cal. Apr. 18, 2012).

Testifying Expert offering opinions on validity for the defense. The technology related to WCDMA modulation techniques. Resulted in settlement prior to trial.

WI-LAN USA, INC. and WI-LAN INC. v. ELEFONAKTIEBOLAGET LM ERICSSON and ERICSSON INC., Case No. 1:12-23569-Civ (S.D. FL)

Testifying expert offering opinions on infringement for the defense. The technology involved LTE "contention free" handover (CFRA), and Media Access Control (MAC) layer messaging for Quality of Service (QOS). Resulted in a summary judgement finding of non-infringement for the defense, and settlement after appeal.

U.S. ETHERNET INNOVATIONS, LLC. V. STMICROELECTRONICS, INC., Civil Action No. 6:12-cv-4181-MHS-JDL

Testifying expert offering opinions on both validity and infringement for the defense. The technology related to Ethernet devices. Resulted in settlement prior to trial.

UNWIRED PLANET. V. SQUARE, INC.,

Case No. 3:13-CV-00579-RCJ-WGC, DISTRICT OF NEVADA,
Case CBM2014-00156, Case IPR2014-01164, Case IPR2014-01165, UNITED STATES PATENT TRIAL AND APPEAL BOARD,

Provided testimony related to claim construction, and validity for the defense. The technology was related to location based services (LBS). The case resulted in a finding by the Patent Trial and Appeal Board of unpatentability of all asserted claims.

PRISM TECHNOLOGIES LLC. V. T-MOBILE USA, INC., Case No. 12-CV-124

Testifying expert at trial, and deposition offering opinions on both validity and infringement for the defense. The technology was related to SIM/USIM/ISIM based authentication in UMTS, LTE, and IMS networks. The case resulted in a jury verdict of non-infringement.

FASTVDO LLC. V. AT&T Mobility LLC, AT&T Services, Inc., and Apple Inc., Case No. 3:16-cv-00385-H-WVG

Engaged by Apple as the testifying expert offering opinions on infringement for the defense. The technology was related to Forward Error Correction (FEC) and voice codecs in GSM and WCDMA standards, including detailed FEC performance simulations. The case was concluded based upon an IPR outcome finding unpatentable subject matter by the PTAB.

BLACKBERRY LIMITED V. BLU PRODUCTS, INC., Case No.: 16-23535-CIV-MORENO

Engaged by Blackberry as the testifying expert offering opinions on infringement for the plaintiff. The technology involved WCDMA and battery saving techniques in Radio Resource Control (RRC) states. The case was settled between the parties prior to trial.

CELLULAR COMMUNICATIONS EQUIPMENT LLC V. AT&T Inc., and Apple Inc. Case No.: 2:15-cv-00576-RWS-RSP

Engaged engaged by Apple as the testifying expert offering opinions on validity and benefits of the alleged inventions for the defense. The technology involved Media Access Control (MAC) messaging for LTE (Power Headroom Reporting) and LTE Advanced (CSI reporting for Carrier Aggregation). The case resulted in summary judgement for the defense.

GENERAL ACCESS SOLUTIONS, LTD. v. Sprint Corporation et al.

Civil Action No. 2:16-cv- 00465 (E.D. Tex.) and PTAB Proceedings (IPR2017-01885 (Patent 7,173,916 B2) IPR2017-01887 (Patent 6,891,810 B2) IPR2017-01889 (Patent 7,230,931 B2),

Engaged by Sprint to provide expert testimony relating to claim construction, and validity for the defense before the Patent Trial and Appeal Board (PTAB) relating to an Inter Partes Review petition, providing multiple expert declarations, and deposition testimony. The technology related to Adaptive Modulation and Coding (AMC) in a wireless system; Adaptive Beamforming, Sectorization, and Multi-Input Multi-Output (MIMO). All trials were instituted by the PTAB Board, with a finding of all petitioned claims unpatentable for two of the three patents.

TC TECHNOLOGY LLC. V., Sprint Corporation and Sprint Spectrum, L.P Case 1:16-cv-00153-RGA

Engaged by Sprint to provide expert testimony relating to validity and infringement by the defense, in the district of Delaware. The technology related to OFDMA and SC-FDMA use in the LTE uplink, for multiplexing transmissions from different UEs utilizing mutually exclusive OFDM subcarriers. The matter was resolved.

Mobility Workx, LLC v T-Mobile US, Inc.

Civil Action No. 4:17-cv-00567-ALM

Retained by the defendant, and provided declarations and deposition for claim construction, engaged for both invalidity and non-infringement analysis and testimony. This matter relates to LTE handover, and Mobile IP. The case was settled between the parties prior to trial.

Mobility Workx, LLC v Cellco Partnership d/b/a Verizon Wireless

Case No. 4:17-cv-872-ALM

Retained by the defendant, engaged for both invalidity and non-infringement analysis and testimony. This matter relates to LTE handover, and Mobile IP. The case further related to 3GPP compliance testing techniques. This matter was resolved during trial preparation.

Uniloc USA, Inc., et al. v. Apple Inc.

No. 18-cv-158 and 18-cv-161, engaged by Apple as a technical and testifying expert. This matter relates to Quality of Service aspect of 3G UMTS (WCDMA), and the request and allocation of uplink resources in LTE. The matter was stayed, pending result of PTAB IPR Trial.

Wi-LAN v. LG.

Case No. Case No.: 3:18-cv-01577-H-BGS

Engaged by LG as a technical and testifying expert. The technology involved LTE “contention free” handover (CFRA), Media Access Control (MAC) layer messaging for bandwidth management and Quality of Service (QOS). This matter was resolved.

Fractus, S.A. v. Sprint Communications Company, L.P. et al.

Civil Action No. 2:18-cv-00135 (E.D. Tex.), Engaged by Sprint and Verizon Wireless as a technical and testifying expert for invalidity. The technology involved design aspects of antenna element placement in multiband antenna arrays for use at cellular base stations. This matter was resolved.

Wilson Electronics, LLC v. Cellphone-Mate, Inc. dba SureCall.

Case No. 2:17-cv- 00305-DB (District of Utah)

Retained by the Wilson Electronics, and engaged for both invalidity and non-infringement analysis and testimony in both district court and in ITU proceedings. This matter relates to cellular multi-band wireless signal boosters / repeaters. This matter was resolved.

Sol IP, LLC v Joint Defense Group (AT&T, Ericsson, Nokia, Sprint, Verizon)

Civil Action No. 2:18-cv-00526 (E.D. Tex.)

Retained by each of the defendants, and engaged to provide testimony relating to infringement of the asserted claims for 8 of the asserted patents. The subject matter related to random access, paging, resource allocation, and carrier aggregation in LTE. The matter was resolved.

Polaris PowerLED Technologies, LLC v. TCL Corporation, et al., C.D. California Civil Action No.: 8:20-cv-00127-JVS-DFMBGL Ref. No.: 16725-7 and 16725-8

Engaged by TCL and Hisense to provide expert testimony in district court and to provide a declaration as an expert in an Inter Party Review (IPR) proceeding before the U.S Patent Trial and Appeals Board. The subject matter related to power supply circuitry for use in backlight displays and U.S. Pat. No 11,011,752. The IPR was instituted, and the proceedings were terminated following settlement.

SmartSky Networks v. Wireless Systems Solutions, LLC; DAG Wireless Solutions, et al., M.D. North Carolina (Case No. 1:20-cv-00834-NCT-LPA), and related arbitration proceedings

Engaged by SmartSky to provide expert testimony related to a trade secret dispute in district court, and an arbitration proceeding. The technology related to air to ground internet service for aircraft using a proprietary LTE derived system including airborne radios and ground stations allowing for increased range and velocity beyond that which can be provided by standards based LTE systems. The system includes advanced UE and eNodeB architectures, and modified LTE protocols. I provided expert reports and declarations in both district court and arbitration. I further provided live testimony before an arbitration panel. The arbitration panel found in favor of SmartSky, achieving a successful injunction against WSS/DAG.

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