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Application Number: 17012813 Document Date: 09/04/2020

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Form Revision Date: March 1, 2019

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Document Description: Power of Attorney

PTO/AIA/82A (07-13)
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NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA/82B identifies the application to which the Power of Attorney will not be recognized in the application.

directed, the Power of A	Altorney will	not be recognized in the application.					
Application Number		Not Yet Known					
Filing Date		September 4, 2020					
First Named Inver	ntor	Li et al.					
Title		METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS					
Art Unit		Not Yet Known					
Examiner Name		Not Yet Known					
Attorney Docket N	Number	NEO-PT008.8					
SIGNATU	JRE of A	oplicant or Patent Practitioner					
Signature	/And	rew D. Buschmeier/	Date (Optional)	September 4, 2020			
Name	Andrew	D. Buschmeier	Registration Number	73179			
Title (if Applicant is a juristic entity)							
Applicant Name (if Applicant is a juris		" INEO WITELESS LLO					
NOTE: This form mus		in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) iple forms.	for signature requir	rements and certifications. If			
*Total of <u>1</u>		forms are submitted.					

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Doc Code: PA..

Document Description: Power of Attorney

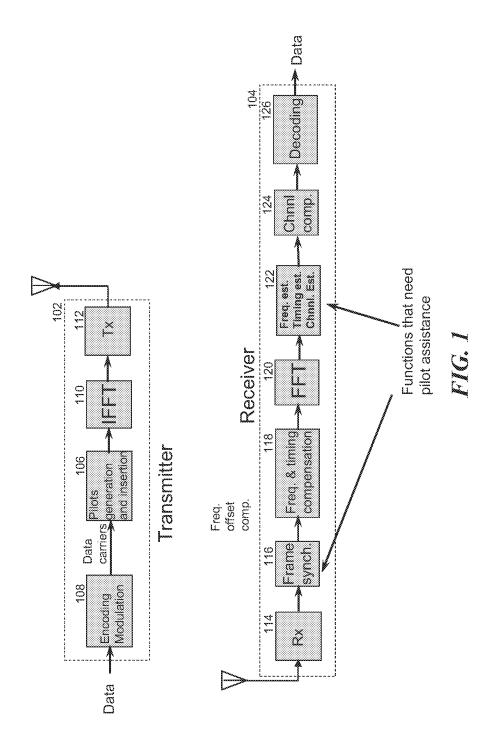
PTO/AIA/82B (07-13) Approved for use through 03/31/2021. OMB 0651-0035

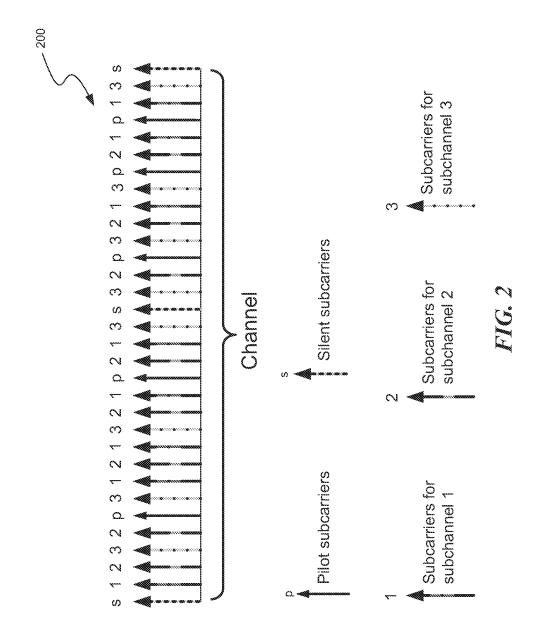
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

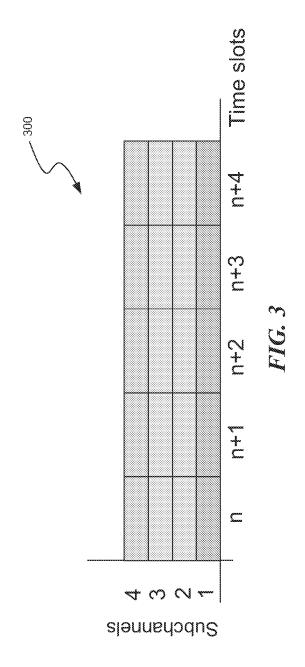
POWER OF ATTORNEY BY APPLICANT

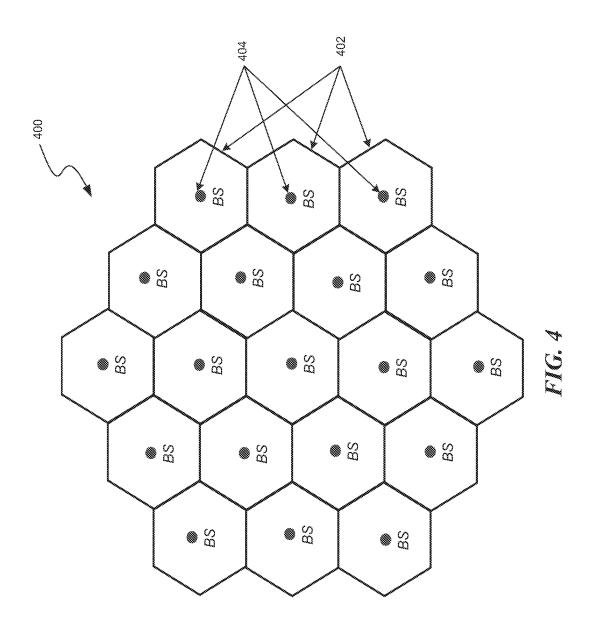
I hereb	y revoke all pre es below.	evious powers of attorney given	in the applicati	on identified in eithe	er the attached tr	ransmittal letter or
	A	Application Number		Filing Date		
	I hereby appoint to transact all bithe attached training OR I hereby appoint all business in t	The boxes above may be left bland the Patent Practitioner(s) associations in the United States Patent ansmittal letter (form PTO/AIA/82A) and the Practitioner(s) named in the attack the United States Patent and Trade in that I control of the I	ated with the follo at and Trademark) or identified abo ched list (form PT emark Office cont	wing Customer Numb Office connected the ove: 03624 TO/AIA/82C) as my/ou nected therewith for the	per as my/our attorn rewith for the application and attorney(s) or age	cation referenced in
	e recognize or or the boxes a	change the correspondence bove to:	address for th	e application iden	tified in the atta	ched transmittal
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· · · · · · · · · · · · · · · · · · ·		Applicant is a juristic entity, list the				
Nec	Wireles	s LLC				
	Inventor or Join	nt Inventor (title not required below))			
	Legal Represen	itative of a Deceased or Legally Inc	capacitated Inven	tor (title not required	below)	
~	Assignee or Per	rson to Whom the Inventor is Unde	r an Obligation to	o Assign (provide sign	er's title if applican	nt is a juristic entity)
	Person Who Oth application or is	herwise Shows Sufficient Proprieta concurrently being filed with this d	ıry Interest (e.g., locument) (provid	a petition under 37 CF le signer's title if appli	FR 1.46(b)(2) was cant is a juristic en	granted in the tity)
			URE of Applican			
The u	indersigned (who	se title is supplied below) is authorize	ed to act on behalf	f of the applicant (e.g.,	where the applicant	t is a juristic entity).
Signa	iture) and You -		Date (Optional	1)	
Name)	David Loo	77 17 d			
Title		C.E.O., Neo Wireless LLC				
		is form must be signed by the applica ore than one applicant, use multiple for		with 37 CFR 1.33, See	37 CFR 1.4 for sign	nature requirements
Total	of 1	forms are submitted.				

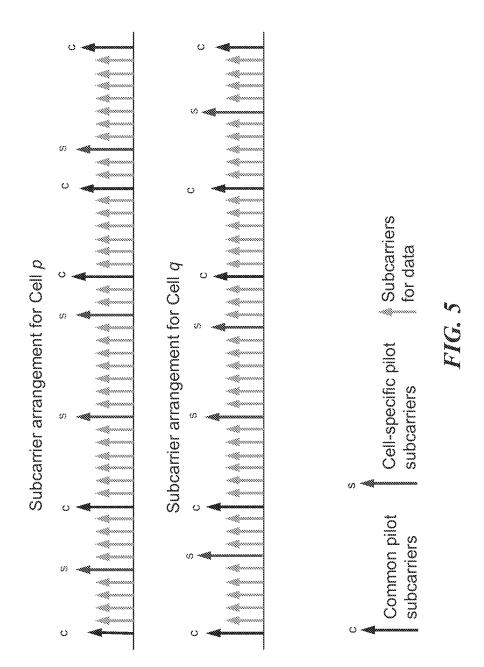
This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



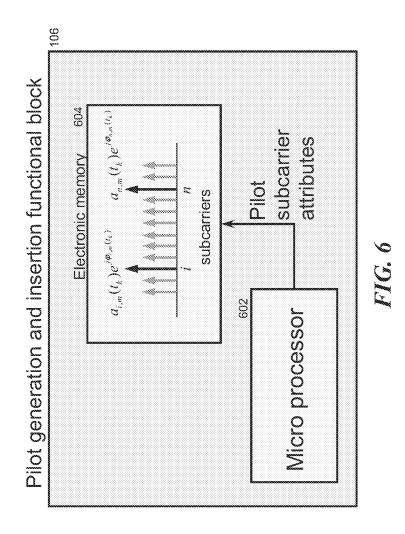


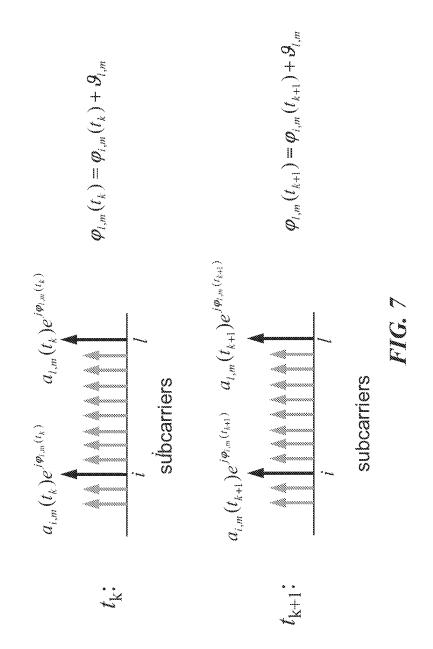






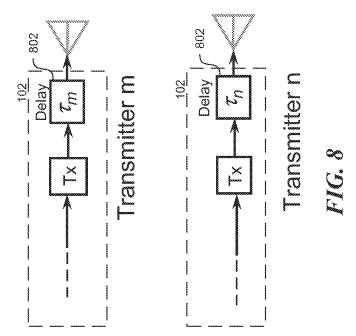


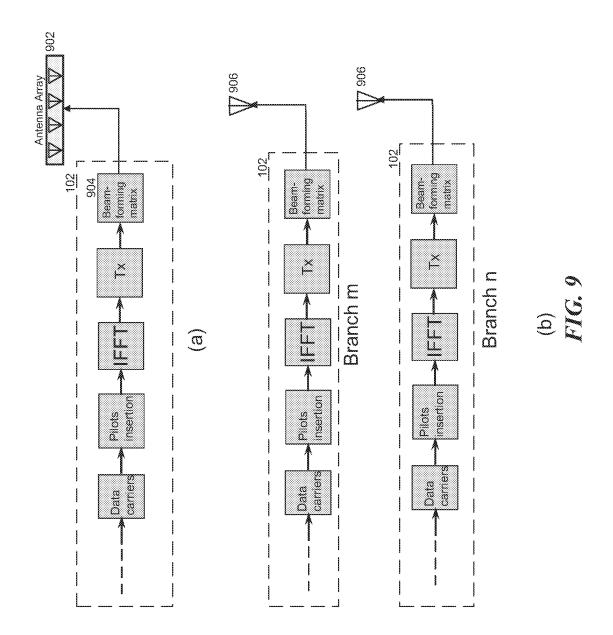


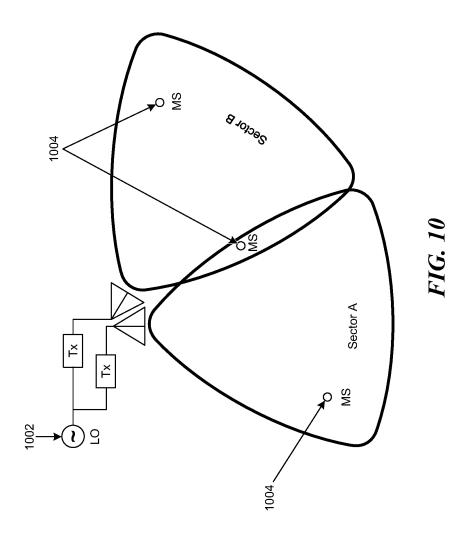


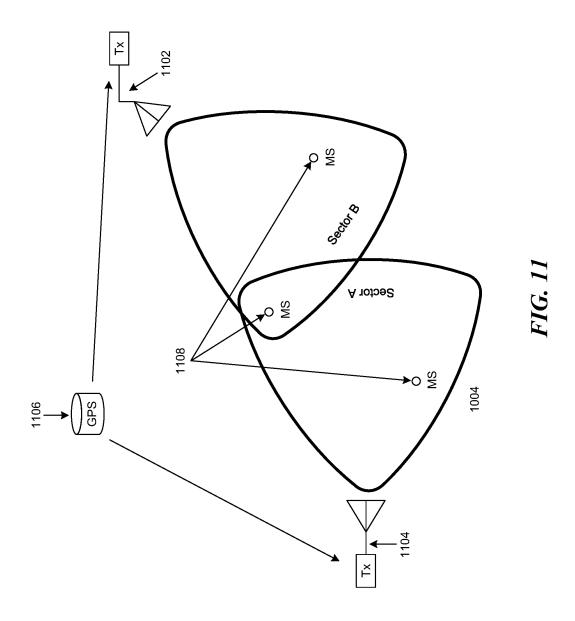
First Inventor: Xiaodong Li Attorney Docket No.: NEO-PT008.8

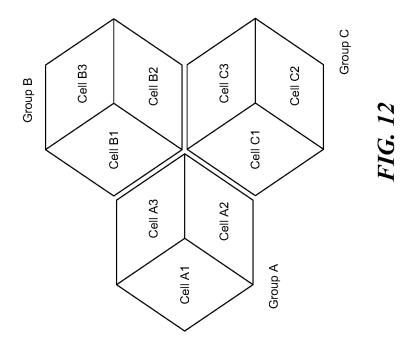
Title: METHODS AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

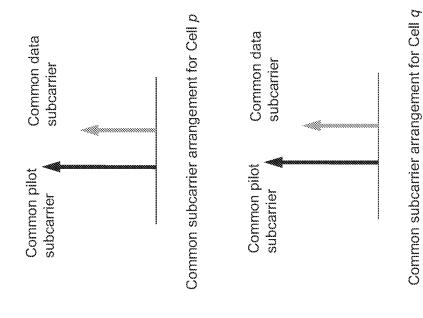












DEC	CLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS
As the below r	named inventor, I hereby declare that:
This declaration is directed to:	The attached application, or X United States application or PCT international application number 13/874,278 filed on 04/30/2013
The above-ide	ntified application was made or authorized to be made by me.
I believe that I	am the original inventor or an original joint inventor of a claimed invention in the application.
I hereby acknow by fine or impr	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 isonment of not more than five (5) years, or both.
	that I have reviewed and understand the contents of the above identified application, including the claims, y any amendment.
continuation-in	the duty to disclose information material to patentability as defined in 37 C.F.R. 1.56 including for part applications, material information which became available between the filing date of the prior disconstitution of PCT international filing date of the continuation-in-part application.
	WARNING:
contribute to ide (other than a ch to support a pe petitioners/appl USPTO. Petitio application (unl patent. Further referenced in a	cant is cautioned to avoid submitting personal information in documents filed in a patent application that may entity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers neck or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO lition or an application. If this type of personal information is included in documents submitted to the USPTO, icants should consider redacting such personal information from the documents before submitting them to the ner/applicant is advised that the record of a patent application is available to the public after publication of the less a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a more, the record from an abandoned application may also be available to the public if the application is published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms mitted for payment purposes are not retained in the application file and therefore are not publicly available.
LEGAL NAM	IE OF INVENTOR
Inventor:	Xiaodong Li Date: 6/5/20/3
Signature:	MAli
Note: An applica	ation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or previously filed. Use an additional PTO/AIA/01 form for each additional inventor.

DEC	DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)						
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS						
As the below r	named inventor, I hereby declare that:						
This declaration is directed to:	The attached application, or United States application or PCT international application number 13/874,278 filed on 04/30/2013						
The above-ide	ntified application was made or authorized to be made by me.						
I believe that I	am the original inventor or an original joint inventor of a claimed invention in the application.						
	owledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 isonment of not more than five (5) years, or both.						
	that I have reviewed and understand the contents of the above identified application, including the claims, y any amendment.						
continuation-in	the duty to disclose information material to patentability as defined in 37 C.F.R. 1.56 including for -part applications, material information which became available between the filing date of the prior d the national or PCT international filing date of the continuation-in-part application.						
·	WARNING:						
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.							
LEGAL NAN	IE OF INVENTOR						
Inventor:	Titus Lo Date: 6/5/2013						
Signature:							
	ation data sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or previously filed. Use an additional PTO/AIA/01 form for each additional inventor.						

DEC		R 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN PLICATION DATA SHEET (37 CFR 1.76)					
Title of Invention		PARATUS USING CELL-SPECIFIC AND COMMON PILOT MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION					
As the below r	named inventor, I hereb	y declare that:					
This declaration is directed to:		application, or application or PCT international application number13/874,27804/30/2013					
The above-ide	ntified application was r	nade or authorized to be made by me.					
I believe that I	am the original inventor	or an original joint inventor of a claimed invention in the application.					
		alse statement made in this declaration is punishable under 18 U.S.C. 1001 an five (5) years, or both.					
I hereby state that I have reviewed and understand the contents of the above identified application, including the claim as amended by any amendment.							
continuation-in	-part applications, mate	ormation material to patentability as defined in 37 C.F.R. 1.56 including for rial information which became available between the filing date of the prior ernational filing date of the continuation-in-part application.					
		WARNING:					
contribute to ide (other than a ch to support a pel petitioners/appl USPTO. Petitio application (unil patent. Further referenced in a	Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.						
LEGAL NAM	IE OF INVENTOR						
Inventor:	Ker	nin Li Date: 06/05/2013					
Signature:	16						
		14 or equivalent), including naming the entire inventive entity, must accompany this form or additional PTO/AIA/01 form for each additional inventor.					

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DEC		R 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN PLICATION DATA SHEET (37 CFR 1.76)
Title of Invention		PARATUS USING CELL-SPECIFIC AND COMMON PILOT MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION
As the below r	named inventor, I hereb	y declare that:
This declaration is directed to:		
	United States filed on	application or PCT international application number 13/874,278 04/30/2013
The above-ide	ntified application was r	nade or authorized to be made by me.
I believe that I	am the original inventor	or an original joint inventor of a claimed invention in the application.
		alse statement made in this declaration is punishable under 18 U.S.C. 1001 an five (5) years, or both.
	that I have reviewed and y any amendment.	d understand the contents of the above identified application, including the claims,
continuation-in	-part applications, mate	ormation material to patentability as defined in 37 C.F.R. 1.56 including for rial information which became available between the filing date of the prior ternational filing date of the continuation-in-part application.
		WARNING:
contribute to ide (other than a ch to support a pel petitioners/appl USPTO. Petitio application (uni- patent. Further referenced in a	entity theft. Personal info neck or credit card author tition or an application. If icants should consider re ner/applicant is advised t ess a non-publication req more, the record from an published application or	submitting personal information in documents filed in a patent application that may rmation such as social security numbers, bank account numbers, or credit card numbers ization form PTO-2038 submitted for payment purposes) is never required by the USPTO this type of personal information is included in documents submitted to the USPTO, dacting such personal information from the documents before submitting them to the hat the record of a patent application is available to the public after publication of the uest in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a abandoned application may also be available to the public if the application is an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms see are not retained in the application file and therefore are not publicly available.
LEGAL NAM	IE OF INVENTOR	
Inventor: _	Haimir	g Huang Date: 6/5/2013
Signature:		ETD .
		14 or equivalent), including naming the entire inventive entity, must accompany this form or additional PTO/AIA/01 form for each additional inventor.

Doc Code: TRACK1.REQ

Document Description: TrackOne Request

CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

First Named Inventor:	Xiaodong Li	Nonprovisional Application Number (if known):	
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COM	MON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELI	WIRELESS COMMUNICATION NETWORKS

APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR THE ABOVE-IDENTIFIED APPLICATION.

- 1. The processing fee set forth in 37 CFR 1.17(i)(1) and the prioritized examination fee set forth in 37 CFR 1.17(c) have been filed with the request. The publication fee requirement is met because that fee, set forth in 37 CFR 1.18(d), is currently \$0. The basic filing fee, search fee, and examination fee are filed with the request or have been already been paid. I understand that any required excess claims fees or application size fee must be paid for the application.
- 2. I understand that the application may not contain, or be amended to contain, more than four independent claims, more than thirty total claims, or any multiple dependent claims, and that any request for an extension of time will cause an outstanding Track I request to be dismissed.
- 3. The applicable box is checked below:
- i. (a) The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a).
 This certification and request is being filed with the utility application via EFS-Web.
 - (b) The application is an original nonprovisional plant application filed under 35 U.S.C. 111(a). This certification and request is being filed with the plant application in paper.
- ii. An executed inventor's oath or declaration under 37 CFR 1.63 or 37 CFR 1.64 for each inventor, <u>or</u> the application data sheet meeting the conditions specified in 37 CFR 1.53(f)(3)(i) is filed with the application.
 - II. Request for Continued Examination Prioritized Examination under § 1.102(e)(2)
- i. A request for continued examination has been filed with, or prior to, this form.
- ii. If the application is a utility application, this certification and request is being filed via EFS-Web.
- iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.
- iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.
- v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).

Signature / Andrew D. Buschmeier/	September 4, 2020
Name (Print/Typed) Andrew D. Buschmeier	Practitioner Registration Number 73179
Note: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) for Submit multiple forms if more than one signature is required.*	or signature requirements and certifications.
*Total of forms are submitted.	

PTO/AIA/424 (04-14)

Privacy Act Statement

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	NEO-PT008.8	
		Application Number		
Title of Invention		USING CELL-SPECIFIC AND CELESS COMMUNICATION NET	COMMON PILOT SUBCARRIERS IN MULTI- TWORKS	
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or t document may be printed and included in a paper filed application.				

Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to
☐ 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Inforn	iation.								
Inventor 1						R	emove		
Legal Name									
Prefix Given Name	<u> </u>	Middle Name	Middle Name Fa			Family Name			Suffix
√ Xiaodong			Li						-
Residence Informa	tion (Select One)	US Residency	N	on US Res	idency	Activ	e US Military Servic	æ	
City Kirkland		State/Province	WA	Country	of Resid	dence	us		
			_				! 		
Mailing Address of I	nventor:								
Address 1	1750 112th Av	ve. NE							
Address 2	Suite D159								
City Bellevu	е		s	tate/Provi	ince	WA			
Postal Code	98004		Count	yi	US	•			
Inventor 2						R	emove		
Legal Name									
Prefix Given Name	:	Middle Name	.		Family I	Name		Ţ	Suffix
Titus					Lo				-
Residence Informa	tion (Select One)	US Residency	N	on US Res	idency	Activ	e US Military Servic	æ	
City Bellevue		State/Province	WA	Country	of Resid	dence	us		
				_					
Mailing Address of I	nventor:								
Address 1									
Audress I	1750 112th Av	ve. NE							
Address 2	1750 112th Av Suite D159	ve. NE							
	Suite D159	ve. NE	s	tate/Provi	ince	WA			
Address 2	Suite D159	ve. NE	S		i nce US	WA			
Address 2 City Bellevu	Suite D159	ve. NE					emove		
Address 2 City Bellevu Postal Code	Suite D159	ve. NE					emove		
Address 2 City Bellevu Postal Code Inventor 3	Suite D159 e 98004	ve. NE Middle Name	Count			Re	emove		Suffix
Address 2 City Postal Code Inventor 3 Legal Name	Suite D159 e 98004		Count		US	Re	emove		Suffix

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		Attorney	Docke	t Numbe	er NEO-PT	ักกล ส			
Application Data She	eet 37 CFR 1.70	6 Application			JI NEOTT				
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City Bellevue	Stat	te/Province	WA	Cou	ıntry of Resi	dence	US		
Mailing Address of Invent	OL.								
Address 1	1750 112th Ave. N								
Address 2	Suite D159	_							
City Bellevue	ouno B Too			State/F	Province	WA			
Postal Code	98004		Cou	ntry i	US				
Inventor 4	1 1					R	emove		
Legal Name									
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▼ Haiming					Huang				
Residence Information (Select One) 💿	JS Residency		Non US	Residency	Activ	e US Militai	y Service	
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Mailing Address of Invent	ог:								
Address 1	1750 112th Ave. N	E							
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Customer Number	03624								
Email Address	eoffice@vklaw.com	m				Add E	mail	Remove	Email
Application Inform	nation:								
Title of the Invention	METHOD AND AI MULTI-CARRIER							CARRIE	RSIN
Attorney Docket Number					Entity Statu				
Application Type	Nonprovisional		'						·
Subject Matter	Utility								•
Total Number of Drawing	Sheets (if any)	13		Sugg	ested Figure	for Pub	lication (i	f any)	5

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Application Data Sheet 37 CFI		Attorney Docket Number		NEO-PT008	3.8			
Application Da	ta Sne	et 37 CFI	K 1./6	Application	n Number			
Title of Invention			ARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI- ELL WIRELESS COMMUNICATION NETWORKS					
Filing By Refe	erenc	e:						
application papers inclu	ding a sp	ecification an	d any draw	ings are being	filed. Any domesti	c benefit or for	(a). Do not complete this section if eign priority information must be eign Priority Information").	
or the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this efference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).								
Application number o filed application	f the prev	iously	Filing da	te (YYYY-MM-	OD)	Intelle	ectual Property Authority or Country	
Publication I	nform	nation:				·		
Request Early	Publica	ition (Fee re	equired a	t time of Red	quest 37 CFR 1.2	219)		
☐ 35 U.S.C. 122	(b) and applicati	certify that on filed in a	the inver	ntion disclos	ed in the attache	d applicatior	not be published under n has not and will not be the al agreement, that requires	
Representativ	ve Inf	ormatio	n:					
this information in the	e Applicater Numbe	tion Data She er or complet	eet does n te the Rep	ot constitute presentative I	a power of attorney Name section belo	in the applic	ney in the application. Providing ation (see 37 CFR 1.32). stions are completed the customer	
Please Select One			er Numbe	r US	Patent Practitione	er 🔵 Lii	mited Recognition (37 CFR 11.9)	
Customer Number		03624						
Domestic Benefit/National Stage Information:								
National Stage entry the specific referenc	This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank.							
Prior Application	Status	Pending	-				Remove	
Application Nur	mber	Co	ontinuity	Туре	Prior Applicati	Filing or 371(c) Date (YYYY-MM-DD)		
		Continuation	on of	•	16440754		2019-06-13	

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Attorney Docket Number | NEO-PT008.8

Application D	ata She	et 37 CFR	! 1	.76	Applicat	ion	Number					
Title of Invention	Title of Invention METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS											
Prior Application Status Patented Remove												
Application Number	Conti	nuity Type		Prior Application		ion	Filing Date (YYYY-MM-DD)		ent Number	Issue Date (YYYY-MM-DD))	
16440754	Continuati	on of	3	1568	38441		2017-08-28	10326		631	2019-06-18	
Prior Application	on Status	Patented				~				Rem	ove	
Application Number	Conti	nuity Type		Pri	ior Applicat Number	ion	Filing Date (YYYY-MM-D		Pate	ent Number	Issue Date (YYYY-MM-DD))
15688441	Continuati	on of	₹	1474	16676		2015-06-22		97491	68	2017-08-29	
Prior Application	on Status	Patented				-				Remo		
Application Number	Conti	nuity Type		Pr	ior Applicat Number	tion	Filing Date (YYYY-MM-E		Pate	ent Number	Issue Date (YYYY-MM-DD))
14746676	Continuati	on of	Ŧ	1459	95132		2015-01-12		90656	14	2015-06-23	
Prior Application	on Status	Patented				•	•		Ren		ove	
Application Number	Continuity Type			Prior Application		ion	Filing Date (YYYY-MM-E		Patent Number		Issue Date (YYYY-MM-DD))
14595132	14595132 Continuation of		7	13874278			2013-04-30		8934473		2015-01-13	
Prior Application	on Status	Patented				•				Reme	ove	
Application Number	Conti	nuity Type		Pri	ior Applicat Number	ion	Filing Date (YYYY-MM-D		Pate	ent Number	Issue Date (YYYY-MM-DD))
13874278	Continuati	on of	₹	1321	12116		2011-08-17		84328	91	2013-04-30	
Prior Application	on Status	Patented				-		Remove		ove		
Application Number	Conti	nuity Type		Pri	ior Applicat Number	tion	Filing Date (YYYY-MM-E		Pate	ent Number	Issue Date (YYYY-MM-DD))
13212116	Continuati	on of	-	1058	33530		2007-05-30		80096	60	2011-08-30	
Prior Application	on Status	Expired				•		Remove			ove	
Application Number Conti		inuity Type			Prior Applicati			r 371(c) Date Y-MM-DD)				
10583530 a 371 of international			\Box	PCT/US05/01939 2005-01-20								
Prior Application Status Expired					₹				Rem	ove		
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PCT/US05/01939		Claims bene	efit	of pro	visional	F	60540032			2004-01-29		
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Foreign Priority Information:

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Application Data Sheet 37 CFR 1.76			Attorney Docket Number Application Number		NEO-PT008.	8
Title of Invention METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTICALL WIRELESS COMMUNICATION NETWORKS						OT SUBCARRIERS IN MULTI-
constitutes the claim for that is eligible for retrice automatically attempt responsibility for ensur	or priority eval under retrieval pring that	as required by 35 U.S or the priority document oursuant to 37 CFR 1.5 a copy of the foreign ap	.C. 119(b exchango 55(i)(1) and oplication) and 37 CFR 1.55. We program (PDX) ⁱ the d (2). Under the PDX is received by the Offi	hen priority is on information will program, appliced from the particular the par	on in the application data sheet claimed to a foreign application be used by the Office to cant bears the ultimate ticipating foreign intellectual cified in 37 CFR 1.55(g)(1).
Application Nur	nber	Country		Filing Date (YYYY	-MM-DD)	Remove Access Code (if applicable)

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition **Applications**

Additional Foreign Priority Data may be generated within this form by selecting the

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
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Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	NEO-PT008.8		
Application Data Sheet 37 CFR 1.76		Application Number			
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				

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Application Da	nta Sheet 37 CED 1 76	Attorney Docket Number	NEO-PT008.8	
Application Data Sheet 37 CFR 1.76		Application Number		
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.							
Applicant 1			Remove				
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.							
Assignee	Legal Representative ur	nder 35 U.S.C. 117	Joint Inventor				
Person to whom the inventor is o	bbligated to assign.	Person who shows	s sufficient proprietary interest				
If applicant is the legal represen	tative, indicate the authority to	file the patent application	n, the inventor is:				
			▼				
Name of the Deceased or Legal	lly Incapacitated Inventor:						
If the Applicant is an Organizat	tion check here.						
Organization Name Neo W	ireless LLC						
Mailing Address Information	For Applicant:						
Address 1	3 West Wayne Avenue, Floor 1						
Address 2	Address 2						
City	ayne	State/Province	PA				
Country US		Postal Code	19087				
Phone Number		Fax Number					
Email Address							
Additional Applicant Data may be generated within this form by selecting the Add button.							

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Application Data Sheet 37 CFR 1.76			Attorney Doc	Attorney Docket Number		NEO-PT008.8			
Applicatio	ii Data Sii	1001 37 OI IV 1.70	Application N						
Title of Inven	TIAN I	HOD AND APPARATUS RIER, MULTI-CELL WIRI				PILOT SUB(CARRI	ERS IN MULTI-	
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Assignee									
application publi publication as a	Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application bublication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.								
							Remo	ve	
If the Assigne	ee or Non-Ap	oplicant Assignee is ar	n Organization	check here.			\boxtimes		
Organization	Name	Neo Wireless LLC							
Mailing Addre	ess Informat	tion For Assignee inc	cluding Non-A	pplicant Ass	ignee:				
Address 1		123 West Wayne A	Avenue, Floor 1						
Address 2									
City		Wayne		State/Provir	ice PA				
Country i	US			Postal Code		19087			
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Additional Ass selecting the		n-Applicant Assignee	Data may be g	enerated withi	n this for	m by	Ad	dd	
Signature	:						Ren	nove	
NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c). This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants. See 37 CFR 1.4(d) for the manner of making signatures and certifications.									
Signature //Andrew D. Buschmeier/					Date (YYYY-MM-DD) 2020-09-04				
First Name	Andrew D.	Last Name	Buschmeier		Registr	ation Numb	er	73179	
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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	NEO-PT008.8	
Application Da	ita Sileet S/ Ci K 1.70	Application Number		
Title of Invention	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about
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ABSTRACT

A multi-carrier cellular wireless network (400) employs base stations (404) that transmit two different groups of pilot subcarriers: (1) cell-specific pilot subcarriers, which are used by a receiver to extract information unique to each individual cell (402), and (2) common pilots subcarriers, which are designed to possess a set of characteristics common to all the base stations (404) of the system. The design criteria and transmission formats of the cell-specific and common pilot subcarriers are specified to enable a receiver to perform different system functions. The methods and processes can be extended to other systems, such as those with multiple antennas in an individual sector and those where some subcarriers bear common network/system information.

CLAIMS

What is claimed is:

 An orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas:

the transmitter configured to:

insert cell-specific pilots onto a first plurality of subcarriers; and insert data and second pilots onto a second plurality of subcarriers; wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots.

- 2. The OFDMA-compatible base station of claim 1 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 3. The OFDMA-compatible base station of claim 1 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 4. The OFDMA-compatible base station of claim 1 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.
- 5. The OFDMA-compatible base station of claim 1 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.

- 6. The OFDMA-compatible base station of claim 1 wherein the second plurality of subcarriers are beam-formed.
- 7. The OFDMA-compatible base station of claim 1 wherein the cell-specific pilots are used to convey cell-specific information.
- 8. A method performed by an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the method comprising:

inserting, by the OFDMA-compatible base station, cell-specific pilots onto a first plurality of subcarriers;

inserting, by the OFDMA-compatible base station, data and second pilots onto a second plurality of subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

transmitting, by the OFDMA-compatible base station, the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots using a plurality of antennas.

- 9. The method of claim 8 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 10. The method of claim 8 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 11. The method of claim 8 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.

NEO-PT008.8

- 12. The method of claim 8 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.
- 13. The method of claim 8 wherein the second plurality of subcarriers are beam-formed.
- 14. The method of claim 8 wherein the cell-specific pilots are used to convey cell-specific information.
- 15. An orthogonal frequency division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible mobile station comprising:

at least one antenna; and

a receiver; and

the at least one antenna and the receiver are configured to:

receive cell-specific pilots on a first plurality of subcarriers; and receive second pilots and data on a second plurality of subcarriers, wherein the first plurality of subcarriers and the second plurality of subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the receiver is further configured to:

recover the data using channel estimates from at least the second pilots;

recover cell-specific information using the cell-specific pilots.

16. The OFDMA-compatible mobile station of claim 15 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.

and

- 17. The OFDMA-compatible mobile station of claim 15 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 18. The OFDMA-compatible mobile station of claim 15 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.
- 19. The OFDMA-compatible mobile station of claim 15 wherein the first plurality of subcarriers are transmitted at a same time as the second plurality of subcarriers
- 20. The OFDMA-compatible mobile station of claim 15 wherein the second plurality of subcarriers are beam-formed.
- 21. The OFDMA-compatible mobile station of claim 15 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.
- 22. The OFDMA-compatible mobile station of claim 15 wherein the receiver is further configured to extract cell-specific information from the cell-specific pilots.
- 23. A method performed by an orthogonal frequency division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a frequency domain and time slots in a time domain, the method comprising:

receiving cell-specific pilots on a first plurality of subcarriers;

receiving second pilots and data on a second plurality of subcarriers, wherein the first plurality of subcarriers and the second plurality of subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed;

recovering the data using channel estimates from at least the second pilots; and recovering cell-specific information using the cell-specific pilots.

- 24. The method of claim 23 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 25. The method of claim 23 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.
- 26. The method of claim 23 wherein each cell-specific pilot of the cell-specific pilots is unique to a respective cell.
- 27. The method of claim 23 wherein the first plurality of subcarriers are transmitted at a same time as the second plurality of subcarriers.
- 28. The method of claim 23 wherein the second plurality of subcarriers are beam-formed.
- 29. The method of claim 23 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at least another cell onto which respective cell-specific pilots are inserted.
- 30. The method of claim 23 further comprising extracting cell-specific information from the cell-specific pilots.

METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

CROSS-REFERENCE TO RELATED APPLICATION(S)

[0001] This application is a continuation of U.S. Patent Application No. 16/440,754, filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017, which is a continuation of U.S. Patent Application No. 14/595,132, filed January 12, 2015, which issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a continuation of U.S. Patent Application No. 13/874,278, filed April 30, 2013, which issued as U.S. Patent No. 8,934,473 on January 13, 2015, which is a continuation of U.S. Patent Application No. 13/212,116, filed August 17, 2011, which issued as U.S. Patent No. 8,432,891 on April 30, 2013, which is a continuation of U.S. Patent Application No. 10/583,530, filed May 30, 2007, which issued as U.S. Patent No. 8,009,660 on August 30, 2011, which is a U.S. National Stage of PCT Application No. PCT/US05/01939, filed January 20, 2005, which claims the benefit of and priority to U.S. Provisional Patent Application No. 60/540,032, filed on January 29, 2004, the entire contents of all of which are hereby incorporated by reference herein.

BACKGROUND

[0002] In multi-carrier wireless communications, many important system functions such as frequency synchronization and channel estimation, depicted in Figure 1, are facilitated by using the network information provided by a portion of total subcarriers such as pilot subcarriers. The fidelity level of the received subcarriers dictates how well these functions can be achieved, which in turn affect the efficiency and capacity of the entire network.

[0003] In a wireless network, there are a number of base stations, each of which provides coverage to designated areas, normally called a cell. If a cell is divided into

sectors, from a system engineering point of view each sector can be considered a cell. In this context, the terms "cell" and "sector" are interchangeable. The network information can be categorized into two types: the cell-specific information that is unique to a particular cell, and the common information that is common to the entire network or to a portion of the entire networks such as a group of cells.

In a multi-cell environment, for example, the base station transmitter of each cell transmits its own pilot subcarriers, in addition to data carriers, to be used by the receivers within the cell. In such an environment, carrying out the pilot-dependent functions becomes a challenging task in that, in addition to the degradation due to multipath propagation channels, signals originated from the base stations at different cells interfere with each other.

[0005] One approach to deal with the interference problem has been to have each cell transmit a particular pattern of pilot subcarriers based on a certain type of cell-dependent random process. This approach, to a certain degree, has mitigated the impact of the mutual interference between the pilot subcarriers from adjacent cells; however, it has not provided for a careful and systematic consideration of the unique requirements of the pilot subcarriers.

BRIEF DESCRIPTION OF THE DRAWINGS

[0006] Figure 1 depicts a basic multi-carrier wireless communication system consisting of a transmitter and a receiver.

[0007] Figure 2 shows basic structure of a multi-carrier signal in the frequency domain, which is made up of subcarriers.

[0008] Figure 3 shows a radio resource divided into small units in both the frequency and time domains: subchannels and time slots.

[0009] Figure 4 depicts a cellular wireless network comprised of multiple cells, in each of which coverage is provided by a base station (BS).

[0010] Figure 5 shows pilot subcarriers divided into two groups: cell-specific pilot subcarriers and common pilot subcarriers.

[0011] Figure 6 is an embodiment of pilot-generation-and-insertion functional block shown in Figure 1, which employs a microprocessor to generate pilot subcarriers and insert them into a frequency sequence contained in the electronic memory.

[0012] Figure 7 shows that common pilot subcarriers are generated by a microprocessor of Figure 6 to realize phase diversity.

[0013] Figure 8 is an embodiment of delay diversity, which effectively creates phase diversity by adding a random delay time duration, either in baseband or RF, to the time-domain signals.

[0014] Figure 9 shows two examples for extension to multiple antenna applications.

[0015] Figure 10 is an embodiment of synchronization in frequency and time domains of two collocated base stations sharing a common frequency oscillator.

[0016] Figure 11 is an embodiment of synchronization in frequency and time domains with base stations at different locations sharing a common frequency reference signal generated from the GPS signals.

[0017] Figure 12 is an embodiment depicting a wireless network consisting of three groups of cells (or sectors) and base stations in each group that share their own set of common pilot subcarriers.

[0018] Figure 13 shows all base stations within a network transmit, along with a common pilot subcarrier, a data subcarrier carrying data information common to all cells in the network.

DETAILED DESCRIPTION

[0019] In the following description the invention is explained with respect to some of its various embodiments, providing specific details for a thorough understanding and enablement. However, one skilled in the art will understand that the invention may be practiced without such details. In other instances, well-known structures and functions have not been shown or described in detail to avoid obscuring the depiction of the embodiments

[0020] Unless the context clearly requires otherwise, throughout the description and the claims, the words "comprise," "comprising," and the like are to be construed in an inclusive sense as opposed to an exclusive or exhaustive sense; that is to say, in the sense of "including, but not limited to." Words using the singular or plural number also include the plural or singular number respectively. Additionally, the words "herein," "above," "below" and words of similar import, when used in this application, shall refer to this application as a whole and not to any particular portions of this application. When the claims use the word "or" in reference to a list of two or more items, that word covers all of the following interpretations of the word: any of the items in the list, all of the items in the list and any combination of the items in the list.

[0021] Figure 1 depicts a basic multi-carrier wireless communication system consisting of a transmitter 102 and a receiver 104. A functional block 106 at the transmitter, called Pilot generation and insertion, generates pilot subcarriers and inserts them into predetermined frequency locations. These pilot subcarriers are used by the receiver to carry out certain functions. In aspects of this invention, pilot subcarriers are divided into two different groups according to their functionalities, and hence their distinct requirements. The transmission format of each group of pilot subcarriers will be designed so that it optimizes the performance of the system functions such as frequency synchronization and channel estimation.

[0022] The first group is called "cell-specific pilot subcarriers," and will be used by the receiver 104 to extract information unique to each individual cell. For example, these cell-specific pilot subcarriers can be used in channel estimation where it is necessary for a particular receiver to be able to differentiate the pilot subcarriers that are intended for its use from those of other cells. For these pilot subcarriers, counter-interference methods are necessary.

[0023] The second group is termed "common pilot sub-carriers," and are designed to possess a set of characteristics common to all base stations of the system. Thus, every receiver 104 within the system is able to exploit these common pilot subcarriers to perform necessary functions without interference problem. For instance, these common pilot subcarriers can be used in the frequency synchronization process, where it is not

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necessary to discriminate pilot subcarriers of different cells, but it is desirable for the receiver to combine coherently the energy of common pilot subcarriers with the same carrier index from different cells, so as to achieve relatively accurate frequency estimation.

[0024] Aspects of this invention provide methods to define the transmission formats of the cell-specific and common pilot subcarriers that enable a receiver to perform different system functions. In particular, a set of design criteria are provided for pilot subcarriers. Other features of this invention further provide apparatus or means to implement the above design processes and methods. In particular, signal reception can be improved by manipulating phase values of the pilot subcarriers and by using power control.

[0025] The methods and processes can also be extended to other cases, such as where multiple antennas are used within an individual sector and where some subcarriers are used to carry common network/system information. Base stations can be synchronized in frequency and time by sharing a common frequency oscillator or a common frequency reference signal, such as the one generated from the signals provided by the Global Positioning System (GPS).

Multi-Carrier Communication System

[0026] In a multi-carrier communication system such as multi-carrier code division multiple access (MC-CDMA) and orthogonal frequency division multiple access (OFDMA), information data are multiplexed on subcarriers that are mutually orthogonal in the frequency domain. In effect, a frequency selective channel is broken into a number of parallel but small segments in frequency that can be treated as flat fading channels and hence can be easily dealt with using simple one-tap equalizers. The modulation/demodulation can be performed using the fast Fourier transform (FFT).

[0027] In a multi-carrier communication system the physical media resource (e.g., radio or cable) can be divided in both the frequency and the time domains. This canonical division provides a high flexibility and fine granularity for resource sharing. The basic structure of a multi-carrier signal in the frequency domain is made up of subcarriers, and

within a particular spectral band or channel there are a fixed number of subcarriers. There are three types of subcarriers:

- [0028] 1. Data subcarriers, which carry information data;
- **[0029]** 2. Pilot subcarriers, whose phases and amplitudes are predetermined and made known to all receivers and which are used for assisting system functions such as estimation of system parameters; and
- **[0030]** 3. Silent subcarriers, which have no energy and are used for guard bands and DC carriers.
- **[0031]** The data subcarriers can be arranged into groups called subchannels to support multiple access and scalability. The subcarriers forming one subchannel are not necessarily adjacent to each other. This concept is illustrated in Figure 2, showing a basic structure of a multi-carrier signal 200 in the frequency domain, which is made up of subcarriers. Data subcarriers can be grouped into subchannels in a particular way. The pilot subcarriers are also distributed over the entire channel in a particular way.
- **[0032]** The basic structure of a multi-carrier signal in the time domain is made up of time slots to support multiple-access. The resource division in both the frequency and time domains is depicted in Figure 3 which shows a radio resource divided into small units in both the frequency and time domains: subchannels and time slots, 300. The basic structure of a multi-carrier signal in the time domain is made up of time slots.
- **[0033]** As depicted in Figure 1, in a multi-carrier communication system, a generic transmitter may consist of the following functional blocks:
 - Encoding and modulation 108
 - 2. Pilot generation and insertion 106
 - 3. Inverse fast Fourier transform (IFFT) 110
 - Transmission 112

And a generic receiver may consist of the following functional blocks:

1. Reception 114

- 2. Frame synchronization 116
- 3. Frequency and timing compensation 118
- 4. Fast Fourier transform (FFT) 120
- 5. Frequency, timing, and channel estimation 122
- 6. Channel compensation 124
- 7. Decoding 126

Cellular Wireless Networks

In a cellular wireless network, the geographical region to be serviced by the network is normally divided into smaller areas called cells. In each cell the coverage is provided by a base station. Thus, this type of structure is normally referred to as the cellular structure depicted in Figure 4, which illustrates a cellular wireless network 400 comprised of multiple cells 402, in each of which coverage is provided by a base station (BS) 404. Mobile stations are distributed within each coverage area.

[0035] A base station 404 is connected to the backbone of the network via a dedicated link and also provides radio links to mobile stations within its coverage. A base station 404 also serves as a focal point to distribute information to and collect information from its mobile stations by radio signals. The mobile stations within each coverage area are used as the interface between the users and the network.

[0036] In an M-cell wireless network arrangement, with one-way or two-way communication and time division or frequency division duplexing, the transmitters at all the cells are synchronized via a particular means and are transmitting simultaneously. In a specific cell 402 of this arrangement, the pth cell, a receiver receives a signal at a specific subcarrier, the ith subcarrier, at the time tk, which can be described as:

$$S_{i}(t_{k}) = a_{i,p}(t_{k})e^{j\varphi_{i,p}(t_{k})} + \sum_{\substack{m=1\\m \neq p}}^{M} a_{i,m}(t_{k})e^{j\varphi_{i,m}(t_{k})}$$
(1)

where $a_{i,m}(t_k)$ and $\varphi_{i,m}(t_k)$ denote the signal amplitude and phase, respectively, associated with the ith subcarrier from the base station of the m_{th} cell.

Cell-Specific Pilot Subcarriers

[0037] If the ith subcarrier is used as a pilot subcarrier at the pth cell for the cell-specific purposes, the cell-specific information carried by $a_{i,p}(t_k)$ and $\varphi_{i,p}(t_k)$ will be of interest to the receiver at the pth cell and other signals described by the second term on the right hand side of equation (1) will be interference, which is an incoherent sum of signals from other cells. In this case, a sufficient level of the carrier-to-interference ratio (CIR) is required to obtain the estimates of $a_{i,p}(t_k)$ and $\varphi_{i,p}(t_k)$ with desirable accuracy.

[0038] There are many ways to boost the CIR. For examples, the amplitude of a pilot subcarrier can be set larger than that of a data subcarrier; power control can be applied to the pilot subcarriers; and cells adjacent to the pth cell may avoid using the ith subcarrier as pilot subcarrier. All these can be achieved with coordination between the cells based on certain processes, described below.

Common Pilot Subcarriers

[0039] The common pilot subcarriers for different cells are normally aligned in the frequency index at the time of transmission, as depicted in Figure 5, which shows pilot subcarriers divided into two groups: cell-specific pilot sub-carriers and common pilot subcarriers. The cell-specific pilot subcarriers for different cells are not necessarily aligned in frequency. They can be used by the receiver to extract cell-specific information. The common pilot subcarriers for different cells may be aligned in frequency, and possess a set of attributes common to all base stations within the network. Thus, every receiver within the system is able to exploit these common pilot subcarriers without interference problem. The power of the pilot subcarriers can be varied through a particular power control scheme and based on a specific application.

[0040] If the ith subcarrier is used as a pilot subcarrier at the pth cell for the common purposes, it is not necessary to consider the second term on the right hand side of equation (1) to be interference. Instead, this term can be turned into a coherent component of the desirable signal by designing the common pilot carriers to meet the criteria specified in the aspects of this invention, provided that base stations at all cells

are synchronized in frequency and time. In such a case the cell in which the receiver is located becomes irrelevant and, consequently, the received signal can be rewritten as:

$$S_{i}(t_{k}) = \sum_{m=1}^{M} a_{i,m}(t_{k}) e^{j\varphi_{i,m}(t_{k})}$$
(2)

The common pilot subcarriers can be used for a number of functionalities, such as frequency offset estimation and timing estimation.

[0041] To estimate the frequency, normally signals at different times are utilized. In an example with two common pilot subcarriers of the same frequency index, the received signal at time t_{k+1} , with respect to the received signal at time t_k , is given by

$$s_{i}(t_{k+1}) = e^{j2\pi f_{i}\Delta t} \sum_{m=1}^{M} a_{i,m}(t_{k+1}) e^{j\varphi_{i,m}(t_{k+1})}$$
(3)

where $\Delta t = t_{k+1} - t_k$. If Δt is much less than the coherence period of the channel and

$$\alpha_{im}(t_k) = c_i \alpha_{im}(t_{k+1}) \tag{4}$$

and

$$\phi_{i,m}(t_k) = \phi_{i,m}(t_{k+1}) + \beta_i \tag{5}$$

then the frequency can be determined by

$$2\pi f_i \Delta t = arg\left\{s_i(k)s_i(k+1)\right\} - \beta_i \tag{6}$$

where $c_i>0$ and $-\pi \le \beta_i \le \pi$ or are predetermined constants for all values of m. And from all the frequency estimates $\{f_i\}$, a frequency offset can be derived based on a certain criterion.

[0042] For timing estimation, normally multiple common pilot carriers are required. In an example of two common pilot subcarriers, the received signal at f_n, is given by

$$S_n(t_k) = e^{j2\pi\Delta/T_s(t_k)} \sum_{m=1}^{M} a_{n,m}(t_k) e^{j\varphi_{n,m}(t_k)}$$
(7)

where $\Delta f = f_n - f_i$ and T_s denotes the sampling period. If Δf is much less than the coherence bandwidth of the channel and

$$\alpha_{im}(t_k) = c(t_k)\alpha_{nm}(t_k) \tag{8}$$

and

$$\phi_{i,m}(t_k) = \phi_{n,m}(t_k) + \gamma(t_k) \tag{9}$$

then T_s can be determined by

$$2\pi\Delta f T_s(t_k) = arg\left\{s_i * (t_k)s_n(t_k)\right\} - \gamma(t_k) \tag{10}$$

where $c(t_k)>0$ and $-\pi \le \gamma$ $(t_k)\le \pi$ are predetermined constants for all values of m.

[0043] Figure 6 is an embodiment of pilot-generation-and-insertion functional block 106 shown in Figure 1, which employs a microprocessor 602 to generate pilot subcarriers and insert them into a frequency sequence contained in electronic memory 604. In one embodiment of the invention illustrated in Figure 6, a microprocessor 602 embedded in the pilot-generation-and-insertion functional block 106 computes the attributes of the pilot subcarriers such as their frequency indices and complex values specified by their requirements, and insert them into the frequency sequence contained in the electronic memory 604, such as a RAM, ready for the application of IFFT.

Diversity for Common Pilot Subcarriers

[0044] Considering equation (2), which is the sum of a number of complex signals, it is possible for these signals to be destructively superimposed on each other and cause the amplitude of the receiver signal at this particular subcarrier to be so small that the signal itself becomes unreliable. Phase diversity can help this adverse effect. In the example of frequency estimation, a random phase $\mathcal{G}_{l,m}$ can be added to another pilot subcarrier, say the lth subcarrier, which results in

$$\phi_{lm}(t_k) = \phi_{im}(t_k) + \theta_{lm} \tag{11}$$

and

$$\phi_{l,m}(t_{k+1}) = \phi_{l,m}(t_{k+1}) + \theta_{l,m}$$
(12)

where $\mathcal{G}_{l,m}$ should be set differently for each cell, and provided that the following condition is met,

$$\phi_{l,m}(t_k) = \phi_{l,m}(t_{k+1}) + \beta_l, \text{ for all values of m}$$
(13)

[0045] With the phase diversity, it is expected that the probability of both $|s_i(t_k)|$ and $|s_i(t_k)|$ diminishing at the same time is relatively small. The embodiment of phase diversity is depicted in Figure 7, which shows common pilot subcarriers generated by a microprocessor of Figure 6 to realize phase diversity. It should be noted that time delay will achieve the equivalent diversity effect.

[0046] Another embodiment is illustrated in Figure 8, which effectively creates phase diversity by adding a random delay time duration 802, either in baseband or RF, to the time-domain signals.

Power Control for Pilot Subcarriers

[0047] In one embodiment of the invention, power control can be applied to the pilot subcarriers. The power of the pilot subcarriers can be adjusted individually or as a subgroup to

[0048] 1. meet the needs of their functionalities;

[0049] 2. adapt to the operation environments (e.g., propagation channels); and

[0050] 3. reduce interference between cells or groups of cells.

In another embodiment power control is implemented differently for cell-specific pilot subcarriers and common pilot subcarriers. For example, stronger power is applied to common pilot subcarriers than to the cell-specific subcarriers.

Application to Multiple Antennas

[0051] The methods and processes provided by this invention can also be implemented in applications where multiple antennas are used within an individual sector, provided that the criteria specified either by equations (4) and (5) for frequency estimation or by equations (8) and (9) for timing estimation are satisfied.

[0052] Figure 9 shows two examples for extension to multiple antenna applications. In case (a) where there is only one transmission branch that is connected to an array of antennas 902 through a transformer 904 (e.g., a beam-forming matrix), the implementation is exactly the same as in the case of single antenna. In case (b) of multiple transmission branches connected to different antennas 906 (e.g., in a transmission

diversity scheme or a multiple-input multiple-output scheme), the cell-specific pilot subcarriers for transmission branches are usually defined by a multiple-antenna scheme whereas the common pilot subcarriers for each transmission branch are generated to meet the requirements of (4) and (5) for frequency estimation or (8) and (9) for timing estimation.

Joint-Use of Cell-Specific and Common Pilot Subcarriers

[0053] In one embodiment the cell-specific and common pilot subcarriers can be used jointly in the same process based on certain information theoretic criteria, such as the optimization of the signal-to-noise ratio. For example, in the estimation of a system parameter (e.g. frequency), some or all cell-specific subcarriers, if they satisfy a certain criterion, such as to exceed a CIR threshold, may be selected to be used together with the common pilot subcarriers to improve estimation accuracy. Furthermore, the common pilot sub-carriers can be used along with the cell-specific subcarriers to determine the cell-specific information in some scenarios, one of which is the operation at the edge of the network.

Base Transmitters Synchronization

[0054] Base stations at all cells are required to be synchronized in frequency and time. In one embodiment of the invention the collocated base station transmitters are locked to a single frequency oscillator, as in the case where a cell is divided into sectors and the base stations of these sectors are physically placed at the same location.

[0055] Figure 10 is an embodiment of synchronization in frequency and time domains of two collocated base stations sharing a common frequency oscillator 1002. Mobile stations 1004 covered by these two base stations do not experience interference when receiving the common pilot subcarriers. The base station transmitters that are located at different areas are locked to a common reference frequency source, such as the GPS signal. Figure 11 depicts an embodiment of synchronization in frequency and time domains with base stations 1102 and 1104 at different locations sharing a common frequency reference signal generated from the GPS 1106 signals. Mobile stations 1108 covered by these two base stations 1102 and 1104 do not experience interference when receiving the common pilot subcarriers.

[0056] In some applications, the entire wireless network may consist of multiple groups of cells (or sectors) and each group may have its own set of common pilot subcarriers. In such scenarios, only those base stations within their group are required to synchronize to a common reference. While the common pilot subcarriers within each group are designed to meet the criteria defined by equations (4) and (5) or by (8) and (9) for the use by its base stations, a particular counter-interference process (e.g., randomization in frequency or power control) will be applied to different sets of common pilot subcarriers. This will cause the signals from the cells within the same group to add coherently while the signals from the cells in other groups are treated as randomized interference.

[0057] One embodiment of such implementation is illustrated in Figure 12, where a wireless network consists of three groups (A, B, and C) of cells (or sectors). The base stations within their own group share the same set of common pilot subcarriers. In this scenario, only those base stations within their group are required to synchronize to a common reference. While the common pilot subcarriers within each group are designed to meet the criteria defined in this invention, a particular counter-interference process (e.g., randomization in frequency) will be applied to different sets of common pilot subcarriers. For example, the base stations at Cells AI, A2, and A3 in Group A synchronize to their own common reference source and transmit the same set of common pilot subcarriers; and the base stations at Cells B1, B2, and B3 in Group B synchronize to their own reference source and transmit another set of common pilot subcarriers that are located at different places in the frequency domain.

Extension to Transmission of Data Information

[0058] All design processes, criteria, and methods described in the embodiments of this invention can be extended to applications where common network information is required to be distributed to all receivers within the network. In one example, all the base stations within the network transmit, along with some common pilot subcarriers, an identical set of data subcarriers in which the data information common to all the cells in the network is imbedded.

[0059] Figure 13 shows all base stations within a network transmit, along with a common pilot subcarrier, a data subcarrier carrying data information common to all cells in the network. A receiver within the network can determine the composite channel coefficient based on the common pilot subcarrier and apply it to the data subcarrier to compensate for the channel effect, thereby recovering the data information.

[0060] The above detailed descriptions of embodiments of the invention are not intended to be exhaustive or to limit the invention to the precise form disclosed above. While specific embodiments of, and examples for, the invention are described above for illustrative purposes, various equivalent modifications are possible within the scope of the invention, as those skilled in the relevant art will recognize. For example, while steps are presented in a given order, alternative embodiments may perform routines having steps in a different order. The teachings of the invention provided herein can be applied to other systems, not necessarily the system described herein. These and other changes can be made to the invention in light of the detailed description.

[0061] The elements and acts of the various embodiments described above can be combined to provide further embodiments.

[0062] These and other All of the above U.S. patents and applications and other references are incorporated herein by reference. Aspects of the invention can be modified, if necessary, to employ the systems, functions and concepts of the various references described above to provide yet further embodiments of the invention.

[0063] Changes can be made to the invention in light of the above detailed description. In general, the terms used in the following claims should not be construed to limit the invention to the specific embodiments disclosed in the specification, unless the above detailed description explicitly defines such terms. Accordingly, the actual scope of the invention encompasses the disclosed embodiments and all equivalent ways of practicing or implementing the invention under the claims.

[0064] While certain aspects of the invention are presented below in certain claim forms, the inventors contemplate the various aspects of the invention in any number of claim forms. For example, while only one aspect of the invention is recited as embodied in a computer-readable medium, other aspects may likewise be embodied in a computer-

readable medium. Accordingly, the inventors reserve the right to add additional claims after filing the application to pursue such additional claim forms for other aspects of the invention.

September 4, 2020

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Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56,

Applicant wishes to bring to the Examiner's attention the material cited on the enclosed Information Disclosure Statement Form.

Pursuant to 37 C.F.R. §1.98(d) copies of documents cited in the parent application are not enclosed, but copies will be provided upon request.

This application is a continuation of U.S. Patent Application No. 16/440,754, filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017, 6438592.1

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which is a continuation of U.S. Patent Application No. 14/595,132, filed January 12,

2015, which issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a

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issued as U.S. Patent No. 8,934,473 on January 13, 2015, which is a continuation of

U.S. Patent Application No. 13/212,116, filed August 17, 2011, which issued as U.S.

Patent No. 8,432,891 on April 30, 2013, which is a continuation of U.S. Patent

Application No. 10/583,530, filed May 30, 2007, which issued as U.S. Patent No.

8,009,660 on August 30, 2011, which is a U.S. National Stage of PCT Application

No. PCT/US05/01939, filed January 20, 2005, which claims the benefit of and

priority to U.S. Provisional Patent Application No. 60/540,032, filed on January 29,

2004.

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6438592.1

Applicant: Neo Wireless LLC Application No.: Not Yet Known

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the Information Disclosure Statement Form indicating consideration of the cited materials.

Respectfully submitted,

Neo Wireless LLC

By /Andrew D. Buschmeier/ Andrew D. Buschmeier Registration No. 73,179

Volpe and Koenig, P.C. 30 South 17th Street Philadelphia, PA 19103 Telephone: (215) 568-6400 Facsimile: (215) 568-6499

AB/jrrs Enclosure

- 3 -

(Not for submission under 37 CFR 1.99)

Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,825,807	10/1998	Kumar			
		5,867,478	02/1999	Baum et al.			
	*	5,909,436	06/1999	Engstrom et al.			
	*	6,141,546	10/2000	Thomas			
	*	6,434,364	08/2002	O'Riordain			
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		7,035,663	04/2006	Linebarger et al.			
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¹ Corresponds to KR 2003-0085040

² Corresponds to WO 02/073831

³ Corresponds to CN 1432245

(Not for submission under 37 CFR 1.99)

Application Number	Not Yet Known
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First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		7,342,974	03/2008	Chiou			
		7,386,055	06/2008	Morita et al.			
		7,403,556	07/2008	Kao et al.			
		7,418,042	08/2008	Choi et al.4			
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⁴ Corresponds to US 2005/0243774

⁵ Corresponds to US 2002/0159430

⁶ Corresponds to CN 1465150

(Not for submission under 37 CFR 1.99)

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Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		2004/0171357	09/2004	Lobinger			
	*	2004/0264600	12/2004	Kao et al.			
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EXAMINER							TRAN	SLATION
INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	*	1407745	04/2003	CN				
	*	1445949	10/2003	CN				
	*	1452326	10/2003	CN				
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OTHER DOCUMENTS

6440372.1

esponds to VVO 2003/030007

Page 3 of 4

⁷ Corresponds to WO 2003/058887

(Not for submission under 37 CFR 1.99)

Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.)
	*	EUROPEAN TELECOMMUNICATIONS STANDARDS INSTITUTE, Digital Video Broadcasting (DVB); Framing structure, channel coding and modulation for digital terrestrial television, ETSI EN 300 744 V1.5.1 (June 2004).
		Examination Report, European Application No. 05711777.2, Mail Date October 29, 2012, 6 pages.
		Examination Report, European Application No. 05712825.8, Mail Date August 16, 2012, 6 ·pages.
	*	IEEE Standard for Local and metropolitan area networks; Part 16: Air Interface for Fixed Broadband Wireless Access Systems — Amendment 2: Medium Access Control Modifications and Additional Physical Layer Specifications for 2–11 GHz, IEEE Std. 802.16a-2003 (April 1, 2003).
		International Search Report and Written Opinion for International Application No. PCT/US05/01939, Mail Date 4/26/2005, 7 pages.
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		Supplementary European Search Report, European Application No. 05711777, May 7, 2012, 6 pages.
		Supplementary European Search Report, European Application No. 05712825, March 26, 2012, 4 pages
		Tufvesson, F., et al. "OFDM Time and Frequency Synchronization by Spread Spectrum Pilot Technique," Communication Theory Mini-Conference, Vancouver, B.C., Canada, June 6-10, 1999, pages 115-119.

Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS					
First Named Inventor/Applicant Name:	Xia	odong Li				
Filer:	Andrew D. Buschmeier/Justin Slater					
Attorney Docket Number:	NE	O-PT008.8				
Filed as Large Entity	•					
Filing Fees for Track I Prioritized Examination - Nonp	rovis	ional Applicatio	n under 35 l	JSC 111(a)		
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
UTILITY APPLICATION FILING		1011	1	300	300	
UTILITY SEARCH FEE		1111	1	660	660	
UTILITY EXAMINATION FEE		1311	1	760	760	
REQUEST FOR PRIORITIZED EXAMINATION		1817	1	4000	4000	
Pages:						
Claims:						
CLAIMS IN EXCESS OF 20		1202	10	100	1000	
INDEPENDENT CLAIMS IN EXCESS OF 3		1201	1	460	460	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous-Filing:				
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0
PROCESSING FEE, EXCEPT PROV. APPLS.	1830	1	140	140
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	7320

Electronic Acknowledgement Receipt				
EFS ID:	40476235			
Application Number:	17012813			
International Application Number:				
Confirmation Number:	8746			
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			
First Named Inventor/Applicant Name:	Xiaodong Li			
Customer Number:	3624			
Filer:	Andrew D. Buschmeier/Justin Slater			
Filer Authorized By:	Andrew D. Buschmeier			
Attorney Docket Number:	NEO-PT008.8			
Receipt Date:	04-SEP-2020			
Filing Date:				
Time Stamp:	15:27:31			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$7320
RAM confirmation Number	E202094F27566747
Deposit Account	220493
Authorized User	Justin Slater

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.17 (Patent application and reexamination processing fees)

37 CFR 1.19 (Document supply fees)

37 CFR	1.21 (Miscellaneous fees and charges)					
File Listin	g:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
		NEO-PT008_8-	376001			
1	Power of Attorney	Executed_POA_ByApplicant_ai a0082_EFS-20200904.pdf	cb7411d1dde804b805e75e5d929da100c5 09ad29	no	2	
Warnings:		•				
Information:						
			132779			
2	Drawings-only black and white line drawings	NEO-PT008_8- Drawings_EFS-20200904.pdf	no 1aaca775672332926551d840de7229cd168 544cf		13	
Warnings:				•		
Information:						
		NEO-PT008_8-	438489		4	
3	Oath or Declaration filed	Executed_Declarations_EFS-20 200904.pdf	05a97e41b3c2e5270cc817ae947c9b3227f b1465	no		
Warnings:						
Information:						
		NEO-PT008_8-	125642			
4	TrackOne Request	TrackOneRequest_aia0424_EFS -20200904.pdf	d6a09d61fa921e7fd306367f3d5ae929dd14 bbc5	no	2	
Warnings:						
Information:						
		NEO-PT008_8-	1332463			
5	Application Data Sheet	ADS_aia0014_EFS-20200904. pdf	no f964c2ca27e357acd6696c1ce807c3ee10fef 5e2		10	
Warnings:						
Information:						
			314776			
6		NEO-PT008_8- Application_EFS-20200904.pdf	17ec41cc20dc2a2fe4d3fae3f9439ccad66ff 7d7	yes	21	
	Multip	part Description/PDF files in .:	zip description			
	Document De	Start	E	nd		

	Abstrac	21	21		
	Claims	16	20		
	Specificati	1	15		
Warnings:					
Information:					
		NEO-PT008_8-	86640		
7	Transmittal Letter	IDS_TransmittalLtr_EFS-202009	2ff56332c2f30ad25d3c29a2f615fe3cb7a9a a72	no	3
Warnings:		-			
Information:					
	16 11 01 1 01 1 100	NEO PEROS O	134647		
8	Information Disclosure Statement (IDS) Form (SB08)	NEO-PT008_8- IDS_EFS-20200904.pdf	0e04bb7067efda144d9b12967b7aaa653b0 d5073	no	4
Warnings:		-			
Information:					
This is not an U	SPTO supplied IDS fillable form				
			43330		
9	Fee Worksheet (SB06)	fee-info.pdf	c8c0e8eccbbb501b97e0a4f5ff344ab2cc41 cc84	no	2
Warnings:		-			
Information:					
		Total Files Size (in bytes):	29	84767	

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

OR E a), (b), or (c)) EE k), (i), or (m)) EE c), (or (m) EE c), (or (m) EE c), (or (m) EE c), (or (m) EI CION FEE c), (or (m) ENT CLAIM CION SIZE CION CION CION CION CION CION CION CION	N N N 30 S 4 If the spec sheets of p \$310 (\$155 50 sheets	mn 1) R FILED /A /A //A minus 20 minus 3 ification araper, the 5 for small or fraction) and 37 C SENT (37 (NUMBE NUMBE N N N N add drawings e application sidentity) for earthrereof. See SFR 1.16(s). CFR 1.16(j)) Ster "0" in column	ze fee due is ch additional 35 U.S.C.	SMALL RATE(\$) N/A N/A N/A TOTAL	ENTITY FEE(\$)	OR	OTHER SMALL E RATE(\$) N/A N/A N/A × 100 = × 460 =	
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	ATION AS A				TOTAL		1	TOTAL	2190
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	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
Total FR 1.16(i))	*	Minus *	*	=	x =		OR	x =	
pendent R 1.16(h))	*	Minus *	**	=	x =		OR	x =	
ation Size Fee	e (37 CFR 1.16(s))]		
PRESENTAT	TION OF MULTIPL	E DEPENDE	ENT CLAIM (37 C	CFR 1.16(j))			OR		
					TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
	(Column 1)		(Column 2) HIGHEST	(Column 3)		1	7		
	CLAIMS REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONA FEE(\$)
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	*	Minus *	**	=	x =		OR	x =	
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	.16(i)) dent .16(h)) n Size Fee	II * .16(i)) dent .16(h)) n Size Fee (37 CFR 1.16(s))	1.16(i)	Minus Minu	II	X	AMENDMENT PAID FOR .16(ii)	AMENDMENT PAID FOR	AMENDMENT



United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Packandria, Virginia 22313-1450 www.uspto.gov

FILING or GRP ART 371(c) DATE FIL FEE REC'D ATTY.DOCKET.NO TOT CLAIMS IND CLAIMS UNIT 17/012,813 09/04/2020 2631 3180 NEO-PT008.8 30

3624 Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103

CONFIRMATION NO. 8746 FILING RECEIPT

Date Mailed: 09/16/2020

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

Inventor(s)

Xiaodong Li, Kirkland, WA; Titus Lo. Bellevue. WA: Kemin Li, Bellevue, WA; Haiming Huang, Bellevue, WA;

Applicant(s)

Neo Wireless LLC, Wayne, PA;

Assignment For Published Patent Application

Neo Wireless LLC, Wayne, PA

Power of Attorney: The patent practitioners associated with Customer Number 03624

Domestic Priority data as claimed by applicant

This application is a CON of 16/440,754 06/13/2019 which is a CON of 15/688,441 08/28/2017 PAT 10326631 which is a CON of 14/746.676 06/22/2015 PAT 9749168 which is a CON of 14/595,132 01/12/2015 PAT 9065614 which is a CON of 13/874,278 04/30/2013 PAT 8934473 which is a CON of 13/212,116 08/17/2011 PAT 8432891 which is a CON of 10/583.530 05/30/2007 PAT 8009660 which is a 371 of PCT/US05/01939 01/20/2005 which claims benefit of 60/540,032 01/29/2004

page 1 of 4

Foreign Applications for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

If Required, Foreign Filing License Granted: 09/15/2020

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 17/012,813**

Projected Publication Date: 12/24/2020

Non-Publication Request: No Early Publication Request: No

Title

METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER. MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Preliminary Class

375

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)
Approved for use through 11/30/2020. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		17012813	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2020-09-04	
	First Named Inventor Li et al		al.	
	Art Unit		2645	
	Examiner Name	Omon	iiyi Obayanju	
	Attorney Docket Numb	er	NEO-PT008.8	

	Remove					
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5828650	Α	1998-10-27	Malkamaki et al.	
	2	6175550	B1	2001-01-16	van Nee	
	3	7411897	B2	2008-08-12	Yoo et al.	
	4	7471667	B2	2008-12-30	Hirsch et al.	
	5	7873009	B2	2011-01-18	Larsson et al.	
	6	8102832	B2	2012-01-24	Agrawal et al.	
	7	8363691	B2	2013-01-29	Hasegawa et al.	
If you wis	h to add	additional U.S. Paten	t citatio	n information pl	ease click the Add button.	Add
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(Not for submission under 37 CFR 1.99)

Application Number		17012813				
Filing Date		2020-09-04				
First Named Inventor Li et a		al.				
Art Unit		2645				
Examiner Name	Omor	niyi Obayanju				
Attorney Docket Number		NEO-PT008.8				

Examiner Initial*	Cite N	Publication Number Kind Publication Date Name of Patentee or A of cited Document		• • • • •	Pages,Columns,Lines where Relevant Passages or Releva Figures Appear								
	1												
If you wish to add additional U.S. Published Application citation information please click the Add button. Add													
FOREIGN PATENT DOCUMENTS Remove													
Examiner Initial*	xaminer Cite Foreign Document nitial* No Number³		1		Kind Code ⁴	Publication Date	Name of Patentee Applicant of cited Document		Pages,Columns,Li where Relevant Passages or Relev Figures Appear		evant or Relevant	T5	
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Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.									T5		
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Standard ST ⁴ Kind of doo	Γ.3). ³ F cument l	or Ja	PTO Patent Documents panese patent documer e appropriate symbols a	nts, the inc	lication of	the year	of the reign of the	Emp	eror must precede	the seri	al number of	the patent docu	ıment.

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		17012813
Filing Date		2020-09-04
First Named Inventor Li et a		al.
Art Unit		2645
Examiner Name Omor		niyi Obayanju
Attorney Docket Number		NEO-PT008.8

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2020-10-01
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt					
EFS ID:	40736053				
Application Number:	17012813				
International Application Number:					
Confirmation Number:	8746				
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				
First Named Inventor/Applicant Name:	Xiaodong Li				
Customer Number:	3624				
Filer:	Andrew D. Buschmeier/Justin Slater				
Filer Authorized By:	Andrew D. Buschmeier				
Attorney Docket Number:	NEO-PT008.8				
Receipt Date:	01-OCT-2020				
Filing Date:	04-SEP-2020				
Time Stamp:	15:31:47				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
		NEO-PT008 8-	87900		
1	Transmittal Letter	IDS_TransmittalLtr_EFS-202010 01.pdf	92a4823636984dcc195f85dd5702c7c7a2ca 6147	no	3
Warnings:					

Information:							
			1064918				
2	Information Disclosure Statement (IDS) Form (SB08)	NEO-PT008_8- SB08_EFS-20201001.pdf	4af22181bb0e01bade796081f7d5a2b7254 66264	no	4		
Warnings:							
Information:							
		Total Files Size (in bytes)	11	52818			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Li et al.

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER,

MULTI-CELL WIRELESS

COMMUNICATION NETWORKS

Group: 2645

Examiner: Omoniyi Obayanju

Our File: NEO-PT008.8

Date:

October 1, 2020

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56,
Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO Form SB-08. Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

This Application is a continuation of U.S. Patent Application No. 16/440,754, filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017,

6478732.1

Applicant: Neo Wireless LLC Application No.: 17/012.813

which is a continuation of U.S. Patent Application No. 14/595,132, filed January 12, 2015, which issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a continuation of U.S. Patent Application No. 13/874,278, filed April 30, 2013, which issued as U.S. Patent No. 8,934,473 on January 13, 2015, which is a continuation of U.S. Patent Application No. 13/212,116, filed August 17, 2011, which issued as U.S. Patent No. 8,432,891 on April 30, 2013, which is a continuation of U.S. Patent Application No. 10/583,530, filed May 30, 2007, which issued as U.S. Patent No. 8,009,660 on August 30, 2011, which is a U.S. National Stage of PCT Application No. PCT/US05/01939, filed January 20, 2005, which claims the benefit of and priority to U.S. Provisional Patent Application No. 60/540,032, filed on January 29, 2004.

Applicant would like to bring the following Applications to the Examiner's attention: U.S. Patent Application No. 16/908,067, filed June 22, 2020; U.S. Patent Application No. 16/902,740, filed June 16, 2020; U.S. Patent Application No. 15/953,950, filed April 16, 2018, which issued September 8, 2020 as U.S. Patent No. 10,771,302; U.S. Patent Application No. 14/321,615, filed July 1, 2014, which issued April 17, 2018 as U.S. Patent No. 9,948,488; U.S. Patent Application No. 13/861,942, filed April 12, 2013, which issued July 1, 2014 as U.S. Patent No. 8,767,522; U.S. Patent Application No. 13/347,644, filed January 10, 2012, which issued April 23, 2013 as U.S. Patent No. 8,428,009; U.S. Patent Application No. 12/975,226, filed December 21, 2010, which issued January 10, 2012 as U.S. Patent No. 8,094,611; U.S. Patent Application No. 10/583,229, filed August 27, 2008, which

- 2 -

Applicant: Neo Wireless LLC **Application No.:** 17/012,813

issued January 4, 2011 as U.S. Patent No. 7,864,725; U.S. Provisional Patent

Application No. 60/540,586, filed January 30, 2004; and PCT Application No.

PCT/US2005/003518, filed January 27, 2005.

It is respectfully requested that the Examiner consider these documents and

return an initialed copy of the PTO Form SB-08 indicating consideration of the cited

materials.

Respectfully submitted,

Neo Wireless LLC

By /Andrew D. Buschmeier/

Andrew D. Buschmeier

Registration No. 73,179 (215) 568-6400

Volpe Koenig United Plaza, Suite 1800 30 South 17th Street Philadelphia, PA 19103

AB/PCK

Enclosure

- 3 -

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746	
³⁶²⁴ Volpe Koenig	7590 10/14/202	0	EXAM	INER	
30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103			OBAYANJU, OMONIYI		
THEADELT	111,111103		ART UNIT	PAPER NUMBER	
			2645		
			NOTIFICATION DATE	DELIVERY MODE	
			10/14/2020	ELECTRONIC	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

eoffice@volpe-koenig.com

			Application No.	Applicant(s)	
	Decisio	n Granting Request for	17/012,813	Li et al.	
		ed Examination (Track I)	Examiner	Art Unit	AIA (FITF) Status
		, ,	CHERYL P GIBSON BAYLOR	OPET	No
			•		
1.	THE REC	QUEST FILED 04 September 202	<u> 20</u> IS <u>GRANTED</u> .		
	The abov	e-identified application has met t		oritized examinati	ion
	A. B.	for an original nonprovision for an application undergoin		on (RCE).	
		.,			
2.		ve-identified application will un special status throughout its ent			
	A.	filing a petition for extension	of time to extend the ti	me period for filin	g a reply;
	B.	filing an amendment to amend	d the application to co	ntain more than	n four
		independent claims, more th	an thirty total claims ,	or a multiple dep	endent claim;
	C.	filing a request for continued	examination ;		
	D.	filing a notice of appeal;			
	E.	filing a request for suspension	of action;		
	F.	mailing of a notice of allowance	9 ;		
	G.	mailing of a final Office action;			
	Н.	completion of examination as	defined in 37 CFR 41.10	02; or	
	I.	abandonment of the application	٦.		
	Telephon	e inquiries with regard to this dec	cision should be directe	d to CHERYL GII	BSON BAYLOR at
	•	-3213. In his/her absence, calls r			
	,	,	•	•	,
		L GIBSON BAYLOR/ Il Specialist, OPET			
	J	•			

U.S. Patent and Trademark Office PTO-2298 (Rev. 02-2012)

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

3624 7590 10/27/2020 Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103 EXAMINER

OBAYANJU, OMONIYI

ART UNIT PAPER NUMBER

2645

DATE MAILED: 10/27/2020

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746

TITLE OF INVENTION: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	01/27/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s), by mail or fax, or	via EFS-Web.		
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgin	Patents			By fax, send to	o: (571)-273-288
further correspondence	including the Patent, adva	ince orders and notificatio	E and PUBLICATION FE n of maintenance fees will dence address; and/or (b)	l be mailed to the current of	correspondence address as	s indicated unless correct
3624 Volpe Koenig	7590 10/27 TH STREET, 18TH 1	lock 1 for any change of address) 7/2020 FLOOR	Fe paj ha I h Sta adı	e(s) Transmittal. This cer pers. Each additional pap we its own certificate of n Certific ereby certify that this Fe tites Postal Service with s dressed to the Mail Stop	ng can only be used for tificate cannot be used for er, such as an assignmen tailing or transmission. ate of Mailing or Transnets) Transmittal is being ufficient postage for first ISSUE FEE address above by facsimile to (571) 27.	or any other accompanyi to r formal drawing, munission deposited with the Unit class mail in an envelove, or being transmitted
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R AT	ORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020		Xiaodong Li		NEO-PT008.8	8746
			PECIFIC AND COMMO	N PILOT SUBCARRIER	S IN MULTI-CARRIER,	MULTI-
CELL WIRELESS CON APPLN. TYPE	MUNICATION NETW ENTITY STATUS	ORKS ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEI	E TOTAL FEE(S) DUE	DATE DUE
	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	01/27/2021
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	φυ.υυ	\$1200	01/2//2021
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OBAYANJU	U, OMONIYI	2645	370-330000			
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SB/47; Rev 03-09 or Number is required	more recent) attached. U	se of a Customer	3			
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PLEASE NOTE: Unl	less an assignee is identif	ied below, no assignee dat	ta will appear on the paten	t. If an assignee is identif	ied below, the document	must have been previous
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Typed or printed nan	ne			Registration No.		

Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through $01/31/2020\,$

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

DATE MAILED: 10/27/2020

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746
3624 75	90 10/27/2020		EXAM	INER
Volpe Koenig		_	OBAYANJU	, OMONIYI
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PHILADELPHIA,	· · · · · · · · · · · · · · · · · · ·		ART UNIT	PAPER NUMBER
			2645	

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 17/012,813	Applicant(s))
Notice of Allowability	Examiner OMONIYI OBAYANJU	Art Unit 2645	AIA (FITF) Status No
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313 at 1. This communication is responsive to 10/01/2020.	OR REMAINS) CLOSED in this apport of the appropriate communication GHTS. This application is subject to and MPEP 1308.	olication. If not will be mailed	included in due course. THIS
☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/ 2.☐ An election was made by the applicant in response to a rest		ho intoniow o	n :tho
restriction requirement and election have been incorporated	into this action.	ne interview o	, tile
3. The allowed claim(s) is/are 1-30. As a result of the allowed Highway program at a participating intellectual property offic http://www.uspto.gov/patents/init_events/pph/index.jsp	ce for the corresponding application	. For more info	
4. Acknowledgment is made of a claim for foreign priority unde	r 35 U.S.C. § 119(a)-(d) or (f).		
Certified copies: a) All b) Some *c) None of the: 1. Certified copies of the priority documents have 2. Certified copies of the priority documents have 3. Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). * Certified copies not received: Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE. 5. CORRECTED DRAWINGS (as "replacement sheets") must including changes required by the attached Examiner's Paper No./Mail Date Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the head of the property of the property of the property of the attached Examiner's comment regarding REQUIREMENT F	e been received in Application Nocuments have been received in this of this communication to file a reply ENT of this application. be submitted. Amendment / Comment or in the Omega according to 37 CFR 1.121(d). IOLOGICAL MATERIAL must be submitted.	national stage complying wit ffice action of ngs in the front	th the requirements (not the back) of each
Attachment(s) 1. ✓ Notice of References Cited (PTO-892) 2. ✓ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. ✓ Interview Summary (PTO-413), Paper No./Mail Date /OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	5. Examiner's Amend 6. Examiner's Statem 7. Other		

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20201021

Art Unit: 2645

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent

provisions.

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes

and/or additions be unacceptable to applicant, an amendment may be filed as provided

by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be

submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in an interview with Mr.

Andrew Buschmeier on 10/20/2020.

The application has been amended as follows:

CLAIMS:

1. (Currently Amended) An orthogonal frequency division multiple access

(OFDMA)-compatible base station that uses subcarriers in a frequency domain and time

slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

Application/Control Number: 17/012,813

Art Unit: 2645

insert first pilots of a first type cell-specific pilots onto a first plurality of

subcarriers, wherein the first pilots are cell-specific pilots; and

insert data and second pilots of a second type onto a second plurality of

subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the

second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of subcarriers

and the second plurality of subcarriers in at least one of the time slots;

wherein the second type is different than the first type and wherein the first pilots

do not interfere with the second pilots.

8. (Currently Amended) An orthogonal frequency division multiple access

(OFDMA)-compatible base station that uses subcarriers in a frequency domain and time

slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

insert first pilots of a first type cell-specific pilots onto a first plurality of

subcarriers, wherein the first pilots are cell-specific pilots; and

insert data and second pilots of a second type onto a second plurality of

subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the

second plurality of subcarriers are beam-formed; and

Page 3

the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots;

wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

15. (Currently Amended) An orthogonal frequency division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible mobile station comprising:

at least one antenna; and

a receiver; and

the at least one antenna and the receiver are configured to:

receive <u>first pilots of a first type cell-specific pilots</u> on a first plurality of subcarriers, wherein the first pilots are cell-specific pilots; and

receive second pilots of a second type and data on a second plurality of subcarriers, wherein the first plurality of subcarriers and the second plurality of subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the receiver is further configured to:

recover the data using channel estimates from at least the second pilots;

and

recover cell-specific information using the cell-specific pilots;

Art Unit: 2645

wherein the second type is different than the first type and wherein the first pilots

do not interfere with the second pilots.

23. (Currently Amended) A method performed by an orthogonal frequency

division multiple access (OFDMA)-compatible mobile station that uses subcarriers in a

frequency domain and time slots in a time domain, the method comprising:

receiving first pilots of a first type cell-specific pilots on a first plurality of

subcarriers, wherein the first pilots are cell-specific pilots;

receiving second pilots of a second type and data on a second plurality of

subcarriers, wherein the first plurality of subcarriers and the second plurality of

subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or the

second plurality of subcarriers are beam-formed;

recovering the data using channel estimates from at least the second pilots; and

recovering cell-specific information using the cell-specific pilots;

wherein the second type is different than the first type and wherein the first pilots

do not interfere with the second pilots.

Allowable Subject Matter

Claims1-30 are allowed.

The following is an examiner's statement of reasons for allowance:

Application/Control Number: 17/012,813 Page 6

Art Unit: 2645

The closest prior art of record **Li et al.** (**US Patent No. 7650152**) discussed the concept of allocating subcarriers in an orthogonal frequency division multiple access (OFDMA), which comprises allocating at least one diversity cluster of subcarriers to a first subscriber and allocating at least one coherence cluster to a second subscriber (**see fig. 1 and abs**). **Li** further discussed the concept that each base station transmits pilot symbols simultaneously, and each pilot symbol occupies the entire OFDM frequency bandwidth (**see fig. 2A-C**).

Another close prior art reference **Seki et al.** (**US Publication No. 20040190598**) discussed the concept of a multicarrier CDMA transmission system, wherein time multiplexer 52 time-multiplexes the pilot of the plurality of symbols to the front of the transmit data. The subcarrier signals S₁ to S_N are multicode multiplexed signals obtained by multiplexing results of spreading the two symbols by respective ones of the orthogonal codes (**see fig. 24, and pp0071**).

However, singly and/or in combination the prior art references does not teach the at least portion of the claim of an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising: a plurality of antennas; and a transmitter operably coupled to the plurality of antennas; the transmitter configured to: insert first pilots of a first type onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots; and insert data and second pilots of a second type onto a second plurality of subcarriers; wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and the plurality of antennas configured to

Art Unit: 2645

transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots; wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OMONIYI OBAYANJU whose telephone number is (571)270-5885. The examiner can normally be reached on M-Thur 10:30-7pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ANTHONY S ADDY can be reached on (571) 272-7795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 17/012,813

Art Unit: 2645

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see https://ppair-

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private

If you would like assistance from a USPTO Customer Service Representative or access

to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-

272-1000.

/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645 Page 8

	Application No. 17/012,813	Applicant(s) Li et al.		
Examiner-Initiated Interview Summary	Examiner OMONIYI OBAYANJU	Art Unit 2645	AIA (First Inventor to File) Status NO	Page 1 of 1

All Participants (applicant, applicants	Title	Type
representative, PTO personnel)	Title	Type
OMONIYI OBAYANJU	Primary Examiner	Telephonic
Andrew Buschmeier (73.179)	Attorney	

Date of Interview: 20 October 2020

Issues Discussed:

35 U.S.C. 112

The Examiner discussed potential clarity 112 issues in the independent claim because the claims mentioned a second pilots without clearly specifying what is the first pilots.

Proposed Amendment(s)

The Examiner also discussed and/or suggest some amendments. The Applicant made alternative amendments and agreed to enter the amendments with an Examiner's amendments, after further search and/or consideration by the Examiner.

/OMONIYI OBAYANJU/
Primary Examiner, Art Unit 2645

Applicant is reminded that a complete written statement as to the substance of the interview must be made of record in the application file. It is the applicants responsibility to provide the written statement, unless the interview was initiated by the Examiner and the Examiner has indicated that a written summary will be provided. See MPEP 713.04 Please further see:

MPEP 713.04

Title 37 Code of Federal Regulations (CFR) § 1.133 Interviews, paragraph (b) 37 CFR § 1.2 Business to be transacted in writing

Applicant recordation instructions: It is not necessary for applicant to provide a separate record of the substance of interview.

Examiner recordation instructions: Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.

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Notice of References Cited			Application/0 17/012,813	Control No.	Applicant(s)/Pate Reexamination Li et al.	ent Under		
			Examiner OMONIYI O	BAYANJU	Art Unit 2645	Page 1 of 1		
				U.S. P	ATENT DOCUM	ENTS		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Name		CPC Classification	US Classification
*	Α	US-7650152-B2	01-2010	Li; Xiao	dong		H04L25/0228	455/452.1
*	В	US-20040190598-A1	09-2004	Seki, Hi	royuki		H04B7/0669	375/141
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20201021

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

CPC - Searched*		
Symbol	Date	Examiner
H04L5/0053	10/21/2020	00
H04L5/0007	10/21/2020	00
H04L5/0048	10/21/2020	00

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classifica	tion - Searched*		
Class	Subclass	Date	Examiner

 $^{^{\}star}$ See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
See Attached East Search History	10/21/2020	00

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
Same As Above	Same As Above	10/21/2020	00
Interference Search	East Patent Claim Search	10/21/2020	00

U.S. Patent and Trademark Office

Page 1 of 1

Part of Paper No.: 20201021

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

CPC				
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H04L	/ 27	/ 2637	F	2013-01-01
H04L	/ 27	/ 2613	I	2013-01-01
H04B	/ 1	/ 707	I	2013-01-01
H04L	/ 5	/ 0007	I	2013-01-01
H04L	/ 5	/ 0028	1	2013-01-01
H04L	/ 25	/ 03834	1	2013-01-01
H04L	/ 27	/ 0008	I	2013-01-01
H04L	/ 27	/ 0012	I	2013-01-01
H04L	/ 27	1 2602	I	2013-01-01
H04W	72	/ 0446	1	2013-01-01
H04B	/ 7	/ 0413	1	2013-01-01
H04L	/ 5	/ 0048	I	2013-01-01
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H04J	/ 11	/ 005	I	2013-01-01
H04L	/ 27	/ 2646	I	2013-01-01
H04W	72	1 044	I	2013-01-01
H04W	/ 16	/ 02	I	2013-01-01
H04L	/ 27	/ 2655	A	2013-01-01
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CPC Combination Sets								
Symbol	Туре	Set	Ranking	Version				

NONE	Total Claims	s Allowed:		
(Assistant Examiner)	(Date)	30		
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	21 October 2020	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	5	

U.S. Patent and Trademark Office

Part of Paper No.: 20201021

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645
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NONE	Total Claims	s Allowed:		
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/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	21 October 2020	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	5	

U.S. Patent and Trademark Office

Part of Paper No.: 20201021

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

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NONE	Total Claims	s Allowed:		
(Assistant Examiner)	(Date)	30		
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	21 October 2020	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	5	

U.S. Patent and Trademark Office

Part of Paper No.: 20201021

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	264	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel or channel or frequency) with (cell\$1specific or cell adj specific) with pilot) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:27
L2	0	1 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:28
L3	962	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel or channel or frequency) with (cell) with pilot) and (beam\$1form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:28
L4	18	3 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 15:29
L18	6,036	370/330.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:17
L19	70,889	((H04L5/0053 OR H04L5/0007 OR H04L5/0048).CPC.)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:18
L20	74,982	18 or 19	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:18

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L21	6,724	20 and (cell\$1specific or cell adj specific) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:23
L22	4	21 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 17:23
S1	0	"16440754"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:05
S2	0	(Neo near2 Wireless).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:05
S3	144	NEOCIFIC.as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:08
S4	0	(CFIP near2 NCF).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:08
S5	16	(WALTICAL near2 SOLUTIONS).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:09
S6	684	((Li near2 Xiaodong) or (Lo near2 Titus) or (Li near2 Kemin) or (Huang near2 Haiming)).inv.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 01:11
S7	21	"10583530"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/17 09:07
S8	684	((Li near2 Xiaodong) or (Lo near2 Titus) or (Li near2 Kemin) or (Huang near2 Haiming)).inv.	US-PGPUB; USPAT; EPO; JPO;	OR	ON	2020/03/18 16:52

			DERWENT; IBM_TDB			
S9	16	(WALTICAL near2 SOLUTIONS).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:53
S10	144	NEOCIFIC.as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:53
S11	711	(S8 or S9 or S10)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:53
S12	4	S11 and (pilot and common and (carrier or band or sub\$1band or sub\$1carrier) and ratio and amplitude and phase and power and (cell\$1specific or cell adj specific)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 16:57
S13	4	(transmi\$10 near2 power) and (((common or "same" or similar or identical or equal) near2 pilot) same (ratio near2 amplitude)) and (phase near2 differen\$3) and ((sync\$15 or align\$8 or calibrat\$3 or correct\$3) near3 (carrier or band or sub\$1band or sub\$1carrier))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:36
S14	5	(transmi\$10 near2 power) and ((pilot) same (ratio near2 amplitude)) and (phase near2 differen\$3) and ((sync\$15 or align\$8 or calibrat\$3 or correct\$3) near3 (carrier or band or sub\$1band or sub\$1carrier))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:38
S15	1	S14 not S13	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:39
S16	12,669	455/522.ccls.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:42
S17	18,128	((H04W52/146 OR H04W52/34 OR H04W52/04 OR H04W52/143 OR H04W52/38).CPC.)	US-PGPUB; USPAT; EPO; JPO;	OR	ON	2020/03/18 17:44

			DERWENT; IBM_TDB			
S18	27,434	(S16 or S17)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17: 44
S19	0	S18 and ((common near2 pilot) same (amplitude near2 ratio))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:45
S20	8	((common near2 pilot) same (amplitude near2 ratio))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:46
S21	4	S20 not S14	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:46
S22	485	((common near2 pilot) same (cell\$1specific or cell adj specific))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:51
S23	22	S22 and S18	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:52
S24	4	((transmi\$10 near2 power) and (((common or "same" or similar or identical or equal) near2 pilot) same (ratio near2 amplitude)) and (phase near2 differen\$3) and ((sync\$15 or align\$8 or calibrat\$3 or correct\$3) near3 (carrier or band or sub\$1band or sub\$1carrier))).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/18 17:56
S32	9	"8934473"	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/03/23 21:27
S33	1	"16440754"	US-PGPUB; USPAT; EPO; JPO;	OR	ON	2020/10/16 22:15

			DERWENT; IBM_TDB			
S34	145	(Neocific).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 08:28
S35	73	((insert\$3 or add\$3 or combin\$8 or include or attach\$9 or embed\$8 or append\$9 or together or incorporat\$3) near4 pilot near4 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 11:56
S36	0	S35 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 11:59
S37	86	(pilot near5 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near5 data) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:01
S38	0	S37 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:02
S39	40	S37 not S35	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:06
S40	245	(pilot near5 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:09

S41	14	S40 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:10
S42	14	S41 not S37	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:10
S43	82	((insert\$3 or add\$3 or combin\$8 or include or attach\$9 or embed\$8 or append\$9 or together or incorporat\$3) near4 (pilot or sounding) near4 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:23
S44	0	S43 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:25
S45	246	(pilot near5 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:33
S46	1	S45 not S40	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:34
S47	128	((insert\$3 or add\$3 or combin\$8 or include or attach\$9 or embed\$8 or append\$9 or together or incorporat\$3) near4 data near4 (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel)) and ((orthogonal near2 frequency near2 division) or ofdma) and ((sub\$1carrier or sub adj	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:52

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		carrier or sub\$1band or sub adj band or carrier or band) near2 (beam\$1form\$3 or beam adj form\$3))				
S48	24	S47 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 12:54
S49	25	("5828650" "6175550" "7411897" "7471667" "7873009" "8102832" "8363691").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:00
S50	22	S49 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:00
S51	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot same (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:16
S52	218	((pilot) same (data) same (sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel)) and ((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near4 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:36
S53	20	S52 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:37
S54	20	S53 not S48	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 13:38
S55	151	(US-20030085040-\$ US-0021182-\$ US-0040929-\$ US-0060892-\$ US- 0072255-\$ US-0081538-\$ US- 0083789-\$ US-0085040-\$ US- 0085946-\$ US-0106513-\$ US-	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 14:00

			DERWENT; IBM_TDB			
S57	0	S56 and ((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band) near4 (beam\$1form\$3 or beam adj form\$3))	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/19 14:05
S66	33	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel) with (cell\$1specific or cell adj specific) with pilot) and (beam\$1form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:51
S67	0	S66 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:52
S68	259	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel) same (cell\$1specific or cell adj specific) same pilot) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:52
S69	0	S68 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:53
S70	48	((orthogonal near2 frequency near2 division near2 multiple near2 access) or ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel) with ((cell) near2 pilot)) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:54
S71	16	S70 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 10:55
S72	32	((orthogonal near2 frequency near2 division near2 multiple near2 access) or	US-PGPUB; USPAT; EPO;	OR	ON	2020/10/21 11:15

		ofdma) and ((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or carrier or band or sub\$1channel or sub adj channel) with (beam\$1form\$3 or beam adj form\$3)) and ((cell\$1specific or cell adj specific) near2 pilot)	JPO; DERWENT; IBM_TDB			
S73	0	S72 and (@ad<"20040129" or @pd<"20040129" or @rlad<"20040129")	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2020/10/21 11:16

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

	,,		
Application Number	Not Yet Known		
Filing Date	2020-09-04		
First Named Inventor	Li et al.		
Art Unit	Not Yet Known		
Examiner Name	Not Yet Known		
Attorney Docket Number	NEO-PT008.8		

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		5,867,478	02/1999	Baum et al.			
	*	5,909,436	06/1999	Engstrom et al.			
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/OMONIYI OBAYANJU/ 10/21/2020

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¹ Corresponds to KR 2003-0085040

² Corresponds to WO 02/073831

³ Corresponds to CN 1432245

17/012,813 - GAU: 2645

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Receipt date: 09/04/2020

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	. ,		
Application Number	Not Yet Known		
Filing Date	2020-09-04		
First Named Inventor	Li et al.		
Art Unit	Not Yet Known		
Examiner Name	Not Yet Known		
Attorney Docket Number	NEO-PT008.8		

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		2004/0085946	05/2004	Morita et al.			

⁴ Corresponds to US 2005/0243774

/OMONIYI OBAYANJU/ 10/21/2020

⁵ Corresponds to US 2002/0159430

⁶ Corresponds to CN 1465150

Receipt date: 09/04/2020 17/012,813 - GAU: 2645

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	• • •		
Application Number	Not Yet Known		
Filing Date	2020-09-04		
First Named Inventor	Li et al.		
Art Unit	Not Yet Known		
Examiner Name	Not Yet Known		
Attorney Docket Number	NEO-PT008.8		

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
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/OMONIYI OBAYANJU/ 10/21/2020

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⁷ Corresponds to WO 2003/058887

Receipt date: 09/04/2020 17/012,813 - GAU: 2645

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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	21,022,020
Application Number	Not Yet Known
Filing Date	2020-09-04
First Named Inventor	Li et al.
Art Unit	Not Yet Known
Examiner Name	Not Yet Known
Attorney Docket Number	NEO-PT008.8

EXAMINER INITIAL		DESCRIPTION (Including Author, Title, Date, Pertinent Pages, Etc.)
	*	EUROPEAN TELECOMMUNICATIONS STANDARDS INSTITUTE, Digital Video Broadcasting (DVB); Framing structure, channel coding and modulation for digital terrestrial television, ETSI EN 300 744 V1.5.1 (June 2004).
		Examination Report, European Application No. 05711777.2, Mail Date October 29, 2012, 6 pages.
		Examination Report, European Application No. 05712825.8, Mail Date August 16, 2012, 6 ·pages.
	*	IEEE Standard for Local and metropolitan area networks; Part 16: Air Interface for Fixed Broadband Wireless Access Systems — Amendment 2: Medium Access Control Modifications and Additional Physical Layer Specifications for 2–11 GHz, IEEE Std. 802.16a-2003 (April 1, 2003).
		International Search Report and Written Opinion for International Application No. PCT/US05/01939, Mail Date 4/26/2005, 7 pages.
		International Search Report and Written Opinion; International Patent Application No. PCT/US05/03518; Filed Jan. 27, 2005; Applicant: WalticalSolutions, Inc.; Mailed: May 23, 2005; 8 pages.
		Supplementary European Search Report, European Application No. 05711777, May 7, 2012, 6 pages.
		Supplementary European Search Report, European Application No. 05712825, March 26, 2012, 4 pages
		Tufvesson, F., et al. "OFDM Time and Frequency Synchronization by Spread Spectrum Pilot Technique," Communication Theory Mini-Conference, Vancouver, B.C., Canada, June 6-10, 1999, pages 115-119.

/OMONIYI OBAYANJU/ 10/21/2020

Bibliographic Data

17/012,813 Application No: O No O Yes Foreign Priority claimed: **✓** No Yes ☐Met After Allowance 35 USC 119 (a-d) conditions met: /OMONIYI OBAYANJU/ Verified and Acknowledged: Examiner's Signature Initials METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-Title: CELL WIRELESS COMMUNICATION NETWORKS

FILING or 371(c) DATE	CLASS	GROUP ART UNIT	ATTORNEY DOCKET NO.
09/04/2020	370	2645	NEO-PT008.8
RULE			

APPLICANTS

Neo Wireless LLC, Wayne, PA, UNITED STATES

INVENTORS

Xiaodong Li, Kirkland, WA, UNITED STATES

Titus Lo, Bellevue, WA, UNITED STATES

Kemin Li, Bellevue, WA, UNITED STATES

Haiming Huang, Bellevue, WA, UNITED STATES

CONTINUING DATA

This application is a CON of 16440754 06/13/2019

16440754 is a CON of 15688441 08/28/2017 PAT 10326631

15688441 is a CON of 14746676 06/22/2015 PAT 9749168

14746676 is a CON of 14595132 01/12/2015 PAT 9065614

14595132 is a CON of 13874278 04/30/2013 PAT 8934473

13874278 is a CON of 13212116 08/17/2011 PAT 8432891

13212116 is a CON of 10583530 05/30/2007 PAT 8009660

10583530 is a 371 of PCT/US05/01939 01/20/2005

PCT/US05/01939 has PRO of 60540032 01/29/2004

FOREIGN APPLICATIONS

IF REQUIRED, FOREIGN LICENSE GRANTED**

09/15/2020

STATE OR COUNTRY

UNITED STATES

ADDRESS

Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103 UNITED STATES

FILING FEE RECEIVED

\$7,320

Receipt date: 10/01/2020

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)

Approved for use through 11/30/2020. OMB 0651-0031

mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE	Application Number		17012813	
	Filing Date		2020-09-04	
	First Named Inventor Li et al.		al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
(Not lot submission under of or N 1.55)	Examiner Name	Omoni	iyi Obayanju	
	Attorney Docket Number		NEO-PT008.8	

				U.S.F	PATENTS		Remove
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Releva	Columns,Lines where nt Passages or Relevant Appear
	1	5828650	A	1998-10-27	Malkamaki et al.		
	2	6175550	B1	2001-01-16	van Nee		
	3	7411897	B2	2008-08-12	Yoo et al.		
	4	7471667	B2	2008-12-30	Hirsch et al.		
	5	7873009	B2	2011-01-18	Larsson et al.		
	6	B102832	B2	2012-01-24	Agrawal et al.		
	7	8363691	B2	2013-01-29	Hasegawa et al.		
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Receipt date: 10/01/2020 17/012,813 - GAU: 2645

	Application Number		17012813	
INFORMATION BIOOL COURT	Filing Date		2020-09-04	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	First Named Inventor Li et a		t al.	
	Art Unit		2645	
(Not lot Submission under or or N 1.55)	Examiner Name	Omor	niyi Obayanju	
	Attorney Docket Number	er	NEO-PT008.8	

Examiner Initial*	Cite N	lo	Publication Number	Kind Code ¹			of cited Document				Pages,Columns,Lines wh Relevant Passages or Re Figures Appear			
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Receipt date: 10/01/2020 17/012,813 - GAU: 2645

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(Not for submission under 37 CFR 1.99)

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Application Number		17012813
Filing Date		2020-09-04
First Named Inventor Li et a		al.
Art Unit		2645
Examiner Name Omor		iiyi Obayanju
Attorney Docket Number		NEO-PT008.8

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2020-10-01
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 10/01/2020 17/012,813 - GAU: 2645

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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 request involving an individual, to whom the record pertains, when the individual has requested assistance from the
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 may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

EAST Search History

EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L16	1	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel)) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane) and (interfere or interference)).clm.	USPAT	OR	ON	2020/10/21 17:00
L17	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel)) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane) and (interfere or interference) and (cell\$1specific or cell adj specific)).clm.	USPAT	OR	ON	2020/10/21 17:02
S58	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot same (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:17
S59	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:26
S60	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:28
S61	1	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2020/10/19 13:28
S62	1	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2	USPAT	OR	ON	2020/10/19 13:31

		frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.				
S63	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) near2 different) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.	USPAT	OR	ON	2020/10/19 13:51
S64	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) near5 different) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.	USPAT	OR	ON	2020/10/19 13:51

 $10/21/2020\ 5:32:52\ PM \\ C:\Users\oobayanju\Documents\EAST\Workspaces\17012813.wsp$

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Neo Wireless LLC

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND

APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-

CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Group: 2645

Examiner: OBAYANJU, OMONIYI

Our File: NEO-PT008.8

Date: November 10, 2020

AMENDMENT AFTER ALLOWANCE PURSUANT TO 37 C.F.R. §1.312

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This amendment is submitted further to the Notice of Allowance dated October

27, 2020 to correct a minor non-substantive formality.

Please amend the application without prejudice or disclaimer as follows:

Electronic Acl	knowledgement Receipt
EFS ID:	41078970
Application Number:	17012813
International Application Number:	
Confirmation Number:	8746
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS
First Named Inventor/Applicant Name:	Xiaodong Li
Customer Number:	3624
Filer:	Andrew D. Buschmeier/Justin Slater
Filer Authorized By:	Andrew D. Buschmeier
Attorney Docket Number:	NEO-PT008.8
Receipt Date:	10-NOV-2020
Filing Date:	04-SEP-2020
Time Stamp:	12:24:20
Application Type:	Utility under 35 USC 111(a)

Payment information:

Submitted with Payment	no
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		NEO- PT008_8-1_312Amendment_E FS-20201110.pdf	130050 f0d6133e9b4aef30c0da2529e7e4d907e8d 76128	yes	11

	Multipart Description/PDF files in .zip description						
	Document Description	Start	End				
	Applicant Arguments/Remarks Made in an Amendment	10	11				
	Claims	2	9				
	Amendment after Notice of Allowance (Rule 312)	1	1				
Warnings:			•				
Information:	:						
	Total Files Size (in bytes):	1	30050				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Application No.: 17/012,813

REMARKS

After the foregoing Amendment, claims 1-30 are currently pending in this

application. Claim 8 is amended with respect to claim 8 as included in the Examiner's

Amendment. Claim 8 as included in the Examiner's amendment did not accurately

reflect changes to claim 8 as filed, rather the language of claim 8 as listed in the

Examiner's Amendment was actually the language of claim 1. The amendments to

claim 8 herein are to correctly fix claim 8 to reflect the method claim as originally

filed along with the incorporation of similar changes as were made to the other

independent claims in the Examiner's Amendment.

Examiner Interview

Applicant thanks the Examiner for the telephonic interview with the

Applicant's representative on October 20, 2020. During the telephonic interview,

amendments to the independent claims were discussed. Claim 8 was unintentionally

overlooked as a reproduction of claim 1. The Applicant has corrected the claim via

the foregoing Amendment.

- 10 -

6545248.1

Ford Motor Co. Exhibit 1002 Page 125

Application No.: 17/012,813

Conclusion

Applicant submits that no new matter has been introduced into the application

by this Amendment, and respectfully requests that this Amendment be entered

pursuant to 37 C.F.R. §1.312 and that the application continues to grant.

If the Examiner believes that any additional minor formal matters need to be

addressed, the Examiner is invited to contact the undersigned by telephone at the

Examiner's convenience.

Respectfully submitted,

Neo Wireless LLC

By_/Andrew D. Buschmeier/__

Andrew D. Buschmeier Registration No. 73,179

Volpe Koenig

30 South 17th Street

Philadelphia, PA 19103-4009 Telephone: (215) 568-6400

Facsimile: (215) 568-6499

AB/jrrs

- 11 -

Application No.: 17/012,813

Amendments to the Claims:

This listing of the claims will replace all prior versions of the claims in the

application:

1. (previously presented) An orthogonal frequency division multiple

access (OFDMA)-compatible base station that uses subcarriers in a frequency

domain and time slots in a time domain, the OFDMA-compatible base station

comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

insert first pilots of a first type onto a first plurality of subcarriers,

wherein the first pilots are cell-specific pilots; and

insert data and second pilots of a second type onto a second plurality of

subcarriers:

wherein at least some subcarriers of the first plurality of subcarriers or

the second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of

subcarriers and the second plurality of subcarriers in at least one of the time slots;

wherein the second type is different than the first type and wherein the first

pilots do not interfere with the second pilots.

2. (original) The OFDMA-compatible base station of claim 1 wherein all

subcarriers of the first plurality of subcarriers are different than all subcarriers of

the second plurality of subcarriers.

3. (original) The OFDMA-compatible base station of claim 1 wherein the

second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier

Application No.: 17/012,813

spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of

subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from

the mth subcarrier by 19 subcarriers.

4. (original) The OFDMA-compatible base station of claim 1 wherein

each cell-specific pilot of the cell-specific pilots is unique to a respective cell.

5. (original) The OFDMA-compatible base station of claim 1 wherein the

first plurality of subcarriers are not aligned in frequency with subcarriers of at least

another cell onto which respective cell-specific pilots are inserted.

6. (original) The OFDMA-compatible base station of claim 1 wherein the

second plurality of subcarriers are beam-formed.

7. (original) The OFDMA-compatible base station of claim 1 wherein the

cell-specific pilots are used to convey cell-specific information.

8. (currently amended) An orthogonal frequency division multiple access

(OFDMA) compatible base station that uses subcarriers in a frequency domain and

time slots in a time domain, the OFDMA-compatible base station comprising:

a plurality of antennas; and

a transmitter operably coupled to the plurality of antennas;

the transmitter configured to:

insert first pilots of a first type onto a first plurality of subcarriers,

wherein the first pilots are cell specific pilots; and

insert data and second pilots of a second type onto a second plurality of

subcarriers;

- 3 -

Applicant: Neo Wireless LLC
Application No.: 17/012.813

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots;

A method performed by an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the method comprising:

inserting, by the OFDMA-compatible base station, first pilots of a first type onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots;

inserting, by the OFDMA-compatible base station, data and second pilots of a second type onto a second plurality of subcarriers;

wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and

transmitting, by the OFDMA-compatible base station, the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots using a plurality of antennas;

wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

- 9. (original) The method of claim 8 wherein all subcarriers of the first plurality of subcarriers are different than all subcarriers of the second plurality of subcarriers.
- 10. (original) The method of claim 8 wherein the second plurality of subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19 subcarriers.

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Application No.: 17/012,813

11. (original) The method of claim 8 wherein each cell-specific pilot of the

cell-specific pilots is unique to a respective cell.

12. (original) The method of claim 8 wherein the first plurality of

subcarriers are not aligned in frequency with subcarriers of at least another cell

onto which respective cell-specific pilots are inserted.

13. (original) The method of claim 8 wherein the second plurality of

subcarriers are beam-formed.

14. (original) The method of claim 8 wherein the cell-specific pilots are

used to convey cell-specific information.

15. (previously presented) An orthogonal frequency division multiple

access (OFDMA)-compatible mobile station that uses subcarriers in a frequency

domain and time slots in a time domain, the OFDMA-compatible mobile station

comprising:

at least one antenna; and

a receiver; and

the at least one antenna and the receiver are configured to:

receive first pilots of a first type on a first plurality of subcarriers,

wherein the first pilots are cell-specific pilots; and

receive second pilots of a second type and data on a second plurality of

subcarriers, wherein the first plurality of subcarriers and the second plurality of

subcarriers are received in at least one of the time slots;

wherein at least some subcarriers of the first plurality of subcarriers or

the second plurality of subcarriers are beam-formed; and

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Applicant: Neo Wireless LLC
Application No.: 17/012,813

the receiver is further configured to:

recover the data using channel estimates from at least the second

pilots; and

recover cell-specific information using the cell-specific pilots;

wherein the second type is different than the first type and wherein the first

pilots do not interfere with the second pilots.

16. (original) The OFDMA-compatible mobile station of claim 15 wherein

all subcarriers of the first plurality of subcarriers are different than all subcarriers

of the second plurality of subcarriers.

17. (original) The OFDMA-compatible mobile station of claim 15 wherein

the second plurality of subcarriers includes an nth subcarrier and an n+18

subcarrier spaced apart from the nth subcarrier by 17 subcarriers and the first

plurality of subcarriers includes an mth subcarrier and an m+20 subcarrier spaced

apart from the mth subcarrier by 19 subcarriers.

18. (original) The OFDMA-compatible mobile station of claim 15 wherein

each cell-specific pilot of the cell-specific pilots is unique to a respective cell.

19. (original) The OFDMA-compatible mobile station of claim 15 wherein

the first plurality of subcarriers are transmitted at a same time as the second

plurality of subcarriers.

20. (original) The OFDMA-compatible mobile station of claim 15 wherein

the second plurality of subcarriers are beam-formed.

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Applicant: Neo Wireless LLC Application No.: 17/012,813

21. (original) The OFDMA-compatible mobile station of claim 15 wherein the first plurality of subcarriers are not aligned in frequency with subcarriers of at

least another cell onto which respective cell-specific pilots are inserted.

22. (original) The OFDMA-compatible mobile station of claim 15 wherein

the receiver is further configured to extract cell-specific information from the cell-

specific pilots.

23. (previously presented) A method performed by an orthogonal

frequency division multiple access (OFDMA)-compatible mobile station that uses

subcarriers in a frequency domain and time slots in a time domain, the method

comprising:

receiving first pilots of a first type on a first plurality of subcarriers, wherein

the first pilots are cell-specific pilots;

receiving second pilots of a second type and data on a second plurality of

subcarriers, wherein the first plurality of subcarriers and the second plurality of

subcarriers are received in at least one of the time slots:

wherein at least some subcarriers of the first plurality of subcarriers or the

second plurality of subcarriers are beam-formed;

recovering the data using channel estimates from at least the second pilots;

and

recovering cell-specific information using the cell-specific pilots;

wherein the second type is different than the first type and wherein the first

pilots do not interfere with the second pilots.

24. (original) The method of claim 23 wherein all subcarriers of the first

plurality of subcarriers are different than all subcarriers of the second plurality of

subcarriers.

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Application No.: 17/012,813

25. (original) The method of claim 23 wherein the second plurality of

subcarriers includes an nth subcarrier and an n+18 subcarrier spaced apart from the

nth subcarrier by 17 subcarriers and the first plurality of subcarriers includes an mth

subcarrier and an m+20 subcarrier spaced apart from the mth subcarrier by 19

subcarriers.

26. (original) The method of claim 23 wherein each cell-specific pilot of the

cell-specific pilots is unique to a respective cell.

27. (original) The method of claim 23 wherein the first plurality of

subcarriers are transmitted at a same time as the second plurality of subcarriers.

28. (original) The method of claim 23 wherein the second plurality of

subcarriers are beam-formed.

29. (original) The method of claim 23 wherein the first plurality of

subcarriers are not aligned in frequency with subcarriers of at least another cell onto

which respective cell-specific pilots are inserted.

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30. (original) The method of claim 23 further comprising extracting cell-specific information from the cell-specific pilots.

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FILING DATE FIRST NAMED INVENTOR		CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746
3624 Volpe Koenig	7590 12/11/202	00	EXAM	IINER
	ГН STREET, 18TH FL ПА. РА 19103	OBAYANJU, OMONIYI		
	,		ART UNIT	PAPER NUMBER
			2645	
			NOTIFICATION DATE	DELIVERY MODE
			12/11/2020	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

eoffice@volpe-koenig.com

		Application No.	Applican	t(s)					
		17/012,813	Li et al.						
Resp	onse to Rule 312 Communication	Examiner	Art Unit	AIA (FITF) Status					
		OMONIYI OBAYANJU	2645	No					
The MAILING DATE of this communication appears on the cover sheet with the correspondence address									
1. ☑ The ar a) ☐	nendment filed on <u>10 November 2020</u> under 37 CFR entered.	1.312 has been considered, and	has been:						
b) 🗹	entered as directed to matters of form not affecting t	he scope of the invention.							
c) 🗌	disapproved because the amendment was filed after Any amendment filed after the date the issue fee and the required fee to withdraw the application f	is paid must be accompanied by	a petition ι	under 37 CFR 1.313(c)(1)					
d) 🗌	disapproved. See explanation below.								
e) 🗌	entered in part. See explanation below.								
/OMONIY	I OBAYANJU/								
	xaminer, Art Unit 2645								

U.S. Patent and Trademark Office PTOL-271 (Rev. 04-01)

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Neo Wireless LLC

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND

APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-

CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Group: 2645

Examiner: OBAYANJU, OMONIYI

Our File: NEO-PT008.8

Date: November 10, 2020

AMENDMENT AFTER ALLOWANCE PURSUANT TO 37 C.F.R. §1.312

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

This amendment is submitted further to the Notice of Allowance dated October 27, 2020 to correct a minor non-substantive formality.

Please amend the application without prejudice or disclaimer as follows:



Volpe Koenig

3624

United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEFARIMENT OF COMMUNICATION OF COMMUNICATION OF COMMUNICATION OF PATENTS
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NUMBER 17/012,813

PHILADELPHIA, PA 19103

FILING OR 371(C) DATE 09/04/2020

FIRST NAMED APPLICANT Xiaodong Li

ATTY. DOCKET NO./TITLE NEO-PT008.8

CONFIRMATION NO. 8746

PUBLICATION NOTICE

Title:METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Publication No.US-2020-0403838-A1 Publication Date: 12/24/2020

30 SOUTH 17TH STREET, 18TH FLOOR

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seg. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

page 1 of 1

PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s), by mail or fax, or v	ia EFS-Web.		
By mail, send to:	Mail Stop ISSUE Commissioner for P.O. Box 1450 Alexandria, Virgii	Patents			By fax, send to	: (571)-273-2885
further correspondence i	form should be used for trincluding the Patent, adva	ansmitting the ISSUE FEI	E and PUBLICATION FEE n of maintenance fees will l dence address; and/or (b) in	be mailed to the current co	rrespondence address as	indicated unless corrected
	DENCE ADDRESS (Note: Use Bi		Not Fee pape	e: A certificate of mailing (s) Transmittal. This certifiers. Each additional paper e its own certificate of ma	g can only be used for of icate cannot be used for such as an assignment	lomestic mailings of the any other accompanying
3624 Volpe Koenig 30 SOUTH 17T PHILADELPHI	H STREET, 18TH I	7/2020 FLOOR		ж .е.м.		
			El	ectronically file	ed on January	27, 2021
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR	ATTO	RNEY DOCKET NO.	CONFIRMATION NO.
			Xiaodong Li PECIFIC AND COMMON		NEO-PT008.8 IN MULTI-CARRIER, M	8746 MULTI-
APPLN. TYPE	AMUNICATION NETW ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	01/27/2021
EXAM	MINER	ART UNIT	CLASS-SUBCLASS	1		
	J, OMONIYI	2645	370-330000	J		
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).			2. For printing on the p (1) The names of up to or agents OR, alternati	3 registered patent attorn		oenig
Address form PTO/S "Fee Address" inc SB/47; Rev 03-09 or Number is required	lication (or "Fee Address more recent) attached. U	" Indication form PTO/ se of a Customer	registered attorney or a	agent) and the names of u rneys or agents. If no nam	p to 2	
			THE PATENT (print or type			
PLEASE NOTE: Unl recorded, or filed for (A) NAME OF ASSI	recordation, as set forth i	ed below, no assignee dat n 37 CFR 3.11 and 37 CF	a will appear on the patent. FR 3.81(a). Completion of (B) RESIDENCE: (CITY	If an assignee is identifie this form is NOT a substite of COUNT	tute for filing an assignm	nust have been previously ent.
Neo Wirele	ess LLC		Wayne, PA			
Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent): 🗖 In	ndividual 🗹 Corporation	or other private group en	tity 🗖 Government
4b. Method of Payment: Electronic Payme	— (Please first reapply any nt via EFS-Web			credit card (Attach form	*	
☐ Applicant certifyi ☐ Applicant assertir ☐ Applicant changin	ntus (from status indicate ng micro entity status. Se ng small entity status. See ng to regular undiscounte	e 37 CFR 1.29 37 CFR 1.27 d fee status.	fee payment in the micro NOTE: If the application to be a notification of los NOTE: Checking this boentity status, as applicable		accepted at the risk of ap- tro entity status, checking ntity status. fication of loss of entitle	oplication abandonment. g this box will be taken
			3. See 37 CFR 1.4 for signs			
	Andrew D. B. Andrew D. B. B.			Date January Registration No. 7		
Typed of printed hair				100613010111101		

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

Electronic Patent Application Fee Transmittal							
Application Number:	170	17012813					
Filing Date:	04-	-Sep-2020					
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS						
First Named Inventor/Applicant Name:	Xiaodong Li						
Filer:	An	drew D. Buschmeie	r/Margaret Fer	ello			
Attorney Docket Number:	NE	O-PT008.8					
Filed as Large Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
UTILITY APPL ISSUE FEE		1501	1	1200	1200		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
Total in USD (\$)				1200

Electronic Acknowledgement Receipt					
EFS ID:	41761229				
Application Number:	17012813				
International Application Number:					
Confirmation Number:	8746				
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS				
First Named Inventor/Applicant Name:	Xiaodong Li				
Customer Number:	3624				
Filer:	Andrew D. Buschmeier/Margaret Ferello				
Filer Authorized By:	Andrew D. Buschmeier				
Attorney Docket Number:	NEO-PT008.8				
Receipt Date:	27-JAN-2021				
Filing Date:	04-SEP-2020				
Time Stamp:	17:29:33				
Application Type:	Utility under 35 USC 111(a)				

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1200
RAM confirmation Number	E20211QH30047410
Deposit Account	220493
Authorized User	Margaret Ferello

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.17 (Patent application and reexamination processing fees)

File Listing	:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			153631		
1	Issue Fee Payment (PTO-85B)	NEO_PT008_8_Issue_Fee_Form _PTOL_85.pdf	5661da3a9b6fa42057b06d3cb26aa2d7a82 a6874	no	1
Warnings:		-1	,		
Information:					
			30774		
2	Fee Worksheet (SB06)	fee-info.pdf	96bbaee98a14b1b37964e03a2e88b375de 8dac34	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	18	34405	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc description: Request for Continued Examination (RCE)

Request for Continued Examination (RCE)

Approved for use through 11/30/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQI	UEST FO		D EXAMINATIO	DN(RCE)TRANSMITTA -Web)	AL		
Application Number	17012813	Filing Date	2020-09-04	Docket Number (if applicable)	NEO-PT008.8	Art Unit	2645	
First Named Inventor	Li et al.			Examiner Name	Omoniyi Obayanju		ı	
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV							
		S	UBMISSION REQ	UIRED UNDER 37	7 CFR 1.114			
in which they	were filed unless	applicant ins		applicant does not wi	nents enclosed with the RCE value is to have any previously filed			
	y submitted. If a fi on even if this box			any amendments file	ed after the final Office action r	nay be cor	nsidered as a	
☐ Co	nsider the argume	ents in the A	appeal Brief or Reply	Brief previously filed	d on			
Oth	ner 							
≭ Enclosed								
☐ An	nendment/Reply							
🗶 Infe	ormation Disclosu	re Statemer	nt (IDS)					
Aff	idavit(s)/ Declarat	ion(s)						
x Ot	her Petition und	der 37 CFR	1.313(c)(2) to Withd	raw from Issue after	Payment of the Issue Fee and	l Petition F	ee	
			MIS	CELLANEOUS				
			ntified application is d 3 months; Fee und		CFR 1.103(c) for a period of r quired)	months –		
Other							_	
FEES								
The Dire	ctor is hereby aut		s required by 37 CF harge any underpay		RCE is filed. it any overpayments, to			
	;	SIGNATUF	RE OF APPLICAN	T, ATTORNEY, OF	R AGENT REQUIRED			
	Practitioner Sign ant Signature	ature						

Doc description: Request for Continued Examination (RCE)

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	Signature of Registered U.S. Patent Practitioner						
Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2021-02-08				
Name	Andrew D. Buschmeier	Registration Number	73179				

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

NEO-PT008.8

February 8, 2021

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Our File:

Date:

In the PATENT APPLICATION of:

Li et al.

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER,

MULTI-CELL WIRELESS

COMMUNICATION NETWORKS

Group: 2645

Examiner: Omoniyi Obayanju

INFORMATION DISCLOSURE STATEMENT (IDS)

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Further to Applicant's Duty of Disclosure pursuant to 37 C.F.R. §1.56, Applicant wishes to bring to the Examiner's attention the material cited on the enclosed PTO Form SB-08. Pursuant to 37 C.F.R. §1.98(a)(2)(ii), copies of the cited U.S. publications and/or patent documents have not been included.

This Application is a continuation of U.S. Patent Application No. 16/440,754, filed June 13, 2019, which is a continuation of U.S. Patent Application No. 15/688,441, filed August 28, 2017, which issued as U.S. Patent No. 10,326,631 on June 18, 2019, which is a continuation of U.S. Patent Application No. 14/746,676, filed June 22, 2015, which issued as U.S. Patent No. 9,749,168 on August 29, 2017, which is a 6666603.1

Applicant: Neo Wireless LLC

Application No.: 17/012,813

continuation of U.S. Patent Application No. 14/595,132, filed January 12, 2015, which

issued as U.S. Patent No. 9,065,614 on June 23, 2015, which is a continuation of U.S.

Patent Application No. 13/874,278, filed April 30, 2013, which issued as U.S. Patent

No. 8,934,473 on January 13, 2015, which is a continuation of U.S. Patent Application

No. 13/212,116, filed August 17, 2011, which issued as U.S. Patent No. 8,432,891 on

April 30, 2013, which is a continuation of U.S. Patent Application No. 10/583,530,

filed May 30, 2007, which issued as U.S. Patent No. 8,009,660 on August 30, 2011,

which is a U.S. National Stage of PCT Application No. PCT/US05/01939, filed

January 20, 2005, which claims the benefit of and priority to U.S. Provisional Patent

Application No. 60/540,032, filed on January 29, 2004.

Applicant would like to bring the following Applications to the Examiner's

attention: U.S. Patent Application No. 16/908,067, filed June 22, 2020; U.S. Patent

Application No. 16/902,740, filed June 16, 2020; U.S. Patent Application No.

15/953,950, filed April 16, 2018, which issued September 8, 2020 as U.S. Patent No.

10,771,302; U.S. Patent Application No. 14/321,615, filed July 1, 2014, which issued

April 17, 2018 as U.S. Patent No. 9,948,488; U.S. Patent Application No. 13/861,942,

filed April 12, 2013, which issued July 1, 2014 as U.S. Patent No. 8,767,522; U.S.

Patent Application No. 13/347,644, filed January 10, 2012, which issued April 23,

2013 as U.S. Patent No. 8,428,009; U.S. Patent Application No. 12/975,226, filed

December 21, 2010, which issued January 10, 2012 as U.S. Patent No. 8,094,611; U.S.

Patent Application No. 10/583,229, filed August 27, 2008, which issued January 4,

- 2 -

6666603.1

Ford Motor Co. Exhibit 1002 Page 148

Applicant: Neo Wireless LLC Application No.: 17/012,813

2011 as U.S. Patent No. 7,864,725; U.S. Provisional Patent Application No. 60/540,586, filed January 30, 2004; and PCT Application No. PCT/US2005/003518, filed January 27, 2005.

It is respectfully requested that the Examiner consider these documents and return an initialed copy of the PTO Form SB-08 indicating consideration of the cited materials.

Respectfully submitted,

Neo Wireless LLC

By /Andrew D. Buschmeier/ Andrew D. Buschmeier Registration No. 73,179 (215) 568-6400

Volpe Koenig United Plaza, Suite 1800 30 South 17th Street Philadelphia, PA 19103

AB/PCK/pf Enclosure PTO/SB/08a (02-18)

Approved for use through 11/30/2020. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		17012813	
	Filing Date		2020-09-04	
INFORMATION DISCLOSURE	First Named Inventor Li et al.		al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
(Not for Submission under or of K 1.00)	Examiner Name	Omon	iyi Obayanju	
	Attorney Docket Number	er	NEO-PT008.8	

	U.S.PATENTS Remove							
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	of cited Document Relevan		Columns,Lines where nt Passages or Relevant s Appear	
	1	6188717	B1	2001-02-13	Kaiser et al.			
	2	6882619	B1	2005-04-19	Gerakoulis	Gerakoulis		
	3	7133352	B1	2006-11-07	Hadad			
	4	7639660	B2	2009-12-29	Kim et al.			
	5	7876716	B2	2011-01-25	Sudo			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		17012813
Filing Date		2020-09-04
First Named Inventor Li et a		al.
Art Unit		2645
Examiner Name Omor		niyi Obayanju
Attorney Docket Number		NEO-PT008.8

Examiner Initial*	Cite No	Foreig Numb	gn Document per ³	Country Code ² İ	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1								
If you wish	h to ac	ibba bk	tional Foreign Pa	atent Document	citation	information pl	ease click the Add buttor	Add	
				NON-PATE	NT LITE	RATURE DO	CUMENTS	Remove	
Examiner Initials*	Cite No	(book	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.						
	1								
If you wish	h to ac	ibba bk	tional non-paten	t literature docur	ment cit	ation informati	ion please click the Add k	outton Add	
				EX	AMINE	R SIGNATUR	E		
Examiner	Signa	ture					Date Considered		
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.									
¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.									

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		17012813	
Filing Date		2020-09-04	
First Named Inventor Li et a		al.	
Art Unit		2645	
Examiner Name Omor		niyi Obayanju	
Attorney Docket Number		NEO-PT008.8	

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2021-02-08
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc Code: PET.AUTO Document Description: Petition auton	natically granted by EFS-Web	PTO/SB/140 U.S. Patent and Trademark Office Department of Commerce				
Electronic Petition Request	PETITION TO WITHDRAW AN APPL THE ISSUE FEE UNDER 37 CFR 1.31	ICATION FROM ISSUE AFTER PAYMENT OF 3(c)				
Application Number	17012813					
Filing Date	04-Sep-2020					
First Named Inventor	Xiaodong Li					
Art Unit	2645					
Examiner Name	OMONIYI OBAYANJU					
Attorney Docket Number	NEO-PT008.8					
Title	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS					
withdraw an application from issue, showing of good and sufficient reasons. APPLICANT HEREBY PETITIONS TO WAR A grantable petition requires the foll (1) Petition fee; and (2) One of the following reasons:	applicant must file a petition under this se ons why withdrawal of the application fror ITHDRAW THIS APPLICATION FROM ISSUE owing items:	·				
are unpatentable, an amendment to claims to be patentable; (b) Consideration of a request for con	such claim or claims, and an explanation antinued examination in compliance with §	as to how the amendment causes such claim or in 1.114 (for a utility or plant application only); or be in favor of a continuing application, but not a				
Petition Fee						
Small Entity						
Micro Entity						
Regular Undiscounted						
Reason for withdrawal from issue						

One or more claims are unpater	One or more claims are unpatentable						
 Consideration of a request for consideration of a request for consideration. 	Consideration of a request for continued examination (RCE) (List of Required Documents and Fees)						
Applicant hereby expressly abai have power of attorney pursuar	ndons the instant application (any attorney/agent signing for this reason must nt to 37 CFR 1.32(b)).						
RCE request, submission, and fee.							
	I certify, in accordance with 37 CFR 1.4(d)(4) that: The RCE request ,submission, and fee have already been filed in the above-identified application on						
Are attached.							
THIS PORTION MUST BE COMPLETE	D BY THE SIGNATORY OR SIGNATORIES						
I certify, in accordance with 37 CFR	1.4(d)(4) that I am:						
An attorney or agent registered in this application.	to practice before the Patent and Trademark Office who has been given power of attorney						
An attorney or agent registered	to practice before the Patent and Trademark Office, acting in a representative capacity.						
A sole inventor							
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application							
A joint inventor; all of whom are signing this e-petition							
Signature	/Andrew D. Buschmeier/						
Name	Andrew D. Buschmeier						
Registration Number	73179						

Electronic Patent Application Fee Transmittal					
Application Number:	17012813				
Filing Date:	Filing Date: 04-Sep-2020				
Title of Invention: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMI SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMINETWORKS					
First Named Inventor/Applicant Name:	Xiaodong Li				
Filer: Andrew D. Buschmeier/Margaret Ferello					
Attorney Docket Number:	NEO-PT008.8				
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:					
PETITION FEE- 37 CFR 1.17(H) (GROUP III)	1464	1	140	140	
RCE- 1ST REQUEST	1801	1	1360	1360	
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1500



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: February 8, 2021

In re Application of:

DECISION ON PETITION

Xiaodong Li

UNDER CFR 1.313(c)(2)

Application No: 17012813

Filed: 04-Sep-2020 Attorney Docket No: NEO-PT008.8

This is an electronic decision on the petition under 37 CFR 1.313(c)(2), filed February 8, 2021 , to withdraw the above-identified application from issue after payment of the issue fee.

The petition is **GRANTED.**

The above-identified application is withdrawn from issue for consideration of a submission under 37 CFR 1.114 (request for continued examination). See 37 CFR 1.313(c)(2).

Petitioner is advised that the issue fee paid in this application cannot be refunded. If, however, this application is again allowed, petitioner may request that it be applied towards the issue fee required by the new Notice of Allowance.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

This application file is being referred to Technology Center AU 2645 for processing of the request for continuing examination under 37 CFR 1.114.

Office of Petitions

Electronic Acknowledgement Receipt				
EFS ID:	41866777			
Application Number:	17012813			
International Application Number:				
Confirmation Number:	8746			
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			
First Named Inventor/Applicant Name:	Xiaodong Li			
Customer Number:	3624			
Filer:	Andrew D. Buschmeier/Margaret Ferello			
Filer Authorized By:	Andrew D. Buschmeier			
Attorney Docket Number:	NEO-PT008.8			
Receipt Date:	08-FEB-2021			
Filing Date:	04-SEP-2020			
Time Stamp:	17:31:47			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1500
RAM confirmation Number	E202128H31424785
Deposit Account	220493
Authorized User	Margaret Ferello

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

37 CFR 1.17 (Patent application and reexamination processing fees)

File Listing	a:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
Number			46195	1 4117.219	(п арри)
1	Request for Continued Examination (RCE)	NEO_PT008_8_RCE.pdf	3d6f0518552d55db613bca1f63fcfa223b1cf 04e	no	3
Warnings:					
This is not a USI	PTO supplied RCE SB30 form.				
Information:					
			87635		
2	Transmittal Letter	NEO_PT008_8_IDS_Transmittal _Letter.pdf	c8a7be0b373d94e5752aece8d58461d0dcf add67	no	3
Warnings:					
Information:					
			1092072		
3	Information Disclosure Statement (IDS) Form (SB08)	NEO_PT008_8_IDS_Form_SB_0 8_efs.pdf	2c0bb2f8ab0e49d5aec8bf92dc13af475379 502f	no	4
Warnings:					
Information:					
			31700		
4	Petition automatically granted by EFS	petition-request.pdf	467c1f92043de553f127fc1023de3021e379 cb16	no	2
Warnings:					
Information:					
			32368		
5	Fee Worksheet (SB06)	fee-info.pdf	e73376179353cdf8236f2438848ddaa6d41 91340	no	2
Warnings:	-				
Information:					
		Total Files Size (in bytes)	12	89970	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

3624 7590 02/19/2021 Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103 EXAMINER
OBAYANJU, OMONIYI

ART UNIT PAPER NUMBER
2645

DATE MAILED: 02/19/2021

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746

TITLE OF INVENTION: METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00	\$0	05/19/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send	this form, together	with applicable fee(s	s), by mail or fax, or v	via EFS-Web.		
By mail, send to:	Mail Stop ISSUE				By fax, send t	to: (571)-273-2885
	Commissioner for P.O. Box 1450	Patents				
	Alexandria, Virgi	nia 22313-1450				
						leted where appropriate. Al
			on of maintenance fees will dence address; and/or (b) i			s indicated unless corrected enance fee notifications.
			No	te: A certificate of mailir	ng can only be used for	r domestic mailings of the or any other accompanying
CURRENT CORRESPONI	DENCE ADDRESS (Note: Use B	lock 1 for any change of address)	par		er, such as an assignmer	nt or formal drawing, mus
3624		9/2021			te of Mailing or Transi	
Volpe Koenig		ET OOD	Sta	ites Postal Service with su	ufficient postage for firs	deposited with the United t class mail in an envelope
30 SOUTH 1/1 PHILADELPHI	TH STREET, 18TH	FLOOR	ado the	lressed to the Mail Stop I USPTO via EFS-Web or	SSUE FEE address abo by facsimile to (571) 27	ove, or being transmitted to 73-2885, on the date below
THICADELITI	IA, I A 19103					(Typed or printed name
						(Signature
						(Date
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R ATT	ORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020		Xiaodong Li		NEO-PT008.8	8746
TITLE OF INVENTION	V: METHOD AND APPA	RATUS USING CELL-S	PECIFIC AND COMMON	N PILOT SUBCARRIERS	S IN MULTI-CARRIER,	, MULTI-
CELL WIRELESS CON APPLN. TYPE	MUNICATION NETW ENTITY STATUS	ORKS ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
	L				<u> </u>	
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00	\$0	05/19/2021
				_		
EXA	MINER	ART UNIT	CLASS-SUBCLASS	_		
ОВАУАПЛ	U, OMONIYI	2645	370-330000			
	lence address or indication	n of "Fee Address" (37	2. For printing on the			
CFR 1.363).			(1) The names of up to or agents OR, alternat	to 3 registered patent attorively,	rneys 1	
Address form PTO/S	ondence address (or Cha B/122) attached.	inge of Correspondence	(2) The name of a sing	gle firm (having as a mem agent) and the names of	iber a	
☐ "Fee Address" inc	dication (or "Fee Address	" Indication form PTO/		orneys or agents. If no nar		
SB/47; Rev 03-09 or Number is required	more recent) attached. U	se of a Customer	nsted, no name win bo	s printed.	3	
		A TO BE PRINTED ON	THE PATENT (print or ty	/pe)		
PLEASE NOTE: Uni	less an assignee is identif	ied below, no assignee dat	ta will appear on the paten FR 3.81(a). Completion of	t. If an assignee is identifi	ied below, the document	must have been previously
(A) NAME OF ASSI		iii 57 CFK 5.11 and 57 Cf	• • •	Y and STATE OR COUN		ment.
(1) 11111111111111111111111111111111111			(B) TEEDIDE (CET			
Please check the approp			rinted on the patent): 🗖 1		or other private group e	entity 🖵 Government
4a. Fees submitted:		blication Fee (if required)		# of Copies		
•		previously paid fee show	•	V. 174 1 6	PTO 2020)	
Electronic Payme			Non-electronic payment by	• '	,	
The Director is he	ereby authorized to charg	e the required fee(s), any	deficiency, or credit any o	verpayment to Deposit A	ccount No	
5 Ch E		1 -1				
5. Change in Entity Sta	ing micro entity status. So			ertification of Micro Entit		
_	ng small entity status. See		NOTE: If the application	o entity amount will not be n was previously under mi	icro entity status, checki	
	ng to regular undiscounte		NOTE: Checking this bo	ss of entitlement to micro ox will be taken to be a no	entity status. otification of loss of entit	tlement to small or micro
			entity status, as applicab		4:6:4:	
			3. See 37 CFR 1.4 for sign	-		
Authorized Signature	2			Date		
Typed or printed nan	ne			Registration No.		

Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through $01/31/2020\,$

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020	Xiaodong Li	NEO-PT008.8	8746
3624 75	90 02/19/2021		EXAM	IINER
Volpe Koenig			OBAYANJU	, OMONIYI
30 SOUTH 17TH S	STREET, 18TH FLOO	R		
PHILADELPHIA,	PA 19103		ART UNIT	PAPER NUMBER
,			2645	

DATE MAILED: 02/19/2021

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 17/012,813		Applicant(s) Li et al.	
Notice of Allowability	Examiner OMONIYI OBAYANJU		Art Unit 2645	AIA (FITF) Status No
The MAILING DATE of this communication appear All claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIC of the Office or upon petition by the applicant. See 37 CFR 1.313 at 1. This communication is responsive to 02/08/2021. A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/	OR REMAINS) CLOSED in other appropriate community of the appropriate community. This application is sund MPEP 1308.	n this applic unication w	cation. If not i vill be mailed	included in due course. THIS
2. An election was made by the applicant in response to a restrestriction requirement and election have been incorporated		n during the	e interview or	1; the
3. The allowed claim(s) is/are 1-30. As a result of the allowed Highway program at a participating intellectual property offic http://www.uspto.gov/patents/init_events/pph/index.jsp	ce for the corresponding ap	plication. F	For more info	
4. Acknowledgment is made of a claim for foreign priority unde	r 35 U.S.C. § 119(a)-(d) or	(f).		
Certified copies: a) □All b) □ Some *c) □ None of the:				
 Certified copies of the priority documents have Certified copies of the priority documents have Copies of the certified copies of the priority documents have International Bureau (PCT Rule 17.2(a)). 	been received in Applicati			application from the
* Certified copies not received:				
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		le a reply c	complying with	h the requirements
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.			
including changes required by the attached Examiner's Paper No./Mail Date	Amendment / Comment or	in the Offi	ice action of	
Identifying indicia such as the application number (see 37 CFR 1. sheet. Replacement sheet(s) should be labeled as such in the hea		_	s in the front	(not the back) of each
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F				the
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. 🔲 Examiner'			
 Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date 	6. 🗹 Examiner	's Stateme	nt of Reasons	s for Allowance
 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. Interview Summary (PTO-413), Paper No./Mail Date. 	7. 🗌 Other	·		
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645				

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20210211

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Continued Examination Under 37 CFR 1.114

A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after allowance or after an Office action under *Ex Parte Quayle*, 25 USPQ 74, 453 O.G. 213 (Comm'r Pat. 1935). Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, prosecution in this application has been reopened pursuant to 37 CFR 1.114. Applicant's submission filed on 02/08/2021 has been entered.

Allowable Subject Matter

Claims1-30 are allowed.

The following is an examiner's statement of reasons for allowance:

The closest prior art of record **Li et al.** (**US Patent No. 7650152**) discussed the concept of allocating subcarriers in an orthogonal frequency division multiple access (OFDMA), which comprises allocating at least one diversity cluster of subcarriers to a first subscriber and allocating at least one coherence cluster to a second subscriber (**see fig. 1 and abs**). **Li** further discussed the concept that each base station transmits

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Art Unit: 2645

pilot symbols simultaneously, and each pilot symbol occupies the entire OFDM frequency bandwidth (see fig. 2A-C).

Another close prior art reference **Seki et al.** (**US Publication No. 20040190598**) discussed the concept of a multicarrier CDMA transmission system, wherein time multiplexer 52 time-multiplexes the pilot of the plurality of symbols to the front of the transmit data. The subcarrier signals S₁ to S_N are multicode multiplexed signals obtained by multiplexing results of spreading the two symbols by respective ones of the orthogonal codes (**see fig. 24, and pp0071**).

However, singly and/or in combination the prior art references does not teach the at least portion of the claim of an orthogonal frequency division multiple access (OFDMA)-compatible base station that uses subcarriers in a frequency domain and time slots in a time domain, the OFDMA-compatible base station comprising: a plurality of antennas; and a transmitter operably coupled to the plurality of antennas; the transmitter configured to: insert first pilots of a first type onto a first plurality of subcarriers, wherein the first pilots are cell-specific pilots; and insert data and second pilots of a second type onto a second plurality of subcarriers; wherein at least some subcarriers of the first plurality of subcarriers or the second plurality of subcarriers are beam-formed; and the plurality of antennas configured to transmit the first plurality of subcarriers and the second plurality of subcarriers in at least one of the time slots; wherein the second type is different than the first type and wherein the first pilots do not interfere with the second pilots.

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Art Unit: 2645

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to OMONIYI OBAYANJU whose telephone number is (571)270-5885. The examiner can normally be reached on M-Thur 10:30-7pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, ANTHONY S ADDY can be reached on (571) 272-7795. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see https://ppair-

Application/Control Number: 17/012,813

Art Unit: 2645

my.uspto.gov/pair/PrivatePair. Should you have questions on access to the Private

PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

If you would like assistance from a USPTO Customer Service Representative or access

to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-

272-1000.

/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645 Page 5

			2 /4 /		Application/ 17/012,813	Control No.	Applicant(s)/Patent Under Reexamination Li et al.	
		Notice of Reference	s Cited		Examiner Art Unit 2645		Art Unit	Page 1 of 1
				U.S. P.	ATENT DOCUI	MENTS		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY		Nam		CPC Classification	US Classification
*	Α	US-7650152-B2	01-2010	Li; Xiaod	dong		H04L25/0228	455/452.1
*	В	US-20040190598-A1	09-2004	Seki, Hi	royuki		H04B7/0669	375/141
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20210211

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

CPC				
Symbol			Туре	Version
H04L	27	/ 2637	F	2013-01-01
H04W	/ 16	/ 02	I	2013-01-01
H04L	/ 27	/ 2613	I	2013-01-01
H04B	/ 1	707	I	2013-01-01
H04L	/ 5	/ 0007	I	2013-01-01
H04L	/ 5	/ 0028	I	2013-01-01
H04L	/ 25	/ 03834	1	2013-01-01
H04L	/ 27	/ 0008	ı	2013-01-01
H04L	/ 27	/ 0012	ı	2013-01-01
H04L	/ 27	/ 2602	I	2013-01-01
H04W	/ 72	/ 0446	1	2013-01-01
H04B	/ 7	/ 0413	I	2013-01-01
H04L	/ 5	/ 0048	I	2013-01-01
H04L	/ 27	/ 2626	I	2013-01-01
H04J	/ 11	/ 005	I	2013-01-01
H04L	/ 27	/ 2646	1	2013-01-01
H04W	/ 72	/ 044	1	2013-01-01
H04L	/ 27	/ 2657	А	2013-01-01
H04L	/ 5	/ 0016	А	2013-01-01
H04L	/ 25	/ 0228	А	2013-01-01
H04L	/ 27	/ 2607	А	2013-01-01
H04L	27	/ 2655	A	2013-01-01

CPC Combination Sets						
Symbol	Туре	Set	Ranking	Version		

NONE	Total Claims	s Allowed:	
(Assistant Examiner)	(Date)	30	
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	11 February 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	5

U.S. Patent and Trademark Office

Part of Paper No.: 20210211

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645
INTERNATIONAL CLASSIFICATION		
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US ORIGINAL CLASSIFICATION		

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CROSS REFERENC	ES(S)					
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SUBCLASS

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	30)
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	11 February 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	5

U.S. Patent and Trademark Office

CLASS

Part of Paper No.: 20210211

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

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NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	30)
/OMONIYI OBAYANJU/ Primary Examiner, Art Unit 2645	11 February 2021	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	5

U.S. Patent and Trademark Office

Part of Paper No.: 20210211

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

CPC - Searched*				
Symbol	Date	Examiner		
H04L5/0053	10/21/2020	00		
H04L5/0007	10/21/2020	00		
H04L5/0048	10/21/2020	00		

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*				
Class	Subclass	Date	Examiner	

 $^{^{\}star}$ See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
See Attached East Search History	10/21/2020	00		
See Attached East Search History (Updated)	02/11/2021	00		
Google NPL Search	02/11/2021	00		

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	17/012,813	Li et al.
	Examiner	Art Unit
	OMONIYI OBAYANJU	2645

Interference Search						
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner			
Same As Above	Same As Above	10/21/2020	00			
Interference Search	East Patent Claim Search	10/21/2020	00			
Interference Search (Updated)	East Patent Claim Search (Updated)	02/11/2021	00			

EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	11	("6188717" "6882619" "7133352" "7639660" "7876716").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:21
L2	0	1 and (cell\$1specific or cell adj specific) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:21
L3	74,340	((H04L5/0053 OR H04L5/0007 OR H04L5/0048).CPC.)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:23
L4	106	3 and ((cell\$1specific or cell adj specific) near2 pilot) and (beam\$1form\$3 or beam adj form\$3)	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:24
L10	735	((Li near2 Xiaodong) or (Lo near2 Titus) or (Li near2 Kemin) or (Huang near2 Haiming)).inv.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:26
L11	4	10 and (((cell\$1specific or cell adj specific) near2 pilot) and (beam\$1form\$3 or beam adj form\$3)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:27
L12	145	NEOCIFIC.as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:28
L13	16	(WALTICAL near2 SOLUTIONS).as.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:28
L14	3	12 and (((cell\$1specific or cell adj specific) near2 pilot) and (beam\$1form\$3 or beam adj form\$3)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:29
L15	0	13 and (((cell\$1specific or cell adj specific) near2 pilot) and (beam\$1form\$3 or beam adj form\$3)).clm.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2021/02/11 11:30

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Receipt date: 02/08/2021

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)

Approved for use through 11/30/2020. OMB 0651-0031

mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		17012813	
	Filing Date	_	2020-09-04	
INFORMATION DISCLOSURE	First Named Inventor Li		Li et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		2645	
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	Attorney Docket Numb	er	NEO-PT008.8	

U.S.PATENTS										
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document		Lines where es or Relevant			
	1	5188717	B1	2001-02-13	Kaiser et al.					
	2	5882619	B1	2005-04-19	Gerakoulis					
	3	7133352	B1	2006-11-07	Hadad	Hadad				
	4	7639660	B2	2009-12-29	Kim et al.					
	5	7876716	B2	2011-01-25	Sudo					
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Receipt date: 02/08/2021 17/012,813 - GAU: 2645

INFORMATION DISCLOSURE	
STATEMENT BY APPLICANT	
(Not for submission under 37 CFR 1.99)	

 Application Number
 17012813

 Filing Date
 2020-09-04

 First Named Inventor
 Li et al.

 Art Unit
 2645

 Examiner Name
 Omoniyi Obayanju

 Attorney Docket Number
 NEO-PT008.8

Examiner Initial*	Cite No	Foreig Numb	gn Document ber ³	Country Code ² İ	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Colun where Relev Passages or Figures Appe	ant Relevant	T5
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.										
¹ See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here English language translation is attached.								ıment.		

Receipt date: 02/08/2021 17/012,813 - GAU: 2645

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Application Number		17012813		
Filing Date		2020-09-04		
First Named Inventor Li et a		ıl.		
Art Unit		2645		
Examiner Name Omor		iyi Obayanju		
Attorney Docket Number		NEO-PT008.8		

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Andrew D. Buschmeier/	Date (YYYY-MM-DD)	2021-02-08
Name/Print	Andrew D. Buschmeier	Registration Number	73,179

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 02/08/2021 17/012,813 - GAU: 2645

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- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Patents "cell specific pilot" subcarrier beamform

1 of 373 < >

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About 373 results

Sort by - Relevance - Group by - None - Deduplicate by - Family - Results / page - 10 -

Multiple-antenna system for cell-specific and user-specific transmission

WO US CN • <u>U31014917382</u> • Titus Lo • Neocific, Inc.



Priority 2005-11-14 • Filed 2016-05-16 • Granted 2018-12-04 • Published 2018-12-04 selecting a plurality of user-specific OFDM subcarriers in the time slot for transmission to a specific mobile device within the cell, wherein a second weight is applied to a user-specific OFDM subcarrier, transmitting control information on the plurality of cell-specific GFDM subcarriers through a ...

Methods and apparatus for multi-carrier, multi-cell wireless communication ...



WO EP US ON KR • EP1712089B1 • Xiaodong Li • Neocific, Inc. Priority 2004-01-29 • Filed 2005-01-20 • Granted 2014-11-19 • Published 2014-11-19 where sil(tk) denotes a received common pilot subcarrier signal at subcarrier index i and at time index tk, and a i,m (t k) and a i,m (t k) denote the signal amplitude and phase, respectively, associated with a common pilot subcarrier at subcarrier index i and at time index tik transmitted from a ...

Fronthaul evolution: from CPRI to Ethernet

Google Scholar - kankent.ac.uk - Gomes N - Optical Fiber Technology Published 2015

.. However, these antennas are used to form beams parily carrying the same data towards an ... The scheduling information has to include additional information about the assigned beam, which is ... 7. The beamforming operation for massive MIMO can be shifted from the BBU/00 to ..

A method for uplink interference coordination on demand basis with cell ...



EP US ON JP KR 44 DE ES + <u>KR101223795B1</u> + 크리스틱인 게오르고 개臺라호 - 잎까별 푸슨트 Priority 2005-06-15 • Filed 2006-06-05 • Granted 2013-01-17 • Published 2013-01-17

In the case of receive diversity, for example beamforming, interference can only be seen or rarely seen by one interfering mobile terminal, and the base station can also try to avoid the interference. One may attempt to schedule to a less disturbed subset that is in principle the same as the .

Method for transmitting data in multiple antenna system



wo us kr · <u>kr20090071314A</u> · 고형수 · 열지전자주식회사

Priority 2007-12-16 • Filed 2008-04-29 • Published 2009-07-01

 $\frac{1}{2}$. The subcarrier allocated to the terminal and the subcarrier allocated to the terminal are adjacently mapped to at least one of the first pilot pattern region and the second pilot pattern region. A data transmission method in a multiple antenna system, characterized in that a pilot for local ...

Apparatus, method and computer program product providing common pilot channel ...



WO EP US ON KR HK • KR2008005442EA • 미카 때, 팬네 • 노케이 표포타이션

Priority 2005-10-07 • Filed 2006-10-06 • Published 2008-06-17 And the pilot power of any one of said first and second frequency bands is different between a first symbol and a second symbol forming a pilot resource. The method of claim 1, At least one pilot resource is allocated at least every eighth sub-carrier. The method of claim 1, And the sum of the high ...

Dynamic downlink frequency and power allocation in OFDMA cellular networks

Google Scholar / Neexplore Jeee lorg • Lopez Perez D • IEEE Transactions on Communications

.. Multiple Input Multiple Output (MIMO) Orthogo- nai Frequency Division Multiplexing (OFDM) beamforming cellular network ... is not a convex problem, and does not have a closed-form solution ... or coupling among cells) us-ing standardized MRs on cell-specific pilot signals, which ...

A method for uplink interference coordination in single frequency networks, a ...



EP 88 GN 4P 490 (KR20060130493A - 크리스티안 제오로그 개통라호 - 알까말 Priority 2005-06-14 • Filed 2006-06-05 • Published 2006-12-19

In the case of receive diversity, i.e., beamforming, if only interference from one or a small amount of interfering mobile ferminals is seen the base station may also attempt to escape this interference and the adaptive subcarrier You can try to schedule your mobile terminal with a subset that is ...

How to Enable Downlink Transparent Relay in Wireless Communication Networks



WOEP US ON UP KR &R · <u>KR20110044901A</u> · 지원력이 다 · 노오텧 네트웍스 리미티드 Priority 2008-08-12 • Filed 2009-08-12 • Published 2011-05-02

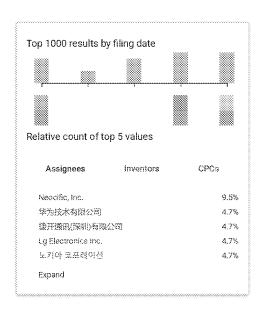
FIG. 7A shows an SC-FDMA transmitter 700 that includes a DFT logic 702, a subcarrier mapping logic 704, an OFDMA transmit circuit 706, an RF circuit 708, and a transmit antenna 716. 78 illustrates an SC-FOMA receiver 750 that includes an IDFT logic 758, a subcarrier mapping logic 756, an OFDMA ...

A kind of filtering method and relevant apparatus of signal interference

ON·CN1039974738·王渊源·华为技术有限公司

Priority 2014-05-13 * Filed 2014-05-13 * Granted 2017-10-17 * Published 2017-10-17 Methods described is applied to the non-pilot money in the selected region on the symbol comprising cell-specific pilot signal CRS Source unit, according to formula To described When being modified, Off diagonal element keep it is constant, the p be p A p B , the p A with the p B Obtained by base station by ...

Next > About 373 results



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EAST Search History

EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L5	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot same (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2021/02/11 11:24
L6	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2021/02/11 11:25
L7	0	(((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) same pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot)).clm.	USPAT	OR	ON	2021/02/11 11:25
L8	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel) near2 different) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane)).clm.	USPAT	OR	ON	2021/02/11 11:25
L9	0	((((sub\$1carrier or sub adj carrier or sub\$1band or sub adj band or sub\$1channel or sub adj channel)) and pilot and (beam\$1form\$3 or beam adj form\$3)) and (ofdma or (orthogonal near2 frequency near2 division near2 multiple near2 access)) and (time\$1slot or time adj slot) and (data or traffic or user adj plane) and (interfere or interference) and (cell\$1specific or cell adj specific)).clm.	USPAT	OR	ON	2021/02/11 11:25

 $2/11/2021\ 11:31:24\ AM \\ C:\Users\oobayanju\Documents\EAST\Workspaces\17012813.wsp$

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THE REEL III	11, 171 19103	OPAR	1 m			(Typed or printed name)
		(3			(Signature)
		FEB 2 2 20				(Date)
APPLICATION NO.	FILING DATE	& TRADEMO	EKST NAMED INVENTOR		ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012,813	09/04/2020		Xiaodong Li		NEO-PT008.8	8746
TITLE OF INVENTION	METHOD AND APPAI	RATUS USING CELL-SF ORKS	PECIFIC AND COMMON		ERS IN MULTI-CARRIER	
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00	\$0	05/19/2021
EXAM	IINER	ART UNIT	CLASS-SUBCLASS			
OBAYANJU	J, OMONIYI	2645	370-330000	•		
1. Change of corresponde CFR 1.363).	ence address or indication	n of "Fee Address" (37	2. For printing on the p (1) The names of up to	3 registered patent	attorneys 1 Volpe K	oenig
Change of corresp Address form PTO/SI	ondence address (or Cha B/122) attached.	nge of Correspondence	or agents OR, alternative (2) The name of a single registered attorney or a 2 registered patent atto	le firm (having as a n igent) and the names	nember a of up to 2	
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(A) NAME OF ASSI	GNEE		(B) RESIDENCE: (CITY	and STATE OR CO	UNTRY)	
NEO WIRELES	SS LLC		WAYNE, PENN	ISYLVANIA		
Please check the appropr	riate assignee category or	categories (will not be pr	rinted on the patent) : 🗖 Ir	ndividual 🔼 Corpora	tion or other private group	entity 🖵 Government
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Authorized Signature	/Andrew D. Bus	schmeier/		Date	February 22,	2021
Typed or printed name Andrew D. Buschmeier Registration No. 73,179						

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the PATENT APPLICATION of:

Neo Wireless LLC

Application No.: 17/012,813

Confirmation No.: 8746

Filed: September 4, 2020

For: METHOD AND

APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-

CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS

Group: 2645

Examiner: OBAYANJU, OMONIYI

Our File: NEO-PT008.8

Date: February 22, 2021

TRANSMITTAL REGARDING ISSUE FEE PAYMENT

Mail Stop Amendment (via EFS) Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicant submits that the Issue Fee Payment in this matter was paid on January 27, 2021 (EFS ID: 41761229). On February 8, 2021 an RCE was filed for submission of additional IDS references. The submission of this Issue Fee Payment is after consideration of those references by the Examiner.

6696910.1

Applicant: Neo Wireless LLC **Application No.:** 17/012,813

If the Examiner believes that any additional information is needed, the

Examiner is invited to contact the undersigned by telephone at the Examiner's

convenience.

Respectfully submitted,

Neo Wireless LLC

By /Andrew D. Buschmeier/ Andrew D. Buschmeier Registration No. 73,179

Volpe Koenig 30 South 17th Street Philadelphia, PA 19103-4009 Telephone: (215) 568-6400

Facsimile: (215) 568-6499

AB/jmm

- 2 -

6696910.1

Electronic Acknowledgement Receipt				
EFS ID:	41981826			
Application Number:	17012813			
International Application Number:				
Confirmation Number:	8746			
Title of Invention:	METHOD AND APPARATUS USING CELL-SPECIFIC AND COMMON PILOT SUBCARRIERS IN MULTI-CARRIER, MULTI-CELL WIRELESS COMMUNICATION NETWORKS			
First Named Inventor/Applicant Name:	Xiaodong Li			
Customer Number:	3624			
Filer:	Andrew D. Buschmeier/Joann Miceli			
Filer Authorized By:	Andrew D. Buschmeier			
Attorney Docket Number:	NEO-PT008.8			
Receipt Date:	22-FEB-2021			
Filing Date:	04-SEP-2020			
Time Stamp:	15:48:29			
Application Type:	Utility under 35 USC 111(a)			

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			197119		
1	Issue Fee Payment (PTO-85B)	NEO_PT008_8_Issue_Fee_EFS. pdf	6325dd33cad51fcf1bd8924f24623eb9390f 2737	no	1
Warnings:					

Information:	:				
			82884		2
2	Miscellaneous Incoming Letter	NEO_PT008_8_Misc_Transmitt al_EFS.pdf	db3937034352d65cc8236699d644048247 21b9ab	no	
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New Applications Under 35 U.S.C. 111

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If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

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PART B - FEE(S) TRANSMITTAL

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THILADELIH	A, I A 19103		Γ			` ,	(Typed or printed name)	
							(Signature)	
			L				(Date)	
					_			
APPLICATION NO.	FILING DATE		FIRST NAMED INVENT	OR	ATTOR	NEY DOCKET NO.	CONFIRMATION NO.	
17/012,813	09/04/2020		Xiaodong Li		N	EO-PT008.8	8746	
			SPECIFIC AND COMMO	ON PILOT SUBCAR	RIERS IN	MULTI-CARRIER,	MULTI-	
APPLN. TYPE	MUNICATION NETW ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DU	JE PREV. PAID ISSU	JE FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$1200.00		\$0	05/19/2021	
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EVAN	MINER	ART UNIT	CLASS-SUBCLASS	\neg				
		2645	370-330000					
	J, OMONIYI				•			
1. Change of correspond CFR 1.363).	lence address or indication	n of "Fee Address" (37		e patent front page, loto 3 registered pate		ys 1 Volpe Ko	oonia	
Change of corresp Address form PTO/S	oondence address (or Cha B/122) attached.	ange of Correspondence	or agents OR, altern (2) The name of a si registered attorney	atively, ngle firm (having as or agent) and the nan	a member	ra	<u>Jernig</u>	
"Fee Address" inc SB/47; Rev 03-09 or Number is required	lication (or "Fee Address more recent) attached. U	" Indication form PTO/ se of a Customer	2 registered patent a listed, no name will	ttorneys or agents. If be printed.	no name	3		
			THE PATENT (print or					
recorded, or filed for	recordation, as set forth	ied below, no assignee da in 37 CFR 3.11 and 37 C	CFR 3.81(a). Completion	of this form is NOT	a substitu	te for filing an assign	must have been previously ment.	
(A) NAME OF ASSI			(B) RESIDENCE: (CI	TY and STATE OR	COUNTR	RY)		
NEO WIRELES	SS LLC		WAYNE, PE	NNSYLVANIA				
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4a. Fees submitted:		lication Fee (if required)		- # of Copies				
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☐ Applicant certifyi ☐ Applicant assertin	ntus (from status indicate ng micro entity status. Se ng small entity status. See ng to regular undiscounte	ee 37 CFR 1.29 e 37 CFR 1.27	fee payment in the mid NOTE: If the application of	ero entity amount wil on was previously ur loss of entitlement to box will be taken to l	l not be a nder micro micro en	ccepted at the risk of a centity status, checking tity status.	/SB/15A and 15B), issue application abandonment. ng this box will be taken lement to small or micro	
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Authorized Signature	/Andrew D. Bus	schmeier/		Date		February 22, 2	021	
Typed or printed nam	neAndrew D. Bus	chmeier		Registration 1	No	73,179		

Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020



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APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
17/012 912	02/20/2021	10065512	NEO DEODO O	0716

3624 7590 03/10/2021

Volpe Koenig 30 SOUTH 17TH STREET, 18TH FLOOR PHILADELPHIA, PA 19103

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

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APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Xiaodong Li, Kirkland, WA; Neo Wireless LLC, Wayne, PA; Titus Lo, Bellevue, WA; Kemin Li, Bellevue, WA; Haiming Huang, Bellevue, WA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)

AO 120 (Rev. 08/10)

TO:

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

<u></u>	, , , , , , , , , , , , , , , , , , , ,		I KA	DEMARK
In Complian			§ 1116 you are hereby advised that dle District of Tennessee	a court action has been on the following
	✓ Patents. (☐ the pate			on the tonowing
DOCKET NO. 3:22-cv-00220	DATE FILED 3/29/2022	U.S. DI	STRICT COURT for the Middle Distri	ict of Tennessee
PLAINTIFF		,,,,	DEFENDANT	or or remoses
NEO Wireless, LLC			Nissan North America Inc.	
PATENT OR TRADEMARK NO.	DATE OF PATEN OR TRADEMAR		HOLDER OF PATENT	Γ OR TRADEMARK
18467366		See	attached complaint	
2 (0833 408				
3 10075 941				
4 10 447 450				
510965512				
	In the above contitled on	as the following	patent(s)/ trademark(s) have been	included.
DATE INCLUDED	INCLUDED BY	se, the following	patent(s)/ trademark(s) have been	meidded.
DATE INCEODED	<u> </u>	Amendment	☐ Answer ☐ Cross Bi	ill 🗹 Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATEN OR TRADEMAR	I	HOLDER OF PATENT	
1 (077130)				
2				
3				
4				
5				
In the abo	ve—entitled case, the follo	wing decision ha	ns been rendered or judgement issue	ed:
DECISION/JUDGEMENT				
CLERK		(BY) DEPUTY	CLERK	DATE
LYNDA M. HILL		VANESS.	A HAINES	4/4/2022

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

Case: 3:22-cv-01252-JZ Doc #: 2 Filed: 07/15/22 1 of 2. PageID #: 324

AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

P.O. Box 1450 Alexandria, VA 22313-1450		ACTION REGARDING A PATENT OR TRADEMARK			
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Northern District of Ohio on the following					
	✓ Trademarks or □ Patents. (□ the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. 3:22-cv-01252	DATE FILED 7/15/2022	U.S. DISTRICT COURT Northern District of Ohio			
PLAINTIFF		I	DEFENDANT		
Neo Wireless LLC			FCA US, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,467,366	6/18/2013	Neo \	Vireless LLC		
2 10,075,941	9/11/2018	Neo Wireless LLC			
3 10,447,450	10/15/2019	Neo Wireless LLC			
4 10,771,302	9/8/2020	Neo Wireless LLC			
5 10,833,908	0,833,908 4/17/2018 Neo Wireless LLC				
	In the above—entitled case, the	following p	natent(s)/ trademark(s) have been included:		
DATE INCLUDED	INCLUDED BY	ndment	☐ Answer ☐ Cross Bill ☐ Other Pleading		
PATENT OR	DATE OF PATENT	<u> </u>			
TRADEMARK NO.	OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK			
1					
2					
3					
4					
5					
	ve—entitled case, the following o	decision has	been rendered or judgement issued:		
DECISION/JUDGEMENT					
CLERK	(BY)	DEPUTY	CLERK DATE		

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Page 2 of 2

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
6 10,965,512	3/30/2021	Neo Wireless LLC

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR **TRADEMARK**

In Compliance with 35 U.S.C. § 290 and/or 15 filed in the U.S. District Court		_	1116 you are hereby advised that a court actile District of Florida	ion has been on the following	
☐ Trademarks or ☐ Patents. (☐ the patent action involves 35 U.S.C. § 292.):					
DOCKET NO.	DATE FILED	U.S. DIS	U.S. DISTRICT COURT		
3:22-cv-780-J-MMHJBT	July 15, 2022		Middle District of Florida		
PLAINTIFF NEO WIRELESS, LLC			DEFENDANT MERCEDES-BENZ USA, LLC		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRADEMARK		
1 8,467,366	January 23, 2020		Neo Wireless LLC		
2 10,833,908	June 16, 2020		Neo Wireless LLC		
3 10,075,941	January 23, 2020		Neo Wireless LLC		
4 10,447,450	January 23, 2020		Neo Wireless LLC		
5 10,965,512	September 4, 2020		Neo Wireless		
6 10,771,302	January 23, 2020		Neo Wireless LLC		
CLERK	(B	3Y) DEPUTY	CLERK	DATE	
ELIZABETH M. WARREN			Amy Thomas	July 20, 2022	

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Case: 3:22-cv-01252-JZ Doc #: 8 Filed: 08/15/22 1 of 2. PageID #: 338

AO 120 (Rev. 08/10)

TO:

Mail Stop 8 Director of the U.S. Patent and Trademark Office

REPORT ON THE FILING OR DETERMINATION OF AN

P.O. Box 1450 Alexandria, VA 22313-1450			ACTION REGARDING A	
In Compliance with 35 U.S.C. § 290 and/or 15 U.S.C. § 1116 you are hereby advised that a court action has been filed in the U.S. District Court Northern District of Ohio on the following Trademarks or Patents. (the patent action involves 35 U.S.C. § 292.):				
DOCKET NO. 3:22-cv-01252	OCKET NO. DATE FILED U.S. DISTRICT COURT Northern District of Ohio			hio
PLAINTIFF	1/13/2022		DEFENDANT	1110
Neo Wireless LLC			FCA US, LLC	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK
1 8,467,366	6/18/2013	Neo	Wireless LLC	
2 10,075,941	9/11/2018	Neo	Neo Wireless LLC	
3 10,447,450	10/15/2019	Neo	Neo Wireless LLC	
4 10,771,302	9/8/2020	Neo	Neo Wireless LLC	
5 10,833,908 4/17/2018 Neo Wireless LLC				
DATE INCLUDED	In the above—entitled cas	e, the following	patent(s)/ trademark(s) have been included:	
DATE INCLUDED BY Amendment Answer Cross Bill Other Pleading				
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR TRA	ADEMARK
1				
2				
3				
4				
5				
In the above—entitled case, the following decision has been rendered or judgement issued:				
DECISION/JUDGEMENT Conditional Transfer Order (CTO 1) by the Judicial Panel on Multidistrict Litigation. In re: MDL 3034 Neo Wireless, LLC, Patent Litigation. Case Transferred to Eastern District of Michigan and assigned to the Honorable Terrence G. Berg.				
CLERK		(BY) DEPUTY	CLERK	DATE
Sandy Opacich		/s/Natalie Wlotzko		8/15/2022

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REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

Page 2 of 2

PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
6 10,965,512	3/30/2021	Neo Wireless LLC