[0032] Fig. 13 is an illustration of the client-server model of a system according

to the present invention.

[0033] Fig. 14 is an illustration of the message routing paths and elements of a

Dot Messaging Architecture according to the present invention.

**DETAILED DESCRIPTION OF THE INVENTION** 

[0034] The present invention discloses a technology that is capable of

processing distributable computer readable media. Distributable computer readable

media includes, but is not limited to, standard Internet content, such as HTML,

dHTML, images, imbedded ActiveX and Java applications, JavaScript, CSS, Perl

scripts, Streaming Media, and/or Flash. The present invention is advantageous relative

to prior art systems and methods because it provides improved mechanisms for

simultaneously interacting with several independent sources of distributable computer

readable media, collecting references to such media, and sharing such references with

other users. The disclosed technology is further advantageous because it provides

improved systems and methods for on screen management of distributable computer

readable media.

[0035] Central to the present invention is the concept of an Application Media

Package. As used herein, the term Application Media Package refers to a component

which includes a fully configurable frame with one or more controls; the frame through

which content is optionally presented. The fully configurable frame utilized in

page 12 Attorney docke

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

accordance with the invention stands in contrast to present web browsers, which are

branded by the browser vendor and which have limited means by which to alter the

controls associated with the browser.

**ABSENCE OF WEB BROWSER** 

[0036] The Application Media Package is a file that is comprised of web

browser readable language. According to the preferred embodiment, the present

invention renders, displays, and updates Internet data without the use of a browser. In

fact, no browser need be installed on the client computer on which the Application

Package is instantiated. The present invention produces a user experience by parsing and

rendering the Application Media Package through the Application Media Viewer. The

Application Media Viewer is an installed client application which renders the

Application Media Package as well as provides additional functionality to the user

experience (hence, it is more than simply a viewer application). The Application Media

Viewer may render web browser readable content (of the type typically supported by a

browser application) due to it being programmed utilizing the Microsoft 1E4 object for

receiving, parsing and rendering web content. It will be understood by one skilled in

the art that, despite its name, the Microsoft 1E4 object is not a web browser

application. One apparent difference between the Application Media Viewer and a

typical web browser application is that the Application Media Viewer of the present

invention is not designed to provide user web navigation and page control typically

provided by a web browser.

Filed via EFS-Web on: 10/31/2007

page 13

652

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[0037] Fig. 1 is a general illustration of a system in accordance with one

embodiment of the present invention. In Fig. 1, a network 10 is operated in accordance

with the present invention. Network 10 includes at least one user or client computer 20,

at least one server computer of class 50, and optionally one or more server computers of

class 82. User computer 20 as well as server computers of class 50 and 82 are each

connected by transmission channel 44, which is any wired or wireless transmission channel.

[0038] User computer 20 is any device that includes a Central Processing Unit (CPU)

24 connected to a random access memory 30, a network connection 28, and one or more user

input/output ("i/o") devices 40 including output means 42. Thus, the term "computer," as used

herein, is intended in its broadest sense to include not only traditional workstations, laptops and

similar devices, but any device containing a CPU of sufficient operability to utilize Internet

data, such as web-enable cell phones, personal digital assistants (PDAs), and so forth.

[0039] Output means 42 is any device capable of communicating with a user and

includes, for example, a video monitor, a liquid crystal display, voice user interfaces, and/or

integrated graphic means such as mini-displays present in web-enabled cell phones, PDAs, etc.

[0040] Typically, user computer 20 includes a main non-volatile storage unit 22,

preferably a hard disk drive, for storing software and data. Further, user computer 20 includes

one or more internal buses 26 for interconnecting the aforementioned elements. In a typical

embodiment, memory 30 includes an operating system 32 for managing files and programs

associated with user computer 20. In some embodiments, operating system 32 includes a

registry 34 that has one or more references to specified locations in system 10.

page 14

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Application of: Ke

Filed via EFS-Web on: 10/31/2007

[0041] The exemplary memory 30 of Fig. 1 further includes a web browser 36 for

viewing Internet content and a separate collection of items, referred to herein as a client parser

application 38. In some embodiments, client parser application 38 uses the one or more

references in registry 34 to obtain a login construct from server 50. In various

embodiments, in accordance with the present invention, client parser application 38 runs

in conjunction with one or more software modules, such as an event log module 98,

which tracks user activity, a message interface module 107, which serves as a

communication interface between the client parser application 38 and web server 58

and/or external web servers, an application media processing module 109, one or more

Application Media Packages 104-1 to 104-n, and an Application Media Viewer 119

which, among other functions, regulates the characteristics of visual manifestations of

Application Media Packages 104-1 to 104-n when displayed on output device 42.

[0042] Server computer 50 includes standard server components, including a

network connection device 46, a CPU 52, a main non-volatile storage unit 54, and a

random access memory 56. Further, server computer 50 includes one or more internal

buses 48 for interconnecting the aforementioned elements. Memory 56 stores a set of

computer programs, modules and data to implement the processing associated with the

present invention.

[0043] The embodiment of memory 56 illustrated in Fig. 1 includes a web server

58 for processing requests received from client computer 20. Web server 58 has many

components, including a variety of modules and data structures to assist users that want

to log into system 10. Namely, login module 60 handles an entry request from a client

page 15

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

computer 20 and accepts a login identifier that corresponds to a user from client

computer 20.

<del>[0044]</del> Once a user has successfully logged into system 10, request server

module 72 handles requests for specified Application Media Packages 104-1 to 104-n

from client 20. When such a request is received, request server module 72 routes the

request to an address that corresponds to the specified Application Media Packages

104-1 to 104-n and transmits the specified Application Media Packages 104-1 to 104-n

to client 20. One class of specified networked information handled by request server

module 72 is requests for Application Media Packages 104-1 to 104-n. When such a

request is received, request server module 72 searches Application Media Packages

database 74 for the specified Application Media Package. Application Media Package

templates database 74 includes a large number of Application Media Package

templates. Each Application Media Package template defines the characteristics of a

specific Application Media Package, including fully configurable frame

characteristics, viewer and control characteristics, and Application Media Package

content references.

[0045] The web server 58 illustrated in Fig. 1 further includes additional

modules 131 to handle specialized features of the present invention. For example, one

embodiment of the present invention provides a mechanism that allows users to

distribute Application Media Packages to each other. In such embodiments, a special

server module 131 provides instructions for storing the Application Media Packages,

which are to be distributed, using a sharelink database 78. Advantageously,

page 16

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

Application Media Packages that are distributed to other users are customizable. A

user can, for example, resize and position a particular Application Media Packages

prior to sharing it with another user. Indeed, it is possible, in such embodiments, for a

user to arrange a series of Application Media Packages in a unique arrangement and

then distribute the collection of Application Media Packages in the designated

arrangement. As an illustration, a user arranges a first Application Media Package that

represents a scrolling stock ticker at the bottom of an output means, such as a computer

screen, a second Application Media Package that tracks the NASDAQ top ten most

heavily traded stocks in the upper left corner of the output means, and a third

Application Media Package that tracks headline news on the upper right hand corner of

the output means. Then, the user distributes the three Application Media Packages in

this customized arrangement to other users. Observe that in this example a user of a

client computer is aggregating separate sets of information in different Application

Media Packages. This stands in contrast to prior art approaches where a web server

running on a server computer aggregates information in a single page.

[0046] System 10 is highly scalable and thus supports a large number of users.

This scalability stems from the fact that the server 50 is delivering the definition

associated with an Application Media Package. The content displayed in the Application

Media Package may be located on a separate computer. Memory 56 may provide a

statistical analysis module 133 for tracking key events associated with users. This

information is stored in statistics database 80. The information collected by statistical

analysis module 133 is used for a wide variety of purposes, including server load

page 17 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

optimization and directed advertising, as discussed below. As described below, the

statistical information gathered in accordance with the invention includes fully traced

events defining the type of content and the duration over which all content is viewed by

a user. This type of comprehensive information is not available using present

techniques. Much of the distributable computer readable media that is available for

processing is stored as content elements 94 on server 82. Server 82 is a standard web

server that includes components such as a network connection device 88, a CPU 86, a

main nonvolatile storage unit 84, a random access memory (RAM) 92, and one or more

internal buses 90 for interconnecting the aforementioned elements. RAM 92 includes

some of the content elements 94 stored by server 82. Other content elements 94 are

stored in storage unit 84. In some embodiments, a single web server 58 is capable of

directly accessing content elements 94 located on one or more servers 82. In other

embodiments, each server 82 has a resident web server module that works in

conjunction with server 50 to identify, optionally dynamically generate, and serve

content elements 94 upon demand.

[0047] With the general architecture of a system within which the present

invention may operate provided with reference to Fig. 1, we next turn to a number of

definitions of key terms used herein. Terms not specifically defined herein shall be

understood to have their broadest, generally accepted meaning. Other terms may be

defined elsewhere in the present disclosure. (See also Appendix A and B herein.)

[0048] Application Media Package - An Application Media Package, also

referred to herein as a Dot, is computer software component, such as XML code and

page 18

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

data, representing the definition of a client side mini-application, which displays

information and/or provides functionality to an end user. The Application Media

Package combines the packaging, application behavior, and the presentation of

Internet content with the content itself, creating one integrated unit - a Dot. Therefore,

Dots are the integration of application and media. A Dot may be viewed as a

free-floating mini-site. It is frame in which Internet content is presented (although

lacking the most common functionality typically associated with a browser,

navigation).

In one embodiment, Dots are programmed with browser readable

language that is parsed to the Microsoft Internet Explorer (IE) rendering object

(referenced within a control, so named the Dot Web Conduit) and are capable of

displaying any Internet content supported by IE 4 (however, not necessarily requiring

use of Internet Explorer or any browser for such display). This browser readable

language defines the appearance of a Dot, its functionality, and what content is

presents. This browser readable language includes XML, streaming media, dHTML,

etc.

[0050] Structurally, the Dot or media application package comprises

initialization data, control calls, and a frame. Content, such as that obtained from a

network reference, is rendered within the frame. The control calls may include the web

conduit, base controls such as "close" and resize", base GUI elements such as a title

bar, Dot menu, and Dot bottom bar, as well as XML Dot calls that are made by the Dot

Definition and executed by the Home Dot. Unlike a downloadable, custom,

page 19

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

elient-application (e.g., for delivering custom web applications to users' desktops), an

Application Media Package is entirely content. That is, the entirety of a Dot package,

referred to as its definition, is in a browser-readable language.

[0051] All that is required to instantiate an Application Media Package (on the

elient computer) is its definition assuming that the client computer has an Application

Media Viewer (discussed below) installed. That is, no browser is needed to render an

Application Media Package. No browser need be running or be present on the client

machine in order to render an Application Media Package.

[0052] Application Media Package Content - Application Media Package

content, also referred to as Dot Content, is Internet content served off of partner/Dot

Developer servers or another third party server. While in some cases a party

developing and making a Dot available (from a partner/Dot Developer server) may also

host (on the server) content for that Dot, the developer is free to cause the Dot to point

to content from another party/site for rendering as part of an instantiated Dot. It is an

attribute of the present invention that any internet content can be accessed, processed,

and/or displayed as Dot Content or Application Media Package Content.

[0053] Application Media Viewer - The Application Media Viewer, also

referred to herein as the Home Dot, is a network enabled, client application that loads

and displays an Application Media Package on a client computer. Data contained

within the Application Media Package is parsed by the Application Media Viewer and

rendered within the extent of the Application Media Package's frame as defined

page 20 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

therein. Data is web browser readable language including media and Internet references

thereto, as well as control calls. These control calls contained within an Application

Media Package are also parsed and executed. The Application Media Viewer is

required to instantiate an Application Media Package (i.e., to create a Dot and provide

any associated functionality on a client display device). The Application Media Viewer

effectively takes the place of a browser application when rendering Internet content via

an Application Media Package. However, an Application Media Package contains

Internet data and as such, this data may also be referenced by a browser. In the

preferred embodiment, a browser is not employed to instantiate an Application Media

Package, nor to invoke any functionality they may provide. Also in the preferred

embodiment, the Application Media Viewer is a compiled application, programmed

using MFC (Microsoft Foundation Class) or the like. The Application Media Viewer

need only be downloaded to the client computer one time and may be used to

instantiate any number of Application Media Packages. More than one Application

Media Package may be instantiated at a time, i.e. the Application Media Viewer is

capable of executing and displaying multiple instantiated Application Media Packages.

The Application Media Viewer is also capable of checking for updated versions of

itself (and any Application Media Package) on the server. The Application Media

Viewer supports a number of facilities including support for standard internet

protocols (https, https, etc.) Additionally, the Application Media Viewer also supports

collecting and organizing Application Media Packages, user login, user activity

statistics collecting and reporting, and messaging between Application Media

Packages.

page 21

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0054] Block A group of Dots. Blocks are created and destroyed by the user

through the dragging and positioning of individual Dots or other blocks.

<del>[0055]</del> Computer - as used herein, the term computer is meant to refer to any

device that includes a Central Processing Unit (CPU) connected to a random access

memory, a network connection, connected and rendering to one or more output devices,

which has sufficient operability to utilize Internet data, such as web-enable cell

phones, personal digital assistants (PDAs), etc. Thus, the term is intended in its

broadest sense to include not only traditional workstations, laptops and similar devices,

but web-enabled cell phones, PDAs, etc.

Content Server - Also called a Partner Web Server, used to deliver  $\frac{[0056]}{}$ 

Internet content to a Dot on a client machine.

[0057] Controls - A Dot comprises of at least one control, each of which is

arranged within the frame according to the Dot Definition. In the preferred

embodiment, this minimum control is the Web Conduit which is the Internet data

rendering portion of the frame. Controls are calls that are contained within the Dot

content that enable a Dot, for example with application behavior amongst other

attributes and explained further herein. The Dot Definition may contain base control

(close, resize) overrides such that the controls are not rendered or are rendered only

upon mouse over events rather than persistent display. Additional media elements and

network referenced media may also be contained within the Dot Definition.

page 22

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0058] DoDots The working product name of the invention described herein.

— Dot Class - An instance of a particular set of binaries to create a

particular type of Dot.

[0060] Dot Definition This software entity contains enough information to

instantiate an Application Media Package (a Dot) and pointers to location of

Application Media Package content (Dot content). A Dot is defined by its dimensions

and a set of four attributes called Dot components, as will be later described. A Dot

Definition also defines access to Dot Web Conduit, which is a control element of a Dot

and is a fully functional rendering element that can access available Internet content.

[0061] Dot Link - Hyperlink or URL on a server for downloading a Dot. Based

on standard Internet links, these point to or reference particular Dot Definitions and

enable the Home Dot to quickly access (using standard HTTP/HTTPS requests, for

example) the frame and initialization pointers/URLs in the Dot Definition to instantiate

the Dot and render it.

[0062] Dot Messaging Architecture (DMA) - Elements within Dots and the

Home Dot application create a messaging architecture (the Dot Messaging

Architecture) that enables elements of the system including Dots, controls within Dots,

and the Home Dot application, to communicate with one another. The DMA enables

these elements to exchange information, request actions or functionality, and respond

to system, element, or content events.

page 23

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0063] Dot Pack - A group of Dot templates specified by a particular Dot

developer, provider or aggregator that complement each other in some way.

[0064] Dot Server - Also called an Internet Application Server, used to deliver

Dots to a client machine.

[0065] Dot Template - The XML definition of a particular Dot provider's Dot in

its default state. Information includes the Dot size, Dot class, starting URL, etc. The

Dot template may be defined by a third party Dot provider. The Dot template is used as

the starting point for a particular Dot, which may be customized by the user in some

way after the first instantiation.

[0066] Frame The frame, also referred to as a Graphical User Interface (GUI),

defines that area upon a display of the Dot instantiation in which data may be rendered.

As previously described, the frame may contain rendered Internet data. A Dot is

comprised of a frame or GUI, initialization input data including data and network

references to data displayed in said frame. The appearance of the frame (if any)

together with data that is displayed therein is the visible instantiation of the Dot. Any

Internet data or media data may be contained within the frame such as an image,

Internet content, etc. Additionally the frame shape and content may be wholly defined

by the Dot developer.

page 24 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0067] Lead Dot - The controlling Dot within a block. A lead Dot is the only Dot

within a group that presents a control and close box. The lead Dot is the leftmost,

topmost Dot (in that order).

[0068] Share A Share is a XML file that represents a collection of one or more

Dots and/or one or more Snapshots.

[0069] Snapshot - A point-in-time data set describing an individual user's

overall visible Dot configuration. This includes location and configuration information

on the user's overall configuration, and all blocks and Dots.

[0070] Web Browser Readable Language - standard Internet content that is

capable of being parsed by a browser, such content including HTML, Java script,

XML, CSS, streaming media, Flash, HTTPS, cookies, etc.

[0071] Web Conduit - a control that accesses and renders standard Internet

content. Dots in conjunction with the Web Conduit control can render or process

anything a browser application can render or process. That is, the web conduit is an

entity which processes or handles standard Internet content for rendering the GUI

(graphical user interface).

[0072] The present invention discloses a technology that is capable of

distributing standard Internet content without the use of and restrictions imposed by a

browser as detailed hereinabove, in a manner that enables developer control of the user

interface. The present invention includes a method and system in which Internet content

page 25

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

is developed, packaged and delivered from a server. The present invention further

describes methods and systems in which the user obtains content, interacts with it, and

is presented with updates to the content either in part or including the entire Application

Media Package.

[0073] The present invention provides an alternative model of viewing content

obtained from the Internet. Unlike the web page model requiring a web browser, the

present invention is founded on the premise that the basic unit of the Web and its media

should not be limited or restricted to a webpage-based display for presentation in a

conventional browser nor should the user's experience of the Web be limited to one

page at a time as defined by a conventional browser (such as Microsoft's Internet

Explorer TM -)

[0074] Furthermore, the present invention enables efficient placement of web

applications directly onto users' desktops. The present invention enables content

providers to: break their Internet-based content up into smaller pieces; package this

content as Application Media Packages (Dots); give Dots an application behavior

through a Dot Messaging Architecture; and to distribute Dots from one user to another

(Viral Distribution Architecture).

[0075] The ability to break web-based internet content into smaller packages

(e.g., Dots) for transfer independent of a conventional browse enables content providers

to distribute their media to: fully brand and control a user's experience; obtain direct

access to consumers; secure longer on-screen presence; give application-media viral

page 26

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

characteristics; and eliminate the need to maintain a software application in order to

achieve these characteristics.

[0076] With regard to viral characteristics, for example, the present invention

allows content providers to enable users to: collect Dots; use Dots in groups (Dot

Packs); and share Dots with others. Therefore, Dots are passed from server to user and

also from user to user via email links. Not only are may single Dot links be virally

distributed, but also collections of Dots and their links may also be virally distributed

from user to user. Because Dots reference web data including media, Dots enable the

viral distribution of said media although the media may reside on a server.

[0077] Software application download is eliminated because Dot content is

based on standard Internet content (HTML, dHTML, flash, streaming media, Java,

etc.), providing Dots with certain browser-like functionality. By this virtue, Dots may

be distributed not as software application downloads but as Internet media downloads,

similar to web page downloads. As a Dot may define the frame within which content is

to be rendered, the visual extent of a Dot is not bound within the borders of a browser

window. Unlike a web page in a third party browser, a Dot's graphical user interface

may be wholly defined and/or branded by the developer.

[0078] Because Dots are instantiated and rendered upon a client computer as

separate and independent graphical user interfaces, and because they may be

continuously refreshed with new internet content, Dots may persist on a user's screen.

Therefore Dots may possess a longer desktop presence (i.e., remain in place, or "On",

page 27

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

while a user attends to other tasks on a device, such as interacting with different

applications, making phone calls, etc.

[0079] The present invention therefore also allows for persistence of displayed

Internet content. For example, a dedicated frame may be rendered on a user's display,

Internet content obtained from a server, the obtained Internet content presented in the

frame (and possibly periodically updated), and the frame and content positioned and

sized such that it may remain, unobtrusively, open on the user's desktop, even when

other windows are open. This is fundamentally different than today's model where users

visit websites and leave them due to size of the browser window, full use of the content

displayed, etc.)

[0080] Dots provide Internet content developers and web application

developers: a unique way to package their content as custom client application

experiences without having to develop, distribute, and support their own custom client

application. Developers may repurpose the Internet content that they have developed

and used in web pages to form Dots. In doing so, according to the present invention,

developers may also add application behavior to Dots such that the user experience

includes interactive graphical user interface elements, likened more to a custom

desktop client application rather than to a web page. Thus, Dots enable Internet media

to posses application behavior without the use of a browser or custom application.

[0081] From a Dot provider's perspective, Dots provide direct access to users;

and a persistent branded presence on users' desktops beyond and without the browser,

page 28 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

thus providing full control of the user's experience. That is, a Dot that is instantiated on

a user's client computer has the user's display real estate. Conversely, a web page

typically must be navigated to through the use of a web browser each time a user views

the web page media, and once the user navigates to a new page, the display space

(browser window) effectively belongs to the new web page content. As previously

described, the branded experience may be wholly defined by the Dot developer, thus

removing the rendering and branding limitations of a web page in a browser. Without the

present invention, an alternative available to the Internet content developer is to develop

a custom application that must be downloaded each time it is changed or alternate

content is desired to be displayed.

[0082] In summary, Dots give users a unique way to experience web media by

virtue of its: (1) flexible frame definition; and (2) a Dot's persistence when

instantiated. Because of their ability to persist on a user's desktop apart from a

browser, Dots also provide a convenient method to access content and services

(without separate user selection of a URL). With a Dot for each of a user's specific

Internet data needs, Dots eliminate the need to download custom software from

multiple sites for various content.

Rather than implementing custom client applications in Java, C++, etc., Dots are defined

using XML and filled with standard Internet content such as HTML, GIFs, and the like.

[0083] The present invention also provides for the ability to collect and share

favorite content and application like behavior (i.e., sharing Dots). Links to Dots may

page 29 Attorney

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

be easily emailed between friends. Dots may be collected according to common

interest, usage requirements or the like and defined as groups called Dot Packs. These

Dot Packs may also be shared as links via email or server.

[0084] A fundamental aspect of the present invention is the creation of a Dot,

including packaging of Internet content. The goal is to create an Application Media

Packages (Dots) which combine the packaging, application behavior, and presentation

of Internet content with the content itself (or typically a pointer thereto), as a single

integrated unit (a Dot). Therefore, Dots are the integration of application and media.

[0085] This is fundamentally different than rendering internet content in a

viewer application or alternatively, developing, distributing, and supporting a custom

client-side application. Typically, a Dot definition includes details regarding a window

"frame," and the Dot content has access to the entirety of this frame. The details

regarding the frame is itself Internet content, so that the entire Dot "package"

(including the definition of the package) is Internet content. Thus, the Internet content

is not trapped in a third party viewer (e.g., Stock Trading site's web page in Microsoft's

browser).

[0086] Dot content has access to application behaviors of the window frame

(size, position, look and feel) and of the Dot application system (show other Dots, delete

Dots, etc.) For example, a Dot may change color according to ongoing data updates that

page 30

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

it receives over the Internet. A Dot designed to display weather information may become

increasingly red as temperature data received for a particular city or region increases.

[0087] Furthermore, a Dot may communicate with other Dots. Communication

between Dots is typically carried out by two or more Dots that are instantiated on the

same client and enabled such that they may participate in inter-Dot communication. In

one embodiment, communication is carried out by messages that are passed between

Dots via the Home Dot that instantiated them. These messages may pass data, alter

controls, or result in behavior change for example. Not only may Dots of the same kind

communicate but in one example, Dots formed between different developers may also

communicate and affect one another. Such communication may be apparent or may be

a background function supporting some aspect of a Dot's functionality.

**DOT AND DOT DEFINITION** 

[0088] With the above general description in mind, we now turn to a description

of a Dot and its definition. With reference to Fig. 2, the primary components of a Dot 100

are: Internet content 101, a visual object(s) 102 within which the Internet Content 101

may be rendered, and operation element(s) 103, which perform certain functions, each

discussed further below.

[0089] A Dot 100 is an instantiation of a Dot Definition 104, the components of

which are illustrated in Fig. 3 At its broadest, Dot Definition 104 comprises an XML

(or similar) definition 105 (which may include initialization data, control calls, and a

page 31

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

frame definition, discussed further below) and a Network Reference 108 (e.g., a URL)

to Internet content 101. (See, for example, Appendix A - DoDots XML Specification.)

[0090] XML definition 105 includes an appearance definition 106 for defining

the appearance of a GUI (discussed further below), and a control definition 107 for

defining controls associated with the GUI. Definitions 106 and 107 will typically

include initialization data, control calls, and other elements. Optionally, a Dot may

include message data 110 (e.g., access to the Dot Messaging Architecture). Application

Media Package 104 (or "Dot Definition") may also include tags 112 to identify the Dot.

[0091] The XML definition 106 of the appearance of the GUI typically includes

a frame definition, specifying size, location, etc. Fig. 4 is an illustration of an

instantiation of a generic GUI 160, which comprises a frame 162 typically divided into

a number of control regions. For example, Frame 162 may include a title bar 164, a

bottom bar 166, a menu control region 168, and other definable control regions 170,

172, and 174. One critical control region, referred to herein as the Web Conduit 176, is

a regions in which many Dots will present Internet content. Returning to Fig. 3,

definition 106 is responsible for providing the dimensions and general shape of the

GUI.

[0092] The control definition 107 defines the layout (arrangement of the

controls) and functional routines or pointers to functional routines (what the controls

do when accessed by a user). Control definition 107 may also include XML Dot calls

that are made by the Dot Definition and executed by the Home Dot (not shown here).

page 32

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

[0093] Network reference 108 will typically be a URL containing the address of

a server having stored thereon data (Internet content) for retrieval and display within

the GUI. Functionality typically provided by an associated Application Media Viewer

controls the accessing of the server at the URL and the retrieval and processing of the

Internet content for display. Importantly, retrieval and display of the Internet content

may take place without resort to a Web browser application. Indeed, no Web browser

application need be executing or even present on the client to support the Dot

functionality (although embodiments in which Dot functionality is operational in

conjunction with browser functionality is within the scope of the present invention).

Internet content obtained from network reference 108 may be rendered within the

frame defined by and according to the layout definition dictated by the frame

appearance definition 106. Internet content obtained from reference 108 may itself

include XML calls providing certain functionality.

[0094] As previously mentioned, Dot Definition 104 is typically XML code.

These definitions are quite simple to author, and to edit if needed. The definition is

content, rather than compiled code, which provides additional flexibility at the client

side should it be desired to modify the definition (e.g., allow a user to edit the network

reference). As will be described further below, when an Application Media Viewer is

operating on the client computer, the Dot is effectively both content and instructions.

This is therefore a method of packaging internet content as an application (as compared

to a hard coded custom client application) for operation on Internet content.

page 33

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0095] Fig. 5 illustrates a rendering or instantiation of a Dot Definition as a Dot

120, in this case a visual indicator of likelihood of rain, expressed as a percentage 122,

and rendered against an image of a cloud 124. According to the above, a definition for

Dot 120 includes a definition of the size, configuration, and location of a first control

space 126, a specification of the layout and functionality of control interfaces (or simply

controls) located in a second control space 130. Examples of such controls include a

"close" button, a "resize" handle, etc.

[0096] The image of a cloud 124 against which the likelihood of rain is rendered

is a static image. The data representing the instructions for rendering the cloud may be

found in the appearance definition 106, and thus resides within the Dot Definition 104.

Alternatively, the data representing the image of the cloud 124 may be obtained from the

location to which network reference 108 points. In either case, the data representing the

cloud is utilized by the Application Media Viewer 104 to render the cloud image.

[0097] The actual data representing the likelihood of rain may be standard

Internet content, and will change periodically as controlled by the source (e.g., the

Internet content itself obtained from a server at network reference 108) of the data.

Since this data changes as controlled by the source, the data is considered dynamic.

This dynamic data is obtained by the Application Media Viewer from the source, and

rendered atop the static image of the cloud. The frequency of updating and re-rendering

of the dynamic data may be under the control of the Dot Definition or the source of the

data, as a developer deems appropriate. The data to be rendered with the image may for

example overlay, or be rendered in a layer on top of the image, beside the image, in the

page 34

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

same layer or otherwise, in a layer under the image layer, etc. However, there is

generally an intended relationship between the position of rendered Internet content and

other items rendered in the graphical user interface. For this reason, we say that the

Internet content is rendered "in association with" the image within the graphical user

interface.

[0098] It will be appreciated that Dot 120 is merely on illustration of a Dot, and

many other types of content, format, layout, controls, functionality, etc. are

contemplated by the present invention. For example, additional display of information

and/or control may also be presented in top/bottom bars 132, 134, respectively, as will

be understood by one skilled in the art.

[0099] Thus, a Dot is defined using, for example, an XML file which is the

embodiment of a Dot Definition 104. The definition contains enough information that,

when instantiated, the Dot may be rendered and filled with Internet content from a

source. The Dot Definition contains data used to define and configure a frame and its

elements, specify and lay out the controls, and specify parameters that initialize all the

Dot's components with content and data.

Frame Definition

[00100] A frame can be defined to have a configuration (e.g., base shape), size

(e.g., dimensions), and initial location (which may be changed by a user upon

instantiation). In additional, a simple Dot may be defined to have four default

page 35

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

functional frame definition controls, for example to encourage user interface

consistency between different Dots. These four default functional controls include a

title bar 132 (Gif rendered with title bar properties), a Dot menu 136 (with flexible menu

entries), an exit control 128, and a bottom bar 124 (Gif rendered with bottom bar

properties) with corner elements 138, 140 (for sizing and consistent user interface). A

default layout of these functional components may be set, such as positions for the title

bar 132 at the top of a Dot (Dot Menu 130 on its left, exit control 128 on its right) and the

bottom bar 134 at the bottom of a Dot (with corner elements on either side). It will be

appreciated that this is one form of layout, of which many others are within

contemplated within the scope of the present invention.

Title bar

The title bar 132 may contain a reference title for the Dot and may

provide for a place for a user to grab and drag the Dot in a windowed environment. It

may be implemented as a GIF rendering control that can be targeted to a local/remote

title bar 132 image (an embodiment supports four title bar images - normal, mouse-

down, mouse-over or hover, inactive). In this embodiment, the title bar 132 has a fixed

height and width that is a function of the frame's width. The Dot Definition allows the

title bar 132 image to be justified left, right, or center and for specified number of

repeatpixels, the title bar image may be tiled the full width of the Dot. Overlay text can

also be specified to layer on top of the title bar 132 image.

page 36

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00102] The default size and the default position of the Dot are defined as part of

the appearance definition 106 in the Dot Definition 104. The Dot size can be specified in

pixels, or Dot Units (1 Dot Unit is 40 pixels), etc. Dot Units can define a grid that keeps

Dots sized on the same units so that they more easily and neatly align as well as cleanly

snap to each other when Dots are used together. Dots can be sizeable or fixed-size in

either dimension or both. The default screen position for a Dot can also be specified in

pixels, or in relative position - top, left, center as provided for in the appearance

definition 106 in the Dot Definition 104.

Menus

[00103] One embodiment of the present invention reserves the four corners of a

Dot for functional branding elements. The upper left comer is currently reserved for

the menu control 136. The upper right corner is currently reserved for the Dot exit 128

control. The lower corners 138, 140 are for sizing the Dot if the Dot is resizeable. Menu

entries for branded menu control 136 (upper-left) can be specified in the Dot Definition

104, for example at 112. The menu items can be named, assigned images and tooltips,

and assigned a specific and targeted action, for example a DotMessage 110 sent to a

specified element (address). (See DMA messages hereinbelow). The Dot exit 128 button

(upper-right) closes the Dot. An on-close action (such as a message and address pair)

can be assigned to the Dot close event.

page 37

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

**Bottom Bar** 

[00104] The bottom bar 134 may be implemented much like the title bar 132,

including supporting text overlay and additional functionality. (See Appendix A

DoDots XML Specification for a list of controls with XML call and their definitions)

**Alternative Embodiments for Controls** 

[00105] Dots may be defined so as not to require a rectangular title bar 132 or

bottom bar 134 (at top and bottom). Indeed, either or both of title bar 132 and bottom bar

134 may be omitted in a Dot Definition. Other controls (128, 130, 138, 140) may also be

omitted, provided certain functionality (e.g., exit or close) is otherwise provided.

Furthermore, every functional element in a Dot may be defined as a control element that

is relatively positioned and layered (in z order) - much like layers in HTML.

Transparency may also be a given property. Different layers and controls may be given

Dot properties (such as a title-bar-move-property or Dot-menu-property). Very

flexible Dot interfaces may therefore be provided. (See the specification for the

<DOT> and <CONTROL> DTDs in Appendix A - XML Specification.)

**Control Space** 

[00106] Between the title bar 132 and the bottom bar 134 is a control space 126

where one or more controls, images, data, etc., can be flexibly positioned. For example,

control space 126 may include a web rendering control referred to herein as a Web

page 38

Attorney docket: IMS 07-05-06

Ar

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Conduit (described further below). The Dot framework supports any Active-X based

control which may be positioned and initialized in a Dot (e.g., an on-line stock trading

company may implement a custom stock chart-rendering control and define a Dot to

permit a user to interface with this control).

[00107] A Dot may be defined to include a static image over which dynamic data

may be displayed, such as the example of Fig. 3 in which a static image of a cloud has

rendered thereover dynamic (changing) data relating to likelihood of rain.

Alternatively, the Web Conduit may render in control space 126 purely static data

obtained from the Dot Definition, a URL, or the client device, as well as dynamic data

resulting from client-run processes, pushed or pulled Internet content, etc.

[00108] The Web Conduit control is just one of many controls that may be

included and positioned in a Dot's control space 126. For example, Active-X based

control can also be referenced and inserted. This capability allows a Dot developer to

implement a custom control. For example, a stock ticker display may be implemented as

a custom secure chart control that renders stock tracking charts with small streams of

secure xml data. Such a custom control can be laid out with a Web Conduit control next

to it if the Dot developer chooses to do so. Also, just like a browser, a Dot developer

may embed an active-x control in an HTML page rendered in the Web Conduit control as

is the practice for use with full screen browsers.

page 39

678

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Web Conduit Control

[00109] The Web Conduit (mentioned above) control can render Internet content

in a fashion similar to Microsoft's Internet Explorer TM (IE). This control functionality

may be provided, for example, by utilizing Microsoft's Internet Explorer's (1E4+)

WebBrowser object. Note that the 1E4+ object does not constitute a browser. The Web

Conduit merely uses rendering tools of the object - it does not invoke or require the

Internet Explorer application or any other web browser application. Rather,

functionality is provided to support rendering of Internet content so as to integrate this

control with the Dot framework and to receive and transmit messages in and out of the

HTML rendered in the control.

**DOT IDENTIFICATION** 

[00110] A Dot can be identified (in the Dot Definition 104) by three ID strings:

GlobaliD, Domain, and Kind reference. These are defined as follows:

GloballD - A Dot's GloballD is used when a Dot Definition is within a Share

(described further below); this ID is unique with respect to other Dot tags in the

Share.

Domain - A Dot's Domain is a unique label for the owning company Dot

developer of the Dot.

page 40

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Kind - A Dot's Kind (specified by the Dot developer) is a helpful identifier for

finding the Dot; A Dot's Kind does not have to be unique.

**CATEGORIES** 

[00111] In an embodiment of the present invention, categories are used as an

organizational tool. A Dot Definition 104 (Fig. 3) may be provided with an element

150 defining the category(ies) with which that Dot is associated. A mechanism is

provided to allow identification of categories of Dots, useful for selecting, sorting,

organizing, etc. The categories that a Dot belongs may be edited by editing the string

elements in the Categories element 150 of the Dot Definition 104. (See the

specifications for the <ALLCONFIG> and <DOT> DTDs in Appendix B.)

**EVENTS** 

A Dot Definition may also include an Events element 152 in which

actions can be assigned to certain Dot Events such as the Dot's ONCLOSE event.

DotEvents can be assigned a specific and targeted action much like a menu control 136

item; currently this action is implemented as a DotMessage sent to a specified recipient

(see DMA messages, below). When the specified DotEvent occurs, for example

ONCLOSE when the Dot closes, the specified message is sent to the specified recipient.

page 41

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

HOSTING AND SERVING DOTS

[00113] Referring again to Fig. 1, web server 50 may serve the Dot Definitions

104 as an XML file to client computer 20. The Dot Definition 104 may be served

elsewhere such as a third party or partner server (not shown) along with the Dot content

that fills in the Dot. In one embodiment, this XML specification may be kept

proprietary and Dot developers define and package Dots indirectly without having to

author XML Dot Definitions. In another embodiment, the xml specification is open,

and content providers (and others) have complete control of the authoring of Dots.

[00114] Dot Definitions 104 are indexed and stored in server 50 in database 74,

and are accessible to Dot-rendering and Dot-management applications (the

Application Media Viewer) via "DotLinks". These DotLinks, based on standard Internet

links, point to particular Dot Definitions and enable the Application Media Viewer

(using standard HTTP / HTTPS requests) to quickly access the frame and initialization

pointers or URLs in the Dot Definition to instantiate the Dot and render it, filling with

DotContent (Internet content served by a partner Dot Developer servers 82).

[00115] Dot developers (e.g., content and/or service providers) serve the Dot

content obtained from source or reference 108 (the standard internet content that fills in

the Dot). According to one embodiment, Dots may be served my numerous different

non-proprietary servers 50. Content may also be provided by a non-proprietary server,

as specified by the Dot developer. Of course, it is possible that in other embodiments

specific Dots may only be obtained from certain sources.

page 42

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00116] Because the Dot Definition 104 contains enough information to

instantiate the Dot as well as the reference 108 that address the location at which the

Dot content is located, a Dot is easily and quickly distributed, as well as collected and

shared by users. (This is discussed further herein with regard to Viral Distribution

Architecture.) It is therefore easier and faster to get information delivered in a Dot than

in a web page.

[00117] This Dot-based architecture is very different than the current model of

Internet content. Today, users visit web sites, following a destination based model of

content access. The process of accessing media therefore is sequential or linear,

resulting in user viewing of one full-screen web page at a time. Furthermore, there is no

simple to use or inherent mechanism in place today to share sites short of sending

URL's.

ENHANCED DOT CONTENT

[00118] The Dot Definition 104 code is accessible, flexible and dynamic,

enabling it to be modified at any time, even after it is rendered. For example, Internet

content 101 (HTML) can access and modify its associated Dot Definition 104 by

sending messages to other portions of the definition, such as the definitions of

appearance 106 or control 107. Other application system-level functionality is also

available by sending messages to the Application Media Viewer 199, discussed further

below.

page 43

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

By using an architecture, referred to as Dot Messaging Architecture, for

communication between Dots, and between a Dot and the Home Dot, standard Internet

content can access and enable Dots to exhibit application behavior. That is, content can

provide functionality typically associated with applications, such as dynamic refresh,

contextual presentation, interactive response between user actions and changes in the

graphical user interface or frame, etc. (See "Dot Messaging Architecture" below.)

**EXAMPLES** 

[00120] The following examples are used to demonstrate key features of the

present invention Some of these features include: a new way to view standard internet

content, a fully branded experience for the user, and a web experience that delivers true

application behavior. Sample companies are used in these examples to demonstrate

branding together with the use of internet content to produce a novel user experience

that transcends the web page. Trademarks are those of the respective companies.

E\*TRADE

[00121] E\*Trade's primary business is to enable users to execute securities

trading online. Therefore stock information and notification are essential elements to

their business, as are the transactions themselves. Persistent display is an important

aspect of Dots as is the ability to provide ongoing data updates. Below is a list of aspects

that illustrate novel advantages that Dots provide for a possible E\*Trade Dots system.

page 44

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

E\*Trade can leverage their existing content through Dots (Dots are built on

top of standard HTML]

E\*Trade can break their content into smaller pieces and package them into

Dots.

E\*Trade can give their Dots application behavior. Instead of being trapped

inside a viewer window, content providers have access to the entire frame,

which enables them to brand the Dot, access to application and system

features. Content providers can also enable users to resize the Dot click, to

open E\*Trade Dot, ability to execute operations in the Dot such as trading

stocks as opposed to only monitoring stock prices.

Because Dots can be left on or actively running and displayed on a client

computer, they can notify users of coming/pending/immediate content

events. A Dot can notify a user in many ways, including: popping up a

message box or another Dot. A Dot can resize itself and show new content

and/or bring itself into focus, as a window application may also do.

**MERRIAM-WEBSTER** 

[00122] In this example, Miriam-Webster has word definition content that is

useful for users to access. Users may be better served by the Dot format of presenting

as opposed to traditional web pages. Below is a list of features that illustrate this point.

page 45

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

- Miriam-Webster can leverage their existing content to provide a dictionary

"application" in a Dot; the content is HTML delivered from their servers. In a

Dot, Miriam-Webster can provide direct access to just the information users

are interested in viewing without requiring them to disengage in other

operations such as word processing work in order to actively seek

information from MiriamWebster's servers. Additionally, content providers

can add new functionality to their Dot applications just by changing the

HTML files on their servers that are delivered to Dots or as Dot Definitions

104. They do not need to revise and redistribute custom client software.

EBAY

[00123] A single company such as eBay can use multiple Dots to engage users

from many points at the same time to facilitate a more complex process, such as online

auctions or shopping. Many companies have an enormous amount of assets (content,

services, and applications) that can only be exposed to users one page at a time.

Featuring and exposing new or important functionality is often difficult to do if they

are buried several pages deep.

- Dots enable content providers to feature and expose functionality and

services in a new form, leveraging and featuring all of a company's assets in

a consistent way. eBay, for example, might provide a "MyBids" Dot that

allows users to more closely follow those items they have bid on (the current

asking price and when the auction will expire).

page 46

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

eBay can also provide a Gallery Dot. Instead of wading through thousands of

thumbnails in the gallery section to find what their looking for, users can

identify categories they are interested in and window shop these items, click

on a particular item to add new item to MyBids Dot. When a user finds

something that interests him, it's added to the MyBids Dot with a single

click.

There is a messaging architecture (DMA) that may be enabled by the Dots

architecture disclosed herein which enables Dots to communicate with each

other, thus facilitating operations between Dots without user intervention.

For example, a user finds an item of interest in a "Gallery" Dot. He clicks on

that item and it is added to the "MyBids" Dot with a single click.

Additionally, a "BidDot" may be caused to pop up for that single item,

allowing a user to directly bid and monitor the auction process. He clicks on

a second item in the "MyBids" Dot and another "BidDot" pops up.

MP3 DOT

[00124] By using an embedded MP3 playing control, an MP3 playing Dot can

access, play, and manipulate MP3 files and playlists from a local hard drive or the web,

just like a custom MP3 client application. The significant difference is that the GUI &

eontrols (the Dot Definition 104) are entirely Internet content (HTML, javascript, etc.)

and can change simply by modifying HTML files online.

page 47

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**MOBILE/OTHER PLATFORMS** 

[00125] Dots can be viewed as a smaller format package for internet application

media. This package is more portable than executable applications as well as full screen

formatted media for browsers. Portability across platforms requires consideration of

diverse screen sizes and resolutions as well as operating systems and user interface

controls. This diversity requires companies or users who require cross platform media

distribution to scale down their existing content for portability to mobile devices. No

such scaling down is required when deploying Dots and the Dot architecture.

**APPLICATION MEDIA VIEWER (HOME DOT)** 

[00126] As previously mentioned with regard to Fig. 1, a client computer 20 will

ideally have stored thereon a software component referred to as an Application Media

Viewer (or Home Dot) 119. As Application Media Viewer 119 performs a number of

functions in the process of acquiring, instantiating, modifying, etc. Dots, in addition to

simply viewing Dot content, we also refer to an Application Media Viewer as Home

Dot herein. The Home Dot 119 is effectively a client application that contains the

procedures or calls to procedures for rendering and managing Dots on the client

computer. It therefore has attributes of an application. The Home Dot is designed to

operate in conjunction with a Dot Definition, and vice versa.

[00127] Data contained within an Application Media Package (Dot) is parsed by

the Home Dot. Control calls contained within the Dot are also parsed and executed.

page 48

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

The Home Dot may then instantiate a Dot without relying on functionality provided by

a browser. In fact, in a preferred embodiment, a browser is not employed to instantiate

or operate an Application Media Package.

The Home Dot is a compiled application, and can be programmed using,

for example, MFC (Microsoft Foundation Class) or the like. The Home Dot need only

be downloaded to the client computer one time and may be used to instantiate any

number of Dots. The Home Dot is capable of executing and displaying multiple Dots

simultaneously.

[00129] The Home Dot may be provided with the ability to automatically or

manually check for updated versions of itself on a server. If a newer version is detected,

the user may be provided with the opportunity to download and install the updated

version of the Home Dot.

[00130] The Home Dot supports a number of functionalities, including support

for standard internet protocols (https, https, etc.) Additionally, the Home Dot supports

collecting and organizing Dots, user login to a Dot server, user activity statistics

collecting and reporting, and messaging between Dots, as discussed further below.

NETWORK DISTRIBUTION OF DOT AND HOME DOT

[00131] Referring now to Fig. 6, a communication flow diagram 299 is shown for

an exemplary system enabling the distribution and use of Dots between a client

page 49

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

computer 199, a server (Dot server) 153 making available one or more Dots including

a Home Dot, and content server 155, each with a network address or IP address. It will be

assumed for this particular example that a Home Dot 200 has previously been

downloaded and installed on client computer 199. It will also be assumed for this

example that Dots 120 and 230 have been previously requested (the mechanism for this

request is described further below).

[00132] Accordingly, Home Dot client application 200 utilizes Dot Definitions to

instantiate Dots 120, 230. There may be ongoing communication 250, 260 between

Home Dot 200 and Dots 120, 230, respectively. This communication may include data

for the presentation of Internet content, messages, and/or state information.

Furthermore, the Home Dot client application 200 executing on a client computer 199

communicates with Dot server 153 through a channel 210 (such as a broadband Internet

connection) as well as Content server 155 through channel 157. Internet content is

received by Home Dot 200 and rendered into the instantiated Dots 120, 230, as

appropriate. The Internet content may be one or more of many formats, such as XML,

HTML, GIF, Streaming Media, Flash, HTTP, HHTP(S), etc.

[00133] The Dot server 153 is communicatively connected to a physical memory

device 201 which holds a database 202 containing software objects for downloading to

or access by a client device such as client computer 199. This physical memory device

201 may be a RAID hard drive system, a standard hard drive, removable media, or any

other type of volatile or non-volatile memory known in the art. Database 202 may

contain one or more Dot Definitions 104, the Home Dot client application 200,

page 50

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

available for download to the client device, as well as user account data 205, state data

including use statistics 206 and Dot index/shares 204 (each described in further detail

herein).

The content server 155 is communicatively connected to a physical

memory device 261 which holds the Internet content 262 as well as other forms of data

263. Content/data 262, 263 is communicated to the instantiated Dots 120, 230 where it

is rendered on the client computer 199. In general, content passes first through the

Home Dot application 200 or a similar client computer 199 program that is capable of

receiving and parsing Internet content. Therefore, the connections between content

server 155 and Dots 120, 230 are shown as dashed lines, indicating that for this figure

the connection is indirect.

Unlike a downloadable custom client application, the Dot Definition 104

is comprised entirely of Internet content in a web browser readable language. To

instantiate a Dot on a client device or computer, only its definition is required (assuming

that the computer is Dot-enabled, i.e., that the Home Dot client application 119 has been

installed). The Dot Definition 104 contains just enough information to define, layout,

and initialize a Dot's components (frame, controls, etc.); this information configures

the graphical user interface which may then present Internet content therein.

Consequently, a Dot Definition typically has a small file size (-2KB), and is therefore

a quickly accessible and loaded XML file.

page 51

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00136] One aspect of software distribution according to the present invention

may proceed as illustrated in Fig. 7. A user first requests a Dot, or more specifically its

Dot Definition 104, by clicking on a Dot link 321 which may be a hyperlink on the web

page of a Dot server 153, a web page of a partner or content server 155, or selectable

menu item on the client computer 199. A Dot link 321 is an Internet link to a Dot

Definition 104. A Dot link operates in a manner similar to an HTML link. One added

feature associated with a Dot link is that the server that serves the Dot Definition 104

typically has a sensing mechanism that can determine whether or not a user has the

Home Dot application 200 installed on his computer or device 199.

[00137] If the user does not have the Home Dot application 200, then it is

downloaded and installed with a first set of Dots (e.g., 120, 230) that may be user-

selected or part of an initialization set. This download process is discussed in further

detail below. From that point forward, the client computer 199 is Dot-enabled and does

not require a subsequent download and install of the Home Dot. In short, only a single

application-like software product need be downloaded and installed on a client device to

enable a variety of different Dots, as opposed to requiring a user to download a different

custom client application for different types of Internet content.

[00138] Once a client machine 199 is Dot enabled, (Home Dot application 200

installed), Dot 120 may be rendered by simply clicking on Dot link 321. This rendering

is typically faster than it takes to load and render a small web page. Furthermore, once

the Home Dot application is installed on the client no additional executable software

need be downloaded or install to view and interact with a Dot. The Home Dot

page 52

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

application 200 accomplishes this by retrieving the Dot Definition 104 specified by the

Dot Link 321, instantiating the Dot 120, obtaining the Internet content specified by the

Dot Definition 104, and presenting the Internet content (standard Internet content from

DoDots' partner servers 155) within the Dot.

[00139] Once a user has received a Dot Definition 104, the Home Dot application

200 remembers the Dot's Dot Definition 104 as part of a user-profile 310 so that it can

instantiate the Dot and begin filling it with Internet content immediately. Because a

Dot Definition 104 becomes part of a user-profile 310, it can be modified by use (e.g.,

the image of its default title bar 125 may be changed, its Web conduit control may be

navigated to a different URL using DMA messages, etc.) and the Dot will initialize

from its last state stored in user profile 310 the next time the Dot is to be instantiated

(or "turned on") at 324.

[00140] A Dot Link 321 represents a Dot. Therefore, this Dot Link 321 (and

hence the Dot 120) can be easily and instantly distributed; the Dot Link 321 can be

posted on web sites to promote the Dot, or shared with friends via email. This is viral

distribution of a Dot, via distribution of the Dot Link 321 - the Dot server hosts and

serves the Dot Definitions 104 to which the Dot Links 321 point. Dots may also be

aggregated into packs by content providers, or other aggregator, grouped for example

by like subject or perceived common user interest, and the packs of links acquired by a

user at 322 as if they were single Dots. A user may also provide others with access to

Dots when the actual Dot Definition is located on a remote third party Dot server. This

may be accomplished by way of a Sharelink, which may be provided by a user at 302.

page 53

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

Others may then access the Dot, including setting changes that may have been made by

the user, by accessing the Sharelink provided at 320.

[00141] From the client perspective, one example of a process of acquiring and

instantiating a Dot is illustrated in Fig. 8. According to this process, a Home Dot is

employed to acquire a new Dot, however it is within the scope of the present invention to

acquire new Dots through other mechanisms, such as through a web browser

application (with utilization of the Home Dot ultimately required in order to instantiate

and populate the Dot). After logging in at step 240 the user clicks on the Dot Link

signaling a request to acquire the Dot. The Home Dot application then sends a request to

download the Dot to the Dot server 153 at step 241. Alternatively, the user may click on

a Dot link before logging in, for example if the link has been e-mailed to the user, and

then, after clicking on the link, log in. In another aspect, the user could view, but not

collect, a transient Dot without ever logging in.

[00142] After the user is logged in and has clicked on the Dot, the Dot server 153

retrieves the Dot template from its Dot database, and provides it to the client in step 243.

The client receives the Dot template from the Dot server 153 in step 244.

[00143] For display of the new Dot, the Home Dot creates a frame in the display

of the user interface (42, Fig. 1) in step 245. In step 246, the client 199 requests the

necessary Internet content stored at the URL(s) identified in the Dot Definition from

the corresponding content server 155. The content server 155 transmits the content in

step 247, and in step 248 the Home Dot presents the content in the viewer. As the type

page 54 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

of content may vary greatly, the content may appear within the frame (such as text or

images) or may be independent of the frame (such as audio) but the frame including

controls for controlling aspects of the presentation of the content. In one embodiment,

Internet content is presented such that it is enclosed by the frame, allowing the user to

preview the Dot. Alternatively, the Home Dot may simply collect the Dot, adding it to

the user's processed user profile 310.

[00144] The user may then view the Dot on the user interface display 42, and may

interact with the Dot much in the same way as a user may interact with typical Internet

content or web applications. This may change the Dot from its present, "raw" state to a

used state reflecting alteration or use of the Dot by the user. Thus, the user has stored

on client 199 a Dot Definition rather than a Dot template. For example, the user may

direct the Dot to display different content within the Dot if the Dot content enables the

user to do so. Or, the user may provide information to the content server 155 which

allows the Dot to be personalized. The user may additionally be given the option of

changing the size or location of the frame, etc.

<del>[00145]</del> If the user collects the Dot, the Dot will be added to a user's list of

collected Dots. For example, the Home Dot may add the Dot's definition to a processed

user profile (discussed further below). Thus, the Dot's "state" will be preserved.

Alternatively, the Home Dot may collect the Dot automatically, without waiting for a

user command, by adding the Dot Definition directly to the processed user profile.

page 55

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00146] If the Dot's state has been altered by the user or by the content if, for

example, the user has directed the Dot to Internet content other than the initially-

displayed content, provided personalizing information, or changed the properties of

the frame, or if the content itself has caused an alteration in the Dot - this alteration will

be reflected in the Dot Definition stored in the user profile. Information which

personalizes the resulting content, instead of being stored in a "cookie" on the client

device, can be stored as part of the Dot Definition. This advantageously permits

personalization of content, such as Internet content that is associated with the Dot

content and the user, without storing a cookie on the client 199. One advantage this

provides is that the state of a Dot can be returned for a user no matter which computer

the user accesses the dot from.

[00147] A user may also access a Dot which has been previously collected, and

possibly altered by use as explained above. As previously described, the user profile

includes Dot Definitions for Dots which have been viewed and collected by the user. A

screen shot showing Dots 502, which have been previously collected by a user is shown

in Fig. 9. Also shown are Dot Links 504 available from a Dot server for download to

the client.

[00148] One embodiment of the steps taken to provide the user with Dots which

have been previously collected are shown in Fig. 10. According to this process,

acquired Dot Definitions are maintained on a central server, and a user profile identifies

which Dot Definitions are associated with particular users. This is useful when a user

page 56

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

may be using more than one computer and wishes to have access to her collection of

Dots on any machine she is using. However, it is equally within the scope of the present

invention that Dot Definitions may remain resident on a user's computer and not be

stored for that user on a central server or the like.

[00149] As discussed above, on login at step 250 the user's profile is retrieved by

the Home Dot stored on the client (step 251 and 252). The user's profile, stored in the

user profile database, includes the Dot Definition for each of the Dots previously

collected, and possibly altered, by each user. The Dot Definition, as discussed above,

includes the Dot frame definition and the definition of the controls for filling the

viewer within the frame with content. After log in, a local copy of the processed user

profile is stored on the client 199, and this copy is further processed as the user collects

new Dots, or uses new or collected Dots such that the Dots are altered.

[00150] When the user clicks on the name or icon of a collected Dot at step 253,

the Home Dot creates a frame in the display of the user interface (40, Fig. 1) in step 254.

At step 255, the Home Dot causes the client 199 to request the Internet content from the

URL identified in the Dot Definition from the corresponding content server 155. This

content is provided in step 256. It will be appreciated that the URL need not be the

same as the initialization URL in the Dot template stored in the Dot template database

202 on Dot server 153, and in fact the content server need not be the same content

server corresponding to the initialization URL. In step 257, the Home Dot places the

content in the Dot frame, and the Dot is then fully instantiated with content.

page 57

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**HOSTING DOT DEFINITIONS** 

[00151] Dot web servers 153 host and serve the XML Dot Definitions 104 and

provide the Dot Links 321, Dot Packs 322, and sharelinks 320 that point to the Dots so

that a user can easily and instantly add the Dot 104 to their Home Dot application 200

(adds Dot 104 to their user-profile 310) simply by clicking on the Dot Link 321, pack

link 322, or sharelink 320. The Home Dot application 200 registers with the local

browser/computer so that Dot Links 321 are handled by the Home Dot application 200

(and not by the browser).

**Dot Templates and the Dot Index** 

[00152] New Dot Definitions 104 get published to a Dot index 204. A Dot

Definition can be modified by a user once downloaded. Since these new Dot

Definitions are resident on the server for downloading, they are also referred to herein

as Dot templates. The Dot index stores Dots definitions or templates 104 in database

202 on Dot server 153 by category (such as sports, finance, games, etc.) with

descriptions and images for each Dot. In the preferred embodiment, Dot Definitions

104 are published to categories specified by the Dot developer. Categories can also be

automatically created to support indexing Dots that are most popular (most commonly

accessed, most commonly shared, or other sorting criteria).

[00153] Dots as well as their index are formatted as Internet content. Therefore,

users can browse or search the Dot index for new Dots using either a browser or using

page 58

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

their Home Dot application 200 application (via an AddNewDot Dot or like

functionality).

[00154] Each of these Dot templates (Dots definitions 104) are pointed to by a

Dot link 321; the Dot link 321 for each Dot is generated automatically by the server

153 (when the Dot is published or previewed) to reference that Dot. Clicking this Dot

link 321 adds the Dots definition 104 to the user's Home Dot application 200 (via the

user profile 310) and turns the Dot on (instantiates it and fills it in with internet Dot

content).

[00155] Once a user receives a new Dot (Dots definition 104) by clicking on a Dot

link 321, the Dot template is downloaded and becomes a Dot Definition 104 forming part

of their user profile 310 (the XML data that defines which Dots the user has, which Dots

they left open, what the state of the Home Dot application 200 last was, etc.).

[00156] The Dot server 153 may optionally host Dot Definitions 104 as part of

user profiles 310. Every Home Dot application 200 user has a user profile (expressed in

XML) that stores the Dot Definitions 104 that the user has collected as well as the last

state of the Home Dot application 200. (See also the specification of the

<a href="#"><ALL-CONFIG> DTD in Appendix B.)</a>

[00157] In an additional optional embodiment, when a user successfully logs into

the Home Dot application 200, state restoration may be provided by Dot server 153. In

this embodiment, authentication may be handled by the Dot application server 153 (as

page 59

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

opposed to the user device and Home Dot). The Home Dot application 200 requests the

user profile's <ALL-CONFIG> file 311 by communicating with Dot server 153 at 303.

The Home Dot application 200 then receives the <ALL-CONFIG> file 311 from Dot

server 153 at 303, and restores its state (from the <ALL-CONFIG> 311) presenting last

user state, i.e., which Dots were ON, where the Home Dot application 200 was

positioned on the screen, etc.)

[00158] The Home Dot application user interface (UI) enables a user to turn a Dot

ON 324; in this case the Home Dot application 200 has the Dot Definition 104 (part of

the user profile 310 that was retrieved on login) that contains enough initialization data

to instantiate the Dot and fill it with content just as the user left it.

[00159] As previously mentioned, Dot Definitions 104 that are part of a user's

profile 310 may differ with use. In other words, the Dot Template from which they

were originally created may have a different state than a Dot Definition 104 that has

been modified through use. The user profile could also be implemented to point to Dot

Definitions 104 that are always hosted remotely (and/or not entirely part of the user

profile).

Centralization of Dots within the Home Dot 200 enables users to collect

Dots. This feature is significantly different than today's model of the Internet in which

users visit a page one at a time, and then leave. Users collect Dots, keep them running,

and share them with others. By packaging Internet content as a Dot and referencing it by

page 60

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

a Dot link 321, Internet content is given viral characteristics (i.e., Dots can be instantly

distributed). (See also the Session\_Config Example found in Appendix B.)

**Shares** 

[00161] Dot servers 153 also host Dot Definitions 104 as part of Shares. Because

a Dot link 321 represents a Dot, this Dot link 321 (and hence the Dot) can be easily

shared (distributed via email) from one user to other users.

If a user receives a Home Dot application share and has the Home Dot

application 200 installed (their machine is Dot enabled) then clicking on the Share

Link Dot link 320 in the share will add the Dot(s) in the share to the user's Home Dot

application 200. If a recipient of a Share Link doesn't have the Home Dot application

200 installed, then the Home Dot application 200 is downloaded and installed (with the

user's cooperation) with the first Dot(s).

[00163] When a user shares Dots, their Home Dot application 200 generates a

share file (XML file) that contains the Dot Definitions 104 of the Dot(s) included in

that share. The Share XML is then sent to Dot server 153; the Dot server 153

automatically generates the Share Link 320 that references the Share XML. This Share

Link 320 rather than the Share XML is sent or distributed (via email or posted on a web

site) to other users (See also the specification of the <SHARE> DTD found in

Appendix B.)

page 61

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

SOFTWARE PRODUCT DOWNLOAD PROCESS TO CLIENT

[00164] Reference is now made to Fig. 11, which is a flow chart 400 illustrating

the software product client download process. According to one embodiment, to begin,

a user makes a request for a Dot at step 402. At step 402 it is determined that the user

does not have the Home Dot application 200 installed. Step 406 comprises of the

following:

[00165] User is informed of need to download software to view Dot. The message

could be from the site owner (content provider) or from a Dot server.

[00166] "Do you trust [provider/Dot server host]?" dialog is presented to user at

405. A dialog then tells the user the size of download and approximate time for

download.

[00167] In step 408, the user clicks the "Yes" button. (Alternatively, if the user

clicks the "No" button, step 409 executes with an exit message such as "You decided not

to get the Dot"). Proceeding now to step 410, the user gets a Trust Certificate (a security

process well understood in the art). The user accepts the Trust Certificate in step 412.

(Alternatively, if the user declines, step 413 executes with an exit message such as "You

decided not to get the Dot"). In step 414, the user accepts and receives the license

agreement and is presented with a dialog that asks the user to confirm the directory for

download location. (Alternatively, if the user declines, step 417 executes with an exit

message such as "You decided not to get the Dot"). In step 416, the user accepts the

page 62 Attorney docket: **IMS 07-05-06** 

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

license and confirms the file location, and proceeds now to step 418. The download

process begins, followed by the installer download and background install operation.

The requested Dot opens, and the "KeepMe" Dot opens. Note that the "KeepMe" Dot

may be provided by a third party such as a content provider. If no third party provided

"KeepMe" Dot exists, a default "KeepMe" will open.

[00168] In step 420, if the user decides to keep the Dot, the KeepMe (Dot)

Operation initiates (see below). If the user decides to close the requested Dot before

selecting "KeepMe" and then decides to select "KeepMe" the requested Dot will close,

the Keep Dot operation will initiate and the requested Dot will be added to the Dot list.

Alternatively, if the user closes the requested Dot and the "KeepMe" Dot without

selecting "KeepMe" the Home Dot application 200 will remain installed but the Home

Dot application 200 UI will not be available to the user. Lastly, if the user decides to

elose the "KeepMe" Dot before closing the requested Dot the user will not be able to

initiate the Keep Dot operation.

[00169] The other branches of the flow chart 400 will now be described.

Beginning once again with step 402, the user requests Dot. According to the alternate

branch leaving step 404, the Home Dot application 200 is found on user's machine. In

step 405, it is indicated that the user is not registered. (Alternatively, if the user is

registered, the Add Dot operation is executed step 407 and the process terminates).

Proceeding therefore to step 411, the requested Dot opens. The "KeepMe" Dot opens

("KeepMe" Dot may be a default Dot or a "KeepMe" Dot provided by the Dot/content

provider). If the user decides to keep the Dot, the Keep Dot operation then initiates (see

page 63

cep the Bot, the Reep Bot operation then initiate

Filed via EFS-Web on: 10/31/2007

1/2007

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

"KeepMe" and then decides to select "KeepMe" the requested Dot will close, the Keep Dot operation will initiate and the requested Dot will be added to the Dot list. In another scenario, if the user closes the requested Dot and the "KeepMe" Dot without selecting "KeepMe" the Home Dot will remain installed but the Home Dot UI will not be available to the user. If the user decides to close the "KeepMe" Dot before closing the requested Dot the user will not be able to initiate the "KeepMe" operation.

[00170] The following is a summary of the "KeepMe" registration operation (assuming an unregistered user has a partner's Dot open):

- User clicks "KeepMe" button of "KeepMe" Dot.
- User registration form launches.
- User form displays in "KeepMe" Dot.
- User form includes link to privacy statement.
- User completes user registration form and submits.
- Home Dot appears.
- Welcome Dot appears.

[00171] In one embodiment of the present invention, it is possible to track the referring partner, for example for awarding incentives for referring a user. The form of the incentive is a matter of business choice. However, in such an embodiment, the registration operation will comprise the additional step of:

page 64

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

- Crediting the referring party (e.g., partner) with the referral and/or

converting user.

[00172] For an alternative software download process, refer to U.S. Provisional

Patent Application ser. no. 60/176,687, Appendix F - DoDots Feature Priority List

PPA.

[00173] It will be appreciated that the present invention addresses scaling issues

by breaking content up into smaller, more focused software components. These smaller

software components (Dot Definitions 104) may thus be served to mobile devices to

compensate for bandwidth and content feature support issues, to overcome a lack of

browser functionality and processing overhead, etc. Furthermore, the architecture

supporting Application Media Packages or Dots offer a consistent experience with

application media or Dot content 104 across device types, e.g., similar experiences as

between desktop, laptop, web enabled phone, PDA, etc. As Dots are distributed as

easily as web pages are viewed/visited, Dots can be instantly distributed and users can

stay connected with content providers of their choice without having to download

custom client software from each provider, and without regard to the device type being

<del>operated.</del>

[00174] For further details on the structure of the XML structure used for Dots

according to one embodiment of the present invention, see Appendix A hereof. For

further details on the functions and design of Dot server 153, see Appendix B hereof.

page 65

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

**APPLICATION MEDIA VIEWER - THE HOME DOT** 

[00175] One unique aspect of the present invention is the interaction between the

application media package 104 and the application media viewer 119. This aspect is

now discussed.

[00176] As mentioned, the application media viewer, or Home Dot, is a network

enabled client application. With respect to the user experience, the Home Dot provides

the parsing and rendering function of the application media packages or Dots. It also

provides for the application behavior of Dots by executing calls or methods that are

parsed from the Dot by the Dot Definition, user events, system events, or the like. That

is, at a minimum, a Dot comprises a definition of a graphical user interface (frame) and

content to be rendered within or associated with that frame. Thus, a critical function of

the Home Dot is to parse the Dot definition, render the frame, obtain the content, and

render the content in or associated with the frame.

[00177] As previously discussed, the Home Dot comprises Internet content. Thus,

the Home Dot is in part a content parser, providing rendering of the graphical user

interface (GUI) from Internet content.

[00178] Furthermore, Dots originate on remote network devices. Thus, another

function of the Home Dot (client-side) is to obtain and organize Dots on the computer on

which the Home Dot resides.

page 66

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

00179] The Home Dot is a part of a client/server system. As a client installed in

one of a multitude of client computers, all communicating with a Dot server, the Home

Dot maintains communication with that server to perform system functions for the

elient. These system functions require a defined command set or functions calls

between the client and server. Such functions may include user login, user account

status, use statistics, Dot downloads, individual Dot configurations or user

customizations, Dot organization, revision updates for both Dot and Home Dot

installations.

[00180] Because the present invention enables versatility in the manner of

packaging and operating with Internet media (forming application media packages),

and because the breadth of internet media and the scope of available information is

expansive, the present invention also provides for methods of Dot organization on a

user's client computer. More specifically, the Home Dot provides for Dot management

for a large number of Dots. Such methods of management include grouping Dots into

an organized layout for persistent display, categorizing and grouping Dots into Dot

Packs, opening and closing sets of Dots or Dot Packs according to a user's current

information requirements, and configuring individual Dots either by user defined

eategorization or customization parameters that have been enabled by a Dot's

<del>developer.</del>

[00181] The Home Dot according to the present invention provides for methods

for grouping Dots, sharing information between Dots, and sharing these groupings

with others. In other words, the present invention provides for methods by which a user

page 67

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

or other third party or collectively, third parties, may build upon the utility of Dots as

an atomic media element by adding their context through grouping and configuration.

In doing so, the present invention enables the addition of third party knowledge that may

or may not include the participation of the original Dot developers. Furthermore, the

present invention provides for mechanisms by which this knowledge is shared. These

mechanisms include saving the customization states of individual Dots, grouping of a

plurality of Dots and saving them to a Dot server, and emailing links to other users or

installing these links in a web page. Additionally, the present invention provides for

third parties to share collaborative efforts by posting such groupings and customizations

on a Dot server for general availability.

[00182] In addition to grouping and customization, sharing information and

events between Dots is provided for by the present invention. The Home Dot routes

messages between Dots and the system. Messaging enables Dots to act upon one

another such that an action or event that affects one Dot may also be routed to another

Dot, thus affecting a change to it or an action to be taken by it. The resulting actions or

changes of the individual Dots may not be the same. To support messaging generally,

levels of restrictions are also provided for. It is therefore possible to control the scope

of messages received by a Dot from other Dots according to membership or domains.

Likewise, it is possible to control transmission of messages to other Dots. Therefore, the

present invention provides for cooperative messaging and information sharing between

Dots as enabled by Dot developers.

page 68

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00183] In one embodiment, the server is a high availability system comprising a

plurality of individual servers functioning together on a server network. Such a

configuration advantageously services a large number of simultaneously executing

elient Home Dots. Whereas the client application or Home Dot provides for those

services surrounding enabled or instantiated Dots, the server network and its

applications as embodied in a web site, Java servlets, an RDBMS, Dot and Home Dot

distribution support, provides for the development and distribution of Dots.

Additionally, as the Home Dot performs the optional features of collecting statistics

surrounding Dot usage and posting these statistics to the server, the server may

aggregate these statistics for reporting. According to one aspect of the invention, the

server operating together with the Home Dot, may source or enable messages to

specific Dots based upon real-time context of user interaction with Dots. This function

enables, for example, real-time context based advertising. (See also the Client-Server

Protocols section of Appendix B.)

METHOD OF DELIVERING DYNAMIC WEB DATA WITHOUT WEB

**BROWSER** 

[00184] With reference again to Fig. 6, according to one embodiment of the

present invention, Home Dot application 200 enables users to collect Dots 120, 230,

etc., organize those Dots into collections, manage the collections with organizational

and workspace management functionality (e.g., categories, Snapshots, group-move),

and easily share Dots, Dotpacks, and Snapshots with others. Also, the Home Dot

application 200 implements and enables a Dot Messaging Architecture (DMA,

page 69

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

discussed further below) providing messaging between Dots and the Dot server

system.

[00185] When Dots are instantiated on a client machine, the Home Dot

application 200 collects usage statistics (211, 212, 213, 214, 215) and keeps an event

log (in XML format) that is intermittently sent to Dot application servers 153 and

stored, for example as part of the Dot database 202 as use statistics 206. This enables

the Dot server to track how the Home Dot application 200 and the Dots are being used

and shared. In one embodiment this is implemented as a local MFC (Microsoft

Foundation Class) application on the Windows platform, thus enabling rapid user

response (no Internet delay for functional UI components). Home Dot application 200

functionality may also be provided across platforms (MAC O/S, Linux, unix, mobile,

wap, etc.)

HOME DOT OPERATION

[00186] Each user of a Home Dot application 200 has a Home Dot application

login account 205 that accesses (step 303 of Fig. 7) a personal user profile stored on a

Dot server 153 that stores the last state 212 of the Home Dot application 200 along with

which Dots a user has collected 213, and how the user has organized their collection

according to categories, Snapshots or packs.

[00187] When the Home Dot application 200 is launched, it queries the network

for configuration information, enables a user to login, retrieves the user's profile from

page 70

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

the Dot application server 153, and restores the Home Dot application 200 to the last

state that was stored to the application server 153.

[00188] The method used by Home Dot 200 to access remote configuration

information (again, step 303 of Fig. 7) creates a very flexible application that can be

configured to support different application looks, different login sequences,

dynamically by session and for each for each user. The user profile retrieved at login 303

enables the application to be personalized for a user, and allows that user to access that

personalized application state on different client computers or devices 199.

**LAUNCHING THE HOME DOT APPLICATION** 

[00189] When the Home Dot application 200 is launched, it reads the registry on

the client machine to find the location of a configuration file (session-config). The

session-config (XML file) directs the Home Dot application 200 to an application

server using a URL as the server address that will handle its servlet requests. The URL

points the Home Dot application 200 to a default graphic element (skin) and generic

Dot graphic elements for controls such as the Title Bar 164 (Fig. 4), Bottom bar 166

(Fig. 4), etc. and it points to Dot definitions 213 for several default Dots, such as a Help

Dot, Add New Dot, and Login Dot (i.e., System Dots that support the Home Dot

application 200, as described further below).

[00190] For security, the session-config is served by a servlet method operating

on the Dot application server 153 that only responds to authorized Home Dot

page 71

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

applications 200. The session-config can also be served as a flat file from a standard

web/local directory.

[00191] The session config file contains a mechanism to redirect the Home Dot

application 200 to another session-config file. This mechanism may look like:

<REDIRECT> = "URL" attribute:

When the Home Dot application 200 reads the redirect attribute, it ignores the current

configuration (session config file) and attempts to retrieve the configuration stored at

the redirect URL. The Home Dot application 200 will not redirect if this tag is omitted,

if the URL is empty, or if the URL is the same URL used to retrieve this configuration

in the first place. (This last state is recursive; therefore if redirection were carried out,

the two configuration files redirect to each other, resulting in an endless loop within

the Home Dot application 200.)

[00192] The session-config file contains a mechanism to force or provide an

option to upgrade the Home Dot application. This mechanism employs a version

tracking, such as:

<VERSION> = "string" attribute:

This attribute is required and must match the version of the Home Dot application 200.

According to one embodiment, if this attribute is omitted or differs from the Home Dot

application's 200 version, the Home Dot application 200 will download the executable

page 72 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

specified in the UPGRADE attribute and execute it. The mechanism for performing the

upgrade may look something like the following:

<UPGRADE> = "URL" attribute:

This is used only if the VERSION attribute differs from the Home Dot application's

200 version. This URL specifies the location of an executable that will upgrade the

Home Dot application. The Home Dot application 200 will quit, then run the upgrade

executable automatically.

**SYSTEM DOTS** 

[00193] According to one embodiment of the present invention three

system level Dots are provided with a Home Dot: the AddNew Dot; the Help Dot; and

the Login Dot. Dot definitions for these System Dots are referenced in the

session config. System Dots are Dot definitions that are not explicitly listed in the

Home Dot application 200 or a user's ALL-CONFIG, but are accessible via the Home

Dot user interface.

[00194] The AddNew Dot enables users to access the Dot Index through the

Home Dot application 200 and find new Dots and Snapshots to collect. The Help Dot

contains help content for the Home Dot application 200.

—The Login Dot is what first comes up when the Home Dot application 200

is launched. It enables the user to login to the Home Dot application 200.

page 73

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**SAMPLING DOTS -TRIAL DOTS** 

[00196] One feature of the present invention is the ability to provide a user with

the option to try, or sample Dots. According to one implementation of this feature, if a

user doesn't have a Home Dot application login account (or is not logged in), then the

Home Dot application 200 is in trial mode. Dots can be sampled (by clicking on Dot

links 321) but not kept.

**KEEPING DOTS** 

[00197] A user may decide to keep or not keep a Dot. According to one

embodiment, to keep a Dot, a KeepMe Dot is displayed with Dots that are sampled

(unique to Dot developer or provider) that informs the user that a Home Dot application

200 login account is required to keep the Dots. The KeepMe Dot has a mechanism (link)

that enables users to create a new Home Dot application 200 login account 205 and

keep the Dots by adding them to the new user's Dot definitions 213 or user-profile 310

(Fig. 7).

**USER LOG IN** 

[00198] Each Home Dot application user has a Home Dot application login

account that accesses a personal user profile (stored server 153). When a user launches

and logs in to the Home Dot application 200, it retrieves the user profile from server

153 and restores the Home Dot application 200 to the state in which the user left it, thus

page 74

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

recovering their personal application experience. When the user logs out, their user

profile is updated on server 153.

[00199] This mechanism enables different users to use the same client computer

199 and receive different application experiences or the same user to use different client

computers or devices 199 and receive the same coherent experience. The application

200 restores itself to its last saved state no matter where a user may log in.

[00200] After the Home Dot application 200 retrieves its configuration

information, it renders the Login Dot from the Dot definition specified in the

SESSION-CONFIG. The Login Dot's Dot content asks the user for login and password,

sends the login request to the Dot server servlet (also specified in the

SESSION\_CONFIG), and retrieves the user's profile required to restore the Home Dot

application.

**USER PROFILE (<ALL\_CONFIG>)** 

[00201] A Home Dot user's profile holds the state of the user's Home Dot

application 200 (size, position on the screen, which Dots were open) along with which

Dots 213 the user has collected, and how the user has organized their collection

(categories, Snapshots or packs). The profile is stored in an XML file called the user's

<ALL\_CONFIG>. The ALL\_CONFIG file contains a SHARE and a LASTSTATE

element as described below. The Share may look something like the following:

ALL CONFIG's <SHARE> attribute:

page 75

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Since a Share can contain Dots and Snaphsots, the Home Dot 200 uses the SHARE

XML DTD to represent the user's collection of Dots and Snapshots. The LASTSTATE

element may look something like the following:

ALL\_CONFIG's <LASTSTATE> attribute:

It contains a PRESET element 313 and a HOMEDOT 314 element (Fig. 7). The Home

Dot 200 uses the PRESET XML DTD (Snapshot) to represent the Dot's that are left

open. The Home Dot application element holds the position/size/state of the Home Dot

itself. (See also the All\_Config Example found in Appendix B.)

THE HOME DOT APPLICATION SERVER

[00202] The Dot application server 153 support much of the functionality of

Home Dot application 200 (such as logging in/out, collecting, adding, and sharing Dots

and Snapshots, Home Dot usages logging, etc.)

[00203] All communication 210 between Home Dot application 200 and the Dot

application server 153 is secure and occurs over standard communication protocols

(HTTPS). HTTP/HTTPS is chosen for the socket level client/server communication

protocol because of its simplicity and more importantly, because most firewalls

typically leave the default handling of the HTTP/HTTPS communication with the

client.

page 76

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00204] The Home Dot 200 communicates to the server 153 via an HTTP request.

The HTTP request URL contains an API call. Any API calls that require the uploading

of data to the server place the data in the message body of the request. API calls that

require uploading/downloading of data send/receive that data in XML format. All API

calls are the end part of a complete URL that begins with:

http://someservername>.DoDots.com/DoDots/

where <someservername> is variable and DoDots is an alias for the DoDots servlet/JSP

servlet or servlets directory. Arguments to any of the methods are passed in as name

value pairs in the query string portion or the URL.

[00205] The preferred embodiment of the Dot application server application that

supports the Home Dot application 200 currently supports a number of servelt methods

including the following:

**AddUser** 

[00206] The adduser method is used to create a new Dot user account. Note that

this is available as an end-user API to allow new users to add themselves rather than

wait for an administrator to do it for them.

page 77

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**GetUser** 

[00207] The getuser method retrieves the user's own demographic data. This

method supports the ability of the Home Dot application 200 to then allow updates to the

user's demographic information using the setUser method (see below).

**SetUser** 

[00208] The setUser method is used to update an existing user account. Note that

this is available as an end-user API to allow existing users to update themselves rather

than wait for an administrator to do it for them.

**GetSession Config** 

[00209] The getsession Config method is used to retrieve general Home Dot

application configuration information.

Set Password

[00210] The setPassword method provides a mechanism for the user to change

their associated Dot server account password.

**getMasterDotList** 

[00211] The getMasterDotlist method requests the list of all Dot templates known

by the server 153. The server 153 returns a message body in predefined XML format

page 78

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

(see the DOT\_MASTERLIST DTD and example) of all possible Dots and their default

template values. Note that included in each Dot element are a version stamp and

dotclass ID's which allows the Home Dot application 200 to determine if it has the

most up to date Dot template and Dot class binaries for that Dot. The Home Dot

application 200 may then request the updated Dot template (see the getDotTemplate

method) and/or dotclass binary zip file (see the getDotClassBinaries method).

**setAllConfiq** 

[00212] The setAllConfig method sends, at 303, the entire body of user specific

elient-side configuration information 310 including all Snapshots, Dots, general

settings, etc. to the server 153 for persistent storage on behalf of the user. The user

profile data 310 is sent as the message body in a predefined XML format (see the

ALL CONFIG DTD and example). The response returned by the server 153 indicates

success or failure.

getAllConfig

[00213] The getAllConfig method retrieves, at 303, the last user-specific, server-

side saved client side configuration information 310 (all Snapshots, Dots, general

settings, etc) from the server 153. The data is sent as the message body in a predefined

XML format (see the ALL-CONFIG DTD and example).

page 79

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**SetALL Events** 

[00214] The setAllEvents method sends, at 307, usage information (211, 212,

213, 214, 215, Fig. 6) that has accumulated since the last call to setAllEvents to the

server 153 for later use in statistical usage report generation (for DoDots's own use).

The data is sent as the message body in a predefined XML format (see the ALL-

EVENTS DTD and example). The response returned by the server indicates success or

failure.

**GetDotTemplate** 

[00215] The getDotTemplate method requests from the server 153 a specific Dot

Template (Dot definition) from the Dot database. The server 153 returns the XML that

describes the Dot. This method is called when the Home Dot application 200 has

determined that it does not have the current version of the Dot and the user wants the

most recent version. (NOTE: this includes when the user is downloading the Dot for the

first time)

Addshare

The addshare method stores at 302 a specific shared Dot(s) or

Snapshot(s). The server 153 takes the XML that was uploaded by the Home Dot

application 200 for sharing and returns the unique id of the share. The Home Dot

application 200 puts the XML stream to be shared in the request body.

page 80

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**GetShare** 

[00217] The getshare method requests from the server 153 a specific shared

Dot(s) or Snapshot(s). The server 153 returns the XML that was stored for sharing by

the original sending user. The format of the returned XML stream is dependent on what

has been shared.

**CACHING LAYER** 

00218] Returning to Fig. 6, the Home Dot application 200 may advantageously

implement a caching layer 219 between its network requests and the network 210. The

Home Dot application 200 sends network requests to the caching layer and the caching

layer is responsible for completing those requests (retrying if necessary).

[00219] This is used in the event that the Dot application server 153 does not

respond. If a user is attempting to log in and the server 153 does not respond, then the

Home Dot application 200 logs in using a locally cached <ALL-CONFIG> (the last

written user application state can be saved in the caching layer 219). If a user attempts

to log out and the server 153 does not respond, then the caching layer 219 retries at a

later time to write the logout application state to the server 153.

ADD NEW DOTS/SNAPSHOTS (PACKS)

[00220] To get a Dot and invoke it - that is, turn it on - the Home Dot application

200 requires the Dot's definition (XML file with the information necessary to instantiate

page 81

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

a Dot and point it to Internet content). With reference again to Fig. 7, a user collects

Dots from multiple sources: by clicking on Dot links 321 (Internet link to a Dot's Dot

definition) and/or by receiving a Share 320 (Dots and Snapshots shared from other

users). In both cases, the Dot definitions for the Dots involved are hosted and served by

a Dot application server 153.

[00221] Users can find Dots (Dot links) in the Dot server 153 DotIndex 204

(online Database of Dots via a web browser or the AddNew Dot) and/or promoted on a

content provider server 155, e.g., via a web site. When a user clicks on a DotLink 321

and the Home Dot application 200 is installed, the Home Dot application 200 retrieves

the Dot definition that the DotLink 321 points to, adds it to the current user's

ALL-CONFIG file 311 of user profile 310, and turns the Dot on 324. The Dot

instantiates the UI and begins filling it with the Dot content (Internet content) as

defined in the Dot definition.

[00222] Once a user receives a Dot, the Home Dot application 200 saves the

Dot's definition as part of the user's ALL-CONFIG file 311. Because a Dot definition

becomes part of a user profile 310, it can be modified by use e.g., its default TitleBar

image 132 may be changed or its WebConduit control may be navigated to a different

URL using DMA messages. The Dot will pick up where it left off next time it is turned

<del>on.</del>

[00223] Dots can also be received in the form of Shares. A Share 320 is a XML

file that represents a collection of one or more Dots and/or one or more Snapshots. A

page 82 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Share 320 may be shared, for example by attaching a Share Link 320 to a standard

email message. When a user receives an emailed Share Link 320 from another user

and clicks on the Share Link 320 in the email:

- The Home Dot application 200 retrieves the share XML file from the Dot

application server 153 using the getshare servlet method to which the Share

Link 320 points.

- The Home Dot application 200 parses the share package and adds the new

Dots and Snapshots to the Share recipient's ALL-CONFIG 311. The Share

recipient can now turn on any Dot and Snapshot received as part of the

share.

[00224] According to one embodiment of the present invention, every Dot that a

user gets is added to and becomes part of the user's ALL-CONFIG 311 and becomes

accessible to the user anywhere they can login to a Home Dot application 200.

According to another embodiment, a user is provided with the option to keep or discard

a sampled Dot prior to adding it the user's ALL-CONFIG 311.

[00225] If the Home Dot application 200 is not installed on a client computer 199

when a user first attempts to get/receive Dots, then the Home Dot application 200 is

downloaded and installed with the first Dots that are received. From that point forward,

the client machine 199 is Dot-enabled and the user is not required to download and

install the Home Dot 200 again (subject to updates). This method removes the

page 83

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

alternative approach known today in the art which is to download a custom client

application from each company's web server 105 that is visited.

[00226] Once a client machine 199 is Dot-enabled, (i.e. the Home Dot application

200 technology installed), then every time that a user clicks on a Dot link 321, the Dot

120 pops up immediately (faster than it would take to load a small web page). Rather

than download and install software for different Dots, only the Dot definitions 102

which are packaged web readable content is collected.

**DOT CATEGORIES** 

[00227] The Home Dot application 200 enables users to organize Dots that they

have collected (in their ALL CONFIG 311) by categories. A Dot can be a member of

more than one category. Alternatively, a Dot is not required to be categorized (i.e., set

attribute categorized = uncategorized). The Home Dot application 200 enables the user

to Add/Remove/Rename categories and edit a category's contents (those Dots that are

members of that category). Categories may also be assigned by the Dot creator (which

may or may not be overwritten.)

[00228] In the preferred embodiment, Dots keep track of the categories to which

they are members (e.g., there is no master category list). The categories to which a Dot

belongs are added to the Dot's definition (in the user's ALL-CONFIG 311).

[00229] The Home Dot application 200 has one unique category: the ALL MY

-DOTS category (users cannot rename or remove this category). This category contains

page 84

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

all the Dots that the user currently has in their collection (in their ALL-CONFIG 311).

Removing Dots from the ALL MY DOTS category removes it from ALL CONFIG 311.

A user can duplicate a Dot from within the ALL MY DOTS category in the event they

want two of the same Dot (e.g., 2 stock watching Dots - one for monitoring a first

security, and the other for tracking a second security).

**MANAGING DOTS** 

00230] The Home Dot application 200 provides for several features enabling

visually organizing, collecting, and working with Dots 102 individually and as a

system. Referring to Figs 12A, 12B, and 12C, a series of drawings depicting a user

interface 600 for the organization of Dots on a computer desktop is shown.

[00231] To improve ease of use when working with Dots in groups, the Home Dot

application 200 enables users to capture an image, or Snapshot, of a workspace that

they have defined with Dots. Such Snapshots may include, for example, which Dots are

on and where they are positioned on a display screen 656. Users can instantly recall

these Snapshots to restore the previously defined set of Dots to their captured locations

on screen 656.

[00232] Snapshots can be created to support different work tasks (e.g., morning

news pack, web development pack), different user groups (e.g., small business pack,

runners health pack, school study pack), or different activities (e.g., day-trading,

page 85

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

dream team sports tracking). Within a Snapshot may be user-created Share Packs 659

(groupings) of Dots (created, for example, using Share Links 320). In addition, Dot

developers can create and publish Packs, which are groups of Dots designed to work

together or which may be of similar/related interested to a user, and online content

providers can offer their users pre-made Packs.

[00233] Users can easily and quickly create and configure/reconfigure their own

aggregation of content and functionality as Dots, thus providing a user aggregated

content/application system. This is significantly different than current Internet functions

of offering users "My" versions of a full-screen Internet site in which the choice of

content, arrangement, presentation style, etc. are quite limited.

[00234] Dots may be aggregated together and manipulated as a block. Blocks are

defined as linear clusters of Dots (snapped together vertically or horizontally). An

example of a group 659 of Dots 647, 649, 651 not in a block is shown in Fig. 12A. That

is, in Fig. 12A the group 659 of Dots are not aligned with one another with reference to

either a horizontal or vertical axis. Two examples of the Dots 647, 649, 651 comprising

group 659 arranged in a blocks are shown in Figs. 12B and 12C, respectively.

Blocks of Dots have an orientation: vertical (Dots snapped to each other

in a vertical column as in Fig. 12B) or horizontal (Dots snapped to each other in a

horizontal row as in Fig. 12C). In one embodiment, the Home Dot application 200 or

Dots (647, 649, or 651) expose a UI mechanism (e.g., CTRL+O, see slamming) to

enable users to change the orientation of Blocks of Dots.

page 86

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00236] Blocks of Dots can have a justification. When a block of Dots are

"justified", they share a common edge such that all edges on one side of the Dots are

lined up. For example, a vertical block of Dots all snapped toward the right side of the

screen 653 is right justified as shown in Fig. 12B. In one possible embodiment, the

Home Dot application 200 or the Dots exposes a UI mechanism (e.g., SHIFT+CTRL,

CTRL+J, see slamming) to enable users to change the justification of Blocks of Dots

(left, center, right).

[00237] Slamming blocks of Dots against screen edges (653, 655) or other

window edges is used as a mechanism to alter the justification and/or orientation of a

Block of Dots. For example, a user may slam a horizontal block against the left edge of

the screen and the Block's orientation could swing vertical and justified to the left with

all Dots snapped to the left of the screen. Likewise, a horizontal block that is bottom

justified may be slammed against the top edge 655 of the screen changing it to a top

justified Block.

[00238] One feature provided by an embodiment of the present invention to assist

a user with the organization and presentation of Dots is the snap feature. A Dot (649 for

example) "snaps" to other Dots (647 and 651 for example), other windows (not shown),

and screen boundaries (edges) 653, 655. When Dots 120 are dragged (moved) near an

edge (653 or 655), a magnetism behavior is exhibited and the Dot 649 accelerates

towards and "snaps" to that edge (653 or 655). The present invention provides for a

magnetic gap 658 such that even when Dots are snapped to edges, there still exists a

page 87

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

gap (-5 pixels). This gap is supported so that the user may easily visually recognize

independent Dots.

[00239] The snapping feature simplifies the task for users to quickly and neatly

align Dots. (See Figs. 12B and 12C). When Dots (647, 649, and 651) are snapped

together and a Dot is collapsed or resized, then a Dot that is snapped to another Dot can

move accordingly; for example, staying snapped or not, according to user preference.

[00240] The user interface of the present invention supports the movement of

Dots (647, 649, or 651) in clusters. All Dots (647, 649, or 651) that share an edge

(snapped together = cluster/group) can be grabbed and moved as a group 659 (which

may, but need not be, a block).

[00241] The present invention teaches multiple methods of selecting a cluster of

Dots 659 (as opposed to a single Dot 651 for example). The simplest method comprises

of pressing the CTRL key before grabbing a member Dot (any one of 647, 649, or 651)

of the cluster 659. This method selects the entire cluster and moves all Dots 120 as one

unit.

[00242] Another way is to change the user's selection, that is which Dots (647,

649, or 651) in the cluster 659 are selected for moving, by the number of times a user

clicks before grabbing a member Dot (647, 649, or 651) of the cluster 659. For example:

— 0 clicks before grabbing a member Dot (647, 649, or 651) selects and moves

the entire cluster 659 of Dots.

page 88

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

1 click before grabbing a member Dot (647, 649, or 651) selects and moves

just the member Dot.

Additional clicks could select all vertical, all horizontal, etc.

[00243] The present invention provides for a unique method of indicating which

Dots (647, 649, 651) in a cluster 659 are selected (for a group action such as move, or

minimize). When multiple Dots are selected (by either method: CTRL+Select or

Click+Select), a halo 661 appears around the selected Dots. In one embodiment halo

661 (shown only in Fig. 12A) is a contrastingly shaded or brightly colored line (-3 pixels

wide) floating around the outer perimeter edges of the Dots selected (647, 649, 651) in a

cluster 659. The halo remains visible as the Dots (647, 649, 651) are moved.

**DOT SHARING** 

[00244] The Home Dot application 200 provides methods for users to easily and

quickly Share the Dots that they have collected and the Snapshots that they have

created with others. An exemplary delivery mechanism is email. The user's default

mail client application is used (a user can use their existing address book and add a

detailed message); a web-based mail service extended through the Home Dot

application 200 or Dots may also be used. To share and convey shared Dots or

Snapshots in an email, a Share Link 320 is required (an Internet link that points to

stored Share data on the Dot application server 153).

page 89

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00245] When a user sends a Share or Snapshots to another user:

1) A Share XML file 302 is constructed employing the shared Dots and

Snapshots from the sharing user's ALL-CONFIG 311.

2) The Share XML file 302 is stored in Dot database 202 on Dot application

server 153 in exchange for a unique sharelD (using the addshare servlet

method).

3) An email is constructed with a Sharelink 301 (an Internet link that points

to the stored Share) and placed in a new email using the user's default

mail client.

[00246] When a user receives a Share of Dots/Snapshots from another user and

clicks on the ShareLink 320 in the email:

1) The recipient's Home Dot application 200 retrieves the Share XML file

from the Dot application server 153 (using the getshare servlet method)

to which the Share Link 320 points.

2) The Home Dot application 200 parses the Share package and adds the

new Dots and Snapshots to the Share recipient's ALL-CONFIG 311.

[00247] The Share recipient can now turn on any Dot and Snapshot received as

part of the Share. Because the Share was constructed from Dot definitions that were

page 90 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

part of the Sharing user's ALL-CONFIG 311, the recipient receives the Dots just as the

Sharing user had configured them at the time of building the Share.

PREFERRED EMBODIMENT OF CLIENT / SERVER SYSTEM

[00248] The client/server model 500 of the preferred embodiment is described to

according to Fig. 13. Paramount for the successful implementation of a consumer or

high volume system is the proper separation of responsibilities between Dot clients (501,

502, 503) and the Dot server system 555. The architecture presented herein supports

the primary operations of Dot distribution, client installation, use statistics collection,

as well as Dot developer activities. These operations, as described above, are supported

in part by the XML structure and calls as listed in the attached appendices A and B. It

will be appreciated by one skilled in the art that the list is not exhaustive but descriptive

of an implementation of certain features of the present invention.

The Dot server system 555 which is required for support of the general

Dot functionality provides for persistent storage and retrieval of configuration and

statistical (usage) information and for intelligent software upgrade service for the Dot

elients 501, 502, 503. Users are required to logon to the Dot server system 555 with a

username and password to access this information. The server 555 also provides for

generating statistical analysis reports. The server 555 is used to provide small,

persistent data storage areas for third-party Dots. The server 555 may be maintained by

a single administration entity and does not require third-party Dot providers for its

page 91

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

support. The content contained by the Dot however, may be provided by the third party

developers, with the initial content optionally being supplied by existing or

re-purposed web pages served by the third party web servers. An administrative

interface is therefore provided according to the present invention which is used by the

administration entity to maintain the software upgrade information, manage users and

generate statistical usage reports.

[00250] The software portion of Dot server system 555 consists of several

architectural components, including:

[00251] Web servers (505, 507, 509), Servlet JSP Engines (506, 508, 510) which

maintain a Java based XML Parser with SAX (Simple API for XML) and DOM

(Document Object Model) interfaces, all of which share a common file system, and at

least one Relational Database Management System (RDBMS) Server 513 which

supports the RDBMS file system 514.

[00252] According to one embodiment of the present invention, there are no

specific operating systems, application server, or database server constraints placed on

the server 555 (e.g. the operating system (O/S) may be NT, Solaris, HP-UX, Linux or

FreeBSD, or any other viable server O/S. The RDBMS may be Oracle, Sybase, Informix,

SQLServer etc.).

[00253] The client and server transmit and receive data on the internet 504 in

XML format over standard HTTP/HTTPS. An XML parser residing on the Servlet JSP

page 92

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Engine (506, 508, 510) assists in deconstructing and reconstructing the XML into and

out of the RDBMS Server 513 when the XML stream contains information that is needed

for report generation. XML parsers with DOM and SAX interfaces are freely available

for most major programming languages. HTTP/HTTPS, and therefore a web server

(505, 507, 509), is chosen for the socket level client/server communication protocol

because of its simplicity and more importantly, because most firewalls typically leave

the default TCP ports for HTTP/HTTPS (ports 80 and 443 respectively) unblocked.

[00254] The Web servers (505, 507, 509) provide the default handling of the

HTTP/HTTPS communication with the Dot client 501, 502, 503. The Servlet JSP

Engine (506, 508, 510) functions as an in-process extension of the Web servers (505,

507, 509) and provides the infrastructure for the application logic layer (servlets) and

the presentation layer (Java Server pages). Servlet/JSP is chosen over the

architecturally similar ASP due to consideration in performance, maintenance costs,

and the variety of O/S and application server vendor choices.

[00255] The persistent storage mechanism for everything except the binary

software components (client component updates) is Relational Database Management

System (RDBMS) 513. The binary software component for client updates are stored in

the file system 514 with a pointer (full pathname) which is stored in the database to each

component file. The binary software components are not stored directly in the RDBMS

in so as to improve performance.

page 93

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

[00256] Since the configuration data need not be manipulated or reported on by

the server, the configuration data is stored directly in the RDBMS 513 as a small text

file, in the form by which it is transmitted from the client 501, 502, 503. The

configuration data is not deconstructed and reconstructed into its constituent parts by

the XML parser on the servers (505, 507, 509). The statistical data is used to generate

reports on the server-side by a servlet JSP-based administrative interface. SQL queries

are used for statistical report generation to provide simplicity and flexibility.

Therefore, a single statistical data XML stream is deconstructed upon receipt by an

XML parser residing on the Servlet JSP Engine (506, 508, 510) and stored as discrete

RDBMS columns (the entire stream instance is the RDBMS row) rather than storing the

entire stream in a single text column.

**DEVELOPMENT AND TESTING OF DOTS** 

[00257] It is possible to provide for the development and testing of new Dots,

Categories, Packs, etc. in a physically separate system that is a superset (duplicate with

additional testing support) of the production system 500. The development and testing

(dev/test) system has additional server methods and interfaces, not present in the

production system 500 which requires higher security and simplified maintenance, to

facilitate the development and testing process. The interfaces to these additional server

methods are HTML/JSP pages to allow for easy accommodation of new,

geographically distributed Dot content providers.

page 94

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00258] The development and test system allows the Dot content providers to

easily build and test new Dots and Dot Packs. When tested to their satisfaction, the Dot

content providers can then, through the interface, submit their Dots/packs for inclusion

in the production system. The Dot administrator will be able to periodically run a

report to see which Dots/packs were submitted. The Dot administrator is then able to

decide whether or not to approve a Dot/pack for import into the production system.

Migration of an approved Dot/pack will involve exporting the appropriate data from

the RDBMS on the development and test system, moving the export file from the

development and test system and importing the export fie into the production system.

**DOT MESSAGING ARCHITECTURE (DMA)** 

[00259] Dots and the Home Dot application have a messaging architecture (Dot

Messaging Architecture - DMA) that enables elements of the system including Dots,

controls within Dots, and the Home Dot application, to communicate with one another.

This enables these elements to exchange information, request actions or functionality,

and respond to system, element, or content events.

[00260] Fig. 14 illustrates the message routing paths and elements 700 of the Dot

Messaging Architecture. The Dot Messaging Architecture (DMA) has a messaging

addressing and routing scheme, defined messages, and an extensible message format

(as defined in further detail below) that provides each component with access to

component, application, and system features and true application behavior. Also, Dot

page 95

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

content has access to the application rendering system within the Home Dot 200 and

other Dots (711, 751, 761) via the DMA.

[00261] All elements of the system can send and receive (via paths 701, 702, and

703) DMA messages. In this embodiment, these elements fall into three groups: the

Dots (711, 751, 761); the Controls in the Dot's control space including the

WebConduit control (714, 754, 764) and base controls that encompass menu controls

and window operations controls; and the system control 780 embodied within the

Home Dot application 200.

[00262] The DMA enables controls to send and receive messages. Controls can

exchange messages with other elements in the same Dot (controls in the control space,

Dot frame, System) or in a different Dot (the addressing scheme supports addressing

elements in other Dots. All Dot controls share a set of common messages. They also

can provide messages that are unique to that control (see WebConduit Control).

Common control messages include messages such as:

#show

#hide

#get width

#get-height #get-size

#is-open

page 96

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

#get address

These messages query/effect properties/methods of a control within its Dot's control

space such as layout, size, etc.

[00263] The Web Conduit control (714, 754, 764) supports additional messages

(in addition to the common control messages explained previously). These unique Web

Conduit messages enable other elements in the Dot System (Controls, Dot frames,

Home Dot application 200) to interact with WebConduit functionality (request actions

or functions, and respond to events). Messages unique to the WebConduit control which

is a wrapped Microsoft IE web control in the preferred embodiment, include:

##<any javascript>

#navigate.

[00264] One of the most significant features of the WebConduit control is that

DMA enables messages to flow IN and OUT of standard HTML rendered within a

control. The preferred embodiment currently extends DHTML and javascript to send and

respond to DMA messages. The present invention supports SendMessage (a

synchronous method which is used if return result is required) and PostMessage (an

asynchronous method in which no return result required) methods that can be called

using a window.external.<method> call from DHTML in the Web Conduit control

(714, 754, 764).

page 97

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00265] The preferred embodiment of the message format (explained in more

detail in the following sections) allows for two types of messages to be sent IN and OUT

of HTML with respect to the WebConduit control. Specific messages and functions can

be called (e.g., #navigate) that cause a WebConduit to perform a specific action or

function. In addition, any javascript (e.g., ##<any javascript>) can be called within a

WebConduit's document as well.

[00266] This provides the messaging architecture with exceptional flexibility and

extensibility in which data can be passed, functions can be called, and variables can be

set. Integrating DMA with standard Web content by enabling DMA messages to call

into HTML and for DMA messages to be initiated from HTML within the WebConduit

control is the basis that enables Dots to exhibit true application behavior.

[00267] Any DMA message that is defined can be sent or called from DHTML.

This, in conjunction with the application logic capability that is provided by javascript

(and other HTML scripting languages), provides the application media development

environment (which includes application development platform and language). A Dot

developer can thus author a new Dot application by developing web content (HTML,

GIF files, etc.) and by packaging that content in a Dot Definition, eliminating the need

for compilers and consequently, downloading executables. Therefore, if a client device

199 has been Dot-enabled (the Home Dot application 200 is installed) then that device

can instantaneously view, open, run Dots as well as modify and save their

configurations.

page 98

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

[00268] DMA messages can be sent to and received from a Dot. This enables

elements of the system that can send and receive DMA messages to interact with a Dot.

Elements of a Dot's definition can be accessed/modified using Dot messages. Examples

of Dot Properties / Dot methods that can be accessed and modified via the DMA include:

#set title

#get title

#set size <width> <height>

#is-open #close

#collapse #uncollapse

#set-title-images <up-URL> <down-url> <over-url> <inactive-url>

[00269] Since a message can originate from DHTML content which is rendered in

the Web Conduit control as Dot content, standard web content when rendered in a Dot

can therefore access properties and behaviors of its packaging (the Dot). Internet

content can therefore do such things as modify the size of the Dot that is rendering it.

It can move it or collapse it.

[00270] The present invention therefore enables properties and behaviors to be

tied to and between any Dot content event, even outside of a Dot affecting another Dot

(e.g., an internet content (DHTML) mouse over event can change the size and position

of another Dot, etc.)

page 99

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[00271] Most of the DMA examples thus presented are of other elements sending

messages to the Dot frame (711 for example). An example of a Dot frame 711 sending

messages can be seen in the case of the menu control 712 (discussed further below).

The Dot frame 711 may also send messages (as can the menu control 712) based upon

the occurrence of certain events such as Dot-moving, Dot-collapsed/expanded, Dot-

infocus/inactive, etc.

[00272] The preferred embodiment has the menu control 712 implemented as part

of the Dot Frame 711. Menu control 712 entries are given/tied-to actions using the

DMA. Each menu entry may consist of, inter alia, a text title, an icon, a tooltip, an ID,

and an action. The action is simply a DMA message that has a recipient specified

address. Dot menu control 712 items are defined as part of a Dot definition.

[00273] When a menu entry within a menu control 712 is selected by a user, the

specified Dot Message is sent to the specified recipient (DMA address). This illustrates

an example of the true application behavior that is exhibited by Dot Content having

access to DMA.

[00274] As with the functionality described in the previous sections, the

following are examples of types of additional application behaviors that are possible:

- A menu control 712 entry (e.g., refresh) can send a message (to refresh) to a

Web Conduit control and cause an action (e.g., refresh content).

page 100

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

— A menu control 712 entry can send a message to the Dot (itself) and cause it

to collapse, or resize, or exit.

- A menu control 712 entry can call any javascript (##<any javascript>) in the

HTML of Dot content rendered in the same Dot or a different Dot to set

variables, change images, call functions, etc.

[00275] DMA messages can also be sent to and received (701, 702, 703) from the

system (720) - the functional layer above and between individual Dots. Some aspects

for which the system is responsible include DMA message routing, adding, removing,

opening, closing Dots, etc. In the preferred embodiment, the system includes the Home

Dot application 200 or equivalent Dot-rendering and Dot-management client

application.

[00276] Examples of system functions and behaviors that can be accessed and

called using DMA messages include:

#refresh

#install dot <dot url>

#have dot <dot address> #delete dot <dot address> #quit

#save-to-server

#get-screen-width

Filed via EFS-Web on: 10/31/2007

page 101 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

#get screen height

#close all dots

#open preset reset name>

#get-dot-ids <dot-address>

[00277] Messages can be sent to/from controls (WebConduit control in and out

of HTML), to and from the Dot (DotFrame menu control 712, Titlebar 713, bottom

bar 717, flexible Dot Definition), to and from the system (Home Dot application 200

open and close Dots, system variables and data). The DMA addressing scheme also

provides for messages to be sent to any Dot, to any control in any Dot, to any HTML,

in any control, in any Dot. This enables Dots to work together as an application system,

enables Dot developers to share functionality and leverage and build on the functionality

of other Dots.

[00278] In the preferred embodiment, a DMA message has two components: a

recipient address and the message body itself. Both are represented as strings. The

addressing scheme is explained in the next section.

[00279] The body of a DMA messages is, at its simplest, a text string which may

represent any javascript, for example, sent to a Web Conduit control. For defined

messages, there is a method element to define the function/behavior call/request [e.g.,

#set size] followed by arguments if the method element requires them (e.g., height in

page 102

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

DotUnits; width in DotUnits).

[00280] The present invention provides for two functions that enable messages to

be generated from within DHTML in the WebConduit control: SendMessage and

PostMessage. SendMessage, which is synchronous, is used if a return value is required.

PostMessage, which is asynchronous, is used otherwise.

[00281] Below are examples of messages generated with DHTML within the

WebConduit control:

window.external.PostMessage ("#.:", "#set-position 350 500")

This message is sent to a Dot and causes it to move to a new screen position, for example

350x500 pixels.

window.external.SendMessage ("#system, "#get-screen-width")

This message asks the system for the current screen's width in pixels.

[00282] Messages are routed according to three pieces of information: Domain,

Dot Specifier, Control Specifier.

[00283] One of the ways that a Dot is identified is by a Domain. In the preferred

embodiment, the Domain is the same as a Dot provider's ID (developer ID). Generally

this is a unique identifier for each company or developer and is specified in a Dot's

definition.

page 103

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00284] Dots in the same domain, by default, can communicate to one another

without explicitly specifying the domain in the address. When no messaging access

restrictions are placed on addressing a Dot according to its domain (by default there are

restrictions), then a Dot can also send messages to another Dot belonging to a different

domain by explicitly addressing that Dot by its domain and Dot specifier.

[00285] Dots are further identified with a kind attribute as a part of the Dot

Definition, and by an ID attribute. The ID is volatile and is not typically hard-coded

into DHTML scripts. The addressing scheme allows for a Dot to be specified by

explicitly referencing or querying a Dot's kind or ID identifier attribute.

[00286] Similarly, controls are identified by kind and by ID (also a part of the Dot

Definition). Controls can also be specified by explicitly referencing or querying its kind

or ID.

[00287] In the preferred embodiment, there are several permitted forms for the

address of a message recipient. The fundamental one is:

#<dot specifier>:<control specifier>

Other accepted address forms are:

#<domain>:<dot specifier>

<control specifier> #<domain>:<dot kind>:<dot id>:<control</pre>

kind>:<control id>

#system

If an address does not match any of these forms, the address defaults to #system.

page 104 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00288] Specifiers have four different forms: The first form specifies the unique

ID of the Dot / control / domain in question. An example of this first form is:

<specifier> := <ID>

[00289] The second form allows the sender to address a message to the closest

matching recipient by some form of search criteria. Multiple Dots or controls might be

of the same kind, enabling broadcasting a message to these elements. An example of

this second form is:

<specifier> := <kind><#search criteria>

Search criteria can be one of:

<search criteria> := any

<search criteria> := open

<search criteria> := closed

Controls that are hidden are considered closed, and controls that are visible are

considered open.

[00290] The third form enables reference to a specific Dot or control, allowing

messages to be sent within a Dot. If a particular control is specified, then it must be

specifically referenced in the Dot. An example of this third form is:

<specifier> := dot

page 105 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00291] The final form is specifically for the control specifier. If a message is to

be directed to a Dot and not a control, the control specifier must be empty. An example

of this last form is:

<specifier> :=

[00292] The current embodiment supports messages to a specific recipient: the

addressing/routing scheme could support messages addressed to multiple simultaneous

recipients (broadcast). Similarly, Dots can address Dots on the same platform or client

(computer or device); the addressing or routing scheme may also support messages

addressed to Dots/Controls/Home Dot applications 200 on other devices or by user. The

Home Dot application 200 routes messages sent between Dots (711, 751, 761) and

resolves addressing queries (e.g., address: "#A#Any:" = first Dot of kind "A" found in

the Home Dot application 200).

[00293] Since the Home Dot application 200 routes messages between Dots (711,

751, 761), it can allow or restrict Dots from addressing and sending messages to other

Dots outside their own domain (the Home Dot application 200 could also restrict

messages to within the same Dot). For example, Dot2 (751) is a member of domain B

(750) as is Dot 3 (761), whereas Dot 1 (711) is a member of Domain A. Home Dot 200

ean permit or deny messaging between Dot 1 (711) and Dots 2 and 3 (751, 761) and

vice versa.

page 106

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00294] The Home Dot application 200 acts to enforce the access rights to and

between published messages built on the DMA API. In one embodiment, the Home Dot

application 200 accesses a database of published message methods implemented by

Dot Developers and restricts/allows messages to pass based on access criteria data

posted to the data base.

[00295] For example, a Dot developer could specify 2 public functions, 2

functions with access restricted by partner (Domain 710 or 750 for example), and 2

functions with access restricted by Dot address. The Home Dot application 200 may

allow or restrict messages to be sent to one Dot from other Dots according to access

criteria specified by the Dot developer.

[00296] The Home Dot application 200 also responds to messages sent to

#system. Access to system features/functions/behaviors is one aspect of the present

invention that enables extensibility of the Home Dot application system.

[00297] System messages include:

#REFRESH - Refreshes the user's ALL-CONFIG 311.

#INSTALL-DOT<DOT-URL> - Adds the specified Dot to the user's Home Dot

application 200 (ALL-CONFIG) using the same mechanism that Dot definitions

are added to the system.

page 107

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

#HAVE-DOT<DOT-ADDRESS> - Checks if the user currently has the specified Dot in their Home Dot application 200 (as part of the

ALL-CONFIG).

#DELETE-DOT<DOT-ADDRESS> Removes the specified Dot from the Home Dot application 200 (and the user's ALL-CONFIG).

#QUIT - Quits the Home Dot application 200.

#SAVE-TO-SERVER - Saves the user's ALL-CONFIG to the Dot application server 153.

#GET-SCREEN-WIDTH - Returns the width of the screen in pixels.

#GET-SCREEN-HEIGHT - Returns the height of the screen in pixels.

#CLOSE-ALL-DOTS - Closes all open Dots.

#OPEN-PRESET-NAME> - Opens the specified user's Snapshot.

#TAKE-PRESET-NAME> - Capture a Snapshot.

#GETDOT-IDS <DOT-ADDRESS> - Returns the DotID of the specified Dot.

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

page 108

STATISTICS COLLECTION/ANALYSIS

[00298] The Home Dot application/Dot system and method of collecting use

statistics from Home Dot applications (and application servers) enables the present

invention to build and query a multidimensional use-profiling database. Because Dots

are used in groups and used more often for longer periods of time than web pages/sites,

the present invention may collect real-time multidimensional use statistics 206

(according to which Dots are ON, for example together or simultaneously) that over time

becomes a valuable multi-dimensional user behavior profiling database. The Dot

server 153 collects use statistics 206 from its Home Dot application 200 on clients 199

and manages and tracks Home Dot application downloads, access to Dot Index 204,

etc.

[00299] Information on a Dots user can be monitored in a multi-dimensional

fashion. Instead of classifying a user based solely on their demographic characteristics

and linear use of the internet, the present invention enables tracking on an additional

dimension. A user can be classified according to which Dots they use at the same time,

instead of only how they navigate within an individual viewer (the browser). This user

information can be packaged and sold to content providers so that they can better

provide services to their users.

page 109

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[00300] Because the present invention enables multi-dimensional profiling, a

service may be provided by the operator of this system and its partners to customize

advertisements and offerings to users in a more efficient and targeted manner. Tracking

this profiling data in real-time allows the operator to tailor these offerings to users of

specific Dots in a way that is not otherwise possible today. For example, one content

provider partner can be informed that users of a certain Dot are also disproportionately

monitoring content about a specific topic through another Dot. This information is then

used to target ads at the moment the peripheral interests of that content providers Dot

users are known. The present invention enables this information to be tracked as a

complete system. The system can be utilized to facilitate serving advertisements and

offerings based on the information that it collects.

[00301] The Home Dot application 200 records application events in an XML log

that intermittently gets uploaded to the Dot application server 153. Application events

that are logged include the following (by timestamp and by session):

When a Dot is opened and when it is closed (particularly, when Home Dot

200 is opened and closed)

When a Dot is added from a Dot Template or a Share

The number of times a user clicks through from a Dot to a full-screen web

page (and to which URLs)

page 110

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

_	The number of page views in a Dot-by-Dot session
	When and from which URL did a user download a Home Dot application 200
	The following outlines the types of questions the use statistics of the exercise the types of questions the use statistics of the exercise the types of questions the use statistics of the exercise the types of questions the use statistics of the exercise the types of questions the use statistics of the exercise the types of questions the use statistics of the exercise the types of questions the use statistics of the exercise the types of questions the use statistics of the exercise
	Duration and frequency of opening Home Dot applications and Dots - How often is the Home Dot application 200/Dot used on average (optionally, by user group) during the parts of a day (e.g., morning)?
	Duration: What was the average amount of time that users leave the Homo
	Distribution of Home Dot application 200 and Dots (Point of Distribution including Shares) How many users have downloaded the Home Do application during a set period of time? From which URL were they referenced?
	How many times has this Dot's DotTemplate been installed (from the DotIndex)?
	From which web site were users referred? How many times has the Dot been installed from a Share?

page 111 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

- Page views in Dots, Click-throughs/URL's - How does the page-view

performance of a Dot compare to the comparable content on a full-screen

web site?

- List the full-screen URLs most often clicked through to (in ranking order)

from this Dot.

- Dots used in Groups - Which Dots are used most frequently together? Which

Dots do users most often use with Dot.

[00303] The Home Dot application 200 intermittently sends its event logs to the

Dot application server 153 using the setAllEvents servlet method. The Home Dot

application's events are logged and sent as an XML file in a format specified by the

**CALL-EVENTS.** 

[00304] Categorizing Dots and/or Dotcontent by "context keywords" (e.g., CNN

Dot and FoxNews Dot = "News"; CBS Sportsline Dot = "Sports") enables the Home

Dot application 200 to build a real-time (accessible via the DMA) multi-dimensional

use context on the fly, based on which Dots the user currently has ON (e.g., assemble

the keywords of the currently open Dots into a multi-dimensional keyword string). This

highly resolved view of a user's behavior enables the Home Dot application 200 to

source a very targeted offering (commerce opportunity or ad) and/or enable Dot

developers to do the same. In one embodiment, the Home Dot application 200 supports

page 112 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

a DMA message (pay to access) that provides Dot developers with access to this type of

information (e.g., SendMessage "#system" " #get-use-context-string")

[00305] The Home Dot application 200 supports a developer toolkit service that

sources ads targeted according to this multi-dimensional use context generated by the

Home Dot application 200 (more targeted than currently possible with singular

contexts such as text strings, e.g., searched on "toys" = serve a toy ad). The Home Dot

application 200 and/or Dot application server 153 monitors /tracks, and handles

incremental billing for all parties (e.g., anonymously).

**VARIATIONS** 

[00306] Many variations on the above description are contemplated and within

the scope of the present disclosure. For example, in an alternate embodiment, the Home

Dot application 200 interface is implemented entirely as Dot content served from Dot

application server 153. Furthermore, the Home Dot application 200 itself may be

packaged as a Dot. The Home Dot application Dot has special responsibilities and

rights, but otherwise, it could be as much a Dot as any other Dot. This embodiment

enables the application executable to be significantly smaller and the Home Dot

application 200 UI to be significantly more flexible.

[00307] In yet another alternate embodiment, the Home Dot application 200 is

implemented as a plug-in to the web browser.

page 113

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00308] In still another alternate embodiment, the Home Dot application 200 is

implemented in Java, thus enabling it to be more easily portable to other platforms.

<del>[00309]</del> Additionally, in another embodiment, a subset of the Home Dot

application 200 functionality is implemented through the browser using pop-ups; this

would be particularly valuable to mobile Home Dot application 200 users who don't

always have easy access to a computer with the Home Dot application 200 installed.

[00310] The present invention enables the definition of an interface that groups

Dots together from different content providers and offers them to end users as part of a

unique system of content. Content from different internet companies can be made to

interact with each other using aspects of the present invention provided for in the Dot

messaging architecture (DMA). The Dot server 153 may therefore be provided with

functionality to broker these relationships and facilitate this interaction in Internet

content between companies and between Dot-enabled internet sites.

[00311] Because Dots can work together (via DMA and packs), the present

invention enables companies or content providers to enable their Dots to work

together. The present invention provides for another layer built upon the DMA API

(application programming interface) that specifies how different kinds and types of

Dots from different companies or developers communicate/work together. This layer is

optionally open source so that a large portion of it is self-published by the Dot

Developers themselves.

page 114

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[00312] Dot Developers implement, specify, and publish in a database DMA

message methods that other Dot Developers implement through calls made within their

published Dots. These Dot developer methods may be aggregated and published for use

in a database provided by the Home Dot publisher for example and sourced within a

developer zone, or as part of a Dot Definition.

[00313] Dot developers may implement these DMA message methods as Java

script functions that other Dot Developers calls with the DMA's ##<any javascript>

message. Dot Developers would need to be able to address a particular Dot's Web

Conduit control that supports a page implementing the specified javascript function

and address by domain if different from their domain. Restricted or variable permissions

may be provided via a web server or defined in a Dot's Dot Definition to restrict/enable

routing of messages.

[00314] A Dot Developer has the option to restrict access to certain methods

according to some criteria e.g., public, partners, domain. Different types of restriction

eriteria schemes may be implemented. A Dot may send a message to another Dot and

query for methods accessible to it. An example of access levels for functions is provided

below.

Public functions - Dot Developer implements and publishes DMA message

methods that any other Dot Developer could call (e.g., what time is it for a

Clock Dot).

page 115

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Reserved functions - Dot Developer implements, specifies, and publishes a

set of DMA message methods that may be called only by certain types of Dot

Developers (e.g. premier partner), specific Dot Developers, or specific Dots.

Private functions within the same domain - A Dot Developer restricts access

to certain DMA message methods that may only be implemented by other

Dots in the same domain. The preferred embodiment provides for the option

to either allow all messages to flow between domains, or to fully restrict

messages to within a domain.

Local Private functions within Dot - At the most restricted level, access is

specified such that DMA messages may only be accessed from within the

same Dot.

[00315] A published API that specifies ways for Dots to extend functionality to

other Dots enables Dot Developers to leverage the development work and functionality

of other Dots. As an example, a Dot Developer may implement a credit card processing

Dot. In one embodiment, this Dot is implemented as a javascript function that checks the

eredit available on a credit card. This developer could specify this as a public function

(in the Dot Definition for example) and publish this function in a Server Dot Index.

Other Dot Developers send a message to this Dot and call "check credit", thus

leveraging the development carried out from the first Dot Developer.

page 116

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[00316] In the above example, the messaging architecture may provide revenue

generation by way of monthly fees, per-access fees, etc. The Home Dot application 200

and/or Dot application servers could monitor/track and automatically handle

incremental billing for all parties. By applying this method to the previous example, a

Dot Developer who calls a credit card processing Dot for a credit check may be

incrementally billed for each such call.

[00317] It will be appreciated that the methods, in the form of instructions having

a sequence, syntax, and content, of the present invention may be stored on (or

equivalently, in) any of a wide variety of computer-readable media such as magnetic

media, optical media, magneto-optical media, electronic media (e.g., solid state ROM or

RAM), etc., the form of which media not limiting the scope of the present invention. A

data processor reading said media is operable to either transfer (e.g., download) said

instructions thereto and then operate on those instructions, or cause said instructions to

be read from the media and operate in response thereto. Furthermore, devices (e.g., a

reader) for accessing the instructions on said media may be contained within or

connected directly to the data processor residing on a device on which those instructions

operate, or may be connected via a network or other communication pathway to said

data processor.

[00318] While a plurality of preferred exemplary embodiments have been

presented in the foregoing detailed description, it should be understood that a vast

number of variations exist, and these preferred exemplary embodiments are merely

representative examples, and are not intended to limit the scope, applicability or

page 117

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

configuration of the invention in any way. Rather, the foregoing detailed description

provides those of ordinary skill in the art with a convenient guide for implementation

of the invention, by way of examples, and contemplates that various changes in the

functions and arrangements of the described embodiments may be made without

departing from the spirit and scope of the invention defined by the claims thereto.

page 118

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

757

#### Appendix A

This appendix contains an exemplary definition of an XML structure used for Dots. Generally, there are four top XML structures that are read from URL/file. These are:

Session	<session_config></session_config>
User configuration	<all_config></all_config>
shares	<share></share>
Dot templates	<del><dot></dot></del>

These structures are described below. Some structures reuse other structure formats.



#### GLOBALID= "String"

Used only within <SHARE> tags. This GLOBALID must be unique with respect to other <DOT> tags in the <SHARE>.

#### **DOMAIN = "string"**

Unique label for the owning company of this Dot. In one embodiment, Dots are limited to communicating with Dots only from their own domain.

#### KIND= "String"

Helpful identifier for finding such a Dot from another Dot. Does not have to be unique.

#### <FRAME>

#### <TITLE>

TEXT = "string"

JUSTIFY= "LEFT" | "CENTER" | "RIGHT"

COLOR="#XXXXXXX"

## PIXELWIDTH="integer"

Filed via EFS-Web on: 10/31/2007

page 119 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Width in pixel units. Overrides WIDTH attribute. PIXELHEIGHT="integer" Height in pixel units. Overrides HEIGHT attribute. WIDTH="Integer" Width in Dot units. Default value is 1. **HEIGHT="integer"** Height in Dot units. Default value is 1. X="integer" | "LEFT" | "CENTER" | "RIGHT" Initial X position in screen coordinates. Default is center. Y="INTEGER" | "TOP" | "CENTER" | "BOTTOM" | Initial Y position in screen coordinates. Default is center. FIXWIDTH="TRUE" | "FALSE" Default is false. FIXWIDTH="TRUE" | "FALSE" Default is false. **BACKGROUNDCOLOR="#XXXXXX"** Default is white.

page 120 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
<TITLEBARIMAGE>
     JUSTIFY ="LEFT" | "CENTER" | "RIGHT"
     TILELEFT="integer"
     TILERIGHT= "integer"
     NORMAL= "url"
     DOWN="URL"
     HOVER="URL"
     INACTIVE="URL"
<BOTTOMARIMAGE>
     JUSTIFY= "LEFT" | "CENTER" | "RIGHT"
     TILELEFT= "integer"
     TILERGHT ="integer"
     NORMAL="URL"
     DOWN="URL"
     HOVER="URL"
     INACTIVE="URL"
<MENU>
     Contains zero or more <ITEM> tags.
     <ITEM>
           TITLE="string"
           TOOLTIP="string"
           ICON="URL"
           ID="string"
           Must be unique.
```

page 121 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

## <ACTION>

**RECIPIENT="address"** 

MESSAGE="string"

### <CONTROL LAYOUT>

Contains zero or more <CONTROL> tags.

## WIDTH= "integer"

Divides control space into this many evenly spaced columns. Default is 1.

### **HEIGHT="integer"**

Divides control space into this many evenly spaced rows. Default is 1.

### WIDTHSCALES="TRUE" | "FALSE"

Default is true.

#### HEIGHTSCALES="TRUE" | "FALSE"

Default is true.

### <CONTROL>

See external definition.

### <CATEGORIES>

Contains zero or more <CATEGORY> tags.

### <CATEGORY>

Adds the Dot to this category. This is the only way categories are specified; i.e. there is no master category list.

## NAME="string"

This is the name of the category.

#### <EVENTS>

## <ONCLOSE>

Executes this action list on close.

page 122 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

#### <ACTION-LIST>

See external definition.

### <CONTROL>

The only understood value for this is "Browser". Currently, any other value is overridden and "Browser" is assumed. All specifications should explicitly specify "Browser".

### KIND="string"

#### ID="string"

Must be unique with other controls in this Dot.

### LEFT="integer"

X position in container units. Default is **0**.

### TOP="integer"

Y position in container units. Default is **0**.

## WIDTH="integer"

Width in container units. Default is 1

## **HEIGHT="integer"**

Height in container units. Default is 1.

### URL="URL"

This is read if and only if this control is of class "Browser". This is the URL to which this control navigates.

### <PRESET>

Contains zero or more <DOT> tags.

## TITLE="string"

page 123 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

<<del>DOT></del>

This tag specification is specific to the <PRESET> tag, i.e. it is not the same tag as the full <DOT> specification described elsewhere.

**GLOBALID="string"** 

Used only within <SHARE> tags. This <SHARE> must contain a <DOT> with a matching GLOBALID.

X="integer" | "LEFT" | "CENTER" | "RIGHT"

Y="integer" | "TOP" | "CENTER" | "BOTTOM"

<SHARE>

Contains zero or more <DOT> and <PRESET> tags. GLOBALID attributes are valid and consistent only within this share.

<<del>DOT></del>

See external definition.

<PRESET>

See external definition.

<ACTION LIST>

Contains zero or more <ACTION> tags.

<ACTION>

**RECIPIENT="address"** 

**MESSAGE= "string"** 

<SESSION CONFIG>

page 124 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

#### REDIRECT="URL"

The client reading this will ignore this configuration and try again with the configuration stored at this URL. The client will not redirect if this tag is omitted, if the URL is empty, or if the URL is the same URL used to retrieve this configuration in the first place. This step is recursive, so if two configuration files are redirecting to each other, the client will get stuck in an endless loop.

### **VERSION="string"**

This attribute is required and must match the version of the client. Currently, the client is compiled with the version "alpha:1". If this attribute is omitted or differs from the client's version, the client will download the executable specified in the UPGRADE attribute and execute it.

#### **UPGRADE="URL"**

This is used only if the VERSION attribute differs from the client's version. This URL specifies the location of an executable that will upgrade the client's application. The client will quit, and then run the upgrade executable automatically.

#### <Methods>

#### Baseurl="url"

All the tags encapsulated here represent servlet methods. The URLs specified by the method are relative to this base URL.

### <ADD\_USER>

URL="URL"

#### <GET\_USER>

URL="URL"

#### <SET\_USER>

URL="URL"

#### <GET\_SESSION\_CONFIG>

URL="URL"

page 125 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

<SET\_PASSWORD > URL="URL" <GET\_MASTER\_DOT\_LIST> URL="URL" <SET\_ALLCONFIG> URL="URL" <GET ALL CONFIG> URL="URL" <SET\_ALL\_STATS> URL="URL" <GET\_DOT\_TEMPLATE> URL="URL" <abb/>ADD\_SHARE> URL="URL" <GET\_SHARE> URL="URL" <AUTH\_TEST> URL="URL" <DO\_REDIR> URL="URL" <SKELETONS> <HOMEDOT>

page 126 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

<images></images>
BASEURL="URL"
This is the URL of the root directory for where the HomeDots images are stored.
< <del>DOT&gt;</del>
<images></images>
BASEURL="URL"
This is the URL of the root directory for where the default images for Dots are stored
< <del>DOTS&gt;</del>
< <del>ADD&gt;</del>
TEMPLATE="URL"
This is the URL for the XML specification of the "add" Dot.
<help></help>
TEMPLATE="URL"
This is the URL for the XML specification of the "help" Dot.
<login></login>
TEMPLATE="URL"
This is the URL for the XML specification of the "login" Dot
<all color="block"><all color="block"></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all></all>

page 127 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

#### <LASTSTATE>

#### <PRESET>

This preset uses the GLOBALID values in the above <SHARE>. This represents the last known position of the open Dots when the user last quit the application.

### <HOMEDOT>

This represents the last position of the HomeDot when the user quit his application.

X="integer" | "left" | "center" | "right"

Y="integer" | "top" | "center" | "bottom"

page 128 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

767

### Appendix B

### **Client-Server Protocols**

#### HTTP/HTTPS

HTTP/HTTPS is the chosen basic request response protocol for client/server communications for Dots. HTTP/HTTP by default runs on port 80/443 and is typically left unblocked on the firewall by most network administrators (and by default by the firewall vendors). It is also the logical choice given that web servers and web client libraries are cheap and often freely available. This choice effectively removes the burden of creating and maintaining code to the low level TCP/IP socket connections as well as the basic request response framework. For security reasons, it is suggested that all user-specific data exchanges be done through HTTPS (as opposed to HTTP).

#### **XML**

XML is the chosen data exchange format between the client and the server.

page 129 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

## Session\_Config DTD

```
<!ELEMENT session_config
     add user,
     set_password, set_session_config,
     get_master_dot_list,
     get_dot_template,
     get_all_config,
     get_all_confg,
     set_all_events,
     dotdots_navigation
<!ELEMENT add_user EMPTY>
<!ATTLIST add_user
     url CDATA
                      #REQUIRED
<!ELEMENT set_password EMPTY>
<!ATTLIST set_password
     url CDATA #REQUIRED
>
<!ELEMENT get_session_config EMPTY>
<!ATTLIST get_session_config
     url CDATA #REQUIRED>
<!ELEMENT get_master_dot list EMPTY>
<!ATTLIST get_master_dot_list
     url CDATA #REQUIRED
     refresh CDATA #REQUIRED
\geq
<!ELEMENT get_dot_template EMPTY>
<!ATTLIST get_dot_template
     url CDATA #REQUIRED
\geq
```

page 130 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

page 131 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

```
<!ATTLIST set_all_config
      url CDATA
                          #REQUIRED
      refresh CDATA
                        --#REOUIRED
<!ELEMENT set_all_events EMPTY>
<!ATTLIST set_all_events
      url CDATA #REOUIRED
<!ELEMENT dodots_navigation EMPTY>
<!ATTLIST dodots_navigation
      url CDATA #REQUIRED
>
Session Config Example
<?xml version="1.0"?>
<!DOCTYPE SESSION_CONFIG SYSTEM "SESSION_CONFIG.DTD">
<SESSION CONFIG>
      <ADD_USER
      url= http colon slash slash scot colon 8080 slash servlet slash DoDotsServer slash
      addUser quote space slash greater than
      <SET_PASSWORD url=http colon slash slash scot colon 8080 slash servlet slash
      DoDotsServer slash setPassword quote space slash greater than
      <GET_SESSION_CONFIG</pre>
      url= http colon slash slash scot colon 8080 slash servlet slash DoDotsServer slash
      getSessionConfig quote space slash greater than
      refresh="l"/>
      <GET MASTER DOT LIST url= /> http colon slash slash scot colon 8080 slash
      servlet slash DoDotsServer slash getMasterDotList quote space slash greater than
      refresh=" 1"/>
      <GET_DOT_TEMPLATE url= http colon slash slash scot colon 8080 slash servlet</p>
      slash DoDotsServer slash getDotTemplate quote space slash greater than
      refresh="1"/>
      <GET_ALL_CONFIG url= http colon slash slash scot colon 8080 slash servlet slash
      DoDotsServer slash getAllConfig quote space slash greater than
      refresh="600"/>
      <SET_ALL_EVENTS</pre>
      url= http colon slash slash scot colon 8080 slash servlet slash DoDotsServer slash
      setAllEvents quote
      refresh="600"/>
                                                         Attorney docket: IMS 07-05-06
                                      page 132
```

Application of: Kembel et al.

## 

#### **User DTD**

```
<!ELEMENT user EMPTY>
<!ATTLIST user
     <del>uid</del>
                     CDATA-
                                         #IMPLIED
     <del>password</del>
                    CDATA
                                         #IMPLIED
     <del>dpid</del>
                    CDATA
                                         #IMPLIED
     title-
                    CDATA
                                         #IMPLIED
     <del>prefix</del>
                    CDATA
                                         #IMPLIED
                    CDATA
                                         #IMPLIED
     firstname -
                    CDATA
                                         #IMPLIED
                    CDATA
     lastname
                                         #IMPLIED
     suffix
                    CDATA
                                         #IMPLIED
     email -
                    CDATA
                                         #IMPLIED
     street1
                    CDATA
                                         #IMPLIED
                    CDATA
     street2
                                         #IMPLIED
     city
                    CDATA
                                         #IMPLIED
                    CDATA
     state
                                         #IMPLIED
                                         #IMPLIED
     country
                    CDATA
     birthdate
                    CDATA
                                         #IMPLIED
                    CDATA
                                         #IMPLIED
```

### **User Example**

```
<?xml version="1.0" ?>
<GETDOCTYPE USER SYSTEM "USER.DTD">
<USER>
      uid ="joeblack"
      password ="morte"
      dpid ="321"
      title ="Chief Dot Developer"
      prefix ="Mr." firstname ="Joe"
     mi = "D"
                ="Black"
      lastname
      suffix ="Sr."
      email ="reaper@otherside.org"
      street1 ="1 KickTheBucket Street"
     street2 =""
      city ="Mordor"
```

page 133 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
state="DC"
      country=""
     birthdate=""
     sex="N"
/>
Dot_Master DTD
<!ELEMENT dot_master(
      <del>dot +</del>
      <del>)</del>
<!ATTLIST dot_master
<!ELEMENT dot EMPTY>
<!ATTLIST dot
     dtid
                -ID-
                             #REQUIRED
     name CDATA #REQUIRED
      desc CDATA #IMPLIED
Dot_Master Example
<?xml version="1.0" ?>
<GETDOCTYPE DOT_MASTER SYSTEM "DOT_MASTER.DTD">
<DOT_MASTER>
      <DOT DTID="1" NAME="Scot's DoDots stock tracker" DESC="Tracks and graphs</p>
the stock performance of the DoDots corporation"
      <DOT DTID="32" NAME="ACME Wonder Dot" DESC="The most amazing</p>
dot in the world. This one does tricks like you've never seen before"
<DOT DTID="33" NAME="ACME Wonder Dot version 2" DESC="The most</p>
amazing Dot in the world. This one actually works the way the first one was supposed
to."
      <DOT DTID="40" NAME="Roadrunner's Coyote trap watcher" DESC="Up</p>
to the minute alerts on new traps set by all coyotes within a 100 mile radius"
</DOT MASTER>
```

page 134 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

```
Dot_Template DTD
<!ELEMENT dot_template (
menu*,
     controls
>
<!ATTLIST dot
   <del>uid</del>
                                                         #REQUIRED
   debug
                                           "FALSE"
                   ("TRUE"|"FALSE")
                                           "ACTIVEX"
                   ("ACTIVEX"|"JAVA")
   type
   class
                   CDATA
                                                         #REOUIRED
   title
                   CDATA-
                                                         #REQUIRED
   titlebarimage
                   CDATA
                                                         #REQUIRED
   bottombarimage
                   CDATA
                                                         #REQUIRED
   width -
                   CDATA
                                                         #REOUIRED
   height-
                   CDATA
                                                         #REQUIRED
                   ("TRUE"|"FALSE")
   resizable
                                           "FALSE"
<!ELEMENT menu
     (menuitem+
<!ATTLIST menu
     id ID #REQUIRED
<!ELEMENT controls (
     control+
     <del>)</del>
<!ATTLIST controls
layouts
                 ("flow"
                          #IMPLIED "flow"
                <del>|"grid"</del>
                <del>l"gridbag")</del>
<!ELEMENT menuitem EMPTY>
<!ATTLIST menuitem
     id ID
                                    #REOUIRED
     title
                CDATA
                                    #REQUIRED
     icon
                CDATA
                                    #IMPLIED
     tooltip-
                CDATA
                                    #IMPLIED
     enabled ___
                ("TRUE"|"FALSE") #IMPLIED "FALSE"
     action
                CDATA
                                    #REQUIRED
```

page 135 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
<!ELEMENT control EMPTY>
<!ATTLIST control
     id ID
                           #REOUIRED
              — ("ACTIVEX"| "JAVA") "ACTIVEX"
     type ___
     class
                CDATA
                                    #REQUIRED
                         _____
-___#REQUIRED
     width
               CDATA
                                   #REOUIRED"
     height CDATA
     location CDATA
                                 #REQUIRED
>
Dot_Template Example
<?xml version="1.0"?
<!GETDOCTYPE DOT_TEMPLATE SYSTEM "DOT_TEMPLATE.DTD">
<DOT_TEMPLATE</pre>
     DEBUG="TRUE"
     TYPE="ACTIVEX"
     CLASS=" 12939-292939-93939-29292"
     TITLE="Ebay"
     TITLEBARIMAGE= http colon slash slash www dot ebay dot com slash image slash
     foo quote
     BOTTOMBARIMAGE="someurl"
     WIDTH=2
     HEIGHT=1
     RESIZABLE="TRUE"
\geq
     <MENU>
           < MENUITEM
                ID="NEW AUCTION WINDOW"
                TITLE="New Auction Window"
                ICON="quote http colon slash slash www dot ebay dot com
                slash images slash new underscore auction dot gif quote
                TOOLTIP="Open up a new auction window to use"
                ACTION="someaction.exe"
           <del>/></del>
           <MENUITEM
                ID="DISPLAY CLOSE"
                TITLE="Close display"
                ICON="http colon slash slash www dot ebay dot com slash images
                slash menuitem dot gif quote
                TOOLTIP="Close the display field"
                ACTION="someaction.exe"
     </MENU>
```

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Filed via EFS-Web on: 10/31/2007

page 136

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

```
<CONTROLS LAYOUT="FLOW">
          <CONTROL
               ID="SEARCH FIELD"
               TYPE="ACTIVEX"
               CLASS="33333-1111111-222222-33333"
               WIDTH="80"
               HEIGHT="20"
               LOCATION="TOP"
               URL=http colon slash slash www dot webster dot com slash
               searchField dot html
          <CONTROL
               ID="RESULT_DISPLAY"
               TYPE="ACTIVEX"
               CLASS="33333-1111111-222222-33333" WIDTH="80"
               HEIGHT="100"
               LOCATION="TOP"
               VISIBILITY="FALSE"
     </CONTROLS>
</DOT TEMPLATE>
```

page 137 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

## All\_Config DTD

```
<!-DTD for the All_Config element used in the configuration of DoDots's Snapshot,
block and dot objects >
<!ELEMENTS all_config (
      Snapshot*
      <del>)</del>
<!ATTLIST all_config
<!ELEMENT Snapshot (
      block*,
      <del>dot*</del>
      <del>)</del>
<!ATTLIST Snapshot
                 -ID-
                        #REQUIRED
<!ELEMENT block(
      <del>dot*</del>
      <del>)</del>
<!ELEMENT dot (
      menu*,
      controls
      +
<!ATTLIST dot
      id
                                                  #REQUIRED
      debug
                         ("TRUE"|"FALSE")
                                                  "FALSE"
                         ("ACTIVEX"|"JAVA")
                                                  "ACTIVEX"
      type
      class
                         CDATA
                                                  #REQUIRED
                         CDATA
                                                  #REQUIRED
      title
      titlebarimage
                         CDATA
                                                  #REQUIRED
      bottombarimage -
                        CDATA
                                                  #REOUIRED
      width
                         CDATA
                                                  #REQUIRED
      height
                         CDATA
                                                  #REQUIRED
                         ("TRUE"|"FALSE")
                                                  "FALSE"
      resizable-
```

page 138 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
<!ELEMENT menu (
     menuitem+
     +
<!ATTLIST menu
     id ID
              #REQUIRED
<!ELEMENT controls (
     <del>control+</del>
<!ATTLIST control
layout ("flow"
                   #IMPLIED "flow"
          <del>"grid"</del>
          <del>l"gridbag"</del>
<!ELEMENT menuitem EMPTY>
<!ATTLIST menuitem
     id ID
                                   #REQUIRED
              ("ACTIVEX"|"JAVA") "ACTIVEX"
     type ____
     class
              -CDATA-
                                    #REOUIRED
            CDATA
     width
                                   #REQUIRED
     height CDATA
                                 #REQUIRED
     location CDATA
                                    #REQUIRED
>
All_Config Example
<?xml version="1.0"?>
<!DOCTYPE ALL CONFIG SYSTEM "ALL CONFIG.DTD">
<ALL_CONFIG>
     <SNAPSHOT ID="1"
               <DOT
                     DEBUG="TRUE"
                     TYPE="ACTIVEX"
                     CLASS=" 12939-292939-93939-29292"
                     TITLE="Ebay"
                     TITLEBARIMAGE= http colon slash slash www dot ebay dot
                     com slash image slash foo quote
                     BOTTOMBARI MAGE="someurl"
                     WIDTH=2
                     HEIGHT=1
                     RESIZABLE="TRUE"
```

page 139 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

<MENU>

```
< MENUITEM
                      ID="NEW AUCTION WINDOW"
                      TITLE="New Auction Window"
                      ICON=" http colon slash slash www dot ebay dot com slash
                      images slash new underscore auction dot gif quote
                      TOOLTIP="Open up a new auction window to use"
                      ACTION="someaction.exe"

                 <MENUITEM
                      ID="DISPLAY CLOSE"
                      TITLE="Close display"
                      ICON=" http colon slash slash www dot ebay dot com slash
                      images slash menuitem dot gif quote
                      TOOLTIP="Close the display field"
                      ACTION="someaction.exe
                 />
           </MENU>
           <CONTROLS LAYOUT="FLOW">
                 <CONTROL
                      ID="SEARCH_FIELD"
                      TYPE="ACTIVEX"
                      CLASS="33333-1111111-222222-33333"
                      WIDTH="80"
                      HEIGHT="20"
                      LOCATION="TOP"
                      URL=http colon slash slash www dot webster dot com slash
                      searchField dot html
           <CONTROL
                      ID="RESULT DISPLAY"
                      TYPE="ACTIVEX"
                      CLASS="33333-1111111-222222-33333"
                      WIDTH="80"
                      HEIGHT="100"
                      LOCATION="TOP"
                      VISIBILITY="FALSE"
                 />
           </CONTROLS>
           \leftarrow DOT >
     </SNAPSHOT>
</ALL CONFIG>
```

page 140 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

#### All\_Events DTD

```
<!ELEMENT dot events
     dot event*
<!ATTLIST dot_events
<!ELEMENT dot_events EMPTY>
<!ATTLIST dot_events
     deid
          ——CDATA
                                #REOUIRED
                CDATA
     dtid-
                                #IMPLIED
     <del>dpkid</del>
                CDATA
                                #IMPLIED
     <del>dpid</del>
               CDATA
                                 #IMPLIED
     start_time CDATA
                                 #REQUIRED
     end_time
               CDATA
                                 #IMPLIED
     url1
               CDATA
                                #IMPLIED
```

## **NOTES:**

All data/time data transmitted must conform to the W3C HTTP1.1 specification's HTTPdate grammar (IETF RFC 1123 format is strongly preferred). This means, among other things, that all date/time data will be represented in GMT/UTC time. See RFC 1123 for specific details. Simplified format string:

Wdy, dd MON yyyy HH:MM:SS GMT

The valid even ids (deid) will be stored in the DOT\_EVENTS table described later in this appendix.

- 0 Download of homedot/native code
- 1 Download of Dot
- 2 download of Dotpack
- 3 Use of Dot (home Dot is special dtid "1" for this event)
- 4 Click thru to browser
- 5 Page view

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

page 141

DEID and start\_time are always required, but other required attributes are actually variable based on record type/deid:

- 0 -dpid, end\_time
- 1 -dpid, dtid, end, time
- 2 -dpid, dpkid, end\_time
- 3 -dtid, end\_time
- 4 dtid, url1
- 5 dtid

#### **All\_Events Example**

```
<DOT_EVENTS>
     <DOT EVENT DEID="0" DPID="1 0" START TIME="Sat, 10 APR 1999</p>
09:15:08 GMT" END_TIME="Sat, 10 APR 1999 09:16:55 GMT" />
     <DOT EVENT DEID="2" DPKID="5" DPID="1 0" START TIME="Sat. 10"
APR 1999 09:17:08 GMT" END TIME="Sat. 10 APR 1999 09:19:55 GMT" />
     <DOT_EVENT DEID="1" DTID="49" DPID="1" START_TIME="Sat, 10</pre>
APR 1999 09:30:08 GMT" END TIME="Sat, 10 APR 1999 09:30:55 GMT" />
     <DOT_EVENT_DEID="1" DTID="51" DPID="1" START_TIME="Sat. 10"</p>
APR 1999 09:31:08 GMT" END TIME="Sat, 10 APR 1999 09:32:55 GMT" />
     <DOT EVENT DEID="1" DTID="59" DPID="1" START TIME="Sat, 10</pre>
APR 1999 09:33:08 GMT" END_TIME="Sat, 10 APR 1999 09:34:55 GMT" />
     <DOT_EVENT DEID="3" DTID="49" START_TIME="Sat, 10 APR 1999</p>
09:35:08 GMT" END_TIME="Sat, 10 APR 1999 12:29:55 GMT" />
     <DOT_EVENT_DEID="3" DTID="51" START_TIME="Sat, 10 APR 1999</p>
09:35:08 GMT" END_TIME="Sat, 10 APR 1999 12:29:55 GMT" />
     <DOT_EVENT_DEID="3" DTID="59" START_TIME="Sat, 10 APR 1999</p>
09:35:08 GMT" END_TIME="Sat, 10 APR 1999 12:29:55 GMT" />
     <DOT EVENT DEID="5" DTID="59" START TIME="Sat. 10 APR 1999</p>
09:15:08 GMT" />
     <DOT EVENT DEID="5" DTID="59" START TIME="Sat, 10 APR 1999</p>
11:34:08 GMT" />
     <DOT_EVENT DEID="5" DTID="59" START_TIME="Sat, 10 APR 1999</p>
12:15:08 GMT" />
</DOT_EVENTS>
```

page 142 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

## AddShare\_Response DTD

AddShare\_Response example

<a href="https://www.esapen.com/sepansor-new-response-busid="123456"/>

#### 8 Server API

As indicated previously in this document, the client communicates to the server via an HTTP request. The HTTP request URL will effectively contain an "API call". Any API calls that require the uploading of data to the server will place the data in the message body of the request. This implies that these (uploader) HTTP requests will be POSTs and not GETs. API calls that require uploading/downloading of data will send/receive that data in XML, in the format as is indicated previously in this document. The server will support message body compression (i.e., content encoding) to help reduce network traffic. Content compression will be in the commonly supported HTTP forms of :gzip, zip, or zlib and will be chosen on a user by user basis based on standard HTTP response and request header values for content encoding.

All "API Cealls" listed below are the end part of a complete URL that starts with: http colon slash slash open bracket someservername close brackt dot DoDots dot com slash DoDots slash, where <servername> is variable and DoDots is an alias for the DoDots servlet/JSP servlet or servlets directory. Arguments to any of the methods are passed in as name value pairs in the query string portion of the URL. Note that data intended to be persistently stored on the server are not considered "arguments" to the server API method. The arguments are used only to allow the servlet implementing the API call to perform the requested action, not to encode the data uploaded.

In every case, the client will receive an HTTP response code of: "401 Not Authorized" if the client does not provide a valid username and password in every request. To avoid the possibility of a username/password combination persistently stored in the clear (i.e., a directory entry on windows), the standard HTTP headers of "Authorization:" and "WWW Authenticate:" will be used to carry the username and password information in every request with the exception of the DoAddUser method. The security scheme used will be the "BASIC" scheme as detailed in IETF RFC 1521 and RFC 2617. This has the two added

page 143 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

advantages of: a) an ordinary web browser can then be used as an impromptu debugging tool for the client/server communication; and, b) user sessions can be silently reestablished when the session has timed out due to inactivity and the user causes a call to the server to happen without restarting the client WinInet, like MSIE, will keep resending the Authorization header with every request until the hosting application exits. It is suggested that the application server handle the authentication via the HTTP header information rather than configuring the web server for authentication - this way the authentication scheme can change over time by module replacement (both on the server and the client) and the user can be offered the ability to change passwords. The BASIC authentication method is recommended for the initial release due to the readily available BASE64 decoders, including the built in support for BASIC auth in most client-side libraries (like WinInet). Other, more secure authentication schemes (such as MD5 or X509) may be substituted or added in, if desired. All successful requests will return a standard HTTP response with a result code of "200 OK" unless otherwise specified in the method descriptions below. The server should pay careful attention to the HTTP "content length" and the actual request body lengths to confirm that the client message has not been truncated or the connection dropped. The server may be designed and implemented with localization and internationalization of returned error, warning and status messages. Given that certain XML streams may be of great length, the server should support inbound and outbound handing of message body compression/decompression when requested by the client. Compression types that should be supported are: GZIP, ZIP, and ZLIB. The client indicates requested/supported compression types thru the standard HTTP headers of "Content-Encoding", "Accept Encoding", and "Vary" as described in the W3C HTTP 1.1 specification. Note that the client may indicate or not indicate compression on a request by request basis. The server should not assume that the client can handle a compressed response body unless indicated in the request header.

#### Server API methods for the end-user client

#### addUser

The add User method is used to create a new DoDots user account.

Note that this is available as an end-user api in cases in which it is desired to allow new users to add themselves rather than wait for an administrator to do it for them.

### Arguments:

- uid unique username
- password password for the user account
- dpid (optional) Dot provider id user is also a Dot content developer
- title (optional) title if user is also a Dot content developer
- prefix (optional) name, prefix (i.e., Mr., Ms., Mrs., Dr., etc.)

page 144 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

- firstname First name
- mi (optional) Middle initial
- lastname Last name
- suffix (optional) name suffix (i.e. III, Jr., PhD., etc.)
- email (optional) E mail address
- street1 (optional) first line of the street address
- street2 (optional) second line of street address
- city (optional) city
- state (optional) state
- country (optional) country
- birthdate (optional) birthdate (i.e. 04/10/1965)
- sex (optional) sex (i.e. male, female)

#### Example:

#### Request:

http colon slash slash dots1 dot DoDots dot com slash DoDots slash addUser question mark uid equal Smith ampersand password equal scotchromanian ampersand prefix—equal Mr ampersand firstname equal Scot ampersand mi equal J ampersand lastname equal Smith ampersand email equal Smith ampersand newco.com

#### Response:

Standard HTTP success with no response body.

#### getUser

The getUser method retrieves the user's own demographic data. This method supports the ability of the client application to then allow updates to the user's demographic information using the setUser method (see below).

#### Arguments:

• uid (optional) - username, uid is required when the issuer is a Dot developer or the DoDots admin. If the method is invoked by an end-user, this argument is ignored and the server identifies the user from the Authorization information.

page 145 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

### Example:

#### Request:

http colon slash slash dots 1 dot DoDots dot com slash DoDots slash getUser

### Response:

The expected response is an xml stream containing the user's current demographic information. This xml stream is the USER DTD as defined earlier in this document.

#### setUser

- uid (optional) username, uid is required when the issuer is a Dot developer or the DoDots admin. If the method is invoked by an end user, this argument is ignored and the server identifies the user from the Authorization information.
- password password for the user account
- dpid (optional) Dot provider id user is also a Dot content developer
- title (optional) title if user is also a Dot content developer
- prefix (optional) name, prefix (i.e., Mr., Ms., Mrs., Dr., etc.)
- firstname First name
- mi (optional) Middle initial
- lastname Last name
- suffix (optional) name suffix (i.e. Ill, Jr., PhD., etc.)
- email (optional) E mail address
- street1 (optional) first line of the street address
- street2 (optional) second line of street address
- city (optional) city
- state (optional) state
- country (optional) country
- birthdate (optional) birthdate (i.e. 04/10/1965)
- sex (optional) sex (i.e. male, female)

page 146 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

### Example:

### Request:

http colon slash slash dots 1 dot DoDots dot com slash DoDots slash setUser question mark uid equal Smith ampersand password equal scotchromanian ampersand prefix equal Mr ampersand firstname equal Scot ampersand mi equal J ampersand lastname equal Smith ampersand email equal smith at newco.com

#### Response:

Standard HTTP success with no response body.

#### **getSessionConfig**

The getSessionConfig method is used to retrieve general configuration information. Arguments:

#### None

#### Example:

Request:

http colon slash slash dots 1 dot DoDots dot com slash DoDots slash getSessionConfig

#### Response:

The expected response is an xml stream containing the high level configuration information for the session. This xml stream is the SESSION\_CONFIG DTD as defined earlier in this document.

#### **setPassword**

The setPassword method provides a mechanism for the user to change their associated DoDots server account password.

#### Arguments:

Newpass the new password

#### Example:

#### Request:

http colon slash slash dots 1 dot DoDots dot com slash DoDots slash setPassword question mark newpass equal bar

#### Response if successful:

Standard HTTP success with no response body.

### **getMasterDotList**

The getMasterDotList method requests the list of all Dot templates known by the server. The server returns a message body in predefined XML format (set the DOT/MASTERLIST DTD and example) of all possible Dots and their default template values. Note that included in

page 147 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

each DOT element are a version stamp and Dot class ids which allows the client to determine if they have the most up to date Dot template and Dot class binaries for the dot. The client may then decide to request the updated Dot template (see the getDotTemplate method) and/or Dot class binary zip file (see the getDotClassBinaries method).

#### Arguments:

None.

#### Example:

Request:

http colon slash slash dots 1 dot DoDots dot com slash DoDots slash getMasterDotList

#### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format of the DOT/MASTERLIST DTD defined earlier in this document.

#### **setAllConfig**

The setAllConfig method sends the entire body of user-specific client-side configuration information (all Snapshots, blocks, Dots, general settings, etc.) to the server for persistent storage on behalf of the user. The data is sent as the message body in a predefined XML format (see the ALL\_CONFIG DTD and example). The response returned by the server indicates success or failure.

### Arguments:

• none (request body contains the xml stream in the predefined XML format of the ALL\_CONFIG DTD defined earlier in this document.)

#### <del>Example:</del>

Request: http colon slash slash dots 1 dot DoDots dot com slash DoDots slash set AllConfig

#### Response:

Standard HTTP success with no response body.

### getAllConfig

The getAllConfig method retrieves the last user specific, server side saved, client side configuration information (all Snapshots, blocks, Dots, general settings, etc.) from the server. The data is sent as the message body in a predefined XML format (see the ALL\_CONFIG DTD and example).

#### Arguments:

• none

Example:

Request:

page 148 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

http colon slash slash dots 1 dot DoDots dot com slash DoDots slash getAllConfig

### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format of the ALL\_CONFIG DTD defined earlier in this document.

#### setAllEvents

The setAllEvents method sends usage information that has accumulated since the last call to setAllEvents to the server for later use in statistical usage report generation. The data is sent as the message body in a predefined XML format (see the ALL\_EVENTS DTD and example). The response returned by the server indicates success or failure.

#### Arguments:

none

#### Example:

Request:

http colon slash slash dots 1 dot DoDots dot com slash DoDots slash setAllEvents

#### Response:

Standard HTTP success with no response body.

#### **getDotTemplate**

The getDotTemplate method asks the server for a specific Dot template. The server returns the XML that describes the default state of the Dot. This method is called when the client has determined that it does not have the current version of the Dot and the user wants the most recent version (NOTE: this includes when the user is downloading the Dot for the first time)

#### Arguments:

• DTID The unique Dot id for the Dot being requested. This value is extracted from the Dot master list xml stream returned by the getMasterDotList method for each Dot.

### Example:

#### Request:

http colon slash slash dots1 dot DoDots dot com slash DoDots slash getDotTemplate question mark dtid equal 1234

#### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format of the DOT TEMPLATE DTD defined earlier in this document.

#### addShare

page 149 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

The addShare method stores a specific shared Dot, pack, or Snapshot. The server takes the XML that was uploaded by the client for sharing and returns the unique id of the share The client should put the XML stream to be shared in the request body.

#### Arguments:

none

### Example:

Request:

http colon slash slash dots2 dot DoDots dot com slash DoDots slash addShare

#### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format of the ADDSHARE RESPONSE DTD defined earlier in this document.

#### getShare

The getShare method asks the server for a specific shared Dot, pack or Snapshot. The server returns the XML that was stored for sharing by the original sending user. The format of the returned XML stream is dependent on what has been "shared".

#### Arguments:

• usid The unique share id for the share being requested.

#### Example:

Request:

http colon slash slash dots1 dot DoDots dot com slash DoDots slash getShare question mark dusid equal 1234

### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format. The content is returned as it was uploaded by the client.

#### **Additional server APIs and Interfaces for Dot Providers**

Dot providers can make use of all the end user APIs by using the client application. Dot Providers may also make use of additional APIs for Dot development and testing. Interfaces that call these API methods will be JSP pages. These additional APIs and JSPs will reside on the dev/test system only. Following are a number of examples of same.

#### addDotTemplate

The addDotTemplate method is used to add a Dot template to the database of Dot templates. This method is used by third party Dot content providers or DoDots staff.

#### Arguments:

page 150 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- dpid (optional) A valid Dot provider id. This argument is used to associate the uploaded Dot template with a specific Dot provider. This argument is ignored if the user is not a DoDots staff member. If the user is a third party Dot provider, the user's associate dpid stored in the database is used instead.
- Dcid A valid Dot category id.
- Name The name of the Dot. The name must be unique for the given Dot provider.
- Desc The extended description of the Dot.

### Example:

#### Request:

http colon slash slash dots1 dot DoDots dot com slash DoDots slash AddDotTempalte question mark dpid equal 2 ampersand name equal scots percent 2dtest percent 2ddot ampersand dese equal The percent 2dfirst percent 2dbogus percent 2ddot percent 2dused percent 2dfor percent 2dtesting

#### Response:

Standard HTTP success with no response body.

#### Additional server APIs and Interfaces for DoDots Administrator

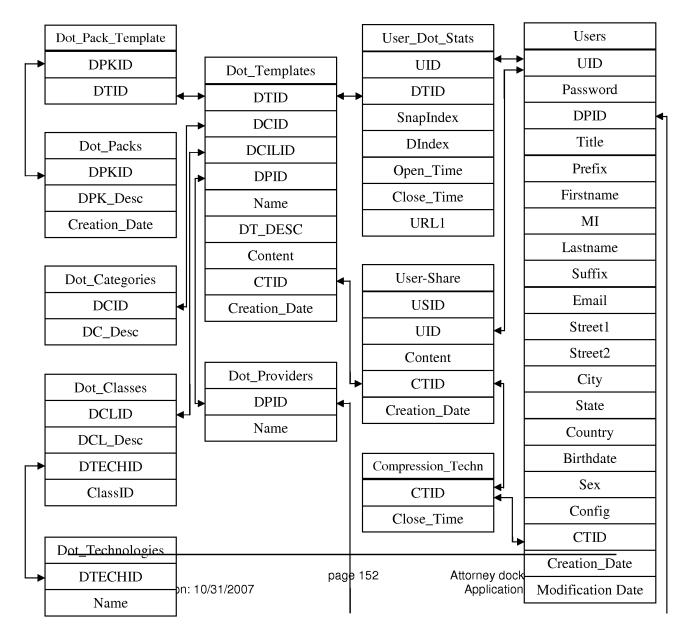
A set of administrative functions may be provided to a DoDots administrator for high level control of the system. The DoDots administrator will have access to the end user and Dot provider APIs as well as the admin APIs listed below. Generally, only the DoDots can make use of 100% of the server APIs. Interfaces that call these API methods will be JSP pages. These additional APIs and JSPs will reside on the dev/test system only. Due to the time to market constraints, in the first version of the system the interface and APIs will be the bare minimum required to allow for effective Dot system management. It is expected that more complex and complete functionality will be added to the system in subsequent releases (as loosely defined in the future sections of this document).

page 151 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

#### **Database Schema**

General notes on database schema and design: Usually, columns ending in "ID are unique id columns for a particular entity class. The row values for these columns may be auto generated by the RDBMS on insert. Primary key (PK) and foreign key (FK) constraints and uniques (non-key indices) are indicated below. Primary Keys may be ordered concatenated columns in some cases as indicated by the PK Pos designation.

## 9.1 Entity Relationship Diagram



## 9.2 Dot\_Pack\_templates

Column	Description	Datatype	Length	Allow	<del>Unique</del>	PK Pos	FK Table
Name				<del>nulls</del>			
<del>DPKID</del>	<del>Dot pack ID</del>	Smallint		No	No	1/2	Dot_pack
<del>DTID</del>	Dot Template	int		No	No	<del>2/2</del>	Dot_Templates

## 9.3 Dot\_Packs Table

Column	Description	Datatype	Length	Allow	<del>Unique</del>	PK Pos	FK Table
Name				<del>nulls</del>			
<del>DPKID</del>	Dot pack ID	<b>Smallint</b>		No	Yes	1/1	
DPK_Desc	<del>Dot Pack</del>	nvarchar		No	No		
	<del>description</del>						
Creation_Da	Sate of pack	datetime		No	No		
	<del>creation</del>						

## 9.4 **Dot\_Categories Table**

Column Name	Description	<del>Datatype</del>	-	Allow nulls	<del>Unique</del>	<del>PK</del> <del>Pos</del>	<del>FK Table</del>
	<del>Dot Category</del> <del>ID</del>	Smallint		No	Yes	1/1	
	Dot Category Description	Nvarchar		No	No		

# 9.5 Dot\_Classes Table

Column Name	<del>Description</del>	Datatype	Length	Allow nulls	<del>Unique</del>	PK Pos	<del>FK Table</del>
DCLID	Dot Class ID	Smallint		No	Yes	1/1	
DCL_Desc	Dot Class	Nvarchar		<del>No</del>	No		
	Description 1						

page 153 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

DTECHID	Technology description (ie. ActiveX, Java)	nehar	<del>No</del>	Yes	
ClassID	Class id. (tech specific, ie. A guid or Java class spec)	nvarchar	<del>No</del>	Yes	

# 9.6 Compresion \_Technologies

Column	Description	<del>Datatype</del>	Length	Allow	<del>Unique</del>	<del>PK</del>	<del>FK Table</del>
Name				<del>nulls</del>		Pos	
DTECHID	Compression	Smallint		<del>No</del>	Yes	1/1	
Name	Compression Technology Name	Nvarchar		No	Yes		

# 9.7 Dot\_Technologies

Column	Description	<del>Datatype</del>	<del>Length</del>	Allow	<del>Unique</del>	PK	FK Table
Name				<del>nulls</del>		<del>Pos</del>	
DTECHID	Dot Technology ID	Smallint		<del>No</del>	<del>Yes</del>	1/1	
Name	<del>Dot</del> <del>Technology</del> <del>Name</del>	Nvarchar		No	Yes		

## 9.8 Dot\_Template Table

<del>Column</del> <del>Name</del>	<del>Description</del>	<del>Datatype</del>	Length	Allow nulls	<del>Unique</del>	<del>PK</del> <del>Pos</del>	FK Table
DTID	<del>Dot Template</del> <del>ID</del>	int		No	Yes	1/1	
<del>DCID</del>	<del>Dot Category</del> I <del>D</del>	Smallint		No	No		Dot_categories
<del>DCILID</del>	<del>Dot Class ID</del>	Smallint		No	No		Dot_Classes
<del>DPID</del>	<del>DotProvider ID</del>	Smallint		No	No		Dot_Providers

Attorney docket: **IMS 07-05-06**Application of: Kembel et al. page 154

Name	Name of the	nvarchar	No	No		
	<del>Dot</del>					
DT_DESC	<del>Dot</del>	nvarchar	No	No		
	Description					
Content	Dot Template	<del>Varbinary</del>	No	No		
	Content					
Content_	Type of	Nvarchar	No	No		
Compression	compression					
CTID	the content is					
	stored in					

# 9.9 Dot\_Providers Table

<del>Column</del> Name	<del>Description</del>	<del>Datatype</del>	Length	<del>Allow</del> nulls	<del>Unique</del>	<del>PK</del> <del>Pos</del>	FK Table
<del>DPID</del>	<del>Dot provider</del> <del>ID</del>	Smallint		No	Yes	1/1	
Name	<del>Dot Provider</del> <del>Name</del>	Nvarchar		No	Yes		

## 9.10 Dot\_User\_Events Table

Column	Description	Datatype	Length	Allow	Unique	PK	FK Table
Name				Nulls		Pos	
DUID	UserlD	<del>Int</del>		No	No		Dot Users
<del>DEID</del>	Dot event ID	<del>Int</del>		No	No		Dot Events
<del>DTID</del>	Dot template	Int		Yes	No		Dot_Template s
<del>DPID</del>	<del>Dot Provider</del> <del>ID</del>	Int		Yes	No		Dot_Providers
Start_Time	Start Time info for Dot event in UTC/GMT time	Datetime		No	No		
End_Time	End Time info for Dot event in UTC/GMT time	<del>Datetime</del>		Yes	No		
<del>URL1</del>	URL info 1 for Dot event (fully qualified) for events that involve URLs	String		Yes	No		

page 155 Attorney docket: **IMS 07-05-06** 

Application of: Kembel et al.

## 9.11 Dot\_Events Table

Column	Description	Datatype	Length	Allow	Unique	<del>PK</del>	<del>FK Table</del>
<del>Name</del>				Nulls		Pos	
<del>DEID</del>	Dot Event ID	<del>Int</del>		No	Yes	1	
<del>DE_Name</del>	Dot Event	String -		No	Yes		
	<del>Name</del>						
DE_Desc	Dot Event	String		No	Yes		
	<del>Description</del>						

## 9.12 Dot\_User\_Shares Table

<del>Column</del> <del>Name</del>	<del>Description</del>	<del>Datatype</del>	Length	Allow Nulls	Unique	PK Pos	FK Table
DUSID	UserShare ID	<del>Int</del>		No	Yes	1/1	
<del>DUID</del>		<del>Int</del>		No	No		<del>Users</del>
DUS_Conte	The content of	<del>Varbinary</del>		No	No		
nt	the shared						
	item. This						
	could be a dot,						
	<del>Dot pack, or</del>						
	Snapshot						
<del>DCTID</del>	The type of	Number	9	No	No		
	compression						
	used to store to						
	<del>share</del>						
DUS_Creatio	<del>Date of</del>	<del>Datetime</del>		No	No		
<del>n_Date</del>	<del>creation of the</del>						
	<del>share</del>						
<del>DUS</del>		Datetime		No	No		
Download_							
<del>Date</del>							

## 9.13 Users Table

<del>Column</del> <del>Name</del>	Description	Datatype	Length	Allow Nulls	Unique	PK Pos	FK Table
Username	<del>User ID</del>	Nvarchar		No	Yes	1/1	
Password	<del>User's</del> <del>Password</del>	Nvarchar		No	No		

page 156 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

DDID	D-( D 11	C 11' /		37	NT -	D. ( D. 11
<del>DPID</del>	Dot Provider ID (only in dev/test and only if user is a Dot provider developer)			Yes	No	<del>Dot_Providers</del>
 <del>Title</del>		Nvarchar		Yes	No	
	Title, if					
Prefix	Prefix to name (i.e., Mr., Mrs., Dr., etc.)		10	Yes	No	
Firstname	First Name	Nvarchar	<del>25</del>	No	No	
MI	Middle Initial	Nvarchar	1	Yes	No	
Lastname	Last Name	<del>Nchar</del>	25	No	No	
Suffix	Name Suffix (i.e. Jr., Sr., III, PhD.)	Nehar	10	Yes	No	
<del>Email</del>	Email Address	Nvarchar		No	No	
Street1	First line of street address	Nvarchar		Yes	No	
Street2	Second line of street address	Nvarchar		Yes	No	
City	City	Nvarchar		Yes	No	
State	State	Nvarchar		Yes	No	
Country	Country	Nvarchar		Yes	No	
Birthdate	Birthdate	Datetime		Yes	No	
Sex	Sex (m, f, n)	Nchar		Yes	No	
Config	Last uploaded configuration dump	Nvarchar		No	No	
Config_Com pression	Compression format of the config content	Nehar	10	No	No	
Creation_Dat	Date of creation of the	<del>Datetime</del>		No	No	
Modification Date		Datetime		No	No	

page 157 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

### BACKGROUND OF THE INVENTION

- (03)A user operating a client computer typically accesses the Internet by using a viewer application, such as a browser to view web content provided at a destination address, typically a web page. In this context, web content and web applications are designed to fill the entire web page. It is known to divide the web content into different regions of a single web page. For example, personalized web pages can be specified, such that a user views a variety of content sources in a single page, such as stock information, weather information, and sports information, which is aggregated at the server that delivers the web page to the user, who then views the aggregated content in a single web page. Observe that even when disparate content is aggregated, in this manner, it is reassembled into a full web page and is served through a full-screen browser. Web content and application developers therefore have limited control over the user experience; content is typically trapped within the frame of the browser. A developer's only alternative to engaging a user page-by-page in a browser is to develop, distribute, and support custom client software. In the Web browser scenario, it is the content provider, not the user that aggregates the information that is viewed by the user. Thus, the user is not in a position to separately aggregate the content at a client computer, instead the user is constrained to view the content that has been delivered in the manner provided by the server computer hosting the web page. There is a growing desire for individual users to fully control the aggregation and presentation of content and web applications that appears on a client computer.
- (04) A user who wishes to view multiple web pages or applications can open multiple instances of a browser. However, the user will not be able to view each "full-screen" page at the same time. Instead, the user must adjust the windows corresponding to each browser

page 158 Attorney docket: **IMS 07-05-06** 

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

instance and view only part of each page. The information appearing in each browser is not designed for viewing in this manner. Thus, the user cannot create an optimized display of content from multiple sources.

- (05)Currently, content providers and end users have limited tools to alter the browser in which content appears. That is, the controls associated with a browser are not fully configurable. Thus, the vendor of a browser is in a position to brand the browser and regulate the controls associated with the browser. There is a growing desire for content providers to not only fill a browser with their content, but to also fully brand and control the frame in which the content appears. Further, in some instances, content providers desire to limit the controls associated with a browser or viewer, so that a user is more inclined to view a single set of content, for example, by having limited access to previously viewed content.
- At the present time, it is relatively difficult to trace the content viewing activity of a (06)client computer. In other words, it is difficult to identify the type of content that a particular user of a client computer favors. Consequently, there are limited tools 25 available to provide a user with tailored information that would be of particular interest to the user.
- (07)In view of the foregoing, there is a need in the art to provide a technique for accessing multiple instances of distributable computer readable media in their entirety simultaneously, where these instances are typically smaller than the full pages used in 30 current web pages and web applications. There is a further need for providing the user with flexibility in selecting, collecting, relating and viewing such computer readable media, and for giving the media provider flexibility in directing media to a specific user and controlling the framework in which media is presented. Finally, there is a need to gather more accurate

page 159 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

information regarding the type of content that a user enjoys, so that the user can be

automatically provided with this content.

SUMMARY OF THE INVENTION

(08)The invention includes a method of presenting distributable computer readable media

to a user in response to a user request. The method comprises the steps of identifying a

definition of a Networked Information Monitor (NIM). A NIM frame is defined for the

NIM using the definition. Content is then retrieved for the NIM. Then, the content is placed

in a NIM viewer defined by the frame.

(09)The invention also includes a method of altering a Networked Information Monitor

(NIM). The method includes the step of receiving a message at a NIM. The message

specifies a configurable feature of the NUM. The NIM is altered in accordance with the

configurable feature of the message.

(10)The apparatus of the invention includes a computer readable memory to direct a

computer to function in a specific manner. The computer readable memory includes a first

executable module to identify a definition of a Networked Information Monitor (NIM). A

second executable module defines a NIM frame for the NIM using the definition. A third

executable module retrieves content for the NIM. A fourth executable module places the

content in a NUM viewer defined by the frame.

(11)The apparatus of the invention further includes a computer readable memory with a first

executable module to receive a Networked Information Monitor (NIM) message. The NIM

message specifies a configurable feature of a NIM. A second executable module alters the

NIM in accordance with the configurable feature of the NIM message.

page 160

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

### BRIEF DESCRIPTION OF THE DRAWINGS

- (12) FIG. 1 illustrates a system for processing distributable computer readable media in accordance with one embodiment of the present invention;
- (13) FIG. 2 shows exemplary processing steps used to initiate an instance of a home networked information monitor (NIM) using the apparatus of FIG. 1;
- (14) FIG. 3A illustrates a screen logo in accordance with one embodiment of the present invention;
- (15) FIG. 3B illustrates a login construct in accordance with one embodiment of the present invention;
- (16) FIG. 4 illustrates a set of visual manifestations corresponding to a collection of NIMs, including a home NIM;
- (17) FIG. 5 illustrates a visual manifestation of a home NIM as well as a visual manifestation of a reference NIM that references additional NIMs;
- (18) FIG. 6 illustrates how a NIM, referenced by the reference NIM of FIG. 5, is added to a processed user profile in response to a designated keyboard entry sequence or mouse click;
- (19) FIG. 7 illustrates how a NIM is shared with other users in accordance with an embodiment of the present invention;
- (20) FIGS. 8A and 8B illustrate screen panels that facilitate the collection of the description of a set of designated NIMs into a pack;
- (21) FIG. 9A illustrates how the relative position of visual manifestations that correspond to NIMs remains fixed when the visual manifestations are within a predetermined distance of each other;

page 161 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

- (22) FIG. 9B illustrates a representative pack in accordance with the present 20 invention;
- (23) FIGS. 10A, 10B and 10C illustrate how a set of visual manifestations corresponding to a collection of NIMs is aligned against a boundary when a user selects the visual manifestations and pushes them against the boundary;
- (24) FIG. 11 is a flow chart of the steps taken by a client to give a user access to a NIM where the user "collects" the NIM, in accordance with one embodiment of the invention;
- (25) FIG. 12 is a flow chart of the steps taken by a client to present a NIM to a user, where the NIM has been "collected" previously by the user, in accordance with one embodiment of the invention;
- (26) FIG. 13 illustrates a data structure for a NIM definition, stored in the NIM application server's template database or user profile database;
- (27) FIG. 14 illustrates NMA message routing between NIMs and the message interface in the client parser application;
- (28) FIG. 15 is a diagrammatic illustration of an embodiment of a NIM Management

  Module utilized in accordance with an embodiment of the invention;
- (29) FIG. 16 is a diagrammatic illustration of an embodiment of the NIM Templates database utilized in accordance with an embodiment of the invention;
- (30) FIG. 17 is an illustration of a main NIMIndex Web page used in accordance with an embodiment of the invention;
- (31) FIG. 18 is an illustration of a single NIMIndex category used in accordance with an embodiment of the invention;
- (32) FIG. 19 is an illustration of a full description of NIM content provided in accordance with an embodiment of the invention;

Filed via EFS-Web on: 10/31/2007

page 162 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

- (33) FIG. 20 is an illustration of a Web page displayed to the user once the user has clicked to collect the NIM;
- (34) FIG. 21 is an illustration of the main home NIM graphical user interface used in accordance with an embodiment of the invention;
- in accordance with an embodiment of the invention;
- (36) FIG. 23 is an illustration of a "More NIMs" graphical user interface representative of an embodiment of the invention;
- (37) FIG. 24 is a diagrammatic illustration of the ShareLink database used in accordance with an embodiment of the invention;
- (38) FIG. 25 is an illustration of a Share NIM's graphical user interface according to an embodiment of the invention;
- (39) FIG. 26 is an illustration of the main DevZone Web page utilized in accordance with an embodiment of the invention;
- (40) FIG. 27 is a partial view of a NIM modification web page utilized in accordance with an embodiment of the invention;
- (41) FIGS. 28A to 28D are graphical user interfaces of development NIMs (DevNIMs) utilized in accordance with an embodiment of the invention;
- (42) FIGS. 29A and 29B are illustrations of Administrative Zone (AdminZone) Web pages utilized in accordance with an embodiment of the invention;
- (43) FIGS. 30A and 30B are also illustrations of Administrative Zone (AdminZone) Web pages utilized in accordance with an embodiment of the invention;

page 163 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

- (44) FIGS. 31A and 31B are further illustrations of Administrative Zone (AdminZone) Web pages utilized in accordance with an embodiment of the invention;
- (45) FIG. 32 illustrates an embodiment of an event log that may be used in accordance with an embodiment of the invention;
- (46) FIG. 33 illustrates the tracking of events in an event log module in accordance with an embodiment of the invention;
- (47) <u>FIG. 34 illustrates a statistics database that may be used in accordance with an embodiment of the invention;</u>
- (48) FIG. 35 illustrates a statistical analysis module and a content analysis module that may be used in accordance with an embodiment of the invention;
- (49) FIG. 36 illustrates a content database that may be used in accordance with an embodiment of the invention; and
- (50) FIG. 37 illustrates a user account database that may be used in accordance with an embodiment of the invention.

### **DETAILED DESCRIPTION OF THE INVENTION**

(51) The present invention discloses a technology that is capable of processing distributable computer readable media. Distributable computer readable media includes, but is not limited to, standard web content, such as HTML, dHTML, images, imbedded ActiveX and Java applications, JavaScript, CSS, Pen scripts, Streaming Media, and/or Flash. The present invention is advantageous relative to prior art systems and methods because it provides improved mechanisms for simultaneously interacting with several independent sources of distributable computer readable media, collecting references to such media, and

803

page 164 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

sharing such references with other users. The ac disclosed technology is further advantageous because it provides improved systems and methods for on screen management of distributable computer readable media.

- (52)In the system and method of the present invention, a user logs into a server by providing a login identifier to a login construct. The login identifier is used by the server to obtain an unprocessed user profile that corresponds to the user. The unprocessed user profile is processed by the server to generate a processed user profile. Advantageously, this processing step allows for up-to-date refinement of the user profile. Up-to-date refinements include, for example, the addition of advertisements directed to the user based on one or more characteristics in the user profile. The processed user profile is delivered to the client computer associated with the user. The processed user profile includes references to the networked information monitors (NIMs). As used herein, the term networked information monitor or NIM refers to a fully configurable frame with one or more controls; the frame through which content is optionally presented. The fully configurable frame utilized in accordance with the invention stands in contrast to present web browsers, which are branded by the browser vendor and which have limited means by which to alter the controls associated with the browser.
- (53)Attention is initially directed toward the home NIM of the invention, which coordinates the activities of all other NIMs that are accessed by a user. The home NIM facilitates much of the technology of the present invention, including the ability to simultaneously review multiple sources of distributable computer readable media as well as to package and distribute such media.

page 165 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- present invention. In FIG. 1, a network 10 is operated in accordance with the present invention. Network 10 includes at least one user or client computer 20, at least one server computer of class 50, and optionally one or more server computers of class 82. User computer 20 as well as server computers of class 20 50 and 82 are each connected by transmission channel 44, which is any wired or wireless transmission channel.
- (55)User computer 20 is any device that includes a Central Processing Unit (CPU) 24 connected to a random access memory 30, a network connection 28, and one or more user input/output ("i/o") devices 40 including output means 42. Output means 42 is any device capable of communicating with a user and includes, for example, a video monitor, a liquid crystal display, voice user interfaces, and/or integrated graphic means such as mini-displays present in web-phones. Typically, user computer 20 includes a main non-volatile storage unit 22, preferably a hard disk drive, for storing software and data. Further, user computer 20 includes one or more internal buses 26 for interconnecting the aforementioned elements. In a typical embodiment, memory 30 includes an operating system 32 for managing files and programs associated with user computer 20. In some embodiments, operating system 32 includes a registry 34 that has one or more references to specified locations in system 10. The exemplary memory 30 of FIG. 1 further includes a web browser 36 for viewing web content and a client parser application 38 for facilitating low level functionality, such as login and logout procedures, in accordance with the present invention. In some embodiments, client parser application 38 uses the one or more references in registry 34 to obtain a login construct from server 50. In various embodiments, in accordance with the present invention, client parser application 38 runs in

page 166 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

conjunction with one or more software modules, such as an event log module 98, which tracks user activity, a message interface module 106, which serves as a communication interface between the client parser application 38 and web server 58 and/or external web servers, a home NIM 108, which references one or more NIMs 110, and a visual management system 114 which regulates the characteristics of visual manifestations of NIMs 108 and 110 when displayed on output device 42. Furthermore, in some embodiments, client parser application 38 runs in conjunction with instances of web browser 36 as well as web server 58 as detailed below.

- (56) Server computer 50 includes standard server components, including a network connection device 46, a CPU 52, a main non-volatile storage unit 54, and a random access memory 56. Further, server computer 50 includes one or more internal buses 48 for interconnecting the aforementioned elements. Memory 56 stores a set of computer programs, modules and data to implement the processing associated with the present invention.
- The embodiment of memory 56 illustrated in FIG. 1 includes a web server 58 for processing requests received from client computer 20. Web server 58 has many components, including a variety of modules and data structures to assist users that want to log into system 10. Namely, login module 60 handles an entry request from a client computer 20 and accepts a login identifier that corresponds to a user from client computer 20. Login constructor 62 generates a login construct in response to a call for a login construct and transfers the login construct to client 20. Login constructor 62 dynamically generates login constructs using updated login NIM content 64. Login validation module 66 works in conjunction with user profile database manager 100 to identify an unprocessed

page 167 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

user profile, which is associated with a user provided login, in user profile database 76. If an unprocessed user profile corresponding to the user provided login does not exist in user profile database 76, login validation module 66 associates a new unprocessed user profile with the login identifier.

- (58) When an unprocessed user profile is identified by login validation module 66, it is processed by user profile processor module 68 to produce a processed profile. It will be appreciated that the services of user profile processor module 68 are highly advantageous because they allow for last minute user profile revisions. Such revisions include, for example, the addition or customization of NIMs referenced by the user profile, and/or server redirect information that is a function of current server load in system 10.

  Importantly, the processed user profile includes a reference to a home NIM. The home NIM is capable of accessing each of the NIMs that are represented in the processed user profile. Memory 56 further includes delivery module 70, which coordinates the delivery of portions of the home NIM to the client based on parameters specified in the processed user profile.
- once a user has successfully logged into system 10, request server module 72 handles requests for specified NIMs from client 20. When such a request is received, request server module 72 routes the request to an address that corresponds to the specified NIM and transmits the specified NIM to client 20. One class of specified networked information handled by request server module 72 is requests for NIMs. When such a request is received, request server module 72 searches NIM templates database 74 for the specified NIM. NIM templates database 74 includes a large number of NIM templates. Each NIM template defines the characteristics of a specific NIM, including fully configurable frame characteristics, viewer and control characteristics, and NIM content references.

page 168 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

(60)The web server 58 illustrated in FIG. 1 further includes additional modules 102 to handle specialized features of the present invention. For example, one embodiment of the present invention provides a mechanism that allows users to distribute NIMs to each other. In such embodiments, a special server module 102 provides instructions for storing the NIMs, which are to be distributed, in sharelink database 78. Advantageously, NIMs that are distributed to other users are customizable. A user can, for example, resize and position a particular NIM prior to sharing it with another user. Indeed, it is possible, in such embodiments, for a user to arrange a series of NIMs in a unique arrangement and then distribute the collection of NIMs in the designated NIMs in the designed arrangement. As an illustration, a user arranges a first NIM that represents a scrolling stock ticker at the bottom of an output means, such as a computer screen, a second NIM that tracks the NASDAQ top ten most heavily traded stocks in the upper left corner of the output means, and a third NIM that tracks headline news on the upper right hand corner of the output means. Then, the user distributes the three NIMs in this customized arrangement to other users. Observe that in this example a user of a client computer is aggregating separate sets of information in different NIMs. This stands in contrast to prior art approaches where a web server running on a server computer aggregates information in a single page.

- (61) System 10 is highly scalable and thus supports a large number of users. This scalability stems from the fact that the server 50 is delivering the definition associated with a NIM.
  The content displayed in the NIM may be located on a separate computer.
- (62) Memory 56 provides a statistical analysis module 104 for tracking key events
  associated with users. This information is stored in statistics database 80. The information
  collected by statistical analysis module 104 is used for a wide variety of purposes,

page 169 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

including server load optimization and directed advertising, as discussed below. As

described below, the statistical information gathered in accordance with the invention

includes fully traced events defining the type of content and the duration over which all

content is viewed by a user. This type of comprehensive information is not available using

present techniques.

(63)Much of the distributable computer readable media that is available for processing is

stored as content elements 94 on server 82. Server 82 is a standard web server that includes

components such as a network connection device 88, a CPU 86, a main non-volatile storage

unit 84, a random access memory (RAM) 92, and one or more internal buses 90 for

interconnecting the aforementioned elements. RAM 92 includes some of the content

elements 94 stored by server 82. Other content elements 94 are stored in storage unit 84. In

some embodiments, a single web server 58 is capable of directly accessing content

elements 94 located on one or more servers 82. In other embodiments, each server 82 has a

resident web server module that works in conjunction with server 50 to identify, optionally

dynamically generate, and serve content elements 94 upon demand.

Now that general architecture of a system in ac dance with the present invention has (64)

been disclosed, attention turns to FIG. 2, which discloses a method for logging into system

10 (FIG. 1). In the first processing step shown in FIG. 2 (202), a user initiates a session on

system 10 by requesting the global login script "session\_config." It will be appreciated that

the term "session\_config" merely provides an illustrative name for the global login script

and that the technology of the present invention is by no means limited to this name or the

script described.

page 170

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

809

is processed by login module 60 of web server 58. Upon receiving request 202, login module 60 creates a "session\_config" global login script (204). Processing step 204 is advantageous relative to systems that have static global login scripts because it allows for the incorporation of highly variable information. This highly variable information includes, for example, system settings such as up-to-date server redirect information, server content address changes, directed advertisements, and messages. An exemplary "session\_config" is found in Example 1 below. Each line of data has an associated numeral. The remaining text in the example describes the purpose of select data.

- (66) <u>EXAMPLE 1</u>
- (67) Version tag that identifies the latest home NIM version
  - (1) </SESSION\_CONFIG VERSION="alpha:3"
- (68) Upgrade event that is sourced when home NIM version is outdated
  - (2) <UPGRADE=http://www.NIM.com/QuickOpen.exe>
- (69) LOCATION OF SERVER-SUPPORTED FUNCTIONALITY Default base address for server supported functionality
  - (3) <METHODS BASEURL=http://neo.NIM.com/servlet/NIMServer/>
  - (4) <ADD\_USER URL="addUser/>
  - (5) <GET\_USER URL="getUser"/>
  - (6) <SET\_USER URL="setUser/>

page 171 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

(7) < GET_SES_CONFIG URL=http://www.NIM.com/home_NIM/s_cnfg.xml"/>
(70) <u>Server-based functionality for setting password</u>
(8) <set_password url="setPassword"></set_password>
(71) List of all publically available NIMs
(9) <get_master_nim_list url="getMasterNIMList"></get_master_nim_list>
(10) CET ALL CONFICURI # (AUC. C. #/
(10) <get_all_config url="getAllConfig"></get_all_config>
(11) <set_all_config setallstats"="" url="setAllConfig/&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;(12) &lt;SET_ALL_STATS URL="></set_all_config>
(13) < GET_NIM_TEMPLATE URL="get_NIM_Template"/>
(72) <u>Location of server-side NIM and pack sharing functionality</u>
(14) <add_share url="addShare"></add_share>
(15) <get_share url="getShare"></get_share>
(16) <auth_test url="DOeCHO?AUTH=TRUE"></auth_test>
(73) Redirect information
(17) <do_redir url="doRedir"></do_redir>

page 172 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

# (18) </METHODS> (74) Flexible content layer that defines default NIM frame appearance, including the default appearance of the frame of a home NIM (19) < FRAMES > (75) <u>Default NIM frame appearance</u> (20) < NIM >(21) < IMAGES BASEURL= http://www.NIM.com/home\_NIM/NIM\_FrameImages/> (22) </NIM>(76) Default home NIM frame appearance (23) **<HOME\_NIM>** (24) < IMAGES BASEURL= http://www.NIM.com/home\_NIMImages/> (25) </HOME\_NIM> (26) </FRAMES>

Filed via EFS-Web on: 10/31/2007

page 173

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

(77) Location of system NIM templates

(27) <NIMs>

(28) <ADD TEMPLATE="http://www.NIM.com/.../add\_NIM\_XML.xml"/>

(29) < HELP TEMPLATE="http://www.NIM.com / . . . /help\_NIM\_XML.xml"/

(30) <LOGIN TEMPLATE="http://www.NIM.com/.../login2.xml"/

(31) </NIMs>

(32) </SESSION\_CONFIG>

Line 1 of the exemplary "session\_config" of Example 1 provides the version tag for the expected version of the home dot system that corresponds to the "session\_config" script. In one embodiment, client parser application 38 determines whether it is up-to-date using the information in line 1. If client parser application 38 determines that it is outdated, an upgrade request is made in accordance with the instructions provided by the UPGRADE flag of line 2. In one embodiment, the UPGRADE flag in line 2 of Example 1 describes the location of an executable program, one of skill in the art will appreciate that this flag can in fact reference any form of instruction, including a flat file, a web page, a script, a symbol, or an address.

(79) <u>Lines 3 through 18 in Example 1 define the functionality that is provided by a server,</u> such as server 50. For example, line 8 of Example 1 provides the location of a set of

page 174

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

instructions that are called when a user requests a password change. Furthermore, line 9 of Example 1 provides the location of master list of NIMs that are publically available. Lines 14 and 15 of Example 1 provide the location of specialized server-side functionality that allows users to share data such as NIM definitions.

- home NIM are found within system 10. Lines 27 through 31 define a collection of system NIMs. A system NIM is any type of NIM that is to be distributed to each user of system 10. In some embodiments, system NIMs are used to provide a core functionality. In Example 1, line 28 defines a NIM that provides users with a convenient mechanism for collecting additional NIMs. Line 29 defines the location of a NIM that is invoked when the user presses a help button associated with a home NIM. Finally, line 30 defines the location of a NIM that is used to log into system 10.
- (81) Returning to FIG. 2, once login module 60 has created "session\_config," it is sent back to requesting client 20 (206). When a "session\_config" is received by client 20, client parser application 38 parses the global login script in order to identify a reference to a login constructor 62 (208). Login constructor 62 is a server-based module that generates a construct that allows a user to log into system 10. When client parser application 38 locates the reference to login constructor 62 in "session\_config," a request for a login construct is directed to the identified reference (210). In Example 1 above, the reference to the login construct is provided in line 30. On line 30, the global variable "LOGIN TEMPLATE" is assigned the URL address "http://www.NIM.com . . . /login2.xml." Client parser application uses the URL assigned to the global variable "LOGIN TEMPLATE" to make a

page 175 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

request for a login constructor 62 that is directed to this URL. When login constructor 62 receives a request for a login construct, it generates a login construct (212).

- (82)Login construct 148 (FIG. 3B) illustrates a type of login construct that is generated in one embodiment of the present invention during processing step 212. Before the login construct is executed on client 20, a schematic such as logo 146 (FIG. 3A) is displayed on output means 42. As illustrated in FIG. 1, login constructor 62 is a component of web server 58. However, there is no requirement that login constructor 62 be a component of web server 58. In fact, login constructor 62 is a standalone software program in some embodiments of the present invention whereas in other embodiments, login constructor 62 is merely a script, such as a PERL script, that is processed by an interpreter program native to server 50. In still other embodiments, login constructor 62 is merely a simple flat file that includes a set of instructions that are interpretable by client parser application 38. In such embodiments, login constructor 62 is the login construct. In embodiments in which a login construct is dynamically generated, it is possible to introduce last minute changes in the login construct. Thus, an advantage of the exemplary login process shown in FIG. 2 is that there are multiple stages in which updated information is used to customize the login process based on the environmental variables.
- (83) Once a login construct has been prepared by login constructor 62, it is transferred back to client 20 (214) (FIG. 2) and executed in conjunction with client parser application 38 (216). The login constructs of the present invention are a form of NIM. Therefore, one function of processing step 216 is to obtain the login NIM content 64 (FIG. 1) specified by the login construct from server 64. In login construct 148, for example, the login NIM content includes the shape and functionality of "Exit button 160," message 150, the shape

page 176 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

and functionality of "New user" button 152, the functionality of "Forgot it?" button 154, and login panel 156. When processing step 216 is completed, the user uses the login construct to provide a login identifier (218).

- (84) In FIG. 3B, a user has provided the login identifier "Galliani." The definition of login identifier as used in the present invention is to be broadly construed. In some embodiments, login identifiers include a unique name and a corresponding password. In other embodiments, a login identifier does not have a password. This is particularly the case when the user is a guest or a new user and there is no user profile associated with the user.
- (85)Working in conjunction with client parser application 38, the login construct accepts the user login and sends it to server 50 for validation (218). As illustrated in the exemplary system of FIG. 1, web server 58 includes a login validation module 66 to verify the login identifier provided by user (220). Typically, processing step 220 involves a look-up operation in which the login identifier is used to query user profile database 76 for an unprocessed or raw user profile that matches the login identifier. In embodiments that include a password, validation step 220 includes a password verification step. Successful completion of processing step requires entry of a valid login identifier sequence in processing step 218. When processing step 220 has been successfully completed, the raw or unprocessed user profile corresponding to the login identifier is obtained from user profile database 76 (FIG. 1) (222) and is processed by user profile process module 68 to produce a processed or finalized user profile that is delivered to client 26 (226). In sonic embodiments, a user profile 76 includes user contact information, such as the name, address, telephone number and email address of a user. Additionally, some embodiments of system 10 provide different types of access privileges. For example one embodiment of the

page 177 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

present invention includes developer access privileges, administration access privilege, and general user access privileges. In such embodiments, the access privileges that have been granted to a user are stored in the user profile 76 associated with the user.

(86)The processed user profile includes a reference to each NIM in system 10 that is associated with the login identifier provided in processing step 216. One of the NIMs referenced by the processed user profile is the home NIM that corresponds to the login identifier provided in processing step 216. When executed in conjunction with client parser application 38 in processing step 226, the home NIM provides a mechanism for accessing each of the NIMs referenced by the processed user profile. Like the login construct, the home NIM includes several components, including pull down menus and screen manipulation functionality. The reference to the home NIM in the processed user profile includes the system 10 address of each of these components. Therefore, in one embodiment, construction of the home NIM in processing step 226 involves one or more requests to server 50 and/or server 82 for content (228) that is then rendered (230) in accordance with the home NIM description provided in the processed user profile. In some embodiments, the home NIM is distinct from other NIMs in the sense that a large proportion of the home NIM in such embodiments is pre-compiled. Such embodiments are advantageous because some of the functionality provided by the home NIM requires substantial client 30 processing resources. Therefore, to minimize such processing resource requirements, many aspects of the home NIM are pre-compiled in some embodiments. In other embodiments, however, the home NIM has a structure that is substantially the same as a regular NIM. In such embodiments, simple script commands are used to identify the NIM as a home NIM.

page 178 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- Upon completion of processing step 230, the user is granted access to all of the technologies of the present invention, including the ability to view multiple NIMs simultaneously, collect new NIMs, customize NIMs, and share customized NIMs with other users. An exemplary processed user profile is provided in Example 2. Once again, each line of data is identified with a numeral, while the remaining text in the example describes select data. In some embodiments, the user is granted specific privileges and the extent to which the user is granted access to system 10 is regulated by the types of privileges that have been granted to the user.
- (88) <u>EXAMPLE 2</u>
  - (1) SAMPLE PROCESSED USER PROFILE
  - (2) <ALL CONFIG>
- (89) <u>NIMs AND PACKS THAT CORRESPOND TO THE USER</u>
  - (3) USER
- (90) NIM definition 1

Filed via EFS-Web on: 10/31/2007

- (4) <NIM DOMAIN="ZDNet" GLOBALID="1" KND="news"
- (5) <FRAME BACKGROUNDCOLOR=#FFFF00" COLLAPSED="FALSE"
- (6) FIXHEIGHT="TRUE" FIXWIDTH="TRUE" NAME="ZDNet Breaking News"

818

(7) PIXELHEIGHT="275" PIXELWIDTH="235" X="RIGHT" Y="TOP">

page 179 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

(8) <title color='#000000"' justify="RIGHT" text=" "></title>
(9) <titlebarimage down="http://www.NIM.com//feed/titlebar.gif&lt;/td"></titlebarimage>
(10) HOVER=URL address to a first GIF file <pre><pre></pre></pre>
(11) INACTIVE=URL address to a second GIF file <pre><pre></pre></pre>
(12) NORMAL=URL address to a third GIF file <param 1=""/> <param 2=""/>
(13) <bottombarimage down="&lt;/td"></bottombarimage>
http://www.NIM.com / /feed/bottombar.gif
(15) HOVER=URL address to a fourth GIF file <param 1=""/> <param 2=""/>
(16) INACTIVE=URL address to a fifth GIF file <param 1=""/> <param 2=""/>
(17) NORMAL=URL address to a sixth GIF file <param 1=""/> <param 2=""/>
(18)
(19) <menu></menu>

819

Filed via EFS-Web on: 10/31/2007

page 180

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

(20) <control_layout <="" height="1" heightscales="TRUE" th="" width="1"></control_layout>
(21) WIDTHSCALES="TRUE"> < CONTROL CLASS="Browser" HEIGHT="1"
(22) ID="1" KIND="A" LEFT="0" TOP="0"
(23) URL=http://www.mandala.com/cgl/zdnet/zdfeedl.cgi WIDTH="1"/>
(24)
(25) <categories></categories>
(26) <events></events>
(91) NIM definition 2  (28) <nim 2="" domain="" globalid="`2`&lt;/td"></nim>
(92) NIM definition N  (30) NIM DOMAIN=NIM DOMAIN 2 GLOBALID=`N`

page 181 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

(31)
(93) Pack definition 1
(32) <preset title="New DotPack"></preset>
(33) <nim globalid="1" x="RIGHT" y="TOP"></nim>
(34) <nim globalid="2" x="RIGHT" y="320"></nim>
(35)
(36)
(94) <u>Last state of the home NIM</u>
(37) < LASTSTATE >
(38) <preset title=" "></preset>
(39) <nim globalid="1" x="RIGHT" y="TOP"></nim>
(40) <nim globalid="2" x="RIGHT" y="280"></nim>
(41)

page 182 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

(42) <HOMENIM COLLAPSED="FALSE" HEIGHT="134" X=616" Y="109"/>

(43) </LASTSTATE>

(44) </ALL\_CONFIG>

(95)

present invention. In general, a processed user profile includes three major components: (i) a definition of each NIM associated with the user, (ii) a description of each pack associated with the user and, (iii) the last state of each home NIM associated with a user. In Example 2, the definition of each NIM associated with the user is found on lines 4 through 31.

Specifically, lines 4 through 31 describe NIM definitions I through N. In Example 2 there is only one pack associated with the user. This pack, entitled "New DotPack," is found on lines 32 through 35 of Example 2. The final major component of the processed user profile

Example 2 describes a representative processed user profile in accordance with the

43. This code stores the last state of the home NIM. Such last state information includes

found in Example 2 is the last state of the home NIM, which is defined on lines 37 through

45. This code stores the last state of the nome 191191. Such last state information includes

whether the home NIM was collapsed, and the position of the home NIM on the screen.

(96) When the user wishes to log out of system 10, the processed user profile is transferred

from client 20 to server 50. When web server 58 receives the processed user profile, it

passes the processed user profile to user profile database manager 100. User profile

database manager 100 stores the processed user profile as the unprocessed user profile 76

corresponding to the user. In some embodiments, such a storage operation involves a

conversion process. For example, advertisements or specific system NIM definitions are

stripped from the processed user profile in order to convert the processed user profile to the

page 183 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

unprocessed user profile 76 that corresponds to the user. In some embodiments, the processed user profile is periodically transferred, in its entirety or incrementally, from client 20 to server 50 and saved in the manner described in the log out procedure above.

Such timed periodic or event based backup procedures are possible because NIM definitions are efficiently described, thus the absolute size of a processed user profile remains relatively small. Accordingly, timed backups of a processed user profile to user profile database 76 are possible without extensive use of system 10 bandwidth or server 50 resources.

- (97) At this stage, a system (FIG. 1) and a login procedure (FIG. 2) in accordance with the present invention has been disclosed. Although the system and login procedure was discussed using an example where only one home NIM was associated with a user, it will be appreciated that, in some embodiments, any number of distinctly different home NIMs are associated with a user. Furthermore, a user can simultaneously execute multiple instances of a particular home NIM on client 20 or, indeed, any number of different home NIMs. In one embodiment, a developer or merchant provides a user with a highly customized home NIM that provides specialized functionality. In such embodiments, the user collects the home NIMs and, therefore, a processed user profile includes a description of more than one home NIM.
- (98) Attention now turns to some of the advantages and features of the present invention. In FIG. 4, a visual manifestation of the home NIM 162 is illustrated. One advantage of the home NIM, which is an advantage that is common to NIMs in general, is that the content of the NIM is not trapped in a third party viewer. In fact, the home NIM definition regulates the actual appearance of the home NIM. The home NIM definition is formed by general

page 184 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

parameters and commands found in "session\_config" as well as customized parameters and commands in the processed user profile. The division of the home NIM definition between a system level file and a user level file represents a balance in the tension between the need for a system 10 host to insure a consistent level of quality, through the proper implementation of general parameters and commands, and the desire of each user to create highly customized home NIMs. Lines 20 through 22 of Example 1 provide an example of general parameters that are defined in "session\_config." Lines 23 through 25 define the source location of home NIM frame images. In home NIM 162 (FIG. 4), such home NIM frame images include the image used to represent buttons 164 through 174, and menu tabs 1 d 178. Furthermore, lines 20 through 22 of Example 1 define the location of other images that are used to construct default NIMs. An example of user initiated home NIM customization is found in lines 33 through 39 of Example 2, which define a "LASTSTATE" definition for the home NIM, including the dimensions of the visual manifestation corresponding to the home NIM on line 38 (HEIGHT="134" X="616" Y="109") and indicates that the home NM is not collapsed upon startup (COLLAPSED="FALSE").

of a home NIM in accordance with the present invention. When a user selects tab 176, a list of the NIMs that are present in the processed user profile associated with the user is displayed in viewer 180. As disclosed in more detail below, a user has the option to associate a collection of NIMs into an object termed a "pack". The pack references some subset of the NIMs associated with a user as well as associated state information. This arrangement includes, for example, whether a visual manifestation corresponding to each MM is displayed on output means 42 or not, the dimensions of each visual manifestation,

page 185 Attorney docket: **IMS 07-05-06** 

Application of: Kembel et al.

and the position of each visual manifestation. The name of each pack is stored in the processed user profile. A user reviews packs associated with the user by selecting tab 178 (FIG. 4). In FIG. 4, the user only has one pack, "Customized DotPack" 182. When the user selects pack 182, each NIM in the pack is restored in accordance with the state information stored in the pack definition.

- (100) In total, FIG. 4 represents a typical visual experience provided by one embodiment of the present invention. In addition to home NIM 162, visual manifestations 184 and 186, corresponding to two additional NIMs in the processed user profile, are displayed. Visual manifestation 184 provides functionality that allows a user to manage an address book, schedule appointments, or create groups and plan activities. Visual manifestation 186 represents a NIM that provides time and date information.
- (101) FIG. 5 shows the visual manifestation of home NIM 162 of FIG. 4 with tab 176 selected. Accordingly, each of the NIMs in the processed user profile associated with the user is listed in list 188. The user can activate any of the listed NIMs by clicking on the NIM name. In addition to the NIMs in list 188, home NIM 162 includes core NIMs that are defined in "session config." In the "session config" of Example 1, cores are found on lines 28 and 29. Specifically, line 28 provides the address of an XML-based definition for the add template functionality associated with button 172 in FIGS. 4 and 5, and line 29 provides the address of an XML-based definition for the help template functionality associated with button 174 in FIGS. 4 and 5.
- (102) Importantly, the user can categorize NIMs using filter 190. Categories include such topics as sports, personal, weather, etc. Furthermore, the user can add NIMs to the processed user profile associated with the user as well as delete NIMs. There are a variety

page 186 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

of mechanisms that enable a user to add a NIM to the processed user profile. One mechanism is to receive links to NIMs from other users of system 10 (FIG. 1), as disclosed below. Another mechanism is to toggle button 172 in order to activate a visual manifestation associated with NIM 192 (FIG. 5).

- (103) NIM 192 provides a system that enables users to add select NIMs to their user profile with a single click or keystroke sequence. NIM 192 includes tab 194 that allows the user to select premiere NIMs and a general tab 196 that allows the user to review a general catalog of NIMs that is present in NIM templates database 74 (FIG. 1). In one embodiment, when a user selects a NIM in list 198 (FIG. 5), the NIM is added to list 188 and is incorporated into the processed user profile associated with the user. In this way, the user can collect NIMs of interest to the user using a single mouse click. By illustration, consider the case in which a user selects the NIM "AnyDay Calender" in list 198. In response to this selection, a definition of the NIM "AnyDay Calender" is obtained from NIM templates database 74 and is copied directly into the processed user profile associated with the user. Furthermore, the title of the selected NIM, "AnyDay Calender" is added to list 188. Finally, a visual manifestation that corresponds to the NIM "AnyDay Calender" is displayed on output means 42 (FIG. 1). As a result, the display illustrated in FIG. 5 adopts the appearance illustrated in FIG. 6.
- (104) In FIG. 6, the NIM "AnyDay Calendar" appears at the top of list 188. Furthermore, a control 101 associated with the NIM "AnyDay Calendar" in list 188 is filled, indicating that the NIM is currently active. Additionally, as illustrated in FIG. 6, a visual manifestation 103 corresponding to NIM "AnyDay Calendar" appears on the output means. The user has the ability to toggle this NIM between an inactive and active state by selecting control 101.

page 187 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

(105) In one embodiment, the user is provided with the option of (i) incorporating a NIM selected in list 198 into the processed user profile or (ii) transiently executing the NIM on client 20. Furthermore, when the user receives NIMs from other users, the user has the option to transiently operate the received NIMs on client 20. If the user decides to keep the transient NIMs at a later date, the user has the option to add the transient NIMs to the processed user profile at that time. Thus, in such embodiments, the user effectively has the option to "preview" NIMs before adding them to the processed user profile. This is advantageous because it reduces the chances of filling the user profile with undesirable NIMs. Such a feature is particularly advantageous in the case of novice or inexperienced users of system 10. Furthermore, one of skill in the art will appreciate that the concept of transient NIM execution raises the possibility of executing NIMs on a client 20 during a period of time in which the user is not logged into system 10. For example, consider a NIM that is executed on a client 20 after a user initiated response to a web page advertisement presented in web browser 36. Although the user is not logged into web server 58 and therefore does not have a processed user profile resident on client 20, the user can execute the NIM on client 20 on a transient basis. Furthermore, if the user wishes to add the transiently executed NIM to the user profile 76 associated with the user, the user can log into web server 58 and then add the NIM to the processed user profile that is delivered to client 20 as a function of the log in process.

(106) Another important feature of the present invention is the ability for users to share NIMs with each other. For example, if a user wishes to share the NIM "AnyDay Calendar" that was added to list 188 in FIG. 6, the user clicks "share" button 170 (FIG. 6). In response, panel 105 is displayed (FIG. 7). Because "share" button 170 is pressed while tab 176 is

page 188 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

active in the illustration provided by FIGS. 6 and 7, panel 105 lists each of the NIMs associated with the user. If, however, "share" button 170 is pressed while tab 178 is active rather than tab 176, panel 105 will list each of the packs associated with the user instead of each of the NIMs. Returning to the situation illustrated in FIG. 7, the user shares a NIM with other users by selecting the NIM to be shared from list 107 and then toggling button 109 "Share via email." In one embodiment, the user has the option to select multiple NIMs from list 107 using predefined keystroke operations. For example, in one embodiment, the user selects multiple NIMs by clicking on several of the NIMs in list 107 with a mouse button while depressing the "shift" button on the keyboard. When a user decides not to share a NIM and panel 105 is displayed, the user presses cancel button 111 and panel 105 is displayed, the user presses cancel button 111 and panel 105 is dismissed.

(107) When a user toggles "share via email" button 109 at a time when one or more NIMs in list 107 have been selected, the definition of each selected NIM is copied from the processed user profile associated with the user into a container and the container is sent to server 50 (FIG. 1). In the embodiment shown in FIG. 1, the container is received by web server 58. Web server 58 includes instructions for routing the container to sharelink database 78 where the container is stored. When the container is stored, a unique identifier is assigned to the container. Although a large number of different mechanisms for generating a unique identifier are practiced in accordance with this aspect of the invention, in one embodiment, the unique identifier assigned to the container upon storage in sharelink database 78 can be subsequently processed to form a URL address that specifically references the container within the context of system 10. In one embodiment, after a unique identifier has been assigned to the container, an e-mail program is launched

page 189 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

on client 20 and the user is requested to designate the recipients of the designated NIMs.

Then, each recipient is provided with the unique identifier associated with the container in an e-mail message. When the recipient clicks on the unique identifier, a call is made for a copy of the associated container from sharelink database 78 and the container is delivered to the client 20 associated with the recipient.

- (108) As is readily apparent upon review of FIG. 7, the user has the option to size and position the visual manifestation that corresponds to each NIM. Furthermore, by toggling controls, such as toggle button 101 (FIG. 7), the visual manifestation of a NIM is toggled between an on state and an off state. Such functionality is highly advantageous. First, by using this functionality, the user has the option to create unique arrangements. Second, NIM developers have the ability to control the default position and size of NIMs as well, and can therefore produce an arrangement of NIMs to further specialized purposes. Finally, because the NIMs of the present invention are not trapped in third party applications that have a set of undesirable features such banner ads, the utility and overall appearance of an arrangement of NIMs is enhanced and adopts an independent value. Using the technology disclosed in the present invention, the user collects an assortment of NIMs and arranges them in a customized fashion. The user has the option to "capture" favored arrangements into constructs known as packs, which have been briefly discussed previously.
- (109) FIGS. 8 and 9A illustrate the formation of a pack using the arrangement of NIMs illustrated in FIG. 4. The process begins when the user toggles button 164 "Make Pack" in FIG. 4. In the embodiment illustrated by FIGS. 8 and 9A, panel 113 (FIG. 8) is displayed when the user toggles button 164 (FIG. 4). Panel 113 advises the user to open and arrange each of the NIMs that are to be included in a pack. In the case of FIG. 4, for example, such

page 190

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

an arrangement could include the arrangement of NIMs 184 and 186. The user indicates that specified NIMs are in a desired arrangement by selecting button 115 "Next" (FIG. 8). When button 115 is toggled, prompt 113 is terminated and prompt 117 is displayed to prompt the user for a name to associate with the designated pack. The user indicates that a name 119 has been provided for the pack by selecting "Done" button 121.

- 113 and rearrange the specified NIMs before committing to pack creation by selecting the "Back" button 123. In the situation illustrated in FIG. 8, the user has provided the name "New DotPack." FIG. 9A illustrates the state of the visual manifestation corresponding to home NIM 162 after the user has selected "Done" button 121 (FIG. 8). Specifically, the name "New DotPack" is added to pack list 125 and tab 178 is activated to display the user pack list rather than the user NIM list that is displayed when tab 176 is activated.

  Furthermore, in response to the user selection of "Done" button 121 in FIG. 8, a reference to each NIM specified by the user is collected into a pack, along with some state information, and the pack is stored in the processed user profile associated with the user. Representative state information for each NIM stored in a pack includes whether the NIM was collapsed and the position of the NIM. In some embodiments, the state information includes the dimensions of the last visual manifestation corresponding to the NIM to have been displayed on output means 42.
- (111) FIG. 9 illustrates pack 139, which is delineated with a dashed box. Pack 139 includes five NIMs 133. Each NIM 133 includes two primary components, a viewer 135 for viewing content and a frame 137 for providing user functionality. Each viewer 135 provides a platform for reviewing machine readable information, such as HTML, dHTML, images,

page 191 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007 Application of: Ke

imbedded ActiveX and Java applications, JavaScript, CSS, Streaming Media, and/or Flash. Furthermore, in some embodiments, viewer 135 provides functionality for processing digitally recorded sound. Observe then that each NIM of the invention utilizes machine readable information that is easily retrieved from a specified address. If the content provider of this information desires to change the machine readable information, changes can be made and then delivered the next time that the machine readable information is addressed. This stands in contrast to prior art techniques in which updates to executable code can be relatively difficult to distribute.

manifestation of the NIM 133 corresponding to the frame. For example, some frames 137 include a dismiss button 141. When the user clicks on dismiss button 141, the corresponding NIM 133 is dismissed. Additionally, some frames 137 include a menu button 143. When the user clicks on button 143, a menu appears. In one embodiment, the menu is a pull down menu and the menu items are defined in the corresponding NIM definition. In an abstract example, the NIM definition provides a menu definition of the format:

Menu 143-(I)-"Get more NIMs" URL

- (113) where (I) refers to the N.sup.th element of the menu that is activated when menu button

  143 is pressed, "Get more NIMs" is the menu element name, and URL is the event or web

  address that is called when the user selects the N.sup.th element of the menu associated

  with button 143.
- (114) The developer has full control over all aspects of the appearance and functionality of NIM frame 137. Thus, a NIM developer has the ability to control, for example, the

page 192 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

functionality located at any corner of frame 137, functionality placed along the top or

bottom of the frame, or on the sides of the frame. As an illustration, frame 137-3 includes a

control button 145 that allows the user to resize the visual manifestation of NIM 133-3. One

of skill in the art will appreciate that the bottom row of NIM frame 137 could have any

number of buttons, including a forward control, a backward control, and similar controls,

each of which provides the user with distinct functionality.

(115) An advantage of the present invention is that it is possible to embed commands that

affect frames 137 in the content of the computer readable media delivered to frame viewer

135. The use of embedded commands provides NIM developers with powerful tools and

additional flexibility. For example, a developer can use embedded commands, such as the

menu command above, to design a NIM that has a context sensitive help menu. Each time a

particular event occurs, the developer changes the content of the help menu using

embedded commands. For example, when a sound file is delivered to a NIM, the sound file

may be attached to a set of embedded commands that provide sound manipulation

functionality in the form of a pull down menu. Elements of such a pull down menu include

a command for saving the sound file to disk, commands for fast forward, stop, and play, and

commands for sound enhancement. After the sound file has been played, embedded

commands are used in this example by the developer to reset the menu associated with

button 143 to some default state. One of skill in the art will appreciate the benefits and

advantages of a frame 137 that is capable of being modified based on commands embedded

in the machine readable media delivered to the corresponding viewer 135. The developer

can use presentation tools, such as adding transient help buttons, resizing the visual

page 193

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

manifestation of the NIM, changing the frame border color, changing the title of the frame, and changing the frame border patterns, to create a more effective application.

- (116) One of the advantages of the disclosed pack system is that it provides a convenient mechanism for rapidly assembling NIMs that track diverse sources of information.
  Furthermore, when a useful set of NIMs is collected into a pack, the user can share the pack with other users using the same procedure previously identified for sharing one or more NIMs. It will be appreciated that in some embodiments, NIMs are commercial applications and that appropriate use of packs provides an additional dimension for application development. Therefore, in some embodiments, pack recipients are subscribers to a service provided by a pack developer. In other embodiments, pack recipients are purchasers or licensees of packs. In still other embodiments, pack recipients receive packs developed by friends, family members, or business associates.
- (117) The technology of the present invention further provides a set of NIM management tools to help a user manage displayed NIMs. In some embodiments of the present invention, these management tools are provided by visual management module 114 (FIG. 1). Two such NIM management tools are, in fact, properties that are associated with NIMs, namely magnetism and snapping. The property of magnetism is exhibited when a visual manifestation corresponding to a first NIM is dragged or moved near a visual manifestation corresponding to a second NIM. When this occurs, the two NIMs exhibit a magnetism that causes the first NIM to accelerate toward the second NIM. However, when the visual manifestations are within a predetermined distance of each other, the NIMs snap together. In one embodiment, the predetermined distance that triggers the two visual manifestations to snap together is a gap of about five pixels. While the above discussion describes the

page 194 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

principles of snapping and magnetism based on a pair of NIMs, there is in fact no limitation on the number of NIMs that can be snapped together and furthermore, the principle of magnetism is not dependent on whether a NIM is in fact snapped to another NIM or not.

- (118) An additional management tool, illustrated in FIG. 9A, provides a mechanism for selecting multiple NIMs and for moving the NIMs in a coordinated fashion. In FIG. 9A, visual manifestations 162, 184 and 186 corresponding to respective NIMs are locked together. In response, halo 127 is drawn around the selected locked visual manifestations to graphically notify the user which NIMs are locked together. As mentioned previously, the user has the option to position NIMs as a coordinated group. For example, in one embodiment, when halo 127 is displayed and the user moves mouse arrow 129 after selecting one of the visual manifestations corresponding to a locked NIM, a target manifestation 131 is displayed to indicate to the user the position that the selected NIMs will be relocated to if the user clicks a mouse key. In some embodiments, target manifestation is a shadow image of the NIMs within halo 127 rather than the box depicted in FIG. 9.
- manifestations of NIMs. For example, in one embodiment, the user has the option to select multiple NIMs by pressing a predefined key such as the keyboard "Ctrl" key, before selecting a specified NIM. While continuing to depress the control key, the user has the option to select additional NIMs and add the corresponding NIMs to a group. The user then has the option to move each of the NIMs in a single coordinated fashion as a group.

  Furthermore, by entering a designated keyboard or mouse sequence, the user has the option to move a single NIM even in situations where the NIM is in a group. In one embodiment in

page 195 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

accordance with this aspect of the invention, the user clicks the visual manifestation corresponding to a locked NIM that the user wishes to move in an independent manner and the user does not click the visual manifestation when the user wishes to move the NIM in a manner that is coordinated with the other NIMs. Additional features of the present invention include the option to select rows or columns of NIMs using specialized control sequences. For example, in one embodiment of the present invention, the user selects a column of NIMs by clicking on a NIM while holding down the alphanumeric character "c" on the keyboard.

display multiple NIMs and that each NIM provides a specialized visual experience.

Therefore, NIM alignment tools are advantageous because they allow users to quickly make room on output means 42 for additional NIMs and/or to produce highly styled NIM arrangements. Accordingly, the present technology provides a specialized feature to rapidly align NIMs. This technology is illustrated in FIG. 10. The technology works in conjunction with the tools for selecting multiple NIMs. In FIG. 10A, the user selects the visual manifestations 147, 149 and 151 corresponding to respective NIMs using, for example, the column select feature disclosed above. Then, the user pushes the selected NIMs against boundary 153. In one embodiment, boundary 153 is the horizontal or vertical edge of output means 42. In another embodiment, all visual manifestations corresponding to NIMs are displayed in a single viewport such as a window. In such embodiments, the horizontal and vertical edges of the window each represent a boundary. FIG. 10B illustrates how visual manifestations 147, 149, and 151 are automatically aligned when they are pushed against a boundary, such as boundary 153. In some embodiments, the relative alignment

page 196 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

between the visual manifestations is preserved even after the manifestations are moved in subsequent action by the user. The present technology further allows for the rearrangement of NIMs along a particular axis. For example, if NIMs are substantially oriented along a vertical axis as shown in FIG. 10B and the user wishes to realign the NIMs on the horizontal axis, all the user has to do is push the collection of NIMs against a horizontal border. For example, when the user pushes visual manifestations 147, 149 and 151 against border 155, the visual manifestations realign to conform to border 155 thus resulting in the view depicted in FIG. 10C.

- (121) The features of the home NIM of the invention have been fully described. Attention presently turns to the architecture and operation of individual NIMs utilized in accordance with the invention.
- discussed above in connection with FIGS. 1 to 10, the user interface 40 displays the home NIM 162 as shown in FIG. 5. The home NIM typically includes a list of NIMs 188, referred to in FIG. 5 as "MyDots." These are NIMs which have been "collected" by the user. The list of collected NIMs, along with their associated definitions, is stored on the server in the user profile database 76, and downloaded from the application server 50 in response to a request from the client parser application 38. The local copy of the processed user profile is then further processed when the user collects or uses NIMs.
- (123) Collected NIMs may be opened or closed by clicking on the control button next to the NIM's name or on the NIM's name itself, in list 188, and all NIMs may be closed by clicking on the "all off button" 166. The user may place NIMs into categories in a list of

page 197 Attorney docket: **IMS 07-05-06**31/2007 Application of: Kembel et al.

categories 190, which can be edited by clicking on the-Edit" button 168. New NIMs may be added to the user's collection of NIMs by clicking on the "Get" button 172.

- (124) FIG. 5 also shows a NIM 192 with a list of NIMs 198, which may be previewed and/or collected by the client 20. The user may preview or collect a NIM by clicking on the associated name of the NIM, e.g., "eHOW". The steps taken to provide the NIM to a user are shown in FIG. 11. After logging in (step 240) the user clicks on the name of a NIM, and the client parser application 38 sends a request including the NIM\_ID of the NIM definition, to the applications server 50 via the transmission channel 44 (step 241).

  Alternatively, the user may click on a NIM link before logging in, for example if the link has been e-mailed to the user, and then, after clicking on the link, log in. In another aspect, the user could view, but not collect, a transient NIM without ever logging in.
- retrieves the NIM definition from the NIM template database 74 using the NIM ID, in step 242, and provides it to the client 20 in step 243. The client 20 receives the NIM definition from the applications server 50 in step 244, and the client parser application 38 creates a frame in the display of the user interface 42 in step 245. In step 246, the client 20 requests the necessary content elements 94 stored at the URLs identified in the NIM definition from the corresponding content server 82. The content server 82 transmits the content 94 in step 247, and in step 248 the client parser application 38 places the content in the viewer, which is enclosed by the frame, allowing the user to preview the NIM. Alternatively, the client parser application 38 may simply collect the NIM, adding it to the user's processed user profile.

page 198 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- the NIM much in the same way as a user may interact with Internet content or web applications. This may change the NIM from its present, "raw" state to a used state reflecting alteration or use of the NIM by the user. For example, the user may direct the NIM to different content within the NIM if the NIM content enables the user to do so. Or, the user may provide information to the content server 82 which allows the NIM to be personalized. The user may additionally be given the option of changing the size of the frame.
- (127) If the user collects the NIM, the NIM will be added to the user's list of collected NIMs such as the list 188 shown in FIG. 5. Additionally, the client parser application will add the NIM's definition to the processed user profile, and, on logout, send the processed user profile to the application server 50. Thus, the NIM's "state" will be preserved.
  Alternatively, the client parser application may collect the NIM automatically, without waiting for a user command, by adding the NIM definition directly to the processed user profile.
- (128) If the NIM's state has been altered by the user or by the content--if for example, the user has directed the NIM to Internet content other than the initially-displayed content, provided personalizing information, or changed the properties of the frame, or if the content itself has caused an alteration in the NIM--this alteration will be reflected in the NIM definition stored in the user profile database 76. Information which personalizes the resulting content, instead of being stored in a "cookie" on the client's hard drive, can be stored as part of the NIM definition. This advantageously permits personalization of content, such as web

page 199 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

content that is associated with the NIM content and the user, without storing a cookie on the client 20.

- (129) A user may also access a NIM which has been previously collected, and possibly altered by use as explained above. As previously described, the user profile 76 includes NIM definitions for NIMs which have been viewed and collected by each user. A screen shot showing NIMs 188, which have been previously collected by a user is shown in FIG. 5. The steps taken to provide the user with NIMs which have been previously collected are shown in FIG. 12.
- (130) As discussed above, on login (step 250) the user's profile is retrieved by the client parser application 38 in the client 20 (step 251 and 252). The user's profile, stored in the user profile database 76, includes the NIM definition for each of the NIMs previously collected, and possibly altered, by each user. The NIM definitions, as discussed above, includes the NIM frame definition and the definition of the controls for filling the viewer within the frame with content. After log in, a local copy of the processed user profile is stored on the client 20, and this copy is further processed as the user collects new NIMs, or uses new or collected NIMs such that the NIMs are altered.
- application 38 creates a frame in the display 42 of the user interface 40 in step 254. At step 255, the client 20 requests the necessary content elements 94 stored at the URLs identified in the NIM definition from the corresponding content servers 82, which provide the content 94 in step 256. It will be appreciated that these URLs need not be the same as the initialization URLs in the "raw" NIM definition stored in the NIM template database 74 on applications server 50, and in fact the content servers need not be the same content servers

page 200 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

corresponding to the initialization URLs. In step 257, the NIM parser application 38 places the content in the NIM frame, and the NIM is then fully opened.

- (132) FIG. 13 illustrates a data structure for a NIM definition. As discussed above, a NIM is defined as a frame that contains a collection of controls, or functional units, such as a web rendering control or a GIF rendering control. The NIM frame surrounds a viewer, which displays the addressed content. The MM has a defined layout or arrangement of the controls, and defined initialization input data, e.g. data and URLS, for each control or element, in the NIM. NIM definitions are available to the client parser application via NIM links. The NIM links "point" to NIM definitions, which include all the information needed to build a NIM frame and fill the NIM with NIM content. Thus, NIMs links are easily collected, associated into packs, and shared by users.
- Language (XML), so that the NIM as a whole--the frame and the content within the viewer--is advantageously as flexible as standard web content. NIMs are extremely flexible, because the definition of the NIM is content, rather than compiled code. The NIM definition defines the structure of the NIM, and everything that is visible in a NIM is based on standard Internet content, such as HTML, dHTML, or GIFs, and is referenced or pointed to by the NIM definition. An "application"-type NIM, such as a web calendar or web mail, may be changed by the user, by the content provider, or by other content, while advantageously avoiding the need to distribute and support a hard-coded compiled application. The definition of a NIM thus includes everything that is needed for the NIM to be rendered and filled with Internet content.

page 201 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

(134) As shown in the exemplary embodiment of FIG. 13, the definition of a NIM includes

tags that identify the NIM 270, define and configure the NIM frame 271, specify and layout

the controls 273 in the NIM viewer, and specify parameters to initialize all the NIM's

components with content or data.

(135) In one embodiment, a NIM is identified by three ID strings 270: GlobalID, Domain and

Kind. A GlobaliD is used when the MM definition is within a share. It is unique with

respect to other NIM tags in the share. A NIM's domain is a unique label for the owning

company or developer of the NIM, such as "dodots.com." Finally, a NIM's kind, which is

specified by the NIM's developer, is a helpful identifier for finding the NIM, but need not

be unique. Examples of possible NIM kinds include "mp3", "scriblets," and "calculator."

As discussed above, a NIM definition will typically be written in a format which facilitates

sharing of data over the Internet, such as XML. An XML specification for the NIM

<u>identification strings</u>, for one embodiment of the invention follows. The bold text identifies

NIM definition data, while the remaining text describes the data.

GLOBALID="string" Used only within <SHARE> tags. This GLOBALID must be unique

with respect to other <NIM> tags in this <SHARE>.

DOMAIN="string"

(136) Unique label for the owning company of this NIM. In theory, NIMs may be limited to

communicating with NIMs only from their own domain.

KIND="string"

page 202

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

841

- (137) Helpful identifier for finding such a NIM from another NIM. Does not have to be unique.
- (138) The NIM definition also includes the definition of a frame 271, which specifies the frame size and shape, and optionally the frame orientation and/or location on the user's screen. The space within the frame is the control space or viewer; visible controls are distributed within the control space or viewer.
- (139) The NIM definition may optionally include controls for: a titlebar; a NIM menu with flexible menu entries; an exit button; and a bottombar. A typical layout for these components is: titlebar at the top of the control space, with menu on the left and exit button on the right, and the bottombar at the bottom.
- (140) The titlebar component gives the user a place to grab and drag the NIM in a windowed environment. In one embodiment, it is implemented as a GIF rendering control that can be targeted to a local or remote titlebar image. The titlebar will preferably have a fixed height and width that is a function of the NIM's width. The titlebar is preferably capable of being located at any position on the periphery of the NIM. Overlay text can also be specified to layer on top of the titlebar image. The bottombar may be implemented in a similar fashion, but typically will not include text overlay. The titlebar and bottombar may be filled in with initialization data from a fixed data file, or alternatively with Internet content from, example, an initialization URL.
- (141) In one embodiment, a menu definition 271 is also included in the NIM definition. The menu includes items and actions of the NIM provider's choosing. For example, menu items may include the title "browse" associated with the action of targeting a full-screen browser or another NIM, and retrieving content for that browser or NIM from a specified address

page 203 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

such as a URL. Logging off, or directing the NIM to another address or URL, are also possible menu action items. Menu action items that require communication of messages between the NIM and another NIM may also be provided--for example, opening another NIM, or changing the content of another NIM that is already open. Communication of messages between different parts of the system is discussed below.

(142) An XML specification for a frame, titlebar, bottombar and menu, for one embodiment of the invention follows:

<FRAME>

<TITLE>

TEXT="string"

JUSTIFY=-"LEFT"|"CENTER"|"RIGHT"

COLOR="#XXXXXX"

PIXELWIDTH="integer"

Width in pixel units. Overrides WIDTH attribute.

PIXELHEIGHT="integer"

page 204 Attorney doc Filed via EFS-Web on: 10/31/2007 Application

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Height in pixel units. Overrides HEIGHT attribute.
WIDTH="integer"
Width in NIM units. Default value is 1.
HEIGHT="integer"
Height in NIM units. Default value is 1.
X="integer" "LEFT" "CENTER" "RIGHT"
Initial X position in screen coordinates. Default is center.
Y="integer" "TOP" "CENTER" "BOTTOM"
Initial Y position in screen coordinates. Default is center.
FIXWIDTH="TRUE" "FALSE"
Default is false.

Filed via EFS-Web on: 10/31/2007

page 205

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

FIXHEIGHT="TRUE"1"FALSE"
Default is false.
BACKGROUND COLOR="#XXXXXXX"
Default is white.
<titlebarimage></titlebarimage>
JUSTIFY="LEFT" "CENTER" "RIGHT"
TILELEFT="integer"
TILERIGHT="integer"
NORMAL="URL"
DOWN="URL"
HOVER="URL"
<u>INACTIVE="URL"</u>

845

Filed via EFS-Web on: 10/31/2007

page 206

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

<bottombarimage></bottombarimage>
JUSTIFY="LEFT" "CENTER" "RIGHT"
TILELEFT="integer"
TILERIGHT="integer"
NORMAL="URL"
DOWN="URL"
HOVER="URL"
INACTIVE="URL"
<menu></menu>
Contains zero or more <item> tags.</item>
<item></item>

page 207 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

TITLE="string"

TOOLTIP="string"

ICON="URL"

ID="string"

Must be unique.

## 

- (143) As shown in FIG. 13, the NIM definition also includes layout and definition of the controls 273. A control may be visible and render some sort of visual or text display, either static or dynamic. A control may be hidden, for example a functional element that is not necessarily visual such as a Java control. The control definition 273 includes identification of the types of controls, the layout of the controls, and initialization information. In one embodiment, NIM controls are specified and identified by class, kind and ID. Class defines the type of NIM control and is not unique. Kind is a useful identifier selected by the developer, and again is not unique. The NIM ID is unique within a user's processed profile.
- (144) Different classes of controls may be used. For example, a control may be a web rendering object, which can render web content such as HTML, dHTML, images, imbedded ActiveX and Java applications, JavaScript, CSS, Streaming Media, and/or Flash.

  Alternatively, a control may be any object capable of rendering any kind of computer

page 208 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

readable media, such as a GIF rendering object or an custom-designed object to display a particular kind of information. Alternatively, a control may be an object capable of processing any kind of application logic, such as a Java module. For example, an on-line brokerage firm could implement a custom stock-chart-rendering control, and define a NIM to use this control.

- (145) As discussed above, the control space is located within the frame, and one or more controls can be flexibly positioned within the control space, and these controls may include a titlebar and a bottombar, as well as other controls. The location of controls is specified by the layout in the definition of the controls 273 within the NIM definition. In one embodiment, the controls are laid out or positioned within the NIM frame according to a flexible grid. In this embodiment, the NIM definition allows the control space to be subdivided into equal vertical and horizontal units, and then for the controls to be positioned and sized within the control space.
- (146) A control definition will typically include initialization data. For example, where a control is a web rendering object, the definition will include initial URLs. When the NIM is opened, the control will navigate to the initial URLs to obtain content and render the NIM. If the control is a GIF, the control could retrieve the GIF file from a content server or from the application server. A NIM definition may optionally include additional tags identifying initialization parameters for different platforms: for example, a URL is suitable for a PC, but a "P-URL" may be provided as well, pointing to content suitable for users viewing NIMs through a personal digital assistant (PDA) or similar device.
- (147) Controls are typically installed on the applications server, and may be updated after installation by the applications server. The home NIM code, downloaded when the client

page 209 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

becomes "NIM-enabled," includes the then-existing controls. Controls are updated as new

controls are installed on the server or when a user requests a NIM that requires a new

control. The server may then may download such updates to the client parser application,

for example on log-in. The NIM framework allows any control to be positioned and

initialized in a control space in a NIM, as discussed above.

(148) An XML specification for control definition and layout, in accordance with one

embodiment of the invention, follows:

<CONTROL\_LAYOUT> Contains zero or more <CONTROL> tags.

WIDTH="integer" Divides control space into this many evenly spaced columns. Default is

<u>1.</u>

HEIGHT="integer" Divides control space into this many evenly spaced rows. Default is 1.

WIDTHSCALES="TRUE"|"FALSE" Default is true.

HEIGHTSCALES="TRUE"|"FALSE" Divides control space into this many evenly spaced

columns. Default is 1.

<CONTROL>

CLASS="string" Class may be "Browser," "GIF reader," or other object for rendering

page 210

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

computer readable media.
KIND="string"
ID="string" Must be unique with other controls in this NIM.
LEFT="integer" X position of the control in container unites. Default is 0.
TOP="integer" Y position of the control in container units. Default is 0.
WIDTH="integer" Width in container units. Default is 1.
HEIGHT="integer" Height in container units. Default is 1.
URL="URL" This is read if and only if this control is of class "Browser". This is the URL to which this control navigates. Otherwise, may include address for other control content, e.g. GIF address in applications server.
<a href="https://example.com/scarce-contains-zero">CATEGORIES&gt; Contains zero or more &lt; CATEGORY&gt; tags.</a>
<category> Adds the NIM to this category. This is the only way categories are specified; i.e. there is no master category list.</category>

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

page 211

NAME="string" This is the name of the category.

(149) A NIM definition may also optionally include home NIM categories 274. A home NIM category used by home NIM 204 is a convenient way for a user to keep track of collected NIMS. When a user adds a NIM to a category 204, the category is added, as a string element, to the categories element 274 of the NIM definition in the user profile. For example, a user may categorize a particular NIM as "entertainment," or "news," or "reference." This category will then be added to the categories element 274 of the MM definition.

(150) A NIM definition may also optionally include an events element 275, which defines actions to certain NIM events. For example, the OnClose event, when a NIM is closed, may be assigned a specific and targeted action, similar to a menu item. An XML specification for the event element in a NIM definition, in accordance with one embodiment of the invention, follows:

<EVENTS>

<ONCLOSE>

(151) Executes this action list on close.

<ACTION\_LIST>

Filed via EFS-Web on: 10/31/2007

(152) Contains zero or more <ACTION> tags.

page 212 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

<action></action>
RECIPIENT="address"
MESSAGE="string"
(153) A sample NIM definition, in an XML file format in accordance with the above
specification, follows:
<nim domain="calculator" kind="basic"></nim>
<frame <="" backgroundcolor="#FFFF00" class="Standard" td=""/>
WIDTH="6" HEIGHT="4" FIXWIDTH="TRUE" FIXHEIGHT="TRUE">
<title color="#0000FF" justify="LEFT" text="Basic Calculator"></title>
<titlebarimage <="" justify="LEFT" td="" tileleft="1" tileright="1"></titlebarimage>
NORMAL=" " INACTIVE=" " HOVER=" " DOWN=" "/>
<bottombarimage <="" justify="LEFT" td="" tileleft="1"></bottombarimage>
TILERIGHT="1" NORMAL=" " INACTIVE=" " HOVER=" " DOWN=" "/>
page 213 Attorney docket: IMS 07-05-0 Filed via EFS-Web on: 10/31/2007 Application of: Kembel et a

</FRAME>

<MENU/>

<CONTROL LAYOUT WIDTH="1" HEIGHT="1">

<CONTROL CLASS="Browser" KIND="A" ID="1" TOP="0" LEFT="O" WIDTH="1"</p>
HEIGHT="1"

URL="http://www.dodots.com/dots/Calc/CALCULATOR2.htm"/>

</CONTROL LAYOUT>

</NIM>

(154) The first line of this definition establishes the identification of the NIM definition, as discussed above: it is in the domain "calculator," and the kind of display is "basic."

(155) In one embodiment, the domain will be the domain name associated with the content provider. The domain name is a unique label for the provider or developer of the NIM. The NIM's "kind" is a helpful identifier for locating the NIM, and need not be unique. A NIM may also be identified using a GlobalID, when the NIM is being shared. Since the NIM defined by this XML file is not being shared, it does not have a GlobalID.

page 214

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

appearance of the NIM frame, defining a NIM viewer in which the NIM content will be placed. The third line ensures that the height and width of the frame are fixed--that is, the size of this frame cannot be adjusted by the user. The fourth and fifth lines establish the title of the NIM--"Basic calculator"--and its location. The next four lines establish the location and placing of the titlebar and bottombar, and relevant images, e.g. mouse-over. Thus, the first part of the example NIM definition defines the NIM frame. The definition of a frame, titlebar, menu and other aspects distinguish a NIM from a browser--the content provider has control over the frame size and every aspect of the NIM's appearance, whereas when a browser is used, the content provider has to adapt to the browser display size, and browser titlebar, menu, logo and other aspects cannot be controlled by the content provider.

- (157) The rest of the NIM definition identifies, positions, and initializes the NIM's controls, which, in this case, are contained by the NIM frame. In this example, the next few lines establish that a single control will start in the upper left corner of the NIM viewer, that the control is of the type "browser," or web-rendering, and that the initialization URL for the control is www.dodots.com/dots/Calc/CALCULATOR2.htm. This URL is typically referred to as the "initialization URL," because it is where the NIM looks for NIM content when it is opened. Where the control is of type "browser," the content will typically be HTML content. However, any standard Internet content--HTML, dHTML, flash, streaming media, or Java, for example--may be used. As discussed earlier, a control, could include types other than a browser. The final two lines of the XML file close the definition file.
- (158) It will be appreciated that the NIM is designed such that content consumes the entire frame. In one embodiment, the content for the corners of the frame--the menu and the exit

page 215 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

button--and the frame sizing images are served by an application server and referenced when the user logs in. Everything else is developed and served by a separate NIM developer. This differs fundamentally from the current approach to providing web content, in which there is a strong distinction between the viewer application--the browser--and the web page or web content. Using present browser-type technology, the content is trapped within the viewer. To obtain a cohesive application feel and access to application features, the current alternative is to develop custom client applications. NIMs allow a developer to provide an application feel without developing custom client applications.

- (159) NIMs and the client parser application have a messaging architecture--the NIM messaging architecture, or NMA--that enables NIMs, controls, and the client parser application to communicate. Messaging, in combination with the NIM definition, gives the content of a NIM access to the application/rendering program--the client parser application--and to other NIMs, allowing true application behavior. The NIM definition, discussed above, is accessible, flexible, and may be changed by a NIM or a user while the NIM is in use, even after it's been rendered.
- (160) The content provider, the user, or other NIMs can change a NIM. For example, the content--which includes the titlebar and menu elements--may be changed by the NIM provider by simply enhanced NIM content, using 20 messaging, so that NIMs can exhibit true application behavior. For example, an online brokerage firm can go beyond providing a NIM that renders stock tracking charts, and allow users to trade on-line via a second NIM that can interact with other NIMs such as the first NIM to help facilitate the activity.
- (161) A NIM can be changed by its content, or by another NIM, using messaging. This enables a NIM to, for example, notify a user of events, such as a change in content. A NIM

page 216 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

could, for example, remain open, but in a collapsed mode, until a particular event occurs, and could then either expand to normal size, or open another related NIM. For example, if a particular stock hits a predetermined price, the stock tracking chart NIM discussed earlier can notify the user by expanding, by popping up a message, or by opening another NIM (such as a stock trading NIM). Alternatively, the NIM could notify the user of a particular event by coming into focus or changing size or content. These changes could also be made by sending messages from a content or applications server to the NIM.

messages. Message routing between NIMs, from a NIM to itself (that is, between e.g. the frame elements and a control, or one control and another), and from NIMs to the system, are handled by a message interface module 106, which is part of the client parser application 38 in the client 20. In one embodiment, the message interface module 106 resolves addressing queries, executes system-level commands from the NIMs such as "close all NIMs," and passes messages between NIMs. The message interface 106 may also communicate messages to controls such as the browser class controls, for example "navigate the addressed NIM's browser control to the argument URL." Alternatively, the message interface module 106 may route a message to an application or content server (not shown in FIG. 14) for expanded functionality. In one embodiment, the message interface module 106 uses an HTTP request to access an application program interface (API) call, with data optionally being sent and received in XML format. For example, the message interface 106 could send a message providing user input, such as credit card information to a credit processing module on a web server 82.

page 217 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

(163) In one embodiment, an NMA message has two components: a recipient, or address, and

the message body. Both are represented as strings. The address may specify an exact NIM,

a kind of NIM or control, a domain, or the system, meaning the overall home NIM display.

For example, in one embodiment, the address may be in the form:

#<NTM specifier>:<control specifier>

(164) if the communication is between NIMs in the same domain, or

#<domain>:<NIM specifier>:<control specifier>

(165) or

#<domain>:<NIM specifier>:<NIM id>:<control kind>:<control id>

(166) if the communication is between NIMs in different domains, or

#system

(167) if the communication is to the system.

(168) In one embodiment, if the address is not properly specified it defaults to #system. The

message interface 106 in the client 20 can flexibly allow, restrict MM addressing or sending

messages--for example, the message interface can ensure that only certain NIMs can send

message to a particular NIM. This allows NIM developers to develop coordinated NIMs

that can interact, by sending messages to e.g. change content or open one another, without

allowing other NIMs to interact with their coordinated NIMs.

page 218

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

857

NIM, control or domain in question: <specifier>:=<ID>. Alternatively, where the kind of NIM or control receiving the message is important but the specific NIM or control is not, the specifier may address a message to the closest matching recipient using a search criteria: <specifier>:=<kind>#<search criteria>. The kind should be a type of NIM or control that is installed in the system. Search criteria may be, for example, "any," "open," or "closed." Finally, where the control is being specified, the specifier may be a symbol, such as ".", indicating that the message is addressed to the sending NIM. For example, in one embodiment, the address #system sends the message to the system. The address #. sends the message to the NIM which sent the message. The address #7 sends the a message to the NIM with the identifier 7. The address #B#open:5 sends a message to the control with the identifier 5, in the first open dot of kind B found in the system.

(170) In one embodiment, certain NIMs will have privileges to send particular messages to certain NIMs, and not to other NIMs. For example, NIMs in a domain may be permitted to send control messages to other NIMs in the same domain, but not to NIMs in other domains. Thus, a NIM provider may have control over messaging between NIMs in his domain, and prevent NIMs in other domains from changing NIMs in his domain.
Alternatively, NIM providers may coordinate with NIM providers in other domains, permitting certain messaging privileges between some of the NIMs in their respective domains. The HomeNIM and other system NIMs, such as the login NIM, which are in the system domain, may have certain messaging privileges that no other NIMs have, such as logging the user out or closing all the currently-open NIMs.

page 219 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

(171) In one embodiment of the invention, the second part of the message, the body, is represented as a string of characters. Messages may be sent to the client parser application, to the frame of a NIM, or to a control. Messages may be specific, defined messages, as shown in the following examples, or may be any javascript, which may be sent in or out of NIM content. Examples of defined messages to the client parser application, in one embodiment, are:

Application Message <arg></arg>	<u>Function</u>
Refresh	Refreshes the user's profile.
#have-NIM <nim-address></nim-address>	Check if the user has the specified NIM as
	part of the user's profile.
#delete-NIM <nim-address></nim-address>	Remove the specified NIM from the user's
	profile.
#get-screen-width	Returns the width of the screen.
#get-screen-height	Returns the height of the screen.
#close-all-NIMs	Closes all open NIMs.
#get-NIM-ids <nim-address></nim-address>	Returns the NIM ID of the specified NIM.

(172) Messages can also be sent from a NIM to itself, or to another NIM, and the identified actions or functions, specified in the body, are performed on the receiving NIM. The following are examples of messages to a NIM for one embodiment of the invention:

Defined NIM Message <arg></arg>	Function
#set-size <width><height></height></width>	Sets the size of the NIM.
#set-width <width></width>	Sets the width of the NIM.
#set-height <height></height>	Sets the height of the NIM.
#set-position <x-pos><y-pos></y-pos></x-pos>	Sets the position, of screen, of the NIM.
<u>#set-title<title>&lt;/u&gt;&lt;/td&gt;&lt;td&gt;Sets the title of the NIM.&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;#collapse&lt;/td&gt;&lt;td&gt;Collapses, but does not close, the NIM.&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;#uncollapse&lt;/td&gt;&lt;td&gt;&lt;u&gt;Uncollapses the NIM.&lt;/u&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;#set-user-sizable&lt;width true/ false&gt;&lt;height&lt;/td&gt;&lt;td&gt;Establishes whether the NIM is by the user&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;true/false&gt; sizeable&lt;/td&gt;&lt;td&gt;&lt;/td&gt;&lt;/tr&gt;&lt;tr&gt;&lt;td&gt;#set-background-color&lt;color&gt;&lt;/td&gt;&lt;td&gt;Sets background color of the NIM.&lt;/td&gt;&lt;/tr&gt;&lt;/tbody&gt;&lt;/table&gt;</title></u>	

page 220

Attorney docket: IMS 07-05-06

#set-title-text-justify <justify-keyword></justify-keyword>	

- sent to a NIM by another NIM. For example, a user may provide input to a NIM, for example a stock tracking chart NIM, indicating an interest in another NIM, such as a stock tracking NIM. The current NIM may then send an "open" message to the second NIM to open it, if it wasn't already open. The current NEM may then send a navigate message (see below) that may include an argument, such as a URL or other content pointer, so that the second NIM could be opened to a specific URL indicated by the first NIM.
- (174) Finally, messages may be sent to a control of a NIM, either by the NIM itself, another control, the HomeNim system, or another NIM. As examples, the following control messages are provided in one embodiment of the invention:

Defined Control Message	<u>Function</u>
## <any javascript=""></any>	Run any javascript in the control, e.g.
_	javascriptin a browser-type control.
<u>#show</u>	Set visibility control within a NIM.
#hide	Set invisibility of a control within a NIM.
#get-size	Get size of control.
#get-address	Query for unique ID of a control by kind.
#navigate	Navigate the control.

- (175) Control messages may be used by a NIM, addressed to its own control, or to the control of another NIM. Control messages may also be sent by the HomeNIM, or by the applications server or content server.
- (176) An example of a message to a NIM is window.external.PostMessage("#.:","#collapse)" this is a message from a NIM, to itself, collapsing the NIM. Another example of a message

page 221 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

from a NIM to another NIM is "window.external.PostMessage("#mp3#any:","#open")", which is addressed to a NIM with the kind "mp3," but only if it is in the same domain as the sending NIM. The body of the message instructs the message of type mp3 to open. An example of a javascript message is "window.external.PostMessage("#mp3#any:1", "33play()")", which sends a message to the mp3 NIM control that calls the javascript function play().

- (177) The operation of the home NIM and individual NIMs has been described. Attention presently turns to different techniques used in accordance with the invention 25 to host NIMs.
- (178) As shown and described in relation to FIG. 1, the application server 50 includes a NIM Management Modulel 12, a NIM Templates Database 74, a ShareLink Database 78, and a user profile database 76.
- Modulel 12. NIM Management Modulel 12 may contain the NIM Templates Database 74 and the ShareLink Database 78, discussed in further detail below. NIM Management Module 112 may also contain content 402 for filling in a NIM frame or for rendering Internet pages. Alternatively, content 402 may be stored elsewhere, such as on a Web server similar to the server 82 shown in FIG. 1. As discussed above, the content preferably contains Internet content such as HTML (Hypertext Markup Language), dHTML, and images.
- (180) In addition, Management Modulel 12 preferably contains executable procedures 403 for controlling and managing the NIM system. These procedures 403 may include: a

  Collection procedure 404 for obtaining new NIMs; a Sharing procedure 405 for sharing

page 222 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

NIMs with others; Development procedures 406, such as a DevZone procedure 407 and a DevNIM procedure 408, for creating, modifying or deleting NIMs; Publishing procedures 409, such as a PubZone procedure 410 and a PubNIM procedure 411, for publishing NIMs so that they are publically accessible; and Administration procedures 412, such as an AdminZone procedure 413 and an AdminNIM procedure 414, for administering the system. It should be noted that the NIM sharing procedure, discussed in further detail below, may be processed by either the Server module (102 of FIG. 1), or the Sharing procedure (405 of FIG. 15). Control and management of the server and the NIM Management Modulel 12 components will now be discussed in further detail.

- (181) FIG. 16 is a diagrammatic illustration of an embodiment of the NIM Templates

  database 74. In this embodiment, NIM Templates database 74 primarily stores XML NIM

  definitions in their initial unmodified state as initially designed by a partner and which have

  not been altered by a user in any way. The unmodified NIMs are also referred to as "Raw

  NIMs". The NIM Templates database 74 is used as the starting point for the development of

  NIMs which may later be customized or modified by a user, developer, or system

  administrator, as discussed below.
- (182) For ease of explanation, the individual or organization that controls the server (50 of FIG. 1) will hereinafter be referred to as the system provider and the individual or organization who supplies the content will hereinafter be referred to as a partner. It should be understood that a provider, partner, user, developer, and administrator of the system may be distinct entities, the same entity, or a combination of both. Furthermore, as discussed above, each of the above entities is assigned access rights or privileges which permit or forbid that entity from performing different actions on the system.

page 223 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- identification number (NIM\_ID) 416 is stored in a NIM Template table 41.5 in the NIM

  Templates database 74. A Raw NW, identified by its NIM\_ID, includes a plethora of RAW

  NIM characteristics, including, but not limited to, a Raw NIM creation date 417, which

  indicates when the NIM was created and is useful when searching for NIMs created during

  a specific time, a Raw N.I.M definition module 418, and the NIMIndex categories 422 in

  which the NIM has been categorized. Each NIM when created in typically classified into

  one or more NIM Index categories by the developer, such as "Applications", "Business",

  "Entertainment" and "News".
- (184) Each NIM is fully configurable and definable. The NIM definition module 418 contains details defining the NIM, such as the look-and-feel 419, of the Raw NIM, initialization URLs (Uniform Resource Locators) 420, and a location 421 of where the developer would like the NIM to open on a users's computer screen. The look and feel of the NIM is the appearance and function of the NIM interface. The look and feel may characterize the frame or skeleton layout, the graphics used to represent certain functions, such as opening and closing the NIM, whether the frame is sizable, and the appearance and operation of menus in the frame.
- (185) The definition module 418 may also contain Initialization URLs 420 which reference resources containing content. The content resources may be HTML (Hypertext Markup Language), dHTML, images, programs such as Java applets, or any other file supported by HTTP. The Initialization URLs 420 contains the name of the protocol required to access the resource, a domain name that identifies a specific computer on a network, such as the Internet, and a hierarchical description of a file location on that specific computer. These

page 224 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

files or resources are then used by the home NIM to fill in the frame and controls with content. In addition, the definition module 418 may contain other details such as the location 421 on a user's computer screen where the NIM should initially open.

- (186) The NIMIndex may be used to search for, learn about, and collect NIMs. The NIMIndex is typically accessed from either a Web browser, such as Internet Explorer.RTM. or Netscape Navigator.RTM. or from the home NIM, 108 of FIG. 1. A user may search for NIMs by, or according to, any field of the NIM Templates table 415 via the NIMIndex.
- NIMIndex web page such as that shown in FIG. 17. FIG. 17 is an illustration of the main NIMIndex Web page 423. A user typically navigates to main NIMIndex Web page 423 from a NIM home-page (not shown), or while anywhere within the NIM Web site by clicking on the "Collect the DOtS.TM." link 424 in a menu 425. A user may search the NIMIndex by entering a search term in a form 426 and clicking on the "Search" button 428 which implements the Collection Procedure (404 of FIG. 15) to search the NIM Templates database (74 of FIG. 15) for NIMs that match the query. In one embodiment, the NLMIndex may be searched by NIM title, NIM description, or partner, as shown in the pull down menu 430. The user may also browse the NIMIndex by clicking on a link 432 to a NIMIndex category 434 which will navigate the user to a NIMIndex category Web page 440, as shown in FIG. 18.
- (188) FIG. 18 is an illustration of a single NIMIndex category, the "Applications" category 435, shown in FIG. 17. A list of sub-categories (not shown), as well as a list of NIMs 442 and their short descriptions are shown in FIG. 18. A user may click on the "more detail"

page 225 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

link 444 to be taken to a page displaying a full description (discussed later in relation to FIG. 29B) of the NIM, shown in FIG. 19.

- Resource Locators), which are the addresses of files or resources accessible on the Internet.

  The type of resource depends on the Internet application protocol. Using the World Wide Web's protocol, the Hypertext Transfer Protocol (HTTP), the resource can be an HTML (Hypertext Markup Language) page, an image file, a program such as a Java applet, or any other file supported by HTTP. The URL contains the name of the protocol required to access the resource, a domain name that identifies a specific computer on the Internet, and a hierarchical description of a file location on the computer and usually takes the form:

  "URL=protocol://machine.name[:port]/directory/document.name`?[&argu- ments] " The "protocol" is the Internet protocol used to reach the document or resource. On the Web, the "protocol" is typically HTTP, but it can take any number of forms, such as ftp (file transfer protocol), file (a local file), gopher (gopher protocol), mailto (electronic mail address), news (Usenet news), telnet and tn3270 (interactive sessions), wais (wide area information servers), or the like.
- www.NIM.com ). The ":port" portion of the address is optional and is only necessary when a resource is accessible through a non-standard TCP port number. Although the standard port number for HTTP is 80, there are numerous Web servers on the Internet that use non-standard ports, such as port 8000.
- (191) The NIM system, however, may also utilize a proprietary NIM protocol. An example of a URL using the proprietary NIM protocol is: "NIMS:?NIMTemplate=<N/M\_ID>"

page 226

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

- (192) The NIM protocol URL is used to collect, distribute, and share NIMs. When collecting NIMs the NIM protocol URL is referred to as a NIMLink. When distributing or sharing NIMs the NIM protocol URL is referred to as a ShareLink.
- colon. The "NIMS:" term defines the NIM protocol or scheme and is always followed by a colon. The "?NIMTemplate=<NIM\_ID" is an argument, where a dollar sign (5) and a question mark (?) are used to denote path and/or search elements. It should be noted that no path is supplied (i.e.: "//path/to/something"). The argument instructs the client parser application (38 of FIG. 1) how to handle a user's selection of a NIM protocol URL and what the NIM protocol URL must do. For example, to obtain a NIM, the argument might read "NIMTemplate=123", to obtain a Share (discussed below) the argument might read "Pack=123", etc.

  The argument can be used to cause the client parser application to do anything within it's system of functionality by specifying new argument sets to build new types of special client parser application links.
- (194) In one embodiment, the address for where the client parser application (38 of FIG. 1) searches the system (10 of FIG. 1) for the NIM Template or ShareLink database (74 and 78 of FIG. 1) is specified within the processed login script or session\_config, although it could alternatively be specified within the NIM protocol URL. When a user clicks on a NIM protocol URL (from any where you can place and click on a link, for example in a browser, in a NIM, in email, in a document, etc.), the client parser application processes the NIM protocol URL in the same manner as a browser processes HTTP links and an email program processes mailto links.

page 227 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- (195) When a protocol URL takes the form of a NIMLink, the client parser application responds by obtaining the NIM definition from the NIM Template database, optionally adds the NIM to the user's processed user profile (unless the NIM has been specified to be opened in transient mode, which may be specified in the argument), and optionally opens the NIM on the user's display screen.
- (196) In one embodiment, by default, unless specified otherwise, a NIM will be added to a users collection (transient--false) and will be opened (open--true). A NIMLink with arguments may look as follows: "NIMS:?NIMTemplate&transient=true" or "NIMS:?NIMTemplate&open=false". More than one additional argument could be added by appending another argument to the URL which may read as "&argument=value".
- (197) A ShareLink (discussed below) is similar to a NIMLink and may read

  "NIMS:?share=123", where 123 is the SHARE ID referencing the share module within the

  ShareLink Database. Pack Links (discussed below) typically read as "NIMS:?pack-123",

  where 123 is the PACK\_ID referencing a pack module within the NIM Template Database.
- (198) FIG. 19 is an illustration of a full description of NIM content 446. A graphic of the opened NIM may also be displayed 448.
- (199) Once the user decides that he would like to add a NIM to his home NIM, the user clicks on the "get it now" or "Get This Dots.TM. NIMLink 450 (FIGS. 18 and 19) which either runs the Collection procedure (404 of FIG. 15) which obtains that NIM's NIM definition module (418 of FIG. 16) from the NIM Template table (415 of FIG. 16), or opens another Web page as shown in FIG. 20.
- (200) FIG. 20 is an illustration of a Web page 452 which might be displayed to the user once the user has clicked on the NIMLink 450. The user is presented with an option of either

page 228 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

collecting the NIM 456, or if the user does not have the home NIM application, the user may first download the home NIM by clicking on "Get the homeDotIm" 454. Once the user clicks on the download the NIM button 456, the Collection procedure (404 of FIG. 15) obtains that NIM's NIM definition module (418 of FIG. 16) from the NIM Template table (415 of FIG. 16).

- home NIM, which optionally opens the MM and saves the NIM definition module (418 of FIG. 16) on the user's local processed user profile. All NIM definition modules (418 of FIG. 16) on the user's computer may subsequently be saved to the user profile database, as discussed earlier in this writing. Alternatively, a "preview" button may be provided which transiently displays the NIM on the user's computer screen without adding the NIM to the user's local processed user profile. The user may also search the NIMIndex from their home NIM.
- (202) FIG. 21 is an illustration of the main home NIM graphical user interface (GUI) 464, similar to that shown in FIG. 5. The home NIM displays a list of all NIMs 466 that the user has collected. Furthermore, any NIMs that the user has collected in groups or packs, can be accessed by clicking on the "My Dotpacks" tab 468. One way to obtain new NIMs is to click on the "Get" button 470, which opens the NIM shown in FIG. 22.
- (203) FIG. 22 is an illustration of a get new NIM GUI 474. A list of all NIMs 476 (or a featured subset) that may be collected by the user are displayed. Clicking on the "More Dots'" tab 478 (shown in FIG. 23) displays further NIMs which may be collected.
- (204) When a user selects or clicks on any of the NIMLinks 480, NIMLink 480 references the NIM\_ID (416 of FIG. 16) for that NIM in the NIM Templates database (74 of FIG. 16). The

page 229 Attorney docket: IMS 07-05-06

Application of: Kembel et al.

collection procedure (404 of FIG. 15) receives the NIM\_ID (416 of FIG. 16) from the user,

locates the NIM definition module (418 of FIG. 16) corresponding to that NIM ID in the

NIM templates database, and transmits the NIM definition module to the user's computer.

That NIM may automatically be opened on the user's computer screen. The NIM is saved to

the user's list of NIMs on their home NIM (466 of FIG. 21), and the NIM definition module

is saved in the user's local processed user profile. Alternatively a "preview" button may be

provided which transiently displays the NIM on the user's computer screen without adding

the NIM to the user's local processed user profile, as discussed above in relation to the

N1MLink. All the NIM definitions that the user has listed on their home NIM are saved to

the user profile database either periodically, at a set time, by event, or when the user closes

their home NIM. The technique of the invention facilitates a viral distribution architecture.

In other words, the technique of the invention facilitates rampant distribution of generated

NIMs, as described below.

(205) <u>Users (or developers) may share NIMs they have collected, and perhaps even modified,</u>

with other users (or developers) in accordance with this viral distribution architecture.

Because the NIM definition contains basic reference information, such as data to instantiate

the NIM and URLs and other references to where the NIM content is located, a NIM is

easily and quickly distributed, collected, and shared. By-packaging Internet content and

applications as NIMs and referencing the NIMs by NIMLinks, the system advantageously

gives Internet content viral characteristics as the NIMs can easily be distributed or shared

between users.

(206) Each NIM definition contains just enough information to define and initialize the NIM's

components (NIM frame, controls, etc.). For example, this information may contain data to

page 230

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

869

configure the skeleton or frame that is filled in by NIM content from a developer's server.

The NIM definition is therefore fairly small in size (-2K), and is therefore easily distributable as an XML file or Blob (binary large object), which is communicated using the same mechanisms (HTTP/HTTPS requests) as regular Web pages.

- (207) This is especially useful where a user has collected a NIM or a group of NIMs (Packs) that he would like to send to another user. For example, a user may have an online trading NIM, calculator NIM, and stock research MM all set up in various positions on his screen, and would like to share the entire Pack with a friend who is remotely connected to the Internet.
- (208) To share NIMs with others, the system utilizes the Sharelink database 78 of 25 FIG. 15 and the Sharing procedure 405 of FIG. 15. FIG. 24 is a diagrammatic illustration of the Sharelink database 78. NIM Sharelink database 78 stores a list of all NIMs shared by users, developers, or administrators, in a share table 484. Each NIM or group of NIMs shared is assigned a Share ID 486 which points to a Share module 488. Each Share Module 488 may also include a creation date 490, multiple 30 individual MM definition modules 492, or multiple packs of NIMs that have been shared (Sharepack module 494) containing multiple NIM definition modules 496 and 498.
- (209) FIG. 25 is an illustration of a Share NIM's GUI 500. All dots collected by the user (466 of FIG. 21) can be shared with other users by clicking on the "Share" button 502 shown in FIG. 21. Once the user has clicked on the "Share" button 502, the GUI 500 shown in FIG. 25 is launched. The user may then highlight any of the NIMs or packs of NIMs 504 he has collected or created and thereafter share the NIMs or packs of NIMs 504 by clicking on the

page 231 Attorney docket: **IMS 07-05-06** 

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

"Share via email" button 506. It should be noted that other means of distributing the NIMs may be used together with, or instead of, email.

- (210) When users share NIMs or NIM packs, their home NIM application generates a 10 share module, which may for example be an XML Blob containing the N1M definition or Sharepack modules shared. The shared NIM XML is then sent to, and saved in, the Sharelink database (78 of FIGS. 1 and 24). The Sharing procedure 405 of FIG. 15 then automatically generates a shared link (ShareLink) that references or points to the address of the shared XML on the Sharelink database. This ShareLink is then sent or 15 distributed (via email or posted on a Web site) to other users.
- (211) If a user receives shared NIM(s) or pack(s) and has a home NIM installed on his client computer, then clicking on the Sharelink adds the NIM(s) to the user's home NIM and opens the shared NIM(s) on the user's screen. If a recipient of a shared NIM does not have the home NIM installed on his computer, then the home NIM is downloaded and installed (with the user's cooperation), the shared MM is added to his local processed user profile, and the NIM is opened.
- (212) The NIM management module (112 of FIG. 15) may also be responsible for controlling and managing the development of new NIMs via the DevZone and the DevNIM discussed below.
- (213) Because NIM content is based on existing Internet content standards (HTML, DHTML, GIFs, etc.) developers can create MM content using their existing Internet content development tools and methodologies. Therefore, no special hardware or software is required to develop or serve NIM content.

page 232 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- (214) Furthermore, as the application server (50 of FIG. 1) hosts and delivers NIM definitions from the NIM Templates database (74 of FIG. 1) developers merely define and package the NIM content without directly authoring, hosting, or serving the XML NIM definitions.
  Therefore, no special hardware or software is required on the developer-side to host and serve the NIM content, other than required for their regular Internet content.
- (215) Two means are provided for creating NIMs. First, a Developer Zone Web site

  (DevZone) and second, a set of developing NIMs (DevNIMs). Both means enable NIM

  developers to create, define, and modify NIM definitions, and to support the NIM

  development process which results in XML NIM definitions being added to the NIM

  Templates database and NIMLinks generated.
- defined and/or published, add new NIMs, and categorize, view, modify, or delete their existing NIMs. The DevZone is preferably rendered in a Web browser, is hosted on the Web server (82 of FIG. 1), and is implemented with a DevZone procedure (406 of FIG. 15).

  To access the DevZone, the developer may typically pass through a secure portal, such as by supplying a login identity and password.
- NIM developer appear in a customized NIM list 514 that may only be accessed by that NIM developer. All NIMs created by a developer appear on the NIM list 514, unless they have been deleted by the developer or by a system administrator. The NIM list may contain the NIM name 518, the date the NIM was created 520, and an indication 516 of whether the NIM is in development or accessible by the public in the NIMIndex (i.e. "in-development" or "published").

page 233 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- "modify" or "preview" link 524 as transient (e.g. to add the NIM to their home NIM for previewing and testing). By clicking on the "modify" link, the developer is taken to the NIM modification web page, as shown in FIG. 27. Alternatively, by clicking on the "Create a Dot" button 522, the developer is taken to a web page similar to the NIM modification web page shown in FIG. 27, where the developer may create a new NIM.
- (219) FIG. 27 is a partial view of a NIM modification web page 530. To modify an existing NIM, or create a new NIM definition, a developer preferably utilizes Web forms, such as 534 to 542, or any area that contains objects that capture user input, such as text entry spaces, check boxes, and selection buttons. Developers typically fill in forms with information which defines the NIM, where the details might include the NIM's name 534, the URL for any image associated with NIM 540 (as shown in FIG. 18), the URL for a detailed image 542 (448 of FIG. 19), and such details as NIM frame (e.g., size of NIM, sizeable), layout of the controls (e.g., WebConduit control), and to specify the initial MM content (e.g., the initial target URLs for the WebConduit control, TitleBar, BottomBar), and any categories in which the developer would like the NIM to be listed in the NIMIndex. Once the developer has completed or modified the forms, he may either save or delete the NIM 532. If the developer selects either the development check box 536 or the public check box 538, and then saves the NIM, the DevZone procedure (407 of FIG. 15) generates a XML NIM definition, stores the XML NIM definition in the NIM Templates database (74 of FIGS. 1 and 15) and returns a NIMLink pointing to that NIM which is listed on the NIM list (514 of FIG. 26) on the developer's home NIM. The only difference being that once the developer selects the public check box 538 and saves the NIM, the NIM definition is

page 234 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

published utilizing the PubZone publishing procedure (410 of FIG. 15) to a publically accessible portion of the NIM Template Table (415 of FIG. 16), from where users can access, download, and collect the NIM. If the developer selects the development check box 536, the NIM can only be viewed and or modified by the developer and system administrator. It should be noted that the DevZone only allows control of certain characteristics of each NIM. Other characteristics may be set to default while still other characteristics can only be altered by an administrator. In an alternative embodiment, the DevZone may be rendered in a NIM or group of NIMs just as it was rendered in a Web browser. In either embodiment, NIM developers fill out one or more forms specifying NIM definition parameters, an XML NIM definition gets created and stored in the NIM Templates. Database, and a NIMLink gets generated that points to the new NIM. The Developer can then view or debug this NIM by clicking on the NIMLink to add it to his home NIM, or preview as transient, and thereafter render it on his screen. NIM definitions may also be developed using NIMs and NMA messages. A 30 developer may create Raw NIMs from empty NIM Templates using a development NIM (the DevNIM) on the developer's home NIM.

- obtain a DevNIM by either collecting the DevNIM in the usual manner, as discussed above, or the system, via the system administrator, may share the NIM with the developer, also as discussed above. The DevNim contains a DevNIM procedure (408 of FIG. 15) which is transmitted to the developer's home NIM, as discussed above.
- into the DevNIM. The server then obtains an empty NIM (a NIM with default or no

page 235 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

initialization data and with only basic characteristics) from the NIM Template Database using the procedure for collecting NIMs described above, and saves the empty NIM under the supplied new NIM name 550 locally in the developer's processed user profile. The developer may then modify the empty NIM to the required form using the DevNIM. In the preferred embodiment a pull down menu 552 is provided where the developer can select which feature to modify, such as the frame characteristics (FIG. 28B), the titlebar (FIG. 28C), or initialization URLs for different frame or control elements (FIG. 28D).

- (222) Each time the developer modifies a setting, the DevNIM, using the DevNIM procedure, sends NMA messages to the newly saved NIM to modify its definition parameters. For example, modifying the NIM's name, size, TitleBars, BottomBars, or WebConduits (as shown in FIGS. 28A to 28C).
- (223) Unlike the DevZone, a new XML NIM definition and NIMLink is not generated every time a modification is made. All modifications (during the development cycle) are made locally to the NIM definition and are stored in the developer's processed user profile. The DevNIM embodiment, therefore, requires a separate publishing step that promotes the newly created NIM definition from the developer's user profile, to the NIM templates database on the application server.
- (224) To publish the NIM, the NIM developer categorizes the NIM and the NIM definition is copied from the developer's processed user profile to the NIM Templates database.
- (225) A publishing NIM (PubNIM), implemented with Publishing procedures 409, is provided to handle these functions. The PubNIM may therefore be shared or transmitted to the developer along with the DevNIM. The PubNIM contains a PubNIM procedure (411 of FIG. 15) which controls the publication of the NIMs to the NIM template database, as

page 236 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

discussed above. The PubNIM procedure sends a NIM definition module to the application

server which receives the NIM definition module, extracts the NIM definition from the

share module, stores it in the NIM Templates database, and associates the NIM with the

developer so that the NIMLink shows up on the developer's NIM list (in their DevZone

account).

(226) Alternatively, the new NIM may be published directly from the DevNIM. Once a user

is satisfied with the NIM, he may select an option which publishes that NIM definition to

the NIM Templates database. It should be noted that a developer may modify his NIMs at

any time from the DevNIM.

(227) As mentioned earlier, when a developer is first authorized to create and/or modify

NIMs, or at any time thereafter, information about that developer is saved in that

developer's user profile (76 of FIG. 1) on the application server.

(228) A developer may also create application programs using NIMs, which a user may

access from his client computer. Just as client-side application characteristics (sizing,

position, menus) are accessible to content via NMA, the system may offer server-side

application functionality, or toolkits, which are accessible through the NMA.

(229) A developer can build a NIM application without implementing, hosting, or supporting

complex server or client applications. By using the server toolkits, a developer can develop

N1Ms that exhibit server-application behavior by focusing on implementing NIM content

(just like standard Internet content).

(230) For example, a NIM's content (an HTML page) may send a message to the system (or

server) to request a credit card to be processed. Other toolkit examples may include credit

page 237

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

876

card billing, user profiling, targeted advertising, email, chat rooms, Internet telephony applications, or calendars.

- as client-side application behaviors are made accessible. In the current implementation, server-side application functions could be offered by a NIM (exposed via javascript functions on a page in a hidden frame). Other NIMs could access this functionality by sending NMA messages to this "Toolkit NIM" calling the functions. A NIM developer may therefore focus on Internet content development while accessing the features, behaviors, and functionality of an application just as if he had developed custom client and server side applications. The NIM management module (112 of FIG. 15) may also be responsible for controlling and managing the administration of the system via the AdminZone and the AdminNIM discussed below.
- (232) A system administrator has the power to create, modify or delete users, developers,

  NIMs, other administrators, or NIMIndex categories, depending on that administrators

  access privileges. In a similar manner to the DevZone and DevNIM, system administrators

  may utilize either a Web browser administration zone (AdminZone), or an administration

  NIM (AdminNIM) which both make use of Administration procedures (412 of FIG. 15).
- (233) To access the AdminZone, an administrator typically passes through a secure portal, such as by supplying a login identity and password. Once within the AdminZone, the administrator may search for a NIM by NIM name or title 552, category, developer, developer contact name, or status, as shown in FIG. 29A. The administrator may also selectively search for NIM's in development or publically accessible NIMs 554.

page 238 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- (234) <u>Utilizing an AdminZone procedure (413 of FIG. 15)</u>, once the required NIM 20 is located the administrator may modify or delete the NIM in a similar manner to a developer as shown in FIG. 29B, and described above.
- (235) Also utilizing the AdminZone procedure, the administrator may manage NIMIndex categories by creating new categories, modifying or deleting existing categories, and/or adjusting the layout of the NIMs within those categories as shown in FIGS. 30A and 30B. For example, an administrator may change a category's name 558, designate the category active or inactive 556, or create sub-categories 560. The system administrator may also select a category or categories for the NIM to appear in, where each NIM may be registered in more than one category.
- (236) Finally, utilizing the AdminZone procedure (413 of FIG. 15), an administrator may search for users, providers, or developers and adjust their details, as shown in FIGS. 31A and 31 B. The system administrator may, for example, change a users contact details. In addition to adding, modifying or deleting NIMs, system administrator may have the task of reviewing NIM submissions from developers and promoting NIMs to the public. A submissions list of newly submitted NIMs may be displayed to an administrator, who may promote the NIM to the public or view the NIM. Once promoted, changes are made to the NIM Templates database and the NIM is automatically removed from the submissions list (again by utilizing the AdminZone procedure (413 of FIG. 15)).
- (237) The foregoing discussion has explored the inherent nature of NIMs. Attention now turns to different techniques that may be used to exploit information that is associated with the use of NIMs. In particular, the following discussion is directed toward the accumulation

page 239 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

of statistical information that is only available in view of the architecture of the present

invention.

(238) Currently, the predominant method of tracking and collecting user online behavior is

severely limited for a number of reasons. First, most Internet use or visitor statistics are

single-dimensional (linear, sequential) because Internet content is presented to users one

full-screen page at a time. Second, users visit and leave sites so rapidly their visits are

barely meaningful. Third, user's browsing habits are often discontinuous (browsers give

users navigational bypass controls--back, forward, home, refresh, stop, etc.). Fourth, user

behavior tracking is limited from a single site's server point of view. Current use statistics

are plagued with the challenge of tracking continuous user behavior (especially from a

cross-company perspective), with more than a single dimension of use context. Finally,

because a computer may have multiple users, or a single user may use multiple computers,

tracking continuous user on-line behavior is extremely difficult.

(239) One of the advantages of the NIM system as illustrated in FIG. 1, is that the Server 50 is

able to track continuous, long-term NIM use information about each user. This is because

the NIM server, through communication with the home NIM, can track each NIM event

performed by each user. Therefore, it is possible to track each individual user's entire NIM

use activity from the moment the user downloads the home NIM.

(240) Referring to FIG. 32, in one embodiment of the invention the following events may be

tracked by the Event Log Module 98 (within the client computer 20 of FIG. 1): home NIM

Download Event 704 NIM Download Event 706 NIM Display Event 708 Web

Click-Through Event 710 Page-View Event 712 First NIM Installation Event 714 First

page 240

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

879

home NIM Startup Event 716 Transient Mode Event 718 Share NIM Received Event 720 NIM Pack Received Event 722.

- (241) A home NIM Download Event 704 is logged when the user clicks on a link to request the home NIM user application. Preferably, the start time 752, and the end time 754 are recorded for this event. Also recorded is the provider ID 750 which is a parameter (generally, an integer) that represents the content provider partner who provided the link to the user.
- (242) NIM Download Event 706 is logged when the home NIM acquires a NIM via a
  NIMLink. The start time 752, the end time 754, and the provider ID 750 are recorded for this event. Also recorded is the NIM ID 756 which is a parameter (generally, an integer) that represents the NIM that was just downloaded.
- NIM Display Event 708 is logged when a user activates a NIM. The NIM ID 756, the start time 752, and the end time 754 are recorded for this event. Web Click-Through Event 710 is logged whenever a user links from a NIM to a full-screen browser. This can occur when a user clicks on a link in the NIM, or it can occur automatically through the NIM messaging, or directly through the content provider. The NEM ID 756, the start time 752, and the Internet address 758 of the link are recorded. Page-View Event 712 is logged whenever a user views a page of content within a NIM. The NIM ID 756, and the start time 752 are recorded for this event.
- (244) First NIM Installation Event 714 is logged the first, a NIM or NIM Pack is installed from a web site. This event is logged only once for each user account. The NIM ID 756, start time 752, and end time 754 are recorded for this event.

page 241 Attorney docket: **IMS 07-05-06** Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- (245) First home NIM Startup Event 716 is logged when the home NIM runs for the first time.
  This event is logged only once for each user account. The start time 752 is recorded for this event.
- (246) Transient Mode Event 718 is logged when the home NIM runs in transient mode.
  Transient mode occurs when the home NIM runs before the user has logged in. The start time 752, and the end time 754 are recorded for this event.
- (247) Shared NIM Received Event 720 is logged for each NIM a user receives as part of a share. If a NIM Pack is shared, this event will be recorded for each NIM in the shared pack.
   The NIM ID 756, the start time 752, and the end time 754 are recorded for this event.
- (248) Shared NIM Pack Received Event 722 is logged for each NIM Pack a user receives as part of a share. Thus, when a NIM Pack is shared, an Event 720 will be logged for each NIM in the NIM Pack, while an Event 722 will be logged once for the NIM Pack itself. The start time 752, and the end time 754 are recorded for this event.
- (249) The events listed above are tracked in one particular embodiment. Other embodiments may track more or perhaps fewer events. This comprehensive event tracking is possible because each user event can be identified by the NIM Server through communication with the home NIM. Additional events may include tracking when a user sends a share or tracking when a user sends a NIM or a NIM Pack.
- (250) FIG. 33 shows a typical series of user actions 800 as they are tracked by the Event Log Module 98. First, a user may request to download the home NIM application (step 802) from either a partner's web site or the NIM Server 50. The Event Log Module 98 records a home NIM Download Event, as shown with field 704 of FIG. 32. The start time 752, and

page 242 Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

the end time 754 are preferably recorded. Also, the provider ID 750 of the site from where the home NIM download request was received is recorded.

- (251) Returning to FIG. 33, the user subsequently activates the home NIM for the first time (step 804). The Event Log Module 98 records a First home NIM Startup Event 716, as shown in FIG. 32. The start time 752 is preferably recorded. In addition, the home NIM is activated and the user lot yet logged in, a Transient Mode Event 718 is logged and the start time 752 is recorded.
- (252) As shown in step 806 of FIG. 33, the user logs into the home NIM. When this occurs, the end time 754 may be recorded for the Transient Mode Event 718.
- Server. When this occurs, the raw NIM (step 808) may be from a partner's web site or the NIM Server. When this occurs, the raw NIM definition is copied into the user's User Profile 76. The event log 98 records two events. First, because this is the first NIM the user has installed, a First NIM Installation Event 714 is recorded. The start time 752, the end time 754, and the provider ID 750 of the download site are preferably recorded. The second event recorded is a NIM Download Event 706. The Event Log Module 98 preferably tracks the NIM ID 756, the provider ID 750, the start time 752, and the end time 754 for this event. The next thing a user may do is open the NIM (step 810). This consists of retrieving the NIM definition from the user's User Profile and getting NIM content from the provider 82, as discussed above. The NIM is displayed for the user and the Event Log Module 98 records a NIM Display Event 708. However, at this point, the Event Log Module 98 can only record the start time 752, and the NIM ID 756 for this event. The end time 754 is recorded when the NIM is closed.

page 243 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

- (254) For every page of content a user views within a NIM 812, a Page-View Event 712 is recorded. Some page views may require content from the provider 82. The NIM ID 756, and the start time 752 are recorded for this event.
- (255) The NIM may also enable the user to click on a link that results in navigating to a full screen web browser (step 814). When a user does this, a Web Click-Through Event 710 is recorded. The Event Log Module 98 records the NIM ID 756, the start time 752, and the URL of the web site that is passed from the NIM content to the browser 758.
- (256) When the NIM closes (step 816), the end time 754 for the NIM Display Event 708 is recorded. When the user logs out of the home NIM (step 818), the event log is uploaded to the Server 50 (of FIG. 1).
- (within the client computer 20 of FIG. 1) tracks user events in the home NIM user application and uploads the information to the Statistics Database 80 (of the server computer 50 of FIG. 1) at predetermined intervals alternate embodiments, the Event Log 700 (in FIG. 32) may be processed by the NIM Server before it is stored in the Statistics Database 80. For example, the NIM Server may process NIM use status information for each user that is currently logged in.
- (258) The Statistics Database 80, illustrated in FIG. 34, preferably lists every event 1002 by every user of home NIMs along with the corresponding fields associated with each event.
  For-example, if a NIM Display Event is recorded, the User ID 1004 of the user that performed the event is listed, the start time 1006 is listed, the end time 1008 is listed, and the NIM ID 1010 is listed. If a Web Click-Through Event is recorded, the User ID 1004 is listed, the NIM ID 1010 is listed, the start time 1006 is listed, and the URL of the web site

page 244 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

1014 is listed. The Statistics Database 80 therefore allows the list of events to be easily referenced and searched by each event or by each of the fields associated with the events.

(259) Referring to FIG. 35, the Statistical Analysis Module 900 uses the Statistics Database

80 in order to provide various services for the content provider partners 82. Preferably, the

Statistical Analysis Module 900 includes a Multi-Dimensional Consumer Profile Module

902, a Real-Time Advertising Module 904, and a Pack Building Module 906, as discussed

below.

(260) A primary advantage of the present invention is that, because NIMs are used in groups

and are used more often and for longer periods of time than web pages or web sites,

real-time multi-dimensional NIM use data (that's a function of which NIMs are activated

simultaneously) can be accumulated. In accordance with an embodiment of the invention,

this accumulated data is used to generate a multi-dimensional consumer profiling database.

The Multi-Dimensional Consumer Profile Module 902 uses information from the Statistics

Database 80 to examine, for each user, the start time, and the end time of each NIM Display

Event It then determines the NIMs (using the NIM IDs) that are opened simultaneously for

each user. The Module 902 determines, for every selected NIM, the other NIMs that a given

user may use in conjunction with the selected NIM. The Module 902 also determines how

often these other NIMs are used simultaneously with the selected NIM. For example,

Company X provides a NIM for selling its books. The Multi-Dimensional Consumer

Profile Module 902 determines for Company X that a particular user has a NIM related to

finance activated 30% of the time the user has the book-selling NIM acted, a NIM related to

computers 20% of the time the user has the book-selling NIM activated, and a NIM related

page 245

Attorney docket: IMS 07-05-06

884

to wedding gifts 5% of the time the user has the book-selling NIM activated. This will provide Company X with a more complete profile of the user's interests.

- displayed at any given moment. This information is used by a content provider partner or by the NIM Server to target advertising information. For example, if a user has a NIM related to sports displayed simultaneously with Company X's book-selling NIM, Company X uses this information to stream an advertisement for a sports book. In one embodiment, this is accomplished by associating each NIM with a context keyword. This is done by incorporating the context keyword into the NIM definition or, alternatively, by maintaining a table of NIMs and their corresponding context keywords. For example, the NIM related to sports is associated with the context keyword "sports." Moreover, the Real-Time Advertising Module 904 may combine the real-time user information with the historical user information from the Statistics Database 80 to provide advertisers with a complete picture of a user's interests.
- NIMs are being used simultaneously. The Module 906 also determines which NIMs are being shared as NIM Packs. From this, the Module 906 provides information to content provider partners about which NIMs should be bundled together. In alternate embodiments, the Module 906 builds a NIM Pack based upon the information it processes. For example, if the Pack Building Module 906 determines that an airline NIM is being used with a hotel NIM and a car rental NIM, the Module 906 may build a NIM Pack with a restaurant NIM.

(263) Additionally, in one embodiment of the present invention, the NIM Server 82 may track the content within a NIM in a Content Database 1050, as illustrated in FIG. 36. A content

page 246

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

descriptor 1052 which may be a string describing the content that is shown within the NIM is recorded for content shown in the NIM. For example, if a NIM displayed an advertisement for an automobile followed by an advertisement for a restaurant, the two recorded content descriptors might say "automobile ad" and "restaurant ad." In addition, the NIM ID 1054, the start time at which the content is displayed 1056, and the end time 1058 are all preferably recorded for each content descriptor.

- Module 950 is able to correlate, at any moment, the content displayed to the user as recorded in the Content Database with the user's NIM activity recorded in the Statistics Database. For example, if one NIM displays to a user an advertisement for a travel book, the user may open a NIM related to Florida, a NIM owned by a specific airline, and a NIM owned by a car rental company. This pattern of user behavior will allow the company that provides the travel book advertisement to better understand the effect of the advertisement on the user. The company may use this information to make cross-promotions with other NIM providers, or, simply to provide more effective targeted advertisements.
- (265) In an alternative embodiment, each of the content providers may track its own content information. The content providers could then compare its content information with the user information provided by the Statistics Database of the NIMServer.
- (266) Finally, referring to FIG. 37, all of the user event information may be used in conjunction with user information provided at login. During the login process, the user may be required to enter demographic information such as age, marital status, etc. In one embodiment, this information is stored in a User Account Database 1100. Each User ID 1102 is listed along with the corresponding user information 1104. Therefore, it is possible

page 247

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

to match the user events with personal information about the particular user to give advertisers or NIM content providers a more complete behavior profile of each user.

(267) The foregoing description, for purposes of explanation, used specific nomenclature to provide a thorough understanding of the invention. However, it will be apparent to one skilled in the art that the specific details are not required in order to practice the invention. In other instances, well known circuits and devices are shown in block diagram form in order to avoid unnecessary distraction from the underlying invention. Thus, the foregoing descriptions of specific embodiments of the present invention are presented for purposes of illustration and description. They are not intended to be exhaustive or to limit the invention to the precise forms disclosed, obviously many modifications and variations are possible in view of the above teachings. The embodiments were chosen and described in order to best explain the principles of the invention and its practical applications, to thereby enable others skilled in the art to best utilize the invention and various embodiments with various modifications as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the following claims and their equivalents.

page 248 Attorney docket: **IMS 07-05-06**Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

Under the paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

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Application No 11/932,553			31, 2007		
or DISPLAYIN	NG TIME-VARYING INTERNET BA	ASED DATA USING	APPLICATION ME	DIA PACKAG	ES
Art Unit		Examiner			
2478		K.S. LIN			
This is a requ	uest under the provisions of 37 CFR 1.136(a	a) to extend the period for	filing a reply in the abo	ve identified applic	cation.
The requeste	ed extension and fee are as follows (check ti	me period desired and er	ter the appropriate fee	below):	
_	1	<u>Fee</u>	Small Entity Fee		
	One month (37 CFR 1.17(a)(1))	\$150	\$75	\$	
	Two months (37 CFR 1.17(a)(2))	\$570	\$285	\$	
$\boxtimes$	Three months (37 CFR 1.17(a)(3))	\$1,290	\$645	\$	1,290.00
	Four months (37 CFR 1.17(a)(4))	\$2,010	\$1,005	\$	
	Five months (37 CFR 1.17(a)(5))	\$2,730	\$1,365	\$	
Apr	olicant claims small entity status. See 37 CF	R 1.27.			
Пас	heck in the amount of the fee is enclosed.				
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\*Total of 1 forms are submitted.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Application Serial No.: 11/932,553 Attorney Docket No.: 043311-0381668

Supplemental Information Disclosure Statement

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: John Albert KEMBEL et al. CONFIRMATION No.: 2604

SERIAL NUMBER: 11/932,553 EXAMINER: Kenny S. Lin

FILING DATE: October 31, 2007 ART UNIT: 2478

FOR: DISPLAYING TIME-VARYING INTERNET BASED DATA USING APPLICATION

MEDIA PACKAGES

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicants hereby make of record in the above-identified application the documents listed on the attached Form PTO-1449.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

403833157v1 Page 1 of 2

Application Serial No.: 11/932,553 Attorney Docket No.: 043311-0381668

Supplemental Information Disclosure Statement

This Supplemental Information Disclosure Statement is being filed more than

three months after the filing date of a national application other than a continued

prosecution application under 37 C.F.R. § 1.53(d), or more than three months after the

date of entry of the national stage as set forth in 37 C.F.R. § 1.491 in an international

application, and after the mailing date of the first Office Action on the merits, but before

the mailing date of any of a Final Action under 37 C.F.R. § 1.113, a Notice of Allowance

under 37 C.F.R. § 1.311, or an action that otherwise closes prosecution in the

application. 37 C.F.R. § 1.97(c).

Please charge the \$180.00 fee [37 C.F.R. § 1.17(p)] to Deposit Account No.

03-3975. In addition, please charge any fee deficiencies, or credit any overpayment, to

Deposit Account No. 03-3975 as needed to ensure consideration of the Supplemental

Information Disclosure Statement.

It is respectfully requested that the Examiner initial and return a copy of the

enclosed PTO-1449.

Respectfully submitted,

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Dated: March 4, 2013

403833157v1 Page 2 of 2

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	1A	60/153,917	09/14/1999	Franco	et al.					
	1B	5,599,756	02/04/1997	Matsuo	(Hermann)	501	127			
	1C	5,682,511	10/28/1997	Sposate	o et al.	715	716			
	1D	5,745,718	04/28/1998	Cline et	: al.	715	777			
	1E	5,774,670	06/30/1998	Montull	i	395	200.57			
	1F	5,802,530	09/01/1998	Van Ho		715	513			
	1G	5,805,829	09/08/1998	Cohen		395	200.32			
	1H	5,818,446	10/06/1998	Bertran		715	746			
	11	5,835,088	11/10/1998		ainen, Jr.	715	803			
	1J	5,860,068	01/12/1999	Cook		705	26.81			
	1K	5,864,676	01/26/1999	Beer et		709	229			
	1L	5,864,868	01/26/1999	Contois		707	104			
	1M	5,896,533	04/20/1999	Ramos		395	680			
	1N	5,918,237	06/29/1999	Montalk		715	206			
	10	5,919,247	07/06/1999	Van Ho		709	217			
	1P	5,922,044	07/13/1999	Banthia		709	203			
	1Q	5,923,845	07/13/1999	Kamiya		709	206			
	1R	5,923,885	07/13/1999	Johnso		717	176			
	1S	5,959,621	09/28/1999	Nawaz		345	329			
	1T	5,966,715	10/12/1999	Sweene		707	203			
	10	5,973,692	10/26/1999	Knowlto		345	348			
	1V	5,974,546	10/26/1999	Anders		713	2			
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	1Z	WILLIAMS, Marg The Washington		ce Calen	dars: The Web	's Growing Date B	ase", Novem	ber 30,	1998,	
	1AA	BOTT, Ed., <i>et al.</i> February 17, 199	, "Special Editi		Windows 95 w	ith Internet Explor	er 4.0", Publi	sher: C	Que,	
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

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					043311-0381	1668	11/932,553	}		
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					John Albert KEMBEL et al.					
					Filing Date		Group Art Un	it		
Form PTO-14	49				October 31, 2	2007	2478			
			U.S. P	ATENT	DOCUMENT	S				
*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE		NAME	CLASS	SUBCLASS	FILING I	DATE IF	
	2A	6,061,695	05/09/2000	Slivka	et al.	707	513			
	2B	6,088,717	07/11/2000	Reed e		709	201			
,	2C	6,091,411	07/18/2000	Straub		715	747			
	2D	6,091,412	07/18/2000	Simono		345	335			
	2E	6,104,391	08/15/2000	Johnsto	n, Jr. et al.	715	745			
	2F	6,105,063	08/15/2000	Hayes,	Jr.	709	223			
	2G	6,115,040	09/05/2000	Bladow	et al.	345	335			
	2H	6,192,407	02/20/2001	Smith e	t al.	709	229			
	21	6,215,490	04/10/2001	Kaply		715	788			
	2J	6,240,555	05/29/2001	Shoff e		725	110			
	2K	6,278,449	08/21/2001	Sugiart		715	826			
	2L	6,286,034	09/04/2001	Sato et		709	204			
	2M	6,289,362	09/11/2001	Van De		715	273			
	2N	6,292,186	09/18/2001	Lehmai		345	335			
	20	6,317,759	11/13/2001	Osmon		715	513			
	2P	6,339,826	01/15/2002		Jr. et al.	713	166			
	2Q	6,342,907	01/29/2002	Petty et		345 715	762			
	2R 2S	6,370,552	04/09/2002 04/16/2002	Bloomfi Webste		707	513 513			
	25 2T	6,374,273	05/07/2002	Wisere		707	513			
	2U	6,385,596 6,401,134	06/04/2002	Razavi		709	310			
	2V	6,414,677	07/02/2002		son et al.	345	419			
	2W	6,434,598	08/13/2002	Gish	on et al.	709	203			
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			1				1	Transl	ations	
	REF	DOCUMENT NO.	DATE		COUNTRY	CLASS	SUBCLASS	YES	NO	
	2X	OTHER DOCUM						594-50	 96	
		McFEDRIES, Pa	ul "Windows s	8 Unlea	shed" Publishe	or: Sams Publishi	, 1990, payes na May 12 10	198 na	ges	
	- '	xix, xx, xxi, xxiv, 2 899, 900, and 90	2-4, 44-46, 69,							
	2Z	McCRICKARD, E	D. Scott, <i>et al.</i> ,			Awareness Usin bruary 14-18, 200		idgets"	,	

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

					Docket Number			Application N	umber	
INFOR	ΡΜΔΤ	ION DISCLOSU	IRE CITATIO	)N	043311-0381668 11/932,553					
""		N AN APPLICA		<b>/14</b>	Applicant(s)					
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					Filing Date			Group Art Un	it	
Form PTO-144	<b>1</b> 9				October 31, 2	2007		2478		
			U.S. P	ATENT	DOCUMENT	s				
*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE		NAME		CLASS	SUBCLASS	FILING I	DATE IF
	3A	6,452,609	09/17/2002	Katinsk	v et al.		345	716		
	3B	6,453,348	09/17/2002	Barnier			709	225		
	3C	6,476,833	11/05/2002	Moshfe			345	854		
	3D	6,487,663	11/26/2002	Jaisimh			713	193		
	3E	6,496,203	12/17/2002		ont et al.		345	762		
	3F	6,510,466	01/21/2003	Cox et			709	229		
	3G	6,606,657	08/12/2003	Zilberst	ein et al.		709	224		
	3H	6,662,341	12/09/2003	Cooper	et al.		715	513		
	31	6,681,368	01/20/2004	Kawaba			715	501.1		-
	3J	6,687,745	02/03/2004	Franco			709	219		
	3K	6,691,130	02/10/2004	Kawasa	aki et al.		707	102		
	3L	6,694,484	02/17/2004	Mueller			715	513		-
	ЗМ	6,718,015	04/06/2004	Berstis			379	88.17		
	3N	6,724,403	04/20/2004	Santoro	et al.		345	765		
	30	6,757,716	06/29/2004	Blegen	et al.		709	217		
	3P	6,766,454	07/20/2004	Riggins			713	185		
	3Q	6,819,343	11/16/2004	Sobesk			715	848		
	3R	6,834,302	12/21/2004	Harvell			709	224		
	3S	6,879,994	04/12/2005	Matslia	ch et al.		709	204		
	3T	7,039,857	05/02/2006	Beck et	t al.		715	500.1		
	3U	7,039,859	05/02/2006	Sundar	esan		715	513		
	3V	7,076,737	07/11/2006	Abbott	et al.		715	744		
	3W	7,222,303	05/22/2007	Oren et	: al.		715	744		
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		THER DOCUM	•							
	3X	"Streaming Interr	net Technologie	es", <u>PR I</u>	<u>Newswire,</u> May	18, 19	99, 2 pages			
	3Y	"NewsEdge Deliv 1998, 3 pages.								
	3Z	McCARTNEY, Te						of Distributed	Multin	nedia

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

					Docket Number		Application N	umber	
INFO	384 A T	TON DICCLOSI	IDE CITATIO	<b></b>	043311-038166	8	11/932,553	3	
INFOR		ION DISCLOSE		N	Applicant(s)				
	ır	N AN APPLICA	IION		John Albert KEMBEL et al.				
					Filing Date		Group Art Un	it	
Form PTO-14	<b>4</b> 0				October 31, 200	 17	2478		
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			U.S. P	ATENT	DOCUMENTS				
*EXAMINER INITIAL	REF	DOCUMENT NO.	DATE		NAME	CLASS	SUBCLASS	FILING D	
	4A	7,574,649	08/11/2009	Safars	et al.	715	200		
	4B	7,756,967	07/13/2010	Kembe	l et al.	709	224		
	4C	7,660,868	02/09/2010	Kembe	l et al.	709	217		
	4D	7,792,947	09/07/2010	Kembe	l et al.	709	224		
	4E	8,020,083	09/13/2001	Kembe	l et al.	715	201		
	4F	8,346,887	01/01/2013	Kembe	l et al.	709	217		
	4G	2001/0042107	11/15/2001	Palm		709	218		
	4H	2002/0065896	05/30/2002	Burako	ff et al.	709	206		
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	4J	2002/0089526	07/11/2002	Buxton	et al.	345	700		
	4K	2002/0089536	07/11/2002	Dang		345	749		
	4L	2002/0091697	07/11/2002	Huang	et al.	707	10		
	4M	2002/0130900	09/19/2002	Davis		345	744		
	4N	2002/0161879	10/31/2002	Richard	1	709	223		
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	4P	2004/0041836	03/01/2004	Zaner	et al.	345	751		
	4Q	2004/0165007	08/26/2004	Shafror	1	715	781		
	4R	2005/0273718	12/08/2005	Naas		715	745		
	4S	2008/0134018	06/05/2008	Kembe	l et al.	715	234		
	4T	2008/0163202	07/03/2008	Kembe	l et al.	717	178		
	4U	2008/0229217	09/18/2008	Kembe	l et al.	715	760		
	4V	2008/0040681	02/14/2008	Synste	ien et al.	715	765		
	4W	2010/0235757	09/16/2010	Kembe	l et al.	715	745		
	4X	2010/0257442	10/07/2010	Kembe	l et al.	715	234		
	4Y	2012/0117479	05/10/2012	Kembe	l et al.	715	736		
			FOREIGN	N PATE	NT DOCUMENT	s			
	REF	DOCUMENT NO.	DATE		COUNTRY	CLASS	SUBCLASS	Transla YES	ations NO
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	C	THER DOCUM	ENTS (Inclu	l ding Au	thor, Title, Date,	Pertinent Pag	es, Etc.)		
	4Z				y <u>CNET News.con</u> larimbas-Castanet				
	4AA	WILLIAMS, Denr	nis, "Application	n Deliver	y on a Grand Scal world.com/reviews	e", <u>Network Wo</u>	rld Fusion, M	arch 22	

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Electronic Patent Application Fee Transmittal									
Application Number:	11	932553							
Filing Date:	31	-Oct-2007							
Title of Invention:	Displaying Time-Varying Internet Based Data Using Application Media Packages  John Albert Kembel								
First Named Inventor/Applicant Name:	Jol	nn Albert Kembel							
Filer:	D. Benjamin Esplin/Maxine Cutietta								
Attorney Docket Number:	Attorney Docket Number: 043311-0381668								
Filed as Large Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Claims in Excess of 20		1202	2	62	124				
Miscellaneous-Filing:									
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									
Extension-of-Time:									

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	1253	1	1290	1290
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
Total in USD (\$)				1594

Electronic Ack	Electronic Acknowledgement Receipt					
EFS ID:	15114549					
Application Number:	11932553					
International Application Number:						
Confirmation Number:	2604					
Title of Invention:	Displaying Time-Varying Internet Based Data Using Application Media Packages					
First Named Inventor/Applicant Name:	John Albert Kembel					
Customer Number:	909					
Filer:	D. Benjamin Esplin/Maxine Cutietta					
Filer Authorized By:	D. Benjamin Esplin					
Attorney Docket Number:	043311-0381668					
Receipt Date:	04-MAR-2013					
Filing Date:	31-OCT-2007					
Time Stamp:	22:38:23					
Application Type:	Utility under 35 USC 111(a)					

# **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1594
RAM confirmation Number	9229
Deposit Account	033975
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

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Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		0381668_Response_Filed03042	112011	yes	16
·		013.pdf	5a27cddb00c67b1a68c467021cb3909de07 3ca19	,	
	Multip	part Description/PDF files in .:	zip description		
	Document De	scription	Start	Ei	nd
	Amendment/Req. Reconsiderati	ion-After Non-Final Reject	1		1
	Specificat	2		2	
	Drawings-only black and	white line drawings	3		3
	Claims		4	1	10
	Applicant Arguments/Remarks	Made in an Amendment	11	1	16
Warnings:			,		
Information:					
2	Drawings-only black and white line	0381668_ReplacementSheets_	921453	no	37
	drawings	Filed03042013.pdf	4df6c27230b51ec1e9e4ab50dde6ba89aad 8c397		
Warnings:					
Information:					
3	Specification	0381668_SubSpecCLEAN_Filed	397515	no	92
		03042013.pdf	729bb2979247c465ccff8d5715246f5c54f32 a7a		
Warnings:					
Information:		<u> </u>			
4	Specification	0381668_SubSpecMARKEDUP_ Filed03042013.pdf	1123853	no	248
		Fileu03042013.pui	afa193eff9629cf9acea067927107dd61cad6 3b0		
Warnings:					
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5	Extension of Time	0381668_EOT_Filed03042013.	32481	no	1
		ραι	525fd8694895a554163a75e20b45181e6e2 64a9c		
Warnings:					
Information:					

Information Disclosure Statement (IDS)   O381668_IDSPTO1449.pdf   766027   Miscrept Procession Statement (IDS)   Form (SB08)   O381668_IDSPTO1449.pdf   766027   Miscrept Procession Statement (IDS)   Miscrept Procession S		i				
Information	6	Transmittal Letter	0381668_SIDS.pdf	9d21448602784bbef7e60a88e0af4578be8	no	2
Information	Warnings:					<u> </u>
Information Disclosure Statement (IDS)   O381668_IDSPT01449.pdf	Information:					
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20	Non Patent Literature	Streaming_Internet_Technolog	475620	no	2
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21	Non Patent Literature	NewsEdge_Delivers.pdf	482899	no	3
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23	Non Patent Literature	Microsoft_Eyes_Marimbas_Cas tanet.pdf	473829	no	2

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24	Non Patent Literature	WILLIAMS_NetworkWorldFusio	562514	no	4					
21	World atent Enclature	n.pdf	2509968459c3858af3e85536d83ae7e6fe27 246b	110						
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25	Fee Worksheet (SB06)	fee-info.pdf	33954	no	2					
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PATENT APPLICATION FEE DETERMINATION RECORD  Substitute for Form PTO-875					Application or Docket Number 11/932,553		Filing Date 10/31/2007		To be Mailed			
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL ENTITY 🛛			OTHER THAN OR SMALL ENTITY		
	FOR	N	JMBER FIL	.ED NUM	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
BASIC FEE (37 CFR 1.16(a), (b), or (c))		or (c))	N/A		N/A		N/A	75	1	N/A		
SEARCH FEE (37 CFR 1.16(k), (i), or (m))			N/A		N/A		N/A		1	N/A		
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))		E	N/A		N/A		N/A		1	N/A		
TOTAL CLAIMS (37 CFR 1.16(i))			minus 20 =				X \$ =		OR	X \$ =		
INDEPENDENT CLAIMS (37 CFR 1.16(h))		S	minus 3 = *				X \$ =			X \$ =		
	APPLICATION SIZE (37 CFR 1.16(s))	shee is \$25 addit	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).									
	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))											
* If	* If the difference in column 1 is less than zero, enter "0" in column 2.						TOTAL	75		TOTAL		
APPLICATION AS AMENDED – PART II  (Column 1) (Column 2) (Column 3)							OTHER THAN SMALL ENTITY OR SMALL ENTITY					
LN	03/04/2013	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
AMENDMENT	Total (37 CFR 1.16(i))	* 24	Minus	** 22	= 2		X \$31 =	62	OR	X \$ =		
S	Independent (37 CFR 1.16(h))	* 2	Minus	***3	= 0		X \$125 =	0	OR	X \$ =		
\ME	Application Size Fee (37 CFR 1.16(s))											
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR			
							TOTAL ADD'L FEE	62	OR	TOTAL ADD'L FEE		
		(Column 1)		(Column 2)	(Column 3)							
AMENDMENT		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)	
	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =		
	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =		
	Application Si	ize Fee (37 CFR 1	.16(s))						1			
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))							OR				
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
** If ***	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
11/932,553	10/31/2007	John Albert Kembel	043311-0381668	2604
	7590 09/04/201 hrop Shaw Pittman, LL		EXAM	IINER
PO Box 10500 McLean, VA 2	•		LIN, KE	ENNY S
McLean, VA 2	2102		ART UNIT	PAPER NUMBER
			2478	
			NOTIFICATION DATE	DELIVERY MODE
			09/04/2012	ELECTRONIC

# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket\_ip@pillsburylaw.com margaret.drosos@pillsburylaw.com

	Application No.	Applicant(s)
Office Astion Commence	11/932,553	KEMBEL ET AL.
Office Action Summary	Examiner	Art Unit
	KENNY LIN	2478
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet v	vith the correspondence address
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUN 36(a). In no event, however, may a will apply and will expire SIX (6) MO cause the application to become A	ICATION.  reply be timely filed  NTHS from the mailing date of this communication.  ABANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) filed on 13 Ja	<u>ınuary 2011</u> .	
	action is non-final.	
3) An election was made by the applicant in respo	onse to a restriction requ	irement set forth during the interview on
; the restriction requirement and election	have been incorporated	into this action.
4) Since this application is in condition for allowan	ice except for formal ma	tters, prosecution as to the merits is
closed in accordance with the practice under E	x parte Quayle, 1935 C.	D. 11, 453 O.G. 213.
Disposition of Claims		
5) ☑ Claim(s) <u>1-22</u> is/are pending in the application.		
5a) Of the above claim(s) is/are withdraw	vn from consideration.	
6) Claim(s) is/are allowed.		
7) Claim(s) <u>1-22</u> is/are rejected.		
8) Claim(s) is/are objected to.		
9) Claim(s) are subject to restriction and/or	election requirement.	
Application Papers		
10) ☐ The specification is objected to by the Examiner	r.	
11) The drawing(s) filed on is/are: a) acce	epted or b) 🔲 objected to	by the Examiner.
Applicant may not request that any objection to the o	drawing(s) be held in abeya	ınce. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correcti	on is required if the drawing	g(s) is objected to. See 37 CFR 1.121(d).
12)☐ The oath or declaration is objected to by the Ex	aminer. Note the attache	ed Office Action or form PTO-152.
Priority under 35 U.S.C. § 119		
13) ☐ Acknowledgment is made of a claim for foreign a) ☐ All b) ☐ Some * c) ☐ None of:		§ 119(a)-(d) or (f).
1. Certified copies of the priority documents		
2. Certified copies of the priority documents		
3. Copies of the certified copies of the prior	· •	n received in this National Stage
application from the International Bureau		t raggived
* See the attached detailed Office action for a list of	ות נוופ certilled copies no	LIGOGIVEU.
Attachment(s)		
1) Notice of References Cited (PTO-892)	4) $\prod$ Interview	Summary (PTO-413)
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	Paper No	o(s)/Mail Date
Information Disclosure Statement(s) (PTO/SB/08)     Paper No(s)/Mail Date	5) Motice of 6) Other:	Informal Patent Application

U.S. Patent and Trademark Office PTOL-326 (Rev. 03-11)

Office Action Summary

Part of Paper No./Mail Date 20120829

Application/Control Number: 11/932,553 Page 2

Art Unit: 2478

### **DETAILED ACTION**

1. Claims 1-22 are presented for examination.

#### Continued Examination Under 37 CFR 1.114

2. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 1/13/2011 has been entered.

#### Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re* 

Application/Control Number: 11/932,553 Page 3

Art Unit: 2478

Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

1. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-32 of U.S. Patent Application 11/932,286 which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

2. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-5, 7-12, 17, 19-25 of U.S. Patent Application 11/932,392 which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Art Unit: 2478

3. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type

Page 4

double patenting as being unpatentable over claims 1-25 of U.S. Patent Application 11/932,427

which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting

claims have not in fact been patented.

4. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type

double patenting as being unpatentable over claims 1-20 of U.S. Patent Application 11/932,630

which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting

claims have not in fact been patented.

5. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type

double patenting as being unpatentable over claims 1-20 of U.S. Patent Application 11/932,692

which is currently pending.

This is a provisional obviousness-type double patenting rejection because the conflicting

claims have not in fact been patented.

Claim Rejections - 35 USC § 103

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

907

Application/Control Number: 11/932,553 Page 5

Art Unit: 2478

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

- 5. Claims 1-5, 7-22 are rejected under 35 U.S.C. 103(a) as being unpatentable by U.S. 5,838,906 by Doyle et al in view of U.S. Patent No. 5,375,199 by Harrow et al.
- 6. Regarding claim 1, Doyle discloses the claimed invention including a client computing device configured to access content over a network (col.3, line 60-col.4, line 32, col.4, line 66-col.5, line 13), the client computing device comprising:
  - a. electronic storage configured to store an application media package template associated with an application media package (col.9, lines 15-23), the application media package template having therein a definition of a graphical user interface within which content in a web browser-readable language may be presented on a display associated with the client computing device (col.9, lines 15-col.10, line 4), wherein the graphical user interface lacks user controls for manual network navigation with the application media package (col.9, line 50-col.10, line 10, col.16, lines 9-28); and
  - b. one or more processors configured to execute one or more computer program modules, the one or more computer program modules being configured to access the application media package defined by the application media package template (col.9, lines 24-65), wherein accessing the application media package defined by the application media package template results in:

i. transmission, <u>over a network</u> to a web server <u>at a network location</u>, of a
 content request for content to be displayed in the graphical user interface
 defined by the application media package template (col.9, line 50-col.10,
 line 4);

Page 6

- ii. reception, <u>over the network</u> from the web server <u>at the network location</u>, of content transmitted from the web server in response to the content request (col.9, line 50-col.10, line 4);
- iii. presentation, on the display, of an the graphical user interface defined by the application media package template wherein the lack of user controls for manually navigating a network with the application media package prevents manual user selection of the web server and prevents manual user selection of the network location (col.9, line 50-col.10, line 10, col.16, lines 9-28);
- iv. presentation, on the display in the graphical user interface defined by the application media package, of the content received from the web server (col.9, lines 1-13, line 50-col.10, line 10, col.16, lines 9-28); and
- v. responsive to reception of one or more elements included in the received content, an element for modifying a feature of said graphical user interface defined by the application media package template in accordance with a modification corresponding to the received one or more elements in response to variations in said content (col.9, line 50-col.10, line 10, col.14, lines 43-67, col.16, lines 9-22).

7. The Doyle reference fails to teach time varying content. However, in analogous art, the Harrow reference teaches displaying time varying content (Harrow: col.12, lines 11-17) in order to observe the information historically and interactively to better understand it (Harrow: col.2, lines 48-68). It would have been obvious to one of ordinary skill in the art at the time of the invention to create the invention of Doyle to include time varying data/object as taught by

Harrow in order to observe the information historically and interactively to better understand it

Page 7

- (Harrow: col.2, lines 48-68).
- 8. Regarding claim 2, the client computing device of claim 1, wherein said modification corresponding to the received one or more elements comprises a modification to an image defined by the application media package template as forming a part of said graphical user interface (Doyle: col.9, line 50-col.10, line 10, col.16, lines 9-28).
- 9. Regarding claim 3, the client computing device of claim 1, wherein the correspondence between the modification and the received one or more elements is defined by the application media package template (Doyle: col.9, line 50-col.10, line 10, col.16, lines 9-28).
- 10. Regarding claim 4, the client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises adjusting a size of a frame of the graphical user interface (Harrow: col.10, lines 15-54).

Art Unit: 2478

- 11. Regarding claim 5, the client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises changing a color of a frame border or background of the graphical user interface (Doyle: col.9, line 50-col.10, line 10, col.16, lines 9-28).
- 12. Regarding claim 7, the client computing device of claim 1, wherein the application media package template is included within a single markup language file (Doyle: col.9, lines 59-65; col.12, lines 54-65).
- 13. Regarding claim 8, the client computing device of claim 1, wherein one or more computer program modules are configured such that the time-varying content is received from the web server over the network according to the TCP/IP protocol (Doyle: col.5, lines 24-38; col.9, lines 24-39, 46-58).
- 14. Regarding claim 9, the client computing device of claim 1, wherein the <u>network location</u> corresponds to a uniform resource locator included in the application media package template (Doyle: col.5, lines 24-38; col.9, lines 46-58).
- 15. Regarding claim 10, the client computing device of claim 9, wherein the one or more computer program modules are further configured such that accessing the application media

Application/Control Number: 11/932,553 Page 9

Art Unit: 2478

package defined by the application media package template results in transmission of the content request to the uniform resource locator included in the application media package template, and the content request being transmitted according to the TCP/IP protocol over the network (Doyle: col.5, lines 24-38; col.9, lines 46-65; col.12, lines 54-65).

- 16. Regarding claim 11, the client computing device of claim 1, wherein the one or more computer program modules are further configured:
  - a. to transmit, over the network to an application media package server, a request for the application media package template (Doyle: col.9, lines 15-23);
  - b. to receive, from the application media package server over the network, the application media package template (Doyle: col.9, lines 15-23); and
  - c. to store the application media package template to the electronic storage (Doyle:
     col.9, lines 24-28).
- 17. Claims 12-16, 18-22 are rejected for the same reasons presented above.
- 18. Claims 6 and 17 are rejected under 35 U.S.C. 103(a) as being unpatentable over Doyle and Harrow as applied to claims 1-5, 7-16 and 18-22 above, and further in view of US 6,061,696 by Lee et al.
- 19. Regarding claim 6, Doyle and Harrow taught the invention as claimed in claim 1. Doyle further taught the objects to include text (col.2, lines 14-16). Doyle and Harrow did not

Application/Control Number: 11/932,553 Page 10

Art Unit: 2478

specifically teach the graphical user interface to comprise text modifying feature. Lee taught a computer program modules to comprise modifying text of the graphical user interface in a manner defined by the application media package template (col.7, lines 36-65, col.11, lines 6-25). It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the teaching of Doyle, Harrow and Lee to include object creator tools and object editor tools to allow user to add or modify objects to include image, text, applets, plug-ins, buttons and whitespace objects.

20. Claim 17 are rejected for the same reasons presented in claim 6 rejection above.

### Response to Arguments

21. Applicant's arguments with respect to claims 1-22 have been considered but are moot because the arguments do not apply to any of the references being used in the current rejection.

## Conclusion

- 22. A shortened statutory period for reply to this Office action is set to expire **Three**MONTHS from the mailing date of this action.
- 23. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kenny Lin whose telephone number is (571) 272-3968.

Art Unit: 2478

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Derrick Ferris can be reached on (571) 272-3123. The fax phone number for the organization where this application or proceeding is assigned is (571) 273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

/Kenny S Lin/ Primary Examiner, Art Unit 2478 August 29, 2012

Notice of References Cited	Application/Control No. 11/932,553	Applicant(s)/Pater Reexamination KEMBEL ET AL.	nt Under
Notice of flerefelices offed	Examiner	Art Unit	
	KENNY LIN	2478	Page 1 of 1

### U.S. PATENT DOCUMENTS

_				C.C. I ATENT BOCOMENTO	
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
*	Α	US-6,061,696	05-2000	Lee et al.	715/209
*	В	US-5,838,906	11-1998	Doyle et al.	715/205
	С	US-			
	D	US-			
	Е	US-			
	F	US-			
	G	US-			
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	K	US-			
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### FOREIGN PATENT DOCUMENTS

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### NON-PATENT DOCUMENTS

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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)

Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20120829



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

# **CONFIRMATION NO. 2604**

SERIAL NUM	BER	FILING or DATI			CLASS	GR	OUP ART	UNIT	ATTC	RNEY DOCKET NO.
11/932,55	3	10/31/2			709		2478		043	3311-0381668
		RULI	E							
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# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	585	modif\$5 near6 text near6 object	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/29 12:34
L2	131	L1 and GUI	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/29 12:34
L3	6	L2 and within near6 browser	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/29 12:34
L4	14	L2 and (@AD < "19990426" or @PRAD < "19990426")	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/29 12:36
L5	2	"6452609".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/29 12:56
S1	4	("6101510" "5375199").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/28 11:56
S2	2	("6041359").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/28 13:24
S3	5	("6816887" "7016937").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/28 13:30
S4	2	("20040072592").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/28 15:58
S5	2	("5838906").pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2012/08/29 10:58

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# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
11932553	KEMBEL ET AL.
Examiner	Art Unit
   RENTAMINI B BRUCKART	2446

	SEARCHED		
Class	Subclass	Date	Examiner
709	217	5/13/2010	brb
709	217	9/7/2010	brb

SEARCH NOTES		
Search Notes	Date	Examiner
Text search of East (USPat, USPG_Pub, JPO, EPO, Derwent, IBM_TDB) see attached history	5/13/2010	brb
Inventor search and limited class search of 709/203 and 715/502	5/13/2010	brb
Text search of East (USPat, USPG_Pub, JPO, EPO, Derwent, IBM_TDB) see attached history	9/7/2010	brb
Inventor search and limited class search of 709/203 and 715/502	9/7/2010	brb
EAST keyword search	8/29/12	KL

Subclass	Date	Examiner
	Subclass	Subclass Date

PTO/SB/30 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Olider the Faperwork Reduction Act of 1995. No persons are regu	fred to respond to a collection of inform	ation unless it contains a valid ONB control number.
Request	Application Number	11/932,553
for Continued Examination (RCE)	Filing Date	October 31, 2007
Transmittal	First Named Inventor	JOHN ALBERT KEMBEL
Address to: Mail Stop RCE	Art Unit	2446
Commissioner for Patents P.O. Box 1450	Examiner Name	BRUCKART, Benjamin R.
Alexandria, VA 22313-1450	Attorney Docket Number	043311-0381668

This is a Request for Continued Examination (RCE) under 37 CFR 1 .114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. Submission required under 37 CFR 1.114 Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).										
a. Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.										
i. Consider the arguments in the Appeal Brief or Reply Brief previously filed on										
ii. Other										
b. Enclosed										
i. Amendment/Reply iii. Info	ormation Disclosure									
ii. Affidavit(s)/ Declaration(s) iv. Oth	ner37 CFR 1.136	ctension of Time Under 5(a)								
2. Miscellaneous										
Suspension of action on the above-identified application is requested under 37 CFR	1 103(c) for a									
a period of months. (Period of suspension shall not exceed 3 months; Fr.	, ,	i) considerati								
b. Other	ee under 37 CFR 1 17(	i) required)								
3. Fees The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the The Director is hereby authorized to charge the following fees any under	he RCE is filed. payment of fees or	credit any overpayments to								
a. Deposit Account No. <u>033975</u> .										
i. RCE fee required under 37 CFR 1.17(e)										
ii Extension of time fee (37 CFR 1.136 and 1.17)										
iii Other										
b. Check in the amount of \$enc	losed									
c. Payment by credit card (Form PTO-2038 enclosed)										
WARNING: Information on this form may become public. Credit card information sho card information and authorization on PTO-2038.	ould not be include	d on this form. Provide credit								
SIGNATURE OF APPLICANT, ATTORNEY, OR AGE	NT REQUIRED									
Signature	Date	January 13, 2011								
Name (Print/Type) D. Benjamin Esplin	Registration No.	58297								
CERTIFICATE OF MAILING OR TRANSMIS	SSION									
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient p addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsim Office on the date shown below.	I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark									
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This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THE ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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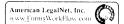
Approved for use through 07/31/2012 OMB 0651-0031

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PETITION FOR EXTENSION OF TIME UNDE	Docket Number (Optional) 043311-0381668			
(Fees pursuant to the Consolidated Appropriations				
Application Number 11/932,553	Filed October 31, 2007			
For DISPLAYING TIME-VARYING INTERNET B	ASED DATA USING	APPLICATIO	N MEDIA PACK	AGES
Art Unit 2446		Examiner BF	RUCKART, Benj	amin R.
This is a request under the provisions of 37 CFR 1.13 application.				
The requested extension and fee are as follows (chec			propriate fee belo	w):
	<u>Fee</u> <u>Sn</u>	nall Entity Fee		
One month (37 CFR 1.17(a)(1))	\$130	\$65	\$	65.00
Two months (37 CFR 1.17(a)(2))	\$490	\$245	\$	
Three months (37 CFR 1.17(a)(3))	\$1110	\$555	\$	
Four months (37 CFR 1.17(a)(4))	\$1730	\$865	\$	
Five months (37 CFR 1.17(a)(5))	\$2350	\$1175	\$	
Applicant claims small entity status. See 37 CFR	R 1.27.			
A check in the amount of the fee is enclosed	d.			
Payment by credit card. Form PTO-2038 is	attached.			
The Director has already been authorized to	o charge fees in this a	pplication to a	Deposit Accou	nt.
The Director is hereby authorized to charge Deposit Account Number 033975.	any fees which may b	oe required, o	r credit any ovei	rpayment, to
WARNING: Information on this form may become provide credit card information and authorization of	oublic. Credit card inform on PTO-2038.	ation should no	t be included on th	is form.
I am the applicant/inventor.				
assignee of record of the entire i Statement under 37 CFR 3.7				
attorney or agent of record. Regi	stration Number <u>5829</u>	<u>7</u>		
attorney or agent under 37 CFR Registration number if acting und				
To Bentil		I.	anuani 12, 2011	
Signature		J	anuary 13, 2011 Date	
D. Benjamin E¥plin  Typed or printed name			(858) 847-4126 Telephone Number	,
	tina intervent and the state of		,	
NOTE: Signatures of all the inventors or assignees of record of the en signature is required, see below.	are atterest or their representat	ive(s) are required.	Supmit multiple forms	if more than one
Total of <u>1</u> forms are submitted.				
This collection of information is required by 37 CFR 1 136(a). The information	mation is required to obtain or r	otain a honofit by th	o public which is to file	/and by the

USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Attorney Docket: 043311-0381668

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE PATENT

JOHN ALBERT KEMBEL, et al.

APPLICATION OF

11/932,553

FILING DATE:

October 31, 2007

ART UNIT :

SERIAL NO. :

2446

EXAMINER:

BRUCKART, BENJAMIN R.

FOR:

DISPLAYING TIME-VARYING INTERNET BASED DATA USING

APPLICATION MEDIA PACKAGES

# **RESPONSE**

### Mail Stop RCE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed **September 13, 2010** ("Office Action"), please consider the following amendments and remarks in the above-identified application:

Amendments to the Claims are reflected in the listing of claims beginning on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.

It is believed that no extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned for under 37 C.F.R. § 1.136(a), and any fees required therefore (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 033975 (Ref. No. 043311-0381668).

### CLAIM AMENDMENTS

This **listing of claims** will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) A client computing device configured to access content over a network, the client computing device comprising:

electronic storage configured to store an application media package template associated with an application media package, the application media package template having therein a definition of a graphical user interface within which time-varying content in a web browser-readable language may be presented on a display associated with the client computing device, wherein the graphical user interface lacks user controls for manual network navigation with the application media package; and

one or more processors configured to execute one or more computer program modules, the one or more computer program modules being configured to access the application media package defined by the application media package template, wherein accessing the application media package defined by the application media package template results in:

transmission, <u>over a network</u> to a web server <u>at a network location over a network</u>, of a content request for content to be displayed in the graphical user interface defined by the application media package template;

reception, <u>over the network</u> from the web server <u>at the network location</u> <del>over the network</del>, of content transmitted from the web server in response to the content request, the content being time-varying;

presentation, on the display, of the graphical user interface defined by the application media package template, wherein the lack of user controls for manually navigating a network with the application media package prevents manual user selection of the web server and prevents manual user selection of the network location outside of any graphical user interface of any web browser application and without the utilization of any web browser application;

presentation, on the display in the graphical user interface defined by the

application media package, of the time-varying content received from the web server; and

responsive to reception of one or more elements included in the received time-varying content, modifying a feature of said graphical user interface defined by the application media package template in accordance with a modification corresponding to the received one or more elements.

- 2. (Previously Presented) The client computing device of claim 1, wherein said modification corresponding to the received one or more elements comprises a modification to an image defined by the application media package template as forming a part of said graphical user interface.
- 3. (Previously Presented) The client computing device of claim 1, wherein the correspondence between the modification and the received one or more elements is defined by the application media package template.
- 4. (Previously Presented) The client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises adjusting a size of a frame of the graphical user interface.
- 5. (Previously Presented) The client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises changing a color of a frame border or background of the graphical user interface.
- 6. (Previously Presented) The client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises modifying text of the graphical user interface in a manner defined by the application

media package template.

- 7. **(Previously Presented)** The client computing device of claim 1, wherein the application media package template is included within a single markup language file.
- 8. (Previously Presented) The client computing device of claim 1, wherein one or more computer program modules are configured such that the time-varying content is received from the web server over the network according to the TCP/IP protocol.
- 9. **(Currently Amended)** The client computing device of claim 1, wherein the <u>network location corresponds to application media package template further comprises</u> a uniform resource locator <u>included in the application media package template that points to the web server</u>.
- 10. (**Previously Presented**) The client computing device of claim 9, wherein the one or more computer program modules are further configured such that accessing the application media package defined by the application media package template results in transmission of the content request to the uniform resource locator included in the application media package template, and the content request being transmitted according to the TCP/IP protocol over the network.
- 11. **(Previously Presented)** The client computing device of claim 1, wherein the one or more computer program modules are further configured:

to transmit, over the network to an application media package server, a request for the application media package template;

to receive, from the application media package server over the network, the application media package template; and

to store the application media package template to the electronic storage.

12. **(Currently Amended)** A computer-implemented method of access content over a network on a client computing device, the client computing device having

electronic storage and one or more processors configured to execute one or more computer program modules, the client method comprising:

storing, to the electronic storage, an application media package template associated with an application media package, the application media package template having therein a definition of a graphical user interface within which time-varying content in a web browser-readable language may be presented on a display associated with the client computing device, wherein the graphical user interface lacks user controls for manual network navigation with the application media package;

accessing the application media package defined by the application media package template, wherein accessing the application media package defined by the application media package template results in:

transmission, over a network to a web server at a network location over a network, of a content request for content to be displayed in the graphical user interface defined by the application media package template;

reception, over the network from the web server at the network location ever the network, of content transmitted from the web server in response to the content request, the content being time-varying:

presentation, on the display, of the graphical user interface defined by the application media package template, wherein the lack of user controls for manually navigating a network with the application media package prevents manual user selection of the web server and prevents manual user selection of the network location outside of any graphical user interface of any web browser application and without the utilization of any web browser application;

presentation, on the display in the graphical user interface defined by the application media package, of the time-varying content received from the web server; and

responsive to reception of one or more elements included in the received time-varying content, modifying a feature of said graphical user interface defined by the application media package template in accordance with a modification corresponding to the received one or more elements.

KEMBEL, et al. - 11/932,553

Attorney Docket No.: 043311-0381668

13. **(Previously Presented)** The method of claim 12, wherein said modification corresponding to the received one or more elements comprises a modification to an image defined by the application media package template as forming a part of said graphical user interface.

- 14. **(Previously Presented)** The method of claim 12, wherein the correspondence between the modification and the received one or more elements is defined by the application media package template.
- 15. (**Previously Presented**) The method of claim 12, wherein modifying the feature of the graphical user interface comprises adjusting a size of a frame of the graphical user interface.
- 16. **(Previously Presented)** The method of claim 12, wherein modifying the feature of the graphical user interface comprises changing a color of a frame border or background of the graphical user interface.
- 17. **(Previously Presented)** The method of claim 12, wherein modifying the feature of the graphical user interface comprises modifying text of the graphical user interface in a manner defined by the application media package template.
- 18. (Previously Presented) The method of claim 12, wherein the application media package template is included within a single markup language file, and wherein storing the application media package template comprises storing the single markup language file.
- 19. **(Previously Presented)** The method of claim 12, wherein the time-varying content is received from the web server over the network according to the TCP/IP protocol.
  - 20. (Currently Amended) The method of claim 12, wherein the network

KEMBEL, et al. - 11/932,553

Attorney Docket No.: 043311-0381668

location corresponds to application media package template further comprises a uniform resource locator included in the application media package template that points to the web server.

- 21. (Previously Presented) The method of claim 20, wherein accessing the application media package defined by the application media package template results in transmission of the content request to the uniform resource locator included in the application media package template, and the content request being transmitted according to the TCP/IP protocol over the network.
- 22. **(Previously Presented)** The method of claim 12, further comprising: prior to storing the application media package template to the electronic storage, transmitting, over the network to an application media package server, a request for the application media package template; and

receiving, from the application media package server over the network, the single markup language file including the application media package template.

### REMARKS

By this paper, claims 1, 9, 12, and 20 are amended, and no claims are added or cancelled. Accordingly, claims 1-22 are all of the pending claims. Support for the amendments presented above is provided throughout the specification and claims as originally filed. Applicants expressly reserve the right to prosecute the subject matter of the unamended and/or cancelled claims, or any other subject matter supported by the Specification, in one or more continuation applications. In view of the foregoing amendments and the following remarks, reconsideration and allowance of all the pending claims is anticipated.

## **Double Patenting**

Claims 1-22 currently stand rejected on the ground of nonstautory obviousness-type double patenting as allegedly being unpatentable over each of (1) claims 1-32 of U.S. Patent Application No. 11/932,286, (2) claims 1-5, 7-12, 17, and 19-25 of U.S. Patent Application No. 11/932,392, (3) claims 1-25 of U.S. Patent Application No. 11/932,427, (4) claims 1-20 of U.S. Patent Application No. 11/932,630, and (5) claims 1-20 of U.S. Patent Application No. 11/932,692. Applicants traverse each of these rejections at least on the grounds that the claims of the cited applications do not teach or suggest all of the features of the claimed invention. Nevertheless, solely in an effort to expedite prosecution, Applicants will consider filing the appropriate Terminal Disclaimers should the present application be indicated as being otherwise in condition for allowance.

### Claim Rejections - 35 USC § 112

Claims 1 and 12 currently stand rejected under 35 U.S.C. § 112, second paragraph, as allegedly being indefinite. Without acknowledging the propriety of this rejection, Applicants have amended claims 1 and 12 to address the alleged deficiencies of the claim language in these claims. As such, the rejection of claims 1 and 12 under §

112, second paragraph, is believed to be moot and should be withdrawn.

## Claim Rejections – 35 USC § 103

Claims 1-22 currently stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over U.S. Patent No. 6,101,510 to Stone *et al.* ("Stone") in view of U.S. Patent No. 5,375,199 to Harrow *et al.* ("Harrow"). Applicants traverse this rejection at least on the grounds that the proposed combination of Stone and Harrow does not teach or suggest all of the features of the claimed invention. However, solely in an effort to expedite prosecution, Applicants have amended the claims to further clarify various aspects of the claimed invention.

For example, claim 1, as amended, recites *inter alia* the following features, which are not taught or suggested in the proposed combination of Stone and Harrow:

...electronic storage configured to store an application media package template... having therein a definition of a graphical user interface..., wherein the graphical user interface lacks user controls for manual network navigation with the application media package; and

one or more processors configured to execute one or more computer program modules... result[ing] in:

...presentation, on the display, of the graphical user interface defined by the application media package template, wherein the lack of user controls for manually navigating a network with the application media package prevents manual user selection of the web server and prevents manual user selection of the network location....

Independent claim 12, as amended, recites inter alia the following features:

...storing... an application media package template... having therein a definition of a graphical user interface..., wherein the graphical user interface lacks user controls for manual network navigation with the application media package; and

accessing the application media package... result[ing] in:

...presentation, on the display, of the graphical

user interface defined by the application media package template, wherein the lack of user controls for manually navigating a network with the application media package prevents manual user selection of the web server and prevents manual user selection of the network location....

The cited sections of Stone appear to teach a web browser control that can be embedded within an application so that accessing HTML pages in the application does not require the launch of a separate instance of a web browser [see, e.g., the Abstract and c. 2, l. 66-c. 3, l. 9]. However, there is no teaching in the cited sections of Stone that the presentation of the web browser control in the application should "lack [] user controls for manually navigating a network... [to] prevent[] manual user selection of the web server and prevents manual user selection of the network location". Instead, Stone appears to teach that the graphical user interface of the application should provide "user controls for manually navigating a network". For example, at column 2, lines 14-17; column 6, Table 1; column 7, Table 2; column 17, lines 45-48; and column 18, lines 65-67, Stone appears to teach that the user should be able to manually navigate the network with the web browser control via the user interface presented by the application.

The cited sections of Harrow teach displaying content within the user interface of a conventional web browser, such as Mosaic [see, e.g., FIG 9, and c. 16, II. 9-28]. Conventional web browsers have graphical user interfaces which permit manual network navigation (hence the name "web <u>browser</u>"). As such, the sections of Harrow relied on in the Office Action do not address the deficiencies of Stone set forth above with respect to claims 1 and 12.

Therefore, the cited sections of Stone and Harrow, alone or in combination, fail to teach or suggest the features of claims 1 and 12 reproduced above. For at least this reason the rejection of claims 1 and 12 under § 103 should be withdrawn.

Claims 2-11 and 13-22 depend from a corresponding one of claims 1 and 12. AS such, the rejection of claims 2-11 and 13-22 under § 103 should be withdrawn due to the dependency of these claims, as well as for the features that they recite individually.

## CONCLUSION

Having addressed each of the foregoing objections and rejections, it is respectfully submitted that a full and complete response has been made to the outstanding Office Action and, as such, the application is in condition for allowance. Notice to that effect is anticipated.

If the Examiner believes, for any reason, that personal communication will expedite prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

Date: <u>January 13, 2011</u> Respectfully submitted,

By: D. Benjamin Esplin

Reg. No. 58,297

Customer No. 00909 PILLSBURY WINTHROP SHAW PITTMAN LLP

1650 Tysons Boulevard McLean, Virginia 22102

619-234-5000

Electronic Patent Application Fee Transmittal								
Application Number:	11932553							
Filing Date:	31-	31-Oct-2007						
Title of Invention:	Displaying Time-Varying Internet Based Data Using Application Media Packages							
First Named Inventor/Applicant Name:	Joh	nn Albert Kembel						
Filer:	D. Benjamin Esplin/Robin Eschenbruecher							
Attorney Docket Number:	043311-0381668							
Filed as Small Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								
Extension - 1 month with \$0 paid		2251	1	65	65			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	2801	1	405	405
	Tot	al in USD	(\$)	470

Electronic Ack	knowledgement Receipt
EFS ID:	9229133
Application Number:	11932553
International Application Number:	
Confirmation Number:	2604
Title of Invention:	Displaying Time-Varying Internet Based Data Using Application Media Packages
First Named Inventor/Applicant Name:	John Albert Kembel
Customer Number:	00909
Filer:	D. Benjamin Esplin/Robin Eschenbruecher
Filer Authorized By:	D. Benjamin Esplin
Attorney Docket Number:	043311-0381668
Receipt Date:	13-JAN-2011
Filing Date:	31-OCT-2007
Time Stamp:	18:33:20
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$470
RAM confirmation Number	5525
Deposit Account	033975
Authorized User	

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Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

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# File Listing:

Document Number	Document Description File Nam		File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		RCE_EOT_Response.pdf	515258	yes	13
'		NCL_LOT_Response.put	7a420433b60ebfc6c1842975162e330a351 acb69	yes	13
	Multip	.zip description			
	Document De	Start	E	nd	
	Request for Continued E	1		1	
	Extension of	2	2		
	Amendment Submitted/Entere	3	3		
	Claims	4	4 9		
	Applicant Arguments/Remarks	Made in an Amendment	10		13
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	32438	no	2	
	Fee Worksheet (PTO-875) fee-info.pdf		86d486c03083bef32062595f346af5fcaaa05 278		
Warnings:					
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		Total Files Size (in bytes	): 54	47696	

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#### New Applications Under 35 U.S.C. 111

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### National Stage of an International Application under 35 U.S.C. 371

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### New International Application Filed with the USPTO as a Receiving Office

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PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							Application or Docket Number 11/932,553			To be Mailed
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL ENTITY 🛛				HER THAN
	FOR NUMBER FILED NUMBER EXTRA							FEE (\$)		RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A	۱	N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i),		N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			N/A	
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			x \$ =		OR	x \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			x \$ =		1	x \$ =	
	□APPLICATION SIZE FEE (37 CFR 1.16(s))  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))								1		
* If	the difference in col	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APP	LICATION AS (Column 1)	AMEND	DED - PART II (Column 2)	(Column 3)		SMAL	L ENTITY	OR		ER THAN ALL ENTITY
AMENDMENT	01/13/2011	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ME	Total (37 CFR 1.16(i))	* 22	Minus	** 22	= 0		X \$26 =	0	OR	x \$ =	
III	Independent (37 CFR 1.16(h))	* 2	Minus	***3	= 0		X \$110 =	0	OR	x \$ =	
\ME	Application Size Fee (37 CFR 1.16(s))										
1	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
_		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
MENT	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
DΜ	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
AMEND	Application S	ize Fee (37 CFR 1	.16(s))						1		
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						OR				
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

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# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/932,553	10/31/2007	043311-0381668	2604		
	7590 09/13/201 VINTHROP SHAW PI	EXAMINER			
P.O. BOX 1050 MCLEAN, VA	00	BRUCKART, BENJAMIN R			
MCLEAN, VA	22102	ART UNIT PAPER NUMBER			
		2446			
		MAIL DATE	DELIVERY MODE		
			09/13/2010	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)				
Office Action Comments	11/932,553	KEMBEL ET AL.				
Office Action Summary	Examiner	Art Unit				
	BENJAMIN R. BRUCKART	2446				
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address				
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be timulated and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date of this communication. D (35 U.S.C. § 133).				
Status						
1)⊠ Responsive to communication(s) filed on <u>30 A</u>	uaust 2010.					
	action is non-final.					
3) Since this application is in condition for allowar closed in accordance with the practice under E						
Disposition of Claims						
4) Claim(s) 1-22 is/are pending in the application. 4a) Of the above claim(s) is/are withdraw 5) Claim(s) is/are allowed. 6) Claim(s) 1-22 is/are rejected. 7) Claim(s) is/are objected to.	4) ☐ Claim(s) 1-22 is/are pending in the application. 4a) Of the above claim(s) is/are withdrawn from consideration. 5) ☐ Claim(s) is/are allowed. 6) ☐ Claim(s) 1-22 is/are rejected.					
Application Papers						
9)⊠ The specification is objected to by the Examine						
10)⊠ The drawing(s) filed on <u>31 October 2007</u> is/are:						
Applicant may not request that any objection to the						
Replacement drawing sheet(s) including the correct 11) The oath or declaration is objected to by the Ex						
Priority under 35 U.S.C. § 119						
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>						
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4) Interview Summary Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:	ate´.				

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Art Unit: 2446

**Detailed Action** 

Claims 1-22 are pending in this Office Action.

Claims 1-2 are amended.

Claims 3-22 are new.

**Applicant's invention as claimed**:

**Double Patenting** 

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned

940

Art Unit: 2446

with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

1. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-32 of U.S. Patent Application 11/932,286 which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

2. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-5, 7-12, 17, 19-25 of U.S. Patent Application 11/932, 392 which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

3. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-25 of U.S. Patent Application 11/932,427 which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Art Unit: 2446

4. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type

double patenting as being unpatentable over claims 1-20 of U.S. Patent Application 11/932,630

which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting

claims have not in fact been patented.

5. Claims 1-22 are provisionally rejected on the ground of nonstatutory obviousness-type

double patenting as being unpatentable over claims 1-20 of U.S. Patent Application 11/932,692

which is currently pending.

This is a <u>provisional</u> obviousness-type double patenting rejection because the conflicting

claims have not in fact been patented.

Claim Rejections - 35 USC § 112

The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the

subject matter, which the applicant regards as his invention.

Claims 1 and 12 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite

for failing to particularly point out and distinctly claim the subject matter which applicant

regards as the invention.

Claims 1 and 12 recite "without the utilization of any web browser application." This is

confusing because it contradicts the definition of a web browser application.

Microsoft computer Dictionary of 1997 defines a web browser as "a client application

that enables a user to view HTML documents on the World Wide Web, another network or the

942

Art Unit: 2446

user's computer; follow the hyperlinks among them; and transfer files. Text-based Web browsers, such as Lynx, can server users with shell accounts but show only the text elements of an HTML document; most Web browsers, however, require a connection that can handle IP packets but will also display graphics that are in the document, play audio and video files, and execute small programs, such as Java applets or ActiveX controls, that can be embedded in HTML documents." It is unclear to the examiner how an application that is defined as a web browser by function, use and definition be excluded by the negative limitation of claims 1 and 12.

Claims 1 and 12 are rejected because they use a negative limitation. The limitation "without the utilization of any web browser application" does not further define the claim but instead tries to define by excluding features.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-22 are rejected under 35 U.S.C. 103(a) as being unpatentable by U.S. 6,101,510 by Stone et al in view of U.S. Patent No. 5,375,199 by Harrow et al.

Regarding claim 1, a <u>client computing device configured to access content over a network</u> (Stone: Fig. 2; tables 1-3), the <u>client computing device</u> comprising:

electronic storage configured to store an application media package template associated with an application media package (Stone: Tables 1-3; col. 8, lines 10-23), the application media package template having therein a definition of a graphical user interface within which content in a web browser-readable language may be presented on a display associated with the client computing device (Stone: col. 8, lines 10-55; col. 9, line 13-col. 10, line 40); and

Art Unit: 2446

one or more processors configured to execute one or more computer program modules, the one or more computer program modules being configured to access the application media package defined by the application media package template, wherein accessing the application media package defined by the application media package template results in:

transmission, to a web server over a network, of a content request for content to be displayed in the graphical user interface defined by the application media package template (Stone: col. 9, lines 13-23);

reception, from the web server over the network, of content transmitted from the web server in response to the content request (Stone: col. 9, lines 24-25);

presentation, on the display, of an the graphical user interface defined by the application media package template outside of <u>any graphical user interface of any web browser application</u> and without the utilization of any web <u>browser application</u> (Stone: col. 8, lines 10-39; the application);

presentation, on the display in the graphical user interface defined by the application media package, of the time-varying content received from the web server (Stone: col. 9, lines 30-46); and

responsive to reception of one or more elements included in the received content, an element for modifying a feature of said graphical user interface defined by the application media package template in accordance with a modification corresponding to the received one or more elements in response to variations in said content (Stone: col. 9, lines 46-65).

The Stone reference fails to teach time varying content.

However, in analogous art, the Harrow reference teaches displaying time varying content (Harrow: col. 12, lines 11-17) in order to observe the information historically and interactively to better understand it (Harrow: col. 2, lines 48-68).

It would have been obvious to one of ordinary skill in the art at the time of the invention to create the invention of Stone to include time varying data as taught by Harrow in order to observe the information historically and interactively to better understand it (Harrow: col. 2, lines 48-68).

Regarding claim 2, the <u>client computing</u> device of claim 1, wherein said <u>modification</u>

Art Unit: 2446

corresponding to the received one or more elements comprises a modification to an image defined by the application media package template as forming a part of said graphical user interface (Stone: col. 9, lines 46-65; new window, frames).

Regarding claim 3, the client computing device of claim 1, wherein the correspondence between the modification and the received one or more elements is defined by the application media package template (Stone: col. 9, lines 37- col. 10, line 14).

Regarding claim 4, the client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises adjusting a size of a frame of the graphical user interface (Harrow: col. 10, lines 15-54).

Regarding claim 5, the client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises changing a color of a frame border or background of the graphical user interface (Stone: col. 17, lines 52-56).

Regarding claim 6, the client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises modifying text of the graphical user interface in a manner defined by the application media package template (Stone: col. 9, lines 37- col. 10, line 14).

Regarding claim 7, the client computing device of claim 1, wherein the application media package template is included within a single markup language file (Stone: col. 8, lines 10-55; col. 9, line 13-col. 10, line 40).

Regarding claim 8, the client computing device of claim 1, wherein one or more computer

Art Unit: 2446

program modules are configured such that the time-varying content is received from the web server over the network according to the TCP/IP protocol (Stone: col. 8, lines 56- col. 9, line 5).

Regarding claim 9, the client computing device of claim 1, wherein the application media package template further comprises a uniform resource locator that points to the web server (Stone: col. 8, lines 56- col. 9, line 5).

Regarding claim 10, the client computing device of claim 9, wherein the one or more computer program modules are further configured such that accessing the application media package defined by the application media package template results in transmission of the content request to the uniform resource locator included in the application media package template, and the content request being transmitted according to the TCP/IP protocol over the network (Stone: col. 8, lines 10-55; col. 9, line 13-col. 10, line 40).

Regarding claim 11, the client computing device of claim 1, wherein the one or more computer program modules are further configured:

to transmit, over the network to an application media package server, a request for the application media package template (Stone: col. 8, lines 49-55);

to receive, from the application media package server over the network, the application media package template (Stone: col. 8, lines 49-55); and

to store the application media package template to the electronic storage (Stone: col. 8, lines 49-55; Fig. 1 and 2).

Claims 12-22 are rejected for the same reasons presented above.

### **REMARKS**

The examiner attempted to contact the attorney of record but was unable to get in touch. The examiner suggests an interview could expedite prosecution.

Art Unit: 2446

There seems to be some skirting and issues regarding the definition of a web browser. A web browser is an application that displays information of the web. By introducing the negative limitation of "outside a web browser" and "without a web browser" does nothing to describe or detail the distinguishing feature of the claim. The examiner interprets the claims as merely performing identical steps of web based retrieval and display with a negative limitation and finds these features lack novelty and explanation.

### Conclusion

Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BENJAMIN R. BRUCKART whose telephone number is (571)272-3982. The examiner can normally be reached on 9:00-5:30PM.

Art Unit: 2446

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey C. Pwu can be reached on (571) 272-6798. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Benjamin R Bruckart Primary Examiner Art Unit 2446

/Benjamin R Bruckart/ Primary Examiner, Art Unit 2446

Notice of References Cited	Application/Control No. 11/932,553	Applicant(s)/Patent Under Reexamination KEMBEL ET AL.		
	Examiner	Art Unit		
	BENJAMIN R. BRUCKART	2446	Page 1 of 1	

### U.S. PATENT DOCUMENTS

	C.G. TATENT BOSSMENTS						
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification		
*	Α	US-6,101,510	08-2000	Stone et al.	715/234		
*	В	US-6,938,041	08-2005	Brandow et al.	1/1		
	C	US-					
	D	US-					
	Е	US-					
	F	US-					
	G	US-					
	Н	US-					
	-	US-					
	J	US-					
	К	US-					
	L	US-					
	М	US-					

#### FOREIGN PATENT DOCUMENTS

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	Classification
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### NON-PATENT DOCUMENTS

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)				
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"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20100907

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11932553	KEMBEL ET AL.
	Examiner	Art Unit
	BENJAMIN R BRUCKART	2446

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= Allowed			÷ Res	tricted		ı	Interference		О	Obje	Objected	
Claims	renumbered	in the san	ne order as pr	esented by ap	pplicar	nt		☐ CPA	] T.C	D. 🗆	R.1.47	
CLA	AIM						DATE					
Final	Original	05/13/201	0 09/07/2010									
	1	<b>√</b>	✓									
	2	<b>✓</b>	✓									
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	17		✓									
	18		✓									
	19		<b>√</b>									

22

## Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
11932553	KEMBEL ET AL.
Examiner	Art Unit
RENIAMINI P RPLICKART	2446

	SEARCHED		
Class	Subclass	Date	Examiner
709	217	5/13/2010	brb
709	217	9/7/2010	brb

SEARCH NOTES		
Search Notes	Date	Examiner
Text search of East (USPat, USPG_Pub, JPO, EPO, Derwent, IBM_TDB) see attached history	5/13/2010	brb
Inventor search and limited class search of 709/203 and 715/502	5/13/2010	brb
Text search of East (USPat, USPG_Pub, JPO, EPO, Derwent, IBM_TDB) see attached history	9/7/2010	brb
Inventor search and limited class search of 709/203 and 715/502	9/7/2010	brb

	INTERFERENCE SEAR	СН	
Class	Subclass	Date	Examiner

# **EAST Search History**

### **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	2	("5884024").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2010/09/07 09:35
S2	1128	nickerson.in.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/07 11:03
S3	2	("20080177859").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2010/09/07 11:03
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S13	6	@ad<"20010525" and cache same flag with outdated	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/07 15:37
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S17	2	("7660868").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2010/09/08 15:23
S18	2	("6289362").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM TDB	OR	OFF	2010/09/08 15:54

S19	2	("6101510").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2010/09/08 15:55
S20	2	("5,375,199").PN.	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2010/09/08 17:00
S21	1519	@ad<"20010525" and (interactive or live or changing) and (change or modify or resize) near window	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/09 11:53
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S23	12	@ad<"20010525" and (interactive or live or changing) and (change or modify or resize) near window near new	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/09 13:03
S24	0	@ad<"20010525" and time with varying near content and resize near window	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/09 13:04
S25	1	@ad<"20010525" and dynamic near content and resize near window	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/09 13:04
S26	0	@ad<"20010525" and resize with window with frame and x-window	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/09 13:08
S27	0	@ad<"20010525" and resize with window with frame and x\$window	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/09 13:08
S28	10	@ad<"20010525" and resize with window with frame and applet	US-PGPUB; USPAT; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2010/09/09 13:08

### **EAST Search History (Interference)**

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Attorney Docket: 043311-0381668

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Patent Application Of

JOHN ALBERT KEMBEL, et al.

SERIAL NO. :

11/932,553

FILING DATE:

October 31, 2007

ART UNIT :

2446

EXAMINER:

BRUCKART, BENJAMIN R.

For:

DISPLAYING TIME-VARYING INTERNET BASED DATA USING

APPLICATION MEDIA PACKAGES

# RESPONSE

### Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

In response to the Office Action mailed **May 28, 2010** (the "Office Action"), please consider the following amendments and remarks in the above-identified application:

Amendments to the Claims are reflected in the listing of claims beginning on page **2** of this paper.

Remarks/Arguments begin on page 8 of this paper.

It is believed that no extensions of time or fees for net addition of claims are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned for under 37 C.F.R. § 1.136(a), and any fees required therefore (including fees for net addition of claims) are hereby authorized to be charged to our Deposit Account No. 033975 (Ref. No. 043311-0381668).

### Attorney Docket No.: 043311-0381668

### CLAIM AMENDMENTS

This listing of claims will replace all prior versions, and listings, of claims in the application:

1. (Currently Amended) A client computing device configured to access content over a network, the client computing device physical memory device having stored thereon a computer software component comprising:

electronic storage configured to store an application media package template associated with an application media package, the application media package template having therein a definition of a graphical user interface within which time-varying content in a web browser-readable language may be presented on a display associated with the client computing device; and

one or more processors configured to execute one or more computer program modules, the one or more computer program modules being configured to access the application media package defined by the application media package template, wherein accessing the application media package defined by the application media package template results in:

transmission, to a web server over a network, of a network reference to said time varying content request for content to be displayed in the graphical user interface defined by the application media package template;

reception, from the web server over the network, of content transmitted from the web server in response to the content request, the content being time-varying;

presentation, on the display, of an element for causing said the graphical user interface to be rendered defined by the application media package template outside of any graphical user interface of any web browser application and without the utilization of any a-web browser application;

presentation, on the display in the graphical user interface defined by the application media package, of the an element for causing said time-varying content received from the web server to be presented in said graphical user interface; and

responsive to reception of one or more elements included in the received time-varying content, an element for modifying a feature of said graphical user interface defined by the application media package template in accordance with a modification corresponding to the received one or more elements in response to variations in said time-varying content.

- 2. (Currently Amended) The <u>client computing physical memory</u> device of claim 1, wherein said <u>modification corresponding to the received one or more elements</u> <u>comprises a modification to element for modifying said graphical user interface in response to variations in said time varying content modifies an image <u>defined by the application media package template as forming a part of said graphical user interface.</u></u>
- 3. **(New)** The client computing device of claim 1, wherein the correspondence between the modification and the received one or more elements is defined by the application media package template.
- 4. **(New)** The client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises adjusting a size of a frame of the graphical user interface.
- 5. **(New)** The client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises changing a color of a frame border or background of the graphical user interface.

- 6. **(New)** The client computing device of claim 1, wherein the one or more computer program modules and the application media package template are configured such that modifying the feature of the graphical user interface comprises modifying text of the graphical user interface in a manner defined by the application media package template.
- 7. **(New)** The client computing device of claim 1, wherein the application media package template is included within a single markup language file.
- 8. **(New)** The client computing device of claim 1, wherein one or more computer program modules are configured such that the time-varying content is received from the web server over the network according to the TCP/IP protocol.
- 9. **(New)** The client computing device of claim 1, wherein the application media package template further comprises a uniform resource locator that points to the web server.
- 10. **(New)** The client computing device of claim 9, wherein the one or more computer program modules are further configured such that accessing the application media package defined by the application media package template results in transmission of the content request to the uniform resource locator included in the application media package template, and the content request being transmitted according to the TCP/IP protocol over the network.
- 11. **(New)** The client computing device of claim 1, wherein the one or more computer program modules are further configured:

to transmit, over the network to an application media package server, a request

for the application media package template:

to receive, from the application media package server over the network, the application media package template; and

to store the application media package template to the electronic storage.

12. (New) A computer-implemented method of access content over a network on a client computing device, the client computing device having electronic storage and one or more processors configured to execute one or more computer program modules, the client method comprising:

storing, to the electronic storage, an application media package template associated with an application media package, the application media package template having therein a definition of a graphical user interface within which time-varying content in a web browser-readable language may be presented on a display associated with the client computing device;

accessing the application media package defined by the application media package template, wherein accessing the application media package defined by the application media package template results in:

transmission, to a web server over a network, of a content request for content to be displayed in the graphical user interface defined by the application media package template;

reception, from the web server over the network, of content transmitted from the web server in response to the content request, the content being time-varying;

presentation, on the display, of the graphical user interface defined by the application media package template outside of any graphical user interface of any web browser application and without the utilization of any web browser application;

presentation, on the display in the graphical user interface defined by the application media package, of the time-varying content received from the web server; and

responsive to reception of one or more elements included in the received time-varying content, modifying a feature of said graphical user interface defined by the application media package template in accordance with a modification corresponding to the received one or more elements.

- 13. (New) The method of claim 12, wherein said modification corresponding to the received one or more elements comprises a modification to an image defined by the application media package template as forming a part of said graphical user interface.
- 14. (New) The method of claim 12, wherein the correspondence between the modification and the received one or more elements is defined by the application media package template.
- 15. (New) The method of claim 12, wherein modifying the feature of the graphical user interface comprises adjusting a size of a frame of the graphical user interface.
- 16. (New) The method of claim 12, wherein modifying the feature of the graphical user interface comprises changing a color of a frame border or background of the graphical user interface.
- 17. (New) The method of claim 12, wherein modifying the feature of the graphical user interface comprises modifying text of the graphical user interface in a manner defined by the application media package template.
- 18. (New) The method of claim 12, wherein the application media package template is included within a single markup language file, and wherein storing the

application media package template comprises storing the single markup language file.

19. (New) The method of claim 12, wherein the time-varying content is received from the web server over the network according to the TCP/IP protocol.

20. (New) The method of claim 12, wherein the application media package

template further comprises a uniform resource locator that points to the web server.

21. (New) The method of claim 20, wherein accessing the application media

package defined by the application media package template results in transmission of

the content request to the uniform resource locator included in the application media

package template, and the content request being transmitted according to the TCP/IP

protocol over the network.

22. (New) The method of claim 12, further comprising:

prior to storing the application media package template to the electronic storage,

transmitting, over the network to an application media package server, a request for the

application media package template; and

receiving, from the application media package server over the network, the single

markup language file including the application media package template.

- 7 -

### REMARKS

By this paper, claims 1 and 2 are amended, claims 3-22 are added, and no claims are cancelled. Accordingly, claims 1-22 are all of the pending claims. Support for the amendments presented above is provided throughout the specification and claims as originally filed. Applicants expressly reserve the right to prosecute the subject matter of the unamended and/or cancelled claims, or any other subject matter supported by the Specification, in one or more continuation applications. In view of the foregoing amendments and the following remarks, reconsideration and allowance of all the pending claims is anticipated.

### Claim Rejections - 35 USC § 103

Claims 1 and 2 currently stand rejected under 35 U.S.C. § 103(a) as allegedly being unpatentable over U.S. Patent No. 5,275,199 to Harrow *et al.* ("Harrow") in view of U.S. Patent No. 5,838,906 to Doyle *et al.* ("Doyle"). Without acknowledging the propriety of this rejection, Applicants have amended the claims to further clarify various aspects of the invention not taught or suggested in the cited sections of Harrow and/or Doyle.

For example, claim 1 has been amended to recites *inter alia* the following features, which are not taught or suggested by the proposed combination of Harrow and Doyle:

...electronic storage configured to store an... application media package template having therein a definition of a graphical user interface within which timevarying content in a web browser-readable language may be presented on a display associated with the client computing device; and

one or more processors configured to... present[], on the display, [] the graphical user interface defined by the application media package template outside of any graphical user interface of any web browser application and without the utilization of any web browser application;... [and] present[], on the display in the graphical user interface defined by the application media

package, [] the time-varying content received from the web server....

The Office Action acknowledges that Harrow does not teach or suggest the definition of a graphical user interface as recited in claim 1 [pp. 2-3]. The Office Action relies on Doyle as allegedly addressing this deficiency of Harrow [id.].

The sections of Doyle cited in the Office Action are primarily directed to a system in which a webpage (e.g., a hypermedia document) includes an "embedded controllable application" [see, e.g., c. 6, II. 50-62]. The embedded controllable application enables a user at a client computer to retrieve and manipulate interactive objects over a network [see, e.g., c. 6, l. 58-c. 7, l. 6]. In order to make the interface intuitive to users, a graphical user interface associated with the embedded controllable application appears within a graphical user interface of a web browser [see, e.g., c. 7, II. 7-20].

This is illustrated, for example, in FIG. 9 (reproduced below), which shows a Mosaic web browser window 350, with an image window 352 included therein. The image window 352 is provided by an embedded controllable application within a web page [see, e.g., c. 16, II. 9-28].

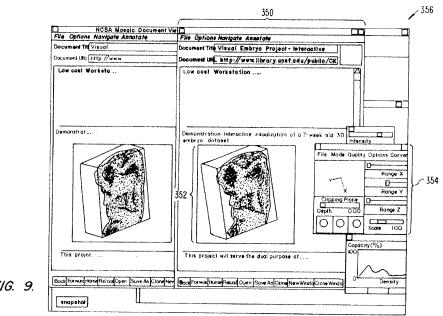


FIG. 9.

Assuming the window presented by the embedded controllable application disclosed in the cited sections of Doyle to be a graphical user interface, this graphical user interface is not presented "outside of any graphical user interface of any web browser application, and without the utilization of any web browser application". Instead, the window of the embedded controllable application is presented inside of a graphical user interface of a web browser application, and is generated in coordination with the web browser application. Therefore, the web pages with embedded controllable applications described in the cited sections of Doyle do not teach or suggest the "application media package template" recited in claim 1, including the recited definition of a graphical user interface.

As such, Doyle does not address the admitted deficiency of Harrow, and the proposed combination of Harrow and Doyle does not teach or suggest the features of claim 1 reproduced above. For at least this reason the rejection of claim 1 under § 103 should be withdrawn.

Claim 2 depends from claim 1. As such, the rejection of claim 2 under § 103 should be withdrawn due to the dependency of these claims, as well as for the features that they recite individually.

### **Newly Added Claims**

Claims 3-22 are newly added by this paper.

Claims 3-11 depend from claim 1. As such, claims 3-11 are believed to be allowable over the cited references by virtue of their dependency, as well as for the features they recite individually.

Claims 12-24 are believed be allowable over the cited references at least because the cited references to not disclose, teach, or suggest all of the features of the claimed invention. For example, independent claim 12 recites *inter alia* the following features, which are not disclosed, taught, or suggested by the cited references:

...accessing the application media package defined by the application media package template, [which] results in:

presentation, on the display, of the graphical user interface defined by the application media package template outside of any graphical user interface of any web browser application and without the utilization of any web browser application; [and]

presentation, on the display in the graphical user interface defined by the application media package, of the time-varying content received from the web server....

At least for the reasons presented above with respect to claim 1, the proposed combination of Harrow and Doyle does not disclose, teach, or suggest these features. For at least this reason claim 12 is believed to be allowable over the proposed combination of Harrow and Doyle.

Claims 13-22 depend from claim 12. As such, claims 13-22 are believed to be allowable by virtue of their dependency, as well as for the features they recite individually.

#### CONCLUSION

Having addressed each of the foregoing objections and rejections, it is respectfully submitted that a full and complete response has been made to the outstanding Office Action and, as such, the application is in condition for allowance. Notice to that effect is anticipated.

If the Examiner believes, for any reason, that personal communication will expedite prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

Date: August 30, 2010

Respectfully submitted,

Βv

D. Benjamin Esplin Reg. No. 58,297

Customer No. 00909

PILLSBURY WINTHROP SHAW PITTMAN LLP

1650 Tysons Boulevard McLean, Virginia 22102

619-234-5000

Electronic Patent Application Fee Transmittal								
Application Number:	119	932553						
Filing Date:	31-	-Oct-2007						
Title of Invention:  Displaying Time-Varying Internet Based Data Using Apple Packages								
First Named Inventor/Applicant Name:	John Albert Kembel							
Filer:  D. Benjamin Esplin/Robin Eschenbruecher								
Attorney Docket Number: 043311-0381668								
Filed as Small Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Claims in excess of 20		2202	2	26	52			
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	52

Electronic Acknowledgement Receipt					
EFS ID:	8320656				
Application Number:	11932553				
International Application Number:					
Confirmation Number:	2604				
Title of Invention:	Displaying Time-Varying Internet Based Data Using Application Media Packages				
First Named Inventor/Applicant Name:	John Albert Kembel				
Customer Number:	00909				
Filer:	D. Benjamin Esplin/Robin Eschenbruecher				
Filer Authorized By:	D. Benjamin Esplin				
Attorney Docket Number:	043311-0381668				
Receipt Date:	30-AUG-2010				
Filing Date:	31-OCT-2007				
Time Stamp:	17:12:02				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$52
RAM confirmation Number	3912
Deposit Account	033975
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		Response.pdf	405225	yes	12
'		nesponse.pai —		yes	12
	Multip	oart Description/PDF files in .	zip description		
	Document De	scription	Start	E	nd
	Amendment/Req. Reconsiderat	1		1	
	Claims	2	7		
	Applicant Arguments/Remarks	Made in an Amendment	8 12		
Warnings:					
Information:					
2	Fee Worksheet (PTO-875)	fee-info.pdf	30479	no	2
_			9c8ce06b55bc4f2a1f7e3a73a741c35d2408 80e8		_
Warnings:					
Information:					
		Total Files Size (in bytes)	43	35704	

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)
Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						opplication or	Docket Number 2,553	Fil	ing Date 31/2007	To be Mailed
	AI	PPLICATION A		Column 2)		SMALL	ENTITY 🛛	OR		HER THAN	
	FOR		(Column 1 JMBER FIL	<u> </u>	MBER EXTRA		RATE (\$)	FEE (\$)	<u> </u>	RATE (\$)	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), or (c))		N/A		N/A		N/A	.,,		N/A	(.,
	SEARCH FEE (37 CFR 1.16(k), (i),		N/A		N/A		N/A		1	N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p),	Ε	N/A		N/A		N/A		1	N/A	
	AL CLAIMS CFR 1.16(i))		min	us 20 = *		1	x \$ =		OR	x \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	S	mi	inus 3 = *			x \$ =		1	x \$ =	
	□APPLICATION SIZE FEE (37 CFR 1.16(s))  If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
Ш	MULTIPLE DEPEN	IDENT CLAIM PRI	ESENT (3	7 CFR 1.16(j))							
* If t	he difference in col	umn 1 is less than	zero, ente	r "0" in column 2.			TOTAL			TOTAL	
	APPLICATION AS AMENDED – PART II OTHER THAN (Column 1) (Column 2) (Column 3) SMALL ENTITY OR SMALL ENTITY										
TN:	08/30/2010	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 22	Minus	** 20	= 2		X \$26 =	52	OR	x \$ =	
뷞	Independent (37 CFR 1.16(h))	* 2	Minus	***3	= 0		X \$110 =	0	OR	x \$ =	
۸ME	Application S	ize Fee (37 CFR 1	.16(s))								
	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
							TOTAL ADD'L FEE	52	OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
MENT	Total (37 CFR 1.16(i))	*	Minus	**	=		x \$ =		OR	x \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=		x \$ =		OR	x \$ =	
AMEND	Application S	ize Fee (37 CFR 1	.16(s))								
ΑN	FIRST PRESEN	NTATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If	the entry in column the "Highest Numb f the "Highest Numb "Highest Number P	er Previously Paid per Previously Paid	For" IN TH	HIS SPACE is less HIS SPACE is less	than 20, enter "20' than 3, enter "3".		/SHEIL	nstrument Ex A CHAPMAN/ opriate box in colu		er:	

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the rubblinh is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
11/932,553	10/31/2007	John Albert Kembel	043311-0381668	2604	
	7590 05/28/201 <b>VINTHROP SHAW PI</b>	EXAMINER			
P.O. BOX 1050	00	BRUCKART,	BENJAMIN R		
MCLEAN, VA	MCLEAN, VA 22102		ART UNIT	PAPER NUMBER	
			2446		
			MAIL DATE	DELIVERY MODE	
			05/28/2010	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	-								
	Application No.	Applicant(s)							
Office Action Comments	11/932,553	KEMBEL ET AL.							
Office Action Summary	Examiner	Art Unit							
	BENJAMIN R. BRUCKART	2446							
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).									
Status									
1)⊠ Responsive to communication(s) filed on <u>31 O</u>	ctober 2007.								
	action is non-final.								
3) Since this application is in condition for allowar closed in accordance with the practice under E									
Disposition of Claims									
4) ☐ Claim(s) 1 and 2 is/are pending in the application.  4a) Of the above claim(s) is/are withdrawn from consideration.  5) ☐ Claim(s) is/are allowed.  6) ☐ Claim(s) 1 and 2 is/are rejected.  7) ☐ Claim(s) is/are objected to.  8) ☐ Claim(s) are subject to restriction and/or election requirement.									
Application Papers									
9)⊠ The specification is objected to by the Examine									
10)⊠ The drawing(s) filed on <u>2-20-2008</u> is/are: a)⊠									
Applicant may not request that any objection to the									
Replacement drawing sheet(s) including the correcting.  11) The oath or declaration is objected to by the Ex									
Priority under 35 U.S.C. § 119									
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some color None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>									
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	4)  Interview Summary Paper No(s)/Mail Da 5)  Notice of Informal P 6)  Other:	ate´.							

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20100513

Application/Control Number: 11/932,553 Page 2

Art Unit: 2446

### **Detailed Action**

Claims 1-2 are pending in this Office Action.

### **Information Disclosure Statement**

The information disclosure statements filed on 2/25/08 and 2/26/08 (both) and 4/27/09 have been considered.

### Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-2 are rejected under 35 U.S.C. 103(a) as being unpatentable by U.S. Patent No. 5,375,199 by Harrow et al in view of U.S. Patent No. 5,838,906 by Doyle et al (Applicant IDS).

Regarding claim 1, the Harrow reference teaches a physical memory device having stored thereon a computer software (Harrow: Fig. 1) component comprising:

a network reference to said time-varying content (Harrow: col. 12, lines 11-17);

an element for causing said user interface to be rendered outside of and without utilization of a web browser application (Harrow: col. 11, lines 40-67);

an element for causing said time-varying content to be presented in said graphical user interface (Harrow: col. 7, lines 55- col. 8, line 3); and

an element for modifying said graphical user interface in response to variations in said time-varying content (Harrow: col. 18, lines 12-32; col. 2, lines 48-60).

The Harrow reference fails to teach a definition of the GUI.

However, in analogous art, the Doyle reference teaches a definition of a graphical user interface within which time-varying content in a web browser-readable language may be presented (Doyle: col. 14, lines 43-67) in order to allow a user to retrieve and interact with real time data so the user has a better understanding of the presented information (Doyle: col. 7, lines 40-47).

It would have been obvious to one of ordinary skill in the art at the time of the invention to create the invention of Harrow to include the defined interface as taught by Doyle in order to allow a user to retrieve and interact with real time data so the user has a better understanding of the presented information (Doyle: col. 7, lines 40-47).

Regarding claim 2, the physical memory device of claim 1, wherein said element for modifying said graphical user interface in response to variations in said time-varying content modifies an image forming a part of said graphical user interface (Harrow: col. 7, lines 55- col. 8, line 3).

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to BENJAMIN R. BRUCKART whose telephone number is (571)272-3982. The examiner can normally be reached on 9:00-5:30PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Jeffrey C. Pwu can be reached on (571) 272-6798. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 11/932,553 Page 4

Art Unit: 2446

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Benjamin R Bruckart Primary Examiner Art Unit 2446

/Benjamin R Bruckart/ Primary Examiner, Art Unit 2446

Notice of References Cited	Application/Control No. 11/932,553	Applicant(s)/Patent Under Reexamination KEMBEL ET AL.		
	Examiner	Art Unit		
	BENJAMIN R. BRUCKART	2446	Page 1 of 1	

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*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification	
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Part of Paper No. 20100513

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	11932553	KEMBEL ET AL.
	Examiner	Art Unit
	BENJAMIN R BRUCKART	2446

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### Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
11932553	KEMBEL ET AL.
Examiner	Art Unit
BENJAMIN R BRUCKART	2446

	SEARCHED		
Class	Subclass	Date	Examiner
709	217	5/13/2010	brb

SEARCH NOTES		
Search Notes	Date	Examiner
Text search of East (USPat, USPG_Pub, JPO, EPO, Derwent, IBM_TDB) see attached history	5/13/2010	brb
Inventor search and limited class search of 709/203 and 715/502	5/13/2010	brb

INTERFERENCE SEARCH						
Class	Subclass	Date	Examiner			

t date: 02/26/2008

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INFORMATION DISCLOSURE	Application Number		11932553
	Filing Date		2007-10-31
	First Named Inventor		Albert Kembel
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(Not for Submission under 57 Or K 1.55)	Examiner Name		
	Attorney Docket Number		IMS 07-05-06

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11932553 - GAU: 2446 Receipt date: 02/26/2008 Application Number 11932553 Filing Date 2007-10-31 INFORMATION DISCLOSURE First Named Inventor John Albert Kembel STATEMENT BY APPLICANT Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** Attorney Docket Number IMS 07-05-06 1 Add If you wish to add additional non-patent literature document citation information please click the Add button **EXAMINER SIGNATURE Examiner Signature** Date Considered /Benjamin Bruckart/ 05/13/2010 \*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. 2 Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.



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### **EAST Search History**

### **EAST Search History (Prior Art)**

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Application Number 11932553 11932553 - GAU: 2446

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First Named Inventor John Albert Kembel

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STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		N/A
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Application Number 11932553 11932553 - GAU: 2446

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First Named Inventor John Albert Kembel

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First Named Inventor John Albert Kembel

Art Unit N/A

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	49	6816880		2004-11-09	Strandberg et al.	
	50	6842779		2005-01-11	Nishizawa	
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /B.R.B.

Receipt	date	e: 02/25/2008		Applic	ation N	umber		11932553	119	32553 -	- GAU: 2	446
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<sup>4</sup> Kind of doo	cument	or Japanese patent docume by the appropriate symbols										
English lang	English language translation is attached.											

11932553 - GAU: 2446 Receipt date: 02/25/2008 **Application Number** 11932553 Filing Date 2007-10-31 INFORMATION DISCLOSURE First Named Inventor John Albert Kembel STATEMENT BY APPLICANT Art Unit N/A ( Not for submission under 37 CFR 1.99) **Examiner Name** Not yet assigned IMS 07-05-06 Attorney Docket Number

		CERTIFICATION	STATEMENT			
Plea	se see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):			
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
OR						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).					
	See attached cer	rtification statement.				
	Fee set forth in 3	37 CFR 1.17 (p) has been submitted herewith				
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	ignature of the ap n of the signature.	SIGNAT plicant or representative is required in accord		8. Please see CFR 1.4(d) for the		
Sigr	nature	/Jonathan A. Small/	Date (YYYY-MM-DD)	2008-02-25		
Nan	ne/Print	Jonathan A. Small	Registration Number	32,631		

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

Receipt date: 02/25/2008 11932553 - GAU: 2446

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Becejet date: 04/27/2009

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Doc description: Information Disclosure Statement (IDS) Filed

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99) Application Number 11932553 Filing Date 2007-10-31 First Named Inventor John Albert Kembel Art Unit TBD Examiner Name TBD Attorney Docket Number IMS-07-05-06

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	1	5838906		1998-11-17	Doyle, et al.		
	2	6006252		1999-12-21	Wolfe		
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	5	7356569		2008-04-08	Kembel, et al.		
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Application Number 11932553 11932553 - GAU: 2446

Filing Date 2007-10-31

First Named Inventor John Albert Kembel

Art Unit TBD

Examiner Name TBD

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### United States Patent and Trademark Office

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APPLICATION NUMBER 11/932,553

P.O. BOX 10500 MCLEAN, VA 22102 FILING OR 371(C) DATE 10/31/2007

FIRST NAMED APPLICANT John Albert Kembel

ATTY. DOCKET NO./TITLE IMS 07-05-06

**CONFIRMATION NO. 2604 POA ACCEPTANCE LETTER** 



Date Mailed: 10/19/2009

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/02/2009.

PILLSBURY WINTHROP SHAW PITTMAN, LLP

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/mnguyen/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



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### United States Patent and Trademark Office

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APPLICATION NUMBER 11/932,553

FILING OR 371(C) DATE 10/31/2007

FIRST NAMED APPLICANT John Albert Kembel

ATTY. DOCKET NO./TITLE IMS 07-05-06

**CONFIRMATION NO. 2604 POWER OF ATTORNEY NOTICE** 

JAS IP CONSULTING 309 2nd STREET SUITE 8 LOS ALTOS, CA 94022

Date Mailed: 10/19/2009

### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 10/02/2009.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/mnguyen/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

OCT 0 2 2009

PTO/SB/81 (01-09)
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Application Number	11/932,553
Filing Date	10/31/2007
First Named Inventor	John Albert Kembel
Title	Displaying time-varying internet based
Art Unit	TBD
Examiner Name	TBD
Attorney Docket Number	IMS 07-05-06

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		mey is submitted herewith.		ſ			
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	I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:						
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Name		Rakesh Ramde			Telephone	650-969-8	3300 '
	nd Company	Mainstream Scientific, LL					
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	STAT	EMENT UNDER 37	CFR 3.73(b)	
Applicant/Patent (	Owner: Mainstream Scientific, I	.LC		
		Fil	ed/lease Date: 10/31	/2007
Titled: Display	ring time-varying internet based	l data using media a	pplication packages	
Mainstream Scie	entific, LLC	<sub>, a</sub> limited liabili	ty company	
(Name of Assignee)		(Type of Assig	nee, e.g., corporation, partne	rahip, university, government agency, etc.
states that it is:				
1. X the as	signee of the entire right, title, and	interest in;		
2. an ass (The e	signee of less than the entire right, extent (by percentage) of its owner	title, and interest in ship interest is	%); or	
3. the as	signee of an undivided interest in t	he entirety of (a comple	te assignment from o	ne of the joint inventors was made)
the patent applica	tion/patent identified above, by vir	tue of either:		
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	n of title from the inventor(s), of the	e patent application/pat	ent identified above, to	o the current assignee as follows:
	om: Kembel, et al.		To: DoDots, Inc.	<b>3</b>
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accordance	with 37 CFR Part 3, to record the	assignment in the rec	ords of the USPTO. Se	be submitted to Assignment Division ee MPEP 302.08]
	(whose title is supplied below) is a		alf of the assignee.	
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Signature				
Rakesh Ramde				Member
Printed or	Typed Name			Title

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	<u>s</u>	TATEMENT UNDE	R 37 CFR 3.73(b)	
Applicant Patent	Owner: Malnstream Scienti	fic, LLC		
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Titled: Display	ying time-varying internet be	ased data using me	dia application packages	
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	use Pande			<u>, 2007</u>
Signatur	-			,
Rakesh Ramde			Member	
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### ASSIGNMENT

WHEREAS WE. JOHN ALBERT KEMBEL of Palo Alto, California: GEORGE ANDREW KEMBEL of Menlo Park, California: DANIEL S. KIM of Palo Alto, California: JOHN RUSSELL of Palo Alto, California: JAKE WOBBROCK of Palo Alto, California: GEOFFREY S. KEMBEL of Menlo Park California: JEREMY L. KEMBEL of Palo Alto, California: LYNN D. GABBAY of Sunnyvale. California have invented certain new and useful improvements in an Apparatus and Method for Interacting with Internet Content, for which invention we have executed an application for Letters Patent of the United States and which application may be identified in the United States Patent and Trademark Office as Serial No. 09/558.925, filed April 26, 2000; and

Whereas, DODOTS, INC., a corporation of the State of Delaware, having its principal place of business at 830 Stewart Drive, Sunnyvale, CA 94886, (hereinafter referred to as assignee) is desirous of acquiring the entire right, title, and interest in and to said invention, said application and the Letters Patent to be obtained therefore;

Now, therefore, for and in consideration of One Dollar and other good and valuable considerations, to us in hand paid, the receipt and sufficiency whereof are hereby acknowledged, we have sold assigned, and set over and by these presents do hereby sail, assign, and set over unto said assignee and said assignee's legal representatives, successors and assigns, the entire right, title, and interest in and to said invention, said application, and the Letters Patent, both foreign and domestio, that may or shall issue thereon; and we do hereby authorize and request the Commissioner of Patents and Trademarks to issue said Letters Patent to the above-mentioned assignee agreeably with the terms of this assignment.

We hereby authorize the above-mentioned assignee or its legal representative to insert in this instrument the filing date and serial number of our said application or any other information that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark Office for recordation of this document.

Upon said consideration, we convey to said assignee the right to make application in its own behalf for protection of said invention in countries foreign to the United States and where expedient to claim under the International Convention or other international arrangement for any such application the date of said United States application (or other application if any there be) in priority to other applications; and we do hereby covenant and agree with the said assignee that we will not execute any writing or do any act whatsoever conflicting with these presents, and that we will at any time upon request, without further or additional consideration, but at the expense of the said assignee, execute such additional assignments and other writings and do such additional acts as said assignee may deem necessary or desirable to perfect the assignee's enjoyment of this grant, and render all necessary assistance in making application for and obtaining original, divisional renewal, reissued or extended Letters Patent of the United States or of any and all foreign countries on said invention, and in enforcing any rights or chose in action accuring as a result of such application or patents, by giving testimony in any proceedings or transactions involving such applications or patents, and by executing preliminary statements and other affidavits, it being

PAGE 5/6 \* RCVD AT 10/2/2009 7:24:06 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-5/10 \* DNIS:2738300 \* CSID: \* DURATION (mm-ss):02-44

understood that the foregoing covenant and agreement shall bind, and impre to the benefit of the assigns and legal representatives of both parties.

John Albert Kambel
Date: 4 (1 (00 By: George Andrew Kembel
Date: 9/8/2000 By: Janua January Daniel S. Kim
Date: 9/8/2000 By: John Russell
Date: 7/8/200 By: Safe Wolfbrock

Date: 2/8/2000 By: 1 Kll

Date: 9/8/2000 By: Lynn D. Gabbay

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PTO/SB/21 (07-09)

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Application Number

r		Application realities	11/932,553			,
TRANS	MITTAL	Filing Date	10/31/2007	10/31/2007 John Albert Kembel		
	RM	First Named Inventor	John Albert Kembe			
- <del>-</del>		Art Unit	ТВО			
(to be used for all corresp	oondence after initial filing)	Examiner Name	ТВО			
Total Number of Pages in	This Submission	Attorney Docket Number	IMS 07-05-06	IMS 07-05-08		
	ENC	LOSURES (Check all	that apply)			
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Fee Attach	ned	Licensing-related Papers			l Communication to Board eals and Interferences	
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#### **Application Number** 11932553 Filing Date 2007-10-31 INFORMATION DISCLOSURE First Named Inventor John Albert Kembel **STATEMENT BY APPLICANT** Art Unit TBD ( Not for submission under 37 CFR 1.99) **Examiner Name TBD** Attorney Docket Number IMS-07-05-06

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Application Number		11932553
Filing Date		2007-10-31
First Named Inventor	John Albert Kembel	
Art Unit		TBD
Examiner Name TBD		
Attorney Docket Number		IMS-07-05-06

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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number		11932553
Filing Date		2007-10-31
First Named Inventor	John Albert Kembel	
Art Unit		TBD
Examiner Name TBD		
Attorney Docket Number		IMS-07-05-06

	CERTIFICATION STATEMENT							
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).							
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	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).							
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SIGNATURE  A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Sigr	nature	/Jonathan A. Small/	Date (YYYY-MM-DD)	2009-04-27				
Name/Print		Jonathan A. Small	Registration Number	32631				

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The information provided by you in this form will be subject to the following routine uses:

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- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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EFS ID:	5229546						
Application Number:	11932553						
International Application Number:							
Confirmation Number:	2604						
Title of Invention:	Displaying Time-Varying Internet Based Data Using Application Media Packages						
First Named Inventor/Applicant Name:	John Albert Kembel						
Customer Number:	43785						
Filer:	Jonathan Andrew Small						
Filer Authorized By:							
Attorney Docket Number:	IMS 07-05-06						
Receipt Date:	27-APR-2009						
Filing Date:	31-OCT-2007						
Time Stamp:	21:02:56						
Application Type:	Utility under 35 USC 111(a)						

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

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43785 JAS IP CONSULTING 309 2nd STREET SUITE 8 LOS ALTOS, CA 94022

**CONFIRMATION NO. 2604 UPDATED FILING RECEIPT** 



Date Mailed: 03/03/2008

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

### Applicant(s)

John Albert Kembel, Palo Alto, CA; George Andrew Kembel, Menlo Park, CA; Daniel S. Kim, Palo Alto, CA; John Russell, Palo Alto, CA: Jake Wobbrock, Palo Alto, CA; Geoffrey S. Kembel, Menlo Park, CA; Jeremy L. Kembel, Palo Alto, CA; Lynn D. Gabbay, Sunnyvale, CA;

#### **Assignment For Published Patent Application**

Mainstream Scientific, LLC, Mountain View, CA

**Power of Attorney:** The patent practitioners associated with Customer Number 43785

### Domestic Priority data as claimed by applicant

This application is a CON of 09/558,925 04/26/2000 which claims benefit of 60/131,083 04/26/1999 and claims benefit of 60/131,114 04/26/1999 and claims benefit of 60/131,115 04/26/1999 and claims benefit of 60/176.687 01/18/2000 and claims benefit of 60/176.699 01/18/2000

### Foreign Applications

If Required, Foreign Filing License Granted: 11/20/2007

page 1 of 3

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/932,553** 

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Displaying Time-Varying Internet Based Data Using Application Media Packages

**Preliminary Class** 

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Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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	Application Number		11932553	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2007-10-31	
	First Named Inventor	ntor John Albert Kembel		
	Art Unit		N/A	
	Examiner Name	Not ye	et assigned	
	Attorney Docket Numb	er	IMS 07-05-06	

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	2	7216300		2007-05	i-08	Nga Dang				
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	1	WO0180086	WO		A2	2001-10-25	Neoplanet, Inc.			
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Application Number		11932553			
Filing Date		2007-10-31			
First Named Inventor John		Albert Kembel			
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Attorney Docket Number		IMS 07-05-06			

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	4	"Flexibility Across the Web," 2 pages, www.microsoft.com/Windows/le/IE5/choice.asp, 1/99	
	5	"Web Accessories Overview," 2 pages, www.microsoft.com/workshoper/accesory/overview/overiew.asp, 1/99	
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( Not for submission under 37 CFR 1.99)

Application Number		11932553			
Filing Date		2007-10-31			
First Named Inventor John		Albert Kembel			
Art Unit		N/A			
Examiner Name Not ye		et assigned			
Attorney Docket Number		IMS 07-05-06			

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12	"Know More About The Sites You Visit," 1 page, www.alexa.com/tour/site_stats.html, 1/99	
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18	"Demographics," alexa.com/company/demographics.html, 1/99	
19	"Ads Appear in the Pop-up and on the Bar," 1 page, www.alexa.com/company/adspecs.html, 1/99	
20	"Alexa Why Crawl," 1 page, www.alexa.com/support/why_crawl.html, 1/99	
21	GIF Image 590x329 pixels, Alexa, 1 page, www.alexa.com/tour/images/alexa_overview.gif, 1/99	

EFS Web 2.0.2

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Art Unit		N/A
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Application Number		11932553		
Filing Date		2007-10-31		
First Named Inventor	John .	Albert Kembel		
Art Unit		N/A		
Examiner Name Not you		et assigned		
Attorney Docket Number		IMS 07-05-06		

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Nan	ne/Print	Jonathan A. Small	Registration Number	32,631				

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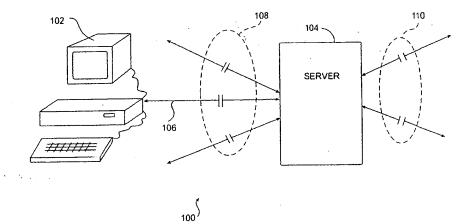
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHOD AND APPARATUS FOR A DUAL RENDERING ENGINE BROWSER



(57) Abstract: An apparatus for processing HTML text is disclosed. The apparatus includes a computer having a processor and memory that is operable to run a parser program. The parser program includes two or more rendering engines. The parser program is operable to receive HTML formatted text, select a rendering engine; and process the HTML text.

### METHOD AND APPARATUS FOR A DUAL RENDERING ENGINE BROWSER

Inventors: James R. FRISKEL and Michael J. NOE

### 5 RELATED APPLICATIONS

This application is related to U.S. Utility Application Serial Number 09/548,760, filed April 13, 2000, which is herein incorporated by reference in its entirety.

### TECHNICAL FIELD OF THE INVENTION

This invention relates to the field of computer programs and more specifically to a method and apparatus for a dual rendering engine browser.

### **BACKGROUND**

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The usage of the INTERNET is increasing at a very large rate. Generally, a user accesses content on the Internet by executing a "web browser" or "parser" program locally at a client (such as a home computer) and interconnecting to a server of an Internet Service provider. The interconnection may use any of a variety of communication links such as a dial-up telephone communication link or a dedicated communication link, such as a cable modem. The web browser is a computer program that allows the client to exchange information with the remote server. Any of a variety of web browsers are available, such as NETSCAPE NAVIGATOR, MICROSOFT EXPLORER and others that allow users to conveniently access and navigate the Internet using a graphical user interface. Web browsers receive web pages in a format or language understandable by a web browser, such as Hypertext Markup Language ("HTML"). HTML is a common markup language that describes the structure and behavior of a document. Web browsers, through the use of a rendering engine, interpret the web pages and generate a corresponding display of the web pages using a graphical user interface. One drawback of the present invention is that different rendering engines interpret HTML differently. Therefore, the output of various web browsers may differ. Currently, to view different outputs, one must use different web browsers at separate

times. This is cumbersome to both users of web browsers and developers of web content who need to know what their work will look like using different browsers.

### SUMMARY OF THE INVENTION

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From the foregoing it may be appreciated that a need has arisen for a method and apparatus for a dual rendering engine browser. In accordance with the present invention, a method and apparatus for a dual rendering engine browser is provided that allows a user to view a document formatted in a markup language with a rendering engine of the user's choice or using more than one rendering engine.

According to an embodiment of the present invention, there is provided an apparatus for processing HTML text. The apparatus includes a computer having a processor and memory that is operable to run a parser program. The parser program includes two or more rendering engines. The parser program is operable to receive HTML formatted text, select a rendering engine; and process the HTML text.

A technical advantage of the present invention includes the ability of a user to choose what rendering engine best fits the particular document to be viewed. Another technical advantage of the present invention includes the ability to use multiple rendering engines simultaneously. Other technical advantages are readily apparent to one skilled in the art from the following figures, description, and claims.

### BRIEF DESCRIPTION OF THE DRAWINGS

For a more complete understanding of the present invention and the advantages thereof, reference is now made to the following brief description, taken in connection with the accompanying drawings and detailed description, wherein like reference numerals represent like parts, in which:

FIG. 1 is a diagram illustrating a network interconnection including a client and an Internet server;

FIG. 2 is a block diagram illustrating the client and a remote Internet server;

FIG. 3 is a block diagram showing the functional parts of the program of the present invention;

FIG. 4a and FIG. 4b illustrate web browser display in accordance with the teachings of the present invention; and

FIG 5 is a flowchart illustrating the operation of an embodiment of the present invention.

### **DETAILED DESCRIPTION OF THE INVENTION**

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Turning first to the nomenclature of the specification, the detailed description which follows is represented largely in terms of processes and symbolic representations of operations by conventional computer components, including a central processing unit ("CPU") or processor associated with a general purpose computer system, memory storage devices for the CPU, and connected pixel-oriented display devices. These operations include the manipulation of data bits by the CPU and the maintenance of these bits within data structures resident in one or more of the memory storage devices. Such data structures impose a physical organization upon the collection of data bits stored within computer memory and represent specific electrical or magnetic elements. These symbolic representations are the means used by those skilled in the art of computer programming and computer construction to most effectively convey teachings and discoveries to others skilled in the art.

In addition, it should be understood that the programs, processes, methods, etc. described herein are but an example of one implementation of the present invention and are not related or limited to any particular computer, apparatus or computer language. Rather, various types of general purpose computing machines or devices may be used with programs constructed in accordance with the teachings described herein. Similarly, it may prove advantageous to construct a specialized apparatus to perform the method steps described herein by way of dedicated computer systems with hardwired logic or programs stored in non-volatile memory, such as read only memory.

Referring now in more detail to the drawings, FIG. 1 is a diagram illustrating a network interconnection 100 including a client 102 and an Internet server 104. Network

interconnection 100 includes the interface between Internet server 104 and a plurality of clients through a plurality of direct communication lines 108. For example, network interconnection 100 includes an interconnection between client 102 and Internet server 104 through a direct communication line 106. Network interconnection 100 also includes the interface between Internet server 104 and other Internet servers through a plurality of communication lines 110. In this manner, Internet server 104 allows the plurality of clients directly interconnected with server 104, such as client 102, to communicate with other Internet users by providing an interconnection to other Internet servers through communication lines 110. Other Internet users are also directly interconnected with a server such that a communication path may be established between one client and another client through the various serves of the Internet. Internet server 104 is a computer such as a personal computer, file server, workstation, minicomputer, mainframe, or any other computer capable of communicating and interconnecting with other computers. Internet server 104 will preferably include a processor, a printer, an input device such as a mouse and/or a keyboard, a monitor, a floppy disk drive, memory, a modem, and a mass storage device such as a hard disk drive. Communication lines 110 and direct communication lines 108 may be any type of communication link capable of supporting data transfer. For example, these communication lines may include any combination of an Integrated Services Digital Network ("ISDN") communication line, a hard wired line, or a telephone link.

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Client 102 may be similar to Internet server 104 and may be implemented using virtually any type of computer. Client 12 will preferably be a personal computer having a processor, a printer, an input device such as a mouse and/or a keyboard, a monitor, a floppy disk drive, memory, a modem, and a mass storage device such as a hard disk drive. Client 102 and Internet server 104 will be operating under the control of an operating systems such as MS-DOS, Macintosh OS, WINDOWS NT, WINDOWS 95/98/2000, OS/2, UNIX, LINUX and the like. Client 102 and Internet server 104 may execute any number of available application programs such as a web browser.

In operation, the various clients of network interconnection 100, such as client 102, may communicate through server 104 with any other client connected to the Internet. For example, client 102, generally using a web browser application program,

PCT/US01/12146 WO 01/80086

may couple to Internet server 104 and provide the address of URL of an Internet web page. The Internet web page will generally be stored on another Internet server located remotely. Internet server 104, through the plurality of communication lines 110, communicates with other Internet servers. Eventually, the receiving Internet server is found and in response, transmits the HTML formatted Internet web page back to Internet server 104 for viewing by client 102 using a web browser.

Although network interconnection 100 has been illustrated and described in FIG. 1 as being a node or interconnection on the Internet, network interconnection 100 may be any interconnection found on any computer network such as a local area network 10 ("LAN"), a wide area network ("WAN"), an intranet, such as a corporate intranet, or any other communications and data exchange system created by connecting two or more computers. The present invention will be illustrated and described with an implementation using the Internet, however, it should be understood that the present invention is not limited to only implementations using the Internet. It may, for example, be implemented on a single computer operating by itself.

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FIG. 2 is a block diagram illustrating client 102 and a remote Internet sever 104. Client 102 includes a processor 208, input/output ("I/O") devices 210, and a client memory 202. Processor 208, under the control of an operating system 204, controls the operation of client 102 and is used to retrieve, process, store, and display data. Operating system 204 and a parser program 206 are stored in client memory 202. Client memory 202 may be a random access memory ("RAM"), Read-only memory ("ROM"), or other types of memory.

Processor 208 is typically implemented as a microprocessor, such as those manufactured by INTEL, MOTOROLA, or ADVANCED MICRO DEVICES. Processor 208 may include an arithmetic logic unit to assist in performing mathematical operations. Processor 208 communicates control, address, and data signals with operating system 204 and with the remaining components of client 102 through a system bus. Processor 208 interprets and executes instructions that have been fetched or retrieved from client memory 202 and may be implemented as a single integrated circuit or as a combination of integrated circuits.

I/O devices 212 may include any peripheral that allows data to be exchanged with client 102 and may include such devices as a keyboard, a monitor, a printer, a modem, a pointing device, such as a mouse, a floppy disk drive, a mass storage device, such as a hard disk drive, and the like. The mass storage device is used to store computer files including application programs and data files. For example, the mass storage device may be used to store parser program 206 and operating system 204.

Operating system 204 includes a set of computer programs that control the internal functions of client 102, thereby allowing client 102 to run application programs. Operating system 204 is typically stored in and provided from a mass storage device, such as a hard disk drive, a floppy disk drive, a CD ROM drive or a ROM chip. During start-up or initialization of client 102, operating system 204 is loaded into client memory 202. Application programs, such as parser program 206, may also be loaded into client memory 202 along with operating system 204.

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Parser program 206, in one embodiment, is a graphical web browser that allows a user to view images, fonts, and document layouts provided in a web page by converting large units of data into smaller, more easily interpreted, units of data. Parser program 206 reads the tagged document of a web page or other markup language formatted document, such as those provided in Hypertext Markup Language (HTML) format. HTML uses tags to identify the parts of a web page, such as headings, bulleted lists, body text, on-screen forms, including fill-in text boxes, options buttons, radio buttons, and drop-down list boxes, images to be displayed, hypertext links, colors, font, and various other formatting tags. Parser program 206 formats the various parts of the document for on-screen display as directed by the HTML tags. It accomplishes this by using a rendering engine which interprets the HTML formatted document. In the present invention, a web browser includes multiple rendering engines. Rendering engines are discussed in greater detail in conjunction with FIGURES 3 through 5. While HTML is used as an example markup language, the present invention is not limited to HTML formatted documents but includes other markup languages as well, such as SGML and GML.

In the present invention, a user is able to choose a rendering engine to process the markup language formatted documents information from a selection of two or more

rendering engines such as the Internet Explorer rendering engine used in the Internet Explorer web browser or the MOZILLA rendering engine used in the Netscape browser as well as any other rendering engines. Typically, rendering engines for different web browsers can be called by third party software by specifying an application ID and an interface ID. The use of, and accessing of, rendering engines is known to those skilled in the art. Alternatively, a user can view a markup language formatted document processed by different rendering engines simultaneously in different windows of the display.

In another embodiment, parser program 206 can also be used as part of a program or series of programs used to develop markup language formatted documents such as web pages. By including the different rendering engines from different web browsers, a developer using the parser program 206 of the present invention will be able to ensure the markup language formatted document under development will work with different browsers. Further discussions of these embodiments are presented in the following descriptions.

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operating systems.

Remote Internet server 104 includes a server memory 222, a processor 224, and a mass storage device 226. Remote Internet server 105 also preferably includes various I/O devices 228 such as those mentioned above in the description of I/O devices 212 of client 102.

Operating system 220 and a web server 222 are stored in mass storage device 226 and are shown loaded into server memory 223. Processor 224, in conjunction with operating system 220, controls the operation of remote Internet server 104. Processor 224 fetches and executes various instructions stored in server memory 223. Operating system 220 operates similarly to operating system 204 of client 102 and includes a set of computer programs that control the internal functions of remote Internet server 104. Operating system 220 controls the allocation and usage of hardware resources such as server memory 223, processor 224, mass storage device 226, and optional I/O devices 228. Operating system 220 may be any of a variety of available operating systems depending partially on the hardware of server 104. For example, operating system 220 may be implemented as MS-DOS, the Macintosh OS, OS/2, WINDOWS NT, WINDOWS NT/ WINDOWS 95/98/2000, UNIX, LINUX or any of a variety of other

Web server program 220 is an application program shown loaded into server memory 223. Web server program 220 is stored in mass storage device 226 and is then loaded into server memory 223 when selected by a user or is initiated on the server side. In response to a client request, markup language formatted documents will be provided to parser program 206.

FIGURE 3 illustrates a block diagram showing the functional parts of the program of the present invention. Illustrated is a parser program 304 having a first rendering engine 306 and a second rendering engine 308 which is coupled to a display system 310. In operation, parser program 304 is operable to receive text files written in a markup language, such as HTML formatted documents. Depending on the uses of parser 304, HTML formatted documents 302 can be loaded via a request from parser 304 to send files from a remote server, or a parser 304 can retrieve HTML formatted documents 302 from a local storage device. After receiving HTML formatted documents 302, a choice is then made as to which rendering engine is to be used. This choice can be made by a user operating the program. For example, a user may choose that a first rendering engine 306 is to be used. In that case, the HTML document 302 received by parser 304 will be processed using first rendering engine 306. Then the rendered text can be displayed by display routine 310 on a monitor or other display device. On the other hand, if the second rendering engine 308 is to be used, then the HTML documents 302 is processed by second rendering engine 308 and then displayed by display routine 310. Of course, there are times when both rendering engines are to be used so as to view the web text side-by-side or rendered by two different rendering engines in order to determine any differences introduced by the different rendering engines.

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In one embodiment, the rendering engines 306 and 308 can be the Internet Explorer rendering engine by Microsoft and/or the Mozilla rendering engine by Netscape. Rendering engines are operable to perform such tasks as format a document for display based on a markup language as well as manage user interactions and host associated elements such as ActiveX controls and JAVA applets. Parser program 304 can be any program operable to receive hypertext files and prepare them for sending on to a rendering engine. Display 310 is a program and/or hardware operable to display the formatted HTML files.

FIGURE 4a illustrates a sample display of a web browser implementing the present invention. Web browser 400 contains a multitude of windows including a navigational window 402, a channel window 404, an information window 406 and a main display window 408. In operation, navigation window 402 will contain information on navigating a web browser such as forward-backward buttons, search buttons, etc., as is commonly well-known in the art. Channel window 404 contains a list of sites of interest that are organized via topic. Information window 406 can be provided with live, real-time advertisements or banner advertising. Display window 408 is used to display the requested web page after processing by a web rendering engine. In this embodiment, a pull-down menu located on navigation window 402 or elsewhere could include a choice between rendering the HTML formatted hypertext page using a first rendering engine or a second rendering engine, or to use both.

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FIGURE 4b illustrates a web browser in which web display window 408 has been divided into a first window for 408a and a second window for 408b. This embodiment is useful in cases where the choice is made to display one HTML formatted text, i.e., a web page, using both of the rendering engines simultaneously. In that case, the output from a first rendering engine would be displayed in a first window 408a and the output from a second rendering engine would be displayed in a first window 408a and the output from a second rendering engine would be displayed in a second window 408b. This same concept can be extended to cases where more than two rendering engines.

FIGURE 5 illustrates a method for displaying markup language formatted documents using one or more rendering engines. In step 502, a parser program is initiated. By parser program, this could be a web browser program operable to receive web pages written in HTML language from a remote computer via a connection such as a phone connection or a direct connection between the computers. The parser program can also be a program used to translate HTML documents located locally on a single computer. The parser program can also be a development tool used to develop HTML documents. Starting the parser program also initiates the transfer of HTML formatted documents to the parser program. Next, in step 504, an initial rendering engine is selected. This can be done automatically by the software which could have a default rendering engine or it could be done by a user who initially selects a default initial

rendering engine by setting a preference or in some similar fashion. Then, in step 506, a user can choose what rendering engine it wants to use. In step 506, a user can choose to either stay with the rendering engine currently being used, change rendering engines, or use multiple rendering engines. If a user chooses to use the same rendering engine, then, in step 508, the HTML document is displayed using that rendering engine. If the user decides to change rendering engines, then a new rendering engine id is sent by the parser program in step 510, initiating the use of the new rendering engine. Typically, each unique rendering engine will have a unique engine ID. Of course, in the present invention any means of accessing a rendering engine, either one publicly available or a proprietary engine, can be accomplished by the present invention. In step 512, the result is displayed. Finally, if a user chooses to use multiple rendering engines, then all rendering engines ids are sent to initiate the rendering engines in step 513. In step 514, the multiple rendering engine results are displayed. In this manner, comparisons can be made as to what rendering engine most efficiently renders the HTML document.

Thus, it is apparent that there has been provided, in accordance with the present invention, a method and apparatus for interpreting HTML formatted documents using multiple rendering engines that satisfies the advantages as set forth above. Although, the preferred embodiment has been described in detail, it should be understood that various changes, substitutions, and alterations can be made herein. For example, the present invention may be implemented on any computer, computer network, or interconnection of computers, as well as any standalone computer. Although the present invention has been described and shown as an element using the Internet, the scope of the present invention is not limited to the Internet. Other examples of changes, substitutions and alterations are readily assertable by one skilled in the art and could be made without departing from the scope and spirit of the present invention.

### WHAT IS CLAIMED IS:

receiving the document;

5

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1. A method for processing markup language formatted documents comprising:

providing two or more rendering engines;
selecting a rendering engine; and
processing document with the selected rendering engine.

- 2. The method of Claim 1, wherein the step of selecting further comprises: selecting two or more rendering engines, and processing the document with the rendering engines.
- 3. The method of Claim 1, further comprising the step of displaying the processed document.
- 4. The method of Claim 2, further comprising the step of displaying the processed document in multiple windows.
- 5. An apparatus for processing markup language formatted documents comprising:

a computer having a processor and memory, the computer operable to run a parser program, the parser program comprising two or more rendering engines, the parser program operable to:

receive documents; select a rendering engine; and process the documents.

- 6. The apparatus of Claim 5, wherein the parser program is operable to display the documents.
- 7. The apparatus of Claim 5, wherein the parser program is further operable to allow a user to select two or more rendering engines.
- 8. The apparatus of Claim 5, wherien the parser program is operable to display a first window containing a document processed by a first rendering engine and to display a second window containing a document rendered by a second rendering engine.

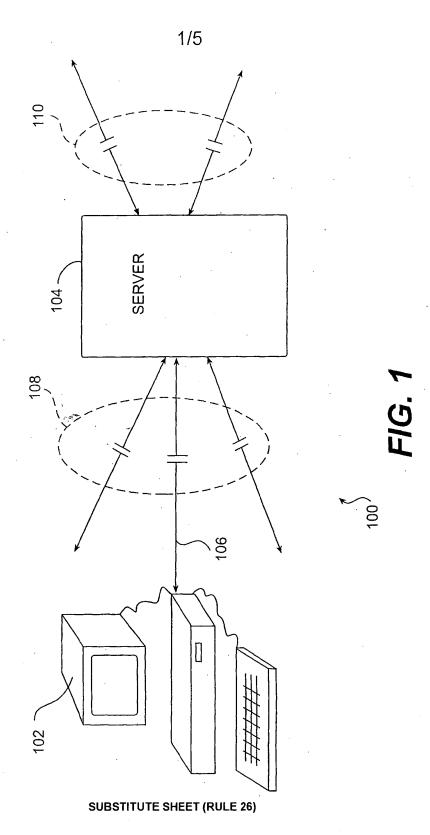
9. The apparatus of Claim 1, wherein the markup language formatted documents are received from a remote server.

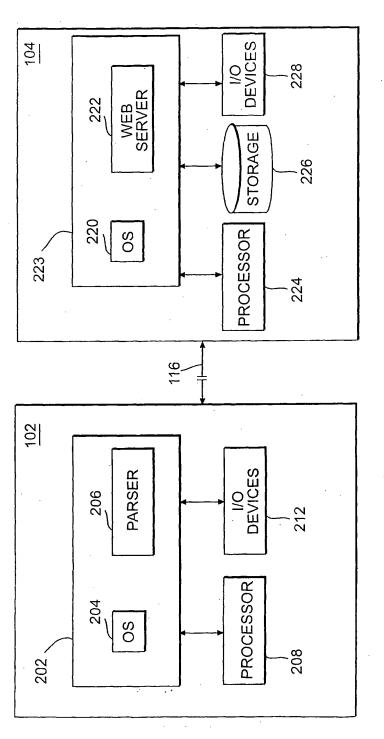
- 10. The apparatus of Claim 5, wherein the parser program is a web browser.
- 11. The apparatus of Claim 5, wherein the parser program is a web page development program.
- 12. A computer-readable medium containing instructions for markup language formatted documents, by:

reading in the documents;

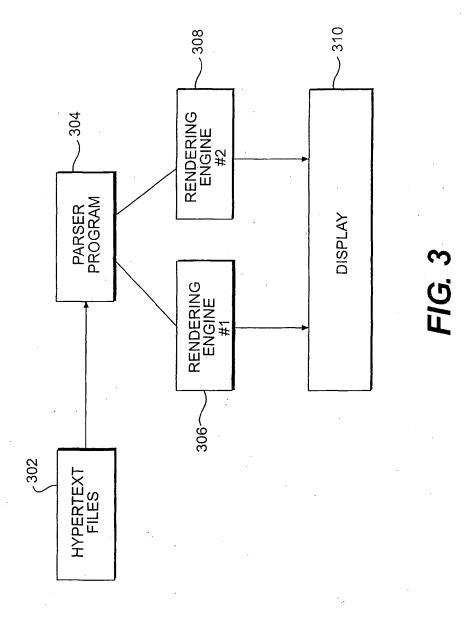
processing the documents by selecting one or more rendering engines from a plurality of rendering engines.

- 13. The medium of Claim 12, wherein the instructions further comprises allowing a user to select two or more rendering engines.
- 14. The medium of Claim 12, wherein he instructions further comprise displaying the documents.
- 15. The medium of Claim 13, wherein the instructions further comprise displaying a first window containing a document processed by a first rendering engine and displaying a second window containing a document rendered by a second rendering engine.

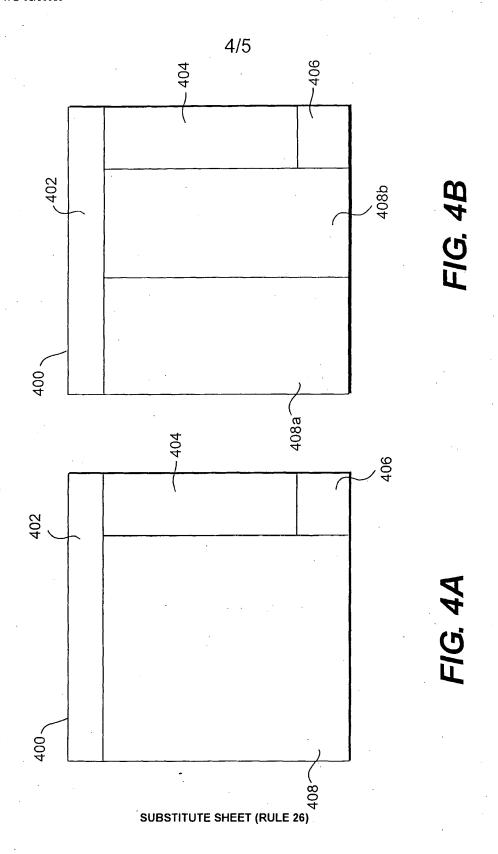




SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)



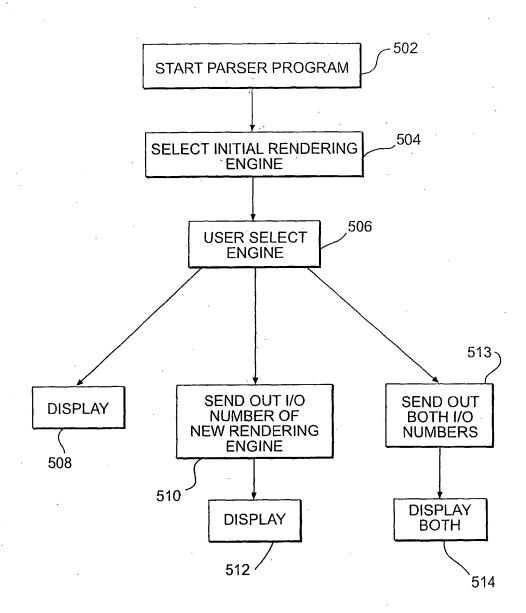


FIG. 5

SUBSTITUTE SHEET (RULE 26)

Electronic Acknowledgement Receipt					
EFS ID:	2911553				
Application Number:	11932553				
International Application Number:					
Confirmation Number:	2604				
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages				
First Named Inventor/Applicant Name:	John Albert Kembel				
Customer Number:	43785				
Filer:	Jonathan Andrew Small				
Filer Authorized By:					
Attorney Docket Number:	IMS 07-05-06				
Receipt Date:	26-FEB-2008				
Filing Date:	31-OCT-2007				
Time Stamp:	15:28:01				
Application Type:	Utility under 35 USC 111(a)				

### Payment information:

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	NPL Documents	20_Alexa.pdf	28441 6eb603169a202330119669906ca319ec	no	1
Warnings:			a2edd79e		

Information:

2	NPL Documents	21_Alexa.pdf	13328	no	1
_			fc448d71e5041f33f782597eb9c3a735e 9e20907		
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3	NPL Documents	22_Alexa.pdf	41160	no	1
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5	NPL Documents	24_Mindspring.pdf	423980	no	16
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Information:					
6	NPL Documents	25_Morrison.pdf	235533	no	5
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Warnings:					
Information:					
7	NPL Documents	26_Flanagan.pdf	344787	no	6
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

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PTO/SB/08a (05-07)
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	Application Number		11932553	
	Filing Date		2007-10-31	
INFORMATION DISCLOSURE	First Named Inventor John		Albert Kembel	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
(Not for Submission under 67 Of K 1.55)	Examiner Name			
	Attorney Docket Number		IMS 07-05-06	

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( Not for submission under 37 CFR 1.99)

Application Number		11932553		
Filing Date		2007-10-31		
First Named Inventor	John /	Albert Kembel		
Art Unit				
Examiner Name				
Attorney Docket Number		IMS 07-05-06		

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( Not for submission under 37 CFR 1.99)

Application Number		11932553	
Filing Date		2007-10-31	
First Named Inventor John		Albert Kembel	
Art Unit			
Examiner Name			
Attorney Docket Number		IMS 07-05-06	

	CERTIFICATION STATEMENT								
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):								
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).								
OR									
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X	None								
	SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.								
Signature /Jonathan A. Small/		/Jonathan A. Small/	Date (YYYY-MM-DD)	2008-02-26					
Nan	ne/Print	Jonathan A. Small	Registration Number	32,631					
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This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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Electronic Acl	Electronic Acknowledgement Receipt						
EFS ID:	2914343						
Application Number:	11932553						
International Application Number:							
Confirmation Number:	2604						
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages						
First Named Inventor/Applicant Name:	John Albert Kembel						
Customer Number:	43785						
Filer:	Jonathan Andrew Small						
Filer Authorized By:							
Attorney Docket Number:	IMS 07-05-06						
Receipt Date:	26-FEB-2008						
Filing Date:	31-OCT-2007						
Time Stamp:	19:29:05						
Application Type:	Utility under 35 USC 111(a)						

### Payment information:

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File Listing:			

	Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
	1	Information Disclosure Statement	IMS-07-05-06-IDS-pt3.pdf	761408	no	4
	'	(IDS) Filed	IIVI3-07-03-00-1D3-pt3.pui	71b90855ca08e8b5f63e1b02b2fb5e05 46416ce6	110	
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#### New Applications Under 35 U.S.C. 111

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### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### **Application Number** 11932553 Filing Date 2007-10-31 **INFORMATION DISCLOSURE** First Named Inventor John Albert Kembel **STATEMENT BY APPLICANT** Art Unit N/A ( Not for submission under 37 CFR 1.99) **Examiner Name** Not yet assigned IMS 07-05-06 Attorney Docket Number

			Remove			
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	5625781		1997-04-29	Cline et al	
	2	5649186		1997-07-15	Ferguson	
	3	5740549		1998-04-14	Reilly et al	
	4	5761662		1998-06-02	Dasan	
	5	5794230		1998-08-11	Horadan et al	
	6	5796393		1998-08-18	MacNaugton et al	
	7	5796952		1998-08-18	Davis et al	
	8	5801702		1998-09-01	Dolan et al	

( Not for submission under 37 CFR 1.99)

Application Number		11932553	
Filing Date		2007-10-31	
First Named Inventor John		Albert Kembel	
Art Unit		N/A	
Examiner Name Not ye		et assigned	
Attorney Docket Number		IMS 07-05-06	

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10	5890172	1999-03-01	Borman et al	
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19	6012098	2000-01-01	Bayeh et al.	

( Not for submission under 37 CFR 1.99)

Application Number		11932553		
Filing Date		2007-10-31		
First Named Inventor John		Albert Kembel		
Art Unit		N/A		
Examiner Name Not ye		et assigned		
Attorney Docket Number		IMS 07-05-06		

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( Not for submission under 37 CFR 1.99)

Application Number		11932553		
Filing Date		2007-10-31		
First Named Inventor John		Albert Kembel		
Art Unit		N/A		
Examiner Name	Not ye	et assigned		
Attorney Docket Number		IMS 07-05-06		

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33	6341305	2002-01-22	Wolfe	
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( Not for submission under 37 CFR 1.99)

Application Number		11932553	
Filing Date		2007-10-31	
First Named Inventor	John .	Albert Kembel	
Art Unit		N/A	
Examiner Name	Not ye	et assigned	
Attorney Docket Number		IMS 07-05-06	

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Application Number		11932553		
Filing Date		2007-10-31		
First Named Inventor John		Albert Kembel		
Art Unit		N/A		
Examiner Name Not ye		et assigned		
Attorney Docket Number		IMS 07-05-06		

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( Not for submission under 37 CFR 1.99)

Application Number		11932553	
Filing Date		2007-10-31	
First Named Inventor John		Albert Kembel	
Art Unit		N/A	
Examiner Name Not ye		et assigned	
Attorney Docket Number		IMS 07-05-06	

	CERTIFICATION STATEMENT									
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):							
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).									
OR										
	foreign patent of after making rea any individual de	information contained in the information disfice in a counterpart foreign application, and sonable inquiry, no item of information contaesignated in 37 CFR 1.56(c) more than thread CFR 1.97(e)(2).	d, to the knowledge of the ined in the information dis	e person signing the certification closure statement was known to						
	See attached cer	rtification statement.								
	Fee set forth in 3	7 CFR 1.17 (p) has been submitted herewith								
X	None									
	SIGNATURE A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.									
Signature /Jonathan A. Small/ Date (YYYY-MM-DD) 2008-02-25										
Nan	ne/Print	Jonathan A. Small	Registration Number	32,631						

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acl	knowledgement Receipt
EFS ID:	2905958
Application Number:	11932553
International Application Number:	
Confirmation Number:	2604
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages
First Named Inventor/Applicant Name:	John Albert Kembel
Customer Number:	43785
Filer:	Jonathan Andrew Small
Filer Authorized By:	
Attorney Docket Number:	IMS 07-05-06
Receipt Date:	25-FEB-2008
Filing Date:	31-OCT-2007
Time Stamp:	17:03:31
Application Type:	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no		
File Listing:			
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	Document Number	Document Description	Document Description File Name		Multi Part /.zip	Pages (if appl.)
	1	Information Disclosure Statement (IDS) Filed	IMS-07-05-06-IDS-pt1.pdf	1195969	no	8
				352408b2cd2f2a85409ef7fbd9d920baf 5df48c8	110	
1	Marringe					

Warnings:

Information:

1195969

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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### PATENT APPLICATION Attorney Docket No. IMS 07-05-06

CERTIFICATE OF ELECTRONIC FILING SYSTEM TRANSMISSION AS REQUIRED BY 37 C.F.R 1.8(a)(1)(ii)

I hereby certify that this correspondence is being submitted using the U.S. Patent Office electronic filing system, EFS-Web, pursuant to  $37\ C.F.R.\ 1.6(a)(4)$  on February 20, 2008.

Typed or printed name of person signing this certificate Jonathan A. Small

Electronic Signature: /Jonathan A. Small/

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John Albert Kembel	)	Confirm. No.: 2604
Appl. No. : 11/932,553	)	TC/A.U. : Unknown
Filed: 10/31/2007	)	Examiner: Unknown

Title: Displaying Time-Varying Internet Based Data Using Application Media Packages

Submitted via EFS-Web

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Response to Notice of Incomplete Reply (Nonprovisional)

Sir:

In response to the Notice of Incomplete Reply (Nonprovisional) mailed on January 22, 2008 (the "Notice") in the above-captioned application, applicant submits herewith amended and formal drawings. The only amendment to the drawings is to correct the mislabeling of Fig. 12C (originally filed as the second of two "Fig. 12A"s). Annotated and Replacement sheets are submitted herewith for the sheet containing that figure. In addition, a complete formal set of Response to Notice of Incomplete Reply

Appl. No. 11/932,553

drawings are submitted herewith. These formal drawings merely more clearly represent the

drawings as filed (and amended herein), and clarify elements identified as blurry (Figs. 1 and 9).

A one month extension of time to respond to the original Notice of Missing Parts, mailed

December 3, 2007 is also hereby requested. Fees for this extension are submitted herewith via

EFS-Web.

If the Patent Office believes that a telephone conference would expedite prosecution and

allowance of this application, please telephone the undersigned at 650-941-4470.

Respectfully submitted,

/Jonathan A. Small/

Jonathan A. Small Registration No. 32,631

309 Second St., Suite 8 Los Altos, CA 94022

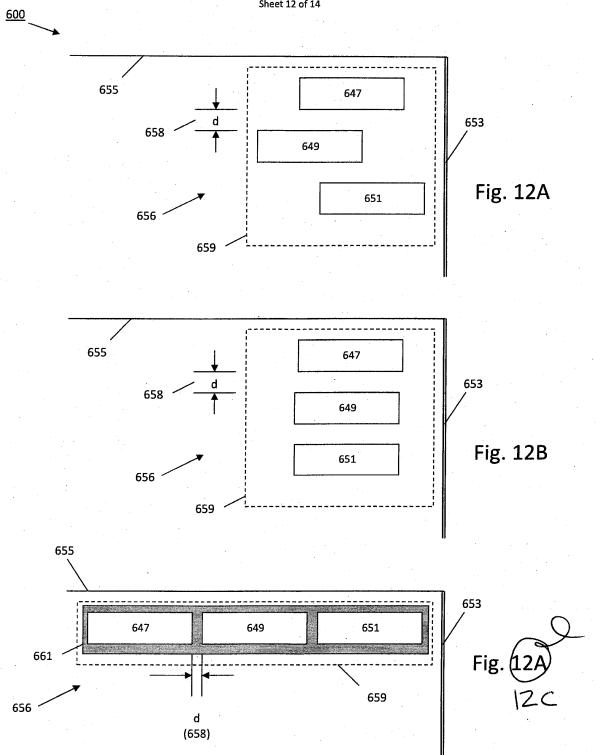
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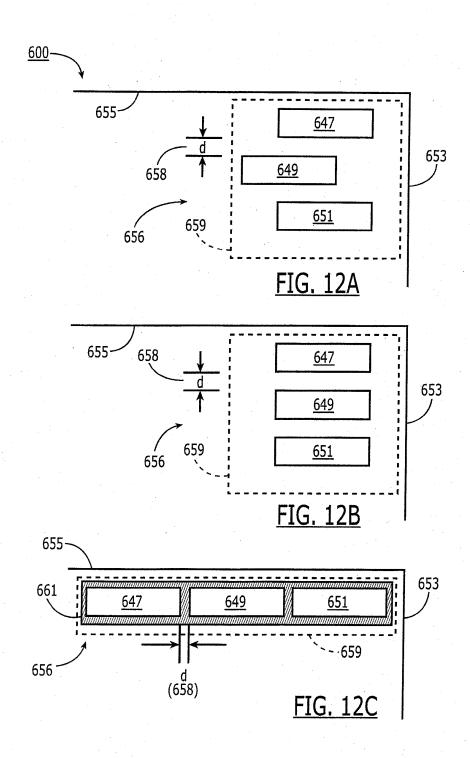
Telephone: 650-941-4470

Page 2 of 2

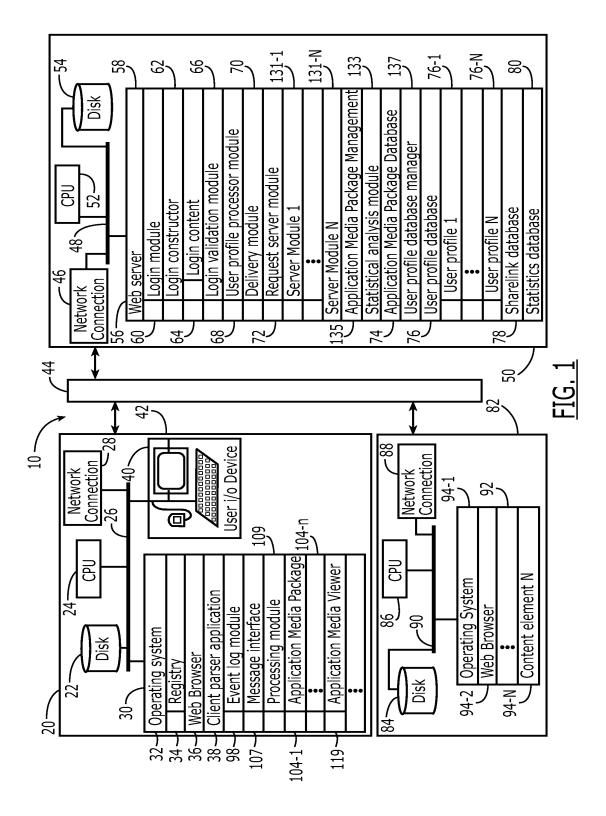
Response to Notice of Incomplete Reply

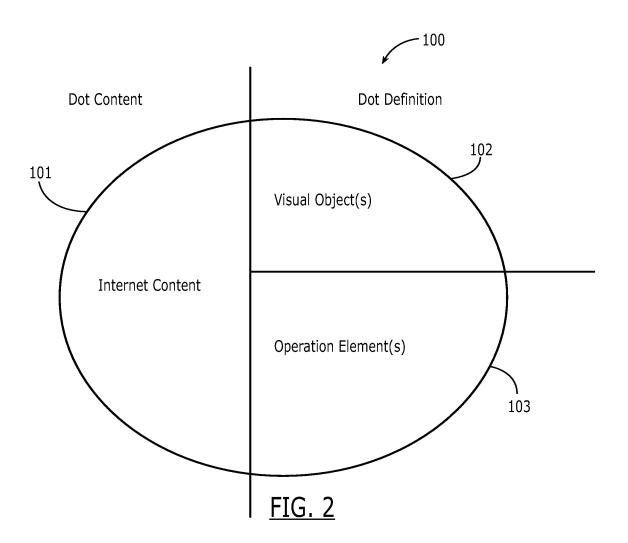
Application of Kembel et al. Attorney docket IMS 07-05-01 Sheet 12 of 14



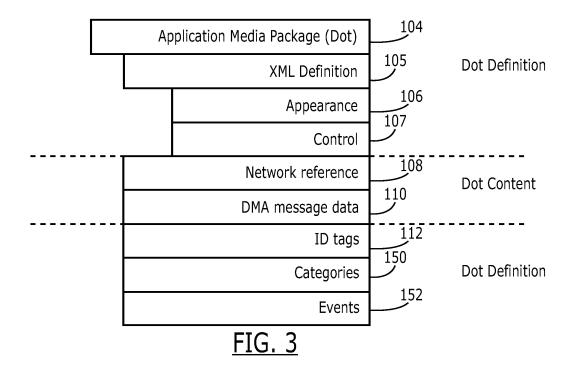


IMS 07-05 1/14

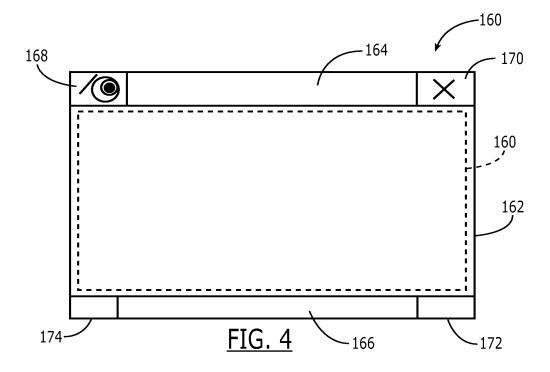




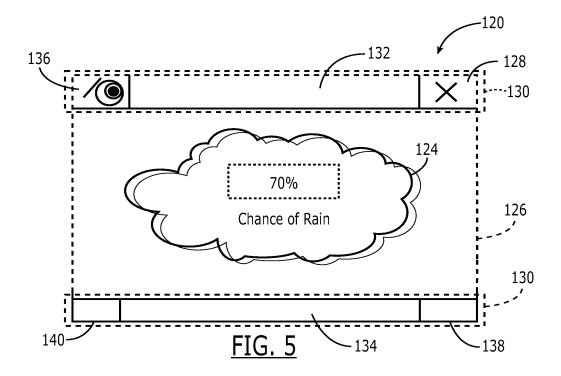
IMS 07-05 3/14

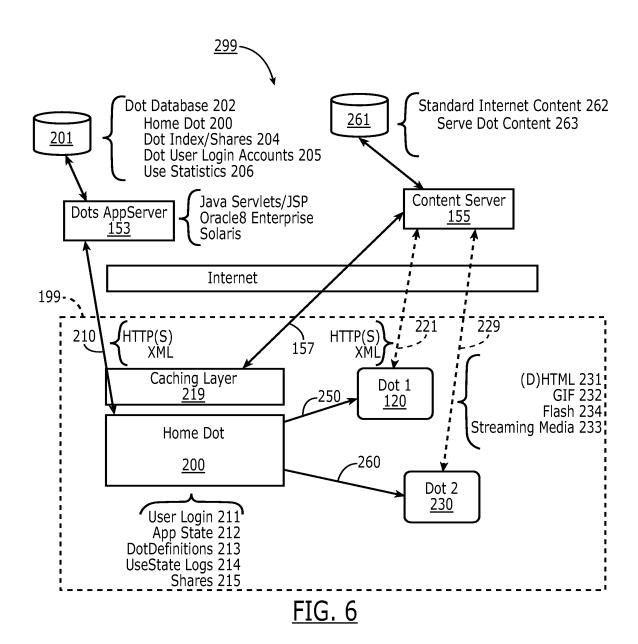


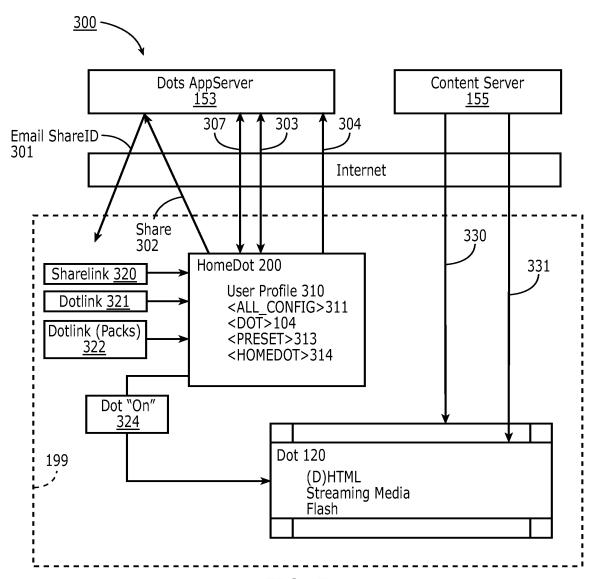
IMS 07-05 4/14



IMS 07-05 5/14

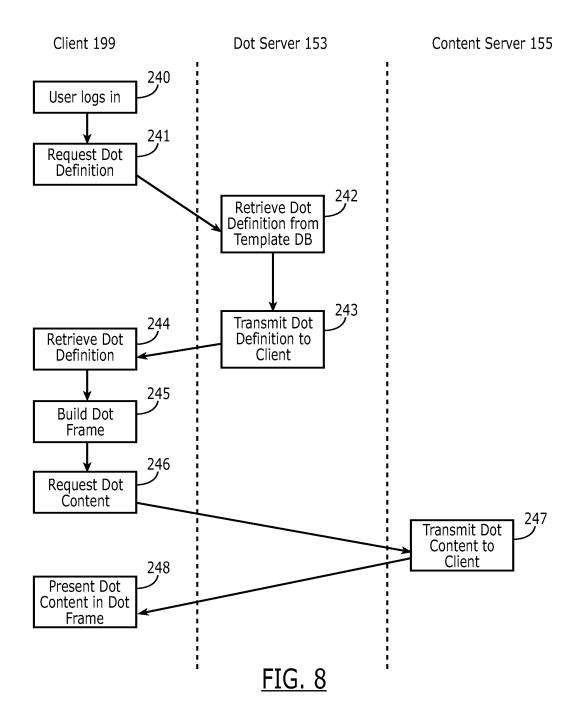






<u>FIG. 7</u>

IMS 07-05 8/14





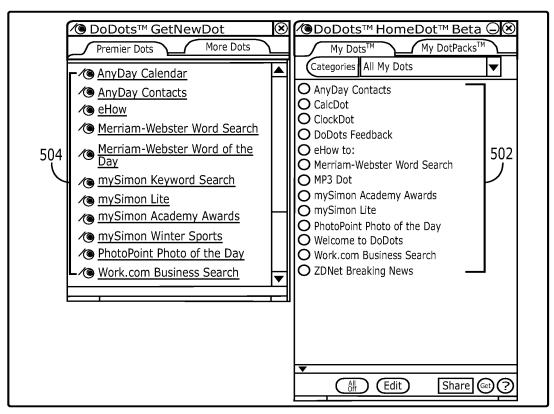
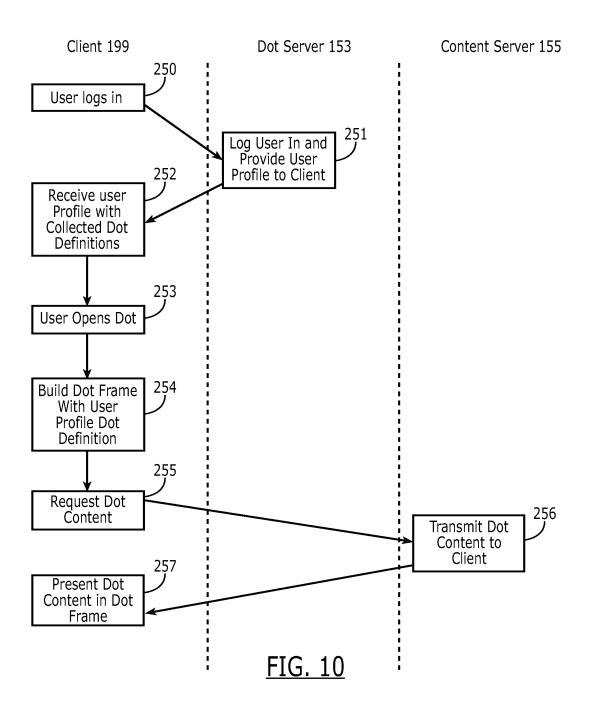
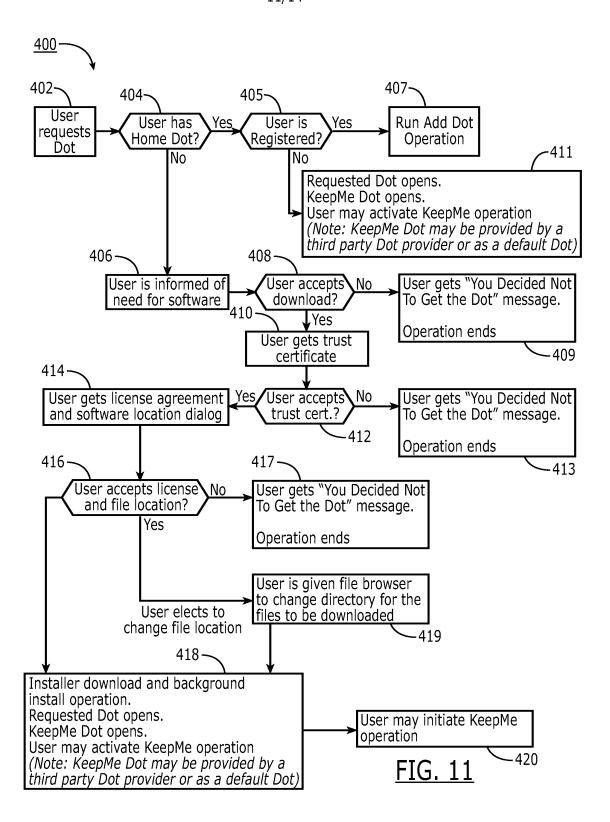


FIG. 9

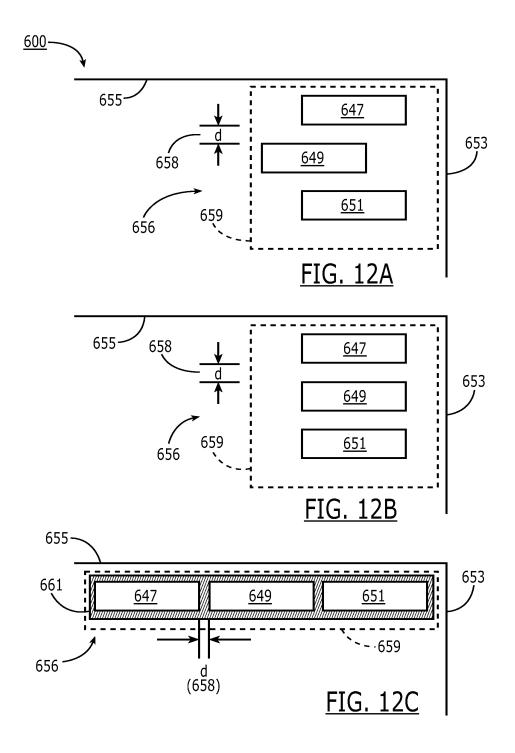
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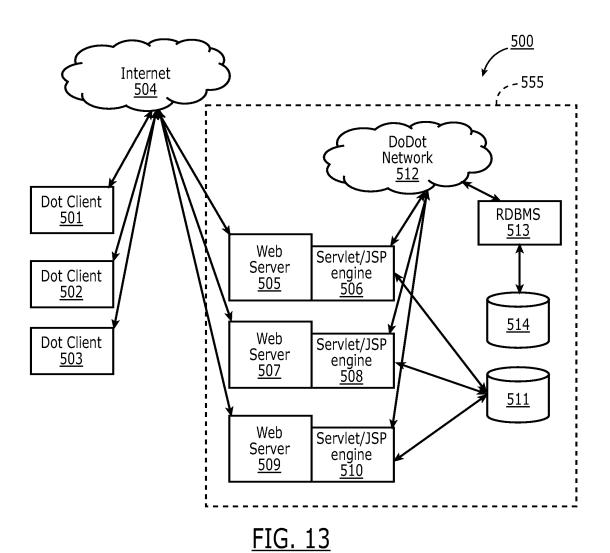


IMS 07-05 11/14

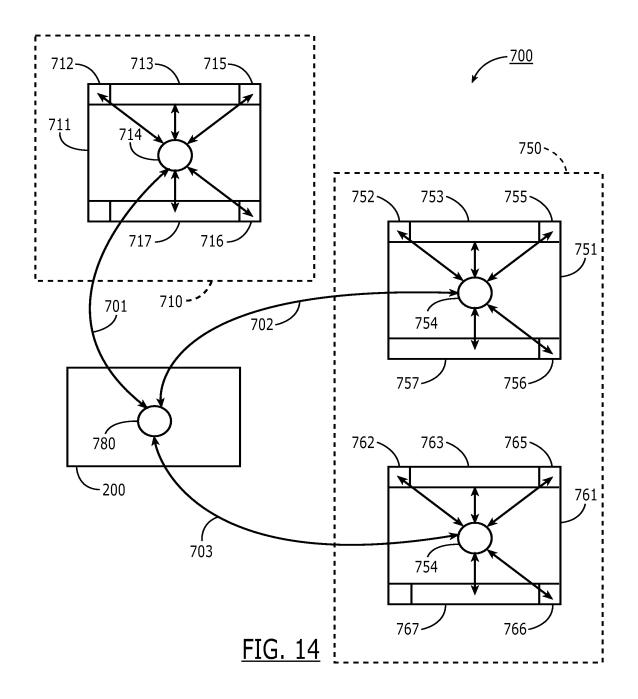


IMS 07-05 12/14





IMS 07-05 14/14



Electronic Patent Application Fee Transmittal								
Application Number:		11932553						
Filing Date:		31-Oct-2007						
Title of Invention:		Displaying Time-Varying Internet Based Data Using Media Application Packages						
First Named Inventor/Applicant Name:	Jo	John Albert Kembel						
Filer:		Jonathan Andrew Small						
Attorney Docket Number:		IMS 07-05-06						
Filed as Small Entity								
Utility Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								
Extension - 1 month with \$0 paid		2251	1	60	60			

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Miscellaneous:					
	Total in USD (\$)		60		

Electronic Acknowledgement Receipt				
EFS ID:	2888919			
Application Number:	11932553			
International Application Number:				
Confirmation Number:	2604			
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages			
First Named Inventor/Applicant Name:	John Albert Kembel			
Customer Number:	43785			
Filer:	Jonathan Andrew Small			
Filer Authorized By:				
Attorney Docket Number:	IMS 07-05-06			
Receipt Date:	20-FEB-2008			
Filing Date:	31-OCT-2007			
Time Stamp:	21:57:28			
Application Type:	Utility under 35 USC 111(a)			
Application Type:	Utility under 35 USC 111(a)			

## Payment information:

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Payment Type	Credit Card
Payment was successfully received in RAM	\$60
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## File Listing:

Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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Information					
2	Applicant Response to Pre-Exam	IMS-07-05_annotated_sheet.	22045	no	1
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



#### United States Patent and Trademark Office

FILING OR 371(C) DATE

10/31/2007

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 P.O. Box 1450 P.O. Box 1450

Alexandria, Vinginia 22313-1450 www.uspto.gov

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

IMS 07-05-06

John Albert Kembel

CONFIRMATION NO. 2604

**FORMALITIES LETTER** 

43785 JONATHAN A. SMALL JAS IP CONSULTING 343 SECOND STREET SUITE F LOS ALTOS, CA 94022

APPLICATION NUMBER

11/932,553

Date Mailed: 01/22/2008

#### NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 01/15/2008 to the Notice to File Missing Parts (Notice) mailed 12/03/2007 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136(a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application. Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

#### **Items Required to Avoid Abandonment:**

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121(d) are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 11.
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)).
     See Figure(s) 12c. A brief description of the several views of the drawings (see 37 CFR 1.74) should be added or amended to correspond to the corrected numbering of the figures. See also 37 CFR 1.77(b)(7).
  - The drawings submitted to the Office are not electronically reproducible because portions of figures 1,9 are missing and/or blurry.
  - The drawings are not in compliance with 37 CFR 1.84 because figures 1 contain figure or view numbers that have incorrect orientation. Reference characters, sheet numbers, and view numbers must be oriented in the same direction as the view. See 37 CFR 1.84(p)(1).

page 1 of 2

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

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For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/rrobe	el/
Office of Initial Patent	Examination (571) 272-4000 or 1-800-PTO-9199

Electronic Acknowledgement Receipt			
EFS ID:	2728355		
Application Number:	11932553		
International Application Number:			
Confirmation Number:	2604		
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages		
First Named Inventor/Applicant Name:	John Albert Kembel		
Customer Number:	43785		
Filer:	Jonathan Andrew Small		
Filer Authorized By:			
Attorney Docket Number:	IMS 07-05-06		
Receipt Date:	16-JAN-2008		
Filing Date:	31-OCT-2007		
Time Stamp:	21:49:00		
Application Type:	Utility under 35 USC 111(a)		

## Payment information:

Submitted with Payment no						
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	IMS 07 05 06 POA.pdf	298433	no	2	9
'	Fower of Attorney	'	INI3_07_03_00_F OA.pui	80c6dcd0e619ea2fe28103ebecece552 e816bea5	no	9
Warnings:						
Information:						

298433

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### PATENT APPLICATION Attorney Docket No. IMS 07-05-06

#### CERTIFICATE OF ELECTRONIC FILING SYSTEM TRANSMISSION AS REQUIRED BY 37 C.F.R 1.8(a)(1)(ii)

I hereby certify that this correspondence is being submitted using the U.S. Patent Office electronic filing system, EFS-Web, pursuant to 37 C.F.R. 1.6(a)(4) on January 16, 2008

Electronic Signature: /Jonathan A. Small/

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John Albert Kembel	)	Confirm. No.: 2604
Appl. No. : 11/932,553	)	TC/A.U.: Not yet assigned
Filed: 10/31/2007	)	Examiner: Not yet assigned

Title: Displaying Time-Varying Internet Based Data Using Application Media Packages

Filed via EFS-Web Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

> Letter Correcting Typographical Error on Statement Under 37 CFR 3.73(b) filed with new Power of Attorney by Assignee

Sir:

Following filing the Power of Attorney and supporting Statement Under 37 CFR 3.73(b), the undersigned discovered that on the Statement Under 37 CRF 3.73(b), in item 4 of the chain of title of the present application, the transfer was erroneously listed as being from Innovation Management Sciences to Innovation Management Sciences. This transfer was intended to read from Innovation Management Sciences to Mainstream Scientific, LLC, as demonstrated by the actual assignment document found at pages 7 and 8 of the document as filed. This error was accidental, and without deceptive intent. The undersigned asserts that the actual assignment filed with the Power of Attorney and Statement Under 37 CRF 3.73(b) is sufficient to meet the requirements of demonstrating proper chain of title, and that no new Statement under 37 CFR 3.73(b) is required. If, however, the Patent Office requests that applicant submit a corrected Statement under 37 CFR 3.73(b), applicant will provide same.

If the Office believes that a telephone conference would expedite processing and prosecution of this application, please telephone the undersigned at 650-941-4470.

Respectfully submitted,

/Jonathan A. Small/

Electronic signature Jonathan A. Small Attorney for Applicant Registration No. 32,631

343 Second St., Suite F Los Altos, CA 94022

Date: January 16, 2008

Telephone: 650-941-4470

Electronic Acknowledgement Receipt			
EFS ID:	2728432		
Application Number:	11932553		
International Application Number:			
Confirmation Number:	2604		
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages		
First Named Inventor/Applicant Name:	John Albert Kembel		
Customer Number:	43785		
Filer:	Jonathan Andrew Small		
Filer Authorized By:			
Attorney Docket Number:	IMS 07-05-06		
Receipt Date:	16-JAN-2008		
Filing Date:	31-OCT-2007		
Time Stamp:	22:51:23		
Application Type:	Utility under 35 USC 111(a)		

## Payment information:

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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Warnings:					

Information:

55189

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO  New Patent Application – By Assignee				
For application titled: Displaying Time-Varying Internet Based Data Using Application Media Packages				
Applicant: John Albert	Kembel et al.	Confirma	tion No.: 2604	
Serial Number: 11/932	,553	Filing Dat	te: 10/31/2007	
		Attorney	docket number: IMS 07-05-06	3
I hereby appoint:  ☑ Practitioners  OR  ☐ Practitioner(s)	associated with the Customer	number	43785	
Name			Name	Reg. No.
as my/our attorney(s) or age Trademark Office connected	nt(s) to prosecute the application identific I therewith. I hereby revoke all previous p	ed above, and lowers of atto	t to transact all business in the Unite mey given in this application	d States Patent and
All correspondence for the a	pplication identified should be addressed	l to:		
∑ The address associated with Customer Number: 43785				
OR				
Circ. Name				
Street Address: City:	State:		Zip Code:	
Country:		F!!		
Telephone: Email:				
I am the	☐ Applicant/Inventor	0	Assignee of Record	
Signature	Parish Pan	rde	Jan. 16,20	0+
Name	Rakesh Ramde		Date	
	[ Annie - Allewanter		Assignee of Record	·
I am the	Applicant/Inventor		_ Assigned of Mecold	
Signature				
Name			Date	
I am the	☐ Applicant/Inventor		Assignee of Record	
Signature				
Name			Date	
				of Attack
	™ Total o	f Q	pages comprise this Pov	ver of Attornev

PTO/SB/86 (12-05) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	STATEMENT UND	ER 37 CFR 3.73	(b)		
Applicant/Pate	Applicant/Patent Owner: Mainstream Scientific, LLC				
Application No	Application No./Patent No./Control No.: 11/932,553 Filed/Issue Date: 10/31/2007				
Entitled: Displa	aying Time-Varying Internet Based Data Using A	pplication Media	a Packages		
Mainstream So (Name of Assigne	cientific, LLC, a Limited Liability Company e) (Type of Assignee: corporation, partnership, t	Iniversity, governme	nt agency, etc.)		
states that it is	:				
1. 🛛 ti	he assignee of the entire right, title, and interest	or			
	an assignee of less than the entire right, title and s %) in the patent application/patent ident		dent (by percentage) of its ownership interest		
by virtue o	f either:				
_ r	An assignment from the inventor(s) of the patent ecorded in the United States Patent and Traden original assignment is attached.				
OR					
	A chain of title from the inventor(s), of the patent as follows:	application/pate	nt identified above, to the current assignee		
1.	From: Kembel et al.	To: DoDots, Inc	c.		
	The document was recorded in the United States Patent and Trademark Office at Reel 11182, Frame 0992, or for which a copy thereof is attached.				
2.	From: DoDots, Inc.	To: Sherwood	Partners, Inc.		
	A copy of the document being attached.				
3.	From: Sherwood Partners, Inc.	To: Innovation	Management Sciences		
	A copy of the document being attached.				
4.	From: Innovation Management Sciences	To: Innovation	Management Sciences		
	A copy of the document being attached.				
□ A	dditional documents in the chain of title are liste	d on a suppleme	ental sheet.		
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.					
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]					
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.					
Signature	Parest Pomole				
Name	Rakesh Ramde				
Date	Jan. 16,2007	Telephone	650-969-8300		

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### **Best Available Copy**

11/05/2003 11:21 FAX 310 4; ,402 SHERWOOD PARTNERS, INC.	<b>2</b> 002 ,
01/17/2001 14:41 DC TS, INC. > 13184778402 Sent By: SHERWOOD PARTNERS INC.; 310 477 8402; Jan-18-U1 8:	NO.783 D204 :05AM; Page 3/3

#### GENERAL ASSIGNMENT

This Assignment is made this 16th day of January 2001, by DoDots, Inc., located at, 501 Ellie Street, Mountain View, California 94043, hereinafter referred to as Assignor, to Sherwood Partners, Inc., a California corporation, California, hereinafter referred to as Assignee.

WITNESSETH: That Assignor, for and in consideration of the covenants and agreements to be performed by Assignee, as hereinafter contained, and for good and valuable consideration, receipt whereof is hereby acknowledged, does hereby grant, bargain, sell, assign, convey and transfer unto Assignee, its successors and assigns, in trust, for the benefit of Assignor's creditors generally, all of the property of Assignor of every kind and nature and wheresoever situated, both real and personal, and any interest or equity therein not exempt from execution, including, but not limited to, all that ceitain stock of merchandise, furniture and fixtures, accounts, books, cash on hand, cash in bank, deposits, patents, copyrights, trademarks and trade names, insurance policies, choses in action that are legally assignable, together with the proceeds of any existing non-assignable choses in action that may hereafter be recovered or received by Assignor. Assignor agrees to execute such additional documents as shall be necessary to accomplish the purposes of this Assignment.

This Assignment specifically includes and covers all claims for refund or ablatement of all excess taxes heretofore or hereafter assessed against or collected from Assignor by the U.S. Treasury Department or any other taxing agency, and Assignor egrees to sign and execute power of attorney or such other documents as required to enable Assignee to file and prosecute, compromise and/or settle, all such claims before the Internal Revenue Service, U.S. Treasury Department or any other taxing agency.

Assignee is to receive said property, conduct said business, should it deem it proper, and is hereby authorized at any time after the signing hereof by Assignor to sell and dispose of said property upon such time and terms as it may see fit, and is to pay to creditors of Assignor pro rata, the net proceeds arising from the conducting of said business and sale and disposal of said property, after deducting all moneys which Assignee may et its option pay for the discharge of any lien on any of said property and any indebtedness which under the law is entitled to priority of payment, and all excenses, including a reasonable fee to Assignee and its attorney and to the attorney if any, for Assignor.

If any dividends to creditors shall remain unclaimed for a period of one year after issuance of the final dividend checks, then the same shall become the property of Assignee and used to supplement its fees for services rendered in administering this Assignment. Any interest that may be earned on funds administered under this Assignment shall belong to and are hereby assigned to Assignee as additional fees for its services hereunder.

1

### **Best Available Copy**

11/05/2003 11:22 FAX 310 47. 402 01/17/2801 14:41 DI JTS. INC. + 1 Sent By: SHERWOOD PARTNERS INC.; 310	SHERWOOD PARTNERS, INC. 3184778402 0 477 8402; Jan-16-01 8:04AM;	Ø 003 NO.783
Assignee is also authorized representatives and/or attorneys and such agents and/or field representationally assigned assets or the proceeds the thereon and with the further power are such papers and documents in concordider necessary or advisable.  Assignor authorizes the forward directed by Assignee.	and empowered to appoint such agents, for accountants as it may deem necessary, was shall have full power and authority to agnee or its nominees or agents and to de reof in such bank accounts and to draw ched authority to do such other acts and to exempt to make the count of the rection with this Assignment as Assignee ding of its mail by the U.S. Postal Department arties hereunder set their hands the day and	field and open posit ecks ecute may
TAX I.D. NUMBERS:	Assignor:	
#	DoDots, Inc.  By: Its: (#5)  Assignee:  Sherwood Periners, Inc.  By: Its: (#5)	

#### EXHIBIT D

#### Assignment of Patent Rights

For good and valuable consideration, the receipt of which is hereby acknowledged, Sherwood Partners,, Inc., a California corporation, having offices at 101 University Avenue, Suite 100, Palo Alto, CA 94301 ("Assignor"), in its sole and limited capacity as Assignee for the Benefit of Creditors of DoDots, Inc., does hereby sell, assign, transfer and convey unto Innovation Management Sciences, a partnership organized under the laws of California, having an office at 970 Terra Bella Avenue, Suite 8, Mountain View, California 94043 ("Assignee") or its designees, all of Assignor's right, title and interest in and to the patent applications and patents listed below, any patents issuing on any patent applications listed below, the inventions disclosed in any of the foregoing, any and all counterpart United States, international and foreign patents, applications and certificates of invention based upon or covering any portion of the foregoing and all reissues, divisionals, renewals, extensions, provisionals, continuations and continuations-in-part of any of the foregoing (collectively "Patent Rights"):

Serial / Patent No.	Filing Date	Country
60/131,083	26 April 1999	US
60/131,114	26 April 1999	US
60/131,115	26 April 1999	US
60/176,687	18 January 2000	US .
60/176,699	18 January 2000	US
09/558,922	26 April 2000	US
09/558,923	26 April 2000	US
09/558,924	26 April 2000	US
09/558,925	26 April 2000	US
PCT/US00/11507	26 April 2000	PCT
60/177,860	24 January 2000	US
60/193,872	31 March 2000	US
60/230,540	5 September 2000	US
09/703,499	31 October 2000	US
60/252,029	17 November 2000	US

Assignor represents, warrants and covenants that: (i) it is the sole owner, assignee and holder of record title to the Patent Rights identified above, (ii) it has obtained and properly recorded previously executed assignments for all patent applications and patents identified above as necessary to fully perfect its rights and title therein in accordance with governing law and regulations in each respective jurisdiction, and (iii) it has full power and authority to make the present assignment. Assignor shall indemnify and hold harmless Assignee for any breach of the foregoing.

Assignor further agrees to and hereby does sell, assign, transfer and convey unto Assignee all rights:
(i) in and to causes of action and enforcement rights for the Patent Rights including all rights to

[DSK\AGT\482695.1 7/8/04 (2:15 PM)]

1)

pursue damages, injunctive relief and other remedies for past and future infringement of the Patent Rights, and (ii) to apply in any or all countries of the world for patents, certificates of invention or other governmental grants for the Patent Rights, including without limitation under the Paris Convention for the Protection of Industrial Property, the International Patent Cooperation Treaty, or any other convention, treaty, agreement or understanding. Assignor also hereby authorizes the respective patent office or governmental agency in each jurisdiction to issue any and all patents or certificates of invention which may be granted upon any of the Patent Rights in the name of Assignee, as the assignee to the entire interest therein.

Assignor will, at the reasonable request of Assignee and without demanding any further consideration therefor, do all things necessary, proper, or advisable, including without limitation the execution, acknowledgment and recordation of specific assignments, oaths, declarations and other documents on a country-by-country basis, to assist Assignee in obtaining, perfecting, sustaining, and/or enforcing the Patent Rights. Such assistance shall include providing, and obtaining from the respective inventors, prompt production of pertinent facts and documents, giving of testimony, execution of petitions, oaths, powers of attorney, specifications, declarations or other papers and other assistance reasonably necessary for filing patent applications, complying with any duty of disclosure, and conducting prosecution, reexamination, reissue, interference or other priority proceedings, opposition proceedings, cancellation proceedings, public use proceedings, infringement or other court actions and the like with respect to the Patent Rights.

The terms and conditions of this Assignment shall inure to the benefit of Assignee, its successors, assigns and other legal representatives, and shall be binding upon Assignor, its successor, assigns and other legal representatives.

IN WITNESS WHEREOF this Assignment of Patent Rights is executed at, on
ASSIGNOR
Ву:
Name:
Title:
(Signature MUST be notarized)
State of CALIFONNIA)  County of SANTA CLARA)  SS.:
On EVET 8 2004, before me Notary Public, personally appeared Notary Public, personally appeared personally known to me on the basis of satisfactory evidence to be the
person whose name is subscribed to within the instrument and acknowledged to me that he/see executed the same in his authorized capacity, and that by his/hersignature on the instrument the person, or the entity upon behalf of which the person acted, executed the instrument.
WITNESS my hand and official seal    Control   Herbert L. HAMERSLOUGH
Santo Clara County My Comm. Expires Feb 9, 2008

[DSK\AGT\482695.1 7/8/04 (2:15 PM)]

#### Assignment of Patent Rights

For good and valuable consideration, the receipt of which is hereby acknowledged, Innovation Management Sciences, a partnership organized under the laws of California, having an office at 970 Terra Bella Avenue, Suite 8, Mountain View, California 94043 ("Assignor") does hereby sell, assign, transfer and convey unto Mainstream Scientific, LLC, a California limited liability company having an office at 650 Castro Street, Suite 120-333, Mountain View, CA 94041 ("Assignee") or its designees, all of Assignor's right, title and interest in and to the patent applications and patents listed below, any patents issuing on any patent applications listed below, the inventions disclosed in any of the foregoing, any and all counterpart United States, international and foreign patents, applications and certificates of invention based upon or covering any portion of the foregoing and all reissues, divisionals, renewals, extensions, provisionals, continuations and continuations-in-part of any of the foregoing (collectively "Patent Rights"):

Serial / Patent No.	Filing Date	Country
60/131,083	26 April 1999	US
60/131,114	26 April 1999	US
60/131,115	26 April 1999	US
60/176,687	18 January 2000	US
60/176,699	18 January 2000	US
09/558,922	26 April 2000	US -
09/558,923	26 April 2000	US
09/558,924	26 April 2000	US
09/558,925	26 April 2000	US.
PCT/US00/11507	26 April 2000	PCT
60/177,860	24 January 2000	US
60/193,872	31 March 2000	US
60/230,540	5 September 2000	US
09/703,499	31 October 2000	US
60/252,029	17 November 2000	US

Assignor represents, warrants and covenants that: (i) it is the sole owner, assignee and holder of record title to the Patent Rights identified above, (ii) it has obtained and properly recorded previously executed assignments for all patent applications and patents identified above as necessary to fully perfect its rights and title therein in accordance with governing law and regulations in each respective jurisdiction, and (iii) it has full power and authority to make the present assignment. Assignor shall indemnify and hold harmless Assignee for any breach of the foregoing.

Assignor further agrees to and hereby does sell, assign, transfer and convey unto Assignee all rights: (i) in and to causes of action and enforcement rights for the Patent Rights including all rights to pursue damages, injunctive relief and other remedies for past and future infringement of the Patent Rights, and (ii) to apply in any or all countries of the world for patents, certificates of invention or other governmental grants for the Patent Rights, including without limitation under the Paris

1

Assignor also hereby authorizes the respective patent office or governmental agency in each jurisdiction to issue any and all patents or certificates of invention which may be granted upon any of the Patent Rights in the name of Assignee, as the assignee to the entire interest therein.

The terms and conditions of this Assignment shall inure to the benefit of Assignee, its successors, assigns and other legal representatives, and shall be binding upon Assignor, its successor, assigns and other legal representatives.

IN WITNESS WHEREOF this Assignment of P	atent Rights is executed at <u><math>No</math></u>	ountain View, on
November 16,2005	-	4
ASSIGNOR		
By: Paped Papale		
Name: Rakesh Ramda		
Title: Officer		·
(Signature MUST be notarized)		·
State of Calyanic )		
County of Same cleve ) SS.:		
On Nov-16 lk 2005 before me.	gendre KDhowa	_ Notary Public, personally
appeared Kaket Kamp personally know	n to me (or proved to me on the b	asis of satisfactory evidence)
to be the person whose name is subscribed to the with same in his authorized capacity, and that by his/her si	in instrument and acknowledged to	o me that ne/sne executed the son, or the entity upon behalf
of which the person acted, executed the instrument.	Purrate ou me monament are bere	, o
WITNESS my hand and official seal	RAJENDRA K.	CHAVIAN E
Dum	COMM. # 13/ NOTARY PUBLIC. S Sante Clara Co tay Comm. Expiras da	AUFORNIA Üİ
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Electronic Patent Application Fee Transmittal					
Application Number:	11	932553			
Filing Date:	31	-Oct-2007			
Title of Invention:	Di: Pa	splaying Time-Var ickages	ying Internet E	3ased Data Using	Media Application
First Named Inventor/Applicant Name:	Jo	hn Albert Kembel			
Filer:	Jo	Jonathan Andrew Small			
Attorney Docket Number:	IM	IMS 07-05-06			
Filed as Small Entity					
Utility Filing Fees	Utility Filing Fees				
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Utility filing Fee (Electronic filing)		4011	1	75	75
Utility Search Fee		2111	1	255	255
Utility Examination Fee		2311	1	105	105
Pages:					
Utility Appl Size fee per 50 sheets >100		2081	1	130	130
Claims:					
Miscellaneous-Filing:					
Late filing fee for oath or declaration		2051	1	65	65

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE	(\$)	630

Electronic Acknowledgement Receipt			
EFS ID:	2720817		
Application Number:	11932553		
International Application Number:			
Confirmation Number:	2604		
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages		
First Named Inventor/Applicant Name:	John Albert Kembel		
Customer Number:	43785		
Filer:	Jonathan Andrew Small		
Filer Authorized By:			
Attorney Docket Number:	IMS 07-05-06		
Receipt Date:	15-JAN-2008		
Filing Date:	31-OCT-2007		
Time Stamp:	17:59:41		
Application Type:	Utility under 35 USC 111(a)		
Payment information:			

## Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$630
RAM confirmation Number	2629
Deposit Account	
Authorized User	

## File Listing:

Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
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Warnings:					
Information	:				
Total Files Size (in bytes)		:	3619		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## PATENT APPLICATION Attorney Docket No. IMS 07-05-06

CERTIFICATE OF ELECTRONIC FILING SYSTEM TRANSMISSION AS REQUIRED BY 37 C.F.R 1.8(a)(1)(ii)

I hereby certify that this correspondence is being submitted using the U.S. Patent Office electronic filing system, EFS-Web, pursuant to 37 C.F.R. 1.6(a)(4) on **January 15, 2008**.

Typed or printed name of person signing this certificate Jonathan A. Small

Electronic Signature: /Jonathan A. Small/

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): John Albert Kembel	) Confirm. No. : 2604
Appl. No. : 11/932,553	) TC/A.U. : Not yet assigned
Filed: 10/31/2007	) Examiner: Not yet assigned

Title: Displaying Time-Varying Internet Based Data Using Application Media Packages

Filed via EFS-Web Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# Response to Notice to File Missing Parts of Nonprovisional Application Filed under 37 CFR 1.53(b)

Sir:

In response to the Notice to File Missing Parts of Nonprovisional Application mailed on December 3, 2007 (the "Notice"), in the above-identified application, applicant submits the declaration filed in the parent application pursuant to 37 CFR 37 CFR 1.63(d). Filing fees for the present application are being separately submitted via EFS-Web. The application should now be in condition for examination.

1

**Preliminary Amendment** 

Appl. No. 11/932,553

If the Patent Office believes that a telephone conference would expedite prosecution and allowance of this application, please telephone the undersigned at 650-941-4470.

Respectfully submitted,

/Jonathan A. Small/

Electronic signature Jonathan A. Small Registration No. 32,631

343 Second St., Suite F Los Altos, CA 94022

Date: January 15, 2008

Telephone: 650-941-4470

### **DECLARATION FOR PATENT APPLICATION**

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or

whic!	iginal, first and jo h is claimed and f nod for Interactin	or which a p	atent is sought	on the inv	ention entitle	ed Apparatus	
	[]	is attached he	ereto.				
			April 26, 2000 ended on	– –		No. <u>09/558,92</u>	<u>5</u>
speci	I hereby state the fication, including						entified
oe ma	I acknowledge aterial to patentab	•			ce all informa	ation known t	o me to
dent	I hereby claim foreign application ified below any fore that of the application	n(s) for paten oreign applica	t or inventor's o	ertificate or invent	listed below	and have also	
	Prior Foreign A	application(s	)			Priority Cl	aimed
	(Number)	(Cou	ntry)	(Date Fi	led)	Yes [ ]	No []
his a by the liscle lefine	I hereby claim to application is application is not defined from the property of the Patent Code of the PCT interests.	sted below and disclosed in to fit Title 35, Up of the fit of the	nd, insofar as the prior United United States Coormation known curred between	e subject in States applied, §112, in to me to the filing	matter of eac plication in the I acknowled, be material to date of the p	h of the claim he manner proge the duty to o patentability	s of ovided y as
	(Application Serial	No.)	(Filing Date)	<u> </u>	(Status	<u> </u>	
	(Application Serial	140.)	(rimig Date)	. (	patented, pendir		

(Status) (patented, pending, abandoned)

(Filing Date)

(Application Serial No.)

I hereby claim the benefit under Title 35, United States Code §119(e) of any United States provisional application(s) listed below.

60/131,083	April 26, 1999
(Application Serial No.)	(Filing Date)
60/131,115	April 26, 1999
(Application Serial No.)	(Filing Date)
60/131,114	April 26, 1999
(Application Serial No.)	(Filing Date)
60/176,687	January 18, 2000
(Application Serial No.)	(Filing Date)
60/176,699	January 18, 2000
(Application Serial No.)	(Filing Date)

Direct all telephone calls to <u>William S. Galliani</u> at (650) 493-4935. Address all correspondence to:

PENNIE & EDMONDS LLP 3300 Hillview Avenue Palo Alto, CA 94304

File No. <u>10351-0007</u>

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Title 18, United States Code, §1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of sole or		
first inventor:	John Albert Kembel	
Inventor's signature:	Juhlull	
Date:	1 Sep 11 '00	
Residence:	2334 Williams Street, Palo Alto, CA 94306	_
Citizenship:	USA	
Post Office Address:	2334 Williams Street	
	Palo Alto CA 94306	

Full name of second joint	
inventor, if any:	George Andrew Kembel
Inventor's signature:	
Date:	9/11/00
Residence:	1045 Santa Cruz Avenue, Apt. F, Menlo Park, CA 94025
Citizenship:	USA
Post Office Address:	1045 Santa Cruz Avenue, Apt. F
	Menlo Park, CA 94025
Full name of third joint inventor, if any:	Daniel S. Kim
Inventor's signature:	Same & Di
Date:	9/8/2000
Residence:	408 Pepper Avenue, Palo Alto, CA 94306
Citizenship:	USA
Post Office Address:	408 Pepper Avenue
	Palo Alto, CA 94306
Full name of fourth joint inventor, if any:	John Russell
Inventor's signature:	1 des 2-45011
Date:	9-8-2000
Residence:	605 Colorado Avenue, Palo Alto, CA 94306
Citizenship:	USA
Post Office Address:	605 Colorado Avenue
	Palo Alto, CA 94306
Full name of fifth joint	
inventor, if any:	Jake Wobbrock
Inventor's signature:	Juli Wilmoll
Date:	0 9/8/2000
Residence:	1091 Tanland Drive, #201, Palo Alto, CA 94303
Citizenship:	USA
Post Office Address:	1091 Tanland Drive, #201
	Palo Alto, CA 94303

Full name of sixth joint inventor, if any:	Geoffrey S. Kembel
Inventor's signature:	Cull Unil
Date:	9/8/2000
Residence:	1045 Santa Cruz Avenue, Apt. F, Menlo Park, CA 94025
Citizenship:	USA
Post Office Address:	1045 Santa Cruz Avenue, Apt. F
	Menlo Park, CA 94025
Full name of seventh joint inventor, if any:	Jeremy L. Kembel
Inventor's signature:	1, (1)
Date:	9/8/2000
Residence:	213 Fulton Street, Palo Alto, CA 94301
Citizenship:	USA
Post Office Address:	213 Fulton Street,
	Palo Alto, CA 94301
Full name of eighth joint inventor, if any:	Lynn D. Gabbay
Inventor's signature:	2 m d d
Date:	19/8/2000
Residence:	1249 Lakeside Drive, #1064, Sunnyvale, CA 94085
Citizenship:	USA
Post Office Address:	1249 Lakeside Drive, #1064
	G

Electronic Acknowledgement Receipt				
EFS ID:	2721670			
Application Number:	11932553			
International Application Number:				
Confirmation Number:	2604			
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages			
First Named Inventor/Applicant Name:	John Albert Kembel			
Customer Number:	43785			
Filer:	Jonathan Andrew Small			
Filer Authorized By:				
Attorney Docket Number:	IMS 07-05-06			
Receipt Date:	15-JAN-2008			
Filing Date:	31-OCT-2007			
Time Stamp:	20:44:01			
Application Type:	Utility under 35 USC 111(a)			

## Payment information:

Submitted with Payment	no
File I intings	

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Response to Pre-Exam	NewDots_msg_parts_06.pdf	54976	no	2
l	Formalities Notice	Newbots_msg_parts_oo.pdr	6033b878b505d32bd18c9bfccc7a3abe 34e19ebe	110	
Warnings:					
Information	:				

2 Oath or Declaratio	Oath or Declaration filed	925_decl.pdf -	145760	no	no	4
	Oath of Declaration filed		18a67a88d7965f94f48bc2014b7a8038 87b36ffd		4	
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Information	!					
Total Files Size (in bytes): 200736		00736				

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#### New Applications Under 35 U.S.C. 111

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#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.C. BOX 1450

ress: COMMISSIONER FOR PATENT: P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

**FILING RECEIPT** 

APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
11/932,553	10/31/2007		0.00	IMS 07-05-06	2	1

**CONFIRMATION NO. 2604** 

43785 JONATHAN A. SMALL JAS IP CONSULTING 343 SECOND STREET SUITE F LOS ALTOS, CA 94022

\*CC00000026847353\*

Date Mailed: 12/03/2007

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

#### Applicant(s)

John Albert Kembel, Palo Alto, CA; George Andrew Kembel, Mento Park, CA; Daniel Kim, Palo Alto, CA; John Russell, Palo Alto, CA; Jake Wobbrock, Palo Alto, CA; Geoffrey Kembel, Menlo Park, CA; Jeremy Kembel, Palo Alto, CA; Lynn Gabbay, Sunnyvale, CA;

#### **Assignment For Published Patent Application**

Mainstream Scientific, LLC, Mountain View, CA

Power of Attorney: None

#### Domestic Priority data as claimed by applicant

This application is a CON of 09/558,925 04/26/2000 which claims benefit of 60/131,083 04/26/1999 and claims benefit of 60/131,114 04/26/1999 and claims benefit of 60/131,115 04/26/1999 and claims benefit of 60/176,687 01/18/2000 and claims benefit of 60/176,699 01/18/2000

Foreign Applications

If Required, Foreign Filing License Granted: 11/20/2007

page 1 of 3

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 11/932.553** 

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Displaying Time-Varying Internet Based Data Using Media Application Packages

**Preliminary Class** 

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

#### LICENSE FOR FOREIGN FILING UNDER

#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NUMBER 11/932.553

FILING OR 371(C) DATE 10/31/2007

FIRST NAMED APPLICANT

John Albert Kembel

ATTY. DOCKET NO./TITLE

IMS 07-05-06

**CONFIRMATION NO. 2604** 

**FORMALITIES LETTER** 

43785 JONATHAN A. SMALL JAS IP CONSULTING 343 SECOND STREET SUITE F LOS ALTOS, CA 94022

Date Mailed: 12/03/2007

#### NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

#### **Items Required To Avoid Abandonment:**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

  Applicant must submit \$75 to complete the basic filing fee for a small entity.
- The oath or declaration is missing.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

Note: If a petition under 37 CFR 1.47 is being filed, an oath or declaration in compliance with 37 CFR 1.63 signed by all available joint inventors, or if no inventor is available by a party with sufficient proprietary interest, is required.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Replacement drawings in compliance with 37 CFR 1.84 and 37 CFR 1.121(d) are required. The drawings submitted are not acceptable because:
  - Numbers, letters, and reference characters on the drawings must measure at least 0.32 cm (1/8 inch) in height. See Figure(s) 11.
  - More than one figure is present and each figure is not labeled "Fig." with a consecutive Arabic numeral (1, 2, etc.) or an Arabic numeral and capital letter in the English alphabet (A, B, etc.)(see 37 CFR 1.84(u)(1)). See Figure(s) 12c. A brief description of the several views of the drawings (see 37 CFR 1.74) should be added or amended to correspond to the corrected numbering of the figures. See also 37 CFR 1.77(b)(7).
  - The drawings submitted to the Office are not electronically reproducible because portions of figures 1,9 are missing and/or blurry.

Applicant is cautioned that correction of the above items may cause the specification and drawings page count to exceed 100 pages. If the specification and drawings exceed 100 pages, applicant will need to submit the required application size fee.

page 1 of 2

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

• To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.16(f) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this notice.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$630 for a small entity

- \$75 Statutory basic filing fee.
- \$65 Surcharge.
- The application search fee has not been paid. Applicant must submit \$255 to complete the search fee.
- The application examination fee has not been paid. Applicant must submit \$105 to complete the examination fee for a small entity in compliance with 37 CFR 1.27.
- The specification and drawings submitted electronically contain the equivalent of more than 100 pages. Applicant owes \$130 for 23 pages in excess of 100 pages for a small entity in compliance with 37 CFR 1.27.

Replies should be mailed to:

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

/tketsela/	
Office of Initial Patent Examination (571) 272-4000 or 1-800-PT	O-9199

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Annli	icat	ion Da	ta C	heet 37	CED	1 74	Attorney	Do	cket N	lumber	IMS	07-05-06		
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PTO/SB/14 (07-07)
Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application	n Data S	heet 37	CFR	1 76	Attorne	y Docke	et Nι	ımber	IMS 07	′-05-06		
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Mailing Add			- 1									
Address 1		408 Pep	per Av	enue								
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Mailing Add	ress of Ap	plicant:										
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Approved for use through 06/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Application Data Sheet 37 CF				CEB	Attorney Docket Number			IMS 07-05-06						
Applica	lion Da	la SII	ieel 37	CFR	. 1.70	Applic	ation I	Numbe	er					
Title of Inv	Title of Invention Displaying Time-Varying Internet Based Data Using Application Media Packages													
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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	IMS 07-05-06
Application Da	ita Sileet 37 Cl K 1.70	Application Number	
Title of Invention	Displaying Time-Varying Inter	net Based Data Using Application	on Media Packages

### **Application Information:**

Title of the Invention	Displaying Time-Varying Internet Based Data Using Application Media Packages					
Attorney Docket Number	IMS 07-05-06		Small Entity Status Claimed 🔀			
Application Type	Nonprovisional	Nonprovisional				
Subject Matter	Utility					
Suggested Class (if any)			Sub Class (if any)			
Suggested Technology C	enter (if any)					
Total Number of Drawing	Sheets (if any)	14	Suggested Figure for Publication (if any)			

#### Publication Information:

гu	blication information.
	Request Early Publication (Fee required at time of Request 37 CFR 1.219)
×	Request Not to Publish. I hereby request that the attached application not be published under 35 U.S. C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## Representative Information:

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Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	43785		

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

Prior Application Status			Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	Continuation of	09558925	2000-04-26
Additional Domestic Benef by selecting the <b>Add</b> button	it/National Stage Data may be ge n.	enerated within this form	Add

## Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	IMS 07-05-06
Application ba	ita Sileet 37 Cl K 1.70	Application Number	
Title of Invention	Displaying Time-Varying Inter	net Based Data Using Application	on Media Packages

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Application Number	Country i	Parent Filing Date (YYYY-MM-DD)	Priority Claimed				
			● Yes ○ No				
Additional Foreign Priority  Add button.	Additional Foreign Priority Data may be generated within this form by selecting the Add button.						

#### **Assignee Information:**

-	the application data sheet does not su nment recorded in the Office.	bstitute for compliance wi	th any requirement of part 3 of Title 37		
Assignee 1			Remove		
If the Assignee is an Orga	anization check here.				
Organization Name	Mainstream Scientific, LLC				
Mailing Address Inform	ation:				
Address 1	650 Castro Street, Suite 120-333				
Address 2					
City	Mountain View	State/Province	CA		
Country   US		Postal Code	94041		
Phone Number	650-969-8300	Fax Number			
Email Address		•			
Additional Assignee Data may be generated within this form by selecting the Add button.					

### Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.							
Signature	/Jonathan A. Small/			Date (YYYY-MM-DD) 2007-11-09			
First Name	First Name   Jonathan   Last Name   Small   Registration Number   32631						

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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Electronic Acknowledgement Receipt					
EFS ID:	2452432				
Application Number:	11932553				
International Application Number:					
Confirmation Number:	2604				
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages				
First Named Inventor/Applicant Name:	John Albert Kembel				
Customer Number:	43785				
Filer:	Jonathan Andrew Small				
Filer Authorized By:					
Attorney Docket Number:	IMS 07-05-06				
Receipt Date:	10-NOV-2007				
Filing Date:					
Time Stamp:	02:16:02				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1	Application Data Sheet	IMS_07_05_06_ADS.pdf	1448946	no	6
			29a4cf6967a4157cc1f7f78af28b219d1 af19a48		
Warnings:					

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Total Files Size (in bytes):	1448946

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

DISPLAYING TIME-VARYING INTERNET BASED DATA USING APPLICATION

**MEDIA PACKAGES** 

**CROSS-REFERENCE TO RELATED APPLICATIONS** 

[0001] The present application is a continuation of and incorporates by reference

U.S. Non-Provisional Patent Application ser. no. 09/558,925, filed April 26, 2000, which

claims priority from and incorporates by reference U.S. Provisional Application ser. nos.

60/131,083, filed April 26, 1999, 60/131,114, filed April 26, 1999, 60/131,115, filed April

26, 1999, 60/176,687, filed January 18, 2000, and 60/176,699, filed January 18, 2000.

The present application claims priority to U.S. Non-Provisional Patent Application ser.

no. 09/558,925, filed April 26, 2000 and each of the aforementioned applications to

which it claims priority.

[0002] The present application is also related to and incorporates by reference

the following U.S. Patent Applications: Non-Provisional Application ser. no. 09/558,922,

filed April 26, 2000; Non-Provisional Application ser. no. 09/558,923, filed April 26, 2000;

Non-Provisional Application ser. no. 09/558,924, filed April 26, 2000; Non-Provisional

Application ser. no. xx/xxx,xxx, filed October 31, 2007, titled "Component For Accessing

And Displaying Internet Content" (attorney docket no. IMS 07-05-01); Non-Provisional

Application ser. no. xx/xxx,xxx, filed October 31, 2007, titled "Server Including

Components For Accessing And Displaying Internet Content And For Providing Same

To A Client" (attorney docket no. IMS 07-05-02); Non-Provisional Application ser. no.

xx/xxx,xxx, filed October 31, 2007, titled "Method For Accessing And Displaying Internet

page 1

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Content" (attorney docket no. IMS 07-05-03); Non-Provisional Application ser. no.

xx/xxx,xxx, filed October 31, 2007, titled "Component For Coordinating The Accessing

And Rendering Of An Application Media Package" (attorney docket no. IMS 07-05-04);

Non-Provisional Application ser. no. xx/xxx,xxx, filed October 31, 2007, titled "Tracking

and Tracing User Activity with Application Media Packages" (attorney docket no. IMS 07-

05-05); Non-Provisional Application ser. no. xx/xxx,xxx, filed October 31, 2007, titled

"System and Methods for Creating and Authoring Internet Content using Application

Media Packages" (attorney docket no. IMS 07-05-07); Non-Provisional Application ser.

no. xx/xxx,xxx, filed October 31, 2007, titled "Methods of Obtaining Application Media

Packages" (attorney docket no. IMS 07-05-08); Non-Provisional Application ser. no.

xx/xxx,xxx, filed October 31, 2007, titled "Indexing, Sorting, and Categorizing Dots"

(attorney docket no. IMS 07-05-09); Non-Provisional Application ser. no. xx/xxx,xxx, filed

October 31, 2007, titled "System and Methods of Messaging between Application Media

Packages" (attorney docket no. IMS 07-05-10); and, Non-Provisional Application ser. no.

xx/xxx,xxx, filed October 31, 2007, titled "Component For Accessing And Displaying

Internet Content In Association With a Web Browser Application" (attorney docket no.

IMS 07-05-11).

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Attorney docket: IMS 07-05-06

Application of: Kembel et al.

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**BACKGROUND OF THE INVENTION** 

1. Field of the Invention.

[0004] The present invention is related to accessing and viewing Internet

content, and more specifically to a method and apparatus for providing a unique frame,

independent of a Web Browser application and window, for the retrieval and display of

such content.

2. Description of the Prior Art.

[0005] A user operating a client computer typically accesses the Internet by

using a viewer application, such as a browser to view Internet content provided at a

destination address, typically a web page. In this context, Internet content and web

applications are designed to fill the entire web page. It is known to divide the Internet

content into different regions of a single web page. For example, personalized web

pages can be specified, such that a user views a variety of content sources in a single

page, such as stock information, weather information, and sports information, which is

aggregated at the server that delivers the web page to the user, who then views the

aggregated content in a single web page. Observe that even when disparate content is

page 3

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

aggregated, in this manner, it is reassembled into a full web page and is served through

a full-screen browser.

[0006] Users and application developers therefore have limited control over the

presentation of internet content: content is typically trapped within the frame of the

browser. A developer's only alternative to engaging a user page-by-page in a browser is

to develop, distribute, and support custom client software. In the Web browser scenario,

it is the content provider, not the user that aggregates the information that is viewed by

the user. Thus, the user is not in a position to separately aggregate the content at a

client computer, instead the user is constrained to view the content that has been

delivered in the manner provided by the server computer hosting the web page. There is

a growing desire for individual users to fully control the aggregation and presentation of

content and web applications that appears on a client computer.

[0007] A user who wishes to view multiple web pages or applications can open

multiple instances of a browser. However, the user will not be able to view each "full-

screen" page at the same time. Instead, the user must adjust the windows

corresponding to each browser instance and view only part of each page. The

information appearing in each browser is not designed for viewing in this manner. Thus,

the user cannot create an optimized display of content from multiple sources.

[0008] Currently, content providers and end users have limited tools to alter the

browser in which content appears. That is, the controls associated with a browser are

not fully configurable. Thus, the vendor of a browser is in a position to brand the browser

and regulate the controls associated with the browser. There is a growing desire for

content providers to not only fill a browser with their content, but to also fully brand and

page 4

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

control the frame in which the content appears. Further, in some instances, content

providers desire to limit the controls associated with a browser or viewer, so that a user

is more inclined to view a single set of content, for example, by having limited access to

previously viewed content. However, the current browser applications provide very

limited control to a user or content provide to alter the frame and controls provided by

the browser window.

[0009] In summary, therefore, the current model of the Internet has the following

attributes and limitations:

a) Internet content is typically viewed one page at a time, with each page displayed

serially displayed in a browser application window which typically takes up the

majority of the user's computer screen real estate.

b) Internet content is designed for delivery in web pages. Even if content is

modularized, it is reassembled into a full web page and viewed serially in the

window of browser application taking up a significant portion of the user's

desktop.

c) There is a distinction both visually and architecturally between the "viewer

application" (browser) and the "content/document" (web page) such that a

browser window is not tailored to the content being displayed, but rather is

capable of displaying any web content.

d) Internet content is effectively limited and trapped within the "frame" of the

browser (viewer application). Therefore, content developers, users and web-

application developers are limited in how the user experience is controlled.

page 5

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

e) Although internet programming technologies (such as java script, CSS, layers,

flash, etc.) are giving web pages more functionality, the pages have limited

access to application functionality such as access and control of the window and

frame, the size of the frame, branding, application behavior such as size and

menu items, etc.

"Web-applications" such as web-mail and web calendars are being packaged

and viewed through the page-by-page web model. Even though web-applications

are being implemented by many online companies, the web is currently a

destination page-based model where, for example, a user visits one page, then

goes to another page and so on. It is therefore a sequential, linear experience,

e.g., one full page at a time.

[0010] In view of the foregoing, there is a need in the art to provide a technique

for accessing multiple instances of distributable computer readable web content in which

these instances are typically smaller than the full pages used in current web pages and

web applications, and which may be displayed in user- or content provider-controlled

frames. Preferably, such techniques allow such access to be done simultaneously.

There is a further need for providing the user with flexibility in selecting, collecting,

relating and viewing such web content and for giving the content provider flexibility in

directing media to a specific user and controlling the framework in which media, such as

web content, is presented. Finally, there is a need to gather more accurate information

regarding the type of content that a user enjoys, so that the user can be automatically

provided with this content.

page 6

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**SUMMARY OF THE INVENTION** 

[0011] The present invention is directed to systems and methods by which

predefined web content or predefined references to web content may be accessed and

displayed on a web-connected client computer. The method relies on the structure

defined herein as an Application Media Package. Application Media Packages are web

browser-readable code that is executed on a non-browser-based installed client

application. The client application, referred to herein as an Application Media Viewer,

executes independently from a web browser. The Application Media Viewer parses and

executes the Application Media Package code to create the user experience. The terms

Application Media Package and Dot are used synonymously herein.

[0012] In addition to conventional use of internet data including media, the

present invention provides for a system and methods by which internet data may be

referenced, displayed and processed apart from a browser. Dots are programmed to

access and display web data including media content. By design, Dots may graphically

reflect the type of data as well as time varying changes in the data. Unlike web pages

which have formatting restrictions that are dictated by the browsers into which they are

loaded, Dots have a graphically definable format which is the graphical user interface

(GUI) as presented on a user's client computer. Furthermore, unlike web pages

together with browsers, Dots are not generally navigable. They are typically constructed

to represent specific data for a specific application.

[0013] The present invention therefore provides for a system that enables

Internet content to be displayed as application specific interfaces providing targeted

presentation and user experiences of internet data. Therefore, presentation more closely

page 7

Attorney docket: IMS 07-05-06

resembles independent web based or networked client applications rather than web

pages. The Dot is comprised wholly of web browser readable language rather than

executable applications. Therefore, the need to download and install an application for

each new user interface is eliminated.

[0014] Because the visual extent of Dots may be wholly defined by the

developer, removing restrictions of having the media reside within the frame of a third

party browser, the Dot may exist in a much smaller footprint on a user's desktop, cell

phone display or the like. Therefore, these targeted or point applications may persist

longer than a web page. As such, display of time varying Internet data comprise of a

large number of these Dots. Variations of the data that is displayed may be expressed in

a multitude of ways, beyond those offered by conventional web page display to

encompass application behaviors as well.

[0015] An example of a such a Dot is the stock ticker. A list of stocks may be

displayed according to price and trading volume. A continuous update of changes are

displayed within the Dot. Color changes in the data may reflect data trends or user

preferences such as a targeted buy or sell price.

[0016] Another example is a weather Dot. This Dot may include either local

weather including forecasts, or according to user selection, weather for another location

whose information is updated to the Internet. A user may choose surf reports such that

color changes indicate favorable surfing conditions or similarly, sailing reports.

[0017] Still another example may include a calendar of events. As events that

are of interest to a particular user are posted to the Internet, they may also populate

page 8

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

days in the calendar, thus providing notification in a chronological context. The calendar

may also host user input data as a personal planner.

[0018]A forth example is a look-up guide for local movies. A user may simply

access the movie Dot to view local show times and locations together with ticket prices,

including a mechanism to pre-purchase tickets.

[0019] Additional examples of the class of time varying display of internet data

according to the present invention are included hereinbelow:

Sports Dot example: Sports scores of local and league teams. Statistics of

teams and players, video highlights, point spreads, etc.

Games: crossword puzzles, video games, electronic pets, horoscopes, etc.

Personal reminder: Planner, alarm, timer, groupware style calendar.

Auctions: Watch list, item look-up or search, bidding Dot.

Accounting/banking: account balance, transaction status, portfolio

performance.

Remote traffic monitoring: traffic and road conditions, estimated time of arrival

according to user input current position and destination.

Advertising venue: present discount and buying opportunities according to

user input or according to user activity and context within this Dot or any other

Dot.

Communication: mini-chat room

Fire, police a, EMS, Hazmat incidents according to location.

Currency rates according to country including local exchange rates.

page 9

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Delivery tracking: express delivery scheduled arrivals.

[0020] The above is a summary of a number of the unique aspects, features, and advantages of the present invention. However, this summary is not exhaustive. Thus, these and other aspects, features, and advantages of the present invention will become more apparent from the following detailed description and the appended drawings, when considered in light of the claims provided herein.

**BRIEF DESCRIPTION OF THE DRAWINGS** 

[0021] In the drawings appended hereto like reference numerals denote like

elements between the various drawings. While illustrative, the drawings are not drawn

to scale. In the drawings:

[0022] Fig. 1 is an illustration of the overall architecture of a network in which the

present invention may operate.

[0023] Fig. 2 is an illustration of the elements of an Application Media Package

(Dot) according to one embodiment of the present invention.

[0024] Fig. 3 is an illustration of the elements of an Application Media Package

(Dot) definition according to one embodiment of the present invention.

[0025] Fig. 4 is an illustration of an instantiation of a generic GUI according to

one embodiment of the present invention.

[0026] Fig. 5 is an example of an instance of an Application Media Package

(Dot) according to one embodiment of the present invention.

[0027] Fig. 6 is an illustration of the communication flow associated with the

acquisition and instantiation of an Application Media Package (Dot) according to one

embodiment of the present invention.

[0028] Fig. 7 is all illustration of the communication pathways associated with the

building of a user profile, and the acquisition of Dots through links, packs, and sharelinks

according to one embodiment of the present invention.

page 11

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0029] Fig. 8 is an illustration of the communication pathways associated with the acquisition and instantiation of an Application Media Package (Dot) according to one embodiment of the present invention.

[0030]Fig. 9 is an example of a display showing Application Media Packages (Dot) available for downloading as well as Application Media Packages (Dots) already downloaded according to one embodiment of the present invention.

[0031] Fig. 10 is an illustration of the communication pathways associated with the instantiation of an already-acquired Application Media Package (Dot) according to one embodiment of the present invention.

[0032]Fig. 11 is a flow chart illustrating the download process of an Application Media Package (Dot) and Application Media Viewer (Home Dot) according to an embodiment of the present invention.

[0033] Figs 12A, 12B, and 12C are illustrations of Application Media Packages arranged in groups, and arranged in vertical and horizontal Blocks (position-justified groups), respectively, according to an embodiment of the present invention.

[0034]Fig. 13 is an illustration of the client-server model of a system according to the present invention.

[0035]Fig. 14 is an illustration of the message routing paths and elements of a Dot Messaging Architecture according to the present invention.

> Attorney docket: IMS 07-05-06 page 12

Filed via EFS-Web on: 10/31/2007 Application of: Kembel et al.

**DETAILED DESCRIPTION OF THE INVENTION** 

[0036] The present invention discloses a technology that is capable of

processing distributable computer readable media. Distributable computer readable

media includes, but is not limited to, standard Internet content, such as HTML, dHTML,

images, imbedded ActiveX and Java applications, JavaScript, CSS, Perl scripts,

Streaming Media, and/or Flash. The present invention is advantageous relative to prior

art systems and methods because it provides improved mechanisms for simultaneously

interacting with several independent sources of distributable computer readable media,

collecting references to such media, and sharing such references with other users. The

disclosed technology is further advantageous because it provides improved systems and

methods for on screen management of distributable computer readable media.

[0037] Central to the present invention is the concept of an Application Media

Package. As used herein, the term Application Media Package refers to a component

which includes a fully configurable frame with one or more controls; the frame through

which content is optionally presented. The fully configurable frame utilized in accordance

with the invention stands in contrast to present web browsers, which are branded by the

browser vendor and which have limited means by which to alter the controls associated

with the browser.

**ABSENCE OF WEB BROWSER** 

[0038] The Application Media Package is a file that is comprised of web browser

readable language. According to the preferred embodiment, the present invention

page 13

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

renders, displays, and updates Internet data without the use of a browser. In fact, no

browser need be installed on the client computer on which the Application Package is

instantiated. The present invention produces a user experience by parsing and rendering

the Application Media Package through the Application Media Viewer. The Application

Media Viewer is an installed client application which renders the Application Media

Package as well as provides additional functionality to the user experience (hence, it is

more than simply a viewer application). The Application Media Viewer may render web

browser readable content (of the type typically supported by a browser application) due

to it being programmed utilizing the Microsoft IE4 object for receiving, parsing and

rendering web content. It will be understood by one skilled in the art that, despite its

name, the Microsoft IE4 object is not a web browser application. One apparent

difference between the Application Media Viewer and a typical web browser application

is that the Application Media Viewer of the present invention is not designed to provide

user web navigation and page control typically provided by a web browser.

[0039] Fig. 1 is a general illustration of a system in accordance with one

embodiment of the present invention. In Fig. 1, a network 10 is operated in accordance

with the present invention. Network 10 includes at least one user or client computer 20,

at least one server computer of class 50, and optionally one or more server computers of

class 82. User computer 20 as well as server computers of class 50 and 82 are each

connected by transmission channel 44, which is any wired or wireless transmission

channel.

[0040] User computer 20 is any device that includes a Central Processing Unit

(CPU) 24 connected to a random access memory 30, a network connection 28, and one

page 14

Attorney docket: IMS 07-05-06

or more user input/output ("i/o") devices 40 including output means 42. Thus, the term

"computer," as used herein, is intended in its broadest sense to include not only

traditional workstations, laptops and similar devices, but any device containing a CPU of

sufficient operability to utilize Internet data, such as web-enable cell phones, personal

digital assistants (PDAs), and so forth.

[0041] Output means 42 is any device capable of communicating with a user and

includes, for example, a video monitor, a liquid crystal display, voice user interfaces,

and/or integrated graphic means such as mini-displays present in web-enabled cell

phones, PDAs, etc.

[0042] Typically, user computer 20 includes a main non-volatile storage unit 22,

preferably a hard disk drive, for storing software and data. Further, user computer 20

includes one or more internal buses 26 for interconnecting the aforementioned elements.

In a typical embodiment, memory 30 includes an operating system 32 for managing files

and programs associated with user computer 20. In some embodiments, operating

system 32 includes a registry 34 that has one or more references to specified locations

in system 10.

[0043] The exemplary memory 30 of Fig. 1 further includes a web browser 36 for

viewing Internet content and a separate collection of items, referred to herein as a client

parser application 38. In some embodiments, client parser application 38 uses the one

or more references in registry 34 to obtain a login construct from server 50. In various

embodiments, in accordance with the present invention, client parser application 38 runs

in conjunction with one or more software modules, such as an event log module 98,

which tracks user activity, a message interface module 107, which serves as a

page 15

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

communication interface between the client parser application 38 and web server 58

and/or external web servers, an application media processing module 109, one or more

Application Media Packages 104-1 to 104-n, and an Application Media Viewer 119

which, among other functions, regulates the characteristics of visual manifestations of

Application Media Packages 104-1 to 104-n when displayed on output device 42.

[0044] Server computer 50 includes standard server components, including a

network connection device 46, a CPU 52, a main non-volatile storage unit 54, and a

random access memory 56. Further, server computer 50 includes one or more internal

buses 48 for interconnecting the aforementioned elements. Memory 56 stores a set of

computer programs, modules and data to implement the processing associated with the

present invention.

[0045] The embodiment of memory 56 illustrated in Fig. 1 includes a web server

58 for processing requests received from client computer 20. Web server 58 has many

components, including a variety of modules and data structures to assist users that want

to log into system 10. Namely, login module 60 handles an entry request from a client

computer 20 and accepts a login identifier that corresponds to a user from client

computer 20.

[0046] Once a user has successfully logged into system 10, request server

module 72 handles requests for specified Application Media Packages 104-1 to 104-n

from client 20. When such a request is received, request server module 72 routes the

request to an address that corresponds to the specified Application Media Packages

104-1 to 104-n and transmits the specified Application Media Packages 104-1 to 104-n

to client 20. One class of specified networked information handled by request server

page 16

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

module 72 is requests for Application Media Packages 104-1 to 104-n. When such a request is received, request server module 72 searches Application Media Packages database 74 for the specified Application Media Package. Application Media Package templates database 74 includes a large number of Application Media Package templates. Each Application Media Package template defines the characteristics of a specific Application Media Package, including fully configurable frame characteristics, viewer and control characteristics, and Application Media Package content references.

[0047] The web server 58 illustrated in Fig. 1 further includes additional modules 131 to handle specialized features of the present invention. For example, one embodiment of the present invention provides a mechanism that allows users to distribute Application Media Packages to each other. In such embodiments, a special server module 131 provides instructions for storing the Application Media Packages, which are to be distributed, using a sharelink database 78. Advantageously, Application Media Packages that are distributed to other users are customizable. A user can, for example, resize and position a particular Application Media Packages prior to sharing it with another user. Indeed, it is possible, in such embodiments, for a user to arrange a series of Application Media Packages in a unique arrangement and then distribute the collection of Application Media Packages in the designated arrangement. As an illustration, a user arranges a first Application Media Package that represents a scrolling stock ticker at the bottom of an output means, such as a computer screen, a second Application Media Package that tracks the NASDAQ top ten most heavily traded stocks in the upper left corner of the output means, and a third Application Media Package that tracks headline news on the upper right hand corner of the output means. Then, the user distributes the three Application Media Packages in this customized arrangement to

page 17 Attorney docket: IMS 07-05-06
Application of: Kembel et al.

other users. Observe that in this example a user of a client computer is aggregating separate sets of information in different Application Media Packages. This stands in contrast to prior art approaches where a web server running on a server computer aggregates information in a single page.

[0048] System 10 is highly scalable and thus supports a large number of users. This scalability stems from the fact that the server 50 is delivering the definition associated with an Application Media Package. The content displayed in the Application Media Package may be located on a separate computer. Memory 56 may provide a statistical analysis module 133 for tracking key events associated with users. This information is stored in statistics database 80. The information collected by statistical analysis module 133 is used for a wide variety of purposes, including server load optimization and directed advertising, as discussed below. As described below, the statistical information gathered in accordance with the invention includes fully traced events defining the type of content and the duration over which all content is viewed by a user. This type of comprehensive information is not available using present techniques. Much of the distributable computer readable media that is available for processing is stored as content elements 94 on server 82. Server 82 is a standard web server that includes components such as a network connection device 88, a CPU 86, a main nonvolatile storage unit 84, a random access memory (RAM) 92, and one or more internal buses 90 for interconnecting the aforementioned elements. RAM 92 includes some of the content elements 94 stored by server 82. Other content elements 94 are stored in storage unit 84. In some embodiments, a single web server 58 is capable of directly accessing content elements 94 located on one or more servers 82. In other embodiments, each server 82 has a resident web server module that works in

page 18 Attorney docket: IMS 07-05-06
Application of: Kembel et al.

conjunction with server 50 to identify, optionally dynamically generate, and serve content

elements 94 upon demand.

[0049]With the general architecture of a system within which the present

invention may operate provided with reference to Fig. 1, we next turn to a number of

definitions of key terms used herein. Terms not specifically defined herein shall be

understood to have their broadest, generally accepted meaning. Other terms may be

defined elsewhere in the present disclosure. (See also Appendix A and B herein.)

[0050]Application Media Package - An Application Media Package, also referred

to herein as a Dot, is computer software component, such as XML code and data,

representing the definition of a client-side mini-application, which displays information

and/or provides functionality to an end user. The Application Media Package combines

the packaging, application behavior, and the presentation of Internet content with the

content itself, creating one integrated unit - a Dot. Therefore, Dots are the integration of

application and media. A Dot may be viewed as a free-floating mini-site. It is frame in

which Internet content is presented (although lacking the most common functionality

typically associated with a browser, navigation).

[0051] In one embodiment, Dots are programmed with browser readable

language that is parsed to the Microsoft Internet Explorer (IE) rendering object

(referenced within a control, so named the Dot Web Conduit) and are capable of

displaying any Internet content supported by IE 4 (however, not necessarily requiring

use of Internet Explorer or any browser for such display). This browser readable

language defines the appearance of a Dot, its functionality, and what content is

presents. This browser readable language includes XML, streaming media, dHTML, etc.

page 19

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0052] Structurally, the Dot or media application package comprises initialization

data, control calls, and a frame. Content, such as that obtained from a network

reference, is rendered within the frame. The control calls may include the web conduit,

base controls such as "close" and resize", base GUI elements such as a title bar, Dot

menu, and Dot bottom bar, as well as XML Dot calls that are made by the Dot Definition

and executed by the Home Dot. Unlike a downloadable, custom, client-application (e.g.,

for delivering custom web applications to users' desktops), an Application Media

Package is entirely content. That is, the entirety of a Dot package, referred to as its

definition, is in a browser-readable language.

[0053] All that is required to instantiate an Application Media Package (on the

client computer) is its definition assuming that the client computer has an Application

Media Viewer (discussed below) installed. That is, no browser is needed to render an

Application Media Package. No browser need be running or be present on the client

machine in order to render an Application Media Package.

[0054] Application Media Package Content - Application Media Package content,

also referred to as Dot Content, is Internet content served off of partner/Dot Developer

servers or another third party server. While in some cases a party developing and

making a Dot available (from a partner/Dot Developer server) may also host (on the

server) content for that Dot, the developer is free to cause the Dot to point to content

from another party/site for rendering as part of an instantiated Dot. It is an attribute of

the present invention that any internet content can be accessed, processed, and/or

displayed as Dot Content or Application Media Package Content.

page 20

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0055] Application Media Viewer - The Application Media Viewer, also referred to herein as the Home Dot, is a network enabled, client application that loads and displays an Application Media Package on a client computer. Data contained within the Application Media Package is parsed by the Application Media Viewer and rendered within the extent of the Application Media Package's frame as defined therein. Data is web browser readable language including media and Internet references thereto, as well as control calls. These control calls contained within an Application Media Package are also parsed and executed. The Application Media Viewer is required to instantiate an Application Media Package (i.e., to create a Dot and provide any associated functionality on a client display device). The Application Media Viewer effectively takes the place of a browser application when rendering Internet content via an Application Media Package. However, an Application Media Package contains Internet data and as such, this data may also be referenced by a browser. In the preferred embodiment, a browser is not employed to instantiate an Application Media Package, nor to invoke any functionality they may provide. Also in the preferred embodiment, the Application Media Viewer is a compiled application, programmed using MFC (Microsoft Foundation Class) or the like. The Application Media Viewer need only be downloaded to the client computer one time and may be used to instantiate any number of Application Media Packages. More than one Application Media Package may be instantiated at a time, i.e. the Application Media Viewer is capable of executing and displaying multiple instantiated Application Media Packages. The Application Media Viewer is also capable of checking for updated versions of itself (and any Application Media Package) on the server. The Application Media Viewer supports a number of facilities including support for standard internet protocols (http, https, etc.) Additionally, the Application Media Viewer also supports

page 21

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

collecting and organizing Application Media Packages, user login, user activity statistics

collecting and reporting, and messaging between Application Media Packages.

[0056] Block - A group of Dots. Blocks are created and destroyed by the user

through the dragging and positioning of individual Dots or other blocks.

[0057] Computer - as used herein, the term computer is meant to refer to any

device that includes a Central Processing Unit (CPU) connected to a random access

memory, a network connection, connected and rendering to one or more output devices,

which has sufficient operability to utilize Internet data, such as web-enable cell phones,

personal digital assistants (PDAs), etc. Thus, the term is intended in its broadest sense

to include not only traditional workstations, laptops and similar devices, but web-enabled

cell phones, PDAs, etc.

[0058] Content Server - Also called a Partner Web Server, used to deliver

Internet content to a Dot on a client machine.

[0059] Controls - A Dot comprises of at least one control, each of which is

arranged within the frame according to the Dot Definition. In the preferred embodiment,

this minimum control is the Web Conduit which is the Internet data rendering portion of

the frame. Controls are calls that are contained within the Dot content that enable a Dot.

for example with application behavior amongst other attributes and explained further

herein. The Dot Definition may contain base control (close, resize) overrides such that

the controls are not rendered or are rendered only upon mouse over events rather than

persistent display. Additional media elements and network referenced media may also

be contained within the Dot Definition.

page 22

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0060] DoDots - The working product name of the invention described herein.

[0061] Dot Class - An instance of a particular set of binaries to create a particular type of Dot.

[0062] Dot Definition - This software entity contains enough information to instantiate an Application Media Package (a Dot) and pointers to location of Application Media Package content (Dot content). A Dot is defined by its dimensions and a set of four attributes called Dot components, as will be later described. A Dot Definition also defines access to Dot Web Conduit, which is a control element of a Dot and is a fully functional rendering element that can access available Internet content.

[0063] Dot Link - Hyperlink or URL on a server for downloading a Dot. Based on standard Internet links, these point to or reference particular Dot Definitions and enable the Home Dot to quickly access (using standard HTTP/HTTPS requests, for example) the frame and initialization pointers/URLs in the Dot Definition to instantiate the Dot and render it.

[0064] Dot Messaging Architecture (DMA) – Elements within Dots and the Home Dot application create a messaging architecture (the Dot Messaging Architecture) that enables elements of the system including Dots, controls within Dots, and the Home Dot application, to communicate with one another. The DMA enables these elements to exchange information, request actions or functionality, and respond to system, element, or content events.

[0065] Dot Pack - A group of Dot templates specified by a particular Dot developer, provider or aggregator that complement each other in some way.

page 23

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0066] Dot Server - Also called an Internet Application Server, used to deliver

Dots to a client machine.

[0067] Dot Template - The XML definition of a particular Dot provider's Dot in its

default state. Information includes the Dot size, Dot class, starting URL, etc. The Dot

template may be defined by a third party Dot provider. The Dot template is used as the

starting point for a particular Dot, which may be customized by the user in some way

after the first instantiation.

[0068] Frame – The frame, also referred to as a Graphical User Interface (GUI),

defines that area upon a display of the Dot instantiation in which data may be rendered.

As previously described, the frame may contain rendered Internet data. A Dot is

comprised of a frame or GUI, initialization input data including data and network

references to data displayed in said frame. The appearance of the frame (if any)

together with data that is displayed therein is the visible instantiation of the Dot. Any

Internet data or media data may be contained within the frame such as an image,

Internet content, etc. Additionally the frame shape and content may be wholly defined

by the Dot developer.

[0069] Lead Dot - The controlling Dot within a block. A lead Dot is the only Dot

within a group that presents a control and close box. The lead Dot is the leftmost,

topmost Dot (in that order).

[0070] Share - A Share is a XML file that represents a collection of one or more

Dots and/or one or more Snapshots.

page 24

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0071] Snapshot - A point-in-time data set describing an individual user's overall

visible Dot configuration. This includes location and configuration information on the

user's overall configuration, and all blocks and Dots.

[0072] Web Browser Readable Language - standard Internet content that is

capable of being parsed by a browser, such content including HTML, java script, XML,

CSS, streaming media, Flash, HTTPS, cookies, etc.

[0073] Web Conduit – a control that accesses and renders standard Internet

content. Dots in conjunction with the Web Conduit control can render or process

anything a browser application can render or process. That is, the web conduit is an

entity which processes or handles standard Internet content for rendering the GUI

(graphical user interface).

[0074] The present invention discloses a technology that is capable of

distributing standard Internet content without the use of and restrictions imposed by a

browser as detailed hereinabove, in a manner that enables developer control of the user

interface. The present invention includes a method and system in which Internet content

is developed, packaged and delivered from a server. The present invention further

describes methods and systems in which the user obtains content, interacts with it, and

is presented with updates to the content either in part or including the entire Application

Media Package.

[0075] The present invention provides an alternative model of viewing content

obtained from the Internet. Unlike the web page model requiring a web browser, the

present invention is founded on the premise that the basic unit of the Web and its media

page 25

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

should not be limited or restricted to a webpage-based display for presentation in a

conventional browser nor should the user's experience of the Web be limited to one

page at a time as defined by a conventional browser (such as Microsoft's Internet

Explorer™).

[0076] Furthermore, the present invention enables efficient placement of web

applications directly onto users' desktops. The present invention enables content

providers to: break their Internet-based content up into smaller pieces; package this

content as Application Media Packages (Dots); give Dots an application behavior

through a Dot Messaging Architecture; and to distribute Dots from one user to another

(Viral Distribution Architecture).

[0077] The ability to break web-based internet content into smaller packages

(e.g., Dots) for transfer independent of a conventional browse enables content providers

to distribute their media to: fully brand and control a user's experience; obtain direct

access to consumers; secure longer on-screen presence; give application-media viral

characteristics; and eliminate the need to maintain a software application in order to

achieve these characteristics.

[0078] With regard to viral characteristics, for example, the present invention

allows content providers to enable users to: collect Dots; use Dots in groups (Dot

Packs); and share Dots with others. Therefore, Dots are passed from server to user and

also from user to user via email links. Not only are may single Dot links be virally

distributed, but also collections of Dots and their links may also be virally distributed from

user to user. Because Dots reference web data including media, Dots enable the viral

distribution of said media although the media may reside on a server.

page 26

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

[0079] Software application download is eliminated because Dot content is

based on standard Internet content (HTML, dHTML, flash, streaming media, java, etc.),

providing Dots with certain browser-like functionality. By this virtue, Dots may be

distributed not as software application downloads but as Internet media downloads,

similar to web page downloads. As a Dot may define the frame within which content is to

be rendered, the visual extent of a Dot is not bound within the borders of a browser

window. Unlike a web page in a third party browser, a Dot's graphical user interface

may be wholly defined and/or branded by the developer.

[0080] Because Dots are instantiated and rendered upon a client computer as

separate and independent graphical user interfaces, and because they may be

continuously refreshed with new internet content, Dots may persist on a user's screen.

Therefore Dots may possess a longer desktop presence (i.e., remain in place, or "On",

while a user attends to other tasks on a device, such as interacting with different

applications, making phone calls, etc.

[0081] The present invention therefore also allows for persistence of displayed

Internet content. For example, a dedicated frame may be rendered on a user's display,

Internet content obtained from a server, the obtained Internet content presented in the

frame (and possibly periodically updated), and the frame and content positioned and

sized such that it may remain, unobtrusively, open on the user's desktop, even when

other windows are open. This is fundamentally different than today's model where users

visit websites and leave them due to size of the browser window, full use of the content

displayed, etc.)

page 27

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0082] Dots provide Internet content developers and web application developers:

a unique way to package their content as custom client application experiences without

having to develop, distribute, and support their own custom client application.

Developers may repurpose the Internet content that they have developed and used in

web pages to form Dots. In doing so, according to the present invention, developers may

also add application behavior to Dots such that the user experience includes interactive

graphical user interface elements, likened more to a custom desktop client application

rather than to a web page. Thus, Dots enable Internet media to posses application

behavior without the use of a browser or custom application.

[0083] From a Dot provider's perspective, Dots provide direct access to users;

and a persistent branded presence on users' desktops beyond and without the browser,

thus providing full control of the user's experience. That is, a Dot that is instantiated on a

user's client computer has the user's display real estate. Conversely, a web page

typically must be navigated to through the use of a web browser each time a user views

the web page media, and once the user navigates to a new page, the display space

(browser window) effectively belongs to the new web page content. As previously

described, the branded experience may be wholly defined by the Dot developer, thus

removing the rendering and branding limitations of a web page in a browser. Without the

present invention, an alternative available to the Internet content developer is to develop

a custom application that must be downloaded each time it is changed or alternate

content is desired to be displayed.

[0084] In summary, Dots give users a unique way to experience web media by

virtue of its: (1) flexible frame definition; and (2) a Dot's persistence when instantiated.

page 28

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

Because of their ability to persist on a user's desktop apart from a browser, Dots also

provide a convenient method to access content and services (without separate user

selection of a URL). With a Dot for each of a user's specific Internet data needs, Dots

eliminate the need to download custom software from multiple sites for various content.

Rather than implementing custom client applications in java, C++, etc., Dots are defined

using XML and filled with standard Internet content such as HTML, GIFs, and the like.

[0085] The present invention also provides for the ability to collect and share

favorite content and application-like behavior (i.e., sharing Dots). Links to Dots may be

easily emailed between friends. Dots may be collected according to common interest,

usage requirements or the like and defined as groups called Dot Packs. These Dot

Packs may also be shared as links via email or server.

[0086] A fundamental aspect of the present invention is the creation of a Dot,

including packaging of Internet content. The goal is to create an Application Media

Packages (Dots) which combine the packaging, application behavior, and presentation

of Internet content with the content itself (or typically a pointer thereto), as a single

integrated unit (a Dot). Therefore, Dots are the integration of application and media.

[0087] This is fundamentally different than rendering internet content in a viewer

application or alternatively, developing, distributing, and supporting a custom client-side

application. Typically, a Dot definition includes details regarding a window "frame," and

the Dot content has access to the entirety of this frame. The details regarding the frame

is itself Internet content, so that the entire Dot "package" (including the definition of the

package) is Internet content. Thus, the Internet content is not trapped in a third party

viewer (e.g., Stock Trading site's web page in Microsoft's browser).

page 29

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

188001 Dot content has access to application behaviors of the window frame

(size, position, look and feel) and of the Dot application system (show other Dots, delete

Dots, etc.) For example, a Dot may change color according to ongoing data updates that

it receives over the Internet. A Dot designed to display weather information may become

increasingly red as temperature data received for a particular city or region increases.

[0089] Furthermore, a Dot may communicate with other Dots. Communication

between Dots is typically carried out by two or more Dots that are instantiated on the

same client and enabled such that they may participate in inter-Dot communication. In

one embodiment, communication is carried out by messages that are passed between

Dots via the Home Dot that instantiated them. These messages may pass data, alter

controls, or result in behavior change for example. Not only may Dots of the same kind

communicate but in one example, Dots formed between different developers may also

communicate and affect one another. Such communication may be apparent or may be

a background function supporting some aspect of a Dot's functionality.

**DOT AND DOT DEFINITION** 

[0090] With the above general description in mind, we now turn to a description

of a Dot and its definition. With reference to Fig. 2, the primary components of a Dot 100

are: Internet content 101, a visual object(s) 102 within which the Internet Content 101

may be rendered, and operation element(s) 103, which perform certain functions, each

discussed further below.

page 30

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[0091] A Dot 100 is an instantiation of a Dot Definition 104, the components of

which are illustrated in Fig. 3. At its broadest, Dot Definition 104 comprises an XML (or

similar) definition 105 (which may include initialization data, control calls, and a frame

definition, discussed further below) and a Network Reference 108 (e.g., a URL) to

Internet content 101. (See, for example, Appendix A – DoDots XML Specification.)

[0092] XML definition 105 includes an appearance definition 106 for defining the

appearance of a GUI (discussed further below), and a control definition 107 for defining

controls associated with the GUI. Definitions 106 and 107 will typically include

initialization data, control calls, and other elements. Optionally, a Dot may include

message data 110 (e.g., access to the Dot Messaging Architecture). Application Media

Package 104 (or "Dot Definition") may also include tags 112 to identify the Dot.

[0093] The XML definition 106 of the appearance of the GUI typically includes a

frame definition, specifying size, location, etc. Fig. 4 is an illustration of an instantiation

of a generic GUI 160, which comprises a frame 162 typically divided into a number of

control regions. For example, Frame 162 may include a title bar 164, a bottom bar 166,

a menu control region 168, and other definable control regions 170, 172, and 174. One

critical control region, referred to herein as the Web Conduit 176, is a regions in which

many Dots will present Internet content. Returning to Fig. 3, definition 106 is responsible

for providing the dimensions and general shape of the GUI.

[0094] The control definition 107 defines the layout (arrangement of the controls)

and functional routines or pointers to functional routines (what the controls do when

accessed by a user). Control definition 107 may also include XML Dot calls that are

made by the Dot Definition and executed by the Home Dot (not shown here).

page 31

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[0095] Network reference 108 will typically be a URL containing the address of a

server having stored thereon data (Internet content) for retrieval and display within the

GUI. Functionality typically provided by an associated Application Media Viewer controls

the accessing of the server at the URL and the retrieval and processing of the Internet

content for display. Importantly, retrieval and display of the Internet content may take

place without resort to a Web browser application. Indeed, no Web browser application

need be executing or even present on the client to support the Dot functionality

(although embodiments in which Dot functionality is operational in conjunction with

browser functionality is within the scope of the present invention). Internet content

obtained from network reference 108 may be rendered within the frame defined by and

according to the layout definition dictated by the frame appearance definition 106.

Internet content obtained from reference 108 may itself include XML calls providing

certain functionality.

[0096] As previously mentioned, Dot Definition 104 is typically XML code. These

definitions are quite simple to author, and to edit if needed. The definition is content,

rather than compiled code, which provides additional flexibility at the client side should it

be desired to modify the definition (e.g., allow a user to edit the network reference). As

will be described further below, when an Application Media Viewer is operating on the

client computer, the Dot is effectively both content and instructions. This is therefore a

method of packaging internet content as an application (as compared to a hard coded

custom client application) for operation on Internet content.

[0097] Fig. 5 illustrates a rendering or instantiation of a Dot Definition as a Dot

120, in this case a visual indicator of likelihood of rain, expressed as a percentage 122,

page 32

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

and rendered against an image of a cloud 124. According to the above, a definition for

Dot 120 includes a definition of the size, configuration, and location of a first control

space 126, a specification of the layout and functionality of control interfaces (or simply

controls) located in a second control space 130. Examples of such controls include a

"close" button, a "resize" handle, etc.

[0098] The image of a cloud 124 against which the likelihood of rain is rendered

is a static image. The data representing the instructions for rendering the cloud may be

found in the appearance definition 106, and thus resides within the Dot Definition 104.

Alternatively, the data representing the image of the cloud 124 may be obtained from the

location to which network reference 108 points. In either case, the data representing the

cloud is utilized by the Application Media Viewer 104 to render the cloud image.

[0099] The actual data representing the likelihood of rain may be standard

Internet content, and will change periodically as controlled by the source (e.g., the

Internet content itself obtained from a server at network reference 108) of the data.

Since this data changes as controlled by the source, the data is considered dynamic.

This dynamic data is obtained by the Application Media Viewer from the source, and

rendered atop the static image of the cloud. The frequency of updating and re-rendering

of the dynamic data may be under the control of the Dot Definition or the source of the

data, as a developer deems appropriate. The data to be rendered with the image may

for example overlay, or be rendered in a layer on top of the image, beside the image, in

the same layer or otherwise, in a layer under the image layer, etc. However, there is

generally an intended relationship between the position of rendered Internet content and

other items rendered in the graphical user interface. For this reason, we say that the

page 33

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Internet content is rendered "in association with" the image within the graphical user

interface.

[00100] It will be appreciated that Dot 120 is merely on illustration of a Dot, and

many other types of content, format, layout, controls, functionality, etc. are contemplated

by the present invention. For example, additional display of information and/or control

may also be presented in top/bottom bars 132, 134, respectively, as will be understood

by one skilled in the art.

[00101] Thus, a Dot is defined using, for example, an XML file which is the

embodiment of a Dot Definition 104. The definition contains enough information that,

when instantiated, the Dot may be rendered and filled with Internet content from a

source. The Dot Definition contains data used to define and configure a frame and its

elements, specify and lay out the controls, and specify parameters that initialize all the

Dot's components with content and data.

**Frame Definition** 

[00102] A frame can be defined to have a configuration (e.g., base shape), size

(e.g., dimensions), and initial location (which may be changed by a user upon

instantiation). In additional, a simple Dot may be defined to have four default functional

frame definition controls, for example to encourage user interface consistency between

different Dots. These four default functional controls include a title bar 132 (Gif rendered

with title bar properties), a Dot menu 136 (with flexible menu entries), an exit control 128,

and a bottom bar 124 (Gif rendered with bottom bar properties) with corner elements

138, 140 (for sizing and consistent user interface). A default layout of these functional

page 34

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

components may be set, such as positions for the title bar 132 at the top of a Dot (Dot

Menu 130 on its left, exit control 128 on its right) and the bottom bar 134 at the bottom of

a Dot (with corner elements on either side). It will be appreciated that this is one form of

layout, of which many others are within contemplated within the scope of the present

invention.

Title bar

[00103] The title bar 132 may contain a reference title for the Dot and may

provide for a place for a user to grab and drag the Dot in a windowed environment. It

may be implemented as a GIF rendering control that can be targeted to a local/remote

title bar 132 image (an embodiment supports four title bar images - normal, mouse-

down, mouse-over or hover, inactive). In this embodiment, the title bar 132 has a fixed

height and width that is a function of the frame's width. The Dot Definition allows the title

bar 132 image to be justified left, right, or center and for specified number of repeat-

pixels, the title bar image may be tiled the full width of the Dot. Overlay text can also be

specified to layer on top of the title bar 132 image.

[00104] The default size and the default position of the Dot are defined as part of

the appearance definition 106 in the Dot Definition 104. The Dot size can be specified in

pixels, or Dot Units (1 Dot Unit is 40 pixels), etc. Dot Units can define a grid that keeps

Dots sized on the same units so that they more easily and neatly align as well as cleanly

snap to each other when Dots are used together. Dots can be sizeable or fixed-size in

either dimension or both. The default screen position for a Dot can also be specified in

pixels, or in relative position - top, left, center as provided for in the appearance

definition 106 in the Dot Definition 104.

page 35

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Menus

[00105] One embodiment of the present invention reserves the four corners of a

Dot for functional branding elements. The upper left comer is currently reserved for the

menu control 136. The upper right corner is currently reserved for the Dot exit 128

control. The lower corners 138, 140 are for sizing the Dot if the Dot is resizeable. Menu

entries for branded menu control 136 (upper-left) can be specified in the Dot Definition

104, for example at 112. The menu items can be named, assigned images and tooltips,

and assigned a specific and targeted action, for example a DotMessage 110 sent to a

specified element (address). (See DMA messages hereinbelow). The Dot exit 128 button

(upper-right) closes the Dot. An on-close action (such as a message and address pair)

can be assigned to the Dot close event.

**Bottom Bar** 

[00106] The bottom bar 134 may be implemented much like the title bar 132,

including supporting text overlay and additional functionality. (See Appendix A - DoDots

XML Specification for a list of controls with XML call and their definitions)

**Alternative Embodiments for Controls** 

[00107] Dots may be defined so as not to require a rectangular title bar 132 or

bottom bar 134 (at top and bottom). Indeed, either or both of title bar 132 and bottom bar

134 may be omitted in a Dot Definition. Other controls (128, 130, 138, 140) may also be

page 36

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

omitted, provided certain functionality (e.g., exit or close) is otherwise provided.

Furthermore, every functional element in a Dot may be defined as a control element that

is relatively positioned and layered (in z order) - much like layers in HTML.

Transparency may also be a given property. Different layers and controls may be given

Dot properties (such as a title-bar-move-property or Dot-menu-property). Very flexible

Dot interfaces may therefore be provided. (See the specification for the <DOT> and

<CONTROL> DTDs in Appendix A – XML Specification.)

**Control Space** 

[00108]Between the title bar 132 and the bottom bar 134 is a control space 126

where one or more controls, images, data, etc., can be flexibly positioned. For example,

control space 126 may include a web rendering control referred to herein as a Web

Conduit (described further below). The Dot framework supports any Active-X based

control which may be positioned and initialized in a Dot (e.g., an on-line stock trading

company may implement a custom stock chart-rendering control and define a Dot to

permit a user to interface with this control).

[00109] A Dot may be defined to include a static image over which dynamic data

may be displayed, such as the example of Fig. 3 in which a static image of a cloud has

rendered thereover dynamic (changing) data relating to likelihood of rain. Alternatively,

the Web Conduit may render in control space 126 purely static data obtained from the

Dot Definition, a URL, or the client device, as well as dynamic data resulting from client-

run processes, pushed or pulled Internet content, etc.

page 37

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00110] The Web Conduit control is just one of many controls that may be

included and positioned in a Dot's control space 126. For example, Active-X based

control can also be referenced and inserted. This capability allows a Dot developer to

implement a custom control. For example, a stock ticker display may be implemented as

a custom secure chart control that renders stock tracking charts with small streams of

secure xml data. Such a custom control can be laid out with a Web Conduit control next

to it if the Dot developer chooses to do so. Also, just like a browser, a Dot developer

may embed an active-x control in an HTML page rendered in the Web Conduit control as

is the practice for use with full screen browsers.

**Web Conduit Control** 

[00111] The Web Conduit (mentioned above) control can render Internet content

in a fashion similar to Microsoft's Internet Explorer™ (IE). This control functionality may

be provided, for example, by utilizing Microsoft's Internet Explorer's (IE4+) WebBrowser

object. Note that the IE4+ object does not constitute a browser. The Web Conduit

merely uses rendering tools of the object - it does not invoke or require the Internet

Explorer application or any other web browser application. Rather, functionality is

provided to support rendering of Internet content so as to integrate this control with the

Dot framework and to receive and transmit messages in and out of the HTML rendered

in the control.

**DOT IDENTIFICATION** 

page 38

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[00112] A Dot can be identified (in the Dot Definition 104) by three ID strings:

GloballD, Domain, and Kind reference. These are defined as follows:

GlobalID - A Dot's GlobalID is used when a Dot Definition is within a Share

(described further below); this ID is unique with respect to other Dot tags in the

Share.

Domain - A Dot's Domain is a unique label for the owning company Dot

developer of the Dot.

Kind - A Dot's Kind (specified by the Dot developer) is a helpful identifier for

finding the Dot; A Dot's Kind does not have to be unique.

**CATEGORIES** 

[00113] In an embodiment of the present invention, categories are used as an

organizational tool. A Dot Definition 104 (Fig. 3) may be provided with an element 150

defining the category(ies) with which that Dot is associated. A mechanism is provided to

allow identification of categories of Dots, useful for selecting, sorting, organizing, etc.

The categories that a Dot belongs may be edited by editing the string elements in the

Categories element 150 of the Dot Definition 104. (See the specifications for the <ALL-

CONFIG> and <DOT> DTDs in Appendix B.)

page 39

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

**EVENTS** 

[00114] A Dot Definition may also include an Events element 152 in which

actions can be assigned to certain Dot Events such as the Dot's ONCLOSE event.

DotEvents can be assigned a specific and targeted action much like a menu control 136

item; currently this action is implemented as a DotMessage sent to a specified recipient

(see DMA messages, below). When the specified DotEvent occurs, for example

ONCLOSE when the Dot closes, the specified message is sent to the specified recipient.

**HOSTING AND SERVING DOTS** 

[00115] Referring again to Fig. 1, web server 50 may serve the Dot Definitions

104 as an XML file to client computer 20. The Dot Definition 104 may be served

elsewhere such as a third party or partner server (not shown) along with the Dot content

that fills in the Dot. In one embodiment, this XML specification may be kept proprietary

and Dot developers define and package Dots indirectly without having to author XML

Dot Definitions. In another embodiment, the xml specification is open, and content

providers (and others) have complete control of the authoring of Dots.

[00116] Dot Definitions 104 are indexed and stored in server 50 in database 74,

and are accessible to Dot-rendering and Dot-management applications (the Application

Media Viewer) via "DotLinks". These DotLinks, based on standard Internet links, point to

particular Dot Definitions and enable the Application Media Viewer (using standard

HTTP / HTTPS requests) to quickly access the frame and initialization pointers or URLs

page 40

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

in the Dot Definition to instantiate the Dot and render it, filling with DotContent (Internet

content served by a partner Dot Developer servers 82).

[00117] Dot developers (e.g., content and/or service providers) serve the Dot

content obtained from source or reference 108 (the standard internet content that fills in

the Dot). According to one embodiment, Dots may be served my numerous different

non-proprietary servers 50. Content may also be provided by a non-proprietary server,

as specified by the Dot developer. Of course, it is possible that in other embodiments

specific Dots may only be obtained from certain sources.

[00118] Because the Dot Definition 104 contains enough information to

instantiate the Dot as well as the reference 108 that address the location at which the

Dot content is located, a Dot is easily and quickly distributed, as well as collected and

shared by users. (This is discussed further herein with regard to Viral Distribution

Architecture.) It is therefore easier and faster to get information delivered in a Dot than

in a web page.

[00119] This Dot-based architecture is very different than the current model of

Internet content. Today, users visit web sites, following a destination-based model of

content access. The process of accessing media therefore is sequential or linear,

resulting in user viewing of one full-screen web page at a time. Furthermore, there is no

simple to use or inherent mechanism in place today to share sites short of sending

URL's.

**ENHANCED DOT CONTENT** 

Filed via EFS-Web on: 10/31/2007

page 41

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[00120] The Dot Definition 104 code is accessible, flexible and dynamic, enabling

it to be modified at any time, even after it is rendered. For example, Internet content 101

(HTML) can access and modify its associated Dot Definition 104 by sending messages

to other portions of the definition, such as the definitions of appearance 106 or control

107. Other application system-level functionality is also available by sending messages

to the Application Media Viewer 199, discussed further below.

[00121] By using an architecture, referred to as Dot Messaging Architecture, for

communication between Dots, and between a Dot and the Home Dot, standard Internet

content can access and enable Dots to exhibit application behavior. That is, content can

provide functionality typically associated with applications, such as dynamic refresh,

contextual presentation, interactive response between user actions and changes in the

graphical user interface or frame, etc. (See "Dot Messaging Architecture" below.)

**EXAMPLES** 

[00122] The following examples are used to demonstrate key features of the

present invention. Some of these features include: a new way to view standard internet

content, a fully branded experience for the user, and a web experience that delivers true

application behavior. Sample companies are used in these examples to demonstrate

branding together with the use of internet content to produce a novel user experience

that transcends the web page. Trademarks are those of the respective companies.

page 42

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

E\*TRADE

[00123] E\*Trade's primary business is to enable users to execute securities

trading online. Therefore stock information and notification are essential elements to

their business, as are the transactions themselves. Persistent display is an important

aspect of Dots as is the ability to provide ongoing data updates. Below is a list of aspects

that illustrate novel advantages that Dots provide for a possible E\*Trade Dots system.

- E\*Trade can leverage their existing content through Dots (Dots are built on top

of standard HTML]

- E\*Trade can break their content into smaller pieces and package them into

Dots.

- E\*Trade can give their Dots application behavior. Instead of being trapped

inside a viewer window, content providers have access to the entire frame, which

enables them to brand the Dot, access to application and system features.

Content providers can also enable users to resize the Dot click, to open E\*Trade

Dot, ability to execute operations in the Dot such as trading stocks as opposed

to only monitoring stock prices.

- Because Dots can be left on or actively running and displayed on a client

computer, they can notify users of coming/pending/immediate content events. A

Dot can notify a user in many ways, including: popping up a message box or

another Dot. A Dot can resize itself and show new content and/or bring itself into

focus, as a window application may also do.

page 43

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**MERRIAM-WEBSTER** 

[00124] In this example, Miriam-Webster has word definition content that is useful

for users to access. Users may be better served by the Dot format of presenting as

opposed to traditional web pages. Below is a list of features that illustrate this point.

- Miriam-Webster can leverage their existing content to provide a dictionary

"application" in a Dot; the content is HTML delivered from their servers. In a Dot,

Miriam-Webster can provide direct access to just the information users are

interested in viewing without requiring them to disengage in other operations

such as word processing work in order to actively seek information from Miriam-

Webster's servers. Additionally, content providers can add new functionality to

their Dot applications just by changing the HTML files on their servers that are

delivered to Dots or as Dot Definitions 104. They do not need to revise and

redistribute custom client software.

**EBAY** 

[00125] A single company such as eBay can use multiple Dots to engage users

from many points at the same time to facilitate a more complex process, such as online

auctions or shopping. Many companies have an enormous amount of assets (content,

services, and applications) that can only be exposed to users one page at a time.

page 44

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Featuring and exposing new or important functionality is often difficult to do if they are

buried several pages deep.

- Dots enable content providers to feature and expose functionality and services

in a new form, leveraging and featuring all of a company's assets in a consistent

way. eBay, for example, might provide a "MyBids" Dot that allows users to more

closely follow those items they have bid on (the current asking price and when

the auction will expire).

- eBay can also provide a Gallery Dot. Instead of wading through thousands of

thumbnails in the gallery section to find what their looking for, users can identify

categories they are interested in and window shop these items, click on a

particular item to add new item to MyBids Dot. When a user finds something that

interests him, it's added to the MyBids Dot with a single click.

- There is a messaging architecture (DMA) that may be enabled by the Dots

architecture disclosed herein which enables Dots to communicate with each

other, thus facilitating operations between Dots without user intervention. For

example, a user finds an item of interest in a "Gallery" Dot. He clicks on that item

and it is added to the "MyBids" Dot with a single click. Additionally, a "BidDot"

may be caused to pop up for that single item, allowing a user to directly bid and

monitor the auction process. He clicks on a second item in the "MyBids" Dot and

another "BidDot" pops up.

MP3 DOT

page 45

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

[00126] By using an embedded MP3 playing control, an MP3 playing Dot can

access, play, and manipulate MP3 files and playlists from a local hard drive or the web,

just like a custom MP3 client application. The significant difference is that the GUI &

controls (the Dot Definition 104) are entirely Internet content (HTML, javascript, etc.) and

can change simply by modifying HTML files online.

**MOBILE/OTHER PLATFORMS** 

[00127] Dots can be viewed as a smaller-format package for internet application

media. This package is more portable than executable applications as well as full screen

formatted media for browsers. Portability across platforms requires consideration of

diverse screen sizes and resolutions as well as operating systems and user interface

controls. This diversity requires companies or users who require cross platform media

distribution to scale down their existing content for portability to mobile devices. No such

scaling down is required when deploying Dots and the Dot architecture.

**APPLICATION MEDIA VIEWER (HOME DOT)** 

[00128] As previously mentioned with regard to Fig. 1, a client computer 20 will

ideally have stored thereon a software component referred to as an Application Media

Viewer (or Home Dot) 119. As Application Media Viewer 119 performs a number of

functions in the process of acquiring, instantiating, modifying, etc. Dots, in addition to

simply viewing Dot content, we also refer to an Application Media Viewer as Home Dot

page 46

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

herein. The Home Dot 119 is effectively a client application that contains the procedures

or calls to procedures for rendering and managing Dots on the client computer. It

therefore has attributes of an application. The Home Dot is designed to operate in

conjunction with a Dot Definition, and vice versa.

[00129] Data contained within an Application Media Package (Dot) is parsed by

the Home Dot. Control calls contained within the Dot are also parsed and executed.

The Home Dot may then instantiate a Dot without relying on functionality provided by a

browser. In fact, in a preferred embodiment, a browser is not employed to instantiate or

operate an Application Media Package.

[00130] The Home Dot is a compiled application, and can be programmed using,

for example, MFC (Microsoft Foundation Class) or the like. The Home Dot need only be

downloaded to the client computer one time and may be used to instantiate any number

of Dots. The Home Dot is capable of executing and displaying multiple Dots

simultaneously.

[00131] The Home Dot may be provided with the ability to automatically or

manually check for updated versions of itself on a server. If a newer version is detected,

the user may be provided with the opportunity to download and install the updated

version of the Home Dot.

[00132] The Home Dot supports a number of functionalities, including support for

standard internet protocols (http, https, etc.) Additionally, the Home Dot supports

collecting and organizing Dots, user login to a Dot server, user activity statistics

collecting and reporting, and messaging between Dots, as discussed further below.

page 47

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

NETWORK DISTRIBUTION OF DOT AND HOME DOT

[00133] Referring now to Fig. 6, a communication flow diagram 299 is shown for

an exemplary system enabling the distribution and use of Dots between a client

computer 199, a server (Dot server) 153 making available one or more Dots including a

Home Dot, and content server 155, each with a network address or IP address. It will be

assumed for this particular example that a Home Dot 200 has previously been

downloaded and installed on client computer 199. It will also be assumed for this

example that Dots 120 and 230 have been previously requested (the mechanism for this

request is described further below).

[00134] Accordingly, Home Dot client application 200 utilizes Dot Definitions to

instantiate Dots 120, 230. There may be ongoing communication 250, 260 between

Home Dot 200 and Dots 120, 230, respectively. This communication may include data

for the presentation of Internet content, messages, and/or state information.

Furthermore, the Home Dot client application 200 executing on a client computer 199

communicates with Dot server 153 through a channel 210 (such as a broadband Internet

connection) as well as Content server 155 through channel 157. Internet content is

received by Home Dot 200 and rendered into the instantiated Dots 120, 230, as

appropriate. The Internet content may be one or more of many formats, such as XML,

HTML, GIF, Streaming Media, Flash, HTTP, HHTP(S), etc.

[00135] The Dot server 153 is communicatively connected to a physical memory

device 201 which holds a database 202 containing software objects for downloading to

page 48

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

or access by a client device such as client computer 199. This physical memory device

201 may be a RAID hard drive system, a standard hard drive, removable media, or any

other type of volatile or non-volatile memory known in the art. Database 202 may contain

one or more Dot Definitions 104, the Home Dot client application 200, available for

download to the client device, as well as user account data 205, state data including use

statistics 206 and Dot index/shares 204 (each described in further detail herein).

[00136] The content server 155 is communicatively connected to a physical

memory device 261 which holds the Internet content 262 as well as other forms of data

263. Content/data 262, 263 is communicated to the instantiated Dots 120, 230 where it

is rendered on the client computer 199. In general, content passes first through the

Home Dot application 200 or a similar client computer 199 program that is capable of

receiving and parsing Internet content. Therefore, the connections between content

server 155 and Dots 120, 230 are shown as dashed lines, indicating that for this figure

the connection is indirect.

[00137] Unlike a downloadable custom client application, the Dot Definition 104 is

comprised entirely of Internet content in a web browser readable language. To

instantiate a Dot on a client device or computer, only its definition is required (assuming

that the computer is Dot-enabled, i.e., that the Home Dot client application 119 has been

installed). The Dot Definition 104 contains just enough information to define, layout, and

initialize a Dot's components (frame, controls, etc.); this information configures the

graphical user interface which may then present Internet content therein. Consequently,

a Dot Definition typically has a small file size (~2KB), and is therefore a quickly

accessible and loaded XML file.

page 49

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00138] One aspect of software distribution according to the present invention

may proceed as illustrated in Fig. 7. A user first requests a Dot, or more specifically its

Dot Definition 104, by clicking on a Dot link 321 which may be a hyperlink on the web

page of a Dot server 153, a web page of a partner or content server 155, or selectable

menu item on the client computer 199. A Dot link 321 is an Internet link to a Dot

Definition 104. A Dot link operates in a manner similar to an HTML link. One added

feature associated with a Dot link is that the server that serves the Dot Definition 104

typically has a sensing mechanism that can determine whether or not a user has the

Home Dot application 200 installed on his computer or device 199.

[00139] If the user does not have the Home Dot application 200, then it is

downloaded and installed with a first set of Dots (e.g., 120, 230) that may be user-

selected or part of an initialization set. This download process is discussed in further

detail below. From that point forward, the client computer 199 is Dot-enabled and does

not require a subsequent download and install of the Home Dot. In short, only a single

application-like software product need be downloaded and installed on a client device to

enable a variety of different Dots, as opposed to requiring a user to download a different

custom client application for different types of Internet content.

[00140] Once a client machine 199 is Dot enabled, (Home Dot application 200

installed), Dot 120 may be rendered by simply clicking on Dot link 321. This rendering is

typically faster than it takes to load and render a small web page. Furthermore, once the

Home Dot application is installed on the client no additional executable software need be

downloaded or install to view and interact with a Dot. The Home Dot application 200

accomplishes this by retrieving the Dot Definition 104 specified by the Dot Link 321,

page 50

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

instantiating the Dot 120, obtaining the Internet content specified by the Dot Definition

104, and presenting the Internet content (standard Internet content from DoDots' partner

servers 155) within the Dot.

[00141] Once a user has received a Dot Definition 104, the Home Dot application

200 remembers the Dot's Dot Definition 104 as part of a user-profile 310 so that it can

instantiate the Dot and begin filling it with Internet content immediately. Because a Dot

Definition 104 becomes part of a user-profile 310, it can be modified by use (e.g., the

image of its default title bar 125 may be changed, its Web conduit control may be

navigated to a different URL using DMA messages, etc.) and the Dot will initialize from

its last state stored in user profile 310 the next time the Dot is to be instantiated (or

"turned on") at 324.

[00142] A Dot Link 321 represents a Dot. Therefore, this Dot Link 321 (and hence

the Dot 120) can be easily and instantly distributed; the Dot Link 321 can be posted on

web sites to promote the Dot, or shared with friends via email. This is viral distribution of

a Dot, via distribution of the Dot Link 321 - the Dot server hosts and serves the Dot

Definitions 104 to which the Dot Links 321 point. Dots may also be aggregated into

packs by content providers, or other aggregator, grouped for example by like subject or

perceived common user interest, and the packs of links acquired by a user at 322 as if

they were single Dots. A user may also provide others with access to Dots when the

actual Dot Definition is located on a remote third party Dot server. This may be

accomplished by way of a Sharelink, which may be provided by a user at 302. Others

may then access the Dot, including setting changes that may have been made by the

user, by accessing the Sharelink provided at 320.

page 51

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

[00143] From the client perspective, one example of a process of acquiring and

instantiating a Dot is illustrated in Fig. 8. According to this process, a Home Dot is

employed to acquire a new Dot, however it is within the scope of the present invention to

acquire new Dots through other mechanisms, such as through a web browser

application (with utilization of the Home Dot ultimately required in order to instantiate and

populate the Dot). After logging in at step 240 the user clicks on the Dot Link signaling a

request to acquire the Dot. The Home Dot application then sends a request to download

the Dot to the Dot server 153 at step 241. Alternatively, the user may click on a Dot link

before logging in, for example if the link has been e-mailed to the user, and then, after

clicking on the link, log in. In another aspect, the user could view, but not collect, a

transient Dot without ever logging in.

[00144] After the user is logged in and has clicked on the Dot, the Dot server 153

retrieves the Dot template from its Dot database, and provides it to the client in step 243.

The client receives the Dot template from the Dot server 153 in step 244.

[00145] For display of the new Dot, the Home Dot creates a frame in the display

of the user interface (42, Fig. 1) in step 245. In step 246, the client 199 requests the

necessary Internet content stored at the URL(s) identified in the Dot Definition from the

corresponding content server 155. The content server 155 transmits the content in step

247, and in step 248 the Home Dot presents the content in the viewer. As the type of

content may vary greatly, the content may appear within the frame (such as text or

images) or may be independent of the frame (such as audio) but the frame including

controls for controlling aspects of the presentation of the content. In one embodiment,

Internet content is presented such that it is enclosed by the frame, allowing the user to

page 52

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

preview the Dot. Alternatively, the Home Dot may simply collect the Dot, adding it to the

user's processed user profile 310.

[00146] The user may then view the Dot on the user interface display 42, and may

interact with the Dot much in the same way as a user may interact with typical Internet

content or web applications. This may change the Dot from its present, "raw" state to a

used state reflecting alteration or use of the Dot by the user. Thus, the user has stored

on client 199 a Dot Definition rather than a Dot template. For example, the user may

direct the Dot to display different content within the Dot if the Dot content enables the

user to do so. Or, the user may provide information to the content server 155 which

allows the Dot to be personalized. The user may additionally be given the option of

changing the size or location of the frame, etc.

[00147] If the user collects the Dot, the Dot will be added to a user's list of

collected Dots. For example, the Home Dot may add the Dot's definition to a processed

user profile (discussed further below). Thus, the Dot's "state" will be preserved.

Alternatively, the Home Dot may collect the Dot automatically, without waiting for a user

command, by adding the Dot Definition directly to the processed user profile.

[00148] If the Dot's state has been altered by the user or by the content – if, for

example, the user has directed the Dot to Internet content other than the initially-

displayed content, provided personalizing information, or changed the properties of the

frame, or if the content itself has caused an alteration in the Dot — this alteration will be

reflected in the Dot Definition stored in the user profile. Information which personalizes

the resulting content, instead of being stored in a "cookie" on the client device, can be

stored as part of the Dot Definition. This advantageously permits personalization of

page 53

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

content, such as Internet content that is associated with the Dot content and the user,

without storing a cookie on the client 199. One advantage this provides is that the state

of a Dot can be returned for a user no matter which computer the user accesses the dot

from.

[00149] A user may also access a Dot which has been previously collected, and

possibly altered by use as explained above. As previously described, the user profile

includes Dot Definitions for Dots which have been viewed and collected by the user. A

screen shot showing Dots 502, which have been previously collected by a user is shown

in Fig. 9. Also shown are Dot Links 504 available from a Dot server for download to the

client.

[00150] One embodiment of the steps taken to provide the user with Dots which

have been previously collected are shown in Fig. 10. According to this process,

acquired Dot Definitions are maintained on a central server, and a user profile identifies

which Dot Definitions are associated with particular users. This is useful when a user

may be using more than one computer and wishes to have access to her collection of

Dots on any machine she is using. However, it is equally within the scope of the present

invention that Dot Definitions may remain resident on a user's computer and not be

stored for that user on a central server or the like.

[00151] As discussed above, on login at step 250 the user's profile is retrieved by

the Home Dot stored on the client (step 251 and 252). The user's profile, stored in the

user profile database, includes the Dot Definition for each of the Dots previously

collected, and possibly altered, by each user. The Dot Definition, as discussed above,

includes the Dot frame definition and the definition of the controls for filling the viewer

page 54

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

within the frame with content. After log in, a local copy of the processed user profile is

stored on the client 199, and this copy is further processed as the user collects new

Dots, or uses new or collected Dots such that the Dots are altered.

[00152] When the user clicks on the name or icon of a collected Dot at step 253,

the Home Dot creates a frame in the display of the user interface (40, Fig. 1) in step 254.

At step 255, the Home Dot causes the client 199 to request the Internet content from the

URL identified in the Dot Definition from the corresponding content server 155. This

content is provided in step 256. It will be appreciated that the URL need not be the

same as the initialization URL in the Dot template stored in the Dot template database

202 on Dot server 153, and in fact the content server need not be the same content

server corresponding to the initialization URL. In step 257, the Home Dot places the

content in the Dot frame, and the Dot is then fully instantiated with content.

**HOSTING DOT DEFINITIONS** 

[00153] Dot web servers 153 host and serve the XML Dot Definitions 104 and

provide the Dot Links 321, Dot Packs 322, and sharelinks 320 that point to the Dots so

that a user can easily and instantly add the Dot 104 to their Home Dot application 200

(adds Dot 104 to their user-profile 310) simply by clicking on the Dot Link 321, pack link

322, or sharelink 320. The Home Dot application 200 registers with the local

browser/computer so that Dot Links 321 are handled by the Home Dot application 200

(and not by the browser).

page 55

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**Dot Templates and the Dot Index** 

[00154] New Dot Definitions 104 get published to a Dot index 204. A Dot

Definition can be modified by a user once downloaded. Since these new Dot Definitions

are resident on the server for downloading, they are also referred to herein as Dot

templates. The Dot index stores Dots definitions or templates 104 in database 202 on

Dot server 153 by category (such as sports, finance, games, etc.) with descriptions and

images for each Dot. In the preferred embodiment, Dot Definitions 104 are published to

categories specified by the Dot developer. Categories can also be automatically created

to support indexing Dots that are most popular (most commonly accessed, most

commonly shared, or other sorting criteria).

[00155] Dots as well as their index are formatted as Internet content. Therefore,

users can browse or search the Dot index for new Dots using either a browser or using

their Home Dot application 200 application (via an AddNewDot Dot or like functionality).

**[00156]** Each of these Dot templates (Dots definitions 104) are pointed to by a Dot

link 321; the Dot link 321 for each Dot is generated automatically by the server 153

(when the Dot is published or previewed) to reference that Dot. Clicking this Dot link 321

adds the Dots definition 104 to the user's Home Dot application 200 (via the user profile

310) and turns the Dot on (instantiates it and fills it in with internet Dot content).

[00157] Once a user receives a new Dot (Dots definition 104) by clicking on a Dot

link 321, the Dot template is downloaded and becomes a Dot Definition 104 forming part

of their user profile 310 (the XML data that defines which Dots the user has, which Dots

they left open, what the state of the Home Dot application 200 last was, etc.).

page 56

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00158] The Dot server 153 may optionally host Dot Definitions 104 as part of

user profiles 310. Every Home Dot application 200 user has a user profile (expressed in

XML) that stores the Dot Definitions 104 that the user has collected as well as the last

state of the Home Dot application 200. (See also the specification of the <ALL-CONFIG>

DTD in Appendix B.)

[00159] In an additional optional embodiment, when a user successfully logs into

the Home Dot application 200, state restoration may be provided by Dot server 153. In

this embodiment, authentication may be handled by the Dot application server 153 (as

opposed to the user device and Home Dot). The Home Dot application 200 requests the

user profile's <ALL-CONFIG> file 311 by communicating with Dot server 153 at 303. The

Home Dot application 200 then receives the <ALL-CONFIG> file 311 from Dot server

153 at 303, and restores its state (from the <ALL-CONFIG> 311) presenting last user

state, i.e., which Dots were ON, where the Home Dot application 200 was positioned on

the screen, etc.)

[00160] The Home Dot application user interface (UI) enables a user to turn a Dot

ON 324; in this case the Home Dot application 200 has the Dot Definition 104 (part of

the user profile 310 that was retrieved on login) that contains enough initialization data to

instantiate the Dot and fill it with content just as the user left it.

[00161] As previously mentioned, Dot Definitions 104 that are part of a user's

profile 310 may differ with use. In other words, the Dot Template from which they were

originally created may have a different state than a Dot Definition 104 that has been

modified through use. The user profile could also be implemented to point to Dot

page 57

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Definitions 104 that are always hosted remotely (and/or not entirely part of the user

profile).

[00162] Centralization of Dots within the Home Dot 200 enables users to collect

Dots. This feature is significantly different than today's model of the Internet in which

users visit a page one at a time, and then leave. Users collect Dots, keep them running,

and share them with others. By packaging Internet content as a Dot and referencing it by

a Dot link 321, Internet content is given viral characteristics (i.e., Dots can be instantly

distributed). (See also the Session\_Config Example found in Appendix B.)

**Shares** 

[00163] Dot servers 153 also host Dot Definitions 104 as part of Shares. Because

a Dot link 321 represents a Dot, this Dot link 321 (and hence the Dot) can be easily

shared (distributed via email) from one user to other users.

[00164] If a user receives a Home Dot application share and has the Home Dot

application 200 installed (their machine is Dot enabled) then clicking on the Share Link

Dot link 320 in the share will add the Dot(s) in the share to the user's Home Dot

application 200. If a recipient of a Share Link doesn't have the Home Dot application

200 installed, then the Home Dot application 200 is downloaded and installed (with the

user's cooperation) with the first Dot(s).

[00165] When a user shares Dots, their Home Dot application 200 generates a

share file (XML file) that contains the Dot Definitions 104 of the Dot(s) included in that

page 58

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

share. The Share XML is then sent to Dot server 153; the Dot server 153 automatically

generates the Share Link 320 that references the Share XML. This Share Link 320

rather than the Share XML is sent or distributed (via email or posted on a web site) to

other users. (See also the specification of the <SHARE> DTD found in Appendix B.)

SOFTWARE PRODUCT DOWNLOAD PROCESS TO CLIENT

[00166]Reference is now made to Fig. 11, which is a flow chart 400 illustrating

the software product client download process. According to one embodiment, to begin,

a user makes a request for a Dot at step 402. At step 402 it is determined that the user

does not have the Home Dot application 200 installed. Step 406 comprises of the

following:

[00167] User is informed of need to download software to view Dot. The message

could be from the site owner (content provider) or from a Dot server.

[00168] "Do you trust [provider/Dot server host]?" dialog is presented to user at

405. A dialog then tells the user the size of download and approximate time for

download.

[00169] In step 408, the user clicks the "Yes" button. (Alternatively, if the user

clicks the "No" button, step 409 executes with an exit message such as "You decided not

to get the Dot"). Proceeding now to step 410, the user gets a Trust Certificate (a security

process well understood in the art). The user accepts the Trust Certificate in step 412.

(Alternatively, if the user declines, step 413 executes with an exit message such as "You

page 59

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

decided not to get the Dot"). In step 414, the user accepts and receives the license

agreement and is presented with a dialog that asks the user to confirm the directory for

download location. (Alternatively, if the user declines, step 417 executes with an exit

message such as "You decided not to get the Dot"). In step 416, the user accepts the

license and confirms the file location, and proceeds now to step 418. The download

process begins, followed by the installer download and background install operation. The

requested Dot opens, and the "KeepMe" Dot opens. Note that the "KeepMe" Dot may

be provided by a third party such as a content provider. If no third party-provided

"KeepMe" Dot exists, a default "KeepMe" will open.

[00170] In step 420, if the user decides to keep the Dot, the KeepMe (Dot)

Operation initiates (see below). If the user decides to close the requested Dot before

selecting "KeepMe" and then decides to select "KeepMe" the requested Dot will close,

the Keep Dot operation will initiate and the requested Dot will be added to the Dot list.

Alternatively, if the user closes the requested Dot and the "KeepMe" Dot without

selecting "KeepMe" the Home Dot application 200 will remain installed but the Home Dot

application 200 UI will not be available to the user. Lastly, if the user decides to close

the "KeepMe" Dot before closing the requested Dot the user will not be able to initiate

the Keep Dot operation.

[00171] The other branches of the flow chart 400 will now be described.

Beginning once again with step 402, the user requests Dot. According to the alternate

branch leaving step 404, the Home Dot application 200 is found on user's machine. In

step 405, it is indicated that the user is not registered. (Alternatively, if the user is

registered, the Add Dot operation is executed step 407 and the process terminates).

page 60

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Proceeding therefore to step 411, the requested Dot opens. The "KeepMe" Dot opens

("KeepMe" Dot may be a default Dot or a "KeepMe" Dot provided by the Dot/content

provider). If the user decides to keep the Dot, the Keep Dot operation then initiates (see

below). Alternatively, if the user decides to close the requested Dot before selecting

"KeepMe" and then decides to select "KeepMe" the requested Dot will close, the Keep

Dot operation will initiate and the requested Dot will be added to the Dot list. In another

scenario, if the user closes the requested Dot and the "KeepMe" Dot without selecting

"KeepMe" the Home Dot will remain installed but the Home Dot UI will not be available to

the user. If the user decides to close the "KeepMe" Dot before closing the requested Dot

the user will not be able to initiate the "KeepMe" operation.

[00172] The following is a summary of the "KeepMe" registration operation

(assuming an unregistered user has a partner's Dot open):

- User clicks "KeepMe" button of "KeepMe" Dot.

User registration form launches.

- User form displays in "KeepMe" Dot.

- User form includes link to privacy statement.

- User completes user registration form and submits.

- Home Dot appears.

Welcome Dot appears.

[00173] In one embodiment of the present invention, it is possible to track the

referring partner, for example for awarding incentives for referring a user. The form of

the incentive is a matter of business choice. However, in such an embodiment, the

registration operation will comprise the additional step of:

page 61

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

- Crediting the referring party (e.g., partner) with the referral and/or converting

user.

[00174] For an alternative software download process, refer to U.S. Provisional

Patent Application ser. no. 60/176,687, Appendix F – DoDots Feature Priority List PPA.

[00175] It will be appreciated that the present invention addresses scaling issues

by breaking content up into smaller, more focused software components. These smaller

software components (Dot Definitions 104) may thus be served to mobile devices to

compensate for bandwidth and content feature support issues, to overcome a lack of

browser functionality and processing overhead, etc. Furthermore, the architecture

supporting Application Media Packages or Dots offer a consistent experience with

application media or Dot content 104 across device types, e.g., similar experiences as

between desktop, laptop, web-enabled phone, PDA, etc. As Dots are distributed as

easily as web pages are viewed/visited, Dots can be instantly distributed and users can

stay connected with content providers of their choice without having to download custom

client software from each provider, and without regard to the device type being operated.

[00176] For further details on the structure of the XML structure used for Dots

according to one embodiment of the present invention, see Appendix A hereof. For

further details on the functions and design of Dot server 153, see Appendix B hereof.

page 62

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**APPLICATION MEDIA VIEWER – THE HOME DOT** 

[00177] One unique aspect of the present invention is the interaction between the

application media package 104 and the application media viewer 119. This aspect is

now discussed.

[00178] As mentioned, the application media viewer, or Home Dot, is a network

enabled client application. With respect to the user experience, the Home Dot provides

the parsing and rendering function of the application media packages or Dots. It also

provides for the application behavior of Dots by executing calls or methods that are

parsed from the Dot by the Dot Definition, user events, system events, or the like. That

is, at a minimum, a Dot comprises a definition of a graphical user interface (frame) and

content to be rendered within or associated with that frame. Thus, a critical function of

the Home Dot is to parse the Dot definition, render the frame, obtain the content, and

render the content in or associated with the frame.

[00179] As previously discussed, the Home Dot comprises Internet content.

Thus, the Home Dot is in part a content parser, providing rendering of the graphical user

interface (GUI) from Internet content.

[00180] Furthermore, Dots originate on remote network devices. Thus, another

function of the Home Dot (client-side) is to obtain and organize Dots on the computer on

which the Home Dot resides.

[00181] The Home Dot is a part of a client/server system. As a client installed in

one of a multitude of client computers, all communicating with a Dot server, the Home

Dot maintains communication with that server to perform system functions for the client.

page 63

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

These system functions require a defined command set or functions calls between the

client and server. Such functions may include user login, user account status, use

statistics, Dot downloads, individual Dot configurations or user customizations, Dot

organization, revision updates for both Dot and Home Dot installations.

[00182] Because the present invention enables versatility in the manner of

packaging and operating with Internet media (forming application media packages), and

because the breadth of internet media and the scope of available information is

expansive, the present invention also provides for methods of Dot organization on a

user's client computer. More specifically, the Home Dot provides for Dot management

for a large number of Dots. Such methods of management include grouping Dots into an

organized layout for persistent display, categorizing and grouping Dots into Dot Packs,

opening and closing sets of Dots or Dot Packs according to a user's current information

requirements, and configuring individual Dots either by user defined categorization or

customization parameters that have been enabled by a Dot's developer.

[00183] The Home Dot according to the present invention provides for methods

for grouping Dots, sharing information between Dots, and sharing these groupings with

others. In other words, the present invention provides for methods by which a user or

other third party or collectively, third parties, may build upon the utility of Dots as an

atomic media element by adding their context through grouping and configuration. In

doing so, the present invention enables the addition of third party knowledge that may or

may not include the participation of the original Dot developers. Furthermore, the present

invention provides for mechanisms by which this knowledge is shared. These

mechanisms include saving the customization states of individual Dots, grouping of a

page 64

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

plurality of Dots and saving them to a Dot server, and emailing links to other users or

installing these links in a web page. Additionally, the present invention provides for third

parties to share collaborative efforts by posting such groupings and customizations on a

Dot server for general availability.

[00184] In addition to grouping and customization, sharing information and events

between Dots is provided for by the present invention. The Home Dot routes messages

between Dots and the system. Messaging enables Dots to act upon one another such

that an action or event that affects one Dot may also be routed to another Dot, thus

affecting a change to it or an action to be taken by it. The resulting actions or changes of

the individual Dots may not be the same. To support messaging generally, levels of

restrictions are also provided for. It is therefore possible to control the scope of

messages received by a Dot from other Dots according to membership or domains.

Likewise, it is possible to control transmission of messages to other Dots. Therefore, the

present invention provides for cooperative messaging and information sharing between

Dots as enabled by Dot developers.

[00185] In one embodiment, the server is a high availability system comprising a

plurality of individual servers functioning together on a server network. Such a

configuration advantageously services a large number of simultaneously executing client

Home Dots. Whereas the client application or Home Dot provides for those services

surrounding enabled or instantiated Dots, the server network and its applications as

embodied in a web site, java servlets, an RDBMS, Dot and Home Dot distribution

support, provides for the development and distribution of Dots. Additionally, as the

Home Dot performs the optional features of collecting statistics surrounding Dot usage

page 65

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

and posting these statistics to the server, the server may aggregate these statistics for

reporting. According to one aspect of the invention, the server operating together with

the Home Dot, may source or enable messages to specific Dots based upon real-time

context of user interaction with Dots. This function enables, for example, real-time

context based advertising. (See also the Client-Server Protocols section of Appendix B.)

METHOD OF DELIVERING DYNAMIC WEB DATA WITHOUT WEB BROWSER

[00186] With reference again to Fig. 6, according to one embodiment of the

present invention, Home Dot application 200 enables users to collect Dots 120, 230,

etc., organize those Dots into collections, manage the collections with organizational and

workspace management functionality (e.g., categories, Snapshots, group-move), and

easily share Dots, Dotpacks, and Snapshots with others. Also, the Home Dot application

200 implements and enables a Dot Messaging Architecture (DMA, discussed further

below) providing messaging between Dots and the Dot server system.

[00187] When Dots are instantiated on a client machine, the Home Dot

application 200 collects usage statistics (211, 212, 213, 214, 215) and keeps an event

log (in XML format) that is intermittently sent to Dot application servers 153 and stored,

for example as part of the Dot database 202 as use statistics 206. This enables the Dot

server to track how the Home Dot application 200 and the Dots are being used and

shared. In one embodiment this is implemented as a local MFC (Microsoft Foundation

Class) application on the Windows platform, thus enabling rapid user response (no

Internet delay for functional UI components). Home Dot application 200 functionality may

also be provided across platforms (MAC O/S, Linux, unix, mobile, wap, etc.)

page 66

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**HOME DOT OPERATION** 

[00188] Each user of a Home Dot application 200 has a Home Dot application

login account 205 that accesses (step 303 of Fig. 7) a personal user profile stored on a

Dot server 153 that stores the last state 212 of the Home Dot application 200 along with

which Dots a user has collected 213, and how the user has organized their collection

according to categories, Snapshots or packs.

[00189] When the Home Dot application 200 is launched, it queries the network

for configuration information, enables a user to login, retrieves the user's profile from the

Dot application server 153, and restores the Home Dot application 200 to the last state

that was stored to the application server 153.

[00190] The method used by Home Dot 200 to access remote configuration

information (again, step 303 of Fig. 7) creates a very flexible application that can be

configured to support different application looks, different login sequences, dynamically

by session and for each for each user. The user profile retrieved at login 303 enables the

application to be personalized for a user, and allows that user to access that

personalized application state on different client computers or devices 199.

LAUNCHING THE HOME DOT APPLICATION

[00191] When the Home Dot application 200 is launched, it reads the registry on

the client machine to find the location of a configuration file (session-config). The

session-config (XML file) directs the Home Dot application 200 to an application server

page 67

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

using a URL as the server address that will handle its servlet requests. The URL points

the Home Dot application 200 to a default graphic element (skin) and generic Dot

graphic elements for controls such as the Title Bar 164 (Fig. 4), Bottom bar 166 (Fig. 4),

etc. and it points to Dot definitions 213 for several default Dots, such as a Help Dot, Add

New Dot, and Login Dot (i.e., System Dots that support the Home Dot application 200,

as described further below).

[00192] For security, the session-config is served by a servlet method operating

on the Dot application server 153 that only responds to authorized Home Dot

applications 200. The session-config can also be served as a flat file from a standard

web/local directory.

[00193] The session-config file contains a mechanism to redirect the Home Dot

application 200 to another session-config file. This mechanism may look like:

<REDIRECT> = "URL" attribute:

When the Home Dot application 200 reads the redirect attribute, it ignores the current

configuration (session-config file) and attempts to retrieve the configuration stored at the

redirect URL. The Home Dot application 200 will not redirect if this tag is omitted, if the

URL is empty, or if the URL is the same URL used to retrieve this configuration in the

first place. (This last state is recursive; therefore if redirection were carried out, the two

configuration files redirect to each other, resulting in an endless loop within the Home

Dot application 200.)

page 68

Attorney docket: IMS 07-05-06

1194

[00194] The session-config file contains a mechanism to force or provide an

option to upgrade the Home Dot application. This mechanism employs a version

tracking, such as:

<VERSION> = "string" attribute:

This attribute is required and must match the version of the Home Dot application 200.

According to one embodiment, if this attribute is omitted or differs from the Home Dot

application's 200 version, the Home Dot application 200 will download the executable

specified in the UPGRADE attribute and execute it. The mechanism for performing the

upgrade may look something like the following:

<UPGRADE> = "URL" attribute:

This is used only if the VERSION attribute differs from the Home Dot application's 200

version. This URL specifies the location of an executable that will upgrade the Home Dot

application. The Home Dot application 200 will quit, then run the upgrade executable

automatically.

SYSTEM DOTS

[00195] According to one embodiment of the present invention three system-level

Dots are provided with a Home Dot: the AddNew Dot; the Help Dot; and the Login Dot.

Dot definitions for these System Dots are referenced in the session-config. System Dots

are Dot definitions that are not explicitly listed in the Home Dot application 200 or a

user's ALL-CONFIG, but are accessible via the Home Dot user interface.

page 69

Attorney docket: IMS 07-05-06

[00196] The AddNew Dot enables users to access the Dot Index through the

Home Dot application 200 and find new Dots and Snapshots to collect. The Help Dot

contains help content for the Home Dot application 200.

[00197] The Login Dot is what first comes up when the Home Dot application 200

is launched. It enables the user to login to the Home Dot application 200.

**SAMPLING DOTS -TRIAL DOTS** 

[00198] One feature of the present invention is the ability to provide a user with

the option to try, or sample Dots. According to one implementation of this feature, if a

user doesn't have a Home Dot application login account (or is not logged in), then the

Home Dot application 200 is in trial mode. Dots can be sampled (by clicking on Dot links

321) but not kept.

**KEEPING DOTS** 

[00199] A user may decide to keep or not keep a Dot. According to one

embodiment, to keep a Dot, a KeepMe Dot is displayed with Dots that are sampled

(unique to Dot developer or provider) that informs the user that a Home Dot application

200 login account is required to keep the Dots. The KeepMe Dot has a mechanism (link)

that enables users to create a new Home Dot application 200 login account 205 and

keep the Dots by adding them to the new user's Dot definitions 213 or user-profile 310

(Fig. 7).

page 70

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

**USER LOG IN** 

[00200] Each Home Dot application user has a Home Dot application login

account that accesses a personal user profile (stored server 153). When a user

launches and logs in to the Home Dot application 200, it retrieves the user profile from

server 153 and restores the Home Dot application 200 to the state in which the user left

it, thus recovering their personal application experience. When the user logs out, their

user profile is updated on server 153.

[00201] This mechanism enables different users to use the same client computer

199 and receive different application experiences or the same user to use different client

computers or devices 199 and receive the same coherent experience. The application

200 restores itself to its last saved state no matter where a user may log in.

[00202] After the Home Dot application 200 retrieves its configuration information,

it renders the Login Dot from the Dot definition specified in the SESSION-CONFIG. The

Login Dot's Dot content asks the user for login and password, sends the login request to

the Dot server servlet (also specified in the SESSION\_CONFIG), and retrieves the

user's profile required to restore the Home Dot application.

**USER PROFILE (<ALL CONFIG>)** 

[00203] A Home Dot user's profile holds the state of the user's Home Dot

application 200 (size, position on the screen, which Dots were open) along with which

Dots 213 the user has collected, and how the user has organized their collection

(categories, Snapshots or packs). The profile is stored in an XML file called the user's

page 71

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

<all\_CONFIG>. The ALL\_CONFIG file contains a SHARE and a LASTSTATE element

as described below. The Share may look something like the following:

ALL\_CONFIG's <SHARE> attribute:

Since a Share can contain Dots and Snaphsots, the Home Dot 200 uses the SHARE

XML DTD to represent the user's collection of Dots and Snapshots. The LASTSTATE

element may look something like the following:

ALL\_CONFIG's <LASTSTATE> attribute:

It contains a PRESET element 313 and a HOMEDOT 314 element (Fig. 7). The Home

Dot 200 uses the PRESET XML DTD (Snapshot) to represent the Dot's that are left

open. The Home Dot application element holds the position/size/state of the Home Dot

itself. (See also the All Config Example found in Appendix B.)

THE HOME DOT APPLICATION SERVER

[00204] The Dot application server 153 support much of the functionality of Home

Dot application 200 (such as logging in/out, collecting, adding, and sharing Dots and

Snapshots, Home Dot usages logging, etc.)

[00205] All communication 210 between Home Dot application 200 and the Dot

application server 153 is secure and occurs over standard communication protocols

(HTTPS). HTTP/HTTPS is chosen for the socket level client/server communication

protocol because of its simplicity and more importantly, because most firewalls typically

leave the default handling of the HTTP/HTTPS communication with the client.

page 72

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00206] The Home Dot 200 communicates to the server 153 via an HTTP request.

The HTTP request URL contains an API call. Any API calls that require the uploading of

data to the server place the data in the message body of the request. API calls that

require uploading/downloading of data send/receive that data in XML format. All API

calls are the end part of a complete URL that begins with:

http://<someservername>.DoDots.com/DoDots/

where <someservername> is variable and DoDots is an alias for the DoDots servlet/JSP

servlet or servlets directory. Arguments to any of the methods are passed in as name

value pairs in the query string portion or the URL.

[00207] The preferred embodiment of the Dot application server application that

supports the Home Dot application 200 currently supports a number of servelt methods

including the following:

AddUser

[00208] The adduser method is used to create a new Dot user account. Note that

this is available as an end-user API to allow new users to add themselves rather than

wait for an administrator to do it for them.

GetUser

[00209] The getuser method retrieves the user's own demographic data. This

method supports the ability of the Home Dot application 200 to then allow updates to the

user's demographic information using the setUser method (see below).

page 73

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

SetUser

[00210] The setUser method is used to update an existing user account. Note that

this is available as an end-user API to allow existing users to update themselves rather

than wait for an administrator to do it for them.

**GetSession Config** 

[00211] The getsession Config method is used to retrieve general Home Dot

application configuration information.

**Set Password** 

[00212] The setPassword method provides a mechanism for the user to change

their associated Dot server account password.

getMasterDotList

[00213] The getMasterDotlist method requests the list of all Dot templates known

by the server 153. The server 153 returns a message body in predefined XML format

(see the DOT\_MASTERLIST DTD and example) of all possible Dots and their default

template values. Note that included in each Dot element are a version stamp and

dotclass ID's which allows the Home Dot application 200 to determine if it has the most

up-to-date Dot template and Dot class binaries for that Dot. The Home Dot application

200 may then request the updated Dot template (see the getDotTemplate method)

and/or dotclass binary zip file (see the getDotClassBinaries method).

page 74

Attorney docket: IMS 07-05-06

setAllConfiq

[00214] The setAllConfig method sends, at 303, the entire body of user-specific

client-side configuration information 310 including all Snapshots, Dots, general settings,

etc. to the server 153 for persistent storage on behalf of the user. The user profile data

310 is sent as the message body in a predefined XML format (see the ALL-CONFIG

DTD and example). The response returned by the server 153 indicates success or

failure.

getAllConfig

[00215] The getAllConfig method retrieves, at 303, the last user-specific, server-

side saved client side configuration information 310 (all Snapshots, Dots, general

settings, etc) from the server 153. The data is sent as the message body in a predefined

XML format (see the ALL-CONFIG DTD and example).

**SetAllEvents** 

[00216] The setAllEvents method sends, at 307, usage information (211, 212,

213, 214, 215, Fig. 6) that has accumulated since the last call to setAllEvents to the

server 153 for later use in statistical usage report generation (for DoDots's own use).

The data is sent as the message body in a predefined XML format (see the ALL-

EVENTS DTD and example). The response returned by the server indicates success or

failure.

page 75

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

GetDotTemplate

[00217] The getDotTemplate method requests from the server 153 a specific Dot

Template (Dot definition) from the Dot database. The server 153 returns the XML that

describes the Dot. This method is called when the Home Dot application 200 has

determined that it does not have the current version of the Dot and the user wants the

most recent version. (NOTE: this includes when the user is downloading the Dot for the

first time)

**Addshare** 

[00218] The addshare method stores at 302 a specific shared Dot(s) or

Snapshot(s). The server 153 takes the XML that was uploaded by the Home Dot

application 200 for sharing and returns the unique id of the share. The Home Dot

application 200 puts the XML stream to be shared in the request body.

**GetShare** 

[00219] The getshare method requests from the server 153 a specific shared

Dot(s) or Snapshot(s). The server 153 returns the XML that was stored for sharing by

the original sending user. The format of the returned XML stream is dependent on what

has been shared.

**CACHING LAYER** 

[00220] Returning to Fig. 6, the Home Dot application 200 may advantageously

implement a caching layer 219 between its network requests and the network 210. The

page 76

Attorney docket: IMS 07-05-06

Home Dot application 200 sends network requests to the caching layer and the caching

layer is responsible for completing those requests (retrying if necessary).

[00221] This is used in the event that the Dot application server 153 does not

respond. If a user is attempting to log in and the server 153 does not respond, then the

Home Dot application 200 logs in using a locally cached <ALL-CONFIG> (the last written

user application state can be saved in the caching layer 219). If a user attempts to log

out and the server 153 does not respond, then the caching layer 219 retries at a later

time to write the logout application state to the server 153.

ADD NEW DOTS/SNAPSHOTS (PACKS)

[00222] To get a Dot and invoke it – that is, turn it on – the Home Dot application

200 requires the Dot's definition (XML file with the information necessary to instantiate a

Dot and point it to Internet content). With reference again to Fig. 7, a user collects Dots

from multiple sources: by clicking on Dot links 321 (Internet link to a Dot's Dot definition)

and/or by receiving a Share 320 (Dots and Snapshots shared from other users). In both

cases, the Dot definitions for the Dots involved are hosted and served by a Dot

application server 153.

[00223] Users can find Dots (Dot links) in the Dot server 153 DotIndex 204 (online

Database of Dots via a web browser or the AddNew Dot) and/or promoted on a content

provider server 155, e.g., via a web site. When a user clicks on a DotLink 321 and the

Home Dot application 200 is installed, the Home Dot application 200 retrieves the Dot

definition that the DotLink 321 points to, adds it to the current user's ALL-CONFIG file

page 77

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

311 of user profile 310, and turns the Dot on 324. The Dot instantiates the UI and begins

filling it with the Dot content (Internet content) as defined in the Dot definition.

[00224] Once a user receives a Dot, the Home Dot application 200 saves the

Dot's definition as part of the user's ALL-CONFIG file 311. Because a Dot definition

becomes part of a user profile 310, it can be modified by use e.g., its default TitleBar

image 132 may be changed or its WebConduit control may be navigated to a different

URL using DMA messages. The Dot will pick up where it left off next time it is turned on.

[00225] Dots can also be received in the form of Shares. A Share 320 is a XML

file that represents a collection of one or more Dots and/or one or more Snapshots. A

Share 320 may be shared, for example by attaching a Share Link 320 to a standard

email message. When a user receives an emailed Share Link 320 from another user

and clicks on the Share Link 320 in the email:

• The Home Dot application 200 retrieves the share XML file from the Dot

application server 153 using the getshare servlet method to which the Share

Link 320 points.

The Home Dot application 200 parses the share package and adds the new

Dots and Snapshots to the Share recipient's ALL-CONFIG 311. The Share

recipient can now turn on any Dot and Snapshot received as part of the

share.

**[00226]** According to one embodiment of the present invention, every Dot that a

user gets is added to and becomes part of the user's ALL-CONFIG 311 and becomes

accessible to the user anywhere they can login to a Home Dot application 200.

page 78

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

According to another embodiment, a user is provided with the option to keep or discard a

sampled Dot prior to adding it the user's ALL-CONFIG 311.

[00227] If the Home Dot application 200 is not installed on a client computer 199

when a user first attempts to get/receive Dots, then the Home Dot application 200 is

downloaded and installed with the first Dots that are received. From that point forward,

the client machine 199 is Dot-enabled and the user is not required to download and

install the Home Dot 200 again (subject to updates). This method removes the

alternative approach known today in the art which is to download a custom client

application from each company's web server 105 that is visited.

[00228] Once a client machine 199 is Dot-enabled, (i.e. the Home Dot application

200 technology installed), then every time that a user clicks on a Dot link 321, the Dot

120 pops up immediately (faster than it would take to load a small web page). Rather

than download and install software for different Dots, only the Dot definitions 102 which

are packaged web readable content is collected.

**DOT CATEGORIES** 

[00229] The Home Dot application 200 enables users to organize Dots that they

have collected (in their ALL-CONFIG 311) by categories. A Dot can be a member of

more than one category. Alternatively, a Dot is not required to be categorized (i.e., set

attribute categorized = uncategorized). The Home Dot application 200 enables the user

to Add/Remove/Rename categories and edit a category's contents (those Dots that are

page 79

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

members of that category). Categories may also be assigned by the Dot creator (which

may or may not be overwritten.)

[00230] In the preferred embodiment, Dots keep track of the categories to which

they are members (e.g., there is no master category list). The categories to which a Dot

belongs are added to the Dot's definition (in the user's ALL-CONFIG 311).

[00231] The Home Dot application 200 has one unique category: the ALL MY

DOTS category (users cannot rename or remove this category). This category contains

all the Dots that the user currently has in their collection (in their ALL-CONFIG 311).

Removing Dots from the ALL MY DOTS category removes it from ALL-CONFIG 311. A

user can duplicate a Dot from within the ALL MY DOTS category in the event they want

two of the same Dot (e.g., 2 stock watching Dots - one for monitoring a first security, and

the other for tracking a second security).

MANAGING DOTS

[00232] The Home Dot application 200 provides for several features enabling

visually organizing, collecting, and working with Dots 102 individually and as a system.

Referring to Figs 12A, 12B, and 12C, a series of drawings depicting a user interface 600

for the organization of Dots on a computer desktop is shown.

[00233] To improve ease of use when working with Dots in groups, the Home Dot

application 200 enables users to capture an image, or Snapshot, of a workspace that

they have defined with Dots. Such Snapshots may include, for example, which Dots are

page 80

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

on and where they are positioned on a display screen 656. Users can instantly recall

these Snapshots to restore the previously defined set of Dots to their captured locations

on screen 656.

[00234] Snapshots can be created to support different work tasks (e.g., morning

news pack, web development pack), different user groups (e.g., small business pack,

runners health pack, school study pack), or different activities (e.g., day-trading, dream

team sports tracking). Within a Snapshot may be user-created Share Packs 659

(groupings) of Dots (created, for example, using Share Links 320). In addition, Dot

developers can create and publish Packs, which are groups of Dots designed to work

together or which may be of similar/related interested to a user, and online content

providers can offer their users pre-made Packs.

[00235] Users can easily and quickly create and configure/reconfigure their own

aggregation of content and functionality as Dots, thus providing a user-aggregated

content/application system. This is significantly different than current Internet functions of

offering users "My-" versions of a full-screen Internet site in which the choice of content,

arrangement, presentation style, etc. are quite limited.

[00236] Dots may be aggregated together and manipulated as a block. Blocks

are defined as linear clusters of Dots (snapped together vertically or horizontally). An

example of a group 659 of Dots 647, 649, 651 not in a block is shown in Fig. 12A. That

is, in Fig. 12A the group 659 of Dots are not aligned with one another with reference to

either a horizontal or vertical axis. Two examples of the Dots 647, 649, 651 comprising

group 659 arranged in a blocks are shown in Figs. 12B and 12C, respectively.

page 81

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00237] Blocks of Dots have an orientation: vertical (Dots snapped to each other

in a vertical column as in Fig. 12B) or horizontal (Dots snapped to each other in a

horizontal row as in Fig. 12C). In one embodiment, the Home Dot application 200 or

Dots (647, 649, or 651) expose a UI mechanism (e.g., CTRL+O, see slamming) to

enable users to change the orientation of Blocks of Dots.

[00238] Blocks of Dots can have a justification. When a block of Dots are

"justified", they share a common edge such that all edges on one side of the Dots are

lined up. For example, a vertical block of Dots all snapped toward the right side of the

screen 653 is right justified as shown in Fig. 12B. In one possible embodiment, the

Home Dot application 200 or the Dots exposes a UI mechanism (e.g., SHIFT+CTRL,

CTRL+J, see slamming) to enable users to change the justification of Blocks of Dots

(left, center, right).

[00239] Slamming blocks of Dots against screen edges (653, 655) or other

window edges is used as a mechanism to alter the justification and/or orientation of a

Block of Dots. For example, a user may slam a horizontal block against the left edge of

the screen and the Block's orientation could swing vertical and justified to the left with all

Dots snapped to the left of the screen. Likewise, a horizontal block that is bottom

justified may be slammed against the top edge 655 of the screen changing it to a top

justified Block.

[00240] One feature provided by an embodiment of the present invention to assist

a user with the organization and presentation of Dots is the snap feature. A Dot (649 for

example) "snaps" to other Dots (647 and 651 for example), other windows (not shown),

and screen boundaries (edges) 653, 655. When Dots 120 are dragged (moved) near an

page 82

Attorney docket: IMS 07-05-06

edge (653 or 655), a magnetism behavior is exhibited and the Dot 649 accelerates

towards and "snaps" to that edge (653 or 655). The present invention provides for a

magnetic gap 658 such that even when Dots are snapped to edges, there still exists a

gap (~5 pixels). This gap is supported so that the user may easily visually recognize

independent Dots.

[00241] The snapping feature simplifies the task for users to quickly and neatly

align Dots. (See Figs. 12B and 12C). When Dots (647, 649, and 651) are snapped

together and a Dot is collapsed or resized, then a Dot that is snapped to another Dot can

move accordingly; for example, staying snapped or not, according to user preference.

[00242] The user interface of the present invention supports the movement of

Dots (647, 649, or 651) in clusters. All Dots (647, 649, or 651) that share an edge

(snapped together = cluster/group) can be grabbed and moved as a group 659 (which

may, but need not be, a block).

[00243] The present invention teaches multiple methods of selecting a cluster of

Dots 659 (as opposed to a single Dot 651 for example). The simplest method comprises

of pressing the CTRL key before grabbing a member Dot (any one of 647, 649, or 651)

of the cluster 659. This method selects the entire cluster and moves all Dots 120 as one

unit.

[00244] Another way is to change the user's selection, that is which Dots (647,

649, or 651) in the cluster 659 are selected for moving, by the number of times a user

clicks before grabbing a member Dot (647, 649, or 651) of the cluster 659. For example:

page 83

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

0 clicks before grabbing a member Dot (647, 649, or 651) selects and moves the

entire cluster 659 of Dots.

• 1 click before grabbing a member Dot (647, 649, or 651) selects and moves just

the member Dot.

Additional clicks could select all vertical, all horizontal, etc.

[00245] The present invention provides for a unique method of indicating which

Dots (647, 649, 651) in a cluster 659 are selected (for a group action such as move, or

minimize). When multiple Dots are selected (by either method: CTRL+Select or

Click+Select), a halo 661 appears around the selected Dots. In one embodiment halo

661 (shown only in Fig. 12A) is a contrastingly shaded or brightly colored line (~3 pixels

wide) floating around the outer perimeter edges of the Dots selected (647, 649, 651) in a

cluster 659. The halo remains visible as the Dots (647, 649, 651) are moved.

**DOT SHARING** 

[00246] The Home Dot application 200 provides methods for users to easily and

quickly Share the Dots that they have collected and the Snapshots that they have

created with others. An exemplary delivery mechanism is email. The user's default mail

client application is used (a user can use their existing address book and add a detailed

message); a web-based mail service extended through the Home Dot application 200 or

Dots may also be used. To share and convey shared Dots or Snapshots in an email, a

page 84

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Share Link 320 is required (an Internet link that points to stored Share data on the Dot

application server 153).

[00247] When a user sends a Share or Snapshots to another user:

1) A Share XML file 302 is constructed employing the shared Dots and

Snapshots from the sharing user's ALL-CONFIG 311.

2) The Share XML file 302 is stored in Dot database 202 on Dot application

server 153 in exchange for a unique shareID (using the addshare servlet

method).

3) An email is constructed with a Sharelink 301 (an Internet link that points to

the stored Share) and placed in a new email using the user's default mail

client.

[00248] When a user receives a Share of Dots/Snapshots from another user and

clicks on the ShareLink 320 in the email:

1) The recipient's Home Dot application 200 retrieves the Share XML file from

the Dot application server 153 (using the getshare servlet method) to which

the Share Link 320 points.

2) The Home Dot application 200 parses the Share package and adds the new

Dots and Snapshots to the Share recipient's ALL-CONFIG 311.

[00249] The Share recipient can now turn on any Dot and Snapshot received as

part of the Share. Because the Share was constructed from Dot definitions that were

page 85

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

part of the Sharing user's ALL-CONFIG 311, the recipient receives the Dots just as the

Sharing user had configured them at the time of building the Share.

PREFERRED EMBODIMENT OF CLIENT / SERVER SYSTEM

[00250] The client/server model 500 of the preferred embodiment is described to

according to Fig. 13. Paramount for the successful implementation of a consumer or

high volume system is the proper separation of responsibilities between Dot clients (501,

502, 503) and the Dot server system 555. The architecture presented herein supports

the primary operations of Dot distribution, client installation, use statistics collection, as

well as Dot developer activities. These operations, as described above, are supported in

part by the XML structure and calls as listed in the attached appendices A and B. It will

be appreciated by one skilled in the art that the list is not exhaustive but descriptive of an

implementation of certain features of the present invention.

[00251] The Dot server system 555 which is required for support of the general

Dot functionality provides for persistent storage and retrieval of configuration and

statistical (usage) information and for intelligent software upgrade service for the Dot

clients 501, 502, 503. Users are required to logon to the Dot server system 555 with a

username and password to access this information. The server 555 also provides for

generating statistical analysis reports. The server 555 is used to provide small,

persistent data storage areas for third-party Dots. The server 555 may be maintained by

a single administration entity and does not require third-party Dot providers for its

support. The content contained by the Dot however, may be provided by the third party

page 86

Attorney docket: IMS 07-05-06

developers, with the initial content optionally being supplied by existing or re-purposed

web pages served by the third party web servers. An administrative interface is therefore

provided according to the present invention which is used by the administration entity to

maintain the software upgrade information, manage users and generate statistical usage

reports.

[00252] The software portion of Dot server system 555 consists of several

architectural components, including:

[00253] Web servers (505, 507, 509), Servlet JSP Engines (506, 508, 510) which

maintain a Java based XML Parser with SAX (Simple API for XML) and DOM

(Document Object Model) interfaces, all of which share a common file system, and at

least one Relational Database Management System (RDBMS) Server 513 which

supports the RDBMS file system 514.

[00254] According to one embodiment of the present invention, there are no

specific operating systems, application server, or database server constraints placed on

the server 555 (e.g. the operating system (O/S) may be NT, Solaris, HP-UX, Linux or

FreeBSD, or any other viable server O/S. The RDBMS may be Oracle, Sybase, Informix,

SQLServer etc.).

[00255] The client and server transmit and receive data on the internet 504 in

XML format over standard HTTP/HTTPS. An XML parser residing on the Servlet JSP

Engine (506, 508, 510) assists in deconstructing and reconstructing the XML into and

out of the RDBMS Server 513 when the XML stream contains information that is needed

for report generation. XML parsers with DOM and SAX interfaces are freely available for

page 87

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

most major programming languages. HTTP/HTTPS, and therefore a web server (505,

507, 509), is chosen for the socket level client/server communication protocol because

of its simplicity and more importantly, because most firewalls typically leave the default

TCP ports for HTTP/HTTPS (ports 80 and 443 respectively) unblocked.

[00256] The Web servers (505, 507, 509) provide the default handling of the

HTTP/HTTPS communication with the Dot client 501, 502, 503. The Servlet JSP Engine

(506, 508, 510) functions as an in-process extension of the Web servers (505, 507, 509)

and provides the infrastructure for the application logic layer (servlets) and the

presentation layer (Java Server pages). Servlet/JSP is chosen over the architecturally

similar ASP due to consideration in performance, maintenance costs, and the variety of

O/S and application server vendor choices.

[00257] The persistent storage mechanism for everything except the binary

software components (client component updates) is Relational Database Management

System (RDBMS) 513. The binary software component for client updates are stored in

the file system 514 with a pointer (full pathname) which is stored in the database to each

component file. The binary software components are not stored directly in the RDBMS in

so as to improve performance.

[00258] Since the configuration data need not be manipulated or reported on by

the server, the configuration data is stored directly in the RDBMS 513 as a small text file,

in the form by which it is transmitted from the client 501, 502, 503. The configuration

data is not deconstructed and reconstructed into its constituent parts by the XML parser

on the servers (505, 507, 509). The statistical data is used to generate reports on the

server-side by a servlet JSP-based administrative interface. SQL gueries are used for

page 88

Attorney docket: IMS 07-05-06

statistical report generation to provide simplicity and flexibility. Therefore, a single

statistical data XML stream is deconstructed upon receipt by an XML parser residing on

the Servlet JSP Engine (506, 508, 510) and stored as discrete RDBMS columns (the

entire stream instance is the RDBMS row) rather than storing the entire stream in a

single text column.

**DEVELOPMENT AND TESTING OF DOTS** 

[00259] It is possible to provide for the development and testing of new Dots,

Categories, Packs, etc. in a physically separate system that is a superset (duplicate with

additional testing support) of the production system 500. The development and testing

(dev/test) system has additional server methods and interfaces, not present in the

production system 500 which requires higher security and simplified maintenance, to

facilitate the development and testing process. The interfaces to these additional server

methods are HTML/JSP pages to allow for easy accommodation of new, geographically

distributed Dot content providers.

[00260] The development and test system allows the Dot content providers to

easily build and test new Dots and Dot Packs. When tested to their satisfaction, the Dot

content providers can then, through the interface, submit their Dots/packs for inclusion in

the production system. The Dot administrator will be able to periodically run a report to

see which Dots/packs were submitted. The Dot administrator is then able to decide

whether or not to approve a Dot/pack for import into the production system. Migration of

an approved Dot/pack will involve exporting the appropriate data from the RDBMS on

page 89

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

the development and test system, moving the export file from the development and test

system and importing the export fie into the production system.

**DOT MESSAGING ARCHITECTURE (DMA)** 

[00261] Dots and the Home Dot application have a messaging architecture (Dot

Messaging Architecture - DMA) that enables elements of the system including Dots,

controls within Dots, and the Home Dot application, to communicate with one another.

This enables these elements to exchange information, request actions or functionality,

and respond to system, element, or content events.

[00262]Fig. 14 illustrates the message routing paths and elements 700 of the Dot

Messaging Architecture. The Dot Messaging Architecture (DMA) has a messaging

addressing and routing scheme, defined messages, and an extensible message format

(as defined in further detail below) that provides each component with access to

component, application, and system features and true application behavior. Also, Dot

content has access to the application rendering system within the Home Dot 200 and

other Dots (711, 751, 761) via the DMA.

[00263] All elements of the system can send and receive (via paths 701, 702, and

703) DMA messages. In this embodiment, these elements fall into three groups: the

Dots (711, 751, 761); the Controls in the Dot's control space including the WebConduit

control (714, 754, 764) and base controls that encompass menu controls and window

operations controls; and the system control 780 embodied within the Home Dot

application 200.

page 90

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00264] The DMA enables controls to send and receive messages. Controls can

exchange messages with other elements in the same Dot (controls in the control space,

Dot frame, System) or in a different Dot (the addressing scheme supports addressing

elements in other Dots. All Dot controls share a set of common messages. They also

can provide messages that are unique to that control (see WebConduit Control).

Common control messages include messages such as:

#show

#hide

#get-width

#get-height

#get-size

#is-open

#get-address

These messages query/effect properties/methods of a control within its Dot's control

space such as layout, size, etc.

[00265] The Web Conduit control (714, 754, 764) supports additional messages

(in addition to the common control messages explained previously). These unique Web

Conduit messages enable other elements in the Dot System (Controls, Dot frames,

Home Dot application 200) to interact with WebConduit functionality (request actions or

page 91

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

functions, and respond to events). Messages unique to the WebConduit control which is

a wrapped Microsoft IE web control in the preferred embodiment, include:

##<any javascript>

#navigate.

[00266] One of the most significant features of the WebConduit control is that

DMA enables messages to flow IN and OUT of standard HTML rendered within a

control. The preferred embodiment currently extends DHTML and javascript to send and

respond to DMA messages. The present invention supports SendMessage (a

synchronous method which is used if return result is required) and PostMessage (an

asynchronous method in which no return result required) methods that can be called

using a window.external.<method> call from DHTML in the Web Conduit control (714,

754, 764).

[00267] The preferred embodiment of the message format (explained in more

detail in the following sections) allows for two types of messages to be sent IN and OUT

of HTML with respect to the WebConduit control. Specific messages and functions can

be called (e.g., #navigate) that cause a WebConduit to perform a specific action or

function. In addition, any javascript (e.g., ##<any javascript>) can be called within a

WebConduit's document as well.

[00268] This provides the messaging architecture with exceptional flexibility and

extensibility in which data can be passed, functions can be called, and variables can be

set. Integrating DMA with standard Web content by enabling DMA messages to call into

page 92

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

HTML and for DMA messages to be initiated from HTML within the WebConduit control

is the basis that enables Dots to exhibit true application behavior.

[00269] Any DMA message that is defined can be sent or called from DHTML.

This, in conjunction with the application logic capability that is provided by javascript

(and other HTML scripting languages), provides the application media development

environment (which includes application development platform and language). A Dot

developer can thus author a new Dot application by developing web content (HTML, GIF

files, etc.) and by packaging that content in a Dot Definition, eliminating the need for

compilers and consequently, downloading executables. Therefore, if a client device 199

has been Dot-enabled (the Home Dot application 200 is installed) then that device can

instantaneously view, open, run Dots as well as modify and save their configurations.

[00270] DMA messages can be sent to and received from a Dot. This enables

elements of the system that can send and receive DMA messages to interact with a Dot.

Elements of a Dot's definition can be accessed/modified using Dot messages. Examples

of Dot Properties / Dot methods that can be accessed and modified via the DMA include:

#set-title

#get-title

#set-size <width> <height>

#is-open

#close

page 93

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

#collapse

#uncollapse

#set-title-images <up-URL> <down-url> <over-url> <inactive-url>

[00271] Since a message can originate from DHTML content which is rendered in

the Web Conduit control as Dot content, standard web content when rendered in a Dot

can therefore access properties and behaviors of its packaging (the Dot). Internet

content can therefore do such things as modify the size of the Dot that is rendering it. It

can move it or collapse it.

[00272] The present invention therefore enables properties and behaviors to be

tied to and between any Dot content event, even outside of a Dot affecting another Dot

(e.g., an internet content (DHTML) mouse-over event can change the size and position

of another Dot, etc.)

[00273] Most of the DMA examples thus presented are of other elements sending

messages to the Dot frame (711 for example). An example of a Dot frame 711 sending

messages can be seen in the case of the menu control 712 (discussed further below).

The Dot frame 711 may also send messages (as can the menu control 712) based upon

the occurrence of certain events such as Dot-moving, Dot-collapsed/expanded, Dot-

infocus/inactive, etc.

[00274] The preferred embodiment has the menu control 712 implemented as

part of the Dot Frame 711. Menu control 712 entries are given/tied-to actions using the

page 94

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

DMA. Each menu entry may consist of, inter alia, a text-title, an icon, a tooltip, an ID, and

an action. The action is simply a DMA message that has a recipient specified address.

Dot menu control 712 items are defined as part of a Dot definition.

[00275] When a menu entry within a menu control 712 is selected by a user, the

specified Dot Message is sent to the specified recipient (DMA address). This illustrates

an example of the true application behavior that is exhibited by Dot Content having

access to DMA.

[00276] As with the functionality described in the previous sections, the following

are examples of types of additional application behaviors that are possible:

A menu control 712 entry (e.g., refresh) can send a message (to refresh) to a

Web Conduit control and cause an action (e.g., refresh content).

A menu control 712 entry can send a message to the Dot (itself) and cause it to

collapse, or resize, or exit.

A menu control 712 entry can call any javascript (##<any javascript>) in the

HTML of Dot content rendered in the same Dot or a different Dot to set variables,

change images, call functions, etc.

[00277] DMA messages can also be sent to and received (701, 702, 703) from

the system (720) - the functional layer above and between individual Dots. Some

aspects for which the system is responsible include DMA message routing, adding,

removing, opening, closing Dots, etc. In the preferred embodiment, the system includes

page 95

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

the Home Dot application 200 or equivalent Dot-rendering and Dot-management client application.

[00278] Examples of system functions and behaviors that can be accessed and called using DMA messages include:

#refresh

#install-dot <dot-url>

#have-dot <dot-address>

#delete-dot <dot-address>

#quit

#save-to-server

#get-screen-width

#get-screen-height

#close-all-dots

#take-preset preset-name>

#get-dot-ids <dot-address>

[00279] Messages can be sent to/from controls (WebConduit control – in and out of HTML), to and from the Dot (DotFrame - menu control 712, Titlebar 713, bottom bar

page 96

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

717, flexible Dot Definition), to and from the system (Home Dot application 200 – open

and close Dots, system variables and data). The DMA addressing scheme also provides

for messages to be sent to any Dot, to any control in any Dot, to any HTML, in any

control, in any Dot. This enables Dots to work together as an application system,

enables Dot developers to share functionality and leverage and build on the functionality

of other Dots.

[00280] In the preferred embodiment, a DMA message has two components: a

recipient address and the message body itself. Both are represented as strings. The

addressing scheme is explained in the next section.

[00281] The body of a DMA messages is, at its simplest, a text string which may

represent any javascript, for example, sent to a Web Conduit control. For defined

messages, there is a method element to define the function/behavior call/request [e.g.,

#set-size] followed by arguments if the method element requires them (e.g., height in

DotUnits; width in DotUnits).

[00282] The present invention provides for two functions that enable messages to

be generated from within DHTML in the WebConduit control: SendMessage and

PostMessage. SendMessage, which is synchronous, is used if a return value is required.

PostMessage, which is asynchronous, is used otherwise.

[00283] Below are examples of messages generated with DHTML within the

WebConduit control:

window.external.PostMessage ("#.:", "#set-position 350 500")

page 97

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

This message is sent to a Dot and causes it to move to a new screen position, for

example 350x500 pixels.

window.external.SendMessage ("#system, "#get-screen-width")

This message asks the system for the current screen's width in pixels.

[00284] Messages are routed according to three pieces of information: Domain,

Dot Specifier, Control Specifier.

[00285] One of the ways that a Dot is identified is by a Domain. In the preferred

embodiment, the Domain is the same as a Dot provider's ID (developer ID). Generally

this is a unique identifier for each company or developer and is specified in a Dot's

definition.

[00286] Dots in the same domain, by default, can communicate to one another

without explicitly specifying the domain in the address. When no messaging access

restrictions are placed on addressing a Dot according to its domain (by default there are

restrictions), then a Dot can also send messages to another Dot belonging to a different

domain by explicitly addressing that Dot by its domain and Dot specifier.

[00287] Dots are further identified with a kind attribute as a part of the Dot

Definition, and by an ID attribute. The ID is volatile and is not typically hard-coded into

DHTML scripts. The addressing scheme allows for a Dot to be specified by explicitly

referencing or querying a Dot's kind or ID identifier attribute.

page 98

Attorney docket: IMS 07-05-06

1224

[00288] Similarly, controls are identified by kind and by ID (also a part of the Dot

Definition). Controls can also be specified by explicitly referencing or querying its kind or

ID.

[00289] In the preferred embodiment, there are several permitted forms for the

address of a message recipient. The fundamental one is:

#<dot specifier>:<control specifier>

Other accepted address forms are:

#<domain>:<dot specifier>:<control specifier>

#<domain>:<dot kind>:<control kind>:<control id>

#system

If an address does not match any of these forms, the address defaults to #system.

[00290] Specifiers have four different forms: The first form specifies the unique ID

of the Dot / control / domain in question. An example of this first form is:

<specifier> := <ID>

[00291] The second form allows the sender to address a message to the closest

matching recipient by some form of search criteria. Multiple Dots or controls might be of

the same kind, enabling broadcasting a message to these elements. An example of this

second form is:

<specifier> := <kind><#search criteria>

Search criteria can be one of:

<search criteria> := any

<search criteria> := open

<search criteria> := closed

page 99

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Controls that are hidden are considered closed, and controls that are visible are

considered open.

[00292] The third form enables reference to a specific Dot or control, allowing

messages to be sent within a Dot. If a particular control is specified, then it must be

specifically referenced in the Dot. An example of this third form is:

<specifier> := dot

[00293] The final form is specifically for the control specifier. If a message is to be

directed to a Dot and not a control, the control specifier must be empty. An example of

this last form is:

<specifier> :=

[00294] The current embodiment supports messages to a specific recipient: the

addressing/routing scheme could support messages addressed to multiple simultaneous

recipients (broadcast). Similarly, Dots can address Dots on the same platform or client

(computer or device); the addressing or routing scheme may also support messages

addressed to Dots/Controls/Home Dot applications 200 on other devices or by user. The

Home Dot application 200 routes messages sent between Dots (711, 751, 761) and

resolves addressing queries (e.g., address: "#A#Any:" = first Dot of kind "A" found in the

Home Dot application 200).

[00295] Since the Home Dot application 200 routes messages between Dots

(711, 751, 761), it can allow or restrict Dots from addressing and sending messages to

other Dots outside their own domain (the Home Dot application 200 could also restrict

page 100

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

messages to within the same Dot). For example, Dot2 (751) is a member of domain B

(750) as is Dot 3 (761), whereas Dot 1 (711) is a member of Domain A. Home Dot 200

can permit or deny messaging between Dot 1 (711) and Dots 2 and 3 (751, 761) and

vice versa.

[00296] The Home Dot application 200 acts to enforce the access rights to and

between published messages built on the DMA API. In one embodiment, the Home Dot

application 200 accesses a database of published message methods implemented by

Dot Developers and restricts/allows messages to pass based on access criteria data

posted to the data base.

[00297] For example, a Dot developer could specify 2 public functions, 2 functions

with access restricted by partner (Domain 710 or 750 for example), and 2 functions with

access restricted by Dot address. The Home Dot application 200 may allow or restrict

messages to be sent to one Dot from other Dots according to access criteria specified by

the Dot developer.

[00298] The Home Dot application 200 also responds to messages sent to

#system. Access to system features/functions/behaviors is one aspect of the present

invention that enables extensibility of the Home Dot application system.

[00299] System messages include:

#REFRESH - Refreshes the user's ALL-CONFIG 311.

page 101

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

#INSTALL-DOT<DOT-URL> - Adds the specified Dot to the user's Home Dot application 200 (ALL-CONFIG) using the same mechanism that Dot definitions are added to the system.

#HAVE-DOT<DOT-ADDRESS> - Checks if the user currently has the specified Dot in their Home Dot application 200 (as part of the

ALL-CONFIG).

#DELETE-DOT<DOT-ADDRESS> - Removes the specified Dot from the Home Dot application 200 (and the user's ALL-CONFIG).

#QUIT - Quits the Home Dot application 200.

#SAVE-TO-SERVER - Saves the user's ALL-CONFIG to the Dot application server 153.

#GET-SCREEN-WIDTH - Returns the width of the screen in pixels.

#GET-SCREEN-HEIGHT - Returns the height of the screen in pixels.

#CLOSE-ALL-DOTS - Closes all open Dots.

#OPEN-PRESET<PRESET-NAME> - Opens the specified user's Snapshot.

#TAKE-PRESET <PRESET-NAME> - Capture a Snapshot.

#GETDOT-IDS <DOT-ADDRESS> - Returns the DotID of the specified Dot.

STATISTICS COLLECTION/ANALYSIS

[00300] The Home Dot application/Dot system and method of collecting use

statistics from Home Dot applications (and application servers) enables the present

invention to build and query a multidimensional use-profiling database. Because Dots

are used in groups and used more often for longer periods of time than web pages/sites,

the present invention may collect real-time multidimensional use statistics 206

(according to which Dots are ON, for example together or simultaneously) that over time

becomes a valuable multi-dimensional user behavior profiling database. The Dot server

153 collects use statistics 206 from its Home Dot application 200 on clients 199 and

manages and tracks Home Dot application downloads, access to Dot Index 204, etc.

[00301] Information on a Dots user can be monitored in a multi-dimensional

fashion. Instead of classifying a user based solely on their demographic characteristics

and linear use of the internet, the present invention enables tracking on an additional

dimension. A user can be classified according to which Dots they use at the same time,

instead of only how they navigate within an individual viewer (the browser). This user

information can be packaged and sold to content providers so that they can better

provide services to their users.

[00302] Because the present invention enables multi-dimensional profiling, a

service may be provided by the operator of this system and its partners to customize

advertisements and offerings to users in a more efficient and targeted manner. Tracking

this profiling data in real-time allows the operator to tailor these offerings to users of

specific Dots in a way that is not otherwise possible today. For example, one content

provider partner can be informed that users of a certain Dot are also disproportionately

page 103

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

monitoring content about a specific topic through another Dot. This information is then

used to target ads at the moment the peripheral interests of that content providers Dot

users are known. The present invention enables this information to be tracked as a

complete system. The system can be utilized to facilitate serving advertisements and

offerings based on the information that it collects.

[00303] The Home Dot application 200 records application events in an XML log

that intermittently gets uploaded to the Dot application server 153. Application events

that are logged include the following (by timestamp and by session):

When a Dot is opened and when it is closed (particularly, when Home Dot

200 is opened and closed)

When a Dot is added from a Dot Template or a Share

The number of times a user clicks through from a Dot to a full-screen web

page (and to which URLs)

The number of page views in a Dot-by-Dot session

When and from which URL did a user download a Home Dot application 200

[00304] The following outlines the types of questions the use statistics of the

present invention can answer regarding Home Dot application 200 and Dot use:

Duration and frequency of opening Home Dot applications and Dots - How

often is the Home Dot application 200/Dot used on average (optionally, by

user group) during the parts of a day (e.g., morning)?

page 104

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Duration: What was the average amount of time that users leave the Home

Dot application 200/Dot ON 324 during the day

Distribution of Home Dot application 200 and Dots (Point of Distribution

including Shares) - How many users have downloaded the Home Dot

application during a set period of time? From which URL were they

referenced?

· How many times has this Dot's DotTemplate been installed (from the

DotIndex)?

From which web site were users referred? How many times has the Dot been

installed from a Share?

Page views in Dots, Click-throughs/URL's - How does the page-view

performance of a Dot compare to the comparable content on a full-screen

web site?

List the full-screen URLs most often clicked through to (in ranking order) from

this Dot.

Dots used in Groups - Which Dots are used most frequently together?

Which Dots do users most often use with Dot.

[00305] The Home Dot application 200 intermittently sends its event logs to the

Dot application server 153 using the setAllEvents servlet method. The Home Dot

page 105

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

application's events are logged and sent as an XML file in a format specified by the

CALL-EVENTS.

[00306] Categorizing Dots and/or Dotcontent by "context keywords" (e.g., CNN

Dot and FoxNews Dot = "News"; CBS Sportsline Dot = "Sports") enables the Home Dot

application 200 to build a real-time (accessible via the DMA) multi-dimensional use

context on-the-fly, based on which Dots the user currently has ON (e.g., assemble the

keywords of the currently open Dots into a multi-dimensional keyword string). This highly

resolved view of a user's behavior enables the Home Dot application 200 to source a

very targeted offering (commerce opportunity or ad) and/or enable Dot developers to do

the same. In one embodiment, the Home Dot application 200 supports a DMA message

(pay to access) that provides Dot developers with access to this type of information (e.g.,

SendMessage "#system" " #get-use-context-string")

[00307] The Home Dot application 200 supports a developer toolkit service that

sources ads targeted according to this multi-dimensional use context generated by the

Home Dot application 200 (more targeted than currently possible with singular contexts

such as text strings, e.g., searched on "toys" = serve a toy ad). The Home Dot

application 200 and/or Dot application server 153 monitors /tracks, and handles

incremental billing for all parties (e.g., anonymously).

**VARIATIONS** 

[00308] Many variations on the above description are contemplated and within the

scope of the present disclosure. For example, in an alternate embodiment, the Home

page 106

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

Dot application 200 interface is implemented entirely as Dot content served from Dot

application server 153. Furthermore, the Home Dot application 200 itself may be

packaged as a Dot. The Home Dot application Dot has special responsibilities and

rights, but otherwise, it could be as much a Dot as any other Dot. This embodiment

enables the application executable to be significantly smaller and the Home Dot

application 200 UI to be significantly more flexible.

[00309] In yet another alternate embodiment, the Home Dot application 200 is

implemented as a plug-in to the web browser.

[00310] In still another alternate embodiment, the Home Dot application 200 is

implemented in java, thus enabling it to be more easily portable to other platforms.

[00311] Additionally, in another embodiment, a subset of the Home Dot

application 200 functionality is implemented through the browser using pop-ups; this

would be particularly valuable to mobile Home Dot application 200 users who don't

always have easy access to a computer with the Home Dot application 200 installed.

[00312] The present invention enables the definition of an interface that groups

Dots together from different content providers and offers them to end users as part of a

unique system of content. Content from different internet companies can be made to

interact with each other using aspects of the present invention provided for in the Dot

messaging architecture (DMA). The Dot server 153 may therefore be provided with

functionality to broker these relationships and facilitate this interaction in Internet content

between companies and between Dot-enabled internet sites.

page 107

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

[00313] Because Dots can work together (via DMA and packs), the present

invention enables companies or content providers to enable their Dots to work together.

The present invention provides for another layer built upon the DMA API (application

programming interface) that specifies how different kinds and types of Dots from

different companies or developers communicate/work together. This layer is optionally

open source so that a large portion of it is self-published by the Dot Developers

themselves.

[00314] Dot Developers implement, specify, and publish in a database DMA

message methods that other Dot Developers implement through calls made within their

published Dots. These Dot developer methods may be aggregated and published for

use in a database provided by the Home Dot publisher for example and sourced within a

developer zone, or as part of a Dot Definition.

[00315] Dot developers may implement these DMA message methods as java

script functions that other Dot Developers calls with the DMA's ##<any javascript>

message. Dot Developers would need to be able to address a particular Dot's Web

Conduit control that supports a page implementing the specified javascript function and

address by domain if different from their domain. Restricted or variable permissions may

be provided via a web server or defined in a Dot's Dot Definition to restrict/enable routing

of messages.

[00316] A Dot Developer has the option to restrict access to certain methods

according to some criteria e.g., public, partners, domain. Different types of restriction

criteria schemes may be implemented. A Dot may send a message to another Dot and

page 108

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

query for methods accessible to it. An example of access levels for functions is provided

below.

Public functions - Dot Developer implements and publishes DMA message

methods that any other Dot Developer could call (e.g., what-time-is-it for a

Clock Dot).

· Reserved functions - Dot Developer implements, specifies, and publishes a

set of DMA message methods that may be called only by certain types of Dot

Developers (e.g. premier partner), specific Dot Developers, or specific Dots.

Private functions within the same domain - A Dot Developer restricts access

to certain DMA message methods that may only be implemented by other

Dots in the same domain. The preferred embodiment provides for the option

to either allow all messages to flow between domains, or to fully restrict

messages to within a domain.

Local Private functions within Dot - At the most restricted level, access is

specified such that DMA messages may only be accessed from within the

same Dot.

[00317] A published API that specifies ways for Dots to extend functionality to

other Dots enables Dot Developers to leverage the development work and functionality

of other Dots. As an example, a Dot Developer may implement a credit card processing

Dot. In one embodiment, this Dot is implemented as a javascript function that checks the

credit available on a credit card. This developer could specify this as a public function (in

the Dot Definition for example) and publish this function in a Server Dot Index. Other Dot

page 109

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Developers send a message to this Dot and call "check-credit", thus leveraging the

development carried out from the first Dot Developer.

[00318] In the above example, the messaging architecture may provide revenue

generation by way of monthly fees, per-access fees, etc. The Home Dot application 200

and/or Dot application servers could monitor/track and automatically handle incremental

billing for all parties. By applying this method to the previous example, a Dot Developer

who calls a credit card processing Dot for a credit check may be incrementally billed for

each such call.

[00319] It will be appreciated that the methods, in the form of instructions having a

sequence, syntax, and content, of the present invention may be stored on (or

equivalently, in) any of a wide variety of computer-readable media such as magnetic

media, optical media, magneto-optical media, electronic media (e.g., solid state ROM or

RAM), etc., the form of which media not limiting the scope of the present invention. A

data processor reading said media is operable to either transfer (e.g., download) said

instructions thereto and then operate on those instructions, or cause said instructions to

be read from the media and operate in response thereto. Furthermore, devices (e.g., a

reader) for accessing the instructions on said media may be contained within or

connected directly to the data processor residing on a device on which those instructions

operate, or may be connected via a network or other communication pathway to said

data processor.

While a plurality of preferred exemplary embodiments have been [00320]

presented in the foregoing detailed description, it should be understood that a vast

number of variations exist, and these preferred exemplary embodiments are merely

page 110

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

representative examples, and are not intended to limit the scope, applicability or configuration of the invention in any way. Rather, the foregoing detailed description provides those of ordinary skill in the art with a convenient guide for implementation of the invention, by way of examples, and contemplates that various changes in the functions and arrangements of the described embodiments may be made without departing from the spirit and scope of the invention defined by the claims thereto.

## Appendix A

This appendix contains an exemplary definition of an XML structure used for Dots. Generally, there are four top XML structures that are read from URL/file. These are:

Session Configuration	<session_config></session_config>
User configuration	<all_config></all_config>
shares	<share></share>
Dot templates	<dot></dot>

These structures are described below. Some structures reuse other structure formats.

## <DOT>

# **GLOBALID= "String"**

Used only within <SHARE> tags. This GLOBALID must be unique with respect to other <DOT> tags in the <SHARE>.

## DOMAIN = "string"

Unique label for the owning company of this Dot. In one embodiment, Dots are limited to communicating with Dots only from their own domain.

# KIND= "String"

Helpful identifier for finding such a Dot from another Dot. Does not have to be unique.

### <FRAME>

#### <TITLE>

```
TEXT = "string"

JUSTIFY= "LEFT"| "CENTER"|"RIGHT"

COLOR= "#XXXXXX"
```

### PIXELWIDTH="integer"

page 112 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Width in pixel units. Overrides WIDTH attribute.

## PIXELHEIGHT="integer"

Height in pixel units. Overrides HEIGHT attribute.

# WIDTH="Integer"

Width in Dot units. Default value is 1.

# HEIGHT="integer"

Height in Dot units. Default value is 1.

## X="interger" | "LEFT" | "CENTER" | "RIGHT"

Initial X position in screen coordinates. Default is center.

# Y= "INTEGER"|"TOP"| "CENTER"| "BOTTOM|

Initial Y position in screen coordinates. Default is center.

# FIXWIDTH="TRUE" | "FALSE"

Default is false.

# FIXWIDTH="TRUE" | "FALSE"

Default is false.

# BACKGROUNDCOLOR="#XXXXXX"

Default is white.

### <TITLEBARIMAGE>

```
JUSTIFY ="LEFT"| "CENTER"| "RIGHT"
```

TILELEFT="integer"

TILERIGHT= "integer"

NORMAL= "url"

DOWN= "URL"

HOVER="URL"

page 113 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
INACTIVE="URL"
<BOTTOMARIMAGE>
   JUSTIFY= "LEFT"| "CENTER"\"RIGHT"
   TILELEFT= "integer"
   TILERGHT ="integer"
   NORMAL= "URL"
   DOWN="URL"
   HOVER="URL"
   INACTIVE="URL"
<MENU>
   Contains zero or more <ITEM> tags.
   <ITEM>
      TITLE= "string"
      TOOLTIP="string"
      ICON="URL"
      ID=" string"
      Must be unique.
   <ACTION>
      RECIPIENT="address"
      MESSAGE="string"
<CONTROL_LAYOUT>
   Contains zero or more <CONTROL> tags.
   WIDTH= "integer"
```

page 114 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Divides control space into this many evenly spaced columns. Default is 1.

## **HEIGHT="integer"**

Divides control space into this many evenly spaced rows. Default is 1.

## WIDTHSCALES="TRUE" | "FALSE"

Default is true.

# HEIGHTSCALES="TRUE" | "FALSE"

Default is true.

### <CONTROL>

See external definition.

### <CATEGORIES>

Contains zero or more <CATEGORY> tags.

### <CATEGORY>

Adds the Dot to this category. This is the only way categories are specified; i.e. there is nomaster category list.

NAME="string"

This is the name of the category.

## <EVENTS>

### <ONCLOSE>

Executes this action list on close.

<ACTION-LIST>

See external definition.

## <CONTROL>

The only understood value for this is "Browser". Currently, any other value is overridden and "Browser" is assumed. All specifications should explicitly specify "Browser".

KIND="string"

ID="string"

page 115 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

Must be unique with other controls in this Dot.

## LEFT="integer"

X position in container units. Default is **0**.

# TOP="integer"

Y position in container units. Default is **0**.

# WIDTH="integer"

Width in container units. Default is 1.

## HEIGHT=" integer"

Height in container units. Default is 1.

# URL="URL"

This is read **if** and only **if** this control is of class "Browser". This is the URL to which this control navigates.

## <PRESET>

Contains zero or more <DOT> tags.

## TITLE="string"

<DOT>

This tag specification is specific to the <PRESET> tag, i.e. it is not the same tag as the full <DOT> specification described elsewhere.

# GLOBALID="string"

Used only within <SHARE> tags. This <SHARE> must contain a <DOT> with a matching GLOBALID.

X="integer"| "LEFT"| "CENTER"| "RIGHT"

Y= "integer" | TOP" | "CENTER" | "BOTTOM"

page 116

## <SHARE>

Contains zero or more <DOT> and <PRESET> tags. GLOBALID attributes are valid and consistent only within this share.

### <DOT>

See external definition.

### <PRESET>

See external definition.

# <ACTION\_LIST>

Contains zero or more <ACTION> tags.

#### <ACTION>

**RECIPIENT="address"** 

MESSAGE= "string"

## <SESSION CONFIG>

### REDIRECT="URL"

The client reading this will ignore this configuration and try again with the configuration stored at this URL. The client will not redirect if this tag is omitted, if the URL is empty, or if the URL is the same URL used to retrieve this configuration in the first place. This step is recursive, so if two configuration files are redirecting to each other, the client will get stuck in an endless loop.

### VERSION="string"

This attribute is required and must match the version of the client. Currently, the client is compiled with the version "alpha:1". If this attribute is omitted or differs from the client's version, the client will download the executable specified in the UPGRADE attribute and execute it.

### **UPGRADE="URL"**

page 117 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

This is used only if the VERSION attribute differs from the client's version. This URL specifies the location of an executable that will upgrade the client's application. The client will quit, and then run the upgrade executable automatically.

### <Methods>

## Baseurl="url"

All the tags encapsulated here represent servlet methods. The URLs specified by the method are relative to this base URL.

```
<ADD_USER>
  URL="URL"
<GET_USER>
  URL="URL"
<SET_USER>
  URL="URL"
<GET_SESSION_CONFIG>
  URL="URL"
<SET_PASSWORD >
  URL="URL"
<GET_MASTER_DOT_LIST>
  URL="URL"
<SET_ALLCONFIG>
  URL="URL"
<GET ALL CONFIG>
  URL="URL"
<SET_ALL_STATS>
```

page 118 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

URL="URL"

```
<GET_DOT_TEMPLATE>
   URL="URL"
<ADD_SHARE>
   URL=" URL"
<GET_SHARE>
   URL="URL"
<AUTH_TEST>
   URL="URL"
<DO_REDIR>
   URL="URL"
<SKELETONS>
   <HOMEDOT>
   <IMAGES>
      BASEURL="URL"
This is the URL of the root directory for where the HomeDots images are stored.
   <DOT>
   <IMAGES>
      BASEURL="URL"
This is the URL of the root directory for where the default images for Dots are stored.
<DOTS>
<ADD>
TEMPLATE="URL"
   This is the URL for the XML specification of the "add" Dot.
```

page 119 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

### <HELP>

### TEMPLATE="URL"

This is the URL for the XML specification of the "help" Dot.

## <LOGIN>

TEMPLATE="URL"

This is the URL for the XML specification of the "login" Dot

# <ALL\_CONFIG>

## <SHARE>

This contains all the installed Dots and presets in this users configuration. See external definition.

#### <LASTSTATE>

## <PRESET>

This preset uses the GLOBALID values in the above <SHARE>. This represents the last known position of the open Dots when the user last quit the application.

### <HOMEDOT>

This represents the last position of the HomeDot when the user quit his application.

X="integer" | "left" | "center" | "right"

Y="integer" | "top" | center" | "bottom"

### Appendix B

## **Client-Server Protocols**

#### HTTP/HTTPS

HTTP/HTTPS is the chosen basic request-response protocol for client/server communications for Dots. HTTP/HTTP by default runs on port 80/443 and is typically left unblocked on the firewall by most network administrators (and by default by the firewall vendors). It is also the logical choice given that web servers and web client libraries are cheap and often freely available. This choice effectively removes the burden of creating and maintaining code to the low level TCP/IP socket connections as well as the basic request-response framework. For security reasons, it is suggested that all user-specific data exchanges be done through HTTPS (as opposed to HTTP).

### **XML**

XML is the chosen data exchange format between the client and the server.

## Session\_Config DTD

```
<!ELEMENT session config
      add user,
      set_password,
      set_session_config,
      get master dot list,
      get_dot_template,
      get_all_config,
      get_all_confg,
      set_all_events,
      dotdots_navigation
<!ELEMENT add user EMPTY>
<!ATTLIST add user
                   CDATA
                                             #REQUIRED
      url
<!ELEMENT set_password EMPTY>
<!ATTLIST set_password
      url
                   CDATA
                                             #REQUIRED
```

page 121 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
<!ELEMENT get_session_config EMPTY>
<!ATTLIST get_session_config
                  CDATA
      url
                                           #REQUIRED
<!ELEMENT get_master_dot_list EMPTY>
<!ATTLIST get_master_dot_list
                  CDATA
                                           #REQUIRED
      url
                  CDATA
      refresh
                                           #REQUIRED
>
<!ELEMENT get_dot_template EMPTY>
<!ATTLIST get_dot_template
      url
                  CDATA
                                           #REQUIRED
<!ELEMENT get_all_config EMPTY>
<!ATTLIST get_all_config
                  CDATA
                                           #REQUIRED
      url
<!ELEMENT set_all_config EMPTY>
```

Filed via EFS-Web on: 10/31/2007

```
<!ATTLIST set all config
                  CDATA
                                           #REQUIRED
      url
      refresh
                  CDATA
                                           #REQUIRED
<!ELEMENT set all events EMPTY>
<!ATTLIST set all events
      url
                  CDATA
                                           #REQUIRED
<!ELEMENT dodots_navigation EMPTY>
<!ATTLIST dodots navigation
                  CDATA
                                           #REQUIRED
      url
```

# Session\_Config Example

```
<?xml version="1.0"?>
<!DOCTYPE SESSION_CONFIG SYSTEM "SESSION_CONFIG.DTD">
<SESSION CONFIG>
      <ADD USER
       url=http://scot:8080/servlet/DoDotsServer/addUser" />
      <SET_PASSWORD
       url=http://scot:8080/servlet/DoDotsServer/setPassword" />
      <GET_SESSION_CONFIG
       url=http://scot:8080/servlet/DoDotsServer/getSessionConfig" />
       refresh="1"/>
      <GET_MASTER_DOT_LIST
       url=http://scot:8080/servlet/DoDotsServer/getMasterDotList" />
       refresh="-1" />
       <GET DOT TEMPLATE
       url=http://scot:8080/servlet/DoDotsServer/getDotTemplate" />
       refresh="1"/>
      <GET ALL CONFIG
       url=http://scot:8080/servlet/DoDotsServer/getAllConfig" />
       refresh="600"/>
      <SET_ALL_EVENTS
       url=http://scot:8080/servlet/DoDotsServer/setAllEvents"
       refresh="600" />
      <DODOTS NAVIGATION
       url=http://www.DoDots.com/" />
</SESSION_CONFIG>
```

page 123 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

### **User DTD**

```
<!ELEMENT user EMPTY>
<!ATTLIST user
                     CDATA
                                           #IMPLIED
      uid
                     CDATA
                                           #IMPLIED
      password
      dpid
                     CDATA
                                           #IMPLIED
      title
                     CDATA
                                           #IMPLIED
      prefix
                     CDATA
                                           #IMPLIED
      firstname
                     CDATA
                                           #IMPLIED
      mi
                     CDATA
                                           #IMPLIED
                     CDATA
                                           #IMPLIED
     lastname
      suffix
                     CDATA
                                           #IMPLIED
      email
                     CDATA
                                           #IMPLIED
                                           #IMPLIED
      street1
                     CDATA
      street2
                     CDATA
                                           #IMPLIED
                                           #IMPLIED
      city
                     CDATA
      state
                     CDATA
                                           #IMPLIED
                                           #IMPLIED
      country
                     CDATA
                                           #IMPLIED
      birthdate
                     CDATA
      sex
                     CDATA
                                           #IMPLIED
>
```

## **User Example**

```
<?xml version="1.0" ?>
<GETDOCTYPE USER SYSTEM "USER.DTD">
<USER>
       uid ="joeblack"
       password ="morte"
       dpid ="321"
       title ="Chief Dot Developer"
       prefix ="Mr."
       firstname ="Joe"
       mi ="D"
       lastname
                     ="Black"
       suffix ="Sr."
       email ="reaper@otherside.org"
       street1 ="1 KickTheBucket Street"
       street2 =""
       city ="Mordor"
       state="DC"
       country=""
       birthdate=""
       sex="N"
/>
```

page 124 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

### **Dot Master DTD**

## Dot\_Master Example

# Dot\_Template DTD

```
<!ELEMENT dot template (</pre>
menu*,
      controls
<!ATTLIST dot
      uid
                         ID
                                                          #REQUIRED
                          ("TRUE"|"FALSE")
      debug
                                             "FALSE"
      type
                          ("ACTIVEX"|"JAVA") "ACTIVEX"
      class
                         CDATA
                                                          #REQUIRED
      title
                         CDATA
                                                          #REQUIRED
      titlebarimage
                         CDATA
                                                          #REQUIRED
      bottombarimage
                         CDATA
                                                          #REQUIRED
      width
                         CDATA
                                                          #REQUIRED
      height
                         CDATA
                                                          #REQUIRED
      resizable
                         ("TRUE"|"FALSE")
                                             "FALSE"
<!ELEMENT menu (
      menuitem+
      )
<!ATTLIST menu
      id
             ID
                   #REQUIRED
>
<!ELEMENT controls (
      control+
<!ATTLIST controls
                                      #IMPLIED "flow"
      layouts
                   ("flow"
                   l"grid"
                   ["gridbag")
<!ELEMENT menuitem EMPTY>
<!ATTLIST menuitem
      id
                   ID
                                             #REQUIRED
      title
                   CDATA
                                             #REQUIRED
      icon
                   CDATA
                                             #IMPLIED
                                             #IMPLIED
      tooltip
                   CDATA
                   ("TRUE"|"FALSE")
                                             #IMPLIED "FALSE"
      enabled
      action
                   CDATA
                                             #REQUIRED
```

page 126 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
>
<!ELEMENT control EMPTY>
<!ATTLIST control
      id
                  ID
                                           #REQUIRED
                  ("ACTIVEX"|"JAVA")
      type
                                           "ACTIVEX"
      class
                  CDATA
                                           #REQUIRED
      width
                  CDATA
                                          #REQUIRED
      height
                  CDATA
                                          #REQUIRED
      location
                  CDATA
                                          #REQUIRED
>
```

# **Dot\_Template Example**

```
<?xml version="1.0"?
<!GETDOCTYPE DOT_TEMPLATE SYSTEM "DOT_TEMPLATE.DTD">
<DOT_TEMPLATE
      DEBUG="TRUE"
      TYPE="ACTIVEX"
      CLASS="12939-292939-93939-29292"
      TITLE="Ebay"
      TITLEBARIMAGE=http://www.ebay.com/image/foo"
      BOTTOMBARIMAGE="someurl"
      WIDTH=2
      HEIGHT=1
      RESIZABLE="TRUE"
>
      <MENU>
            <MENUITEM
                  ID="NEW AUCTION WINDOW"
                  TITLE="New Auction Window"
                              http://www.ebay.com/images/new auction.gif"
                  TOOLTIP="Open up a new auction window to use"
                  ACTION="someaction.exe"
            />
            <MENUITEM
                  ID="DISPLAY_CLOSE"
                  TITLE="Close display"
                  ICON="
                              http://www.ebay.com/images/menuitem.gif"
                  TOOLTIP="Close the display field"
                  ACTION="someaction.exe
            />
      </MENU>
      <CONTROLS LAYOUT="FLOW">
            <CONTROL
                  ID="SEARCH FIELD"
```

page 127 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

```
TYPE="ACTIVEX"
                  CLASS="33333-1111111-222222-33333"
                 WIDTH="80"
                 HEIGHT="20"
                 LOCATION="TOP"
                 URL=http://www.webster.com/searchField.html
           />
            <CONTROL
                 ID="RESULT DISPLAY"
                  TYPE="ACTIVEX"
                  CLASS="33333-1111111-222222-33333"
                 WIDTH="80"
                 HEIGHT="100"
                 LOCATION="TOP"
                 VISIBILITY="FALSE"
           />
     </CONTROLS>
</DOT_TEMPLATE>
```

Filed via EFS-Web on: 10/31/2007

# All\_Config DTD

<!—DTD for the All\_Config element used in the configuration of DoDots's Snapshot, block and dot objects -->

```
<!ELEMENTS all_config (
      Snapshot*
<!ATTLIST all_config
<!ELEMENT Snapshot (</pre>
      block*,
      dot*
<!ATTLIST Snapshot
                                #REQUIRED
      id
            ID
<!ELEMENT block(
      dot*
      )
<!ELEMENT dot (
      menu*,
      controls
<!ATTLIST dot
                      ID
                                             #REQUIRED
      id
                      ("TRUE"|"FALSE")
                                             "FALSE"
      debug
                      ("ACTIVEX"|"JAVÁ")
                                             "ACTIVEX"
      type
      class
                      CDATA
                                             #REQUIRED
      title
                      CDATA
                                             #REQUIRED
      titlebarimage
                      CDATA
                                             #REQUIRED
      bottombarimage CDATA
                                             #REQUIRED
      width
                      CDATA
                                             #REQUIRED
      height
                      CDATA
                                             #REQUIRED
      resizable
                      ("TRUE"|"FALSE")
                                             "FALSE"
```

```
<!ELEMENT menu (
      menuitem+
<!ATTLIST menu
                  ID
      id
                                    #REQUIRED
<!ELEMENT controls (
      control+
<!ATTLIST control
      layout
                  ("flow"
                                    #IMPLIED "flow"
                  |"grid"
                  |"gridbag"
>
<!ELEMENT menuitem EMPTY>
<!ATTLIST menuitem
      id
                  ID
                                           #REQUIRED
                  ("ACTIVEX"|"JAVA")
      type
                                            "ACTIVEX"
                  CDATA
                                           #REQUIRED
      class
      width
                  CDATA
                                           #REQUIRED
      height
                  CDATA
                                           #REQUIRED
      location
                  CDATA
                                           #REQUIRED
>
All_Config Example
<?xml version="1.0"?>
<!DOCTYPE ALL_CONFIG SYSTEM "ALL_CONFIG.DTD">
<ALL CONFIG>
      <SNAPSHOT ID="1"
            <DOT
                  DEBUG="TRUE"
                  TYPE="ACTIVEX"
                  CLASS="12939-292939-93939-29292"
                  TITLE="Ebay"
                  TITLEBARIMAGE=http://www.ebay.com/image/foo"
                  BOTTOMBARIMAGE="someurl"
                  WIDTH=2
                  HEIGHT=1
                  RESIZABLE="TRUE"
            <MENU>
                  <MENUITEM
                        ID="NEW_AUCTION_WINDOW"
```

Filed via EFS-Web on: 10/31/2007

page 130

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

```
ICON="
      http://www.ebay.com/images/new auction.gif"
                        TOOLTIP="Open up a new auction window to use"
                        ACTION="someaction.exe"
                  />
                  <MENUITEM
                        ID="DISPLAY_CLOSE"
                        TITLE="Close display"
                        ICON="
                                     http://www.ebay.com/images/menuitem.gif"
                        TOOLTIP="Close the display field"
                        ACTION="someaction.exe
                  />
            </MENU>
            <CONTROLS LAYOUT="FLOW">
                  <CONTROL
                        ID="SEARCH FIELD"
                        TYPE="ACTIVEX"
                        CLASS="33333-1111111-222222-33333"
                        WIDTH="80"
                        HEIGHT="20"
                        LOCATION="TOP"
                        URL=http://www.webster.com/searchField.html
                  />
            <CONTROL
                        ID="RESULT DISPLAY"
                        TYPE="ACTIVEX"
                        CLASS="33333-1111111-222222-33333"
                        WIDTH="80"
                        HEIGHT="100"
                        LOCATION="TOP"
                        VISIBILITY="FALSE"
                  />
            </CONTROLS>
            </DOT >
      </SNAPSHOT>
</ALL_CONFIG>
```

TITLE="New Auction Window"

### **All Events DTD**

```
<!ELEMENT dot events
      dot_event*
<!ATTLIST dot_events
<!ELEMENT dot events EMPTY>
<!ATTLIST dot_events
     deid
                  CDATA
                                          #REQUIRED
      dtid
                  CDATA
                                          #IMPLIED
     dpkid
                  CDATA
                                          #IMPLIED
                                          #IMPLIED
     dpid
                  CDATA
     start time
                  CDATA
                                          #REQUIRED
     end_time
                  CDATA
                                          #IMPLIED
                                          #IMPLIED
     url1
                  CDATA
```

### NOTES:

All data/time data transmitted must conform to the W3C HTTP1.1 specification's HTTP-date grammar (IETF RFC 1123 format is strongly preferred). This means, among other things, that all date/time data will be represented in GMT/UTC time. See RFC 1123 for specific details. Simplified format string:

Wdy, dd MON yyyy HH:MM:SS GMT

The valid even ids (deid) will be stored in the DOT\_EVENTS table described later in this appendix.

- 0 Download of homedot/native code
- 1 –Download of Dot
- 2 download of Dotpack
- 3 Use of Dot (home Dot is special dtid "-1" for this event)
- 4 Click thru to browser
- 5 Page view

DEID and start\_time are always required, but other required attributes are actually variable based on record type/deid:

- 0 -dpid, end\_time
- 1 -dpid, dtid, end, time
- 2 -dpid, dpkid, end time
- 3 –dtid, end\_time
- 4 –dtid, url1
- 5 –dtid

page 132 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

#### All\_Events Example

```
<DOT EVENTS>
      <DOT EVENT DEID="0" DPID="10" START TIME="Sat, 10 APR 1999 09:15:08</p>
GMT" END_TIME="Sat, 10 APR 1999 09:16:55 GMT" />
      <DOT EVENT DEID="2" DPKID="5" DPID="10" START TIME="Sat, 10 APR</p>
1999 09:17:08 GMT" END TIME="Sat, 10 APR 1999 09:19:55 GMT" />
      <DOT_EVENT DEID="1" DTID="49" DPID="1" START_TIME="Sat, 10 APR 1999</p>
09:30:08 GMT" END TIME="Sat, 10 APR 1999 09:30:55 GMT" />
      <DOT_EVENT DEID="1" DTID="51" DPID="1" START_TIME="Sat, 10 APR 1999</p>
09:31:08 GMT" END TIME="Sat, 10 APR 1999 09:32:55 GMT" />
      <DOT EVENT DEID="1" DTID="59" DPID="1" START TIME="Sat, 10 APR 1999</p>
09:33:08 GMT" END TIME="Sat, 10 APR 1999 09:34:55 GMT" />
      <DOT EVENT DEID="3" DTID="49" START TIME="Sat, 10 APR 1999 09:35:08</p>
GMT" END TIME="Sat, 10 APR 1999 12:29:55 GMT" />
      <DOT EVENT DEID="3" DTID="51" START_TIME="Sat, 10 APR 1999 09:35:08</p>
GMT" END TIME="Sat, 10 APR 1999 12:29:55 GMT" />
      <DOT_EVENT_DEID="3" DTID="59" START_TIME="Sat, 10 APR 1999 09:35:08</p>
GMT" END_TIME="Sat, 10 APR 1999 12:29:55 GMT" />
      <DOT EVENT DEID="5" DTID="59" START TIME="Sat, 10 APR 1999 09:15:08</p>
GMT" />
      <DOT EVENT DEID="5" DTID="59" START TIME="Sat, 10 APR 1999 11:34:08</p>
GMT"/>
      <DOT EVENT DEID="5" DTID="59" START TIME="Sat, 10 APR 1999 12:15:08</p>
GMT" />
      </DOT_EVENTS>
```

## AddShare\_Response DTD

### AddShare\_Response example

<add>ADDSHARE\_RESPONSE DUSID="123456" /></a>

### 8 Server API

As indicated previously in this document, the client communicates to the server via an HTTP request. The HTTP request URL will effectively contain an "API call". Any API calls that require the uploading of data to the server will place the data in the message body of the request. This implies that these (uploader) HTTP requests will be POSTs

page 133 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

and not GETs. API calls that require uploading/downloading of data will send/receive that data in XML, in the format as is indicated previously in this document. The server will support message body compression (i.e., content-encoding) to help reduce network traffic. Content compression will be in the commonly supported HTTP forms of :gzip, zip, or zlib and will be chosen on a user by user basis based on standard HTTP response and request header values for content encoding.

All "API Ccalls" listed below are the end part of a complete URL that starts with: <a href="http://<someservername>.DoDots.com/DoDots/">http://<someservername>.DoDots.com/DoDots/</a>, where <servername> is variable and DoDots is an alias for the DoDots servlet/JSP servlet or servlets directory. Arguments to any of the methods are passed in as name value pairs in the query string portion of the URL. Note that data intended to be persistently stored on the server are not considered "arguments" to the server API method. The arguments are used only to allow the servlet implementing the API call to perform the requested action, not to encode the data uploaded.

In every case, the client will receive an HTTP response code of: "401 Not Authorized" if the client does not provide a valid username and password in every request. To avoid the possibility of a username/password combination persistently stored in the clear (i.e., a directory entry on windows), the standard HTTP headers of "Authorization:" and "WWW-Authenticate:" will be used to carry the username and password information in every request with the exception of the DoAddUser method. The security scheme used will be the "BASIC" scheme as detailed in IETF RFC 1521 and RFC 2617. This has the two added advantages of: a) an ordinary web browser can then be used as an impromptu debugging tool for the client/server communication; and, b) user sessions can be silently reestablished when the session has timed-out due to inactivity and the user causes a call to the server to happen without restarting the client Winlnet, like MSIE, will keep resending the Authorization header with every request until the hosting application exits. It is suggested that the application server handle the authentication via the HTTP header information rather than configuring the web server for authentication this way the authentication scheme can change over time by module replacement (both on the server and the client) and the user can be offered the ability to change passwords. The BASIC authentication method is recommended for the initial release due to the readily available BASE64 decoders, including the built-in support for BASIC auth in most client-side libraries (like WinInet). Other, more secure authentication schemes (such as MD5 or X509) may be substituted or added in, if desired. All successful requests will return a standard HTTP response with a result code of "200 OK" unless otherwise specified in the method descriptions below. The server should pay careful attention to the HTTP "content-length" and the actual request body lengths to confirm that the client message has not been truncated or the connection dropped. The server may be designed and implemented with localization and internationalization of returned error, warning and status messages. Given that certain XML streams may be of great length, the server should support inbound and outbound handing of message body compression/decompression when requested by the client. Compression types that should be supported are: GZIP, ZIP, and ZLIB. The client indicates requested/supported compression types thru the standard HTTP headers of "Content-Encoding", "Accept-Encoding", and "Vary" as described in the W3C HTTP 1.1 specification. Note that the client may indicate or not indicate compression on a request

page 134 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

by request basis. The server should not assume that the client can handle a compressed response body unless indicated in the request header.

### Server API methods for the end-user client

#### addUser

The addUser method is used to create a new DoDots user account. Note that this is available as an end-user api in cases in which it is desired to allow new users to add themselves rather than wait for an administrator to do it for them.

### Arguments:

- uid unique username
- password password for the user account
- dpid (optional) Dot provider id user is also a Dot content developer
- title (optional) title if user is also a Dot content developer
- prefix (optional) name, prefix (i.e., Mr., Ms., Mrs., Dr., etc...)
- firstname First name
- mi (optional) Middle initial
- lastname Last name
- suffix (optional) name suffix (i.e. III, Jr., PhD., etc...)
- email (optional) E-mail address
- street1 (optional) first line of the street address
- street2 (optional) second line of street address
- city (optional) city
- state (optional) state
- country (optional) country
- birthdate (optional) birthdate (i.e. 04/10/1965)
- sex (optional) sex (i.e. male, female)

#### Example:

### Request:

http://dots1.DoDots.com/DoDots/addUser?uid=Smith&password=scotchromanian&prefix =Mr&firstname=Scot&mi=J&lastname=Smith&email=Smith&newco.com

### Response:

Standard HTTP success with no response body.

#### getUser

The getUser method retrieves the user's own demographic data. This method supports the ability of the client application to then allow updates to the user's demographic information using the setUser method (see below).

page 135 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

#### Arguments:

 uid (optional) – username. uid is required when the issuer is a Dot developer or the DoDots admin. If the method is invoked by an end-user, this argument is ignored and the server identifies the user from the Authorization information.

### Example:

Request:

http://dots1.DoDots.com/DoDots/getUser

#### Response:

The expected response is an xml stream containing the user's current demographic information. This xml stream is the USER DTD as defined earlier in this document.

#### setUser

- uid (optional) username. uid is required when the issuer is a Dot developer or the DoDots admin. If the method is invoked by an end-user, this argument is ignored and the server identifies the user from the Authorization information.
- password password for the user account
- dpid (optional) Dot provider id user is also a Dot content developer
- title (optional) title if user is also a Dot content developer
- prefix (optional) name, prefix (i.e., Mr., Ms., Mrs., Dr., etc...)
- firstname First name
- mi (optional) Middle initial
- lastname Last name
- suffix (optional) name suffix (i.e. III, Jr., PhD., etc...)
- email (optional) E-mail address
- street1 (optional) first line of the street address
- street2 (optional) second line of street address
- city (optional) city
- state (optional) state
- country (optional) country
- birthdate (optional) birthdate (i.e. 04/10/1965)
- sex (optional) sex (i.e. male, female)

## Example:

Request:

http://dots1.DoDots.com/DoDots/setUser?uid=smith&password=scotchromanian&prefix=Mr&firstname=Scot&mi=J&lastname=Smith&email=smith@newco.com

#### Response:

Standard HTTP success with no response body.

Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

page 136

## getSessionConfig

The getSessionConfig method is used to retrieve general configuration information. Arguments:

None

Example:

Request:

http://dots1.DoDots.com/DoDots/getSessionConfig

#### Response:

The expected response is an xml stream containing the high level configuration information for the session. This xml stream is the SESSION\_CONFIG DTD as defined earlier in this document.

#### setPassword

The setPassword method provides a mechanism for the user to change their associated DoDots server account password.

Arguments:

Newpass – the new password

Example:

Request:

http://dots1.DoDots.com/DoDots/setPassword?newpass=bar

Response if successful:

Standard HTTP success with no response body.

#### getMasterDotList

The getMasterDotList method requests the list of all Dot templates known by the server. The server returns a message body in predefined XML format (set the DOT/MASTERLIST DTD and example) of all possible Dots and their default template values. Note that included in each DOT element are a version stamp and Dot class ids which allows the client to determine if they have the most up-to-date Dot template and Dot class binaries for the dot. The client may then decide to request the updated Dot template (see the getDotTemplate method) and/or Dot class binary zip file (see the getDotClassBinaries method).

#### Arguments:

• None.

Example:

Request:

http://dots1.DoDots.com/DoDots/getMasterDotList

page 137

Attorney docket: IMS 07-05-06

Filed via EFS-Web on: 10/31/2007

Application of: Kembel et al.

### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format of the DOT/MASTERLIST DTD defined earlier in this document.

## setAllConfig

The setAllConfig method sends the entire body of user-specific client-side configuration information (all Snapshots, blocks, Dots, general settings, etc.) to the server for persistent storage on behalf of the user. The data is sent as the message body in a predefined XML format (see the ALL\_CONFIG DTD and example). The response returned by the server indicates success or failure.

#### Arguments:

 none (request body contains the xml stream in the predefined XML format of the ALL CONFIG DTD defined earlier in this document.)

### Example:

Request: http://dots1.DoDots.com/DoDots/set AllConfig

#### Response:

Standard HTTP success with no response body.

## getAllConfig

The getAllConfig method retrieves the last user-specific, server-side saved, client-side configuration information (all Snapshots, blocks, Dots, general settings, etc.) from the server. The data is sent as the message body in a predefined XML format (see the ALL\_CONFIG DTD and example).

#### Arguments:

none

#### Example:

Request:

http://dots1.DoDots.com/DoDots/getAllConfig

# Response:

Standard HTTP success with response body as an xml stream in the predefined XML format of the ALL CONFIG DTD defined earlier in this document.

#### setAllEvents

The setAllEvents method sends usage information that has accumulated since the last call to setAllEvents to the server for later use in statistical usage report generation. The data is sent as the message body in a predefined XML format (see the ALL\_EVENTS DTD and example). The response returned by the server indicates success or failure.

# Arguments:

page 138 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

none

Example:

Request:

http://dots1.DoDots.com/DoDots/setAllEvents

Response:

Standard HTTP success with no response body.

### getDotTemplate

The getDotTemplate method asks the server for a specific Dot template. The server returns the XML that describes the default state of the Dot. This method is called when the client has determined that it does not have the current version of the Dot and the user wants the most recent version (NOTE: this includes when the user is downloading the Dot for the first time)

#### Arguments:

 DTID – The unique Dot id for the Dot being requested. This value is extracted from the Dot master list xml stream returned by the getMasterDotList method for each Dot.

Example:

Request:

http://dots1.DoDots.com/DoDots/getDotTemplate?dtid=1234

#### Response

Standard HTTP success with response body as an xml stream in the predefined XML format of the DOT\_TEMPLATE DTD defined earlier in this document.

#### addShare

The addShare method stores a specific shared Dot, pack, or Snapshot. The server takes the XML that was uploaded by the client for sharing and returns the unique id of the share. The client should put the XML stream to be shared in the request body.

## Arguments:

none

Example:

Request:

http://dots2.DoDots.com/DoDots/addShare

### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format of the ADDSHARE RESPONSE DTD defined earlier in this document.

page 139 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

#### getShare

The getShare method asks the server for a specific shared Dot, pack or Snapshot. The server returns the XML that was stored for sharing by the original sending user. The format of the returned XML stream is dependent on what has been "shared".

#### Arguments:

• usid – The unique share id for the share being requested.

## Example:

Request:

http://dots1.DoDots.com/DoDots/getShare?dusid=1234

#### Response:

Standard HTTP success with response body as an xml stream in the predefined XML format. The content is returned as it was uploaded by the client.

#### Additional server APIs and Interfaces for Dot Providers

Dot providers can make use of all the end-user APIs by using the client application. Dot Providers may also make use of additional APIs for Dot development and testing. Interfaces that call these API methods will be JSP pages. These additional APIs and JSPs will reside on the dev/test system only. Following are a number of examples of same.

## addDotTemplate

The addDotTemplate method is used to add a Dot template to the database of Dot templates. This method is used by third party Dot content providers or DoDots staff.

## Arguments:

- dpid (optional) A valid Dot provider id. This argument is used to associate the
  uploaded Dot template with a specific Dot provider. This argument is ignored if
  the user is not a DoDots staff member. If the user is a third party Dot provider,
  the user's associate dpid stored in the database is used instead.
- Dcid A valid Dot category id.
- Name The name of the Dot. The name must be unique for the given Dot provider.
- Desc The extended description of the Dot.

## Example:

Request:

http://dots1.DoDots.com/DoDots/AddDotTempalte?dpid=2&name=scots%2dtest%2ddot &desc=The%2dfirst%2dbogus%2ddot%2dused%2dfor%2dtesting

#### Response:

Standard HTTP success with no response body.

page 140 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

#### Additional server APIs and Interfaces for DoDots Administrator

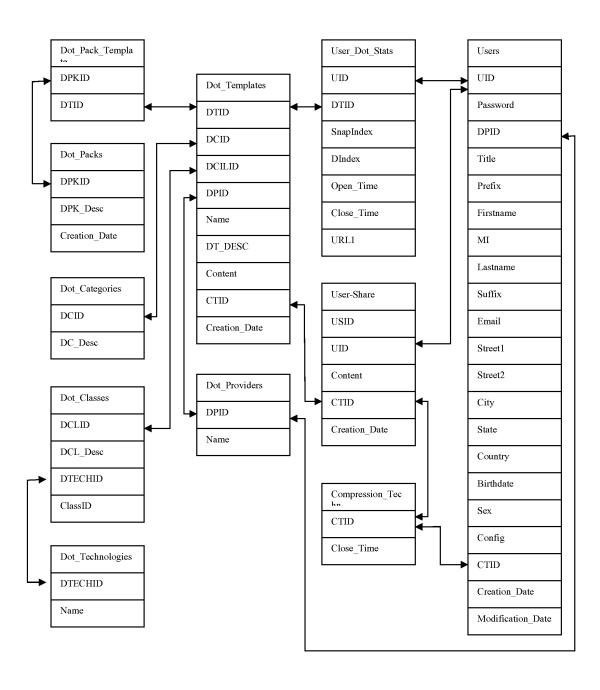
A set of administrative functions may be provided to a DoDots administrator for high level control of the system. The DoDots administrator will have access to the end-user and Dot provider APIs as well as the admin APIs listed below. Generally, only the DoDots can make use of 100% of the server APIs. Interfaces that call these API methods will be JSP pages. These additional APIs and JSPs will reside on the dev/test system only. Due to the time-to-market constraints, in the first version of the system the interface and APIs will be the bare minimum required to allow for effective Dot system management. It is expected that more complex and complete functionality will be added to the system in subsequent releases (as loosely defined in the future sections of this document).

#### **Database Schema**

General notes on database schema and design: Usually, columns ending in "ID are unique id columns for a particular entity class. The row values for these columns may be auto-generated by the RDBMS on insert. Primary key (PK) and foreign key (FK) constraints and uniques (non-key indices) are indicated below. Primary Keys may be ordered concatenated columns in some cases as indicated by the PK Pos designation.

page 141 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

# 9.1 Entity Relationship Diagram



page 142 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

# 9.2 Dot\_Pack\_templates

Column	Description	Datatype	Length	Allow	Unique	PK	FK Table
Name				nulls		Pos	
DPKID	Dot pack ID	Smallint		No	No	1/2	Dot_pack
DTID	Dot	int		No	No	2/2	Dot_Templaes
	Template						

# 9.3 Dot\_Packs Table

Column	Description	Datatyp	Length	Allow	Unique	PK	FK
Name		е		nulls		Pos	Table
DPKID	Dot pack ID	Smallint		No	Yes	1/1	
DPK_Desc	Dot Pack	nvarcha		No	No		
	description	r					
Creation_Da	Sate of pack	datetime		No	No		
te	creation						

# 9.4 Dot\_Categories Table

Column Name	Description	Datatype	Length	Allow	Unique	PK	FK
				nulls		Pos	Table
DCID	Dot	Smallint		No	Yes	1/1	
	Category ID						
DC_Desc	Dot	Nvarchar		No	No		
	Category						
	Description						

# 9.5 Dot\_Classes Table

Column	Description	Datatype	Length	Allow	Unique	PK	FK
Name				nulls		Pos	Table
DCLID	Dot Class ID	Smallint		No	Yes	1/1	
DCL_Desc	Dot Class Description	Nvarchar		No	No		
DTECHID	Technology description (ie. ActiveX, Java)	nchar		No	Yes		
ClassID	Class id. (tech specific, ie. A guid or java class spec)	nvarchar		No	Yes		

page 143 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

# 9.6 Compresion \_Technologies

Column	Description	Datatype	Length	Allow	Unique	PK	FK
Name				nulls		Pos	Table
DTECHID	Compression	Smallint		No	Yes	1/1	
	Technology ID						
Name	Compression	Nvarchar		No	Yes		
	Technology						
	Name						

# 9.7 Dot\_Technologies

Column Name	Description	Datatype	Length	Allow nulls	Unique	PK Pos	FK Table
DTECHID	Dot Technology ID	Smallint		No	Yes	1/1	
Name	Dot Technology Name	Nvarchar		No	Yes		

# 9.8 Dot\_Template Table

Column Name	Description	Datatype	Length	Allow nulls	Unique	PK Pos	FK Table
DTID	Dot Template ID	int		No	Yes	1/1	
DCID	Dot Category ID	Smallint		No	No		Dot_categorie s
DCILID	Dot Class ID	Smallint		No	No		Dot_Classes
DPID	DotProvider ID	Smallint		No	No		Dot_Providers
Name	Name of the Dot	nvarchar		No	No		
DT_DESC	Dot Description	nvarchar		No	No		
Content	Dot Template Content	Varbinary		No	No		
Content_C ompressio n CTID	Type of compression the content is stored in	Nvarchar		No	No		

page 144 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

Creation_ Date	Date of creation of the Dot template	datetime	No	No	

#### 9.9 **Dot\_Providers Table**

Column Name	Description	Datatype	Length	Allow nulls	Unique	PK Pos	FK Table
DPID	Dot provider ID	Smallint		No	Yes	1/1	
Name	Dot Provider Name	Nvarchar		No	Yes		

# 9.10 Dot\_User\_Events Table

Column	Description	Datatype	Length	Allow	Unique	PK	FK Table
Name				Nulls		Pos	
DUID	UserID	Int		No	No		Dot_Users
DEID	Dot event ID	Int		No	No		Dot_Events
DTID	Dot template ID	Int		Yes	No		Dot_Template s
DPID	Dot Provider ID	Int		Yes	No		Dot_Providers
Start_Time	Start Time info for Dot event in UTC/ GMT time	Datetime		No	No		
End_Time	End Time info for Dot event in UTC/ GMT time	Datetime		Yes	No		
URL1	URL info 1 for Dot event (fully qualified) for events that involve URLs	String		Yes	No		

#### Dot\_Events Table 9.11

Column	Description	Datatype	Length	Allow	Unique	PK	FK Table
Name		-		Nulls		Pos	
DEID	Dot Event ID	Int		No	Yes	1	
DE_Name	Dot Event Name	String		No	Yes		
DE Desc	Dot Event	String		No	Yes		
	Description	Jg					

page 145

Filed via EFS-Web on: 10/31/2007

Attorney docket: **IMS 07-05-06** Application of: Kembel et al.

# 9.12 Dot\_User\_Shares Table

Column	Description	Dototypo	Longth	Allow	Unique	PK	FK Table
	Description	Datatype	Length		Unique		FK Table
Name				Nulls		Pos	
DUSID	UserShare ID	Int		No	Yes	1/1	
DUID	User ID	Int		No	No		Users
DUS_Conte	The content	Varbinary		No	No		
nt	of the shared						
	item. This						
	could be a						
	dot, Dot						
	pack, or						
	Snapshot		_				
DCTID	The type of	Number	9	No	No		
	compression						
	used to store						
	to share						
DUS_Creati	Date of	Datetime		No	No		
on_Date	creation of						
	the share						
DUS		Datetime		No	No		
Download							
Date							

# 9.13 Users Table

Column Name	Description	Datatype	Length	Allow Nulls	Unique	PK Pos	FK Table
Username	User ID	Nvarchar		No	Yes	1/1	
Password	User's Password	Nvarchar		No	No		
DPID	Dot Provider ID (only in dev/test and only if user is a Dot provider developer)	Smallint		Yes	No		Dot_Providers
Title	Business Title, if applicable	Nvarchar		Yes	No		
Prefix	Prefix to name (i.e., Mr., Mrs., Dr., etc)	Nchar	10	Yes	No		
Firstname	First Name	Nvarchar	25	No	No		
MI	Middle Initial	Nvarchar	1	Yes	No		
Lastname	Last Name	Nchar	25	No	No		
Suffix	Name Suffix	Nchar	10	Yes	No		

page 146 Attorney docket: **IMS 07-05-06**Application of: Kembel et al.

	(i.e. Jr., Sr., III, PhD.)						
Email	Email Address	Nvarchar		No	No		
Street1	First line of street address	Nvarchar		Yes	No		
Street2	Second line of street address	Nvarchar		Yes	No		
City	City	Nvarchar		Yes	No		
State	State	Nvarchar		Yes	No		
Country	Country	Nvarchar		Yes	No		
Birthdate	Birthdate	Datetime		Yes	No		
Sex	Sex (m, f, n)	Nchar		Yes	No		
Config	Last uploaded configuration dump	Nvarchar		No	No		
Config_Com pression	Compression format of the config content	Nchar	10	No	No		
Creation_Da te	Date of creation of the user	Datetime		No	No		
Modification _Date	Date of last activity	Datetime		No	No		

Patent Application

WHAT IS CLAIMED IS:

1. A physical memory device having stored thereon a computer software

component comprising:

a definition of a graphical user interface within which time-varying content in a

web browser-readable language may be presented;

a network reference to said time-varying content;

an element for causing said user interface to be rendered outside of and without

utilization of a web browser application;

an element for causing said time-varying content to be presented in said

graphical user interface; and

an element for modifying said graphical user interface in response to variations in

said time-varying content.

2. The physical memory device of claim 1, wherein said element for modifying said

graphical user interface in response to variations in said time-varying content modifies

an image forming a part of said graphical user interface.

page 148

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

Filed via EFS-Web on: 10/31/2007

1274

DISPLAYING TIME-VARYING INTERNET BASED DATA USING APPLICATION

**MEDIA PACKAGES** 

**ABSTRACT** 

A software component for accessing and displaying time-varying Internet content

includes a definition for rendering a graphical user interface and a URL pointing to the

time-varying Internet content to be downloaded and presented within said user interface.

A component provider may create displays of Internet content which vary as a function

of the varying of the time-varying Internet content. In one example, the graphical user

interface includes an image, and the image varies in order to illustrate the varying of the

time-varying data.

page 149

Attorney docket: IMS 07-05-06

Application of: Kembel et al.

1275

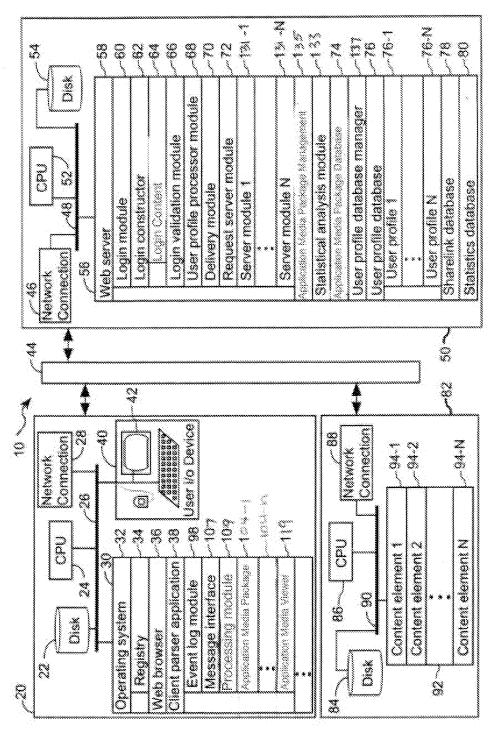


Fig. 1

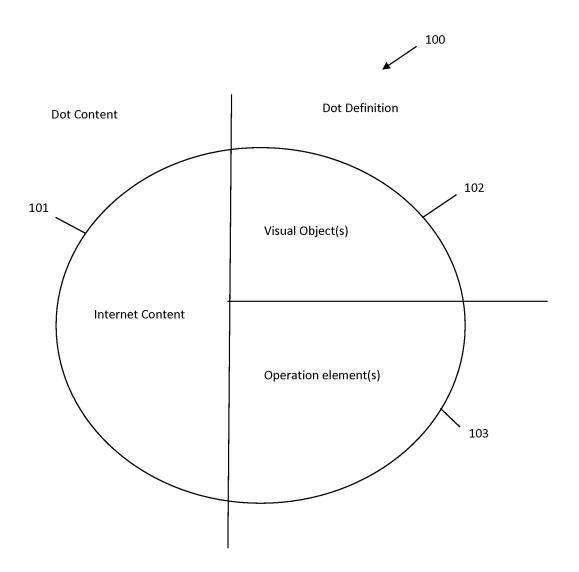


Fig. 2

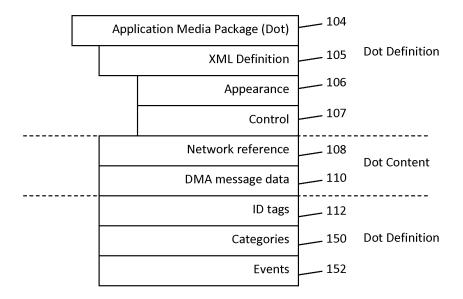


Fig. 3

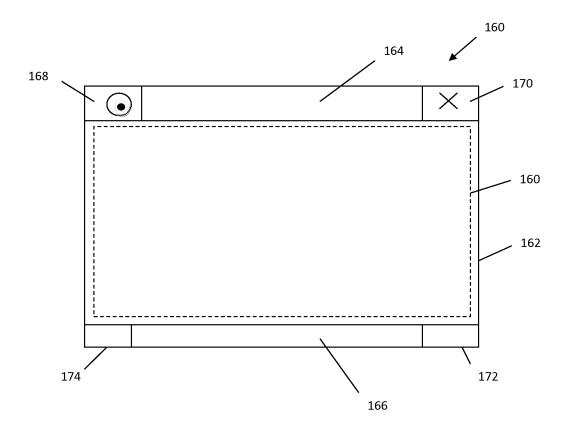


Fig. 4

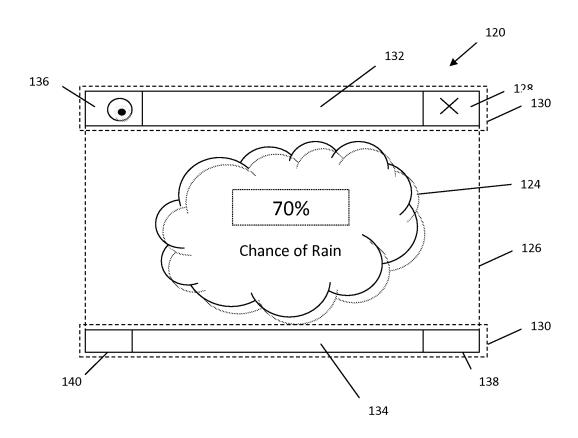


Fig. 5

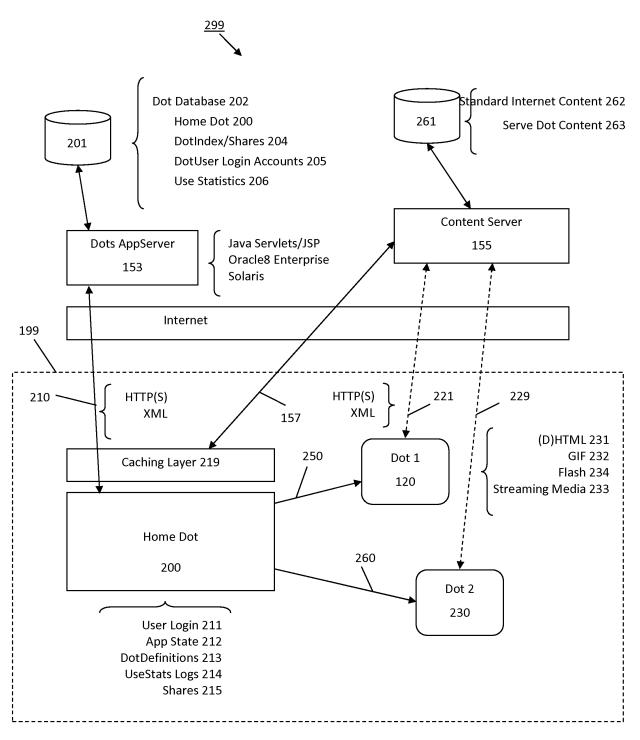


Fig. 6

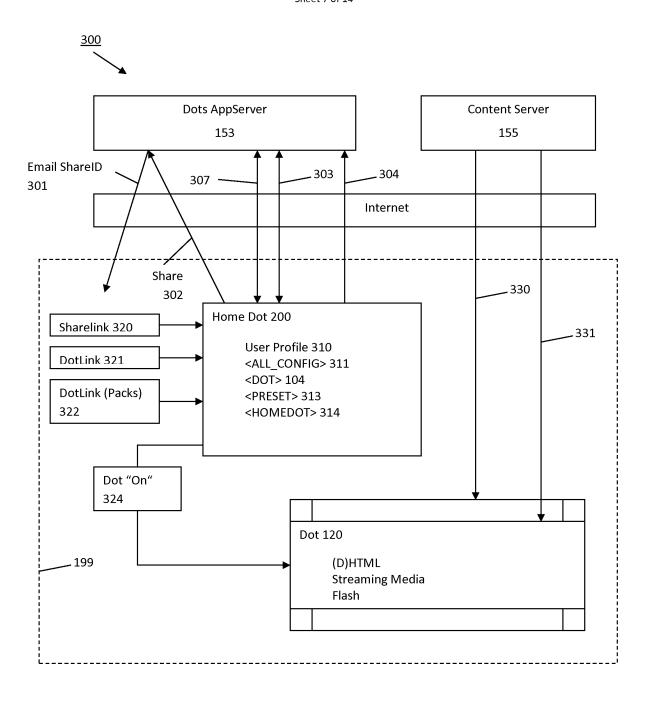


Fig. 7

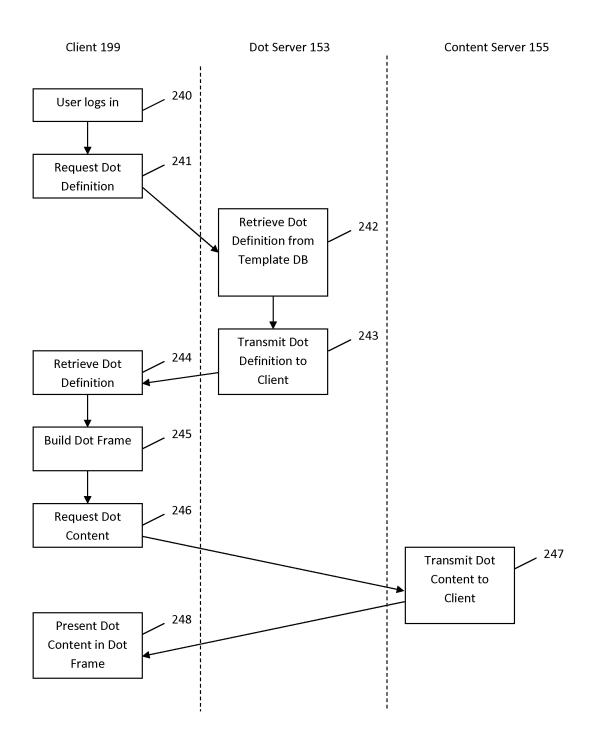


Fig. 8

500 >

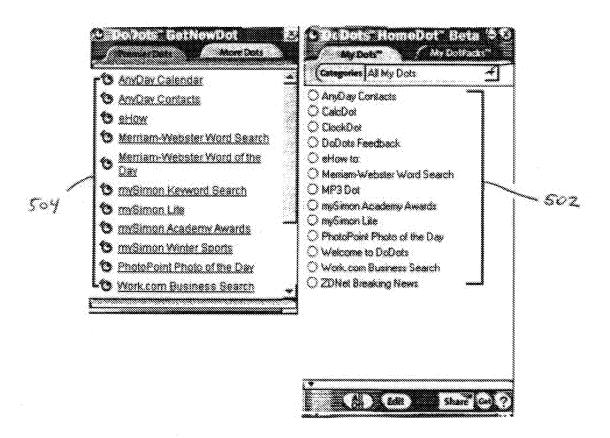


Fig. 9

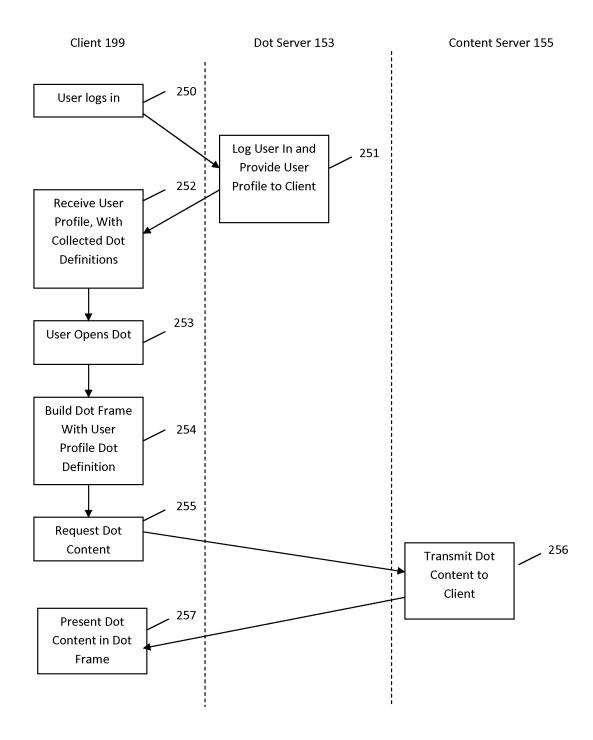


Fig. 10

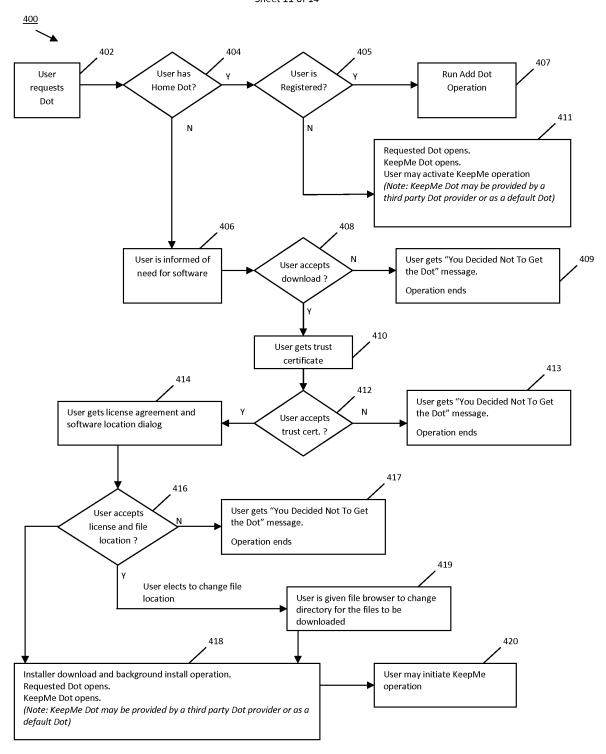
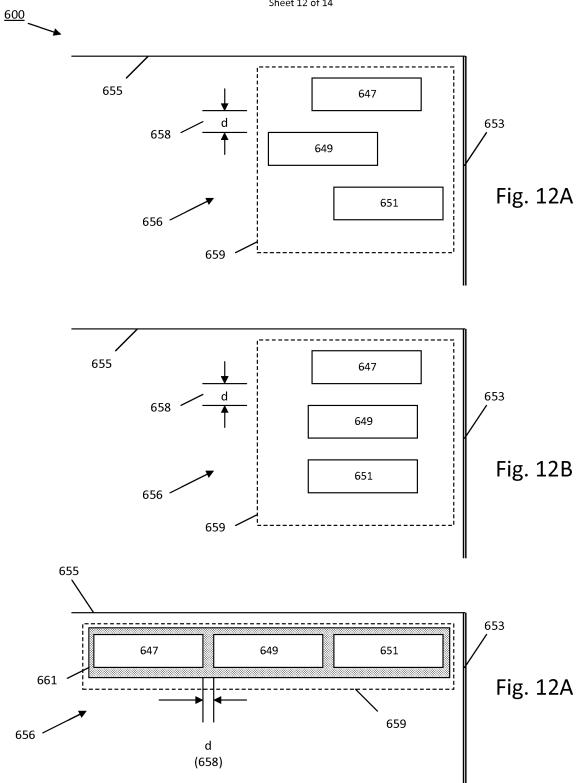


Fig. 11



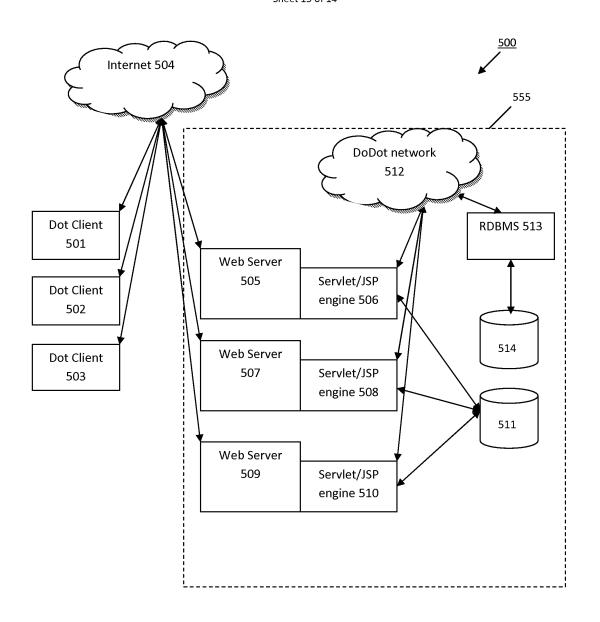


Fig. 13

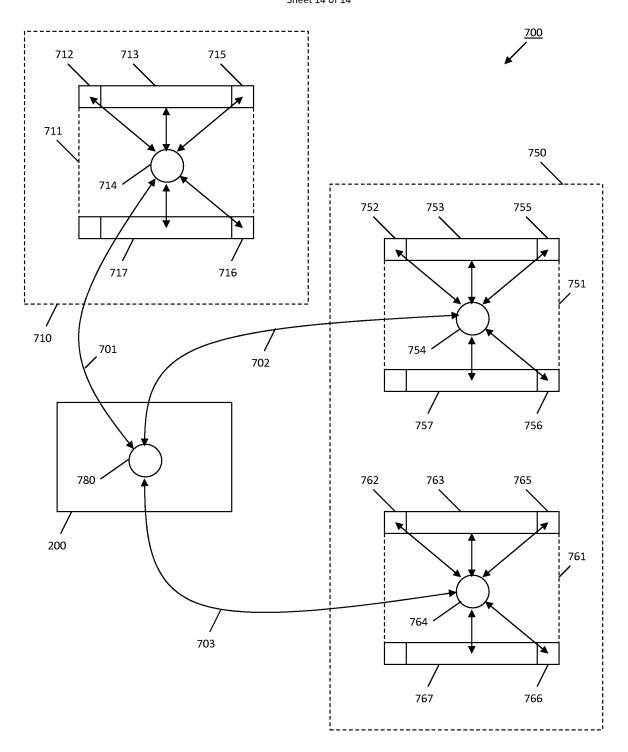


Fig. 14

Electronic Patent A	Electronic Patent Application Fee Transmittal						
Application Number:							
Filing Date:							
Title of Invention:		Displaying Time-Varying Internet Based Data Using Media Application Packages					
First Named Inventor/Applicant Name:	Jo	hn Albert Kembel					
Filer:	Jonathan Andrew Small						
Attorney Docket Number:	IMS 07-05-06						
Filed as Small Entity							
Utility Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Utility filing Fee (Electronic filing)		4011	1	75	75		
Utility Search Fee		2111	1	255	255		
Utility Examination Fee		2311	1	105	105		
Pages:							
Utility Appl Size fee per 50 sheets >100		2081	1	130	130		
Claims:							
Miscellaneous-Filing:							
Petition:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tota	al in USE	(\$)	565

Electronic Acl	knowledgement Receipt
EFS ID:	2408986
Application Number:	11932553
International Application Number:	
Confirmation Number:	2604
Title of Invention:	Displaying Time-Varying Internet Based Data Using Media Application Packages
First Named Inventor/Applicant Name:	John Albert Kembel
Customer Number:	43785
Filer:	Jonathan Andrew Small
Filer Authorized By:	
Attorney Docket Number:	IMS 07-05-06
Receipt Date:	31-OCT-2007
Filing Date:	
Time Stamp:	18:46:13
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes) /Message Digest	Multi Part /.zip	Pages (if appl.)
1		IMS 07 05 06 Spec.pdf	776000	V/00	149
'		IIVI3_07_05_06_3pec.pdi	pec.pdf yes  28e22a0dffea06e2tdea5d29e15627db e4e98tc	149	

	Multipa	rt Description/PDF files in	.zip description			
	Document De	scription	Start	End		
	Specifical	tion	1	1	47	
	Claims	148	1	48		
	Abstrac	149	1	49		
Warnings:						
Information:						
2	Drawings-only black and white line	IMS_07_05_06_Dwgs.pdf	188208	no	14	
_	drawings		1365254c39c16ddc16f5fac4fb67724640 a09370	1.10		
Warnings:			•			
Information:						
3	Fee Worksheet (PTO-06)	fee-info.pdf	8504	no	2	
	1 30 11011011001 (1 1 0 00)	100 1110.pai	8a83a7414e79818715f6fa57fd9d4d512 9f52115	110		
Warnings:						
Information:						
		Total Files Size (in bytes)	97	2712		

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## New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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		ENT APPLICA	TION		MINATION REC -875				Applicatio	n or Docket Numb 1932,553	
	Al	PPLICATION		ED – PART column 1)	(Column 2)		SMALL ENTITY			OTHER THAN SMALL ENTITY	
	E01	<b>.</b>	NIIA	1BER FILED	NUMBER EXTRA		ATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)
FOR BASIC FEE		<u> </u>	NON	N/A	N/A	<del>                                     </del>	N/A	75		N/A	122(4)
	FR 1.16(a), (b), o	r (c))	ļ	IN/A		ļ			ł	ļ	
	RCH FEE CFR 1.16(k), (i), or	(m))		N/A	N/A		N/A	255		N/A	
ζA	MINATION FEE			N/A	N/A		N/A	105		N/A	
	CFR 1.16(o), (p), o AL CLAIMS	r (q))	<del>   </del>			<u> </u>	25-		1	X 50=	
7 (	CFR 1.16(i))		2	minus 20 =	•	×	25=	9545	OR	X 50=	
	PENDENT CLAIN CFR 1.16(h))	<b>MS</b>	1	minus 3 =	*	×	105=			X 210=	
PP EE	LICATION SIZE		sheets o \$260 (\$1 50 sheet	ecification and dra f paper, the appli				130			
— IUI	TIPLE DEPEN	DENT CLAIM PRE	ESENT (3	37 CFR 1.16(j))			N/A			N/A	
_		column 1 is less th				-	TOTAL	565		TOTAL	
_		(Column 1) CLAIMS		(Column 2) HIGHEST	(Column 3)		SMALL E	NTITY ADDI-	OR	OTHER SMALL E	
CHICAGONICIO A		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	R	RATE (\$)	TIONAL FEE (\$)		RATE (\$)	TIONAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=	x	=		OR	x =	
	Independent	*	Minus	***	=	x	=		OR	x =	
	(37 CFR 1.16(h)) Application Siz	e Fee (37 CFR 1.	16(s))			1			1 📉	<b> </b>	
		TATION OF MULTIF		NDENT CLAIM (	37 CFR 1.16(j))		N/A		OR	N/A	
	.,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,					TOTA ADD			OR	TOTAL ADD'T FEE	
	<del>,                                    </del>	(Column 1)		(Column 2)	(Column 3)				OR		
1		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	R	ATE (\$)	ADDI- TIONAL FEE (\$)		RATE (\$)	ADDI- TIONAL FEE (\$)
	Total (37 CFR 1.16(i))	*	Minus	**	=	×	=		OR	x =	
	Independent (37 CFR 1.16(h))	*	Minus	<b>東東東</b>	=	×	=		OR	x =	
		e Fee (37 CFR 1.	16(s))								
	FIRST PRESENT	TATION OF MULTIF	LE DEPE	NDENT CLAIM (	37 CFR 1.16(j))		N/A		OR	N/A	
						TOTA ADD	AL T FEE		OR	TOTAL ADD'T FEE	
* **	If the "Highest I	Number Previousl Number Previousl	y Paid Fo	or" IN THIS SPA or" IN THIS SPA	, write "0" in column 3 ACE is less than 20, e ACE is less than 3, er ependent) is the highe	i. enter "20" nter "3".	·	e appropriate t	oox in col		

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