

Paper No. \_\_\_\_\_  
Filed: November 21, 2022

UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

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SAMSUNG ELECTRONICS CO., LTD. ET AL.,  
PETITIONER

v.

CALIFORNIA INSTITUTE OF TECHNOLOGY,  
PATENT OWNER

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Case No. IPR2023-00133  
Patent No. 7,421,032

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**PATENT OWNER'S MANDATORY NOTICES  
UNDER 37 C.F.R. § 42.8**

Pursuant to 37 C.F.R. § 42.8, the undersigned on behalf of and acting in a representative capacity for California Institute of Technology (“Patent Owner”), hereby submits the following mandatory notices in connection with the petition for *inter partes* review of U.S. Patent No. 7,421,032, Case IPR2023-00133.

**A. Real Party-In-Interest (37 C.F.R. § 42.8(b)(1))**

Patent Owner identifies California Institute of Technology as the real party-in-interest.

**B. Related Matters (37 C.F.R. § 42.8(b)(2))**

Patent Owner identifies the following related matters:

*California Institute of Technology v. Samsung Electronics Co., Ltd.*, No. 2-21-cv-00446 (E.D. Tex.) (alleging infringement of the ’032 patent and also U.S. Patent Nos. 7,116,710; 7,916,781; and 8,284,833) (“E.D. Texas Litigation”).

*California Institute of Technology v. Microsoft Corp.*, No. 6-21-cv-00276 (W.D. Tex.).

*California Institute of Technology v. HP Inc. f/k/a/ Hewlett-Packard Co.*, No. 6-20-cv-01041 (W.D. Tex.).

*California Institute of Technology v. Dell Technologies Inc.*, No. 6-20-cv01042 (W.D. Tex.).

*California Institute of Technology v. Broadcom Ltd.*, No. 2-16-cv-03714  
(C.D. Cal.).

*California Institute of Technology v. Hughes Communications, Inc.*, No.  
2-15-cv-01108 (C.D. Cal.).

*California Institute of Technology v. Hughes Communications, Inc.*, No.  
2-13-cv-07245 (C.D. Cal.).

*Samsung Electronics Co., Ltd. v. California Institute of Technology*,  
IPR2023-00130 (PTAB).

*Samsung Electronics Co., Ltd. v. California Institute of Technology*,  
IPR2023-00131 (PTAB).

*Samsung Electronics Co., Ltd. v. California Institute of Technology*,  
IPR2023-00137 (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00210 (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00211 (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00219 (PTAB).

*Apple Inc v. California Institute of Technology*, IPR2017-00297, (PTAB).

*Apple Inc v. California Institute of Technology*, IPR2017-00423, (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00700 (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00701 (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00702 (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00703 (PTAB).

*Apple Inc. v. California Institute of Technology*, IPR2017-00728 (PTAB).

*Hughes Network Systems, LLC et al. v. California Institute of Technology*,  
IPR2015-00059 (PTAB).

*Hughes Network Systems, LLC et al. v. California Institute of Technology*,  
IPR2015-00060 (PTAB).

*Hughes Network Systems, LLC et al. v. California Institute of Technology*,  
IPR2015-00061 (PTAB).

*Hughes Communications, Inc. v. California Institute of Technology*,  
IPR2015-00067 (PTAB).

*Hughes Communications, Inc. v. California Institute of Technology*,  
IPR2015-00068 (PTAB).

*Hughes Communications, Inc. v. California Institute of Technology*,  
IPR2015-00081 (PTAB).

**C. Lead and Back-up Counsel (37 C.F.R. § 42.8(b)(3))**

Patent Owner provides the following designation of counsel:

Lead Counsel	Back-Up Counsel
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A power of attorney accompanies this notice.

**D. Electronic Service (37 C.F.R. § 42.8(b)(4))**

Patent Owner consents to electronic service by email at the email addresses provided above.

Respectfully submitted,

Date: November 21, 2022

/ Michael T. Rosato /  
Michael T. Rosato, Lead Counsel  
Reg. No. 52,182

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