| | Electronically rileu | | |
|------------------------------|------------------------------|----------------------------------|--|
| PRELIMINARY | Attorney Docket No. | REGN-008CIPCON8 | |
| AMENDMENT | Confirmation No. | To Be Assigned | |
| Under CFR 1.115 | First Named Inventor | YANCOPOULOS, GEORGE D. | |
| | Application Number | To Be Assigned | |
| Address to: | Filing Date | December 4, 2020 | |
| Mail Stop Patent Application | Group Art Unit | To Be Assigned | |
| Commissioner for Patents | Examiner Name | To Be Assigned | |
| P.O. Box 1450 | Title: <i>"Use of a VEGI</i> | F Antagonist to Treat Angiogenic | |
| Alexandria, VA 22313-1450 | Eye Disorders" | | |

Electronically Filed

Sir:

Prior to the examination of the above-referenced application on the merits, please enter the amendments below.

| Electronic Patent Application Fee Transmittal | | | | | |
|---|--|----------------------|-----------|--------|-------------------------|
| Application Number: | | | | | |
| Filing Date: | | | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | | |
| Filer: | Kai | rl Bozicevic/Kimberl | y Zuehlke | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | | |
| Filed as Large Entity | | | | | |
| Filing Fees for Utility under 35 USC 111(a) | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: | | | | | |
| UTILITY APPLICATION FILING | | 1011 | 1 | 320 | 320 |
| UTILITY SEARCH FEE | | 1111 | 1 | 700 | 700 |
| UTILITY EXAMINATION FEE | | 1311 | 1 | 800 | 800 |
| Pages: | | | | | |
| Claims: | | | | | |
| CLAIMS IN EXCESS OF 20 | | 1202 | 14 | 100 | 1400 |
| Miscellaneous-Filing: | | | | | |
| PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL | | 1504 | 1 | 0 | 0 |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
|-----------------------------------|----------|-----------|--------|-------------------------|
| Petition: | | | | |
| Patent-Appeals-and-Interference: | | | | |
| Post-Allowance-and-Post-Issuance: | | | | |
| Extension-of-Time: | | | | |
| Miscellaneous: | | | | |
| | Tot | al in USD | (\$) | 3220 |
| | | | | |

| Electronic Ac | Electronic Acknowledgement Receipt | | | | |
|--------------------------------------|--|--|--|--|--|
| EFS ID: | 41300278 | | | | |
| Application Number: | 17112404 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 6437 | | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | | |
| Customer Number: | 96387 | | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | | |
| Filer Authorized By: | Karl Bozicevic | | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | | |
| Receipt Date: | 04-DEC-2020 | | | | |
| Filing Date: | | | | | |
| Time Stamp: | 16:31:58 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |

Payment information:

| yes |
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| CARD |
| \$3220 |
| E2020B4G32557926 |
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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

| File Listin | g: | | | | |
|--------------------|---|---|--|---------------------|---------------------|
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| | | | 151307 | | |
| 1 | Application Data Sheet | WebADS.pdf | 68fd41ad269ca7198435d76f7d15f3b7c3aa 6ae3 | no | 9 |
| Warnings: | | | | | |
| Information | | | | | |
| | | | 159218 | | |
| 2 | | REGN-008CIPCON8_2020-12-04 _AppIn_as_fld.pdf | 293ce6dfc9eb3761c15971129cabf5f81f086 b7c | yes | 25 |
| | Multip | bart Description/PDF files in . | zip description | | |
| | Document De | Start | E | nd | |
| | Abstrac | 25 | 25 | | |
| | Claims | 23 | 24 | | |
| | Sequence L | 1 | 22 | | |
| Warnings: | | | | | |
| Information | | | | | |
| | | | 105393 | | |
| 3 | Drawings-only black and white line drawings | REGN-008CIPCON8_Figure.pdf | 2d582f645d0c5d17d717e589b029a393319 91bdb | no | 1 |
| Warnings: | | | | • | |
| | n the PDF is too large. The pages should be pper and may affect subsequent processin | | tted, the pages will be res | sized upon en | try into the |
| Information | | | | | |
| | | | 173097 | | |
| 4 | Oath or Declaration filed | REGN-008CIPCON8_declaration .pdf | 6bda7272374e6af80c8c3d8cf30d012e4657 b588 | no | 2 |
| Warnings: | | · | | | |
| | n the PDF is too large. The pages should be pper and may affect subsequent processin | | tted, the pages will be res | sized upon en | try into the |
| Information | | | | | |

| | | | 52869 | | |
|--------------------------|---|---|--|-----|----|
| 5 | Transmittal Letter | REGN-008CIPCON8_2020-12-04 _IDS_Trans.pdf | d285b8c23ecbddbb96ae48c3a860b0219c 9a16a6 | no | 3 |
| Warnings: | | | <u> </u> | I | |
| Information | | | | | |
| | | | 194811 | | |
| 6 | Information Disclosure Statement (IDS) Form (SB08) | REGN-008CIPCON8_2020-12-04 _IDS_SB08A.pdf | 20ad46295cde0a8a3498e3c1afbb6bd4809 70c99 | no | 18 |
| Warnings: | | | <u> </u> | I | |
| Information | 1 | | | | |
| This is not an L | ISPTO supplied IDS fillable form | | | | |
| | | | 83081 | | |
| 7 | | REGN-008CIPCON8_2020-12-04 _Prelim_Amend.pdf | | yes | 9 |
| | 8416 | | | | |
| | | part Description/PDF files in . | | | |
| | Document Des | Start | E1 | nd | |
| | Applicant Arguments/Remarks | 7 | 9 | | |
| | Claims | | 2 | 6 | |
| | Preliminary Am | endment | 1 | | 1 |
| Warnings: | | | | | |
| Information | 1 | | | | |
| | | | 6397 | | |
| 8 | Sequence Listing (Text File) | REGN-008CIPCON8_SeqList.txt | | no | - |
| Warnings: | | | <u> </u> | | |
| Information | | | | | |
| | | | 38432 | | |
| 9 | Fee Worksheet (SB06) | fee-info.pdf | 7dcfd3752a99e8e79c7866b776e51d5e170 2ef19 | no | 2 |
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| Warnings: | | | | | |
| Warnings: Information | · · · · · · · · · · · · · · · · · · · | | | | |

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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

| Application Dat | a Sheet 37 CFR 1.76 | Attorney Docket Number | REGN-008CIPCON8 | |
|--|--|------------------------|-----------------|--|
| | a Sheet S7 CFR 1.70 | Application Number | | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | |
| The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. | | | | |

Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

Inventor Information:

| Invent | or 1 | | | | | | | |
|---------|---|-------------------|-------------------------|--------------|-------------|-----------------------------|-----------------------|--------|
| Legal N | lame | | | | | | | |
| Prefix | Given Nam | 2 | Middle Name | 2 | | Family Name | | Suffix |
| | George | | | | | YANCOPOULOS | | |
| Reside | ence Informat | tion (Select One) | US Residency | () N | on US Resid | dency 🔿 Activ | e US Military Service | |
| City | Yorktown Hei | ghts | State/Province | NY | Country | y of Residence ⁱ | US | |
| | | | | | | | 1 | |
| Mailing | Address of In | ventor: | | | | | | |
| | | | | | | | | |
| Addres | ss 1 | c/o Regenero | on Pharmaceuticals, Inc | • | | | | |
| Addres | ss 2 | 777 Old Saw | Mill River Road | | | | | |
| City | Tarryto | own | wn | | | ince NY | | |
| Postal | Postal Code 10591 | | | Country i US | | | | |
| | All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. | | | | | | | |

Correspondence Information:

| Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a). | | | | |
|---|-------------------|------------------------|--|--|
| An Address is being provided for the correspondence Information of this application. | | | | |
| Customer Number 96387 | | | | |
| Email Address | docket@bozpat.com | Add Email Remove Email | | |

Application Information:

| Title of the Invention | USE OF A VEGF ANTA | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | | |
|---------------------------|--------------------|--|--------------------------------------|------|---|--|
| Attorney Docket Number | REGN-008CIPCON8 | REGN-008CIPCON8 Small Entity Status Claimed | | | | |
| Application Type | Nonprovisional | Nonprovisional | | | | |
| Subject Matter | Utility | Jtility | | | | |
| Total Number of Drawing S | iheets (if any) | 1 | Suggested Figure for Publication (if | any) | 1 | |

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| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | REGN-008CIPCON8 |
|------------------------------------|-----------------------------|-------------------------------|-----------------|
| Application Dat | a Sheet S7 CFR 1.70 | Application Number | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO |) TREAT ANGIOGENIC EYE DISORD | ERS |

Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

| Application number of the previously filed application | Filing date (YYYY-MM-DD) | Intellectual Property Authority or Country |
|--|--------------------------|--|
| | | |

Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
 Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C.
 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

| Please Select C | elect One: 💿 Customer Number 🔿 U | | O US Patent Practitioner O Limited Recognition (37 CFR 11 | | | on (37 CFR 11.9) | |
|--|----------------------------------|----------------|---|-------------|---------------------|------------------|--------|
| Customer Nun | nber | 96387 | | | | | |
| Prefix | Given Na | ame | Middle Nar | ne | Family Name | Suffix | Remove |
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| Registration N | umber | | · | | • | ł | |
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| Application Dat | a Sheet 37 CFR 1.76 | Attorney Docket Number | REGN-008CIPCON8 |
|--------------------|--|------------------------|-----------------|
| | | Application Number | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | ERS |

Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78. When referring to the current application, please leave the "Application Number" field blank. Remove **Prior Application Status** Pending Filing or 371(c) Date **Application Number Continuity Type Prior Application Number** (YYYY-MM-DD) Continuation of 17072417 2020-10-16 **Prior Application Status** Patented Remove Issue Date Prior Application Filing Date Application **Continuity Type** Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 17072417 16055847 2018-08-06 10857205 2020-12-08 Continuation of Remove Prior Application Status Pending Filing or 371(c) Date **Application Number Continuity Type Prior Application Number** (YYYY-MM-DD) 17072417 Continuation of 16397267 2019-04-29 **Prior Application Status** Patented Remove Issue Date Application Prior Application **Filing Date Continuity Type** Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 16397267 16159282 2018-10-12 10828345 2020-11-10 Continuation of **Prior Application Status** Patented Remove Prior Application Filing Date Issue Date Application **Continuity Type** Patent Number Number Number (YYYY-MM-DD) (YYYY-MM-DD) 16159282 15471506 2017-03-28 10130681 2018-11-20 Continuation of **Prior Application Status** Remove Patented Issue Date Application Prior Application Filing Date **Continuity Type** Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 14972560 15471506 Continuation of 2015-12-17 9669069 2017-06-06 **Prior Application Status** Remove Patented Issue Date Application Prior Application Filing Date **Continuity Type** Patent Number (YYYY-MM-DD) Number Number (YYYY-MM-DD) 14972560 Continuation of 13940370 2013-07-13 9254338 2016-02-09

PTO/AIA/14 (08-15) Approved for use through 04/30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | REGN-008CIPCON8 |
|------------------------------------|-----------------------------|-----------------------------|-----------------|
| | | Application Number | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO | TREAT ANGIOGENIC EYE DISORD | ERS |

| Prior Application Status | Expired | | Remove |
|--------------------------|-------------------------------|--------------------------|---------------------------------------|
| Application Number | Continuity Type | Prior Application Number | Filing or 371(c) Date (YYYY-MM-DD) |
| 13940370 | Continuation in part of | PCT/US2012/020855 | 2012-01-11 |
| Prior Application Status | Expired | | Remove |
| Application Number | Continuity Type | Prior Application Number | Filing or 371(c) Date (YYYY-MM-DD) |
| PCT/US2012/020855 | Claims benefit of provisional | 61432245 | 2011-01-13 |
| Prior Application Status | Expired | | Remove |
| Application Number | Continuity Type | Prior Application Number | Filing or 371(c) Date (YYYY-MM-DD) |
| PCT/US2012/020855 | Claims benefit of provisional | 61434836 | 2011-01-21 |
| Prior Application Status | Expired | | Remove |
| Application Number | Continuity Type | Prior Application Number | Filing or 371(c) Date (YYYY-MM-DD) |
| PCT/US2012/020855 | Claims benefit of provisional | 61561957 | 2011-11-21 |

selecting the **Add** button.

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

| Application Number | Country ⁱ | Filing Date (YYYY-MM-DD) | Access Code ⁱ (if applicable) | |
|--|----------------------|--------------------------|--|--|
| | US | | | |
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Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

WEB ADS 1.0

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| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | REGN-008CIPCON8 | |
|---|--|------------------------|-----------------|--|
| | | Application Number | | |
| Title of Invention | n USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | |
| This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also | | | | |

contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | REGN-008CIPCON8 | |
|------------------------------------|--|------------------------|-----------------|--|
| | Application Data Sheet 37 CFN 1.70 | | | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | ERS | |

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant **must opt-out** of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. <u>Priority Document Exchange (PDX)</u> - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h)(1).

B. <u>Search Results from U.S. Application to EPO</u> - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

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| Application Dat | a Sheet 37 CFR 1.76 | Attorney Docket Number | REGN-008CIPCON8 |
|--------------------|--|------------------------|-----------------|
| | | Application Number | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDI | | ERS |

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

| information to name and ado sufficient prop person to who | t is the inventor be provided in Iress of the assig prietary interest i om the inventor i | this section nee, persor n the matte s obligated | is the name and address of the le to whom the inventor is under a er who is the applicant under 37 C to assign, or person who otherwi inventors who are also the applica | gal representative who is n obligation to assign the FR 1.46. If the applicant is ise shows sufficient propr ant should be identified i | section should not be completed. This the applicant under 37 CFR 1.43; on the invention, or person who otherwis is an applicant under 37 CFR 1.46 (as prietary interest) together with one o in this section. | r the se shows ssignee, |
|--|---|---|--|---|---|-------------------------------|
| Assignee | | | C Legal Representative unde | er 35 U.S.C. 117 | Joint Inventor | |
| Person to | whom the inve | ntor is oblig | pated to assign. | Person who show | ows sufficient proprietary interest | |
| If applicant i | s the legal repr | esentative | , indicate the authority to file 1 | the patent application, | n, the inventor is: | |
| | | | | | | |
| Name of the | Deceased or L | egally Inca | apacitated Inventor: | | | |
| lf the Appli | cant is an Orga | nization c | heck here. | | | |
| Organizatio | on Name | REGENERO | N PHARMACEUTICALS, INC. | | | |
| Mailing Ac | dress Informa | tion For <i>I</i> | Applicant: | | | |
| Address 1 | | 777 OI | d Saw Mill River Road | | | |
| Address 2 | | | | | | |
| City | | Tarryte | own | State/Province | NY | |
| Country ⁱ US | | Postal Code | 10591 | | | |
| Phone Number | | | Fax Number | | | |
| Email Address | | | · | | | |
| Additional A | pplicant Data r | nay be ge | nerated within this form by sel | ecting the Add button | n. | |

| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | REGN-008CIPCON8 | | |
|------------------------------------|--|------------------------|-----------------|--|--|
| | | Application Number | | | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | ERS | | |

Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

| publication. An a | ssignee-applicar | t identified in the "Applicant I | nformation" section will appear on | sired to be included on the patent applicat the patent application publication as an so desired on the patent application | | |
|------------------------------------|------------------|----------------------------------|------------------------------------|---|--|--|
| If the Assignee | e or Non-Applic | cant Assignee is an Organiz | ation check here. | | | |
| Organization Name REG | | GENERON PHARMACEUTICAL | ENERON PHARMACEUTICALS, INC. | | | |
| Mailing Addre | ss Informatior | n For Assignee including N | Ion-Applicant Assignee: | | | |
| Address 1 | | 777 Old Saw Mill River Roa | 777 Old Saw Mill River Road | | | |
| Address 2 | | | | | | |
| City | | Tarrytown | State/Province | NY | | |
| Country i | US | | Postal Code | 10591 | | |
| Phone Number | | | Fax Number | | | |
| Email Address | | | | - 1 | | |
| Additional Assi selecting the A | | pplicant Assignee Data ma | y be generated within this form | by | | |

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| Application Data Sheet 37 CFR 1.76 | | Attorney Docket Number | REGN-008CIPCON8 |
|------------------------------------|--|------------------------|-----------------|
| | | Application Number | |
| Title of Invention | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | ERS |

Signature:

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ABSTRACT

The present invention provides methods for treating angiogenic eye disorders by sequentially administering multiple doses of a VEGF antagonist to a patient. The methods of the present invention include the administration of multiple doses of a VEGF antagonist to a patient at a frequency of once every 8 or more weeks. The methods of the present invention are useful for the treatment of angiogenic eye disorders such as age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

What is claimed is:

1. A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 2 to 4 weeks after the immediately preceding dose; and

wherein each tertiary dose is administered at least 8 weeks after the immediately preceding dose.

2. The method of claim 1, wherein only a single secondary dose is administered to the patient, and wherein the single secondary dose is administered 4 weeks after the initial dose of the VEGF antagonist.

3. The method of claim 1, wherein only two secondary doses are administered to the patient, and wherein each secondary dose is administered 4 weeks after the immediately preceding dose.

4. The method of claim 3, wherein each tertiary dose is administered 8 weeks after the immediately preceding dose.

5. The method of claim 1, wherein at least 5 tertiary doses of the VEGF antagonist are administered to the patient, and wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered 8 or 12 weeks after the immediately preceding dose.

6. The method of claim 1, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

7. The method of claim 6, wherein the angiogenic eye disorder is age related macular degeneration.

8. The method of claim 1, wherein the VEGF antagonist is an anti-VEGF antibody or fragment thereof, an anti-VEGF receptor antibody or fragment thereof, or a VEGF receptor-based chimeric molecule.

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9. The method of claim 8, wherein the VEGF antagonist is a VEGF receptor-based chimeric molecule.

10. The method of claim 9, wherein the VEGF receptor-based chimeric molecule comprises VEGFR1R2-Fc Δ C1(a) encoded by the nucleic acid sequence of SEQ ID NO:1.

11. The method of claim 9, wherein the VEGF receptor-based chimeric molecule comprises (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130-231 of SEQ ID NO:2; and (3) a multimerization component comprising amino acids 232-457 of SEQ ID NO:2.

12. The method of claim 1, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.

13. The method of claim 12, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.

14. The method of claim 13, wherein the intraocular administration is intravitreal administration.

15. The method of claim 11, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.

16. The method of claim 15, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.

17. The method of claim 16, wherein the intraocular administration is intravitreal administration.

18. The method of claim 17, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.

19. The method of claim 18, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

20. The method of claim 18, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.

USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

CROSS-REFERENCE TO RELATED APPLICATIONS

[0001] This application is a continuation of 17/072,417 filed October 16, 2020 which is a continuation of 16/055,847 filed August 6, 2018, now U.S. Patent 10,857,205 issued December 8, 2020 and is a continuation of 16/397,267 filed April 29, 2019, which is a continuation of 16/159,282 filed October 12, 2018, now U.S. Patent No. 10,828,345 issued November 10, 2020, which is a continuation of 15/471,506 filed March 28, 2017, now U.S. Patent No. 10,130,681 issued November 20, 2018, which is a continuation of 14/972,560 filed December 17, 2015, now U.S. Patent No. 9,669,069 issued June 6, 2017, which is a continuation of 13/940,370 filed July 12, 2013, now U.S. Patent No. 9,254,338 issued February 9, 2016, which is a continuation-in-part of International Patent Application No. PCT/US2012/020855, filed on January 11, 2012, which claims the benefit of US Provisional Application Nos. 61/432,245, filed on January 13, 2011, 61/434,836, filed on January 21, 2011, and 61/561,957, filed on November 21, 2011, the contents of which are hereby incorporated by reference in their entireties.

FIELD OF THE INVENTION

[0002] The present invention relates to the field of therapeutic treatments of eye disorders. More specifically, the invention relates to the administration of VEGF antagonists to treat eye disorders caused by or associated with angiogenesis.

BACKGROUND

[0003] Several eye disorders are associated with pathological angiogenesis. For example, the development of age-related macular degeneration (AMD) is associated with a process called choroidal neovascularization (CNV). Leakage from the CNV causes macular edema and collection of fluid beneath the macula resulting in vision loss. Diabetic macular edema (DME) is another eye disorder with an angiogenic component. DME is the most prevalent cause of moderate vision loss in patients with diabetes and is a common complication of diabetic retinopathy, a disease affecting the blood vessels of the retina. Clinically significant DME occurs when fluid leaks into the center of the macula, the light-sensitive part of the retina responsible for sharp, direct vision. Fluid in the macula can cause severe vision loss or blindness. Yet another eye disorder associated with abnormal angiogenesis is central retinal vein occlusion (CRVO). CRVO is caused by obstruction of the central retinal vein that leads to a back-up of blood and fluid in the retina. The retina can also become ischemic, resulting in the growth of new, inappropriate blood vessels that can cause further vision loss and more serious complications. Release of vascular endothelial growth factor (VEGF) contributes to increased vascular permeability in the eye and inappropriate new vessel growth.

Thus, inhibiting the angiogenic-promoting properties of VEGF appears to be an effective strategy for treating angiogenic eye disorders.

[0004] FDA-approved treatments of angiogenic eye disorders such as AMD and CRVO include the administration of an anti-VEGF antibody called ranibizumab (Lucentis®, Genentech, Inc.) on a monthly basis by intravitreal injection.

[0005] Methods for treating eye disorders using VEGF antagonists are mentioned in, *e.g.*, US 7,303,746; US 7,306,799; US 7,300,563; US 7,303,748; and US 2007/0190058. Nonetheless, there remains a need in the art for new administration regimens for angiogenic eye disorders, especially those which allow for less frequent dosing while maintaining a high level of efficacy.

BRIEF SUMMARY OF THE INVENTION

The present invention provides methods for treating angiogenic eye disorders. The [0006] methods of the invention comprise sequentially administering multiple doses of a VEGF antagonist to a patient over time. In particular, the methods of the invention comprise sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonists. The present inventors have surprisingly discovered that beneficial therapeutic effects can be achieved in patients suffering from angiogenic eye disorders by administering a VEGF antagonist to a patient at a frequency of once every 8 or more weeks, especially when such doses are preceded by about three doses administered to the patient at a frequency of about 2 to 4 weeks. Thus, according to the methods of the present invention, each secondary dose of VEGF antagonist is administered 2 to 4 weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 weeks after the immediately preceding dose. An example of a dosing regimen of the present invention is shown in Figure 1. One advantage of such a dosing regimen is that, for most of the course of treatment (*i.e.*, the tertiary doses), it allows for less frequent dosing (e.g., once every 8 weeks) compared to prior administration regimens for angiogenic eye disorders which require monthly administrations throughout the entire course of treatment. (See, e.g., prescribing information for Lucentis® [ranibizumab], Genentech, Inc.). [0007] The methods of the present invention can be used to treat any angiogenic eye disorder, including, e.g., age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, corneal neovascularization, etc.

[0008] The methods of the present invention comprise administering any VEGF antagonist to the patient. In one embodiment, the VEGF antagonist comprises one or more VEGF receptor-based chimeric molecule(s), (also referred to herein as a "VEGF-Trap" or "VEGFT"). An exemplary VEGF antagonist that can be used in the context of the present invention is a multimeric VEGF-binding

protein comprising two or more VEGF receptor-based chimeric molecules referred to herein as "VEGFR1R2-Fc Δ C1(a)" or "aflibercept."

[0009] Various administration routes are contemplated for use in the methods of the present invention, including, *e.g.*, topical administration or intraocular administration (*e.g.*, intravitreal administration).

[0010] Aflibercept (EYLEA[™], Regeneron Pharmaceuticals, Inc) was approved by the FDA in November 2011, for the treatment of patients with neovascular (wet) age-related macular degeneration, with a recommended dose of 2 mg administered by intravitreal injection every 4 weeks for the first three months, followed by 2 mg administered by intravitreal injection once every 8 weeks.

[0011] Other embodiments of the present invention will become apparent from a review of the ensuing detailed description.

BRIEF DESCRIPTION OF THE FIGURE

[0012] Figure 1 shows an exemplary dosing regimen of the present invention. In this regimen, a single "initial dose" of VEGF antagonist ("VEGFT") is administered at the beginning of the treatment regimen (*i.e.* at "week 0"), two "secondary doses" are administered at weeks 4 and 8, respectively, and at least six "tertiary doses" are administered once every 8 weeks thereafter, *i.e.*, at weeks 16, 24, 32, 40, 48, 56, etc.).

DETAILED DESCRIPTION

[0013] Before the present invention is described, it is to be understood that this invention is not limited to particular methods and experimental conditions described, as such methods and conditions may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only by the appended claims.

[0014] Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. As used herein, the term "about," when used in reference to a particular recited numerical value, means that the value may vary from the recited value by no more than 1%. For example, as used herein, the expression "about 100" includes 99 and 101 and all values in between (*e.g.*, 99.1, 99.2, 99.3, 99.4, etc.).

[0015] Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

DOSING REGIMENS

[0016] The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise sequentially administering to a patient multiple doses of a VEGF antagonist. As used herein, "sequentially administering" means that each dose of VEGF antagonist is administered to the patient at a different point in time, *e.g.*, on different days separated by a predetermined interval (*e.g.*, hours, days, weeks or months). The present invention includes methods which comprise sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist.

[0017] The terms "initial dose," "secondary doses," and "tertiary doses," refer to the temporal sequence of administration of the VEGF antagonist. Thus, the "initial dose" is the dose which is administered at the beginning of the treatment regimen (also referred to as the "baseline dose"); the "secondary doses" are the doses which are administered after the initial dose; and the "tertiary doses" are the doses which are administered after the secondary doses. The initial, secondary, and tertiary doses may all contain the same amount of VEGF antagonist, but will generally differ from one another in terms of frequency of administration. In certain embodiments, however, the amount of VEGF antagonist contained in the initial, secondary and/or tertiary doses will vary from one another (*e.g.*, adjusted up or down as appropriate) during the course of treatment.

[0018] In one exemplary embodiment of the present invention, each secondary dose is administered 2 to 4 (*e.g.*, 2, 2¹/₂, 3, 3¹/₂, or 4) weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 (*e.g.*, 8, 8¹/₂, 9, 9¹/₂, 10, 10¹/₂, 11, 11¹/₂, 12, 12¹/₂, 13, 13¹/₂, 14, 14¹/₂, or more) weeks after the immediately preceding dose. The phrase "the immediately preceding dose," as used herein, means, in a sequence of multiple administrations, the dose of VEGF antagonist which is administered to a patient prior to the administration of the very next dose in the sequence with no intervening doses.

[0019] In one exemplary embodiment of the present invention, a single initial dose of a VEGF antagonist is administered to a patient on the first day of the treatment regimen (*i.e.*, at week 0), followed by two secondary doses, each administered four weeks after the immediately preceding dose (*i.e.*, at week 4 and at week 8), followed by at least 5 tertiary doses, each administered eight weeks after the immediately preceding dose (*i.e.*, at weeks 16, 24, 32, 40 and 48). The tertiary doses may continue (at intervals of 8 or more weeks) indefinitely during the course of the treatment regimen. This exemplary administration regimen is depicted graphically in Figure 1.

[0020] The methods of the invention may comprise administering to a patient any number of secondary and/or tertiary doses of a VEGF antagonist. For example, in certain embodiments, only

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a single secondary dose is administered to the patient. In other embodiments, two or more (e.g., 2, 3, 4, 5, 6, 7, 8, or more) secondary doses are administered to the patient. Likewise, in certain embodiments, only a single tertiary dose is administered to the patient. In other embodiments, two or more (e.g., 2, 3, 4, 5, 6, 7, 8, or more) tertiary doses are administered to the patient. [0021] In embodiments involving multiple secondary doses, each secondary dose may be administered at the same frequency as the other secondary doses. For example, each secondary dose may be administered to the patient 4 weeks after the immediately preceding dose. Similarly, in embodiments involving multiple tertiary doses, each tertiary dose may be administered at the same frequency as the other tertiary doses. For example, each tertiary dose may be administered to the patient 8 weeks after the immediately preceding dose. Alternatively, the frequency at which the secondary and/or tertiary doses are administered to a patient can vary over the course of the treatment regimen. For example, the present invention includes methods which comprise administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by at least 5 tertiary doses of the VEGF antagonist, wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered from 8 to 12 (e.g., 8, $8\frac{1}{2}$, 9, $9\frac{1}{2}$, 10, $10\frac{1}{2}$, 11, $11\frac{1}{2}$, 12) weeks after the immediately preceding dose. The frequency of administration may also be adjusted during the course of treatment by a physician depending on the needs of the individual patient following clinical examination.

VEGF ANTAGONISTS

[0022] The methods of the present invention comprise administering to a patient a VEGF antagonist according to specified dosing regimens. As used herein, the expression "VEGF antagonist" means any molecule that blocks, reduces or interferes with the normal biological activity of VEGF.

[0023] VEGF antagonists include molecules which interfere with the interaction between VEGF and a natural VEGF receptor, *e.g.*, molecules which bind to VEGF or a VEGF receptor and prevent or otherwise hinder the interaction between VEGF and a VEGF receptor. Specific exemplary VEGF antagonists include anti-VEGF antibodies, anti-VEGF receptor antibodies, and VEGF receptor-based chimeric molecules (also referred to herein as "VEGF-Traps").

[0024] VEGF receptor-based chimeric molecules include chimeric polypeptides which comprise two or more immunoglobulin (lg)-like domains of a VEGF receptor such as VEGFR1 (also referred to as Flt1) and/or VEGFR2 (also referred to as Flk1 or KDR), and may also contain a multimerizing domain (*e.g.*, an Fc domain which facilitates the multimerization [*e.g.*, dimerization] of two or more chimeric polypeptides). An exemplary VEGF receptor-based chimeric molecule is a molecule

referred to as VEGFR1R2-Fc Δ C1(a) which is encoded by the nucleic acid sequence of SEQ ID NO:1. VEGFR1R2-Fc Δ C1(a) comprises three components: (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130 to 231 of SEQ ID NO:2; and (3) a multimerization component ("Fc Δ C1(a)") comprising amino acids 232 to 457 of SEQ ID NO:2 (the C-terminal amino acid of SEQ ID NO:2 [*i.e.*, K458] may or may not be included in the VEGF antagonist used in the methods of the invention; *see e.g.*, US Patent 7,396,664). Amino acids 1-26 of SEQ ID NO:2 are the signal sequence.

[0025] The VEGF antagonist used in the Examples set forth herein below is a dimeric molecule comprising two VEGFR1R2-Fc Δ C1(a) molecules and is referred to herein as "VEGFT." Additional VEGF receptor-based chimeric molecules which can be used in the context of the present invention are disclosed in US 7,396,664, 7,303,746 and WO 00/75319.

ANGIOGENIC EYE DISORDERS

[0026] The methods of the present invention can be used to treat any angiogenic eye disorder. The expression "angiogenic eye disorder," as used herein, means any disease of the eye which is caused by or associated with the growth or proliferation of blood vessels or by blood vessel leakage. Non-limiting examples of angiogenic eye disorders that are treatable using the methods of the present invention include age-related macular degeneration (*e.g.*, wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion (CRVO; *e.g.*, macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; *e.g.*, myopic CNV), iris neovascularization, neovascular glaucoma, post-surgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, and diabetic retinopathies.

PHARMACEUTICAL FORMULATIONS

[0027] The present invention includes methods in which the VEGF antagonist that is administered to the patient is contained within a pharmaceutical formulation. The pharmaceutical formulation may comprise the VEGF antagonist along with at least one inactive ingredient such as, *e.g.*, a pharmaceutically acceptable carrier. Other agents may be incorporated into the pharmaceutical composition to provide improved transfer, delivery, tolerance, and the like. The term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly, in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the antibody is administered. A multitude of appropriate

formulations can be found in the formulary known to all pharmaceutical chemists: Remington's Pharmaceutical Sciences (15th ed, Mack Publishing Company, Easton, Pa., 1975), particularly Chapter 87 by Blaug, Seymour, therein. These formulations include, for example, powders, pastes, ointments, jellies, waxes, oils, lipids, lipid (cationic or anionic) containing vesicles (such as LIPOFECTIN[™]), DNA conjugates, anhydrous absorption pastes, oil-in-water and water-in-oil emulsions, emulsions carbowax (polyethylene glycols of various molecular weights), semi-solid gels, and semi-solid mixtures containing carbowax. Any of the foregoing mixtures may be appropriate in the context of the methods of the present invention, provided that the VEGF antagonist is not inactivated by the formulation and the formulation is physiologically compatible and tolerable with the route of administration. See also Powell et al. PDA (1998) J Pharm Sci Technol. 52:238-311 and the citations therein for additional information related to excipients and carriers well known to pharmaceutical chemists.

[0028] Pharmaceutical formulations useful for administration by injection in the context of the present invention may be prepared by dissolving, suspending or emulsifying a VEGF antagonist in a sterile aqueous medium or an oily medium conventionally used for injections. As the aqueous medium for injections, there are, for example, physiological saline, an isotonic solution containing glucose and other auxiliary agents, etc., which may be used in combination with an appropriate solubilizing agent such as an alcohol (e.g., ethanol), a polyalcohol (e.g., propylene glycol, polyethylene glycol), a nonionic surfactant [e.g., polysorbate 80, HCO-50 (polyoxyethylene (50 mol) adduct of hydrogenated castor oil)], etc. As the oily medium, there may be employed, e.g., sesame oil, soybean oil, etc., which may be used in combination with a solubilizing agent such as benzyl benzoate, benzyl alcohol, etc. The injection thus prepared can be filled in an appropriate ampoule if desired.

MODES OF ADMINISTRATION

[0029] The VEGF antagonist (or pharmaceutical formulation comprising the VEGF antagonist) may be administered to the patient by any known delivery system and/or administration method. In certain embodiments, the VEGF antagonist is administered to the patient by ocular, intraocular, intravitreal or subconjunctival injection. In other embodiments, the VEGF antagonist can be administered to the patient by topical administration, *e.g.*, via eye drops or other liquid, gel, ointment or fluid which contains the VEGF antagonist and can be applied directly to the eye. Other possible routes of administration include, *e.g.*, intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, epidural, and oral.

AMOUNT OF VEGF ANTAGONIST ADMINISTERED

[0030] Each dose of VEGF antagonist administered to the patient over the course of the treatment regimen may contain the same, or substantially the same, amount of VEGF antagonist. Alternatively, the quantity of VEGF antagonist contained within the individual doses may vary over the course of the treatment regimen. For example, in certain embodiments, a first quantity of VEGF antagonist is administered in the initial dose, a second quantity of VEGF antagonist is administered in the secondary doses, and a third quantity of VEGF antagonist is administered in the tertiary doses. The present invention contemplates dosing schemes in which the quantity of VEGF antagonist contained within the individual doses increases over time (*e.g.*, each subsequent dose contains more VEGF antagonist than the last), decreases over time (*e.g.*, each subsequent dose contains less VEGF antagonist than the last), initially increases then decreases, initially decreases then increases, or remains the same throughout the course of the administration regimen.

The amount of VEGF antagonist administered to the patient in each dose is, in most [0031] cases, a therapeutically effective amount. As used herein, the phrase "therapeutically effective amount" means a dose of VEGF antagonist that results in a detectable improvement in one or more symptoms or indicia of an angiogenic eye disorder, or a dose of VEGF antagonist that inhibits, prevents, lessens, or delays the progression of an angiogenic eye disorder. In the case of an anti-VEGF antibody or a VEGF receptor-based chimeric molecule such as VEGFR1R2-Fc Δ C1(a), a therapeutically effective amount can be from about 0.05 mg to about 5 mg, e.g., about 0.05 mg, about 0.1 mg, about 0.15 mg, about 0.2 mg, about 0.25 mg, about 0.3 mg, about 0.35 mg, about 0.4 mg, about 0.45 mg, about 0.5 mg, about 0.55 mg, about 0.6 mg, about 0.65 mg, about 0.7 mg, about 0.75 mg, about 0.8 mg, about 0.85 mg, about 0.9 mg, about 1.0 mg, about 1.05 mg, about 1.1 mg, about 1.15 mg, about 1.2 mg, about 1.25 mg, about 1.3 mg, about 1.35 mg, about 1.4 mg, about 1.45 mg, about 1.5 mg, about 1.55 mg, about 1.6 mg, about 1.65 mg, about 1.7 mg, about 1.75 mg, about 1.8 mg, about 1.85 mg, about 1.9 mg, about 2.0 mg, about 2.05 mg, about 2.1 mg, about 2.15 mg, about 2.2 mg, about 2.25 mg, about 2.3 mg, about 2.35 mg, about 2.4 mg, about 2.45 mg, about 2.5 mg, about 2.55 mg, about 2.6 mg, about 2.65 mg, about 2.7 mg, about 2.75 mg, about 2.8 mg, about 2.85 mg, about 2.9 mg, about 3.0 mg, about 3.5 mg, about 4.0 mg, about 4.5 mg, or about 5.0 mg of the antibody or receptor-based chimeric molecule.

[0032] The amount of VEGF antagonist contained within the individual doses may be expressed in terms of milligrams of antibody per kilogram of patient body weight (*i.e.*, mg/kg). For example, the VEGF antagonist may be administered to a patient at a dose of about 0.0001 to about 10 mg/kg of patient body weight.

TREATMENT POPULATION AND EFFICACY

[0033] The methods of the present invention are useful for treating angiogenic eye disorders in patients that have been diagnosed with or are at risk of being afflicted with an angiogenic eye disorder. Generally, the methods of the present invention demonstrate efficacy within 104 weeks of the initiation of the treatment regimen (with the initial dose administered at "week 0"), *e.g.*, by the end of week 16, by the end of week 24, by the end of week 32, by the end of week 40, by the end of week 48, by the end of week 56, etc. In the context of methods for treating angiogenic eye disorders such as AMD, CRVO, and DME, "efficacy" means that, from the initiation of treatment, the patient exhibits a loss of 15 or fewer letters on the Early Treatment Diabetic Retinopathy Study (ETDRS) visual acuity chart. In certain embodiments, "efficacy" means a gain of one or more (*e.g.*, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 or more) letters on the ETDRS chart from the time of initiation of treatment.

EXAMPLES

[0034] The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the methods and compositions of the invention, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.

[0035] The exemplary VEGF antagonist used in all Examples set forth below is a dimeric molecule having two functional VEGF binding units. Each functional binding unit is comprised of Ig domain 2 from VEGFR1 fused to Ig domain 3 from VEGFR2, which in turn is fused to the hinge region of a human IgG1 Fc domain (VEGFR1R2-Fc∆C1(a); encoded by SEQ ID NO:1). This VEGF antagonist is referred to in the examples below as "VEGFT". For purposes of the following Examples, "monthly" dosing is equivalent to dosing once every four weeks.

Example 1: Phase I Clinical Trial of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

[0036] In this Phase I study, 21 subjects with neovascular AMD received a single intravitreal (IVT) dose of VEGFT. Five groups of three subjects each received either 0.05, 0.15, 0.5, 2 or 4 mg of VEGFT, and a sixth group of six subjects received 1 mg. No serious adverse events related to the study drug, and no identifiable intraocular inflammation was reported. Preliminary results showed that, following injection of VEGFT, a rapid decrease in foveal thickness and macular volume was observed that was maintained through 6 weeks. At Day 43 across all dose groups, mean excess

retinal thickness [excess retinal thickness = (retinal thickness – 179 μ)] on optical coherence tomography (OCT) was reduced from 119 μ to 27 μ as assessed by Fast Macular Scan and from 194 μ to 60 μ as assessed using a single Posterior Pole scan. The mean increase in best corrected visual acuity (BCVA) was 4.75 letters, and BCVA was stable or improved in 95% of subjects. In the 2 highest dose groups (2 and 4 mg), the mean increase in BCVA was 13.5 letters, with 3 of 6 subjects demonstrating improvement of ≥ 3 lines.

Example 2: Phase II Clinical Trial of Repeated Doses of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

[0037] This study was a double-masked, randomized study of 3 doses (0.5, 2, and 4 mg) of VEGFT tested at 4-week and/or 12-week dosing intervals. There were 5 treatment arms in this study, as follows: 1) 0.5 mg every 4 weeks, 2) 0.5 mg every 12 weeks, 3) 2 mg every 4 weeks, 4) 2 mg every 12 weeks and 5) 4 mg every 12 weeks. Subjects were dosed at a fixed interval for the first 12 weeks, after which they were evaluated every 4 weeks for 9 months, during which additional doses were administered based on pre-specified criteria. All subjects were then followed for one year after their last dose of VEGFT. Preliminary data from a pre-planned interim analysis indicated that VEGFT met its primary endpoint of a statistically significant reduction in retinal thickness after 12 weeks compared with baseline (all groups combined, decrease of 135μ , p < 0.0001). Mean change from baseline in visual acuity, a key secondary endpoint of the study, also demonstrated statistically significant improvement (all groups combined, increase of 5.9 letters, p < 0.0001). Moreover, patients in the dose groups that received only a single dose, on average, demonstrated a decrease in excess retinal thickness (p < 0.0001) and an increase in visual acuity (p = 0.012) at 12 weeks. There were no drug-related serious adverse events, and treatment with the VEGF antagonists was generally well-tolerated. The most common adverse events were those typically associated with intravitreal injections.

Example 3: Phase I Clinical Trial of Systemically Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

[0038] This study was a placebo-controlled, sequential-group, dose-escalating safety, tolerability and bioeffect study of VEGFT by IV infusion in subjects with neovascular AMD. Groups of 8 subjects meeting eligibility criteria for subfoveal choroidal neovascularization (CNV) related to AMD were assigned to receive 4 IV injections of VEGFT or placebo at dose levels of 0.3, 1, or 3 mg/kg over an 8-week period.

[0039] Most adverse events that were attributed to VEGFT were mild to moderate in severity, but 2 of 5 subjects treated with 3 mg/kg experienced dose-limiting toxicity (DLT) (one with Grade 4

hypertension and one with Grade 2 proteinuria); therefore, all subjects in the 3 mg/kg dose group did not enter the study. The mean percent changes in excess retinal thickness were: -12%, -10%, -66%, and -60% for the placebo, 0.3, 1, and 3 mg/kg dose groups at day 15 (ANOVA p< 0.02), and -5.6%, +47.1%, and -63.3% for the placebo, 0.3, and 1 mg/kg dose groups at day 71 (ANOVA p< 0.02). There was a numerical improvement in BCVA in the subjects treated with VEGFT. As would be expected in such a small study, the results were not statistically significant.

Example 4: Phase III Clinical Trials of the Efficacy, Safety, and Tolerability of Repeated Doses of Intravitreal VEGFT in Subjects with Neovascular Age-Related Macular Degeneration

A. Objectives, Hypotheses and Endpoints

[0040] Two parallel Phase III clinical trials were carried out to investigate the use of VEGFT to treat patients with the neovascular form of age-related macular degeneration (Study 1 and Study 2). The primary objective of these studies was to assess the efficacy of IVT administered VEGFT compared to ranibizumab (Lucentis®, Genentech, Inc.), in a non-inferiority paradigm, in preventing moderate vision loss in subjects with all subtypes of neovascular AMD.

[0041] The secondary objectives were (a) to assess the safety and tolerability of repeated IVT administration of VEGFT in subjects with all sub-types of neovascular AMD for periods up to 2 years; and (b) to assess the effect of repeated IVT administration of VEGFT on Vision-Related Quality of Life (QOL) in subjects with all sub-types of neovascular AMD.

[0042] The primary hypothesis of these studies was that the proportion of subjects treated with VEGFT with stable or improved BCVA (<15 letters lost) is similar to the proportion treated with ranibizumab who have stable or improved BCVA, thereby demonstrating non-inferiority.

[0043] The primary endpoint for these studies was the prevention of vision loss of greater than or equal to 15 letters on the ETDRS chart, compared to baseline, at 52 weeks. Secondary endpoints were as follows: (a) change from baseline to Week 52 in letter score on the ETDRS chart; (b) gain from baseline to Week 52 of 15 letters or more on the ETDRS chart; (c) change from baseline to Week 52 in total NEI VFQ-25 score; and (d) change from baseline to Week 52 in CNV area.

B. Study Design

[0044] For each study, subjects were randomly assigned in a 1:1:1:1 ratio to 1 of 4 dosing regimens: (1) 2 mg VEGFT administered every 4 weeks (2Q4); (2) 0.5 mg VEGFT administered every 4 weeks (0.5Q4); (3) 2 mg VEGFT administered every 4 weeks to week 8 and then every 8 weeks (with sham injection at the interim 4-week visits when study drug was not administered (2Q8); and (4) 0.5 mg ranibizumab administered every 4 weeks (RQ4). Subjects assigned to (2Q8) received the 2 mg injection every 4 weeks to week 8 and then a sham injection at interim 4-week

visits (when study drug is not to be administered) during the first 52 weeks of the studies. (No sham injection were given at Week 52).

[0045] The study duration for each subject was scheduled to be 96 weeks plus the recruitment period. For the first 52 weeks (Year 1), subjects received an IVT or sham injection in the study eye every 4 weeks. (No sham injections were given at Week 52). During the second year of the study, subjects will be evaluated every 4 weeks and will receive IVT injection of study drug at intervals determined by specific dosing criteria, but at least every 12 weeks. (During the second year of the study, sham injections will not be given.) During this period, injections may be given as frequently as every 4 weeks, but no less frequently than every 12 weeks, according to the following criteria: (i) increase in central retinal thickness of $\geq 100 \,\mu$ m compared to the lowest previous value as measured by optical coherence tomography (OCT); or (ii) a loss from the best previous letter score of at least 5 ETDRS letters in conjunction with recurrent fluid as indicated by OCT; or (iii) new or persistent fluid as indicated by OCT; or (iv) new onset classic neovascularization, or new or persistent leak on fluorescein angiography (FA); or (v) new macular hemorrhage; or (vi) 12 weeks have elapsed since the previous injection. According to the present protocol, subjects must receive an injection at least every 12 weeks.

[0046] Subjects were evaluated at 4 weeks intervals for safety and best corrected visual acuity (BCVA) using the 4 meter ETDRS protocol. Quality of Life (QOL) was evaluated using the NEI VFQ-25 questionnaire. OCT and FA examinations were conducted periodically.

[0047] Approximately 1200 subjects were enrolled, with a target enrollment of 300 subjects per treatment arm.

[0048] To be eligible for this study, subjects were required to have subfoveal choroidal neovascularization (CNV) secondary to AMD. "Subfoveal" CNV was defined as the presence of subfoveal neovascularization, documented by FA, or presence of a lesion that is juxtafoveal in location angiographically but affects the fovea. Subject eligibility was confirmed based on angiographic criteria prior to randomization.

[0049] Only one eye was designated as the study eye. For subjects who met eligibility criteria in both eyes, the eye with the worse VA was selected as the study eye. If both eyes had equal VA, the eye with the clearest lens and ocular media and least amount of subfoveal scar or geographic atrophy was selected. If there was no objective basis for selecting the study eye, factors such as ocular dominance, other ocular pathology and subject preference were considered in making the selection.

[0050] Inclusion criteria for both studies were as follows: (i) signed Informed consent; (ii) at least 50 years of age; (iii) active primary subfoveal CNV lesions secondary to AMD, including juxtafoveal lesions that affect the fovea as evidenced by FA in the study eye; (iv) CNV at least 50% of total

lesion size; (v) early treatment diabetic retinopathy study (ETDRS) best-corrected visual acuity of: 20/40 to 20/320 (letter score of 73 to 25) in the study eye; (vi) willing, committed, and able to return for all clinic visits and complete all study-related procedures; and (vii) able to read, understand and willing to sign the informed consent form (or, if unable to read due to visual impairment, be read to verbatim by the person administering the informed consent or a family member).

[0051] Exclusion criteria for both studies were as follows: 1. Any prior ocular (in the study eye) or systemic treatment or surgery for neovascular AMD except dietary supplements or vitamins. 2. Any prior or concomitant therapy with another investigational agent to treat neovascular AMD in the study eye, except dietary supplements or vitamins. 3. Prior treatment with anti-VEGF agents as follows: (a) Prior treatment with anti-VEGF therapy in the study eye was not allowed; (b) Prior treatment with anti-VEGF therapy in the fellow eye with an investigational agent (not FDA approved, e.g. bevacizumab) was allowed up to 3 months prior to first dose in the study, and such treatments were not allowed during the study. Prior treatment with an approved anti-VEGF therapy in the fellow eye was allowed; (c) Prior systemic anti-VEGF therapy, investigational or FDA/Health Canada approved, was only allowed up to 3 months prior to first dose, and was not allowed during the study. 4. Total lesion size > 12 disc areas (30.5 mm2, including blood, scars and neovascularization) as assessed by FA in the study eye. 5. Subretinal hemorrhage that is either 50% or more of the total lesion area, or if the blood is under the fovea and is 1 or more disc areas in size in the study eye. (If the blood is under the fovea, then the fovea must be surrounded 270 degrees by visible CNV.) 6. Scar or fibrosis, making up > 50% of total lesion in the study eye. 7. Scar, fibrosis, or atrophy involving the center of the fovea. 8. Presence of retinal pigment epithelial tears or rips involving the macula in the study eye. 9. History of any vitreous hemorrhage within 4 weeks prior to Visit 1 in the study eye. 10. Presence of other causes of CNV, including pathologic myopia (spherical equivalent of -8 diopters or more negative, or axial length of 25 mm or more), ocular histoplasmosis syndrome, angioid streaks, choroidal rupture, or multifocal choroiditis in the study eye. 11. History or clinical evidence of diabetic retinopathy, diabetic macular edema or any other vascular disease affecting the retina, other than AMD, in either eye. 12. Prior vitrectomy in the study eye. 13. History of retinal detachment or treatment or surgery for retinal detachment in the study eye. 14. Any history of macular hole of stage 2 and above in the study eye. 15. Any intraocular or periocular surgery within 3 months of Day 1 on the study eye, except lid surgery, which may not have taken place within 1 month of day 1, as long as it was unlikely to interfere with the injection. 16. Prior trabeculectomy or other filtration surgery in the study eye. 17. Uncontrolled glaucoma (defined as intraocular pressure greater than or equal to 25 mm Hg despite treatment with anti-glaucoma medication) in the study eye. 18. Active intraocular inflammation in either eye. 19. Active ocular or periocular infection in either eye. 20. Any ocular or periocular infection within

the last 2 weeks prior to Screening in either eye. 21. Any history of uveitis in either eye. 22. Active scleritis or episcleritis in either eye. 23. Presence or history of scleromalacia in either eye. 24. Aphakia or pseudophakia with absence of posterior capsule (unless it occurred as a result of a yttrium aluminum garnet [YAG] posterior capsulotomy) in the study eye. 25. Previous therapeutic radiation in the region of the study eye. 26. History of corneal transplant or corneal dystrophy in the study eye. 27. Significant media opacities, including cataract, in the study eye which might interfere with visual acuity, assessment of safety, or fundus photography. 28. Any concurrent intraocular condition in the study eye (e.g. cataract) that, in the opinion of the investigator, could require either medical or surgical intervention during the 96 week study period. 29. Any concurrent ocular condition in the study eye which, in the opinion of the investigator, could either increase the risk to the subject beyond what is to be expected from standard procedures of intraocular injection, or which otherwise may interfere with the injection procedure or with evaluation of efficacy or safety. 30. History of other disease, metabolic dysfunction, physical examination finding, or clinical laboratory finding giving reasonable suspicion of a disease or condition that contraindicates the use of an investigational drug or that might affect interpretation of the results of the study or render the subject at high risk for treatment complications. 31. Participation as a subject in any clinical study within the 12 weeks prior to Day 1. 32. Any systemic or ocular treatment with an investigational agent in the past 3 months prior to Day 1. 33. The use of long acting steroids, either systemically or intraocularly, in the 6 months prior to day 1. 34. Any history of allergy to povidone iodine. 35. Known serious allergy to the fluorescein sodium for injection in angiography. 36. Presence of any contraindications indicated in the FDA Approved label for ranibizumab (Lucentis®). 37. Females who were pregnant, breastfeeding, or of childbearing potential, unwilling to practice adequate contraception throughout the study. Adequate contraceptive measures include oral contraceptives (stable use for 2 or more cycles prior to screening); IUD; Depo-Provera®; Norplant® System implants; bilateral tubal ligation; vasectomy; condom or diaphragm plus either contraceptive sponge, foam or jelly.

[0052] Subjects were not allowed to receive any standard or investigational agents for treatment of their AMD in the study eye other than their assigned study treatment with VEGFT or ranibizumab as specified in the protocol until they completed the Completion/Early Termination visit assessments. This includes medications administered locally (e.g., IVT, topical, juxtascleral or periorbital routes), as well as those administered systemically with the intent of treating the study and/or fellow eye.

[0053] The study procedures are summarized as follows:

[0054] <u>Best Corrected Visual Acuity</u>: Visual function of the study eye and the fellow eye were assessed using the ETDRS protocol (The Early Treatment Diabetic Retinopathy Study Group) at 4

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meters. Visual Acuity examiners were certified to ensure consistent measurement of BCVA. The VA examiners were required to remain masked to treatment assignment.

[0055] <u>Optical Coherence Tomography</u>: Retinal and lesion characteristics were evaluated using OCT on the study eye. At the Screen Visit (Visit 1) images were captured and transmitted for both eyes. All OCT images were captured using the Zeiss Stratus OCT[™] with software Version 3 or greater. OCT images were sent to an independent reading center where images were read by masked readers at visits where OCTs were required. All OCTs were electronically archived at the site as part of the source documentation. A subset of OCT images were read. OCT technicians were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that OCT technicians at the site remained masked to treatment assignment.

[0056] <u>Fundus Photography and Fluorescein Angiography (FA)</u>: The anatomical state of the retinal vasculature of the study eye was evaluated by funduscopic examination, fundus photography and FA. At the Screen Visit (Visit 1) funduscopic examination, fundus photography and FA were captured and transmitted for both eyes. Fundus and angiographic images were sent to an independent reading center where images were read by masked readers. The reading center confirmed subject eligibility based on angiographic criteria prior to randomization. All FAs and fundus photographs were archived at the site as part of the source documentation. Photographers were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that all photographers at the site remain masked to treatment assignment.

[0057] <u>Vision-Related Quality of Life</u>: Vision-related QOL was assessed using the National Eye Institute 25-Item Visual Function Questionnaire (NEI VFQ-25) in the interviewer-administered format. NEI VFQ-25 was administered by certified personnel at a contracted call center. At the screening visit, the sites assisted the subject and initiated the first call to the call center to collect all of the subject's contact information and to complete the first NEI VFQ-25 on the phone prior to randomization and IVT injection. For all subsequent visits, the call center called the subject on the phone, prior to IVT injection, to complete the questionnaire.

[0058] <u>Intraocular Pressure</u>: Intraocular pressure (IOP) of the study eye was measured using applanation tonometry or Tonopen. The same method of IOP measurement was used in each subject throughout the study.

[0059]

C. Results Summary (52 Week Data)

[0060] The primary endpoint (prevention of moderate or severe vision loss as defined above) was met for all three VEGFT groups (2Q4, 0.5Q4 and 2Q8) in this study. The results from both studies are summarized in Table 1.

| | Ranibizumab 0.5 mg monthly (RQ4) | VEGFT 0.5 mg monthly (0.5Q4) | VEGFT 2 mg monthly (2Q4) | VEGFT 2 mg every 8 weeks ^[a] (2Q8) | |
|---|--|------------------------------------|--------------------------------|---|--|
| Maintenance of vision* (% patients losing <15 letters) at week 52 versus baseline | | | | | |
| Study 1 | 94.4% | 95.9%** | 95.1%** | 95.1%** | |
| Study 2 | 94.4% | 96.3%** | 95.6%** | 95.6%** | |
| Mean improvement in vision* (letters) at 52 weeks versus baseline (p-value vs RQ4)*** | | | | | |
| Study 1 | 8.1 | 6.9 (NS) | 10.9 (p<0.01) | 7.9 (NS) | |
| Study 2 | 9.4 | 9.7 (NS) | 7.6 (NS) | 8.9 (NS | |

Table 1

^[a] Following three initial monthly doses

* Visual acuity was measured as the total number of letters read correctly on the Early Treatment Diabetic Retinopathy Study (ETDRS) eye chart.

** Statistically non-inferior based on a non-inferiority margin of 10%, using confidence interval approach (95.1% and 95% for Study 1 and Study 2, respectively)

*** Test for superiority

NS = non-significant

[0061] In Study 1, patients receiving VEGFT 2mg monthly (2Q4) achieved a statistically significant greater mean improvement in visual acuity at week 52 versus baseline (secondary endpoint), compared to ranibizumab 0.5mg monthly (RQ4); patients receiving VEGFT 2mg monthly on average gained 10.9 letters, compared to a mean 8.1 letter gain with ranibizumab 0.5mg dosed every month (p<0.01). All other dose groups of VEGFT in Study 1 and all dose groups in Study 2 were not statistically different from ranibizumab in this secondary endpoint.

[0062] A generally favorable safety profile was observed for both VEGFT and ranibizumab. The incidence of ocular treatment emergent adverse events was balanced across all four treatment groups in both studies, with the most frequent events associated with the injection procedure, the underlying disease, and/or the aging process. The most frequent ocular adverse events were conjunctival hemorrhage, macular degeneration, eye pain, retinal hemorrhage, and vitreous floaters. The most frequent serious non-ocular adverse events were typical of those reported in this elderly population who receive intravitreal treatment for wet AMD; the most frequently reported events were falls, pneumonia, myocardial infarction, atrial fibrillation, breast cancer, and acute coronary syndrome. There were no notable differences among the study arms.

Example 5: Phase II Clinical Trial of VEGFT in Subjects with Diabetic Macular Edema (DME)

[0063] In this study, 221 patients with clinically significant DME with central macular involvement were randomized, and 219 patients were treated with balanced distribution over five groups. The control group received macular laser therapy at baseline, and patients were eligible for repeat laser treatments, but no more frequently than at 16 week intervals. The remaining four groups received VEGFT by intravitreal injection as follows: Two groups received 0.5 or 2 mg of VEGFT once every four weeks throughout the 12-month dosing period (0.5Q4 and 2Q4, respectively). Two groups received three initial doses of 2 mg VEGFT once every four weeks (*i.e.*, at baseline, and weeks 4 and 8), followed through week 52 by either once every 8 weeks dosing (2Q8) or as needed dosing with very strict repeat dosing criteria (PRN). Mean gains in visual acuity versus baseline were as shown in Table 2:

| | n | Mean change in visual acuity at week 24 versus baseline (letters) | Mean change in visual acuity at week 52 versus baseline (letters) |
|--|----|---|---|
| Laser | 44 | 2.5 | -1.3 |
| VEGFT 0.5 mg monthly (0.5Q4) | 44 | 8.6** | 11.0** |
| VEGFT 2 mg monthly (2Q4) | 44 | 11.4** | 13.1** |
| VEGFT 2 mg every 8 weeks ^[a] (2Q8) | 42 | 8.5** | 9.7** |
| VEGFT 2 mg as needed ^[a] (PRN) | 45 | 10.3** | 12.0** |

Table 2

^[a] Following three initial monthly doses

** p < 0.01 versus laser

[0064] In this study, the visual acuity gains achieved with VEGFT administration at week 24 were maintained or numerically improved up to completion of the study at week 52 in all VEGFT study groups, including 2 mg dosed every other month

[0065] As demonstrated in the foregoing Examples, the administration of VEGFT to patients suffering from angiogenic eye disorders (*e.g.*, AMD and DME) at a frequency of once every 8 weeks, following a single initial dose and two secondary doses administered four weeks apart, resulted in significant prevention of moderate or severe vision loss or improvements in visual acuity.

Example 6: A Randomized, Multicenter, Double-Masked Trial in Treatment Naïve Patients with Macular Edema Secondary to CRVO

[0066] In this randomized, double-masked, Phase 3 study, patients received 6 monthly injections of either 2 mg intravitreal VEGFT (114 patients) or sham injections (73 patients). From Week 24 to

Week 52, all patients received 2 mg VEGFT as-needed (PRN) according to retreatment criteria. Thus, "sham-treated patients" means patients who received sham injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. "VEGFT-treated patients" means patients who received VEGFT intravitreal injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. The primary endpoint was the proportion of patients who gained ≥15 ETDRS letters from baseline at Week 24. Secondary visual, anatomic, and Quality of Life NEI VFQ-25 outcomes at Weeks 24 and 52 were also evaluated.

[0067] At Week 24, 56.1% of VEGFT-treated patients gained \geq 15 ETDRS letters from baseline vs 12.3% of sham-treated patients (*P*<0.0001). Similarly, at Week 52, 55.3% of VEGFT-treated patients gained \geq 15 letters vs 30.1% of sham-treated patients (*P*<0.01). At Week 52, VEGFT-treated patients gained a mean of 16.2 letters vs 3.8 letters for sham-treated patients (*P*<0.001). Mean number of injections was 2.7 for VEGFT-treated patients vs 3.9 for sham-treated patients. Mean change in central retinal thickness was -413.0 µm for VEGFT-treated patients vs -381.8 µm for sham-treated patients. The proportion of patients with ocular neovascularization at Week 24 were 0% for VEGFT-treated patients and 6.8% for sham-treated patients, respectively; at Week 52 after receiving VEGFT PRN, proportions were 0% and 6.8% for VEGFT-treated and sham-treated. At Week 24, the mean change from baseline in the VFQ-25 total score was 7.2 vs 0.7 for the VEGFT-treated and sham-treated groups; at Week 52, the scores were 7.5 vs 5.1 for the VEGFT-treated and sham-treated groups.

[0068] This Example confirms that dosing monthly with 2 mg intravitreal VEGFT injection resulted in a statistically significant improvement in visual acuity at Week 24 that was maintained through Week 52 with PRN dosing compared with sham PRN treatment. VEGFT was generally well tolerated and had a generally favorable safety profile.

Example 7: Dosing Regimens

[0069] Specific, non-limiting examples of dosing regimens within the scope of the present invention are as follows:

[0070] VEGFT 2 mg (0.05 mL) administered by intravitreal injection once every 4 weeks (monthly).

[0071] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

[0072] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on

visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0073] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0074] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

[0075] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0076] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0077] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

[0078] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0079] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0080] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

[0081] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0082] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN)

based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0083] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

[0084] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0085] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0086] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

[0087] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0088] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0089] VEGFT 2 mg (0.05 mL) administered by intravitreal injection as a single initial dose, followed by additional doses administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0090] Variations on the above-described dosing regimens would be appreciated by persons of ordinary skill in the art and are also within the scope of the present invention. For example, the amount of VEGFT and/or volume of formulation administered to a patient may be varied based on patient characteristics, severity of disease, and other diagnostic assessments by a physician or other qualified medical professional.

[0091] Any of the foregoing administration regimens may be used for the treatment of, *e.g.*, agerelated macular degeneration (*e.g.*, wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion (CRVO; *e.g.*, macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; *e.g.*, myopic CNV), iris neovascularization, neovascular glaucoma, post-surgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, etc.

SEQUENCES

[0092] <u>SEQ ID NO:1</u> (DNA sequence having 1377 nucleotides): AGGATCTAGTTCCGGAAGTGATACCGGTAGACCTTTCGTAGAGATGTACAGTGAAATCCCCCGA AATTATACACATGACTGAAGGAAGGGAGCTCGTCATTCCCTGCCGGGTTACGTCACCTAACAT CACTGTTACTTTAAAAAAGTTTCCACTTGACACTTTGATCCCTGATGGAAAACGCATAATCTGG GACAGTAGAAAGGGCTTCATCATATCAAATGCAACGTACAAAGAAATAGGGCTTCTGACCTGT GAAGCAACAGTCAATGGGCATTTGTATAAGACAAACTATCTCACACATCGACAAACCAATACAA TCATAGATGTGGTTCTGAGTCCGTCTCATGGAATTGAACTATCTGTTGGAGAAAAGCTTGTCTT AAATTGTACAGCAAGAACTGAACTAAATGTGGGGATTGACTTCAACTGGGAATACCCTTCTTCG AAGCATCAGCATAAGAAACTTGTAAACCGAGACCTAAAAACCCAGTCTGGGAGTGAGATGAAG AAATTTTTGAGCACCTTAACTATAGATGGTGTAACCCGGAGTGACCAAGGATTGTACACCTGTG CAGCATCCAGTGGGCTGATGACCAAGAAGAACAGCACATTTGTCAGGGTCCATGAAAAGGACA AAACTCACACATGCCCACCGTGCCCAGCACCTGAACTCCTGGGGGGGACCGTCAGTCTTCCTCT TCCCCCCAAAACCCAAGGACACCCTCATGATCTCCCGGACCCCTGAGGTCACATGCGTGGTG GTGGACGTGAGCCACGAAGACCCTGAGGTCAAGTTCAACTGGTACGTGGACGGCGTGGAGGT GCATAATGCCAAGACAAAGCCGCGGGGAGGAGCAGTACAACAGCACGTACCGTGTGGTCAGCG TCCTCACCGTCCTGCACCAGGACTGGCTGAATGGCAAGGAGTACAAGTGCAAGGTCTCCAAC AAAGCCCTCCCAGCCCCCATCGAGAAAACCATCTCCAAAGCCAAAGGGCAGCCCCGAGAACC ACAGGTGTACACCCTGCCCCCATCCCGGGATGAGCTGACCAAGAACCAGGTCAGCCTGACCT GCCTGGTCAAAGGCTTCTATCCCAGCGACATCGCCGTGGAGTGGGAGAGCAATGGGCAGCCG GAGAACAACTACAAGACCACGCCTCCCGTGCTGGACTCCGACGGCTCCTTCTTCCTCTACAGC AAGCTCACCGTGGACAAGAGCAGGTGGCAGCAGGGGAACGTCTTCTCATGCTCCGTGATGCA TGAGGCTCTGCACAACCACTACACGCAGAAGAGCCTCTCCCTGTCTCCGGGTAAATGA

[0093] <u>SEQ ID NO:2</u> (polypeptide sequence having 458 amino acids):

MVSYWDTGVLLCALLSCLLLTGSSSGSDTGRPFVEMYSEIPEIIHMTEGRELVIPCRVTSPNITVTLK KFPLDTLIPDGKRIIWDSRKGFIISNATYKEIGLLTCEATVNGHLYKTNYLTHRQTNTIIDVVLSPSHGI ELSVGEKLVLNCTARTELNVGIDFNWEYPSSKHQHKKLVNRDLKTQSGSEMKKFLSTLTIDGVTRS DQGLYTCAASSGLMTKKNSTFVRVHEKDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEV TCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV SNKALPAPIEKTISKAKGQPREPQVYTLPPSRDELTKNQVSLTCLVKGFYPSDIAVEWESNGQPEN NYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK **[0094]** The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description and the accompanying figures. Such modifications are intended to fall within the scope of the appended claims.

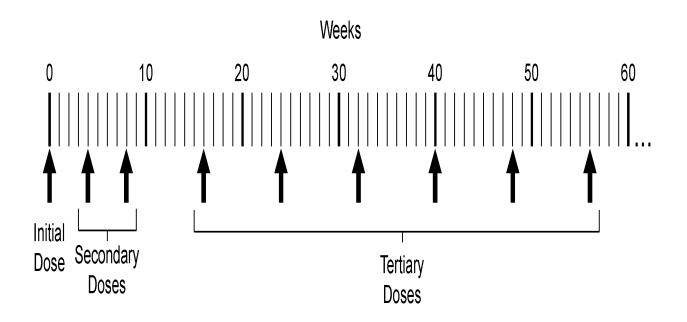


Figure 1

DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of Invention | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS |
|--|--|
| As the below nam | ed inventor, I hereby declare that: |
| This declaration | The attached application, or |
| is directed to: | United States application or PCT International application number <u>13/940,370</u> |
| | filed on <u>July 12, 2013</u> . |
| The above-identifi | ed application was made or authorized to be made by me. |
| I believe that I am | the original inventor or an original joint inventor of a claimed invention in the application. |
| | dge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 ment of not more than (5) years, or both. |
| | WARNING: |
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| LEGAL NAME (| |
| Inventor: <u>Y/</u> Signature: <u>¥</u> | Date (Optional) : 10/23/13 |
| Note: An application | data sheet (PTO/SB/14 of equivalent), including naming the entire inventive entity, must accompany this form. O/AIA/01 form for each additional inventor. |

(and by the USPTO to process) an application. Contidentiality is governed by 35 U.S. (22 and 37 CFH 1.11 and 1.14. This contection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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- 10.

Electronically Filed 12/4/2020

| | Attorney Docket No. | REGN-008CIPCON8 |
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| | Confirmation No. | To Be Assigned |
| INFORMATION DISCLOSUBE STATEMENT | First Named Inventor | George D. Yancopoulos |
| DISCLOSURE STATEMENT | Application Number | To Be Assigned |
| | Filing Date | December 4, 2020 |
| | Group Art Unit | To Be Assigned |
| Address to: | Examiner Name | To Be Assigned |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Title: "Use of a VEGF Eye Disorders" | Antagonist to Treat Angiogenic |

Sir:

Applicant submits herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A.

The publications discussed herein are provided to comply with the duty to disclose in accordance with 37 C.F.R. § 1.56. However, nothing herein is to be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention. Further, the dates of publication provided may be different from the actual publication dates which may need to be independently confirmed

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

All of the references identified herein were disclosed in parent application serial number 17/072,417, and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

Statements

No statement



PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

- **IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
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Fees

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 \boxtimes No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: December 4, 2020

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

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| First Named Inventor | George D. YANCOPOULOS |
| Art Unit | To Be Assigned |
| Examiner Name | To Be Assigned |
| Attorney Docket Number | REGN-008CIPCON8 |

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| First Named Inventor | George D. YANCOPOULOS |
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REMARKS UNDER 37 CFR § 1.115

Formal Matters

Claims 21-54 are pending after entry of the amendments set forth herein.

Original claims 1-20 are canceled without prejudice.

Claims 21-54 are added here.

Support for new claims 21-54 can be found in originally pending now canceled claims 1-20, and throughout the specification.

No new matter has been added.

SEQUENCE LISTING

Applicants submit herewith the attached Sequence Listing in .txt format. As set out in MPEP §2422.03(a), the Office has advised that if the sequence listing text file submitted via EFS-Web complies with the requirements of 37 CFR 1.824(a)(2)-(6) and (b) (i.e., is a compliant sequence listing ASCII text file), the text file will serve as both the paper copy required by 37 CFR 1.821(c) and the computer readable form (CRF) required by 37 CFR 1.821(e). Further, per MPEP §2422.03(a), neither (1) a second copy of the sequence listing in a PDF file; nor (2) a statement under 37 CFR 1.821(f) (indicating that the paper copy and CRF copy of the sequence listing are identical) should be submitted.

The Sequence Listing was prepared with the software FASTSEQ for Windows version 4.0, and conforms to the Patent Office guidelines. Applicant respectfully submits that the subject application is in adherence to 37 CFR §§ 1.821-1.825. I hereby certify that the enclosed submission includes no new matter.

Applicants respectfully submit that the present patent application is now in compliance with 37 CFR §§ 1.821-1.825.

STATEMENT UNDER 37 C.F.R. §§1.56 AND 1.2

Applicants hereby advise the Examiner of the status of a co-pending application in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 (see also MPEP §2001.06(b)) as discussed in *McKesson Info. Soln. Inc., v. Bridge Medical Inc.*, 487 F.3d 897; 82 USPQ2d 1865 (Fed. Cir. 2007).

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No.

13/940,370, filed July 12, 2013 which issued on February 9, 2016 as U.S. Patent 9,254,338.

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No.

14/972,560, filed December 17, 2015 which issued on June 6, 2017 as U.S. Patent No. 9,669,069.

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No.

15/471,506, filed March 28, 2017 which issued on November 20, 2018 as U.S. Patent No. 10,130,681.

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No.

16/055,847, filed August 6, 2018 which will issue on December 8, 2020 as U.S. Patent No. 10,857,205.

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No.

16/159,282, filed October 12, 2018 which issued on November 10, 2020 as U.S. Patent No. 10,828,345.

The Applicant wishes to bring to the Examiner's attention co-pending U.S. Patent Application No. 16/397,267, filed April 29, 2019 for which a Notice of Allowance was mailed on November 12, 2020.

The Applicant wishes to bring to the Examiner's attention co-pending U.S. Patent Application No. 17/072,417, filed October 16, 2020 for which no actions have been mailed.

The Applicant wishes to bring to the Examiner's attention co-pending U.S. Patent Application No. 17/112,063, filed December 4, 2020 which was filed concurrently with the above-referenced patent application.

These documents are available on PAIR, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR.

CONCLUSION

Applicant submits that all of the claims are in condition for allowance, which action is requested. If the Examiner finds that a telephone conference would expedite the prosecution of this application, please telephone the undersigned at the number provided.

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The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: _____ 4 December 2020

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic, Reg. No. 28,807

Bozicevic, Field & Francis LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, California 94065 Telephone: (650) 327-3400 Direct: (650) 833-7735 Facsimile: (650) 327-3231

AMENDMENTS TO THE CLAIMS

1. - 20. (Canceled)

21. (New) A method of treating an angiogenic eye disorder in a patient in need thereof comprising sequentially administering to the patient a single initial dose of 2 mg of aflibercept, followed by two secondary doses of 2 mg of aflibercept followed by one or more tertiary doses of 2 mg of a flibercept;

wherein each secondary dose is administered to the patient by intravitreal injection approximately 4 weeks following the immediately preceding dose; and

wherein each tertiary dose is administered on an as needed/*pro re nata* (PRN) basis, based on visual and/or anatomic outcomes as assessed by a physician or other qualified medical professional;

wherein the patient achieves a gain in visual acuity within 52 weeks following the initial dose.

22. (New) The method of claim 21 wherein the patient gains at least 8 letters Best Corrected Visual Acuity (BCVA) according to Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

23. (New) The method of claim 22 wherein said gain in visual acuity is measured using the ETDRS visual acuity chart.

24. (New) The method of claim 22 wherein the patient achieves the gain in visual acuity within 24 weeks following the initial dose.

25. (New) The method of claim 21 wherein the patient gains at least 9 letters Best Corrected Visual Acuity (BCVA) according to Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

26. (New) The method of claim 25 wherein said gain in visual acuity is measured using the ETDRS visual acuity chart.

27. (New) The method of claim 25 wherein the patient achieves the gain in visual acuity within 24 weeks following the initial dose.

28. (New) The method of claim 21 wherein the patient gains at least 10 letters Best Corrected Visual Acuity (BCVA) according to Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

29. (New) The method of claim 28 wherein said gain in visual acuity is measured using the ETDRS visual acuity chart.

30. (New) The method of claim 28 wherein the aflibercept is formulated as an isotonic solution.

31. (New) The method of claim 30 wherein said isotonic solution contains a sugar.

32. (New) The method of claim 28 wherein the aflibercept is formulated with a non-ionic surfactant.

33. (New) The method of claim 28 wherein the patient achieves the gain in visual acuity within 24 weeks following the initial dose.

34. (New) The method of claim 33 wherein the aflibercept is formulated as an isotonic solution.

35. (New) The method of claim 34 wherein said isotonic solution contains a sugar.

36. (New) The method of claim 33 wherein the aflibercept is formulated with a non-ionic surfactant.

37. (New) A method of treating diabetic macular edema in a patient in need thereof comprising sequentially administering to the patient a single initial dose of 2 mg of aflibercept, followed by one or more secondary doses of 2 mg of aflibercept, followed by one or more tertiary doses of 2 mg of aflibercept;

wherein each secondary dose is administered to the patient by intravitreal injection approximately 4 weeks following the immediately preceding dose; and

wherein each tertiary dose is administered on an as needed/*pro re nata* (PRN) basis, based on visual and/or anatomic outcomes as assessed by a physician or other qualified medical professional.

38. (New) The method of claim 37 wherein the patient gains at least 9 letters Best Corrected Visual Acuity (BCVA) according to Early Treatment Diabetic Retinopathy Study (ETDRS) letter score within 52 weeks following the initial dose.

39. (New) The method of claim 38 wherein said gain in visual acuity is measured using the ETDRS visual acuity chart.

40. (New) The method of claim 38 wherein the patient achieves the gain in visual acuity within 24 weeks following the initial dose.

41. (New) The method of claim 37 wherein the patient gains at least 10 letters Best Corrected Visual Acuity (BCVA) according to Early Treatment Diabetic Retinopathy Study (ETDRS) letter score within 52 weeks following the initial dose.

42. (New) The method of claim 41 wherein said gain in visual acuity is measured using the ETDRS visual acuity chart.

43. (New) The method of claim 41 wherein the aflibercept is formulated as an isotonic solution.

44. (New) The method of claim 43 wherein said isotonic solution contains a sugar.

45. (New) The method of claim 41 wherein the aflibercept is formulated with a non-ionic surfactant.

46. (New) The method of claim 41 wherein the patient achieves the gain in visual acuity within 24 weeks following the initial dose.

47. (New) The method of claim 46 wherein the aflibercept is formulated as an isotonic solution.

48. (New) The method of claim 47 wherein said isotonic solution contains a sugar.

49. (New) The method of claim 46 wherein the aflibercept is formulated with a non-ionic surfactant.

50. (New) The method of claim 37 wherein exclusion criteria for the patient include both of: (1) active ocular inflammation;

(2) active ocular or periocular infection.

51. (New) The method of claim 37 wherein four secondary doses are administered to the patient.

52. (New) A method of treating age-related macular degeneration in a patient in need thereof comprising sequentially administering to the patient a single initial dose of 2 mg of aflibercept, followed by one or more secondary doses of 2 mg of aflibercept, followed by one or more tertiary doses of 2 mg of aflibercept;

wherein each secondary dose is administered to the patient by intravitreal injection approximately 4 weeks following the immediately preceding dose; and

wherein each tertiary dose is administered on an as needed/*pro re nata* (PRN) basis, based on visual and/or anatomic outcomes as assessed by a physician or other qualified medical professional;

wherein the method is as effective in achieving a gain in visual acuity as monthly administration of 0.5 mg of ranibizumab by intravitreal injection in human subjects with age-related macular degeneration at 52 weeks following the initial dose.

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53. (New) The method of claim 52 wherein only two secondary doses are administered to the patient.

54. (New) The method of claim 52 wherein the gain in visual acuity is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

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Validated By CRFValidator v 1.0.5

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SEQUENCE LISTING

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Date Mailed: 12/15/2020

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Inventor(s)

George YANCOPOULOS, Yorktown Heights, NY;

Applicant(s)

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

Assignment For Published Patent Application

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a CON of $17/072,417 \ 10/16/2020$ which is a CON of $16/055,847 \ 08/06/2018$ PAT 10857205and is a CON of $16/397,267 \ 04/29/2019$ which is a CON of $16/159,282 \ 10/12/2018$ PAT 10828345which is a CON of $15/471,506 \ 03/28/2017$ PAT 10130681which is a CON of $14/972,560 \ 12/17/2015$ PAT 9669069which is a CON of $13/940,370 \ 07/12/2013$ PAT $9254338 \ *$ which is a CIP of PCT/US2012/020855 01/11/2012which claims benefit of $61/432,245 \ 01/13/2011$ and claims benefit of $61/561,957 \ 11/21/2011$ (*)Data provided by applicant is not consistent with PTO records. **Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 17/112,404 Projected Publication Date:** 03/25/2021 **Non-Publication Request:** No **Early Publication Request:** No **Title**

USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

Preliminary Class

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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| APPLICATION NUMBER | FILING OR 371(C) DATE | WWW.uspta | |
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| 17/112,404 | 12/04/2020 | George YANCOPOULOS | REGN-008CIPCON8 |
| | | | CONFIRMATION NO. 6437 |
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| Regeneron - Bozicevic, Fi | eld & Francis | | |
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Title: USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

Publication No.US-2021-0085753-A1 Publication Date:03/25/2021

NOTICE OF PUBLICATION OF APPLICATION

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Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | | | Filing Dat | ed Inventor | 17/112,404 2020-12-04 George D. YANCOPOULOS To Be Assigned To Be Assigned | |
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| Examiner Initial* | Cite No. | Foreign Document Number Country Code-Number-Kind Code (<i>if</i> known) | Publication Date YYYY-MM-DD | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | т | | | |
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| | 1 | HEIER, J., "Intravitreal VEGF Trap for AMD: An Update, The CLEAR-IT 2 Extension Study" Presented at the annual meeting of the Association for Research in Vision and Ophthalmology, Retina Today (2009) pp. 44-45 | |

| I | Examiner | Date | |
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| I | Signature | Considered | |

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| EFS ID: | 43016561 |
| Application Number: | 17112404 |
| International Application Number: | |
| Confirmation Number: | 6437 |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS |
| First Named Inventor/Applicant Name: | George YANCOPOULOS |
| Customer Number: | 96387 |
| Filer: | Karl Bozicevic/Kimberly Zuehlke |
| Filer Authorized By: | Karl Bozicevic |
| Attorney Docket Number: | REGN-008CIPCON8 |
| Receipt Date: | 17-JUN-2021 |
| Filing Date: | 04-DEC-2020 |
| Time Stamp: | 14:01:11 |
| Application Type: | Utility under 35 USC 111(a) |

Payment information:

| Submitted with F | Payment | no | | | | | | |
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Electronically Filed 6/17/2021

| | Attorney Docket No. | REGN-008CIPCON8 |
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| | Confirmation No. | 6437 |
| INFORMATION | First Named Inventor | George D. Yancopoulos |
| DISCLOSURE STATEMENT | Application Number | 17/112,404 |
| | Filing Date | December 4, 2020 |
| | Group Art Unit | To Be Assigned |
| Address to: | Examiner Name | To Be Assigned |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Title: "Use of a VEGF Eye Disorders" | Antagonist to Treat Angiogenic |

Sir:

Applicant submits herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the foreign patents and non-patent literature are also enclosed.

The publications discussed herein are provided to comply with the duty to disclose in accordance with 37 C.F.R. § 1.56. However, nothing herein is to be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention. Further, the dates of publication provided may be different from the actual publication dates which may need to be independently confirmed

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

Statements

No statement

PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by

any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

IDS Statement under 37 CFR § 1.97(e)(2): No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Fees

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 \boxtimes No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>June 17, 2021</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic

Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

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| | ATTY. DOCKET NO. | APPLICATION NO. |
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| | REGN-008CIPCON8 | 17/112,404 |
| SUBSTITUTE 1449 | APPLICANT | |
| INFORMATION DISCLOSURE STATEMENT | Regeneron Pharmaceuticals, Inc. | |
| | FILING DATE | GROUP |
| | December 4, 2020 | 1647 |

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APOTEX V. REGENERON IPR2022-01524 REGENERON EXHIBIT 2011 PAGE 093

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| *Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a 1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior identified by its U.S. Application Number in this Information Disclosure Statement. | |

| | ATTY. DOCKET NO. | APPLICATION NO. |
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| SUBSTITUTE 1449 INFORMATION DISCLOSURE STATEMENT | REGN-008CIPCON8 | 17/112,404 |
| | APPLICANT | |
| | Regeneron Pharmaceuticals, Inc. | |
| | FILING DATE | GROUP |
| | December 4, 2020 | 1647 |

| | NON-PATENT LITERATURE DOCUMENTS | |
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| EFS ID: | 43207807 | | | | |
| Application Number: | 17112404 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 6437 | | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | | |
| Customer Number: | 96387 | | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | | |
| Filer Authorized By: | Karl Bozicevic | | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | | |
| Receipt Date: | 09-JUL-2021 | | | | |
| Filing Date: | 04-DEC-2020 | | | | |
| Time Stamp: | 11:30:41 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |

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the application.

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| INFORMATION | Confirmation No. | 6437 |
| | First Named Inventor | George D. Yancopoulos |
| DISCLOSURE STATEMENT | Application Number | 17/112,404 |
| | Filing Date | December 4, 2020 |
| | Group Art Unit | |
| Address to: | Examiner Name | |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Title: "Use of a VEGF Eye Disorders" | Antagonist to Treat Angiogenic |

Electronically Filed 7/9/2021

Sir:

Applicant submits herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A.

The publications discussed herein are provided to comply with the duty to disclose in accordance with 37 C.F.R. § 1.56. However, nothing herein is to be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention. Further, the dates of publication provided may be different from the actual publication dates which may need to be independently confirmed.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

All of the references identified herein were disclosed in parent application serial number 17/072,417, and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

Statements

No statement

PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

IDS Statement under 37 CFR § 1.97(e)(2): No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

Fees

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 \boxtimes No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: July 9, 2021

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

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| INFORMATION DISCLOSURE | | | | Filing Dat | Filing Date | | 2020-12-04 | |
| STATEMENT BY APPLICANT | | | First Nam | First Named Inventor | | ge D. YANCOPOULOS | | |
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| | 1 | Eylea®, Highlights of Prescribing Information, Revised 11/2011 | |
| | 2 | IPR2021-00880, Paper 1, Petition for IPR (May 5, 2021) | |
| | 3 | IPR2021-00880, Exhibit 1002, Albini Declaration (May 4, 2021) | |
| | 4 | IPR2021-00880, Exhibit 1003, Gerritsen Declaration (April 30, 2021) | |
| | 5 | IPR2021-00880, Paper 10, Preliminary Response of Patent Owner (August 16, 2021) | |
| | 6 | IPR2021-00881, Paper 1, Petition for IPR (May 5, 2021) | |
| | 7 | IPR2021-00881, Exhibit 1002, Albini Declaration (May 4, 2021) | |
| | 8 | IPR2021-00881, Exhibit 1003, Gerritsen Declaration (April 26, 2021) | |
| | 9 | IPR2021-00881, Paper 10, Preliminary Response of Patent Owner (August 16, 2021) | |
| | 10 | IPR2021-00881, Exhibit 2001, Do Declaration (August 13, 2021) | |
| | 11 | Mitchell <i>et al.</i> , "Evaluating the Impact of Intravitreal Aflibercept on Diabetic Retinopathy Progression in the VIVID-DME and VISTA-DME Studies" Ophthalmol Retina 2(10):988-96 (2018) | |
| | 12 | PGR2021-00035, Paper 2, Petition for PGR (January 7, 2021) | |
| | 13 | PGR2021-00035, Paper 6, Preliminary Response of Patent Owner (April 15, 2021) | |
| | 14 | PGR2021-00035, Exhibit 1003 Wu Declaration (January 7, 2021) | |
| | 15 | PGR2021-00035, Exhibit 2001 Do Declaration (April 14, 2021) | |
| | 16 | PGR2021-00035, Exhibit 2002 D. Brown Declaration (April 14, 2021) | |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| Application Number | 17/112,404 |
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| Filing Date | 2020-12-04 |
| First Named Inventor | George D. YANCOPOULOS |
| Art Unit | To Be Assigned |
| Examiner Name | To Be Assigned |
| Attorney Docket Number | REGN-008CIPCON8 |

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NON PATENT LITERATURE DOCUMENTSCite
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magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or
country where published.18WANG, Q. R., R.; Cao, J.; Yancopoulos, G.D.; and Wiegand, S.J. (2002). Anti-
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of Retinal Neovascularization. In "ARVO", Invest. Ophthalmol. Vis. Sci., Vol. 43.
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transplantation by neutralizing VEGE promotes graft survival. Invest Ophthalmol

| | breakdown of the blood-retinal barrier. J Cell Physiol 195:241-48 | | |
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| 20 CURSIEFEN, C., Cao, J., Chen, L., Liu, Y., Maruyama, K., <i>et al.</i> (2004). Inhib of hemangiogenesis and lymphangiogenesis after normal-risk corneal transplantation by neutralizing VEGF promotes graft survival. Invest Ophthaln Vis Sci 45(8):2666-73 | | | |
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| 23 | NORK, T. M., Dubielzig, R. R., Christian, B. J., Miller, P. E., Miller, J. M., <i>et al.</i> (2011). Prevention of experimental choroidal neovascularization and resolution of active lesions by VEGF trap in nonhuman primates. Arch Ophthalmol 129(8):1042-52 | | |

| Examiner Date Signature Considered |
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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| EFS ID: | 43680069 | | | | |
| Application Number: | 17112404 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 6437 | | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | | |
| Customer Number: | 96387 | | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | | |
| Filer Authorized By: | Karl Bozicevic | | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | | |
| Receipt Date: | 03-SEP-2021 | | | | |
| Filing Date: | 04-DEC-2020 | | | | |
| Time Stamp: | 11:12:30 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |

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| characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new inter an internatic and of the In national seco | This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. <u>National Stage of an International Application under 35 U.S.C. 371</u> If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. | | | | | | |

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| | Attorney Docket No. | REGN-008CIPCON8 | | | |
| | Confirmation No. | 6437 | | | |
| SUPPLEMENTAL INFORMATION | First Named Inventor | George D. Yancopoulos | | | |
| DISCLOSURE STATEMENT | Application Number | 17/112,404 | | | |
| | Filing Date | December 4, 2020 | | | |
| | Group Art Unit | To Be Assigned | | | |
| Address to: | Examiner Name | To Be Assigned | | | |
| Commissioner for Patents P.O. Box 1450 | Title: "Use of a VEGF Antagonist to Treat Angiogent Eye Disorders" | | | | |
| Alexandria, VA 22313-1450 | | | | | |

Electronically Filed

Sir:

Applicant submits herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the foreign patents and non-patent literature are also enclosed.

The publications discussed herein are provided to comply with the duty to disclose in accordance with 37 C.F.R. § 1.56. However, nothing herein is to be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention. Further, the dates of publication provided may be different from the actual publication dates which may need to be independently confirmed.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

Statements

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No statement

PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

- **IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- **IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

<u>Fees</u>

 \boxtimes No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

By: <u>/Karl Bozicevic, Reg. No. 28,807/</u> Karl Bozicevic Reg. No. 28,807

Date: <u>3 September 2021</u>

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Page 1 of 12

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| | REGN-008CIPCON8 | 17/112,404 |
| SUBSTITUTE 1449 | APPLICANT | |
| INFORMATION DISCLOSURE STATEMENT | REGENERON PHARMACEUTICALS | , INC. |
| | FILING DATE | GROUP |
| | December 4, 2020 | To be assigned |

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| | REGN-008CIPCON8 | 17/112,404 |
| SUBSTITUTE 1449 | APPLICANT | |
| INFORMATION DISCLOSURE STATEMENT | REGENERON PHARMACEUTICALS, INC. | |
| | FILING DATE | GROUP |
| | December 4, 2020 | To be assigned |

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| | December 4, 2020 | To be assigned | |

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| EFS ID: | 44366424 | | | |
| Application Number: | 17112404 | | | |
| International Application Number: | | | | |
| Confirmation Number: | 6437 | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | |
| Customer Number: | 96387 | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | |
| Filer Authorized By: | Karl Bozicevic | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | |
| Receipt Date: | 24-NOV-2021 | | | |
| Filing Date: | 04-DEC-2020 | | | |
| Time Stamp: | 14:49:27 | | | |
| Application Type: | Utility under 35 USC 111(a) | | | |

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| | Confirmation No. | 6437 | | | | |
| SUPPLEMENTAL INFORMATION | First Named Inventor | George D. Yancopoulos | | | | |
| DISCLOSURE STATEMENT | Application Number | 17/112,404 | | | | |
| | Filing Date | December 4, 2020 | | | | |
| | Group Art Unit | To Be Assigned | | | | |
| Address to: | Examiner Name To Be Assigned | | | | | |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders" | | | | | |

Electronically Filed

Sir:

The attention of the Examiner is invited to the documents listed on the attached Substitute 1449.

Copies of the U.S. patents and published applications listed on the attached Substitute 1449 are not submitted herewith, in accordance with the Strategic Plan Final Rule, 69 Fed. Reg. 56481-56547 (September 21, 2004), effective October 21, 2004.

Copies of the foreign publications and non-patent literature documents listed on the attached Substitute 1449 are submitted in parent U.S. Application No. 17/072,417. Applicant respectfully submits that a subset of references submitted herein were previously submitted in this or a priority application. Nonetheless, Applicant is submitting these previously submitted references to provide an accurate reference citation or to provide a clearer copy of the reference.

Applicant notes that the substitute 1449 accompanying the Information Disclosure Statement submitted for this application on July 9, 2021, inadvertently provided in the "Reference Provided" box that each of the Foreign Patent Documents and Non-Patent Literature Documents were "[h]erewith." Accordingly, the citations previously submitted in the July 9, 2021 Information Disclosure Statement are resubmitted here as Ref. Nos. 75 to 143 in order to clarify the record. Applicant notes that this group of resubmitted citations accounts for part of the citations provided herein.

Applicant would also like to bring to the Examiner's attention that the PTAB has instituted *inter partes* reviews for related U.S. Patent Nos. 9,254,338 and 9,669,069.

It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

No aspect of these submissions constitute admission of prior art status or a disclaimer of claim scope.

Statements

 \square

No statement. Because this Information Disclosure Statement is being submitted prior to issuance of the first action on the merits of the above-captioned application, no certification or fee is required.

PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

- **IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
- **IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

<u>Fees</u>

 \boxtimes No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: 24 November 2021

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231 By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

| INFORMATION DISCLOSURE STATEMENT BY APPLICANT | | | Filing Dat | ed Inventor | Georg To Be | 2,404 mber 4, 2020 ge D. YANCOPOULOS e Assigned e Assigned | | |
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| EFS ID: | 44539997 | | | |
| Application Number: | 17112404 | | | |
| International Application Number: | | | | |
| Confirmation Number: | 6437 | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | |
| Customer Number: | 96387 | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | |
| Filer Authorized By: | Karl Bozicevic | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | |
| Receipt Date: | 16-DEC-2021 | | | |
| Filing Date: | 04-DEC-2020 | | | |
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| Application Type: | Utility under 35 USC 111(a) | | | |

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| | Attorney Docket No. | REGN-008CIPCON8 | |
| | Confirmation No. | 6437 | |
| SUPPLEMENTAL INFORMATION | First Named Inventor | George D. Yancopoulos | |
| DISCLOSURE STATEMENT | Application Number | 17/112,404 | |
| | Filing Date | December 4, 2020 | |
| | Group Art Unit | To Be Assigned | |
| Address to: | Examiner Name | To Be Assigned | |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Title: "Use of a VEGF Eye Disorders" | Antagonist to Treat Angiogenic | |

Sir:

Applicant submits herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A.

The publications discussed herein are provided to comply with the duty to disclose in accordance with 37 C.F.R. § 1.56. However, nothing herein is to be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention. Further, the dates of publication provided may be different from the actual publication dates which may need to be independently confirmed

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

Statements

- No statement
- **PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

IDS Statement under 37 CFR § 1.97(e)(2): No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

<u>Fees</u>

 \square No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>16 December 2021</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

| | ATTY. DOCKET NO. | APPLICATION NO. |
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| | REGN-008CIPCON8 | 17/112,404 |
| SUBSTITUTE 1449 | APPLICANT | |
| INFORMATION DISCLOSURE STATEMENT | REGENERON PHARMACEUTICALS | , INC. |
| | FILING DATE | GROUP |
| | December 4, 2020 | To be assigned |

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| | DOCUMENT NUMBER | DATE | NAME | REFERENCE PROVIDED* | | | |
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| 13. | US 11,253,572 B2 | 2022-02-22 | Yancopoulos | not required per 69 Fed. Reg. 56481 | | | |

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| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | REFERENCE PROVIDED* |
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| considered. Include copy of this form with next communication to Applicant. | |

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| considered. Include copy of this form with next communication to Applicant. | |

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| | NON-PATENT LITERATURE DOCUMENTS | |
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| | DOCUMENT (Including Author, Title, Date, Pertinent Pages, etc.) | REFERENCE PROVIDED* |
| 32. | Amino acid sequence alignment of SEQ ID NO:2 of the '338 and '069 patents with aflibercept amino acid sequence from WHO 2006, SEQ ID NO:16 of the '758 patent, and SEQ ID NO:16 of the '959 patent, submitted on May 27, 2022, in IPR2021-00881 as Exhibit 1122 | Previously in US Application 17/072,417 |
| 33. | Amino acid sequence alignment of SEQ ID NO:2 of the '338 patent with SEQ ID NO:16 of the '758 patent and SEQ ID NO:4 of Dix, submitted in IPR2022-00881 as Exhibit 1093 | Previously in US Application 17/072,417 |
| 34. | Amino acid sequence alignment of SEQ ID NO:2 of the '338 patent, aflibercept amino acid sequence from WHO 2006, and SEQ ID NO:2 of the '173 patent, cited in Deposition of Dr. Alexander M. Klibanov, Ph.D., on March 24, 2022, submitted in IPR2021-00881 as Exhibit 1117 | Previously in US Application 17/072,417 |
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| considered. Include copy of this form with next communication to Applicant. | |
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| | DOCUMENT (Including Author, Title, Date, Pertinent Pages, etc.) | REFERENCE PROVIDED* |
| 318. | Yahoo Finance, "Beovu Now Publicly Reimbursed in Ontario and New Brunswick for the Treatment of Neovascular Wet AMD," Press Release, (December 17, 2021) https://finance.yahoo.com/news/beovu-brolucizumab- injection-now-publicly-120000109.html (accessed December 30, 2021) | Previously in US Application 17/072,417 |
| 319. | Yang, "Comparison of Binding Characteristics and <i>in vitro</i> Activities of Three Inhibitors of Vascular Endothelial Growth Factor A," <i>Molecular</i> <i>Pharmaceutics</i> , 11(10), pp. 3421-3429 (October 2014), cited in Deposition of Dr. Alexander M. Klibanov, Ph.D., on March 24, 2022 | Previously in US Application 17/072,417 |
| 320. | Yorston, "Anti-VEGF Drugs in the Prevention of Blindness," <i>Community Eye</i> <i>Health Journal</i> , 27(87), pp. 44-46 (2014) | Previously in US Application 17/072,417 |
| 321. | Zucchi, "EDGAR: Investors' One-Stop-Shop For Company Filings," <i>YAHOO!LIFE</i> , https://www.yahoo.com/lifestyle/tagged/health/edgar-investors- one-stop-shop-170000800.html (accessed January 20, 2021) | Previously in US Application 17/072,417 |

| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line thr | ough citation if not in conformance and not |
|---|---|
| considered. Include copy of this form with next communication to Applicant. | |
| | |

EXAMINER

*Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a prior application. Pursuant to 37 C.F.R. § 1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior application, where the prior application is identified by its U.S. Application Number in this Information Disclosure Statement.

DATE CONSIDERED

| Electronically Flice | | | | |
|--|--|-----------------------|--|--|
| | Attorney Docket No. | REGN-008CIPCON8 | | |
| | Confirmation No. | 6437 | | |
| INFORMATION | First Named Inventor | George D. Yancopoulos | | |
| DISCLOSURE STATEMENT | Application Number | 17/112,404 | | |
| | Filing Date | December 4, 2020 | | |
| | Group Art Unit | | | |
| Address to: | Examiner Name | | | |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders" | | | |

Electronically Filed

Sir:

The attention of the Examiner is invited to the documents listed on the attached Substitute 1449.

Copies of the U.S. patents and published applications listed on the attached Substitute 1449 are not submitted herewith, in accordance with the Strategic Plan Final Rule, 69 Fed. Reg. 56481-56547 (September 21, 2004), effective October 21, 2004.

Copies of the foreign publication and non-patent literature documents listed on the attached Substitute 1449 are submitted in parent U.S. Application No. 17/072,417.

It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

No aspect of these submissions constitute admission of prior art status or a disclaimer of claim scope.

Statement under 37 C.F.R. §§1.56 and 1.2

Applicant hereby advises the Examiner of the status of a co-pending application(s) in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 (*see* also M.P.E.P. §2001.06(b)) as discussed in *McKesson Info. Soln. Inc., v. Bridge Medical Inc.*, 487 F.3d 897 (Fed. Cir. 2007).

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 13/940,370, filed July 12, 2013, which issued as U.S. Patent No. 9,254,338 on February 9, 2016.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 14/972,560, filed December 17, 2015, which issued as U.S. Patent No. 9,669,069 on June 6, 2017.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 15/471,506, filed March 28, 2017, which issued as U.S. Patent No. 10,130,681 on November 20, 2018.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 16/055,847, filed August 6, 2018, which issued as U.S. Patent No. 10,857,205 on December 8, 2020.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 16/159,282, filed October 12, 2018, which issued as U.S. Patent No. 10,828,345 on November 10, 2020.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 16/397,267, filed April 29, 2019, which issued as U.S. Patent No. 10,888,601 on January 12, 2021.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 17/352,892, filed June 21, 2021, which issued as U.S. Patent No. 11,253,572 on February 22, 2022.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/072,417, filed October 16, 2020. No actions have been mailed to date.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/112,063, filed December 4, 2020. No actions have been mailed to date.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/350,958, filed June 17, 2021. No actions have been mailed to date.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/740,744, filed May 10, 2022. A Non-Final Office Action was mailed on July 20, 2022.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention *Inter Partes* Review No. IPR2021-00880 of U.S. Patent No. 9,669,069, filed on May 5, 2021; and IPR2021-00881 of U.S. Patent No. 9,254,338, filed on May 5, 2021. Both of which are currently awaiting final decision from PTAB.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention *Inter Partes* Review No. IPR2022-01225 of U.S. Patent No. 10,130,681, filed on July 1, 2022; and IPR2022-01226 of U.S. Patent No. 10,888,601, filed on July 1, 2022.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention *Inter Partes* Review No. IPR2022-01524 of U.S. Patent No. 11,253,572, filed on September 9, 2022.

These documents and the corresponding file wrappers are available on PAIR or PTAB E2E, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR or PTAB E2E.

Statements

No statement

PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

IDS Statement under 37 CFR § 1.97(e)(2): No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

<u>Fees</u>

 \boxtimes No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>October 25, 2022</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic, Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

| Electronic A | Electronic Acknowledgement Receipt | | | |
|--------------------------------------|--|--|--|--|
| EFS ID: | 46891699 | | | |
| Application Number: | 17112404 | | | |
| International Application Number: | | | | |
| Confirmation Number: | 6437 | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | |
| Customer Number: | 96387 | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | |
| Filer Authorized By: | Karl Bozicevic | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | |
| Receipt Date: | 25-OCT-2022 | | | |
| Filing Date: | 04-DEC-2020 | | | |
| Time Stamp: | 19:50:11 | | | |
| Application Type: | Utility under 35 USC 111(a) | | | |

Payment information:

| Submitted with Payment | | no | | | | |
|------------------------|---|-----|---|--|---------------------|---------------------|
| File Listing | g: | | | | | |
| Document Number | Document Description | | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| | | | | 261328 | | |
| 1 | Information Disclosure Statement (IDS) Form (SB08) | REG | GN-008CIPCON8_2022-10-25 _sup_IDS_1449.pdf | c1bb1a2c3e139dc11f3d220d3d2d93fbd04 d17a6 | no | 25 |
| Warnings: | | | | | | |

| Information: | | | | | | |
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| This is not an USPTO supplied IDS fillable form | | | | | | |
| 2 | Transmittal Letter | REGN-008CIPCON8_2022-10-25 _sup_IDS_trans.pdf | 58282 3dde0766505a347a7801e32ee921667bc81 cccdc | no | 5 | |
| Warnings: | | | | | | |
| Information | : | | | | | |
| | | Total Files Size (in bytes) | : 3 | 19610 | | |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application is being filed and the international application includes the necessary components for an international Application is being filed and the international application includes the necessary components for an international application is being filed and the international application includes the necessary components for an international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing dat | | | | | | |

| UNIT | ÈD STATES PATENT A | AND TRADEMARK OFFICE | UNITED STATES DEPARTMENT United States Patent and Trade Address: COMMISSIONER FOR P. P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov | mark Office ATENTS |
|---|--------------------|----------------------|---|-----------------------|
| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 17/112,404 | 12/04/2020 | George YANCOPOULOS | REGN-008CIPCON8 | 6437 |
| 96387 7590 10/27/2022 Regeneron - Bozicevic, Field & Francis 201 REDWOOD SHORES PARKWAY | | EXAM LOCKARD, JON | | |
| SUITE 200 REDWOOD CI | TY, CA 94065 | | ART UNIT | PAPER NUMBER |
| | | | 1647 | |
| | | | NOTIFICATION DATE | DELIVERY MODE |
| | | | 10/27/2022 | ELECTRONIC |

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@bozpat.com

| | Application No. | Applicant(s | • | | |
|---|---|----------------------|-----------------------------|--|--|
| Office Action Commence | 17/112,404 | YANCOPOL | ANCOPOULOS, George | | |
| Office Action Summary | Examiner | Art Unit | AIA (FITF) Status | | |
| | JON M LOCKARD | 1647 | No | | |
| The MAILING DATE of this communication app | ears on the cover sheet with the c | orresponden | nce address | | |
| Period for Reply | | | | | |
| A SHORTENED STATUTORY PERIOD FOR REPL | Y IS SET TO EXPIRE <u>3</u> MONTH | S FROM TH | E MAILING | | |
| DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1 | 36(a). In no event, however, may a reply be tin | nely filed after SIX | (6) MONTHS from the mailing | | |
| date of this communication If NO period for reply is specified above, the maximum statutory period with the statut statu statut statu | will apply and will expire SIX (6) MONTHS from | the mailing date | of this communication. | | |
| Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing | , cause the application to become ABANDONE | ED (35 U.S.C. § 13 | 33). | | |
| adjustment. See 37 CFR 1.704(b). | | | • | | |
| Status | | | | | |
| 1) \square Responsive to communication(s) filed on <u>04</u> | December 2020. | | | | |
| A declaration(s)/affidavit(s) under 37 CFR | · · / | _· | | | |
| , <u> </u> | This action is non-final. | | | | |
| 3) An election was made by the applicant in res | | | | | |
| on; the restriction requirement and ele | - | | | | |
| 4) Since this application is in condition for allow closed in accordance with the practice under | | | | | |
| | | , 100 010 | | | |
| Disposition of Claims* | a lia a tia a | | | | |
| 5) \bigcirc Claim(s) <u>21-54</u> is/are pending in the ap | • | | | | |
| 5a) Of the above claim(s) is/are withd | awn from consideration. | | | | |
| 6) Claim(s) is/are allowed. | | | | | |
| 7) 🗹 Claim(s) <u>21-54</u> is/are rejected. | | | | | |
| 8) 🔲 Claim(s) is/are objected to. | | | | | |
| 9) Claim(s) are subject to restriction a | • | | | | |
| * If any claims have been determined <u>allowable</u> , you may be el | • | - | 1way program at a | | |
| participating intellectual property office for the corresponding an http://www.uspto.gov/patents/init_events/pph/index.jsp or send | | | | | |
| | an inquiry to <u>in rindoadada(@aopta</u> | <u>.gor.</u> | | | |
| Application Papers 10) The specification is objected to by the Exami | oor | | | | |
| 11) The drawing(s) filed on 04 December 2020 is | | phiostod to k | w the Examiner | | |
| Applicant may not request that any objection to the d | | | | | |
| Replacement drawing sheet(s) including the correction | | • | | | |
| Priority under 35 U.S.C. § 119 | 5(1) | | | | |
| 12) Acknowledgment is made of a claim for forei | an priority under 35 U.S.C. & 11 | 19(a)-(d) or (| (f) | | |
| Certified copies: | | | | | |
| a)□ All b)□ Some** c)□ None of t | the: | | | | |
| 1. Certified copies of the priority docur | nents have been received. | | | | |
| 2. Certified copies of the priority documents have been received in Application No. | | | | | |
| 3. Copies of the certified copies of the | | | | | |
| application from the International Bureau (PCT Rule 17.2(a)). | | | | | |
| ** See the attached detailed Office action for a list of the certified copies not received. | | | | | |
| Attachment(s) | | | | | |
| 1) Notice of References Cited (PTO-892) | 3) 🗍 Interview Summar | (PTO-413) | | | |
| | Paper No(s)/Mail [| | | | |
| 2) | | | | | |
| U.S. Patent and Trademark Office | | | | | |

Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

DETAILED ACTION

Status of Application, Amendments, and/or Claims

2. The Preliminary Amendment filed on 04 December 2020 has been entered in full.

Claims 1-20 have been cancelled, and claims 21-54 have been added. Therefore, claims 21-54

are pending and the subject of this Office Action.

Information Disclosure Statement

3. The information disclosure statements (IDS) filed 04 December 2020, 17 June 2021, 09

July 2021, 03 September 2021, 24 November 2021 and 16 December 2021 have been considered

by the examiner.

Claim Rejections - 35 USC § 112

4. The following is a quotation of 35 U.S.C. 112(d):

(d) REFERENCE IN DEPENDENT FORMS.—Subject to subsection (e), a claim in dependent form shall contain a reference to a claim previously set forth and then specify a further limitation of the subject matter claimed. A claim in dependent form shall be construed to incorporate by reference all the limitations of the claim to which it refers.

The following is a quotation of pre-AIA 35 U.S.C. 112, fourth paragraph:

Subject to the following paragraph [i.e., the fifth paragraph of pre-AIA 35 U.S.C. 112], a claim in dependent form shall contain a reference to a claim previously set forth and then specify a further limitation of the subject matter claimed. A claim in dependent form shall be construed to incorporate by reference all the limitations of the claim to which it refers.

5. Claims 23 and 42 are rejected under 35 U.S.C. 112, fourth paragraph, as being of improper

dependent form for failing to further limit the subject matter of the claim upon which it depends,

or for failing to include all the limitations of the claim upon which it depends. Each of the claims recites "wherein said gain in visual acuity is measured using the ETDRS visual acuity chart." However, the claims from which these claims depend, 22 and 41, respectively, already recite that the gain is measured "according to Early Treatment Diabetic Retinopathy Study (ETDRS) letter score." Therefore, claims 23 and 42 fail to further limit the claims from which they depend. Applicant may cancel the claim(s), amend the claim(s) to place the claim(s) in proper dependent form, rewrite the claim(s) in independent form, or present a sufficient showing that the dependent claim(s) complies with the statutory requirements. See the "Supplementary Examination Guidelines for Determining Compliance With 35 U.S.C. 112 and for Treatment of Related Issues

in Patent Applications" (Federal Register, Vol. 76, No. 27, Wednesday, February 9, 2011), pg. 7166, section "5. Dependent Claims", which states that "If the dependent claim does not comply the with the requirements of § 112, ¶4, the examiner should reject the dependent claim under § 112, ¶4 as unpatentable rather than objecting to the claim" and "a dependent claim must be rejected under § 112, ¶4 if it omits an element from the claim upon which it depends or it fails to add a limitation to the claim upon which it depends".

Double Patenting

6. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the claims at issue are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

7. A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the reference application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

8. The USPTO internet Web site contains terminal disclaimer forms which may be used. Please visit http://www.uspto.gov/forms/. The filing date of the application will determine what form should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

9. Claims 21-54 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 9,254,338. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-26 of the '338 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, choroidal neovascularization, vascular leak, and/or retinal edema, comprising administering a fusion

polypeptide having the amino acid sequence set forth in SEQ ID NO:2, which comprises an immunoglobin-like (Ig) domain 2 of a first VEGF receptor (VEGFR1) and Ig domain 3 of a second VEGF receptor (VEGFR2) and a multimerizing component, which is what aflibercept comprises. While the '338 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

10. Claims 21-54 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-12 of U.S. Patent No. 9,669,069. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-12 of the '069 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:2, which comprises an immunoglobin-like (Ig) domain 2 of a first VEGF receptor (VEGFR1) and Ig domain 3 of a second VEGF receptor (VEGFR2) and a multimerizing component, which is what aflibercept comprises. While the '069 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

11. Claims 21-54 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-12 of U.S. Patent No. 10,130,681. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-12 of the '681 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:2, which comprises an immunoglobin-like (Ig) domain 2 of a first VEGF receptor (VEGFR1) and Ig domain 3 of a second VEGF receptor (VEGFR2) and a multimerizing component, which is what aflibercept comprises. While the '681 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).
Therefore, the claims are overlapping in scope.

12. Claims 21-54 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-11 of U.S. Patent No. 10,828,345. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each

other because claims 1-11 of the '345 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization, comprising administering a VEGF antagonist, wherein the VEGF comprises an immunoglobin-like (Ig) domain 2 of Flt1 and Ig domain 3 of Flk1 and a multimerizing component, or aflibercept. While the '345 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

13. Claims 21-54 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-47 of U.S. Patent No. 10,888,601. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-47 of the '601 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization, comprising administering aflibercept. While the '601 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

14. Claims 21-54 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-30 of U.S. Patent No. 11,203,572. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-30 of the '572 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration and macular edema, comprising administering 2 mg of aflibercept, including secondary doses administered every 4 weeks, and tertiary doses administered every 8 weeks. While the '572 patent does not disclose the exact dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

15. Claims 21-30, 32-34, 36-43, 45-47 and 49-54 are rejected on the ground of nonstatutory double patenting as being unpatentable over claims 1-30 of U.S. Patent No. 11,253,572. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-30 of the '572 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration and macular edema, comprising administering 2 mg of aflibercept, including secondary doses administered every 4 weeks, and tertiary doses administered every 8 weeks. While the '572 patent does not

disclose the exact dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

16. Claims 21-54 provisionally rejected on the ground of nonstatutory double patenting as being unpatentable over claims 21-57 of copending Application No. 17/112,063 (reference application). Although the claims at issue are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 21-57 of the '063 application are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration and diabetic macular edema, comprising administering 2 mg of aflibercept, including secondary doses administered every 4 weeks, and tertiary doses administered every 8 weeks. While the '063 application does not disclose the exact dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).
Therefore, the claims are overlapping in scope..

This is a provisional nonstatutory double patenting rejection because the patentably indistinct claims have not in fact been patented.

17. Claims 21-54 provisionally rejected on the ground of nonstatutory double patenting as being unpatentable over claims 102-130 of copending Application No. 17/072,417 (reference application). Although the claims at issue are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 102-130 of the '417 application are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration and macular edema, comprising administering 2 mg of aflibercept every 8 weeks, wherein the patient had received initial and secondary 2 mg doses of aflibercept every 2-4 weeks. While the '417 application does not disclose the exact dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope..

This is a provisional nonstatutory double patenting rejection because the patentably indistinct claims have not in fact been patented.

Summary

18. No claim is allowed.

Advisory Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jon M. Lockard whose telephone number is (571) 272-2717. The examiner can normally be reached on Monday through Friday, 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joanne Hama, can be reached on (571) 272-2911. The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at **866-217-9197** (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JON M LOCKARD/ Examiner, Art Unit 1647 October 22, 2022



| Application/Control No. | Applicant(s)/Patent Under Reexamination |
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| 17/112,404 | YANCOPOULOS, George |
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* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

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| STN (MEDLINE, SCISEARCH, EMBASE, BIOSIS): See attached search history. | 10/22/2022 | JML |
| PALM: Inventor search. | 10/22/2022 | JML |
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| APPLICANTS REGENERON PHARMACEUTICALS, INC., Tarrytown, NY | | | | | | | | | | |
| INVENTORS George YANCOPOULOS, Yorktown Heights, NY; | | | | | | | | | | |
| ** CONTINUING DATA ********************************** | | | | | | | | | | |
| 12/14/202 Foreign Priority claimed 35 USC 119(a-d) cond | d | Yes Vo | Met af Allowa | ter | STATE OR COUNTRY | | IEETS WINGS | TOT CLAI | | INDEPENDENT CLAIMS |
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PE2E SEARCH - Search History (Prior Art)

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| Ref# | Hits | Search Query | DBs | Default Operator | Plurals | British Equivalents | Time Stamp |
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| L1 | 9876 | (flt1 OR vegfr1 OR (vegf ADJ r1)) SAME (flk1 OR kdr OR vegfr2 OR (vegf ADJ r2)) | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:11 PM |
| L2 | 1310 | L1 SAME ((chimer\$ OR fusion) SAME vegf) | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:11 PM |
| L3 | 12949 | aflibercept zaltrap eylea "vegf trap" | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:12 PM |
| L4 | 418 | (L2 L3) SAME ((eye OR ocular OR retina\$ OR macular) WITH disorder) | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:13 PM |
| L5 | 26 | L4 AND @py<="2011" | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:14 PM |
| L6 | 286 | (L2 L3) WITH ((eye OR ocular OR retina\$ OR macular) WITH disorder) | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:15 PM |
| L7 | 11 | L6 AND @py<="2011" | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:15 PM |
| L8 | 543 | yancopoulos-g\$.in. | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:16 PM |
| L9 | 94 | (L2 L3) AND L8 | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:16 PM |
| L10 | 43 | L9 AND treat\$.clm. | (US-PGPUB; USPAT; EPO; DERWENT) | OR | ON | ON | 2022/10/22 02:17 PM |
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PE2E SEARCH - Search History (Interference)

There are no Interference searches to show.

Page 1 of 12

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| INFORMATION DISCLOSURE STATEMENT | REGENERON PHARMACEUTICALS | , INC. |
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| | December 4, 2020 | Forberassigned 1647 |

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| STATEMENT BY APPLICANT | | First Named Inventor | | George | George D. YANCOPOULOS | | | |
| | | Art Unit | Art Unit | | To be Assigned 1647 | | | |
| | | | | | Examine | r Name | To Ber | SSIGNED Jon Lockard |
| Sheet | | 1 | of | 1 | Attorney | Docket Number | REGN- | 008CIPCON8 |
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| | | | | 0.5.1 | PAIENIL | DOCUMENTS | | |
| F | Cite | Patent Numb | er | Issu | e Date | Name of Patent | ee or | Pages, Columns, Lines, Where |
| Examiner | | | | | | | | |
| Examiner Initial* | No. | Number-Kind Code (if kn | own) | YYYY | -MM-DD | Applicant of Cited D | ocument | Relevant Passages or Relevant Figures Appear |

| | U.S. PATENT APPLICATION PUBLICATIONS | | | | | |
|----------|--------------------------------------|-----------------------------|------------------|-----------------------------|-------------------------------|--|
| Examiner | Cite | Publication Number | Publication Date | Name of Patentee or | Pages, Columns, Lines, Where | |
| Initial* | No. | | YYYY-MM-DD | Applicant of Cited Document | Relevant Passages or Relevant | |
| | | Number-Kind Code (if known) | | | Figures Appear | |
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| | FOREIGN PATENT DOCUMENTS | | | | | |
|----------------------|--------------------------|---|--------------------------------|--|--|---|
| Examiner Initial* | Cite No. | Foreign Document Number Country Code-Number-Kind Code (<i>if</i> known) | Publication Date YYYY-MM-DD | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear | Т |
| | 1 | | | | | |

| | NON PATENT LITERATURE DOCUMENTS | | | | | | |
|-----------------------|---------------------------------|----|---|--|---|--|--|
| Exan er Initial | | o. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | Т | | |
| /J. | .L/ - | 1 | HEIER, J., "Intravitreal VEGF Trap for AMD: An Update, The CLEAR-IT 2 Extension Study" Presented at the annual meeting of the Association for Research in Vision and Ophthalmology, Retina Today (2009) pp. 44-45 | | | | |

| Examiner | / JON M LOCKARD/ | Date | 10/21/2022 |
|-----------|------------------|------------|------------|
| Signature | • | Considered | 10/21/2022 |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Inventor Information for 17/112404

/J.L./

| Inventor Name | City | State/Country | | | | |
|--|--|----------------------------|--|--|--|--|
| YANCOPOULOS, GEORGE | YORKTOWN HEIGHTS | NEW YORK | | | | |
| Apple late Coments Petition Info Atty/Agent Info Con | timuty Data Foreign Data Inventors Applicants Adr | ress Fees Post Into Pie Gr | | | | |
| | Search Another: Application # Search or Patent # Search or International Registration # Search | | | | | |
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APOTEX V. REGENERON IPR2022-01524 REGENERON EXHIBIT 2011 PAGE 227

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(FILE 'HOME' ENTERED AT 14:28:52 ON 22 OCT 2022)

FILE 'MEDLINE, SCISEARCH, EMBASE, BIOSIS' ENTERED AT 14:35:15 ON 22 OCT 2022 L14969 S (FLT1 OR VEGFR1 OR (VEGF (W) R1)) (S) (FLK1 OR KDR OR VEGFR2 L2 108 S L1 (P) (CHIMER? OR FUSION) LЗ 18853 S AFLIBERCEPT OR ZALTRAP OR EYLEA OR "VEGF TRAP" L4 121 S (L2 OR L3) (P) ((EYE OR OCULAR OR RETINA? OR MACULAR) (S) D 68 DUP REM L4 (53 DUPLICATES REMOVED) L5 Lб 0 S L5 AND @PD <=2011 L7 0 S L5 AND @PY <=2011 0 S L5 AND @PD<=2011 L8 E YANCOPOULOS G/AU Гð 2485 S E3 OR E4 OR E8 OR E9 165 S (L2 OR L3) AND L9 L10 74 DUP REM L10 (91 DUPLICATES REMOVED) L11

| SUBSTITUTE 1449 INFORMATION DISCLOSURE STATEMENT | ATTY. DOCKET NO. | APPLICATION NO. | |
|---|---------------------------|-----------------|--|
| | REGN-008CIPCON8 | 17/112,404 | |
| | APPLICANT | | |
| | REGENERON PHARMACEUTICALS | , INC. | |
| | FILING DATE | GROUP | |
| | December 4, 2020 | 1647 | |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|---|--------------------|------------|---------------------|-------------------------------------|
| | | DOCUMENT NUMBER | DATE | NAME | REFERENCE PROVIDED* |
| | 1 | US 7,087,411 B2 | 08/08/2006 | Daly <i>et al</i> . | not required per 69 Fed. Reg. 56481 |
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| FOREIGN PATENT DOCUMENTS | | | | | | |
|--------------------------|--|--------------------|------|---------|-------------|----------------------------|
| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION | REFERENCE PROVIDED* |
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| | NON-PATENT LITERATURE DOCUMENTS | | | | |
|---|--|---------------------|--|--|--|
| | DOCUMENT (Including Author, Title, Date, Pertinent Pages, etc.) | REFERENCE PROVIDED* | | | |
| 2 | Berker <i>et al.</i> , "Surgical treatment of central retinal vein occlusion," <i>Acta Ophthalmol.</i> , 86:245-252 (2008) | Herewith | | | |
| 3 | Byeon <i>et al.</i> , "Short-Term Results of Intravitreal Bevacizumab for Macular Edema with Retinal Vein Obstruction and Diabetic Macular Edema, <i>J.</i> <i>OCULAR PHARMACOLOGY AND THERAPEUTICS</i> , 23(4):387-394 (November 2007) | Herewith | | | |
| 4 | ClinicalTrials.gov, "1997: Congress Passes Law (FDAMA) Requiring Trial Registration," (1997), https://clinicaltrials.gov/ct2/about-site/history, submitted in IPR2023-00099 as Exhibit 1085 (last updated May 2021) | Herewith | | | |
| 5 | Corrections to Kiire <i>et al.</i> , "Managing Retinal Vein Occlusion," <i>BMJ</i> , 344(e2110):1 (2012) | Herewith | | | |
| 6 | Expert Declaration of Dr. Jay M. Stewart in Support of Petition for <i>Inter Partes</i> Review of U.S. Patent No. 10,857,205 B2, dated October 27, 2022, in IPR2023- 00099 | Herewith | | | |
| 7 | Expert Declaration of Mary Gerritsen, Ph.D. in Support of Petition for <i>Inter</i> <i>Partes</i> Review of U.S. Patent No. 10,857,205 B2, dated October 27, 2022, in IPR2023-00099 | Herewith | | | |
| 8 | Gewaily <i>et al.</i> , "Intravitreal steroids versus observation for macular edema secondary to central retinal vein occlusion," <i>Cochrane Database Syst. Rev.</i> , 1(CD007324):1-31 (2009) | Herewith | | | |

| EXAMINER | DATE CONSIDERED | | |
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| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and no considered. Include copy of this form with next communication to Applicant. | | | |
| *Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a prior application. Pursuant to 37 C.F 1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior application, where the prior application identified by its U.S. Application Number in this Information Disclosure Statement. | | | |

| | ATTY. DOCKET NO. | APPLICATION NO. | |
|----------------------------------|---------------------------------|-----------------|--|
| | REGN-008CIPCON8 | 17/112,404 | |
| SUBSTITUTE 1449 | APPLICANT | | |
| INFORMATION DISCLOSURE STATEMENT | REGENERON PHARMACEUTICALS, INC. | | |
| | FILING DATE | GROUP | |
| | December 4, 2020 | 1647 | |

| | NON-PATENT LITERATURE DOCUMENTS | | | | |
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| | DOCUMENT (Including Author, Title, Date, Pertinent Pages, etc.) | REFERENCE PROVIDED* | | | |
| 9 | Golan <i>et al.</i> , "Current Treatment of Retinal Vein Occlusion," <i>Eur. Ophthalmic Rev.</i> , 5:62-68 (2011) | Herewith | | | |
| 10 | Keane <i>et al.</i> , "Retinal vein occlusion and macular edema – critical evaluation of the clinical value of ranibizumab," <i>Clinical Ophthalmology</i> , 5:771-781 (2011) | Herewith | | | |
| 11 | Kiire <i>et al.</i> , "Managing retinal vein occlusion," <i>BMJ</i> , 344(e499):1-16 (February 2012) | Herewith | | | |
| 12 | Kinge <i>et al.</i> , "Efficacy of Ranibizumab in Patients With Macular Edema Secondary to Central Retinal Vein Occlusion: Results From the Sham- Controlled ROCC Study," <i>American Journal of Ophthalmology</i> , 150(3):310- 314 (2010) | Herewith | | | |
| 13 | Kreatsoulas, "Expanding Therapeutic Options for Retinal Vein Occlusion," <i>Retina Today</i> , pp. 20-21 (July/August 2009) | Herewith | | | |
| 14 | Petition for <i>Inter Partes</i> Review of U.S. Patent No. 10,857,205 B2, dated October 28, 2022, in IPR2023-00099 | Herewith | | | |
| 15 | Pieramici, "Intravitreal Ranibizumab for Treatment of Macular Edema Secondary to Retinal Vein Occlusion," <i>Retina Today</i> , 44-46 (March 2009) | Herewith | | | |
| 16 | Regeneron Pharmaceuticals, Inc., "Bayer and Regeneron Extend Development Program for VEGF Trap-Eye to Include Central Retinal Vein Occlusion," Press Release, (Apr. 30, 2009), https://investor.regeneron.com/news-releases/news- release-details/bayer-and-regeneron-extend-development-program-vegf- trap-eye, submitted in IPR2023-00099 as Exhibit 1028 (last accessed November 4, 2022) | Herewith | | | |
| 17 | Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer HealthCare Announce Encouraging 32-Week Follow Up Results from a Phase 2 Study of VEGF Trap- Eye in Age-Related Macular Degeneration," Press Release, (Apr. 28, 2008), http://newsroom.regeneron.com/releasedetail.cfm?releaseid=394066, submitted in IPR2023-00099 as Exhibit 1012 (last accessed November 11, 2022) | Herewith | | | |
| 18 | Regeneron Pharmaceuticals, Inc., "Regeneron Reports Third Quarter 2010 Financial Results and Business Highlights," Press Release (Oct. 28, 2010) https://investor.regeneron.com/news-releases/news-release-details/regeneron- reports-third-quarter-2010-financial-results-and, submitted in IPR2023-00099 as Exhibit 1058 (last accessed November 4, 2022) | Herewith | | | |

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*Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a prior application. Pursuant to 37 C.F.R. § 1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior application, where the prior application is identified by its U.S. Application Number in this Information Disclosure Statement.

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| | ATTY. DOCKET NO. | APPLICATION NO. | |
|----------------------------------|---------------------------------|-----------------|--|
| | REGN-008CIPCON8 | 17/112,404 | |
| SUBSTITUTE 1449 | APPLICANT | | |
| INFORMATION DISCLOSURE STATEMENT | REGENERON PHARMACEUTICALS, INC. | | |
| | FILING DATE GROUP | GROUP | |
| | December 4, 2020 | 1647 | |

| NON-PATENT LITERATURE DOCUMENTS | | | | |
|---------------------------------|--|---------------------|--|--|
| | DOCUMENT (Including Author, Title, Date, Pertinent Pages, etc.) | REFERENCE PROVIDED* | | |
| 19 | Regeneron Pharmaceuticals, Inc., Quarterly Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 (Form 10-Q), submitted in IPR2023-00099 as Exhibit 1021 (Sept. 30, 2009) | Herewith | | |
| 20 | Regeneron Pharmaceuticals, Inc., Quarterly Report Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934 (Form 10-Q), submitted in IPR2023-00099 as Exhibit 1022 (Sept. 30, 2010) | Herewith | | |
| 21 | Shahid <i>et al.</i> , "The Management of Retinal Vein Occlusion: is Interventional Ophthalmology the Way Forward?," <i>Br. J. Ophthalmology</i> , 90:627-639 (2006) | Herewith | | |
| 22 | Sophie <i>et al.</i> , "Aflibercept: a Potent Vascular Endothelial Growth Factor Antagonist for Neovascular Age-Related Macular Degeneration and Other Retinal Vascular Diseases," <i>Biol. Ther.</i> , 2(3):1-22 (2012) | Herewith | | |
| 23 | Wu <i>et al.</i> , "Comparison Of Two Doses Of Intravitreal Bevacizumab (Avastin) For Treatment Of Macular Edema Secondary To Branch Retinal Vein Occlusion," <i>Retina</i> , 28:212-219 (2008) | Herewith | | |

| EXAMINER | DATE CONSIDERED | |
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| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line three considered. Include copy of this form with next communication to Applicant. | ough citation if not in conformance and not | |
| *Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a prior application. Pursuant to 37 C.F.F 1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior application, where the prior application identified by its U.S. Application Number in this Information Disclosure Statement. | | |

Page 4 of 4

| | ATTY. DOCKET NO. | APPLICATION NO. | |
|----------------------------------|---------------------------|-----------------|--|
| | REGN-008CIPCON8 | 17/112,404 | |
| SUBSTITUTE 1449 | APPLICANT | | |
| INFORMATION DISCLOSURE STATEMENT | REGENERON PHARMACEUTICALS | CEUTICALS, INC. | |
| | FILING DATE GROUP | GROUP | |
| | December 4, 2020 | 1647 | |

| NON-PATENT LITERATURE DOCUMENTS - FINAL WRITTEN DECISIONS | | | | |
|---|--|---------------------|--|--|
| | DOCUMENT (Including Author, Title, Date, Pertinent Pages, etc.) | REFERENCE PROVIDED* | | |
| 24 | Final Written Decision Determining All Challenged Claims Unpatentable Denying Petitioner's Motion to Exclude Evidence Denying in part and Dismissing in Part Patent Owner's Motion to Exclude Evidence dated November 9, 2022, in IPR2021-00880 dated November 9, 2022, for US 9,669,069 B2 | Herewith | | |
| 25 | Final Written Decision Determining All Challenged Claims Unpatentable Denying in part and Dismissing in part Petitioners' Motion to Exclude Denying in part and Dismissing in part Denying Patent Owner's Motion to Exclude dated November 9, 2022, in IPR2021-00881 dated November 9, 2022, for US 9,254,338 B2 | Herewith | | |

| EXAMINER | DATE CONSIDERED | | |
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| EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant. | | | |
| *Copies of the listed references are either submitted herewith or were previously cited by or submitted to, the Office in a prior application. Pursuant to 37 C.F.R. § | | | |

1.97(d) and MPEP §609, the indicated reference may have been previously cited by or submitted to, the Office in a prior application, where the prior application is identified by its U.S. Application Number in this Information Disclosure Statement.

| Electronic Patent Application Fee Transmittal | | | | | |
|---|--|----------|----------|--------|-------------------------|
| Application Number: | 17 | 17112404 | | | |
| Filing Date: | 04-Dec-2020 | | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | | |
| Filed as Large Entity | | | | | |
| Filing Fees for Utility under 35 USC 111(a) | | | | | |
| Description | | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: | | | | | |
| Pages: | | | | | |
| Claims: | | | | | |
| Miscellaneous-Filing: | | | | | |
| Petition: | | | | | |
| Patent-Appeals-and-Interference: | | | | | |
| Post-Allowance-and-Post-Issuance: | | | | | |
| Extension-of-Time: | | | | | |

| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) | |
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| Miscellaneous: | | | | | |
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| | Total in USD (\$) | | | 260 | |
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| Electronic Ac | Electronic Acknowledgement Receipt | | | | |
|--------------------------------------|--|--|--|--|--|
| EFS ID: | 47020321 | | | | |
| Application Number: | 17112404 | | | | |
| International Application Number: | | | | | |
| Confirmation Number: | 6437 | | | | |
| Title of Invention: | USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS | | | | |
| First Named Inventor/Applicant Name: | George YANCOPOULOS | | | | |
| Customer Number: | 96387 | | | | |
| Filer: | Karl Bozicevic/Kimberly Zuehlke | | | | |
| Filer Authorized By: | Karl Bozicevic | | | | |
| Attorney Docket Number: | REGN-008CIPCON8 | | | | |
| Receipt Date: | 14-NOV-2022 | | | | |
| Filing Date: | 04-DEC-2020 | | | | |
| Time Stamp: | 17:18:20 | | | | |
| Application Type: | Utility under 35 USC 111(a) | | | | |

Payment information:

| Submitted with Payment | yes | |
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| Payment Type | CARD | |
| Payment was successfully received in RAM | \$260 | |
| RAM confirmation Number | E2022ADH18442593 | |
| Deposit Account | | |
| Authorized User | | |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: | | |

File Listing:

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|---|---|--|--|---------------------|---------------------|
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | Transmittal Letter | REGN-008CIPCON8_2022-11-14 _supp_IDS_trans.pdf | 58743 d1c49611fdd16c6d3afd888ed447de39e90 b4d27 | no | 5 |
| Warnings: | | | | | |
| Information: | | | | | |
| 2 | Information Disclosure Statement (IDS) Form (SB08) | REGN-008CIPCON8_2022-11-14 _Substitute_1449.pdf | 46366 8052b5765ce30621bb0ea9f9caff55d0fd60 5409 | no | 4 |
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| 3 | Fee Worksheet (SB06) | fee-info.pdf | 98e0fc182a025cf2bf068c33a62d27dd2f51 b021 | no | 2 |
| Warnings: | | | | | |
| Information: | | | | | |
| | | Total Files Size (in bytes) | 14 | 43264 | |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. New Applications Under 35 U.S.C. 111 If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other application requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application is being filed and the international application includes the necessary components for an international application is being filed and the international application includes the necessary components for an international application is being filed and the international application includes the necessary components for an international application is being filed and the international application of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. | | | | | |

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|--|--|------------------------|--|--|
| INFORMATION DISCLOSURE STATEMENT | Attorney Docket No. | REGN-008CIPCON8 | | |
| | Confirmation No. | 6437 | | |
| | First Named Inventor | George D. Yancopoulos | | |
| | Application Number | 17/112,404 | | |
| | Filing Date | December 4, 2020 | | |
| | Group Art Unit | 1647 | | |
| Address to: | Examiner Name | Jon McClelland Lockard | | |
| Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders" | | | |

Electronically Filed

Sir:

The attention of the Examiner is invited to the documents listed on the attached Substitute 1449.

A copy of the U.S. patent listed on the attached Substitute 1449 is not submitted herewith, in accordance with the Strategic Plan Final Rule, 69 Fed. Reg. 56481-56547 (September 21, 2004), effective October 21, 2004.

Copies of the foreign publication and non-patent literature documents listed on the attached Substitute 1449 are submitted in parent U.S. Application No. 17/072,417.

It is respectfully requested that the information above be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

No aspect of these submissions constitute admission of prior art status or a disclaimer of claim scope.

Statement under 37 C.F.R. §§1.56 and 1.2

Applicant hereby advises the Examiner of the status of a co-pending application(s) in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 (*see* also M.P.E.P. §2001.06(b)) as discussed in *McKesson Info. Soln. Inc., v. Bridge Medical Inc.*, 487 F.3d 897 (Fed. Cir. 2007).

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 13/940,370, filed July 12, 2013, which issued as U.S. Patent No. 9,254,338 on February 9, 2016.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 14/972,560, filed December 17, 2015, which issued as U.S. Patent No. 9,669,069 on June 6, 2017.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 15/471,506, filed March 28, 2017, which issued as U.S. Patent No. 10,130,681 on November 20, 2018.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 16/055,847, filed August 6, 2018, which issued as U.S. Patent No. 10,857,205 on December 8, 2020.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 16/159,282, filed October 12, 2018, which issued as U.S. Patent No. 10,828,345 on November 10, 2020.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 16/397,267, filed April 29, 2019, which issued as U.S. Patent No. 10,888,601 on January 12, 2021.

With respect to this statement under *McKesson*, Applicants wishes to bring to the Examiner's attention U.S. Patent Application No. 17/352,892, filed June 21, 2021, which issued as U.S. Patent No. 11,253,572 on February 22, 2022.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/072,417, filed October 16, 2020. A Non-Final Office Action issued on October 17, 2022. A response thereto has not yet been filed.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/112,063, filed December 4, 2020. A Non-Final Office Action issued on October 11, 2022. A response thereto has not yet been filed.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/350,958, filed June 17, 2021.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 17/740,744, filed May 10, 2022. A Notice of Allowance issued on November 14, 2022. A response thereto has not yet been filed.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention *Inter Partes* Review Application No. IPR2021-00880 of U.S. Patent No. 9,669,069, filed on May 5, 2021. A Final Written Decision dated November 9, 2022, has been issued by PTAB.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention *Inter Partes* Review Application No. IPR2021-00881 of U.S. Patent No. 9,254,338, filed on May 5, 2021. A Final Written Decision dated November 9, 2022, has been issued by PTAB.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention *Inter Partes* Review Application No. IPR2022-01225 of U.S. Patent No. 10,130,681, filed on July 1, 2022; IPR2022-01226 of U.S. Patent No. 10,888,601, filed on July 1, 2022.

With respect to this statement under *McKesson*, Applicant wishes to bring to the Examiner's attention *Inter Partes* Review Application No. IPR2023-00099 of U.S. Patent No. 10,857,205, filed on October 28, 2022.

These documents and the corresponding file wrappers are available on PAIR or PTAB E2E, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR or PTAB E2E.

Statements

No statement

PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

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<u>Fees</u>

- No fee is believed to be due.
- The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above-referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON8.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>14 November 2022</u>

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