Exect officially Filed					
PRELIMINARY	Attorney Docket No.	REGN-008CIPCON5			
AMENDMENT	Confirmation No.	To Be Assigned			
Under CFR 1.115	First Named Inventor	YANCOPOULOS, GEORGE D.			
	Application Number	To Be Assigned			
Address to:	Filing Date	April 29, 2019			
Mail Stop Patent Application	Group Art Unit	To Be Assigned			
Commissioner for Patents	Examiner Name	To Be Assigned			
P.O. Box 1450	Title: "Use of a VEGF Antagonist to Treat Angiog				
Alexandria, VA 22313-1450	Eye Disorders"				

#### **Electronically Filed**

Sir:

Prior to the examination of the above-referenced application on the merits, please enter the amendments below.

#### **Electronically Filed**

NOTIFICATION OF PRIOR	Attorney Docket	REGN-008CIPCON4	
SEQUENCE LISTING	First Named Inventor	YANCOPOULOS, GEORGE D.	
	Application Number	To Be Assigned	
	Filing Date	April 29, 2019	
Address to: Mail Stop Patent Application	Confirmation Number	To Be Assigned	
Commissioner for Patents	Group Art Unit	To Be Assigned	
P.O. Box 1450 Alexandria, VA 22313-1450	Examiner Name	To Be Assigned	
	Title: "USE OF A VEC ANGIOGENIC E	F Antagonist to Treat ye Disorders"	

Sir:

The above-identified patent application contains sequences of nucleic acid and polypeptides. A sequence listing was prepared for parent application, **16/159,282**, filed **October 12, 2018**, in paper and computer-readable format. The sequence information in the paper or compact disk copy of the sequence listing (required by 1.821(c)) of this application is identical to the sequence information in the computer-readable format (CRF) of the above-identified other application. No new matter has been added. Therefore, please transfer to this application, in accordance with 37 CFR § 1.821(e), the fully compliant computer readable copy from applicants' other application. A paper (.txt) copy of this sequence listing is enclosed.

Applicants respectfully submit that the present patent application is now in compliance with 37 CFR §§ 1.821 - 1.825. The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees for extensions of time, or credit any overpayment to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Dated: \_\_\_\_\_ 29 April 2019

By: <u>/Karl Bozicevic, Reg. No. 28,807/</u> Karl Bozicevic Registration No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 201 Redwood City, California 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

#### REGN-008CIPCON2\_SeqList.txt

#### SEQUENCE LISTING

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<120> Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders
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REGN-008CIPCON2\_SeqList.txt Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys 450 455

Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDER				'E DISORDERS	
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Filer:	Ka	rl Bozicevic/Kimber	ly Zuehlke			
Attorney Docket Number:	REGN-008CIPCON5					
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
UTILITY APPLICATION FILING		1011	1	300	300	
UTILITY SEARCH FEE		1111	1	660	660	
UTILITY EXAMINATION FEE		1311	1	760	760	
Pages:						
Claims:						
CLAIMS IN EXCESS OF 20		1202	9	100	900	
INDEPENDENT CLAIMS IN EXCESS OF 3		1201	1	460	460	
Miscellaneous-Filing:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0			
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Miscellaneous:							
	Tot	al in USD	(\$)	3080			

Electronic Acknowledgement Receipt					
EFS ID:	35858839				
Application Number:	16397267				
International Application Number:					
Confirmation Number:	8135				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
First Named Inventor/Applicant Name:	George D. YANCOPOULOS				
Customer Number:	96387				
Filer:	Karl Bozicevic/Kimberly Zuehlke				
Filer Authorized By:	Karl Bozicevic				
Attorney Docket Number:	REGN-008CIPCON5				
Receipt Date:	29-APR-2019				
Filing Date:					
Time Stamp:	14:51:44				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

CARD
\$3080
043019INTEFSW14522800

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listin	g:				
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			1256704		
1	Application Data Sheet	REGN-008CIPCON5_2019-04-29 _ADS.pdf	0e88f782022f8326bee7e55bd6957f75e862 209c	no	9
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Information:					
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2		REGN-008CIPCON5_2019-04-29 _AppIn_as_fld.pdf	85273a495296621a979ffed2ccdec6502c79 9c0c	yes	24
	Multip	eart Description/PDF files in .	zip description		
	Document De	scription	Start	E	nd
	Abstrac	24	24		
	Claims	22	23		
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Warnings:					
Information:					
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3	Drawings-only black and white line drawings	REGN-008CIPCON5_Figure.pdf	2d582f645d0c5d17d717e589b029a393319 91bdb	no	1
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Information:					
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4	Oath or Declaration filed	REGN-008CIPCON5_declaration .pdf	6bda7272374e6af80c8c3d8cf30d012e4657 b588	no	2
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Claim	S	2		5					
Applicant Arguments/Remarks	6		7						
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REGN-008CIPCON5			
		Application Number				
Title of Invention	vention USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.						

This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.

## Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

### **Inventor Information:**

Invent	or	1						Re	emove	
Legal N	Vame									
Prefix	Give	en Name		Middle Name	•	F	Family N	lame		Suffix
-	Geor	ge		D.			YANCOP	OULOS		•
Resid	ence	Information (	Select One)	US Residency		Non US Resid	lency	Activ	e US Military Service	
City	York	town Heights		State/Province	NY	Country	of Resid	lence	US	
									<u>+</u>	
Mailing	Addr	ess of Invente	DI.							
Addres	ss 1		c/o Regenero	n Pharmaceuticals, li	NC.					
Addres	ss 2		777 Old Saw	Mill River Road						
City		Tarrytown			:	State/Provin	ice	NY		
Postal	Code	÷	10591		Coun	tryi U	S			
				ional Inventor Info he <b>Add</b> button.	ormatio	n blocks ma	ay be		Add	

#### **Correspondence Information:**

Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).						
An Address is being provided for the correspondence Information of this application.						
Customer Number	96387					
Email Address	docket@bozpat.com	Add Email	Remove Email			

### **Application Information:**

Title of the Invention	USE OF A VEGF ANTAGONIST TO T	JSE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS			
Attorney Docket Number	REGN-008CIPCON5 Small Entity Status Claimed				
Application Type	Nonprovisional	Nonprovisional 🗸			
Subject Matter	Utility ▼				
Total Number of Drawing	Sheets (if any) 1	Suggested Figure for Publication (if any) 1			

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REGN-008CIPCON5	
		Application Number		
Title of Invention	USE OF A VEGF ANTAGONI	GONIST TO TREAT ANGIOGENIC EYE DISORDERS		

## Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country	

### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

**Request Not to Publish.** I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)
Customer Number	96387		

## Domestic Benefit/National Stage Information:

 This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate

 National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes

 the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

 When referring to the current application, please leave the "Application Number" field blank.

 Prior Application Status
 Pending

 Application Number
 Continuity Type

 Prior Application Number
 Continuity Type

 Prior Application Number
 Continuity Type

 Prior Application Number
 2018-10-12

PTO/AIA/14 (02-18)

Approved for use through 11/30/2020. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number

Annia tion D	Application Data Sheet 37 CFR 1.76				Docket Number REGN-008CIPCON5						
Application L	ata She	et 37 GFR 1	.70	Applicatio	n ľ	Number					
Title of Invention	USE OF	F A VEGF ANTA	GONI	ST TO TREA	λT /	ANGIOGENIC E	YE DI	SORDE	RS		
Prior Application	on Status	Patented		-	·					Remo	ve
Application Number	Cont	inuity Type	Pri	or Applicatio Number	n	Filing Date (YYYY-MM-D		Pate	ent Numb	ber	Issue Date (YYYY-MM-DD)
16159282	Continuat	ion of 🛛 🔻	1547	1506		2017-03-28		10130	691		2018-11-20
Prior Application	on Status	Patented		-	Ż					Remo	
Application Number	Cont	inuity Type	Pri	or Applicatio Number	n	Filing Date (YYYY-MM-D		Pate	ent Numt	ber	Issue Date (YYYY-MM-DD)
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Prior Application	on Status	Patented		-	·					Remo	ve
Application Number	Cont	inuity Type	Pri	or Applicatio Number	n	Filing Date (YYYY-MM-D		Pate	ent Numb	ber	Issue Date (YYYY-MM-DD)
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Prior Application Status Expired		-	,	•••				Remo	ve		
Application Number Continuity Type		Гуре		Prior Application Number (YYYY-MM-[		• •					
13940370		Continuation in	n part o	of 🗸	Ī	PCT/US2012/020855			2012-0	1-11	
Prior Application	on Status	Expired		-	,				Remo	ve	
Application N	umber	Conti	nuity <sup>-</sup>	Гуре		Prior Application Number		Filing or 371(c) Date (YYYY-MM-DD)			
PCT/US2012/0208	55	Claims benefit	of pro	visional 🚽	·	61432245 2011-01-13					
Prior Application	on Status	Expired		•	ĺ			I		Remo	ve
Application Number Continuity Type		Гуре		Prior Application Number (YYYY-MM							
PCT/US2012/020855 Claims benefit of provisional		visional 🔻	,	61434836 2011-01-21							
Prior Application Status Expired		,			Į		Remo	ve			
Application N	umber	Continuity Type			Prior Application Number		umber			371(c) Date ⁄-MM-DD)	
PCT/US2012/0208	55	Claims benefit	of pro	visional 🚽	,	61561957			2011-1	1-21	
	Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button. Add										

## **Foreign Priority Information:**

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Da	ta Sheet 37 CER 1 76	Attorney Docket Number	REGN-008CIPCON5		
Application Data Sheet 37 CFR 1.76		Application Number			
Title of Invention	USE OF A VEGF ANTAGONI	IIST TO TREAT ANGIOGENIC EYE DISORDERS			

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>i</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority Add button.	Add		

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REGN-008CIPCON5		
Application Da		Application Number			
Title of Invention	USE OF A VEGF ANTAGONI	NIST TO TREAT ANGIOGENIC EYE DISORDERS			

## Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

**<u>NOTE</u>**: This section of the Application Data Sheet is <u>**ONLY**</u> reviewed and processed with the <u>**INITIAL**</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

#### 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. <u>Priority Document Exchange (PDX)</u> - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).

**B.** <u>Search Results from U.S. Application to EPO</u> - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

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Application Data Sheet 37 CFR 1.76		Application Number			
Title of Invention	USE OF A VEGF ANTAGONI	IIST TO TREAT ANGIOGENIC EYE DISORDERS			

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REGN-008CIPCON5		
		Application Number			
Title of Invention	USE OF A VEGF ANTAGON	IST TO TREAT ANGIOGENIC EYE DISORDERS			

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#### ABSTRACT

The present invention provides methods for treating angiogenic eye disorders by sequentially administering multiple doses of a VEGF antagonist to a patient. The methods of the present invention include the administration of multiple doses of a VEGF antagonist to a patient at a frequency of once every 8 or more weeks. The methods of the present invention are useful for the treatment of angiogenic eye disorders such as age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

What is claimed is:

1. A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 2 to 4 weeks after the immediately preceding dose; and

wherein each tertiary dose is administered at least 8 weeks after the immediately preceding dose.

2. The method of claim 1, wherein only a single secondary dose is administered to the patient, and wherein the single secondary dose is administered 4 weeks after the initial dose of the VEGF antagonist.

3. The method of claim 1, wherein only two secondary doses are administered to the patient, and wherein each secondary dose is administered 4 weeks after the immediately preceding dose.

4. The method of claim 3, wherein each tertiary dose is administered 8 weeks after the immediately preceding dose.

5. The method of claim 1, wherein at least 5 tertiary doses of the VEGF antagonist are administered to the patient, and wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered 8 or 12 weeks after the immediately preceding dose.

6. The method of claim 1, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

7. The method of claim 6, wherein the angiogenic eye disorder is age related macular degeneration.

8. The method of claim 1, wherein the VEGF antagonist is an anti-VEGF antibody or fragment thereof, an anti-VEGF receptor antibody or fragment thereof, or a VEGF receptor-based chimeric molecule.

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9. The method of claim 8, wherein the VEGF antagonist is a VEGF receptor-based chimeric molecule.

10. The method of claim 9, wherein the VEGF receptor-based chimeric molecule comprises VEGFR1R2-Fc $\Delta$ C1(a) encoded by the nucleic acid sequence of SEQ ID NO:1.

11. The method of claim 9, wherein the VEGF receptor-based chimeric molecule comprises (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130-231 of SEQ ID NO:2; and (3) a multimerization component comprising amino acids 232-457 of SEQ ID NO:2.

12. The method of claim 1, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.

13. The method of claim 12, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.

14. The method of claim 13, wherein the intraocular administration is intravitreal administration.

15. The method of claim 11, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.

16. The method of claim 15, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.

17. The method of claim 16, wherein the intraocular administration is intravitreal administration.

18. The method of claim 17, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.

19. The method of claim 18, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

20. The method of claim 18, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.

#### USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

#### **CROSS-REFERENCE TO RELATED APPLICATIONS**

[0001] This application is a continuation of 16/159,282 filed October 12, 2018, which is a continuation of 15/471,506 filed March 28, 2017, now U.S. Patent No. 10,130,691 issued November 20, 2018, which is a continuation of 14/972,560 filed December 17, 2015, now U.S. Patent No. 9,669,069 issued June 6, 2017, which is a continuation of 13/940,370 filed July 12, 2013, now U.S. Patent No. 9,254,338 issued February 9, 2016, which is a continuation-in-part of International Patent Application No. PCT/US2012/020855, filed on January 11, 2012, which claims the benefit of US Provisional Application Nos. 61/432,245, filed on January 13, 2011, 61/434,836, filed on January 21, 2011, and 61/561,957, filed on November 21, 2011, the contents of which are hereby incorporated by reference in their entireties.

#### **FIELD OF THE INVENTION**

**[0002]** The present invention relates to the field of therapeutic treatments of eye disorders. More specifically, the invention relates to the administration of VEGF antagonists to treat eye disorders caused by or associated with angiogenesis.

#### BACKGROUND

[0003] Several eye disorders are associated with pathological angiogenesis. For example, the development of age-related macular degeneration (AMD) is associated with a process called choroidal neovascularization (CNV). Leakage from the CNV causes macular edema and collection of fluid beneath the macula resulting in vision loss. Diabetic macular edema (DME) is another eye disorder with an angiogenic component. DME is the most prevalent cause of moderate vision loss in patients with diabetes and is a common complication of diabetic retinopathy, a disease affecting the blood vessels of the retina. Clinically significant DME occurs when fluid leaks into the center of the macula, the light-sensitive part of the retina responsible for sharp, direct vision. Fluid in the macula can cause severe vision loss or blindness. Yet another eye disorder associated with abnormal angiogenesis is central retinal vein occlusion (CRVO). CRVO is caused by obstruction of the central retinal vein that leads to a back-up of blood and fluid in the retina. The retina can also become ischemic, resulting in the growth of new, inappropriate blood vessels that can cause further vision loss and more serious complications. Release of vascular endothelial growth factor (VEGF) contributes to increased vascular permeability in the eye and inappropriate new vessel growth. Thus, inhibiting the angiogenic-promoting properties of VEGF appears to be an effective strategy for treating angiogenic eye disorders.

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**[0004]** FDA-approved treatments of angiogenic eye disorders such as AMD and CRVO include the administration of an anti-VEGF antibody called ranibizumab (Lucentis®, Genentech, Inc.) on a monthly basis by intravitreal injection.

**[0005]** Methods for treating eye disorders using VEGF antagonists are mentioned in, *e.g.*, US 7,303,746; US 7,306,799; US 7,300,563; US 7,303,748; and US 2007/0190058. Nonetheless, there remains a need in the art for new administration regimens for angiogenic eye disorders, especially those which allow for less frequent dosing while maintaining a high level of efficacy.

#### **BRIEF SUMMARY OF THE INVENTION**

[0006] The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise sequentially administering multiple doses of a VEGF antagonist to a patient over time. In particular, the methods of the invention comprise sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonists. The present inventors have surprisingly discovered that beneficial therapeutic effects can be achieved in patients suffering from angiogenic eye disorders by administering a VEGF antagonist to a patient at a frequency of once every 8 or more weeks, especially when such doses are preceded by about three doses administered to the patient at a frequency of about 2 to 4 weeks. Thus, according to the methods of the present invention, each secondary dose of VEGF antagonist is administered 2 to 4 weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 weeks after the immediately preceding dose. An example of a dosing regimen of the present invention is shown in Figure 1. One advantage of such a dosing regimen is that, for most of the course of treatment (*i.e.*, the tertiary doses), it allows for less frequent dosing (e.g., once every 8 weeks) compared to prior administration regimens for angiogenic eye disorders which require monthly administrations throughout the entire course of treatment. (See, e.g., prescribing information for Lucentis® [ranibizumab], Genentech, Inc.). [0007] The methods of the present invention can be used to treat any angiogenic eye disorder, including, e.g., age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, corneal neovascularization, etc.

**[0008]** The methods of the present invention comprise administering any VEGF antagonist to the patient. In one embodiment, the VEGF antagonist comprises one or more VEGF receptor-based chimeric molecule(s), (also referred to herein as a "VEGF-Trap" or "VEGFT"). An exemplary VEGF antagonist that can be used in the context of the present invention is a multimeric VEGF-binding protein comprising two or more VEGF receptor-based chimeric molecules referred to herein as "VEGFR1R2-Fc $\Delta$ C1(a)" or "aflibercept."

**[0009]** Various administration routes are contemplated for use in the methods of the present invention, including, *e.g.*, topical administration or intraocular administration (*e.g.*, intravitreal administration).

**[0010]** Aflibercept (EYLEA<sup>™</sup>, Regeneron Pharmaceuticals, Inc) was approved by the FDA in November 2011, for the treatment of patients with neovascular (wet) age-related macular degeneration, with a recommended dose of 2 mg administered by intravitreal injection every 4 weeks for the first three months, followed by 2 mg administered by intravitreal injection once every 8 weeks.

**[0011]** Other embodiments of the present invention will become apparent from a review of the ensuing detailed description.

#### **BRIEF DESCRIPTION OF THE FIGURE**

**[0012]** Figure 1 shows an exemplary dosing regimen of the present invention. In this regimen, a single "initial dose" of VEGF antagonist ("VEGFT") is administered at the beginning of the treatment regimen (*i.e.* at "week 0"), two "secondary doses" are administered at weeks 4 and 8, respectively, and at least six "tertiary doses" are administered once every 8 weeks thereafter, *i.e.*, at weeks 16, 24, 32, 40, 48, 56, etc.).

#### **DETAILED DESCRIPTION**

**[0013]** Before the present invention is described, it is to be understood that this invention is not limited to particular methods and experimental conditions described, as such methods and conditions may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only by the appended claims.

[0014] Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. As used herein, the term "about," when used in reference to a particular recited numerical value, means that the value may vary from the recited value by no more than 1%. For example, as used herein, the expression "about 100" includes 99 and 101 and all values in between (*e.g.*, 99.1, 99.2, 99.3, 99.4, etc.).

**[0015]** Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

#### **DOSING REGIMENS**

**[0016]** The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise sequentially administering to a patient multiple doses of a VEGF antagonist. As used herein, "sequentially administering" means that each dose of VEGF antagonist is administered to the patient at a different point in time, *e.g.*, on different days separated by a predetermined interval (*e.g.*, hours, days, weeks or months). The present invention includes methods which comprise sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist.

**[0017]** The terms "initial dose," "secondary doses," and "tertiary doses," refer to the temporal sequence of administration of the VEGF antagonist. Thus, the "initial dose" is the dose which is administered at the beginning of the treatment regimen (also referred to as the "baseline dose"); the "secondary doses" are the doses which are administered after the initial dose; and the "tertiary doses" are the doses which are administered after the secondary doses. The initial, secondary, and tertiary doses may all contain the same amount of VEGF antagonist, but will generally differ from one another in terms of frequency of administration. In certain embodiments, however, the amount of VEGF antagonist contained in the initial, secondary and/or tertiary doses will vary from one another (*e.g.*, adjusted up or down as appropriate) during the course of treatment.

**[0018]** In one exemplary embodiment of the present invention, each secondary dose is administered 2 to 4 (*e.g.*, 2, 2<sup>1</sup>/<sub>2</sub>, 3, 3<sup>1</sup>/<sub>2</sub>, or 4) weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 (*e.g.*, 8, 8<sup>1</sup>/<sub>2</sub>, 9, 9<sup>1</sup>/<sub>2</sub>, 10, 10<sup>1</sup>/<sub>2</sub>, 11, 11<sup>1</sup>/<sub>2</sub>, 12, 12<sup>1</sup>/<sub>2</sub>, 13, 13<sup>1</sup>/<sub>2</sub>, 14, 14<sup>1</sup>/<sub>2</sub>, or more) weeks after the immediately preceding dose. The phrase "the immediately preceding dose," as used herein, means, in a sequence of multiple administrations, the dose of VEGF antagonist which is administered to a patient prior to the administration of the very next dose in the sequence with no intervening doses.

**[0019]** In one exemplary embodiment of the present invention, a single initial dose of a VEGF antagonist is administered to a patient on the first day of the treatment regimen (*i.e.*, at week 0), followed by two secondary doses, each administered four weeks after the immediately preceding dose (*i.e.*, at week 4 and at week 8), followed by at least 5 tertiary doses, each administered eight weeks after the immediately preceding dose (*i.e.*, at weeks 16, 24, 32, 40 and 48). The tertiary doses may continue (at intervals of 8 or more weeks) indefinitely during the course of the treatment regimen. This exemplary administration regimen is depicted graphically in Figure 1.

**[0020]** The methods of the invention may comprise administering to a patient any number of secondary and/or tertiary doses of a VEGF antagonist. For example, in certain embodiments, only a single secondary dose is administered to the patient. In other embodiments, two or more (*e.g.*, 2, 3, 4, 5, 6, 7, 8, or more) secondary doses are administered to the patient. Likewise, in certain

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embodiments, only a single tertiary dose is administered to the patient. In other embodiments, two or more (e.g., 2, 3, 4, 5, 6, 7, 8, or more) tertiary doses are administered to the patient. In embodiments involving multiple secondary doses, each secondary dose may be [0021] administered at the same frequency as the other secondary doses. For example, each secondary dose may be administered to the patient 4 weeks after the immediately preceding dose. Similarly, in embodiments involving multiple tertiary doses, each tertiary dose may be administered at the same frequency as the other tertiary doses. For example, each tertiary dose may be administered to the patient 8 weeks after the immediately preceding dose. Alternatively, the frequency at which the secondary and/or tertiary doses are administered to a patient can vary over the course of the treatment regimen. For example, the present invention includes methods which comprise administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by at least 5 tertiary doses of the VEGF antagonist, wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered from 8 to 12 (e.g., 8, 81/2, 9, 91/2, 10, 101/2, 11, 111/2, 12) weeks after the immediately preceding dose. The frequency of administration may also be adjusted during the course of treatment by a physician depending on the needs of the individual patient following clinical examination.

#### **VEGF ANTAGONISTS**

[0022] The methods of the present invention comprise administering to a patient a VEGF antagonist according to specified dosing regimens. As used herein, the expression "VEGF antagonist" means any molecule that blocks, reduces or interferes with the normal biological activity of VEGF.

**[0023]** VEGF antagonists include molecules which interfere with the interaction between VEGF and a natural VEGF receptor, *e.g.*, molecules which bind to VEGF or a VEGF receptor and prevent or otherwise hinder the interaction between VEGF and a VEGF receptor. Specific exemplary VEGF antagonists include anti-VEGF antibodies, anti-VEGF receptor antibodies, and VEGF receptor-based chimeric molecules (also referred to herein as "VEGF-Traps").

**[0024]** VEGF receptor-based chimeric molecules include chimeric polypeptides which comprise two or more immunoglobulin (lg)-like domains of a VEGF receptor such as VEGFR1 (also referred to as Flt1) and/or VEGFR2 (also referred to as Flk1 or KDR), and may also contain a multimerizing domain (*e.g.*, an Fc domain which facilitates the multimerization [*e.g.*, dimerization] of two or more chimeric polypeptides). An exemplary VEGF receptor-based chimeric molecule is a molecule referred to as VEGFR1R2-Fc $\Delta$ C1(a) which is encoded by the nucleic acid sequence of SEQ ID NO:1. VEGFR1R2-Fc $\Delta$ C1(a) comprises three components: (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130 to 231 of SEQ ID NO:2; and (3) a multimerization component (" $Fc\Delta C1(a)$ ") comprising amino acids 232 to 457 of SEQ ID NO:2 (the C-terminal amino acid of SEQ ID NO:2 [*i.e.*, K458] may or may not be included in the VEGF antagonist used in the methods of the invention; *see e.g.*, US Patent 7,396,664). Amino acids 1-26 of SEQ ID NO:2 are the signal sequence.

**[0025]** The VEGF antagonist used in the Examples set forth herein below is a dimeric molecule comprising two VEGFR1R2-Fc $\Delta$ C1(a) molecules and is referred to herein as "VEGFT." Additional VEGF receptor-based chimeric molecules which can be used in the context of the present invention are disclosed in US 7,396,664, 7,303,746 and WO 00/75319.

#### **ANGIOGENIC EYE DISORDERS**

**[0026]** The methods of the present invention can be used to treat any angiogenic eye disorder. The expression "angiogenic eye disorder," as used herein, means any disease of the eye which is caused by or associated with the growth or proliferation of blood vessels or by blood vessel leakage. Non-limiting examples of angiogenic eye disorders that are treatable using the methods of the present invention include age-related macular degeneration (*e.g.*, wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion (CRVO; *e.g.*, macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; *e.g.*, myopic CNV), iris neovascularization, neovascular glaucoma, postsurgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, and diabetic retinopathies.

#### PHARMACEUTICAL FORMULATIONS

**[0027]** The present invention includes methods in which the VEGF antagonist that is administered to the patient is contained within a pharmaceutical formulation. The pharmaceutical formulation may comprise the VEGF antagonist along with at least one inactive ingredient such as, *e.g.*, a pharmaceutically acceptable carrier. Other agents may be incorporated into the pharmaceutical composition to provide improved transfer, delivery, tolerance, and the like. The term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly, in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the antibody is administered. A multitude of appropriate formulations can be found in the formulary known to all pharmaceutical chemists: Remington's Pharmaceutical Sciences (15th ed, Mack Publishing Company, Easton, Pa., 1975), particularly Chapter 87 by Blaug, Seymour, therein. These formulations include, for example, powders, pastes, ointments, jellies, waxes, oils, lipids, lipid (cationic or anionic) containing vesicles (such as

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LIPOFECTIN<sup>™</sup>), DNA conjugates, anhydrous absorption pastes, oil-in-water and water-in-oil emulsions, emulsions carbowax (polyethylene glycols of various molecular weights), semi-solid gels, and semi-solid mixtures containing carbowax. Any of the foregoing mixtures may be appropriate in the context of the methods of the present invention, provided that the VEGF antagonist is not inactivated by the formulation and the formulation is physiologically compatible and tolerable with the route of administration. See also Powell et al. PDA (1998) J Pharm Sci Technol. 52:238-311 and the citations therein for additional information related to excipients and carriers well known to pharmaceutical chemists.

**[0028]** Pharmaceutical formulations useful for administration by injection in the context of the present invention may be prepared by dissolving, suspending or emulsifying a VEGF antagonist in a sterile aqueous medium or an oily medium conventionally used for injections. As the aqueous medium for injections, there are, for example, physiological saline, an isotonic solution containing glucose and other auxiliary agents, etc., which may be used in combination with an appropriate solubilizing agent such as an alcohol (e.g., ethanol), a polyalcohol (e.g., propylene glycol, polyethylene glycol), a nonionic surfactant [e.g., polysorbate 80, HCO-50 (polyoxyethylene (50 mol) adduct of hydrogenated castor oil)], etc. As the oily medium, there may be employed, e.g., sesame oil, soybean oil, etc., which may be used in combination with a solubilizing agent such as benzyl benzoate, benzyl alcohol, etc. The injection thus prepared can be filled in an appropriate ampoule if desired.

#### MODES OF ADMINISTRATION

**[0029]** The VEGF antagonist (or pharmaceutical formulation comprising the VEGF antagonist) may be administered to the patient by any known delivery system and/or administration method. In certain embodiments, the VEGF antagonist is administered to the patient by ocular, intraocular, intravitreal or subconjunctival injection. In other embodiments, the VEGF antagonist can be administered to the patient by topical administration, *e.g.*, via eye drops or other liquid, gel, ointment or fluid which contains the VEGF antagonist and can be applied directly to the eye. Other possible routes of administration include, *e.g.*, intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, epidural, and oral.

#### AMOUNT OF VEGF ANTAGONIST ADMINISTERED

**[0030]** Each dose of VEGF antagonist administered to the patient over the course of the treatment regimen may contain the same, or substantially the same, amount of VEGF antagonist. Alternatively, the quantity of VEGF antagonist contained within the individual doses may vary over the course of the treatment regimen. For example, in certain embodiments, a first quantity of VEGF antagonist is administered in the initial dose, a second quantity of VEGF antagonist is administered in the secondary doses, and a third quantity of VEGF antagonist is administered in the tertiary doses. The present invention contemplates dosing schemes in which the quantity of VEGF antagonist contained within the individual doses increases over time (e.g., each subsequent dose contains more VEGF antagonist than the last), decreases over time (e.g., each subsequent dose contains less VEGF antagonist than the last), initially increases then decreases, initially decreases then increases, or remains the same throughout the course of the administration regimen. [0031] The amount of VEGF antagonist administered to the patient in each dose is, in most cases, a therapeutically effective amount. As used herein, the phrase "therapeutically effective amount" means a dose of VEGF antagonist that results in a detectable improvement in one or more symptoms or indicia of an angiogenic eye disorder, or a dose of VEGF antagonist that inhibits, prevents, lessens, or delays the progression of an angiogenic eye disorder. In the case of an anti-VEGF antibody or a VEGF receptor-based chimeric molecule such as VEGFR1R2-FcΔC1(a), a therapeutically effective amount can be from about 0.05 mg to about 5 mg, e.g., about 0.05 mg, about 0.1 mg, about 0.15 mg, about 0.2 mg, about 0.25 mg, about 0.3 mg, about 0.35 mg, about 0.4 mg, about 0.45 mg, about 0.5 mg, about 0.55 mg, about 0.6 mg, about 0.65 mg, about 0.7 mg, about 0.75 mg, about 0.8 mg, about 0.85 mg, about 0.9 mg, about 1.0 mg, about 1.05 mg, about 1.1 mg, about 1.15 mg, about 1.2 mg, about 1.25 mg, about 1.3 mg, about 1.35 mg, about 1.4 mg, about 1.45 mg, about 1.5 mg, about 1.55 mg, about 1.6 mg, about 1.65 mg, about 1.7 mg, about 1.75 mg, about 1.8 mg, about 1.85 mg, about 1.9 mg, about 2.0 mg, about 2.05 mg, about 2.1 mg, about 2.15 mg, about 2.2 mg, about 2.25 mg, about 2.3 mg, about 2.35 mg, about 2.4 mg, about 2.45 mg, about 2.5 mg, about 2.55 mg, about 2.6 mg, about 2.65 mg, about 2.7 mg, about 2.75 mg, about 2.8 mg, about 2.85 mg, about 2.9 mg, about 3.0 mg, about 3.5 mg, about 4.0 mg, about 4.5 mg, or about 5.0 mg of the antibody or receptor-based chimeric molecule.

**[0032]** The amount of VEGF antagonist contained within the individual doses may be expressed in terms of milligrams of antibody per kilogram of patient body weight (*i.e.*, mg/kg). For example, the VEGF antagonist may be administered to a patient at a dose of about 0.0001 to about 10 mg/kg of patient body weight.

#### TREATMENT POPULATION AND EFFICACY

**[0033]** The methods of the present invention are useful for treating angiogenic eye disorders in patients that have been diagnosed with or are at risk of being afflicted with an angiogenic eye disorder. Generally, the methods of the present invention demonstrate efficacy within 104 weeks of the initiation of the treatment regimen (with the initial dose administered at "week 0"), *e.g.*, by the end of week 16, by the end of week 24, by the end of week 32, by the end of week 40, by the end of week 56, etc. In the context of methods for treating angiogenic eye disorders such as AMD, CRVO, and DME, "efficacy" means that, from the initiation of treatment, the

patient exhibits a loss of 15 or fewer letters on the Early Treatment Diabetic Retinopathy Study (ETDRS) visual acuity chart. In certain embodiments, "efficacy" means a gain of one or more (*e.g.*, 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 or more) letters on the ETDRS chart from the time of initiation of treatment.

#### **EXAMPLES**

[0034] The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the methods and compositions of the invention, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.
[0035] The exemplary VEGF antagonist used in all Examples set forth below is a dimeric molecule having two functional VEGF binding units. Each functional binding unit is comprised of Ig domain 2 from VEGFR1 fused to Ig domain 3 from VEGFR2, which in turn is fused to the hinge region of a human IgG1 Fc domain (VEGFR1R2-Fc∆C1(a); encoded by SEQ ID NO:1). This VEGF antagonist is referred to in the examples below as "VEGFT". For purposes of the following Examples, "monthly" dosing is equivalent to dosing once every four weeks.

## Example 1: Phase I Clinical Trial of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

**[0036]** In this Phase I study, 21 subjects with neovascular AMD received a single intravitreal (IVT) dose of VEGFT. Five groups of three subjects each received either 0.05, 0.15, 0.5, 2 or 4 mg of VEGFT, and a sixth group of six subjects received 1 mg. No serious adverse events related to the study drug, and no identifiable intraocular inflammation was reported. Preliminary results showed that, following injection of VEGFT, a rapid decrease in foveal thickness and macular volume was observed that was maintained through 6 weeks. At Day 43 across all dose groups, mean excess retinal thickness [excess retinal thickness = (retinal thickness – 179 $\mu$ )] on optical coherence tomography (OCT) was reduced from 119 $\mu$  to 27 $\mu$  as assessed by Fast Macular Scan and from 194 $\mu$  to 60 $\mu$  as assessed using a single Posterior Pole scan. The mean increase in best corrected visual acuity (BCVA) was 4.75 letters, and BCVA was stable or improved in 95% of subjects. In the 2 highest dose groups (2 and 4 mg), the mean increase in BCVA was 13.5 letters, with 3 of 6 subjects demonstrating improvement of  $\geq$  3 lines.

## Example 2: Phase II Clinical Trial of Repeated Doses of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

[0037] This study was a double-masked, randomized study of 3 doses (0.5, 2, and 4 mg) of VEGFT tested at 4-week and/or 12-week dosing intervals. There were 5 treatment arms in this study, as follows: 1) 0.5 mg every 4 weeks, 2) 0.5 mg every 12 weeks, 3) 2 mg every 4 weeks, 4) 2 mg every 12 weeks and 5) 4 mg every 12 weeks. Subjects were dosed at a fixed interval for the first 12 weeks, after which they were evaluated every 4 weeks for 9 months, during which additional doses were administered based on pre-specified criteria. All subjects were then followed for one year after their last dose of VEGFT. Preliminary data from a pre-planned interim analysis indicated that VEGFT met its primary endpoint of a statistically significant reduction in retinal thickness after 12 weeks compared with baseline (all groups combined, decrease of  $135\mu$ , p < 0.0001). Mean change from baseline in visual acuity, a key secondary endpoint of the study, also demonstrated statistically significant improvement (all groups combined, increase of 5.9 letters, p < 0.0001). Moreover, patients in the dose groups that received only a single dose, on average, demonstrated a decrease in excess retinal thickness (p < 0.0001) and an increase in visual acuity (p = 0.012) at 12 weeks. There were no drug-related serious adverse events, and treatment with the VEGF antagonists was generally well-tolerated. The most common adverse events were those typically associated with intravitreal injections.

## Example 3: Phase I Clinical Trial of Systemically Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

**[0038]** This study was a placebo-controlled, sequential-group, dose-escalating safety, tolerability and bioeffect study of VEGFT by IV infusion in subjects with neovascular AMD. Groups of 8 subjects meeting eligibility criteria for subfoveal choroidal neovascularization (CNV) related to AMD were assigned to receive 4 IV injections of VEGFT or placebo at dose levels of 0.3, 1, or 3 mg/kg over an 8-week period.

**[0039]** Most adverse events that were attributed to VEGFT were mild to moderate in severity, but 2 of 5 subjects treated with 3 mg/kg experienced dose-limiting toxicity (DLT) (one with Grade 4 hypertension and one with Grade 2 proteinuria); therefore, all subjects in the 3 mg/kg dose group did not enter the study. The mean percent changes in excess retinal thickness were: -12%, -10%, - 66%, and -60% for the placebo, 0.3, 1, and 3 mg/kg dose groups at day 15 (ANOVA p< 0.02), and - 5.6%, +47.1%, and -63.3% for the placebo, 0.3, and 1 mg/kg dose groups at day 71 (ANOVA p< 0.02). There was a numerical improvement in BCVA in the subjects treated with VEGFT. As would be expected in such a small study, the results were not statistically significant.

## Example 4: Phase III Clinical Trials of the Efficacy, Safety, and Tolerability of Repeated Doses of Intravitreal VEGFT in Subjects with Neovascular Age-Related Macular Degeneration

#### A. Objectives, Hypotheses and Endpoints

**[0040]** Two parallel Phase III clinical trials were carried out to investigate the use of VEGFT to treat patients with the neovascular form of age-related macular degeneration (Study 1 and Study 2). The primary objective of these studies was to assess the efficacy of IVT administered VEGFT compared to ranibizumab (Lucentis®, Genentech, Inc.), in a non-inferiority paradigm, in preventing moderate vision loss in subjects with all subtypes of neovascular AMD.

**[0041]** The secondary objectives were (a) to assess the safety and tolerability of repeated IVT administration of VEGFT in subjects with all sub-types of neovascular AMD for periods up to 2 years; and (b) to assess the effect of repeated IVT administration of VEGFT on Vision-Related Quality of Life (QOL) in subjects with all sub-types of neovascular AMD.

**[0042]** The primary hypothesis of these studies was that the proportion of subjects treated with VEGFT with stable or improved BCVA (<15 letters lost) is similar to the proportion treated with ranibizumab who have stable or improved BCVA, thereby demonstrating non-inferiority.

**[0043]** The primary endpoint for these studies was the prevention of vision loss of greater than or equal to 15 letters on the ETDRS chart, compared to baseline, at 52 weeks. Secondary endpoints were as follows: (a) change from baseline to Week 52 in letter score on the ETDRS chart; (b) gain from baseline to Week 52 of 15 letters or more on the ETDRS chart; (c) change from baseline to Week 52 in total NEI VFQ-25 score; and (d) change from baseline to Week 52 in CNV area.

#### B. Study Design

**[0044]** For each study, subjects were randomly assigned in a 1:1:1:1 ratio to 1 of 4 dosing regimens: (1) 2 mg VEGFT administered every 4 weeks (2Q4); (2) 0.5 mg VEGFT administered every 4 weeks (0.5Q4); (3) 2 mg VEGFT administered every 4 weeks to week 8 and then every 8 weeks (with sham injection at the interim 4-week visits when study drug was not administered (2Q8); and (4) 0.5 mg ranibizumab administered every 4 weeks (RQ4). Subjects assigned to (2Q8) received the 2 mg injection every 4 weeks to week 8 and then a sham injection at interim 4-week visits (when study drug is not to be administered) during the first 52 weeks of the studies. (No sham injection were given at Week 52).

**[0045]** The study duration for each subject was scheduled to be 96 weeks plus the recruitment period. For the first 52 weeks (Year 1), subjects received an IVT or sham injection in the study eye every 4 weeks. (No sham injections were given at Week 52). During the second year of the study, subjects will be evaluated every 4 weeks and will receive IVT injection of study drug at intervals determined by specific dosing criteria, but at least every 12 weeks. (During the second year of the study, sham injections will not be given.) During this period, injections may be given as frequently

as every 4 weeks, but no less frequently than every 12 weeks, according to the following criteria: (i) increase in central retinal thickness of  $\geq$ 100 µm compared to the lowest previous value as measured by optical coherence tomography (OCT); or (ii) a loss from the best previous letter score of at least 5 ETDRS letters in conjunction with recurrent fluid as indicated by OCT; or (iii) new or persistent fluid as indicated by OCT; or (iv) new onset classic neovascularization, or new or persistent leak on fluorescein angiography (FA); or (v) new macular hemorrhage; or (vi) 12 weeks have elapsed since the previous injection. According to the present protocol, subjects must receive an injection at least every 12 weeks.

**[0046]** Subjects were evaluated at 4 weeks intervals for safety and best corrected visual acuity (BCVA) using the 4 meter ETDRS protocol. Quality of Life (QOL) was evaluated using the NEI VFQ-25 questionnaire. OCT and FA examinations were conducted periodically.

**[0047]** Approximately 1200 subjects were enrolled, with a target enrollment of 300 subjects per treatment arm.

**[0048]** To be eligible for this study, subjects were required to have subfoveal choroidal neovascularization (CNV) secondary to AMD. "Subfoveal" CNV was defined as the presence of subfoveal neovascularization, documented by FA, or presence of a lesion that is juxtafoveal in location angiographically but affects the fovea. Subject eligibility was confirmed based on angiographic criteria prior to randomization.

**[0049]** Only one eye was designated as the study eye. For subjects who met eligibility criteria in both eyes, the eye with the worse VA was selected as the study eye. If both eyes had equal VA, the eye with the clearest lens and ocular media and least amount of subfoveal scar or geographic atrophy was selected. If there was no objective basis for selecting the study eye, factors such as ocular dominance, other ocular pathology and subject preference were considered in making the selection.

**[0050]** Inclusion criteria for both studies were as follows: (i) signed Informed consent; (ii) at least 50 years of age; (iii) active primary subfoveal CNV lesions secondary to AMD, including juxtafoveal lesions that affect the fovea as evidenced by FA in the study eye; (iv) CNV at least 50% of total lesion size; (v) early treatment diabetic retinopathy study (ETDRS) best-corrected visual acuity of: 20/40 to 20/320 (letter score of 73 to 25) in the study eye; (vi) willing, committed, and able to return for all clinic visits and complete all study-related procedures; and (vii) able to read, understand and willing to sign the informed consent form (or, if unable to read due to visual impairment, be read to verbatim by the person administering the informed consent or a family member).

**[0051]** Exclusion criteria for both studies were as follows: 1. Any prior ocular (in the study eye) or systemic treatment or surgery for neovascular AMD except dietary supplements or vitamins. 2. Any prior or concomitant therapy with another investigational agent to treat neovascular AMD in the study eye, except dietary supplements or vitamins. 3. Prior treatment with anti-VEGF agents as

follows: (a) Prior treatment with anti-VEGF therapy in the study eye was not allowed; (b) Prior treatment with anti-VEGF therapy in the fellow eye with an investigational agent (not FDA approved, e.g. bevacizumab) was allowed up to 3 months prior to first dose in the study, and such treatments were not allowed during the study. Prior treatment with an approved anti-VEGF therapy in the fellow eye was allowed; (c) Prior systemic anti-VEGF therapy, investigational or FDA/Health Canada approved, was only allowed up to 3 months prior to first dose, and was not allowed during the study. 4. Total lesion size > 12 disc areas (30.5 mm2, including blood, scars and neovascularization) as assessed by FA in the study eye. 5. Subretinal hemorrhage that is either 50% or more of the total lesion area, or if the blood is under the fovea and is 1 or more disc areas in size in the study eye. (If the blood is under the fovea, then the fovea must be surrounded 270 degrees by visible CNV.) 6. Scar or fibrosis, making up > 50% of total lesion in the study eye. 7. Scar, fibrosis, or atrophy involving the center of the fovea. 8. Presence of retinal pigment epithelial tears or rips involving the macula in the study eye. 9. History of any vitreous hemorrhage within 4 weeks prior to Visit 1 in the study eye. 10. Presence of other causes of CNV, including pathologic myopia (spherical equivalent of -8 diopters or more negative, or axial length of 25 mm or more), ocular histoplasmosis syndrome, angioid streaks, choroidal rupture, or multifocal choroiditis in the study eye. 11. History or clinical evidence of diabetic retinopathy, diabetic macular edema or any other vascular disease affecting the retina, other than AMD, in either eye. 12. Prior vitrectomy in the study eye. 13. History of retinal detachment or treatment or surgery for retinal detachment in the study eye. 14. Any history of macular hole of stage 2 and above in the study eye. 15. Any intraocular or periocular surgery within 3 months of Day 1 on the study eye, except lid surgery, which may not have taken place within 1 month of day 1, as long as it was unlikely to interfere with the injection. 16. Prior trabeculectomy or other filtration surgery in the study eye. 17. Uncontrolled glaucoma (defined as intraocular pressure greater than or equal to 25 mm Hg despite treatment with anti-glaucoma medication) in the study eye. 18. Active intraocular inflammation in either eye. 19. Active ocular or periocular infection in either eye. 20. Any ocular or periocular infection within the last 2 weeks prior to Screening in either eye. 21. Any history of uveitis in either eye. 22. Active scleritis or episcleritis in either eye. 23. Presence or history of scleromalacia in either eye. 24. Aphakia or pseudophakia with absence of posterior capsule (unless it occurred as a result of a yttrium aluminum garnet [YAG] posterior capsulotomy) in the study eye. 25. Previous therapeutic radiation in the region of the study eye. 26. History of corneal transplant or corneal dystrophy in the study eye. 27. Significant media opacities, including cataract, in the study eye which might interfere with visual acuity, assessment of safety, or fundus photography. 28. Any concurrent intraocular condition in the study eye (e.g. cataract) that, in the opinion of the investigator, could require either medical or surgical intervention during the 96 week study period. 29. Any concurrent ocular condition in the study eye which, in the opinion of the investigator, could either increase the risk to

the subject beyond what is to be expected from standard procedures of intraocular injection, or which otherwise may interfere with the injection procedure or with evaluation of efficacy or safety. 30. History of other disease, metabolic dysfunction, physical examination finding, or clinical laboratory finding giving reasonable suspicion of a disease or condition that contraindicates the use of an investigational drug or that might affect interpretation of the results of the study or render the subject at high risk for treatment complications. 31. Participation as a subject in any clinical study within the 12 weeks prior to Day 1. 32. Any systemic or ocular treatment with an investigational agent in the past 3 months prior to Day 1. 33. The use of long acting steroids, either systemically or intraocularly, in the 6 months prior to day 1. 34. Any history of allergy to povidone iodine. 35. Known serious allergy to the fluorescein sodium for injection in angiography. 36. Presence of any contraindications indicated in the FDA Approved label for ranibizumab (Lucentis®). 37. Females who were pregnant, breastfeeding, or of childbearing potential, unwilling to practice adequate contraception throughout the study. Adequate contraceptive measures include oral contraceptives (stable use for 2 or more cycles prior to screening); IUD; Depo-Provera®; Norplant® System implants; bilateral tubal ligation; vasectomy; condom or diaphragm plus either contraceptive sponge, foam or jelly.

**[0052]** Subjects were not allowed to receive any standard or investigational agents for treatment of their AMD in the study eye other than their assigned study treatment with VEGFT or ranibizumab as specified in the protocol until they completed the Completion/Early Termination visit assessments. This includes medications administered locally (e.g., IVT, topical, juxtascleral or periorbital routes), as well as those administered systemically with the intent of treating the study and/or fellow eye.

[0053] The study procedures are summarized as follows:

[0054] <u>Best Corrected Visual Acuity</u>: Visual function of the study eye and the fellow eye were assessed using the ETDRS protocol (The Early Treatment Diabetic Retinopathy Study Group) at 4 meters. Visual Acuity examiners were certified to ensure consistent measurement of BCVA. The VA examiners were required to remain masked to treatment assignment.

**[0055]** <u>Optical Coherence Tomography</u>: Retinal and lesion characteristics were evaluated using OCT on the study eye. At the Screen Visit (Visit 1) images were captured and transmitted for both eyes. All OCT images were captured using the Zeiss Stratus OCT<sup>™</sup> with software Version 3 or greater. OCT images were sent to an independent reading center where images were read by masked readers at visits where OCTs were required. All OCTs were electronically archived at the site as part of the source documentation. A subset of OCT images were read. OCT technicians were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that OCT technicians at the site remained masked to treatment assignment.

**[0056]** <u>Fundus Photography and Fluorescein Angiography (FA)</u>: The anatomical state of the retinal vasculature of the study eye was evaluated by funduscopic examination, fundus photography and FA. At the Screen Visit (Visit 1) funduscopic examination, fundus photography and FA were captured and transmitted for both eyes. Fundus and angiographic images were sent to an independent reading center where images were read by masked readers. The reading center confirmed subject eligibility based on angiographic criteria prior to randomization. All FAs and fundus photographs were archived at the site as part of the source documentation. Photographers were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that all photographers at the site remain masked to treatment assignment.

[0057] <u>Vision-Related Quality of Life</u>: Vision-related QOL was assessed using the National Eye Institute 25-Item Visual Function Questionnaire (NEI VFQ-25) in the interviewer-administered format. NEI VFQ-25 was administered by certified personnel at a contracted call center. At the screening visit, the sites assisted the subject and initiated the first call to the call center to collect all of the subject's contact information and to complete the first NEI VFQ-25 on the phone prior to randomization and IVT injection. For all subsequent visits, the call center called the subject on the phone, prior to IVT injection, to complete the questionnaire.

**[0058]** <u>Intraocular Pressure</u>: Intraocular pressure (IOP) of the study eye was measured using applanation tonometry or Tonopen. The same method of IOP measurement was used in each subject throughout the study.

[0059]

#### C. Results Summary (52 Week Data)

**[0060]** The primary endpoint (prevention of moderate or severe vision loss as defined above) was met for all three VEGFT groups (2Q4, 0.5Q4 and 2Q8) in this study. The results from both studies are summarized in Table 1.

	Ranibizumab 0.5 mg monthly (RQ4)	VEGFT 0.5 mg monthly (0.5Q4)	VEGFT 2 mg monthly (2Q4)	VEGFT 2 mg every 8 weeks <sup>[a]</sup> (2Q8)			
Maintenand	Maintenance of vision* (% patients losing <15 letters) at week 52 versus baseline						
Study 1	94.4%	95.9%**	95.1%**	95.1%**			
Study 2	94.4%	96.3%**	95.6%**	95.6%**			
Mean impre	Mean improvement in vision* (letters) at 52 weeks versus baseline (p-value vs RQ4)***						
Study 1	8.1	6.9 (NS)	10.9 (p<0.01)	7.9 (NS)			
Study 2	9.4	9.7 (NS)	7.6 (NS)	8.9 (NS			

Table 1

<sup>[a]</sup> Following three initial monthly doses

\* Visual acuity was measured as the total number of letters read correctly on the Early Treatment Diabetic Retinopathy Study (ETDRS) eye chart.

\*\* Statistically non-inferior based on a non-inferiority margin of 10%, using confidence interval approach (95.1% and 95% for Study 1 and Study 2, respectively) \*\*\* Test for superiority

NS = non-significant

**[0061]** In Study 1, patients receiving VEGFT 2mg monthly (2Q4) achieved a statistically significant greater mean improvement in visual acuity at week 52 versus baseline (secondary endpoint), compared to ranibizumab 0.5mg monthly (RQ4); patients receiving VEGFT 2mg monthly on average gained 10.9 letters, compared to a mean 8.1 letter gain with ranibizumab 0.5mg dosed every month (p<0.01). All other dose groups of VEGFT in Study 1 and all dose groups in Study 2 were not statistically different from ranibizumab in this secondary endpoint.

**[0062]** A generally favorable safety profile was observed for both VEGFT and ranibizumab. The incidence of ocular treatment emergent adverse events was balanced across all four treatment groups in both studies, with the most frequent events associated with the injection procedure, the underlying disease, and/or the aging process. The most frequent ocular adverse events were conjunctival hemorrhage, macular degeneration, eye pain, retinal hemorrhage, and vitreous floaters. The most frequent serious non-ocular adverse events were typical of those reported in this elderly population who receive intravitreal treatment for wet AMD; the most frequently reported events were falls, pneumonia, myocardial infarction, atrial fibrillation, breast cancer, and acute coronary syndrome. There were no notable differences among the study arms.

### Example 5: Phase II Clinical Trial of VEGFT in Subjects with Diabetic Macular Edema (DME)

**[0063]** In this study, 221 patients with clinically significant DME with central macular involvement were randomized, and 219 patients were treated with balanced distribution over five groups. The control group received macular laser therapy at baseline, and patients were eligible for repeat laser treatments, but no more frequently than at 16 week intervals. The remaining four groups received VEGFT by intravitreal injection as follows: Two groups received 0.5 or 2 mg of VEGFT once every four weeks throughout the 12-month dosing period (0.5Q4 and 2Q4, respectively). Two groups received three initial doses of 2 mg VEGFT once every four weeks (*i.e.*, at baseline, and weeks 4 and 8), followed through week 52 by either once every 8 weeks dosing (2Q8) or as needed dosing with very strict repeat dosing criteria (PRN). Mean gains in visual acuity versus baseline were as shown in Table 2:

Table 2

	n	Mean change in visual acuity at week 24 versus baseline	Mean change in visual acuity at week 52 versus baseline
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		(letters)	(letters)
Laser	44	2.5	-1.3
VEGFT 0.5 mg monthly (0.5Q4)	44	8.6**	11.0**
VEGFT 2 mg monthly (2Q4)	44	11.4**	13.1**
VEGFT 2 mg every 8 weeks <sup>[a]</sup> (2Q8)	42	8.5**	9.7**
VEGFT 2 mg as needed <sup>[a]</sup> (PRN)	45	10.3**	12.0**

<sup>[a]</sup> Following three initial monthly doses

\*\* p < 0.01 versus laser

**[0064]** In this study, the visual acuity gains achieved with VEGFT administration at week 24 were maintained or numerically improved up to completion of the study at week 52 in all VEGFT study groups, including 2 mg dosed every other month

**[0065]** As demonstrated in the foregoing Examples, the administration of VEGFT to patients suffering from angiogenic eye disorders (*e.g.*, AMD and DME) at a frequency of once every 8 weeks, following a single initial dose and two secondary doses administered four weeks apart, resulted in significant prevention of moderate or severe vision loss or improvements in visual acuity.

## Example 6: A Randomized, Multicenter, Double-Masked Trial in Treatment Naïve Patients with Macular Edema Secondary to CRVO

[0066] In this randomized, double-masked, Phase 3 study, patients received 6 monthly injections of either 2 mg intravitreal VEGFT (114 patients) or sham injections (73 patients). From Week 24 to Week 52, all patients received 2 mg VEGFT as-needed (PRN) according to retreatment criteria. Thus, "sham-treated patients" means patients who received sham injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. "VEGFT-treated patients" means patients who received VEGFT intravitreal injections once every four weeks from Week 0 through Week 0 through Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 74 through Week 52. "VEGFT-treated patients" means patients who received VEGFT intravitreal injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. "VEGFT-treated patients" means patients who received VEGFT intravitreal injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. The primary endpoint was the proportion of patients who gained ≥15 ETDRS letters from baseline at Week 24. Secondary visual, anatomic, and Quality of Life NEI VFQ-25 outcomes at Weeks 24 and 52 were also evaluated.

**[0067]** At Week 24, 56.1% of VEGFT-treated patients gained  $\geq$ 15 ETDRS letters from baseline vs 12.3% of sham-treated patients (*P*<0.0001). Similarly, at Week 52, 55.3% of VEGFT-treated patients gained  $\geq$ 15 letters vs 30.1% of sham-treated patients (*P*<0.01). At Week 52, VEGFTtreated patients gained a mean of 16.2 letters vs 3.8 letters for sham-treated patients (*P*<0.001). Mean number of injections was 2.7 for VEGFT-treated patients vs 3.9 for sham-treated patients. Mean change in central retinal thickness was -413.0 µm for VEGFT-treated patients vs -381.8 µm for sham-treated patients. The proportion of patients with ocular neovascularization at Week 24 were 0% for VEGFT-treated patients and 6.8% for sham-treated patients, respectively; at Week 52 after receiving VEGFT PRN, proportions were 0% and 6.8% for VEGFT-treated and sham-treated. At Week 24, the mean change from baseline in the VFQ-25 total score was 7.2 vs 0.7 for the VEGFT-treated and sham-treated groups; at Week 52, the scores were 7.5 vs 5.1 for the VEGFT-treated and sham-treated groups.

**[0068]** This Example confirms that dosing monthly with 2 mg intravitreal VEGFT injection resulted in a statistically significant improvement in visual acuity at Week 24 that was maintained through Week 52 with PRN dosing compared with sham PRN treatment. VEGFT was generally well tolerated and had a generally favorable safety profile.

#### **Example 7: Dosing Regimens**

[0069] Specific, non-limiting examples of dosing regimens within the scope of the present invention are as follows:

**[0070]** VEGFT 2 mg (0.05 mL) administered by intravitreal injection once every 4 weeks (monthly).

[0071] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0072]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0073]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0074] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0075]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0076]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0077] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0078]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0079]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0080] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0081]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0082]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0083] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0084]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0085]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0086] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0087]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0088]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0089]** VEGFT 2 mg (0.05 mL) administered by intravitreal injection as a single initial dose, followed by additional doses administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0090]** Variations on the above-described dosing regimens would be appreciated by persons of ordinary skill in the art and are also within the scope of the present invention. For example, the amount of VEGFT and/or volume of formulation administered to a patient may be varied based on patient characteristics, severity of disease, and other diagnostic assessments by a physician or other qualified medical professional.

**[0091]** Any of the foregoing administration regimens may be used for the treatment of, *e.g.*, agerelated macular degeneration (*e.g.*, wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion (CRVO; *e.g.*, macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; *e.g.*, myopic CNV), iris neovascularization, neovascular glaucoma, post-surgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, etc.

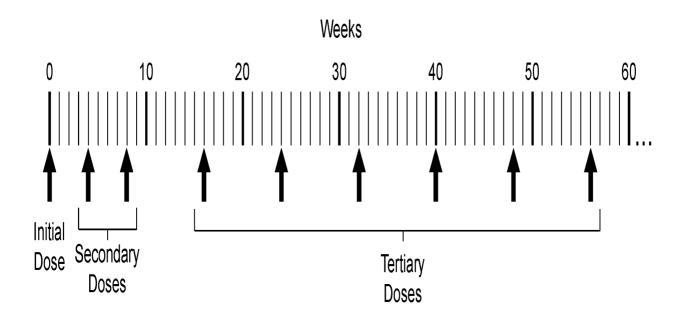
#### SEQUENCES

[0092] <u>SEQ ID NO:1</u> (DNA sequence having 1377 nucleotides):

 GCATAATGCCAAGACAAAGCCGCGGGAGGAGGAGCAGTACAACAGCACGTACCGTGTGGTCAGCG TCCTCACCGTCCTGCACCAGGACTGGCTGAATGGCAAGGAGTACAAGTGCAAGGTCTCCAAC AAAGCCCTCCCAGCCCCCATCGAGAAAACCATCTCCAAAGCCAAAGGGCAGCCCCGAGAACC ACAGGTGTACACCCTGCCCCATCCCGGGATGAGCTGACCAAGAACCAGGTCAGCCTGACCT GCCTGGTCAAAGGCTTCTATCCCAGCGACATCGCCGTGGAGTGGGAGAGAGCAATGGGCAGCCG GAGAACAACTACAAGACCACGCCTCCCGTGCTGGACTCCGACGGCTCCTTCTTCCTCTACAGC AAGCTCACCGTGGACAAGAGCAGGTGGCAGCAGCGGGAACGTCTTCTCATGCTCCGTGATGCA TGAGGCTCTGCACAACCACCACTACACGCAGAAGAGCCTCTCCCTGTCTCCGGGTAAATGA [0093] SEQ ID NO:2 (polypeptide sequence having 458 amino acids):

MVSYWDTGVLLCALLSCLLLTGSSSGSDTGRPFVEMYSEIPEIIHMTEGRELVIPCRVTSPNITVTLK KFPLDTLIPDGKRIIWDSRKGFIISNATYKEIGLLTCEATVNGHLYKTNYLTHRQTNTIIDVVLSPSHGI ELSVGEKLVLNCTARTELNVGIDFNWEYPSSKHQHKKLVNRDLKTQSGSEMKKFLSTLTIDGVTRS DQGLYTCAASSGLMTKKNSTFVRVHEKDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEV TCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV SNKALPAPIEKTISKAKGQPREPQVYTLPPSRDELTKNQVSLTCLVKGFYPSDIAVEWESNGQPEN NYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK

[0094] The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description and the accompanying figures. Such modifications are intended to fall within the scope of the appended claims.



# Figure 1

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
As the below named	Inventor, I hereby declare that:				
This declaration is directed to:	The attached application, or				
13 directed to.	United States application or PCT International application number <u>13/940,370</u>				
	filed on				
The above-identified	application was made or authorized to be made by me.				
I believe that I am th	e original inventor or an original joint inventor of a claimed invention in the application.				
l hereby acknowledç by fine or imprisonm	I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than (5) years, or both.				
	WARNING:				
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.					
LEGAL NAME OF					
Inventor: <u>YAN</u> Signature: <u>¥</u>	COPOULOS, GEORGE D. Date (Optional) : 10/23/13				
Note: An application da Use an additional PTO/	ta sheet (PTO/SB/14 of equivalent), including naming the entire inventive entity, must accompany this form. AIA/01 form for each additional inventor.				
<ul> <li>(and by the USPTO to pro to complete, including gatt comments on the amount</li> </ul>	on is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file cess) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute hering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. ice, U.S. Department of Commerce, P.O. Box 1450, Atexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO				

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.
- 10.

#### REMARKS UNDER 37 CFR § 1.115

#### **Formal Matters**

Claims 21-49 are pending after entry of the amendments set forth herein.

Claims 1-20 are canceled without prejudice.

Claims 21-49 are added.

Support for new claims 21-49 can be found in originally pending now canceled claims 1-20, and throughout the specification.

The specification has been amended to update the cross-reference to related application section. No new matter has been added.

#### STATEMENT UNDER 37 C.F.R. §§1.56 AND 1.2

Applicants hereby advise the Examiner of the status of a co-pending application in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 (see also MPEP §2001.06(b)) as discussed in *McKesson Info. Soln. Inc., v. Bridge Medical Inc.*, 487 F.3d 897; 82 USPQ2d 1865 (Fed. Cir. 2007).

The Applicants wish to bring to the Examiner's attention U.S. Patent Application No. 13/940,370, filed July 12, 2013 which issued on February 9, 2016 as U.S. Patent 9,254,338.

The Applicants wish to bring to the Examiner's attention U.S. Patent Application No.

14/972,560, filed December 17, 2015 which issued on June 6, 2018 as U.S. Patent No. 9,669,069. The Applicants wish to bring to the Examiner's attention U.S. Patent Application No.

15/471,506, filed March 28, 2017 which issued on November 20, 2018 as U.S. Patent No. 10,130,691.

The Applicants wish to bring to the Examiner's attention co-pending U.S. Patent Application No.

16/055,847, filed August 6, 2018 for which no actions have been mailed.

The Applicants wish to bring to the Examiner's attention co-pending U.S. Patent Application No. 16/159.282, filed October 12, 2018 for which a non-final Office Action was mailed April 3, 2019.

These documents are available on PAIR, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR.

#### **CONCLUSION**

Applicant submits that all of the claims are in condition for allowance, which action is requested. If the Examiner finds that a telephone conference would expedite the prosecution of this application, please telephone the undersigned at the number provided.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>29 April 2019</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Registration No. 28,807

Bozicevic, Field & Francis LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, California 94065 Telephone: (650) 327-3400 Direct: (650) 833-7735 Facsimile: (650) 327-3231

#### AMENDMENTS TO THE CLAIMS

1. - 20. (Canceled)

21. (**New**) A method for treating age related macular degeneration in a patient, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 3 months, followed by 2 mg aflibercept approximately once every 8 weeks or once every 2 months.

22. (New) The method of claim 21, wherein the age-related macular degeneration is neovascular (wet).

23. (**New**) The method of claim 21, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

24. (New) The method of claim 23, wherein the age-related macular degeneration is neovascular (wet).

25. (New) The method of claim 22 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

26. (**New**) The method of claim 25 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

27. (New) The method of claim 22 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

28. (New) The method of claim 27 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score. 29. (New) A method for treating diabetic macular edema in a patient, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 5 injections followed by 2 mg aflibercept approximately once every 8 weeks or once every 2 months.

30. (**New**) The method of claim 29, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

31. (New) The method of claim 29, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

32. (**New**) The method of claim 29 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

33. (New) The method of claim 32 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

34. (New) The method of claim 29 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

35. (**New**) The method of claim 34 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

36. (**New**) A method for treating diabetic retinopathy in a patient, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 5 injections followed by 2 mg aflibercept approximately once every 8 weeks or 2 months.

37. (**New**) The method of claim 36, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

38. (New) The method of claim 36, further comprising after 20 weeks, administering via intravitreal injection 2 mg of aflibercept once every 4 weeks

39. (New) The method of claim 36 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

40. (**New**) The method of claim 37 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

41. (New) The method of claim 36 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

42. (New) The method of claim 41 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

43. (**New**) A method for treating diabetic retinopathy in a patient with diabetic macular edema, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 5 injections followed by 2 mg aflibercept approximately once every 8 weeks or 2 months.

44. (**New**) The method of claim 43, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

45. (**New**) The method of claim 43, further comprising after 20 weeks, administering via intravitreal injection 2 mg of aflibercept once every 4 weeks

46. (New) The method of claim 43 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

47. (**New**) The method of claim 46 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

#### Atty Dkt. No.: REGN-008CIPCON5 USSN: To Be Assigned

48. (New) The method of claim 43 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

49. (New) The method of claim 48 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

DocCode - SEQ.TXT

## **SCORE Placeholder Sheet for IFW Content**

## Application Number: 16397267

Document Date: 04/29/2019

The presence of this form in the IFW record indicates that the following document type was received in electronic format on the date identified above. This content is stored in the SCORE database.

Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

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Sequence Listing was accepted. See attached Validation Report. If you need help call the Patent Electronic Business Center at (866) 217-9197 (toll free). Reviewer: Wheat Jr, Scott (ASRC) Timestamp: [year=2019; month=5; day=3; hr=11; min=24; sec=27; ms=123; ]

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#### Validated By CRFValidator v 1.0.5

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		Total Errors:	1	
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#### SEQUENCE LISTING

<110> George D. Yancopoulos <120> Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders <130> 725A1 <140> US 16/397,267 <141> 2019-04-29 <150> PCT/US2012/020855 <151> 2012-01-11 <150> 61/432,245 <151> 2011-01-13 <150> 61/434,836 <151> 2011-01-21 <150> 61/561,957 <151> 2011-11-21 <160> 2 <170> FastSEQ for Windows Version 4.0 <210> 1 <211> 1377 <212> DNA <213> Artificial Sequence < 22.0 ><223> Synthetic <400> 1 atggtcaget actggggacae eggggteetg etgtgegege tgeteagetg tetgettete 60 acaggateta gtteeggaag tgataceggt agaeettteg tagagatgta eagtgaaate 120 cccgaaatta tacacatgac tgaaggaagg gagctcgtca ttccctgccg ggttacgtca 180 cctaacatca ctgttacttt aaaaaagttt ccacttgaca ctttgatccc tgatggaaaa 240 cgcataatct gggacagtag aaagggcttc atcatatcaa atgcaacgta caaagaaata 300 gggcttctga cctgtgaagc aacagtcaat gggcatttgt ataagacaaa ctatctcaca 360 categacaaa ceaatacaat catagatgtg gttetgagte egteteatgg aattgaacta 420 tctgttggag aaaagcttgt cttaaattgt acagcaagaa ctgaactaaa tgtggggatt 480 gacttcaact gggaataccc ttcttcgaag catcagcata agaaacttgt aaaccgagac 540 ctaaaaaaccc agtctgggag tgagatgaag aaatttttga gcaccttaac tatagatggt 600 gtaacccgga gtgaccaagg attgtacacc tgtgcagcat ccagtgggct gatgaccaag 660 aagaacagca catttgtcag ggtccatgaa aaggacaaaa ctcacacatg cccaccgtgc 720 ccagcacctg aactectggg gggaccgtca gtetteetet teeececaaa acceaaggae 780 acceteatga teteceggae eeetgaggte acatgegtgg tggtggaegt gageeaegaa 840 gaccctgagg tcaagttcaa ctggtacgtg gacggcgtgg aggtgcataa tgccaagaca 900 aageegeggg aggageagta caacageaeg taeegtgtgg teagegteet eacegteetg 960 caccaggact ggctgaatgg caaggagtac aagtgcaagg tctccaacaa agccctccca 1020 gececeateg agaaaaceat etecaaagee aaagggeage eeegagaaee acaggtgtae 1080 accetgeece cateeeggga tgagetgaee aagaaceagg teageetgae etgeetggte 1140 aaaggettet ateccagega categeegtg gagtgggaga geaatgggea geeggagaae 1200 aactacaaga ccacgcetee egtgetggae teegaegget eettetteet etacageaag 1260 eteacegtgg acaagageag gtggeageag gggaaegtet teteatgete egtgatgeat 1320 gaggetetge acaaceaeta eaegeagaag ageeteteee tgteteeggg taaatga 1377

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Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Asp Glu 355 360 365 Leu Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe Tyr 375 380 370 Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu Asn 385 390 395 400 Asn Tyr Lys Thr Thr Pro Pro Val Leu Asp Ser Asp Gly Ser Phe Phe 405 410 415 Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly Asn 420 425 430 Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr 435 440 445 Gln Lys Ser Leu Ser Leu Ser Pro Gly Lys 450 455

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Date Mailed: 05/09/2019

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

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#### Inventor(s)

George D. YANCOPOULOS, Yorktown Heights, NY;

Applicant(s)

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

#### Assignment For Published Patent Application

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

Power of Attorney: None

#### Domestic Priority data as claimed by applicant

This application is a CON of  $16/159,282\ 10/12/2018$ which is a CON of  $15/471,506\ 03/28/2017\ PAT\ 10130681$ which is a CON of  $14/972,560\ 12/17/2015\ PAT\ 9669069$ which is a CON of  $13/940,370\ 07/12/2013\ PAT\ 9254338$ which is a CIP of PCT/US2012/020855\ 01/11/2012 which claims benefit of  $61/432,245\ 01/13/2011$ and claims benefit of  $61/434,836\ 01/21/2011$ and claims benefit of  $61/561,957\ 11/21/2011$ 

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.* 

#### Permission to Access Application via Priority Document Exchange: Yes

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Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

#### If Required, Foreign Filing License Granted: 05/08/2019

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 16/397,267** 

Projected Publication Date: 08/15/2019

Non-Publication Request: No

Early Publication Request: No Title

#### USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

#### **Preliminary Class**

514

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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	PAT	ENT APPLI		<b>IN FEE DE</b> titute for Form		TION R	ECOR	D		tion or Docket Num 17,267	iber
	APP	LICATION A			umn 2)	_	SMALL	ENTITY	OR	OTHEF SMALL	
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	RCH FEE FR 1.16(k), (i), or (m))	N	/A	N	J/A	N	/A			N/A	660
	MINATION FEE FR 1.16(0), (p), or (q))	N	/A	N	J/A	Ν	/A			N/A	760
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	EPENDENT CLAII FR 1.16(h))	MS 4	minus	3 = *	1				1	× 460 =	460
(37 CFR 1.16(h))     4     1       APPLICATION SIZE     If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).											0.00
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NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RA	ГE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
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Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	YANCOPOULOS, GEORGE D.
Art Unit	N/A
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

	U.S. PATENT DOCUMENTS									
Examiner Initial*			lssue Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	1	7303746	2007-12-04	Wiegand						
	2	7303748	2007-12-04	Wiegand						
	3	7306799	2007-12-11	Wiegand						
	4	7396664	2008-07-08	Daly et al.						
	5	9254338	2016-02-09	Yancopoulos						
	6	9669069	2017-06-06	Yancopoulos						
	7	10130681	2018-11-20	Yancopoulos						

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of

	U.S. PATENT APPLICATION PUBLICATIONS								
Examiner Initial*	Cite No.	Publication Number Number-Kind Code (if known)	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	1	20050163798	2005-07-28	Papadopoulos et al.					
	2	20050260203	2005-11-24	Wiegand et al.					
	3	20060058234	2006-03-16	Daly et al.					
	4	20060172944	2006-08-03	Wiegand et al.					
	5	20070190058	2007-08-16	Shams					
	6	20030171320	2003-09-11	Guyer					

			FOREIGN PATEN	T DOCUMENTS		
Examiner Initial*	Cite No.	Foreign Document Number	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т
	1	WO 2006/047325	2006-03-04	Genentech, Inc.		
	2	WO 2000/75319	2000-12-14	Regeneron Pharmaceuitcals, Inc.		
	3	WO 2007/022101 A2	2007-02-22	Regeneron Pharmaceuticals, Inc.		
	4	WO 2008/063932	2008-05-29	Genentech, Inc.		
	5	JP 2010-509369	2010-03-25	Genentech, Inc.	See WO 2008/063932 for English Equivalent	
	6	WO 2012/097019	2012-07-19	Regeneron Pharmaceuticals, Inc.		

#### NON PATENT LITERATURE DOCUMENTS

Examin er Initials*	No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
	1	ANONYMOUS "Lucentis (rangibizymab injection) Intravitreal Injection" pp. 103 (June 2006)			
	2	BROWNING et al. "Aflibercept for age-related macular degeneration: a game-changer or quiet addition?" American Journal of Ophthalmology, Vol. 154(2):222-226 (08/01/2012)			

Signature Considered	Examiner	Date	
		Considered	

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of

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Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	YANCOPOULOS, GEORGE D.
Art Unit	N/A
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

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	3	CAMPOCHIARO et al. "Ranibizumab for Macular Edema Due to Retinal Vein Occlusions Implication of VEGF as a Critical Stimulator" Molecular Therapy, 16(4):791-799 (2008)	
	4	Information from ClinicalTrials.gov archive View of NCT00637377 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD) (VIEW 2)" <i>ClinicalTrials.gov.</i> Web. 2010-11-30.	
	5	CENTER FOR DRUG EVALUATION AND RESEARCH APPLICATION NUMBER: 21-756 MEDICAL REVIEW(S) (December 17, 2004) <url:https: 2004="" 21-<br="" drugsatfda_docs="" nda="" www.accessdata.fda.gov="">756_Macugen_medr.pdf&gt;</url:https:>	
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	7	CAO, "A Subretinal Matrigel Rat Choroidal Neovascularization (CNV) Model and Inhibition of CNV and Associated Inflammation and Fibrosis by VEGF Trap" Investigative Ophthalmology & Visual Science, 51(11):6009- 6017 (11/2010)	
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	14	HEIERet al., " rhuFab V2 (anti-VEGF Antibody) for Treatment of Exudative AMD" Symposium 8:Experimental and Emerging Treatments for Choroidal Neovascularization, 10 pp (2002)	
	15	HEIER et al., "RhuFab V2 in Wet AMD - 6 Month Continued Improvement Following Multiple Intravitreal Injections" Invest Ophthalmol Vis Sci, 44:E-Abstract 972 (2003)	
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	18	HOLASH, "VEGF-Trap: A VEGF blocker with potent antitumor effects" PNAS 99(17)11393-11398 (8/20/2002)	
	19	HOLASH, "Inhibitors of growth factor receptors, signaling pathways and angiogenesis as therapeutic molecular agents." Cancer Metastasis 25:243-252 (2006)	
Examir Signatı		Date Considered	

of

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Application Number	16/397,267
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First Named Inventor	YANCOPOULOS, GEORGE D.
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#### NON PATENT LITERATURE DOCUMENTS Examin Т Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, No. magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or Initials\* country where published. Information from ClinicalTrials.gov archive on the VIEW 2 study (NCT00637377) "VEGF Trap-Eye: Investigation of Efficacy and Safety in Wet AMD (VIEW 2)" version available 20 and updated on 17 March 2008. Information from ClinicalTrials.gov archive on the view of NCT00509795 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet 21 Age-Related Macular Degeneration (AMD)" (12-01-2009) Information from Clinical Trials.gov archive on the view of NCT00789477 "DME and VEGF 22 Trap-Eye: Investigation of Clinical Impact" (11-18-2010) Information from ClinicalTrials.gov archive on the view of NCT00509795 "Vascular 23 Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD)" (01-07-2011) KARIA, Niral, "Retinal vein occlusion: pathophysiology and treatment options" Clinical 24 Ophthalmology, 4:809-816 (2010) KUO, "Comparative evaluation of the antitumor activity of antiangiogenic proteins 25 delivered by gene transfer" PNAS 98(8):4605-4610 (04/10/2001) KRZYSTOLIK et al., "Prevention of Experimental Choroidal NEovascularization With Intravitreal Anti-Vascular Endothelial Growth Factor Antibody Fragment" Arch 26 Ophthamol., 120:338-346 (Mar. 2002) Lucentis Label Title, 7 pages, 30/06/2010 [Cited in Third Party Observations filed in 27 parent application USSN 16/055,847 for which a copy is unavailable on PAIR] MITRA et al., "Review of anti-vascular endothelial growth factor therapy in macular edema 28 secondary to central retinal vein occlusions" Expert Review in Ophthalmo, Taylor & Francis, GB (January 1, 2011) 6(6):623-629 MOUSA AND MOUSA, "Current Status of Vascular Endothelial Growth Factor Inhibition in 29 Age-Related Macular Degeneration" Biodrugs 2010; 24(3); 183-194. NGUYEN et al., "A Phase I Study of Intravitreal Vascular Endothelial Growth Factor Trap-Eye in Patients with Neovascular Age-Related Macular Degeneration" Opthamology, J.B. 30 Lippincott Co., Philadelphia, PA, US, 116(11):2141-2148 (November 1, 2009) 116(11):2141-2148 (November 1, 2009) NGUYEN et al., "A phase I trial of an IV-administered vascular endothelial growth factor trap for treatment in patients with choroidal neovascularization due to age-related macular 31 degeneration" Ophthalmology (Sept 2006) 113(9):1522e1-1522e14 (epub July 28,2006) NICHOLS, EARL R., "AAO: Ranibizumab (rhuRab) May Improve Vision in Age-Related 32 Macular Degeneration" Doctor's Guide Global Edition, www.pslgroup.com/dg/23f2aa.htm, pp. 1-2 (November 24, 2013) NICHOLS, "Ranibizumab (rhuRab) May improve vision in age-related macular 33 degeneration" (11/24/2003) OHR, "Aflibercept in wet age-related macular degeneration: a perspective review" Ther. 34 Adv. Chronic Dis., 3(4):153-161 (2012) OLIVERA et al., "VEGF Trap R1R2 suppresses experimental corneal angiogenesis" 35 European Journal of Ophthalmology (January 1, 2010) 20(1):48-54 PAI et al., "Current concepts in intravitreal drug therapy for diabetic retinopathy" Saudi Journal of Opthamology 36 24(4):143-149 (June 30, 2010) Date Examiner Signature Considered

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Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	YANCOPOULOS, GEORGE D.
Art Unit	N/A
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

#### NON PATENT LITERATURE DOCUMENTS

5

of

Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
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	39	Regeneron, Press release "Regeneron Reports First Quarter 2008 Financial and Operating Results", May 1, 2008.	
	40	Regeneron Press Release "Enrollment Completed in Regeneron and Bayer HealthCare Phase 3 Studies of VEGF Trap-Eye in Neovascular Age-Related Macular Degeneration (Wet AMD)" September 14, 2009	
	41	Regeneron Press Release "Bayer and Regeneron Report Positive Top-Line Results of Two Phase 3 Studies with VEGF Trap-Eye in Wet Age-related Macular Degeneration" November 22, 2010	
	42	Regeneron Press Release "Regeneron and Bayer Report Positive Results for VEGF Trap-Eye in Phase 3 Study in Central Retinal Vein Occlusion (CRVO) and in Phase 2 Study in Diabetic Macular Edema (DME)" December 20, 2010	
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	45	SCHNICHELS, "Comparative toxicity and proliferation testing of aflibercept, bevacizumab and ranibizumab on different ocular cells." Br. J. Opthalmol. (05/17/2013)	
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	47	SIMO AND HERNANDEZ, "Advances in Medical Treatment of Diabetic Retinopathy" Diabetes Care, Volume 32, Number 8, August 2009	
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Examiner	Date	
Signature	Considered	

		ATION DISC IENT BY AP			Application Number Filing Date First Named Inventor Art Unit Examiner Name	16/397,267 April 29, 2019 YANCOPOULOS, GEORGE D. N/A Jon McClelland Lockard	
Sheet		5	of	5	Attorney Docket Number	REGN-008CIPCON5	
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	57	N/A "Materials	s fro	m June 2011	FDA Committee Mtg" (0	6/17/2011)	
	58	N/A "Materials	s fro	m Dec 2011 I	FDA Committee Mtg"(12	/01/2011)	
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Examiner Signature	Date Considered	
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Electronic A	cknowledgement Receipt
EFS ID:	36351485
Application Number:	16397267
International Application Number:	
Confirmation Number:	8135
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS
First Named Inventor/Applicant Name:	George D. YANCOPOULOS
Customer Number:	96387
Filer:	Karl Bozicevic/Kimberly Zuehlke
Filer Authorized By:	Karl Bozicevic
Attorney Docket Number:	REGN-008CIPCON5
Receipt Date:	19-JUN-2019
Filing Date:	29-APR-2019
Time Stamp:	17:50:43
Application Type:	Utility under 35 USC 111(a)

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the application.

INFORMATION DISCLOSURE STATEMENT	Attorney Docket No.	REGN-008CIPCON5
	Confirmation No.	8135
	First Named Inventor	George D. Yancopoulos
	Application Number	16/397,267
	Filing Date	April 29, 2019
	Group Art Unit	
Address to:	Examiner Name	Jon McClelland Lockard
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders"	

#### Electronically Filed 6/19/2019

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

All of the references identified herein were disclosed in parent application serial number 16/159,282, and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

#### **Statements**

**PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

No statement

**IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

**IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

**Fees** 

 $\boxtimes$  No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: June 19, 2019

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## **Electronically Filed**

SECOND PRELIMINARY	Attorney Docket No.	REGN-008CIPCON5	
AMENDMENT	Confirmation No.	8135	
Under CFR 1.115	First Named Inventor	YANCOPOULOS, GEORGE D.	
	Application Number	16/397,267	
Address to:	Filing Date	April 29, 2019	
Mail Stop Patent Application	Group Art Unit	1647	
Commissioner for Patents	Examiner Name	LOCKARD, Jon McClelland	
P.O. Box 1450	Title: "Use of a VEGI	F Antagonist to Treat Angiogenic	
Alexandria, VA 22313-1450	Eye Disorders"		

Sir:

Prior to the examination of the above-referenced application on the merits, please enter the amendments below.

### Atty Dkt. No.: REGN-008CIPCON5 USSN: 16/397,267

#### AMENDMENTS TO THE CLAIMS

1. - 20. (Canceled)

21. (Previously Presented) A method for treating age related macular degeneration in a patient, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 3 months, followed by 2 mg aflibercept approximately once every 8 weeks or once every 2 months.

22. (Previously Presented) The method of claim 21, wherein the age-related macular degeneration is neovascular (wet).

23. (Previously Presented) The method of claim 21, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

24. (Previously Presented) The method of claim 23, wherein the age-related macular degeneration is neovascular (wet).

25. (Previously Presented) The method of claim 22 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

26. (Previously Presented) The method of claim 25 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

27. (Previously Presented) The method of claim 22 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

28. (Previously Presented) The method of claim 27 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

29. (Previously Presented) A method for treating diabetic macular edema in a patient, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 5 injections followed by 2 mg aflibercept approximately once every 8 weeks or once every 2 months.

30. (Previously Presented) The method of claim 29, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

31. (Previously Presented) The method of claim 29, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

32. (Previously Presented) The method of claim 29 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

33. (Previously Presented) The method of claim 32 wherein Best Corrected Visual Acuity(BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

34. (Previously Presented) The method of claim 29 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

35. (Previously Presented) The method of claim 34 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

36. (Previously Presented) A method for treating diabetic retinopathy in a patient, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 5 injections followed by 2 mg aflibercept approximately once every 8 weeks or 2 months.

37. (Previously Presented) The method of claim 36, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

38. (Previously Presented) The method of claim 36, further comprising after 20 weeks, administering via intravitreal injection 2 mg of aflibercept once every 4 weeks

39. (Previously Presented) The method of claim 36 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

40. (Previously Presented) The method of claim 37 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

41. (Previously Presented) The method of claim 36 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

42. (Previously Presented) The method of claim 41 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

43. (Previously Presented) A method for treating diabetic retinopathy in a patient with diabetic macular edema, comprising administering, to said patient, by intravitreal injection, 2 mg aflibercept approximately every 4 weeks for the first 5 injections followed by 2 mg aflibercept approximately once every 8 weeks or 2 months.

44. (Previously Presented) The method of claim 43, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

45. (Previously Presented) The method of claim 43, further comprising after 20 weeks, administering via intravitreal injection 2 mg of aflibercept once every 4 weeks

46. (Previously Presented) The method of claim 43 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

47. (Previously Presented) The method of claim 46 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

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48. (Previously Presented) The method of claim 43 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

49. (Previously Presented) The method of claim 48 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

50. (New) A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient

a single initial dose of a VEGF antagonist, followed by

one or more secondary doses of the VEGF antagonist, followed by

one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 4 weeks after the immediately preceding dose; and wherein each tertiary dose is administered 8 weeks after the immediately preceding dose;

wherein the VEGF antagonist is a receptor-based chimeric molecule comprising

an immunoglobin-like (Ig) domain 2 of a first VEGF receptor and Ig domain 3 of a second

VEGF receptor, and a multimerizing component.

51. (New) The method of claim 50 wherein the first VEGF receptor is Flt1 and the second VEGF receptor is Flk1.

52. (New) The method of claim 50 wherein the VEGF antagonist is aflibercept.

53. (New) The method of claim 51, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.

54. (New) The method of claim 53, wherein the intraocular administration is intravitreal administration.

55. (New) The method of claim 54, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.

56. (New) The method of claim 55, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

57. (New) The method of claim 55, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.

58. (New) The method of claim 51, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

59. (New) The method of claim 51 wherein the angiogenic eye disorder is age related macular degeneration.

60. (New) The method of claim 51 wherein the angiogenic eye disorder is diabetic retinopathy.

61. (New) The method of claim 51, wherein the angiogenic eye disorder is diabetic macular edema.

62. (New) The method of claim 59 wherein all doses of VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

63. (New) The method of claim 59 wherein all doses of VEGF antagonist comprise 2.0 mg of the VEGF antagonist.

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### REMARKS UNDER 37 CFR § 1.115

#### **Formal Matters**

Claims 21-63 are pending after entry of the amendments set forth herein.

Original claims 1-20 were previously canceled without prejudice.

Claims 21-49 were previously added in the Preliminary Amendment filed April 29, 2019. Claims 50-63 are added here.

Support for new claims 50-63 as well as previously added claims 21-49 can be found in originally pending now canceled claims 1-20, and throughout the specification.

No new matter has been added.

#### STATEMENT UNDER 37 C.F.R. §§1.56 AND 1.2

Applicants hereby advise the Examiner of the status of a co-pending application in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 (see also MPEP §2001.06(b)) as discussed in *McKesson Info. Soln. Inc., v. Bridge Medical Inc.*, 487 F.3d 897; 82 USPQ2d 1865 (Fed. Cir. 2007).

The Applicants wish to bring to the Examiner's attention U.S. Patent Application No. 13/940,370, filed July 12, 2013 which issued on February 9, 2016 as U.S. Patent 9,254,338.

The Applicants wish to bring to the Examiner's attention U.S. Patent Application No.

14/972,560, filed December 17, 2015 which issued on June 6, 2018 as U.S. Patent No. 9,669,069. The Applicants wish to bring to the Examiner's attention U.S. Patent Application No.

15/471,506, filed March 28, 2017 which issued on November 20, 2018 as U.S. Patent No. 10,130,691...

The Applicants wish to bring to the Examiner's attention co-pending U.S. Patent Application No.

16/055,847, filed August 6, 2018 for which no actions have been mailed.

The Applicants wish to bring to the Examiner's attention co-pending U.S. Patent Application No. 16/159.282, filed October 12, 2018 for which a non-final Office Action was mailed April 3, 2019.

These documents are available on PAIR, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR.

#### **CONCLUSION**

Applicant submits that all of the claims are in condition for allowance, which action is requested. If the Examiner finds that a telephone conference would expedite the prosecution of this application, please telephone the undersigned at the number provided.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>14 August 2019</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic, Reg. No. 28,807

Bozicevic, Field & Francis LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, California 94065 Telephone: (650) 327-3400 Direct: (650) 833-7735 Facsimile: (650) 327-3231

Electronic Patent A	٩pp	lication Fee	Transmi	ttal	
Application Number:	163	97267			
Filing Date:	29-	Apr-2019			
Title of Invention:	USE	E OF A VEGF ANTAG	ONIST TO TRE	AT ANGIOGENIC EY	E DISORDERS
First Named Inventor/Applicant Name:	George D. YANCOPOULOS				
Filer:	Karl Bozicevic/Savanna Fuentes				
Attorney Docket Number:	REC	SN-008CIPCON5			
Filed as Large Entity					
Filing Fees for Utility under 35 USC 111(a)					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
CLAIMS IN EXCESS OF 20		1202	4	100	400
INDEPENDENT CLAIMS IN EXCESS OF 3		1201	1	460	460
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	860

Electronic A	cknowledgement Receipt
EFS ID:	36873462
Application Number:	16397267
International Application Number:	
Confirmation Number:	8135
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS
First Named Inventor/Applicant Name:	George D. YANCOPOULOS
Customer Number:	96387
Filer:	Karl Bozicevic/Savanna Fuentes
Filer Authorized By:	Karl Bozicevic
Attorney Docket Number:	REGN-008CIPCON5
Receipt Date:	14-AUG-2019
Filing Date:	29-APR-2019
Time Stamp:	16:57:54
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$860
RAM confirmation Number	E20198DG58088162
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The Director of the USPTO is hereby authorized to char	ge indicated fees and credit any overpayment as follows:

File Listing:					
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED ST	ates Patent and Tradema	UNITED STA' United States Address: COMMIS P.O. Box 1	, Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/397,267	04/29/2019	George D. YANCOPOULOS	REGN-008CIPCON5
			CONFIRMATION NO. 8135
96387		PUBLICAT	ION NOTICE
Regeneron - Bozicevic, Fi 201 REDWOOD SHORES SUITE 200 REDWOOD CITY, CA 940	S PARKWAY		DC000000110424420*

Title:USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

Publication No.US-2019-0247463-A1 Publication Date:08/15/2019

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Public Records Division. The Public Records Division can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Public Records Division, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

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Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

#### Application Number 16/397,267 Filing Date April 29, 2019 **INFORMATION DISCLOSURE** First Named Inventor Yancopoulos, George D. STATEMENT BY APPLICANT Art Unit 1647 Examiner Name Jon McClelland Lockard Sheet of 3 Attorney Docket Number 1 REGN-008CIPCON5

	U.S. PATENT DOCUMENTS							
Examiner	Cite	Patent Number	Issue Date	Name of Patentee or	Pages, Columns, Lines, Where			
Initial*	No.	Number-Kind Code (if known)	YYYY-MM-DD	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear			
	1							
	2							

	U.S. PATENT APPLICATION PUBLICATIONS							
Examiner	Cite	Publication Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where			
Initial*	No.		YYYY-MM-DD	Applicant of Cited Document	Relevant Passages or Relevant			
		Number-Kind Code (if known)			Figures Appear			
	1	2008/0220004	2008-09-11	Wiegand et al.				
	2							

	FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No.	Foreign Document Number Country Code-Number-Kind Code ( <i>if</i> known)	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т			
	1								
	2								

		NON PATENT LITERATURE DOCUMENTS					
Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т				
	1	BARBAZETTO, "DOSING REGIMEN AND THE FREQUENCY OF MACULAR HEMORRHAGES IN NEOVASCULAR AGE-RELATED MACULAR DEGENERATION TREATED WITH RANIBIZUMAB." Retina, 30:9, 1376-85, 2010					
	2 Bayer Investor News, "Bayer and Regeneron Start additional Phase 3 Study for VEGF Trap=Eye in Wet Age-related Macular Degeneration." May 8, 2008						
	3	BOYER, "A Phase IIIb Study to Evaluate the Safety of Ranibizumab in Subjects with Neovascular Age-related Macular Degeneration." Opthalmology, 116:9, 1731-39, September 2009.					
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	6	BROWN, "Long-term Outcomes of Ranibizumab Therapy for Diabetic Macular Edema: The 36-Month Results from Two phase III Trials." Ophthalmology, 2013.					
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	8	CAMPOCHIARO, "Sustained Benefits from Ranibizumab for Macular Edema following					
	<ul> <li>9 CSAKY, "Safety Implications of Vascular Endothelial Growh Factor Blockade for Subjects Receiving Intravitreal Anti-Vascular Endothelial Growth Factor Therapies." Am. J. Ophthalmology, 148:5, 647-56, November 2009.</li> </ul>						
Examir Signati		Date Considered					

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

of

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Sheet

Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	Yancopoulos, George D.
Art Unit	1647
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

#### NON PATENT LITERATURE DOCUMENTS Examin Т Cite Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, No. magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or Initials\* country where published. DO, "One-Year Outcomes of the DA VINCI Study of VEGF Trap-Eye in Eyes with Diabetic 10 Macular Edema." Ophthalmology, 2012. ENGELBERT, "The 'Treat and Extend' Dosing Regimen of Intravitreal Anti-Vascular Endothelial Growth Factor Therapy for Neovascular Age-Related Macular Degeneration." 11 Ophthalmology Management, June 2010, available at http://www.visioncareprofessional.com/emails/amdupdate/index.asp?issue=42 ENGELBERT, "LONG-TERM FOLLOW-UP FOR TYPE 1 (SUBRETINAL PIGMENT EPITHELIUM) NEOVASCULARIZATION USING A MODIFIED 'TREAT AND EXTEND' 12 DOSING REGIMENT OF INTRAVITREAL ANTIVASCULAR ENDOTHELIAL GROWTH FACTOR THERAPY." Retina, 30:9, 1368-75, 2010 ENGELBERT, "TREAT AND EXTEND' DOSING OF INTRAVITREAL ANTIVASCULAR **ENDOTHELIAL GROWTH FACTOR THERAPY FOR TYPE 3** 13 NEOVASCULARIZATION/RETINAL ANGIOMATOUS PROLIFERATION." Retina, 29:10, 1424-31, 2009 14 Eylea®, Highlights of Prescribing Information, Revised 08/2018. FUNG, "An Optical Coherence Tomography-Guided, Variable Dosing Regiment with Intravitreal Ranibizumab (Lucentis) for Neovascular Age-related Macular Degeneration." 15 Am J Ophthalmology 143:4, 566-83, April 2007 GALE, "Complementary and Coordinated Roles of the VEGFs and Angiopoietins during 16 Normal and Pathologic Vascular Formation." Cold Spring Harbor Symposia on Quantitative Biology, Volume LXVIL, pp. 267-73, 2002. GARCIA-QUINTANILLA, "Pharmacokinetics of Intravitreal Anti-VEGF Drugs in Age-17 Related Macular Degeneration." Pharmaceutics, 11:365, 2019. GOMEZ-MANZANO, "VEGF Trap induces antiglioma effect at different stages of 18 disease." Society for Neuro-Oncology, December 2008. GRAGOUDAS. "Pegaptanib for Neovascular Age-Related Macular Degeneration." N Engl 19 J Med 351:27, 2805-16, December 30, 2004 20 HEIER, "Intravitreal Aflibercept for Diabetic Macular Edema." Ophthalmology, 2016. Ho et al., Slides entitled CLEAR IT 2 One-Year Key Results, Retina Society 2008 21 KAISER, "Vascular endothelial growth factor Trap-Eye for diabetic macular oedema." Br. 22 J. Ophthalmol, 93:2, 135-36, February 2009. KOROBELNIK, "Intravitreal Aflibercept for Diabetic Macular Edema." Ophthalmology, 23 121:11, 2247-54, November 2014. LALWANI, "All About PrONTO: Study Yielded Good Results in AMD With 24 Treatment Guided by OCT." Retina Today, May 2007 LALWANI, 'A Variable-dosing Regimen with Intravitreal Ranibizumab for 25 Neovascular Age-related Macular Degeneration: Year 2 of the PrONTO Study." Am J Ophthalmology, 148:1, 43-58, July 2009. LEVINE, "MACULAR HEMORRHAGE IN NEOVASCULAR AGE-RELATED MACULAR DEGENERATION AFTER STABILIZATION WITH ANTIANGIOGENIC 26 THERAPY." Retina, 29:8, 1074-79, 2009.

Γ	Examiner Signature	Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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of

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Sheet

Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	Yancopoulos, George D.
Art Unit	1647
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

#### NON PATENT LITERATURE DOCUMENTS

			_
Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	27	MARGOLIS, "HEMORRHAGIC RECURRENCE OF NEOVASCULAR AGE- RELATED MACULAR DEGENERATION NOT PREDICTED BY SPECTRAL DOMAIN OPTICAL COHERENCE TOMOGRAPHY." Retinal Cases & Brief Reports, 4:1, 2010	
	28	MASSIN, "Safety and Efficacy of Ranibizumab in Diabetic Macular Edema (RESOLVE Study*)." Diabetes Care, 33:11, 2399-405, November 2010.	
	29	MITCHELL, "The RESTORE Study, Ranibizumab Monotherapy or Combined with Laser versus Laser Monotherapy for Diabetic Macular Edema." Ophthalmology, 188:4, 615-25, April 2011.	
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	31	Regeneron Press Release, "Bayer and Regeneron Dose First Patient in Second Phase 3 Study for VEGF Trap-Eye in Wet Age-Related Macular Degeneration." May 8, 2008	
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	33	ROSENFELD, "Ranibizumab for Neovascular Age-Related Macular Degeneration." N Engl J Med, 355:14, 1419-31, October 5, 2006.	
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	39	YANCOPOULOS, "Vascular-specific growth factors and blood vessel formation." Nature 407:242-48, September 14, 2000.	
	40	YANCOPOULOS, "Clinical Application of Therapies Targeting VEGF." Cell 143, October 1, 2010.	
	41	YUNG, "moving Toward the Next Steps in Angiogenesis Therapy?" Society for Neuro-Oncology, 10:939, 2008	

Г	Examiner	Date	
	Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Electronic Acknowledgement Receipt				
EFS ID:	37202823			
Application Number:	16397267			
International Application Number:				
Confirmation Number:	8135			
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS			
First Named Inventor/Applicant Name:	George D. YANCOPOULOS			
Customer Number:	96387			
Filer:	Karl Bozicevic/Kimberly Zuehlke			
Filer Authorized By:	Karl Bozicevic			
Attorney Docket Number:	REGN-008CIPCON5			
Receipt Date:	18-SEP-2019			
Filing Date:	29-APR-2019			
Time Stamp:	15:32:26			
Application Type:	Utility under 35 USC 111(a)			

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characterize Post Card, a: <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 an national sta <u>New Interna</u> If a new inte an international of the Im	ed by the applicant, and including pages s described in MPEP 503. Initions Under 35 U.S.C. 111 lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF gement Receipt will establish the filin up of an International Application un ubmission to enter the national stage and other applicable requirements a F ge submission under 35 U.S.C. 371 wi tional Application Filed with the USP rnational application is being filed an	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>Inder 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>PTO as a Receiving Office</u> nd the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	It serves as evidence components for a filir course and the date s ion is compliant with ing acceptance of the e Filing Receipt, in du ion includes the nece of the International course, subject to pres	of receipt s ing date (see shown on th the condition application e course. essary comp Application scriptions c	a 37 CFR a 37 CFR a s a bonents fo a Number oncernin

the application.

	Attorney Docket No.	REGN-008CIPCON5	
	Confirmation No.	8135	
INFORMATION	First Named Inventor	George D. Yancopoulos	
DISCLOSURE STATEMENT	Application Number	16/397,267	
	Filing Date	April 29, 2019	
	Group Art Unit	1647	
Address to:	Examiner Name	Jon McClelland Lockard	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders"		

### **Electronically Filed 9/18/2019**

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

All of the references identified herein were disclosed in parent application serial number 16/159,282, and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

#### **Statements**

**PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

No statement

**IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

**IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

#### **Fees**

 $\boxtimes$  No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: September 18, 2019

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231 By: <u>/Karl Bozicevic, Reg. No. 28,807/</u> Karl Bozicevic Reg. No. 28,807 Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

# POWER OF ATTORNEY BY APPLICANT

I hereby revoke all prev the boxes below.	vious powers of attorney given in the	e application ident	tified in <u>ei</u>	<u>ther</u> the atta	ached transmittal letter or	
	oplication Number	Filing D	Date			
	16/397,267	Apr	ril 29, 2	2019		
(Note:	The boxes above may be left blank if inf	ormation is provide	ed on form	 PTO/AIA/82/	A.)	
I hereby appoint to transact all bu the attached tran OR I hereby appoint all business in th	<ul> <li>(Note: The boxes above may be left blank if information is provided on form PTO/AIA/82A.)</li> <li>I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:</li> <li>OR</li> <li>I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the patent application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above:</li> </ul>					
	change the correspondence addre	ess for the appli	cation id	entified in	the attached transmittal	
letter or the boxes at	Dove to: sociated with the above-mentioned Cust	omer Number				
OR	sociated with the above-mentioned Cusit					
The address ass	sociated with Customer Number:					
OR						
Firm or Individual Name						
Address						
City		State		Zip	)	
Country		•		•		
Telephone		Email				
	Applicant is a juristic entity, list the Appli		ox):			
Regeneron R	Pharmaceuticals, Inc	<b>D</b> .				
Inventor or Joint	Inventor (title not required below)					
Legal Represent	ative of a Deceased or Legally Incapaci	tated Inventor (title	not require	ed below)		
Assignee or Pers	son to Whom the Inventor is Under an C	bligation to Assign	(provide s	igner's title if	f applicant is a juristic entity)	
Person Who Oth	nerwise Shows Sufficient Proprietary Inte	erest (e.g., a petition	n under 37	CFR 1.46(b)	)(2) was granted in the	
application or is	concurrently being filed with this docume			plicant is a j	uristic entity)	
		of Applicant for Pa				
	se title is supplied below) is authorized to a					
, , , , , , , , , , , , , , , , , , ,	Frank R. Cottingham/	ank R. Cottingham/ Date (Optional) September 6, 2019		nber 6, 2019		
	Executive Director, Assistant Genera	al Counsel Paten	nte Roger	oron Pharn	naceuticals Inc	
	s form must be signed by the applicant in a					
and certifications. If mo	re than one applicant, use multiple forms.				J	
Total of 1	forms are submitted.					
USPTO to process) an applicatio including gathering, preparing, ar of time you require to complete th	equired by 37 CFR 1.131, 1.32, and 1.33. The inform n. Confidentiality is governed by 35 U.S.C. 122 and nd submitting the completed application form to the his form and/or suggestions for reducing this burder Box 1450, Alexandria, VA 22313-1450. DO NOT SE	d 37 CFR 1.11 and 1.14. USPTO. Time will vary on the sent to the C	. This collection depending upon Chief Information	on is estimated to on the individual ion Officer, U.S.	o take 3 minutes to complete, I case. Any comments on the amount Patent and Trademark Office, U.S.	

for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

APOTEX V. REGENERON IPR2022-01524 REGENERON EXHIBIT 2009 PAGE 096

#### PTO/AIA/96 (08-12) Approved for use through 01/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

	STATEMENT UNDER 37 CFR 3.73(c)						
Applicant/Patent Owner: Regeneron Pl							
Application No./Patent No.: 16/397,267	Application No./Patent No.:       16/397,267       Filed/Issue Date:       April 29, 2019         Titled:       Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders       Filed/Issue Date:       April 29, 2019						
Titled: Use of a VEGF Antagonist to	Treat Angiogenic Eye Disorders						
Regeneron Pharmaceuticals, Inc.	, a_Corporation						
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)						
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):							
1. $\checkmark$ The assignee of the entire right, t	itle, and interest.						
2. An assignee of less than the entit	e right, title, and interest (check applicable box):						
	its ownership interest is%. Additional Statement(s) by the owners t <u>must be submitted</u> to account for 100% of the ownership interest.						
There are unspecified percent right, title and interest are:	ages of ownership. The other parties, including inventors, who together own the entire						
Additional Statement(s) by the right, title, and interest.	owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire						
	erest in the entirety (a complete assignment from one of the joint inventors was made). o together own the entire right, title, and interest are:						
Additional Statement(s) by the right, title, and interest.	owner(s) holding the balance of the interest <u>must be submitted</u> to account for the entire						
	ing or the like ( <i>e.g.</i> , bankruptcy, probate), of an undivided interest in the entirety (a as made). The certified document(s) showing the transfer is attached.						
The interest identified in option 1, 2 or 3 a	above (not option 4) is evidenced by either (choose <u>one</u> of options A or B below):						
<ul> <li>An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel <u>050278</u>, Frame <u>0613</u>, or for which a copy thereof is attached.</li> </ul>							
B. A chain of title from the inventor(s	s), of the patent application/patent identified above, to the current assignee as follows:						
1. From:	То:						
The document was rec	orded in the United States Patent and Trademark Office at						
Reel, F	rame, or for which a copy thereof is attached.						
2. From:	То:						
The document was rec	orded in the United States Patent and Trademark Office at						
Reel, F	rame, or for which a copy thereof is attached.						
	[Page 1 of 2]						

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

		<u>STATEME</u>	NT UNDER 37 CFR 3.73(c)			
3. From: _			То:			
	The documer	nt was recorded in the	United States Patent and Trademark Office at			
	Reel	, Frame	, or for which a copy thereof is attached.			
4. From: _			То:			
	The documer	nt was recorded in the	United States Patent and Trademark Office at			
	Reel	, Frame	, or for which a copy thereof is attached.			
5. From: _			То:			
	The documer	nt was recorded in the	United States Patent and Trademark Office at			
	Reel	, Frame	, or for which a copy thereof is attached.			
6. From: _			То:			
	The documer	nt was recorded in the	United States Patent and Trademark Office at			
	Reel	, Frame	, or for which a copy thereof is attached.			
	Additional documents	in the chain of title ar	e listed on a supplemental sheet(s).			
			mentary evidence of the chain of title from the original owner to the itted for recordation pursuant to 37 CFR 3.11.			
			he original assignment document(s)) must be submitted to Assignment record the assignment in the records of the USPTO. See MPEP 302.08]			
The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.						
/Karl Bo	zicevic, Reg. N	0. 28,807/	2019/09/27			
Signature			Date			
	ozicevic		28,807			
Printed or	Typed Name		Title or Registration Number			

[Page 2 of 2]

### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic A	cknowledgement Receipt
EFS ID:	37284164
Application Number:	16397267
International Application Number:	
Confirmation Number:	8135
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS
First Named Inventor/Applicant Name:	George D. YANCOPOULOS
Customer Number:	96387
Filer:	Karl Bozicevic/Halle Jarman
Filer Authorized By:	Karl Bozicevic
Attorney Docket Number:	REGN-008CIPCON5
Receipt Date:	27-SEP-2019
Filing Date:	29-APR-2019
Time Stamp:	18:37:04
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with F	Payment	no			
File Listing:					
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			169021		
1	Power of Attorney	0725US06_POA.pdf	4f8368c019dbee50cae97a4f05b90c9acf7fd 883	no	1
Warnings:				1	

Information					
			118186		
2	Power of Attorney	REGN-008CIPCON5_aia0096. pdf	685a2d201bf52f3aa05d8a008e168775302 9dae0	no	3
Warnings:					
Information					
		Total Files Size (in bytes)	: 2	87207	
characterize Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 ar national stag <u>New Interna</u> If a new inter an internatio and of the In	ledgement Receipt evidences receip d by the applicant, and including page described in MPEP 503. <u>tions Under 35 U.S.C. 111</u> lication is being filed and the applica nd MPEP 506), a Filing Receipt (37 CF ement Receipt will establish the filin <u>ge of an International Application ur</u> bmission to enter the national stage ad other applicable requirements a F ge submission under 35 U.S.C. 371 wit <u>tional Application Filed with the USP</u> rnational application is being filed an onal filing date (see PCT Article 11 an ternational Filing Date (Form PCT/RC urity, and the date shown on this Ack	ge counts, where applicable. tion includes the necessary of R 1.54) will be issued in due g date of the application. <u>inder 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>PTO as a Receiving Office</u> and the international applicat d MPEP 1810), a Notification D/105) will be issued in due c	It serves as evidence components for a filir course and the date s on is compliant with ng acceptance of the e Filing Receipt, in du ion includes the nece of the International ourse, subject to pres	of receipt s ig date (see shown on th the condition application e course. ssary comp Application scriptions co	imilar to a 37 CFR is ons of 35 as a onents for Number oncerning

United St	ates Patent and Tradem	UNITED STAT United States Address: COMMIS P.O. Box 14	Virginia 22313-1450
APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
16/397,267	04/29/2019	George D. YANCOPOULOS	REGN-008CIPCON5
			<b>CONFIRMATION NO. 8135</b>
96387		POA ACCE	PTANCE LETTER
Regeneron - Bozicevic, Fi 201 REDWOOD SHORES SUITE 200 REDWOOD CITY, CA 94	S PARKWAY		C000000111575443*

Date Mailed: 10/02/2019

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/27/2019.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/ltaba/

## **Electronically Filed**

SECOND PRELIMINARY	Attorney Docket No.	REGN-008CIPCON5
AMENDMENT	Confirmation No.	8135
Under CFR 1.115	First Named Inventor	YANCOPOULOS, GEORGE D.
	Application Number	16/397,267
Address to:	Filing Date	April 29, 2019
Mail Stop Patent Application	Group Art Unit	1647
Commissioner for Patents	Examiner Name	LOCKARD, Jon McClelland
P.O. Box 1450	Title: <i>"Use of a VEGI</i>	F Antagonist to Treat Angiogenic
Alexandria, VA 22313-1450	Eye Disorders"	

Sir:

Prior to the examination of the above-referenced application on the merits, please enter the amendments below.

Electronic Ac	knowledgement Receipt
EFS ID:	38221376
Application Number:	16397267
International Application Number:	
Confirmation Number:	8135
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS
First Named Inventor/Applicant Name:	George D. YANCOPOULOS
Customer Number:	96387
Filer:	Karl Bozicevic/Kimberly Zuehlke
Filer Authorized By:	Karl Bozicevic
Attorney Docket Number:	REGN-008CIPCON5
Receipt Date:	07-JAN-2020
Filing Date:	29-APR-2019
Time Stamp:	12:27:41
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted wi	th Payment	no			
File Listin	g:				
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
		0725-	52805		
1		US06_REGN-008CIPCON5_202 -01-07_third_supp_prelim_ar end.pdf		yes	8

	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Applicant Arguments/Remarks Made in an Amendment	7	8	
	Claims	2	6	
	Preliminary Amendment	1	1	
Warnings:				
Information:				
	Total Files Size (in bytes):	52	2805	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### REMARKS UNDER 37 CFR § 1.115

#### **Formal Matters**

Claims 21-63 are pending after entry of the amendments set forth herein.

Original claims 1-20 were previously canceled without prejudice.

Claims 21, 29, 36, 38, 43, 45 and 50 have been amended to more particularly point out and distinctly claim the invention. Support for these amendments can be found throughout the specification. No new matter has been added.

#### STATEMENT UNDER 37 C.F.R. §§1.56 AND 1.2

Applicants hereby advise the Examiner of the status of a co-pending application in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 (see also MPEP §2001.06(b)) as discussed in *McKesson Info. Soln. Inc., v. Bridge Medical Inc.*, 487 F.3d 897; 82 USPQ2d 1865 (Fed. Cir. 2007).

The Applicants wish to bring to the Examiner's attention U.S. Patent Application No.

13/940,370, filed July 12, 2013 which issued on February 9, 2016 as U.S. Patent 9,254,338.

The Applicants wish to bring to the Examiner's attention U.S. Patent Application No.

14/972,560, filed December 17, 2015 which issued on June 6, 2018 as U.S. Patent No. 9,669,069.

The Applicants wish to bring to the Examiner's attention U.S. Patent Application No.

15/471,506, filed March 28, 2017 which issued on November 20, 2018 as U.S. Patent No. 10,130,691.

The Applicants wish to bring to the Examiner's attention co-pending U.S. Patent Application No. 16/055,847, filed August 6, 2018 for which a non-final Office Action was mailed on December 10,

#### 2019.

The Applicants wish to bring to the Examiner's attention co-pending U.S. Patent Application No. 16/159,282, filed October 12, 2018 for which a non-final Office Action was mailed October 1, 2019.

These documents are available on PAIR, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR.

#### **CONCLUSION**

Applicant submits that all of the claims are in condition for allowance, which action is requested. If the Examiner finds that a telephone conference would expedite the prosecution of this application, please telephone the undersigned at the number provided.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: 7 January 2020

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic, Reg. No. 28,807

Bozicevic, Field & Francis LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, California 94065 Telephone: (650) 327-3400 Direct: (650) 833-7735 Facsimile: (650) 327-3231

#### AMENDMENTS TO THE CLAIMS

1. - 20. (Canceled)

21. (**Currently amended**) A method for treating age related macular degeneration in a patient, comprising <u>intravitreally</u> administering, to said patient, by intravitreal injection, <u>an effective</u> <u>amount of aflibercept which is</u> 2 mg <del>aflibercept</del> approximately every 4 weeks for the first 3 months, followed by 2 mg <del>aflibercept</del> approximately once every 8 weeks or once every 2 months.

22. (Previously Presented) The method of claim 21, wherein the age-related macular degeneration is neovascular (wet).

23. (Previously Presented) The method of claim 21, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

24. (Previously Presented) The method of claim 23, wherein the age-related macular degeneration is neovascular (wet).

25. (Previously Presented) The method of claim 22 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

26. (Previously Presented) The method of claim 25 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

27. (Previously Presented) The method of claim 22 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

28. (Previously Presented) The method of claim 27 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

29. (Currently amended) A method for treating diabetic macular edema in a patient,

comprising <u>intravitreally</u> administering, to said patient<del>, by intravitreal injection</del>, <u>an effective</u> <u>amount of aflibercept which is</u> 2 mg <del>aflibercept</del> approximately every 4 weeks for the first 5 injections followed by 2 mg **aflibercept** approximately once every 8 weeks or once every 2 months.

30. (Previously Presented) The method of claim 29, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

31. (Previously Presented) The method of claim 29, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

32. (Previously Presented) The method of claim 29 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

33. (Previously Presented) The method of claim 32 wherein Best Corrected Visual Acuity(BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

34. (Previously Presented) The method of claim 29 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

35. (Previously Presented) The method of claim 34 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

36. (**Currently amended**) A method for treating diabetic retinopathy in a patient, comprising <u>intravitreally</u> administering, to said patient, by intravitreal injection, an effective amount of <u>aflibercept which is</u> 2 mg <del>aflibercept</del> approximately every 4 weeks for the first 5 injections followed by 2 mg <del>aflibercept</del> approximately once every 8 weeks or 2 months.

37. (Previously Presented) The method of claim 36, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

38. (**Currently amended**) The method of claim 36, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

39. (Previously Presented) The method of claim 36 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

40. (Previously Presented) The method of claim 37 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

41. (Previously Presented) The method of claim 36 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

42. (Previously Presented) The method of claim 41 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

43. (**Currently amended**) A method for treating diabetic retinopathy in a patient with diabetic macular edema, comprising <u>intravitreally</u> administering, to said patient, <del>by intravitreal</del> injection, <u>an effective amount of aflibercept which is</u> 2 mg aflibercept approximately every 4 weeks for the first 5 injections followed by 2 mg aflibercept approximately once every 8 weeks or 2 months.

44. (Previously Presented) The method of claim 43, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

45. (**Currently amended**) The method of claim 43, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

46. (Previously Presented) The method of claim 43 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

47. (Previously Presented) The method of claim 46 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

48. (Previously Presented) The method of claim 43 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

49. (Previously Presented) The method of claim 48 wherein Best Corrected Visual Acuity (BCVA) is measured by Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

50. (**Currently Amended**) A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient <u>an effective sequential dosing</u> regimen of a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 4 weeks after the immediately preceding dose; and wherein each tertiary dose is administered 8 weeks after the immediately preceding dose; wherein the VEGF antagonist is a receptor-based chimeric molecule comprising an immunoglobin-like (Ig) domain 2 of a first VEGF receptor and Ig domain 3 of a second VEGF receptor, and a multimerizing component.

51. (Previously Presented) The method of claim 50 wherein the first VEGF receptor is Flt1 and the second VEGF receptor is Flk1.

52. (Previously Presented) The method of claim 50 wherein the VEGF antagonist is aflibercept.

53. (Previously Presented) The method of claim 51, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.

54. (Previously Presented) The method of claim 53, wherein the intraocular administration is intravitreal administration.

55. (Previously Presented) The method of claim 54, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.

56. (Previously Presented) The method of claim 55, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

57. (Previously Presented) The method of claim 55, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.

58. (Previously Presented) The method of claim 51, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

59. (Previously Presented) The method of claim 51 wherein the angiogenic eye disorder is age related macular degeneration.

60. (Previously Presented) The method of claim 51 wherein the angiogenic eye disorder is diabetic retinopathy.

61. (Previously Presented) The method of claim 51, wherein the angiogenic eye disorder is diabetic macular edema.

62. (Previously Presented) The method of claim 59 wherein all doses of VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

63. (Previously Presented) The method of claim 59 wherein all doses of VEGF antagonist comprise 2.0 mg of the VEGF antagonist.

P/	ATENT APPLI	CATION		ERMINATION		Application	to a collection of informatic or Docket Number 6/397,267	on unless it displays a Filing Date 04/29/2019	a valid OMB control number.
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	(37 CFR 1.16(a), (b), c	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), or	r (m))	N/A		N/A		N/A		
_	EXAMINATION FEE (37 CFR 1.16(o), (p), c		N/A		N/A		N/A		
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# **United States Patent and Trademark Office**

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16397267	08/14/2019

Document Number	Fee Code	Fee Code Description	Amount Paid	Payment Method
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Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	
Examiner Name	
Attorney Docket Number	REGN-008CIPCON5

	U.S. PATENT DOCUMENTS						
Examiner Initial*	Cite No.	Patent Number	Issue Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
	1	Number-Kind Code ( <i>if known</i> )			Figures Appear		
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	U.S. PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No.	Publication Number	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant		
		Number-Kind Code (if known)			Figures Appear		
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	FOREIGN PATENT DOCUMENTS					
Examiner Initial*	Cite No.	Foreign Document Number Country Code-Number-Kind Code ( <i>if</i> known)	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т
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NON PATENT LITERATURE DOCUMENTS						
Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т			
	1	16/055,847 – Third Party Submissions dated May 1, 2019				
	2	16/159,282 – Third Party Submissions dated May 31, 2019				
	3	BROWN, "Long-term Outcomes of Ranibizumab Therapy for Diabetic Macular Edema: The 36-Month Results from Two phase III Trials." Ophthalmology, 120(10):2013-22 (October 2013)				
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	5	DIXON et al., "VEGF Trap-Eye for the treatment of neovascular age-related macular degeneration" Expert Opin. Investig. Drugs, 18(10):1573-1580 (2009)				
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Sheet

Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	
Examiner Name	
Attorney Docket Number	REGN-008CIPCON5

		NON PATENT LITERATURE DOCUMENTS	
Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	11	Information from ClinicalTrials.gov archive on the view of NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 7 pages, first posted 11/13/2009; results first posted 11/22/2012; last update posted 11/3/14; printed 12/4/19 ( <u>https://clinicaltrials.gov/ct2/show/study/NCT01012973</u> ) (NOTE: May correspond to "Vascular Endothelial Growth Factor Trap‐ Eye Investigation of Efficacy and Safety in Central Retinal Vein Occlusion title, 8 pages, 11/12/2009, US [ <b>Cited in Third</b> <b>Party Observations filed in parent application USSN 16/055,847 for which a copy is unavailable on PAIR]</b> " which was cited in the Third Party Observations dated 05/01/19)	
	12	KAISER, "Vascular endothelial growth factor Trap-Eye for diabetic macular oedema." Br. J. Ophthalmol, 93(2):135-36 (February 2009)	
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	20	YANCOPOULOS, "Clinical Application of Therapies Targeting VEGF." Cell 143:13-16 (October 1, 2010)	

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Electronic Acknowledgement Receipt				
EFS ID:	38407136			
Application Number:	16397267			
International Application Number:				
Confirmation Number:	8135			
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS			
First Named Inventor/Applicant Name:	George D. YANCOPOULOS			
Customer Number:	96387			
Filer:	Karl Bozicevic/Kimberly Zuehlke			
Filer Authorized By:	Karl Bozicevic			
Attorney Docket Number:	REGN-008CIPCON5			
Receipt Date:	27-JAN-2020			
Filing Date:	29-APR-2019			
Time Stamp:	15:02:21			
Application Type:	Utility under 35 USC 111(a)			

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Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

	Electrometany i nea	
	Attorney Docket No.	REGN-008CIPCON5
	Confirmation No.	8135
INFORMATION	First Named Inventor	George D. Yancopoulos
DISCLOSURE STATEMENT	Application Number	16/397,267
	Filing Date	April 29, 2019
	Group Art Unit	
Address to:	Examiner Name	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Eye Disorders"	Antagonist to Treat Angiogenic

#### **Electronically Filed**

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such.

A listing of the documents is shown on enclosed Form PTO/SB/08A. All documents with the exception of documents (1), (2), (9), (11) and (12) in the non-patent literature were previously submitted and copies are not enclosed. These documents are being relisted on the PTO/SB/08A form to complete the NPL cite from the originally submitted version, for example, article submitted while "In Press".

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

#### **Statements**

#### No statement

**PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

- **IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
  - **IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

#### <u>Fees</u>

 $\square$  No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>27 January 2020</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

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Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	
Examiner Name	
Attorney Docket Number	REGN-008CIPCON5

	U.S. PATENT DOCUMENTS						
Examiner Initial*	Cite No.	Patent Number Number-Kind Code ( <i>if known</i> )	lssue Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
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	U.S. PATENT APPLICATION PUBLICATIONS							
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Examiner Initial*	Cite No.	Foreign Document Number Country Code-Number-Kind Code ( <i>if</i> known)	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т	
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		NON PATENT LITERATURE DOCUMENTS						
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	1	<ul> <li>Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of</li> <li>Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 38 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973 01182013 27424.1)</li> </ul>						
	2	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 10 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973 01252011_27433.1)						
	3	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 11 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_01262012_27428.1)						
	4	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 38 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_01302013_27423.1)						

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Application Number	16/397,267
Filing Date	April 29, 2019
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Art Unit	
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Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т			
	5	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 12 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_02092010_27442.1)				
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Sheet

Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	
Examiner Name	
Attorney Docket Number	REGN-008CIPCON5

NON PATENT LITERATURE DOCUMENTS						
	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т				
14	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 10 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_09082010_27436.1)					
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16	Latest version submitted October 27, 2014 on Clinical Trials.gov (NCT01012973_10042010_27435.1)					
17	<ul> <li>Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 38 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_10232012_27426.1)</li> </ul>					
18	<ul> <li>Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 38 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_10272013_27422.1)</li> </ul>					
19	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 10 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_11012010_27434.1)					
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22	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of					
Examiner Signature	Date Considered					

		ATION DIS( IENT BY AF			Application Number Filing Date First Named Inventor Art Unit Examiner Name	16/397,267 April 29, 2019 George D. Yancopoulos	
Sheet	Sheet 4 of 4			4	Attorney Docket Number	REGN-008CIPCON5	
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Electronic A	cknowledgement Receipt
EFS ID:	38658587
Application Number:	16397267
International Application Number:	
Confirmation Number:	8135
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS
First Named Inventor/Applicant Name:	George D. YANCOPOULOS
Customer Number:	96387
Filer:	Karl Bozicevic/Kimberly Zuehlke
Filer Authorized By:	Karl Bozicevic
Attorney Docket Number:	REGN-008CIPCON5
Receipt Date:	21-FEB-2020
Filing Date:	29-APR-2019
Time Stamp:	15:49:41
Application Type:	Utility under 35 USC 111(a)

## Payment information:

Submitted with Payment			no						
File Listing:									
Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other application Filed with the USPTO as a Receiving Office           If a new international Application is being filed and the international application includes the necessary components for a ninternational Application set and the other international Application set and other application set of a file and the international application is compliant with the conditions of 35           U.S.C. 371 and other application Filed with the USPTO as a Receiving Office           If a new international Application is being filed and the international application includes the necessary components for an international Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.								

	Attorney Docket No.	REGN-008CIPCON5		
INFORMATION DISCLOSURE STATEMENT	Confirmation No.	8135		
	First Named Inventor	George D. Yancopoulos		
	Application Number	16/397,267		
	Filing Date	April 29, 2019		
	Group Art Unit			
Address to:	Examiner Name			
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Eye Disorders"	Antagonist to Treat Angiogenic		

#### **Electronically Filed**

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the foreign patents and non-patent literature are also enclosed.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

#### **Statements**

 $\square$ 

- No statement
  - **PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

**IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

**IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

**Fees** 

 $\boxtimes$  No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: 21 February 2020

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

	IFORMATION DISC	LO	SURE	Application Number Filing Date	16/397,267 April 29, 2019
	TATEMENT BY AP			First Named Inventor	George D. Yancopoulos
1 3			CANT	Art Unit	
				Examiner Name	
Sheet	1	of	2	Attorney Docket Number	REGN-008CIPCON5

	U.S. PATENT DOCUMENTS									
Examiner Initial*	Cite No.	Patent Number Number-Kind Code ( <i>if known</i> )	lssue Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear					
	1	7070959	2006-07-04	Papadopoulos						
	2	8092803	2012-01-10	Furfine et al.						
	3	10406226	2019-09-10	Dix et al.						
	4	10464992	2019-11-05	Furfine et al.						

	U.S. PATENT APPLICATION PUBLICATIONS								
Examiner	Cite	Publication Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Initial*	No.		YYYY-MM-DD	Applicant of Cited Document	Relevant Passages or Relevant				
		Number-Kind Code (if known)			Figures Appear				
	1	2019/0388539	2019-12-26	Dix et al.					
	2	2020/0017572	2020-01-16	Furfine et al.					

	FOREIGN PATENT DOCUMENTS										
Examiner Initial*	Cite No.	Foreign Document Number Country Code-Number-Kind Code ( <i>if</i> known)	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	т					
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		NON PATENT LITERATURE DOCUMENTS				
Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т			
	1	ANONYMOUS "Anti-VEGF 2019: The State of the Art" Review of Ophthalmology (published August 5, 2019)				
	2	CHATZIRALLI et al. "Intravitreal aflibercept for neovascular age-related macular degeneration in patients aged 90 years or older: 2-year visual acuity outcomes" Eye (2018) 32:1523-1529				
	3	CHUNG et al. "Ziv-aflibercept: A novel angiogenesis inhibitor for the treatment of metastatic colorectal cancer" Am J Heath-Syst Pharm (November 1, 2013) 70:1887-1896				
	4	COOPER et al., "Increased Renal Expression of Vascular Endothelial Growth Factor (VEGF) and Its Receptor VEGFR-2 in Experimental Diabetes" Diabetes (1999) 48:2229- 2239				
	5	CROLL et al., "VEGF-mediated inflammation precedes angiogenesis in adult brain" Experimental Neurology (2004) 187:388-402				
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	7	EREMINA et al., "Glomerular-specific alterations of VEGF-A expression lead to distinct				
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Examir Signati		Date Considered				

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Sheet

Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	
Examiner Name	
Attornev Docket Number	REGN-008CIPCON5

## NON PATENT LITERATURE DOCUMENTS

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of

		NONTATENT EITENATORE DOCOMENTS		
Examin er Initials*	er nitials* Che No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (bo magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city a country where published.			
	9	FERRARA, N. "Vascular Endothelial Growth Factor: Molecular and Biological Aspects" Advances in Organ Biology (1999) pp. 1-30		
	10	FERRARA et al., "Clinical applications of angiogenic growth factors and their inhibitors" Nature Medicine (December 1999) 5(12):1359-1364		
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Examiner	Date	
Signature	Considered	

Electronic A	Electronic Acknowledgement Receipt				
EFS ID:	39027760				
Application Number:	16397267				
International Application Number:					
Confirmation Number:	8135				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
First Named Inventor/Applicant Name:	George D. YANCOPOULOS				
Customer Number:	96387				
Filer:	Karl Bozicevic/Kimberly Zuehlke				
Filer Authorized By:	Karl Bozicevic				
Attorney Docket Number:	REGN-008CIPCON5				
Receipt Date:	31-MAR-2020				
Filing Date:	29-APR-2019				
Time Stamp:	20:38:36				
Application Type:	Utility under 35 USC 111(a)				

## Payment information:

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Document Number	<b>Document Description</b>		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application is being filed and the international application includes the necessary components for an international application filed with the USPTO as a Receiving Office           If a new international application is being filed and the international application of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.						

#### **Electronically Filed**

	Attorney Docket No.	REGN-008CIPCON5	
	Confirmation No.	8135	
INFORMATION DISCLOSUDE STATEMENT	First Named Inventor	George D. Yancopoulos	
DISCLOSURE STATEMENT	Application Number	16/397,267	
	Filing Date	April 29, 2019	
	Group Art Unit		
Address to:	Examiner Name		
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders"		

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the foreign patents and non-patent literature are also enclosed.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

#### **Statements**

No statement

**PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

**IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign

patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or

**IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

#### **Fees**

- $\square$  No fee is believed to be due.
  - The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>31 March 2020</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

UNITED STATES PATENT AND TRADEMARK OFFICE								
		UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov						
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.				
16/397,267	04/29/2019	George D. YANCOPOULOS	REGN-008CIPCON5	8135				
	7590 05/12/2020 Dzicevic, Field & Francis	EXAMINER						
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### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@bozpat.com

	Application No. Applica					
Office Action Commence	16/397,267	YANCOPOULOS, George D.				
Office Action Summary	Examiner	Art Unit	AIA (FITF) Status			
	JON M LOCKARD	1647	No			
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address					
Period for Reply						
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DATE OF THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.1	36(a). In no event, however, may a reply be ti	mely filed after SIX	(6) MONTHS from the mailing			
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<ul> <li>Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailin</li> </ul>	e, cause the application to become ABANDON	IED (35 U.S.C. § 1	33).			
adjustment. See 37 CFR 1.704(b).		a, may roadoo an	y carried patent term			
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1)  ■ Responsive to communication(s) filed on 07	January 2020.					
A declaration(s)/affidavit(s) under 37 CFR	1.130(b) was/were filed on	<u>    .</u>				
2a) This action is <b>FINAL</b> . 2b)	This action is non-final.					
3) An election was made by the applicant in re-						
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Disposition of Claims*						
5) 🗹 Claim(s) <u>21-63</u> is/are pending in the application.						
5a) Of the above claim(s) is/are withd	rawn from consideration.					
6) 🔲 Claim(s) is/are allowed.						
<ol> <li>Claim(s) <u>21-63</u> is/are rejected.</li> </ol>						
8) 🔲 Claim(s) is/are objected to.						
9)   Claim(s) are subject to restriction a	•					
* If any claims have been determined <u>allowable</u> , you may be el		-	<b>hway</b> program at a			
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10) The specification is objected to by the Exami		u sala sala sala s	<b>F</b>			
11) The drawing(s) filed on <u>29 April 2019</u> is/are:						
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).						
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). Certified copies:						
a) All b) Some** c) None of	the:					
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3. Copies of the certified copies of the priority documents have been received in Application No.						
application from the International Bureau (PCT Rule 17.2(a)).						
** See the attached detailed Office action for a list of the certified copies not received.						
Attachment(s)						
1) Notice of References Cited (PTO-892)	3) Interview Summa					
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S						
Paper No(s)/Mail Date U.S. Patent and Trademark Office						

Part of Paper No./Mail Date 20200506

#### Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

#### Status of Application, Amendments, and/or Claims

The Preliminary Amendment filed on 07 January 2020 has been entered in full. Claims
 1-20 have been cancelled, and claims 21-63 have been added. Therefore, claims 21-63 are
 pending and the subject of this Office Action.

#### Information Disclosure Statement

3. The information disclosure statements (IDS) filed 19 June 2019, 18 September 2019, 27 January 2020, 21 February 2020 and 31 March 2020 have been considered by the examiner.

#### **Double Patenting**

4. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate where the claims at issue are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van* 

*Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

5. A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the reference application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).

6. The USPTO internet Web site contains terminal disclaimer forms which may be used. Please visit http://www.uspto.gov/forms/. The filing date of the application will determine what form should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.

7. Claims 21-63 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 9,254,338. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-26 of the '338 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, choroidal neovascularization, vascular leak, and/or retinal edema, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:2, which comprises an immunoglobin-like (Ig) domain 2 of a first VEGF receptor (VEGFR1) and Ig domain 3 of a second VEGF receptor (VEGFR2) and a multimerizing component, which is what aflibercept

comprises. While the '338 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

8. Claims 21-63 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-12 of U.S. Patent No. 9,669,069. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-12 of the '069 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:2, which comprises an immunoglobin-like (Ig) domain 2 of a first VEGF receptor (VEGFR1) and Ig domain 3 of a second VEGF receptor (VEGFR2) and a multimerizing component, which is what aflibercept comprises. While the '069 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

9. Claims 21-63 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-12 of U.S. Patent No. 10,130,681. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-12 of the '681 patent are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:2, which comprises an immunoglobin-like (Ig) domain 2 of a first VEGF receptor (VEGFR1) and Ig domain 3 of a second VEGF receptor (VEGFR2) and a multimerizing component, which is what aflibercept comprises. While the '681 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

10. Claims 21-63 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 32-42 of co-pending U.S. Application No. 16/159,282 (reference application). Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 32-42 of the '282 Application are drawn to a method for treating an angiogenic eye disorder, including age-related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization, comprising

administering a VEGF antagonist, wherein the VEGF comprises an immunoglobin-like (Ig) domain 2 of Flt1 and Ig domain 3 of Flk1 and a multimerizing component, or aflibercept. While the '282 Application does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

This is a provisional nonstatutory double patenting rejection because the patentably indistinct claims have not in fact been patented, although a Notice of Allowability has been mailed (01 April 2020).

Summary

11. No claim is allowed.

#### **Advisory Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jon M. Lockard whose telephone number is (571) 272-2717. The examiner can normally be reached on Monday through Friday, 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joanne Hama, can be reached on (571) 272-2911. The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JON M LOCKARD/ Examiner, Art Unit 1647 May 6, 2020



Application/Control No.	Applicant(s)/Patent Under Reexamination
16/397,267	YANCOPOULOS, George D.
Examiner	Art Unit
JON M LOCKARD	1647

CPC - Searched*				
Symbol	Date	Examiner		

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*					
Class Subclass Date Exam					
NONE		05/06/2020	JML		

\* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST (USPAT, US-PGPUB, EPO, DERWENT): See attached search history.	05/06/2020	JML
STN (MEDLINE, SCISEARCH, EMBASE, BIOSIS): See attached search history.	05/06/2020	JML
PALM: Inventor search.	05/06/2020	JML

Interference Search					
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner		



### **Inventor Information for 16/397267**

/J.L./

Inventor Name	City	State/Country
YANCOPOULOS, GEORGE D.	YORKTOWN HEIGHTS	NEW YORK
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### EAST Search History

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	7990	(flt1 or vegfr1 or (vegf adj r1)) same ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Flt4 vegfr3 or (vegf adj r3)))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2020/05/06 21:30
L2	2035	l1 and ((chimer\$ or fusion) same vegf)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2020/05/06 21:31
L3	856	l1 same ((chimer\$ or fusion) same vegf)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2020/05/06 21:31
L4	7799	(flt1 or vegfr1 or (vegf adj r1)) with ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Flt4 vegfr3 or (vegf adj r3)))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2020/05/06 21:31
L5	442	l4 with ((chimer\$ or fusion) with vegf)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2020/05/06 21:31
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L8	27	(l3 l5) same ((eye or ocular or retina\$ or macular) with disorder)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2020/05/06 21:32
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					First Named Inventor		George D. Yancopoulos		
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	1	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 38 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_01182013_27424.1)	
	2	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 10 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_01252011_27433.1)	
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	4	Updated Information from ClinicalTrials.gov archive History of Changes for Study: NCT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 38 pages, Latest version submitted October 27, 2014 on ClinicalTrials.gov (NCT01012973_01302013_27423.1)	

	Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. APOTEX V. REGENERON IPR2022-01524 APOTEX V. REGENERON IPR2022-01524

REGENERON EXHIBIT 2009 PAGE 154 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L/

			Application Number Filing Date	16/397,267 April 29, 201	9					
		ATION DISCLOSURE	First Named Inventor	George D. Y						
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	5	NCT01012973 "Vascular Endo Efficacy and Safety in Central F Latest version submitted Octob (NCT01012973_02092010_274	odated Information from ClinicalTrials.gov archive History of Changes for Study: CT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of ficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 12 pages, test version submitted October 27, 2014 on ClinicalTrials.gov CT01012973 02092010 27442.1)							
	6	Updated Information from Clinic NCT01012973 "Vascular Endo Efficacy and Safety in Central F Latest version submitted Octob (NCT01012973_02202012_274	thelial Growth Factor (VI Retinal Vein Occlusion ( Per 27, 2014 on ClinicalT	EGF) Trap-Ey CRVO)(GALIL	e: Investigation of					
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	9	Updated Information from Clinic NCT01012973 "Vascular Endor Efficacy and Safety in Central F Latest version submitted Octob (NCT01012973_04162010_274	thelial Growth Factor (VI Retinal Vein Occlusion ( Per 27, 2014 on Clinical T	EGF) Trap-Ey CRVO)(GALIL	e: Investigation of					
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	14	Updated Information from Clinic NCT01012973 "Vascular Endo Efficacy and Safety in Central F Latest version submitted Octob (NCT01012973_09082010_274	pdated Information from ClinicalTrials.gov archive History of Changes for Study: CT01012973 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of fficacy and Safety in Central Retinal Vein Occlusion (CRVO)(GALILEO) 10 pages, atest version submitted October 27, 2014 on ClinicalTrials.gov VCT01012973_09082010_27436.1)							
	15	Updated Information from Clinic NCT01012973 "Vascular Endo Efficacy and Safety in Central F Latest version submitted Octob (NCT01012973_09192011_274	thelial Growth Factor (V Retinal Vein Occlusion ( Per 27, 2014 on ClinicalT 430.1)	EGF) Trap-Ey CRVO)(GALIL Trials.gov	e: Investigation of EO) 10 pages,					
	16	Updated Information from Clinic NCT01012973 "Vascular Endo Efficacy and Safety in Central F Latest version submitted Octob (NCT01012973_10042010_274	thelial Growth Factor (V Retinal Vein Occlusion ( Per 27, 2014 on ClinicalT	EGF) Trap-Ey CRVO)(GALIL	e: Investigation of					
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	18	Updated Information from Clinic NCT01012973 "Vascular Endo Efficacy and Safety in Central F Latest version submitted Octob (NCT01012973_10272013_274	thelial Growth Factor (V Retinal Vein Occlusion ( Per 27, 2014 on ClinicalT	EGF) Trap-Ey CRVO)(GALIL	e: Investigation of					
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Examiner /JON M LOCKARD/ Date Considered	05/06/2020
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REGENERON EXHIBIT 2009 PAGE 157 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L/

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		<b>IATION DISC</b>			Filing Da	te	April 2	9, 2019
					First Nan	ned Inventor	George	e D. Yancopoulos
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		NON PATENT LITERATURE DOCUMENTS	
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	2	16/159,282 – Third Party Submissions dated May 31, 2019	
	3	BROWN, "Long-term Outcomes of Ranibizumab Therapy for Diabetic Macular Edema: The 36-Month Results from Two phase III Trials." Ophthalmology, 120(10):2013-22 (October 2013)	
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	10	HEIER, "Intravitreal Aflibercept for Diabetic Macular Edema: 148-Week Results from the VISTA and VIVID Studies." Ophthalmology, 123(11):2376-2385 (November 2016)	

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				First Named Inventor	Yancopoulos, George D.	
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		RUL	E							
	APPLICANTS REGENERON PHARMACEUTICALS, INC., Tarrytown, NY									
INVENTORS George D		OPOULOS,	Yorktown	Height	s, NY;					
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				First Nam	ed Inventor	YANCO	DPOULOS, GEORGE D.
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		ENT BY APPLICANT	First Named Inventor	YANCOPOL	ILOS, GEORGE D.				
51A			Art Unit	N/A					
			Examiner Name	Jon McClellan					
Sheet		3 of 5	Attorney Docket Number	REGN-008C	IPCON5				
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		IENT BY APPLICANT	First Named Inventor	YANCOPOULOS, GEORGE D.			
517			Art Unit Examiner Name	N/A Jon McClelland Lockard			
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				First Narr	ned Inventor		D. Yancopoulos	
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				Examiner		Jon Lo		
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. APOTEX V. REGENERON IPR2022-01524

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		ATION DISCLOSURE	Filing Date	April 29, 2019			
			First Named Inventor	George D. Yancopoulos			
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			Examiner Name				
Sheet		2 of 2	Attorney Docket Number	REGN-008CIPCON5			
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### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	1647
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5
	Filing Date First Named Inventor Art Unit Examiner Name

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	5	Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer Healthcare Initiate Phase 3 Global Development Program for VEGF Trap-Eye In Wet Age-Related Macular Degeneration (AMD)" (August 2, 2007)	
	6	Regeneron Press Release "Regeneron Announces Positive Primary Endpoint Results From A Phase 2 Study Of VEGF Trap-Eye In Age-Related Macular Degeneration" (October 1, 2007)	
	7	Regeneron Press Release "Regeneron Reports Fourth Quarter And Full Year 2007 Financial And Operating Results" (February 27, 2008)	
	8	Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer HealthCare Announce Encouraging 32-Week Follow-up Results from a Phase 2 Study of VEGF Trap-Eye in Age-Related Macular Degeneration" (April 28, 2008)	
	9	Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer HealthCare Announce VEGF Trap-Eye Achieved Durable Improvement in Vision over 52 Weeks in a Phase 2 Study in Patients with Age-related Macular Degeneration" (August 19, 2008)	

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT

2

Sheet

Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	1647
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

#### NON PATENT LITERATURE DOCUMENTS

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of

Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	т
	10	Regeneron Pharmaceuticals, Inc. "Regeneron Reports Full Year and Fourth Quarter 2008 Financial and Operating Results" (February 26, 2009)	
	11	Regeneron Pharmaceuticals, Inc. "Bayer and Regeneron Extend Development Program for VEGF Trap-Eye to Include Central Retinal Vein Occlusion" (April 30, 2009)	
	12	Regeneron Press Release "First Patient Enrolled In Regeneron And Bayer Healthcare VEGF Trap-Eye Phase 3 Program In Central Retinal Vein Occlusion" (July 23, 2009)	
	13	Regeneron Press Release "Regeneron Schedules November 22, 2010 Teleconference And Webcast To Discuss Results Of Two Phase 3 Studies With VEGF Trap-Eye In Wet Age-Related Macular Degeneration" (November 19, 2010)	
	14	Regeneron Press Release "Regeneron And Bayer Start Phase 3 Trial To Extend Ophthalmology Research & Development Program For VEGF Trap-Eye In Asia" (January 18, 2011)	
	15	Regeneron Press Release "Regeneron To Webcast Investor Briefing On VEGF Trap-Eye Clinical Program On Sunday, February 13th At 9 Am Et" (February 9, 2011)	
	16	Regeneron Press Release "Regeneron Submits Biologics License Application To FDA For VEGF Trap-Eye For Treatment Of Wet Age-Related Macular Degeneration" (February 22, 2011)	
	17	Regeneron Press Release "Regeneron And Bayer Announce Start Of Phase 3 Clinical Program In Diabetic Macular Edema" (April 8, 2011)	
	18	Regeneron Pharmaceuticals, Inc., "FDA Grants Priority Review for VEGF Trap-Eye for the Treatment of Wet Age-Related Macular Degeneration" (April 18, 2011)	
	19	Regeneron Press Release "VEGF Trap-Eye Submitted for EU Marketing Authorization for Treatment of Wet Age-Related Macular Degeneration (June 7, 2011)"	
	20	Regeneron Pharmaceuticals, Inc., "Regeneron Announces EYLEA™ (aflibercept ophthalmic solution) Receives Unanimous Recommendation for Approval for Treatment of Wet AMD from FDA Advisory Committee" (June 17, 2011)	
	21	Regeneron Press Release "Regeneron Announces Clinical Presentations at ASRS 2011 Annual Meeting" (August 17, 2011)	
	22	Regeneron Pharmaceuticals, Inc., "Regeneron Announces FDA Approval of EYLEA™ (aflibercept) Injection for the Treatment of Wet Age-Related Macular Degeneration: CORRECTED (November 18, 2011)	
	23	Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer Initiate Phase 3 Clinical Program for the Treatment of Wet Age-Related Macular Degeneration in China" (November 28, 2011)	
	24	Regeneron Pharmaceuticals, Inc., "Two Year Results of Phase 3 Studies with EYLEA™ (aflibercept) Injection in wet AMD Show Sustained Improvement in Visual Acuity" (December 5, 2011)	

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Electronic Patent Application Fee Transmittal						
Application Number:	16	397267				
Filing Date:	29-	Apr-2019				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Filer:	Karl Bozicevic/Kimberly Zuehlke					
Attorney Docket Number:	REGN-008CIPCON5					
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
SUBMISSION- INFORMATION DISCLOSURE STMT	1806	1	240	240
	Tot	al in USD	(\$)	240

Electronic Ac	Electronic Acknowledgement Receipt				
EFS ID:	39876409				
Application Number:	16397267				
International Application Number:					
Confirmation Number:	8135				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
First Named Inventor/Applicant Name:	George D. YANCOPOULOS				
Customer Number:	96387				
Filer:	Karl Bozicevic/Kimberly Zuehlke				
Filer Authorized By:	Karl Bozicevic				
Attorney Docket Number:	REGN-008CIPCON5				
Receipt Date:	30-JUN-2020				
Filing Date:	29-APR-2019				
Time Stamp:	17:19:54				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment	yes		
Payment Type	CARD		
Payment was successfully received in RAM	\$240		
RAM confirmation Number	E20206TH20345313		
Deposit Account			
Authorized User			
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:			

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Transmittal Letter	0725US06_2020-06-30_Supp_I DS_trans_REGN-008CIPCON5.	50733	no	2
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Information:					
	Information Disclosure Statement (IDS)	0725US062020-06-30_Supp_	36066	no	
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APOTEX V. REGENERON IPR2022-01524 REGENERON EXHIBIT 2009 PAGE 180 This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

# New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

# **Electronically Filed**

	V	
	Attorney Docket No.	REGN-008CIPCON5
	Confirmation No.	8135
INFORMATION DISCLOSURE STATEMENT	First Named Inventor	George D. Yancopoulos
	Application Number	16/397,267
	Filing Date	April 29, 2019
	Group Art Unit	1647
Address to:	Examiner Name	Jon McClelland Lockard
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Antagonist to Treat Angiogen Eye Disorders"	

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the foreign patents and non-patent literature are also enclosed.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

# **Statements**

No statement

**PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

**IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign

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**IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

# **Fees**

- No fee is believed to be due.
- The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: 30 June 2020

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

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Application Number	16/397,267
Filing Date	April 29, 2019
First Named Inventor	George D. Yancopoulos
Art Unit	1647
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

	U.S. PATENT DOCUMENTS						
Examiner Initial*	Cite No.	Patent Number Number-Kind Code ( <i>if known</i> )	Issue Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1						

	U.S. PATENT APPLICATION PUBLICATIONS				
Examiner	Cite	Publication Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where
Initial*	No.		YYYY-MM-DD	Applicant of Cited Document	Relevant Passages or Relevant
		Number-Kind Code (if known)			Figures Appear
	1	2019/0290725	2019-09-26	Vitti et al.	

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Examiner	Cite	Foreign Document Number	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	Т
Initial*	No.	Country Code-Number-Kind Code (if known)			Appear	
	1	WO 2004/106378 A2	2004-12-09	Regeneron		
	•	WC 2004/100378 A2	2004-12-03	Pharmaceuticals, Inc.		
	2	WO 2005/000895 A2	2005-01-05	Regeneron		
	2	110 2003/000033 AZ	2003-01-03	Pharmaceuticals, Inc.		

	NON PATENT LITERATURE DOCUMENTS					
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	1	BENZ et al. "CLEAR-IT-2: Interim Results Of The Phase II, Randomized, Controlled Dose- and Interval-ranging Study Of Repeated Intravitreal VEGF Trap Administration In Patients With Neovascular Age-related Macular Degeneration (AMD)" ARVO Annual Meeting Abstract (May 2007)				
	2	DO et al. "Results of a Phase 1 Study of Intravitreal VEGF Trap in Subjects with Diabetic Macular Edema: The CLEAR-IT DME Study" ARVO Annual Meeting Abstract (May 2007)				
	3	DO et al. "VEGF Trap-Eye Vision-specific Quality of Life through 52 Weeks in Patients with Neovascular AMD in CLEAR-IT 2: A Phase 2 Clinical Trial" ARVO Annual Meeting Abstract (April 2009)				
	4	HALLER et al., "VEGF Trap-Eye In CRVO: Primary Endpoint Results of the Phase 3 COPERNICUS Study" ARVO Annual Meeting Abstract (April 2011)				
	5	HEIER et al., "CLEAR-IT 2: Phase 2, Randomized Controlled Dose and Interval-Ranging Study of Intravitreal VEFG Trap Eye in Patients with Neovascular Age-Related Macular Degeneration: Predictive Factors for Visual Acuity" ARVO Annual Meeting Abstract (April 2009)				
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	<ul> <li>Information from ClinicalTrials.gov archive History of Changes for Study: NCT00320775 "Safety and Tolerability of Intravitreal Administration of VEGF Trap in Patients With Neovascular Age-Related Macular Degeneration" 70 pages, Latest version submitted June 8, 2011 on ClinicalTrials.gov (NCT00320775_2006-2011)</li> </ul>					
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Attorney Docket Number	REGN-008CIPCON5

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Art Unit	1647
Examiner Name	Jon McClelland Lockard
Attorney Docket Number	REGN-008CIPCON5

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Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	42	Regeneron SEC Form 8-K Exhibit: "Press Release of Regeneron Pharmaceuticals, Inc. dated May 1, 2006" (May 2, 2006)	
	43	Regeneron SEC Form 8-K Exhibit: "Press Release of Regeneron Pharmaceuticals, Inc. dated May 3, 2006" (May 5, 2006)	
	44	Regeneron SEC Form 8-K Exhibit: "Slides presented at the Company's 2006 Annual Meeting of Shareholders held on June 9, 2006" (June 9, 2006)	
	45	Regeneron SEC Form 8-K Exhibit: "Press Release dated May 2, 2007" (May 3, 2007)	
	46	Regeneron SEC Form 8-K Exhibit: "Overheads for presentation at Regeneron's Annual Meeting of Shareholders to be held on June 8, 2007" (June 8, 2007)	
	47	Regeneron SEC Form 8-K Exhibit: "Press Release dated October 1, 2007" (October 1, 2007)	
	48	Regeneron SEC Form 8-K Exhibit: "Press Release dated November 6, 2007" (November 6, 2007)	
	49	Regeneron SEC Form 8-K Exhibit: "Press Release dated May 1, 2008" (May 2, 2008)	
	50	Regeneron SEC Form 8-K Exhibit: "Press Release dated November 4, 2008" (November 4, 2008)	
	51	Regeneron SEC Form 8-K Exhibit: "99(a) Slides that Regeneron Pharmaceuticals, Inc. intends to use in conjunction with meetings with investors at the J.P. Morgan 27th Annual Healthcare Conference in San Francisco on January 12-15, 2009." (January 9, 2009)	
	52	Regeneron SEC Form 8-K Exhibit: "Press Release dated April 30, 2009" (May 1, 2009)	
	53	Regeneron SEC Form 8-K Exhibit: "Press Release dated November 3, 2009." (November 4, 2009)	
	54	Regeneron SEC Form 8-K Exhibit: "Press Release Reporting Positive Results for VEGF Trap-Eye in Phase 3 Study in Central Retinal Vein Occlusion (CRVO) and in Phase 2 Study in Diabetic Macular Edema (DME) dated December 20, 2010." (December 20, 2010)	
	55	Regeneron SEC Form 8-K Exhibit: "Press Release dated February 17, 2011" (February 18, 2011)	
	56	Regeneron SEC Form 8-K Exhibit: "Press Release Reporting Positive Results for VEGF Trap-Eye in Second Phase 3 Study in Central Retinal Vein Occlusion, dated April 27, 2011" (April 27, 2011)	
	57	Regeneron SEC Form 8-K Exhibit: "Press Release dated May 3, 2011." (May 3, 2011)	
	58	Regeneron SEC Form 8-K Exhibit: "Press Release, dated June 17, 2011, Announcing that EYLEA™ (aflibercept ophthalmic solution) Received Unanimous Recommendation for Approval for Treatment of Wet AMD from FDA Advisory Committee." (June 21, 2011)	
	59	Regeneron SEC Form 8-K Exhibit: "Presentation entitled VEGF Trap-Eye in CRVO: 1- year Results of the Phase 3 COPERNICUS Study" (August 22, 2011)	
	60	Regeneron SEC Form 8-K Exhibit: "Press Release Announcing FDA Approval of EYLEA™ (aflibercept) Injection for the Treatment of Wet Age-Related Macular Degeneration, dated November 18, 2011" (November 21, 2011)	

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	61	Regeneron Pharmaceuticals Inc., "CLEAR-IT-2: Interim Results Of The Phase II, Randomized, Controlled Dose-and Interval-ranging Study Of Repeated Intravitreal VEGF Trap Administration In Patients With Neovascular Age-related Macular Degeneration (AMD)" poster presented at the 2007 Association for Research in Vision and Ophthalmology meeting in Ft. Lauderdale, Florida (May 2007)	
	62	Regeneron Pharmaceuticals Inc., "An Exploratory Study of the Safety, Tolerability and Biological Effect of a Single Intravitreal Administration of VEGF Trap in Patients with Diabetic Macular Edema" poster presented at the 2007 Association for Research in Vision and Ophthalmology meeting in Ft. Lauderdale, Florida (May 2007)	
	63	Regeneron Pharmaceuticals Inc., "Optical Coherence Tomography Outcomes of a Phase 1, Dose-Escalation, Safety, Tolerability, and Bioactivity Study of Intravitreal VEGF Trap in Patients with Neovascular Age-Related Macular Degeneration: The CLEAR-IT 1 Study" poster presented at the 2007 Association for Research in Vision and Ophthalmology meeting in Ft. Lauderdale, Florida (May 2007)	
	64	Regeneron Pharmaceuticals Inc., "VIEW 1 Vascular Endothelial Growth Factor (VEGF) Trap-Eye 1-Year Results: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD) " presented at Bascom Palmer Eye Institute's Angiogenesis, Exudation and Degeneration 2011 meeting in Miami, Florida (February 12, 2011)	
	65	Regeneron Pharmaceuticals Inc., "VIEW 2 Vascular Endothelial Growth Factor (VEGF) Trap-Eye 1-Year Results: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD) " presented at Bascom Palmer Eye Institute's Angiogenesis, Exudation and Degeneration 2011 meeting in Miami, Florida (February 12, 2011)	
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- (71) Applicant (for all designated States except US): REGEN-ERON PHARMACEUTICALS, INC. [US/US]; 777 Old Saw Mill River Road, Tarrytown, NY 10591 (US).

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(54) Title: VEGF TRAPS AND THERAPEUTIC USES THEREOF

(57) Abstract: Nucleic acid molecules and multimeric proteins capable of binding vascular endothelial growth factor (VEGF). VEGF traps are disclosed which are therapeutically useful for treating VEGF-associated conditions and diseases, and are specifically designed for local administration to specific organs, tissues, and/or cells.

# VEGF TRAPS AND THERAPEUTIC USES THEREOF

# **BACKGROUND OF THE INVENTION**

# Field of the Invention

[0001] The invention encompasses fusion polypeptides capable of binding vascular endothelial cell growth factor (VEGF), VEGF family members, and splice variants with specifically desirable characteristics, as well as therapeutic methods of use.

# **BRIEF SUMMARY OF THE INVENTION**

[0002] In a first aspect, the invention features an isolated nucleic acid molecule encoding a fusion polypeptide comprising receptor components  $(R1R2)_X$  and/or  $(R1R3)_Y$ , wherein R1 is vascular endothelial cell growth factor (VEGF) receptor component Ig domain 2 of Flt-1 (Flt1D2), R2 is VEGF receptor component Ig domain 3 of Flk-1 (Flk1D3), and R3 is VEGF receptor component Ig domain 3 of Flk-1 (Flt1D3), and R3 is VEGF receptor component Ig domain 3 of Flt-4 (Flt1D3 or R3), and wherein  $X \ge 1$  and  $Y \ge 1$ .

[0003] In a related second aspect, the invention features a monomeric VEGF trap or fusion polypeptide comprising VEGF receptor components  $(R1R2)_X$  and/or  $(R1R3)_Y$  wherein  $X \ge 1$ ,  $Y \ge 1$ , and R1, R2, and R3 are as defined above. The VEGF receptor components R1, R2, and R3, may be connected directly to each other or connected via one or more spacer sequences. In one specific embodiment, the monomeric VEGF trap is  $(R1R2)_X$ , were X=2. In a more specific embodiment, the monomeric VEGF trap is SEQ ID NO:24, or a functionally equivalent amino acid variant thereof. The invention encompasses a monomeric VEGF trap consisting essentially of VEGF receptor components (R1R2)<sub>x</sub> and/or (R1R3)<sub>y</sub>, and functionally equivalent amino acid variants thereof. [0004] In a third aspect, the invention features an isolated nucleic acid molecule encoding a fusion polypeptide comprising VEGF receptor components (R1R2)<sub>x</sub> and/or (R1R3)<sub>y</sub>, and a fusion partner (FP) component selected from the group consisting of a multimerizing component (MC), a serum protein, or a molecule capable of binding a serum protein. In a preferred embodiment, FP is a multimerizing component (MC) capable of interacting with a multimerizing component on another fusion polypeptide to form a multimeric structure, e.g., a dimer or trimer. Most preferably, the MC is selected from the group consisting of (i) a multimerizing component comprising a cleavable region (C-region), (ii) a truncated multimerizing component, (iii) an amino acid sequence between 1 to about 200 amino acids in length having at least one cysteine residue, (iv) a leucine zipper, (v) a helix loop motif, (vi) a coil-coil motif, and (vii) an immunoglobulin domain. Further encompassed are fusion polypeptides consisting essentially of (R1R2)<sub>x</sub> and/or (R1R3)<sub>y</sub>, and FP. In a preferred embodiment, the fusion polypeptide consists essentially of (R1R2)<sub>x</sub> and MC.

[0005] In a fourth aspect, the invention features a fusion polypeptide comprising VEGF receptor components  $(R1R2)_X$  and/or  $(R1R3)_Y$ , and FP, as described above. The receptor components may be arranged in different orders, for example,  $(R1R2)_X$ -FP;  $(R1R2)_X$ -FP- $(R1R2)_X$ ; FP- $(R2R1)_X$ , etc. The components of the fusion polypeptide may be connected directly to each other, or connected via a spacer sequence.

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[0006] In a fifth aspect, the invention features a VEGF trap, comprising a multimer of two or more fusion polypeptides consisting of VEGF receptor components  $(R1R2)_X$  and/or  $(R1R3)_Y$ , and FP, wherein the FP component is a multimerizing component (MC) comprising a C-region. The C-region may be naturally occurring or artificial, and may occur at any point within the multimerizing component, and functions to allow cleavage of a parent MC to a truncated MC. A VEGF trap composed of two or more fusion polypeptides having at least one truncated MC is termed a "truncated mini-trap."

[0007] The C-region may be created in MC by insertion, deletion, or mutation, such that an enzymatically or chemically cleavable site is created. The C-region may be created in any MC and at any position within the MC; preferably, the C-region is created in a full length Fc domain, or a fragment thereof, or a  $C_{\rm H}3$  domain. The C-region may be a site cleavable by an enzyme, such as, thrombin, ficin, pepsin, matrilysin, or prolidase or cleavable chemically by, for example, formic acid or CuCl<sub>2</sub>.

[0008] In a sixth related aspect, the invention features a truncated VEGF mini-trap which is a multimeric protein comprising two or more fusion polypeptides consisting of  $(R1R2)_X$  and/or  $(R1R3)_Y$  and a multimerizing component which is a truncated by cleavage from a parent MC comprising a C-region (tMC).

[0009] In a seventh aspect, the invention features a fusion polypeptide consisting of VEGF receptor components  $(R1R2)_X$  and/or  $(R1R3)_Y$  and a MC, wherein the MC is an amino acid sequence between 1 to about 200 amino acids in length comprising at least one cysteine residue, wherein the at least one cysteine residue is capable of forming a disulfide bond with a cysteine residue present in the MC of another fusion polypeptide (cMC). In a preferred embodiment, cMC is an amino acid sequence between 1-50 amino acids in length comprising at least one cysteine residue. In a more preferred embodiment, cMC is an amino acid sequence between 1-50 amino acids in length comprising at least one cysteine residue. In a more preferred embodiment, cMC is an amino acid sequence between 1-10 amino acid. In an even more preferred embodiment, cMC is an amino acid sequence between 1-10 amino acids in length comprising 1-2 cysteine residues. One exemplification of this embodiment of the invention is shown in SEQ ID NO:27 having a signal sequence (1-26) followed by R1 (27-129) and R2 (130-231) components, followed by a nine amino acid sequence ending in a cysteine residue. In another embodiment, shown in SEQ ID NO:28, a signal sequence (1-26) is followed by R1 (27-129) and R2 (130-231) components, followed by a six amino acid sequence ending in a cysteine residue.

**[0010]** In an eighth aspect, the invention features a VEGF mini-trap, comprising a multimer of two or more fusion polypeptides consisting of  $(R1R2)_X$  and/or  $(R1R3)_Y$  and a cMC. In a more specific embodiment, the mini-trap is a dimer. One exemplification of this embodiment of the mini-trap of the invention is a dimer of the fusion polypeptide shown in SEQ ID NO:2, wherein each fusion polypeptide (R1R2-cMC) has a molecular weight of 23.0 kD and a pI of 9.22.

[0011] In another embodiment, cMC is 4 amino acids in length consisting of two cysteine residues, for example, XCXC (SEQ ID NO:3). In one exemplification of this embodiment of the invention, the mini-trap consists of the VEGF receptor components of the invention, and a cMC consisting of ACGC (SEQ ID NO:4). One exemplification of this embodiment of the mini-trap of the invention is

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a dimer of the fusion polypeptide shown in SEQ ID NO:5, wherein each monomer has a molecular weight of 23.2 kD and a pI of 9.22. Another exemplification of this embodiment of the invention is shown in SEQ ID NO:26 having a signal sequence (1-26) followed by R1 (27-129) and R2 (130-231) components, followed by a nine amino acid sequence ending in CPPC.

[0012] In all embodiments of the VEGF trap of the invention (including truncated VEGF mini-trap, VEGF mini-traps, and monomeric VEGF mini-traps), a signal sequence (S) may be included at the beginning (or N-terminus) of the fusion polypeptide of the invention. The signal sequence may be native to the cell, recombinant, or synthetic. When a signal sequence is attached to the N-terminus of a first receptor component, thus a fusion polypeptide may be designated as, for example, S- $(R1R2)_X$ .

[0013] The components of the fusion polypeptide may be connected directly to each other or be connected via spacers. In specific embodiments, one or more receptor and/or fusion partner components of the fusion polypeptide are connected directly to each other without spacers. In other embodiments, one or more receptor and/or fusion partner components are connected with spacers. [0014] The invention encompasses vectors comprising the nucleic acid molecules of the invention, including expression vectors comprising the nucleic acid molecule operatively linked to an expression control sequence. The invention further encompasses host-vector systems for the production of a fusion polypeptide which comprise the expression vector, in a suitable host cell; host-vector systems wherein the suitable host cell is a bacterial, yeast, insect, mammalian cell; an *E. coli* cell, or a COS or CHO cell. Additional encompassed are VEGF traps of the invention modified by acetylation or pegylation. Methods for acetylating or pegylating a protein are well known in the art. [0015] In a related ninth aspect, the invention features a method of producing a VEGF trap of the invention, comprising culturing a host cell transfected with a vector comprising a nucleic acid sequence of the invention, under conditions suitable for expression of the protein from the host cell, and recovering the fusion polypeptides so produced.

[0016] The VEGF traps of the invention are therapeutically useful for treating any disease or condition which is improved, ameliorated, or inhibited by removal, inhibition, or reduction of VEGF. A non-exhaustive list of specific conditions improved by inhibition or reduction of VEGF include, for example, undesirable plasma leakage or vascular permeability, undesirable blood vessel growth, e.g., such as in a tumor, edema associated with inflammatory disorders such as psoriasis or arthritis, including rheumatoid arthritis; asthma; generalized edema associated with burns; ascites and pleural effusion associated with tumors, inflammation or trauma; chronic airway inflammation; asthma; capillary leak syndrome; sepsis; kidney disease associated with increased leakage of protein; pancreatic ductal adenocarcinoma (PDAC) and eye disorders such as age related macular degeneration and diabetic retinopathy. The VEGF mini-trap is particularly useful in treatment of eye disorders, and as an adjuvant to eye surgeries, including glaucoma surgery; and the treatment of intra-ocular tumors, such as for example, uveal melanoma, retinoblastoma, via intravitreal delivery. [0017] Accordingly, in a tenth aspect, the invention features a therapeutic method for the treatment of a VEGF-related disease or condition, comprising administering a VEGF trap of the invention to a subject suffering from a VEGF-related disease or condition. Although any mammal

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can be treated by the therapeutic methods of the invention, the subject is preferably a human patient suffering from or at risk of suffering from a condition or disease which can be improved, ameliorated, inhibited or treated with a VEGF trap.

[0018] In a eleventh aspect, the invention further features diagnostic and prognostic methods, as well as kits for detecting, quantitating, and/or monitoring VEGF with the mini-traps of the invention. [0019] In a twelfth aspect, the invention features pharmaceutical compositions comprising a VEGF trap of the invention with a pharmaceutically acceptable carrier. Such pharmaceutical compositions may comprise a dimeric fusion polypeptide trap, or nucleic acids encoding the fusion polypeptide. The mini-traps of the invention find specific uses in conditions in which a VEGF trap with reduced serum half life (e.g., faster clearance), and/or increased tissue penetration due to smaller size is desirable. Specific applications for the VEGF mini-trap include, for example, diseases where local administration to a specific tissue or cell is desirable. Examples of such a condition or disease are ocular diseases of the eye.

[0020] Other objects and advantages will become apparent from a review of the ensuing detailed description.

#### **DETAILED DESCRIPTION OF THE INVENTION**

[0021] Before the present methods are described, it is to be understood that this invention is not limited to particular methods, and experimental conditions described, as such methods and conditions may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only the appended claims.

[0022] As used in this specification and the appended claims, the singular forms "a", "an", and "the" include plural references unless the context clearly dictates otherwise. Thus for example, a reference to "a method" includes one or more methods, and/or steps of the type described herein and/or which will become apparent to those persons skilled in the art upon reading this disclosure and so forth. [0023] Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described. All publications mentioned herein are incorporated herein by reference to describe the methods and/or materials in connection with which the publications are cited.

# **General Description**

[0024] The invention encompasses a VEGF trap capable of binding and inhibiting VEGF activity which is a monomer or multimer of one or more fusion polypeptides. The molecules of the invention bind and inhibit the biological action of VEGF and/or the physiological reaction or response. For a description of VEGF-receptor-based antagonist VEGF traps Flt1D2.Flk1D3.Fc $\Delta$ C1(a) (SEQ ID NOs:7-8) and VEGFR1R2-Fc $\Delta$ C1(a) (SEQ ID NOs:9-10), see PCT WO/0075319, the contents of which is incorporated in its entirety herein by reference.

[0025] The mini-trap of the invention is smaller than the full sized trap, e.g., about 50 - 60 kD

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versus 120 kD of the parent trap, and include monomeric traps consisting essentially of VEGF receptor domains  $(R1R2)_{X}$ ,  $(R1R3)_{Y}$ , or combinations thereof, traps generated by cleavage of a portion of a parent multimerized trap having a fusion partner component which is a multimerizing component (MC) containing a cleavage region (C-region); or by attaching a cysteine residue or amino acid sequence containing one or more cysteine residues to or between receptor component domains. In specific embodiments, the mini-trap of the invention is less than about 60 kD as measured by SDS-PAGE analysis; more preferably, about 50 kD; even more preferably about 20-30 kD; or is about 25 kD and capable of binding VEGF with an affinity comparable to a full-sized parent trap described in PCT/US00/14142.

# Nucleic Acid Constructs and Expression

**[0026]** The present invention provides for the construction of nucleic acid molecules encoding fusion polypeptides capable of binding VEGF alone or multimerized VEGF traps. The nucleic acid molecules of the invention may encode wild-type R1, R2, and/or R3 receptor components, or functionally equivalent variants thereof. Amino acid sequence variants of the R1, R2 and/or R3 receptor components of the traps of the invention may also be prepared by creating mutations in the encoding nucleic acid molecules. Such variants include, for example, deletions from, or insertions or substitutions of, amino acid residues within the amino acid sequence of R1, R2 and/or R3. Any combination of deletion, insertion, and substitution may be made to arrive at a final construct, provided that the final construct possesses the ability to bind and inhibit VEGF.

**[0027]** These nucleic acid molecules are inserted into a vector that is able to express the fusion polypeptides when introduced into an appropriate host cell. Appropriate host cells include, but are not limited to, bacterial, yeast, insect, and mammalian cells. Any of the methods known to one skilled in the art for the insertion of DNA fragments into a vector may be used to construct expression vectors encoding the fusion polypeptides of the invention under control of transcriptional/translational control signals.

[0028] Expression of the nucleic acid molecules of the invention may be regulated by a second nucleic acid sequence so that the molecule is expressed in a host transformed with the recombinant DNA molecule. For example, expression may be controlled by any promoter/enhancer element known in the art. Promoters which may be used to control expression of the chimeric polypeptide molecules include, but are not limited to, a long terminal repeat (Squinto et al. (1991) Cell 65:1-20); SV40 early promoter region, CMV, M-MuLV, thymidine kinase promoter, the regulatory sequences of the metallothionine gene; prokaryotic expression vectors such as the b-lactamase promoter, or the tac promoter (see also Scientific American (1980) 242:74-94); promoter elements from yeast or other fungi such as Gal 4 promoter, ADH, PGK, alkaline phosphatase, and tissue-specific transcriptional control regions derived from genes such as elastase I.

[0029] Expression vectors capable of being replicated in a bacterial or eukaryotic host comprising the nucleic acid molecules of the invention are used to transfect the host and thereby direct expression of such nucleic acids to produce the fusion polypeptides of the invention, which form traps capable of binding to VEGF. Transfected cells may transiently or, preferably, constitutively

and permanently express the VEGF traps of the invention.

[0030] The traps of the invention may be purified by any technique which allows for the subsequent formation of a stable, biologically active trap. For example, and not by way of limitation, the factors may be recovered from cells either as soluble proteins or as inclusion bodies, from which they may be extracted quantitatively by 8M guanidinium hydrochloride and dialysis (see, for example, US Patent No. 5,663,304). In order to further purify the factors, conventional ion exchange chromatography, hydrophobic interaction chromatography, reverse phase chromatography or gel filtration may be used.

# **VEGF Receptor Components**

[0031] The VEGF receptor components of the VEGF mini trap consist of the Ig domain 2 of Flt-1 (Flt1D2) (R1), the Ig domain 3 of Flk-1 (Flk1D3) (R2) (together, R1R2), and/or R1 and Ig domain 3 of Flt-4 (Flt1D3) (R3) (together, R1R3). The term "Ig domain" of Flt-1, Flt-4, or Flk-1 is intended to encompass not only the complete wild-type domain, but also insertional, deletional, and/or substitutional variants thereof which substantially retain the functional characteristics of the intact domain. It will be readily apparent to one of skill in the art that numerous variants of the above Ig domains can be obtained which will retains substantially the same functional characteristics as the wild-type domain.

[0032] The term "functional equivalents" when used in reference to R1, R2, or R3, is intended to encompass an R1, R2, or R3 domain with at least one alteration, e.g., a deletion, addition, and/or substitution, which retains substantially the same functional characteristics as does the wild type R1, R2, or R3 domain, that is, a substantially equivalent binding to VEGF. It will be appreciated that various amino acid substitutions can be made in R1, R2, or R3 without departing from the spirit of the invention with respect to the ability of these receptor components to bind and inactivate VEGF. The functional characteristics of the traps of the invention may be determined by any suitable screening assay known to the art for measuring the desired characteristic. Examples of such assays are described in the experimental section below which allow determination of binding characteristics of the traps for VEGF (Kd), as well as their half-life of dissociation of the trap-ligand complex ( $T_{1/2}$ ). Other assays, for example, a change in the ability to specifically bind to VEGF can be measured by a competition-type VEGF binding assay. Modifications of protein properties such as thermal stability, hydrophobicity, susceptibility to proteolytic degradation, or tendency to aggregate may be measured by methods known to those of skill in the art.

[0033] The components of the fusion polypeptide may be connected directly to each other or be connected via spacers. Generally, the term "spacer" (or linker) means one or more molecules, e.g., nucleic acids or amino acids, or non-peptide moieties, such as polyethylene glycol, which may be inserted between one or more component domains. For example, spacer sequences may be used to provide a desirable site of interest between components for ease of manipulation. A spacer may also be provided to enhance expression of the fusion polypeptide from a host cell, to decrease steric hindrance such that the component may assume its optimal tertiary structure and/or interact appropriately with its target molecule. For spacers and methods of identifying desirable spacers, see,

for example, George et al. (2003) Protein Engineering 15:871-879, herein specifically incorporated by reference. A spacer sequence may include one or more amino acids naturally connected to a receptor component, or may be an added sequence used to enhance expression of the fusion polypeptides, provide specifically desired sites of interest, allow component domains to form optimal tertiary structures and/or to enhance the interaction of a component with its target molecule. In one embodiment, the spacer comprises one or more peptide sequences between one or more components which is (are) between 1-100 amino acids, preferably 1-25.

[0034] In the most specific embodiments, R1 is amino acids 27-126 of SEQ ID NO:8, or 1-126 of SEQ ID NO:8 (including the signal sequence 1-26); or amino acids 27-129 of SEQ ID NO:10, or 1-129 of SEQ ID NO:10 (including the signal sequence at 1-26). In the most specific embodiments, R2 is amino acids 127-228 of SEQ ID NO:8, or amino acids 130-231 of SEQ ID NO:10. In the most specific embodiments, R3 is amino acids 127-225 of SEQ ID NO: 13 (without a signal sequence). When, for example, R2 is placed at the N-terminus of the fusion polypeptide, a signal sequence may desirably precede the receptor component. The receptor component(s) attached to the multimerizing component may further comprise a spacer component, for example, the GPG sequence of amino acids 229-231 of SEQ ID NO:7.

# Fusion Partner and Multimerizing Components

**[0035]** The fusion partner is any component that enhances the functionality of the fusion polypeptide. Thus, for example, an fusion partner may enhance the biological activity of the fusion polypeptide, aid in its production and/or recovery, or enhance a pharmacological property or the pharmacokinetic profile of the fusion polypeptide by, for example, enhancing its serum half-life, tissue penetrability, lack of immungenicity, or stability. In preferred embodiments, the fusion partner is selected from the group consisting of a multimerizing component, a serum protein, or a molecule capable of binding a serum protein.

[0036] When the fusion partner is a serum protein or fragment thereof, it is selected from the group consisting of  $\alpha$ -1-microglobulin, AGP-1, orosomuciod,  $\alpha$ -1-acid glycoprotein, vitamin D binding protein (DBP), hemopexin, human serum albumin (hSA), transferrin, ferritin, afamin, haptoglobin,  $\alpha$ -fetoprotein thyroglobulin,  $\alpha$ -2-HS-glycoprotein,  $\beta$ -2-glycoprotein, hyaluronan-binding protein, syntaxin, C1R, C1q a chain, galectin3-Mac2 binding protein, fibrinogen, polymeric Ig receptor (PIGR),  $\alpha$ -2-macroglobulin, urea transport protein, haptoglobin, IGFBPs, macrophage scavenger receptors, fibronectin, giantin, Fc,  $\alpha$ -1-antichyromotrypsin,  $\alpha$ -1-antitrypsin, antithrombin III, apolipoprotein A-I, apolipoprotein B,  $\beta$ -2-microglobulin, ceruloplasmin, complement component C3 or C4, CI esterase inhibitor, C-reactive protein, cystatin C, and protein C. In a more specific embodiment, fusion partner is selected from the group consisting of  $\alpha$ -1-microglobulin, AGP-1, orosomuciod,  $\alpha$ -1-acid glycoprotein, vitamin D binding protein (DBP), hemopexin, human serum albumin (hSA), afamin, and haptoglobin. The inclusion of a fusion partner component may extend the serum half-life of the fusion polypeptide of the invention when desired. See, for example, US Patent Nos. 6,423,512, 5,876,969, 6,593,295, and 6,548,653, herein specifically incorporated by

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reference in their entirety, for examples of serum albumin fusion polypeptides. hSA is widely distributed throughout the body, particularly in the intestinal and blood components, and has an important role in the maintenance of osmolarity and plasma volume. It is slowly cleared in the liver, and typically has an *in vivo* half-life of 14-20 days in humans (Waldmann et al. (1977) <u>Albumin</u>, Structure Function and Uses; Pergamon Press; pp. 255-275).

[0037] When a fusion partner is a molecule capable of binding a serum protein, the molecule may be a synthetic small molecule, a lipid or liposome, a nucleic acid, including a synthetic nucleic acid such as an aptomer, a peptide, or an oligosaccharide. The molecule may further be a protein, such as, for example,  $Fc\gamma R1$ ,  $Fc\gamma R2$ ,  $Fc\gamma R3$ , polymeric Ig receptor (PIGR), ScFv, and other antibody fragments specific for a serum protein.

[0038] When the fusion partner is a multimerizing component (MC), it is any natural or synthetic sequence capable of interacting with another MC to form a higher order structure, e.g., a dimer, a trimer, etc. Suitable MCs may include a leucine zipper, including leucine zipper domains derived from c-jun or c-fos; sequences derived from the constant regions of kappa or lambda light chains; synthetic sequences such as helix-loop-helix motifs (Müller et al. (1998) FEBS Lett. 432:45-49), coil-coil motifs, etc., or other generally accepted multimerizing domains known to the art. In some embodiments, the fusion component comprises an immunoglobulin-derived domain from, for example, human IgG, IgM or IgA. In specific embodiments, the immunoglobulin-derived domain may be selected from the group consisting of the Fc domain of IgG, the heavy chain of IgG, and the light chain of IgG. The Fc domain of IgG may be selected from the isotypes IgG1, IgG2, IgG3, and IgG4, as well as any allotype within each isotype group. In one example of the VEGF trap of the invention, the multimerizing component is an IgG4 Fc domain (SEQ ID NO:29).

# **Generation of Truncated VEGF Mini-Traps**

[0039] In one embodiment of the trap of the invention, a truncated VEGF mini-trap comprising two or more fusion polypeptides of the invention, is generated by subjecting a parent trap having C-region-containing MCs to conditions under which one or more of the C-region-containing MCs is (are) cleaved. The resulting truncated mini-trap may be a full and partial cleavage product of a parent trap.

**[0040]** The C-region-containing MC may be any MC capable of interacting with another MC to form a higher order structure, e.g., a dimer or a trimer. The C-region may be created within an MC at any desired location. In light of the guidance provided in the examples below, one of skill in the art would be able to select a desired site for creation of a C-region based on the desired properties of the resulting truncated traps, e.g., molecular weight, monomeric or dimeric, etc.

[0041] In a specific embodiment, the C-region is a thrombin cleavage site (LVPRGS) (SEQID NO:6) inserted into an  $Fc\Delta C1$  domain following the N-terminal CPPC sequence (SEQ ID NO:1). In this embodiment, a full-sized parent VEGF trap construct is expressed in a cell as an Fc-tagged protein, thus allowing capture and purification by, for example, a Protein A column. Following formation of a dimer and covalent bonding between one or both of the cysteine residues of the CPPC sequence

(SEQ ID NO:1), the dimer is exposed to thrombin under conditions which cleave one or both of the Fc $\Delta$ C1 domains such that truncated dimeric mini-traps are generated, having a molecular weight of approximately 50 kD – 90 kD, and has an affinity for VEGF comparable to that of the parent trap. The conditions of cleavage may be controlled by one of skill in the art to favor formation of the partial cleavage product or the fully cleaved product, the choice of cleavage conditions selected by desire for a particular product having specific properties such as molecular weight. [0042] In a specific embodiment, the C-region is a thrombin cleavage site (LVPRGS) (SEQID NO:6) inserted into an Fc $\Delta$ C1 domain N-terminal to the CPPC sequence (SEQ ID NO:1). Following formation of a dimer and covalent bonding between one or both of the cysteine residues of the CPPC sequence (SEQ ID NO:1), the dimer is exposed to thrombin under conditions in which one or both of the Fc $\Delta$ C1 domain occur and truncated monomeric mini-traps are generated. The monomeric truncated mini-trap thus generated comprises a receptor component, and a small fragment of the Fc, and is approximately 25 kD in size and exhibits a reduced affinity for VEGF relative to the truncated dimeric trap and the full length parent trap. A similar monomeric trap produced as a recombinant protein has been shown to have a K<sub>D</sub> of about 1 nM.

# **Generation of VEGF Mini-Traps**

[0043] In one embodiment, the invention features VEGF mini-traps having one or more receptor component domains  $(R1R2)_X$  and/or  $R1R3)_Y$ , wherein  $X \ge 1$ ,  $Y \ge 1$ , and R1, R2, and R3 are as defined above, and optionally, a fusion partner which is preferably a MC domain which is an amino acid sequence between 1 to about 200 amino acids in length comprising at least one cysteine residue, wherein the at least one cysteine residue is capable of forming a disulfide bond with a cysteine residue present in the MC of another fusion polypeptide (cMC). The cMC may occur at the N-terminus or C-terminus of a fusion polypeptide, or between two receptor component domains. In one specific embodiment, cysteine is added to the C-terminus of a VEGF receptor component, e.g.,  $R1R2_C$ , which allows the fusion polypeptide to form covalent dimers through formation of a covalent disulfide bond between the cysteine residue at the C-terminus of one fusion polypeptide and the cysteine residue at the C-terminus of another fusion polypeptide. In this exemplification, the mini-trap is a dimer of the fusion polypeptide shown in SEQ ID NO:2, wherein each fusion polypeptide (R1R2-cMC or R1R2<sub>C</sub>) has a molecular weight of about 23.0 kD.

[0044] In another embodiment, the cMC is a sequence of 4 amino acids (XXXX) (SEQ ID NO:11) wherein X is any amino acid and the sequence comprises at least one cysteine residue. In a specific embodiment, the cMC is added to the C-terminus of a receptor component domain. In a more specific embodiment, the 4 amino acid sequence is ACGC (SEQ ID NO:4) and the cMC forms two disulfide bonds with the cysteine residues present in a second fusion polypeptide. As shown below (Table 2), both the exemplified mini-traps exhibit an affinity for VEGF comparable to the parent trap.

# **Therapetic Uses**

[0045] The VEGF mini-traps of the invention are therapeutically useful for treating any disease or

condition which is improved, ameliorated, inhibited or prevented by removal, inhibition, or reduction of VEGF. A non-exhaustive list of specific conditions improved by inhibition or reduction of VEGF include, clinical conditions that are characterized by excessive vascular endothelial cell proliferation, vascular permeability, edema or inflammation such as brain edema associated with injury, stroke or tumor; edema associated with inflammatory disorders such as psoriasis or arthritis, including rheumatoid arthritis; asthma; generalized edema associated with burns; ascites and pleural effusion associated with tumors, inflammation or trauma; chronic airway inflammation; capillary leak syndrome; sepsis; kidney disease associated with increased leakage of protein; and eye disorders such as age related macular degeneration and diabetic retinopathy.

[0046] The compositions of the invention are therapeutically useful for treating a wide variety of diseases associated with increased VEGF levels. For example, exaggerated Th2 inflammation and airway remodeling are characteristic in the pathogenesis of asthma (see, for example, Elias et al. (1999) J. Clin. Invest. 104:1001-6). Elevated VEGF levels have been detected in tissues and biologic samples from patients with asthma, which correlate directly with disease activity (Lee et al. (2001) J. Allergy Clin. Immunol. 107:1106-1108) and inversely with airway caliber and airway responsiveness. Further, VEGF has been postulated to contribute to asthmatic tissue edema.

[0047] Another disease associated with increased VEGF is pancreatic ductal adenocarcinoma (PDAC). This malignancy often exhibits enhanced foci of endothelial cell proliferation and frequently overexpresses VEGF (Ferrara (1999) J. Mol. Med. 77:527-543). PDAC is responsible for over 20% of deaths due to gastrointestinal malignancies, making it the fourth most common cause of cancer-related mortality in the U.S. and other industrialized countries. Experimental evidence supports an important role for VEGF in pancreatic cancer, thus a VEGF inhibitor has promise as a therapeutic to attenuate intrapancreatic tumor growth and regional and distal metastasis. [0048] A smaller, non-glycosylated mini-trap expressed in E. coli (Example 4), a glycosylated minitrap expressed in CHO cells (Example 5), or a receptor-based monomeric trap (Example 6) has optimized characteristics for local/intra-vitreal delivery, ie. a shorter serum half life for faster clearance and minimizing unwanted systemic exposure. In addition due to its smaller size, the minitrap has the ability to penetrate through the inner-limiting membrane (ILM) in the eye, and diffuse through the vitreous to the retina/retinal pigment epithelial (RPE) layer which will help to treat retinal disease. Additionally, the mini-trap can be used for local administration for the treatment of ocular disease such as choroidal neovascularization, diabetic macular edema, proliferative diabetic retinopathy, corneal neovascularization/transplant rejection. Still further, the mini-trap can be used in any situation where transient (short-term) blocking of VEGF is required, e.g., to avoid chronic exposure to VEGF blockade, such as, for example, in the treatment of psoriasis.

**[0049]** A serious problem leading to failure following glaucoma surgery is early inflammation and angiogenesis, as well as too aggressive wound healing. Accordingly, the VEGF traps of the invention may be usefully employed is as an adjuvant to glaucoma surgery to prevent early hem- and lymphangiogenesis and macrophage recruitement to the filterig bleb after glaucoma surgery, and improve surgical outcome.

# **Combination Therapies**

[0050] In numerous embodiments, a VEGF trap may be administered in combination with one or more additional compounds or therapies, including a second VEGF trap molecule, a chemotherapeutic agent, surgery, catheter devices, and radiation. Combination therapy includes administration of a single pharmaceutical dosage formulation which contains a VEGF trap and one or more additional agents; as well as administration of a VEGF trap and one or more additional agent(s) in its own separate pharmaceutical dosage formulation. For example, a VEGF trap and a cytotoxic agent, a chemotherapeutic agent or a growth inhibitory agent can be administered to the patient together in a single dosage composition such as a combined formulation, or each agent can be administered in a separate dosage formulation. Where separate dosage formulations are used, the VEGF-specific fusion polypeptide of the invention and one or more additional agents can be administered concurrently, or at separately staggered times, i.e., sequentially.

[0051] The term "cytotoxic agent" as used herein refers to a substance that inhibits or prevents the function of cells and/or causes destruction of cells. The term is intended to include radioactive isotopes (e.g. I<sup>131</sup>, I<sup>125</sup>, Y<sup>90</sup> and Re<sup>186</sup>), chemotherapeutic agents, and toxins such as enzymatically active toxins of bacterial, fungal, plant or animal origin, or fragments thereof. [0052] A "chemotherapeutic agent" is a chemical compound useful in the treatment of cancer. Examples of chemotherapeutic agents include alkylating agents such as thiotepa and cyclosphosphamide (Cytoxan®); alkyl sulfonates such as busulfan, improsulfan and piposulfan; aziridines such as benzodopa, carboquone, meturedopa, and uredopa; ethylenimines and methylamelamines including altretamine, triethylenemelamine, trietylenephosphoramide, triethylenethiophosphaoramide and trimethylolomelamine; nitrogen mustards such as chlorambucil, chlornaphazine, cholophosphamide, estramustine, ifosfamide, mechlorethamine, mechlorethamine oxide hydrochloride, melphalan, novembichin, phenesterine, prednimustine, trofosfamide, uracil mustard; nitrosureas such as carmustine, chlorozotocin, fotemustine, lomustine, nimustine, ranimustine; antibiotics such as aclacinomysins, actinomycin, authramycin, azaserine, bleomycins, cactinomycin, calicheamicin, carabicin, carminomycin, carzinophilin, chromomycins, dactinomycin, daunorubicin, detorubicin, 6-diazo-5-oxo-L-norleucine, doxorubicin, epirubicin, esorubicin, idarubicin, marcellomycin, mitomycins, mycophenolic acid, nogalamycin, olivomycins, peplomycin, potfirómycin, puromycin, quelamycin, rodorubicin, streptonigrin, streptozocin, tubercidin, ubenimex, zinostatin, zorubicin; anti-metabolites such as methotrexate and 5-fluorouracil (5-FU); folic acid analogues such as denopterin, methotrexate, pteropterin, trimetrexate; purine analogs such as fludarabine, 6-mercaptopurine, thiamiprine, thioguanine; pyrimidine analogs such as ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, dideoxyuridine, doxifluridine, enocitabine, floxuridine; androgens such as calusterone, dromostanolone propionate, epitiostanol, mepitiostane, testolactone; anti-adrenals such as aminoglutethimide, mitotane, trilostane; folic acid replenisher such as frolinic acid; aceglatone; aldophosphamide glycoside; aminolevulinic acid; amsacrine; bestrabucil; bisantrene; edatraxate; defofamine; demecolcine; diaziquone; elfornithine; elliptinium acetate; etoglucid; gallium nitrate; hydroxyurea; lentinan; lonidamine; mitoguazone; mitoxantrone; mopidamol; nitracrine; pentostatin; phenamet; pirarubicin; podophyllinic acid; 2-ethylhydrazide; procarbazine; PSK®;

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razoxane; sizofiran; spirogermanium; tenuazonic acid; triaziquone; 2, 2',2"-trichlorotriethylamine; urethan; vindesine; dacarbazine; mannomustine; mitobronitol; mitolactol; pipobroman; gacytosine; arabinoside ("Ara-C"); cyclophosphamide; thiotepa; taxanes, e.g. paclitaxel (Taxol®, Bristol-Myers Squibb Oncology, Princeton, N.J.) and docetaxel (Taxoter®; Aventis Antony, France); chlorambucil; gemcitabine; 6-thioguanine; mercaptopurine; methotrexate; platinum analogs such as cisplatin and carboplatin; vinblastine; platinum; etoposide (VP-16); ifosfamide; mitomycin C; mitoxantrone; vincristine; vinorelbine; navelbine; novantrone; teniposide; daunomycin; aminopterin; xeloda; ibandronate; CPT-11; topoisomerase inhibitor RFS 2000; difluoromethylornithine (DMFO); retinoic acid; esperamicins; capecitabine; and pharmaceutically acceptable salts, acids or derivatives of any of the above. Also included in this definition are anti-hormonal agents that act to regulate or inhibit hormone action on tumors such as anti-estrogens including for example tamoxifen, raloxifene, aromatase inhibiting 4(5)-imidazoles, 4-hydroxytamoxifen, trioxifene, keoxifene, LY 117018, onapristone, and toremifene (Fareston); and anti-androgens such as flutamide, nilutamide, bicalutamide, leuprolide, and goserelin; and pharmaceutically acceptable salts, acids or derivatives of any of the above.

[0053] A "growth inhibitory agent" when used herein refers to a compound or composition which inhibits growth of a cell, especially a cancer cell either *in vitro* or *in vivo*. Examples of growth inhibitory agents include agents that block cell cycle progression (at a place other than S phase), such as agents that induce G1 arrest and M-phase arrest. Classical M-phase blockers include the vincas (vincristine and vinblastine), Taxol ®, and topo II inhibitors such as doxorubicin, epirubicin, daunorubicin, etoposide, and bleomycin. Those agents that arrest G1 also spill over into S-phase arrest, for example, DNA alkylating agents such as tamoxifen, prednisone, dacarbazine, mechlorethamine, cisplatin, methotrexate, 5-fluorouracil, and ara-C.

## **Methods of Administration**

[0054] The invention provides methods of treatment comprising administering to a subject an effective amount of a VEGF trap of the invention. In a preferred aspect, the trap is substantially purified (*e.g.*, substantially free from substances that limit its effect or produce undesired side-effects). The subject is preferably a mammal, and most preferably a human.

[0055] Various delivery systems are known and can be used to administer an agent of the invention, *e.g.*, encapsulation in liposomes, microparticles, microcapsules, recombinant cells capable of expressing the compound, receptor-mediated endocytosis (see, *e.g.*, Wu and Wu, 1987, J. Biol. Chem. 262:4429-4432), construction of a nucleic acid as part of a retroviral or other vector, etc. Methods of introduction can be enteral or parenteral and include but are not limited to intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, intraocular, and oral routes. The compounds may be administered by any convenient route, for example by infusion or bolus injection, by absorption through epithelial or mucocutaneous linings (*e.g.*, oral mucosa, rectal and intestinal mucosa, etc.) and may be administered together with other biologically active agents. Administration can be systemic or local. Administration can be acute or chronic (e.g. daily, weekly, monthly, etc.) or in combination with other agents. Pulmonary administration can also be employed,

*e.g.*, by use of an inhaler or nebulizer, and formulation with an aerosolizing agent. [0056] In another embodiment, the active agent can be delivered in a vesicle, in particular a liposome, in a controlled release system, or in a pump. In another embodiment where the active agent of the invention is a nucleic acid encoding a protein, the nucleic acid can be administered *in vivo* to promote expression of its encoded protein, by constructing it as part of an appropriate nucleic acid expression vector and administering it so that it becomes intracellular, *e.g.*, by use of a retroviral vector (see, for example, U.S. Patent No. 4,980,286), by direct injection, or by use of microparticle bombardment, or coating with lipids or cell-surface receptors or transfecting agents, or by administering it in linkage to a homeobox-like peptide which is known to enter the nucleus (see *e.g.*, Joliot et al., 1991, Proc. Natl. Acad. Sci. USA 88:1864-1868), etc. Alternatively, a nucleic acid can be introduced intracellularly and incorporated within host cell DNA for expression, by homologous recombination.

[0057] In a specific embodiment, it may be desirable to administer the pharmaceutical compositions of the invention locally to the area in need of treatment; this may be achieved, for example, and not by way of limitation, by local infusion during surgery, topical application, e.g., by injection, by means of a catheter, or by means of an implant, the implant being of a porous, non-porous, or gelatinous material, including membranes, such as sialastic membranes, fibers, or commercial skin substitutes. [0058] A composition useful in practicing the methods of the invention may be a liquid comprising an agent of the invention in solution, in suspension, or both. The term "solution/suspension" refers to a liquid composition where a first portion of the active agent is present in solution and a second portion of the active agent is present in particulate form, in suspension in a liquid matrix. A liquid composition also includes a gel. The liquid composition may be aqueous or in the form of an ointment. Further, the composition can take the form of a solid article that can be inserted in the eye, such as for example between the eye and eyelid or in the conjunctival sac, where the VEGF trap is released. Release from such an article is usually to the cornea, either via the lacrimal fluid, or directly to the cornea itself, with which the solid article is generally in direct contact. Solid articles suitable for implantation in the eye are generally composed primarily of bioerodible or nonbioerodible polymers. An aqueous solution and/or suspension can be in the form of eye drops. A desired dosage of the active agent can be measured by administration of a known number of drops into the eye. For example, for a drop volume of 25  $\mu$ l, administration of 1-6 drops will deliver 25-150  $\mu$ l of the composition.

**[0059]** An aqueous suspension or solution/suspension useful for practicing the methods of the invention may contain one or more polymers as suspending agents. Useful polymers include water-soluble polymers such as cellulosic polymers and water-insoluble polymers such as cross-linked carboxyl-containing polymers. An aqueous suspension or solution/suspension of the present invention is preferably viscous or muco-adhesive, or even more preferably, both viscous or mucoadhesive.

[0060] In another embodiment, the composition useful in practicing the methods of the invention is an *in situ* gellable aqueous composition. Such a composition comprises a gelling agent in a concentration effective to promote gelling upon contact with the eye or with lacrimal fluid. Suitable

gelling agents include but are not limited to thermosetting polymers. The term "*in situ* gellable" as used herein is includes not only liquids of low viscosity that form gels upon contact with the eye or with lacrimal fluid, but also includes more viscous liquids such as semi-fluid and thixotropic gels that exhibit substantially increased viscosity or gel stiffness upon administration to the eye.

# **Diagnostic and Screening Methods**

[0061] The VEGF traps of the invention may be used diagnostically and/or in screening methods. For example, the trap may be used to monitor levels of VEGF during a clinical study to evaluate treatment efficacy. In another embodiment, the methods and compositions of the present invention are used to screen individuals for entry into a clinical study to identify individuals having, for example, too high or too low a level of VEGF. The traps can be used in methods known in the art relating to the localization and activity of VEGF, *e.g.*, imaging, measuring levels thereof in appropriate physiological samples, in diagnostic methods, etc.

[0062] The traps of the invention may be used in *in vivo* and *in vitro* screening assay to quantify the amount of non-bound VEGF present, e.g., for example, in a screening method to identify test agents able to decrease the expression of VEGF. More genenerally, the traps of the invention may be used in any assay or process in which quantification and/or isolation of VEGF is desired.

# **Pharmaceutical Compositions**

[0063] The present invention also provides pharmaceutical compositions comprising a VEGF minitrap of the invention. Such compositions comprise a therapeutically effective amount of one or more mini-traps, and a pharmaceutically acceptable carrier. The term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly, in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the therapeutic is administered. Such pharmaceutical carriers can be sterile liquids, such as water and oils, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, sesame oil and the like. Suitable pharmaceutical excipients include starch, glucose, lactose, sucrose, gelatin, malt, rice, flour, chalk, silica gel, sodium stearate, glycerol monostearate, talc, sodium chloride, dried skim milk, glycerol, propylene, glycol, water, ethanol and the like. The composition, if desired, can also contain minor amounts of wetting or emulsifying agents, or pH buffering agents. These compositions can take the form of solutions, suspensions, emulsion, tablets, pills, capsules, powders, sustained-release formulations and the like. Examples of suitable pharmaceutical carriers are described in "Remington's Pharmaceutical Sciences" by E.W. Martin. [0064] The VEGF mini-trap of the invention can be formulated as neutral or salt forms. Pharmaceutically acceptable salts include those formed with free amino groups such as those derived from hydrochloric, phosphoric, acetic, oxalic, tartaric acids, etc., and those formed with free carboxyl groups such as those derived from sodium, potassium, ammonium, calcium, ferric hydroxides, isopropylamine, triethylamine, 2-ethylamino ethanol, histidine, procaine, etc.

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[0065] Further more, aqueous compositions useful for practicing the methods of the invention have ophthalmically compatible pH and osmolality. One or more ophthalmically acceptable pH adjusting agents and/or buffering agents can be included in a composition of the invention, including acids such as acetic, boric, citric, lactic, phosphoric and hydrochloric acids; bases such as sodium hydroxide, sodium phosphate, sodium borate, sodium citrate, sodium acetate, and sodium lactate; and buffers such as citrate/dextrose, sodium bicarbonate and ammonium chloride. Such acids, bases, and buffers are included in an amount required to maintain pH of the composition in an ophthalmically acceptable range. One or more ophthalmically acceptable salts can be included in the composition in an amount sufficient to bring osmolality of the composition into an ophthalmically acceptable range. Such salts include those having sodium, potassium or ammonium cations and chloride, citrate, ascorbate, borate, phosphate, bicarbonate, sulfate, thiosulfate or bisulfite anions.

[0066] The amount of the trap that will be effective for its intended therapeutic use can be determined by standard clinical techniques based on the present description. In addition, *in vitro* assays may optionally be employed to help identify optimal dosage ranges. Generally, suitable dosage ranges for intravenous administration are generally about 50-5000 micrograms of active compound per kilogram body weight. Suitable dosage ranges for intranasal administration are generally about 0.01 pg/kg body weight to 1 mg/kg body weight. Effective doses may be extrapolated from dose-response curves derived from *in vitro* or animal model test systems.

[0067] For systemic administration, a therapeutically effective dose can be estimated initially from *in vitro* assays. For example, a dose can be formulated in animal models to achieve a circulating concentration range that includes the  $IC_{50}$  as determined in cell culture. Such information can be used to more accurately determine useful doses in humans. Initial dosages can also be estimated from *in vivo* data, e.g., animal models, using techniques that are well known in the art. One having ordinary skill in the art could readily optimize administration to humans based on animal data.

[0068] Dosage amount and interval may be adjusted individually to provide plasma levels of the compounds that are sufficient to maintain therapeutic effect. In cases of local administration or selective uptake, the effective local concentration of the compounds may not be related to plasma concentration. One having skill in the art will be able to optimize therapeutically effective local dosages without undue experimentation.

[0069] The amount of compound administered will, of course, be dependent on the subject being treated, on the subject's weight, the severity of the affliction, the manner of administration, and the judgment of the prescribing physician. The therapy may be repeated intermittently while symptoms are detectable or even when they are not detectable. The therapy may be provided alone or in combination with other drugs.

# Cellular Transfection and Gene Therapy

[0070] The present invention encompasses the use of nucleic acids encoding the fusion polypeptides of the invention for transfection of cells *in vitro* and *in vivo*. These nucleic acids can be inserted into any of a number of well-known vectors for transfection of target cells and organisms. The nucleic acids are transfected into cells *ex vivo* and *in vivo*, through the interaction of the vector and the

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target cell. The compositions are administered (e.g., by injection into a muscle) to a subject in an amount sufficient to elicit a therapeutic response. An amount adequate to accomplish this is defined as "a therapeutically effective dose or amount."

[0071] In another aspect, the invention provides a method of reducing VEGF levels in a human or other animal comprising transfecting a cell with a nucleic acid encoding a fusion polypeptide of the invention, wherein the nucleic acid comprises an inducible promoter operably linked to the nucleic acid encoding the fusion polypeptide or mini-trap. For gene therapy procedures in the treatment or prevention of human disease, see for example, Van Brunt (1998) Biotechnology 6:1149-1154.

# Kits

[0072] The invention also provides an article of manufacturing comprising packaging material and a pharmaceutical agent contained within the packaging material, wherein the pharmaceutical agent comprises at least one VEGF trap composed of two or more fusion polypeptides of the invention, and wherein the packaging material comprises a label or package insert which indicates that the VEGF-specific fusion polypeptide can be used for treating a VEGF-mediated disease or condition.

# **Transgenic Animals**

[0073] The invention includes transgenic non-human animals expressing a trap of the invention. A transgenic animal can be produced by introducing nucleic acid into the male pronuclei of a fertilized oocyte, e.g., by microinjection, retroviral infection, and allowing the oocyte to develop in a pseudopregnant female foster animal. Any of the regulatory or other sequences useful in expression vectors can form part of the transgenic sequence. A tissue-specific regulatory sequence(s) can be operably linked to the transgene to direct expression of the transgene to particular cells. A transgenic non-human animal expressing a fusion polypeptide or mini-trap of the invention is useful in a variety of applications, including as a means of producing such a fusion polypeptide.. Further, the transgene may be placed under the control of an inducible promoter such that expression of the fusion polypeptide or mini-trap may be controlled by, for example, administration of a small molecule.

#### **Specific Embodiments**

[0074] In the experiments described below, smaller VEGF traps were generated and their ability to bind VEGF was investigated. Such mini-traps are preferably uses in specific applications. For example, certain conditions or diseases may be preferably treated with local administration of a VEGF trap to a specific organ, tissue, or cell, rather than by systemic administration. In one exemplification of the mini-traps of the invention, a smaller VEGF trap was generated by directed cleavage of a dimerized VEGF trap having a cleavage region (C-region) generated in a Fc domain (Example 2). The truncated trap exhibited comparable affinity for VEGF and half-life as the fullsized parent trap. Examples 3-5 describe construction of fusion polypeptides having a VEGF receptor component and a multimerizing component consisting of one or two cysteine residues. Affinity measurements showed that the non-glycosylated fusion polypeptides expressed in *E. coli* or

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the glycosylated polypeptides expressed in CHO cells had comparable binding affinity for VEGF as the full-sized parent trap. Example 6 further illustrates a monomeric VEGF trap consisting of  $(R1R2)_2$  which is capable of binding and inhibiting VEGF. Example 7 describes the construction of a VEGF mini-trap (SEQ ID NO:26) exhibiting high affinity binding for VEGF comparable to the full length trap (SEQ ID NO:10).

[0075] Other features of the invention will become apparent in the course of the following descriptions of exemplary embodiments which are given for illustration of the invention and are not intended to be limiting thereof.

# EXAMPLES

[0076] The following example is put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the methods and compositions of the invention, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.

# Example 1. Construction of Flt1D2.Flk1D3.Fc $\Delta$ C1(a)

[0077] The construction of a parent VEGF trap, Flt1D2.Flk1D3.Fc $\Delta$ C1(a) (SEQ ID NOs:7-8), VEGFR1R2.Fc $\Delta$ C1(a) (SEQ ID NOs:9-10), and Flt1D2.VEGFR3D3.Fc $\Delta$ C1(a) (SEQ ID NOs:12-13) is described in detail in PCT publication WO/0075319, herein specifically incorporated by reference in its entirety. Also described in WO/0075319 are methods of constructing and expressing nucleic acid constructs encoding VEGF traps, methods of detecting and measuring VEGF trap binding to VEGF, methods of determining the stoichiometry of VEGF binding by BIAcore analysis, and pharmacokinetic analyses.

# Example 2: Thrombin-cleaved dimeric VEGF mini-trap

[0078] The VEGFR1R2.Fc $\Delta$ C1(a) (SEQ ID NOs:9-10) construct was modified by insertion of a thrombin cleavage following the CPPC (SEQ ID NO:1) of the Fc domain. Purified VEGF trap (5 µg) was incubated with thrombin (Novagen) in 20 mM Tris-HCl, pH 8.4, 50 mM NaCl, 2.5 mM CaCl<sub>2</sub> for 16 hrs at 37° C. Controls included cleavage control protein (CCP) and parent VEGF trap protein incubated without thrombin. SDS-PAGE analysis (Tris-Glycine 4-20% gel; 5 µg protein per lane) verified correct cleavage (results not shown).

[0079] <u>Affinity determination</u>. The Kd of binding of each VEGF trap to hVEGF165 was determined as described in WO/0075319, for the parent VEGF trap, uncleaved VEGF trap containing a thrombin cleavage site ("uncleaved VEGF trap"), cleaved VEGF mini-trap and recombinant monomeric R1R2myc myc his. More specifically, the ability of the traps to block VEGF<sub>165</sub>-dependent receptor phosphorylation was determined using primary human endothelial cells (HUVECs). VEGF<sub>165</sub> was incubated in the presence of varying concentrations of the test traps, and the mixture was added to

APOTEX V. REGENERON IPR2022-01524 REGENERON EXHIBIT 2009 PAGE 206 HUVECs to stimulate tyrosine phosphorylation of VEGFR2. At sub-stoichiometric concentrations of VEGF trap, unbound VEGF induced receptor phosphorylation. However, at a 1:1 molar ratio of greater of a VEGF trap to ligand, complete blocking of receptor signaling was observed, establishing that a single molecule of a trap dimer is capable of blocking a single molecule of human VEGF<sub>165</sub>. Thus, the high binding affinity of the VEGF trap for VEGF results in formation of a complex that prevents VEGF from interaction with cell surface receptors. Equivalent results were obtained for identical phosphorylation inhibition experiments for the parent VEGF trap, uncleaved VEGF trap, and cleaved VEGF mini-trap The results are shown in Table 1.

Тгар	Kinetic Dissociation Rate (1/s)	$T_{1/2}(hr)$	
parent VEGF trap	$5.51 \ge 10^{-5} \pm 0.94\%$	3.5	
uncleaved VEGF trap	$4.93 \times 10^{-5} \pm 0.70\%$	3.9	
cleaved VEGF mini-trap	$5.46 \ge 10^{-5} \pm 0.62\%$	3.53	
R1R2-myc myc his monomer	$6.74 \text{ x } 10^{-3} \pm 0.38\%$	0.028	

TABLE	1
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# Example 3. Construction of Plasmids Encoding VEGF Mini-Traps

[0080] VEGF mini-traps were constructed from a precursor of the parent VEGF trap, VEGFR1R2.Fc $\Delta$ C1(a) (SEQ ID NOs:9-10), in which the three amino acids glycine-alanine-proline served as a linker between the Flk1 D3 and Fc $\Delta$ C1(a). This plasmid, pTE115 was used in the construction of the VEGF mini-traps because the linker DNA sequence included a Srf I restriction endonuclease recognition sequence that facilitated engineering the VEGF trap. In all other respects, the VEGF trap encoded by pTE115 is identical to that of the VEGF trap, VEGFR1R2.Fc $\Delta$ C1(a) (SEQ ID NOs:9-10) described in detail in PCT publication WO/0075319.

[0081] Two VEGF mini-traps were constructed with multimerization domains consisting of either a single cysteine residue (R1R2<sub>c</sub>) (SEQ ID NO:2) or the amino acids ACGC (SEQ ID NO:4) (R1R2<sub>ACGC</sub>) (SEQ ID NO:5) added to the C-terminus of receptor components Flt1D2.Flk1D3. Both of these constructs are capable of forming homo-dimeric molecules stabilized by one (R1R2<sub>c</sub>) or two (R1R2<sub>ACGC</sub>) intermolecular disulfides.

[0082] The plasmid pTE517 was made by removing the 690 bp fragment generated by digestion of pTE115 DNA with Srf I and Not I and inserting the synthetic DNA fragment formed by annealing the oligos R1R2NC (SEQ ID NO:14) and R1R2CC (SEQ ID NO:15). The resulting plasmid encodes R1R2<sub>c</sub>, which consists of the Flt1D2.Flk1D3 domains followed by a cysteine residue (SEQ ID NO:23). Similarly, the plasmid pTE518 was made by removing the 690 bp fragment generated by digestion of pTE115 DNA with Srf I and Not I, followed by ligation with the synthetic DNA fragment formed by annealing the oligos R1R2NACGC (SEQ ID NO:16) and R1R2CACGC (SEQ ID NO:17). The resulting plasmid encodes R1R2<sub>ACGC</sub>, which consists of the Flt1D2.Flk1D3 domains followed by the amino acids ACGC (SEQ ID NO:25).

[0083] Plasmids were also constructed to direct the expression of these mini-traps in *E. coli*. The primers R1R2N-Nco1 (SEQ ID NO:18) and R1R2CNot1 (SEQ ID NO:19) were used to amplify a DNA fragment from pTE115 that encodes amino acids G30 to K231, relative to the parental VEGF trap (SEQ ID NO:10). Amplification of this sequence resulted in fusion of an initiating methionine

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codon at the 5' end and fusion of the codon for cysteine, followed by a stop codon, at the 3' end (SEQ ID NO:2). This DNA fragment was then cloned into the Nco I and Not I sites of the *E. coli* expression plasmid pRG663 to yield pRG1102 such that expression of R1R2<sub>C</sub> was dependent on transcription from the phage T7  $\Phi$ 1.1 promoter. Induction of gene expression from pRG1102 results in accumulation of R1R2cys in the cytoplasm of the *E. coli* host strain RFJ238. Similarly, the primers R1R2N-Nco1 (SEQ ID NO:18) and R1R2ACGC-N ot1 (SEQ ID NO:20) were used to amplify a DNA fragment from pTE115 that encodes amino acids G30 to K231 (SEQ ID NO:10) resulting in fusion of an initiating methionine codon at the 5' end and fusion of codons for ACGC (SEQ ID NO:4), followed by a stop codon, at the 3' end (SEQ ID NO:5). This fragment was then cloned into the Nco I and Not I sites of the *E. coli* expression plasmid pRG663 to yield pRG1103 such that expression of R1R2<sub>ACGC</sub> was dependent on transcription from the phage T7  $\Phi$ 1.1 promoter. Induction of gene expression of R1R2<sub>ACGC</sub> was dependent on transcription from the phage T7  $\Phi$ 1.2 promoter. Induction of gene expression from both pRG1102 and pRG1103 resulted in accumulation of R1R2<sub>C</sub> or R1R2<sub>ACGC</sub>, respectively, in the cytoplasm of the *E. coli* host strain RFJ238.

# Example 4. Purification and characterization of VEGF mini-traps from E. coli

[0084] Both  $R1R2_{c}$  and  $R1R2_{ACGC}$  were expressed as cytoplasmic proteins in *E. coli* and were purified by the same method. Induction of the phage T7  $\Phi$ 1.1 promoter on either pRG1102 or pRG1103 in the E. coli K12 strain RFJ238 resulted in accumulation of the protein in the cytoplasm. After induction, cells were collected by centrifugation, resuspended in 50 mM Tris-HCl, pH 7.5, 20 mM EDTA, and lysed by passage through a Niro-Soavi cell homogenizer. Inclusion bodies were collected from lysed cells by centrifugation, washed once in distilled H<sub>2</sub>O, then solubilized in 8 M guanidinium-HCl, 50 mM Tris-HCl, pH 8.5, 100 mM sodium sulfite, 10 mM sodium tetrathionate and incubated at room temperature for 16 hours. Clarified supernatant was fractionated on an S300 column equilibrated with 6 M guanidinium-HCl, 50 mM Tris-HCl, pH 7.5. Fractions containing R1R2<sub>c</sub> were pooled and dialyzed against 6M Urea, 50 mM Tris-HCl, pH 7.5. Dialyzed protein was diluted to 2M Urea, 50 mM Tris-HCl, pH 8.5, 2 mM cysteine then stirred slowly for 7 days at 4°C. Refolded protein was dialyzed against 50 mM Tris-HCl, pH 7.5 then loaded onto an SP-sepharose column equilibrated with 50 mM Tris-HCl, pH 7.5 and eluted with a NaCl gradient from 0 to 1 M in 50 mM Tris-HCl, pH 7.5. Fractions containing  $R1R2_{C}$  were pooled, concentrated, and loaded onto a Superdex 200 column equilibrated with 50 mM Tris-HCl, pH 7.5, 150 mM NaCl. Fractions containing mini-trap dimer were collected and pooled. The molecular weight of purified mini-trap was estimated to be about 46 kD by SDS-PAGE.

[0085] BIAcore assays were conducted (as described in WO/0075319) to determine trap affinity for VEGF, and the results showed that the  $R1R2_c$  and  $R1R2_{ACGC}$  mini-traps had VEGF affinity comparable to the full length VEGF trap (Table 2).

	IABLE 2		
Trap	Kinetic Dissociation Rate (1/s)	T <sub>1/2</sub> (hr)	
VEGF trap	$4.23 \times 10^{-5}$	4.53	
R1R2 <sub>c</sub>	$3.39 \times 10^{-5}$	5.68	
R1R2 <sub>ACGC</sub>	3.41 x 10 <sup>-5</sup>	5.65	

TABLE 2

# Example 5. Expression of VEGF mini-traps in CHO K1

[0086] Expression of the VEGF mini-traps encoded by pTE517 and pTE518 is dependent on transcription from the human CMV-MIE promoter and results in secretion of the mini-traps into the culture medium when expressed in CHO cells. When expressed as secreted proteins in CHO K1, both mini-traps were found in the conditioned media and estimation of their molecular weight by SDS-PAGE suggested, as expected, that the proteins were glycosylated. Analysis by SDS-PAGE also indicated that the mini-traps were capable of forming homo-dimeric molecules stabilized by intermolecular disulfide(s) between the C-terminal cysteine(s). Specifically, the R1R2<sub>C</sub> mini-trap efficiently formed covalent dimers when expressed as a secreted protein in CHO cells.

# Example 6. Construction and expression of a single chain VEGF mini-trap

[0087] A VEGF mini-trap was also constructed that did not require a multimerization domain (SEQ ID NO:24). This mini-trap was constructed by direct fusion of one Flt1D2.Flk1D3 domain (R1R2) (amino acids 30-231 of SEQ ID NO:24) to a second Flt1D2.Flk1D3 domain (R1R2) (amino acids 234-435 of SEQ ID NO:24) with a Gly-Pro linker between the tandem receptor domains (amino acids 232-233 of SEQ ID NO:24).

[0088] To construct a gene encoding tandem Flt1D2.Flk1D3 domains, a DNA fragment was synthesized (Blue Heron Biotechnology) that encoded one Flt1D2.Flk1D3 domain that minimized DNA homology with the Flt1D2.Flk1D3 domain-encoding DNA found in pTE115. This synthetic DNA fragment was cloned as a Srf I-Not I fragment into the Srf I-Not I sites of pTE115 to yield pTE570, which expresses the R1R2-R1R2 VEGF mini-trap from the CMV-MIE promoter. When this plasmid is transfected into CHO K1 cells the R1R2-R1R2 VEGF mini-trap accumulates in the culture medium.

# Example 7. Construction and expression of a VEGF mini-trap

[0089] A VEGF mini-trap was constructed as described above, by direct fusion of one Flt1D2.Flk1D3 domain (R1R2) (amino acids 30-231 of SEQ ID NO:26) with a C-terminal nine amino acid sequence terminating in CPPC. When this plasmid is transfected into CHO K1 cells the VEGF mini-trap of SEQ ID NO:26 is secreted into the culture medium. Subsequent purification by non-reducing SDS-PAGE electrophoresis as well as native light-scattering analysis identified a trap molecule with molecular weight approximately 64 kDa. This molecular weight indicates that a covalent dimer was formed between two fusion polypeptides of SEQ ID NO:26. Similar experiments were conducted with plasmids encoding the fusion polypeptides of SEQ ID NOS:27 and 28, and similarly showed these molecules formed homodimeric traps. Affinity determinations for human VEGF-165 binding to EGF traps composed of dimers of SEQ ID NO:10 and SEQ ID NO:26 are shown in Table 3.

TABLE 3			
VEGF Trap	ka (1/Ms)	kd (1/s)	KD (M)
SEQ ID NO:10	$2.73 \times 10^{+7}$	1.79 x 10 <sup>-5</sup>	6.55 x 10 <sup>-13</sup>
SEQ ID NO:26	$2.00 \times 10^{+7}$	6.56 x 10 <sup>-6</sup>	$3.28 \times 10^{-13}$
SEQ ID NO:26	$2.61 \times 10^{+7}$	5.77 x 10 <sup>-6</sup>	$2.21 \times 10^{-13}$

1. An isolated nucleic acid molecule encoding a fusion polypeptide consisting of components  $(R1R2)_X$  or  $(R1R3)_Y$ , and a fusion partner (FP), wherein  $X \ge 1$ ,  $Y \ge 1$ , R1 is vascular endothelial cell growth factor (VEGF) receptor component Ig domain 2 of Flt-1 and R2 is Ig domain 3 of Flk-1, R3 is Ig domain 3 of Flt-4.

2. The isolated nucleic acid of claim 1, wherein the fusion partner (FP) is a multimerizing component (MC) capable of interacting with another MC to form a multimeric structure.

3. The isolated nucleic acid of claim 3, wherein the MC is selected from the group consisting of (i) a multimerizing component comprising a cleavable region (C-region), (ii) a truncated multimerizing component, (iii) an amino acid sequence between 1 to about 200 amino acids in length having at least one cysteine residue, (iv) a leucine zipper, (v) a helix loop motif, (vi) a coil-coil motif, and (vii) an immunoglobulin domain.

4. A fusion polypeptide encoded by the nucleic acid molecule of claims 1 to 3.

5. The fusion polypeptide of claim 4, having the amino acid sequence of SEQ ID NO:26, 27, or 28.

6. A replicable expression vector capable in a transformed host cell comprising the nucleic acid molecule of claims 1 to 3.

7. A method of producing a VEGF fusion polypeptide, comprising the steps of introducing into a suitable expression system the expression vector of claim 6, and effecting expression of the VEGF fusion polypeptide.

8. A vascular endothelial cell growth factor (VEGF) trap, comprising a multimer of two or more fusion polypeptides of claim 4.

9. The VEGF trap of claim 8, which is a dimer.

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10. A dimeric VEGF trap comprising two fusion polypeptides comprising the amino acid sequence of SEQ ID NO:26, 27, or 28.

11. A pharmaceutical composition comprising the fusion polypeptide of claims 8 or 9, and a pharmaceutically acceptable carrier.

12. A method of treating a disease or condition which is improved, ameliorated, or inhibited by removal or inhibition of vascular endothelial growth factor (VEGF), comprising administering the pharmaceutical composition of claim 11 to a subject in need thereof.

13. The method of claim 12, wherein the disease or condition is an ocular disease or condition.

14. The method of claim 13, wherein the ocular disease or condition is age related macular degeneration.

15. An isolated nucleic acid molecule encoding a fusion polypeptide consisting of receptor components  $(R1R2)_X$  or  $(R1R3)_Y$ , and a multimerizing component (MC) capable of interacting with another MC to form a multimeric structure, wherein  $X \ge 1$ ,  $Y \ge 1$ , R1 is vascular endothelial cell growth factor (VEGF) receptor component Ig domain 2 of Flt-1 and R2 is Ig domain 3 of Flk-1, R3 is Ig domain 3 of Flt-4, wherein the multimerizing component (MC) is selected from the group consisting of (i) a MC comprising a cleavable region (C-region), (ii) a truncated MC, (iii) an amino acid sequence between 1 to about 200 amino acids in length having at least one cysteine residue, (iv) a leucine zipper, (v) a helix loop motif, (vi) a coil-coil motif, and (vii) an immunoglobulin domain.

16. The isolated nucleic acid molecule of claim 15, wherein the receptor components are  $(R1R2)_X$  and the multimerizing component is an amino acid sequence between 1 to about 200 amino acids in length having at least one cysteine residue.

17. The isolated nucleic acid molecule of claim 16, wherein the receptor component is R1R2, X is 1, and the multimerizing component is an amino acid sequence 1-15 amino acids in length with 1-2 cysteine residues.

18. A fusion polypeptide capable of binding vascular endothelial growth factor (VEGF) encoded by the nucleic acid molecule of claims 15 to 17.

19. The fusion polypeptide of claim 18, comprising the amino acid sequence of SEQ ID NO:26, 27 or 28.

20. A fusion polypeptide consisting of receptor components  $(R1R2)_X$  or  $(R1R3)_Y$ , and a multimerizing component (MC) capable of interacting with another MC to form a multimeric structure, wherein  $X \ge 1$ ,  $Y \ge 1$ , R1 is vascular endothelial cell growth factor (VEGF) receptor component Ig domain 2 of Flt-1 and R2 is Ig domain 3 of Flk-1, R3 is Ig domain 3 of Flt-4, wherein the multimerizing component (MC) is selected from the group consisting of (i) a MC comprising a cleavable region (C-region), (ii) a truncated MC, (iii) an amino acid sequence between 1 to about 200 amino acids in length having at least one cysteine residue, (iv) a leucine zipper, (v) a helix loop motif, (vi) a coil-coil motif, and (vii) an immunoglobulin domain.

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21. The fusion polypeptide of claim 20, wherein the receptor components are  $(R1R2)_x$  and the multimerizing component is an amino acid sequence between 1 to about 200 amino acids in length having at least one cysteine residue.

22. The fusion polypeptide of claim 21, wherein the receptor component is R1R2, X is 1, and the multimerizing component is an amino acid sequence 1-15 amino acids in length with 1-2 cysteine residues.

23. A dimeric VEGF trap composed of two of the fusion polypeptides of claims 20 to 22.

24. An article of manufacturing comprising:

- (a) packaging material; and
- (b) a pharmaceutical agent contained within said packaging material;

wherein the pharmaceutical agent comprises at least one VEGF trap consisting of receptor components  $(R1R2)_X$  or  $(R1R3)_Y$ , and a multimerizing component (MC) capable of interacting with another MC to form a multimeric structure, wherein  $X \ge 1$ ,  $Y \ge 1$ , and wherein the packaging material comprises a label or package insert which indicates that said VEGF-specific fusion polypeptide can be used for treating a VEGF-mediated disease or condition.

SEQUENCE LISTING

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Ser	Arg	Thr	Pro	Glu 245	Val	Thr	Cys	Val	Val 250	Val	Asp	Val	Ser	Gln 255	Glu
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(54) Title: METHOD OF TREATING CORNEAL TRANSPLANT REJECTION

(57) Abstract: Methods of preventing, reducing, or treating corneal transplant rejection to improve transplant survival in a subject in need thereof comprising administering an agent capable of blocking or inhibiting vascular endothelial growth factor (VEGF) are provided. The methods are useful for inhibiting or preventing corneal transplant rejection in a human subject who is the recipient of a transplanted cornea.

#### METHOD OF TREATING CORNEAL TRANSPLANT REJECTION

#### BACKGROUND

#### **Field of the Invention**

**[0001]** The field of the invention is related to methods of using VEGF antagonists to reduce, prevent, or treat corneal transplant rejection, thus improving long-term transplant survival.

# **Description of Related Art**

[0002] It has previously been reported that topical application of an anti-VEGF neutralizing antibody suppresses acute allograft rejection in a rat corneal transplant model (Yatoh et al. (1998) Transplantation 66(11):1519-24). As the leading cause of human corneal transplant failure is transplant rejection, there is a need for a therapeutic for use in preventing corneal transplant rejection in humans who receive a corneal transplant.

# **BRIEF SUMMARY OF THE INVENTION**

**[0003]** The invention is based in part on the finding that administration of an agent capable of blocking or inhibiting vascular endothelial growth factor (VEGF) prevents corneal transplant rejection. The experiments, described below, conducted in an animal model of corneal transplantation show that long-term transplant survival is promoted by blocking VEGF-mediated activity.

[0004] In a first aspect, the invention features a method of improving transplant survival in a subject in need thereof, comprising administering to the subject an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity, such that transplant survival is improved.

**[0005]** In specific embodiments, the agent capable of blocking, inhibiting, or ameliorating VEGF-mediated activity is a VEGF antagonist. The VEGF antagonist may be a polypeptide, an antibody, a small molecule, or a nucleic acid. More specifically, the VEGF antagonist includes a VEGF trap selected from the group consisting of acetylated Flt-1(1-3)-Fc, Flt-1(1-3<sub>R->N</sub>)-Fc, Flt-1(1-3<sub>AB</sub>)-Fc, Flt-1(2-3<sub>AB</sub>)-Fc, Flt-1(2-3)-Fc, Flt-1D2-VEGFR3D3-FcAC1(a), Flt-1D2-Flk-1D3-FcAC1(a), and VEGFR1R2-FcAC1(a). In a specific and preferred embodiment, the VEGF trap is VEGFR1R2-FcAC1(a) (also termed VEGF trap<sub>R1R2</sub>) having the nucleotide sequence set forth in SEQ ID NO: 1 and the amino acid sequence set forth in SEQ ID NO: 2. The invention encompasses the use of a VEGF trap that is at least 90%, 95%, 98%, or at least 99%

homologous with the nucleotide sequence set forth in SEQ ID NO: 1 and/or the amino acid sequence set forth in SEQ ID NO:2.

[0006] In other embodiments, the agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity is a nucleic acid-based antagonist capable of interfering with the expression of VEGF. A specific example of this embodiment is one in which the nucleic acid-based antagonist is an aptamer, an siRNA, or an antisense molecule. [0007] Administration of the agent may be by any method known in the art, including

subcutaneous, intramuscular, intradermal, intraperitoneal, intravenous, intranasal, oral, or topical routes of administration. Preferable, administration to the subject in need of the agent is topical administration to the eye or subconjunctival administration. Administration may occur prior to or following corneal transplantation, preferably following surgery. Administration may also include a second agent, such as an immunosuppressive agent.

[0008] The subject to be treated is preferably a human subject who has or will receive a corneal transplant.

**[0009]** In a related second aspect, the invention features the use of an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity in the preparation of a medicament for improving transplant survival in a mammalian subject.

**[0010]** In a third aspect, the invention features a method of preventing corneal transplant rejection in a subject in need thereof, comprising administering to the subject an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity, such that corneal transplant rejection is prevented.

[0011] In a related fourth aspect, the invention features the use of an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity in the preparation of a medicament for the treatment of corneal transplant rejection in a mammalian subject.

[0012] In a fifth aspect, the invention features a method of reducing the incidence of corneal transplant rejection in a subject in need thereof, comprising administering to the subject an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity, such that the incidence of corneal transplant rejection is reduced.

[0013] In a related sixth aspect, the invention features the use of an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity in the preparation of a medicament for reducing the incidence of corneal transplant rejection in a mammalian subject receiving a corneal transplant.

[0014] In a seventh aspect, the invention features a pharmaceutical composition comprising a VEGF antagonist, for example the VEGF trap VEGFR1R2-Fc $\Delta$ C1(a), in a pharmaceutically

acceptable carrier. Such pharmaceutical compositions may be liquid, gel, ointment, salve, slow release formulations or other formulations suitable for ophthalmic administration. [0015] In an eighth aspect, the invention features an article of manufacture comprising packaging materials and a pharmaceutical agent contained within the packaging materials, wherein the pharmaceutical agent comprises at least one VEGF-specific fusion protein of the invention, and the packaging material comprises a label or package insert which indicates that the VEGF-specific fusion protein can be used for the treatment or prevention of corneal transplant rejection.

[0016] Other objects and advantages will become apparent from a review of the ensuing detailed description.

#### **DETAILED DESCRIPTION**

**[0017]** Before the present methods are described, it is to be understood that this invention is not limited to particular methods, and experimental conditions described, as such methods and conditions may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only by the appended claims.

**[0018]** As used in this specification and the appended claims, the singular forms "a", "an", and "the" include plural references unless the context clearly dictates otherwise. Thus for example, a reference to "a method" includes one or more methods, and/or steps of the type described herein and/or which will become apparent to those persons skilled in the art upon reading this disclosure and so forth.

**[0019]** Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described. All publications mentioned herein are incorporated herein by reference in their entirety.

# **General Description**

**[0020]** Experiments were undertaken to evaluate occurrence and time course of hem- and lymphangiogenesis after normal-risk corneal transplantation and to test whether pharmacologic strategies inhibiting both processes improve long-term graft survival. As described in the experimental section below, normal-risk allogeneic (C57BL/6 to BALB/c) and syngeneic (BALB/c to BALB/c) corneal transplantations were performed and occurrence and time course

of hem- and lymphangiogenesis after keratoplasty was observed using double immunofluorescence of corneal flatmounts (with CD31 as panendothelial and LYVE-1 as lymphatic vascular endothelial specific marker). A molecular trap designed to eliminate VEGF-A ("VEGF Trap<sub>R1R2</sub>"; 12.5 mg/kg) was tested for its ability to inhibit both processes after keratoplasty and to promote long-term graft survival (intraperitoneal injections on the day of surgery and 3, 7, and 14 days later). The results show that no blood or lymph vessels were detectable immediately after normal-risk transplantation in either donor or host cornea, but hemand lymphangiogenesis were clearly visible at day 3 after transplantation. Both vessel types reached donor tissue at one week after allo- and similarly after syngeneic grafting. Early postoperative trapping of VEGF-A significantly reduced both hem- and lymphangiogenesis and significantly improved long-term graft survival (78% versus 40%; p<0.05). There is concurrent, VEGF-A-dependent hem- and lymphangiogenesis after normal-risk keratoplasty within the preoperatively avascular recipient bed. Inhibition of hem- and lymphangiogenesis (which mediate the efferent and afferent arms of an immune response) after normal-risk corneal transplantation improves long-term graft survival, establishing that early postoperative hem- and lymphangiogenesis are risk factors for graft rejection even in low-risk eyes.

## Definitions

[0021] By the term "therapeutically effective dose" is meant a dose that produces the desired effect for which it is administered. The exact dose will depend on the purpose of the treatment, and will be ascertainable by one skilled in the art using known techniques (see, for example, Lloyd (1999) The Art, Science and Technology of Pharmaceutical Compounding). [0022] By the term "blocker", "inhibitor", or "antagonist" is meant a substance that retards or prevents a chemical or physiological reaction or response. Common blockers or inhibitors include but are not limited to antisense molecules, antibodies, antagonists and their derivatives. More specifically, an example of a VEGF blocker or inhibitor is a VEGF receptor-based antagonist including, for example, an anti-VEGF antibody, or a VEGF trap such as VEGFR1R2-Fc $\Delta$ C1(a) (SEQ ID NOs:1-2). For a complete description of VEGF-receptor based antagonists including VEGFR1R2-Fc $\Delta$ C1(a), see PCT publication WO/00/75319, the contents of which is incorporated in its entirety herein by reference.

[0023] A "small molecule" is defined herein to have a molecular weight below about 500 Daltons, and may include chemical as well as peptide molecules.

## **VEGF** Antagonists

[0024] In one aspect of the invention, VEGF-mediated activity is blocked or inhibited by the use

of VEGF receptor-based blockers of VEGF-mediated activity. A non-limiting example of a VEGF receptor-based blocker includes, but is not limited to, VEGFR1R2-Fc $\Delta$ C1(a). Other suitable receptor-based blockers include acetylated Flt-1(1-3)-Fc, Flt-1(1-3<sub>R->N</sub>)-Fc, Flt-1(1-3<sub>\DeltaB</sub>)-Fc, Flt-1(2-3<sub>\DeltaB</sub>)-Fc, Flt-1(2-3)-Fc, Flt-1D2-VEGFR3D3-Fc $\Delta$ C1(a), Flt-1D2-Flk-1D3-Fc $\Delta$ C1(a). For a complete description of these and other VEGF-receptor-based blockers, including pegylated receptor-based blockers, see PCT Publication No. WO/00/75319, the contents of which is incorporated in its entirety herein by reference.

[0025] In addition to the VEGF receptor-based blockers described in PCT Publication No. WO/00/75319, variants and derivatives of such VEGF receptor-based blockers are also contemplated by the invention. The sequence of the variants or derivatives may differ by a change which is one or more additions, insertions, deletions and/or substitutions of one or more nucleotides of the sequence set forth in SEQ ID NO:1. Changes to a nucleotide sequence may result in an amino acid change at the protein level, or not, as determined by the genetic code. Thus, nucleic acid according to the present invention may include a sequence different from the sequence shown in SEQ ID NO:1, yet encode a polypeptide with the same amino acid sequence as SEQ ID NO: 2. On the other hand, the encoded polypeptide may comprise an amino acid sequence which differs by one or more amino acid residues from the amino acid sequence shown in SEQ ID NO:2. Nucleic acid encoding a polypeptide which is an amino acid sequence variant or derivative of the sequence shown in SEQ ID NO:2 is further provided by the present invention. Nucleic acid encoding such a polypeptide may show at the nucleotide sequence and/or encoded amino acid level greater than about 90%, 95%, 98%, or 99% homology with the coding sequence shown in SEQ ID NO:1 and/or the amino acid sequence shown in SEQ ID NO:2. For amino acid "homology", this may be understood to be similarity (according to the established principles of amino acid similarity, e.g. as determined using the algorithm GAP (Genetics Computer Group, Madison, Wis.)) or identity. GAP uses the Needleman and Wunsch algorithm to align two complete sequences that maximizes the number of matches and minimizes the number of gaps. Generally, the default parameters are used, with a gap creation penalty=12 and gap extension penalty=4.

**[0026]** Individual components of the VEGF-specific fusion proteins of the invention may be constructed by molecular biological methods known to the art with the instructions provided by the instant specification. These components are selected from a first cellular receptor protein, such as, for example, VEGFR1; a second cellular receptor protein, such as, for example, VEGFR2; a multimerizing component, such as an Fc.

**[0027]** Specific embodiments of the VEGF-specific fusion proteins useful in the methods of the invention comprise a multimerizing component which allows the fusion proteins to associate,

e.g., as multimers, preferably dimers. Preferably, the multimerizing component comprises an immunoglobulin derived domain. Suitable multimerizing components are sequences encoding an immunoglobulin heavy chain hinge region (Takahashi et al. 1982 Cell 29:671-679); immunoglobulin gene sequences, and portions thereof.

**[0028]** The nucleic acid constructs encoding the fusion proteins useful in the methods of the invention are inserted into an expression vector by methods known to the art, wherein the nucleic acid molecule is operatively linked to an expression control sequence. Host-vector systems for the production of proteins comprising an expression vector introduced into a host cell suitable for expression of the protein are known in the art. The suitable host cell may be a bacterial cell such as *E. coli*, a yeast cell, such as *Pichia pastoris*, an insect cell, such as *Spodoptera frugiperda*, or a mammalian cell, such as a COS, CHO, 293, BHK or NS0 cell.

# **Antisense Nucleic Acids**

**[0029]** In one aspect of the invention, VEGF-mediated activity is blocked or inhibited by the use of VEGF antisense nucleic acids. The present invention provides the therapeutic or prophylactic use of nucleic acids comprising at least six nucleotides that are antisense to a gene or cDNA encoding VEGF or a portion thereof. As used herein, a VEGF "antisense" nucleic acid refers to a nucleic acid capable of hybridizing by virtue of some sequence complementarity to a portion of an RNA (preferably mRNA) encoding VEGF. The antisense nucleic acid may be complementary to a coding and/or noncoding region of an mRNA encoding VEGF. Such antisense nucleic acids have utility as compounds that prevent VEGF expression, and can be used in the treatment or prevention of corneal transplant rejection. The antisense nucleic acids of the invention are double-stranded or single-stranded oligonucleotides, RNA or DNA or a modification or derivative thereof, and can be directly administered to a cell or produced intracellularly by transcription of exogenous, introduced sequences.

[0028] The VEGF antisense nucleic acids are of at least six nucleotides and are preferably oligonucleotides ranging from 6 to about 50 oligonucleotides. In specific aspects, the oligonucleotide is at least 10 nucleotides, at least 15 nucleotides, at least 100 nucleotides, or at least 200 nucleotides. The oligonucleotides can be DNA or RNA or chimeric mixtures or derivatives or modified versions thereof and can be single-stranded or double-stranded. In addition, the antisense molecules may be polymers that are nucleic acid mimics, such as PNA, morpholino oligos, and LNA. Other types of antisence molecules include short double-stranded RNAs, known as siRNAs, and short hairpin RNAs, and long dsRNA (>50 bp but usually  $\geq$ 500 bp).

#### Short interfering RNAs

[0029] In another embodiment, VEGF-mediated activity is blocked by blocking VEGF expression. One method for inhibiting VEGF expression is the use of short interfering RNA (siRNA) through RNA interference (RNAi) or post-transcriptional gene silencing (PTGS) (see, for example, Ketting et al. (2001) Genes Develop. 15:2654-2659). siRNA molecules can target homologous mRNA molecules for destruction by cleaving the mRNA molecule within the region spanned by the siRNA molecule. Accordingly, siRNAs capable of targeting and cleaving homologous VEGF mRNA are useful for treating, reducing or preventing corneal transplant rejection.

# **Inhibitory Ribozymes**

[0030] In aspect of the invention, corneal transplant rejection may be treated or prevented in a subject suffering from such disease by decreasing the level of VEGF activity by using ribozyme molecules designed to catalytically cleave gene mRNA transcripts encoding VEGF, preventing translation of target gene mRNA and, therefore, expression of the gene product. [0031] Ribozymes are enzymatic RNA molecules capable of catalyzing the specific cleavage of RNA. The mechanism of ribozyme action involves sequence-specific hybridization of the ribozyme molecule to complementary target RNA, followed by an endonucleolytic cleavage event. The composition of ribozyme molecules must include one or more sequences complementary to the target gene mRNA, and must include the well known catalytic sequence responsible for mRNA cleavage. For this sequence, see, e.g., U.S. Patent No. 5,093,246. While ribozymes that cleave mRNA at site-specific recognition sequences can be used to destroy mRNAs encoding VEGF, the use of hammerhead ribozymes is preferred. Hammerhead ribozymes cleave mRNAs at locations dictated by flanking regions that form complementary base pairs with the target mRNA. The sole requirement is that the target mRNA has the following sequence of two bases: 5'-UG-3'. The construction and production of hammerhead ribozymes is well known in the art. The ribozymes of the present invention also include RNA endoribonucleases (hereinafter "Cech-type ribozymes") such as the one that occurs naturally in Tetrahymena thermophila (known as the IVS, or L-19 IVS RNA). The Cech-type ribozymes have an eight base pair active site that hybridizes to a target RNA sequence where after cleavage of the target RNA takes place. The invention encompasses those Cech-type ribozymes that target eight base-pair active site sequences that are present in the gene encoding VEGF.

## **Generation of Antibodies to VEGF Proteins**

[0032] In another aspect of the invention, the invention may be practiced with an anti-VEGF

antibody or antibody fragment capable of binding and blocking VEGF activity. Anti-VEGF antibodies are disclosed, for example, in US Patent No. 6,121,230, herein specifically incorporated by reference. The term "antibody" as used herein refers to a polypeptide comprising a framework region from an immunoglobulin gene or fragments thereof that specifically binds and recognizes an antigen. The recognized immunoglobulin genes include the kappa, lambda, alpha, gamma, delta, epsilon, and mu constant regions, as well as the myriad immunoglobulin variable region genes. Light chains are classified as either kappa or lambda. Heavy chains are classified as gamma, mu, alpha, delta, or epsilon, which in turn define the immunoglobulin classes, IgG, IgM, IgA, IgD, and IgE, respectively. Within each IgG class, there are different isotypes (eg. IgG<sub>1</sub>, IgG<sub>2</sub>, etc.). Typically, the antigen-binding region of an antibody will be the most critical in determining specificity and affinity of binding. [0033] Antibodies exist as intact immunoglobulins, or as a number of well-characterized fragments produced by digestion with various peptidases. For example, pepsin digests an antibody below the disulfide linkages in the hinge region to produce F(ab)'2, a dimer of Fab which itself is a light chain joined to V<sub>H</sub>-C<sub>H</sub>1 by a disulfide bond. The F(ab)<sup>2</sup> may be reduced under mild conditions to break the disulfide linkage in the hinge region, thereby converting the F(ab)'<sub>2</sub> dimer into an Fab' monomer. The Fab' monomer is essentially Fab with part of the hinge region. While various antibody fragments are defined in terms of the digestion of an intact antibody, one of skill will appreciate that such fragments may be synthesized *de novo* either chemically or by using recombinant DNA methodology. Thus, the terms antibody, as used herein, also includes antibody fragments either produced by the modification of whole antibodies, or those synthesized de novo using recombinant DNA methodologies (e.g., single chain Fv)(scFv) or those identified using phase display libraries (see, for example, McCafferty et al. (1990) Nature 348:552-554).

[0034] Methods for preparing antibodies are known to the art. See, for example, Kohler & Milstein (1975) Nature 256:495-497; Harlow & Lane (1988) <u>Antibodies: a Laboratory Manual</u>, Cold Spring Harbor Lab., Cold Spring Harbor, NY). The genes encoding the heavy and light chains of an antibody of interest can be cloned from a cell, e.g., the genes encoding a monoclonal antibody can be cloned from a hybridoma and used to produce a recombinant monoclonal antibody. Gene libraries encoding heavy and light chains of monoclonal antibodies can also be made from hybridoma or plasma cells. Random combinations of the heavy and light chain gene products generate a large pool of antibodies with different antigenic specificity. Techniques for the production of single chain antibodies or recombinant antibodies (US 4,946,778; US 4,816,567) can be adapted to produce antibodies used in the fusion proteins and methods of the instant invention. Also, transgenic mice, or other organisms such as other manmals, may be

used to express human or humanized antibodies. Alternatively, phage display technology can be used to identify antibodies and heteromeric Fab fragments that specifically bind to selected antigens.

## **Antibody Screening and Selection**

**[0035]** Screening and selection of preferred antibodies can be conducted by a variety of methods known to the art. Initial screening for the presence of monoclonal antibodies specific to a target antigen may be conducted through the use of ELISA-based methods, for example. A secondary screen is preferably conducted to identify and select a desired monoclonal antibody for use in construction of the multi-specific fusion proteins of the invention. Secondary screening may be conducted with any suitable method known to the art. One preferred method, termed "Biosensor Modification-Assisted Profiling" ("BiaMAP") is described in co-pending USSN 60/423,017 filed 01 Nov 2002, herein specifically incorporated by reference in its entirety. BiaMAP allows rapid identification of hybridoma clones producing monoclonal antibodies with desired characteristics. More specifically, monoclonal antibodies are sorted into distinct epitope-related groups based on evaluation of antibody:antigen interactions.

# **Treatment Population**

**[0036]** A suitable subject for treatment by the method of the invention is a human who has received or will receive a corneal transplant. Corneal transplantation is the oldest, most successful and most commonly performed tissue transplantation, with nearly 40,000 transplantations a year alone in the US. When corneal grafts are placed into an avascular recipient bed (so-called normal-risk keratoplasty), 2-year graft survival rates approach 90% under cover of topical steroids, even without HLA-matching. This very successful outcome is attributed to corneal immune privilege, i.e. the phenomenon of suppressed corneal inflammation induced by an array of endogenous mechanisms downregulating alloimmune and inflammatory responses in the cornea and its bed. These mechanisms include the lack of both afferent lymphatic and efferent blood vessels in the normal-risk recipient cornea, lack of MHC II<sup>+</sup> antigen presenting cells (APCs), FASL-expression on corneal epithelium and endothelium, and the anterior chamber associated immune privilege (ACAID) directed at graft antigens etc. (Streilein et al. (1999) Transplant Proc. 31:1472-1475).

[0037] In contrast, survival rates of cornea grafts placed into vascularized, not immuneprivileged recipient beds (so called high-risk keratoplasty) drop significantly to below 50% (even with local and systemic immune suppression). Pre-existing corneal stromal blood vessels have been identified as strong risk factors for immune rejection after corneal transplantation, both in

the clinical setting as well as in the well-defined mouse model of corneal transplantation (Sano et al. (1995) Invest. Ophthalmol. Vis. Sci. 36:2176-85). Recently, in addition to blood vessels, biomicroscopically undetectable lymphatic vessels have been found in association with blood vessels in vascularized high-risk human corneas (Cursiefen et al. (2003) Cornea. 22:273-81) and it is likely that corneal lymphatic vessels enable effective access of donor and host APCs and antigenic material to regional lymph nodes where accelerated sensitisation to graft antigens occurs (Liu et al. (2002) J. Exp. Med. 195:259-68) even in the normal-risk setting (with a preoperatively avascular recipient bed), where mild corneal hemangiogenesis develops after keratoplasty. Outgrowth of new blood vessels from the limbal arcade towards the graft can be observed within the first postoperative year in about 50% of patients undergoing normal-risk keratoplasty, and in 10% of patients these new blood vessels even reach the interface or invade donor tissue (Cursiefen et al. (2001) Graefes Arch. clin. Exp. Ophthalmol. 39:514-21) at corneal suture sites, and then proceed centrally.

#### **Methods of Administration**

[0038] The invention provides methods of treatment comprising administering to a subject an effective amount of an agent of the invention. In a preferred aspect, the agent is substantially purified (*e.g.*, substantially free from substances that limit its effect or produce undesired side-effects). The subject is preferably an animal, *e.g.*, such as cows, pigs, horses, chickens, cats, dogs, etc., and is preferably a mammal, and most preferably human.

[0039] Various delivery systems are known and can be used to administer an active agent of the invention, *e.g.*, delivery systems suitable for topical administration, preferably topical administration directly to the eye, or subconjunctival administration, as well as other delivery systems such as those that utilize encapsulation in liposomes, microparticles, microcapsules, recombinant cells capable of expressing the compound, receptor-mediated endocytosis (see, *e.g.*, Wu and Wu, 1987, J. Biol. Chem. 262:4429-4432), construction of a nucleic acid as part of a retroviral or other vector, etc. Methods of introduction are preferably topical or subconjunctival, but may be enteral or parenteral including but are not limited to intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, and oral routes. The active agents may be administration to the eye) or mucocutaneous linings (*e.g.*, oral mucosa, intestinal mucosa, etc.) or infusion or bolus injection, and may be administered together with other biologically active agents. Administration can be systemic or local. Administration can be acute or chronic (*e.g.* daily, weekly, monthly, etc.) or in combination or alteration with other agents. Pulmonary

administration can also be employed, *e.g.*, by use of an inhaler or nebulizer, and formulation with an aerosolizing agent.

[0040] In another embodiment, the active agent can be delivered in a vesicle, in particular a liposome (see Langer (1990) Science 249:1527-1533). In yet another embodiment, the active agent can be delivered in a controlled release system. In one embodiment, a pump may be used (see Langer (1990) supra). In another embodiment, polymeric materials can be used (see Howard et al. (1989) J. Neurosurg. 71:105). In another embodiment where the active agent of the invention is a nucleic acid encoding a protein, the nucleic acid can be administered in vivo to promote expression of its encoded protein, by constructing it as part of an appropriate nucleic acid expression vector and administering it so that it becomes intracellular, e.g., by use of a retroviral vector (see, for example, U.S. Patent No. 4,980,286), or by direct injection, or by use of microparticle bombardment (e.g., a gene gun; Biolistic, Dupont), or coating with lipids or cellsurface receptors or transfecting agents, or by administering it in linkage to a homeobox-like peptide which is known to enter the nucleus (see e.g., Joliot et al., 1991, Proc. Natl. Acad. Sci. USA 88:1864-1868), etc. Alternatively, a nucleic acid can be introduced intracellularly and incorporated within host cell DNA for expression, by homologous recombination. [0041] In a specific embodiment, it may be desirable to administer the pharmaceutical compositions of the invention locally to the area in need of treatment; this may be achieved, for example, and not by way of limitation, by topical administration, subconjunctival administration, local infusion during surgery, e.g., by injection, by means of a catheter, or by means of an implant, said implant being of a porous, non-porous, or gelatinous material, including membranes, such as sialastic membranes, fibers, or commercial skin substitutes.

# **Cellular Transfection and Gene Therapy**

[0042] The present invention encompasses the use of nucleic acids encoding the VEGF-specific fusion proteins of the invention for transfection of cells *in vitro* and *in vivo*. These nucleic acids can be inserted into any of a number of well-known vectors for transfection of target cells and organisms. The nucleic acids are transfected into cells *ex vivo* and *in vivo*, through the interaction of the vector and the target cell. Reintroduction of transfected cells may be accomplished by any method known to the art, including re-implantation of encapsulated cells. The compositions are administered (e.g., by injection into a muscle) to a subject in an amount sufficient to elicit a therapeutic response. An amount adequate to accomplish this is defined as "a therapeutically effective dose or amount."

**[0043]** In another aspect, the invention provides a method of treating or preventing corneal transplant rejection in a human comprising transfecting a cell with a nucleic acid encoding a

VEGF-specific fusion protein of the invention, wherein the nucleic acid comprises an inducible promoter operably linked to the nucleic acid encoding the VEGF-specific fusion protein. For gene therapy procedures in the treatment or prevention of human disease, see for example, Van Brunt (1998) Biotechnology 6:1149-1154.

#### **Pharmaceutical Compositions**

[0044] Pharmaceutical compositions useful in the practice of the method of the invention include a therapeutically effective amount of an active agent, and a pharmaceutically acceptable carrier. The term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly, in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the therapeutic is administered. Such pharmaceutical carriers can be sterile liquids, such as water and oils, including those of petroleum, animal, vegetable or synthetic origin, such as peanut oil, soybean oil, mineral oil, sesame oil and the like. Suitable pharmaceutical excipients include starch, glucose, lactose, sucrose, gelatin, malt, rice, flour, chalk, silica gel, sodium stearate, glycerol monostearate, talc, sodium chloride, dried skim milk, glycerol, propylene, glycol, water, ethanol and the like. The composition, if desired, can also contain minor amounts of wetting or emulsifying agents, or pH buffering agents. These compositions can take the form of solutions, suspensions, emulsion, tablets, pills, capsules, powders, sustained-release formulations and the like. The composition can be formulated as a suppository, with traditional binders and carriers such as triglycerides. Oral formulation can include standard carriers such as pharmaceutical grades of mannitol, lactose, starch, magnesium stearate, sodium saccharine, cellulose, magnesium carbonate, etc. Examples of suitable pharmaceutical carriers are described in "Remington's Pharmaceutical Sciences" by E.W. Martin.

**[0045]** In a preferred embodiment, the composition is formulated in accordance with routine procedures as a pharmaceutical composition adapted for intravenous, subcutaneous, or intramuscular administration to human beings. Where necessary, the composition may also include a solubilizing agent and a local anesthetic such as lidocaine to ease pain at the site of the injection. Where the composition is to be administered by infusion, it can be dispensed with an infusion bottle containing sterile pharmaceutical grade water or saline. Where the composition is administered by injection, an ampoule of sterile water for injection or saline can be provided so that the ingredients may be mixed prior to administration.

[0046] The active agents of the invention can be formulated as neutral or salt forms. Pharmaceutically acceptable salts include those formed with free amino groups such as those

derived from hydrochloric, phosphoric, acetic, oxalic, tartaric acids, etc., and those formed with free carboxyl groups such as those derived from sodium, potassium, ammonium, calcium, ferric hydroxides, isopropylamine, triethylamine, 2-ethylamino ethanol, histidine, procaine, etc. **[0047]** The amount of the active agent of the invention that will be effective in the treatment or prevention of corneal transplant rejeciton can be determined by standard clinical techniques based on the present description. In addition, *in vitro* assays may optionally be employed to help identify optimal dosage ranges. The precise dose to be employed in the formulation will also depend on the route of administration, and the seriousness of the condition, and should be decided according to the judgment of the practitioner and each subject's circumstances. However, suitable dosage ranges for intravenous administration are generally about 50-5000 micrograms of active compound per kilogram body weight. Suitable dosage ranges for intranasal administration are generally about 0.01 pg/kg body weight to 1 mg/kg body weight. Effective doses may be extrapolated from dose-response curves derived from *in vitro* or animal model test systems.

**[0048]** For systemic administration, a therapeutically effective dose can be estimated initially from *in vitro* assays. For example, a dose can be formulated in animal models to achieve a circulating concentration range that includes the  $IC_{50}$  as determined in cell culture. Such information can be used to more accurately determine useful doses in humans. Initial dosages can also be estimated from *in vivo* data, e.g., animal models, using techniques that are well known in the art. One having ordinary skill in the art could readily optimize administration to humans based on animal data.

[0049] Dosage amount and interval may be adjusted individually to provide plasma levels of the compounds that are sufficient to maintain therapeutic effect. One having skill in the art will be able to optimize therapeutically effective local dosages witho ut undue experimentation. [0050] The amount of compound administered will, of course, be dependent on the subject being treated, on the subject's weight, the severity of the affliction, the manner of administration, and the judgment of the prescribing physician. The therapy may be repeated intermittently while symptoms are detectable or even when they are not detectable. The therapy may be provided alone or in combination with other drugs.

# **Combination Therapies**

[0051] In numerous embodiments, the VEGF blockers of the present invention may be administered in combination with one or more additional compounds or therapies or medical procedures. For example, suitable therapeutic agents for use in combination, either alternating or simultaneously, with the VEGF blockers may include topically administered immunosuppressive

agents such as corticosteroids, dexamethasone, cyclosporin A, or anti-metabolic agents or systemically administered immunosuppressive agents such as corticosteroids, dexamethasone, cyclosporin A, FK506, or anti-metabolic agents, as well as other agents effective to treat, reduce, or prevent corneal transplant rejection (see Barker, NH, *et al.*, (2000) Clin Exp Opthal 28:357-360). Other suitable therapeutic agents for use in combination, either alternating or simultaneously, with the VEGF blockers of the subject invention may include blockers that can block other VEGF family members such as VEGF-C and VEGF-D.

## Kits

[0052] The invention also provides an article of manufacturing comprising packaging material and a pharmaceutical agent contained within the packaging material, wherein the pharmaceutical agent comprises at least one VEGF-specific fusion protein of the invention and wherein the packaging material comprises a label or package insert which indicates that the VEGF-specific fusion protein can be used for treating corneal transplant rejection.

[0053] Other features of the invention will become apparent in the course of the following descriptions of exemplary embodiments which are given for illustration of the invention and are not intended to be limiting thereof.

# **EXAMPLES**

[0054] The following example is put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the methods and compositions of the invention, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.

# Example 1: Inhibition of corneal lymphangiogenesis and angiogenesis after low-risk keratoplasty using VEGFR1R2-Fc $\Delta$ C1(a).

[0055] Mice and anesthesia. Six to 8 weeks old male C57BL/6 mice were used as donors and same-aged male BALB/c mice (Taconic, Germantown, NY) as recipients in the mouse model of normal-risk keratoplasty (Sonoda et al. (1992) Transplantation 54:694-704). For syngeneic transplantations, 6-8 weeks old male BALB/c mice were used both as donors as well as recipients. For the dose response studies, 8 weeks old male C57BL/6 mice were used. All animals were treated in accordance with the ARVO Statement for the Use of Animals in

Ophthalmic and Vision Research. Mice were anesthetized using a mixture of ketamine and xylazine (120 mg/kg body weight and 20 mg/kg body weight respectively).

[0056] Dose response of VEGF Trap<sub>R1R2</sub>. Five different doses of VEGF-Trap<sub>R1R2</sub> (SEQ ID NO:2) were tested in mice that received three interrupted intrastromal sutures (10-0 nylon, 50µm-diameter, Sharpoint, Surgical Specialties Corporation, Reading, PA). Gentamicine and ophthalmic ointment were applied immediately after surgery. Following surgery (day 0), mice received a single subcutaneous injection of VEGF Trap<sub>R1R2</sub> (25 mg/kg, 12.5 mg/kg, 6.25 mg/kg, 2.5 mg/kg or 0.5 mg ) or human Fc (12.5 mg/kg; control). Corneas were harvested on day 9 after suture placement, following an intravenous administration of an endothelial-specific fluoresceinconjugated lectin (*Lycopersicon esculentum*, Vector Laboratories, Burlingame, CA). The isolated corneas were flat-mounted on glass slides, and images of lectin-labeled vessels were captured using a Spot RT Digital camera (Diagnostic Instrument, Inc. Sterling Heights, MI) attached to a Nikon Microphot-FXA microscope (Nikon Inc. Garden City, NY). Scion Image 1.62c (Scion Corporation, Frederick, MD) was used to quantify the extent of corneal neovasculararization.

[0057] Corneal transplantation in mice. Orthotopic corneal allografting in the mouse model of normal-risk keratoplasty was performed as described previously (Sonoda et al. (1992) supra). Donor corneas were excised by trephination using a 2.0 mm bore and cut with a curved vannas scissor. Until grafting, corneal tissue was placed in chilled phosphate-buffered saline. Recipients were anesthetized and the graft bed was prepared by trephining a 1.5 mm site in the central cornea of the right eye and discarding the excised cornea. The donor cornea was immediately applied to the bed and secured in place with 8 interrupted sutures (11-0 nylon, 70 µm diameter needles, Arosurgical, Newport Beach, CA). Antibiotic ointment (Oxymycin, Pharmafair, Hauppauge, NY) was placed on the corneal surface and the eyelids sutured with 8-0 suture (Sharpoint, Reading, PA). Recipients of grafts in which bleeding developed in the immediate postoperative period were discarded from further evaluation. All grafted eyes were examined after 72 hours, and grafts with technical difficulties (hyphema, cataract, infection, loss of anterior chamber) were excluded from further consideration. Tarsorraphy and corneal sutures were removed after 7 days and grafts were then examined at least twice a week until week 8 post transplantation by slit-lamp microscopy and scored for opacity. The survival experiment was performed twice and comprised 10 and 12 mice per experiment in both groups, respectively. Clinical scores of corneal grafts for opacity were as follows: 0= clear; +1=minimal, superficial (nonstromal) opacity; pupil margin and iris vessels readily visible through the cornea; +2=minimal, deep (stroma) opacity; pupil margins and iris vessels visible; +3= moderate stromal opacity; only pupil margin visible; +4= intense stromal opacity; only a portion of pupil margin

visible; +5= maximum stromal opacity; anterior chamber not visible. Grafts with opacity scores of +2 or greater after 2 weeks were considered to have been rejected. Syngeneic transplantations were performed and evaluated in a similar manner.

[0058] Immunohistochemistry and morphometry of angiogenesis and lymphangiogenesis in the cornea. Briefly, corneal flat mounts were rinsed in PBS, fixed in acetone, rinsed in PBS, blocked in 2% bovine serum albumin, stained with FITC-conjugated CD31/PECAM-1 overnight (Santa Cruz Biotechnology, Santa Cruz, CA; 1:100), washed, blocked, stained with LYVE-1 (1:500; a lymphatic endothelium specific hyaluronic acid receptor (Cursiefen et al. (2002) Invest. Ophthalmol. Vis. Sci. 43:2127-35) washed, blocked, and stained with Cy3 (1:100; Jackson ImunoResearch Laboratories, West Grove, PA) and analyzed using a Zeiss Axiophot microscope. Digital pictures of the flat mounts were taken using Spot Image Analysis system. Then the area covered by CD31<sup>+++</sup>/LYVE-1<sup>-</sup> blood vessels and CD31<sup>+</sup>/LYVE-1<sup>+++</sup> lymph vessels was measured morphometrically on these flat-mounts using NIH Image software. The total corneal area was outlined using the innermost vessel of the limbal arcade as the border. The total area of blood versus lymphatic neovascularization was then normalized to the total corneal area and the percentage of the cornea covered by each vessel type calculated.

[0059] Neutralization of VEGF-A using VEGF Trap<sub>R1R2</sub>. The VEGF trap<sub>R1R2</sub> (Regeneron Pharmaceuticals Inc, Tarrytown, NY (Holash et al. (2002) Proc. Natl. Acad. Sci. USA 99:11393-8, herein specifically incorporated by reference in its entirety) was used in the transplant survival experiment at a concentration of 12.5 mg/kg intraperitoneally (i.p.) at time of surgery (CHO hVEGFR1 [Ig domain 2] R2 [Ig domain 3]-Fc), and 3, 7, and 14 days after surgery. Human Fcfragment given i.p. at same concentration and times was used in the control mice (sCHO h Fc). [0060] Statistical analysis. Statistical significance was analyzed by Mann-Whitney's test. Differences were considered significant at P < 0.05. Each experiment was performed at least twice with similar results. Graphs were drawn using Graph Pad Prism, Version 3.02.

[0061] <u>Results.</u> Dose response of angiogenesis inhibition by VEGF Trap<sub>R1R2</sub>. VEGF-Trap<sub>R1R2</sub> at doses of either 25 mg/kg or 12.5 mg/kg completely inhibited suture-induced inflammatory corneal neovascularization. In contrast, doses of 6.25mg/kg and 2.5mg/kg produced ~50% and ~20% inhibition of corneal neovascularization, respectively, while the lowest dose tested, 0.5 mg/kg, had a negligible effect (<5% inhibition). Therefore, for subsequent experiments a dose of 12.5 mg/kg VEGF Trap<sub>R1R2</sub> was chosen.

[0062] Rapid and parallel onset of hemangiogenesis and lymphangiogenesis *after* normalrisk allogeneic corneal transplantation. To determine whether the mild and temporary hemangiogenesis occurring *after* normal-risk keratoplasty is accompanied by lymphatic vessel outgrowth from the limbus into the normally alymphatic cornea, we studied the time course of

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#### PCT/US2004/012540

ingrowth of both vessel types at days 0, 3, 7, 14, 21, and 28 *after* allogeneic keratoplasty (only accepted grafts). Immediately *after* surgery, blood and lymphatic vessels were not detectable either in the host or in donor tissue using biomicroscopy and immunohistochemistry on corneal flat mounts. But, at day 3 after allografting, both methods revealed new blood vessels growing into the cornea already 1/3 to halfway towards the graft interface. By day 7 these vessels had usually reached the donor tissue, but they rarely invaded the donor tissue itself. Analyzing flatmounts stained with LYVE-1 as a lymphatic vessel specific marker showed that CD31<sup>+++</sup>/LYVE-1<sup>-</sup> blood vessels were regularly accompanied by LYVE-1<sup>+++</sup>/CD31<sup>+</sup> lymphatic vessels. Both vessel types reached the interface simultaneously at day 7. Thereafter, coincident with suture removal, both vessel types started to regress (if no immune rejection occurred; data not shown).

[0063] No difference in postkeratoplasty hem- and lymphangiogenesis between syngeneic and allogeneic corneal transplantation. To determine whether the simultaneous induction of hem- and lymphangiogenesis *after* normal-risk keratoplasty is primarily an effect of the surgical trauma, suturing and wound healing processes or secondary to early immunological rejection reactions, we compared speed and extent of both hem- and lymphangiogenesis occurring *after* keratoplasty between allogeneic (C57BL/6 into BALB/c) and syngeneic grafts (BALB/c into BALB/c) at day 3, 7, 14, 21, 28 after transplantation. In both groups, blood and lymphatic vessels grew out after keratoplasty and by day 3 reached about 1/3 to \_ of the limbus-interface distance. At day 7 after syngeneic and allogeneic grafting both vessel types had reached the interface, before they started to regress thereafter. Furthermore, there was no significant difference in the hem- and lymphvascularized area, comparing syngeneic and allogeneic grafts at 3 days (allogeneic: hemvascularized area [HA] 25.2±4.1% and lymphvascularized area [LA] 22.2±9.4% versus syngeneic HA:  $23\pm2.7\%$  and LA  $19.4\pm7.2\%$ ) and 7 days (allogeneic HA:  $53.8\pm11.2\%$  and LA:  $37.9\pm6.2\%$  versus syngeneic HA:  $55.9\pm8.2\%$  and LA:  $38\pm22.7\%$ ) after surgery (n=8 mice per group per timepoint).

[0064] Neutralization of VEGF-A after normal-risk keratoplasty inhibits postoperative hemangiogenesis and lymphangiogenesis. Mice received either intraperitoneal injections of VEGF Trap<sub>R1R2</sub> (12.5 mg/kg) at surgery and 3 days later, or in the controls the Fc-protein in the same dosage. At day 3 and 7 after surgery, the extent of hem- and lymphangiogenesis was compared between these two groups (n=6 mice per group per timepoint). At day 3 and day 7 after surgery, the hemvascularized area was significantly smaller in trap-treated mice (day 3:  $15.8\pm4.0\%$ ; day 7:  $25.2\pm13.3\%$ ) compared to mice just receiving the Fc-fragment (day 3:  $25.8\pm4.4\%$ ; day 7:  $48.3\pm12.8\%$ ; p<0.0001). This was also true for the lymphvascularized area

comparing Trap- (9.5±9.4%) and Fc-treated mice on day 3 (21.5±9.3%; p<0.0001). At day 7, the lymphvascularized area was smaller, but not significantly different in the Trap-group (28.7±20.3%) compared to the Fc-group (51.5±23.8%; p=0.06). In contrast to results obtained in corneal injury models neither hem- or lymphangiogenesis were completely inhibited by the VEGF Trap<sub>R1R2</sub> following corneal transplantation. However, the number of lymphatic vessels reaching the graft-host interface (10.6±0.6 versus  $1.3\pm1.5$  vessels) and the number of hours where the interface was filled with draining lymphatic vessels were much larger in the Fc-treated compared to the Trap-treated group (3±2 versus  $0.2\pm0.3$  hours; not significant due to small sample size) at day 7. This might indicate that lymphavascularized area per se is less decisive for host sensitisation than the contact area with donor tissue.

# [0065] Partial inhibition of early postoperative hem- and lymphangiogenesis by trapping VEGF-A after normal-risk surgery improves long-term graft survival.

Since hem- and lymphangiogenesis occurring *after* normal-risk keratoplasty peaked around day 7, and regressed thereafter, and since both vascular processes could be significantly inhibited by early postoperative neutralization of VEGF-A, we determined whether inhibition of postkeratoplasty hem- and lymphangiogenesis during this interval improves graft survival. The long-term survival of C57BL/6 grafts placed into avascular BALB/c recipient beds was compared between mice receiving an i.p. injection of 12.5 mg/kg VEGF Trap<sub>R1R2</sub>, or Fc-fragment alone, at surgery and 3, 7, and 14 days later. Trapping of VEGF-A postoperatively caused a significantly improved long-term graft survival at 8 weeks (78%), compared to grafts in eyes of Fc-treated controls (40%; p=0.044; n=22 in both groups).

[0066] The present invention may be embodied in other specific forms without departing from the spirit or essential attributes thereof.

#### Claims

# We claim,

1. Use of an first agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity in the preparation of a medicament for treating or preventing corneal transplant rejection in a mammalian subject.

2. The use of claim 1, wherein the agent capable of blocking, inhibiting, or ameliorating VEGFmediated activity is a VEGF antagonist.

3. The use of claim 2, wherein the VEGF antagonist is a polypeptide, an antibody, a small molecule, or a nucleic acid.

4. The use of claim 3, wherein the VEGF antagonist includes a VEGF trap selected from the group consisting of acetylated Flt-1(1-3)-Fc, Flt-1( $1-3_{R->N}$ )-Fc, Flt-1( $1-3_{\Delta B}$ )-Fc, Flt-1( $2-3_{\Delta B}$ )-Fc,

5. The use of claim 4, wherein the VEGF trap is VEGFR1R2-Fc $\Delta$ C1(a).

6. The use of claim 3, wherein the VEGF antagonist is a nucleic acid selected from the group consisting of aptamer, an siRNA, or an antisense molecule.

7. The use of claim 1, wherein administration is subcutaneous, intramuscular, intradermal, intraperitoneal, intravenous, intranasal, oral, subconjunctival, or topical. Administration may also include a second agent, such as an immunosuppressive agent.

8. The use of claim 1, further comprising administering a second agent.

9. The use of claim 8, wherein the second agent is an immunosuppressive agent.

10. The use of claim 1, wherein the mammalian subject is a human.

11. The use of claim 10, wherein the human subject has received a corneal transplant.

12." A method of reducing the incidence of corneal transplant rejection in a subject in need thereof, comprising administering to the subject an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity, such that the incidence of corneal transplant rejection is reduced.

13. A method of treating corneal transplant rejection in a subject in need thereof, comprising administering to the subject an agent capable of blocking, inhibiting, or ameliorating vascular endothelial growth factor (VEGF)-mediated activity, such that corneal transplant rejection is treated.

14. A pharmaceutical composition for prevention or treatment of corneal transplant rejection, comprising a vascular endothelial growth factor (VEGF) antagonist, and a pharmaceutically acceptable carrier.

15. The pharmaceutical composition of claim 14, in the form of a liquid, gel, ointment, salve, or ophthalmic solution.

16. An article of manufacturing comprising:

(a) packaging material; and

(b) a pharmaceutical agent contained within the packaging material; wherein the pharmaceutical agent comprises at least one VEGF-specific fusion protein of the invention and wherein the packaging material comprises a label or package insert which indicatess that the VEGF-specific fusion protein can be used to treat or prevent corneal transplant rejection in a mammalian subject.

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# SUBSTITUTE SHEET (RULE 26)

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SEQUENCE LISTING
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<110> Regeneron Pharmaceuticals, Inc. The Schepens Eye Research Institute <120> Method of Treating Corneal Transplant Rejection <130> REG 713B-WO <140> To be Assigned <141> 2004-04-23 <160> 2 <170> FastSEQ for Windows Version 4.0 <210> 1 <211> 1377 <212> DNA <213> homo sapiens <400>1atggtcagct actgggacac cggggtcctg ctgtgcgcgc tgctcagctg tctgcttctc 60 acaggateta gtteeggaag tgataceggt agaeettteg tagagatgta cagtgaaate 120 cccgaaatta tacacatgac tgaaggaagg gagetegtea tteeetgeeg ggttacgtea 180 cctaacatca ctgttacttt aaaaaagttt ccacttgaca ctttgatccc tgatggaaaa 240 cgcataatct gggacagtag aaagggcttc atcatatcaa atgcaacgta caaagaaata 300 gggettetga cetgtgaage aacagteaat gggeatttgt ataagacaaa etateteaca 360 categacaaa ccaatacaat catagatgtg gttetgagte egteteatgg aattgaacta 420 tctgttggag aaaagcttgt cttaaattgt acagcaagaa ctgaactaaa tgtggggatt 480 gacttcaact gggaataccc ttcttcgaag catcagcata agaaacttgt aaaccgagac 540 ctaaaaaaccc agtctgggag tgagatgaag aaatttttga gcaccttaac tatagatggt 600 gtaacccgga gtgaccaagg attgtacacc tgtgcagcat ccagtgggct gatgaccaag 660 aagaacagca catttgtcag ggtccatgaa aaggacaaaa ctcacacatg cccaccgtgc 720 ccagcacctg aactcctggg gggaccgtca gtcttcctct tccccccaaa acccaaggac 780 accetcatga teteceggac ecetgaggte acatgegtgg tggtggaegt gagecaegaa 840 gaccctgagg tcaagttcaa ctggtacgtg gacggcgtgg aggtgcataa tgccaagaca 900 aagccgcggg aggagcagta caacagcacg taccgtgtgg tcagcgtcct caccgtcctg 960 caccaggact ggctgaatgg caaggagtac aagtgcaagg tctccaacaa agccctccca 1020 gececeateg agaaaaccat etecaaagee aaagggeage eeegagaace acaggtgtae 1080 accetgecee cateceggga tgagetgace aagaaceagg teageetgae etgeetggte 1140 aaaggettet ateccagega categeegtg gagtggggaga geaatgggea geeggagaae 1200 aactacaaga ccacgcctcc cgtgctggac tccgacggct ccttcttcct ctacagcaag 1260 ctcaccgtgg acaagagcag gtggcagcag gggaacgtct tctcatgctc cgtgatgcat 1320 gaggetetge acaaceacta caegeagaag ageeteteee tgteteeggg taaatga 1377 <210> 2 <211> 458 <212> PRT <213> homo sapiens <400>2Met Val Ser Tyr Trp Asp Thr Gly Val Leu Leu Cys Ala Leu Leu Ser 1 5 10 15 Cys Leu Leu Thr Gly Ser Ser Ser Gly Ser Asp Thr Gly Arg Pro

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Electronic Patent Application Fee Transmittal								
Application Number:	16397267							
Filing Date:	29	Apr-2019						
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS							
First Named Inventor/Applicant Name:	Ge	orge D. YANCOPOL	ILOS					
Filer:	Kai	'l Bozicevic/Kimberl	y Zuehlke					
Attorney Docket Number:	REGN-008CIPCON5							
Filed as Large Entity								
Filing Fees for Utility under 35 USC 111(a)								
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Application Number:	16397267							
International Application Number:								
Confirmation Number:	8135							
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS							
First Named Inventor/Applicant Name:	George D. YANCOPOULOS							
Customer Number:	96387							
Filer:	Karl Bozicevic/Kimberly Zuehlke							
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Information:       221829       no       13         53       Non Patent Literature $49_20080502\_REGENERON\_PH$ ARMACEUTICALS_INC_8- K_5_2.pdf $221829$ no       13         Warnings:         Information:         54       Non Patent Literature $50_20081104\_REGENERON\_PH$ ARMACEUTICALS_INC_8- K_11_4.pdf $77e34897exesexesesesesesesesesesesesesesesesese$	52	Non Patent Literature			no	14	
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Information:         253271         no         15           54         Non Patent Literature         50_20081104_REGENERON_PH ARMACEUTICALS_INC_8- K_11_4.pdf         7e93d891ebeacae9c68a2cacabbb22/d6cb 2d355         no         15           Warnings:         Information:           55         Non Patent Literature         51_20090109_REGENERON_PH ARMACEUTICALS_INC_8- K_11_9.pdf         5866631         no         44           55         Non Patent Literature         51_20090109_REGENERON_PH ARMACEUTICALS_INC_8- K_1_9.pdf         5866631         no         44           61cb74c3616ac090016b9c519941d(Ba37b 8cef0         no         44	53	Non Patent Literature			no	13	
54         Non Patent Literature         50_20081104_REGENERON_PH ARMACEUTICALS_INC_8- K_11_4.pdf         253271         no         15 <b>Warnings:</b> Information:           55         Non Patent Literature         51_20090109_REGENERON_PH ARMACEUTICALS_INC_8- K_19.pdf         5866631         no         44           51/20090109_REGENERON_PH ARMACEUTICALS_INC_8- K_19.pdf         51/20090109.tbps519941dBa37b         no         44	Warnings:						
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Warnings:					
Information:					
		Total Files Size (in bytes)	77'	134681	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### **Electronically Filed**

	Attorney Docket No.	REGN-008CIPCON5	
INFORMATION DISCLOSURE STATEMENT	Confirmation No.	8135	
	First Named Inventor	George D. Yancopoulos	
	Application Number	16/397,267	
	Filing Date	April 29, 2019	
	Group Art Unit	1647	
Address to:	Examiner Name	Jon McClelland Lockard	
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders"		

Sir:

Applicant submits herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the foreign patents and non-patent literature are also enclosed.

The publications discussed herein are provided to comply with the duty to disclose in accordance with 37 C.F.R. § 1.56. However, nothing herein is to be construed as an admission that the present invention is not entitled to antedate such publication by virtue of prior invention. Further, the dates of publication provided may be different from the actual publication dates which may need to be independently confirmed

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicant would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the documents cited therein have been considered and made of record herein.

### **Statements**

### No statement

**PTA Statement under 37 CFR § 1.704(d)(1):** Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by

any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

- **IDS Statement under 37 CFR § 1.97(e)(1):** Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
  - **IDS Statement under 37 CFR § 1.97(e)(2):** No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

### <u>Fees</u>

No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>16 July 2020</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

Electronic A	cknowledgement Receipt
EFS ID:	40026640
Application Number:	16397267
International Application Number:	
Confirmation Number:	8135
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS
First Named Inventor/Applicant Name:	George D. YANCOPOULOS
Customer Number:	96387
Filer:	Karl Bozicevic/Kimberly Zuehlke
Filer Authorized By:	Karl Bozicevic
Attorney Docket Number:	REGN-008CIPCON5
Receipt Date:	16-JUL-2020
Filing Date:	29-APR-2019
Time Stamp:	18:49:20
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment		no				
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4	Non Patent Literature	ARMACEUTICALS_INC_8- K_11_21.pdf	3c4ea390e000bea3ccb35ed39a45bac5651 cde4e	no	10
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11	Non Patent Literature	67_Regeneron_2008_Annual_R eport.pdf	8dbf34e475eefe9794c43995ca33ef81563c bc25	no	20
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22	Non Patent Literature	70_Rudge_2008.pdf	33aa731a65c206c16afe0291bd0b4dfb6ac7 adae	no	6
Warnings:		+			

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Information							
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/D0/E0/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/R0/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

Electronically filed 10/21/2020					
AMENDMENT UNDER	Attorney Docket No. REGN-008CIPCON5				
37 C.F.R. §1.111	Confirmation No.	8135			
	First Named Inventor	George D. Yancopoulos			
	Application Number	16/397,267			
	Filing Date	April 29, 2019			
Address to:	Group Art Unit1647Examiner NameJon McClelland Lockard				
Mail Stop AMENDMENT					
Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders"				

Sir:

This amendment is responsive to the Office Action dated May 12, 2020, for which a three-month period for response was given for response. A petition and petition fee for a three month extension of time is requested herewith making this response due by November 12, 2020. Accordingly, this response is timely filed.

In view of the remarks below and attached Terminal Disclaimers, reconsideration and allowance are respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this

### paper.

Remarks/Arguments begin on page 8 of this paper.

### AMENDMENTS TO THE CLAIMS

1. - 20. (Canceled)

21. (**Currently Amended**) A method for treating age related macular degeneration in a patient <u>in need thereof</u>, comprising intravitreally administering, to said patient, an effective amount of aflibercept which is 2 mg approximately every 4 weeks for the first 3 months, followed by 2 mg approximately once every 8 weeks or once every 2 months.

22. (Previously Presented) The method of claim 21, wherein the age-related macular degeneration is neovascular (wet).

23. (Previously Presented) The method of claim 21, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

24. (Previously Presented) The method of claim 23, wherein the age-related macular degeneration is neovascular (wet).

25. (Previously Presented) The method of claim 22 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

26. (**Currently Amended**) The method of claim 25 wherein Best Corrected Visual Acuity (BCVA) is **measured by <u>according to</u>** Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

27. (Previously Presented) The method of claim 22 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

28. (**Currently Amended**) The method of claim 27 wherein Best Corrected Visual Acuity (BCVA) is **measured by <u>according to</u>** Early Treatment Diabetic Retinopathy Study (ETDRS) letter

score.

29. (**Currently Amended**) A method for treating diabetic macular edema in a patient<u>in</u> <u>need thereof</u>, comprising intravitreally administering, to said patient, an effective amount of aflibercept which is 2 mg approximately every 4 weeks for the first 5 injections followed by 2 mg approximately once every 8 weeks or once every 2 months.

30. (Previously Presented) The method of claim 29, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

31. (Previously presented) The method of claim 29, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

32. (Previously Presented) The method of claim 29 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

33. (Currently Amended) The method of claim 32 wherein Best Corrected Visual Acuity (BCVA) is measured by according to Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

34. (Previously Presented) The method of claim 29 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

35. (**Currently Amended**) The method of claim 34 wherein Best Corrected Visual Acuity (BCVA) is **measured by <u>according to</u>** Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

36. (**Currently Amended**) A method for treating diabetic retinopathy in a patient <u>in need</u> <u>thereof</u>, comprising intravitreally administering, to said patient, an effective amount of aflibercept which is 2 mg approximately every 4 weeks for the first 5 injections followed by 2 mg approximately once every 8 weeks or 2 months.

37. (Previously Presented) The method of claim 36, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

38. (Previously Presented) The method of claim 36, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

39. (Previously Presented) The method of claim 36 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

40. (**Currently Amended**) The method of claim 37 wherein Best Corrected Visual Acuity (BCVA) is **measured by <u>according to</u>** Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

41. (Previously Presented) The method of claim 36 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

42. (**Currently Amended**) The method of claim 41 wherein Best Corrected Visual Acuity (BCVA) is **measured by <u>according to</u>** Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

43. (**Currently Amended**) A method for treating diabetic retinopathy in a patient with diabetic macular edema, <u>who is in need of such treatment</u>, comprising intravitreally administering, to said patient, an effective amount of aflibercept which is 2 mg approximately every 4 weeks for the first 5 injections followed by 2 mg approximately once every 8 weeks or 2 months.

44. (Previously Presented) The method of claim 43, wherein approximately every 4 weeks comprises approximately every 28 days or approximately monthly.

45. (Previously Presented) The method of claim 43, further comprising, after 20 weeks, administering, via intravitreal injection, 2 mg of aflibercept once every 4 weeks.

46. (Previously Presented) The method of claim 43 wherein the patient loses less than 15 letters of Best Corrected Visual Acuity (BCVA) score.

47. (**Currently Amended**) The method of claim 46 wherein Best Corrected Visual Acuity (BCVA) is **measured by <u>according to</u>** Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

48. (Previously Presented) The method of claim 43 wherein the patient gains at least 15 letters of Best Corrected Visual Acuity (BCVA) score.

49. (**Currently Amended**) The method of claim 48 wherein Best Corrected Visual Acuity (BCVA) is **measured by <u>according to</u>** Early Treatment Diabetic Retinopathy Study (ETDRS) letter score.

50. (**Currently Amended**) A method for treating an angiogenic eye disorder in a patient <u>in</u> <u>need thereof</u>, said method comprising administering to the patient an effective sequential dosing regimen of a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 4 weeks after the immediately preceding dose; and wherein each tertiary dose is administered 8 weeks after the immediately preceding dose; wherein the VEGF antagonist is a receptor-based chimeric molecule comprising an immunoglobin-like (Ig) domain 2 of a first VEGF receptor <u>which is VEGFR1</u> and <u>an</u> Ig domain 3 of a second VEGF receptor **which is VEGFR2**, and a multimerizing component.

51. (Cancelled)

52. (Previously Presented) The method of claim 50 wherein the VEGF antagonist is aflibercept.

53. (Currently Amended) The method of claim <u>50</u> <del>51</del>, wherein all doses of the VEGF

antagonist are administered to the patient by intraocular administration.

54. (Previously Presented) The method of claim 53, wherein the intraocular administration is intravitreal administration.

55. (Previously Presented) The method of claim 54, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.

56. (Previously Presented) The method of claim 55, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

57. (Previously Presented) The method of claim 55, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.

58. (**Currently Amended**) The method of claim <u>50</u> **51**, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

59. (**Currently Amended**) The method of claim <u>50</u> <del>51</del>-wherein the angiogenic eye disorder is age related macular degeneration.

60. (**Currently Amended**) The method of claim <u>50</u> <del>51</del>-wherein the angiogenic eye disorder is diabetic retinopathy.

61. (**Currently Amended**) The method of claim <u>50</u> <del>51</del>, wherein the angiogenic eye disorder is diabetic macular edema.

62. (Previously Presented) The method of claim 59 wherein all doses of VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

### Atty Dkt. No.: REGN-008CIPCON5 USSN: 16/397,267

63. (Previously Presented) The method of claim 59 wherein all doses of VEGF antagonist comprise 2.0 mg of the VEGF antagonist.

64. (New) The method of claim 24 wherein exclusion criteria for the patient include (1) active intraocular inflammation; or (2) active ocular or periocular infection.

65. (New) The method of claim 29 wherein exclusion criteria for the patient include (1) active intraocular inflammation; or (2) active ocular or periocular infection.

66. (New) The method of claim 36 wherein exclusion criteria for the patient include (1) active intraocular inflammation; or (2) active ocular or periocular infection.

67. (New) The method of claim 43 wherein exclusion criteria for the patient include (1) active intraocular inflammation; or (2) active ocular or periocular infection.

68. (New) The method of claim 52 wherein exclusion criteria for the patient include (1) active intraocular inflammation; or (2) active ocular or periocular infection.

#### REMARKS

### **Formal Matters**

Claims 21-50 and 52-68 remain pending.

Claims 1-20 were previously canceled and claim 51 is canceled here without prejudice.

Claims 21, 26, 28, 29, 33, 35, 36, 40, 42, 43, 47, 49, 50, 53 and 58-61 have been amended.

Support for the amended claims can be found throughout the originally filed specification.

New claims 64-68 have been added. Support for the newly added claims can be found through the originally filed specification.

No new matter has been added.

### Statement under 37 C.F.R. §§1.56 and 1.2

Applicants hereby advise the Examiner of the status of a co-pending application in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 (see also MPEP §2001.06(b)) as discussed in *McKesson Info. Soln. Inc., v. Bridge Medical Inc.*, 487 F.3d 897; 82 USPQ2d 1865 (Fed. Cir. 2007).

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 13/940,370, filed July 12, 2013 which issued on February 9, 2016 as U.S. Patent 9,254,338.

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No. 14/972,560, filed December 17, 2015 which issued on June 6, 2017 as U.S. Patent No. 9,669,069.

The Applicant wishes to bring to the Examiner's attention U.S. Patent Application No.

15/471,506, filed March 28, 2017 which issued on November 20, 2018 as U.S. Patent No. 10,130,681.

The Applicant wishes to bring to the Examiner's attention co-pending U.S. Patent Application No. 16/055,847, filed August 6, 2018 for which a Notice of Allowance was mailed on July 22, 2020 and the Issue Fee was paid on October 8, 2020.

The Applicant wishes to bring to the Examiner's attention co-pending U.S. Patent Application No. 16/159,282, filed October 12, 2018 for which a Notice of Allowance was mailed on July 22, 2020 and the Issue Fee was paid on October 8, 2020.

The Applicant wishes to bring to the Examiner's attention co-pending U.S. Patent Application No. 17/072,417, filed October 16, 2020 for which no actions have yet been mailed.

These documents are available on PAIR, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR.

### **Non-Statutory Double Patenting**

Claims 21-63 were rejected on the ground of non-statutory obviousness-type double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 9,254,338.

Claims 21-63 were rejected on the ground of non-statutory obviousness-type double patenting as being unpatentable over claims 1-12 of U.S. Patent No. 9,669,069.

Claims 21-63 were rejected on the ground of non-statutory obviousness-type double patenting as being unpatentable over claims 1-12 of U.S. Patent No. 10,130,681.

Claims 21-63 were rejected on the ground of non-statutory obviousness-type double patenting as being unpatentable over claims 32-42 of co-pending U.S. Patent Application Serial No. 16/159,282.

Applicants do not acquiesce to the validity of any of these rejections. However, purely to expedite prosecution, applicants have attached hereto two (2) Terminal Disclaimers with respect to the three patents and one application thereby rendering the rejections moot.

Atty Dkt. No.: REGN-008CIPCON5 USSN: 16/397,267

### CONCLUSION

Applicants submit that all the claims are in condition for allowance, which action is requested. If the Examiner finds that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number provided.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: October 21, 2020

By: <u>/Karl Bozicevic, Reg. No. 28,807/</u> Karl Bozicevic Reg. No. 28,807

Attachment: (1) Terminal Disclaimer re three patents (2) Terminal Disclaimer re one patent application

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unles	s it displays a valid OMB control number.				
TERMINAL DISCLAIMER TO OBVIATE A PROVISIONAL DOUBLE PATENTING	Docket Number (Optional)				
<b>REJECTION OVER A PENDING "REFERENCE" APPLICATION</b>	REGN-008CIPCON5				
In re Application of: Yancopoulos, George D.					
Application No.: 16/397,267					
Filed: April 29, 2019					
For: Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders					
The owner, <b>Regeneron Pharmaceuticals, Inc.</b> , of <b>100%</b> percent interest in the instant application hereby of below, the terminal part of the statutory term of any patent granted on the instant application which would e the full statutory term of any patent granted on pending <b>reference</b> Application Number <b>16/159,282</b> , filed <b>Oc</b> patent granted on said <b>reference</b> application may be shortened by any terminal disclaimer filed prior to the <b>reference</b> application. The owner hereby agrees that any patent so granted on the instant application shall such period that it and any patent granted on the <b>reference</b> application are commonly owned. This agreem the instant application and is binding upon the grantee, its successors or assigns.	xtend beyond the expiration date of tober 12, 2018, as the term of any grant of any patent on the pending be enforceable only for and during				
In making the above disclaimer, the owner does not disclaim the terminal part of any patent granted on the instant application that would extend to the expiration date of the full statutory term of any patent granted on said <b>reference</b> application, "as the term of any patent granted on said <b>reference</b> application may be shortened by any terminal disclaimer filed prior to the grant of any patent on the pending <b>reference</b> application;" in the event that: any such patent: granted on the pending <b>reference</b> application: expires for failure to pay a maintenance fee, is held unenforceable, is found invalid by a court of competent jurisdiction, is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321, has all claims canceled by a reexamination certificate, is reissued, or is in any manner terminated prior to the expiration of its full statutory term as shortened by any terminal disclaimer filed prior to its grant.					
Check either box 1 or 2 below, if appropriate.					
1. For submissions on behalf of a business/organization (e.g., corporation, partnership, university, g etc.), the undersigned is empowered to act on behalf of the business/organization.	jovernment agency,				
I hereby declare that all statements made herein of my own knowledge are true and that all state belief are believed to be true; and further that these statements were made with the knowledge that willful fa made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States ( statements may jeopardize the validity of the application or any patent issued thereon.	alse statements and the like so				
2. 🔀 The undersigned is an attorney or agent of record. Reg. No. 28,807					
/Karl Bozicevic, Reg. No. 28,807/	21 October 2020				
Signature	Date				
Karl Bozicevic, Reg. No. 28,807 Typed or printed name					
	CEO 000 770E				
	650-833-7735 Telephone Number				
Terminal disclaimer fee under 37 CFR 1.20(d) is included.					
WARNING: Information on this form may become public. Credit card informat be included on this form. Provide credit card information and authorization					
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this statement. See MPEP § 324.					
This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the p to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is es including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending up	stimated to take 12 minutes to complete,				

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to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Patent Application Fee Transmittal						
Application Number:	16	16397267				
Filing Date:	29-	Apr-2019				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Filer:	Kai	l Bozicevic/Kimberl	y Zuehlke			
Attorney Docket Number:	RE	GN-008CIPCON5				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
CLAIMS IN EXCESS OF 20         1202         4         100         400					400	
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension-of-Time:						
Extension - 3 months with \$0 paid	1253	1	1480	1480		
Miscellaneous:	Miscellaneous:					
STATUTORY OR TERMINAL DISCLAIMER	1814	2	170	340		
	Tot	al in USD	(\$)	2220		

Electronic A	Electronic Acknowledgement Receipt					
EFS ID:	40910333					
Application Number:	16397267					
International Application Number:						
Confirmation Number:	8135					
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Customer Number:	96387					
Filer:	Karl Bozicevic/Kimberly Zuehlke					
Filer Authorized By:	Karl Bozicevic					
Attorney Docket Number:	REGN-008CIPCON5					
Receipt Date:	21-OCT-2020					
Filing Date:	29-APR-2019					
Time Stamp:	19:22:00					
Application Type:	Utility under 35 USC 111(a)					

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RAM confirmation Number	E20200KJ22388458
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Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
		0725-	74865		
1			74723844046d184fe4661b33d06ac13a83d a0a64	yes	10
	Mult	tipart Description/PDF files in .:	zip description	I	
	Document D	escription	Start	Eı	nd
	Amendment/Req. Reconsider	ation-After Non-Final Reject	1		1
	Clair	2		7	
	Applicant Arguments/Remar	ks Made in an Amendment	8	10	
Warnings:					
Information:					
		REGN-008CIPCON5_2020-10-21	25062		
2 Terminal	Terminal Disclaimer Filed	_Terminal_Disclaimer_Prior_Pa	16365b1b9b8a1408feea6b454407536143e e8a4d	no	1
Warnings:		-			
Information:					
			25250		
3	Terminal Disclaimer Filed	REGN-008CIPCON5_2020-10-21 _Terminal_Disclaimer_Prv_App ln.pdf	3a7651382620d19c69d7220bc0e8c443568 1abe4	no	1
Warnings:		-	I	I	
Information:					
			34534		
4	Fee Worksheet (SB06)	fee-info.pdf	42e8238b7abea613625542194941c95733a 9bf6d	no	2
Warnings:			<b>I</b>	I	
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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING

**REJECTION OVER A "PRIOR" PATENT** 

Docket Number (Optional)

**REGN-008CIPCON5** 

In re Application of: Yancopoulos, George D.

Application No.: 16/397,267

Filed: April 29, 2019

For: Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders

The owner, Regeneron Pharmaceuticals, Inc., of 100% percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent Nos. 9,254,338; 9,669,069; and 10,130,681 as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patents are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patents, "as the term of said prior patents is presently shortened by any terminal disclaimer," in the event that said prior patents later:

expires for failure to pay a maintenance fee;

is held unenforceable:

is found invalid by a court of competent jurisdiction;

is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;

has all claims canceled by a reexamination certificate;

is reissued: or

is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

Check either box 1 or 2 below, if appropriate.

For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true: and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

The undersigned is an attorney or agent of record. Reg. No. 28,807

/Karl Bozicevic, Reg. No. 28,807/	21 October 2020
Signature	Date
Karl Bozicevic, Reg. No. 2	28,807
Typed or printed nam	e
	650-833-7735
	Telephone Number
Terminal disclaimer fee under 37 CFR 1.20(d) included.	
WARNING: Information on this form may become public. Created be included on this form. Provide credit card information and	
*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the a Form PTO/SB/96 may be used for making this certification. See MPEP § 324.	assignee (owner).

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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								to a collection of informat or Docket Number	ion unless it displays a Filing Date	a valid OMB control number.
PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875								5/397,267	04/29/2019	To be Mailed
ENTITY: 🗹 LARGE 🗌 SMALL 🗌 MICRO										
			(	Column 1		(Column 2)	<u> </u>			
	FOR			· / •		NUMBER EXTRA		RATE (\$)		FEE (\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))			N/A			N/A		N/A		
SEARCH FEE (37 CFR 1.16(k), (i), or (m))			N/A			N/A		N/A		
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))			N/A		N/A		N/A			
TOTAL CLAIMS (37 CFR 1.16(i))			minus 20 = *				x \$100 =			
	EPENDENT CLAIM DFR 1.16(h))	S	minus 3 = *					x \$460 =		
APPLICATION SIZE FEE (37     CFR 1.16(s))			If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).				\$155 or			
	MULTIPLE DEPENI	DENT CLAI	M PRE	SENT (37	CFR 1.16(j))					
* If the difference in column 1 is less than zero, enter "0" in column 2.								TOTAL		
					APPLICAT	ION AS AMEI	NDED - PA	RT II		
		(Colum	n 1)		(Column 2)	(Column 3	)			
ыт	10/21/2020	CLAIMS REMAINING AFTER AMENDMENT			HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDIT	IONAL FEE (\$)
W	Total (37 CFR 1.16(i))	* 47		Minus	** 43	= 4		x \$100 =		400
AMENDMENT	Independent (37 CFR 1.16(h))	* 5		Minus	*** 5	= 0		x \$480 =		0
AN	Application Size Fee (37 CFR 1.16(s))									
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))									
								TOTAL ADD'L FE	E	400
		(Colum			(Column 2)	(Column 3	)			
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	Independent (37 CFR 1.16(h))			Minus	***	=		x \$0 =		
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1	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						R			
								TOTAL ADD'L FEE		
* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.							SLIE			
** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".							/ERIC A DANTZLER/			
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<i>Application Number</i> * 16/397,267 *	Application/Control No.		Applicant(s)/Patent under Reexamination		
10/001,201	16/397,267		YANCOPOULOS, George D.		
	Examiner		Art Unit		
	LOCKARD, JON N	ICCLELLAND	1647		
Document Code - DISQ	Internal Document - DO NOT MAIL				

TERMINAL DISCLAIMER	☑ APPROVED	
Date Filed: 21 October 2020	This patent is subject to a Terminal Disclaimer	

Approved/Disapproved by:						
/JEAN PROCTOR/						
Technology Center: OPLC						
Telephone: <u>(571)272-1040</u>						
<u>2 td's</u>						

U.S. Patent and Trademark Office TSS-IFW

**Terminal Disclaimer** 

Part of Paper No. 20201023



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### NOTICE OF ALLOWANCE AND FEE(S) DUE

96387 7590 11/12/2020 Regeneron - Bozicevic, Field & Francis 201 REDWOOD SHORES PARKWAY SUITE 200 REDWOOD CITY, CA 94065 EXAMINER

LOCKARD, JON MCCLELLAND

ART UNIT PAPER NUMBER

DATE MAILED: 11/12/2020

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/397,267	04/29/2019	George D. YANCOPOULOS	REGN-008CIPCON5	8135

TITLE OF INVENTION: USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1200	\$0.00	\$0.00	\$1200	02/12/2021

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD</u> <u>CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

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II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

#### INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. Certificate of Mailing or Transmission 96387 7590 11/12/2020 I hereby certify that this Fee(s) Transmittal is being deposited with the United Regeneron - Bozicevic, Field & Francis States Postal Service with sufficient postage for first class mail in an envelope 201 REDWOOD SHORES PARKWAY addressed to the Mail Stop ISSUE FEE address above, or being transmitted to the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. SUITE 200 (Typed or printed name REDWOOD CITY, CA 94065 (Signature (Date APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 16/397.267 04/29/2019 George D. YANCOPOULOS REGN-008CIPCON5 8135 TITLE OF INVENTION: USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS APPLN. TYPE ENTITY STATUS **ISSUE FEE DUE** PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE UNDISCOUNTED \$1200 \$0.00 \$0.00 \$1200 02/12/2021 nonprovisional EXAMINER ART UNIT CLASS-SUBCLASS 1647 LOCKARD, JON MCCLELLAND 424-134100 1. Change of correspondence address or indication of "Fee Address" (37 2. For printing on the patent front page, list CFR 1.363). (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. (2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) : 🗖 Individual 📮 Corporation or other private group entity 📮 Government Issue Fee Publication Fee (if required) Advance Order - # of Copies 4a. Fees submitted: 4b. Method of Payment: (Please first reapply any previously paid fee shown above) Electronic Payment via EFS-Web Enclosed check Non-electronic payment by credit card (Attach form PTO-2038) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken Applicant asserting small entity status. See 37 CFR 1.27 to be a notification of loss of entitlement to micro entity status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro Applicant changing to regular undiscounted fee status. entity status, as applicable. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. Authorized Signature Date Typed or printed name Registration No.

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web.

By mail, send to: Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 By fax, send to: (571)-273-2885

U.S. PAPOTEX VEREGENERON PERSENTATION 524 COMMERCE REGENERON EXHIBIT 2009 PAGE 290

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		United Stat Address: COD P.O. I Alexa	ATES DEPARTMENT OF COM es Patent and Trademark Of MMISSIONER FOR PATENTS 30x 1450 ndria, Virginia 22313-1450 uspto.gov	
APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/397,267	04/29/2019	George D. YANCOPOULOS	REGN-008CIPCON5	8135
96387 7	590 11/12/2020		EXAM	IINER
Regeneron - Boz	cicevic, Field & Franc	vis	LOCKARD, JON	MCCLELLAND
	SHORES PARKWAY		ART UNIT	PAPER NUMBER
SUITE 200 REDWOOD CITY	(, CA 94065		1647	
			DATE MAILED: 11/12/202	0

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use to a Federal State or local law enforcement agency, if the USPTO becomes aware of a violation or neterillar the state of the

	Application No.	Applicant(s	)
	16/397,267	YANCOPOL	JLOS, George D.
Notice of Allowability	Examiner	Art Unit	AIA (FITF) Status
	JON M LOCKARD	1647	No

All claims being allowable, PROSECUTION ON THE ME herewith (or previously mailed), a Notice of Allowance (P	RITS IS (OR REMA TOL-85) or other ar <b>TENT RIGHTS.</b> Th	ppropriate communication will be mailed in due course. <b>THIS</b> is application is subject to withdrawal from issue at the initiative
1. This communication is responsive to the Amendme		
2. An election was made by the applicant in response restriction requirement and election have been inco		
	pating intellectual p	e allowed claim(s), you may be eligible to benefit from the property office for the corresponding application. For more <b>oph/index.jsp</b> or send an inquiry to
4. Acknowledgment is made of a claim for foreign price	ority under 35 U.S.C	C. § 119(a)-(d) or (f).
Certified copies:		
a) 🗌 All b) 🗍 Some *c) 🗌 None of the	e:	
<ol> <li>Certified copies of the priority docume</li> <li>Certified copies of the priority docume</li> </ol>		
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* Certified copies not received:		
Applicant has THREE MONTHS FROM THE "MAILING noted below. Failure to timely comply will result in ABA THIS THREE-MONTH PERIOD IS NOT EXTENDABL	ANDONMENT of th	mmunication to file a reply complying with the requirements is application.
5. CORRECTED DRAWINGS (as "replacement sheet	ts") must be submit	tted.
including changes required by the attached Ex Paper No./Mail Date		
Identifying indicia such as the application number (see sheet. Replacement sheet(s) should be labeled as such		ould be written on the drawings in the front (not the back) of each rding to 37 CFR 1.121(d).
6. DEPOSIT OF and/or INFORMATION about the dep attached Examiner's comment regarding REQUIRE		
Attachment(s)		
1. Notice of References Cited (PTO-892)		5. 🗹 Examiner's Amendment/Comment
2. ✓ Information Disclosure Statements (PTO/SB/08),		6. 🗌 Examiner's Statement of Reasons for Allowance
Paper No./Mail Date 3. Examiner's Comment Regarding Requirement for D	Deposit	7. 🗌 Other
of Biological Material 4. Interview Summary (PTO-413), Paper No./Mail Date		
/J.L/	I	/CHRISTINE J SAOUD/
Examiner, Art Unit 1647		Primary Examiner, Art Unit 1647
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)	Notice of Allowab	Part of Paper No./Mail Date 20201102

Continuation of 3. The allowed claim(s) is/are: 21-50 and 52-68 (renumbered as claims 1-2,7-8,3-6,10-16,18 -19,21-22,20,23-24,26-32,34-35,37-43,46-47,44-45,9,17,25,33 and 36, respectively)

### Notice of Pre-AIA or AIA Status

1. The present application is being examined under the pre-AIA first to invent provisions.

### **DETAILED ACTION**

#### Status of Application, Amendments, and/or Claims

2. The Amendment filed 21 October 2020 has been received and entered in full. Claims 21, 26, 28-29, 33, 35-36, 40, 42-43, 47, 49-50, 53 and 58-61 have been amended, claim 451 has been cancelled, and claims 64-68 have been added. Newly presented claims 64-68 will be examined as they fit under the rubric of the elected invention. Therefore, claims 21-50 and 52-68 are pending and the subject of this Office Action.

3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.

### Information Disclosure Statement

4. The information disclosure statements (IDS) submitted on 30 June 2020 and 16 July 2020 have been considered by the examiner.

### Terminal Disclaimer

5. The terminal disclaimers filed on 21 October 2020 disclaiming the terminal portion of any patent granted on this application which would extend beyond the expiration date of **U.S. Patent Application Nos. 9,254,338, 9,669,069 and 10,130,681, and U.S. Patent Application No. 16/159,282** have been reviewed and are accepted. The terminal disclaimers have been recorded.

### Withdrawn Objections and/or Rejections

6. The rejection of claims 21-63 on the ground of nonstatutory obviousness-type double patenting as set forth at pp. 2-6 of the previous Office action (mailed 12 May 2020) is withdrawn in view of Applicant's submission of a terminal disclaimer, and the cancellation of claim 51 (filed 21 October 2020).

### Summary

7. Claims 21-50 and 52-68 are allowed.

### **Advisory Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to **Jon M. Lockard** whose telephone number is (**571**) **272-2717**. The examiner can normally be reached on Monday through Friday, 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, **Joanne Hama**, can be reached on (571) 272-2911. The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

> /Christine J Saoud/ Primary Examiner, Art Unit 1647

/J.L/ Examiner, Art Unit 1647 November 2, 2020



Application/Control No.	Applicant(s)/Patent Under Reexamination
16/397,267	YANCOPOULOS, George D.
Examiner	Art Unit
JON M LOCKARD	1647

CPC - Searched*		
Symbol	Date	Examiner

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*			
Class	Subclass	Date	Examiner
NONE		05/06/2020	JML

\* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes		
Search Notes	Date	Examiner
EAST (USPAT, US-PGPUB, EPO, DERWENT): See attached search history.	05/06/2020	JML
STN (MEDLINE, SCISEARCH, EMBASE, BIOSIS): See attached search history.	05/06/2020	JML
PALM: Inventor search.	05/06/2020	JML

Interference Search			
US Class/CPC Symbol	US Subclass/CPC Group	Date	Examiner
	EAST (USPAT, US-PGPUB, EPO, DERWENT): See attached search history.	11/02/2020	JML
	PALM: Inventor search.	11/02/2020	JML

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/397,267	YANCOPOULOS, George D.
	Examiner	Art Unit
	JON M LOCKARD	1647

CPC				
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A61K	38	179	F	2013-01-01
C07K	/ 16	22	1	2013-01-01
C07K	14	71	I	2013-01-01
A61K	9	0048	I	2013-01-01
A61K	2039	505	А	2013-01-01
C07K	2319	30	А	2013-01-01
C07K	2319	32	А	2013-01-01

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

/JON M LOCKARD/ Examiner, Art Unit 1647	02 November 2020	Total Claims	s Allowed:	
(Assistant Examiner)	(Date)	47		
/CHRISTINE J SAOUD/ Primary Examiner, Art Unit 1647	06 November 2020	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	NONE	
U.S. Patent and Trademark Office		Pari	t of Paper No.: 20201102	

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/397,267	YANCOPOULOS, George D.
	Examiner	Art Unit
	JON M LOCKARD	1647

INTERNATIONAL CLASSIFI	ICATION		
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A61K	38	17	
C07K	/ 14	71	
C07K	/ 19	00	

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### NON-CLAIMED

US ORIGINAL CLASSIFICATION	
CLASS	SUBCLASS
CROSS REFERENCES(S)	

1

CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)					

/JON M LOCKARD/ Examiner, Art Unit 1647	02 November 2020	Total Claim	s Allowed:	
(Assistant Examiner)	(Date)	47		
/CHRISTINE J SAOUD/ Primary Examiner, Art Unit 1647	06 November 2020	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	NONE	
U.S. Patent and Trademark Office		Par	t of Paper No.: 20201102	

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	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/397,267	YANCOPOULOS, George D.
	Examiner	Art Unit
	JON M LOCKARD	1647

	□ Claims renumbered in the same order as presented by applicant □ CPA ☑ T.D. □ R.1.47							7							
CLAIM	CLAIMS														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
1	21	11	30	22	39	31	48	42	58	33	67				
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7	23	13	32	23	41	34	50	46	60						
8	24	14	33	24	42	35	52	47	61						
3	25	15	34	26	43	37	53	44	62						
4	26	16	35	27	44	38	54	45	63						
5	27	18	36	28	45	39	55	9	64						
6	28	19	37	29	46	40	56	17	65						
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/JON M LOCKARD/ Examiner, Art Unit 1647	02 November 2020	Total Claims Allowed:		
(Assistant Examiner)	(Date)	47		
/CHRISTINE J SAOUD/ Primary Examiner, Art Unit 1647	06 November 2020	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	NONE	
U.S. Patent and Trademark Office		Par	t of Paper No.: 20201102	

## **Inventor Information for 16/397267**

/J.L./

Inventor Name	City	State/Country	
YANCOPOULOS, GEORGE D.	YORKTOWN HEIGHTS	NEW YORK	
Apple late Coments Petition Info AttyrAgent Info Commun	ty Data Frieign Data Inventors Applicants Adm	ass (Fees Post Into Pre Gr.	
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### **EAST Search History**

### EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	2,688	(flt1 or vegfr1 or (vegf adj r1)) same ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Flt4 vegfr3 or (vegf adj r3)))	USPAT	OR	ON	2020/11/02 21:43
L2	676	l1 and ((chimer\$ or fusion) same vegf)	USPAT	OR	ON	2020/11/02 21:43
L3	247	<pre>I1 same ((chimer\$ or fusion) same vegf)</pre>	USPAT	OR	ON	2020/11/02 21:43
L4	2,629	(flt1 or vegfr1 or (vegf adj r1)) with ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Flt4 vegfr3 or (vegf adj r3)))	USPAT	OR	ON	2020/11/02 21:44
L5	126	l4 with ((chimer\$ or fusion) with vegf)	USPAT	OR	ON	2020/11/02 21:44
L6	915	(14 "158") and ((eye or ocular or retina\$ or macular) with disorder)	USPAT	OR	ON	2020/11/02 21:44
L7	855	(l4 l5) and ((eye or ocular or retina\$ or macular) with disorder)	USPAT	OR	ON	2020/11/02 21:44
L8	115	(13 15) and ((eye or ocular or retina\$ or macular) with disorder)	USPAT	OR	ON	2020/11/02 21:45
L9	10	(I3 I5) same ((eye or ocular or retina\$ or macular) with disorder)	USPAT	OR	ON	2020/11/02 21:46
L10	162	yancopoulos-g\$.in.	USPAT	OR	ON	2020/11/02 21:46
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					First Named Inventor   (		George D. Yancopoulos		
I SI	AIE	MENT BY AP	PLI	CANI	Art Unit		1647		
					Examine	<sup>r</sup> Name	Jon M	IcClelland Lockard	
Sheet	t 1 of 2		Attorney	Docket Number	REGN	I-008CIPCON5			
				U.S. I	PATENT [	DOCUMENTS			
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	U.S. PATENT APPLICATION PUBLICATIONS												
Examiner Initial*	Cite No.	Publication Number	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant								
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	FOREIGN PATENT DOCUMENTS													
Examiner Initial*	Cite No.	Foreign Document Number Country Code-Number-Kind Code ( <i>if</i> known)	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т								
	1													
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		NON PATENT LITERATURE DOCUMENTS	
Examin er Initials*	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	1	Bayer Investor News, "VEGF Trap-Eye: New Data Confirm Successes in the Treatment of Age-related Macular Degeneration" (September 28, 2008)	
	2	Regeneron Press Release "Positive Interim Phase 2 Data Reported For VEGF Trap-Eye In Age-Related Macular Degeneration" (March 27, 2007)	
	3	Regeneron Press Release "VEGF TRAP-Eye Phase 2 Wet AMD Results Reported At Arvo Annual Meeting" (May 9, 2007)	
	4	Regeneron Press Release "Regeneron Reports Second Quarter Financial And Operating Results" (August 1, 2007)	
	5	Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer Healthcare Initiate Phase 3 Global Development Program for VEGF Trap-Eye In Wet Age-Related Macular Degeneration (AMD)" (August 2, 2007)	
	6	Regeneron Press Release "Regeneron Announces Positive Primary Endpoint Results From A Phase 2 Study Of VEGF Trap-Eye In Age-Related Macular Degeneration" (October 1, 2007)	
	7	Regeneron Press Release "Regeneron Reports Fourth Quarter And Full Year 2007 Financial And Operating Results" (February 27, 2008)	
	8	Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer HealthCare Announce Encouraging 32-Week Follow-up Results from a Phase 2 Study of VEGF Trap-Eye in Age-Related Macular Degeneration" (April 28, 2008)	
	9	Regeneron Pharmaceuticals, Inc., "Regeneron and Bayer HealthCare Announce VEGF Trap-Eye Achieved Durable Improvement in Vision over 52 Weeks in a Phase 2 Study in Patients with Age-related Macular Degeneration" (August 19, 2008)	

Examiner	Date	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. APOTEX V. REGENERON IPR2022-01524

REGENERON EXHIBIT 2009 PAGE 304 all references considered except where lined through. /j.l/

					Application Number	16/397,267						
	<u> </u>				Application Number Filing Date	April 29, 2019						
INF	ORM	ATION DISC	LOSU	JRE	First Named Inventor	George D. Yancopoulos						
ST	ATEN	IENT BY AP	PLICA	NT	Art Unit	1647						
					Examiner Name	Jon McClelland Lockard						
Sheet		2										
			N	ION PATE	INT LITERATURE DOC	UMENTS						
Examin er Initials*	Unter Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book,											
	10				Inc. "Regeneron Repo Its" (February 26, 200	orts Full Year and Fourth Quarter 200 9)	08					
	11					neron Extend Development Program Occlusion" (April 30, 2009)						
	12	VEGF Trap-E	ye Pha	se 3 Prog	ram In Central Retina	Regeneron And Bayer Healthcare Il Vein Occlusion" (July 23, 2009)						
	13	And Webcast	To Dise	cuss Res		November 22, 2010 Teleconference Studies With VEGF Trap-Eye In Wet 2010)						
	14					Start Phase 3 Trial To Extend For VEGF Trap-Eye In Asia" (Janua	ry					
	15	<b>v</b>			0	: Investor Briefing On VEGF Trap-Ey n Et" (February 9, 2011)	e					
	16					logics License Application To FDA F I Macular Degeneration" (February 2						
	17				egeneron And Bayer A Edema" (April 8, 2011)	Announce Start Of Phase 3 Clinical						
	18	Regeneron P	harmac	euticals,		rity Review for VEGF Trap-Eye for t	he					
	19				EGF Trap-Eye Submi Macular Degeneration	tted for EU Marketing Authorization on (June 7, 2011)"	for					
	20	Regeneron Pharmaceuticals, Inc., "Regeneron Announces EYLEA™ (aflibercept ophthalmic solution) Receives Unanimous Recommendation for Approval for Treatment of Wet AMD from FDA Advisory Committee" (June 17, 2011)										
	21	Regeneron P Annual Meeti				Clinical Presentations at ASRS 201	1					
	22	EYLEA™ Degeneration	8; (aflibe : CORF	ercept) Inj RECTED	ection for the Treatme (November 18, 2011)	ounces FDA Approval of ent of Wet Age-Related Macular						
	23	Program for t (November 28	he Trea 3, 2011	tment of ' )	Wet Age-Related Mac	Bayer Initiate Phase 3 Clinical cular Degeneration in China"						
	24		njection			ts of Phase 3 Studies with EYLEA™ mprovement in Visual Acuity"						

Examiner / JON M LOCKARD/ Signature	Date Considered	11/02/2020
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. APOTEX V. REGENERON IPR2022-01524

REGENERON EXHIBIT 2009 PAGE 305 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L/

		MATION DISC MENT BY AP			Filing Dat	ed Inventor	Georg 1647	7,267 9, 2019 e D. Yancopoulos cClelland Lockard		
Sheet		1	of	5	Attorney Docket Number		REGN	REGN-008CIPCON5		
						OCUMENTS				
Examiner	Cite	Patent Numb	er		e Date	Name of Patente		Pages, Columns, Lines, Where		
Initial*	No.	Number-Kind Code (if kr	own)	<u> </u>	MM-DD	Applicant of Cited Do	ocument	Relevant Passages or Relevant Figures Appear		
	1									

	U.S. PATENT APPLICATION PUBLICATIONS										
Examiner Initial*	Cite No.	Publication Number	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant						
		Number-Kind Code (if known)			Figures Appear						
	1	2019/0290725	2019-09-26	Vitti et al.							

	FOREIGN PATENT DOCUMENTS											
Examiner	Cite	Foreign Document Number	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures	т						
Initial*	No.	known)			Appear							
	1	WO 2004/106378 A2	2004-12-09	Regeneron								
		WC 2004/100378 A2	2004-12-09	Pharmaceuticals, Inc.								
	2	WO 2005/000895 A2	2005-01-05	Regeneron								
	Z	WO 2005/000695 AZ	2005-01-05	Pharmaceuticals, Inc.								

NON PATENT LITERATURE DOCUMENTS								
Examin er Initials*	Cite No.	clude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, agazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or buntry where published.						
Image: BENZ et al. "CLEAR-IT-2: Interim Results Of The Phase II, Randomized, Controlled Dose- and Interval-ranging Study Of Repeated Intravitreal VEGF Trap Administration In Patients With Neovascular Age-related Macular Degeneration (AMD)" ARVO Annual Meeting Abstract (May 2007)								
2 DO et al. "Results of a Phase 1 Study of Intravitreal VEGF Trap in Subjects with Diabetic Macular Edema: The CLEAR-IT DME Study" ARVO Annual Meeting Abstract (May 2007)								
	DO et al. "VEGF Trap-Eye Vision-specific Quality of Life through 52 Weeks in Patients with Neovascular AMD in CLEAR-IT 2: A Phase 2 Clinical Trial" ARVO Annual Meeting Abstract (April 2009)							
	4	HALLER et al., "VEGF Trap-Eye In CRVO: Primary Endpoint Results of the Phase 3 COPERNICUS Study" ARVO Annual Meeting Abstract (April 2011)						
	<ul> <li>HEIER et al., "CLEAR-IT 2: Phase 2, Randomized Controlled Dose and Interval-Ranging Study of Intravitreal VEFG Trap Eye in Patients with Neovascular Age-Related Macular Degeneration: Predictive Factors for Visual Acuity" ARVO Annual Meeting Abstract (April 2009)</li> </ul>							
	<ul> <li>HEIER et al., "The 1-year Results of CLEAR-IT 2, a Phase 2 Study of Vascular</li> <li>Endothelial Growth Factor Trap-Eye Dosed As-needed After 12-week Fixed Dosing" Ophthalmology 2011;118:1098–1106 (June 2011)</li> </ul>							
	<ul> <li>HEIER et al., "The 1-year Results of CLEAR-IT 2, a Phase 2 Study of Vascular</li> <li>Endothelial Growth Factor Trap-Eye Dosed As-needed After 12-week Fixed Dosing: Erratum" Ophthalmology 2011;118:1700 (September 2011)</li> </ul>							
<ul> <li>Information from ClinicalTrials.gov archive History of Changes for Study: NCT00320775</li> <li>"Safety and Tolerability of Intravitreal Administration of VEGF Trap in Patients With Neovascular Age-Related Macular Degeneration" 70 pages, Latest version submitted June 8, 2011 on ClinicalTrials.gov (NCT00320775_2006-2011)</li> </ul>								
Examir Signati		Date Considered						

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. APOTEX V. REGENERON IPR2022-01524

INFORMATION DISCLOSURE STATEMENT BY APPLICANT					Application Number Filing Date First Named Inventor Art Unit		16/397,267 April 29, 2019 George D. Yancopoulos 1647			
					Examiner Name		Jon McClelland Lockard			
Sheet		2	of	5	Attorney Docket Num	ber	REGN-008CIPCON5			
			NOI		NT LITERATURE DO	CUMEN	TS			
Examin er Initials*	Cite No. Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.									
	<ul> <li>Information from ClinicalTrials.gov archive History of Changes for Study: NCT00320775</li> <li>"Safety and Tolerability of Intravitreal Administration of VEGF Trap in Patients With Neovascular Age-Related Macular Degeneration" 10 pages, Latest version submitted March 16, 2015 on ClinicalTrials.gov (NCT00320775_2015)</li> </ul>									
	10	Information from ClinicalTrials.gov archive History of Changes for Study: NCT00320788 "Safety and Efficacy of Repeated Intravitreal Administration of Vascular Endothelial Growth Factor (VEGF) Trap in Patients With Wet Age-Related Macular Degeneration (AMD)" 71 pages, Latest version submitted December 1, 2011 on ClinicalTrials.gov (NCT00320788 2006-2011)								
	11	<ul> <li>(AMD)" 31 pages, Latest version submitted January 27, 2012 on ClinicalTrials.gov</li> <li>(NCT00320788_2012)</li> <li>Information from ClinicalTrials.gov archive History of Changes for Study: NCT00320814</li> </ul>								
	12									
	13	Information from ClinicalTrials.gov archive History of Changes for Study: NCT00509795 "Double-Masked Study of Efficacy and Safety of IVT VEGF Trap-Eye in Subjects With Wet AMD (VIEW 1)" 318 pages, Latest version submitted December 1, 2011 on ClinicalTrials.gov (NCT00509795_2007-2011)								
	14	Information from ClinicalTrials.gov archive History of Changes for Study: NCT00509795 "Double-Masked Study of Efficacy and Safety of IVT VEGE Trap-Eve in Subjects With								
	<ul> <li>Information from ClinicalTrials.gov archive History of Changes for Study: NCT00527423</li> <li>"Randomized, Single-Masked, Long-Term, Safety and Tolerability Study of VEGF Trap- Eye in AMD" 64 pages, Latest version submitted November 1, 2011 on ClinicalTrials.gov (NCT00527423_2007-2011)</li> </ul>									
	16	Information from ClinicalTrials.gov archive History of Changes for Study: NCT00527423 "Randomized, Single-Masked, Long-Term, Safety and Tolerability Study of VEGF Trap- Eye in AMD" 42 pages, Latest version submitted June 10, 2013 on ClinicalTrials.gov (NCT00527423_2012-2013)								
	<ul> <li>Information from ClinicalTrials.gov archive History of Changes for Study: NCT00637377</li> <li>"Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD) (VIEW 2)" 667 pages, Latest version submitted December 16, 2011 on ClinicalTrials.gov (NCT00637377_2008-2011)</li> </ul>									
	18	"Vascula Safety in	ar Endothelial G n Wet Age-Rela	arowth F	actor (VEGF) Trap cular Degeneration	o-Eye: In (AMD) (	nges for Study: NCT00637377 vestigation of Efficacy and VIEW 2)" 289 pages, Latest v (NCT00637377_2012-2014)			

		Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

APOTEX V. REGENERON IPR2022-01524

REGENERON EXHIBIT 2009 PAGE 307 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L/

			Application Number 16/397,267					
INF	<b>DRM</b>	ATION DISCLOSURE	Filing Date	April 29, 201				
STA	TEM	IENT BY APPLICANT	First Named Inventor Art Unit	George D. Y	ancopoulos			
0.7			Examiner Name	1647 Jon McClella	and Lookard			
Sheet		3 of 5	Attorney Docket Number	REGN-008C				
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		NON PATE	NT LITERATURE DOCUM	IENTS				
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er Initials*	No.	Include name of the author (in CAPITAI magazine, journal, serial, symposium, c						
		country where published.				$\vdash$		
		Information from ClinicalTrials.						
	19	"DME And VEGF Trap-Eye [Int						
		INvestigation of Clinical Impac 2011 on ClinicalTrials.gov (NC			n submitted May 2,			
		Information from ClinicalTrials.						
		"DME And VEGF Trap-Eye [In						
	20	INvestigation of Clinical Impac						
		28, 2014 on ClinicalTrials.gov			oublinitiou / laguot			
		Information from ClinicalTrials.			Idv: NCT00943072			
	04	"Vascular Endothelial Growth I						
	21	Safety in Central Retinal Vein						
		9, 2011 on ClinicalTrials.gov (N	VCT00943072_2009-20	11)	-			
		Information from ClinicalTrials.						
	22	"Vascular Endothelial Growth I						
	22	Safety in Central Retinal Vein			ersion submitted			
		April 16, 2013 on ClinicalTrials						
		MAJOR et al., "DA VINCI: DMI						
	23 Phase 2 Study in Patients with Diabetic Macular Edema (DME)" ARVO Annual Meeting							
	Abstract (April 2010) NGUYEN et al., "Randomized, Double-masked, Active-controlled Phase 3 Trial of the							
	24							
	24	Efficacy and Safety of Intravitre VIEW 1 Study" ARVO Annual I			year nesults of the			
		NGUYEN et al., "Results of a F			ability and			
	25	Bioactivity Study of Intravitreou						
	20	Macular Degeneration" ARVO			Salar Age Holated			
	26	Regeneron SEC Form 10-K (F		51 (111a) 2000/		<u> </u>		
	27	Regeneron SEC Form 10-K (F	ebruary 26, 2009)			1		
	28	Regeneron SEC Form 10-K (F	ebruary 17, 2011)					
	29	Regeneron SEC Form 10-Q (N	lay 8, 2006)					
	30	Regeneron SEC Form 10-Q (A	ugust 8, 2006)					
	31	Regeneron SEC Form 10-Q (N	lovember 6, 2006)					
	32	Regeneron SEC Form 10-Q (N	<b>/</b> ay 4, 2007)					
	33	Regeneron SEC Form 10-Q (A	ugust 3, 2007)					
	34	Regeneron SEC Form 10-Q (A	vpril 30, 2009)					
	35	Regeneron SEC Form 10-Q (N	lovember 3, 2009)					
	36	Regeneron SEC Form 10-Q (	April 29, 2010)					
	37 Regeneron SEC Form 10-Q (July 28, 2010)							
	38	Regeneron SEC Form 10-Q (	October 28, 2010)					
	39	Regeneron SEC Form 10-Q (N	/lay 3, 2011)					
	40	Regeneron SEC Form 10-Q (J	uly 28, 2011)					
	41	Regeneron SEC Form 10-Q (C	October 27, 2011)					
Examin	or			Date				
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. APOTEX V. REGENERON IPR2022-01524

			Application Number	16/397,267				
		ATION DISCLOSURE	Filing Date	April 29, 2019				
			First Named Inventor	George D. Yancopoulos				
SIA	IEN	IENT BY APPLICANT	Art Unit	1647				
			Examiner Name	Jon McClelland Lockard				
Sheet		4 of 5	Attorney Docket Number	REGN-008CIPCON5				
	-	NON PAT	ENT LITERATURE DOCU	MENTS				
Examin er Initials*	Cite No.			T when appropriate), title of the item (book, volume-issue number(s), publisher, city and/or				
	42	Regeneron SEC Form 8-K E dated May 1, 2006" (May 2, 2		Regeneron Pharmaceuticals, Inc.				
	43	Regeneron SEC Form 8-K E dated May 3, 2006" (May 5, 2		Regeneron Pharmaceuticals, Inc.				
	44	Regeneron SEC Form 8-K E Meeting of Shareholders held		at the Company's 2006 Annual 9, 2006)				
	45	Regeneron SEC Form 8-K E	xhibit: "Press Release da	ated May 2, 2007" (May 3, 2007)				
	46	Regeneron SEC Form 8-K E Meeting of Shareholders to b		esentation at Regeneron's Annual (June 8, 2007)				
	47	Regeneron SEC Form 8-K E 2007)	xhibit: "Press Release da	ated October 1, 2007" (October 1,				
	48	48 Regeneron SEC Form 8-K Exhibit: "Press Release dated November 6, 2007" (November 6, 2007)						
	49	Regeneron SEC Form 8-K E	xhibit: "Press Release da	ated May 1, 2008" (May 2, 2008)				
	50	Regeneron SEC Form 8-K E 4, 2008)	xhibit: "Press Release da	ted November 4, 2008" (November				
	<ul> <li>Regeneron SEC Form 8-K Exhibit: "99(a) Slides that Regeneron Pharmaceuticals, Inc.</li> <li>intends to use in conjunction with meetings with investors at the J.P. Morgan 27th Annual Healthcare Conference in San Francisco on January 12-15, 2009." (January 9, 2009)</li> </ul>							
	52			ated April 30, 2009" (May 1, 2009)				
	53			ated November 3, 2009." (November				
	<ul> <li>1, 2000</li> <li>Regeneron SEC Form 8-K Exhibit: "Press Release Reporting Positive Results for VEGF Trap-Eye in Phase 3 Study in Central Retinal Vein Occlusion (CRVO) and in Phase 2 Study in Diabetic Macular Edema (DME) dated December 20, 2010." (December 20, 2010)</li> </ul>							
	<ul> <li>55 Regeneron SEC Form 8-K Exhibit: "Press Release dated February 17, 2011" (Februar 18, 2011)</li> </ul>							
	56			eporting Positive Results for VEGF Vein Occlusion, dated April 27,				
	57	Regeneron SEC Form 8-K E	xhibit: "Press Release da	ated May 3, 2011." (May 3, 2011)				
	58	Regeneron SEC Form 8-K E EYLEA™ (aflibercept ophtha	xhibit: "Press Release, da Imic solution) Received l	ated June 17, 2011, Announcing that Jnanimous Recommendation for rry Committee." (June 21, 2011)				
	59	Regeneron SEC Form 8-K E year Results of the Phase 3		led VEGF Trap-Eye in CRVO: 1- ugust 22, 2011)				
	60	Regeneron SEC Form 8-K E EYLEA™ (aflibercept) Injecti Degeneration, dated Novem	on for the Treatment of V	Vet Age-Related Macular				

Examiner Signature	Date Considered	
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REGENERON EXHIBIT 2009 PAGE 309 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L/

			Application Number	16/397,267						
INF	ORM	ATION DISCLOSURE	Filing Date	April 29, 2019						
STATEMENT BY APPLICANT			First Named Inventor	George D. Yancopoulos						
517		ILMI DI AFFLICANI	Art Unit	1647						
haat		5 61 5	Examiner Name	Jon McClelland Lockard						
heet		5 of 5	Attorney Docket Number	REGN-008CIPCON5						
		NON PA	TENT LITERATURE DOCU	MENTS						
Examin er Initials*	Cite No.	Include name of the author (in CAFTTAL LETTERS). The of the anicle (when abbrochate), the of the tierry book.								
	<ul> <li>Regeneron Pharmaceuticals Inc., "CLEAR-IT-2: Interim Results Of The Phase II, Randomized, Controlled Dose-and Interval-ranging Study Of Repeated Intravitreal VEGF</li> <li>Trap Administration In Patients With Neovascular Age-related Macular Degeneration (AMD)" poster presented at the 2007 Association for Research in Vision and Ophthalmology meeting in Ft. Lauderdale, Florida (May 2007)</li> </ul>									
	62	Regeneron Pharmaceuticals Inc., "An Exploratory Study of the Safety, Tolerability and Biological Effect of a Single Intravitreal Administration of VEGF Trap in Patients with Diabetic Macular Edema" poster presented at the 2007 Association for Research in Vision and Ophthalmology meeting in Ft. Lauderdale, Florida (May 2007)								
	63	Regeneron Pharmaceuticals Inc., "Optical Coherence Tomography Outcomes of a Phase 1, Dose-Escalation, Safety, Tolerability, and Bioactivity Study of Intravitreal VEGF Trap in								
	64	<ul> <li>Regeneron Pharmaceuticals Inc., "VIEW 1 Vascular Endothelial Growth Factor (VEGF)</li> <li>Trap-Eye 1-Year Results: Investigation of Efficacy and Safety in Wet Age-Related</li> <li>Macular Degeneration (AMD) " presented at Bascom Palmer Eye Institute's Angiogenesis</li> <li>Exudation and Degeneration 2011 meeting in Miami, Florida (February 12, 2011)</li> </ul>								
	65	Regeneron Pharmaceuticals Inc., "VIEW 2 Vascular Endothelial Growth Factor (VEGF)								
	<ul> <li>Regeneron Pharmaceuticals Inc., "VEGF Trap-Eye CLEAR-IT 2 Final Primary Endpoint Results" presented at the 2007 Retina Society Conference in Boston, Massachusetts (September 30, 2007)</li> </ul>									
	67	Regeneron 2008 Annual Re	port							
	68	Regeneron 2009 Annual Re	port and 10-K							
	69	Regeneron 2010 Annual Re								
	70		•	n: Figg W.D., Folkman J. (eds)						
	71		ternational Phase III Study Using b in Patients with Wet AMD (VIEW							
	72	CLEAR-IT 2 Phase 2 Study Macular Degeneration" ARV	of Intravitreal VEGF Trap O Annual Meeting Abstra							
	Macular Degeneration" ARVO Annual Meeting Abstract (April 2010)         73       SLAKTER et al., "A Phase 2, Randomized, Controlled Dose-and Interval-Ranging Study of Intravitreal VEGF Trap-Eye in Patients with Neovascular Age-Related Macular Degeneration: Optical Coherence Tomography (OCT) and Fluorescein Angiography (FA) Outcomes at 1 Year" ARVO Annual Meeting Abstract (April 2009)									

Examiner / JON M LOCKARD/ Signature	Date Considered	11/02/2020
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APOTEX V. REGENERON IPR2022-01524

REGENERON EXHIBIT 2009 PAGE 310 ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /J.L/

### Document Description: Issue Fee Payment (PTO-85B)

## **Issue Fee Transmittal Form**

Application Number	Filing Date	First Named Inventor	Atty. Docket No.	Confirmation No.
16397267	29-Apr-2019	George YANCOPOULOS	REGN-008CIPCON5	8135
		TITLE OF INVENTION :		

### USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

Entity Status			Application Type		Art Unit	Class - Subclass	S EXAMINER
Regular Undiscounted		Utility under 35 USC 111(a)		1647		134100	JON LOCKARD
Issue Fee Due	Publication Du	e	Total Fee(s) Due		Da	ate Due	Prev. Paid Fee
\$1200 \$0		\$1200			12-Feb-2021		\$0

### 1.Change of Correspondence Address and/or Indication Of Fee Address (37 CFR 1.33 & 1.363)

Current Correspondence Address:	Current Indicated Fee Address :
96387 Regeneron - Bozicevic, Field & Francis	
201 REDWOOD SHORES PARKWAY SUITE 200 REDWOOD CITY CA 94065 UNITED STATES 650 327 3400 -docket@bozpat.com	
Change of correspondence address requested, system generated AIA/122-EFS form attached	Fee Address indication requested, system generated SB/47-EFS form attached

### 2.Entity Status

### **Change in Entity Status**

Not	pplicant certifying micro entity status; system generated Micro Entity certification form attached. See 37 CFR 1.29. ote: Absent a valid certification of micro entity status, issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. this box is checked, you will be prompted to choose a micro entity status on the gross income basis (37 CFR 1.29(a)) or the institution of higher education basis 7 CFR 1.29(d)), and make the applicable certification online.
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Applicant asserting small entity status. See 37 CFR 1.27.

Note: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.

Applicant changing to regular undiscounted fee status.

Note: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

3.The Following Fee(s) Are Submitted:	
S Issue Fee	I authorize USPTO to apply my previously paid issue fee to the current fees due
Publication Fee	The Director is hereby authorized to apply my previously paid issue fee to the current fee due and to charge deficient fees to Deposit Account Number
Advance Order - # of copies	If in addition to the payment of the issue fee amount submitted with this form, there are any discrepancies in any amount(s) due, the Director is authorized to charge any deficiency, or credit any overpayment, to Deposit Account Number The issue fee must be submitted with this form. If payment of the issue fee does not accompany this form, checking this box and providing a deposit account number will NOT be effective to satisfy full payment of the fee(s) due.

#### 4.Firm and/or Attorney Names To Be Printed

NOTE: If no name is listed, no name will be printed For printing on the patent front page, list to be displayed as entered

- 1. THOMAS TRIOLO
- 2. KARL BOZICEVIC

3.

### 5.Assignee Name(s) and Residence Data To Be Printed

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

Name	City	State	Country	Category
REGENERON PHARMACEUTICALS, INC.	Tarrytown	NEW YORK	united states	corporation

#### 6.Signature

certify, in accordance with 37 CFR 1.4(d)(4) that | am an attorney or agent registered to practice before the Patent and Trademark Office who has filed and has been granted power of attorney in this application. | also certify that this Fee(s) Transmittal form is being transmitted to the USPTO via EFS-WEB on the date indicated below.

Signature	/Karl Bozicevic/	Date	12-04-2020
Name	Karl Bozicevic	Registration Number	28807

Electronic Patent Application Fee Transmittal						
Application Number:	163	16397267				
Filing Date:	29-	29-Apr-2019				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	Geo	orge D. YANCOPOU	LOS			
Filer:	Kar	l Bozicevic/Kimberl	y Zuehlke			
Attorney Docket Number:	REC	GN-008CIPCON5				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
UTILITY APPL ISSUE FEE		1501	1	1200	1200	
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL		1504	1	0	0	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1200

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	41297138					
Application Number:	16397267					
International Application Number:						
Confirmation Number:	8135					
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Customer Number:	96387					
Filer:	Karl Bozicevic/Kimberly Zuehlke					
Filer Authorized By:	Karl Bozicevic					
Attorney Docket Number:	REGN-008CIPCON5					
Receipt Date:	04-DEC-2020					
Filing Date:	29-APR-2019					
Time Stamp:	14:43:25					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1200
RAM confirmation Number	E2020B4E43225530
Deposit Account	
Authorized User	
The Director of the USPTO is hereby authorized to cl	harge indicated fees and credit any overpayment as follows:

File	Listing:
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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
			46438		
1	lssue Fee Payment (PTO-85B)	Web85b.pdf	06ccfac4d1ca8cad972648647070f8799fe4a 27b	no	2
Warnings:					
Information:					
			32494		
2	Fee Worksheet (SB06)	fee-info.pdf	00b3b86e03732c6619e117d707d7a62bf5f 64bd9	no	2
Warnings:			Į I	I	
Information:					
		Total Files Size (in bytes)	. 7	8932	
This Acknowle	dgement Receipt evidences receipt c	on the noted date by the U	SPTO of the indicated	documents	i.
characterized I Post Card, as d <u>New Applicatio</u> If a new applica 1.53(b)-(d) and Acknowledger <u>National Stage</u> If a timely subr U.S.C. 371 and national stage <u>New Internatio</u>	dgement Receipt evidences receipt of by the applicant, and including page escribed in MPEP 503. <u>Ons Under 35 U.S.C. 111</u> ation is being filed and the application MPEP 506), a Filing Receipt (37 CFR nent Receipt will establish the filing of of an International Application under ission to enter the national stage of other applicable requirements a For submission under 35 U.S.C. 371 will onal Application Filed with the USPT ational application is being filed and	counts, where applicable. on includes the necessary of 1.54) will be issued in due date of the application. <u>er 35 U.S.C. 371</u> f an international application m PCT/DO/EO/903 indication be issued in addition to the D as a Receiving Office	It serves as evidence components for a filin course and the date s ion is compliant with ing acceptance of the e Filing Receipt, in du	of receipt si og date (see hown on th the condition application e course.	37 CFR is ons of 35 as a

### UNITED STATES PATENT AND TRADEMARK OFFICE



APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/397,267	01/12/2021	10888601	REGN-008CIPCON5	8135

96387759012/22/2020Regeneron - Bozicevic, Field & Francis201 REDWOOD SHORES PARKWAYSUITE 200REDWOOD CITY, CA 94065

## **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

George D. YANCOPOULOS, Yorktown Heights, NY; REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

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Electronically Filed						
<b>PETITION FOR CERTIFICATE</b>	Attorney Docket No.	REGN-008CIPCON5				
OF CORRECTION	First Named Inventor	George D. Yancopoulos				
	Patent Number	10,888,601				
Address to:	Issue Date	January 12, 2021				
Mail Stop Certificate of Correction Branch	Application Number	16/397,267				
Commissioner for Patents	Filing Date	April 29, 2019				
P.O. Box 1450	Title: "Use of a VEG	F Antagonist to Treat Angiogenic				
Alexandria, VA 22313-1450	Eye Disorders'	.,				

Sir:

Transmitted herewith for filing is a Certificate of Correction for the above-identified patent to correct a typographical error. In the related U.S. Application Data Section (63), please replace the Patent No "10,130,691" with ---10,130,681--.). Enclosed is a copy of the Filing Receipt showing the correct Patent Number.

The fee of \$160.00 is being submitted herewith. If for any reason additional fees are found to be necessary, the Commissioner is authorized to charge such fee to Deposit Account No. 50-0815, order number REGN-008CIPCON5.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: <u>24 May 2021T</u>

By: /Karl Bozicevic, Reg. No. 28,807/ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Parkway, Suite 200 Redwood City, CA 94065 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page <u>1</u> of <u>1</u>

PATENT NO. : 10,888,601

APPLICATION NO. : 16/397,267

ISSUE DATE : January 12, 2021

INVENTOR(S) : George D. Yancopoulos [[et al.]]

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the cover of patent in the Related U.S. Application Data Section (63), line 4, please replace "10,130,691" with -10,130,681--.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

**BOZICEVIC, FIELD & FRANCIS LLP** 201 Redwood Shores Pkwy, Suite 200 Redwood City, California 94065

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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APOTEX V. REGENERON IPR2022-01524 REGENERON EXHIBIT 2009 PAGE 319

/ Jedea at 10:15 am, May D6, 30							
	United State	s Patent	and Tradem	ARK OFFICE	DXN N By JKM at 2	FIED 50 pm, May 09, 2019	
APPLICATION NUMBER	FILING or 371(c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY	LDOCKET.NO	TOT CLAIMS	IND CLAIMS
16/397,267	04/29/2019	1629	3080	REGN-	008CIPCON5	29	4
96387 Regeneron - B	ozicevic, Field	& Francis			FILING REC		
201 REDWOC SUITE 200	D SHORES P.	ARKWAY					

Date Mailed: 05/09/2019

Receipt is acknowledged of this non-provisional utility patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF FIRST INVENTOR, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection.

Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a corrected Filing Receipt, including a properly marked-up ADS showing the changes with strike-through for deletions and underlining for additions. If you received a "Notice to File Missing Parts" or other Notice requiring a response for this application, please submit any request for correction to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections provided that the request is grantable.

### Inventor(s)

RECEIVED

George D. YANCOPOULOS, Yorktown Heights, NY;

Applicant(s)

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

### Assignment For Published Patent Application

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

Power of Attorney: None

### Domestic Priority data as claimed by applicant

This application is a CON of  $16/159,282\ 10/12/2018$ which is a CON of  $15/471,506\ 03/28/2017$  PAT 10130681which is a CON of  $14/972,560\ 12/17/2015$  PAT 9669069which is a CON of  $13/940,370\ 07/12/2013$  PAT 9254338which is a CIP of PCT/US2012/020855\ 01/11/2012 which claims benefit of  $61/432,245\ 01/13/2011$ and claims benefit of  $61/434,836\ 01/21/2011$ and claims benefit of  $61/561,957\ 11/21/2011$ 

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

### Permission to Access Application via Priority Document Exchange: Yes

#### Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

### If Required, Foreign Filing License Granted: 05/08/2019

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 16/397,267** 

Projected Publication Date: 08/15/2019

Non-Publication Request: No

Early Publication Request: No Title

### USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

### **Preliminary Class**

514

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

### **PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

page 2 of 4

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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### Title 35, United States Code, Section 184

### Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

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page 3 of 4

community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <u>http://www.SelectUSA.gov</u> or call +1-202-482-6800.

Electronic Patent Application Fee Transmittal						
Application Number:	16397267					
Filing Date:	29-Apr-2019					
Title of Invention:	USI	E OF A VEGF ANTAC	ONIST TO TRE	AT ANGIOGENIC EY	E DISORDERS	
First Named Inventor/Applicant Name:	Ge	orge D. YANCOPOU	LOS			
Filer:	Kar	'l Bozicevic/Kimberl	y Zuehlke			
Attorney Docket Number:	REG	GN-008CIPCON5				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:	Post-Allowance-and-Post-Issuance:					
CERTIFICATE OF CORRECTION		1811	1	160	160	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	160

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	42806190					
Application Number:	16397267					
International Application Number:						
Confirmation Number:	8135					
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Customer Number:	96387					
Filer:	Karl Bozicevic/Kimberly Zuehlke					
Filer Authorized By:	Karl Bozicevic					
Attorney Docket Number:	REGN-008CIPCON5					
Receipt Date:	24-MAY-2021					
Filing Date:	29-APR-2019					
Time Stamp:	18:07:57					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

Submitted with Payment	yes			
Payment Type	CARD			
Payment was successfully received in RAM	\$160			
RAM confirmation Number	E20215NI08161198			
Deposit Account				
Authorized User				
The Director of the USPTO is hereby authorized to c	harge indicated fees and credit any overpayment as follows:			

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl
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nformation:					
			30830		
2	Fee Worksheet (SB06)	fee-info.pdf	e55dfcb0fd1eaebb426eef158a5996416f1e e74a	no	2
Warnings:		l			
Information:					
Information:		Total Files Size (in bytes):		38272	
This Acknowl characterized Post Card, as If a new appli 1.53(b)-(d) an Acknowledge National Stag If a timely sub U.S.C. 371 and	edgement Receipt evidences receip l by the applicant, and including par described in MPEP 503. ions Under 35 U.S.C. 111 cation is being filed and the applica id MPEP 506), a Filing Receipt (37 Cf ement Receipt will establish the filin <u>te of an International Application un</u> pmission to enter the national stage d other applicable requirements a F e submission under 35 U.S.C. 371 w	ot on the noted date by the US ge counts, where applicable. The first state of the state of the application. The first state of the application.	SPTO of the indicated It serves as evidence components for a filin course and the date s on is compliant with f ng acceptance of the	documents of receipt si g date (see hown on th the conditic application	imilar to 37 CFR is ons of 35

## UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

 PATENT NO.
 : 10,888,601 B2

 APPLICATION NO.
 : 16/397267

 DATED
 : January 12, 2021

 INVENTOR(S)
 : George D. Yancopoulos et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

In the Related U.S. Application Data Item (63), Line 4, please replace "10,130,691" with --10,130,681--.

Signed and Sealed this Twenty-ninth Day of June, 2021

Om Ha

Drew Hirshfeld Performing the Functions and Duties of the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

APOTEX V. REGENERON IPR2022-01524 REGENERON EXHIBIT 2009 PAGE 328

PTO/SE/43 (07-09) Approved for use through 11/30/2020, OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid QMB control number.

DISCLAIMER IN PATENT UNDE	R 37 CFR 1.321(a)
Name of Patentee Regeneron Pharmaceuticals, Inc.	Docket Number (Optional) REGN-008CIPCON5
Patent Number 10,888,601	Date Patent Issued January 12, 2021
Title of Invention USE OF A VEGF ANTAGONIST TO TREAT ANGLOGENIC EYE DI	ISORDERS
I hereby disclaim the following complete claims in the above identifie	d patent:
The extent of my interest in said patent is (if assignee of record, state assignment is recorded): Assignee of record (Reel/Frame: 050278/0	a liber and page, or reel and frame, where 613)
The fee for this disclaimer is set forth in 37 CFR 1.20(d).	
Patentee claims small entity status. See 37 CFR 1.27.	
Small entity status has already been established in this case.	and is still proper.
A check in the amount of the fee is enclosed.	
Payment by credit card. Form PTO-2038 is attached.	
X The Director is hereby authorized to charge any fees which m overpayment to Deposit Account No. 50-0815	ay be required or credit any
WARNING: Information on this form may become public. be included on this form. Provide credit card information	
Signed at TARRYTOWN State of NEW YORK t	his <u>11TH_day of_JULY20_22_</u> .
Handes R. Collins	50,437
Signature	Registration Number, if applicable
VP, Assoc Gen Counsel, Intellectual Property, Regeneron Pharma	ceuticals, Inc. 914-847-1116
Typed or printed name of patentee/ attorney or agent of r	ecord Telephone Number
777 Old Saw Mill River Road	
Address Address	
City, State, Zip Code or Foreign Co	untry as applicable

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commence, P.O. Box 1460, Alexandria, VA 22313-1450, COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450,

Electronic Patent Application Fee Transmittal						
Application Number:	16	16397267				
Filing Date:	29	29-Apr-2019				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				E DISORDERS	
First Named Inventor/Applicant Name:	Ge	orge D. YANCOPOL	ILOS			
Filer:	Ka	'l Bozicevic/Kimber	ly Zuehlke			
Attorney Docket Number:	RE	GN-008CIPCON5				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:	Petition:					
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
STATUTORY OR TERMINAL DISCLAIMER	1814	1	170	170
	Tot	al in USD	(\$)	170

Electronic Ac	Electronic Acknowledgement Receipt					
EFS ID:	46166024					
Application Number:	16397267					
International Application Number:						
Confirmation Number:	8135					
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Customer Number:	96387					
Filer:	Karl Bozicevic/Kimberly Zuehlke					
Filer Authorized By:	Karl Bozicevic					
Attorney Docket Number:	REGN-008CIPCON5					
Receipt Date:	12-JUL-2022					
Filing Date:	29-APR-2019					
Time Stamp:	12:38:11					
Application Type:	Utility under 35 USC 111(a)					

# Payment information:

yes
CARD
\$170
E20227BC42450271
-

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
			349003		
1	Terminal Disclaimer Filed	2022_07_11_12_32_37.pdf	d36f445359da01a018c557a4eb5c614efdf6 9936	no	1
Warnings:					
Information:					
			38454		
2	Fee Worksheet (SB06)	fee-info.pdf	eefc13bac6ca1ba9a925aa5a9853a17ff27c7 305	no	2
Warnings:		<u> </u>	11		
Information:					
		Total Files Size (in bytes)	): 38	37457	
characterized b Post Card, as de <u>New Applicatio</u> If a new applica 1.53(b)-(d) and Acknowledgem <u>National Stage</u> If a timely subn	dgement Receipt evidences receip by the applicant, and including pa escribed in MPEP 503. Ins <u>Under 35 U.S.C. 111</u> Ition is being filed and the applica MPEP 506), a Filing Receipt (37 C inent Receipt will establish the filin of an International Application u mission to enter the national stage	ige counts, where applicable ation includes the necessary FR 1.54) will be issued in due ng date of the application. <u>nder 35 U.S.C. 371</u> e of an international applicat	. It serves as evidence components for a filin course and the date s ion is compliant with t	of receipt s g date (see hown on th the conditio	imilar to 37 CFR is ons of 35
national stage s	other applicable requirements a I submission under 35 U.S.C. 371 w nal Application Filed with the USI	vill be issued in addition to th		e course.	i as a

AO 120 (Rev. 08/10)					
O: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450			REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK		
In Complian filed in the U.S. Dis	•	•	1116 you are hereby advised that a cou District of West Virginia	rt action has been on the following	
Trademarks or	$\checkmark$ Patents. ( $\square$ the patent acti	on involve	s 35 U.S.C. § 292.):		
DOCKET NO. 1:22-cv-61	DATE FILED 8/2/2022	U.S. DI	STRICT COURT Northern District of We	est Virginia	
PLAINTIFF			DEFENDANT		
REGENERON PHARM	ACEUTICALS, INC.		MYLAN PHARMACEUTICALS	, INC.	
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK		HOLDER OF PATENT OR	TRADEMARK	
1 See attached					
2					
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In the above-entitled case, the following patent(s)/ trademark(s) have been included:

DATE INCLUDED	INCLUDED BY	
		ent 🗌 Answer 🗌 Cross Bill 🗌 Other Pleading
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRADEMARK
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In the above-entitled case, the following decision has been rendered or judgement issued:

DECISION/JUDGEMENT

CLERK	(BY) DEPUTY CLERK	DATE
CHERYL DEAN RILEY	/s/ D. Kinsey	8/3/2022

Copy 1—Upon initiation of action, mail this copy to Director Copy 3—Upon termination of action, mail this copy to Director Copy 2—Upon filing document adding patent(s), mail this copy to Director Copy 4—Case file copy

PATENT OR	DATE OF PATENT	HOLDER OF PATENT OR
TRADEMARK NO.	OR TRADEMARK	TRADEMARK
7,070,959	July 4, 2006	Regeneron Pharmaceuticals, Inc.
9,222,106	December 29, 2015	Regeneron Pharmaceuticals, Inc.
9,254,338	February 9, 2016	Regeneron Pharmaceuticals, Inc.
9,669,069	June 6, 2017	Regeneron Pharmaceuticals, Inc.
9,816,110	November 14, 2017	Regeneron Pharmaceuticals, Inc.
10,130,681	November 20, 2018	Regeneron Pharmaceuticals, Inc.
10,406,226	September 10, 2019	Regeneron Pharmaceuticals, Inc.
10,415,055	September 17, 2019	Regeneron Pharmaceuticals, Inc.
10,464,992	November 5, 2019	Regeneron Pharmaceuticals, Inc.
10,669,594	June 2, 2020	Regeneron Pharmaceuticals, Inc.
10,857,205	December 8, 2020	Regeneron Pharmaceuticals, Inc.
10,888,601	January 12, 2021	Regeneron Pharmaceuticals, Inc.
10,927,342	February 23, 2021	Regeneron Pharmaceuticals, Inc.
10,973,879	April 13, 2021	Regeneron Pharmaceuticals, Inc.
11,053,280	July 6, 2021	Regeneron Pharmaceuticals, Inc.
11,066,458	July 20, 2021	Regeneron Pharmaceuticals, Inc.
11,084,865	August 10, 2021	Regeneron Pharmaceuticals, Inc.
11,104,715	August 31, 2021	Regeneron Pharmaceuticals, Inc.
11,174,283	November 16, 2021	Regeneron Pharmaceuticals, Inc.
11,186,625	November 30, 2021	Regeneron Pharmaceuticals, Inc.
11,253,572	February 22, 2022	Regeneron Pharmaceuticals, Inc.
11,299,532	April 12, 2022	Regeneron Pharmaceuticals, Inc.
11,306,135	April 19, 2022	Regeneron Pharmaceuticals, Inc.
11,332,771	May 17, 2022	Regeneron Pharmaceuticals, Inc.

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