Ex. 2007 for IPR2022-01430 and IPR2022-01433, Declaration of W. Leo Hoarty U.S. Patent Nos. 9,742,824 and 9,762,636

UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD

AMAZON.COM, INC., AMAZON WEB SERVICES, INC., AND AMAZON.COM SERVICES LLC.,

Petitioners,

v.

WAG ACQUISITION, L.L.C.,

Patent Owner.

Case IPR2022-01430 U.S. Patent Nos. 9,742,824

DECLARATION OF W. LEO HOARTY

Mail Stop "PATENT BOARD" Patent Trial and Appeal Board U.S. Patent & Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

DOCKET

A L A R M Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

Table of Contents

QUALIFICATIONS	2
MATERIALS REVIEWED	4
UNDERSTANDINGS AS TO LEGAL ISSUES	5
LEVEL OF SKILL IN THE ART	6
OVERVIEW OF THE '824/'626 DISCLOSURE AND THE CHALLENGED CLAIMS	7
Background of the '824 and '626 Patents	7
Prior PTAB History	12
OVERVIEW OF THE PRINCIPAL REFERENCE (CARMEL)	23
THE PETITION'S ASSERTIONS REGARDING CARMEL	30
Limitation j (no server dependency on record of last sent element)	34
Limitation k (all elements sent were sent responsive to the requests that were made by their respective serial IDs)	
Limitation h ("rate" limitation)	
APPENDIX A – CURRICULUM VITAE	44
Professional Summary	44
Technology Summary	44
Expert Witness Summary	45
APPENDIX B – Understandings as to Rules of Claim Construction and Patent Validity	52
My Understandings as to Claim Construction	52
My Understandings as to Anticipation	
My Understandings as to Obviousness	
APPENDIX C – Materials Considered	57

1. I, W. LEO HOARTY, declare the following:

I have been retained by counsel for Patent Owner, WAG Acquisition,
L.L.C. ("WAG" or "Patent Owner"), to provide opinions on certain issues in
connection with U.S. Patent Nos. 9,742,824 (the '824 patent) and 9,762,636 (the
"'636 patent").¹

3. I am being compensated at a normal hourly rate for my time in preparing this declaration, with reimbursement for actual expenses. My compensation is not contingent on the outcome of this matter, or on the substance of my opinions.

4. I have been informed that WAG is a real-party-in-interest to the Petition. I have no financial interest in WAG. Nor do I have any financial interest in any of the Petitioners.

¹ This declaration addresses both the '824 (pre-recorded media) and '636 (live media) patent, which share a common disclosure. Unless otherwise noted, (i) column and line citations are to the '824 patent, and (ii) arguments herein with respect to the '824 patent should be understood as also applying to the '636 patent. The claims differ between the two patents to the extent shown by the redline comparison in Ex. 2015.

QUALIFICATIONS

5. I have approximately 40 years of experience in the field of the '824 and '636 patents, including multimedia computer communications systems, and more particularly delivering streaming media, such as audio and video, on the internet (which started to become a widespread practice beginning nearly 30 years ago). My education, training, experience, and other qualifications in this field are set forth at length in my CV, a copy of which is reproduced in Appendix A hereto.

6. I am familiar with the technology described in the '824 and '636 patents as of their earliest claimed priority date of September 12, 2000. I have also appeared and qualified as an expert in numerous cases involving computer and network patents and technology, including before the PTAB, as also set forth in my CV. Highlights of my relevant qualifications, as set forth in my CV, include without limitation the following:

- Dotcast Inc. (Moviebeam)—I founded the company in 1999 and served as CTO. The company developed a settop box that received both over-the-air (OTA) and broadband (Internet) delivery of feature films stored on an internal hard drive of the settop. Movies were delivered in slower than real-time on 24/7 schedule (trickle filled cache.) Consumers selected programming from an internal user interface guide and purchased movies for rental under similar rules as Blockbuster. Service was live from 2004 to 2007 in the top 50 DMAs in the U.S. Service utilized HTTP, TCP, UDP, both OTA and via broadband.
- ICTV—Founded by me in 1990, renamed ActiveVideo Networks (AVN) in 2003, I was a founder and served as CTO. The company

Ex. 2007 for IPR2022-01430 and IPR2022-01433, Declaration of W. Leo Hoarty U.S. Patent Nos. 9,742,824 and 9,762,636

developed VOD as well as virtual cable TV settop cloud-based systems to deliver video as well as interactive program guide over managed (cable TV) and unmanaged (Internet) networks. AVN is currently live in 24 million households of the Charter and TimeWarner systems. The system employed HTTP, TCP, UDP and custom adaptive bit rate streaming technologies.

- Vizio—Starting in 2018 I wrote three patents for video watermarking and helped develop dynamic ad insertion technology in use by major broadcast networks under the name Project OAR. Client software runs on smart TVs that detect and decode video watermarks and then call a Content Delivery Network node to download a TV ad to substitute for the existing ad of the program based on zip code and demographic profiles, all actions occur within 100msec. The replacement TV ad is delivered faster than playback via an HTTP/UDP link.
- Vizio—In 2015 I wrote a patent for audio-based automated content recognition (ACR). Client software runs in a smart TV and generates fingerprints from TV audio and sends the fingerprints to a cloud-based server system streaming fingerprints in real-time to content matching servers which identify content currently play on the respective smart TV. In some services, media related to currently playing programing is returned to smart TV for display of supplemental information. Employs HTTP, TCP, UDP, MPEG DASH.
- I served on the ISO MPEG committee in the early 1990s, acquiring familiarity with MPEG CODECs and containers.
- Other relevant standards committee work (in addition to ISO MPEG)— IEEE, SCTE (Society of Cable Television Engineers), ATSC.
- Software architecture, design, coding, implementation, and testing.
- Designing and implementing streaming media servers and clients.
- Responsibility for managing development and advising management.
- Detailed familiarity with network standards and Internet RFCs, including standards and RFCs for TCP, all versions of HTTP.

DOCKET A L A R M



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.