### **Protective Order**

This protective order governs the treatment and filing of confidential information, including documents and testimony.

- 1. Confidential information shall be clearly marked "CONFIDENTIAL BUSINESS INFORMATION, SUBJECT TO PROTECTIVE ORDER" or "CONFIDENTIAL BUSINESS INFORMATION."
- 2. Confidential information is information which concerns or relates to the trade secrets, processes, operations, style of work, or apparatus, or to the production, sales, shipments, purchases, transfers, identification of customers, inventories, amount or source of any income, profits, losses, or expenditures of any person, firm, partnership, corporation, or other organization, or other information of commercial value, the disclosure of which is likely to have the effect of either (i) impairing the PTAB's ability to obtain such information as is necessary to perform its statutory functions; or (ii) causing substantial harm to the competitive position of the person, firm, partnership, corporation, or other organization from which the information was obtained, unless the PTAB is required by law to disclose such information.
- 3. Access to confidential information is limited to the following individuals who have executed the acknowledgment appended to this order:



- A. <u>Outside Counsel</u>. Outside counsel of record for a party in the proceeding.
- B. Experts. Retained experts of a party in the proceeding who further certify in the Acknowledgement that they are not a competitor to any party, or a consultant for, or employed by, such a competitor with respect to the subject matter of the proceeding.

No less than 10 days prior to the initial disclosure to an expert of any confidential information, the party proposing to use such expert shall submit in writing the name of such expert and his or her educational and detailed employment history to the supplier. If the producing party objects to the disclosure of such confidential business information to such expert as inconsistent with the language or intent of this order or on other grounds, it shall notify the other party in writing of its objection and the grounds therefore prior to the initial disclosure. If the dispute is not resolved on an informal basis within ten days of receipt of such notice of objections, the party seeking access to the confidential information shall arrange for a conference call with the PTAB to resolve the dispute. Absent an order from the PTAB, the expert shall not have access to the confidential information.

C. <u>Support Personnel</u>. Administrative assistants, clerical staff, court reporters and other support personnel of the foregoing persons who are reasonably necessary to assist those persons in the proceeding



shall not be required to sign an Acknowledgement, but shall be informed of the terms and requirements of the Protective Order by the person they are supporting who receives confidential information.

D. The Office. Employees and representatives of the United States

Patent and Trademark Office who have a need for access to the

confidential information shall have such access without the

requirement to sign an Acknowledgement. Such employees and

representatives shall include the Director, members of the Board and

their clerical staff, other support personnel, court reporters, and other

persons acting on behalf of the Office.

## 4. <u>Development Bar.</u>

A. "Relevant Technology" means technology related to non-invasive monitoring of pulse oximetry, total hemoglobin, oxygen content, carboxyhemoglobin, and/or methemoglobin.

#### B. REMOVED

C. Unless otherwise permitted in writing between Supplying Party and Receiving Party, any expert retained on behalf of a party who is to be given access to any material from another party showing or describing the technical functionality of products produced by another party must agree in writing not to be involved in creating,



developing, or modifying, for commercial use (which, for the avoidance of doubt, does not include academic research which is not for industry), any Relevant Technology from the time of first receipt of such confidential material through one year after the date the expert formally withdraws from the Protective Order. For avoidance of doubt, during periods in which the individual person(s) has ceased to have possession of such material or any documents or notes reflecting such material, this section shall not apply.

- 5. Persons receiving confidential information shall use reasonable efforts to maintain the confidentiality of the information, including:
  - A. Maintaining such information in a secure location to which persons not authorized to receive the information shall not have access;
  - B. Otherwise using reasonable efforts to maintain the confidentiality of the information, which efforts shall be no less rigorous than those the recipient uses to maintain the confidentiality of information not received from the disclosing party;
  - C. Ensuring that support personnel of the recipient who have access to the confidential information understand and abide by the obligation to maintain the confidentiality of information received that is designated as confidential; and



- D. Limiting the copying of confidential information to a reasonable number of copies needed for conduct of the proceeding and maintaining a record of the locations of such copies.
- 6. Persons receiving confidential information shall use the following procedures to maintain the confidentiality of the information:
  - A. Documents and Information Filed With the Board.
  - (i) A party may file documents or information with the Board along with a Motion to Seal. The Motion to Seal should provide a non-confidential description of the nature of the confidential information that is under seal, and set forth the reasons why the information is confidential and should not be made available to the public. A party may challenge the confidentiality of the information by opposing the Motion to Seal. The documents or information shall remain under seal unless the Board determines that some or all of it does not qualify for confidential treatment.
  - (ii) Where confidentiality is alleged as to some but not all of the information submitted to the Board, the submitting party shall file confidential and non-confidential versions of its submission, together with a Motion to Seal the confidential version setting forth the reasons why the information redacted from the non-confidential version is confidential and should not be made available to the public. A party may challenge the



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