UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLE INC., Petitioner,

v.

MASIMO CORPORATION, Patent Owner

> Case IPR2022-01291 U.S. Patent 10,687,745

PETITIONER'S UPDATED EXHIBIT LIST

LIST OF EXHIBITS

- APPLE-1001 U.S. Pat. No. 10,687,745 to Al-Ali ("the '745 patent")
- APPLE-1002 Prosecution History of the '745 patent (Serial No. 16/835,772)
- APPLE-1003 Declaration of Dr. Brian Anthony
- APPLE-1004 U.S. Pat. No. 8,670,819 ("Iwamiya")
- APPLE-1005 U.S. Pat. No. 9,392,946 ("Sarantos")
- APPLE-1006 U.S. Pub. No. 2014/0275854 ("Venkataraman")
- APPLE-1007 U.S. Pat. No. 6,483,976 ("Shie")
- APPLE-1008 U.S. Pat. No. 6,801,799 ("Mendelson-799")
- APPLE-1009 U.S. Pub. No. 2015/0018647 ("Mandel")
- APPLE-1010 U.S. Pub. No. 2009/0275810 ("Ayers")
- APPLE-1011 PCT. Pub. No. 2011/051888 ("Ackermans")
- APPLE-1012 U.S. Pat. No. 6,158,245 ("Savant")
- APPLE-1013 Design of Pulse Oximeters, J.G. Webster; Institution of Physics Publishing, 1997 ("Webster")
- APPLE-1014 U.S. Pub. No. 2009/0054112 ("Cybart")
- APPLE-1015 U.S. Pat. No. 5,893,364 ("Haar")
- APPLE-1016 U.S. Pat. No. 5,952,084 ("Anderson")

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- APPLE-1017 U.S. Pat. No. 10,470,695 (the "'695 patent")
- APPLE-1018 *Apple v. Masimo*, Case No. IPR2020-01722, Paper 29 (Final Written Decision) (PTAB May 5, 2022) (the "'695 FWD")
- APPLE-1019 APPLE-1030 RESERVED
- APPLE-1031 *Masimo Corporation, et al. v. Apple Inc.*, Redacted Complaint, ITC Inv. No. 337-TA-1276
- APPLE-1032 Interim Procedure for Discretionary Denials in AIA Post-Grant Proceedings with Parallel District Court Litigation, issued June 21, 2022 ("Interim Guidance")
- APPLE-1033 Final Initial Determination on Violation of Section 337, Public Version, ITC Inv. No. 337-TA-1276, January 10, 2023
- APPLE-1034 Emails re Masimo's Request for Authorization to Motion for Additional Discovery
- APPLE-1035 Protective Order

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- APPLE-1036 CONFIDENTIAL ITC Inv. No. 337-TA-1276 Hearing Transcript of Dr. Ueyn Block
- APPLE-1037 CONFIDENTIAL ITC Inv. No. 337-TA-1276 Hearing Transcript of Dr. Saahil Mehra
- APPLE-1038 ITC Inv. No. 337-TA-1276 Exhibit RX-0335 (U.S. Pat. No. 5,830,137 ("Scharf"))
- APPLE-1039 ITC Inv. No. 337-TA-1276 Exhibit RX-0504 (Austin Wareing, Optimization of Reflectance-Mode Pulse Oximeter Sensors)
- APPLE-1040 ITC Inv. No. 337-TA-1276 Exhibit RX-0508 (Jianchu Yao and Steve Warren, Stimulating Student Learning with a Novel "In-House" Pulse Oximeter Design (2005))
- APPLE-1041 ITC Inv. No. 337-TA-1276 Exhibit RX-0632

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Respectfully submitted,

Dated: April 19, 2023

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CERTIFICATE OF SERVICE

Pursuant to 37 C.F.R. § 42.6(e)(4), the undersigned certifies that on April 19, 2023, a complete and entire copy of this Petitioner's Updated Exhibit List and Exhibits 1036-1041 were provided by email, to the Patent Owner by serving the email correspondence addresses of record as follows:

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