FIFTH EDITION

DATA AND COMPUTER COMMUNICATIONS

WILLIAM STALLINGS



PRENTICE HALL UPPER SADDLE RIVER, NEW JERSEY 07458

DOCKET A L A R M

Find authenticated court documents without watermarks at docketalarm.com.

Library of Congress Cataloging-in-Publication Data Stallings, William. Data and computer communications / William Stallings. -5th ed. p. cm. Includes bibliographical references and index. ISBN (invalid) 0-02-415425-3 1. Data transmission systems. 2. Computer networks. I. Title. TK5105.S73 1996 004.6-dc20

96-24419 CIP

Publisher: Alan Apt Editor-in-Chief: Marcia Horton Production Manager: Bayani Mendoza de Leon Production Editor: Mona Pompili Managing Editor: Laura Steele Design Director: Amy Rosen

Designer: Judy Matz-Coniglio Cover Designer: Tom Nery Cover Illustrator: Wendy Grossman Copy Editor: Chip Lee Manufacturing Buyer: Donna Sullivan Editorial Assistant: Shirley McGuire



DOCKE

© 1997 by Prentice-Hall, Inc. Simon & Schuster / A Viacom Company Upper Saddle River, New Jersey 07458

The author and publisher of this book have used their best efforts in preparing this book. These efforts include the development, research, and testing of the theories and programs to determine their effectiveness. The author and publisher shall not be liable in any event for incidental or consequential damages in connection with, or arising out of, the furnishing, performance, or use of these programs.

All rights reserved. No part of this book may be reproduced, in any form or by any means, without permission in writing from the publisher.

Printed in the United States of America

10 9 8 7 6 5 4 3 2 1

ISBN 0-02-415425-3

PRENTICE-HALL INTERNATIONAL (UK) LIMITED, London PRENTICE-HALL OF AUSTRALIA PTY. LIMITED, Sydney PRENTICE-HALL CANADA, INC., Toronto PRENTICE-HALL HISPANOAMERICANA, S.A., Mexico PRENTICE-HALL OF INDIA PRIVATE LIMITED, New Delhi PRENTICE-HALL OF JAPAN, INC., Tokyo SIMON & SCHUSTER ASIA PTE. LTD., Singapore EDITORA PRENTICE-HALL DO BRASIL, LTDA., Rio de Janeiro

RM Find authenticated court documents without watermarks at docketalarm.com.

BRIEF CONTENTS

CHAPTER 1 INTRODUCTION 1

PART ONE

Data Communications 33

CHAPTER 2	DATA TRANSMISSION	33
CHAPTER 3	TRANSMISSION MEDIA	73
CHAPTER 4	DATA ENCODING 95	
CHAPTER 5	THE DATA COMMUNICAT	ION INTERFACE 139
CHAPTER 6	DATA LINK CONTROL	157
CHAPTER 7	MULTIPLEXING 197	

PART TWO

Wide-Area Networks229CHAPTER 8CIRCUIT SWITCHING229CHAPTER 9PACKET SWITCHING253CHAPTER 10FRAME RELAY301CHAPTER 11Asynchronous Transfer Mode (ATM)327

PART THREE

Local Area Networks 363 CHAPTER 12 LAN TECHNOLOGY 363 CHAPTER 13 LAN SYSTEMS 401 CHAPTER 14 BRIDGES 465

PART FOUR

DOCKE.

Δ

Communications Architecture and Protocols 497

CHAPTER 15	PROTOCOLS AND ARCHITECTURE 49	7
CHAPTER 16	INTERNETWORKING 527	
CHAPTER 17	TRANSPORT PROTOCOLS 585	
CHAPTER 18	NETWORK SECURITY 623	
CHAPTER 19	DISTRIBUTED APPLICATIONS 627	

xi

1.4 / PROTOCOLS AND PROTOCOL ARCHITECTURE 11

other digital devices. In the latter case, data are usually transmitted in packets. Because the medium is shared, only one station at a time can transmit a packet.

More recently, examples of switched LANs have appeared. The two most prominent examples are ATM LANs, which simply use an ATM network in a local area, and Fibre Channel. We will examine these LANs, as well as the more common broadcast LANs, in Part III.

1.4 PROTOCOLS AND PROTOCOL ARCHITECTURE

When computers, terminals, and/or other data processing devices exchange data, the scope of concern is much broader than the concerns we have discussed in Sections 1.2 and 1.3. Consider, for example, the transfer of a file between two computers. There must be a data path between the two computers, either directly or via a communication network. But more is needed. Typical tasks to be performed are

- 1. The source system must either activate the direct data communication path or inform the communication network of the identity of the desired destination system.
- 2. The source system must ascertain that the destination system is prepared to receive data.
- **3.** The file transfer application on the source system must ascertain that the file management program on the destination system is prepared to accept and store the file for this particular user.
- 4. If the file formats used on the two systems are incompatible, one or the other system must perform a format translation function.

It is clear that there must be a high degree of cooperation between the two computer systems. The exchange of information between computers for the purpose of cooperative action is generally referred to as *computer communications*. Similarly, when two or more computers are interconnected via a communication network, the set of computer stations is referred to as a *computer network*. Because a similar level of cooperation is required between a user at a terminal and one at a computer, these terms are often used when some of the communicating entities are terminals.

In discussing computer communications and computer networks, two concepts are paramount:

Protocols

DOCKE

Computer-communications architecture, or protocol architecture

A protocol is used for communication between entities in different systems. The terms "entity" and "system" are used in a very general sense. Examples of

12 CHAPTER 1 / INTRODUCTION

entities are user application programs, file transfer packages, data-base management systems, electronic mail facilities, and terminals. Examples of systems are computers, terminals, and remote sensors. Note that in some cases the entity and the system in which it resides are coextensive (e.g., terminals). In general, an entity is anything capable of sending or receiving information, and a system is a physically distinct object that contains one or more entities. For two entities to communicate successfully, they must "speak the same language." What is communicated, how it is communicated, and when it is communicated must conform to some mutually acceptable conventions between the entities involved. The conventions are referred to as a protocol, which may be defined as a set of rules governing the exchange of data between two entities. The key elements of a protocol are

- Syntax. Includes such things as data format and signal levels.
- Semantics. Includes control information for coordination and error handling.
- Timing. Includes speed matching and sequencing.

Having introduced the concept of a protocol, we can now introduce the concept of a protocol architecture. It is clear that there must be a high degree of cooperation between the two computers. Instead of implementing the logic for this as a single module, the task is broken up into subtasks, each of which is implemented separately. As an example, Figure 1.4 suggests the way in which a file transfer facility could be implemented. Three modules are used. Tasks 3 and 4 in the preceding list could be performed by a file transfer module. The two modules on the two systems exchange files and commands. However, rather than requiring the file transfer module to handle the details of actually transferring data and commands, the file transfer modules each rely on a communications service module. This module is responsible for making sure that the file transfer commands and data are reliably exchanged between systems. Among other things, this module would perform task 2. Now, the nature of the exchange between systems is independent of the nature of the network that interconnects them. Therefore, rather than building details of the network interface into the communications service module, it makes sense to have a third module, a network access module, that performs task 1 by interacting with the network.



FIGURE 1.4 A simplified architecture for file transfer.

DOCKE

DOCKET



Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

Real-Time Litigation Alerts



Keep your litigation team up-to-date with **real-time** alerts and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

Advanced Docket Research



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

Analytics At Your Fingertips



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.

