Noninvasive Detection of *EGFR* T790M in Gefitinib or Erlotinib Resistant Non–Small Cell Lung Cancer

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Abstract Purpose: Tumors from 50% of epidermal growth factor receptor (*EGFR*) mutant non – small cell lung cancer patients that develop resistance to gefitinib or erlotinib will contain a secondary *EGFR* T790M mutation. As most patients do not undergo repeated tumor biopsies we evaluated whether *EGFR* T790M could be detected using plasma DNA.

Experimental Design: DNA from plasma of 54 patients with known clinical response to gefitinib or erlotinib was extracted and used to detect both *EGFR*-activating and *EGFR* T790M mutations. Forty-three (80%) of patients had tumor *EGFR* sequencing (*EGFR* mutant/wild type: 30/13) and seven patients also had *EGFR* T790M gefitinib/erlotinib-resistant tumors. *EGFR* mutations were detected using two methods, the Scorpion Amplification Refractory Mutation System and the WAVE/Surveyor, combined with whole genome amplification.

Results: Both *EGFR* -activating and *EGFR* T790M were identified in 70% of patients with known tumor *EGFR* -activating (21 of 30) or T790M (5 of 7) mutations. *EGFR* T790M was identified from plasma DNA in 54% (15 of 28) of patients with prior clinical response to gefitinib/erlotinib, 29% (4 of 14) with prior stable disease, and in 0% (0 of 12) that had primary progressive disease or were untreated with gefitinib/erlotinib.

Conclusions: *EGFR* T790M can be detected using plasma DNA from gefitinib- or erlotinibresistant patients. This noninvasive method may aid in monitoring drug resistance and in directing the course of subsequent therapy.

Epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKI) are effective therapies for non-small cell lung cancer (NSCLC) patients with activating *EGFR* mutations. Several prospective clinical trials treating chemotherapy-naïve patients with *EGFR* mutations with gefitinib or erlotinib have been reported to date (1-6). Cumulatively, these studies have prospectively identified and treated over 200 patients with *EGFR* mutations. Together they show radiographic response rates ranging from 60% to 82% and median times to progression of 9.4 to 13.3 months in the patients treated with gefitinib and erlotinib. These outcomes are 3- to 4-folder greater than that observed with platin-based chemotherapy (20-30% and 3-4 months, respectively) for advanced NSCLC (7).

Unfortunately despite these benefits in EGFR-mutant NSCLC, all patients will ultimately develop progressive tumor growth while receiving gefitinib or erlotinib treatment. Two different mechanisms of acquired resistance in EGFR-mutant NSCLC patients have thus far been identified. These include a secondary mutation in EGFR (EGFR T790M) found in ~50% of those with acquired resistance and MET amplification in ~20% of patients (8-11). The therapeutic strategies for patients with these resistance mechanisms are also different. Irreversible EGFR inhibitors are effective in preclinical models at inhibiting the growth of EGFR T790M containing tumors in vitro and in vivo (12, 13). Several clinical trials involving irreversible EGFR inhibitors have now been initiated. However, whether these agents are effective clinically in gefitinib- and erlotinib-resistant NSCLC patients remains to be determined. Furthermore, if these agents are clinically effective, it will be important to determine the relationship to the presence/ absence of EGFR T790M mutation. Unfortunately very few patients undergo repeated tumor biopsies at the time when resistance develops to help guide appropriate therapeutic choices. Thus, there is a need to develop noninvasive methods to identify these resistance mechanisms.

A limited number of prior studies have evaluated the ability to detect *EGFR*-activating mutations from serum DNA of NSCLC patients treated with gefitinib (14, 15). The largest of

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Translational Relevance

The epidermal growth factor receptor (EGFR) tyrosine kinase inhibitors (TKI) gefitinib and erlotinib are effective therapies for patients with non-small cell lung cancer (NSCLC) that harbor activating mutations in EGFR. However, all patients ultimately develop progressive disease (acquired resistance) while receiving treatment with gefitinib or erlotinib. The cause of acquired resistance in 50% of patients is a secondary EGFR mutation (EGFR T790M). Second-generation EGFR TKIs are now entering clinical development that can inhibit the growth of cancers with EGFR T790M and may be clinically effective. Very few patients, however, undergo repeated tumor biopsies at the time of developing acquired resistance. In this study we identify both EGFR-activating and the EGFR T790M resistance mutation from plasma DNA derived from patients that have clinically developed resistance to gefitinib or erlotinib. This noninvasive method may help identify NSCLC patients who may benefit from second-generation EGFR kinase inhibitors.

these to date examined 42 NSCLC patients treated with gefitinib. *EGFR*-activating mutations were detected in 8 tumor specimens and 6 of the 8 mutations were correctly identified from serum DNA (15). None of the studies to date have specifically examined for *EGFR* T790M. This may be even harder to detect than an *EGFR*-activating mutation as *EGFR* T790M can sometimes represent a minor allele which may be missed by direct DNA sequencing–based methods (16).

In this study we examined the ability to detect *EGFR* T790M from plasma DNA from NSCLC patients that had clinically developed acquired resistance to gefitinib or erlotinib. We examine different methods of mutation detection and evaluate the benefits of whole genome amplification as a method to increase detection sensitivity.

Materials and Methods

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Patients. From October 2006 to April 2008 patients with advanced NSCLC were identified using an institutional review board-approved protocol from the Thoracic Oncology clinic at the Dana Farber Cancer Institute. Only patients that had previously received single-agent gefitinib or erlotinib therapy and were at the time of the study off therapy were included in the study. In addition, patients were included if their clinical response, as defined by Response Evaluation Criteria in Solid Tumors, to gefitinib and erlotinib was known; they were willing to donate blood on one or more occasions; and they were receiving their treatment at Dana Farber Cancer Institute (17). Patients with known EGFR tumor genotype (mutant or wild type) were included only if they met the other criteria. Using these criteria we identified 50 patients previously treated with gefitinib (n = 17) or erlotinib (n = 33); 28 had a prior clinical partial response, 14 had prior stable disease, and 8 had primary progressive disease. In addition we included four randomly selected advanced NSCLC patients as negative controls who fit the inclusion criteria but had not received any therapy with either gefitinib or erlotinib or with any other EGFR-directed agent. Thirty patients had known tumor EGFR-activating mutations. All patients provided written informed consent and the studies were approved by the Dana Farber Cancer Institute Institutional Review Board.

Tumor mutation detection. Pretreatment tumor specimens were analyzed for an *EGFR* mutation using either direct DNA sequencing (n = 43) or our previously described DNA endonuclease – based method (18). Seven patients had gefitinib or erlotinib posttreatment specimens that contained an *EGFR* T790M mutation and all were detected by direct sequencing. All detected mutations were independently confirmed.

Blood sample collection and DNA extraction. Blood samples (average 5 mL each) were collected in BD Vacutainer CPT Cell Preparation Tube with Sodium Heparin (BD). Plasma was isolated according to the manufacturer's specifications and stored at -80°C until use. Plasma DNA was extracted using QIAamp DNA Micro Kit (Qiagen). DNA was eluted in 100 μ L of Qiagen Buffer AE. In the DNA extraction optimization experiments two additional methods [Promega Wizard, (Promega) and NucleoSpin Plasma XS (Macherey-Nagel)] were also evaluated and used according to the manufacturer's recommended specifications.

Whole genome amplification. For whole genome amplification plasma DNA was processed either by a blunt-end ligation method described previously (19) or by an alternative method that favors the amplification of small, tumor-derived DNA (20). Whole genome amplification was carried out using GenomiPhi V2 DNA Amplification Kit (GE Healthcare).

Plasma DNA quantification using Alu qPCR. Primer sequences for Alu 115bp and Alu 247 bp fragments were previously described (21). Standard curve was constructed using serial diluted female genomic DNA (Promega; 0.01-100 pg DNA). Male genomic DNA (Promega) was used as a calibrator in the assay. The cycling conditions were 95°C for 10 min, followed by 40 cycles of 95°C for 30 sec, 64°C for 30 sec, and 72°C for 30 sec. Reactions were run on an ABI 7500Fast real-time PCR instrument.

EGFR mutation analysis by Scorpion Amplification Refractory Mutation system Real-time PCR. EGFR mutation detection of the common EGFR-activating mutations (del E746_A750 and L858R) or the EGFR T790M resistance mutation were done using the EGFR Scorpion Amplification Refractory Mutation system (SARMS) technology (DxS Ltd.) as previously described (15). One microliter of plasma-derived or whole genome amplified DNA was added to 24 μ L of master mix prepared according to manufacturer's instructions. The real-time PCR reactions were run on an ABI 7500Fast System and according to the manufacturer's recommended conditions. Comparative threshold values were calculated using 7500Fast System SDS Software. Positive samples fell into the window between the comparative threshold of the control assay, and the background comparative threshold and cutoff values were determined according to the manufacturer's instructions.

EGFR mutation analysis by WAVE/SURVEYOR. EGFR exons 18 through 21 were PCR-amplified using primers that flank the exonic regions. For the detection of insertion/deletion mutations, PCR products were loaded on to the WAVE system (Transgenomic Inc.) and resolved at 50°C. For the detection of point mutations, PCR products were subjected to enzymatic digestion using the SURVEYOR enzyme at 42°C, and the resulting products resolved on the WAVE at 50°C. Detailed protocols for exon-specific PCR and WAVE analysis were described previously (18).

Statistical analysis. Fisher's exact test was used to compare the effect of whole genome amplification on detection of *EGFR* mutations and to assess the association between *EGFR* mutation status and clinical response. Data were analyzed on a per patient basis. The Wilcoxon rank-sum test was used to compare the differences in time between the development of resistance and collection of plasma DNA in patients with and without *EGFR* T790M. All the exact *P* values were based on a two-sided hypothesis test and were computed using StatXact verson 6.1 (Cytel Software Corp.).

Results

Alu real-time PCR and optimization of plasma DNA extraction. We first established a DNA extraction procedure

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that resulted in the greatest yield of tumor-derived circulating DNA. Prior studies suggest that most malignant tumor-derived DNA varies in size (median, 544 bp; range, 185-926 bp) whereas apoptotic DNA from normal cells is more uniformly sized as 185 to 200 bp fragments (22). We thus adopted a previously developed real-time PCR assay to determine the ratio between Alu sequences of 115 bp (Alu115) and 247 bp (Alu247), and used it as the indication of DNA integrity (21). Alu115 represents both short, apoptotic DNA fragments and tumor-derived fragments (total circulating DNA), whereas Alu247 represents tumor-derived DNA alone. The ratio of Alu247/Alu115 was used to calculate the percentage of tumor DNA in total circulating DNA.

For these initial studies we evaluated plasma DNA from seven patients. These seven samples were not included in the larger study, nor were they subjected to whole genome amplification. Plasma DNA was extracted in parallel from each sample using three independent protocols: Qiagen, Promega Wizard, and NucleoSpin Plasma XS. The Alu 247/Alu115 ratio was determined for each sample prior to EGFR mutation analysis, and DNA input for mutational analyses was normalized to total circulating DNA (Alu115). The median total circulating DNA (Alu115) yields of the Qiagen, Promega Wizard, and NucleoSpin methods were 0.064 ng/µL, 0.021 ng/µL, and 0.086 ng/µL, respectively. The median Alu247/Alu115 ratio obtained using Qiagen, Promega Wizard, and NucleoSpin methods were 50.9%, 59.4%, and 10.9%, respectively. The DNA derived using the Qiagen extraction method was successfully amplified 100% of the time using both the SARMS and the WAVE/Surveyor methods. In contrast, DNA derived using the Promega Wizard or Nucleospin methods successfully amplified in only 75% or 67% of the reactions, respectively. Based on the high Alu247/Alu115 ratio and the ability to successfully amplify the DNA we used the Qiagen DNA extraction method for all subsequent studies.

Patient characteristics. Fifty-four patients were enrolled in this study (Table 1). Fifty of the 54 patients (93%) had received prior treatment with either gefitinib (n = 17; 31.4%) or erlotinib (n = 33; 61.1%) and all had developed disease progression at

Table 1. Patient characteristics	
No. of patients	<i>n</i> = 54
Gender	
Male	10 (18.5%)
Female	44 (81.5%)
EGFR TKI treatment	
Gefitinib	17 (31.4%)
Erlotinib	33 (61.1%)
None	4 (7.5%)
Response to prior EGFR TKI treatment	
Partial response	28 (56%)
Stable disease	14 (28%)
Progressive disease	8 (16%)
Not treated	4 (7.5%)
Tumor EGFR mutation	
Exon 19 deletion	20 (37.0%)
L858R	7 (12.9%)
L861Q	1 (1.9%)
Exon 20 insertion	2 (3.7%)
Wild type	13 (24.1%)
Unknown	11 (20.4%)

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the time blood specimens were obtained. Four patients (7.5%) were never treated with either gefitinib or erlotinib and served as negative controls. The best response to prior therapy was partial response with 28 patients (56 %), followed by stable disease with 14 patients (28 %) and progressive disease with 8 patients (16 %). Tumor *EGFR* mutation status, obtained from baseline pre-gefitinib or -erlotinib treatment specimens, was available in 43 of 54 (80%) of patients (Table 1).

Seventy-six plasma specimens were obtained from the 54 patients (median number per patient, 1; range, 1-5) and were used for DNA extraction. All DNA specimens were subjected to whole genome amplification, with the DNA quantified before and after whole genome amplification. The median concentrations were 0.252 ng/ μ L (range, 0.023-100.1 ng/ μ L) for unamplified plasma DNA samples and 52.3 ng/ μ L (range, 9.9-162.7 ng/ μ L) for whole genome – amplified DNA samples. Both unamplified plasma DNA and whole genome – amplified DNA specimens were used for subsequent genotyping studies.

EGFR mutation detection. We used two different methods, SARMS and WAVE/Surveyor, to detect *EGFR* activation and resistance mutations from plasma DNA. Both SARMS and WAVE/Surveyor technologies are PCR-based methods for mutation detection. SARMS uses a Scorpions primer/probe in a real-time PCR setting. Short probes allow greater allelic specificity and a lower background. The WAVE/Surveyor method combines standard PCR followed by an endonuclease digestion (Surveyor) that targets wild-type/mutant heteroduplexes. The resulting products are resolved on the WAVE HS system (18).

We first tested the sensitivity and specificity of detecting *EGFR* T790M with the SARMS assay using NSCLC cell lines with known *EGFR* T790M mutation status (H1975, H820, and H3255 GR, all known to contain an *EGFR* T790M mutation, and A549 that does not contain an *EGFR* T790M mutation). Using this assay, we determined the *EGFR* T790M allele frequencies for each of the cell lines: H1975 at 55%, H820 at 7%, H3255 GR at 2%, and A549 at 0%. These results were consistent with our own previous genotyping results using WAVE/Surveyor and published data (16, 23).

Next, we determined whether we could detect EGFRactivating mutations and the T790M resistance mutation in patient-derived plasma DNA. Based on previous reports (14, 15) and our determination of median patient plasma DNA concentration (0.252 ng/µL, which is equivalent to a median of 43 genome copies) in our sample cohort, we used 1 µL of patient plasma DNA in both the SARMS and WAVE/ Surveyor assays. Figure 1 depicts the detection of the EGFR T790M mutation in a representative patient plasma DNA sample using both the WAVE/Surveyor and the SARMS methods. Using the SARMS assay we detected 12 patients with EGFR del E746_A750, 7 patients with L858R, and 8 patients with EGFR T790M mutations. All plasma DNA samples were also independently PCR-amplified and screened for EGFR exon 19 to 21 mutations using WAVE/Surveyor as previously described (18). At the time of the study, the Scorpions assays were only available to detect two EGFR-activating mutations (del E746_A750 and L858R) and the EGFR T790M resistance mutation. Thus, we used the WAVE/Surveyor method to evaluate for the remaining EGFR mutations and also as a complementary approach to the SARMS assays. Using the WAVE/Surveyor method we detected EGFR exon 19 deletion mutations in 25 patients, no exon 20 insertion mutations,

Figure 1. Detection of EGFR T790M using WAVE/Surveyor and SARMS. A, detection of EGFR T790M from the H1975 (EGFR L858R/T790M) cell line (top) and plasma DNA from patient 35 (bottom). Exon 20 of EGFR was amplified by PCR, the resulting product digested with Surveyor and analyzed using the WAVE-HS system (Materials and Methods). In the presence of EGFR T790M, two fragments (asterisk) are generated by Surveyor digestion (solid lines) from the positive control (H1975) and patient 35. The wild-type control (A549; dashed line) is uncut. B, SARMS analysis of EGFR T790M. Included are positive and negative control DNA samples and plasma DNA from patients 35 and 37. The horizontal dotted line represents the threshold. DNA from the negative control and patient 37 do not amplify above the threshold whereas DNA from the positive control and patient 35 both cross the threshold in the linear portion of the assay. Fluorescence was measured quantitatively in relative fluorescence units



EGFR L858R mutations in 2 patients, and *EGFR* T790M mutations in 4 patients with. Of the 25 patients with *EGFR* exon 19 deletion mutations detected by WAVE/Surveyor, 11 were exon 19 deletions other than the del E746_A750 mutation. Such deletions were not a part of the SARMS assay. We compared the findings between these two mutation detection methods. The SARMS and WAVE/Surveyor detected *EGFR* del E746_A750 in a combined 15 patients, L858R in a combined 7 patients, and T790M in a combined 9 patients, with concordance rates of 73% (11 of 15), 28% (2 of 7), and 33% (3 of 9), respectively (Table 2).

Impact of whole genome amplification on plasma DNA-based mutation detection. We further investigated whether whole genome amplification facilitated the detection of additional *EGFR* mutations from plasma DNA. Whole genome – amplified DNA samples were screened for mutations in *EGFR* exons 19, 20, and 21 in an identical fashion to non-whole genome – amplified samples using both SARMS and WAVE/Surveyor assays. Using the SARMS assay we detected 13 additional *EGFR* mutations: 2 patients with *EGFR* del E746_A750, 1 with L858R, and 10 patients with *EGFR* T790M not detected 7 additional patients with *EGFR* mutations: 3 with *EGFR* exon 19 deletions, 1 patient with an *EGFR* L858R mutation, and 3 patients *EGFR* T790M mutations not detected from the plasma DNA (Table 2). One of the additional EGFR exon 19 deletion

mutations detected by the WAVE/Surveyor method one was a non-exon 19 del E746_A750 mutation, thus not assayed by SARMS method. Nine of 10 (90%) of the patients in which we detected an *EGFR* T790M using the SARMS assay also contained a concurrent *EGFR*-activating mutation whereas this occurred in 67% (2 of 3) of *EGFR* T790M containing patient specimens using the WAVE/Surveyor method.

Table 2. Comparison of SARMS and WAVE/
Surveyor methods in detecting EGFR exon 19
(del E746_A750), L858R, and T790M mutations
from gefitinib/erlotinib-treated NSCLC patients

EGFR mutation	Del E746_A750	L858R	T790M
Plasma DNA alone			
Total positive patients	15	7	9
SARMS-positive	12	7	8
WAVE/Surveyor-positive	14	2	4
Concordance	11/15	2/7	3/9
Plasma DNA and whole gend	ome amplified		
Total positive patients	18	8	19
SARMS-positive	14	8	18
WAVE/Surveyor-positive	16	3	7
Concordance	12/18	3/8	6/19

We next combined the results obtained from non-whole genome – amplified and whole genome – amplified samples and compared the findings between SARMS and WAVE/Surveyor detection methods. The SARMS and WAVE/Surveyor detected *EGFR* del E746_A750 in a combined 18 patients, L858R in a combined 8 patients, and T790M in a combined 19 patients, with concordance rates of 67% (12 of 18), 38% (3 of 8), and 32% (6 of 19), respectively (Table 2).

Overall the effect of whole genome amplification seemed to have the greatest effect on the detection of *EGFR* T790M (Table 2). For *EGFR* del E746_A750 and L858R, whole genome amplification identified only 4 additional patients with mutations whereas for *EGFR* T790M whole genome amplification resulted in the identification of 10 additional patients (P = 0.011).

Concordance of primary tumor sequencing and clinical response with detection of plasma EGFR mutations. We compared the EGFR-activating mutation detected in plasma DNA with the tumor EGFR-activating mutation. For these studies we combined the findings from the SARMS and WAVE/Surveyor methods and included findings from the whole genomeamplified specimens. Tumor EGFR mutation status was known in 43 of 54 (80%) and not available in 11 of 54 (20%) of patients. Thirteen (13) of the 43 patients were EGFR wild-type (30%) whereas 30 (70%) had an EGFR-activating mutation in exons 19 to 21 (Table 1). Collectively the plasma-based detection methods identified 29 of 54 (54%) of patients as having an EGFR-activating mutation whereas 25 of 54 (46%) were EGFR wild-type. In the 43 patients whose tumor EGFR mutation status was known, we identified EGFR mutations from plasma DNA in 21 of 30 patients (70%). The overall concordance of tumor EGFR mutation with plasma EGFR mutation was 74% (32 of 43; Table 3). We also examined concordance as a function of the specific type of mutation (exon 19 deletion versus L858R). In the patients with a known tumor exon 19 deletion mutation there was an 85% (17 of 20) concordance with the plasma EGFR mutation whereas in those with a tumor L858R mutation the concordance rate was only 29% (2 of 7) with the plasma EGFR mutation (P = 0.011).

We also analyzed the findings based on prior response to therapy (Tables 1 and 3). EGFR-activating mutations were

		Plas	ma DNA
		Mutation	No Mutation
Tumor tissue			
Mutation	30	21	9
No mutation	13	2	11
Not available	11	6	5
Response to prior EGFR	TKI thera	ру	
Partial response	28	23	5
Stable disease	14	4	10
Progressive disease	8	2	6
Untreated	4	0	4

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Table 4. Comparison of NSCLC patient clinicalresponse to prior EGFR TKI therapy and knownEGFR T790M-containing tumors with detection ofEGFR T790M using plasma DNA

		Plasma EG	Plasma <i>EGFR</i> T790M	
		Yes	No	
Response to prior EGFR ⁻	TKI Therap	у		
Partial response	28	15	13	
Stable disease	14	4	10	
Progressive disease	8	0	8	
Untreated	4	0	4	
Tumor <i>EGFR</i> T790M	7	5	2	

detected in plasma DNA from 23 of 28 (82%) patients with a complete response (CR)/partial response, 4 of 14 (28.5%) patients with stable disease, and 2 of 8 (25%) patients with progressive disease. *EGFR*-activating mutations detected in plasma DNA are associated strongly with a clinical response among the patients treated with gefitinib or erlotinib (P < 0.001).

Correlation of EGFR T790M detected in plasma DNA with prior drug response and tumor EGFR T790M. We evaluated the relationship with prior clinical response to gefitinib or erlotinib in patients in which EGFR T790M was detected in plasma DNA. Prior tumor-based studies suggest that EGFR T790M can be detected in 50% of NSCLC patients with a prior response (CR or partial response) to gefitinib or erlotinib therapy (10, 11). EGFR T790M was detected from plasma DNA in 35% (19 of 54) patients in this study. In the 28 patients that had a prior partial response to either gefitinib or erlotinib EGFR T790M was detected in the plasma DNA in 15 of 28 (54%) patients (Table 4). EGFR T790M was detected in 5 of 7 patients (71%) for whom posttreatment biopsy specimens were available and had been confirmed to contain an EGFR T790M by direct sequencing (Table 4). EGFR T790M was also detected in 4 of 14 (29%) of patients with stable disease. One of the four patients had a concurrent EGFR-activating mutation detected from plasma DNA. EGFR T790M was detected in none (0 of 8; 0%) of the patients with progressive disease to gefitinib or erlotinib or in patients who had never been treated with these agents (0 of 4; 0%). Collectively, these findings show that the EGFR T790M mutation detected in plasma DNA is associated strongly with a prior clinical response to gefitinib or erlotinib (P = 0.004). We further evaluated the time between the clinical development of resistance and plasma collection and the presence or absence of EGFR T790M in patients with a prior clinical response to gefitinib or erlotinib. The time between the development of clinical resistance and plasma collection was numerically longer but not significantly different (P = 0.829; Wilcoxon rank-sum test) in patients in whom we did not identify an EGFR T790M (median, 68 days; range, 1-940 days) compared with those in which an EGFR T790M was identified from plasma DNA (median, 38 days; range, 1-817 days).

Discussion

EGFR inhibitors are effective therapies against EGFR-mutant NSCLC (1-6). Given that only 10% to 15% of Caucasian NSCLC patients harbor EGFR-activating mutations, it is

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