# MAY PATENTS LTD. Yehuda BINDER, U.S. Patent Agent B.Sc.E.E.; M.Sc.E.E; M.B.A

## February 15, 2019

U.S. Patent and Trademark Office (USPTO) Customer Service Window Mail Stop Patent Application 401 Dulany Street Alexandria, VA 22314

> Re: New Utility Patent Application in U.S. Applicant(s): WEB SPARK LTD. Title: SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION Attorney Docket No.: HOLA-005-U9

## Sir/Madam,

Attached herewith is the above-identified application for Letters Patent including:

- 1. A request for prioritized examination under 37 CFR 1.102(e) (PTO/SB/424);
- 2. Applicant asserts small entity status. See 37 CFR 1.27.
- 3. Application Data Sheet (PTO/AIA/14);
- 4. Specification (33 pages), Claims 1-24 (4 pages) and abstract (1 page).
- 5. Fifteen (15) sheets of Drawings (Figures 1-15).
- 6. Declaration
  - [X] Newly executed [] Copy from prior application no.
- 7. Assignment submitted through EPAS

[X ] Newly executed [] Copy from prior application no.

- 8. Power of Attorney
- 9. Information Disclosure Statement (PTO/SB/08).

Certain documents were previously filed or cited to the USPTO in the prior application 15/957,945, which is relied upon under 35 U.S.C. § 120. Applicant(s) identify these documents by attaching an Information Disclosure Statement listing these documents and request that they be considered and made of record in accordance with 37 CFR § 1.98(d). Per Section 1.98(d), copies of these documents need not be filed in the application.

e-mail: yehuda@maypatents.com; Mobile: +972-54-4444577

Ex. 1027 - Page 1

# MAY PATENTS LTD. Yehuda BINDER, U.S. Patent Agent B.Sc.E.E.; M.Sc.E.E; M.B.A

## 10. Electronic Payment in the amount of US\$ 3,185 is being made by deposit

account no. 506726 to cover filing fee calculated as follows:

|                        | Fee (US\$)   |         |        |        |
|------------------------|--------------|---------|--------|--------|
| Basic Filing Fee       | 75.00        |         |        |        |
| Search Fee             |              |         |        | 330.00 |
| Examination Fee        |              |         |        | 380.00 |
| Publication Fee        |              |         |        | 130.00 |
| Prioritized Examinatio | 70.00        |         |        |        |
| Prioritized Examinatio | 2,000.00     |         |        |        |
| Total Sheets           | Extra Sheets |         | Rate   |        |
| 54/100                 |              |         | 200.00 |        |
| Claims                 | # Filed      | # Extra | Rate   |        |
| Total Claims           | 1-24         | 4       | 50.00  | 200.00 |
| Independent Claims     |              |         |        |        |
| Multiple Dependent C   |              |         |        |        |
|                        | 3,185.00     |         |        |        |

- 1. As in the prior application 15/957,945, please associate the above referenced application with **Customer No. 131926**.
- 2. The Correspondence Address associated with Customer No. 131926.

Submitted by, May Patents Ltd.

By: <u>/Yehuda Binder/</u> Yehuda Binder Registration No. 73,612

e-mail: yehuda@maypatents.com; Mobile: +972-54-4444577

| Application Data Sheet 37 CFR 1.76  |   | Attorney Docket Number | HOLA-005-US9 |  |  |
|---|---|------------------------|--------------|--|--|
|   |   | Application Number     |              |  |  |
| Title of Invention  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |                        |              |  |  |
| The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. |   |                        |              |  |  |

# Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## **Inventor Information:**

| Invent  | tor  | 1              |                  |              |        |                   |          | Remove                |       | -  |       |
|---------|--|----------------|------------------|--------------|--------|-------------------|----------|-----------------------|-------|----|-------|
| Legal   | Name   |                |                  |              |        |                   |          |                       |       |    |       |
| Prefix  | Give   | en Name        |                  | Middle Nam   | e      |                   | Family N | Name                  |       | Su | ıffix |
| •       | Derr   |                |                  |              |        |                   | Shribman | Ì                     |       |    | •     |
| Resid   | dence  | Information (  | Select One)      | US Residency | ٠      | Non US Re         | sidency  | Active US Military Se | rvice | _  |       |
| City    | Tel Av   | /iv            |                  | Country of I | Reside | ence <sup>i</sup> |          | L                     |       | _  |       |
|         |  |                |                  |              |        |                   |          |                       |       |    |       |
|         |  |                |                  |              |        |                   |          |                       |       |    |       |
|         |  | ess of Invente |                  |              |        |                   |          |                       |       |    |       |
| Addre   |  |                | 9/6 Beylinson St | ••           |        |                   |          |                       |       |    |       |
| Addre   | ess 2  |                |                  |              |        |                   |          |                       |       |    |       |
| City    |  | Tel Aviv       | 1                |              |        | State/Pro         | !        |                       |       |    |       |
| Posta   | l Code   | 9              | 6356709          |              | Cou    | ntry i            | IL       |                       |       |    |       |
| Invent  |  | 2              |                  |              |        |                   |          | Remove                |       |    |       |
| Legal   | Name   |                |                  |              |        |                   |          |                       |       |    |       |
| Prefix  | Give   | en Name        |                  | Middle Name  | e      |                   | Family N | Name                  |       | Su | Iffix |
| -       | Ofer   |                |                  |              |        |                   | Vilenski |                       |       |    | -     |
| Resid   | dence  | Information (  | Select One)      | US Residency | ۲      | Non US Re         | sidency  | Active US Military Se | rvice |    |       |
| City    | Mosh   | av Hadar Am    |                  | Country of I | Reside | ence <sup>i</sup> |          | L                     |       |    |       |
|         | •  |                |                  | •            |        |                   |          |                       |       |    |       |
|         |  |                |                  |              |        |                   |          |                       |       |    |       |
| Mailing | j Addr   | ess of Invente | or:              |              |        |                   |          |                       |       |    |       |
| Addre   | ess 1  |                | 8 Hahollandim St | reet         |        |                   |          |                       |       |    |       |
| Addre   | ess 2  |                |                  |              |        |                   |          |                       |       |    |       |
| City    |  | Moshav Hada    | r Am             |              |        | State/Pro         | vince    |                       |       |    |       |
| Posta   | l Code   | 2              | 42935            |              | Cou    | ntry i            | IL       |                       |       |    |       |
|         | All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. |                |                  |              |        |                   |          |                       |       |    |       |

# **Correspondence Information:**

PTO/AIA/14 (11-15)

Approved for use through 04/30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Application Data Sheet 27 CED 1 76   |  | Attorney Docket Number HOLA- |            | 5-US9       |  |  |  |
|--|--|------------------------------|------------|-------------|--|--|--|
|  | Application Data Sheet 37 CFR 1.76   |                              |            |             |  |  |  |
| Title of Invention SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |                              |            |             |  |  |  |
|  | omer Number or complete<br>nation see 37 CFR 1.33(a).                                | the Correspondence Inforr    | nation sec | tion below. |  |  |  |
| 🔲 An Address is  | An Address is being provided for the correspondence Information of this application. |                              |            |             |  |  |  |
| Customer Numbe   | 131926   |                              |            |             |  |  |  |
| Email Address  |  | Add Email Remove Email       |            |             |  |  |  |

# **Application Information:**

| Title of the Invention  | SYSTEM PROVIDI          | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |                    |  |        |  |  |  |
|---|-------------------------|---|--------------------|--|--------|--|--|--|
| Attorney Docket Number  | HOLA-005-US9            |   | Small Entity Sta   | atus Claimed 🛛 🖂   |        |  |  |  |
| Application Type  | Nonprovisional          |   |                    |  | •      |  |  |  |
| Subject Matter  | Utility                 |   |                    |  | -      |  |  |  |
| Total Number of Drawing   | Sheets (if any)         | 15  | Suggested Fig      | gure for Publication (if any)  |        |  |  |  |
| Filing By Reference   | ):                      |   |                    |  |        |  |  |  |
|   | cification and any drav | wings are being filed.  | Any domestic benef | FR 1.57(a). Do not complete this secti<br>fit or foreign priority information mus<br>nd "Foreign Priority Information"). |        |  |  |  |
| For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a). |                         |   |                    |  |        |  |  |  |
| Application number of the previo<br>filed application   | ously Filing da         | sly Filing date (YYYY-MM-DD) Intelle                          |                    |  | ountry |  |  |  |
|   |                         |   |                    |  |        |  |  |  |

## **Publication Information:**

| Request Early Publication (Fee required at time of Request 37 CFR 1.219)   |
|--|
| <b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing. |

# **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

| Please Select One: | Customer Number | US Patent Practitioner | Limited Recognition (37 CFR 11.9) |
|--------------------|-----------------|------------------------|-----------------------------------|
| Customer Number    | 131926          |                        |                                   |

| Application Da     | ita Sheet 37 CFR 1.76  | Attorney Docket Number                    | HOLA-005-US9 |  |  |  |
|--------------------|------------------------|---|--------------|--|--|--|
| Application Da     |                        | Application Number                        |              |  |  |  |
| Title of Invention | SYSTEM PROVIDING FASTE | TER AND MORE EFFICIENT DATA COMMUNICATION |              |  |  |  |

# **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

| Prior Application  | on Status                          | Pending         |    |                                       | •  |                             |     | Ren         | nove                        |
|--|------------------------------------|-----------------|----|---------------------------------------|----|-----------------------------|-----|-------------|-----------------------------|
| Application N  | Application Number Continuity Type |                 |    | Prior Application Number (YYYY-MM-DD) |    |                             |     |             |                             |
|  |                                    | Continuation of | of |                                       | •  | 15/957945                   |     | 2018-04-20  |                             |
| Prior Application  | on Status                          | Patented        |    |                                       | •  |                             |     | Ren         | nove                        |
| Application<br>Number  | Cont                               | inuity Type     |    | Prior Applicati<br>Number             | on | Filing Date<br>(YYYY-MM-DD) | Pa  | tent Number | Issue Date<br>(YYYY-MM-DD)  |
| 15/957945  | Continuat                          | ion of 🛛 🔻      |    | 14/025109                             |    | 2013-09-12                  | 10  | 069936      | 2018-09-04                  |
| Prior Application  | on Status                          | Patented        |    |                                       | •  |                             |     | Ren         | nove                        |
| Application<br>Number  | Cont                               | inuity Type     |    | Prior Applicati<br>Number             | on | Filing Date<br>(YYYY-MM-DD) | Ра  | tent Number | Issue Date<br>(YYYY-MM-DD)  |
| 14/025109  | Division o                         | f               |    | 12/836059                             |    | 2010-07-14                  | 85  | 60604       | 2013-10-15                  |
| Prior Application  | on Status                          | Expired         |    |                                       | •  |                             |     | Ren         | nove                        |
| Application N  | umber                              | Cont            | in | uity Type                             |    | Prior Application Num       | ber | -           | or 371(c) Date<br>YY-MM-DD) |
| 12/836059 Claims benefit of provisional  |                                    |                 | ▼  | 61/249624                             |    | 2009-10-08                  |     |             |                             |
| Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button. |                                    |                 |    |                                       |    |                             |     |             |                             |

## **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

|   |                      |                          | Remove                                   |
|---|----------------------|--------------------------|--|
| Application Number                      | Country <sup>i</sup> | Filing Date (YYYY-MM-DD) | Access Code <sup>i</sup> (if applicable) |
|   |                      |                          |  |
| Additional Foreign Priority Add button. | Add                  |                          |  |

| Application Da     | ita Sheet 37 CFR 1.76  | Attorney Docket Number                   | HOLA-005-US9 |  |  |  |
|--------------------|------------------------|--|--------------|--|--|--|
| Application Da     |                        | Application Number                       |              |  |  |  |
| Title of Invention | SYSTEM PROVIDING FASTE | ER AND MORE EFFICIENT DATA COMMUNICATION |              |  |  |  |

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

| Application Da                     | ta Shoot 37 CED 1 76   | Attorney Docket Number                   | HOLA-005-US9 |  |  |  |
|------------------------------------|------------------------|--|--------------|--|--|--|
| Application Data Sheet 37 CFR 1.76 |                        | Application Number                       |              |  |  |  |
| Title of Invention                 | SYSTEM PROVIDING FASTE | ER AND MORE EFFICIENT DATA COMMUNICATION |              |  |  |  |

## Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

**<u>NOTE</u>**: This section of the Application Data Sheet is <u>**ONLY</u>** reviewed and processed with the <u>**INITIAL**</u> filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.</u>

## 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).

**B.** <u>Search Results from U.S. Application to EPO</u> - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant
 application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.

B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent
 application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE:** Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

PTO/AIA/14 (11-15)

Approved for use through 04/30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Application Da                     | ata Shoot 37 CED 1 76                         | Attorney Docket Number | HOLA-005-US9     |
|------------------------------------|---|------------------------|------------------|
| Application Data Sheet 37 CFR 1.76 |   | Application Number     |                  |
| Title of Invention                 | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DA |                        | TA COMMUNICATION |

# **Applicant Information:**

| to have an assignment recorde  | d by the Office.                       |   |  |
|--|--|---|--|
| Applicant 1  |  |   | Remove   |
| The information to be provided in<br>1.43; or the name and address of<br>who otherwise shows sufficient p<br>applicant under 37 CFR 1.46 (as |  | s of the legal representativ<br>nventor is under an obligat<br>s the applicant under 37 C<br>is obligated to assign, or p | re who is the applicant under 37 CFR<br>ion to assign the invention, or person |
| Assignee   | Legal Representative un                | nder 35 U.S.C. 117  | Joint Inventor   |
| Person to whom the inventor  | is obligated to assign.                | Person who show   | s sufficient proprietary interest  |
| If applicant is the legal repres   | sentative, indicate the authority to f | file the patent application   | on, the inventor is:   |
|  |  |   | •  |
| Name of the Deceased or Le   | gally Incapacitated Inventor:          |   |  |
| If the Applicant is an Organi  | zation check here.                     |   |  |
| Organization Name  | B SPARK LTD.                           |   |  |
|  |  |   |  |
| Mailing Address Informati  | on For Applicant:                      |   |  |
| Mailing Address Informati<br>Address 1   | on For Applicant:<br>3 Hamahshev St.,  |   |  |
| -  |  |   |  |
| Address 1  |  | State/Province  |  |
| Address 1<br>Address 2   | 3 Hamahshev St.,                       | State/Province<br>Postal Code   | 42507  |
| Address 1<br>Address 2<br>City   | 3 Hamahshev St.,                       |   | 42507  |
| Address 1 Address 2 City Country L   | 3 Hamahshev St.,                       | Postal Code   | 42507  |

# Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

PTO/AIA/14 (11-15) Approved for use through 04/30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| Attorney Docket Number HOLA-005-US9   |  |                        |                   |                |               |                  |      |                  |
|---|--|------------------------|-------------------|----------------|---------------|------------------|------|------------------|
| Application Data Sheet 37 CFR 1.76  |  | Application N          |                   |                |               |                  |      |                  |
| Title of Invention  | e of Invention SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |                        |                   |                |               |                  |      |                  |
| Assignee 1  |  |                        |                   |                |               |                  |      |                  |
| Complete this section<br>application publication<br>publication as an app<br>patent application pul   | n. An assigne<br>licant. For ar  | ee-applicant identifie | d in the "Applica | ant Informatio | n" section w  | ill appear on th | e pa | tent application |
|   |  |                        |                   |                |               | F                | Remo | ove              |
| If the Assignee or  | Non-Applic   | ant Assignee is an     | Organization      | check here.    |               |                  |      |                  |
| Prefix  | Give   | en Name                | Middle Nan        | ne             | Family Na     | ame              | Su   | ffix             |
|   | -  |                        | 1                 |                |               |                  |      | -                |
| Mailing Address I   | nformation   | For Assignee inc       | -<br>luding Non-A | Applicant A    | ssignee:      |                  | -    |                  |
| Address 1   |  |                        |                   |                |               |                  |      |                  |
| Address 2   |  |                        |                   |                |               |                  |      |                  |
| City  |  |                        | State/Province    |                |               |                  |      |                  |
| Country <sup>i</sup>  |  |                        |                   | Postal Co      | de            |                  |      |                  |
| Phone Number  |  |                        |                   | Fax Numb       | er            |                  |      |                  |
| Email Address   |  |                        |                   | •              | •             |                  |      |                  |
| Additional Assigne selecting the Add I  |  | pplicant Assignee      | Data may be g     | enerated wi    | thin this for | rm by            | A    | dd               |
|   |  |                        |                   |                |               |                  |      |                  |
| Signature:  |  |                        |                   |                |               |                  |      | move             |
| NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application<br>Data Sheet is submitted with the INITIAL filing of the application and either box A or B is <u>not</u> checked in<br>subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must<br>also be signed in accordance with 37 CFR 1.14(c).<br>This Application Data Sheet <u>must</u> be signed by a patent practitioner if one or more of the applicants is a juristic<br>entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a<br>patent practitioner, <u>all</u> joint inventors who are the applicant, or one or more joint inventor-applicants who have been given<br>power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of <u>all</u> joint inventor-applicants.<br>See 37 CFR 1.4(d) for the manner of making signatures and certifications. |  |                        |                   |                |               |                  |      |                  |
| Signature         /Yehuda Binder/         Date (YYYY-MM-DD)         2019-02-03  |  |                        |                   | 2019-02-03     |               |                  |      |                  |
| First Name Yeh  | uda  | Last Name              | BINDER            |                | Registi       | ration Numbe     | r    | 73612            |
| Additional Signature may be generated within this form by selecting the Add button.   |  |                        |                   |                |               |                  |      |                  |

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#### PTO/AIA/14 (11-15) Approved for use through 04/30/2017. OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| Application Da                     | ta Shoot 37 CED 1 76                              | Attorney Docket Number | HOLA-005-US9     |
|------------------------------------|---|------------------------|------------------|
| Application Data Sheet 37 CFR 1.76 |   | Application Number     |                  |
| Title of Invention                 | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA C |                        | TA COMMUNICATION |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

# **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## CERTIFICATION AND REQUEST FOR PRIORITIZED EXAMINATION UNDER 37 CFR 1.102(e) (Page 1 of 1)

|   | UNDER 37 CFI  | <b>C 1.102(e)</b> (Page 1 of 1                             | 1)                           |  |  |
|---|---|--|------------------------------|--|--|
| First Named<br>Inventor:  | Derry Shribman  | Nonprovisional Application N known):                       | umber (if                    |  |  |
| Title of<br>Invention:         SYSTEM PROVIDING FASTER AND MORE EFFICIENT   |   |  | IENT DAT                     | A COMMUNICATION                        |  |
| APPLICANT HEREBY CERTIFIES THE FOLLOWING AND REQUESTS PRIORITIZED EXAMINATION FOR<br>THE ABOVE-IDENTIFIED APPLICATION.  |   |  |                              |  |  |
| CFR 1.<br>filed wit   | ocessing fee set forth in 37 CFR 1<br>17(c), and if not already paid, the<br>th the request. The basic filing fee<br>claims and application size fees a | publication fee set fort<br>, search fee, examina          | h in 37 CF<br>tion fee, ai   | R 1.18(d) have been<br>nd any required |  |
|   | plication contains or is amended t<br>e than thirty total claims, and no m  |  |                              | ependent claims and                    |  |
| 3. The ap   | plicable box is checked below:  |  |                              |  |  |
| I. <u>1</u>   | Original Application (Track One   | e) - Prioritized Examii                                    | nation und                   | der <u>§ 1.102(e)(1)</u>               |  |
| This ce<br>(b) The  | application is an original nonprov<br>rtification and request is being file<br>OR<br>application is an original nonprov                                 | d with the utility applic<br>-<br>isional plant applicatio | ation via E<br>n filed und   | FS-Web.<br>er 35 U.S.C. 111(a).        |  |
|   | rtification and request is being file<br>ecuted oath or declaration under 3   |  |                              |  |  |
| II. <u> </u>  | Request for Continued Examination   | ation - Prioritized Exa                                    | mination                     | under <u>§ 1.102(e)(2)</u>             |  |
| <ul> <li>i. A request for continued examination has been filed with, or prior to, this form.</li> <li>ii. If the application is a utility application, this certification and request is being filed via EFS-Web.</li> <li>iii. The application is an original nonprovisional utility application filed under 35 U.S.C. 111(a), or is a national stage entry under 35 U.S.C. 371.</li> <li>iv. This certification and request is being filed prior to the mailing of a first Office action responsive to the request for continued examination.</li> <li>v. No prior request for continued examination has been granted prioritized examination status under 37 CFR 1.102(e)(2).</li> </ul> |   |  |                              |  |  |
| <sub>Signature</sub> /Yeh   | uda Binder/   |  | Date 201                     | 9-02-03                                |  |
| <u>U</u>  | nuda Binder   |  | Practitioner<br>Registration | 73612                                  |  |

**<u>Note</u>**: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required in accordance with 37 CFR 1.33 and 11.18. Please see 37 CFR 1.4(d) for the form of the signature. If necessary, submit multiple forms for more than one signature, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.

v

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Page 2

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# TRANSMITTAL FOR POWER OF ATTORNEY TO ONE OR MORE **REGISTERED PRACTITIONERS**

NOTE: This form is to be submitted with the Power of Attorney by Applicant form (PTO/AIA/82B) to identify the application to which the Power of Attorney is directed, in accordance with 37 CFR 1.5, unless the application number and filing date are identified in the Power of Attorney by Applicant form. If neither form PTO/AIA/82A nor form PTO/AIA82B identifies the application to which the Power of Attorney is directed, the Power of Attorney will not be recognized in the application.

| Application Number   |  |                        |                                |  |
|--|--|------------------------|--------------------------------|--|
| Filing Date  |  |                        |                                |  |
| First Named Inventor   | Derry Shribman   |                        |                                |  |
| Title  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA<br>COMMUNICATION       |                        |                                |  |
| Art Unit   |  |                        |                                |  |
| Examiner Name  |  |                        |                                |  |
| Attorney Docket Number   | HOLA-005-US7, US8, HOLA-005-US9, US10                                  |                        |                                |  |
| SIGNATURE of A   | pplicant or Patent Practitioner  | r                      |                                |  |
|  | uda Binder/  | Date (Optional)        | 2019-02-03                     |  |
| Name Yehuda  | a Binder   | Registration<br>Number | 73,612                         |  |
| Title (if Applicant is a juristic entity)                      |  |                        |                                |  |
| Applicant Name (if Applicant is a                              | l.   |                        |                                |  |
| NOTE: This form must be signed more than one applicant, use mu | d in accordance with 37 CFR 1.33. See 37 CFR 1.4(d) f<br>Itiple forms. | for signature requi    | rements and certifications. If |  |
| Total of forms are submitted.                                  |  |                        |                                |  |

This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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# POWER OF ATTORNEY BY APPLICANT

| I hereby revoke all pr<br>the boxes below.  | revious powers of attorney given in   | n the application                              | n identifie  | ed in <u>either</u> the | attached                          | transmittal letter or                                 |
|---|---|--|--|-------------------------|-----------------------------------|---|
| the boxes below.  |   |  |  |                         |                                   |   |
|   | Application Number  | F  | iling Date   | 3                       | _                                 |   |
|   |   |  |  |                         |                                   |   |
|   | e: The boxes above may be left blank  |  |  |                         |                                   |   |
| I hereby appoint the Patent Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s), to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced the attached transmittal letter (form PTO/AIA/82A) or identified above: |   |  | orney(s) or agent(s), and<br>plication referenced in |                         |                                   |   |
| OR  |   |  | 1319   | 26                      |                                   |   |
| I hereby appo   | oint Practitioner(s) named in the attach<br>n the United States Patent and Tradem<br>smittal letter (form PTO/AIA/82A) or ide   | nark Office conne                              | ected there  | ewith for the pate      | ent applica                       | ition referenced in the                               |
| Please recognize of letter or the boxes   | or change the correspondence a above to:  | ddress for the                                 | applicat   | tion identified         | t in the at                       | ttached transmittal                                   |
| The address a   | associated with the above-mentioned (   | Customer Numb                                  | ər   |                         |                                   |   |
|   | associated with Customer Number:  |  |  |                         |                                   |   |
| OR  |   | · · · · · · · · · · · · · · · · · · ·          |  |                         |                                   | <u></u>   |
| Firm or<br>Individual Nat   | me  |  |  |                         |                                   | ······································                |
| Address   |   |  |  |                         | -                                 | <b></b>   |
| City  |   | State  |  |                         | Zip                               |   |
| Country   |   |  |  |                         |                                   |   |
| Telephone   |   | Emai   | <br>   | L                       |                                   |   |
| I am the Applicant (if th   | he Applicant is a juristic entity, list the <i>i</i>  | Applicant name i                               | n the box)   | :                       |                                   |   |
| WEB SPA   | RK LTD.   |  |  |                         |                                   |   |
| Inventor or J   | oint Inventor (title not required below)  |  |  |                         |                                   |   |
|   | sentative of a Deceased or Legally Inca   | apacitated Invent                              | or (title no   | t required below        | v)                                |   |
|   | Person to Whom the Inventor is Under  |  |  |                         |                                   | cant is a juristic entity)                            |
|   | Otherwise Shows Sufficient Proprietar<br>r is concurrently being filed with this do   | v Interest (e.g., a                            | petition u   | Inder 37 CFR 1.         | .46(b)(2) w                       | as granted in the                                     |
|   |   | JRE of Applican                                |  |                         |                                   |   |
| The undersigned (w  | hose title is supplied below) is authorized   | d to act on behalf                             | of the app   | licant (e.g., when      | e the applic                      | cant is a juristic entity).                           |
| Signature   |   |  |  | te (Optional)           | 6 - Fe                            | 6-2019  |
| Name  | Derry Shribman  |  |  |                         |                                   |   |
| Title   | CEO of Web Spark Ltd.   |  |  |                         |                                   |   |
| NOTE: Signature -<br>and certifications. If   | NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications. If more than one applicant, use multiple forms. |  |  |                         |                                   |   |
|   | forms are submitted.  |  |  |                         |                                   |   |
|   | n is required by 37 CFR 1.131, 1.32, and 1.33. Th ication. Confidentiality is governed by 35 U.S.C.   | ne information is requi<br>122 and 37 CFR 1.11 | red to obtain<br>and 1.14. Th                        | or retain a benefit by  | y the public w<br>nated to take : | hich is to file (and by the<br>3 minutes to complete, |

USP I O to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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No more than ten (10) patent practitioners total may be appointed as set forth below by name and registration number. This page need not be submitted if appointing the Patent Practitioner(s) associated with a Customer Number (see form PTO/AIA/82B):

| Name | Registration<br>Number |
|------|------------------------|
|      |                        |
|      |                        |
|      |                        |
|      |                        |
|      |                        |
|      |                        |

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| Under  | the Opper   |   | PTO/AIA/01 (06-12)<br>Approved for use through 01/31/2014. OMB 0651-0032<br>U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE<br>duction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.  |
|--|---|---|---|
| DEC  |   | ATIO  | N (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN<br>APPLICATION DATA SHEET (37 CFR 1.76)  |
| Title of<br>Invention  | SYS   | TEM   | PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION  |
| As the belo  | w name  | ed inve   | entor, I hereby declare that:   |
| This declar  |   |   | The attached application, or  |
| 15 Unected   |   |   | United States application or PCT international application number   |
|  |   |   | filed on  |
| The above  | -identifi   | ed app  | lication was made or authorized to be made by me.   |
| I believe th   | at I am   | the or  | ginal inventor or an original joint inventor of a claimed invention in the application.   |
| l hereby ac<br>by fine or i  | cknowle<br>mprison  | dge th<br>ment  | at any willful false statement made in this declaration is punishable under 18 U.S.C. 1001<br>of not more than five (5) years, or both.   |
|  |   |   | WARNING:  |
| contribute<br>(other than<br>to support<br>petitioners<br>USPTO. I<br>application<br>patent. Fit | to ident<br>a chec<br>a petitio<br>s/applica<br>Petitiono<br>n (unles<br>urthermo | ity the<br>ck or ci<br>on or a<br>ants sh<br>er/appl<br>s a no<br>ore, th | Autioned to avoid submitting personal information in documents filed in a patent application that may<br>ft. Personal information such as social security numbers, bank account numbers, or credit card numbers<br>redit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO<br>in application. If this type of personal information is included in documents submitted to the USPTO,<br>ould consider redacting such personal information from the documents before submitting them to the<br>icant is advised that the record of a patent application is available to the public after publication of the<br>n-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a<br>e record from an abandoned application may also be available to the public if the application is<br>id application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms<br>- payment purposes are not retained in the application file and therefore are not publicly available. |
| LEGAL<br>Invento<br>Signatu  | r: Ofe  |   | VENTOR<br>nsk ( Date (Optional) :   |
| Note: An a been previ  | pplicatio   | ed. Use   | sheet (PTO/SB/14 or equivalent), including naming the entire inventive entity, must accompany this form or must have<br>an additional PTO/AIA/01 form for each additional inventor.   |
| by the USPT  | O to oroc   | 255) 80   | s required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any ne you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. ne you require to complete the Complete Depart 40, 24313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO  |

comments on the amount of time you require to complete the commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN

| • | APPLICATION DATA SHEET (37 CFR 1.76)                |
|---|---|
|   | JIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |

| Title of<br>Invention   | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION  |
|---|--|
| As the belo   | w named inventor, I hereby declare that:   |
| This declar is directed   |  |
|   | United States application or PCT international application number  |
|   | filed on   |
| The above-  | dentified application was made or authorized to be made by me.   |
| I believe tha   | t I am the original inventor or an original joint inventor of a claimed invention in the application.  |
|   | nowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 prisonment of not more than five (5) years, or both.   |
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- A record from this system of records may be disclosed, as a routine use, in the course of
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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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# SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION

## CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a continuation application of U.S. non-provisional patent application no. 15/957,945, filed Apr. 20, 2018, which is a continuation application of U.S. non-provisional patent application no. 14/025,109, filed Sep. 12, 2013 and issued as U.S. Patent No. 10,069,936 on Sep. 04, 2018, which is a divisional application of U.S. non-provisional patent application entitled "SYSTEM AND METHOD FOR PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION" having Ser. No. 12/836,059, filed Jul. 14, 2010 and issued as U.S. Patent No. 8,560,604 on Oct. 15, 2013, and claims priority to U.S. provisional patent application entitled "SYSTEM AND METHOD FOR REDUCING INTERNET CONGESTION," having Ser. No. 61/249,624, filed Oct. 8, 2009, which are hereby incorporated herein by reference in their entirety.

## FIELD OF THE INVENTION

The present invention is related to Internet communication, and more particularly, to improving data communication speed and bandwidth efficiency on the Internet.

## BACKGROUND OF THE INVENTION

There are several trends in network and Internet usage, which tremendously increase the bandwidth that is being used on the Internet. One such trend is that more and more video is being viewed on demand on the Internet. Such viewing includes the viewing of both large and short video clips. In addition, regular shows and full-featured films may be viewed on the Internet. Another trend that is increasing the traffic on the Internet is that Web sites (such as shopping portals, news portals, and social networks) are becoming global, meaning that the Web sites are serving people in many diverse places on the globe, and thus the data is traversing over longer stretches of the Internet, increasing the congestion.

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The increase in bandwidth consumption has created several major problems, a few of which are described below:

<u>The problem for users</u> – the current Internet bandwidth is not sufficient, and thus the effective 'speed' experienced by users is slow;

<u>The problem for content owners</u> – the tremendous amount of data being viewed by users is costing large amounts of money in hosting and bandwidth costs; and

The problem for Internet Service Providers (ISPs) – the growth in Internet traffic is requiring the ISPs to increase the infrastructure costs (communication lines, routers, etc.) at tremendous financial expense.

The need for a new method of data transfer that is fast for the consumer, cheap for the content distributor and does not require infrastructure investment for ISPs, has become a major issue which is yet unsolved.

There have been many attempts at making the Internet faster for the consumer and cheaper for the broadcaster. Each such attempt is lacking in some aspect to become a widespread, practical solution, or is a partial solution in that it solves only a subset of the major problems associated with the increase in Internet traffic. Most of the previous solutions require billions of dollars in capital investment for a comprehensive solution. Many of these attempts are lacking in that much of the content on the Internet has become dynamically created per the user and the session of the user (this is what used to be called the "Web2.0" trend). This may be seen on the Amazon Web site and the Salesforce Web site, for example, where most of the page views on these Web sites is tailored to the viewer, and is thus different for any two viewers. This dynamic information makes it impossible for most of the solutions offered to date to store the content and provide it to others seeking similar content.

One solution that has been in use is called a "proxy". FIG. 1 is a schematic diagram providing an example of use of a proxy within a network 2. A proxy, or proxy server 4, 6, 8 is a device that is placed between one or more clients, illustrated in FIG. 1 as client devices 10, 12, 14, 16, 18, 20, that request data, via the Internet 22, and a Web server or Web servers 30, 32, 34 from which they are requesting the data. The proxy server 4, 6, 8 requests the data from the Web servers 30, 32, 34 on their behalf, and caches the responses from the Web servers 30, 32, 34, to provide to other client devices that make similar requests. If the proxy server 4, 6, 8 is geographically close enough to the client devices 10, 12, 14, 16, 18, 20, and if the storage and bandwidth of the proxy server 4, 6, 8 are large enough, the proxy server 4, 6, 8 will speed up the requests for the client devices 10, 12, 14, 16, 18, 20 that it is serving.

It should be noted, however, that to provide a comprehensive solution for Internet surfing, the proxy servers of FIG. 1 would need to be deployed at every point around the world where the Internet is being consumed, and the storage size of the proxy servers at each location would need to be near the size of all the data stored anywhere on the Internet. The abovementioned would lead to massive costs that are impractical. In addition, these proxy solutions cannot deal well with dynamic data that is prevalent now on the Web.

There have been commercial companies, such as Akamai, that have deployed such proxies locally around the world, and that are serving a select small group of sites on the Internet. If all sites on the Web were to be solved with such a solution, the capital investment would be in the range of billions of dollars. In addition, this type of solution does not handle dynamic content.

To create large distribution systems without the large hardware costs involved with a proxy solution, "peer-to-peer file sharing" solutions have been introduced, such as, for example, BitTorrent, FIG. 2 is a schematic diagram providing an example of a peer-to-peer file transfer network 50. In the network 50, files are stored on computers of consumers, referred to herein as

client devices 60. Each consumer can serve up data to other consumers, via the Internet 62, thus taking the load of serving off of the distributors and saving them the associated costs, and providing the consumer multiple points from which to download the data, referred to herein as peers 70, 72, 74, 76, 78, thus increasing the speed of the download. However, each such peer-to-peer solution must have some sort of index by which to find the required data. In typical peer-to-peer file sharing systems, because the index is on a server 80, or distributed among several servers, the number of files available in the system is not very large (otherwise, the server costs would be very large, or the lookup time would be very long).

The peer-to-peer file sharing solution is acceptable in file sharing systems, because there are not that many media files that are of interest to the mass (probably in the order of magnitude of millions of movies and songs that are of interest). Storing and maintaining an index of millions of entries is practical technically and economically. However, if this system were to be used to serve the hundreds of billions of files that are available on the Internet of today, the cost of storing and maintaining such an index would be again in the billions of dollars. In addition, these types of peer-to-peer file sharing systems are not able to deal with dynamic HTTP data.

In conclusion, a system does not exist that enables fast transmission of most of the data on the Internet, that does not incur tremendous costs, and/or that provides only a very partial solution to the problem of Internet traffic congestion. Thus, a heretofore unaddressed need exists in the industry to address the aforementioned deficiencies and inadequacies.

## SUMMARY OF THE INVENTION

The present system and method provides for faster and more efficient data communication within a communication network. Briefly described, in architecture, one embodiment of the system, among others, can be implemented as follows. A network is provided

for accelerating data communication, wherein the network contains: at least one client communication device for originating a data request for obtaining the data from a data server; at least one agent communication device which is assigned to the data server for receiving the data request from the client communication device, wherein the agent keeps track of which client communication devices have received responses to data requests from the assigned data server; at least one peer communication device for storing portions of data received in response to the data request by the at least one client communication device, wherein the portions of data may be transmitted to the at least one client communication device upon request by the client communication device; and at least one acceleration server for deciding which agent communication device is to be assigned to which data server and providing this information to the at least one client communication device.

The present system and method also provides a communication device within a network, wherein the communication device contains: a memory; and a processor configured by the memory to perform the steps of: originating a data request for obtaining data from a data server; being assigned to a data server, referred to as an assigned data server; receiving a data request from a separate device within the network, and keeping track of which client communication devices within the network have received responses to data requests from the assigned data server; and storing portions of data received in response to the originated data request, wherein the portions of data may be transmitted to communication device upon request by the communication device.

Other systems, methods, features, and advantages of the present invention will be or become apparent to one with skill in the art upon examination of the following drawings and detailed description. It is intended that all such additional systems, methods, features, and advantages be included within this description, be within the scope of the present invention, and be protected by the accompanying claims.

## **BRIEF DESCRIPTION OF THE DRAWINGS**

Many aspects of the invention can be better understood with reference to the following drawings. The components in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating the principles of the present invention. Moreover, in the drawings, like reference numerals designate corresponding parts throughout the several views.

FIG. 1 is a schematic diagram providing a prior art example of use of a proxy within a network.

FIG. 2 is a schematic diagram providing a prior art example of a peer-to-peer file transfer network.

FIG. 3 is a schematic diagram providing an example of a communication network in accordance with the present invention.

FIG. 4 is a schematic diagram further illustrating a communication device of the communication network of FIG. 3.

FIG. 5 is a schematic diagram further illustrating the memory of FIG. 4.

FIG. 6 is a schematic diagram further illustrating elements of the acceleration application of FIG. 5, as well as communication paths of the acceleration application.

FIG. 7 is a chart further illustrating two of the main databases utilized within the communication network.

FIG. 8 is a flowchart illustrating operation of the acceleration system initializer module.

FIG. 9 is a flowchart further illustrating communication between different elements of the communication network.

FIG. 10 is a flowchart continuing the flowchart of FIG. 9 and focused on agent response to the HTTP request.

FIG. 11 is a flowchart continuing the flowchart of FIG. 10, which illustrates actions taken upon receipt of the list of peers, or single peer listing, from the agent.

FIG. 12 is a flowchart illustrating steps taken by an agent, client, or peer to determine whether a certain HTTP request is still valid.

FIG. 13 is a flowchart outlining operation of the acceleration server.

FIG. 14 is a flowchart further illustrating TCPIP acceleration in accordance with an alternative embodiment of the invention.

FIG. 15 is a flowchart further illustrating TCPIP acceleration in accordance with an alternative embodiment of the invention, detailing the communication between the client and the TCPIP server (read and write commands) after the connect phase has completed successfully.

## **DETAILED DESCRIPTION**

The present system and method provides for faster and more efficient data communication within a communication network. An example of such a communication network 100 is provided by the schematic diagram of FIG. 3. The network 100 of FIG. 3 contains multiple communication devices. Due to functionality provided by software stored within each communication device, which may be the same in each communication device, each communication device may serve as a client, peer, or agent, depending upon requirements of the network 100, as is described in detail herein. It should be noted that a detailed description of a communication device is provided with regard to the description of FIG. 4.

Returning to FIG. 3, the exemplary embodiment of the network 100 illustrates that one of the communication devices is functioning as a client 102. The client 102 is capable of communication with one or more peers 112, 114, 116 and one or more agents 122. For exemplary purposes, the network contains three peers and one agent, although it is noted that a client can communicate with any number of agents and peers.

The communication network 100 also contains a Web server 152. The Web server 152 is the server from which the client 102 is requesting information and may be, for example, a typical HTTP server, such as those being used to deliver content on any of the many such servers on the Internet. It should be noted that the server 152 is not limited to being an HTTP server. In fact, if a different communication protocol is used within the communication network, the server may be a server capable of handling a different protocol. It should also be noted that while the present description refers to the use of HTTP, the present invention may relate to any other communication protocol and HTTP is not intended to be a limitation to the present invention.

The communication network 100 further contains an acceleration server 162 having an acceleration server storage device 164. As is described in more detail herein, the acceleration server storage device 164 has contained therein an acceleration server database. The acceleration server database stores Internet protocol (IP) addresses of communication devices within the communication network 100 having acceleration software stored therein. Specifically, the acceleration server database contains stored therein a list of communication devices having acceleration software stored therein that are currently online within the communication network 100. For each such agent, the acceleration server assigns a list of IP addresses.

In the communication network 100 of FIG. 3, the application in the client 102 is requesting information from the Web server 152, which is why the software within the communication device designated this communication device to work as a client. In addition, since the agent 122 receives the request from the client 102 as the communication device closest

to the Web server 152, functionality of the agent 122, as provided by the software of the agent 122, designates this communication device to work as an agent. It should be noted, that in accordance with an alternative embodiment of the invention, the agent need not be the communication device that is closest to the Web server. Instead, a different communication device may be selected to be the agent.

Since the peers 112, 114, 116 contain at least portions of the information sought by the client 102 from the Web server 152, functionality of the peers 112, 114, 116, as provided by the software of the peers 112, 114, 116, designates these communication devices to work as peers. It should be noted that the process of designating clients, agents, and peers is described in detail herein. It should also be noted that the number of clients, agents, peers, acceleration servers, Web servers, and other components of the communication network 100 may differ from the number illustrated by FIG. 3. In fact, the number of clients, agents, peers, acceleration servers, Web servers, and other components of the communication network 100 are not intended to be limited by the current description.

Prior to describing functionality performed within a communication network 100, the following further describes a communication device 200, in accordance with a first exemplary embodiment of the invention. FIG. 4 is a schematic diagram further illustrating a communication device 200 of the communication network 100, which contains general components of a computer. As previously mentioned, it should be noted that the communication device 200 of FIG. 4 may serve as a client, agent, or peer.

Generally, in terms of hardware architecture, as shown in FIG. 4, the communication device 200 includes a processor 202, memory 210, at least one storage device 208, and one or more input and/or output (I/O) devices 240 (or peripherals) that are communicatively coupled via a local interface 250. The local interface 250 can be, for example but not limited to, one or more buses or other wired or wireless connections, as is known in the art. The local interface 250

may have additional elements, which are omitted for simplicity, such as controllers, buffers (caches), drivers, repeaters, and receivers, to enable communications. Further, the local interface 250 may include address, control, and/or data connections to enable appropriate communications among the aforementioned components.

The processor 202 is a hardware device for executing software, particularly that stored in the memory 210. The processor 52 can be any custom made or commercially available processor, a central processing unit (CPU), an auxiliary processor among several processors associated with the communication device 200, a semiconductor based microprocessor (in the form of a microchip or chip set), a macroprocessor, or generally any device for executing software instructions.

The memory 210, which is further illustrated and described by the description of FIG. 5, can include any one or combination of volatile memory elements (*e.g.*, random access memory (RAM, such as DRAM, SRAM, SDRAM, *etc.*)) and nonvolatile memory elements (*e.g.*, ROM, hard drive, tape, CDROM, *etc.*). Moreover, the memory 210 may incorporate electronic, magnetic, optical, and/or other types of storage media. Note that the memory 210 can have a distributed architecture, where various components are situated remote from one another, but can be accessed by the processor 202.

The software 212 located within the memory 210 may include one or more separate programs, each of which contains an ordered listing of executable instructions for implementing logical functions of the communication device 200, as described below. In the example of FIG. 4, the software 212 in the memory 210 at least contains an acceleration application 220 and an Internet browser 214. In addition, the memory 210 may contain an operating system (O/S) 230. The operating system 230 essentially controls the execution of computer programs and provides scheduling, input-output control, file and data management, memory management, and communication control and related services. It should be noted that, in addition to the

acceleration application 220, Internet browser 214, and operating system 230, the memory 210 may contain other software applications.

While the present description refers to a request from the client originating from an Internet browser, the present invention is not limited to requests originating from Internet browsers. Instead, a request may originate from an email program or any other program that would be used to request data that is stored on a Web server, or other server holding data that is requested by the client device.

Functionality of the communication device 200 may be provided by a source program, executable program (object code), script, or any other entity containing a set of instructions to be performed. When a source program, then the program needs to be translated via a compiler, assembler, interpreter, or the like, which may or may not be included within the memory 210, so as to operate properly in connection with the operating system 230. Furthermore, functionality of the communication device 200 can be written as (a) an object oriented programming language, which has classes of data and methods, or (b) a procedure programming language, which has routines, subroutines, and/or functions.

The I/O devices 240 may include input devices, for example but not limited to, a keyboard, mouse, scanner, microphone, *etc.* Furthermore, the I/O devices 240 may also include output devices, for example but not limited to, a printer, display, *etc.* Finally, the I/O devices 240 may further include devices that communicate via both inputs and outputs, for instance but not limited to, a modulator/demodulator (modern; for accessing another device, system, or network), a radio frequency (RF) or other transceiver, a telephonic interface, a bridge, a router, *etc.* 

When the communication device 200 is in operation, the processor 202 is configured to execute the software 212 stored within the memory 210, to communicate data to and from the memory 210, and to generally control operations of the communication device 200 pursuant to

the software 212. The software 212 and the O/S 230, in whole or in part, but typically the latter, are read by the processor 202, perhaps buffered within the processor 202, and then executed.

When functionality of the communication device 200 is implemented in software, as is shown in FIG. 4, it should be noted that the functionality can be stored on any computer readable medium for use by or in connection with any computer related system or method. In the context of this document, a computer readable medium is an electronic, magnetic, optical, or other physical device or means that can contain or store a computer program for use by or in connection with a computer related system or method. The functionality of the communication device 200 can be embodied in any computer-readable medium for use by or in connection with an instruction execution system, apparatus, or device, such as a computer-based system, processor-containing system, or other system that can fetch the instructions from the instruction execution system, apparatus, or device and execute the instructions. In the context of this document, a "computer-readable medium" can be any means that can store, communicate, propagate, or transport the program for use by or in connection with the instruction execution system, apparatus, or device.

The computer readable medium can be, for example but not limited to, an electronic, magnetic, optical, electromagnetic, infrared, or semiconductor system, apparatus, device, or propagation medium. More specific examples (a non-exhaustive list) of the computer-readable medium would include the following: an electrical connection (electronic) having one or more wires, a portable computer diskette (magnetic), a random access memory (RAM) (electronic), a read-only memory (ROM) (electronic), an erasable programmable read-only memory (EPROM, electronic), an optical fiber (optical), and a portable compact disc read-only memory (CDROM) (optical). Note that the computer-readable medium could even be paper or another suitable medium upon which the program is printed, as the program can be electronically captured, via for instance optical scanning of the paper or other medium, then

compiled, interpreted or otherwise processed in a suitable manner if necessary, and then stored in a computer memory.

In an alternative embodiment, where the functionality of the communication device 200 is implemented in hardware, the functionality can be implemented with any or a combination of the following technologies, which are each well known in the art: a discrete logic circuit(s) having logic gates for implementing logic functions upon data signals, an application specific integrated circuit (ASIC) having appropriate combinational logic gates, a programmable gate array(s) (PGA), a field programmable gate array (FPGA), *etc.* 

The at least one storage device 208 of the communication device 200 may be one of many different categories of storage device. As is described in more detail herein, the storage device 208 may include a configuration database 280 and a cache database 282. Alternatively, the configuration database 280 and cache database 282 may be located on different storage devices that are in communication with the communication device 200. The description that follows assumes that the configuration database 280 and cache database 280 and cache database 282 are located on the same storage device, however, it should be noted that the present invention is not intended to be limited to this configuration.

The configuration database 280 stores configuration data that is common to all elements of the communication network 100 and is used to provide set up and synchronization information to different modules of the acceleration application 220 stored within the memory 210, as is described in further detail herein. The cache database 282 stores responses to HTTP requests that the communication device 200 has dispatched, either for its own consumption or on behalf of other elements of the communication network 100. As is explained in additional detail herein, the responses to HTTP requests are stored within the cache database 282 for future use by this communication device 200, or for other communication devices within the

communication network 100 that need to retrieve this information and will use this communication device as either a peer or an agent.

In addition to the abovementioned, as is explained in further detail herein, the cache database 282 has stored therein a list of URLs that the communication device is aware of (i.e., has seen requests for). For each URL, the cache database 282 has stored therein the URL itself, HTTP headers returned by the Web Server for this URL, when the last time was that the contents of this URL was loaded directly from the Web Server, when the contents of the URL had last changed on the Web Server, as well as a list of chunks that contain the contents of this URL, and the chunks of data themselves. Chunks in the present description are defined as equally sized pieces of data that together form the whole content of the URL. It should be noted that while the present description provides for chunks being equally sized pieces of data, in accordance with an alternative embodiment of the invention, the chunks may instead be of different size.

FIG. 5 is a schematic diagram further illustrating the memory 210 of FIG. 4. As shown by FIG. 5, the memory 210 may be separated into two basic levels, namely, an operating system level 260 and an application level 270. The operating system level 260 contains the operating system 230, wherein the operating system 230 further contains at least one device driver 262 and at least one communication stack 264. The device drivers 262 are software modules that are responsible for the basic operating commands for various hardware devices of the communication device 200, such as the processor 202, the storage device 208 and the I/O devices 240. In addition, the communication stacks 264 provide applications of the communication device 200 with a means of communicating within the network 100 by implementing various standard communication protocols.

The application level 270 includes any application that is running on the communication device 200. As a result, the application level 270 includes the Internet browser 214, which is used to view information that is located on remote Web servers, the acceleration application 220,

as described in more detail below, and any other applications 216 stored on the communication device 200.

As is explained in additional detail below, the acceleration application 220 intercepts the requests being made by applications of the communication device (client) that use the Internet, in order to modify the requests and route the requests through the communication network. There are various methods that may be used to intercept such requests. One such method is to create an intermediate driver 272, which is also located within the memory 210, that attaches itself to all communication applications, intercepts outgoing requests of the communication applications of the communication device 200, such as the Internet browser 214, and routes the requests to the acceleration application 220. Once the acceleration application 220 modifies the requests, routes the requests to other system elements on the communication network 100, and receives replies from other system elements of the communication network 100, the acceleration application 220 returns the replies to the intermediate driver 272, which provides the replies back to the requesting communication application.

FIG. 6 is a schematic diagram further illustrating elements of the acceleration application 220, as well as communication paths of the acceleration application 220. The acceleration application 220 contains an acceleration system initializer module 222, which is called when the acceleration application 220 is started. The acceleration system initializer module 222 is capable of initializing all elements of the communication device 200 The acceleration application 220 also contains three separate modules that run in parallel, namely, a client module 224, a peer module 226, and an agent module 228, each of which comes into play according to the specific role that the communication device 200 is partaking in the communication network 100 at a given time. The role of each module is further described herein.

The client module 224 provides functionality required when the communication device 200 is requesting information from the Web server 152, such as, for example, but not limited to,

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Web pages, data, video, or audio. The client module 224 causes the communication device 200 having the client module 224 therein to intercept the information request and pass the information request on to other elements of the communication network 100, such as, servers, agents or peers. This process is further described in detail herein.

The peer module 226 provides functionality required by the communication device 200 when answering other clients within the communication network 100 and providing the other clients with information that they request, which this communication device 200, having this peer module 226 therein, has already downloaded at a separate time. This process is further described in detail herein.

The agent module 228 provides functionality required when other communication devices of the communication network 100 acting as clients query this communication device 200, having this agent module 228 therein, as an agent, to obtain a list of peers within the communication network 100 that contain requested information. This process is further described in detail herein.

The acceleration application 220 interacts with both the configuration database 280 and the cache database 282 of the storage device 208. As previously mentioned herein, the configuration database 280 stores configuration data that may be common to all communication devices of the communication network 100 and is used to provide setup and synchronization information to different modules 222, 224, 226, 228 of the acceleration application 220 stored within the memory 210.

The cache database 282 stores responses to information requests, such as, for example, HTTP requests, that the communication device 200 has dispatched, either for its own consumption or on behalf of other elements of the communication network 100. The responses to HTTP requests are stored within the cache database 282 for future use by this communication device 200, or for other communication devices within the communication network 100 that

need to retrieve this same information and will use this communication device 200 as either a peer or an agent. This process is described in detail herein.

Information stored within the cache database 282 may include any information associated with a request sent by the client. As an example, such information may include, metadata and actual requested data. For example, for an HTTP request for a video, the metadata may include the version of the Web server answering the request from the client and the data would be the requested video itself. In a situation where there is no more room for storage in the cache database, the software of the associated communication device may cause the communication device to erase previous data stored in order to clear room for the new data to store in the cache database. As an example, such previous data may include data that is most likely not to be used again. Such data may be old data or data that is known to no longer be valid. The communication device may choose to erase the least relevant data, according to any of several methods that are well known in the art.

FIG. 7 is a chart further illustrating two of the main databases utilized within the communication network 100, namely, the acceleration server database 164 and the cache database 282. As previously mentioned, the acceleration server database 164 stores IP addresses of communication devices located within the communication network 100, which have acceleration software stored therein. Specifically, the acceleration server database 164 contains stored therein a list of communication devices having acceleration software stored therein that are currently online within the communication network 100. The acceleration server assigns a list of IP addresses to each communication device functioning as an agent. Each communication device will be the agent for any Web servers whose IP address is in the range 'owned' by that communication device. As an example, when a first ever communication device goes online, namely, the first communication device as described herein having the acceleration application 220 therein, the acceleration server assigns all IP addresses in the world to this communication device, and this communication device will be the agent for any Web server assigns all IP addresses in the world to this communication device, and this communication device will be the agent for any Web server. When a second

communication device goes online it will share the IP address list with the first communication device, so that each of the communication devices will be responsible for a different part of the world wide web servers.

The cache database 282 of the communication device 200 has stored therein a list of URLs 286 of which the communication device 200 is aware. The communication device 200 becomes aware of a URL each time that the communication device 200 receives a request for information located at a specific URL. As shown by FIG. 7, for each URL 288 within the list of URLs 286, the cache database 282 stores: the URL itself 290; HTTP headers 292 returned by the Web Server 152 for this URL; when the last time 294 was that the contents of this URL were loaded directly from the Web Server 152; and a list of chunks 298 that contain the contents of this URL, and the content of the chunk. As previously mentioned, chunks, in the present description, are defined as equally sized pieces of data that together form the entire content of the URL, namely, the entire content whose location is described by the URL. As a non-limiting example, a chunk size of, for example, 16KB can be used, so that any HTTP response will be split up into chunks of 16KB. In accordance with an alternative embodiment of the invention, if the last chunk of the response is not large enough to fill the designated chunk size, such as 16KB for the present example, the remaining portion of the chunk will be left empty.

For each such chunk 300, the cache database 282 includes the checksum of the chunk 302, the data of the chunk 304 itself, and a list of peers 306 that most likely have the data for this chunk. As is described in additional detail herein, the data for the chunk may be used by other clients within the communication network 100 when other communication devices of the communication network 100 serve as peers to the clients, from which to download the chunk data.

For each chunk, a checksum is calculated and stored along side of the chunk itself. The checksum may be calculated in any of numerous ways known to those in the art. The purpose of having the checksum is to be able to identify data uniquely, whereas the checksum is the "key" to the data, where the data is the chunk. As an example, a client may want to load the contents of a URL, resulting in the agent that is servicing this request sending the checksums of the chunks to the client, along with the peers that store these chunks. It is to be noted that there could be a different peer for every different chunk. The client then communicates with each such peer, and provides the checksum of the chunk that it would like the peer to transmit back to the client. The peer looks up the checksum (the key) in its cache database, and provides back the chunk (data) that corresponds to this checksum (the key). As shown by FIG. 7, for each peer 308 within the list of peers 306, the cache database 282 includes the peer IP address 310, as well as the connection status 312 of the peer, which represents whether the peer 308 is online or not.

In accordance with one embodiment of the invention, the cache database 282 may be indexed by URL and by Checksum. Having the cache database indexed in this manner is beneficial due to the following reason. When the agent is using the cache database, the agent receives a request from a client for the URL that the client is looking for. In such a case the agent needs the cache database to be indexed by the URL, to assist in finding a list of corresponding peers that have the chunks of this URL. When the peers are using this cache database, the peers obtain a request from the client for a particular checksum, and the peers need the database to be indexed by the checksum so that they can quickly find the correct chunk. Of course, as would be understood by one having ordinary skill in the art, the cache database may instead be indexed in any other manner.

Having described components of the communication network 100, the following further describes how such components interact and individually function. FIG. 8 is a flowchart 300 illustrating operation of the acceleration system initializer module 222 (hereafter referred to as the initializer 222 for purposes of brevity). It should be noted that any process descriptions or

blocks in flowcharts should be understood as representing modules, segments, portions of code, or steps that include one or more instructions for implementing specific logical functions in the process, and alternative implementations are included within the scope of the present invention in which functions may be executed out of order from that shown or discussed, including substantially concurrently or in reverse order, depending on the functionality involved, as would be understood by those reasonably skilled in the art of the present invention.

The initializer 222 is the first element of the communication device 200 to operate as the communication device 200 starts up (block 302). As the initializer 222 starts, it first communicates with the acceleration server 162 to sign up with the acceleration server 162. This is performed by providing the acceleration server 162 with the hostname, and all IP addresses and media access control (MAC) addresses of the interfaces on the communication device 200 having the initializer 222 thereon.

In accordance with an alternative embodiment of the invention, as shown by block 304, the initializer 222 checks with the acceleration server 162 whether a more updated version of the acceleration application software is available. This may be performed by any one of many known methods, such as, but not limited to, by providing the version number of the acceleration application software to the acceleration server 162. The message received back from the acceleration server 162 indicates whether there is a newer version of the acceleration application software exists, the initializer 222 downloads the latest version of the acceleration application software from the acceleration server 162, or from a different location, and installs the latest version on the communication device 200. In addition to the abovementioned, the initializer 222 may also schedule additional version checks for every set period of time thereafter. As an example, the initializer 222 may check for system updates every two days.

As shown by block 306, the initializer 222 then redirects outgoing network traffic from the communication device 200 to flow through the acceleration application 162. As previously mentioned, one way to redirect the outgoing network traffic is to insert an intermediate driver 212 that intercepts and redirects the traffic. It should be noted that there are many other ways to implement this redirection, which are well known to those having ordinary skill in the art.

As shown by block 308, the initializer 222 then launches the client module 224 of the communication device 200, and configures the client module 224 of the communication device 200 to intercept to all outgoing network communications of the communication device 200 and route the outgoing network communications to the client module 224, from the intermediate driver 272 or other routing method implemented. This is performed so that the client module 224 is able to receive all network traffic coming from the network applications, modify the network traffic if necessary, and re-route the traffic. As is known by those having ordinary skill in the art, in order to re-route the traffic, the traffic needs to be modified, as an example, to change the destination of requests.

As shown by block 310, the initializer 222 then launches the agent module 228 and the peer module 226 to run on the communication device 200. The agent module 228 and peer module 226 listen on pre-determined ports of the communication device 200, so that incoming network traffic on these ports gets routed to the agent module 228 and peer module 226. As is explained in further detail herein, the abovementioned enables the communication device 200 to function as an agent and as a peer for other communication devices within the communication network 100, as needed.

FIG. 9 is a flowchart 350 further illustrating communication between different elements of the communication network 100, in accordance with the present system and method for providing faster and more efficient data communication.

As shown by block 352, an application running on the client 200 initiates a request for a resource on a network. Such a request may be, for example, "GET http://www.aol.com/index.html HTTP/1.1". The request may come from an Internet browser 214 located on the client 200, where the Internet browser 214 is loading a page from the Internet, an application that wants to download information from the Internet, fetch or send email, or any other network communication request.

Through the intermediate driver 272, or other such mechanism as may be implemented that is re-routing the communication to the client module 224 of the client 200, the resource request is intercepted by the client module 224 that is running on the client 200 (block 354). The client module 224 then looks up the IP address of the server 152 that is the target of the resource request (e.g., the IP address of the Web server that is the host of www.aol.com in the example above), and sends this IP address to the acceleration server 162 (block 356) in order to obtain a list of communication devices that the client 200 can use as agents (hereafter referred to as agents). It should be noted that the process of performing an IP lookup for a server is known by one having ordinary skill in the art, and therefore is not described further herein.

In response to receiving the IP address of the server 152, the acceleration server 162 prepares a list of agents that may be suitable to handle the request from this IP address (block 358). The size of the list can differ based on implementation. For exemplary purposes, the following provides an example where a list of five agents is prepared by the acceleration server 162. The list of agents is created by the acceleration server 162 by finding the communication devices of the communication network 100 that are currently online, and whose IP address is numerically close to the IP of the destination Web server 152. A further description of the abovementioned process is described here in.

As shown by block 360, the client module 224 then sends the original request (e.g., "GET http://www.aol.com/index.html HTTP/1.1") to all the agents in the list received from the

acceleration server 162 in order to find out which of the agents in the list is best suited to be the one agent that will assist with this request.

It should be noted that, in accordance with an alternative embodiment of the invention, the communication device 200 may be connected to a device that is actually requesting data. In such an alternative embodiment, the communication device would be a modular device connected to a requesting device, where the requesting device, such as, for example, a personal data assistant (PDA) or other device, would request data, and the communication device connected thereto, either through a physical connection, wireless connection, or any other connection, would receive the data request and function as described herein. In addition, as previously mentioned, it should be noted that the HTTP request may be replaced by any request for resources on the Web.

FIG. 10 is a flowchart continuing the flowchart 380 of FIG. 9 and focused on agent response to the request. As shown by block 382, upon receiving the request from the client 200, each agent that received the request from the client responds to the client 200 with whether it has information regarding the request, which can help the client to download the requested information from peers in the network. Specifically, each agent responds with whether the agent has seen a previous request for this resource that has been fulfilled. In such a case, the agent may then provide the client with the list of peers and checksums of the chunks that each of them have.

As shown by block 384, the client then decides which of the agents in the list to use as its agent for this particular information request. To determine which agent in the list to use as its agent for the particular information request, the client may consider multiple factors, such as, for example, factoring the speed of the reply by each agent and whether that agent does or does not have the information required. There are multiple ways to implement this agent selection, one practical way being to start a timer of a small window of time, such as, for example, 5ms, after receiving the first response from the agents, and after the small window, choosing from the list

of agents that responded, the agent that has the information about the request, or in the case that none of the agents responded, to choose the first agent from the list received from the acceleration server 162.

As shown by block 386, after selecting an agent, the client notifies the selected agent that it is going to use it for this request, and notifies the other agents that they will not be used for this request. The client then sends the selected agent a request for the first five chunks of data of the original information request (block 388). By specifying to the selected agent the requested chunks by their order in the full response, the client receives the peer list and checksums of the requested chunks from the selected agent. As an example, for the first five chunks the client will ask the selected agent for chunks one through five, and for the fourth batch of five chunks the client will ask the agent for chunks sixteen through twenty. As previously mentioned, additional or fewer chunks may be requested at a single time.

As shown by block 390, after receiving the request from the client, the selected agent determines whether it has information regarding the requested chunks of data by looking up the request in its cache database and determining if the selected agent has stored therein information regarding peers of the communication network that have stored the requested data of the request, or whether the selected agent itself has the requested data of the request stored in its memory. In addition to determining if the selected agent contains an entry for this request in its database, the selected agent may also determine if this information is still valid. Specifically, the selected agent or the memory of the peers, still mirrors the information that would have been received from the server itself for this request. A further description of the process utilized by the selected agent to determine if the information is still valid, is described in detail herein.

As shown by block 392, if the information (requested data of the request) exists and is still valid, then the agent prepares a response to the client, which includes for each of the chunks:

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(i) the checksum of the chunk; (ii) a list of peers that according to the database of the selected agent contains these chunks; and (iii) if these are the first five chunks of the information, then the selected agent also provides the specific protocol's headers that would have been received from the server, had the initial request from the client been made directly to the server.

As shown by block 394, the list of peers for each chunk is sorted by geographical proximity to the requesting client. In accordance with the present example, only the five closest peers are kept in the list for every chunk, and the rest of the peers are discarded from this list. As shown by block 396, the prepared response, namely, the list of closest peers, is sent back to the client. It should be noted that, if this were the last set of chunks to be provided for this request, then it would be beneficial to include information about this to the client.

If the selected agent discovers that it does not have information about this request, or if the selected agent discovers that the information it has is no longer valid, the selected agent needs to load the information directly from the server in order to be able to provide an answer to the requesting client. As shown by block 400, the selected agent then sends the request directly to the server. The selected agent then stores the information it receives from the server (both the headers of the request, as well as chunks of the response itself) in its database, for this particular response to the client, as well as for future use to other clients that may request this data (block 402). The selected agent then prepares a response (list) for the client, where the response includes the protocol headers (if these are the first five chunks), and the checksums of the five chunks, and provides itself as the only peer for these chunks (block 404). This list is then sent back to the client (block 406).

FIG. 11 is a flowchart 420 continuing the flowchart of FIG. 10, which illustrates actions taken upon receipt of the list of peers, or single peer listing, from the agent. As shown by block 422, the client receives the response from the agent (including the list of chunks and their corresponding data, including peers and other information previously mentioned) and, for each

of the five chunks, the client sends a request to each of the peers listed for the chunk to download the chunk. The chunk request that the client sends to each of the peers is the checksum of the data that the client seeks to receive, which is the key (identifier) of the chunk.

As shown by block 424, the peers then respond regarding whether they still have the data of the chunk. As an example, some of the peers may not currently be online, some may be online but may have discarded the relevant information, and some may still have the relevant information, namely, the chunk. As shown by block 426, the client then selects the quickest peer that responds with a positive answer regarding the requested information, the client lets that peer know that it is chosen to provide the client with the chunk, and the client notifies the other peers that they are not chosen.

As shown by block 428, the chosen peer then sends the chunk to the client. It should be noted that if no peers answer the request of the client, the client goes back to the agent noting that the peers were all negative, and the agent either provides a list of 5 other agents, if they exist, or the agent goes on to download the information directly from the Web server as happens in the case where no peers exist as described above.

The client then stores the chunks in its cache for future use (block 430), when the client may need to provide the chunks to a requesting communication device when acting as a peer for another client that is looking for the same information. As shown by block 432, if some of the chunks were not loaded from any of the peers, the client requests the chunks again from the agent in a next round of requests, flagging these chunks as chunks that were not loadable from the client list of peers. In this situation, the agent will load the data directly from the server and provide it back to the client.

The client then acknowledges to the agent which of the chunks it received properly (block 434). The agent then looks up these chunks in the database of the agent, and adds the

client to the list of peers for these chunks, specifically, since this client is now storing these chunks, and can provide these chunks to other clients that turn to it as a peer (block 436).

As shown by block 438, the client then passes the data on to the Web browser or other application of the client that made the original request, for it to use as it had originally intended. The client then checks whether all of the chunks for this request were received (block 440), by checking the flag set by the agent. Specifically, when the agent is providing the list of the last 5 chunks, the agent includes that information as part of its reply to the client, which is referred to herein as a flag. This information is what enables the client to know that all information has been received for a particular resource request.

If the last received chunks were not the last chunks for this request, the processing flow of the client continues by returning to the functionality of block 384 of FIG. 10, but instead sending the chosen agent a request for the next five chunks of data of the original information request. Alternatively, if all chunks for this request were received, the request is complete, and the flow starts again at block 352 of FIG. 9.

FIG. 12 is a flowchart 500 illustrating steps taken by an agent, client, or peer to determine whether a certain HTTP request is still valid. Specifically, the following provides an example of how the agent, client, or peer can determine whether particular data that is stored within the memory of the agent, or the memory of a peer or client, still mirrors the information that is currently on the Web server. As shown by block 502, the HTTP request is looked up in the cache database of the agent, client or peer that is checking the validity of the HTTP request. As an example, the HTTP protocol, defined by RFC 2616, outlines specific methods that Web servers can define within the HTTP headers signifying the validity of certain data, such as, but not limited to, by using HTTP header information such as "max age" to indicate how long this data may be cached before becoming invalid, "no cache" to indicate that the data may never be cached, and using other information.

As shown by block 504, these standard methods of validation are tested on the HTTP request information in question. As shown by block 506, a determination is made whether the requested information that is stored is valid or not. If the requested information is valid, a "VALID" response is returned (block 508). Alternatively, if the requested information is not valid, an HTTP conditional request is sent to the relevant Web server, to determine if the data stored for this request is still valid (block 510). If the data stored for this request is still valid (block 508). Alternatively, if the data stored for this request is still valid (block 508). Alternatively, if the data stored for this request is not valid, an "INVALID" response is returned (block 514). If should be noted, that the abovementioned description with regard to FIG. 12 is an explanation of how to check if HTTP information is still valid. There are similar methods of determining validity for any other protocol, which may be utilized, and which those having ordinary skill in the art would appreciate and understand.

FIG. 13 is a flowchart 550 outlining operation of the acceleration server, whose main responsibility in the present system and method is to provide clients with information regarding which agents serve which requests, and to keep the network elements all up to date with the latest software updates. As shown by block 552, the acceleration server sends "keep alive" signals to the network elements, and keeps track within its database as to which network elements are online. As shown by block 554, the acceleration server continues to wait for a client request and continues to determine if one is received.

Once a request is received, the acceleration server tests the type of request received (block 556). If the client request is to sign up the client within the network, an event that happens every time that the client starts running on its host machine, then that client is added to the list of agents stored on the acceleration server, sorted by the IP address of the client (block 558).

If the request is to find an agent to use for a particular request, the acceleration server creates a new agent list, which is empty (block 560). The acceleration server then searches the

agent database for the next 5 active agents whose IP address is closest to the IP address of the server who is targeted in the request (block 562). In this context, 192.166.3.103 is closer to 192.166.3.212 than to 192.167.3.104. The acceleration server then sends this agent list to the client (block 564).

If instead, the request is to check the version of the latest acceleration software then the acceleration server sends that network element (client, peer or agent) the version number of the latest existing acceleration software version, and a URL from where to download the new version, for the case that the element needs to upgrade to the new version (block 566).

While the abovementioned example is focused on HTTP requests for data, as previously mentioned, other protocol requests are equally capable of being handled by the present system and method. As an example, in separate embodiments the acceleration method described may accelerate any communication protocol at any OSI layer (SMTP, DNS, UDP, ETHERNET, etc.). In the following alternative embodiment, it is illustrated how the acceleration method may accelerate TCPIP. As is known by those having ordinary skill in the art, TCPIP is a relatively low-level protocol, as opposed to HTTP, which is a high level protocol. For purposes of illustration of TCPIP communication, reference may be made to FIG. 3, wherein the Web server is a TCPIP server.

In TCPIP there are three communication commands that are of particular interest, namely, connect, write, and read. Connect is a command issued by an application in the communication device that is initiating the communication to instruct the TCPIP stack to connect to a remote communication device. The connect message includes the IP address of the communication device, and the port number to connect to. An application uses the write command to instruct the TCPIP stack to send a message (i.e., data) to a communication device to which it is connected. In addition, an application uses the read command to ask the TCPIP stack to provide the message that was sent from the remote communication device to which it is

connected. A communication session typically exists of a connect, followed by a read and write on both sides.

FIG. 14 is a flowchart 600 further illustrating TCPIP acceleration in accordance with this alternative embodiment of the invention. As shown by blocks 601 and 602 when an application of the communication device makes a request to the communications stack to connect with the TCPIP server, that communication is intercepted by the acceleration application.

To find an agent, upon receiving that connect message from the communication device application, which includes the IP address of the TCPIP server and the port to connect to, the acceleration application in the client makes a request to the acceleration server to find out who the agent for the communication with the TCPIP server is. This step is performed in a similar manner to that described with regard to the main HTTP embodiment of the invention (block 604). As shown by block 606, the server then provides the client with a list of agents, for example, a primary agent and four others.

To establish a connection, as shown by block 608, the client issues a TCPIP connect with the primary agent or one of the other agents if the primary agent does not succeed, to create a connection with the agent. The client then sends to the agent the IP address of the TCPIP server and connection port that were provided by the communication device application (block 610). As shown by block 612, that agent in turn issues a TCPIP connect to the TCPIP server to the port it received from the client, to create a connection with the agent.

FIG. 15 is a flowchart 800 further illustrating TCPIP acceleration in accordance with this alternative embodiment of the invention, detailing the communication between the client and the TCPIP server (read and write commands) after the connect phase has completed successfully.

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As shown by block 802, if the network application within the client wants to send a message to the TCPIP server, the network application within the client writes the message to the TCPIP stack in the operating system of the client. This WRITE command is received by the acceleration application of the client and handled in the manner described below. If the TCPIP server wants to send a message to the client, the TCPIP server writes the message to the TCPIP stack of TCPIP operating system, on the connection to the agent, since this agent is where the server received the original connection. This WRITE command is received by the acceleration application of the agent and handled in the manner described below.

When the acceleration application of the client receives a message from the network application of the client to be sent to the agent, or when the acceleration application of the agent receives a message from the connection to the TCPIP server that is to be sent to the client, the acceleration application proceeds to send the message to the communication device on the other side. For instance, if the client has intercepted the message from the communication application, the client sends the message to the agent, and if it is the agent that intercepted the message from the connection to the TCPIP server, such as the TCPIP server sending a message that is intended for the communication with client, the agent sends the message to the client in the following manner:

As shown by block 804, the acceleration application breaks up the content of the message to chunks and calculates the corresponding checksums, in the same manner as in the main embodiment described herein. The acceleration application then looks up each checksum in its cache database (block 806). As shown by block 808, the acceleration application checks if the checksum exists in the cache database. If it does, then, as shown by block 810, the acceleration

application prepares a list of peers that have already received the chunk of the checksum in the past (if any), and adds the communication device of the other side to the list of communication devices that have received this chunk (adds it to the peer list of the checksum in its database), to be provided to other communication devices requesting this information in the future. As shown by block 812, the list of peers is sent to the receiving communication device, which, as shown by block 814 retrieves the chunks from the peers in the list received, in the same manner as in the main embodiment.

If the checksum does not exist within the cache database of the sending communication device then, as shown by block 820, the acceleration application adds the checksum and chunk to its cache database, sends the chunk to the communication device on the other side, and adds the other communication device to the list of peers for that checksum in its database.

As shown by block 816, a determination is then made as to whether all chunks have been received. If all chunks have not been received, the process continues on again from block 806.

Once all data has been received, as shown by block 818, the acceleration application passes the data on to the requester. Specifically, in the client, the acceleration application passes on the complete data to the communication application, and in the agent, the acceleration application passes on the complete data to the requesting TCPIP server.

It should be emphasized that the above-described embodiments of the present invention are merely possible examples of implementations, merely set forth for a clear understanding of the principles of the invention. Many variations and modifications may be made to the abovedescribed embodiments of the invention without departing substantially from the spirit and principles of the invention. All such modifications and variations are intended to be included

herein within the scope of this disclosure and the present invention and protected by the

following claims.

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### Claims

1. A method for use with a web server that responds to Hypertext Transfer Protocol (HTTP) requests and stores a first content identified by a first content identifier, the method by a first client device comprising:

establishing a Transmission Control Protocol (TCP) connection with a second server;

receiving, from the second server over the established TCP connection, the first content identifier;

sending, to the web server over the Internet, the first content identifier; and

receiving, the first content from the web server over the Internet in response to the sending of the first content identifier.

2. The method according to claim 1, further comprising sending the received first content, by the first client device to the second server over the established TCP connection, in response to the receiving of the first content identifier.

3. The method according to claim 1, wherein the sending of the first content identifier to the web server over the Internet comprises sending a Hypertext Transfer Protocol (HTTP) request that comprises the first content identifier.

4. The method according to claim 1, further comprising storing, by the first client device in response to the receiving from the web server, the first content.

5. The method according to claim 1, wherein the second server is a Transmission Control Protocol/Internet Protocol (TCP/IP) server that communicates over the Internet based on, or according to, using TCP/IP protocol or connection, and wherein the first client device is a Transmission Control Protocol/Internet Protocol (TCP/IP) client that communicates

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with the second server over the Internet based on, or according to, TCP/IP protocol.

6. The method according to claim 1, wherein the first client device communicates over the Internet based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

7. The method according to claim 1, wherein the first content comprises web-page, audio, or video content, and wherein the first content identifier comprises a Uniform Resource Locator (URL).

8. The method according to claim 1, further comprising executing, by the first client device, a web browser application or an email application.

9. The method according to claim 1, for use with a third server that comprises a web server that is Hypertext Transfer Protocol (HTTP) server, the third server responds to HTTP requests and stores a second content identified by a second content identifier, the method by the first client device further comprising:

receiving the second content identifier;

sending, to the third server over the Internet in response to the receiving, the second content identifier; and

receiving the second content from the third server over the Internet in response to the sending.

10. The method according to claim 9, further comprising executing, by the first client device, a web browser application or an email application.

11. The method according to claim 1, further comprising periodically communicating over the TCP connection between the second server and the first client device.

12. The method according to claim 11, wherein the periodically communicating comprises exchanging 'keep alive' messages.

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13. The method according to claim 1, wherein the first client device is identified by a Media Access Control (MAC) address or a hostname, and wherein the method further comprising sending, by the first client device, during, as part of, or in response to, a start-up or power-up of the first client device, a first message to the second server, and wherein the first messages comprises the first client IP address, the MAC address, or the hostname.

14. The method according to claim 13, for use with a first application stored in the first client device and associated with a first version number, wherein the first message comprises the first version number.

15. The method according to claim 14, for use with a second application that is a version of the first application, is stored in the second server, and is associated with a second version number, wherein the method further comprising receiving, by the first client device from the second server, in response to the first message, a second message that comprises the second version number.

16. The method according to claim 15, wherein the method further comprising downloading over the Internet, by the first client device from the second server, in response to the first message, the second application from the second server, and installing the second application in the first client device as a replacement for the first application.

17. The method according to claim 1, further comprising determining, by the first client device, that the received first content, is valid.

18. The method according to claim 17, wherein the determining is based on the received HTTP header according to, or based on, IETF RFC 2616.

19. The method according to claim 17, further comprising:

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sending, a message over the Internet in response to the determining that the received first content, is not valid; and

receiving, over the Internet in response to the sending of the message, from the second server or from a second client device selected from a plurality of client devices, the first content.

20. The method according to claim 1, further comprising storing, operating, or using, a client operating system.

21. The method according to claim 1, wherein the steps are sequentially executed.

22. The method according to claim 1, for use with a software application that includes computer instructions that, when executed by a computer processor, cause the processor to perform the sending of the Hypertext Transfer Protocol (HTTP) request, the receiving and storing of the first content, the receiving of the first content identifier, and the sending of a part of, or the whole of, the stored first content, the method is further preceded by:

downloading, by the first client device from the Internet, the software application; and

installing, by the first client device, the downloaded software application.

23. The method according to claim 22, wherein the software application is downloaded from the second server.

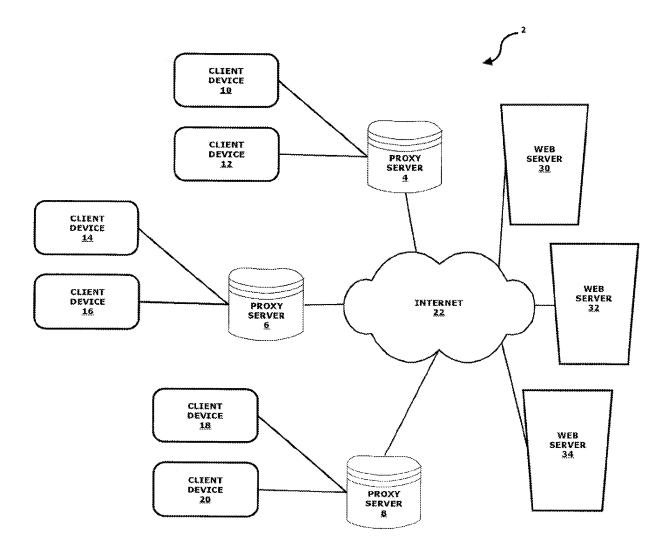
24. A non-transitory computer readable medium containing computer instructions that, when executed by a computer processor, cause the processor to perform the method according to claim 1.

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# Abstract

A system designed for increasing network communication speed for users, while lowering network congestion for content owners and ISPs. The system employs network elements including an acceleration server, clients, agents, and peers, where communication requests generated by applications are intercepted by the client on the same machine. The IP address of the server in the communication request is transmitted to the acceleration server, which provides a list of agents to use for this IP address. The communication request is sent to the agents. One or more of the agents respond with a list of peers that have previously seen some or all of the content which is the response to this request (after checking whether this data is still valid). The client then downloads the data from these peers in parts and in parallel, thereby speeding up the Web transfer, releasing congestion from the Web by fetching the information from multiple sources, and relieving traffic from Web servers by offloading the data transfers from them to nearby peers.

Ex. 1027 - Page 59



**FIG. 1** 

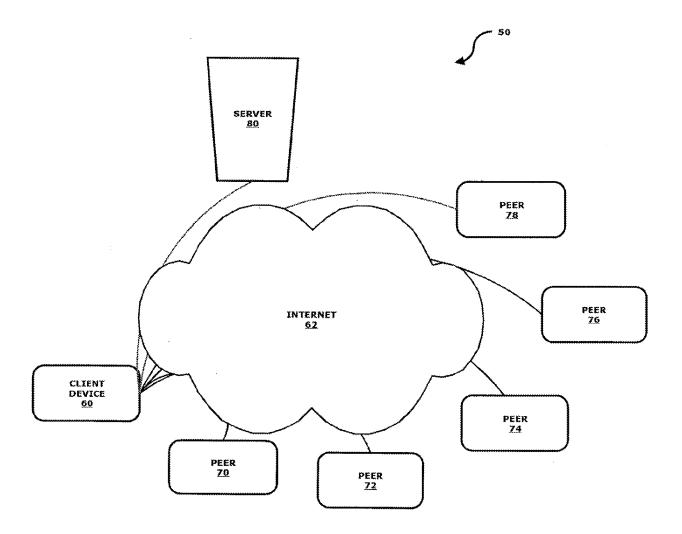


FIG. 2

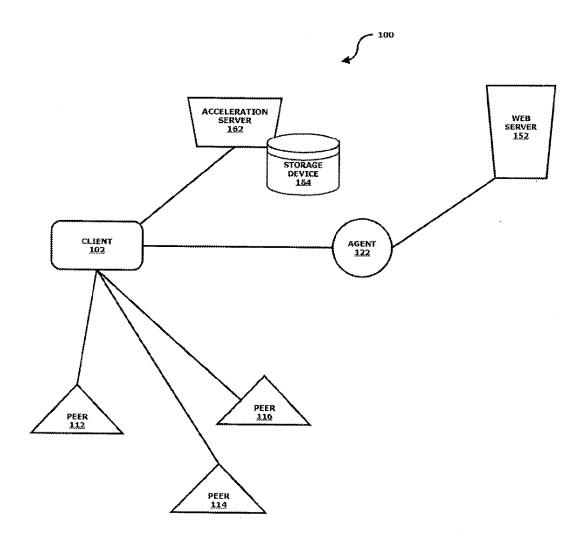
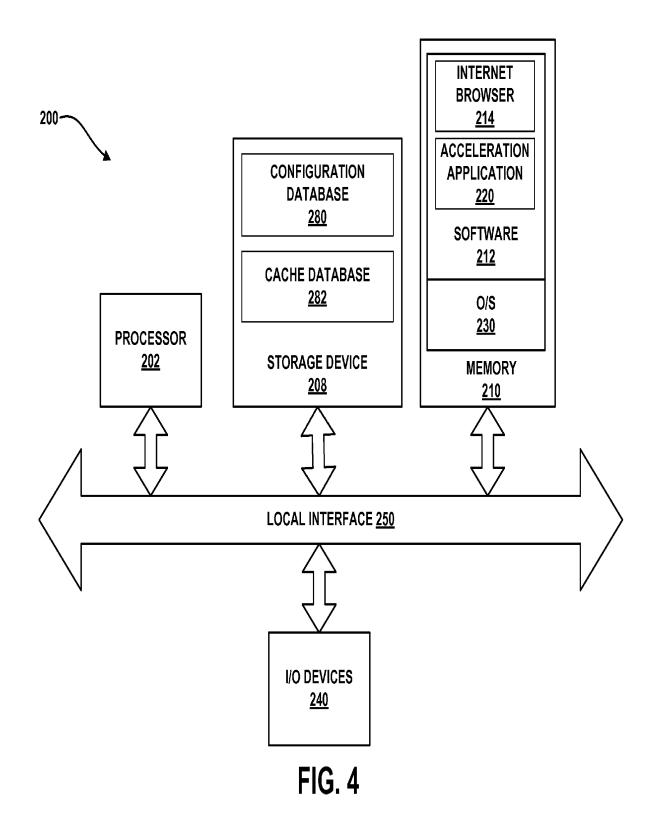
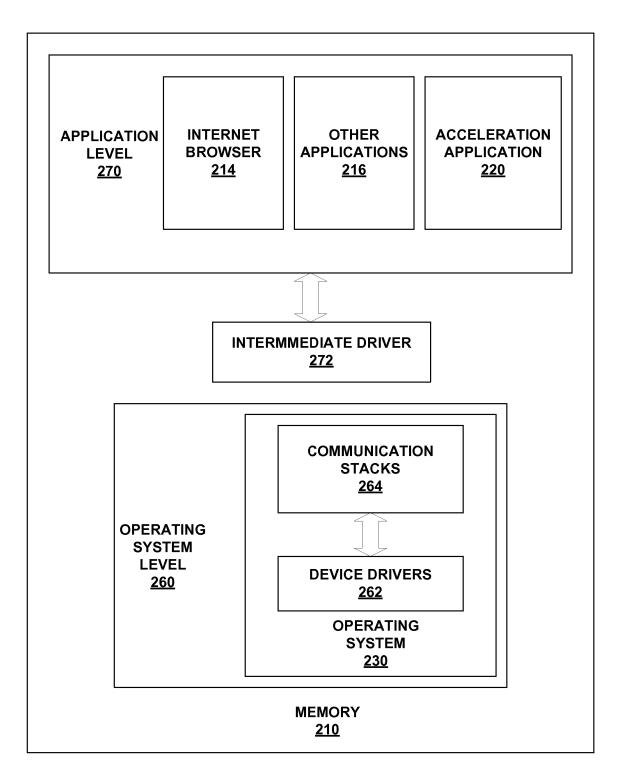
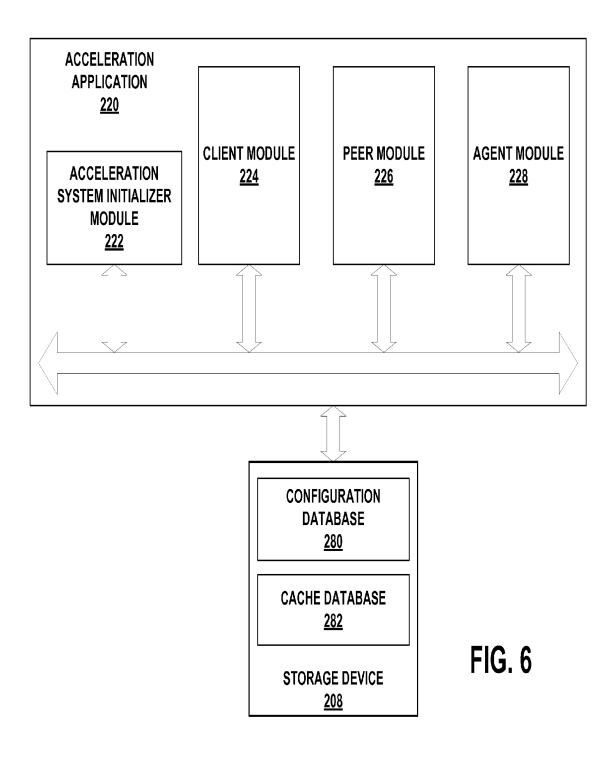


FIG. 3

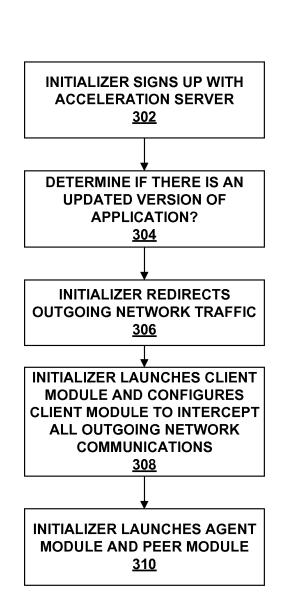


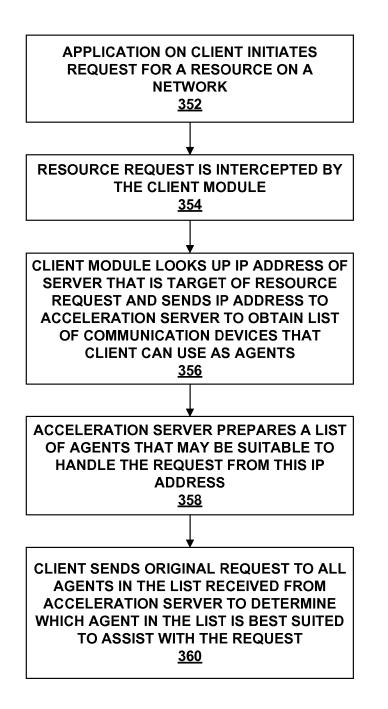




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| ter e construction de la constru |                     |  | 310                                      | PEER 1 IP ADDRESS  |
|  |                     |  | 212                                      | PEER 2 CONNECTION STATUS   |

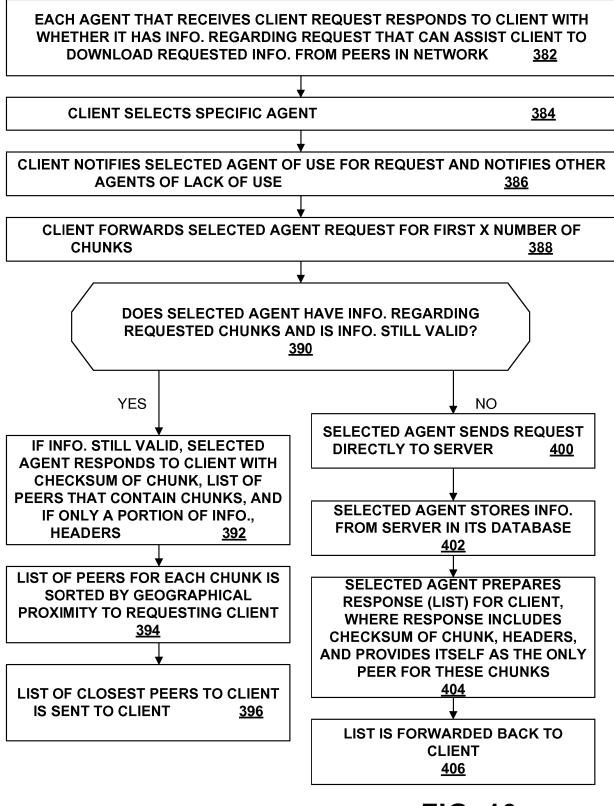
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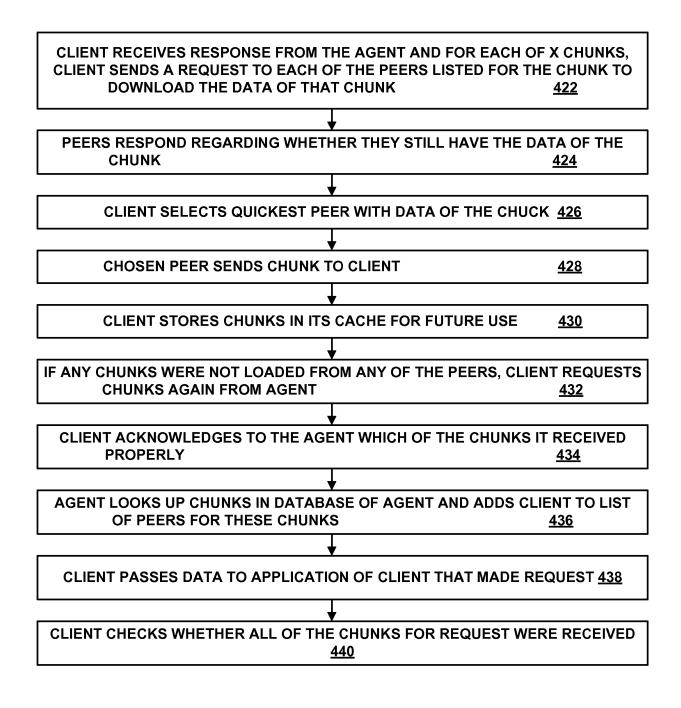




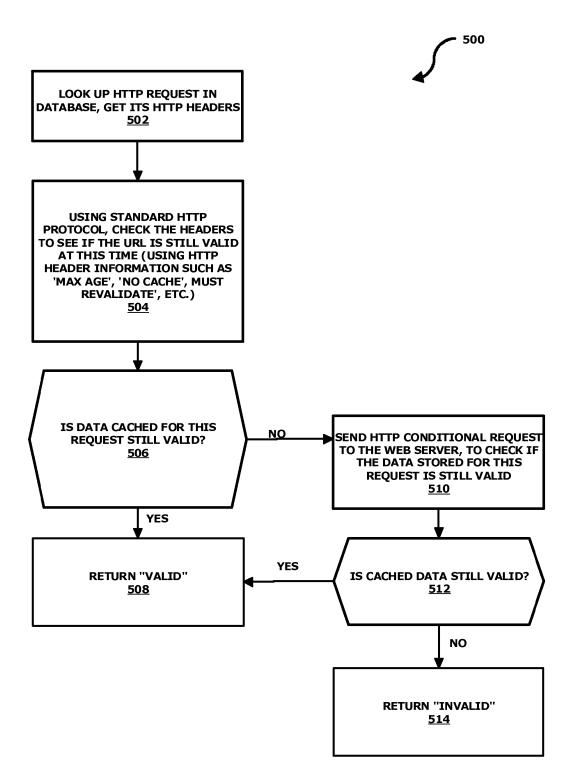
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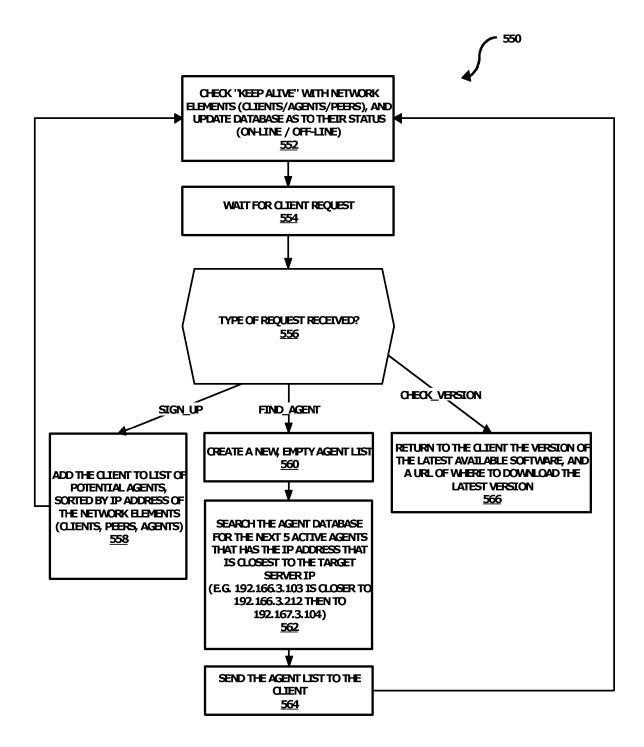
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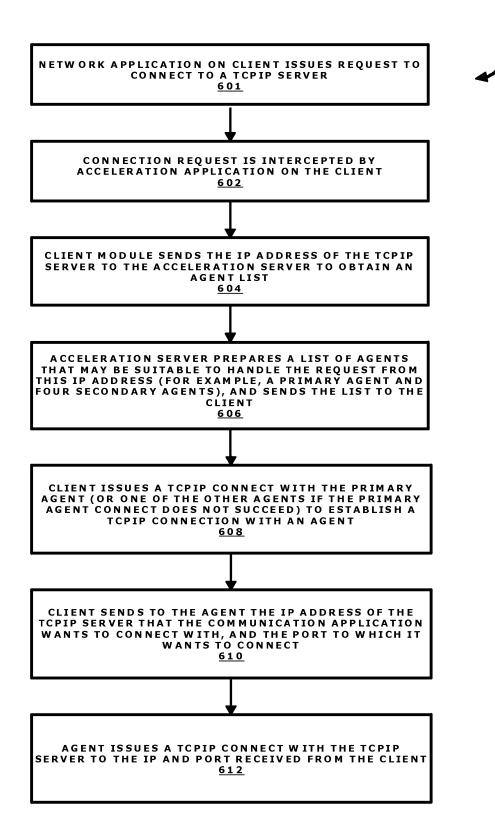






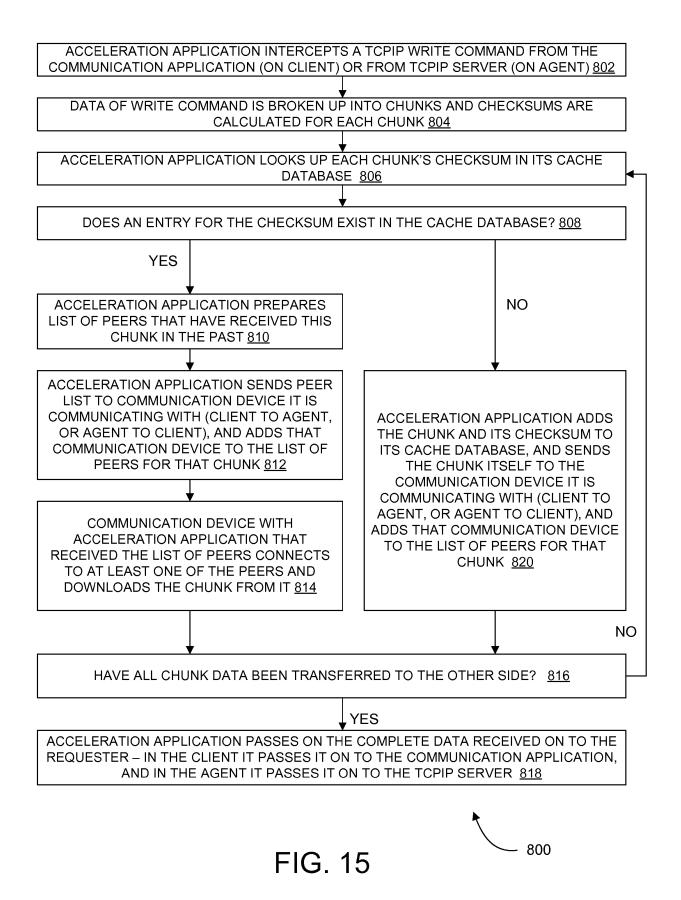






# FIG. 14

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| INFORMATION DISCLOSURE   | First Named Inventor | Derry | ry Shribman  |  |  |
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|   | 11 YouTube video clip entitled "nVpn.net   Double your Safety and use Socks5 + nVpn" <https: <br="" www.youtube.com="">watch?v=L0Hct2kSnn4&gt;</https:>  |  |  |         |  |          |        |       |       |        |   |         |       |                  |        |      |         |          |         |       |       |       |       |  |  |
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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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|  | Application Number     |       |              |  |
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| INFORMATION DISCLOSURE   | First Named Inventor   | Derry | Shribman     |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit               |       |              |  |
|  | Examiner Name          |       |              |  |
|  | Attorney Docket Number |       | HOLA-005-US9 |  |

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|  | Filing Date                |  |              |  |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |  | / Shribman   |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |  |              |  |
|  | Examiner Name              |  |              |  |
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|  | Attorney Docket Number     |  | HOLA-005-US9 |

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|  | Examiner Name              |    |              |  |
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That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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See attached certification statement.

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|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda BINDER   | Registration Number | 73612      |

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Code200, UAB v. Bright Data Ltd. Code 200's Exhibit 1027 Page 93 of 455

| Application Number   |       |              |
|----------------------|-------|--------------|
| Filing Date          |       |              |
| First Named Inventor | Derry | Shribman     |
| Art Unit             |       |              |
| Examiner Name        |       |              |
| Attorney Docket Numb | er    | HOLA-005-US9 |

|                       | 22         | 20020007413 A1 2002-01-17 JJ Garcia-Luna-A |   | a-Aceves, et al.  |                              |               |                     |  |         |   |    |    |
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|                       | 23         |  | 20030210694   | A1                | 2003-11-13 Suresh Jayaraman, |               | man, et al.         |  |         |   |    |    |
|                       | 24         |  | 20030200307   | A1                | 2003-10                      | -23           | Jyoti Raju, et a    | ıl.  |         |   |    |    |
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|                       | 1          | Inte                                       | rnational Search Rep  | ort issue         | d in PCT                     | Applica       | tion No. PCT/US     | 62010/051881 dated                                 | 09 Dec  | cember 201(   | )  |    |
|                       | 2          | Sup  | plementary Europea  | n Search          | Report is                    | ssued in      | EP Application      | No. 10822724 dated                                 | 24 Apr  | il 2013   |    |    |
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| INFORMATION DISCLOSURE   | Application Number         |  |              |
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|  | Filing Date                |  |              |
|  | First Named Inventor Derry |  | / Shribman   |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |  |              |
|  | Examiner Name              |  |              |
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|  | Application Number         |    |              |
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|  | Examiner Name              |    |              |
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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda BINDER   | Registration Number | 73612      |

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| INFORMATION DISCLOSURE   | First Named Inventor Derry S |   | Shribman     |  |
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|  | Attorney Docket Number       |   | HOLA-005-US9 |  |

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|                      | 1           | 6868453               | B1                        | 2005-03-15          | Mitsuhiro Watanabe   |        |        |                                |  |  |  |
|                      | 2           | 8595786               | B2                        | 2013-11-26          | In Hwan Choi   |        |        |                                |  |  |  |
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|                      | 1           | 20030097408           | A1                        | 2003-05-22          | Masahiro Kageyama  |        |        |                                |  |  |  |
|                      | 2           | 20070100839           | A1                        | 2007-05-03          | Deok-ho Kim  |        |        |                                |  |  |  |
|                      | 3           | 20080256175           | A1                        | 2008-10-16          | Sang-kwon Lee  |        |        |                                |  |  |  |
|                      | 4           | 20060212542           | A1                        | 2006-09-21          | Han Fang   |        |        |                                |  |  |  |

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|   | 5   |       | 20110035503   | A1                | 2011-02   | 2-10      | SAM ZAID            |   |         |            |  |    |
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| INFORMATION DISCLOSURE   | First Named Inventor Derry |    | Shribman     |
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A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
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| Name/Print | Yehuda BINDER   | Registration Number | 73612      |

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|                      | 1          | 7742485               | B2                        | 2010-06-22          | Xinyan Zhang  |  |                                |  |
|                      | 2          | 7831720               | B1                        | 2010-11-09          | Wael Noureddine   |  |                                |  |
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|                      | 1          | 20140359081           | A1                        | 2014-12-04          | Mattijs Oskar Van Deventer  |  |                                |  |
|                      | 2          | 20090010426           | A1                        | 2009-01-08          | Scott D. Redmond  |  |                                |  |
|                      | 3          | 20130007232           | A1                        | 2013-01-03          | Wei Wang  |  |                                |  |
|                      | 4          | 20150206197           | A1                        | 2015-07-23          | Assaf Toval   |  |                                |  |

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 Derry Shribman

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|----------------------|--|-------|------------------------------------|-------------------|-----------|---------------|---|---------------------|---------|--|
|                      | 5                                      |       | 20150206176                        | A1                | 2015-07   | 7-23          | Assaf Toval   |                     |         |  |
|                      | 6                                      |       | 20170221092                        | A1                | 2017-08   | 3-03          | Assaf Toval   |                     |         |  |
|                      | 7                                      |       | 20070174246                        | A1                | 2007-07   | 7-26          | Johann Tomas Sigurdsson   |                     |         |  |
|                      | 8                                      |       | 20100262650                        | A1                | 2010-10   | )-14          | Abhishek Chauhan  |                     |         |  |
|                      | 9                                      |       | 20060047844                        | A1                | 2006-03   | 3-02          | Li Deng   |                     |         |  |
|                      | 10                                     |       | 20130171964                        | A1                | 2013-07   | 7-04          | Sumeet Singh Bhatia   |                     |         |  |
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|  | Filing Date                |    |              |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |    | Shribman     |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    |              |
|  | Examiner Name              |    |              |
|  | Attorney Docket Numb       | er | HOLA-005-US9 |

| Examiner<br>Initials* Cite<br>No linclude name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s),<br>publisher, city and/or country where published. |                    |                      |   |                             |        |  |  |
|---|--------------------|----------------------|---|-----------------------------|--------|--|--|
| 1   |                    |                      |   |                             |        |  |  |
| If you wish to  | o ad               | d addi               | tional non-patent literature document citation information please click the Add bu  | utton Add                   |        |  |  |
|   |                    |                      | EXAMINER SIGNATURE  |                             |        |  |  |
| Examiner Si   | gnat               | ure                  | Date Considered   |                             |        |  |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.   |                    |                      |   |                             |        |  |  |
| Standard ST.3)  | ). <sup>3</sup> Fo | or Japar<br>y the ap | D Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document nese patent documents, the indication of the year of the reign of the Emperor must precede the serie ppropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Application is attached. | al number of the patent doc | ument. |  |  |

|  | Application Number         |    |              |
|--|----------------------------|----|--------------|
|  | Filing Date                |    |              |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |    | Shribman     |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    |              |
|  | Examiner Name              |    |              |
|  | Attorney Docket Numb       | er | HOLA-005-US9 |

#### **CERTIFICATION STATEMENT**

| Please see 37 | 7 CFR 1.97 | ' and 1.98 to | make the | appropriate | selection(s): |
|---------------|------------|---------------|----------|-------------|---------------|
|---------------|------------|---------------|----------|-------------|---------------|

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

 $\times$  A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|  | t Appli                    | ication Fee  | Transmit      | tal              |                  |  |
|--|----------------------------|--|---------------|------------------|------------------|--|
| Application Number:  |                            |  |               |                  |                  |  |
| Filing Date:   |                            |  |               |                  |                  |  |
| Title of Invention:  | SYSTI                      | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATIO |               |                  |                  |  |
| First Named Inventor/Applicant Name:   | Derry                      | Derry Shribman   |               |                  |                  |  |
| Filer:   | Yehuda Binder/Dorit Binder |  |               |                  |                  |  |
| Attorney Docket Number:  | HOLA                       | HOLA-005-US9   |               |                  |                  |  |
| Filed as Small Entity  |                            |  |               |                  |                  |  |
| Filing Fees for Track I Prioritized Examination - Nor  | provisio                   | onal Applicatio  | n under 35 US | SC 111(a)        |                  |  |
| Description  |                            | Fee Code   | Quantity      | Amount           | Sub-Total in     |  |
| -  |                            | ree Code   | Quantity      |                  | USD(\$)          |  |
| Basic Filing:  |                            |  | Quantity      |                  | USD(\$)          |  |
| Basic Filing:<br>UTILITY FILING FEE (ELECTRONIC FILING)  |                            | 4011   | 1             | 75               | USD(\$)<br>75    |  |
|  |                            |  |               |                  |                  |  |
| UTILITY FILING FEE (ELECTRONIC FILING)   |                            | 4011   | 1             | 75               | 75               |  |
| UTILITY FILING FEE (ELECTRONIC FILING)<br>UTILITY SEARCH FEE   |                            | 4011<br>2111   | 1             | 75<br>330        | 75<br>330        |  |
| UTILITY FILING FEE (ELECTRONIC FILING)<br>UTILITY SEARCH FEE<br>UTILITY EXAMINATION FEE  |                            | 4011<br>2111<br>2311   | 1 1 1 1       | 75<br>330<br>380 | 75<br>330<br>380 |  |
| UTILITY FILING FEE (ELECTRONIC FILING)<br>UTILITY SEARCH FEE<br>UTILITY EXAMINATION FEE<br>REQUEST FOR PRIORITIZED EXAMINATION |                            | 4011<br>2111<br>2311   | 1 1 1 1       | 75<br>330<br>380 | 75<br>330<br>380 |  |

| Description                            | Fee Code          | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |  |
|--|-------------------|----------|--------|-------------------------|--|--|--|
| PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL | 1504              | 1        | 0      | 0                       |  |  |  |
| PROCESSING FEE, EXCEPT PROV. APPLS.    | 2830              | 1        | 70     | 70                      |  |  |  |
| Petition:                              |                   |          |        |                         |  |  |  |
| Patent-Appeals-and-Interference:       |                   |          |        |                         |  |  |  |
| Post-Allowance-and-Post-Issuance:      |                   |          |        |                         |  |  |  |
| Extension-of-Time:                     |                   |          |        |                         |  |  |  |
| Miscellaneous:                         |                   |          |        |                         |  |  |  |
|  | Total in USD (\$) |          |        | 3055                    |  |  |  |
|  |                   |          |        |                         |  |  |  |

| Electronic Acknowledgement Receipt   |   |  |  |  |  |  |
|--------------------------------------|---|--|--|--|--|--|
| EFS ID:                              | 35173720  |  |  |  |  |  |
| Application Number:                  | 16278106  |  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |  |
| Confirmation Number:                 | 3397  |  |  |  |  |  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |  |  |  |  |
| First Named Inventor/Applicant Name: | Derry Shribman  |  |  |  |  |  |
| Customer Number:                     | 131926  |  |  |  |  |  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |  |  |  |  |  |
| Filer Authorized By:                 | Yehuda Binder   |  |  |  |  |  |
| Attorney Docket Number:              | HOLA-005-US9  |  |  |  |  |  |
| Receipt Date:                        | 17-FEB-2019   |  |  |  |  |  |
| Filing Date:                         |   |  |  |  |  |  |
| Time Stamp:                          | 04:34:24  |  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                   |  |  |  |  |  |

# Payment information:

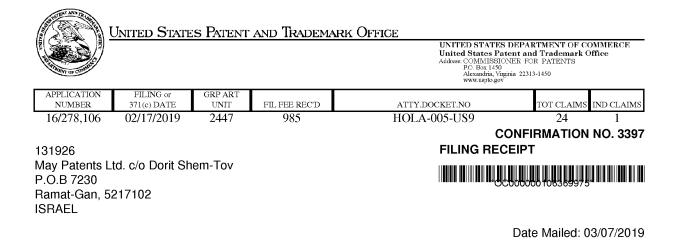
| Submitted with Payment   | yes                         |  |  |  |  |
|--|-----------------------------|--|--|--|--|
| Payment Type   | DA                          |  |  |  |  |
| Payment was successfully received in RAM   | \$3055                      |  |  |  |  |
| RAM confirmation Number  | 021919INTEFSW00007554506726 |  |  |  |  |
| Deposit Account  |                             |  |  |  |  |
| Authorized User  |                             |  |  |  |  |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: |                             |  |  |  |  |

| File Listing:      |   | 1                      |  | ,                    |                    |
|--------------------|---|------------------------|--|----------------------|--------------------|
| Document<br>Number | <b>Document Description</b>                               | File Name              | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip  | Pages<br>(if appl. |
|                    |   |                        | 140384                                       |                      |                    |
| 1                  | TrackOne Request  | sb0424.pdf             | ee48f14a0de4ceac19844d391c82133099c8<br>e831 | no                   | 2                  |
| Warnings:          |   | 1                      | <u> </u>                                     |                      |                    |
| Information:       |   |                        |  |                      |                    |
|                    |   |                        | 77997  |                      |                    |
| 2                  | Transmittal of New Application                            | Transmittal-letter.pdf | 3a1e8accee6fc5918f6557d9f6ef6e67008ba<br>182 | no                   | 2                  |
| Warnings:          |   | 1                      |  |                      |                    |
| Information:       |   |                        |  |                      |                    |
|                    |   |                        | 1625826                                      |                      |                    |
| 3                  | Power of Attorney Signed-PoA-US7-US8-US9<br>US10-US11.pdf |                        | 11fe2ecf081b56b974a10c73d6c43a200cf1<br>bb20 | 100<br>3d6c43a200cf1 |                    |
| Warnings:          |   | ł                      | - <b>I</b>                                   |                      |                    |
| Information:       |   |                        |  |                      |                    |
|                    |   |                        | 3001588                                      |                      |                    |
| 4                  | Specification   | Spec.pdf               | 65902c440cef2c477c0b3de0ee9540abcf40<br>c6f8 | no                   | 33                 |
| Warnings:          |   | 1                      | <b>I</b>                                     |                      |                    |
| Information:       |   |                        |  |                      |                    |
|                    |   |                        | 21689  |                      |                    |
| 5                  | Claims  | Claims.pdf             | 177cde4ee7165052438425e24dea08132397<br>32c7 | no                   | 4                  |
| Warnings:          |   | Į                      | <u> </u>                                     |                      |                    |
| Information:       |   |                        |  |                      |                    |
|                    |   |                        | 11557  |                      |                    |
| 6                  | Abstract  | Abstract.pdf           | 16bc5731401795a99c79696d5e7f2ebd83d<br>c56d6 | no                   | 1                  |

|              |   |                        | 258016                                       |    |    |
|--------------|---|------------------------|--|----|----|
| 7            | Drawings-only black and white line<br>drawings        | Drawings-14025109.pdf  | fa77c1f6c6442922c86a9e390fa2a1ba5563<br>9fe3 | no | 15 |
| Warnings:    | I   |                        | ļ  |    |    |
| Information: |   |                        |  |    |    |
|              |   |                        | 1823561                                      |    |    |
| 8            | Application Data Sheet                                | ADS.pdf                | f717d299f58da523439944a0e0b639fa8a86<br>8d13 | no | 9  |
| Warnings:    | ł   |                        | 4  |    |    |
| Information: |   |                        |  |    |    |
|              |   |                        | 862135                                       |    |    |
| 9            | Oath or Declaration filed                             | Signed-oath-Derry.pdf  | 6a2192d0180f49adf2112bf067a659cb3f1f8<br>144 | no | 2  |
| Warnings:    | ł   |                        | μι   |    |    |
| Information: |   |                        |  |    |    |
|              |   |                        | 871562                                       |    |    |
| 10           | Oath or Declaration filed                             | Signed-oath-Ofer.pdf   | 164ffbaa7776b17398cb08bf75bfa2cac4e6<br>2d20 | no | 2  |
| Warnings:    |   |                        | ļI   |    |    |
| Information: |   |                        |  |    |    |
|              |   |                        | 1036992                                      |    |    |
| 11           | Information Disclosure Statement (IDS)<br>Form (SB08) | 005-US6-003-004-OL.pdf | a3f1c86012c9e92c9a1b05e2b3ccb4718d6<br>83076 | no | 8  |
| Warnings:    | ł   |                        | ł – – – – – – – – – – – – – – – – – – –      |    |    |
| Information: |   |                        |  |    |    |
|              |   |                        | 1037470                                      |    |    |
| 12           | Information Disclosure Statement (IDS)<br>Form (SB08) | IDS.pdf                | a54be798ae5b3f3bb49a693cbdb04d5896<br>bc1b26 | no | 8  |
| Warnings:    |   |                        | ļI   |    |    |
| Information: |   |                        |  |    |    |
|              |   |                        | 1036394                                      |    |    |
| 13           | Information Disclosure Statement (IDS)<br>Form (SB08) | IDS2.pdf               | 35c292964b8b4795693ba93e0da876c7880<br>32943 | no | 7  |
| Warnings:    |   |                        | <b>I</b> I                                   |    |    |
| Information: |   |                        |  |    |    |

| 14   | Information Disclosure Statement (IDS)<br>Form (SB08) | IDS3.pdf                    | 1034620<br>e2a89e2e9e020de96013f12235e03ae73a2<br>6c522 | no     | 4        |  |  |  |
|--|---|-----------------------------|---|--------|----------|--|--|--|
| Warnings:  | ļI  | <u></u>                     | Į   |        |          |  |  |  |
| Information  |   |                             |   |        |          |  |  |  |
|  |   |                             | 1034844   |        |          |  |  |  |
| 15   | Information Disclosure Statement (IDS)<br>Form (SB08) | IDS4.pdf                    | 16caaaf9a014d5d7f0a167c2dd4a57e75adf<br>419d            | no     | 5        |  |  |  |
| Warnings:  | ·   |                             |   |        |          |  |  |  |
| Information  |   |                             |   |        |          |  |  |  |
|  |   |                             | 41475   |        |          |  |  |  |
| 16   | Fee Worksheet (SB06)                                  | fee-info.pdf                | 03815b153d51291a7ef879484fc4da88975<br>9c6d1            | no     | 2        |  |  |  |
| Warnings:  |   |                             | Į   |        | <u> </u> |  |  |  |
| Information  | :   |                             |   |        |          |  |  |  |
|  |   | Total Files Size (in bytes) | <b>:</b> 13'  | 916110 |          |  |  |  |
| Total Files Size (in bytes):13916110This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents,<br>characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a<br>Post Card, as described in MPEP 503.New Applications Under 35 U.S.C. 111If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR<br>1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this<br>Acknowledgement Receipt will establish the filing date of the application.<br>National Stage of an International Application under 35 U.S.C. 371If a timely submission to enter the national stage of an international application is compliant with the conditions of 35<br>U.S.C. 371 and other applicable requirements a Form PCT/D0/E0/903 indicating acceptance of the application as a<br>national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.<br>New International Application Filed with the USPTO as a Receiving OfficeIf a new international application is being filed and the international application includes the necessary components for<br>an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number<br>and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning<br>national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of<br>the application. |   |                             |   |        |          |  |  |  |

|           | PATENT APPLICATION FEE DETERMINATION RECORD<br>Substitute for Form PTO-875   |   |   |   |                                |     |                    |                       |    |                    | iber                  |
|-----------|--|---|---|---|--------------------------------|-----|--------------------|-----------------------|----|--------------------|-----------------------|
|           | APP  |   |   |   | umn 2)                         |     | SMALL              | ENTITY                | OR | OTHEF<br>SMALL     |                       |
|           | FOR  | NUMBE                                     | R FILED                                 | NUMBE   | R EXTRA                        | 1 Г | RATE(\$)           | FEE(\$)               | 1  | RATE(\$)           | FEE(\$)               |
|           | IC FEE<br>FR 1.16(a), (b), or (c))   | N   | /A                                      | Ν   | J/A                            |     | N/A                | 75                    | 1  | N/A                |                       |
|           | RCH FEE<br>FR 1.16(k), (i), or (m))  | N   | /A                                      | N   | J/A                            | [   | N/A                | 330                   |    | N/A                |                       |
| EXA       | MINATION FEE<br>FR 1.16(o), (p), or (q))   | N   | /A                                      | N   | J/A                            |     | N/A                | 380                   | 1  | N/A                |                       |
| (37 C     | AL CLAIMS<br>FR 1.16(i))   | 24  | minus 20                                | )= *  | 4                              | ×   | 50 =               | 200                   | OR |                    |                       |
|           | EPENDENT CLAI<br>FR 1.16(h))   | <sup>MS</sup> 1                           | minus 3                                 | = *   |                                | x   | 230 =              | 0.00                  |    |                    |                       |
| FEE       | PLICATION SIZ<br>E<br>CFR 1.16(s))   | E sheets of<br>\$310 (\$15<br>50 sheets   | paper, the<br>5 for smal<br>or fractior | nd drawings e<br>application si<br>l entity) for ea<br>thereof. See<br>CFR 1.16(s). | ze fee due is<br>ch additional |     |                    | 0.00                  |    |                    |                       |
| MUL       | TIPLE DEPENDE  | ENT CLAIM PRE                             | SENT (37                                | CFR 1.16(j))  |                                |     |                    | 0.00                  | 1  |                    |                       |
| * If t    | ne difference in co  | olumn 1 is less th                        | ian zero, e                             | nter "0" in colur   | nn 2.                          |     | TOTAL              | 985                   | 1  | TOTAL              |                       |
|           | APPLIC   | (Column 1)                                |   | (Column 2)  | (Column 3)                     | ı — | SMALL              | ENTITY                | OR | OTHEF<br>SMALL     |                       |
| NT A      |  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | PRESENT<br>EXTRA               |     | RATE(\$)           | ADDITIONAL<br>FEE(\$) |    | RATE(\$)           | ADDITIONAL<br>FEE(\$) |
| MEI       | Total<br>(37 CFR 1.16(i))  | *   | Minus                                   | **  | =                              | ×   | =                  |                       | OR | x =                |                       |
| AMENDMENT | Independent<br>(37 CFR 1.16(h))  | *   | Minus                                   | ***   | =                              | ×   | =                  |                       | OR | x =                |                       |
| AM        | Application Size Fe  | ee (37 CFR 1.16(s))                       |   |   |                                |     |                    |                       |    |                    |                       |
|           | FIRST PRESENT  | TION OF MULTIPI                           | E DEPEND                                | ENT GLAIM (37 C   | CFR 1.16(j))                   |     |                    |                       | OR |                    |                       |
|           |  |   |   |   |                                |     | TOTAL<br>ADD'L FEE |                       | OR | TOTAL<br>ADD'L FEE |                       |
|           |  | (Column 1)                                |   | (Column 2)  | (Column 3)                     |     |                    |                       | _  |                    |                       |
| NT B      |  | CLAIMS<br>REMAINING<br>AFTER<br>AMENDMENT |   | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR   | PRESENT<br>EXTRA               |     | RATE(\$)           | ADDITIONAL<br>FEE(\$) |    | RATE(\$)           | ADDITIONAL<br>FEE(\$) |
| ME        | Total<br>(37 CFR 1.16(i))  | *   | Minus                                   | **  | =                              | ×   | =                  |                       | OR | x =                |                       |
| ENDMENT   | Independent<br>(37 CFR 1.16(h))  | *   | Minus                                   | ***   | =                              | ×   | =                  |                       | OR | x =                |                       |
| AMI       |  | ee (37 CFR 1.16(s))                       |   |   |                                |     |                    |                       | 1  |                    |                       |
|           | FIRST PRESENT  | TION OF MULTIPI                           | E DEPEND                                | ENT GLAIM (37 C   | CFR 1.16(j))                   | [   |                    |                       | OR |                    |                       |
|           |  |   |   |   |                                |     | TOTAL<br>ADD'L FEE |                       | OR | TOTAL<br>ADD'L FEE |                       |
| *         | ADD'L FEEADD'L FEE |   |   |   |                                |     |                    |                       |    |                    |                       |



Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

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Power of Attorney: The patent practitioners associated with Customer Number 131926

### Domestic Priority data as claimed by applicant

This application is a CON of 15/957,945 04/20/2018 which is a CON of 14/025,109 09/12/2013 PAT 10069936 which is a DIV of 12/836,059 07/14/2010 PAT 8560604 which claims benefit of 61/249,624 10/08/2009

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. *Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.* 

### Permission to Access Application via Priority Document Exchange: Yes

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page 1 of 3

If Required, Foreign Filing License Granted: 03/06/2019

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 16/278,106** 

Projected Publication Date: 06/13/2019

Non-Publication Request: No

Early Publication Request: No \*\* SMALL ENTITY \*\* Title

### SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION

**Preliminary Class** 

709

### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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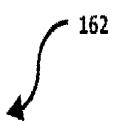
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page 3 of 3

Code200, UAB v. Bright Data Ltd. Code 200's Exhibit 1027 Page 116 of 455

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|     |  |             |             |             | 312                                   | PEER 2 CONNECTION STATUS  |



# FIG. 7

| Electronic Acknowledgement Receipt   |   |  |  |  |  |  |
|--------------------------------------|---|--|--|--|--|--|
| EFS ID:                              | 35386069  |  |  |  |  |  |
| Application Number:                  | 16278106  |  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |  |
| Confirmation Number:                 | 3397  |  |  |  |  |  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |  |  |  |  |
| First Named Inventor/Applicant Name: | Derry Shribman  |  |  |  |  |  |
| Customer Number:                     | 131926  |  |  |  |  |  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |  |  |  |  |  |
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| Application Type:                    | Utility under 35 USC 111(a)                                   |  |  |  |  |  |

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| Document<br>Number | Document Description | File Name        | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |  |  |
|                    |                      |                  | 879969                                       |                     |                     |  |  |
| 1                  | Foreign Reference    | WO2004094980.pdf | 192e165015d1398f91d038c47d179e3486f<br>6c135 | no                  | 19                  |  |  |
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|   |  |                             | 1035535                                      |               |               |  |  |
| 2   | Information Disclosure Statement (IDS)<br>Form (SB08)                                    | IDS5.pdf                    | 760a75e5e03c4e5f7c3e54632f4d0bd08a76<br>f7c7 | no            | 6             |  |  |
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| 4   | Drawings-only black and white line<br>drawings   | Corrected_FIG7.pdf          | 1eb50121b8f5758bbff82a8c953d36a9c758<br>ca35 | no            | 1             |  |  |
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#### (12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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  - **S1)** Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM,

(54) Title: PEER TO PEER TRANSFER OF CONTENT

A2

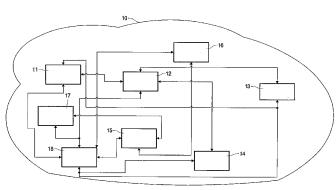
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (unless otherwise indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

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[Continued on next page]



(57) Abstract: This invention relates to a method, a device, a server and a system of / for peer to peer transfer of content. Said method includes the steps of receiving and transmitting, from a first device (11), a first request with a first selection criterion for a first content to a server (18) or to a second device (12); transferring the first content satisfying said first selection criterion to said first device from the server, when said server previously has acknowledged said first device as a legal recipient of said first content and in case said first content is available only on said server, and noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17); or re-directing said first request to a third device (13) on which the server knows that the requested first content is still available and transferring said first content satisfying said first selection criterion to said first device from the third device; or transferring the first content satisfying said first selection criterion to said first device from the second device, and informing the server that said first content has been transferred to said first device from said second device; and rewarding the one of said second or third device from which said first content was transferred form one of these; and charging said first device for reception of said first content. This enables for download, upload and sharing of legally protected paid-for content.

PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

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without international search report and to be republished upon receipt of that report

Peer to peer transfer of content

This invention relates to a method of peer to peer transfer of content. The present invention also relates to a computer system for performing the

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method.

The present invention further relates to a computer program product for performing the method.

This invention further relates to a device on which parts of said method is executed.

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This invention further relates to a server on which remaining parts (not run on the device) of said method is executed.

This invention further relates to a system on which said method is executed.

15 EP 1229443 discloses a system and a method for providing advertisements in a peer to peer networking environment. Each of the advertisements is defined as a structured, language neutral metadata structure. This is used to name, describe and publish an existence of a peer to peer platform resource, such as the peer itself, a pipe or a service. The advertisements are subsequently available to other peers in the networking environment.

20 From the art it is known that Peer-to-peer is a communications model in which each party (i.e. each peer) has the same capabilities and either party can initiate a communication session. Other models with which the pure Peer-to-peer communications model might be contrasted include the *client / server* model and the *master/slave* model, both also known in the art. In some cases, peer-to-peer communications is implemented by giving

25 each communication node both server and client capabilities. In recent usage, peer-to-peer has come to describe applications in which users can use the Internet to download or upload multimedia content or simpler content in form of files with and to each other directly or through a mediating server.

On the Internet, peer-to-peer (referred to as P2P) is a type of transient Internet network that allows a group of computer users (peers) with the same networking program to connect with each other and directly access files from one another's hard drives. Napster and Gnutella are examples of this kind of peer-to-peer software.

When the Internet P2P is applied, it is known in the art that the user must first download and execute a peer-to-peer networking program, e.g. Gnutella-net is currently one of the most popular of these decentralized P2P programs because it allows users to exchange all types of files.

As discussed later, it is a problem that the files may represent a stolen property 10 right, such as music, a movie, etc, and/or the files may have a poor quality and / or said files may contain virus.

After launching the program, the user enters the IP address of another computer belonging to the network, typically, the Web page where the user obtained the download will list several IP addresses as places to begin. Once the computer finds another network member on line, it will connect to that user's connection, which has obtained their II

15 network member on-line, it will connect to that user's connection, which has obtained their IP address from a connection of another user, and so on.

It is however, a problem especially for un-experienced, unaware users that downloadable content typically available in a peer-to-peer network may be legally protected and thus it is illegal do download it and use it, i.e. play back or view said content. In other

- 20 words, many users except for the few who know they are deliberately infringing rights of the owner of copy protected content when downloaded – prefer to apply a method and device where they are secure that downloaded content is legal so that they subsequently can play back or view being sure that no rightful proprietor (of said content) is being infringed.
- It is a further problem for users that downloadable available 'for-free' (in fact stolen from a legal point of view) content can contain virus, i.e. when said content is subsequently played back or viewed on the user's device, the virus may also get life, i.e. it may be executed simultaneous with the playing back or viewing of content on the user's device. Said virus can then consequently harm the file or operating system of the device of the user thus making the user device malfunction or lose previously downloaded content as
- 30 well.

It is a further problem for users that downloadable available 'for-free' content may be in a poor quality, since the content is illegal recorded during a concert, in a cinema or recorded from the original content by means of poor quality recording equipment, thus content in this case is in fact illegal obtained and in a poor quality.

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In other words, it is a problem that user are uncomfortable with 'for-free' content since it may contain virus and / or make the user a thief, if the unaware user downloads such content.

Additionally, it is a problem that users are reluctant in sharing (i.e. transmitting to others) copy protected content since they risk being caught in infringement of the rightful proprietor, if sharing, especially when using Web-pages (to download content) not telling that eventually provided material (content) from a legal point of view is in fact a violation of copyright laws.

It is a further problem that currently the real cost of a downloaded item of content is not transparent to the user.

The present invention enables users to download legally protected content when applying the method according to the invention and / or when using the device according to the invention which communicates with the server in the peer-to-peer network. Subsequently, it is legal to play it back, view it and share it with others. This is possible,

15 since the method (and the device and server applying the method) handles the property rights and the payments in a legal manner, which both the users and content providers are comfortable with, i.e. the user is assured that he does not make a thief out of himself, and the content providers (artist, singer, movie manufacturer, etc) are assured that their content is not being stolen, but paid for.

20 Further, it is assured that the downloaded content is virus-free and in an approved quality.

Additionally, users can - when applying the method by means of their device easily and legally share (i.e. transmit to others) copy protected content since some steps of the methods ensure that the proprietor of content gets paid for his content, since users are

25 charged for downloads. Further, users (of said devices), themselves can obtain a reward for sharing, this further expands sharing.

Further, it is an advantage of the invention that the real cost of a downloaded item of content is transparent to the user.

Said device and server, in combination and the system provide the same

30 advantages and solve the same problem(s) for the same reasons as described previously in relation to the method.

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The invention will be explained more fully below in connection with preferred embodiments and with reference to the drawings, in which:

fig. 1 shows a network of devices and a server; and

fig. 2 shows a method of peer to peer transfer of content.

Throughout the drawings, the same reference numerals indicate similar or corresponding features, functions, etc.

Figure 1 shows a network of devices and a server. Said network of devices
with the server are illustrated by means of reference numeral 10. As will be explained more detailed in the next figure, a first device, reference numeral 11, or its user is looking for certain content (a video film as an example), the user will then try to find out from where the video film can be obtained, i.e. downloaded. He will use a specific selection criterion for the video film content. In technical terms, his device (first device) will receive the selection

15 criterion e.g. movie name, genre, etc, which it then will send to another device (a second device. reference numeral 12,)) and to a server, reference numeral 18, since his own device (said first device) cannot know whether the server or another peer to peer device, has the requested content available. If the server has the content satisfying the selection criterion, it will provide it to the requesting device, i.e. to said first device. However, in order to offload

- 20 and distribute network usage more efficient if the server knows that another peer (device) has the requested content available, the server will redirect the transfer of content to this device which then will provide the content satisfying the selection criterion, i.e. transfer it to the requesting device equalling said first device. In the last case, the server is informed by the actual device transferring content that content has been transferred to said first device,
- 25 which then can be accordingly charged for receiving the requested content. Hereby, the first device (and its user) is comfortable with content charged for, since it is virus free and has been legally bought, i.e. the user is sure that he did not make a thief out of himself; further the user can rely on that the content has an approved quality level, since it comes form the legal owner or an administrator of network, he can trust.

30 In the first case, i.e. the server supplied directly the requested content, the server typically previously acknowledged that said first device is in fact a subscribing or paying (or one who later will pay) rightful recipient of the content, i.e. said video film. The content, in general, can be uploaded to or downloaded from more devices, e.g. reference numerals 13 and 14. In the network further devices may be present, e.g. reference numerals

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15, 16 and 17. Generally, the server has to be accessible to and in the network of devices, i.e. to all devices, either for transfer of content the first time, and/ or subsequently for charging and rewarding, this is illustrated by means of the arrows connecting the server to the devices.

A requester needs not register or be registered to the server. There may be a 5 third party that certifies the requester to the server. The server trusts the certifier and assumes the requester is allowed to receive. Or the requester pays 'on-the-spot' using virtual tokens or a mediation service (Pay-Pal).

The network is shown for illustrative purposes, any other dynamic or static topology or arrangement of peers or devices and one or more additionally servers may also be applied in the present invention.

Any of said devices may be a video cassette recorder (VCR), a personal digital assistant (PDA), a mobile phone, a television, a radio, a DVD player, a CD player, an information panel, a web tablet, a smart remote, a peer or a personal computer.

- The device alternatives as mentioned may be understood as corresponding peers in a peer-to-peer type of transient network similar to the type found on the Internet, that allows a group of computer users (with access to their corresponding peers or devices) with the same or similar networking program or protocol to connect with each other and directly access content, e.g. in the form of files, etc to/from one another's hard drives, memories, etc.
- A peer-to-peer network is simply a network of peers, the Internet, Gnutella software, computers are all just examples of aspects of specific implementations, however the present invention applies said server for rewarding direct peer to peer content sharing, and said server is furthermore applied to charge peers for download of content. Since content typically is copy protected content, at least one of said servers tracks, charges and rewards peers (devices) for down and upload, respectively of copy protected content.

In a preferred embodiment of the invention said content comprises one or more selected from the group:

- a DVD picture and sound signal;
- a CD sound signal;
- a given digital audio format (e.g. MP3, WMA, Real Audio, WAV, etc);
- 30

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a given digital movie format (e.g. DivX, DVD/MPEG2, Avi, wmf, MOV, Real Video, etc);

- a given picture format (e.g. JPEG, GIF, BMP, TIFF, etc); and/or
- any such format that is capable of causing the device to emit a picture and/or sound signal, e.g. G72x, aiff, real.

This is possible since said device can be a CD player, a DVD player, a radio, a mobile phone, etc. as discussed, accordingly content can be presented, i.e. shown and/ or played back on said device.

In other words, the above content combinations are copy protected content, which, generally, are in the form of numerical, textual information, picture, video, sound and / or any combination(s) thereof, and which, generally, also are being free from virus and in an approved quality.

Figure 2 shows a method of peer to peer transfer of content. The content is transferred among device in the peer to peer network, in initial situations, i.e. the first times 10 content gets available, by means of the server.

Prior to the following steps, it is assumed that - as a starting point - that only the server can provide content; later on content can be distributed (or spread) to various devices (second, third, etc,) i.e. at later occasions these devices can provide content without directly involving the server, however, still devices requesting and receiving content are

15 charged accordingly regardless from where (i.e. from the server or from the peer to peer device) said content is being transferred.

Further, content is copy protected content, i.e. legal content being free from virus and in an approved quality. The server is in all cases – also when content is transferred directly between devices – responsible for that the copy protected content is legal, free from

- 20 virus and in the proper quality, this is possible since from the starting point content can only be introduced into the network via the server. The actual (content) data does not have to originate from the server. The server just needs to certify it. Any user may offer a piece of content to the server for certification. On the server side the content will be checked and when it is found to be acceptable, the content is certified, for download, redirection, etc. In
- 25 step 100, a first request may be received on a first device. The request typically comprises a first selection criterion for a first content, the user of the first device can e.g. key in his selection criterion for the content by means of a keyboard or by means of any common user interface know in the art, e.g. a GUI like windows, soft-keys, menu driven, click by means of a mouse, etc. The content may reside on the server and / or another second device, i.e. said
- 30 second device. Therefore, subsequently the request is transmitted from the first device to the server or to said second device, since said first device cannot know whether the server or another peer to peer (second) device has said requested first content available.

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Said first selection criterion may be composed by means of one or more combinable items, e.g. program, channel, Web-site, genre, type, topic, style, start, duration, language, title, name, hyperlink including content reference, etc.

Said first selection criterion can then be helpful for the user and to the device from which content is requested, i.e. helpful to find and subsequently transmit content having the first selection criterion, i.e. said selection criterion in general may reflect content interest(s) of a specific user, the user can therefore avoid to surf through many available channels if the device is a TV, or surf through many Web sites if his device is an Internet PC or accessed via a server from a client PC in order to find his content. The user can apply this step instead.

Said selection criterion can therefore be understood as the users own profile of interests.

As discussed, the request is transmitted to the server or to another, i.e. the second device; in general, requests are put to the network (of devices or peers) as a whole including the server(s) as stated in this step. Although, due to the nature of the network, the requests will not reach all peers in the network, they should reach at least one server, e.g. via a Kazaa like super-node that is or knows a server. In the beginning the server will only have the content available and participate in transferring the content to the requester, here said first device. If a certain number of peers have downloaded the content, the server may stop

20 offering it because it will be available from elsewhere, i.e. from said number of peers. This is in fact dealt with by means of steps 200, 300 and 400.

In step 200, the first content satisfying said first selection criterion may be transferred to said first device from the server. This is only in the case when said server previously has acknowledged said first device as a legal recipient of said first content, e.g.

25 through an eventually registration, and when said first content is available only on said server.

Subsequently, the server will note that said first device now has the requested first content available for the other devices. This implies that if the same request (for content) arrives again to the server, the first device will then be the direct content supplier instead of the server. The latter – in fact redirecting of content - is dealt with in step 300.

Alternatively, instead of step 200, in step 300, said first request is redirected to a third device. Said third device is known to the server as a device in fact still having the requested first content. Subsequently, said first content satisfying said first selection criterion is transferred to said first device from the device re-directed to, i.e. from said third device.

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The server will currently check that said content in fact still is on the third device, in case the user of the third device removes or removed the particular content, the server will subsequently find out. In that case, the server must provide content it self or redirect the request (for content) to another fourth device (in place of said third device). In

5 other words, the server currently checks that content is in fact still available on said third device, and that said third device is on line, if not, the request is redirected to another, i.e. to said fourth device, etc.

Alternatively, instead of step 200 or step 300, in step 400, first content satisfying said first selection criterion is transferred to said first device from the second

- 10 device. This is only the case when said first content is in fact available on said second device; in that case the server is subsequently informed (by said second device) that said first content has actually been transferred to said first device. The reason for doing the latter is to enable the server to charge said first device for receiving content, in fact requested by it self. Conversely as in next step to enable the server to reward said second device for
- 15 transferring (and sharing) content.

It is assumed that when any device (second or third) provides or supplies content, the content, in all cases, initially came from the server or is at least approved from the server to legally be available from the other device(s) (second or third) for an eventually subsequent transfer. At later occasions, one of the other devices (second or third) devices can

- 20 provide content (originally legally approved by the server, etc) to even more devices. Further, after reception of content on the first device, this also can play the role of 'content provider', i.e. acting in the same manner as said second and third devices; in fact when more devices have received the same content (satisfying the same criterion) any of these of course play the role of 'content provider' in competition with other devices having the same content, this
- 25 lowers the waiting time for a requesting device and provides for an improvement in sharing of content among devices, this in turn also offloads the server.

Generally, in step 200, 300 and 400, the server, the third device and the second device, respectively transferred content to said first device.

In step 500, said second or third device, which in fact transferred content to 30 said first device, is then rewarded. However, it may be the case that the server transferred content itself; in this case none, i.e. neither the second nor the third device are rewarded. However, in the general case, the second or third device is rewarded; conversely, the more rare case, i.e. the server transferred content, it will not reward itself, but it may note the transfer primarily for statistical purposes.

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In all cases, regardless from where (server, second or third device) content was transferred, i.e. in step 600, said first device is charged for reception of said first content. The charge may be dependent of a subscription fee or subscription agreements in general or on a per transfer basis (download); it may be dependent on a file length, value or duration, and / or combinations thereof. This is possible since content may be transferred embedded in or by

means of said file.

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Optionally, said method comprises the following two steps, which deals with the opposite situation, i.e. the server receives content:

In step 700, a second content satisfying a second selection criterion and the second selection criterion are uploaded to the server from a fourth device. The server should then subsequently ensure that said second content is free for virus, has the right quality level (sufficient high sampling rate, low noise, stereo, aliasing, etc) and, most importantly, is legal, for the latter the owner of the server may have agreed contracts (e.g. through licence, partly or in whole, an exclusive right, etc) with the original creator, owner or supplier of content to

15 ensure that it can be legally distributed afterwards as discussed in the steps above. The second selection criterion is uploaded with the corresponding second content in order to make said second content searchable again, when requested as discussed in step 100. The second selection criterion will be of the same nature and structure as that of said first selection criterion.

In step 800, the fourth device is rewarded. The reward is given to the fourth device in return for uploading said second content (with the second selection criterion) to the server. The reward may be given in form of credits, rebate, discounts, etc. The reward can then be used by said fourth device, if it later obtains a third content, etc.

Generally when the device is denoted first, second, etc device, it is to be understood that any device can perform the mentioned tasks, i.e. even though a first device, only as disclosed in the above steps requests content, it - as well as the other devices - may perform any task as reflected in the steps above.

Rewards, credits, rebate, discounts, the task of charging are generally dealt with by the server, i.e. the server keeps a balance of in and outgoing payments for each device up and downloading content

30 device up and downloading content.

As discussed above, for or each item of content the device has to pay a small fee. When a device is charged, a subsequent payment can be done on a transaction basis or included in telecommunication fees. The latter can be in the form of an elevated rate (price/minute) for the transfer or included in a periodic bill. Subscription is also an option.

Part of the fee is direct payment to the content provider, which may be represented by said server. Part of the fee is used to award a discount to the device offering the content. I.e. users of devices can recap part of that fee by sharing desirable content.

- For each piece of content that is downloaded from a device (to the server or to another device), the device is rewarded with credits. These credits can be in the form of rebates on the purchase of new songs, on telecommunication fees or on downloading content from other devices. The credits can be proportional to the amount of data transferred, e.g. the size of the file, or proportional to the value of the song.
- The content shared by devices is verified by the server. Devices offering noncompliant, e.g. sub standard content can be excluded from the exchange based on the identification of the mobile phone identification, i.e. not satisfying the criterion in step 200 of acknowledgement.

In general, according to the present invention, a service for sanctioned P2P transfer between devices is set up. Peers or devices who want to share content are registered at the server and the content they offer may indexed, e.g. the Napster model.

The server may offer a comprehensive collection of content. This can be done using an intuitive interface for the selection of content. The offering of content can be enhanced by supporting information. If certain content is not offered by any peer (device), e.g. very new content, the server may offer the content. The latter is a temporary measure till

- 20 (enough) peers (devices) offer the content. This amounts to a transition model. Initially most content may be hosted by the server but few peers will use the redirection service. If the amount of connected peers in the network grows the demand on the redirection service will increase but at the same time the amount of content provided at the server side can decrease. Hence, if the popularity (and therefore the use) of the system increases the server will not
- 25 have to be scaled up.

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The transfer rate of content shared by peers is not guaranteed. This enables the definition of a lazy transfer mode to offer unused bandwidth at reduced price. If the premium service of voice communication uses more of the networks bandwidth, the bandwidth available to P2P transfers is reduced.

A computer readable medium may be magnetic tape, optical disc, digital versatile disk (DVD), compact disc (CD record-able or CD write-able), mini-disc, hard disk, floppy disk, smart card, PCMCIA card, etc.

In the claims, any reference signs placed between parentheses shall not be constructed as limiting the claim. The word "comprising" does not exclude the presence of

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elements or steps other than those listed in a claim. The word "a" or "an" preceding an element does not exclude the presence of a plurality of such elements.

The invention can be implemented by means of hardware comprising several distinct elements, and by means of a suitably programmed computer. In the device claim

5 enumerating several means, several of these means can be embodied by one and the same item of hardware. The mere fact that certain measures are recited in mutually different dependent claims does not indicate that a combination of these measures cannot be used to advantage.

### CLAIMS:

1. A method of peer to peer transfer of content, said method comprising the steps of:

receiving and transmitting (100), from a first device (11), a first request with a first selection criterion for a first content to a server (18) or to a second device (12);

transferring (200) the first content satisfying said first selection criterion to said first device from the server, when said server previously has acknowledged said first device as a legal recipient of said first content and in case said first content is available only on said server, and noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17); or

re-directing (300) said first request to a third device (13) on which the server knows that the requested first content is still available and transferring said first content satisfying said first selection criterion to said first device from the third device; or

transferring (400) the first content satisfying said first selection criterion to said first device from the second device, when said first content is available on said second

15 device, and informing the server that said first content has been transferred to said first device from said second device; and

rewarding (500) the one of said second or third device from which said first content was transferred to said first device, when content was transferred from one of these; and

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charging (600) said first device for reception of said first content.

- 2. A method according to claim 1, said method further comprising the steps of: uploading (700) a second content satisfying a second selection criterion and the second selection criterion to the server from a fourth device; and
- 25 rewarding (800) the fourth device for uploading the second content and the second criterion to the server.

3. A method according to claim 1 or 2, characterized in that said content is copy protected content, such as numerical information, picture, video, sound and combinations thereof.

5 4. A method according to any one of claims 1 through 3, characterized in that said content comprises one or more selected from the group:

- a DVD picture and sound signal;
- a CD sound signal;

a given digital audio format (e.g. MP3, WMA, Real Audio, WAV, etc);

a given digital movie format (e.g. DivX, DVD/MPEG2, Avi, wmf, MOV, Real

Video, etc);

a given picture format (e.g. JPEG, GIF, BMP, TIFF, etc); and/or any such format that is capable of causing the device to emit a picture and/or

sound signal, e.g. G72x, aiff, real.

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5. A method according to any one of claims 1 through 4, characterized in that any of said devices is a video cassette recorder (VCR), a personal digital assistant (PDA), a mobile phone, a television, a radio, a DVD player, a CD player, an information panel, a web tablet, a smart remote, a peer or a personal computer.

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6.

A device comprising:

means for receiving and transmitting a first request with a first selection criterion for a first content to a server (18) or to a second device (12);

means for receiving a redirected said first request (13) on which the server

25 knows that the requested first content is still available on said device;

means for transferring the first content satisfying said first selection criterion to a first device, when said first content is available on said device, and means for informing the server that said first content has been transferred to said first device;

means for being rewarded for transfer of content; and

30 means for being charged for reception of content.

7. A device according to claim 6 further comprising:

means for uploading a second content satisfying a second selection criterion and the second selection criterion to the server; and

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means for being rewarded for the upload of the second content and the second selection criterion to the server.

8. A server comprising:

means for receiving a first request with a first selection criterion for a first content;

means for transferring the first content satisfying said first selection criterion to a first device, when said server previously has acknowledged said first device as a legal recipient of said first content and in case said first content is available only on said server,

10 and means for noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17); and / or

means for re-directing said first request to a third device (13) on which the server knows that the requested first content is still available; and / or

means for being informed that said first content has been transferred to said first device from said third device;

means for rewarding the one of said second or third device from which said first content was transferred to said first device, when content was transferred from one of these; and

means for charging said first device for reception of said first content.

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A server according to claim 8 further comprising:

means for being uploaded with a second content satisfying a second selection criterion and means for being uploaded with the second selection criterion from a fourth device; and

means for rewarding the fourth device for uploading the second content and the second criterion.

10. A system comprising:

means for receiving and transmitting, from a first device (11), a first request
 with a first selection criterion for a first content to a server (18) or to a second device (12);
 means for transferring the first content satisfying said first selection criterion
 to said first device from the server, when said server previously has acknowledged said first

on said server, and noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17);

means for re-directing said first request to a third device (13) on which the server knows that the requested first content is still available and transferring said first content satisfying said first selection criterion to said first device from the third device;

means for transferring the first content satisfying said first selection criterion to said first device from the second device, when said first content is available on said second device, and informing the server that said first content has been transferred to said first device from said second device;

means for rewarding the one of said second or third device from which said first content was transferred to said first device, when content was transferred from one of these; and

means for charging said first device for reception of said first content.

15 11. A system according to claim 10 further comprising:

means for uploading a second content satisfying a second selection criterion and the second selection criterion to the server from a fourth device; and

means for rewarding the fourth device for uploading the second content and the second criterion to the server.

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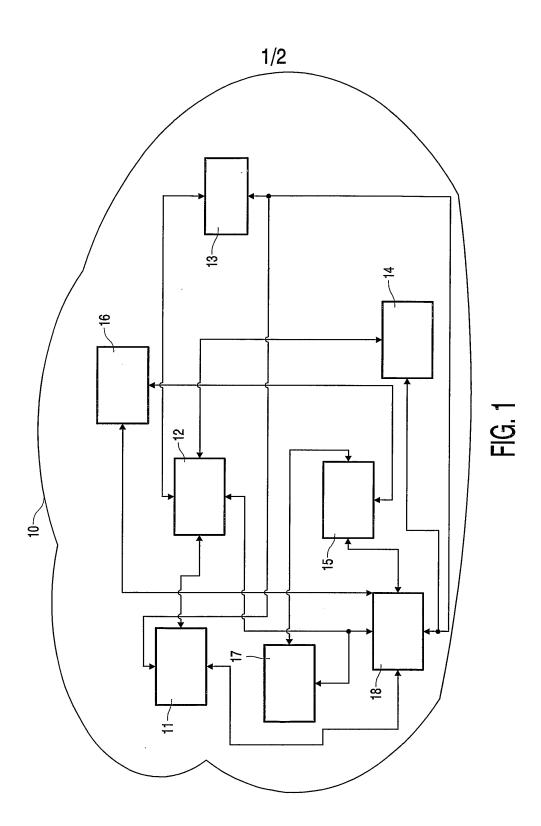
10

12. A computer system for performing the method according to any one of claims1 through 5.

 A computer program product comprising program code means stored on a
 computer readable medium for performing the method of any one of claims 1 through 5 when the computer program is run on a computer.

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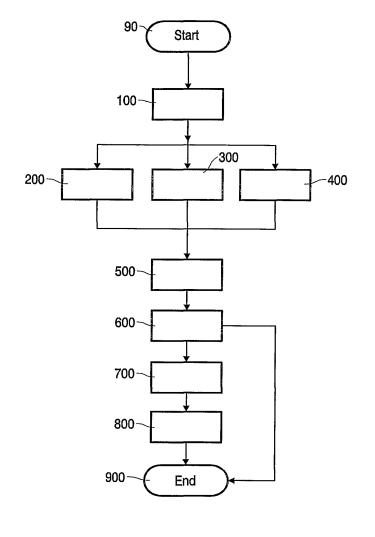


FIG. 2

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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|  | Application Number         |  | 16278106     |  |
|--|----------------------------|--|--------------|--|
|  | Filing Date                |  | 2019-02-17   |  |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |  | Shribman     |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |  |              |  |
|  | Examiner Name              |  |              |  |
|  | Attorney Docket Number     |  | HOLA-005-US9 |  |

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| Examiner<br>Initial* | Cite<br>No  | Patent Number           | Kind<br>Code <sup>1</sup> | Issue Date      | Name of Patentee or Applicant<br>of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |
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 Derry Shribman

 Art Unit
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|                      |  |  |                        | -                 |         |               |   |      |                      |        |
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| Standard ST<br><sup>4</sup> Kind of doo   | Г.З). <sup>з</sup> F<br>cument   | For Japanese patent doo | cuments, the indication of | the year of the reign of the | r office that issued the docume<br>Emperor must precede the ser<br>dard ST.16 if possible. <sup>5</sup> Applic | rial number of the patent do | cument. |  |  |  |

|  | Application Number   |       | 16278106     |
|--|----------------------|-------|--------------|
|  | Filing Date          |       | 2019-02-17   |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | Shribman     |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       |              |
|  | Examiner Name        |       |              |
|  | Attorney Docket Numb | er    | HOLA-005-US9 |

### **CERTIFICATION STATEMENT**

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

 $\times$  A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-03-11 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: HOLA-005-US9 In re Application of: ) Confirmation No. 3397

| Derry SHRIBMAN  | )<br>)      | Art Unit:        |
|---|-------------|------------------|
| Appln. No.: 16/278,106                                  | )           | Examiner:        |
| Filed: February 17, 2019                                | )<br>)<br>) | Washington, D.C. |
| For: SYSTEM PROVIDING FASTER<br>AND MORE EFFICIENT DATA |             |                  |
| COMMUNICATION   | )           | March 11, 2019   |

#### PRELIMINARY AMENDMENT:

Honorable Commissioner for Patents U.S. Patent and Trademark Office Randolph Building, Mail Stop Amendments 401 Dulany Street Alexandria, VA 22314

Sir:

Amendments to the drawings begin on page  $\underline{2}$  of this

paper.

Appln. No. 16/278,106 Filed February 17, 2019

#### Amendments to the drawings

Submitted herewith is Figure 7 wherein the line quality has been improved.

No new matter was added.

Respectfully submitted,

By / Yehuda Binder / Yehuda Binder Registration No. 73,612

> Tel: +972-54-4444577 Fax: +972-9-7442619 e-mail: yehuda@maypatents.com

| UNITED STATES PATENT AND TRADEMARK OFFICE |  |                      | UNITED STATES DEPARTMENT<br>United States Patent and Trade<br>Address: COMMISSIONER FOR P.<br>P.O. Box 1450<br>Alexandria, Virginia 22313-1450<br>www.uspto.gov | mark Office<br>ATENTS |
|---|--|----------------------|---|-----------------------|
| APPLICATION NO.                           | FILING DATE                              | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO.   | CONFIRMATION NO.      |
| 16/278,106                                | 02/17/2019                               | Derry Shribman       | HOLA-005-US9  | 3397                  |
|   | 7590 03/18/2019<br>d. a/a Darit Sham Tay |                      | EXAM  | INER                  |
| P.O.B 7230                                | d. c/o Dorit Shem-Tov                    |                      | HWANG,  | JOON H                |
| Ramat-Gan, 52                             | 17102                                    |                      | ART UNIT  | PAPER NUMBER          |
| ISRAEL                                    |  |                      | 2459  | FAFER NUMBER          |
|   |  |                      |   |                       |
|   |  |                      | MAIL DATE   | DELIVERY MODE         |
|   |  |                      | 03/18/2019  | PAPER                 |

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|    | Decisio               | n Granting Request for  | <b>Application No.</b> 16/278,106 | Applicant(s)<br>Shribman et al. |  |  |  |
|----|-----------------------|---|-----------------------------------|---------------------------------|--|--|--|
|    |                       | ed Examination (Track I)  | Examiner<br>JoAnne L Burke        | Art Unit<br>OPET                | AIA (First Inventor<br>to File) Status<br>No |  |  |
| 1. | THE REQ               | UEST FILED <u>17 February 2019</u> IS   | GRANTED                           |                                 |  |  |  |
|    | The above<br>A.<br>B. | e-identified application has met the<br>☑ for an original nonprovisional<br>☐ for an application undergoing | application (Track I).            |                                 |  |  |  |
| 2. |                       | e-identified application will under special status throughout its entire                                    |                                   |                                 |  |  |  |
|    | Α.                    | filing a <b>petition for extension of</b>   | f time to extend the time         | e period for filing a r         | eply;  |  |  |
|    | В.                    | filing an <u>amendment to amend</u><br>claims, more than thirty total c                                     |                                   |                                 | r independent                                |  |  |
|    | C.                    | filing a <b>request for continued e</b>   | xamination ;                      |                                 |  |  |  |
|    | D.                    | filing a notice of appeal;  |                                   |                                 |  |  |  |
|    | Ε.                    | filing a request for suspension of  | action;                           |                                 |  |  |  |
|    | F.                    | mailing of a notice of allowance;   |                                   |                                 |  |  |  |
|    | G.                    | mailing of a final Office action;   |                                   |                                 |  |  |  |
|    | Н.                    | completion of examination as de   | fined in 37 CFR 41.102            | ; or                            |  |  |  |
|    | I.                    | abandonment of the application.   |                                   |                                 |  |  |  |
|    |                       |   |                                   |                                 |  |  |  |
|    | •                     | e inquiries with regard to this decis<br>sence, calls may be directed to Pe                                 |                                   |                                 | (571)272-4584. In                            |  |  |
|    | Lead Par              | E L BURKE/<br>alegal Specialist, OPET   |                                   |                                 |  |  |  |

PTO-2298 (Rev. 02-2012)

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18) Approved for use through 11/30/2020. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  | Application Number   |       | 16278106     |
|--|----------------------|-------|--------------|
|  | Filing Date          |       | 2019-02-17   |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | Shribman     |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       |              |
|  | Examiner Name        |       |              |
|  | Attorney Docket Numb | er    | HOLA-005-US9 |

|                                     |  |                       |                           | U.S.I               | PATENTS  |  | Remove   |  |
|-------------------------------------|--|-----------------------|---------------------------|---------------------|--|--|--|--|
| Examiner<br>Initial*                | Cite<br>No   | Patent Number         | Kind<br>Code <sup>1</sup> | Issue Date          | of sited Decument                                  |  | jes,Columns,Lines where<br>evant Passages or Relevant<br>ures Appear |  |
|                                     | 1  | 6895011               | B1                        | 2005-05-17          | Harold Aaron Lassers                               |  |  |  |
| If you wisl                         | f you wish to add additional U.S. Patent citation information please click the Add button. |                       |                           |                     |  |  | Add  |  |
| U.S.PATENT APPLICATION PUBLICATIONS |  |                       |                           |                     |  |  | Remove   |  |
| Examiner<br>Initial*                | Cite No  | Publication<br>Number | Kind<br>Code <sup>1</sup> | Publication<br>Date | Name of Patentee or Applicant<br>of cited Document | pplicant Pages,Columns,Lines where<br>Relevant Passages or Relev<br>Figures Appear |  |  |
|                                     | 1  | 20090232003           | A1                        | 2009-09-17          | Jean-Philippe Vasseur                              |  |  |  |
|                                     | 2  | 20140189802           | A1                        | 2014-07-03          | Gregory MONTGOMERY                                 |  |  |  |
|                                     | 3  | 20030229785           | A1                        | 2003-12-11          | Michael J. Daseke                                  | Michael J. Daseke  |  |  |
|                                     | 4  | 20050027782           | A1                        | 2005-02-03          | Rajkumar Jalan                                     |  |  |  |
|                                     | 5  | 20030229718           | A1                        | 2003-12-11          | Theron Tock  |  |  |  |

|  | Application Number   |       | 16278106     |
|--|----------------------|-------|--------------|
|  | Filing Date          |       | 2019-02-17   |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | Shribman     |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       |              |
|  | Examiner Name        |       |              |
|  | Attorney Docket Numb | er    | HOLA-005-US9 |

|   | 6   |       | 20090292816   | A1         | 2009-11   | -26        | Craig S. Etchegoyen |                      |         |   |    |
|---|---|-------|---|------------|-----------|------------|---------------------|----------------------|---------|---|----|
|   | 7   |       | 20120166582   | A1         | 2012-06   | 6-28       | Yehuda BINDE        | ĒR                   |         |   |    |
| If you wis                              | h to ac   | ld ad | ditional U.S. Publis  | shed Ap    | plication | n citation | n information p     | blease click the Add | d butto | on. Add                                     |    |
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|   | 1   |       | ed et al, "Anonymous<br>er.net/Publications/J\$                     |            |           |            |                     | Research Laboratory  | , 03/19 | 98 https://www.onion-                       |    |
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| Examiner                                | Signa   | ture  |   |            |           |            |                     | Date Conside         | ered    |   |    |
|   | *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.   |       |   |            |           |            |                     |                      |         |   |    |
| Standard ST<br><sup>4</sup> Kind of doo | See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached. |       |   |            |           |            |                     |                      |         |   |    |

|  | Application Number         |    | 16278106     |  |
|--|----------------------------|----|--------------|--|
|  | Filing Date                |    | 2019-02-17   |  |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |    | Shribman     |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    |              |  |
|  | Examiner Name              |    |              |  |
|  | Attorney Docket Numb       | er | HOLA-005-US9 |  |

#### **CERTIFICATION STATEMENT**

| Please see 37 CFR 1.97 and 1.98 to make the appropriate | selection(s): |
|---|---------------|
|---|---------------|

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

 $\times$  A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-04-23 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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|--------------------------------------|---|--|--|--|--|--|
| EFS ID:                              | 35851685  |  |  |  |  |  |
| Application Number:                  | 16278106  |  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |  |
| Confirmation Number:                 | 3397  |  |  |  |  |  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |  |  |  |  |
| First Named Inventor/Applicant Name: | Derry Shribman  |  |  |  |  |  |
| Customer Number:                     | 131926  |  |  |  |  |  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |  |  |  |  |  |
| Filer Authorized By:                 | Yehuda Binder   |  |  |  |  |  |
| Attorney Docket Number:              | HOLA-005-US9  |  |  |  |  |  |
| Receipt Date:                        | 28-APR-2019   |  |  |  |  |  |
| Filing Date:                         | 17-FEB-2019   |  |  |  |  |  |
| Time Stamp:                          | 04:44:47  |  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                   |  |  |  |  |  |

### Payment information:

| Submitted with Payment no |   |  |           |                                     |                     |                     |
|---------------------------|---|--|-----------|-------------------------------------|---------------------|---------------------|
| File Listing:             |   |  |           |                                     |                     |                     |
| Document<br>Number        | <b>Document Description</b>                           |  | File Name | File Size(Bytes)/<br>Message Digest | Multi<br>Part /.zip | Pages<br>(if appl.) |
|                           |   |  |           | 1365617                             |                     |                     |
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| 2   | Information Disclosure Statement (IDS)<br>Form (SB08)  | IDS6.pdf   | 1034801<br>fb0114051371488f704a3616d4cac8ead2f5<br>1116                 | no   | 4                          |
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|   |  | Total Files Size (in bytes)  | 24  | 00418  |                            |
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|--|-----------------------|----------------------|---------------------|------------------|
| APPLICATION NO.  | FILING DATE           | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| 16/278,106   | 02/17/2019            | Derry Shribman       | HOLA-005-US9        | 3397             |
|  | 7590 05/30/2019       | )                    | EXAM                | IINER            |
| P.O.B 7230   | d. c/o Dorit Shem-Tov |                      | NGUYEN, M           | IINH CHAU        |
| Ramat-Gan, 52  | 17102                 |                      |                     |                  |
| ISRAEL   |                       |                      | ART UNIT            | PAPER NUMBER     |
|  |                       |                      | 2459                |                  |
|  |                       |                      | MAIL DATE           | DELIVERY MODE    |
|  |                       |                      | 05/30/2019          | PAPER            |

#### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

|   | Application No. 16/278,106  | Applicant(s<br>Shribman e                                       | -   |  |  |
|---|---|---|---|--|--|
| Office Action Summary   | Examiner  | Art Unit  | AIA (FITF) Status   |  |  |
|   | MINH CHAU N NGUYEN  | 2459  | No  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address   |   |   |   |  |  |
| Period for Reply  |   |   |   |  |  |
| A SHORTENED STATUTORY PERIOD FOR REPL<br>DATE OF THIS COMMUNICATION.<br>- Extensions of time may be available under the provisions of 37 CFR 1.1<br>date of this communication.<br>- If NO period for reply is specified above, the maximum statutory period -<br>- Failure to reply within the set or extended period for reply will, by statute<br>Any reply received by the Office later than three months after the mailine<br>adjustment. See 37 CFR 1.704(b). | G(a). In no event, however, may a reply be tii<br>will apply and will expire SIX (6) MONTHS fror<br>, cause the application to become ABANDON | mely filed after SIX<br>n the mailing date<br>ED (35 U.S.C. § 1 | (6) MONTHS from the mailing<br>of this communication.<br>33). |  |  |
| Status  |   |   |   |  |  |
| 1) Responsive to communication(s) filed on 17 Fe  | ebruary 2019.   |   |   |  |  |
| A declaration(s)/affidavit(s) under 37 CFR 1.   | 130(b) was/were filed on  |   |   |  |  |
| 2a) This action is <b>FINAL.</b> 2b) 🗹  | This action is non-final.   |   |   |  |  |
| 3) An election was made by the applicant in resp  |   |   | ing the interview on  |  |  |
| <ul> <li>the restriction requirement and election</li> <li>4) Since this application is in condition for allowal</li> </ul>   | -   |   | to the marite is  |  |  |
| closed in accordance with the practice under A  |   |   |   |  |  |
| Disposition of Claims*  |   |   |   |  |  |
| 5) 🗹 Claim(s) <u>1-24</u> is/are pending in the applic  | cation.   |   |   |  |  |
| 5a) Of the above claim(s) is/are withdra  | wn from consideration.  |   |   |  |  |
| 6) 🔲 Claim(s) is/are allowed.   |   |   |   |  |  |
| <ol> <li>Claim(s) <u>1 and 3-24</u> is/are rejected.</li> </ol>   |   |   |   |  |  |
| 8) $\bigcirc$ Claim(s) <u>2</u> is/are objected to.   |   |   |   |  |  |
| 9) 🔲 Claim(s) are subject to restriction and  | -   |   |   |  |  |
| * If any claims have been determined <u>allowable</u> , you may be el   |   | _   | hway program at a   |  |  |
| participating intellectual property office for the corresponding a  |   |   |   |  |  |
| http://www.uspto.gov/patents/init_events/pph/index.jsp or send  | rail inquiry to <u>PPHIEEUDack@uspt</u>   | <u>J.yov.</u>   |   |  |  |
| Application Papers  |   |   |   |  |  |
| 10) The specification is objected to by the Examine   |   |   | - Eveninen  |  |  |
| 11) The drawing(s) filed on <u>17 February 2019</u> is/are<br>Applicant may not request that any objection to the c   |   |   |   |  |  |
| Replacement drawing sheet(s) including the correction   |   |   |   |  |  |
|   |   |   | •••••••••••••••••••••••••••••••••••••••                       |  |  |
| Priority under 35 U.S.C. § 119<br>12) Acknowledgment is made of a claim for foreigr<br>Certified copies:  | n priority under 35 U.S.C. § 119(a  | a)-(d) or (f).  |   |  |  |
| a) All b) Some** c) None of th  | ne:   |   |   |  |  |
| 1. Certified copies of the priority docum   | ents have been received.  |   |   |  |  |
| 2. Certified copies of the priority docum   |   | ication No.   |   |  |  |
| <ol> <li>Copies of the certified copies of the p<br/>application from the International Bur</li> </ol>  | priority documents have been rec  |   |   |  |  |
| ** See the attached detailed Office action for a list of the certif   |   |   |   |  |  |
|   |   |   |   |  |  |
| Attachment(s)   |   |   |   |  |  |
| 1) 🖌 Notice of References Cited (PTO-892)   | 3) 🔲 Interview Summa  | ry (PTO-413)  |   |  |  |
|   | Paper No(s)/Mail  |   |   |  |  |
| <ul> <li>2) ✓ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S<br/>Paper No(s)/Mail Date <u>02/17/2019; 03/11/2019; 04/28/2019</u>.</li> <li>U.S. Patent and Trademark Office</li> </ul>  | 4) (Other:  |   |   |  |  |
|   | ction Summary   | Part of Paper No./  | Mail Date 20190528  |  |  |

#### Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

This action is responsive to the application filed on February 17, 2019. Claims 1-24 are pending.

#### **Double Patenting**

The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

Claims 1-24 (hereafter "*examined claim*") are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-29 (hereafter "*patent claim*") of U.S. Patent No. 10,257,319. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims of the instant application are merely obvious variations of the claims in the patent 10,257,319 as outlined in the table below:

| <b>Examined claim 2</b><br>the method according to claim 1, further<br>comprising sending the received first<br>content, by the first client device to<br>the second server over the established TCP<br>connection, in response to the receiving of<br>the first content identifier. |   |
|--|---|
| Examined claim 1   | Patent claim 1                                    |
| A method for use with a web server that  | A method for use with a first client device, for  |
| responds to Hypertext Transfer Protocol  | use with a first server that comprises a web      |
| (HTTP) requests and stores a first content   | server that is a Hypertext Transfer Protocol      |
| identified by a first content identifier, the  | (HTTP) server that responds to HTTP               |
| method by a first client device comprising:  | requests, the first server stores a first content |
| establishing a Transmission Control  | identified by a first content identifier, and for |
| Protocol (TCP) connection with a second  | use with a second server, the method by the       |
| server;  | first client device comprising:                   |

| receiving, from the second server over   | receiving, from the second server, the  |
|--|---|
| the established TCP connection, the first  | first content identifier;   |
| content identifier;  |   |
| sending, to the web server over the Internet, the first content identifier; and  | sending, to the first server over the<br>Internet, a Hypertext Transfer Protocol<br>(HTTP) request that comprises that first<br>content identifier; the first content identifier;   |
| receiving, the first content from the<br>web server over the Internet in response to the<br>sending of the first content identifier. | receiving, the first content from the<br>first server over the Internet in response to the<br>sending of the first content identifier; and<br>sending, the first content by the first<br>client device to the second server, in response<br>to the receiving of the content identifier. |

Examined claim 2 is merely a broader version of patent claim 1. It would have been

obvious to broaden patent claim 1 because omitting the limitation is obvious variation.

Examined claims 3-24 recite the similar limitations of patent claims 2-29.

#### Claim Rejections - 35 USC § 103

The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all

obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

The factual inquiries set forth in Grahamv. John Deere Co., 383 U.S. 1, 148 USPQ 459

(1966), that are applied for establishing a background for determining obviousness under pre-

AIA 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.

3. Resolving the level of ordinary skill in the pertinent art.

4. Considering objective evidence present in the application indicating obviousness or nonobviousness.

Claims 1, 3-24 is/are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Yu et al. (US 2006/0212584) hereinafter "Yu" and in view of Barth et al. (US 2001/0054020) hereinafter "Barth".

Claim 1

Yu teaches a method for use with a web server that responds to Hypertext Transfer Protocol (HTTP) requests and stores a first content identified by a first content identifier, the method by a first client device comprising:

establishing a Transmission Control Protocol (TCP) connection with a second server (Yu, figures 2-4; 0024-0026);

sending, to the web server over the Internet, the first content identifier [i.e. sending a request/query includes URL of requested content to indexing server] (Yu, 0026, 0033-0034); and

receiving, the first content from the web server over the Internet in response to the sending of the first content identifier [i.e. receiving the requested content from web server] (Yu, 0004, 0026-0029, 0033-0034, 0037).

Yu fails to teach receiving, from the second server over the established TCP connection, the first content identifier.

However, in an analogous art, **Barth** teaches receiving, from the second server [i.e. supplier website] over the established TCP connection, the first content identifier (Barth, 0155).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the features of receiving, from the second server over the established TCP connection, the first content identifier, as disclosed by Barth, into the teachings of Yu. One would be motivated to do so in order to provide an improvement for an information/communication exchange system via a network.

#### Claim 3

Yu in combination with Barth teach the method according to claim 1, wherein the sending of the first content identifier to the web server over the Internet comprises sending a Hypertext Transfer Protocol (HTTP) request that comprises the first content identifier (Yu, 0026, 0033-0034).

#### Claim 4

Yu in combination with Barth teach the method according to claim 1, further comprising storing, by the first client device in response to the receiving from the web server, the first content (Yu, 0024).

#### Claim 5

Yu in combination with Barth teach the method according to claim 1, wherein the second server is a Transmission Control Protocol/Internet Protocol (TCP/IP) server that communicates over the Internet based on, or according to, using TCP/IP protocol or connection, and wherein the first client device is a Transmission Control Protocol/Internet Protocol (TCP/IP) client that communicates with the second server over the Internet based on, or according to, TCP/IP protocol (Yu, 0024).

#### <u>Claim 6</u>

Yu in combination with Barth teach the method according to claim 1, wherein the first client device communicates over the Internet based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards (Yu, 0024).

#### Claim 7

Yu in combination with Barth teach the method according to claim 1, wherein the first content comprises web-page, audio, or video content, and wherein the first content identifier comprises a Uniform Resource Locator (URL) (Yu, 0006, 0015, 0021).

#### Claim 8

Yu in combination with Barth teach the method according to claim 1, further comprising executing, by the first client device, a web browser application or an email application (Yu, 0004).

#### Claim 9

Yu in combination with Barth teach the method according to claim 1, for use with a third server that comprises a web server that is Hypertext Transfer Protocol (HTTP) server, the third server responds to HTTP requests and stores a second content identified by a second content identifier, the method by the first client device further comprising:

receiving the second content identifier (Barth, figure 3, 0155);

sending, to the third server over the Internet in response to the receiving, the second content identifier (Barth, figure 3, 0030-0031, 0155); and

receiving the second content from the third server over the Internet in response to the sending (Barth, figure 3, 0030-0031, 0155).

Claim 10

Yu in combination with Barth teach the method according to claim 9, further comprising executing, by the first client device, a web browser application or an email application (Yu, 0004).

#### Claim 11

Yu in combination with Barth teach the method according to claim 1, further comprising periodically communicating over the TCP connection between the second server and the first client device (Yu, 0024).

#### <u>Claim 12</u>

Yu in combination with Barth teach the method according to claim 11, wherein the periodically communicating comprises exchanging 'keep alive' messages (Barth, 0095).

#### Claim 13

Yu in combination with Barth teach the method according to claim 1, wherein the first client device is identified by a Media Access Control (MAC) address or a hostname, and wherein the method further comprising sending, by the first client device, during, as part of, or in response to, a start-up or power-up of the first client device, a first message to the second server.

and wherein the first messages comprises the first client IP address, the MAC address, or the hostname (Barth, 0083-0086, 0041).

#### Claim 14

Yu in combination with Barth teach the method according to claim 13, for use with a first application stored in the first client device and associated with a first version number, wherein the first message comprises the first version number (Barth, 0069-0071, 0091).

#### Claim 15

Yu in combination with Barth teach the method according to claim 14, for use with a second application that is a version of the first application, is stored in the second server, and is associated with a second version number, wherein the method further comprising receiving, by the first client device from the second server, in response to the first message, a second message that comprises the second version number (Barth, 0069-0072, 0091).

#### <u>Claim 16</u>

Yu in combination with Barth teach the method according to claim 15, wherein the method further comprising downloading over the Internet, by the first client device from the second server, in response to the first message, the second application from the second server,

and installing the second application in the first client device as a replacement for the first application (Barth, 0069-0072, 0201, 0231-0232).

#### Claim 17

Yu in combination with Barth teach the method according to claim 1, further comprising determining, by the first client device, that the received first content, is valid (Barth, 0112-0113, 0146, 0201, 0231-0232).

#### Claim 18

Yu in combination with Barth teach the method according to claim 17, wherein the determining is based on the received HTTP header according to, or based on, IETF RFC 2616 (Yu, 0045).

#### Claim 19

Yu in combination with Barth teach the method according to claim 17, further comprising:

sending, a message over the Internet in response to the determining that the received first content, is not valid (Yu, 0026); and

receiving, over the Internet in response to the sending of the message, from the second server or from a second client device selected from a plurality of client devices, the first content (Yu, 0026).

#### Claim 20

Yu in combination with Barth teach the method according to claim 1, further comprising storing, operating, or using, a client operating system (Yu, 0024, 0043).

#### Claim 21

Yu in combination with Barth teach the method according to claim 1, wherein the steps are sequentially executed (Yu, 0047).

#### Claim 22

Yu in combination with Barth teach the method according to claim 1, for use with a software application that includes computer instructions that, when executed by a computer processor, cause the processor to perform the sending of the Hypertext Transfer Protocol (HTTP) request, the receiving and storing of the first content, the receiving of the first content identifier, and the sending of a part of, or the whole of, the stored first content, the method is further preceded by:

downloading, by the first client device from the Internet, the software application (Barth, 0050-0052, 0064, 0072); and

installing, by the first client device, the downloaded software application (Barth, 0050-0052, 0064, 0072).

Claim 23

Yu in combination with Barth teach the method according to claim 22, wherein the software application is downloaded from the second server (Barth, 0050-0052, 0064, 0072).

Claim 24 is corresponding claim of claim 1. Therefore, it is rejected under the same rationale.

#### Allowable Subject Matter

Claim 2 is objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

**Correspondence Information** 

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH CHAU N NGUYEN whose telephone number is (571)272-4242. The examiner can normally be reached on M-F 8am-4pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at

http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, JEFFREY NICKERSON can be reached on (571)270-3631. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MINH CHAU NGUYEN/ Primary Examiner, Art Unit 2459

|   | Notice of References Cited |  | Application/<br>16/278,106 | Control No. | Applicant(s)/Pate<br>Reexamination<br>Shribman et al. | ent Under   |                       |                   |
|---|----------------------------|--|----------------------------|-------------|---|-------------|-----------------------|-------------------|
|   |                            |  | Examiner<br>MINH CHAI      | J N NGUYEN  | Art Unit<br>2459                                      | Page 1 of 1 |                       |                   |
|   |                            |  |                            | U.S. P      |   | IENTS       |                       |                   |
| * |                            | Document Number<br>Country Code-Number-Kind Code | Date<br>MM-YYYY            |             | Name  | e           | CPC Classification    | US Classification |
| * | А                          | US-20060212584-A1                                | 09-2006                    | Yu; Min     | gjian   |             | H04L67/104            | 709/227           |
| * | В                          | US-20110035503-A1                                | 02-2011                    | ZAID; S     | AM  |             | H04L63/0407           | 709/228           |
| * | С                          | US-9015335-B1                                    | 04-2015                    | Gigliotti   | ; Samuel S.   |             | G06F16/40             | 709/231           |
| * | D                          | US-7865585-B2                                    | 01-2011                    | Samuel      | s; Allen  |             | H04L67/28             | 709/217           |
| * | Е                          | US-20010054020-A1                                | 12-2001                    | Barth, E    | Brian E.  |             | G06Q10/02             | 705/37            |
| * | F                          | US-20060212542-A1                                | 09-2006                    | Fang; H     | lan   |             | H04L67/104            | 709/219           |
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|   |                            | this reference is not being furnished with       |                            |             |   |             |                       |                   |

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20190528

|              | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|--------------|-------------------------|---|
| Search Notes | 16/278,106              | Shribman et al.                         |
|              | Examiner                | Art Unit                                |
|              | MINH CHAU N NGUYEN      | 2459                                    |

| CPC - Searched* |            |          |  |  |
|-----------------|------------|----------|--|--|
| Symbol          | Date       | Examiner |  |  |
| H04L67/42       | 05/28/2019 | MN       |  |  |
| H04L41/046      | 05/28/2019 | MN       |  |  |
| H04L67/22       | 05/28/2019 | MN       |  |  |
| H04L67/108      | 05/28/2019 | MN       |  |  |
| H04L67/1002     | 05/28/2019 | MN       |  |  |
| H04L67/1023     | 05/28/2019 | MN       |  |  |

| CPC Combination Sets - Searched* |  |  |  |  |
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| Symbol Date Examiner             |  |  |  |  |
|                                  |  |  |  |  |

| US Classification - Searched* |          |            |          |  |
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| Class                         | Subclass | Date       | Examiner |  |
| 709                           | 202      | 05/28/2019 | MN       |  |

\* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| Search Notes            |            |    |  |
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| Search Notes Date Exami |            |    |  |
| search on EAST          | 05/28/2019 | MN |  |

| Interference Search    |                       |      |          |  |
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| US Class/CPC<br>Symbol | US Subclass/CPC Group | Date | Examiner |  |
|                        |                       |      |          |  |

| /MINH CHAU NGUYEN/<br>Primary Examiner, Art Unit 2459 |  |
|---|--|
|   |  |

|   |                |   | Application/Control No | <b>)</b> . |          | Applicant(s)/Patent Under Reexamination |   |        |  |
|---|----------------|---|------------------------|------------|----------|---|---|--------|--|
|   | Index of Claim | 5 | 16/278,106             |            |          | Shribman et al.                         |   |        |  |
|   |                |   | Examiner               |            | Art Unit |   |   |        |  |
|   |                |   | MINH CHAU N NGU        | YEN        |          | 2459                                    |   |        |  |
|   |                |   |                        |            |          |   |   |        |  |
| 1 | Rejected       | _ | Cancelled              | N          | Nor      | n-Elected                               | Δ | Appeal |  |

| 1 | Rejected | - | Cancelled  | N | Non-Elected  | A | Appeal   |
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| = | Allowed  | ÷ | Restricted | I | Interference | ο | Objected |

|         | CLAIMS  |              |      |  |  |  |  |  |  |  |  |
|---------|---|--------------|------|--|--|--|--|--|--|--|--|
| 🗌 Clair | Claims renumbered in the same order as presented by applicant CPA T.D. R.1.47 |              |      |  |  |  |  |  |  |  |  |
| CL      | AIM   |              | DATE |  |  |  |  |  |  |  |  |
| Final   | Original  | 05/28/2019   |      |  |  |  |  |  |  |  |  |
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|         | 9   | $\checkmark$ |      |  |  |  |  |  |  |  |  |
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|         | 11  | ✓            |      |  |  |  |  |  |  |  |  |
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|         | 15  | $\checkmark$ |      |  |  |  |  |  |  |  |  |
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#### Application Number 16/278106 02/17/2019 Filing Date INFORMATION DISCLOSURE First Named Inventor Derry Shribman **STATEMENT BY APPLICANT** Art Unit 2459 (Not for submission under 37 CFR 1.99) Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

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| Application Number   |       | 16/278106     |  |  |
|----------------------|-------|---------------|--|--|
| Filing Date          |       | 02/17/2019    |  |  |
| First Named Inventor | Derry | Shribman      |  |  |
| Art Unit             |       | 2459          |  |  |
| Examiner Name        | MIN   | H-CHAU NGUYEN |  |  |
| Attorney Docket Numb | er    | HOLA-005-US9  |  |  |

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16/278106 **Application Number** Filing Date 02/17/2019 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

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| Application Number     |       | 16/278106      |
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| Filing Date            |       | 02/17/2019     |
| First Named Inventor   | Derry | Shribman       |
| Art Unit               |       | 2459           |
| Examiner Name          | MIN   | NH-CHAU NGUYEN |
| Attorney Docket Number | er    | HOLA-005-US9   |

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|--|----------------------------|----|-----------------|--|
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| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    | 2459            |  |
|  | Examiner Name MII          |    | INH-CHAU NGUYEN |  |
|  | Attorney Docket Numb       | er | HOLA-005-US9    |  |

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| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda BINDER   | Registration Number | 73612      |

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| Application Number   |       | 16278106       |
|----------------------|-------|----------------|
| Filing Date          |       | 2019-02-17     |
| First Named Inventor | Derry | Shribman       |
| Art Unit             |       | 2459           |
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 Application Number
 16278106

 Filing Date
 2019-02-17

 First Named Inventor
 Derry Shribman

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|--|------------------------------|----|---------------|--|
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SIGNATURE

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| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-03-11 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

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Doc description: Information Disclosure Statement (IDS) Filed

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|  | Application Number   |       | 16/278106      |  |
|--|----------------------|-------|----------------|--|
|  | Filing Date          |       | 02/17/2019     |  |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | ry Shribman    |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2459           |  |
|  | Examiner Name        | MIN   | IH-CHAU NGUYEN |  |
|  | Attorney Docket Numb | ər    | HOLA-005-US9   |  |

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| Examiner<br>Initial* | Cite<br>No | Patent Number         | Kind<br>Code <sup>1</sup> | Issue Date          | Name of Patentee or Applicant<br>of cited Document | Releva | Columns,Lines where<br>nt Passages or Relevant<br>Appear |
| /M.N/                | 1          | 7742485               | B2                        | 2010-06-22          | Xinyan Zhang                                       |        |  |
| × ×                  | 2          | 7831720               | B1                        | 2010-11-09          | Wael Noureddine                                    |        |  |
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| /m.n/                | 1          | 20140359081           | A1                        | 2014-12-04          | Mattijs Oskar Van Deventer                         |        |  |
|                      | 2          | 20090010426           | A1                        | 2009-01-08          | Scott D. Redmond                                   |        |  |
|                      | 3          | 20130007232           | A1                        | 2013-01-03          | Wei Wang   |        |  |
| V                    | 4          | 20150206197           | A1                        | 2015-07-23          | Assaf Toval  |        |  |

 Application Number
 16/278106

 Filing Date
 02/17/2019

 First Named Inventor
 Derry Shribman

 Art Unit
 2459

 Examiner Name
 MINH-CHAU NGUYEN

 Attorney Docket Number
 HOLA-005-US9

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| /м            | .N/  | 5          |      | 20150206176                        | A1                       | 2015-07   | 7-23          | Assaf ⊺oval         |  |       |   |
|               |  | 6          |      | 20170221092                        | A1                       | 2017-08   | 3-03          | Assaf Toval         |  |       |   |
|               |  | 7          |      | 20070174246                        | A1                       | 2007-07   | 7-26          | Johann Tomas        | Sigurdsson   |       |   |
|               |  | 8          |      | 20100262650                        | A1                       | 2010-10   | )-14          | Abhishek Chau       | ihan   |       |   |
|               |  | 9          |      | 20060047844                        | A1                       | 2006-03   | 3-02          | Li Deng             |  |       |   |
|               |  | 10         |      | 20130171964                        | A1                       | 2013-07   | 7-04          | Sumeet Singh        | Bhatia   |       |   |
| *             |  | 11         |      | 20130219458                        | A1                       | 2013-08   | 3-22          | Vasudevan Ra        | manathan   |       |   |
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# INFORMATION DISCLOSURE Application Number 16/278106 Filing Date 02/17/2019 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

| Examiner<br>Initials* Cite<br>No Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s),<br>publisher, city and/or country where published. |  |              |   |                                 |            |  |  |
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| Examiner   | Signa  | ture /1      | MINH CHAU NGUYEN/                           | Date Considered                 | 05/28/2019 |  |  |
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|  | Application Number         |    | 16/278106     |  |
|--|----------------------------|----|---------------|--|
|  | Filing Date                |    | 02/17/2019    |  |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |    | Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    | 2459          |  |
|  | Examiner Name MIN          |    | H-CHAU NGUYEN |  |
|  | Attorney Docket Numb       | er | HOLA-005-US9  |  |

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| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

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| INFORMATION DISCLOSURE   | Application Number     |                                     | 16/278106     |  |
|--|------------------------|-------------------------------------|---------------|--|
|  | Filing Date            |                                     | 02/17/2019    |  |
|  | First Named Inventor   | First Named Inventor Derry Shribman |               |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit               |                                     | 2459          |  |
|  | Examiner Name MINH     |                                     | H-CHAU NGUYEN |  |
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| /M.N/   | 1                                   | 6868453               | B1                        | 2005-03-15                  | Mitsuhiro Watanabe  |  |           |                                |
| /M.N/   | /M.N/2 8595786                      |                       | B2                        | 2013-11-26                  | In Hwan Choi  |  |           |                                |
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| /M.N/   | 1                                   | 20030097408           | A1                        | 2003-05-22                  | Masahiro Kageyama   |  |           |                                |
| /M.N/   | 2                                   | 20070100839           | A1                        | 2007-05-03                  | Deok-ho Kim   |  |           |                                |
| /M.N/   | 3                                   | 20080256175           | A1                        | 2008-10-16                  | Sang-kwon Lee   |  |           |                                |
| /M.N/   | 4                                   | 20060212542           | A1                        | 2006-09-21                  | Han Fang  |  |           |                                |

# INFORMATION DISCLOSURE Application Number 16/278106 Filing Date 02/17/2019 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

| /M.N/                                   | 5                                |                  | 20110035503  | A1                | 2011-02     | 2-10       | SAM ZAID            |  |          |   |         |
|---|----------------------------------|------------------|--|-------------------|-------------|------------|---------------------|--|----------|---|---------|
| /M.N/                                   | 6                                |                  | 20050097441  | A1                | 2005-05     | i-05       | Jonathan D. Herbach |  |          |   |         |
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| INFORMATION DISCLOSURE   | Application Number         |    | 16/278106      |
|--|----------------------------|----|----------------|
|  | Filing Date                |    | 02/17/2019     |
|  | First Named Inventor Derry |    | y Shribman     |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    | 2459           |
|  | Examiner Name              | мп | NH-CHAU NGUYEN |
|  | Attorney Docket Numb       | ər | HOLA-005-US9   |

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| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda BINDER   | Registration Number | 73612      |

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- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

### EAST Search History

### EAST Search History (Prior Art)

| Ref<br># | Hits | Search Query  | DBs  | Default<br>Operator                     | Plurals | Time<br>Stamp       |
|----------|------|---|--|---|---------|---------------------|
| L8       | 27   | ("2006/0212584").URPN.  | USPAT  | OR                                      | OFF     | 2019/05/28<br>12:04 |
| L9       | 4465 | (servers same (peers clients)) same<br>((content\$1 document\$1 page\$1) with<br>(deliver\$4 quer\$4 inquir\$4 retriev\$4<br>search\$4)) and (web near server\$1)   | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB    | OR                                      | OFF     | 2019/05/28<br>12:15 |
| L10      | 445  | 9 and (server\$1 with (receiv\$4 quer\$4<br>transmit\$4 inquir\$4 send\$4) with (url\$1<br>((content\$1 page\$1 document\$1) near<br>(identifier\$1 address\$2))) with (client\$1<br>peer\$1 node\$1 terminal\$1))      | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB    | OR                                      | OFF     | 2019/05/28<br>12:17 |
| L11      | 248  | 10 and @ad< "20091008"  | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB    | PAT;<br>DCR; FPRS;<br>D; JPO;<br>RWENT; |         | 2019/05/28<br>12:19 |
| L12      | 6    | 11 and ((client\$1 peer\$1) near3<br>(transmit\$4 send\$4 forward\$4<br>deliver\$4) with ((retrieved received<br>inquiried obtained) near3 (content\$1<br>document\$1 file\$1 web\$page\$1<br>page\$1)) with server\$1) | US-PGPUB; OR<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB |   | OFF     | 2019/05/28<br>12:20 |
| L13      | 66   | 9 and ((client\$1 peer\$1) near3<br>(transmit\$4 send\$4 forward\$4<br>deliver\$4) with ((retrieved received<br>inquiried obtained) near3 (content\$1<br>document\$1 file\$1 web\$page\$1<br>page\$1)) with server\$1)  | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB    | OR                                      | OFF     | 2019/05/28<br>12:29 |
| L14      | 29   | 13 and @ad< "20091008"  | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB    | OR                                      | OFF     | 2019/05/28<br>12:31 |
| L15      | 0    | 14 and (server\$1 with (list\$1 near3<br>(node\$1 peer\$1 terminal\$1 device\$1)<br>near3 client\$1))   | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB    | OR                                      | OFF     | 2019/05/28<br>12:42 |
| L16      | 0    | 12 and (server\$1 with (list\$1 near3<br>(node\$1 peer\$1 terminal\$1 device\$1)<br>near3 client\$1))   | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB    | OR                                      | OFF     | 2019/05/28<br>12:43 |

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| L17 | 3  | 11 and (server\$1 with (list\$1 near3<br>(node\$1 peer\$1 terminal\$1 device\$1)<br>near3 client\$1)) | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/05/28<br>12:43 |
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| L18 | 31 | 11 and (keep\$4 near3 alive\$1)   | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/05/28<br>12:43 |
| L19 | 17 | 18 and (live online) and (geographic\$6<br>location\$1)   | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/05/28<br>12:43 |
| L20 | 0  | 19 and MAC  | US-PGPUB;<br>USPAT;<br>USOCR; FPRS;<br>EPO; JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/05/28<br>12:44 |

### EAST Search History (Interference)

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Page 196 of 455

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18) Approved for use through 11/30/2020. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  | Application Number         |    | 16278106        |  |
|--|----------------------------|----|-----------------|--|
|  | Filing Date                |    | 2019-02-17      |  |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |    | / Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    | 2459            |  |
|  | Examiner Name MI           |    | INH-CHAU NGUYEN |  |
|  | Attorney Docket Numb       | ər | HOLA-005-US9    |  |

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|----------------------|------------|-----------------------|---------------------------|---------------------|--|--------|--|--------------------------------|
| Examiner<br>Initial* | Cite<br>No | Patent Number         | Kind<br>Code <sup>1</sup> | Issue Date          | of cited Document                                  |        | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |                                |
| /M.N/                | 1          | 6895011               | B1                        | 2005-05-17          | Harold Aaron Lassers                               |        |  |                                |
| If you wis           | h to add   | additional U.S. Pater | nt citatio                | n information p     | lease click the Add button.                        |        | Add  |                                |
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| /M.N/                | 1          | 20090232003           | A1                        | 2009-09-17          | Jean-Philippe Vasseur                              |        |  |                                |
| /M.N/                | 2          | 20140189802           | A1                        | 2014-07-03          | Gregory MONTGOMERY                                 |        |  |                                |
| /M.N/                | 3          | 20030229785           | A1                        | 2003-12-11          | Michael J. Daseke                                  |        |  |                                |
| /M.N/                | 4          | 20050027782           | A1                        | 2005-02-03          | Rajkumar Jalan                                     |        |  |                                |
| /M.N/                | 5          | 20030229718           | A1                        | 2003-12-11          | Theron Tock  |        |  |                                |

| Application Number     |       | 16278106       |  |  |
|------------------------|-------|----------------|--|--|
| Filing Date            |       | 2019-02-17     |  |  |
| First Named Inventor   | Derry | Shribman       |  |  |
| Art Unit               |       | 2459           |  |  |
| Examiner Name          | М     | NH-CHAU NGUYEN |  |  |
| Attorney Docket Number |       | HOLA-005-US9   |  |  |

| /M.N/                                   | 6                                |                 | 20090292816   | A1                | 2009-11     | 09-11-26 Craig S. Etchegoyen |                     | goyen  |          |  |                 |        |
|---|----------------------------------|-----------------|---|-------------------|-------------|------------------------------|---------------------|--|----------|--|-----------------|--------|
| /M.N/                                   | 7                                |                 | 20120166582   | A1                | 2012-06     | 5-28                         | Yehuda BINDE        | Yehuda BINDER                                      |          |  |                 |        |
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| /M.N/                                   | 1                                |                 | d et al, "Anonymous<br>er.net/Publications/J  |                   |             |                              |                     | Research Laboratory                                | , 03/199 | 98 https://wv  | vw.onion-       |        |
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| Examiner                                | Signa                            | ture            | /MINH CHA   | U NGUY            | en/         |                              |                     | Date Conside                                       | ered     | 05/28  | 8/2019          |        |
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| Standard ST<br><sup>4</sup> Kind of doo | F.3). <sup>3</sup> F<br>cument l | or Ja<br>by the | TO Patent Documents<br>panese patent documents<br>appropriate symbols a<br>ion is attached. | nts, the inc      | lication of | the year                     | of the reign of the | Emperor must precede                               | the ser  | ial number of  | the patent docu | ument. |

| INFORMATION DISCLOSURE   | Application Number         |    | 16278106         |  |
|--|----------------------------|----|------------------|--|
|  | Filing Date                |    | 2019-02-17       |  |
|  | First Named Inventor Derry |    | Shribman         |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    | 2459             |  |
|  | Examiner Name              |    | MINH-CHAU NGUYEN |  |
|  | Attorney Docket Numb       | er | HOLA-005-US9     |  |

### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

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SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-04-23 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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# INFORMATION DISCLOSURE Application Number 16/278106 STATEMENT BY APPLICANT 02/17/2019 (Not for submission under 37 CFR 1.99) First Named Inventor Derry Shribman Art Unit 2459 Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

|                      |            |               |                           | U.S.       | PATENTS           | Remove   |
|----------------------|------------|---------------|---------------------------|------------|-------------------|--|
| Examiner<br>Initial* | Cite<br>No | Patent Number | Kind<br>Code <sup>1</sup> |            |                   | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
| /m.n/                | 1          | 8479251       | B2                        | 2013-07-02 | Feinleib et al    |  |
|                      | 2          | 8499059       | B2                        | 2013-07-30 | Stoyanov          |  |
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| <b>*</b>             | 8          | 7558942       | B2                        | 2009-07-07 | Chen, et al.      |  |

| Application Number     |       | 16/278106      |
|------------------------|-------|----------------|
| Filing Date            |       | 02/17/2019     |
| First Named Inventor   | Derry | Shribman       |
| Art Unit               |       | 2459           |
| Examiner Name MII      |       | IH-CHAU NGUYEN |
| Attorney Docket Number |       | HOLA-005-US9   |

| /M.N/                                  | 9                     | 4937781               | А                         | 1990-06-26          | Lee, et al.  |        |        |                                |
|--|-----------------------|-----------------------|---------------------------|---------------------|--|--------|--------|--------------------------------|
| /M.N,                                  | <sup>7</sup> 10       | 7970835               | B2                        | 2011-06-28          | Robert St. Jacques                                 |        |        |                                |
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| /M.N/                                  | 1                     | 20150067819           | A1                        | 2015-03-05          | Hola Networks Ltd.                                 |        |        |                                |
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|  | 7                     | 20020065930           | A1                        | 2002-30-05          | Rhodes, David L.                                   |        |        |                                |

 Application Number
 16/278106

 Filing Date
 02/17/2019

 First Named Inventor
 Derry Shribman

 Art Unit
 2459

 Examiner Name
 MINH-CHAU NGUYEN

 Attorney Docket Number
 HOLA-005-US9

| /м | .N/ | 8  | 20030204602 | A1 | 2003-10-30 | Hudson Michael D.                   |
|----|-----|----|-------------|----|------------|-------------------------------------|
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|    | ŗ   | 18 | 20100154044 | A1 | 2010-17-06 | Manku; Tajinder                     |

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

16/278106 Application Number Filing Date 02/17/2019 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

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| ( | Not | tor | submission | under | 37 | CFR 1.99) |  |

| /м. | n/                                     | 19 | 20100293555 | A1 | 2010-15-11 | VEPSALAINEN; Ari M.           |
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|     |  | 20 | 20130272519 | A1 | 2013-17-10 | Huang; Lawrence P.            |
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|     |  | 22 | 20090217122 | A1 | 2009-27-08 | Yokokawa; Takashi; et al.     |
|     |  | 23 | 20010033583 | A1 | 2001-25-10 | Rabenko, Theodore F. ; et al. |
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|  | Application Number     |       | 16/278106        |  |
|--|------------------------|-------|------------------|--|
|  | Filing Date            |       | 02/17/2019       |  |
| INFORMATION DISCLOSURE                 | First Named Inventor   | Derry | Shribman         |  |
| (Not for submission under 37 CFR 1.99) | Art Unit               |       | 2459             |  |
|  | Examiner Name          |       | MINH-CHAU NGUYEN |  |
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| Examiner  | Signa                          | ture /MINH CHAU                                      | J NGUYEN/             |               |                     | Date Considered  | 05/28/2019                    |         |
|   |                                |  |                       |               |                     | formance with MPEP 609<br>with next communication  |                               |         |
| Standard ST<br><sup>4</sup> Kind of doo   | 7.3). <sup>3</sup> F<br>cument | or Japanese patent docume                            | nts, the indication o | f the year    | of the reign of the | er office that issued the docume<br>Emperor must precede the se<br>ndard ST.16 if possible. <sup>5</sup> Appli | rial number of the patent doo | cument. |

|  | Application Number         |    | 16/278106       |  |
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|  | Filing Date                |    | 02/17/2019      |  |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |    | y Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |    | 2459            |  |
|  | Examiner Name              | M  | INH-CHAU NGUYEN |  |
|  | Attorney Docket Numb       | er | HOLA-005-US9    |  |

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See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

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| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda BINDER   | Registration Number | 73612      |

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|  | Application Number     |                                   | 16/278106     |  |
|--|------------------------|-----------------------------------|---------------|--|
|  | Filing Date            |                                   | 02/17/2019    |  |
| INFORMATION DISCLOSURE   | First Named Inventor   | rst Named Inventor Derry Shribman |               |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit               |                                   | 2459          |  |
|  | Examiner Name          | MIN                               | H-CHAU NGUYEN |  |
|  | Attorney Docket Number |                                   | HOLA-005-US9  |  |

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| Examiner Cite<br>Initial* No |   | Patent Number | Kind<br>Code <sup>1</sup> | Issue Date   Name of Patentee of Applicant |                      | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |
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 Application Number
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 Filing Date
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 Derry Shribman

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Application Number 16/278106 Filing Date 02/17/2019 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

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 Application Number
 16/278106

 Filing Date
 02/17/2019

 First Named Inventor
 Derry Shribman

 Art Unit
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 MINH-CHAU NGUYEN

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 HOLA-005-US9

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| First Named Inventor Derry |  | Shribman         |  |
| Art Unit                   |  | 2459             |  |
| Examiner Name              |  | MINH-CHAU NGUYEN |  |
| Attorney Docket Number     |  | HOLA-005-US9     |  |

| Examiner<br>Initial*  | Cite<br>No | Foreign Document<br>Number <sup>3</sup>   | Country<br>Code²i  | Kind<br>Code4 | Publication<br>Date | Name of Patentee or<br>Applicant of cited<br>Document   | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear | T5 |  |
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# INFORMATION DISCLOSURE Application Number 16/278106 Filing Date 02/17/2019 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name MINH-CHAU NGUYEN Attorney Docket Number HOLA-005-US9

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|  | Application Number         |   | 16/278106        |  |
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|  | Filing Date                |   | 02/17/2019       |  |
| INFORMATION DISCLOSURE   | First Named Inventor Derry |   | ry Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |   | 2459             |  |
|  | Examiner Name              | N | MINH-CHAU NGUYEN |  |
|  | Attorney Docket Number     |   | HOLA-005-US9     |  |

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SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-02-03 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73,612     |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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| Doc Code: DIST.E.FILE<br>Document Description: Electronic   | c Terminal Disclaimer - Filed  |                                    | PTO/SB/26<br>U.S. Patent and Trademark Office<br>Department of Commerce   |  |
|---|--|------------------------------------|---|--|
| Electronic Petition Request   | TERMINAL DISCLAIMER TO OBV<br>"PRIOR" PATENT   | /IATE A D                          | UUBLE PATENTING REJECTION OVER A  |  |
| Application Number  | 16278106   |                                    |   |  |
| Filing Date   | 17-Feb-2019  | 17-Feb-2019                        |   |  |
| First Named Inventor  | Derry Shribman   | Derry Shribman                     |   |  |
| Attorney Docket Number  | HOLA-005-US9   |                                    |   |  |
| Title of Invention  | SYSTEM PROVIDING FASTER AND  | D MORE E                           | FFICIENT DATA COMMUNICATION   |  |
| Office Action   | oes not obviate requirement for respo<br>imer is not being used for a Joint Reso   |                                    |   |  |
| Owner   | Per  | rcent Inter                        | rest  |  |
| WEB SPARK LTD.  | 100  | 0%                                 |   |  |
|   | of any patent granted on the instant a   |                                    | isclaims, except as provided below, the<br>n which would extend beyond the expiration   |  |
| 10257319  |  |                                    |   |  |
| granted on the instant application s  | shall be enforceable only for and durin  | ng such p                          | The owner hereby agrees that any patent so<br>eriod that it and the prior patent are commonly<br>nd is binding upon the grantee, its successors                   |  |
| application that would extend to th<br>is presently shortened by any termi<br>- expires for failure to pay a mainter<br>- is held unenforceable;<br>- is found invalid by a court of comp<br>- is statutorily disclaimed in whole c<br>- has all claims canceled by a reexar<br>- is reissued; or | e expiration date of the full statutory<br>nal disclaimer," in the event that said<br>nance fee;<br>petent jurisdiction;<br>or terminally disclaimed under 37 CFR<br>nination certificate; | term of th<br>prior pate<br>1.321; | he term of any patent granted on the instant<br>ne prior patent, "as the term of said prior patent<br>ent later:<br>esently shortened by any terminal disclaimer. |  |
| • Terminal disclaimer fee under   | r 37 CFR 1.20(d) is included with Electr   | ronic Tern                         | ninal Disclaimer request.   |  |

|  | l certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d)<br>required for this terminal disclaimer has already been paid in the above-identified application.  |  |  |  |  |
|--|--|--|--|--|--|
| Applicant claims the following fee status:                                     |  |  |  |  |  |
| Small Entity   | ) Small Entity   |  |  |  |  |
| Micro Entity   |  |  |  |  |  |
| O Regular Undiscounted   |  |  |  |  |  |
| belief are believed to be true; and fu<br>the like so made are punishable by f | hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and<br>belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and<br>the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and<br>that such willful false statements may jeopardize the validity of the application or any patent issued thereon. |  |  |  |  |
| THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES                 |  |  |  |  |  |
| l certify, in accordance with 37 CFR 1.4(d)(4) that l am:                      |  |  |  |  |  |
| <ul> <li>An attorney or agent registered this application</li> </ul>           | <ul> <li>An attorney or agent registered to practice before the Patent and Trademark Office who is of record in<br/>this application</li> </ul>  |  |  |  |  |
| Registration Number73612   | 2  |  |  |  |  |
| <ul> <li>A sole inventor</li> </ul>  |  |  |  |  |  |
|  | A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application  |  |  |  |  |
| A joint inventor; all of whom a  | A joint inventor; all of whom are signing this request   |  |  |  |  |
| Signature  | /Yehuda Binder/  |  |  |  |  |
| Name   | Yehuda BINDER  |  |  |  |  |

\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

| Electronic Patent Application Fee Transmittal |              |                     |              |                           |                         |
|---|--------------|---------------------|--------------|---------------------------|-------------------------|
| Application Number:                           | 16           | 278106              |              |                           |                         |
| Filing Date:                                  | 17.          | -Feb-2019           |              |                           |                         |
| Title of Invention:                           | SY           | STEM PROVIDING F/   | ASTER AND MO | RE EFFICIENT DAT <i>F</i> | COMMUNICATION           |
| First Named Inventor/Applicant Name:          | De           | rry Shribman        |              |                           |                         |
| Filer:  | Ye           | huda Binder/Dorit B | inder        |                           |                         |
| Attorney Docket Number:                       | HOLA-005-US9 |                     |              |                           |                         |
| Filed as Small Entity                         |              |                     |              |                           |                         |
| Filing Fees for Utility under 35 USC 111(a)   |              |                     |              |                           |                         |
| Description                                   |              | Fee Code            | Quantity     | Amount                    | Sub-Total in<br>USD(\$) |
| Basic Filing:                                 |              |                     | I            |                           |                         |
| STATUTORY OR TERMINAL DISCLAIMER              |              | 2814                | 1            | 160                       | 160                     |
| Pages:  |              |                     |              |                           |                         |
| Claims:                                       |              |                     |              |                           |                         |
| Miscellaneous-Filing:                         |              |                     |              |                           |                         |
| Petition:                                     |              |                     |              |                           |                         |
| Patent-Appeals-and-Interference:              |              |                     |              |                           |                         |
| Post-Allowance-and-Post-Issuance:             |              |                     |              |                           |                         |

| Description        | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
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| Extension-of-Time: |          |           |        |                         |
| Miscellaneous:     |          |           |        |                         |
|                    | Tot      | al in USD | (\$)   | 160                     |
|                    |          |           |        |                         |

Doc Code: DISQ.E.FILE Document Description: Electronic Terminal Disclaimer – Approved

Application No.: 16278106

Filing Date: 17-Feb-2019

Applicant/Patent under Reexamination: Shribman

Electronic Terminal Disclaimer filed on June 3, 2019

APPROVED

## This patent is subject to a terminal disclaimer

DISAPPROVED

Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web

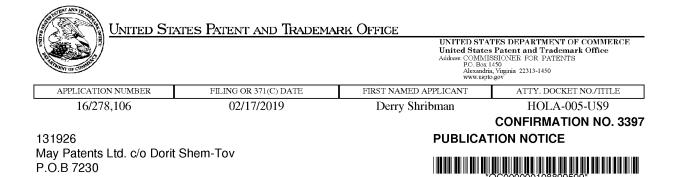
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| Electronic Acknowledgement Receipt   |   |  |
|--------------------------------------|---|--|
| EFS ID:                              | 36185186  |  |
| Application Number:                  | 16278106  |  |
| International Application Number:    |   |  |
| Confirmation Number:                 | 3397  |  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |
| First Named Inventor/Applicant Name: | Derry Shribman  |  |
| Customer Number:                     | 131926  |  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |  |
| Filer Authorized By:                 | Yehuda Binder   |  |
| Attorney Docket Number:              | HOLA-005-US9  |  |
| Receipt Date:                        | 03-JUN-2019   |  |
| Filing Date:                         | 17-FEB-2019   |  |
| Time Stamp:                          | 16:04:53  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                   |  |

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| Payment Type   | DA                          |  |
| Payment was successfully received in RAM   | \$160                       |  |
| RAM confirmation Number  | 060419INTEFSW00002763601835 |  |
| Deposit Account  |                             |  |
| Authorized User  |                             |  |
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| File Listin   | g:   |   |  |   |                           |
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| Document<br>Number  | Document Description   | File Name   | File Size(Bytes)/<br>Message Digest  | Multi<br>Part /.zip   | Pages<br>(if appl.        |
|   |  |   | 33573  |   |                           |
| 1   | Terminal Disclaimer-Filed (Electronic)   | eTerminal-Disclaimer.pdf  | 9e063a0bc595d68216d9f7550fac111a4a0a<br>b482   | no  | 2                         |
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| characterized<br>Post Card, as<br><u>New Applica</u><br>f a new appl<br>I.53(b)-(d) ar<br>Acknowledgo<br><u>National Stag</u><br>f a timely su<br>J.S.C. 371 an | ledgement Receipt evidences receipt<br>d by the applicant, and including pag<br>described in MPEP 503.<br><u>tions Under 35 U.S.C. 111</u><br>lication is being filed and the applicat<br>nd MPEP 506), a Filing Receipt (37 CFF<br>ement Receipt will establish the filing<br><u>ge of an International Application und</u><br>bmission to enter the national stage<br>of other applicable requirements a Fo<br>ge submission under 35 U.S.C. 371 will<br>tional Application Filed with the USP | e counts, where applicable<br>ion includes the necessary<br>R 1.54) will be issued in due<br>date of the application.<br><u>der 35 U.S.C. 371</u><br>of an international applicat<br>orm PCT/DO/EO/903 indicat<br>I be issued in addition to th | . It serves as evidence<br>components for a filin<br>course and the date s<br>ion is compliant with<br>ing acceptance of the<br>re Filing Receipt, in du | of receipt sing date (see<br>hown on th<br>the condition<br>application | 37 CFR<br>is<br>ons of 35 |



Title:SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION

Publication No.US-2019-0182359-A1 Publication Date:06/13/2019

Ramat-Gan, 5217102

ISRAEL

# NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

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In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently https://portal.uspto.gov/pair/PublicPair. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

page 1 of 1

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Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

|   | ATT    | Y.'S DOCKET: HOLA-005-US9   |
|---|--------|-----------------------------|
| In re Application of:                           | )      | Confirmation No. 3397       |
| Derry Shribman <i>et al.</i>                    | )      | Art Unit: 2459              |
| Appln. No.: 16/278,106                          | )<br>) | Examiner: Nguyen, Minh Chau |
| Filed: February 17, 2019                        | )<br>) | Washington, D.C.            |
| For: System providing faster and more efficient |        |                             |
| data communication                              | )<br>) | July 1, 2019                |

## **RESPONSE / AMENDMENT:**

Honorable Commissioner for Patents U.S. Patent and Trademark Office Randolph Building, Mail Stop Amendments 401 Dulany Street Alexandria, VA 22314

Sir:

In response to the Office Action of May 30, 2019  $\,$ 

("Action"):

# Amendments to the Claims appear in the Listing of

Claims that begins on page  $\underline{2}$  of this paper.

Remarks/Arguments begin on page 7 of this paper.

## Amendments to the claims

This listing of claims will replace all prior versions, and listings, of claims in the application.

## Listing of claims:

1. (Currently amended) A method for use with a web server that responds to Hypertext Transfer Protocol (HTTP) requests and stores a first content identified by a first content identifier, the method by a first client device comprising:

establishing a Transmission Control Protocol (TCP) connection with a second server;

receiving, from the second server over the established TCP connection, the first content identifier;

sending, to the web server over the Internet, the first content identifier; and

receiving, the first content from the web server over the Internet in response to the sending of the first content identifier—; and

sending the received first content to the second server over the established TCP connection, in response to the receiving of the first content identifier.

2. (Canceled)

3. (Original) The method according to claim 1, wherein the sending of the first content identifier to the web server over the Internet comprises sending a Hypertext Transfer Protocol (HTTP) request that comprises the first content identifier.

4. (Original) The method according to claim 1, further comprising storing, by the first client device in response to the receiving from the web server, the first content.

5. (Original) The method according to claim 1, wherein the second server is a Transmission Control Protocol/Internet Protocol (TCP/IP) server that communicates over the Internet

- 2 -

based on, or according to, using TCP/IP protocol or connection, and wherein the first client device is a Transmission Control Protocol/Internet Protocol (TCP/IP) client that communicates with the second server over the Internet based on, or according to, TCP/IP protocol.

6. (Original) The method according to claim 1, wherein the first client device communicates over the Internet based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

7. (Original) The method according to claim 1, wherein the first content comprises web-page, audio, or video content, and wherein the first content identifier comprises a Uniform Resource Locator (URL).

8. (Original) The method according to claim 1, further comprising executing, by the first client device, a web browser application or an email application.

9. (Original) The method according to claim 1, for use with a third server that comprises a web server that is Hypertext Transfer Protocol (HTTP) server, the third server responds to HTTP requests and stores a second content identified by a second content identifier, the method by the first client device further comprising:

receiving the second content identifier;

sending, to the third server over the Internet in response to the receiving, the second content identifier; and

receiving the second content from the third server over the Internet in response to the sending.

10. (Original) The method according to claim 9, further comprising executing, by the first client device, a web browser application or an email application.

11. (Original) The method according to claim 1, further comprising periodically communicating over the TCP connection between the second server and the first client device.

12. (Original) The method according to claim 11, wherein the periodically communicating comprises exchanging 'keep alive' messages.

13. (Original) The method according to claim 1, wherein the first client device is identified by a Media Access Control (MAC) address or a hostname, and wherein the method further comprising sending, by the first client device, during, as part of, or in response to, a start-up or power-up of the first client device, a first message to the second server, and wherein the first messages comprises the first client IP address, the MAC address, or the hostname.

14. (Original) The method according to claim 13, for use with a first application stored in the first client device and associated with a first version number, wherein the first message comprises the first version number.

15. (Original) The method according to claim 14, for use with a second application that is a version of the first application, is stored in the second server, and is associated with a second version number, wherein the method further comprising receiving, by the first client device from the second server, in response to the first message, a second message that comprises the second version number.

16. (Original) The method according to claim 15, wherein the method further comprising downloading over the Internet, by the first client device from the second server, in response to the first message, the second application from the second server, and installing the second application in the first client device as a replacement for the first application.

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17. (Original) The method according to claim 1, further comprising determining, by the first client device, that the received first content, is valid.

18. (Original) The method according to claim 17, wherein the determining is based on the received HTTP header according to, or based on, IETF RFC 2616.

19. (Original) The method according to claim 17, further comprising:

sending, a message over the Internet in response to the determining that the received first content, is not valid; and

receiving, over the Internet in response to the sending of the message, from the second server or from a second client device selected from a plurality of client devices, the first content.

20. (Original) The method according to claim 1, further comprising storing, operating, or using, a client operating system.

21. (Original) The method according to claim 1, wherein the steps are sequentially executed.

22. (Original) The method according to claim 1, for use with a software application that includes computer instructions that, when executed by a computer processor, cause the processor to perform the sending of the Hypertext Transfer Protocol (HTTP) request, the receiving and storing of the first content, the receiving of the first content identifier, and the sending of a part of, or the whole of, the stored first content, the method is further preceded by:

downloading, by the first client device from the Internet, the software application; and

installing, by the first client device, the downloaded software application.

- 5 -

23. (Original) The method according to claim 22, wherein the software application is downloaded from the second server. 24. (Original) A non-transitory computer readable medium containing computer instructions that, when executed by a computer processor, cause the processor to perform the method according to claim 1.

# **REMARKS / ARGUMENTS**

The examiner's action dated May 30, 2019 ("Action") has been received and its contents carefully noted.

An eTD was filed to overcome the Double Patenting rejection.

The allowance of claims 2 is thankfully noted. Claim 2 is canceled and its content incorporated into claim 1.

The absence of a reply to a specific rejection, issue, or comment, does not signify agreement with that rejection, issue, or comment. In addition, because the arguments made above may not be exhaustive, there may be reasons for patentability of any or all pending claims that have not been expressed.

Nothing in this reply should be understood as conceding any issue with regard to any claim, except as specifically stated in this reply, and the amendment of any claims does not necessarily signify concession of unpatentability to the claim before its amendment.

In view of the foregoing, it is requested that all of the rejections be reconsidered and withdrawn and that the claims be considered allowable.

If the above arguments should not now place the application in the condition for allowance, the examiner is invited to call undersigned counsel to resolve any remaining issues.

Respectfully submitted,

By <u>/Yehuda Binder/</u> Yehuda Binder Registration No. 73,612

> Tel: +972-54-4444577 Fax: +972-9-7442619 Email: yehuda@maypatents.com

Ex. 1027 - Page 232

| Electronic Patent Application Fee Transmittal |                            |                   |               |                   |                         |
|---|----------------------------|-------------------|---------------|-------------------|-------------------------|
| Application Number:                           | 16                         | 278106            |               |                   |                         |
| Filing Date:                                  | 17.                        | Feb-2019          |               |                   |                         |
| Title of Invention:                           | SY                         | Stem providing F. | ASTER AND MOF | RE EFFICIENT DATA | COMMUNICATION           |
| First Named Inventor/Applicant Name:          | De                         | rry Shribman      |               |                   |                         |
| Filer:  | Yehuda Binder/Dorit Binder |                   |               |                   |                         |
| Attorney Docket Number:                       | нс                         | 0LA-005-US9       |               |                   |                         |
| Filed as Small Entity                         |                            |                   |               |                   |                         |
| Filing Fees for Utility under 35 USC 111(a)   |                            |                   |               |                   |                         |
| Description                                   |                            | Fee Code          | Quantity      | Amount            | Sub-Total in<br>USD(\$) |
| Basic Filing:                                 |                            |                   |               |                   |                         |
| Pages:  |                            |                   |               |                   |                         |
| Claims:                                       |                            |                   |               |                   |                         |
| Miscellaneous-Filing:                         |                            |                   |               |                   |                         |
| Petition:                                     |                            |                   |               |                   |                         |
| Patent-Appeals-and-Interference:              |                            |                   |               |                   |                         |
| Post-Allowance-and-Post-Issuance:             |                            |                   |               |                   |                         |
| Extension-of-Time:                            |                            |                   |               |                   |                         |

| Description                             | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
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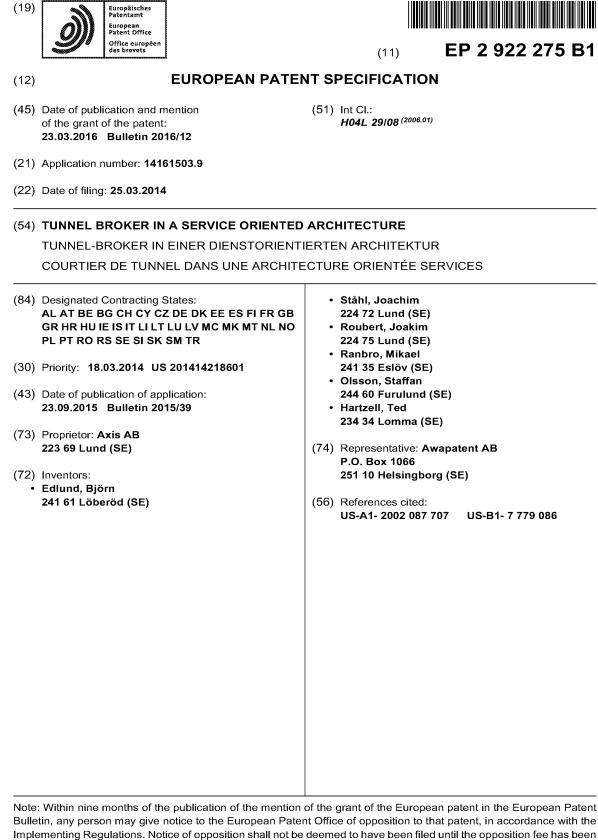
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| Application Number:                  | 16278106  |  |
| International Application Number:    |   |  |
| Confirmation Number:                 | 3397  |  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |
| First Named Inventor/Applicant Name: | Derry Shribman  |  |
| Customer Number:                     | 131926  |  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |  |
| Filer Authorized By:                 | Yehuda Binder   |  |
| Attorney Docket Number:              | HOLA-005-US9  |  |
| Receipt Date:                        | 01-JUL-2019   |  |
| Filing Date:                         | 17-FEB-2019   |  |
| Time Stamp:                          | 03:54:06  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                   |  |

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| Payment was successfully received in RAM   | \$120                       |  |
| RAM confirmation Number  | 070119INTEFSW00009996506726 |  |
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| Document<br>Number    | <b>Document Description</b>                           | File Name                              | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl. |  |  |
|                       |   |  | 219277                                       |                     |                    |  |  |
| 1                     | Non Patent Literature                                 | 003-KEEP-ALIVE.pdf                     | 2ce5a2fa91540953b35c35c3ce9da8d2661c<br>d978 | no                  | 3                  |  |  |
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| 2                     | Foreign Reference                                     | 007-EP2922275.pdf                      | 553291                                       |                     |                    |  |  |
|                       |   |  | c1e9bf90ec6f918527140c333cf5af68b4c1f<br>431 | no                  | 39                 |  |  |
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| 3                     | Other Reference-Patent/App/Search<br>documents        | 007-WO2019043687-TPOBS.pdf             | 306816                                       | no                  | 7                  |  |  |
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|                       | Non Patent Literature                                 |  | 1  | 14                  |                    |  |  |
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| 5                     | Information Disclosure Statement (IDS)<br>Form (SB08) | 005-US9-IDS-007.pdf                    | 1035198                                      | no                  | 5                  |  |  |
|                       |   |  | ee619124402c5efb83b9a02d1c522a84cbb<br>8671f |                     |                    |  |  |
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| 6   |   | 6-2019-NFOA-reply.pdf | 43150  |     |   |  |  |  |  |  |  |
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|   |   |                       | 293886a8375b6398a93d5068884ad4ea4c4<br>ed21d | yes | 8 |  |  |  |  |  |  |
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|   | Amendment/Req. Reconsideration-After Non-Final Reject |                       | 1  | 1   |   |  |  |  |  |  |  |
|   | Claims  |                       | 2  | 6   |   |  |  |  |  |  |  |
|   | Applicant Arguments/Remarks Made in an Amendment      |                       | 7  | 8   |   |  |  |  |  |  |  |
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| 7   | Fee Worksheet (SB06)                                  | fee-info.pdf          | 30225  |     |   |  |  |  |  |  |  |
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paid. (Art. 99(1) European Patent Convention).

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#### Description

[0001] This disclosure generally relates to a tunnel broker in a service oriented architecture system.

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### BACKGROUND INFORMATION

[0002] A network of devices may communicate over a network and may form part of a system that provides an array of various services. Different devices may provide different services at different times and the system may need to keep track of which services are available at which devices. When a device is added, removed, or modified, for example, the configuration of the system changes. In a system with a large number of devices, this may result in frequent need for reconfiguration, which consumes system resources. Thus, keeping track of available services at different devices may be a challenging task.

[0003] US 7,779,086 B1 discloses that a client calls a service on a server via a client handle H1 and may repeatedly call the service on the service via the client handle H1. A client, or a Cluster Name Server (CNS), may identify a node cluster associated with the client upon receipt of a request from the client. From the node cluster, the CNS may identify the appropriate server that provides the requested service.

[0004] US 2002/087707 A1 discloses an extended Domain Name Server (eDNS) system that maps a domain name to a group of servers. A first server is selected and a client device connects to the first server. If the first server becomes unavailable, the first server sends a handoff request to a second server as well as a redirection notice to the client device. The client device then establishes a connection to the second server.

## <u>SUMMAR</u>Y

[0005] The invention is defined by a method according to claim 1. Further embodiments are set out in the dependent claims 2-7.

[0006] A possible advantage of this method is that a client device using or accessing a service has to only provide specifications for the service, without needing to discover or provide a network address for the service in order to establish a communication tunnel. Another possible advantage of this method is that if the service fails at a first device, the second end of the tunnel is moved to a second device that hosts the service without interruption to the client device and without the client device discovering that the second end of the tunnel has been moved. Another possible advantage is that the client device may not be aware that the client device is accessing the service through a communication tunnel. These advantages may result in a technical effect of reducing processing time and conserving system resources. The method may include fewer advantages, different advantages, or additional advantages to the ones described

#### above.

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[0007] Additionally, the method may include sending a search query that specifies the requested service property to a service registry, wherein the service registry includes a list of services available in one or more nodes of the system; receiving search results from the service registry, wherein the search results include a list of one or more nodes having the requested service property; and selecting the first node in the system that hosts the 10 first service instance having the requested service property may include selecting the first node from the list of one or more nodes having the requested service property. Sending a search query to a service registry, and receiving search results that include a list of one or more 15 nodes having the requested service property, may provide an advantage of identifying nodes that hosts serv-

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ices that satisfy the requirements of the requested service property. [0008] Additionally, the method may include determin-

20 ing that the communication tunnel should be updated; and selecting the second node in the system that hosts the second instance having the requested service property may be based on determining that the communication tunnel should be updated. Determining that the com-

25 munication tunnel should be updated, and selecting the second node based on determining that the communication tunnel should be updated, may provide an advantage of responding to changing conditions, thereby continuing to provide to the client access to the service, having the 30 requested service property, via the communication tun-

nel. [0009] Additionally, determining that the communication tunnel should be updated may include re-sending the search query to the service registry at particular in-35 tervals; and receiving updated search results from the service registry, wherein the updated search results include an indication that the first node no longer hosts the first service instance having the requested service property or that the first service instance no longer has the 40 requested service property. Re-sending the search query to the service registry at particular intervals, and receiving updated search results from the service registry, may provide an advantage of keeping track of which services are available at which nodes.

45 [0010] Additionally, determining that the communication tunnel should be updated may include at least one of receiving an indication from the service registry that the first node no longer hosts the first service instance having the requested service property or that the first

50 service instance no longer has the requested service property; receiving a message from the client device that the first node is unreachable; or receiving a message from the first node that the first node is unable to provide the first service to the client device. Receiving an indica-

55 tion from a service registry that a node no longer hosts a service instance having a requested service property, or that the service instance no longer has the requested service property, may provide an advantage of determin-

erties.

ing when the second end of the communication tunnel should be moved to another node.

**[0011]** Additionally, determining that the communication tunnel should be updated may include determining that another node in the system is a better match for the requested service property; and selecting the second node in the system that hosts the second instance having the requested service property may be based on determining that another node in the system is a better match for the requested service property. Determining that another node in the system is a better match for the requested service property, and selecting the second node based on determining that another node in the system is a better match for the requested property, may provide an advantage of managing the communication tunnel to provide the best possible match to the requested service property for the client device.

**[0012]** Additionally, determining that another node in the system is a better match for the requested service property may include re-sending the search query to the service registry at particular intervals; and receiving updated search results from the service registry, wherein the updated search results include an indication that another node in the system is a better match for the requested service property. Re-sending the search query to the service registry at particular intervals, and receiving updated search results from the service registry, may provide an advantage of keeping track of which services are available at which nodes.

**[0013]** Additionally, determining that another node in the system is a better match for the requested service property may include receiving an indication from the service registry that the second node hosts the second service instance, wherein the second service instance is a better match for the requested service property. Receiving an indication from a service registry that another node in the system is a better match for the requested service property may provide an advantage of enabling the tunnel broker to determine that the second end of the communication tunnel should be moved to another node. [0014] Additionally, the method may include determining one or more network connection metrics for a connection from the client device to particular nodes of the nodes included in the list of one or more nodes having the requested service property; and selecting the first node from the list of one or more nodes having the requested service property may be based on the determined one or more network connection metrics. Determining one or more network connection metrics for a connection from the client device to particular nodes may provide an advantage of enabling the tunnel broker to select a node that will provide a better connection to the client device via the communication tunnel.

**[0015]** Additionally, moving the second end of the communication tunnel from the first node to the second node may be done transparently with respect to the client device. Moving the second end of the communication tunnel transparently with respect to the client device may provide an advantage of enabling the client device to access the service without any perceived interruptions.

**[0016]** Additionally, the requested service property may include one or more of a particular service interface; a particular operating system associated with the service; a particular processing capacity associated with the service; a particular storage capacity associated with the service; a particular bandwidth associated with the service; a particular location associated with the service; a particular locat

<sup>10</sup> particular codec associated with the service; a particular domain associated with the service; or a particular security level associated with the service. Configuring the tunnel broker to select a node based on these properties may benefit the system by enabling a client device to <sup>15</sup> request a service that includes at least one of the prop-

**[0017]** The invention is further defined by a computer device according to claim 8. Further embodiments are set out in the dependent claims 9-13.

20 [0018] A possible advantage of this computer device is that a client device using or accessing a service has to only provide specifications for the service, without needing to discover or provide a network address for the service in order to establish a communication tunnel. An-

other possible advantage of this computer device is that if the service fails at a first device, the tunnel broker may move the second end of the tunnel to a second device that hosts the service without interruption to the client device and without the client device discovering that the second end of the tunnel has been moved. Another pos-

sible advantage is that the client device may not be aware that the client device is accessing the service through a communication tunnel. These advantages may result in a technical effect of reducing processing time and con-<sup>35</sup> serving system resources. The computer device may in-

clude fewer advantages, different advantages, or additional advantages to the ones described above.
 [0019] Additionally, the tunnel broker may be further

configured to send a search query that specifies the re quested service property to a service registry, wherein
 the service registry includes a list of services available
 in one or more nodes of the system; receive search re sults from the service registry, wherein the search results
 include a list of one or more nodes having the requested

<sup>45</sup> service property; and wherein, when selecting the first node in the system that hosts the first service instance having the requested service property, the tunnel broker may be further configured to select the first node from the list of one or more nodes having the requested service

<sup>50</sup> property. Sending a search query to a service registry, and receiving search results that include a list of one or more nodes having the requested service property, may provide an advantage of identifying nodes that hosts services that satisfy the requirements of the requested <sup>55</sup> service property.

**[0020]** Additionally, the tunnel broker may be further configured to determine that the communication tunnel should be updated; and the tunnel broker may be con-

figured to select the second node in the system that hosts the second instance having the requested service property based on determining that the communication tunnel should be updated. Determining that the communication tunnel should be updated, and selecting the second node based on determining that the communication tunnel should be updated, may provide an advantage of enabling the tunnel broker to respond to changing conditions, thereby continuing to provide to the client access to the service, having the requested service property, via the communication tunnel.

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[0021] Additionally, when determining that the communication tunnel should be updated, the tunnel broker may be further configured to re-send the search query to the service registry at particular intervals; and receive updated search results from the service registry, wherein the updated search results include an indication that the first node no longer hosts the first service instance having the requested service property or that the first service instance no longer has the requested service property. Resending the search query to the service registry at particular intervals, and receiving updated search results from the service registry, may provide an advantage of enabling the tunnel broker to keep track of which services are available at which nodes.

[0022] Additionally, when determining that the communication tunnel should be updated, the tunnel broker may be further configured to at least one of receive an indication from the service registry that the first node no longer hosts the first service instance having the requested service property or that the first service instance no longer has the requested service property; receive a message from the client device that the first node is unreachable; or receive a message from the first node that the first node is unable to provide the first service to the client device. Receiving an indication from a service registry that a node no longer hosts a service instance having a requested service property, or that the service instance no longer has the requested service property, may provide an advantage of enabling the tunnel broker to determine that the second end of the communication tunnel should be moved to another node.

[0023] Additionally, when determining that the communication tunnel should be updated, the tunnel broker may be further configured to determine that another node in the system is a better match for the requested service property; and the tunnel broker may be configured to select the second node in the system that hosts the second instance having the requested service property based on determining that another node in the system is a better match for the requested service property. Determining that another node in the system is a better match for the requested service property, and selecting the second node based on determining that another node in the system is a better match for the requested property, may provide an advantage of enabling the tunnel broker to manage the communication tunnel to provide the best possible match to the requested service property for the client device.

[0024] Additionally, when determining that another node in the system is a better match for the requested service property, the tunnel broker may be further configured to receive an indication from the service registry that the second node hosts the second service instance, wherein the second service instance is a better match for the requested service property. Re-sending the search query to the service registry at particular intervals, 10 and receiving updated search results from the service registry, may provide an advantage of enabling the tunnel broker to keep track of which services are available at which nodes.

[0025] Additionally, the tunnel broker may be config-15 ured to move the second end of the communication tunnel from the first node to the second node transparently with respect to the client device. Moving the second end of the communication tunnel transparently with respect to the client device may provide an advantage of enabling 20 the client device to access the service without any perceived interruptions.

[0026] According to yet another aspect, a computer device may include logic configured to implement a tunnel broker configured to receive a request from a client 25 device for a service in a system, the service having a requested service property; send a search query that specifies the requested service property to a service registry, wherein the service registry includes a list of services available in one or more nodes of the system; receive

30 search results from the service registry, wherein the search results include a list of one or more nodes having the requested service property: select a first node in the system that hosts a first service instance having the requested service property from the list of one or more 35

- nodes having the requested service property; establish a communication tunnel between the client device and the selected first node, wherein the communication tunnel includes a first end at the client device and a second end at the first node; determine that the that the first node
- 40 no longer hosts the first service instance having the requested service property or that the first node has become unreachable; select a second node in the system that hosts a second service instance having the requested service property, in response to determining that the 45 first node no longer hosts the first service instance having the requested service property or that the first node has become unreachable: and move the second end of the communication tunnel from the first node to the second node transparently with respect to the client device.

50 [0027] A possible advantage of this computer device is that a client device using or accessing a service has to only provide specifications for the service, without needing to discover or provide a network address for the service in order to establish a communication tunnel. An-55 other possible advantage of this computer device is that if the service fails at a first device, the tunnel broker may move the second end of the tunnel to a second device that hosts the service without interruption to the client

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device and without the client device discovering that the second end of the tunnel has been moved. Another possible advantage is that the client device may not be aware that the client device is accessing the service through a communication tunnel. These advantages may result in a technical effect of reducing processing time and conserving system resources. The computer device may include fewer advantages, different advantages, or additional advantages to the ones described above.

### BRIEF DESCRIPTION OF THE DRAWINGS

## [0028]

Fig. 1 is a block diagram illustrating an exemplary environment according to one or more embodiments described below;

Fig. 2 is a block diagram illustrating exemplary components of a device of Fig. 1;

Fig. 3 is a block diagram illustrating exemplary functional layers of a device of Fig. 1;

Fig. 4A is a block diagram illustrating exemplary functional components of a service layer of Fig. 3; Fig. 4B is a block diagram illustrating the functionality of the service registry of Fig. 4A;

Fig. 4C is a block diagram illustrating exemplary functional components of the service registry of Fig. 4A:

Fig. 4D is a block diagram of an exemplary property table for a particular service that may be stored by the service registry of Fig. 4A;

Fig. 5A is a block diagram illustrating functional components of an overlay network layer of Fig. 3;

Fig. 5B is a block diagram of a tree of an exemplary functional overlay network;

Fig. 6 is a block diagram illustrating functional components of a tunnel broker:

Fig. 7A is a block diagram illustrating components that may be stored in the service registry of Fig. 4A; Fig. 7B is a block diagram illustrating components that may be stored in the tunnel database of Fig. 6; Fig. 8 is a flowchart of a process for setting up and managing a communication tunnel according to an implementation described herein; and

Figs. 9A-9C are diagrams of exemplary scenarios of setting up and managing a communication tunnel according to an implementation described herein.

### DETAILED DESCRIPTION OF PREFERRED EMBOD-IMENTS

[0029] The following detailed description refers to the accompanying drawings. The same reference numbers in different drawings identify the same or similar elements.

[0030] A system, such as a surveillance system, may include a large number of devices that can provide services. If a client device requests a particular service, a

tunneling protocol may be used to encapsulate traffic via a communication tunnel between the client device and a device providing the service. A tunnel can be set up manually by the client device or by a tunnel broker that selects the endpoints of the tunnel and establishes the tunnel between the endpoints. In order to establish the tunnel, the client device or the tunnel broker needs to know the

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topology of the network. For example, the client device may need to know the network address of the device 10 providing the service and will request a tunnel to be set up between the network address of the client device and the network address of the device providing the service. Thus, the client device may first need to determine the network address of the device providing the service. Fur-

15 thermore, if the device providing the service becomes unavailable, if the service becomes unavailable, or if the properties of the service change, the client device may need to locate another device in the system that provides the service, may need to determine the network address 20 of the new device, and may need to request the tunnel

broker to set up a new communication tunnel to the new device. In a system with a large number of devices with changing service capabilities, such a process may be slow and consume a large number of resources. These 25

issues result in a technical problem in need of a solution. [0031] Implementations described herein relate to a tunnel broker in a system based on a service oriented architecture (SOA). In system based on a SOA, functionality is discretized into services. A service is a self-con-30 tained cohesive unit of functionality. Communication with a service is performed through a service interface that has a defined message format. The communication process is independent of the implementation of the service. The service may provide end user functionality and the 35 service interface may be designed to be understandable

by business people. Furthermore, each service is independent of other services and the boundaries of the service are explicit. Thus, if one service crashes, other services will not be affected. Therefore, each service may run as a different process, for example.

[0032] Services provided by a node in the system are stored in a service registry. The service registry stores properties for each service, such as a service identifier, an operating system associated with the service, location coordinates of the node on which the service is running, processing capacity associated with the service, band-

width capacity associated with the service, and/or other types of properties associated with the service. Not all nodes in the system may include a service registry. Thus, 50 some service registries may store services available at

- other nodes in the system. Furthermore, service registries in the system may be topologically interconnected and a second service registry may be accessible through a first service registry. If the first service registry receives
- 55 a search query and does not identify a match for the search query, the first service registry may forward the search query to the second service registry. Thus, to a client submitting a search query to locate a service, the

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service registries in the system may appear as a single distributed service registry.

[0033] In order to solve the technical problem described above, the SOA system includes a tunnel broker. The tunnel broker is configured to establish and manage a communication tunnel from a client device to a service having a requested service property. The communication tunnel may have a first end at the client device and a second end at a device providing the service having the requested service property. The tunnel broker may change the device at the second end of the communication tunnel in a manner transparent to the client device. For example, instead of requesting a communication tunnel based on network addresses or device identifiers address (e.a., а tunnel between network 12.11.1.43:1233 and network address 143.223.123.1:22), a client may request a communication tunnel between a service host with a service property VIDEO\_MONITORING\_SERVICE and a service host with a service property CAMERA\_FRONT\_DOOR. The tunnel broker may identify a device with the service property CAMERA\_FRONT\_DOOR and may set up a communication tunnel to the client device (e.g., the VIDEO\_MONITORING\_SERVICE service host). The client device may not be aware of the actual network address or device identifier of the device providing the CAMERA\_FRONT\_DOOR service. Furthermore, if the device providing the CAMERA\_FRONT\_DOOR service becomes unavailable or stops hosting the service, the tunnel broker may identify another device in the system with that host service the reauested а CAMERA FRONT DOOR service and may switch the second end of the communication tunnel to the other device transparently with respect to the client device.

[0034] The tunnel broker may receive a request from a client device for a service having a requested service property and may send a search query to a service registry for a list of system nodes that host a service with the requested service property. The service property may specify a particular service interface, a particular operating system, a particular processing capacity, a particular storage capacity, a particular bandwidth and/or bitrate, a particular location, a particular codec, a particular network domain, a particular security level, and/or another type of service property. The request may specify multiple requested service properties. Thus, the phrase "requested service property" may refer to multiple requested service properties. The service registry may return a list of one or more system nodes that host a service instance with the requested service property or properties and the tunnel broker may select one of the system nodes from the list. The system node may be selected based on the best match to the requested service property or properties and/or based on other factors, such as connection metrics. For example, if two nodes host a service instance with the requested property and one of the nodes has a higher quality connection to the client device, the tunnel broker may select the node with the higher quality connection.

**[0035]** The tunnel broker may establish a communication tunnel with a first end at the client device and the second end at the selected system node providing the requested service. The tunnel may be set up using, for example, Tunnel Setup Protocol (TSP). After the communication tunnel is set up, the tunnel broker may determine that the communication tunnel should be updated. As an example, the tunnel broker may re-send the search query to the service registry at particular intervals and may receive updated search results from the service registry. The updated search results may indicate that the selected system node is not available, that the selected

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system node no longer hosts the service, that the properties of the hosted service no longer match the requirements of the requested service property, and/or that another system node hosts a service instance that better matches the requirements of the requested service property.

20 [0036] As another example, the tunnel broker may receive an update from the service registry without re-sending the search query. For example, the tunnel broker may set up a subscription for changes to service matching the search query and the service registry may send periodic

<sup>25</sup> updates to the tunnel broker. As yet another example, the tunnel broker may receive a message from the client device that the selected node is not reachable via the communication tunnel or may receive a message from the selected node that the selected node is no longer <sup>30</sup> hosting the requested service.

**[0037]** In response to determining that the communication tunnel should be updated, the tunnel broker may select another node that hosts a service instance with the requested service property and may move the second

<sup>35</sup> end of the communication tunnel from the first selected node to the second selected node. The client device may continue to use the service without detecting that the tunnel broker has moved the second end of the communication tunnel.

40 [0038] In some implementations, a one-to-many communication tunnel may be established and maintained by the tunnel broker. For example, a client device may request a communication tunnel to a particular number of service instances having a requested service property.

<sup>45</sup> Thus, tunnel broker may set up a communication tunnel with a first end at the client device and with multiple second sends, each second end being connected to a particular service instance having the requested property. [0039] Thus, the tunnel broker, as described herein.

<sup>50</sup> provides a possible advantage in that a client device using or accessing a service has to only provide specifications for the service, without needing to discover or provide a network address for the service in order to establish a communication tunnel. Another possible advantage of the tunnel broker is that if the service fails at a

first device, the second end of the tunnel is moved to a second device that hosts the service without interruption to the client device and without the client device discov-

ering that the second end of the tunnel has been moved. Another possible advantage is that the client device may not even be aware that the client device is accessing the service through a communication tunnel.

[0040] Fig. is a block diagram of an exemplary environment 100 in which the systems and/or methods described can be implemented. As shown in the embodiment of Fig. 1, environment 100 includes a network 110, sub-networks 120-A to 120-N (referred to collectively as "sub-networks 120" and individually as "sub-network 120"), devices 130-A-A to 130-N-K (referred to collectively as "devices 130" and individually as "device 130"), and administration device 150. Device 130-N-K refers to the Kth device 130 in sub-network 120-N. In this embodiment, the components in environment 100 form a service-oriented architecture (SOA) system service bus 140. [0041] Network 110 enables sub-networks 120 and/or devices 130 to communicate with each other. Network 110 may include one or more circuit-switched networks and/or packet-switched networks. For example, in one embodiment, network 110 includes a local area network (LAN), a wide area network (WAN), a metropolitan area network (MAN), a Public Switched Telephone Network (PSTN), an ad hoc network, an intranet, the Internet, a fiber optic-based network, a wireless network, and/or a combination of these or other types of networks.

**[0042]** Sub-network 120 may include a LAN (e.g., a Layer 2 network) and/or a private network (e.g., a Layer 3 network). Sub-network 120 may interconnect one or more devices 130. For example, sub-network 120-A may interconnect devices 130-A-A to 130-A-J. Device 130 may include any device configured to communicate via SOA system service bus 140, for example.

[0043] Device 130 may include a server computer device, such as a Hypertext Preprocessor (PHP) server device, a C program server device, a Linux server device, a Windows server device, and/or another type of server device; a personal computer device, such as a desktop, laptop, tablet, a mobile communication device, and/or another type of personal computer device running Windows, Linux, Android, iOS, and/or another operating system; a monitoring device, such as a visible light camera, an infrared (IR) camera, a heat signature camera; a microphone; an alarm sensor, such as a motion sensor, a heat sensor, a pressure sensor, and/or another type of alarm sensor; a microcontroller computer device; and/or another type of computer device. While devices 130 are shown as connected to a sub-network 120, a particular device 130 may connect directly to network 110.

**[0044]** In one embodiment, SOA system service bus 140 is implemented between devices 130 on top of an existing network topology. SOA system service bus 140 may enable different types of devices 130, and/or devices 130 implemented using different platforms, to communicate using a service oriented architecture. SOA system service bus 140 may enable a first device 130 to request a particular service from any device 130 (e.g., itself or another device 130). Thus, a client (e.g., itself a "service" or a "client service") hosted by first device 130 may call upon a service hosted by a second device 130 (e.g., when the service is not available in first device 130). A first service (e.g., in first device 130) that requests another service (e.g., in second device 130) is referred to as a

"client" or a "client service" as having initiated the request. The first service may also provide services to other services in the network, for example.

[0045] In one embodiment, a service is accessed via a standardized service interface. Each type of service may be associated with a particular service interface (e.g., a different service interface). A client requesting a service may thus communicate with a service interface and the client may be agnostic with respect to the actual

<sup>15</sup> implementation of the service. In other words, implementations of services communicate with each other using protocols defined by the service interfaces so that each implementation does not have to be concerned with the others' implementations. A running service implementa-

tion, associated with a particular service interface, may be referred to as a service instance. A device 130 that includes a service host (e.g., a device that hosts a service) may keep track of available service instances with a service registry (e.g., a list or database of services). SOA 25 system service bus 140 may enable communication be-

tween devices 130 to locate a requested service by searching service registries of service hosts in devices 130.

[0046] Administration device 150 may enable an administrator to configure or otherwise manage SOA system service bus 140. For example, administration device 150 may include a portable communication device (e.g., a mobile phone, a smart phone, a phablet device, a global positioning system (GPS) device, and/or another type of wireless device); a personal computer or workstation; a

server device; a laptop, tablet, or another type of portable computer; and/or any type of device with communication capability.

[0047] Like network 110, sub-network 120 may include
 one or more circuit-switched networks and/or packet-switched networks. For example, sub-network 120 may include a LAN, a WAN, a MAN, a PSTN, an ad hoc network, an intranet, the Internet, a fiber optic-based network, a wireless network, and/or a combination of these
 or other types of networks.

[0048] Although Fig. 1 shows exemplary components of environment 100, in other implementations, environment 100 may include fewer components, different components, differently arranged components, or additional components than depicted in Fig. 1. Additionally or alternatively, any one device in environment 100 (or any group of devices) may perform functions described as performed by one or more other devices in environment 100.

[0049] Fig. 2 is a block diagram illustrating exemplary
<sup>55</sup> components of device 130. As shown in Fig. 2, device
130 may include a bus 210, a processor 220, a memory
230, an input device 240, an output device 250, and a communication interface 260.

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**[0050]** Bus 210 may include a path that permits communication among the components of device 130. Processor 220 may include any type of single-core processor, multi-core processor, microprocessor, latch-based processor, and/or processing logic (or families of processors, microprocessors, and/or processing logics) that interprets and executes instructions. In other embodiments, processor 220 may include an application-specific integrated circuit (ASIC), a field-programmable gate array (FPGA), and/or another type of integrated circuit or processing logic.

**[0051]** Memory 230 may include any type of volatile and/or dynamic storage device that may store information and/or instructions, for execution by processor 220, and/or any type of non-volatile storage device that may store information for use by processor 220. For example, memory 230 may include a random access memory (RAM) or another type of dynamic storage device, a readonly memory (ROM) device or another type of static storage device, a content addressable memory (CAM), a magnetic and/or optical recording memory device and its corresponding drive (e.g., a hard disk drive, optical drive, etc.), and/or a removable form of memory, such as a flash memory.

**[0052]** Input device 240 may allow an operator to input information into device 130. Input device 240 may include, for example, a keyboard, a mouse, a pen, a microphone, a remote control, an audio capture device, an image and/or video capture device, a touch-screen display, and/or another type of input device. In one embodiment, device 130 may be managed remotely and may not include input device 240. In other words, device 130 may be "headless" and may not include a keyboard, for example.

**[0053]** Output device 250 may output information to an operator of device 130. Output device 250 may include a display, a printer, a speaker, and/or another type of output device. For example, device 130 may include a display, which may include a liquid-crystal display (LCD) for displaying content to the customer. In one embodiment, device 130 may be managed remotely and may not include output device 250. In other words, device 130 may be "headless" and may not include a display, for example.

**[0054]** Communication interface 260 may include a transceiver (e.g., a transmitter and/or a receiver) that enables device 130 to communicate with other devices and/or systems. Communications interface 260 may communicate via wireless communications (e.g., radio frequency, infrared, and/or visual optics, etc.), wired communications (e.g., conductive wire, twisted pair cable, coaxial cable, transmission line, fiber optic cable, and/or waveguide, etc.), or a combination of wireless and wired communications. Communication interface 260 may include a transmitter that converts baseband signals to radio frequency (RF) signals and/or a receiver that converts RF signals to baseband signals. Communication interface 260 may be coupled to an antenna for transmitting

and receiving signals.

**[0055]** Communication interface 260 may include a logical component that includes input and/or output ports, input and/or output systems, and/or other input and output components that facilitate the transmission of data

to other devices. For example, communication interface 260 may include a network interface card (e.g., Ethernet card) for wired communications and/or a wireless network interface (e.g., a WiFi) card for wireless communi-

10 cations. Communication interface 260 may also include a universal serial bus (USB) port for communications over a cable, a Bluetooth<sup>™</sup> wireless interface, a radiofrequency identification (RFID) interface, a near-field communications (NFC) wireless interface, and/or any

<sup>15</sup> other type of interface that converts data from one form to another form.

**[0056]** As described below, device 130 may perform certain operations relating to a tunnel broker configured to establish and manage a communication tunnel based

20 on a requested service property. Device 130 may perform these operations in response to processor 220 executing software instructions contained in a computer-readable medium, such as memory 230. A computer-readable medium includes a non-transitory memory device. A mem-

<sup>25</sup> ory device may be implemented within a single physical memory device or spread across multiple physical memory devices. The software instructions may be read into memory 230 from another computer-readable medium or from another device. The software instructions con-

tained in memory 230 may cause processor 220 to perform processes described herein. Alternatively, hardwired (e.g., fixed) circuitry may be used in place of, or in combination with, software instructions to implement processes described herein. Thus, implementations described herein are not limited to any specific combination

of hardware circuitry and software. [0057] Although Fig. 2 shows exemplary components of device 130, in other implementations, device 130 may

include fewer components, different components, addi tional components, or differently arranged components than depicted in Fig. 2. Additionally or alternatively, one or more components of device 130 may perform one or more tasks described as performed by one or more other components of device 130. Administration device 150
 may be configured similarly as device 130.

**[0058]** Fig. 3 is a block diagram illustrating exemplary communication layers of device 130. The functional components of device 130 may be implemented, for example, by processor 220 executing instructions from memory

50 230. Additionally or alternatively, the functional components of device 130 may be implemented via hardwired (e.g., fixed) circuitry of one or more ASICs. As shown in Fig. 3, device 130 may include a service layer 310, an overlay network layer 320, and a device layer 330.

<sup>55</sup> [0059] Service layer 310, in one embodiment, enables clients to search for service instances of a particular service type and enables clients to send requests to particular service instances. A service may be accessed via a

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standardized service interface that, in one embodiment, is agnostic to the actual implementation of the service. A service instance may be associated with explicit boundaries. In this embodiment, a particular process running on device 130, and/or particular data stored on device 130, either resides within the service instance or outside of the service instance without ambiguity. A service instance may be autonomous with respect to other service instances. For example, a particular service instance may be modified (e.g., code may be rewritten) without negatively impacting other service instances interacting with the particular service instance. A service may share a schema and/or a contract with other service instance (of the same type or of different type), but, in one embodiment, does not share the service implementation. A schema specifies the format and content of messages sent or received by the service interface. A contract specifies permissible sequences of messages sent or receive by the service interface.

[0060] One or more services may be deployed together as a bundle. A bundle may correspond to service that functions as a deployment unit in the system. A node in the system that is able to deploy a particular bundle, corresponding to a grouping of one or more services, functions as a bundle host. A bundle repository service may store a collection of bundles in the system. Thus, when service manager select to deploy a service, the service manager may need to locate a bundle host that is able to deploy a bundle associated with the service. The service manager may contact the service registry to locate the bundle repository service. The service manager may then contact the bundle repository service to identify a bundle. The service manager may select a bundle and may then search the service registry to identify a suitable bundle host that may deploy the selected bundle. The service manager may then contact the bundle host and may instruct the bundle host to deploy the bundle associated with the service.

[0061] Overlay network layer 320, in one embodiment, implements an overlay network on top of an existing network topology. Overlay network layer 320 may be responsible for routing traffic through firewalls and/or dealing with network address translation (NAT) in the underlying network topology. In one embodiment, the overlay network topology (e.g., which may be different than the underlying network topology) includes nodes organized in a tree structure. The overlay network topology logically connects the nodes. In other embodiments, the overlay network topology may include a different type of structure (e.g., a mesh topology). Each service host in a device 130 may correspond to a node in the overlay network and may be assigned a node identifier (ID). As noted above, a device 130 may include multiple service hosts and/or multiple nodes. Device 130 may be described as including one host that corresponds to one node. The nodes may be connected via the network topology, such as a routing tree, and a node may send a message to another node via the routing tree. In one embodiment, a node may send a message to another node via the underlying network topology without the message traversing the overlay network topology. Each node may store information (e.g., addresses of the underlying network, such as network 110) to reach its neighbors in the overlay network (as well as the underlying network). Overlay network layer 320 may correspond to a communication layer between the nodes and may use multiple network topologies to realize a particular function. For example, when

searching service registries for a particular type of service, overlay network layer 320 may traverse edges of a tree of nodes while searching through service registries. In one embodiment, when sending a message from a first node to a second node, overlay network layer 320

<sup>15</sup> may send the message directly from the first node to the second node, rather than by following edges of the tree. Overlay network layer 320 may provide node IDs to service layer 310 and service layer 310 may send messages to particular node IDs without needing to know the underlying network topology.

derlying network topology. [0062] In one embodiment, device layer 330 performs device discovery during initial installation of SOA system service bus 140. Device layer 330 and/or overlay network layer 320 may also perform node discovery subsequent 25 to initial installation, and/or may rediscover lost nodes that went offline and that rejoin the overlay network at a later time. In one embodiment, overlay network layer 320 manages a shared secret for the overlay network, such as a certificate, that enables the nodes to verify each 30 other's identity. Overlay network layer 320 may form a topology (e.g., a routing tree or mesh) for the overlay network based on one or more metrics of nearness. However, a message from a first node to a second node need not traverse the routing tree and may instead be sent 35 directly from the first node to the second node. In another embodiment, the message from the first node to the second node traverses the routing tree. Furthermore, overlay network layer 320 may send multicast messages based

on multicast groups. Moreover, overlay network layer 320
 may provide a quality of service (QoS) guarantee to service layer 310.

[0063] While network layer 320 generally deals with "nodes," device layer 330 generally deals with "devices." Device layer 330 corresponds to the lower levels of func-45 tionality of device 130, including functionality required to communicate using the underlying network topology (e.g., network 110 and/or sub-network 120). For example, in some implementations, device layer 330 may implement Layers 1 through 6 of the Open Systems Inter-50 connection (OSI) model (e.g. the Physical layer, Data Link layer, Network layer, Transport layer, Session layer, and Presentation layer). Implementation of these layers may include routing Ethernet frames, routing Internet Protocol (IP) packets, session management, encrypting 55 and decrypting packets, retransmitting lost packets, etc. [0064] Although Fig. 3 shows exemplary functional components of device 130, in other implementations, device 130 may include fewer functional components, dif-

ferent functional components, differently arranged functional components, or additional functional components than depicted in Fig. 3. Additionally, any one of the components (or any group of components) of device 130 may perform functions described as performed by one or more other functional components of device 130.

**[0065]** Fig. 4A is a block diagram illustrating exemplary functional components of service layer 310. As shown in Fig. 4A, service layer 310 includes a service host 315 Service host 315 may include one or more services 410-A to 410-N (referred to collectively as "services 410" and individually as "service 410"), one or more clients 420-A to 420-K (referred to collectively as "clients 420" and individually as "client 420"), a message dispatcher 430, and a service registry 440.

[0066] Service 410 corresponds to a service instance associated with service host 315 of service layer 310 of device 130. In one embodiment, service 410 includes a service interface 412 and a service implementation 414. Service interface 412 may include a communication protocol, such as a standardized communication protocol. In one implementation, the communication protocol includes a unique name and version. Service interface 412 may be specified using a Simple Object Access Protocol (SOAP) interface specification, a JavaScript Object Notation (JSON) interface specification, and/or another type of interface specification. Service implementation 414 includes the implementation of service 410. Service implementation 414 processes requests received via service interface 412 and/or responds to service requests through service interface 412. Service interface 412 may convert responses received from service implementation 414 into a particular format compatible with the proper protocol, which client 420 uses to exchange messages with service 410.

**[0067]** In one embodiment, client 420 requests a service instance of a particular service type by sending a request to service registry 440. Once a service instance is identified and selected, client 420 may send a request to the identified and selected particular service instance via message dispatcher 430. As discussed above, clients 420 may also be services 410. The term "client" or "client service" identifies the service as one that is requesting another service.

**[0068]** Message dispatcher 430 receives incoming messages from client 420 and directs them to service 410 that is the intended recipient of the incoming message. Furthermore, message dispatcher 430 may receive messages from a service and send the message to a particular client 420. If the destination of the incoming message is not on the same device 130 as message dispatcher 430, then the message may be forwarded to the overlay network layer 320 for forwarding to the correct device 130. Services 410 and clients 420 may function as endpoints in the overlay network implemented by overlay network layer 320. Thus, in one embodiment, overlay network layer 320 may maintain a routing table based on the routing tree of the overlay network. The

routing table may include a list of next hop destinations for particular node IDs. Message dispatcher 430 may identify a next hop destination for the outgoing ID and may provide the message to overlay network layer 320 for delivery. Thus, in this embodiment, message dispatcher 430 implements a request-response messaging mechanism.

[0069] Service registry 440 maintains a list of deployed services 410 along with properties associated with the
 deployed services (e.g., instances of services). Exemplary components of service registry 440 are described in more detail below with reference to Fig. 4C. A service 410 may register with service registry 440 by providing service registry 440 with a description of the service (e.g., 15 including properties of the service). Because clients 420

may also be services 410, clients 420 may also register with service registry 440.

[0070] Fig. 4B is a block diagram illustrating the functionality of service registry 440. As shown in Fig. 4B, serv ice registry 440 may receive search queries from clients 420. A search query may specify a particular service type, one or more requested properties for the particular service type, a requested number of hits, and/or one or more other parameters. Service registry 440 may identify serv-

<sup>25</sup> ices 410 that satisfy the search query. If the number of requested hits is not satisfied by service registry 440, service registry 440 may forward a query to another service registry 440 (e.g., an adjacent service registry 440) in the overlay network. In one embodiment, service registry 440 does not select a particular service instance

<sup>30</sup> istry 440 does not select a particular service instance based on a search query. Rather, in this embodiment, service registry 440 returns the results of the query to client 420 and client 420, which originated the query, may select a particular service instance from the search re-<sup>35</sup> sults. In another embodiment, service registry 440 se-

lects the particular service instance based on the search query from the results of the query.

**[0071]** Although Figs. 4A and 4B show exemplary functional components of service layer 310, in other imple-

40 mentations, service layer 310 may include fewer functional components, different functional components, differently arranged functional components, or additional functional components than depicted in Figs. 4A and 4B. Additionally, any one of the components (or any group 45 of expression of the components) of expression form form

<sup>45</sup> of components) of service layer 310 may perform functions described as performed by one or more other functional components of service layer 310.

[0072] Fig. 4C is a block diagram illustrating exemplary functional components of service registry 440. As shown
 in Fig. 4C, service registry 440 may include a host service registry database (DB) 442, a query handler 444, and a

service registry cache 446. [0073] Host service registry DB 442 may maintain a

list of services 410 hosted by service host 315 and/or
 properties of those services. An example of a service
 listed in host service registry DB 442 and properties of
 the service is provided below with respect to Fig. 4D.
 Host service registry DB 442 may be populated by serv-

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ices 410 registering with service registry 440. Host service registry DB 442 may also expose an interface for adding or removing listed services and reading or writing properties of the services hosted by service host 315 and/or write service properties. In one embodiment for example, host service registry DB 442 may maintain a list of services 410 hosted by a service host 315 on a different device 130. The service host 315 on the different device may list its services in a service registry on another device using the exposed interface. Furthermore, host service registry DB 442 may expose a search query service interface accessible by other service registries. Thus, other service registries may use the search query service interface to determine whether host service registry DB 442 includes an entry that satisfies a particular guery. In one embodiment, services listed in host service registry DB 442 may expire (e.g., be removed from DB 442 after a period of time if not refreshed) to help prevent DB 442 from storing outdated information.

**[0074]** Host service registry 442 may receive a subscription request from a service manager, may store the subscription request, and may forward the subscription request to all adjacent service registries.

**[0075]** Host service registry 442 may determine whether a service matches the subscription request and may send a subscription notification back to a service manager that originated the subscription request if a matching service is identified. Furthermore, host service registry 442 may determine whether an update to a stored service is associated with a subscription. If an update is associated with a subscription, host service registry 442 may send a subscription notification to the service manager (or another type of service) that originated the subscription request for the associated subscription.

[0076] Query handler 444 may handle queries received from client 420. In one embodiment, given a query, query handler 444 first searches the local host service registry DB 442, followed by service registry cache 446. Query handler 444 may issue a call to other service registries if the query has not been satisfied, for example. Service registry cache 446 may store data from remote service registries 440. Each service host 315 may maintain a local service registry 440 and services 410 that register with service host 315 are registered in the local service registry 440. A query from client 420 that cannot be satisfied by the local service registry 440 may be sent to one or more neighboring service hosts 315 to see if the neighboring service hosts 315 have service registries 440 that include services that satisfy the query. The remote service registry 440 may return results of the query back to the local service registry 440 and the results may be stored in service registry cache 446. In some implementations, parent nodes may cache data for their children nodes, while children nodes may not cache data for their parent nodes. In one embodiment, services listed in service registry cache 446 may expire (e.g., be removed from cache 446 after a period of time if not refreshed) to help prevent cache 446 from storing outdated

information.

**[0077]** Although Fig. 4C shows exemplary functional components of service registry 440, in other implementations, service registry 440 may include fewer functional components, different functional components, differently arranged functional components, or additional functional components than depicted in Fig. 4C. Additionally, any one of the components (or any group of components) of service registry 440 may perform functional components of service registry 440.

**[0078]** Fig. 4D is a block diagram of an exemplary property table 460 for a particular service that may be stored by service registry 440. In one embodiment, an instance

<sup>15</sup> of a service (e.g., each instance) is associated with a property table, such as table 460. Host service registry database DB 442 may store a property table for each service registered with the corresponding service registry 440. In one embodiment, as described above, the infor-

<sup>20</sup> mation stored in any one service registry DB 442 may be different than information stored in other service registry databases. Exemplary property table 460 includes eight fields: ID field 462, interface field 464, service format field 468, transport protocol field 470, CPU ranking 472, disk space field 474, and RAM field 476.

**[0079]** Instance ID field 462 uniquely defines the instance of the particular service. The instance ID (possibly along with the node ID) may uniquely identify the service instance from any other services (of the same type or different type) in the network. In one embodiment, instance ID field 462 is an integer. In table 460, the instance ID is 6529 as an example.

[0080] Interface field 464 identifies the name of the interface of the service. In this case, the interface field 464
 <sup>35</sup> may also identify the type of service by the type of interface. For example, table 460 identifies the interface as "STORAGE SERVICE". Service format field 468 identifies the format used by the instance of the service. As an example, table 460 identifies the service format as

<sup>40</sup> "JSON". Transport protocol field 470 identifies the protocol used by the instance of the service. As an example, table 460 identifies the service format as "NODE PRO-TOCOL".

[0081] CPU ranking field 472 identifies the performance of the CPU associated with the service instance. In one embodiment, a scale is used (e.g., 1 to 100). Table 460 identifies the CPU ranking as 20/100 for the service in CPU ranking field 742. RAM field 476 identifies the amount of random-access memory available to the service. Table 460 identifies the available RAM as 2 GB in field 476.

**[0082]** Although Fig. 4D shows exemplary components of property table 460, in other implementations, property table 460 may include fewer components, different components, differently arranged components, or additional components than depicted in Fig. 4D.

[0083] Fig. 5A is a block diagram illustrating functional components of overlay network layer 320. As shown in

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**[0084]** Node manager 510 may provide node information, such as a node ID, to other nodes in the overlay network. Furthermore, node manager 510 may maintain a list of nodes in the overlay network. Node manager 510 may perform node discovery to identify new nodes added to the overlay network and/or to rediscover lost nodes that have re-joined the overlay network. Node manager 510 may also determine the topology of the network, as described below (e.g., which nodes are nearest other nodes).

**[0085]** Communication manager 520 may enable nodes to communicate with each other. Communication manager 520 may implement a mechanism to traverse the tree of the overlay network. Tree traversal may be performed in connection with search queries of service registries or when a direct communication method to another node is not available. Furthermore, communication manager 520 may implement a direct communication method that may enable particular nodes of the overlay network to communicate directly without having to traverse the tree of the overlay network.

**[0086]** Multicast manager 530 may implement a multicast mechanism. The multicast mechanism may be used to send a message to the members of a multicast group (e.g., all the members). Furthermore, the multicast mechanism may be used to implement a subscribe-notify messaging pattern. Thus, an event associated with a particular service instance may be used to trigger a message sent to the nodes that have subscribed to messages from the particular service instance. Multicast manager 530 may include an application layer multicast manager or a multicast manager from lower OSI layers.

**[0087]** Although Fig. 5A shows exemplary functional components of overlay network layer 320, in other implementations, overlay network layer 320 may include fewer functional components, different functional components, differently arranged functional components, or additional functional components than depicted in Fig. 5A. Additionally, any one of the components (or any group of components) of overlay network layer 320 may perform functions described as performed by one or more other functional components of overlay network layer 320.

**[0088]** Fig. 5B is a block diagram of an exemplary topology of an overlay network 540. As shown in the example of Fig. 5B, overlay network 540 includes nodes N1 to N7. Nodes N1 and N2 are in multicast group 560-1. Node N1 includes service endpoints S1 and S3 and client endpoint CI. Node N3 is the parent node to nodes N1 and N2. Node N3 includes a service endpoint S7 and a client endpoint C3.

**[0089]** Nodes N6 and N7 are in multicast group 560-2 and node N7 includes client endpoint C2 and service endpoints S5 and S6. Node N5 is the parent node to nodes N6 and N7 and includes service endpoint S9. Nodes N3 and N5 are in multicast group 560-3. Node N4 is the parent node to nodes N3 and N5 and is the root node of overlay network 540. Furthermore, node N4 is in multicast group 560-4 and includes service endpoint S8. Although parent nodes in the topology of network

5 S8. Although parent nodes in the topology of network 540 have two child nodes, in other implementations, parent nodes may have more than two child nodes.

[0090] Assuming each service endpoint is associated with a service registry 440, a search query may traverse
 overlay functional network 540 as follows. Assume service endpoint S7 in node N3 executes a search query to identify a particular service included in service endpoint S1 and service endpoint S5 (i.e. for which S1 and S5 are a match). Service endpoint S7 may send the search que-

<sup>15</sup> ry to its local service registry, which may result in no matches in the search query. The local service registry may then identify adjacent service registries in the overlay network, which may include a service registry in node N1 and a service registry in node N4 (node N2 may not

<sup>20</sup> include a service registry, since there are no service endpoints associated with node N2). The service registry in node N1 may return a hit identifying service endpoint S 1. The service registry in node N4 may return no hits and may forward the search query to its adjacent service reg-

<sup>25</sup> istries, which in this case include service registries in nodes N3 and N5. However, since the service registry in node N3 has already processed the search, the search query may only be sent to the service registry in node N5. The service registry at node N5 may come up with
<sup>30</sup> no hits and may forward the search query to a service registry at node N7. Node N7 may identify service endpoint S5 as a hit and may return the results of the search query to node N4 and node N4 may forward the search

results to service endpoint S7 in node N3.
[0091] Service endpoint S7 may then select communicate with either service endpoint S1 at node N1 or service endpoint S5 at node N7. In some implementations, service endpoint S7 may send a message to service endpoint S5 via nodes N4 and N5. In other implementations,

40 service endpoint S7 may send a message to service endpoint S5 by communicating directly with node N7. [0092] As another example, service endpoint S7 may only require the first match to the search query. Nodes may forward search queries to other nodes in a priority 45 order that prioritizes nodes that are further down the tree. Thus, node N3 would forward the search query to nodes N 1 and N2, before sending the search query to node N4, since nodes N1 and N2 are further down the tree (i.e., are children of node N3), while node N4 is further 50 up the tree (i.e., is a parent of node N3). Since node N1 identifies a match for the search query, and service endpoint S7 only requires one match, the search may termi-

nate before the search query is sent to node N4.
[0093] Fig. 6 is a block diagram illustrating functional
<sup>55</sup> components of a tunnel broker 600. Tunnel broker 600 may be configured to establish and manage a communication tunnel based on a requested service property. As shown in Fig. 6, tunnel broker 600 may include a client

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interface 610, a service registry interface 620, a node selector 630, a node interface 640, and may communicate with a tunnel DB 650.

[0094] Client interface 610 may communicate with client 420. Client interface 610 may receive a request from client 420 for a communication tunnel to a service having a requested service property and may send an acknowledgement to client 420 that the request has been received. Furthermore, client interface 610 may configure client 420 for a first end of a communication tunnel. For example, client interface 610 may configure a node (e.g., device 130) hosting client 420 to receive and/or send packets, or other types of data units, encapsulated with tunnel headers associated with a communication tunnel. [0095] Service registry interface 620 may communicate with service registry 440. For example, service registry interface 620 may generate a search query based on a request received by client interface 610 and may send the search query to service registry 440. Furthermore, service registry interface 620 may send a subscription request to service registry 440 to receive updates relating to the search query. Service registry interface 620 may receive search results from service registry 440 and may provide the search results to node selector 630. [0096] Node selector 630 may select a particular node, and a particular service instance hosted by the node, as a second end of a communication tunnel. Node selector 630 may select the particular node and/or service instance based on search results obtained by service registry interface 620. For example, node selector 630 may rank the search results and may select a node and/or service instance that best matches the requested service property or properties. Additionally or alternatively, node selector 630 may select a node based on additional criteria. For example, node selector 630 may determine one or more connection metrics for the nodes in the received search results. A connection metric may correspond to a measure of the quality of connection between client 420 and each particular node in the received search results. A connection metric may include a total available bandwidth; a percentage bandwidth capacity; a highest, lowest, or average bitrate; a highest available Quality of Service (QoS); whether or not the client and the particular node are in the same domain; whether network address translation (NAT) is required between the client and the particular node; whether a firewall exists between the client and the particular node; and/or based one other types of connection metrics.

**[0097]** Node interface 640 may communicate with particular nodes in the system. For example, node interface 640 may configure a node (e.g., device 130) for a second end of a communication tunnel. For example, node interface 640 may configure the node to receive and/or send packets, or other types of data units, encapsulated with tunnel headers associated with the communication tunnel.

[0098] Tunnel DB 650 may store information relating to communication tunnels managed by tunnel broker

600. Exemplary information that may be stored in tunnel DB 650 is described below with reference to Fig. 7B.

**[0099]** Although Fig. 6 shows exemplary functional components of tunnel broker 600, in other implementations, tunnel broker 600 may include fewer functional components, different functional components, differently arranged functional components, or additional functional components than depicted in Fig. 6. Additionally, any one of the components (or any group of components) of tunnel broker 600 may perform functional described as performed by one or more other functional components of tunnel broker 600.

[0100] Fig. 7A is a block diagram illustrating components that may be stored in service registry 440. As
<sup>15</sup> shown in Fig. 7A, service registry 440 may include one or more service entries 701. Each service entry 401 may store information relating to a particular service hosted by service host associated with service registry 440. Service entry 401 may include a service field 710, node
<sup>20</sup> field 712, properties field 714, deployment field 716, and subscription field 718.

**[0101]** Service field 710 may identify a particular service associated with the service entry. For example, service field 710 may identify a service interface associated

with the particular service. Node Field 712 may identify a particular node (e.g., device 130) associated with the particular service. In some implementations, a first node may maintain a service registry for a second node and may identify services associated with the second node in the service registry. Properties field 714 may store information identifying properties associated with the particular service. For example, properties field 714 may include information identifying a location associated with the service, an operating system associated with the

<sup>35</sup> service, a processing load associated with the service, a bandwidth capacity associated with the service, a memory capacity associated with the service, a storage capacity associated with the service, a sub-network and/or network domain associated with the service, a security
 <sup>40</sup> level associated with the service, a codec type associated

ed with the service, and/or another type of property. [0102] Deployment field 716 may include information identifying whether the service is deployed or whether the service is available for deployment. Subscription field 45 718 may include information identifying subscriptions associated with the service. A service may be associated with one or more subscriptions. The subscription information may, for example, identify a particular tunnel broker 600 (e.g., based on a node ID) that has subscribed 50 to notifications about changes to the service. Thus, if the service is deployed, made unavailable, if a property of the service changes, and/or if another type of change is detected, a notification may be sent to tunnel broker 600. [0103] Although Fig. 7A shows exemplary components 55 of service registry 440, in other implementations, service registry 440 may include fewer components, different components, differently arranged components, or addi-

tional components than depicted in Fig. 7A.

**[0104]** Fig. 7B is a block diagram illustrating components that may be stored in the tunnel DB 660. Tunnel DB 650 may store one or more tunnel records 751. Each tunnel record 751 may store information relating to a particular communication tunnel managed tunnel broker 600. Tunnel record 751 may include a tunnel ID field 760, a service properties field 762, a client field 764, and one or more node fields 770.

**[0105]** Tunnel ID field 760 may store an identifier that uniquely identifies a particular communication tunnel. Furthermore, tunnel ID field 760 may store tunnel header information (e.g., routing labels) associated with the particular communication tunnel. Service properties field 762 may store information identifying one or more requested service properties, such as a particular service interface, a particular operating system, a particular processing capacity, a particular storage capacity, a particular bandwidth and/or bitrate, a particular location, a particular codec, a particular network domain, a particular security level, and/or another type of service property.

**[0106]** Client field 764 may store information relating to client 420 that made the request for the communication tunnel. For example, client ID field 764 may store a node ID associated with client 420 in the overlay network. Furthermore, client ID field 764 may store a network address associated with client 420 in the underlying network (e.g., network 110, sub-network 120, etc.).

**[0107]** Each node field 760 may store information relating to a particular node in the system that has been identified as hosting a service that matches the requirements specified in the service properties field 762 of tunnel record 751. Node field 760 may include a node ID field 772, a properties field 774, and a status field 776. **[0108]** Node ID field 772 may store information identifying the particular node. For example, node ID field 764 may store a node ID associated with the particular node in the overlay network. Furthermore, node ID field 764 may store a network address associated with the particular node in the underlying network (e.g., network 110, sub-network 120, etc.).

**[0109]** Properties field 774 may store information relating to the properties of a service instance, hosted by the particular node, which matches the request associated with the communication tunnel. For example, properties field 774 may include information identifying the service instance, information identifying the service interface of the service instance, and/or one or more properties associated with the service instance. Information in properties field 774 may be updated at particular intervals. For example, tunnel broker 600 may receive updates from service registry 440 at particular intervals based on a subscription request submitted by tunnel-broker 600 to service registry 440.

**[0110]** Status field 776 may include status information associated with the particular node. For example, the status information may include a search result rank for the particular node, whether or not a second end of a communication tunnel is established for the particular node,

one or more connection metrics associated with a connection from the client to the particular node, and/or other types of status information associated with the particular node.

<sup>5</sup> **[0111]** Although Fig. 7B shows exemplary components of capabilities DB 640, in other implementations, capabilities DB 640 may include fewer components, different components, differently arranged components, or additional components than depicted in Fig. 7B.

<sup>10</sup> [0112] Fig. 8 is a flowchart of a process for setting up and managing a communication tunnel according to an implementation described herein. In one implementation, the process of Fig. 8 may be performed by tunnel broker 600 in device 130. In other implementations, some or all

<sup>15</sup> of the process of Fig. 8 may be performed by another device or a group of devices separate from and/or including tunnel broker 600.

[0113] The process of Fig. 8 may include receiving a request from a client for a service having a requested
 <sup>20</sup> service property (block 810). For example, a device 130 may include a service acting as a client that requests a service having a particular service property. Client 420 may first contact service registry 440 to request the location of the nearest tunnel broker. Service registry 440

<sup>25</sup> may return a node ID identifying tunnel broker 600 to client 420. Client 420 may subsequently send a request to tunnel broker 600 to establish a communication tunnel to a service with a requested service property. The requested service property may include one or more of a <sup>30</sup> particular service interface, a particular operating system, a particular processing capacity, a particular storage capacity, a particular bandwidth and/or bitrate, a particular location, a particular codec, a particular network domain, a particular security level, and/or another type of <sup>35</sup> service property.

**[0114]** A search query may be sent to a service registry (block 820) and search results may be received from the service registry (block 830). For example, tunnel broker 600 may receive the request from client 420, may gen-

<sup>40</sup> erate a search query based on the requested service properties, and may send the generated search query to the nearest service registry 440. Service registry 440 may evaluate the search query and may return search results that includes a list of one or more nodes in the system that match the requested service property or properties. If service registry 440 returns an empty list, indicating that a service with the requested property is not available in the system tunnel broker 600 may generate an alert

in the system, tunnel broker 600 may generate an alert and may send the alert to client 420 and/or to administration device 150.

**[0115]** In some implementations, service registry 440 may return a list of nodes and/or service instances that most closely matches the requirements, even though none of the nodes and/or service instances satisfy all the requirements. In such situations, tunnel broker 600 may select the node and/or service instance that best matches

the requirements associated with the requested service property or properties.

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[0116] In some implementations, the client may request a one-to-many communication tunnel. For example, the client may request a communication tunnel to a particular number of service instances having a requested property. As an example, a video monitoring service client may request a communication tunnel to five different video streams from cameras at a particular location. In such implementations, tunnel broker 600 may request a particular number of search results for the search query. [0117] A first node that hosts a first service instance having the requested service property may be selected based on the received search results (block 840). For example, tunnel broker 600 may select a node, and/or service instance hosted by the node, which best matches the requested service property. In some implementations, tunnel broker 600 may select the first node based on additional criteria. For example, tunnel broker 600 may select the first node based on one or more connection metrics associated with a connection between each particular node in the search results and the client device. Examples of connection metrics include a total available bandwidth for the connection; a percentage bandwidth capacity for the connection; a highest, lowest, or average bitrate for the connection; a highest available Quality of Service (QoS) for the connection; whether or not the client and the particular node are in the same domain; whether network address translation (NAT) is required between the client and the particular node; whether a firewall exists between the client and the particular node; and/or based one other types of connection metrics.

**[0118]** In situations in which the client requests a oneto-many communication tunnel, tunnel broker 600 may select multiple nodes for multiple second end of the communication tunnel and may individually set up the second ends of the communication tunnel for each selected node.

[0119] A communication tunnel may be established having a first end at the client device and a second end at the selected first node (block 850). For example, tunnel broker 600 may use TSP, or another protocol, to set up a communication tunnel between client 420 and the selected first node. For example, tunnel broker 600 may generate one or more tunnel headers and/or labels, may configure device 130 hosting client 420 to encapsulate packets, or other types of data units, with the generated tunnel headers and/or labels, and may configure the selected first node to encapsulate packets, or other types of data units, with the generated tunnel headers and/or labels. The communication tunnel may include a Multi-Protocol Label Switching (MPLS) tunnel, a Generic Routing Encapsulation (GRE) tunnel, an Internet Protocol (IP) Security (IPSec) tunnel, a Virtual Local Area Network (VLAN) tunnel, a Virtual Private Network (VPN) tunnel, and/or another type of communication tunnel.

**[0120]** A determination may be made that the communication tunnel should be updated (block 860). As an example, tunnel broker 600 may re-send the search query to service registry 440 at particular intervals and may receive updated search results from service registry 440. The updated search results may indicate that the first node is not available, that the first node no longer hosts the service (e.g., that the service instance is no longer deployed), that the properties of the hosted service no longer match the requirements of the requested service property, and/or that another system node hosts a service instance that better matches the requirements of the requested service property.

10 [0121] As another example, tunnel broker 600 may receive an update from service registry 440without resending the search query. For example, tunnel broker 600 may set up a subscription for changes to service matching the search query and service registry 440 may

<sup>15</sup> send periodic updates to tunnel broker 600. As yet another example, tunnel broker 600 may receive a message from client 420 that the first node is not reachable via the established communication tunnel or may receive a message from the first node that the first node is no longer hosting the requested service.

[0122] The search query may be re-sent to the service registry (block 870) and updated search results may be received from the service registry (block 880). In situations in which the determination to update the communication tunnel was not made based on an update received from service registry 440, tunnel broker 600 may re-send the search query to service registry 440 and may receive updated search results from service registry 440.

[0123] A second node that hosts a second service in stance having the requested service property may be selected based on the updated search results (block 890). For example, tunnel broker 600 may select a second node, and/or service instance hosted by the second node, which best matches the requested service property
 <sup>35</sup> based on the updates search results, and/or based on

<sup>35</sup> based on the updates search results, and/or based on additional criteria, such as determined connection metrics for the nodes includes in the updated search results.
[0124] The second end of the communication tunnel may be moved from the first node to the second node
<sup>40</sup> (block 895). For example, tunnel broker 600 may use

TSP, or another protocol, to end the communication tunnel between client 420 and the first node and to set up a communication tunnel between client 420 and the selected second node. For example, tunnel broker 600 may
 configure the first node to stop encapsulating packets, or other types of data units, with the generated tunnel headers and/or labels associated with the communica-

tion tunnel and may configure the selected second node to encapsulate packets, or other types of data units, with
the generated tunnel headers and/or labels. Blocks 870, 880, 890, and 895 may be repeated whenever tunnel broker 600 determined that the communication tunnel should be updated.

[0125] Figs. 9A-9C are diagrams of exemplary scenar <sup>55</sup> ios of setting up and managing a communication tunnel according to an implementation described herein. Fig. 9A illustrates an overlay network 910 that includes nodes N1, N2, N3, N4, and N5. Node N3 includes a service S3

corresponding to a video monitoring service client 910. Node N4 includes a service S4 corresponding to a tunnel broker service 920. Node N1 includes a service instance S1A, corresponding to a camera service 930 and a service instance S1B, corresponding to a camera service 940. Node N2 includes a service instance S2, corresponding to a camera service 950 and Node N5 includes a service instance S5, corresponding to a camera service 960.

[0126] Video monitoring service client 910 may require a camera service providing a video stream from a particular location, at a particular resolution, and at a particular bitrate. Thus, video monitoring service client 910 may send a request for a communication tunnel to tunnel broker 920 at node N4 and may specify the required service properties in the request. Tunnel broker 920 may generate a search query based on the request and may submit the search query to a service registry at node N4. The service registry at node N4 may forward the search query to service registries at the other nodes and other nodes may continue to forward the search query until a required number of search results are obtained or until all service registries have processed the search query. [0127] Assume camera services 930, 940 and 950 satisfy the location and resolution requirements, but none of the available camera services fully satisfy the bitrate requirement. Furthermore, assume tunnel broker 920 determines that the connection from node N3 to node N1 is higher in quality than the connection from node N3 to node N2. Thus, tunnel broker service 920 may select node N1. Furthermore, assume camera service 930 has a higher bitrate than camera service 940 and, therefore, tunnel broker service 920 selects camera service 930. Tunnel broker service 920 may then establish tunnel 970 between video monitoring service client 910 and camera service 930. Video monitoring service client 910 may now receive a streaming video signal from camera service 930 via tunnel 970.

**[0128]** Continuing to Fig. 9B, assume that the bitrate associated with camera service 940 improves as a result of camera service 940 freeing up processing resources. The service registry of node N1 may include a subscription from tunnel broker 920 to receive updated to service properties associated with camera service 930 and camera service 940. Thus, the service registry of node N1 may send a subscription update to tunnel broker 920. Tunnel broker 920 may determine that camera service 940 is now a better match for the communication tunnel and may switch the second end of communication tunnel 970 to camera service 940 to establish communication tunnel 980.

**[0129]** Continuing to Fig. 9C, assume that node N1 experiences a node failure 990. Video monitoring service client 910 may stop receiving the video stream from camera service 940 and may send a message to tunnel broker service 920, indicating that node N1 has become unavailable. In response, tunnel broker service 920 may select the next best available service based on the most

recent search results associated with the search query based on the communication tunnel request generated by video monitoring service client 910. Tunnel broker service 920 may select camera service 950 at node N2 and may move the second end of the tunnel from node N1 to camera service 950 at node N2 to generate tunnel 995. Thus, video monitor service client 910 may continue to receive a video stream from the specified location at

the best available bitrate and resolution.
[0130] In the preceding specification, various preferred embodiments have been described with reference to the accompanying drawings. It will, however, be evident that various modifications and changes may be made thereto, and additional embodiments may be implemented, with-

<sup>15</sup> out departing from the broader scope of the invention as set forth in the claims that follow. The specification and drawings are accordingly to be regarded in an illustrative rather than restrictive sense.

[0131] For example, while series of blocks have been described with respect to Fig. 8, and an order of signal flows have been described with respect to Figs. 9A-9C, the order of the blocks and/or signal flows may be modified in other implementations. Further, non-dependent blocks and/or signal flows may be performed in parallel.

<sup>25</sup> [0132] It will be apparent that systems and/or methods, as described above, may be implemented in many different forms of software, firmware, and hardware in the implementations illustrated in the figures. The actual software code or specialized control hardware used to im <sup>30</sup> plement these systems and methods is not limiting of the

plement these systems and methods is not initially of the embodiments. Thus, the operation and behavior of the systems and methods were described without reference to the specific software code--it being understood that software and control hardware can be designed to im plement the systems and methods based on the description herein.

**[0133]** Further, certain portions, described above, may be implemented as a component that performs one or more functions. A component, as used herein, may include hardware, such as a processor, an ASIC, or a FP-

GA, or a combination of hardware and software (e.g., a processor executing software). The word "exemplary" as used herein means "as an example for illustration."

[0134] It should be emphasized that the terms "comprises" / "comprising" when used in this specification are taken to specify the presence of stated features, integers, steps or components but does not preclude the presence or addition of one or more other features, integers, steps, components or groups thereof.

<sup>50</sup> [0135] The term "logic," as used herein, may refer to a combination of one or more processors configured to execute instructions stored in one or more memory devices, may refer to hardwired circuitry, and/or may refer to a combination thereof. Furthermore, a logic may be <sup>55</sup> included in a single device or may be distributed across multiple, and possibly remote, devices.

**[0136]** For the purposes of describing and defining the present invention, it is additionally noted that the term

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The term "substantially" is also utilized herein to represent the degree by which a quantitative representation may vary from a stated reference without resulting in a change in the basic function of the subject matter at issue. [0137] No element, act, or instruction used in the present application should be construed as critical or essential to the embodiments unless explicitly described as such. Also, as used herein, the article "a" is intended to include one or more items. Further, the phrase "based on" is intended to mean "based, at least in part, on" unless explicitly stated otherwise.

#### Claims

1. A method, performed by a computer device (920), 20 comprising:

> receiving, by the computer device (920), a request from a client device (910) for a service in 25 a system, the service having a requested service property:

> selecting, by the computer device, a first node (930) in the system that hosts a first service instance having the requested service property; establishing, by the computer device (920), a 30 communication tunnel (970) between the client device (910) and the selected first node (930), wherein the communication tunnel (970) includes a first end at the client device (910) and a second end at the first node (930), wherein establishing the communication tunnel (970) includes:

generating a tunnel label;

- configuring the client device (910) to encapsulate data units with the generated tunnel label: and
- configuring the selected first node (930) to encapsulate data units with the generated tunnel label:

selecting, by the computer device (920), a second node (940) in the system that hosts a second service instance having the requested service property; and

moving, by the computer device (920), the second end of the communication tunnel (970) from the first node (930) to the second node (940) transparently with respect to the client device (910), wherein moving the second end of the communication tunnel (970) from the first node (930) to the second node (940) includes:

configuring the selected first node (930) to stop encapsulating data units with the generated tunnel label; and

- configuring the selected second node (940) to encapsulate data units with the generated tunnel label.
- 2. The method of claim 1, further comprising:

sending a search query that specifies the requested service property to a service registry (440), wherein the service registry includes a list of services available in one or more nodes (130) of the system;

receiving search results from the service registry (440), wherein the search results include a list of one or more nodes (130) having the requested service property; and

wherein selecting the first node (930) in the system that hosts the first service instance having the requested service property includes selecting the first node (930) from the list of one or more nodes (130) having the requested service property.

3. The method of claim 2, further comprising:

determining that the communication tunnel (970) should be updated; and

wherein selecting the second node (940) in the system that hosts the second instance having the requested service property is based on determining that the communication tunnel (970) should be updated.

The method of claim 3, wherein determining that the 4. communication tunnel (970) should be updated includes:

re-sending the search query to the service registry (440) at particular intervals; and receiving updated search results from the service registry (440), wherein the updated search results include an indication that the first node (930) no longer hosts the first service instance having the requested service property or that the first service instance no longer has the requested service property.

- 5. The method of claim 3, wherein determining that the communication tunnel (970) should be updated includes at least one of:
  - receiving an indication from the service registry (440) that the first node (930) no longer hosts the first service instance having the requested service property or that the first service instance

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no longer has the requested service property; receiving a message from the client device (910) that the first node (930) is unreachable; or receiving a message from the first node (930) that the first node (930) is unable to provide the first service to the client device (910).

**6.** The method of claim 3, wherein determining that the communication tunnel (970) should be updated includes:

determining that another node in the system is a better match for the requested service property; and

wherein selecting the second node (940) in the system that hosts the second instance having the requested service property is based on determining that another node in the system is a better match for the requested service property.

7. The method of claim 2, further comprising:

determining one or more network connection metrics for a connection from the client device (910) to particular nodes of the nodes included in the list of one or more nodes having the requested service property; and

wherein selecting the first node (930) from the list of one or more nodes having the requested service property is based on the determined one 30 or more network connection metrics.

8. A computer device comprising:

logic configured to implement a tunnel broker <sup>35</sup> (600) configured to:

receive a request from a client device (910) for a service in a system, the service having a requested service property; select a first node (930) in the system that hosts a first service instance having the requested service property; establish a communication tunnel (970) be-

tween the client device (910) and the selected first node (930), wherein the communication tunnel (970) includes a first end at the client device (910) and a second end at the first node (930), wherein, when establishing the communication tunnel (970), the logic is further configured to:

generate a tunnel label; configure the client device (910) to encapsulate data units with the generated <sup>55</sup> tunnel label; and

configure the selected first node (930) to encapsulate data units with the gen-

erated tunnel label;

select a second node (940) in the system that hosts a second service instance having the requested service property; and

move the second end of the communication tunnel (970) from the first node (930) to the second node (940) <u>trans-</u> parently with respect to the client device (910), wherein, when moving the second end of the communication tunnel (970) from the first node (930) to the second node (940), the logic is further configured to:

configure the selected first node (930) to stop encapsulating data units with the generated tunnel label; and configure the selected second node (940) to encapsulate data units with the generated tunnel label.

25 9. The computer device of claim 8, wherein the tunnel broker (600) is further configured to:

send a search query that specifies the requested service property to a service registry, wherein the service registry includes a list of services available in one or more nodes of the system; receive search results from the service registry, wherein the search results include a list of one or more nodes having the requested service property; and wherein, when selecting the first node (930) in

the system that hosts the first service instance having the requested service property, the tunnel broker (600) is further configured to:

select the first node (930) from the list of one or more nodes having the requested service property.

45 **10.** The computer device of claim 9, wherein the tunnel broker (600) is further configured to:

determine that the communication tunnel (970) should be updated; and

wherein the tunnel broker (600) is configured to select the second node (940) in the system that hosts the second instance having the requested service property based on determining that the communication tunnel (970) should be updated.

 The computer device of claim 10, wherein when determining that the communication tunnel (970) should be updated, the tunnel broker (600) is further

configured to:

re-send the search query to the service registry at particular intervals; and

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receive updated search results from the service 5 registry, wherein the updated search results include an indication that the first node (930) no longer hosts the first service instance having the requested service property or that the first service instance no longer has the requested service 10 property.

The computer device of claim 10, wherein when determining that the communication tunnel (970) should be updated, the tunnel broker (600) is further <sup>15</sup> configured to at least one of:

receive an indication from the service registry that the first node (930) no longer hosts the first service instance having the requested service property or that the first service instance no longer has the requested service property; receive a message from the client device (910) that the first node (930) is unreachable; or receive a message from the first node (930) that the first node (930) is unable to provide the first service to the client device (910).

The computer device of claim 10, wherein, when determining that the communication tunnel (970) <sup>30</sup> should be updated, the tunnel broker (600) is further configured to:

determine that another node in the system is a better match for the requested service property; <sup>35</sup> and

wherein the tunnel broker (600) is configured to select the second node (940) in the system that hosts the second instance having the requested service property based on determining that another node in the system is a better match for the requested service property.

#### Patentansprüche

1. Verfahren, ausgeführt von einem Computergerät (920), Folgendes umfassend:

Empfangen einer Anfrage von einem Clientgerät (910) nach einem Dienst in einem System durch das Computergerät (920), wobei der Dienst eine angefragte Diensteigenschaft aufweist,

Auswählen eines ersten Knotens (930) im Sys- <sup>55</sup> tem, der eine erste Dienstinstanz hostet, welche die angefragte Diensteigenschaft aufweist, durch das Computergerät, Einrichten eines Kommunikationstunnels (970) zwischen dem Clientgerät (910) und dem ausgewählten Knoten (930) durch das Computergerät (920), wobei der Kommunikationstunnel (970) ein erstes Ende am Clientgerät (910) und ein zweites Ende am ersten Knoten (930) beinhaltet, wobei das Einrichten des Kommunikationstunnels (970) Folgendes beinhaltet:

Erzeugen einer Tunnelkennzeichnung, Konfigurieren des Clientgeräts (910) dafür, Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu verkapseln, und Konfigurieren des ausgewählten ersten Knotens (930) dafür, Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu verkapseln,

Auswählen eines zweiten Knotens (940) im System, der eine zweite Dienstinstanz hostet, welche die angefragte Diensteigenschaft aufweist, durch das Computergerät (920) und transparentes Bewegen des zweiten Endes des Kommunikationstunnels (970) vom ersten Knoten (930) zum zweiten Knoten (940) in Bezug auf das Clientgerät (910), wobei das Bewegen des zweiten Endes des Kommunikationstunnels (970) vom ersten Knoten (930) zum zweiten Knoten (940) Folgendes beinhaltet:

Konfigurieren des ausgewählten ersten Knotens (930) dafür, das Verkapseln von Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu beenden, und

Konfigurieren des ausgewählten zweiten Knotens (940) dafür, Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu verkapseln.

 Verfahren nach Anspruch 1, ferner Folgendes umfassend:

> Senden einer Suchabfrage, welche die angefragte Diensteigenschaft spezifiziert, an ein Dienstregister (440), wobei das Dienstregister eine Liste (130) von Diensten beinhaltet, die in einem oder mehreren Knoten des Systems verfügbar sind,

Empfangen von Suchergebnissen vom Dienstregister (440), wobei die Suchergebnisse eine Liste von einem oder mehreren Knoten (130) beinhalten, welche die angefragte Diensteigenschaft aufweisen, und

wobei das Auswählen des ersten Knotens (930) im System, der die erste Dienstinstanz hostet, welche die angefragte Diensteigenschaft aufweist, das Auswählen des ersten Knotens (930) von der Liste eines oder mehrerer Knoten (130)

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beinhaltet, welche die angefragte Diensteigenschaft aufweisen.

3. Verfahren nach Anspruch 2, ferner Folgendes umfassend:

Bestimmen, dass der Kommunikationstunnel (970) aktualisiert werden soll, und

wobei das Auswählen des zweiten Knotens (940) im System, der die zweite Dienstinstanz hostet, welche die angefragte Diensteigenschaft aufweist, auf der Bestimmung basiert, dass der Kommunikationstunnel (970) aktualisiert werden soll.

4. Verfahren nach Anspruch 3, wobei die Bestimmung, dass der Kommunikationstunnel (970) aktualisiert werden soll, Folgendes beinhaltet:

> erneutes Senden der Suchabfrage an das <sup>20</sup> Dienstregister (440) in bestimmten Intervallen und

Empfangen aktualisierter Suchergebnisse vom Dienstregister (440), wobei die aktualisierten Suchergebnisse einen Hinweis beinhalten, dass der erste Knoten (930) die erste Dienstinstanz, welche die angefragte Diensteigenschaft aufweist, nicht mehr hostet oder dass die erste Dienstinstanz nicht mehr die angefragte Diensteigenschaft aufweist.

 Verfahren nach Anspruch 3, wobei die Bestimmung, dass der Kommunikationstunnel (970) aktualisiert werden soll, mindestens eines der Folgenden beinhaltet:

> Empfangen eines Hinweises vom Dienstregister (440), dass der erste Knoten (930) die erste Dienstinstanz, welche die angefragte Diensteigenschaft aufweist, nicht mehr hostet oder dass die erste Dienstinstanz nicht mehr die angefragte Diensteigenschaft aufweist,

Empfangen einer Nachricht vom Clientgerät (910), dass der erste Knoten (930) nicht erreichbar ist, oder

Empfangen einer Nachricht vom ersten Knoten (930), dass der erste Knoten (930) nicht in der Lage ist, dem Clientgerät (910) den ersten Dienst zur Verfügung zu stellen.

 Verfahren nach Anspruch 3, wobei die Bestimmung, dass der Kommunikationstunnel (970) aktualisiert werden soll, Folgendes beinhaltet:

> Bestimmen, dass ein anderer Knoten im System 55 eine bessere Übereinstimmung für die angefragte Diensteigenschaft ist, und wobei das Auswählen des zweiten Knotens

(940) im System, der die zweite Instanz hostet, welche die angefragte Diensteigenschaft aufweist, auf der Bestimmung basiert, dass ein anderer Knoten im System eine bessere Übereinstimmung für die angefragte Diensteigenschaft ist.

7. Verfahren nach Anspruch 2, ferner Folgendes umfassend:

> Bestimmen einer oder mehrerer Netzwerkverbindungsmetriken für eine Verbindung vom Clientgerät (910) zu bestimmten Knoten der Knoten, die in der Liste eines oder mehrerer Knoten enthalten sind, welche die angefragte Diensteigenschaft aufweisen, und

wobei das Auswählen des ersten Knotens (930) aus der Liste eines oder mehrerer Knoten, welche die angefragte Diensteigenschaft aufweisen, auf der Bestimmung einer oder mehrerer Netzwerkverbindungsmetriken basiert.

8. Computergerät, Folgendes umfassend:

eine Logik, die dafür konfiguriert ist, einen Tunnelbroker (600) auszuführen, der für Folgendes konfiguriert ist:

> Empfangen einer Anfrage von einem Clientgerät (910) nach einem Dienst in einem System, wobei der Dienst eine angefragte Diensteigenschaft aufweist,

Auswählen eines ersten Knotens (930) im System, der eine erste Dienstinstanz hostet, welche die angefragte Diensteigenschaft aufweist,

Einrichten eines Kommunikationstunnels (970) zwischen dem Clientgerät (910) und dem ausgewählten Knoten (930), wobei der Kommunikationstunnel (970) ein erstes Ende am Clientgerät (910) und ein zweites Ende am ersten Knoten (930) beinhaltet, wobei die Logik beim Einrichten des Kommunikationstunnels (970) für Folgendes konfiguriert ist:

> Erzeugen einer Tunnelkennzeichnung, Konfigurieren des Clientgeräts (910) dafür, Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu verkapseln, und

> Konfigurieren des ausgewählten ersten Knotens (930) dafür, Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu verkapseln,

Auswählen eines zweiten Knotens (940) im System, der eine zweite Dienstinstanz hos-

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transparentes Bewegen des zweiten Endes des Kommunikationstunnels (970) vom ersten Knoten (930) zum zweiten Knoten (940) in Bezug auf das Clientgerät (910), wobei die Logik beim Bewegen des zweiten Endes des Kommunikationstunnels (970) vom ersten Knoten (930) zum zweiten Knoten (940) für Folgendes konfiguriert ist:

Konfigurieren des ausgewählten ersten Knotens (930) dafür, das Verkapseln von Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu beenden, und

Konfigurieren des ausgewählten zweiten Knotens (940) dafür, Dateneinheiten mit der erzeugten Tunnelkennzeichnung zu verkapseln.

9. Computergerät nach Anspruch 8, wobei der Tunnelbroker (600) ferner für Folgendes konfiguriert ist:

> Senden einer Suchabfrage, welche die angefragte Diensteigenschaft spezifiziert, an ein Dienstregister, wobei das Dienstregister eine Liste von Diensten beinhaltet, die in einem oder mehreren Knoten des Systems verfügbar sind, Empfangen von Suchergebnissen vom Dienstregister, wobei die Suchergebnisse eine Liste von einem oder mehreren Knoten beinhalten, welche die angefragte Diensteigenschaft aufweisen, und

wobei derTunnelbroker (600) beim Auswählen des ersten Knotens (930) im System, der die erste Dienstinstanz hostet, welche die angefragte Diensteigenschaft aufweist, ferner für Folgendes konfiguriert ist:

Auswählen des ersten Knotens (930) von der Liste eines oder mehrerer Knoten, welche die angefragte Diensteigenschaft aufweisen.

10. Computergerät nach Anspruch 9, wobei der Tunnelbroker (600) ferner für Folgendes konfiguriert ist:

Bestimmen, dass der Kommunikationstunnel (970) aktualisiert werden soll, und

wobei der Tunnelbroker (600) dafür konfiguriert ist, basierend auf der Bestimmung, dass der Kommunikationstunnel (970) aktualisiert werden soll, den zweiten Knotens (940) im System auszuwählen, der die zweite Dienstinstanz hostet, welche die angefragte Diensteigenschaft aufweist.  Computergerät nach Anspruch 10, wobei der Tunnelbroker (600) bei der Bestimmung, dass der Kommunikationstunnel (970) aktualisiert werden soll, ferner für Folgendes konfiguriert ist:

erneutes Senden der Suchabfrage an das Dienstregister in bestimmten Intervallen und Empfangen aktualisierter Suchergebnisse vom Dienstregister, wobei die aktualisierten Suchergebnisse einen Hinweis beinhalten, dass der erste Knoten (930) die erste Dienstinstanz, welche die angefragte Diensteigenschaft aufweist, nicht mehr hostet oder dass die erste Dienstinstanz nicht mehr die angefragte Diensteigenschaft aufweist.

**12.** Computergerät nach Anspruch 10, wobei der Tunnelbroker (600) bei der Bestimmung, dass der Kommunikationstunnel (970) aktualisiert werden soll, ferner für mindestens eines des Folgenden konfiguriert ist:

> Empfangen eines Hinweises vom Dienstregister, dass der erste Knoten (930) die erste Dienstinstanz, welche die angefragte Diensteigenschaft aufweist, nicht mehr hostet oder dass die erste Dienstinstanz nicht mehr die angefragte Diensteigenschaft aufweist,

> Empfangen einer Nachricht vom Clientgerät (910), dass der erste Knoten (930) nicht erreichbar ist. oder

> Empfangen einer Nachricht vom ersten Knoten (930), dass der erste Knoten (930) nicht in der Lage ist, dem Clientgerät (910) den ersten Dienst zur Verfügung zu stellen.

**13.** Computergerät nach Anspruch 10, wobei der Tunnelbroker (600) bei der Bestimmung, dass der Kommunikationstunnel (970) aktualisiert werden soll, ferner für Folgendes konfiguriert ist:

> Bestimmen, dass ein anderer Knoten im System eine bessere Übereinstimmung für die angefragte Diensteigenschaft ist, und

wobei der Tunnelbroker (600) dafür konfiguriert ist, basierend auf der Bestimmung, dass ein anderer Knoten im System eine bessere Übereinstimmung für die angefragte Diensteigenschaft ist, den zweiten Knoten (940) im System auszuwählen, der die zweite Instanz hostet, welche die angefragte Diensteigenschaft aufweist.

#### Revendications

1. Procédé, effectué par un dispositif informatique (920), comprenant :

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40 ner für Folgendes konfigu Bestimmen, dass ein eine bessere Überei

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la réception, par le dispositif informatique (920), d'une demande en provenance d'un dispositif client (910) pour un service dans un système, le service comprenant une propriété de service demandée :

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la sélection, par le dispositif informatique, d'un premier noeud (930) dans le système qui héberge une première instance de service comprenant la propriété de service demandée :

l'établissement, par le dispositif informatique (920), d'un tunnel de communication (970) entre le dispositif client (910) et le premier noeud sélectionné (930), dans lequel le tunnel de communication (970) comprend une première extrémité au dispositif client (910) et une deuxième extrémité au premier noeud (930), dans lequel l'établissement du tunnel de communication (970) comprend :

la génération d'une étiquette de tunnel ; la configuration du dispositif client (910) pour encapsuler des unités de données avec l'étiquette de tunnel générée ; et la configuration du premier noeud sélection-25 né (930) pour encapsuler des unités de données avec l'étiquette de tunnel générée ;

la sélection, par le dispositif informatique (920), d'un deuxième noeud (940) dans le système qui héberge une deuxième instance de service comprenant la propriété de service demandée ; et

le déplacement, par le dispositif informatique (920), de la deuxième extrémité du tunnel de communication (970) du premier noeud (930) 35 au deuxième noeud (940) de manière transparente par rapport au dispositif client (910), dans lequel le déplacement de la deuxième extrémité du tunnel de communication (970) du premier noeud (930) au deuxième noeud (940) 40 comprend :

la configuration du premier noeud sélectionné (930) pour arrêter l'encapsulation d'unités de données avec l'étiquette de tunnel générée ; et

la configuration du deuxième noeud sélectionné (940) pour encapsuler des unités de données avec l'étiquette de tunnel générée.

2. Procédé selon la revendication 1, comprenant en outre :

> l'envoi d'une interrogation de recherche qui spécifie la propriété de service demandée à un re-55 gistre de services (440), dans lequel le registre de services comprend une liste de services disponibles dans un ou plusieurs noeuds (130) du

système ;

ce demandée.

la réception de résultats de recherche provenant du registre de services (440), dans lequel les résultats de recherche comprennent une liste d'un ou plusieurs noeuds (130) comprenant la propriété de service demandée ; et dans lequel la sélection du premier noeud (930) dans le système qui héberge la première instance de service comprenant la propriété de service demandée comprend la sélection du premier noeud (930) dans la liste d'un ou plusieurs noeuds (130) comprenant la propriété de servi-

3 Procédé selon la revendication 2, comprenant en outre :

> la détermination que le tunnel de communication (970) doit être mis à jour ; et

dans lequel la sélection du deuxième noeud (940) dans le système qui héberge la deuxième instance comprenant la propriété de service demandée est basée sur la détermination que le tunnel de communication (970) doit être mis à jour.

4. Procédé selon la revendication 3, dans lequel la détermination que le tunnel de communication (970) doit être mis à jour comprend :

> le renvoi de l'interrogation de recherche au registre de services (440) à des intervalles particuliers ; et

la réception de résultats de recherche mis à jour en provenance du registre de services (440), dans lequel les résultats de recherche mis à jour comprennent une indication que le premier noeud (930) n'héberge plus la première instance de service comprenant la propriété de service demandée ou que la première instance de service ne comprend plus la propriété de service demandée.

5. Procédé selon la revendication 3, dans leguel la détermination que le tunnel de communication (970) doit être mis à jour comprend au moins l'une de :

> la réception d'une indication en provenance du registre de services (440) que le premier noeud (930) n'héberge plus la première instance de service comprenant la propriété de service demandée ou que la première instance de service ne comprend plus la propriété de service demandée :

la réception d'un message en provenance du dispositif client (910) que le premier noeud (930) est injoignable ; ou

la réception d'un message en provenance du

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premier noeud (930) que le premier noeud (930) est incapable de fournir le premier service au dispositif client (910).

 Procédé selon la revendication 3, dans lequel la détermination que le tunnel de communication (970) doit être mis à jour comprend :

> la détermination qu'un autre noeud dans le système est une meilleure correspondance pour la 10 propriété de service demandée ; et dans lequel la sélection du deuxième noeud (940) dans le système qui héberge la deuxième instance comprenant la propriété de service demandée est basée sur la détermination qu'un 15 autre noeud dans le système est une meilleure correspondance pour la propriété de service demandée.

 Procédé selon la revendication 2, comprenant en <sup>20</sup> outre :

> la détermination d'une ou plusieurs métriques de connexion de réseau pour une connexion du dispositif client (910) à des noeuds particuliers parmi les noeuds inclus dans la liste d'un ou plusieurs noeuds comprenant la propriété de service demandée ; et

dans lequel la sélection du premier noeud (930) dans la liste d'un ou plusieurs noeuds comprenant la propriété de service demandée est basée sur l'une ou plusieurs métriques de connexion de réseau déterminées.

8. Dispositif informatique comprenant :

une logique configurée pour mettre en oeuvre un courtier de tunnel (600) configuré pour effectuer :

la réception d'une demande en provenance d'un dispositif client (910) pour un service dans un système, le service comprenant une propriété de service demandée ;

la sélection d'un premier noeud (930) dans <sup>45</sup> le système qui héberge une première instance de service comprenant la propriété de service demandée ;

l'établissement d'un tunnel de communication (970) entre le dispositif client (910) et <sup>50</sup> le premier noeud sélectionné (930),

dans lequel le tunnel de communication (970) comprend une première extrémité au dispositif client (910) et une deuxième extrémité au premier noeud (930), dans lequel, lors de l'établissement du tunnel de communication (970), la logique est en outre configurée pour effectuer : la génération d'une étiquette de tunnel ; la configuration du dispositif client (910) pour encapsuler des unités de données avec l'étiquette de tunnel générée ; et la configuration du premier noeud sélectionné (930) pour encapsuler des unités de données avec l'étiquette de tunnel générée ; la sélection d'un deuxième noeud (940) dans le système qui héberge une deuxième instance de service comprenant la propriété de service demandée ; et

le déplacement de la deuxième extrémité du tunnel de communication (970) du premier noeud (930) au deuxième noeud (940) de manière transparente par rapport au dispositif client (910), dans lequel, lors du déplacement de la deuxième extrémité du tunnel de communication (970) du premier noeud (930) au deuxième noeud (940), la logique est en outre configurée pour effectuer :

la configuration du premier noeud sélectionné (930) pour arrêter l'encapsulation d'unités de données avec l'étiquette de tunnel générée ; et la configuration du deuxième noeud sélectionné (940) pour encapsuler des unités de données avec l'étiquette de tunnel générée.

9. Dispositif informatique selon la revendication 8, dans lequel le courtier de tunnel (600) est en outre configuré pour effectuer :

l'envoi d'une interrogation de recherche qui spécifie la propriété de service demandée à un registre de services, dans lequel le registre de services comprend une liste de services disponibles dans un ou plusieurs noeuds du système ; la réception de résultats de recherche provenant du registre de services, dans lequel les résultats de recherche comprennent une liste d'un ou plusieurs noeuds comprenant la propriété de service demandée ; et

dans lequel, lors de la sélection du premier noeud (930) dans le système qui héberge la première instance de service comprenant la propriété de service demandée, le courtier de tunnel (600) est en outre configuré pour effectuer :

la sélection du premier noeud (930) dans la liste d'un ou plusieurs noeuds comprenant la propriété de service demandée.

**10.** Dispositif informatique selon la revendication 9, dans lequel le courtier de tunnel (600) est en outre configuré pour effectuer :

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la détermination que le tunnel de communication (970) doit être mis à jour ; et

dans lequel le courtier de tunnel (600) est configuré pour effectuer la sélection du deuxième noeud (940) dans le système qui héberge la deuxième instance comprenant la propriété de service demandée sur la base de la détermination que le tunnel de communication (970) doit être mis à jour.

 Dispositif informatique selon la revendication 10, dans lequel, lors de la détermination que le tunnel de communication (970) doit être mis à jour, le courtier de tunnel (600) est en outre configuré pour effectuer :

> le renvoi de l'interrogation de recherche au registre de services à des intervalles particuliers ; et

la réception de résultats de recherche mis à jour en provenance du registre de service, dans lequel les résultats de recherche mis à jour comprennent une indication que le premier noeud (930) n'héberge plus la première instance de service comprenant la propriété de service demandée ou que la première instance de service ne comprend plus la propriété de service demandée.

12. Dispositif informatique selon la revendication 10, 30 dans lequel, lors de la détermination que le tunnel de communication (970) doit être mis à jour, le courtier de tunnel (600) est en outre configuré pour effectuer au moins l'une de :

la réception d'une indication en provenance du registre de services que le premier noeud (930) n'héberge plus la première instance de service comprenant la propriété de service demandée ou que la première instance de service ne comprend plus la propriété de service demandée ; la réception d'un message en provenance du dispositif client (910) que le premier noeud (930) est injoignable ; ou

la réception d'un message en provenance du 45
 premier noeud (930) que le premier noeud (930)
 est incapable de fournir le premier service au dispositif client (910).

13. Dispositif informatique selon la revendication 10, <sup>50</sup> dans lequel, lors de la détermination que le tunnel de communication (970) doit être mis à jour, le courtier de tunnel (600) est en outre configuré pour effectuer :

la détermination qu'un autre noeud dans le système est une meilleure correspondance pour la propriété de service demandée ; et dans lequel le courtier de tunnel (600) est configuré pour effectuer la sélection du deuxième noeud (940) dans le système qui héberge la deuxième instance comprenant la propriété de service demandée sur la base de la détermination qu'un autre noeud dans le système est une meilleure correspondance pour la propriété de service demandée.

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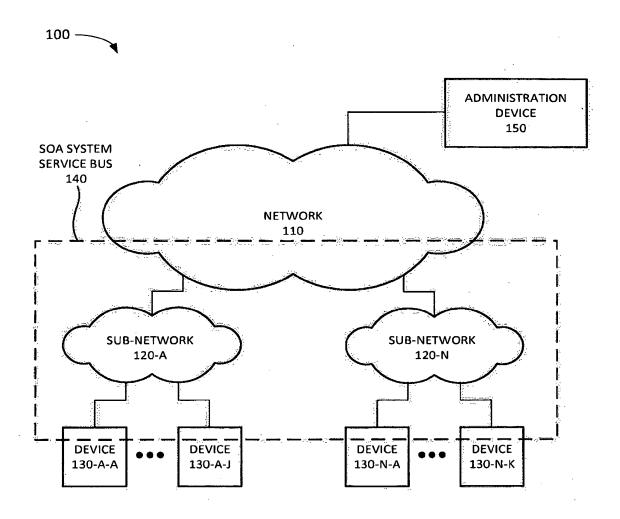
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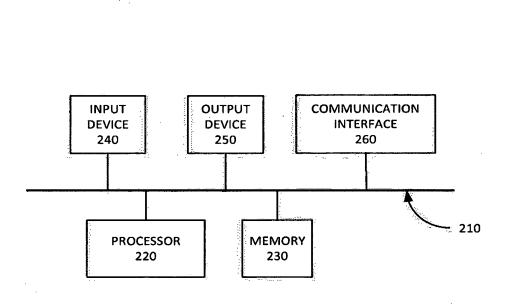
24



## **FIG. 1**

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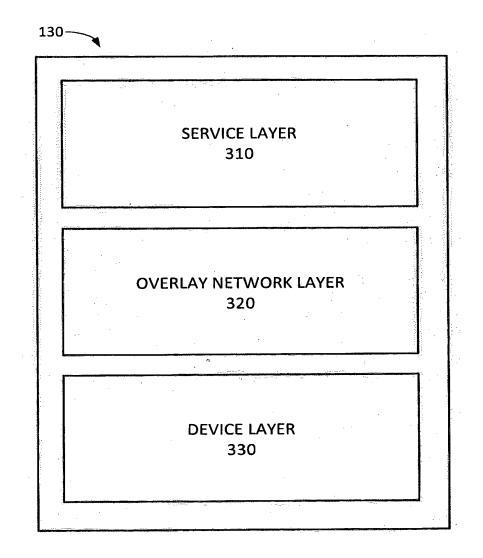


FIG. 3

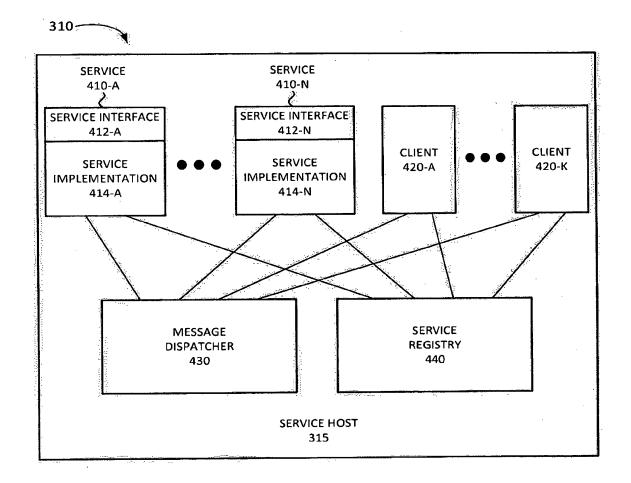
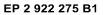


FIG. 4A



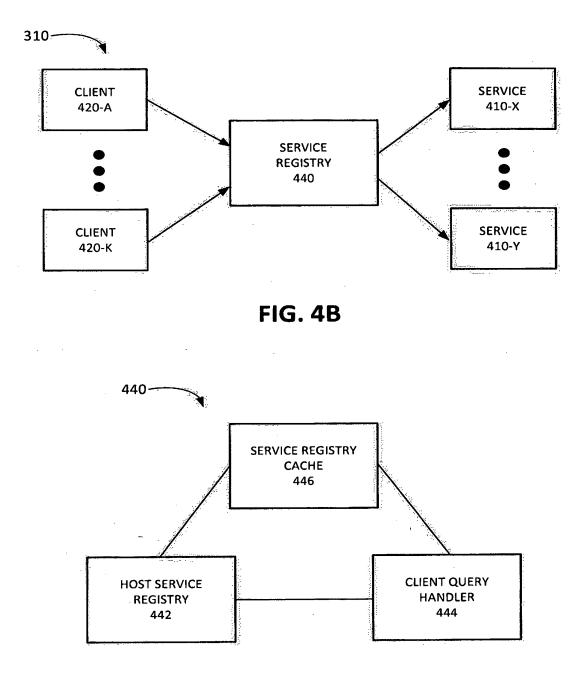


FIG. 4C

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| INSTANCE ID <u>462</u>        | 6529            |
|-------------------------------|-----------------|
| INTERFACE <u>464</u>          | STORAGE SERVICE |
| SERVICE FORMAT <u>468</u>     | JSON            |
| TRANSPORT PROTOCOL <u>470</u> | NODE PROTOCOL   |
| CPU RANKING <u>472</u>        | 20/100          |
| DISK SPACE <u>474</u>         | 1 TB            |
| RAM <u>476</u>                | 2 GB            |

# FIG. 4D

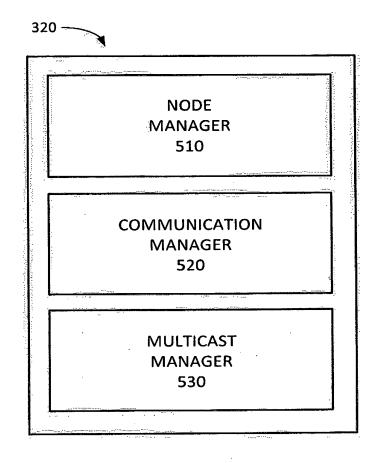


FIG. 5A

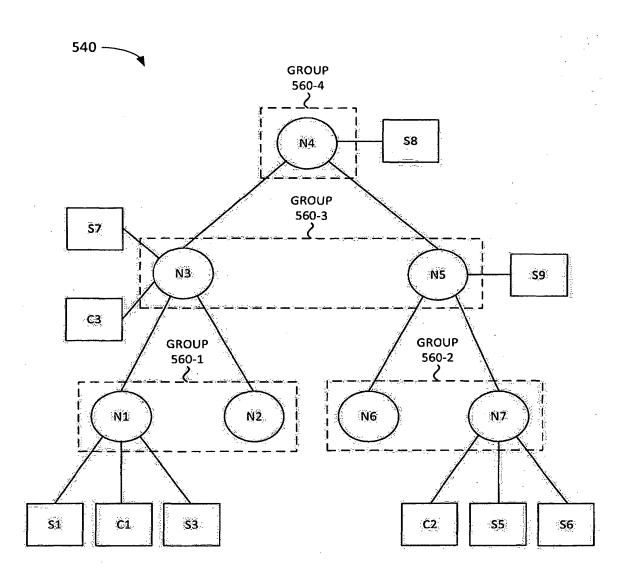
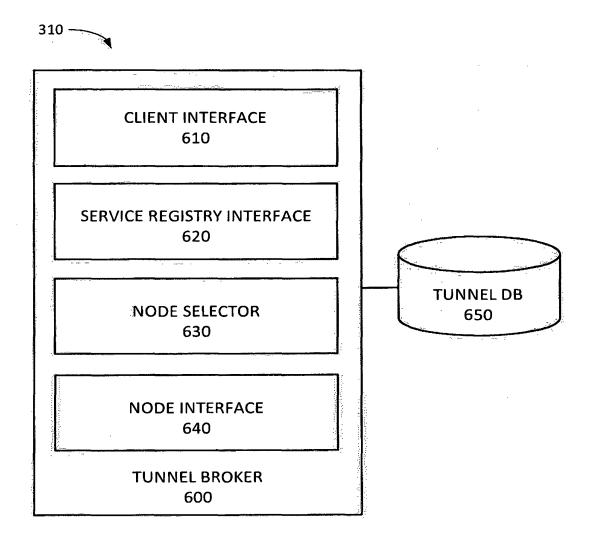


FIG. 5B

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**FIG. 6** 

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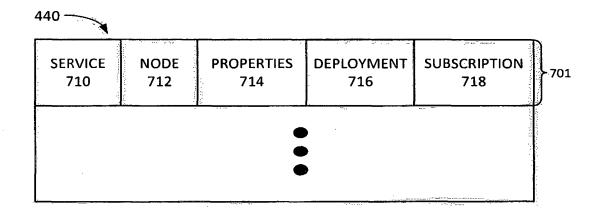


FIG. 7A

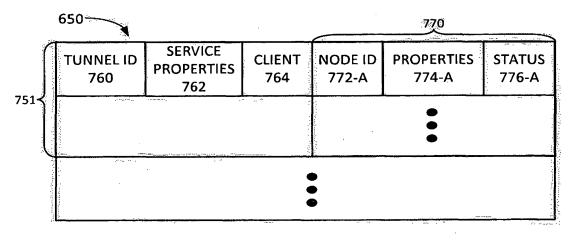
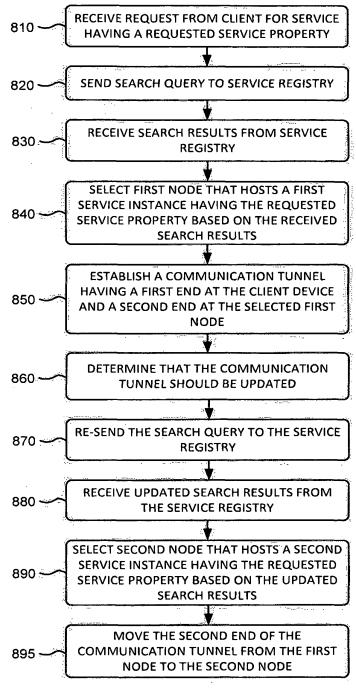


FIG. 7B

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**FIG. 8** 

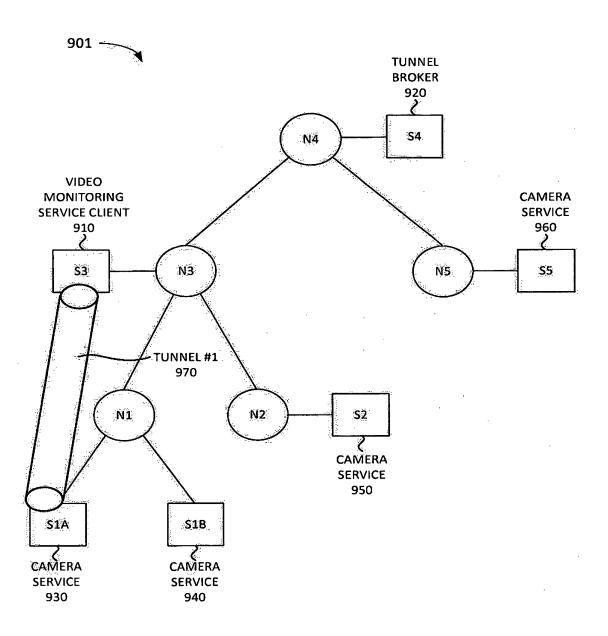


FIG. 9A

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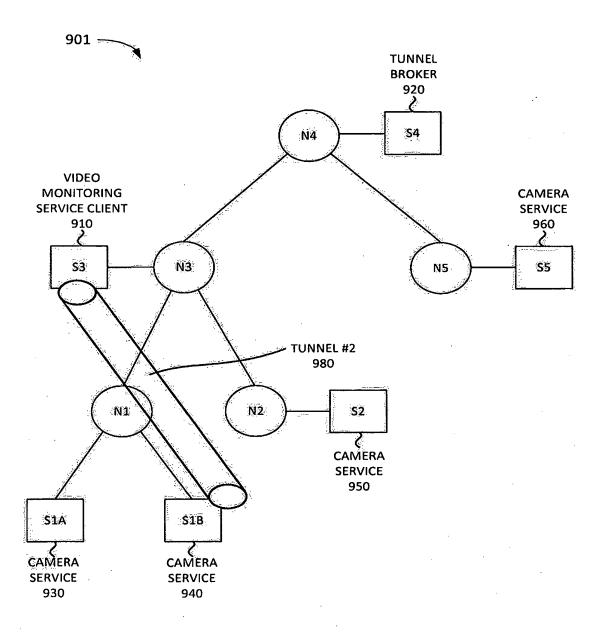


FIG. 9B

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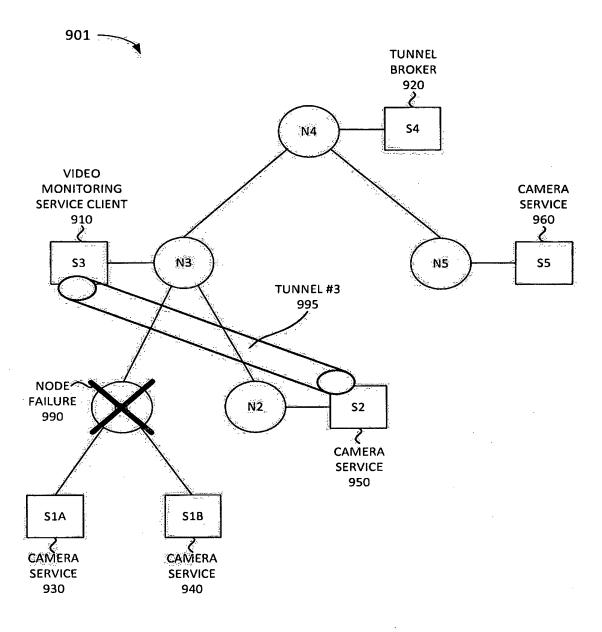


FIG. 9C

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## **REFERENCES CITED IN THE DESCRIPTION**

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## Patent documents cited in the description

• US 7779086 B1 [0003]

• US 2002087707 A1 [0004]

## PATENT COOPERATION TREATY

PCT

## THIRD PARTY OBSERVATION

## (PCT Administrative Instructions Part 8)

| Applicant's or agent's file reference                |   |  |  |  |  |
|--|---|--|--|--|--|
| HOLA-007-PCT   |   |  |  |  |  |
| International application number                     | International filing date (day/month/year)    |  |  |  |  |
| PCT/IL2018/050910                                    | 16 Aug 2018 (16/08/2018)                      |  |  |  |  |
| Applicant  |   |  |  |  |  |
| LUMINATI N   | ETWORKS LTD.                                  |  |  |  |  |
| Third party observation submitted by                 | Observation submitted on behalf of            |  |  |  |  |
| Jurate BREIMELYTE                                    | Teso LT, UAB                                  |  |  |  |  |
| Date of submission(day/month/year)                   | Language of observation                       |  |  |  |  |
| 21 Jun 2019 (21/06/2019)                             | English                                       |  |  |  |  |
| Basis and contents of observation                    |   |  |  |  |  |
| 1. The observation is made on the basis of the clair | ms in the international application as filed. |  |  |  |  |
| 2. The observation comprises:                        |   |  |  |  |  |
| References to documents: 5                           |   |  |  |  |  |
| Uploaded copies of documents: 5                      |   |  |  |  |  |
| 3. Further explanations:                             |   |  |  |  |  |
| Uploaded copies of documents: 0                      |   |  |  |  |  |

## Citation # 1(Other) (# uploaded documents:1):

| Juation # T(Other) (# uploaded documents   | • • / /•  |
|--|---|
| Identification of Document:  | Publication Date:   |
| the Internet Engineering Task Force draft  | 02 Apr 1999 (02/04/1999)  |
| documents IPv6 Tunnel Broker   |   |
| Link to document:  |   |
| https://datatracker.ietf.org/doc/html/draft-ietf-ngt   | rans-broker-00.txt  |
| DOI:   |   |
| Most relevant passages or drawings:  | Relevant to Claims:   |
| Appendix section A.3 User, Tunnel and Tunnel   | 1-15  |
| Server management  |   |
| Brief explanation of relevance:  |   |
| <ul> <li>use further is an identical setup described in the IPv6 Tunnel Broker" which had 6 versions official 1999 and which later became RFC 3053 dated witems which were too obvious were removed from several versions of this document which we believe claims of this patent application.</li> <li>Draft 0 describes the "tunnel server database" (A Server management"). This part of the prior art of as filed, the method of sending, receiving inform , the same method was already described in the having</li> <li>established that tunnel broker (hereinafter - TB) referred as TS) acts as tunnel device it becomes to the information contained in prior art document</li> </ul>   | urther present. The main source of prior art we will<br>Internet Engineering Task Force draft documents "<br>ally released starting from the version 00 dated April<br>January 2001. As the draft language evolved certain<br>m it and new ideas were added to it so we will use<br>eve best illustrate the prior art relevant to specific<br>Appendix section A.3 "User, Tunnel and Tunnel<br>documents is relevant to the Claims 2-15. In Claim 2<br>ation between tunnel devices is disclosed. However<br>relevant prior art. Analyzing this claim and already<br>acts as first server and tunnel server (hereinafter<br>s obvious that the main idea of this claim is identical |
| IPv4   |   |
| tunnel devices is obtained is irrelevant. It is obvious receiving a message with tunnel devices' data is The same section of the mentioned prior art sour section A.3 "User, Tunnel and Tunnel Server may which may be stored in tunnel server database at the present patent application). Claim 14 is obvious Claim 1. If the first and second messages would would not be able to identify the web server to would not be a | the time, the actual means how information about<br>ous for a person skilled in the art, that sending and<br>a very straightforward way to get that information.<br>arce is relevant to Claims 3-15. The Appendix<br>anagement" indicates some of the possible fields<br>about each tunnel server (same to Claim 3, 8, 10 of<br>ous and does not constitute any practical addition to<br>not contain a content identifier the tunnel device<br>which it needs to send an information request.<br>dent from the other, not new and obvious, claims.  |

## Citation # 2(Other) (# uploaded documents:1):

| Identification of Document:  | Publication Date:  |
|--|--|
| the Internet Engineering Task Force draft  | Jan 2001 (01/2001)   |
| documents IPv6 Tunnel Broker (version RFC  |  |
| 3053)  |  |
| Link to document:  |  |
|  |  |
| https://datatracker.ietf.org/doc/html/rfc3053  |  |
| DOI:   |  |
| Most relevant passages or drawings:  | Relevant to Claims:  |
| Section 2-4  | 1-15   |
| Brief explanation of relevance:  |  |
| Claim 1 should not be accepted because it is no  | ot new and is obvious compared to the relevant prior   |
| art. The prior art document Internet Engineering   | Task Force draft documents IPv6 Tunnel Broker (  |
|  | scribes the general operation of "Tunnel broker" (   |
|  |  |
| hereinafter referred as TB) infrastructure.  |  |
|  | s in the prior art and Claim 1 of the present patent   |
| application, it is clear that both documents desc  | ribe the same invention. In the prior art document,  |
| the TB acts as the first server (see section 2.1 "   | Tunnel Broker"), dual-stack node acts as a second  |
| server, tunnel server (hereinafter - TS) acts as   | a tunnel device (see section 2.2 "Tunnel server").   |
|  | ent, the client can function as a standalone host or   |
|  | on skilled in the art that client device according to  |
| -  | _  |
| this claim is any device connected to a standald   |  |
| Analyzing all the steps of the claim in such setu  |  |
| <ul> <li>It is obvious that the client device sends a req</li> </ul>   | uest message to the second server with the identifie   |
| of the content which it receives.  |  |
| <ul> <li>The second server sends the first message to</li> </ul>   | the first server which it receives (first part of section  |
|  |  |
|  |  |
|  | le at least the following information")  |
| The first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server selects a tunnel device from the first server server selects a tunnel device from the first server se                | le at least the following information")<br>ne list of tunnel devices and sends a second  |
| <ul> <li>The first server selects a tunnel device from the<br/>message using the selected IP address of the selected IP address o</li></ul> | le at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i   |
| <ul> <li>The first server selects a tunnel device from the<br/>message using the selected IP address of the second part of section 2.3 "Using the Tunned</li> </ul>  | le at least the following information")<br>ne list of tunnel devices and sends a second  |
| <ul> <li>The first server selects a tunnel device from the<br/>message using the selected IP address of the second part of section 2.3 "Using the Tunne<br/>follows")</li> </ul>   | le at least the following information")<br>he list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as   |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the second part of section 2.3 "Using the Tunne follows")</li> <li>The remaining steps are not described in the labeled second part of second part of section 2.3 "Using the Tunne follows"</li> </ul>   | le at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of  |
| <ul> <li>The first server selects a tunnel device from the<br/>message using the selected IP address of the second part of section 2.3 "Using the Tunne<br/>follows")</li> </ul>   | le at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of  |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the second part of section 2.3 "Using the Tunner follows")</li> <li>The remaining steps are not described in the the prior art source "Figure 1: The Tunnel Broket</li> </ul>  | le at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of<br>er model" and the purpose of the tunnel itself (a   |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the set the second part of section 2.3 "Using the Tunner follows")</li> <li>The remaining steps are not described in the the prior art source "Figure 1: The Tunnel Broker reference in section 2 "Tunnel broker model"). Figure 1: The Tunnel Broker reference in section 2 "Tunnel broker model").</li> </ul>  | le at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of<br>er model" and the purpose of the tunnel itself (a<br>Prior art document describes: "Tunnel brokers can b  |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the second part of section 2.3 "Using the Tunner follows")</li> <li>The remaining steps are not described in the lathe prior art source "Figure 1: The Tunnel Broker reference in section 2 "Tunnel broker model"). Fiseen as virtual IPv6 ISPs, providing IPv6 conner the provident of the provident of the term of the provident of the term of the provident of the term of the term of the term of term of the term of the term of term of term of term of term of term of the term of</li></ul> | te at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of<br>er model" and the purpose of the tunnel itself (a<br>Prior art document describes: "Tunnel brokers can b<br>ectivity to users already connected to the IPv4   |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the second part of section 2.3 "Using the Tunner follows")</li> <li>The remaining steps are not described in the latter prior art source "Figure 1: The Tunnel Broker reference in section 2 "Tunnel broker model"). If seen as virtual IPv6 ISPs, providing IPv6 conner Internet". Another relevant section is 4 "Use of the terms of the section is 4 "Use of the terms of the terms of the terms of the terms.</li> </ul>   | te at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of<br>er model" and the purpose of the tunnel itself (a<br>Prior art document describes: "Tunnel brokers can b<br>ectivity to users already connected to the IPv4<br>the tunnel broker concept in other areas", which   |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the second part of section 2.3 "Using the Tunner follows")</li> <li>The remaining steps are not described in the latter prior art source "Figure 1: The Tunnel Broker reference in section 2 "Tunnel broker model"). If seen as virtual IPv6 ISPs, providing IPv6 conner Internet". Another relevant section is 4 "Use of the describes "The Tunnel Broker approach might be the section of the termination of the termination.</li> </ul>   | te at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of<br>er model" and the purpose of the tunnel itself (a<br>Prior art document describes: "Tunnel brokers can b<br>ectivity to users already connected to the IPv4   |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the second part of section 2.3 "Using the Tunner follows")</li> <li>The remaining steps are not described in the latter prior art source "Figure 1: The Tunnel Broker reference in section 2 "Tunnel broker model"). If seen as virtual IPv6 ISPs, providing IPv6 conner Internet". Another relevant section is 4 "Use of the describes "The Tunnel Broker approach might the and manage any other kind of tunnel)".</li> </ul>  | te at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of<br>er model" and the purpose of the tunnel itself (a<br>Prior art document describes: "Tunnel brokers can be<br>activity to users already connected to the IPv4<br>the tunnel broker concept in other areas", which<br>be efficiently exploited also to automatically set-up |
| <ul> <li>The first server selects a tunnel device from the message using the selected IP address of the set the second part of section 2.3 "Using the Tunner follows")</li> <li>The remaining steps are not described in the latter prior art source "Figure 1: The Tunnel Broker reference in section 2 "Tunnel broker model"). If seen as virtual IPv6 ISPs, providing IPv6 conner Internet". Another relevant section is 4 "Use of the describes "The Tunnel Broker approach might be and manage any other kind of tunnel)".</li> </ul>   | te at least the following information")<br>ne list of tunnel devices and sends a second<br>selected tunnel device which it receives (described i<br>el Broker: The TB manages the client requests as<br>RFC in details but are obvious from figure 1 of<br>er model" and the purpose of the tunnel itself (a<br>Prior art document describes: "Tunnel brokers can b<br>ectivity to users already connected to the IPv4<br>the tunnel broker concept in other areas", which   |

| Country code:                      | Publication num | ber:              |   |                       | Docu                | ment kind code:             |
|------------------------------------|-----------------|-------------------|---|-----------------------|---------------------|-----------------------------|
| US                                 | 20130080        | 575               |   |                       | A                   | .1                          |
| Patent Applicant/Patent 0          | Owner:          |                   | Title   | of invention:         |                     |                             |
| Cloudflare Inc.                    |                 | C                 | Distributing transmission of requests across multiple |                       |                     |                             |
|                                    |                 | ip                | addresses of a p                                      | roxy ser              | ver in a cloud-base |                             |
| Link to document:                  |                 |                   |   |                       |                     |                             |
| Publication Date: Filing Date:     |                 |                   |   | Priority D            | ate:                |                             |
| 28 Mar 2013 (28/03/2013) 27 Sep 20 |                 | )11 (             | 27/09/2011)   |                       |                     |                             |
| Source of Abstract:                | Accessio        | Accession number: |   | Publication Date of A | bstract:            | Retrieval Date of Abstract: |

## Citation # 3 (Patent/utility model) (# uploaded documents: 1):

| Most relevant passages or drawings:                        | Relevant to Claims: |
|--|---------------------|
| Paras. 15, 16-20, 26-28, 39, 46, 77, 90, 29-31, 73-75, 85- | 1, 2-6, 14          |
| 87, 98, 99, Fig. 1 and 5, Claims.                          |                     |

Brief explanation of relevance:

The claims of the current patent application are not new neither involve an inventive step. Identical method for content fetching is disclosed in the patent application US20130080575(A1) (hereinafter - '575). The publication discloses a method for fetching a first content over the Internet identified by a first content identifier by disclosing fetching "network resources" from origin servers, where the content may include "HTML pages, images, word processing documents, PDF files, movie files, music files, or other computer files." See, e.g., '575 publication, ¶¶ 26, 27; see also id.¶¶ 15, 17 (" requested content"), 18 (same), 19, also Claim 1. The '575 publication discloses the content is identified in requests from client, for instance in HTTP requests sent to proxy server. See id.¶¶ 45 ( client request includes parameters such as "the type of requested content"), 46, 27, 39; Fig. 1. Identical content fetching method is disclosed in the current patent application claim 1. The '575 publication discloses sending the first identifier to the first server by disclosing that client sends its IP address to DNS system when resolving a proxy server that will retrieve content from the original server. See, e.g., '575 publication, ¶¶ 15, 77 ("client device requests an IPv4 address for example.com (thus, the client device is an IPv4 enabled client)"), 90 (same); Figs. 5 (step 510), 6 ( step 610).

The '575 publication discloses sending a first request to the first server by disclosing that client sends a request to DNS system to provide a proxy server that will retrieve content from original server. See, e.g., '575 publication, ¶¶ 15, 77 ("client device 110A requests an IPv4 address for example.com"), 90 (same); Figs. 5 (step 510), 6 (step 610).

The '575 publication discloses receiving the second identifier from the first server by disclosing that client receives an IP address of server from DNS system in response to client's request for an address of a proxy. See, e.g., '575 publication, ¶¶ 15, 28 77 ("DNS system 140 returns to the client device 110A an IPv4 address that is mapped to the record for the requested domain. The IPv4 address is an address of the proxy server 120."), 90 (same); Figs. 5 (step 512), 6 (step 612). The '575 publication discloses sending a second request to the second device using the second identifier, the second request including the first content identifier and the third identifier, by disclosing client sends a request to proxy server identified by DNS system. The request includes identification of the content type and the domain of origin server. See, e.g., '575 publication, ¶¶ 15, 28, 37, 45 ("a proxy server receives an incoming request from a client device and extracts a set of one or more parameters related to the request. For example, the set of parameters may include one or more of the following: the source IP address of the incoming request, the requested domain (e.g. as indicated in the Host header of an HTTP request) of the incoming request, the source port of the incoming request, cookie(s) of the incoming request, session identifier(s) of the incoming request (if different than the cookie(s)), the type of requested content (e.g., HTML, image, video, etc.), or any combination thereof.") (emphasis added), 78, 91 (same); Figs. 5 (step 514), 6 (step 614). 614). Prior art '575 [in Claim 1] discloses selecting a first one of a plurality of IP addresses of a same

protocol type of the proxy server for use as a source IP address for a second packet that carries an outgoing request, and transmitting a second packet that hosts the identified resource. A similar message are described in claim 14 comprising the content identifiers of the present application. The '575 publication discloses the feature or receiving content, by disclosing that the selected proxy server sends the content retrieved from origin server in response to the requesting client. See, e.g., '575 publication, ¶¶ 16-20, 29-31, 73-75, 85-87, 98, 99; Figs. 5 (step 530), 6 (step 630). And similarly to Claim 5 of the present application, '575 discloses [para. 78, 81, 88, 94, 100] that the IPv4 TCP connection may already be established between the proxy server and the origin server ( e.g., if a single TCP connection is used for multiple requests, which may be sent from multiple, different, client devices). In Such cases, the operation may be skipped and the existing IPv4 TCP connection may be used. '575 teaches about using TCP connection: para. 78, 81, 88, 94, 100] "the client device and the proxy server establish a TCP connection. This TCP connection is referred herein as an IPv4 TCP connection since the client device initiates the TCP connection with the IPv4 address of the proxy server. After the TCP connection is established, at operation, the client device transmits an IPv4 packet that includes a resource request (e.g.an HTTP request) to the IPv4 address of the proxy server.

## Citation # 4 (Patent/utility model) (# uploaded documents: 1):

| Country code:   | Publication number: |           |              | Docu                | ument kind code:                              |           |                                     |                      |
|---|---------------------|-----------|--------------|---------------------|---|-----------|-------------------------------------|----------------------|
| US  | 7788378             |           |              |                     |   | - E       | 32                                  |                      |
| Patent Applicant/Patent Owner:  |                     |           | Title        | Title of invention: |   |           |                                     |                      |
| Microsoft Techno  | ology               | Licensing | g LLC        | A                   | Apparatus and method for community relay node |           |                                     | community relay node |
|   |                     |           |              | d                   | iscovery                                      |           |                                     |                      |
| Link to document:   |                     |           |              |                     |   |           |                                     |                      |
| Publication Date:   |                     |           | Filing Date: |                     |   |           | Priority D                          | Date:                |
| 26 Oct 2006 (26/  | 10/20               | 06)       | 22 Apr 20    | 05 (2               | 22/04/20                                      | 05)       |                                     |                      |
| Source of Abstract:   |                     | Accessio  | n number:    |                     | Publication                                   | Date of A | e of Abstract: Retrieval Date of Ab |                      |
| Most relevant passages  | or drav             | /ings:    |              |                     | 1   | Releva    | nt to Claim                         | IS:                  |
| claim 1, col 1, ln  | 45-60               | );        |              |                     |   | 1, ₹      | 5, 9                                |                      |
| <ul> <li>Brief explanation of relevance:</li> <li>US7788378B2 discloses [col 1, In 45-60] a method of discovering a community relay node within a network community wherein the community relay node is operatively coupled to an access-protected client and adapted to facilitate communication between the access-protected client and adapted to facilitate communication between the access-protected client and a requesting client. It teaches about receiving a request message from a requesting clien relating to a request for a community relay node, associating the request message with a serverless name resolution protocol name, selecting a community relay node from among a list of community relay nodes based on the serverless name resolution protocol name, wherein the list of community relay node, and returning an internet protocol address of the selected community relay node to the requesting client.</li> <li>US7788378B2 teaches in claim 1, about the request message sent and received by the client, selecting a community relay node, returning an internet protocol address to the requesting client. That is identical to Claim 1 in the present application. US7788378B2 teaches that by establishing a connection between the two clients, the community relay node may subsequently relay communications between the first and second clients. Similarly, already established communication is described in Claim 5 of the present application.</li> </ul> |                     |           |              |                     |   |           |                                     |                      |

## Citation # 5 (Patent/utility model) (# uploaded documents: 1):

| Country code:   | Publication number: |           |                     | Docu | iment kind code: |           |            |                             |
|---|---------------------|-----------|---------------------|------|------------------|-----------|------------|-----------------------------|
| US  | 20090216887         |           |                     |      |                  |           | <b>\1</b>  |                             |
| Patent Applicant/Patent Owner:  |                     |           | Title of invention: |      |                  |           |            |                             |
| Alcatel Lucent SA   | ١S                  |           |                     | N    | lethod of e      | stablis   | hing a co  | onnection                   |
| Link to document:   |                     |           |                     |      |                  |           |            |                             |
| Publication Date:   |                     |           | Filing Date:        |      |                  |           | Priority D | ate:                        |
| 27 Aug 2009 (27   | /08/20              | 009)      | 09 Dec 20           | ) 80 | 09/12/20         | 08)       | 13 D       | ec 2007 (13/12/2007)        |
| Source of Abstract:   |                     | Accession | n number:           |      | Publication      | Date of A | Abstract:  | Retrieval Date of Abstract: |
| Most relevant passages or drawings:<br>Claims 5 and 7   |                     |           | s:                  |      |                  |           |            |                             |
| Brief explanation of relevance:<br>US20090216887A1 teaches in Claim 5, that when choosing a relay candidate establishing a connection between a first peer in a P2P network, one or more direct connections that are already established in the peer-to-peer network can be re-used for the relayed connection between the first peer and the second peer. A use of already established communication is described in Claim 11 of the current application.<br>US20090216887A1 in Claim 7 teaches about method of establishing a connection, comprising the step of: sending, by the first peer, a relay discovered message comprising a peer identifier of the relay peer to the second peer; sending, by the second peer; a relayed connection request comprising connection information of the second peer to the relay peer; a relayed connection response comprising connection information of the relay peer to the second peer; and |                     |           |                     |      |                  |           |            |                             |
| establishing a direct connection between the second peer and the relay peer if no direct connection between the second peer and the relay peer is already established.  |                     |           |                     |      |                  |           |            |                             |

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|---|--|
| Applicant's or agent's file reference<br>HOLA-007-PCT         | REPLY DUE see paragraph 1 below  |
| International application No.<br>PCT/IL2018/050910            | International filing date ( <i>day/month/year</i> )<br>16 August 2018 (16.08.2018) |

Applicant

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Internet Engineering Task Force INTERNET DRAFT Authors Alain Durand (IMAG) Paolo Fasano (CSELT) Ivano Guardini (CSELT) Domenico Lento (CSELT)

2 April 1999 Expires 1 October 1999

IPv6 Tunnel Broker <<u>draft-ietf-ngtrans-broker-00.txt</u>>

Status of Memo

This document is an Internet-Draft and is in full conformance with all provisions of <u>Section 10 of RFC2026</u>.

This document is an Internet-Draft. Internet-Drafts are working documents of the Internet Engineering Task Force (IETF), its areas, and its working groups. Note that other groups may also distribute working documents as Internet-Drafts.

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The list of current Internet-Drafts can be accessed at <a href="http://www.ietf.org/ietf/lid-abstracts.txt">http://www.ietf.org/ietf/lid-abstracts.txt</a>

The list of Internet-Draft Shadow Directories can be accessed at <a href="http://www.ietf.org/shadow.html">http://www.ietf.org/shadow.html</a>.

Abstract

The IPv6 global Internet as of today is mostly build using tunnels over the existing IPv4 infrastructure. Those tunnels are difficult to configure and maintain in a large scale environment. The 6bone has proven that large sites and ISPs can do it, but this process is too complex for the isolated end user who already has an IPv4 connection and would like to enter the IPv6 world. The motivation for the development of the tunnel broker model is to help the early IPv6 adopters to hook up to the 6bone and to provide them stable, permanent IPv6 addresses and DNS names. The concept of the tunnel broker was first presented at Orlando's IETF in December 1998. Two implementations were demonstrated in Grenoble IPng & NGtrans interim meeting.

Internet Draft

#### 1. Introduction

The growth of IPv6 networks started mainly using the transport facilities offered by the current Internet. This fact brought to the development of several techniques to manage IPv6 over IPv4 tunnels. At present most of the 6bone networks is built using manual tunneling over the Internet. The main drawback of this approach is the overwhelming management load for network administrators, who have to perform heavy configuration operations for each tunnel. Several attempts to reduce this management overhead have been proposed [1-3]. Nevertheless all of them present drawbacks that prevent from wide usage:

- [1] was introduced to use automatic tunnels with IPv4 compatible addresses. This approach does not solve the address exhaustion problem of IPv4. Also there is a great fear to include the complete IPv4 routing table in the IPv6 one and just making the routing table size problem worse by multiplying it by 5.
- [2] is the 6over4 mechanism. This is a site local mechanism to use IPv4 multicast as a layer 2 media. It does not solve the problem to connect an isolated user to the global IPv6 Internet.
- [3] is the 6to4 mechanism to embed IPv4 tunnel addresses into IPv6 prefixes to automatically discover tunnel endpoints. Some important technical issues such as source address selection and global routing are currently debated in the IETF. But the main difference are in the premises of the two approaches: 6to4 consider that isolated sites are to be dynamically connected in the absence of native IPv6 infrastructure and tunnel brokers consider the pre-existence of a large IPv6 global network.

This document presents an alternative approach based on the provision of Tunnel Brokers (TBs) to automatically manage tunnel requests coming from the users. This approach is expected to be useful to stimulate the growth of IPv6 interconnected hosts and to allow to early IPv6 network providers the provision of easy access to their IPv6 networks. <u>Section 2</u> provides an overall description of the Tunnel Broker Model; <u>section 3</u> reports known limitations to the model; <u>section 4</u> addresses security issues. A first implementation of the Tunnel Broker service is described in Appendix to this document.

#### 2. Tunnel Broker Model

Tunnel brokers can be seen as virtual IPv6 ISP, providing IPv6 connectivity to users already connected to the IPv4 Internet. In the global IPv6 Internet it is expected that many tunnel brokers will be available and the user will just have to pick one. The list of the tunnel brokers should be referenced on a "well known" web page on <a href="http://www.ipv6.org">http://www.ipv6.org</a> to allow users to choose the "closest" one, the "cheapest" one, or any other one.

The tunnel broker model is based on a set of functional elements depicted in figure 1.

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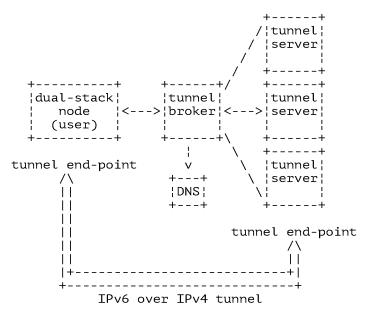


Figure 1: the Tunnel Broker model

### 2.1 Tunnel Broker

The TB is a place where users connect to register and activate tunnels. The TB manages tunnels creation, modification and deletion on behalf of the users. It shares the load of tunnel end-points on the network side among potentially several tunnel servers. It sends configuration orders to the relevant tunnel server when tunnels are to be created or modified. The TB also register the user in the DNS.

### 2.2 Tunnel server

A tunnel server is a dual stack (IPv4 & IPv6) router connected to the global Internet. Upon configuration order from the tunnel broker, it creates, modifies or deletes the half part of the tunnel toward the user. It can also maintain some statistics on the usage of the tunnels.

#### 2.3 Using the Tunnel Broker

The client of the service is a dual-stack IPv6 node (host or router) connected to Internet. Approaching the TB, the client must provide the following information:

- the IPv4 address of the client side of the tunnel
- a nickname to be used for the registration in the DNS of the global IPv6 addresses assigned to both sides of the tunnel
- the client function (i.e. standalone host or router)

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Besides, if the client machine is an IPv6 router willing to provide geographical connectivity to several IPv6 hosts, the client should be required to provide also some information about the amount of IPv6 addresses required. This allows the TB to allocate to the client an IPv6 subnet well fit to his needs instead of a single IPv6 address. Otherwise an IPv6 prefix of pre-defined length should be assigned to any client acting as an IPv6 router. The TB manages the client requests as follows:

- it first designates (e.g. according to some load sharing criteria defined by the network administrator) a Tunnel Server to be used as the actual tunnel end-point at the network side;
- it chooses the IPv6 prefix (/64 or /48) to be used;
- it fixes a lifetime for the tunnel;
- it configures the network side of the tunnel;
- it registers tunnel end-points addresses in the DNS;
- it prepares activation and de-activation scripts to be run on the client machine for easy configuration of the client side.

Then the TB sends back configuration information to the user, including tunnel parameters and DNS names. The lifetime of the IPv6 addresses are supposed to be relatively long and potentially longer than the lifetime of the IPv4 connection of the user. This will allow the user to get semipermanent IPv6 addresses and associated DNS names even though he is connected to the Internet via a dial-up link and get dynamically his IPv4 addresses by DHCP.

There are many technical alternatives to realize the interactions among the various entities in the tunnel broker model. The communication protocol used between the TB and the user could be based on SNMP, on an extension of DHCPv6, on an ad-hoc protocol or even on just some web forms filled up by the user. In a similar way, the communication protocol used between the TB and the tunnel servers is also implementation dependant. It could be some simple RSH commands, SNMP or an ad-hoc protocol specially designed or something else. Finally the Dynamic DNS Update protocol [4] should be used for automatic DNS update (i.e. to add or delete AAAA, A6 and PTR records from the DNS zone reserved for tunnel broker users) controlled by the TB. A simple alternative would be for the TB to use a small set of RSH commands to dynamically update the direct and inverse databases on the authoritative DNS server for the tunnel broker users zone (e.g. broker.isp-name.com).

### 2.4 Open issues

Real usage of the TB service may require to introduce accounting/ billing functions.

### 3. Known limitations

This mechanism may not work if the user is using private IPv4 addresses behind a NAT box.

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## 4. Security Considerations

The TB service raises several security issues. All interactions between the functional elements of the proposed architecture need to be secured, i.e.:

- the interaction between the client and TB;
- the interaction between the TB and the Tunnel Server;
- the interaction between the TB and the DNS.

Furthermore, if the client chooses to run the configuration scripts provided by TB, these scripts must be executed as root. The security techniques adopted for each of the required interaction is dependent on the implementation choices. For the client - TB interaction, the usage of http allows the exploitation of standard secure http features (SSL, S- HTTP). If e-mail exchanges are used standard mechanisms to secure e-mail can be used (PGP, PEM). For the interactions that use SNMP, the security issues are basically the same as those of securing SNMP. Otherwise if RSH commands are used standard IPsec mechanisms may apply. If the TB - DNS server interaction is a dynamic DNS update procedure, the security issues are the same discussed in [5] Finally TBs may face denial of service attack. They must implement some sort of protection against this.

# 5. Acknowledgments

Some of the ideas refining the tunnel broker model came from discussion with Perry Metzger and Marc Blanchet.

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- 7. Author's addresses

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# Appendix Implementation Example

This appendix describes an early implementation of the TB service developed at CSELT, based on widely available communication tools. The basic communications between the clients and the TB run over http. The client uses a browser and can access a WWW Server providing the TB service interface. This interface offers two different hyperlinks, one for the new users and another for the registered users.

The new user has to provide some identification data (Name, Company and e-mail address) and a nickname to be used as:

- the username to login as registered user
- the name identifying the user in the DNS database

This information is submitted to the TB with a POST method. The TB starts the user configuration procedure and sends back an e-mail to the user providing a password for accessing the registered user pages and the name registered in the DNS database.

The registered user has the possibility to create a new tunnel, to view tunnel information, to change tunnel parameters and to remove an established tunnel (only one active tunnel per user is allowed). To create a new tunnel, the user has to provide some additional information:

- the IPv4 address of the user-side tunnel end-point (the TB pre-fill this field using http carried browser information);
- the 0.S./IPv6 implementation used;
- if the user end-point of the tunnel will be on a host or router.

If the user requests to use a router as tunnel end-point a new form is pushed to the user asking:

- motivation;
- life-time.

Then the user submit this information to the TB and the tunnel configuration procedure takes place.

A registered user who has already set-up a tunnel can view a display of the following tunnel parameters:

- Server IPv4 Address \_
- Server IPv6 Address Server IPv6 Link Local Addr
- Client IPv4 Address Client IPv6 Address
- Client IPv6 Link Local Addr
- Expiration Date

The user can also modify the Client IPv4 Address if this is changed, can

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extend the tunnel life-time a day before the Expiration date and can delete the tunnel anytime. The communication between the client and the TB may be secured using SSL (access to the TB using the https scheme).

# A.1 User configuration procedure

When the TB receives a request of registration by a new user, it operates as follows:

- uses the nickname to build a name identifying that user in the DNS system;
- updates an internal user database;
- sends an e-mail back to the user.

# A.2 Tunnel configuration procedure

Once a registered user asked for the creation of a tunnel providing all the required information the TB first checks if the user requested to terminate the tunnel on a router or on a host. If the user choice was a router the request is put in a pending state and managed administratively: the administrator of the TB has the possibility to accept or refuse the motivations and lifetime indicated by the user. If the user choice was a host the TB acts automatically as follows:

- verifies if resources are available to set-up a new tunnel (otherwise puts the user request in a pending state and go to step viii);
- selects a Tunnel Server from the list of available Tunnel Servers on the basis of simple number-of-tunnels balancing criteria;
- iii) selects an IPv6 prefix to be used for assigning IPv6 addresses to the tunnel end-points;
- iv) sets an Expiration Date for the tunnel (default 7 days);
- v) configures the Tunnel Server;
- vi) updates the DNS server;
- vii) prepares activation and de-activation scripts for tunnel configuration on the user side;
- viii) pushes to the user browser a new page displaying the results of the tunnel request: if OK the new page displays tunnel parameters and hyperlinks to the activation and de-activation scripts.

The user who receives positive acknowledgment can then execute (downloading the scripts or not) the activation script to configure the user side of the tunnel. There is still the possibility for a user that do not want to run the configuration scripts or that has an IPv6 implementation not supported by the TB to set up his/her end-point of the tunnel manually. At the end of this procedure the user is IPv6 connected and identified by his/her own name in the DNS.

A similar procedure is performed when the user selected a router as tunnel end-point and the Administrator accepted the request.

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## A.3 User, Tunnel and Tunnel Server management

The TB maintains three databases, one for users, one for active tunnels and the last one for Tunnel Servers. The User database has one entry for each user of the service; each entry has the following fields:

- Username
- Password
- DNS entry
- Firstname
- Lastname
- Company
- Country
- E-Mail
- Has Tunnel (yes/not for active tunnel)
- Tunnel Count (number of tunnel creation performed by the user)

The Tunnel database has one entry for each active tunnel; each entry has the following fields:

- Identifier
- Owner
- User IPv4 Address
- Server IPv4 Address
- User Global IPv6 Address
- Server Global IPv6 Address
- User Link Local Address
- Server Link Local Address
- User OS Type
- Creation Date
- Expiration Date
- Standalone
- Manual

The Tunnel Server database has one entry for each Tunnel Server; each entry has the following fields:

- Identifier
- IPv4
- IPv6
- OS
- Use TBSP
- Used Standalone Tunnels
- Max Standalone Tunnels
- Used Router Tunnels
- Max Router Tunnels

The TB manages the service updating these databases. An Administrator Interface gives to the TB manager a full control (add, modify and remove any time) over users, tunnels and Tunnel Servers.

In order to access to the administrative web pages, the TB administrator has to log as Registered User using the administrative username and

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password. The page presented to the administrator contains hyperlinks to the following sections:

- Administrator Profile Change
- User Administration
- Tunnel Server Administration
- Tunnel Administration

The Administrator Profile Change lets the administrator to change his password.

The User Administration section, once selected, allows the administrator to interact with the User database in order to list the database content or delete an entry in the database. If the administration deletes an entry with an associated tunnel, the tunnel is released.

The Tunnel Server Administration section allows the administrator to manage the data contained in the Tunnel Server database. The page presented to the TB superuser contains hyperlinks to the following subsections:

- Tunnel Server List (the content of the Tunnel Server database is displayed with the relevant informations);
- Add Tunnel Server (this hyperlink allows the insertion of a new Tunnel Server; the administrator is asked for the Tunnel Server informations as described in the previous section);
- Modify Tunnel Server (this subsection is used by the administrator to change the information of a Tunnel Server, e.g. Max Standalone Tunnels);
- Delete Tunnel Server (causes the removal of the selected Tunnel Server entry from the Tunnel Server database; the tunnels managed by this Tunnel Server are released).

The Tunnel Administration section is used to perform tunnel management. The page presented to the administrator contains hyperlinks to the following subsections:

- Tunnel List (the content of the Tunnel database is displayed to the administrator)
- Manual Setup (allows the TB superuser to setup manually a tunnel)
- Release (causes the release of the selected tunnel)
- Change Parameters (allows the update of the data associated to a tunnel)
- Pending Router Request (displays the list of the user requests for a tunnel towards a router; two hyperlinks are associated to each entry allowing the administrator to accept or refuse the request).

# A.4 Modularity

The Tunnel Broker implements a plugin-like mechanism for adding support for new Tunnel Servers or client operating systems without modifying the TB scripts or breaking the service. To achieve this result the scripts

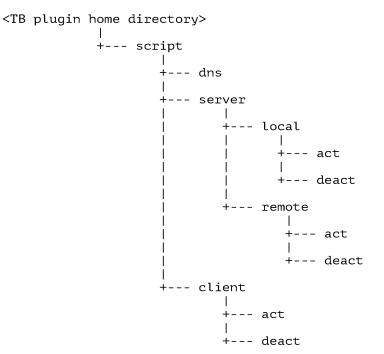
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has to follow a predefined template and are kept in a plugin directory checked at every request for a new tunnel. This implies that the list of supported Tunnel Servers and client OSs is built dynamically, based on the content of the plugin directory.

# A.4.1 Script directory structure

The scripts for interacting with users, Tunnel Servers and DNS are stored in a plugin directory structured as following:



The scripts have to be inserted in the proper subdirectory accordingly with their functionality (eg. a Tunnel Server activation script for a remote Tunnel Server in inserted in directory <TB home>/script/server/remote/act).

This tree is scanned by the CGI program every time a user requests scripts for tunnel activation/deactivation and at the insertion of a new Tunnel Server for building the appropriate list of supported OSes.

# A.4.2 Client scripts

Client scripts are used to help a TB user to configure his/her own host. In order to support a new client architecture, a TB adminstrator has to provide both activation and deactivation scripts for the selected configuration. These scripts must include the following keywords, that

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Scripts follow a naming convention:

- activation and deactivation scripts must ave the same name
- scripts filename has the structure <OS-StackType>.<extension> (eg. PERL scripts for FreeBSD hosts using INRIA IPv6 implementation could have as filename FreeBSD-INRIA.pl); the <OS-StackType> name is used as the name displayed in the user interface selection list.

will be replaced with proper values for the specific user request:

- \_ipv4client\_ for the client IPv4 address;
- \_ipv4server\_ for server IPv4 address; \_ipv6client\_ for the client global IPv6 address; \_ipv6server\_ for the server global IPv6 address;
- \_ipv6llclient\_ for the client link local address;
- ipv6llserver for the server link local address.

Every time a TB user interacts with the TB web pages in order to download the activation/deactivation scripts, the CGI provides keywords substitution with the correct values stored in the TB database.

### Server scripts A.4.3

Server scripts are used to both setup and release an IPv6 over IPv4 tunnel on a tunnel server. In order to support a new Tunnel Server, a TB administrator has to provide both activation adn deactivation script for the new platform. These scripts are invoked by the CGI program at every tunnel setup or release. The following parameters are passed to the script :

<tunnel type> (could assume the values 'standalone' or 'router') <client IPv4 address> <server IPv4 address> <client global IPv6 address>
<server global IPv6 address>
<client local link address> <server local link address>.

The executed script has to return the value 0 on success and -1 on failure.

### A.4.4 DNS scripts

DNS scripts are used to interact with the DNS in order to update its resolution tables. All parameters specific to the DNS (IP address, software, file structure, etc.) and the interaction mode between the TB and the DNS are embedded within the DNS scripts and do not affect other TB scripts. The TB uses a script called 'dns\_act' to add a new entry in the DNS database and a script named 'dns\_deact' to remove a host entry

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from the DNS tables. Both scripts are invoked by passing two parameters:

<host name> <global IPv6 address>.

The executed script has to return the value 0 on success and -1 on failure.

A.5 CSELT's Tunnel Broker location

The TB service is up and running at: https://carmen.cselt.it/ipv6tb

The software implementing the TB is freely available at: <a href="http://carmen.cselt.it/ipv6/download">http://carmen.cselt.it/ipv6/download</a>

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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|  | First Named Inventor | Derry | Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2459          |  |
|  | Examiner Name        | Nguye | en, Minh Chau |  |
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|                       | 5                                      | 20070050522 A1 2007-03-01 Adam J. Grove  |   |            |                            |               |                     |  |         |           |             |            |
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|  | Examiner Name        | Nguye | en, Minh Chau |  |
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| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-07-01 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73612      |

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- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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| U.S. Patent and      | i rademark Offi | ce; U.S. DEP    | ARTMENT C   | JF GOMINERGE   |
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| PÆ  | TENT APPLI                                 | CATIO                              | N FEE       |             | ERMINATION                                  |                  |              | U.S. Patent and Trader<br>ond to a collection of informa<br>on or Docket Number<br>16/278,106 | tion unless it displays a<br>Filing Date<br>02/17/2019 | a valid OMB control nur |
|---|--|------------------------------------|-------------|-------------|---|------------------|--------------|---|--|-------------------------|
|   |  |                                    |             |             | APPLIC                                      | ATION AS FI      | LED - PA     |   | large 🗹 SN   |                         |
|   | -07  |                                    |             | Column 1    | <u> </u>                                    | (Column 2)       |              |   | •  |                         |
| -   | FOR<br>BASIC FEE                           |                                    | NU          | MBER FI     | _ED   | NUMBER EXTRA     |              | RATE (\$)   |  | FEE (\$)                |
|   | (37 CFR 1.16(a), (b), c                    | or (c))                            |             | N/A         |   | N/A              |              | N/A   |  |                         |
| _   | SEARCH FEE<br>(37 CFR 1.16(k), (i), or     |                                    |             | N/A         |   | N/A              |              | N/A   |  |                         |
|   | EXAMINATION FEE<br>(37 CFR 1.16(o), (p), c |                                    |             | N/A         |   | N/A              |              | N/A   |  |                         |
| 37 C  | AL CLAIMS<br>FR 1.16(i))                   |                                    |             | mir         | nus 20 = *                                  |                  |              | x \$50 =  |  |                         |
|   | EPENDENT CLAIM<br>FR 1.16(h))              | S                                  |             | m           | inus 3 = *                                  |                  |              | x \$230 =   |  |                         |
| If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). |  |                                    |             |             |   | (\$155<br>or     |              |   |  |                         |
| ULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))  |  |                                    |             |             |   |                  |              |   | _  |                         |
| t tr  | e difference in co                         | olumn 1 is                         | less th     | an zero,    | enter "0" in colu                           | imn 2.           |              | TOTAL   |  |                         |
|   |  |                                    |             |             | APPLICAT                                    | ION AS AME       | NDED - F     | PART II   |  |                         |
|   |  | (Colun                             | nn 1)       |             | (Column 2)                                  | (Column 3        | 3)           |   |  |                         |
| AMENDMENI   | 07/01/2019                                 | CLAIMS<br>REMAIN<br>AFTER<br>AMEND | ling        |             | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT EX       | (TRA         | RATE (\$)   | ADDIT  | IONAL FEE (\$)          |
|   | Total<br>(37 CFR 1.16(i))                  | * 23                               |             | Minus       | ** 24                                       | = 0              |              | x \$50 =  |  | 0                       |
|   | Independent<br>(37 CFR 1.16(h))            | * 1                                |             | Minus       | *** 3                                       | = 0              |              | x \$230 =   |  | 0                       |
|   | Application S                              | Size Fee                           | (37 CFF     | R 1.16(s)   | )   |                  |              |   |  |                         |
| -   | FIRST PRES                                 | SENTATI                            | ON OF       | MULTIF      | LE DEPENDEN                                 | T CLAIM (37 CI   | FR           |   |  |                         |
|   |  |                                    |             |             |   |                  |              | TOTAL ADD'L FE  | E  | 0                       |
|   |  | (Colun                             |             |             | (Column 2)                                  | (Column 3        | 3)           |   |  |                         |
|   |  | CLAII<br>REMAII<br>AFTE<br>AMEND   | NING<br>ER  |             | HIGHEST<br>NUMBER<br>PREVIOUSLY<br>PAID FOR | PRESENT EX       | (TRA         | RATE (\$)   | ADDIT  | IONAL FEE (\$)          |
|   | Total<br>(37 CFR 1.16(i))                  | *                                  |             | Minus       | **  | =                |              | x \$0 =   |  |                         |
|   | Independent<br>(37 CFR 1.16(h))            | *                                  | Minus *** = |             |   | x \$0 =          |              |   |  |                         |
|   | Application §                              | Size Fee                           | (37 CFF     | R 1.16(s)   | )   | •                |              |   |  |                         |
| ſ   |  |                                    |             |             | LE DEPENDEN                                 | T CLAIM (37 CI   | FR           |   |  |                         |
| _   | 14//                                       |                                    |             |             |   |                  |              | TOTAL ADD'L FE  | E  |                         |
| lf t  | he entry in column 1                       | l is less th                       | an the er   | ntry in col | umn 2, write "0" in                         | column 3.        |              | HSLIE   |  |                         |
|   | the "Highest Numbe                         |                                    |             |             |   |                  | )".          | /TRACIE V HA  | ARGROVE/   |                         |
|   | the "Highest Numb                          |                                    |             |             |   |                  |              |   |  |                         |
| ie  | "Highest Number P                          | reviously F                        | Paid For"   | (Total or   | Independent) is th                          | e highest number | found in the | e appropriate box in colu   | mn 1.  |                         |

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Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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| INFORMATION DISCLOSURE   | Application Number   |       | 16278106      |  |
|--|----------------------|-------|---------------|--|
|  | Filing Date          |       | 2019-02-17    |  |
|  | First Named Inventor | Derry | Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2459          |  |
|  | Examiner Name        | Nguye | en, Minh Chau |  |
|  | Attorney Docket Numb | er    | HOLA-005-US9  |  |

|                      |   |                        |                           | U.S.                    | PATENTS   | _                                 | Remove                         |                                |
|----------------------|---|------------------------|---------------------------|-------------------------|---|-----------------------------------|--------------------------------|--------------------------------|
| Examiner<br>Initial* | Cite<br>No  | Patent Number          | Kind<br>Code <sup>1</sup> | Issue Date              | Name of Patentee or Applicant of cited Document | Columns,<br>nt Passag<br>s Appear | Lines where<br>ges or Relevant |                                |
|                      | 1   | 9177157                | B2                        | 2015-11-03              | Ye <b>huda</b> Binder                           |                                   |                                |                                |
| If you wish          | n to add a  | additional U.S. Paten  | t citatio                 | n information p         | lease click the Add button.                     |                                   | Add                            |                                |
|                      |   | 1                      | U.S.P                     | ATENT APPLI             | CATION PUBLICATIONS                             |                                   | Remove                         |                                |
| Examiner<br>Initial* | zaminer Cite No Publication   Kind Publication   Name of Patentee of Applicant   Relevant |                        |                           |                         |   |                                   |                                | Lines where<br>ges or Relevant |
|                      | 1   | 20070142036            | A1                        | 2007-06-21              | Johan Wikman                                    |                                   |                                |                                |
|                      | 2   | 20090248793            | A1                        | 2009-10-01              | Sanny Jacobsson                                 |                                   |                                |                                |
|                      | 3   | 20130080575            | A1                        | 2013-03-28              | Matthew Browning Prince                         |                                   |                                |                                |
|                      | 4   | 20110066924            | A1                        | 2011-03-17              | Gregory Dorso                                   |                                   |                                |                                |
|                      | 5   | 20120246273            | A1                        | 2012-0 <del>9</del> -27 | Claudson F. Bornstein                           |                                   |                                |                                |
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# INFORMATION DISCLOSURE Application Number 16278106 Filing Date 2019-02-17 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name Nguyen, Minh Chau Attorney Docket Number HOLA-005-US9

| Examiner<br>Initial*  | Cite<br>No | Foreign Document<br>Number <sup>3</sup> | Country<br>Code²i         | Kind<br>Code⁴ | Publication<br>Date | Name of Patentee or<br>Applicant of cited<br>Document | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear | <b>T</b> 5 |
|-----------------------|------------|---|---------------------------|---------------|---------------------|---|---|------------|
|                       | 1          | 2004094980                              | wo                        | A2            | 2004-11-04          | FONTIJN, Wilhelmus, F.,<br>J. et al                   |   |            |
| If you wish           | n to ac    | d additional Foreign F                  | Patent Docume             | ent citation  | information p       | lease click the Add butto                             | n Add   | 1          |
|                       |            |   | NON-PA                    | TENT LITE     | RATURE DO           | CUMENTS   | Remove  |            |
| Examiner<br>Initials* | Cite<br>No |   | rnal, serial, sy          | mposium,      | catalog, etc),      | the article (when approp<br>date, pages(s), volume-is |   | T5         |
|                       | 1          | Third-party submission                  | under 37 CFR 1            | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. N                           | No. 16/140,749  |            |
|                       | 2          | Third-party submission                  | under 37 CFR 1            | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. N                           | No. 16/140,785  |            |
|                       | 3          | Third-party submission                  | under 37 CFR 1            | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. N                           | No. 16/214,433  |            |
|                       | 4          | Third-party submission                  | under 37 CFR 1            | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. N                           | No. 16/214,451  |            |
|                       | 5          | Third-party submission                  | under 37 CFR ′            | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. N                           | No. 16/214,476  |            |
|                       | 6          | Third-party submission                  | under 37 CFR <sup>2</sup> | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. N                           | No. 16/214,496  |            |
|                       | 7          | Third-party submission                  | under 37 CFR 1            | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. N                           | No. 16/292,363  |            |

|                        | Application Number     |       | 16278106      |
|------------------------|------------------------|-------|---------------|
|                        | Filing Date            |       | 2019-02-17    |
| INFORMATION DISCLOSURE | First Named Inventor   | Derry | Shribman      |
| STATEMENT BY APPLICANT | Art Unit               |       | 2459          |
|                        | Examiner Name          | Nguye | en, Minh Chau |
|                        | Attorney Docket Number |       | HOLA-005-US9  |

|            | 8   | Third-   | party submission under 37 CFR 1.290 filed on July 22, 2019 and entered in U.S. Appl. No. 16/292,364  |  |  |  |  |  |
|------------|---|--|--|--|--|--|--|--|
|            | 9   | Third-   | party submission under 37 CFR 1.290 filed on July 23, 2019 and entered in U.S. Appl. No. 16/292,374  |  |  |  |  |  |
|            | 10  | Third-   | arty submission under 37 CFR 1.290 filed on July 23, 2019 and entered in U.S. Appl. No. 16/292,382   |  |  |  |  |  |
|            | 11  | Third-party submission under 37 CFR 1.290 filed on July 25, 2019 and entered in U.S. Appl. No. 16/365,250  |  |  |  |  |  |  |
|            | 12  | Third-   | party submission under 37 CFR 1.290 filed on July 25, 2019 and entered in U.S. Appl. No. 16/365,315  |  |  |  |  |  |
|            | 13  | 'Slice Embedding Solutions for Distributed Service Architectures" - Esposito et al., Boston University, 02/12/2011<br>http://www.cs.bu.edu/techreports/pdf/2011-025-slice-embedding.pdf (Year 2011) (16 pages) |  |  |  |  |  |  |
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| Standard S | T.3). <sup>3</sup> F<br>ocument   | For Japa<br>by the a   | D Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO nese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ppropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if n is attached. |  |  |  |  |  |

|  | Application Number     |       | 16278106      |  |
|--|------------------------|-------|---------------|--|
|  | Filing Date            |       | 2019-02-17    |  |
| INFORMATION DISCLOSURE   | First Named Inventor   | Derry | Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit               |       | 2459          |  |
|  | Examiner Name          | Nguye | en, Minh Chau |  |
|  | Attorney Docket Number |       | HOLA-005-US9  |  |

# **CERTIFICATION STATEMENT**

| Please see | 37 CFR | 1.97 and | 1.98 to make | the appropriate | selection(s): |
|------------|--------|----------|--------------|-----------------|---------------|
|------------|--------|----------|--------------|-----------------|---------------|

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

# OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

 $\times$  The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-08-06 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73612      |

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| Electronic Patent Application Fee Transmittal |   |                            |          |        |                         |  |  |
|---|---|----------------------------|----------|--------|-------------------------|--|--|
| Application Number:                           | 16  | 278106                     |          |        |                         |  |  |
| Filing Date:                                  | 17.   | -Feb-2019                  |          |        |                         |  |  |
| Title of Invention:                           | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |                            |          |        |                         |  |  |
| First Named Inventor/Applicant Name:          | Derry Shribman  |                            |          |        |                         |  |  |
| Filer:  | Ye  | Yehuda Binder/Dorit Binder |          |        |                         |  |  |
| Attorney Docket Number:                       | HOLA-005-US9  |                            |          |        |                         |  |  |
| Filed as Small Entity                         |   |                            |          |        |                         |  |  |
| Filing Fees for Utility under 35 USC 111(a)   |   |                            |          |        |                         |  |  |
| Description                                   |   | Fee Code                   | Quantity | Amount | Sub-Total in<br>USD(\$) |  |  |
| Basic Filing:                                 |   |                            |          |        |                         |  |  |
| Pages:  |   |                            |          |        |                         |  |  |
| Claims:                                       |   |                            |          |        |                         |  |  |
| Miscellaneous-Filing:                         |   |                            |          |        |                         |  |  |
| Petition:                                     |   |                            |          |        |                         |  |  |
| Patent-Appeals-and-Interference:              |   |                            |          |        |                         |  |  |
| Post-Allowance-and-Post-Issuance:             |   |                            |          |        |                         |  |  |
| Extension-of-Time:                            |   |                            |          |        |                         |  |  |

| Description                             | Fee Code | Quantity | Amount | Sub-Total in<br>USD(\$) |
|---|----------|----------|--------|-------------------------|
| Miscellaneous:                          |          |          |        |                         |
| SUBMISSION- INFORMATION DISCLOSURE STMT | 2806     | 1        | 120    | 120                     |
|   | Tot      | (\$)     | 120    |                         |
|   |          |          |        |                         |

| Electronic Acl                       | Electronic Acknowledgement Receipt                            |  |  |  |  |  |
|--------------------------------------|---|--|--|--|--|--|
| EFS ID:                              | 36792356  |  |  |  |  |  |
| Application Number:                  | 16278106  |  |  |  |  |  |
| International Application Number:    |   |  |  |  |  |  |
| Confirmation Number:                 | 3397  |  |  |  |  |  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |  |  |  |  |
| First Named Inventor/Applicant Name: | Derry Shribman  |  |  |  |  |  |
| Customer Number:                     | 131926  |  |  |  |  |  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |  |  |  |  |  |
| Filer Authorized By:                 | Yehuda Binder   |  |  |  |  |  |
| Attorney Docket Number:              | HOLA-005-US9  |  |  |  |  |  |
| Receipt Date:                        | 06-AUG-2019   |  |  |  |  |  |
| Filing Date:                         | 17-FEB-2019   |  |  |  |  |  |
| Time Stamp:                          | 11:19:40  |  |  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                   |  |  |  |  |  |

# Payment information:

| Submitted with Payment                                | yes   |
|---|---|
| Payment Type  | DA  |
| Payment was successfully received in RAM              | \$120   |
| RAM confirmation Number                               | E201986B20040352  |
| Deposit Account                                       |   |
| Authorized User                                       |   |
| The Director of the USPTO is hereby authorized to cha | rge indicated fees and credit any overpayment as follows: |

| Document<br>Number<br>1<br>Warnings: | Document Description                           | File Name                               | File Size(Bytes)/                            | 8414                |                     |
|--------------------------------------|--|---|--|---------------------|---------------------|
|                                      |  |   | Message Digest                               | Multi<br>Part /.zip | Pages<br>(if appl.) |
|                                      |  |   | 435335                                       |                     |                     |
| Warnings:                            | Other Reference-Patent/App/Search<br>documents | 16140749-Third-party-<br>submission.pdf | 3db83767eb028fa7aea6bcf59843d845bdcf<br>a539 | no                  | 5                   |
|                                      |  |   | 4  |                     |                     |
| Information:                         |  |   |  |                     |                     |
|                                      |  |   | 397514                                       |                     |                     |
| 2                                    | Other Reference-Patent/App/Search<br>documents | 16140785-Third-party-<br>submission.pdf | 52aeb6d4b21b368b9e4ef8b4816cd34ede<br>858a4e | no                  | 5                   |
| Warnings:                            |  |   | -  | 1                   |                     |
| Information:                         |  |   |  |                     |                     |
|                                      |  |   | 400551                                       |                     |                     |
| 3                                    | Other Reference-Patent/App/Search<br>documents | 16214433-Third-party-<br>submission.pdf | dc3cd5a8fc0ee68851c6564c91e4004d36d<br>b1637 | no                  | 5                   |
| Warnings:                            |  |   | - <b>I</b>                                   | 1                   |                     |
| Information:                         |  |   |  |                     |                     |
|                                      |  |   | 413417                                       |                     |                     |
| 4                                    | Other Reference-Patent/App/Search<br>documents | 16214451-Third-party-<br>submission.pdf | b6ac7ba98b0e2f1544cce389a0a17911b01<br>0310c | no                  | 5                   |
| Warnings:                            |  |   |  | 1                   |                     |
| Information:                         |  |   |  |                     |                     |
|                                      |  |   | 394016                                       |                     |                     |
| 5                                    | Other Reference-Patent/App/Search<br>documents | 16214476-Third-party-<br>submission.pdf | bc41f6602e015118dd9e1be9dbdc8f995da<br>fd430 | no                  | 5                   |
| Warnings:                            |  |   | - <b>I</b> I                                 |                     |                     |
| Information:                         |  |   |  |                     |                     |
|                                      |  |   | 399422                                       |                     |                     |
| 6                                    | Other Reference-Patent/App/Search<br>documents | 16214496-Third-party-<br>submission.pdf | d31d47fc339d1d6dac947988a604e1df5b8<br>2be81 | no                  | 5                   |

|              | Other Deference Datast (Accellance)            |   | 410250                                       |    |    |
|--------------|--|---|--|----|----|
| 7            | Other Reference-Patent/App/Search<br>documents | 16292363-Third-party-<br>submission.pdf | 571f452ac0b39b92f309979874d5e19926b<br>2fd80 | no | 5  |
| Warnings:    | ŀ  |   | _ <b>I</b> I                                 |    |    |
| Information: |  |   |  |    |    |
|              |  |   | 374919                                       |    |    |
| 8            | Other Reference-Patent/App/Search<br>documents | 16292364-Third-party-<br>submission.pdf | 33866a9e474fe311f80c730587b9880701ea<br>b460 | no | 4  |
| Warnings:    | ŀ  |   | -  |    |    |
| Information: |  |   |  |    |    |
|              |  |   | 437532                                       |    |    |
| 9            | Other Reference-Patent/App/Search<br>documents | 16292374-Third-party-<br>submission.pdf | 5a8765bc7033926c22556a0201301477d2a<br>d55f3 | no | 5  |
| Warnings:    | - F  |   | - <b>I</b>                                   |    |    |
| Information: |  |   |  |    |    |
|              |  |   | 435095                                       |    |    |
| 10           | Other Reference-Patent/App/Search<br>documents | 16292382-Third-party-<br>submission.pdf | f7598bf172edfdaa05161b1cfefdf1bbccdbe<br>173 | no | 5  |
| Warnings:    |  |   | _ <b>!</b> I                                 |    |    |
| Information: |  |   |  |    |    |
|              |  |   | 398304                                       |    |    |
| 11           | Other Reference-Patent/App/Search<br>documents | 16365250-Third-party-<br>submission.pdf | 1d19eae24a9fc4065d7aa803a7665258029<br>5297e | no | 5  |
| Warnings:    | ł  |   | -1   |    |    |
| Information: |  |   |  |    |    |
|              |  |   | 428698                                       |    |    |
| 12           | Other Reference-Patent/App/Search<br>documents | 16365315-Third-party-<br>submission.pdf | e7925c770e9cca3229ce393a637ad8e77b1<br>3953c | no | 5  |
| Warnings:    |  |   | -  |    |    |
| Information: |  |   |  |    |    |
|              |  |   | 1687423                                      |    |    |
| 13           | Non Patent Literature                          | Slice-Embedding.pdf                     | 5fb1575ca50559d1d278d60059293a3bbad<br>15fa6 | no | 16 |
|              | I  |   |  |    |    |
| Warnings:    |  |   |  |    |    |

| 14  | Foreign Reference                                     | WO2004094980.pdf                       | 879969<br>a7e39f6bf1ca149cf34cc4672a914090a3d9c<br>223 | no    | 19       |  |
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|   |   |  | 1035244  |       |          |  |
| 15  | Information Disclosure Statement (IDS)<br>Form (SB08) | Third-party-submission-005-<br>US9.pdf | 080f6b9e1894e9485c46a2623929d2171fe<br>4bdf2           | no    | 5        |  |
| Warnings:   |   |  |  |       |          |  |
| Information   |   |  |  |       |          |  |
|   |   |  | 30225  |       |          |  |
| 16  | Fee Worksheet (SB06)                                  | fee-info.pdf                           | 80509cc375ab5839177411269c596888af3<br>365e6           | no    | 2        |  |
| Warnings:   |   | <u></u>                                | 4  |       | <u> </u> |  |
| Information   |   |  |  |       |          |  |
|   |   | Total Files Size (in bytes)            | 85   | 57914 |          |  |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.           New Applications Under 35 U.S.C. 111           If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.           National Stage of an International Application under 35 U.S.C. 371           If a timely submission to enter the national stage of an international application is compliant with the conditions of 35           U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.           New International Application is being filed and the international application includes the necessary components for an international Application Security Components for an international Application is being filed and the international application includes the necessary components for an international Application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. |   |  |  |       |          |  |

# Doc Code: 3P.RELEVANCE Document Description: Concise Description of Relevance

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |  |  |  |  |  |  |
|------------|---|--|--|--|--|--|--|
| Applicatio | on Number   | 16140749   |  |  |  |  |  |
|            |   |  |  |  |  |  |  |
| Cite No    | Patent Number   | U.S. PATENTS<br>Concise Description of Relevance |  |  |  |  |  |
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| U.S. PATENT APPLICATION PUBLICATION                         |             |  |  |  |  |  |  |  |  |
|---|-------------|--|--|--|--|--|--|--|--|
| Cite No Publication Concise Description of Relevance Number |             |  |  |  |  |  |  |  |  |
| 1   | 20090248793 | Jacobsson discloses a method of retrieving content from a network (e.g. the Internet (par19)).<br>The content is identified by a content identifier (see e.g.,par.34-35). Also discloses that other<br>metadata may be associated with content and content sources. Jacobsson discloses the<br>selection of the content source from the list of content sources. The selection process can<br>include a optimization/prioritization algorithm that considers the geographic location of the<br>content source (par 5-6, 35-36). Also discloses multiple devices, including clients (peers) and<br>servers that can be content sources (par 23-24,42). The various content sources are identified by<br>public or private IP address (par 35). Jacobsson discloses that requests for content can be sent<br>to any of the content sources (client devices and/or servers). Jacobsson discloses the first device<br>requesting and receiving the identified content (or portions thereof) from the various content<br>sources (web servers and/or client devices)par 53. |  |  |  |  |  |  |  |

| 2 | 20070142036 | Wikman discloses a mobile intermediate device for providing content from a geographically proximate origin device to a geographically remote client device, the mobile intermediate device having a mobile intermediate device address to which content requests are communicated from the client device, and mobile intermediate device upon receipt of a an output, the output arranged to provide content to a remote client device upon receipt of a content request at the input from the remote client device. [0020-0021]Wikman discloses a method of providing content using a mobile intermediate device, the mobile intermediate device for providing content from a geographically proximate origin device to a geographically remote client device, the mobile intermediate device address to which content requests are communicated from the client device. [0024] |
|---|-------------|--|
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| FOREIGN PATENT DOCUMENTS |                            |  |  |  |  |  |
|--------------------------|----------------------------|--|--|--|--|--|
| CiteNo                   | Foreign Document<br>Number | Concise Description of Relevance   |  |  |  |  |
| 1                        | 2004094980                 | Fontijn discloses a method and system of peer-to-peer content transfer over the internet(1:1-5; 2:1-8). The content is identified by specific selection criteria entered by user and transmitted to a server(4:10-19).<br>It is commonly known in the art and disclosed in 4:14-18, that devices in P2P networks request content, and as such, it would be understood that the devices requested the content, and such requests would include an identification of the content and a destination identifier.<br>Fontijn discloses a group consisting of a plurality of P2P devices (Fig. 1; 4:32-5:3). Devices on the network are identified by IP address (2:12-16).<br>Fontijn discloses that a second device is included in the group and selected out of the devices in the group (Fig. 1; 4:32-5:3). The Server can select the device (4:21-22). Fig 1. shows a network of devices and a server, where the server will redirect the transfer of content to [the] device <.> which then provide the content (4:21-22). |  |  |  |  |

| NON-PATENT PUBLICATIONS |           |                                  |  |  |  |
|-------------------------|-----------|----------------------------------|--|--|--|
| Cite No                 | Reference | Concise Description of Relevance |  |  |  |

PTO/SB/429(08-12) Approved for use through 07/31/2015. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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| THIRD-PARTY<br>SUBMISSION | Application Number | 16140749 |  |  |
|---------------------------|--------------------|----------|--|--|
| UNDER 37 CFR 1.290        |                    |          |  |  |

|         | U.S. PATENTS   |                              |                |                           |      |                                  |                  |                  |               |    |
|---------|--|------------------------------|----------------|---------------------------|------|----------------------------------|------------------|------------------|---------------|----|
| Cite No | Patent Number  | Kind<br>Code <sup>1</sup>    | lssue<br>(YYYY | Date<br>'-MM-DD           | )    | First Named Inventor             |                  |                  |               |    |
|         |  |                              |                |                           |      |                                  |                  |                  |               |    |
|         |  | U.S.                         | PATEN          | IT APPLI                  | CAT  | ION PUBLICAT                     | IONS             |                  |               |    |
| Cite No | Cite No Publication Number Kind Publication Date (YYYY-MM-DD)  |                              |                |                           |      |                                  |                  |                  |               |    |
| 1       | 20070142036  | A1                           | 2007-          | 06-21                     |      | Johan Wikman                     |                  |                  |               |    |
| 2       | 20090248793  | A1                           | 2009-          | 10-01                     |      | Sanny Jacobsson                  |                  |                  |               |    |
|         | FOREI  | GN PATENT                    | S AND          | PUBLIS                    | HED  | FOREIGN PATI                     | ENT APPLICATI    | ONS              |               |    |
| Cite No | Foreign Document<br>Number <sup>3</sup>  | Country<br>Code <sup>2</sup> |                | Kind<br>Code <sup>1</sup> |      | lication Date<br>YY-MM-DD)       | Applicant, Pate  | ntee or First Na | amed Inventor | T2 |
| 1       | 2004094980   | wo                           |                | A2                        | 200  | 04-11-04 Wilhelmus F. J. Fontijn |                  |                  |               |    |
|         | NO   | N-PATENT P                   | UBLIC          | ATIONS                    | (e.a | ., journal articl                | e, Office action | )                |               |    |
| Cite No | NON-PATENT PUBLICATIONS (e.g., journal article, Office action)         Author (if any), title of the publication, page(s) being submitted, publication date,       T <sup>5</sup> E <sup>6</sup> Cite No       publisher (where available), place of publication (where available).       E <sup>6</sup> |                              |                |                           |      |                                  |                  |                  |               |    |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16140749 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

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|---|------------------------------------|--|-----------------------------------|------------------------------------|------------------------|-------------------------|-----------------------|
| I   |                                    | STATEM   | IENTS                             |                                    |                        |                         |                       |
| application u   | nder 37 C                          | ubmission is not an individual who has a duty t  | o disclose inforr                 | nation with re                     | espect to              | the abo                 | ve-identified         |
| The fee   | set forth i                        | n 37 CFR 1.290(f) has been submitted herewith.   |                                   |                                    |                        |                         |                       |
| 🔀 the perso   | on signing                         | n 37 CFR 1.290(f) is not required because this su<br>y the statement after making reasonable inquiry<br>above-identified application by the party makir  | /, this submissio                 | n is the first a                   | nd the oi              | nly subm                | ission under 35 U.S.C |
| The correct requests  | ections in<br>that the (           | is being made responsive to a notification of no<br>this resubmission are limited to addressing the<br>Office apply the previously-paid fee set forth in<br>as the undersigned is again making the fee exe | non-complianc<br>37 CFR 1.290(f), | e. As such, th<br>or (2) states th | e party n<br>nat no fe | naking th<br>e is requi | nis resubmission: (1) |
| Signature   |                                    | /Jurate Breimelyte/  |                                   |                                    |                        |                         |                       |
| Name/Print  |                                    | Jurate Breimelyte  | Registration N<br>(if applicable) | umber                              |                        |                         |                       |
| Examiner Sig  | Examiner Signature Date Considered |  |                                   |                                    |                        |                         |                       |
| *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.<br>Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of<br>document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 2. Enter the<br>country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851. 3. For Japanese patent<br>documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known,<br>enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a).<br>5. Check mark indicates translation attached. 6. Check mark indicates evidence of publication attached. |                                    |  |                                   |                                    |                        |                         |                       |

# Doc Code: 3P.RELEVANCE Document Description: Concise Description of Relevance

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |  |
|------------|---|----------------------------------|--|--|--|--|--|
| Applicatio | on Number   | 16140785                         |  |  |  |  |  |
|            |   |                                  |  |  |  |  |  |
|            |   | U.S. PATENTS                     |  |  |  |  |  |
| Cite No    | Patent Number   | Concise Description of Relevance |  |  |  |  |  |
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| U.S. PATENT APPLICATION PUBLICATION |                       |  |  |  |  |
|-------------------------------------|-----------------------|--|--|--|--|
| Cite No                             | Publication<br>Number | Concise Description of Relevance   |  |  |  |
| 1                                   | 20090248793           | In Jacobsson, para. 64 discloses the relationship of client and server by virtue of computer programs running on the respective computers and having a client-server relationship to each other. Claim 9 similarly to the present invention discloses a method for providing content in a network: receiving, at the device and from the first server device, source information that identifies a selected content and obtaining the content using the received source information. Claim 16 further discloses sending a confirmation from the device to the first server device, the confirmation including information about a result of the requests generated by the device. Jacobsson discloses the selection of the content source from the list of content sources. The selection process can include a optimization/prioritization algorithm that considers the geographic location of the content source (paras 5-6, 35-36). This information is sent from the device to the server. |  |  |  |

| 2 | 20070142036 | Wikman (Claim 5, para 21; 67) discloses that a mobile intermediate device is used as a reverse<br>proxy. An ordinary forward proxy is an intermediate server that sits between the client and the<br>origin server. In order to get content from the origin server, the client sends a request to the<br>proxy naming the origin server as the target and the proxy then requests the content from the<br>origin server and returns it to the client. The reverse proxy then decides where to send those<br>requests, and returns the content as if it was itself the origin (para 68). The mobile intermediate<br>device address may be globally or locally unique. (para 65) discloses that the intermediate<br>server, is configured to act as a reverse proxy. The access request can be forwarded by using, for<br>instance, any suitable near field communication technique. |
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| FOREIGN PATENT DOCUMENTS   |  |  |  |  |  |  |  |  |
|--|--|--|--|--|--|--|--|--|
| CiteNo Foreign Document Concise Description of Relevance<br>Number |  |  |  |  |  |  |  |  |
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| NON-PATENT PUBLICATIONS |           |                                  |  |  |  |  |
|-------------------------|-----------|----------------------------------|--|--|--|--|
| Cite No                 | Reference | Concise Description of Relevance |  |  |  |  |

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| THIRD-PARTY<br>SUBMISSION | Application Number | 16140785 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

|         | U.S. PATENTS  |                              |                |                           |     |                            |                |                   |               |            |
|---------|---|------------------------------|----------------|---------------------------|-----|----------------------------|----------------|-------------------|---------------|------------|
| Cite No | Patent Number   | Kind<br>Code <sup>1</sup>    | lssue<br>(YYYY | Date<br>′-MM-DD           | )   | First Named Inventor       |                |                   |               |            |
|         |   |                              |                |                           |     |                            |                |                   |               |            |
|         |   | U.S.                         | PATEN          | IT APPLI                  | CAT | ION PUBLICAT               | IONS           |                   |               |            |
| Cite No | Cite No Publication Number Kind Code <sup>1</sup> (YYYY-MM-DD) First Named Inventor                                 |                              |                |                           |     |                            |                |                   |               |            |
| 1       | 20070142036   | A1                           | 2007-          | 06-21                     |     | Johan Wikman               |                |                   |               |            |
| 2       | 20090248793   | A1                           | 2009-          | 10-01                     |     | Sanny Jacobsson            |                |                   |               |            |
|         | FORE  | GN PATENT                    | S AND          | PUBLIS                    | HED | FOREIGN PATI               | ENT APPLICAT   | IONS              |               |            |
| Cite No | Foreign Document<br>Number <sup>3</sup>   | Country<br>Code <sup>2</sup> |                | Kind<br>Code <sup>1</sup> |     | lication Date<br>YY-MM-DD) | Applicant, Pat | entee or First Na | amed Inventor | <b>T</b> 5 |
|         |   |                              |                |                           |     |                            |                |                   |               |            |
|         | NON-PATENT PUBLICATIONS (e.g., journal article, Office action)  |                              |                |                           |     |                            |                |                   |               |            |
| Cite No | Author (if any), title of the publication, page(s) being submitted, publication date, T <sup>5</sup> E <sup>6</sup> |                              |                |                           |     |                            |                |                   |               |            |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16140785 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

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|---|--|--|-----------------------------------|------------------------------------|------------------------|-------------------------|-----------------------|--|
| I   |  | STATEM   | IFNTS                             |                                    |                        |                         |                       |  |
| application u   | STATEMENTS<br>The party making the submission is not an individual who has a duty to disclose information with respect to the above-identified<br>application under 37 CFR 1.56.<br>This submission complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290. |  |                                   |                                    |                        |                         |                       |  |
| The fee   | set forth i  | n 37 CFR 1.290(f) has been submitted herewith.   |                                   |                                    |                        |                         |                       |  |
| 🔀 the perso   | on signing   | n 37 CFR 1.290(f) is not required because this su<br>y the statement after making reasonable inquiry<br>above-identified application by the party makir  | /, this submissio                 | n is the first a                   | nd the oi              | nly subm                | ission under 35 U.S.C |  |
| The correct requests  | ections in<br>that the (   | is being made responsive to a notification of no<br>this resubmission are limited to addressing the<br>Office apply the previously-paid fee set forth in<br>as the undersigned is again making the fee exe | non-complianc<br>37 CFR 1.290(f), | e. As such, th<br>or (2) states th | e party n<br>nat no fe | naking th<br>e is requi | nis resubmission: (1) |  |
| Signature   |  | /Jurate Breimelyte/  |                                   |                                    |                        |                         |                       |  |
| Name/Print  |  | Jurate Breimelyte  | Registration N<br>(if applicable) | umber                              |                        |                         |                       |  |
| Examiner Sig  | Examiner Signature Date Considered   |  |                                   |                                    |                        |                         |                       |  |
| *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.<br>Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of<br>document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 2. Enter the<br>country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851. 3. For Japanese patent<br>documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known,<br>enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a).<br>5. Check mark indicates translation attached. 6. Check mark indicates evidence of publication attached. |  |  |                                   |                                    |                        |                         |                       |  |

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |  |
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| Applicatio | on Number   | 16214433                         |  |  |  |  |  |
|            |   |                                  |  |  |  |  |  |
|            |   | U.S. PATENTS                     |  |  |  |  |  |
| Cite No    | Patent Number   | Concise Description of Relevance |  |  |  |  |  |
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| U.S. PATENT APPLICATION PUBLICATION |                       |   |  |  |  |
|-------------------------------------|-----------------------|---|--|--|--|
| Cite No                             | Publication<br>Number | Concise Description of Relevance  |  |  |  |
| 1                                   | 20110066924           | Dorso discloses that the user device selects at least one relay peer based on the availability and capabilities of the relay peers. An optimization scheme may be employed to make such a selection (para 20). First user device 105 then contacts each of the potential peers and assesses the potential availability and capabilities of the peers (para 31). It should be appreciated that the factors for the optimization scheme may include, but are not limited to the amount of available resources of the relay peer, the historic reliability of the relay peer, and the length of time the relay peer has been running the protocol (para 32). Claims 3 and 15 of Dorso discloses the computer-implemented method where factors relating to said peer nodes comprises an amount of available resources of said peer nodes, wherein said resources are CPU and bandwidth. |  |  |  |

| 2 | 20130080575 | Prince discloses a cloud-based proxy service provisioned through DNS. The proxy service is<br>available over the Internet and does not require customers to install new hardware or software<br>in order to support the service (para 13) (like in the specification of the present application).<br>The proxy server (which may be identified to the client by a DNS server (e.g., para77)) may<br>perform one or more actions on an incoming request received from a client device: determine<br>whether the request is malformed; determine the type and/or size of the requested content;<br>determine whether the origin server is offline; and determine whether the requested content is<br>available in cache (para17). Responsive to the proxy server receiving a request (e.g., HTTP<br>request) from a client device on an IPv4 connection and determining that the request should be<br>transmitted to a destination origin server using an IPv6 connection, the proxy server transmits<br>that request using the IPv6 connection, or vice versa (para 31). |
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|        | FOREIGN PATENT DOCUMENTS   |                                  |  |  |  |  |
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| CiteNo | Foreign Document<br>Number | Concise Description of Relevance |  |  |  |  |
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|         | NON-PATENT PUB | LICATIONS                        |
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| Cite No | Reference      | Concise Description of Relevance |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16214433 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

|         | U.S. PATENTS  |                              |                |                 |      |                            |                         |                   |               |    |
|---------|---|------------------------------|----------------|-----------------|------|----------------------------|-------------------------|-------------------|---------------|----|
| Cite No | Patent Number   | Kind<br>Code <sup>1</sup>    | lssue<br>(YYYY | Date<br>'-MM-DD | )    | First Named Inventor       |                         |                   |               |    |
|         |   |                              |                |                 |      |                            |                         |                   |               |    |
|         |   | U.S.                         | PATEN          | IT APPLI        | CAT  | ION PUBLICAT               | IONS                    |                   |               |    |
| Cite No | Cite No Publication Number Kind Publication Date Code <sup>1</sup> (YYYY-MM-DD)                                     |                              |                |                 |      |                            |                         |                   |               |    |
| 1       | 20130080575   | A1                           | 2013-          | 03-28           |      | Matthew Browr              | Matthew Browning Prince |                   |               |    |
| 2       | 20110066924   | A1                           | 2011-          | 03-17           |      | Gregory Dorso              |                         |                   |               |    |
|         | FORE  | GN PATENT                    | S AND          | PUBLIS          | HED  | FOREIGN PATI               | ENT APPLICAT            | IONS              |               |    |
| Cite No | Foreign Document<br>Number <sup>3</sup>   | Country<br>Code <sup>2</sup> |                |                 |      | lication Date<br>YY-MM-DD) | Applicant, Pat          | entee or First Na | amed Inventor | T5 |
|         |   |                              |                |                 |      |                            |                         |                   |               |    |
|         | NO  | N-PATENT P                   | UBLIC          | ATIONS          | (e.g | ., journal articl          | e, Office actio         | n)                |               |    |
| Cite No | Author (if any), title of the publication, page(s) being submitted, publication date, T <sup>5</sup> E <sup>6</sup> |                              |                |                 |      |                            |                         |                   |               |    |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16214433 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

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| I   |   | STATEM   | IENTS                             |                                    |                        |                         |                       |  |
| application u   | The party making the submission is not an individual who has a duty to disclose information with respect to the above-identified application under 37 CFR 1.56.<br>This submission complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290. |  |                                   |                                    |                        |                         |                       |  |
| The fee   | set forth i   | n 37 CFR 1.290(f) has been submitted herewith.   |                                   |                                    |                        |                         |                       |  |
| 🔀 the perso   | on signing  | n 37 CFR 1.290(f) is not required because this su<br>y the statement after making reasonable inquiry<br>above-identified application by the party makir  | /, this submissio                 | n is the first a                   | nd the oi              | nly subm                | ission under 35 U.S.C |  |
| The correct requests  | ections in<br>that the (  | is being made responsive to a notification of no<br>this resubmission are limited to addressing the<br>Office apply the previously-paid fee set forth in<br>as the undersigned is again making the fee exe | non-complianc<br>37 CFR 1.290(f), | e. As such, th<br>or (2) states th | e party n<br>nat no fe | naking th<br>e is requi | nis resubmission: (1) |  |
| Signature   |   | /Jurate Breimelyte/  |                                   |                                    |                        |                         |                       |  |
| Name/Print  |   | Jurate Breimelyte  | Registration N<br>(if applicable) | umber                              |                        |                         |                       |  |
| Examiner Sig  | Examiner Signature Date Considered  |  |                                   |                                    |                        |                         |                       |  |
| *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.<br>Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of<br>document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 2. Enter the<br>country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851. 3. For Japanese patent<br>documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known,<br>enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a).<br>5. Check mark indicates translation attached. 6. Check mark indicates evidence of publication attached. |   |  |                                   |                                    |                        |                         |                       |  |

|                    | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |  |
|--------------------|---|----------------------------------|--|--|--|--|--|
| Application Number |   | 16214451                         |  |  |  |  |  |
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|                    |   | U.S. PATENTS                     |  |  |  |  |  |
| Cite No            | Patent Number   | Concise Description of Relevance |  |  |  |  |  |
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| U.S. PATENT APPLICATION PUBLICATION |                       |  |  |  |  |
|-------------------------------------|-----------------------|--|--|--|--|
| Cite No                             | Publication<br>Number | Concise Description of Relevance   |  |  |  |
| 1                                   | 20130080575           | Prince discloses a method for fetching a first content over the Internet identified by a first<br>content identifier by disclosing fetching 'network resources' from origin servers, where the<br>content may include 'HTML pages, images, word processing documents, PDF files, movie files,<br>music files, or other computer files' (paras 26, 27, 15, 17-19 ('requested content'). Prince<br>discloses that the content is identified in requests from client, for instance in HTTP requests sent<br>to proxy server (para 45 (client request includes parameters such as 'the type of requested<br>content'), paras 46, 27, 39; Fig. 1). Prince discloses sending the first identifier to the first server by<br>disclosing the client sends its IP address to DNS system when resolving a proxy server that will<br>retrieve content from original server (paras 15, 77 ('client device 110A requests an IPv4 address<br>for example.com (thus, the client device 110A is an IPv4 enabled client)'), par 90 (same); Figs. 5<br>(step 510), 6 (step 610). |  |  |  |

| 2 | 20110066924 | Dorso discloses fetching content over the Internet from a first server via a group of multiple devices. A first user device (105, see Fig. 1) can send communications to, or receive communications from, a second user device (e.g., 140 in Fig. 1) and/or a third user device (e.g., 145 in Fig. 1) (each of which could be 'a first server') via a number of relay peers (120, 125, 130, 135 in Fig. 1) ('which could mean a group of multiple devices')(also paras 31, 33, 41). Like in the claimed invention, Dorso discloses that the second user device can be a handheld mobile device (paras 18, 23-24, Claim 28, 34). Discloses that each device in the group of multiple devices is identified in the Internet by an associated group device identifier (par30 ('tracker peer 110 provides potential relay peers to first user device 105. E.g., tracker peer may provide data identifying first relay peer 120, second relay peer 125, and third relay peer 130 as peers or nodes associated with P2P computer environment').                              |
|---|-------------|--|
| 3 | 20070142036 | Wikman discloses a method of providing content using a mobile intermediate device, the<br>mobile intermediate device for providing content from a geographically proximate origin<br>device to a remote client device, the mobile intermediate device having a mobile intermediate<br>device address to which content requests are communicated from the client device (Claim 1;<br>para 24). Claim 5 and 6 discloses that the mobile intermediate device is arranged to function as<br>a reverse proxy server and such device address is globally unique. It also discloses that content<br>to a remote device is delivered upon receiving a request from the client device and that<br>processing unit ('first server') is an actor between the client device and origin devices ('second<br>server')(Claim 1). Claim 3 discloses that mobile intermediate device comprises a web server and<br>the mobile intermediate device address is the web server address, and the content request is<br>addressed to the web server (equal to present invention Claim 22,26) |

|        | FOREIGN PATENT DOCUMENTS  |  |  |  |  |
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|         | NON-PATENT PUBLICATIONS |                                  |  |  |  |
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| THIRD-PARTY<br>SUBMISSION | Application Number | 16214451 |
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| UNDER 37 CFR 1.290        |                    |          |

|         | U.S. PATENTS   |                              |                |                    |                            |   |                  |  |
|---------|--|------------------------------|----------------|--------------------|----------------------------|---|------------------|--|
| Cite No | Patent Number  | Kind<br>Code <sup>1</sup>    | lssue<br>(YYYY | Date<br>′-MM-DE    | )                          | First Named In                              | ventor           |  |
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|         |  | U.S.                         | PATEN          | NT APPL            | ICAT                       | ION PUBLICAT                                | TONS             |  |
| Cite No | Publication Number   | Kind<br>Code <sup>1</sup>    |                | ation Da<br>-MM-DE |                            | First Named In                              | ventor           |  |
| 1       | 20070142036  | A1                           | 2007-          | 06-21              |                            | Johan Wikman                                |                  |  |
| 2       | 20110066924  | A1                           | 2011-          | 03-17              |                            | Gregory Dorso                               |                  |  |
| 3       | 20130080575  | A1                           | 2013-03-28     |                    | Matthew Browr              | ning Prince                                 |                  |  |
|         | FORE   | GN PATENT                    | S AND          | PUBLIS             | HED                        | FOREIGN PAT                                 | ENT APPLICATIONS |  |
| Cite No | Foreign Document<br>Number <sup>3</sup>                        | Country<br>Code <sup>2</sup> |                |                    | lication Date<br>YY-MM-DD) | Applicant, Patentee or First Named Inventor | <b>T</b> 2       |  |
|         |  |                              |                |                    | -                          |   |                  |  |
|         | NON-PATENT PUBLICATIONS (e.g., journal article, Office action) |                              |                |                    |                            |   |                  |  |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16214451 |
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| UNDER 37 CFR 1.290        |                    | L        |

| Cite No     | Author (if any), title of the publication, page(s) being submitted, publication date, publisher (where available), place of publication (where available).   | T2              | Ee            |  |  |  |
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|             | STATEMENTS   |                 |               |  |  |  |
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| application | naking the submission is not an individual who has a duty to disclose information with resp<br>under 37 CFR 1.56.  | pect to the abo | ve-identified |  |  |  |
|             | ision complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290.   |                 |               |  |  |  |
| The fe      | The fee set forth in 37 CFR 1.290(f) has been submitted herewith.  |                 |               |  |  |  |
| 🕅 the pei   | The fee set forth in 37 CFR 1.290(f) is not required because this submission lists three or fewer total items and, to the knowledge of the person signing the statement after making reasonable inquiry, this submission is the first and the only submission under 35 U.S.C 122(e) filed in the above-identified application by the party making the submission or by a party in privity with the party.  |                 |               |  |  |  |
| The col     | This resubmission is being made responsive to a notification of non-compliance issued for an earlier filed third-party submission.<br>The corrections in this resubmission are limited to addressing the non-compliance. As such, the party making this resubmission: (1) requests that the Office apply the previously-paid fee set forth in 37 CFR 1.290(f), or (2) states that no fee is required to accompany this resubmission as the undersigned is again making the fee exemption statement set forth in 37 CFR 1.290(g). |                 |               |  |  |  |

|                    | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |
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| Application Number |   | 16214476                         |  |  |  |
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|                    |   | U.S. PATENTS                     |  |  |  |
| Cite No            | Patent Number   | Concise Description of Relevance |  |  |  |
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| U.S. PATENT APPLICATION PUBLICATION |                       |   |  |
|-------------------------------------|-----------------------|---|--|
| Cite No                             | Publication<br>Number | Concise Description of Relevance  |  |
| 1                                   | 20110066924           | Dorso discloses fetching content over the Internet via a group of devices. The 'first user device<br>105 may use a web browser application to practice the present technology,' and '[t]he purpose<br>of tracker peer 110 is to receive a request from a user device such as first user device' (par.<br>29-30). It is implicit that the user device could use an IP address, host name, or similar identifier<br>to communicate with the tracker peer. In one embodiment the user device may divide the<br>communication into portions and each portion is sent via a different relay peer (par. 21-22). In<br>one embodiment, a P2P computer environment is a distributed network architecture that is<br>composed of peers that make a portion of resources available directly to their peers without<br>intermediary servers (par. 25;36-37; Claim 25). |  |

| 2 | 20090248793 | Jacobsson discloses a method of retrieving content from a network (e.g. the Internet (par. 19)).<br>The content is identified by a content identifier (see e.g.,paras 34-35). Jacobsson teaches the<br>coordinating system (i.e., first server) providing the list of content sources to the client device<br>(paras 36;39;52). The content source may be identified by public or private IP address (par 35).<br>Jacobsson discloses the first device requesting and receiving the identified content (or portions<br>thereof) from the various content sources (web servers and/or client devices) (par 53).   |
|---|-------------|--|
| 3 | 20070142036 | Wikman discloses a method of providing content using a mobile intermediate device, the<br>mobile intermediate device for providing content from a geographically proximate origin<br>device to a geographically remote client device, the mobile intermediate device having a<br>mobile intermediate device address to which content requests are communicated (Claim 1; par<br>24). Claim 5 and 6 discloses that the mobile intermediate device is arranged to function as a<br>reverse proxy server and such device address is globally unique. It also discloses that content to<br>a remote device is delivered upon receiving a request from the client device and that<br>processing unit ('first server') is an actor between the client device and origin devices ('second<br>server')(Claim 1). Claim 3 discloses that mobile intermediate device comprises a web server and<br>the mobile intermediate device address is the web server address, and the content request is<br>addressed to the web server(as also recited in present invention Claim 22,26) |

| FOREIGN PATENT DOCUMENTS |                            |                                  |  |  |
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|         | NON-PATENT PUBLICATIONS |                                  |  |  |  |
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| THIRD-PARTY<br>SUBMISSION | Application Number | 16214476 |
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| UNDER 37 CFR 1.290        |                    |          |

|         | U.S. PATENTS   |                           |                |  |                 |                      |                  |  |
|---------|--|---------------------------|----------------|--|-----------------|----------------------|------------------|--|
| Cite No | Patent Number  | Kind<br>Code <sup>1</sup> | lssue<br>(YYYY | Date<br>′-MM-DE  | )               | First Named Inventor |                  |  |
|         |  |                           |                |  |                 |                      |                  |  |
|         |  | U.S.                      | PATEN          | NT APPL  | ICAT            | ION PUBLICAT         | TONS             |  |
| Cite No | Publication Number   | Kind<br>Code <sup>1</sup> |                | ation Da<br>-MM-DE   |                 | First Named In       | oventor          |  |
| 1       | 20070142036  | A1                        | 2007-06-21     |  | Johan Wikman    |                      |                  |  |
| 2       | 20090248793  | A1                        | 2009-10-01     |  | Sanny Jacobsson |                      |                  |  |
| 3       | 20110066924  | A1                        | 2011-03-17     |  | Gregory Dorso   |                      |                  |  |
|         | FOREIC   | SN PATENT                 | S AND          | PUBLIS   | HED             | FOREIGN PATI         | ENT APPLICATIONS |  |
| Cite No | Foreign Document<br>Number <sup>3</sup>                        |                           |                | ublication Date Applicant, Patentee or First Named In<br>(YYY-MM-DD) |                 | T⁵                   |                  |  |
|         |  |                           |                |  |                 |                      |                  |  |
|         | NON-PATENT PUBLICATIONS (e.g., journal article, Office action) |                           |                |  |                 |                      |                  |  |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16214476 |  |  |
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| Cite No          | Author (if any), title of the publication, page(s) being submitted, publication date, publisher (where available), place of publication (where available).   | T2                                   | Ee                    |
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|                  | STATEMENTS   |                                      |                       |
| application      | naking the submission is not an individual who has a duty to disclose information with respunder 37 CFR 1.56.<br>ssion complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290.  | ວect to the aboາ                     | ve-identified         |
|                  | e set forth in 37 CFR 1.290(f) has been submitted herewith.  |                                      |                       |
| The fe           | e set forth in 37 CFR 1.290(f) is not required because this submission lists three or fewer to<br>rson signing the statement after making reasonable inquiry, this submission is the first and<br>filed in the above-identified application by the party making the submission or by a party   | l the only subm                      | ission under 35 U.S.C |
| The co<br>reques | submission is being made responsive to a notification of non-compliance issued for an ear<br>rrections in this resubmission are limited to addressing the non-compliance. As such, the<br>ts that the Office apply the previously-paid fee set forth in 37 CFR 1.290(f), or (2) states tha<br>submission as the undersigned is again making the fee exemption statement set forth in 3 | party making th<br>t no fee is requi | is resubmission: (1)  |

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |  |  |  |  |  |  |  |  |
|------------|---|--|--|--|--|--|--|--|--|
| Applicatio | on Number   | 16214496   |  |  |  |  |  |  |  |
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| Cite No    | Patent Number   | U.S. PATENTS<br>Concise Description of Relevance |  |  |  |  |  |  |  |
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|  | U.S. PATENT APPLICATION PUBLICATION |  |  |  |  |  |  |
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| Cite No Publication Concise Description of Relevance<br>Number |                                     |  |  |  |  |  |  |
| 1  | 20090248793                         | Jacobsson discloses multiple devices, including clients (peers) and servers that can be content<br>sources (par23-24,42). The various content sources are identified by public or private IP address<br>(par35).If portions of content are being requested from multiple content sources, the request,<br>for example, for a client device to provide the embedded video from a certain web page on a<br>server would inherently include identifying information about that server so that the client<br>device could provide the proper video (par 36-38).Jacobsson teaches the coordinating system (i.<br>e., first server) providing the list of content sources to the client device (par 36;39;52).Claims<br>17-18 disclose forwarding, in response to the identifier: i) source information to the device that<br>identifies each selected content source; ii) object information and source information the object<br>information describing the object and the multiple content portions, the source information<br>identifying each selected content source |  |  |  |  |  |

| 2 | 20110066924 | Dorso discloses fetching content over the Internet via a group of devices. 'The first user device<br>105 may use a web browser application to practice the presented technology' and 'the purpose<br>of the tracker peer 110 is to receive a request from a user device such as first user device' (par<br>29-30). It is implicit that the user device could use an IP address, host name, or similar identifier<br>to communicate with the tracker peer. In one embodiment the user device may divide the<br>communication into portions and each portion is sent via a different replay peer (par. 21-22). In<br>one embodiment, a P2P computer environment is a distributed network architecture that is<br>composed of peers that make a portion of resources available directly to their peers without<br>intermediary servers (par 25; 36-37, Claim 25). |
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| FOREIGN PATENT DOCUMENTS |                            |                                  |  |  |  |  |
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|         | NON-PATENT PUBLICATIONS |                                  |  |  |  |  |  |  |
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| Cite No | Reference               | Concise Description of Relevance |  |  |  |  |  |  |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16214496 |  |  |
|---------------------------|--------------------|----------|--|--|
| UNDER 37 CFR 1.290        |                    | L        |  |  |

|         | U.S. PATENTS  |                           |                |                    |                 |  |                 |      |    |  |
|---------|---|---------------------------|----------------|--------------------|-----------------|--|-----------------|------|----|--|
| Cite No | Patent Number   | Kind<br>Code <sup>1</sup> | lssue<br>(YYYY | Date<br>-MM-DD     | )               | First Named Inventor   |                 |      |    |  |
|         |   |                           |                |                    |                 |  |                 |      |    |  |
|         |   | U.S.                      | PATEN          | IT APPLI           | CAT             | ION PUBLICAT   | IONS            |      |    |  |
| Cite No | Publication Number  | Kind<br>Code <sup>1</sup> |                | ation Da<br>-MM-DD |                 | First Named In   | ventor          |      |    |  |
| 1       | 20110066924   | A1                        | 2011-03-17     |                    | Gregory Dorso   |  |                 |      |    |  |
| 2       | 20090248793   | A1                        | 2009-10-01     |                    | Sanny Jacobsson |  |                 |      |    |  |
|         | FORE  | GN PATENT                 | S AND          | PUBLIS             | HED             | FOREIGN PATI   | ENT APPLICAT    | IONS |    |  |
| Cite No | Foreign Document Country Kind Put   |                           |                |                    |                 | blication Date Applicant, Patentee or First Named Inventor T5<br>(YYY-MM-DD) |                 |      | T5 |  |
|         |   |                           |                |                    |                 |  |                 |      |    |  |
|         | NO  | N-PATENT P                | UBLIC          | ATIONS             | (e.g            | ., journal articl  | e, Office actio | n)   |    |  |
| Cite No | NON-PATENT PUBLICATIONS (e.g., journal article, Office action)         Author (if any), title of the publication, page(s) being submitted, publication date, publication date, publisher (where available), place of publication (where available).       T <sup>5</sup> E <sup>6</sup> Tite No       publisher (where available), place of publication (where available).       E <sup>6</sup> |                           |                |                    |                 |  |                 |      |    |  |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16214496 |
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| UNDER 37 CFR 1.290        |                    |          |

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| I  |                                    | STATEM   | IENTS                             |                                    |                        |                         |                       |
| application u  | nder 37 C                          | ubmission is not an individual who has a duty t  | o disclose inforr                 | nation with re                     | espect to              | the abo                 | ve-identified         |
| The fee  | set forth i                        | n 37 CFR 1.290(f) has been submitted herewith.   |                                   |                                    |                        |                         |                       |
| 🔀 the perso  | on signing                         | n 37 CFR 1.290(f) is not required because this su<br>y the statement after making reasonable inquiry<br>above-identified application by the party makir  | /, this submissio                 | n is the first a                   | nd the oi              | nly subm                | ission under 35 U.S.C |
| The correct requests   | ections in<br>that the (           | is being made responsive to a notification of no<br>this resubmission are limited to addressing the<br>Office apply the previously-paid fee set forth in<br>as the undersigned is again making the fee exe | non-complianc<br>37 CFR 1.290(f), | e. As such, th<br>or (2) states th | e party n<br>nat no fe | naking th<br>e is requi | nis resubmission: (1) |
| Signature  |                                    | /Jurate Breimelyte/  |                                   |                                    |                        |                         |                       |
| Name/Print   |                                    | Jurate Breimelyte  | Registration N<br>(if applicable) | umber                              |                        |                         |                       |
| Examiner Sig   | Examiner Signature Date Considered |  |                                   |                                    |                        |                         |                       |
| *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.<br>Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 2. Enter the country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851. 3. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known, enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 5. Check mark indicates translation attached. 6. Check mark indicates evidence of publication attached. |                                    |  |                                   |                                    |                        |                         |                       |

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |
|------------|---|----------------------------------|--|--|--|--|
| Applicatio | Application Number 16292363   |                                  |  |  |  |  |
|            |   |                                  |  |  |  |  |
|            |   | U.S. PATENTS                     |  |  |  |  |
| Cite No    | Patent Number   | Concise Description of Relevance |  |  |  |  |
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|         | U.S. PATENT APPLICATION PUBLICATION |   |  |  |  |
|---------|-------------------------------------|---|--|--|--|
| Cite No | Publication<br>Number               | Concise Description of Relevance  |  |  |  |
| 1       | 20110066924                         | Dorso discloses fetching content over the Internet from a first server via a group of multiple devices. In Dorso, a first user device (105, see Fig. 1 below) can send communications to, or receive communications from, a second user device (e.g., 140 in Fig. 1) and/or a third user device (e.g., 145 in Fig. 1) (each could be 'a first server') via a number of relay peers (120, 125, 130, 135 in Fig. 1) (equal to 'a group of client devices' in present application) (par 31, 33, 41). The 'first user device 105 may use a web browser application to practice the present technology and '[t]he purpose of tracker peer 110 is to receive a request from a user device such as first use device' (Par 29-30). It is implicit that the user device could use an IP address, host name, or similar identifier to communicate with the tracker peer. In one embodiment the user device ma divide the communication into portions and each portion is sent via a different relay peer (par 21-22). |  |  |  |

| 2 | 20130080575 | Prince discloses a method for fetching a first content over the Internet identified by a first<br>content identifier by disclosing fetching 'network resources' from origin servers 130, where the<br>content may include 'HTML pages, images, word processing documents, PDF files, movie files,<br>music files, or other computer files.' par. 26, 27; 15, 17-19.<br>Prince discloses that the second device is included in the group and that the step of selecting<br>the second device out of the devices in the group by disclosing multiple proxy servers 120 and<br>the DNS System 140 selecting one of the proxy servers 120 based, for example, on the origin<br>server 130 the client device 110 is requesting to resolve. See, e.g., par. 13, 15, 23.<br>In the Prince, the disclosed method of fetching the content over the Internet as provided in Fig.<br>5 corresponds to, for example, the disclosure of Fig. 5b in the present patent application. Both<br>disclose the same method of receiving the content thought the additional proxy. |
|---|-------------|---|
| 3 | 20090248793 | Jacobsson discloses multiple devices, including clients (peers) and servers that can be content<br>sources. par. 23-24,42. The various content sources are identified by public or private IP address<br>(par. 35).<br>Jacobsson discloses that requests for content can be sent to any of the content sources (client<br>devices and/or servers)(par. 36-38).<br>Jacobsson discloses the first device requesting and receiving the identified content (or portions<br>thereof) from the various content sources (web servers and/or client devices) (par. 53).<br>Claim 9 of Jacobsson and its dependent claims disclose a method for providing content in a<br>network, the method comprising: forwarding an identifier associated with an object from a<br>device to a first server device in a network; receiving, at the device and from the first server<br>device, source information that identifies a selected content source; and obtaining the content<br>using the received source information.   |

|        | FOREIGN PATENT DOCUMENTS                                 |  |  |  |  |  |  |
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|         | NON-PATENT PUBLICATIONS |                                  |  |  |  |
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| THIRD-PARTY<br>SUBMISSION | Application Number | 16292363 |
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| UNDER 37 CFR 1.290        |                    |          |

|         |  |                             |       | U.S.                               | PAT  | ENTS              |                   |  |
|---------|--|-----------------------------|-------|------------------------------------|------|-------------------|-------------------|--|
| Cite No | Patent Number  | Kind<br>Code <sup>1</sup>   |       |                                    |      |                   |                   |  |
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|         |  | U.S.                        | PATEN | NT APPL                            | ICAT | ION PUBLICAT      | IONS              |  |
| Cite No | Publication Number   | Kind<br>Code <sup>1</sup>   |       | cation Da<br>'-MM-DD               |      | First Named In    | ventor            |  |
| 1       | 20090248793  | A1                          | 2009- | 2009-10-01 Sanny Jacobsson         |      |                   |                   |  |
| 2       | 20130080575  | A1                          | 2013- | 2013-03-28 Matthew Browning Prince |      |                   |                   |  |
| 3       | 20110066924  | A1 2011-03-17 Gregory Dorso |       |                                    |      |                   |                   |  |
|         | FORE   | GN PATENT                   | S AND | PUBLIS                             | HED  | FOREIGN PATI      |                   |  |
| Cite No | No Foreign Document Country Code <sup>2</sup> Kind Publication Date Applicant, Patentee or First Named Inventor T <sup>5</sup> |                             |       |                                    |      | <b>T</b> 5        |                   |  |
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|         | NO   | N-PATENT P                  | VBLIC | ATIONS                             | (e.g | ., journal articl | e, Office action) |  |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16292363 |
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| UNDER 37 CFR 1.290        |                    | L        |

| Cite No     | Author (if any), title of the publication, page(s) being submitted, publication date, publisher (where available), place of publication (where available).   | T2              | Ee                    |  |  |  |
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|             | STATEMENTS   |                 |                       |  |  |  |
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| application | naking the submission is not an individual who has a duty to disclose information with resp<br>under 37 CFR 1.56.  | pect to the abo | ve-identified         |  |  |  |
|             | ision complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290.   |                 |                       |  |  |  |
| The fe      | e set forth in 37 CFR 1.290(f) has been submitted herewith.  |                 |                       |  |  |  |
| 🕅 the pei   | e set forth in 37 CFR 1.290(f) is not required because this submission lists three or fewer to<br>son signing the statement after making reasonable inquiry, this submission is the first and<br>filed in the above-identified application by the party making the submission or by a party  | l the only subm | ission under 35 U.S.C |  |  |  |
| The col     | This resubmission is being made responsive to a notification of non-compliance issued for an earlier filed third-party submission.<br>The corrections in this resubmission are limited to addressing the non-compliance. As such, the party making this resubmission: (1)<br>requests that the Office apply the previously-paid fee set forth in 37 CFR 1.290(f), or (2) states that no fee is required to accompany<br>this resubmission as the undersigned is again making the fee exemption statement set forth in 37 CFR 1.290(g). |                 |                       |  |  |  |

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |
|------------|---|----------------------------------|--|--|--|--|
| Applicatio | Application Number 16292364   |                                  |  |  |  |  |
|            | U.S. PATENTS  |                                  |  |  |  |  |
| Cite No    | Patent Number   | Concise Description of Relevance |  |  |  |  |
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| U.S. PATENT APPLICATION PUBLICATION |                       |  |  |  |  |  |
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| Cite No                             | Publication<br>Number | Concise Description of Relevance   |  |  |  |  |
| 1                                   | 20090248793           | Identically to the present invention, the prior art describes a method for providing content in a network. It also discloses receiving the identifier from a device, selecting a content source in the network, forwarding, in response to the identifier, source information to the device (Claim 1). Para 0006 and Claim 3 of the prior art discloses that the optimization algorithm can be configured so that the at least one content source is selected according to at least one approach the content source, for example the content source being located in a geographic location in which the device is located. Prior art also discloses the use of a geographic location with which the content source is associated. Para 0036 and Claim 4 discloses that in some implementations of the invention, preference can be given to the selection of content sources associated with the same geographic location (e.g., country), network, and/or internet service provider as the client device. |  |  |  |  |

| FOREIGN PATENT DOCUMENTS |                            |  |  |  |  |
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| CiteNo                   | Foreign Document<br>Number | Concise Description of Relevance   |  |  |  |
| 1                        | 2004094980                 | Fontijn discloses a method and system of peer-to-peer content transfer over the internet. See 1:1-5; 2:1-8. The content is identified by specific selection criteria entered by user and transmitted to a server or device storing the content. See 4:10-19. Fontijn discloses a group consisting of a plurality of P2P devices 12, 13, 14, 15, 16, and 17. See Fig. 1; 4:32-5:3. As in the recited application, in Fontijn the devices on the network are identified by IP address. See 2:12-16. Fontijn further discloses selecting the second device out of the devices in the group. See 2:5-16. See Fig. 1; 4:9-29. Fontijn discloses that it is known that users can select a device, see, e.g., 2:12-16, and that the Server can select the device when it redirects the first device. See e.g., 4:21-22.Fontijn discloses that the second device may be selected based on the past activity of having downloaded the content. See, e.g., 8:8-10; Fig 2 (Step 400). |  |  |  |

|         | NON-PATENT PUBLICATIONS |                                  |  |  |  |  |  |
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| THIRD-PARTY<br>SUBMISSION | Application Number | 16292364 |
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| UNDER 37 CFR 1.290        |                    |          |

|         |   |                              |                  | U.S.                                | PAT              | ENTS              |                  |                   |               |    |
|---------|---|------------------------------|------------------|-------------------------------------|------------------|-------------------|------------------|-------------------|---------------|----|
| Cite No | Patent Number   | Kind<br>Code <sup>1</sup>    | lssue  <br>(YYYY | Date<br>-MM-DD                      | )                | First Named In    | ventor           |                   |               |    |
|         |   |                              |                  |                                     |                  |                   |                  |                   |               |    |
|         |   | U.S.                         | PATEN            | IT APPL                             | CAT              | ION PUBLICAT      | IONS             |                   |               |    |
| Cite No | No Publication Number Kind Publication Date (YYYY-MM-DD) First Named Inventor   |                              |                  |                                     |                  |                   |                  |                   |               |    |
| 1       | 20090248793   | A1                           | 2009-            | 10-01                               | )1 Sanny Jacobss |                   | 'n               |                   |               |    |
|         | FORE  | GN PATENT                    | S AND            | PUBLIS                              | HED              | FOREIGN PAT       |                  | IONS              |               |    |
| Cite No | Foreign Document<br>Number <sup>3</sup>   | Country<br>Code <sup>2</sup> |                  | Kind<br>Code <sup>1</sup>           | Pub              |                   |                  | entee or First Na | amed Inventor | T5 |
| 1       | 2004094980 WO   |                              |                  | A2 2004-11-04 Wilhelmus F J Fontijn |                  |                   |                  |                   |               |    |
|         | NO  | N-PATENT P                   | UBLIC            | ATIONS                              | (e.g             | ., journal articl | e, Office action | n)                |               |    |
| Cite No | Author (if any), title of the publication, page(s) being submitted, publication date, T <sup>5</sup> E <sup>6</sup><br>te No publisher (where available), place of publication (where available). |                              |                  |                                     |                  |                   |                  |                   |               |    |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16292364 |
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| UNDER 37 CFR 1.290        |                    |          |

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|--|------------------------------------|--|-----------------------------------|------------------------------------|------------------------|-------------------------|-----------------------|--|
| I  |                                    | STATEM   | IENTS                             |                                    |                        |                         |                       |  |
| The party making the submission is not an individual who has a duty to disclose information with respect to the above-identified application under 37 CFR 1.56.<br>This submission complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290.  |                                    |  |                                   |                                    |                        |                         |                       |  |
| The fee  | set forth i                        | n 37 CFR 1.290(f) has been submitted herewith.   |                                   |                                    |                        |                         |                       |  |
| 🔀 the perso  | on signing                         | n 37 CFR 1.290(f) is not required because this su<br>y the statement after making reasonable inquiry<br>above-identified application by the party makir  | /, this submissio                 | n is the first a                   | nd the oi              | nly subm                | ission under 35 U.S.C |  |
| The correct requests   | ections in<br>that the (           | is being made responsive to a notification of no<br>this resubmission are limited to addressing the<br>Office apply the previously-paid fee set forth in<br>as the undersigned is again making the fee exe | non-complianc<br>37 CFR 1.290(f), | e. As such, th<br>or (2) states th | e party n<br>nat no fe | naking th<br>e is requi | nis resubmission: (1) |  |
| Signature  |                                    | /Jurate Breimelyte/  |                                   |                                    |                        |                         |                       |  |
| Name/Print Jurate Breimelyte   |                                    | Registration Number<br>(if applicable)   |                                   |                                    |                        |                         |                       |  |
| Examiner Sig   | Examiner Signature Date Considered |  |                                   |                                    |                        |                         |                       |  |
| *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.<br>Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 2. Enter the country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851. 3. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known, enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 1851. 3. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known, enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 5. Check mark indicates translation attached. 6. Check mark indicates evidence of publication attached. |                                    |  |                                   |                                    |                        |                         |                       |  |

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |  |
|------------|---|----------------------------------|--|--|--|--|--|
| Applicatio | on Number   | 16292374                         |  |  |  |  |  |
|            |   |                                  |  |  |  |  |  |
|            |   | U.S. PATENTS                     |  |  |  |  |  |
| Cite No    | Patent Number   | Concise Description of Relevance |  |  |  |  |  |
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| Cite No | Publication<br>Number | Concise Description of Relevance  |
|---------|-----------------------|---|
| 1       | 20090248793           | Jacobsson discloses a method of retrieving content from a network (e.g. the Internet (par19)).<br>The content is identified by a content identifier (see e.g., par34-35). It also discloses that other<br>metadata may be associated with content and content sources. Jacobsson discloses the<br>selection of the content source from the list of content sources. The selection process can<br>include a optimization/prioritization algorithm that considers the geographic location of the<br>content source (par 5-6,35-36). Also discloses multiple devices, including clients (peers) and<br>servers that can be content sources (23-24,42). The various content sources are identified by<br>public or private IP address (35). Jacobsson discloses that requests for content can be sent to<br>any of the content sources (client devices and/or servers). Also discloses the first device<br>requesting and receiving the identified content (or portions thereof) from the various content<br>sources (web servers and/or client devices)(53). |

| 2 | 20070142036 | Wikman discloses a method for providing content to a remotely located electronic device,<br>which may be connectable to the Internet, by accessing content on a device (e.g. Internet<br>server). It discloses accessing content on an origin device using a client device via intermediate<br>device, with the knowledge of how to access the intermediate device, but without knowing the<br>specific access information of the origin device. In this way, a client device can access the<br>content (website, other content) contained on an origin device via intermediate device (par 19.<br>Para 25 explains providing user selected content from respective origin device using near field<br>connectivity between the intermediate device and the respective geographically proximate<br>origin device from which the user selected content is sourced; and providing the content from<br>the origin device to the client via the intermediate device using the intermediate device as the<br>content source. The method may be performed using the Internet. |
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| FOREIGN PATENT DOCUMENTS |                            |   |  |  |  |
|--------------------------|----------------------------|---|--|--|--|
| CiteNo                   | Foreign Document<br>Number | Concise Description of Relevance  |  |  |  |
| 1                        | 2004094980                 | Fontijn discloses the transfer of content in P2P system. The device (first device) receives the selection criterion which it then sends to another device (a second device) and to a server. The said first device cannot know whether the server or another P2P device, has the requested content available. If the server has the content satisfying the selection criterion, it will provide it to the requesting device. However, in order to distribute network usage more efficient - if the server knows that another peer (device) has the requested content, the server will redirect the transfer of content to this device (p. 4, lines 10-30). Figure 2 shows a method of P2P transfer of content. The content is transferred among device in the P2P network, as a starting point only the server can provide content; later on content can be distributed to various devices. Claim 4 discloses that content can be very different: a DVD picture and sound signal; a given digital movie format; a given picture format. |  |  |  |

|         | NON-PATENT | PUBLICATIONS                     |
|---------|------------|----------------------------------|
| Cite No | Reference  | Concise Description of Relevance |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16292374 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

|         | U.S. PATENTS  |                              |                |                           |          |                            |                 |                   |               |            |
|---------|---|------------------------------|----------------|---------------------------|----------|----------------------------|-----------------|-------------------|---------------|------------|
| Cite No | Patent Number   | Kind<br>Code <sup>1</sup>    | lssue<br>(YYYY | Date<br>′-MM-DD           | )        | First Named In             | ventor          |                   |               |            |
|         |   |                              |                |                           |          |                            |                 |                   |               |            |
|         |   | U.S.                         | PATEN          |                           | CAT      | ION PUBLICAT               | IONS            |                   |               |            |
| Cite No | Publication Number  | Kind<br>Code <sup>1</sup>    | 1              | ation Da<br>-MM-DD        |          | First Named In             | ventor          |                   |               |            |
| 1       | 20070142036   |                              | 2007-          | 06-21                     |          | Johan Wikman               |                 |                   |               |            |
| 2       | 20090248793   | A1                           | 2009-          | 10-01                     |          | Sanny Jacobsso             | n               |                   |               |            |
|         | FORE  | GN PATENT                    | S AND          | PUBLIS                    | HED      | FOREIGN PATI               | ENT APPLICAT    | IONS              |               |            |
| Cite No | Foreign Document<br>Number <sup>3</sup>   | Country<br>Code <sup>2</sup> |                | Kind<br>Code <sup>1</sup> |          | lication Date<br>YY-MM-DD) | Applicant, Pate | entee or First Na | amed Inventor | <b>T</b> 5 |
| 1       | 2004094980  | wo                           | A2 200         |                           | )4-11-04 | Wilhelmus F. J. Fontijn    |                 |                   |               |            |
|         | NON-PATENT PUBLICATIONS (e.g., journal article, Office action)  |                              |                |                           |          |                            |                 |                   |               |            |
| Cite No | Author (if any), title of the publication, page(s) being submitted, publication date, T <sup>5</sup> E <sup>6</sup> |                              |                |                           |          |                            |                 |                   |               |            |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16292374 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

|   |   |                                      |                                    | [                      |                         |                       |  |
|---|---|--------------------------------------|------------------------------------|------------------------|-------------------------|-----------------------|--|
|   | STATEM  | ENTS                                 |                                    |                        |                         |                       |  |
| application under 37  | The party making the submission is not an individual who has a duty to disclose information with respect to the above-identified application under 37 CFR 1.56.<br>This submission complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290. |                                      |                                    |                        |                         |                       |  |
| The fee set forth   | n in 37 CFR 1.290(f) has been submitted herewith.   |                                      |                                    |                        |                         |                       |  |
| 🔀 the person signi  | in 37 CFR 1.290(f) is not required because this su<br>ng the statement after making reasonable inquiry<br>e above-identified application by the party makin   | , this submissio                     | n is the first a                   | nd the o               | nly subm                | ission under 35 U.S.C |  |
| The corrections i requests that the   | n is being made responsive to a notification of no<br>n this resubmission are limited to addressing the<br>e Office apply the previously-paid fee set forth in 3<br>n as the undersigned is again making the fee exer                                   | non-compliance<br>37 CFR 1.290(f), d | e. As such, th<br>or (2) states th | e party n<br>nat no fe | naking th<br>e is requi | is resubmission: (1)  |  |
| Signature   | /Jurate Breimelyte/   |                                      |                                    |                        |                         |                       |  |
| Name/Print Jurate Breimelyte  |   | Registration Nu<br>(if applicable)   | umber                              |                        |                         |                       |  |
| Examiner Signature  | Examiner Signature Date Considered  |                                      |                                    |                        |                         |                       |  |
| *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.<br>Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of<br>document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 2. Enter the<br>country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851. 3. For Japanese patent<br>documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known,<br>enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a).<br>5. Check mark indicates translation attached. 6. Check mark indicates evidence of publication attached. |   |                                      |                                    |                        |                         |                       |  |

|                    | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |
|--------------------|---|----------------------------------|--|--|--|--|
| Application Number |   | 16292382                         |  |  |  |  |
|                    |   |                                  |  |  |  |  |
|                    |   | U.S. PATENTS                     |  |  |  |  |
| Cite No            | Patent Number   | Concise Description of Relevance |  |  |  |  |
|                    |   |                                  |  |  |  |  |

| U.S. PATENT APPLICATION PUBLICATION |                       |   |  |  |  |
|-------------------------------------|-----------------------|---|--|--|--|
| Cite No                             | Publication<br>Number | Concise Description of Relevance  |  |  |  |
| 1                                   | 20090248793           | Jacobsson discloses that other metadata may be associated with content and content sources.<br>The various content sources are identified by public or private IP address (par 35). Also discloses that requests for content can be sent to any of the content sources (client devices and/or servers) (par 53). It discloses that another client device can receive content from other client devices and/or web servers. For example, if the first client device receives the second content, that first client device can become a content source for yet further client devices. Par 18 and FIC 1 discloses a computer system for delivering content over a network. In one embodiment, the system can integrate with an existing web infrastructure using an existing communications protocol such as HTTP to transfer digital content over the Internet. Many types of content can be delivered, including, audio content, image content, video content, and application program content. |  |  |  |

| 2 | 20070142036 | FIG. 1 illustrates an environment in which a remote  |
|---|-------------|--|
|   |             | server can be accessed via an intermediate server. The electronic devices are located remote to<br>the electronic client device 110. All electronic devices have communication capabilities for<br>accessing the Internet. Electronic devices can act as Internet web servers, and thus themselves<br>also manage content for use on the Internet(par 32). The electronic devices are capable of<br>transmitting and receiving data by packet switching using a standardized IP and possibly also<br>other protocols. The electronic devices each comprise mobile Internet web servers, each of<br>which can be independently remotely accessed directly by using a URL, globally unique for each<br>handset(par 35). The invention relates to a network system in which the handset can be used.<br>The network system comprises at least an intermediate device, remote origin device in its<br>proximity, and client device used to access the content on the remote device via intermediate<br>device(par 74). |
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|        | FOREIGN PATENT DOCUMENTS  |  |  |  |  |  |  |
|--------|---|--|--|--|--|--|--|
| CiteNo | CiteNo Foreign Document Concise Description of Relevance Number |  |  |  |  |  |  |
| 1      | 2004094980  | Fontijn discloses that when any device (e.g., 12, 13, 14) supplies content, that content came<br>from the Server (8:16-19). It is commonly known in the art and disclosed in Fontijn, that devices<br>in P2P networks request content, and as such, it would be understood that the devices of<br>Fontijn requested the content, and such requests would include an identification of the content<br>and a destination identifier for the request (4:14-18). Fontijn discloses that a second device (in<br>the present invention - selected IP address) is selected from the group (Fig. 1 (devices 13-17);<br>4:32-5:3). Discloses that it is known that users can select a device (2:12-16), and that the Server<br>can select the device (4:21-22). Claim 1 discloses receiving and transmitting, from a first device<br>(11), a first request with a first selection criterion for a first content to a server (18); transferring<br>(200) the first content satisfying said first selection criterion to said first device from the server. |  |  |  |  |  |

|         | NON-PATENT PUE | BLICATIONS                       |
|---------|----------------|----------------------------------|
| Cite No | Reference      | Concise Description of Relevance |

PTO/SB/429(08-12) Approved for use through 07/31/2015. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| THIRD-PARTY<br>SUBMISSION | Application Number | 16292382 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

|         | U.S. PATENTS  |                              |                |                           |                 |                            |                  |                   |               |            |
|---------|---|------------------------------|----------------|---------------------------|-----------------|----------------------------|------------------|-------------------|---------------|------------|
| Cite No | Patent Number   | Kind<br>Code <sup>1</sup>    | lssue<br>(YYYY | Date<br>'-MM-DD           | )               | First Named Inventor       |                  |                   |               |            |
|         |   |                              |                |                           |                 |                            |                  |                   |               |            |
|         |   | U.S.                         | PATEN          | IT APPL                   | CAT             | ION PUBLICAT               | IONS             |                   |               |            |
| Cite No | Publication Number Kind (YYYY-MM-DD) First Named Inventor |                              |                |                           |                 |                            |                  |                   |               |            |
| 1       | 20070142036   | A1                           | 2007-06-21     |                           | Johan Wikman    |                            |                  |                   |               |            |
| 2       | 20090248793   | A1                           | 2009-10-01     |                           | Sanny Jacobsson |                            |                  |                   |               |            |
|         | FORE  | IGN PATENT                   | S AND          | PUBLIS                    | HED             | FOREIGN PATI               | ENT APPLICAT     | IONS              |               |            |
| Cite No | Foreign Document<br>Number <sup>3</sup>                   | Country<br>Code <sup>2</sup> |                | Kind<br>Code <sup>1</sup> |                 | lication Date<br>YY-MM-DD) | Applicant, Pat   | entee or First Na | amed Inventor | <b>T</b> 5 |
| 1       | 2004094980  | wo                           | A2 2004        |                           | )4-11-04        | Wilhelmus F. J. Fontijn    |                  |                   |               |            |
|         |   | N-PATENT P                   |                |                           | (e.a            | ., journal articl          | e. Office action | n)                |               |            |
| Cite No | Author (if any), title o                                  | f the publicat               | ion, pa        | ge(s) bei                 | ng s            |                            | cation date,     | T5                | Ee            |            |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16292382 |
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| UNDER 37 CFR 1.290        |                    |          |

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|---|---|--|-----------------------------------|------------------------------------|------------------------|-------------------------|-----------------------|--|
| I   |   | STATEM   | IENTS                             |                                    |                        |                         |                       |  |
| The party making the submission is not an individual who has a duty to disclose information with respect to the above-identified application under 37 CFR 1.56.<br>This submission complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290. |   |  |                                   |                                    |                        |                         |                       |  |
| The fee   | set forth i   | n 37 CFR 1.290(f) has been submitted herewith.   |                                   |                                    |                        |                         |                       |  |
| 🔀 the perso   | on signing  | n 37 CFR 1.290(f) is not required because this su<br>y the statement after making reasonable inquiry<br>above-identified application by the party makir  | /, this submissio                 | n is the first a                   | nd the oi              | nly subm                | ission under 35 U.S.C |  |
| The correct requests  | ections in<br>that the (  | is being made responsive to a notification of no<br>this resubmission are limited to addressing the<br>Office apply the previously-paid fee set forth in<br>as the undersigned is again making the fee exe | non-complianc<br>37 CFR 1.290(f), | e. As such, th<br>or (2) states th | e party n<br>nat no fe | naking th<br>e is requi | nis resubmission: (1) |  |
| Signature   |   | /Jurate Breimelyte/  |                                   |                                    |                        |                         |                       |  |
| Name/Print  |   | Jurate Breimelyte  | Registration N<br>(if applicable) | umber                              |                        |                         |                       |  |
| Examiner Sig  | Examiner Signature Date Considered  |  |                                   |                                    |                        |                         |                       |  |
| Draw line thro<br>document by<br>country or pa<br>documents, th<br>enter the kinc   | Examiner Signature       Date Considered         *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.         Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a).         Country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851.       3. For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.         A. If known, enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a).         5. Check mark indicates translation attached. |  |                                   |                                    |                        |                         |                       |  |

# Doc Code: 3P.RELEVANCE Document Description: Concise Description of Relevance

|                    | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |                                  |  |  |  |  |  |  |
|--------------------|---|----------------------------------|--|--|--|--|--|--|
| Application Number |   | 16365250                         |  |  |  |  |  |  |
|                    |   |                                  |  |  |  |  |  |  |
|                    |   | U.S. PATENTS                     |  |  |  |  |  |  |
| Cite No            | Patent Number   | Concise Description of Relevance |  |  |  |  |  |  |
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|         | U.S. PATENT APPLICATION PUBLICATION |   |  |  |  |
|---------|-------------------------------------|---|--|--|--|
| Cite No | Publication<br>Number               | Concise Description of Relevance  |  |  |  |
| 1       | 20090248793                         | In Jacobsson, para. 64 discloses the relationship of client and server by virtue of computer programs running on the respective computers and having a client-server relationship to each other. Claim 9 similarly to the present invention discloses a method for providing content in a network: receiving, at the device and from the first server device, source information that identifies a selected content and obtaining the content using the received source information. Claim 16 further discloses sending a confirmation from the device to the first server device, the confirmation including information about a result of the requests generated by the device. Jacobsson discloses the selection of the content source from the list of content sources (paras 5-6, 35-36). This information is sent from the device to the server. It is known in the art, that different appliances connected to the Internet can be used as an intermediate devices (proxies) |  |  |  |

| 2 | 20130080575 | Prince discloses a method for fetching a first content over the Internet identified by a first content identifier by disclosing fetching network resources from origin servers, where the content may include HTML pages, images, or any computer files (paras 26-27; 15, 17-19). Further discloses that the content is identified in requests from client, for instance in HTTP requests sent to proxy server. (Paras 45 (client request includes parameters such as the type of requested content), 46, 27, 39; Fig. 1). Discloses sending a first request to the first server by disclosing that client sends a request to DNS system to provide a proxy server that will retrieve content from original server. (Paras 15, 77 (client device requests an IPv4 address for example.com (thus, the client device 110A is an IPv4 enabled client), 90; Figs. 5 (step 510), 6 (step 610)). |
|---|-------------|--|
| 3 | 20070142036 | Wikman discloses a mobile intermediate device for providing content from a geographically proximate origin device to a geographically remote client device, the mobile intermediate device having a mobile intermediate device address to which content requests are communicated from the client device, and mobile intermediate device comprising an input and an output, the output arranged to provide content to a remote client device upon receipt of a content request at the input from the remote client device. The mobile intermediate device may be arranged to function as a reverse proxy server (0020-0021; 0067). Different appliances can be understood as mobile devices and when connected to the Internet can perform a function of an exit node.   |

|        | FOREIGN PATENT DOCUMENTS   |                                  |  |  |  |  |
|--------|----------------------------|----------------------------------|--|--|--|--|
| CiteNo | Foreign Document<br>Number | Concise Description of Relevance |  |  |  |  |
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|         | NON-PATENT PUBLICATIONS |                                  |  |  |  |  |
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| Cite No | Reference               | Concise Description of Relevance |  |  |  |  |
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PTO/SB/429(08-12) Approved for use through 07/31/2015. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| THIRD-PARTY<br>SUBMISSION | Application Number | 16365250 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

|  |   |                                    |                | U.S.                        | PAT   | ENTS                 |                    |  |
|--|---|------------------------------------|----------------|-----------------------------|---|----------------------|--------------------|--|
| Cite No  | Patent Number                           | Kind<br>Code <sup>1</sup>          | lssue<br>(YYYY | Date<br>′-MM-DE             | ))  | First Named Inventor |                    |  |
|  |   |                                    |                |                             |   |                      |                    |  |
|  |   | U.S.                               | PATEN          | NT APPL                     | ICAT  | ION PUBLICAT         | TONS               |  |
| Cite No Publication Number Kind Code <sup>1</sup> (YYYY- |   |                                    |                |                             |   | First Named In       | ventor             |  |
| 1  | 20070142036                             | A1                                 | 2007-06-21     |                             | Johan Wikman                                |                      |                    |  |
| 2  | 20130080575                             | A1                                 | 2013-03-28     |                             | Matthew Browning Prince                     |                      |                    |  |
| 3  | 20090248793                             | A1                                 | 2009-10-01     |                             | Sanny Jacobssc                              | on                   |                    |  |
|  | FORE                                    | GN PATENT                          | S AND          | PUBLIS                      | HED   | FOREIGN PAT          | ENT APPLICATIONS   |  |
| Cite No  | Foreign Document<br>Number <sup>3</sup> | Foreign Document Country Kind Publ |                | blication Date<br>YY-MM-DD) | Applicant, Patentee or First Named Inventor | <b>T</b> 2           |                    |  |
|  |   |                                    |                |                             |   |                      |                    |  |
|  | NO                                      | N-PATENT P                         | UBLIC          | ATIONS                      | (e.g  | ., journal artic     | le, Office action) |  |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16365250 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    | I        |

| Cite No   | Author (if any), title of the publication, page(s) being submitted, publication date, publisher (where available), place of publication (where available).   | Τ2 | Ee |  |  |  |  |
|---|--|----|----|--|--|--|--|
|   |  |    |    |  |  |  |  |
|   | CT 0 TE 0.07.0   |    |    |  |  |  |  |
|   | STATEMENTS   |    |    |  |  |  |  |
| application   | The party making the submission is not an individual who has a duty to disclose information with respect to the above-identified application under 37 CFR 1.56.  |    |    |  |  |  |  |
|   | ision complies with the requirements of 35 U.S.C. 122(e) and 37 CFR 1.290.<br>   |    |    |  |  |  |  |
| The fee set forth in 37 CFR 1.290(f) has been submitted herewith. The fee set forth in 37 CFR 1.290(f) is not required because this submission lists three or fewer total items and, to the knowledge of the person signing the statement after making reasonable inquiry, this submission is the first and the only submission under 35 U.S.C 122(e) filed in the above-identified application by the party making the submission or by a party in privity with the party. |  |    |    |  |  |  |  |
| The col   | This resubmission is being made responsive to a notification of non-compliance issued for an earlier filed third-party submission.<br>The corrections in this resubmission are limited to addressing the non-compliance. As such, the party making this resubmission: (1)<br>requests that the Office apply the previously-paid fee set forth in 37 CFR 1.290(f), or (2) states that no fee is required to accompany<br>this resubmission as the undersigned is again making the fee exemption statement set forth in 37 CFR 1.290(g). |    |    |  |  |  |  |

# Doc Code: 3P.RELEVANCE Document Description: Concise Description of Relevance

|            | THIRD-PARTY SUBMISSION UNDER 37 CFR 1.290<br>CONCISE DESCRIPTION OF RELEVANCE |          |  |  |  |  |  |
|------------|---|----------|--|--|--|--|--|
| Applicatio | on Number   | 16365315 |  |  |  |  |  |
|            |   |          |  |  |  |  |  |
| Cite No    | Cite No Patent Number Concise Description of Relevance                        |          |  |  |  |  |  |
|            |   |          |  |  |  |  |  |

| U.S. PATENT APPLICATION PUBLICATION                            |             |   |  |  |  |  |  |
|--|-------------|---|--|--|--|--|--|
| Cite No Publication Concise Description of Relevance<br>Number |             |   |  |  |  |  |  |
| 1  | 20090248793 | Identically to the present invention, the prior art describes a method for providing content in a<br>network. It also discloses receiving the identifier from a device, selecting a content source in the<br>network, forwarding, in response to the identifier, source information to the device (Claim 1).<br>Par 6 and Claim 3 of the prior art discloses that the optimization algorithm can be configured so<br>that at least one content source is selected according to at least one approach, for example the<br>content source being located in a geographic location in which the device is located. Par 36 and<br>Claim 4 discloses that in some implementations of the invention, preference can be given to the<br>selection of content sources associated with the same geographic location (e.g., country),<br>network, and/or internet service provider as the client device. The content can include at least<br>one content type selected from: audio content, image content, Video content, application<br>program content, and combinations (par6). |  |  |  |  |  |

| 2 | 20070142036 | Prior art discloses a method to access the content (e.g. website, or other content) contained on<br>an origin device via the intermediate device by a client device (0019;0046-56).Wikman discloses<br>a method for providing content to a remotely located electronic device, connectable to the<br>Internet, by accessing content on a device (e.g. an Internet server) located near a mobile<br>intermediate device by using the mobile intermediate device (another Internet server) as a<br>'through conduit'. Discloses a mobile intermediate device for providing content from a<br>geographically proximate origin device to a geographically remote client device, the mobile<br>intermediate device having a mobile intermediate device address to which content requests are<br>communicated from the client device, and mobile intermediate device comprising an input and<br>an output, the output arranged to provide content to a remote client device upon receipt of a<br>content request at the input from the remote client device (0020-21). |
|---|-------------|--|
|   |             |  |

| FOREIGN PATENT DOCUMENTS |                            |   |  |  |  |
|--------------------------|----------------------------|---|--|--|--|
| CiteNo                   | Foreign Document<br>Number | Concise Description of Relevance  |  |  |  |
| 1                        | 2004094980                 | The content is identified by specific selection criteria entered by user and transmitted to a server<br>or device storing the content. See 4:10-19. Fontijn discloses a group consisting of a plurality of<br>P2P devices 12, 13, 14, 15, 16, and 17. See Fig. 1; 4:32-5:3. As in the recited application, in Fontijn<br>the devices on the network are identified by IP address. See 2:12-16. Fontijn further discloses<br>selecting the second device out of the devices in the group. See 2:5-16. See Fig. 1; 4:9-29.<br>Fontijn discloses that it is known that users can select a device, see, e.g., 2:12-16, and that the<br>Server can select the device when it redirects the first device. See, e.g., 4:21-22. |  |  |  |
|                          |                            | NON DATENT DUDUCATIONS  |  |  |  |

| NON-PATENT PUBLICATIONS |           |                                  |  |  |  |
|-------------------------|-----------|----------------------------------|--|--|--|
| Cite No                 | Reference | Concise Description of Relevance |  |  |  |

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| THIRD-PARTY<br>SUBMISSION | Application Number | 16365315 |  |  |
|---------------------------|--------------------|----------|--|--|
| UNDER 37 CFR 1.290        |                    | I        |  |  |

| U.S. PATENTS |  |                              |                |                           |      |                                  |                  |                   |               |    |
|--------------|--|------------------------------|----------------|---------------------------|------|----------------------------------|------------------|-------------------|---------------|----|
| Cite No      | Patent Number  | Kind<br>Code <sup>1</sup>    | lssue<br>(YYYY | Date<br>-MM-DD            | )    | First Named Inventor             |                  |                   |               |    |
|              |  |                              |                |                           |      |                                  |                  |                   |               |    |
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| 1            | 20070142036  | A1                           | 2007-          | 06-21                     |      | Johan Wikman                     |                  |                   |               |    |
| 2            | 20090248793  | A1                           | 2009-          | 10-01                     |      | Sanny Jacobsson                  |                  |                   |               |    |
|              | FOREI  | GN PATENT                    | S AND          | PUBLIS                    | HED  | FOREIGN PATI                     | ENT APPLICATI    | ONS               |               |    |
| Cite No      | Foreign Document<br>Number <sup>3</sup>  | Country<br>Code <sup>2</sup> |                | Kind<br>Code <sup>1</sup> |      | lication Date<br>YY-MM-DD)       | Applicant, Pate  | entee or First Na | amed Inventor | T2 |
| 1            | 2004094980   | wo                           |                | A2                        | 200  | 04-11-04 Wilhelmus F. J. Fontijn |                  |                   |               |    |
|              | NO   | N-PATENT P                   |                | ATIONS                    | (e.a | iournal articl                   | e. Office action | }                 |               |    |
| Cite No      | NON-PATENT PUBLICATIONS (e.g., journal article, Office action)         Author (if any), title of the publication, page(s) being submitted, publication date,       T <sup>5</sup> E <sup>6</sup> Cite No       publisher (where available), place of publication (where available).       E <sup>6</sup> |                              |                |                           |      |                                  |                  |                   |               |    |

| THIRD-PARTY<br>SUBMISSION | Application Number | 16365315 |
|---------------------------|--------------------|----------|
| UNDER 37 CFR 1.290        |                    |          |

|   |   |                                      |                                    | [                      |                         |                       |  |
|---|---|--------------------------------------|------------------------------------|------------------------|-------------------------|-----------------------|--|
|   | STATEM  | ENTS                                 |                                    |                        |                         |                       |  |
| application under 37  | e submission is not an individual who has a duty to<br>? CFR 1.56.<br>plies with the requirements of 35 U.S.C. 122(e) an  |                                      | nation with re                     | espect to              | the abo                 | ve-identified         |  |
| The fee set forth   | n in 37 CFR 1.290(f) has been submitted herewith.   |                                      |                                    |                        |                         |                       |  |
| 🔀 the person signi  | in 37 CFR 1.290(f) is not required because this su<br>ng the statement after making reasonable inquiry<br>e above-identified application by the party makin   | , this submissio                     | n is the first a                   | nd the o               | nly subm                | ission under 35 U.S.C |  |
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| Signature   | /Jurate Breimelyte/   |                                      |                                    |                        |                         |                       |  |
| Name/Print  | Jurate Breimelyte   | Registration Nu<br>(if applicable)   | umber                              |                        |                         |                       |  |
| Examiner Signature Date Considered  |   |                                      |                                    |                        |                         |                       |  |
| *EXAMINER: Signature indicates all documents listed above have been considered, except for citations through which a line is drawn.<br>Draw line through citation if not considered. Include a copy of this form with next communication to applicant. 1. If known, enter kind of<br>document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a). 2. Enter the<br>country or patent office that issued the document, by two-letter code under WIPO standard ST.3. See MPEP 1851. 3. For Japanese patent<br>documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 4. If known,<br>enter the kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16. See MPEP 901.04(a).<br>5. Check mark indicates translation attached. 6. Check mark indicates evidence of publication attached. |   |                                      |                                    |                        |                         |                       |  |

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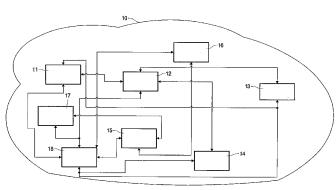
AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

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[Continued on next page]



(57) Abstract: This invention relates to a method, a device, a server and a system of / for peer to peer transfer of content. Said method includes the steps of receiving and transmitting, from a first device (11), a first request with a first selection criterion for a first content to a server (18) or to a second device (12); transferring the first content satisfying said first selection criterion to said first device from the server, when said server previously has acknowledged said first device as a legal recipient of said first content and in case said first content is available only on said server, and noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17); or re-directing said first request to a third device (13) on which the server knows that the requested first content is still available and transferring said first content satisfying said first selection criterion to said first device from the third device; or transferring the first content satisfying said first selection criterion to said first device from the second device, and informing the server that said first content has been transferred to said first device from said second device; and rewarding the one of said second or third device from which said first content was transferred form one of these; and charging said first device for reception of said first content. This enables for download, upload and sharing of legally protected paid-for content.

PG, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK, SL, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, UZ, VC, VN, YU, ZA, ZM, ZW, ARIPO patent (BW, GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG)

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Peer to peer transfer of content

This invention relates to a method of peer to peer transfer of content. The present invention also relates to a computer system for performing the

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method.

The present invention further relates to a computer program product for performing the method.

This invention further relates to a device on which parts of said method is executed.

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This invention further relates to a server on which remaining parts (not run on the device) of said method is executed.

This invention further relates to a system on which said method is executed.

15 EP 1229443 discloses a system and a method for providing advertisements in a peer to peer networking environment. Each of the advertisements is defined as a structured, language neutral metadata structure. This is used to name, describe and publish an existence of a peer to peer platform resource, such as the peer itself, a pipe or a service. The advertisements are subsequently available to other peers in the networking environment.

20 From the art it is known that Peer-to-peer is a communications model in which each party (i.e. each peer) has the same capabilities and either party can initiate a communication session. Other models with which the pure Peer-to-peer communications model might be contrasted include the *client / server* model and the *master/slave* model, both also known in the art. In some cases, peer-to-peer communications is implemented by giving

25 each communication node both server and client capabilities. In recent usage, peer-to-peer has come to describe applications in which users can use the Internet to download or upload multimedia content or simpler content in form of files with and to each other directly or through a mediating server.

On the Internet, peer-to-peer (referred to as P2P) is a type of transient Internet network that allows a group of computer users (peers) with the same networking program to connect with each other and directly access files from one another's hard drives. Napster and Gnutella are examples of this kind of peer-to-peer software.

When the Internet P2P is applied, it is known in the art that the user must first download and execute a peer-to-peer networking program, e.g. Gnutella-net is currently one of the most popular of these decentralized P2P programs because it allows users to exchange all types of files.

As discussed later, it is a problem that the files may represent a stolen property 10 right, such as music, a movie, etc, and/or the files may have a poor quality and / or said files may contain virus.

After launching the program, the user enters the IP address of another computer belonging to the network, typically, the Web page where the user obtained the download will list several IP addresses as places to begin. Once the computer finds another network member on line, it will connect to that user's connection, which has obtained their II

15 network member on-line, it will connect to that user's connection, which has obtained their IP address from a connection of another user, and so on.

It is however, a problem especially for un-experienced, unaware users that downloadable content typically available in a peer-to-peer network may be legally protected and thus it is illegal do download it and use it, i.e. play back or view said content. In other

- 20 words, many users except for the few who know they are deliberately infringing rights of the owner of copy protected content when downloaded – prefer to apply a method and device where they are secure that downloaded content is legal so that they subsequently can play back or view being sure that no rightful proprietor (of said content) is being infringed.
- It is a further problem for users that downloadable available 'for-free' (in fact stolen from a legal point of view) content can contain virus, i.e. when said content is subsequently played back or viewed on the user's device, the virus may also get life, i.e. it may be executed simultaneous with the playing back or viewing of content on the user's device. Said virus can then consequently harm the file or operating system of the device of the user thus making the user device malfunction or lose previously downloaded content as
- 30 well.

It is a further problem for users that downloadable available 'for-free' content may be in a poor quality, since the content is illegal recorded during a concert, in a cinema or recorded from the original content by means of poor quality recording equipment, thus content in this case is in fact illegal obtained and in a poor quality.

In other words, it is a problem that user are uncomfortable with 'for-free' content since it may contain virus and / or make the user a thief, if the unaware user downloads such content.

Additionally, it is a problem that users are reluctant in sharing (i.e. transmitting to others) copy protected content since they risk being caught in infringement of the rightful proprietor, if sharing, especially when using Web-pages (to download content) not telling that eventually provided material (content) from a legal point of view is in fact a violation of copyright laws.

It is a further problem that currently the real cost of a downloaded item of content is not transparent to the user.

The present invention enables users to download legally protected content when applying the method according to the invention and / or when using the device according to the invention which communicates with the server in the peer-to-peer network. Subsequently, it is legal to play it back, view it and share it with others. This is possible,

15 since the method (and the device and server applying the method) handles the property rights and the payments in a legal manner, which both the users and content providers are comfortable with, i.e. the user is assured that he does not make a thief out of himself, and the content providers (artist, singer, movie manufacturer, etc) are assured that their content is not being stolen, but paid for.

20 Further, it is assured that the downloaded content is virus-free and in an approved quality.

Additionally, users can - when applying the method by means of their device easily and legally share (i.e. transmit to others) copy protected content since some steps of the methods ensure that the proprietor of content gets paid for his content, since users are

25 charged for downloads. Further, users (of said devices), themselves can obtain a reward for sharing, this further expands sharing.

Further, it is an advantage of the invention that the real cost of a downloaded item of content is transparent to the user.

Said device and server, in combination and the system provide the same

30 advantages and solve the same problem(s) for the same reasons as described previously in relation to the method.

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The invention will be explained more fully below in connection with preferred embodiments and with reference to the drawings, in which:

fig. 1 shows a network of devices and a server; and

fig. 2 shows a method of peer to peer transfer of content.

Throughout the drawings, the same reference numerals indicate similar or corresponding features, functions, etc.

Figure 1 shows a network of devices and a server. Said network of devices
with the server are illustrated by means of reference numeral 10. As will be explained more detailed in the next figure, a first device, reference numeral 11, or its user is looking for certain content (a video film as an example), the user will then try to find out from where the video film can be obtained, i.e. downloaded. He will use a specific selection criterion for the video film content. In technical terms, his device (first device) will receive the selection

15 criterion e.g. movie name, genre, etc, which it then will send to another device (a second device. reference numeral 12,)) and to a server, reference numeral 18, since his own device (said first device) cannot know whether the server or another peer to peer device, has the requested content available. If the server has the content satisfying the selection criterion, it will provide it to the requesting device, i.e. to said first device. However, in order to offload

- 20 and distribute network usage more efficient if the server knows that another peer (device) has the requested content available, the server will redirect the transfer of content to this device which then will provide the content satisfying the selection criterion, i.e. transfer it to the requesting device equalling said first device. In the last case, the server is informed by the actual device transferring content that content has been transferred to said first device,
- 25 which then can be accordingly charged for receiving the requested content. Hereby, the first device (and its user) is comfortable with content charged for, since it is virus free and has been legally bought, i.e. the user is sure that he did not make a thief out of himself; further the user can rely on that the content has an approved quality level, since it comes form the legal owner or an administrator of network, he can trust.

30 In the first case, i.e. the server supplied directly the requested content, the server typically previously acknowledged that said first device is in fact a subscribing or paying (or one who later will pay) rightful recipient of the content, i.e. said video film. The content, in general, can be uploaded to or downloaded from more devices, e.g. reference numerals 13 and 14. In the network further devices may be present, e.g. reference numerals

Code200, UAB v. Bright Data Ltd. Code 200's Exhibit 1027 Page 379 of 455 15, 16 and 17. Generally, the server has to be accessible to and in the network of devices, i.e. to all devices, either for transfer of content the first time, and/ or subsequently for charging and rewarding, this is illustrated by means of the arrows connecting the server to the devices.

A requester needs not register or be registered to the server. There may be a 5 third party that certifies the requester to the server. The server trusts the certifier and assumes the requester is allowed to receive. Or the requester pays 'on-the-spot' using virtual tokens or a mediation service (Pay-Pal).

The network is shown for illustrative purposes, any other dynamic or static topology or arrangement of peers or devices and one or more additionally servers may also be applied in the present invention.

Any of said devices may be a video cassette recorder (VCR), a personal digital assistant (PDA), a mobile phone, a television, a radio, a DVD player, a CD player, an information panel, a web tablet, a smart remote, a peer or a personal computer.

- The device alternatives as mentioned may be understood as corresponding peers in a peer-to-peer type of transient network similar to the type found on the Internet, that allows a group of computer users (with access to their corresponding peers or devices) with the same or similar networking program or protocol to connect with each other and directly access content, e.g. in the form of files, etc to/from one another's hard drives, memories, etc.
- A peer-to-peer network is simply a network of peers, the Internet, Gnutella software, computers are all just examples of aspects of specific implementations, however the present invention applies said server for rewarding direct peer to peer content sharing, and said server is furthermore applied to charge peers for download of content. Since content typically is copy protected content, at least one of said servers tracks, charges and rewards peers (devices) for down and upload, respectively of copy protected content.

In a preferred embodiment of the invention said content comprises one or more selected from the group:

- a DVD picture and sound signal;
- a CD sound signal;
- a given digital audio format (e.g. MP3, WMA, Real Audio, WAV, etc);
- 30

25

- a given digital movie format (e.g. DivX, DVD/MPEG2, Avi, wmf, MOV, Real Video, etc);
- a given picture format (e.g. JPEG, GIF, BMP, TIFF, etc); and/or
- any such format that is capable of causing the device to emit a picture and/or sound signal, e.g. G72x, aiff, real.

This is possible since said device can be a CD player, a DVD player, a radio, a mobile phone, etc. as discussed, accordingly content can be presented, i.e. shown and/ or played back on said device.

In other words, the above content combinations are copy protected content, which, generally, are in the form of numerical, textual information, picture, video, sound and / or any combination(s) thereof, and which, generally, also are being free from virus and in an approved quality.

Figure 2 shows a method of peer to peer transfer of content. The content is transferred among device in the peer to peer network, in initial situations, i.e. the first times 10 content gets available, by means of the server.

Prior to the following steps, it is assumed that - as a starting point - that only the server can provide content; later on content can be distributed (or spread) to various devices (second, third, etc,) i.e. at later occasions these devices can provide content without directly involving the server, however, still devices requesting and receiving content are

15 charged accordingly regardless from where (i.e. from the server or from the peer to peer device) said content is being transferred.

Further, content is copy protected content, i.e. legal content being free from virus and in an approved quality. The server is in all cases – also when content is transferred directly between devices – responsible for that the copy protected content is legal, free from

- 20 virus and in the proper quality, this is possible since from the starting point content can only be introduced into the network via the server. The actual (content) data does not have to originate from the server. The server just needs to certify it. Any user may offer a piece of content to the server for certification. On the server side the content will be checked and when it is found to be acceptable, the content is certified, for download, redirection, etc. In
- 25 step 100, a first request may be received on a first device. The request typically comprises a first selection criterion for a first content, the user of the first device can e.g. key in his selection criterion for the content by means of a keyboard or by means of any common user interface know in the art, e.g. a GUI like windows, soft-keys, menu driven, click by means of a mouse, etc. The content may reside on the server and / or another second device, i.e. said
- 30 second device. Therefore, subsequently the request is transmitted from the first device to the server or to said second device, since said first device cannot know whether the server or another peer to peer (second) device has said requested first content available.

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Said first selection criterion may be composed by means of one or more combinable items, e.g. program, channel, Web-site, genre, type, topic, style, start, duration, language, title, name, hyperlink including content reference, etc.

Said first selection criterion can then be helpful for the user and to the device from which content is requested, i.e. helpful to find and subsequently transmit content having the first selection criterion, i.e. said selection criterion in general may reflect content interest(s) of a specific user, the user can therefore avoid to surf through many available channels if the device is a TV, or surf through many Web sites if his device is an Internet PC or accessed via a server from a client PC in order to find his content. The user can apply this step instead.

Said selection criterion can therefore be understood as the users own profile of interests.

As discussed, the request is transmitted to the server or to another, i.e. the second device; in general, requests are put to the network (of devices or peers) as a whole including the server(s) as stated in this step. Although, due to the nature of the network, the requests will not reach all peers in the network, they should reach at least one server, e.g. via a Kazaa like super-node that is or knows a server. In the beginning the server will only have the content available and participate in transferring the content to the requester, here said first device. If a certain number of peers have downloaded the content, the server may stop

20 offering it because it will be available from elsewhere, i.e. from said number of peers. This is in fact dealt with by means of steps 200, 300 and 400.

In step 200, the first content satisfying said first selection criterion may be transferred to said first device from the server. This is only in the case when said server previously has acknowledged said first device as a legal recipient of said first content, e.g.

25 through an eventually registration, and when said first content is available only on said server.

Subsequently, the server will note that said first device now has the requested first content available for the other devices. This implies that if the same request (for content) arrives again to the server, the first device will then be the direct content supplier instead of the server. The latter – in fact redirecting of content - is dealt with in step 300.

Alternatively, instead of step 200, in step 300, said first request is redirected to a third device. Said third device is known to the server as a device in fact still having the requested first content. Subsequently, said first content satisfying said first selection criterion is transferred to said first device from the device re-directed to, i.e. from said third device.

The server will currently check that said content in fact still is on the third device, in case the user of the third device removes or removed the particular content, the server will subsequently find out. In that case, the server must provide content it self or redirect the request (for content) to another fourth device (in place of said third device). In

5 other words, the server currently checks that content is in fact still available on said third device, and that said third device is on line, if not, the request is redirected to another, i.e. to said fourth device, etc.

Alternatively, instead of step 200 or step 300, in step 400, first content satisfying said first selection criterion is transferred to said first device from the second

- 10 device. This is only the case when said first content is in fact available on said second device; in that case the server is subsequently informed (by said second device) that said first content has actually been transferred to said first device. The reason for doing the latter is to enable the server to charge said first device for receiving content, in fact requested by it self. Conversely as in next step to enable the server to reward said second device for
- 15 transferring (and sharing) content.

It is assumed that when any device (second or third) provides or supplies content, the content, in all cases, initially came from the server or is at least approved from the server to legally be available from the other device(s) (second or third) for an eventually subsequent transfer. At later occasions, one of the other devices (second or third) devices can

- 20 provide content (originally legally approved by the server, etc) to even more devices. Further, after reception of content on the first device, this also can play the role of 'content provider', i.e. acting in the same manner as said second and third devices; in fact when more devices have received the same content (satisfying the same criterion) any of these of course play the role of 'content provider' in competition with other devices having the same content, this
- 25 lowers the waiting time for a requesting device and provides for an improvement in sharing of content among devices, this in turn also offloads the server.

Generally, in step 200, 300 and 400, the server, the third device and the second device, respectively transferred content to said first device.

In step 500, said second or third device, which in fact transferred content to said first device, is then rewarded. However, it may be the case that the server transferred content itself; in this case none, i.e. neither the second nor the third device are rewarded. However, in the general case, the second or third device is rewarded; conversely, the more rare case, i.e. the server transferred content, it will not reward itself, but it may note the transfer primarily for statistical purposes.

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In all cases, regardless from where (server, second or third device) content was transferred, i.e. in step 600, said first device is charged for reception of said first content. The charge may be dependent of a subscription fee or subscription agreements in general or on a per transfer basis (download); it may be dependent on a file length, value or duration, and / or combinations thereof. This is possible since content may be transferred embedded in or by

means of said file.

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Optionally, said method comprises the following two steps, which deals with the opposite situation, i.e. the server receives content:

In step 700, a second content satisfying a second selection criterion and the second selection criterion are uploaded to the server from a fourth device. The server should then subsequently ensure that said second content is free for virus, has the right quality level (sufficient high sampling rate, low noise, stereo, aliasing, etc) and, most importantly, is legal, for the latter the owner of the server may have agreed contracts (e.g. through licence, partly or in whole, an exclusive right, etc) with the original creator, owner or supplier of content to

15 ensure that it can be legally distributed afterwards as discussed in the steps above. The second selection criterion is uploaded with the corresponding second content in order to make said second content searchable again, when requested as discussed in step 100. The second selection criterion will be of the same nature and structure as that of said first selection criterion.

In step 800, the fourth device is rewarded. The reward is given to the fourth device in return for uploading said second content (with the second selection criterion) to the server. The reward may be given in form of credits, rebate, discounts, etc. The reward can then be used by said fourth device, if it later obtains a third content, etc.

Generally when the device is denoted first, second, etc device, it is to be understood that any device can perform the mentioned tasks, i.e. even though a first device, only as disclosed in the above steps requests content, it - as well as the other devices - may perform any task as reflected in the steps above.

Rewards, credits, rebate, discounts, the task of charging are generally dealt with by the server, i.e. the server keeps a balance of in and outgoing payments for each device up and downloading content

30 device up and downloading content.

As discussed above, for or each item of content the device has to pay a small fee. When a device is charged, a subsequent payment can be done on a transaction basis or included in telecommunication fees. The latter can be in the form of an elevated rate (price/minute) for the transfer or included in a periodic bill. Subscription is also an option. Part of the fee is direct payment to the content provider, which may be represented by said server. Part of the fee is used to award a discount to the device offering the content. I.e. users of devices can recap part of that fee by sharing desirable content.

- For each piece of content that is downloaded from a device (to the server or to another device), the device is rewarded with credits. These credits can be in the form of rebates on the purchase of new songs, on telecommunication fees or on downloading content from other devices. The credits can be proportional to the amount of data transferred, e.g. the size of the file, or proportional to the value of the song.
- The content shared by devices is verified by the server. Devices offering noncompliant, e.g. sub standard content can be excluded from the exchange based on the identification of the mobile phone identification, i.e. not satisfying the criterion in step 200 of acknowledgement.

In general, according to the present invention, a service for sanctioned P2P transfer between devices is set up. Peers or devices who want to share content are registered at the server and the content they offer may indexed, e.g. the Napster model.

The server may offer a comprehensive collection of content. This can be done using an intuitive interface for the selection of content. The offering of content can be enhanced by supporting information. If certain content is not offered by any peer (device), e.g. very new content, the server may offer the content. The latter is a temporary measure till

- 20 (enough) peers (devices) offer the content. This amounts to a transition model. Initially most content may be hosted by the server but few peers will use the redirection service. If the amount of connected peers in the network grows the demand on the redirection service will increase but at the same time the amount of content provided at the server side can decrease. Hence, if the popularity (and therefore the use) of the system increases the server will not
- 25 have to be scaled up.

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The transfer rate of content shared by peers is not guaranteed. This enables the definition of a lazy transfer mode to offer unused bandwidth at reduced price. If the premium service of voice communication uses more of the networks bandwidth, the bandwidth available to P2P transfers is reduced.

A computer readable medium may be magnetic tape, optical disc, digital versatile disk (DVD), compact disc (CD record-able or CD write-able), mini-disc, hard disk, floppy disk, smart card, PCMCIA card, etc.

In the claims, any reference signs placed between parentheses shall not be constructed as limiting the claim. The word "comprising" does not exclude the presence of

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elements or steps other than those listed in a claim. The word "a" or "an" preceding an element does not exclude the presence of a plurality of such elements.

The invention can be implemented by means of hardware comprising several distinct elements, and by means of a suitably programmed computer. In the device claim

5 enumerating several means, several of these means can be embodied by one and the same item of hardware. The mere fact that certain measures are recited in mutually different dependent claims does not indicate that a combination of these measures cannot be used to advantage.

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#### CLAIMS:

1. A method of peer to peer transfer of content, said method comprising the steps of:

receiving and transmitting (100), from a first device (11), a first request with a first selection criterion for a first content to a server (18) or to a second device (12);

transferring (200) the first content satisfying said first selection criterion to said first device from the server, when said server previously has acknowledged said first device as a legal recipient of said first content and in case said first content is available only on said server, and noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17); or

re-directing (300) said first request to a third device (13) on which the server knows that the requested first content is still available and transferring said first content satisfying said first selection criterion to said first device from the third device; or

transferring (400) the first content satisfying said first selection criterion to said first device from the second device, when said first content is available on said second

15 device, and informing the server that said first content has been transferred to said first device from said second device; and

rewarding (500) the one of said second or third device from which said first content was transferred to said first device, when content was transferred from one of these; and

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charging (600) said first device for reception of said first content.

- 2. A method according to claim 1, said method further comprising the steps of: uploading (700) a second content satisfying a second selection criterion and the second selection criterion to the server from a fourth device; and
- 25 rewarding (800) the fourth device for uploading the second content and the second criterion to the server.

3. A method according to claim 1 or 2, characterized in that said content is copy protected content, such as numerical information, picture, video, sound and combinations thereof.

5 4. A method according to any one of claims 1 through 3, characterized in that said content comprises one or more selected from the group:

- a DVD picture and sound signal;
- a CD sound signal;

a given digital audio format (e.g. MP3, WMA, Real Audio, WAV, etc);

a given digital movie format (e.g. DivX, DVD/MPEG2, Avi, wmf, MOV, Real

Video, etc);

a given picture format (e.g. JPEG, GIF, BMP, TIFF, etc); and/or any such format that is capable of causing the device to emit a picture and/or

sound signal, e.g. G72x, aiff, real.

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5. A method according to any one of claims 1 through 4, characterized in that any of said devices is a video cassette recorder (VCR), a personal digital assistant (PDA), a mobile phone, a television, a radio, a DVD player, a CD player, an information panel, a web tablet, a smart remote, a peer or a personal computer.

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6.

A device comprising:

means for receiving and transmitting a first request with a first selection criterion for a first content to a server (18) or to a second device (12);

means for receiving a redirected said first request (13) on which the server

25 knows that the requested first content is still available on said device;

means for transferring the first content satisfying said first selection criterion to a first device, when said first content is available on said device, and means for informing the server that said first content has been transferred to said first device;

means for being rewarded for transfer of content; and

30 means for being charged for reception of content.

7. A device according to claim 6 further comprising:

means for uploading a second content satisfying a second selection criterion and the second selection criterion to the server; and

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means for being rewarded for the upload of the second content and the second selection criterion to the server.

8. A server comprising:

means for receiving a first request with a first selection criterion for a first content;

means for transferring the first content satisfying said first selection criterion to a first device, when said server previously has acknowledged said first device as a legal recipient of said first content and in case said first content is available only on said server,

10 and means for noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17); and / or

means for re-directing said first request to a third device (13) on which the server knows that the requested first content is still available; and / or

means for being informed that said first content has been transferred to said first device from said third device;

means for rewarding the one of said second or third device from which said first content was transferred to said first device, when content was transferred from one of these; and

means for charging said first device for reception of said first content.

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A server according to claim 8 further comprising:

means for being uploaded with a second content satisfying a second selection criterion and means for being uploaded with the second selection criterion from a fourth device; and

means for rewarding the fourth device for uploading the second content and the second criterion.

10. A system comprising:

means for receiving and transmitting, from a first device (11), a first request with a first selection criterion for a first content to a server (18) or to a second device (12); means for transferring the first content satisfying said first selection criterion to said first device from the server, when said server previously has acknowledged said first

device as a legal recipient of said first content and in case said first content is available only

on said server, and noting that said first device subsequently has the requested first content available for other devices (14, 15, 16, 17);

means for re-directing said first request to a third device (13) on which the server knows that the requested first content is still available and transferring said first content satisfying said first selection criterion to said first device from the third device;

means for transferring the first content satisfying said first selection criterion to said first device from the second device, when said first content is available on said second device, and informing the server that said first content has been transferred to said first device from said second device;

means for rewarding the one of said second or third device from which said first content was transferred to said first device, when content was transferred from one of these; and

means for charging said first device for reception of said first content.

15 11. A system according to claim 10 further comprising:

means for uploading a second content satisfying a second selection criterion and the second selection criterion to the server from a fourth device; and

means for rewarding the fourth device for uploading the second content and the second criterion to the server.

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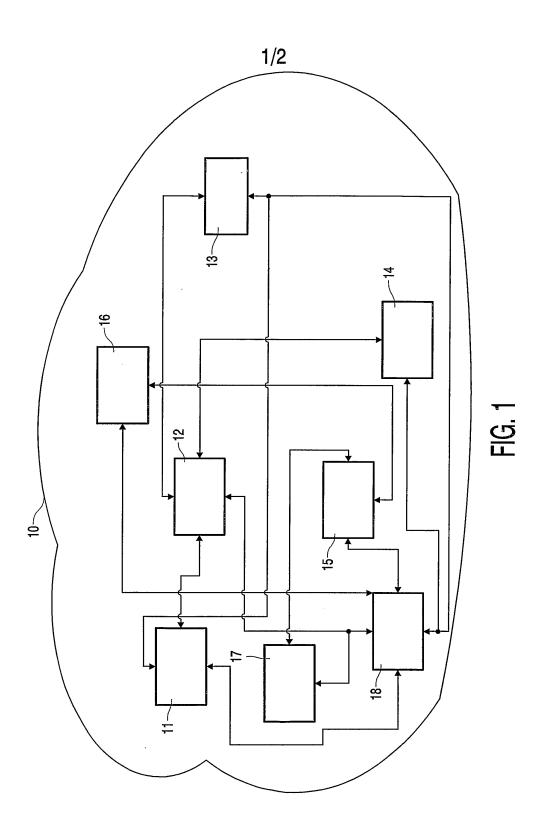
10

12. A computer system for performing the method according to any one of claims1 through 5.

 A computer program product comprising program code means stored on a
 computer readable medium for performing the method of any one of claims 1 through 5 when the computer program is run on a computer.

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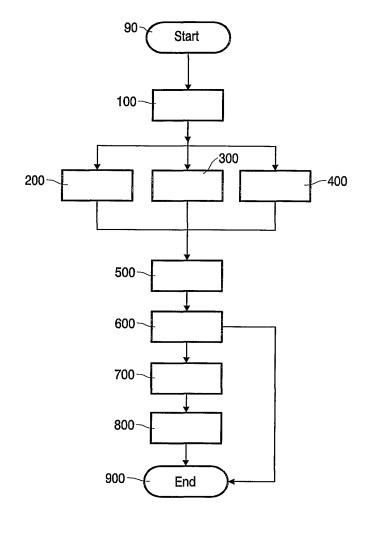


FIG. 2

Code200, UAB v. Bright Data Ltd. Code 200's Exhibit 1027 Page 392 of 455 Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18) Approved for use through 11/30/2020. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Application Number     |                   | 16278106     |  |
|--|------------------------|-------------------|--------------|--|
|  | Filing Date            |                   | 2019-02-17   |  |
|  | First Named Inventor   | Derry             | Shribman     |  |
|  | Art Unit               |                   | 2459         |  |
|  | Examiner Name          | Nguyen, Minh Chau |              |  |
|  | Attorney Docket Number |                   | HOLA-005-US9 |  |

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# INFORMATION DISCLOSURE Application Number 16278106 Filing Date 2019-02-17 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name Nguyen, Minh Chau Attorney Docket Number HOLA-005-US9

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| 10 | Net Transport News, Website: http://www.xi-soft.com/news.htm describing Net Transport News dated 2005<br>downloaded using Net Transport webpage on Aug 16, 2019 (5 pages)  |

| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Application Number     |       | 16278106      |  |
|--|------------------------|-------|---------------|--|
|  | Filing Date            |       | 2019-02-17    |  |
|  | First Named Inventor   | Derry | Shribman      |  |
|  | Art Unit               |       | 2459          |  |
|  | Examiner Name          | Nguye | en, Minh Chau |  |
|  | Attorney Docket Number |       | HOLA-005-US9  |  |

| EXAMINER SIGNATURE  |        |           |  |  |  |
|---|--------|-----------|--|--|--|
| Examiner Signature  | Date C | onsidered |  |  |  |
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.   |        |           |  |  |  |
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|  | Filing Date            |       | 2019-02-17    |  |
|  | First Named Inventor   | Derry | Shribman      |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit               |       | 2459          |  |
|  | Examiner Name          | Nguye | en, Minh Chau |  |
|  | Attorney Docket Number |       | HOLA-005-US9  |  |

### **CERTIFICATION STATEMENT**

| Please see 37 | 7 CFR 1.97 | and 1.98 to make | the appropriate selection(s): |
|---------------|------------|------------------|-------------------------------|
|---------------|------------|------------------|-------------------------------|

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

# OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

 $\times$  The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-08-19 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73612      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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| Electronic Patent Application Fee Transmittal |   |           |          |        |                         |  |
|---|---|-----------|----------|--------|-------------------------|--|
| Application Number:                           | 16  | 278106    |          |        |                         |  |
| Filing Date:                                  | 17.   | -Feb-2019 |          |        |                         |  |
| Title of Invention:                           | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |           |          |        | COMMUNICATION           |  |
| First Named Inventor/Applicant Name:          | Derry Shribman  |           |          |        |                         |  |
| Filer:  | Yehuda Binder/Dorit Binder                                    |           |          |        |                         |  |
| Attorney Docket Number:                       | Docket Number: HOLA-005-US9                                   |           |          |        |                         |  |
| Filed as Small Entity                         |   |           |          |        |                         |  |
| Filing Fees for Utility under 35 USC 111(a)   |   |           |          |        |                         |  |
| Description                                   |   | Fee Code  | Quantity | Amount | Sub-Total in<br>USD(\$) |  |
| Basic Filing:                                 |   |           |          |        |                         |  |
| Pages:  |   |           |          |        |                         |  |
| Claims:                                       |   |           |          |        |                         |  |
| Miscellaneous-Filing:                         |   |           |          |        |                         |  |
| Petition:                                     |   |           |          |        |                         |  |
| Patent-Appeals-and-Interference:              | Patent-Appeals-and-Interference:                              |           |          |        |                         |  |
| Post-Allowance-and-Post-Issuance:             |   |           |          |        |                         |  |
| Extension-of-Time:                            |   |           |          |        |                         |  |

| Description                             | Fee Code | Quantity  | Amount | Sub-Total in<br>USD(\$) |
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| Electronic Acl                       | knowledgement Receipt   |
|--------------------------------------|---|
| EFS ID:                              | 36921900  |
| Application Number:                  | 16278106  |
| International Application Number:    |   |
| Confirmation Number:                 | 3397  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |
| First Named Inventor/Applicant Name: | Derry Shribman  |
| Customer Number:                     | 131926  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |
| Filer Authorized By:                 | Yehuda Binder   |
| Attorney Docket Number:              | HOLA-005-US9  |
| Receipt Date:                        | 20-AUG-2019   |
| Filing Date:                         | 17-FEB-2019   |
| Time Stamp:                          | 11:30:44  |
| Application Type:                    | Utility under 35 USC 111(a)                                   |

# Payment information:

| Submitted with Payment   | yes              |  |  |  |  |
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| Payment Type   | DA               |  |  |  |  |
| Payment was successfully received in RAM   | \$120            |  |  |  |  |
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| File Listing  | <b>j</b> :            |  |  |                     |                     |
|---|-----------------------|--|--|---------------------|---------------------|
| Document<br>Number                                      | Document Description  | File Name                                | File Size(Bytes)/<br>Message Digest          | Multi<br>Part /.zip | Pages<br>(if appl.) |
|   |                       |  | 1035393                                      |                     |                     |
| 1 Information Disclosure Statement (IDS)<br>Form (SB08) |                       | Second-OXYLABS-IDS-005-US9.<br>pdf       | 89c171b06f1bf1a7c02f0d0e3150a283a633<br>fe83 | no                  | 5                   |
| Warnings:   |                       |  | ļ I  |                     |                     |
| Information:  |                       |  |  |                     |                     |
|   |                       |  | 982664                                       |                     |                     |
| 2   | Non Patent Literature | OL-experiences.PDF                       | ff387b22c928ab346236e7bc4bc67a1d3c13<br>2a13 | no                  | 17                  |
| Warnings:   |                       |  | <u> </u>                                     | I                   |                     |
| Information:  |                       |  |  |                     |                     |
|   |                       |  | 90341  |                     | 5                   |
| 3   | Non Patent Literature | OL-Net-Transport-News.pdf                | 916adac0be4dc35a9b455f6c4b8f95647028<br>3da3 | no                  |                     |
| Warnings:   |                       |  | l  |                     |                     |
| Information:  |                       |  |  |                     |                     |
|   |                       |  | 90310  |                     |                     |
| 4   | Non Patent Literature | OL-Net-Transport-FAQ.pdf                 | ae2f081d8c86db4faa96979e2d5f79bf0a19<br>514b | no                  | 4                   |
| Warnings:   |                       |  | μΙ   |                     |                     |
| Information:  |                       |  |  |                     |                     |
|   |                       |  | 193749                                       |                     |                     |
| 5   | Non Patent Literature | OL-Net-Transport-Develop-<br>History.pdf | a7b92f38e227aa84a54392d80369e6c4838<br>1d92f | no                  | 10                  |
| Warnings:   |                       |  | ļI   |                     |                     |
| Information:  |                       |  |  |                     |                     |
|   |                       |  | 668603                                       |                     |                     |
| 6   | Non Patent Literature | OL-Net-Transport.pdf                     | 20b39ac01f150e34dbec3862c09230155f9b<br>76a1 | no                  | 2                   |

|              |                       |                             | 215553                                       |       |   |
|--------------|-----------------------|-----------------------------|--|-------|---|
| 7            | Non Patent Literature | OL-CoralCDN.pdf             | 2152ae3c634318d6a861ecf51b8ff88ce211<br>d1b7 | no    | 2 |
| Warnings:    |                       | ŀ                           | L L  |       |   |
| Information: |                       |                             |  |       |   |
|              |                       |                             | 69665  |       |   |
| 8            | Non Patent Literature | OL-Welcome-Easy-Hide-IP.pdf | e61b31001bb6d3dc0a2789519174da2c25<br>037d4c | no    | 2 |
| Warnings:    |                       | -                           | ĮI   |       |   |
| Information: |                       |                             |  |       |   |
|              |                       |                             | 175276                                       |       |   |
| 9            | Non Patent Literature | OL-VIP-SOCKS.pdf            | ce563cada54e4eb9ad18e4a0a59f077452e<br>aa1f9 | no    | 3 |
| Warnings:    |                       | -                           | ĮI   |       |   |
| Information: |                       |                             |  |       |   |
|              |                       |                             | 316933                                       |       |   |
| 10           | Non Patent Literature | OL-BitTorrent.pdf           | d4652b3b25a9336786b2c2a9c54863fadd1<br>7ca77 | no    | 9 |
| Warnings:    |                       |                             | ļ l  |       |   |
| Information: |                       |                             |  |       |   |
|              |                       |                             | 86208  |       |   |
| 11           | Non Patent Literature | OL-BitTorrent-Protocol.pdf  | 62077f34492d991fec947aa0e4f93cce8da3<br>14d3 | no    | 6 |
| Warnings:    |                       |                             | <u> </u>                                     |       |   |
| Information: |                       |                             |  |       |   |
|              |                       |                             | 30225  |       |   |
| 12           | Fee Worksheet (SB06)  | fee-info.pdf                | ec9166f1a5e0e13ede65db3b6b9bd66d80<br>9890f8 | no    | 2 |
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| Information: |                       |                             |  |       |   |
|              |                       | Total Files Size (in bytes) | 395  | 54920 |   |

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If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

# NOTICE OF ALLOWANCE AND FEE(S) DUE

 131926
 7590
 10/10/2019

 May Patents Ltd. c/o Dorit Shem-Tov
 P.O.B 7230
 Ramat-Gan, 5217102

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# EXAMINER NGUYEN, MINH CHAU ART UNIT PAPER NUMBER

2459 DATE MAILED: 10/10/2019

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
| 16/278,106      | 02/17/2019  | Derry Shribman       | HOLA-005-US9        | 3397             |

TITLE OF INVENTION: SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION

| APPLN. TYPE    | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE   |
|----------------|---------------|---------------|---------------------|----------------------|------------------|------------|
| nonprovisional | SMALL         | \$500         | \$0.00              | \$0.00               | \$500            | 01/10/2020 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN <u>THREE MONTHS</u> FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. <u>THIS STATUTORY PERIOD</u> <u>CANNOT BE EXTENDED</u>. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

### HOW TO REPLY TO THIS NOTICE:

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If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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| <sup>131926</sup><br>May Patents L<br>P.O.B 7230<br>Ramat-Gan, 521<br>ISRAEL   | td. c/o Dorit Shem   | /2019<br>. <b>-Tov</b>   |   |  | State<br>addr   | eby certify that this<br>es Postal Service we<br>essed to the Mail S   | is Fee(s<br>ith suf<br>Stop IS         | ficient postage for first<br>SUE FEE address abov                          | nission<br>deposited with the United<br>class mail in an envelope<br>ve, or being transmitted to<br>3-2885, on the date below.<br>(Typed or printed name)<br>(Signature) |
|  |  |  |   |  |   |  |  |  | (Date)   |
| APPLICATION NO.  | FILING DATE  |  |   | FIRST NAMED INVER  | NTOR  |  | ATTO                                   | RNEY DOCKET NO.  | CONFIRMATION NO.   |
| 16/278,106   | 02/17/2019   |  |   | Derry Shribman   |   |  | Н                                      | OLA-005-US9  | 3397   |
| TITLE OF INVENTION   | N: SYSTEM PROVIDING  | G FAST   | TER AND MORE  | EFFICIENT DATA (   | СОМ   | MUNICATION   |  |  |  |
| APPLN. TYPE  | ENTITY STATUS  | IS   | SUE FEE DUE   | PUBLICATION FEE  | DUE   | PREV. PAID ISSU  | E FEE                                  | TOTAL FEE(S) DUE   | DATE DUE   |
| nonprovisional   | SMALL  |  | \$500   | \$0.00   |   | \$0.00   |  | \$500  | 01/10/2020   |
| EXAM   | AINER  |  | ART UNIT  | CLASS-SUBCLAS  | s   |  |  |  |  |
| NGUYEN, N  | MINH CHAU  |  | 2459  | 709-202000   |   |  |  |  |  |
| CFR 1.363).<br>Change of corresp<br>Address form PTO/S<br>"Fee Address" ind<br>SB/47; Rev 03-09 or<br>Number is required.<br>3. ASSIGNEE NAME A<br>PLEASE NOTE: Unl<br>recorded, or filed for  | lication (or "Fee Address<br>more recent) attached. U<br>ND RESIDENCE DATA<br>ess an assignee is identifi<br>recordation, as set forth i | nge of (<br>" Indica<br>se of a (<br>A TO B)<br>ed belo <sup>*</sup> | Correspondence<br>tion form PTO/<br><b>Customer</b><br>E PRINTED ON 7<br>w, no assignee dat | <ol> <li>The names of<br/>or agents OR, alte</li> <li>The name of a<br/>registered attorney<br/>2 registered paten<br/>listed, no name way</li> </ol>  | up to<br>rnativ<br>singl<br>y or a<br>t atto:<br>ill be<br>or typ | e firm (having as a<br>gent) and the nam<br>rneys or agents. If i<br>printed.<br>(e)<br>If an assignee is id | t attorn<br>memb<br>es of up<br>no nam | 1<br>er a 2<br>e is 3<br>d below, the document                             | must have been previously ment.  |
| <ul> <li>(A) NAME OF ASSI</li> <li>Please check the appropriate of the approprepared of the appropriate of the approprise of the appropria</li></ul> | riate assignee category or   | _  | ries (will not be pr<br>Fee (if required)   | -  | 🗅 In  |  | ration o                               | or other private group e   | ntity 🖵 Government   |
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| The Director is he   | ereby authorized to charg  | e the rec  | quired fee(s), any  | deficiency, or credit a  | ny ov   | erpayment to Depo  | osit Acc                               | count No   |  |
| Applicant certifyi   | ttus (from status indicate<br>ng micro entity status. Se<br>g small entity status. See<br>ng to regular undiscounte                      | e 37 CF<br>37 CFF  | FR 1.29<br>R 1.27   | fee payment in the n<br><u>NOTE:</u> If the application of the second sec | nicro<br>ation<br>of loss<br>is boy                               | entity amount will<br>was previously unc<br>of entitlement to r<br>will be taken to be                       | not be<br>ler mic<br>nicro e           | accepted at the risk of a<br>ro entity status, checkir<br>ntity status.    | /SB/15A and 15B), issue<br>application abandonment.<br>ng this box will be taken<br>lement to small or micro   |
| NOTE: This form must l   | be signed in accordance v  | vith 37  | CFR 1.31 and 1.33   | 3. See 37 CFR 1.4 for  | signa   | ^  |  |  |  |
| Authorized Signature   |  |  |   |  |   | Date   |  |  |  |
| Typed or printed nam   |  |  |   |  |   | Registration N   | o                                      |  |  |
| PTOL-85 Part B (08-18)   | Approved for use throug  | h 01/31  | //2020  | Page 2 of 3<br>OMB 0651-0033   | τ   | J.S. Patent and Tra  | demark                                 | Coffice; U.S. DEPAR1   | TMENT OF COMMERCE  |

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| APPLICATION NO.            | FILING DATE                               | FIRST NAMED INVENTOR   | ATTORNEY DOCKET NO.    | CONFIRMATION NO. |  |  |
| 16/278,106                 | 02/17/2019                                | Derry Shribman   | HOLA-005-US9           | 3397             |  |  |
| 131926 75                  | 90 10/10/2019                             |  | EXAM                   | IINER            |  |  |
| May Patents Ltd.           | c/o Dorit Shem-Tov                        |  | NGUYEN, M              | 1INH CHAU        |  |  |
| P.O.B 7230                 | 02  |  | ART UNIT               | PAPER NUMBER     |  |  |
| Ramat-Gan, 52171<br>ISRAEL | 02  |  | 2459                   |                  |  |  |
|                            |   |  | DATE MAILED: 10/10/201 | 9                |  |  |

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

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The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

|   | Application No. 16/278,106   | Applicant(s<br>Shribman e               |   |  |  |  |
|---|--|---|---|--|--|--|
| Notice of Allowability  | Examiner<br>MINH CHAU N NGUYEN   | Art Unit<br>2459                        | AIA (FITF) Status                           |  |  |  |
| The MAILING DATE of this communication approximation approximation approximation approximation approximation of the series of allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313 1. This communication is responsive to Amendment, filed 07/0  A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was | (OR REMAINS) CLOSED in this<br>or other appropriate communica<br>IGHTS. This application is subje<br>and MPEP 1308.<br>01/2019.  | application. If no ation will be mailed | t included<br>d in due course. T <b>HIS</b> |  |  |  |
| 2. An election was made by the applicant in response to a response to a response to a response to a restriction requirement and election have been incorporated   |  | ring the interview                      | on; the                                     |  |  |  |
| 3. The allowed claim(s) is/are <u>1 and 3-24</u> . As a result of the a Prosecution Highway program at a participating intellectu , please see http://www.uspto.gov/patents/init_events/p   | al property office for the corresp   | onding application                      | <ol> <li>For more information</li> </ol>    |  |  |  |
| 4. Acknowledgment is made of a claim for foreign priority und<br>Certified copies:  | er 35 U.S.C. § 119(a)-(d) or (f).  |   |   |  |  |  |
| a) []All b) [] Some *c) [] None of the:   |  |   |   |  |  |  |
| <ol> <li>Certified copies of the priority documents have been received.</li> <li>Certified copies of the priority documents have been received in Application No</li> </ol>   |  |   |   |  |  |  |
| <ol> <li>Copies of the certified copies of the priority do<br/>International Bureau (PCT Rule 17.2(a)).</li> </ol>  | 3. Oppies of the certified copies of the priority documents have been received in this national stage application from the<br>International Bureau (PCT Rule 17.2(a)). |   |   |  |  |  |
| * Certified copies not received:  |  |   |   |  |  |  |
| Applicant has THREE MONTHS FROM THE "MAILING DATE<br>noted below. Failure to timely comply will result in ABANDONN<br>THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.  |  | reply complying w                       | vith the requirements                       |  |  |  |
| 5. CORRECTED DRAWINGS (as "replacement sheets") mus   | t be submitted.  |   |   |  |  |  |
| including changes required by the attached Examiner's<br>Paper No./Mail Date  | s Amendment / Comment or in t  | he Office action of                     | f   |  |  |  |
| Identifying indicia such as the application number (see 37 CFR 1 sheet. Replacement sheet(s) should be labeled as such in the he  |  |   | nt (not the back) of each                   |  |  |  |
| 6. DEPOSIT OF and/or INFORMATION about the deposit of E<br>attached Examiner's comment regarding REQUIREMENT I  |  |   |   |  |  |  |
| Attachment(s) 1. Notice of References Cited (PTO-892)   | 5. 🗌 Examiner's Ar   | nendment/Comm                           | ent   |  |  |  |
| 2. Information Disclosure Statements (PTO/SB/08),   | 6. 🗹 Examiner's St   | atement of Reasc                        | ons for Allowance                           |  |  |  |
| Paper No./Mail Date 07/01/2019; 08/06/2019; 08/20/2019.<br>3. Examiner's Comment Regarding Requirement for Deposit<br>of Biological Material  | 7. 🗌 Other   |   |   |  |  |  |
| 4. Interview Summary (PTO-413),<br>Paper No./Mail Date.   |  |   |   |  |  |  |
| /MINH CHAU NGUYEN/<br>Primary Examiner, Art Unit 2459   |  |   |   |  |  |  |
|   |  |   |   |  |  |  |
| U.S. Patent and Trademark Office<br>PTOL-37 (Rev. 08-13) Notice   | of Allowability  | Part of Paper No.                       | /Mail Date 20190926                         |  |  |  |

#### Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

#### **Response to Amendment**

Applicant's amendment dated July 1, 2019 responding to May 30, 2019 Office Action provided in the rejection of claims 1-24; wherein claim 2 has been canceled. **Claims 1, 3-24** remain pending in the application and which have been fully considered by the examiner.

The terminal disclaimer filed on July 1, 2019 had been approved.

## **REASONS FOR ALLOWANCE**

The following is an Examiner's statement of reasons for allowance:

Claims 1, 3-24 are considered allowable since when reading the claims in light of the specification, as per MPEP §2111.01 or Toro Co. v. White Consolidated Industries Inc., 199 F.3d 1295, 1301, 53 USPQ2d 1065, 1069 (Fed. Cir. 1999), none of the references of record alone or in combination disclose or suggest the combination of limitations specified in **independent** claim 1.

For example, the independent claims contain limitations, a first client device comprising: receiving, from a second server over TCP connection, the first content identifier; sending, to a web server over the Internet, the first content identifier; receiving, the first content from the web server in response to the sending of the first content identifier; and sending the received first content back to the second server over the TCP connection, in response to the receiving of the first content identifier. Therefore, the Examiner agrees that the limitations of the independent claims, Application/Control Number: 16/278,106 Art Unit: 2459

within its environment, is allowable subject matter over the prior art, in light of the specification and in view of the Applicant's arguments.

Because **claims 3-24** depend directly or indirectly on claim 1, these claims are considered allowable for at least the same reasons noted above with respect to **claim 1**.

To the extent that these features are not found in the prior art cited by Examiner, the present case is held allowable over the art of record.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance".

# Correspondence Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH CHAU N NGUYEN whose telephone number is (571)272-4242. The examiner can normally be reached on M-F 8am-4pm.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, JEFFREY NICKERSON can be reached on (571)270-3631. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 16/278,106 Art Unit: 2459

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MINH CHAU NGUYEN/ Primary Examiner, Art Unit 2459

|              | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|--------------|-------------------------|---|
| Search Notes | 16/278,106              | Shribman et al.                         |
|              | Examiner                | Art Unit                                |
|              | MINH CHAU N NGUYEN      | 2459                                    |

| CPC - Searched* |            |          |
|-----------------|------------|----------|
| Symbol          | Date       | Examiner |
| H04L67/42       | 05/28/2019 | MN       |
| H04L41/046      | 05/28/2019 | MN       |
| H04L67/22       | 05/28/2019 | MN       |
| H04L67/108      | 05/28/2019 | MN       |
| H04L67/1002     | 05/28/2019 | MN       |
| H04L67/1023     | 05/28/2019 | MN       |

| CPC Combination Sets - Searched* |      |          |
|----------------------------------|------|----------|
| Symbol                           | Date | Examiner |
|                                  |      |          |

| US Classificat | ion - Searched*              |            |    |  |
|----------------|------------------------------|------------|----|--|
| Class          | Class Subclass Date Examiner |            |    |  |
| 709            | 202                          | 05/28/2019 | MN |  |

\* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

| Search Notes                          |            |          |
|---------------------------------------|------------|----------|
| Search Notes                          | Date       | Examiner |
| search on EAST                        | 05/28/2019 | MN       |
| update search on EAST, google patents | 09/26/2019 | MN       |
| review IDs                            | 09/26/2019 | MN       |

| /MINH CHAU NGUYEN/<br>Primary Examiner, Art Unit 2459 |  |
|---|--|
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Part of Paper No.: 20190926

|              | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|--------------|-------------------------|---|
| Search Notes | 16/278,106              | Shribman et al.                         |
|              | Examiner                | Art Unit                                |
|              | MINH CHAU N NGUYEN      | 2459                                    |

| Interference Search               |                          |            |          |
|-----------------------------------|--------------------------|------------|----------|
| US Class/CPC<br>Symbol            | US Subclass/CPC Group    | Date       | Examiner |
| USPAT,<br>USPG-Pub text<br>search | Independent claim search | 09/26/2019 | MN       |

/MINH CHAU NGUYEN/ Primary Examiner, Art Unit 2459

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|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 16/278,106              | Shribman et al.                         |
|                      | Examiner                | Art Unit                                |
|                      | MINH CHAU N NGUYEN      | 2459                                    |

| CPC    |    |        |      |            |
|--------|----|--------|------|------------|
| Symbol |    |        | Туре | Version    |
| H04L   | 67 | 42     | F    | 2013-01-01 |
| H04L   | 41 | 046    | 1    | 2013-01-01 |
| H04L   | 67 | / 22   | 1    | 2013-01-01 |
| H04L   | 67 | 1063   | 1    | 2013-01-01 |
| H04L   | 67 | / 2814 | 1    | 2013-01-01 |
| H04L   | 67 | 2819   | 1    | 2013-01-01 |
| H04L   | 67 | / 1002 | 1    | 2013-01-01 |
| H04L   | 67 | 1023   | 1    | 2013-01-01 |
| H04L   | 67 | / 108  | 1    | 2013-01-01 |
| H04L   | 67 | 02     | А    | 2013-01-01 |

| CPC Combination Sets |      |     |         |         |
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| Symbol               | Туре | Set | Ranking | Version |
|                      |      |     |         |         |

| NONE  |                   | Total Claims        | s Allowed:             |
|---|-------------------|---------------------|------------------------|
| (Assistant Examiner)                                  | (Date)            | 23                  | 3                      |
| /MINH CHAU NGUYEN/<br>Primary Examiner, Art Unit 2459 | 26 September 2019 | O.G. Print Claim(s) | O.G. Print Figure      |
| (Primary Examiner)                                    | (Date)            | 1                   | 1                      |
| U.S. Patent and Trademark Office                      |                   | Part                | of Paper No.: 20190926 |

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|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 16/278,106              | Shribman et al.                         |
|                      | Examiner                | Art Unit                                |
|                      | MINH CHAU N NGUYEN      | 2459                                    |

| INTERNATIONAL CLASSIF | CATION |    |  |
|-----------------------|--------|----|--|
| CLAIMED               |        |    |  |
| H04L                  | 29     | 06 |  |
| H04L                  | 29     | 08 |  |
| H04L                  | 12     | 24 |  |
| NON-CLAIMED           |        |    |  |
|                       |        |    |  |

| US ORIGINAL CLASSIFICATION |       |                                   |  |  |  |  |
|----------------------------|-------|-----------------------------------|--|--|--|--|
|                            | CLASS | SUBCLASS                          |  |  |  |  |
|                            |       |                                   |  |  |  |  |
| CROSS REFERENC             | ES(S) |                                   |  |  |  |  |
| CLASS                      |       | SUBCLASS (ONE SUBCLASS PER BLOCK) |  |  |  |  |

| NONE  |                   | Total Claims        | s Allowed:             |  |
|---|-------------------|---------------------|------------------------|--|
| (Assistant Examiner)                                  | (Date)            | 23                  |                        |  |
| /MINH CHAU NGUYEN/<br>Primary Examiner, Art Unit 2459 | 26 September 2019 | O.G. Print Claim(s) | O.G. Print Figure      |  |
| (Primary Examiner)                                    | (Date)            | 1                   | 1                      |  |
| U.S. Patent and Trademark Office                      |                   | Part                | of Paper No.: 20190926 |  |

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|                      | Application/Control No. | Applicant(s)/Patent Under Reexamination |
|----------------------|-------------------------|---|
| Issue Classification | 16/278,106              | Shribman et al.                         |
|                      | Examiner                | Art Unit                                |
|                      | MINH CHAU N NGUYEN      | 2459                                    |

|       | Claims renumbered in the same order as presented by applicant CPA 🗹 T.D. 🗌 R.1.47 |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
|-------|---|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|-------|----------|
| CLAIM | CLAIMS  |       |          |       |          |       |          |       |          |       |          |       |          |       |          |
| Final | Original  | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original |
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| 16    | 4   | 2     | 13       | 13    | 22       |       |          |       |          |       |          |       |          |       |          |
| 17    | 5   | 3     | 14       | 14    | 23       |       |          |       |          |       |          |       |          |       |          |
| 18    | 6   | 4     | 15       | 23    | 24       |       |          |       |          |       |          |       |          |       |          |
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|                   | rotal Claims   | s Allowed:                               |  |
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| (Date)            | 1  | 1  |  |
|                   | 26 September 2019  | 26 September 2019<br>O.G. Print Claim(s) |  |

U.S. Patent and Trademark Office

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|                |    | Application/Control No | Applica  | Applicant(s)/Patent Under Reexamination |     |  |        |
|----------------|----|------------------------|----------|---|-----|--|--------|
| Index of Clain | ns | 16/278,106             | Shribma  | Shribman et al.                         |     |  |        |
|                |    | Examiner               | Art Unit | Art Unit                                |     |  |        |
|                |    | MINH CHAU N NGU        | 2459     | 2459                                    |     |  |        |
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| 🗌 Clain | ns renumbe | red in the sa | ime order as | s presented | by applican | t    | 🗌 СРА | 🗹 T.[ | D. 🗌 | R.1.47 |
| CL      | AIM        |               |              |             |             | DATE |       |       |      |        |
| Final   | Original   | 05/28/2019    | 09/26/2019   |             |             |      |       |       |      |        |
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| 18      | 6          | ✓             | =            |             |             |      |       |       |      |        |
| 19      | 7          | √             | =            |             |             |      |       |       |      |        |
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| 6       | 9          | 1             | =            |             |             |      |       |       |      |        |
| 7       | 10         | 1             | =            |             |             |      |       |       |      |        |
| 8       | 11         | 1             | =            |             |             |      |       |       |      |        |
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| 2       | 13         | 1             | =            |             |             |      |       |       |      |        |
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| 11      | 18         | ✓             | =            |             |             |      |       |       |      |        |
| 12      | 19         | ✓             | =            |             |             |      |       |       |      |        |
| 21      | 20         | ✓<br>✓        | =            |             |             |      |       |       |      |        |
| 22      | 21         | <b>√</b>      | =            |             |             |      |       |       |      |        |
| 13      | 22         | <b>√</b>      | =            |             |             |      |       |       |      |        |
| 14      | 23         | <b>√</b>      | =            |             |             |      |       |       |      |        |
| 23      | 24         | ✓             | =            |             |             |      |       |       |      |        |
|         |            |               |              |             |             |      |       |       | 1    |        |

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18) Approved for use through 11/30/2020. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  | Application Number   |                | 16278106      |  |
|--|----------------------|----------------|---------------|--|
|  | Filing Date          |                | 2019-02-17    |  |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry Shribman |               |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |                | 2459          |  |
|  | Examiner Name        | Nguye          | en, Minh Chau |  |
|  | Attorney Docket Numb | er             | HOLA-005-US9  |  |

|                      |  |                     |                           | U.S        | PATENTS  | Remove   |  |  |  |
|----------------------|--|---------------------|---------------------------|------------|--|--|--|--|--|
| Examiner<br>Initial* | Cite<br>No   | Patent Number       | Kind<br>Code <sup>1</sup> | Issue Date | Name of Patentee or Applicant<br>of cited Document | Pages,Columns,Lines where<br>Relevant Passages or Relevant<br>Figures Appear |  |  |  |
| /M.N/                | 1  | 9177157             | B2                        | 2015-11-03 | Yehuda Binder                                      |  |  |  |  |
| lf you wish          | 1       9177157       B2       2015-11-03       Yehuda Binder       Add         h to add additional U.S. Patent citation information please click the Add button.       Add       Add         U.S.Patent citation information please click the Add button.         Add         Output to the Add button.         Add         U.S.Patent citation information please click the Add button.         Add         Output to the Add button.         Add         Publication Name of Patentee or Applicant of cited Document         Number       Date       Name of Patentee or Applicant of cited Document       Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear         1       20070142036       A1       2007-06-21       Johan Wikman       Output to the Add Document       Image: Appear         2       20090248793       A1       2009-10-01       Sanny Jacobsson       Image: Appear |                     |                           |            |  |  |  |  |  |
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| Examiner<br>Initial* | Cite No  |                     |                           |            |  | Relevant Passages or Relevant  |  |  |  |
| /M.N/                | 1  | 20070142036         | A1                        | 2007-06-21 | Johan Wikman                                       |  |  |  |  |
|                      | 2  | 20090248793         | A1                        | 2009-10-01 | Sanny Jacobsson                                    |  |  |  |  |
|                      | 3  | 20130080575         | A1                        | 2013-03-28 | Matthew Browning Prince                            |  |  |  |  |
|                      | 4  | 20110066924         | A1                        | 2011-03-17 | Gregory Dorso                                      |  |  |  |  |
|                      | 5  | 20120246273         | A1                        | 2012-09-27 | Claudson F. Bornstein                              |  |  |  |  |
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# INFORMATION DISCLOSURE Application Number 16278106 Filing Date 2019-02-17 First Named Inventor Derry Stribman Art Unit 2459 Examiner Name Nguy=n, Minh Chau Attorney Docket Number HOLA-005-US9

| Examiner<br>Initial*   | Cite<br>No | Foreign Document<br>Number <sup>3</sup> | Country<br>Code²i         | Kind<br>Code⁴ | Publication<br>Date | Name of Patentee or<br>Applicant of cited<br>Document | Pages,Columns,Lines<br>where Relevant<br>Passages or Relevant<br>Figures Appear | T5 |
|--|------------|---|---------------------------|---------------|---------------------|---|---|----|
| /M.N/  | 1          | 2004094980                              | wo                        | A2            | 2004-11-04          | FONTIJN, Wilhelmus, F.,<br>J. et al                   |   |    |
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| Examiner<br>Initials*       Cite<br>No       Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item<br>(book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s),<br>publisher, city and/or country where published. |            |   |                           |               |                     |   |   |    |
| /M.N/  | 1          | Third-party submission                  | under 37 CFR <sup>-</sup> | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. I                           | No. 16/140,749  |    |
|  | 2          | Third-party submission                  | under 37 CFR <sup>-</sup> | 1.290 filed o | n July 23, 2019     | ) and entered in U.S. Appl. I                         | No. 16/140,785  |    |
|  | 3          | Third-party submission                  | under 37 CFR <sup>-</sup> | 1.290 filed o | n July 23, 2019     | ) and entered in U.S. Appl. I                         | No. 16/214,433  |    |
|  | 4          | Third-party submission                  | under 37 CFR <sup>-</sup> | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. I                           | No. 16/214,451  |    |
|  | 5          | Third-party submission                  | under 37 CFR <sup>-</sup> | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. I                           | No. 16/214,476  |    |
| 800000000000000000000000000000000000000  | 6          | Third-party submission                  | under 37 CFR <sup>-</sup> | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. I                           | No. 16/214,496  |    |
| - Concentration  | 7          | Third-party submission                  | under 37 CFR              | 1.290 filed o | n July 23, 2019     | and entered in U.S. Appl. I                           | No. 16/292 <b>,</b> 363   |    |

# INFORMATION DISCLOSURE Application Number 16278106 Filing Date 2019-02-17 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name Nguy=n, Minh Chau Attorney Docket Number HOLA-005-US9

| /M.N/   | 8                            | Third-p                | oarty            | submis                  | sion un              | ider 37   | CFR     | R 1.29 | 90 filed | d on .   | July 2   | 2, 20 <sup>,</sup> | 19 and | d ente  | red in  | U.S.   | Appi. I | <b>N</b> o. <i>'</i> | 16/292   | 2,364    |           |       |
|---|------------------------------|------------------------|------------------|-------------------------|----------------------|-----------|---------|--------|----------|----------|----------|--------------------|--------|---------|---------|--------|---------|----------------------|----------|----------|-----------|-------|
|   | 9                            | Third-p                | oarty            | submis                  | sion un              | der 37    | CFR     | R 1.29 | 90 filed | d on .   | July 2   | 3, 20′             | 19 and | d ente  | red in  | U.S.   | Appl. I | No. '                | 16/292   | 2,374    |           |       |
| 000000000000000000000000000000000000000   | 10                           | Third-p                | oarty            | submis                  | sion un              | der 37    | CFR     | R 1.29 | 90 filed | d on .   | July 2   | 3, 20 <sup>-</sup> | 19 and | d ente  | red in  | U.S.   | Appl. I | No. '                | 16/292   | 2,382    |           |       |
|   | 11                           | Third-p                | oarty            | submis                  | sion un              | der 37    | CFR     | ₹ 1.29 | 90 fileo | d on .   | July 2   | 5, 20 <sup>-</sup> | 19 and | d ente  | red in  | U.S.   | Appl. I | No. 1                | 16/365   | 5,250    |           |       |
|   | 12                           | Third-p                | oarty            | submis                  | sion un              | der 37    | CFR     | R 1.29 | 90 filed | d on .   | July 2   | ō, 20'             | 19 and | d ente  | red in  | U.S.   | Appl. I | No. '                | 16/365   | 5,315    |           |       |
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| Examine   | r Signa                      | ature                  | 1                | MINH                    | CHAU                 | NGU       | YEN/    | /      |          |          |          |                    |        | Dat     | te Co   | nside  | ered    | 0                    | 9/26     | 5/201    | 9         |       |
| *EXAMIN<br>citation if  |                              |                        |                  |                         |                      |           |         |        |          |          |          |                    |        |         |         |        |         |                      |          |          | ough a    |       |
| <sup>1</sup> See Kind <sup>1</sup><br>Standard S<br><sup>4</sup> Kind of do<br>English lanş | T.3). <sup>3</sup><br>cument | For Japar<br>by the ap | nese p<br>opropi | oatent do<br>riate syrr | ocument              | s, the ir | ndicati | ion of | f the ye | ear of t | the reig | jn of t            | ne Em  | peror r | nust pi | recede | the se  | rial n               | umber    | of the p | batent do | cumen |

|  | Application Number   |       | 16278106      |  |  |
|--|----------------------|-------|---------------|--|--|
|  | Filing Date          |       | 2019-02-17    |  |  |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | Shribman      |  |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2459          |  |  |
|  | Examiner Name        | Nguye | en, Minh Chau |  |  |
|  | Attorney Docket Numb | er    | HOLA-005-US9  |  |  |

#### **CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

# OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

 $\times$  The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-08-06 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73612      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (02-18)

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#### Application Number 16278106 2019-02-17 Filing Date INFORMATION DISCLOSURE First Named Inventor Derry Shribman **STATEMENT BY APPLICANT** Art Unit 2459 (Not for submission under 37 CFR 1.99) Examiner Name Nguyen, Minh Chau Attorney Docket Number HOLA-005-US9

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| Examiner<br>Initial* | Cite<br>No | Patent Number         | Kind<br>Code <sup>1</sup> | Issue Date          |  | Pages,Columns,Lines where<br>Relevant Passages or Relev<br>Figures Appear |        |                               |
| /m.n/                | 1          | 9015335               | B1                        | 2015-04-21          | Samuel S. Gigliotti                                |   |        |                               |
| Y                    | 2          | 7788378               | B2                        | 2010-08-31          | Ravi T. Rao  |   |        |                               |
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| /M.N/                | 1          | 20130304796           | A1                        | 2013-11-14          | Steven J. Jackowski                                |   |        |                               |
|                      | 2          | 20120164980           | A1                        | 2012-06-28          | Vinh Van Phan                                      |   |        |                               |
|                      | 3          | 20010054020           | A1                        | 2001-12-20          | Brian E. Barth                                     |   |        |                               |
| ý                    | 4          | 20160105530           | A1                        | 2016-04-14          | Derry Shribman                                     |   |        |                               |

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number16278106Filing Date2019-02-17First Named InventorDerry ShribmanArt Unit2459Examiner NameNguyen, Minh ChauAttorney Docket NumberHOLA-005-US9

| /M.N/                | 5   | 20070050522   | A1  | 2007-03    | 9-01          | Adam J. Grove      | 3                    |          |        |  |    |  |
|----------------------|---|---|---|------------|---------------|--------------------|----------------------|----------|--------|--|----|--|
|                      | 6   | 20090216887   | A1  | 2009-08    | 3-27          | Andreas Hertle     |                      |          |        |  |    |  |
| V                    | 7   | 20130080575   | A1  | 2013-03    | -28           | Matthew Brow       | ning Prince          |          |        |  |    |  |
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| /M.N/                | 1   | 'Keep Alive" - Imperva,   | 2019 htt  | ps://www.i | mperva.       | com/learn/perfo    | rmance/keep-alive (2 | 2019) (3 | pages) |  |    |  |
| /M.N/                | 2   | Third party observation filed on June 21, 2019 in PCT Application No. PCT/IL2018/050910 (7 pages) |   |            |               |                    |                      |          |        |  |    |  |
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# INFORMATION DISCLOSURE Application Number 16278106 Filing Date 2019-02-17 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name Nguyen, Minh Chau Attorney Docket Number HOLA-005-US9

| /M.N/                              | 4                           |                     | 3053 (January 2001) named: IPv6 Tunnel Broker - Sec<br>rvation dated June 21, 2019 (13 pages)  | cod uploaded document submitted        | with third party                 |
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| Examiner                           | r Sign                      | ature               | /MINH CHAU NGUYEN/   | Date Considered                        | 09/26/2019                       |
|                                    |                             |                     | reference considered, whether or not citation is in<br>rmance and not considered. Include copy of this   |  | -                                |
| Standard S <sup>4</sup> Kind of do | T.3). <sup>3</sup><br>cumen | For Jap<br>t by the | TO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04.<br>anese patent documents, the indication of the year of the reig<br>appropriate symbols as indicated on the document under WIF<br>on is attached. | n of the Emperor must precede the seri | al number of the patent document |

|  | Application Number   |       | 16278106      |  |  |
|--|----------------------|-------|---------------|--|--|
|  | Filing Date          |       | 2019-02-17    |  |  |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | Shribman      |  |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2459          |  |  |
|  | Examiner Name        | Nguye | en, Minh Chau |  |  |
|  | Attorney Docket Numb | er    | HOLA-005-US9  |  |  |

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 $\times$  The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-07-01 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73612      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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# EAST Search History

# EAST Search History (Prior Art)

| Ref<br># | Hits | Search Query   | DBs  | Default<br>Operator | Plurals | Time<br>Stamp       |
|----------|------|--|--|---------------------|---------|---------------------|
| L1       | 47   | (("8639630") or ("9177157") or<br>("20070142036") or ("20090248793") or<br>("20130080575") or ("20110066924") or<br>("20120246273") or ("2004094980") or<br>("9015335") or ("7788378") or<br>("20130304796") or ("20120164980") or<br>("20010054020") or ("20160105530") or<br>("20070050522") or ("20090216887") or<br>("20130080575")).PN. | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2019/09/27<br>17:02 |
| L2       | 8    | 1 and ((servers and (peers clients))<br>same ((content\$1 document\$1 page\$1)<br>with (deliver\$4 quer\$4 inquir\$4<br>retriev\$4 search\$4)))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2019/09/27<br>17:02 |
| L3       | 3    | 2 and (server\$1 with (receiv\$4 quer\$4<br>transmit\$4 inquir\$4 send\$4) with (url\$1<br>((content\$1 page\$1 document\$1) near<br>(identifier\$1 address\$2))) with (client\$1<br>peer\$1 node\$1 terminal\$1))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2019/09/27<br>17:04 |
| L4       | 2    | 3 and @ad< "20091008"  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2019/09/27<br>17:04 |
| L5       | 0    | 4 and ((web near server\$1) with<br>(respon\$4 repl\$3 quer\$4 transmit\$4<br>inquir\$4 send\$4) with (url\$1 ((content\$1<br>page\$1 document\$1) near (identifier\$1<br>address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2019/09/27<br>17:05 |
| L6       | 8    | 1 and ((servers and (peer\$1 client\$1))<br>same ((content\$1 document\$1 page\$1)<br>with (deliver\$4 quer\$4 inquir\$4<br>retriev\$4 search\$4)))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2019/09/27<br>17:06 |
| L7       | 0    | 6 and ((web near server\$1) with<br>(respon\$4 repl\$3 quer\$4 transmit\$4<br>inquir\$4 send\$4) with (url\$1 ((content\$1<br>page\$1 document\$1) near (identifier\$1<br>address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR                  | OFF     | 2019/09/27<br>17:06 |

| L8  | 0     | 1 and ((web near server\$1) with<br>(respon\$4 repl\$3 quer\$4 transmit\$4   | US-PGPUB;<br>USPAT;  | OR | OFF | 2019/09/27<br>17:08 |
|-----|-------|--|--|----|-----|---------------------|
|     |       | inquir\$4 send\$4) with (url\$1 ((content\$1<br>page\$1 document\$1) near (identifier\$1<br>address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1))   | USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB                        |    |     | 17.00               |
| L9  | 24643 | (server\$1 with (request\$4 transmit\$4<br>inquir\$4 send\$4) with (url\$1 ((content\$1<br>page\$1 document\$1) near (identifier\$1<br>address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:10 |
| L10 | 2240  | 9 and ((web near server\$1) with<br>(respon\$4 repl\$3 quer\$4 transmit\$4<br>inquir\$4 send\$4) with (url\$1 ((content\$1<br>page\$1 document\$1) near (identifier\$1<br>address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1))           | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:12 |
| L11 | 100   | 10 and ((client\$1 peer\$1 node\$1<br>terminal\$1) with (respon\$4 repl\$3<br>transmit\$4 forward\$4 send\$4) with<br>((inquired received returned responsed)<br>near1 (content\$1 page\$1 document\$1))<br>with (server))                         | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:14 |
| L12 | 75    | 11 and @ad<"20091008"  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:14 |
| L13 | 55    | 12 and ((web near server\$1) same<br>server\$1 same (peer\$1 client\$1)) same<br>((deliver\$4 quer\$4 inquir\$4 retriev\$4<br>search\$4 transfer\$4 provid\$4 transmit\$4<br>send\$4 forward\$4) with (content\$1<br>document\$1 page\$1 file\$1)) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:15 |
| L14 | 13    | 13 and ((web near server\$1) with<br>(store\$1 keep\$4 kept maintain\$4) with<br>(url\$1 ((content\$1 page\$1 document\$1)<br>near (identifier\$1 address\$2))))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:17 |
| L15 | 0     | 14 and (keep\$4 with live\$1)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:20 |
| L16 | 10    | 14 and ((web near server\$1) same<br>server\$1 same (peer\$1 client\$1) same<br>(internet tcp))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;                        | OR | OFF | 2019/09/27<br>17:21 |

|     |        |  | DERWENT;<br>IBM_TDB  |    |     |                     |
|-----|--------|--|--|----|-----|---------------------|
| L17 | 3      | 14 and ((web near server\$1) same<br>server\$1 same (peer\$1 client\$1) same<br>(internet and tcp))  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:22 |
| L18 | 0      | 17 and (((client\$1 peer\$1 node\$1<br>terminal\$1) near1 (respon\$4 repl\$3<br>transmit\$4 forward\$4 send\$4)) with<br>((inquired received returned responsed)<br>near1 (content\$1 page\$1 document\$1))<br>with (server))                      | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:23 |
| L19 | 2      | 14 and (((client\$1 peer\$1 node\$1<br>terminal\$1) near1 (respon\$4 repl\$3<br>transmit\$4 forward\$4 send\$4)) with<br>((inquired received returned responsed)<br>near1 (content\$1 page\$1 document\$1))<br>with (server))                      | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:24 |
| L20 | 151293 | (H04L67/42 H04L41/046 H04L67/108<br>H04L67/22 H04L67/02).CPC.  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:28 |
| L21 | 0      | 19 and (live online) and (geographic\$6<br>location\$1)  | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:28 |
| L22 | 7338   | 20 and ((web near server\$1) same<br>server\$1 same (peer\$1 client\$1)) same<br>((deliver\$4 quer\$4 inquir\$4 retriev\$4<br>search\$4 transfer\$4 provid\$4 transmit\$4<br>send\$4 forward\$4) with (content\$1<br>document\$1 page\$1 file\$1)) | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>17:55 |
| L23 | 234    | 22 and ((web near server\$1) with<br>(store\$1 keep\$4 kept maintain\$4) with<br>(url\$1 ((content\$1 page\$1 document\$1)<br>near (identifier\$1 address\$2))))   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>18:12 |
| L24 | 97     | 23 and ((web near server\$1) with<br>(respon\$4 repl\$3 quer\$4 transmit\$4<br>inquir\$4 send\$4) with (url\$1 ((content\$1<br>page\$1 document\$1) near (identifier\$1<br>address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1))          | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>18:12 |
| L25 | 5      | 24 and ((client\$1 peer\$1 node\$1<br>terminal\$1) with (respon\$4 repl\$3<br>transmit\$4 forward\$4 send\$4) with   | US-PGPUB;<br>USPAT;<br>USOCR;  | OR | OFF | 2019/09/27<br>18:12 |

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|     |   | ((inquired received returned responsed)<br>near1 (content\$1 page\$1 document\$1))<br>with (server))  |  |    |     |                     |
|-----|---|---|--|----|-----|---------------------|
| L26 | 4 | 25 and @ad<"20091008"   | US-PGPUB;<br>USPAT;<br>USOCR;<br>FPRS; EPO;<br>JPO;<br>DERWENT;<br>IBM_TDB | OR | OFF | 2019/09/27<br>18:13 |
| L27 | 0 | 26 and (((client\$1 peer\$1 node\$1<br>terminal\$1) near1 (respon\$4 repl\$3<br>transmit\$4 forward\$4 send\$4)) with<br>((inquired received returned responsed)<br>near1 (content\$1 page\$1 document\$1))<br>with (server)) |  | OR | OFF | 2019/09/27<br>18:13 |

# EAST Search History (Interference)

| Ref<br># | Hits | Search Query  | DBs                    | Default<br>Operator | Plurals | Time<br>Stamp       |
|----------|------|---|------------------------|---------------------|---------|---------------------|
| L28      | 1    | (((web near server\$1) same server\$1 same<br>(peer\$1 client\$1)) same ((deliver\$4 quer\$4<br>inquir\$4 retriev\$4 search\$4 transfer\$4 provid\$4<br>transmit\$4 send\$4 forward\$4) with (content\$1<br>document\$1 page\$1 file\$1)) and ((web near<br>server\$1) with (store\$1 keep\$4 kept<br>maintain\$4) with (url\$1 ((content\$1 page\$1<br>document\$1) near (identifier\$1 address\$2))))<br>and (((client\$1 peer\$1 node\$1 terminal\$1)<br>near1 (respon\$4 repl\$3 transmit\$4 forward\$4<br>send\$4)) with ((inquired received returned<br>responsed) near1 (content\$1 page\$1<br>document\$1)) with (server))).clm.  | US-<br>PGPUB;<br>USPAT | OR                  | OFF     | 2019/09/27<br>18:54 |
| L29      | 1    | 28 and @ad< "20091008"  | US-<br>PGPUB;<br>USPAT | OR                  | OFF     | 2019/09/27<br>19:00 |
| L30      | 1    | (((web near server\$1) same server\$1 same<br>(peer\$1 client\$1)) same ((deliver\$4 quer\$4<br>inquir\$4 retriev\$4 search\$4 transfer\$4 provid\$4<br>transmit\$4 send\$4 forward\$4) with (content\$1<br>document\$1 page\$1 file\$1)) and ((web near<br>server\$1) with (store\$1 keep\$4 kept<br>maintain\$4) with (url\$1 ((content\$1 page\$1<br>document\$1) near (identifier\$1 address\$2))))<br>and ((web near server\$1) with (respon\$4<br>repl\$3 quer\$4 transmit\$4 inquir\$4 send\$4) with<br>(url\$1 ((content\$1 page\$1 document\$1) near<br>(identifier\$1 address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1)) and (((client\$1 peer\$1<br>node\$1 terminal\$1)) and (((client\$1 peer\$1<br>node\$1 terminal\$1)) near1 (respon\$4 repl\$3<br>transmit\$4 forward\$4 send\$4)) with ((inquired<br>received returned responsed) near1 (content\$1<br>page\$1 document\$1)) with (server))).clm. | US-<br>PGPUB;<br>USPAT | OR                  | OFF     | 2019/09/27          |
| L31      | 1    | 30 and @ad< "20091008"  | US-<br>PGPUB;<br>USPAT | OR                  | OFF     | 2019/09/27<br>19:02 |
| L32      | 0    | (((web near server\$1) same server\$1 same<br>(peer\$1 client\$1)) same ((deliver\$4 quer\$4  | US-<br>PGPUB;          | OR                  | OFF     | 2019/09/27<br>19:02 |

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# EAST Search History

|  | inquir\$4 retriev\$4 search\$4 transfer\$4 provid\$4<br>transmit\$4 send\$4 forward\$4) with (content\$1<br>document\$1 page\$1 file\$1)) and ((web near<br>server\$1) near1 (store\$1 keep\$4 kept<br>maintain\$4) with (url\$1 ((content\$1 page\$1<br>document\$1) near (identifier\$1 address\$2))))<br>and ((web near server\$1) near1 (respon\$4<br>repl\$3 quer\$4 transmit\$4 inquir\$4 send\$4) with<br>(url\$1 ((content\$1 page\$1 document\$1) near<br>(identifier\$1 address\$2))) with (client\$1 peer\$1<br>node\$1 terminal\$1)) and (((client\$1 peer\$1<br>node\$1 terminal\$1) near1 (respon\$4 repl\$3<br>transmit\$4 forward\$4 send\$4)) with ((inquired<br>received returned responsed) near1 (content\$1<br>page\$1 document\$1)) with (server))).clm. |  |  |  |
|--|--|--|--|--|
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Page 432 of 455

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

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|  | Application Number   |       | 16278106        |  |
|--|----------------------|-------|-----------------|--|
|  | Filing Date          |       | 2019-02-17      |  |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | Shribman        |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2459            |  |
| (Not for submission under 57 GFK 1.33)                           | Examiner Name Nguye  |       | uyen, Minh Chau |  |
|  | Attorney Docket Numb | er    | HOLA-005-US9    |  |

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| /M.N/                 | 1          | 8639630   |                           | 2014-01         | -28           | Fomenko et al                 |  |           |                             |                             |    |
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## INFORMATION DISCLOSURE Application Number 16278106 Filing Date 2019-02-17 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name Nguyen, Minh Chau Attorney Docket Number HOLA-005-US9

| /M.N/ | 1  | Michael J. Freedman, Princeton University, "Experiences with CoralCDN: a five-year operational view", Proceeding<br>NSDI'10 Proceedings of the 7th USENIX conference on Networked systems design and implementation San Jose,<br>California — April 28 - 30, 2010 (17 pages) |
|-------|----|--|
|       | 2  | 'The BitTorrent Protocol Specification", Website: https://web.archive.org/web/20120513011037/http:/www.bittorrent.<br>org/beps/bep_0003.html describing BitTorrent dated Jan 10, 2008 downloaded using web archive on Aug 16, 2019 (6<br>pages)                              |
|       | 3  | 'BitTorrent", Website: https://en.wikipedia.org/w/index.php?title=BitTorrent&oldid=530466721 describing BitTorrent<br>dated Dec 30, 2012 downloaded using Wikipedia on Aug 16, 2019 (9 pages)  |
|       | 4  | 'VIP SOCKS/VPN SERVICE", Website: http://vip72.com:80/?drgn=1 describing VIP72 proxy service dated Jan 2010<br>downloaded using VIP Technologies webpage on Aug 16, 2019 (3 pages)   |
|       | 5  | Welcome to Easy Hide IP", Website: https://web.archive.org/web/20130702093456/http://www.easy-hide-ip.com:80/<br>describing Easy Hide IP dated June 26, 2007 downloaded using web archive on Aug 16, 2019 (2 pages)  |
|       | 6  | You make it fun; we'll make it run", Website: https://web.archive.org/web/20130726050810/https://www.coralcdn.org<br>describing CoralCDN dated Jan 25, 2005 downloaded using web archive on Aug 16, 2019 (2 pages)   |
|       | 7  | 'Net Transport", Website: http://www.xi-soft.com/default.htm describing Net Transport Overview dated 2005<br>downloaded using Net Transport webpage on Aug 16, 2019 (2 pages)  |
|       | 8  | Net Transport - Develop History, Website: http://www.xi-soft.com/download.htm describing Net Transport Download<br>dated 2005 downloaded using Net Transport webpage on Aug 16, 2019 (10 pages)  |
|       | 9  | Net Transport FAQ, Website: http://www.xi-soft.com/faq.htm describing Net Transport FAQ dated 2005 downloaded<br>using Net Transport webpage on Aug 16, 2019 (4 pages)   |
| V     | 10 | Net Transport News, Website: http://www.xi-soft.com/news.htm describing Net Transport News dated 2005<br>downloaded using Net Transport webpage on Aug 16, 2019 (5 pages)  |

# INFORMATION DISCLOSURE Application Number 16278106 Filing Date 2019-02-17 First Named Inventor Derry Shribman Art Unit 2459 Examiner Name Nguyen, Minh Chau Attorney Docket Number HOLA-005-US9

| Examiner Signature  | /MINH CHAU NGUYEN/ | Date Considered | 09/26/2019 |  |  |  |
|---|--------------------|-----------------|------------|--|--|--|
| *EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                    |                 |            |  |  |  |

<sup>1</sup> See Kind Codes of USPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

|  | Application Number   |       | 16278106      |
|--|----------------------|-------|---------------|
|  | Filing Date          |       | 2019-02-17    |
| INFORMATION DISCLOSURE   | First Named Inventor | Derry | Shribman      |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit             |       | 2459          |
|  | Examiner Name        | Nguye | en, Minh Chau |
|  | Attorney Docket Numb | er    | HOLA-005-US9  |

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

#### OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

 $\times$  The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature  | /Yehuda Binder/ | Date (YYYY-MM-DD)   | 2019-08-19 |
|------------|-----------------|---------------------|------------|
| Name/Print | Yehuda Binder   | Registration Number | 73612      |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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| APPLICATION NO.  | FILING DATE   |  | FIRST NAMED INVENTO  |   | ORNEY DOCKET NO.  | (Date)<br>CONFIRMATION NO.  |
| 16/278,106   | 02/17/2019  |  | Derry Shribman   |   | DLA-005-US9   | 3397  |
| TITLE OF INVENTION   | SMALL ENTITY  | ISSUE FEE DUE  | PUBLICATION FEE DUE  | PREV. PAID ISSUE FEE  | TOTAL FEE(S) DUE  | DATE DUE  |
| nonprovisional   | SMALL   | \$500  | \$0  | \$0   | \$500   | 01/10/2020  |
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| Tee Address" ind<br>PTO/SB/47; Rev 03-0<br>Number is required.   | ondence address (or Cha<br>3/122) attached.<br>ication (or "Fee Address<br>2 or more recent) attach   | nge of Correspondence<br>'' Indication form<br>ed. Use of a Customer   | or agents OR, alternati<br>(2) the name of a sing<br>registered attorney or<br>2 registered patent atto<br>listed, no name will be | <ul> <li>3 registered patent attorively,</li> <li>le firm (having as a membragent) and the names of uppress or agents. If no name printed.</li> </ul> | per a 2   | d. c/o Dorit Shem-Tov   |
| PLEASE NOTE: Unl   | ess an assignee is ident<br>h in 37 CFR 3.11. Comp<br>GNEE  | ified below, no assignee   | T a substitute for filing an   | batent. If an assignee is i   |   | ocument has been filed for  |
| Please check the appropr   | iate assignee category or   | categories (will not be pa   | rinted on the patent) :  | Individual 🖬 Corporat   | ion or other private gro  | up entity 🖵 Government  |
| <ul> <li>4a. The following fee(s) a</li> <li>☑ Issue Fee</li> <li>☑ Publication Fee (N</li> <li>☑ Advance Order - #</li> </ul>   | o small entity discount p   |  | <ul> <li>A check is enclosed.</li> <li>Payment by credit ca</li> <li>The Director is hereb</li> </ul>                              | ase first reapply any pre-<br>rd. Form PTO-2038 is atta<br>y authorized to charge the<br>ssit Account Number @2011                                    | ched.<br>required fee(s), any def   |   |
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| Authorized Signature   | /Yehuda Binde   | r/   |  | Date October 1  | 3, 2019   |   |
|  | • Yehuda BINDE  |  |  | Registration No. 73   |   |   |
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|---|----------------------------|-------------------|--------------|--------------------|-------------------------|--|
| Application Number:                           | 162                        | 278106            |              |                    |                         |  |
| Filing Date:                                  | 17-                        | Feb-2019          |              |                    |                         |  |
| Title of Invention:                           | SYS                        | STEM PROVIDING F/ | ASTER AND MC | DRE EFFICIENT DAT/ | A COMMUNICATION         |  |
| First Named Inventor/Applicant Name:          | Derry Shribman             |                   |              |                    |                         |  |
| Filer:  | Yehuda Binder/Dorit Binder |                   |              |                    |                         |  |
| Attorney Docket Number:                       | Ю                          | LA-005-US9        |              |                    |                         |  |
| Filed as Small Entity                         |                            |                   |              |                    |                         |  |
| Filing Fees for Utility under 35 USC 111(a)   |                            |                   |              |                    |                         |  |
| Description                                   |                            | Fee Code          | Quantity     | Amount             | Sub-Total in<br>USD(\$) |  |
| Basic Filing:                                 |                            |                   |              |                    |                         |  |
| Pages:  |                            |                   |              |                    |                         |  |
| Claims:                                       |                            |                   |              |                    |                         |  |
| Miscellaneous-Filing:                         |                            |                   |              |                    |                         |  |
| Petition:                                     |                            |                   |              |                    |                         |  |
| Patent-Appeals-and-Interference:              |                            |                   |              |                    |                         |  |
| Post-Allowance-and-Post-Issuance:             |                            |                   |              |                    |                         |  |
| UTILITY APPL ISSUE FEE                        |                            | 2501              | 1            | 500                | 500                     |  |

| Description        | Fee Code          | Quantity | Amount | Sub-Total in<br>USD(\$) |
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| Extension-of-Time: |                   |          |        |                         |
| Miscellaneous:     |                   |          |        |                         |
|                    | Total in USD (\$) |          | (\$)   | 500                     |
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| Electronic Acknowledgement Receipt   |   |  |  |  |
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| EFS ID:                              | 37442329  |  |  |  |
| Application Number:                  | 16278106  |  |  |  |
| International Application Number:    |   |  |  |  |
| Confirmation Number:                 | 3397  |  |  |  |
| Title of Invention:                  | SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION |  |  |  |
| First Named Inventor/Applicant Name: | Derry Shribman  |  |  |  |
| Customer Number:                     | 131926  |  |  |  |
| Filer:                               | Yehuda Binder/Dorit Binder                                    |  |  |  |
| Filer Authorized By:                 | Yehuda Binder   |  |  |  |
| Attorney Docket Number:              | HOLA-005-US9  |  |  |  |
| Receipt Date:                        | 13-OCT-2019   |  |  |  |
| Filing Date:                         | 17-FEB-2019   |  |  |  |
| Time Stamp:                          | 03:23:44  |  |  |  |
| Application Type:                    | Utility under 35 USC 111(a)                                   |  |  |  |

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| characterized I<br>Post Card, as d<br><u>New Applicatic</u><br>f a new applica<br>1.53(b)-(d) and<br>Acknowledgen | dgement Receipt evidences receipt<br>by the applicant, and including pag-<br>escribed in MPEP 503.<br><u>Ons Under 35 U.S.C. 111</u><br>ation is being filed and the applicat<br>I MPEP 506), a Filing Receipt (37 CFF<br>nent Receipt will establish the filing<br><u>of an International Application unc</u><br>nission to enter the national stage of | e counts, where applicable.<br>ion includes the necessary c<br>R 1.54) will be issued in due<br>date of the application.<br>der 35 U.S.C. 371 | It serves as evidence<br>components for a filin<br>course and the date s | of receipt sing date (see<br>hown on th<br>the conditic | imilar to<br>37 CFR<br>is |

|  | Application Number           |    | 16/278106        |  |
|--|------------------------------|----|------------------|--|
|  | Filing Date                  |    | 02/17/2019       |  |
| INFORMATION DISCLOSURE                 | First Named Inventor Derry S |    | Shribman         |  |
| (Not for submission under 37 CFR 1.99) | Art Unit                     |    | 2459             |  |
|  | Examiner Name                | м  | MINH-CHAU NGUYEN |  |
|  | Attorney Docket Numb         | ər | HOLA-005-US9     |  |

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|----------------------|---------|------------------|--|--|--|
| Filing Date          |         | 02/17/2019       |  |  |  |
| First Named Inventor | Derry S | Shribman         |  |  |  |
| Art Unit             |         | 2459             |  |  |  |
| Examiner Name        | D       | MINH-CHAU NGUYEN |  |  |  |
| Attorney Docket Numb | er I    | HOLA-005-US9     |  |  |  |

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|    | Examiner<br>Initials*  | Cite<br>No |   | rnal, serial, sym | posium,       | catalog, etc), (                 | the article (when approp<br>date, pages(s), volume-is |   | T⁵ |  |  |  |
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 Application Number
 16/278106

 Filing Date
 02/17/2019

 First Named Inventor
 Derry Shribman

 Art Unit
 2459

 Examiner Name
 MINH-CHAU NGUYEN

 Attorney Docket Number
 HOLA-005-US9

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 Application Number
 16/278106

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 First Named Inventor
 Derry Shribman

 Art Unit
 2459

 Examiner Name
 MINH-CHAU NGUYEN

 Attorney Docket Number
 HOLA-005-US9

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| Filing Date          |       | 2019-02-17     |  |  |
| First Named Inventor | Derry | Shribman       |  |  |
| Art Unit             |       | 2459           |  |  |
| Examiner Name        | MI    | NH-CHAU NGUYEN |  |  |
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Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (03-15) Approved for use through 07/31/2016. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

|  | Application Number         |  | 16/278106      |  |
|--|----------------------------|--|----------------|--|
| INFORMATION DISCLOSURE   | Filing Date                |  | 02/17/2019     |  |
|  | First Named Inventor Derry |  | Shribman       |  |
| STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Art Unit                   |  | 2459           |  |
|  | Examiner Name MII          |  | NH-CHAU NGUYEN |  |
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 Filing Date
 02/17/2019

 First Named Inventor
 Derry Shribman

 Art Unit
 2459

 Examiner Name
 MINH-CHAU NGUYEN

 Attorney Docket Number
 HOLA-005-US9

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| INFORMATION DISCLOSURE<br>STATEMENT BY APPLICANT<br>(Not for submission under 37 CFR 1.99) | Application Number   |       | 16/278106     |  |
|--|----------------------|-------|---------------|--|
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#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

WEB SPARK LTD., Netanya, ISRAEL; Derry Shribman, Tel Aviv, ISRAEL; Ofer Vilenski, Moshav Hadar Am, ISRAEL;

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