Petitioners' Demonstratives

ASSA ABLOY AB et al., v. CPC Patent Technologies PTY LTD.

IPR2022-01006, IPR2022-01045, IPR2022-01089

US Patent Nos. 9,665,705 and US 9,269,208

September 28th, 2023

Not Evidence

ASSA ABLOY

l.	Overview of the '705 and '208 Patents
II.	Claim Construction: "Biometric Signal"
III.	Mathiassen Teaches the Series/Duration Limitation
IV.	Mathiassen/Bianco Teach The Mapping and Populating Limitations
V.	Motivation to Combine Bianco and Mathiassen
VI.	The Petition Is Not Time Barred

Petitioners' Demonstratives/ Not Evidence

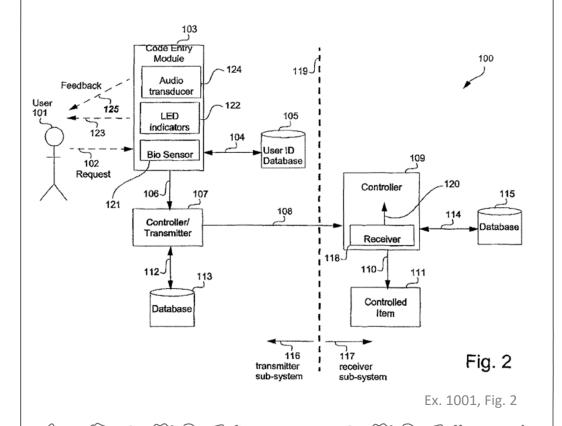
I. Overview of the '705 and '208 Patents

Petitioners' Demonstratives, not evidence

ASSA ABLOY Ex. 1030 ASSA ABLOY AB v. CPC Patent Technologies Pty Ltd. IPR2022-01045 - U.S. Patent No. 9,269,208

'705/'208 Patents: "Remote Entry System"

12) Unite Burke	ed States Patent		65,705 B2 (ay 30, 2017			
54) REMOT	E ENTRY SYSTEM	63/0861 (2013.01); H04W . H04W 84/12 (2013.0				
71) Applicant	: Securicom (NSW) Pty. Ltd., Ramsgate, NSW (AU)	(58) Field of Classification Search	(2013.01)			
(2) Inventor:	Christopher John Burke, Ramsgate (AU)	CPC See application file for complete sea				
73) Assignee:	SECURICOM (NSW) PTY LTD, Ramsgate (AU)	(56) References Cited U.S. PATENT DOCUMENT	rs			
*) Notice:	Subject to any disclaimer, the term of this patent is extended or adjusted under 35 U.S.C. 154(b) by 0 days.	5,109,428 A * 4/1992 Igaki 5,933,515 A * 8/1999 Pu	356/71			
	This patent is subject to a terminal dis- claimer.	7,152,045 B2 * 12/2006 Hoffman				
1) Appl. No.	: 15/000,818	OTHER PUBLICATIONS				
22) Filed:	Jan. 19, 2016	Klosterman, Andrew J., and Gregory R. Ganger. ' biometric-enhanced authentication," (2000).*	Secure continuous			
5) US 2016/	Prior Publication Data 0132672 A1 May 12, 2016	* cited by examiner				
Re	lated U.S. Application Data	Primary Examiner — Shawnchoy Rahman (74) Attorney, Agent, or Firm — Brinks Gilson & Lione				



Paper 2 (Pet.) at 4-8; Ex. 1001, Fig. 2

Petitioners' Demonstratives, not evidence

II. Claim Construction: "Biometric Signal"

Petitioners' Demonstratives, not evidence

Pet. at 9-12; Ex.1005, ¶¶ 45-57; Paper 35 (Reply), 7-12; Ex.1029, ¶¶3-15

Timing: PO Presents Its Claim Construction Theory For the First Time in its POR



"Patent Owner does not propose any claim constructions [in its POPR], nor does Patent Owner comment on claim constructions proposed by Petitioner."

IPR2022-01006, Institution Decision, 41



Patent Owner

"Here, the specification makes clear that a 'biometric signal' as used in connection with the claimed invention is a physical attribute of the user"

Paper 31 (POR), 10

Pet. at 9-12; Ex.1005, ¶¶ 45-57; Paper 34 (Reply), 7-12; Ex.1029, ¶¶3-15

Petitioners' Demonstratives, not evidence

Timing: Petitioners Are Permitted to Rebut PO's New Claim Construction Arguments



"The patent owner may then respond to these positions and/or propose additional terms for construction...The petitioner may respond to any such new claim construction issues raised by the patent owner, but cannot raise new claim construction issues that were not previously raised in its petition."

Patent Trial and Appeal Board Consolidated Trial Practice Guide (Nov. 2019), 44-45



Patent Owner

"Petitioners' Reply offers an untimely and erroneous construction of "biometric signal" in a hindsight-based effort to salvage their invalidity challenge."

Paper 41 (PO Surreply), 1

Pet. at 9-12; Ex.1005, ¶¶ 45-57; Paper 34 (Reply), 7-12; Ex.1029, ¶¶3-15

Petitioners' Demonstratives, not evidence

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Timing: Petitioners Are Permitted to Rebut PO's New Claim Construction Arguments

* "Barring argument and evidence in a reply directed to a new claim construction proposed by the patent owner would create opportunities for sandbagging by the patent owner in order to create an estoppel."

Axonics, Inc. v. Medtronic, Inc., No. 2022-1532, 2023 WL 5006851, at *8 (Fed. Cir. Aug. 7, 2023)

Petitioners' Demonstratives, not evidence

Claim Construction: "Biometric Signal"



Petitioner

Per its use in the patents, a "biometric signal" is the input and output of a biometric sensor

IPR2022-01006, Petition, 46; Reply, 7-10



Patent Owner

"physical attribute of the user (i.e., fingerprint, facial pattern, iris, retina, voice, etc.)"

IPR2022-01006, POR, 9

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Pet. at 10-11, 41-46; Reply at 7-11

Petitioners' Demonstratives, not evidence

Claim Construction: Claims

<u>US 9,665,705</u>

1. A system for providing secure access to a controlled item, the system comprising:

a memory comprising a database of biometric signatures;

- a transmitter sub-system comprising:
 - a biometric sensor configured to receive a <u>biometric</u> signal;
- a transmitter sub-system controller configured to match the <u>biometric signal</u> against members of the database of biometric signatures to thereby output an accessibility attribute; and

* * *

wherein the transmitter sub-system controller is further configured to:

receive a series of entries of the <u>biometric signal</u>, said series being characterised according to at least one of the number of said entries and a duration of each said entry;

map said series into an instruction; and

populate the data base according to the instruction, wherein the controlled item is one of: a locking mechanism of a physical access structure or an electronic lock on an electronic computing device.

103 Code Entry Module 119~ 124 Audio Feedback transducer User 122 125 101 LED 105 indicators 123 104 Jser 1D **Bio Sensor** Database 102 Request 106~ 107 121 108 Controller/ Transmitter Ex. 1001, Fig. 2 (excerpted and annotated)

Petitioners' Demonstratives, not evidence

Ex-1001 ('705 Patent), Cl.1 (excerpted and annotated); Ex-1001 ('208 Patent), Cl.1 (excerpted and annotated)

Claim Construction: Claims

<u>US 9,269,208</u>

1. A system for providing secure access to a controlled item, the system comprising:

a database of biometric signatures;

a transmitter sub-system comprising:

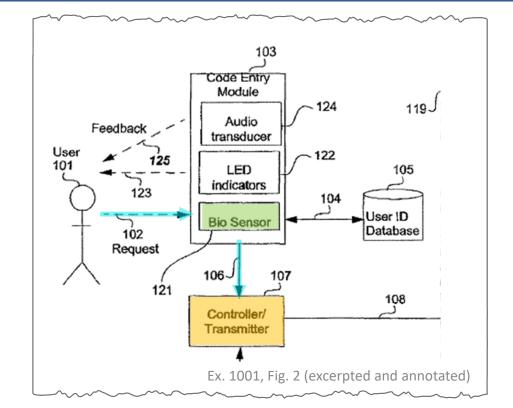
a biometric sensor for receiving a <u>biometric signal</u>; means for matching the <u>biometric signal</u> against members of the database of biometric signatures to thereby output an accessibility attribute; and

* * *

wherein the transmitter sub-system further comprises means for populating the data base of biometric signatures, the population means comprising:

means for receiving a series of entries of the <u>biometric</u> <u>signal</u>, said series being characterised according to at least one of the number of said entries and a duration of each said entry;

means for mapping said series into an instruction; and means for populating the data base according to the instruction,



Petitioners' Demonstratives, not evidence

Ex-1001 ('705 Patent), Cl.1 (excerpted and annotated); Ex-1001 ('208 Patent), Cl.1 (excerpted and annotated)

Claim Construction: Claims

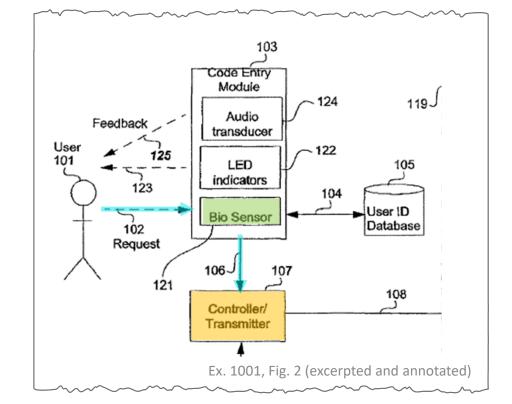
<u>US 9,665,705</u>

10. A transmitter sub-system for operating in a system for providing secure access to a controlled item, wherein the transmitter sub-system comprises:

- a biometric sensor configured to receiving a biometric signal;
- a controller configured to match the <u>biometric signal</u> against members of a database of biometric signatures to thereby output an accessibility attribute; and
- a transmitter configured to emit a secure access signal conveying said information dependent upon said accessibility attribute;
- wherein the controller is further configured to: receive a series of entries of the biometric signal, said series being characterised according to at least one of the number of said entries and a duration of each said entry;

map said series into an instruction; and

populate the database according to the instruction, wherein the controlled item is one of: a locking mechanism of a physical access structure or an electronic lock on an electronic computing device.



Petitioners' Demonstratives, not evidence

Claim Construction: "Biometric Signal"

ASSA ABLOY

Petitioner

Challenged Patents describe each of the following using "Biometric Signal"

- A "request...to a corresponding biometric sensor" EX-1001, 5:54-63
- Illegible finger presses EX-1001, 13:65-14:10
- Control information by finger presses EX-1001, 10:56-67
- Authentication by fingerprint Ex-1001, 1:34-39, 8:20-26

Pet. at 45-47; Reply at 5-11; Ex-1001, 1:34-39, 5:54-63, 10:55-11:8, 8:20-26 13:65-14:10

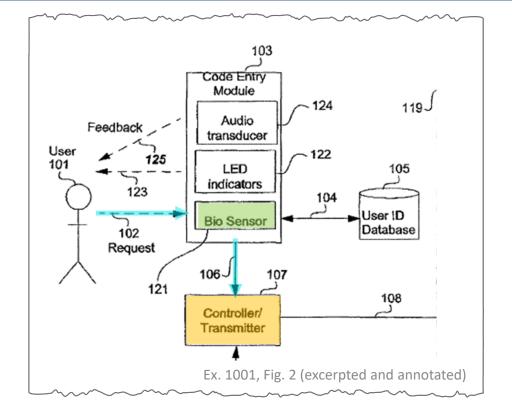
Petitioners' Demonstratives, not evidence

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"Biometric Signal" Is A Request To A Biometric Sensor

FIG. 2 is a functional block diagram of an arrangement for providing secure access according to the present disclosure. A user 101 makes a request, as depicted by an arrow 102, to a code entry module 103. The code entry module 103 includes a biometric sensor 121 and the request 102 takes a form which corresponds to the nature of the sensor 121 in the module 103. Thus, for example, if the biometric sensor 121 in the code entry module 103 is a fingerprint sensor, then the request 102 typically takes the form of a thumb press on a sensor panel (not shown) on the code entry module 103.

Ex-1001, 5:54-63



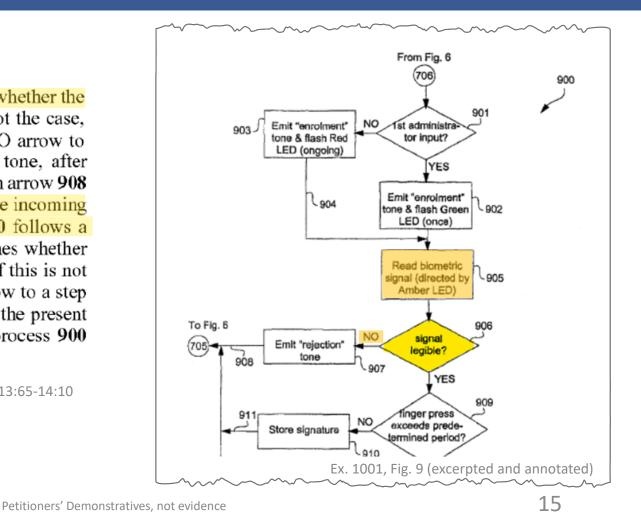
Pet. at 45-47; Reply at 5-11; Ex-1001, 5:54-63, 10:56-67

Petitioners' Demonstratives, not evidence

"Biometric Signal" Can Include Illegible Finger Presses

Following the step 905, a step 906 determines whether the incoming biometric signal is legible. If this is not the case, then the process 900 proceeds according to a NO arrow to a step 907. The step 907 emits a "Rejection" tone, after which the process 900 is directed, according to an arrow 908 to 705 in FIG. 6. Returning to the step 906, if the incoming biometric signal is legible, then the process 900 follows a YES arrow to a step 909. The step 909 determines whether the finger press exceeds a predetermined time. If this is not the case, then the process 900 follows a NO arrow to a step 910 which stores the biometric signal, which in the present case is a fingerprint signature. Thereafter the process 900 follows an arrow 911 to 705 in FIG. 6.

Ex-1001, 13:65-14:10



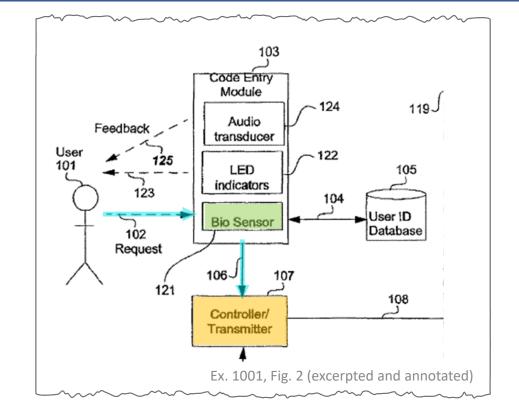
Pet. at 45-47; Reply at 5-11; Ex-1001, 13:65-14:10

"Biometric Signal" Used for Series of Finger Presses

FIG. 2 is a functional block diagram of an arrangement for providing secure access according to the present disclosure. A user 101 makes a request, as depicted by an arrow 102, to a code entry module 103. The code entry module 103 includes a biometric sensor 121 and the request 102 takes a form which corresponds to the nature of the sensor 121 in the module 103. Thus, for example, if the biometric sensor 121 in the code entry module 103 is a fingerprint sensor, then the request 102 typically takes the form of a thumb press on a sensor panel (not shown) on the code entry module 103.

Ex-1001, 5:54-63

The first administrator can provide control information to the code entry module by providing a succession of finger presses to the biometric sensor 121, providing that these successive presses are of the appropriate duration, the appropriate quantity, and are input within a predetermined time. In one arrangement, the control information is encoded by either or both (a) the number of finger presses and (b) the relative duration of the finger presses. If the successive finger presses are provided within this predetermined time, then the controller 107 accepts the presses as potential control information and checks the input information against a stored set of legal control signals.



Ex-1001, 10:56-67

Petitioners' Demonstratives, not evidence

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Pet. at 45-47; Reply at 5-11; Ex-1001, 5:54-63, 10:56-67

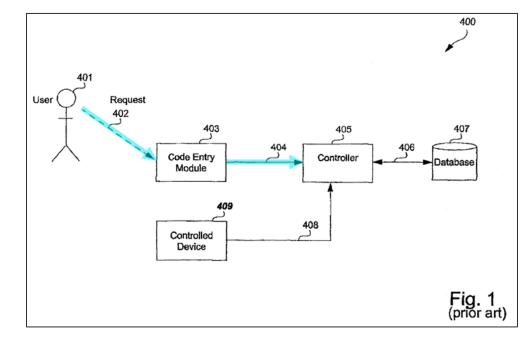
"Biometric Signal" Used for Fingerprint Authentication

FIG. 1 shows a prior art arrangement for providing secure access. A user 401 makes a request, as depicted by an arrow 402, directed to a code entry module 403. The module 403 is typically mounted on the external jamb of a secure door. The request 402 is typically a secure code of some type which is compatible with the code entry module 403. Thus, for example, the request 402 can be a sequence of secret numbers directed to a keypad 403. Alternately, the request 402 can be a biometric signal from the user 401 directed to a corresponding biometric sensor 403. One example of a biometric signal is a fingerprint. Other physical attributes that can be used to provide biometric signals include voice, retinal or iris pattern, face pattern, palm configuration and so on.

Ex-1001, 1:19-33

The code entry module 403 conveys the request 402 by sending a corresponding signal, as depicted by an arrow 404, to a controller 405 which is typically situated in a remote or inaccessible place. The controller 405 <u>authenticates</u> the security information provided by the user 401 by interrogating a database 407 as depicted by an arrow 406. If the

Ex-1001, 1:34-39



Ex. 1001, Fig. 1 (annotated)

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Petitioners' Demonstratives, not evidence

Pet. at 45-47; Reply at 5-11; Ex-1001, 1:19-39

Lexicography Requires More



Patent Owner

"the specification of the '705 Patent define[s] a biometric signal as a 'physical attribute'..."

But the specification provides no such definition



"To act as its own lexicographer, a patentee must 'clearly set forth a definition of the disputed claim term' other than its plain and ordinary meaning."

Thorner v. Sony Computer Entertainment America LLC, 669 F.3d 1362, 1365 (Fed. Cir. 2012) (quoting CCS Fitness, Inc. v. Brunswick Corp., 288 F.3d 1359, 1366 (Fed. Cir. 2002)).

Reply at 8; POR at 11.

Petitioners' Demonstratives, not evidence

Claim Construction: "Biometric Signal"



Patent Owner

"merely sensing finger movements for purposes of navigation did not require capturing the fingerprint, i.e., capturing the ridges and valleys of the **entire fingerprint**."

IPR2022-01006, POR, 35

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Petitioners' Demonstratives, not evidence

Claims Do Not Require an Entire Fingerprint

<u>US 9,665,705</u>

1. A system for providing secure access to a controlled item, the system comprising:

- a memory comprising a database of biometric signatures;
- a transmitter sub-system comprising:
 - a biometric sensor configured to receive a <u>biometric</u> signal;
- a transmitter sub-system controller configured to match the biometric signal against members of the database of biometric signatures to thereby output an accessibility attribute; and

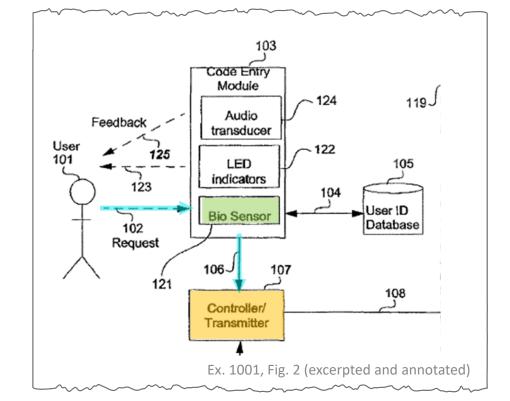
<u>US 9,269,208</u>

1. A system for providing secure access to a controlled item, the system comprising:

a database of biometric signatures;

a transmitter sub-system comprising:

a biometric sensor for receiving a <u>biometric signal</u>; means for matching the <u>biometric signal</u> against members of the database of biometric signatures to thereby output an accessibility attribute; and



Petitioners' Demonstratives, not evidence

Reply, 4-11; Ex-1001 ('705 Patent), Cl.1 (excerpted and annotated); Ex-1001 ('208 Patent), Cl.1 (excerpted and annotated)

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Specification Does Not Require an Entire Fingerprint

ASSA ABLOY

Petitioner

Challenged Patents describe each of the following using "Biometric Signal"

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- Illegible finger presses Ex-1001, 13:65-14:10
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- Authentication by fingerprint Ex-1001, 1:34-39, 8:20-26

Pet. at 45-47; Reply at 5-11; Ex-1001, 1:34-39, 5:54-63, 10:55-11:8, 8:20-26 13:65-14:10

Petitioners' Demonstratives, not evidence

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III. Mathiassen Teaches the Series/Duration Limitation

Petitioners' Demonstratives, not evidence **22** IPR2022-01006 Pet. at 41-46; Ex.1002, ¶¶ 160-170; IPR2022-01045 '208 Pet. at 41-46; Ex.1002, ¶¶ 182-187; IPR2022-01089 Pet. at 39-44; Ex.1002, ¶¶ 358-369.

The Series/Duration Limitation

<u>US 9,665,705</u>

- wherein the transmitter sub-system controller is further configured to:
 - receive a <u>series of entries of the biometric signal</u>, said series being characterised according to at least one of the <u>number</u> of said entries and a <u>duration</u> of each said entry;

map said series into an instruction; and

populate the data base according to the instruction, wherein the controlled item is one of: a locking mechanism of a physical access structure or an electronic lock on an electronic computing device. 14. A non-transitory computer readable storage medium storing a computer program comprising instructions, which when executed by processors causes the processors to: receive a series of entries of a biometric signal; determine at least one of a number of said entries and a <u>duration</u> of each of said entries;

<u>US 9,269,208</u>

wherein the transmitter sub-system further comprises means for populating the data base of biometric signatures, the population means comprising:

means for receiving a series of entries of the biometric signal, said series being characterised according to at least one of the <u>number</u> of said entries and a <u>duration</u> of each said entry;

means for mapping said series into an instruction; and means for populating the data base according to the instruction, **10**. A method for providing secure access to a controlled item in a system comprising a database of biometric signatures, a transmitter sub-system comprising a biometric sensor

access signal, the method comprising the steps of: populating the database of biometric signatures by: receiving a series of entries of the biometric signal; determining at least one of the <u>number</u> of said entries and a <u>duration</u> of each said entry;

Petitioners' Demonstratives, not evidence

Ex-1001 ('705 Patent), Cl.1, 14 (excerpted and annotated); Ex-1001 ('208 Patent), Cl.1, 9, 10 (excerpted and annotated)

ASSA ABLOY Ex. 1030 ASSA ABLOY AB v. CPC Patent Technologies Pty Ltd. IPR2022-01045 - U.S. Patent No. 9,269,208

Mathiassen Teaches the Series/Duration Limitation

ASSA ABLOY

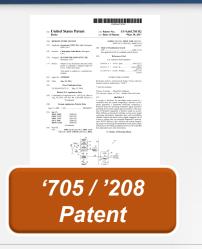
Petitioner

- Mathiassen Includes the Same Teaching As Challenged Patents
- Mathiassen Teaches Scanning Fingerprint Data For Inputting Commands
- Strong Motivation to Combine Mathiassen and Bianco
- Mathiassen's Teachings Not Limited to Stripe Sensors

Pet. at 41-46, 54-58; Reply at 12-16, 18-20; EX-1004, 14:14-21, 18:29-38; EX-1001, 10:56-11:7

Petitioners' Demonstratives, not evidence

Mathiassen Includes the Same Teaching As Challenged Patents



One example of a legal control signal can be expressed as follows:

"Enroll an ordinary user" \rightarrow dit, dit, dit, dah where "dit" is a finger press of one second's duration (provided by the user 101 in response to the feedback provided by the Amber LED as described below), and "dah" is a finger press of two second's duration.



"the invention thus uses **a** fingerprint sensor as touchsensitive switch 1 that has the ability to register finger connections on the sensor and the duration of such touches...."

Mark n	<long tap=""> + n</long>
characters	<short taps=""></short>
left	

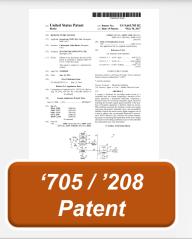
Pet. at 41-46; Ex-1004, 21:15-17, Table 2; Reply, 13; Ex-1001, 11:1-8

Mathiassen Includes the Same Teaching As Challenged Patents

				Г	Table 1				
				11	Time Ranges	Nom.	Values	Meaning	Туре
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								limit	adapt
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Field L	left>	Edit Mode	Manip.		1,5 $t_{Req} < t_{short} < 50,0t_{Req}$	tshort	= 0,25s	Dot	Adaptive
			Commands		1,5t _{short} < t _{Long} < 5,0t _{short}	tLong	= 0,50s	Dash	Adaptive
End of Text <	Slanted Down	Mark n	<long tap=""> + n</long>		1,5t _{Long} < t _{Extra} < 10,0t _{Long}	t _{Extra}	> 0,75s	Period	Adaptive
Field R	light>	characters	<short taps=""></short>						
		left							
Move one <	Finger Left>	Mark n words	<long tap=""> + n</long>					Ex.10	004, Table 1
position left		left	<finger left=""></finger>						,
Scroll left <	Finger Left	Shift marked	<long tap=""></long>						
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Move one <	5	Delete marked	5				(19) World Institution Interne (40) Instruction	al Property Organization Send Territor nal Potologica Inter (16) International Potologica Namber	
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Scroll right <	Finger Right	Copy marked	<double tap=""></double>				(20) Publication Large (20) Princip Data 2000003 2	Page Fogdbal C2, EG, 56, 2094, C2, 56, 201, 205, 77, 66, 603, C6, 604, C	
	Hold>	character(s)					Property in the second se	Angenet Sam capit (S. 1990) (G. Sampesin 21, S. 1605 Merki, KI, IS, 599, 305, 50, 50, 50, 70, 70, 70, 50, 50, 51, 50, 70, 70, 70, 50, 50, 51, 50, 70, 70, 70, 50, 50, 50, 50, 50, 50, 50, 50, 50, 5	
One line up <	Finger Up>	Paste marked						(FD) Alterate: Methodized spream for generating empties was least by sequences of their two-how as a sight sign generator is within phones binding as disper- tion of a sign generator, not sign generator binding as figure waveline sectors binding adaptive same recomments in a function dimension, and thereindum means, meaning same dispersion flags fragments associated thereindum means.	
		character(s)						se e in two dimensions, neleg the analysing meets for comparable grant dimensions, farger macretures none for eign permanent according to provident of not of larger macretures topolareses including dimensional and two holes acute forger macretures sequences, using the including means of acutofical quarkey of advance of the operation of the second sequence of the second sequences of the second second of the for consolitivity the second permanents in the second.	
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		cursor					E	x. 1004	
One line down <	Finger Down>	Write to right							
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					Ex.1004, Table 2				
L 	Hold>				LA. 1004, TADIE Z				

Pet. at 41-46; Reply, 14-16; Ex.1004, 14:14-21, 18:29-38, 21:15-19 Petitioners' Demonstratives, not evidence

Mathiassen Includes the Same Teaching As Challenged Patents



The first administrator can provide control information to the code entry module by providing a succession of finger presses to the biometric sensor 121, providing that these successive presses are of the appropriate duration, the appropriate quantity, and are input within a predetermined time. In



"It is an object of this invention to provide a simple solution for feeding information into a small unit, e.g. a cellular phone, by using sensors which have already been provided for other purposes."

Pet. at 41-46; Ex-1004, 3:28-31; Reply, 13-14; Ex-1001, 10:56-60

PO's Expert Agrees that Mathiassen Teaches a "Number" and "Duration" of Finger Presses and "Mapping" into a Command



"Word separation may be done by finger command <Long Tap> and period ("punctum") may be entered as two consecutive <Long Taps>, etc. The user may at any time toggle to Edit Text Mode by finger command sequence <Extra long Tap> - <Finger Down> as per Table 2. End of Message may be given by finger command sequence comprising two consecutive <Extra Long Taps>."



Patent Owner's Expert Samuel Russ "Q. And included within that universe [in Mathiassen] is the ability to recognize a series of presses of varying durations and map that into a command; correct?

A. Among other things, yes..."

Ex-1004, 14:14-21; Ex-1028, 146:11-147:6

Petitioners' Demonstratives, not evidence

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Mathiassen Teaches Scanning Fingerprint Data For Inputting Commands



Patent Owner

"Mathiassen has no teaching or suggestion that the fingerprint is scanned and measured with each of the successive finger touches.." PO Sur Reply, 15



The invention thus uses a fingerprint sensor as touchsensitive switch 1 that has the ability to register finger connections on the sensor and the duration of such touches, as well as lateral finger movements and their directions and type of movement. Such a sensor with navigation means as Ex.1004, 21:15-19

Pet. at 41-46; Reply, 14-16; Ex.1004, 21:15-19; PO Surreply, 15

Petitioners' Demonstratives, not evidence

ASSA ABLOY Ex. 1030 ASSA ABLOY AB v. CPC Patent Technologies Pty Ltd. IPR2022-01045 - U.S. Patent No. 9,269,208

Mathiassen Teaches Analyzing Fingerprint Duration for Commands



Patent Owner

"Petitioners have pointed to **no prior art wherein duration is measured in connection with a fingerprint** or any other physical biometric attribute...The first mention of this novel approach in the entire record is in the application for the '705 Patent itself."

POR, 46

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Petitioners' Demonstratives, not evidence

ASSA ABLOY Ex. 1030 ASSA ABLOY AB v. CPC Patent Technologies Pty Ltd. IPR2022-01045 - U.S. Patent No. 9,269,208

POR, 46

Mathiassen Teaches Analyzing Fingerprint Duration for Commands

The invention thus uses a fingerprint sensor as touchsensitive switch 1 that has the ability to register finger connections on the sensor and the duration of such touches, as well as lateral finger movements and their directions and type of movement. Such a sensor with navigation means as Ex.1004, 21:15-19

button sensor. The preferred embodiment of the invention must therefore provide a fingerprint sensor with navigation means where the switch is also capable of registering lateral finger movements on the switch. A known sensor is described in EP 735.502, which describes a line shaped fingerprint sensor. The fingerprint sensor described in this patent publication <u>scans the fingerprint</u>, and in order to be able to analyse the finger print, is able to detect the finger movement across the sensor in one dimension; <Up> and Ex.1004, 8:25-38

Pet. at 41-46; Reply, 14-16; Ex.1004, 21:15-19, 8:25-38

Ex. 1004

Mathiassen

Petitioners' Demonstratives, not evidence

ASSA ABLOY Ex. 1030 ASSA ABLOY AB v. CPC Patent Technologies Pty Ltd. IPR2022-01045 - U.S. Patent No. 9,269,208

PO's Expert Agrees Mathiassen Teaches Analyzing Fingerprint Data for Commands



"The fingerprint sensors...scans the fingerprint, and in order to be able to analyse [sic] the finger print, is able to detect the finger movement across the sensor in one dimension..."



Patent Owner's Expert Samuel Russ "Part of the fingerprint is being imaged in connection with gestures...if it's a tap, then a very tiny part, just the part that sits over the sensor...whatever part of the fingerprint passes over the sensor in the course of doing the gesture."

Pet. at 41-46; Ex-1004, 8:30-32; Petitioners' Reply, 6; Ex-1028, 115:10-25

Petitioners' Demonstratives, not evidence

PO's Expert Agrees Mathiassen's Teachings Are Not Limited To A Stripe Sensor



"many types of fingerprint sensors have been made...fingerprint sensors will therefore be significantly enhanced if it can be combined with other functionality..."



Patent Owner's Expert Samuel Russ "Well, it [Mathiassen] acknowledges that many fingerprint sensors have been made, one of which is a stripe sensor."

Pet. at 41-46; Ex-1004, 1:28-38; Petitioners' Reply, 15-16; Ex-1028, 80:4-20

Petitioners' Demonstratives, not evidence

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Mathiassen Teaches Many Types of Fingerprint Sensors

Mathiassen

dominating type of biometrics appear to be fingerprints as it uniquely defines the person, is easy to scan and is not feel to intrude the user's privacy. Hence many types of fingerprint sensors have been made. One such fingerprint sensor is described in EP 735.502.

Ex.1004, 1:26-30

cases a question of available space on the device. The utilisation of such identity verification devices as e.g. fingerprint sensors will therefore be significantly enhanced if it can be combined with other functionality, and especially if it thereby can replace other devices. These two aspects will be illustrated for some typical information and communication devices below.

Ex.1004, 1:35-2:3

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Pet. at 54-58; Reply, 14-16; Ex.1004, 1:26-2:3

Petitioners' Demonstratives, not evidence

Mathiassen Teaches Many Types of Fingerprint Sensors



Petitioners' Expert Stuart Lipoff

Moreover, Mathiassen's teachings are not limited to a stripe 27. fingerprint sensor, as Dr. Russ apparently contends. POR, 35. In my opinion, Mathiassen's teachings are applicable to any type of suitable fingerprint sensor known at the time. EX-1004, 1:28-29 ("many types of fingerprint sensors have been made.") The crux of Mathiassen's teaching is to add command-type features to already existing fingerprint sensors, such as Bianco's fingerprint sensor. EX-1004, 1:35-38 ("The utilisation of such identity verification devices as e.g. fingerprint sensors will therefore be significantly enhanced if it can be combined with other functionality..."); EX-1003, 8:25-40. CPC's expert also acknowledged that Mathiassen is not limited to a stripe sensor, but simply discloses a stripe sensor as a preferred embodiment. EX-1028, 80:4-20. PO's argument that Mathiassen Ex.1029, ¶27

Pet. at 41-46; Ex-1004, 1:28-38; Ex-1003, 8:25-40; Reply, 15-16; Ex-1028, 80:4-20; Ex-1029, ¶ 27

Petitioners' Demonstratives, not evidence

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PO's Shifting Argument: "Biometric Signal"









Proposed Construction: "physical attribute of the user (i.e., fingerprint, facial pattern, iris, retina, voice, etc.)"

POR, 9

Mathiassen teaches a "fingerprint sensor...scans the fingerprint...to analyse the fingerprint...to detect the finger movement..." Ex.1004, 21:15-19, 8:25-38

Biometric Signal must "captur[e] the ridges and valleys of the **entire fingerprint**."

Mathiassen teaches "many types of fingerprint sensors have been made" and "fingerprint sensors will therefore be significantly enhanced if it can be combined with other functionality." Ex.1004, 1:26-2:3

Pet. at 41-46; Reply, 14-16; Ex.1004, 1:26-2:3, 21:15-19, 8:25-38; Ex-1029, ¶ 27

Petitioners' Demonstratives, not evidence

IV. Mathiassen/Bianco Teach Mapping Into an Instruction and Populating the Database

Petitioners' Demonstratives, not evidence 37 IPR2022-01006 Pet. at 46-52; Ex.1002, ¶¶ 171-182; IPR2022-01045 '208 Pet. at 46-55; Ex.1002, ¶¶ 189-207; IPR2022-01089 Pet. at 44-52; Ex.1002, ¶¶ 377-383

The Mapping and Populating Limitations

<u>US 9,665,705</u>

- wherein the transmitter sub-system controller is further configured to:
 - receive a series of entries of the biometric signal, said series being characterised according to at least one of the number of said entries and a duration of each said entry;

map said series into an instruction; and

populate the data base according to the instruction, wherein the controlled item is one of: a locking mechanism of a physical access structure or an electronic lock on an electronic computing device. 14. A non-transitory computer readable storage medium storing a computer program comprising instructions, which when executed by processors causes the processors to: receive a series of entries of a biometric signal; determine at least one of a number of said entries and a duration of each of said entries;
<u>map said series into an instruction;</u>
<u>populate a database of biometric signatures according to the instruction;</u>

<u>US 9,269,208</u>

- wherein the transmitter sub-system further comprises means for populating the data base of biometric signatures, the population means comprising:
 - means for receiving a series of entries of the biometric signal, said series being characterised according to at least one of the number of said entries and a duration of each said entry;

means for <u>mapping</u> said series into an instruction; and means for <u>populating the data base</u> according to the instruction. 10. A method for providing secure access to a controlled item in a system comprising a database of biometric signatures, a transmitter sub-system comprising a biometric sensor

receiving a series of entries of the biometric signal; determining at least one of the number of said entries and a duration of each said entry;

mapping said series into an instruction; and populating the database according to the instruction;

Petitioners' Demonstratives, not evidence

Ex-1001 ('705 Patent), Cl.1, 14 (excerpted and annotated); Ex-1001 ('208 Patent), Cl.1, 9, 10 (excerpted and annotated)

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ASSA ABLOY

Petitioner

- Mathiassen Teaches its Finger Commands are "instructions"
- Bianco Teaches Instruction Can Be Used to Enroll A User in a Database
- PO Does Not Dispute Mathiassen Teaches Mapping Finger Presses Into Instructions
- PO Challenges Only Whether Mathiassen's Finger Presses are "Entire" Fingerprints

Pet. at 46-52, 54-58; Reply at 17-18; EX-1004, 14:14-21, 18:29-38; EX-1001, 10:56-11:7; POR, 38-41

Petitioners' Demonstratives, not evidence

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Mathiassen Teaches Mapping Fingerprint Sensor Inputs Into an Instruction

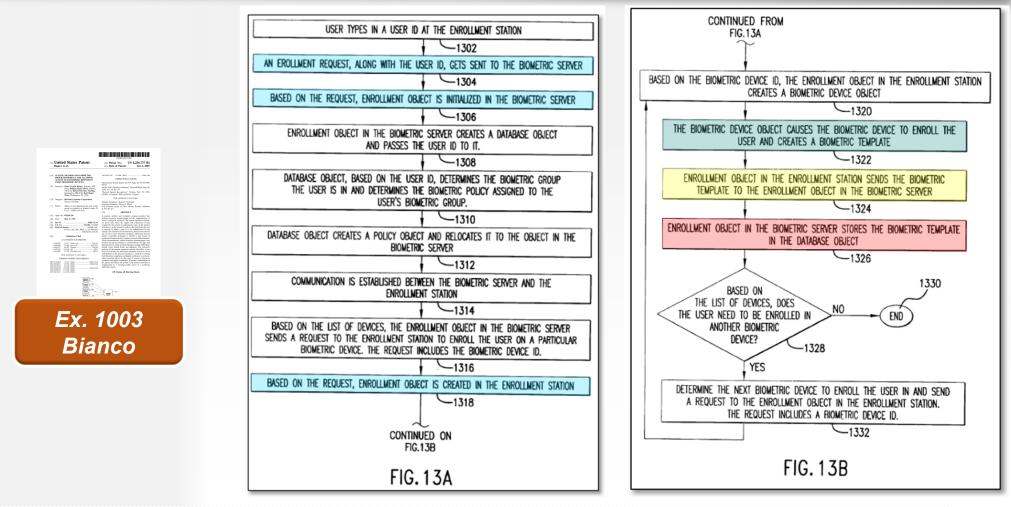
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			Commands	1,5t _{short} < t _{Long} < 5,0t _{sho}		Dash	Adaptive
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Pet. at 46-49; Reply, 14-16; Ex.1004, 7:5-8, 14:14-21, 18:29-38, 20:2-5, 21:15-19

Petitioners' Demonstratives, not evidence

ASSA ABLOY Ex. 1030 ASSA ABLOY AB v. CPC Patent Technologies Pty Ltd. IPR2022-01045 - U.S. Patent No. 9,269,208 40

Bianco Teaches Instruction Can Be Used to Enroll A User

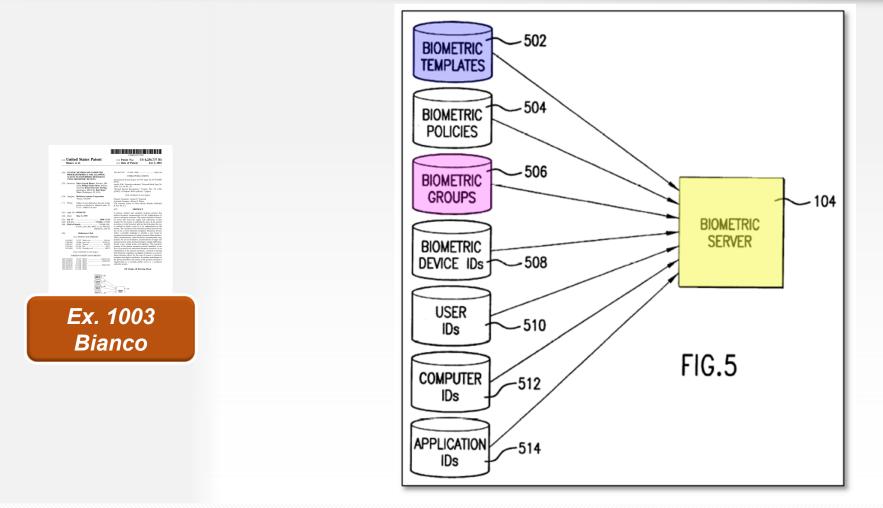


Pet. at 46-52; Reply, 14-16; Ex.1003, Figs. 13A-13B, 28:6-12, 17:38-48

Petitioners' Demonstratives, not evidence

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Bianco Teaches Instruction Can Be Used to Enroll A User



Pet. at 46-52; Reply, 14-16; Ex.1003, Fig. 5, 28:6-12, 17:38-48

Petitioners' Demonstratives, not evidence

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V. Strong Motivation to Combine Bianco and Mathiassen

Petitioners' Demonstratives, not evidence 43 IPR2022-01006 Pet. at 54-58; Ex.1002, ¶¶ 188-202; IPR2022-01045 '208 Pet. at 57-61; Ex.1002, ¶¶ 214-228; IPR2022-01089 Pet. at 68-73; Ex.1002, ¶¶ 214-228.

Motivation to Combine Bianco with Mathiassen

ASSA ABLOY

Petitioner

- Same Field of Endeavor Authentication/Access Control
- Mathiassen's Express Motivation Combine touchpad and fingerprint sensor for cost/space savings
- Reasonable Expectation of Success Bianco's and Mathiassens Fingerprint Sensors perform same function

Pet. at 54-58; Reply at 18-20; Ex.1003, Abstract, 1:9-17, 10:56-61, 57:8-26, ; Ex.1004, 1:20-24, 4:9-16, 5:27-39, 7:5-8, 20:2-5 Petitioners' Demonstratives, not evidence

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Mathiassen and Bianco: Same Field of Endeavor



A system, method and computer program product that utilizes biometric measurements for the authentication of users to enterprise resources. The system includes a biomet-

Ex.1003, Abstract

owner, or stolen from the owner. Accordingly there is a strong trend to base access control on biometrics which is mathematical description of characteristic elements of the owner's body or behaviour that can not be separated from this person, and which describes him uniquely. Many forms of

Ex.1004, 1:20-24

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Pet. at 54-55; Reply, 18-20; Ex.1003, Abstract, 1:9-17, 10:56-61, 57:8-26; Ex.1004, 1:20-24, 4:9-16, 5:27-39, 7:5-8, 20:2-5 Petitioners' Demonstratives, not evidence

Express Motivation to Combine Bianco and Mathiassen



Patent Owner

"neither Petitioners nor Mr. Lipoff provide any explanation as to why a POSITA at the time of the invention would have been motivated to modify the biometric security means of Bianco by adding to it the number or duration of nonbiometric finger movements of Mathiassen."

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and to discourage theft of such expensive devices. In this context it will be desirable to combine such a touch-pad and fingerprint sensor, if technically possible, for cost and space reasons.

Ex.1004, 5:36-39

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POR, 42; Reply, 19; Ex. 1004-5:36-39

Petitioners' Demonstratives, not evidence

Mathiassen and Bianco: Reasonable Expectation of Success

- Bianco's and Mathiassens Fingerprint Sensors perform same function – reading biometric data
- ASSA ABLOY Petitioner
- Bianco teaches reading a series of multiple biometric signatures
- Bianco teaches it can read the durations of biometric signatures

Pet. at 54-55; Reply, 18-20; Ex-1003, 8:43-45; Ex-1004, 21:15-19, 8:25-38

VI. The Petition Is Not Time Barred

Petitioners' Demonstratives, not evidence -01006 Reply to POPR; -01006 Reply at 20-28; -01045 Reply to POPR; -01045 Reply at 20-28; -01089 Reply to POPR; -01089 Reply at 20-28 48

The Petitions Were Not Filed At Apple's Behest

- Apple does not direct, control, fund, or contributed to these Petitions.
- "Petitioners have not had any communications with Apple, directly or through counsel, regarding [the IPRs], other than...seeking Apple's permission to produce documents..."
 Ex-1022, Petitioners ROG Responses

Petitioners' Demonstratives, not evidence

Apple and Petitioners Have A Standard Business Relationship

- Apple's click-through application developer agreement has been accepted by 34 million Apple business partner
- Apple does not direct, control, fund, or contributed to these Petitions

Reply to POPR at 2-4; Reply at 22-23

Petitioners' Demonstratives, not evidence



Developer Agreement Does Not Support RPI

- Developer Agreement merely requires representation and warranty "to the best of [the subscriber's] knowledge and belief," whether rights are clear for use
- Does not require the subscriber to take any action
- Subscriber is not required to make any legal review of allegedly infringing patents

Reply to POPR at 4-8; Reply at 23-25

Petitioners' Demonstratives, not evidence

Sending Products for Compliance/Certification

- CPC cites no authority that compliance testing makes Apple an RPI
- Apple requires all MFi ("Made for iPhone/iPod/iPad") certified products be submitted for compliance testing

Petitioners' Demonstratives, not evidence

CPC's "Clear Beneficiary" Argument Is Meritless

- Apple filed its IPRs months before Petitioners
- Apple's own IPRs were instituted

Reply to POPR at 8-9; Reply at 26

Petitioners' Demonstratives, not evidence

Apple Is Not In Privity with Petitioners

- No agreement binds Petitioners to the Apple action
- No privity in business relationship between Apple and Petitioners
- Petitioners have no control or representation in the Apple action.
- Petitioners are not acting as Apple's proxy

Reply to POPR at 9-10; Reply at 26-28

Petitioners' Demonstratives, not evidence