

UNITED STATES PATENT AND TRADEMARK OFFICE
BEFORE THE PATENT TRIAL AND APPEAL BOARD

MILTENYI BIOMEDICINE GmbH and MILTENYI BIOTECH INC.

Petitioners

v.

THE TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA,

Patent Owner

Case IPR2022-00853

U.S. Patent No. 9,464,140

MANDATORY NOTICES BY PATENT OWNER

Patent Owner, The Trustees of the University of Pennsylvania, submits the following mandatory notice.

I. REAL PARTY-IN-INTEREST

The real parties-in-interest are:

- the patent owner, The Trustees of the University of Pennsylvania; and
- the patent owner's licensee, Novartis Pharma AG ("Novartis").

II. RELATED MATTERS

A. District Court Litigation

Patent Owner is not aware of any district court litigations that may affect, or be affected by, a decision in this proceeding.

B. Patent Trial and Appeal Board

U.S. Patent No. 9,464,140 ("the '140 Patent") is not subject to any other *inter partes* review ("IPR") proceedings.

The following IPR proceeding(s) involve a patent related to the '140 Patent and may affect, or be affected by, a decision in this proceeding:

- *Miltenyi Biomedicine GmbH & Miltenyi Biotec Inc. v. The Trustees of the University of Pennsylvania*, IPR2022-00852, filed April 12, 2022
- *Miltenyi Biomedicine GmbH & Miltenyi Biotec Inc. v. The Trustees of the University of Pennsylvania*, IPR2022-00855, filed April 12, 2022

C. United States Patent and Trademark Office

The following pending U.S. patent application(s) may affect, or be affected by, a decision in this proceeding:

- U.S. Patent Application Serial No. 17/500,737, filed October 13, 2021.

III. LEAD AND BACKUP COUNSEL

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In accordance with 37 C.F.R. § 42.10(b), a power of attorney is not required for Patent Owner's Counsel (Landry, Doyle, & Behrooz) because the designated counsel are already counsel of record in the subject patent. A power of attorney from Real Party in Interest and Licensee Novartis to Additional Back-Up Counsel for Real Party in Interest and Licensee Novartis is submitted herewith

IV. SERVICE INFORMATION

Patent Owner consents to electronic service of all documents at the below email addresses:

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Dated: May 2, 2022

Respectfully submitted

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