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UTILITY PATENT APPLICATION **TRANSMITTAL** 

Atton	ney Docket No.	31				
First I	Inventor	Rao				
Title	Dynamically	Configurable Wireless Device				

Express Mail Label No. ER 452458852) US (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 2. X 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages ] [preferred arrangement set forth below] Specification Computer Readable Form (CRF) 3. - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications i. ☐ CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table. ii. D paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10. (when there is an assignee) Attorney 11. English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [ Total Sheets [ ] Copies of IDS Information Disclosure 5. Oath or Declaration 12. [ Total Pages 1 Statement (IDS)/PTO-1449 Citations Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed) **Preliminary Amendment** 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Other: Nonpublication request 1.63(d)(2) and 1.33(b) under 35USC122(b)(2)(B)(i) Application Data Sheet. See 37 CFR 1.76 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: 09 591,381 Divisional of prior application No.:\_ Continuation Continuation-in-part (CIP) Examiner\_Mehrapour Prior application information Group / Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below or X Raman Rao Name 3099 Alexis Drive Address Zip Code 94304 Palo Alto State CA City 650-941-7096 650-618-1553 USA Country Telephone Fax Raman K. Rao Registration No. (Attorney/Agent) Name (Print/Type) K.T <del>-</del> Date August 3, 2004

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8/03/04

Commissioner for Patents P.O.BOX 1450 Alexandria, VA 22313-1450

Subject: New Divisional Application of Co-pending Application 09/591,381
Titled Dynamically Configurable Wireless Devices

Dear Sir,

Enclosed is the application transmittal and other forms for the new divisional application. The original specification and drawings are not included as it is already part of the prior filing on 06/09/2000 of currently pending application 09/591,381.

There are 4 independent claims and 19 dependent claims in the current application. Please let me know the amount of fees and any other requirements.

Thank you,

Raman K. Rao

3099 Alexis Drive, CA 94304

TEL: 650 941 7096 FAX: 650 618 1553

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# NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First N	amed Inventor	RAO	
Title	Dynamically	Configurable Wireless Devices	
Atty De	ocket Number	31	

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 3, 2004

Date

Jam K. Vao

Signature

Raman K. Rao

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

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## UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorn	ey Docket No.	31	
First Inventor		Rao	
Title	Dynamically	Configurable \	Wireless Device
		- A	

Express Mail Label No. ER 4524 (Only for new nonprovisional applications under 37 CFR 1.53(b)) Assistant Commissioner for Patents **APPLICATION ELEMENTS** ADDRESS TO: **Box Patent Application** Washington, DC 20231 See MPEP chapter 600 concerning utility patent application contents Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) Applicant claims small entity status. 2. X 8. Nucleotide and/or Amino Acid Sequence Submission See 37 CFR 1.27. (if applicable, all necessary) Specification [Total Pages ] [preferred arrangement set forth below] Specification Computer Readable Form (CRF) 3. - Descriptive title of the invention b. Specification Sequence Listing on: - Cross Reference to Related Applications i. ☐ CD-ROM or CD-R (2 copies); or - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table. ii. D paper or a computer program listing appendix Statements verifying identity of above copies - Background of the Invention - Brief Summary of the Invention ACCOMPANYING APPLICATION PARTS - Brief Description of the Drawings (if filed) - Detailed Description Assignment Papers (cover sheet & document(s)) - Claim(s) 37 CFR 3.73(b) Statement Power of - Abstract of the Disclosure 10. (when there is an assignee) Attorney 11. English Translation Document (if applicable) Drawing(s) (35 U.S.C. 113) [ Total Sheets [ ] Copies of IDS Information Disclosure 5. Oath or Declaration 12. [ Total Pages 1 Statement (IDS)/PTO-1449 Citations Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) (for continuation/divisional with Box 17 completed) **Preliminary Amendment** 13. Return Receipt Postcard (MPEP 503) (Should be specifically itemized) **DELETION OF INVENTOR(S)** Certified Copy of Priority Document(s) (if foreign priority is claimed) 15. Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR Other: Nonpublication request 1.63(d)(2) and 1.33(b) under 35USC122(b)(2)(B)(i) Application Data Sheet. See 37 CFR 1.76 17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: 09 591,381 Divisional of prior application No.: Continuation Continuation-in-part (CIP) Examiner\_Mehrapour Prior application information Group / Art Unit: For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 18. CORRESPONDENCE ADDRESS Customer Number or Bar Code Label Correspondence address below or X Raman Rao Name 3099 Alexis Drive Address Zip Code 94304 Palo Alto State CA City 650-941-7096 650-618-1553 USA Country Telephone Fax Raman K. Rao Registration No. (Attorney/Agent) Name (Print/Type) K.T <del>-</del> Date August 3, 2004

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8/03/04

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Subject: New Divisional Application of Co-pending Application 09/591,381

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# NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		RAO
Title	Dynamically	Configurable Wireless Devices
Atty Do	ocket Number	31

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

August 3, 2004

Date

R. lao

Signature

Raman K. Rao

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

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PTO/SB/01 (08-03)

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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number Attorney Docket Number **DECLARATION FOR UTILITY OR** First Named Inventor RAO DESIGN COMPLETE IF KNOWN PATENT APPLICATION (37 CFR 1.63) Application Number Filing Date Declaration Declaration Submitted OR Submitted after Initial Art Unit With Initial Filing (surcharge (37 ČFR 1.16 (e)) Filing Examiner Name required) I hereby declare that: Each inventor's residence, mailing address, and citizenship are as stated below next to their name. I believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **Dynamically Configurable Wireless Devices** (Title of the Invention) the specification of which is attached hereto OR 06/09/2000 was filed on (MM/DD/YYYY) as United States Application Number or PCT International and was amended on (MM/DD/YYYY) **Application Number** 09/591,381 11/28/2003 (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application. I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or (f), or 365(b) of any foreign application(s) for patent, inventor's or plant breeder's rights certificate(s), or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent, inventor's or plant breeder's rights certificate(s), or any PCT international application having a filing date before that of the application on which priority is claimed. **Prior Foreign Application** Foreign Filing Date Priority Certified Copy Attached? Country Number(s) (MM/DD/YYYY) **Not Claimed** Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/02B attached hereto. [Page 1 of 2]

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# **DECLARATION** — Utility or Design Patent Application

Direct all correspondence to:	Custome	er Number	:			OR	<b>V</b>	Corres	condence address below	
Name										
RAMAN K. RAO					_					
Address										
3099 ALEXIS DRIVE										
City		-		State	<del>)</del>				ZIP	
PALO ALTO				CA	CA				94304	
Country		Telephon	ne	Fax						
USA		650 941 7	096			650	618 155	3		
I hereby declare that all statem and belief are believed to be statements and the like so mad false statements may jeopardiz	true; and fur de are punishal	ther that ble by fine	these state or imprise	ement onmen	s we	re made	e with der 18	the kno	wledge that willful false	
NAME OF SOLE OR FIRST IN	VENTOR:		Пар	etition	has b	een file	d for thi	is unsigr	ned inventor	
Given Name						Family	Name			
(first and middle [if any])					ļ	or Surn	ame			
Inventor's								w	Date	
Signature La_	- K	. —	000						8/3/04	
Residence: City	State			Cour	ntry			Citize	nship	
PALO ALTO	CA			USA	USA USA					
Mailing Address 3099 ALEXIS DRIVE										
City	State				ZIP		•		Country	
PALO ALTO	CA				94304				USA	
NAME OF SECOND INVENTO	PR:				A	petition	has be	en filed t	for this unsigned inventor	
Given Name						amily N				
(first and middle [if any]) SUNIL K.	(first and middle [if any]) RASumame									
Inventor's Signature * Aunil	4. fax								Date 8/3/04	
Residence: City							nship			
PALO ALTO	CA - US				USA USA					
Mailing Address 3099 ALEXIS DRIVE										
City	State			ZIP C				Count	Country	
PALO ALTO	CA				94304	4		USA		
Additional inventors or a legal re	presentative are be	ing named o	n the <u>1</u>	supplem	ental s	heet(s) PT	O/SB/02/	A or 02LR	attached hereto.	

[Page 2 of 2]

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DECLARATION	Togunos to	ADDITIONAL INVENTOR(S) Supplemental Sheet  Page 1 of 1					
Name of Additional Joint Inventor, if any:		A petition	has been filed for this	unsigned in	ventor		
Given Name (first and middle (if any)		Family Name or Surname					
SANJAY K.		RAO		r			
Inventor's Say'ay 12 ao				8/3/04 Date			
PALO ALTO Residence: City	CA State	USA Cou	untry	USA Citizenship			
3099 ALEXIS DRIVE Mailing Address							
Mailing Address							
PALO ALTO City	CA State		94304 Zip	USA Country			
Name of Additional Joint Inventor, if any:		A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any)		Family Name or Surname					
		<u> </u>					
Inventor's Signature		Date					
Residence: City	State	Country			Citizenship		
Mailing Address							
Mailing Address							
City	State	Zip Co					
Name of Additional Joint Inventor, if any:		A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any)	Family Name or Surname						
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Inventor's Signature		Date					
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Signed: 
Raman K. Rao, Applicant

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

#### RELATED REFERENCES TO CURENT DIVISIONAL APPLICATION

Inventor(s)

RAO

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Serial No.

09/591,381

Group Art Unit: 2171

Filed

06/09/2000

Examiner: Mehrpour

For:

DYNAMICALLY CONFIGURABLE

WIRELESS DEVICES

#### PRELIMINARY AMENDMENT A

Commissioner for Patents

PO BOX 1450

Washington, D. C. 20231

Sir:

This Preliminary Amendment A is submitted concurrently with this divisional application for examination of claims that were restricted in the application 09/591,381 filed on 06/09/2000 which is currently still under examination. The examination of the claims numbered 13-35 is requested.

#### CLAIMS:

13. In a mobile communication system, a method for dynamically configuring a mobile communication device for one or more selected functions comprising:

utilizing a mobile device configured with input, output and or display capabilities for communication of voice and data,

utilizing a central server,

communicating between a mobile device and a central server by wired or wireless means utilizing one or more communication methods and communication protocols,

configuring the mobile device for a selected first set of functions including communication, computation, command, sense and control for a selected first utility to the mobile device user;

establishing one or more functional instructions for dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions;

storing functional instructions for executing said dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions within the mobile device, the central server including a combination of the mobile device and the central server; further including

storing said functional instruction sets in databases and lookup tables within the mobile device and or the central server for ease and efficiency of execution from a first set of functions to one or more selected second set of functions of the mobile device;

selecting a functional instruction set for a selected reconfiguration of the mobile device from a first set of functions to a selected second set of functions in a standalone manner utilizing the mobile device and or in conjunction with utilizing the central server;

accessing one or more said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within the mobile device itself and/or accessing said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within a central server by wired or wireless communication means:

executing the dynamic reconfiguration of the mobile device from use with a first set of functions to for use with a second set of functions utilizing the processing, storage and database capabilities of the mobile device in a standalone manner and or in conjunction with the processing, storage and database capabilities of a central server, a local server, a network server or a combination thereof;

reconfiguring the mobile device for the selected first set of functions, second set of functions and one or more other set of functions, to provide one or more selected second utility(s) to the mobile device user dynamically in real time or at the selected time.

14. A dynamically reconfigurable mobile communication system, including a mobile communication device for performing selected functions comprising:

a mobile device configured with input, output and or display capabilities for communication of voice and data.

a central server,

means for communication between a mobile device and a central server by wired or wireless means,

means for configuring the mobile device for a selected first set of functions including communication, computation, command, sense and control for a selected first utility to the mobile device user;

means for establishing one or more functional instructions for dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions;

means for storing functional instructions for executing said dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions within the mobile device, the central server including a combination of the mobile device and the central server; including

means for storing said functional instruction sets in databases and lookup tables within the mobile device and or the central server for ease and efficiency of execution from a first set of functions to one or more selected second set of functions of the mobile device:

means for selecting a functional instruction set for a selected reconfiguration of the mobile device from a first set of functions to a selected second set of functions in a standalone manner utilizing the mobile device and or in conjunction with utilizing the central server;

means for accessing one or more said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within the mobile device itself and/or accessing said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within a central server by wired or wireless communication means;

means for executing the dynamic reconfiguration of the mobile device from use with a first set of functions to for use with a second set of functions utilizing the processing, storage and database capabilities of the mobile device in a standalone manner and or in conjunction with the processing, storage and database capabilities of a central server, a local server, a network server or a combination thereof; including

means for reconfiguring the mobile device for the selected first set of functions and or a first set of utility, second set of functions and or a second utility and one or more other set of functions and utility(s), to provide one or more desirable functions and utility(s) to the mobile device user dynamically in real time or at the selected time.

3

#### 15. A mobile communication system of claim 14 comprising

means for storing a plurality of mobile device functionality instructions on the mobile device and or the local, central and or network server;

means for modifying and generating a plurality of new mobile device functionality instructions by means of the mobile device and or the servers;

means for independently configuring the mobile device functionality in a stand alone manner and or in conjunction with a local, central or network server;

means for using the mobile device functionality instructions in the selected manner and at the selected time.

#### 16. A mobile device communication system of claim 14 comprising

means for dynamically configuring the full or partial functionality of the mobile device by software means without altering the hardware configuration,

means for the functional instruction software to be resident on the mobile device and or on a local, central and or network server,

means for using the functional instruction software resident on the mobile device in a stand alone manner and or in conjunction with the functional instruction software resident on the local, central and or network server,

means for utilizing a plurality of mobile device functionalities with the existing hardware configuration.

#### 17. A mobile communication system of claim 14 comprising

means for enabling one or more specific dynamic mode configurations of the mobile device for desired utility such as a cell phone, a PDA, a remote controller, an IP phone, a music player, a voice recorder, a camera and other devices with specific utility or a combination of utilities to the user;

means for enabling and associating one or more user profiles with the selected mode configuration from one function to another function;

means for storing a plurality of mode configurations, user profiles, functional instructions, program instructions and other enabling tools on the mobile device itself and or the local, central and or network server;

means for dynamically reconfiguring and utilizing the desired mode configuration and or the desired user profile by means of the functional instructions and program instructions in conjunction with the processing power, storage, databases and lookup tables of the mobile device by itself and or in conjunction with the processing power, storage, databases and lookup tables of the local, central and or network server;

means for utilizing the existing mobile device hardware for a specific function or a combination of functions for a desired utility to the mobile device user dynamically or at the selected time in conjunction with the software and functional instructions resident within the mobile device, a central server, a local server, a network server or a combination thereof.

#### 18. A mobile communication system of claim 14 comprising

means for configuration of the mobile device for communication utilizing one or more communication methods and or communication protocols such as Bluetooth, Wi-Fi, IP, 802.XX, cellular, and other methods

means for selecting a first communication method and or a first communication protocol,

means for communication with a first communication method and or a first communication protocol;

means for selecting a second communication method and or a second communication protocol;

means for communication with a second communication method and or a second communication protocol; including

means for switching from a first communication method and or a first communication protocol to a second communication method and or a second communication protocol dynamically or at the selected time;

means for executing communication, computation, command, control and other functions for a selected utility to the mobile device user utilizing one or more communication methods and or communication protocols in a standalone manner leveraging the processing, storage, database and lookup tables maintained within the mobile device itself and or in conjunction with a central server, a local server, a network server or a combination thereof.

#### 19. A mobile communication system of claim 18 comprising

means for dynamically sensing a communication method and or a communication protocol,

means for switching from a first communication method and or a first communication protocol to said sensed second communication method and or a second communication protocol dynamically or at the selected time;

means for executing communication, computation, command, control and other functions seamlessly for a selected utility to the mobile device user utilizing one or more communication methods and or communication protocols in a standalone manner leveraging the processing, storage, database and lookup tables maintained within the mobile device itself and or in conjunction with a central server, a local server, a network server or a combination thereof.

#### 20. A mobile device communication system of 14 comprising

a mobile device configured with one or more input and output channels of communication,

a central server,

means for wired or wireless communication between a mobile device and a central server,

means for selecting and configuring one or more input and output channels of a mobile device for a selected communication and utility,

means for voice communication on a selected first channel of a mobile device.

means for data, audio, video or other communications on selected same or different channel of a mobile device,

means for sequential or simultaneous communication on a selected communication channel by multiplexing the same channel or utilizing alternate channels;

means for a plurality of communication methods, communication types and functions on a selected channel;

means for utilizing one or more input and output channels of a mobile device for a selected function and or utility in conjunction with the capabilities of the mobile device by itself and or in conjunction with the capabilities of a central server, a local server, a network server or a combination thereof.

#### 21. A mobile device communication system of claim 20 further comprising

means for enabling the mobile device for voice and data communication on one or more selected input and output channels;

means for enabling the mobile device for communication of audio, video, data, broadcast and or other communication on one or more input and output channels,

means for enabling dynamic reconfiguration by means of functional instructions, program instructions and or other means wherein the instructions are resident on the mobile device and or the servers,

means for dynamically or at a desired time selecting the desired communication parameters such as the frequency, power and communication protocols for reconfiguring one or more input and output channels; including

means for dynamically or at a desired time altering and modifying the full or partial functionality of the mobile device in a stand alone manner using the processing power, storage and data bases of the mobile device in a stand alone manner and or in conjunction with the processing power, storage and data bases of the local, central and or network servers; including

means for altering and modifying the functionality of the desired input and output channels of the mobile device; including

means for multiplexing one or more of the input and one or more of the output channels for the desired communication, computation, command and control functions; further including

means for dynamically and or at the desired time configuring the mobile device for a plurality of interfaces for one or more types of input, output and display.

## 22. A mobile device communication system of claim 20 comprising

means for dynamic signaling and sensing of the communication environment, the communication methods, communication parameters and or the functional instructions, by radio frequency signaling and or other methods;

means for enabling disparate communication methods by dynamically adjusting communication parameters such as the frequency of transmission/receiving, power levels and other parameters which are best suited to a specific environment by functional instructions or other means,

means for dynamic switching of the communication parameters for transition from one communication environment and or communication method to another;

means for enabling a single mobile device to perform a plurality of same or disparate functions on one or more channels;

means for a mobile device to transform itself dynamically to execute a multiplicity of desired functions, on one or more input and output channels, by utilizing the processing power and software resident in the mobile device itself and or in conjunction with the processing power and software resident on the servers.

#### 23. A mobile device communication system of claim 20 comprising

means for dynamically and independently tuning one or more input and output channels of the mobile device,

means for dynamically and independently tuning the input and output channels based on various parameters such as power, frequency, signal to noise ratio, desired and allowable error rates for data transfer and other factors;

means for dynamically optimizing the performance of the mobile device for efficient operation in the desired environment.

#### 24. A mobile device communication system of claim 20 comprising

means for the mobile device to bypass the public carrier operating frequencies for voice and or data communication on one or more input and output channels;

means for communication of voice and data using the desired home, office, factory, transportation system or other operating frequencies using the desired input and output channels of the mobile device; and or

means for contemporaneous operation on public carrier and or private carrier frequencies on the selected input and output channels of the mobile device.

#### 25. A mobile device communication system of claim 20 comprising

a Global Positioning Server,

means of wired or wireless communication with the GPS server,

means for determining the geographical location of the mobile device,

means for sensing the macro and micro communication environments in a selected environment and location wherein the mobile device is present,

means for dynamically selecting the desired communication methods and communication parameters on one or more input and output channels of the mobile device,

means for enabling the desired communication on one or more input and output channels of the mobile device.

#### 26. A mobile device communication system of claim 20 comprising

means for the mobile device to be enabled with a sleep mode and or watch dog mode on one or more input and output channels,

means for instantaneously switching from a sleep mode and or watch dog mode to an active mode on one or more desired input and output channels of the mobile device,

means for sensing the communication environment by the mobile device,

means for the mobile device to sense other mobile devices,

means for the mobile device to sense using a plurality of communication methods inclusive of radio frequency and or other means,

means for the mobile device to sense one or more servers.

means for the mobile device to execute the desired communication and desired functions at the desired time and in the desired sequence.

#### 27. A mobile device communication system of claim 20 comprising

means for the mobile device to operate in a wireless manner on one or more input and output channels,

means for the same mobile device to operate in a wired manner on one or more input and output channels

means for the selection and enabling of the desired input and output channels of the mobile device for wired or wireless communication.

#### 28. A mobile device communication system of claim 20 comprising

means for enabling a selection of a plurality of communication modes on one or more input and output channels of the mobile device,

means for selecting and enabling a primary communication mode on one or more input and output channels of the mobile device,

means for selecting and enabling a secondary communication mode on one or more input and output channels of the mobile device.

means for enabling a hierarchy of communication modes on a mobile device for communication at a desired time and in desired order on one or more input and output channels,

means for instantaneously, dynamically or in a delayed manner enabling the desired communication mode on the desired input and output channel of the mobile device.

#### 29. A mobile device communication system comprising

a mobile device,

local, central and or network servers,

means for wired or wireless communication using public carrier communication loops, private carrier communication loops, office/factory communication loops and home communication loops, said loops operating with same or disparate communication methods and or communication parameters for wired or wireless communication in a selected environment;

means for the mobile device to instantaneously recognize the communication environment and determine the nature of the public, private, office, factory, transportation or home carrier communication methods and communication parameters,

means for selecting instantaneously and or at a desired time and switching the carrier(s) for desired communication on a desired input and output communication channel of the mobile device;

means for operation with one single mobile device in multiple carrier environments on one or more input and output channels of the mobile device;

means for operation by the mobile device in a standalone manner and or in conjunction with a local, central and or network server.

#### 30. A mobile device communication system of claim 29 comprising

means for voice, data and video communication on one or more channels of the mobile device,

means for maintaining a plurality of functional instructions on the mobile device and or the network servers.

means for enabling the mobile device to be configured for wired or wireless remote command and control applications such as TV, entertainment, gaming, appliance control, intelligent appliance control, intelligent sensing and control, intelligent equipment control and other control applications for the home, office, transportation systems, factory and other applications;

means for a plurality of same or different control applications being enabled sequentially or contemporaneously on one or more input and output channels of the mobile device;

means for enabling the control applications using the processing power, storage and databases of the mobile device by itself and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 31. A mobile device communication system of claim 29 comprising

means for voice, data and video communication on one or more channels of the mobile device,

means for maintaining a plurality of functional instructions on the mobile device and or the network servers,

means for dynamically configuring the mobile device with a plurality of functional instructions on one or more channels;

means for enabling the emulation of the mobile device for one or more same or disparate functions;

means for enabling the mobile device to emulate and perform the functions of a cordless telephone, a cellular telephone, a PDA, an Internet Protocol based IP telephone and other disparate computation, communication, command and control device functions on one or more input and output channels of the mobile device;

means for the communication, command, control and computation functions to be emulated and enabled by using the processing power/storage and databases of the mobile device by itself and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 32. A mobile device communication system of claim 29 comprising

means for the mobile device to be dynamically assigned a plurality of identification numbers,

means for the identification means to include a plurality of identification methods such as telephone numbers, static IP address number, dynamic IP address number and other numbers;

means for the mobile device to be dynamically configured for voice and data communication,

means for using one or more of the telephone numbers and other identification numbers sequentially or contemporaneously on the same mobile device for desired communication,

means for recognizing and relating the incoming and outgoing communications with the telephone number means and or other identification number means by visual, audible and other input, output, display and interface methods;

means for communication on one or more input and output channels of the mobile device with same or disparate identification numbers and or communication methods; and or

means for dynamically configuring the mobile device for communication and operation using the Internet Protocol, IP, based communication methods and or non IP based communication methods, on one or more input and output channels of the mobile device, for sequential or contemporaneous use

means for dynamically switching between the IP mode and non IP mode for communication on one or more input and output channels of the mobile device by software means, functional instructions or other methods,

means for dynamically enabling the communications of voice, audio, video and data in the IP mode and or non IP mode on the mobile device by utilizing software means, functional instructions means and or other methods with or without altering the hardware configuration of the mobile device

means for enabling the operation in the IP mode and or non IP mode by using the processing power, storage and databases of the mobile device in a standalone manner and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 33. A mobile device communication system comprising

a mobile device,

a central server.

a network control box having one or more input and output channels located with an office, home, factory, office buildings or other locations,

means for wired or wireless communication by the network control box on one or more channels using one or more communication methods and associated communication parameters;

means for selection and operation of the channels of the network box at one or more transmit and receive frequencies, power levels, signal to noise ratios and bandwidths;

means for interfacing between the mobile device and the network control box by using wired or wireless communication methods in a bilateral manner and or in conjunction the local, central and or network server; including

means for the network control box to operate at one or more public carrier, private carrier, office loop, home loop and other communication frequencies and modes;

means for the mobile device to operate in conjunction with the network box by selecting the desired communication mode and the communication loop appropriate for the intended communication on the selected input and or output channels of the mobile device and or the selected input/output channels of the network control box;

means for managing the operation of the network control box by functional instructions resident within the network control box and or derived from the mobile device acting by itself and or in conjunction with functional instructions resident on a central server, a local or network server;

means for the network control box input and output channels to be dynamically configured for communication in same or different communication modes,

means for configuring the network control box for desired utility by the mobile device acting in a stand alone manner and or in conjunction with the local, central and or network server.

#### 34. A mobile device communication system of claim 33 comprising

means for the network control box to dynamically sense a mobile device operating within range of the network control box;

means for the mobile device to dynamically sense a network control box operating within range of the mobile device;

means for the mobile device and the network control box to recognize, authenticate and enable communication with each other;

means for dynamic switching from a first set of communication methods and communication protocols to a second set of communication methods and communication protocols that are acceptable for the mobile device user and the network control box;

means for seamless and dynamic operation of the mobile device in a plurality of environments and locations for a selected utility to the mobile device user utilizing the capabilities of the mobile device and a network control box or utilizing the capabilities of the mobile device, the network control box, a central server, a local server, a network server or a combination thereof.

#### 35. A mobile device communication system of claim 34 comprising

a plurality of mobile devices,

means for a first mobile device to communicate with the network control box utilizing a first communication method and or a first communication protocols;

means for a second mobile device to communicate with the network control box utilizing a second communication method and or a second communication protocols;

means for the network control box to seamlessly enable communication between the first mobile device and the second mobile device utilizing same or disparate communication methods and or communication protocols;

means for switching and or translation including communication method translations and or protocol translations from a first communication method/protocol to a second communication method/protocol within the network control box itself and or performing said functions in conjunction with a central server, a local server, a network server or a combination thereof wherein said switching and translation instructions and algorithms reside within the network control box and or one or more servers:

means for dynamic and seamless communication between a plurality of communication devices utilizing same or disparate communication methods and or communication protocols utilizing the capabilities of the mobile devices by themselves in conjunction with each other, in conjunction with the network control box and or in conjunction with a central server, a local server, a network server or a combination thereof.

## **REMARKS**

The attached claims are central to the original specification and the drawings therein filed on as application number 09/591, 389 filed on 06/09/2000. The applicant respectfully submits that Levac even if combined with Houde does not teach the features, claimed by Rao et al. Examination of these claims is respectfully requested.

Respectfully submitted,

By

Raman K. Rao, Applicant

fam Krao

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Fax: (650 618-1553

# PATENT APPLICATION FEE DETERMINATION RECORD

Application or Docket Number 10911711

		Effec	tive Octo	ber 1, 20	004				10	#11	211	
	CLAIMS AS FILED - PART I (Column 1) (Column 2)								NTITY	OR		R THAN ENTITY
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**	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."  ***If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "2".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid For." (Total or Independent) is the highest number Previously Paid Fo											



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UNITED STATES PATENT AND TRADEMARK OFFIC P.O. Ecx (45 ALEXANDRIA, VA 22313-145

Paper No.

#### Notice of Non-Compliant Amendment (37 CFR 1.121)

37 CFI be com <mark>docu</mark> m	₹ 1.121, a pliant, co ent mus	document filed on \$\frac{2.04}{0.000}\$ is considered non-compliant because it has failed to meet the requirements of as amended on June 30, 2003 (see 68 Fed. Reg. 38611, Jun. 30, 2003). In order for the amendment document to prection of the following item(s) is required. Only the corrected section of the non-compliant amendment to be resubmitted (in its entirety), e.g., the entire "Amendments to the claims" section of applicant's cument must be re-submitted. 37 CFR 1.121(h).
THE F	OLLOW	ING CHECKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIANT:
	1. Ame	endments to the specification:
		A. Amended paragraph(s) do not include markings.
		B. New paragraph(s) should not be underlined.
		C. Other
	2. Abst	ract:
		A. Not presented on a separate sheet. 37 CFR 1.72.
		B. Other
	3. Ame	ndments to the drawings:
	4 Arric	ndments to the claims:
		A. A complete listing of <u>all</u> of the claims is not present.
		B. The listing of claims does not include the text of all claims (including withdrawn claims)
		C. Each claim has not been provided with the proper status identifier, and as such, the individual status of each
		claim cannot be identified.
	Ц	D. The claims of this amendment paper have not been presented in ascending numerical order.
		D 0 1

For further explanation of the amendment format required by 37 CFR 1.121, see MPEP Sec. 714 and the USPTO website at http://www.uspto.gov/web/offices/pac/dapp/opla/preognotice/officeflyer.pdf.

If the non-compliant amendment is a PRELIMINARY AMENDMENT, applicant is given ONE MONTH from the mail date of this letter to supply the corrected section which complies with 37 CFR 1.121. Failure to comply with 37 CFR 1.121 will result in non-entry of the preliminary amendment and examination on the merits will commence without consideration of the proposed changes in the preliminary amendment(s). This notice is not an action under 35 U.S.C. 132, and this ONE MONTH time limit is not extendable.

If the non-compliant amendment is a reply to a NON-FINAL OFFICE ACTION (including a submission for an RCE), and since the amendment appears to be a bona fide attempt to be a reply (37 CFR 1.135(c)), applicant is given a TIME PERIOD of ONE MONTH from the mailing of this notice within which to re-submit the corrected section which complies with 37 CFR 1.121 in order to avoid abandonment. EXTENSIONS OF THIS TIME PERIOD ARE AVAILABLE UNDER 37 CFR 1.136(a).

If the amendment is a reply to a FINAL REJECTION, this form may be an attachment to an Advisory Action. The period for response to a final rejection continues to run from the date set in the final rejection, and is not affected by the non-compliant status of the amendment.

Legal Instruments Examiner (LIE)

571/012·1627
Telephone No.

Rev. 10/03



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450

APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/911.211

08/03/2004

Raman K. Rao

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Raman Rao 3099 Alexis Drive Palo Alto, CA 94304

**CONFIRMATION NO. 7409 FORMALITIES LETTER** \*OC000000014019816\*

Date Mailed: 10/06/2004

#### NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450. Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

- · The specification is missing. A complete specification as prescribed by 35 U.S.C. 112 is required.
- The statutory basic filing fee is missing. Applicant must submit \$ 395 to complete the basic filing fee for a small entity.

The applicant needs to satisfy supplemental fees problems indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

 Additional claim fees of \$71 as a small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

#### **SUMMARY OF FEES DUE:**

Total additional fee(s) required for this application is \$466 for a Small Entity

- \$395 Statutory basic filing fee.
- Total additional claim fee(s) for this application is \$71

- \$44 for 1 independent claims over 3.
- \$27 for 3 total claims over 20.

Replies should be mailed to:

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Commissioner for Patents

P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice MUST be returned with the reply.

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Initial Patent Examination Division (703) 308-1202

PART 3 - OFFICE COPY



#### United States Patent and Trademark Office

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APPLICATION NUMBER

FILING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/911.211

08/03/2004

Raman K. Rao

Raman Rao 3099 Alexis Drive Palo Alto, CA 94304

10/18/2004 LUCHDIM1 00000051 10911211

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**CONFIRMATION NO. 7409 FORMALITIES LETTER** ! (BARER AND IN ARE GAR AND AND THE THE REPORT HAS NOW THAT HAS BEEN AND THE FOR

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Date Mailed: 10/06/2004

#### NOTICE OF INCOMPLETE NONPROVISIONAL APPLICATION

#### FILED UNDER 37 CFR 1.53(b)

A filing date has NOT been accorded to the above-identified application papers for the reason(s) indicated below.

All of the items noted below and a newly executed oath or declaration covering the items must be submitted within TWO MONTHS of the date of this Notice, unless otherwise indicated, or proceedings on the application will be terminated (37 CFR 1.53(e)). Replies should be mailed to: Mail Stop Missing Parts, Commissioner for Patents, P.O. Box 1450. Alexandria VA 22313-1450.

The filing date will be the date of receipt of all items required below, unless otherwise indicated. Any assertions that the item(s) required below were submitted, or are not necessary for a filing date, must be by way of petition directed to the attention of the Office of Petitions accompanied by the \$130.00 petition fee (37 CFR 1.17(h)). If the petition states that the application is entitled to a filing date, a request for a refund of the petition fee may be included in the petition. Petitions should be mailed to: Mail Stop Petitions, Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450.

The specification is missing.

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Total additional fee(s) required for this application is \$466 for a Small Entity

\$395 Statutory basic filing fee.

Total additional claim fee(s) for this application is \$71

• \$44 for 1 independent claims over 3.

■ \$27 for 3 total claims over 20.

Replies should be mailed to: Mail Stop Missing Parts

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PART 2 - COPY TO BE RETURNED WITH RESPONSE



Mail Stop Missing Parts Commissioner for Patents P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Reference:

Application Number: 10/911,211 Filed: 08/03/2004

REQUEST FOR THE GRANT OF THE FILING DATE OF 8/3/04

Dear Sir,

Enclosed are the missing parts namely the specification and figures. Also included is credit card payment form in the amount of \$466.

The applicant respectfully requests the grant of the filing date of 8/3/2004 for this divisional application, as the specifications and drawings are identical to the original application 09/591,381 filed on 06/09/2000. The specification and drawings were inadvertently left out at the time of filing the divisional application on 8/3/2004.

Please let me know if any fees and information is due.

Raman K. Rao

Applicant and Applicants Representative

Raman K. Rao

3099 Alexis Drive, CA 94304

Tel: 650 941 7096 Fax: 650 618 1553

# DYNAMICALLY CONFIGURABLE IP BASED WIRELESS DEVICE AND WIRELESS NETWORKS

By: Raman K. Rao Sunil K. Rao Sanjay K. Rao

#### **CROSS REFERENCE TO RELATED APPLICATIONS**

The present application is a continuation-in-part of copending application entitled INTELLIGENT KEYBOARD SYSTEM, Serial No. 09/281,739, filed June 4, 1999, which is a continuation-in-part application of a now abandoned application entitled A SYSTEM LEVEL SCHEME TO CONTROL INTELLIGENT APPLIANCES, Serial No. 08/764,903 filed December 16, 1996.

#### BACKGROUND OF THE INVENTION

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Wireless devices are made to operate at a single set frequency to transmit and receive on a narrow frequency band. The ability to transmit/receive (T/R) and the protocols for executing the T/R function are primarily set in the hardware and are physically set for each mobile device (MD). Some mobile devices (MD) include the ability to reconfigure the MD for different environments and applications in cases where it is required that the phone be able to operate in these other environments and applications.

There is often a proliferation of mobile devices that must be carried by a user. For example, a user may need a device or remote for the public airwaves (cell phone), another for the local or office network and yet another for the home network such as wireless telephones, as well as controllers for TVs and other intelligent appliances. The present art offers limited Internet access and pager functions on some cell phones. Merely offering Internet access and pager functions is not a solution to the problem involved, such as relieving the proliferation of devices.

There is a need for a method to bypass the public wireless carrier, such as cell phones, for wireless telephones for local office or home networks where the public carrier services are not being utilized, without changing devices. This avoids the proliferation of devices mentioned before.

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SUMMARY OF THE INVENTION

It is an object of the present invention to provide a wireless communication and control system including a universal wireless device. There is a central server for storing communication protocols and control protocols. The central server communicates the communication protocols and selectively communicates the control protocols between the wireless device and the central server. The communication protocols configure the system for communication and the control protocols configure the system as one of an arbitrary number of intelligent appliance controllers. Alternately the control protocols configure the system as one of a selection of Internet terminals. The wireless device may be, for example, a hand-held computing device, wireless telephone, or cellular phone.

Other objects, features and advantages of the present invention will become apparent from the following detailed description when taken in conjunction with the accompanying drawings.

The accompanying drawings, being incorporated in and forming a part of this specification, illustrate embodiments of the invention and, together with the description, serve to explain the principles of the present invention:

FIG. 1 is an embodiment illustrating different wireless devices.

FIG. 2 is an embodiment of a comprehensive wireless networking scheme.

FIG. 3 is an embodiment showing how a server is incorporated in the system.

FIG. 4 is an embodiment showing how modes and environments may be mapped.

FIG. 5 is an embodiment of a network control box.

FIG. 6 is an embodiment illustrating the various parts of a server.

FIG. 7 is an embodiment with tables illustrating the dynamic reconfiguration of frequency, power, and bandwidth.

#### DESCRIPTION OF THE PREFERRED EMBODIMENTS

RAO-012 2

BRIEF DESCRIPTION OF THE DRAWING

Ex.1002 APPLE INC. / Page 32 of 610 Reference will now be made in detail to preferred embodiments of the invention, with examples illustrated in the accompanying drawings. The invention is described in conjunction with the preferred embodiments, however, it will be understood that the preferred embodiments are not intended to limit the invention. The invention is intended to cover alternatives, modifications and equivalents included, now or later, within the scope of the present invention as defined by the appended claims.

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In the present invention, a cell phone acts as a radio, TV and pager to receive and transmit at different frequencies. In addition it is set to bypass the public wireless carrier for local office or home networks where the public carrier services are not required for communication.

The mobile device is dynamically software reconfigurable for the various environments. An example is such as the public networks in one or more countries, which may operate at different frequencies. Another example is found in the office, such as at one or more office locations operating at different frequencies, or in the home. It is desirable that the MD be dynamically tuned for transmit and receive functions suitable for each environment. For example, current wireless conditions may be determined by sensing the signal to noise ratio and the bit error rate. These parameters are a part of an error detection, error correction (EDEC) system in an embodiment of the system of the present The allowed power/channel bandwidth for a given environment or operating mode will be determined, for example, from a table in Server C. This would allow a phone in the USA to work on GSM, as an example. In the present invention a phone or other wireless device can be a remote TV controller, garage controller, or similar intelligent appliance. It can be a cordless phone.

The system of the present invention, including a wireless device forming a part of the system can work with, for example, GPS, or with public wireless location systems, to improve locating capabilities. For instance, since both the home and office network units/boxes are at known locations, tuning a CT/MD for operation as a GPS receiver, or other locating system, to the network units/boxes would give a precise location with respect to the home or office units/boxes. There are two possible locations for only two stations. Normally, therefore, three stations are required, but in many cases, for a CT/MD, one of the two locations is known to be invalid. For example, the location is known well enough to automatically rule out one location. In this case, the location will

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be precisely known from only the office and home network boxes, or from these units/boxes with respect to a public wireless station, or with respect to a satellite, or both. This software based configuration is available from the network, such as from a server C located on the Internet that enables dynamic reconfiguration anywhere in the world for a CT/MD.

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The MD is able to sense which environment it is primarily operating in at a given moment while maintaining the ability to switch instantaneously to a different environment. It has the ability to be in a watchdog or sleep mode in different environments while very active in a given environment at a particular time. This allows the MD to be very useful in one or more environments as the use dictates.

The same MD can be a part of the wired network and one or more wireless networks obviating the need for multiple devices. The MD operates in the IP mode (Internet Protocol) in the wired or wireless domains. The invention also deals with either wired or wireless network control/management units such as a multichannel, multiplexing transmit/receive (T/R) device – referred to also as a network unit or box – when they exist in each environment.

The present invention deals with any wired or wireless network box as a dynamically configurable device utilizing the power of the Internet and a central server C working alone or in tandem with other servers where ever they are located, and local or Internet based network boxes. This is illustrated using a cellular telephone but is fully extendable to all mobile devices.

FIG. 1 illustrates embodiments of a cellular telephone (CT) and a mobile device (MD). In FIG. 1, CT 102 is representative of the type of phone useful for the improved uses of the present invention. It will be clear to those of ordinary skill in the art that physical changes to the CT are not required. MD 104 is representative of the type of MD useful for the improved uses of the present invention, and as with the CT does not require physical changes. Wireless device (WD) 106 represents another embodiment of the CT and/or MD, and also will require no physical changes to implement the improvements of the present invention.

FIG 2A is an illustration of an embodiment of a communication and control system 200. In FIG. 2A:

RAO-012 4

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Cellular telephone or mobile device (CT/MD) 202 working in a domain 200 is highlighted in Figure 2. In this embodiment the primary mode is through a public carrier 208.

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The cellular phone (CT) 202 can initiate wireless IP connection 204 to the Internet 206 via the public carrier 202 at a set frequency, Fp 208, designated by the carrier and tuned for T/R for that particular carrier's FCC approved frequency band of operation. The carrier senses the T/R and makes either wired or wireless connections 210 to the Internet via an Internet backbone connection 212 to a desired Server C 214 or any web site 216 as defined by a URL request 224 of the CT/MD 202.

When a CT/MD 202 wishes to use the services of Server C 214, the Server C 214 delivers the content or performs functions as requested by the CT/MD 202.

A CT/MD 202 can store profiles and other user specific information on the Server C 214.

Server C 214 can be used to keep the various "functional instruction sets" (FIS) and software (S/W) 218 for use by the CT/MD 202. The FIS and software 218 resident on Server C 214 will serve to provide the primary repository/exchange to deliver various mode reconfiguration requests to the CT/MD 202. For example, the CT/MD 202 may send a request to the Server C 214 for configuration as a cell phone because it is not in the home environment. In this mode the CT/MD 202 may still receive inputs/outputs from to the local office loop network box or the home network box via the public carrier channel 208.

The ability to sense and switch from one mode to the other may include linking 222 to a Global Positioning System (GPS) 220 that determines the exact location of the CT/MD 202. Thus the CT/MD 202 may sense (or the appropriate network box at the office or home may sense) the location of the network box or the net to bring the CT/MD 202 into any local or carrier loop 208.

The CT/MD 202 in conjunction with the Server C 218 can decide the preferred mode to be in. There may be a primary mode and several secondary modes or a hierarchy of modes. The primary mode may switch from local office FIG. 2B to a public carrier loop 208, followed by a home loop FIG. 2C. This switching may be automatic or per specific functional instruction sets 218 and preferences stored on the Server C 214 or in the CT/MD 202 itself.

FIG. 2B is an illustration of an embodiment of a Local Office Loop 230 in accordance with the present invention. In FIG. 2B, a local wireless office IP network 232, which could also be a

RAO-012 5

local area network (LAN) or other connectivity means, communicates with local servers 234. Servers 234 then connect on an as-needed basis with, for example, the world wide web (WWW).

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The same CT/MD 202 can function in the local office loop 230 under the supervision of a local office wireless network switch or box 232.

The local office 230 can operate at the same or a different frequency for T/R. It is preferable for the local network box 232 and loop 230 to be at different frequencies that are geared toward a smaller area of influence. In that way the local network box 232 and loop 230 do not interfere with, for example, a public carrier frequency domain. The local network box 232 and loop 230 will be under the control of the local office – such as an office building or office campus.

The local wireless network switch or box 232 may operate at one or more frequencies. In this way, one of more channels will be devoted to a public carrier frequency 210 for T/R and one or more channels 208 will be devoted for T/R optimized for localized use in the campus or office building.

The CT/MD 202 when in the local office loop 236 can switch itself for optimal performance in the local office loop 230 by downloading/uploading FSI 218 and/or protocols in tandem with Server C 214.

Thus the CT/MD 202 can serve as a cordless phone in the local environment for interoffice phone calls or local area network 236 access working in tandem with a local network box 232.

In a similar fashion as described above, the CT/MD 202 also serves as a remote controller 270 for controlling intelligent office appliances 238 such as copiers and faxes.

FIG. 2C illustrates a CT/MD 202 in the home loop 260. In FIG. 2C, the CT/MD 202 communicates through an optional uplink/downlink such as a transmit/receive unit 262 to home server 264. Home server 264 controls Home Intelligent Appliances (HIAP) 266. In this way, the CT/MD 202 can be a TV remote 272, remote access 274 for an oven or microwave for starting/stopping an operation at a desired time, or perform other household duties.

The same CT/MD 202 will function in the local home loop 260 under the supervisory control of a home network box 262 able to T/R in a specific home frequency band.

RAO-012 6

The home wireless network box 262 operates at same or different frequency of T/R as a public carrier 210. However, it is desirable to have different frequency of T/R optimized for home area wireless networks.

The local home wireless network box 262 may operate at one or more public carrier frequencies 210 and one or more local home wireless network box frequencies 268.

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The CT/MD 202 when in the home wireless network mode may switch itself for this task for optimal performance by downloading/uploading FIS 218 (function instruction software) and/or protocols in tandem with Server C 214.

The CT/MD 202 may serve as a cordless phone (connected or hooked into a landed telephone line as an example, and operating as a telephone or as an IP phone) in the home wireless network loop 260 because it is now configured by the FIS 218. Also, the CT/MD 202 may be emulated by a cordless phone, such as by being configured with the FIS 218, allowing the functions of the CT/MD 202 to be performed without wasting air time. When the CT/MD 202 is being emulated by a cordless telephone, the cordless telephone base station may also be emulated by, for example, home server 264, such as by inserting a memory card to reconfigure the home server 264. One CT/MD 202, even when being emulated by, for example, a cordless phone, serves many purposes as opposed to requiring many telephone hand sets (one for the home, one for the office, and one for the car, as an example). Paging from one phone to the other in the wireless home network may be done very easily. All you need to carry is your CT/MD 202, real or emulated, which doubles as a regular telephone hand set.

In a similar fashion as described above, the CT/MD 202 may serve as a remote controller for various IP based intelligent wireless or wired home appliances 266. The TV may be controlled using the cell phone if the TV set is capable of receiving wireless commands. Opening the garage door may be done with a macro command downloaded from the Central Server C 214.

Any set of "macro commands" and or detailed FIS 218 may be written for specific wireless intelligent appliances 266 or wireless intelligent equipment 238 to control/command all of these using the CT/MD 202 in conjunction with Server C 214.

The commands/instructions are keypad, textual, sound or voice actuated and can be in one or more languages, such as Chinese, English or any other language supported.

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- FIG. 4 illustrates how the communication and control system 200 of the present invention is mapped 402, 404 to various modes. In FIG. 4 only primary, secondary and tertiary modes are shown in table 402 and in table 404, but more modes can be easily accommodated by simple extensions of the entries shown. In connection with FIG. 4:
- i) The CT 202 wishes to be in the primary mode of the local wireless office loop 230 whereas it is currently in the public carrier wireless loop 200.
  A request, menu or macro command is chosen by the CT 202 and a request for reconfiguration is sent to the Server C 214 via the wireless Internet 204 using frequency Fp and utilizing a public carrier 208.
- transmission to the CT 202. The CT 202 processes the instruction set via the controller and processor electronics located within the CT 202 and loads the new FSI 218 into the memory block of the CT 202, and tunes/sets the frequencies within the T/R blocks to primary frequency Fp and secondary frequency Fl. Now the CT 202 is converted to the primary local office mode 230.
- iii) The CT 202 is now operating in the local office 230 loop and can control/communicate with various units, appliances and equipment 238 within the loop working in tandem with the local wireless network box 232. Similar examples can be shown for home wireless network box 262.
- iv) In the present invention Transmit and Receive frequencies may be tuned to one or more primary values and one or more subsidiary values.
- v) The functional instruction sets 218 may be downloaded/uploaded from/to the central server C 214 for optimal performance in a given domain and may be downloaded/uploaded into the memory of the CT/MD 202.

RAO-012 8

- vi) The secondary or subsidiary modes are active to instantly spring into action/service as needed without losing the full feature functionality. Thus the device 202 instantly becomes a cell phone in the public carrier network 210 upon receiving a signal even when it is operating in the local wireless network 208 loop.
- vii) Server C 214 may keep watchdog functions alive when the CT 202 is in a different mode or is inactive to instantly deliver all the content that might have been sent in the meantime as though the CT 202 was in the public carrier 210 domain.
- viii) Controller electronics within the CT/MD 202 work in tandem with Server C 214 to deliver the functionality and maintain the ability to switch modes and keep track of modes.
- 10 ix) The processor electronics within the CT/MD 202 along with the processing and software capability of Server C 214 is able to continually deliver all necessary processing horsepower and capability to device CT/MD 202.
  - x) The memory electronics within the CT/MD 202 keeps/caches instructions and other data in conjunction with Server C 214 to quickly execute tasks and efficiently update changes in models.
  - xi) The Transmitter and Receiver are independently tunable to one or more frequencies for operation in different environments based on the instructions of internal controller electronics and that of Server C 214.

FIG. 5 is an embodiment of the wireless communication and control system of the present invention with more detail of the network control box 500. Server C 214 is located at home 260, office 230 or other location 200 and has one or more assigned channels of inputs and outputs 502. Example: standard telephone line, cable, or standard public carrier cellular telephone frequency.

Other input and output channels 504 are each dynamically tunable, such as to specific power levels, channel bandwidths and frequencies of operation, for maintaining reliability and integrity and to receive/transmit wireless communications from/to one or more services.

Inputs and outputs 502, 504 are multiplexed for optimal assignment by the controller, Server C 214, based on requests and utilization/demand.

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The network box 500 may have one or more static IP addresses and one or more dynamic IP addresses may be assigned by the network box 500 to a different MD/SD 202 in the wireless network 200, 230, 260.

The functional instruction sets 218 for configuration to different modes is maintained on a Central Server C 214 located on the Internet 206. The Server C 214 works in tandem with the controllers located within the CT/MD 202 or within the local or home wireless network switch/box 500 to dynamically configure the network switch 500 and the CT/MD 202. Both the CT/MD 202 and the wireless network control box 500 are dynamically configurable working in tandem with Server C 214 located on the Internet 206.

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The present invention deals with the issues of functionality using a wired or wireless network box and the dynamically configurable device utilizing the power of the Internet. In accordance with the invention, a central server C 214 (one or more) works alone or in tandem with other local and Internet servers and local or other Internet based network boxes. This will be illustrated using a cellular telephone but is fully extendable to all mobile devices.

Cellular telephone or mobile device CT/MD 202 working in the domain 200, 230, 260 highlighted in FIG. 2A, FIG. 2B, and FIG. 2C. Primary mode is through public carrier 204.

CT 202 initiates wireless IP connection to the Internet 206 via the public carrier 204 at a set frequency, Fp 208, designated by the carrier and tuned for T/R for that particular carrier's FCC approved frequency band of operation. The carrier senses the T/R and makes either wired or wireless connections to the Internet 206 via the Internet backbone connection 212 to a desired Server C 214 or any web site 216 as defined by the CT/MD's URL request. CT/MD 202 completes the transaction as defined by this loop 200, 230, 260.

When CT/MD 202 wishes to use the services of Server C 214, the Server C 214 works to efficiently deliver the content or perform functions requested by CT/MD 202.

CT/MD 202 utilizes the profiles and other user specific information 218 stored on the Server C 214.

Server C 214 is used to keep the various "functional instruction set" and software 218 for use by CT/MD 202. This FIS and software 218 resident on Server C 214 will serve as the primary repository/exchange to deliver various mode reconfiguration requests to the CT/MD 202. For

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RAO-012 10

example, the CT/MD 202 may send a request to the Server C 214 to be configured as a cell phone because it is not in the home environment 260. In this mode the CT/MD 202 may still receive inputs/outputs from to the local office loop network box 232 or the home network box 262, but this is via the public carrier channel 208.

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The ability of a CT/MD 202 to sense and switch from one mode to the other may be linked to a Global Positioning System (GPS) 220 that determines the exact location of the CT/MD 202. The CT/MD 202 may sense (or the appropriate network box 232, 262 at the office or home may sense) the location of the network box 232, 262 or the net to bring the CT/MD 202 into any local or carrier loop.

The CT/MD 202 in conjunction with the Server C 214 decides the preferred mode to be in. There may be a primary mode and several secondary modes or a hierarchy of modes. The primary mode may be local office 232 and then the public carrier 204 loop, followed by the home 262 loop. This switching may be automatic or per specific functional instruction set 218 and preferences stored on the Server C 214 or in the CT/MD 202 itself.

FIG. 2B is an embodiment of a Local Office 230 Loop. In FIG. 2C a local wireless office IP network 232 communicates with a CT/MD 202 and with Office Servers 234. Office Servers 234 then connect to the Internet 206 and from there to Server C 214. Server C 214 then connects to websites and servers on the Internet 206 as required.

The CT/MD 202 functions in the local office 230 loop under the supervision of a local office wireless network switch or box 232.

The local office 230, such as a local network box 232, can operate at the same or different frequencies for T/R. It is preferable for the local network box 232 and loop 230 to be at different frequencies geared towards a smaller area of influence so as not to interfere with a public carrier frequency domain 210. This also allows the local network box 232 to be under the control of the local office 230 – such as an office building or office campus.

The local wireless network switch or box 232 operates at one or more frequencies with one or more channels devoted to public carrier frequencies 210 for T/R and one or more channels for T/R optimized for localized use 236 in the campus or office building.

The CT/MD 202, when in the local office 230 loop, switches itself for optimal performance in the local office 230 loop by downloading/uploading FIS 218 instructions and/or protocols in tandem with Server C 214.

In one embodiment the CT/MD 202 serves as a cordless phone in the local environment for interoffice phone calls or local area network 236 access working in tandem with local network box 232.

In a similar fashion as described above, the CT/MD 202 also serves as a remote controller for controlling intelligent office appliances 238 such as copiers and faxes.

10 FIG. 6 is an embodiment of the communication and control system 600 of the present invention. In FIG. 6, CT/MD 202 is being used in the home loop 260 and illustrates how a processor 602 and memory 604 form a controller 606 with a transmitter 608 and receiver 610 to

provide the Server C 214 of the present invention.

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The CT/MD 202 may function in the local home 260 loop under the supervisory control of a home network box 500 able to T/R at the specific home frequency band.

The home wireless network box 500 operates at the same or different frequencies of T/R as a public carrier. It is desirable to have different frequencies of T/R optimized for home area wireless networks.

The local home wireless network box operates at one or more public carrier frequencies and one or more local home wireless network box frequencies.

The CT/MD 202, when in the home wireless network 260 mode, switches itself for this task for optimal performance by downloading/uploading FIS 218 (function instruction software) and/or protocols in tandem with Server C 214.

The CT/MD serves as a cordless phone (connected or hooked into a landed telephone line, as an example) in the home wireless network loop because it is now configured to be so by the FIS. Thus one CT/MD serves many purposes such as replacing many telephone hand sets (one for the home, one for the office, and one for the car). Paging from one phone to the other in the wireless home network may be done very easily. The CT/MD doubles as a regular telephone hand set.

In a similar fashion as described above, the CT/MD may also serve as a remote controller for various IP based intelligent wireless or wired home appliances. The TV may be controlled using

RAO-012 12

Ex.1002

the cell phone if the TV set is capable of receiving wireless commands/output. The electronic garage door opener may be a macro command downloaded from the Central Server C.

FIG. 7 is an embodiment of the communication and control system 700 of the present invention with tables demonstrating parameter setting for a CT/MD 202 or a Server C 214, such as for different configurations and environments. In FIG. 7, CT/MD 202 supports two frequencies in this embodiment, and both are dynamically changed in real time, including power output and channel bandwidth as well as frequency, in this embodiment. Table 702 represents the initial operating state, and table 704 represents the new operating state assumed by the CT/MD 202 or the Server C 214.

Any set of "macro commands" and or detailed FIS 218 may be written for specific wireless intelligent appliances 266 or equipment 238 to control or command all of these using the CT/MD 202 in conjunction with Server C 214. The control of the intelligent appliances 266 or intelligent equipment 238 is done in real time with dynamic reallocation of the environment as shown in tables 702 and 704.

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The foregoing descriptions of specific embodiments of the present invention have been presented for purposes of illustration and description. They are not intended to be exhaustive or to limit the invention to the precise forms disclosed, and it should be understood that many modifications and variations are possible in light of the above teaching. The embodiments were chosen and described in order to best explain the principles of the present invention and its practical application, to thereby enable others skilled in the art to best utilize the present invention and various embodiments, with various modifications, as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the Claims appended hereto and their equivalents.

RAO-012 13

#### **CLAIMS**

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# WHAT IS CLAIMED IS:

A wireless communication and control system including a wireless device comprising:
 central server means for storing communication protocols and control protocols;
 means for communicating the communication protocols and selectively communicating the
 control protocols between the wireless device and the central server means;

communication protocol means for configuring the system for communication;

first control protocol means for configuring the system as one of a selection of intelligent appliance controllers; and

second control protocol means for alternately configuring the system as one of a selection of Internet terminals.

- 2. The system of claim 1 wherein the wireless device comprises a hand-held computing device.
- 3. The system of claim 2 wherein the hand-held computing device is a wireless telephone.
- 4. The system of claim 2 wherein the hand-held computing device is a cellular phone.
- 5. A wireless communication and control system including a wireless device comprising: central server means for storing communication protocols and control protocols; transmission means for selectively communicating the communication protocols and the control protocols between the wireless device and the central server means;

communication protocol means for configuring the system for one of a selection of communication modes; and

- control protocol means for configuring the system as one of a selection of intelligent appliance controllers and Internet terminals.
- 6. The system of claim 5 wherein the wireless device comprises a hand-held computing device.

RAO-012 14

- 7. The system of claim 6 wherein the hand-held computing device is a wireless telephone.
- 8. The system of claim 6 wherein the hand-held computing device is a cellular phone.

RAO-012

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# ABSTRACT OF THE DISCLOSURE

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A wireless communication and control system including a wireless device. There is a central server for storing communication protocols and control protocols and communicating the communication protocols and selectively communicating the control protocols between the wireless device and the central server. A communication protocol configures the system for communication and control protocols configure the system as one of a selection of intelligent appliance controllers. Alternately the control protocols configure the system as one of a selection of Internet terminals. The wireless device is any hand-held communication device, such as a hand-held computing device, wireless telephone, or cellular phone.

RAO-012 16



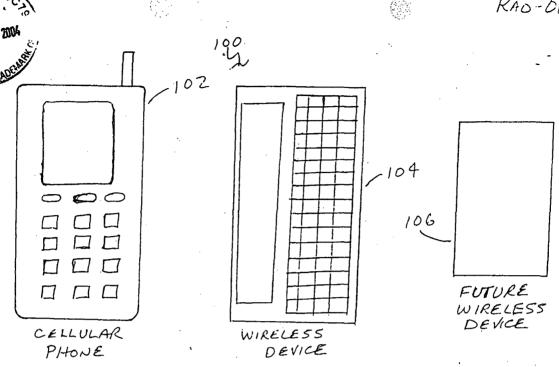
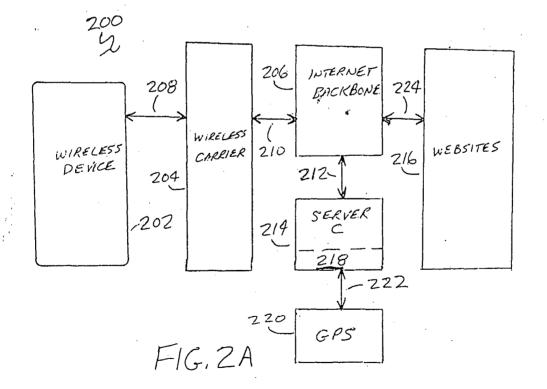
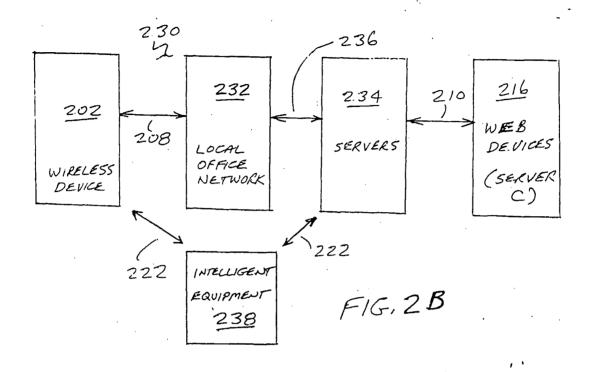
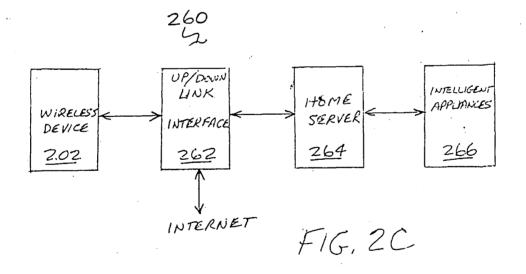
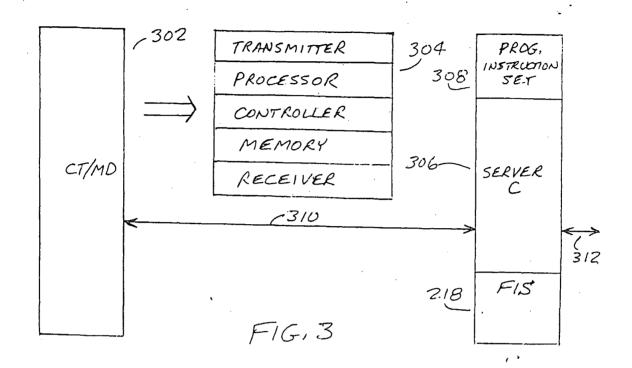


FIG. 1









400 CURRENT MODE - PRIORITY (402

PRIMARY	SECONDARY	TERTIARY
PUBLIC CARRIER LOOP	HOME LOOP	LOCAL OFFICE LOOP
FREGUENCY FP	FREQUENCY FA	FREQUENCY FL

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REQUESTED MODE-PRIORITY /404

PRIMARY	SECONDARY	TERMARY
LOCAL OFFICE LOUP	PUBLIC CARRER	HOME LOUP
FL	FP	FH

FIG.4

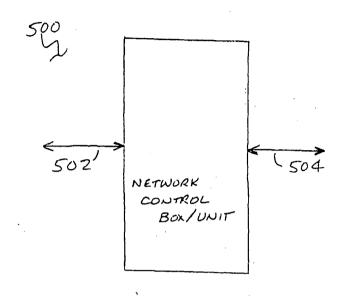


FIG.5

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TRANSMITTER	PROCESSOR	CONTROLLER	MEMORY	RECEIVER
608	602	606	604	610

FIG, 6

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FREQUENCY SOURCE	PARAMETER		
CHANNEL 1	+1 , P1 , BW1		
CHANNEL 2	£2, P2, BW2		
CHANNEL N	FN, PN, BWN		

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PARAMETER
f3, P3, BW3
f4, P4, BW4
FM, PM, BWM

FIG.7



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Raman Rao 3099 Alexis Drive Palo Alto, CA 94304 **COPY MAILED** 

FEB 1 5 2005

OFFICE OF PETITIONS

In re Application of Rao et al. Application No. 10/911,211 Filed: October 13, 2004

Decision on Petition

For: Dynamically Configurable Wireless Devices

This is a decision in response to the paper filed October 13, 2004, which is being treated as a petition to accord the above-identified application a filing date of August 3, 2004.

# The petition is dismissed.

Any request for reconsideration must be submitted within TWO (2) MONTHS from the mail date of this decision. No further petition fee is required for the request. Extensions of time under 37 CFR 1.136(a) are NOT permitted.

The merits of the petition can not be considered until the petition fee (\$400) has been paid.

Normally, the decision could end at this point since the petition fee has not been paid. However, as a courtesy, further information will be given to petitioner.

Petitioner may wish to investigate the extent to which petitioner will be harmed, if harmed at all, if the application retains a filing date of October 13, 2004, rather than August 3, 2004. The Office wishes to ensure petitioner does not spend an additional non-refundable \$400 filing a new petition unless the risk and reward justify such a significant expense on the part of petitioner.

#### The costs of filing a renewed petition seeking a filing date of August 3, 2004

If a petition requesting an earlier filing date is filed and granted, petitioner will need to pay a total of \$465. The total includes \$400 for the petition and a surcharge based on the date the filing fee was submitted. A surcharge (\$65 for a small entity) is necessary whenever the filing fee is paid on a date after the filing date. At the present time the filing fee is being paid on October 13, 2004, the same date as the filing date. However, the filing fee payment date would not be the same date as the filing date were changed to August 3, 2004.

Petitioner should recognize that a renewed petition may not be granted. The transmittal page does contain an incorporation by reference statement. However, the page also states, with emphasis in the original, "The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts." On August 3, 2004, petitioner submitted a page which states in part, "The original specification and drawings are not included." The issue will not be addressed further since a petition along with payment of the required fee has not been filed. Petitioner is reminded the \$400 is non-refundable.

Applicant appears to desire to claim the benefit of an earlier filing date based on prior applications.

The transmittal sheet indicates the application is a divisional of application no. 09/591,381. On October 13, 2004, a specification was filed. The first sentence of the specification claims priority

Application No. 10/911,211 Page 2

based on two applications - 09/281,739 and 08/764,903. Specifically, the specification states the application is a continuation-in-part of application no. 09/281,739 which is a continuation-in-part of application no. 08/764,903.

Petitioner may wish determine if a filing date of October 13, 2004, rather than August 3, 2004, harms petitioner if both dates allow the instant application to claim benefit of an earlier filing date based on prior applications.

A proper claim for priority based on prior applications has not been filed.

In order for a non-provisional filed after November 29, 2000, one may not obtain the benefit of an another application's filing date unless a proper and timely claim has been made.

In order for the claim for priority to be proper, the claim must be made either in the first sentence of the specification or in an Application Data Sheet ("ADS"). A claim for priority based on application no. 09/591,381 appears neither in the specification or an ADS. The specification claims priority based on application no. 09/281,739. However, application no. 09/281,739 issued on January 2, 2001. In order to claim priority directly to application no. 09/281,739, the application would need a filing date prior to the date of issuance of a patent for application no. 09/281,739. A patent for application no. 09/281,739 issued on January 2, 2001.

Petitioner can amend the first sentence of the specification, but such an amendment will require the submission of a petition under 37 CFR 1.78.

A petition under 37 CFR 1.78 is necessary to amend the first sentence to include application no. 09/591,381 as part of the chain of applications because such an amendment was not filed by February 13, 2005. When an application is filed on or after November 29, 2000, benefit claims under 35 U.S.C. 119(e), 120, 121 and 365(c) must be made during the pendency of the application and within the later of four months from the actual filing date of the application or sixteen months from the filing date of the prior application. For the instant application, the above period of time ended on February 13, 2005. The priority claim at issue were not made by this

37 CFR 1.78(a)(3) states,

If the reference required by 35 U.S.C. 120 and paragraph (a)(2) of this section is presented . . . after the time period provided by paragraph (a)(2)(ii) of this section, the claim . . . may be accepted if the reference identifying the prior-filed application . . . was unintentionally delayed. A petition to accept an unintentionally delayed claim under 35 U.S.C. 120, 121, or 365(c) for the benefit of a prior-filed application must be accompanied by:

(i) The reference required by 35 U.S.C. 120 . . . unless previously submitted;

The surcharge set forth in § 1.17(t); and

A statement that the entire delay between the date the claim was due under paragraph (a)(2)(ii) of this section and the date the claim was filed was unintentional.

The fee required to be paid is the surcharge set forth in 37 CFR 1.17(t) which is \$1,370.

Petitioner might want to consider the effect filing a new application would have on applicants' rights.

Petitioner might want to ask what harm might occur if a brand new application was filed, along with the filing fee of \$150, search fee of \$250, examination fee of \$100, and with any other necessary fees. In filing a new application, petitioner could ensure the first sentence contained a Application No. 10/911,211

Page 3

proper claim for priority and thereby ensure a petition under 37 CFR 1.78(a)(3) would not be necessary.

# Summary:

Since the petition fee has not been paid, the full merits of the petition will not be considered and the petition is dismissed.

The Office of Initial Patent Examination will further process the application with a filing date of October 13, 2004, using the papers filed on August 3, 2004, and the papers filed on October 13, 2004.

Telephone inquiries should be directed to Petitions Attorney Steven Brantley at (571) 272-3203.

Charles Steven Brantley Senior Petitions Attorney

Office of Petitions

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APR 0 @ 2005

URGENT

**URGENT** 

NOTICE OF USPTO ERROR IN CLAIM FEE CALCULATION AND POTENTIAL EXAMINATION OF INCORRECT CLAIMS.

REQUEST FOR EXAMINATION OF THE CORRECT DIVISIONAL CLAIMS

4/06/05

Mail Stop Missing Parts Commissioner for Patents P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Reference:

Application Number: 10/911,211 Filed: 08/03/2004 REQUEST FOR EXAMINATION OF THE CORRECT DIVISIONAL CLAIMS

Dear Sir.

The applicant filed a divisional application on 8/03/2004 with a concurrent submittal of a divisional Preliminary Amendment A wherein new claims 13-35 were included for the purpose of examination as part of the divisional application.

The missing parts notification dated 3/10/2005, notes a fee receipt of \$466 and further states that the total claims are 8 and independent claims are 2. This can not be correct as the claims that the applicant requested examination are 13-35, which is a total of 23 claims. The applicant believes that the calculation is in error and is based on the original parent application as submitted in year 2000. Additionally, re examination of these original claims is not the intended purpose of the current divisional application.

Specifically in the current divisional application:

The independent Claims are 13, 14, 29 and 33 for a total of 4 independent claims need to be examined as part of the divisional application. The dependent claims are 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 30, 31, 32, 34, and 35; for a total of 19 dependent claims.

The very purpose of a divisional application filing is for the purpose of examination of the new claims that were restricted by the examiner in the original filing. Please provide a new input as to the fees that are due from the applicant. The applicant further respectfully requests that the error be corrected and the correct and intended claims be submitted for examination as part of the current divisional application.

J-------

Regards,

Raman K. Rao, Applicant

3099 Alexis Drive, Palo Alto, CA 94304. Tel: 650 941 7096, Fax: 650 618 155

Enclosed is a copy of the Preliminary Amendment as submitted to you on 8/03/2004

PAGE 1/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

Page 2 of 2

Title

Dynamically configurable IP based wireless device and wireless networks

**Preliminary Class** 

455

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#### **NOT GRANTED**

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PAGE 2/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

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#### United States Patent and Trademark Office

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
10/911.211	10/13/2004	2681	466	31	5	8	2	•

**CONFIRMATION NO. 7409** 

Raman Rao 3099 Alexis Drive Palo Alto, CA 94304 FILING RECEIPT \*CC000000015405044\*

Date Mailed: 03/10/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filling Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filling Receipt incorporating the requested corrections (if appropriate).

#### Applicant(s)

Raman K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Sanjay K. Rao, Palo Alto, CA;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a DIV of 09/591,381 06/09/2000

Foreign Applications

If Required, Foreign Filing License Granted: 03/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/911,211

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

PAGE 3/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

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I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Arlington, VA 22313 on 8/03/2004 Signed;

Date: 8/03/2004

Raman K. Rao, Applicant

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RELATED REFERENCES TO CURENT DIVISIONAL APPLICATION

Inventor(s)
Serial No.

RAO

: 09/591,381

Group Art Unit: 2171

Filed

06/09/2000

Examiner: Mehrpour

For:

DYNAMICALLY CONFIGURABLE

WIRELESS DEVICES

#### PRELIMINARY AMENDMENT A

Commissioner for Patents

PO BOX 1450

Washington, D. C. 20231

Sir:

This Preliminary Amendment A is submitted concurrently with this divisional application for examination of claims that were restricted in the application 09/591,381 filed on 06/09/2000 which is currently still under examination. The examination of the claims numbered 13-35 is requested.

PAGE 4/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

#### **CLAIMS:**

13. In a mobile communication system, a method for dynamically configuring a mobile communication device for one or more selected functions comprising:

utilizing a mobile device configured with input, output and or display capabilities for communication of voice and data.

utilizing a central server,

communicating between a mobile device and a central server by wired or wireless means utilizing one or more communication methods and communication protocols,

configuring the mobile device for a selected first set of functions including communication, computation, command, sense and control for a selected first utility to the mobile device user;

establishing one or more functional instructions for dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions;

storing functional instructions for executing said dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions within the mobile device, the central server including a combination of the mobile device and the central server; further including

storing said functional instruction sets in databases and lookup tables within the mobile device and or the central server for ease and efficiency of execution from a first set of functions to one or more selected second set of functions of the mobile device;

selecting a functional instruction set for a selected reconfiguration of the mobile device from a first set of functions to a selected second set of functions in a standalone manner utilizing the mobile device and or in conjunction with utilizing the central server;

accessing one or more said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within the mobile device itself and/or accessing said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within a central server by wired or wireless communication means;

executing the dynamic reconfiguration of the mobile device from use with a first set of functions to for use with a second set of functions utilizing the processing, storage and database capabilities of the mobile device in a standalone manner and or in conjunction with the processing, storage and database capabilities of a central server, a local server, a network server or a combination thereof:

reconfiguring the mobile device for the selected first set of functions, second set of functions and one or more other set of functions, to provide one or more selected second utility(s) to the mobile device user dynamically in real time or at the selected time.

PAGE 5/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

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14. A dynamically reconfigurable mobile communication system, including a mobile communication device for performing selected functions comprising:

a mobile device configured with input, output and or display capabilities for communication of voice and data,

a central server,

means for communication between a mobile device and a central server by wired or wireless means,

means for configuring the mobile device for a selected first set of functions including communication, computation, command, sense and control for a selected first utility to the mobile device user;

means for establishing one or more functional instructions for dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions;

means for storing functional instructions for executing said dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions within the mobile device, the central server including a combination of the mobile device and the central server; including

means for storing said functional instruction sets in databases and lookup tables within the mobile device and or the central server for case and efficiency of execution from a first set of functions to one or more selected second set of functions of the mobile device;

means for selecting a functional instruction set for a selected reconfiguration of the mobile device from a first set of functions to a selected second set of functions in a standalone manner utilizing the mobile device and or in conjunction with utilizing the central server;

means for accessing one or more said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within the mobile device itself and/or accessing said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within a central server by wired or wireless communication means;

means for executing the dynamic reconfiguration of the mobile device from use with a first set of functions to for use with a second set of functions utilizing the processing, storage and database capabilities of the mobile device in a standalone manner and or in conjunction with the processing, storage and database capabilities of a central server, a local server, a network server or a combination thereof; including

means for reconfiguring the mobile device for the selected first set of functions and or a first set of utility, second set of functions and or a second utility and one or more other set of functions and utility(s), to provide one or more desirable functions and utility(s) to the mobile device user dynamically in real time or at the selected time.

#### 15. A mobile communication system of claim 14 comprising

means for storing a plurality of mobile device functionality instructions on the mobile device and or the local, central and or network server;

means for modifying and generating a plurality of new mobile device functionality instructions by means of the mobile device and or the servers;

means for independently configuring the mobile device functionality in a stand alone manner and or in conjunction with a local, central or network server;

means for using the mobile device functionality instructions in the selected manner and at the selected time.

#### 16. A mobile device communication system of claim 14 comprising

means for dynamically configuring the full or partial functionality of the mobile device by software means without altering the hardware configuration,

means for the functional instruction software to be resident on the mobile device and or on a local, central and or network server.

means for using the functional instruction software resident on the mobile device in a stand alone manner and or in conjunction with the functional instruction software resident on the local, central and or network server,

means for utilizing a plurality of mobile device functionalities with the existing hardware configuration.

#### 17. A mobile communication system of claim 14 comprising

means for enabling one or more specific dynamic mode configurations of the mobile device for desired utility such as a cell phone, a PDA, a remote controller, an IP phone, a music player, a voice recorder, a camera and other devices with specific utility or a combination of utilities to the user;

means for enabling and associating one or more user profiles with the selected mode configuration from one function to another function;

means for storing a plurality of mode configurations, user profiles, functional instructions, program instructions and other enabling tools on the mobile device itself and or the local, central and or network server;

means for dynamically reconfiguring and utilizing the desired mode configuration and or the desired user profile by means of the functional instructions and program instructions in conjunction with the processing power, storage, databases and lookup tables of the mobile device by itself and or in conjunction with the processing power, storage, databases and lookup tables of the local, central and or network server;

PAGE 7/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

means for utilizing the existing mobile device hardware for a specific function or a combination of functions for a desired utility to the mobile device user dynamically or at the selected time in conjunction with the software and functional instructions resident within the mobile device, a central server, a local server, a network server or a combination thereof.

#### 18. A mobile communication system of claim 14 comprising

means for configuration of the mobile device for communication utilizing one or more communication methods and or communication protocols such as Bluetooth, Wi-Fi, IP, 802.XX, cellular, and other methods

means for selecting a first communication method and or a first communication protocol,

means for communication with a first communication method and or a first communication protocol;

means for selecting a second communication method and or a second communication protocol;

means for communication with a second communication method and or a second communication protocol; including

means for switching from a first communication method and or a first communication protocol to a second communication method and or a second communication protocol dynamically or at the selected time:

means for executing communication, computation, command, control and other functions for a selected utility to the mobile device user utilizing one or more communication methods and or communication protocols in a standalone manner leveraging the processing, storage, database and lookup tables maintained within the mobile device itself and or in conjunction with a central server, a local server, a network server or a combination thereof.

# 19. A mobile communication system of claim 18 comprising

means for dynamically sensing a communication method and or a communication protocol,

means for switching from a first communication method and or a first communication protocol to said sensed second communication method and or a second communication protocol dynamically or at the selected time;

means for executing communication, computation, command, control and other functions seamlessly for a selected utility to the mobile device user utilizing one or more communication methods and or communication protocols in a standalone manner leveraging the processing, storage, database and lookup tables maintained within the mobile device itself and or in conjunction with a central server, a local server, a network server or a combination thereof.

PAGE 8/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

# 20. A mobile device communication system of 14 comprising

a mobile device configured with one or more input and output channels of communication,

a central server,

means for wired or wireless communication between a mobile device and a central server,

means for selecting and configuring one or more input and output channels of a mobile device for a selected communication and utility,

means for voice communication on a selected first channel of a mobile device,

means for data, audio, video or other communications on selected same or different channel of a mobile device.

means for sequential or simultaneous communication on a selected communication channel by multiplexing the same channel or utilizing alternate channels;

means for a plurality of communication methods, communication types and functions on a selected channel:

means for utilizing one or more input and output channels of a mobile device for a selected function and or utility in conjunction with the capabilities of the mobile device by itself and or in conjunction with the capabilities of a central server, a local server, a network server or a combination thereof.

### 21. A mobile device communication system of claim 20 further comprising

means for enabling the mobile device for voice and data communication on one or more selected input and output channels;

means for enabling the mobile device for communication of audio, video, data, broadcast and or other communication on one or more input and output channels,

means for enabling dynamic reconfiguration by means of functional instructions, program instructions and or other means wherein the instructions are resident on the mobile device and or the servers,

means for dynamically or at a desired time selecting the desired communication parameters such as the frequency, power and communication protocols for reconfiguring one or more input and output channels; including

means for dynamically or at a desired time altering and modifying the full or partial functionality of the mobile device in a stand alone manner using the processing power, storage and data bases of the mobile device in a stand alone manner and or in conjunction with the processing power, storage and data bases of the local, central and or network servers; including

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means for altering and modifying the functionality of the desired input and output channels of the mobile device; including

means for multiplexing one or more of the input and one or more of the output channels for the desired communication, computation, command and control functions; further including

means for dynamically and or at the desired time configuring the mobile device for a plurality of interfaces for one or more types of input, output and display.

#### 22. A mobile device communication system of claim 20 comprising

means for dynamic signaling and sensing of the communication environment, the communication methods, communication parameters and or the functional instructions, by radio frequency signaling and or other methods;

means for enabling disparate communication methods by dynamically adjusting communication parameters such as the frequency of transmission/receiving, power levels and other parameters which are best suited to a specific environment by functional instructions or other means.

means for dynamic switching of the communication parameters for transition from one communication environment and or communication method to another;

means for enabling a single mobile device to perform a plurality of same or disparate functions on one or more channels:

means for a mobile device to transform itself dynamically to execute a multiplicity of desired functions, on one or more input and output channels, by utilizing the processing power and software resident in the mobile device itself and or in conjunction with the processing power and software resident on the servers.

#### 23. A mobile device communication system of claim 20 comprising

means for dynamically and independently tuning one or more input and output channels of the mobile device.

means for dynamically and independently tuning the input and output channels based on various parameters such as power, frequency, signal to noise ratio, desired and allowable error rates for data transfer and other factors:

means for dynamically optimizing the performance of the mobile device for efficient operation in the desired environment.

# PAGE 11177 \* RCVD AT 44612005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-113 \* DNIS:8729306 \* CSID: \* DURATION (mm-5s):07-14

# 24. A mobile device communication system of claim 20 comprising

means for the mobile device to bypass the public carrier operating frequencies for voice and or data communication on one or more input and output channels;

means for communication of voice and data using the desired home, office, factory, transportation system or other operating frequencies using the desired input and output channels of the mobile device; and or

means for contemporaneous operation on public carrier and or private carrier frequencies on the selected input and output channels of the mobile device.

# 25. A mobile device communication system of claim 20 comprising

a Global Positioning Server,

means of wired or wireless communication with the GPS server,

means for determining the geographical location of the mobile device,

means for sensing the macro and micro communication environments in a selected environment and location wherein the mobile device is present,

means for dynamically selecting the desired communication methods and communication parameters on one or more input and output channels of the mobile device,

means for enabling the desired communication on one or more input and output channels of the mobile device.

#### 26. A mobile device communication system of claim 20 comprising

means for the mobile device to be enabled with a sleep mode and or watch dog mode on one or more input and output channels,

means for instantaneously switching from a sleep mode and or watch dog mode to an active mode on one or more desired input and output channels of the mobile device,

means for sensing the communication environment by the mobile device,

means for the mobile device to sense other mobile devices,

means for the mobile device to sense using a plurality of communication methods inclusive of radio frequency and or other means,

means for the mobile device to sense one or more servers.

means for the mobile device to execute the desired communication and desired functions at the desired time and in the desired sequence.

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#### 27. A mobile device communication system of claim 20 comprising

means for the mobile device to operate in a wireless manner on one or more input and output channels.

means for the same mobile device to operate in a wired manner on one or more input and output channels

means for the selection and enabling of the desired input and output channels of the mobile device for wired or wireless communication.

# 28. A mobile device communication system of claim 20 comprising

means for enabling a selection of a plurality of communication modes on one or more input and output channels of the mobile device,

means for selecting and enabling a primary communication mode on one or more input and output channels of the mobile device.

means for selecting and enabling a secondary communication mode on one or more input and output channels of the mobile device.

means for enabling a hierarchy of communication modes on a mobile device for communication at a desired time and in desired order on one or more input and output channels,

means for instantaneously, dynamically or in a delayed manner enabling the desired communication mode on the desired input and output channel of the mobile device.

## 29. A mobile device communication system comprising

a mobile device,

local, central and or network servers,

means for wired or wireless communication using public carrier communication loops, private carrier communication loops, office/factory communication loops and home communication loops, said loops operating with same or disparate communication methods and or communication parameters for wired or wireless communication in a selected environment:

means for the mobile device to instantaneously recognize the communication environment and determine the nature of the public, private, office, factory, transportation or home carrier communication methods and communication parameters,

means for selecting instantaneously and or at a desired time and switching the carrier(s) for desired communication on a desired input and output communication channel of the mobile device;

PAGE 12/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14 RAG-31

means for operation with one single mobile device in multiple carrier environments on one or more input and output channels of the mobile device;

means for operation by the mobile device in a standalone manner and or in conjunction with a local, central and or network server.

# 30. A mobile device communication system of claim 29 comprising

means for voice, data and video communication on one or more channels of the mobile device,

means for maintaining a plurality of functional instructions on the mobile device and or the network servers,

means for enabling the mobile device to be configured for wired or wireless remote command and control applications such as TV, entertainment, gaming, appliance control, intelligent sensing and control, intelligent equipment control and other control applications for the home, office, transportation systems, factory and other applications;

means for a plurality of same or different control applications being enabled sequentially or contemporaneously on one or more input and output channels of the mobile device;

means for enabling the control applications using the processing power, storage and databases of the mobile device by itself and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 31. A mobile device communication system of claim 29 comprising

means for voice, data and video communication on one or more channels of the mobile device,

means for maintaining a plurality of functional instructions on the mobile device and or the network servers,

means for dynamically configuring the mobile device with a plurality of functional instructions on one or more channels;

means for enabling the emulation of the mobile device for one or more same or disparate functions;

means for enabling the mobile device to emulate and perform the functions of a cordless telephone, a cellular telephone, a PDA, an Internet Protocol based IP telephone and other disparate computation, communication, command and control device functions on one or more input and output channels of the mobile device;

means for the communication, command, control and computation functions to be emulated and cnabled by using the processing power/storage and databases of the mobile device by itself and or in conjunction with the processing power, storage and databases of the local, central and or network server.

PAGE 13/17 \* RCVD AT 4/6/2005 10:04:39 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/3 \* DNIS:8729306 \* CSID: \* DURATION (mm-ss):07-14

# 32. A mobile device communication system of claim 29 comprising

means for the mobile device to be dynamically assigned a plurality of identification numbers,

means for the identification means to include a plurality of identification methods such as telephone numbers, static IP address number, dynamic IP address number and other numbers;

means for the mobile device to be dynamically configured for voice and data communication,

means for using one or more of the telephone numbers and other identification numbers sequentially or contemporaneously on the same mobile device for desired communication,

means for recognizing and relating the incoming and outgoing communications with the telephone number means and or other identification number means by visual, audible and other input, output, display and interface methods;

means for communication on one or more input and output channels of the mobile device with same or disparate identification numbers and or communication methods; and or

means for dynamically configuring the mobile device for communication and operation using the Internet Protocol, IP, based communication methods and or non IP based communication methods, on one or more input and output channels of the mobile device, for sequential or contemporaneous use

means for dynamically switching between the IP mode and non IP mode for communication on one or more input and output channels of the mobile device by software means, functional instructions or other methods.

means for dynamically enabling the communications of voice, audio, video and data in the IP mode and or non IP mode on the mobile device by utilizing software means, functional instructions means and or other methods with or without altering the hardware configuration of the mobile device

means for enabling the operation in the IP mode and or non IP mode by using the processing power, storage and databases of the mobile device in a standalone manner and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 33. A mobile device communication system comprising

a mobile device.

a central server,

a network control box having one or more input and output channels located with an office, home, factory, office buildings or other locations,

means for wired or wireless communication by the network control box on one or more channels using one or more communication methods and associated communication parameters;

means for selection and operation of the channels of the network box at one or more transmit and receive frequencies, power levels, signal to noise ratios and bandwidths;

means for interfacing between the mobile device and the network control box by using wired or wireless communication methods in a bilateral manner and or in conjunction the local, central and or network server; including

means for the network control box to operate at one or more public carrier, private carrier, office loop, home loop and other communication frequencies and modes;

means for the mobile device to operate in conjunction with the network box by selecting the desired communication mode and the communication loop appropriate for the intended communication on the selected input and or output channels of the mobile device and or the selected input/output channels of the network control box;

means for managing the operation of the network control box by functional instructions resident within the network control box and or derived from the mobile device acting by itself and or in conjunction with functional instructions resident on a central server, a local or network server;

means for the network control box input and output channels to be dynamically configured for communication in same or different communication modes,

means for configuring the network control box for desired utility by the mobile device acting in a stand alone manner and or in conjunction with the local, central and or network server.

# 34. A mobile device communication system of claim 33 comprising

means for the network control box to dynamically sense a mobile device operating within range of the network control box;

means for the mobile device to dynamically sense a network control box operating within range of the mobile device;

means for the mobile device and the network control box to recognize, authenticate and enable communication with each other;

means for dynamic switching from a first set of communication methods and communication protocols to a second set of communication methods and communication protocols that are acceptable for the mobile device user and the network control box;

means for seamless and dynamic operation of the mobile device in a plurality of environments and locations for a selected utility to the mobile device user utilizing the capabilities of the mobile device and a network control box or utilizing the capabilities of the mobile device, the network control box, a central server, a local server, a network server or a combination thereof.

# 35. A mobile device communication system of claim 34 comprising

a plurality of mobile devices,

means for a first mobile device to communicate with the network control box utilizing a first communication method and or a first communication protocols;

means for a second mobile device to communicate with the network control box utilizing a second communication method and or a second communication protocols;

means for the network control box to seamlessly enable communication between the first mobile device and the second mobile device utilizing same or disparate communication methods and or communication protocols;

means for switching and or translation including communication method translations and or protocol translations from a first communication method/protocol to a second communication method/protocol within the network control box itself and or performing said functions in conjunction with a central server, a local server, a network server or a combination thereof wherein said switching and translation instructions and algorithms reside within the network control box and or one or more servers:

means for dynamic and scamless communication between a plurality of communication devices utilizing same or disparate communication methods and or communication protocols utilizing the capabilities of the mobile devices by themselves in conjunction with each other, in conjunction with the network control box and or in conjunction with a central server, a local server, a network server or a combination thereof.

# REMARKS

The attached claims are central to the original specification and the drawings therein filed on as application number 09/591, 389 filed on 06/09/2000. The applicant respectfully submits that Levac even if combined with Houde does not teach the features, claimed by Rao et al. Examination of these claims is respectfully requested.

Respectfully submitted,

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Ву

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APPL-NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	150
10/911,211	10/13/2004	2681	466	31	5	8	2	,

Raman Rao 3099 Alexis Drive Palo Alto, CA 94304



CONFIRMATION NO. 7409
FILING RECEIPT
\*OC00000015405044\*

Date Mailed: 03/10/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Raman K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Sanjay K. Rao, Palo Alto, CA;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a DIV of 09/591,381 06/09/2000

Foreign Applications

If Required, Foreign Filing License Granted: 03/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/911,211

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Dynamically configurable IP based wireless device and wireless networks

**Preliminary Class** 

455

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#### URGENT

# NOTICE OF USPTO ERROR IN CLAIM FEE CALCULATION AND POTENTIAL EXAMINATION OF INCORRECT CLAIMS.

#### REQUEST FOR EXAMINATION OF THE CORRECT DIVISIONAL CLAIMS

4/06/05

Mail Stop Missing Parts Commissioner for Patents P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

Reference:

Application Number: 10/911,211 Filed: 08/03/2004

REQUEST FOR EXAMINATION OF THE CORRECT

**DIVISIONAL CLAIMS** 

Dear Sir,

The applicant filed a divisional application on 8/03/2004 with a concurrent submittal of a divisional Preliminary Amendment A wherein new claims 13-35 were included for the purpose of examination as part of the divisional application.

The missing parts notification dated 3/10/2005, notes a fee receipt of \$466 and further states that the total claims are 8 and independent claims are 2. This can not be correct as the claims that the applicant requested examination are 13-35, which is a total of 23 claims. The applicant believes that the calculation is in error and is based on the original parent application as submitted in year 2000. Additionally, re examination of these original claims is not the intended purpose of the current divisional application.

Specifically in the current divisional application:

The independent Claims are 13, 14, 29 and 33 for a total of 4 independent claims need to be examined as part of the divisional application. The dependent claims are 15, 16, 17, 18, 19, 20, 21, 22, 23, 24, 25, 26, 27, 28, 30, 31, 32, 34, and 35; for a total of 19 dependent claims.

The very purpose of a divisional application filing is for the purpose of examination of the new claims that were restricted by the examiner in the original filing. Please provide a new input as to the fees that are due from the applicant. The applicant further respectfully requests that the error be corrected and the correct and intended claims be submitted for examination as part of the current divisional application.

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Regards,

Raman K. Rao, Applicant

3099 Alexis Drive, Palo Alto, CA 94304. Tel: 650 941 7096, Fax: 650 618 155

Enclosed is a copy of the Preliminary Amendment as submitted to you on 8/03/2004

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, Arlington, VA 22313 on 8/03/2004 Date: 8/03/2004

Signed: Raman K. Rao, Applicant

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RELATED REFERENCES TO CURENT DIVISIONAL APPLICATION

Inventor(s)

RAO

Serial No.

09/591,381

Group Art Unit: 2171

Filed

06/09/2000

Examiner: Mehrpour

For:

DYNAMICALLY CONFIGURABLE

WIRELESS DEVICES

#### PRELIMINARY AMENDMENT A

Commissioner for Patents

PO BOX 1450

Washington, D. C. 20231

Sir:

This Preliminary Amendment A is submitted concurrently with this divisional application for examination of claims that were restricted in the application 09/591,381 filed on 06/09/2000 which is currently still under examination. The examination of the claims numbered 13-35 is requested.

#### **CLAIMS**:

13. In a mobile communication system, a method for dynamically configuring a mobile communication device for one or more selected functions comprising:

utilizing a mobile device configured with input, output and or display capabilities for communication of voice and data.

utilizing a central server,

communicating between a mobile device and a central server by wired or wireless means utilizing one or more communication methods and communication protocols,

configuring the mobile device for a selected first set of functions including communication, computation, command, sense and control for a selected first utility to the mobile device user;

establishing one or more functional instructions for dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions;

storing functional instructions for executing said dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions within the mobile device, the central server including a combination of the mobile device and the central server; further including

storing said functional instruction sets in databases and lookup tables within the mobile device and or the central server for ease and efficiency of execution from a first set of functions to one or more selected second set of functions of the mobile device;

selecting a functional instruction set for a selected reconfiguration of the mobile device from a first set of functions to a selected second set of functions in a standalone manner utilizing the mobile device and or in conjunction with utilizing the central server;

accessing one or more said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within the mobile device itself and/or accessing said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within a central server by wired or wireless communication means;

executing the dynamic reconfiguration of the mobile device from use with a first set of functions to for use with a second set of functions utilizing the processing, storage and database capabilities of the mobile device in a standalone manner and or in conjunction with the processing, storage and database capabilities of a central server, a local server, a network server or a combination thereof;

reconfiguring the mobile device for the selected first set of functions, second set of functions and one or more other set of functions, to provide one or more selected second utility(s) to the mobile device user dynamically in real time or at the selected time.

14. A dynamically reconfigurable mobile communication system, including a mobile communication device for performing selected functions comprising:

a mobile device configured with input, output and or display capabilities for communication of voice and data,

a central server,

means for communication between a mobile device and a central server by wired or wireless means,

means for configuring the mobile device for a selected first set of functions including communication, computation, command, sense and control for a selected first utility to the mobile device user;

means for establishing one or more functional instructions for dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions;

means for storing functional instructions for executing said dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions within the mobile device, the central server including a combination of the mobile device and the central server; including

means for storing said functional instruction sets in databases and lookup tables within the mobile device and or the central server for ease and efficiency of execution from a first set of functions to one or more selected second set of functions of the mobile device;

means for selecting a functional instruction set for a selected reconfiguration of the mobile device from a first set of functions to a selected second set of functions in a standalone manner utilizing the mobile device and or in conjunction with utilizing the central server;

means for accessing one or more said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within the mobile device itself and/or accessing said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within a central server by wired or wireless communication means;

means for executing the dynamic reconfiguration of the mobile device from use with a first set of functions to for use with a second set of functions utilizing the processing, storage and database capabilities of the mobile device in a standalone manner and or in conjunction with the processing, storage and database capabilities of a central server, a local server, a network server or a combination thereof; including

means for reconfiguring the mobile device for the selected first set of functions and or a first set of utility, second set of functions and or a second utility and one or more other set of functions and utility(s), to provide one or more desirable functions and utility(s) to the mobile device user dynamically in real time or at the selected time.

#### 15. A mobile communication system of claim 14 comprising

means for storing a plurality of mobile device functionality instructions on the mobile device and or the local, central and or network server;

means for modifying and generating a plurality of new mobile device functionality instructions by means of the mobile device and or the servers;

means for independently configuring the mobile device functionality in a stand alone manner and or in conjunction with a local, central or network server;

means for using the mobile device functionality instructions in the selected manner and at the selected time.

#### 16. A mobile device communication system of claim 14 comprising

means for dynamically configuring the full or partial functionality of the mobile device by software means without altering the hardware configuration,

means for the functional instruction software to be resident on the mobile device and or on a local, central and or network server,

means for using the functional instruction software resident on the mobile device in a stand alone manner and or in conjunction with the functional instruction software resident on the local, central and or network server,

means for utilizing a plurality of mobile device functionalities with the existing hardware configuration.

#### 17. A mobile communication system of claim 14 comprising

means for enabling one or more specific dynamic mode configurations of the mobile device for desired utility such as a cell phone, a PDA, a remote controller, an IP phone, a music player, a voice recorder, a camera and other devices with specific utility or a combination of utilities to the user;

means for enabling and associating one or more user profiles with the selected mode configuration from one function to another function;

means for storing a plurality of mode configurations, user profiles, functional instructions, program instructions and other enabling tools on the mobile device itself and or the local, central and or network server;

means for dynamically reconfiguring and utilizing the desired mode configuration and or the desired user profile by means of the functional instructions and program instructions in conjunction with the processing power, storage, databases and lookup tables of the mobile device by itself and or in conjunction with the processing power, storage, databases and lookup tables of the local, central and or network server;

means for utilizing the existing mobile device hardware for a specific function or a combination of functions for a desired utility to the mobile device user dynamically or at the selected time in conjunction with the software and functional instructions resident within the mobile device, a central server, a local server, a network server or a combination thereof.

#### 18. A mobile communication system of claim 14 comprising

means for configuration of the mobile device for communication utilizing one or more communication methods and or communication protocols such as Bluetooth, Wi-Fi, IP, 802.XX, cellular, and other methods

means for selecting a first communication method and or a first communication protocol,

means for communication with a first communication method and or a first communication protocol;

means for selecting a second communication method and or a second communication protocol;

means for communication with a second communication method and or a second communication protocol; including

means for switching from a first communication method and or a first communication protocol to a second communication method and or a second communication protocol dynamically or at the selected time;

means for executing communication, computation, command, control and other functions for a selected utility to the mobile device user utilizing one or more communication methods and or communication protocols in a standalone manner leveraging the processing, storage, database and lookup tables maintained within the mobile device itself and or in conjunction with a central server, a local server, a network server or a combination thereof.

#### 19. A mobile communication system of claim 18 comprising

means for dynamically sensing a communication method and or a communication protocol,

means for switching from a first communication method and or a first communication protocol to said sensed second communication method and or a second communication protocol dynamically or at the selected time;

means for executing communication, computation, command, control and other functions seamlessly for a selected utility to the mobile device user utilizing one or more communication methods and or communication protocols in a standalone manner leveraging the processing, storage, database and lookup tables maintained within the mobile device itself and or in conjunction with a central server, a local server, a network server or a combination thereof.

#### 20. A mobile device communication system of 14 comprising

a mobile device configured with one or more input and output channels of communication,

a central server,

means for wired or wireless communication between a mobile device and a central server,

means for selecting and configuring one or more input and output channels of a mobile device for a selected communication and utility,

means for voice communication on a selected first channel of a mobile device,

means for data, audio, video or other communications on selected same or different channel of a mobile device.

means for sequential or simultaneous communication on a selected communication channel by multiplexing the same channel or utilizing alternate channels;

means for a plurality of communication methods, communication types and functions on a selected channel;

means for utilizing one or more input and output channels of a mobile device for a selected function and or utility in conjunction with the capabilities of the mobile device by itself and or in conjunction with the capabilities of a central server, a local server, a network server or a combination thereof.

#### 21. A mobile device communication system of claim 20 further comprising

means for enabling the mobile device for voice and data communication on one or more selected input and output channels;

means for enabling the mobile device for communication of audio, video, data, broadcast and or other communication on one or more input and output channels,

means for enabling dynamic reconfiguration by means of functional instructions, program instructions and or other means wherein the instructions are resident on the mobile device and or the servers,

means for dynamically or at a desired time selecting the desired communication parameters such as the frequency, power and communication protocols for reconfiguring one or more input and output channels; including

means for dynamically or at a desired time altering and modifying the full or partial functionality of the mobile device in a stand alone manner using the processing power, storage and data bases of the mobile device in a stand alone manner and or in conjunction with the processing power, storage and data bases of the local, central and or network servers; including

means for altering and modifying the functionality of the desired input and output channels of the mobile device; including

means for multiplexing one or more of the input and one or more of the output channels for the desired communication, computation, command and control functions; further including

means for dynamically and or at the desired time configuring the mobile device for a plurality of interfaces for one or more types of input, output and display.

#### 22. A mobile device communication system of claim 20 comprising

means for dynamic signaling and sensing of the communication environment, the communication methods, communication parameters and or the functional instructions, by radio frequency signaling and or other methods;

means for enabling disparate communication methods by dynamically adjusting communication parameters such as the frequency of transmission/receiving, power levels and other parameters which are best suited to a specific environment by functional instructions or other means,

means for dynamic switching of the communication parameters for transition from one communication environment and or communication method to another;

means for enabling a single mobile device to perform a plurality of same or disparate functions on one or more channels;

means for a mobile device to transform itself dynamically to execute a multiplicity of desired functions, on one or more input and output channels, by utilizing the processing power and software resident in the mobile device itself and or in conjunction with the processing power and software resident on the servers.

#### 23. A mobile device communication system of claim 20 comprising

means for dynamically and independently tuning one or more input and output channels of the mobile device,

means for dynamically and independently tuning the input and output channels based on various parameters such as power, frequency, signal to noise ratio, desired and allowable error rates for data transfer and other factors;

means for dynamically optimizing the performance of the mobile device for efficient operation in the desired environment.

#### 24. A mobile device communication system of claim 20 comprising

means for the mobile device to bypass the public carrier operating frequencies for voice and or data communication on one or more input and output channels;

means for communication of voice and data using the desired home, office, factory, transportation system or other operating frequencies using the desired input and output channels of the mobile device; and or

means for contemporaneous operation on public carrier and or private carrier frequencies on the selected input and output channels of the mobile device.

#### 25. A mobile device communication system of claim 20 comprising

a Global Positioning Server,

means of wired or wireless communication with the GPS server,

means for determining the geographical location of the mobile device,

means for sensing the macro and micro communication environments in a selected environment and location wherein the mobile device is present,

means for dynamically selecting the desired communication methods and communication parameters on one or more input and output channels of the mobile device,

means for enabling the desired communication on one or more input and output channels of the mobile device.

#### 26. A mobile device communication system of claim 20 comprising

means for the mobile device to be enabled with a sleep mode and or watch dog mode on one or more input and output channels,

means for instantaneously switching from a sleep mode and or watch dog mode to an active mode on one or more desired input and output channels of the mobile device,

means for sensing the communication environment by the mobile device,

means for the mobile device to sense other mobile devices,

means for the mobile device to sense using a plurality of communication methods inclusive of radio frequency and or other means,

means for the mobile device to sense one or more servers,

means for the mobile device to execute the desired communication and desired functions at the desired time and in the desired sequence.

#### 27. A mobile device communication system of claim 20 comprising

means for the mobile device to operate in a wireless manner on one or more input and output channels,

means for the same mobile device to operate in a wired manner on one or more input and output channels

means for the selection and enabling of the desired input and output channels of the mobile device for wired or wireless communication.

#### 28. A mobile device communication system of claim 20 comprising

means for enabling a selection of a plurality of communication modes on one or more input and output channels of the mobile device,

means for selecting and enabling a primary communication mode on one or more input and output channels of the mobile device,

means for selecting and enabling a secondary communication mode on one or more input and output channels of the mobile device,

means for enabling a hierarchy of communication modes on a mobile device for communication at a desired time and in desired order on one or more input and output channels,

means for instantaneously, dynamically or in a delayed manner enabling the desired communication mode on the desired input and output channel of the mobile device.

#### 29. A mobile device communication system comprising

a mobile device,

local, central and or network servers,

means for wired or wireless communication using public carrier communication loops, private carrier communication loops, office/factory communication loops and home communication loops, said loops operating with same or disparate communication methods and or communication parameters for wired or wireless communication in a selected environment;

means for the mobile device to instantaneously recognize the communication environment and determine the nature of the public, private, office, factory, transportation or home carrier communication methods and communication parameters,

means for selecting instantaneously and or at a desired time and switching the carrier(s) for desired communication on a desired input and output communication channel of the mobile device;

means for operation with one single mobile device in multiple carrier environments on one or more input and output channels of the mobile device;

means for operation by the mobile device in a standalone manner and or in conjunction with a local, central and or network server.

#### 30. A mobile device communication system of claim 29 comprising

means for voice, data and video communication on one or more channels of the mobile device,

means for maintaining a plurality of functional instructions on the mobile device and or the network servers.

means for enabling the mobile device to be configured for wired or wireless remote command and control applications such as TV, entertainment, gaming, appliance control, intelligent appliance control, intelligent sensing and control, intelligent equipment control and other control applications for the home, office, transportation systems, factory and other applications;

means for a plurality of same or different control applications being enabled sequentially or contemporaneously on one or more input and output channels of the mobile device;

means for enabling the control applications using the processing power, storage and databases of the mobile device by itself and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 31. A mobile device communication system of claim 29 comprising

means for voice, data and video communication on one or more channels of the mobile device,

means for maintaining a plurality of functional instructions on the mobile device and or the network servers,

means for dynamically configuring the mobile device with a plurality of functional instructions on one or more channels;

means for enabling the emulation of the mobile device for one or more same or disparate functions;

means for enabling the mobile device to emulate and perform the functions of a cordless telephone, a cellular telephone, a PDA, an Internet Protocol based IP telephone and other disparate computation, communication, command and control device functions on one or more input and output channels of the mobile device;

means for the communication, command, control and computation functions to be emulated and enabled by using the processing power/storage and databases of the mobile device by itself and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 32. A mobile device communication system of claim 29 comprising

- means for the mobile device to be dynamically assigned a plurality of identification numbers,
- means for the identification means to include a plurality of identification methods such as telephone numbers, static IP address number, dynamic IP address number and other numbers;

means for the mobile device to be dynamically configured for voice and data communication,

means for using one or more of the telephone numbers and other identification numbers sequentially or contemporaneously on the same mobile device for desired communication,

means for recognizing and relating the incoming and outgoing communications with the telephone number means and or other identification number means by visual, audible and other input, output, display and interface methods;

means for communication on one or more input and output channels of the mobile device with same or disparate identification numbers and or communication methods; and or

means for dynamically configuring the mobile device for communication and operation using the Internet Protocol, IP, based communication methods and or non IP based communication methods, on one or more input and output channels of the mobile device, for sequential or contemporaneous use

means for dynamically switching between the IP mode and non IP mode for communication on one or more input and output channels of the mobile device by software means, functional instructions or other methods,

means for dynamically enabling the communications of voice, audio, video and data in the IP mode and or non IP mode on the mobile device by utilizing software means, functional instructions means and or other methods with or without altering the hardware configuration of the mobile device

means for enabling the operation in the IP mode and or non IP mode by using the processing power, storage and databases of the mobile device in a standalone manner and or in conjunction with the processing power, storage and databases of the local, central and or network server.

#### 33. A mobile device communication system comprising

a mobile device,

a central server,

a network control box having one or more input and output channels located with an office, home, factory, office buildings or other locations,

means for wired or wireless communication by the network control box on one or more channels using one or more communication methods and associated communication parameters;

means for selection and operation of the channels of the network box at one or more transmit and receive frequencies, power levels, signal to noise ratios and bandwidths;

means for interfacing between the mobile device and the network control box by using wired or wireless communication methods in a bilateral manner and or in conjunction the local, central and or network server; including

means for the network control box to operate at one or more public carrier, private carrier, office loop, home loop and other communication frequencies and modes;

means for the mobile device to operate in conjunction with the network box by selecting the desired communication mode and the communication loop appropriate for the intended communication on the selected input and or output channels of the mobile device and or the selected input/output channels of the network control box;

means for managing the operation of the network control box by functional instructions resident within the network control box and or derived from the mobile device acting by itself and or in conjunction with functional instructions resident on a central server, a local or network server;

means for the network control box input and output channels to be dynamically configured for communication in same or different communication modes,

means for configuring the network control box for desired utility by the mobile device acting in a stand alone manner and or in conjunction with the local, central and or network server.

#### 34. A mobile device communication system of claim 33 comprising

means for the network control box to dynamically sense a mobile device operating within range of the network control box;

means for the mobile device to dynamically sense a network control box operating within range of the mobile device:

means for the mobile device and the network control box to recognize, authenticate and enable communication with each other;

means for dynamic switching from a first set of communication methods and communication protocols to a second set of communication methods and communication protocols that are acceptable for the mobile device user and the network control box;

means for seamless and dynamic operation of the mobile device in a plurality of environments and locations for a selected utility to the mobile device user utilizing the capabilities of the mobile device and a network control box or utilizing the capabilities of the mobile device, the network control box, a central server, a local server, a network server or a combination thereof.

#### 35. A mobile device communication system of claim 34 comprising

a plurality of mobile devices,

means for a first mobile device to communicate with the network control box utilizing a first communication method and or a first communication protocols;

means for a second mobile device to communicate with the network control box utilizing a second communication method and or a second communication protocols;

means for the network control box to seamlessly enable communication between the first mobile device and the second mobile device utilizing same or disparate communication methods and or communication protocols;

means for switching and or translation including communication method translations and or protocol translations from a first communication method/protocol to a second communication method/protocol within the network control box itself and or performing said functions in conjunction with a central server, a local server, a network server or a combination thereof wherein said switching and translation instructions and algorithms reside within the network control box and or one or more servers;

means for dynamic and seamless communication between a plurality of communication devices utilizing same or disparate communication methods and or communication protocols utilizing the capabilities of the mobile devices by themselves in conjunction with each other, in conjunction with the network control box and or in conjunction with a central server, a local server, a network server or a combination thereof.

#### **REMARKS**

The attached claims are central to the original specification and the drawings therein filed on as application number 09/591, 389 filed on 06/09/2000. The applicant respectfully submits that Levac even if combined with Houde does not teach the features, claimed by Rao et al. Examination of these claims is respectfully requested.

Respectfully submitted,

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By Raman K. Rao, Applicant

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Phone: 408-342-1902 Fax: 408-342-1909

571-273-8300 Fax:

Pages: 4 including cover

Phone: 571-272-1000

Date:

CC:

December 11, 2006

☑ Urgent

Serial No. 10/911,211

Atty Docket No. IPHD.P031

☑ For Review

☑ Please Comment

☑ Please Acknowledge

Certification under 37 C.F.R. § 1.8(a)

I HEREBY CERIFY THAT THIS CORRESPONDENCE IS BEING FILED VIA TELEFACSIMILE TO THE UNITED STATE PATENT AND TRADEMARK OFFICE, AT 571-273-3200 ON:

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Dawn Rose

- Power of Attorney and Correspondence Address Indication Form 1.
- Certificate of Facsimile Transmission 3.

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PAGE 1/4 \* RCVD AT 12/11/2006 1:57:37 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/44 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):00-50

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and			Mamed inventor	Ramen K. Reo	
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		Atton	ney Docket Number	IPHD.P031	
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PAGE 2/4 \* RCVD AT 12/11/2006 1:57:37 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/44 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):00-50->=0

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I hereby revoke	all previ	ous powers of attorney gi	ven in the above-Identif	led application.	
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Applicant/hy	entor				
		the entire interest. See 37 CFR	á 74		
		FR 2.73(b) la enclosed. (Form F			
SIGNATURE of Applicant or Assignee of Record					
Signature	T	Yau K		Pate	12/6/2006
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Title and Company	1			1 10000000	
	lá invántor balová	or analyses of majory of the entitle	a Biltonast or Ethol: represe; tritive (#	) are required. Submit m	williplo forme if more than one
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PAGE 3/4 \* RCVD AT 12/11/2006 1:57:37 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/44 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):00-50.

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	Filing Date	10/911,211
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and		Raman K. Reo
Correspondence address	Art Unit	Dyamically Configurable Wireless David
INDICATION FORM	Examine/ Name	
	Alterney Docket Number	(IPHD.P031
hereby revoke all previous powers of attorne	y given in the above-identific	d epplication.
nemby appoint:		
Practitioners associated with the Customer Number	ar. 53160	
Practitioner(e) named below:		
Name	<del></del>	Registration Number
my/our attorney(s) or agent(s) to presecute the replicationers. Office connected the rewith.		
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	of Applicant or Assignee of Reco	erd _
ledura		Date 12/6/2006
ne Sanjay K. Reo		Talephane
and Company		
E: Signatures of all the inventors or assigners of record of the ature is required, see below".	ordino interest or their representativation (	re required. Submit multiple forms if more than one
Total of THREE forms are submitted,	444 W. France Co.	or about a basel to be a substitute to
a collaction of information is required by 37 CPR 1,31 1,32 em USPTU to Problets an exploration. Confirminglely a general complain, including pathering, properting, and submitting the or moments are the deficient of these you require to complate this for the properties of the confirming and trademark Office, U.S. Department of Conting	NG by 35 U.S.C. 122 and 37 CFR 1.11 a	nd 1.74. This collection is octimated to leave 3 mile. Time well who interpretate them the individual rates

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PAGE 4/4 \* RCVD AT 12/11/2006 1:57:37 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/44 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):00-50

BRS	S1	10	6339706.pn. "6223029".pn. "6295448".pn. "5404579".pn. "6873841".pn. "6393297".pn. "5559800".pn. "6754710".pn. "6587691".pn. "6496575".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; IBM_TDB	4/23/2007 7:51
BRS	S2	4	6681259.pn. "6496575".pn. "6952578".pn. "7085257".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; IBM_TDB	4/20/2007 15:45
BRS	S3	3	6539237.pn. "5953323".pn. "6853851".pn.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; IBM_TDB	4/20/2007 17:30
BRS	S4	12993	(roaming handoff handover hand\$1off hand\$1over) same (mode location position)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; IBM_TDB	4/23/2007 8:13
BRS	S5	8250	(roaming handoff handover hand\$1off hand\$1over) with (mode location position)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; IBM_TDB	4/23/2007 8:14
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			(configur\$4 reconfigur\$4 autoconf ad\$1hoc) with (((device terminal phone telephone	US-PGPUB; USPAT; USOCR;	4/00/0007 0:00
BRS	S6	56169	station handset) with (mobile portable car cell cellular digital radio wireless)) UE MT)	FPRS; EPO; JPO; IBM_TDB US-PGPUB; USPAT; USOCR;	4/23/2007 8:08
BRS	S7	1777	S5 and S6	FPRS; EPO; JPO; IBM_TDB US-PGPUB; USPAT; FPRS;	4/23/2007 8:02
BRS	SB		S7 and (@ad<"20000609" @rlad<"20000609" @pd<"20000609")	EPO; JPO; IBM_TDB	4/23/2007 8:06
BRS			(stor\$4) with (database table list)	US-PGPUB; USPAT; FPRS;	4/23/2007 8:08
BRS	S10	821	S5 and S6 and S9	US-PGPUB; USPAT; FPRS; US-PGPUB; USPAT; FPRS;	4/23/2007 8:09
BRS	S11	275	S10 and (@ad<"20000609" @rlad<"20000609" @pd<"20000609")	EPO; JPO; IBM_TDB	4/23/2007 16:25
BRS			S5 same S6 same S9	US-PGPUB; USPAT; FPRS;	4/23/2007 8:09
				US-PGPUB; USPAT; USOCR;	
BRS	S13	2811	(roaming handoff handover hand\$1off hand\$1over) with (mode)	FPRS; EPO; JPO; IBM_TDB	4/23/2007 8:14
BRS	S14	236	S13 and S9 and S6	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; IBM_TDB	4/23/2007 8:14
DING	314	230	G15 and G5 and G5	US-PGPUB; USPAT; FPRS;	4/20/2007 0.14
BRS	\$15	77	S14 and (@ad<"20000609" @rlad<"20000609" @pd<"20000609")	EPO; JPO; IBM_TDB	4/23/2007 10:08
				US-PGPUB; USPAT; FPRS;	
BRS			6085101.pn. "5872926".pn. "5905958".pn. "6421429".pn. "6826405".pn.	EPO; JPO; IBM_TDB	4/24/2007 18:13
BRS			5737394.pn.	US-PGPUB; USPAT; FPRS;	4/23/2007 13:15
BRS			6993362.pn. "6047197".pn. "6263217".pn.	US-PGPUB; USPAT; FPRS; US-PGPUB: USPAT; FPRS;	4/23/2007 13:33
BRS BRS			09/434155 6470179.pn.	US-PGPUB; USPAT; FPRS;	4/23/2007 13:43 4/23/2007 14:25
BRS	_		6161008.pn. "5742668".pn.	US-PGPUB; USPAT; FPRS;	4/23/2007 14:57
BRS			5903832.pn. "6853851".pn.	US-PGPUB; USPAT; FPRS;	4/23/2007 15:00
BRS			6828992.pn.	US-PGPUB; USPAT; FPRS;	4/23/2007 13:37
				US-PGPUB; USPAT; USOCR;	
BRS			network with (select\$4 sens\$4 configur\$4)	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:25
BRS	S21	2	6167283.pn. "6359896".pn.	US-PGPUB; USPAT; FPRS; US-PGPUB; USPAT; USOCR;	4/23/2007 13:55
BRS	S26	1966	(public and private and home and office) with network	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:22
5.10	020	,,,,,		US-PGPUB; USPAT; USOCR;	
BRS	S28	1490	S26 and S27	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:24
				US-PGPUB; USPAT; USOCR;	
BRS	S25	1	6219539.pn.	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:22
BRS	620	603	S28 and (@ad<"20000609" @riad<"20000609" @pd<"20000609")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:27
DNO	329	003	320 and (@ad - 20000003 @nad - 20000003 @pd - 20000003 )	US-PGPUB; USPAT; USOCR;	4,23,200, 10.2)
BRS	S30	33437	network with (select\$4 sens\$4 configur\$4) with (location position gps region area vicinity)	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:27
				US-PGPUB; USPAT; USOCR;	
BRS	S31	496	S26 and S30	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:29
000	000	204	534 and (@add="30000600" @dad="30000600" @ad="30000600"\	US-PGPUB; USPAT; FPRS;	4/02/2007 46:20
BRS BRS			S31 and (@ad<"20000609" @rlad<"20000609" @pd<"20000609") S31 and (@ad<"20000609" @pd<"20000609")	EPO; JPO; IBM_TDB US-PGPUB; USPAT; FPRS;	4/23/2007 16:28 4/24/2007 16:06
ыс	333	122	031 and (@ad 20000003 @pd 20000003)	US-PGPUB; USPAT; USOCR;	4/24/2007 10:00
BRS	S34	207	S26 and S30 and (network with mode)	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:30
BRS	S35	70	S34 and (@ad<"20000609" @pd<"20000609")	US-PGPUB; USPAT; FPRS;	4/23/2007 16:30
				US-PGPUB; USPAT; USOCR;	
BRS			S26 and S30 and (network with control)	FPRS; EPO; JPO; IBM_TDB	4/23/2007 16:30
BRS			S36 and (@ad<"20000609" @pd<"20000609")	US-PGPUB; USPAT; FPRS;	4/23/2007 16:31
BRS BRS			6826414.pn. "6112088".pn. 6993359.pn.	US-PGPUB; USPAT; FPRS; US-PGPUB; USPAT; FPRS;	4/24/2007 12:42 4/24/2007 12:58
BRS			(public and private) with network	US-PGPUB; USPAT; FPRS;	4/24/2007 16:01
BRS			(API interface gui console)	US-PGPUB; USPAT; FPRS;	4/24/2007 16:06
BRS			(handoff handover roam\$4 hand\$1off hand\$1over)	US-PGPUB; USPAT; FPRS;	4/24/2007 16:04
BRS			S40 and S41 and S42	US-PGPUB; USPAT; FPRS;	4/24/2007 16:03
BRS	S44	1987	S43 and ("455".clas. "370".clas.)	US-PGPUB; USPAT; FPRS;	4/24/2007 16:04
ppc	SAE	6072	(handoff handover roam\$4 hand\$1off hand\$1over switch\$4) with (public and private) with network	US-PGPUB; USPAT; FPRS;	AI2AI2007 46:44
BRS BRS			S41 and S45	EPO; JPO; IBM_TDB US-PGPUB; USPAT; FPRS;	4/24/2007 16:14 4/24/2007 16:05
BRS			S46 and (@ad<"20000609" @pd<"20000609")	US-PGPUB; USPAT; FPRS;	4/24/2007 16:07
				US-PGPUB; USPAT; FPRS;	
BRS BRS			(API interface gui console) with (control\$4 manag\$4 configur\$4 select\$4) S45 and S48	EPO; JPO; IBM_TDB US-PGPUB; USPAT; FPRS;	4/24/2007 16:07 4/24/2007 16:10
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BRS	S50	1283 S49 and (@ad<"20000609" @pd<"20000609")	US-PGPUB; USPAT: FPRS;	4/24/2007 16:15
BRS		32 S61 and (@ad<"20000609" @pd<"20000609")	US-PGPUB: USPAT: FPRS:	4/24/2007 16:36
Divo	002	52 65 and (845 - 2555556 - 2555566 )	US-PGPUB; USPAT; FPRS;	47E 47E 607
BRS	S51	475108 ((API interface gui console) with (control\$4 manag\$4 configur\$4 select\$4))	EPO; JPO; IBM_TDB	4/24/2007 16:10
5.10		((handoff handover roam\$4 hand\$1off hand\$1over switch\$4) with (public and private) with	US-PGPUB; USPAT; FPRS;	
BRS	S52	6073 network)	EPO; JPO; IBM TDB	4/24/2007 16:08
BRS		49 S50 and (SIM (smart\$1card))	US-PGPUB; USPAT; FPRS;	4/24/2007 16:12
Ditto	000	(handoff handover roam\$4 hand\$1off hand\$1over switching) with (public and private) with	US-PGPUB; USPAT; FPRS;	4/24/2007 10.12
BRS	S54	1263 network	EPO; JPO; IBM TDB	4/24/2007 16:15
BRS		189 S45 and S48 and (SIM smart\$1card)	US-PGPUB; USPAT; FPRS;	4/24/2007 16:15
BRS		49 S55 and (@ad<"20000609" @pd<"20000609")	US-PGPUB: USPAT: FPRS:	4/24/2007 16:33
BRS		1166 S45 and S48 and (billing)	US-PGPUB: USPAT: FPRS:	4/24/2007 16:27
BRS		437 S57 and (@ad<"20000609" @pd<"20000609")	US-PGPUB: USPAT: FPRS:	4/24/2007 16:27
BRS		95 S45 and S48 and (SIM smart\$1card) and billing	US-PGPUB: USPAT: FPRS:	4/24/2007 16:35
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BRS	cco	112 S45 and S48 and (SIM smart\$1card) and (billing tracking)	EPO; JPO; IBM TDB	4/24/2007 16:35
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BRS	CC1	137 S45 and S48 and (SIM smart\$1card) and (billing tracking monitoring)	EPO; JPO; IBM_TDB	4/24/2007 16:35
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BRS	_	2 6356543.pn. "6546002".pn.	US-PGPUB; USPAT; FPRS;	4/24/2007 18:13
BRS	-	1 7042905.pn.	US-PGPUB; USPAT; FPRS;	4/26/2007 17:31
BRS	565	1 6529491.pn.	US-PGPUB; USPAT; FPRS;	4/26/2007 17:31



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Raman K. Rao	IPHD.P031	7409
	7590 05/04/200 STANIFORD & GREG		EXAM	IINER
P.O. BOX 9686		WANG,	WANG, DAVID	
SAN JOSE, CA	X 9313 <i>1</i>		ART UNIT	PAPER NUMBER
			2617	
			MAIL DATE	DELIVERY MODE
			05/04/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

,	Application No.	Applicant(s)			
	10/911,211	RAO ET AL.			
Office Action Summary	Examiner	Art Unit			
	David Y. Wang	2617			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).					
Status					
1) Responsive to communication(s) filed on <u>06 Ap</u>	oril 2005.				
2a) ☐ This action is <b>FINAL</b> . 2b) ☒ This	action is non-final.				
3) Since this application is in condition for allowan	ce except for formal matters, pro	secution as to the merits is			
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.			
Disposition of Claims					
4) Claim(s) 13-35 is/are pending in the application	1.				
4a) Of the above claim(s) is/are withdraw	n from consideration.				
5) Claim(s) is/are allowed.					
6)⊠ Claim(s) <u>13-35</u> is/are rejected.					
7) Claim(s) is/are objected to.					
8) Claim(s) are subject to restriction and/or	election requirement.				
Application Papers	·				
9)☐ The specification is objected to by the Examiner	,				
10)⊠ The drawing(s) filed on 13 October 2004 is/are:	a)⊠ accepted or b)☐ objected	to by the Examiner.			
Applicant may not request that any objection to the o	frawing(s) be held in abeyance. See	e 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).					
11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:					
1. Certified copies of the priority documents have been received.					
2. Certified copies of the priority documents have been received in Application No					
3. Copies of the certified copies of the priority documents have been received in this National Stage					
application from the International Bureau (PCT Rule 17.2(a)).					
* See the attached detailed Office action for a list of the certified copies not received.					
Attachment(s)					
1) Notice of References Cited (PTO-892)	4) Interview Summary				
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08)	Paper No(s)/Mail Da 5) Notice of Informal Pa				
Paper No(s)/Mail Date					

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Art Unit: 2617

#### **DETAILED ACTION**

#### Claim Objections

1. The claims are objected to because the lines are crowded too closely together, making reading difficult. Substitute claims with lines one and one-half or double spaced on good quality paper are required. See 37 CFR 1.52(b).

#### Claim Rejections - 35 USC § 112

- The following is a quotation of the second paragraph of 35 U.S.C. 112:
   The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
- 3. Regarding claim 17, 18, 21, 22, 23, 30, and 32, the phrase "such as" renders the claim indefinite because it is unclear whether the limitations following the phrase are part of the claimed invention. See MPEP § 2173.05(d). Where "such as" is read, it is interpreted that only one of the exemplary items is read into the limitation.

#### Claim Rejections - 35 USC § 102

4. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 5. Claims 13-17 are rejected under 35 U.S.C. 102(e) as being anticipated by Kim (US 6,546,002 B1).

Art Unit: 2617

6. Re claim 13, Kim describes the method in the patent "System and method for implementing an intelligent and mobile menu-interface agent." The claimed inventive method relates closely to a functions and attributes associated with a user profile, which Kim describes:

Utilizing a mobile device (cellular telephone 1525) configured with input, output, and or display capabilities for communication of voice and data (Kim Fig. 15).

Utilizing a central server (profile manager 134a or 134b) (Kim Fig. 15).

Communicating between a mobile device and a central server by wired or wireless means utilizing one or more communication methods and communication protocols (via cellular telephone network 1515) (Kim Fig. 15).

Configuring the mobile device for a selected first set of functions including communication (sound/music/voice output 126), computation (application 128), command (action input 114), sense and control (voice 116 command UI input) for a selected first utility to the mobile device user (Kim Fig. 3).

Establishing one or more functional instructions for dynamic reconfiguration of the mobile device from a first set of functions to a second set of functions within the mobile device, the central server including a combination of the mobile device and the central server ((Kim Fig. 1B). Kim shows exemplary functional instructions to configure a mobile device.

Storing said functional instruction sets (functions associated with a user profile) in databases and lookup tables within the mobile device and or the central server for ease

Art Unit: 2617

and efficiency of execution from a first set of functions to one or more selected second set of functions of the mobile device (database dB 136) (Kim Fig. 15).

Selecting a functional instruction set for a selected reconfiguration of the mobile device from a first set of functions to a selected second set of functions in a standalone manner utilizing the mobile device and or in conjunction with utilizing the central server (login of user) (Kim Fig. 1A).

Accessing one or more said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within the mobile device itself and/or accessing said selected partial or full functional instructions or a set of functional instructions from storage, databases and lookup tables contained within a central server by wired or wireless communication means (access/modify variables data 450) (Kim Fig. 6).

Executing the dynamic reconfiguration of the mobile device from use with a first set of functions to for use with a second set of functions utilizing the processing, storage and database capabilities of the mobile device in a standalone manner and or in conjunction with the processing, storage and database capabilities of a central server, a local server, a network server or a combination thereof (update/synch info 420) (Kim Fig. 6).

Reconfiguring the mobile device for the selected first set of functions, second set of functions and one or more other set of functions, to provide one or more selected second utility(s) to the mobile device user dynamically in real time or at the selected

Art Unit: 2617

time (Kim Fig. 6). This limitation is similar to changing the user profile by switching

users at login or by modifying the associated settings via a profile update.

7. Re claim 14, the system is similarly rejected according to the reference material

disclosed by Kim in claim 13 above.

8. Re claim 15 as applied to claim 14 above,

Means for storing a plurality of mobile device functionality instructions on the

mobile device (local dB 160 in local memory 106) and or the local, central and or

network server (network dB) (Kim Fig. 3).

Means for modifying and generating a plurality of new mobile device functionality

instructions by means of the mobile device and or the servers (user interface input 110)

(Kim Fig. 3).

Means for independently configuring the mobile device functionality in a stand

alone manner and or in conjunction with a local, central or network server (add/delete

entry in menu 530) (Kim Fig. 7).

Means for using the mobile device functionality instructions in the selected

manner and at the selected time (scheduler 208) (Kim Fig. 4).

9. Re claim 16 as applied to claim 14 above,

Page 6

Application/Control Number: 10/911,211

Art Unit: 2617

Means for dynamically configuring the full or partial functionality of the mobile device by software means without altering the hardware configuration (user interface 110) (Kim Fig. 3).

Means for the functional instruction software to be resident on the mobile device (local dB 160 in local memory 106) and or on a local, central and or network server (network dB 136) (Kim Fig. 3).

Means for using the functional instruction software resident on the mobile device in a stand alone manner (MIA client 102b on cellular telephone 1525) and or in conjunction with the functional instruction software resident on the local, central and or network server (network dB 136) (Kim Fig. 15).

Means for utilizing a plurality of mobile device functionalities with the existing hardware configuration (exemplary functions displayed on a user interface) (Kim Fig. 1B).

10. Re claim 17 as applied to claim 14 above,

Means for enabling one or more specific dynamic mode configurations of the mobile device for desired utility such as a cell phone, a PDA, a remote controller, an IP phone, a music player, a voice recorder, a camera and other devices with specific utility or a combination of utilities to the user (user login) (Kim Fig. 1A).

Means for enabling and associating one or more user profiles with the selected mode configuration from one function to another function (Kim Fig. 1B). Kim depicts a

Art Unit: 2617

user "jokim" and the associated user profile in the GUI wherein the profile contains selectable functions.

Means for storing a plurality of mode configurations, user profiles, functional instructions, program instructions and other enabling tools on the mobile device itself (local dB 160 in local memory 106) and or the local, central and or network server (network dB 136) (Kim Fig. 3).

Means for dynamically reconfiguring and utilizing the desired mode configuration and or the desired user profile by means of the functional instructions and program. instructions in conjunction with the processing power, storage, databases and lookup tables of the mobile device by itself and or in conjunction with the processing power, storage, databases and lookup tables of the local, central and or network server (user interface input 110) (Kim Fig. 3).

Means for utilizing the existing mobile device hardware for a specific function or a combination of functions for a desired utility to the mobile device user dynamically or at the selected time in conjunction with the software and functional instructions resident within the mobile device, a central server, a local server, a network server or a combination thereof (Kim Fig. 1B). Kim's user interface output 112 (Kim Fig. 3) displays an exemplary GUI similar to that in Kim Fig. 1B, which shows specific functions for a desired utility.

11. Claim 29 is rejected under 35 U.S.C. 102(e) as being anticipated by Seppanen et al. (US 5,903,832).

Art Unit: 2617

12. Re claim 29. Seppanen et al. discloses the mobile device communication system in the patent "Mobile terminal having enhanced system selection capability." The reference discloses:

A mobile device (mobile station 10) (Seppanen et al. Fig. 2B).

Local, central and or network servers (BS or MSC) (Seppanen et al. Fig. 2B).

Means for wired or wireless communication using public carrier communication loops (PLMN like Cellu One), private carrier communication loops (private system), office/factory communication loops (non-home systems) and home communication loops (home system), said loops operating with same or disparate communication methods and or communication parameters for wired or wireless communication in a selected environment (Seppanen et al. Fig. 23A-D).

Means for the mobile device to instantaneously recognize the communication environment and determine the nature of the public, private, office, factory, transportation or home carrier communication methods and communication parameters (AUTOMATIC MODE) (Seppanen et al. c7 10-19).

Means for selecting instantaneously and or at a desired time and switching the carrier(s) for desired communication on a desired input and output communication channel of the mobile device (AUTOMATIC NETWORK SELECTION) (Seppanen et al. c7 10-19).

Means for operation with one single mobile device in multiple carrier environments on one or more input and output channels of the mobile device (GSM, TDMA, CDMA, or AMPS) (Seppanen et al. c6 9-36).

Application/Control Number: 10/911,211 Page 9

-Art Unit: 2617

Means for operation by the mobile device in a standalone manner and or in conjunction with a local, central and or network server (Seppanen et al. Fig. 2B). The mobile device operates in a standalone manner, without any accessory, to place a call. The mobile device also operates with a network server to connect the call.

#### Claim Rejections - 35 USC § 103

- 13. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 14. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 15. Claims 18-19 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (US 6,546,002 B1) in view of La Medica, Jr. et al. (US 6,625,451 B1).
- 16. Re claim 18 as applied to claim 14 above, Kim teaches means for configuration, means for selecting, means for communication, means for switching, and means for

Art Unit: 2617

executing communication, computation, command, control and other functions in the context of a user interface associated with a user profile.

Kim, while teaching a heterogeneous/hybrid network, is not the best reference to read concerning switching between communication methods and communication protocols. In this case, La Medica, Jr. better teaches switching between communication methods (roaming profile) and communication protocols (PCS or sometimes referred to as CDMA protocol IS-95, analog, or digital).

Means for configuration of the mobile device for communication utilizing one or more communication methods and or communication protocols such as Bluetooth, Wi-Fi, IP, 802.XX, cellular, and other methods. Here, Kim teaches an IP network (LAN, MAN, WAN) and a cellular phone network (Kim c6 25-26).

Means for selecting a first communication method (home only roaming profile) and or a first communication protocol (CDMA mode in a tri-mode phone) (La Medica, Jr. Fig. 3 and c12 28-32).

Means for communication with a first communication method (home only roaming profile) and or a second communication protocol (analog mode in a tri-mode phone) (La Medica, Jr. Fig. 3 and c12 28-32).

Means for communication with a second communication method (PRL only roaming profile) and or a second communication protocol (analog mode in a tri-mode phone) (La Medica, Jr. Fig. 3 and c12 28-32).

Means for switching from a first communication method and or a first communication protocol to a second communication method and or a second

Art Unit: 2617

communication protocol dynamically or at the selected time (La Medica, Jr. Fig. 3). La

Medica, Jr. shows a menu system that allows a user to select between different

communication methods.

Means for executing communication, computation, command, control and other

functions (mobile phone keypad) for a selected utility to the mobile device user utilizing

one or more communication methods (roaming profile) and or communication protocols

(digital, analog, CDMA) in a standalone manner leveraging the processing, storage,

database and lookup tables (preferred roaming list PRL) maintained within the mobile

device itself and or in conjunction with a central server, a local server, a network server

or a combination thereof (La Medica, Jr. Fig. 3).

Therefore, it would have been obvious to a person having ordinary skill in the art

at the time the invention was made to develop means to select, communicate, switch,

and execute functions between two communication methods and/or protocols, because

"A need exists for such a system that allows the customer some other options to obtain

service, whenever some compatible system is available" (La Medica, Jr. c6 14-16).

17. Re claim 19 as applied to claim 18 above, La Medica, Jr. further teaches the

claimed limitations:

Means for dynamically sensing a communication method and or a

communication protocol (identifying the system identification number (SID) associated

with a service company) (La Medica, Jr. c11 34-40).

Ex.1002

Art Unit: 2617

Page 12

Means for switching from a first communication method and or a first communication to said sensed second communication method and or a second communication protocol dynamically or at the selected time (La Medica, Jr. c8 36-44). La Medica, Jr. describes a selection routine for choosing a communication service based on a system identifier and a preferred roaming list.

Means for executing communications, computation, command, control and other functions seamlessly (mobile phone keypad) for a selected utility to the mobile device user utilizing one or more communication methods and or communication protocols in a standalone manner leveraging the processing, storage, database and lookup tables maintained within the mobile device itself and or in conjunction with a central server, a local server, a network server or a combination thereof (La Medica, Jr. Fig. 3).

- 18. Claims 20-23 and 26-27 are rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (US 6,546,002 B1) in view of Harte et al. *CDMA IS-95 for Cellular and PCS*, 1999.
- 19. Re claim 20 as applied to claim 14 above, Kim teaches:

A mobile device (cellular telephone 1525) configured with one or more input and output channels of communication (Kim Fig. 15).

A central server (network dB 136) (Kim Fig. 15).

Means for wired or wireless communication between a mobile device and a central server (gateway 1500) (Kim Fig. 15).

Harte is relied on to teach the remainder of the limitations:

Art Unit: 2617

Means for selecting and configuring one or more input and output channels of a mobile device for a selected communication and utility (Harte et al. p 75-77). Channels are selected and configured during handoff.

Means for voice communication on a selected first channel of a mobile device (Harte et al. p74). Voice is carried on the fundamental channel.

Means for data, audio, video or other communications on a selected same or different channel of a mobile device (Harte et al. p74). Data is carried on the supplemental channel.

Means for sequential or simultaneous communication on a selected communication channel by multiplexing the same channel or utilizing alternate channels (Harte et al. p69-70). Harte teaches how control information is multiplexed into voice information.

Means for a plurality of communication methods, communication types and functions on a selected channel (Harte et al. p69-70).

Means for utilizing one or more input and output channels of a mobile device for a selected function and or utility in conjunction with the capabilities of the mobile device by itself and or in conjunction with the capabilities of a central server, a local server, a network server or a combination thereof (Harte et al. p33-36). Harte describes various channels for a selected function, such as voice or control.

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to perform the features of CDMA according to Harte in combination with communication with Kim's mobile device and server, in order to

Application/Control Number: 10/911,211 Page 14

Art Unit: 2617

provide for "...mobile voice communication as well as many new advanced services like mobile fax and text messaging" (Harte et al. p1).

20. Re claim 21 as applied to claim 20 above, Harte further teaches:

Means for enabling the mobile device for voice and data communication on one or more selected input and output channels (Harte et al. p74). Harte describes data and voice communications on a fundamental channel and a supplemental channel.

Means for enabling the mobile device for communication of audio, video, data, broadcast and or other communication on one or more input and output channels (Harte et al. p74). Harte also teaches multimedia, data, and voice communications on the fundamental or supplemental channel.

Means for enabling dynamic reconfiguration by means of functional instructions, program instructions and or other means wherein the instructions are resident on the mobile device and or the servers (Harte et al. p77). According to Harte, the mobile device undergoes dynamic reconfiguration when performing a hard handoff between disjoint networks.

Means for dynamically or at a desired time selecting the desired communication parameters such as the frequency, power and communication protocols for reconfiguring one or more input and output channels (Harte et al. p77). New frequency assignments, frame offsets, and band classes must be selected during a hard handoff.

Means for dynamically or at a desired time altering and modifying the full or partial functionality of the mobile device in a stand alone manner using the processing

Page 15

Application/Control Number: 10/911,211

Art Unit: 2617

power, storage and data bases of the mobile device in a stand alone manner and or in conjunction with the processing power, storage and data bases of the local, central and or network servers (Harte et al. p2-3). When switching networks, the services and functionalities offered by that network also change, according to Harte. Service levels are different for an AMPS system and a CDMA system.

Means for altering and modifying the functionality of the desired input and output channels of the mobile device (Harte et al. p77). Because of the difference between AMPS and CDMA, the mobile device's functionality is altered when switching networks.

Means for multiplexing one or more of the input and one or more of the output channels for the desired communication, computation, command and control functions (Harte et al. p1-2). CDMA technology multiplexes communication channels, so that many users can simultaneously communicate.

Means for dynamically and or at the desired time configuring the mobile device for a plurality of interfaces for one or more types of input, output and display (Harte et al. p208-222). Examples of dynamically configuring input, output and display include: multi-language menu, voice dialing, ring options, mechanical alert, phonebook, etc.

21. Re claim 22 as applied to claim 20 above, Harte further teaches:

Means for dynamic signaling and sensing of the communication envinronment, the communication methods, communication parameters and or the functional instructions, by radio frequency signaling and or other methods (Harte et al. p69-72).

Art Unit: 2617

Means for enabling disparate communication methods by dynamically adjusting communication parameters such as the frequency of transmission/receiving, power levels and other parameters which are best suited to a specific environment by functional instructions or other means (Harte et al. p77). During hard handoff, disparate communication is enabled from CDMA to analog, for instance.

Means for dynamic switching of the communication parameters for transition from one communication environment and or communication method to another (Harte et al. p76). Harte teaches switching of communication parameters during soft handoff.

Means for enabling a single mobile device to perform a plurality of same or disparate functions on one or more channels (Harte et al. p76). Harte writes that "The mobile station is capable of communicating with two or more cells at the same time."

Means for a mobile device to transform itself dynamically to execute a multiplicity of desired functions, on one or more input and output channels, by utilizing the processing power and software resident in the mobile device itself and or in conjunction with the processing power and software resident on the servers (Harte et al. p218). Harte teaches software updates to fix bugs or add new features or otherwise dynamically transform the mobile device.

# 22. Re claim 23 as applied to claim 20 above. Harte further teaches:

Means for dynamically and independently tuning one or more input and output channels of the mobile device (Harte et al. p60-63). This limitation relates to power control in CDMA systems.

Art Unit: 2617

Means for dynamically and independently tuning the input and output channels based on various parameters such as power, frequency, signal to noise ration, desired and allowable error rates for data transfer and other factors (Harte et al. p60-63).

Means for dynamically optimizing the performance of the mobile device for efficient operation in the desired environment (Harte et al. Fig. 3.8). Harte teaches fine tuning the power to achieve a desired signal quality.

23. Re claim 26 as applied to claim 20 above, Harte further teaches:

Means for the mobile device to be enabled with a sleep mode and or watch dog mode on one or more input and output channels (Harte et al. p68).

Means for instantaneously switching from a sleep mode and or watch dog mode to an active mode on one or more desired input and output channels of the mobile device (Harte et al. p68, p78, and p102). Harte teaches that the mobile device will transition to an access state after being in an idle or sleep state.

Means for sensing the communication environment by the mobile device (Harte et al. p71-72). The mobile device senses the communication environment when it registers its location.

Means for the mobile device to sense other mobile devices (Harte et al. 15-19).

If a first mobile device calls a second mobile device, and the second mobile device initiates a ringing action, then it satisfies the limitation that a mobile device has sensed another mobile device.

Art Unit: 2617

Page 18

Means for the mobile device to sense using a plurality of communication methods inclusive of radio frequency and or other means (Harte et al. p75-77). Such as is the case with wireless communications, the mobile device senses other networks and their associated communication methods before performing handoff.

Means for the mobile device to sense one or more servers (Harte et al. p131).

Here, the mobile device senses on or more servers (base stations).

Means for the mobile device to execute the desired communication and desired functions at the desired time and in the desired sequence (Harte et al. p87-88). During handoff, the mobile device executes desired communications and associated functions at the time of handoff.

24. Re claim 27 as applied to claim 20 above,

Means for the mobile device to operate in a wireless manner on one or more input and output channels (Harte et al. p229).

Means for the same mobile device to operate in a wired manner on one or more input and output channels (Harte et al. p104). Harte teaches a wired cable for I/O.

Means for the selection and enabling of the desired input and output channels of the mobile device for wired or wireless communication (Harte et al. p229). Harte specifies the type of modem needed to select and enable the communication.

Application/Control Number: 10/911,211 Page 19

Art Unit: 2617

25. Claim 24 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (US 6,546,002 B1) in view of Harte et al. *CDMA IS-95 for Cellular and PCS*, 1999 and further in view of Sayers et al. (US 6,539,237 B1).

26. Re claim 24 as applied to claim 20 above, the claim relates to a hybrid public/private network. Kim and Harte are not the best references to show this hybrid network. Sayers, however, demonstrates an operational hybrid network:

Means for the mobile device to bypass the public carrier operating frequencies for voice and or data communication on one or more input and output channels (Sayers et al. Fig. 1).

Means for communication of voice and data using the desired home, office, factory, transportation system or other operating frequencies using the desired input and output channels of the mobile device (Sayers et al. Fig. 2).

Means for contemporaneous operation on public carrier and or private carrier frequencies on the selected input and output channels of the mobile device (Sayers et al. Fig. 1). Sayers shows a wireless handset 4 communicating on the public wireless network 15 or the private network 14. Sayers also writes that "The communication system uses normal wireless handsets or other mobile or fixed stations without need for any modifications" (Sayers et al. abstract).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to perform the system of Sayers in order to "...provide wireless systems that are compatible with conventional cellular systems and

Application/Control Number: 10/911,211 Page 20

Art Unit: 2617

with corporoate networks including local area networks and the Intranet" (Sayers et al. c7 10-14).

27. Claim 25 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (US 6,546,002 B1) in view of Harte et al. *CDMA IS-95 for Cellular and PCS*, 1999 and further in view of Chang et al. (US 6,529,491 B1).

28. Re claim 25 as applied to claim 20 above, Kim and Harte do not necessarily teach location based mobile services. Instead, Chang's reference is used to teach:

A Global Positioning Server (Chang et al.c3 30-41). Chang teaches a GPS server so that a radiotelephone may determine its location.

Means of wired or wireless communication with the GPS server (Chang et al. c3 30-41). Chang also teaches wireless communication, since the radiotelephone pertains to radio communications.

Means for determining the geographical location of the mobile device (Chang et al. c3 30-41).

Means for sensing the macro (public system) and micro (private system) communication environments in a selected environment and location wherein the mobile device is present (Chang et al. Fig. 1).

Means for dynamically selecting the desired communication methods and communication parameters on one or more input and output channels of the mobile device (Chang et al. abstract). Chang teaches that a communication method is selected during roaming procedures.

Art Unit: 2617

Means for enabling the desired communication on one or more input and output channels of the mobile device (Chang et al. abstract).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to add GPS functionality to a wireless network in order to provide "... private, semiprivate, and residential system capability using a CDMA air interface. The present invention also provides tiered service capability in CDMA systems. Tiered service provides the operator with the ability to use existing networks to offer custom services to one or more subscribers based on their geographic location" (Chang et al. c2 65-4).

- 29. Claim 28 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kim (US 6,546,002 B1) in view of Harte et al. *CDMA IS-95 for Cellular and PCS* and further in view of La Medica, Jr. et al. (US 6,625,451 B1).
- 30. Re claim 28 as applied to claim 20 above, Harte further teaches:

Means for enabling a selection of a plurality of communication modes on one or more input and output channels of the mobile device (Harte et al. p22-24). Harte teaches a dual-mode phone in which the mobile device is able to selectively communicate on the analog carrier or CDMA radio carrier.

Means for selecting and enabling a primary communication mode on one or more input and output channels of the mobile device (Harte et al. p198-199). The primary communication mode is selected and enabled according to a preferred roaming list (PRL).

Application/Control Number: 10/911,211 Page 22

Art Unit: 2617

Means for selecting and enabling a secondary communication mode on one or more input and output channels of the mobile device (Harte et al. p198-199). Likewise, a secondary communication mode is also taught in a PRL.

La Medica, Jr. teaches the finer points of a PRL and how it relates to a hierarchy of communication modes and enabling communications:

Means for enabling a hierarchy of communication modes on a mobile device for communication at a desired time and in desired order on one or more input and output channels (La Medica, Jr. c15 53-4). La Medica, Jr. better defines a PRL and states that "For systems that cover such a common geographical region, the stored PRL/SID list ranks the systems in the area. Such systems are prioritized or ranked based on desirability."

Means for instantaneously, dynamically or in a delayed manner enabling the desired communication mode on the desired input and output channel of the mobile device (La Medica, Jr. Fig. 6). The desired communication mode is enabled during a scan or when the user roams into the region defined by the PRL.

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to incorporate La Medica, Jr.'s PRL with Harte's teaching of PRL to select and enable a plurality of communication modes. This system then fulfills a need "... for such a system that allows the customer some other options to obtain service, whenever some compatible system is available" (La Medica, Jr. c6 14-16).

Application/Control Number: 10/911,211 Page 23

Art Unit: 2617

31. Claim 30 is rejected under 35 U.S.C. 103(a) as being unpatentable over Seppanen et al. (US 5,903,832) in view of Kim (US 6,546,002 B1).

32. Re claim 30 as applied to claim 29 above, Seppanen does not necessarily teach the following limitations. Kim's reference is used instead to teach:

Means for voice (voice 126), data (profile data 138b) and video (graphic 122 or animation 124) communication on one or more channels of the mobile device (Kim Fig. 3).

Means for maintaining a plurality of functional instructions on the mobile device and or the network servers (Kim Fig. 1B).

Means for enabling the mobile device to be configured for wired or wireless remote command and control applications such as TV, entertainment, gaming, appliance control, intelligent appliance control, intelligent sensing and control, intelligent equipment control and other control applications for the home, office, transportation systems, factory and other applications (Kim Fig. 12). Kim teaches an application being controlled by a mobile interface agent (MIA), which may reside on a mobile device such as a cellular telephone (Kim Fig. 15).

Means for a plurality of same or different control applications being enabled sequentially or contemporaneously on one or more input and output channels of the mobile device (Kim Fig. 14). Kim shows a plurality of control applications MIAs in use.

Means for enabling the control applications using the processing power, storage and databases of the mobile device itself and or in conjunction with the processing

Art Unit: 2617

power, storage and databases of the local, central and or network server (Kim Fig. 7). Here, Kim teaches how the control application MIA is enabled.

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to apply Kim's MIA control application to Seppanen's public/private network selection method such that "The mobile interface agent is basically an agent that allows the user to access documents, files, programs, applications, URL bookmarks, IP addresses, telephone numbers, television channels, radio stations, and other menu items from any computer that is connected to a network" (Kim c4 50-54).

- 33. Claim 31 is rejected under 35 U.S.C. 103(a) as being unpatentable over Seppanen et al. (US 5,903,832) in view of Kim (US 6,546,002 B1) and further in view of Hall et al. (6,356,543 B2).
- 34. Re claim 31 as applied to claim 29 above, Kim further teaches:

Means for voice (voice 126), data (profile data 138b) and video (graphic 122 or animation 124) communication on one or more channels of the mobile device (Kim Fig. 3).

Means for maintaining a plurality of functional instructions on the mobile device and or the network servers (Kim Fig. 1B).

Means for dynamically configuring the mobile device with a plurality of functional instructions on one or more channels (Kim Fig. 6). Kim shows how the mobile device is configured via a MIA.

Application/Control Number: 10/911,211 Page 25

Art Unit: 2617

Means for enabling the mobile device to emulate and perform the functions of a cordless telephone, a cellular telephone, a PDA, an Internet Protocol based IP telephone and other disparate computation, communication, command and control device functions on one or more input and output channels of the mobile device. A cordless telephone, a cellular telephone, a PDA, an IP telephone have similar function in that they can communicate with a network. Kim shows this function in that a cellular telephone communicates over a network to retrieve profile data (Kim Fig. 15).

Kim does not teach emulating a mobile device. Hall, however, teaches that limitation:

Means for enabling the emulation of the mobile device for one or more same or disparate functions (Hall et al. Fig. 1). Hall shows an emulated mobile device 26 on a computer screen.

Means for the communication, command, control and computation functions to be emulated and enabled by using the processing power/storage and databases of the mobile device by itself and or in conjunction with the processing power, storage and databases of the local, central and or network server (Hall et al. c2 64-12). Hall provides a means for emulating and enabling a mobile device on a computer for communication, command, control and computation.

Therefore, it would have been obvious to emulate a mobile device according to Hall in addition to configuring a mobile device by Kim, because "A problem encountered with the existing mobile phone systems is that users now want to decide for themselves just what services will be displayed on their mobile phones...Also, users want to be able

Art Unit: 2617

to customize the display of these services to meet their own special needs. As such, some users want to be able to design the 'look and feel' for their own mobile phones" (Hall et al. c1 26-35).

- 35. Claim 32 is rejected under 35 U.S.C. 103(a) as being unpatentable over Seppanen et al. (US 5,903,832) in view of Rabe et al. (US 5,764,730) and further in view of Rautiola et al. (US 6,853,851 B1).
- 36. Re claim 32 as applied to claim 29 above, Rabe teaches communication by means of a plurality of ID numbers:

Means for the mobile device to be assigned a plurality of identification numbers (Rabe et al. Fig. 3).

Means for the identification means to include a plurality of identification methods such as telephone numbers, static IP address number, dynamic IP address number and other numbers (Rabe et al. c4 1-16). The subscriber identity generally identify an associated telephone number.

Means for the mobile device to be dynamically configured for voice and data communication (Rabe et al. c8 24-31).

Means for using one or more of the telephone numbers and other identification numbers sequentially or contemporaneously on the same mobile device for desired communication (Rabe et al. Fig. 3).

Art Unit: 2617

Means for recognizing and relating the incoming and outgoing communications with the telephone number means and or other identification number means by visual, audible and other input, output, display and interface methods (Rabe et al. c7 43-55).

Means for communication on one or more input and output channels of the mobile device with same or disparate identification numbers and or communication methods (Rabe et al. Fig. 1).

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to use ID numbers for communications, because "...there is a need for a radiotelephone having a plurality of subscriber identities and method for operating the same that overcomes the disadvantages of a radiotelephone subscriber unit having only one active subscriber identity at a time" (Rabe et al. c2 66-3).

Rabe does not necessarily teach IP communication, since Rabe's invention pertains more to non-IP communication. Rautiola teaches a mixed IP and non-IP communication network:

Means for dynamically configuring the mobile device for communication and operation using the Internet Protocol, IP, based communication methods and or non IP base communication methods, on one or more input and output channels of the mobile device, for sequential or contemporaneous use (Rautiola et al. Fig. 6 and Fig. 10). Rautiola teaches a mobile device configured for communication over IP in Fig. 10 and non-IP in Fig. 6.

Art Unit: 2617

Means for dynamically switching between the IP mode and non IP mode for communication on one or more input and output channels of the mobile device by software means, functional instructions or other methods (Rautiola et al. Fig. 6 and Fig. 10).

Means for dynamically enabling the communications of voice, audio, video and data in the IP mode and or non IP mode on the mobile device by utilizing software means, functional instructions means and or other methods with or without altering the hardware configuration of the mobile device (Rautiola et al. c6 10-24). The mobile device already can enable communications of multimedia data. Rautiola also teaches that the data may travel over an IP network in Fig. 10 or non-IP network in Fig. 9.

Means for enabling the operation in the IP mode and or non IP mode by using the processing power, storage and databases of the mobile device in a standalone manner and or in conjunction with the processing power, storage and databases of the local, central and or network server (Rautiola et al. abstract). Rautiola teaches an IP network and a non-IP network. The mobile device enables operation in IP or non-IP mode depending on the receiving user.

Therefore it would have been obvious to a person having ordinary skill in the art at the time the invention was made to use a mixed IP/non-IP network with multiple ID numbers in order to allow "... users to utilise communication networks, such as private intranets to carry cellular services (eg speech, data, SMS, facsimile etc) when within a coverage area. In addition, the WIO concept provides a good platform for local

Art Unit: 2617

multimedia extensions because it potentially offers higher bandwidth to the user"

(Rautiola et al. c4 14-19).

37. Claim 33 is rejected under 35 U.S.C. 103(a) as being unpatentable over

Haartsen (US 6,112,088) in view of (Stenman et al. (US 6,223,029 B1).

38. Re claim 33. Haartsen anticipates the parts of the claimed mobile communication

system in the patent "Radio communications system and method for mobile assisted

handover between a private network and a public mobile network." Haartsen teaches

the similar system as follows:

A mobile device (mobile terminal 30) (Haartsen Fig. 3).

A central server (MSC 20) (Haartsen Fig. 3).

A network control box having one or more input and output channels located with

an office, home, factory, office buildings or other locations (PBS 24) (Haartsen Fig. 3).

Means for wired or wireless communications by the network control box on one

or more channels using one or more communication methods and associated

communication parameters (PBS 24 connected to PBX 22 and mobile terminal 30)

(Haartsen Fig. 3).

Means for selection and operation of the channels of the network box at one or

more transmit and receive frequencies, power levels, signal to noise ratios (RSSI) and

bandwidths (Haartsen c1 10-15).

Means for interfacing between the mobile device and the network control box by

using wired or wireless communication methods in a bilateral manner and or in

Art Unit: 2617

conjunction the local, central and or network server (PBS 24 communicating with the

mobile terminal 30) (Haartsen Fig. 3).

Means for the network control box to operate at one or more public carrier (public

land mobile network PLMN), private carrier (private PBX network), office loop, home

loop and other communication frequencies and modes (Haartsen abstract).

Means for the mobile device to operate in conjunction with the network box by

selecting the desired communication mode and the communication loop appropriate for

the intended communication on the selected input and or output channels of the mobile

device and or the selected input/output channels of the network control box (handover

between a private network and public network) (Haartsen abstract).

Haartsen does not teach the remaining limitations regarding remote

access/control. Regardless, Stenman teaches a "Combined mobile terminal and

remote control terminal":

Means for managing the operation of the network control box (local interface

module 2035) by functional instructions resident within the network control box and or

derived from the mobile device acting by itself and or in conjunction with functional

instructions resident on a central server, a local or network server (Stenman et al. Fig.

4).

Means for the network control box input and output channels to be dynamically

configured for communication in same or different communication modes (Stenman et

al. c7 13-15).

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Art Unit: 2617

Means for configuring the network control box for desired utility by the mobile device acting in a stand alone manner and or in conjunction with the local, central and or network server (Stenman et al. Fig. 4).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to combine Stenman's remote communication control with Haartsen's hybrid network, because "It is readily apparent that a logical progression of these multifaceted advances is towards increased inter-operability and modularized integration of multiple physical devices so as to enhance human operator convenience. For example, it would be an advantage to have a mobile stantion equipped with a modular unit that is capable of communicating with a cordless phone base station so that it can operate as an extension of the cordless phone to effectuate landline communication" (Stenman et al. c2 49-57).

39. Claims 34-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Haartsen (US 6,112,088) in view of Stenman et al (US 6,223,029 B1) and further in view of Rautiola et al. (US 6.853.851 B1).

Re claim 34 as applied to claim 33 above, Haartsen further teaches:

Means for the network control box to dynamically sense a mobile device operating within range of the network control box (Haartsen Fig. 3). The network control box (PBS 24) senses the mobile device in order to perform handover.

Means for the mobile device to dynamically sense a network control box operating within range of the mobile device (Haartsen Fig. 3). Likewise, the mobile device senses the network control box (PBS 24) in order to perform handover.

Art Unit: 2617

Page 32

Where the applicant claims dynamic switching of communication method and protocols, Rautiola is better suited to teach the art:

Means for the mobile device and the network control box to recognize, authenticate and enable communication with each other (Rautiola et al. c8 50-23). Rautiola's teaches a network control box (MS-IP Gatekeeper) that performs registration. authentication, and call control signaling.

Means for dynamic switching from a first set of communication methods and communication protocols to a second set of communication methods and communication protocols that are acceptable for the mobile device user and the network control box (Rautiola et al. Fig. 6-11).

Means for seamless and dynamic operation of the mobile device in a plurality of environments and locations for a selected utility to the mobile device user utilizing the capabilities of the mobile device and a network control box or utilizing the capabilities of the mobile device, the network control box, a central server, a local server, a network server or a combination thereof (Rautiola et al. c6 10-24).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made dynamically switch communication methods and protocols according to Rautiola in concert with Haartsen's hybrid network, because "A target of the present invention is to present a system which reduces the problems caused by overlapping networks...A further target of the invention is to present an arrangement, in which said system, integrating information transfer can also serve home office and small office users. A further target of the invention is to present an

Art Unit: 2617

arrangement of said kind, in which the same devices can be used as terminal devices

(e.g. mobile stations) in the telecommunication system both in the office and outside it"

(Rautiola et al. c2 42-53).

40. Re claim 35 as applied to claim 34 above, Haartsen further teaches:

A plurality of mobile devices (Haartsen Fig. 1).

Rautiola further teaches:

Means for a first mobile device to communicate with the network control box utilizing a first communication method and or a first communication protocols (Rautiola et al. Fig. 3).

Means for a second mobile device to communicate with the network control box utilizing a second method and or a second communication protocols (Rautiola et al. Fig. 3).

Means for the network control box to seamlessly enable communication between the first mobile device and the second mobile device utilizing same or disparate communication methods and or communication protocols (Rautiola et al. Fig. 6-11).

Means for switching and or translation including communication method translations and or protocol translations from a first communication method/protocol to a second communication method/protocol within the network control box itself and or performing said functions in conjunction with a central server, a local server, a network server or a combination thereof wherein said switching and translation instructions and

Art Unit: 2617

algorithms reside within the network control box and or one or more servers (Rautiola et al. Fig. 2)

Means for dynamic and seamless communication between a plurality of communication devices utilizing same or disparate communication methods and or communication protocols utilizing the capabilities of the mobile devices by themselves in conjunction with each other, in conjunction with the network control box and or in conjunction with a central server, a local server, a network server or a combination thereof (Rautiola et al. Fig. 6-11).

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Y. Wang whose telephone number is 571.270.1214. The examiner can normally be reached on M - F 10 AM - 4 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Duc Nguyen can be reached on 571.272.7503. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2617

Page 35

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

David Wang 27 April 2007

> DUC M. NGUYEN SUPERVISORY PRIMARY EXAMINER TECHNOLOGY CENTER 2600

# Notice of References Cited Application/Control No. 10/911,211 Examiner David Y. Wang Applicant(s)/Patent Under Reexamination RAO ET AL. Page 1 of 1

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*	Α	US-5,764,730 A	06-1998	Rabe et al.	455/403
*	В	US-5,903,832 A	05-1999	Seppanen et al.	455/435.3
*	С	US-6,112,088 A	08-2000	Haartsen, Jacobus Cornelis	455/437
*	D	US-6,223,029 B1	04-2001	Stenman et al.	455/420
*	E	US-6,356,543 B2	03-2002	Hall et al.	370/352
*	F	US-6,529,491 B1	03-2003	Chang et al.	370/335
*	G	US-6,539,237 B1	03-2003	Sayers et al.	455/555
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#### **NON-PATENT DOCUMENTS**

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A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

Notice of References Cited

Part of Paper No. 20070419



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Bib Data Sheet

**CONFIRMATION NO. 7409** 

SERIAL NUMBER 10/911,211	CLASS GR			<b>UP AR1</b> 2617	UNIT	ATTORNEY DOCKET NO. IPHD.P031		
Sunil K. Rao, Sanjay K. Rao ** CONTINUING DA This applicatio ** FOREIGN APPLIG	p, Palo Alto, CA; Palo Alto, CA; p, Palo Alto, CA; p, Palo Alto, CA;  TA ***********************************	06/09/20	000 ABN ) N ED ** SMALL E	Jes •	Dh BW	<i>,</i>		
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Application/Control No.	Applicant(s)/Patent under Reexamination	
10/911,211	RAO ET AL.	
Examiner	Art Unit	
David Y. Wang	2617	

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Class	Subclass	Date	Examiner
370	335	4/27/2007	DW
370	351	4/27/2007	DW
370	352	4/27/2007	DW
379	58	4/27/2007	DW
455	434	4/27/2007	DW
455	437	4/27/2007	DW
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Atty. Docket No.: IPHD\ 331

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#### IN THE UNITED STATES PATENT OFFICE

In Re	Patent Application of:	)
	Sunil K. Rao, et al.	) Examiner: David Wang
Applic	eation No. <del>10/991,21</del> 1 10 / 9 (1,2)	) Art Unit: 2617 )
Filed:	October 13, 2004	, ) )
For:	DYNAMICALLY CONFIGURABLE WIRELESS DEVICES	) )

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### **AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111**

Sir:

This is in response to the office action mailed May 4, 2007. Please enter the following amendments and consider the following Remarks.

# Petition for Extension of Time under 37 C.F.R. § 1.136(a)

Applicants submit herewith a Petition for an Extension of time (two months) for 37 C.F.R. § 1.136(a). Applicants further submit a credit card authorization for the fee due under 37 C.F.R. § 1.17.

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23

Atty. Docket No.: IPHD 331

Serial No.-10/991,211

### IN THE CLAIMS:

Please amend the claims as indicated below.

1 Claims 1-12 (Previously canceled)

Claims 13-35 (Canceled

1 36. (New) In a mobile communication system, a method for configuring a 2 mobile communication device (MD), the method comprising: storing data on a server, the data comprising a plurality of functional instruction 3 sets, content, and MD configuration software; 4 the MD remotely requesting a mode configuration of the server, wherein the 5 server configures the MD as one or more of a plurality of intelligent devices comprising a 6 cell phone, a remote TV controller, and a remote controller of a plurality of devices; 7 8 configuring the MD for a selected set of functions including communication, computation, command, sensing and control; 9 10 establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another; 11 alternatively accessing one of the plurality of functional instruction sets from a 12 storage device on the MD, wherein the storage device comprises at least one lookup 13 table: 14 executing a dynamic reconfiguration of the MD using one of, 15 the processing and storage capabilities of the MD; 16 the processing and storage capabilities of the server; and 17 processing and storage capabilities of the MD in conjunction with 18 processing and storage capabilities of the server; 19 the MD downloading from the server a macro command, wherein the macro 20 command enables the MD to control a specific intelligent device; 21 the MD dynamically reconfiguring to transmit and receive in a plurality of 22

2 of 11

PAGE 6/15 \* RCVD AT 12/4/2007 5:07:25 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/38 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):02-32

environments, comprising an office environment, a home environment, an Internet

Atty. Docket No.: IPHD:ru22

Serial No. 10/664,276

24	protocol (IP) environment, and a plurality of public carrier environments; and
25	the MD sensing an environment the MD is primarily operating in, and
26	maintaining an ability to switch instantaneously to a different environment.
1	37. (New) A system for dynamically configuring a mobile communication
2	device (MD), the system comprising:
3	a server comprising storage means for storing data, the data comprising a plurality
4	of functional instruction sets, content, and MD configuration software;
5	at least one dynamically configurable MD communicatively coupled to the server,
6	wherein the MD is configurable to remotely request a mode configuration of the server,
7	wherein the server configures the MD as one or more of a plurality of intelligent devices
8	comprising a cell phone, a remote TV controller, and a remote controller of a plurality of
9	devices;
10	means for configuring the MD for a selected set of functions including
11	communication, computation, command, sensing and control;
12	means for establishing the plurality of functional instructions for dynamic
13	reconfiguration of the MD from one of the selected sets of functions to another;
14	means for alternatively accessing one of the plurality of functional instruction sets
15	from a storage device on the MD, wherein the storage device comprises at least one
16	lookup table;
17	means for executing a dynamic reconfiguration of the MD using one of,
18	the processing and storage capabilities of the MD;
19	the processing and storage capabilities of the server; and
20	processing and storage capabilities of the MD in conjunction with
21	processing and storage capabilities of the server;
22	means for the MD to download from the server a macro command, wherein the
23	macro command enables the MD to control a specific intelligent device;
24	means for dynamically reconfiguring the MD to transmit and receive in a plurality
25	of environments, comprising an office environment, a home environment, an Internet
26	protocol (IP) environment, and a plurality of public carrier environments; and

Atty. Docket No.: IPHD., v22

-Serial No. 10/664,276

27	means for the MD to sense an environment the MD is primarily operating in, and
28	maintaining an ability to switch instantaneously to a different environment;
29	a Global Positioning System unit that allows the MD to know its exact location,
30	wherein the exact location of the MD is used for a plurality of functions, including
31	sensing one or more networks.

Atty. Docket No.: IPHD: v31

Serial No. 10/991,211

#### REMARKS

Claims 13-35 are pending in the application. Claims 13-35 have been canceled without prejudice herein. No claims have been allowed.

#### Rejections under 35 U.S.C. § 112

Claims 17, 18, 21, 22, 23, 30, and 32 were rejected under 35 U.S.C. § 112, second paragraph, as being indefinite. Applicants have canceled the indicated claims and submit that the new claims do not include the objected-to language. Applicants respectfully request withdrawal of the rejections.

### Rejections under 35 U.S.C. § 102

Claims 13-17 were rejected under 35 U.S.C. § 102, as being anticipated by Kim (U.S. Patent No. 6,546,002). Claims 13-17 have been canceled without prejudice.

Applicants respectfully submit that new claims 36 and 37 are not anticipated by Kim.

Kim discloses a system and method for using a mobile interface agent to dynamically access programs, applications, bookmarked URLs, IP addresses, telephone numbers, television channels, radio stations, user profiles, and the like that are specific to a user via any computer type device. The mobile interface agent can be accessible using any computer from any geographical location so long as the computer can be connected to a network. The mobile interface agent is basically an agent that allows the user to access documents, files, programs, applications, URL bookmarks, IP addresses, telephone numbers, television channels, radio stations, and other menu items from any computer. Kim also relates to a per user based licensing model that allows the user to remotely access and use computer programs. (Abstract).

Referring to Figure 3 for example, the MIA 102 interfaces with three sections (user I/O section 104, local memory section 106, network section 108) of the system. The MIA 102 is used to manage, access, retrieve, etc. information from the network and local memory. The MIA 102 is also used to initiate programs, applications, URL bookmarks, and other menu items, and can be implemented by way of software, firmware, or hardware. (column 6, lines 30-37). Kim is limited to teaching this interface agent that mercly facilitates access to data over a network. Kim does not teach anything regarding configuring or dynamically configuring a mobile device.

5 of 11

PAGE 9/15\* RCVD AT 12/4/2007 5:07:25 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/38 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):02-32

Atty. Docket No.: IPHD .. 31

Serial No. 10/991,211

Kim completely lacks any teaching or suggestion of storing data on a server, the data comprising a plurality of functional instruction sets, content, and mobile device (MD) configuration software; the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices; configuring the MD for a selected set of functions including communication, computation, command, sensing and control, as in claim 36. Therefore, Applicants respectfully submit that claims 36 and 37 are not anticipated by Kim.

Claim 29 is rejected under 35 U.S.C. § 102, as being anticipated by Seppanen, et al. (U.S. Patent No. 5,903,832). Claim 29 has been canceled without prejudice. Applicants respectfully submit that new claims 36 and 37 are not anticipated by Seppanen. Seppanen teaches a mobile terminal having enhanced system selection capability. A mobile station (10) maintains a single, prioritized list of all available networks (i.e., all public, residential, and private networks). Access to the various networks is then based on the user's needs. A first type of access is an automatic access, that requires little or no user involvement. A second type of access is to a user-specified network. A third type of access is to a user-specified service (e.g., data, fax, e-mail, etc.) that is supported by at least one of the networks. The mobile station can search for additional networks, and can also search for additional networks that support only a specified type of service, or for a network that supports a service not supported by networks that are already in the list. All of the networks can be searched at once so that the user can readily make a selection from the single, prioritized network list. The network priorities are user programmable by moving network names up and down in the list using a mobile station user interface, such as the mobile station's keypad. The higher the network name is placed in the list, the higher is the priority of the network. (Abstract).

Seppanen is limited to teaching enhanced system selection capability and manners of organizing lists of available networks so the user can easily choose among networks.

Seppanen fails to teach or disclose at least:

the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of

6 of 11

PAGE 10/15 \* RCVD AT 12/4/2007 5:07:25 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/38 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):02-32

Atty. Docket No.: IPHD. 1931

Serial No. 10/991,211

intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control; establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table:

executing a dynamic reconfiguration of the MD using one of, the processing and storage capabilities of the MD; the processing and storage capabilities of the server; and processing and storage capabilities of the MD in conjunction with processing and storage capabilities of the server ... (Claim 36)

For this reason, Applicants submit that claims 36 and 37 are not anticipated by Seppanen.

#### Rejections under 35 U.S.C. § 103

Claims 18 and 19 were rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of La Medica, Jr. et al. (U.S. Patent No. 6,625,451). Claims 18 and 19 have been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. La Medica was cited for teaching switching between communications methods. However La Medica does not supply the deficiencies of Kim in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. La Medica merely allows a choice of network (e.g., Figure 3), not sets of functions for the MD. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim and La Medica.

Claims 20-23 and 26-27 were rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of Harte, et al., CDMA IS-95 for Cellular and PCS, 1999. Claims 20-23 and 26-27 have been canceled without prejudice. Applicants respectfully

7 of 11

PAGE 11/15 \* RCVD AT 12/4/2007 5:07:25 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/38 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):02-32

Atty. Docket No.: IPHD. 131

Serial No. 10/991.211 ..

submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Harte was cited for teaching multiple limitations related to communication of the mobile device. However Harte does not supply the deficiencies of Kim in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim and Harte.

Claim 24 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of Harte and further in view of Sayers et al. (U.S. Patent No. 6,539,237). Claim 24 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Sayers does not supply the deficiencies of Kim and Harte in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim, Harte, and Sayers.

Claim 25 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of Harte and further in view of Chang et al. (U.S. Patent No. 6,529,491). Claim 25 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Chang was cited for including a GPS server (Claim 37 includes a reference to GPS, while claim 36 does not). However, Chang does not supply the deficiencies of Kim and Harte in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claim 37, Applicants submit that one of ordinary skill would not have been motivated to make the

8 of 11

PAGE 12/15 \* RCVD AT 12/4/2007 5:07:25 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/38 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):02-32

Atty. Docket No.; IPHD., u31

(Serial No. 10/991,211>

combination. For all of these reasons, Applicants submit that claim 37 would not have been obvious in view of the Kim, Harte, and Chang.

Claim 28 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of Harte, and further in view of La Medica, Jr. Claim 28 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Each of these references has been discussed with reference to the previous obviousness rejections. All of the references fail to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim, Harte, and La Medica.

Claim 30 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Seppanen in view of Kim. Claim 30 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The combination fails to yield the claimed invention. Each of the references fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Seppanen and Kim.

Claim 31 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Seppanen in view of Kim and further in view of Hall et al. (U.S. Patent No. 6,356,543). Claim 31 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Hall was cited for teaching emulating the mobile device. As emulation of a mobile device is not an element of the current claims, Applicants respectfully submit that this rejection is inapplicable.

Atty. Docket No.: IPHD., 31

Serial No. 10/991,211

Claim 32 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Seppanen in view of Rabe et al. (U.S. Patent No. 5,764,730) and further in view of Rautiola et al. (U.S. Patent No. 6,835,851). Claim 32 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The current claims do not include limitations directed to ID numbers, identification methods, or use of one or more telephone numbers. Therefore, Applicants respectfully submit that this rejection is inapplicable.

Claim 33 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Haartsen (U.S. Patent No. 6,112,088) in view of Stenman et al. (U.S. Patent No. 6,223,029). Claim 33 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The combination fails to yield the claimed invention. Each of the references fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Haartsen and Seppanen.

Claims 34-35 were rejected under 35 U.S.C. § 103(a), as being unpatentable over Haartsen in view of Stenman, and further in view of Rautiola. Claims 34 and 35 have been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The combination fails to yield the claimed invention. Each of the references fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Haartsen Stenman and Rautiola.

Atty. Docket No.: IPHD., v31

Serial No. 10/991,211

#### Conclusion

Applicants respectfully submit that the claims are allowable in view of the foregoing amendments and arguments.

If in the opinion of the Examiner, a telephone conference would expedite the prosecution of this application, the Examiner is encouraged to call the undersigned at (408) 342-1900.

Respectfully submitted,

Courtney Staniford & Gregory LLP

Date: October 3, 2007

Richard L. Gregory, Jr.

Reg. No. 42,607

Tel: 408-342-1900 Fax: 408-342-1909

Courtney Staniford & Gregory LLP P.O. Box 9686 San Jose, CA 95157

DEC 4 - 2007

Attorney Docket No. IPHD.P031

Patent

#### Transmittal of Response to Office Action

Certification Under 37 C.F.R. §1.8(a)

Transmitted to USPTO Central Facsimile No.

December 4, 2007 Date of Transmission

571-273-8300

I hereby certify that this document, and any other accompanying documents referred to herein are being transmitted via facsimile to the United States Patent Office to the Central Facsimile number on the date indicated above.

Barbara B. Courtney

(Print Name of Person Transmitting Facsimile)

Signature of Person Transmitting Facsimile)

To:

**USPTO** 

Art Unit 2617

Examiner: David Wang

From:

Barbara B. Courtney

Courtney Staniford & Gregory LLP

Phone: 408-342-1900 Fax: 408-342-1909

Fax:

571-273-8300

Pages:

15 including cover

Phone:

Date:

December 4, 2007

### RE: U.S. Patent Application No. 10/911,211

The following are submitted herewith on the date indicated above:

Resubmittal of the Amendment and Response to the Office Action mailed May 4, 2007 (originally faxed to 751-273-8300 on October 3, 2007); and

Copy of official receipt confirmation.

Confidentiality Note

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Atty. Docket No.: IPHD.P031

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Serial No. 10/991,211

DEC 4 - 2007

# IN THE UNITED STATES PATENT OFFICE

In Re	Patent Application of:	)
	Sunil K. Rao, et al.	) Examiner: David Wang
Applio	eation No. 10/991,211	) Art Unit: 2617 )
Filed:	October 13, 2004	)
For:	DYNAMICALLY CONFIGURABLE WIRELESS DEVICES	<u></u>

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# RESUBMITTAL OF AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111

Sir:

I received a telephone call from Examiner Wang on December 4, 2007 indicating that the present application would go abandoned for failure to respond to the outstanding office action. Applicants respectfully submit that a response to the office action was timely filed on October 3, 2007, but included an incorrect serial number. The original submission, along with the official confirmation (Auto-Reply Facsimile Transmission) is included with this transmission. The incorrect serial number is lined out and corrected on the copy of the original submission submitted herewith.

PAGE 2/15 \* RCVD AT 12/4/2007 5:07:25 PM [Eastern Standard Time] \* SVR:USPTO-EFXRF-6/38 \* DNIS:2738300 \* CSID:14083421909 \* DURATION (mm-ss):02-32

Atty. Docket No.: IPHD.P031

Serial No. 10/991,211

Applicants respectfully request that the application not be considered abandoned in consideration of the fact that the response was not matched with the file due to an error in the serial number indicated on the response.

Respectfully submitted,

Courtney Staniford & Gregory LLP

Date: December 4, 2007

Barbara B. Courtney Reg. No. 42442

Tel: 408-342-1902

Fax: 408-342-1909

Courtney Staniford & Gregory LLP P.O. Box 9686 San Jose, CA 95157

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PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED UNINTENTIONALLY UNDER 37 CFR 1.137(b)	Docket Number (Optional) IPHD.P031			
First named inventor: Raman K. Rao				
Application No.: 10/911,211 Art Unit: 2617				
Filed: October 13, 2004 Examiner: David	d Wang			
Title: Dynamically Configurable Wireless Devices				
Attention: Office of Petitions  Mail Stop Petition  Commissioner for Patents P.O. Box 1450  Alexandria, VA 22313-1450  FAX (571) 273-8300				
NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.				
The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United States Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the office notice or action plus an extensions of time actually obtained.				
APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION				
<ul> <li>NOTE: A grantable petition requires the following items: <ol> <li>Petition fee;</li> <li>Reply and/or issue fee;</li> <li>Terminal disclaimer with disclaimer fee - required for all utility and plant applications filed before June 8, 1995; and for all design applications; and</li> <li>Statement that the entire delay was unintentional.</li> </ol> </li> </ul>				
1.Petition fee  ✓ Small entity-fee \$ 770.00 (37 CFR 1.17(m)). Applicant claims small entity status. See 37 CFR 1.27.  Other than small entity – fee \$ (37 CFR 1.17(m))				
	y type of reply):			
has been filed previously on October 3, 2007 (with erroneous serial #) is enclosed herewith. RESUBMITTED				
B. The issue fee and publication fee (if applicable) of \$ has been paid previously on is enclosed herewith.				

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U S C 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 10 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Terminal disclaimer with disclaimer fee							
Since this utility/plant application was filed of	on or after June 8, 1995, no terminal disclaimer is required.						
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(d)) of \$ for a small entity or \$ for other than a small entity) disclaiming the required period of time is enclosed herewith (see PTO/SB/63).							
filing of a grantable petition under 37 CFR 1.137 Trademark Office may require additional informa	4. STATEMENT: The entire delay in filing the required reply from the due date for the required reply until the filing of a grantable petition under 37 CFR 1.137(b) was unintentional. [NOTE: The United States Patent and Trademark Office may require additional information if there is a question as to whether either the abandonment or the delay in filing a petition under 37 CFR 1.137(b) was unintentional (MPEP 711.03(c), subsections (III)(C) and (D)) 1						
	WARNING:						
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.							
An Committee	December 12, 2007						
Signature	Date						
Barbara B. Courtney	42,442						
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CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]  I hereby certify that this correspondence is being:  Deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Petition, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.							
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# IN THE UNITED STATES PATENT OFFICE

In Re	Patent Application of:	)
	Sunil K. Rao, et al.	) Examiner: David Wang ) ) Art Unit: 2617
Applic	eation No. 10/911,211	) Art Ollit. 2017
Filed:	October 13, 2004	)
For:	DYNAMICALLY CONFIGURABLE WIRELESS DEVICES	) _)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# **AMENDMENT AND RESPONSE UNDER 37 CFR § 1.111**

Sir:

This is in response to the office action mailed May 4, 2007. Please enter the following amendments and consider the following Remarks.

1

1

# IN THE CLAIMS:

Please amend the claims as indicated below.

Claims 1-12 (Previously canceled)

Claims 13-35 (Canceled)

1	36. (New) In a mobile communication system, a method for configuring a
2	mobile communication device (MD), the method comprising:
3	storing data on a server, the data comprising a plurality of functional instruction
4	sets, content, and MD configuration software;
5	the MD remotely requesting a mode configuration of the server, wherein the
6	server configures the MD as one or more of a plurality of intelligent devices comprising a
7	cell phone, a remote TV controller, and a remote controller of a plurality of devices;
8	configuring the MD for a selected set of functions including communication,
9	computation, command, sensing and control;
10	establishing the plurality of functional instructions for dynamic reconfiguration of
11	the MD from one of the selected sets of functions to another;
12	alternatively accessing one of the plurality of functional instruction sets from a
13	storage device on the MD, wherein the storage device comprises at least one lookup
14	table;
15	executing a dynamic reconfiguration of the MD using one of,
16	the processing and storage capabilities of the MD;
17	the processing and storage capabilities of the server; and
18	processing and storage capabilities of the MD in conjunction with
19	processing and storage capabilities of the server;
20	the MD downloading from the server a macro command, wherein the macro
21	command enables the MD to control a specific intelligent device;
22	the MD dynamically reconfiguring to transmit and receive in a plurality of
23	environments, comprising an office environment, a home environment, an Internet

24

25	the MD sensing an environment the MD is primarily operating in, and
26	maintaining an ability to switch instantaneously to a different environment.
1	37. (New) A system for dynamically configuring a mobile communication
	device (MD), the system comprising:
2	
3	a server comprising storage means for storing data, the data comprising a plurality
4	of functional instruction sets, content, and MD configuration software;
5	at least one dynamically configurable MD communicatively coupled to the server,
6	wherein the MD is configurable to remotely request a mode configuration of the server,
7	wherein the server configures the MD as one or more of a plurality of intelligent devices
8	comprising a cell phone, a remote TV controller, and a remote controller of a plurality of
9	devices;
10	means for configuring the MD for a selected set of functions including
11	communication, computation, command, sensing and control;
12	means for establishing the plurality of functional instructions for dynamic
13	reconfiguration of the MD from one of the selected sets of functions to another;
14	means for alternatively accessing one of the plurality of functional instruction sets
15	from a storage device on the MD, wherein the storage device comprises at least one
16	lookup table;
17	means for executing a dynamic reconfiguration of the MD using one of,
18	the processing and storage capabilities of the MD;
19	the processing and storage capabilities of the server; and
20	processing and storage capabilities of the MD in conjunction with
21	processing and storage capabilities of the server;
22	means for the MD to download from the server a macro command, wherein the
23	macro command enables the MD to control a specific intelligent device;
24	means for dynamically reconfiguring the MD to transmit and receive in a plurality
25	of environments, comprising an office environment, a home environment, an Internet
26	protocol (IP) environment, and a plurality of public carrier environments; and

protocol (IP) environment, and a plurality of public carrier environments; and

27	means for the MD to sense an environment the MD is primarily operating in, and
28	maintaining an ability to switch instantaneously to a different environment;
29	a Global Positioning System unit that allows the MD to know its exact location,
30	wherein the exact location of the MD is used for a plurality of functions, including
31	sensing one or more networks.

#### REMARKS

Claims 13-35 are pending in the application. Claims 13-35 have been canceled without prejudice herein. Claims 36 and 37 have been added herein. No claims have been allowed.

### Rejections under 35 U.S.C. § 112

Claims 17, 18, 21, 22, 23, 30, and 32 were rejected under 35 U.S.C. § 112, second paragraph, as being indefinite. Applicants have canceled the indicated claims and submit that the new claims do not include the objected-to language. Applicants respectfully request withdrawal of the rejections.

### Rejections under 35 U.S.C. § 102

Claims 13-17 were rejected under 35 U.S.C. § 102, as being anticipated by Kim (U.S. Patent No. 6,546,002). Claims 13-17 have been canceled without prejudice. Applicants respectfully submit that new claims 36 and 37 are not anticipated by Kim.

Kim discloses a system and method for using a mobile interface agent to dynamically access programs, applications, bookmarked URLs, IP addresses, telephone numbers, television channels, radio stations, user profiles, and the like that are specific to a user via any computer type device. The mobile interface agent can be accessible using any computer from any geographical location so long as the computer can be connected to a network. The mobile interface agent is basically an agent that allows the user to access documents, files, programs, applications, URL bookmarks, IP addresses, telephone numbers, television channels, radio stations, and other menu items from any computer. Kim also relates to a per user based licensing model that allows the user to remotely access and use computer programs. (Abstract).

Referring to Figure 3 for example, the MIA 102 interfaces with three sections (user I/O section 104, local memory section 106, network section 108) of the system. The MIA 102 is used to manage, access, retrieve, etc. information from the network and local memory. The MIA 102 is also used to initiate programs, applications, URL bookmarks, and other menu items, and can be implemented by way of software, firmware, or hardware. (column 6, lines 30-37). Kim is limited to teaching this interface agent that merely facilitates access to data over a network. Kim does not teach anything regarding

configuring or dynamically configuring a mobile device.

Kim completely lacks any teaching or suggestion of storing data on a server, the data comprising a plurality of functional instruction sets, content, and mobile device (MD) configuration software; the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices; configuring the MD for a selected set of functions including communication, computation, command, sensing and control, as in claim 36. Therefore, Applicants respectfully submit that claims 36 and 37 are not anticipated by Kim.

Claim 29 is rejected under 35 U.S.C. § 102, as being anticipated by Seppanen, et al. (U.S. Patent No. 5,903,832). Claim 29 has been canceled without prejudice. Applicants respectfully submit that new claims 36 and 37 are not anticipated by Seppanen. Seppanen teaches a mobile terminal having enhanced system selection capability. A mobile station (10) maintains a single, prioritized list of all available networks (i.e., all public, residential, and private networks). Access to the various networks is then based on the user's needs. A first type of access is an automatic access, that requires little or no user involvement. A second type of access is to a user-specified network. A third type of access is to a user-specified service (e.g., data, fax, e-mail, etc.) that is supported by at least one of the networks. The mobile station can search for additional networks, and can also search for additional networks that support only a specified type of service, or for a network that supports a service not supported by networks that are already in the list. All of the networks can be searched at once so that the user can readily make a selection from the single, prioritized network list. The network priorities are user programmable by moving network names up and down in the list using a mobile station user interface, such as the mobile station's keypad. The higher the network name is placed in the list, the higher is the priority of the network. (Abstract).

Seppanen is limited to teaching enhanced system selection capability and manners of organizing lists of available networks so the user can easily choose among networks. Seppanen fails to teach or disclose at least:

the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table;

executing a dynamic reconfiguration of the MD using one of, the processing and storage capabilities of the MD; the processing and storage capabilities of the server; and processing and storage capabilities of the MD in conjunction with processing and storage capabilities of the server ... (Claim 36)

For this reason, Applicants submit that claims 36 and 37 are not anticipated by Seppanen.

### Rejections under 35 U.S.C. § 103

Claims 18 and 19 were rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of La Medica, Jr. et al. (U.S. Patent No. 6,625,451). Claims 18 and 19 have been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. La Medica was cited for teaching switching between communications methods. However La Medica does not supply the deficiencies of Kim in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. La Medica merely allows a choice of network (e.g., Figure 3), not sets of functions for the MD. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim and La Medica.

Claims 20-23 and 26-27 were rejected under 35 U.S.C. § 103(a), as being

unpatentable over Kim in view of Harte, et al., *CDMA IS-95 for Cellular and PCS*, 1999. Claims 20-23 and 26-27 have been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Harte was cited for teaching multiple limitations related to communication of the mobile device. However Harte does not supply the deficiencies of Kim in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim and Harte.

Claim 24 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of Harte and further in view of Sayers et al. (U.S. Patent No. 6,539,237). Claim 24 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Sayers does not supply the deficiencies of Kim and Harte in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim, Harte, and Sayers.

Claim 25 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of Harte and further in view of Chang et al. (U.S. Patent No. 6,529,491). Claim 25 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Chang was cited for including a GPS server (Claim 37 includes a reference to GPS, while claim 36 does not). However, Chang does not supply the deficiencies of Kim and Harte in that it fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed.

Because the proposed combination does not result in the invention of claim 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claim 37 would not have been obvious in view of the Kim, Harte, and Chang.

Claim 28 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Kim in view of Harte, and further in view of La Medica, Jr. Claim 28 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Each of these references has been discussed with reference to the previous obviousness rejections. All of the references fail to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Kim, Harte, and La Medica.

Claim 30 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Seppanen in view of Kim. Claim 30 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The combination fails to yield the claimed invention. Each of the references fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Seppanen and Kim.

Claim 31 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Seppanen in view of Kim and further in view of Hall et al. (U.S. Patent No. 6,356,543). Claim 31 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. Hall was cited for teaching emulating the mobile device. As emulation of a mobile

device is not an element of the current claims, Applicants respectfully submit that this rejection is inapplicable.

Claim 32 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Seppanen in view of Rabe et al. (U.S. Patent No. 5,764,730) and further in view of Rautiola et al. (U.S. Patent No. 6,835,851). Claim 32 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The current claims do not include limitations directed to ID numbers, identification methods, or use of one or more telephone numbers. Therefore, Applicants respectfully submit that this rejection is inapplicable.

Claim 33 was rejected under 35 U.S.C. § 103(a), as being unpatentable over Haartsen (U.S. Patent No. 6,112,088) in view of Stenman et al. (U.S. Patent No. 6,223,029). Claim 33 has been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The combination fails to yield the claimed invention. Each of the references fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Haartsen and Seppanen.

Claims 34-35 were rejected under 35 U.S.C. § 103(a), as being unpatentable over Haartsen in view of Stenman, and further in view of Rautiola. Claims 34 and 35 have been canceled without prejudice. Applicants respectfully submit that claims 36 and 37 would not have been obvious in view of the suggested combination. The combination fails to yield the claimed invention. Each of the references fails to teach or suggest at least configuring the MD for a selected set of functions including communication, computation, command, sensing and control as claimed. Because the proposed combination does not result in the invention of claims 36 and 37, Applicants submit that one of ordinary skill would not have been motivated to make the combination. For all of

these reasons, Applicants submit that claims 36 and 37 would not have been obvious in view of the Haartsen Stenman and Rautiola.

### Conclusion

Applicants respectfully submit that the claims are allowable in view of the foregoing amendments and arguments.

If in the opinion of the Examiner, a telephone conference would expedite the prosecution of this application, the Examiner is encouraged to call the undersigned at (408) 342-1900.

Respectfully submitted,

Courtney Staniford & Gregory LLP

Date: December 12, 2007

Barbara B. Courtney

Reg. No. 42,442

Tel: 408-342-1900 Fax: 408-342-1909

Courtney Staniford & Gregory LLP P.O. Box 9686 San Jose, CA 95157

Electronic Patent Application Fee Transmittal					
Application Number:		10911211			
Filing Date:		13-Oct-2004			
Title of Invention:		Dynamically configurable IP based wireless device and wireless networks			
First Named Inventor/Applicant Name:	Ra	Raman K. Rao			
Filer:		Barbara B. Courtney/Jerry Donnard			
Attorney Docket Number:	IPHD.P031				
Filed as Small Entity					
Utility Filing Fees					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Miscellaneous-Filing:					
Petition:					
Petition-revive unintent. abandoned appl		2453	1	770	770
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					
Extension-of-Time:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Total in USD (\$) 77			770	

Electronic Acknowledgement Receipt				
EFS ID:	2582711			
Application Number:	10911211			
International Application Number:				
Confirmation Number:	7409			
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks			
First Named Inventor/Applicant Name:	Raman K. Rao			
Customer Number:	53186			
Filer:	Barbara B. Courtney/Jerry Donnard			
Filer Authorized By:	Barbara B. Courtney			
Attorney Docket Number:	IPHD.P031			
Receipt Date:	12-DEC-2007			
Filing Date:	13-OCT-2004			
Time Stamp:	18:34:12			
Application Type:	Utility under 35 USC 111(a)			

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2	r ee worksneet (i 10-00)	100 1110.001	af8952dbc763ac754b3af3cb83b5f8ec0 e3d54cd	110		
2	Fee Worksheet (PTO-06)	fee-info.pdf	8177	no	2	
Information:						
Warnings:						
	Amendment - After Non-Final Rejection		4	14		
	Petition for review by the Office of Petitions.		2	3		
	Miscellaneous Incoming Letter		1	1		
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	Multipart Description/PDF files in .zip description					
1		31.pdf	521b01d0ec1e763ac157a44d36b706a 1 odcfa034	yes	14	
1		Petition_To_Revive_IPHDP0	1304673	V05	14	
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Attorney Docket No. IPHD.P031

Patent

# **Transmittal of Documents**

Certification Under 37 C.F.R. §1.8(a)

December 12, 2007

Date of Transmission

Transmitted via
USPTO EFS

I hereby certify that this document, and any other accompanying documents referred to herein are being transmitted to the United States Patent Office via EFS in accordance with 37 C.F.R. §1.6(a)(4) on the date indicated above.

Jerry Donnard

(Print Name of Person Transmitting Documents)

(Signature of Person Transmitting Documents)

Petition for Revival of an Application for Patent Abandoned Unintentionally Under 37 C.F.R. §1.137(b);

Amendment and Response Under 37 C.F.R. §1.116(b); Electronic payment of filing fee.

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application or Docket Number Filing Date PATENT APPLICATION FEE DETERMINATION RECORD 10/911,211 10/13/2004 To be Mailed Substitute for Form PTO-875 APPLICATION AS FILED - PART I OTHER THAN (Column 1) (Column 2) SMALL ENTITY X OR SMALL ENTITY NUMBER FILED NUMBER EXTRA RATE (\$) FEE (\$) FEE (\$) FOR RATE (\$) ☐ BASIC FEE N/A N/A N/A N/A SEARCH FEE N/A N/A N/A N/A (37 CFR 1.16(k), (i), or (m)) **EXAMINATION FEE** N/A N/A N/A N/A (37 CFR 1.16(o), (p), or (q) TOTAL CLAIMS OR minus 20 = X \$ X \$ (37 CFR 1.16(i)) INDEPENDENT CLAIMS X \$ X \$ minus 3 = (37 CFR 1.16(h)) If the specification and drawings exceed 100 sheets of paper, the application size fee due ☐ APPLICATION SIZE FEE is \$250 (\$125 for small entity) for each (37 CFR 1.16(s)) additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s) MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j)) \* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL TOTAL APPLICATION AS AMENDED - PART II OTHER THAN SMALL ENTITY OR SMALL ENTITY (Column 1) (Column 2) (Column 3) CLAIMS **HIGHEST** REMAINING PRESENT ADDITIONAL ADDITIONAL RATE (\$) 12/04/2007 RATE (\$) PREVIOUSLY **EXTRA** FEE (\$) FEE (\$) ENDMEN AMENDMENT PAID FOR Total (37 CFR \*\* 23 \* 2 Minus = 0 X \$25 = 0 OR X \$ Independent (37 CFR 1.16(h) = 0 \* 2 \*\*\*4 0 Minus OR X \$105 = X \$ Application Size Fee (37 CFR 1.16(s)) FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) OR TOTAL TOTAL ADD'L 0 OR ADD'L FEE (Column 1) (Column 2) (Column 3) CLAIMS HIGHEST PRESENT ADDITIONAL ADDITIONAL REMAINING NUMBER 12/12/2007 RATE (\$) RATE (\$) PREVIOUSLY **EXTRA** FEE (\$) **AFTER** FEE (\$) AMENDMENT Total (37 CFR \* 2 Minus \*\* 23 = 0 X \$25 = 0 OR X \$ AMENDMEN \*\*\* 4 = 0 X \$105 = 0 OR X \$ Application Size Fee (37 CFR 1.16(s)) OR FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j)) TOTAL TOTAL 0 ADD'L OR ADD'L **FFF** \* If the entry in column 1 is less than the entry in column 2, write "0" in column 3. Legal Instrument Examiner: \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20". shirell m. carmichael \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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COURTNEY STANIFORD & GREGORY LLP P.O. BOX 9686 SAN JOSE CA 95157

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JAN 1 7 2008

# OFFICE OF PETITIONS

In re Application of

Rao et al.

Application Number: 10/911211

DECISION ON PETITION

Filing Date: 10/13/2004 Attorney Docket Number:

IPHD.P031

This is a decision on the petition filed on December 12, 2007, under 37 CFR 1.137(b), 1 to revive the above-identified application.

The petition is GRANTED.

The application became abandoned on August 5, 2007, for failure to file a response to the non-final Office action mailed on May 4, 2007, which set a three (3) month shortened statutory period for reply. No extensions of the time for reply in accordance with 37 CFR 1.136(a) were obtained. The filing of the present petition precedes the mailing of Notice of Abandonment.

Receipt of the amendment filed in response to the non-final Office action is acknowledged.

 $<sup>^{1}</sup>$  Effective December 1, 1997, the provisions of 37 CFR 1.137(b) now provide that where the delay in reply was unintentional, a petition may be filed to revive an abandoned application or a lapsed patent pursuant to 37 CFR 1.137(b). A grantable petition filed under the provisions of 37 CFR 1.137(b) must be accompanied by:

<sup>(1)</sup> the required reply, unless previously filed. In a nonprovisional application abandoned for failure to prosecute, the required reply may be met by the filing of a continuing application. In a nonprovisional utility or plant application filed on or after June 8, 1995, and abandoned for failure to prosecute, the required reply may also be met by the filing of a request for continuing examination in compliance with § 1.114. In an application or patent, abandoned or lapsed for failure to pay the issue fee or any portion thereof, the required reply must be the payment of the issue fee or any outstanding balance thereof. In an application abandoned for failure to pay the publication fee, the required reply must include payment of the publication fee.

<sup>(2)</sup> the petition fee as set forth in 37 CFR 1.17(m);

<sup>(3)</sup> a statement that the entire delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. The Commissioner may required additional information where there is a question whether the delay

<sup>(4)</sup> any terminal disclaimer (and fee as set forth in 37 CFR 1.20(d)) required pursuant to 37 CFR 1.137(c)).

The application is being referred to Technology Center Art Unit 2617 for further processing.

Telephone inquiries concerning this matter may be directed to the undersigned at (571)272-3231.

Douglas I. Wood

Senior Petitions Attorney

Office of Petitions



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION N		
10/911,211	10/13/2004 Raman K. Rao		IPHD.P031	7409	
53186 7590 03/24/2008 COURTNEY STANIFORD & GREGORY LLP			EXAMINER		
P.O. BOX 9686 SAN JOSE, CA 95157			WANG, DAVID		
			ART UNIT		
			2617		
			MAIL DATE	DELIVERY MODE	
			03/24/2008	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)		
Office Action Occurrence	10/911,211	RAO ET AL.		
Office Action Summary	Examiner	Art Unit		
	DAVID WANG	2617		
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet wi	th the correspondence address		
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period versilure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNION (36(a). In no event, however, may a rewill apply and will expire SIX (6) MON (cause the application to become AB	CATION. eply be timely filed THS from the mailing date of this communication. ANDONED (35 U.S.C. § 133).		
Status				
<ol> <li>Responsive to communication(s) filed on <u>04 December 2007</u>.</li> <li>This action is <b>FINAL</b>. 2b) This action is non-final.</li> <li>Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 C.D. 11, 453 O.G. 213.</li> </ol>				
Disposition of Claims				
4) Claim(s) 1-37 is/are pending in the application.  4a) Of the above claim(s) 1-35 is/are withdrawn from consideration.  5) Claim(s) is/are allowed.  6) Claim(s) 36-37 is/are rejected.  7) Claim(s) is/are objected to.  8) Claim(s) are subject to restriction and/or election requirement.				
Application Papers				
<ul> <li>9) The specification is objected to by the Examiner.</li> <li>10) The drawing(s) filed on is/are: a) accepted or b) objected to by the Examiner.  Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).</li> <li>11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.</li> </ul>				
Priority under 35 U.S.C. § 119				
<ul> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).</li> <li>* See the attached detailed Office action for a list of the certified copies not received.</li> </ul>				
Attachment(s)  1) Notice of References Cited (PTO-892)  2) Notice of Draftsperson's Patent Drawing Review (PTO-948)  3) Information Disclosure Statement(s) (PTO/SB/08)  Paper No(s)/Mail Date	Paper No(s	summary (PTO-413) s)/Mail Date nformal Patent Application 		

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06) Application/Control Number: 10/911,211 Page 2

Art Unit: 2617

### FINAL REJECTION

### Response to Amendment

### Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 3. Claim 36 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kim et al. (US 6,546,002 B1) in view of Tate et al. (US 6,493,751 B1).
- 4. Re claim 36, Kim teaches:

Storing data on a server (dB 136, Kim et al. Fig. 15), the data comprising a plurality of functional instruction sets, content, and MD configuration software (Profile Data 138a, Kim et al. Fig. 15);

The MD remotely requesting a mode configuration of the server (requesting a profile via Profile Manager 134a, Kim et al. Fig. 15), wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone (cellular

Art Unit: 2617

to an environment:

telephone 1525, Kim et al. Fig. 15), a remote TV controller, and a remote controller of a plurality of devices;

Configuring the MD for a selected set of functions including communication (user identification 170 for communication, Kim et al. Fig. 3), computation (output 122, 124, 126, 128, 130, Kim et al. Fig. 3), command (user interface input 110, Kim et al. Fig. 3), sensing and control (input events 118, 120, Kim et al. Fig. 3);

Although Kim teaches reconfiguration of a menu-interface (Kim et al. abstract) to remotely access another computer, Kim may not pictorially teach dynamic

Establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another (selecting a different mobile configuration, Tate et al. Fig. 3);

reconfiguration. Instead, Tate better teaches dynamic reconfiguration, even preferable

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to dynamically reconfigure a device so as to avoid "...cumbersome and nonintuitive reconfiguration by a user" (Tate et al. c4 14-21). Tate further teaches:

Alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD (local registry storage, Tate et al. c8 25-49), wherein the storage device comprises at least one lookup table (tables in mobile configurations, Tate et al. Fig. 3);

Executing a dynamic reconfiguration of the MD using one of,

Art Unit: 2617

The processing and storage capabilities of the MD (user creating a profile on the device, Tate et al. c4 35-49);

The processing and storage capabilities of the server; and

Processing and storage capabilities of the MD in conjunction with

processing and storage capabilities of the server;

The MD downloading from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device (importing a mobile configuration, Tate et al. c4 50-64);

The MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment (office in Lake City in mobile configuration #2, Tate et al. Fig. 3), a home environment (working from home in mobile configuration #1, Tate et al. Fig. 3), an Internet protocol (IP) environment, and a plurality of public carrier environments; and

The MD sensing an environment the MD is primarily operating in (via location profile info 64, Tate et al. Fig. 4), and maintaining an ability to switch instantaneously to a different environment (switching between modem and LAN mobile configurations, Tate et al. Fig. 3).

- 5. Claim 37 is rejected under 35 U.S.C. 103(a) as being unpatentable over Kim et al. (US 6,546,002 B1) in view of Tate et al. (US 6,493,751 B1) and further in view of Watts et al. (US 6,119,186).
- 6. Re claim 37, Kim teaches:

Art Unit: 2617

A server comprising storage means for storing data (dB 136, Kim et al. Fig. 15), the data comprising a plurality of functional instruction sets, content, and MD configuration software (Profile Data 138a, Kim et al. Fig. 15);

At least one dynamically configurable MD communicatively coupled to the server, wherein the MD is configurable to remotely request a mode configuration of the server (requesting a profile via Profile Manager 134a, Kim et al. Fig. 15), wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone cellular telephone 1525, Kim et al. Fig. 15), a remote TV controller, and a remote controller of a plurality of devices;

Means for configuring the MD for a selected set of functions including communication (user identification 170 for communication, Kim et al. Fig. 3), computation (output 122, 124, 126, 128, 130, Kim et al. Fig. 3), command (user interface input 110, Kim et al. Fig. 3), sensing and control (input events 118, 120, Kim et al. Fig. 3);

Although Kim teaches reconfiguration of a menu-interface (Kim et al. abstract) to remotely access another computer, Kim may not pictorially teach dynamic reconfiguration. Instead, Tate better teaches dynamic reconfiguration, even preferable to an environment:

Means for establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another (selecting a different mobile configuration, Tate et al. Fig. 3);

Art Unit: 2617

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to dynamically reconfigure a device so as to avoid "...cumbersome and nonintuitive reconfiguration by a user" (Tate et al. c4 14-21). Tate further teaches:

Means for alternatively accessing on of the plurality of functional instruction sets from a storage device on the MD (local registry storage, Tate et al. c8 25-49), wherein the storage device comprises at least one lookup table (tables in mobile configurations, Tate et al. Fig. 3);

Means for executing a dynamic reconfiguration of the MD using one of,

The processing and storage capabilities of the MD (user creating a profile on the device, Tate et al. c4 35-49);

The processing and storage capabilities of the server; and

Processing and storage capabilities of the MD in conjunction with processing and storage capabilities of the server;

Means for the MD to download from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device (importing a mobile configuration, Tate et al. c4 50-64);

Means for dynamically reconfiguring the MD to transmit and receive in a plurality of environments, comprising an office environment (office in Lake City in mobile configuration #2, Tate et al. Fig. 3), a home environment (working from home in mobile configuration #1, Tate et al. Fig. 3), an Internet protocol (IP) environment, and a plurality of public carrier environments; and

Art Unit: 2617

Means for the MD to sense an environment the MD is primarily operating in (via location profile info 64, Tate et al. Fig. 4), and maintaining an ability to switch instantaneously to a different environment (switching between modem and LAN mobile configurations, Tate et al. Fig. 3);

The prior art teaches location based reconfiguration, but does not specifically mention GPS. However, Watts teaches the use of GPS for detecting and responding to changing environmental conditions such that:

A Global Positioning System unit that allows the MD to know its exact location, wherein the exact location of the MD is used for a plurality of functions, including sensing one or more networks (GPS, Watts et al. c4 14-41).

Therefore, it would have been obvious to a person having ordinary skill in the art at the time the invention was made to use GPS to determine location to provide a computer "...which detects changes in an environment associated with the computer, determines an optimum configuration based on the new environment and modifies the configuration of the computer based on the optimum configuration" (Watts et al. c2 11-16).

# Response to Arguments

7. Applicant's arguments with respect to claims 36, 37 have been considered but are most in view of the new ground(s) of rejection.

Application/Control Number: 10/911,211 Page 8

Art Unit: 2617

### Conclusion

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DAVID WANG whose telephone number is (571)270-1214. The examiner can normally be reached on M - F 10 AM - 4 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Duc Nguyen can be reached on 571.272.7503. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2617

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

David Wang

14 March 2008

/Duc Nguyen/

Supervisory Patent Examiner, Art Unit 2617

		Notice of Reference	se Citod		Application/0	Control No.	Applicant(s)/l Reexamination	Patent Under on
		Notice of Reference	es Citeu		Examiner		Art Unit	Dama 4 af 4
					DAVID WAN	IG	2617	Page 1 of 1
				U.S. P	ATENT DOCUM	ENTS		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY			Name		Classification
*	Α	US-6,119,186 A	09-2000	Watts	et al.			710/104
*	В	US-6,493,751 B1	12-2002	Tate e	al.			709/221
	С	US-						
	D	US-						
	Е	US-						
	F	US-						
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"A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20080314

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
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☐ Claims	renumbered	in the same o	order as pr	esented by a	applicant		□ СРА	□ т.с	D. 🗆	R.1.47
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Final	Original	03/14/2008								
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U.S. Patent and Trademark Office

Part of Paper No.: 20080314

	Index of Cla	ims	Application/0	Control I	No.	Applica Reexam RAO ET	ninatio		ent Under
			<b>Examiner</b> DAVID WANG	6		Art Unit	t		
<b>✓</b>	✓ Rejected -		Cancelled	N	Non-Ele	ected	<b>A</b>		Appeal
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☐ Claims r	Claims renumbered in the same order as presented by applicant						□ СРА	□ т.с	). 🗆	R.1.47
CLAIM						DATE				
Final	Original	03/14/2008								
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U.S. Patent and Trademark Office Part of Paper No.: 20080314

# Search Notes



Application/Control No.	Applicant(s)/Patent Under Reexamination
10911211	RAO ET AL.
Examiner	Art Unit
DAVID WANG	2617

	SEARCHED		
Class	Subclass	Date	Examiner
455	461	3/14/2008	DW
709	221	3/14/2008	DW
710	104	3/14/2008	DW

SEARCH NOTES		
Search Notes	Date	Examiner
please see attached	3/14/2008	DW
consulted Duc Nguyen SPE regarding the use of the Logitech Harmony remote controller	3/11/2008	DW

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner

U.S. Patent and Trademark Office Part of Paper No.: 20080314

# EAST Search History

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	998	(configur\$6 re\$1configur\$6) near5 (office home) near5 (location environment setting profile)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:44
S2	487	S1 and ("370".clas. "455". clas. "709".clas.)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:44
S3	56	S1 and (look\$1up near5 (table list memory cache))	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:45
S4	30	S2 and S3	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:45
S5	12	(configur\$6 re\$1configur\$6) near5 (sens\$4) near5 (office home) near5 (location environment setting profile)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:47
S6	367	S1 and (@pd<"20000609" @ad<"20000609" @rlad<"20000609")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:49
S7	199	S2 and S6	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:49
S8	332	(configur\$6 re\$1configur\$6) same sens\$4 same (office home) near5 (location environment setting profile)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:50
S9	83	S8 and (@pd<"20000609" @ad<"20000609" @rlad<"20000609")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:50
S10	61	S8 and ("370".clas. "455". clas. "709".clas.)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:51
S11	20	S9 and S10	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:51

S12	63	S9 not S11	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 10:54
S13	191	(configur\$6 re\$1configur\$6 chang\$4 switch\$4) near5 (profile setting config configuration) near5 (office home) near5 (location position environment)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 11:10
S14	105	S13 and (@pd<"20000609" @ad<"20000609" @rlad<"20000609")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 11:11
S15	83	S13 and ("370".clas. "455". clas. "709".clas.)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 11:11
S16	29	S14 and S15	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 11:11
S17	76	S14 not S16	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 11:15
S18	498	455/461.ccls.	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 12:48
S19	91	\$18 and (configur\$6 re \$1configur\$6 chang\$4 switch \$4) same (profile setting config configuration) same (office home)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 13:01
S20	10	\$18 and (configur\$6 re \$1configur\$6 chang\$4 switch \$4) near5 (profile setting config configuration) near5 (office home)	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 13:01
S21	269	S18 and (@pd<"20000609" @ad<"20000609" @rlad<"20000609")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 13:02
S22	57	S19 and S21	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2008/03/14 13:02

S23	2	"6493751".pn. "6961762".	US-PGPUB;	OR	ON	2008/03/14
		pn.	USPAT; FPRS;			15:28
			EPO; JPO;			
			IBM_TDB			

3/16/2008 6:28:22 PM



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Raman K. Rao	IPHD.P031	7409
	7590 12/19/200 TANIFORD & GREG		EXAM	IINER
P.O. BOX 9686 SAN JOSE, CA	<u> </u>	WANG, DAVID		
SAN JOSE, CA	X 93137		ART UNIT	PAPER NUMBER
		2617		
			MAIL DATE	DELIVERY MODE
			12/19/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)							
	10/911,211	RAO ET AL.							
Notice of Abandonment	Examiner	Art Unit							
	DAVID WANG	2617							
The MAILING DATE of this communication app									
This application is abandoned in view of:									
Applicant's failure to timely file a proper reply to the Office     (a) ☐ A reply was received on (with a Certificate of N	Mailing or Transmission dated								
period for reply (including a total extension of time of month(s)) which expired on  (b) A proposed reply was received on, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.									
(b) A proposed reply was received on, but it does not constitute a proper reply under 37 CFR 1.113 (a) to the final rejection.  (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the									
application in condition for allowance; (2) a timely filed Continued Examination (RCE) in compliance with 37 (	Notice of Appeal (with appeal fee);								
(c) A reply was received on but it does not constitution final rejection. See 37 CFR 1.85(a) and 1.111. (See		mpt at a proper reply, to the non-							
(d) ⊠ No reply has been received.									
from the mailing date of the Notice of Allowance (PTOL-8	2. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85).								
	(a) The issue fee and publication fee, if applicable, was received on (with a Certificate of Mailing or Transmission dated), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85).								
(b) ☐ The submitted fee of \$ is insufficient. A balance	e of \$ is due.								
The issue fee required by 37 CFR 1.18 is \$	The publication fee, if required by 37	CFR 1.18(d), is \$							
(c) ☐ The issue fee and publication fee, if applicable, has no	ot been received.								
3. Applicant's failure to timely file corrected drawings as requ Allowability (PTO-37).	uired by, and within the three-month p	period set in, the Notice of							
(a) ☐ Proposed corrected drawings were received on after the expiration of the period for reply.	_ (with a Certificate of Mailing or Tran	smission dated), which is							
(b) ☐ No corrected drawings have been received.									
4. The letter of express abandonment which is signed by the the applicants.	e attorney or agent of record, the ass	ignee of the entire interest, or all of							
5. The letter of express abandonment which is signed by ar 1.34(a)) upon the filing of a continuing application.	n attorney or agent (acting in a repres	entative capacity under 37 CFR							
6. The decision by the Board of Patent Appeals and Interfer of the decision has expired and there are no allowed clair		e the period for seeking court review							
7. ☑ The reason(s) below:									
Han Le (chugach) contacted attorney Barbara Courtney handling the application on 28 October 2008 and verified that no response has been submitted.									
/Alexander Eisen/ Supervisory Patent Examiner, Art Unit 2617									
Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdra minimize any negative effects on patent term.	aw the holding of abandonment under 37	CFR 1.181, should be promptly filed to							
U.S. Patent and Trademark Office PTOL-1432 (Rev. 04-01)  Notice of	of Abandonment	Part of Paper No. 20081216							

Doc Code: PET.POA.WDRW

Document Description: Petition to withdraw attorney or agent (SB83)

PTO/SB/83 (11-08)

Approved for use through 11/30/2011. OMB 0651-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
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REQUEST FOR WITHDRAWAL **AS ATTORNEY OR AGENT** AND CHANGE OF **CORRESPONDENCE ADDRESS** 

Application Number	10/911,211
Filing Date	October 13, 2004
First Named Inventor	Raman K. Rao
Art Unit	2617
Examiner Name	Wang, David
Attorney Docket Number	IPHD.P031

To: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450									
Please withdraw me as attorney or agent for the above identified patent application, and									
all the practitioners of record;									
the practitioners (with registration numbers) of record listed on the attached paper(s); or									
the practitioners of record associated with Customer Number:53186									
NOTE: The immediately preceding box should only be marked when the practitioners were appointed using the listed Customer Number.									
The reason(s) for this request are those described in 37 CFR:									
10 40(b)(1) 10.40(b)(2) 10.40(b)(3) 10.40(b)(4)									
10.40(c)(1)(i) 10.40(c)(1)(ii) 10.40(c)(1)(iii) 10.40(c)(1)(iv)									
10.40(c)(1)(v) 10.40(c)(1)(vi) 10.40(c)(2) 10.40(c)(3)									
10.40(c)(4) 10.40(c)(5) 10.40(c)(6) Please explain below:									
Certifications									
Check each box below that is factually correct. WARNING: If a box is left unchecked, the request will likely not be approved.									
1. I/We have given reasonable notice to the client, prior to the expiration of the response period, that the practitioner(s) intend to withdraw from employment.									
2. I/We have delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled.									
3. I/We have notified the client of any responses that may be due and the time frame within which the client must respond.									
Please provide an explanation, if necessary:									

[Page 1 of 2]

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA. 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

AS ATTORNEY OR AGENT AND CHANGE OF CORRESPONDENCE ADDRESS									
Complete the following section only when the correspondence address will change. Changes of address will only be accepted to an inventor or an assignee that has properly made itself of record pursuant to 37 CFR 3.71.									
Change the correspondence address and direct all future correspondence to:									
A. The	e address of the	nventor or assign	ee associated with	Customer	Number:				
OR									
I - ! ./	B. Inventor or Assignee name IP Holdings, Inc.								
Address	Address 3099 Alexis Drive								
City Palo	Alto	State CA		Zip 9430	4		Country US		
Telephone	650-906-37	'55	Em	mail					
I am autho	orized to sign o	n behalf of myse	elf and all withdra	wing prac	titioners				
Signature	/Richard L. G	regory, Jr./							
Name	Richard L. Gr	egory, Jr.			Registration	No. 4	2,607		
Address	PO Box 9686								
City San	Jose	State CA		Zip 9515	57	Count	try US		
Date	Date July 22, 2009 Telephone No. 408-342-1900								
NOTE: Witho	Irawal is effective	when approved ra	ther than when rece	eived.					

[Page 2 of 2]
This collection of information is required by 37 CFR 1.36 The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14 This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

Electronic Acknowledgement Receipt					
EFS ID:	5752985				
Application Number:	10911211				
International Application Number:					
Confirmation Number:	7409				
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks				
First Named Inventor/Applicant Name:	Raman K. Rao				
Customer Number:	53186				
Filer:	Richard L. Gregory/Jerry Donnard				
Filer Authorized By:	Richard L. Gregory				
Attorney Docket Number:	IPHD.P031				
Receipt Date:	22-JUL-2009				
Filing Date:	13-OCT-2004				
Time Stamp:	20:25:12				
Application Type:	Utility under 35 USC 111(a)				

# Payment information:

Submitted with Payment			no							
File Listing:										
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	Petition to withdraw attorney or agent (SB83)	\	With drawal_IPHDP031.pdf	222253	no	2				
ı		ľ		65b70bef8e5c455ec1786d7c673c148d592 e8c1e						
Warnings:										
Information:	Information:									

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S):

Raman K. Rao, et al.

TITLE:

Dynamically Configurable IP Based Wireless Device and Wireless

Networks

SERIAL NO.:

10/911,211

FILING DATE:

October 13, 2004

ATTY.DKT.NO.:

PA5118US

COMMISSIONER FOR PATENTS PO BOX 1450 ALEXANDRIA, VA 22313-1450

### REVOCATION AND POWER OF ATTORNEY

I, the undersigned, Rekha Rao, Chief Executive Officer of IP Holdings, Inc., the assignee of the entire right, title and interest in the above-referenced United States patent application, am authorized to act and sign on behalf of the assignee and hereby revoke all prior powers of attorney previously submitted in the above-referenced U.S. patent application and hereby appoint the agents and attorneys associated with Customer Number 22830 to prosecute this application and to transact all business in the U.S. Patent and Trademark Office connected therewith.

Please direct all communication relative to this patent to the following correspondence address:

Customer Number 22830 CARR & FERRELL *LLP* 

2200 Geng Road Palo Alto, CA 94303 TEL: 650.812.3400 FAX: 650.812.3444

Respectfully submitted,

Date: 12 14 09

Rekha Rao

Chief Executive Officer

IP Holdings, Inc.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

STATEMENT UNDER	37 CFR 3.73(b)
Applicant/Patent Owner Raman K. Rao, et al.	
Application No./Patent No.: 10/911,211	
Titled: Dynamically Configurable IP Based Wireless Device ar	
IP Holdings, Inc.	ion
(Name of Assignes) (Type of /	Assignee, e.g., corporation, partnership, university, government agency, etc.
states that it is:	
1 (X) the assignce of the entire right, title, and interest in;	
an assignee of less than the entire right, title, and interest in     (The extent (by percentage) of its ownership interest is	1 %); or
<ol> <li>the assignee of an undivided interest in the entirety of (a co</li> </ol>	mplete assignment from one of the joint inventors was made)
the patent application/patent identified above, by virtue of either:	
copy therefore is attached.	n/patent identified above. The assignment was recorded in 1102 , Frame 0992 , or for which a
OR  8. A chain of title from the inventor(s), of the patent application	vicatent identified above to the current assignee as follows:
············	To:
The document was recorded in the United States	
2 From:	To:
The document was recorded in the United States	
Reel, Frame	or for which a copy thereof is attached.
3 From:	To:
The document was recorded in the United States	
Reel Frame	or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a si.	pplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence or concurrently is being, submitted for recordation pursuant to 3	e of the chain of title from the original owner to the assignee was, 7 CFR 3.11.
[NOTE: A separate copy (/ e., a true copy of the original assign accordance with 37 CFR Part 3, to record the assignment in the	ment document(s)) must be submitted to Assignment Division in records of the USPTO. <u>See</u> MPEP 302.08]
The undersigned (whose liftle is supplied below) is authorized to act on	behalf of the assignee.
Rekha K. Ras Signature	<u>i2   14   09</u> Date
Rekha Rao	Chief Executive Officer
Printed or Typed Name	Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 36 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

if you need assistance in completing the form, cell 1-800-PTO-9199 and select option 2.

Electronic Acknowledgement Receipt					
EFS ID:	6699704				
Application Number:	10911211				
International Application Number:					
Confirmation Number:	7409				
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks				
First Named Inventor/Applicant Name:	Raman K. Rao				
Customer Number:	53186				
Filer:	Breton Geoffrey Graham				
Filer Authorized By:					
Attorney Docket Number:	IPHD.P031				
Receipt Date:	23-DEC-2009				
Filing Date:	13-OCT-2004				
Time Stamp:	18:27:33				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with I	Payment	no								
File Listing:										
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)				
1	Power of Attorney	P	PA5118US_Revocation_POA. pdf	846030	no	1				
				cbbb9a4c89fdf7dff0686f110dec95fd03f09 477						
Warnings:										
Information:										

2	Assignee showing of ownership per 37 CFR 3.73(b).	PA5118US_3-73b_Statement. pdf	1497904 9666fcfb80bdb96899aa9d98cfe9322dac3f e219	no	1				
Warnings:	Warnings:								
Information:									
		Total Files Size (in bytes):	23	43934					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEXANDRA Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 10/911,211 10/13/2004 Raman K. Rao IPHD.P031

53186 **COURTNEY STANIFORD & GREGORY LLP** 10001 N. De Anza Blvd., Suite 300 Cupertino, CA 95014

**CONFIRMATION NO. 7409 POWER OF ATTORNEY NOTICE** 



Date Mailed: 01/05/2010

## NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/23/2009.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/ttkim/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEXANDRA Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER 10/911,211

FILING OR 371(C) DATE 10/13/2004

FIRST NAMED APPLICANT Raman K. Rao

ATTY. DOCKET NO./TITLE PA5118US

**CONFIRMATION NO. 7409** 

22830 **CARR & FERRELL LLP** 2200 GENG ROAD PALO ALTO, CA 94303 **POA ACCEPTANCE LETTER** 

\*000000039449635\*

Date Mailed: 01/05/2010

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 12/23/2009.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/ttkim/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

X
PTO/SB/30EFS (07-09)
Request for Continued Examination (RCE)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)								
Application Number	10911211	Filing Date	2004-10-13	Docket Number (if applicable)	PA5118US	Art Unit	2617	
First Named Inventor	Raman K. Rao			Examiner Name	David Wang			
This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV								
	SUBMISSION REQUIRED UNDER 37 CFR 1.114							
in which they	were filed unless a	applicant ins		applicant does not wi	nents enclosed with the RCE wil sh to have any previously filed u			
	y submitted. If a fir on even if this box			any amendments file	d after the final Office action ma	ay be con	sidered as a	
☐ Co	nsider the argume	ents in the A	ppeal Brief or Reply	Brief previously filed	on			
Oth	ner 							
An	nendment/Reply							
☐ Info	ormation Disclosu	re Statemer	nt (IDS)					
Aff	idavit(s)/ Declarati	on(s)						
⊠ Ot	Other  Petition to Revive Unintentionally Abandoned Application							
MISCELLANEOUS								
Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)								
Other								
				FEES				
The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 060600								
	5	SIGNATUF	RE OF APPLICAN	T, ATTORNEY, OF	R AGENT REQUIRED			
	Practitioner Signa	ature						
Applica	ant Signature							

PTO/SB/30EFS (07-09) Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Signature of Registered U.S. Patent Practitioner					
Signature	/Breton G. Graham/	Date (YYYY-MM-DD)	2010-01-20		
Name	Breton G. Graham	Registration Number	48149		

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Doc Code: PET.OP

Document Description: Petition for Review by the Office of Petitions

PTO/SB/64 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FOR F ABANDONED UNINTENTIONALLY UNDER 37 CFR 1		Docket Number (Optional) PA5118US					
First named inventor: Raman K. Rao							
Application No.: 10/911,211	Application No.: 10/911,211 Art Unit: 2617						
Filed: October 13, 2004		David Wang					
Title: Dynamically Configurable IP Based Wireless Device and Wireless Networks							
Attention: Office of Petitions  Mail Stop Petition  Commissioner for Patents  P.O. Box 1450  Alexandria, VA 22313-1450  FAX (571) 273-8300							
NOTE: If information or assistance is needed in completin Information at (571) 272-3282.	g this form, plea	ase contact Petitions					
The above-identified application became abandoned for failure to file a ti United States Patent and Trademark Office. The date of abandonment is for reply in the office notice or action plus any extensions of time actually	the day after th						
APPLICANT HEREBY PETITIONS FOR REVIVA	L OF THIS APF	PLICATION					
<ul> <li>NOTE: A grantable petition requires the following items:</li> <li>(1) Petition fee;</li> <li>(2) Reply and/or issue fee;</li> <li>(3) Terminal disclaimer with disclaimer fee - required before June 8, 1995; and for all design application</li> <li>(4) Statement that the entire delay was unintentional</li> </ul>	for all utility and	plant applications filed					
1. Petition Fee							
Small entity-fee \$\frac{810}{} (37 CFR 1.17(m)). Application	claims small ent	tity status. See 37 CFR 1.27.					
Other than small entity-fee \$ (37 CFR 1.17(r	n))						
Reply and/or fee     A. The reply and/or fee to the above-noted Office action in the form of <a href="RCE">RCE and Amendment</a>	. (identify type o	f reply):					
has been filed previously on							
is enclosed herewith.							
B. The issue fee and publication fee (if applicable) of \$							
has been paid previously on		<u> </u>					
is enclosed herewith.							

This collection of information is required by 37 CFR 1.137(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

PTO/SB/64 (07-09)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number

3. T	erminal disclaimer with disclaimer fee		
G	Since this utility/plant application was filed on o	or after June 8, 1995, no te	erminal disclaimer is required.
	A terminal disclaimer (and disclaimer fee (37 C other than a small entity) disclaiming the requir	FR 1.20(d)) of \$ red period of time is enclos	_for a small entity or \$ for sed herewith (see PTO/SB/63).
gran requ	TATEMENT: The entire delay in filing the required table petition under 37 CFR 1.137(b) was unintentifier additional information if there is a question as to er 37 CFR 1.137(b) was unintentional (MPEP 711.0)	ional. [NOTE: The United owhether either the abar	States Patent and Trademark Office may ndonment or the delay in filing a petition
		WARNING:	
to ide check petition shou advis reque aban (see	coner/applicant is cautioned to avoid submitting personal entity theft. Personal information such as social security or credit card authorization form PTO-2038 submitted from or an application. If this type of personal information do consider redacting such personal information from the ed that the record of a patent application is available to set in compliance with 37 CFR 1.213(a) is made in the all doned application may also be available to the public if the 37 CFR 1.14). Checks and credit card authorization for cation file and therefore are not publicly available.	numbers, bank account num for payment purposes) is nevis included in documents substantial documents before submitting the public after publication of pplication) or issuance of a phe application is referenced	bers, or credit card numbers (other than a ver required by the USPTO to support a similated to the USPTO, petitioners/applicants by them to the USPTO. Petitioner/applicant is the application (unless a non-publication atent. Furthermore, the record from an in a published application or an issued patent.
	/Breton G. Graham/		January 20, 2010
	Signature		Date
	Breton G. Graham		48,149
	Type or Printed name		Registration Number, If applicable
	2200 Geng Road  Address		650-812-3400 Telephone Number
	Palo Alto, CA 94303		relephone Number
Encl	Address  Sosures:  Fee Payment  Reply  Terminal Disclaimer Form  Additional sheets containing st  Other:  CERTIFICATE OF MALUN	-	
-	CERTIFICATE OF MAILIN I hereby certify that this correspondence is being:  Deposited with the United States Posta first class mail in an envelope addresse 1450, Alexandria, VA 22313-1450.  Transmitted by facsimile on the date sl at (571) 273-8300.  Date	al Service on the date sho ed to: Mail Stop Petition, (	wn below with sufficient postage as Commissioner for Patents, P. O. Box States Patent and Trademark Office
L		Typed or printed name of	of person signing certificate

# **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
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Electronic Patent Application Fee Transmittal							
Application Number:	10	10911211					
Filing Date:	13	13-Oct-2004					
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks						
First Named Inventor/Applicant Name:	Ra	man K. Rao					
Filer:	Bre	Breton Geoffrey Graham/Patricia Thompson					
Attorney Docket Number: PA5118US							
Filed as Small Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:	Petition:						
Petition-revive unintent. abandoned appl		2453	1	810	810		
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	2801	1	405	405
Total in USD (\$)			1215	

Electronic Acknowledgement Receipt					
EFS ID:	6840631				
Application Number:	10911211				
International Application Number:					
Confirmation Number:	7409				
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks				
First Named Inventor/Applicant Name:	Raman K. Rao				
Customer Number:	22830				
Filer:	Breton Geoffrey Graham				
Filer Authorized By:					
Attorney Docket Number:	PA5118US				
Receipt Date:	20-JAN-2010				
Filing Date:	13-OCT-2004				
Time Stamp:	15:45:12				
Application Type:	Utility under 35 USC 111(a)				

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Deposit Account	060600
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# File Listing:

		T		1	
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		PA5118US_Response.pdf	171059	yes	14
·		TASTIOOS_Response.pu	d537dd19bc44c6cdef9ca5684dc2aad79b0 2c8a0	,	
	Multip	oart Description/PDF files in .	zip description		
	Document De	Start	End		
	Amendment A	1	1		
	Claims		5		
	Applicant Arguments/Remarks	Made in an Amendment	6	1	4
Warnings:					
Information:					
2	Request for Continued Examination	PA5118US_RCE_SB30e.pdf	31108	no	2
	(RCE)		c97b0ab5fc64c4121b70ae9348d67c30675 14b03		
Warnings:					
This is not a USI	PTO supplied RCE SB30 form.				
Information:					
3	Petition for review by the Office of	PA5118US_Petition_To_Revive	205667	no	3
	Petitions.	_SB64.pdf	568ea54f74822d97c68b0149e85c85698cd 4b0ec		
Warnings:					
Information:				T	
4	Fee Worksheet (PTO-875) fee-info.pdf		31921	no	2
		·	f7d12d5ca344c7db1c30601f1d64fb325bee 082c		
Warnings:					
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		Total Files Size (in bytes):	43	39755	

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS:** Raman K. Rao *et al.* 

**APPLICATION NO.:** 10/911,211

FILING DATE: October 13, 2004

TITLE: Dynamically Configurable IP Based Wireless Device and Wireless

Networks

**EXAMINER:** David Wang

**ART UNIT:** 2617 **CONF. NO:** 7409

ATTY.DKT.NO.: PA5118US

### RESPONSE AND REQUEST FOR CONTINUED EXAMINATION

**Examiner Wang:** 

In response to the Final Office Action mailed March 24, 2008 (*Office Action*), please consider the following amendments and arguments. The present amendment is submitted concurrently with a Petition for Revival of an Application for Patent Abandoned Unintentionally UNDER 37 C.F.R. § 1.137(B) and authorization to charge the applicable fees to Deposit Account 06-0600. The Applicants also submit a Request for Continued Examination herewith. The Applicants' amendments, remarks, and conclusions begin on pages two, six, and fourteen, respectively.

#### IN THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application.

1-35. (Canceled).

36. (Previously Presented) In a mobile communication system, a method for configuring a mobile communication device (MD), the method comprising:

storing data on a server, the data comprising a plurality of functional instruction sets, content, and MD configuration software;

the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table;

executing a dynamic reconfiguration of the MD using one of,

the processing and storage capabilities of the MD;

the processing and storage capabilities of the server; and

processing and storage capabilities of the MD in conjunction with processing and storage capabilities of the server;

the MD downloading from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device;

the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments; and

the MD sensing an environment the MD is primarily operating in, and maintaining an ability to switch instantaneously to a different environment.

37. (Currently Amended) A system for dynamically configuring a mobile communication device (MD), the system comprising:

a server comprising storage means for storing data, the data comprising a plurality of functional instruction sets, content, and MD configuration software;

at least one dynamically configurable MD communicatively coupled to the server, wherein the MD is configurable to remotely request a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

means for configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

means for establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

means for alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table; means for executing a dynamic reconfiguration of the MD using one of,

the processing and storage capabilities of the MD;

the processing and storage capabilities of the server; and

processing and storage capabilities of the MD in conjunction with processing and storage capabilities of the server;

means for the MD to download from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device;

means for dynamically reconfiguring the MD to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments; and

means for the MD to sense an environment the MD is primarily operating in, and maintaining an ability to switch instantaneously to a different environment; and

a Global Positioning System unit that allows the MD to know its exact location, wherein the exact location of the MD is used for a plurality of functions, including sensing one or more networks.

38. (New) A method for dynamically configuring a mobile communication device (MD) in a mobile communication system, the method comprising:

storing data on a server, the data comprising a plurality of functional instruction sets, content, and MD configuration software;

the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control, wherein communication protocols configure the mobile communication system for communication;

establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table;

executing a dynamic reconfiguration of the MD;

the MD downloading from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device;

the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments, wherein the MD is dynamically tuned for transmit and receive functions suitable for each environment;

the MD configured to bypass a public wireless carrier service when the public wireless carrier service is not required for communication; and

the MD sensing an environment the MD is primarily operating in, and maintaining an ability to switch instantaneously to a different environment, wherein the MD is configured to be in a watchdog or sleep mode in different environments.

#### REMARKS

In the Office Action of March 24, 2008, the Examiner rejected claims 36 and 37. Based on the foregoing amendments and following remarks, the Applicants respectfully request reconsideration of the Application.

### **Revival of Application**

The present application became abandoned for failure to file a reply to the action mailed March 24, 2008. The Applicants have submitted a Petition to Revive an Unintentionally Abandoned Application (Form PT/SB/64), the required fees (specifically, authorization to charge the same to deposit account 06-0600), the present reply, and a statement that the entire delay was unintentional. The Applicants believe that they have complied with the requirements for revival under 37 CFR § 1.137(b) and respectfully request that the petition be granted.

### **Amendments to the Claims**

No substantive amendments to the claims have been made. The Applicants have amended claim 37 to change the location of the word "and." New claim 38 has been added. These amendments and new claim present no new matter.

### Rejection under 103(a) over Kim and Tate

Claim 36 was rejected under 35 U.S.C. § 103(a) as being unpatentable over United States Patent No. 6,546,002 ("Kim") in view of United States Patent No. 6,493,751 ("Tate"). (Office Action, 2). Because the combination of Kim and Tate fails to make obvious each limitation of claim 36, the Applicants assert that this claim is patentable over the cited art.

### Claim 36 is patentable over Kim and Tate

Claim 36 was rejected over the combination of *Kim* and *Tate*. Claim 36 recites, in part:

storing data on a server, the data comprising a plurality of functional instruction sets, content, and MD <u>configuration software</u>;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another:

the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments.

(Claim 36, emphasis added.)

The Examiner states that *Kim* teaches "[s]toring data on a server (dB 136, Kim et al. Fig. 15), the data comprising a plurality of functional instruction sets, content, and MD configuration software (Profile Data 138a, Kim et al. Fig. 15)." (*Office Action*, 2). Claim 36 of the instant application recites, as listed above, "storing data on a server, the data comprising a plurality of functional instruction sets, content, and MD configuration software." However, the cited portions of *Kim* do not teach configuration software. In contrast, Figure 15 of *Kim* discloses profile managers of multiple communication networks connected by a gateway. Disclosing profile managers of multiple communication networks connected by a gateway does not teach "configuration software" as recited in claim 36 of the instant application.

The Examiner further asserts that *Kim* discloses "[c]onfiguring the MD for a selected set of functions including communication (user identification 170 for communication, Kim et al. Fig. 3), computation (output 122, 124, 126, 128, 130, Kim et al. Fig. 3), command (user interface input 110, Kim et al. Fig. 3), sensing and control (input events 118,120, Kim et al. Fig. 3)." Claim 36 of the instant application recites, as listed above, "configuring the MD for a selected set of functions including communication, computation, command, sensing and control."

However, these elements are not taught in Figure 3 of *Kim*. Figure 3 of *Kim* is simply a block diagram of an information and storage system implementing an MIA. (*Kim*, column 6, lines 17-29). The MIA 102 interfaces with three sections (user I/O section 104, local memory section 106, and network section 108) of the system. The MIA 102 is used to manage, access, and retrieve information from the network and local memory. The MIA 102 is also used to initiate programs, applications, URL bookmarks, and other menu items, and can be implemented by way of software, firmware, or hardware. (*Kim*, column 6, lines 30-37). *Kim* is limited to teaching this interface agent that merely facilitates access to data over a network. *Kim* does

not teach anything regarding configuring or dynamically configuring a mobile device.

Depicting an information and storage system implementing an MIA does not disclose "configuring the MD for a selected set of functions including communication, computation, command, sensing and control" as recited in claim 36 of the instant application.

The Examiner proceeds to admit that "Kim may not pictorially teach dynamic reconfiguration." (Office Action, 3). The Examiner asserts that Tate "better teaches dynamic reconfiguration, even preferable to an environment: Establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another (selecting a different mobile configuration, Tate et al. Fig. 3)." (Office Action, 3). Claim 36 of the instant application recites, as listed above, "establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another." However, Figure 3 of Tate does not teach these elements. In contrast, Figure 3 of Tate depicts the structural contents of exemplary mobile configurations in a data structure format. Disclosing structural contents of exemplary mobile configurations in a data structure format does not teach "establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another" as recited in claim 36 of the instant application.

The Examiner further indicates that it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine *Kim* and *Tate*. (See *Id*.). Specifically, the Examiner states that "it would have been obvious to a person having ordinary skill in the art at the time the invention was made to dynamically reconfigure a device so as to avoid "…cumbersome and nonintuitive reconfiguration by a user" (Tate et al. c4 14-21)." (*Id*.). The Applicants believe that this statement by the Examiner is a prohibited conclusion in that it is unsupported by any reasoning. "[R]ejections on obviousness cannot be sustained by mere conclusory statements"; "there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." *KSR International Co. v. Teleflex Inc.*, 550 USPQ2d 1385, 1396 (2007).

Further, the Applicants respectfully disagree that it would have been obvious to combine *Kim* and *Tate*. Regardless, *Tate* does not cure the deficiencies of *Kim*. *Kim* is directed to

a system and method for using a mobile interface agent (MIA) in order to dynamically access programs, applications, bookmarked URLs, IP addresses, telephone numbers, television channels, radio stations, user profiles, and the like that are specific to a user via any computer type device. The mobile interface agent can be accessible using any computer from any geographical location, assuming that the computer can be connected to a network. The mobile interface agent is essentially an agent that allows the user to access files, documents, programs, applications, IP addresses, URL bookmarks, telephone numbers, television channels, radio stations, and other menu items from any computer. *Kim* also relates to a per user based licensing model that allows the user to remotely access and use computer programs. (See *Kim*, Abstract).

*Tate,* on the other hand, is directed to a mobile configuration manager application for managing network configuration parameters. Ergo, the Examiner has not shown a rational underpinning to support the legal conclusion of obviousness. There is no teaching or even a suggestion in the cited art to combine *Kim* with *Tate*.

The Examiner further argues that *Kim* teaches "[t]he MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment (office in Lake City in mobile configuration #2, Tate et al. Fig. 3), a home environment (working from home in mobile configuration #1, Tate et al. Fig. 3), an Internet protocol (IP) environment, and a plurality of public carrier environments." Claim 36 of the instant application recites, as listed above, "the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments." However, Figure 3 of *Tate* does not teach these elements. The Examiner simply points to Figure 3 without giving any explanation. As mentioned above, Figure 3 of *Tate* depicts the structural contents of exemplary mobile configurations in a data structure format. Teaching structural contents of exemplary mobile configurations in a data structure format does not disclose "the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environment, and a plurality of public carrier environments" as recited in claim 36 of the instant application.

For at least these reasons, *Kim* and *Tate*, whether considered individually or in combination, do not disclose or suggest the embodiment of claim 36. Claim 36 is thus allowable over *Kim* and *Tate*.

#### Rejection under 103(a) over Kim, Tate, and Watts

Claim 37 was rejected under 35 U.S.C. § 103(a) as being unpatentable over *Kim* in view of and *Tate* and United States Patent No. 6,119,186 ("Watts"). Office Action, 4. Because the combination of *Kim*, *Tate*, and *Watts* fails to make obvious each limitation of claim 37, the Applicants assert that these claims are patentable over the cited art.

#### Claim 37 is patentable over Kim, Tate, and Watts

Claim 37 was rejected over the combination of *Kim, Tate,* and *Watts*. Amended claim 37 recites, in part:

means for configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

means for establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

means for dynamically reconfiguring the MD to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments;

Claim 37, emphasis added.)

Claim 37 contains similar elements as claim 36, and is therefore patentable over *Kim* and *Tate* for at least the same reasons as claim 36. The Examiner admits that *Kim* and *Tate* do not teach a global positioning system (GPS). The Examiner relies on *Watts* for teaching a GPS. (Office Action, 7).

When applying 35 U.S.C. 103(a), the following tenets of patent law must be adhered to:

(A) The claimed invention must be **considered as a whole**; (B) The **references must be considered as a whole and must suggest the desirability and thus the obviousness of making the combination**; (C) The references must be viewed without the benefit of **impermissible** 

hindsight vision afforded by the claimed invention; and (D) Reasonable expectation of success is the standard with which obviousness is determined. See *Hodosh v. Block Drug Co., Inc.,* 786 F.2d 1136, 1143 n.5, 229 USPQ 182, 187 n.5 (Fed. Cir. 1986). The Appellants respectfully suggest that the references and the presently claimed invention have not been considered as a whole, especially in light of the aforementioned combination of references. Additionally the references must be considered as a whole and must suggest the desirability and thus the obviousness of making the combination. In that regard, the Appellants respectfully contend that a *prima facie* case of obviousness has not been made and that the rejection is overcome.

Notwithstanding, the Examiner contends that it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine *Kim* with *Tate* and *Watts*. (See *Id.*). Specifically, the Examiner states that "it would have been obvious to a person having ordinary skill in the art at the time the invention was made to "use GPS to determine location to provide a computer "...which detects changes in an environment associated with the computer, determines an optimum configuration based on the new environment and modifies the configuration of the computer based on the optimum configuration" (Watts et al. c2 11-16)." (Office Action, 7). The Applicants believe that this statement by the Examiner is a prohibited conclusion in that it is unsupported by any reasoning. See *KSR International* at 1396. Therefore, the Examiner has not shown a rational underpinning to support the legal conclusion of obviousness. There is no teaching or even a suggestion in the cited art to combine *Kim* with *Tate* and *Watts*. Watts is simply directed to a computer system that utilizes an environmental manager to detect and respond to changing environmental conditions. The purpose of this is to enhance and simplify a user's interaction with the computer. The Applicants respectfully disagree that it would have been obvious to combine *Kim*, *Tate*, and *Watts*.

The Examiner's motivation is not specified in the *Office Action*. The Applicants challenge the purported motivation to combine in that the Examiner has failed to identify any teaching in any reference or any evidence that is actually known or would be known to one of ordinary skill in the art as to why one would make the purported combination as presented by the Examiner.

As an initial matter, the Appellants appreciate that "[t]he rationale to modify or combine the prior art does not have to be expressly stated in the prior art" and that "the rationale . . . may be reasoned from knowledge generally available to one of ordinary skill in the art." In re Fine, 837 F.2d 1071 (Fed. Cir. 1988). That said, a mere conclusory statement that modifications of the prior art to meet the claimed invention would have been well within the ordinary skill of the art "because the references relied upon teach that all aspects of the claimed invention were individually known in the art is **not sufficient** to establish a prima facie case of obviousness without some **objective reason to combine** the teachings of the references." Ex parte Levengood, 28 USPQ2d 1300 (Bd. Pat. App. & Inter. 1993) (emphasis added). The Supreme Court reaffirmed the same in KSR International Co. v. Teleflex Inc. wherein the court found that "rejections on obviousness cannot be sustained by mere conclusory statements; instead, there must be some articulated reasoning with some rational underpinning to support the legal conclusion of obviousness." 550 USPQ2d 1385, 1396 (2007) (emphasis added); see also In re Kahn, 441 F.3d 977, 988 (Fed. Cir. 2006). Absent such objective articulated reasoning and some rational underpinning related to the same, the Appellants contend that a prima facie case of obviousness has not been and that the Examiner's rejection is overcome.

Regardless, *Watts* does not cure the deficiencies of *Kim* and *Tate*. The arguments set forth with respect to claim 36 are incorporated herein. Thus, the cited portions of art, as discussed above, do not teach at least the elements of claim 37 recited above.

For at least these reasons, *Kim*, *Tate*, and *Watts*, whether considered individually or in combination, do not disclose or suggest the embodiment of claim 37. Claim 37 is thus allowable over *Kim*, *Tate*, and *Watts*.

#### New Claim 38

New claim 38 has been added and presents no new matter. The cited art fails to disclose aspects of claim 38 that are present in claims 36 and 37, as discussed above. Further, additional limitations have been added to claim 38. These limitations include "wherein communication protocols configure the mobile communication system for communication . . . wherein the MD is dynamically tuned for transmit and receive functions suitable for each environment; the MD

configured to bypass a public wireless carrier service when the public wireless carrier service is not required for communication . . . wherein the MD is configured to be in a watchdog or sleep mode in different environments."

The Applicants believe that new independent claim 38 is not anticipated by, and is thus patentable over, the cited references because the cited references fail to disclose all of the claimed elements.

#### **CONCLUSION**

Based on the foregoing amendments and remarks, the Applicants believe the rejections have been overcome, and that the present Application is in condition for allowance. If the Examiner has any questions regarding the case, the Examiner is invited to contact Applicants' undersigned representative.

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 06-0600 for any matter in connection with this response, including any fee for extension of time, which may be required.

Respectfully submitted,

Date: January 20, 2010 By: /Breton G. Graham/

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PTO/SB/06 (09-11)

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U.S. DE

P	ATENT APPL	ICATION F Substitute			RECORD	Application	or Docket Number /911,211	Filing Date 10/13/2004	To be Mailed
							ENTITY: L	ARGE 🏻 SMA	LL MICRO
				APPLICA	ATION AS FIL	ED – PAR	ГΙ		
			(Column	1)	(Column 2)				
	FOR		NUMBER FI	_ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), o	or (c))	N/A		N/A		N/A		
Ш	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A		
	ΓAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
	If the specification and drawings e of paper, the application size fee of for small entity) for each additional fraction thereof. See 35 U.S.C. 410 CFR 1.16(s).					\$155 r			
* 15.	MULTIPLE DEPEN						TOTAL		
-	ne dilierence in cold	illili i is less uia	n zero, ente	ir O iii Columiii 2.			TOTAL		
		(Column 1)		APPLICAT	ON AS AMEN		RT II		
:NT	01/20/2010	CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
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AMENDMENT	Independent (37 CFR 1.16(h))	* 3	Minus	***3	= 0		x \$110 =		0
AM	Application Si	ze Fee (37 CFF	1.16(s))						
	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEI	E	0
		(Column 1)		(Column 2)	(Column 3	)			
		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	ww.	=		X \$ =		
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AM	FIRST PRESEN	TATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FE	E	
** If *** I	the entry in column the "Highest Numbe f the "Highest Numb "Highest Number P	er Previously Pa per Previously Pa	id For" IN TH aid For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20" s than 3, enter "3".		LIE KIMBERLY PA		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

CARR & FERRELL LLP 2200 GENG ROAD PALO ALTO CA 94303

MAILED

MAR 24 2010

In re Application of

Rao et al.

Application No. 10/911,211

Filed: October 13, 2004

Attorney Docket No. PA5118US

OFFICE OF PETITIONS

**DECISION ON PETITION** 

This is a decision on the petition under the unintentional provisions of 37 CFR 1.137(b), filed January 20, 2010, to revive the above-identified application.

The petition is **GRANTED**.

This application became abandoned for failure to reply in a timely manner to the final Office action mailed March 24, 2008. No extensions of time under the provisions of 37 CFR 1.136(a) were obtained. Accordingly, this application became abandoned on June 25, 2008. A Notice of Abandonment was mailed December 19, 2008.

The petition satisfies the requirements of 37 CFR 1.137(b) in that petitioner has supplied (1) the reply in the form of a Request for Continued Examination (RCE) and fee of \$405.00 and the submission required by 37 CFR 1.114; (2) the petition fee of \$810.00; and (3) a proper statement of unintentional delay.

It is not apparent whether the statement of unintentional delay was signed by a person who would have been in a position of knowing that the **entire** delay in filing the required reply from the due date for the reply until the filing of a grantable petition pursuant to 37 CFR 1.137(b) was unintentional. Nevertheless, in accordance with 37 CFR 10.18, the statement is accepted as constituting a certification of unintentional delay. However, in the event that petitioner has no knowledge that the delay was unintentional, petitioner must make such an inquiry to ascertain that, in fact, the delay was unintentional. If petitioner discovers that the delay was intentional, petitioner must notify the Office.

Telephone inquiries concerning this decision should be directed to the undersigned at (571) 272-7751.

This matter is being referred to Technology Center 2617 for processing of the Request for Continued Examination under 37 CFR 1.114 and the Amendment filed with the instant petition.

Jean Olszewski Petitions Examiner Office of Petitions



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Raman K. Rao	PA5118US	7409
22830 CARR & FERI	7590 04/19/2010 RELL LLP		EXAM	INER
2200 GENG R	OAD		WANG.	DAVID
PALO ALTO,	CA 94303		ART UNIT	PAPER NUMBER
			2617 .	
			MAIL DATE	DELIVERY MODE
			04/19/2010	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.



## UNITED STATES DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

APPLICATION NO./	FILING DATE	FIRST NAMED INVENTOR /	ATTORNEY DOCKET NO.
CONTROL NO.		PATENT IN REEXAMINATION	

**EXAMINER** 

KIMBERLY D. WILLIAMS

**ART UNIT** 

**PAPER** 

2600

DATE MAILED:

Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

The abandonment has been withdrawn in the application.

/Kimberly D. Williams/ Supervisory Legal Instruments Examiner Tech Center 2600

PTO-90C (Rev.04-03)

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Number		10911211	
Filing Date		2004-10-13	
First Named Inventor	Rama	n K. Rao	
Art Unit		2617	
Examiner Name	David	d Wang	
Attorney Docket Numb	er	PA5118US	
	Filing Date First Named Inventor Art Unit Examiner Name	Filing Date First Named Inventor Rama Art Unit	

					U.S.I	PATENTS				
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	)ate	Name of Pate of cited Docu	entee or Applicant ment	Relev	s,Columns,Lines where vant Passages or Relev es Appear	
	1	6421429		2002-07	'-16	Merritt et al.				
	2	6826405		2004-11	-30	Doviak et al.				
	3	7286658		2007-10	)-23	Henderson				
If you wisl	h to ado	d additional U.S. Pater	nt citatio	n inform	ation pl	ease click the	Add button.			
			U.S.P	ATENT	APPLIC	CATION PUBL	LICATIONS			
Examiner Initial*	Cite N	Publication Number	Kind Code <sup>1</sup>	Publica Date	tion	Name of Pate of cited Docu	entee or Applicant ment	Rele	s,Columns,Lines where /ant Passages or Relev es Appear	
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Examiner Initial*		Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i		Kind Code <sup>4</sup>	Publication Date	Name of Patented Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1									

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10911211
Filing Date		2004-10-13
First Named Inventor	Rama	n K. Rao
Art Unit		2617
Examiner Name	David	Wang
Attorney Docket Number	er	PA5118US

If you wis	h to ac	ld add	ditional Foreign Patent Document citation information please c	click the Add button	1	
			NON-PATENT LITERATURE DOCUME	NTS		
Examiner Initials*	Examiner Initials*  Cite No  Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.			<b>T</b> 5		
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If you wis	h to ac	ld add	ditional non-patent literature document citation information plea	ase click the Add b	outton	
			EXAMINER SIGNATURE			
Examiner	Signa	ture	D	Date Considered		
	*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.					
Standard ST 4 Kind of doo	Γ.3). <sup>3</sup> F cument	or Japa by the a	O Patent Documents at <a href="https://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office the lanese patent documents, the indication of the year of the reign of the Empero appropriate symbols as indicated on the document under WIPO Standard ST. on is attached.	or must precede the seri	ial number of the patent doc	ument.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

Application Number		10911211
Filing Date		2004-10-13
First Named Inventor	Rama	n K. Rao
Art Unit		2617
Examiner Name	David	Wang
Attorney Docket Numb	er	PA5118US

		CER	TIFICATION STATEMENT			
Plea	ase see 37 CFR 1	1.97 and 1.98 to make the approp	riate selection(s):			
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).					
OF						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).					
П	See attached ce	ertification statement.				
	Fee set forth in	37 CFR 1.17 (p) has been submit	ted herewith.			
$\boxtimes$	None					
	ignature of the ar n of the signature		SIGNATURE red in accordance with CFR 1.33, 10.	18. Please see CFR 1.4(d) for the		
Sign	nature	/Breton G. Graham/	Date (YYYY-MM-DD)	2010-10-27		
Nar	ne/Print	Breton G. Graham	Registration Number	48149		
This	s collection of info	ormation is required by 37 CFR 1.	97 and 1.98. The information is requi	ired to obtain or retain a benefit by the		

public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Raman K. Rao et al.

APPLICATION NO.: 10/911,211

FILED: October 13, 2004

TITLE: Dynamically Configurable IP Based Wireless Device and Wireless

Networks

EXAMINER: David Wang

GROUP ART UNIT: 2617

ATTY.DKT.NO.: PA5118US

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. § 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08, listing several references. The Examiner is requested to make these references of official record in the application.

The references cited may be material to examination of the application and are submitted in compliance with the Applicants' duty of disclosure as defined by 37 C.F.R. § 1.56. Additionally, the Applicants wish to make the Examiner aware of, and invite the Examiner to consider, as is appropriate, the following Office Actions from the following U.S. patent application:

• Office Action mailed December 29, 2004 in U.S. patent application 09/591,381, filed June 9, 2000.

• Office Action mailed November 28, 2007 in U.S. patent application 09/591,381, filed June 9, 2000.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

The Commissioner is hereby authorized to charge any necessary fee to Account Number 06-0600.

Respectfully submitted, Raman K. Rao et al.

October 27, 2010

By: /Breton G. Graham/

Breton G. Graham, Reg. No. 48,149

Carr & Ferrell *LLP* 2200 Geng Road Palo Alto, CA 94303

TEL: (650) 812-3400 FAX: (650) 812-3444

Electronic Acl	knowledgement Receipt
EFS ID:	8712756
Application Number:	10911211
International Application Number:	
Confirmation Number:	7409
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks
First Named Inventor/Applicant Name:	Raman K. Rao
Customer Number:	22830
Filer:	Breton Geoffrey Graham
Filer Authorized By:	
Attorney Docket Number:	PA5118US
Receipt Date:	27-OCT-2010
Filing Date:	13-OCT-2004
Time Stamp:	17:55:49
Application Type:	Utility under 35 USC 111(a)

## **Payment information:**

Submitted wi	th Payment	no	no					
File Listing:								
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)			
1	Information Disclosure Statement (IDS) Filed (SB/08)	PA5118US_IDS_SB08.pdf	30461 8e16b582e5119f7025404e18cd64fd065ff4 1264	no	3			
Warnings:								
Information:					·			

This is not an USPTO supplied IDS fillable form								
2	Transmittal Letter	PA5118US_IDS_Statement.pdf	77218	no	2			
_	Transmittal Letter	The Hoos_IBS_statement.par	9ebcc49d660e68e1720815406977daf82c2 ce270		2			
Warnings:								
Information:	Information:							
Total Files Size (in bytes		1,	07679					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Raman K. Rao	PA5118US	7409
22830 CARR & FERF	7590 01/04/201 <b>RELL LLP</b>	1	EXAM	INER
	UTION DRIVE		WANG,	DAVID
WIENLO PARP	x, CA 94023		ART UNIT	PAPER NUMBER
			2617	
			MAIL DATE	DELIVERY MODE
			01/04/2011	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)					
Office Action Cummons	10/911,211	RAO ET AL.					
Office Action Summary	Examiner	Art Unit					
The MAN INO DATE of this communication	David Wang	2617					
The MAILING DATE of this communication app Period for Reply	pears on the cover sheet v	with the correspondence address					
A SHORTENED STATUTORY PERIOD FOR REPL' WHICHEVER IS LONGER, FROM THE MAILING D.  - Extensions of time may be available under the provisions of 37 CFR 1.1 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period to Failure to reply within the set or extended period for reply will, by statute Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUN (36(a). In no event, however, may a will apply and will expire SIX (6) MC e, cause the application to become A	ICATION.  a reply be timely filed  ONTHS from the mailing date of this communication.  ABANDONED (35 U.S.C. § 133).					
Status							
<ul> <li>1) ☐ Responsive to communication(s) filed on 20 Ja</li> <li>2a) ☐ This action is FINAL.</li> <li>2b) ☐ This</li> <li>3) ☐ Since this application is in condition for alloware closed in accordance with the practice under E</li> </ul>	s action is non-final. nce except for formal ma	-					
Disposition of Claims							
4) Claim(s) 36-38 is/are pending in the applicatio 4a) Of the above claim(s) is/are withdray 5) Claim(s) is/are allowed. 6) Claim(s) 36-38 is/are rejected. 7) Claim(s) is/are objected to. 8) Claim(s) are subject to restriction and/or	wn from consideration.						
Application Papers							
9) ☐ The specification is objected to by the Examine 10) ☑ The drawing(s) filed on 13 October 2004 is/are Applicant may not request that any objection to the Replacement drawing sheet(s) including the correct 11) ☐ The oath or declaration is objected to by the Example 11.	: a) ☐ accepted or b) ☑ drawing(s) be held in abeya tion is required if the drawin	ance. See 37 CFR 1.85(a). g(s) is objected to. See 37 CFR 1.121(d).					
Priority under 35 U.S.C. § 119							
Priority under 35 U.S.C. § 119  12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  a) All b) Some col None of:  1. Certified copies of the priority documents have been received.  2. Certified copies of the priority documents have been received in Application No.  3. Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  * See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)  1) Notice of References Cited (PTO-892)	4) ☐ Interview	Summary (PTO-413)					
2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date 27 October 2010.	Paper No	o(s)/Mail Date Informal Patent Application 					

U.S. Patent and Trademark Office PTOL-326 (Rev. 08-06)

Office Action Summary

Part of Paper No./Mail Date 20101119

Art Unit: 2617

#### NON-FINAL REJECTION

#### Drawings

1. The drawings are objected to under 37 CFR 1.83(a). The drawings must show every feature of the invention specified in the claims. Therefore, "functional instruction sets, content, MD configuration software, remote TV controller, dynamic reconfiguration, macro command, IP environment, GPS system, watchdog or sleep mode" must be shown or the feature(s) canceled from the claim(s). These limitations are not found in the original drawings of the parent case in 09/591,381 that was filed 9 June 2000. No new matter should be entered.

Corrected drawing sheets in compliance with 37 CFR 1.121(d) are required in reply to the Office action to avoid abandonment of the application. Any amended replacement drawing sheet should include all of the figures appearing on the immediate prior version of the sheet, even if only one figure is being amended. The figure or figure number of an amended drawing should not be labeled as "amended." If a drawing figure is to be canceled, the appropriate figure must be removed from the replacement sheet, and where necessary, the remaining figures must be renumbered and appropriate changes made to the brief description of the several views of the drawings for consistency. Additional replacement sheets may be necessary to show the renumbering of the remaining figures. Each drawing sheet submitted after the filing date of an application must be labeled in the top margin as either "Replacement Sheet" or "New Sheet" pursuant to 37 CFR 1.121(d). If the changes are not accepted by the examiner,

Art Unit: 2617

the applicant will be notified and informed of any required corrective action in the next Office action. The objection to the drawings will not be held in abeyance.

#### Response to Amendment

#### Claim Rejections - 35 USC § 112

- 2. The following is a quotation of the first paragraph of 35 U.S.C. 112:
  - The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.
- 3. Claim 38 rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The "watchdog or sleep mode" could not be found in the original specification of the parent case in 09/591,381 that was filed 9 June 2000.

#### Claim Rejections - 35 USC § 103

- 4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Art Unit: 2617

5. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 6. Claim 36 rejected under 35 U.S.C. 103(a) as being unpatentable over Zilliacus et al. (US 6,832,230 B1) in view of Shen et al. (US 6,401,059 B1) and Watts et al. (US 6,119,186) and Nelakanti et al. (US 6,993,359 B1).
- 7. Re claim 36, Zilliacus teaches:

Storing data on a server (application database, Zilliacus et al. Fig. 2), the data comprising a plurality of functional instruction sets (application, Zilliacus et al. Fig. 2), content (applications provide content like games, Zilliacus et al. c1 65-6), and MD configuration software (license, Zilliacus et al. Fig. 2).

Zilliacus further teaches:

Executing a dynamic reconfiguration of the MD using **one of**,

The processing and storage capabilities of the MD;

The processing and storage capabilities of the server; and

Processing and storage capabilities of the MD in conjunction with processing and storage capabilities of the server (mobile device is dynamically

Art Unit: 2617

reconfigured when a new application is installed, hence processed, on the device from a

storage device contained on a server, Zilliacus et al. Fig. 2);

The prior art teaches a cell phone. The prior art also teaches a method to download software onto a mobile device, similar to the applicant's claims of "requesting mode configuration of the server." But the prior art does not specifically teach "The MD remotely requesting a mode configuration of the server, wherein the server configures the MD as **one or more** of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices." However, Shen teaches a remote TV controller application on a mobile device (Shen et al. abstract).

Shen is analogous to the prior art, because Shen similarly teaches downloading applications to a mobile device. Thus, it would have been obvious to a person having ordinary skill in the art to download an application to a mobile device (by the prior art), in which the application is an tv remote controller (by Shen). The combination is a convergence of mobile devices and simplifies the number of remote control devices.

Shen further teaches that once an application, like a tv remote controller, is downloaded onto a portable computer, like a mobile device, the device is configured:

Configuring the MD for a selected set of functions including communication (device is able to communicate with a TV and/or VCR, Shen et al. Fig. 2), computation (Shen teaches a CPU, and hence teaches computation required to execute commands, Shen et al. Fig. 1A), command (instructions for remote control, Shen et al. c3 16-29),

Art Unit: 2617

sensing (a PDA has different sensors for communication, user input, microphone, even

sensing the current time; Shen teaches sensing user input in order to send a remote

control command, Shen et al. c3 16-44) and control (remote devices like a TV and VCR

are remotely controlled, Shen et al. Fig. 2);

Establishing the plurality of functional instructions for dynamic reconfiguration of

the MD from one of the selected sets of functions to another (a mobile device, like a

PDA, can perform different functions like a calendar, email, address book, and memo

pad, Shen et al. c1 11-18). A mobile device is "dynamically reconfigured" when a new

application is loaded onto the device.

Shen further teaches:

Alternatively accessing one of the plurality of functional instruction sets

(application) from a storage device on the MD (different applications can be executed

on a mobile device like a PDA, Shen et al. c1 11-18), wherein the storage device

comprises at least one lookup table (like an array or data structure, like XML, of a

program schedule stored on a mobile device, Shen et al. Fig. 3 and c2 51-65);

Shen further teaches:

The MD downloading from the server a macro command (downloading IR control

information from the Internet, Shen et al. c3 45-51), wherein the macro command

enables the MD to control a specific intelligent device (IR control information, Shen et al.

c3 45-51).

Art Unit: 2617

The prior art teaches operating a device in a plurality of environments, such as when switching applications. But the prior art does not specifically teach "The MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment." However, Watts teaches reconfiguring a computing device, like a mobile device, from an office environment to a home environment (Watts et al. c10 51-65). Watts also teaches reconfiguring transmitting/receiving with other devices depending on the environment (Watts et al. c13 20-34).

Watts is analogous to the prior art, because Watts similarly teaches "dynamic reconfiguration." Therefore, it would have been obvious to a person having ordinary skill in the art to reconfigure a computing device based on the operating environment (by Watts) in which the computing device is a mobile device (by prior art). The combination allows a computing device to change according to different environmental conditions (Watts et al. abstract).

Watts further teaches:

The MD sensing an environment the MD is primarily operating in (via an "informant" like GPS, Watts et al. c3 65-41), and maintaining an ability to switch instantaneously to a different environment (reconfiguring a computer to be operate from an work office desktop to a home office desktop, Watts et al. c10 51-65).

Art Unit: 2617

The prior art teaches reconfiguring between different environments, like between an office and home environment. But the prior art does not specifically teach "dynamically reconfiguring" in environments comprising "an Internet protocol (IP) environment, and a plurality of public carrier environments." However, Nelakanti teaches dynamically reconfiguring to transmit and receive in an IP environment (private wireless IP network) and a public carrier environment (public network such as GSM or PSTN) for the purpose of handover (Nelakanti et al. abstract). Therefore, it would have been obvious to a person having ordinary skill in the art to "dynamically reconfigure" a mobile device in different environments (by prior art), such as an IP environment and a public carrier environment (by Nelakanti). The combination allows a mobile device to handoff communications between networks (Nelakanti et al. title).

- 8. Claim 37 rejected under 35 U.S.C. 103(a) as being unpatentable over Zilliacus et al. (US 6,832,230 B1) in view of Shen et al. (US 6,401,059 B1) and Watts et al. (US 6,119,186) and Nelakanti et al. (US 6,993,359 B1) and Dunn et al. (US 6,591,103 B1).
- 9. Re claim 37, please see the rejection of similar claim 36. The additional limitations are addressed below.

Watts further teaches:

A Global Positioning System unit that allows the MD to know its exact location, wherein the exact location of the MD Is used for a plurality of functions (GPS "informant" are used in an environment manager aware application program, Watts et al. c3 65-41).

Art Unit: 2617

The prior art teaches using location information to perform various functions, but the prior art may not exactly state using location data for "sensing one or more networks." However, Dunn teaches using GPS location data to find a network (Dunn et al. abstract).

Dunn is analogous to the prior art, because Dunn similarly teaches a location based service. Thus, it would have been obvious to a person having ordinary skill in the art to using location data from GPS (by prior art) to sense one or more networks (by Dunn). The combination allows a mobile device to be dynamically reconfigured in different network environments and locations.

- 10. Claim 38 rejected under 35 U.S.C. 103(a) as being unpatentable over Zilliacus et al. (US 6,832,230 B1) in view of Shen et al. (US 6,401,059 B1) and Watts et al. (US 6,119,186) and Nelakanti et al. (US 6,993,359 B1).
- 11. Re claim 38, please see the rejection of similar claim 36. The additional limitations are addressed below.

Nelakanti further teaches:

Wherein communication protocols configure the mobile communication system for communication (GSM, PSTN, ISDN, IP protocols, etc. configure a communication system for communication, Nelakanti et al. abstract);

Wherein the MD is dynamically tuned for transmit and receive functions suitable for each environment (such as switching from one set of frequencies in a cell to another set of frequencies in a different cell during handover, Nelakanti et al. c7 23-48);

Art Unit: 2617

The MD configured to bypass a public wireless carrier service when the public wireless carrier service is not required for communication (handover between public and private networks, Nelakanti et al. abstract).

Watts further teaches:

Wherein the MD is configured to be in a watchdog OR sleep mode in different environments (shutting down unnecessary systems and software based on environment, like being on an airplane, Watts et al. c11 51-67).

#### Response to Arguments

- 12. Applicant's arguments with respect to claims 36-37 have been considered but are moot in view of the new ground(s) of rejection.
- 13. While the previous rejection still reads on the claims, it is believed that the new rejection more closely resembles the applicant's invention based on the applicant's most recent response.

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to David Wang whose telephone number is (571)270-1214. The examiner can normally be reached on M - F 10 AM - 4 PM EST.

Art Unit: 2617

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Edouard can be reached on 571.272.7603. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/David Wang/ Examiner, Art Unit 2617 3 December 2010 /Patrick N. Edouard/ Supervisory Patent Examiner, Art Unit 2617

#### Application/Control No. Applicant(s)/Patent Under Reexamination 10/911,211 RAO ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 David Wang 2617 **U.S. PATENT DOCUMENTS** Document Number Date Name Classification Country Code-Number-Kind Code MM-YYYY US-6,119,186 A 09-2000 Watts et al. 710/104 US-6,401,059 B1 06-2002 Shen et al. 703/27 В US-6,591,103 B1 07-2003 Dunn et al. 455/436 С D US-6,832,230 B1 12-2004 Zilliacus et al. 455/414.3 US-6,993,359 B1 01-2006 Nelakanti et al. 455/554.1 Ε US-F US-G US-Н US-US-US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date Name Classification Country Country Code-Number-Kind Code MM-YYYY Ν 0 Ρ Q R s Т NON-PATENT DOCUMENTS Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U Χ

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20101119

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
*1091121	Examiner	Art Unit
1091121	DAVID WANG	2617
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U.S. Patent and Trademark Office

Part of Paper No.: 20101119

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
*1091121	Examiner	Art Unit
1091121	DAVID WANG	2617
1*		
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✓	Rejected	-	Cancelled	N	ı	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I		Interference	0	Objected

☐ Claims	renumbered	in the same	order as pro	esented by a	applicant		□ СРА	□ т.с	D. 🗆	R.1.47
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Final	Original	03/14/2008	12/09/2010							
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	37	✓	✓							
	38		✓							

U.S. Patent and Trademark Office Part of Paper No.: 20101119

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Search Notes	10911211	RAO ET AL.
*1091121	Examiner	Art Unit
1031121	DAVID WANG	2617
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SEARCHED							
Class	Subclass	Date	Examiner				
455	461	3/14/2008	DW				
709	221	3/14/2008	DW				
710	104	3/14/2008	DW				

SEARCH NOTES							
Search Notes	Date	Examiner					
please see attached	3/14/2008	DW					
consulted Duc Nguyen SPE regarding the use of the Logitech Harmony remote controller	3/11/2008	DW					
google search for "(buy OR purchase) applications from mobile phone"	12/3/2010	DW					
google search for "finding network with GPS location" and "(detecting OR sensing) (home OR office OR work) environment GPS"	12/9/2010	DW					
consulted Huy Phan	12/9/2010	DW					

	INTERFERENCE SEA	RCH	
Class	Subclass	Date	Examiner

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PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031

Mation Disclosure Statement (IDS) Filed
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Application Number		10911211	
	Filing Date		2004-10-13	
	First Named Inventor	Rama	an K. Rao	
	Art Unit		2617	
	Examiner Name	David	Wang	
	Attorney Docket Numb	er	PA5118US	

	U.S.PATENTS									
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue D	ate	Name of Patentee or Applicant of cited Document				
	1	6421429		2002-07	-16	Merritt et al.				
	2	6826405		2004-11	-30	Doviak et al.				
	3	7286658		2007-10	-23	Henderson				
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Examiner Initial*	Cite No Publication Kind Publication Code <sup>1</sup> Date		Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear					
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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i		Kind Code <sup>4</sup>	Publication Date	Name of Patente Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /DW/

### INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

 Application Number
 10911211

 Filing Date
 2004-10-13

 First Named Inventor
 Raman K. Rao

 Art Unit
 2617

 Examiner Name
 David Wang

 Attorney Docket Number
 PA5118US

If you wisl	h to ac	ld add	ditional Foreign Patent Document citation information please	e click the Add buttor	1	
			NON-PATENT LITERATURE DOCUM	IENTS		
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ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /DW/

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref# Hits		Search Query	DBs	Default Operator	Plurals	Time Stamp	
L1	119	(home and office) with (detect \$4 sens\$4) with (location environment) and "GPS"	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 11:10	
L2	15383465	(@ad<"20000609" @pd<"20000609" @rlad<"20000609")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR ON S;		2010/12/09 11:10	
L4	8	1 and 2	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 11:11	
L5	215	(home and (office work)) with (detect\$4 sens\$4) with (location environment) and "GPS"	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 11:16	
L6	16	2 and 5	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	R ON		
L7	72	(("IP" voip) and (cell cellular (public near carrier))) with (detect\$4 sens\$4) with (location environment) and "GPS"	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 12:32	
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L11	2429		US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 12:37	
L12	362	2 and 11	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 12:37	

L13	428	((voip) and (cell cellular (public near carrier))) with (location environment) and "GPS"	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 12:37
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L15	2	09/561100	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 12:44
L16	1	09/073371	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 12:44
L17	3736	455/414.2 455/456.5	US-PGPUB; OR ON USPAT; FPRS; EPO; JPO; IBM_TDB		2010/12/09 14:33	
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L19	58	2 and 118	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:33
L20	367	117 and (search\$4 sens\$4 scan\$4) with (network) and "GPS"	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:33
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L22	8166	locat\$4 with network with GPS	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:50
L23	952	2 and 22	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:50
L25	4930	locat\$4 with (wireless mobile cellular) with network with GPS	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:51
L26	224	ocat\$4 with (wireless mobile cellular) with network with (environment profile setting) with GPS	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:51

L27	13	2 and 26	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM TDB	OR	ON	2010/12/09 14:51
L28	372	locat\$4 with network with (environment profile setting) with GPS	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:54
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L30	35	29 not 27	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 14:54
L31	2	"6819267".pn. "6591103".pn.	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/09 15:45
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S2	161	network with (profile presence) same (office and home and "IP")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/11/19 17:56
S3	8	sens\$4 with network with (profile presence environment) same (office and home and "IP")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/11/19 18:07
S4	12	sens\$4 with network with (profile presence environment) and (roam\$4 switch\$4) with (office and home and "IP")	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/11/19 18:08
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S6	3	"6421429".pn. "6826405".pn. "7286658".pn.	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/03 17:26
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S8	3	10/682312	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/03 17:52

S9	1	2	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/06 17:29
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S11	1	"5903259".pn.	US-PGPUB; USPAT; FPRS; EPO; JPO; IBM_TDB	OR	ON	2010/12/06 17:44

# **EAST Search History (Interference)**

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#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**APPLICANTS:** Raman K. Rao et al.

**APPLICATION NO.:** 10/911,211

FILING DATE: October 13, 2004

TITLE: Dynamically Configurable IP Based Wireless Device and

Wireless Networks

**EXAMINER:** Patrick Nestor Edouard

ART UNIT: 2617

**CONF. NO**: 7409

ATTY. DKT. NO.: PA5118US

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

### RESPONSE C

Sir:

In response to the non-final Office Action mailed January 4, 2011 (Office Action), please consider the following amendments and arguments. The Applicants' amendments, remarks, and conclusions begin on pages two, nine, and fourteen, respectively. The requisite fee for an extension of time up to the current date is included herewith.

### **Amendments to the Specification**

Please replace the paragraph of page 3, line 23 to page 4, line 4 of the specification as originally filed with the following amended paragraph:

The system of the present invention, including a wireless device forming a part of the system can work with, for example, GPS, or with public wireless location systems, to improve locating capabilities. For instance, since both the home and office network units/boxes are at known locations, tuning a CT/MD for operation as a GPS receiver, or other locating system, to the network units/boxes would give a precise location with respect to the home or office units/boxes. There are two possible locations for only two stations. Normally, therefore, three stations are required, but in many cases, for a CT/MD, one of the two locations is known to be invalid. For example, the location is known well enough to automatically rule out one location. In this case, the location will be precisely known from only the office and home network boxes, or from these units/boxes with respect to a public wireless station, or with respect to a satellite, or both. This software based configuration of (or configuration software for) the CT/MD (282 shown in Figure 2A) is available from the network, such as from a server C located on the Internet that enables dynamic reconfiguration anywhere in the world for a CT/MD.

Please replace the paragraph of page 4, lines 5-9 of the specification with the following amended paragraph:

The MD is able to sense which environment it is primarily operating in at a given moment while maintaining the ability to switch instantaneously to a different environment. It has the ability to be in a watchdog or sleep mode (286 shown in Figure 2A) in different environments while very active in a given environment at a particular time. This allows the MD to be very useful in one or more environments as the use dictates.

Please replace the paragraph of page 7, lines 21-24 of the specification with the following amended paragraph:

In a similar fashion as described above, the CT/MD 202 may serve as a remote controller for various IP based intelligent wireless or wired home appliances 266. The TV may be controlled using the cell phone if the TV set is capable of receiving wireless commands. Opening the garage door may be done with a macro command 284 downloaded from the Central Server C 214.

Please replace the paragraph of page 8, lines 11-15 of the specification with the following amended paragraph:

i) The CT 202 wishes to be in the primary mode of the local wireless office loop 230 whereas it is currently in the public carrier wireless loop 200. A request, menu or macro command 284 is chosen by the CT 202 and a request for reconfiguration is sent to the Server C 214 via the wireless Internet 204 using frequency Fp and utilizing a public carrier 208.

Please replace the paragraph of page 12, line 29 to page 13, line 2 of the specification with the following amended paragraph:

In a similar fashion as described above, the CT/MD may also serve as a remote controller for various IP based intelligent wireless or wired home appliances. The TV may be controlled using the cell phone if the TV set is capable of receiving wireless commands/output. The electronic garage door opener may be a macro command <u>284</u> downloaded from the Central Server C.

#### In the Claims

This listing of claims will replace all prior versions, and listings, of claims in the application.

1-35. (Canceled).

36. (Currently Amended) In a mobile communication system, a method for configuring a mobile communication device (MD), the method comprising:

storing data on a server, the data comprising a plurality of functional instruction sets, content, and MD configuration software;

the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table;

executing a dynamic reconfiguration of the MD using one of,

the processing and storage capabilities of the MD;

the processing and storage capabilities of the server; and

processing and storage capabilities of the MD in conjunction with <u>the</u>

processing and storage capabilities of the server;

the MD downloading from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device;

the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments; and the MD sensing an environment the MD is primarily operating in, and maintaining an ability to switch instantaneously to a different environment.

37. (Currently Amended) A system for dynamically configuring a mobile communication device (MD), the system comprising:

a server comprising storage means for storing data, the data comprising a plurality of functional instruction sets, content, and MD configuration software;

at least one dynamically configurable MD communicatively coupled to the server, wherein the MD is configurable to remotely request a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

means for configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

means for establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

means for alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table;

means for executing a dynamic reconfiguration of the MD using one of, the processing and storage capabilities of the MD; the processing and storage capabilities of the server; and processing and storage capabilities of the MD in conjunction with the processing and storage capabilities of the server;

means for the MD to download from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device;

means for dynamically reconfiguring the MD to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments; and means for the MD to sense an environment the MD is primarily operating in, and maintaining an ability to switch instantaneously to a different environment; and a Global Positioning System unit that allows the MD to know its exact location,

a Global Positioning System unit that allows the MD to know its exact location, wherein the exact location of the MD is used for a plurality of functions, including sensing one or more networks.

38. (Previously Presented) A method for dynamically configuring a mobile communication device (MD) in a mobile communication system, the method comprising:

storing data on a server, the data comprising a plurality of functional instruction sets, content, and MD configuration software;

the MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control, wherein communication protocols configure the mobile communication system for communication;

establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table;

executing a dynamic reconfiguration of the MD;

the MD downloading from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device;

the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments, wherein the MD is dynamically tuned for transmit and receive functions suitable for each environment;

the MD configured to bypass a public wireless carrier service when the public wireless carrier service is not required for communication; and

the MD sensing an environment the MD is primarily operating in, and maintaining an ability to switch instantaneously to a different environment, wherein the MD is configured to be in a watchdog or sleep mode in different environments.

# **Amendments to the Figures**

The attached sheet of drawings includes changes to Figure 2A. This sheet, which includes Figures 1 and 2A, replaces the original sheet, including Figures 1 and 2A. Figure 2A is amended to add reference numbers 282, 284, and 286.

#### REMARKS

In the *Office Action*, the Examiner rejected claims 36-38. Based on the foregoing amendments and following remarks, the Applicants respectfully request reconsideration of the pending application (*Instant Application*). No claims are added or canceled by this response. Claims 36 and 37 are amended to correct informalities. No new matter is added.

# Amendment to the Specification and Drawings

The drawings were objected to under 37 C.F.R. 1.83(a) for allegedly failing to show every feature of the invention specified in the claims. *Office Action*, 2. The Examiner identified "functional instruction sets, content, MD configuration software, remote TV controller, dynamic reconfiguration, macro command, IP environment, GPS system, watchdog or sleep mode" as not being shown in the drawings of the parent case, application serial number 09/591,381 (*Parent Case*). *Office Action*, 2.

The Applicants note that the drawings and specification in the *Instant Application* are the same as the *Parent Case*. Accordingly, the Applicants have amended Figure 2A to include reference to content, configuration software, macro command, and watchdog or sleep mode. No new matter is added. Support for the amendments may be found at least at page 4, lines 3 and 7; page 7, line 25; page 8, line 13; and page 13, line 2 of the *Parent Case* and the *Instant Application* as originally filed. In addition, page 4, lines 3 and 7; page 7, line 25; page 8, line 13; and page 13, line 2 of the *Instant Application* are amended to include reference numbers for the above features. The Applicants also note that dynamic reconfiguration may be performed and hence represented by MD configuration software as described in the specification. *Parent Case* and *Instant Application*, page 3, line 23 to page 4, line 4.

The Applicants respectfully disagree with the Examiner that the claimed functional instruction sets (FIS), remote TV controller, IP environment, and Global Positioning System (GPS) unit are not shown in the figures of the *Parent Case*. The claimed FIS is represented, for example, by reference number 218 in Figure 2A. The remote TV controller is shown at least at reference number 272 in Figure 2A. The GPS unit is depicted at least by reference number 220 in Figure 2A. The Applicants respectfully request that the objections to the drawing be withdrawn.

## Rejection under 112

Claim 38 was rejected under 35 U.S.C. § 112 as failing to comply with the written description requirement. *Office Action*, 3. Specifically, the Examiner contends that "[t]he 'watchdog or sleep mode' could not be found in the original specification of the parent case in 09/591,381 that was filed 9 June 2000." *Office Action*, 3. The Applicants respectfully traverse. The claimed watchdog or sleep mode is described at least at page 4, lines 6-7 and page 9, lines 5-7 in the specification of the parent case. The Applicants respectfully request that the § 112 rejection be withdrawn.

#### Rejection under 103(a) over Zilliacus, Shen, Watts, and Nelakanti

Claim 36 was rejected under 35 U.S.C. § 103(a) as being unpatentable over U.S. Patent No. 6,832,230 (*Zilliacus*) in view of U.S. Patent No. 6,401,059 (*Shen*), and United States Patent No. 6,119,186 (*Watts*), and U.S. Patent No. 6,993,359 (*Nelakanti*). *Office Action*, 4. Claim 37 was rejected under 35 U.S.C. § 103(a) as being unpatentable over *Zilliacus* in view of *Shen* and *Watts* and *Nelakanti* and U.S. Patent No. 6,591,103 (*Dunn*). *Office Action*, 8. Claim 38 was rejected under 35 U.S.C. § 103(a) as being unpatentable over *Zilliacus* in view of *Shen* and *Watts* and *Nelakanti*. *Office Action*, 9. Because the combination of *Zilliacus*, *Shen*, *Watts*, *Nelakanti*, and *Dunn* fails to make obvious each limitation of claims 36-38, the Applicants assert that these claims are patentable over the cited art.

The cited art does not teach or suggest "storing data on a server, the data comprising a plurality of functional instruction sets, content, and MD configuration software."

Claim 37 recites, among other features: "MD configuration software." MD configuration software may operate and control the constituent components of the MD's hardware and may allow the constituent components of the MD's hardware to communicate with each other. *Instant Application*, page 3, lines 11-22. In contrast, *Zilliacus* is merely concerned with controlling the download of application programs based on payments terms.

The Examiner contends that *Zilliacus* discloses the claimed MD configuration software: "(license, *Zilliacus* et al. Fig. 2)." *Office Action*, 4. However, *Zilliacus* teaches that a license only determines how much a user must pay to download an application program:

If there is a match between the current user identity 220 and user identity information 234 in the database, a further comparison is made to determine the licensing information 236, 238 for the user. The license-database 232 keeps track of a particular users current and past application selections and the lifetime for those applications. If the user previously paid for an unlimited application lifetime the license-database 232 will contain that information. If the user has previously paid for an unlimited lifetime the application is downloaded at block 426 without a reduced fee or without a fee for the application.

Zilliacus, col. 7. lines 35-45.

A license of *Zilliacus* is data and is not executed on a mobile device of *Zilliacus*. *Zilliacus*, col. 7. lines 35-45. In fact, the license database 122 is separate from the mobile device. *Zilliacus*, Figure 1. Clearly, a license of *Zilliacus* is not the claimed MD configuration software. Hence, *Zilliacus* does not teach explicitly or even impliedly the claimed MD configuration software.

Shen does not cure the deficiencies of Zilliacus. Shen merely teaches using a personal digital assistant as a remote control. Shen, col. 3, lines 17-18. As known in the art, Shen's emulator is an application program. As understood by one of ordinary skill in the art, application software is designed to help a user perform an activity, such as games, word processors, spreadsheets, and media players. Application software does not manage and integrate a digital device's capabilities. In fact, application software is

typically not allowed access to the platform hardware (e.g., Apple, Inc.'s iPod). Hence, *Shen* does not teach the claimed MD configuration software.

Also, *Watts* does not cure the deficiencies of *Zilliacus* and *Shen. Watts* merely teaches running different applications based upon environment information, which itself comes from application programs. *Watts'* disclosure is consistent with the view that application software is designed to help a user perform an activity, such as games, word processors, and media players. *Watts*, Figures 7, 8, 9a, and 9b. As explained above, application software does not manage and integrate a digital device's capabilities, including hardware. Hence, *Watts* does not teach the claimed MD configuration software.

In addition, *Nelakanti* does not cure the deficiencies of *Zilliacus*, *Shen*, and *Watts*. *Nelakanti* merely teaches a "communication system that operates both public and private wireless networks with the same public wireless protocol, such as GSM, and the private wireless network additionally operates with a wired-packet protocol." *Nelakanti*, col. 5, lines 1-4. As understood in the art, *Nelakanti* does not reconfigure hardware, but at best any protocol changes would be handled at an application level. Thus, *Nelakanti* does not teach managing and integrating a digital device's capabilities, including hardware. Hence, *Watts* does not teach the claimed MD configuration software.

Furthermore, *Dunn* does not cure the deficiencies of *Zilliacus*, *Shen*, *Watts*, and *Nelakanti*. *Dunn* merely teaches a "wireless telecommunication system enables a user to obtain communication services in overlapping wireless heterogeneous or homogeneous cellular networks." *Dunn*, Abstract. As known in the art, changing cellular networks does not reconfigure hardware, but at best would be handled at an application level. Hence, *Watts* does not teach the claimed MD configuration software.

Applicants note that it would not have been obvious to one of ordinary skill in the art to combine of *Zilliacus*, *Shen*, *Watts*, *Nelakanti*, and *Dunn*. Personal digital assistants (PDAs), as contemplated in *Shen*, do not include any cellular telephony or networking capability at all. *Shen* is absent any teaching of how to incorporate communications hardware and software in the PDA hardware architecture of *Shen*.

Watts is directed to computer systems having sophisticated hardware, applications, and operating systems. Watts is lacks any teaching of how to fit or even adapt the more complex hardware and software of a computer system into the mobile terminals of Zilliacus, the mobile stations of Nelakanti, or even the PDA of Shen. To even suggest that the combination of these five references could somehow (however unsuccessfully) amount to the claimed invention, would necessarily implicate improper hindsight teaching.

Because the cited art does not disclose each and every element of claim 36 and one of ordinary skill in the art could not have combined the cited references to produce the invention of claim 36, claim 36 is not obvious in view of the cited art and should be allowed. Independent claims 37 and 38 contain similar elements as independent claim 36, and are therefore allowable for similar reasons as claim 36.

To support a conclusion that a claim would have been obvious requires that all the claimed elements were known in the prior art and that one skilled in the art could have combined those elements. See *KSR International Co. v. Teleflex Inc.*, 127 S.Ct. 1727, 1739 (2007); see also MPEP § 2143. Based at least on the remarks herein, the Applicants submit that independent claims 36, 37, and 38 are patentable over the cited references.

#### **CONCLUSION**

Based on the foregoing amendments and remarks, the Applicants believe the rejections have been overcome, and that the present Application is in condition for allowance. If the Examiner has any questions regarding the case, the Examiner is invited to contact Applicants' undersigned representative.

The requisite fee for an extension of time up to the current date is included herewith.

The Commissioner is authorized to charge any underpayment or credit any overpayment to Deposit Account No. 06-0600 for any matter in connection with this response, including any fee for extension of time, which may be required.

Respectfully submitted, Raman K. Rao et al.

Date: July 1, 2011 By: /Breton G. Graham/

Breton G. Graham, Reg. No. 48,149

Carr & Ferrell LLP 120 Constitution Drive Menlo Park, CA 94025

Phone: (650) 812-3400 Fax: (650) 812-3444

# REPLACEMENT SHEET

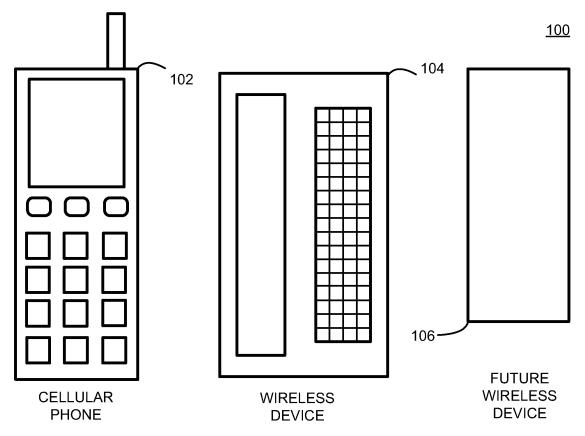
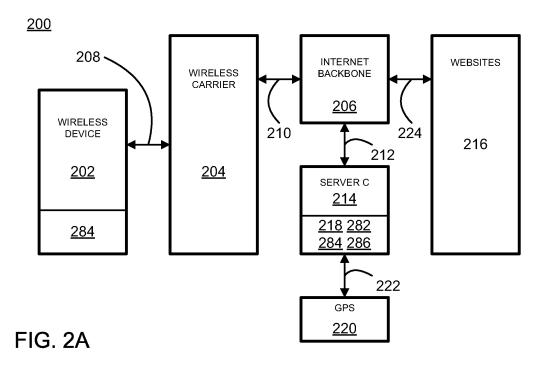


FIG. 1



Electronic Patent Application Fee Transmittal							
Application Number:	10911211						
Filing Date:	13-Oct-2004						
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks						
First Named Inventor/Applicant Name:	Raman K. Rao						
Filer:	Breton Geoffrey Graham						
Attorney Docket Number:	PA	PA5118US					
Filed as Small Entity							
Utility under 35 USC 111(a) Filing Fees							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							
Extension-of-Time:							
Extension - 3 months with \$0 paid		2253	1	555	555		

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
	Tot	al in USD	(\$)	555

Electronic Acl	knowledgement Receipt
EFS ID:	10442924
Application Number:	10911211
International Application Number:	
Confirmation Number:	7409
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks
First Named Inventor/Applicant Name:	Raman K. Rao
Customer Number:	22830
Filer:	Breton Geoffrey Graham/Breton Graham
Filer Authorized By:	Breton Geoffrey Graham
Attorney Docket Number:	PA5118US
Receipt Date:	01-JUL-2011
Filing Date:	13-OCT-2004
Time Stamp:	18:37:49
Application Type:	Utility under 35 USC 111(a)

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Payment was successfully received in RAM	\$555
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Amendment/Req. Reconsideration-After		156344	no	14
'	Non-Final Reject	ction_C.pdf	6fe1b291eb7931e32ff66ba12ddad5b3cbbf b3f9	110	
Warnings:					
Information:					
2	Drawings-other than black and white	5118US_Replacement_Drawin	24091	no	1
-	line drawings	g.pdf	df1dd22b4258099af2a06b5f567501ab960 af3cc		
Warnings:					
Information:					
3	Fee Worksheet (SB06)	fee-info.pdf	30216	no	2
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#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875							Application or Docket Number 10/911,211		Filing Date 10/13/2004		To be Mailed
APPLICATION AS FILED – PART I (Column 1) (Column 2)							SMALL	ENTITY 🛛	OR		HER THAN
FOR NUMBER FILED NUMBER EXTRA						RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)	
BASIC FEE (37 CFR 1.16(a), (b), or (c))			N/A		N/A		1	N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), o		N/A		N/A		N/A			N/A	
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A			N/A	
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IND	EPENDENT CLAIM CFR 1.16(h))	S	mi	inus 3 = *			X \$ =		1	X \$ =	
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							TOTAL ADD'L FEE	0	OR	TOTAL ADD'L FEE	
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≥	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
END	Application Si	ze Fee (37 CFR 1	16(s))								
AM	FIRST PRESEN	ITATION OF MULTIP	LE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If *** I	If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  * If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  ** If the "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

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and must	identify the application in which this P		<del></del>	
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Signature	Rekha k Ra		Date	11/29/2009
Name	REKHA K. RAD		Telepha	one 650-941-709
Title	CEO			

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STATEMENT UNDER 37 CFR 3.73(b)
pplicant/Patent Owner: Raman K. RAO et al.
pplication No./Patent No.: 10/911,211 Filed/issue Date: October 14, 2004
DYNAMICALLY CONFIGURABLE IP BASED WIRELESS DEVICE AND WIRELESS NETWORKS
Holdings, Inc.
ame of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.
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Reel, Frame, or for which a copy thereof is attached.
Additional documents in the chain of title are listed on a supplemental sheet(s).
As required by 37 CFR 3.73(b)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]
he undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
ohney U. Han/ September 27, 2011
Signature Date
ohney U. Han Attorney of record
Printed or Typed Name Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of sime you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer; U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Electronic Acknowledgement Receipt				
EFS ID:	11057905			
Application Number:	10911211			
International Application Number:				
Confirmation Number:	7409			
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks			
First Named Inventor/Applicant Name:	Raman K. Rao			
Customer Number:	22830			
Filer:	Johney U. Han/Quyen Nguyen			
Filer Authorized By:	Johney U. Han			
Attorney Docket Number:	PA5118US			
Receipt Date:	27-SEP-2011			
Filing Date:	13-OCT-2004			
Time Stamp:	13:55:04			
Application Type:	Utility under 35 USC 111(a)			

# Payment information:

Information:

Submitted with Payment	no
File Listing:	

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	IPHLNZ00501_20110927_powe	36841	no	1
1 Tower of Automicy	r_of_attorney.pdf	66fdaf0bb6d60d871eaf90e8419d4077c8f9 5ce3			
Warnings:					

2	Assignee showing of ownership per 37 CFR 3.73(b).	IPHLNZ00501_20110927_state ment_3-73b.pdf	325071 3e596db72c0b2531bcc6627373a51941400 81158	no	1	
Warnings:						
Information:						
		Total Files Size (in bytes):	ytes): 361912			

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/911,211	10/13/2004	Raman K. Rao	PA5118US 7409		
22830 CARR & FERF	7590 09/28/201 <b>RELL LLP</b>	EXAMINER			
120 CONSTITUTION DRIVE			IRACE, MICHAEL		
MENLO PARK, CA 94025			ART UNIT	PAPER NUMBER	
			2617		
			MAIL DATE	DELIVERY MODE	
			09/28/2011	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No.	Applicant(s)		
Office Action Comments	10/911,211	RAO ET AL.		
Office Action Summary	Examiner	Art Unit		
	MICHAEL IRACE	2617		
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet w	ith the correspondence address		
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNI 36(a). In no event, however, may a will apply and will expire SIX (6) MON cause the application to become Al	CATION. reply be timely filed  NTHS from the mailing date of this communication. BANDONED (35 U.S.C. § 133).		
Status				
1)⊠ Responsive to communication(s) filed on 01 Ju	<i>ıly 2011</i> .			
	action is non-final.			
3) An election was made by the applicant in response	onse to a restriction requi	rement set forth during the interview on		
; the restriction requirement and election	have been incorporated	into this action.		
4) Since this application is in condition for allowar	nce except for formal mat	ters, prosecution as to the merits is		
closed in accordance with the practice under E	x parte Quayle, 1935 C.E	). 11, 453 O.G. 213.		
Disposition of Claims				
5) Claim(s) 36-38 is/are pending in the application	٦.			
5a) Of the above claim(s) is/are withdraw				
6) Claim(s) is/are allowed.				
7)⊠ Claim(s) <u>36-38</u> is/are rejected.				
8) Claim(s) is/are objected to.				
9) Claim(s) are subject to restriction and/or	election requirement.			
Application Papers				
10) The specification is objected to by the Examine	r.			
11) The drawing(s) filed on is/are: a) acce		by the Examiner.		
	Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).			
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).				
12) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.				
Priority under 35 U.S.C. § 119				
13) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:		§ 119(a)-(d) or (f).		
<ul> <li>1. Certified copies of the priority documents have been received.</li> <li>2. Certified copies of the priority documents have been received in Application No.</li> </ul>				
<ul> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li> </ul>				
application from the International Bureau (PCT Rule 17.2(a)).				
* See the attached detailed Office action for a list		received.		
coo and actained detailed chief action for a list of the continua copies not received.				
Attachment(s)				
1) Notice of References Cited (PTO-892)	4) Interview	Summary (PTO-413)		
2) Notice of Draftsperson's Patent Drawing Review (PTO-948)	_ Paper No(	s)/Mail Date		
3) Information Disclosure Statement(s) (PTO/SB/08)	5) Notice of I	Informal Patent Application		

U.S. Patent and Trademark Office PTOL-326 (Rev. 03-11) Application/Control Number: 10/911,211 Page 2

Art Unit: 2617

#### **DETAILED ACTION**

### Response to Arguments

- 1. Applicant argues Zilliacus does not teach the MD configuration software wherein the MD configuration software may operate and control the constituent components of the MD's hardware and may allow the constituent components of the MD's hardware to communicate with each other. In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "wherein the MD configuration software may operate and control the constituent components of the MD's hardware and may allow the constituent components of the MD's hardware with each other") are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).
- 2. Applicant argues that Zilliacus licenses cannot be considered configuration software. The examiner respectfully disagrees, the licenses determines how to configure itself, when to delete/uninstall itself as well as many other configuration options (abstract, Figure 4 see also column 8 lines 5-20). Although the license may determine how much the user may pay this is not the only function of the license.
- 3. Additionally the examiner respectfully disagrees with the applicants arguments that application software does not manage and integrate a device capabilities. Not only is such a limitation not claimed but application software can enable/disable hardware functions can change input/output functions as well other changing hardware integration

Application/Control Number: 10/911,211

Art Unit: 2617

functions while being used through an operating system software. Should the applicant wish to claim changing operating system software, the applicant is invited to include the operating software in the claims (or at least "permanently and directly")

4. Applicant's argues that it would not have been obvious to one of ordinary skill in the art at the time the invention was made combine Shen with Zilliacus, as Shen does not disclose networking the PDA. The examiner respectfully disagrees, Shen discloses networking the PDA with computer via a wireless connection (Column 3 lines 1-15). Furthermore, Zilliacus discloses that PDA, smart phones and personal computers are all

analogous devices for which simple substitution would be obvious (Column 1 lines 30-

40).

The applicant is to note that the examiner for this application has changed.
 Should the applicant have any questions the applicant is invited to contact the examiner

at the new contact information as disclosed below.

### Claim Rejections - 35 USC § 112

Claim 38 is no longer rejected under USC 112 first paragraph.

### Claim Rejections - 35 USC § 103

6. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the

Page 3

Application/Control Number: 10/911,211 Page 4

Art Unit: 2617

invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

7. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:

- 1. Determining the scope and contents of the prior art.
- 2. Ascertaining the differences between the prior art and the claims at issue.
- 3. Resolving the level of ordinary skill in the pertinent art.
- 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 8. Claim 36 is rejected under 35 U.S.C. 103(a) as being unpatentable over Zilliacus (US 6,832230) in view of Shen (US 6,401,059) and Watts (US 6,119,186) and Nelakanti (US 6,993,359).

Re claim 36, Zilliacus teaches: Storing data on a server (application database, Zilliacus et al. Fig. 2), the data comprising a plurality of functional instruction sets (application, Zilliacus et al. Fig. 2), content (applications provide content like games, Zilliacus et al. cl 65-6), and MD configuration software (license, Zilliacus et al. Fig. 2).

Zilliacus further teaches: Executing a dynamic reconfiguration of the MD using one of,

The processing and storage capabilities of the MD;

The processing and storage capabilities of the server; and Processing and storage capabilities of the MD in conjunction with processing and storage capabilities of the server (mobile device is dynamically reconfigured when a new

Application/Control Number: 10/911,211

Art Unit: 2617

application is installed, hence processed, on the device from a storage device contained on a server, Zilliacus et al. Fig. 2);

The prior art teaches a cell phone. The prior art also teaches a method to download software onto a mobile device, similar to the applicant's claims of "requesting mode configuration of the server."

But the prior art does not specifically teach "The MD remotely requesting a mode configuration of the server, wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices." However, Shen teaches a remote TV controller application on a mobile device (Shen et al. abstract). Shen is analogous to the prior art, because Shen similarly teaches downloading applications to a mobile device.

Thus, it would have been obvious to a person having ordinary skill in the art to download an application to a mobile device (by the prior art), in which the application is an tv remote controller (by Shen). The combination is a convergence of mobile devices and simplifies the number of remote control devices.

Shen further teaches that once an application, like a tv remote controller, is downloaded onto a portable computer, like a mobile device, the device is configured:

Configuring the MD for a selected set of functions including communication (device is able to communicate with a TV and/or VCR, Shen et al. Fig. 2), computation (Shen teaches a CPU, and hence teaches computation required to execute commands, Shen et al. Fig. 1A), command (instructions for remote control, Shen et al. c3 16-29),

Page 5

Application/Control Number: 10/911,211

Art Unit: 2617

sensing (a PDA has different sensors for communication, user input, microphone, even sensing the current time; Shen teaches sensing user input in order to send a remote control command, Shen et al. c3 16-44) and control (remote devices like a TV and VCR are remotely controlled, Shen et al. Fig. 2);

Establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another (a mobile device, like a PDA, can perform different functions like a calendar, email, address book, and memo pad, Shen et al. cl 11-18). A mobile device is "dynamically reconfigured" when a new application is loaded onto the device.

#### Shen further teaches:

Alternatively accessing one of the plurality of functional instruction sets (application) from a storage device on the MD (different applications can be executed on a mobile device like a PDA, Shen et al. cl 11-18), wherein the storage device comprises at least one lookup table (like an array or data structure, like XML, of a program schedule stored on a mobile device, Shen et al. Fig. 3 and c2 51-65);

#### Shen further teaches:

The MD downloading from the server a macro command (downloading IR control information from the Internet, Shen et al. c3 45-51), wherein the macro command enables the MD to control a specific intelligent device (IR control information, Shen et al. c3 45-51).

Page 6

Application/Control Number: 10/911,211

Art Unit: 2617

The prior art teaches operating a device in a plurality of environments, such as when switching applications. But th

e prior art does not specifically teach "The MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment."

However, Watts teaches reconfiguring a computing device, like a mobile device, from an office environment to a home environment (Watts et al. c10 51-65). Watts also teaches reconfiguring transmitting/receiving with other devices depending on the environment (Watts et al. cl 3 20-34). Watts is analogous to the prior art, because Watts similarly teaches "dynamic reconfiguration."

Therefore, it would have been obvious to a person having ordinary skill in the art to reconfigure a computing device based on the operating environment (by Watts) in which the computing device is a mobile device (by prior art). The combination allows a computing device to change according to different environmental conditions (Watts et al. abstract). Watts further teaches: The MD sensing an environment the MD is primarily operating in (via an "informant" like GPS, Watts et al. c3 65-41), and maintaining an ability to switch instantaneously to a different environment (reconfiguring a computer to be operate from an work office desktop to a home office desktop, Watts et al. cl 0 51-65).

The prior art teaches reconfiguring between different environments, like between an office and home environment. But the prior art does not specifically teach

Page 7

Application/Control Number: 10/911,211

Art Unit: 2617

"dynamically reconfiguring" in environments comprising "an Internet protocol (IP) environment, and a plurality of public carrier environments." *However, Nelakanti teaches dynamically reconfiguring to transmit and receive in an IP environment (private wireless IP network) and a public carrier environment (public network such as GSM or PSTN) for the purpose of handover (Nelakanti et al. abstract).* 

Therefore, it would have been obvious to a person having ordinary skill in the art to "dynamically reconfigure" a mobile device in different environments (by prior art), such as an IP environment and a public carrier environment (by Nelakanti). The combination allows a mobile device to handoff communications between networks (Nelakanti et al. title). 8.

9. Claim 37 is rejected under 35 U.S.C. 103(a) as being unpatentable over Zilliacus et al. (US 6,832,230 B1) in view of Shen et al. (US 6,401,059 B1) and Watts et al. (US 6,119,186) and Nelakanti et al. (US 6,993,359 B1) and Dunn et al. (US 6,591,103 B1)...

Re claim 37, please see the rejection of similar claim 36. The additional limitations are addressed below. Watts further teaches: A Global Positioning System unit that allows the MD to know its exact location, wherein the exact location of the MD is used for a plurality of functions (GPS "informant" are used in an environment manager aware application program, Watts et al. c3 65-41).

Page 8

Application/Control Number: 10/911,211

Art Unit: 2617

The prior art teaches using location information to perform various functions, but the prior art may not exactly state using location data for "sensing one or more networks."

However, Dunn teaches using GPS location data to find a network (Dunn et al. abstract). Dunn is analogous to the prior art, because Dunn similarly teaches a location based service. Thus, it would have been obvious to a person having ordinary skill in the art to using location data from GPS (by prior art) to sense one or more networks (by Dunn). The combination allows a mobile device to be dynamically reconfigured in different network environments and locations.

10. Claim 38 rejected under 35 U.S.C. 103(a) as being unpatentable over Zilliacus et al. (US 6,832,230 B1) in view of Shen et al. (US 6,401,059 B1) and Watts et al. (US 6,119,186) and Nelakanti et al. (US 6,993,359 B1). 11..

Re claim 38, please see the rejection of similar claim 36. The additional limitations are addressed below. *Nelakanti further teaches: Wherein communication protocols configure the mobile communication system for communication (GSM, PSTN, ISDN, IP protocols, etc. configure a communication system for communication, Nelakanti et al. abstract);* Wherein the MD is dynamically tuned for transmit and receive functions suitable for each environment (such as switching from one set of frequencies in a cell to another set of frequencies in a different cell during handover, Nelakanti et al. c7 23-48);

Page 9

Application/Control Number: 10/911,211 Page 10

Art Unit: 2617

The MD configured to bypass a public wireless carrier service when the public wireless carrier service is not required for communication (handover between public and private networks, Nelakanti et al. abstract). Watts further teaches: Wherein the MD is configured to be in a watchdog OR sleep mode in different environments (shutting down unnecessary systems and software based on environment, like being on an airplane, Watts et al. cl 1 51-67).

#### Conclusion

11. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL IRACE whose telephone number is (571)270-

Application/Control Number: 10/911,211 Page 11

Art Unit: 2617

7273. The examiner can normally be reached on Monday through Friday 8:00am to

5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Patrick Edouard can be reached on (571)272-7603. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MICHAEL IRACE/

Examiner, Art Unit 2617

/Patrick N. Edouard/

**Supervisory Patent Examiner, Art Unit 2617** 

Ex.1002

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp 2011/09/21 17:52	
L2	50	"6401059"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF		
L3	2	"61191186"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 18:25	
L4	34	"6119186"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 18:25	
L5	51	cell phone with application with remote control	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 18:56	
L6	269	phone with application with remote control	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 18:57	
L7	113	phone with application with remote control	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	2011/09/21 18:57		
L8	983	provision\$4 with remote control	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 18:59	
L9	61	provision\$4 with remote control and cellular	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>A</b> DJ	OFF	2011/09/21 18:59	
L10	0	provision\$4 with universal control and cellular	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 19:00	
L11	3	provision\$4 with universal remote and cellular	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 19:00	
L12	2019	provision\$4 with location and (cellular\$4)	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>A</b> DJ	OFF	2011/09/21 19:00	
L13	336	provisioning with location and (cellular\$4)	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>A</b> DJ	OFF	2011/09/21 19:00	
L14	70	provisioning with location and (cell\$4) and (remote or universal) near1 control	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 19:01	
L15	8	provisioning with location and (cell\$4) and (remote or universal) near1 control same (tv or television)	USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2011/09/21 19:02	

L16 36	provisioning with location with	USPAT; FPRS; EPO;	ADJ	OFF	2011/09/21
	function and (cell\$4)	JPO; DERWENT;			19:11
		IBM_TDB			

# **EAST Search History (Interference)**

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# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
10911211	RAO ET AL.
Examiner	Art Unit
DAVID WANG	2617

SEARCHED									
Class	Subclass	Date	Examiner						
455	461	3/14/2008	DW						
709	221	3/14/2008	DW						
710	104	3/14/2008	DW						

SEARCH NOTES									
Search Notes Date Examir									
please see attached	3/14/2008	DW							
consulted Duc Nguyen SPE regarding the use of the Logitech Harmony remote controller	3/11/2008	DW							
google search for "(buy OR purchase) applications from mobile phone"	12/3/2010	DW							
google search for "finding network with GPS location" and "(detecting OR sensing) (home OR office OR work) environment GPS"	12/9/2010	DW							
consulted Huy Phan	12/9/2010	DW							
Search East see attached.									

	INTERFERENCE SEARCH		
Class	Subclass	Date	Examiner

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

☐ Claims	renumbered	in the same	order as pr	esented by a	applicant		□ СРА	□ т.с	D. 🗆	R.1.47
CLA	MIA					DATE				
Final	Original	03/14/2008	12/09/2010	09/21/2011						
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	34	-	-	-						
	35	-	-	-						
	36	✓	✓	✓						

U.S. Patent and Trademark Office

Part of Paper No.: 20110921

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

<b>✓</b>	Rejected		cted - Cancelled N N		Non-Elected	_ A		Appeal					
= Allowed			÷	Res	tricted		I Interference		O Objec		ected		
С	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47												
	CLAIM DATE												
Fir	nal	Original	03/14/200	08 1	2/09/2010	09/21/2011							

U.S. Patent and Trademark Office Part of Paper No.: 20110921

Doc Code: PET.POA.WDRW

Document Description: Petition to withdraw attorney or agent (SB83)

PTO/SB/83 (11-08) Approved for use through 11/30/2011. OMB 0651-0035

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT AND CHANGE OF **CORRESPONDENCE ADDRESS** 

' '	<u> </u>
Application Number	10/911,211
Filing Date	2004-10-13
First Named Inventor	Raman K. Rao et al.
Art Unit	2617
Examiner Name	Michael Irace
Attorney Docket Number	PA5118US

To: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450				
Please withdraw me as attorney or agent for the above identified patent application, and				
all the practitioners of record;				
the practitioners (with registration numbers) of record listed on the attached paper(s); or				
the practitioners of record associated with Customer Number:22830				
<b>NOTE</b> : The immediately preceding box should only be marked when the practitioners were appointed using the listed Customer Number.				
The reason(s) for this request are those described in 37 CFR:				
10.40(b)(1) 10.40(b)(2) 10.40(b)(3) 10.40(b)(4)				
10.40(c)(1)(i) 10.40(c)(1)(ii) 10.40(c)(1)(iii) 10.40(c)(1)(iv)				
10.40(c)(1)(v) 10.40(c)(1)(vi) 10.40(c)(2) 10.40(c)(3)				
10.40(c)(4) 10.40(c)(5) 10.40(c)(6) Please explain below:				
Certifications				
Check each box below that is factually correct. WARNING: If a box is left unchecked, the request will likely not be approved.				
1.				
2. I/We have delivered to the client or a duly authorized representative of the client all papers and property (including funds) to which the client is entitled.				
3. I/We have notified the client of any responses that may be due and the time frame within which the client must respond.				
Please provide an explanation, if necessary:				

[Page 1 of 2]

This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Approved for use through 11/30/2011. OMB 0651-0035 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# REQUEST FOR WITHDRAWAL AS ATTORNEY OR AGENT

	AND CHANGE OF CORRESPONDENCE ADDRESS						
			correspondence ad self of record pursuan			s of add	ress will only be accepted to an
Change the	corresponden	ce address and di	rect all future corre	spondence	to:		
A. The	e address of the	inventor or assig	nee associated witl	n Customer	Number:		
OR							
-   •//	entor or signee name	Sanjay K. Rao					
Address	IP Holdings, I	lnc. 514 Bryant	Street, Suite #102	2			
City Palo	Alto	State CA		Zip 94301			Country USA
Telephone	650.906.3	755	Er	Email sanjayrao@ipholdings.com			
I am autho	orized to sign o	on behalf of mys	self and all withdr	awing prac	titioners.		
Signature	/Breton G. G	Graham/					
Name	Breton G. G	raham			Registration	No. 4	8,149
Address	120 Constitution	on Drive					
City Menlo Park State CA			Zip 94025 Cour		Count	ry USA	
Date	tte September 21, 2011 Telephone No. 650 812-3400						
NOTE: Withdrawal is effective when approved rather than when received.							

[Page 2 of 2]
This collection of information is required by 37 CFR 1.36. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# **Privacy Act Statement**

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt					
EFS ID:	11011527				
Application Number:	10911211				
International Application Number:					
Confirmation Number:	7409				
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks				
First Named Inventor/Applicant Name:	Raman K. Rao				
Customer Number:	22830				
Filer:	Breton Geoffrey Graham				
Filer Authorized By:					
Attorney Docket Number:	PA5118US				
Receipt Date:	28-SEP-2011				
Filing Date:	13-OCT-2004				
Time Stamp:	21:56:50				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted wi	th Payment	no								
File Listing:										
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)					
1	Petition to withdraw attorney or agent	5118US_Request_for_Withd	75487	87 no						
·	(SB83)	wal_SB83.pdf	48fd4a2d85f12d3d446b2681797cc167bd4 91813		3					
Warnings:										
Information:	Information:									

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEXANDRA Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 10/911,211 10/13/2004 Raman K. Rao **PA5118US** 

22830 **CARR & FERRELL LLP** 120 CONSTITUTION DRIVE MENLO PARK, CA 94025

**CONFIRMATION NO. 7409 POWER OF ATTORNEY NOTICE** 



Date Mailed: 10/04/2011

# NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/27/2011.

• The Power of Attorney to you in this application has been revoked by the assignee who has intervened as provided by 37 CFR 3.71. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

/sleutchit/			

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101



# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEXANDRA Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371(C) DATE 10/911,211

10/13/2004

FIRST NAMED APPLICANT Raman K. Rao

ATTY. DOCKET NO./TITLE IPHLNZ00501

**CONFIRMATION NO. 7409** 

**POA ACCEPTANCE LETTER** 

40518 LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303



Date Mailed: 10/04/2011

### NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 09/27/2011.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/sleutchit/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101





Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

COURTNEY STANIFORD & GREGORY LLP PO BOX 9807 SAN JOSE, CA 95157

MAILED OCT 14°2011

OFFICE OF PETITIONS

In re Application of Raman K. Rao, et al. Application No. 10/911,211 Filed: October 13, 2004

Attorney Docket No. IPHLNZ00501

DECISION ON PETITION TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed July 22, 2009.

The request is **DISMISSED** as moot.

A review of the file record indicates that the power of attorney to Courtney, Staniford & Gregory, LLP has been revoked by the assignee of the patent application on December 23, 2009. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the address of record until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to undersigned at 571-272-1642.

/AMW/ April M. Wise Petitions Examiner Office of Petitions



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

MAILED 00T 14\*2011

OFFICE OF PETITIONS

TO WITHDRAW

CARR & FERRELL, LLP 2200 GENG ROAD PALO ALTO, CA 94303

In re Application of

Raman K. Rao, et al.

Application No. 10/911,211 : DECISION ON PETITION

Filed: October 13, 2004

Attorney Docket No. IPHLNZ00501 : FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed September 28, 2011.

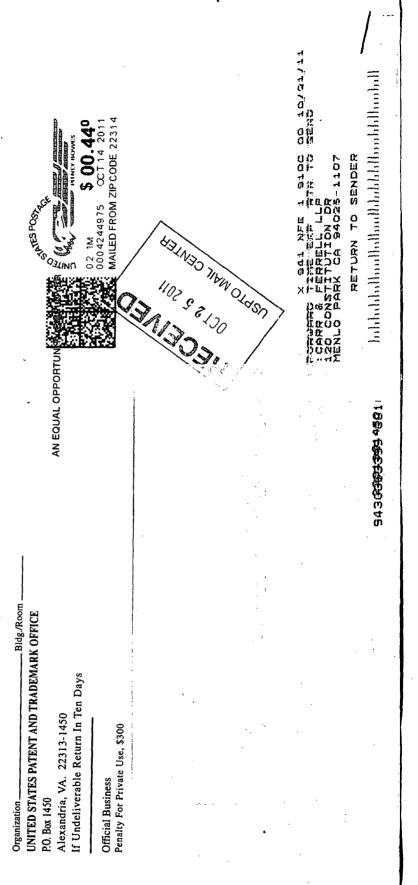
The request is **DISMISSED** as moot.

A review of the file record indicates that the power of attorney to Carr & Ferrell, LLP has been revoked by the assignee of the patent application on September 27, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to undersigned at 571-272-1642.

/AMW/ April M. Wise Petitions Examiner Office of Petitions



# UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450

MAILED OCT 14 2011

OFFICE OF PETITIONS

ETEMBER & FERRELL, LLP 2200 GENG ROAD PALO ALTO, CA 94303

In re Application of Raman K. Rao, et al. Application No. 10/911,211 Filed: October 13, 2004

Attorney Docket No. IPHLNZ00501

**DECISION ON PETITION** 

TO WITHDRAW FROM RECORD

This is a decision on the Request to Withdraw as attorney or agent of record under 37 C.F.R. § 1.36(b), filed September 28, 2011.

The request is **DISMISSED** as moot.

A review of the file record indicates that the power of attorney to Carr & Ferrell, LLP has been revoked by the assignee of the patent application on September 27, 2011. Accordingly, the request to withdraw under 37 C.F.R. § 1.36(b) is moot.

All future communications from the Office will continue to be directed to the below-listed address until otherwise notified by applicant.

Telephone inquires concerning this decision should be directed to undersigned at 571-272-1642.

/AMW/ April M. Wise Petitions Examiner Office of Petitions

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-09)

Request for Continued Examination (RCE)

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)							
Application Number	10/911,211	Filing Date	2004-10-13	Docket Number (if applicable)	IPHLNZ00501	Art Unit	2617
First Named Inventor	Raman K. RAO e	et al.		Examiner Name	Michael Irace		
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV						
		S	UBMISSION REQ	UIRED UNDER 37	CFR 1.114		
in which they	were filed unless	applicant ins		pplicant does not wi	nents enclosed with the RCE wil sh to have any previously filed ι		
	y submitted. If a fir on even if this box		•	any amendments file	d after the final Office action ma	ay be con	sidered as a
☐ Co	nsider the argume	ents in the A	ppeal Brief or Reply	Brief previously filed	on		
☐ Oti	her 						
<b>X</b> Enclosed							
<b>⋉</b> An	nendment/Reply						
☐ Info	ormation Disclosu	re Statemer	nt (IDS)				
☐ Aff	idavit(s)/ Declarat	on(s)					
X Ot	her Petition for	Extension o	f Time				
MISCELLANEOUS							
				requested under 37 e er 37 CFR 1.17(i) re	CFR 1.103(c) for a period of moquired)	onths _	
Other							
				FEES			
★ The Dire	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 503973						
	;	SIGNATUR	RE OF APPLICANT	Γ, ATTORNEY, OF	R AGENT REQUIRED		
<b>⋉</b> Patent	Practitioner Sign	ature					
Application	ant Signature						

Doc code: RCEX

PTO/SB/30EFS (07-09)
Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

 Signature of Registered U.S. Patent Practitioner				
Signature	/Johney U. Han/	Date (YYYY-MM-DD)	2012-03-28	
 Name	Johney U. Han	Registration Number	45565	

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Attorney Docket No.: IPHLNZ00501

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: March 28, 2012 Signature: Quyen B. Nguyen B. Nguyen Quyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B. Nguyen B.

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004

Inventor(s): Raman K. RAO et al.

Title: DYNAMICALLY CONFIGURABLE IP BASED WIRELESS

DEVICE AND WIRELESS NETWORKS

Examiner: Michael Irace

Group Art Unit: 2617

#### RESPONSE TO FINAL OFFICE ACTION

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the final Office Action dated September 28, 2011 for which a response was due on December 28, 2011. Filed herewith is a Petition and fee for a three-month extension of time, thereby extending the deadline for response to March 28, 2012. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the Remarks presented herein are respectfully requested.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 6 of this paper.

#### REMARKS

Claims 36-38 were pending in the present application. By virtue of this response, claims 37-38 have been canceled, claim 36 has been amended, and new claims 41-43 have been added. Accordingly, claims 36 and 39-43 are currently under consideration.

Amendment and cancellation of certain claims is not to be construed as a dedication to the public of any of the subject matter of the claims as previously presented. No new matter has been added.

Support for newly added claims 39-43 may be found in the claimed priority Application Serial Nos. 08/764,903 and 09/281,739 (now U.S. Patent No. 6,169,789). In particular, support may be found in U.S. Patent No. 6,169,789 at the following:

- Column 5, lines 21
- Column 6, line 24
- Column 8, lines 40
- Column 14, lines 1-2
- Column 14, lines 36
- Column 16, lines 35
- Column 18, lines 1-5
- Column 21, lines 21 25

Support may also be found in the present application at the following:

- Column 7, line 28 "Server C 214 is used to keep various "functional instruction set" and software 218 for use by CT/MD."
- Column 2, lines 30 "In the present invention, a cell phone acts as a radio, TV, and pager to receive and transmit at different frequencies."
- Column 2, lines 50: "In the present invention a phone or other wireless device can be a remote TV controller, .."
- Column 3, lines 52: "When a CT/MD 202 wishes to use the services of Server C 214, the Server C 214 delivers the content or performs functions as requested by the CT/MD 202."
- Column 3, lines 55: "A CT/MD 202 can store profiles and other user specific information on Server C 214."

## Rejections under 35 USC §103(a)

A. Claim 36 is rejected under 35 USC §103(a) as allegedly being unpatentable over Zilliacus (US 6,832,230) in view of Shen (US 6,401,059), Watts (US 6,119,186) and Nelakanti (US 6,993,359).

Independent claim 36 has been amended to recite "MD configuration software which is configured to operate and control constituent components of MD hardware". As noted by the Office, these features were previously argued but not recited within the claim; however, Applicant has amended the claim to specifically include these limitations.

Zilliacus fails to teach or disclose the MD configuration software which is configured to operate and control the constituent components of MD hardware, as presently recited. Zilliacus may teach a license which can delete/uninstall itself, however, such a license cannot be said to be configured to operate and control constituent components of MD hardware nor can this license of Zilliacus be said to allow for the constituent components to communicate with one another.

Each of the additionally cited references Shen, Watts, and Nelakanti fail to cure the defects of Zilliacus. Thus, amended claim 36 is patentable over each of the references alone or in combination for at least these reasons. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 USC §103(a).

**B.** Claim 37 is rejected under 35 USC §103(a) as allegedly being unpatentable over Zilliacus in view of Shen, Watts, Nelakanti, and Dunn et al (US 6,591,103).

Independent claim 37 has been canceled thus rendering this rejection moot. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 USC §103(a).

C. Claim 38 is rejected under 35 USC §103(a) as allegedly being unpatentable over Zilliacus in view of Shen, Watts, and Nelakanti.

Independent claim 38 has been canceled thus rendering this rejection moot. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 USC §103(a).

#### CONCLUSION

In view of the above, each of the presently pending claims in this application is believed to be in condition for allowance. Accordingly, the Examiner is respectfully requested to withdraw the outstanding rejections and pass this application to issue. If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing to **Deposit Account No. 50-3973** referencing Attorney Docket No. **IPHLNZ00501**. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Respectfully submitted,

/Johney U. Han/

Johney U. Han Registration No. 45,565

Customer No. 40518 Levine Bagade Han LLP 2400 Geng Road, Suite 120 Palo Alto, CA 94303 Direct: (650) 242-4217

Fax: (650) 284-2180

PTO/SE/22 (89-11)
Approved for use through 07/31/2012, OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARMENT OF COMMERCE Under the peperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PE	TITION	FOR EXTENSION OF TIME UNDER	Docket Number (Optional) IPHLNZ00501			
App	lication I	Number 10/911,211	Filed October 13, 2	Filed October 13, 2004		
For	DYN	AMICALLY CONFIGURABLE IP BAS	ED WIRELESS DEV	ICE AND WIRELES	S NETWORKS	
Ast l	Jnit 26	17		Examiner Michael In	ace	
	is a req lication.	uest under the provisions of 37 CFR 1.136	i(a) to extend the perio	ed for filing a reply in the	e above identified	
The	request	ed extension and fee are as follows (check	time period desired a		e fee below):	
	<u></u>	One march (27 CCD 4 47/4/4)	Fee	Small Entity Fee	er.	
	느	One month (37 CFR 1.17(a)(1))	\$150	\$75	\$	
		Two months (37 CFR 1.17(a)(2))	\$560	\$280	\$	
		Three months (37 CFR 1.17(a)(3))	\$1270	\$ <del>6</del> 35	\$_ <del>635</del>	
		Four months (37 CFR 1.17(a)(4))	\$1980	\$990	\$	
		Five months (37 CFR 1.17(a)(5))	\$2690	\$1345	\$	
	Applica	nt claims small entity status. See 37 CFR	1.27.			
	A chec	k in the amount of the fee is enclosed.				
Ø	Payme	nt by credit card. Form PTO 2038 is a	ttached			
	The Di	rector has already been authorized to	charge fees in this a	pplication to a Depo	sit Account.	
Ø		rector is hereby authorized to charge at Account Number 50-3973	any fees which may l	be required, or credit	any overpayment, to	
	WARNIN Provide	IG: Information on this form may become pu credit card information and authorization or	iblic. Credit card inform PTO-2038.	ation should not be incl	uded on this form.	
lar	n the	applicant/inventor.				
		assignee of record of the entire Statement under 37 CFR 3.				
		attorney or agent of record. Re	gístration Number <u>4</u>	5,565		
	attorney or agent under 37 CFR 1.34.  Registration number if acting under 37 CFR 1.34					
/Johney U. Han/ March 28, 2012						
		Signature		Date		
Johney U. Han (650) 242-4217					7	
	Typed or printed name Telephone Number					
		es of all the inventors or assignees of record of the en aired, see below.	tire interest or their represent	tative(s) are required. Submit	multiple forms if more than one	
	Total of 1 forms are submitted					

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1459, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Electronic Patent Application Fee Transmittal						
Application Number:	10911211					
Filing Date:	13-	Oct-2004				
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks					
First Named Inventor/Applicant Name:	Rai	man K. Rao				
Filer:	Joł	nney U. Han/Quyen	Nguyen			
Attorney Docket Number:	IPH	ILNZ00501				
Filed as Small Entity						
Utility under 35 USC 111(a) Filing Fees						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						
Extension - 3 months with \$0 paid		2253	1	635	635	

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Request for continued examination	2801	1	465	465
	Tot	al in USD	(\$)	1100

Electronic Acknowledgement Receipt						
EFS ID:	12418250					
Application Number:	10911211					
International Application Number:						
Confirmation Number:	7409					
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks					
First Named Inventor/Applicant Name:	Raman K. Rao					
Customer Number:	40518					
Filer:	Johney U. Han/Quyen Nguyen					
Filer Authorized By:	Johney U. Han					
Attorney Docket Number:	IPHLNZ00501					
Receipt Date:	28-MAR-2012					
Filing Date:	13-OCT-2004					
Time Stamp:	19:27:12					
Application Type:	Utility under 35 USC 111(a)					

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1100
RAM confirmation Number	6398
Deposit Account	
Authorized User	

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Naiile	Message Digest	Part /.zip	(if appl.)

1	Request for Continued Examination	1_IPHLNZ00501_20120328_rce	697488	no	3			
	(RCE)	_efs.pdf	2ae2e2fcc57085711c9e9e57f3eb79385821 d4d8					
Warnings:		1						
Information:								
2		2_IPHLNZ00501_20120328_res	1521304	yes	8			
		ponse_final_oa.pdf	37e0483de5ae0c2fa4e7e7604197275b39c 01a98	,				
Multipart Description/PDF files in .zip description								
	Document De	Start	E	nd				
	Amendment Submitted/Entere	1	1					
	Specificat	2	2					
	Claims	3	5					
	Applicant Arguments/Remarks	6	8					
Warnings:								
Information:								
3	Extension of Time	3_IPHLNZ00501_20120328_ext	300131	no	1			
		ension_of_time.pdf	c30bf73b14ab7b99d6c1917f1930344c74d 768c1					
Warnings:					•			
Information:								
4	Fee Worksheet (SB06)	fee-info.pdf	32148	no	2			
- <b>1</b>	rec worldness (Space)	ice inicipal	c949bd23204b84a98be560e1a07a3e140a7 f2d98	110				
Warnings:								
Information:								
		Total Files Size (in bytes)	25.	51071				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (07-06)

Approved for use through 1/31/2007. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD  Substitute for Form PTO-875						Application or Docket Number 10/911,211		Filing Date 10/13/2004		To be Mailed	
APPLICATION AS FILED – PART I (Column 1) (Column 2)						SMALL ENTITY 🛛			OTHER THAN OR SMALL ENTITY		
FOR NUMBER FILED		<u> </u>	MBER EXTRA		RATE (\$)	FEE (\$)		RATE (\$)	FEE (\$)		
BASIC FEE (37 CFR 1.16(a), (b), or (c))		or (c))	N/A	N/A			N/A		1	N/A	
	SEARCH FEE (37 CFR 1.16(k), (i), or (m))		N/A		N/A		N/A		1	N/A	
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))			N/A	N/A			N/A			N/A	
TOTAL CLAIMS (37 CFR 1.16(i))			min	us 20 = *			X \$ =		OR	X \$ =	
IND	EPENDENT CLAIM CFR 1.16(h))	S	mi	inus 3 = *			X \$ =		1	X \$ =	
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).				n size fee due for each n thereof. See						
Ш	MULTIPLE DEPEN	IDENT CLAIM PRI	ESENT (3	7 CFR 1.16(j))							
* If the difference in column 1 is less than zero, enter "0" in column 2. TOTAL							TOTAL				
	APPLICATION AS AMENDED – PART II OTHER THAN (Column 1) (Column 2) (Column 3) SMALL ENTITY OR SMALL ENTITY								ER THAN LLL ENTITY		
Н		(Column 1) CLAIMS		(Column 2) HIGHEST	(Column 3)	1	SIVIAL	L LINIIII	OR	SIVIA	LL LIVIIII
AMENDMENT	03/28/2012	REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
)ME	Total (37 CFR 1.16(i))	* 6	Minus	** 23	=		X \$ =		OR	X \$ =	
۱	Independent (37 CFR 1.16(h))	* 4	Minus	***4	=		X \$ =		OR	X \$ =	
ΔM	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
		(Column 1)		(Column 2)	(Column 3)						
L		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE (\$)	ADDITIONAL FEE (\$)		RATE (\$)	ADDITIONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		OR	X \$ =	
I≥	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		OR	X \$ =	
END	Application Si	ze Fee (37 CFR 1	.16(s))								
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))								OR		
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE	
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# AMENDMENTS TO THE SPECIFICATION

On page 1, please amend the "CROSS REFERENCE TO RELATED APPLICATIONS" paragraph beginning on line 9 as follows:

The present application is a <u>divisional of U.S. Application No. 09/591,381 filed June 9, 2000 (now U.S. Patent No. 7,929,950) which is a continuation-in-part of copending application entitled INTELLIGENT KEYBOARD SYSTEM, Serial No. 09/281,739, filed June 4, 1999, (now U.S. Patent No. 6,169,789) which is a continuation-in-part application of a now abandoned application entitled A SYSTEM LEVEL SCHEME TO CONTROL INTELLIGENT APPLIANCES, Serial No. 08/764,903 filed December 16, 1996.</u>

#### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### In the claims

1.-35. (Canceled).

36. (Currently Amended): In a mobile communication system, a A method for configuring a mobile communication device (MD), the method comprising:

storing data on a server, the data comprising a plurality of functional instruction sets, content, <u>software</u>, or [[and]] MD configuration software <u>which is configured to operate and control components of MD hardware</u>;

the MD remotely requesting a mode configuration of software or a functional instruction set from the server,

wherein the MD downloads software or the functional instruction set from the server,
wherein the MD stores the software or the functional instruction set in a storage
medium, and

wherein the MD includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device

wherein the server configures the MD as one or more of a plurality of intelligent devices comprising a cell phone, a remote TV controller, and a remote controller of a plurality of devices;

configuring the MD for a selected set of functions including communication, computation, command, sensing and control;

establishing the plurality of functional instructions for dynamic reconfiguration of the MD from one of the selected sets of functions to another;

alternatively accessing one of the plurality of functional instruction sets from a storage device on the MD, wherein the storage device comprises at least one lookup table; executing a dynamic reconfiguration of the MD using one of,

the processing and storage capabilities of the MD;

the processing and storage capabilities of the server; and

processing and storage capabilities of the MD in conjunction with the

processing and storage capabilities of the server;

the MD downloading from the server a macro command, wherein the macro command enables the MD to control a specific intelligent device;

the MD dynamically reconfiguring to transmit and receive in a plurality of environments, comprising an office environment, a home environment, an Internet protocol (IP) environment, and a plurality of public carrier environments; and

the MD sensing an environment the MD is primarily operating in, and maintaining an ability to switch instantaneously to a different environment.

,37.-38. (Canceled).

39. (New): A system comprising:

a server,

wherein the server stores in memory software or functional instructions sets for a wireless device,

wherein the server sends to the wireless device software or functional instruction sets, wherein the server stores profiles or other user specific information, and wherein the wireless device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device.

- 40. (New): The system of claim 39, wherein the profiles contain information for both a user and a device.
  - 41. (New): A wireless electronic device, the device comprising:
  - a processor;
  - a memory;
  - a unit for wireless communication;

wherein the device is capable of voice and data communication,

4 of 8

wherein the device connects to a server,

wherein the device downloads a software application or a functional instruction set from a server,

wherein the software or the functional instruction set is configured to operate and control components of device hardware,

wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, and

wherein the software or the functional instruction set is associated with a user and a device.

- 42. (New): The device of claim 40, wherein the device downloads an application to function as a remote control for one or more devices including a television.
- 43. (New): A non-transitory computer readable storage medium for a wireless device comprising:

an application software to be run by a processor on a wireless device, wherein the wireless device includes the functions of a cellular telephone, PDA, handheld computer, or multifunction communication device,

wherein the device is configured to send a request to a server or device, and wherein the request consists of a control function for a television.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211 10/13/2004 Raman K. Rao		Raman K. Rao	IPHLNZ00501	7409
	7590 06/18/201 ADE HAN LLP	3	EXAM	IINER
	OAD, SUITE 120		IRACE, M	MICHAEL
FALO ALTO,	CA 94303		ART UNIT	PAPER NUMBER
			2644	
			MAIL DATE	DELIVERY MODE
			06/18/2013	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Application No. 10/911,211	Applicant(s) RAO ET AL.	
Office Action Summary	Examiner MICHAEL IRACE	Art Unit 2644	AIA (First Inventor to File) Status No
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orresponden	ce address
A SHORTENED STATUTORY PERIOD FOR REPLY WHICHEVER IS LONGER, FROM THE MAILING DA  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	ATE OF THIS COMMUNICATION 36(a). In no event, however, may a reply be timustill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	N. nely filed the mailing date o D (35 U.S.C. § 13	of this communication.
Status			
1) Responsive to communication(s) filed on <u>28 Marticles</u> A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b> 2a) This action is <b>FINAL</b> . 2b) This  3) An election was made by the applicant in responsible to the restriction requirement and election  4) Since this application is in condition for allowant closed in accordance with the practice under E	30(b) was/were filed on action is non-final. onse to a restriction requirement in have been incorporated into this ace except for formal matters, pro-	s action. osecution as	
Disposition of Claims			
5) Claim(s) 36 and 39-43 is/are pending in the app 5a) Of the above claim(s) is/are withdraw 6) Claim(s) is/are allowed.  7) Claim(s) 36 and39-43 is/are rejected.  8) Claim(s) is/are objected to.  9) Claim(s) are subject to restriction and/or are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the app of the above claims are subject to restriction and/or the app of the above claims are subject to restriction and/or the app of the above claims are subject to restriction and/or the app of the above claims are subject to restriction and/or the above claims are subject to restriction and/or the above claims are subject to restriction and/or the above claims are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and/or the are subject to restriction and are subject to restrictio	vn from consideration.  relection requirement. gible to benefit from the Patent Prosportion. For more information, plea	ase see	<b>ıway</b> program at a
Application Papers			
<ul> <li>10) The specification is objected to by the Examiner</li> <li>11) The drawing(s) filed on is/are: a) access</li> <li>Applicant may not request that any objection to the construction</li> <li>Replacement drawing sheet(s) including the correction</li> </ul>	epted or b) $\square$ objected to by the Edrawing(s) be held in abeyance. See	e 37 CFR 1.85	
Priority under 35 U.S.C. § 119			
12) ☐ Acknowledgment is made of a claim for foreign  Certified copies:  a) ☐ All b) ☐ Some * c) ☐ None of the:  1. ☐ Certified copies of the priority document  2. ☐ Certified copies of the priority document  3. ☐ Copies of the certified copies of the prio application from the International Bureau  * See the attached detailed Office action for a list of Interim copies:  a) ☐ All b) ☐ Some c) ☐ None of the: Interi	s have been received. s have been received in Applicat rity documents have been receiv I (PCT Rule 17.2(a)).	tion No red in this Na	tional Stage
Attachment(s)			
1) Notice of References Cited (PTO-892) 2) Information Disclosure Statement(s) (PTO/SB/08) Paper No(s)/Mail Date	3)	ate	

U.S. Patent and Trademark Office PTOL-326 (Rev. 03-13) Application/Control Number: 10/911,211 Page 2

Art Unit: 2644

#### **DETAILED ACTION**

# Response to Arguments

1. Applicant's arguments with respect to claims 36-43 have been considered but are most because the arguments do not apply to any of the references being used in the current rejection.

# Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 3. Claims 41 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) in view of Bates (US 6,628,964)

Art Unit: 2644

With regard to Claim 41 and 36, "A wireless electronic device, the device comprising: a processor; a memory; a unit for wireless communication;" *Ondeck discloses a wireless communication device with a processor (paragraph 20)* 

"wherein the device connects to a server, wherein the device downloads a software application or a functional instruction set from a server," *Ondeck discloses downloading a software application content from an internet server (paragraphs 20 and 32)* 

"wherein the software or the functional instruction set is configured to operate and control components of device hardware, wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, and wherein the software or the functional instruction set is associated with a user and a device." Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20) wherein said software is associated with the user and device (paragraphs 19 and 25)

"wherein the device is capable of voice and data communication" Ondeck discloses a device capable of data communication such as PDA or programmable remote control device (paragraph 20) but does not explicitly disclose that the device is capable of voice communication.

Bates discloses a universal remote controller that is coupled to a voice communication device such as a telephone (Abstract and Figure 3).

Art Unit: 2644

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the combination phone/remote controller as disclosed by Bates. The motivation for such a combination is use of a known technique in an analogous art to combine two devices into one and thereby providing the user with increased functionality.

4. Claim 43 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) in view of Van Ee (6,937,972).

With regard to Claim 43, "A non-transitory computer readable storage medium for a wireless device comprising: an application software to be run by a processor on a wireless device, wherein the wireless device includes the functions of a cellular telephone, PDA, handheld computer, or multifunction communication device," *Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20)* 

"wherein the device is configured to send a request to a server or device, and wherein the request consists of a control function for a television". *Ondeck does not explicitly disclose this, however Van Ee which is incorporated by reference discloses a programmable multifunction device that receives via the internet of program controls for a TV (Column 5 lines 15-42 and lines 60-65)* 

Application/Control Number: 10/911,211 Page 5

Art Unit: 2644

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the inclusion of TV control for programmable multifunction device. The motivation for a combination is use of a known technique in an analogous art (reprogrammable devices) to increase user friendliness of programmable tv remote (Column 4 lines 46-50)

# Claim Rejections - 35 USC § 102

5. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 6. Claims 39 -40 are rejected under 35 U.S.C. 102(e) as being anticipated by Ondeck (US 2002/0046083).

With regard to Claim 39, "A system comprising: a server, wherein the server stores in memory software or functional instructions sets for a wireless device, wherein the server sends to the wireless device software or functional instruction sets," *Ondeck* 

Art Unit: 2644

discloses a wireless communication device with a processor, wherein the server sends

the wireless device software for functional instruction sets (paragraphs 19-21)

"wherein the server stores profiles or other user specific information," Ondeck

disclose a server storing profile information for specific users and devices (paragraphs

25 and 19)

"and wherein the wireless device includes one or more functions of a cellular

telephone, PDA, handheld computer, or multi function communication device. *Ondeck* 

discloses the software application is configured to control device hardware such as

display, memory and processor to customize a PDA (paragraphs 20)

With regard to Claim 40, "The system of claim 39, wherein the profiles contain

information for both a user and a device." Ondeck discloses sending profile information

including information identifying the user and the equipment (paragraphs 19, 25)

7. Claim 43 is rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck

(US 2002/0046083) in further view of Van Ee (6,937,972).

With regard to Claim 42, "The device of claim 40, wherein the device downloads

an application to function as a remote control for one or more devices including a

television". Ondeck does not explicitly disclose this, however Van Ee which is

Ex.1002

Art Unit: 2644

incorporated by reference discloses a programmable multifunction device that receives via the internet of program controls for a TV (Column 5 lines 15-42 and lines 60-65)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the inclusion of TV control for programmable multifunction device. The motivation for a combination is use of a known technique in an analogous art (reprogrammable devices) to increase user friendliness of programmable tv remote (Column 4 lines 46-50)

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MICHAEL IRACE whose telephone number is (571)270-7273. The examiner can normally be reached on Monday through Friday 8:00am to 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Edouard can be reached on (571)272-7603. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Art Unit: 2644

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MICHAEL IRACE/ Examiner, Art Unit 2644

/Patrick N. Edouard/

Supervisory Patent Examiner, Art Unit 2644

		Notice of Reference	s Cited		Application/0	Control No.	Reexaminat RAO ET AL.	Patent Under ion
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					MICHAEL IF	RACE	2644	Page 1 of 1
				U.S. P.	ATENT DOCUM	ENTS		
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY			Name		Classification
*	Α	US-6,628,964	09-2003	Bates e	et al.			455/556.1
*	В	US-6,937,972	08-2005	Van Ee	, Jan			703/20
*	С	US-2002/0046083	04-2002	ONDE	CK, KRISTEN	DIANE		705/14
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20130529

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected		Appeal
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CLAIM						DATE	DATE			
Final	Original	03/14/2008	12/09/2010	09/21/2011	06/12/2013					
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U.S. Patent and Trademark Office

Part of Paper No.: 20130529

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

✓	Rejected	] [-	Cancelled	N	Non-Elected		Α	Appeal
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Final	Original	03/14/2008	12/09/2010	09/21/2011	06/12/2013						
	37	<b>√</b>	✓	✓	-						
	38		✓	✓	-						
	39				✓						
	40				✓						
	41				✓						
	42				✓						
	43				✓						

U.S. Patent and Trademark Office Part of Paper No.: 20130529

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	f Hits Search Query		DBs	Default Operator	Plurals	Time Stamp
L1	2	"20020046083"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:41
L2	18	"6,545,587"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:47
L3	133	(van ee.in.)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:48
L4	38	(van ee.in.) and remote	van ee.in.) and remote US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		OFF	2013/06/11 23:48
L5	9	(van ee.in.) and remote and tv and server	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:50
L6	125126	(van ee.in.) and remote and tv or television and server	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:52
L7	9	(van ee.in.) and remote and (tv or television) and server	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:52
L8	23	(van ee.in.) and remote and (tv or television)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:52
L9	40	remote with universal with phone and @ad< "20020505"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	OFF	2013/06/11 23:54

# **EAST Search History (Interference)**

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# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
10911211	RAO ET AL.
Examiner	Art Unit
DAVID WANG	2617

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Symbol	Date	Examiner							

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SEARCH NOTES						
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U.S. Patent and Trademark Office Part of Paper No.: 20110921

Attorney Docket No.: IPHLNZ00501

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: December 18, 2013 Signature: /Quyen B. Nguyen/ (Quyen B. Nguyen)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004 Inventor(s): Raman K. Rao et al.

Title: Dynamically Configurable IP Based Wireless Device and Wireless

Networks

Examiner: Michael Irace

Group Art Unit: 2644

# RESPONSE TO NON-FINAL OFFICE ACTION

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the non-final Office Action dated June 18, 2013 for which a response was due on September 18, 2013. Filed herewith is a Petition and fee for a three-month extension of time, thereby extending the deadline for response to December 18, 2013. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the Remarks presented herein are respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page 9 of this paper.

#### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### In the claims

1.-35. (Canceled).

36. (Currently Amended): A method for a mobile device [[(MD)]] <u>using a server</u>, the method comprising:

accepting an upload of software to a server configured for use by a plurality of mobile devices and further configured to provide a plurality of different software functions to mobile devices;

storing data on [[a]] the server, the data comprising a plurality of functional instruction sets, content, software, or [[MD]] mobile device configuration software which is configured to operate and control components of [[MD]] mobile device hardware, wherein said server is at a remote location from the one or more mobile devices, and wherein the server is accessible over an Internet Protocol network;

configuring the server to be used for by the one or more mobile devices;
configuring the one or more mobile devices to use the server to download the
software using a configuration setting;

wherein the [[MD]] mobile device remotely requests requesting software or a functional instruction set from the server using a wireless network,

wherein the server stores in a storage medium an association of the software with the mobile device and a user profile.

wherein the [[MD]] <u>mobile device</u> downloads software or [[the]] <u>a</u> functional instruction set from the server,

wherein the [[MD]] <u>mobile device</u> stores the software or the functional instruction set in a storage medium, and

wherein the [[MD]] <u>mobile device</u> includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, <u>or</u> combinations thereof, and

wherein a processor of the mobile device is configured to execute the software or functional instruction sets so as to control the hardware of the mobile device.

37.-38. (Canceled).

39. (Currently Amended): A system comprising:

a <u>remote</u> server, the server configured to store wireless device software for a plurality of different functions or applications for use by a plurality of wireless devices,

wherein the <u>remote</u> server stores in memory software or functional instructions sets for a wireless device,

wherein the <u>remote</u> server sends to the wireless device software or functional instruction sets.

wherein the <u>remote</u> server stores profiles or other user specific information, [[and]] wherein the one or more wireless devices are configured for voice and data communication,

wherein the wireless device includes one or more functions of a cellular telephone,

PDA, handheld computer, or multifunction communication device, or combinations thereof,

wherein the wireless device is configured to download the software from the remote

server using an Internet data network,

wherein the software is configured for use by the wireless device,

wherein the software controls a plurality of hardware components on the wireless device, and

wherein the server is enabled to provide a plurality of software and instruction sets for control of the wireless device for receipt by the wireless device.

- 40. (Currently Amended): The system of claim 39, wherein the profiles contain information for both a user and [[a]] the wireless device.
- 41. (Currently Amended): A wireless electronic device <u>or mobile device</u>, the device comprising:

a processor;

a memory;

a unit for wireless communication;

wherein the device is capable of voice and data communication,

wherein the device connects to a server,

wherein the device downloads a software application or a functional instruction set from [[a]] the server,

wherein the software or the functional instruction set is configured to operate and control components of device hardware,

wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof, [[and]]

wherein the software or the functional instruction set is associated with a user and [[a]] the device stored in a profile,

wherein the wireless device is configured to download a plurality of software from the server,

wherein the server is configured to store software or functional instruction sets for a plurality of wireless devices and for a plurality of applications for the plurality of wireless devices, and

wherein the mobile device identifies a set of software to be downloaded from the server.

- 42. (Previously Presented): The device of claim 40, wherein the device downloads an application to function as a remote control for one or more devices including a television.
  - 43. (Currently Amended): A system comprising:

a wireless device or mobile device including functions of one or more of a cellular telephone. PDA, handheld computer, or multifunction communication device or combinations thereof, the wireless device configured to receive a non-transitory computer readable medium from a server located at a remote location separate from the wireless device, the server configured to store a plurality of different application software or functional instructions for a plurality of wireless devices, one of the software application including a non-transitory computer readable storage medium for a wireless device comprising:

an application software to be run by a processor on [[a]] <u>the</u> wireless device, <u>wherein</u> the wireless device includes the functions of a cellular telephone, PDA, handheld computer, or multifunction communication device.

wherein the wireless device is in further communication with a television configured to receive wireless commands over a network,

wherein the <u>wireless</u> device is configured to send a request to <u>the television</u> a server or device, [[and]]

wherein the request <u>comprises</u> eonsists of a control function for [[a]] <u>the</u> television, <u>wherein the wireless device is configured to send said commands using a local home IP network, and</u>

wherein the communication between the television and the wireless device is over a IP based network as part of a home network.

- 44. (New): The system of claim 43, wherein the wireless device is configured to communicate directly to the television.
- 45. (New): The system of claim 43, wherein the wireless device is configured to communicate a set of commands to a server, the server configured to communicate said commands to the television.
- 46. (New): The system of claim 43, wherein the wireless device is configured to communicate a set of commands over a network to a network switch box over a wireless local area network, and wherein the network switch box is configured to transmit said commands to a television.
- 47. (New): The system of claims 36, wherein the server provides software for the configuration of the mobile or wireless device as an IP telephone.
- 48. (New): The system of claims 36, wherein the download of the software is based on a hierarchy of network paths.

49. (New): The system of claims 36, wherein the device is configured to queue various software applications for downloading at a later time in response to the type of network bandwidth.

- 50. (New): The system of claims 36, wherein the device is configured with GPS for location sensing and uses location to determine when to download a software application data and from which server to download the application.
- 51. (New): The system of claims 36, wherein the mobile device is configured to download an application for controlling a garage door opener.
- 52. (New): The system of claims 36, wherein the mobile device is configured to function as an internet protocol IP phone.
- 53. (New): The system of claims 36, wherein the server is colocated with a wireless carrier.
- 54. (New): The system of claims 36, wherein the server is collocated with a wireless hardware vendor.
- 55. (New): The system of claims 36, wherein the server is colocated with an office network.
- 56. (New): The system of claims 36, wherein the mobile device downloads a software application to control a copier.
- 57. (New): The system of claims 39, wherein responsive to a request from the one or more wireless device to a website or URL associated with a website server or a network environment, the one or more wireless device receives an indicator of a software application to be downloaded from the remote server,

58. (New): The system of claims 57, wherein the downloading of said software is placed into an inactive download state when the device is connected to a first type of network, and

wherein the wireless device is enabled with a configuration mode such that responsive to connecting to a second network type the mobile device downloads the software from the servers.

- 59. (New): The system of claims 39, wherein the device is configured to queue various software application content for downloading at a later time in response to a set of networks available and a configuration associated with the networks.
- 60. (New): The system of claims 39, wherein the device is configured with GPS for location sensing and uses location to determine when to download a software application data and from which server to download the application.
- 61. (New): The system of claims 39, wherein the device is configured to download an application for controlling a garage door opener.
- 62. (New): The system of claims 39, wherein the device is configured to function as an internet protocol IP phone.
- 63. (New): The system of claims 39, wherein the server is collocated with a wireless carrier.
- 64. (New): The system of claims 39, wherein the server is collocated with a wireless hardware vendor.
- 65. (New): The system of claims 39, wherein the server is colocated with an office network.

66. (New): The system of claims 39, wherein the wireless device downloads an application to control a copier.

- 67. (New): The system of claims 41, wherein the device is configured to queue various software application content for downloading at a later time in response to a set of networks available and a configuration associated with the networks.
- 68. (New): The system of claim 41, wherein the downloading of said software is placed into an inactive download state when the device is connected to a first type of network, and

wherein the mobile device is enabled with a configuration mode such that responsive to connecting to a second network type the mobile device downloads the software from the servers.

- 69. (New): The system of claim 41, wherein the server provides software for the configuration of the mobile or wireless device as an IP (Internet Protocol) telephone.
- 70. (New): The system of claim 41, wherein the download of the application is based on a hierarchy of network paths.
- 71. (New): The system of claim 41, wherein the download of the software is based on the available bandwidth of a first network and a second network.
- 72. (New): The system of claim 41, wherein the download of the software is based on a request to a URL associated with a server.

#### REMARKS

Claims 36 and 39-43 were pending in the present application. By virtue of this response, claims 36, 39, 40-41, and 43 have been amended and new claims 44-72 have been added. Accordingly, claims 36 and 39-72 are currently under consideration. Amendment and cancellation of certain claims is not to be construed as a dedication to the public of any of the subject matter of the claims as previously presented. No new matter has been added.

# Rejections under 35 U.S.C. §103

**A.** Claims 36 and 41 are rejected under 35 U.S.C. §103(a) as allegedly being unpatentable over Ondeck (US 2002/0046083) in view of Bates (US 6,628,964).

Independent claims 36 and 41 have been amended as indicated herewith. With respect to Ondeck, this reference (as proffered by the Office) "discloses downloading a software application content from an internet server (paragraphs 20 and 32)" (Office Action, p. 3, para. 2) and is directed to customizing advertising content. Such advertising content cannot be equivalent to software or functional instruction sets which control the hardware of a mobile device, as presently claimed, as such advertising content by its nature cannot provide command and control to the hardware of the mobile device.

Moreover, Ondeck further teaches where "... currently, a manufacturer may add an extra software application to an electronic device. The manufacturer would have to manually install this software or add a disk to the package, for all versions of Product X that are to go to Retailer Y." (Ondeck, [0011].) As further described, "... the user is instructed how to download a software application or content information via the Internet 210 into his/her PC 206. Electronic device202 is thereupon connected via a cable or via wireless communication to PC 206 for being upgraded or customized by means of the data or application previously downloaded into PC 206." The result of which is that the user is required "to download a software application or content information via the Internet 210 into his/her PC" (*Id.*, [0020]) and then connect "connected via a cable or via wireless communication to PC 206 for being upgraded or customized." (*Id.*, [0020].)

Hence, Ondeck requires a multistep system involving a local PC to access software content and fails to teach or suggest software being downloaded directly from a server. For

instance, the present claims recite "wherein said server is at a remote location from the one or more mobile devices, and wherein the server is accessible over an Internet Protocol network" and further where the mobile devices use the server to download the software directly from the server.

Turning now to Bates, Bates also fails to teach or suggest a network-based control of mobile devices. Hence, Ondeck fails to teach or suggest the newly added limitations and Bates fails to cure the defects of Ondeck. Therefore, independent claims 36 and 41 are patentable over the references alone or in combination. Newly added claims depend ultimately from respective independent claims 36 and 41 and are patentable for at least the same reasons.

Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §103(a).

**B.** Claim 43 is rejected under 35 U.S.C. §103(a) as allegedly being unpatentable over Ondeck in view of Van Ee (US 6,937,972).

Independent claim 43 has been amended as indicated herewith. Turning now to Van Ee, this reference fails to teach or suggest multifunction devices but only discloses single function devices. Hence, Ondeck fails to teach or suggest the newly added limitations, for at least the same reasons above, and Van Ee fails to cure the defects of Ondeck. Therefore, independent claim 43 is patentable over the references alone or in combination. Newly added claims depend ultimately from respective independent claim 43 and are patentable for at least the same reasons.

Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §103(a).

#### Rejections under 35 U.S.C. §102

Claims 39 and 40 are rejected under 35 U.S.C. §102(e) as allegedly being anticipated by Ondeck.

Independent claim 39 been amended as indicated herewith. Ondeck fails to show or describe the newly added limitations, as discussed above. Therefore, independent claim 39 is

patentable over Ondeck. Dependent claim 40 and newly added claims depend ultimately from independent claims 39 and are patentable for at least the same reasons.

Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §102(e).

#### **CONCLUSION**

In view of the above, each of the presently pending claims in this application is believed to be in condition for allowance. Accordingly, the Examiner is respectfully requested to withdraw the outstanding rejections and pass this application to issue. If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing to <a href="Deposit Account No. 50-3973">Deposit Account No. 50-3973</a> referencing Attorney Docket No. <a href="IPHLNZ00501">IPHLNZ00501</a>. However, the Commissioner is not authorized to charge the cost of the

issue fee to the Deposit Account.

Respectfully submitted,

/Johney U. Han/

Johney U. Han Registration No. 45,565

Customer No. 40518 Levine Bagade Han LLP 2400 Geng Road, Suite 120 Palo Alto, CA 94303 Direct: (650) 242-4217

Fax: (650) 284-2180

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Docket Number (Optional) PETITION FOR EXTENSION OF TIME UNDER 37 CFR 1.136(a) IPHLNZ00501 10/911.211 October 13, 2004 Dynamically Configurable IP Based Wireless Device and Wireless Networks Examiner 2644 Michael Irace This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a reply in the above-identified application. The requested extension and fee are as follows (check time period desired and enter the appropriate fee below): Small Entity Fee Micro Entity Fee Fee One month (37 CFR 1.17(a)(1)) \$200 \$100 \$50 Two months (37 CFR 1.17(a)(2)) \$600 \$300 \$150 Three months (37 CFR 1.17(a)(3)) \$700 \$350 \$1,400 Four months (37 CFR 1.17(a)(4)) \$550 \$2,200 \$1,100 Five months (37 CFR 1.17(a)(5)) \$750 \$3.000 \$1.500 Applicant asserts small entity status. See 37 CFR 1.27. Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or have been submitted previously. A check in the amount of the fee is enclosed. Payment by credit card. Form PTO-2038 is attached. The Director has already been authorized to charge fees in this application to a Deposit Account. The Director is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account Number 50-3973 Payment made via EFS-Web. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. I am the applicant. attorney or agent of record. Registration number 45,565 attorney or agent acting under 37 CFR 1.34. Registration number December 18, 2013 /Johney U. Han/ Signature Date Johney U. Han (650) 242-4217 Typed or printed name Telephone Number NOTE: This form must be signed in accordance with 37 CFR 1.33, See 37 CFR 1.4 for signature requirements and certifications. Submit multiple forms if more than one signature is required, see below\*.

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

forms are submitted.

✓ \* Total of 1

Electronic Patent Application Fee Transmittal								
Application Number:	109	911211						
Filing Date:	13-	Oct-2004						
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks							
First Named Inventor/Applicant Name:	Raman K. Rao							
Filer:	Johney U. Han/Quyen Nguyen							
Attorney Docket Number: IPHLNZ00501								
Filed as Small Entity								
Utility under 35 USC 111(a) Filing Fees								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Claims in excess of 20		2202	12	40	480			
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Extension - 3 months with \$0 paid	2253	1	700	700		
Miscellaneous:						
	Tot	al in USD	(\$)	1180		

Electronic Ack	knowledgement Receipt
EFS ID:	17693452
Application Number:	10911211
International Application Number:	
Confirmation Number:	7409
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks
First Named Inventor/Applicant Name:	Raman K. Rao
Customer Number:	40518
Filer:	Johney U. Han/Quyen Nguyen
Filer Authorized By:	Johney U. Han
Attorney Docket Number:	IPHLNZ00501
Receipt Date:	18-DEC-2013
Filing Date:	13-OCT-2004
Time Stamp:	13:03:32
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1180
RAM confirmation Number	11912
Deposit Account	
Authorized User	

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages
Number	Document Description	riie Naille	Message Digest	Part /.zip	(if appl.)

1		1_IPHLNZ00501_20131218_res	3435325	yes	12
		ponse_non-final_oa.pdf	a428351d3aecf60f893e677484900959ab92 d34d	,	
	Multip	oart Description/PDF files in .	zip description		
	Document Des	Start	E	nd	
	Amendment/Req. Reconsiderati	1		1	
	Claims	2	8		
	Applicant Arguments/Remarks	9		12	
Warnings:					
Information:					
2	Extension of Time	2_IPHLNZ00501_20131218_ext	328083	no	1
		ension_of_time.pdf	9157af80fce62ba900d486494b21050ad83 1a9c6		
Warnings:					
Information					
3	Fee Worksheet (SB06)	fee-info.pdf	32261	no	2
3	ree workstieet (3000)	ree-mo.pai	29639c76e8d7b603cdf3bb1c3822334a5c9 91449	no	
Warnings:					
Information					
		Total Files Size (in bytes)	37	95669	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

# New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

# National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

# New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (09-11)

Approved for use through 1/31/2014. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE to a collection of information unless it displays a valid OMB control number.

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P	PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875					Application or Docket Number Filing Date 10/911,211 Foliation or Docket Number 10/13/2004			To be Mailed
							ENTITY: L	ARGE 🏻 SMA	LL MICRO
				APPLICA	ATION AS FIL	ED – PAR	ΤΙ		
			(Column	1)	(Column 2)				
	FOR		NUMBER FII	.ED	NUMBER EXTRA		RATE (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A		
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =		
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
	APPLICATION SIZE 37 CFR 1.16(s))	FEE of for fra	paper, the a small entity ction thered R 1.16(s).	ation and drawing application size f y) for each additi of, See 35 U.S.C	ee due is \$310 ( onal 50 sheets c	\$155 r			
* 15	MULTIPLE DEPEN						TOTAL		
	ne dilierence in cold	illili i is iess uid	in zero, ente	r o iii colulliii 2.			TOTAL		
		(Column 1)		APPLICAT	ION AS AMEN (Column 3		RT II		
.NT	12/18/2013	CLAIMS REMAINING AFTER AMENDMEN	г	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 34	Minus	** 23	= 11		x \$40 =		440
Z	Independent (37 CFR 1.16(h))	* 4	Minus	***4	= 0		x \$210 =		0
AM	Application Si	ze Fee (37 CFF	1.16(s))						
	FIRST PRESEN	ITATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FEI	E	440
L		(Column 1)		(Column 2)	(Column 3	)			
		CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =		
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =		
	Application Si	ze Fee (37 CFF	1.16(s))						
AM	FIRST PRESEN	TATION OF MUL	TIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
							TOTAL ADD'L FE	E	
** If	the entry in column the "Highest Numbe f the "Highest Numb "Highest Number P	er Previously Pa er Previously P	id For" IN TH aid For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20' than 3, enter "3".		LIE /GOIGA DUCk		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/911,211	1 10/13/2004 Raman K. Rao		IPHLNZ00501	7409	
	7590 05/06/201 ADE HAN LLP	EXAMINER			
2400 GENG RO	OAD, SUITE 120	IRACE, MICHAEL			
PALO ALTO, CA 94303			ART UNIT	PAPER NUMBER	
			2644		
			MAIL DATE	DELIVERY MODE	
			05/06/2014	PAPER	

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

	Annication No.	Annlicent/s	<u> </u>				
	<b>Application No.</b> 10/911,211	RAO ET AL.	Applicant(s) RAO ET AL.				
Office Action Summary	Examiner MICHAEL IRACE	Art Unit 2644	AIA (First Inventor to File) Status No				
The MAILING DATE of this communication appears on the cover sheet with the correspondence address Period for Reply							
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).							
Status							
1) Responsive to communication(s) filed on 12/18  A declaration(s)/affidavit(s) under 37 CFR 1.1	<b>30(b)</b> was/were filed on						
· <u> </u>	action is non-final.						
3) An election was made by the applicant in response	•		ng the interview on				
; the restriction requirement and election	·						
4) Since this application is in condition for allowar							
closed in accordance with the practice under E	x parte Quayle, 1935 G.D. 11, 40	)3 O.G. 213.					
Disposition of Claims*							
5) Claim(s) <u>36-72</u> is/are pending in the application 5a) Of the above claim(s) is/are withdray							
6) Claim(s) is/are withdrav	WITHOUT CONSIDERATION.						
7)⊠ Claim(s) <u>36-72</u> is/are rejected.							
8) Claim(s) is/are objected to.							
9) Claim(s) are subject to restriction and/or	election requirement.						
* If any claims have been determined allowable, you may be eli		secution High	ıway program at a				
participating intellectual property office for the corresponding ap	oplication. For more information, plea	ase see					
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to PPHfeedback@uspto.c	<u>10V</u> .					
Application Papers							
10) ☐ The specification is objected to by the Examine	r.						
11)☐ The drawing(s) filed on is/are: a)☐ acce	epted or b) $\square$ objected to by the I	Examiner.					
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).							
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is ob	jected to. See	37 CFR 1.121(d).				
Priority under 35 U.S.C. § 119							
12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).							
Certified copies:							
a) ☐ All b) ☐ Some** c) ☐ None of the:							
1. Certified copies of the priority documents have been received.							
<ul> <li>2. Certified copies of the priority documents have been received in Application No</li> <li>3. Copies of the certified copies of the priority documents have been received in this National Stage</li> </ul>							
application from the International Bureau (PCT Rule 17.2(a)).							
** See the attached detailed Office action for a list of the certified copies not received.							
Attachment(s)  1) Notice of References Cited (PTO-892)		(DTO 4:5)					
	3) Interview Summary Paper No(s)/Mail Da						
Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	(SB/08b) 4) Other:						

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

Art Unit: 2644

1. The present application is being examined under the pre-AIA first to invent provisions.

#### **DETAILED ACTION**

#### Response to Arguments

1. Applicant's arguments with respect to claims 36-43 have been considered but are most because the arguments do not apply to any of the references being used in the current rejection.

### Claim Rejections - 35 USC § 112

1. The following is a quotation of the first paragraph of 35 U.S.C. 112(a):

(a) IN GENERAL.—The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor or joint inventor of carrying out the invention.

The following is a quotation of the first paragraph of pre-AIA 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention.

1. Claims 48 and 70 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint

Art Unit: 2644

inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention. Claims 48 and 70 disclose downloading based off a hierarchy of network paths. The examiner is unable to find support for this limitation.

- 1. Claims 56 and 66 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention. Claims 56 and 66 disclose using the remote device to control a copier. The examiner is unable to find support for this limitation.
- 2. Claim 49, 59 and 67 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA), first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention. The claims state "wherein the device is configured to queue various software applications for downloading at a later time in response to the type of network bandwidth". The examiner is unable to find support for this limitation.

Art Unit: 2644

Claims 54 and 64 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-

AIA), first paragraph, as failing to comply with the written description requirement. The

claim(s) contains subject matter which was not described in the specification in such a

way as to reasonably convey to one skilled in the relevant art that the inventor or a joint

inventor, or for pre-AIA the inventor(s), at the time the application was filed, had

possession of the claimed invention. The Claim discloses "wherein the server is

collocated with a wireless hardware vendor". The examiner is unable to find support for

this limitation.

3. Claims 58,68 71 is rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-AIA),

first paragraph, as failing to comply with the written description requirement. The

claim(s) contains subject matter which was not described in the specification in such a

way as to reasonably convey to one skilled in the relevant art that the inventor or a joint

inventor, or for pre-AIA the inventor(s), at the time the application was filed, had

possession of the claimed invention. Claim 71 discloses "wherein the download of the

software is based on the available bandwidth of a first network and a second network".

The examiner is unable to find support for this limitation.

4. Claims 50 and 60 are rejected under 35 U.S.C. 112(a) or 35 U.S.C. 112 (pre-

AIA), first paragraph, as failing to comply with the written description requirement. The

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Art Unit: 2644

claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor or a joint inventor, or for pre-AIA the inventor(s), at the time the application was filed, had possession of the claimed invention. Claims disclose "device is configured with GPS for location sensing and uses location to determine when to download a software application data and from which server to download the application". Examiner is unable to find support for this limitation.

## Claim Rejections - 35 USC § 103

- 1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. The factual inquiries set forth in *Graham* v. *John Deere Co.*, 383 U.S. 1, 148 USPQ 459 (1966), that are applied for establishing a background for determining obviousness under 35 U.S.C. 103(a) are summarized as follows:
  - 1. Determining the scope and contents of the prior art.
  - 2. Ascertaining the differences between the prior art and the claims at issue.
  - 3. Resolving the level of ordinary skill in the pertinent art.
  - 4. Considering objective evidence present in the application indicating obviousness or nonobviousness.
- 3. Claims 41 and 36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) in view of Bates (US 6,628,964) and Bell (7,894,474)

Art Unit: 2644

With regard to Claim 41 and 36, "A wireless electronic device or mobile device, the device comprising: a processor; a memory; a unit for wireless communication;"

Ondeck discloses a wireless communication device with a processor (paragraph 20)

"wherein the device connects to a server, wherein the device downloads a software application or a functional instruction set from the server," Ondeck discloses downloading a software application content from an internet server (paragraphs 20 and 32)

"wherein the software or the functional instruction set is configured to operate and control components of device hardware, wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device <u>or combinations thereof</u>, and wherein the software or the functional instruction set is associated with a user and the device <u>stored in a profile</u>."

Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20) wherein said software is associated with the user and device (paragraphs 19 and 25)

"wherein the wireless device is configured to download a plurality of software

from the server" Ondeck discloses downloading a plurality of software application

content from an internet server (paragraphs 20 and 32)

"wherein the server is configured to store software or functional instruction sets
for a plurality of wireless devices and for a plurality of applications for the plurality of

Art Unit: 2644

wireless devices, and" Ondeck discloses the server storing a plurality of functional software sets for download for applications of a target appliance (paragraph 32).

\_"wherein the device is capable of voice and data communication" and "wherein the mobile device identifies a set of software to be downloaded from the server".

Onedeck does not explicitly disclose this.

In an analogous art, Bell discloses a user programmable device that downloads application specific software via a user input and selection (Figure 10 and Column 6 lines 37-65). Wherein the mobile device may be incorporate cellular telephone functions (Figures 1 and 2 and Column 4 lines 25-35)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of a mobile phone selecting an application for download as taught by Bell. The motivation for such a combination is use of a known technique in an analogous art to allow the user to select the appropriate device via the phone and thereby increase compatibility to existing devices.

With regard to Claim 39, "A system comprising: a <u>remote</u> server <u>the server</u> configured to store wireless devices software for a plurality of different functions or

Art Unit: 2644

applications for use by a plurality of wireless devices, wherein the remote server stores in memory software or functional instructions sets for a wireless device, wherein the remote server sends to the wireless device software or functional instruction sets,"

Ondeck discloses a wireless communication device with a processor, wherein the server sends the wireless device software for functional instruction sets (paragraphs 19-21)

"wherein the <u>remote</u> server stores profiles or other user specific information,"

Ondeck disclose a server storing profile information for specific users and devices

(paragraphs 25 and 19)

"and wherein the wireless device includes one or more functions of a cellular telephone, PDA, handheld computer, or multi function communication device <u>or combinations thereof</u>" Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20)

"wherein the wireless device is configured to download the software from the remote server using an Internet data network, wherein the software is configured for use by the wireless device" Ondeck discloses downloading a plurality of software application content from an internet server (paragraphs 20 and 32)

"wherein the software controls a plurality of hardware components on the wireless device and" Wherein the software controls a plurality of hardware components such as the display, processor ram etc (paragraphs 20 and 32)

Art Unit: 2644

"wherein the server is enabled to provide a plurality of software and instruction sets for control of the wireless device for receipt by the wireless device". Ondeck discloses the server storing a plurality of functional software sets for download for applications of a target appliance (paragraph 32).

"wherein the one or more wireless devices are configured for voice and data communication," In an analogous art, Bell discloses a user programmable device that downloads application specific software via a user input and selection (Figure 10 and Column 6 lines 37-65). Wherein the mobile device may be incorporate cellular telephone functions and thus voice communication (Figures 1 and 2 and Column 4 lines 25-35)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of a mobile phone selecting an application for download as taught by Bell. The motivation for such a combination is use of a known technique in an analogous art to allow the user to select the appropriate device via the phone and thereby increase compatibility to existing devices.

With regard to Claim 42, "The device of claim 40, wherein the device downloads an application to function as a remote control for one or more devices including a

Art Unit: 2644

television". Bell discloses that the device is configured to directly communicate with the

TV as a remote (Figure 4 and Column 5 lines 60- column 6 lines 15)

With regard to Claims 53 and 63, "The system of claims 36, wherein the server is collocated with a wireless carrier. Bell discloses the server being collocated in the wireless network carrier (Figure 1)

With regard to Claims 54 and 64 "The system of claims 36, wherein the server is collocated with a wireless hardware vendor. Onedeck discloses that the seller of the device may customize/upgrade the device's software via a server (paragraphs 19-21)

With regard to Claims 55 and 65, "The system of claims 36, wherein the server is collocated with an office network. Onedeck discloses that the server may be collocated in an office network (paragraphs 19-21)

Art Unit: 2644

With regard to Claims 57 and 72 The system of claims 39, wherein responsive to

a request from the one or more wireless device to a website or URL associated with a

website server or a network environment, the one or more wireless device receives an

indicator of a software application to be downloaded from the remote server" Bell

discloses downloading application software via a URL or website link associated with a

remote server for programming a device (Column 8 lines 60 through column 9 lines 10

see also Column 4 lines 25-35)

With regard to Claim 40, "The system of claim 39, wherein the profiles contain

information for both a user and the wireless device." Ondeck discloses sending profile

information including information identifying the user and the equipment (paragraphs 19,

25)

With regards to Claims 48 and 70 "The system of claims 36, wherein the

download of the software is based on a hierarchy of network paths. Bell discloses

downloading of said software based on a hierarchy of network paths (Column 4 lines

25-35 and Figure 3)

Art Unit: 2644

5. Claims 43-36 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Onddeck and Bell in view of Minnett GB 2294563

With regard to Claim 43, "A system comprising a wireless device or mobile device including functions of one or more of a cellular telephone. PDA, handheld computer, or multifunction communication device or combinations thereof, the wireless device configured to receive a non-transitory computer readable medium from a server located at a remote location separate from the wireless device, the server confirmed to store a plurality of different application software or functional instructions for a plurality of wireless devices, one of the software application a non-transitory computer readable storage medium for a wireless device comprising:" Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20) wherein said software is associated with the user and device (paragraphs 19 and 25) and a server separate from the device (Figure 1 item 208 see also item 206) wherein that server stores customization of software (Figure 1, paragraph 32)

"an application software to be run by a processor the wireless device" Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20)

<u>"Wherein the wireless device is in further communication with a television</u> <u>configured to receive wireless commands over a network</u> wherein the <u>wireless</u> device is

Art Unit: 2644

configured to send a request <u>the television</u>, wherein the request <u>comprises</u> a control function for <u>the</u> television". *Ondeck does not explicitly disclose this*.

Bell discloses that the device is configured to directly communicate with the TV as a remote (Figure 4 and Column 5 lines 60- column 6 lines 15)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of a mobile phone selecting a channel as a remote as taught by Bell. The motivation for such a combination is use of a known technique in an analogous art to allow the user to change the channel of the television via a programmable remote and thus increasing compatibility to existing systems.

"wherein the wireless device is configured to send said commands using a local home IP network, and wherein the communication between the television made the wireless device is over a IP based network as part of a home network". In an analogous art, Minett discloses sending commands from a remote to a TV via a wireless LAN connection of a home network ( Page 1 lines 17-22) wherein said remote may be a PDA with phone capabilities (page 5 lines 10-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of using WLAN connections. The motivation for such a combination is use of a known technique in an analogous art to substitute known protocols for wireless communications.

Art Unit: 2644

With regard to Claims 44, "The system of claim 43, wherein the wireless device is configured to communicate directly to the television. Bell discloses that the device is configured to directly communicate with the TV as a remote (Figure 4 and Column 5 lines 60- column 6 lines 15)

With regard to Claims 45 and 46, "The system of claim 43, wherein the wireless device is configured to communicate a set of commands over a network to a network switch box over a wireless local area network, and wherein the network switch box is configured to transmit said commands to a television. Bell disclose that said commands can be sent to a networked box that is communication with the TV or home entertainment center (*Figure 4 and Column 5 lines 60- column 6 lines 15*)

4. Claim 51 and 61 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of King (6,308,083)

With regard to Claims 51 and 61 "The system of claims 36, wherein the mobile device is configured to download an application for controlling a garage door opener.

Ondeck nor Bell do not explicitly disclose this.

However, programmable garage door openers are well known in the art and shown by King in figure 1 and Column 2 lines 60-65.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the multi function programmable devices of Bell and

Art Unit: 2644

Ondeck with the teaching of programming a multifunction device to be a garage door opener as taught by King. The motivation for such a combination is use of known technique in an analogous art to increase capability of a multifunction device.

Claim 47,52, 62 and 69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of Mattaway (US 6,131,121)

With regard to Claims 47,52, 62 and 69 "The system of claims 39, wherein the device is configured to function as an internet protocol IP phone". *Bell discloses function* as a phone using WAP and other protocols but does not explicitly disclose an IP phone.

However, using the phone with an alternative protocol such as internet protocol is well known in the art and shown by Mattaway (Figures 3 and 5 and appropriate text).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of using an IP protocol as taught by Mattaway. The motivation for such a combination is use of known alternative protocol.

Art Unit: 2644

Claim 49, 59 and 67 are rejected under 35 U.S.C. 103(a) as being unpatentable

over Ondeck (US 2002/0046083) and Bell in further view of Engbersen (US 6,341,304)

With regards to Claims 49, 59 and 67 "The system of claims 36, wherein the

device is configured to queue various software applications for downloading at a later

time in response to the type of network bandwidth. Ondeck and bell do not explicitly

disclose this.

However, queuing downloads for later when there is limited bandwidth is well

known in the art and described in Engbersen (Figure 3 and appropriate text)

It would have been obvious to one of ordinary skill in the art at the time the

invention was made to combine the system above with of saving downloads for later if

bandwidth is limited or not available The motivation for such a combination is use of

known technique in an analogous art to improve efficient use of bandwidth.

Claim 58, 68 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable

over Ondeck (US 2002/0046083) and Bell in further view of Lee (US 8,670,405)

Ex.1002

Art Unit: 2644

With regard to Claims 58, 68 and 71"The system of claims 57, wherein the downloading of said software is placed into an inactive download state when the device is connected to a first type of network, and wherein the wireless device is enabled with a configuration mode such that responsive to connecting to a second network type the mobile device downloads the software from the servers. *Neither Bell nor Ondeck explicitly disclose this.* 

Lee discloses coupling multiple networks to a mobile PC and waiting or holding a download until a faster/cheaper connection takes place (Figure 12 and Column 3 lines 50 through Column 4 line 16).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of selecting a preferred network for QoS specific tasks. The motivation for such a combination is use of a known technique in an analogous art to decrease cost/time to the user for downloads.

Claim 58, 68 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of Kretschman (US 6,167464)

With regard to Claims 50 and 60 " The system of claims 36, wherein the device is configured with GPS for location sensing and uses location to determine when to

Art Unit: 2644

download a software application data and from which server to download the application. Ondeck and Bell do not explicitly disclose this.

Kretschmann discloses a mobile device that downloads an application from a specific server based on the location of the device using GPS signals (abstract, Column 3 line 8-10 Column 7 line12-30 see also Claim 14 item III).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of downloading application specific tasks based on location of the unit as disclosed by Kretschmann. The motivation for such a combination is use of a known technique in an analogous art to reuse the same device for location specific tasks.

Claim 56 and 66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of Wood (US 6,453,127)

With regard to Claims 56 and 66, "The system of claims 39, wherein the wireless device downloads an application to control a copier.

However, programmable garage door openers are well known in the art and shown by Wood (abstract and Figure 3)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the multi function programmable devices of Bell and Ondeck with the teaching of programming a multifunction device to be a copier as

Art Unit: 2644

CFR 1.136(a).

taught by Wood. The motivation for such a combination is use of known technique in an

analogous art to increase capability of a multifunction device.

**Pertinent Prior Art** 

Crookham (US 6,681,110) discloses remote control downloading based on location.

Conclusion

2. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of

Art Unit: 2644

the advisory action. In no event, however, will the statutory period for reply expire later

than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the

examiner should be directed to MICHAEL IRACE whose telephone number is (571)270-

7273. The examiner can normally be reached on Monday through Friday 8:00am to

5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's

supervisor, Patrick Edouard can be reached on (571)272-7603. The fax phone number

for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the

Patent Application Information Retrieval (PAIR) system. Status information for

published applications may be obtained from either Private PAIR or Public PAIR.

Status information for unpublished applications is available through Private PAIR only.

For more information about the PAIR system, see http://pair-direct.uspto.gov. Should

you have questions on access to the Private PAIR system, contact the Electronic

Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a

USPTO Customer Service Representative or access to the automated information

system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MICHAEL IRACE/

Examiner, Art Unit 2644

/PATRICK EDOUARD/

Supervisory Patent Examiner, Art Unit 2644

#### Applicant(s)/Patent Under Application/Control No. Reexamination 10/911,211 RAO ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 MICHAEL IRACE 2644 **U.S. PATENT DOCUMENTS** Document Number Date Name Classification Country Code-Number-Kind Code MM-YYYY US-7,894,474 02-2011 Bell, John 370/466 US-6,308,083 10-2001 King, Joseph D. 455/556.1 В US-6,453,127 09-2002 Wood et al. 399/8 С D US-6,167,464 12-2000 Kretschmann, Robert J. 710/15 US-6,341,304 01-2002 Engbersen et al. 709/203 Е US-8,670,405 370/331 03-2014 Lee et al. F US-G US-Н US-US-US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date Name Classification Country Country Code-Number-Kind Code MM-YYYY Ν 0 Р Q R s Т **NON-PATENT DOCUMENTS** Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) GB 2294563 published 1/5/1196

A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Notice of References Cited

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(52) UK CL (Edition O )
G4A ASX
U1S S2206

(56) Documents Cited

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EP 0416807 A2 US 4488179 A

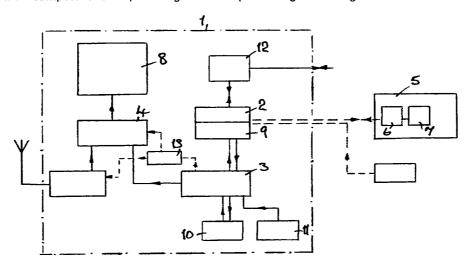
(58) Field of Search

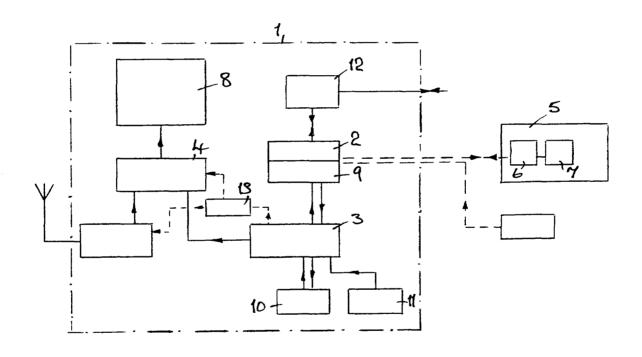
UK CL (Edition O ) G4A AFGDC AFGDX AMP ASX , G4H HRCU HRE , H4F FAAX FBA FCW INT CL $^6$  G06F 3/14 3/153 , H04N 5/445 7/22 9/64

On-line: WPI

#### (54) Television receiver with auxiliary data receiver

(57) A broadcast television receiver set comprises auxiliary receiver means 2 for receiving data signals over a non-wired transmission path, means 3 for processing data signals received over the transmission path and means 4 to display video information derived from the data signal processing means as images on the main display screen of the television set. The data signal processing means may decompress received compressed video data. The transmission lid may be infrared and may involve a modification of an existing IR remote-control receiver in the television set. The auxiliary receiver means may be adapted to receive data signals under a data-transmission protocol employed by a portable computer, e.g. a personal digital assistant 5 or a laptop/notebook. The television set may also comprise transmitting means 9, auxiliary processing means and data-storage means (e.g. a CD-ROM 11 and RAM 10) for establishing a two-way lid between the set and a portable computer and for providing extension processing and storage facilities for the computer.





#### IMPROVEMENTS IN OR RELATING TO TELEVISION RECEIVERS

The invention concerns a television remote-control arrangement comprising a television set having a remote-control receiver and associated processing circuitry and a remote transmitter for transmitting date to the television set, the remote-control arrangement operating especially, but not exclusively, by infrared transmission.

Conventional remote-control arrangements employ remote transmitters which are handheld and dedicated to the task involved, namely the control of typical television functions such as channel selection, sound level and picture brightness. Also, the processing circuitry employed in the television set is dedicated to the decoding of such control signals. As such, the known remote-control arrangements are limited in what they 10 can contribute to the functioning of a television set.

5

In accordance with the invention, there is provided a broadcast television receiver set comprising auxiliary receiver means for receiving data signals over a non-wired transmission path, data signal processing means for processing data signals received over the transmission path and means to display video information derived from the data signal 15 processing means as images on the main display screen of the television set.

The data signal processing means may comprise circuitry for decompressing compressed video data received by the auxiliary receiver means.

The auxiliary receiver means may be arranged to receive data signals over an infrared link, a wireless LAN (local area network) link or an ultrasonic link. Where the 20 link is infrared, the auxiliary receiver means may comprise a remote control receiver for the television set, the remote control receiver being arranged to pass data signals to the data signal processing means.

The auxiliary receiver means may be arranged to receive data signals according to a data-transmission protocol employed by a portable computer such as a personal digital assistant or a notebook or a laptop computer.

The broadcast television receiver set may comprise further auxiliary processing

5 means and a transmitting means for transmitting data to the portable computer, the
auxiliary receiver means and the transmitting means forming a two-way communication
link with the portable computer and the auxiliary processing means being connectable to
the data signal processing means and to the transmitting means such as to serve as a coprocessor for the computer.

The television receiver set may comprise an auxiliary storage device connectable to the data signal processing means and to the transmitting means such as to serve as an extension data storage facility for the computer.

10

A read-only memory, which may take the form of a CD-ROM, may form part of the broadcast television receiver set, the read-only memory being connectable to the data signal processing means and/or to the transmitting means such as to serve as an extended source of programs for the computer.

Interfacing means may be provided in the television set for interfacing the data processing means and the transmitting means to a network or to a telephone system, whereby two-way transfer of data can be established between the portable computer and a user of the network or telephone system.

The broadcast television receiver set may comprise control means for controlling various functions such as channel selection, sound level control and teletext, the control

means being driven from the data signal processing means in response to control data transmitted by the portable computer.

The invention will now be described, by way of example, with reference to the accompanying drawing, which shows a broadcast television set schematically.

5

Referring to the drawing, a broadcast television receiver set 1 according to the invention comprises a auxiliary receiver means 2 in the form of an infrared receiver adapted to receive data according to the transmission protocol employed by a small portable computer. A preferred protocol is the Data Association Serial Infrared MAC and Link Protocol version 3.0 Dec 31993, as sponsored by the computer manufacturers IBM, 10 Hewlett Packard and Apple. Also included in the television set 1 is a data signal processing means 3 which takes the data received by the auxiliary receiver 2, decompresses any video data received, decodes it and passes it on in decoded form to the main display circuitry 4 of the television set. The above protocol supports the short-range infrared transfer of data at a rate of approximately 100K bits per second, which is sufficient 15 to transfer compressed video information from a portable computer to the television set.

The television set of the invention may be used in conjunction with a personal digital assistant (PDA) 5 which has an infrared transmitter 6 operating under the above protocol and a video data compressor 7. The compressor 7, on command from the PDA 5, takes the video data content of the small screen (not shown) of the PDA 5, compresses it 20 and then transmits it by means of the transmitter 6 to the television set 1 at the maximum transfer rate of the system, namely 100K bits per second, as mentioned above. The television 1 thus acts essentially as a visual extension of the PDA 5 in order to provide a more easily viewable display of the PDA's visual data. There is the added bonus that the

television display means 8 can be a colour display, in contrast to the normal monochrome display of a PDA 5.

In addition to the auxiliary infrared receiver 2 and the data signal processing means 3, the broadcast television set 1 includes an infrared transmitter 9 corresponding to that of 5 the PDA 5, i.e. the transmitter 9 operates under the same protocol as the PDA transmitter 6 and the television auxiliary receiving means 2. Further to this, the television set 1 is also equipped with a storage device 10 in the form of additional RAM and an auxiliary microprocessor (not shown). The additional RAM is arranged to be accessable by the data signal processing means 3 and to be readable into the transmitter 9 incorporated into the television set 1. Interfacing between the RAM and the transmitter 9 is selectably by way of the data signal processing means 3 or the additional microprocessor. With these additional elements it is possible for two-way communication to take place between the PDA 5 and the television set 1, the RAM acting as an extension hard disk for the PDA 5 and the microprocessor performing the function of a co-processor, relieving the burden on 15 the PDA processor circuity itself and enabling the PDA 5 to perform above its natural capacity.

In this way, the television set 1 acts also as a processing and storage extension of the PDA 5.

In a preferred embodiment of the invention, the television set 1 also includes a CD-ROM (read-only memory) 11 containing a suite of programs for use by the PDA 5. The PDA 5 is able to access the CD-ROM 11 by means of the infrared link and the data signal processing means 3, the program data on the CD-ROM 11 being either processed in the

data signal processing means 3 itself or in the auxiliary microprocessor, or transferred to the PDA 5 for processing, via the television's infrared transmitter 9.

The preferred embodiment also includes interfacing links 12 between the television set and a public network or a telephone system. The two-way communication that exists between the PDA 5 and the television set 1 can now take place between the PDA 5 and other users on the network/telephone system. The PDA 5 thus acts as a data terminal such that messages can be transmitted to other users in the system by keying or writing into the PDA 5 the message to be transmitted and then issuing the command to transmit the message to the television set 1 where it is relayed onto the network or television system.

10 In the case of a connection with the telephone system, the PDA 5 can act as a telephone, provided it has a sound card and a small speaker (not shown) capable of reproducing received audio messages.

In addition, the preferred embodiment comprises an auxiliary control means 13 which is fed from the data signal processing means 3 and effects control of more standard 15 TV functions such as channel selection, sound level control, teletext, etc. Even a video recorder (not shown) could be controlled from the PDA 5 if the recorder were linked to the television set 1.

In place of a PDA 5 a laptop or notebook computer can be employed for the remotecontrol arrangement of the invention, or indeed any computer provided with a wireless data 20 communication facility.

The invention can be used to advantage with a games computer by incorporating into the latter a remote-control transmitter operating under a protocol similar to that mentioned above in connection with the PDA 5. In this case, the large colour display that

would be obtained on the television set 1 would enable the often tiny characters that are seen on games computers' screens to be clearly identified.

While the invention has been described in terms of infrared communication between the television set 1 and the computer device, other media are equally applicable, e.g. radio, visible light, ultrasonics.

#### **CLAIMS**

- A broadcast television receiver set comprising auxiliary receiver means for receiving data signals over a non-wired transmission path, data signal processing means for processing data signals received over the transmission path and means to display video information derived from the data signal processing means as images on the main display
   screen of the television set.
  - A broadcast television receiver set as claimed in Claim 1, in which the data signal processing means comprises circuitry for decompressing compressed video data received by the auxiliary receiver means.
- 3. A broadcast television receiver set as claimed in Claim 1 or Claim 2, in10 which the auxiliary receiver means is arranged to receive data signals over an infrared link.
  - 4. A broadcast television receiver set as claimed in Claim 3, in which the auxiliary receiver means comprises a remote control receiver for the television set, the remote control receiver being arranged to pass data signals to the data signal processing means.
- 15 5. A broadcast television receiver set as claimed in Claim 1 or Claim 2, in which the auxiliary receiver means is arranged to receive data signals over a wireless local area network link.
- A broadcast television receiver set as claimed in Claim 1 or Claim 2, in which the auxiliary receiver means is arranged to receive data signals over an ultrasonic
   link.
  - 7. A broadcast television receiver set as claimed in any one of the preceding claims, in which the auxiliary receiver means is arranged to receive data signals according

to a data-transmission protocol employed by a portable computer such as a personal digital assistant or a notebook or a laptop computer.

- 8. A broadcast television receiver set as claimed in Claim 7, comprising further auxiliary processing means and a transmitting means for transmitting data to the portable computer, the auxiliary receiver means and the transmitting means forming a two-way communication link with the portable computer and the auxiliary processing means being connectable to the data signal processing means and to the transmitting means such as to serve as a co-processor for the computer.
- 9. A broadcast television receiver set as claimed in Claim 8, comprising an auxiliary storage device connectable to the data signal processing means and to the transmitting means such as to serve as an extension data storage facility for the computer.
- 10. A broadcast television receiver set as claimed in Claim 8 or Claim 9, comprising a read-only memory connectable to the data signal processing means and/or to the transmitting means such as to serve as an extended source of programs for the computer.
  - 11. A broadcast television receiver set as claimed in Claim 10, in which the readonly memory is a CD-ROM.
- 12. A broadcast television receiver set as claimed in any one of Claims 8 to 11, comprising interfacing means for interfacing the data processing means and the transmitting means to a network or to a telephone system, whereby two-way transfer of data can be established between the portable computer and a user of the network or telephone system.

- 13. A broadcast television receiver set as claimed in any one of Claims 7 to 12, comprising control means for controlling various functions such as channel selection, sound level control and teletext, the control means being driven from the data signal processing means in response to control data transmitted by the portable computer.
- 5 14. A television set substantially as hereinbefore described.





**Application No:** 

GB 9521391.4

1-14

**Examiner:** 

B.G. Western

Claims searched:

Date of search:

24 January 1996

Patents Act 1977 Search Report under Section 17

#### Databases searched:

UK Patent Office collections, including GB, EP, WO & US patent specifications, in:

UK Cl (Ed.O): G4A AFGDC AFGDX AMP ASX; G4H HRCU HRE;

**H4F FAAX FBA FCW** 

Int Cl (Ed.6): G06F 3/14 3/153; H04N 5/445 5/45 7/22 9/64

Other: On-line: WPI

#### Documents considered to be relevant:

Category	Identity of document and relevant passage			Relevant to claims
х	GB-2162978-A	THORN EMI	See whole document	1,3,4
x	GB-2131221-A	AI ESU ESU	See whole document	1,3 to 7
х	EP-0416807-A2	IBM	See whole document	1,3,7
x	WO-92/14397-A1	HAUCK	See Fig, pp 6-7	1,3,4,6,7
х	US-4488179-A	ECKHARD KRUG	ER et al See whole document	1,4,5
x	US-4626892-A	NORTRUP et al	See whole document	1,3,4

Document indicating lack of novelty or inventive step Document indicating lack of inventive step if combined with one or more other documents of same category.

Document indicating technological background and/or state of the art. Document published on or after the declared priority date but before

Member of the same patent family

the filing date of this invention. Patent document published on or after, but with priority date earlier than, the filing date of this application.

## **EAST Search History**

## **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	0	"10911211" and (IP)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 21:31
L2	2	"09189535"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:15
L3	30	upgrading near synergetic near2 home near2 networks	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:16
L4	6	upgrading near synergetic near2 home near2 networks and office	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:17
L5	21	"6937972"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:57
L6	2	"6937972" and ip	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:57
L7	6	"6937972" and protocol	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:58
L8	0	"10911211" and (internet protocol)	US-PGPUB;	AND	OFF	2014/05/02

			USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			22:59
L9	0	"10911211" and (protocol)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:59
L10	О	"10911211" and (wlan)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:59
L11	О	"10911211" and (lan)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:59
L12	Ο	"10911211" and (wireless)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:59
L13	0	"10911211"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	AND	OFF	2014/05/02 22:59
L14	O	"10911211"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 22:59
L15	10	"09591381" and ip	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 23:00
L16	21	remote with (television or tv) with IP and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	AND	OFF	2014/05/02 23:00

		1	IBM_TDB		***************************************	******
L17	1	remote with (television or tv) with WLAN and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	AND	OFF	2014/05/02 23:02
L18	1	remote same (television or tv) with WLAN and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 23:03
L19	32	remote same (television or tv) with (WLAN or wireless lan) and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 23:03
S1	4	"20070142050"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 01:44
S2	18	"1838120"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM TDB	AND	OFF	2014/04/30 01:46
S3	133	(track\$4 or rail or railway) with (velocity or speed) and RNC	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 02:12
S4	4	"12269579"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 02:12
S5	2	"12259579"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 02:13
S6	71	(track\$4 or rail or railway) with (velocity or speed) and RNC and @ad< "20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 02:13
S7	29	(track\$4 or rail or railway) with (velocity or speed) and RNC same2	US-PGPUB; USPAT;	AND	OFF	2014/04/30 02:16

		(handoff or hand near1 off or handover or hand near1 over) and @ad<"20080505"	FPRS; EPO; JPO; DERWENT; IBM_TDB			
S8	40	(track\$4 or rail or railway) same(velocity or speed) and RNC same2 (handoff or hand near1 off or handover or hand near1 over) and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 03:05
S9	4	"20040203779"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 03:07
S10	5	"20030050064"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 03:08
S11	33	"5970408"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; I BM_TDB	AND	OFF	2014/04/30 03:09
S12	136	(track\$4 or rail or railway) same(velocity or speed) and (RNC or MSC) same2 (handoff or hand near1 off or handover or hand near1 over) and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 18:22
S13	3	"20050272431"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 18:32
S14	18	"1838120"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 23:28
S15	64	"6125278"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 23:34
S16	4	"20040203779"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 23:34
S17	584	reserv\$4 with (path or route or rail or railway) with (speed or velocity) and	US-PGPUB; USPAT;	AND	OFF	2014/04/30 23:36

		@ad<"20080505"	FPRS; EPO; JPO; DERWENT; IBM_TDB			
S18	1	reserv\$4 with (path or route or rail or railway) with (speed or velocity) and (RNC or MSC) and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 23:36
S19	1	reserv\$4 with (path or route or rail or railway) with (speed or velocity) and (SPAT; (RNC\$4 or MSC\$4 or BTS) and (PPRS; EPO; JPO; DERWENT; IBM_TDB		<b>AN</b> D	OFF	2014/04/30 23:37
S20	7	(reserv\$4 or allocat\$4) sa,e (path or route or rail or railway) with (speed or velocity) and (RNC\$4 or MSC\$4 or BTS) and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/04/30 23:37
S21	52	(reserv\$4 or allocat\$4) same (path or route or rail or railway) with (speed or velocity) and (RNC\$4 or MSC\$4 or BTS) and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/04/30 23:37
S22	572	(reserv\$4 or allocat\$4) same (path or route or rail or railway) with (speed or velocity) and (RNC\$4 or MSC\$4 or BTS or BSC or controller) and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/04/30 23:40
S23	31	(reserv\$4 or allocat\$4) same (path or route or rail or railway) with (speed or velocity) and (RNC\$4 or MSC\$4 or BTS or BSC or controller) same (handover or hand near1 over or handoff or hand near1 off )and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 23:40
S24	370	(path or route or rail or railway) with (speed or velocity) and (RNC\$4 or MSC\$4 or BTS or BSC or controller) same (handover or hand near1 over or handoff or hand near1 off) and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 23:44
S25	358	(train or vehicle or highway or rail or railway) with (speed or velocity or direction) same (handover or hand near1 over or handoff or hand near1 off)and @ad<"20080505"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/04/30 23:57
S26	19	"2005020203"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 00:04
S27	8	"20050020203"	US-PGPUB; USPAT;	AND	OFF	2014/05/01 00:04

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			FPRS; EPO; JPO; DERWENT; IBM_TDB			
S28	38	"6311065"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 00:05
S29	2914	"20030427"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/01 00:24
S30	207	FI "20030427"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 00:25
S31	15	"20030086"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 00:28
S32	11	("2003/0235165").URPN.	USPAT	AND	OFF	2014/05/01 03:34
S33	0	"200902199900"	USPAT	AND	OFF	2014/05/01 03:39
S34	1	"20090219900"	USPAT	AND	OFF	2014/05/01 03:39
S35	3	"20090219900"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 03:39
S36	0	multi near1 function same remote with programable and (Garage and (tv or television))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:04
S37	0	multi near1 function same remote with programmable and (Garage and (tv or television))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:04
S38	1	multi near1 function same remote with program\$6 and (Garage and (tv or television))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO;	AND	OFF	2014/05/01 21:04

			DERWENT; IBM_TDB		***************************************	
S39	2	multi near1 function same remote with program\$6 and (Garage)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:05
S40	6	"20020046083"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:10
S41	928	download\$4 same (tv or television) with remot\$4 same2 (cellular or telephone or phone)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:15
S42	0	"10911211"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:16
S43	97	download\$4 same (tv or television) with remot\$4 same2 (cellular or telephone or phone) and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:21
S44	26	download\$4 same (tv or television) with remot\$4 same2 (cellular or phone) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:28
S45	0	download\$4 same (tv or television) with remot\$4 same2 (cellular or phone) and @ad<"20000609" and (garage or copier)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:55
S46	41	download\$4 same (tv or television) with remot\$4 same2 (cellular or phone or voice) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 21:55
S47	24	"6628964"	US-PGPUB; USPAT; USOCR;	AND	OFF	2014/05/01 21:59

			FPRS; EPO; JPO; DERWENT; IBM_TDB		***************************************	
S48	0	"6628964" and @ad<"2000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:00
S49	2	"6628964" and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:01
S50	24	"6628964"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:02
S51	10	"09591381"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:04
S52	10	"09591381" and garage	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:05
S53	0	"09591381" and copier	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:05
S54	0	"09591381" and printer	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:06
S55	0	"09591381" and copy	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:06
S56	0	"10911211" and copier	US-PGPUB;	AND	OFF	2014/05/01

			USPAT; USOCR; FPRS; EPO; JPO; DERWENT;			22:12
\$57	0	"10911211" and hierarch\$4	IBM_TDB  US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:21
S58	0	"10911211" and (later or wait)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:23
S59	0	"10911211" and (bandwidth)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:24
S60	10	"09591381"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/01 22:24
S61	5	"09311928"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	AND	OFF	2014/05/02 00:34
S62	3	"09311128"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 00:35
S63	7	"09165682"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 02:16
S64	2	"09189535"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT;	AND	OFF	2014/05/02 02:16

	***************************************		IBM_TDB	***************************************		
S65	51	programmable with (Cell\$4) and remote same (tv or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	AND	OFF	2014/05/02 02:18
S66	21	"6937972"  US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB		AND	OFF	2014/05/02 02:42
S67	69	programmable with (Cell\$4 or phone) and remote same (tv or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 02:44
S68	72	("6308083").UR <b>PN</b> .	USPAT	AND	OFF	2014/05/02 02:45
S69	18	remote near1 control with (Cell\$4 or phone) same download\$4 and (copier or tv or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 02:53
S70	50	remote near1 control with (Cell\$4 or phone) and download\$4 and (copier or tv or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:09
S72	3	programmable near1 (device or wireless or mobile) same (Cell\$4 or phone) and download\$4 and (copier or tv or television or garage) and @ad<"20000609"	US-PGPUB; USPAT;	AND	OFF	2014/05/02 03:23
S73	10	(pronto) and download\$4 and (copier or tv or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:24
S74	7	(pronto) and download\$4 and (cellular) and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:25
S75	285	programmable near4 (cellular) and	US-PGPUB;	AND	OFF	2014/05/02

		@ad<"20000609"	USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB			03:26
S76	77	programmable near4 (cellular) and (download\$4) and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:26
S77	20	programmable near4 (cellular or wireless near3 phone) and (download\$4) and (TV or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:29
S78	7	multi near1 function same (cellular or wireless near3 phone) and (download\$4) and (TV or television or garage) and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:33
S79	3	multi near1 function with remote and (cellular or wireless near3 phone) and (TV or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:35
S80	10	multi near1 function with remote and (cellular or phone) and (TV or television or garage) and @ad<"20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:37
S81	200	multi near1 function and (cellular or phone) and (TV or television or garage) and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/02 03:40
S82	92	download\$4 with function and (cellular or phone) same (TV or television or garage) and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 03:49
S83	678	("5410326").URPN.	USPAT	AND	OFF	2014/05/02 03:52
S84	0	remote control same (cell\$4 phone) and @ad<"2000609"	USPAT	AND	OFF	2014/05/02 03:57
S85	1578	remote control same (cell\$4 phone)	USPAT	AND	OFF	2014/05/02

	***************************************	and @ad<"20000609"				03:57
S88	80	"6308083"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/02 18:23
S89	29	"6308083" and download\$4	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:30
S90	80	"6308083"  US-PGPUB; AND OFF USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB		2014/05/02 18:31		
S91	44	"6308083" and (cellular or phone)	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/02 18:35
S92	19	"6308083" and (cellular or phone) and (download\$4)	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/02 18:38
S93	5	("7424291").URPN.	USPAT	AND	OFF	2014/05/02 18:39
S94	0	(universal or control) near2 remote and (cellular or phone) same (download\$4) and @ad<"2000609"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/02 18:41
S95	395	(universal or control) near2 remote and (cellular or phone) same (download\$4) and @ad< "20000609"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:41
S96	0	(universal or control) near2 remote same (donwload\$4) and (cellular or phone) same (download\$4) and @ad<"20000609"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/02 18:48
S97	0	(universal or control) near2 remote same (donwload\$4) and (cellular or phone) and @ad<"20000609"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	<b>AN</b> D	OFF	2014/05/02 18:49
S98	194	(universal or control) near2 remote same (download\$4) and (cellular or phone) and @ad<"20000609"	US-PGPUB; USPAT; FPRS; EPO;	AND	OFF	2014/05/02 18:49

			JPO; DERWENT; IBM_TDB			
S99	96	(universal or control) near2 remote same (download\$4) and (cellular or phone) same download\$4 and @ad<"20000609"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:49
S100	3	"09311128"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:57
S101	0	"093111128"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:58
S102	32	(universal or control) near2 remote same (download\$4) and (cellular or phone) same download\$4 and @ad<"20000609" and "128"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:58
S103	1	(universal or control) near2 remote same (download\$4) and (cellular or phone) same download\$4 and @ad<"20000609" and "1128"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:58
S104	58	(universal or control) near2 remote same (download\$4) and (cellular or phone) same (talk or voice or chat) and @ad< "20000609"	US-PGPUB; USPAT; FPRS; EPO; JPO; DERWENT; IBM_TDB	AND	OFF	2014/05/02 18:59

# **EAST Search History (Interference)**

<This search history is empty>

5/2/2014 11:19:32 PM

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected		Appeal
=	Allowed	÷	Restricted	ı	Interference	C	Objected

☐ Claims r	enumbered	in the same	order as pr	esented by	applicant		□ СРА	□ т.с	D. 🗆	R.1.47
CLA	IM	DATE								
Final	Original	03/14/2008	12/09/2010	09/21/2011	06/12/2013	05/03/2014				
	1	-	-	-	-	-				
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	36	✓	✓	✓	✓	✓				

U.S. Patent and Trademark Office

Part of Paper No.: 20140424

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

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	37	✓	✓	✓	-	•		
	38		✓	✓	-	-		
	39				✓	✓		
	40				✓	✓		
	41				✓	✓		
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	43				✓	✓		
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	70					<b>√</b>		
	71					<b>√</b>		1

U.S. Patent and Trademark Office

Part of Paper No.: 20140424

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
10911211	RAO ET AL.
Examiner	Art Unit
DAVID WANG	2617

CPC- SEARCHED						
Symbol	Date	Examiner				
CPC COMBINATION SETS - SEARCHED						
Symbol	Date	Examiner				

US CLASSIFICATION SEARCHED								
Class	Subclass	Date	Examiner					
455709710	461221104	3/14/20083/14/2 0083/14/2008	DWDWDW					

SEARCH NOTES		
Search Notes	Date	Examiner
please see attachedconsulted Duc Nguyen SPE regarding the use of the	3/14/20083/11/2	DWDWDWDW
Logitech Harmony remote controllergoogle search for "(buy OR purchase)	00812/3/201012	DW
applications from mobile phone google search for finding network with	/9/201012/9/201	
GPS location" and "(detecting OR sensing) (home OR office OR work)	0	
environment GPS"consulted Huy PhanSearch East see attached.		

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
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U.S. Patent and Trademark Office Part of Paper No.: 20110921

Doc code: RCEX Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-09)

Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	REQU	JEST FO		D EXAMINATION  I Only via EFS	N(RCE)TRANSMI -Web)	ITTAL			
Application Number	10911211	Filing Date	2014-10-13	Docket Number (if applicable)	IPHLNZ00501	Art Unit	2644		
First Named Inventor	Sanjay K. RAO (a	as amended	i)	Examiner Name	Michael Irace	,	•		
Request for C	This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.  Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV								
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Other									
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★ The Dire	The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.  The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 503973								
	SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED								
<b>⋉</b> Patent	Practitioner Signa	ature							
Applica	ant Signature								

Doc code: RCEX

PTO/SB/30EFS (07-09)
Doc description: Request for Continued Examination (RCE)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Signature of Registered U.S. Patent Practitioner						
Signature	/Johney U. Han/	Date (YYYY-MM-DD)	2014-11-06			
Name	Johney U. Han	Registration Number	45565			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

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  request involving an individual, to whom the record pertains, when the individual has requested assistance from the
  Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Attorney Docket No.: IPHLNZ00501

Thereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: November 6, 2014 Signature: A. aura L. Gallagher/ (Laura L. Gallagher)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004

Inventor(s): Sanjay K. Rao et al. (as amended)

Title: Multifunction Mobile Devices and Appliance Control (as amended)

Examiner: Michael Irace

Group Art Unit: 2644

#### RESPONSE TO FINAL OFFICE ACTION

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the final Office Action dated May 6, 2014 for which a response was due on August 6, 2014. Filed herewith is a Petition and fee for a three-month extension of time thereby extending the deadline for response to November 6, 2014. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the Remarks presented herein are respectfully requested.

Amendments to the Specification begin on page 2 of this paper.

Amendments to the Claims are reflected in the listing of claims which begins on page 3 of this paper.

Remarks begin on page 11 of this paper.

## AMENDMENTS TO THE SPECIFICATION

On page 1, please amend the title, as follows:

Multifunction Mobile Devices and Appliance Control Dynamically Configurable IP
Based Wireless Device and Wireless Networks

On page 1, please amend the paragraph beginning on line 9, as follows:

The present application is a continuation-in-part of copending application entitled INTELLIGENT KEYBOARD SYSTEM, Serial No. 09/281,739, filed June 4, 1999 (now U.S. Pat. 6,169,789), which is a continuation in part application of a now abandoned application entitled A SYSTEM LEVEL SCHEME TO CONTROL INTELLIGENT APPLIANCES, Serial No. 081764,903 filed December 16, 1996.

On page 2, please amend the paragraph beginning on line 21, as follows: FIGS. 2A-2C show variations of is an embodiment of a comprehensive wireless networking schemes.

#### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

## In the claims

1.-35. (Canceled)

36. (Currently Amended): A method for a mobile device using a server, the method comprising:

accepting an upload of software to a server configured for use by a plurality of mobile devices and further configured to provide a plurality of different software functions to mobile devices:

storing data on the server, the data comprising a plurality of functional instruction sets, software, or mobile device configuration software which is configured to operate and control components of mobile device hardware, wherein said server is at a remote location from the one or more mobile devices, and wherein the server is <u>enabled with necessible over an</u> Internet Protocol connectivity network;

configuring the server to be used for by the one or more mobile devices <u>such that the</u> server functions as a repository of software for the mobile device and as an exchange for <u>software for mobile devices</u>;

configuring the one or more mobile devices to use the server to download the software using a configuration setting;

wherein the mobile device remotely requests software from the server using a wireless network,

wherein the server stores in a storage medium an association of the software with the mobile device and a user profile,

wherein the mobile device downloads software or a functional instruction set from the server using a wireless communication unit.

wherein the mobile device stores the software or the functional instruction set in a storage medium, and

wherein the mobile device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof, and

wherein a processor of the mobile device is configured to execute the software of functional instruction sets so as to control the hardware of the mobile device and wherein the mobile device is configured to transmit and receive at a plurality of frequencies.

37.-38. (Canceled)

## 39. (Currently Amended): A system comprising:

a remote server, the server configured to store wireless device software for a plurality of different functions or applications for use by a plurality of wireless devices,

wherein the remote server stores in memory software or functional instructions sets for a wireless device,

wherein the remote server sends to the wireless device software or functional instruction sets.

wherein the remote server stores profiles or other of user specific information, wherein the one or more wireless devices are configured is enabled for voice and data communication.

wherein the wireless device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof, wherein the wireless device is configured to download the software from the remote server using an Internet <u>protocol data network</u>.

wherein the software is configured for use by the wireless device,

wherein the software controls a plurality of hardware components on the wireless device, and

wherein the server is enabled to provide a plurality of software and instruction sets for control of the wireless device for receipt by the wireless device wherein the device is enabled with software to control and command intelligent appliance using a server.

wherein said software includes macros for control of an appliance; and wherein the wireless device is enabled to control one or more appliances using a voice command.

40. (Previously Presented): The system of claim 39, wherein the profiles contain information for both a user and the wireless device.

41. (Currently Amended): A wireless electronic device or mobile device, the device comprising:

a processor;

a memory;

a unit for wireless communication;

wherein the device is capable of voice and data communication,

wherein the device connects to a server,

wherein the device downloads a software application or a functional instruction set from the server.

wherein the software or the functional instruction set is configured to operate and control components of device hardware;

wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof,

wherein the software or the functional instruction set is associated with a user and the device stored in a profile,

wherein the wireless device is configured to download a plurality of software from the server.

wherein the server is configured to store software or functional instruction sets for a plurality of wireless devices and for a plurality of applications for the plurality of wireless devices, and

wherein the mobile device identifies a set of software to be downloaded from the server wherein the device is enabled to communicate on a plurality of frequencies.

wherein the device is enabled for voice and data communication, wherein the device is enabled for voice communication using cellular, and wherein the device is enabled for wireless voice communication using a local area network.

42. (Previously Presented): The device of claim 40, wherein the device downloads an application to function as a remote control for one or more devices including a television.

## 43. (Currently Amended): A system comprising:

a wireless device or mobile device including functions of one or more of a cellular telephone, PDA, handheld computer, or multifunction communication device or combinations thereof, the wireless device configured to receive a non-transitory computer readable medium from a server located at a remote location separate from the wireless device, the server configured to store a plurality of different application software or functional instructions for a plurality of wireless devices, one of the software application including a non-transitory computer readable storage medium for a wireless device comprising:

an application software to be run by a processor on the wireless device,

wherein the wireless device is in further communication with a television configured to receive wireless commands over a network.

wherein the wireless device is configured to send a request to the television, wherein the request comprises a control function for the television,

wherein the wireless device is configured to send said commands using a local home IP network, and

wherein the communication between the television and the wireless device is over a IP based network as part of a home network.

wherein the wireless device obtains from the server a plurality of software for the control of a plurality of home appliances.

wherein commands to the control the one or more appliances are voice actuated based on input to the wireless device, and

wherein the device operates using a plurality of frequencies.

44. (Previously Presented): The system of claim 43, wherein the wireless device is configured to communicate directly to the television.

45. (Previously Presented): The system of claim 43, wherein the wireless device is configured to communicate a set of commands to a server, the server configured to communicate said commands to the television.

- 46. (Previously Presented): The system of claim 43, wherein the wireless device is configured to communicate a set of commands over a network to a network switch box over a wireless local area network, and wherein the network switch box is configured to transmit said commands to a television.
- 47. (Previously Presented): The system of claims 36, wherein the server provides software for the configuration of the mobile or wireless device as an IP telephone.
- 48. (Previously Presented): The system of claims 36, wherein the download of the software is based on a hierarchy of network paths.

## 49. (Canceled)

- 50. (Currently Amended): The system of claims 36, wherein the device is configured with GPS for location sensing through use of both GPS and the location of network box and reconfigures one or more parameters based on the location and uses location to determine when to download a software application data and from which server to download the application.
- 51. (Previously Presented): The system of claims 36, wherein the mobile device is configured to download an application for controlling a garage door opener.
- 52. (Previously Presented): The system of claims 36, wherein the mobile device is configured to function as an internet protocol IP phone.
- 53. (Currently Amended): The system of claims 36, wherein the server is collocated with a wireless carrier.

54. (Currently Amended): The system of claims 36, wherein the server is <u>further in</u> communication with a network box for use in a home environment collocated with a wireless hardware vendor.

- 55. (Currently Amended): The system of claims 36, wherein the server is collocated with an office network.
- 56. (Currently Amended): The system of claims 36, wherein the mobile device serves as a remote controller for controlling intelligent office appliances downloads a software application to control a copier.
- 57. (Previously Presented): The system of claims 39, wherein responsive to a request from the one or more wireless device to a website or URL associated with a website server or a network environment, the one or more wireless device receives an indicator of a software application to be downloaded from the remote server.
- 58. (Currently Amended): The system of claims 57, wherein the <u>server delivers</u> content not when device is in a carrier domain downloading of said software is placed into an inactive download state when the device is connected to a first type of network, and

wherein the wireless device is enabled with a configuration mode such that responsive to connecting to a second network type the mobile device downloads the software from the servers.

- 59. (Canceled)
- 60. (Currently Amended): The system of claims 39, wherein the device <u>determines a precise location using both GPS location and a network box location is configured with GPS for location sensing and uses location to determine when to download a software application data and from which server to download the application.</u>

61. (Previously Presented): The system of claims 39, wherein the device is configured to download an application for controlling a garage door opener.

- 62. (Previously Presented): The system of claims 39, wherein the device is configured to function as an internet protocol IP phone.
- 63. (Previously Presented): The system of claims 39, wherein the server is collocated with a wireless carrier.
- 64. (Currently Amended): The system of claims 39, <u>further in communication with a network box for use in a home</u> wherein the server is collocated with a wireless hardware vendor.
- 65. (Previously Presented): The system of claims 39, wherein the server is colocated with an office network.
- 66. (Currently Amended): The system of claims 39, wherein the wireless device <u>uses</u> a <u>command downloads an application</u> to control a copier.
  - 67. (Canceled)
- 68. (Currently Amended): The system of claim 41, wherein the server delivers content not when device is in a carrier domain wherein the downloading of said software is placed into an inactive download state when the device is connected to a first type of network, and

wherein the mobile device is enabled with a configuration mode such that responsive to connecting to a second network type the mobile device downloads the software from the servers.

69. (Previously Presented): The system of claim 41, wherein the server provides software for the configuration of the mobile or wireless device as an IP (Internet Protocol) telephone.

- 70. (Previously Presented): The system of claim 41, wherein the download of the application is based on a hierarchy of network paths.
- 71. (Currently Amended): The system of claim 41, wherein the download <u>from the</u> server to the device is in a watchdog state and inactive of the software is based on the available bandwidth of a first network and a second network.
- 72. (Previously Presented): The system of claim 41, wherein the download of the software is based on a request to a URL associated with a server.
- 73. (New): The system of claim 41, wherein a home server functions to controls a plurality of home intelligent appliances.
- 74. (New): The System of claim 41, wherein the device communicates to a home server commands including starting and stopping an operation at a desired time, and wherein the home server controls one or more home intelligent appliances.

#### REMARKS

Claims 36-72 were pending in the present application. By virtue of this response, claims 37-38, 49, 59, 67 have been canceled, claims 36, 39, 41, 43, 50, 53-56, 58, 60, 64, 66, 68, 71 have been amended, and new claims 73-74 have been added. Accordingly, claims 36, 39-48, 50-58, 60-66, and 68-74 are currently under consideration.

The specification has been amended in the CROSS REFERENCE TO RELATED APPLICATIONS as well as to correct for typographical errors in the BRIEF DESCRIPTION OF THE DRAWINGS to include FIGS, 2A-2C. No new matter has been added.

Amendment and cancellation of certain claims is not to be construed as a dedication to the public of any of the subject matter of the claims as previously presented. No new matter has been added.

## Rejections under 35 U.S.C. §112

A. Claims 48 and 70 are rejected under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph, as allegedly failing to comply with the written description requirement.

Applicant respectfully traverses this rejection and submits that these claims are fully supported by the specification. For instance, the specification discloses at p. 11, lines 10-14:

The CT IMD 202 in conjunction with the Server C 214 decides the preferred mode to be in. There may be a primary mode and several secondary modes or a hierarchy of modes. The primary mode may be local office 232 and then the public carrier 204 loop, followed by the home 262 loop. This switching may be automatic or per specific functional instruction set 218 and preferences stored on the Server C 214 or in the CTIMD 202 itself.

Furthermore, the present application also claims priority to U.S. Pat. App. 09/281,739 filed June 4, 1999 (now U.S. Pat. 6,169,789) which further provides support where: "[t]hus, there may exist within the home/office environment a hierarchy of transmit/receive devices ...." (U.S. Pat. 6,169,789 at col. 4, lines 10-12.)

Hence, claims 48 and 70 are fully supported by the specification as-filed.

Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph.

B. Claims 56 and 66 are rejected under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph, as allegedly failing to comply with the written description requirement.

Without agreeing with the merits of the rejection, Applicant has amended the claims merely to further prosecution. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph.

C. Claims 49, 59 and 67 are rejected under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph, as allegedly failing to comply with the written description requirement.

Without agreeing with the merits of the rejection, Applicant has canceled the claims merely to further prosecution. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph.

D. Claims 54 and 64 are rejected under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph, as allegedly failing to comply with the written description requirement.

Without agreeing with the merits of the rejection, Applicant has amended the claims merely to further prosecution. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph.

E. Claims 58, 68, and 71 are rejected under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph, as allegedly failing to comply with the written description requirement.

Without agreeing with the merits of the rejection, Applicant has amended the claims merely to further prosecution. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph.

F. Claims 50 and 60 are rejected under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph, as allegedly failing to comply with the written description requirement.

Without agreeing with the merits of the rejection, Applicant has amended the claims merely to further prosecution. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under 35 U.S.C. §112(a) and pre-AIA 35 U.S.C. §112, first paragraph.

## Rejections under 35 U.S.C. §103

A. Claims 36 and 41 are rejected under pre-AIA 35 U.S.C. §103(a) as allegedly being unpatentable over Ondeck (US Pub. 2002/0046083) in view of Bates (US Pat. 6,628,964) and Bell (US Pat. 7,894,474).

Applicant respectfully traverses the rejection and submits that each of the cited references form an improper basis for rejection. The present application has a filing date of October 13, 2004 with priority to at least June 4, 1999. However, Ondeck has a filing date of July 8, 1999; Bates has a filing date of July 20, 2000; and Bell has a filing date of September 10, 1999, each of which is after the priority date of June 4, 1999. Hence, these references cannot be applied as a basis for rejection.

In an effort to further advance prosecution, independent claim 36 has also been amended to clarify the server as an exchange and further where the wireless device functions transmits and receives at different frequencies. The claim recites "configuring the server to be used for by the one or more mobile devices such that the server functions as a repository of software for the mobile device and as an exchange for software for mobile devices" and further "wherein the mobile device downloads software or a functional instruction set from the server using a wireless communication unit" and additionally "wherein the mobile device is configured to transmit and receive at a plurality of frequencies."

Independent claim 41 has been amended to now recite "wherein the device is enabled to communicate on a plurality of frequencies, wherein the device is enabled for voice and data communication, wherein the device is enabled for voice communication using cellular, and wherein the device is enabled for wireless voice communication using a local area network."

Support for the amendments to the independent claims and newly added claims 73-74 can be found throughout the specification as-filed. For example, see p. 5, lines 3-5 & 19-22; p. 6, lines 5-7, 24, & 22-26; p. 11, lines 26-28; p. 12, lines 24-28.

Generally, each of the cited references are directed to commands that a remote controller can use rather than the actual software itself. Moreover, none of the references teach or suggest wireless communication enabled over multiple frequencies, and none of the references teach control of an appliance using servers but instead teach point-to-point, e.g., a mobile device directly controlling an appliance.

Turning now to the Ondeck, this reference fails to teach a system for downloading of software to the device where the software controls the device. Rather, Ondeck is concerned with "links to appliance-dependent control and feature option information." (Ondeck, [0032].) There is nothing to suggest that the "information" of Ondeck can provide software that controls the hardware of the device. Moreover, Ondeck further teaches where "... currently, a manufacturer may add an extra software application to an electronic device. The manufacturer would have to manually install this software or add a disk to the package, for all versions of Product X that are to go to Retailer Y." (Ondeck, [0011].)

Ondeck further describes "[a] user interface is provided at the site for the user to easily specify a target appliance, and thereafter selectively download the interface and control information that is available for the target appliance." Control information is not equivalent to software functions that controls the device.

Nothing in the Ondeck teaches the exchange aspect of a server and none of the cited references teach or suggest the need for multi-frequency devices but only teach the use of single frequency devices.

Therefore, each of the independent claims is patentable over the cited references alone or in combination and the dependent claims are patentable for at least the same reasons

above. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under pre-AIA 35 U.S.C. §103(a).

**B.** Claims 36-43 are rejected under pre-AIA 35 U.S.C. §103(a) as allegedly being unpatentable over Ondeck and Bell in view of Minnett (GB 2,294,563).

Each of the independent claims are patentable over Ondeck and Bell for at least the same reasons above. Moreover, the additionally cited reference of Minnett fails to teach or suggest the use of sending commands over an IP based network. A notable distinction is that traditional remote controls including those described by Minnett require point-to-point control through the use of IR but fails to teach network based control, as presently claimed, obviating the need for a direct line of site transmit/receive. Hence, Minnett fails to cure the defects of Ondeck and Bell.

Therefore, each of the independent claims is patentable over the cited references alone or in combination and the dependent claims are patentable for at least the same reasons above. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under pre-AIA 35 U.S.C. §103(a).

C. Claims 47, 49, 51-52, 56, 58-59, 61-62, 66-69, 71 are rejected under pre-AIA 35 U.S.C. §103(a) as allegedly being unpatentable over Ondeck and Bell and variously in view of King (US Pat. 6,308,083); Mattaway (US Pat. 6,131,121); Engbersen (US Pat. 6,341,304); Lee (US Pat. 8,670,405); Kretschman (US Pat. 6,167,464); Wood (US Pat. 6,453,127).

Applicant respectfully traverses these rejections for at least the same reasons above. As also discussed, Ondeck and Bell provide an improper basis for rejection and each of the additionally cited references of King, Mattaway, Engbersen, Lee, Kretschman, and Wood fail to cure the defects of Ondeck and Bell.

Furthermore, Engbersen has a filing date of September 23, 1999 which is after the priority date of the present application and therefore must be excluded as prior art.

Additionally, Fig. 3 of Engbersen does not disclose any notion of multiple network paths but only describes bandwidth. It unclear how the device could access multiple network paths in

fact since the device as described by does not have the ability to access multiple network paths from the device as it is not even a dual-mode networked device.

On the contrary, the system as taught by Engbersen describes a bandwidth sharing system and a single "communication link" where a bandwidth "check is then made to determine sufficient bandwidth available". The Engbersen bandwith check is a separate device from the mobile device. Applicant's system makes use of two separate networks and network paths to download data. Additionally, Fig 4. of Engbersen teaches a system which "throttle down transfers to be less than or equal to the available bandwidth". On the contrary, Applicant's system uses a hierarchy of different networks and network paths to not be limited by the bandwidth of the network.

Therefore, each of the dependent claims is patentable for at least the same reasons above. Accordingly, Applicant respectfully requests the reconsideration and withdrawal of the rejection under pre-AIA 35 U.S.C. §103(a).

#### CONCLUSION

In view of the above, each of the presently pending claims in this application is believed to be in condition for allowance. Accordingly, the Examiner is respectfully requested to withdraw the outstanding rejections and pass this application to issue. If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing to **Deposit Account No. 50-3973** referencing Attorney Docket No. **IPHLNZ00501**. However, the Commissioner is not authorized to charge the cost of the

issue fee to the Deposit Account.

Respectfully submitted,

/Johney U. Han/

Johney U. Han Registration No. 45,565

Customer No. 40518 Levine Bagade Han LLP 2400 Geng Road, Suite 120 Palo Alto, CA 94303 Direct: (650) 242-4217

Fax: (650) 284-2180

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		***************************************					Attomo	. Do	nkat N	umhor	I IDI-II N	IZ00501		
Supplemental Application Data She				Sheet	et Attorney Docket Number Application Number			<b></b>	10/911,211					
			* 6		*	······					l		3	
Title of	Title of Invention Multifunction Mobile Devi					Jevices	es and Appliance Control Dynamically configurable IP based wireless device and							
bibliogra This do	aphic cume	dat <mark>a a</mark> rran nt may be	ged in	a format sp	ecified I onically	by the U and sul	nited States F bmitted to the	atent	and Tr	ademark C	o as soffice	utlined in 37	following form contains CFR 1.76, mic Filing System (EF:	
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													Secrecy Order pur f electronically.)	suant to
Appli	car	nt Info	rm	ation:										
Applic	ant	1	**********	*******************************			*******************************					*******************************		*************************
Applic	ant	Authori	ty 🖲	Inventor	Or	egal Re	presentative	und	er 35	U.S.C. 11	7	Party of Ir	nterest under 35 U.S	.C. 118
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Address 1 3087 Alexis Drive				ve	•••••					•••••		***************************************		
Addre	ss 2					***************************************								
City		Palo Al	to	L	**********		State/Province CA							
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Applic		~~~~~~		······································							7	~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~~	sterent index 20 110	· ^ 440
·····	,		************	)Inventor			I Representative under 35 U.S.C. 11			<b>,</b>	,			
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City	L	lo Alto					/Province		A	Count	ry of Ke	sidence	US	
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Mailing Address of Applicant:														
Address 1 3087 Alexis Driv			ve											
Address 2						···								
City Palo Alto					State/Province CA									
Postal Code 94304			**********		Cou	ıntry	US							
Applic	ant	3												
					Or	egal Re	gal Representative under 35 U.S.C. 117 Party of Interest under 35 U.S.					.C. 118		
************	Prefix Given Name			N	Middle Name			Family Name			Suffix			
	-Sanjay Raman			K	K.			Rao	Rao					

City

Palo Alto

Residence Information (Select One) ( US Residency

State/Province

Active US Military Service

us

Non US Residency

Country of Residence

CA

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Under the F	aperwork F	Reduction Act of 1995, no pe	<del></del>	·	·····			nation unless it contains a valid OMB control number Z00601	
Supplemental Application Data Sheet				Attorney Docket Number Application Number		10/91	***************************************		
Title of Invention   Multifunction Mobile Devices a wireless networks								figurable IP based wireless device and	
Citizenship under	37 CFF	<b>(1.41(b)</b> US							
Mailing Address									
Address 1	3	087 Alexis Drive	***************************************	***********	**********	***************************************	•••••		
Address 2									
City Palo Al	to				State	e/Provin	nce CA		
Postal Code	8	4304		Cour	ntry	US			
		sted - Additional I by selecting the <b>Ad</b> e		Informa	ation	blocks i	may be	Add	
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		ımber or complete ee 37 CFR 1.33(a).	the Con	respon	denc	e Inforn	nation s	section below.	
☐ An Address i	s being	provided for the c	orrespoi	ndence	Info	rmation	of this	application.	
Customer Numbe	r	40518	•••••	***************************************			***************************************	······································	
Email Address		Patent@LBHIP.com			***********		*************	Add Email Remove Email	
Application In	ıform	ation:			•••••				
Title of the Invent	ion	Multifunction Mobile			liance	Control	Dynan	iically configurable iP based wireless	
Attorney Docket !	Vumber	<b>}</b>	· roccwornes		Sr	nall Ent	ity Stat	us Claimed	
Application Type		Nonprovisional							
Subject Matter	*************	Utility	****************	***********	***********	***********	**********		
Suggested Class	(if any)		***************************************	Sı	ub Class	s (if any	/)		
Suggested Techn	ology C	enter (if any)	***************************************	•••••		***************************************	***************************************	<u> </u>	
Total Number of D	Drawing	Sheets (if any)	5		St	uggeste	d Figur	e for Publication (if any)	
Publication I	nforn	nation:	***************************************	***************************************		•••••	***************************************		
Request Early	/ Publica	tion (Fee required a	it time of	Reque	st 37	CFR 1.2	19)		
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.  C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.									
this information in the Enter either Cus	mation s Applica	should be provided for	not constit lete the	tute a po Repr	ower o resent	f attorney ative N	in the a	attomey in the application. Providing pplication (see 37 CFR 1.32), section below. If both sections processing.	
Please Select One	; [	Customer Number	<i>r</i> [0	US Pa	itent P	ractitione	r TC	Limited Recognition (37 CFR 11.9)	

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Sunniemental A	pplication Data Sheet	Attorney Docket Number	IPHLNZ00501	
Cappicincina	ppirodion bata oncot	Application Number	10/911,211	
Title of Invention	Multifunction Mobile Devices wireless networks	and Appliance Control <del>Dynam</del>	ically configurable IP based wireless device and	
Customer Number	40518			

# Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78(a)(2) or CFR 1.78(a)(4), and need not otherwise be made part of the specification.

ľ	Prior Application	on Status	Patented			Rei	nove		
	Application Number	Cont	inuity Type	Prior Application Filing Date Patent Number (YYYY-MM-DD)		Issue Date (YYYY-MM-DD)			
		Division o	st .	09591381	2000-06-09	7929950	2011-04-19		
ľ	5.5.25°								

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

# Foreign Priority Information:

This section allows for the applicant to claim benefit of foreign priority and to identify any prior foreign application for which priority is not claimed. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(a).

and 37 CFR 1.55(a).			
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Application Number	Country	Parent Filing Date (YYYY-MM-DD)	Priority Claimed
			○ Yes ○ No
Additional Foreign Priority  Add button.	Data may be generaled within t		

# Assignee Information:

	n in the application data sheet d ssignment recorded in the Office		ith any requirement of part 3 of Title 37				
Assignee 1							
If the Assignee is an C	Organization check here.	$\boxtimes$					
Organization Name IP Holdings, Inc.							
Mailing Address Info	rmation:						
Address 1	<del>2099</del> <u>3087</u> Alexis Drive	- <del>3099</del> <u>3087</u> Alexis Drive					
Address 2							
City	Palo Alto	State/Province	CA				
Country US	······································	Postal Code	94304				
Phone Number		Fax Number					
Email Address							
Additional Assignee Dutton.	Data may be generated withi	n this form by selecting the Ad	d				

## Signature:

A signature of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 CFR 1.4(d) for the form of the signature.

Supplemental Application Data Sheet		Attorney Docket Number	IPHLNZ00501
Cappioniona	ppiroution butte onloce	Application Number	10/911,211
Title of Invention	Multifunction Mobile Devices : wireless networks	and Appliance Control - <del>Dynami</del>	cally configurable IP based wireless device and

Signature	/Johney U. Han/			Date (YYYY-MM-DD)	2014-11-06
First Name	Johney	Last Name	Han	Registration Number	45565

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552)
  and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine
  whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing coursel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Approved for use through 3/31/2013. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995,	im herionite and Ledoneo (c	respond to a cosec	WAREHALL OF HARMAN STATE	<b>,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</b>	mber (Optional)
PETITION FOR EXTENSION	OF TIME UND	ER 37 CFR	1.136(a)	IPHLNZ	200501
Application Number 10/911,211		Filed Octo	ber 13,	2004	
For Multifunction Mobile (	Devices and	Applianc	ce Contr	ol (as	amended)
Art Unit 2644		Examiner M	ichael Ir	ace	
This is a request under the provisions of 37 C	FR 1.136(a) to extend	the period for filin	g a reply in the	above-ident	ified application.
The requested extension and fee are as follow	ws (check time period d	esired and enter	the appropriate	fee below):	
	<u>Fee</u> Sm	all Entity Fee	Micro Ent	ity Fee	
One month (37 CFR 1,17(a)(1))	\$200	\$100	\$50	+	\$
Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	)	\$
Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$356	3	s 700
Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$556	3	\$
Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$756	3	\$
Applicant asserts small entity status	See 37 CFR 1.27.				
Applicant certifies micro entity status		wa haan euhniittad :	nravánuseku		
A check in the amount of the fee is a		,			
Payment by credit card. Form PTO-3	2038 is attached.				
The Director has already been author	orized to charge fees in	this application to	o a Deposit Acc	count.	
The Director is hereby authorized to	charge any fees which	may be required	, or credit any c	overpayment	, to
Deposit Account Number 50-3973					
Payment made via EFS-Web.					
WARNING: Information on this form may credit card information and authorization of		card information	n should not l	be included	on this form. Provide
I am the					
applicant.					
attorney or agent of record	t. Registration number	45,565		·············	
attorney or agent acting u				~~~~~	
/Johney U. Han/		Novem	ber 6, 2014		
Signature		***************************************		Date	***************************************
Johney U. Han		(650) 2	42-4217		
Typed or printed name				ephone Nun	
NOTE: This form must be signed in accordar multiple forms if more than one signature is re		see 37 CFR 1.4 f	or signature rec	quirements a	ind certifications, Submit

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a banefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

forms are submitted.

✓ \* Total of 1

Electronic Patent Application Fee Transmittal									
Application Number:	10911211								
Filing Date:	13	13-Oct-2004							
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks								
First Named Inventor/Applicant Name:	Ra	man K. Rao							
Filer:	Laura Lan Shires								
Attorney Docket Number:	IPH	HLNZ00501							
Filed as Small Entity									
Utility under 35 USC 111(a) Filing Fees									
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)				
Basic Filing:									
Pages:									
Claims:									
Miscellaneous-Filing:									
PROCESSING FEE, EXCEPT PROV. APPLS. 2830 1 70 70									
Petition:									
Patent-Appeals-and-Interference:									
Post-Allowance-and-Post-Issuance:									
Extension-of-Time:									

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	2253	1	700	700
Miscellaneous:				
RCE - 2nd and Subsequent Request	2820	1	850	850
	Total in USD (\$)			1620

Electronic Ack	knowledgement Receipt
EFS ID:	20631882
Application Number:	10911211
International Application Number:	
Confirmation Number:	7409
Title of Invention:	Dynamically configurable IP based wireless device and wireless networks
First Named Inventor/Applicant Name:	Raman K. Rao
Customer Number:	40518
Filer:	Laura Lan Shires
Filer Authorized By:	
Attorney Docket Number:	IPHLNZ00501
Receipt Date:	06-NOV-2014
Filing Date:	13-OCT-2004
Time Stamp:	19:54:22
Application Type:	Utility under 35 USC 111(a)

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$1620
RAM confirmation Number	5640
Deposit Account	
Authorized User	

# File Listing:

Document	Document Description	File Name	File Size(Bytes)/	Multi	Pages	
Number	Document Description	File Name	Message Digest	Part /.zip	(if appl.)	

1	Request for Continued Examination	01_IPHLNZ00501_20141106_rc	697449	no	3
	(RCE)	e_efs.pdf	e75489d7146985eb9be20929833875cfc53 93716		
Warnings:					
Information:					
2		02_IPHLNZ00501_20141106_re sponse_to_final_office_action.	2731547	yes	17
		pdf	ca4205b27a7fc17bb266b3b660ed2851950 be940	,	
	Multip	oart Description/PDF files in .	zip description		
	Document De	scription	Start	Eı	nd
	Amendment Submitted/Entere	ed with Filing of CPA/RCE	1		1
	Specificat	tion	2	2	
	Claims	3	3	10	
	Applicant Arguments/Remarks	Made in an Amendment	11 17		
Warnings:					
Information:					
3	Appendix to the Specification	03_IPHLNZ00501_20141106_s	1254955	no	5
		upplemental_ads.pdf	a36e5e09c638a2af07d18ad5eadb41d7e9b 0e0b9		
<b>Warnings:</b>					
Information:					
4	Extension of Time	04_IPHLNZ00501_20141106_e	220681	no	1
		xtension_of_time.pdf	a5babed 125a88d5b709164207f014f49ee2 8af03		
Warnings:					
Information:					
5	Fee Worksheet (SB06)	fee-info.pdf	34097	no	2
	. ,	<u>'</u>	d7452ab0eb989a993c7be787be0ec789a0e 1d796		
Warnings:					
Information:					
		Total Files Size (in bytes)	493	8729	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						Application or Docket Number 10/911,211 Filing Date 10/13/2004			To be Mailed			
	ENTITY: ☐ LARGE ☒ SMALL ☐ MICRO											
APPLICATION AS FILED – PART I												
	(Column 1) (Column 2)											
	FOR	N	IUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)		FEE (\$)			
	BASIC FEE (37 CFR 1.16(a), (b), (	or (c))	N/A		N/A		N/A					
	SEARCH FEE (37 CFR 1.16(k), (i), o	or (m))	N/A		N/A		N/A					
	EXAMINATION FE (37 CFR 1.16(o), (p), o		N/A		N/A		N/A					
	TAL CLAIMS CFR 1.16(i))		mir	nus 20 = *			X \$ =					
	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =					
	APPLICATION SIZE (37 CFR 1.16(s))	FEE of pa	aper, the a	application size t y) for each addit	gs exceed 100 s fee due is \$310 ( ional 50 sheets c c. 41(a)(1)(G) and	\$155 or						
	MULTIPLE DEPEN											
* If t	he difference in colu	ımn 1 is less thar	zero, ente	r "0" in column 2.			TOTAL					
		(Column 1)		APPLICAT	TON AS AMEN		RT II					
ENT	11/06/2014	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)			
AMENDMENT	Total (37 CFR 1.16(i))	* 34	Minus	** 34	= 0		x \$40 =		0			
	Independent (37 CFR 1.16(h))	* 4	Minus	***4	= 0		x \$210 =		0			
ΑM	Application Si	ze Fee (37 CFR	1.16(s))									
	FIRST PRESEN	ITATION OF MULT	PLE DEPEN	DENT CLAIM (37 CF	R 1.16(j))							
							TOTAL ADD'L	. FEE	0			
		(Column 1)		(Column 2)	(Column 3	)						
		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITI	ONAL FEE (\$)			
ENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =					
ENDM	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =					
[일	Application Si	ze Fee (37 CFR	1.16(s))									
AM	FIRST PRESEN	ITATION OF MULT	PLE DEPEN	DENT CLAIM (37 CF	R 1.16(j))							
							TOTAL ADD'L	. FEE				
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.											

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



#### United States Patent and Trademark Office

ENTED STATUS DEPARTMENT OF COMMERCE United States Patent and Trademark Office Advan Commercial FOR PATENTS FO Day 107 Advanced Nagola 2313-440

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APPL NO.	FILHO CR 371 (e) DATE	ART UNIT	FIL FEE REC'O	ATTY,DOCKET NO	DRAWINGS			
10/911,211	10/13/2004	2681	466	31	5	23	4	

Raman Rao 3099 Alexis Drive Palo Alto, CA 94304 CONFIRMATION NO. 7409
CORRECTED FILING RECEIPT

\*\*\*C0000000015708716\*\*

Date Mailed: 04/11/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

- Raman K. Rao, Palo Alto, CA; -- Sanjay K. Rao--

Sunil K. Rao, Palo Alto, CA;

-Sanjay K. Rao, Palo Alto, CA; --Raman K. Rao--

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a DIV of 09/591,381 06/09/2000 which is a CIP of 09/281,739 06/04/1999 Pat No 6169789

Foreign Applications

If Required, Foreign Filing License Granted: 03/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/911,211

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes ::

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Dynamically configurable IP based wireless device and wireless networks

Multifunction Mobile Devices and Appliance Control

**Preliminary Class** 

455

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FIUNG LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The data indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Electronic Patent <i>I</i>	App	olication Fee	Transmi	ttal			
Application Number:	10911211						
Filing Date:	13-Oct-2004						
Title of Invention:	Multifunction Mobile Devices and Appliance Control						
First Named Inventor/Applicant Name:	Raman K. Rao						
Filer:	Johney U. Han/Quyen Nguyen						
Attorney Docket Number:	IPH	HLNZ00501					
Filed as Small Entity							
Filing Fees for Utility under 35 USC 111(a)							
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)		
Basic Filing:							
Pages:							
Claims:							
Miscellaneous-Filing:							
Petition:							
Pet. Delay Sub or Restore Priority-Claim		2454	1	850	850		
Patent-Appeals-and-Interference:							
Post-Allowance-and-Post-Issuance:							

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Total in USD (\$)			850

Electronic Acknowledgement Receipt							
EFS ID:	23037189						
Application Number:	10911211						
International Application Number:							
Confirmation Number:	7409						
Title of Invention:	Multifunction Mobile Devices and Appliance Control						
First Named Inventor/Applicant Name:	Raman K. Rao						
Customer Number:	40518						
Filer:	Johney U. Han/Quyen Nguyen						
Filer Authorized By:	Johney U. Han						
Attorney Docket Number:	IPHLNZ00501						
Receipt Date:	27-JUL-2015						
Filing Date:	13-OCT-2004						
Time Stamp:	19:10:17						
Application Type:	Utility under 35 USC 111(a)						

# **Payment information:**

Submitted with Payment	yes
Payment Type	Credit Card
Payment was successfully received in RAM	\$850
RAM confirmation Number	17780
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing	<b>j</b> :				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.
1	Petition for review by the Office of	1_IPHLNZ00501_20150727_pet ition_delayed_priority_claim.	1928818	no	7
'	Petitions	pdf	225c3c45e287a657ab431ef54267f66afcf63 8c4	110	,
Warnings:			,		
Information:					
2	Application Data Sheet	2_IPHLNZ00501_20150727_cor	1590843	no	7
2	Application Data Sheet	rected_ads.pdf	eae1a418e58e09ee5e0ce6425cb6f2c6f3cd bce9	110	,
Warnings:					
Information:					
This is not an US	SPTO supplied ADS fillable form				
3 Request for Corrected Filing Receipt		3_IPHLNZ00501_20150727_req uest_corrected_filing_receipt.	526595	no	3
	nequestror corrected rining necespt	pdf	5d62886a6b86f6622015f942195c8cabd62f 7000	110	<u> </u>
Warnings:			,		
Information:					
4	Request for Corrected Filing Receipt	4_IPHLNZ00501_20150727_fili	1011561	no	2
	requestion corrected timing receipt	ng_receipt_marked_up.pdf	efab8b6c6c16bb4d91ebecdf645c082071d bbee8		
Warnings:					
Information:					
5 Fee Worksheet (SB06)		fee-info.pdf	30640		2
	rec wordheet (JD00)	rec mo.pui	9397702672fb9c07d0be467390b7e9445c3 32aa2	no	2
Warnings:					
Information:					
		Total Files Size (in bytes)	50	88457	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Attorney Docket No.: IPHLNZ00501

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: July 27, 2015 Signature: /Quyen B. Nguyen/ (Quyen B. Nguyen)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004 Inventor(s): Sanjay K. Rao et al.

Title: Multifunction Mobile Devices and Appliance Control (as amended)

Examiner: Michael Irace

Group Art Unit: 2644

#### REQUEST FOR A CORRECTED FILING RECEIPT

Mail Stop Missing Parts Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir or Madam:

Applicant hereby requests that a corrected Filing Receipt be issued in the abovecaptioned patent application. The inventorship order, title and domestic priority claim are updated as follows:

#### **INVENTORSHIP ORDER**

From: Raman K. Rao

Sunil K. Rao

Sanjay K. Rao

To: --Sanjay K. Rao-

Sunil K. Rao

--Raman K. Rao-

Application No.: 10/911,211 Attorney Docket No.: IPHLNZ00501

#### **TITLE**

Amendment submitted on November 6, 2014:

On page 1, please amend the title, as follows:

Multifunction Mobile Devices and Appliance Control Dynamically Configurable IP

Based Wireless Device and Wireless Networks

#### **DOMESTIC PRIORITY DATA**

Amendment submitted on November 6, 2014 and concurrently herewith:

On page 1 of the specification, please amend the paragraph beginning on line 9, as follows:

The present application is a divisional of U.S. Application No. 09/591,381 filed June 9, 2000 (now U.S. Patent No. 7,929,950) which is a continuation-in-part of copending application entitled INTELLIGENT KEYBOARD SYSTEM, Serial No. 09/281,739, filed June 4, 1999, (now U.S. Patent No. 6,169,789) which is a continuation-in-part application of a now abandoned application entitled A SYSTEM LEVEL SCHEME TO CONTROL INTELLIGENT APPLIANCES, Serial No. 08/764,903 filed December 16, 1996.

A Corrected Application Data Sheet and marked up Filing Receipt is being concurrently submitted via EFS-Web. Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Application No.: 10/911,211 Attorney Docket No.: IPHLNZ00501

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing and all documents filed herewith to **Deposit Account No. 50-3973** referencing Attorney Docket No. **IPHLNZ00501**.

Respectfully submitted,

/Johney U. Han/

Johney U. Han Registration No. 45,565

Customer No. 40518

Levine Bagade Han LLP 2400 Geng Road, Suite 120 Palo Alto, CA 94303 Direct: (650) 242-4217

Fax: (650) 284-2180

Attorney Docket No.: IPHLNZ00501

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: July 27, 2015 Signature: // Quyen B. Nguyen/ (Quyen B. Nguyen)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004 Inventor(s): Sanjay K. Rao et al.

Title: Multifunction Mobile Devices and Appliance Control (as amended)

Examiner: Michael Irace

Group Art Unit: 2644

# PETITION TO ACCEPT AN UNINTENTIONALLY DELAYED CLAIM FOR PRIORITY UNDER 35 U.S.C. § 120 AND 37 C.F.R. 1.78(a)(2)

Mail Stop Petition Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir or Madam:

Applicant hereby petitions for acceptance of an unintentionally delayed claim for priority. The entire delay between the date the claim was due under 37 C.F.R. 1.78(a)(2) and the date of the claim was unintentional.

On February 15, 2005, a Decision on Petition (copy enclosed) was mailed indicating "A proper claim for priority based on prior applications has not been filed." Although a subsequent Corrected Filing Receipt (copy enclosed) was mailed on April 11, 2005 indicating a divisional claim to U.S. Application No. 09/591,381 filed June 9, 2000, Applicant submits this petition to confirm correction of the file records.

Filed herewith is a Corrected Application Data Sheet and the fee set forth in § 1.17(t).

Application No.: 10/911,211 Attorney Docket No.: IPHLNZ00501

On page 1 of the specification, please amend the paragraph beginning on line 9, as follows:

The present application is a divisional of U.S. Application No. 09/591,381 filed June 9, 2000 (now U.S. Patent No. 7,929,950) which is a continuation-in-part of copending application entitled INTELLIGENT KEYBOARD SYSTEM, Serial No. 09/281,739, filed June 4, 1999, (now U.S. Patent No. 6,169,789) which is a continuation-in-part application of a now abandoned application entitled A SYSTEM LEVEL SCHEME TO CONTROL INTELLIGENT APPLIANCES, Serial No. 08/764,903 filed December 16, 1996.

In the event the appropriate fee and/or petition is not filed herewith and the U.S. Patent and Trademark Office determines that an extension and/or other relief is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with this filing and all documents filed herewith to **Deposit Account No. 50-3973** referencing Attorney Docket No. **IPHLNZ00501**.

Respectfully submitted,

/Johney U. Han/

Johney U. Han Registration No. 45,565

Customer No. 40518

Levine Bagade Han LLP 2400 Geng Road, Suite 120 Palo Alto, CA 94303

Direct: (650) 242-4217 Fax: (650) 284-2180



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Raman Rao 3099 Alexis Drive Palo Alto, CA 94304 **COPY MAILED** 

FEB 1 5 2005

**OFFICE OF PETITIONS** 

In re Application of Rao et al. Application No. 10/911,211 Filed: October 13, 2004

**Decision on Petition** 

For: Dynamically Configurable Wireless Devices

This is a decision in response to the paper filed October 13, 2004, which is being treated as a petition to accord the above-identified application a filing date of August 3, 2004.

#### The petition is dismissed.

Any request for reconsideration must be submitted within TWO (2) MONTHS from the mail date of this decision. No further petition fee is required for the request. Extensions of time under 37 CFR 1.136(a) are NOT permitted.

The merits of the petition can not be considered until the petition fee (\$400) has been paid.

Normally, the decision could end at this point since the petition fee has not been paid. However, as a courtesy, further information will be given to petitioner.

Petitioner may wish to investigate the extent to which petitioner will be harmed, if harmed at all, if the application retains a filing date of October 13, 2004, rather than August 3, 2004. The Office wishes to ensure petitioner does not spend an additional non-refundable \$400 filing a new petition unless the risk and reward justify such a significant expense on the part of petitioner.

#### The costs of filing a renewed petition seeking a filing date of August 3, 2004

If a petition requesting an earlier filing date is filed and granted, petitioner will need to pay a total of \$465. The total includes \$400 for the petition and a surcharge based on the date the filing fee was submitted. A surcharge (\$65 for a small entity) is necessary whenever the filing fee is paid on a date after the filing date. At the present time the filing fee is being paid on October 13, 2004, the same date as the filing date. However, the filing fee payment date would not be the same date as the filing date were changed to August 3, 2004.

Petitioner should recognize that a renewed petition may not be granted. The transmittal page does contain an incorporation by reference statement. However, the page also states, with emphasis in the original, "The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts." On August 3, 2004, petitioner submitted a page which states in part, "The original specification and drawings are not included." The issue will not be addressed further since a petition along with payment of the required fee has not been filed. Petitioner is reminded the \$400 is non-refundable.

Applicant appears to desire to claim the benefit of an earlier filing date based on prior applications.

The transmittal sheet indicates the application is a divisional of application no. 09/591,381. On October 13, 2004, a specification was filed. The first sentence of the specification claims priority

Application No. 10/911,211 Page 2

based on two applications - 09/281,739 and 08/764,903. Specifically, the specification states the application is a continuation-in-part of application no. 09/281,739 which is a continuation-in-part of application no. 08/764,903.

Petitioner may wish determine if a filing date of October 13, 2004, rather than August 3, 2004, harms petitioner if both dates allow the instant application to claim benefit of an earlier filing date based on prior applications.

A proper claim for priority based on prior applications has not been filed.

In order for a non-provisional filed after November 29, 2000, one may not obtain the benefit of an another application's filing date unless a proper and timely claim has been made.

In order for the claim for priority to be proper, the claim must be made either in the first sentence of the specification or in an Application Data Sheet ("ADS"). A claim for priority based on application no. 09/591,381 appears neither in the specification or an ADS. The specification claims priority based on application no. 09/281,739. However, application no. 09/281,739 issued on January 2, 2001. In order to claim priority directly to application no. 09/281,739, the application would need a filing date prior to the date of issuance of a patent for application no. 09/281,739. A patent for application no. 09/281,739 issued on January 2, 2001.

Petitioner can amend the first sentence of the specification, but such an amendment will require the submission of a petition under 37 CFR 1.78.

A petition under 37 CFR 1.78 is necessary to amend the first sentence to include application no. 09/591,381 as part of the chain of applications because such an amendment was not filed by February 13, 2005. When an application is filed on or after November 29, 2000, benefit claims under 35 U.S.C. 119(e), 120, 121 and 365(c) must be made during the pendency of the application and within the later of four months from the actual filing date of the application or sixteen months from the filing date of the prior application. For the instant application, the above period of time ended on February 13, 2005. The priority claim at issue were not made by this

#### 37 CFR 1.78(a)(3) states,

If the reference required by 35 U.S.C. 120 and paragraph (a)(2) of this section is presented ... after the time period provided by paragraph (a)(2)(ii) of this section, the claim ... may be accepted if the reference identifying the prior-filed application ... was unintentionally delayed. A petition to accept an unintentionally delayed claim under 35 U.S.C. 120, 121, or 365(c) for the benefit of a prior-filed application must be accompanied by:

(i) The reference required by 35 U.S.C. 120 ... unless previously submitted;

(ii) The surcharge set forth in § 1.17(t); and

(iii) A statement that the entire delay between the date the claim was due under paragraph (a)(2)(ii) of this section and the date the claim was filed

under paragraph (a)(2)(ii) of this section and the date the claim was filed was unintentional.

The fee required to be paid is the surcharge set forth in 37 CFR 1.17(t) which is \$1,370.

Petitioner might want to consider the effect filing a new application would have on applicants' rights.

Petitioner might want to ask what harm might occur if a brand new application was filed, along with the filing fee of \$150, search fee of \$250, examination fee of \$100, and with any other necessary fees. In filing a new application, petitioner could ensure the first sentence contained a Application No. 10/911,211

Page 3

proper claim for priority and thereby ensure a petition under 37 CFR 1.78(a)(3) would not be necessary.

#### Summary:

Since the petition fee has not been paid, the full merits of the petition will not be considered and the petition is dismissed.

The Office of Initial Patent Examination will further process the application with a filing date of October 13, 2004, using the papers filed on August 3, 2004, and the papers filed on October 13, 2004.

Telephone, inquiries should be directed to Petitions Attorney Steven Brantley at (571) 272-3203.

Charles Steven Brantley Senior Petitions Attorney

Office of Petitions



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMERCE FOR PATENTS PO. Des 149 Managhs, Septia 200-440 Washington

APPL NO.	FILING OR 371 (e) DATE	ART UNIT	FIL FEE REGO	ATTY.DOCKET NO	DRAWINGS	TOTICLMS	IND CLM3
10/911,211	10/13/2004	2681	466	31	5	23	4

Raman Rao 3099 Alexis Drive Palo Alto, CA 94304 CONFIRMATION NO. 7409
CORRECTED FILING RECEIPT

\*\*\*C0000000015708716\*\*

Date Mailed: 04/11/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice, When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Reman K. Rao, Pelo Alto, CA; Sunil K. Rao, Pelo Alto, CA; Sanjay K. Reo, Pelo Alto, CA;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a DIV of 09/591,381 06/09/2000

**Foreign Applications** 

If Required, Foreign Filing License Granted: 03/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US10/911,211

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes ::

Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Dynamically configurable IP based wireless device and wireless networks

**Preliminary Class** 

455

# LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

Application Da	ata Sheet 37 CFR 1.76	Attorney Docket Number	IPHLNZ00501					
Application Da	ita Sileet Si OFK 1.70	Application Number	10/911,211					
Title of Invention	Title of Invention  -Dynamically configurable IP based wireless device and wireless networks  Multifunction Mobile Devices and Appliance Control							
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.  This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.								

## Secrecy Order 37 CFR 5.2

	$_ extstyle $ Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursi	uant to
Ш	<sup>⊥</sup> 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)	

#### Inventor Information:

HIIVEII	itoi i	IIIOIIIIati	011.									
Invent	tor	1								Ri	emove	
Legal	Name	-										
Prefix	Give	en Name			Middle Name	e			Family	Name		Suffix
	Ram	<del>an</del> Sanjay			K.				Rao			
Resid	lence	Information	(Select One)	•	) US Residency	C	) No	on US Res	sidency	O Activ	e US Military Service	<u> </u>
City	/ Palo Alto Si			tate/Province	CA	١	Countr	y of Resi	dence	US		
Mailing	Addr	ess of Inven	tor:									
Addre	ss 1		3087 Alexis I	Drive	<del></del>							
Addre	ss 2											
City		Palo Alto					St	ate/Prov	ince	CA		
Postal	l Code	9	94304			Co	untr	<b>y</b> i	US			
Invent	tor	2								Re	emove	
Legal												
Prefix	Give	en Name			Middle Name	e			Family	Name		Suffix
	Suni	I			K.				Rao			
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City	Palo	Alto		St	ate/Province	CA	\	Countr	y of Resi	dence	US	
Mailing	Addr	ess of Inven	tor:									
Addre	ss 1		3087 Alexis I	Drive	<del></del>							
Addre	ss 2											
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Invent	tor	3						•		R	emove	
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Prefix	Give	en Name			Middle Name	e			Family	Name		Suffix
	-Sanj	<del>ay</del> <u>Raman</u>			K.				Rao			
Resid	lence	Information	(Select One)		US Residency		) No	nn US Res	sidency	○ Activ	e US Military Service	<u> </u>

Application Da	2 1 76	Attorney Docket Number			IPHLNZ00501						
Application be	( 1.70	Application Number			10/911,211						
Title of Invention		nically configu unction Mobile					ess-network	<del>s-</del>			
City Palo Alto	City Palo Alto State/Province CA Country of Residence US										
Mailing Address o	f Invent										
Address 1		3087 Alexis	Drive								
Address 2	4.11										
	Alto	T 04004				State/Pro		CA			
Postal Code  All Inventors Mus	rt Po L	94304	itional Ir	wontor Inf	Count		US may be				
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An Address i	s being	provided fo	r the co	rresponde	nce Info	ormation	of this ap	plicatio	n.		
Customer Number	er	40518									
Email Address		Patent@LB	HIP.com					Add I	Email	Remove	Email
Application I	nforn	nation: _ <u>_</u>	<u>lultifunction</u>	on Mobile De	evices an	d Appliand	ce Control				
Title of the Inven				rable IP base				s network	<del>(S-</del>		
Attorney Docket	Numbei	r IPHLNZ008	501			Small En	tity Status	Claime	ed 🖂		
Application Type		Nonprovision	onal								
Subject Matter		Utility									
Total Number of	Drawing	Sheets (if	any)	5		Suggest	ed Figure	for Pul	olication	(if any)	
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Only compete this sect application papers incl provided in the approp For the purposes of a fi reference to the previo	uding a sp riate secti ling date :	pecification and ion(s) below (i.e under 37 CFR 1	d any draw e., "Domes .53(b), the	vings are bein stic Benefit/N e description a	ig filed. A ational St and any d	ny domest age Inform rawings of	ic benefit or ation" and "f the present	foreign p Foreign P	riority information in the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the contract of the con	mation mu mation").	st be
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Request Earl	y Publica	ation (Fee re	quired a	t time of Re	equest 3	7 CFR 1	219)				
Request  35 U.S.C. 12 subject of an	2(b) and	certify that	the inver	ntion disclo	sed in th	ne attache	ed applicati	ion <b>has</b>	not and v	will not be	
publication at											

Application Da	ota Shoot 37 CED 1 76	Attorney Docket Number	IPHLNZ00501			
Application Data Sheet 37 CFR 1.76		Application Number	10/911,211			
Title of Invention		Dynamically configurable IP based wireless device and wireless networks  Multifunction Mobile Devices and Appliance Control				

#### Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.									
Please Select One:	Customer Number	US Patent Practitioner	Limited Recognition (37 CFR 11.9)						
Customer Number	40518								

#### **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the application number blank.

Prior Application	on Status	Patented			Rer	nove		
Application Number	Continuity Type		Continuity Type		Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number	Issue Date (YYYY-MM-DD)
	Division of		09591381	2000-06-09	7929950	2011-04-19		
Prior Application	Prior Application Status Paten				Rer	nave		
Application Number	CONTINUITY LYNE		Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number	Issue Date (YYYY-MM-DD)		
09591381	09591381 Continuation in part of		09281739	1999-06-04 6169789		2001-01-02		

Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the **Add** button.

### Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>i</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove	
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)	
Additional Foreign Priority Data may be generated within this form by selecting the				

Add button.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	IPHLNZ00501
		Application Number	10/911,211
Title of Invention	Dynamically configurable IP b Multifunction Mobile Devices	ased wireless device and wirele and Appliance Control	es networks

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

#### **Authorization to Permit Access:**

Authorization to Permit Access to the Instant Application by the Participating Offices
If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO),
the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO),
and any other intellectual property offices in which a foreign application claiming priority to the instant patent application
is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority
to the instant patent application is filed to have access to the instant patent application.
In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect
to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application
claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of
37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is

In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.

## **Applicant Information:**

sought in the instant patent application.

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Application Data Sheet 37 CFR 1.76		Attorney Doc	ket Number	IPHLNZ00501			
Application ba	ila Sile	et Ji	O1 IC 1.70	Application N	umber	10/911,21	1
Title of Invention	- <del>Dynam</del> _Multifu	ically co inction M	nfigurable IP b lobile Devices	ased wireless de and Appliance C	vice and wirele ontrol	ss networks	-
Applicant 1							
	nventor (	or the re	maining joint ir	ventor or inventor	ors under 37 CF	R 1.45), this	s section should not be completed.
The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.							
<ul><li>Assignee</li></ul>			C Legal Re	epresentative un	der 35 U.S.C.	117	Joint Inventor
Person to whom th	e invento	r is oblig	ated to assign.		O Person	who shows :	sufficient proprietary interest
If applicant is the leg	gal repre	sentativ	/e, indicate th	e authority to f	le the patent a	application,	the inventor is:
Name of the Decea	sed or L	egally I	ncapacitated	Inventor :			
If the Applicant is a	ın Orgar	nization	check here.	$\boxtimes$			
Organization Name	e <u>IP</u>	Holding	s, Inc.				
Mailing Address I	nforma	tion Fo	r Applicant:				
Address 1		3087	Alexis Drive				
Address 2							
City		Palo A	lto_		State/Provin	ice <u>C</u>	<u>A</u>
Country US		Γ			Postal Code	94	4304_
Phone Number					Fax Number		
Email Address							
Additional Applicant	Data m	ay be g	enerated with	in this form by	selecting the	Add button.	
Assignee Information including Non-Applicant Assignee Information:							
Providing assignment information in this section does not subsitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.							
Assignee 1							
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.							
If the Assignee or I	Von-App	olicant A	ssignee is ar	Organization	check here.		

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	IPHLNZ00501			
		Application Number	10/911,211			
Title of Invent	ion <del>Dyn</del> <u>Mul</u>	amically configurable IP based wireless device and wireless networks ifunction Mobile Devices and Appliance Control				
Organization	Name	IP Holdings, Inc.				
Mailing Addre	ss Inform	ation For Assignee in	cluding Non-Applicant Ass	ignee:		
Address 1 3087 Alexis Drive						
Address 2						
City		Palo Alto	State/Provi	nce CA		
Country	US	·	Postal Code	94304		
Phone Number	er		Fax Number			
Email Address	s			•		

## Signature:

selecting the Add button.

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications.					
Signature	/Johney U. Han/			Date (YYYY-MM-DD)	2015-07-27
First Name	Johney	Last Name	Han	Registration Number	45565
Additional Signature may be generated within this form by selecting the Add button.					

Additional Assignee or Non-Applicant Assignee Data may be generated within this form by

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

#### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
  - A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
    individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
    the record.
  - 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
  - 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent C o o p eration Treaty.
  - 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
  - 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
  - 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
  - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



#### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEXANDRA Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE 10/911,211 10/13/2004 Sanjay K. Rao IPHLNZ00501

40518 LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303

**CONFIRMATION NO. 7409 IMPROPER CFR REQUEST** 



Date Mailed: 08/05/2015

#### RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Power of Attorney, Claims, Fees, System Limitations, and Miscellaneous

In response to your request for a corrected Filing Receipt, the Office is unable to comply with your request because:

• The correction that was requested cannot be made because the application was filed before the rule became effective.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/mmasfaw/	



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PO. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.gov

 
 APPLICATION NUMBER
 FILING or 371(c) DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 TOT CLAIMS IND CLAIMS

 10/911,211
 10/13/2004
 2644
 946
 IPHLNZ00501
 23
 4

40518 LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303 CONFIRMATION NO. 7409
CORRECTED FILING RECEIPT



Date Mailed: 08/05/2015

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Sanjay K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Raman K. Rao, Palo Alto, CA;

Applicant(s)

Sanjay K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Raman K. Rao, Palo Alto, CA;

Power of Attorney: The patent practitioners associated with Customer Number 40518

Domestic Priority data as claimed by applicant

This application is a DIV of 09/591,381 06/09/2000 PAT 7929950

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

If Required, Foreign Filing License Granted: 03/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is **US 10/911,211** 

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes Early Publication Request: No

\*\* SMALL ENTITY \*\*

page 1 of 3

APPLE INC. / Page 467 of 610

#### **Title**

Multifunction Mobile Devices and Appliance Control

**Preliminary Class** 

455

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

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#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

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This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

#### **NOT GRANTED**

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#### SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <a href="http://www.SelectUSA.gov">http://www.SelectUSA.gov</a> or call +1-202-482-6800.

page 3 of 3



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS P.O. SOURCE FOR PATENTS

Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION | FILING or | GRP ART | NUMBER | 371(c) DATE | UNIT | FIL FEE REC'D | ATTY.DOCKET.NO | TOT CLAIMS IND CLAIMS | 10/911,211 | 10/13/2004 | 2644 | 946 | IPHLNZ00501 | 23 | 4

40518 LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303 CONFIRMATION NO. 7409 CORRECTED FILING RECEIPT



Date Mailed: 02/17/2016

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Sanjay K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Raman K. Rao, Palo Alto, CA;

Applicant(s)

Sanjay K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Raman K. Rao, Palo Alto, CA;

Power of Attorney: The patent practitioners associated with Customer Number 40518

Domestic Priority data as claimed by applicant

This application is a DIV of 09/591,381 06/09/2000 PAT 7929950 which is a CIP of 09/281,739 06/04/1999 PAT 6169789

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

page 1 of 3

If Required, Foreign Filing License Granted: 03/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention,

is US 10/911,211

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Multifunction Mobile Devices and Appliance Control

**Preliminary Class** 

455

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

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page 2 of 3

#### LICENSE FOR FOREIGN FILING UNDER

#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

#### **GRANTED**

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page 3 of 3

## Office of Petitions: Routing Sheet



## **Application No. 10/911,211**

This application is being forwarded to your office for further processing. A decision has been rendered on a petition filed in this application.

- X GRANTED
- DISMISSED
- DENIED

Office of Petitions: Dec	cision Count Sheet	Mailing Month 2
Application No.	10911211	* 1 0 9 1 1 2 1 1 *
For US serial numbers: enter nur For PCT: enter "51+single digit o	-	s. Ex: 10123456 x. for PCT/US05/12345, enter 51512345
Deciding Official:	Thornton-McLaug	hlin, Kenya
Count (1) - Palm Credit	10/911,211 NCE WORK NEEDED	
Decision: GRANT .	Select Check Box for Yf	
Decision Type: 535 - 37 CFR	1.78(a)(3) & (a)(6) UNINTENTIO	NAL DELAY * 5 3 5 *
Notes:		
Count (2)	FINANCE WORK NEEDED	
Decision: n/a	Select Check Box for Yi	
Decision Type: NONE		F A L S E
Notes:		
Count (3)	FINANCE WORK NEEDED	
Decision: n/a	Select Check Box for YE	ES
Decision Type: NONE		
Notes:		
Initials of Approving C	Official (if required)	If more than 3 decisions, attach 2nd count sheet & mark this box
Printed on: 2/17/2016		Office of Petitions Internal Document - Ver. 5.0



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address COMMISSIONER FOR PATENTS Adoxandria, Viginia 22313-1450 www.uspto.gov

FILING or GRP ART FIL FEE REC'D 371(c) DATE UNIT ATTY.DOCKET.NO OT CLAIM ND CLAIMS 2644 10/911,211 10/13/2004 946 IPHLNZ00501

40518 LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303

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Date Mailed: 02/17/2016

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Applicant(s)

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page 1 of 3

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/911,211** 

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

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#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

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page 3 of 3



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Sanjay K. Rao	IPHLNZ00501	7409
	7590 02/18/201 ADE HAN LLP	6	EXAM	IINER
	OAD, SUITE 120		PATEI	., AJIT
			ART UNIT	PAPER NUMBER
			2644	
			MAIL DATE	DELIVERY MODE
			02/18/2016	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.usblo.gov

In re Application of

Rao, et al.

Application No. 10/911,211

Filed: October 13, 2004

Attorney Docket No. IPHLNZ00501

ON PETITION

This is a decision on the petition under 37 CFR 1.78(e) filed July 27, 2015, to accept an unintentionally delayed claim for the benefit of priority to the prior-filed non-provisional applications set forth in the Application Data Sheet filed concurrently with the instant petition.

The petition under 37 CFR 1.78(e) is **GRANTED**.

A petition for acceptance of a claim for late priority under 37 CFR 1.78(d) is only applicable to those applications filed on, or after, November 29, 2000. Further, the petition is appropriate only after the

expiration of the period specified in 37 CFR 1.78(d). In addition, the petition under 37 CFR 1.78(e) must be accompanied by:

- 1. the reference required by 35 U.S.C § 120 and paragraph (d)(2) to the prior-filed application, unless previously submitted;
- 2. the surcharge set forth in § 1.17(m), and
- 3. a statement that the entire delay between the date the claim was due under 37 CFR 1.78(d)(3) and the date the benefit claim was filed was unintentional. The Director may require additional information where there is a question whether the delay was unintentional.

The instant nonprovisional application was filed after November 29, 2000, and the claim herein for the benefit of priority to the prior-filed applications, is submitted after the expiration of the period specified in 37 CFR 1.78(d). Also, the reference to the prior filed applications was submitted during the pendency of the instant nonprovisional application for which the claim for benefit of priority is sought. See 35 U.S.C. § 120. Accordingly, having found that the instant petition for acceptance of an unintentionally delayed claim for benefit of priority under 35 U.S.C. § 120 to the prior-filed non-provisional applications satisfies the conditions of 37 CFR 1.78(e), the petition is granted.

The granting of the petition to accept the delayed benefit claim to the prior-filed applications under 37 CFR 1.78(e) should not be construed as meaning the instant application is entitled to the benefit of the prior-filed application. In order for the instant application to be entitled to the benefit of the prior-filed applications, all other requirements under 35 U.S.C. § 120 and 37 CFR 1.78 must be met. Similarly, the fact that the corrected Filing Receipt was mailed that includes the prior-filed applications should not be construed as meaning that applicant is entitled to the claim for benefit of priority to the prior-filed applications noted thereon. Accordingly, the

Application/Control Number: 10/911,211 Page 2

Art Unit: OPET

examiner will, in due course, consider this benefit claim and determine whether the instant application is entitled to the benefit of the earlier filing date.

A corrected Filing Receipt, which includes the priority claim to the prior-filed nonprovisional application is enclosed.

Any inquiries concerning this decision may be directed to Kenya A. McLaughlin, Attorney Advisor, at (571) 272-3222.

This matter is being referred to Technology Center GAU2644 for consideration by the examiner of whether the instant application is entitled to the benefit of the earlier filing date.

/Kenya A. McLaughlin/

Kenya A. McLaughlin Attorney Advisor Office of Petitions

Enclosure: Corrected Filing Receipt

Doc Code: PET.AUTO Document Description: Petition au	tomatically granted by EFS-Web	PTO/SB/83 U.S. Patent and Trademark Office Department of Commerce
Electronic Petition Request	REQUEST FOR WITHDRAWAL AS ATTORM CORRESPONDENCE ADDRESS	NEY OR AGENT AND CHANGE OF
Application Number	10911211	
Filing Date	13-Oct-2004	
First Named Inventor	Sanjay Rao	
Art Unit	2644	
Examiner Name	AJIT PATEL	
Attorney Docket Number	IPHLNZ00501	
Title	Multifunction Mobile Devices and Appliar	nce Control
	ey or agent for the above identified pater sociated with Customer Number:	nt application and 40518
The reason(s) for this request are th	ose described in 37 CFR:	
11.116(a)(3)		
Certifications		
I/We have given reasonable no intend to withdraw from emplo	otice to the client, prior to the expiration of the pyment	e response period, that the practitioner(s)
I/We have delivered to the clie to which the client is entitled	ent or a duly authorized representative of the o	client all papers and property (including funds)
	fany responses that may be due and the time	frame within which the client must respond
The address of the first named in	and direct all future correspondence to: nventor or assignee that has properly made its ore September 16, 2012) or the applicant (for d with Customer Number:	
l am authorized to sign on behalf of r	nyself and all withdrawing practitioners.	
Signature	/Johney U Han/	
Name	Johney U Han	
Registration Number	45565	



#### UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.uspto.gov

Decision Date: February 18, 2016

In re Application of : DECISION ON REQUEST TO WITHDRAW AS

Sanjay Rao ATTORNEY/AGENTOF RECORD

Application No: 10911211
Filed: 13-Oct-2004

Attorney Docket No: IPHLNZ00501

This is an electronic decision on the Request to Withdraw as attorney or agent of record under 37 CFR§ 1.36(b), filed February 18, 2016

#### The request is **APPROVED**

The request was signed by Johney U Han (registration no. 45565 ) on behalf of all attorneys/agents associated with Customer Number 40518 have been withdrawn.

Since there are no remaining attorneys of record, all future communications from the Office will be directed to the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71 (for applications filed before September 16, 2012) or the applicant (for applications filed on or after September 16, 2012), with Customer number

105481

As a reminder, requester is required to inform the first named inventor or assignee that has properly made itself of record pursuant to 37 CFR 3.71 (for applications filed before September 16, 2012) or the applicant (for applications filed on or after September 16, 2012) of the electronically processed petition.

Telephone inquiries concerning this decision should be directed to the Patent Electronic Business Center (EBC) at 866-217-9197.

Office of Petitions

Electronic Acknowledgement Receipt		
EFS ID:	24956177	
Application Number:	10911211	
International Application Number:		
Confirmation Number:	7409	
Title of Invention:	Multifunction Mobile Devices and Appliance Control	
First Named Inventor/Applicant Name:	Sanjay K. Rao	
Customer Number:	40518	
Filer:	Johney U. Han/Quyen Nguyen	
Filer Authorized By:	Johney U. Han	
Attorney Docket Number:	IPHLNZ00501	
Receipt Date:	18-FEB-2016	
Filing Date:	13-OCT-2004	
Time Stamp:	17:39:19	
Application Type:	Utility under 35 USC 111(a)	

# Payment information:

Submitted with Payment		no				
File Listing	g:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Petition automatically granted by EFS	petition-request.pdf	31475	no	no	1
	, , , , , , , , , , , , , , , , , , , ,		26a7c98281215e1c7be6c92e7588b219b1d bbe9c			
Warnings:	Warnings:					
Information:	Information:					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS PALEXANDRA Virginia 22313-1450 www.usplo.gov

APPLICATION NUMBER FILING OR 371(C) DATE FIRST NAMED APPLICANT ATTY. DOCKET NO./TITLE Sanjay K. Rao 10/911,211 10/13/2004 IPHLNZ00501

40518 LEVINE BAGADE HAN LLP 2400 GENG ROAD, SUITE 120 PALO ALTO, CA 94303

**CONFIRMATION NO. 7409 POWER OF ATTORNEY NOTICE** 

\*000000000745317\*

Date Mailed: 02/19/2016

#### NOTICE REGARDING CHANGE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 02/18/2016.

• The withdrawal as attorney in this application has been accepted. Future correspondence will be mailed to the new address of record. 37 CFR 1.33.

> Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/eefswuser/	



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Sanjay K. Rao	IPHLNZ00501	7409
105481 Rekha Rao	7590 04/20/201	6	EXAM	IINER
3087 Alexis Dr Palo Alto, CA			PATEI	., AJIT
			ART UNIT	PAPER NUMBER
			2644	
			MAIL DATE	DELIVERY MODE
			04/20/2016	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Application No. 10/911,211  Applicant(s) RAO ET AL.						
Office Action Summary	Examiner AJIT PATEL	Art Unit 2644	AIA (First Inventor to File) Status No			
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orresponden	ce address			
A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.  - Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).  Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).						
Status						
<ul> <li>1)</li></ul>						
2a) This action is <b>FINAL</b> . 2b) ☑ This	action is non-final.					
3) An election was made by the applicant in respo	onse to a restriction requirement	set forth duri	ng the interview on			
; the restriction requirement and election						
4) Since this application is in condition for allowar	·					
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	33 O.G. 213.				
Disposition of Claims*						
5) Claim(s) <u>36,39-48,50-58,60-66 and 68-74</u> is/are						
5a) Of the above claim(s) is/are withdrav 6) Claim(s) is/are allowed.	vii irom consideration.					
7) Claim(s)is/are allowed. 7) Claim(s) <u>36,39-48,50-58,60-66 and 68-74</u> is/are	e rejected					
8) Claim(s) is/are objected to.	o rojootoa.					
9) Claim(s) are subject to restriction and/or	r election requirement.					
* If any claims have been determined <u>allowable</u> , you may be eli		secution High	nway program at a			
participating intellectual property office for the corresponding ap		_				
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to PPHfeedback@uspto.c	<u>10V</u> .				
Application Papers						
10) The specification is objected to by the Examine	r.					
11) ☐ The drawing(s) filed on is/are: a) ☐ acce	epted or b) objected to by the f	Examiner.				
Applicant may not request that any objection to the o	drawing(s) be held in abeyance. See	∋ 37 CFR 1.85	i(a).			
Replacement drawing sheet(s) including the correcti	on is required if the drawing(s) is ob	jected to. See	37 CFR 1.121(d).			
Priority under 35 U.S.C. § 119						
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	)-(d) or (f).				
Certified copies:						
a) ☐ All b) ☐ Some** c) ☐ None of the:						
1. Certified copies of the priority document						
2. Certified copies of the priority document						
3. Copies of the certified copies of the priority documents have been received in this National Stage						
application from the International Bureau ** See the attached detailed Office action for a list of the certifie						
See the attached detailed Office action for a list of the certifie	a copies not received.					
Attachment(s)						
1) Notice of References Cited (PTO-892)	3) Interview Summary					
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/S Paper No(s)/Mail Date	Paper No(s)/Mail Da SB/08b) 4) Other:	ate				

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

Application/Control Number: 10/911,211 Page 2

Art Unit: 2644

1. The present application is being examined under the pre-AIA first to invent provisions.

- 2. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.
- 3. The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 36, 41, are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) in view of Bell (7,894,474).

With regard to Claim 41 and 36, "A wireless electronic device <u>or mobile device</u>, the device comprising: a processor; a memory; a unit for wireless communication;" Ondeck discloses a wireless communication device with a processor (paragraph 20) "wherein the device connects to a server, wherein the device downloads a software application or a functional instruction set from the server," Ondeck discloses downloading a software application content from an internet server functions as a repository of software for the mobile device and as an exchange for software for mobile devices (paragraphs 20 and 32)

Art Unit: 2644

"wherein the software or the functional instruction set is configured to operate and control components of device hardware, wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device or combinations thereof, and wherein the software or the functional instruction set is associated with a user and the device stored in a profile."

Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20) wherein said software is associated with the user and device (paragraphs 19 and 25)

"wherein the wireless device is configured to download a plurality of software from the server" Ondeck discloses downloading a plurality of software application content from an internet server (paragraphs 20 and 32)

"wherein the server is configured to store software or functional instruction sets for a plurality of wireless devices and for a plurality of applications for the plurality of wireless

"wherein the device is capable of voice and data communication" and "wherein the mobile device identifies a set of software to be downloaded from the server"; Wherein the mobile device is configured to transmit and receive at a plurality of frequencies; Wherein the device is enable for wireless voice communication using a local area network; Wherein the device is enable for voice communication using cellular

devices, and" Ondeck discloses the server storing a plurality of functional software sets

for download for applications of a target appliance (paragraph 32)

Ondeck does not explicitly disclose this.

In an analogous art, Bell discloses a user programmable device that downloads

Art Unit: 2644

application specific software via a user input and selection (Figure 10 and Column 6 lines 37-65). Wherein the mobile device may be incorporate cellular telephone functions (Figures 1 and 2 and Column 4 lines 25-35); Wherein the mobile device is configured to transmit and receive at a plurality of frequencies (line 15-21, col. 5 of Bell); Wherein the device is enable for wireless voice communication using a local area network (9 of fig. 1 of Bell) and Wherein the device is enable for voice communication using cellular (line 4-7 of col. 5 of Bell)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of a mobile phone selecting an application for download, using a plurality of frequencies, a local area network as taught by Bell. The motivation for such a combination is use of a known technique in an analogous art to allow the user to select the appropriate device via the phone and thereby increase compatibility to existing devices.

Claim 39,40, 42,44-46, 53-57,63-67, 72,74 are rejected under pre-AIA 35 U.S.C.
 103(a) as being unpatentable over Ondeck (US 2002/0046083) in view of Bell (7,894,474) and Stenman et al (U.S.Pat. # 6,223,029).

With regard to Claims 39,74, "A system comprising: a <u>remote</u> server <u>the server</u> configured to store wireless devices software for a plurality of different functions or applications for use by a plurality of wireless devices, wherein the <u>remote</u> server stores in memory software or functional instructions sets for a wireless device, wherein the <u>remote</u> server sends to the wireless device software or functional instruction sets,"

Ondeck discloses a wireless communication device with a processor, wherein the

Art Unit: 2644

server sends the wireless device software for functional instruction sets (paragraphs 19-21)

"wherein the remote server stores profiles or other user specific information," Ondeck disclose a server storing profile information for specific users and devices (paragraphs 25 and 19)

"and wherein the wireless device includes one or more functions of a cellular telephone, PDA, handheld computer, or multi-function communication device or combinations thereof". Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20) "wherein the wireless device is configured to download the software from the remote server using an Internet data network, wherein the software is configured for use by the wireless device" Ondeck discloses downloading a plurality of software application content from an internet server (paragraphs 20 and 32)

"wherein the software controls a plurality of hardware components on the wireless device and Wherein the software controls a plurality of hardware components such as the display, processor ram etc. (paragraphs 20 and 32)"wherein the server is enabled to provide a plurality of software and instruction sets for control of the wireless device for receipt by the wireless device".

Ondeck discloses the server storing a plurality of functional software sets for download for applications of a target appliance to the wireless devices wherein the device is enabled with software to control and command intelligent appliance using a server wherein in the software includes macros for control of an appliance as claim in

Art Unit: 2644

39,74.(paragraph 32).

"wherein the one or more wireless devices are configured for voice and data communication," In an analogous art, Bell discloses a user programmable device that downloads application specific software via a user input and selection (Figure 10 and Column 6 lines 37-65). Wherein the mobile device may be incorporate cellular telephone functions and thus voice communication (Figures 1 and 2 and Column 4 lines 25-35)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of a mobile phone selecting an application for download as taught by Bell. The motivation for such a combination is use of a known technique in an analogous art to allow the user to select the appropriate device via the phone and thereby increase compatibility to existing devices.

Ondeck and Bell does disclose the wireless device is enabled to control one or more appliances using control signal but not using a voice command. Stenman et al disclose the wireless mobile device which can be used to control one or more appliances using voice command (line 48-55, col. 7). Therefore, it would have been obvious to one skilled in the art to use the teaching of Stenman et al in the system of Ondeck and Bell for providing the mobile station with dual functionalities such that it is able to provide normal telephony functions and act as a remote control unit for a variety of peripheral devices accessible through some type of local area communication system or related communication system.

Art Unit: 2644

With regard to Claim 42, wherein the device downloads an application to function as a remote control for one or more devices including a television". Bell discloses that the device is configured to directly communicate with the TV as a remote (Figure 4 and Column 5 lines 60- column 6 lines 15)

With regard to Claims 53 and 63, wherein the server is collocated with a wireless carrier. Bell discloses the server being collocated in the wireless network carrier (Figure 1)

With regard to Claims 54 and 64, wherein the server is collocated with a wireless hardware vendor. Onedeck discloses that the seller of the device may customize upgrade the device's software via a server (paragraphs 19-21)

With regard to Claims 55 and 65, wherein the server is collocated with an office network. Onedeck discloses that the server may be collocated in an office network (paragraphs 19-21)

With regard to Claims 57 and 72, wherein responsive to a request from the one or more wireless device to a website or URL associated with a website server or a network environment, the one or more wireless device receives an indicator of a software application to be downloaded from the remote server" Bell discloses downloading application software via a URL or website link associated with a remote server for programming a device (Column 8 lines 60 through column 9 lines 10 see also Column 4 lines 25-35)

With regard to Claim 40, wherein the profiles contain information for both a user and the wireless device." Ondeck discloses sending profile information including

Art Unit: 2644

information identifying the user and the equipment (paragraphs 19,

25)

With regards to Claims 48 and 70, wherein the download of the software is based on a hierarchy of network paths. Bell discloses downloading of said software based on a hierarchy of network paths (Column 4 lines 25-35 and Figure 3).

6. Claims 43-46,73 are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Onddeck and Bell in view of Minnett (GB 2294563) With regard to Claim 43, "A system comprising a wireless device or mobile device including functions of one or more of a cellular telephone. PDA, handheld computer, or multifunction communication device or combinations thereof, the wireless device configured to receive a non-transitory computer readable medium from a server located at a remote location separate from the wireless device, the server confirmed to store a plurality of different application software or functional instructions for a plurality of wireless devices, one of the software application a non-transitory computer readable storage medium for a wireless device comprising:" Ondeck discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20) wherein said software is associated with the user and device (paragraphs 19 and 25) and a server separate from the device (Figure 1 item 208 see also item 206) wherein that server stores customization of software (Figure 1, paragraph 32)

" an application software to be run by a processor the wireless device" Ondeck

Art Unit: 2644

discloses the software application is configured to control device hardware such as display, memory and processor to customize a PDA (paragraphs 20)

Wherein the wireless device obtains from the server a plurality of software for the control of a plurality of home appliances (para. 32 of Ondeck)

"Wherein the wireless device is in further communication with a television configured to receive wireless commands over a network wherein the wireless device is configured to send a request the television, wherein the request comprises a control function for the television"; wherein the device operates using a plurality of frequencies. Ondeck does not explicitly disclose this.

Bell discloses that the device is configured to directly communicate with the TV as a remote (Figure 4 and Column 5 lines 60- column 6 lines 15) and wherein the device operates using a plurality of frequencies (line 15-21, col. 5 of Bell)

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of a mobile phone selecting a channel as a remote and wherein the device operates using a plurality of frequencies as taught by Bell. The motivation for such a combination is use of a known technique in an analogous art to allow the user to change the channel of the television via a programmable remote and thus increasing compatibility to existing systems.

"Wherein the wireless device is configured to send said commands using a local home

IP network, and wherein the communication between the television made the wireless device is over a IP based network as part of a home network". In an analogous art,

Minett discloses sending commands from a remote to a TV via a wireless LAN

Art Unit: 2644

connection of a home network (Page 1 lines 17-22) wherein said remote may be a PDA with phone capabilities (page 5 lines 10-20).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of using WLAN connections. The motivation for such a combination is use of a known technique in an analogous art to substitute known protocols for wireless communications.

Ondeck, Bell and Minett do not specifically disclose voice actuated command use in a wireless to control appliances. Stenman et al disclose the wireless mobile device which can be used to control one or more appliances using voice actuated command (line 48-55, col. 7). Therefore, it would have been obvious to one skilled in the art to use the teaching of Stenman et al in the system of Ondeck ,Bell and Minett for providing the mobile station with dual functionalities such that it is able to provide normal telephony functions and act as a remote control unit for a variety of peripheral devices accessible through some type of local area communication system or related communication system.

With regard to Claims 44, wherein the wireless device is configured to communicate directly to the television. Bell discloses that the device is configured to directly communicate with the TV as a remote (Figure 4 and Column 5 lines 60- column 6 lines 15)

With regard to Claims 45 and 46, wherein the wireless device is configured to communicate a set of commands over a network to a network switch box over a wireless local area network, and wherein the network switch box is configured to

Art Unit: 2644

transmit said commands to a television. Bell disclose that said commands can be sent to a networked box that is communication with the TV or home entertainment center (Figure 4 and Column 5 lines 60- column 6 lines 15)

7. Claim 51 and 61 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of King (6,308,083)

With regard to Claims 51 and 61, wherein the mobile device is configured to download an application for controlling a garage door opener. Ondeck nor Bell do not explicitly disclose this.

However, programmable garage door openers are well known in the art and shown by King in figure 1 and Column 2 lines 60-65.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the multi-function programmable devices of Bell and Ondeck with the teaching of programming a multifunction device to be a garage door opener as taught by King. The motivation for such a combination is use of known technique in an analogous art to increase capability of a multifunction device.

8. Claim 47,52, 62 and 69 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of Mattaway (US 6,131,121)

With regard to Claims 47,52, 62 and 69, wherein the device is configured to function as an internet protocol IP phone". Bell discloses function as a phone using

Art Unit: 2644

WAP and other protocols but does not explicitly disclose an IP phone.

However, using the phone with an alternative protocol such as internet protocol is well known in the art and shown by Mattaway (Figures 3 and 5 and appropriate text).

It would have been obvious to one of ordinary skill in the art at the time the invention

taught by Mattaway. The motivation for such a combination is use of known alternative

was made to combine the system above with the teaching of using an IP protocol as

protocol

9. Claim 49, 59 and 67 are rejected under 35 U.S.C. 103(a) as being unpatentable

over Ondeck (US 2002/0046083) and Bell in further view of Engbersen (US 6,341,304)

With regards to Claims 49, 59 and 67, wherein the device is configured to queue

various software applications for downloading at a later time in response to the type of

network bandwidth. Ondeck and bell do not explicitly disclose this.

However, queuing downloads for later when there is limited bandwidth is well known in

the art and described in Engbersen (Figure 3 and appropriate text)

It would have been obvious to one of ordinary skill in the art at the time the invention

was made to combine the system above with of saving downloads for later if bandwidth

is limited or not available The motivation for such a combination is use of known

technique in an analogous art to improve efficient use of bandwidth.

10. Claim 58, 68 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable

over Ondeck (US 2002/0046083) and Bell in further view of Lee (US 8,670,405)

Art Unit: 2644

With regard to Claims 58, 68 and 71, wherein the server delivers content not when device is in a carrier domain. Neither Bell nor Ondeck explicitly disclose this.

Lee discloses coupling multiple networks to a mobile PC and waiting or holding a download until a faster/cheaper connection takes place (Figure 12 and Column 3 lines 50 through Column 4 line 16).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of selecting a preferred network for QoS specific tasks. The motivation for such a combination is use of a known technique in an analogous art to decrease cost/time to the user for downloads.

11. Claim 50, 60 and 71 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of Kretschman (US 6,67464)

With regard to Claims 50 and 60,71, wherein the device is configured with GPS for location sensing and uses location to determine when to download a software application data and from which server to download the application. Ondeck and Bell do not explicitly disclose this.

Kretschmann discloses a mobile device that downloads an application from a specific server based on the location of the device using GPS signals (abstract, Column 3 line 8-10 Column 7 fine12-30 see also Claim 14 item III).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the system above with the teaching of downloading application specific tasks based on location of the unit as disclosed by Kretschmann. The

Art Unit: 2644

motivation for such a combination is use of a known technique in an analogous art to reuse the same device for location specific tasks.

12. Claim 56 and 66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Ondeck (US 2002/0046083) and Bell in further view of Wood (US 6,453,127)

With regard to Claims 56 and 66, wherein the mobile device serves as a remote controller for controlling office appliances or copier.

However, programmable garage door openers are well known in the art and shown by Wood (abstract and Figure 3).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the multi-function programmable devices of Bell and Ondeck with the teaching of programming a multifunction device to be appliances or a copier as taught by Wood. The motivation for such a combination is use of known technique in an analogous art to increase capability of a multifunction device.

10. Applicant's arguments filed 11/6/14 have been fully considered but they are not persuasive. The applicant argues that the references of Ondeck, Bell, Engbersen used in 103 rejection cannot be applied since the instant application claimed priority date June 4, 1999. Examiner respectfully disagrees with the applicant in that the instant application has priority date of June 9, 2000 (which a continuation -in-part- of copending application filed June 4, 1999). Therefore, applicant is requested to provide the support of all claim limitations in application which a continuation -in-part- of co-pending application filed June 4, 1999 so that examiner can consider June 4, 1999 instead of

Art Unit: 2644

June 9, 2000. Applicant further argues that Ondeck fails to teach a system for downloading of software to the device where the software control the device. Examiner respectfully disagrees with the applicant. Ondeck clearly discloses in para. 0032 that the wireless device is used to download the software program to control the target device. The applicant further argues that Ondeck fails to disclose the wireless device is enabled to communicate on a plurality of frequencies. Bell clearly disclose in line 15-21, col. 5 wherein the mobile device is configured to transmit and receive at a plurality of frequencies. Applicant further argues that Minnett fail to disclose sending command over an IP based network. Examiner respectfully disagrees with the applicant in that Minnett does disclose sending commands from a remote to a TV via a wireless LAN connection of a home network (Page 1 lines 17-22) wherein said remote may be a PDA with phone capabilities (page 5 lines 10-20). Applicant argues that the Engbersen fails to disclose hierarchy of different networks. However, that limitation has been canceled in the amendment.

11. Any inquiry concerning this communication or earlier communications from the examiner should be directed to AJIT PATEL whose telephone number is (571)272-3140. The examiner can normally be reached on MON-FRI.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Patrick Edouard can be reached on 571-272-7603. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Application/Control Number: 10/911,211 Page 16

Art Unit: 2644

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/AJIT PATEL/ Primary Examiner, Art Unit 2644

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
10911211	RAO ET AL.
Examiner	Art Unit
DAVID WANG	2617

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED				
Symbol Date Examiner				

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner
455	461	3/14/2008	DW
709	221	3/14/2008	DW
710	104	3/14/2008	DW

SEARCH NOTES									
Search Notes	Date	Examiner							
please see attached	3/14/2008	DW							
consulted Duc Nguyen SPE regarding the use of the Logitech Harmony remote controller	3/11/2008	DW							
google search for "(buy OR purchase) applications from mobile phone"	12/3/2010	DW							
google search for "finding network with GPS location" and "(detecting OR sensing) (home OR office OR work) environment GPS"	12/9/2010	DW							
consulted Huy Phan	12/9/2010	DW							
Search East see attached.									
Updated search	4/17/2016	AP							

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
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U.S. Patent and Trademark Office Part of Paper No.: 20160415

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

✓	Rejected	-	Cancelled		N Non-Elected		Α	Appeal
=	Allowed	÷	Restricted		I	Interference	0	Objected

Claims	renumbered	in the same	order as pr	esented by	applicant		☐ CPA		T.D.	R.1.47
CL	MIA	DATE								
Final	Original	03/14/2008	12/09/2010	09/21/2011	06/12/2013	05/03/2014	04/17/2016			
	1	-	-	-	-	-				
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	36		<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>	<b>√</b>			

U.S. Patent and Trademark Office

Part of Paper No.: 20160415

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

<b>✓</b>	Rejected	-	Cancelled	N	Non-Elected	Α	Appeal
=	Allowed	÷	Restricted	I	Interference	0	Objected

☐ Claims	renumbered	in the same	order as pr	esented by	applicant		□ СРА	·	T.D.		R.1.47
CL	AIM	DATE									
Final	Original	03/14/2008	12/09/2010	09/21/2011	06/12/2013	05/03/2014	04/17/2016	3			T
	37	✓	✓	✓	-	-					
	38		✓	✓	-	-					
	39				✓	✓	✓				
	40				✓	✓	✓				
	41				✓	✓	✓				
	42				✓	✓	✓				
	43				✓	<b>√</b>	✓				
	44					<b>√</b>	✓				
	45					<b>√</b>	✓				
	46					<b>√</b>	✓				1
	47					<b>√</b>	✓				
	48					<b>√</b>	✓				
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	50					<b>√</b>	✓				1
	51					✓	✓				
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	54					<b>√</b>	✓				1
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	56					<b>√</b>	✓				
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	60					<b>√</b>	✓				1
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	62					<b>√</b>	✓				
	63					✓	✓				1
	64					<b>√</b>	✓				1
	65					✓	✓				1
	66					✓	✓				1
	67					✓					1
	68					✓	✓				
	69					<b>√</b>	✓				†
	70					✓	✓				1
	71					✓	✓				†
	72					✓	✓				1

U.S. Patent and Trademark Office

Part of Paper No.: 20160415

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Index of Claims	10911211	RAO ET AL.
	Examiner	Art Unit
	DAVID WANG	2617

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□ c	☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47													
	CLA	М		DATE										
Fir	nal	Original	03/14/2008	12/09/2010	09/21/2011	06/12/	2013	05/03/2014	04/17/2016					

74

U.S. Patent and Trademark Office Part of Paper No.: 20160415

Attorney Docket No.: IPHLNZ00501

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: 10/20/2016 Signature: /Sanjay K. Rao/ (Sanjay K. Rao)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004 Inventor(s): Raman K. Rao et al.

Title: Multifunction Mobile Device and Appliance Control

Examiner: Ajit Patel
Group Art Unit: 2644

#### RESPONSE TO NON FINAL OFFICE ACTION

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This is in response to the non final Office Action dated April 20, 2016 for which a response was due on July, 2016. Filed herewith is a Petition and fee for a 3 month extension of time, thereby extending the deadline for response to October 20, 2016. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the Remarks presented herein are respectfully requested.

**Amendments to the Claims** are reflected in the listing of claims which begins on page 2 of this paper.

**Remarks** begin on page \* of this paper.

#### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### In the claims

1.-35. (Canceled).

36. (Currently Amended): A method for a mobile device using a server, the method comprising:

providing a server a server that software for use by the mobile device;

accepting an upload of software to a server configured for use by a plurality of mobile devices and further configured to provide a plurality of different software functions to mobile devices;

storing data on the server, the data comprising a plurality of functional instruction sets, software, or mobile device configuration software which is configured to operate and control components of mobile device hardware, wherein said server is at a remote location from the one or more mobile devices, and wherein the server is enabled with Internet Protocol connectivity;

configuring the server to be used for by the one or more mobile devices, such that the server functions as a repository of software for the mobile device and as an exchange for software for mobile devices;

configuring the one or more mobile devices to use the server to download the software using a configuration setting;

wherein the mobile device remotely requests software from the server using a wireless network,

wherein the server stores in a storage medium an association of the software with the mobile device and a user profile,

wherein the mobile device downloads software or a functional instruction set from the server using a wireless communication unit;

wherein the mobile device stores the software or the functional instruction set in a storage medium, and

wherein the mobile device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof, and

wherein a processor of the mobile device is configured to execute the software so as to control the hardware of the mobile device; and wherein the mobile device is configured to transmit and receive at a plurality of frequencies[[.]]

wherein the mobile device is dynamically software reconfigurable for the various environments;

wherein the mobile device is enabled to obtain a signal to noise ratio;
wherein the mobile device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values;

wherein the mobile device transmitter and receiver are independently tunable to one or more frequencies for operation in different environments based on the instructions of internal controller electronics and/or that of the server;

wherein the mobile device dynamically changes its frequency for communication; wherein the mobile device uses a power level for an operating environment;

and wherein both power output and channel bandwidth as are dynamically changed in real time.

37.-38. (Canceled).

39. (Currently Amended): A system comprising:

a remote server, the server configured to store wireless device software for a plurality of different functions or applications for use by a plurality of wireless devices,

wherein the remote server stores in memory software or functional instructions sets for a wireless device,

wherein the remote server sends to the wireless device software or functional instruction sets,

wherein the remote server stores profiles of user specific information, wherein the wireless device is enabled for voice and data communication,

wherein the wireless device includes one or more functions of a cellular telephone,
PDA, handheld computer, or multifunction communication device, or combinations thereof,
wherein the wireless device is configured to download the software from the remote
server using an Internet protocol,

wherein the software is configured for use by the wireless device,

wherein the software controls a plurality of hardware components on the wireless device, and wherein the mobile device is configured to transmit and receive at a plurality of frequencies;

wherein the mobile device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values;

wherein the mobile device transmitter and receiver are independently tunable to one or more frequencies for operation in different environments based on the instructions of internal controller electronics and/or that of the server;

wherein the mobile device dynamically changes its frequency for communication; wherein the mobile device uses a power level for an operating environment;

and wherein both power output and channel bandwidth as are dynamically changed in real time.

wherein the server is enabled to provide a plurality of software and instruction sets for control of the wireless device for receipt by the wireless device wherein the device is enabled with software to control and command intelligent appliance using a server.

wherein said software includes macros for control of an appliance; and wherein the wireless device is enabled to control one or more appliances using a voice command.

- 40. (Previously presented): The system of claim 39, wherein the profiles contain information for both a user and the wireless device.
- 41. (Currently Amended): A wireless electronic device or mobile device, the device comprising:

a processor;

a memory;

a unit for wireless communication;

wherein the device is capable of voice and data communication,

wherein the device connects to a server,

wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof,

wherein the software is associated with a user and the device stored in a profile, wherein the server is configured to store software for a plurality of wireless devices and for a plurality of applications for the plurality of wireless devices, and wherein the device is enabled to communicate on a plurality of frequencies; wherein the device is enabled for voice and data communication; and wherein the device is enabled for voice communication using cellular and wherein the device is enabled for wireless voice communication using a local area network[[.]]

wherein the mobile device dynamically software reconfigurable for the various environments; wherein the mobile device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values; wherein the mobile device dynamically changes its frequency for communication; wherein the mobile device uses a power level for an operating environment; and wherein both power output and channel bandwidth as are dynamically changed in real time.

- 42. (Previously Presented): The device of claim 40, wherein the device downloads an application to function as a remote control for one or more devices including a television.
  - 43. (Currently Amended): A system comprising:

a wireless device or mobile device including functions of one or more of a cellular telephone, PDA, handheld computer, or multifunction communication device or combinations thereof, the wireless device configured to receive a non-transitory computer

readable medium from a server located at a remote location separate from the wireless device, the server configured to store a plurality of different application software or functional instructions for a plurality of wireless devices, one of the software application including a non-transitory computer readable storage medium for a wireless device comprising:

an application software to be run by a processor on the wireless device, wherein the wireless device is in further communication with a television configured to receive wireless commands over a network.

wherein the wireless device is configured to send a request to the television, wherein the request comprises a control function for the television,

wherein the wireless device is configured to send said commands using a local home IP network, and

wherein the communication between the television and the wireless device is over a IP based network as part of a home network; and wherein the wireless device obtains from the server a plurality of software for the control of a plurality of home appliances; and wherein commands to the control the one or more appliances are voice actuated based on input to the wireless device; and wherein the device operates using a plurality of frequencies; and wherein the wireless device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values; wherein the wireless device dynamically changes its frequency for communication; wherein the wireless device uses a various power level for an operating environment.

- 44. (Previously presented): The system of claim 43, wherein the wireless device is configured to communicate directly to the television.
- 45. (Previously presented): The system of claim 43, wherein the wireless device is configured to communicate a set of commands to a server, the server configured to communicate said commands to the television.

46. (Previously presented): The system of claim 43, wherein the wireless device is configured to communicate a set of commands over a network to a network switch box over a wireless local area network, and wherein the network switch box is configured to transmit said commands to a television.

- 47. (Previously presented): The system of claims 36, wherein the server provides software for the configuration of the mobile or wireless device as an IP telephone.
- 48. (Previously presented): The system of claims 36, wherein the download of the software is based on a hierarchy of network paths.
- 49. (Previously presented): The system of claims 36, wherein the device is configured to queue various software applications for downloading at a later time in response to the type of network bandwidth.
- 50. (Previously presented): The system of claims 36, wherein the device is configured for location sensing through use of both GPS and the location of network box and reconfigures one or more parameters based on the location.
- 51. (Previously presented): The system of claims 36, wherein the mobile device is configured to download an application for controlling a garage door opener.
- 52. (Previously presented): The system of claims 36, wherein the mobile device is configured to function as an internet protocol IP phone.
- 53. (Previously presented): The system of claims 36, wherein the server is colocated with a wireless carrier.
- 54. (Previously presented): The system of claims 36, further in communication with a network box is for use in a home environment.

55. (Previously presented): The system of claims 36, wherein the server is colocated with an office network.

- 56. (Previously presented): The system of claims 36, wherein the mobile device. serves as a remote controller for controlling intelligent office appliances.
- 57. (Previously presented): The system of claims 39, wherein responsive to a request from the one or more wireless device to a website or URL associated with a website server or a network environment, the one or more wireless device receives an indicator of a software application to be downloaded from the remote server,
- 58. (Previously presented): The system of claims 57, wherein the server delivers content not when device is in a carrier domain,
- 59. (Previously presented): The system of claims 39, wherein the device is configured to queue various software application content for downloading at a later time in response to a set of networks available and a configuration associated with the networks.
- 60. (Previously presented): The system of claims 39, wherein the device determines a more precise location using both GPS location and a network box location.
- 61. (Previously presented): The system of claims 39, wherein the device is configured to download an application for controlling a garage door opener.
- 62. (Previously presented): The system of claims 39, wherein the device is configured to function as an internet protocol IP phone.
- 63. (Previously presented): The system of claims 39, wherein the server is collocated with a wireless carrier.

64. (Previously presented): The system of claims 39, further in communication with a network box for for use in a home.

- 65. (Previously presented): The system of claims 39, wherein the server is colocated with an office network.
- 66. (Previously presented): The system of claims 39, wherein the wireless device uses a command to a control a copier.
- 67. (Previously presented): The system of claims 41, wherein the device is configured to queue various software application content for downloading at a later time in response to a set of networks available and a configuration associated with the networks.
- 68. (Previously presented): The system of claim 41, wherein the server delivers content not when device is in a carrier domain
- 69. (Previously presented): The system of claim 41, wherein the server provides software for the configuration of the mobile or wireless device as an IP (Internet Protocol) telephone.
- 70. (Previously presented): The system of claim 41, wherein the download of the application is based on a hierarchy of network paths.
- 71. (Previously presented): The system of claim 41, wherein the download from the server to the device is in a watchdog state and inactive.
- 72. (Previously presented): The system of claim 41, wherein the download of the software is based on a request to a URL associated with a server.
- 73. (Previously Presented): The system of claim 41, wherein a home server functions to controls a plurality of home intelligent appliances.

74. (Previously Presented): The system of claim 41, wherein the device communicates to a home server commands including starting and stopping an operation at a desired time, and wherein the home server controls one or more home intelligent appliances.

#### REMARKS

Claims 36,39-48,50-58,60-66,and 68-74 were pending in the present application. By virtue of this response, claims 36, 39, 41,43 have been amended. Accordingly, claims 36,39-48,50-58,60-66,and 68-74 are currently under consideration.

Amendment and cancellation of certain claims is not to be construed as a dedication to the public of any of the subject matter of the claims as previously presented. No new matter has been added.

#### Rejections under 35 U.S.C. §103

The *Office* has entered in a plurality of rejections under 35 U.S.C. §103 which are largely summarized below. Applicants have amended the claims merely to advance prosecution.

- **A.** Claims 36, 41 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474).
- **B.** Claims 39,40,42,44-46,53-57,63,-67, 72, and 74 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) and Stenman (6,223,029).
- C. Claims 43-46,73 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Minnett (GB 2294563).
- **D.** Claims 51 and 61 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of King (6,308,083).
- E. Claims 47,52,62, and 69 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Mattaway (US 6,131,121).

F. Claims 49,69, and 67 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Engbersen (US 6,341,304).

- **G.** Claims 58,68,and 71 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Lee (US 8,670,405).
- **H.** Claims 50, 60, and 71 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Kretschman (6,674,464).
- I. Claims 56 and 66 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Wood (6,453,127).

Applicants have made various amendments to the claims so as to allow the wireless device to provide various enhanced transmit and receive capabilities. None of the cited art anticipates the existing and nor as amended claims.

#### **CONCLUSION**

In view of the above, each of the presently pending claims in this application is believed to be in condition for allowance. Accordingly, the Examiner is respectfully requested to withdraw the outstanding rejections and pass this application to issue. If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

Respectfully submitted,
/Sanjay K. Rao/
Joint-Inventor
/Rekha K. Rao/ Legal Representative for Joint-Inventor, Raman K. Rao
/Rekha K. Rao/ Assignee, c/o IP Holdings, Inc.

Under the Paperwork Reduction Act of 1995, no persons are require		ent and Trademark Office;	PTO/SB/22 (03-13) se through 3/31/2013. OMB 0651-0031 U.S. DEPARTMENT OF COMMERCE t displays a valid OMB control number.				
	·		Number (Optional)				
PETITION FOR EXTENSION OF TIME UN	DER 37 CFR	1.136(a) <sub>Z005</sub>	.01				
Application Number 10/911,211	Filed Octo	ober 13, 200	4				
For Multifunction Mobile Device and Appliance Control							
Art Unit 2644	Examiner Aj	it Patel					
This is a request under the provisions of 37 CFR 1.136(a) to exten	nd the period for filin	g a reply in the above-io	dentified application.				
The requested extension and fee are as follows (check time perio	d desired and enter	the appropriate fee belo	ow):				
<u>Fee</u>	Small Entity Fee	Micro Entity Fee					
One month (37 CFR 1.17(a)(1)) \$200	\$100	\$50	\$				
Two months (37 CFR 1.17(a)(2)) \$600	\$300	\$150	\$				
Three months (37 CFR 1.17(a)(3)) \$1,400	\$700	\$350	\$ <u>700</u>				
Four months (37 CFR 1.17(a)(4)) \$2,200	\$1,100	\$550	\$				
Five months (37 CFR 1.17(a)(5)) \$3,000	\$1,500	\$750	\$				
Applicant asserts small entity status. See 37 CFR 1.27.							
Applicant certifies micro entity status. See 37 CFR 1.29. Form PTO/SB/15A or B or equivalent must either be enclosed or	have been submitted	previously.					
A check in the amount of the fee is enclosed.							
Payment by credit card. Form PTO-2038 is attached.							
The Director has already been authorized to charge fees	in this application to	a Deposit Account.					
The Director is hereby authorized to charge any fees wh	,	or credit any overpaym	nent, to				
Deposit Account Number	·						
Payment made via EFS-Web.  WARNING: Information on this form may become public. Crecredit card information and authorization on PTO-2038.	edit card informatio	n should not be includ	ded on this form. Provide				
I am the							
applicant/inventor.							
assignee of record of the entire interest. See 3		R 3.73(b) statement is e	nclosed (Form PTO/SB/96).				
attorney or agent of record. Registration numb	er	·					
attorney or agent acting under 37 CFR 1.34. R	Registration number		·				
/Sanjay K. Rao/	Octobe	r 20, 2016					
Signature		Date	)				
Sanjay Rao  Typed or printed name	_	Telephone I	Number				
NOTE: This form must be signed in accordance with 37 CFR 1.3 multiple forms if more than one signature is required, see below*.	3. See 37 CFR 1.4 f	·					

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### **Privacy Act Statement**

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The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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Approved for use through 3/31/2013. OMB 0651-0031
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PETITION FOR EXTENSION (	·	·	Docke	t Number (Optional)			
Application Number 10/911,211		Filed Octo	ber 13, 200	)4			
For Multifunction Mobile [	Device a	and Appliance	e Control				
Art Unit 2644		Examiner <b>Aji</b>	t Patel				
This is a request under the provisions of 37 CF	R 1.136(a) to	extend the period for filing	g a reply in the above-i	dentified application.			
The requested extension and fee are as follow	s (check time p	period desired and enter t	he appropriate fee belo	ow):			
	<u>Fee</u>	Small Entity Fee	Micro Entity Fee				
One month (37 CFR 1.17(a)(1))	\$200	\$100	\$50	\$			
Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	\$			
Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	<sub>\$</sub> _700			
Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	\$			
Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$750	\$			
Applicant asserts small entity status.	See 37 CFR 1.	27.					
Applicant certifies micro entity status. Form PTO/SB/15A or B or equivalent must A check in the amount of the fee is er  Payment by credit card. Form PTO-20	either be enclos	ed or have been submitted p	reviously.				
The Director has already been author The Director is hereby authorized to or Deposit Account Number Payment made via EFS-Web.	charge any fee	s which may be required,	•	nent, to			
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.  I am the  u applicant/inventor.							
			ι ο.το(b) statement is e	enclosed (Form PTO/SB/96).			
attorney or agent of record.	-		·				
attorney or agent acting un	der 37 CFR 1.3	_		<del></del> ,			
/Rekha K. Rao/	20, 2016						
Signature Rekha Rao, c/o Legal Representative	- Raman K	Rao	Date	9			
Typed or printed name	z naman it.		Telephone	Number			
NOTE: This form must be signed in accordance multiple forms if more than one signature is rec			-				
	are submitted.						

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

PTO/SB/22 (03-13)
Approved for use through 3/31/2013. OMB 0651-0031
U.S. Patent and Trademark place if displayment of COMMERCE

Under the Paperwork Reduction Act of 1995,			Docke	t Number (Optional)
PETITION FOR EXTENSION	1.136(a) Z <sub>005</sub>	5.01		
Application Number 10/911,211	Filed Octo	ober 13, 200	 )4	
For Multifunction Mobile	Dovico	<u> </u>		
	Device	• •		
Art Unit 2644		Examiner <b>A</b> j	it Patel	
This is a request under the provisions of 37 C	FR 1.136(a) to	extend the period for filin	g a reply in the above-i	dentified application.
The requested extension and fee are as follow	ws (check time	period desired and enter	the appropriate fee belo	ow):
	<u>Fee</u>	Small Entity Fee	Micro Entity Fee	
One month (37 CFR 1.17(a)(1))	\$200	\$100	\$50	\$
Two months (37 CFR 1.17(a)(2))	\$600	\$300	\$150	\$
Three months (37 CFR 1.17(a)(3))	\$1,400	\$700	\$350	\$ <u>700</u>
Four months (37 CFR 1.17(a)(4))	\$2,200	\$1,100	\$550	\$
Five months (37 CFR 1.17(a)(5))	\$3,000	\$1,500	\$750	\$
Applicant asserts small entity status.	. See 37 CFR 1	.27.		
Applicant certifies micro entity status	See 37 CFR	1 29		
Form PTO/SB/15A or B or equivalent mus			previously.	
A check in the amount of the fee is a	enclosed.			
Payment by credit card. Form PTO-2	2038 is attache	d.		
The Director has already been author	orized to charge	e fees in this application to	a Deposit Account.	
The Director is hereby authorized to			or credit any overpayn	nent, to
Deposit Account Number		·		
Payment made via EFS-Web.				
WARNING: Information on this form may credit card information and authorization of		c. Credit card informatio	n should not be inclu	ded on this form. Provide
I am the				
applicant/inventor.				
∠ assignee of record of the	entire interest.	See 37 CFR 3.71. 37 CFF	R 3.73(b) statement is e	enclosed (Form PTO/SB/96).
attorney or agent of record	d. Registration	number		
attorney or agent acting u	nder 37 CFR 1.	34. Registration number_		·
/Rekha K. Rao/		Octobe	r 20, 2016	
Signature  Pokha Pao e/o IP Holdings Ind	2		Date	Э
Rekha Rao, c/o IP Holdings, Inc			Telephone	Number
NOTE: This form must be signed in accordar	nce with 37 CF		-	
multiple forms if more than one signature is re	equired, see be	low*.		

This collection of information is required by 37 CFR 1.136(a). The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>Electronic Patent Application Fee Transmittal</b>								
Application Number:	10911211							
Filing Date:	13-	Oct-2004						
Title of Invention:	Multifunction Mobile Devices and Appliance Control							
First Named Inventor/Applicant Name:	Sanjay K. Rao							
Filer:	Rekha Kaliputnam Rao/Sanjay Rao							
Attorney Docket Number:	IPF	ILNZ00501						
Filed as Small Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:			·					
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Extension - 3 months with \$0 paid	2253	1	700	700			
Miscellaneous:							
	Total in USD (\$) 700			700			

Electronic Acknowledgement Receipt						
EFS ID:	27280690					
Application Number:	10911211					
International Application Number:						
Confirmation Number:	7409					
Title of Invention:	Multifunction Mobile Devices and Appliance Control					
First Named Inventor/Applicant Name:	Sanjay K. Rao					
Customer Number:	105481					
Filer:	Rekha Kaliputnam Rao/Sanjay Rao					
Filer Authorized By:	Rekha Kaliputnam Rao					
Attorney Docket Number:	IPHLNZ00501					
Receipt Date:	20-OCT-2016					
Filing Date:	13-OCT-2004					
Time Stamp:	23:12:08					
Application Type:	Utility under 35 USC 111(a)					

## **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$700
RAM confirmation Number	102116INTEFSW23132200
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listin	g:				
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			407175		13
1	Amendment/Req. Reconsideration-After Non-Final Reject	Z00501_201610.pdf	4d7b2bc71572e89f0dfb7d6530faed21e39 e04b1	no	
Warnings:					
Information:	:				
			185945		2
2	Extension of Time	extension of Time_1.pdf	4d0e4abe574288096b852d5c49dde614da 89515c	no	
Warnings:	-				
Information:					
			186155		2
3	Extension of Time	extensionofTime_2.pdf	2e62bd8107a4c175b4b1e44c5085107472 b5cc8d	no	
Warnings:					
Information:	:				
			186437		2
4	Extension of Time	extension of Time_3.pdf	5467755fb0410ee7a23165d01f584130995 2403a	no	
Warnings:	+		'		
Information:	:				
5			30592		2
	Fee Worksheet (SB06)	fee-info.pdf	60e88966c30b02ff55bef81df94198b05523 bc40	no	
Warnings:	<del> </del>		,		
Information:					
		Total Files Size (in bytes	): 99	96304	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875						or Docket Nu /911,211	ımber	Filing Date 10/13/2004	To be Mailed		
	ENTITY: ☐ LARGE ☐ SMALL ☐ MICRO										
					APPLICA	ATION AS FIL	ED – PAR	ΤI			
			(	Column 1	)	(Column 2)					
	FOR		NU	MBER FIL	.ED	NUMBER EXTRA		RAT	= (\$)	F	FEE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))		N/A N/A		N/A		N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), (i)	or (m))		N/A		N/A		N/A			
	EXAMINATION FE (37 CFR 1.16(o), (p),			N/A	N/A			N/A			
	ΓAL CLAIMS CFR 1.16(i))			min	us 20 = *	: *		X \$ =			
	EPENDENT CLAIM CFR 1.16(h))	S		mi	minus 3 = *			X \$	=		
	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).					\$155 r					
<u> </u>	MULTIPLE DEPEN								- 4 1	+	
" IT U	he difference in colu	ımn 1 is ies:	s than z	ero, ente	r "U" in column 2.			TO	AL		
		(Columr	n 1)		APPLICAT	ION AS AMEN (Column 3		ART II			
IN⊤	10/20/2016	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR		PRESENT EX	TRA	RATE (\$)		ADDITIONAL FEE (\$)	
AMENDMENT	Total (37 CFR 1.16(i))	* 34		Minus	** 35	= 0		x \$40 =			0
H H	Independent (37 CFR 1.16(h))	* 4		Minus	***4	= 0		x \$210	=		0
AM	Application Size Fee (37 CFR 1.16(s))										
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))										
								TOTAL AI	DD'L FEI	<b>=</b>	0
	(Column 1) (Column 2) (Column 3)										
		CLAIM REMAIN AFTE AMENDM	IING R		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATI	≣ (\$)	ADDITIO	DNAL FEE (\$)
ENT	Total (37 CFR 1.16(i))	*		Minus	**	=		X \$	=		
ENDM	Independent (37 CFR 1.16(h))	*		Minus	w www	=		X \$	=		
	Application Size Fee (37 CFR 1.16(s))										
AM	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))										
							TOTAL AI	DD'L FEI			
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



### UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office

Address: COMMISSIONER FOR PATENTS

P.O. Box 1450

Alexandria, Virginia 22313-1450

www.uspto.gov

105481 e 2016-10-26

Rekha Rao 3087 Alexis Drive Palo Alto, CA 94304

Paper No.

Application No.:	10/911,211	Date Mailed:	2016-10-26
First Named Inventor:	Sanjay K. Rao	Examiner:	PATEL, AJIT
Attorney Docket No.:	IPHLNZ00501	Art Unit:	2644
Confirmation No.:	7409	Filing Date:	10/13/2004

Please find attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

PTO-90c (Rev.08-06)

# Notice of Non-Compliant Amendment (37 CFR 1.121)

Application No.

Applicant(s)

10/911,211

RAO ET AL.

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

The amendment document filed on <u>20 October</u>, <u>2016</u> is considered non-compliant because it has failed to meet the requirements of 37 CFR 1.121 or 1.4. In order for the amendment document to be compliant, correction of the following item(s) is required.

THE FOLLOWING MARKED (X) ITEM(S) CAUSE THE AMENDMENT DOCUMENT TO BE NON-COMPLIA  1. Amendments to the specification:  A. Amended paragraph(s) do not include markings.  B. New paragraph(s) should not be underlined.  C. Other	ANT:
<ul><li>2. Abstract:</li><li>A. Not presented on a separate sheet. 37 CFR 1.72.</li><li>B. Other</li></ul>	
<ul> <li>3. Amendments to the drawings:</li> <li>A. The drawings are not properly identified in the top margin as "Replacement Sheet," "New "Annotated Sheet" as required by 37 CFR 1.121(d).</li> <li>B. The practice of submitting proposed drawing correction has been eliminated. Replacement showing amended figures, without markings, in compliance with 37 CFR 1.84 are required.</li> <li>C. Other</li> </ul>	nt drawings
<ul> <li>✓ 4. Amendments to the claims:</li> <li>✓ A. A complete listing of all of the claims is not present.</li> <li>✓ B. The listing of claims does not include the text of all pending claims (including withdrawn claim).</li> <li>✓ C. Each claim has not been provided with the proper status identifier, and as such, the individence of each claim cannot be identified. Note: the status of every claim must be indicated after number by using one of the following status identifiers: (Original), (Currently amended), (Previously presented), (New), (Not entered), (Withdrawn) and (Withdrawn-currently amended).</li> <li>✓ D. The claims of this amendment paper have not been presented in ascending numerical ordinary.</li> <li>✓ E. Other: Claims 49, 59 and 67 was previously canceled.</li> </ul>	dual status r its claim Canceled), nded).
5. Other (e.g., the amendment is unsigned or not signed in accordance with 37 CFR 1.4): For further of the amendment format required by 37 CFR 1.121, see MPEP § 714.	er explanation

#### TIME PERIODS FOR FILING A REPLY TO THIS NOTICE:

- 1. Applicant is given **no new time period if the non-compliant amendment is an** after-final amendment or an amendment filed after allowance, or a drawing submission (only) If applicant wishes to resubmit the non-compliant after-final amendment with corrections, the **entire corrected amendment** must be resubmitted.
- 2. Applicant is given **two months** from the mail date of this notice to supply the correction, if the non-compliant amendment is one of the following: a preliminary amendment, a non-final amendment (including a submission for a request for continued examination (RCE) under 37 CFR 1.114), a supplemental amendment filed within a suspension period under 37 CFR 1.103(a) or (c), and an amendment filed in response to a Quayle action. If any of above boxes 1 to 4 are checked, the correction required is only the corrected section of the non-compliant amendment in compliance with 37 CFR 1.121.

Extensions of time are available under 37 CFR 1.136(a) only if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action.

Failure to timely respond to this notice will result in:

**Abandonment** of the application if the non-compliant amendment is a non-final amendment or an amendment filed in response to a *Quayle* action; or

**Non-entry** of the amendment if the non-compliant amendment is a preliminary amendment or supplemental amendment.

Legal Instruments Examiner (LIE), if applicable DORIS ISAAC

Telephone No: <u>(571)272-9279</u>

U.S. Patent and Trademark Office

Part of Paper No. 20161026-2

PTOL-324 (11-13)

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: December 26, 2016 Signature: /Sanjay K. Rao/ (Sanjay K. Rao)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004

Inventor(s): Sanjay K. Rao et al.

Title: Multifunction Mobile Devices and Appliance Control

Examiner: AJIT PATEL

7409 Group Art Unit:

#### RESPONSE TO NON FINAL OFFICE ACTION

Mail Stop Amendments Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

This is in response to the non Compliant Amendment dated October 26, 2016 for which a response is due December 26, 2016. Accordingly, this response is timely filed. Reconsideration and allowance of the pending claims, as amended, in light of the Remarks presented herein are respectfully requested.

Amendments to the Claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 10 of this paper.

#### AMENDMENTS TO THE CLAIMS

This listing of claims will replace all prior versions, and listings, of claims in the application:

#### In the claims

1.-35. (Canceled).

36. (Currently Amended): A method for a mobile device using a server, the method comprising:

#### enabling the server to be in communication with the mobile device;

accepting an upload of software to a server configured for use by a plurality of mobile devices and further configured to provide a plurality of different software functions to mobile devices;

storing data on the server, the data comprising a plurality of functional instruction sets, software, or mobile device configuration software which is configured to operate and control components of mobile device hardware, wherein said server is at a remote location from the one or more mobile devices, and wherein the server is enabled with Internet Protocol connectivity.

configuring the server to be used for by the one or more mobile devices, such that the server functions as a repository of software for the mobile device and as an exchange for software for mobile devices; configuring the mobile device one or more mobile devices to use the server to download the software using access a configuration setting; wherein the mobile device remotely requests software from the server using a wireless network, wherein the server stores [[in]] a storage medium an association of the software with the mobile device and a user profile,

wherein the mobile device downloads software or a functional instruction set from the server using a wireless communication unit;

wherein the mobile device stores the software or the functional instruction set in a storage medium, and

wherein the mobile device includes one or more functions of a cellular telephone; PDA, handheld computer, or multifunction communication device, or combinations thereof, and

wherein a processor of the mobile device is configured to execute the software so as to control the hardware of the mobile device:

and wherein the mobile device is configured to transmit and receive at a plurality of frequencies [[.]]; wherein the mobile device is dynamically software reconfigurable for the various environments wherein the mobile device is enabled to obtain a signal to noise ratio; wherein the mobile device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values; wherein the mobile device transmitter and receiver are independently tunable to one or more frequencies for operation in different environments based on the instructions of internal controller electronics and/or that of the server wherein the mobile device dynamically changes its frequency for communication;

wherein the mobile device uses a power level for an operating environment; and wherein both power output and channel bandwidth as are dynamically changed in real time.

- 37.-38 (Canceled).
- 39. (Currently Amended): A system comprising:

a remote server, the server configured to store wireless device software for a plurality of different functions or applications for use by a plurality of wireless devices,

wherein the remote server stores in memory software or functional instructions sets for a wireless device, wherein the remote server sends to the wireless device software or functional instruction sets, wherein the remote server stores profiles of user specific information,

wherein the wireless device is enabled for voice and data communication, wherein the wireless device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof, wherein the wireless device is configured to download the software from the remote server using an to use Internet protocol; , wherein the software is configured for use by the wireless device,

wherein the software controls a plurality of the hardware components on the wireless device;

wherein the wireless device is configured to transmit and receive at a plurality of frequencies: wherein the wireless device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values; wherein the wireless device transmitter and receiver are independently tunable to one or more frequencies for operation in different environments based on the instructions of internal controller electronics and/or that of the server wherein the wireless device dynamically changes its frequency for communication; wherein the wireless device uses a power level for an operating environment; and wherein both power output and channel bandwidth as are dynamically changed in real time.

wherein the server is enabled to provide a plurality of software and instruction sets for control of the wireless device for receipt by the wireless device wherein the device is enabled with software to control and command intelligent appliance using a server.

wherein said software includes macros for control of an appliance; and wherein the wireless device is enabled to control one or more appliances using a voice command.

40. (Previously presented): The system of claim 39, wherein the profiles contain information for both a user and the wireless device.

41. (Currently Amended): A wireless electronic device or mobile device, the device comprising:

a processor;

a memory;

a unit for wireless communication;

wherein the device is capable of voice and data communication,

wherein the device connects to a server,

wherein the device includes one or more functions of a cellular telephone, PDA, handheld computer, or multifunction communication device, or combinations thereof, wherein the software is associated with a user and the device stored in a profile,

wherein the server is configured to store software for a plurality of wireless devices and for a plurality of applications for the plurality of wireless devices,

and wherein the device is enabled to communicate on a plurality of frequencies;

wherein the device is enabled for voice and data communication;

and wherein the device is enabled for voice communication using cellular and wherein the device is enabled for wireless voice communication using a local area network. network:

wherein the device dynamically software reconfigurable for the various environments;

wherein the device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values;

wherein the device dynamically changes its frequency for communication; wherein the device uses a power level for an operating environment;

and wherein both power output and channel bandwidth as are dynamically changed in real time.

42. (Previously Presented): The device of claim 40, wherein the device downloads an application to function as a remote control for one or more devices including a television.

43. (Currently Amended): A system comprising.

a wireless device or mobile device including functions of one or more of a cellular telephone, PDA, handheld computer, or multifunction communication device or combinations thereof, the wireless device configured to receive a non-transitory computer readable medium from a server located at a remote location separate from the wireless device, the server configured to store a plurality of different application software or functional instructions for a plurality of wireless devices, one of the software application including a non-transitory the computer readable storage medium for a wireless device comprising:

an application software to be run by a processor on the wireless device, wherein the wireless device is in further communication with a television configured to receive wireless commands over a network, wherein the wireless device is configured to send a request to the television, wherein the request comprises a control function for the television, wherein the wireless device is configured to send said commands using a local home IP network, and wherein the communication between the television and the wireless device is over a IP based network as part of a home network; and wherein the wireless device obtains from the server a plurality of software for the control of a plurality of home appliances; and wherein commands to the control the one or more appliances are voice actuated based on input to the wireless device; and wherein the device operates using a plurality of frequencies; and wherein the wireless device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values; wherein the wireless device dynamically changes its frequency for communication; and wherein the wireless device uses a various power level for an operating environment.

44. (Previously presented): The system of claim 43, wherein the wireless device is configured to communicate directly to the television.

- 45. (Previously presented): The system of claim 43, wherein the wireless device is configured to communicate a set of commands to a server, the server configured to communicate said commands to the television.
- 46. (Previously presented): The system of claim 43, wherein the wireless device is configured to communicate a set of commands over a network to a network switch box over a wireless local area network, and wherein the network switch box is configured to transmit said commands to a television.
- 47. (Previously presented): The system of claims 36, wherein the server provides software for the configuration of the mobile or wireless device as an IP telephone.
- 48. (Previously presented): The system of claims 36, wherein the download of the software is based on a hierarchy of network paths.
- 49. (Canceled): The system of claims 36, wherein the device is configured to queue various software applications for downloading at a later time in response to the type of network bandwidth.
- 50. (Previously presented): The system of claims 36, wherein the device is configured for location sensing through use of both GPS and the location of network box and reconfigures one or more parameters based on the location.
- 51. (Previously presented): The system of claims 36, wherein the mobile device is configured to download an application for controlling a garage door opener.
- 52. (Previously presented): The system of claims 36, wherein the mobile device is configured to function as an internet protocol IP phone.
- 53. (Previously presented): The system of claims 36, wherein the server is colocated with a wireless carrier.
- 54. (Previously presented): The system of claims 36, further in communication with a network box is for use in a home environment.

55. (Previously presented): The system of claim 36, wherein the server is colocated with an office network.

- 56. (Previously presented): The system of claims 36, wherein the mobile device. serves as a remote controller for controlling intelligent office appliances.
- 57. (Previously presented): The system of claims 39, wherein responsive to a request from the one or more wireless device to a website or URL associated with a website server or a network environment, the one or more wireless device receives an indicator of a software application to be downloaded from the remote server,
- 58. (Previously presented): The system of claims 57, wherein the server delivers content not when device is in a carrier domain,
- 59. (Canceled): The system of claims 39, wherein the device is configured to queue various software application content for downloading at a later time in response to a set of networks available and a configuration associated with the networks.
- 60. (Previously presented): The system of claims 39, wherein the device determines a more precise location using both GPS location and a network box location.
- 61. (Previously presented): The system of claims 39, wherein the device is configured to download an application for controlling a garage door opener.
- 62. (Previously presented): The system of claims 39, wherein the device is configured to function as an internet protocol IP phone.
- 63. (Previously presented): The system of claims 39, wherein the server is collocated with a wireless carrier.
- 64. (Currently amended): The system of claim 39, further in communication with a network box for [[for]] use in a home.
- 65. (Previously presented): The system of claims 39, wherein the server is colocated with an office network.

66. (Previously presented): The system of claims 39, wherein the wireless device uses a command to a control a copier.

- 67. (Canceled): The system of claims 41, wherein the device is configured to queue various software application content for downloading at a later time in response to a set of networks available and a configuration associated with the networks.
- 68. (Previously presented): The system of claim 41, wherein the server delivers content not when device is in a carrier domain
- 69. (Previously presented): The system of claim 41, wherein the server provides software for the configuration of the mobile or wireless device as an IP (Internet Protocol) telephone.
- 70. (Previously presented): The system of claim 41, wherein the download of the application is based on a hierarchy of network paths.
- 71. (Previously presented): The system of claim 41, wherein the download from the server to the device is in a watchdog state and inactive.
- 72. (Previously presented): The system of claim 41, wherein the download of the software is based on a request to a URL associated with a server.
- 73. (Previously Presented): The system of claim 41, wherein a home server functions to controls a plurality of home intelligent appliances.
- 74. (Previously Presented): The system of claim 41, wherein the device communicates to a home server commands including starting and stopping an operation at a desired time, and wherein the home server controls one or more home intelligent appliances.

## **REMARKS**

This application has been reviewed in light of the Non Compliant Notice dated October 26, 2016. The *Office* has stated that claims 49,59, and 67 should have been marked with the identifier of Canceled. In response, Applicants have further amended the claims and the updated the identified status. The changes to the claims are relative to the last accepted version of claims.

Claims 36, 39-48,50-58,60-66, and 68-74 were pending in the present application. By virtue of this response, claims 36, 39, 41, 43, 64 have been amended. Accordingly, claims 36,39,48, 50-58, 60-66, and 68-74 are believed to currently under consideration.

Amendment and cancellation of certain claims is not to be construed as a dedication to the public of any of the subject matter of the claims as previously presented. No new matter has been added

### Rejections under 35 U.S.C. 103

The Office has entered in a plurality of rejections under 35 USC 103 which are largely summarized below. Applicants have amended the claims merely to advance prosecution.

- A. Claims 36, 41 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474).
- B. Claims 72 and 74 are rejected under pre-AIA 35 U. S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) and Stenman.
- C. Claims 43-46,73 are rejected under pre-AIA 35 U S.C 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Minnett (GB 2294563).
- D. Claims 51 and 61 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of King

E. Claims and 69 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Mattaway (US 6,13 1, 121).

- F. Claims 49,69, and 67 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Engbersen (US 6,341,304).
- G. Claims 58,68,and 71 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Lee (US 8,670,405).
- H. Claims 50, 60, and 71 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Kretschman (6,674,464).
- I. Claims 56 and 66 are rejected under pre-AIA 35 U.S.C. 103 (a) as allegedly being unpatentable over Ondeck (2002/0046083) in view of Bell (7,894,474) in view of Wood (6,453, 127).

It should be appreciated that the claims include the limitations of claims include for example: "wherein the mobile device is configured to transmit and receive at a plurality of frequencies: wherein the mobile device is enabled to be tuned to transmit and/or receive frequencies including one or more primary values and subsidiary values; wherein the mobile device transmitter and receiver are independently tunable to one or more frequencies for operation in different environments based on the instructions of internal controller electronics and/or that of the server wherein the mobile device dynamically changes its frequency for communication; wherein the mobile device uses a power level for an operating environment; and wherein both power output and channel bandwidth as are dynamically changed in real time."

Applicants have made various amendments to the claims so as to allow the wireless device to provide various enhanced transmit and receive capabilities. None of the cited art anticipates the existing and nor as amended claims.

No fees are believed due. However, should it be determined that processing of this paper requires additional fees under 37 C.F.R. 1.16 or 1.17, the Director is hereby authorized to charge such fees to **Deposit Account No. 506155**.

Respectfully submitted,

/ Sanjay K Rao/

Sanjay Rao, Joint Inventor

/ Sunil K. Rao/

Sanjay Rao, Joint Inventor

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Legal Representative for Joint Inventor,

Raman K. Rao

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Assignee, IP Holdings, Inc.

Electronic Ack	knowledgement Receipt
EFS ID:	27899775
Application Number:	10911211
International Application Number:	
Confirmation Number:	7409
Title of Invention:	Multifunction Mobile Devices and Appliance Control
First Named Inventor/Applicant Name:	Sanjay K. Rao
Customer Number:	105481
Filer:	Rekha Kaliputnam Rao/Sanjay Rao
Filer Authorized By:	Rekha Kaliputnam Rao
Attorney Docket Number:	IPHLNZ00501
Receipt Date:	26-DEC-2016
Filing Date:	13-OCT-2004
Time Stamp:	21:45:15
Application Type:	Utility under 35 USC 111(a)

# Payment information:

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			495212		
1	Amendment/Req. Reconsideration-After Non-Final Reject	ResponseNONCOMPLIANT1226 2016.pdf	a1f9809394947ba2ca3c82bbe90157f00408 ee59	no	12
Warnings:					

Information:	
Total Files Size (in bytes):	495212

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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PA	PATENT APPLICATION FEE DETERMINATION RECOP Substitute for Form PTO-875				N RECORD		n or Docket Nu 1/911,211	ımber	Filing Date 10/13/2004	To be Mailed	
								ENTITY:		ARGE 🛛 SMA	LL MICRO
					APPLICA	ATION AS FIL	ED – PAR	ΤI			
				(Column 1	)	(Column 2)					
	FOR		NU	JMBER FIL	.ED	NUMBER EXTRA		RAT	E (\$)	F	EE (\$)
	BASIC FEE (37 CFR 1.16(a), (b),	or (c))		N/A		N/A		N	Ά		
	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))		N/A		N/A		N/	Ά		
	EXAMINATION FE (37 CFR 1.16(o), (p),	E		N/A		N/A		N	′A		
	ΓAL CLAIMS CFR 1.16(i))			min	us 20 = *			X \$ =			
IND	EPENDENT CLAIM CFR 1.16(h))	IS		mi	inus 3 = *			X \$	=		
	APPLICATION SIZE (37 CFR 1.16(s))		of pay for sn fraction CFR	per, the a nall entity on thered 1.16(s).	ation and drawing application size f y) for each additi f. See 35 U.S.C	ee due is \$310 ( onal 50 sheets c	\$155 or				
* 15 6	MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				TO	ΓΛΙ					
* If the difference in column 1 is less than zero, enter "0" in column 2.			10	AL							
		(Colum			(Column 2)	(Column 3		ART II			
LN∃	12/26/2016	CLAIMS REMAIN AFTER AMENDI	IING		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RAT	E (\$)	ADDITIO	DNAL FEE (\$)
AMENDMENT	Total (37 CFR 1.16(i))	* 37		Minus	** 34	= 3		x \$40 =			120
EN	Independent (37 CFR 1.16(h))	* 4		Minus	***4	= 0		x \$210	=		0
AM	Application Si	ize Fee (37	CFR 1.	.16(s))			_	-			
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))											
								TOTAL AI	DD'L FEI	E	120
		(Colum	nn 1)		(Column 2)	(Column 3	)				
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ENT	Total (37 CFR 1.16(i))	*		Minus	ww.	=		X \$	=		
ENDM	Independent (37 CFR 1.16(h))	÷		Minus	***	=		X \$	=		
<b> </b> ₩	Application Si	ize Fee (37	CFR 1.	.16(s))				<u> </u>			
AM	FIRST PRESEN	NTATION OF	MULTIP	LE DEPENI	DENT CLAIM (37 CFF	R 1.16(j))					
								TOTAL AI	DD'L FEI	E	
** If *** I	* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  ** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  *** If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.										

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

### NOTICE OF ALLOWANCE AND FEE(S) DUE

105481 Rekha Rao 3087 Alexis Drive Palo Alto, CA 94304 04/06/2017

EXAMINER PATEL, AJIT ART UNIT PAPER NUMBER

2644

DATE MAILED: 04/06/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911 211	10/13/2004	Saniay K Rao	IPHI NZ00501	7409

TITLE OF INVENTION: Multifunction Mobile Devices and Appliance Control

ĺ	APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	SMALL	\$480	\$0	\$0	\$480	07/06/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

### PART B - FEE(S) TRANSMITTAL

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

APPLICATION NO. FILING DATE						
APPLICATION NO. FILING DATE					(Date)	
APPLICATION NO. FILING DATE						
	E	FIRST NAMED INVENTOR	A	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/911,211 10/13/2004	•	Sanjay K. Rao	•	IPHLNZ00501	7409	
TITLE OF INVENTION: Multifunction Mobile	Devices and Appliance Co	ontrol				
APPLN. TYPE ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE I	FEE TOTAL FEE(S) DUE	DATE DUE	
nonprovisional SMALL	\$480	\$0	\$0	\$480	07/06/2017	
EXAMINER	ART UNIT	CLASS-SUBCLASS				
PATEL, AJIT	2644	455-432300				
1. Change of correspondence address or indication CFR 1.363).	on of "Fee Address" (37	2. For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys				
Change of correspondence address (or Ch Address form PTO/SB/122) attached.	ange of Correspondence	or agents OR, alternativ	ely,	,		
"Fee Address" indication (or "Fee Address PTO/SB/47; Rev 03-02 or more recent) attack Number is required.	s" Indication form hed. Use of a Customer	registered attorney or a 2 registered patent atto- listed, no name will be	gent) and the names rneys or agents. If no	of up to		
3. ASSIGNEE NAME AND RESIDENCE DAT	A TO BE PRINTED ON	THE PATENT (print or typ	ne)			
PLEASE NOTE: Unless an assignee is iden recordation as set forth in 37 CFR 3.11. Com	tified below, no assignee apletion of this form is NO	data will appear on the pa T a substitute for filing an	ntent. If an assignee assignment.	is identified below, the de	ocument has been filed for	
(A) NAME OF ASSIGNEE		(B) RESIDENCE: (CITY	and STATE OR CO	UNTRY)		
Please check the appropriate assignee category of	or categories (will not be p	rinted on the patent): $\Box$	Individual Gorp	ooration or other private gro	oup entity 🗖 Government	
4a. The following fee(s) are submitted:	4	b. Payment of Fee(s): ( <b>Plea</b>	se first reapply any	previously paid issue fee	shown above)	
☐ Issue Fee☐ Publication Fee (No small entity discount	nermitted)	A check is enclosed.  Payment by credit car	d Form PTO-2038 is	attachad		
Advance Order - # of Copies	1 /	The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number (enclose an extra copy of this form).				
		overpayment, to Depo	sit Account Number	(enclose a	ii extra copy of this form).	
<ol> <li>Change in Entity Status (from status indicated Applicant certifying micro entity status. S</li> </ol>		NOTE: Absent a valid ce	rtification of Micro F	Entity Status (see forms PTC	D/SB/15A and 15B) issue	
Applicant asserting small entity status. Se				Entity Status (see forms PTC of the accepted at the risk of r micro entity status, check		
_	to be a notification of loss	of entitlement to mi	cro entity status.			
Applicant changing to regular undiscount		entity status, as applicable	2.	a notification of loss of enti	tlement to small or micro	
NOTE: This form must be signed in accordance	with 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for signa	iture requirements an	d certifications.		
NOTE: This form must be signed in accordance  Authorized Signature				d certifications.		

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE



## UNITED STATES PATENT AND TRADEMARK OFFICE

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P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Sanjay K. Rao	IPHLNZ00501	7409
105481 75	90 04/06/2017		EXAM	IINER
Rekha Rao 3087 Alexis Drive			PATEI	., AJIT
Palo Alto, CA 9430			ART UNIT	PAPER NUMBER
			2644	
			DATE MAILED: 04/06/201	7

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	<b>Application No.</b> 10/911,211	Applicant(s) RAO ET AL.	
Notice of Allowability	Examiner AJIT PATEL	Art Unit 2644	AIA (First Inventor to File) Status No
The MAII ING DATE of this communication	on appears on the cover sheet wi	th the correspondence	e address

	l l
The MAILING DATE of this communication appears on the All claims being allowable, PROSECUTION ON THE MERITS IS (OR REM herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other a NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. Tof the Office or upon petition by the applicant. See 37 CFR 1.313 and MPE	AINS) CLOSED in this application. If not included appropriate communication will be mailed in due course. THIS his application is subject to withdrawal from issue at the initiative
1. This communication is responsive to <u>12/26/2016</u> .	
A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed	d on
2. An election was made by the applicant in response to a restriction requirement and election have been incorporated into this action.	uirement set forth during the interview on; the restriction
3. The allowed claim(s) is/are 36 and 39-74. As a result of the allowed c <b>Prosecution Highway</b> program at a participating intellectual property please see http://www.uspto.gov/patents/init_events/pph/index.jsp or	office for the corresponding application. For more information,
4. Acknowledgment is made of a claim for foreign priority under 35 U.S.	C. § 119(a)-(d) or (f).
Certified copies:	
a) ☐ All b) ☐ Some *c) ☐ None of the:	
<ol> <li>Certified copies of the priority documents have been rec</li> </ol>	eived.
2.   Certified copies of the priority documents have been rec	eived in Application No
<ol><li>Copies of the certified copies of the priority documents h</li></ol>	ave been received in this national stage application from the
International Bureau (PCT Rule 17.2(a)).	
* Certified copies not received:	
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this connoted below. Failure to timely comply will result in ABANDONMENT of the THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	
5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must be subm	itted.
including changes required by the attached Examiner's Amendm Paper No./Mail Date	nent / Comment or in the Office action of
Identifying indicia such as the application number (see 37 CFR 1.84(c)) sho each sheet. Replacement sheet(s) should be labeled as such in the header	
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGIC attached Examiner's comment regarding REQUIREMENT FOR THE D	
An	
Attachment(s) 1. ☑ Notice of References Cited (PTO-892)	5. X Examiner's Amendment/Comment
2. Information Disclosure Statements (PTO/SB/08),	6. Examiner's Statement of Reasons for Allowance
Paper No./Mail Date  3.  Examiner's Comment Regarding Requirement for Deposit	7 🗖 04
of Biological Material	7.  Other
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date	
/AJIT PATEL/	
Primary Examiner, Art Unit 2644	

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20170331

Notice of Allowability

Part of Paper No./Mail Date

Application/Control Number: 10/911,211 Page 2

Art Unit: 2644

1. The present application is being examined under the pre-AIA first to invent

provisions.

2. The examiner tried to contact the applicant/applicant representative for

clarification in claim 43. However, no telephone number is available for the

applicant/applicant representative.

**EXAMINER'S AMENDMENT** 

3. An examiner's amendment to the record appears below. Should the changes

and/or additions be unacceptable to applicant, an amendment may be filed as provided

by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be

submitted no later than the payment of the issue fee.

The application has been amended as follows: The claim 43 has been amended

as follows:

In claim 43, line 8-9, the computer readable storage medium has been changed

to --the non-transitory computer readable medium--.

It is noted that the above terminology is in consistent with the terminology

used in line 4-5 of claim 43.

Application/Control Number: 10/911,211 Page 3

Art Unit: 2644

4. Any inquiry concerning this communication or earlier communications from the examiner should be directed to AJIT PATEL whose telephone number is (571)272-3140. The examiner can normally be reached on MON-FRI.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Kathy Wang-Hurst can be reached on 571-270-5371. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/AJIT PATEL/

Application/Control Number: 10/911,211

Art Unit: 2644

Primary Examiner, Art Unit 2644

Page 4

#### Applicant(s)/Patent Under Application/Control No. Reexamination 10/911,211 RAO ET AL. Notice of References Cited Art Unit Examiner Page 1 of 1 AJIT PATEL 2644 **U.S. PATENT DOCUMENTS** Document Number Date CPC Classification **US Classification** Name Country Code-Number-Kind Code MM-YYYY US-5,671,267 A 09-1997 August; Katherine Grace H04M1/72502 379/102.03 Whitley; Kevin T. 340/870.02 US-7,639,157 B1 12-2009 G08C17/02 В С US-7,213,061 B1 05-2007 Hite; Thomas D. H04L12/2803 361/803 D US-US-Ε US-F US-G US-Н US-US-J US-Κ US-US-М FOREIGN PATENT DOCUMENTS Document Number Date Name **CPC** Classification Country Country Code-Number-Kind Code MM-YYYY Ν 0 Ρ Q R s Т NON-PATENT DOCUMENTS Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages) U

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

**Notice of References Cited** 

Part of Paper No. 20170331

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	10911211	RAO ET AL.
	Examiner	Art Unit
	AJIT PATEL	2644

СРС				
Symbol			Туре	Version
H04M	1	72533	F	2013-01-01
H04M	11	007	I	2013-01-01
G08C	17	02	I	2013-01-01
H04L	12	2803	I	2013-01-01
		1		

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE	Total Clain	Total Claims Allowed:		
(Assistant Examiner)	(Date)	34		
/AJIT PATEL/ Primary Examiner.Art Unit 2644	4/1/2017	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	2A	

U.S. Patent and Trademark Office Part of Paper No. 20170331

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	10911211	RAO ET AL.
	Examiner	Art Unit
	AJIT PATEL	2644

	US OR	IGINAL CL	.ASSIFIC	ATION		INTERNATIONAL CLASSIFICATION						ON			
	CLASS			SUBCLASS					С	LAIMED		NON-CLAIMED			CLAIMED
455 432.3				Н	0	4	W	4 / 00 (2009.01.01)							
CROSS REFERENCE(S)															
CLASS	SUB	CLASS (ONE	SUBCLAS	S PER BLO	CK)										

NONE	Total Claims Allowed:		
(Assistant Examiner)	(Date)	3	4
/AJIT PATEL/ Primary Examiner.Art Unit 2644	4/1/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	2A

U.S. Patent and Trademark Office Part of Paper No. 20170331

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	10911211	RAO ET AL.
	Examiner	Art Unit
	AJIT PATEL	2644

	Claims re	numbere	d in the s	ame orde	r as prese	ented by a	applicant		СР	A [	] T.D.	[	R.1.4	47	
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1		17		33		49	26	65						
	2		18		34	12	50	27	66						
	3		19		35	13	51		67						
	4		20	1	36	14	52	28	68						
	5		21		37	15	53	29	69						
	6		22		38	16	54	30	70						
	7		23	2	39	17	55	31	71						
	8		24	3	40	18	56	32	72						
	9		25	4	41	19	57	33	73						
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	11		27	6	43		59								
	12		28	7	44	21	60								
	13		29	8	45	22	61								
	14		30	9	46	23	62								
	15		31	10	47	24	63								
	16		32	11	48	25	64								

NONE		Total Clain	Total Claims Allowed:	
(Assistant Examiner)	(Date)	3	4	
/AJIT PATEL/ Primary Examiner.Art Unit 2644	4/1/2017	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	2A	

U.S. Patent and Trademark Office Part of Paper No. 20170331

# **EAST Search History**

# **EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L3	57858	(H04M1/72533 OR H04M1/725 OR H04M1/6041 OR H04M1/6058 OR H04M/2250/74 OR G08C17/02 OR H04L12/2803 OR H04L29/08567 OR H04M11/007).CPC.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/04/01 15:13
L4	9753	3 and @ad< "20000609"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/04/01 15:14
L5	263	4 and (phone or wireless or mobile) same (remote adj control)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/04/01 15:16
L6	263	4 and ((phone or wireless or mobile) same (remote adj control))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/04/01 15:16
L7	10	6 and ((download\$4 or upload\$4) same (server))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/04/01 15:17
L8	0	7 and ((dynamically or variable) same (bandwidth or frequenc\$5))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	ON	2017/04/01 15:19
L9	36	("4101872"   "4577182"   "4614945"   "4691341"   "4713837"   "4724435"   "4799059"   "4940976"   "5056107"   "5146486"   "5194860"   "5243644"   "5327478"   "5438329"   "5442341"   "5448230"   "5454024"   "5544036"   "5546444"   "5548633"   "5572438"   "5594740"   "5617084"   "5673252"   "5699276"   "5717718"   "5719563"	US-PGPUB; USPAT; USOCR	OR	OFF	2017/04/01 15:23

******	"5719564"	"5719918"	"5729197"				-
	"5745849"	"5748084"	"5748103"				-
		"5897607"		.PN.			-

## **EAST Search History (Interference)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L10	1	((mobile adj device) same upload same software same server).clm.	US- PGPUB; USPAT	OR	ON	2017/04/01 15:28
L11		((mobile or \$4phone) same (noise adj ratio) same frequenc\$5 same tunable).clm.	US- PGPUB; USPAT	OR	ON	2017/04/01 15:30
L12		((mobile or \$4phone) same power same level same bandwidth same dynamically).clm.	US- PGPUB; USPAT	OR	ON	2017/04/01 15:31
L13	0	10 and 12	US- PGPUB; USPAT	OR	ON	2017/04/01 15:31
L14	, ,	((wireless or mobile or \$4phone) same command same televison same IP same software same (voice with actuat\$4)).clm.	US- PGPUB; USPAT	OR	ON	2017/04/01 15:34

4/1/2017 3:35:48 PM

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Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
10911211	RAO ET AL.
Examiner	Art Unit
DAVID WANG	2617

CPC- SEARCHED		
Symbol	Date	Examiner
H04M 1/72533,1/725,1/6041,1/6058,2250/74;11/007	4/1/2017	AP
G08C 17/02	4/1/2017	AP
H04L 12/2803,29/08567	4/1/2017	AP

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

	US CLASSIFICATION SEARCHE	ED .	
Class	Subclass	Date	Examiner
455	461	3/14/2008	DW
709	221	3/14/2008	DW
710	104	3/14/2008	DW

SEARCH NOTES			
Search Notes	Date	Examiner	
please see attached	3/14/2008	DW	
consulted Duc Nguyen SPE regarding the use of the Logitech Harmony remote controller	3/11/2008	DW	
google search for "(buy OR purchase) applications from mobile phone"	12/3/2010	DW	
google search for "finding network with GPS location" and "(detecting OR sensing) (home OR office OR work) environment GPS"	12/9/2010	DW	
consulted Huy Phan	12/9/2010	DW	
Search East see attached.			
Updated search	4/17/2016	AP	
Updated search	4/1/2017	AP	

INTERFERENCE SEARCH	

U.S. Patent and Trademark Office Part of Paper No. : 20170331

US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
	See FAST for interference search	4/1/2017	AP

U.S. Patent and Trademark Office Part of Paper No. : 20170331

### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

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105481 Rekha Rao 3087 Alexis Drive Palo Alto, CA 94304

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Sanjay K. Rao	(Depositor's name)
/Sanjay K. Rao/	(Signature)
July 6, 2017	(Date)

			<u>L</u>	: : :::,::,; : : : :		
				July 6, 2017 (Da		(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	•	Sanjay K. Rao		IPHLNZ00501	7409
TITLE OF INVENTION	: Multifunction Mobile I	Devices and Appliance C	ontrol			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL FEE(S) DUE	DATE DUE
nonprovisional	SMALL	\$480	\$0	\$0	\$480	07/06/2017
EXAM	INED	ART UNIT	CLASS-SUBCLASS	٦		
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PATEL	•		455-432300			
1. Change of corresponde CFR 1.363).	ence address or indication	n of "Fee Address" (37	2. For printing on the (1) The names of up			
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			(2) The name of a sin	gle firm (having as a	member a 2	
PTO/SB/47; Rev 03-0 Number is required.	ication (or "Fee Address' 22 or more recent) attache	ed. Use of a Customer	(2) The name of a sin registered attorney or 2 registered patent at listed, no name will b	torneys or agents. If no printed.	no name is 3	
3. ASSIGNEE NAME A	ND RESIDENCE DATA	TO BE PRINTED ON	THE PATENT (print or t	ype)		
PLEASE NOTE: Unl	ess an assignee is identi	fied below, no assignee	data will appear on the	patent. If an assigne	e is identified below, the d	locument has been filed for
(A) NAME OF ASSI		netion of this form is NC	(B) RESIDENCE: (CIT			
(1) 1111112 01 11001			(B) TESTELL (CIT	T und STITE ON C	0011111)	
Please check the appropri	iate assignee category or	categories (will not be p	rinted on the patent):	Individual 🖵 Co	rporation or other private gr	oup entity 🖵 Government
4a. The following fee(s):	are submitted:	4	b. Payment of Fee(s): (Pl	ease first reapply an	y previously paid issue fee	shown above)
Issue Fee			A check is enclosed			•
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Advance Order - #	of Copies		The director is hereb	y authorized to charg	e the required fee(s), any de r (enclose a	ficiency, or credits any in extra copy of this form).
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5. Change in Entity Stat	*					
Applicant certifyir	ng micro entity status. Se	e 37 CFR 1.29	NOTE: Absent a valid of fee payment in the micr	certification of Micro of entity amount will i	Entity Status (see forms PT) not be accepted at the risk of	O/SB/15A and 15B), issue f application abandonment.
Applicant asserting	g small entity status. See	37 CFR 1.27		n was previously und	er micro entity status, check	
Applicant changin	g to regular undiscounted	I fee status.		ox will be taken to be	a notification of loss of ent	itlement to small or micro
NOTE: This form must b	e signed in accordance w	vith 37 CFR 1.31 and 1.3	33. See 37 CFR 1.4 for sig		and certifications.	
	/Sanjay K Pag/			.lul.	y 6, 2017	
Authorized Signature				Date	<del>•</del>	
Typed or printed name	<sub>e</sub> _Sanjay K. Rao			Registration N	0	
-						

Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

### PART B - FEE(S) TRANSMITTAL

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105481 Rekha Rao 3087 Alexis Drive Palo Alto, CA 94304

Certificate of Mailing or Transmission
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(Depositor's name)	Sunil K. Rao
(Signature)	/Sunil K. Rao/
(Date)	July 06, 2017

				/Outili IX. IX	au,	(Orginature)	
				July 06, 2	017	(Date)	
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	R	ATTORNEY DOCKET NO.	CONFIRMATION NO.	
10/911,211	10/13/2004	•	Sanjay K. Rao	•	IPHLNZ00501	7409	
TITLE OF INVENTION	: Multifunction Mobile I	Devices and Appliance C	ontrol				
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	E PREV. PAID ISSUE	FEE TOTAL FEE(S) DUI	E DATE DUE	
nonprovisional	SMALL	\$480	\$0	\$0	\$480	07/06/2017	
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CFR 1.363).		,	(1) The names of up or agents OR, alterna	to 3 registered patent	attorneys 1		
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☐ "Fee Address" ind PTO/SB/47; Rev 03-0 Number is required.	ication (or "Fee Address' 22 or more recent) attache	' Indication form ed. Use of a Customer	(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
3. ASSIGNEE NAME A	ND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or t	ype)			
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Please check the appropr	iate assignee category or	categories (will not be p	rinted on the patent):	Individual Lo	rporation or other private gr	oup entity 🚨 Government	
4a. The following fee(s)	are submitted:	4			y previously paid issue fee	shown above)	
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☐ Applicant asserting small entity status. See 37 CFR 1.27			NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status.				
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Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

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Electronic Patent Application Fee Transmittal								
Application Number:		10911211						
Filing Date:		13-Oct-2004						
Title of Invention:		Multifunction Mobile Devices and Appliance Control						
First Named Inventor/Applicant Name:	Sai	njay K. Rao						
Filer:	Rel	kha Kaliputnam Rac	/Sanjay Rao					
Attorney Docket Number:	IPHLNZ00501							
Filed as Small Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
UTILITY APPL ISSUE FEE	2501	1	480	480				

Description	Fee Code	Quantity Amount		Sub-Total in USD(\$)	
Extension-of-Time:					
Miscellaneous:					
	Tot	al in USD	(\$)	480	

Electronic Acknowledgement Receipt				
EFS ID:	29710906			
Application Number:	10911211			
International Application Number:				
Confirmation Number:	7409			
Title of Invention:	Multifunction Mobile Devices and Appliance Control			
First Named Inventor/Applicant Name:	Sanjay K. Rao			
Customer Number:	105481			
Filer:	Rekha Kaliputnam Rao/Sanjay Rao			
Filer Authorized By:	Rekha Kaliputnam Rao			
Attorney Docket Number:	IPHLNZ00501			
Receipt Date:	06-JUL-2017			
Filing Date:	13-OCT-2004			
Time Stamp:	22:51:28			
Application Type:	Utility under 35 USC 111(a)			

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Payment Type	CARD
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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: July 06, 2017 Signature: /Sanjay K. Rao/ (Sanjay K. Rao)

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004 Inventor(s): Sanjay K. Rao et al.

Title: Multifunction Mobile Devices and Appliance Control

Examiner: AJIT PATEL

Group Art Unit: 7409

# AMENDMENT UNDER 37 C.F.R. §3.12 AND ISSUE FEE

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Examiner Patel:

Prior to issuance, entry of this Amendment is respectfully requested. A notice of allowance was issued on April 06, 2017 for which a response is due July 06, 2017. Accordingly, this response is timely filed. Applicants have amended the priority claim which is reflected in an updated ADS which accompanies this paper.

Amendments to the **specification** begin on Page 2.

## **IN THE SPECIFICATION:**

On page 1, please amend the "CROSS REFERENCE TO RELATED APPLICATIONS" paragraph beginning on line 9 as follows:

The present application is a divisional of U.S. Application No. 09/591,381 filed June 9, 2000 (now U.S. Patent No. 7,929,950) which is a continuation in part of copending application entitled INTELLIGENT KEYBOARD SYSTEM, Serial No. 09/281,739 filed June 4, 1999 (now U.S. Patent No. 6,169,789).

### REMARKS

The application has received a Notice of Allowance. Claims 36 and 39-74 are allowed. No changes to the Claims have been made subsequent to the Examiner's Amendment. No new matter has been added.

Applicants have revised their claim to domestic priority. As originally filed, the application claimed priority through a chain of applications back to December 16, 1996 and to Serial No. 09/281,739 filed June 4, 1999 (now U.S. Patent No. 6,169,789).

At this time, the claim to priority has been truncated to the immediate parent of the present application, which was filed on June 9, 2000 (now U.S. Patent No. 7,929,950). An Application Data Sheet accompanies this Amendment, to reflect this change.

Applicants thank the Examiner for his indication that all of claims are allowed. The changes made herein are not believed to affect allowability. In particular it is noted that in his search strategy and search history, the Examiner has apparently already used a search date of June 09, 2000 such that the above truncation of the domestic priority claim should not affect allowability. See, for example, the Level 4 search ("L4") in the search history of 04/01/2017, which includes the search string of @ad< "20000609", which is understood to signify a search for documents having an application filing date before June 9, 2000.

No other matters being raised, it is believed the entire application is fully in condition for allowance, and such action is courteously solicited.

No fees are believed due. However, should it be determined that processing of this paper requires additional fees under 37 C.F.R. 1.16 or 1.17, the Director is hereby authorized to charge such fees to **Deposit Account No. 506155**.

Respectfully submitted,

/ Sanjay K Rao/

Sanjay Rao, Joint Inventor

/ Sunil K. Rao/

Sanjay Rao, Joint Inventor

/ Rekha Rao/

Legal Representative for Joint Inventor,

Raman K. Rao

Annli	Application Data Sheet 37 CFR 1.76				Attorney	/ Docl	ket N	lumber						
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Application Data Sh	oot 37 CED 1	76	Attorne	y Docke	t Nur	nber					
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Title of Invention Multif	unction Mobile Dev	vice and	Appliar	nce Contro	ol						
Citizenship under 37 CF	<b>R 1.41(b</b> ) ∪	S									
Mailing Address of App	licant:										
Address 1	3087 Alexis Drive										
Address 2											
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Title of the Invention	Title of the Invention										
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ssignee Inf	ormati	ion:						
Providing this inforr	nation in t	he application data s		bstitute for co	mpliance wit	h any requireme	ent of part	3 of Title 37
Assignee 1								
If the Assignee is	an Orgai	nization check here	e. 🗌					
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Country

Phone Number

**Email Address** 

Application Da	ita Sheet 37 CFR 1.76	Attorney Docket Number					
Application Da	ita Sheet 37 OF N 1.70	Application Number					
Title of Invention	Multifunction Mobile Device a	nd Appliance Control					
Additional Assignee Data may be generated within this form by selecting the <b>Add</b> button.							

### Signature:

	e of the applicant or representative is required in accordance with 37 CFR 1.33 and 10.18. Please see 37 for the form of the signature.								
Signature	/Sanjay K. Rao/			Date (YYYY-MM-DD) 2017-07-06					
First Name	Sanjay	Last Name	Rao	Registration Number					

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552)
  and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine
  whether the Freedom of Information Act requires disclosure of these records.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an
  individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of
  the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Ammli	Application Data Sheet 37 CFR 1.76				Attorney	Docke	t Number				
Appii	cation Da	ila Sne	ets/ CFR	1.76	Application	n Nur	nber				
Title of	Invention	Multifu	nction Mobile [	Device a	nd Appliance	e Contr	ol				
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Secre	Secrecy Order 37 CFR 5.2:										
	Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)										
Inven	tor Infor	matio	n:								
Invent	or 1								Remove		
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Prefix	Given Nar	ne		Mi	ddle Name	<b>:</b>		Family	Name		Suffix
1	Sanjay			K.				Rao		ヿ	T 7
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City	Palo Alto			State/	Province	CA	Countr	y of Res	<b>idence</b> US		
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Mailing	Address of	Invent	or:								
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**Middle Name** 

Prefix

Legal Name

**Given Name** 

Residence Information (Select One) 

US Residency

Raman

Suffix

Active US Military Service

**Family Name** 

Rao

Non US Residency

Application Data She	Attorney Docke	et Number						
Application Data Sile	et 37 CFK 1.76	Application Nu	mber					
Title of Invention Multifun	ction Mobile Device a	nd Appliance Cont	rol					
City Palo Alto	State/	Province CA	Country	y of Residence	US			
Mailing Address of Invento	or:							
Address 1	3087 Alexis Drive							
Address 2								
City Palo Alto		State/Province CA						
Postal Code	94304		ıntry i	us				
All Inventors Must Be Lis generated within this form k			ion blocks r	may be	Add			
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Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).								
An Address is being p	provided for the co	rrespondence l	nformation (	of this application	n.			
Customer Number	105481							
Email Address         patent@ipholdings.com         Add Email         Remove Email						Remove Email		
Application Information:								
Title of the Invention	Multifunction Mobile	Device and Applia	nce Control					
Attorney Docket Number			Small Ent	ity Status Claime	ed 🛚			
Application Type	Nonprovisional					•		
Subject Matter						•		
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Filing By Reference	<b>e</b> :							
application papers including a spe provided in the appropriate section For the purposes of a filing date u	Only complete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").  For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this deference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).							
Application number of the previously filed application  Filing date (YYYY-MM-DD)  Intellectual Property Authority or Cour						ority or Country		
Publication Inform	ation:							
Request Early Publica	tion (Fee required a	t time of Reques	37 CFR 1.2	19)				
35 U.S.C. 122(b) and c subject of an application	Request Early Publication (Fee required at time of Request 37 CFR 1.219)  Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.							

Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	
Application Da	ita Sileet 37 CFK 1.70	Application Number	
Title of Invention	Multifunction Mobile Device ar	nd Appliance Control	

### **Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.									
Please Select One:   Customer Number US Patent Practitioner Climited Recognition (37 CFR 11.9)									
Customer Number									

## Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

Prior Applicati	Prior Application Status		•		Rer	move
Application Number	Continuity Type		Prior Application Number	Filing Date (YYYY-MM-DD)	Patent Number	Issue Date (YYYY-MM-DD)
Division of						2011-04-19
Additional Dome	stic Benefi	t/National Sta	ge Data may be gen	erated within this form		7

by selecting the **Add** button.

# Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

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Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
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Application Da	ta Sheet 37 CFR 1.76	Attorney Docket Number	
Application Da	ita Sileet S7 Cl K 1.70	Application Number	
Title of Invention	Multifunction Mobile Device a	nd Appliance Control	

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition **Applications**

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Da	Application Data Sheet 37 CFR 1.76		
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	Multifunction Mobile Device ar	nd Appliance Control	

## **Authorization or Opt-Out of Authorization to Permit Access:**

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

**NOTE**: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. Priority Document Exchange (PDX) Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

- 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)
- A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
- B. Applicant **DOES NOT** authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE**: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number			
Application Data Sheet 37 CFR 1.76		Application Number			
Title of Invention	Multifunction Mobile Device a	rice and Appliance Control			

# **Applicant Information:**

Providing assignment info to have an assignment red			not substitute t	for compliand	ce with any re	equirement of	part 3 of Title 37 of Cf	-R
Applicant 1							Remove	
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Assignee		Legal Re	presentative ur	ider 35 U.S.	C. 117	• Joint	t Inventor	
Person to whom the inv	entor is oblig	ated to assign.		Pers	son who show	vs sufficient pr	roprietary interest	-
If applicant is the legal re	epresentativ	e, indicate the	e authority to	ile the pate	nt application	on, the inven	tor is:	
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Name of the Deceased	or Legally In	ncapacitated I	nventor:					
If the Applicant is an Or	ganization	check here.						
Prefix	Given Na	me	Middle Nam	е	Family Na	me	Suffix	
_	Sanjay		K.		Rao			V
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Address 2								
City	Palo A	lto		State/Pro	vince	CA		
<b>Country</b> US				Postal Co	de	94304		
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Application Da	ata Shoot 37	CFR 1 76 Attorney Dock		ket Numbe	r			
Application Data Sheet 37		CI IX 1.70	Application N	umber				
Title of Invention	Fitle of Invention Multifunction Mobile Device and Appliance Control							
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Assignee		Legal Ro	epresentative und	der 35 U.S.	C. 117	<ul><li>Joint</li></ul>	Inventor	
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If applicant is the leg	gal representati	ve, indicate th	e authority to fi	le the pate	nt application,	the invent	tor is:	
					[-	-		
Name of the Decea	sed or Legally I	ncapacitated	Inventor:		<u>'</u>			
If the Applicant is a	an Organization	check here.						
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Mailing Address	Information Fo	r Applicant:					. •	Ī
Address 1	3087	Alexis Drive						
Address 2								
City	Palo A	Alto		State/Pro	vince C	A		
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Additional Applicant	Data may be g	enerated with	in this form by	selecting th	ne Add button.	[	Add	
Applicant 3							Remove	
If the applicant is the in the information to be 1.43; or the name and who otherwise shows applicant under 37 CF proprietary interest) to identified in this section	provided in this s I address of the a sufficient propried R 1.46 (assigned gether with one c	ection is the na ssignee, perso tary interest in t e, person to who	ime and address in to whom the inv the matter who is om the inventor is	of the legal ventor is und the applicar s obligated to	representative valer an obligation of tunder 37 CFF or assign, or per	who is the a n to assign t R 1.46. If th son who ot	applicant under 37 Cl the invention, or pers e applicant is an herwise shows suffic	FR son eient
Assignee		Legal Ro	epresentative und	der 35 U.S.	C. 117	<ul><li>Joint</li></ul>	Inventor	
Person to whom th	ne inventor is oblig	jated to assign.		Pers	on who shows	sufficient pr	oprietary interest	
If applicant is the le	gal representati	ve, indicate th	e authority to fi	le the pate	nt application,	the invent	tor is:	
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Application Data Sheet 37 CFR 1.76			Attorney Doo	ket Numbe	r		
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Title of Invention							
Name of the Decea	sed or L	egally Incapacitated	Inventor:				
If the Applicant is a	an Orgar	nization check here.					
Prefix	Prefix Given Name		Middle Nam	e	Family Na	ame	Suffix
	₹	aman	K.		Rao		
Mailing Address I	nforma	tion For Applicant:					
Address 1		3087 Alexis Drive					
Address 2							
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<b>Country</b> US				Postal Co	de	94304	
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Assignee 1							
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If the Assignee or I	Non-App	olicant Assignee is an	ı Organization	check here	-		
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			Attorney Doc	ket Number				
Application Data Sheet 37 CFR 1.76			Application N					
Title of Invention								
Mailing Addro	ess Information Fo	Assignee inc	cluding Non-A	pplicant Ass	ignee:			
Address 1								
Address 2								
City				State/Provin	ice			
Country i				Postal Code				
Phone Numb	er			Fax Number				
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Signature	/Sanjay K. Rao/				Date (Y	YYY-MM-D	D)	2017-07-06
First Name	Sanjay	Last Name	Rao		Registra	ation Numbe	r _	
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NOTE: This A Data Sheet is subsection 2 also be signe This App entity (e.g., co patent practitio power of attori	pplication Data Shee submitted with the of the "Authorization din accordance will blication Data Sheet proporation or associationer, all joint inventoney (e.g., see USPT) FR 1.4(d) for the market	NITIAL filing on or Opt-Out th 37 CFR 1.1 must be signe tion). If the ap rs who are the O Form PTO/A	g of the applic t of Authorizat 4(c). d by a patent p plicant is two o applicant, or c NA/81) on beha	ation and eith ion to Permit ractitioner if o r more joint in ne or more joi alf of <u>all</u> joint in	ner box A Access" ne or mor ventors, th int inventor	or B is not section, the e of the app his form must or-applicants	che en t licar	ecked in this form must nts is a juristic e signed by a
Signature	/Sunil K. Rao/				Date (Y	YYY-MM-D	D)	2017-07-06
First Name	Sunil	Last Name	Rao		Registra	ation Numbe	r	

Application Data Sheet 37 CFR 1.76 ⊢		Attorney Docket Number			
		Application Number			
Title of Invention	Multifunction Mobile Device a	nd Appliance Control			
Additional Signature may be generated within this form by selecting the Add button.  Add					

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform
  a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C.
  552a(m)
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

I hereby certify that this correspondence is being electronically transmitted to the USPTO on the date shown below.

Date: July 06, 2017 Signature: /Sanjay K. Rao/ (Sanjay K. Rao)

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/911,211

Confirmation No.: 7409

Filing Date: October 13, 2004 Inventor(s): Sanjay K. Rao et al.

Title: Multifunction Mobile Devices and Appliance Control

Examiner: AJIT PATEL

Group Art Unit: 7409

#### AMENDMENT UNDER 37 C.F.R. §3.12 AND ISSUE FEE

Mail Stop Amendments Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### Dear Examiner Patel:

Prior to issuance, entry of this Amendment is respectfully requested. A notice of allowance was issued on April 06, 2017 for which a response is due July 06, 2017. Accordingly, this response is timely filed. Applicants have amended the priority claim which is reflected in an updated ADS which accompanies this paper.

Amendments to the specification begin on Page 2.

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Application Data Shoot 27 CED 1.7				Attorney	Docke	t Number				
Application Data Sheet 37 CFR 1.76				Application	n Nun	nber				
Title of Invention										
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City Palo Alto			State/	Province	CA	Country	y of Resid	encė	us	
1.					-			+	-	
Mailing Address	of Invento	or:								
Address 1		3087 Alexis D	rive							
Address 2										
City Pa	lo Alto					State/Prov	ince	CA		
Postal Code		94304			Cou	ntryi	us			
Inventor 2								Re	move	
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Address 1 3087 Alexis Drive										
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Non US Residency

Residence Information (Select One) 

US Residency

Active US Military Service

Application Data Sho	ot 27 CED 4 76	Attorney Dock	et Number				
Application Data She	et 37 CFK 1.70	Application Nu	mber				
Title of Invention Multifur	nction Mobile Device a	nd Appliance Cont	rol				
City Palo Alto	State/	Province CA	Country	y of Reside	ence US		
Mailing Address of Invento	or:						
Address 1	3087 Alexis Drive						
Address 2							
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An Address is being p	provided for the co	rrespondence l	nformation (	of this app	lication.		
Customer Number	105481						
Email Address	patent@ipholdings.c	om			Add Email	Remove E	mail
Application Inform	ation:						
Title of the Invention	Multifunction Mobile	Device and Applia	nce Control				
Attorney Docket Number			Small Ent	ity Status	Claimed 🛚		
Application Type	Nonprovisional						•
Subject Matter							•
<b>Total Number of Drawing</b>	Sheets (if any)	5	Suggeste	ed Figure f	or Publicatio	n (if any)	
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Only complete this section when application papers including a sport provided in the appropriate section.  For the purposes of a filing date use reference to the previously filed a	ecification and any draw on(s) below (i.e., "Domes ander 37 CFR 1.53(b), the	rings are being filed stic Benefit/Nationa description and an	. Any domestic Stage Informa y drawings of t	c benefit or fo tion" and "Fo the present ap	oreign priority in oreign Priority In	formation mus formation").	
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Request Not to  35 U.S.C. 122(b) and subject of an application publication at eighteen	certify that the inver	ntion disclosed in	the attache	d applicatio	n has not an	d will not be	

Application Data Sheet 37 CFR 1.76 ⊢		Attorney Docket Number	
		Application Number	
Title of Invention	Multifunction Mobile Device a	nd Appliance Control	

### Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.									
Please Select One:   Customer Number US Patent Practitioner  Limited Recognition (37 CFR 11.9)									
Customer Number									

## **Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

When referring to the current application, please leave the "Application Number" field blank.

**Prior Application Status** atented Remove Issue Date Application Prior Application Filing Date Continuity Type Patent Number Number (YYYY-MM-DD) (YYYY-MM-DD) Number Division of 09591381 2000-06-09 7929950 2011-04-19 Additional Domestic Benefit/National Stage Data may be generated within this form Add

# Foreign Priority Information:

by selecting the Add button.

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority  Add button.	Data may be generated wit	hin this form by selecting the	Add

PTO/AIA/14 (11-15)
Approved for use through 04/30/2017. OMB 0651-0032
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	
		Application Number	
Title of Invention	Multifunction Mobile Device a	nd Appliance Control	

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition **Applications**

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
16, 2013.
NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
16, 2013, will be examined under the first inventor to file provisions of the AIA.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	
		Application Number	
Title of Invention	Multifunction Mobile Device ar	nd Appliance Control	

## **Authorization or Opt-Out of Authorization to Permit Access:**

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

**NOTE**: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. Priority Document Exchange (PDX) Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. <u>Search Results from U.S. Application to EPO</u> Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

- 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)
- A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
- B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

**NOTE**: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

PTO/AIA/14 (11-15)
Approved for use through 04/30/2017. OMB 0651-0032
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	
		Application Number	
Title of Invention	Multifunction Mobile Device a	nd Appliance Control	

# **Applicant Information:**

Providing assignment inf to have an assignment re			not substitute t	for complian	ce with any	requirement of	part 3 of Title 37 of CFR
Applicant 1							Remove
If the applicant is the inver The information to be prov 1.43; or the name and add who otherwise shows suffi applicant under 37 CFR 1. proprietary interest) togeth identified in this section.	ided in this solress of the accient propried 46 (assignee	ection is the nar ssignee, person ary interest in the person to who	me and address to whom the in the matter who is om the inventor	s of the legal eventor is un- s the applica is obligated (	representat der an oblig nt under 37 to assign, or	tive who is the a ation to assign CFR 1.46. If th person who ot	applicant under 37 CFR the invention, or person e applicant is an herwise shows sufficient
Assignee		Legal Re	presentative ur	ider 35 U.S.	C. 117	• Join	Inventor
Person to whom the in	ventor is oblig	ated to assign.		Pers	son who sho	ows sufficient p	oprietary interest
If applicant is the legal r	epresentati	ve, indicate the	e authority to	ile the pate	nt applicat	ion, the inven	tor is:
						▼	
Name of the Deceased	or Legally I	ncapacitated I	nventor:				
If the Applicant is an C	rganization	check here.					
Prefix	Given Na	me	Middle Nam	e Family Nam		ame	Suffix
-	Sanjay		K.		Rao		_
Mailing Address Info	rmation Fo	r Applicant:			•		
Address 1	3087	Alexis Drive					
Address 2				_			
City	Palo A	lto		State/Pro	vince	CA	
Country US			Postal Co	de	94304		
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Application Data Sheet 37		CER 1 76 Attorney Dock		cet Number	r			
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Title of Invention	Title of Invention Multifunction Mobile Device and Appliance Control							
Applicant 2						[	Remove	
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Assignee		Legal R	epresentative und	der 35 U.S.	C. 117	<ul><li>Joint</li></ul>	Inventor	
Person to whom th	ne inventor is oblig	ated to assign.		Pers	on who shows :	sufficient pr	oprietary interest	
If applicant is the leg	gal representativ	e, indicate th	e authority to fi	le the pate	nt application,	the invent	tor is:	
						₹		
Name of the Decea	sed or Legally I	ncapacitated	Inventor:					
If the Applicant is a	an Organization	check here.						
Prefix	Given Na	me	Middle Name	•	Family Name	e	Suffix	
	√ Sunil		K.		Rao			<b>_</b>
Mailing Address	Information Fo	r Applicant:			-			
Address 1	3087 <i>A</i>	Alexis Drive						
Address 2								
City	Palo A	lto		State/Pro	vince C.	A		
<b>Country</b> US				Postal Co	de 94	1304		
Phone Number				Fax Numb	er			
Email Address								
Additional Applicant	t Data may be g	enerated with	in this form by	selecting th	ne Add button.	. [	Add	
Applicant 3							Remove	
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.								
Assignee		Legal R	epresentative und	der 35 U.S.	C. 117	<ul><li>Joint</li></ul>	t Inventor	
Person to whom th	ne inventor is oblig	ated to assign.		Pers	on who shows	sufficient pr	oprietary interest	
If applicant is the leg	gal representativ	e, indicate th	e authority to fi	le the pate	nt application,	the invent	tor is:	
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		100 000 4 50	Attorney Doo	cket Numbe	r		
Application Da	ita She	eet 37 CFR 1.76	Application N	Number			
Title of Invention							
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If the Applicant is a	ın Organ	nization check here.					
Prefix	Gi	iven Name	Middle Nam	ie	Family Na	ıme	Suffix
	₹Ra	aman	K.		Rao		
Mailing Address I	nformat	tion For Applicant:					
Address 1		3087 Alexis Drive					
Address 2							<u> </u>
City		Palo Alto		State/Pro	vince	CA	
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Assignee Info	rmati	ion including I	Non-Appl	icant As	signee	Informati	ion:
		nation in this section on nment recorded by the		titute for cor	npliance wi	th any require	ement of part 3 of Title
Assignee 1	Assignee 1						
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.							
							Remove
If the Assignee or I	Non-App	olicant Assignee is an	Organization	check here			
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Application Data Sheet 37 CFR 1.76		Attorney Doc	ket Number					
		Application N	umber					
Title of Inven	tion Multifunctio	n Mobile Device ar	nd Appliance Cor	ntrol				
Mailing Addro	ess Information F	For Assignee inc	cluding Non-A	pplicant Assi	ignee:			
Address 1								
Address 2								
City				State/Provin	ice			
Country				Postal Code				
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Signature	/Sanjay K. Rao/				Date (	YYY-MM-D	D)	2017-07-06
First Name	Sanjay	Last Name	Rao		Registra	ation Numbe	;r	
Additional Si	gnature may be g	enerated within th	nis form by sele	ecting the Add	button.		Add	d
Signature								move
NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the <a href="INITIAL">INITIAL</a> filing of the application <a href="and-either-box">and-either-box</a> A or B is <a href="not-box">not-box</a> checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).  This Application Data Sheet <a href="must">must</a> be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, <a href="must">all</a> joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of <a href="must">all</a> joint inventor-applicants.  See 37 CFR 1.4(d) for the manner of making signatures and certifications.								
Signature	/Sunil K. Rao/				Date (	/YYY-MM-D	D)	2017-07-06
First Name	Sunil	Last Name	Rao		Registra	ation Numbe	r:	

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number				
		Application Number				
Title of Invention	Multifunction Mobile Device a	and Appliance Control				
Additional Signature may be generated within this form by selecting the Add button.  Add						

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent CooperationTreaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/911,211	10/13/2004	Sanjay K. Rao	IPHLNZ00501	7409
105481 Rekha Rao	7590 08/09/201	7	EXAM	IINER
3087 Alexis Dr Palo Alto, CA 9			PATEI	., AJIT
			ART UNIT	PAPER NUMBER
			2644	
			MAIL DATE	DELIVERY MODE
			08/09/2017	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

		Application No.	Applicant(s)				
	on as to Buts 040 Octobronication	10/911,211	RAO ET AL.				
Response to Rule 312 Communication		Examiner	Art Unit				
		AJIT PATEL	2644				
	The MAILING DATE of this communication appears on the cover sheet with the correspondence address –						
1. ☑ The amendment filed on <u>06 July 2017</u> under 37 CFR 1.312 has been considered, and has been:							
a) 🗌	entered.						
b) 🛛	entered as directed to matters of form not affecting t	he scope of the invention.					
c) 🔲	disapproved because the amendment was filed after the payment of the issue fee.  Any amendment filed after the date the issue fee is paid must be accompanied by a petition under 37 CFR 1.313(c)(1) and the required fee to withdraw the application from issue.						
d) 🗌	disapproved. See explanation below.						
e) 🔲	entered in part. See explanation below.						
		/AJIT PATEL/					
		Primary Examiner, Art Ur	nit 2644				

U.S. Patent and Trademark Office PTOL-271 (Rev. 04-01)



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 APPLICATION NUMBER
 FILING or 371(c) DATE
 GRP ART UNIT
 FIL FEE REC'D
 ATTY.DOCKET.NO
 TOT CLAIMS IND CLAIMS

 10/911,211
 10/13/2004
 2644
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105481 Rekha Rao 3087 Alexis Drive Palo Alto, CA 94304 CONFIRMATION NO. 7409 CORRECTED FILING RECEIPT



Date Mailed: 08/10/2017

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Sanjay K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Raman K. Rao, Palo Alto, CA;

Applicant(s)

Sanjay K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Raman K. Rao, Palo Alto, CA;

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a DIV of 09/591,381 06/09/2000 PAT 7929950

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: No

Permission to Access Search Results: No

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

page 1 of 3

If Required, Foreign Filing License Granted: 03/09/2005

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 10/911,211** 

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes Early Publication Request: No

\*\* SMALL ENTITY \*\*

Title

Multifunction Mobile Devices and Appliance Control

**Preliminary Class** 

455

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:

#### PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

page 2 of 3

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#### Title 35, United States Code, Section 184

#### Title 37, Code of Federal Regulations, 5.11 & 5.15

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page 3 of 3



### United States Patent and Trademark Office

08/16/2017

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

 APPLICATION NO.
 ISSUE DATE
 PATENT NO.
 ATTORNEY DOCKET NO.
 CONFIRMATION NO.

 10/911.211
 09/05/2017
 9756168
 IPHLNZ00501
 7409

105481 7590

Rekha Rao 3087 Alexis Drive Palo Alto, CA 94304

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

#### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 940 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Sanjay K. Rao, Palo Alto, CA; Sunil K. Rao, Palo Alto, CA; Raman K. Rao, Palo Alto, CA;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)