Paper 32

Date: July 3, 2023

## UNITED STATES PATENT AND TRADEMARK OFFICE

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BEFORE THE PATENT TRIAL AND APPEAL BOARD

SAMSUNG ELECTRONICS CO., LTD., APPLE INC., and SAMSUNG ELECTRONICS AMERICA, INC., Petitioner,

v.

SMART MOBILE TECHNOLOGIES LLC, Patent Owner.

IPR2022-00766 (Patent 8,824,434 B2) IPR2022-00807 (Patent 9,756,168 B1)<sup>1</sup>

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Before KEVIN F. TURNER, HYUN J. JUNG, and PAUL J. KORNICZKY, *Administrative Patent Judges*.

JUNG, Administrative Patent Judge.

ORDER
Setting Oral Argument
37 C.F.R. § 42.70

<sup>1</sup> The parties are not authorized to use this caption in any subsequent filings.



### I. ORAL ARGUMENT

An Order for these proceedings changed the date for oral argument to July 27, 2023, if requested by the parties and granted by the Board. IPR2022-00766, Paper 31; IPR2022-00807, Paper 25. The parties requested oral hearing. IPR2022-00766, Papers 38, 39; IPR2022-00807, Papers 29, 30. The requests for hearing are granted.

#### A. Time and Format

Oral arguments will commence at 1:00 p.m. Eastern Time on July 27, 2023, by video.<sup>2</sup> The Board will provide a court reporter for the hearing, and the reporter's transcript will constitute the official record of the hearing. A separate transcript will be entered for each proceeding.

Petitioner will have forty-five (45) minutes to present argument for each proceeding, for a total of ninety (90) minutes for both proceedings, and Patent Owner will have forty-five (45) minutes to respond in each proceeding, for a total of ninety (90) minutes for both proceedings. Petitioner will open the hearing by presenting its case regarding the challenged claims for which the Board instituted trial. Thereafter, Patent Owner will respond to Petitioner's argument. Petitioner may reserve rebuttal time to respond to arguments presented by Patent Owner. In accordance with the Consolidated Trial Practice Guide<sup>3</sup> ("CTPG"), issued in November 2019, Patent Owner may request to reserve time for a brief surrebuttal. *See* CTPG 83.

<sup>&</sup>lt;sup>3</sup> Available at https://www.uspto.gov/TrialPracticeGuideConsolidated.



<sup>&</sup>lt;sup>2</sup> If there are any concerns about disclosing confidential information, the parties must contact the Board at Trials@uspto.gov at least ten (10) business days before the hearing date.

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Either party may request a pre-hearing conference in advance of the hearing. *See id.* at 82. "The purpose of the pre-hearing conference is to afford the parties the opportunity to preview (but not argue) the issues to be discussed at the hearing, and to seek the Board's guidance as to particular issues that the panel would like addressed by the parties." *Id.* If either party desires a pre-hearing conference, the parties should jointly contact the Board at Trials@uspto.gov by July 17, 2023 to request a conference call for that purpose.

### B. Demonstratives

As set forth in 37 C.F.R. § 42.70(b), demonstratives shall be served on opposing counsel at least seven (7) business days before the hearing date and filed no later than the time of the hearing.<sup>4</sup>

Demonstratives are not a mechanism for making new arguments. Demonstratives also are not evidence, and will not be relied upon as evidence. Rather, demonstratives are visual aids to a party's oral presentation regarding arguments and evidence previously presented and discussed in the papers. Accordingly, demonstratives shall be clearly marked with the words "DEMONSTRATIVE EXHIBIT – NOT EVIDENCE" in the footer. *See Dell Inc. v. Acceleron, LLC*, 884 F.3d 1364, 1369 (Fed. Cir. 2018) (holding that the Board is obligated under its own regulations to dismiss untimely argument "raised for the first time during oral argument"). "[N]o new evidence may be presented at the oral

<sup>&</sup>lt;sup>4</sup> The parties may stipulate to an alternative schedule for serving and filing demonstratives, and request that the Board modify the schedule for filing and serving demonstratives at least seven (7) business days before the hearing date.



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argument." CTPG 85; see also St. Jude Med., Cardiology Div., Inc. v. The Bd. of Regents of the Univ. of Mich., IPR2013-00041, Paper 65, 2–3 (PTAB Jan. 27, 2014) (explaining that "new" evidence includes evidence already of record but not previously discussed in any paper of record).

Furthermore, because of the strict prohibition against the presentation of new evidence or arguments at a hearing, it is strongly recommended that each demonstrative include a citation to a paper in the record, which allows the Board to easily ascertain whether a given demonstrative contains "new" argument or evidence or, instead, contains only that which is developed in the existing record.

Due to the nature of the Board's consideration of demonstratives and the opportunity afforded for the parties to reach an agreement without involving the Board, the Board does not anticipate that objections to demonstratives are likely to be sustained. Nevertheless, to the extent that a party objects to the propriety of any demonstrative, the parties shall meet and confer in good faith to resolve any objections to demonstratives prior to filing the objections with the Board. If such objections cannot be resolved, the parties may file any objections to demonstratives with the Board no later than the time of the hearing. The objections shall identify with particularity which portions of the demonstratives are subject to objection (and should include a copy of the objected-to portions) and include a one (1) sentence statement of the reason for each objection. No argument or further explanation is permitted. The Board will consider any objections, and may



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reserve ruling on the objections.<sup>5</sup> Any objection to demonstratives that is not timely presented will be considered waived.

Finally, the parties are reminded that each presenter should identify clearly and specifically each paper (e.g., by slide or screen number for a demonstrative) referenced during the hearing to ensure the clarity and accuracy of the court reporter's transcript and for the benefit of all participants appearing electronically.

## C. Presenting Counsel

The Board generally expects lead counsel for each party to be present at the hearing. *See* CTPG 11. Any counsel of record may present the party's argument as long as that counsel is present by video.

Patent Owner requested in IPR2022-00807 "permission for its lead counsel to not attend oral argument in the interest of preserving costs, as Patent Owner's lead counsel is not expected to present oral argument." IPR2022-00807, Paper 30, 1. Patent Owner, instead, should confer with Petitioner, and, if Petitioner does not oppose, Patent Owner may file a revised mandatory notice to designate a new lead counsel that can be present at the hearing.

## D. Video Hearing Details

To facilitate planning, each party must contact the Board at PTABHearings@uspto.gov at least five (5) business days prior to the hearing date to receive video set-up information. As a reminder, all arrangements and the expenses involved with appearing by video, such as

<sup>&</sup>lt;sup>5</sup> If time permits, the Board may schedule a conference call with the parties to discuss any filed objections.



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