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|  | First Named Inventor Pavid Astely et al. |  |
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| Signature | /Edward M. Roney/ | Date (YYYY-MM-DD) | 2016-11-14 |
| :--- | :--- | :--- | :--- |
| Name/Print | Edward M. Roney | Registration Number | 62048 |

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| Application Number: |  |
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| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE- <br> ADVANCED |
| First Named Inventor/Applicant Name: | David Astely |
| Filer: | Edward Milton Roney/Kenyatta Upchurch |
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# PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED 

## RELATED APPLICATION

[0001] This application is a continuation of U.S. Patent Application No. 12/896,993, filed October 4, 2010, now U.S. Patent No. 9,497,004, issued November 15, 2016, claiming the benefit of U.S. Provisional Patent Application 61/248,661, filed October 5, 2009, all of which the contents are hereby incorporated by reference as if fully set forth below.

## TECHNICAL FIELD

[0002] The present invention relates generally to carrier aggregation in a mobile communication system and, more particularly, to an efficient resource allocation for the physical uplink control channel for carrier aggregation.

## BACKGROUND

[0003] Carrier aggregation is one of the new features being discussed for the next generation of Long Term Evolution (LTE) systems, which is being standardized as part of LTE Release 10 (known as LTE-Advanced). LTE Rel 8 currently supports bandwidths up to 20 MHz . In LTE-Advanced, bandwidths up to 100 MHz will be supported. The very high data rates contemplated for LTE-Advanced will require an expansion of the transmission bandwidth. In order to maintain backward compatibility with LTE Rel-8 user terminals, the available spectrum is divided into Rel- 8 compatible chunks called component carriers. Carrier aggregation enables the needed bandwidth expansion by allowing user terminals to transmit data over multiple component carriers comprising up to 100 MHz of spectrum. Carrier aggregation also ensures efficient use of a wide carrier for legacy terminals by making it possible for legacy terminals to be scheduled in all parts of the wideband LTE-Advanced carrier.
[0004] The number of aggregated component carriers, as well as the bandwidth of the individual component carrier, may be different for Uplink (UL) and Downlink (DL). A symmetric configuration refers to the case where the number of component carriers in downlink and uplink is the same. An asymmetric configuration refers to the case where the number of component carriers is different. The number of component carriers
configured for a geographic cell area may be different from the number of component carriers seen by a terminal. A user terminal, for example, may support more downlink component carriers than uplink component carriers, even though in the geographic cell area the same number of uplink and downlink component carriers is offered by the network.
[0005] One consideration for carrier aggregation is how to transmit control signaling from the user terminal on the uplink from the user terminal. Uplink control signaling may include acknowledgement (ACK) signaling for hybrid automatic repeat request (HARQ) protocols, channel state and quality information (CSI, CQI) reporting for downlink scheduling, and scheduling requests (SRs) indicating that the user terminal needs uplink resources for uplink data transmissions. One solution is to transmit the uplink control information on multiple uplink component carriers associated with different downlink component carriers. However, this option is likely to result in higher user terminal power consumption and a dependency on specific user terminal capabilities. It may also create implementation issues due to inter-modulation products, and may lead to generally higher complexity for implementation and testing.

## SUMMARY

[0006] The invention provides a signaling mechanism for efficient transmission of control information in a communication system using carrier aggregation. The signaling mechanism allows the transmission, on a single uplink component carrier, of control information associated with downlink transmissions on multiple aggregated downlink component carriers. Semi-statically reserved resources for the transmission of control information on the uplink component carrier may be dynamically shared by user terminals that are assigned multiple downlink component carriers for downlink transmissions. Implicit or explicit resource indication can be used in combination with dynamic resource indication.
[0007] One exemplary embodiment of the invention comprises a method implemented by a base station of receiving control information from user terminals. The method comprises scheduling downlink transmissions to said user terminal on one or more downlink component carriers; if the user terminal is scheduled to receive downlink transmissions on a first single downlink component carrier, receiving control information associated with the downlink transmissions to the user terminal on a first set of radio
resources on a uplink primary component carrier associated with said first downlink component carrier; and if the user terminal is scheduled to receive downlink transmissions on a second single downlink component carrier or multiple downlink component carriers, receiving control information associated with the downlink transmissions to the user terminal on a second set of radio resources on the uplink primary component carrier.
[0008] Another exemplary embodiment of the invention comprises a base station for transmitting data to one or more user terminals. The base station comprises a transmitter to transmit user data on one or more downlink component carriers to a user terminal; and a controller to schedule downlink transmissions to the user terminal. The controller is configured to schedule downlink transmissions to the user terminal on one or more downlink component carriers; if the user terminal is scheduled to receive downlink transmissions on a first single downlink component carrier, receive control information associated with the downlink transmissions to the user terminal on a first set of radio resources on a uplink primary component carrier associated with said first downlink component carrier; and, if the user terminal is scheduled to receive downlink transmissions on a second single downlink component carrier or multiple downlink component carriers, receive control information associated with the downlink transmissions to the user terminal on a second set of radio resources on the uplink primary component carrier..
[0009] Another exemplary embodiment of the invention comprises a method of transmitting control information implemented by a user terminal in a mobile communication network. The method comprises receiving an assignment of radio resources for downlink transmissions from a base station; transmitting control information associated with the downlink transmissions on a first set of radio resources on an uplink component carrier if an assignment of single downlink component carrier for the downlink transmission is received; and transmitting control information associated with the downlink transmissions on a second set of radio resources on the uplink component carrier if an assignment of multiple downlink component carriers for the downlink transmission is received.
[0010] Another exemplary embodiment of the invention comprises a user terminal configured to send control information associated with downlink transmissions on one or more downlink component carriers. The user terminal comprises a receiver to receive
downlink transmissions from a base station; a transmitter to transmit control information associated with the downlink transmission to a base station; and a controller to select radio resources for transmission of control information associated with the downlink transmissions. The controller is configured to select a first set of radio resources on an uplink component carrier if an assignment of a single downlink component carrier for the downlink transmission is received; and select a second set of radio resources on the uplink component carrier if an assignment of multiple downlink component carriers for the downlink transmission is received.
[0011] Another exemplary embodiment of the invention comprises an alternate method of transmitting control information implemented by a user terminal in a mobile communication network. The method comprises receiving an assignment of radio resources for a downlink transmissions from a base station; transmitting control information associated with the downlink transmission on a first set of radio resources on an uplink component carrier if an assignment of a first downlink component carrier for the downlink transmission is received; and transmitting control information associated with the downlink transmission on a second set of radio resources on the uplink component carrier if an assignment of a second downlink component carrier for the downlink transmission is received.
[0012] Another exemplary embodiment of the invention comprises a user terminal configured to send control information associated with downlink transmissions on one or more downlink component carriers. The user terminal comprises a receiver to receive downlink transmissions from a base station; a transmitter to transmit control information associated with the downlink transmission to a base station; and a controller to select radio resources for transmission of control information associated with the downlink transmission. The controller is configured to select a first set of radio resources on an uplink component carrier if an assignment of a first downlink component carrier for the downlink transmission is received; and select a second set of radio resources on the uplink component carrier if an assignment of a second downlink component carrier for the downlink transmission is received.

## BRIEF DESCRIPTION OF THE DRAWINGS

[0013] Fig. 1 illustrates an exemplary OFDM communication system.
[0014] Fig. 2 illustrates an exemplary time-frequency grid for an OFDM system.
[0015] Fig. 3 illustrates an exemplary time-domain structure for an OFDM system.
[0016] Fig. 4 illustrates uplink L1/L2 control signaling transmission on PUCCH.
[0017] Fig. 5 illustrates the PUCCH format 1 using a normal cyclic prefix.
[0018] Fig. 6 illustrates the PUCCH format 2 using a normal cyclic prefix.
[0019] Fig. 7 illustrates an exemplary allocation of resource blocks for PUCCH.
[0020] Fig. 8 illustrates the concept of carrier aggregation.
[0021] Fig. 9 illustrates an exemplary method implemented by a base station of receiving control information from user terminals scheduled on a single carrier and multiple carriers.
[0022] Fig. 10 illustrates an exemplary method implemented by a user terminal of signaling control information to a base station.
[0023] Fig. 11 illustrates another exemplary method implemented by a user terminal of signaling control information to a base station.
[0024] Fig. 12 illustrates an exemplary base station with a controller for controlling downlink transmissions by the base station to one or more user terminals and associated transmissions of uplink control information by the user terminals
[0025] Fig. 13 illustrates an exemplary user terminal with a controller for controlling transmission of uplink control information to a base station.

## DETAILED DESCRIPTION

[0026] Referring now to the drawings, Fig. 1 illustrates an exemplary mobile communication network 10 for providing wireless communication services to user terminals 100. Three user terminals 100 are shown in Fig. 1. The user terminals 100 may comprise, for example, cellular telephones, personal digital assistants, smart phones, laptop computers, handheld computers, or other devices with wireless communication capabilities. The mobile communication network 10 comprises a plurality of geographic cell areas or sectors 12. Each geographic cell area or sector 12 is served by a base station 20, which is referred to in LTE as a NodeB or Enhanced NodeB (eNodeB). A single base station 20 may provide service in multiple geographic cell areas or sectors 12. The user terminals 100 receive signals from a serving base
station 20 on one or more downlink (DL) channels, and transmit signals to the base station 20 on one or more uplink (UL) channels.
[0027] For illustrative purposes, an exemplary embodiment of the present invention will be described in the context of a Long-Term Evolution (LTE) system. Those skilled in the art will appreciate, however, that the present invention is more generally applicable to other wireless communication systems, including Wideband Code-Division Multiple Access (WCDMA) and WiMax (IEEE 802.16) systems.
[0028] LTE uses Orthogonal Frequency Division Multiplexing (OFDM) in the downlink and Discrete Fourier Transform (DFT) spread OFDM in the uplink. The basic LTE downlink physical resource can be viewed as a time-frequency grid. Fig. 2 illustrates a portion of an exemplary OFDM time-frequency grid 50 for LTE. Generally speaking, the time-frequency grid 50 is divided into one millisecond subframes. Each subframe includes a number of OFDM symbols. For a normal cyclic prefix (CP) length, suitable for use in situations where multipath dispersion is not expected to be extremely severe, a subframe comprises fourteen OFDM symbols. A subframe comprises twelve OFDM symbols if an extended cyclic prefix is used. In the frequency domain, the physical resources are divided into adjacent subcarriers with a spacing of 15 kHz . The number of subcarriers varies according to the allocated system bandwidth. The smallest element of the time-frequency grid 50 is a resource element. A resource element comprises one OFDM subcarrier during one OFDM symbol interval.
[0029] In LTE systems, data is transmitted to the user terminals over a downlink transport channel known as the Physical Downlink Shared Channel (PDSCH). The PDSCH is a time and frequency multiplexed channel shared by a plurality of user terminals. As shown in Fig. 3, the downlink transmissions are organized into 10 ms radio frames. Each radio frame comprises ten equally-sized subframes. For purposes of scheduling users to receive downlink transmissions, the downlink time-frequency resources are allocated in units called resource blocks (RBs). Each resource block spans twelve subcarriers (which may be adjacent or distributed across the frequency spectrum) and one 0.5 ms slot (one half of one subframe). The term "resource block pair" refers to two consecutive resource blocks occupying an entire one millisecond subframe.
[0030] The base station 20 dynamically schedules downlink transmissions to the user terminals based on channel state and quality information (CSI, CQI) reports from the
user terminals on the Physical Uplink Control Channel (PUCCH) or Physical Uplink Shared Channel (PUSCH). The CQI and CSI reports indicate the instantaneous channel conditions as seen by the receiver. In each subframe, the base station 20 transmits downlink control information ( DCl ) identifying the user terminals that have been scheduled to receive data (hereinafter the scheduled terminals) in the current downlink subframe and the resource blocks on which the data is being transmitted to the scheduled terminals. The DCI is typically transmitted on the Physical Downlink Control Channel (PDCCH) in the first 1, 2, or 3 OFDM symbols in each subframe.
[0031] Hybrid Automatic Repeat Request (HARQ) is used to mitigate errors that occur during transmission of data on the downlink. When the base station 20 indicates that a user terminal 100 is scheduled to receive a transmission on the PDSCH, the user terminal 100 decodes the PDSCH and transmits an acknowledgement (ACK/NACK message to base station 20 on the PUCCH or PUSCH. The acknowledgement message informs the base station 20 whether the data packet was correctly received by the user terminal 100. The acknowledgement message could be either a positive acknowledgement (ACK) indicating a successful decoding or a negative acknowledgement (NACK) message indicating a decoding failure. Based on the acknowledgement message received from the user terminal 100, base station 20 determines whether to transmit new data (ACK received) or to retransmit the previous data (NACK received).
[0032] For uplink transmissions, the user terminals transmit scheduling requests (SRs) to the base station 20 on the PUCCH when the user terminals have data to send but no valid uplink grant. The base stations 20 allocate uplink resources responsive to the scheduling requests and transmit a scheduling grant to the user terminal 100 on the PDCCH. When the data is received, the base station 20 transmits ACK/NACK signaling to the user terminal 100 on the Physical Hybrid Automatic Repeat Request Indicator Channel. (PHICH) to indicate whether the data is received correctly.
[0033] If the user terminal 100 has not been assigned an uplink resource for data transmission, the L1/L2 control information (CQI reports, ACK/NACKs, and SRs) is transmitted in uplink resources (resource blocks) specifically assigned for uplink transmission of L1/L2 control information on the Physical Uplink Control Channel (PUCCH). As illustrated in Fig. 4, these resources are located at the edges of the total available cell bandwidth. Each PUCCH resource comprises of one resource block
(twelve subcarriers) within each of the two slots of an uplink subframe. Frequency hopping is used to provide frequency diversity. The frequency of the resource blocks alternate at the slot boundary, with one resource block at the upper part of the spectrum within the first slot of a subframe and an equally sized resource block at the lower part of the spectrum during the second slot of the subframe, or vice versa. If more resources are needed for the uplink L1/L2 control signaling, e.g., in case of very large overall transmission bandwidth supporting a large number of users, additional resources blocks can be assigned adjacent the previously assigned resource blocks.
[0034] The reasons for locating the PUCCH resources at the edges of the overall available spectrum are two-fold. First, the allocation maximizes the frequency diversity, particularly when frequency hopping is employed. Second, the allocation avoids fragmentation of the uplink spectrum, which would make it impossible to assign very wide transmission bandwidths to a single user terminal 100 and still retain the singlecarrier property of the uplink transmission.
[0035] The bandwidth of one resource block during one subframe is too large for the control signaling needs of a single user terminal 100. Therefore, to efficiently exploit the resources set aside for control signaling, multiple user terminals can share the same resource block. This is done by assigning the different terminals different orthogonal phase rotations of a cell-specific length-12 frequency-domain sequence. A linear phase rotation in the frequency domain is equivalent to applying a cyclic shift in the time domain. Thus, although the term "phase rotation" is used herein, the term cyclic shift is sometimes used with an implicit reference to the time domain.
[0036] The resource used by a PUCCH is therefore not only specified in the timefrequency domain by the resource-block pair, but also by the phase rotation applied. Similarly to the case of reference signals, there are up to twelve different phase rotations specified in the LTE standard, providing up to twelve different orthogonal sequences from each cell-specific sequence. However, in the case of frequency-selective channels, not all the twelve phase rotations can be used if orthogonality is to be maintained. Typically, up to six rotations are considered usable in a cell.
[0037] There are two message formats defined for transmission of control information on the PUCCH, each capable of carrying a different number of bits. A user terminal 100 uses $P U C C H$ format 1 to transmit HARQ acknowledgements and scheduling requests. For CQI reporting, the user terminal 100 uses PUCCH format 2.
[0038] Hybrid-ARQ acknowledgements are used to acknowledge the reception of one (or two in case of spatial multiplexing) transport blocks in the downlink. Scheduling requests are used to request resources for uplink data transmission. A scheduling request is transmitted only when the user terminal 100 is requesting resources, otherwise the user terminal 100 stays silent in order to save battery resources and not create unnecessary interference. For scheduling requests, no explicit information bit is transmitted. Instead, the user terminal requests uplink resources by the presence (or absence) of energy on the corresponding PUCCH. Although HARQ acknowledgements and scheduling requests serve different purposes, they share the same PUCCH format. This format is referred to as PUCCH format 1 in the specifications
[0039] Fig. 5 illustrates the structure of a PUCCH format 1 message. The PUCCH format 1 uses the same structure in each of the two slots of a subframe. For transmission of a HARQ acknowledgement, the single HARQ acknowledgement bit is used to generate a BPSK symbol (in case of downlink spatial multiplexing the two acknowledgement bits are used to generate a QPSK symbol). For a scheduling request, on the other hand, the BPSK/QPSK symbol is replaced by a constellation point treated as negative acknowledgement at the base station 20 . The modulation symbol is then used to generate the signal to be transmitted in each of the two PUCCH slots.
[0040] A PUCCH format 1 resource, used for either a HARQ acknowledgement or a scheduling request, is represented by a single scalar resource index. From the index, the phase rotation and the orthogonal cover sequence is derived. For HARQ transmission, the resource index to use for transmission of the HARQ acknowledgement is given implicitly by the DCI transmitted on the PDCCH to schedule the downlink transmission to the user terminal 100. Thus, the resources to use for an uplink HARQ acknowledgement vary dynamically and depend on the DCI used to schedule the user terminal 100 in each subframe.
[0041] In addition to dynamic scheduling based on the DCI transmitted by the base station on the PDCCH, it is also possible to semi-persistently schedule a user terminal 100 according to a specific pattern. In this case the configuration information indicating the semi-persistent scheduling pattern includes information on the PUCCH index to use for the HARQ acknowledgements. The configuration information also informs the user terminal 100 which PUCCH resources to use for transmission of scheduling requests.
[0042] The PUCCH resources are split into two parts: a semi-static part and a dynamic part. The semi-static part of the PUCCH resources is used for scheduling requests and HARQ acknowledgements from semi-persistent users. The amount of resources used for the semi-static part of PUCCH 1 resources does not vary dynamically. The dynamic part is used for dynamically scheduled user terminals. As the number of dynamically scheduled terminals varies, the amount of resources used for the dynamic PUCCHs varies.
[0043] Channel-status reports are used to provide the base station 20 with an estimate of the channel conditions as seen by the user terminal 100 in order to aid channeldependent scheduling. A channel-status report consists of multiple bits per subframe. PUCCH format 1, which is capable of at most two bits of information per subframe, can not be used for this purpose. Transmission of channel-status reports on the PUCCH is instead handled by PUCCH format 2, which is capable of multiple information bits per subframe.
[0044] PUCCH format 2, illustrated for normal cyclic prefix in Fig. 6, is based on a phase rotation of the same cell-specific sequence as format 1 . Similarly to format 1 , a format 2 resource can be represented by an index from which the phase rotation and other quantities necessary are derived. The PUCCH format 2 resources are semi-statically configured.
[0045] Both PUCCH format 1 and format 2 signaling messages are transmitted on a resource-block pair with one resource block in each slot. The resource-block pair is determined from the PUCCH resource index. Thus, the resource-block number to use in the first and second slot of a subframe can be expressed as
[0046] RBnumber(i) $=f($ PUCCH index, $i)$
[0047] where $i$ is the slot number ( 0 or 1 ) within the subframe and $f$ a function found in the specification.
[0048] Multiple resource-block pairs can be used to increase the control-signaling capacity. When one resource-block pair is full, the next PUCCH resource index is mapped to the next resource-block pair in sequence. The mapping is done such that PUCCH format 2 (channel-status reports) is transmitted closest to the edges of the uplink cell bandwidth with the semi-static part of PUCCH format 1 next and finally the
dynamic part of PUCCH format 1 in the innermost part of the bandwidth as shown in Fig. 7.
[0049] Three semi-statically parameters are used to determine the resources to use for the different PUCCH formats:
[0050] . $N_{\mathrm{RB}}^{(2)}$, provided as part of the system information, controls on which resource-block pair the mapping of PUCCH format 1 starts
[0051] . $N_{\text {PUCCH }}^{(1)}$ controls the split between the semi-static and dynamic part of PUCCH format 1
[0052] - $N_{C S}^{(1)}$ controls the mix of format 1 and format 2 in one resource block. In most cases, the configuration is done such that the two PUCCH formats are mapped to separate sets of resource blocks, but there is also a possibility to have the border between format 1 and 2 within a resource block.
[0053] In order to support bandwidths greater than 20 MHz , carrier aggregation will be supported in LTE Rel 10. To maintain backward compatibility with Rel 8 user terminals 100, the available spectrum is divided into Rel-8 compatible component carriers (e.g., 20 Mhz component carriers) as shown in Fig. 8. A user terminal 100 can obtain bandwidth up to 100 MHz by transmitting on multiple component carriers. The use of multiple component carriers for data transmission is known as carrier aggregation.
[0054] The number of aggregated component carriers as well as the bandwidth of the individual component carrier may be different for Uplink (UL) and Downlink (DL). A symmetric configuration refers to the case where the number of component carriers in DL and UL are the same. An asymmetric configuration refers to the case where the number of component carriers is different for the UL and DL. The number of component carriers configured for a geographic cell area 12 may be different from the number of component carriers seen by the user terminal 100. A user terminal 100 may, for example, support more DL component carriers than UL component carriers, even though in the geographic cell area 12 the same number of UL and DL component carriers is offered by the network.
[0055] One consideration for carrier aggregation is how to configure the PUCCH for uplink control signaling from the user terminal. One solution is to transmit the uplink control information on multiple control channels on multiple UL component carriers.

However, this option is likely to result in higher user terminal power consumption and a dependency on specific user terminal capabilities. It may also create implementation issues due to inter-modulation products, and may lead to generally higher complexity for implementation and testing.
[0056] According to some embodiments of the present invention, the PUCCH resources on a single uplink component carrier are used to support downlink transmissions on several downlink component carriers. With this approach, a user terminal 100 transmit HARQ signaling associated with downlink transmissions on two or more downlink component carriers on PUCCH resources on a single uplink component carrier. Similarly, a single uplink component carrier may be used to support uplink transmissions on several uplink component carriers. For example, a user terminal 100 may use PUCCH resources on a single uplink component carrier to request uplink resources on multiple uplink component carriers. The uplink component carrier on which PUCCH resources are used to support downlink or uplink transmissions on two or more component carriers is referred to herein as uplink primary component carrier (UL PCC) or uplink associated with the primary cell (PCell).
[0057] For HARQ signaling, a straight-forward approach would be to increase the PUCCH resources on the UL PCC for PUCCH format 1 by a factor of $N$, where $N$ is the number of aggregated downlink component carriers supported. However, consideration should be given to the typical expected use case. Not all user terminals 100 will be scheduled to receive downlink transmission on multiple downlink component carriers. The number of downlink component carriers used for transmission will be user terminal specific and will vary dynamically as user terminals 100 are scheduled. With bursty data-transmission, the number of user terminals 100 simultaneously assigned resources on several downlink carriers is expected to be rather small. Multiple downlink component carriers are only needed when there are not enough resources on a single component carrier, and there appears to be no benefits from assigning several smaller transport blocks on multiple downlink component carriers for a large number of user terminals 100. Therefore, the design of the ACK/NACK feedback on PUCCH should be optimized for a low number of simultaneous user terminals 100 with assignments on multiple downlink component carrier.
[0058] Considering that the typical use case is a rather small number of user terminals 100 simultaneously assigned resources on multiple downlink component carriers,
increasing the overhead with a factor of $N$ is probably not necessary. Rather, the amount of resources should be chosen in anticipation on the number of user terminals 100 that simultaneously are expected to have assignments on multiple downlink component carriers, which is expected to be scenario and implementation dependent. This could be achieved by configuring a set of uplink resources upon which the currently scheduled user terminal(s) 100 using multiple component carriers transmit the ACK/NACK feedback.
[0059] According to a first approach, a set of shared PUCCH resources of potentially configurable size, in addition to PUCCH resources according to LTE Rel-8, is allocated for HARQ acknowledgements by user terminals 100 which receive downlink assignments on multiple downlink component carriers. The resource set and/or the size of the resource set can be transmitted to the user terminal by Radio Resource Control (RRC) signaling. With this approach, the UL PCC contains PUCCH resources according to LTE Rel-8 for HARQ acknowledgements from user terminals 100 assigned resources for downlink transmission on a single downlink component carrier associated with the UL PCC. The shared PUCCH resource would be used by user terminals 100 which receive resource assignments for downlink transmission on multiple downlink component carriers. There may be some circumstances, such as retransmissions, when the user terminal 100 is assigned resources on a single downlink component carrier that is different from the downlink component carrier associated with the UL PCC. In such a case the shared set of PUCCH resources can also be used for such "cross-carrier" HARQ acknowledgements.
[0060] According to a second approach, a set of shared PUCCH resources of potentially configurable size, in addition to PUCCH resources according to LTE Rel-8, is allocated for HARQ acknowledgements by user terminals 100 which receive downlink assignments on at least one downlink component carrier other than the downlink component carrier having associated Rel- 8 resources on the UL PCC. The resource set and/or the size of the resource set can be transmitted to the user terminal by RRC signaling.
[0061] With either of the above approaches, the set of shared PUCCH resources may be made visible to the user terminal 100 in the same way as for LTE Rel-8 user terminals 100, namely in the form of an association rule between the DL PDCCH CCE and index to PUCCH resource. Thus, from a system perspective, the two sets of

PUCCH resources could overlap or be interleaved. In principle, a user terminal 100 could be configured with semi-static PUCCH resources for HARQ acknowledgements and then use these resources for HARQ acknowledgements in case of multiple DL component carrier assignments. By configuring all the user terminals 100 in the cell to have the same semi-static ACK/NACK resources, such a scheme would allow for assigning at most a single user terminal multiple DL component carrier at the same time. When there is no need for HARQ acknowledgements of multiple carriers, the resource could of course be used for data transmission. The user terminals 100 could select which shared PUCCH resources to use based on component carrier, DL PDDCH CCE, C-RNTI and other parameters. There is though a risk for collisions or scheduling constraints, and to reduce this, one could consider having a dynamic indicator to aid the selection of PUCCH resource. The dynamic indication allows managing the ACK/NACK resources more carefully which is of interest when the amount of resources reserved for HARQ acknowledgements is small and orthogonality is desired.
[0062] In one exemplary embodiment, semi-static PUCCH resources are reserved for user terminals 100 configured with multiple downlink component carriers. The assignment of PUCCH resources can be achieved by implicit indication of actual resource block, e.g., utilizing CCE index, number of the downlink component carriers, RNTI or a combination of these parameters. Alternatively, reserved PUCCH resources can be indicated explicitly via signaling to the user terminal 100 (e.g., RRC signaling), or by a combination of implicit and explicit signaling. Additionally, dynamic indication of PUCCH resources for HARQ acknowledgements can be done by using additional relative or explicit dynamic indication to select actual PUCCH resources out of the set of implicit/explicitly reserved (e.g., semi-statically reserved) resources. For example, the base station 20 may send as a control message or part of a control message, an indicator, referred to herein as an acknowledgement resource indication (ARI), comprising a single bit to indicate that the user terminal 100 should use the next available PUCCH resource or the next cyclically available PUCCH resource from the set of semi-statically reserved PUCCH resources. In some embodiments, the ARI may comprise the entire control message. In other embodiment, the ARI may be included as an information element in a larger control message. Alternatively, the base station 20 can send a multi-bit ARI to indicate the actual PUCCH resource out of the set of semistatically reserved PUCCH resources.
[0063] There may be at least two different mappings to PUCCH resources on the UL PCC. A first resource mapping may be used for HARQ acknowledgements of downlink transmissions on a single designated downlink component carrier, and a second mapping for HARQ acknowledgements of downlink transmissions on at least one other downlink component carrier. The two mappings may be described by parameters, such as first resource and size of resource set that are configurable by means of higher layer signaling. The user terminal 100 may, based on the detected downlink assignments on one or several downlink component carriers, select one of the two mappings. In a preferred embodiment, the first mapping coincides with the Rel-8 mapping rules for ACK/NACK resources.
[0064] The user terminal 100 may, depending on the detected downlink assignments, and the downlink component carriers on which the downlink assignment was sent, select which mapping to use. Two approaches may be used by the user terminal 100 to select the mapping of radio resources for uplink control signaling. In the first approach, the user terminal 100 selects a first mapping if downlink assignment of a single downlink component carrier is detected and the downlink assignment is sent on the associated downlink component carrier. The user terminal 100 selects a second mapping if it detects at least one downlink assignment for at least one downlink component carrier different from the single associated downlink component carrier (for which there are Rel8 ACK/NACK resources). In a second approach, the user terminal selects a mapping depending on the number of component carriers it detects for downlink assignments for downlink transmissions.
[0065] Fig. 9 illustrates an exemplary method 50 implemented by a base station 20 in a communication network 10 of receiving uplink control information from a user terminal 100 depending ion the assignment of downlink component carriers. The base station 20 schedules the user terminal 100 to receive downlink transmissions on one or more downlink component carriers (block 52). The user terminal 100 may be scheduled to receive downlink transmissions on a single downlink component carrier associated with a primary uplink component carrier. In this case, the base station 20 receives control information associated with the downlink transmissions to the user terminal 100 on a first set of radio resources on the uplink primary component carrier (block 54). Alternatively, the user terminal 100 may be scheduled to receive downlink transmissions on multiple downlink component carriers, or on a single downlink component carrier other than the
downlink component carrier associated with the uplink primary component carrier. In this alternative case, the base station 20 receives uplink control information associated with the downlink transmissions from the user terminal 100 on a second set of radio resources on the uplink component carrier (block 56).
[0066] Fig. 10 illustrates an exemplary method 60 implemented by a user terminal of transmission of uplink control signaling to a base station 20. The user terminal 100 receives a radio resource assignment for a downlink transmission from the base station 20 (block 62). If the user terminal 100 detects assignments of radio resources for a single downlink component carrier, the user terminal 100 transmits, on a first set of radio resources on an uplink primary component carrier, uplink control information associated with the downlink transmissions (block 64). On the other hands, if the user terminal 100 receives assignments for multiple downlink component carriers, the user terminal 100 transmits, on a second set of radio resources on the uplink primary component carrier, uplink control information associated with downlink transmissions (block 66).
[0067] Fig. 11 illustrates another exemplary method 70 implemented by a user terminal 100 of transmission of uplink control signaling to a base station 20 . The user terminal 100 receives a radio resource assignment for a downlink transmission from the base station 20 (block 72). If the user terminal 100 detects assignments of radio resources for a first downlink component carrier, the user terminal 100 transmits, on a first set of radio resources on a uplink primary component carrier, uplink control information associated with the downlink transmissions (block 74). On the other hands, if the user terminal 100 receives assignments for a second downlink component carrier, the user terminal 100 transmits, on a second set of radio resources on the primary uplink component carrier, uplink control information associated with downlink transmissions (block 76).
[0068] Fig. 12 illustrates an exemplary base station 20 according to the present invention. The base station 20 comprises a transceiver 22 for communicating with user terminals and processing circuit 32 for processing the signals transmit and received by the transceiver 22. The transceiver 22 includes a transmitter 24 coupled to one or more transmit antennas 28 and receiver 26 coupled to one or more receive antennas 30. The same antenna(s) 28,30 may be used for both transmission and reception. The processing circuit 32 may be implemented by one or more processors, hardware, firmware or a combination thereof. Typical functions of the processing circuit 32 include modulation and coding of transmitted signals, and the demodulation and decoding of
received signals. The processing circuit 32 also includes a controller 34 for controlling the operation of the base station 20. The controller 34 is responsible for transmission of downlink control information on the PDCCH, and for the processing of uplink control information received on the PUCCH.
[0069] Fig. 13 illustrates a functional block diagram of an exemplary user terminal 100. The user terminal 100 comprises a transceiver 110 and a processing circuit 120. The transceiver 110 comprises a transmitter 112 coupled to one or more transmit antennas 114, and a receiver 116, coupled to one or more receive antennas 118. Those skilled in the art will appreciate that the same antennas may be used for transmission and reception. The processing circuit 120 processes signals transmitted and received by the transceiver 110. The processing circuit 120 comprises one or more processors, hardware, firmware, or a combination thereof. Typical functions of the processing circuit 120 include modulation end coding of transmitted signals, and the demodulation and decoding of received signals. The processing circuit 120 includes a controller 122 for controlling uplink transmissions and the reception of downlink transmissions. The controller 122 generates uplink control information for transmission on the PUCCH, and processes downlink control information received on the PDCCH as previously described.
[0070] The invention provides means for efficient transmission of PUCCH on one component carrier corresponding to multiple downlink component carriers, without creating implementation problems in the user terminal or being over-dimensioned and therefore inefficient.
[0071] The present invention may, of course, be carried out in other specific ways than those herein set forth without departing from the scope and essential characteristics of the invention. The present embodiments are, therefore, to be considered in all respects as illustrative and not restrictive, and all changes coming within the meaning and equivalency range of the appended claims are intended to be embraced therein.

## CLAIMS

What is claimed is:

1. A method implemented by a base station of receiving control information from a user terminal, the method comprising:
scheduling downlink transmissions to a first user terminal on a single downlink component carrier associated with a primary cell and scheduling downlink transmissions to a second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell;
receiving, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and
receiving, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
2. The method of claim 1, wherein the first and second sets of radio resources are different.
3. The method of claim 2 , wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
4. The method of claim 1, further comprising transmitting control information to the first user terminal to explicitly indicate the first set of radio resources on the uplink component carrier associated with the primary cell.
5. The method of claim 1, further comprising providing the first user terminal with an implicit indication to dynamically assign radio resources in said first set of radio resources.
6. The method of claim 5, wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) used for scheduling the first user terminal.
7. The method of claim 1, further comprising transmitting control information to the second user terminal on a downlink component carrier to implicitly or explicitly indicate the second set of radio resources on the uplink component carrier associated with the primary cell.
8. The method of claim 7, wherein at least one of the first and second sets of radio resources is indicated explicitly by an uplink control channel resource index.
9. The method of claim 8 , wherein an explicit indication related to the second set of radio resources is transmitted as radio resource control signaling.
10. The method of claim 1 , further comprising transmitting, on the single downlink component carrier, an indication to assign radio resources in the second set of radio resources when the second user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell
11. The method of claim 10, wherein the indication to assign radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources to the second user terminal when the second user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
12. The method of claim 11, wherein the acknowledgement resource indication selects radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources.
13. The method of claim 1, further comprising:
receiving control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.
14. The method of claim 1, further comprising:
if the first user terminal is scheduled to receive downlink transmissions on a second single downlink component carrier associated with a non-primary cell, receiving control information associated with the downlink transmissions to the first user terminal on the second set of radio resources on the uplink component carrier associated with the primary cell, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on the second single downlink component carrier.
15. The method of claim 1, wherein the first user equipment is the same as the second user equipment.
16. The method of claim 1, wherein the first user equipment is different from the second user equipment.
17. A base station comprising:
a transmitter to transmit user data on one or more downlink component carriers to a first user terminal and a second user terminal; and a controller to schedule downlink transmissions to the first user terminal and the second user terminal, the controller configured to:
schedule downlink transmissions to the first user terminal on a single downlink component carrier associated with a primary cell and schedule downlink transmissions to the second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell;
receive, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and receive, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
18. A method implemented by a user terminal of transmitting control information in a mobile communication network, the method comprising:
receiving an assignment of radio resources for downlink transmissions from a base station; transmitting, on a first set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and transmitting, on a second set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmission, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
19. The method of claim 18 , wherein the first and second sets of radio resources are different.
20. The method of claim 19, wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
21. The method of claim 18, further comprising receiving control information from the base station explicitly indicating the first set of radio resources on the uplink component carrier associated with the primary cell.
22. The method of claim 21, wherein said receiving the control information comprises receiving an uplink control channel resource index explicitly indicating said first set of radio resources.
23. The method of claim 22, wherein an explicit indication relating to the second set of radio resources is received as radio resource control signaling.
24. The method of claim 18, further comprising receiving an implicit indication to dynamically assign radio resources in said first set of radio resources.
25. The method of claim 24 , wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) on which the assignment of radio resources for downlink transmissions is received.
26. The method of claim 18, further comprising receiving, on the single downlink component carrier, an indication to assign radio resources in the second set of radio resources when the user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
27. The method of claim 26 , wherein the indication to assign radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources in when the user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
28. The method of claim 27, further comprising selecting radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources, responsive to the acknowledgement resource indication.
29. The method of claim 18 , further comprising:
transmitting control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a nonprimary cell are assigned for the downlink transmissions.
30. A user terminal for mobile communications, the user terminal comprising: a receiver to receive downlink transmissions from a base station; a transmitter to transmit control information associated with the downlink transmission to a base station; and a controller to select radio resources for transmission of control information associated with the downlink transmissions, the controller configured to: select a first set of radio resources responsive to being assigned radio resources on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and select a second set of radio resources responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmissions, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.


#### Abstract

Systems and methods of signaling uplink control information in a mobile communication network using carrier aggregation are provided. In one exemplary embodiment, a method may include scheduling downlink transmissions to a first user terminal on a single downlink component carrier (CC) associated with a primary cell and scheduling downlink transmissions to a second user terminal on multiple downlink CCs or on a downlink CC associated with a non-primary cell. Further, the method may include receiving, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal. In addition, the method may include receiving, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal.




FIG. 1

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FIG. 2


FIG. 3


FIG. 4

Onekwo bis rybridARQ acknowledgement


One BPSK/QPSK symbot


FIG. 5


FIG. 6


FIG. 7

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FIG. 8


FIG. 9


FIG. 10


FIG. 11

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FIG. 12


FIG. 13

IPR2022-00648

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|  |  | Application Number |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |  |
| The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. <br> This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application. |  |  |  |

## Secrecy Order 37 CFR 5.2:

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

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## Application Information:

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| Subject Matter | Utility |  |  |  |
| Total Number of Drawing Sheets (if any) |  | 12 | Suggested Figure for Publication (if any) |  |


| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | $4015-9600$ / P30138-US3 |
| :--- | :--- | :--- | :--- |
|  | Application Number |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |

## Filing By Reference:

Only complete this section when filing an application by reference under 35 U.S.C. 111 (c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53 (b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

| Application number of the previously <br> filed application | Filing date (YMY-MM-DD) | Intellectual Property Authority or Country |
| :--- | :--- | :--- | :--- |
|  |  |  |

## Publication Information:

Request Early Publication (Fee required at time of Request 37 CFR 1.219)
Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

## Representative Information:

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32).
Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

|  |  |  |  |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :---: | :---: | :---: |
| Please Select One: | $\bullet$ Customer Number | US Patent Practitioner | Limited Recognition (37 CFR 11.9) |  |  |  |  |
| Customer Number | 44112 |  |  |  |  |  |  |

## Domestic Benefit/National Stage Information:

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, 365(c), or 386(c) or indicate National Stage entry from a PCT application. Providing benefit claim information in the Application Data Sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.
When referring to the current application, please leave the "Application Number" field blank.


| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | $4015-9600$ / P30138-US3 |
| :--- | :--- | :--- |
|  | Application Number |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |


| Prior Application Status | Expired | Remove <br> Application Number |  |
| :--- | :--- | :--- | :--- |
| Continuity Type | Prior Application Number | Filing or 371(c) Date <br> (YYYY-MM-DD) |  |
| $12 / 896993$ | Claims benefit of provisional | $61 / 248661$ | $2009-10-05$ |
| Additional Domestic Benefit/National Stage Data may be generated within this form <br> by selecting the Add button. | $\square$ |  |  |

## Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55 . When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX) the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55 (i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

| Application Number | Country ${ }^{\text {i }}$ | Filing Date (YYYY-MM-DD) |  |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
| Additional Foreign Priority Data may be generated within this form by selecting the Add button. |  |  | Add |

## Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March $\square$ 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78 , this application, with a filing date on or after March 16,2013 , will be examined under the first inventor to file provisions of the AIA.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | $4015-9600$ / P30138-US3 |
| :--- | :--- | :--- |
|  | Application Number |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |

## Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph $B$ in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant must opt-out of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is ONLY reviewed and processed with the INITIAL filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

## 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)

A. Priority Document Exchange (PDX) - Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
B. Search Results from U.S. Application to EPO - Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

## 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)

A. Applicant DOES NOT authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
B. Applicant DOES NOT authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.
NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | $4015-9600 /$ P30138-US3 |
| :--- | :--- | :--- |
|  | Application Number |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |

## Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.



## Assignee Information including Non-Applicant Assignee Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

| Application Data Sheet 37 CFR 1.76 |  | Attorney Docket Number | $4015-9600 /$ P30138-US3 |
| :--- | :--- | :--- | :--- |
|  | Application Number |  |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |  |

## Assignee 1

Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.


Mailing Address Information For Assignee including Non-Applicant Assignee:

| Address 1 |  |  |  |  |
| :--- | :--- | :--- | :--- | :--- |
| Address 2 |  |  |  |  |
| City |  |  |  |  |
| Country I |  |  | State/Province |  |
| Phone Number |  | Fostal Code |  |  |
| Email Address |  |  |  |  |
| Additional Assignee or Non Non <br> selecting the Add button. |  |  |  |  |

## Signature:

NOTE: This Application Data Sheet must be signed in accordance with 37 CFR 1.33(b). However, if this Application Data Sheet is submitted with the INITIAL filing of the application and either box A or B is not checked in subsection 2 of the "Authorization or Opt-Out of Authorization to Permit Access" section, then this form must also be signed in accordance with 37 CFR 1.14(c).

This Application Data Sheet must be signed by a patent practitioner if one or more of the applicants is a juristic entity (e.g., corporation or association). If the applicant is two or more joint inventors, this form must be signed by a patent practitioner, all joint inventors who are the applicant, or one or more joint inventor-applicants who have been given power of attorney (e.g., see USPTO Form PTO/AIA/81) on behalf of all joint inventor-applicants.

See 37 CFR 1.4(d) for the manner of making signatures and certifications.

| Signature | (Edward M. Roney/ |  |  | Date (YYYY-MM-DD) | 2016-11-14 |
| :---: | :---: | :---: | :---: | :---: | :---: |
| First Name | Edward M. | Last Name | Roney | Registration Number | \|62048 |
| Additional Signature may be generated within this form by selecting the Add button. |  |  |  |  | d |


| Application Data Sheet 37 CFR 1.76 | Attorney Docket Number | $4015-9600 /$ P30138-US3 |
| :--- | :--- | :--- | :--- |
|  | Application Number |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |

This collection of information is required by 37 CFR 1.76. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 23 minutes to complete, including gathering, preparing, and submitting the completed application data sheet form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1 The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552 ) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.

3 A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the PatentCooperationTreaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181 ) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14 , as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of David Astely et al. |  |
| :---: | :---: |
|  |  |
| Serial No.: TBD |  |
|  | Examiner: |
| Filed: TBD |  |
|  | Group Art Unit: |
| For: PUCCH Resource Allocation for Carrier <br> Aggregation in LTE-Advanced | Confirmation No. |
| Aggregation in LTE-Advanced | Conirmation No. |
| Attorney's Docket No: 4015-9600 / P30138-US3 |  |
|  |  |
| MS AMENDMENT |  |
| Commissioner for Patents |  |
| P.O. Box 1450 |  |
| Alexandria, VA 22313-1450 |  |

## INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application. The references in the attached listing were previously submitted in parent application Serial No. 12/896,993 and relied upon under 35 USC 120. Copies of these references are not furnished with this listing as they were previously submitted and considered in the parent application. Also attached is Form PTO/SB/08A for the Examiner's convenience in making such consideration of record. Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art. Each item of information contained in the information disclosure statement:
$\square$ was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by an individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement; or
$\square$ is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement

இ No statement re Patent Term Adjustment (PTA).
The Commissioner is hereby authorized to charge any fees that may be required or credit any overpayment to Deposit Account 18-1167.

Respectfully submitted, COATS \& BENNETT, P.L.L.C.

Dated: November 14, 2016


Edward M. Roney
Registration No.: 62,048
Telephone: (919) 854-1844


IPR2022-00648
UNI'TED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS
Alexandria
www uspto gov
www uspto.gov

| APPLICATIONNUMBER | FLING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
| :---: | :---: | :---: | :---: |
| $15 / 350,360$ | $11 / 14 / 2016$ | David Astely | $4015-9600 /$ P30138-US3 |

CONFIRMATION NO. 1120
24112
COATS \& BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518

Date Mailed: 11/22/2016

## INFORMATIONAL NOTICE TO APPLICANT

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63 , or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A properly executed inventor's oath or declaration has not been received for the following inventor(s):

David Astely
Robert Baldemair
Dirk Gerstenberger
Daniel Larsson
Lars Lindbom
Stefan Parkvall
Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.
/sgorems/


24112
COATS \& BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518
Date Mailed: 11/22/2016

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

| Inventor(s) |  |
| :--- | :--- |
|  | David Astely, Bromma, SWEDEN; |
|  | Robert Baldemair, Solna, SWEDEN; |
|  | Dirk Gerstenberger, Stockholm, SWEDEN; |
|  | Daniel Larsson, Stockholm, SWEDEN; |
|  | Lars Lindbom, Karlstad, SWEDEN; |
| Applicant(s) | Stefan Parkvall, Bromma, SWEDEN; |
|  | Telefonaktiebolaget LM Ericsson (publ), Stockholm, SWEDEN; |

Power of Attorney: None
Domestic Priority data as claimed by applicant
This application is a CON of 12/896,993 10/04/2010 PAT 9497004
which claims benefit of $61 / 248,661$ 10/05/2009
Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see http://www.uspto.gov for more information.) - None.
Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

Permission to Access Application via Priority Document Exchange: Yes
Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

## If Required, Foreign Filing License Granted: 11/2 1/2016

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US $15 / 350,360$

Projected Publication Date: 03/02/2017
Non-Publication Request: No
Early Publication Request: No
Title
PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED

## Preliminary Class

370
Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process simplifies the filing of patent applications on the same invention in member countries, but does not result in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific page 2 of 4
countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

# LICENSE FOR FOREIGN FILING UNDER 

Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, $5.11 \& 5.15$

## GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14 .

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury ( 31 CFR Parts 500+) and the Department of Energy.

## NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12 , if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## SelectUSA

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop
technology, manufacture products, deliver services, and grow your business, visit http://www. SelectUSA.gov or call +1-202-482-6800.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT <br> ( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |
| :--- | :--- | :--- |
| Filing Date | 2016-11-14 |
| First Named Inventor | Pavid Astely et al. |
| Art Unit | 2414 |
| Examiner Name | MD K Talukder |
| Attorney Docket Number | 4015-9600 / P30138_US3 |



| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <br> ( Not for submission under 37 CFR 1.99) | Application Number |  | 15350360 |
| :---: | :---: | :---: | :---: |
|  | Filing Date |  | 2016-11-14 |
|  | First Named Inve | ntor ${ }^{\text {D }}$ | David Astely et al. |
|  | Art Unit |  | 2414 |
|  | Examiner Name |  | MD K Talukder |
|  | Attorney Docket Number |  | 4015-9600 / P30138_US3 |


|  | $\begin{aligned} & \text { TEX } \\ & \text { 3RD } \\ & \text { UC } \\ & \text { XPO } \end{aligned}$ | TEXAS INSTRUMENTS: "Dynamic ACK/NAK Channelization on PUCCH", 3GPP DRAFT; R1-081375-DACKNAK, 3RD GENERATION PARTNERSHIP PROJECT (3GPP), MOBILE COMPETENCE CENTRE; 650, ROUTE DES UUCIOLES; F-06921 SOPHIA-ANTIPOLIS CEDEX; FRANCE, vol. RAN WG1, no. Shenzhen, China; March 27, 2008, XP050109796. |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| If you wish to add additional non-patent literature document citation information please click the Add button |  |  |  | Add |  |
| EXAMINER SIGNATURE |  |  |  |  |  |
| Examiner Signature |  |  | Date Considered |  |  |

${ }^{1}$ See Kind Codes of USPTO Patent Documents at www. USPTO.GOV or MPEP 901.04. ${ }^{2}$ Enter office that issued the docurnent, by the two-letter code (WIPO Standard ST.3). ${ }^{3}$ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ${ }^{4}$ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ${ }^{5}$ Applicant is to place a check mark here it English language translation is attached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |
| :---: | :---: |
| Filing Date | 2016-11-14 |
| First Named Inventor | David Astely et al. |
| Art Unit | 2414 |
| Examiner Name | MD K Talukder |
| Attorney Docket Number | 4015-9600 / P30138_US3 |

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication
$\times$ from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

## OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
$\times$ See attached certification statement.
The fee set forth in 37 CFR 1.17 ( $p$ ) has been submitted herewith.
A certification statement is not submitted herewith.

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | IEdward M. Roney/ | Date (YYYY-MM-DD) | $2016-12-30$ |
| :--- | :--- | :--- | :--- |
| Name/Print | Edward M. Roney | Registration Number | 62048 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Electronic Acknowledgement Receipt |  |
| :---: | :---: |
| EFS ID: | 27936232 |
| Application Number: | 15350360 |
| International Application Number: |  |
| Confirmation Number: | 1120 |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |
| First Named Inventor/Applicant Name: | David Astely |
| Customer Number: | 24112 |
| Filer: | Edward Milton Roney/Kenyatta Upchurch |
| Filer Authorized By: | Edward Milton Roney |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |
| Receipt Date: | 30-DEC-2016 |
| Filing Date: | 14-NOV-2016 |
| Time Stamp: | 10:05:10 |
| Application Type: | Utility under 35 USC 111(a) |

## Payment information:

| Submitted with Payment |  | no |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File Listing: |  |  |  |  |  |
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 | Transmittal Letter | $\underset{\text { pdf }}{\text { P30138_US3_IDS_Cover_Ltr. }}$ | 99798 | no | 1 |
|  |  |  |  |  |  |
| Warnings: |  |  |  | R2022 | 00648 |


| Information: |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | Information Disclosure Statement (IDS) Form (SB08) | $\begin{gathered} \text { P30138_US3_Supplemental_ID } \\ \text { S.pdf } \end{gathered}$ |  | no | 4 |
| Warnings: |  |  |  |  |  |
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| A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems. |  |  |  |  |  |
| 3 | Non Patent Literature | P30138_US3_R1-081375.pdf | 232573 | no | 4 |
|  |  |  |  |  |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| Total Files Size (in bytes) : |  |  | 1367815 |  |  |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. |  |  |  |  |  |
| New Applications Under 35 U.S.C. 111 |  |  |  |  |  |
| If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. |  |  |  |  |  |
| National Stage of an International Application under 35 U.S.C. 371 |  |  |  |  |  |
| If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. |  |  |  |  |  |
| New International Application Filed with the USPTO as a Receiving Office |  |  |  |  |  |
| If a new international application is being filed and the international application includes the necessary components fo an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. |  |  |  |  |  |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Astely et al.
Serial No.: 15/350,360
Filed: November 14, 2016
For: PUCCH Resource Allocation for Carrier Aggregation in LTE-Advanced

Examiner:
Group Art Unit: 2414
Confirmation No.: 1120

Attorney's Docket No: 4015-9600 / P30138-US3

MS AMENDMENT
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application. Also attached is Form $\mathrm{PTO} / \mathrm{SB} / 08 \mathrm{~A}$ for the Examiner's convenience in making such consideration of record. Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art. Each item of information contained in the information disclosure statement:
$\boxtimes$ was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by an individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement; or
is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement

No statement re Patent Term Adjustment (PTA).
The Commissioner is hereby authorized to charge any fees that may be required or credit any overpayment to Deposit Account 18-1167.

Respectfully submitted, COATS \& BENNETT, P.L.L.C.


Dated: December 30, 2016
Edward M. Roney
Registration No.: 62,048
Telephone: (919) 854-1844

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT <br> ( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |
| :---: | :---: |
| Filing Date | 2016-11-14 |
| First Named Inventor | Pavid Astely et al. |
| Art Unit | 2648 |
| Examiner Name M | Md K. Talukder |
| Attorney Docket Number | P30138-US3 / 4015-9600 |



| INFORMATION DISCLOSURE STATEMENT BY APPLICANT <br> ( Not for submission under 37 CFR 1.99) | Application Number |  | 15350360 |
| :---: | :---: | :---: | :---: |
|  | Filing Date |  | 2016-11-14 |
|  | First Named Inve | entor ${ }^{\text {D }}$ | David Astely et al. |
|  | Art Unit |  | 2648 |
|  | Examiner Name |  | Md K. Talukder |
|  | Attorney Docket Number |  | P30138-US3 / 4015-9600 |


|  | 1 HUA | HUAWEI, PUCCH design for carrier aggregation, 3GPP TSG RAN WG1 Meeting \#58 R1-093046, 3GPP, August 24, 2009. |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| If you wish to add additional non-patent literature document citation information please click the Add button |  |  |  | Add |  |
| EXAMINER SIGNATURE |  |  |  |  |  |
| Examiner Signature |  |  | Date Considered |  |  |

${ }^{1}$ See Kind Codes of USPTO Patent Documents at www. USPTO.GOV or MPEP 901.04. ${ }^{2}$ Enter office that issued the docurnent, by the two-letter code (WIPO Standard ST.3). ${ }^{3}$ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ${ }^{4}$ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ${ }^{5}$ Applicant is to place a check mark here i English language translation is attached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |
| :---: | :---: |
| Filing Date | 2016-11-14 |
| First Named Inventor | David Astely et al. |
| Art Unit | 2648 |
| Examiner Name | Md K. Talukder |
| Attorney Docket Number | P30138-US3 / 4015-9600 |

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OR
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See attached certification statement.
The fee set forth in 37 CFR 1.17 ( $p$ ) has been submitted herewith.
A certification statement is not submitted herewith.

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /Edward M. Roney/ | Date (YYYY-MM-DD) | $2016-01-08$ |
| :--- | :--- | :--- | :--- |
| Name/Print | Edward M. Roney | Registration Number | 62048 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Electronic Acknowledgement Receipt |  |
| :---: | :---: |
| EFS ID: | 28002178 |
| Application Number: | 15350360 |
| International Application Number: |  |
| Confirmation Number: | 1120 |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |
| First Named Inventor/Applicant Name: | David Astely |
| Customer Number: | 24112 |
| Filer: | Edward Milton Roney/Kenyatta Upchurch |
| Filer Authorized By: | Edward Milton Roney |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |
| Receipt Date: | 08-JAN-2017 |
| Filing Date: | 14-NOV-2016 |
| Time Stamp: | 10:21:08 |
| Application Type: | Utility under 35 USC 111(a) |

## Payment information:

| Submitted with Payment |  | no |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File Listing: |  |  |  |  |  |
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | $\begin{gathered} \text { Multi } \\ \text { Part /.zip } \end{gathered}$ | Pages (if appl.) |
|  |  |  | 99933 |  |  |
| 1 | Transmittal Letter | P30138-US3_IDS_Cover_Ltr.pdf | 3391896 f86b9d2919795d69a26984eab580 a963c | no | 1 |
| Warnings: IPR2022-00648 |  |  |  |  |  |


| Information: |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | Information Disclosure Statement (IDS) Form (SB08) | P30138- <br> US3_Supplemental_IDS.pdf | 1035299 | no | 4 |
|  |  |  |  |  |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems. |  |  |  |  |  |
| 3 | Non Patent Literature | P30138-US3_R1-093046.pdf | 419435 | no | 8 |
|  |  |  |  |  |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| Total Files Size (in bytes): |  |  | 1554667 |  |  |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. |  |  |  |  |  |
| New Applications Under 35 U.S.C. 111 |  |  |  |  |  |
| If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. |  |  |  |  |  |
| National Stage of an International Application under 35 U.S.C. 371 |  |  |  |  |  |
| If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. |  |  |  |  |  |
| New International Application Filed with the USPTO as a Receiving Office |  |  |  |  |  |
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of David Astely et al.
Serial No.: 15/350,360
Filed: November 14, 2016
For: PUCCH Resource Allocation for Carrier Aggregation in LTE-Advanced

Examiner:
Group Art Unit: 2414
Confirmation No.: 1120

Attorney's Docket No: 4015-9600 / P30138-US3

MS AMENDMENT
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application. Also attached is Form $\mathrm{PTO} / \mathrm{SB} / 08 \mathrm{~A}$ for the Examiner's convenience in making such consideration of record. Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art. Each item of information contained in the information disclosure statement:
$\square$ was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by an individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement; or
is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement
$\boxtimes$ No statement re Patent Term Adjustment (PTA).
The Commissioner is hereby authorized to charge any fees that may be required or credit any overpayment to Deposit Account 18-1167.

Respectfully submitted, COATS \& BENNETT, P.L.L.C.


Dated: January 8, 2017
Edward M. Roney
Registration No.: 62,048
Telephone: (919) 854-1844


## Title:PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED

Publication No.US-2017-0063506-A1
Publication Date:03/02/2017

## NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.
The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (571) 272-3150 or (800) 972-6382, by facsimile at (571) 273-3250, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

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Please find below and/or attached an Office communication concerning this application or proceeding.
The time period for reply, if any, is set in the attached communication.

| Application No. <br> $15 / 350,360$ |  | Applicants) <br> ASTELY ET AL. |  |
| :--- | :--- | :--- | :---: |
| Examiner <br> MD TALUKDER | Art Unit <br> 2648 | AIA (First Inventor to File) <br> Status <br> No |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address -Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE $\underline{3}$ MONTHS FROM THE MAILING DATE OF
THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133).

Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

1) $\boxtimes$ Responsive to communications) filed on $11 / 14 / 2016$.
$\square$ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on $\qquad$
2a) $\square$ This action is FINAL.
ab) $\boxtimes$ This action is non-final.
2) $\square$ An election was made by the applicant in response to a restriction requirement set forth during the interview on
$\qquad$ ; the restriction requirement and election have been incorporated into this action.
3) $\square$ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.

## Disposition of Claims*

5) $\boxtimes$ Claim (s) $\boldsymbol{1 - 3 0}$ is/are pending in the application.

5a) Of the above claim (s) $\qquad$ is/are withdrawn from consideration.
6) $\square$

Claim (s) $\qquad$ is/are allowed.
7)区 Claim (s) 1 -30 is/are rejected.
8) $\square$ Claim (s) $\qquad$ is/are objected to.
9) $\square$ Claim (s) $\qquad$ are subject to restriction and/or election requirement.

* If any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://wwwiusoto. cov/eatents/init eventsipm/inclex.isp or send an inquiry to PPHfeedeackouspto.cov.


## Application Papers

10) $\square$ The specification is objected to by the Examiner.

11The drawings) filed on $\qquad$ is/are: a) $\square$ accepted or b)objected to by the Examiner. Applicant may not request that any objection to the drawings) be held in abeyance. See 37 CFR 1.85(a). Replacement drawing sheet(s) including the correction is required if the drawings) is objected to. See 37 CFR 1.121 (d).

## Priority under 35 U.S.C. § 119

12) $\square$ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § $119(\mathrm{a})$-(d) or ( f$)$.

Certified copies:
a) $\square$ All b) $\square$ Some ${ }^{* *}$ c) $\square$ None of the:

1. $\square$ Certified copies of the priority documents have been received.
2. $\square$ Certified copies of the priority documents have been received in Application No. $\qquad$ _.
3. $\square$ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
** See the attached detailed Office action for a list of the certified copies not received.

## Attachment (s)

1) $\boxtimes$ Notice of References Cited (PTO-892)
2) $\square$ Interview Summary (PTO-413)

Paper No(s)/Mail Date. $\qquad$
4) $\square$ Other: $\qquad$
2) $\boxtimes$ Information Disclosure Statements) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date $\qquad$ 4) $\square$ Other:

1. The present application is being examined under the pre-AIA first to invent provisions.

## Double Patenting

2. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., In re Berg, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); In re Goodman, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); In re Longi, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); In re Van Ornum, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); In re Vogel, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and In re Thorington, 418 F.2d 528, 163 USPQ 644 (CCPA 1969). A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an non-provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

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Claims 1-30 of instant application are non-provisionally rejected on the ground nonstatutory obviousness-type double patenting as being unpatentable over claims 1-40 of application No. 12/896993 (US Pat. 9497004). Although the conflicting claims are not identical, they are not patentable distinct from each other because both the claims of the instant application and the claims of the copending application are almost the same in scope. Omission of an element and its function in a combination is an obvious expedient if the remaining elements perform the same function as before. In re KARLSON (CCPA) 136 USPQ 184 (1963).

An omission of an element and its function in a combination is an obvious expedient if the remaining elements perform the same function as before. In re KARLSON (CCPA) 136 USPQ 184 (1963).

Claims are not identical, however, the scope of the invention are the same.

| Instant Application (15/350360) | Application (12/896993) |
| :--- | :--- |
| Claim 1: A method implemented by a base station of <br> receiving control information from a user terminal, the <br> method comprising: | Claim 1: A method implemented by a base <br> station of receiving control information from a <br> user terminal, the method comprising: |
| scheduling downlink transmissions to a first user <br> terminal on a single downlink component carrier <br> associated with a primary cell and scheduling <br> downlink transmissions to a second user terminal on <br> multiple downlink component carriers or on a downlink <br> component carrier associated with a non-primary cell; | scheduling downlink transmissions to a first user <br> terminal on a single downlink component carrier <br> associated with a primary cell and a second user <br> terminal on multiple downlink component <br> carriers including the single downlink component <br> carrier associated with the primary cell; |
| receiving, on a first set of radio resources, control <br> information associated with the downlink transmissions <br> to the first user terminal, wherein the first set of <br> radio resources is reserved for user terminals scheduled <br> to receive downlink transmissions on a single downlink <br> component carrier associated with the primary cell; and | receiving control information associated with the <br> downlink transmissions to the first user terminal <br> on a first set of radio resources on an uplink <br> component carrier associated with the primary <br> cell, wherein the first set of radio resources is <br> reserved for user terminals scheduled to receive <br> downlink transmissions on the single downlink <br> component carrier associated with the primary <br> cell; receiving control information associated |

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\(\left.\left.$$
\begin{array}{|l|l|}\hline \begin{array}{l}\text { to the second user terminal, wherein the second set of } \\
\text { radio resources is reserved for user terminals scheduled } \\
\text { to receive downlink transmissions on multiple downlink } \\
\text { component carriers or on a downlink component carrier } \\
\text { associated with a non-primary cell, the first and second } \\
\text { sets of radio resources being on a same uplink } \\
\text { component carrier associated with the primary cell. }\end{array} & \begin{array}{l}\text { with the downlink transmissions to the second } \\
\text { user terminal on a second set of radio resources } \\
\text { on the uplink component carrier associated with } \\
\text { the primary cell, wherein the second set of radio } \\
\text { resources is reserved for user terminals scheduled } \\
\text { to receive downlink transmissions on the multiple } \\
\text { downlink component carriers and the second set } \\
\text { of resources are additional resources as compared } \\
\text { to the first set of resources; }\end{array} \\
\begin{array}{ll}\text { and transmitting, on the single downlink }\end{array} \\
\text { component carrier, an indication to assign radio } \\
\text { resources in the second set of radio resources } \\
\text { when the second user terminal is scheduled to }\end{array}
$$\right\} \begin{array}{l}receive the downlink transmissions on the <br>

multiple downlink component carriers.\end{array}\right\}\)| Claim 17: A base station comprising: |
| :--- |

receive, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
associated with the primary cell;
receive control information associated with the downlink transmissions to the second user terminal on a second set of radio resources on the uplink component carrier associated with the primary cell, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on the multiple downlink component carriers and the second set of resources are additional resources as compared to the first set of resources; and transmit, on the single downlink component carrier, an indication to assign radio resources in the second set of radio resources when the second user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers.
Claim 17: A method implemented by a user terminal of transmitting control information in a mobile communication network, the method comprising:
receiving an assignment of radio resources for downlink transmissions from a base station;
transmitting, on a first set of radio resources on an uplink component carrier associated with a primary cell, control information associated with the downlink transmissions responsive to receiving an assignment of radio resources on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on the single downlink component carrier associated with the primary cell;
transmitting, on a second set of radio resources on the uplink component carrier associated with the primary cell, control information associated with the downlink transmissions responsive to receiving an assignment of radio resources on multiple downlink component carriers including

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scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a nonprimary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.

Claim 30: A user terminal for mobile communications, the user terminal comprising: a receiver to receive downlink transmissions from a base station; a transmitter to transmit control information associated with the downlink transmission to a base station; and
a controller to select radio resources for transmission of control information associated with the downlink transmissions, the controller configured to: select a first set of radio resources responsive to being assigned radio resources on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and
select a second set of radio resources responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a nonprimary cell for the downlink transmissions, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier
the single downlink component carrier associated with the primary cell for the downlink transmissions, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on the multiple downlink component carriers and the second set of resources are additional resources as compared to the first set of resources; and receiving, on the single downlink component carrier, an indication to assign radio resources on the second set of radio resources when the user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers
Claim 24: A user terminal for mobile communications, the user terminal comprising: a receiver to receive downlink transmissions from a base station; a transmitter to transmit control information associated with the downlink transmissions to a base station; and
a controller to select radio resources for transmission of control information associated with the downlink transmissions, the controller configured to: select a first set of radio resources on an uplink component carrier associated with a primary cell responsive to receiving an assignment of radio resources on a single downlink component carrier associated with the primary cell for the downlink transmissions, wherein the first set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on the single downlink component carrier associated with the primary cell;
select a second set of radio resources on the uplink component carrier associated with the primary cell responsive to receiving an assignment of radio resources on multiple downlink component carriers including the single downlink component carrier associated with the primary cell for the downlink transmissions, wherein the second set of radio resources is reserved for user terminals scheduled to receive downlink transmissions on the multiple downlink

| associated with the primary cell. | lomponent carriers and the second set of <br> resources are additional resources as compared to <br> the first set of resources; and receive, on the <br> single downlink component carrier, an indication <br> to assign radio resources on the second set of <br> radio resources when the user terminal is <br> scheduled to receive the downlink transmissions <br> on the multiple downlink component carriers. |
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## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MD TALUKDER whose telephone number is (571)270-3222.

The examiner can normally be reached on Monday to Friday (Alt Friday off) from (9:30 to 4:00).
If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wesley Kim can be reached on 571-272-7867. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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|  | Examiner <br> MD TALUKDER | Art Unit <br> 2648 | Page 1 of 3 |

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| Notice of References Cited | Application/Control No. <br> $15 / 350,360$ | Applicant(s)/Patent Under <br> Reexamination <br> ASTELY ET AL. |  |
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|  | Examiner <br> MD TALUKDER | Art Unit <br> 2648 | Page 2 of 3 |

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${ }^{*}$ A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
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| Notice of References Cited | Application/Control No. <br> $15 / 350,360$ | Applicant(s)/Patent Under <br> Rexamination <br> ASTELY ET AL. |  |
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|  | Examiner <br> MD TALUKDER | Art Unit <br> 2648 | Page 3 of 3 |

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT <br> ( Not for submission under 37 CFR 1.99)

| Application Number |  |  |
| :--- | :--- | :--- |
| Filing Date |  |  |
| First Named Inventor | Pavid Astely et al. |  |
| Art Unit |  |  |
| Examiner Name |  |  |
| Attorney Docket Number |  | $4015-9600$ / P30138-US3 |


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| Application Number |  |
| :--- | :--- |
| Filing Date |  |
| First Named Inventor | David Astely et al. |
| Art Unit |  |
| Examiner Name |  |
| Attorney Docket Number | $4015-9600$ / P30138-US3 |


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| :--- | :--- |
| Filing Date |  |
| First Named Inventor | David Astely et al. |
| Art Unit |  |
| Examiner Name |  |
| Attorney Docket Number | $4015-9600$ / P30138-US3 |


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| 23 | 20130034073 | A1 | 2013-02-07 | Aiba et al. |

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number |  |
| :--- | :--- |
| Filing Date |  |
| First Named Inventor | David Astely et al. |
| Art Unit |  |
| Examiner Name |  |
| Attorney Docket Number | $4015-9600$ / P30138-US3 |


|  | 24 | 20130136084 | A1 | 2013-05-30 | Zhang et al. |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 25 | 20120147847 | A1 | 2012-06-14 | Matsumoto et al. |  | Corresponds to WO2009022474A1 |
| If you wish to add additional U.S. Published Application citation information please click the Add button. Add |  |  |  |  |  |  |  |
|  |  |  | FOREIGN PATENT DOCUMENTS |  |  |  | Remove |
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|  | 1 | 101765208 | CN | A | 2010-06-30 | Huawei Technologies Co., Inc. | Machine Translation Included (in parent case) |
|  | 2 | 2009022474 | WO | A1 | 2009-02-19 | Panasonic Corp. | $\begin{aligned} & \text { Corresponds to } \\ & \text { US2012/0147847A1 } \end{aligned}$ |
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|  | 1 | 3RD GENERATION PARTNERSHIP PROJECT, MOTOROLA (source), "Control Signalling Design for Supporting Carrier Aggregation," 3GPP TSG RANI \#56, R1-090792, Athens, GR, Feb. 9-13, 2009. |  |  |  |  |  |
|  | 2 | 3RD GENERATION PARTNERSHIP PROJECT, ZTE (source), "Uplink Control Channel Design for LTE-Advanced," TSG-RAN WG1 \#58, R1-093209, Shenzhen, China, June 25 - Aug. 29, 2009. |  |  |  |  |  |
|  | 3 | 3RD GENERATION PARTNERSHIP PROJECT, NOKIA, NOKIA SIEMENS NETWORKS (source), "L1 Control Signaling with Carrier Aggregation in LTE-Advanced," 3GPP TSG-RAN WG1 Meeting \#54bis, R1-083730, Prague, Czech Republic, Sept. 29 - October 3, 2008. |  |  |  |  |  |

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number | $15350360-\mathrm{GAU}: 2648$ |  |
| :--- | :--- | :---: |
| Filing Date |  |  |
| First Named Inventor | David Astely et al. |  |
| Art Unit |  |  |
| Examiner Name |  |  |
| Attorney Docket Number | $4015-9600 /$ P30138-US3 |  |


*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

[^2]
# INFORMATION DISCLOSURE STATEMENT BY APPLICANT 

( Not for submission under 37 CFR 1.99)

| Application Number |  |
| :--- | :--- |
| Filing Date |  |
| First Named Inventor | David Astely et al. |
| Art Unit |  |
| Examiner Name |  |
| Attorney Docket Number | $4015-9600 /$ P30138-US3 |

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.
The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
$\times$ A certification statement is not submitted herewith.

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /Edward M. Roney/ | Date (YYYY-MM-DD) | $2016-11-14$ |
| :--- | :--- | :--- | :--- |
| Name/Print | Edward M. Roney | Registration Number | 62048 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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## EAST Search Hist ory

## EAST Search History (Prior Art)

| Ref \# | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| L1 |  | 370/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; IBRWENT; | OR | ON | 2017/03/17 |
| L2 |  | 455/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin ) and (multiple several set) near3 component adj2 carrier | UUS-PGPUB; USPAT; USOCR; IFPRS; IIPORF; JPE IBM_TDB | OR | TON | 2017/03/17 |
| L3 | $178$ | 370/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin ) and (multiple several set) near3 component adj2 carrier | US-PGPUB; USPAT; USOCR; IPRRS; EPO; JPO; IBRWENT; | OR | © ON | :2017/03/17 |
| L4 | $81$ | 370/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin ) and (multiple several set) near3 component adj2 carrier and (primary adj2 cell) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { FRPO; JPO; } \\ & \text { EERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | $\overline{\mathrm{OR}}$ | ON | \|2017/03/17 |
| L5 |  | S"12896993" | US-PGPUB; USPAT; USOCR; IPRSS; IPDO; JPO; URRWENT; IBM_TDB | OR | TON | :2017/03/17 |
| L6 |  | ""9497004" |  | $\mathrm{OR}$ | ON | 2017/03/17 |
| L7 | $3$ | ""12896993" | UUS-PGPUB; USPAT; USOCR; IEPRS; JPO; IBERWENT; BM_TDB | \%R | ON | $\begin{aligned} & 2017 / 03 / 17 \\ & 17: 43 \end{aligned}$ |
| 8 | 1 | ""12896993" | ! ${ }^{\text {] }}$ US-PGPUB; |  | ON IPR | $\begin{aligned} & 2012 / 12 / 10 \\ & 022-0064 \end{aligned}$ |


|  |  |  | $\begin{aligned} & \begin{array}{l} \text { USPAT; } \\ \text { USOCR; } \\ \text { BERWENT; } \\ \hline \end{array} \text { BM_TDB } \\ & \hline \end{aligned}$ |  |  | 177:09 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S2 | 367 | ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. | US-PGPUB USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2012 / 12 / 10 \\ & 19: 04 \end{aligned}$ |
| 53 | 176 | S2 and (radio near3 resource) |  | OR | ON | $\begin{aligned} & 2012 / 12 / 10 \\ & 19: 09 \end{aligned}$ |
| S4 | !28 | S2 and (radio near3 resource) and (component with carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ION | $\begin{aligned} & 2012 / 12 / 10 \\ & 19: 09 \end{aligned}$ |
| S5 | ! 173 | (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) | USS-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & \text { 2012/12/11 } \\ & \hline 09: 04 \end{aligned}$ |
| S6 | 137 | S5 and (scheduling) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 09: 04 \end{aligned}$ |
| S7 | \% 36 | \|"20120263121" | "20110310856" | | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { BERWERENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 09: 15 \end{aligned}$ |
| 58 | 127 | (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) | BUS-PGPUB <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM TDB | OR | ON | $\left.\right\|_{10: 16} ^{2012 / 12 / 11}$ |
| S9 | 2 | ["20110292887" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\left\lvert\, \begin{aligned} & 2012 / 12 / 11 \\ & 11: 17 \end{aligned}\right.$ |
| S11 | 25 | \| ((first 1st) adj6 component adj3 carrier) same (( 1 st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource ! frame) | $\begin{aligned} & \text { USSATPUB; } \\ & \text { USPACR; } \\ & \text { UBRWENT; } \end{aligned}$ | OR | ON | $\left.\right\|_{11: 22} ^{2012 / 12 / 11}$ |
| S12 | 1718 | I((first 1st) adj6 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj 6 (radio resource frame)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWERT; } \\ & \text { UBM TDB } \end{aligned}$ | OR | ON | $\begin{array}{\|l} 2012 / 12 / 11 \\ 11: 47 \end{array}$ |
| S13 | 66 | / (carrier near3 aggregation) and ((first 1st) adj 6 | US-PGPUB; | OR | ON | [2012/12/11 |

IPR2022-00648

|  |  | carrier) same ((1st first) adj 6 (radio resource iframe)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { USPAT; } \\ & \text { USOCR; RWET } \\ & \text { IBM TDB } \end{aligned}$ |  |  | 11:47 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S14 | 10842 | \| $455 / 509,522,456.6,137,103,575 . c c \mid s$. | US-PG PUB USPAT; USOCR; IUERWENT; IBM TIDB | OR | ON | $\begin{aligned} & \text { 2012/12/11 } \\ & 13: 41 \end{aligned}$ |
| S15 | 28232 | [370/329,252,331.ccls. | UUS-PG PUB USPAT; USOCR; IEE RWEEN IBMTTDB | OR | ON | $\frac{2012 / 12 / 11}{13: 41}$ |
| S16 | \$102 | (S14 S15) and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) | USS-PG PUB\| USPAT; USOCR; DERWENT; | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 13: 42 \end{aligned}$ |
| S17 | 1 | ""13140333" | US-PG PUB USPAT; USOCR; DE RWET N |  | ON | $12012 / 12 / 11$ |
| S18 | ${ }^{2}$ | \|"20110310856" | UUS-PG PUB USPAT; USOCR; DE RWET N IBM TDB | OR | ON | $1 \begin{aligned} & 2012 / 12 / 11 \\ & 14: 18 \end{aligned}$ |
| S19 | 38 | " ((first 1st) adj6 component adj3 carrier) same ((radio resource frame)) and ((2nd second) adj6 component adj 3 carrier) same ((2nd second) adj6 (radio resource frame)) | US-PG PUB USPAT; USOCR; DERWENT; IBM TIDB | PR | ON | $12012 / 12 / 11$ |
| 520 | 38 | (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { US-PG PUB\|C } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { LERWENT; } \end{aligned}$ | OR | ON | $1$ |
| 521 | 27 | (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource Iframe) | UUS-PG PUB USPAT; USOCR; UBERWENT; IBM_TDB | OR | ON | $12012 / 12 / 11$ |
| 5 | 38 | I(((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj 6 (radio resource fframe) | US-PGPUB; USPAT; USOCR; IERWENT; IBM_TDB | OR | ON | $12012 / 12 / 11$ |
| S23 | 24 | (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) | $\begin{array}{\|l} \text { US-PGPUB; } \\ \text { USPAT; } \\ \text { USOCR; } \\ \text { IBMET; } \end{array}$ | OR | ON | $1$ |
| S24 | 8 | \|"7551898" | "7649960" | "7656843" | | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBMENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 15: 14 \end{aligned}$ |
| \|S25 | 2 | \|"20110292900" | 3US-PG PUB; |  |  | $\begin{aligned} & 12012 / 12 / 11 \\ & 022-0064 \end{aligned}$ |
| . 15350 | 60_Acces | ibleVersion.htm[3/17/2017 6:03:57 PM] |  | App | EX1 | Page 103 |


|  |  |  | UUSPAT; USOCR; DERWENT: IBM TDB |  |  | /15:36 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S26 | 2 | "20100271970" | UUS-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $15$ |
| S27 | 3 | "8050202" | US-PGPUB; USPAT; USOCR; DERWENT; !IBM TDB | OR | ON | $15$ |
| S28 | 1 | "20120307689" | US-PGPUB; USPAT; USOCR; DERWENT; !IBM TDB | OR | ON | $12012 / 12 / 11$ |
| S29 | 2 | "8160017" | US-PGPUB; USPAT; USOCR; DERWENT; !IBM_TDB | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 15: 48 \end{aligned}$ |
| 530 | 2 | "20100232373" | US-PGPUB; UUSPAT; USOCR; BDERWENT; ! IBM TDB | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 15: 48 \end{aligned}$ |
| 531 | 2 | "20090016278" | US-PGPDB; USPAT; USOCR; IDERWENT; | OR | ON | $17$ |
| 532 | 2 | "8265030" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { UBRWENT; } \end{aligned}$ | OR | ON | $12012 / 12 / 11$ |
| 533 | 3 | "2008139923" | USS-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\sqrt{2012 / 12 / 11} 1$ |
| S34 | 14 | ("20100098012" \| "20100232373" | "20110310856" |"20120020317" | "20120082125" | "20120140708" | "8265030").PN. | US-PGPUB; USPAT: USOCR; BDERWENT; IIBM_TDB | OR | ON | $17: 19$ |
| 535 | 7 | "455"/\$.ccls. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) | US-PGPTB; USPAT; USOCR; IDERWENT; | OR | ON | 2013/05/29 |
| 536 | 9 | "455"/\$.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj 6 component adj 3 carrier) same ((2nd second) adj6 (radio resource frame)) | US-PGPUB; USPAT; USOCR; BDERWENT; !IBM TDB | OR | ON | $2013 / 05 / 29$ |
| S38 | \|4 | \|("20070053294" | "20100290405").PN. | 3US-PGPUB; | OR | ON | 2013/05/30 |
|  |  |  |  |  |  | 022-0064 |
| . 15350 | Sooz | bleVersion.htm[3/17/2017 6:03:57 PM] |  |  |  | 2 Page 10 |


|  |  |  | $\begin{aligned} & \text { \}SPAT; } \\ & \text { UBERWENT; } \\ & \text { IBM TDB } \end{aligned}$ |  |  | 12:42 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S39 | 16 | ("7596114" \| "20050013279" |"20030219028" $=20070217406$ " \| "20020105970" | "20060050664" | "20090303938" | "20070064669").PN. | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2013 / 05 / 30 \\ & 12: 42 \end{aligned}$ |
| S40 | 390 | (first 1st) with (component near2 carrier) with down\$1link | $\begin{aligned} & \text { USPGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 10: 07 \end{aligned}$ |
| S41 | 314 | (first 1st) with (component near2 carrier) with down\$1link and receiv\$3 near3 control near3 information | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 10: 09 \end{aligned}$ |
| S42 | 47 | (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and component adj carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 29 \end{aligned}$ |
| S43 | 26 | S42 and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 31 \end{aligned}$ |
| S44 | 5 | (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 46 \end{aligned}$ |
| S45 | 26 | (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { LERWENT; } \end{aligned}$ | OR | ON | $\sqrt{2013 / 06 / 17} 12: 47$ |
| S46 | 31 | (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 49 \end{aligned}$ |
| S47 | 30 | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBMWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 51 \end{aligned}$ |
| S48 | 30 | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 52 \end{aligned}$ |
| S49 | S | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $12$ |
| S50 | 31 | @ad<"20091005" and (second other another | \%US-PGPUB; | OR | ON | 2013/06/17 |


|  |  | \|2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) |  |  |  | 12:55 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S51 | 1 | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) |  | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 56 \end{aligned}$ |
| S52 | 20 | (second other another 2nd) near3 (radio adj resource) and (carrier adj component) | $\begin{aligned} & \text { \}S-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 13: 31 \end{aligned}$ |
| S53 | 16 | (set near3 radio near3 resource) same component adj carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | 2013/06/17 |
| 554 | 27 | (set near3 ((radio near3 resource) (resource adj block))) same component adj carrier | US-PGPUB; USPAT; USOCR; IERWENT; IBM TDB | OR | ON | 2013/06/17 |
| S55 | 755 | (((radio near3 resource) (resource adj block))) same component adj carrier | US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | :2013/06/17 |
| S56 | 70 | f(second 2nd other) with ((radio near3 resource) (resource adj block))) same component adj carrier | US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | O | ON | :14013/06/17 |
| S57 | \|327 | ! (((radio near3 resource) (resource adj block))) same component adj carrier and (schedul\$3 near3 downlink reverse) | UUS-PGPUB; USPAT; USOCR; IERWENT; BM_TDB | OR | ON | 14:27 |
| S58 | 29 | [(second 2nd other) with ((radio near3 resource) (resource adj block))) same component adj carrier and (schedul\$3 near3 down\$1link reverse\$1link) | USS-PGPUB; USPAT; USOCR; IERWENT; IBM TDB | OR | ON | $\text { : } 2013 / 06 / 17$ |
| S59 | 24 | ((second 2nd other) with ((radio near3 resource) (resource adj block))) same (component adj carrier) same (down\$1link reverse\$1link) | US-PGPUB; USPAT; USOCR; IERWENT; BM TDB | OR | ON | 2013/06/17 |
| S60 | 10 | $\mid$ \|"20090097447" | "20110081856" | "20090116427" | "20100232373" | "8331307").PN. | iUS-PGPUB; ? USPAT; USOCR; UERWENT; IBM TDB | OR | ON | :2013/06/17 |
| S61 | -2562 | (schedul\$3 near3 downlink) and ((radio adj resource) (resource adj block)) and component | ?US-PGPUB; USPAT; USOCR; IDERWENT; IBM TDB | OR | ON | $\left\{\begin{array}{l} 2013 / 06 / 17 \\ 15: 16 \end{array}\right.$ |
| S62 | \%739 | \|(schedul\$3 near3 downlink) and ((radio adj | \{\} ${ }^{\text {S }}$ US-PGPUB; | OR | ON | \|2013/06/17 |

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|  |  | Iresource) (resource adj block)) and component adj carrier | \}USPAT; UUSOCR; IDERWENT; IBM_TDB |  |  | 15:17 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 563 | 259 | (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) and component adj carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { BERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $12013 / 06 / 17$ |
| 564 | 39 | (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (component adj carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $1 \begin{aligned} & 2013 / 06 / 17 \\ & 15: 18 \end{aligned}$ |
| 565 | 1 | \| @ad<"20091005" and (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (component adj carrier) | US-PGPBB; USPAT; USOCR; IDERWENT; | OR | ON | $12013 / 06 / 17$ |
| S66 | 1 | @ad<"20091005" and (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) | US-PGPUB; USPAT; USOCR; IERWENT; | OR | ON | $1 / 2013 / 06 / 17$ |
| S67 | 47 | (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) | $\begin{aligned} & \text { USSPGPUB; } \\ & \text { USOCR; } \\ & \text { SERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $1$ |
| 568 | [356 | " 455 "/\$.ccls. and ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IIBM TDB | OR | ON | $17: 10$ |
| 570 | 19 | " 455 "/ $\$$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj 6 carrier) same ((2nd second) adj6 (radio resource frame)) | US-PGPUB; <br> UUSPAT; <br> USOCR; <br> DERWENT; <br> IBM_TDB | OR | ON | $12013 / 06 / 17$ |
| S71 | 0 | )("2013/0107855").URPN. | USPAT | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 09: 15 \end{aligned}$ |
| 572 | 0 | [("2013/0107855' ').URPN. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 09: 16 \end{aligned}$ |
| 573 | [408 | set near3 (radio frequency) near2 (resource iband) same downlink and component | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { GSPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 109: 18 \end{aligned}$ |
| S74 | [17 | set near3 (radio frequency) near2 (resource band) same downlink same (component adj carrier) | US-PGPUB; | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 09: 19 \end{aligned}$ |
| S75 | 19 | \|(set group Cluster) near3 (radio frequency) Inear2 (resource band) same downlink same I( (component adj carrier) | US-PGPUB; | OR | ON | $2013 / 06 / 18$ |
| S76 | 12 | \|"("8457060"| "20110310819"| "20100271970"| | USS-PGPUB; | OR | ON | 2013/06/18 |
| 577 | 200 | (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) | US-PGPUB; USPAT | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 10: 37 \end{aligned}$ |


| S78 | 2911 | (UL up\$link) with (set group) near6 (radio resource) | $\begin{aligned} & \text { UUS-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 10: 38 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S79 | 110 | 577 and 578 | $\begin{aligned} & \text { UUSPGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 10: 38 \end{aligned}$ |
| 580 | 3 | (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (set group) near6 (radio resource) with (2nd second other another) near2 component | US-PGPUB; | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 10: 47 \end{aligned}$ |
| S81 | 28 | (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (component near3 carrier) | US-PGPUB; | OR | ON | 2013/06/18 |
| 582 | 5 | (DL down $\$$ link) with ( 1 st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (second 2nd) near3 (component near3 carrier) | US-PGPUB; | OR | ON | $\left\lvert\, \begin{array}{l\|} \hline 2013 / 06 / 18 \\ 11: 20 \end{array}\right.$ |
| 583 | 4 | (1st first first primary initia) near3 (set group) near6 (radio resource) with (DL down\$link) near3 (component near3 carrier) | US-PGPUB; USPAT | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 13: 50 \end{aligned}$ |
| S84 | 3 | (set group) near6 (radio resource) with (2nd second other another) near6 (DL down\$link) near3 (component near3 carrier) | US-PGPUB; | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 13: 52 \end{aligned}$ |
| 585 | 42 | (set group) near6 (radio resource) with (DL down\$link) near3 (component near3 carrier) | US-PGPUB; USPAT | OR | ON | $12013 / 06 / 18$ |
| 586 | 30 | (set group) near3 ((radio resource)(resource near2 block)) with (DL down\$link) near3 ( (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{array}{\|l\|} \hline 2013 / 06 / 18 \\ 14: 07 \end{array}$ |
| S87 | 2 | (second 2nd) near3 (down\$1 link DL) with ( (component near3 carrier) CC) same (set group) with ((radio near2 resource) (resource neaar2 block)) | US-PGPUB; | OR | ON | $\left\lvert\, \begin{aligned} & 2013 / 06 / 14 \\ & 14: 14 \\ & \hline \end{aligned}\right.$ |
| 588 | 21 | reserv\$3 with component near3 carrier and (second near2 (radio frequency band)) | $\begin{aligned} & \text { \}USPGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\left\lvert\, \begin{aligned} & 2013 / 06 / 25 \\ & 15: 31 \end{aligned}\right.$ |
| 589 | 36 | "739528" | US-PGPUB; USPAT; USOCR; IBMETDB; | OR | ON | $\begin{array}{\|l\|} 2013 / 06 / 26 \\ 09: 34 \end{array}$ |
| 590 | 30 | "5754138" | $\begin{aligned} & \text { USSPGPB; } \\ & \text { USPACR; } \\ & \text { UERWENT; } \\ & \text { UBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 26 \\ & 09: 35 \end{aligned}$ |
| 591 | 2046 | (carrier near3 aggregation) and up\$1link with down\$1link | $\begin{aligned} & \text { USSAGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { BMM TDB } \end{aligned}$ | OR | ON | $\left\lvert\, \begin{aligned} & 2013 / 06 / 26 \\ & 10: 24 \end{aligned}\right.$ |
| 592 | 1052 | (carrier near3 aggregation) and (component near3 carrier) same up\$1link with down\$1link | US-PGPPB; USPAT; USOCR; IERWENT; | OR | ON | $\left\lvert\, \begin{aligned} & 2013 / 06 / 26 \\ & 10: 26 \end{aligned}\right.$ |
| 593 |  | (carrier near3 aggregation) and (component near3 carrier) same up\$1link with associat\$3 | US-PGPUB; UUSPAT; | OR | ${ }_{\text {IP }}$ | $\begin{aligned} & 2013 / 06 / 26 \\ & 10: 27 \\ & 2022-00648 \end{aligned}$ |
|  | _Acce | leVersion.htm[3/17/2017 6:03:57 PM] | Apple EX1002 Page 108 |  |  |  |


|  |  |  | $\begin{aligned} & \text { USOCR; } \\ & \text { BERWENT; } \\ & \text { BM TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S95 | 17 | ("370"/\$.ccls "455"/\$.ccls.) and (aggregation) and (CC (component near3 carrier)) same up\$1link with associat\$3 with down\$1link | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | \|2013/06/26 |
| S96 | 67 | : $370 / 329,341,348,395.4 . c c l s$. and (carrier near3 aggregation) and (component near3 carrier) same up\$1link with associat\$3 with down\$1link | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | 15:26 |
| S97 | $345368$ | schedule (DL (down adj link) down\$1link) and (carrier near3 aggregation) and ((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) | US-PGPUB; USPAT; USOCR; IERWENT; BM_TDB | OR | ON | $\begin{aligned} & 2013 / 06 / 26 \\ & 16: 45 \end{aligned}$ |
| S98 | 9 | schedule near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) | US-PGPUB; USPAT; USOCR; IBMENT; | OR | ON | $\begin{aligned} & 2013 / 06 / 26 \\ & 16: 46 \end{aligned}$ |
| S99 | -35 | (schedule allocat\$4) near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBMTDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 26 \\ & 16: 48 \end{aligned}$ |
| S100 | 0 | (1st first) near3 (radio band resource frequency) with (1st first) near3 (CCcomponent adj carrier) | US-PGPUB; USPAT; USOCR; IBMWETDB; | OR | ON | $\left\{\begin{array}{l} 2013 / 06 / 26 \\ 17: 14 \end{array}\right.$ |
| S101 | 216 | (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | 2013/06/26 |
| S102 | 43 | (1st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) | US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | 2013/06/26 |
| S103 | !22 | :\|"20100142455" | "20120009923" | | $\begin{aligned} & \text { \}S-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | on:57 |
| S104 | 10 | \|"20100254329" | "20100195624" | |"20100023282" | "20090274100" | |"20080316957").PN. " " | \}US-PGPUB; USPAT; USOCR; DERWENT; BM_TDB | OR | ON | 2013/06/27 |
| S105 | $\$ 50$ | :\|"20100322173" |"20110081913" | | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ |  | ON | \|2014/04/22 |

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| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S106 | $13348$ | (H04W88/08, H04W72/044, H04W72/042).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \hline \text { BM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 40 \end{aligned}$ |
| S107 | 4330 | [(H04W52/367, H04W52/12, H04W52/40).cpc. | \}US-PGPUB; USPAT; USOCR; BERWENT; ! | OR | ON | 2014/04/22 |
| S108 | $4200$ | $]^{(H 04 L 29 / 08657, ~ G 01 S 5 / 0252, ~ G 01 S 5 / 02) . c p c . ~}$ | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DSOCR; } \\ & \text { DERWE; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | 2014/04/22 |
| S109 | 3823 | i(H04B1/3833, H04M1/0247, H04M1/0237).cpc. | USS-PGPUB; USPAT; USOCR; DERWENT; \|BM_TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 44 \end{aligned}$ |
| S110 | 6130 | (H03F3/211, H04B7/0617, H04B7/0669).cpc. |  | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 44 \end{aligned}$ |
| S111 | 370 | ll(S106 S107 S108 S109 S110) and (schedul\$4 near3 down\$1link) and (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 45 \end{aligned}$ |
| S112 | 365 | (S106 S107 S108 S109 S110) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and (control with information) | USS-PGPUB; USPAT; USOCR; IBERWENT; BM_TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 46 \end{aligned}$ |
| S113 | 357 | (S106 S107 S108 S109 S110) and (schedul\$4 near3 down\$1link) and (component near carrier) and (control with information) | UUS-PGPUB; USPAT; USOCR; DERWENT; BM_TDB | OR | ON | 2014/04/22 |
| S114 | 13 | (S106 S107 S108 S109 S110) and (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (component near3 carrier) | \}US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 47 \end{aligned}$ |
| S115 | 40 | (H03F3/211, H04B7/0617, H04B7/0669, H04B1/3833, H04M1/0247, H04M1/0237, H04L29/28657, G01S5//0252, GO1S5/02, HO4W52/367, H04W52/12, H04W52/40, H04W88/08, H04W72/044, H04W72/042).cpc. and (carrier near3 aggregation) and | US-PGPUB; USPAT; USOCR; DERWENT; BM_TDB |  | \% ${ }^{\text {ON }}$ | \|2014/04/22 |

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|  |  | \$(component near3 carrier) same up\$1link with associat\$3 with down\$1link |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S116 | 8750 | : i (H04W88/08, H04W72/044, H04W72/042I).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BERWENT; } \\ & \hline \text { BM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 26 \\ & 14: 21 \end{aligned}$ |
| S117 | $4336$ | (H04W52/367, H04W52/ 12, H04W52/40).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \hline \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BMERENT; } \\ & \hline \text { BDB } \end{aligned}$ | OR | ON | \|2014/04/26 |
| S118 | -4205 | (H04L29/08657, G01S5/0252, G01S5/02).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | 2014/04/26 |
| S119 | 4144 | (H04L29/08657, G01S19/14, G01S5/02).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | :2014/04/26 |
| S120 | 3826 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWEENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | 2014/04/26 |
| S121 | ¢7 | ```(H04W88/08, H04W72/044, H04W72/042).cpc. and (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier))``` | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 26 \\ & 14: 27 \\ & \\ & \end{aligned}$ |
| S122 | 25 | : (S116 S117 S118 S119 S120).cpc. and (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) | $\begin{aligned} & \text { US-PGPUB;: } \\ & \hline \text { USPAT; } \\ & \text { USOCR; } \\ & \text { UERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 26 \\ & 15: 35 \end{aligned}$ |
| S123 | 13432 | (H04W88/08, H04W72/044, H04W72/042).cpc. | US-PGPUB; | OR | ON | 2014/04/30 |
| S124 | 4341 | (H04W52/367, H04W52/ 12, H04W52/40).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBRWENT; } \\ & \text { BM_TDB } \\ & \hline \end{aligned}$ | OR | ON | $11204$ |
| S125 | 4208 | (H04L29/08657, G01S5/0252, G01S5/02).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 04 \end{aligned}$ |
| S126 | 3833 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \hline \text { USPAT; } \\ & \text { USOCR; } \\ & \text { UBMWENT; } \\ & \hline 1 \end{aligned}$ | OR | ON | 2014/04/30 |
| S127 | 6154 | (H03F3/211, H04B7/0617, H04B7/0669).cpc. | \% ${ }_{\text {US-PGPUB; }}$ | OR | ON | 2014/04/30 |


|  |  |  | $\begin{aligned} & \text { DERWENT; } \\ & \} \text { IM TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S128 | 98 | (S123 S124 S125 S126 S127) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1link) with carrier | \}US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | 2014/04/30 |
| S129 | 52 | (S123 S124 S125 S126 S127) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single near6 carrier same (plurality multiple several) near3 (DL down\$1link) with carrier | US-PGPUB; USPAT; USOCR; DERWENT IBM TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 04 \end{aligned}$ |
| S130 | \% 4 | (S123 S124 S125 S126 S127) and (schedul\$4) with component near3 carrier and (single near3 (DL down\$1link)) with (first with resource) and (multiple plurality several) near3 (DL downlink) with second with resource | US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 37 \end{aligned}$ |
| S131 | 3 | (up\$1link UL) and (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BERWENT; } \\ & \text { BBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 40 \end{aligned}$ |
| S132 | 2 | (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource | US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 42 \end{aligned}$ |
| S133 | 2 | (schedul\$4) same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource | \}SS-PGPUB; USPAT; USOCR; IBERWENT; | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 44 \end{aligned}$ |
| S134 | 2 | (schedul\$4) same (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) | $\begin{aligned} & \text { BUS-PGPUB; } \\ & \text { BUSPAT; } \\ & \text { BSOCR; } \end{aligned}$ | OR | ON | 2014/04/30 |
| S135 | 16 | (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DEOCRFENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 45 \end{aligned}$ |
| S136 | ! | allocation with (PUSCH PUCCH UL (up\$1link)) and "20100232373" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{array}{\|l\|} 2014 / 04 / 30 \\ 14: 19 \end{array}$ |
| S137 | ! | allocation and (PUSCH PUCCH UL (up\$1link)) and "20100232373" | $\left\{\begin{array}{l}\text { US-PGPUB; } \\ \text { USPAT; } \\ \text { USOCR; } \\ \text { DERWENT; } \\ \text { IBM TDB }\end{array}\right.$ | OR | ON | 2014/04/30 |
| S138 | 3 | ""20100271970" | UUS-PGPUB USPAT; USOCR; DERWENT; IIBM_TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 14: 32 \end{aligned}$ |
| S139 | 54 | $\sqrt{(" 20100322173 " \mid \text { "20110081913" \| }}$ "20130010721" \| "8634358" | "20120140708" | | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 10 / 15 \\ & 11: 49 \end{aligned}$ |


|  |  |  | "20130003700" <br> "20120051306" <br> "20100098012" <br> "20100208679" <br> "20120082125" <br> "20130136084" <br> "20120020317" <br> "20110081932" <br> "20110310856" <br> "20100296389" <br> "20100098012" <br> " 8447343 " <br> "847 | "8265030" \| <br> 72368").PN. | DERWENT; <br> IBM_TDB |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S140 | 15049 | (H04W88/08, | W72/044, H04 | 2/042).cpc. | $\begin{aligned} & \text { US-PGPUB } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR |  | ON | 2014/10/15 |
| S141 | 4737 | (H04W52/367, | $104 \mathrm{~W} 52 / 12, \mathrm{H} 04 \mathrm{~V}$ | 2/40).срс. | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR |  | ON | $\begin{aligned} & 2014 / 10 / 15 \\ & 13: 44 \end{aligned}$ |
| S142 | 4341 | (H04L29/08657 | $301 \mathrm{~S} 5 / 0252, \mathrm{G} 01$ | $55 / 02) . \mathrm{cpc} .$ | US-PGPUB; USPAT; USOCR; DERWENT; \|BM_TDB | O |  | ON | $\begin{array}{\|c\|c\|} \hline 13: 44 \\ \\ \\ \\ & \\ \hline \end{array}$ |
| S143 | 4030 | (H04B1/3833, | M1/0247, H04M | А1/0237).срс. | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | O |  | ON | $: \begin{aligned} & 2014 / 10 / 15 \\ & 13: 44 \\ & \\ & \\ & \\ & . . . . . . . . . \end{aligned}$ |
| S144 | 6785 | (H03F3/211, | 7/0617, H04B7 | 669).cpc. | : | O |  | ON | $\begin{aligned} & 2014 / 10 / 15 \\ & 13: 44 \end{aligned}$ |
| S145 | 96 | (S140 S141 S1 near3 down\$1 carrier) and sin multiple sever carrier same ( | S143 S144) and <br> k) and (componen e with carrier sam with (DL down\$11 quency resources) | (schedul\$ 4 nt near3 e (pluralit :y link) with |  | O |  | ON | $\left\{\begin{array}{l} 2014 / 10 / 15 \\ 13: 44 \end{array}\right.$ |
| S146 | 1 | \%"13315135" |  |  | $\begin{aligned} & \text { iUS-PGPUB; } \\ & \hline \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BERWENT; } \\ & \hline \text { BM TDB } \end{aligned}$ | O |  | ON | $2014 / 10 / 15$ |
| S147 | 2 | " 20080151845 " |  |  | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM TDB | O |  | ON | \|la |
| S148 | -41 | "455"/\$.ccls. land ((first 1st) adj6 (radio resou adj6 carrier) s resource fram | (carrier near3 agg dj6 carrier) same ( rce frame)) and ( e ((2nd second) | gregation) ((1st first) ((2nd second) adj6 (radio | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | O |  | ON | $\begin{aligned} & 2014 / 10 / 15 \\ & 15: 45 \end{aligned}$ |
| S149 | 3 | "455"/451,452 ((1st first) adj6 | ccls. and (carrier ((first 1st) adj6 radio resource fra | near3 carrier) same ame)) and | !US-PGPUB; USPAT; USOCR; | OR |  | ON | $\begin{aligned} & 2014 / 10 / 15 \\ & 18: 01 \end{aligned}$ |

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|  |  | U(2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) | DERWENT; <br> IBM_TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S150 | 33889 | 455/451,452.1,509,456.1,522,137,103,575.ccls. |  | OR | ON | 2014/10/23 |
| S151 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (sererv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 10 / 23 \\ & 11: 32 \end{aligned}$ |
| S152 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (rererv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource ] frequency channel Bin ) | \}US-PGPUB; USPAT; <br> UUSOCR; <br> ISERWENT; <br> \|BM_TDB | OR | ON | $\left[\begin{array}{l} 2014 / 10 / 23 \\ 11: 33 \end{array}\right.$ |
| S153 | 4 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 23 \\ 11: 34 \end{array}\right.$ |
| S154 | 3 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource ifrequency channel Bin) and (CC component) | US-PGPUB;: | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 23 \\ 11: 37 \end{array}\right.$ |
| S155 | 4 | "455"/\$.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj6 (radio resource frame)) and (reserv\$4 sav\$4 us\$3) near3 (other 2nd second another) adj3 (resource frequency channel Bin) and (CC component) | US-PGPUB; USPAT; USOCR; DERWENT; BM_TDB | OR | ON | $\begin{aligned} & 2014 / 10 / 23 \\ & 11: 39 \end{aligned}$ |
| S156 | 15 | 童 ("20050013279" \| "20030219028" | | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | :2014/10/23 |
| S157 | 10 | "455"/\$.ccls. and (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { UBRWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 10 / 23 \\ & 12: 07 \end{aligned}$ |
| S158 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel) same (rererv $\$ 4$ sav $\$ 4$ ) near3 (other 2nd second another) adj3 (resource frequency channel Bin) |  | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 31 \\ 15: 22 \end{array}\right.$ |
| S161 | 15374 | (H04W88/08, H04W72/044, H04W72/042).cpc. | US-PGPUB; USPAT; USOCR; IERWENT; IBM TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 31 \\ 17: 18 \end{array}\right.$ |
| S162 | 4758 | (H04W52/367, H04W52/ 12, H04W52/40).cpc. | $\begin{aligned} & \text { UUS-PGPUB; } \\ & \text { IUSPAT; } \\ & \text { DERWENT; } \\ & \text { BMTDB } \end{aligned}$ | OR | ON | 2014/10/31 |


| S163 | 4377 | (H04L29/08657, G01S5/0252, G01S5/02).cpc. | UUS-PGPUB; USPAT; UUSOCR; BDERWENT; !IBM_TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 31 \\ 17: 18 \end{array}\right.$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S164 | 4042 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | US-PGPUB; UUSPAT; UUSOCR; DERWENT: IBM TDB | OR | ON | $1$ |
| S165 | 6867 | (H03F3/211, H04B7/0617, H04B7/0669).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12014 / 10 / 31$ |
| S167 | 1 | "14170939" | US-PGPUB; UUSPAT: USOCR: DERWENT; IBM TDB | OR | ON | $\left.\right\|^{2014 / 11 / 17}$ |
| S168 | 499 | (component near2 carrier) with (primary near2 cell) | US-PGPUB; UUSPAT; UUSOCR; IDERWENT; !IBM TDB | OR | ON | $\sqrt{201 / 11 / 18}$ |
| S169 | 401 | " 370 "/\$.ccls. and (component near2 carrier) with (primary near2 cell) | US-PGPUB; USPAT; UUSOCR; BDERWENT; IBM_TDB | OR | ON | $\frac{2014 / 11 / 18}{14: 07}$ |
| S170 | 378 | " 370 "/\$.ccls. and (component adj2 carrier) with (primary adj2 cell) | US-PGPUB; UUSPAT; UUSOCR; BDERWENT; IIBM TDB | OR | ON | $\left.\right\|^{2014 / 11 / 18}$ |
| S171 | 185 | " 370 " $\$$.ccls. and (component adj2 carrier) with (primary adj2 cell) with (DL down\$1link) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBRWENT; } \\ & \hline 1 \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 11 / 18 \\ & 14: 08 \end{aligned}$ |
| 5172 | 4 | "370"/\$.ccls. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; BUSPAT; UUSOCR; IDERWENT; ! $B M$ TDB | OR | ON | $\begin{aligned} & 2014 / 11 / 18 \\ & 14: 17 \end{aligned}$ |
| S173 | 4 | single near4 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; IERWENT; IBM TDB | OR | ON | $\sqrt{2014 / 11 / 18}$ |
| S174 | 287 | "370"/\$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1 link) | US-PGPUB; USPAT; USOCR; IBERWENT; | OR | ON | $14: 21$ |
| S175 | 1 | @ad<"20091004" and "370"/\$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; IERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2014 / 11 / 18 \\ & 14: 22 \end{aligned}$ |


| S176 | 287 | " 370 "/ \$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; \|BM_TDB | OR | ON | $\begin{aligned} & 2014 / 11 / 18 \\ & 14: 22 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S177 | 29 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | OFF | $\begin{aligned} & 2015 / 10 / 01 \\ & 11: 34 \end{aligned}$ |
| S178 | 21250 | (H04W88/08, H04W72/044, H04W72/042).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 01 \\ & 17: 24 \end{aligned}$ |
| S179 | :5857 | (H04W52/367, H04W52/12, H04W52/40).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | 2015/10/01 |
| S180 | -5079 | if(H04L29/08657, G01S5/0252, G01S5/02).cpc. | \}S-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | $12015 / 10 / 01$ |
| S181 | 4391 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 01 \\ & 17: 24 \end{aligned}$ |
| S182 | 8620 | (H03F3/211, H04B7/0617, H04B7/0669).cpc. | \} US-PGPUB; USPAT; USOCR; IBERWENT; IBM TDB | OR | ON | $1$ |
| S183 | :221 | (S178 S179 S180 S181 S182) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1link) with carrier same (frequency) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 01 \\ & 17: 24 \end{aligned}$ |
| S184 | \$52 | ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. | US-PGPUB; USPAT; USOCR; DERWENT; \|BM_TDB | OR | ON | 2015/10/01 |
| S185 | 1 | S183 and S184 | \} US -PGPUB; USPAT; USOCR; IERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 01 \\ & 17: 56 \end{aligned}$ |


| S186 | 21 | 455/ \$.ccls. and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S187 | \|24 |  | US-PGPUB; USPAT | OR | OFF | 2015/10/02 |
| S188 | 1 | "14030298" | US-PGPUB; USPAT | OR | OFF | $\begin{aligned} & 2015 / 10 / 02 \\ & 15: 41 \end{aligned}$ |
| S189 | 198 | ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCRF } \\ & \text { IBM TDBT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2015 / 10 / 03 \\ & 16: 15 \end{aligned}$ |
| S190 |  | "14102508" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | 2015/10/13 |
| S191 | 30 | "14158378" | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 17 \end{aligned}$ |
| S192 | 1 | "14097736" | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 17 \end{aligned}$ |
| S193 | \% | "14006545" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 17 \end{aligned}$ |
| S194 | 1 | " "13875620" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 18 \end{aligned}$ |
| S195 |  | "13905342" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 18 \end{aligned}$ |
| S196 | $1$ | "13477988" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCRFENT; } \end{aligned}$ | OR | $\mathrm{ON}$ | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 18 \\ & =2022-0064 \end{aligned}$ |
| . 153503 | 60_Ac | sibleVersion.htm[3/17/2017 6:03:57 PM] |  |  | X1 | 2 Page 1 |


|  |  | , | \|linc_TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S197 | 2 | "13293245" | USSPGPUB; USPAT; USOCR; UBERWENT; UBM_TDB | OR | ON | 2015/10/13 |
| S198 | 1 | $\stackrel{:}{\text { : }}$ :13875620" | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | 2015/10/13 |
| S199 | 2 | \|"13993807" |  | OR | ON | 14:19 |
| S200 | 1 | :"13898465" | USS-PGPUB; USPAT; USOCR; USERWENT; ! IBM_TDB | OR | ON | 14:19 |
| S201 | 1 | :"13883792" | USS-PGPUB; USPAT; USOCR; UERWENT; IBM_TDB | OR | ON | 2015/10/13 |
| S202 | 1 | \||"13996405" | US-PGPUB; USPAT; USOCR; DERWENT; BM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 19 \end{aligned}$ |
| S203 | 1 | ":13883002" | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | 2015/10/13 |
| S204 | 0 | $\stackrel{: / 14812058 " ~}{\text { V }}$ | US-PGPUB; USPAT; USOCR; UERWENT; BM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 20 \end{aligned}$ |
| S205 | 7 | , "8915660" | US-PGPUB; USPAT; USOCR; UBERWENT; UBMTDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 20 \end{aligned}$ |
| S206 | 1 <br>  <br>  | :"13909538" | USS-PGPUB; USPAT; USOCR; IERWENT; IBM_TDB | OR | ON | 2015/10/13 |
| S207 | 1 | /:13924238" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | 14:22 |
| S208 | 1 | :"13898465" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DER WEN } \end{aligned}$ | $\begin{aligned} & \mathrm{OR} \\ & \mathrm{~T} \end{aligned}$ | ON | 14:23 |

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|  |  |  | BM_TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S209 | 2 | ""13993807" | $\begin{aligned} & \text { US-PGPUB;: } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB .. } \end{aligned}$ | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 23 \end{aligned}$ |
| S210 | 58 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | 2015/10/13 |
| S211 | 1 | " 13906370 | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { \|BM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 38 \end{aligned}$ |
| S212 | 58 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | 2015/10/13 |
| S213 | 0 | (H04W88/08, H04W72/044, H04W72/042).cpc. and (H04W52/367, H04W52/12, <br> H04W52/40).cpc. and (H04L29/08657, <br> G01S5/0252, G01S5/02).cpc. and (H04B1/3833, <br> H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { GSOCR; } \\ & \text { BEWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 55 \end{aligned}$ |
| S214 | $36289$ | $\begin{aligned} & (H 04 W 88 / 08, \text { H04W72/044, H04W72/042, } \\ & \text { H04W52/367, H04W52/12, H04W52/40, } \\ & \text { H04L29/08657, G01S5/0252, G01S5/02, } \\ & \text { H04B1/3833, H04M1/0247, H04M1/0237).cpc. } \end{aligned}$ |  | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 56 \end{aligned}$ |
| S215 | 3 | l(H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. land single near3 (CC (component adj2 carrier)) lwith (primary adj2 cell) with (DL down\$1 link) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | 2015/10/13 |
| S216 | 553 | l((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 | \}US-PGPUB; UUSPAT; | OR | ON | 2015/10/13 |

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|  |  | larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. | USOCR; DERWENT; BM TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S217 | 553 | ! (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. | $\begin{aligned} & \text { ? } \\ & \hline \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \end{aligned}$ | OR | ON | 2015/10/13 |
| S218 | 131 | ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and carrier adj aggregation | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | :2015/10/13 |
| S219 | 48 | "455"/\$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) and carrier adj aggregation |  | OR | ON | latis/10/13 |
| S220 | 48 | (H04W88/08, H04W72/044, H04W72/042).cpc. land ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \hline \text { USPAT; } \\ & \text { USOCR; } \\ & \text { UBRWENT; } \\ & \hline \text { BM_TDB } \end{aligned}$ | OR | ON | 2016/03/09: |
| S221 | 15 | (set group) near6 (radio resource) with (2nd lsecond other another) near6 (DL down\$link) near3 (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \hline 1 \end{aligned}$ | OR | ON | 2016/03/09 |
| S222 | 35 | 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWERE } \\ & \text { BM_TDB; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2016 / 03 / 09 \\ & 15: 45 \end{aligned}$ |
| S223 |  | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj 4 (radio resource frame)) |  | OR | ON | \|le |
| S224 |  | ! (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $: \begin{aligned} & 2016 / 03 / 09 \\ & 16: 14 \end{aligned}$ |
| S225 | 32 | [(((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and (CC (component adj2 carrier)) with (primary adj2 cell) |  | OR | ON | $\begin{aligned} & 2016 / 03 / 09 \\ & 16: 14 \end{aligned}$ |
| S226 | 130 | 455/\$.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) | : | OR | ON | 2016/03/09 |
| S227 |  |  | US-PGPUB; USPAT; <br> USOCR; <br> DERWENT; <br> BM_TDB | OR | ON | \|le |


|  |  | :\|"20120163288" | "20110299486" | |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S228 | 10 | il (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ! ((2nd second) adj6 (radio resource frame)) | UUS-PGPUB; USPAT; USOCR; DERWENT; BM_TDB | OR | !ON | $\begin{aligned} & \text { 2016/03/09 } \\ & 20: 46 \end{aligned}$ |
| S229 | 3 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWCRENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | 2016/03/09 |
| 5230 | 76 | 370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj 3 carrier) same ((2nd second other another) adj 4 (radio resource frame)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | 2016/03/10 |
| S231 | 0 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\text { : } 2016 / 03 / 16$ |
| S233 | 0 | :\|(H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near6 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { GPOCR; } \\ & \text { IEPR; JPO; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ION | $11: 54$ |
| S234 | 18 | (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | 2016/03/16 |
| S235 | 18 | i (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCRFENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | !ON | 2016/03/16 |
| S236 | 7 | (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | UUS-PGPUB; USPAT; USOCR; DERWENT; BM_TDB | OR | OON | 2016/03/16 |
| S237 | \% | 455/509,522,456.6,137,103,575.ccls. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | MON | 2016/03/16 |
| S238 | 7 | (A01B12/006, H04L5/0053, H04L5/001, $: H 04 L 5 / 0094$, H04B1/3833, H04M1/0247, $: \quad$ H04M1/0237).cpc. and (schedul\$3 assigin\$3) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \end{aligned}$ | OR | \% ${ }^{\text {ON }}$ | 2016/03/16 |

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|  |  | \|with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio ifrequency band resources) | $\begin{aligned} & \text { IFPRS; } \\ & \text { EPO; JPO; } \\ & \text { IBERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S239 | 4 | S"(H04W88/08, H04W72/044, H04W72/042, <br> 沺H04W52/367, H04W52/12, H04W52/40, <br> H04L29/08657, G01S5/0252, G01S5/02, <br> H04B1/3833, H04M1/0247, H04M1/0237).cpc. <br> and (schedul\$3 assigin\$3) with (primary adj <br> cell) same2 (multiple several set) near3 <br> component adj2 carrier and (control\$4 <br> adjust\$3) near6 (DL (down\$link)) and (second <br> 2nd another other) near3 (radio frequency band resources) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IEPRS; JPO; } \\ & \text { IBRWWENT; } \end{aligned}$ | OR | ON | 12:47 |
| S240 | \% | ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. and (schedul\$3 lassigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IPPRS; JPO; } \\ & \text { EBERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2016 / 03 / 16 \\ & 13: 28 \end{aligned}$ |
| S246 | \%60 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $12016 / 06 / 24$ |
| S247 | 1 | $\begin{array}{\|c\|} \hline \text { (H04W888/08, H04W72/0044, H04W72/042, } \\ \text { H04W52/367, H04W52/12, H04W52/40, } \\ \text { H04L29/08657, GO1S5/0252, G01S5/02, } \\ \text { H04B1/3833, H04M1/0247, H04M1/0237).cpc. } \\ \text { (and (schedul\$3 assigin\$3) with (primary adj } \\ \text { cell) same2 (multiple several set) near3 } \\ \text { component adj2 carrier and (control\$4 } \\ \text { (adjust } \$ 3 \text { ) near6 (DL (down\$link)) and (second } \\ \text { 2nd another other) near3 (radio frequency band } \\ \text { iesources) } \end{array}$ | USPAT | OR | ON | $\begin{aligned} & 2016 / 06 / 24 \\ & 11: 50 \end{aligned}$ |
| S248 | 7 | :(H04W88/08, H04W72/044, H04W72/042, | US-PGPUB; USPAT; | OR | ON | $\begin{aligned} & 2016 / 06 / 24 \\ & 11: 51 \end{aligned}$ |


|  |  | H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S249 | 269 | " ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall) ).in. and 455/\$.ccls. | $\begin{aligned} & \text { \}S-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \end{aligned}$ | OR | ON | 2016/06/24 |
| S250 | 2 | l((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall) ).in. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency (frame))) | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\left\{\begin{array}{l} 2016 / 06 / 24 \\ 11: 56 \end{array}\right.$ |
| S251 | 5 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another next) adj3 (resource frequency channel Bin) and (CC component) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | :2016/06/24 |
| S252 | 1 | "12896993" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | 2016/06/24 |
| S253 |  | 370/329,252,331.ccls. and (((first 1st) adj6 icomponent adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) | US-PGPUB; USPAT | OR | ON | 2016/06/24 |
| S254 | \% | \% ("20120147847").PN. | !US-PGPUB; USPAT; <br> USOCR; FPRS; EPO; JPO; DERWENT; : 1 BM_TDB | OR | OFF | 2016/06/24 |
| S257 | 29 | 455/509,522,456.6,137,103,575.ccls. and (schedul\$3 assigin\$3) with component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | $\text { \} }$ | OR | ON | 2016/06/24 |
| S258 | 22 | $455 / \$ . c c l s$. and (1st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { LERWENT; } \end{aligned}$ | OR | ON | \|la |
| S259 | 2 | ("20120147847").PN. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | 2016/06/24 |
| S260 | 21 | 455/ \$.ccls. and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource | USS-PGPUB; | OR | ONN | 2016/06/24 |

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|  |  | Iframe)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { USOCR; } \\ & \text { IDERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S261 | 33 |  | $\begin{aligned} & \text { \}S-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BMWENT; } \end{aligned}$ | OR | ON | 2016/06/24 |
| S262 | 13 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) same component adj carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { EPRO; JPO; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2016 / 06 / 24 \\ & 22: 18 \end{aligned}$ |
| S266 | 60 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 15: 34 \end{aligned}$ |
| S267 | 0 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame frequency)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & \text { 2017/03/16 } \\ & 15: 39 \end{aligned}$ |
| S268 | 0 | 455/\$.ccls. and (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 15: 55 \end{aligned}$ |
| S269 | 0 | : (H04B1/3833, H04M1/0247, H04M1/0237).cpc. , land (first 1st) near3 (radio adj resource) and | $\text { \}US-PGPUB; }$ USPAT; | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 15: 56 \end{aligned}$ |

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|  |  | Il (second other another 2nd) near3 (radio adj iresource) same (carrier adj aggregation) and ) (schedul\$3 near3 (down\$link DL ireverse\$1link)) | $\begin{aligned} & \text { USOCR; } \\ & \text { IBERWENT; } \\ & \hline \text { IBM_TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S270 | 0 | [(H04B1/3833, H04M1/0247, H04M1/0237).cpc. land (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 15: 57 \end{aligned}$ |
| S271 | 901 | Ischedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { BERWENT; } \\ & \text { BMTDB } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2017 / 03 / 16 \\ 17: 30 \end{array}\right.$ |
| S272 | 67 | : land schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC !( (component adj2 cacarrier)) | $\begin{aligned} & \text { UUS-PGPUB; } \\ & \text { USOAT; } \\ & \text { UBRWWENT; } \\ & \hline \text { BM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 17: 31 \end{aligned}$ |
| S273 | 9 | f(H04W88/08, H04W72/044, H04W72/042I).cpc. land schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) and schedul $\$ 3$ with (non\$1primary second 2nd secondary) adj2 cell |  | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 17: 37 \end{aligned}$ |
| S274 | 41 | i(H04W88/08, H04W72/044, H04W72/042I).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 carrier)) and (non\$1primary second 2nd secondary) adj2 (CC (component adj2 carrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 17: 39 \end{aligned}$ |
| S275 | 697 | Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { UERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{array}{\|l} 2017 / 03 / 16 \\ 18: 16 \end{array}$ |
| S276 | 40 | UEricsson.as. and ((david near2 astely) (robert , near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (radio near3 iresource) with (component near3 carrier) | $\text { \}US-PGPUB;: }$ | OR | ON | $\left\{\begin{array}{l} 2017 / 03 / 16 \\ 18: 18 \end{array}\right.$ |
| S278 | 5 | 455/\$.ccls. and (set near3 radio near3 resource) same component adj carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWERENT; } \\ & \text { BMM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 19: 44 \end{aligned}$ |
| S279 | 34641 | (H04W88/08, H04W72/044, H04W72/042).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { SERWCRENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{gathered} \text { 2017/03/16 } \\ 20: 26 \end{gathered}$ |
| S280 | 7394 | (H04W52/367, H04W52/12, H04W52/40).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\left[\begin{array}{l} 2017 / 03 / 16 \\ 20: 26 \end{array}\right.$ |
| S281 | 6589 | (H04L29/08657, G01S5/0252, G01S5/02).cpc. | : ${ }_{\text {US-PGPUB; }}$ | OR | ON | \|ch17/03/16 |

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|  |  |  | $\begin{aligned} & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S282 | 5176 | /(H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 20: 26 \end{aligned}$ |
| S283 | 12417 | (H03F3/211, H04B7/0617, H04B7/0669).cpc. | $\text { \} } \begin{aligned} & \text { USPGPUB; } \\ & \text { DERCR; } \\ & \text { BM TDB ; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 20: 26 \end{aligned}$ |
| S284 | 131 | (S279 S280 S281 S282 S283) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | UUS-PGPUB; USPAT; USOCR; IDERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 20: 26 \end{aligned}$ |
| S285 | 126 | : (H04W88/08, H04W72/044, H04W72/042).cpc. and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL (reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | $\begin{array}{\|l} 2017 / 03 / 16 \\ 20: 27 \end{array}$ |
| S286 | \% 3 | Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and schedul\$3 near3 (transmit\$4 transmi\$5 communication) with (CC (component adj2 cacarrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | 2017/03/16 |
| S287 | 62 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (control\$3 ladjst\$3) near6 (CC component) | $\begin{aligned} & \text { \}} 1 \text { USPGPUB; } \\ & \text { USOCR; } \\ & \text { IBERWENT; } \\ & \text { IBMBB } \end{aligned}$ | OR | ON | 2017/03/17 |
| S288 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same (multiple several set) near3 component adj2 carrier | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB | OR |  | $1 / 2017 / 03 / 17$ |
| S289 | 0 | 455/451,452.1,509,456.1,522, 137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same2 (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { FPRS; JPO; } \\ & \text { DERWENT; } \\ & \hline \text { BM_TDB } \end{aligned}$ | OR | ON | 2017/03/17 |
| S2yu | 0 | !455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj2 cell) and (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { FPRS; } \\ & \text { EPO; JPO; } \\ & \text { DERWENT; } \end{aligned}$ |  | ON | \|la |
| S291 | 1 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { BU-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { UEPRS; JPO; } \\ & \text { BERWENT; } \end{aligned}$ | OR | - ${ }^{\text {ON }}$ | 2017/03/17 |

IPR2022-00648

|  | l | I | IIBM_TDB |  |  | + |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S292 | !9 | 4555/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { GSOCR; } \\ & \text { EPR; JPO; } \\ & \text { BERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\text { : } 2017 / 03 / 17$ |

EAST Search History (Interference)

| Ref <br> \# | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S159 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls.) and (control\$4) with (resource frequency channel) same (rererv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) | USPGPUB; USPAT | OR | ON | $\begin{aligned} & 2014 / 10 / 31 \\ & 15: 24 \end{aligned}$ |
| S160 | 5 | ( i down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) land (DL down\$link) with (set group) near6 (radio resource) with (2nd second other fanother) near2 component | US- | OR | ON | \|lat |
| S241 | O | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj 2 carrier | USPGPUB; USPAT | OR | ON | 2016/03/16 |
| S242 | \} | ! (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj lcell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 ladjust\$3) near6 (DL (down\$link)) and (second l2nd another other) near3 (radio frequency band resources) | \|l: | OR | ON | $\begin{aligned} & 2016 / 03 / 16 \\ & 12: 38 \end{aligned}$ |
| S243 | \% | (A01B12/006, H04L5/ 0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | USPGPUB; USPAT | OR | ON | $\begin{aligned} & 2016 / 03 / 16 \\ & 12: 39 \end{aligned}$ |
| S244 | 1 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | UUSPAT |  | ON | $\begin{aligned} & 2016 / 03 / 16 \\ & 12: 47 \end{aligned}$ |
| S245 | 4 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second | USPGPUB; USPAT |  | ON | $\begin{aligned} & 2016 / 03 / 16 \\ & 12: 47 \end{aligned}$ |


|  |  | 2nd another other) near3 (radio frequency band resources) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S255 | \% ${ }^{7}$ | ! (H04W88/08, H04W72/044, H04W72/042, !H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 \}adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | USPGPUB; USPAT | OR | ON | $\begin{aligned} & 2016 / 06 / 24 \\ & 11: 48 \end{aligned}$ |
| S256 | ${ }^{61}$ | 370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) | USPGPUB; USPAT | OR | OON | $\begin{aligned} & 2016 / 06 / 24 \\ & 12: 22 \end{aligned}$ |
| S263 | 14 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | USPAT | OR | ON | $\begin{aligned} & 2016 / 06 / 24 \\ & 22: 15 \end{aligned}$ |
| S264 |  | ! (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | USPGPUB; USPAT | O | ON | 2016/06/24 |
| S265 | ${ }^{13}$ | ! (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, :H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. land (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) land (second 2nd another other) near3 (radio ifrequency band resources) same component ladj carrier | $\begin{aligned} & \text { US- } \\ & \text { PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | OON | 2016/06/24 |
| S277 | \% | (H04W88/08, H04W72/044, H04W72/042I).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and ( Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) and schedul\$3 with (non\$1primary second 2nd secondary) adj2 cell | $\begin{aligned} & \text { USS } \\ & \text { USPSBA: } \end{aligned}$ | OR | OON | 2017/03/16 |
| S293 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same (multiple several set) near3 component adj2 carrier | US- | OR | ON | :2017/03/17 |

3/17/2017 6:03:51 PM

C: \Users $\backslash$ mtalukder $\backslash$ Documents $\backslash$ EAST $\backslash$ Workspaces $\backslash 15350360$. wsp

IPR2022-00648

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT <br> ( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |  |
| :--- | :--- | :--- |
| Filing Date | R016-11-14 |  |
| First Named Inventor | David Astely et al. |  |
| Art Unit | 2648 |  |
| Examiner Name |  | Md K. Talukder |
| Attorney Docket Number |  | P30138-US3 / 4015-9600 |


| U.S.PATENTS |  |  |  |  |  |  |  |  | Remove |  |  |  |  |
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| Examiner Initial* | Cite No | Patent Number |  | Kind Code ${ }^{1}$ | Issue Date |  | Name of Patentee or Applicant of cited Document |  | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |  |  |  |  |
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| Examiner Initial* | Cite No |  | Publication Number | Kind Code ${ }^{1}$ | Publication Date |  | Name of Patentee or Applicant of cited Document |  | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |  |  |  |  |
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| Examiner Initial* | Cite <br> No | For Nu | reign Document mber ${ }^{3}$ | Country Code ${ }^{2}$ |  | Kind Code ${ }^{4}$ | Publication Date | Name of Patente Applicant of cited Document |  |  | Pages, C here assag gures | olumns,Lines elevant s or Relevant Appear | T5 |
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| Examiner Initials* | Cite No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  |  |  |  |  |  | T5 |


| Application Number | 15350360 |
| :--- | :--- |
| Filing Date | $2016-11-14$ |
| First Named Inventor | David Astely et al. |
| Art Unit | 2648 |
| Examiner Name | Md K. Talukder |
| Attorney Docket Number | P30138-US3 / 4015-9600 |


|  | $\begin{array}{l\|l} 1 & \text { HUA } \\ 1 & 2009 \end{array}$ | HUAWEI, PUCCH design for carrier aggregation, 3GPP TSG RAN WG1 Meeting \#58 R1-093046, 3GPP, August 24, 2009. |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
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| Examiner Signature |  | /MD K TALUKDER/ | Date Considered | 03/17/2017 |  |

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |
| :--- | :--- |
| Filing Date | $2016-11-14$ |
| First Named Inventor | David Astely et al. |
| Art Unit | 2648 |
| Examiner Name | Md K. Talukder |
| Attorney Docket Number | P30138-US3 / 4015-9600 |

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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## OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.
The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
A certification statement is not submitted herewith.

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /Edward M. Roney/ | Date (YYYY-MM-DD) | $2016-01-08$ |
| :--- | :--- | :--- | :--- |
| Name/Print | Edward M. Roney | Registration Number | 62048 |

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7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Search Notes | Application/Control No. $15350360$ | Applicant(s)/Patent Under Reexamination <br> ASTELY ET AL. |
| :---: | :---: | :---: |
|  | Examiner <br> MD TALUKDER | Art Unit 2648 |


| CPC- SEARCHED |  |  |
| :---: | :---: | :---: |
| Symbol | Date | Examiner |
| H04B1/3833, H04M1/0247, H04M1/0237 | $3 / 16 / 2017$ | Talukder |


| CPC COMBINATION SETS - SEARCHED |  |  |
| :---: | :---: | :---: |
| Symbol | Date | Examiner |


| US CLASSIFICATION SEARCHED |  |  |  |  |
| :--- | :--- | :---: | :--- | :---: |
| Class | Subclass | Date | Examiner |  |
| 455 | $451,452.1,509,456.1,522,137,103,575$ | $3 / 16 / 2017$ | Talukder |  |
| 370 | All | $3 / 17 / 2017$ | Talukder |  |


| SEARCH NOTES |  |  |
| :--- | :---: | :--- |
| Search Notes | Date | Examiner |
| Assignee Searched | $3 / 16 / 2017$ | Talukder |
| Inventor Searched | $3 / 17 / 2017$ | Talukder |
| East Searched | $3 / 17 / 2017$ | Talukder |


| INTERFERENCE SEARCH |  |  |  |
| :--- | :---: | :---: | :---: |
| US Class/ <br> CPC Symbol | US Subclass / CPC Group | Date | Examiner |
| H04B1/3833, |  | $3 / 16 / 2017$ | Talukder |
| H04M1/0247, |  |  |  |
| H04M $1 / 0237$ | 455 | $451,452.1,509,456.1,522,137,103,575$ | $3 / 17 / 2017$ |


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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT <br> ( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |  |
| :--- | :--- | :--- |
| Filing Date | 0016-11-14 |  |
| First Named Inventor | David Astely et al. |  |
| Art Unit | 2414 |  |
| Examiner Name | MD K Talukder |  |
| Attorney Docket Number |  | 4015-9600 / P30138_US3 |


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| Examiner Initia\|* | Cite No |  | reign Document mber ${ }^{3}$ | Country Code ${ }^{2}$ |  | Kind Code ${ }^{4}$ | Publication Date | Name of Patentee or Applicant of cited Document |  | Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear |  |  | T5 |
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| Examiner Initials* | Cite <br> No | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published. |  |  |  |  |  |  |  |  |  |  | T5 |



${ }^{1}$ See Kind Codes of USPTO Patent Documents at www. USPTO. GOV or MPEP 901.04. ${ }^{2}$ Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ${ }^{3}$ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ${ }^{4}$ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ${ }^{5}$ Applicant is to place a check mark here it English language translation is attached.

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

( Not for submission under 37 CFR 1.99)

| Application Number | 15350360 |
| :--- | :--- |
| Filing Date | $2016-11-14$ |
| First Named Inventor | David Astely et al. |
| Art Unit | 2414 |
| Examiner Name | MD K Talukder |
| Attorney Docket Number | $4015-9600$ / P30138_US3 |

## CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication
$\times$ from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR
That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56 (c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).
$\times$ See attached certification statement.
The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
A certification statement is not submitted herewith.

## SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

| Signature | /Edward M. Roney/ | Date (YYYY-MM-DD) | $2016-12-30$ |
| :--- | :--- | :--- | :--- |
| Name/Print | Edward M. Roney | Registration Number | 62048 |

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record $\mathbf{s}$.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14 , as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Index of Claims | Application/Control No. $15350360$ | Applicant(s)/Patent Under Reexamination <br> ASTELY ET AL. |
| :---: | :---: | :---: |
|  | Examiner <br> MD TALUKDER | Art Unit $2648$ |


| $\checkmark$ | Rejected |
| :---: | :---: |
| $=$ | Allowed |


| - | Cancelled |
| :---: | :--- |
| $\div$ | Restricted |


| N | Non-Elected |
| :--- | :--- |
| I | Interference |


| A | Appeal |
| :---: | :---: |
| $\mathbf{O}$ | Objected |


| $\square$ Claims renumbered in the same order as presented by applicant |  |  |  |  |  | CPA | $\square$ | T.D. | $\square$ | R.1.47 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| CLAIM |  | DATE |  |  |  |  |  |  |  |  |
| Final | Original | 03/17/2017 |  |  |  |  |  |  |  |  |
|  | 1 | $\checkmark$ |  |  |  |  |  |  |  |  |
|  | 2 | $\checkmark$ |  |  |  |  |  |  |  |  |
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|  | 27 | $\checkmark$ |  |  |  |  |  |  |  |  |
|  | 28 | $\checkmark$ |  |  |  |  |  |  |  |  |
|  | 29 | $\checkmark$ |  |  |  |  |  |  |  |  |
|  | 30 | $\checkmark$ |  |  |  |  |  |  |  |  |

## BIB DATA SHEET

CONFIRMATION NO. 1120

| SERIAL NUMBER <br> 15/350,360 | $\begin{gathered} \hline \text { FILING or 371(c) } \\ \text { DATE } \\ 11 / 14 / 2016 \\ \text { RULE } \end{gathered}$ |  | $\begin{gathered} \hline \text { CLASS } \\ 455 \end{gathered}$ | GROUP AR $2648$ |  | $\begin{aligned} & \text { ORNEY DOCKET } \\ & \text { NO. } \\ & 4015-9600 / \\ & \text { P30138-US3 } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| INVENTORS <br> David Astely, Bromma, SWEDEN; Robert Baldemair, Solna, SWEDEN; Dirk Gerstenberger, Stockholm, SWEDEN; Daniel Larsson, Stockholm, SWEDEN; Lars Lindbom, Karlstad, SWEDEN; Stefan Parkvall, Bromma, SWEDEN; |  |  |  |  |  |  |
| ** CONTINUING DATA ************************* <br> This application is a CON of $12 / 896,993$ 10/04/2010 PAT 9497004 which claims benefit of 61/248,661 10/05/2009 |  |  |  |  |  |  |
| ** FOREIGN APPLICATIONS $\qquad$ <br> ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/21/2016 |  |  |  |  |  |  |
| Foreign Priority claimed <br> 35 USC 119(a-d) conditions me <br> Verified and <br> Acknowledged | $\begin{aligned} & \square \text { Yes No } \\ & \square \text { Yes No } \\ & \text { UKDER/ } \\ & \text { Signature } \end{aligned}$ | - ${ }_{\text {M }}^{\text {Met after }}$ Alowance | STATE OR COUNTRY <br> SWEDEN | SHEETS DRAWINGS 12 | TOTAL CLAIMS | $\begin{gathered} \text { INDEPENDENT } \\ \text { CLAIMS } \\ 4 \end{gathered}$ |

## ADDRESS

COATS \& BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518
UNITED STATES
TITLE
PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED

| $\begin{gathered} \text { FILING FEE } \\ \text { RECEIVED } \\ 2960 \end{gathered}$ | FEES: Authority has been given in Paper No. $\qquad$ to charge/credit DEPOSIT ACCOUNT <br> No. $\qquad$ for following: | $\square$ All Fees |
| :---: | :---: | :---: |
|  |  | 1.16 Fees (Filing) |
|  |  | $\square 1.17$ Fees (Processing Ext. of time) |
|  |  | 1.18 Fees (Issue) |
|  |  | $\square$ Other |
|  |  | $\square$ Credit |

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 Patent and Trademark Offke Customer Number 24127 to regresent Encsoon before the Unted States Fatent and Trademask Gffice in any and all maters segarding parents or patent apphations fiked by: Erisson or wheren fricsom st the assignes of the entre interest fhereto.


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Signature: Natisy Fuble of the Cty of Stoctholem

IPR2022-00648

## STATEMENT UNDER 37 CFR 3.73(c)

Applicant/Patent Owner: Telefonaktiebolaget LM Ericsson (publ)
Application No./Patent No.: 15/350360
Filed/Issue Date: November 14, 2016
Titled: PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED
Telefonaktiebolaget LM Ericsson (publ) , a Swiss corporation
(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
(Name of Assignee)
states that, for the patent application/patent identified above, it is (choose one of options 1, 2, 3 or 4 below):

1. $\checkmark$ The assignee of the entire right, title, and interest.
2.An assignee of less than the entire right, title, and interest (check applicable box): $\square$ The extent (by percentage) of its ownership interest is $\qquad$ \%. Additional Statement(s) by the owners holding the balance of the interest must be submitted to account for $100 \%$ of the ownership interest.There are unspecified percentages of ownership. The other parties, including inventors, who together own the entire right, title and interest are:

Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.
3. $\square$ The assignee of an undivided interest in the entirety (a complete assignment from one of the joint inventors was made). The other parties, including inventors, who together own the entire right, title, and interest are:
$\square$
Additional Statement(s) by the owner(s) holding the balance of the interest must be submitted to account for the entire right, title, and interest.
4. $\square$ The recipient, via a court proceeding or the like (e.g., bankruptcy, probate), of an undivided interest in the entirety (a complete transfer of ownership interest was made). The certified document(s) showing the transfer is attached.

The interest identified in option 1,2 or 3 above (not option 4) is evidenced by either (choose one of options A or B below):
A. An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 040308 , Frame 0388 $\qquad$ , or for which a copy thereof is attached.
B. $\square$
$\qquad$ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as follows:

1. From: $\qquad$ To:
The document was recorded in the United States Patent and Trademark Office at
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$\qquad$ To: $\qquad$
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## STATEMENT UNDER 37 CFR 3.73(c)

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6. From: $\qquad$ To: $\qquad$ The document was recorded in the United States Patent and Trademark Office at Reel $\qquad$ Frame $\qquad$ or for which a copy thereof is attached.Additional documents in the chain of title are listed on a supplemental sheet(s).
$\checkmark$ As required by 37 CFR 3.73 (c)(1)(i), the documentary evidence of the chain of title from the original owner to the assignee was, or concurrently is being, submitted for recordation pursuant to 37 CFR 3.11.
[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.
/Edward M. Roney/
Signature
Edward M. Roney
Printed or Typed Name

March 26, 2017
Date
62048
Title or Registration Number

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. $122(\mathrm{~b})$ or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14 , as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

| Electronic Acknowledgement Receipt |  |
| :---: | :---: |
| EFS ID: | 28738466 |
| Application Number: | 15350360 |
| International Application Number: |  |
| Confirmation Number: | 1120 |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |
| First Named Inventor/Applicant Name: | David Astely |
| Customer Number: | 24112 |
| Filer: | Edward Milton Roney/Kenyatta Upchurch |
| Filer Authorized By: | Edward Milton Roney |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |
| Receipt Date: | 26-MAR-2017 |
| Filing Date: | 14-NOV-2016 |
| Time Stamp: | 16:07:49 |
| Application Type: | Utility under 35 USC 111(a) |

## Payment information:



| Information: |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 2 | Power of Attorney | P30138_US3_Power_of_Attorn ey.pdf | 487265 | no | 1 |
|  |  |  | 2a68e0e1 Se7e5742e70d768249192573191 d2233 |  |  |
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| Information: |  |  |  |  |  |
|  |  |  | 118403 |  |  |
| 3 | Assignee showing of ownership per 37 CFR 3.73 | P30138_US3_Statement_Unde r_37CFR_373c.pdf | 2a91bb49a3ac32341df19199854d69dc0d3 7da00 | no |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| Total Files Size (in bytes) |  |  | 1056624 |  |  |
| This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. |  |  |  |  |  |
| New Applications Under 35 U.S.C. 111 |  |  |  |  |  |
| If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. |  |  |  |  |  |
| National Stage of an International Application under 35 U.S.C. 371 |  |  |  |  |  |
| If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. |  |  |  |  |  |
| New International Application Filed with the USPTO as a Receiving Office |  |  |  |  |  |
| If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application. |  |  |  |  |  |

## DECLARATION \{37 CFR \{G3\} FOR UTLITY OR DESIGN APPLICATIONUSING AN APPLICATONDATA SHEET (3? CFR 1.76 )

## Tite of Inventon PUCGHRESOURCE ALIOCATION FOR CARRIER AGGREGATION M LTE ADVANCED

As the below named inventer, I heraby declare that:
This deciaration is direcied to:
$\square$ The attached application, or
© Unted States application number 151350,360 fled on Novembet 14,2016 or PCT international application $\qquad$ fled on $\qquad$
The above-icmentifed application was made or aunorized to be made by me.
I belleve that 4 am the original mventor or an origal jom twentor of a clamed invention in the application.

I hereby acknowerge that any willfil false statement made in the declaration is purishabe under 18 U.S.C. 1001 by fine or mprisomment of not mose than five ( 5 ) years, or both.

I hereby state that have reviewed and understand the contents of the aboveidenthed appliation, including the glams.

I hereby acknowledge the duy to discose miomation which is materiak to patentabilly as demed in 37 C.F.R. 1 SB, inchding for cominuatonin-pan applications, material information which became avalable between the filing date of the pror application and the rational or PCT intemational filing date of the continuatommorimapplication.

LEGAL NARE OF SNYENTOR:
Inventor David \&stely


EECLARATION (37 CFR 1.83 )FOR UTLITY OR DESIGN APPLCATION USING AN APPLICATION DRTA SHEET (37 CFR \{.76)

The ofnvention PUCCBRESOURCE ALLOCATION FOR CARRIER AGGREGATONIN
LTERDYANCED
As the below named inventor, i hereby dedare that
This declaration is directed to:
T The athached applation, or

- Unted States appliation number 15/350,360 fled on November 14, 2016 or PCr intemational application $\qquad$ filed on $\qquad$
The aboverifmiffed application was made or aunorized to be made by me
I believe that am the original inventor or an orignal joint inventor of a clamed invertion in the application.

I hereby acknowiedge that any willul faise statement made in the dedaration is punishable under 18 USS. 1001 by fine or mprisonment of not more than five (5) years or both

Ihereby stale that have revieved and understand the contents of the above-dentilec applicaton, including the clams

I hereby ackowledge the duty to disclose information which is material to patentabilty as definedin 37 OFP. 1.56 , inchuding for continutionm-part applicatons materat infomation when became avaliable benween the find date of the pror application and the national or PCT internationaf filing date of the continuation-in-part application.

LEGAZ NAME OF NVENTOR:
Inventor: Robert Bakdemair

Signature: $\qquad$ Date: $\qquad$

##  APRMCATION DATA SHEET (3YGFR T.76)



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As the below named invonor, Ihereby cechare thas.
This decharahon ts directed to.

- The atached appheathon, of
 or PCT intemational aplicatom $\qquad$ fied on $\qquad$
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 application.

I hereby awnowisdes that any with talse statement made in me demaration is punshable under 480.5 .6100 by fine or imprsoment of not more than tive ( 5 ) yeare or both.

I hereby shate that I have revewer amd moersand the ontents of the above-identifed application, inclucing the dams.

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\{nventor: Gifk caerstenkerger

Signature: $\qquad$ Date: $20 / 6 \cdots 2 \cdot 2 \gamma$

##  APPWICATIONDATASHEET (37CER\{.5ต)






##  APPL\{GATON OATA SHEET \{37CER \{.76\}



United States Patent and Trademark Office
UNTTED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

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| APPLICATION NUMBER | FILING OR 371(C) DATE | FIRST NAMED APPLICANT | ATTY. DOCKET NO./TITLE |
| :---: | :---: | :---: | :---: |
| 15/350,360 | 11/14/2016 | David Astely | 4015-9600 / P30138-US3 |
|  |  |  | CONFIRMATION NO. 1120 |
| 24112 |  | POA ACCEPTANCE LETTER |  |
| COATS \& BENNETT, PLLC |  |  |  |
| 1400 Crescent Green, Suite 300 |  |  |  |
| Cary, NC 27518 |  |  |  |

Date Mailed: 03/30/2017

## NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY

This is in response to the Power of Attorney filed 03/26/2017.
The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.
/mteklemichael/

| Doc Code: DIST.E.FILE <br> Document Description: Electronic Terminal Disclaimer - Filed |  |  | U.S. Patent and Trademark Department of Com |
| :---: | :---: | :---: | :---: |
| Electronic Petition Request | TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT |  |  |
| Application Number | 15350360 |  |  |
| Filing Date | 14-Nov-2016 |  |  |
| First Named Inventor | David Astely |  |  |
| Attorney Docket Number | 4015-9600 / P30138-US3 |  |  |
| Title of Invention | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED |  |  |
| Filing of terminal disclaimer does not obviate requirement for response under 37 CFR 1.111 to outstanding Office Action |  |  |  |
| Owner |  | Percent Interest |  |
| Telefonaktiebolaget LM Ericsson (publ) |  | 100\% |  |
| The owner(s) with percent interest listed above in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of prior patent number(s) <br> 9497004 <br> as the term of said prior patent is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the prior patent are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns. <br> In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the prior patent, "as the term of said prior patent is presently shortened by any terminal disclaimer," in the event that said prior patent later: <br> - expires for failure to pay a maintenance fee; <br> - is held unenforceable; <br> - is found invalid by a court of competent jurisdiction; <br> - is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321; <br> - has all claims canceled by a reexamination certificate; <br> - is reissued; or <br> - is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer. |  |  |  |

I certify, in accordance with 37 CFR 1.4(d)(4), that the terminal disclaimer fee under 37 CFR 1.20(d) required for this terminal disclaimer has already been paid in the above-identified application.

Applicant claims the following fee status:

Small EntityMicro Entity

Regular Undiscounted

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

## THIS PORTION MUST BE COMPLETED BY THE SIGNATORY OR SIGNATORIES

I certify, in accordance with 37 CFR 1.4(d)(4) that I am:

An attorney or agent registered to practice before the Patent and Trademark Office who is of record in this application

Registration Number 68795

A sole inventor
A joint inventor; I certify that I am authorized to sign this submission on behalf of all of the inventors as evidenced by the power of attorney in the application

A joint inventor; all of whom are signing this request

| Signature | /Brandee N. Woolard, Reg. No.68,795/ |
| :--- | :--- |
| Name | Brandee N. Woolard |

*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324 .

| Electronic Patent Application Fee Transmittal |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Application Number: | 15350360 |  |  |  |
| Filing Date: | 14-Nov-2016 |  |  |  |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |  |  |  |
| First Named Inventor/Applicant Name: | David Astely |  |  |  |
| Filer: | Brandee N. Woolard |  |  |  |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |  |  |  |
| Filed as Large Entity |  |  |  |  |
| Filing Fees for Utility under 35 USC 111(a) |  |  |  |  |
| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: |  |  |  |  |
| STATUTORY OR TERMINAL DISCLAIMER | 1814 | 1 | 160 | 160 |
| Pages: |  |  |  |  |
| Claims: |  |  |  |  |
| Miscellaneous-Filing: |  |  |  |  |
| Petition: |  |  |  |  |
| Patent-Appeals-and-Interference: |  |  |  |  |
| Post-Allowance-and-Post-Issuance: |  |  |  |  |


|  | Description | Fee Code | Quantity | Amount |
| :--- | :---: | :---: | :---: | :---: |
| Extension-of-Time: | Sub-Total in <br> USD(\$) |  |  |  |
| Miscellaneous: |  |  |  |  |
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Doc Code: DISQ.E.FILE
Document Description: Electronic Terminal Disclaimer - Approved
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Application No.: 15350360
Filing Date: ..... 14-Nov-2016
Applicant/Patent under Reexamination: ..... Astely
Electronic Terminal Disclaimer filed on ..... May 24, 2017
$\boxtimes \quad$ APPROVED
This patent is subject to a terminal disclaimer
DISAPPROVED
Approved/Disapproved by: Electronic Terminal Disclaimer automatically approved by EFS-Web
U.S. Patent and Trademark Office

| Electronic Acknowledgement Receipt |  |
| :---: | :---: |
| EFS ID: | 29302305 |
| Application Number: | 15350360 |
| International Application Number: |  |
| Confirmation Number: | 1120 |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |
| First Named Inventor/Applicant Name: | David Astely |
| Customer Number: | 24112 |
| Filer: | Brandee N. Woolard |
| Filer Authorized By: |  |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |
| Receipt Date: | 24-MAY-2017 |
| Filing Date: | 14-NOV-2016 |
| Time Stamp: | 15:07:19 |
| Application Type: | Utility under 35 USC 111(a) |

## Payment information:

| Submitted with Payment | yes |
| :--- | :--- |
| Payment Type | EFT |
| Payment was successfully received in RAM | $\$ 160$ |
| RAM confirmation Number | 052517 INTEFSW15071700 |
| Deposit Account |  |
| Authorized User |  |
| The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows: |  |

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| :---: | :---: | :---: | :---: | :---: | :---: |
|  |  |  | 33567 |  |  |
| 1 | Electronic Terminal Disclaimer-Filed | eTerminal-Disclaimer.pdf | 4 Cd 387 e 019587 c 2166 C 49 d 7 d 90482 F 2 d 201 <br> 2346a | no | 2 |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| 2 | Fee Worksheet (SB06) | fee-info.pdf | 30408 | no | 2 |
|  |  |  |  |  |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| Total Files Size (in bytes): |  |  | 63975 |  |  |

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New Applications Under 35 U.S.C. 111
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.
National Stage of an International Application under 35 U.S.C. 371
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.
New International Application Filed with the USPTO as a Receiving Office
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

| IN THE UNITED STATES PATENT AND TRADEMARK OFFICE |  |
| :---: | :---: |
| In re Application of Astely et al. |  |
|  |  |
| Serial No.: 15/350,360 |  |
|  | Examiner: Md K. Talukder |
| Filed: November 14, 2016 |  |
|  | Group Art Unit: 2648 |
| For: PUCCH Resource Allocation for Carrier Aggregation in LTE-Advanced |  |
|  | Confirmation No.: 1120 |
|  |  |
| Docket No: 4015-9600 / P30138-US3 |  |
|  |  |
| Mail Stop Amendment |  |
| Commissioner for Patents |  |
| P.O. Box 1450 |  |
| Alexandria, VA 22313-1450 |  |

## RESPONSE TO OFFICE ACTION

This paper is being filed in response to the Office Action mailed 22 March 2017 having a reply due date of 22 June 2017. Reconsideration is respectfully requested in light of the amendments and/or remarks below. The Office is hereby authorized to charge any fees required for entry of this paper to Deposit Account 18-1167.

## CLAIMS LISTING

1. (Currently Amended) A method implemented by a base station of receiving control information from a user terminal, the method comprising:
scheduling downlink transmissions to a first user terminal only on a single downlink component carrier associated with a primary cell and scheduling downlink transmissions to a second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell; receiving, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and receiving, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
2. (Original) The method of claim 1, wherein the first and second sets of radio resources are different.

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IPR2022-00648
3. (Original) The method of claim 2, wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
4. (Original) The method of claim 1, further comprising transmitting control information to the first user terminal to explicitly indicate the first set of radio resources on the uplink component carrier associated with the primary cell.
5. (Original) The method of claim 1, further comprising providing the first user terminal with an implicit indication to dynamically assign radio resources in said first set of radio resources.
6. (Original) The method of claim 5 , wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) used for scheduling the first user terminal.
7. (Original) The method of claim 1, further comprising transmitting control information to the second user terminal on a downlink component carrier to implicitly or explicitly indicate the second set of radio resources on the uplink component carrier associated with the primary cell.
8. (Original) The method of claim 7, wherein at least one of the first and second sets of radio resources is indicated explicitly by an uplink control channel resource index.
9. (Original) The method of claim 8, wherein an explicit indication related to the second set of radio resources is transmitted as radio resource control signaling.
10. (Currently Amended) The method of claim 1, further comprising transmitting, on the single downlink component carrier, an indieatiento assignment of radio resources in the second set of radio resources when the second user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell
11. (Currently Amended) The method of claim 10, wherein the indicatiento assignment of radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources to the second user terminal when the second user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
12. (Original) The method of claim 11, wherein the acknowledgement resource indication selects radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources.
13. (Original) The method of claim 1, further comprising:
receiving control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.
14. (Currently Amended) The method of claim 1, further comprising: if the first user terminal is scheduled to receive downlink transmissions on a second single downlink component carrier associated with a non-primary cell, receiving control information associated with the downlink transmissions to the first user terminal on the second set of radio resources on the uplink component carrier associated with the primary cell, wherein the second set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions on the second single downlink component carrier.
15. (Original) The method of claim 1, wherein the first user equipment is the same as the second user equipment.
16. (Original) The method of claim 1, wherein the first user equipment is different from the second user equipment.
17. (Currently Amended) A base station comprising:
a transmitter to transmit user data on one or more downlink component carriers to a first user terminal and a second user terminal; and a controller to schedule downlink transmissions to the first user terminal and the second user terminal, the controller configured to:
schedule downlink transmissions to the first user terminal only on a single downlink component carrier associated with a primary cell and schedule downlink transmissions to the second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell;
receive, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and
receive, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
18. (Currently Amended) A method implemented by a user terminal of transmitting control information in a mobile communication network, the method comprising:
receiving an assignment of radio resources for downlink transmissions from a base station;
transmitting, on a first set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources only on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and transmitting, on a second set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmission, wherein the second set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
19. (Original) The method of claim 18, wherein the first and second sets of radio resources are different.
20. (Original) The method of claim 19, wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
21. (Original) The method of claim 18, further comprising receiving control information from the base station explicitly indicating the first set of radio resources on the uplink component carrier associated with the primary cell.
22. (Original) The method of claim 21, wherein said receiving the control information comprises receiving an uplink control channel resource index explicitly indicating said first set of radio resources.
23. (Original) The method of claim 22, wherein an explicit indication relating to the second set of radio resources is received as radio resource control signaling.
24. (Original) The method of claim 18, further comprising receiving an implicit indication to dynamically assign radio resources in said first set of radio resources.
25. (Original) The method of claim 24, wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) on which the assignment of radio resources for downlink transmissions is received.
26. (Currently Amended) The method of claim 18, further comprising receiving, on the single downlink component carrier, an indieation-to-assignment of radio resources in the second set of radio resources when the user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
27. (Currently Amended) The method of claim 26, wherein the indieation-to-assignment of radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources in when the user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
28. (Original) The method of claim 27, further comprising selecting radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources, responsive to the acknowledgement resource indication.
29. (Original) The method of claim 18, further comprising: transmitting control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.
30. (Currently Amended) A user terminal for mobile communications, the user terminal comprising:
a receiver to receive downlink transmissions from a base station;
a transmitter to transmit control information associated with the downlink transmission to a base station; and
a controller to select radio resources for transmission of control information associated with the downlink transmissions, the controller configured to:
select a first set of radio resources responsive to being assigned radio resources only on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for a user terminal[[s]] scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and select a second set of radio resources responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmissions, wherein the second set of radio resources is reserved for $\underline{a}$ user terminal[[s]] scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.

## REMARKS

Claims 1-30 are pending. Claims 1, 10-11, 14, 17-18, 26-27, and 30. No new matter is added by these amendments; support can be found generally in the specification and in at least paragraph [0060]. Applicant submits that all claims are in condition for allowance, and a notice to that effect is respectfully requested.

Claims 1-30 stand rejected under the ground of non-statutory double patenting over claims 1-40 of US Patent Application No. 12/896993 (US Pat. 9497001). To expedite prosecution, Applicant has submitted an electronic terminal disclaimer to overcome the rejection.

Respectfully submitted, COATS \& BENNETT, P.L.L.C.
/Brandee N. Woolard/
Dated: May 24, 2017
Brandee N. Woolard
Registration No.: 68,795
Telephone: (919) 854-1844

| Electronic Acknowledgement Receipt |  |
| :---: | :---: |
| EFS ID: | 29302576 |
| Application Number: | 15350360 |
| International Application Number: |  |
| Confirmation Number: | 1120 |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |
| First Named Inventor/Applicant Name: | David Astely |
| Customer Number: | 24112 |
| Filer: | Brandee N. Woolard/Leslie Ruckdeschel |
| Filer Authorized By: | Brandee N. Woolard |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |
| Receipt Date: | 24-MAY-2017 |
| Filing Date: | 14-NOV-2016 |
| Time Stamp: | 15:17:00 |
| Application Type: | Utility under 35 USC 111(a) |

## Payment information:

| Submitted with Payment |  | no |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| File Listing: |  |  |  |  |  |
| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| 1 |  | Response_OA.pdf | 42403 | yes | 11 |
|  |  |  | 1b1a6fc746cdceef00f4dSe2760d933348a7 $7 b 63$ |  |  |
| IPR2022-00648 |  |  |  |  |  |
| Apple EX1002 Page 172 |  |  |  |  |  |




This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

# NOTICE OF ALLOWANCE AND FEE(S) DUE 

COATS $^{24112}$ \& BENNETT, PLLC
1400 Crescent Green, Suite 300
Cary, NC 27518


DATE MAILED: 07/05/2017

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| :---: | :---: | :---: | :---: | :---: |
| $15 / 350,360$ | $11 / 14 / 2016$ | David Astely | $4015-9600 /$ P30138-US3 |  |

TITLE OF INVENTION: PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED

| APPLN. TYPE | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| nonprovisional | UNDISCOUNTED | \$960 | \$0 | \$0 | \$960 | 10/05/2017 |

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS, STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

## HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.
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If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled
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For purposes of this notice, small entity fees are $1 / 2$ the amount of undiscounted fees, and micro entity fees are $1 / 2$ the amount of small entity fees.
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III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

## PART B - FEE(S) TRANSMITTAL

## Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE <br> Commissioner for Patents <br> P.O. Box 1450 <br> Alexandria, Virginia 22313-1450 <br> or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

|  | (Depositor's name) |
| ---: | ---: |
| (Signature) |  |
|  | (Date) |


| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
| :---: | :---: | :---: | :---: | :---: |
| 15/350,360 | 11/14/2016 | David Astely | 4015-9600 / P30138-US3 | 1120 |

TITLE OF INVENTION: PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED

| APPLN. TYPE | ENTITY STATUS | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| nonprovisional | UNDISCOUNTED | \$960 | \$0 | \$0 | \$960 | 10/05/2017 |
|  | NER | ART UNIT | CLASS-SUBCLASS |  |  |  |
| TALU | R, MD K | 2648 | 455-509000 |  |  |  |
| 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). <br> Change of correspondence address (or Change of Correspondence Address form $\mathrm{PTO} / \mathrm{SB} / 122$ ) attached. <br> "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. |  |  | 2. For printing on the patent front page, list <br> (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, |  |  1 <br> a 2 <br> to  <br> is 3 |  |

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.
(A) NAME OF ASSIGNEE
(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Please check the appropriate assignee category or categories (will not be printed on the patent) : $\square$ Individual $\square$ Corporation or other private group entity $\square$ Government

| 4a. The following fee(s) are submitted: | 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) |
| :---: | :---: |
| $\square$ Issue Fee | $\square$ A check is enclosed. |
| $\square$ Publication Fee (No small entity discount permitted) | $\square$ Payment by credit card. Form PTO-2038 is attached. |
| $\square$ Advance Order - \# of Copies | $\square$ The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number $\qquad$ (enclose an extra copy of this form). |
| 5. Change in Entity Status (from status indicated above) |  |
| $\square$ Applicant certifying micro entity status. See 37CFR 1.29 | NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. |
| $\square$ Applicant asserting small entity status. See 37 CFR 1.27 | NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. |
| $\square$ Applicant changing to regular undiscounted fee status. | NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. |

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

## Authorized Signature

Typed or printed name

Date

Registration No.


Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)
The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.
Section $1(\mathrm{~h})(2)$ of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

## OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Conrol Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311 . The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974 , as amended, pursuant to 5 U.S.C. $552 \mathrm{a}(\mathrm{m})$.
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122 (b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14 , as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## Notice of Allowability

| Application No. | Applicant(s) <br> 15/350,360 |  |
| :--- | :--- | :--- |
| ASTELY ET AL. |  |  |
| Examiner | Art Unit | AIA (First Inventor to File) |
| MD TALUKDER | 2648 | Status <br>  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. $\boxtimes$ This communication is responsive to $5 / 24 / 2017$.
$\square$ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on $\qquad$ .
2. $\square$ An election was made by the applicant in response to a restriction requirement set forth during the interview on $\qquad$ ; the restriction requirement and election have been incorporated into this action.
3. $\boxtimes$ The allowed claim(s) is/are $\underline{1-30}$. As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
4. 

$\square$ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
Certified copies:
a)

b) $\square$ Some
*C)None of the:

1. $\square$ Certified copies of the priority documents have been received.
2. $\square$Certified copies of the priority documents have been received in Application No. $\qquad$ .
3.Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: $\qquad$ -

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.
5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
$\square$ including changes required by the attached Examiner's Amendment / Comment or in the Office action of
Paper No./Mail Date $\qquad$
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6.DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

## Attachment(s)

1. $\boxtimes$ Notice of References Cited (PTO-892)
2. $\square$ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date
3. $\square$ Examiner's Comment Regarding Requirement for Deposit of Biological Material
4. $\square$ Interview Summary (PTO-413),

Paper No./Mail Date $\qquad$ .
/MD TALUKDER/
Primary Examiner, Art Unit 2648
5. Examiner's Amendment/Comment
6. $\boxtimes$ Examiner's Statement of Reasons for Allowance
7. $\square$ Other $\qquad$ .

Art Unit: 2648

1. The present application is being examined under the pre-AIA first to invent provisions.

## REASONS FOR ALLOWANCE

2. Claims 1-30 are allowed over the prior art of record. Interpreting the claims in light of the specification. The following is an examiner's statement of reasons for allowance: Interpreting the claims in light of the specification. Claims has been found allowable because the prior art of record, does not teach, suggest or disclose "scheduling downlink transmissions to a first user terminal only on a single downlink component carrier associated with a primary cell and scheduling downlink transmissions to a second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell; receiving, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal[s] scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and receiving, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal[s] scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell" in combination with the rest of the limitations of the claim. The prior art of the record discloses a communication method between access point and a user station in a specific cell but does not disclose each and every aspect of the above limitation. Any comments considered necessary by applicant must be
submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

## Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MD TALUKDER whose telephone number is (571)270-3222. The examiner can normally be reached on Monday to Friday from (9:30 to 4:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wesley Kim can be reached on 571-272-7867. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

| Notice of References Cited | Application/Control No. <br> $15 / 350,360$ | Applicant(s)/Patent Under <br> Reexamination <br> ASTELY ET AL. |  |
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|  | Examiner <br> MD TALUKDER | Art Unit <br> 2648 | Page 1 of 3 |


| $*$ |  | Document Number <br> Country Code-Number-Kind Code | Date <br> MM-YYY | Name | CPC Classification | US Classification |
| :--- | :---: | :--- | :--- | :--- | :---: | :---: |
| $*$ | A | US-2002/0160784 A1 | $10-2002$ | Kuwahara, Soichi | H04W28/26 | $455 / 452.1$ |
| $*$ | B | US-2010/0003997 A1 | $01-2010$ | KOYANAGI; Kenichiro | H04L1/0003 | $455 / 450$ |
| $*$ | C | US-2010/0098012 A1 | $04-2010$ | Bala; Erdem | H04L5/001 | $370 / 329$ |
| $*$ | D | US-2010/0208679 A1 | $08-2010$ | Papasakellariou; Aris | H04L1/1614 | $370 / 329$ |
| $*$ | E | US-2010/0232373 A1 | $09-2010$ | Nory; Ravikiran | H04W72/1289 | $370 / 329$ |
| $*$ | F | US-2010/0271970 A1 | $10-2010$ | Pan; Kyle Jung-Lin | H04L1/0026 | $370 / 252$ |
| $*$ | G | US-2010/0285809 A1 | $11-2010$ | Lindstrom; Magnus | H04L5/001 | $455 / 450$ |
| $*$ | H | US-2010/0296389 A1 | $11-2010$ | Khandekar; Aamod Dinkar | H04L5/0007 | $370 / 216$ |
| $*$ | I | US-2010/0322173 A1 | $12-2010$ | Marinier; Paul | H04W76/048 | $370 / 329$ |
| $*$ | J | US-2011/0007695 A1 | $01-2011$ | Choi; Hyung-Nam | H04L5/0007 | $370 / 329$ |
| $*$ | K | US-2011/0007699 A1 | $01-2011$ | Moon; Sung Ho | H04L5/0053 | $370 / 329$ |
| $*$ | L | US-2011/0081913 A1 | $04-2011$ | Lee; Jung A. | H04L5/003 | $455 / 450$ |
| $*$ | M | US-2011/0081932 A1 | $04-2011$ | Astely; David | H04L5/001 | $455 / 509$ |

FOREIGN PATENT DOCUMENTS

| $*$ |  | Document Number <br> Country Code-Number-Kind Code | Date <br> MM-YYY | Country | Name | CPC Classification |
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

| Notice of References Cited | Application/Control No. <br> $15 / 350,360$ | Applicant(s)/Patent Under <br> Reexamination <br> ASTELY ET AL. |  |
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|  | Examiner <br> MD TALUKDER | Art Unit <br> 2648 | Page 2 of 3 |

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| $*$ |  | Document Number <br> Country Code-Number-Kind Code | Date <br> MM-YYYY | Name | CPC Classification | US Classification |
| :--- | :--- | :--- | :--- | :--- | :---: | :---: |
| $*$ | A | US-2011/0243039 A1 | $10-2011$ | PAPASAKELLARIOU; Aris | H04L1/1861 | $370 / 280$ |
| $*$ | B | US-2011/0310856 A1 | $12-2011$ | Hariharan; Priya | H04L1/1607 | $370 / 336$ |
| $*$ | C | US-2012/0020317 A1 | $01-2012$ | Ishii; Hiroyuki | H04L1/1854 | $370 / 329$ |
| $*$ | D | US-2012/0051306 A1 | $03-2012$ | Chung; Jae Hoon | H04L1/1893 | $370 / 329$ |
| $*$ | E | US-2012/0082125 A1 | $04-2012$ | Huang; Yada | H04L5/0007 | $370 / 329$ |
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| $*$ | L | US-2013/0034073 A1 | $02-2013$ | Aiba; Tatsushi | H04L1/0026 | $370 / 329$ |
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| Notice of References Cited | Application/Control No. <br> $15 / 350,360$ | Applicant(s)/Patent Under <br> Reexamination <br> ASTELY ET AL. |  |
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|  | Examiner <br> MD TALUKDER | Art Unit <br> 2648 | Page 3 of 3 |


| $*$ |  | Document Number <br> Country Code-Number-Kind Code | Date <br> MM-YYY | Name | CPC Classification | US Classification |
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| $*$ | D | US-2014/0078941 A1 | $03-2014$ | Seo; Dong Youn | H04L1/1822 | 370/280 |
| $*$ | E | US-8,792,830 B2 | $07-2014$ | Lim; Suhwan | H04L25/02 | 375/260 |
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${ }^{*}$ A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

IPR2022-00648

| Search Notes | Application/Control No. $15350360$ | Applicant(s)/Patent Under Reexamination <br> ASTELY ET AL. |
| :---: | :---: | :---: |
|  | Examiner <br> MD TALUKDER | Art Unit 2648 |


| CPC- SEARCHED |  |  |
| :---: | :---: | :---: |
| Symbol | Date | Examiner |
| H04B1/3833, H04M1/0247, H04M1/0237 | $3 / 16 / 2017$ | Talukder |
| H04B1/3833, H04M1/0247, H04M1/0237 | $6 / 26 / 2017$ | Talukder |


| CPC COMBINATION SETS - SEARCHED |  |  |
| :---: | :---: | :---: |
| Symbol | Date | Examiner |


| US CLASSIFICATION SEARCHED |  |  |  |
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| Class | Subclass | Date | Examiner |
| 455 | $451,452.1,509,456.1,522,137,103,575$ | $3 / 16 / 2017$ | Talukder |
| 370 | All | $3 / 17 / 2017$ | Talukder |
| 455 | $509,522,456.6,137,103,575$ | $6 / 26 / 2017$ | Talukder |


| SEARCH NOTES |  |  |
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| Search Notes | Date | Examiner |
| Assignee Searched | $3 / 16 / 2017$ | Talukder |
| Inventor Searched | $3 / 17 / 2017$ | Talukder |
| East Searched | $3 / 17 / 2017$ | Talukder |
| Assignee Searched | $6 / 25 / 2017$ | Talukder |
| Inventor Searched | $6 / 26 / 2017$ |  |
| East Searched | $6 / 26 / 2017$ | Talukder |
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| INTERFERENCE SEARCH |  |  |  |
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## INTERFERENCE SEARCH

| US Class/ <br> CPC Symbol | US Subclass / CPC Group | Date | Examiner |
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| 455 | $451,452.1,509,456.1,522,137,103,575$ | $3 / 17 / 2017$ | Talukder |
| 455 | $509,522,456.6,137,103,575$ | $6 / 26 / 2017$ | Talukder |
| H04B1/3833, |  | $6 / 25 / 2017$ | Talukder |
| H04M1/0247, |  |  |  |
| H04M1/0237 |  |  |  |


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## BIB DATA SHEET

CONFIRMATION NO. 1120

| SERIAL NUMBER <br> 15/350,360 | $\begin{gathered} \hline \text { FILING or 371(c) } \\ \text { DATE } \\ 11 / 14 / 2016 \\ \text { RULE } \end{gathered}$ |  | $\begin{gathered} \hline \text { CLASS } \\ 455 \end{gathered}$ | GROUP AR $2648$ |  | $\begin{aligned} & \text { ORNEY DOCKET } \\ & \text { NO. } \\ & 4015-9600 / \\ & \text { P30138-US3 } \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| INVENTORS <br> David Astely, Bromma, SWEDEN; Robert Baldemair, Solna, SWEDEN; Dirk Gerstenberger, Stockholm, SWEDEN; Daniel Larsson, Stockholm, SWEDEN; Lars Lindbom, Karlstad, SWEDEN; Stefan Parkvall, Bromma, SWEDEN; |  |  |  |  |  |  |
| ** CONTINUING DATA ************************* <br> This application is a CON of $12 / 896,993$ 10/04/2010 PAT 9497004 which claims benefit of 61/248,661 10/05/2009 |  |  |  |  |  |  |
| ** FOREIGN APPLICATIONS $\qquad$ <br> ** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 11/21/2016 |  |  |  |  |  |  |
| Foreign Priority claimed <br> 35 USC 119(a-d) conditions me <br> Verified and <br> Acknowledged | $\begin{aligned} & \square \text { Yes No } \\ & \square \text { Yes No } \\ & \text { UKDER/ } \\ & \text { Signature } \end{aligned}$ | - ${ }_{\text {M }}^{\text {Met after }}$ Alowance | STATE OR COUNTRY <br> SWEDEN | SHEETS DRAWINGS 12 | TOTAL CLAIMS | $\begin{gathered} \text { INDEPENDENT } \\ \text { CLAIMS } \\ 4 \end{gathered}$ |

## ADDRESS

COATS \& BENNETT, PLLC
1400 Crescent Green, Suite 300
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TITLE
PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED

| $\begin{gathered} \text { FILING FEE } \\ \text { RECEIVED } \\ 2960 \end{gathered}$ | FEES: Authority has been given in Paper No. $\qquad$ to charge/credit DEPOSIT ACCOUNT <br> No. $\qquad$ for following: | $\square$ All Fees |
| :---: | :---: | :---: |
|  |  | 1.16 Fees (Filing) |
|  |  | $\square 1.17$ Fees (Processing Ext. of time) |
|  |  | 1.18 Fees (Issue) |
|  |  | $\square$ Other |
|  |  | $\square$ Credit |

## EAST Search History

## EAST Search History (Prior Art)

| Ref | Hits | Search Query | DBs | Default Operator | Plurals | Sime Stamp |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| L1 | 37 | 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) | IUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2017 / 06 / 26 \\ & 18: 41 \end{aligned}$ |
| L2 | 37 | :l(d5/501,52, <br> (downlink near3 carrier) and (uplink near3 <br> (primary first initial) near3 carrier) and ((second2nd other next) with (channel resource)) and(carrier adj aggregation) and (carrier adjaggregation) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ION | 2017/06/26 |
| L3 | 3 | 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { ISERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 06 / 26 \\ & 18: 44 \end{aligned}$ |
| L5 | 75 | 370/329,341,348,395.4.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $1$ |
| L6 | 12 | (H04W52/367, H04W52/12, H04W52/40).cpc. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) | IUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $12017 / 06 / 26$ |
| L8 | 11 | Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) ;same(carrier adj aggregation) | $\begin{aligned} & \text { US-PGPUB; } \\ & \hline \text { USPAT; } \\ & \text { USOCR; } \\ & \text { EPR; JPO; } \\ & \text { IERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ION | 2017/06/26 |
| L9 | 174 | (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) | UUS-PGPUB; USPAT; <br> USOCR; <br> FPRS; <br> EPP; JPO; <br> DERWENT, <br> IBM TDB | OR | ION |  |
| L10 | 3 | (H04W52/367, H04W52/ 12, H04W52/40).cpc. and (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | \|2017/06/26 |
| S1 | 1 | ""12896993" | USPGEUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $12012 / 12 / 10$ |
| IPR202̈2-00648 |  |  |  |  |  |  |
|  |  |  |  |  |  |  |


| S2 | 367 | ((david near2 astely) (robert near2 baldemair) l (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $12012 / 12 / 10$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S3 | 176 | S2 and (radio near3 resource) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBMENT; } \end{aligned}$ | OR | ON | $12012 / 12 / 10$ |
| S4 | 28 | S2 and (radio near3 resource) and (component with carrier) | US-PGPUB; USPAT; USOCR; DERWENT; \|BM_TDB | OR | ON | $12012 / 12 / 10$ |
| S5 | -173 | (downlink near3 carrier) and (uplink near3 \|(primary first initial) near3 carrier) and ((second [2nd other next) with (channel resource)) and ( (control with information) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 09: 04 \end{aligned}$ |
| S6 | 137 | \||S5 and (scheduling) | $\begin{aligned} & \text { USSPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 09: 04 \end{aligned}$ |
| S7 | [36 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | 2012/12/11 |
| 58 | 127 | I(downlink near3 carrier) and (uplink near3 ( (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $1012 / 12 / 11$ |
| S9 | [2 | /20110292887" | US-PGPUB; USPAT; USOCR; IDERWENT; IBM_TDB | OR | ON | $12012 / 12 / 11$ |
| S11 | 25 | [( (first 1st) adj6 component adj3 carrier) same I((1st first) adj6 (radio resource frame)) and ((2nd ssecond) adj6 component adj3 carrier) same ((2nd : second) adj6 (radio resource frame)) | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM TDB | OR | ON | $12012 / 12 / 11$ |
| S12 | 1718 | l(first 1st) adj6 carrier) same (( 1 st first) adj 6 (radio resource frame)) and ((2nd second) adj6 l carrier) same ((2nd second) adj6 (radio resource ( frame)) | USS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | 2012/12/11 |
| S13 | 66 | (carrier near3 aggregation) and ((first 1st) adj6 carrier) same (( 1 st first) adj6 (radio resource iframe)) and ((2nd second) adj6 carrier) same \|( 2 nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { WUS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | 11:47 |
| 3 3 <br> Apple EX1002 Page 190  |  |  |  |  |  |  |
|  |  |  |  |  |  |  |


| S14 | 10842 | 455/509,522,456.6,137,103,575.ccls. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 13: 41 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S15 | 28232 | /370/329,252,331.ccls. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 13: 41 \end{aligned}$ |
| S16 | 102 | (S14 S15) and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 13: 42 \end{aligned}$ |
| S17 | 1 | "13140333" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 14: 18 \end{aligned}$ |
| S18 | 2 | ":"20110310856" | US-PGPUB; USPAT USOCR; DERWENT IBM T | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 14: 18 \end{aligned}$ |
| S19 | 38 | ((first 1st) adj6 component adj3 carrier) same ((radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 14: 31 \end{aligned}$ |
| S20 | 38 | (((first 1st) adj 6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | US-PGPUB; USPAT USOCR; DERWENT IBM_T | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 14: 31 \end{aligned}$ |
| S21 | 27 | l(((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) | US-PGPUB; USPAT USOCR; DERWENT IBM T | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 14: 32 \end{aligned}$ |
| S22 | 38 | I(((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj6 (radio resource frame)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 14: 32 \end{aligned}$ |
| S23 | 24 | (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) | US-PGPUB; USPAT USOCR; DERWENT IBM_T | $\begin{gathered} \text { OR } \\ \text {; } \end{gathered}$ | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 14: 48 \end{aligned}$ |
| S24 | 8 | ("7551898" \| "7649960" | "7656843" | "7773699").PN. | US-PGPUB; USPAT USOCR; DERWENT IBM_T | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 15: 14 \end{aligned}$ |
| S25 | 2 | \|"20110292900" | US-PGPUB; USPAT USOCR; DERWENT IBM_T |  | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 15: 36 \end{aligned}$ |

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| S26 | 12 | "20100271970" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ION | $15012 / 12 / 11$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S27 | 3 | "8050202" | US-PGPUB: USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12012 / 12 / 11$ |
| S28 | 1 | "20120307689" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 15: 45 \end{aligned}$ |
| S29 | 12 | "8160017" | US-PGPUB USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12012 / 12 / 11$ |
| 530 | 2 | "20100232373" | US-PGPUB: USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 15: 48 \end{aligned}$ |
| 531 | 12 | "20090016278" | US-PGPUB: USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 17: 16 \end{aligned}$ |
| 532 | 2 | "8265030" | US-PGPUB: USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $17: 19$ |
| 533 | 3 | "2008139923" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { ERWENT; } \\ & \text { BMM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2012 / 12 / 11 \\ & 18: 17 \end{aligned}$ |
| S34 | 14 | ("20100098012"\|"20100232373" | "20110310856" | "20120020317" | "20120082125" | "20120140708" | "8265030").PN. | US-PGPUB: USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2013 / 05 / 29 \\ & 17: 19 \end{aligned}$ |
| 535 | 7 | "455"/\$.ccls. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) | US-PGPUB USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2013 / 05 / 29 \\ & 17: 22 \end{aligned}$ |
| S36 | 9 | "455"/\$.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | US-PGPUB USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $2013 / 05 / 29$ |
| S38 | 4 | ("20070053294"\| "20100290405").PN. | US-PGPUB: USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 05 / 30$ |


| S39 | 16 | \|"7596114"|"20050013279" | "20030219028" | "20070217406"| "20020105970"| "20060050664"| "20090303938" | in 20070064669 ").PN. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 05 / 30 \\ & 12: 42 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S40 | 290 | (first 1st) with (component near2 carrier) with down\$1 link | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | $\begin{gathered} \mathrm{OR} \\ ; \\ \text {; } \end{gathered}$ | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 10: 07 \end{aligned}$ |
| S41 | 114 | (first 1st) with (component near2 carrier) with down\$1link and receiv\$3 near3 control near3 information | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBMT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 10: 09 \end{aligned}$ |
| S42 | 47 | (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and component adj carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBMIT } \end{aligned}$ | $\begin{gathered} \text { OR } \\ \text { OB } \\ \hline \end{gathered}$ | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 29 \end{aligned}$ |
| S43 | 26 | S42 and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | $\begin{gathered} \text { OR } \\ \text { B } \end{gathered}$ | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 31 \end{aligned}$ |
| S44 | 5 | (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBMT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 46 \end{aligned}$ |
| S45 | 26 | (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 47 \end{aligned}$ |
| S46 | 31 | $\begin{aligned} & \text { (second other another 2nd) near3 (radio adj } \\ & \text { resource) and (carrier adj aggregation) and } \\ & \text { (schedul\$3 near3 (down\$link DL reverse\$1link)) } \end{aligned}$ | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 49 \end{aligned}$ |
| S47 | 0 | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1 link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | $\begin{aligned} \text { OR } \\ \\ \vdots \end{aligned}$ | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 51 \end{aligned}$ |
| S48 | 0 | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 52 \end{aligned}$ |
| S49 | 1 | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM_T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 53 \end{aligned}$ |
| S50 | 1 | @ad<"20091005" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \\ & \text { USOCR; } \\ & \text { DERWENT } \\ & \text { IBM T } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 12: 55 \end{aligned}$ |


| \|S51 | 1 | @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) | UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ION | $12013 / 06 / 17$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S52 | 20 | (second other another 2nd) near3 (radio adj resource) and (carrier adj component) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $13: 31$ |
| S53 | 16 | (set near3 radio near3 resource) same component ladj carrier | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $14: 14$ |
| S54 | 27 | (set near3 ((radio near3 resource) (resource adj block))) same component adj carrier | US-PGPUB; USPAT; USOCR: DERWENT; IBM TDB | OR | ON | $14: 19$ |
| S55 | 755 | [((radio near3 resource) (resource adj block))) same component adj carrier | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 17$ |
| $\sqrt{556}$ | 70 | ((second 2nd other) with ((radio near3 resource) (resource adj block))) same component adj carrier | US-PGPUB; USPAT; USOCR: DERWENT; IBM TDB | OR | ON | $\left[\begin{array}{l} 2013 / 06 / 17 \\ 14: 26 \end{array}\right.$ |
| S57 | 327 | (((radio near3 resource) (resource adj block))) same component adj carrier and (schedul\$3 near3 downlink reverse) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 17$ |
| S58 | 29 | /(second 2nd other) with ((radio near3 resource) ((resource adj block))) same component adj carrier and (schedul\$3 near3 down\$1link reverse\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | 2013/06/17 |
| S59 | 24 | ((second 2nd other) with ((radio near3 resource) (resource adj block))) same (component adj carrier) same (down\$1 link reverse\$1 link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 17$ |
| S60 | 10 | $\sqrt{\text { ("20090097447"\|"20110081856" \| }}$ "20090116427"\| "20100232373" | | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM TDB | OR | ON | $2013 / 06 / 17$ |
| 561 | 2562 | (schedul\$3 near3 downlink) and ((radio adj resource) (resource adj block)) and component | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM_TDB | OR | ON | $1213 / 06 / 17$ |
| S62 | 739 | (schedul\$3 near3 downlink) and ((radio adj resource) (resource adj block)) and component adj carrier | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 17$ |


| 563 | 259 | (schedul\$3 near3 downlink) same ((radio adj fresource) (resource adj block)) and component ladj carrier | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 15: 17 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 564 | 39 | (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (component adj carrier) | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM TDB | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 15: 18 \end{aligned}$ |
| 565 | 1 | @ad<"20091005" and (schedul\$3 near3 ddownlink) same ((radio adj resource) (resource ladj block)) same (component adj carrier) | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM_TDB | OR | ON | $\begin{aligned} & 2013 / 06 / 17 \\ & 15: 18 \end{aligned}$ |
| 566 | 1 | @ad<"20091005" and (schedul\$3 near3 :downlink) same ((radio adj resource) (resource ladj block)) same (CC (component adj carrier)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $1$ |
| S67 | 47 | (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | 2013/06/17 |
| 568 | 356 | "455"/\$.ccls. and ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM_TDB | OR | ON | $17: 10$ |
| 570 | 19 | "455"/\$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same (( 1 st first) adj 6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource (frame)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $17: 17$ |
| S71 | 0 | ("2013/0107855").URPN. | USPAT | OR | ON | $2013 / 06 / 18$ |
| S72 | O | [(2013/0107855").URPN. | US-PGPUB; USPAT | OR | ON | $2013 / 06 / 18$ |
| 573 | 408 | set near3 (radio frequency) near2 (resource band) same downlink and component | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 09: 18 \end{aligned}$ |
| S74 | 17 | set near3 (radio frequency) near2 (resource band) same downlink same (component adj carrier) | US-PGPUB; USPAT | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 09: 19 \\ & \hline \end{aligned}$ |
| S75 | 19 | (set group Cluster) near3 (radio frequency) near2 (resource band) same downlink same (component (adj carrier) | US-PGPUB; USPAT | OR | ON | $2013 / 06 / 18$ |
| 576 | 12 |  | US-PGPUB; USPAT | OR | ON | 2013/06/18 |
| 577 | 200 | (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 10: 37 \end{aligned}$ |
| 578 | 2911 | (UL up\$link) with (set group) near6 (radio resource) | US-PGPUB; USPAT | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 10: 38 \end{aligned}$ |
| 579 | 110 | S77 and 578 | US-PGPUB; USPAT | OR | ON | $\sqrt{2013 / 06 / 18} 10: 38$ |
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| 580 | 3 | (DL down $\$$ link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (set group) near6 (radio resource) with (2nd second other another) near2 component | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 10: 47 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 581 | 28 | (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON |  |
| 582 | 5 | (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down $\$$ link) with (second 2nd) near3 (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $12013 / 06 / 18$ |
| 583 | 4 | (1st first first primary initia) near3 (set group) near6 (radio resource) with (DL down\$link) near3 (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 13: 50 \end{aligned}$ |
| 584 | 3 | (set group) near6 (radio resource) with (2nd second other another) near6 (DL down\$link) near3 (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $1$ |
| 585 | 42 | (set group) near6 (radio resource) with (DL down\$link) near3 (component near3 carrier) | US-PGPUB; USPAT | OR | ON | $\begin{aligned} & 2013 / 06 / 18 \\ & 13: 58 \end{aligned}$ |
| 586 | 30 | (set group) near3 ((radio resource)(resource near2 block)) with (DL down\$link) near3 ( (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $1$ |
| 587 | 2 | (second 2nd) near3 (down\$1link DL) with ((component near3 carrier) CC) same (set group) : with ((radio near2 resource) (resource near2 block)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $14$ |
| 588 | 21 | reserv\$3 with component near3 carrier and (second near2 (radio frequency band)) | $\begin{aligned} & \text { US-PGPBB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $15: 31$ |
| 589 | 36 | "739528" | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2013 / 06 / 26 \\ & 09: 34 \end{aligned}$ |
| 590 | 30 | "5754138" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $2013 / 06 / 26$ |
| 591 | 2046 | (carrier near3 aggregation) and up\$1link with down\$1link | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 26$ |
| 592 | 1052 | (carrier near3 aggregation) and (component near3 carrier) same up\$1link with down\$1link | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 26$ |
| 593 | 110 | $\sqrt{\text { (carrier near3 aggregation) and (component }}$ hear3 carrier) same up\$1link with associat\$3 with down\$1 link | US-PGPUB; USPAT: USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 26$ |
| $595$ | 17 | ("370"/\$.ccls "455"/\$.ccls.) and (aggregation) and : (CC (component near3 carrier)) same up\$1 link | US-PGPUB; USPAT; | OR |  | $\begin{aligned} & 2013 / 06 / 26 \\ & 15: 22 \\ & 22-00648 \end{aligned}$ |
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|  |  | with associat\$3 with down\$1link | $\begin{aligned} & \text { IUSOGR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 596 | 67 | 370/329,341,348,395.4.ccls. and (carrier near3 aggregation) and (component near3 carrier) same up $\$ 1$ link with associat $\$ 3$ with down $\$ 1$ link | $\begin{aligned} & \text { US-PGPBB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $12013 / 06 / 26$ |
| S97 | [345368 | schedule (DL (down adj link) down\$1 link) and (carrier near3 aggregation) and ((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { DBM TDB } \end{aligned}$ | OR | ON |  |
| 598 | 9 | schedule near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) | $\begin{aligned} & \text { \|US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $16: 46$ |
| 599 | 35 | (schedule allocat\$4) near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12013 / 06 / 26$ |
| S100 | 0 | (1st first) near3 (radio band resource frequency) with (1st first) near3 (CCcomponent adj carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERRFENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $17: 14$ |
| S101 | -216 | (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) | $\begin{aligned} & \text { USSPGPUB; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { LBM TDB } \end{aligned}$ | OR | ON | $17: 14$ |
| S102 | 43 | (1st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USERWENT; } \\ & \text { DBM_TDB } \end{aligned}$ | OR | ON | $17: 15$ |
| S103 | [22 |  | US-PGPUB; <br> USPAT; <br> USOCR; <br> IERWENT; <br> IBM_TDB | OR | ON | 2013/06/27 |
| S104 | 10 | $\mid$ ("20100254329" \| "20100195624" | "20100023282"| "20090274100" | "20080316957").PN. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { LSECR; } \\ & \text { IBMENT; } \end{aligned}$ | OR | ON | $\frac{12013 / 06 / 27}{10: 15}$ |
| S105 | 150 | \|("20100322173" |"20110081913"| $\mid$ "20130010721"\| "20120140708" | | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 25 \end{aligned}$ |
|  |  |  |  |  |  | 22-00648 |
| 53503 | _Acce | leVersion.htm[6/26/2017 8:07:41 PM] | Apple EX1002 Page 197 |  |  |  |


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| S106 | 13348 | (H04W88/08, H04W72/044, H04W72/042).cpc. | US-PGPUB: USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 40 \end{aligned}$ |
| S107 | 4330 | (H04W52/367, H04W52/12, H04W52/40).cpc. | $\begin{aligned} & \text { \|US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USORR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2014 / 04 / 22 \\ 13: 42 \end{array}\right.$ |
| S108 | 4200 | \}(H04L29/08657, G01S5/0252, G01S5/02).cpc. | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { LEACRE } \\ & \text { DBM TDB } \end{aligned}$ | OR | ON | $12014 / 04 / 22$ |
| S109 | 3823 |  |  |  |  |  |
| (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { \|US-PGPUB; } \\ & \text { SSPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 44 \end{aligned}$ |  |  |
| S110 | 6130 | (H03F3/211, H04B7/0617, H04B7/0669).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $12014 / 04 / 22$ |
| S111 | 370 | (S106 S107 S108 S109 S110) and (schedulw4 near3 down $\$ 1$ link) and (component near3 | $\begin{aligned} & \hline \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USORR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \\ & \hline \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 22 \\ & 13: 45 \end{aligned}$ |
| S112 | 365 | (S106 S107 S108 S109 S110) and (schedul\$4 and (control with information) | :US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM TDB | OR | ON | $12014 / 04 / 22$ |
| S113 | 357 | (S106 S107 S108 S109 S110) and (schedulw near3 down\$1link) and (component near carrier) and (control with information) | $\begin{aligned} & \text { USSPGPUB; } \\ & \text { USPACR; } \\ & \text { IERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2014 / 04 / 22 \\ 13: 47 \end{array}\right.$ |
| S1 14: | 13 | (S106 S107 S108 S109 S110) and (DL down\$link) with ( 1 st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (component near3 carrier) | $\begin{aligned} & \text { YS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $2014 / 04 / 22$ |
| S115 | 40 | (H03F3/211, H04B7/0617, H04B7/0669, H04B1/3833, H04M1/0247, H04M1/0237, H04L29/08657, G01S5/0252, G01S5/02, H04W52/367, H04W52/12, H04W52/40, H04W88/08, H04W72/044, H04W72/042).cpc. and (carrier near3 aggregation) and (component near3 carrier) same up\$1link with associat\$3 with down\$1 link | $\begin{aligned} & \text { :USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | N | $\left\{\begin{array}{l} 2014 / 04 / 22 \\ 14: 17 \end{array}\right.$ |
| S116 | 8750 | (H04W88/08, H04W72/044, H04W72/0421).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 26 \\ & 14: 21 \end{aligned}$ |
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|  |  |  | DERWENT <br> IBM TDB |  |  |  |
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| 5117 | 4336 | (H04W52/367, H04W52/12, H04W52/40).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $1 / 2014 / 04 / 26$ |
| S118 | 4205 | (H04L29/08657, G01S5/0252, G01S5/02).cpc. | $\begin{aligned} & \text { \|US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 26 \\ & 14: 23 \end{aligned}$ |
| S119 | 4144 | (H04L29/08657, G01S19/14, G01S5/02).Cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { LSOCRENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 26 \\ & 14: 23 \end{aligned}$ |
| S120 | 3826 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | \|US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 26 \\ & 14: 24 \end{aligned}$ |
| S121 | 47 | (H04W88/08, H04W72/044, H04W72/042).cpc. and (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component (adj carrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { LERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $14: 27$ |
| S122 | 25 | ((S116 S117 S118 S119 S120).cpc. and (1st first) near3 (radio band resource frequency) with (1st first) near3 ( $\propto$ (component adj carrier)) | US-PGPUB; USPAT; USOCR; IDERWENT; IBM_TDB | OR | OON | $12014 / 04 / 26$ |
| S123 | 13432 | (H04W88/08, H04W72/044, H04W72/042).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 04 \end{aligned}$ |
| S124 | 4341 | (H04W52/367, H04W52/12, H04W52/40).cpe. | $\begin{aligned} & \text { MS-PGPUB; } \\ & \text { USPAT; } \\ & \text { LSOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 04 \end{aligned}$ |
| S125 | 4208 | (H04L29/08657, G01S5/0252, G01S5/02).cpc. | $\begin{aligned} & \text { \|US-PGPUB; } \\ & \text { USPAT; } \\ & \text { LSOCR; } \\ & \text { IERMETDB; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 04 \end{aligned}$ |
| S126 | 3833 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { MS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB.. } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 04 \end{aligned}$ |
| S127 | 6154 | (H03F3/211, H04B7/0617, H04B7/0663).cpc. | IUS-PGPUB; USPAT; USOCR; IDERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 04 \end{aligned}$ |
| S128 | 98 | (S123 S124 S125 S126 S127) and (schedul\$4 near3 down\$1link) and (component near3 carrier) land single with carrier same (plurality multiple | $\begin{aligned} & \text { UUS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \end{aligned}$ | OR | ON | $12014 / 04 / 30$ |

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|  |  | Sseveral) with (DL down\$1link) with carrier |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| S129 | [52 | (S123 S124 S125 S126 S127) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single near6 carrier same (plurality multiple several) near3 (DL down\$1link) with carrier | US-PGPUB; USPAT USOCR; DERWENT IBM T IB | ON | $12014 / 04 / 30$ |
| S130 | 4 | (S123 S124 S125 S126 S127) and (schedul\$4) with component near3 carrier and (single near3 (DL down\$1link)) with (first with resource) and (multiple plurality several) near3 (DL downlink) with second with resource | US-PGPUB; OR USPAT USOCR; DERWENT IBM TDB IBM | ON | $12014 / 04 / 30$ |
| S131 | 2 | (up\$1link UL) and (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource | US-PGPUB; OR USPAT USOCR; DERWENT IBM I | ON | $12014 / 04 / 30$ |
| S132 | 2 | (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource | US-PGPUB; OR USPAT USOCR; DERWENT IBM I B B | ON | $12014 / 04 / 30$ |
| S133 | 2 | (schedul\$4) same (single near3 (DL down\$1 link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource | US-PGPUB; OR USPAT USOCR; DERWENT IBM I | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 44 \end{aligned}$ |
| S134 | 2 | (schedul\$4) same (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) | US-PGPUB; OR <br> USPAT  <br> USORR;  <br> DERWENT  <br> IBM T  | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 45 \end{aligned}$ |
| S135 | 16 | (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) | US-PGPUB; OR USPAT USOCR; DERWENT IBM I | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 11: 45 \end{aligned}$ |
| S136 | 1 | \|lallocation with (PUSCH PUCCH UL (up\$1link)) and "20100232373" | US-PGPUB; USPAT USOCR; DERWENT IBM I I | ON | $14: 19$ |
| S137 | 1 | "20100232373" | US-PGPUB; OR USPAT USOCR; DERWENT, IBM T T | ON | $\left\{\begin{array}{l} 2014 / 04 / 30 \\ 14: 21 \end{array}\right.$ |
| S138 | 2 | "20100271970" | US-PGPUB; OR USPAT USOCR; DERWENT, BM I BM | ON | $\begin{aligned} & 2014 / 04 / 30 \\ & 14: 32 \end{aligned}$ |
| S139 | 154 | \|"20100322173"||"20110081913" | |  |  | $\begin{array}{\|l\|} \hline 2014 / 10 / 15 \\ 11: 49 \end{array}$ |
|  |  |  |  |  | 22-00648 |
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|  |  |  | $\begin{aligned} & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S151 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (sererv\$4 sav\$4) near3 (other 2nd second another) adj 3 (resource frequency channel Bin) | $\begin{aligned} & \text { USSPGPUB; } \\ & \text { USSACR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 10 / 23 \\ & 11: 32 \end{aligned}$ |
| S152 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (rererv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) | $\begin{aligned} & \text { \|US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $12014 / 10 / 23$ |
| S153 | 4 | 455/451,452.1,509,456. 1,522, 137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 10 / 23 \\ & 11: 34 \end{aligned}$ |
| S154 | 3 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another) adj 3 (resource frequency channel Bin) and (CC component) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \end{aligned}$ | OR | ON | $12014 / 10 / 23$ |
| S155 | 4 | "455"/\$.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same (2nd second other another) adj6 (radio resource frame)) and (reserv\$4 sav\$4 us\$3) near3 (other 2nd second another) adj 3 (resource frequency channel Bin) and (CC component) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2014 / 10 / 23 \\ & 11: 39 \end{aligned}$ |
| S156 | 15 | \|"20050013279" | "20030219028" |$\|$"20070217406" \| "20020105970" | <br> "20060050664" \| "20090303938" | <br> "20070064669").PN. . | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USORR; } \\ & \text { IBRWETT; } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 23 \\ 12: 07 \end{array}\right.$ |
| S157 | 10 | $\begin{aligned} & 455 " / \$ . \text { ccls. and (schedul\$3 near3 downlink) } \\ & \text { same ( } \text { radio adj resource) (resource adj block)) } \end{aligned}$ same (CO (component adj carrier)) | UUS-PGPUB; <br> USPAT; <br> USOCR; DERWENT; IBM_TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 23 \\ 12: 07 \end{array}\right.$ |
| S158 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel) same (rererv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; TDB } \end{aligned}$ | OR | ON | $12014 / 10 / 31$ |
| S161 | 15374 | (H04W88/08, H04W72/044, H04W72/042).cpc. | UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2014 / 10 / 31 \\ & 17: 18 \end{aligned}$ |
| S162 | 4758 | (H04W52/367, H04W52/ 12, H04W52/40).cpc. | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBRWENT; } \end{aligned}$ | OR | ON | $12014 / 10 / 31$ |
| S163 | 4377 | )(H04L29/08657, G01S5/0252, G01S5/02).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2014 / 10 / 31 \\ & 17: 18 \end{aligned}$ |
| S164 | 4042 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | UUS-PGPUB; | OR | ON | 2014/10/31 |

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|  |  |  | UUSPAT; USOCR; DERWENT; IBM TDB |  |  | 17:18 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S165 | 6867 | $(\mathrm{H} 03 \mathrm{F3} / 211, \mathrm{H} 04 \mathrm{B7} / 0617, \mathrm{H} 04 \mathrm{B7/0669}) . \mathrm{cpc} .$ | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 10 / 31 \\ 17: 18 \end{array}\right.$ |
| S167 | 1 | "14170939" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | 2014/11/17 |
| S168 | 499 | (component near2 carrier) with (primary near2 cell) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 11 / 18 \\ 14: 07 \end{array}\right.$ |
| S169 | 401 | " 370 " $/ \$$. ccls. and (component near2 carrier) with (primary near2 cell) | US-PGPUB; <br> USPAT; <br> USOCR; <br> DERWENT; <br> IBM TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 11 / 18 \\ 14: 07 \end{array}\right.$ |
| S170 | 378 | "370"/\$.ccls. and (component adj2 carrier) with (primary adj2 cell) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 11 / 18 \\ 14: 07 \end{array}\right.$ |
| S171 | [185 | " 370 "/\$.ccls. and (component adj2 carrier) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2014 / 11 / 18 \\ & 14: 08 \end{aligned}$ |
| S172 | ! | " 370 "/\$.ccls. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12014 / 11 / 18$ |
| S173 | ! | single near4 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2014 / 11 / 18 \\ & 14: 19 \end{aligned}$ |
| S174 | 287 | "370"/\$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1 link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\left\{\begin{array}{l} 2014 / 11 / 18 \\ 14: 21 \end{array}\right.$ |
| S175 | 1 | @ad<"20091004" and "370"/\$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12014 / 11 / 18$ |
| S176 | 287 | " 370 "/\$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2014 / 11 / 18 \\ & 14: 22 \end{aligned}$ |
| S177 | 29 | \|"(20100322173" | "20110081913" | | US-PGPUB; | OR | OFF | 2015/10/01 |

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| S187 | 24 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | OFF | $\begin{aligned} & 2015 / 10 / 02 \\ & 12: 23 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S188 | 1 | "14030298" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | OFF | $\begin{aligned} & 2015 / 10 / 02 \\ & 15: 41 \end{aligned}$ |
| S189 | 198 | ((1st first) adj6 (radio resource frame)) and ((2nd second) adj 6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 03 \\ & 16: 15 \end{aligned}$ |
| S190 | 1 | "14102508" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12015 / 10 / 13$ |
| S191 | 0 | "14158378" | $\begin{aligned} & \text { US-PGPBB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 17 \end{aligned}$ |
| S192 | 1 | "14097736" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \hline \text { BM TDB } \end{aligned}$ | OR | ON | 2015/10/13 |
| S193 | 2 | "14006545" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $\} 14: 17$ |
| S194 | 1 | "13875620" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 18 \end{aligned}$ |
| S195 | 1 | "13905342" | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $14: 18$ |
| S196 | 1 | "13477988" | US-PGPUB; USPAT; USOCR; DERWENT: IBM TDB | OR | ON | $\left\{\begin{array}{l} 2015 / 10 / 13 \\ 14: 18 \end{array}\right.$ |
| S197 | 2 | "13293245" | US-PGPUB; USPAT; USOCR; DERWENT; | OR | ON | $14$ |
|  |  |  |  |  |  | 22-00648 |
| . 153503 | 60_A | ibleVersion.htm[6/26/2017 8:07:41 PM] |  | App | 10 | Page 205 |



|  |  |  | IBM TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S210 | 58 |  | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 25 \end{aligned}$ |
| S211 | 1 | "13906370" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 38 \end{aligned}$ |


| S212 | 58 |  | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 14: 51 \end{aligned}$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S213 | 0 | (H04W88/08, H04W72/044, H04W72/042).cpc. and (H04W52/367, H04W52/12, H04W52/40).cpc. and (H04L29/08657, G01S5/0252, G01S5/02).cpc. and (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | \|2015/10/13 |
| S214 | 36289 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{array}{r} 2015 / 10 / 13 \\ 14: 56 \\ \\ \\ \end{array}$ |


| S215 |  |  | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | :OR | !ON | $13$ |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S216 | 553 | ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 12015 / 10 / 13 \\ & 17: 05 \\ & . \\ & \hline \end{aligned}$ |
| S217 | 553 | :((david near2 astely) (robert near2 baldemair) | USPAT; | OR | ON | $\begin{aligned} & 2015 / 10 / 13 \\ & 17: 05 \\ & 22-00648 \end{aligned}$ |


|  |  | (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. | USOCR; DERWENT; IBM TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S218 | 131 | (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and carrier adj aggregation | $\begin{aligned} & \text { US-PGPPB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | $17: 07$ |
| S219 | 48 | " 455 "/ \$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same (( 1 st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource lframe)) and carrier adj aggregation | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \hline \text { IBM_TDB } \end{aligned}$ | OR | ON | $17: 27$ |
| S220 | 48 | (H04W88/08, H04W72/044, H04W72/042).cpc. and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj 6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12016 / 03 / 09$ |
| S221 | 15 | (set group) near6 (radio resource) with (2nd second other another) near6 (DL down\$link) near3 (component near3 carrier) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2016 / 03 / 09 \\ & 15: 26 \end{aligned}$ |
| S222 | 35 | 455/509,522,456.6, 137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $12016 / 03 / 09$ |
| S223 | 0 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj 3 carrier) same ((2nd second other another) adj 4 (radio resource frame)) | IUS-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $12016 / 03 / 09$ |
| S224 | O | (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $12016 / 03 / 09$ |
| S225 | 32 | (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and ( $C$ (component adj2 carrier)) with (primary adj2 cell) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\left\lvert\, \begin{array}{\|c\|} \hline 2016 / 03 / 09 \\ 16: 14 \end{array}\right.$ |
| S226 | 130 | 455/\$.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\mid$ |
| S227 | 30 |  | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $12016 / 03 / 09$ |
| S228 | 10 | (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { lBM_TDB } \end{aligned}$ | OR | ON | 2016/03/09 |
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|  |  | Wadj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S229 | 3 | ["20070030661" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON |  |
| S230 | 76 | 370/329,252,331.ccls. and ((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) | USS-PGPUB; USPAT; USOCR; IERWENT; IBM_TDB | OR | ON | oone |
| S231 | 0 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin $\$ 3$ ) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USECR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | \|le |
| S233 | 0 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin $\$ 3$ ) with (primary adj cell) same2 (multiple several set) near6 carrier | $\begin{aligned} & \text { WSS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { FPPO; JPO; } \\ & \text { LERWENT } \\ & \text { LBM TDB } \end{aligned}$ | OR | ON | $12016 / 03 / 16$ |
| S234 | 18 | (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul $\$ 3$ assigin $\$ 3$ ) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPB; } \\ & : \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB }: \end{aligned}$ | OR | ON |  |
| S235 | [18 | (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWETDB; } \end{aligned}$ | OR | ON | $12016 / 03 / 16$ |
| S236 | 7 | (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin $\$ 3$ ) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down $\$$ link)) and (second 2nd another other) near3 (radio frequency band resources) | $\begin{aligned} & \text { UUS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | $12016 / 03 / 16$ |
| S237 | 0 | 455/509,522,456.6, 137,103,575.ccls. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | UUS-PGPUB; USPAT; USOCR; DERWENT; lBM_TDB |  | ON | $12016 / 03 / 16$ |
| S238 | 7 | (A01B12/006, H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | $\begin{aligned} & \text { MUS-PGPUB; } \\ & \text { USPAT; } \\ & \text { SPRCR; } \\ & \text { FPRO; JPO; } \\ & \text { EDERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ |  | ON | $12016 / 03 / 16$ |
| S239 | 4 | $(H 04 W 88 / 08, H 04 W 72 / 044, H 04 W 72 / 042$, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { UUS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { FPRS; } \end{aligned}$ | OR | ON | $\begin{array}{\|l\|} \hline 2016 / 03 / 16 \\ 12: 47 \end{array}$ |
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|  |  |  | BM TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S250 | 2 | ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ION | $12016 / 06 / 24$ |
| S251 | 5 | [455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another next) adj 3 (resource frequency channel Bin ) and ( C component) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERENT; } \end{aligned}$ | OR | ON | $12016 / 06 / 24$ |
| S252 | 1 | "\|12896993" | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { ISERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2016 / 06 / 24 \\ 12: 05 \end{array}\right.$ |
| S253 | 61 | 370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | :2016/06/24 |
| S254 | 2 | ("20120147847").PN. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { FPRSR; } \\ & \text { EPO; JPO; } \\ & \text { DERWENT } \\ & \text { IBM TDB } \end{aligned}$ | OR | OFF | $\begin{aligned} & 2016 / 06 / 24 \\ & 12: 48 \end{aligned}$ |
| S257 | 29 | 455/509,522,456.6,137,103,575.ccls. and (schedul\$3 assigin\$3) with component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | $\begin{aligned} & \text { USSPAPPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $12016 / 06 / 24$ |
| S258 | 22 | 455/\$.ccls. and (1st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USECR; } \\ & \text { IBM TDB; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2016 / 06 / 24 \\ & 14: 32 \end{aligned}$ |
| S259 | 2 | /("20120147847").PN. | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $2016 / 06 / 24$ |
| S260 | 21 | 455/ \$.ccls. and ((first 1st) adj6 component adj3 carrier) same (( 1 st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource Sframe)) | $\begin{aligned} & \text { US-PGPBB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | 2016/06/24 |
| S261 | 33 |  | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | 2016/06/24 |
|  | 13 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, | US-PGPUB; | OR |  | $\begin{array}{\|l\|} 201 E / 06 / 24 \\ 22: 18 \end{array}$ |
|  |  |  |  |  | IPR | 22-00648 |
| .15350360_AccessibleVersion.htm[6/26/2017 8:07:41 PM] |  |  | Apple EX1002 Page 211 |  |  |  |


|  |  | H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. land (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band (resources) same component adj carrier | $\begin{aligned} & \text { USOCR; } \\ & \text { FPRS; } \\ & \text { EPO; JPO; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S266 | \% 60 |  | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | 3ON | $\begin{array}{\|l\|l} 2017 / 03 / 16 \\ 15: 34 \end{array}$ |
| S267 | S | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) ladj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame frequency)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ |  | 3ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 15: 39 \end{aligned}$ |
| S268 | 0 | 455/\$.ccls. and (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2017 / 03 / 16 \\ 15: 55 \end{array}\right.$ |
| S269 | 0 | $\begin{aligned} & \text { (H04B1/3833, H04M1/0247, H04M1/0237).cpc. } \\ & \text { and (first 1st) near3 (radio adj resource) and } \\ & \text { (second other another 2nd) near3 (radio adj } \\ & \text { resource) same (carrier adj aggregation) and } \\ & \text { (schedul\$3 near3 (down\$link DL reverse\$1link)) } \end{aligned}$ | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IBMWENT; TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 15: 56 \\ & \end{aligned}$ |
| S270 | 10 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | US-PGPUB; USPAT; USOCR; DERWENT IBM_TDB | OR | ON | $\left\{\begin{array}{l} 2017 / 03 / 16 \\ 15: 57 \\ \\ \\ \hline \end{array}\right.$ |
| S271 | 901 | schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { LSERRFENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | $\left\{\begin{array}{l} 2017 / 03 / 16 \\ 17: 30 \end{array}\right.$ |
| S272 | \%67 | : (H04W88/08, H04W72/044, H04W72/042I).cpc. | US-PGPUB; | OR | ON | 2017/03/16 |


|  |  | land schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control ladj2 (info information)) with (CC (component :ladj2 cacarrier)) | IUSPAT; USOCR; DERWENT; IIBM_TDB |  |  | 177:31 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S273 | 19 | (H04W88/08, H04W72/044, H04W72/042I).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) and schedul\$3 with (non\$1 primary second 2nd secondary) adj2 cell | $\begin{aligned} & \text { US-PGPUB; } \\ & \hline \text { USPAT; } \\ & \hline \text { USOCR; } \\ & \text { DERWENT; } \\ & \hline \text { BM_TDB } \end{aligned}$ |  | ON | \|2017/03/16 |
| S274 | 41 | (H04W88/08, H04W72/044, H04W72/042I).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information)) with (CC (component ladj2 carrier)) and (non\$1primary second 2nd secondary) adj2 (CC (component adj2 carrier)) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \hline \text { IBM_TDB } \end{aligned}$ |  | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 17: 39 \end{aligned}$ |
| S275 | 697 | Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TTDB } \end{aligned}$ | OR | ON | $\left[\begin{array}{l} 2017 / 03 / 16 \\ 18: 16 \end{array}\right.$ |
| S276 | 40 | Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (radio near3 resource) with (component near3 carrier) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \end{aligned}$ | OR | ON | \|2017/03/16 |
| S278 | 5 | 455/\$.ccls. and (set near3 radio near3 resource) same component adj carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \text { IBM TTBB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 19: 44 \end{aligned}$ |
| S279 | 34641 | (H04W88/08, H04W72/044, H04W72/042).cpc. | US-PGPUB; USPAT; USOCR; IERWENT; IBM_TDB | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 20: 26 \end{aligned}$ |
| S280 | 7394 | (H04W52/367, H04W52/12, H04W52/40).cpc. | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | 2017/03/16 |
| S281 | 6589 | (H04L29/08657, G01S5/0252, G01S5/02).cpc. | $\begin{aligned} & \text { US-PGPBB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 20: 26 \end{aligned}$ |
| S282 | 5176 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. | $\begin{aligned} & \text { US-PGPB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { DERWENT; } \\ & \hline \text { IBM_TDB } \end{aligned}$ |  | ON | $\begin{aligned} & 2017 / 03 / 16 \\ & 20: 26 \end{aligned}$ |
| S283 | 12417 | (H03F3/211, H04B7/0617, H04B7/0669).cpc. | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT; } \\ & \text { IBM TTBB } \end{aligned}$ |  | ON | 2017/03/16 |
| S284 | 131 | (S279 S280 S281 S282 S283) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | US-PGPUB; USPAT; USOCR; DERWENT; |  | ON | $2$ |
|  |  |  |  |  |  | 22-00648 |
| .15350360_AccessibleVersion.htm[6/26/2017 8:07:41 PM] |  |  | Apple EX1002 Page 213 |  |  |  |


|  |  |  | IIBM TDB |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S285 | 126 | I/(H04W88/08, H04W72/044, H04W72/042).cpc. and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON |  |
| S286 | 3 | Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and schedul\$3 near3 (transmit\$4 transmi\$5 communication) with (CC (component adj2 cacarrier)) | $\begin{aligned} & \text { USSSASPUB; } \\ & \text { USPAT; } \\ & \text { UDERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON | \|2017/03/16 |
| S287 | 62 | 455/451,452.1,509,456. 1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (control\$3 adjst\$3) inear6 (CC component) | $\begin{aligned} & \text { USSPGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IERWENT; } \end{aligned}$ | OR | ON | $12017 / 03 / 17$ |
| S288 | 0 | 455/451,452.1,509,456. 1,522, 137, 103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same (multiple several set) near3 component adj2 carrier | JUS-PGPUB; USPAT; USOCR; IFPRS; EPO; JPO; DERWENT; IBM TDB | OR | ON | $12017 / 03 / 17$ |
| S289 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same2 (multiple several set) near3 component adj2 carrier | US-PGPUB;USPAT; <br> USOCR; <br> FPRS; <br> EPO; JPO; <br> DERWENT; <br> IBM TDB , | OR | ON | $12017 / 03 / 17$ |
| S290 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat $\$ 3$ ) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj2 cell) and (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { USS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { IPRS; } \\ & \text { EPOP; JPO; } \\ & \text { DERWENT; } \end{aligned}$ | OR | ON | $12$ |
| S291 | 1 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { YSS-PGPUB; } \\ & \text { USPAT; } \\ & \text { FPRSR; } \\ & \text { EPO; JPO; } \\ & \text { BERWENT; } \\ & \text { IBM_TDB } \end{aligned}$ | OR | ON |  |
| S292 | 19 | 455/451,452. 1,509,456. 1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { UUS-PGPUB; } \\ & \text { USPAT; } \\ & \text { USOCR; } \\ & \text { FPRS; } \\ & \text { EPO; JPO; } \\ & \text { DERWENT } \\ & \text { BM_TDB } \end{aligned}$ | OR | ON | $12017 / 03 / 17$ |
| S294 | 178 | 370/ \$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB | OR | ON | $12017 / 03 / 17$ |
| S295 |  | 455/\$.ccls. and (allocat\$3) with (resource | US-PGPUB; | OR |  | 201703/T7 |
| 153503 | _Ac | leVersion.htm[6/26/2017 8:07:41 PM] |  |  | x10 | Page 214 |


|  |  | Ufrequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component carrier | UUSPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB |  |  | 16:28 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S296 | -178 | 370/\$ ccls and (allocat\$3) with (resource Ifrequency channel Bin) same2 (reserv\$4 sav\$4) ladj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { IPRRS; } \\ & \text { EPO; JPO; } \\ & \text { IERWENT } \\ & \text { IBM TDB } \end{aligned}$ | OR | ON | 2017/03/17 |
| S297 | 81 | 370/ \$.ccls. and (allocat\$3) with (reso frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier and (primary adj2 cell) | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM TDB | OR | ON | $12017 / 03 / 17$ |
| S298 | 3 | "12896993" | US-PGPUB; <br> USPAT; <br> USOCR; <br> FPRS; <br> EPO; JPO; <br> DERWENT; <br> IBM TDB | OR | ON | $12017 / 03 / 17$ |
| S299 | 3 | "9497004" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; BM TDB | OR | ON | $1$ |
| 5300 | -3 | "12896993" | US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB | OR | ON | \|2017/03/17 |
| 5301 | !223 | :370/329,341,348,395.4.ccls. and (carrier near3 laggregat\$3) and (component near3 carrier) same (up\$1link UL) with associat\$3 with down\$1link | US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB | OR | ON | $\begin{aligned} & 2017 / 06 / 25 \\ & 12: 46 \end{aligned}$ |
| 5302 | 264 | §370/329,341,348,395.4.ccls. and (carrier near3 laggregat\$3) and (component near3 carrier) same (up\$1link UL) with associat\$3 with (DL down\$1link) | $\begin{aligned} & \text { US-PGPUB; } \\ & \text { USPAT; } \\ & \text { DERWENT } \\ & \text { BMM TDB } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 06 / 25 \\ & 12: 47 \end{aligned}$ |
| 5303 | 121 | 370/329,341,348,395.4.ccls. and (carrier near3 laggregat\$3) same (component near3 carrier) ssame (up\$1link UL) with associat\$3 with (DL down\$1link) | $\begin{aligned} & \text { US-PGPUB; } \\ & \begin{array}{l} \text { USPAT; } \\ \text { USOCR; } \\ \text { DERWENT; } \\ \text { BM TDB } \end{array} \\ & \hline \end{aligned}$ | OR | ON | $3$ |
| 5304 | 75 | /((455/451,452.1,509,456.1,522,137,103,575.ccls.) ( $370 / 329,341,348,395.4 . c c l s$.)) and (carrier near3 aggregat\$3) same (component near3 carrier) same (up\$1 link UL) with associat\$3 with (DL down\$1link) and (schedul\$3 near3 downlink) | US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB | OR | ON | $\left\{\begin{array}{l} 2017 / 06 / 25 \\ 12: 48 \end{array}\right.$ |

EAST Search History (Interference)

| Ref \# | Hits | Search Query | DBs | Default Operator | Plurals | Time Stamp |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| L4 | 3 | 455/509,522,456.6,137,103,575.ccls. and '(downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation). | $\begin{aligned} & \text { UUS- } \\ & \text { PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2017 / 06 / 26 \\ & 18: 44 \end{aligned}$ |
| L7 | 12 | (H04W52/367, H04W52/12, H04W52/40).cpc. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel Iresource)) same(carrier adj aggregation) | USPGPUB; USPAT | OR | ON | 2017/06/26 |
| 5159 | O | [455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel) same (rererv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) | MUS- | OR | ON | $\begin{aligned} & 2014 / 10 / 31 \\ & 15: 24 \end{aligned}$ |
| S160 | 5 | (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (set group) near6 (radio resource) with (2nd second other lanother) near2 component | $\begin{aligned} & \overline{\mathrm{US}-} \\ & \text { PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | 2014/10/31 |
| S241 | 0 | (H04B1/3833, H04M1/0247, H04M1/0237).cpc. land (schedul\$3 assigin\$3) with (primary adj lcell) same2 (multiple several set) near3 icomponent adj2 carrier | $\begin{aligned} & \text { MPS- } \\ & \text { USPUB; } \end{aligned}$ | OR | ON | $12016 / 03 / 16$ |
| S242 | 7 | (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | USPGPUB; USPAT | OR | ON | 2016/03/16 |
| S243 | 7 | (A01B12/006, H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | $\begin{aligned} & \text { US- } \\ & \text { PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OR | ON | $\begin{aligned} & 2016 / 03 / 16 \\ & 12: 39 \end{aligned}$ |
| S244 | 1 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | IUSPAT | OR | ION | $12016 / 03 / 16$ |
| S245 | 4 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 | $\begin{array}{l\|} \hline \text { PSG- } \\ \text { PSUB } ; \end{array}$ | OR | ON | $\begin{aligned} & 2016 / 03 / 16 \\ & 12: 47 \end{aligned}$ |


|  |  | icomponent adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| S255 | -7 | (H04W88/08, H04W72/044, H04W72/042, <br> 荡04W52/367, H04W52/ 12, H04W52/40, <br> H04L29/08657, G01S5/0252, G01S5/02, <br> H04B1/3833, H04M1/0247, H04M1/0237).cpc. <br> and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | USPPGPUB; USPAT | OR | MON | $\begin{aligned} & 2016 / 06 / 24 \\ & 11: 48 \end{aligned}$ |
| S256 | 61 | 370/329,252,331.ccls. and (( first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) | USPGPUB; USPAT | OR | ON | 2016/06/24 |
| S263 | 14 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | UUSPAT | OR | OON | 2016/06/24 |
| S264 | 66 | (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) | USPGPUB; USPAT | OR | ON | $\begin{aligned} & 2016 / 06 / 24 \\ & 22: 15 \end{aligned}$ |
| S265 | 13 | (H04W88/08, H04W72/044, H04W72/042, <br> H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) same component adj carrier | $\begin{aligned} & \text { US- } \\ & \text { PGPUB; } \\ & \text { USPAT } \end{aligned}$ | OOR | ON | 2016/06/24 |
| S277 | 9 | (H04W88/08, H04W72/044, H04W72/042I).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) and schedul\$3 with (non\$1primary second 2nd secondary) adj2 cell | USPGPUB; USPAT | OR | ON | 2017/03/16 |
| S293 | 0 | 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same (multiple several set) near3 component adj2 carrier | USPGPUB; USPAT | OR | OON | :2017/03/17 |

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IPR2022-00648
Issue Classification
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| Application/Control No. <br> 15350360 | Applicant(s)/Patent Under Reexamination <br> ASTELY ET AL. |
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| Examiner | Art Unit |
| MD TALUKDER | 2648 |


| CPC |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Symbol |  |  |  | Type | Version |
| H04L | 5 | \# | 0053 | F | 2013-01-01 |
| H04L | 5 | \#. | 0005 | A | 2013-01-01 |
| H04L | 5 | \% | 001 | I | 2013-01-01 |
| H04L | 5 | \#, | 0094 | I | 2013-01-01 |
| H04W | 8 | \% | 24 | A | 2013-01-01 |
| H04W | 28 | \% | 26 | I | 2013-01-01 |
| H04W | 48 | \% | 16 | A | 2013-01-01 |
| H04W | 72 | \# | 0453 | I | 2013-01-01 |
| H04W | 72 | \% | 1273 | I | 2013-01-01 |
| H04W | 88 | , | 02 | A | 2013-01-01 |
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| NONE |  | Total Claims Allowed: |  |
| :--- | :---: | :---: | :---: |
| (Assistant Examiner) | (Date) |  |  |
| MD TALUKDER/ <br> Primary Examiner.Art Unit 2648 <br> (Primary Examiner) | $06 / 26 / 2017$ | O.G. Print Claim(s) | O.G. Print Figure |


| Issue Classification | Application/Control No. $15350360$ | Applicant(s)/Patent Under Reexamination ASTELY ET AL. |
| :---: | :---: | :---: |
|  | Examiner <br> MD TALUKDER | Art Unit $2648$ |



| NONE |  | Total Claims Allowed: |  |
| :--- | :---: | :---: | :---: |
| (Assistant Examiner) | (Date) |  |  |
| MD TALUKDER/ <br> Primary Examiner.Art Unit 2648 <br> (Primary Examiner) | $06 / 26 / 2017$ | O.G. Print Claim(s) | O.G. Print Figure |
| 1 | (Date) | 1 | 1 |


| Issue Classification | Application/Control No. $15350360$ | Applicant(s)/Patent Under Reexamination ASTELY ET AL. |
| :---: | :---: | :---: |
|  | Examiner <br> MD TALUKDER | Art Unit <br> 2648 |


| 区 | Claims renumbered in the same order as presented by applicant |  |  |  |  |  |  | $\square$ | CPA |  | $\boxtimes \quad \text { т.D. }$ | $\square \quad \mathrm{R} .1 .47$ |  |  |  |
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| Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original | Final | Original |
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| NONE |  | Total Claims Allowed: |  |
| :--- | :---: | :---: | :---: |
| (Assistant Examiner) | (Date) | 30 |  |
| MD TALUKDER/ <br> Primary Examiner.Art Unit 2648 <br> (Primary Examiner) | $06 / 26 / 2017$ | O.G. Print Claim(s) | O.G. Print Figure |
| 1 | (Date) | 1 | 1 |

IPR2022-00648

| REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web) |  |  |  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Application Number | 15350360 | Filing Date | 2016-11-14 | Docket Number (if applicable) | 4015-9600 / P30138-US3 | Art Unit | 2648 |
| First Named Inventor | Astely Examiner <br> Name |  |  |  | Md K. Talukder |  |  |

## This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.

Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8 , 1995, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV

## SUBMISSION REQUIRED UNDER 37 CFR 1.114

Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.
$\square$ Consider the arguments in the Appeal Brief or Reply Brief previously filed on
$\square$ Other

Enclosed
A Amendment/ReplyInformation Disclosure Statement (IDS)
$\square$ Affidavit(s)/Declaration(s)
$\square$ Other

## MISCELLANEOUS

Suspension of action on the above-identified application is requested under 37 CFR 1.103 (c) for a period of months (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17 (i) required)Other

## FEES

The RCE fee under 37 CFR 1.17 (e) is required by 37 CFR 1.114 when the RCE is filed.
The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No $\qquad$
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

## $\times$ Patent Practitioner Signature <br> Applicant Signature

## Signature of Registered U.S. Patent Practitioner

| Signature | David E. Bennett, Reg. No. 32194i | Date (YYYY-MM-DD) | $2017-09-14$ |
| :--- | :--- | :--- | :--- | :--- |
| Name | pavid E. Bennett | Registration Number | 32194 |

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is govemed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Astely et al.
Serial No.: 15/350,360
Filed: November 14, 2016
For: PUCCH Resource Allocation for Carrier Aggregation in LTE-Advanced

Docket No: 4015-9600 / P30138-US3
Mail Stop RCE
Commissioner for Patents

Examiner: Md K. Talukder
Group Art Unit: 2648
Confirmation No.: 1120

14 September 2017
P.O. Box 1450

Alexandria, VA 22313-1450

## PRELIMINARY AMENDMENT

This paper is being filed accompanying a Request for Continued Examination. The requisite fee of $\$ 1,200$ is submitted for filing the Request for Continued Examination. Reconsideration is respectfully requested in light of the amendments and/or remarks below. The Office is hereby authorized to charge any fees required for entry of this paper to Deposit Account 18-1167.

## CLAIMS LISTING

1. (Previously Presented) A method implemented by a base station of receiving control information from a user terminal, the method comprising:
scheduling downlink transmissions to a first user terminal only on a single downlink component carrier associated with a primary cell and scheduling downlink transmissions to a second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell;
receiving, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and
receiving, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
2. (Previously Presented) The method of claim 1, wherein the first and second sets of radio resources are different.
3. (Previously Presented) The method of claim 2, wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
4. (Previously Presented) The method of claim 1, further comprising transmitting control information to the first user terminal to explicitly indicate the first set of radio resources on the uplink component carrier associated with the primary cell.
5. (Previously Presented) The method of claim 1, further comprising providing the first user terminal with an implicit indication to dynamically assign radio resources in said first set of radio resources.
6. (Previously Presented) The method of claim 5, wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) used for scheduling the first user terminal.
7. (Previously Presented) The method of claim 1, further comprising transmitting control information to the second user terminal on a downlink component carrier to implicitly or explicitly indicate the second set of radio resources on the uplink component carrier associated with the primary cell.
8. (Previously Presented) The method of claim 7, wherein at least one of the first and second sets of radio resources is indicated explicitly by an uplink control channel resource index.
9. (Previously Presented) The method of claim 8, wherein an explicit indication related to the second set of radio resources is transmitted as radio resource control signaling.
10. (Previously Presented) The method of claim 1, further comprising transmitting, on the single downlink component carrier, an assignment of radio resources in the second set of radio resources when the second user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
11. (Previously Presented) The method of claim 10, wherein the assignment of radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources to the second user terminal when the second user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
12. (Previously Presented) The method of claim 11, wherein the acknowledgement resource indication selects radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources.
13. (Previously Presented) The method of claim 1, further comprising:
receiving control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.
14. (Previously Presented) The method of claim 1, further comprising:
if the first user terminal is scheduled to receive downlink transmissions on a second single downlink component carrier associated with a non-primary cell, receiving control information associated with the downlink transmissions to the first user terminal on the second set of radio resources on the uplink component carrier associated with the primary cell, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on the second single downlink component carrier.
15. (Previously Presented) The method of claim 1, wherein the first user equipment is the same as the second user equipment.
16. (Previously Presented) The method of claim 1, wherein the first user equipment is different from the second user equipment.
17. (Previously Presented) A base station comprising:
a transmitter to transmit user data on one or more downlink component carriers to a first user terminal and a second user terminal; and
a controller to schedule downlink transmissions to the first user terminal and the second user terminal, the controller configured to:
schedule downlink transmissions to the first user terminal only on a single downlink component carrier associated with a primary cell and schedule downlink transmissions to the second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell;
receive, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and
receive, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
18. (Previously Presented) A method implemented by a user terminal of transmitting control information in a mobile communication network, the method comprising:
receiving an assignment of radio resources for downlink transmissions from a base station;
transmitting, on a first set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources only on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and
transmitting, on a second set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmission, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
19. (Previously Presented) The method of claim 18, wherein the first and second sets of radio resources are different.
20. (Previously Presented) The method of claim 19, wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
21. (Previously Presented) The method of claim 18, further comprising receiving control information from the base station explicitly indicating the first set of radio resources on the uplink component carrier associated with the primary cell.
22. (Previously Presented) The method of claim 21, wherein said receiving the control information comprises receiving an uplink control channel resource index explicitly indicating said first set of radio resources.
23. (Previously Presented) The method of claim 22, wherein an explicit indication relating to the second set of radio resources is received as radio resource control signaling.
24. (Previously Presented) The method of claim 18, further comprising receiving an implicit indication to dynamically assign radio resources in said first set of radio resources.
25. (Previously Presented) The method of claim 24, wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) on which the assignment of radio resources for downlink transmissions is received.
26. (Previously Presented) The method of claim 18, further comprising receiving, on the single downlink component carrier, an assignment of radio resources in the second set of radio resources when the user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
27. (Previously Presented) The method of claim 26, wherein the assignment of radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources in when the user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
28. (Previously Presented) The method of claim 27, further comprising selecting radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources, responsive to the acknowledgement resource indication.
29. (Previously Presented) The method of claim 18, further comprising: transmitting control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.
30. (Previously Presented) A user terminal for mobile communications, the user terminal comprising:
a receiver to receive downlink transmissions from a base station;
a transmitter to transmit control information associated with the downlink transmission to a base station; and
a controller to select radio resources for transmission of control information associated with the downlink transmissions, the controller configured to:
select a first set of radio resources responsive to being assigned radio resources only on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and select a second set of radio resources responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmissions, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
31. (New) The user terminal of claim 30, wherein the controller is further configured to select, as the second set of radio resources, a set of radio resources different than the first set of radio resources.
32. (New) The user terminal of claim 31, wherein the controller is further configured to select, as the second set of radio resources, additional resources as compared to the first set of radio resources.
33. (New) The user terminal of claim 30, wherein the controller is further configured to receive control information from the base station explicitly indicating the first set of radio resources on the uplink component carrier associated with the primary cell.
34. (New) The user terminal of claim 33, wherein the controller is further configured to receive, as the control information, an uplink control channel resource index explicitly indicating said first set of radio resources.
35. (New) The user terminal of claim 34, wherein the controller is further configured to receive radio resource control signaling including an explicit indication relating to the second set of radio resources.
36. (New) The user terminal of claim 30, wherein the controller is further configured to receive an implicit indication to dynamically assign radio resources in said first set of radio resources.
37. (New) The user terminal of claim 36, wherein the implicit indication comprises a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) on which the assignment of radio resources for downlink transmissions is received.
38. (New) The user terminal of claim 30, wherein the controller is further configured to receive, on the single downlink component carrier, an assignment of radio resources in the second set of radio resources when the user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
39. (New) The user terminal of claim 26, wherein the assignment of radio resources in said second set of radio resources comprises an acknowledgement resource indication to dynamically assign radio resources in when the user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
40. (New) The user terminal of claim 27, wherein the controller is further configured to select radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources, responsive to the acknowledgement resource indication.
41. (New) The user terminal of claim 18, wherein he controller is further configured to transmit control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.

## REMARKS

In the Notice of Allowance dated July 5, 2017, the Examiner indicated that claims 1-30 were allowable. New claims 31-41 have been added. The new claims all depend directly or indirectly from independent claim 30, which was deemed to be allowable over the prior art of record. New claims 31-41 correspond to allowed dependent claims 19-29, which were also deemed to be allowable. Therefore, it is respectfully submitted that the application is in condition for allowance.

Respectfully submitted, COATS \& BENNETT, P.L.L.C.
/ David E. Bennett, Reg. No. 32,194 /
Dated: 14 September 2017
David E. Bennett
Registration No.: 32,194
Telephone: (919) 854-1844

| Electronic Patent Application Fee Transmittal |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| Application Number: | 15350360 |  |  |  |
| Filing Date: | 14-Nov-2016 |  |  |  |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |  |  |  |
| First Named Inventor/Applicant Name: | David Astely |  |  |  |
| Filer: | David E. Bennett/Robert Sivigny |  |  |  |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |  |  |  |
| Filed as Large Entity |  |  |  |  |
| Filing Fees for Utility under 35 USC 111(a) |  |  |  |  |
| Description | Fee Code | Quantity | Amount | Sub-Total in USD(\$) |
| Basic Filing: |  |  |  |  |
| Pages: |  |  |  |  |
| Claims: |  |  |  |  |
| CLAIMS IN EXCESS OF 20 | 1202 | 11 | 80 | 880 |
| Miscellaneous-Filing: |  |  |  |  |
| Petition: |  |  |  |  |
| Patent-Appeals-and-Interference: |  |  |  |  |
| Post-Allowance-and-Post-Issuance: |  |  |  |  |


| Description | Fee Code | Quantity | Amount <br> Sub-Total in <br> USD(\$) |  |  |
| :--- | :---: | :---: | :---: | :---: | :---: |
| Miscellaneous: | RCE- 1st Request | 1801 | 1 |  |  |


| Electronic Acknowledgement Receipt |  |
| :---: | :---: |
| EFS ID: | 30360483 |
| Application Number: | 15350360 |
| International Application Number: |  |
| Confirmation Number: | 1120 |
| Title of Invention: | PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED |
| First Named Inventor/Applicant Name: | David Astely |
| Customer Number: | 24112 |
| Filer: | David E. Bennett/Robert Sivigny |
| Filer Authorized By: | David E. Bennett |
| Attorney Docket Number: | 4015-9600 / P30138-US3 |
| Receipt Date: | 14-SEP-2017 |
| Filing Date: | 14-NOV-2016 |
| Time Stamp: | 10:44:47 |
| Application Type: | Utility under 35 USC 111(a) |

## Payment information:

| Submitted with Payment | yes |
| :--- | :--- |
| Payment Type | EFT |
| Payment was successfully received in RAM | $\$ 2080$ |
| RAM confirmation Number | 091417 INTEFSW10450400 |
| Deposit Account |  |
| Authorized User |  |

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

## File Listing:

| Document Number | Document Description | File Name | File Size(Bytes)/ Message Digest | Multi Part /.zip | Pages (if appl.) |
| :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | Request for Continued Examination (RCE) | 4015-9600_RCE.pdf | 697830 | no | 3 |
|  |  |  | f1e810a1cd2e4f0d138d261be7a1bb33617 aea1c |  |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| 2 |  | 4015-9600_Amendment.pdf | 87633 | yes | 13 |
|  |  |  | $\underset{\text { e642aa721 effibu232123308ae6a408ba7e }}{5053}$ |  |  |
| Multipart Description/PDF files in .zip description |  |  |  |  |  |
|  | Document Description |  | Start | End |  |
|  | Amendment Submitted/Entered with Filing of CPA/RCE |  | 1 | 1 |  |
|  | Claims |  | 2 | 12 |  |
|  | Applicant Arguments/Remarks Made in an Amendment |  | 13 | 13 |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| 3 | Fee Worksheet (SB06) | fee-info.pdf | 32296 | no | 2 |
|  |  |  |  |  |  |
| Warnings: |  |  |  |  |  |
| Information: |  |  |  |  |  |
| Total Files Size (in bytes): |  |  | 817759 |  |  |

IPR2022-00648

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.
National Stage of an International Application under 35 U.S.C. 371
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.
New International Application Filed with the USPTO as a Receiving Office
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.


This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Astely et al.
Serial No.: 15/350,360
Filed: November 14, 2016

## For: PUCCH Resource Allocation for Carrier Aggregation in LTE-Advanced

Docket No: 4015-9600 / P30138-US3

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)

Examiner: Md K. Talukder
Group Art Unit: 2648
Confirmation No.: 1120

17 October 2017

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

\section*{SUPPLEMENTAL PRELIMINARY AMENDMENT ACCOMPANYING RCE}

This paper is being filed as a supplemental preliminary amendment to the Request for Continued Examination (RCE) filed 14 September 2017. Reconsideration is respectfully requested in light of the amendments and/or remarks below. It is believed that no fees are due at this time, however, the Office is hereby authorized to charge any fees required for entry of this paper to Deposit Account 18-1167.

\section*{AMENDMENTS TO THE SPECIFICATION}

Please replace paragraph [0045] with the following amended paragraph:
[0045] Both PUCCH format 1 and format 2 signaling messages are transmitted on a resourceblock pair with one resource block in each slot. The resource-block pair is determined from the PUCCH resource index. Thus, the resource-block number to use in the first and second slot of a subframe can be expressed as:

Please replace paragraph [0065] with the following amended paragraph:
[0065] Fig. 9 illustrates an exemplary method 50 implemented by a base station 20 in a communication network 10 of receiving uplink control information from a user terminal 100 depending [[ion]] on the assignment of downlink component carriers. The base station 20 schedules the user terminal 100 to receive downlink transmissions on one or more downlink component carriers (block 52). The user terminal 100 may be scheduled to receive downlink transmissions on a single downlink component carrier associated with a primary uplink component carrier. In this case, the base station 20 receives control information associated with the downlink transmissions to the user terminal 100 on a first set of radio resources on the uplink primary component carrier (block 54). Alternatively, the user terminal 100 may be scheduled to receive downlink transmissions on multiple downlink component carriers, or on a single downlink component carrier other than the downlink component carrier associated with the uplink primary component carrier. In this alternative case, the base station 20 receives uplink control information associated with the downlink transmissions from the user terminal 100 on a second set of radio resources on the uplink component carrier (block 56).

Please replace paragraph [0066] with the following amended paragraph:
[0066] Fig. 10 illustrates an exemplary method 60 implemented by a user terminal of transmission of uplink control signaling to a base station 20. The user terminal 100 receives a radio resource assignment for a downlink transmission from the base station 20 (block 62). If the user terminal 100 detects assignments of radio resources for a single downlink component carrier, the user terminal 100 transmits, on a first set of radio resources on an uplink primary component carrier, uplink control information associated with the downlink transmissions (block 64). On the other hand, if the user terminal 100 receives assignments for multiple downlink component carriers, the user terminal 100 transmits, on a second set of radio resources on the uplink primary component carrier, uplink control information associated with downlink transmissions (block 66).

Please replace paragraph [0067] with the following amended paragraph:
[0067] Fig. 11 illustrates another exemplary method 70 implemented by a user terminal 100 of transmission of uplink control signaling to a base station 20. The user terminal 100 receives a radio resource assignment for a downlink transmission from the base station 20 (block 72). If the user terminal 100 detects assignments of radio resources for a first downlink component carrier, the user terminal 100 transmits, on a first set of radio resources on a uplink primary component carrier, uplink control information associated with the downlink transmissions (block 74). On the other hand, if the user terminal 100 receives assignments for a second downlink component carrier, the user terminal 100 transmits, on a second set of radio resources on the primary uplink component carrier, uplink control information associated with downlink transmissions (block 76).

\section*{REMARKS}

Paragraphs 45, 65, 66 and 67 in the Specification have been amended to correct minor typographical errors. No new matter had been added therefore, it is respectfully submitted that the application is in condition for allowance.

Respectfully submitted,
COATS \& BENNETT, P.L.L.C.
David e temot
Dated: 17 October 2017
David E. Bennett
Registration No.: 32,194
Telephone: (919) 854-1844

4 of 4
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{Electronic Acknowledgement Receipt} \\
\hline EFS ID: & 30679381 \\
\hline Application Number: & 15350360 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 1120 \\
\hline Title of Invention: & PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED \\
\hline First Named Inventor/Applicant Name: & David Astely \\
\hline Customer Number: & 24112 \\
\hline Filer: & David E. Bennett/Karen Nelson \\
\hline Filer Authorized By: & David E. Bennett \\
\hline Attorney Docket Number: & 4015-9600 / P30138-US3 \\
\hline Receipt Date: & 17-OCT-2017 \\
\hline Filing Date: & 14-NOV-2016 \\
\hline Time Stamp: & 14:51:32 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
\hline
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\section*{Payment information:}
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\hline \multicolumn{6}{|l|}{File Listing:} \\
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\begin{gathered}
\text { Multi } \\
\text { Part /.zip }
\end{gathered}
\] & Pages (if appl.) \\
\hline 1 & & 4015-9600_Supplemental_Prel minary_Amendment_to_RCE. pdf &  & yes & 4 \\
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\begin{tabular}{|c|c|c|c|}
\hline & \multicolumn{3}{|l|}{Multipart Description/PDF files in .zip description} \\
\hline & Document Description & Start & End \\
\hline & Preliminary Amendment & 1 & 1 \\
\hline & Specification & 2 & 3 \\
\hline & Applicant Arguments/Remarks Made in an Amendment & 4 & 4 \\
\hline \multicolumn{4}{|l|}{Warnings:} \\
\hline \multicolumn{4}{|l|}{Information:} \\
\hline \multicolumn{2}{|r|}{Total Files Size (in bytes):} & \multicolumn{2}{|c|}{27140} \\
\hline \multicolumn{4}{|l|}{\multirow[t]{5}{*}{\begin{tabular}{l}
This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. \\
New Applications Under 35 U.S.C. 111 \\
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. \\
National Stage of an International Application under 35 U.S.C. 371 \\
If a timely submission to enter the national stage of an international application is compliant with the conditions of \(\mathbf{3 5}\) U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. \\
New International Application Filed with the USPTO as a Receiving Office \\
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\title{
NOTICE OF ALLOWANCE AND FEE(S) DUE
}
COATS \(_{24112}{ }^{7590}\) BENNETT, PLLC \({ }^{10 / 24 / 2017}\)
1400 Crescent Green, Suite 300
Cary, NC 27518


DATE MAILED: 10/24/2017
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NO. & FILING DATE & FIRST NAMED INVENTOR & ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline \(15 / 350,360\) & \(11 / 14 / 2016\) & David Astely & \(4015-9600 /\) P30138-US3
\end{tabular}

TITLE OF INVENTION: PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline APPLN. TYPE & ENTITY STATUS & ISSUE FEE DUE & PUBLICATION FEE DUE & PREV. PAID ISSUE FEE & TOTAL FEE(S) DUE & DATE DUE \\
\hline nonprovisional & UNDISCOUNTED & \$960 & \$0 & \$0 & \$960 & 01/24/2018 \\
\hline
\end{tabular}

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS, STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

\section*{HOW TO REPLY TO THIS NOTICE:}
I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.
If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.
If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled
"Change in Entity Status (from status indicated above)".
For purposes of this notice, small entity fees are \(1 / 2\) the amount of undiscounted fees, and micro entity fees are \(1 / 2\) the amount of small entity fees.
II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section " \(4 \mathrm{~b} "\) of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.
III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

\section*{PART B - FEE(S) TRANSMITTAL}

\section*{Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE \\ Commissioner for Patents \\ P.O. Box 1450 \\ Alexandria, Virginia 22313-1450 \\ or Fax (571)-273-2885}

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

Certificate of Mailing or Transmission
I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.
\begin{tabular}{|rr|}
\hline & (Depositor's name) \\
\hline (Signature) \\
\hline & (Date) \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NO. & FILING DATE & FIRST NAMED INVENTOR & ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline 15/350,360 & 11/14/2016 & David Astely & 4015-9600 / P30138-US3 & 1120 \\
\hline
\end{tabular}

TITLE OF INVENTION: PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline APPLN. TYPE & ENTITY STATUS & ISSUE FEE DUE & PUBLICATION FEE DUE & PREV. PAID ISSUE FEE & TOTAL FEE(S) DUE & DATE DUE \\
\hline nonprovisional & UNDISCOUNTED & \$960 & \$0 & \$0 & \$960 & 01/24/2018 \\
\hline & NER & ART UNIT & CLASS-SUBCLASS & & & \\
\hline TALU & R, MD K & 2648 & 455-509000 & & & \\
\hline \multicolumn{3}{|l|}{\begin{tabular}{l}
1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). \\
Change of correspondence address (or Change of Correspondence Address form \(\mathrm{PTO} / \mathrm{SB} / 122\) ) attached. \\
"Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.
\end{tabular}} & \multicolumn{2}{|l|}{\begin{tabular}{l}
2. For printing on the patent front page, list \\
(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,
\end{tabular}} & \begin{tabular}{cc} 
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is & 3
\end{tabular} & \\
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\end{tabular}

\section*{3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)}

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.
(A) NAME OF ASSIGNEE
(B) RESIDENCE: (CITY and STATE OR COUNTRY)
\(\underline{\text { Please check the appropriate assignee category or categories (will not be printed on the patent) : } \quad \square \text { Individual } \square \text { Corporation or other private group entity } \square}\) Government
\begin{tabular}{|c|c|}
\hline 4a. The following fee(s) are submitted: & 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) \\
\hline \(\square_{\text {Issue Fee }}\) & \(\square\) A check is enclosed. \\
\hline \(\square\) Publication Fee (No small entity discount permitted) & \(\square\) Payment by credit card. Form PTO-2038 is attached. \\
\hline \(\square\) Advance Order - \# of Copies & \(\square\) The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number ___ (enclose an extra copy of this form). \\
\hline \multicolumn{2}{|l|}{5. Change in Entity Status (from status indicated above)} \\
\hline Applicant certifying micro entity status. See 37 CFR 1.29 & NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment. \\
\hline Applicant asserting small entity status. See 37CFR 1.27 & NOTE: If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entitlement to micro entity status. \\
\hline \(\square\) Applicant changing to regular undiscounted fee status. & NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable. \\
\hline
\end{tabular}

NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications.

\section*{Authorized Signature}

Typed or printed name

Date

Registration No.


Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)
(Applications filed on or after May 29, 2000)
The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.
Section \(1(\mathrm{~h})(2)\) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

\section*{OMB Clearance and PRA Burden Statement for PTOL-85 Part B}

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Conrol Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311 . The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

\section*{Privacy Act Statement}

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:
1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974 , as amended, pursuant to 5 U.S.C. \(552 \mathrm{a}(\mathrm{m})\).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122 (b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14 , as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

\section*{Notice of Allowability}
\begin{tabular}{|l|l|l|}
\hline \multicolumn{2}{|l|}{ Application No. } & \multicolumn{2}{|l|}{ Applicant(s) } \\
\(15 / 350,360\) & ASTELY ET AL. \\
\hline Examiner & Art Unit & \begin{tabular}{l} 
AlA (First Inventor to File) \\
Status \\
MD TALUKDER
\end{tabular} \\
& & No \\
\hline
\end{tabular}
-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address-All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.
1. \(\boxtimes\) This communication is responsive to 09/14/2017.
\(\square\) A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on \(\qquad\) .
2. \(\square\) An election was made by the applicant in response to a restriction requirement set forth during the interview on \(\qquad\) ; the restriction requirement and election have been incorporated into this action.
3. \(\boxtimes\) The allowed claim(s) is/are 1-41. As a result of the allowed claim(s), you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.
4. \(\square\) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

Certified copies:
a)All
b) \(\square\) Some
*C)None of the:
1. \(\square\) Certified copies of the priority documents have been received.
2. \(\square\)Certified copies of the priority documents have been received in Application No. \(\qquad\) .
3. \(\square\) Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).
* Certified copies not received: \(\qquad\) -

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.
5. \(\square\) CORRECTED DRAWINGS ( as "replacement sheets") must be submitted.
including changes required by the attached Examiner's Amendment / Comment or in the Office action of
Paper No./Mail Date \(\qquad\)
Identifying indicia such as the application number (see 37 CFR 1.84 (c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6.DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

\section*{Attachment(s)}
1. \(\boxtimes\) Notice of References Cited (PTO-892)
2. \(\square\) Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date
3. \(\square\) Examiner's Comment Regarding Requirement for Deposit of Biological Material
4. \(\square\) Interview Summary (PTO-413),

Paper No./Mail Date \(\qquad\) .
/MD TALUKDER/
Primary Examiner, Art Unit 2648Examiner's Amendment/Comment
6. \(\boxtimes\) Examiner's Statement of Reasons for Allowance
7. \(\square\) Other \(\qquad\) ـ.
1. The present application is being examined under the pre-AIA first to invent provisions.

\section*{REASONS FOR ALLOWANCE}
2. Claims 1-41 are allowed over the prior art of record. The following is an examiner's statement of reasons for allowance: Interpreting the claims in light of the specification. Claims has been found allowable because the prior art of record, does not teach, suggest or disclose "scheduling downlink transmissions to a first user terminal only on a single downlink component carrier associated with a primary cell and scheduling downlink transmissions to a second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell; receiving, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and receiving, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell" in combination with the rest of the limitations of the claim. The prior art of the record discloses a communication method between access point and a user station in a specific cell but does not disclose each and every aspect of the above limitation. Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee
and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

\section*{Conclusion}

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MD TALUKDER whose telephone number is (571)270-3222. The examiner can normally be reached on Monday to Friday from (9:30 to 4:00).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Wesley Kim can be reached on 571-272-7867. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.
\begin{tabular}{|c|l|l|l|}
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U.S. PATENT DOCUMENTS
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\hline\(*\) & A & US-2002/0160784 A1 & \(10-2002\) & Kuwahara, Soichi & H04W52/223 & \(455 / 452.1\) \\
\hline\(*\) & B & US-2010/0003997 A1 & \(01-2010\) & KOYANAGI; Kenichiro & H04L1/0003 & \(455 / 450\) \\
\hline\(*\) & C & US-2010/0098012 A1 & \(04-2010\) & Bala; Erdem & H04L5/001 & \(370 / 329\) \\
\hline\(*\) & D & US-2010/0208679 A1 & \(08-2010\) & Papasakellariou; Aris & H04L1/1614 & \(370 / 329\) \\
\hline\(*\) & E & US-2010/0232373 A1 & \(09-2010\) & Nory; Ravikiran & H04W72/1289 & \(370 / 329\) \\
\hline\(*\) & F & US-2010/0271970 A1 & \(10-2010\) & Pan; Kyle Jung-Lin & H04L1/0026 & \(370 / 252\) \\
\hline\(*\) & G & US-2010/0285809 A1 & \(11-2010\) & Lindstrom; Magnus & H04L5/001 & \(455 / 450\) \\
\hline\(*\) & H & US-2010/0296389 A1 & \(11-2010\) & Khandekar; Aamod Dinkar & H04L5/0007 & \(370 / 216\) \\
\hline\(*\) & I & US-2010/0322173 A1 & \(12-2010\) & Marinier; Paul & H04W76/048 & \(370 / 329\) \\
\hline\(*\) & J & US-2011/0007695 A1 & \(01-2011\) & Choi; Hyung-Nam & H04L5/0007 & \(370 / 329\) \\
\hline\(*\) & K & US-2011/0007699 A1 & \(01-2011\) & Moon; Sung Ho & H04L5/0053 & \(370 / 329\) \\
\hline\(*\) & L & US-2011/0081913 A1 & \(04-2011\) & Lee; Jung A. & H04L5/003 & \(455 / 450\) \\
\hline\(*\) & M & US-2011/0081932 A1 & \(04-2011\) & Astely; David & H04L5/001 & \(455 / 509\) \\
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*A copy of this reference is not beıng furnished with this Office action. (See MPEP § 707.05(a).)
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\hline\(*\) & A & US-2011/0243039 A1 & \(10-2011\) & PAPASAKELLARIOU; Aris & H04L1/1861 & \(370 / 280\) \\
\hline\(*\) & B & US-2011/0310856 A1 & \(12-2011\) & Hariharan; Priya & H04L1/1607 & \(370 / 336\) \\
\hline\(*\) & C & US-2012/0020317 A1 & \(01-2012\) & Ishii; Hiroyuki & H04L1/1854 & \(370 / 329\) \\
\hline\(*\) & D & US-2012/0051306 A1 & \(03-2012\) & Chung; Jae Hoon & H04L1/1893 & \(370 / 329\) \\
\hline\(*\) & E & US-2012/0082125 A1 & \(04-2012\) & Huang; Yada & H04L5/0007 & \(370 / 329\) \\
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\hline\(*\) & K & US-2013/0010721 A1 & \(01-2013\) & Aiba; Tatsushi & H04L1/1812 & \(370 / 329\) \\
\hline\(*\) & L & US-2013/0034073 A1 & \(02-2013\) & Aiba; Tatsushi & H04L1/0026 & \(370 / 329\) \\
\hline\(*\) & M & US-8,447,343 B2 & \(05-2013 ~\) & Gerstenberger; Dirk & H04W52/10 & \(370 / 248\) \\
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\hline\(*\) & A & US-2013/0136084 A1 & \(05-2013\) & ZHANG; Yuantao & H04W72/0413 & 370/329 \\
\hline\(*\) & B & US-8,472,368 B2 & \(06-2013\) & Baldemair; Robert & H04L5/0053 & 370/318 \\
\hline\(*\) & C & US-8,634,358 B2 & \(01-2014\) & Damnjanovic; Jelena M. & H04L1/1861 & 370/329 \\
\hline\(*\) & D & US-2014/0078941 A1 & \(03-2014\) & Seo; Dong Youn & H04L1/1822 & 370/280 \\
\hline\(*\) & E & US-8,792,830 B2 & \(07-2014\) & Lim; Suhwan & H04L25/02 & 375/260 \\
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\section*{BIB DATA SHEET}

CONFIRMATION NO. 1120

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MD TALUKDER
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* See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.
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\hline East Searched & \(3 / 17 / 2017\) & Talukder \\
\hline Assignee Searched & \(6 / 25 / 2017\) & Talukder \\
\hline Inventor Searched & \(6 / 26 / 2017\) & \\
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Primary Examiner.Art Unit 2648 \\
(Primary Examiner)
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\hline 7 & 7 & \({ }^{23}\) & \({ }^{23}\) & \({ }^{39}\) & \({ }^{36}\) & & & & & & & & & & \\
\hline 8 & 8 & \({ }^{24}\) & \({ }^{24}\) & 40 & \({ }^{37}\) & & & & & & & & & & \\
\hline 9 & 9 & 25 & \({ }^{25}\) & \({ }^{41}\) & \({ }^{38}\) & & & & & & & & & & \\
\hline 10 & 10 & \({ }^{26}\) & \({ }^{26}\) & & & & & & & & & & & & \\
\hline 11 & 11 & 27 & \({ }^{27}\) & & & & & & & & & & & & \\
\hline 12 & 12 & \({ }^{28}\) & 39 & & & & & & & & & & & & \\
\hline 13 & 13 & 29 & \({ }^{28}\) & & & & & & & & & & & & \\
\hline \({ }^{14}\) & 14 & \({ }^{30}\) & \({ }^{40}\) & & & & & & & & & & & & \\
\hline 15 & 15 & \({ }^{31}\) & 29 & & & & & & & & & & & & \\
\hline 16 & 16 & 32 & 41 & & & & & & & & & & & & \\
\hline
\end{tabular}
\begin{tabular}{|lc|c|c|}
\hline NONE & & \multicolumn{2}{|c|}{ Total Claims Allowed: } \\
(Assistant Examiner) & (Date) & 41 \\
\hline \begin{tabular}{l} 
MD TALUKDER/ \\
Primary Examiner.Art Unit 2648 \\
(Primary Examiner)
\end{tabular} & \(10 / 14 / 2017\) & O.G. Print Claim(s) & O.G. Print Figure \\
1 & (Date) & 1 & 1 \\
\hline
\end{tabular}

\section*{EAST Search History}

\section*{EAST Search History (Prior Art)}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline Ref & Hits & Search Query & DBs & Default Operator & Plurals & Time Stamp \\
\hline S1 & 1 & "12896993" & \[
\begin{aligned}
& \text { USSPGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2012 / 12 / 10 \\
17: 09
\end{array}\right.
\] \\
\hline S2 & 367 & ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 10 \\
& 19: 04
\end{aligned}
\] \\
\hline S3 & 176 & S2 and (radio near3 resource) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12012 / 12 / 10
\] \\
\hline S4 & 28 & S2 and (radio near3 resource) and (component with carrier) & \[
\begin{aligned}
& \text { USSPGT; } \\
& \text { USACR; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
1
\] \\
\hline S5 & 173 & (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \hline B M \text { TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2012 / 12 / 11 \\
09: 04
\end{array}\right.
\] \\
\hline S6 & 137 & S5 and (scheduling) & \[
\begin{aligned}
& \text { UUSSGTPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\}_{09: 04}^{2012 / 12 / 11}
\] \\
\hline S7 & 36 &  & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
0
\] \\
\hline 58 & 127 & (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
10: 16
\] \\
\hline S9 & 2 & "20110292887" & \[
\begin{aligned}
& \text { USGPGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
121: 17
\] \\
\hline
\end{tabular}

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\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S11 & 25 & ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 11: 22
\end{aligned}
\] \\
\hline S12 & 1718 & ((first 1st) adj6 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 11: 47
\end{aligned}
\] \\
\hline S13 & 66 & (carrier near3 aggregation) and ((first 1st) adj6 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB } \\
& \text { USPAT } \\
& \text { USOCR } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 11: 47
\end{aligned}
\] \\
\hline S14 & 10842 & 455/509,522,456.6,137,103,575.ccls. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 13: 41
\end{aligned}
\] \\
\hline S15 & 28232 & 370/329,252,331.ccls. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 13: 41
\end{aligned}
\] \\
\hline S16 & 102 & (S14 S15) and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T } \\
& \hline . . . . . . . . . . . . . . . . . . ~
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 13: 42
\end{aligned}
\] \\
\hline S17 & 1 & -"13140333" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & PR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 14: 18
\end{aligned}
\] \\
\hline S18 & 2 & i'"20110310856" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 14: 18
\end{aligned}
\] \\
\hline S19 & 38 & |((first 1st) adj6 component adj3 carrier) same ((radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 14: 31
\end{aligned}
\] \\
\hline S20 & 38 & (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 14: 31
\end{aligned}
\] \\
\hline S21 & 27 & (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 14: 32
\end{aligned}
\] \\
\hline S22 & 38 & (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 14: 32
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline \#S23 & 24 & (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left[\begin{array}{l}
2012 / 12 / 11 \\
14: 48
\end{array}\right.
\] \\
\hline S24 & 8 & " "75773699") |"PN. 7649960 " | "7656843" | & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\}
\] \\
\hline S25 & [2 & "20110292900" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12012 / 12 / 11
\] \\
\hline S26 & 2 & /"20100271970" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2012 / 12 / 11 \\
& 15: 37
\end{aligned}
\] \\
\hline S27 & 3 & "8050202" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12012 / 12 / 11
\] \\
\hline S28 & \(\square\) & "20120307689" & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\left\lvert\, \begin{aligned}
& 2012 / 12 / 11 \\
& 15: 45
\end{aligned}\right.
\] \\
\hline 529 & 2 & "8160017" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
3
\] \\
\hline S30 & 2 & "20100232373" & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
1
\] \\
\hline 531 & 2 & "20090016278" & US-PGPUB; USPAT: USOCR; DERWENT; IBM TDB & OR & ON & \[
17: 16
\] \\
\hline S32 & 2 & "8265030" & \[
\begin{aligned}
& \text { US-PGPPB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
17: 19
\] \\
\hline S33 & 3 & "2008139923" & \[
\begin{aligned}
& \text { US-PGPBB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
18: 17
\] \\
\hline 534 & 14 & \(\sqrt{(" 20100098012 "|" 20100232373 "|}\)\begin{tabular}{l} 
"20110310856"| "20120020317" | \\
"20120082125" | "20120140708" | \\
"8265030").PN.
\end{tabular} & US-PGPUB; USPAT: USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 05 / 29 \\
& 17: 19
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S35 & 7 & "455"/\$.ccls. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & \[
\begin{gathered}
\text { OR } \\
\text { PB }
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2013 / 05 / 29 \\
& 17: 22
\end{aligned}
\] \\
\hline S36 & 9 & "455"/\$.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 05 / 29 \\
& 21: 37
\end{aligned}
\] \\
\hline S38 & 4 & ("20070053294"|"20100290405").PN. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 05 / 30 \\
& 12: 42
\end{aligned}
\] \\
\hline S39 & 16 & ("7596114"|"20050013279"|"20030219028" |
\(" 20070217406\) "| "20020105970" |
"20060050664" | "20090303938" |
"20070064669").PN. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { BM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 05 / 30 \\
& 12: 42
\end{aligned}
\] \\
\hline S40 & 290 & (first 1st) with (component near2 carrier) with down\$1link & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & \[
\begin{gathered}
\text { OR } \\
\text { pB } \\
\cdots
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 10: 07
\end{aligned}
\] \\
\hline S41 & 114 & (first 1st) with (component near2 carrier) with down\$1link and receiv\$3 near3 control near3 information & US-PGPUB;
USPAT
USOCR;
DERWENT
IBM_T & \[
\begin{gathered}
\text { OR } \\
\text { PB } \\
\vdots
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 10: 09
\end{aligned}
\] \\
\hline S42 & 47 & (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and component adj carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 29
\end{aligned}
\] \\
\hline S43 & 26 & S42 and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 31
\end{aligned}
\] \\
\hline S44 & 5 & (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 46
\end{aligned}
\] \\
\hline S45 & 26 & (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 47
\end{aligned}
\] \\
\hline S46 & 31 & (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 49
\end{aligned}
\] \\
\hline S47 & 0 & @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 51
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline \$48 & 10 & @ad< "20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ION & \[
12013 / 06 / 17
\] \\
\hline S49 & 1 & @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB } \\
& \hline
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 53
\end{aligned}
\] \\
\hline 550 & 1 & @ad<"20091005" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12013 / 06 / 17
\] \\
\hline 551 & 1 & @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 12: 56
\end{aligned}
\] \\
\hline 552 & 20 & (second other another 2nd) near3 (radio adj resource) and (carrier adj component) & US-PGPUB USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 13: 31
\end{aligned}
\] \\
\hline 553 & 16 & (set near3 radio near3 resource) same component adj carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 14: 14
\end{aligned}
\] \\
\hline 554 & 27 & (set near3 ((radio near3 resource) (resource adj block))) same component adj carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \hline \text { IBM TDB } \\
& \hline
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 14: 19
\end{aligned}
\] \\
\hline 555 & 755 & (((radio near3 resource) (resource adj block))) same component adj carrier & \[
\begin{aligned}
& \text { US-PGPB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { UEERWENT; } \\
& \text { DBM TDB }
\end{aligned}
\] & OR & ON & \[
12
\] \\
\hline 55 & 70 & \(\sqrt{(\text { (second 2nd other) with ((radio near3 resource) }}\)
\(\sqrt{(\text { resource adj block))) same component adj }}\)
\(\sqrt{\text { carrier }}\) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TIDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 14: 26
\end{aligned}
\] \\
\hline 557 & 327 & (((radio near3 resource) (resource adj block))) same component adj carrier and (schedul\$3 near3 downlink reverse) & \[
\begin{aligned}
& \hline \text { USPGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB } \\
& \hline 1
\end{aligned}
\] & OR & ON & \[
14
\] \\
\hline 558 & 29 & ((second 2nd other) with ((radio near3 resource) (resource adj block))) same component adj carrier and (schedul\$3 near3 down\$1link reverse\$1link) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 14: 27
\end{aligned}
\] \\
\hline 559 & 24 & ((second 2nd other) with ((radio near3 resource) (resource adj block))) same (component adj carrier) same (down\$1link reverse\$1link) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB } \\
& \hline
\end{aligned}
\] & OR & ON & \[
14: 31
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline 560 & 10 & \(\sqrt{(" 20090097447 \text { " | "20110081856" } \mid}\) "20090116427"| "20100232373" | & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 14: 49
\end{aligned}
\] \\
\hline S61 & 2562 & (schedul\$3 near3 downlink) and ((radio adj Hresource) (resource adj block)) and component & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 15: 16
\end{aligned}
\] \\
\hline S62 & 739 & (schedul\$3 near3 downlink) and ((radio adj resource) (resource adj block)) and component ladj carrier & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 15: 17
\end{aligned}
\] \\
\hline S63 & 259 & (schedul\$3 near3 downlink) same ((radio adj fresource) (resource adj block)) and component ladj carrier & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12013 / 06 / 17
\] \\
\hline S64 & 39 & (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (component adj carrier) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
15: 18
\] \\
\hline S65 & 1 & @ad<"20091005" and (schedul\$3 near3 downlink) same ((radio adj resource) (resource ladj block)) same (component adj carrier) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12013 / 06 / 17
\] \\
\hline S66 & 1 & @ad<"20091005" and (schedul\$3 near3 downlink) same ((radio adj resource) (resource -adj block)) same (CC (component adj carrier)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12013 / 06 / 17
\] \\
\hline S67 & 47 & (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 17 \\
& 15: 20
\end{aligned}
\] \\
\hline S68 & 356 & "455"/\$.ccls. and ((radio adj resource) (resource (ladj block)) same (CC (component adj carrier)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
1
\] \\
\hline S70 & 19 & " 455 "/\$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same ((1st first) adj 6 (radio resource frame)) and ((2nd second) adj 6 carrier) same ((2nd second) adj6 (radio resource frame)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
17: 17
\] \\
\hline 571 & \% & ("2013/0107855").URPN. & USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 09: 15
\end{aligned}
\] \\
\hline S72 & \% & /("2013/0107855").URPN. & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 09: 16
\end{aligned}
\] \\
\hline S73 & 408 & set near3 (radio frequency) near2 (resource band) same downlink and component & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 09: 18
\end{aligned}
\] \\
\hline S74 & 17 & set near3 (radio frequency) near2 (resource band) same downlink same (component adj carrier) & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 09: 19
\end{aligned}
\] \\
\hline S75 & 19 & / (set group Cluster) near3 (radio frequency) near2 & US-PGPUB; & OR & ON & 2013/06/18 \\
\hline & & & & & & 22-00648 \\
\hline \multicolumn{3}{|l|}{.15350360_AccessibleVersion.htm[10/14/2017 5:40:28 PM]} & \multicolumn{4}{|r|}{Apple EX1002 Page 271} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & (resource band) same downlink same (component ladj carrier) & USPAT & & & 09:21 \\
\hline 576 & 12 &  & US-PGPUB; USPAT & OR & ON & 2013/06/18 \\
\hline 577 & 200 & (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 10: 37
\end{aligned}
\] \\
\hline S78 & 2911 & (UL up\$link) with (set group) near6 (radio resource) & US-PGPUB; USPAT & OR & ON & \[
\left[\begin{array}{l}
2013 / 06 / 18 \\
10: 38
\end{array}\right.
\] \\
\hline S79 & 110 & S77 and S78 & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 10: 38
\end{aligned}
\] \\
\hline 580 & 3 & (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (set group) near6 (radio resource) with (2nd second other another) near2 component & US-PGPUB; USPAT & OR & ON & \[
\left\{\begin{array}{l}
2013 / 06 / 18 \\
10: 47
\end{array}\right.
\] \\
\hline 581 & 28 & (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (component near3 carrier) & US-PGPUB; USPAT & OR & ON & \[
3
\] \\
\hline 582 & 5 & (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (second 2nd) near3 (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2013 / 06 / 18 \\
11: 20
\end{array}\right.
\] \\
\hline 583 & 4 & (1st first first primary initia) near3 (set group) near6 (radio resource) with (DL down\$link) near3 ( (component near3 carrier) & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 13: 50
\end{aligned}
\] \\
\hline 584 & 3 & (set group) near6 (radio resource) with (2nd second other another) near6 (DL down\$link) near3 (component near3 carrier) & US-PGPUB; USPAT & OR & ON & \[
\left[\begin{array}{l}
2013 / 06 / 18 \\
13: 52
\end{array}\right.
\] \\
\hline 585 & 42 & (set group) near6 (radio resource) with (DL down\$link) near3 (component near3 carrier) & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 18 \\
& 13: 58
\end{aligned}
\] \\
\hline 586 & 30 & (set group) near3 ((radio resource)(resource near2 block)) with (DL down\$link) near3 (component near3 carrier) & US-PGPUB; USPAT & OR & ON & \[
\left\{\begin{array}{l}
2013 / 06 / 18 \\
14: 07
\end{array}\right.
\] \\
\hline 587 & 2 & (second 2nd) near3 (down\$1link DL) with ( (component near3 carrier) CC) same (set group) with ((radio near2 resource) (resource near2 block)) & US-PGPUB; USPAT & OR & ON & \[
\left\{\begin{array}{l}
2013 / 06 / 18 \\
14: 14
\end{array}\right.
\] \\
\hline 588 & 21 & reserv\$3 with component near3 carrier and (second near2 (radio frequency band)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\left\{\begin{array}{l}
2013 / 06 / 25 \\
15: 31
\end{array}\right.
\] \\
\hline 589 & 36 & "739528" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & 2013/06/26 \\
\hline 590 & 30 & "5754138" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & 2013/06/26 \\
\hline & & (carrier near3 aggregation) and up\$1link with down\$1 link & US-PGPUB; USPAT; & OR & ON & \[
\begin{array}{|l|}
\hline 2013 / 06 / 26 \\
10: 24 \\
22-00648
\end{array}
\] \\
\hline 5350 & _Acce & bleVersion.htm[10/14/2017 5:40:28 PM] & & & 10 & Page 272 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & UUSOCR; DERWENT IBM TDB & & & \\
\hline S92 & 1052 & (carrier near3 aggregation) and (component near3 carrier) same up\$1link with down\$1link & \begin{tabular}{l}
US-PGPUB; \\
USPAT; \\
USOCR; \\
DERWENT; \\
IBM TDB
\end{tabular} & OR & ON & \[
\left\{\begin{array}{l}
2013 / 06 / 26 \\
0: 26
\end{array}\right.
\] \\
\hline 593 & 110 & (carrier near3 aggregation) and (component near3 carrier) same up \(\$ 1\) link with associat \(\$ 3\) with down\$1link & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { ISECR; } \\
& \text { DERWENT; } \\
& \hline \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 26 \\
& 10: 27
\end{aligned}
\] \\
\hline S95 & 17 & ("370"/\$.ccls "455"/\$.ccls.) and (aggregation) and (CC (component near3 carrier)) same up\$1link with associat \(\$ 3\) with down \(\$ 1\) link & \[
\begin{aligned}
& \text { US-SGPUB; } \\
& \text { USPAT; } \\
& \text { LERWENT; } \\
& \text { LBM TDB }
\end{aligned}
\] & OR & ON & \[
12
\] \\
\hline S96 & 67 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregation) and (component near3 carrier) same up\$1link with associat \(\$ 3\) with down \(\$ 1\) link & \[
\begin{aligned}
& \text { US-SGPUB; } \\
& \text { USPAT; } \\
& \text { LSOCRE } \\
& \text { IBM TDB; }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2013 / 06 / 26 \\
15: 26
\end{array}\right.
\] \\
\hline S97 & 345368 & schedule (DL (down adj link) down\$1link) and (carrier near3 aggregation) and ((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12013 / 06 / 26
\] \\
\hline S98 & 9 & |schedule near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) & IUS-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 26 \\
& 16: 46
\end{aligned}
\] \\
\hline S99 & 35 & (schedule allocat\$4) near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) & \[
\begin{aligned}
& \text { US-TGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2013 / 06 / 26 \\
& 16: 48
\end{aligned}
\] \\
\hline S100 & 0 & (1st first) near3 (radio band resource frequency) with (1st first) near3 (CCcomponent adj carrier) & :US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
17: 14
\] \\
\hline S101 & 216 & ( 1 st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12013 / 06 / 26
\] \\
\hline S102 & 43 & (1st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12013 / 06 / 26
\] \\
\hline S103 & [2 &  & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & 2013/06/27 \\
\hline S104 & 10 & |) \({ }^{\text {|20100254329 " | }}\) | & MUS-PGPUB; & OR & ON & 2013/06/27 \\
\hline & & & & & & 22-00648 \\
\hline 53503 & 60_Accessi & ibleVersion.htm[10/14/2017 5:40:28 PM] & & App & X10 & Page 273 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|}
\hline & & \[
\begin{aligned}
& \text { |"20100023282" | "20090274100" | } 20080316957 \text { ").PN. }
\end{aligned}
\] & IUSPAT
USOCR;
DERWENT
IBM_T B & & 10:15 \\
\hline S105 & 50 &  & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM_TDB & ON & \[
\begin{aligned}
& 2014 / 04 / 22 \\
& 13: 25
\end{aligned}
\] \\
\hline S106 & 13348 & (H04W88/08, H04W72/044, H04W72/042).cpc. & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM T & ON & \[
12014 / 04 / 22
\] \\
\hline S107 & 4330 & (H04W52/367, H04W52/12, H04W52/40).cpc. & US-PGPUB;
\begin{tabular}{l} 
USPAT \\
USOCR; \\
DERWENT; \\
IBM T
\end{tabular}\(| \mathrm{BR}\) & ON & \[
\left\{\begin{array}{l}
2014 / 04 / 22 \\
13: 42
\end{array}\right.
\] \\
\hline S108 & 4200 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & \begin{tabular}{ll} 
US-PGPUB; & OR \\
USPAT; \\
USOCR; \\
DERWENT & \\
IBM TDB & \(;\)
\end{tabular} & ON & 2014/04/22 \\
\hline S109 & 3823 & (H04B1/3833, H04M1/0247, H04M1/O237).cpc. & \begin{tabular}{ll} 
US-PGPUB; & OR \\
USPAT \\
USOCR; \\
DERWENT, \\
IBM T
\end{tabular} & ON & \[
\left\{\begin{array}{l}
2014 / 04 / 22 \\
13: 44
\end{array}\right.
\] \\
\hline S110 & 6130 & | \(\mathrm{H} 03 \mathrm{F3/211}, \mathrm{H04B7/0617}, \mathrm{H04B7/0669).cpc}\). & US-PGPUB;
USPAT
USOCR;
DERWENT
IBM_T & ON & \[
12014 / 04 / 22
\] \\
\hline S111 & -370 & (S106 S107 S108 S109 S110) and (schedul\$4 near3 down\$1link) and (component near3 carrier) & US-PGPUB;
USPAT
USOCR;
DERWENT,
IBM T & ON & \[
\begin{aligned}
& 2014 / 04 / 22 \\
& 13: 45
\end{aligned}
\] \\
\hline S112 & -365 & (S106 S107 S108 S109 S110) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and (control with information) & \begin{tabular}{ll} 
US-PGPUB; & OR \\
USPAT \\
USOCR; \\
DERWENT, \\
BM T
\end{tabular} & ON & \[
12014 / 04 / 22
\] \\
\hline S113 & -357 & (S106 S107 S108 S109 S110) and (schedul\$4 near3 down\$1link) and (component near carrier) and (control with information) & US-PGPUB; OR
USPAT
USOCR;
DERWENT,
IBM T TB & ON & 12014/04/22 \\
\hline \[
\sqrt{5114}
\] & 13 & (S106 S107 S108 S109 S110) and (DL down\$link) & US-PGPUB; OR & & \[
22
\] \\
\hline 53503 & 60_Acces & ibleVersion.htm[10/14/2017 5:40:28 PM] & Ap & X10 & Page 274 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|}
\hline & & Wwith (1st first first primary initia) near3 (set lgroup) near6 (radio resource) and (DL down\$link) with (component near3 carrier) & \(\left.\begin{array}{l}\text { USPAT } \\ \text { USOCR; } \\ \text { DERWENT } \\ \text { IBM T }\end{array}\right\} ;\) & & 13:47 \\
\hline S115 & 40 & [H03F3/211, H04B7/0617, H04B7/0669, /H04B1/3833, H04M1/0247, H04M1/0237, -H04L29/08657, G01S5/0252, G01S5/02, !H04W52/367, H04W52/12, H04W52/40, H04W88/08, H04W72/044, H04W72/042).cpc. land (carrier near3 aggregation) and (component imear3 carrier) same up\$1link with associat\$3 with down\$1link & \(\left.\)\begin{tabular}{ll}
\(\mid\) US-PGPUB; \\
USPAT & OR \\
USOCR; \\
DERWENT; \\
IBM_T & B A:
\end{tabular}\right|\(^{\prime}\) & ON & \[
12014 / 04 / 22
\] \\
\hline S116 & 8750 & (H04W88/08, H04W72/044, H04W72/042l).cpc. & US-PGPUB; OR
USPAT
USOCR;
DERWENT
IBM T & ON & \[
\begin{aligned}
& 2014 / 04 / 26 \\
& 14: 21
\end{aligned}
\] \\
\hline S117 & 4336 & (H04W52/367, H04W52/12, H04W52/40).cpc. & USS-PGPUB; OR
USPAT
USOCR;
DERWENT;
LBM T & ON & \[
\begin{aligned}
& 2014 / 04 / 26 \\
& 14: 22
\end{aligned}
\] \\
\hline S118 & 4205 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & US-PGPUB; OR
USPAT
USOCR;
DERWENT;
IBM T \(\quad\) O & ON & \[
\left\{\begin{array}{l}
2014 / 04 / 26 \\
14: 23
\end{array}\right.
\] \\
\hline S119 & 4144 & (H04L29/08657, G01S19/14, G01S5/02).cpc. & US-PGPUB; OR
USPAT
USOCR;
DERWENT
IBM T & ON & \[
\left\{\begin{array}{l}
2014 / 04 / 26 \\
14: 23
\end{array}\right.
\] \\
\hline S120 & 3826 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & USSAGPUB; OR
USPAT
USOCR;
DERWENT,
IBM T & ON & \[
\begin{aligned}
& 2014 / 04 / 26 \\
& 14: 24
\end{aligned}
\] \\
\hline S121 & 47 & ] (H04W88/08, H04W72/044, H04W72/042).cpc. and (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) & US-PGPUB; OR
USPAT
USOCR;
DERWENT
IBM T & ON & \[
12014 / 04 / 26
\] \\
\hline S122 & 25 & (S116 S117 S118 S119 S120).cpc. and (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) & \begin{tabular}{l} 
US-PGPUB; OR \\
USPAT \\
USOCR; \\
DERWENT, \\
LBM T \\
\hline 1
\end{tabular} & ON & \[
12014 / 04 / 26
\] \\
\hline S123 & 13432 & (H04W88/08, H04W72/044, H04W72/042).cpc. & \begin{tabular}{ll:l}
\hline USPGPUB; & OR \\
USPAT; \\
USOCR; & \\
DERWENT, & \(\ddots\) \\
IBM_T & B
\end{tabular} & ON & \[
12014 / 04 / 30
\] \\
\hline S124 & 4341 & (H04W52/367, H04W52/12, H04W52/40).cpc. & US-PGPUB; OR
USPAT
USOCR;
DERWENT
IBM T & ON & \[
\left\{\begin{array}{l}
2014 / 04 / 30 \\
11: 04
\end{array}\right.
\] \\
\hline S125 & 4208 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & US-PGPUB; OR
USPAT
USOCR; & ON & 11:04 \\
\hline \multicolumn{6}{|r|}{IPR2022-00648} \\
\hline \multicolumn{6}{|l|}{5350360_AccessibleVersion.htm[10/14/2017 5:40:28 PM] Apple EX1002 Page 275} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & \begin{tabular}{l}
IDERWENT \\
IBM TDB
\end{tabular} & & & \\
\hline S126 & 3833 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 11: 04
\end{aligned}
\] \\
\hline S127 & 6154 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 11: 04
\end{aligned}
\] \\
\hline S128 & 98 & (S123 S124 S125 S126 S127) and (schedul\$4 near3 down\$1 link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1link) with carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 11: 04
\end{aligned}
\] \\
\hline S129 & 52 & (S123 S124 S125 S126 S127) and (schedul\$4 near3 down\$1 link) and (component near3 carrier) and single near6 carrier same (plurality multiple several) near3 (DL down\$1link) with carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2014 / 04 / 30 \\
11: 04
\end{array}\right.
\] \\
\hline S130 & 4 & (S123 S124 S125 S126 S127) and (schedul\$4) with component near3 carrier and (single near3 (DL down\$1link)) with (first with resource) and (multiple plurality several) near3 (DL downlink) with second with resource & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 11: 37
\end{aligned}
\] \\
\hline S131 & 2 & (up\$1link ULL) and (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource & \[
\begin{aligned}
& \text { US-PGPB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 11: 40
\end{aligned}
\] \\
\hline S132 & 2 & (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { SSPAT; } \\
& \text { LSOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 11: 42
\end{aligned}
\] \\
\hline S133 & 2 & (schedul\$4) same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { LBMEENT; } \\
& \hline \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2014 / 04 / 30 \\
11: 44
\end{array}\right.
\] \\
\hline S134 & 2 & (schedul\$4) same (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USECR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
12014 / 04 / 30
\] \\
\hline S135 & 16 & (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 11: 45
\end{aligned}
\] \\
\hline S136 & 1 & allocation with (PUSCH PUCCH UL (up\$1link)) and "20100232373" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 14: 19
\end{aligned}
\] \\
\hline S137 & 1 & allocation and (PUSCH PUCCH UL (up\$1link)) and
"20100232373" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2014 / 04 / 30 \\
& 14: 21 \\
& 22-00648
\end{aligned}
\] \\
\hline 153503 & 60_Acc & bleVersion.htm[10/14/2017 5:40:28 PM] & & App & 10 & Page 276 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & \[
\begin{aligned}
& \text { DERWENT; } \\
& \begin{array}{l}
\text { DIBM TDB }
\end{array} \mathbf{i}
\end{aligned}
\] & & & \\
\hline S138 & 2 & ["20100271970" & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM T & & ON & \[
14: 32
\] \\
\hline S139 & 54 &  & UUS-PGPUB;
USPAT
USOCR;
DERWENT
IBM_TDB & OR & ON & \[
\begin{aligned}
& 2014 / 10 / 15 \\
& 11: 49
\end{aligned}
\] \\
\hline S140 & 15049 & (H04W88/08, H04W72/044, H04W72/042).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM_T & & ON & \[
13: 44
\] \\
\hline S141 & 4737 & !(H04W52/367, H04W52/12, H04W52/40).cpc. & UUS-PGPUB;
USPAT
USOCR;
DERWENT
IBM_T & & ON & \[
13: 44
\] \\
\hline S142 & 4341 & (H04L29/08657, G01 S5/0252, G01S5/02).cp. & \[
\begin{aligned}
& \text { USPSPPUB; } \\
& \text { USPAT; } \\
& \text { IERWENT, } \\
& \text { IBM T T }
\end{aligned}
\] & & ON & \[
12014 / 10 / 15
\] \\
\hline S143 & 4030 & (H04B1/3833, \(\mathrm{H} 04 \mathrm{M} 1 / 0247, \mathrm{H} 04 \mathrm{M} 1 / 0237) . \mathrm{cpc}\). & \[
\begin{aligned}
& \text { US-PGPBP; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { IERWENT, }
\end{aligned}
\] & & ON & \[
13: 44
\] \\
\hline S144 & 6785 & (H03F3/211, H04B7/0617, H04B7/0663).cpc. & \[
\begin{aligned}
& \text { MS-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT }
\end{aligned}
\] & & ON & \[
12014 / 10 / 15
\] \\
\hline S145 & 96 & (S140 S141 S142 S143 S144) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1link) with carrier same (frequency resources) & USS-PGPUB;
USPAT
USOCR;
DERWENT
IBM_T & & ON & (2014/10/15 \\
\hline S146 & 1 & " 13315135 " & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2014 / 10 / 15 \\
& 13: 54
\end{aligned}
\] \\
\hline S147 & \% 2 & :"20080151845" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; }
\end{aligned}
\] & OR & ON & \[
22-00648
\] \\
\hline 153503 & 60_Access & ibleVersion.htm[10/14/2017 5:40:28 PM] & & App & X100 & Page 277 \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & \[
\begin{aligned}
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & & & 17:18 \\
\hline S162 & 4758 & (H04W52/367, H04W52/12, H04W52/40).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2014 / 10 / 31 \\
17: 18
\end{array}\right.
\] \\
\hline S163 & 4377 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12014 / 10 / 31
\] \\
\hline S164 & 4042 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2014 / 10 / 31 \\
& 17: 18
\end{aligned}
\] \\
\hline S165 & 6867 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON &  \\
\hline S167 & , & "14170939" & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & |l \\
\hline S168 & 499 & (component near2 carrier) with (primary near2 cell) & US-PGPUB; USPAT; USOCR; DERWENT IBM_TDB & OR & ON & \[
\left\{\begin{array}{l}
2014 / 11 / 18 \\
14: 07
\end{array}\right.
\] \\
\hline S169 & 401 & "370"/\$.ccls. and (component near2 carrier) with (primary near2 cell) & US-PGPUB; USPAT; USOCR; DERWENT IBM TDB & OR & ON & \[
\begin{aligned}
& 2014 / 11 / 18 \\
& 14: 07 \\
& \\
& \\
&
\end{aligned}
\] \\
\hline S170 & 378 & "370"/\$.ccls. and (component adj2 carrier) with (primary adj2 cell) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & |l \\
\hline S171 & 185 & " 370 "/\$.ccls. and (component adj2 carrier) with (primary adj2 cell) with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT IBM TDB & OR & |ON & \[
\begin{aligned}
& 2014 / 11 / 18 \\
& 14: 08
\end{aligned}
\] \\
\hline S172 & 4 & " 370 "/\$.ccls. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT IBM TDB & OR & ON & 2014/11/18 \\
\hline S173 & 4 & single near4 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT IBM TDB & OR & ON & 14:19 \\
\hline S174 & 287 & "370"/\$.ccls. and (CC (component adj2 carrier)) & US-PGPUB; & OR & ON & 32014/11/18 \\
\hline
\end{tabular}

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\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & Wwith (primary adj2 cell) with (DL down\$1link) & \begin{tabular}{l}
UUSPAT; \\
USOCR; \\
DERWENT; \\
IBM TDB
\end{tabular} & & & 14:21 \\
\hline S175 & 1 & || @ad<"20091004" and "370"/\$.ccls. and (CC
(component adj2 carrier)) with (primary adj2 cell)
with (DL down\$1link) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2014 / 11 / 18 \\
14: 22
\end{array}\right.
\] \\
\hline S176 & 287 & " 370 " / \(\$\). ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1 link) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { LSOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12
\] \\
\hline S177 & 29 &  & US-PGPUB; USPAT & OR & OFF & \[
12015 / 10 / 01
\] \\
\hline S178 & 21250 & (H04W88/08, H04W72/044, H04W72/042).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12015 / 10 / 01
\] \\
\hline S179 & 5857 & (H04W52/367, H04W52/12, H04W52/40).cpc. & \[
\begin{array}{l|}
\text { US-PGPUB; } \\
\text { USPAT; } \\
\text { DERWENT; } \\
\text { IBM_TDB }
\end{array}
\] & OR & ON & \[
17: 24
\] \\
\hline S180 & 5079 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
12015 / 10 / 01
\] \\
\hline S181 & 4391 & \|(H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USERRFENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
17: 24
\] \\
\hline S182 & 8620 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & \[
\begin{aligned}
& \text { |US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { LBMENT; }
\end{aligned}
\] & OR & ON & \[
12015 / 10 / 01
\] \\
\hline 5183 & 221 & (S178 S179 S180 S181 S182) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1 link) with carrier same (frequency) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { IBMENT; }
\end{aligned}
\] & OR & ON & \[
17: 24
\] \\
\hline 535036 & 60_Access & il & & & \[
\begin{aligned}
& \text { IPF } \\
& \times 10
\end{aligned}
\] & \[
\begin{aligned}
& 22-00648 \\
& \text { Page } 280
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S184 & 552 & ((david near2 astely) (robert near2 baldemair) : (dirk near2 gerstenberger) (daniel near2 larsson) ( (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT }
\end{aligned}
\] & OR & ON & \[
17: 56
\] \\
\hline S185 & 1 & S183 and S184 & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & & ON & \[
172015 / 10 / 01
\] \\
\hline S186 & 21 & : \(455 / \$\). ccls. and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)). & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { BMM TDB }
\end{aligned}
\] & \(\square^{\text {OR }}\) & ON & 18:11 \\
\hline S187 & [24 &  & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & OFF & \[
12015 / 10 / 02
\] \\
\hline S188 & 1 & "14030298" & \[
\begin{aligned}
& \text { UG-PQUB; } \\
& \text { U SPAT }
\end{aligned}
\] & OR & OFF & 2015/10/02 \\
\hline S189 & 198 & I( 1 st first) adj6 (radio resource frame)) and ((2nd second) adj 6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { IERWENT, }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 16015 / 10 / 03 \\
& 16: 15
\end{aligned}
\] \\
\hline S190 & 1 & "14102508" & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & & ON & \[
12015
\] \\
\hline S191 & O & "|14158378" & \[
\begin{aligned}
& \text { lUS-PGPUB; } \\
& \text { USPAT } \\
& \text { USECR; } \\
& \text { DBMETDB; }
\end{aligned}
\] & OR & ON & \[
14: 17
\] \\
\hline S192 & 1 & "14097736" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USORR; } \\
& \text { DERWENT; } \\
& \text { IBM T B }
\end{aligned}
\] & OR & ON & \[
14: 17
\] \\
\hline S193 & 2 & " 14006545 " & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { DERERFE } \\
& \text { BM TDB; }
\end{aligned}
\] & & ON & \[
14: 17
\] \\
\hline S194 & \(1^{1}\) & ""13875620" & \[
\begin{aligned}
& \text { USSAGPUB; } \\
& \text { USPAT } \\
& \text { LSERWE }
\end{aligned}
\] & OR & \begin{tabular}{l}
ON \\
IPR2
\end{tabular} & 2015/10/13 \\
\hline \multicolumn{3}{|l|}{y.15350360_AccessibleVersion.htm[10/14/2017 5:40:28 PM]} & & Apple & X1002 & Page 281 \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & UIBM \(T\) - & & & \\
\hline S207 & 1 & ""13924238" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2015 / 10 / 13 \\
& 14: 22
\end{aligned}
\] \\
\hline S208 & 1 & |"13898465" & \[
\begin{aligned}
& \text { MS-PGPUB; } \\
& \text { USPAT } \\
& \text { LSOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2015 / 10 / 13 \\
& 14: 23
\end{aligned}
\] \\
\hline S209 & 2 & ""13993807" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USERWF } \\
& \text { IBM_TDB; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2015 / 10 / 13 \\
& 14: 23
\end{aligned}
\] \\
\hline S210 & 58 &  & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
\begin{aligned}
& 12015 / 10 / 13 \\
& 14: 25
\end{aligned}
\] \\
\hline S211 & 1 & "13906370" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2015 / 10 / 13 \\
& 14: 38
\end{aligned}
\] \\
\hline S212 & 58 &  & |US-PGPUB;
USPAT
USOCR;
DERWENT,
IBM_TDB & OR & ON & \[
\begin{aligned}
& 2015 / 10 / 13 \\
& 14: 51
\end{aligned}
\] \\
\hline S213 & 0 & \begin{tabular}{l}
(H04W88/08, H04W72/044, H04W72/042). and (H04W52/367, H04W52/12, H04W52/40). land (H04L29/08657, G01S5/0252, G01S5/02). land (H04B1/3833, H04M1/0247, \\
H04M1/0237). \\
\(\mathrm{cp} \quad\) c.
\end{tabular} & \[
\begin{aligned}
& \text { MS-PGP U; } \\
& \text { GSPAT c } \\
& \text { CSOCR; } \\
& \text { DERWENT } \\
& \text { IBM_T }
\end{aligned}
\] & & ON & \[
12015 / 10 / 13
\] \\
\hline S214 & [36289 & (H04W88/08, H04W72/044, H04W72/042, HO4W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2015 / 10 / 13 \\
& 14: 56
\end{aligned}
\] \\
\hline
\end{tabular}

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\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \begin{tabular}{l}
DEERWENT; \\
IBM_TDB
\end{tabular} & & & \\
\hline S215 & ] & (H04W88/08, H04W72/044, H04W72/ 042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON & \[
12015 / 10 / 13
\] \\
\hline S216 & 553 & [(david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12015 / 10 / 13
\] \\
\hline S217 & :553 & (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12015 / 10 / 13
\] \\
\hline S216 & 131 & (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and carrier adj aggregation & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12015 / 10 / 13
\] \\
\hline S219 & 48 & " 455 "/\$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same (( 1st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) and carrier adj aggregation & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
17: 27
\] \\
\hline S220 & 48 & (H04W88/08, H04W72/044, H04W72/042).cpc. and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj 6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12016 / 03 / 09
\] \\
\hline S221 & 15 & (set group) near6 (radio resource) with (2nd second other another) near6 (DL down\$link) near3 (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
12016 / 03 / 09
\] \\
\hline S222 & 35 & \(455 / 509,522,456.6,137,103,575 . c c l s\). and
(downlink near3 carrier) and (uplink near3
(primary first initial) near3 carrier) and ((second
2nd other next) with (channel resource)) and
(carrier adj aggregation) & US-PGPUB;
USPAT;
USOCR;
DERWENT; & OR & ON &  \\
\hline S223 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj 4 (radio resource frame)) & \[
\begin{aligned}
& \text { UUS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { JERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12016 / 03 / 09
\] \\
\hline S224 & 0 & (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & \[
\begin{aligned}
& \text { USSPGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
12016 / 03 / 09
\] \\
\hline S225 & 32 & (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and ( \(C\) (component adj2 carrier)) with (primary adj2 cell) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12016 / 03 / 09
\] \\
\hline S226 & 130 & i455/\$.ccls. and (downlink near3 carrier) and ( (uplink near3 (primary first initial) near3 carrier) land ((second 2nd other next) with (channel & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; }
\end{aligned}
\] & OR & ON & 2016/03/09 \\
\hline 53503 & _Ac & leVersion.htm[10/14/2017 5:40:28 PM] & & Ap & X10 & Page 284 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & Iresource)) and (control with information) & \begin{tabular}{l}
DERWENT; \\
IBM_TDB
\end{tabular} & & & \\
\hline S227 & 30 &  & \begin{tabular}{l}
UUS-PGPUB; USPAT; \\
USOCR; \\
DERWENT; \\
IBM_TDB
\end{tabular} & OR & ON & \[
12016 / 03 / 09
\] \\
\hline S228 & 10 & (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) and ((first 1st) adj 6 component adj 3 carrier) same (( 1 st first) adj 6 (radio resource frame)) and ((2nd second) adj 6 component adj3 carrier) same ((2nd second) adj 6 (radio resource frame)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
3
\] \\
\hline S229 & 3 & '"20070030661" & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\}
\] \\
\hline S230 & 76 & 370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & one:26 \\
\hline S231 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12016 / 03 / 16
\] \\
\hline S233 & 0 & (H04B1/3833, H04M1/0247, Н04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near6 carrier & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; } \\
& \text { EPO; JPO; } \\
& \text { DERWENT } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ION & \[
12016 / 03 / 16
\] \\
\hline S234 & 18 & (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier & UUS-PGPUB; USPAT: USOCR; DERWENT; IBM_TDB & OR & ON &  \\
\hline S235 & 18 & (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) & UUS-PGPUB; USPAT; USOCR; JERWENT; IBM_TDB & OR & ION & \[
12: 04
\] \\
\hline S236 & 7 & (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ION & \[
12016 / 03 / 16
\] \\
\hline S237 & 0 & (schedul\$3 assigin \(\$ 3\) ) with (primary adj cell) same2 (multiple several set) near3 compone & USS-PGPUB; USPAT; USOCR; & OR & ON & \[
12016 / 03 / 16
\] \\
\hline \multicolumn{7}{|r|}{IPR2022-00648} \\
\hline 153503 & 60_A & bleVersion.htm[10/14/2017 5:40:28 PM] & \multicolumn{4}{|r|}{Apple EX1002 Page 285} \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & & & & \\
\hline S248 & [7 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { UPRSR; } \\
& \text { IPRO; JPO; } \\
& \text { IERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12016 / 06 / 24
\] \\
\hline S249 & 269 & ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and 455/\$.ccls. & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12016 / 06 / 24
\] \\
\hline S250 & 12 & ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON &  \\
\hline S251 & 5 & 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another next) adj3 (resource frequency channel Bin) and (CC component) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12016 / 06 / 24
\] \\
\hline S252 & 1 & "12896993" & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 12016 / 06 / 24 \\
& 12: 05
\end{aligned}
\] \\
\hline S253 & 61 & 370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj 6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
12016 / 06 / 24
\] \\
\hline S254 & ] & [("20120147847").PN. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; } \\
& \text { EPD; JPO; } \\
& \text { IERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & & UOFF & \[
12016 / 06 / 24
\] \\
\hline S257 & 29 & 455/509,522,456.6, 137, 103,575.ccls. and (schedul\$3 assigin\$3) with component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & UUS-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
12016 / 06 / 24
\] \\
\hline S258 & 22 & 455/\$.ccls. and (1st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) & IUS-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12016 / 06 / 24
\] \\
\hline S259 & 2 & ("20120147847").PN. & |US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2016 / 06 / 24 \\
& 21: 17
\end{aligned}
\] \\
\hline \multicolumn{7}{|l|}{\multirow[t]{2}{*}{\begin{tabular}{ll}
3 & IT \\
\(.15350360 \_\)AccessibleVersion.htm[10/14/20175:40:28 PM] & Apple EX1002 Page 287
\end{tabular}}} \\
\hline & & & & & & \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & and (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { USOCR; } \\
& \text { IDERWENT } \\
& \text { IBM_T }
\end{aligned}
\] & & & 15:56 \\
\hline S270 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (schedul\$3 near3 (down\$link DL reverse\$1 link)) & |US-PGPUB;
USPAT
USOCR;
DERWENT
IBM_T & & ON & \[
12017 / 03 / 16
\] \\
\hline S271 & ¢001 & schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with ( \(C\) (component adj2 cacarrier)) & US-PGPUB;
USPAT
USOCR;
DERWENT
IBM T & & ON & \[
17: 30
\] \\
\hline S272 & 67 & (H04W88/08, H04W72/044, H04W72/042I).cpc. land schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information)) with (CC (component adj2 cacarrier)) & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM_TDB & & ON & \[
17: 31
\] \\
\hline S273 & 9 & (H04W88/08, H04W72/044, H04W72/042I).cpc. land schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with ( \(C\) (compo adj2 cacarrier)) and schedul\$3 (non\$1primary second 2nd secondary) adj2 cell & US-PGPUB;
USPAT
LSOCR;
DERWENT
IBM_ & lOR & ON & \[
17
\] \\
\hline S274 & !41 & : (H04W88/08, H04W72/044, H04W72/042I).cpc. fanct sedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (compo adj2 carrier)) and (non\$1primary second 2nd secondary) adj2 (CC (component adj2 carrier)) & US-PGPUB;
USPAT
LSOCR;
IERWENT
IBM_T & \[
B^{O R}
\] & ON & \[
1720
\] \\
\hline S275 & 697 & Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. & IUS-PGPUB;
USPAT
USOCR;
DERWENT
IBM Tis & & ON & \[
1
\] \\
\hline S276 & 40 & Ericsson. as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (radio near3 resource) with (component near3 carrier) & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM TDB & & ON & 18:18 \\
\hline S278 & 5 & 455/\$.cds. and (set near3 radio near3 resource) same component adj carrier & USS-PGPUB;
USPAT
USOCR;
DERWENT
IBM T T & OR & ON & \[
19: 44
\] \\
\hline S279 & 34641 & (H04W88/08, H04W72/044, H04W72/042).cpc. & US-PGPUB;
USPAT
USOCR;
DERWENT,
IBM TDB & \[
\mathrm{OR}
\] & ON & \[
\left\lvert\, \begin{aligned}
& 2017 / 03 / 16 \\
& 20: 26
\end{aligned}\right.
\] \\
\hline S280 & 7394 & (H04W52/367, H04W52/12, H04W52/40).cpc. & US-PGPUB;
USPAT
USOCR;
DERWENT,
IBM_T & & ON & 2017/03/16 \\
\hline S281 & 6589 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & US-PGPUB;
USPAT
USOCR;
DERWENT, & & \[
\mathrm{ON}
\] & 2017/03/16 \\
\hline & & & & & & 22-00648 \\
\hline \multicolumn{3}{|l|}{.15350360_AccessibleVersion.htm[10/14/2017 5:40:28 PM]} & \multicolumn{4}{|r|}{Apple EX1002 Page 289} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & UlBM \({ }^{\text {T }}\) B & & & \\
\hline S282 & 5176 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T B }
\end{aligned}
\] & Pr & ON & 2017/03/16 \\
\hline S283 & 12417 & (H03F3/211, H04B7/0617, H04B7/ 0669).cpc. & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & Pr & ON & 2017/03/16 \\
\hline S284 & 131 & (S279 S280 S281 S282 S283) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { BM T B }
\end{aligned}
\] & OR & ON & \[
2
\] \\
\hline S285 & 126 & (H04W88/08, H04W72/044, H04W72/042).cpc. land (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { WSSPGPUB; } \\
& \text { hUSAT } \\
& \text { GSOCR; } \\
& \text { DERWENT }
\end{aligned}
\] &  & ON & \[
\left\{\begin{array}{l}
2017 / 03 / 16 \\
20: 27
\end{array}\right.
\] \\
\hline S286 & 3 & Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and schedul\$3 near3 (transmit\$4 transmi\$5 communication) with (CC ( (component adj2 cacarrier)) & \[
\begin{aligned}
& \text { UUS-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { BM_T B }
\end{aligned}
\] & \[
B^{\mathrm{OR}}
\] & ON & 2017/03/16 \\
\hline S287 & 62 & /455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (control\$3 adjst\$3) near6 (CC component) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 03 / 17
\] \\
\hline S288 & 0 & /455/451,452.1,509,456.1,522,137,103,575.ccls. land (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource ifrequency channel Bin) and (primary adj cell) same (multiple several set) near3 component adj2 carrier &  & Pr & ON & \[
11: 17
\] \\
\hline S289 & 0 & :455/451,452.1,509,456.1,522,137,103,575.ccls. land (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same2 (multiple several set) near3 component adj2 carrier & |LS-PGPUB;
USPAT
USOCR;
FPRS;
EPO; JPO;
DERWENT
IBM T B & OR & ON & \[
12017 / 03 / 17
\] \\
\hline S290 & 0 & :455/451,452.1,509,456.1,522,137,103,575.ccls. land (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj2 cell) land (multiple several set) near3 component adj2 carrier & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBMT B & & ON & \[
12
\] \\
\hline S291 & \(\theta^{1}\) & :455/451,452.1,509,456.1,522,137,103,575.ccls. land (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT } \\
& \text { FPRSR; } \\
& \text { EPP; JPO; } \\
& \text { MERWENT } \\
& \text { IBM_T B }
\end{aligned}
\] & OR & ON & \[
\mid
\] \\
\hline & & & \% & & & 22-00648 \\
\hline 153503 & 60_Acces & leVersion.htm[10/14/2017 5:40:28 PM] & \multicolumn{4}{|r|}{Apple EX1002 Page 290} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S292 & 9 & 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { EPRS; } \\
& \text { EPO; JPO; } \\
& \text { IERWENT; }
\end{aligned}
\] & & ON & \[
12017 / 03 / 17
\] \\
\hline S294 & 1178 & |370/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) : adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { EPRO; JPO; } \\
& \text { EERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ION & \[
\frac{12017 / 03 / 17}{16: 26}
\] \\
\hline S295 & \$26 & |455/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) ladj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ION & \[
12017 / 03 / 17
\] \\
\hline S296 & 178 & 370/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj 6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; } \\
& \text { EPO; JPO; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 03 / 17
\] \\
\hline S297 & 81 & 370/\$ ccls and (allocat\$3) with (resource Ifrequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier and (primary adj2 cell) & \[
\begin{aligned}
& \text { USSPGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; } \\
& \text { EPO; JPO; } \\
& \text { DREWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 03 / 17 \\
& 17: 01
\end{aligned}
\] \\
\hline S298 & 3 & "12896993" & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
12017 / 03 / 17
\] \\
\hline S299 & 3 & "9497004" & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 03 / 17 \\
17: 42
\end{array}\right.
\] \\
\hline S300 & 3 & "12896993" & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 03 / 17 \\
17: 43
\end{array}\right.
\] \\
\hline 5301 & 223 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregat\$3) and (component near3 carrier) same (up\$1link UL) with associat\$3 with down\$1link & \[
\begin{aligned}
& \text { US-PGPB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2017 / 06 / 25 \\
12: 46
\end{array}\right.
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S302 & 264 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregat\$3) and (component near3 carrier) same (up\$1link UL) with associat\$3 with (DL down\$1link) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 06 / 25 \\
& 12: 47
\end{aligned}
\] \\
\hline S303 & 121 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregat\$3) same (component near3 carrier) same (up\$1link UL) with associat\$3 with (DL down\$1link) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 06 / 25 \\
12: 47
\end{array}\right.
\] \\
\hline S304 & 75 & il((455/451,452.1,509,456.1,522,137,103,575.ccls.) ( \(370 / 329,341,348,395.4 . c c l s\).\() ) and (carrier near3\) aggregat\$3) same (component near3 carrier) same (up\$1link UL) with associat\$3 with (DL down\$1link) and (schedul\$3 near3 downlink) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USERWENT; } \\
& \text { DERM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 06 / 25 \\
& 12: 48
\end{aligned}
\] \\
\hline S305 & 37 & \(455 / 509,522,456.6,137,103,575\). ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 06 / 26
\] \\
\hline S306 & 37 & 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) and (carrier adj aggregation) & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ION & \[
12017 / 06 / 26
\] \\
\hline S307 & 3 & \(455 / 509,522,456.6,137,103,575\). ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USECR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
18: 44
\] \\
\hline S308 & 75 & 370/329,341,348,395.4.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) \(\qquad\) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
18: 54
\] \\
\hline S309 & 12 & (H04W52/367, H04W52/12, H04W52/40).cpc. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12017 / 06 / 26
\] \\
\hline S310 & 11 & Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRO; JPO; } \\
& \text { EPERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
19: 04
\] \\
\hline S311 & 174 & (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & UUS-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ION & \[
\}
\] \\
\hline S312 & \({ }^{3}\) & (H04W52/367, H04W52/12, H04W52/40).cpc. and (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\}
\] \\
\hline \multicolumn{7}{|l|}{\multirow[t]{2}{*}{\begin{tabular}{ll}
3 & 3 \\
I5350360_AccessibleVersion.htm[10/14/2017 5:40:28 PM] & IPR2022-00648 \\
Apple EX1002 Page 292
\end{tabular}}} \\
\hline & & & & & & \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S315 & 3 & "12896993" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S316 & 1193 & ((david near2 astely) (robert near2 baldemair) l(dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S317 & 715 & S316 and (radio near3 resource) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S318 & 237 & 5316 and (radio near3 resource) and (component with carrier) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S319 & 1729 & (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline 5320 & 1634 & S319 and (scheduling) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S321 & 39 &  & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S322 & 1618 & (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S323 & 3 & "20110292887" & US-PGPUB; USPAT: USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S324 & 254 & ((first 1st) adj 6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd ssecond) adj 6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S325 & 3395 & ((first 1st) adj6 carrier) same (( 1 st first) adj 6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline & & & & & & 22-00648 \\
\hline 153503 & 60_Acce & leVersion.htm[10/14/2017 5:40:28 PM] & & Apple & & Page 293 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S326 & 723 & (carrier near3 aggregation) and ((first 1st) adj6 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBMIT }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S327 & 22237 & 455/509,522,456.6,137,103,575.ccls. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S328 & 89300 & 370/329,252,331.ccls. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S329 & 717 & (S327 S328) and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & \[
\begin{gathered}
\hline O R \\
\hline \\
\hline
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline 5330 & 3 & \%"13140333" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & \[
\begin{gathered}
\text { OR } \\
\text {; } \\
\hline
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S331 & 3 & '/"20110310856" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] &  & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S332 & \%316 & ((first 1st) adj6 component adj3 carrier) same ((radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM_T }
\end{aligned}
\] & \[
\begin{gathered}
\text { OR } \\
\text { B } \\
\hline
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S333 & 316 & [((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM_T }
\end{aligned}
\] & \[
\begin{gathered}
\text { OR } \\
\text { BB } \\
\hline
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S334 & 240 & (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & \[
\begin{gathered}
\text { OR } \\
\vdots \\
\vdots \\
\vdots
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S335 & \$316 & (((first 1st) adj6 component adj3 carrier) same \(((\) radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM_T }
\end{aligned}
\] & \[
\begin{gathered}
\text { OR } \\
\text { BB } \\
\hline \text { B }
\end{gathered}
\] & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S336 & 313 & (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S337 & 8 & |("7551898"| | 7649960"| "7656843" | & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] &  & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline \$5338 & & |"20110292900" & US-PGPUB USPAT; USOCR; DERWENT; IBM TDB & & ION & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S339 & 2 & "20100271970" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S340 & 5 & "8050202" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S341 & 3 & "20120307689" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S342 & 2 & "8160017" & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S343 & 3 & "20100232373" & US-PGPUB USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline 5344 & 2 & "20090016278" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S345 & 3 & "8265030" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline 5346 & 3 & "2008139923" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S347 & 14 & \(\sqrt{(" 20100098012 "|" 20100232373 "|} \mid\) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S348 & 20 & " 455 "/\$.ccls. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
13: 47
\] \\
\hline S349 & 23 & "455"/\$.ccls. and ((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same !( \((2 n d\) second) adj6 (radio resource frame)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
13: 47
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S350 & 4 & \(\sqrt{(" 20070053294 " ~ \mid ~ " 20100290405 ") . P N . ~}\) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S351 & 16 &  & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { YSPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S352 & 1358 & (first 1st) with (component near2 carrier) with down\$1link & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT }
\end{aligned}
\] & OR & ON & 2017/09/23 \\
\hline S353 & 628 & (first 1st) with (component near2 carrier) with down\$1link and receiv\$3 near3 control near3 information & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { IERWENT } \\
& \text { IBMTT }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S354 & 344 & (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and component adj carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S355 & 169 & S354 and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { USSGPUB; } \\
& \text { SSPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S356 & 17 & (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & ÜS-PGPUB; USPAT USOCR; DERWENT IBM T & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S357 & 199 & |(first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { IBRWE }
\end{aligned}
\] & PR & ON & \[
\begin{array}{|l|}
2017 / 09 / 23 \\
13: 47
\end{array}
\] \\
\hline S358 & 279 & (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & US-PGPUB; USPAT USOCR; DERWENT |BM_T & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S359 & 3 & @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { IBM T }
\end{aligned}
\] & PB & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S360 & 1 & @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & US-PGPUB;
USPAT
USOCR;
IERWENT
IBM T & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S361 & 3 & @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) & US-PGPUB; USPAT USOCR; DERWENT IBM T & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline \$362 & & @ad<"20091005" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) and ((down\$link DL reverse\$1link)) & \begin{tabular}{l}
US-PGPUB; \\
USPAT; \\
USOCR; \\
DERWENT; \\
IBM TDB
\end{tabular} & & ION & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S363 & 3 & @ad<"20091003" and (second other another 2nd) near3 (radio adj resource) and (carrier adj component) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
3
\] \\
\hline S364 & 86 & (second other another 2nd) near3 (radio adj resource) and (carrier adj component) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S365 & 69 & (set near3 radio near3 resource) same component adj carrier & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
3
\] \\
\hline S366 & 139 & (set near3 ((radio near3 resource) (resource adj block))) same component adj carrier & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S367 & 3893 & (((radio near3 resource) (resource adj block))) same component adj carrier & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline 5368 & 382 & ((second 2nd other) with ((radio near3 resource)
(resource adj block))) same component adj
carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
32017 / 09 / 23
\] \\
\hline 5369 & 2015 & (((radio near3 resource) (resource adj block))) same component adj carrier and (schedul\$3 near3 downlink reverse) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\}
\] \\
\hline S370 & 178 & ((second 2nd other) with ((radio near3 resource)
(resource adj block))) same component adj carrier and (schedul\$3 near3 down\$1 link reverse\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S371 & 154 & ((second 2nd other) with ((radio near3 resource) (resource adj block))) same (component adj carrier) same (down\$1link reverse\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
13: 47
\] \\
\hline S372 & 10 & \((" 20090097447\) " | "20110081856"
"20090116427" | "20100232373" |
"8331307").PN. & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
13: 47
\] \\
\hline S373 & 11659 & (schedul\$3 near3 downlink) and ((radio adj resource) (resource adj block)) and component & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
13: 47
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S374 & 4944 & (schedul\$3 near3 downlink) and ((radio adj resource) (resource adj block)) and component adj carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 47
\end{array}\right.
\] \\
\hline S375 & 1646 & : (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) and component ) adj carrier & IUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S378 & 189 & '(schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (component adj carrier) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 47
\end{aligned}
\] \\
\hline S377 & 2 & @ad<"20091005" and (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (component adj carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S378 & 2 & @ad<"20091005" and (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S379 & 221 & ; (schedul\$3 near3 downlink) same ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) & US-PGPUB; USPAT; USOCR; DERWENT IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48 \\
& \\
&
\end{aligned}
\] \\
\hline S380 & 726 & :"455"/\$.ccls. and ((radio adj resource) (resource adj block)) same (CC (component adj carrier)) & :US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S381 & 53 & "455"/\$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
\int_{1}^{2017 / 09 / 23} 1
\] \\
\hline S382 & 0 & : ("2013/0107855").URPN. & USPAT & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S383 & 0 & ( \({ }_{\text {/ }}\) (2013/0107855").URPN. & USPGPUB; USPAT & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S384 & 1450 & set near3 (radio frequency) near2 (resource band) same downlink and component & \[
\begin{aligned}
& \text { USPGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON &  \\
\hline S385 & 64 & set near3 (radio frequency) near2 (resource band) same downlink same (component adj carrier) & JUS-PGPUB; USPAT & OR & ON & 2017/09/23 \\
\hline S386 & 158 & \[
\begin{aligned}
& \text { (set group Cluster) near3 (radio frequency) near2 } \\
& \text { (resource band) same downlink same (component }
\end{aligned}
\] & US-PGPUB; USPAT & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S387 & 12 & .|("8457060"| "20110310819" | "20100271970" | & US-PGPUB; USPAT & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline 5388 & 850 & (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) & US-PGPUB; USPAT & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S389 & 9921 & (UL up\$link) with (set group) near6 (radio resource) & US-PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48 \\
& \hline
\end{aligned}
\] \\
\hline S390 & 496 & S388 and S389 & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S391 & 17 & (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (set group) near6 (radio rresource) with (2nd second other another) near2 component & US-PGPUB; USPAT & OR & ON & 2017/09/23 \\
\hline S392 & 197 & (DL down \(\$\) link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S393 & 34 & (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (second 2 nd) near3 (component inear3 carrier) & US-PGPUB; USPAT & OR & ON & \[
1
\] \\
\hline S394 & 17 & (1st first first primary initia) near3 (set group) near6 (radio resource) with (DL down\$link) near3 (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S395 & 27 & (set group) near6 (radio resource) with (2nd second other another) near6 (DL down\$link) near3 (component near3 carrier) & US-PGPUB; USPAT & OR & ON & \[
\left[\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S396 & 283 & (set group) near6 (radio resource) with (DL down\$link) near3 (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S397 & 235 & (set group) near3 ((radio resource)(resource near2 block)) with (DL down\$link) near3 ( component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S398 & 19 & (second 2nd) near3 (down\$1link DL) with ((component near3 carrier) CC) same (set group) with ((radio near2 resource) (resource near2 block)) & US-PGPUB; USPAT & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S399 & 67 & reserv\$3 with component near3 carrier and (second near2 (radio frequency band)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S400 & 46 & "739528" & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S401 & 48 & "5754138" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S402 & 14942 & (carrier near3 aggregation) and up\$1link with down\$1link & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S403 & 6434 & (carrier near3 aggregation) and (component near3 carrier) same up\$1link with down\$1link & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S404 & 583 & (carrier near3 aggregation) and (component near3 carrier) same up\$1link with associat\$3 with & US-PGPUB; USPAT; & OR & IPN & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48 \\
& 22-00648
\end{aligned}
\] \\
\hline 153503 & 60_Acce & bleVersion.htm[10/14/2017 5:40:28 PM] & \multicolumn{4}{|r|}{Apple EX1002 Page 299} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & down\$1link & \[
\begin{aligned}
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & & & \\
\hline S405 & 52 & ("370"/\$.ccls "455"/\$.ccls.) and (aggregation) and ( \(C\) (component near3 carrier)) same up\$1link with associat \(\$ 3\) with down \(\$ 1\) link & \[
\begin{aligned}
& \text { US-PGPBB; } \\
& \text { USPAT; } \\
& \text { USOCRE } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S406 & 221 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregation) and (component near3 carrier) same up \(\$ 1\) link with associat \(\$ 3\) with down \(\$ 1\) link & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S407 & 556120 & |schedule (DL (down adj link) down\$1link) and I(carrier near3 aggregation) and ((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S408 & 64 & schedule near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj 6 associat \(\$ 4\) near4 (DL down\$link)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S409 & 190 & (schedule allocat\$4) near3 (DL (down adj link) down\$1link) and (carrier near3 aggregation) same((UL up\$link) adj6 associat\$4 near4 (DL down\$link)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S410 & 0 & (1st first) near3 (radio band resource frequency) with (1st first) near3 (CCcomponent adj carrier) & IUS-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S411 & 846 & ( 1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON &  \\
\hline S412 & 224 & : ( 1 st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S413 & [22 &  & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { LERWRENT; } \\
& \text { DBM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S414 & 10 & | \(\mid\) "20100254329" | "20100195624" | & \[
\begin{aligned}
& \text { lS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S415 & 150 & ||"20100322173" | "20110081913" | & US-PGPUB; USPAT; USOCR: DERWENT; IBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline & & & & & & 22-00648 \\
\hline 153503 & 60_Access & ibleVersion.htm[10/14/2017 5:40:28 PM] & & Ар & 100 & Page 300 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & &  & & & & \\
\hline 5416 & 38958 & (H04W88/08, H04W72/044, H04W72/042).cpc. & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S417 & [8021 & (H04W52/367, H04W52/ 12, H04W52/40).cpc. & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM TDB & OR & ON &  \\
\hline S418 & 7123 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
1 \begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S419 & 5604 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { DERERWENT; } \\
& \text { BBM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline 5420 & 13652 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { LSOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S421 & 2321 & (S416 S417 S418 S419 S420) and (schedul\$4 near3 down\$1link) and (component near3 carrier) & US-PGPUB; USPAT; USOCR; DERWENT; |BM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S422 & 2295 & (S416 S417 S418 S419 S420) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and (control with information) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { LERWERENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5423 & 2275 & (S416 S417 S418 S419 S420) and (schedul\$4 near3 down\$1link) and (component near carrier) and (control with information) & \[
\begin{aligned}
& \text { US-PGPBB; } \\
& \text { USPAT; } \\
& \text { USOCRF: } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5424 & -108 & (S416 S417 S418 S419 S420) and (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) and (DL down\$link) with (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \hline \text { IBM TDB } \\
& \hline
\end{aligned}
\] & OR & ON & 2017/09/23 \\
\hline S425 & & (H03F3/211, H04B7/0617, H04B7/0669, H04B1/3833, H04M1/0247, H04M1/0237, H04L29/08657, G01S5/0252, G01S5/02, H04W52/367, H04W52/12, H04W52/40, H04W88/08, H04W72/044, H04W72/042).cpc. and (carrier near3 aggregation) and (component & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & & ON & \[
20
\] \\
\hline 1535036 & 60_Access & leVersion.htm[10/14/2017 5:40:28 PM] & & App & & Page 301 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & near3 carrier) same up\$1link with associat\$3 with down\$1link & & & & \\
\hline S426 & 23682 & (H04W88/08, H04W72/044, H04W72/0421).cpc. & \[
\begin{aligned}
& \text { US-PGPPB; } \\
& \text { USPAT; } \\
& \text { USERWENT } \\
& \text { IBM_T }
\end{aligned}
\] & \[
\mathrm{OR}
\] & ON & \[
12
\] \\
\hline S427 & 8021 & (H04W52/367, H04W52/ 12, H04W52/40).cpc. & |US-PGPUB;
USP. ATT:
USOCR;
IERWENT;
IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S428 & 7123 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & \[
\begin{aligned}
& \text { US-PGPBB; } \\
& \text { USPSACR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S429 & 6683 & (H04L29/08657, G01S19/14, G01S5/02).cpc. & \[
\begin{aligned}
& \text { :US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCRFE } \\
& \text { DBM T T }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \mid \\
& \mid 13: 48
\end{aligned}
\] \\
\hline S430 & 5604 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USP. AT: } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S431 & |267 & ```
(H04W88/08, H04W72/044, H04W72/042).cpc.
and (1st first) near3 (radio band resource
frequency) with (1st first) near3 (CC (component
adj carrier))
``` & \[
\begin{aligned}
& \begin{array}{l}
\text { US-PGPUB; } \\
\text { USPAT } \\
\text { USOCR; } \\
\text { DERWENT } \\
\text { IBM T }
\end{array} .
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S432 & 129 & (S426 S427 S428 S429 S430).cpc. and (1st first) near3 (radio band resource frequency) with (1st first) near3 (CC (component adj carrier)) & |US-PGPUB;
USPAT
USOCR;
DERWENT
IBM T & \[
\mathrm{OR}
\] & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S433 & |38958 & (H04W88/08, H04W72/044, H04W72/042).cpc. & \[
\begin{aligned}
& \text { USSPGPUB; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BMM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S434 & 8021 & (H04W52/367, H04W52/12, H04W52/40).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT, } \\
& \text { USOCR; } \\
& \text { DERWENT }
\end{aligned}
\] & & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S435 & 7123 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & US-PGPUB; USPAT, USOCR; DERWENT IBM T & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \mid \\
& 13: 48
\end{aligned}
\] \\
\hline S436 & 5604 & |(H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT, } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S437 & :13652 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT, } \\
& \text { USOCR; }
\end{aligned}
\] & OR R & ON & \[
2
\] \\
\hline 53503 & 60_Access & ibleVersion.htm[10/14/2017 5:40:28 PM] & & Appl & <10 & Page 302 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & \begin{tabular}{l}
IDERWENT; \\
IBM TDB
\end{tabular} & & & \\
\hline S438 & 700 & (S433 S434 S435 S436 S437) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1link) with carrier & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S439 & 323 & (S433 S434 S435 S436 S437) and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single near6 carrier same (plurality multiple several) near3 (DL down\$1link) with carrier & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S440 & 12 & (S433 S434 S435 S436 S437) and (schedul\$4) with component near3 carrier and (single near3 (DL down\$1link)) with (first with resource) and (multiple plurality several) near3 (DL downlink) with second with resource & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S441 & 4 & (up\$1link UL) and (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S442 & 4 & (schedul\$4) with component near3 carrier same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S443 & 5 & (schedul\$4) same (single near3 (DL down\$1link)) with (first with resource) same (multiple plurality several) near3 (DL downlink) with second with resource & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S444 & \% & (schedul\$4) same (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S445 & 33 & (single near3 (DL down\$1link)) with (first with (frequency resource block)) same (multiple plurality several) near3 (DL downlink) with second with (frequency block resource) & US-PGPUB; USPAT: USOCR; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S446 & 2 & allocation with (PUSCH PUCCH UL (up\$1 link)) and "20100232373" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S447 & 2 & allocation and (PUSCH PUCCH UL (up\$1link)) and "20100232373" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S448 & 2 & "20100271970" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S449 & \({ }^{54}\) &  & \[
\begin{aligned}
& \text { US-PGPUB;: } \\
& \text { USPAT; } \\
& \text { USOCR; }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline \multicolumn{7}{|r|}{IPR2022-00648} \\
\hline 153503 & 60_Ac & bleVersion.htm[10/14/2017 5:40:28 PM] & \multicolumn{4}{|r|}{Apple EX1002 Page 303} \\
\hline
\end{tabular}


\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & \begin{tabular}{l}
USPAT; \\
USOCR; \\
DERWENT; \\
IBM TDB
\end{tabular} & & & 13:48 \\
\hline S472 & 5604 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S473 & 13652 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S474 & 2 & "14170939" & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S475 & 1911 & (component near2 carrier) with (primary near2 cell) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S476 & 887 & "370"/\$.ccls. and (component near2 carrier) with (primary near2 cell) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S477 & 850 & "370"/\$.ccls. and (component adj2 carrier) with (primary adj2 cell) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5478 & 410 & "370"/\$.ccls. and (component adj2 carrier) with (primary adj2 cell) with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S479 & 10 & "370"/\$.ccls. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5480 & 16 & single near4 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline 5481 & 581 & "370"/\$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1 link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline 5482 & 2 & @ad<"20091004" and "370"/\$.ccls. and (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S483 & 581 & / 370 l /\$.ccls. and (CC (component adj2 carrier)) & US-PGPUB; & OR & ON & 2017/09/23 \\
\hline
\end{tabular}

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\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & Wwith (primary adj2 cell) with (DL down\$1 link) & UUSPAT; USOCR; IDERWENT; IIBM_TDB & & & 13:48 \\
\hline S484 & [29 &  & :US-PGPUB; & OR & 了OFF & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S485 & 38958 & (H04W88/08, H04W72/044, H04W72/042). cpc. & \[
\begin{aligned}
& \text { USSATPB; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
1
\] \\
\hline S486 & 8021 & (H04W52/367, H04W52/ 12, H04W52/40).cpc. & US-PGPUB; USPAT; USOCR; DERWENT; lBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S487 & 7123 & (H04L29/08657, G01S5/0252, G01S5/02).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TIDB } \\
& \hline
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S488 & 5604 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \hline \text { USPAT; } \\
& \hline \text { USOCR; } \\
& \hline \text { IBMENT; } \\
& \hline
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S489 & 13652 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S490 & 593 & (S485 S486 S487 S488 S489) and (schedul\$4 near3 down\$1link) and (component near3 carrier) land single with carrier same (plurality multiple |several) with (DL down\$1link) with carrier same (frequency) & \[
\begin{aligned}
& \text { US-PGPPB; } \\
& \text { USPAT; } \\
& \text { USERR; } \\
& \text { DERWETDT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S491 & 752 & : ((david near2 astely) (robert near2 baldemair) ! (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. land ericsson.as. &  & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S492 & 1 & S490 and S491 & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \hline \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
1 \begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 153503 & 60_Acces & leVersion.htm[10/14/2017 5:40:28 PM] & & &  & \begin{tabular}{l}
\[
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\] \\
Page 307
\end{tabular} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S493 & 21 & \(455 / \$ . c c l s\). and ((first 1st) adj 6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj 6 (radio resource frame)) & US-PGPUB;
USPAT
USOCR;
DERWENT
IBM_TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline 5494 & 24 &  & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & OFF & \[
12017 / 09 / 23
\] \\
\hline S495 & 1 & " 144030298 " & US-PGPUB; & OR & OFF & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S496 & 339 & (( 1st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { ISERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
1
\] \\
\hline S497 & !2 & "14102508" & US-PGPUB;
USPAT
USOCR;
DERWENT; & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S498 & 2 & "14158378" & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S499 & 2 & ""14097736" & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
1 \begin{aligned}
& 12017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5500 & 3 & ""14006545" & US-PGPUB;
USPAT
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
1
\] \\
\hline S501 & 2 & "13875620" & \begin{tabular}{l|} 
US-PGPUB; \\
USPAT \\
USOCR; \\
DERWENT; \\
IBM TDB
\end{tabular} & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S502 & 3 & |"13905342" & \begin{tabular}{l|} 
US-PGPUB; \\
USPAT \\
USOCR; \\
DERWENT; \\
IBM TDB
\end{tabular} & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S503 & 2 & ""13477988" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT } \\
& \text { DERWER }
\end{aligned}
\] & OR & ON
IPR & \[
20
\] \\
\hline 153503 & 60_A & ibleVersion.htm[10/14/2017 5:40:28 PM] & & Aрр & X1002 & Page 308 \\
\hline
\end{tabular}


\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. & UUSOCR; DERWENT; IBM TDB & & & \\
\hline 5524 & 752 & |(( (david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { UERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5525 & 209 & (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and carrier adj aggregation & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USECR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5526 & 53 & " 455 "/\$.ccls. and (carrier near3 aggregation) and ((first 1st) adj6 carrier) same (( 1 st first) adj6 (radio resource frame)) and ((2nd second) adj6 carrier) same ((2nd second) adj6 (radio resource frame)) and carrier adj aggregation & \[
\begin{aligned}
& \text { US-PGPB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { IERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S527 & 99 & (H04W88/08, H04W72/044, H04W72/042).cpc. and ((first 1st) adj6 component adj3 carrier) same ((1st first) adj6 (radio resource frame)) and ((2nd second) adj 6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USECRWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S528 & 27 & (set group) near6 (radio resource) with (2nd second other another) near6 (DL down\$link) near3 (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S529 & 38 & 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) & \[
\begin{aligned}
& \text { US-PGPBB; } \\
& \text { USPAT; } \\
& \text { LISERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5530 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj 4 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPPB; } \\
& \text { USPAT; } \\
& \text { USERWENT; } \\
& \text { DBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S531 & 1 & (((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) ( (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson.as. and single near3 (CC (component adj2 carrier)) with (primary adj2 cell) with (DL down\$1link) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ION & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5532 & 44 & I(((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in.) and ericsson. as. and (CC (component adj2 carrier)) with (primary adj2 cell) & US-PGPUB; USPAT; USOCR; DERWENT; IIBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S533 & 136 & 455/\$.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (control with information) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S534 & 30 & |"20120127950"| "20110310819"| & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { LSOCR; } \\
& \text { IBMENT; }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S535 & 20 & S(carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) and ((first 1st) ladj6 component adj3 carrier) same ((1st first) ladj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) ladj6 (radio resource frame)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & & ON & : \\
\hline S536 & 3 & : 20070030661 " & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S537 & 82 & (370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S538 & 0 & :|(H04B1/3833, H04M1/0247, H04M1/0237).cpc. land (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S539 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul \(\$ 3\) assigin \(\$ 3\) ) with (primary adj cell) same2 (multiple several set) near6 carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; } \\
& \text { EPO; JPO; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & & ON & ? \\
\hline S540 & 38 & (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul \(\$ 3\) assigin \(\$ 3\) ) with (primary adj cell) same2 (multiple several set) near3 component : adj2 carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48 \\
& \\
& \\
& \\
& \ldots . . . . . .
\end{aligned}
\] \\
\hline S541 & \%38 & i(H04L5/0053, H04L5/001, H04L5/0094, (H04B1/3833, H04M1/0247, H04M1/0237).cpc. land (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component ladj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) & \[
\begin{aligned}
& \text { QUS-PGPUB; } \\
& \text { USPAT; } \\
& \text { GSOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & & \%ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S542 & 18 & (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & US-PGPUB; USPAT; USOCR; DERWENT; BM_TDB & & \%ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48 \\
& \\
& \\
& \\
& \\
&
\end{aligned}
\] \\
\hline 5543 & 0 & 455/509,522,456.6,137,103,575.ccls. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & !ON & 2017/09/23 \\
\hline S544 & 18 & \begin{tabular}{l}
! (A01B12/006, H04L5/0053, H04L5/001, \\
H04L5/0094, H04B1/3833, H04M1/0247, \\
H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources)
\end{tabular} & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; |BM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S545 & 14 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USRCR; } \\
& \text { EPO; JPO; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 13017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S546 & 1 & ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and ericsson.as. and (schedul\$3 assigin \(\$ 3\) ) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier & US-PGPUB USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S547 & 60 &  & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{array}{|l|}
\hline 2017 / 09 / 23 \\
13: 48
\end{array}
\] \\
\hline S548 & 2 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin \(\$ 3\) ) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & USPAT & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S549 & 14 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin \(\$ 3\) ) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB & OR & ION & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline & & & & & & 2-00648 \\
\hline \multicolumn{3}{|l|}{S350360_AccessibleVersion.htm[10/14/2017 5:40:28 PM]} & \multicolumn{4}{|r|}{Apple EX1002 Page 313} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S550 & 270 & ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and \(455 / \$\).ccls. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USERR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S551 & 5 & ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (carrier adj aggregation) and (schedul\$3 near3 (downlink DL) with ((first primary initial) near6 (resource radio frequency frame))) & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { IERWENT; } \\
& \text { BMM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S552 & 5 & 455/451,452.1,509,456. 1,522, 137, 103,575.ccls. and (control\$4) with (resource frequency channel Bin) same (reserv\$4 sav\$4) near3 (other 2nd second another next) adj 3 (resource frequency channel Bin) and (CC component) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S553 & 3 & ""12896993" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S554 & ]62 & [370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & & ION & \[
12017 / 09 / 23
\] \\
\hline S555 & 2 & ("20120147847").PN. & MUS-PGPUB; UUSPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM TDB & & IOFF & \[
12017 / 09 / 23
\] \\
\hline S556 & 30 & 455/509,522,456.6, 137, 103,575.ccls. and (schedul\$3 assigin\$3) with component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & \[
\begin{aligned}
& \text { USSPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
1
\] \\
\hline S557 & 22 & 455/\$.ccls. and (1st first) near3 (radio band resource frequency) with (reserv\$3 schedul\$3 allocat\$3) with (1st first) near3 (CC (component adj carrier)) & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { DERWENT; } \\
& \text { BM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S556 & 2 & /("20120147847").PN. & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
1 \begin{aligned}
& 12017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5559 & 21 & 455/\$.ccls. and ((first 1st) adj6 component adj3 carrier) same (( 1 st first) adj6 (radio resource frame)) and ((2nd second) adj6 component adj3 carrier) same ((2nd second) adj6 (radio resource frame)) & \[
\begin{aligned}
& \text { USSPGPUB; } \\
& \text { USPAT; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & 12017/09/23: \\
\hline S560 & 33 & ||"20120127950" |"20110310819" | & US-PGPUB; USPAT; USOCR; DERWENT; BM_TDB & & & \[
12017 / 09 / 23
\] \\
\hline \multicolumn{7}{|r|}{IPR2022-00648} \\
\hline 153503 & 60_A & bleVersion.htm[10/14/2017 5:40:28 PM] & \multicolumn{4}{|r|}{Apple EX1002 Page 314} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & \begin{tabular}{l}
:"20110299486" | "20100098012" | "20120082125 \\
ह" | "20120294273" | "20110268048").pn.
\end{tabular} & & & & \\
\hline S561 & 26 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin \(\$ 3\) ) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) same component adj carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { ISOCR; } \\
& \text { EPO; JPO; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{array}{|l|l|}
\hline 2017 / 09 / 23 \\
13: 48
\end{array}
\] \\
\hline S562 & 60 &  & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
\begin{array}{|l|}
\hline 2017 / 09 / 23 \\
13: 48
\end{array}
\] \\
\hline S563 & O & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame frequency)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
1
\] \\
\hline S564 & 0 & 455/\$.ccls. and (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & \[
\begin{aligned}
& \text { USPAGPPB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S565 & O & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. land (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) same (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IIBM TIDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S566 & 10 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (first 1st) near3 (radio adj resource) and (second other another 2nd) near3 (radio adj resource) and (schedul\$3 near3 (down\$link DL lreverse \(\$ 1\) link)) & US-PGPUB;
USPAT;
USOCR;
DERWENT;
IBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline & 1010 & :|schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; }
\end{aligned}
\] & OR & N & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48 \\
& 22-00648
\end{aligned}
\] \\
\hline 53503 & 60_Acce & leVersion.htm[10/14/2017 5:40:28 PM] & & App & & Page 315 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & U(info information))) with ( OC (component adj2 cacarrier)) & UUSOCR; DERWENT; IBM TDB & & & \\
\hline S568 & 78 & (H04W88/08, H04W72/044, H04W72/0421).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S569 & 12 & (H04W88/08, H04W72/044, H04W72/0421).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) and schedul \(\$ 3\) with (non\$1primary second 2nd secondary) adj2 cell & US-PGPUB USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S570 & 52 & (H04W88/08, H04W72/044, H04W72/042I).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (OC (component adj2 carrier)) and (non\$1primary second 2nd secondary) adj2 (CC (component adj2 carrier)) & \[
\begin{aligned}
& \text { US-GPPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S571 & 752 & Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. & \[
\begin{aligned}
& \text { US-PGPB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S572 & 43 & Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (radio near3 resource) with (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S573 & 5 & \(455 / \$ . c c l s\). and (set near3 radio near3 resource) same component adj carrier & \[
\begin{aligned}
& \text { US-PGPBB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S574 & 38958 & (H04W88/08, H04W72/044, H04W72/042).cpc. & \[
\begin{aligned}
& \text { US-PGUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S575 & 8021 & (H04W52/367, H04W52/ 12, H04W52/40).cpc. & US-PGPUB USPAT: USOCR; DERWENT IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S576 & 7123 & \(\sqrt{(H 04 L 29 / 08657, ~ G 01 S 5 / 0252, ~ G 01 S 5 / 02) . c p c . ~}\) & \[
\begin{array}{l|}
\hline \text { USPGPPUB; } \\
\text { USPAT; } \\
\text { USOCR; } \\
\text { DERWENT; } \\
\text { IBM_TDB }
\end{array}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S577 & 5604 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S578 & 13652 & (H03F3/211, H04B7/0617, H04B7/0669).cpc. & US-PGPUB; USPAT; USOCR; DERWENT IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline S579 & 152 & (S574 S575 S576 S577 S578) and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & US-PGPUB;
USPAT
USOCR;
DERWENT
IBM T T & & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S580 & 147 & (H04W88/08, H04W72/044, H04W72/042).cpc. and (second other another 2nd) near3 (radio adj resource) and (carrier adj aggregation) and (schedul\$3 near3 (down\$link DL reverse\$1link)) & US-PGPUB;
USPAT
USOCR;
DERWENT
IBM_T & & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S581 & 13 & Ericsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and schedul\$3 near3 (transmit\$4 transmi\$5 communication) with (CC (component adj2 cacarrier)) & US-PGPUB;
USPAT
USOCR;
DERWENT
IBMTT & & ON & \[
12017 / 09 / 23
\] \\
\hline S582 & 63 & 455/451,452.1,509,456. 1,522,137,103,575.ccls. and (allocat \(\$ 3\) ) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (control\$3 adjst\$3) near6 (CC component) & US-PGPUB;
USPAT
USOCR;
DERWENT
IBM_T & & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline S583 & 0 & 455/451,452.1,509,456. 1,522,137,103,575.ccls. and (allocat \(\$ 3\) ) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same (multiple several set) near3 component adj2 carrier & US-PGPUB;
USPAT
USOCR;
FPRS;
EPO; JPO;
DERWENT
IBM_T & & ON & \[
12017 / 09 / 23
\] \\
\hline S584 & 0 & 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat \(\$ 3\) ) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same2 (multiple several set) near3 component adj2 carrier & \[
\begin{aligned}
& \text { USSPGPUB; } \\
& \text { CSPAT } \\
& \text { UPOCR; } \\
& \text { SPRS; JPO; } \\
& \text { SDRWENT } \\
& \text { IBM T }
\end{aligned}
\] & & ON & \[
12017 / 09 / 23
\] \\
\hline S585 & 1 & 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat \(\$ 3\) ) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj2 cell) and (multiple several set) near3 component adj2 carrier & US-PGPUB;
USPAT;
USOCR;
FPRS;
EPO; JPO;
IERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S586 & 2 & 455/451,452.1,509,456.1,522,137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & US-PGPUB;
USPAT;
USOCR;
FPRS;
EPO; JPO;
DERWENT;
IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S587 & 10 & \(455 / 451,452.1,509,456.1,522,137,103,575 . \mathrm{ccls}\). and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & US-PGPUB;
USPAT
USOCR;
EPRS;
EPEO; JPO;
IBMENT & & ON & \[
1207709723
\] \\
\hline S588 & 178 & 370/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & \[
\begin{aligned}
& \text { LUS-PGPUB; } \\
& \text { USPAT } \\
& \text { USOCR; } \\
& \text { EPRS; JPO; }
\end{aligned}
\] & & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline & & & & & & 22-00648 \\
\hline \multicolumn{3}{|l|}{5350360_AccessibleVersion.htm[10/14/2017 5:40:28 PM]} & \multicolumn{4}{|r|}{Apple EX1002 Page 317} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & & \begin{tabular}{l}
ldERWENT; \\
IBM TDB
\end{tabular} & & & \\
\hline S589 & 27 & 455/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S590 & 178 & \(370 / \$\). ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
120
\] \\
\hline S591 & 81 & 370/\$.ccls. and (allocat\$3) with (resource frequency channel Bin) same2 (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (multiple several set) near3 component adj2 carrier and (primary adj2 cell) & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S592 & 3 & "12896993" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; ; } \\
& \text { EPOO; JPO; } \\
& \text { DERWENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S593 & 3 & "9497004" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; ; JPO; } \\
& \text { EPORWENT; } \\
& \text { IBM TTBB }
\end{aligned}
\] & OR & ON & \[
13: 48
\] \\
\hline S594 & 3 & "12896993" & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { FPRS; } \\
& \text { EPO; JPO; } \\
& \text { DERWENT; } \\
& \text { IBM TTDB }
\end{aligned}
\] & OR & ON & \[
1
\] \\
\hline S595 & 224 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregat\$3) and (component near3 carrier) same (up\$1link UL) with associat\$3 with down\$1link & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12
\] \\
\hline S596 & 265 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregat\$3) and (component near3 carrier) same (up\$1link UL) with associat\$3 with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
1 \begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S597 & 122 & 370/329,341,348,395.4.ccls. and (carrier near3 aggregat\$3) same (component near3 carrier) same (up\$1 link UL) with associat\$3 with (DL down\$1link) & US-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
12
\] \\
\hline \[
\sqrt{5598}
\] & \[
75
\] & \(\sqrt{(455 / 451,452.1,509,456.1,522,137,103,575 . c \mathrm{cls} .)}\) & US-PGPUB; & OR & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 22-00648
\end{aligned}
\] \\
\hline 153503 & 60_Ac & bleVersion.htm[10/14/2017 5:40:28 PM] & & & R10 & Page 318 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & U(370/329,341,348,395.4.ccls.)) and (carrier near3 laggregat\$3) same (component near3 carrier) ssame (up\$1link UL) with associat\$3 with (DL :down\$1link) and (schedul\$3 near3 downlink) & \begin{tabular}{l}
UUSPAT; \\
USOCR; \\
DERWENT; \\
IBM_TDB
\end{tabular} & & & 13:48 \\
\hline 5599 & 38 & |455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S600 & 138 & /455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and (carrier adj aggregation) and (carrier adj faggregation) & \[
\begin{aligned}
& \text { USS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ION & \[
12017 / 09 / 23
\] \\
\hline S601 & 3 & :455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 ( primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & USS-PGPUB; USPAT; USOCR; DERWENT; IBM TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5602 & [75 & 370/329,341,348,395.4.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with ( channel resource)) same(carrier adj aggregation) & UUS-PGPUB; USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5603 & 13 & (H04W52/367, H04W52/12, H04W52/40).cpc. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) :same(carrier adj aggregation). & US-PGPUB USPAT; USOCR; DERWENT; IBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S604 & 13 & IEricsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) (daniel near2 larsson) (lars near2 lindbom) (stefan near2 parkvall)).in. and (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) ssame(carrier adj aggregation) & \[
\begin{aligned}
& \text { WSSPGPUB; } \\
& \text { USPAT; } \\
& \text { UPOCR; } \\
& \text { FPRO; JPO; } \\
& \text { IDERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S605 & 184 & I (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & UUS-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB & OR & ON & \[
\left\{\begin{array}{l}
2017 / 09 / 23 \\
13: 48
\end{array}\right.
\] \\
\hline 5606 & 4 & (H04W52/367, H04W52/12, H04W52/40).cpc. and (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj laggregation) & US-PGPUB; USPAT; USOCR; DERWENT; IIBM_TDB & OR & ON & \[
12017 / 09 / 23
\] \\
\hline S623 & 13 & IEricsson.as. and ((david near2 astely) (robert near2 baldemair) (dirk near2 gerstenberger) ( (daniel near2 larsson) (lars near2 lindbom) ( (stefan near2 parkvall)).in. and (radio near3 resource) with (component near3 carrier) and ((second 2nd other next) with (channel resource)) ssame(carrier adj aggregation) & \[
\begin{aligned}
& \text { WUS-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { IPPO; JPO }
\end{aligned}
\] & OR & ON & \[
12017 / 10 / 13
\] \\
\hline 5624 & 38 & 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) and & UUS-PGPUB; USPAT; USOCR; DERWENT; & OR & ON & \[
\begin{aligned}
& 2017 / 10 / 13 \\
& 18: 15
\end{aligned}
\] \\
\hline \multicolumn{7}{|r|}{IPR2022-00648} \\
\hline 153503 & _A & leVersion.htm[10/14/2017 5:40:28 PM] & \multicolumn{4}{|r|}{Apple EX1002 Page 319} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & ( (carrier adj aggregation) & IBM_TDB & & & \\
\hline S625 & 0 & \[
\begin{aligned}
& \text { 455/\$.ccls. and (first 1st) near3 (radio adj } \\
& \text { resource) and (second other another 2nd) near3 } \\
& \text { (radio adj resource) same (carrier adj } \\
& \text { laggregation) and (schedul\$3 near3 (down\$link DL } \\
& \text { reverse\$1link)) }
\end{aligned}
\] & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { IBERWENT; }
\end{aligned}
\] & OR & ON & 12017/10/13 \\
\hline S626 & 639 & ]( (second 2nd other) with ((radio near3 (resource bband frequency)) (resource adj block))) same component adj carrier & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { IBM_TDB }
\end{aligned}
\] & OR & ON & 2017/10/13 \\
\hline S627 & 19 & (DL down\$link) with (1st first first primary initia) inear3 (set group) near6 (radio resource) and (DL down\$link) with (set group) near6 (radio resource) with (2nd second other another) near2 component & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB & OR & \}ON & |ll \\
\hline S628 & 8 & ("20110081856"| "20090116427" | & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; } \\
& \text { BM_TDB }
\end{aligned}
\] & OR & ON &  \\
\hline S629 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1link) with carrier same (frequency) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCR; } \\
& \text { DERWENT; }
\end{aligned}
\] & OR & ON & 2017/10/13 \\
\hline S630 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$4 near3 down\$1link) and (component near3 carrier) and single with carrier same (plurality multiple several) with (DL down\$1link) with carrier same (frequency) & \[
\begin{aligned}
& \text { IUS-PGPUB; } \\
& \text { USPAT; } \\
& \text { ISOCR; } \\
& \text { IPRS; JPO; } \\
& \text { IPERWNENT; } \\
& \text { IBM TDB }
\end{aligned}
\] & OR & OON & 2017/10/13 \\
\hline S631 & 51 & (DL down\$1 link) with (1st first first primary initia) near3 (set group\$3) near6 (radio resource band frequency) and (DL down\$link) with (second 2nd) inear3 (component near3 carrier) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT }
\end{aligned}
\] & OR & ON & 2017/10/13 \\
\hline S634 & 3 & \(455 / 509,522,456.6,137,103,575 . c c l s\). and
(downlink near3 carrier) and (uplink near3
(primary first initial) near3 carrier) and ((second
2nd other next) with (channel resource)) same
(carrier adj2 aggregat\$3) & \[
\begin{aligned}
& \text { US-PGPUB; } \\
& \text { USPAT; } \\
& \text { USOCRF } \\
& \text { DBMETDB }
\end{aligned}
\] & OR & ON & \[
\begin{aligned}
& 2017 / 10 / 14 \\
& 11: 26
\end{aligned}
\] \\
\hline S635 & 14 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT IBM_TDB & OR & ON & 12017/10/14 \\
\hline
\end{tabular}

\section*{EAST Search History (Interference)}


\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) & & & & \\
\hline S263 & 14 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & ]SSPAT & OR & ON & \[
\begin{aligned}
& 2016 / 06 / 24 \\
& 22: 15
\end{aligned}
\] \\
\hline S264 & 66 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & USPGPUB; USPAT & OR & ON & \[
\begin{array}{|l}
2016 / 06 / 24 \\
22: 15
\end{array}
\] \\
\hline S265 & 13 & \begin{tabular}{l}
(H04W88/08, H04W72/044, H04W72/042, \\
H04W52/367, H04W52/ 12, H04W52/40, \\
H04L29/08657, G01S5/0252, G01S5/02, \\
H04B1/3833, H04M1/0247, H04M1/0237).cpc. \\
and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) same component adj carrier
\end{tabular} & USPGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2016 / 06 / 24 \\
& 22: 17
\end{aligned}
\] \\
\hline S277 & 9 & (H04W88/08, H04W72/044, H04W72/042I).cpc. and schedul\$3 near3 (transmit\$4 transmi\$5) with (CC (component adj2 cacarrier)) and (Cl (control adj2 (info information))) with (CC (component adj2 cacarrier)) and schedul\$3 with (non\$1primary second 2nd secondary) adj2 cell & USPGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2017 / 03 / 16 \\
& 17: 37
\end{aligned}
\] \\
\hline S293 & \} & 455/451,452.1,509,456.1,522, 137,103,575.ccls. and (allocat\$3) with (resource frequency channel Bin) same (reserv\$4 sav\$4) adj6 (resource frequency channel Bin) and (primary adj cell) same (multiple several set) near3 component adj2 carrier & \begin{tabular}{l}
US- \\
PGPUB; USPAT
\end{tabular} & OR & ON & 2017/03/17 \\
\hline S313 & 3 & 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ( (second2nd other next) with (channel r esource)) same(carrier adj aggregation) & USPGPUB; USPAT & OR & ON & 2017/06/26 \\
\hline S314 & 12 & (H04W52/367, H04W52/12, H04W52/40).cpc. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same(carrier adj aggregation) & USPGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2017 / 06 / 26 \\
& 18: 55
\end{aligned}
\] \\
\hline S607 & 1 & 455/451,452.1,509,456.1,522,137,103,575.ccls. and (control\$4) with (resource frequency channel) same (rererv\$4 sav\$4) near3 (other 2nd second another) adj3 (resource frequency channel Bin) & US PGPUB; USPAT & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline 5608 & 17 & : (DL down\$link) with (1st first first primary initia) near3 (set group) near6 (radio resource) & US- & OR & ON & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline & & \%and (DL down\$link) with (set group) near6 (radio resource) with (2nd second other another) near2 component & YSPAT & & & & \\
\hline S609 & 0 & (H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier & US- & OR & ON & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S610 & 18 & (H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & USPGPUB; USPAT & OR & ON & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S611 & 18 & (A01B12/006, H04L5/0053, H04L5/001, H04L5/0094, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & USPGPUB; USPAT & OR & ON & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S612 & 2 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/ 12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) & USPAT & OR & ON & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S613 & 14 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, H04L29/08657, G01S5/0252, G01S5/02, H04B1/3833, H04M1/0247, H04M1/0237).cpc. and (schedul\$3 assigin\$3) with (primary adj cell) same2 (multiple several set) near3 component adj2 carrier and (control\$4 adjust\$3) near6 (DL (down\$link)) and (second 2nd another other) near3 (radio frequency band resources) \(\qquad\) & USPGPUB; USPAT & OR & ON & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S614 & 14 & \[
\begin{aligned}
& \text { (H04W88/08, H04W72/044, H04W72/042, } \\
& \text { H04W52/367, H04W52/12, H04W52/40, } \\
& \text { H04L29/08657, G01S5/0252, G01S5/02, } \\
& \text { H04B1/3833, H04M1/0247, H04M1/0237).cpc. } \\
& \text { and (schedul\$3 assigin\$3) with (primary adj } \\
& \text { cell) same2 (multiple several set) near3 } \\
& \text { component adj2 carrier and (control\$4 } \\
& \text { adjust\$3) near6 (DL (down\$\$ink)) and (second } \\
& \text { and another other) near3 (radio frequency } \\
& \text { band resources) }
\end{aligned}
\] & USPGPUB; USPAT & OR & ON & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S615 & 62 & 370/329,252,331.ccls. and (((first 1st) adj6 component adj3 carrier) same ((radio resource frame))) and ((2nd second) adj6 component adj3 carrier) same ((2nd second other another) adj4 (radio resource frame)) and (set group) near6 (radio resource) & USPGPUB; USPAT & OR & ON & & \[
\begin{aligned}
& 2017 / 09 / 23 \\
& 13: 48
\end{aligned}
\] \\
\hline S616 & 43 & (H04W88/08, H04W72/044, H04W72/042, H04W52/367, H04W52/12, H04W52/40, & USPAT & OR & ON & & 2017/09/23 \\
\hline
\end{tabular}

\begin{tabular}{|c|c|c|c|c|c|c|}
\hline & & |carrier same (plurality multiple several) with (DL down\$1link) with carrier same (frequency) & & & & \\
\hline S636 & 3 & 455/509,522,456.6,137,103,575.ccls. and (downlink near3 carrier) and (uplink near3 (primary first initial) near3 carrier) and ((second 2nd other next) with (channel resource)) same (carrier adj2 aggregat\$3) & \begin{tabular}{l}
US- \\
PGPUB; \\
USPAT
\end{tabular} & OR & ON & \[
\begin{aligned}
& 2017 / 10 / 14 \\
& 11: 33
\end{aligned}
\] \\
\hline
\end{tabular}

10/14/2017 5:40:21 PM
C:\Users\mtalukder\Documents\EAST\Workspaces\15350360.wsp

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}

In re Application of Astely et al.
Serial No.: 15/350,360
Filed: November 14, 2016

\section*{For: PUCCH Resource Allocation for Carrier Aggregation in LTE-Advanced}

Docket No: 4015-9600 / P30138-US3
)

Examiner: Md K. Talukder
Group Art Unit: 2648
Confirmation No.: 1120

10 November 2017

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450

Alexandria, VA 22313-1450

\section*{AMENDMENT PURSUANT TO 37 CFR § 1.312}

This paper is being filed in response to the Notice of Allowance mailed 24 October 2017 Applicant timely submits this amendment pursuant to 37 CFR § 1.312. Entry of the following amendments to the Specification and consideration of the remarks below is respectfully requested. The Office is hereby authorized to charge any fees required for entry of this paper to Deposit Account 18-1167.
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{Electronic Acknowledgement Receipt} \\
\hline EFS ID: & 30913817 \\
\hline Application Number: & 15350360 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 1120 \\
\hline Title of Invention: & PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED \\
\hline First Named Inventor/Applicant Name: & David Astely \\
\hline Customer Number: & 24112 \\
\hline Filer: & David E. Bennett/Karen Nelson \\
\hline Filer Authorized By: & David E. Bennett \\
\hline Attorney Docket Number: & 4015-9600 / P30138-US3 \\
\hline Receipt Date: & 10-NOV-2017 \\
\hline Filing Date: & 14-NOV-2016 \\
\hline Time Stamp: & 11:43:43 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
\hline
\end{tabular}

\section*{Payment information:}
\begin{tabular}{|c|c|c|c|c|c|}
\hline \multicolumn{2}{|l|}{Submitted with Payment} & \multicolumn{4}{|l|}{no} \\
\hline \multicolumn{6}{|l|}{File Listing:} \\
\hline Document Number & Document Description & File Name & File Size(Bytes)/ Message Digest & \[
\begin{gathered}
\text { Multi } \\
\text { Part /.zip }
\end{gathered}
\] & Pages (if appl.) \\
\hline \multirow[b]{2}{*}{1} & & \multirow[b]{2}{*}{4015-9600_312_Amendment. pdf} & 58008 & & \\
\hline & & & 7a626df13809ccsffeeacbc81 a3a66401ce & yes & 15 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|}
\hline & \multicolumn{3}{|l|}{Multipart Description/PDF files in .zip description} \\
\hline & Document Description & Start & End \\
\hline & Applicant Arguments/Remarks Made in an Amendment & 15 & 15 \\
\hline & Claims & 4 & 14 \\
\hline & Specification & 2 & 3 \\
\hline & Amendment after Notice of Allowance (Rule 312) & 1 & 1 \\
\hline \multicolumn{4}{|l|}{Warnings:} \\
\hline \multicolumn{4}{|l|}{Information:} \\
\hline \multicolumn{2}{|r|}{Total Files Size (in bytes):} & \multicolumn{2}{|c|}{58008} \\
\hline \multicolumn{4}{|l|}{This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.} \\
\hline \multicolumn{4}{|l|}{New Applications Under 35 U.S.C. 111} \\
\hline \multicolumn{4}{|l|}{\begin{tabular}{l}
If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application. \\
National Stage of an International Application under 35 U.S.C. 371
\end{tabular}} \\
\hline \multicolumn{4}{|l|}{\begin{tabular}{l}
If a timely submission to enter the national stage of an international application is compliant with the conditions of \(\mathbf{3 5}\) U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. \\
New International Application Filed with the USPTO as a Receiving Office
\end{tabular}} \\
\hline \multicolumn{4}{|l|}{If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.} \\
\hline
\end{tabular}

\section*{REMARKS}

No claims are amended. A full claim set is provided solely for convenience.

Paragraphs 45, 65, 66 and 67 in the Specification have been amended to correct minor typographical errors. No new matter had been added. Entry of these amendments is requested under 37 C.F.R. §1.312. Applicant respectfully submits that the present application is now in condition to proceed to issue. It is unclear whether the amendment filed 17 October 2017 has been entered since it is not mentioned in the Notice of Allowance. If it has been entered, please ignore this \(\S 1.312\) amendment.

Respectfully submitted, COATS \& BENNETT, P.L.L.C.


Dated: 10 November 2017
David E. Bennett
Registration No.: 32,194
Telephone: (919) 854-1844

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IPR2022-00648

\section*{CLAIMS LISTING}
1. (Previously Presented) A method implemented by a base station of receiving control information from a user terminal, the method comprising:
scheduling downlink transmissions to a first user terminal only on a single downlink component carrier associated with a primary cell and scheduling downlink transmissions to a second user terminal on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell;
receiving, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and
receiving, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
2. (Previously Presented) The method of claim 1, wherein the first and second sets of radio resources are different.
3. (Previously Presented) The method of claim 2, wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
4. (Previously Presented) The method of claim 1, further comprising transmitting control information to the first user terminal to explicitly indicate the first set of radio resources on the uplink component carrier associated with the primary cell.
5. (Previously Presented) The method of claim 1, further comprising providing the first user terminal with an implicit indication to dynamically assign radio resources in said first set of radio resources.
6. (Previously Presented) The method of claim 5, wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) used for scheduling the first user terminal.
7. (Previously Presented) The method of claim 1, further comprising transmitting control information to the second user terminal on a downlink component carrier to implicitly or explicitly indicate the second set of radio resources on the uplink component carrier associated with the primary cell.
8. (Previously Presented) The method of claim 7, wherein at least one of the first and second sets of radio resources is indicated explicitly by an uplink control channel resource index.
9. (Previously Presented) The method of claim 8, wherein an explicit indication related to the second set of radio resources is transmitted as radio resource control signaling.
10. (Previously Presented) The method of claim 1, further comprising transmitting, on the single downlink component carrier, an assignment of radio resources in the second set of radio resources when the second user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
11. (Previously Presented) The method of claim 10, wherein the assignment of radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources to the second user terminal when the second user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
12. (Previously Presented) The method of claim 11, wherein the acknowledgement resource indication selects radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources.
13. (Previously Presented) The method of claim 1, further comprising:
receiving control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.

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IPR2022-00648
14. (Previously Presented) The method of claim 1, further comprising:
if the first user terminal is scheduled to receive downlink transmissions on a second single downlink component carrier associated with a non-primary cell, receiving control information associated with the downlink transmissions to the first user terminal on the second set of radio resources on the uplink component carrier associated with the primary cell, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on the second single downlink component carrier.
15. (Previously Presented) The method of claim 1, wherein the first user equipment is the same as the second user equipment.
16. (Previously Presented) The method of claim 1, wherein the first user equipment is different from the second user equipment.
17. (Previously Presented) A base station comprising:
a transmitter to transmit user data on one or more downlink component carriers to a first user terminal and a second user terminal; and
a controller to schedule downlink transmissions to the first user terminal and the second user terminal, the controller configured to:
schedule downlink transmissions to the first user terminal only on a single downlink component carrier associated with a primary cell and schedule downlink transmissions to the second user terminal on multiple downlink
component carriers or on a downlink component carrier associated with a non-primary cell;
receive, on a first set of radio resources, control information associated with the downlink transmissions to the first user terminal, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions only on a single downlink component carrier associated with the primary cell; and
receive, on a second set of radio resources, control information associated with the downlink transmissions to the second user terminal, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
18. (Previously Presented) A method implemented by a user terminal of transmitting control information in a mobile communication network, the method comprising:
receiving an assignment of radio resources for downlink transmissions from a base station;
transmitting, on a first set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources only on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and transmitting, on a second set of radio resources, control information associated with the downlink transmissions responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmission, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
19. (Previously Presented) The method of claim 18, wherein the first and second sets of radio resources are different.
20. (Previously Presented) The method of claim 19, wherein the second set of radio resources are additional resources as compared to the first set of radio resources.
21. (Previously Presented) The method of claim 18, further comprising receiving control information from the base station explicitly indicating the first set of radio resources on the uplink component carrier associated with the primary cell.
22. (Previously Presented) The method of claim 21, wherein said receiving the control information comprises receiving an uplink control channel resource index explicitly indicating said first set of radio resources.
23. (Previously Presented) The method of claim 22, wherein an explicit indication relating to the second set of radio resources is received as radio resource control signaling.
24. (Previously Presented) The method of claim 18, further comprising receiving an implicit indication to dynamically assign radio resources in said first set of radio resources.
25. (Previously Presented) The method of claim 24, wherein the implicit indication is a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) on which the assignment of radio resources for downlink transmissions is received.
26. (Previously Presented) The method of claim 18, further comprising receiving, on the single downlink component carrier, an assignment of radio resources in the second set of radio resources when the user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
27. (Previously Presented) The method of claim 26, wherein the assignment of radio resources in said second set of radio resources is an acknowledgement resource indication to dynamically assign radio resources in when the user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
28. (Previously Presented) The method of claim 27, further comprising selecting radio resources in the second set of radio resources, which is a semi-statically configured set of uplink resources, responsive to the acknowledgement resource indication.
29. (Previously Presented) The method of claim 18, further comprising: transmitting control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.
30. (Previously Presented) A user terminal for mobile communications, the user terminal comprising:
a receiver to receive downlink transmissions from a base station;
a transmitter to transmit control information associated with the downlink transmission to a base station; and
a controller to select radio resources for transmission of control information associated with the downlink transmissions, the controller configured to:
select a first set of radio resources responsive to being assigned radio resources only on a single downlink component carrier associated with the primary cell for the downlink transmission, wherein the first set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on a single downlink component carrier associated with the primary cell; and select a second set of radio resources responsive to being assigned radio resources on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell for the downlink transmissions, wherein the second set of radio resources is reserved for a user terminal scheduled to receive downlink transmissions on multiple downlink component carriers or on a downlink component carrier associated with a non-primary cell, the first and second sets of radio resources being on a same uplink component carrier associated with the primary cell.
31. (Previously Presented) The user terminal of claim 30, wherein the controller is further configured to select, as the second set of radio resources, a set of radio resources different than the first set of radio resources.
32. (Previously Presented) The user terminal of claim 31, wherein the controller is further configured to select, as the second set of radio resources, additional resources as compared to the first set of radio resources.
33. (Previously Presented) The user terminal of claim 30, wherein the controller is further configured to receive control information from the base station explicitly indicating the first set of radio resources on the uplink component carrier associated with the primary cell.
34. (Previously Presented) The user terminal of claim 33, wherein the controller is further configured to receive, as the control information, an uplink control channel resource index explicitly indicating said first set of radio resources.
35. (Previously Presented) The user terminal of claim 34, wherein the controller is further configured to receive radio resource control signaling including an explicit indication relating to the second set of radio resources.
36. (Previously Presented) The user terminal of claim 30, wherein the controller is further configured to receive an implicit indication to dynamically assign radio resources in said first set of radio resources.
37. (Previously Presented) The user terminal of claim 36, wherein the implicit indication comprises a control channel element (CCE) of a Physical Downlink Control Channel (PDCCH) on which the assignment of radio resources for downlink transmissions is received.
38. (Previously Presented) The user terminal of claim 30, wherein the controller is further configured to receive, on the single downlink component carrier, an assignment of radio resources in the second set of radio resources when the user terminal is scheduled to receive the downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
39. (Previously Presented) The user terminal of claim 26, wherein the assignment of radio resources in said second set of radio resources comprises an acknowledgement resource indication to dynamically assign radio resources in when the user terminal is scheduled to receive downlink transmissions on the multiple downlink component carriers or on the downlink component carrier associated with the non-primary cell.
40. (Previously Presented) The user terminal of claim 27, wherein the controller is further configured to select radio resources in the second set of radio resources, which is a semistatically configured set of uplink resources, responsive to the acknowledgement resource indication.
41. (Previously Presented) The user terminal of claim 18, wherein he controller is further configured to transmit control signaling on the second set of radio resources if radio resources on a single downlink component carrier associated with a non-primary cell are assigned for the downlink transmissions.

\section*{AMENDMENTS TO THE SPECIFICATION}

Please replace paragraph [0045] with the following amended paragraph:
[0045] Both PUCCH format 1 and format 2 signaling messages are transmitted on a resourceblock pair with one resource block in each slot. The resource-block pair is determined from the PUCCH resource index. Thus, the resource-block number to use in the first and second slot of a subframe can be expressed as:

Please replace paragraph [0065] with the following amended paragraph:
[0065] Fig. 9 illustrates an exemplary method 50 implemented by a base station 20 in a communication network 10 of receiving uplink control information from a user terminal 100 depending [[ion]] on the assignment of downlink component carriers. The base station 20 schedules the user terminal 100 to receive downlink transmissions on one or more downlink component carriers (block 52). The user terminal 100 may be scheduled to receive downlink transmissions on a single downlink component carrier associated with a primary uplink component carrier. In this case, the base station 20 receives control information associated with the downlink transmissions to the user terminal 100 on a first set of radio resources on the uplink primary component carrier (block 54). Alternatively, the user terminal 100 may be scheduled to receive downlink transmissions on multiple downlink component carriers, or on a single downlink component carrier other than the downlink component carrier associated with the uplink primary component carrier. In this alternative case, the base station 20 receives uplink control information associated with the downlink transmissions from the user terminal 100 on a second set of radio resources on the uplink component carrier (block 56).

Please replace paragraph [0066] with the following amended paragraph:
[0066] Fig. 10 illustrates an exemplary method 60 implemented by a user terminal of transmission of uplink control signaling to a base station 20. The user terminal 100 receives a radio resource assignment for a downlink transmission from the base station 20 (block 62). If the user terminal 100 detects assignments of radio resources for a single downlink component carrier, the user terminal 100 transmits, on a first set of radio resources on an uplink primary component carrier, uplink control information associated with the downlink transmissions (block 64). On the other hand, if the user terminal 100 receives assignments for multiple downlink component carriers, the user terminal 100 transmits, on a second set of radio resources on the uplink primary component carrier, uplink control information associated with downlink transmissions (block 66).

Please replace paragraph [0067] with the following amended paragraph:
[0067] Fig. 11 illustrates another exemplary method 70 implemented by a user terminal 100 of transmission of uplink control signaling to a base station 20. The user terminal 100 receives a radio resource assignment for a downlink transmission from the base station 20 (block 72). If the user terminal 100 detects assignments of radio resources for a first downlink component carrier, the user terminal 100 transmits, on a first set of radio resources on a uplink primary component carrier, uplink control information associated with the downlink transmissions (block 74). On the other hand, if the user terminal 100 receives assignments for a second downlink component carrier, the user terminal 100 transmits, on a second set of radio resources on the primary uplink component carrier, uplink control information associated with downlink transmissions (block 76).

\section*{PART8-FEE(\$) TRANGMETTAK}

\section*{ \\ Commissioner for Fatents \\ Y. \(\}\). 80 x 145\(\}\) \\ Akexandria, Virginia 22313-345\% \\ or Fax (57)-273-2885}

INSTRUCTIONS: This form should be used for transmiting the ISSUE FEE and PUBLICATION FEE (if reguired). Blocks \(\frac{1}{1}\) through 5 should be completed where approptate. All further correspondence including the Patent, advance orders and notitication of maintenance fees will be matled to the current correspondence address as indicated uniess corrected below or directed otherwise in Biock 1, by (a) specifying a new correspondence address: and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

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\begin{tabular}{|c|c|c|c|c|}
\hline APPIICATION NO. & FIIING DATE & FIRST NAMED INVENTOR & \{ ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline 15/350,360 & 11/14/2016 & David Astejy & 4015-9600; P30138-US3 & 1120 \\
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\end{tabular}

\section*{TITLE OF INVENTION: PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED}
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\hline & & ART UNIT & Class-sumclass & & & \\
\hline \multicolumn{2}{|c|}{TALUKDER, MLJ K} & 2648 & 455-509000 & & & \\
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Change of correspondence address (or Change of Correspondence Address fom PTOiSB/122) attached.
"Fee Address" indication for "Fee Address" Indication form PTOiSB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is reguired.
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2. For printing on the patent front page, list \\
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3. ASSIGNEE NAME ANL RESILENCE LATA TO BE PRINTEL3 ON THE PATENT (print or type)

M EASE NOTE: Unless an assignee is identified befow, no assignee data will appear on the patent. If an assignee is identified betow, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.
(A) NAME OF ASSIGNEE
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\hline 4a. The following fee(s) are submited: & 4b. Payment of Fee(s); (Please firsi reapply any previsusty paids issue fee shown above) \\
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5. Change in Entity Status (from status indicated above)

Applicant certifying micro entity status. See 37 CFR 1.29
NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15P), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.
Applicant asserting small entity status. See 37 CFR 1.27
NOTE If the application was previously under micro entity status, checking this box will be taken to be a notification of loss of entillement to micro entity status.
MOTE: Checking this box will be taken to be a notification of loss of entitement to small or micro entity status, as applicable.
NOTE: This form must be signed in accordance with 37 CFR 1.31 and 133 . See 37 CFR 1.4 for signature requirements and certifications.

Authoized Signature David E. Bennett, Reg. No 32,194i Date 10 November 2017
Typed or printed name David E. Bennet! Registration No. 32194
\begin{tabular}{|c|c|c|c|c|}
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\hline Filing Date: & \multicolumn{4}{|l|}{14-Nov-2016} \\
\hline Title of Invention: & \multicolumn{4}{|l|}{PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED} \\
\hline First Named Inventor/Applicant Name: & \multicolumn{4}{|l|}{David Astely} \\
\hline Filer: & \multicolumn{4}{|l|}{David E. Bennett/Karen Nelson} \\
\hline Attorney Docket Number: & \multicolumn{4}{|l|}{4015-9600 / P30138-US3} \\
\hline \multicolumn{5}{|l|}{Filed as Large Entity} \\
\hline \multicolumn{5}{|l|}{Filing Fees for Utility under 35 USC 111(a)} \\
\hline Description & Fee Code & Quantity & Amount & Sub-Total in USD(\$) \\
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\hline \multicolumn{5}{|l|}{Miscellaneous-Filing:} \\
\hline \multicolumn{5}{|l|}{Petition:} \\
\hline \multicolumn{5}{|l|}{Patent-Appeals-and-Interference:} \\
\hline \multicolumn{5}{|l|}{Post-Allowance-and-Post-Issuance:} \\
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\hline PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL & 1504 & 1 & 0 & 0 \\
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\hline Miscellaneous: & \\
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\hline EFS ID: & 30913931 \\
\hline Application Number: & 15350360 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 1120 \\
\hline Title of Invention: & PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED \\
\hline First Named Inventor/Applicant Name: & David Astely \\
\hline Customer Number: & 24112 \\
\hline Filer: & David E. Bennett/Karen Nelson \\
\hline Filer Authorized By: & David E. Bennett \\
\hline Attorney Docket Number: & 4015-9600 / P30138-US3 \\
\hline Receipt Date: & 10-NOV-2017 \\
\hline Filing Date: & 14-NOV-2016 \\
\hline Time Stamp: & 11:49:26 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
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\section*{Payment information:}
\begin{tabular}{|l|l|}
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\hline Payment Type & EFT \\
\hline Payment was successfully received in RAM & \(\$ 960\) \\
\hline RAM confirmation Number & 111317 INTEFSW11495000 \\
\hline Deposit Account & \\
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\hline Document Number & Document Description & File Name & File Size(Bytes)/ Message Digest & \[
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\text { Multi } \\
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\end{array}
\] & Pages (if appl.) \\
\hline & & & 188989 & & \\
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\hline \multicolumn{6}{|l|}{Information:} \\
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New Applications Under 35 U.S.C. 111
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National Stage of an International Application under 35 U.S.C. 371
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.
New International Application Filed with the USPTO as a Receiving Office
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}

In re Application of Astely et al.
Serial No.: 15/350,360
Filed: November 14, 2016

\section*{For: PUCCH Resource Allocation for Carrier} Aggregation in LTE-Advanced

Docket No: 4015-9600 / P30138-US3


Mail Stop Amendment
Commissioner for Patents
10 November 2017
P.O. Box 1450

Alexandria, VA 22313-1450

\section*{AMENDMENT PURSUANT TO 37 CFR § 1.312}

This paper is being filed in response to the Notice of Allowance mailed 24 October 2017 Applicant timely submits this amendment pursuant to 37 CFR § 1.312. Entry of the following amendments to the Specification and consideration of the remarks below is respectfully requested. The Office is hereby authorized to charge any fees required for entry of this paper to Deposit Account 18-1167.

United States Patent and Trademark Office
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P.O. Box 1450

Alexandria, Virginia 22313-1450 www.uspto.goy
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NO. & ISSUE DATE & PATENT NO. & ATTORNEY DOCKET NO. & CONFIRMATION NO. \\
\hline \(15 / 350,360\) & \(01 / 02 / 2018\) & 9860044 & \(4015-9600 /\) P30138-US3 \\
24112 & \(12 / 13 / 2017\) & & \\
COATS \& BENNETT, PLLC & & \\
1400 Crescent Green, Suite 300 & & \\
Cary, NC 27518
\end{tabular}

\section*{ISSUE NOTIFICATION}

The projected patent number and issue date are specified above.

\section*{Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)}
(application filed on or after May 29, 2000)
The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):
David Astely, Bromma, SWEDEN;
Telefonaktiebolaget LM Ericsson (publ), Stockholm, SWEDEN;
Robert Baldemair, Solna, SWEDEN;
Dirk Gerstenberger, Stockholm, SWEDEN;
Daniel Larsson, Stockholm, SWEDEN;
Lars Lindbom, Karlstad, SWEDEN;
Stefan Parkvall, Bromma, SWEDEN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit SelectUSA.gov.

\title{
UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION
}

PATENT NO. : 9,860,044 B2
APPLICATION NO. : 15/350,360
ISSUE DATE : January 2, 2018
INVENTOR(S) : Astely, et al.
It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Face Page, in Field (63), under "Related U.S. Application Data", in Column 1, Line 2, delete "Oct. 14, 2010," and insert - Oct. 4, 2010, - -, therefor.

In Column 4, Line 14, delete "terminals" and insert - terminals. - -, therefor.
In Column 5, Line 32, delete "(ACK/NACK" and insert - (ACK/NACK) - -, therefor.
In Column 6, Line 57, delete "specifications" and insert - specifications. - -, therefor.
In Column 10, Line 13, delete "PDDCH" and insert - - PDCCH - -, therefor.
In Column 15, Line 34, in Claim 27, delete "in when" and insert - when - -, therefor.
In Column 15, Line 38, in Claim 28, delete "The user terminal of claim" and insert - - The method of claim --, therefor.

In Column 15, Line 41, in Claim 28, delete "in when" and insert - - when --, therefor.
MAILING ADDRESS OF SENDER (Please do not use customer number below):
6300 Legacy, MS EVR 1-C-11
Plano, TX 75024
972-583-8656

This collection of information is required by 37 CFR \(1.322,1.323\), and 1.324 . The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14 . This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

\title{
UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION
}

PATENT NO. : 9,860,044 B2
APPLICATION NO. : 15/350,360
ISSUE DATE : January 2, 2018
INVENTOR(S) : Astely, et al.
It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Column 15, Line 49, in Claim 30, delete "The user terminal of claim" and insert - The method of claim --, therefor.

In Column 15, Line 59, in Claim 32, delete "The user terminal of claim" and insert - The method of claim --, therefor.

In Column 15, Line 59, in Claim 32, delete "wherein he" and insert - wherein the - -, therefor.

\section*{MAILING ADDRESS OF SENDER (Please do not use customer number below):}

\footnotetext{
This collection of information is required by 37 CFR \(1.322,1.323\), and 1.324 . The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14 . This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.
}

\section*{Privacy Act Statement}

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) fumishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:
1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations goveming inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN RE APPLICATION OF: U.S. Patent No. 9,860,044
USPTO CONFIRMATION CODE: 1120
APPLICATION NO.: 15/350,360
FILED: November 14, 2016
EXAMINER: Md Talukder
GROUP ART UNIT: 2648
FOR: PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTE-ADVANCED

\section*{37 CFR \(1.322 \& 37\) CFR 1.323 REQUEST FOR CERTIFICATE OF CORRECTION FOR USPTO AND/OR APPLICANT MISTAKE}

\section*{HONORABLE COMMISSIONER OF PATENTS \& TRADEMARKS}

SIR:
The following is a request for a certificate of correction in Serial Number \(15 / 350,360\), now Patent Number 9,860,044.

A certificate of correction under 35 USC 254 is respectfully requested in the above-identified patent.

The errors were the fault of both the applicant and USPTO and, accordingly, please charge \(\$ \mathbf{\$ 1 5 0 . 0 0}\) to our Deposit Account No. 50-1379. In the event that a further fee is required, please charge the amount to the same Deposit Account.

The exact locations where the errors appear in the patent and patent application are as follows:

On the Face Page, in Field (63), under "Related U.S. Application Data", in Column 1, Line 2, delete "Oct. 14, 2010," and insert - - Oct. 4, 2010, - -, therefor. (BIBLIOGRAPHIC DATA SHEET DATED OCTOBER 24, 2017, PAGE 1 OF 1 (PAGE 35 OF FW), UNDER "CONTINUING DATA", LINE 1)

In Column 4, Line 14, delete "terminals" and insert - - terminals. - -, therefor. (ORIGINALLY FILED SPECIFICATION DATED NOVEMBER 14, 2016, PAGE 5, PARAGRAPH [0024], LINE 3)

In Column 5, Line 32, delete "(ACK/NACK" and insert - - (ACK/NACK) - -, therefor.
(ORIGINALLY FILED SPECIFICATION DATED NOVEMBER 14, 2016, PAGE 7, PARAGRAPH [0031], LINE 4)

In Column 6, Line 57, delete "specifications" and insert - - specifications. --, therefor.
(ORIGINALLY FILED SPECIFICATION DATED NOVEMBER 14, 2016, PAGE 9, PARAGRAPH [0038], LINE 10)

In Column 10, Line 13, delete "PDDCH" and insert - - PDCCH - -, therefor. (ORIGINALLY FILED SPECIFICATION DATED NOVEMBER 14, 2016, PAGE 14, LINE 9)

In Column 15, Line 34, in Claim 27, delete "in when" and insert - when - -, therefor.
(AMENDMENTS TO THE CLAIMS DATED NOVEMBER 10, 2017, PAGE 11 OF 15, CLAIM 27, LINE 3)

In Column 15, Line 38, in Claim 28, delete "The user terminal of claim" and insert - The method of claim --, therefor. (AMENDMENTS TO THE CLAIMS DATED NOVEMBER 10, 2017, PAGE 14 OF 15, CLAIM 39, LINE 1)

In Column 15, Line 41, in Claim 28, delete "in when" and insert - when - -, therefor.
(AMENDMENTS TO THE CLAIMS DATED NOVEMBER 10, 2017, PAGE 14 OF 15, CLAIM 39, LINE 3)

In Column 15, Line 49, in Claim 30, delete "The user terminal of claim" and insert - The method of claim --, therefor.
(AMENDMENTS TO THE CLAIMS DATED NOVEMBER 10, 2017, PAGE 14 OF 15, CLAIM 40, LINE 1)

\footnotetext{
In Column 15, Line 59, in Claim 32, delete "The user terminal of claim" and insert - The method of claim --, therefor.
(AMENDMENTS TO THE CLAIMS DATED NOVEMBER 10, 2017, PAGE 14 OF 15, CLAIM 41, LINE 1)

In Column 15, Line 59, in Claim 32, delete "wherein he" and insert - - wherein the --, therefor.
(AMENDMENTS TO THE CLAIMS DATED NOVEMBER 10, 2017, PAGE 14 OF 15, CLAIM 41, LINE 1)
}

The requested corrections are attached on Form PTO 1050.

Respectfully Submitted

2018
DATE
/Ronald J. Ward,Reg\#54870/
Ronald J. Ward
Registration No. 54,870
Attorney of Record
\begin{tabular}{|c|c|c|c|c|}
\hline \multicolumn{5}{|c|}{Electronic Patent Application Fee Transmittal} \\
\hline Application Number: & \multicolumn{4}{|l|}{15350360} \\
\hline Filing Date: & \multicolumn{4}{|l|}{14-Nov-2016} \\
\hline Title of Invention: & \multicolumn{4}{|l|}{PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED} \\
\hline First Named Inventor/Applicant Name: & \multicolumn{4}{|l|}{David Astely} \\
\hline Filer: & \multicolumn{4}{|l|}{Roger Scott Burleigh/Michelle Sanderson} \\
\hline Attorney Docket Number: & \multicolumn{4}{|l|}{4015-9600 / P30138-US3} \\
\hline \multicolumn{5}{|l|}{Filed as Large Entity} \\
\hline \multicolumn{5}{|l|}{Filing Fees for Utility under 35 USC 111(a)} \\
\hline Description & Fee Code & Quantity & Amount & Sub-Total in USD(\$) \\
\hline \multicolumn{5}{|l|}{Basic Filing:} \\
\hline \multicolumn{5}{|l|}{Pages:} \\
\hline \multicolumn{5}{|l|}{Claims:} \\
\hline \multicolumn{5}{|l|}{Miscellaneous-Filing:} \\
\hline \multicolumn{5}{|l|}{Petition:} \\
\hline \multicolumn{5}{|l|}{Patent-Appeals-and-Interference:} \\
\hline \multicolumn{5}{|l|}{Post-Allowance-and-Post-Issuance:} \\
\hline Certificate of correction & 1811 & 1 & 150 & 150 \\
\hline & & & ple EX & \[
\begin{aligned}
& 2022-0064 \\
& 2 \text { Page } 35
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\] \\
\hline
\end{tabular}
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Sub-Total in \\
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\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|r|}{Electronic Acknowledgement Receipt} \\
\hline EFS ID: & 31800326 \\
\hline Application Number: & 15350360 \\
\hline International Application Number: & \\
\hline Confirmation Number: & 1120 \\
\hline Title of Invention: & PUCCH RESOURCE ALLOCATION FOR CARRIER AGGREGATION IN LTEADVANCED \\
\hline First Named Inventor/Applicant Name: & David Astely \\
\hline Customer Number: & 24112 \\
\hline Filer: & Roger Scott Burleigh/Michelle Sanderson \\
\hline Filer Authorized By: & Roger Scott Burleigh \\
\hline Attorney Docket Number: & 4015-9600 / P30138-US3 \\
\hline Receipt Date: & 15-FEB-2018 \\
\hline Filing Date: & 14-NOV-2016 \\
\hline Time Stamp: & 11:56:35 \\
\hline Application Type: & Utility under 35 USC 111(a) \\
\hline
\end{tabular}

\section*{Payment information:}
\begin{tabular}{|l|l|}
\hline Submitted with Payment & yes \\
\hline Payment Type & DA \\
\hline Payment was successfully received in RAM & \(\$ 150\) \\
\hline RAM confirmation Number & 021518 INTEFSW00013748501379 \\
\hline Deposit Account & \\
\hline Authorized User & \\
\hline
\end{tabular}

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

\section*{File Listing:}
\begin{tabular}{|c|c|c|c|c|c|}
\hline Document Number & Document Description & File Name & File Size(Bytes)/ Message Digest & Multi Part /.zip & Pages (if appl.) \\
\hline & & & 112831 & & \\
\hline 1 & Request for Certificate of Correction & \[
\begin{gathered}
\text { US3_2018-02-15_CoC_PTO-105 } \\
\text { 0.pdf }
\end{gathered}
\] &  & no & 3 \\
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\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multirow[b]{2}{*}{2} & \multirow[b]{2}{*}{Transmittal Letter} & \multirow[b]{2}{*}{\[
\begin{gathered}
\text { P30138- } \\
\text { US3_2018-02-15_CoC_Request } \\
\text { _Letter.pdf }
\end{gathered}
\]} & 145918 & \multirow[b]{2}{*}{no} & \multirow[b]{2}{*}{4} \\
\hline & & &  & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multirow[b]{2}{*}{3} & \multirow[b]{2}{*}{Fee Worksheet (SB06)} & \multirow[b]{2}{*}{fee-info.pdf} & 30362 & \multirow[b]{2}{*}{no} & \multirow[b]{2}{*}{2} \\
\hline & & & \begin{tabular}{l}
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ab73
\end{tabular} & & \\
\hline \multicolumn{6}{|l|}{Warnings:} \\
\hline \multicolumn{6}{|l|}{Information:} \\
\hline \multicolumn{3}{|r|}{Total Files Size (in bytes):} & \multicolumn{3}{|c|}{289111} \\
\hline
\end{tabular}

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

\section*{New Applications Under 35 U.S.C. 111}

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.
National Stage of an International Application under 35 U.S.C. 371
If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. New International Application Filed with the USPTO as a Receiving Office
If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

\title{
UNITED STATES PATENT AND TRADEMARK OFFICE \\ CERTIFICATE OF CORRECTION
}

PATENT NO.
: 9,860,044 B2
APPLICATION NO.
DATED
: 15/350360
: January 2, 2018
INVENTOR(S)
: Astely et al.

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

On the Title Page

In Item (63), under "Related U.S. Application Data", in Column 1, Line 2, delete "Oct. 14, 2010," and insert -- Oct. 4, 2010, --, therefor.

In the Specification

In Column 4, Line 14, delete "terminals" and insert -- terminals. --, therefor.

In Column 5, Line 32, delete "(ACKNACK" and insert -- (ACKNACK) --, therefor.

In Column 6, Line 57, delete "specifications" and insert -- specifications. --, therefor.

In Column 10, Line 13, delete "PDDCH" and insert -- PDCCH --, therefor.

In the Claims

In Column 15, Line 34, in Claim 27, delete "in when" and insert -- when --, therefor.

In Column 15, Line 38, in Claim 28, delete "The user terminal of claim" and insert -- The method of claim --, therefor.

In Column 15, Line 41, in Claim 28, delete "in when" and insert -- when --, therefor.

In Column 15, Line 49, in Claim 30, delete "The user terminal of claim" and insert -- The method of claim --, therefor.

\section*{U.S. Pat. No. 9,860,044 B2}

In Column 15, Line 59, in Claim 32, delete "The user terminal of claim" and insert -- The method of claim --, therefor.

In Column 15, Line 59, in Claim 32, delete "wherein he" and insert -- wherein the --, therefor.```


[^0]:    ${ }^{1}$ See Kind Codes of USPTO Patent Documents at www. USPTO. GOV or MPEP 901.04. ${ }^{2}$ Enter office that issued the docurnent, by the two-letter code (WIPO Standard ST.3). ${ }^{3}$ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ${ }^{4}$ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ${ }^{5}$ Applicant is to place a check mark here it English language translation is attached.

[^1]:    Miscellaneous-Filing:

[^2]:    ${ }^{1}$ See Kind Codes of USPTO Patent Documents at www.USPTO. GOV or MPEP 901.04. ${ }^{2}$ Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ${ }^{3}$ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ${ }^{4}$ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ${ }^{5}$ Applicant is to place a check mark here i English language translation is attached.

