#### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

 PATENT NO.
 : 9,509,440 B2

 APPLICATION NO.
 : 14/390904

 DATED
 : November 29, 2016

 INVENTOR(S)
 : Hammarwall et al.

Page 1 of 1

It is certified that error appears in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In the Specification

In Column 12, Line 25, delete "MO" and insert -- N≥0 --, therefor.

In the Claims

In Column 16, Line 38, in Claim 5, delete "nod e" and insert -- node --, therefor.

In Column 16, Line 40, in Claim 5, delete "configuration" and insert -- configuration, --, therefor.

In Column 16, Line 56, in Claim 8, delete "method," and insert -- method --, therefor.

In Column 20, Line 12, in Claim 25, delete "fallback" and insert -- fallback, --, therefor.

Signed and Sealed this Twelfth Day of September, 2017

Joseph Matal

Joseph Matal Performing the Functions and Duties of the Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office

PTO/SB/44 (09-07) Approved for use through 08/31/2013. OMB 0651-0033 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. (Also Form PTO-1050)

#### UNITED STATES PATENT AND TRADEMARK OFFICE CERTIFICATE OF CORRECTION

Page 1 of 1

PATENT NO. : 9,509,440 B2

APPLICATION NO. : 14/390,904

ISSUE DATE : November 29, 2016

INVENTOR(S) : Hammarwall, et al.

It is certified that an error appears or errors appear in the above-identified patent and that said Letters Patent is hereby corrected as shown below:

In Column 12, Line 25, delete "MO" and insert - - N≥0 - -, therefor.

In Column 16, Line 38, in Claim 5, delete "nod e" and insert - - node - -, therefor.

In Column 16, Line 40, in Claim 5, delete "configuration" and insert - - configuration, - -, therefor.

In Column 16, Line 56, in Claim 8, delete "method," and insert - - method - -, therefor.

In Column 20, Line 12, in Claim 25, delete "fallback" and insert - - fallback, - -, therefor.

MAILING ADDRESS OF SENDER (Please do not use customer number below):

6300 Legacy, MS EVR 1-C-11 Plano, TX 75024 972-583-8656

This collection of information is required by 37 CFR 1.322, 1.323, and 1.324. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1.0 hour to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Attention Certificate of Corrections Branch, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

#### IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF: U.S. Patent No. 9,509,440

#### **USPTO CONFIRMATION CODE: 7239**

#### APPLICATION NO.: 14/390,904

PCT FILED: June 26, 2014

U.S. FILED: October 06, 2014

EXAMINER: Diane Lo

GROUP ART UNIT: 2466

#### FOR: METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

#### <u>37 CFR 1.322 & 37 CFR 1.323 REQUEST FOR CERTIFICATE OF CORRECTION</u> FOR USPTO AND/OR APPLICANT MISTAKE

#### HONORABLE COMMISSIONER OF PATENTS & TRADEMARKS

SIR:

The following is a request for a certificate of correction in Serial Number 14/390,904, now Patent Number 9,509,440.

A certificate of correction under 35 USC 254 is respectfully requested in the above-identified patent.

All errors were the fault of the USPTO, no fee required. In the event that a further fee is required, please charge the amount to our Deposit Account No. 50-1379.

The exact locations where the errors appear in the patent and patent application are as follows:

In Column 12, Line 25, delete "MO" and insert -  $N \ge 0$  - , therefor. (ORIGINALLY FILED SPECIFICATION DATED OCTOBER 6, 2014, PAGE 19 (PAGE 283 OF FW), LINE 12)

In Column 16, Line 38, in Claim 5, delete "nod e" and insert - - node - -, therefor. (AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 3 OF 15, CLAIM 31, LINE 1)

In Column 16, Line 40, in Claim 5, delete "configuration" and insert - - configuration, - -, therefor. (AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 3 OF 15, CLAIM 31, LINE 3)

In Column 16, Line 56, in Claim 8, delete "method," and insert - - method - -, therefor. (AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 4 OF 15, CLAIM 36, LINE 1)

In Column 20, Line 12, in Claim 25, delete "fallback" and insert - - fallback, - -, therefor. (AMENDMENTS TO THE CLAIMS DATED JULY 1, 2016, PAGE 11 OF 15, CLAIM 51, LINE 27) The requested corrections are attached on Form PTO 1050.

Respectfully Submitted

, 2017

DATE

/Ronald J. Ward, Reg#54870/

Ronald J. Ward Registration No. 54,870 Attorney of Record

Electronic Acknowledgement Receipt			
EFS ID:	28583668		
Application Number:	14390904		
International Application Number:			
Confirmation Number:	7239		
Title of Invention:	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment		
First Named Inventor/Applicant Name:	David Hammarwall		
Customer Number:	24112		
Filer:	Steven Ware Smith/Michelle Sanderson		
Filer Authorized By:	Steven Ware Smith		
Attorney Docket Number:	4015-8999 / P41223-US2		
Receipt Date:	09-MAR-2017		
Filing Date:	06-OCT-2014		
Time Stamp:	14:57:47		
Application Type:	U.S. National Stage under 35 USC 371		

# Payment information:

Submitted wi	th Payment		no			
File Listin	g:					
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			P41223-	122960		
1	1 Request for Certificate of Correction US2_2017-03-0 0,p		2_2017-03-09_CoC_PTO-105 0.pdf	0e4aa4edc7cccc41e078af791cff31e0799d8e 1a1	no	2
Warnings:				IPR	2022-0	0457

Apple EX1002 Page 7

Information					
2	Transmittal Letter	P41223- US2_2017-03-09_CoC_Request _Letter.pdf	111786 9349ebcab2a21a7832a31fe165779be91f53 1fce	no	3
Warnings:	ł				
Information					
		Total Files Size (in bytes)	2	34746	
This Acknow characterize Post Card, as <u>New Applica</u> If a new app 1.53(b)-(d) a Acknowledg <u>National Sta</u> If a timely su U.S.C. 371 an national stag <u>New Interna</u> If a new inte an internatic and of the Ir national sec the applicat	Aledgement Receipt evidences receip d by the applicant, and including pays a described in MPEP 503. Ations Under 35 U.S.C. 111 lication is being filed and the applica and MPEP 506), a Filing Receipt (37 CF mement Receipt will establish the filin ge of an International Application un abmission to enter the national stage and other applicable requirements a F ge submission under 35 U.S.C. 371 with tional Application Filed with the USF renational application is being filed an onal filing date (see PCT Article 11 an aternational Filing Date (Form PCT/Re urity, and the date shown on this Ack ion.	at on the noted date by the US ge counts, where applicable. The includes the necessary of TR 1.54) will be issued in due ag date of the application. <u>Inder 35 U.S.C. 371</u> of an international applicati orm PCT/DO/EO/903 indicati ill be issued in addition to the <u>PTO as a Receiving Office</u> and the international application of MPEP 1810), a Notification O/105) will be issued in due consult	SPTO of the indicated It serves as evidence components for a filir course and the date s on is compliant with ng acceptance of the e Filing Receipt, in du ion includes the necce of the International ourse, subject to pres establish the internat	l document: of receipt s ag date (see shown on th the condition application e course. ssary comp Application scriptions co tional filing	s, imilar to a 37 CFR is ons of 35 as a onents for Number oncerning date of



#### UNITED STATES PATENT AND TRADEMARK OFFICE

			United States Patent Address: COMMISSION P.O. Box 1450 Alexandria, Virgin www.uispto.gov	and Trademark Office JER FOR PATENTS ria 22313-1450
APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO,	CONFIRMATION NO.
14/390,904	11/29/2016	9509440	4015-8999 / P41223-US2	7239
24)12 750	11/09/2016			

COATS & BENNETT, PLLC 1400 Crescent Green, Suite 300 Cary, NC 27518

#### **ISSUE NOTIFICATION**

The projected patent number and issue date are specified above.

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 56 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

David Hammarwall, Vallentuna, SWEDEN; TELEFONAKTIEBOLAGET L M ERICSSON (PUBL), Stockholm, SWEDEN; Meng Wang, SUNDBYBERG, SWEDEN;

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IR103 (Rev. 10/09)

IPR2022-00457 Apple EX1002 Page 9

UNITED STATES DEDARTMENT OF COMMEDCE

#### PART B - FEE(S) TRANSMITTAL

#### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450

#### (571)-273-2885 or Fax

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24112 2590 07/3 1/2016 COATS & BENNETT, PLLC 1400 Crescent Green, Suite 300 Cary, NC 27518

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Certificate of Multing or Transmission 1 hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mat in an arrelope addressed to the Mail Stop (SSUE) PEE address above, or being facetonic transmitted to the CSFTO (STI) 275-2885, on the date indicated below.

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	(three)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTIOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/390,904	10/06/2014	David Hammarwall	4015-89997P41223-US2	7239

TITLE OF INVENTION: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

APPLN. TYPE	ENTITY STATUS	ISSUE PEE DUE	PUBLICATION HEE DUE	PREV, PAID ISSUE FEE	TOTAL FERS) DUE	DATEDITE
nosprovisional	UNDISCOUNTIED	\$960	\$0	\$0	\$96(1	10/27/2016
ESA	MINER	ARTUNIT	TLASS SUBCLASS	]		
LO, DI	ANS LES	2466	370-329000			
<ol> <li>Change of correspon CFR 1.363).</li> <li>Change of corres Address form PTO/S "Pec Address" in PTO/SB/47, Rev 03 Nomber is required</li> </ol>	dence address or indication spondence address (or Char SB/122) attached, ndication (or "Fee Address" -02, or more recent) attache d,	of "Pee Address" (37 age of Correspondence Indication form d. Use of a Castonicr	<ol> <li>For printing on the p (1) The names of up to or agents OR, alternativ (2) The name of a surg registered attorney or a 2 registered patcar atto listed, no name will be</li> </ol>	atent front page, list > 3 registered patent attorn vely, by firm (having as a membragent) and the names of up meys or agents. If no nam- printed.	eya 1 <u>Coats &amp; E</u> era 2 <u></u> p to e is 3 <u>.</u>	Bennett, P.J. L.C.
3. ASSIGNEE NAME PLEASE NOTE: U recordation as set lo	AND RESIDENCE DATA aless an assignee is identi- th in 37 CFR 3.11. Comp	TO HE PRINTED ON fied below, no assignee etion of this form is NC	THE PATENT (print or type data will appear on the p T a substitute for filing an	wi atem. If an assigned is id assignment.	entified below, the docu	ment has been filed for
(A) NAME OF ASS	IGNEE		(B) RESIDENCE: (CITY	and STATE OR COUNT	RY)	
Telefonak	tiebolaget LM Eric	sson (publ)	Stockholm, Sv	weden		
Please check the approp	state assignee category or	ategories (will not be p	rimed on the patent) : D	Individual 📓 Corporati	on or other private group	entity 📮 Government
4a. The following feets	) are submitted: No small eatity discount p # of Copies	4 rmitted)	<ul> <li>b. Payment of Fee(s): (Please and the sectors of the</li></ul>	ise first reapply any prev d. Form PTO-2038 is attac authorized to charge the n sit Account Number18	innsly paid issue fee sho hed - yuical fee(s), any deficit - 3, 1,67 (enclose an ea	wn above) ency, or credits any stra copy of this form-

Page 2 of 3

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PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

Typed or printed same Eli M. Sheets

S Change in Entity Status (from status indicated above)

Applicant certifying micro entity status, See 37 CFR 1.29 Applicant asserting small entity status. See 37 CFR 1.27

Applicant changing to regular undiscounted fee status.

Authorized Signature

OMB 0651-0033

IPR2022-00457 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Apple EX1002 Page 10

NOTE: Absent a valid contilication of Micro Finity Status (see forms 2/10/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment

NOTE: If the application was previously under micro entity status, checking this hox wiff be taken to be a notification of loss of entitlement to micro entity status.

NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.

Date October 20, 2016

Registration No. 68,958

Electronic Patent Application Fee Transmittal						
Application Number:	143	14390904				
Filing Date:	06-	06-Oct-2014				
Title of Invention:	Me Rae	thod and Radio Noo dio Communication	de for Enabling with a User Equ	Use of High Order Jipment	Modulation in a	
First Named Inventor/Applicant Name:	David Hammarwall					
Filer:	Eli Sheets/Leslie Ruckdeschel					
Attorney Docket Number:	40	15-8999 / P41223-U	S2			
Filed as Large Entity						
Filing Fees for U.S. National Stage under 35 USC 371						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
UTILITY APPL ISSUE FEE	1501	1	960	960			
PUBL. FEE- EARLY, VOLUNTARY, OR NORMAL	1504	1	0	0			
Extension-of-Time:							
Miscellaneous:	Miscellaneous:						
	Tot	al in USD	(\$)	960			

Electronic Acknowledgement Receipt			
EFS ID:	27272913		
Application Number:	14390904		
International Application Number:			
Confirmation Number:	7239		
Title of Invention:	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment		
First Named Inventor/Applicant Name:	David Hammarwall		
Customer Number:	24112		
Filer:	Eli Sheets/Leslie Ruckdeschel		
Filer Authorized By:	Eli Sheets		
Attorney Docket Number:	4015-8999 / P41223-US2		
Receipt Date:	20-OCT-2016		
Filing Date:	06-OCT-2014		
Time Stamp:	13:43:55		
Application Type:	U.S. National Stage under 35 USC 371		

# Payment information:

Submitted with Payment	yes
Payment Type	EFT
Payment was successfully received in RAM	\$960
RAM confirmation Number	102016INTEFSW13444600
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

IPR2022-00457

File Listin	g:						
Document Number	<b>Document Description</b>	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)		
1	lssue Fee Payment (PTO-85B)	lssue_Fee_Transmittal.pdf	534801 ba7035d58b880c57517ed92590e60bc7f02 42846	no	1		
Warnings:		ł					
Information:							
			32825				
2	Fee Worksheet (SB06)	fee-info.pdf	7ab0f7bfb6489e164e01270091f292a10dfd df63	no	2		
Warnings:			•				
Information:							
		Total Files Size (in bytes)	56	57626			
This Acknow characterized Post Card, as <u>New Applica</u> If a new appl 1.53(b)-(d) an Acknowledg	This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503. <u>New Applications Under 35 U.S.C. 111</u> If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.						
National Stage of an International Application under 35 U.S.C. 371 If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course. <u>New International Application Filed with the USPTO as a Receiving Office</u> If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.							

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov

#### NOTICE OF ALLOWANCE AND FEE(S) DUE

24112 7590 07/27/2016 COATS & BENNETT, PLLC 1400 Crescent Green, Suite 300 Cary, NC 27518

EX/	AMINER
LO, D	DIANE LEE
ART UNIT	PAPER NUMBER
3.62	

DATE MAILED: 07/27/2016

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/390,904	10/06/2014	David Hammarwall	4015-8999 / P41223-US2	7239

TITLE OF INVENTION: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

APPLN, TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	10/27/2016

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT, <u>PROSECUTION ON THE MERITS IS CLOSED</u>. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

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I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

PTOL-85 (Rev. 02/11)

Page 1 of 3

#### PART B - FEE(S) TRANSMITTAL

# Complete and send this form, together with applicable fee(s), to: <u>Mail</u> Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 or Fax (571)-273-2885

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fees will be mailed to the current of the second s maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

24112 7590 07/27/2016 COATS & BENNETT, PLLC 1400 Crescent Green, Suite 300 Cary, NC 27518

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

**Certificate of Mailing or Transmission** I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/390,904	10/06/2014	David Hammarwall	4015-8999 / P41223-US2	7239

TITLE OF INVENTION: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$O	\$960	10/27/2016

EXAMINER	ART UNIT	CLASS-SUBCLASS		
LO, DIANE LEE	2466	370-329000		
<ol> <li>Change of correspondence address or indication CFR 1.363).</li> <li>Change of correspondence address (or Chan Address form PTO/SB/122) attached.</li> <li>"Fee Address" indication (or "Fee Address" PTO/SB/47; Rev 03-02 or more recent) attached Number is required.</li> </ol>	of "Fee Address" (37 ge of Correspondence Indication form I. Use of a Customer	<ol> <li>For printing on the p         <ol> <li>The names of up to             or agents OR, alternativ             </li> <li>The name of a singly             registered attorney or             2 registered patent atto             listed, no name will be</li> </ol> </li> </ol>	atent front page, list o 3 registered patent attorneys vely, le firm (having as a member a igent) and the names of up to rneys or agents. If no name is printed.	1 2 3
<ol> <li>ASSIGNEE NAME AND RESIDENCE DATA PLEASE NOTE: Unless an assignee is identif recordation as set forth in 37 CFR 3.11. Compl (A) NAME OF ASSIGNEE</li> </ol>	TO BE PRINTED ON ' ied below, no assignee etion of this form is NO	THE PATENT (print or typ data will appear on the pa T a substitute for filing an (B) RESIDENCE: (CITY	ve) atent. If an assignee is identifi assignment. and STATE OR COUNTRY)	ed below, the document has been filed for
Please check the appropriate assignee category or o	ategories (will not be p	rinted on the patent) :	Individual 🖵 Corporation or	other private group entity Government
<ul> <li>4a. The following fee(s) are submitted:</li> <li>Issue Fee</li> <li>Publication Fee (No small entity discount per Advance Order - # of Copies</li></ul>	41 rmitted)	<ul> <li>b. Payment of Fee(s): (Plea</li> <li>A check is enclosed.</li> <li>Payment by credit car</li> <li>The director is hereby overpayment, to Depo</li> </ul>	se <b>first reapply any previousl</b> d. Form PTO-2038 is attached. authorized to charge the require sit Account Number	y paid issue fee shown above) ed fee(s), any deficiency, or credits any (enclose an extra copy of this form).
<ul> <li>5. Change in Entity Status (from status indicated Applicant certifying micro entity status. See Applicant asserting small entity status. See Applicant changing to regular undiscounted</li> </ul>	above) 37 CFR 1.29 77 CFR 1.27 fee status.	<u>NOTE:</u> Absent a valid ce fee payment in the micro <u>NOTE:</u> If the application to be a notification of loss <u>NOTE:</u> Checking this box entity status, as applicable	rtification of Micro Entity Statu entity amount will not be accep was previously under micro ent s of entitlement to micro entity x will be taken to be a notification e.	is (see forms PTO/SB/15A and 15B), issue ted at the risk of application abandonment. tity status, checking this box will be taken status. on of loss of entitlement to small or micro
NOTE: This form must be signed in accordance with	th 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for signa	ature requirements and certification	tions.
Authorized Signature			Date	
Typed or printed name			Registration No.	
PTOL-85 Part B (10-13) Approved for use through	10/31/2013.	Page 2 of 3 OMB 0651-0033 U	J.S. Patent and TrApp Maid	IPR2022-00457

APPLICATION NO. FILING DATE FIRST NAME	D INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO.
14/390,904 10/06/2014 David H	ammarwall 4015-8999 / P41223-US2 7239
24112 7500 07/07/2018	EXAMINER
COATS & BENNETT, PLLC	LO, DIANE LEE
Carv, NC 27518	ART UNIT PAPER NUMBER

#### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b) (Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

#### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

#### **Privacy Act Statement**

**The Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of the R2022-00457

Apple EX1002 Page 18

	Application No.	Applicant(s)					
	14/390,904		ALL ET AL.				
NOTICE OF Allowability	DIANE LO	2466	Status				
			Yes				
The MAILING DATE of this communication apper All claims being allowable, PROSECUTION ON THE MERITS IS herewith (or previously mailed), a Notice of Allowance (PTOL-85) NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RI of the Office or upon petition by the applicant. See 37 CFR 1.313	The MAILING DATE of this communication appears on the cover sheet with the correspondence address All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.						
1. X This communication is responsive to <i>amendment received</i> (	<u>07/01/2016</u> .						
A declaration(s)/affidavit(s) under <b>37 CFR 1.130(b)</b> was	/were filed on <u> </u>						
2. An election was made by the applicant in response to a restriction requirement set forth during the interview on; the restriction requirement and election have been incorporated into this action.							
3. The allowed claim(s) is/are <u>27-33,36-44 and 47-58</u> . As a result of the allowed claim(s), you may be eligible to benefit from the <b>Patent</b> <b>Prosecution Highway</b> program at a participating intellectual property office for the corresponding application. For more information, please see http://www.uspto.gov/patents/init_events/pph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.							
4. 🔲 Acknowledgment is made of a claim for foreign priority under	er 35 U.S.C. § 119(a)-(d) or (f).						
Certified copies:							
a) 🔲 All b) 🗌 Some *c) 🔲 None of the:							
1. 🔲 Certified copies of the priority documents have	e been received.						
2. 🔲 Certified copies of the priority documents have	e been received in Application No.						
3. 🔲 Copies of the certified copies of the priority documents have been received in this national stage application from the							
International Bureau (PCT Rule 17.2(a)).							
* Certified copies not received:							
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.	of this communication to file a reply o IENT of this application.	complying with	the requirements				
5. CORRECTED DRAWINGS ( as "replacement sheets") must	t be submitted.						
including changes required by the attached Examiner's Paper No./Mail Date	s Amendment / Comment or in the O	ffice action of					
ldentifying indicia such as the application number (see 37 CFR 1 each sheet. Replacement sheet(s) should be labeled as such in ti	.84(c)) should be written on the drawin he header according to 37 CFR 1.121(d	gs in the front ( I).	(not the back) of				
6. DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT FC	BIOLOGICAL MATERIAL must be sub DR THE DEPOSIT OF BIOLOGICAL	omitted. Note t MATERIAL.	he				
Attachment(s)	E 🗖 Eveninaria Amonda	nont/Commont					
Indice of References Cited (FTO-692)	6. M Examiner's Stateme	nent/Comment	for Allowanaa				
Paper No./Mail Date		IL OF DEASONS	for Allowarice				
of Biological Material	7. 🛄 Otner						
4. ☐ Interview Summary (PTO-413), Paper No./Mail Date							
/DIANE LO/							
Primary Examiner, Art Unit 2466							
U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20160715	Notice of Allowability	Part of	Paper No./Mail Date				

Application/Control Number: 14/390,904 Art Unit: 2466

#### **REASONS FOR ALLOWANCE**

The following is an examiner's statement of reasons for allowance:

The closest prior arts on record fail to teach "instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the second CQI table as the fallback, and an entry for the second CQI table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback" in conjunction with all disclosed steps of functions or all disclosed structures performing corresponding functions in claims 27, 38, 49, 50, 51, and 52.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance".

#### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIANE LO whose telephone number is (571)270-1952. The examiner can normally be reached on Monday to Friday, 8:00 am-4:30 pm EST.

#### Application/Control Number: 14/390,904 Art Unit: 2466

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Faruk Hamza can be reached on (571)272-7969. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DIANE LO/ Primary Examiner, Art Unit 2466

#### EAST Search History

#### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	233	(fall-back or fallback) with (MCS or modulation)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 13:00
12	6	(fall-back or fallback) with (MCS or modulation) NEAR3 second	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 13:08
L10	64	H04L1\$.cpc. AND (CQI SAME MCS with second with table)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 13:55
L11	92	H04L1\$.cpc. AND (fall-back or fallback) with (MCS or modulation)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 13:55
L12	28	H04W72\$.cpc. AND (CQI SAME MCS with second with table)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 13:57
L13	28	H04W72\$.cpc. AND (CQI with MCS with second with table)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 13:57
L14	2	H04W72\$.cpc. AND (CQI with MCS with second with table AND fallback)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 13:59
L15	1	David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 14:00
L16	1	L15	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/25 14:00
S1	2	"14390904"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 12:01

EASTSearchHistory.14390904\_AccessibleVersion.htm[7/25/2016 2:00:30 PM]

<sup>"</sup> IPR2022-00457 Apple EX1002 Page 22

S2	1	David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:28
S3	1	Meng NEAR3 Wang AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:29
<b>S</b> 4	0	Ericsson.as. AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:29
S5	6	(CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:30
S6	64	(CQI with MCS with second with table)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:42
S7	3	(CQI with MCS with second with table) AND (maintain\$3 with fallback)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:42
S8	3	(CQI with MCS with second with table) AND (fallback)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 22:54
S9	6	(US-20150381310-\$ or US- 20150358111-\$ or US- 20150016553-\$).did. or (US- 9198070-\$ or US-8654745-\$ or US- 8488709-\$).did.	US-PGPUB; USPAT	ADJ	ON	2016/02/29 00:58
S10	52	H04L1\$.cpc. AND (CQI with MCS with second with table)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/29 11:53
S11	5	H04L1\$.cpc. AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/29 11:54
S12	18	(CQI with MCS with second with table AND TBS)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/29 12:01
S13	59	table SAME modulation SAME fallback	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 11:08

## IPR2022-00457 Apple EX1002 Page 23

S14	28	table with fallback SAME (MCS or modulation)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 11:21
S15	9	table SAME fallback SAME (MCS)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 11:31
S16	8	fallback SAME (MCS) with table	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 13:39
S17	28	(fall-back or fallback) with (MCS or modulation order)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 13:41
S18	5	(fall-back or fallback) with (MCS or modulation order) AND (CQI with (fall-back or fallback))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:30
S19	28	(fall-back or fallback) with (MCS or modulation order)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:31
S20	1	((fall-back or fallback) with (MCS or modulation order)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:32
S21	28	((fall-back or fallback) with (MCS or modulation order))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:33
S22	1	David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:47
S23	1	S22	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:47
S24	706	s3]	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:49
S25	1	Meng NEAR3 Wang AND (OOI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:49

S26	1	\$25	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:49
S27	8	(CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:50
S28	8	S27	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:50
S29	1	(CQI with MCS with second with table AND (fall-back or fallback)).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 14:51
S32	5	"20040003069"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/07/21 18:57

7/25/2016 2:00:27 PM

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Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination HAMMARWALL ET AL.
	Examiner DIANE LO	Art Unit 2466

CPC- SEARCHED		
Symbol	Date	Examiner

CPC C	CPC COMBINATION SETS - SEARCHED				
Symb	ol	Date	Examiner		

	ARCHED		
Class	Subclass	Date	Examiner

SEARCH NOTES					
Search Notes	Date	Examiner			
EAST search (US-PGPUB, USPAT, FPRS, EPO, JPO, DERWENT) - see search history printout	2/29/2016	DLL			
Inventor and Assignee search in EAST	2/29/2016	DLL			
H04L 1/all (text search only - see search history printout)	2/29/2016	DLL			
update EAST search	7/25/2016	DLL			
NPL search	7/25/2016	DLL			

	INTERFERENCE SEARC	:H	
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
	see interference search history printout	7/25/2016	DLL

U.S. Patent and Trademark Office

Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination HAMMARWALL ET AL.
	Examiner	Art Unit
	DIANE LO	2466

Symbol				Туре	Version
H04L	т		0005	F	2013-01-01
H04L	Т	1	0003	10	2013-01-01
H04L	1	1	0009	0	2013-01-01
H04L	1		0016	0	2013-01-01
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/DIANE LO/ Primary Examiner.Art Unit 2466	07/25/2016	O.G. Print Claim(s)	O.G. Print Figure	
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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination HAMMARWALL ET AL.
	Examiner DIANE LO	Art Unit 2466

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Issue Classification	Application/Control No.	Applicant(s)/Patent Under Reexamination HAMMARWALL ET AL.
	Examiner	Art Unit
	DIANE LO	2466

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/DIANE LO/ Primary Examiner.Art Unit 2466	07/25/2016	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	27	2

#### **EAST Search History**

#### EAST Search History (Interference)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L3	1	((fall-back or fallback) with (MCS or modulation) NEAR3 second).clm.	US- PGPUB; USPAT	ADJ	ON	2016/07/25 13:15
L4	8	((fall-back or fallback) with (MCS or modulation) with (table or second or first)).clm.	US- PGPUB; USPAT	ADJ	ON	2016/07/25 13:16
L5	1	((fall-back or fallback) with (MCS or modulation) AND CQI).clm.	US- PGPUB; USPAT	ADJ	ON	2016/07/25 13:34
L6	3	((fall-back or fallback) AND (MCS or modulation) AND CQI).clm.	US- PGPUB; USPAT	ADJ	ON	2016/07/25 13:39
S30	1	((fall-back or fallback) with (MCS or modulation order)).clm.	US- PGPUB; USPAT	ADJ	ON	2016/07/21 15:18
S31	8	((fall-back or fallback) with (CQI or MCS or modulation order)).clm.	US- PGPUB; USPAT	ADJ	ON	2016/07/21 15:19
S33	1	"20040003069"	US- PGPUB; USPAT	ADJ	ON	2016/07/21 18:57

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Part of Paper No. : 20160715

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Hammarwall et al.

Serial No.: 14/390,904

Filed: October 6, 2014

For: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

Docket No: 4015-8999

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: Diane Lee Lo

Group Art Unit: 2466

Confirmation No.: 7239

#### AMENDMENT AND RESPONSE TO OFFICE ACTION

)

This paper is being filed in response to the Office Action mailed March 1, 2016 having a reply due date of July 1, 2016 by virtue of the one-month extension of time requested and paid concurrent with the present filing. Reconsideration is respectfully requested in light of the amendments and/or remarks below. The Office is hereby authorized to charge any fees required for entry of this paper to Deposit Account 18-1167.

#### **CLAIM AMENDMENTS**

#### 1-26. (Canceled)

- 27. (Currently Amended) A method performed by a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:
  - detecting that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE; and
  - instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table; table.
  - wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, order,
  - wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied; and
  - wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and

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wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

- 28. (Previously Presented) The method of claim 27, wherein the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.
- 29. (Previously Presented) The method of claim 28, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
- 30. (Previously Presented) The method of claim 28, wherein the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
- 31. (Previously Presented) The method of claim 30, wherein the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
- 32. (Previously Presented) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the

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higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

- 33. (Previously Presented) The method of claim 32, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
- 34. (Canceled).
- 35. (Canceled).
- 36. (Previously Presented) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
- 37. (Previously Presented) The method of claim 27, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.
- 38. (Currently Amended) A radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at 4 of 15

least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node comprising:

one or more processing circuits configured to function as:

a logic circuit configured to detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;

an instructing circuit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table; wherein the at least one of the second MCS table and the second CQI table support

the higher modulation order; order.

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a failback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,

wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and

wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

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- 39. (Previously Presented) The radio node of claim 38, wherein the radio node is configured to instruct the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.
- 40. (Previously Presented) The radio node of claim 39, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
- 41. (Previously Presented) The radio node of claim 39, wherein the radio node is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
- 42. (Previously Presented) The radio node of claim 41, wherein the radio node is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
- 43. (Previously Presented) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first MCS table and the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

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- 44. (Previously Presented) The radio node of claim 43, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
- 45. (Canceled)
- 46. (Canceled)
- 47. (Previously Presented) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
- 48. (Previously Presented) The radio node of claim 38, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.
- 49. (Currently Amended) A method performed by a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- receiving an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration;
- applying the second table configuration in the radio communication with the radio node,
- wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a failback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,
- wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and
- wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.
- 50. (Currently Amended) A User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme

(MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

one or more processing circuits configured to function as:

- a communication circuit configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration; <u>and</u>
- a logic circuit configured to apply the second table configuration in the radio communication with the radio node,
- wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.
- wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and
- wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the

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lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

51. (Currently Amended) A computer program product stored in a non-transitory computer readable medium for controlling a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the radio node, causes the radio node to:

detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE; and

instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table; table,

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order; order,

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied,

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wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and

wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

- 52. (Currently Amended) A computer program product stored in a non-transitory computer readable medium for controlling a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the UE, causes the UE to:
  - receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration; and

apply the second table configuration in the radio communication with the radio node,

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- wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration, and
- wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

53. (New) The method of claim 1, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

54. (New) The radio node of claim 38, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

55. (New) The method of claim 49, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

56. (New) The UE of claim 50, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

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57. (New) The computer program product of claim 51, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

58. (New) The computer program product of claim 52, wherein selecting the fallback for a transmission indicates use of the first table configuration for a next transmission.

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### REMARKS

### **Claims Amendments**

Independent claims 27, 38, and 49-52 have been amended to further define that an entry for the lowest modulation order in the first modulation and coding scheme (MCS) table is maintained in the second MCS table as the fallback, and an entry for the lowest coding rate of the lowest modulation order in the first channel quality index (CQI) table is maintained in the second CQI table as the fallback. New claims 53-58 are added. Support for the amendments and the new claims can be found at least in paragraphs [0042] and [0096] of the application publication and in original claims 34, 35, 45, and 46, which have been canceled. No new matter is added.

The claimed invention relates to techniques for supporting higher-order modulation (*e.g.*, higher than 64QAM) by selecting, at a UE or a base station, a first table configuration having lower-order MCS and CQI tables or a second table configuration having higher-order MCS and CQI tables for communication between the UE and base station. For instance, if the UE or base station determines that a higher-order modulation scheme than those in the first table configuration may potentially be utilized, the second table configuration can be selected. Furthermore, the MCS and CQI tables of the second table configuration may contain an entry corresponding to the lowest modulation order supported by the first table configuration. This entry serves as a fallback position that may save the radio link in the event of rapidly degrading channel conditions that are unable to support communication using the higher-order modulation techniques.

### Rejection Under 35 U.S.C. § 102(a)(2)

The Non-Final Office action rejects each of the previously pending claims as anticipated by Marinier et al. (US 2015/0358111). The Applicant respectfully submits that Marinier fails to disclose each and every aspect of the presently pending claims, as amended.

Marinier discloses methods for implementing higher order modulation techniques in wireless communication by providing multiple modulation and coding scheme (MCS) tables and channel quality index (CQI) tables, each of which may be utilized under different conditions (see Marinier, [0072]-[0074]). In a particular embodiment, Marinier discloses that a UE or base

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station determines whether to use a first table governing lower-order modulation techniques or a second table governing higher-order modulation techniques (see Marinier, [0072]). Furthermore, Marinier teaches that the second table can contain one or more entries related to the lower-order modulation techniques in addition to entries related to the higher-order modulation techniques (see Marinier, [0072]).

Marinier does not disclose, however, that the one or more lower-order modulation entries in the second table contain at least the lowest modulation order supported by the first table for fallback purposes. Each of the presently pending independent claims has been amended to include this aspect. As such, Marinier fails to disclose each and every aspect of the amended independent claims. For this reason, the Applicant respectfully submits that the presently pending independent claims are in condition for allowance. Furthermore, each of the presently pending dependent claims depends upon one of these allowable independent claims, and is therefore likewise allowable.

Thus, for the above reasons, the Applicant respectfully submits that the presently pending claims, as amended, are in condition for allowance and requests the Examiner to issue a Notice of Allowance accordingly.

Respectfully submitted, COATS & BENNETT, P.L.L.C.

Al

Eli M. Sheets Registration No.: 68,958 Telephone: (919) 719-4830

Dated: July 1, 2016

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Electronic Patent Application Fee Transmittal					
Application Number:	143	390904			
Filing Date:	06-	Oct-2014			
Title of Invention:	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment				
First Named Inventor/Applicant Name:	David Hammarwall				
Filer:	Eli Sheets/Leslie Ruckdeschel				
Attorney Docket Number:	40 <sup>-</sup>	15-8999 / P41223-U	S2		
Filed as Large Entity					
Filing Fees for U.S. National Stage under 35 USC 371					
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
Pages:					
Claims:					
Claims in excess of 20		1615	2	80	160
Miscellaneous-Filing:					
Petition:					
Patent-Appeals-and-Interference:					
Post-Allowance-and-Post-Issuance:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Extension-of-Time:					
Extension - 1 month with \$0 paid	1251	1	200	200	
Miscellaneous:					
	Tot	al in USD	) (\$)	360	

Electronic Ack	Electronic Acknowledgement Receipt			
EFS ID:	26239012			
Application Number:	14390904			
International Application Number:				
Confirmation Number:	7239			
Title of Invention:	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment			
First Named Inventor/Applicant Name:	David Hammarwall			
Customer Number:	24112			
Filer:	Eli Sheets/Leslie Ruckdeschel			
Filer Authorized By:	Eli Sheets			
Attorney Docket Number:	4015-8999 / P41223-US2			
Receipt Date:	01-JUL-2016			
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Time Stamp:	12:36:11			
Application Type:	U.S. National Stage under 35 USC 371			

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Deposit Account	null
Authorized User	Leslie Ruckdeschel

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

IPR2022-00457

File Listin	g:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
			4091889			
1		Response_OA.pdf	ee8cbae5fe8a0287065c9dc5c3e6b70cd78 7629b	yes	15	
	Multip	oart Description/PDF files in .	zip description			
	Document Des	Start	E	nd		
	Amendment/Req. Reconsiderati	Amendment/Req. Reconsideration-After Non-Final Reject			1	
	Claims		2	13		
	Applicant Arguments/Remarks	Made in an Amendment	14	15		
Warnings:						
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2	Fee Worksheet (SB06)	fee-info.pdf	f974f9fa98673b073b734dd58c2f10887bca 5b47	no	2	
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Information			1			
		Total Files Size (in bytes)	41	24429		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/390,904	10/06/2014	David Hammarwall	4015-8999 / P41223-US2	7239
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Cary, NC 27518	5		ART UNIT	PAPER NUMBER
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# Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary         Examiner DANE LO         At Linit         At Linit 246         Mathematical Mathematical Provided for Reply           - The MALLING DATE of this communication appears on the cover sheet with the correspondence address -           Period for Reply           A SHOTTEND STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 2 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.           Market State		Application No. 14/390,904	Applicant( HAMMARV	<b>s)</b> VALL ET AL.
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Status         1\[]	A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION. - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication. - If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	Y IS SET TO EXPIRE <u>3</u> MONT 36(a). In no event, however, may a reply be vill apply and will expire SIX (6) MONTHS fr , cause the application to become ABANDC date of this communication, even if timely	HS FROM TH e timely filed om the mailing date NED (35 U.S.C. § 1 filed, may reduce an	of this communication.
1 ☐ Responsive to communication(s) filed on 1006/2014.	Status			
2a)       This action is FINAL.       2b) ⊠ This action is non-final.         3)       An election was made by the applicant in response to a restriction requirement set forth during the interview on	<ul> <li>1) Responsive to communication(s) filed on <u>10/06</u></li> <li>A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b></li> </ul>	<u>5/2014</u> . <b>30(b)</b> was/were filed on	<u>.</u>	
3)       An election was made by the application in response to a restriction requirement action.         4)       Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i> , 1935 C.D. 11, 453 O.G. 213.         Disposition of Claims*         5)       Claim(s)	2a) ☐ This action is <b>FINAL</b> . 2b) ⊠ This	action is non-final.		
<pre></pre>	3) An election was made by the applicant in respo	onse to a restriction requireme	nt set forth dui	ring the interview on
<ul> <li>4) Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under <i>Ex parte Quayle</i>, 1935 C.D. 11, 453 O.G. 213.</li> <li>Disposition of Claims*</li> <li>5) Claim(s) <u>27-52</u> is/are pending in the application.</li> <li>5a) Of the above claim(s) <u>is/are allowed</u>.</li> <li>7) Claim(s) <u>is/are allowed</u>.</li> <li>7) Claim(s) <u>is/are allowed</u>.</li> <li>8) Claim(s) <u>is/are allowed</u>.</li> <li>9) Claim(s) <u>is/are objected</u>.</li> <li>8) Claim(s) <u>is/are objected</u>.</li> <li>9) Claim(s) <u>is/are objected</u>.</li> <li>9) Claim(s) <u>is/are objected</u>.</li> <li>8) Claim(s) <u>is/are objected</u>.</li> <li>8) Claim(s) <u>is/are objected</u>.</li> <li>8) Claim(s) <u>is/are objected</u>.</li> <li>9) Claim(s) <u>is/are objected</u>.</li> <li>8) Claim(s) <u>is/are objected</u>.</li> <li>9) Size objected to estriction and/or election requirement.</li> <li>* If any claims have been determined <u>allowable</u>, you may be eligible to benefit from the <b>Patent Prosecution Highway</b> program at a participating intellectual property office for the corresponding application. For more information, please see <a href="http://www.usbc.gov/patents/init_events/pb/index.isp">http://www.usbc.gov/patents/init_events/pb/index.isp or send an inquiry to <u>PPHreadback@uspto.gov</u>.</a></li> </ul> <b>Application Papers</b> <ul> <li>10) The drawing(s) filed on <u>10/06/2014</u> is/are: al all accepted or b) objected to by the Examiner.</li> <li>11) The drawing(s) filed on <u>10/06/2014</u> is/are: al all accepted or b) objected to by the Examiner.</li> <li>12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).</li> <li>Certified copies of the priority documents have been received.</li> <li>2) Certified copies of the priority documents have been received in Application No</li></ul>	; the restriction requirement and election	have been incorporated into t	his action.	
Disposition of Claims'         5)       Claim(s) 27-52 is/are pending in the application. 5a) Of the above claim(s)is/are withdrawn from consideration.         6)       Claim(s)s/are subject to .         7)       Claim(s)s/are subject to restriction and/or election requirement.         * If any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see         http://www.uspto.gov/patents/init_events/oph/index.jsp or send an inquiry to PPHfeedback@uspto.gov.         Application Papers         10)       The specification is objected to by the Examiner.         11)       The drawing(s) filed on 10/06/2014 is/are: a)         12)       Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).         Certified copies of the priority documents have been received.         2.       Certified copies of the priority documents have been received.         3.       Copies of the certified copies not received.         4.       Certified copies of the priority documents have been received.         2.       Certified copies of the priority documents have been received.         3.       Copies of the certified copies not received.         4.       Certified copies of the priority documents have been received.         3.	4) Since this application is in condition for allowar closed in accordance with the practice under E	nce except for formal matters,   Ex parte Quayle, 1935 C.D. 11,	prosecution as 453 O.G. 213	s to the merits is
51 Claim(s) 27:52 is/are pending in the application.         52 Of the above claim(s) is/are withdrawn from consideration.         61 Claim(s) 27:52 is/are rejected.         81 Claim(s) is/are objected to.         90 Claim(s) 27:52 is/are rejected.         81 any claims have been determined allowable, you may be eligible to benefit from the Patent Prosecution Highway program at a participating intellectual property office for the corresponding application. For more information, please see <a href="http://www.uspto.gov/patents/init_events/oph/index.jap">http://www.uspto.gov/patents/init_events/oph/index.jap</a> or send an inquiry to PPHfeedback@uspto.gov.         Application Papers         101 The specification is objected to by the Examiner.         11) I The drawing(s) filed on <u>10/06/2014</u> is/are: a) I accepted or b)    objected to by the Examiner.         Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.121(d).         Priority under 35 U.S.C. § 119         12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).         Certified copies         application from the priority documents have been received.         21 Certified copies of the priority documents have been received in Application No         32 Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).         ** See the attached detailed Office action for a list of the certified copies not receive	Disposition of Claims*			
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Priority under 35 U.S.C. § 119         12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).         Certified copies:         a) ☐ All       b) ☐ Some** c) ☐ None of the:         1. ☐ Certified copies of the priority documents have been received.         2. ☐ Certified copies of the priority documents have been received in Application No         3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).         ** See the attached detailed Office action for a list of the certified copies not received.         1) ☑ Notice of References Cited (PTO-892)       3) ☐ Interview Summary (PTO-413) Paper No(s)/Mail Date         2) ☑ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date       4) ☐ Other:	Application Papers         10)       The specification is objected to by the Examine         11)       The drawing(s) filed on <u>10/06/2014</u> is/are: a)         Applicant may not request that any objection to the replacement drawing sheet(s) including the correct	r. ] accepted or b)∏ objected to drawing(s) be held in abeyance. S ion is required if the drawing(s) is	by the Examir See 37 CFR 1.8 objected to. See	ner. 5(a). ∋ 37 CFR 1.121(d).
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Attachment(s)         1) ⊠ Notice of References Cited (PTO-892)         2) ⊠ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b) Paper No(s)/Mail Date         4) □ Other:	<ul> <li>12) Acknowledgment is made of a claim for foreign Certified copies:</li> <li>a) All b) Some** c) None of the:</li> <li>1. Certified copies of the priority document</li> <li>2. Certified copies of the priority document</li> <li>3. Copies of the certified copies of the priority application from the International Bureau</li> <li>** See the attached detailed Office action for a list of the certified</li> </ul>	priority under 35 U.S.C. § 119 ts have been received. ts have been received in Applie rity documents have been rece u (PCT Rule 17.2(a)). ed copies not received.	(a)-(d) or (f). cation No eived in this Na	 ational Stage
1) ⊠ Notice of References Cited (PTO-892)       3) □ Interview Summary (PTO-413)         2) ⊠ Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)       Paper No(s)/Mail Date         4) □ Other:	Attachment(s)	_		
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## DETAILED ACTION

This is response to Application 14/390,904 filed on 10/06/2014 in which claims 27-52 are presented for examination.

### Claim Rejections - 35 USC § 102

1. In the event the determination of the status of the application as subject to AIA 35 U.S.C. 102 and 103 (or as subject to pre-AIA 35 U.S.C. 102 and 103) is incorrect, any correction of the statutory basis for the rejection will not be considered a new ground of rejection if the prior art relied upon, and the rationale supporting the rejection, would be the same under either status.

2. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(a)(2) the claimed invention was described in a patent issued under section 151, or in an application for patent published or deemed published under section 122(b), in which the patent or application, as the case may be, names another inventor and was effectively filed before the effective filing date of the claimed invention.

3. Claims 27-52 are rejected under 35 U.S.C. 102(a)(2) as being anticipated by Marinier et al. (US 2015/0358111 A1).

4. **Regarding claim 27**, Marinier teaches a method performed by a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the method comprising:

detecting that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE (*Paragraph [0003] and [0062] multiple MCS tables; first table valid to 64 QAM/maximum modulation order of the first table; second table valid up to 256 QAM*);

instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order (*Paragraph [0072] multiple CQI tables to allow for higher order modulation*);

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

5. **Regarding claim 38**, Marinier teaches a radio node of a cellular network (*Figure 1A and 1B*), the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (*Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the radio node comprising:

one or more processing circuits (*Figure 1A and 1B*) configured to function as: a logic circuit configured to detect that a higher modulation order, which is higher than the maximum

modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE (*Paragraph [0003]* and [0062] multiple MCS tables; first table valid to 64 QAM/maximum modulation order of the first table; second table valid up to 256 QAM);

an instructing circuit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table (*Paragraph* [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling); wherein the at least one of the second MCS table and the second CQI table support the higher modulation order (*Paragraph* [0072] multiple CQI tables to allow for higher order modulation);

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

6. **Regarding claims 28 and 39**, Marinier teaches, wherein the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals

Application/Control Number: 14/390,904Page 6Art Unit: 2466communicated between the radio node and the first UE is above a threshold (*Paragraph*[0059]-[0062] network indicates to device an MCS; a higher order modulation isprovided than 64 QAM/threshold).

7. **Regarding claims 29 and 40**, Marinier teaches wherein the performance related parameter comprises a Signal to Interference and Noise Ratio (*Paragraphs* [0057], [0060], and [0061]).

8. **Regarding claims 30 and 41**, Marinier teaches wherein the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold (*Paragraph [0057]-[0062] network indicates to device an MCS*).

9. **Regarding claims 31 and 42**, Marinier teaches wherein the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration (*Paragraph [0057]-[0062] network indicates to device an MCS*).

10. **Regarding claims 32 and 43**, Marinier teaches wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been

added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

11. **Regarding claims 33 and 44**, Marinier teaches wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size (*Paragraph [0062] 32 element MCS table and 16 element CQI table*).

12. **Regarding claims 34 and 45**, Marinier teaches, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

13. **Regarding claims 35 and 46**, Marinier teaches wherein: the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback; an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

14. **Regarding claims 36 and 47**, Marinier teaches wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

15. **Regarding claims 37 and 48,** Marinier teaches wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively (*paragraph [0067] TBS index obtained from MCS index*).

16. **Regarding claim 49**, Marinier teaches a method performed by a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the method comprising:

receiving an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

applying the second table configuration in the radio communication with the radio node (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*).

17. **Regarding claim 50**, Marinier teaches a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the UE comprising:

one or more processing circuits configured to function as: a communication circuit configured to receive an instruction from the radio node to apply a second table

configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

a logic circuit configured to apply the second table configuration in the radio communication with the radio node (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*).

18. **Regarding claim 51**, Marinier teaches a computer program product stored in a non-transitory computer readable medium for controlling a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the computer program product comprising software

instructions which, when run on one or more processing circuits of the radio node, causes the radio node to:

detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE (*Paragraph [0003] and [0062] multiple MCS tables; first table valid to 64 QAM/maximum modulation order of the first table; second table valid up to 256 QAM*);

instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table (*Paragraph [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling*);

wherein the at least one of the second MCS table and the second CQI table support the higher modulation order (*Paragraph [0072] multiple CQI tables to allow for higher order modulation*);

wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied (*Paragraph [0062] and [0072] second tables for MCS and CQI include orders or values of first set*).

19. **Regarding claim 52**, Marinier teaches a computer program product stored in a non-transitory computer readable medium for controlling a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order (Figure 1A, *Paragraphs [0014], [0059] to [0062], and [0072] multiple MCS tables, TBS tables and CQI tables to support higher or modulation*), the computer program product comprising software instructions which, when run on one or more processing circuits of the UE, causes the UE to:

receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration (*Paragraph* [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling);

apply the second table configuration in the radio communication with the radio node (*Paragraph* [0014] and [0059] UE uses multiple MCS and CQI tables to support higher modulation; CQI table used based on MCS indicated by network in DCI or higher layer signaling).

### Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DIANE LO whose telephone number is (571)270-1952. The examiner can normally be reached on Monday to Friday, 8:00 am-4:30 pm EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Faruk Hamza can be reached on (571)272-7969. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/DIANE LO/ Primary Examiner, Art Unit 2466

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Notice of Herefences Cited	Examiner	Art Unit	
	DIANE LO	2466	Page 1 of 1

### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	А	US-2015/0358111 A1	12-2015	Marinier; Paul	H04L1/0003	370/329
	В	US-				
	С	US-				
	D	US-				
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Part of Paper No. 20160225

Search Notes	Application/Control No.	Applicant(s)/Patent Under Reexamination HAMMARWALL ET AL.
	Examiner DIANE LO	Art Unit 2466

Date	Examiner
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Symbol	Date	Examiner

US CLASSIFICATION SEARCHED				
Class	Subclass	Date	Examiner	

SEARCH NOTES				
Search Notes	Date	Examiner		
EAST search (US-PGPUB, USPAT, FPRS, EPO, JPO, DERWENT) - see search history printout	2/29/2016	DLL		
Inventor and Assignee search in EAST	2/29/2016	DLL		
H04L 1/all (text search only - see search history printout)	2/29/2016	DLL		

INTERFERENCE SEARCH					
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# Beceipt date: 11/24/2014

# 14390904 - GALL; 2466

Doc description: Information Disclosure Statement (IDS) Filed

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	Application Number		13390904	
	Filing Date		2014-10-06	
INFORMATION DISCLOSURE	First Named Inventor	Hamn	narwall	
(Not for submission under 37 CER 1 99)	Art Unit			
	Examiner Name			
	Attorney Docket Number		4015-8999 / P41223-US2	

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Receipt date: 11/24/2014	Application Number		13390904	14390904 - GAU: 2466	
	Filing Date		2014-10-06		
INFORMATION DISCLOSURE	First Named Inventor	Hamn	lammarwall		
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	Filing Date 2		2014-10-06	
INFORMATION DISCLOSURE	First Named Inventor	Hammarwall		
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit			
	Examiner Name			
	Attorney Docket Numb	er	4015-8999 / P4122	23-US2

		CERTIFICATION	STATEMENT	1				
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#### **EAST Search History**

### EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	52	H04L1\$.cpc. AND (CQI with MCS with second with table)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/29 11:53
12	5	H04L1\$.cpc. AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/29 11:54
L3	18	(CQI with MCS with second with table AND TBS)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/29 12:01
S1	2	"14390904"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 12:01
S2	1	David NEAR3 Hammarwall AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:28
S3	1	Meng NEAR3 Wang AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:29
S4	0	Ericsson.as. AND (CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:29
S5	6	(CQI with MCS with second with table).clm.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:30
S6	64	(CQI with MCS with second with table)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 16:42
S7	3	(CQI with MCS with second with table) AND (maintain\$3 with fallback)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM TDB	ADJ	ON	2016/02/25 16:42

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#### EAST Search History

S8	3	(QI with MCS with second with table) AND (fallback)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	ADJ	ON	2016/02/25 22:54
S9	6	(US-20150381310-\$ or US- 20150358111-\$ or US- 20150016553-\$).did. or (US- 9198070-\$ or US-8654745-\$ or US- 8488709-\$).did.	US-PGPUB; USPAT	ADJ	ON	2016/02/29 00:58

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UNITED STATES DEPARTMENT OF COMMERCE United.States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandrig, Virginia 22313-1450 www.uspto.gov

# **BIB DATA SHEET**

#### **CONFIRMATION NO. 7239**

SERIAL NUME 14/390,904	SERIAL NUMBER 14/390,904 FILING or 3 DATE 10/06/201 RULE		<b>CLASS</b> 370	GROUP ART 2466	UNIT A	TTORNEY DOCKET NO. 4015-8999 / P41223-US2
APPLICANTS TELEFON INVENTORS David Han Meng War ** CONTINUING This applik whik ** FOREIGN AP	AKTIEBOLA nmarwall, Va ng, SUNDBY DATA ***** cation is a 37 ch claims ber PLICATION D, FOREIGN	GET L M ERICSSC Illentuna, SWEDEN BERG, SWEDEN; 1 of PCT/SE2014/0 refit of 61/863,935 ( S ***********************************	DN (PUBL), Stockho ; ) )50803 06/26/2014 ) )8/09/2013 ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ; ;	olm, SWEDEN;		
Foreign Priority claimed 35 USC 119(a-d) sond Verified and /E Acknowledged	tions met Q Yes VANE LEE LO/ Xaminers Signature	No No Initials	STATE OR COUNTRY SWEDEN	SHEETS DRAWINGS 7	TOTAL CLAIMS 26	
ADDRESS COATS & 1400 Cres Cary, NC UNITED S TITLE Method ar Equipmen	BENNETT, I ccent Green, 27518 STATES nd Radio Noc t	PLLC Suite 300 le for Enabling Use	of High Order Modu	ulation in a Radi	o Commun	ication with a User
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# Beceipt date: 10/06/2014

Doc description: Information Disclosure Statement (IDS) Filed

14390904 - GAL: Approved for use through 07/31/2012. OMB 0651-0031 

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Under the Paperwork Reduction Act of 1995, no persons are required to resp	oond to a collection of informatio	n unless it contains	a valid OMB control number.

	Application Number		
	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	Hamn	narwall
(Not for submission under 37 CER 1 99)	Art Unit		
	Examiner Name		
	Attorney Docket Numb	er	4015-8999 / P41223-US2

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Receipt date: 10/06/2014	Application Number		14390904 - GAU: 246		
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INFORMATION DISCLOSURE	First Named Inventor	Hamn	narwall		
(Not for submission under 37 CER 1 99)	Art Unit				
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	Attorney Docket Numb	er	4015-8999 / P41223-US2		

/D.L./	1	3RD GENERATION PARTNERSHIP PROJECT, "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures (3GPP TS 36.213 version 11.2.0 Release 11)", Technical Specification, ETSI TS 136 213 V11.2.0, 2013-04-01, pp. 1-175, ETSI, France								
If you wish to add additional non-patent literature document citation information please click the Add button Add										
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<sup>1</sup> See Kind ( Standard S <sup>-</sup> <sup>4</sup> Kind of do English lang	Codes c T.3). <sup>3</sup> f cument guage tr	of USPT For Japa by the a ranslatio	O Patent Documents at <u>www.USPTO.GOV</u> or M anese patent documents, the indication of the ye appropriate symbols as indicated on the docume in is attached.	IPEP 901.04. <sup>2</sup> Enter office that issued the docun ar of the reign of the Emperor must precede the s nt under WIPO Standard ST.16 if possible. <sup>5</sup> App	nent, by the two-letter code (W erial number of the patent doo licant is to place a check mark	'IPO :ument. < here if				

Receipt date: 10/06/2014	Application Number		14390904 - GAU: 2466		
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	CERTIFICATION STATEMENT									
Plea	Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):									
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	See attached ce	rtification statement.								
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	with.							
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Sigi	nature	/John R. Owen Reg. No. 42055/	Date (YYYY-MM-DD)	2014-10-06						
Nar	ne/Print	John R. Owen	Registration Number	42055						
This pub 1.14 app requ Pate	Name/Print       John R. Owen       Registration Number       42055         This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office. U.S. Department of Commerce. P.O. Box 1450. Alexandria. VA 22313-1450. DO NOT SEND.									

ALLS REFERENCES CONSIDERED EXCEPT WHERE LINED THROUG R2022-00457 Apple EX1002 Page 78

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In	dex of (	Claims	Application/C	ontrol N	0.	Applica Reexar HAMM	Applicant(s)/Patent Under Reexamination HAMMARWALL ET AL.			
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Part of Paper No. : 20160225



Title:Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

Publication No.US-2015-0381310-A1 Publication Date:12/31/2015

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

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Inventor(s)

David Hammarwall, Vallentuna, SWEDEN; Meng Wang, SUNDBYBERG, SWEDEN;

Applicant(s)

TELEFONAKTIEBOLAGET L M ERICSSON (PUBL), Stockholm, SWEDEN;

Power of Attorney: The patent practitioners associated with Customer Number 24112

#### Domestic Priority data as claimed by applicant

This application is a 371 of PCT/SE2014/050803 06/26/2014 which claims benefit of 61/863,935 08/09/2013

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see <u>http://www.uspto.gov</u> for more information.) - None. Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

#### If Required, Foreign Filing License Granted: 09/21/2015

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/390,904** 

Projected Publication Date: 12/31/2015

Non-Publication Request: No

Early Publication Request: No

page 1 of 3

IPR2022-00457 Apple EX1002 Page 82

UNITED STATES DEPARTMENT OF COMMERCE

#### Title

Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

#### **Preliminary Class**

#### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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	ΡΑΤ	ENT APPLI	CATIC Subst	N FEE DE	TERMINA PTO-875	TIC	ON RECOR	D	Application or Docket Number 14/390,904			
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### UNITED STATES PATENT AND TRADEMARK OFFICE



Date Mailed: 09/22/2015

#### NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office, in its capacity as a Designated / Elected Office (37 CFR 1.495), has ACCEPTED the above identified international application for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above. A Filing Receipt will be issued for the present application in due course. THE DATE APPEARING ON THE FILING RECEIPT AS THE "FILING DATE or 371(c) DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1) and (c)(2) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN BELOW. The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363)

#### 10/06/2014 DATE OF RECEIPT OF 35 U.S.C. 371(c)(1) and (c)(2) REQUIREMENTS

The following items have been received:

- Copy of the International Application filed on 10/06/2014
- . English Translation of the IA filed on 10/06/2014
- Copy of the International Search Report filed on 10/06/2014
- Preliminary Amendments filed on 10/06/2014
- Information Disclosure Statements filed on 10/06/2014
- Inventor's Oath or Declaration filed on 10/06/2014
- Request for Immediate Examination filed on 10/06/2014
- U.S. Basic National Fees filed on 10/06/2014
- Assignee Statement for PGPUB filed on 10/06/2014
- Priority Documents filed on 10/06/2014
- · Power of Attorney filed on 10/06/2014
- Application Data Sheet (37 CFR 1.76) filed on 10/06/2014

page 1 of 2

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

PATRICIA A BOOKER

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Doc code: IDS

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	Application Number		13390904	
	Filing Date		2014-10-06	
INFORMATION DISCLOSURE	First Named Inventor	Hamn	narwall	
(Not for submission under 37 CER 1 99)	Art Unit			
	Examiner Name			
	Attorney Docket Number		4015-8999 / P41223-US2	

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	1	102624481	CN		A	2012-08-01	ZTE Corporation		Machine English Translation	X
	2	2013123961	WO		A1	2013-08-29	Nokia Siemens Net Oy	works		
	3	2014109915	WO		A1	2014-07-17	Qualcomm Incorpo	rated		

	Application Number		13390904	
	Filing Date		2014-10-06	
INFORMATION DISCLOSURE	First Named Inventor	Hamn	narwall	
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit			
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	1	PANASONIC, "Discussion on 256QAM for Downlink in Small Cell Deployments", 3GPP TSG-RAN WG1 Meeting 72bis, 2013-04-15, pp. 1-6, R1-131328, Chicago, US				
	2 HUAWEI, et al., "Standard Impacts to Support Higher Order Modulation", 3GPP TSG-RAN WG1 Meeting 73, 2013-05-20, pp. 1-2, R1-131853, Fukuoka, JP					
	3 HTC, "On Small Cell Enhancement for improved Spectral Efficiency", 3GPP TSG RAN WG1 Meeting #72, 2013-01-28, pp. 1-4, R1-130311, St. Julian's, Malta					
	4	NTEL CORPORATION, "CQI/MCS/TBS Tables for 256QAM and Relevant Signaling", 3GPP TSG RAN WG1 Meeting #76, 2014-02-10, pp. 1-8, R1-140118, Prague, Czech Republic				
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<sup>1</sup> See Kind ( Standard S <sup>-1</sup> <sup>4</sup> Kind of do	Codes o T.3). <sup>3</sup> F cument	JSPTO Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIP r Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent docur the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark t	20 ment. here if			

English language translation is attached.

	Application Number		13390904	
	Filing Date		2014-10-06	
INFORMATION DISCLOSURE	First Named Inventor Hamman		larwall	
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit			
	Examiner Name			
	Attorney Docket Number	ər	4015-8999 / P41223-US2	

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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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## Bibliographic data: CN102624481 (A) - 2012-08-01

Self-adaptive modulation and coding method and apparatus

Inventor(s):	SHUAI ZHANG; JIN XU; XU JUN <u>+</u> (ZHANG SHUAI, ; XU JIN, ; XU JUN)			
Applicant(s):	ZTE CORP <u>+</u> (ZTE CORF	PORATION)		
Classification:	- international: - cooperative:	H04L1/00; H04L27/36		
Application number:	CN2011134103 2011013	1		

Priority number(s): CN2011134103 20110131

Abstract of CN102624481 (A)

The invention provides a self-adaptive modulation and coding method and an apparatus. The method comprises the following steps that: a pilot signal is sent to a terminal; a receiving terminal carries out channel measurement according to the pilot signal and then feedbacks a channel quality indicator; and according to the channel quality indicator, a modulation and coding scheme (MCS) is selected from a modified MCS table and data are issued based on the MCS. According to the self-adaptive modulation and coding method and the apparatus, on the basis of current supporting of a modulation mode with up to 64 quadrature amplitude modulation (QAM), a modulation mode with up to 256 QAM can be supported; and moreover, a modulation mode with a higher order can also be supported; therefore, a data transmission rate can be improved.

### (19)中华人民共和国国家知识产权局





# (12)发明专利申请

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(51) Int. CI.

(54) 发明名称

(57) 摘要

HO4L 1/00 (2006.01) H04L 27/36 (2006.01)

#### 权利要求书 1 页 说明书 11 页 附图 7 页



送导频信号;接收终端根据所述导频信号进行信 道测量后反馈的信道质量指示:根据所述信道质 量指示从修改后的调制编码方式 (MCS) 表中选择 调制编码方式,并根据所述调制编码方式下发数 据。上述自适应调制编码方法及装置,可以在现有 的最高支持 640AM 的调制方式的基础上,最高支 持 256QAM 的调制方式;并且还可以支持更高阶数 的调制方式:从而提高数据的传输速率。



102624481 A CN

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BNSDOCID: «CN \_\_\_\_\_102624481A\_\_L>

1/1页

1. 一种自适应调制编码方法,所述方法包括:

向终端发送导频信号;

接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;

根据所述信道质量指示从修改后的调制编码方式(MCS)表中选择调制编码方式,并根据所述调制编码方式下发数据。

2. 根据权利要求1所述的自适应调制编码方法,其特征在于:

所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

3. 根据权利要求1或2所述的自适应调制编码方法,其特征在于:

所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中,增加 的多个 MCS 内容用新增的一个或多个比特来指示;或者,

所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。

4. 一种自适应调制编码方法,所述方法包括:

接收终端发送的参考信号;

根据所述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并反馈给终端,以便所述终端根据所述调制编码方式向所述基站发送数据。

5. 根据权利要求 4 所述的自适应调制编码方法,其特征在于:

所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

6. 根据权利要求4或5所述的自适应调制编码方法,其特征在于:

所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中,增加 的多个 MCS 内容用新增的一个或多个比特来指示;或者,

所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。

7. 一种自适应调制编码装置,所述自适应调制编码装置包括:

发送模块,用于向终端发送导频信号;

接收模块,用于接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;

选择下发模块,用于根据所述信道质量指示从修改后的调制编码方式 (MCS) 表中选择 调制编码方式,并根据所述调制编码方式下发数据。

8. 根据权利要求 7 所述的自适应调制编码装置,其特征在于:

所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

9. 一种自适应调制编码装置,所述自适应调制编码装置包括:

接收模块,用于接收终端发送的参考信号;

选择反馈模块,用于根据所述参考信号从修改后的调制编码方式 (MCS) 表中选择调制 编码方式,并反馈给终端,以便所述终端根据所述调制编码方式向所述基站发送数据。

2

10. 根据权利要求 9 所述的自适应调制编码装置,其特征在于:

所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

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BNSDOCID: <CN\_\_\_\_102624481A\_1>

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### 自适应调制编码方法及装置

#### 技术领域

[0001] 本发明涉及移动通信技术,尤其涉及一种自适应调制编码方法及装置。

#### 背景技术

[0002] 在移动通信系统中,由于无线衰落信道时变的特点, 使得通信过程存在大量的不确定性, 一方面为了提高系统吞吐量, 采用传输速率较高的高阶调制和少冗余纠错码进行通信, 这样在无线衰落信道信噪比比较理想时系统吞吐量确实得到了很大的提高, 但当信道处于深衰落时, 则无法保障通信可靠稳定地进行; 另一方面, 为了保障通信的可靠性, 采用传输速率较低的低阶调制和大冗余纠错码进行通信, 即在无线信道处于深衰落时保障通信可靠稳定地进行, 然而当信道信噪比较高时, 由于传输速率较低, 制约了系统吞吐量的提高, 从而造成了资源的浪费, 在移动通信技术的发展早期, 人们对抗无线衰落信道的时变特性, 只能采用加大发射机的发射功率, 使用低阶大冗余的调制编码方法来保障系统在信道深衰落时的通信质量, 还无暇考虑如何提高系统的吞吐量, 随着技术水平的进步, 出现了可根据信道状态自适应地调节其发射功率, 调制编码方式以及数据的帧长来克服信道的时变特性, 从而获得最佳通信效果的技术, 被称为自适应技术。

[0003] 现有标准中上行和下行最高支持64 正交幅度调制(QAM)的调制编码方式,为了提高数据传输速率,兼容现有无线传输网络,最高支持256QAM的调制编码方式。但现有技术中没有支持256QAM的调制编码方式的实现方法。

#### 发明内容

[0004] 为了解决上述技术问题,本发明提供了一种自适应调制编码方法及装置,以达到 在兼容现有无线传输网络的基础上,最高支持 256QAM 甚至更高调制阶数的调制编码方式, 从而提高数据传输速率。

[0005] 本发明提供了一种自适应调制编码方法,该方法包括:

[0006] 向终端发送导频信号:

[0007] 接收终端根据所述导频信号进行信道测量后反馈的信道质量指示:

[0008] 根据所述信道质量指示从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并根据所述调制编码方式下发数据。

[0009] 优选地,上述自适应调制编码方法可具有如下特点:

[0010] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

[0011] 优选地,上述自适应调制编码方法还可具有如下特点:

[0012] 所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中, 增加的多个 MCS 内容用新增的一个或多个比特来指示;或者,

[0013] 所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。

[0014] 本发明还提供了一种自适应调制编码方法,所述方法包括:

[0015] 接收终端发送的参考信号;

[0016] 根据所述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并反 馈给终端,以便所述终端根据所述调制编码方式向所述基站发送数据。

[0017] 优选地,上述自适应调制编码方法可具有如下特点:

[0018] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

[0019] 优选地,上述自适应调制编码方法还可具有如下特点;

[0020] 所述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中, 增加的多个 MCS 内容用新增的一个或多个比特来指示;或者,

[0021] 所述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表。

[0022] 本发明还提供了一种自适应调制编码装置,所述自适应调制编码装置包括:

[0023] 发送模块,用于向终端发送导频信号;

[0024] 接收模块,用于接收终端根据所述导频信号进行信道测量后反馈的信道质量指示;

[0025] 选择下发模块,用于根据所述信道质量指示从修改后的调制编码方式(MCS)表中选择调制编码方式,并根据所述调制编码方式下发数据。

[0026] 优选地,上述自适应调制编码装置可具有如下特点:

[0027] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

[0028] 本发明还提供了一种自适应调制编码装置,所述自适应调制编码装置包括;

[0029] 接收模块,用于接收终端发送的参考信号;

[0030] 选择反馈模块,用于根据所述参考信号从修改后的调制编码方式(MCS)表中选择 调制编码方式,并反馈给终端,以便所述终端根据所述调制编码方式向所述基站发送数据。

[0031] 优选地,上述自适应调制编码装置可具有如下特点;

[0032] 所述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式。

[0033] 上述自适应调制编码方法及装置,可以在现有的最高支持 640AM 的调制方式的基础上,最高支持 2560AM 的调制方式;并且还可以支持更高阶数的调制方式;从而提高数据的传输速率。

附图说明

[0034] 图1是本发明下行数据传输实施例一的示意图:

[0035] 图 2 是本发明下行数据传输实施例二的示意图:

[0036] 图 3 是本发明下行数据传输实施例三的示意图:

[0037] 图 4 是本发明下行数据传输实施例四的示意图:

[0038] 图 5 是本发明上行数据传输实施例一的示意图:

[0039] 图 6 是本发明上行数据传输实施例二的示意图:

[0040] 图 7 是本发明上行数据传输实施例三的示意图;

[0041] 图 8 是本发明上行数据传输实施例四的示意图;

[0042] 图 9 是本发明自适应调制编码装置实施例一的结构示意图:

[0043] 图 10 是本发明自适应调制编码装置实施例二的结构示意图。

具体实施方式

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[0044] 下面结合附图和具体实施方式对本发明作进一步详细的说明。

[0045] 本发明提供了一种自适应调制编码方法,在下行传输方向上,上述方法包括:

[0046] 步骤 a, 基站向终端发送导频信号;

[0047] 步骤 b,终端根据导频信号进行信道测量,反馈信道质量指示;

[0048] 步骤 c,基站收到终端发送的信道质量指示,在修改后的 MCS(调制编码方式, Modulation and Coding Scheme)表中选择合适的调制编码方式,并下发数据。

[0049] 送一步地,对于步骤 c,在现有系统的 MCS 基础上,增加若干比特用于支持 256QAM 的调制方式。

[0050] 具体地,增加的 MCS 可以是表 1、表 2 中的任意一种或几种,其中每一行代表一种 MCS : MCS Index 为调制编码方式索引, modulation order 为调制方式。

[0051] 表1新增 MCS 表

MCS Index 1 <sub>MCS</sub>	Modulation Order $\mathcal{Q}_m$
32	8
33	8
34	8
35	8
36	8
37	8

[0052]

[0053] 具体地,新增 MCS 还可以有如下形式:

[0054] 表 2 新增 MCS 表

MCS Index I <sub>MCS</sub>	Modulation Order $Q_m$
32	8
33	8
34	8
35	8
36	8
37	8
38	8
39	8

[0055]

[0056] 进一步,对于步骤 c,保持现有系统中 MCS 索引的比特数目不变,对 MCS 进行重新解释,以支持 256QAM 的调制方式。

[0057] 具体地,重新解释的内容可以是表 3、表 4 中的内容,其中随着 MCS index 和 TBS Index 的增加,调制阶数逐渐递增,且调制阶数最高支持 256QAM(如表 3、表 4 中的加粗部分),其中 TBS Index 为传输块大小索引:

[0058] 表3重新解释的 MCS 表

	MCS Index I <sub>MCS</sub>	Modulation Order Q <sub>m</sub>	TBS Index I <sub>TBS</sub>
	0	2	0
1	1	2	1

[0059]

2	2	2
3	2	3
4	2	4
5	4	5
6	4	6
7	4	7
8	4	8
9	4	9
10	4	10
11	4	10
12	6	1
13	6	12
14	6	13
15	6	14
16	6	15
17	6	16
18	6	17
19	6	18
20	6	19
21	6	20
22	6	21
23	6	22
24	8	23
25	8	24
26	8	25
27	8	26
28	2	
29	4	reserved
30	6	1 Course
31	8	

[0060]

[0061] 具体地,重新解释的 MCS 表还可以有如下形式:

[0062] 表 4 重新解释的 MCS 表

MCS Index I <sub>MCS</sub>	Modulation Order Q <sub>m</sub>	TBS Index I <sub>TBS</sub>
0	2	0
1	2	1
2	2	2
3	4	3
4	4	4
5	4	5
6	4	6
7	4	7
8	4	8
9	6	8
10	6	9
11	6	10

[0063]

		the second second second second second second second second second second second second second second second se
12	6	11
13	6	12
14	6	13
15	6	14
16	6	15
17	6	16
18	6	17
19	6	18
20	6	19
21	6	20
22	8	21
23	8	22
24	8	23
25	8	24
26	8	25
27	8	26
28	2	
29	4	reserved
30	6	

[0064]

[0085] 本发明还提供了一种自适应调制编码方法,在上行传输方向上,上述方法包:

[0066] 步骤 e,终端向基站发送参考信号;

[0067] 步骤 f,基站根据终端发送的参考信号,从修改后的 MCS 表中选择合适的调制编码 方式,反馈给终端;

8

[0068] 步骤 g,终端依据基站反馈的调制编码方式,向基站发送数据。

31

[0069] 进一步地,对于步骤 f,在现有系统的 MCS 基础上,增加若干比特用于支持 256QAM 的调制方式。

[0070] 具体地,增加的MCS可以是下表5、表6中的任意一种或几种,其中每一行代表一种MCS, Redundancy Version为冗余版本;

[0071] 表5新增的 MCS 表

[0072]	MCS Index I <sub>MCS</sub>	Modulation Order $Q_m$	<b>TBS Index</b> I <sub>TBS</sub>	Redundancy Version rv <sub>ide</sub>
	32	8	26	0
	33	8	27	0
	34	8	28	0
	35	8	29	0
[0073]	36	8	30	0
Sector Sector	3763		reserved	

[0074] 具体地,新增 MCS 还可以有如下形式:

[0075] 表 6 新增的 MCS 表

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[0076]

0	1.4	-10	175
- 10	Ζ.	- 61	

MCS Index	Modulation Order	TBS Index	Redundancy Version
I MCS	$\mathcal{Q}_{m}^{'}$	I <sub>TBS</sub>	<i>rv<sub>idx</sub></i>
32	8	26	0
33	8	27	0
34	8	28	0
35	8	29	0
36	8	30	0
37	8	31	0
38	8	31	0
39-63		reserved	

[0077] 进一步地,对于步骤 f,保持现有系统中调制编码方式索引的比特数目不变,对 MCS 进行重新解释,以支持 256QAM 的调制方式。

[0078] 具体地,重新解释的内容可以是表 7、表 8 中的内容,其中随着 MCS index 和 TBS Index 的增加,调制阶数逐渐递增,且调制阶数最高支持 256QAM (如表 7、表 8 中的加粗部分):

[0079] 具体地,重新解释的 MCS 表还可以有如下形式:

[0080] 表7重新解释的 MCS 表

MCS Index I <sub>MCS</sub>	Modulation Order Q'm	TBS Index J <sub>TBS</sub>	Redundancy Version rv <sub>idx</sub>
0	2	0	0
1	2	1	0
2	2	2	0
3	2	3	0
4	2	4	0
5	2	5	0
6	2	6	0
9	2	7	0
8	2	8	0
9	4	9	0

[0081]

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10	4	10	0
11	4	11	0
12	4	12	0
13	4	13	0
14	4	14	0
15	4	15	0
16	4	16	0
17	6	16	0
18	6	17	0
19	6	18	0
20	6	19	0
21	6	20	0
22	6	21	0
23	8	22	0
24	8	23	0
25	8	24	0
26	8	25	0
27	8	26	0
28	reserved		1
29			2
30			3
31			4

[0082]

[0083] 具体地,重新解释的 MCS 表还可以有如下形式:

[0084] 表 8 重新解释的 MCS 表

MCS Index	Modulation Order	TBS Index	Redundancy Version
I MCS	$Q'_m$	1 <sub>TBS</sub>	rvidx
0	2	0	0
1	2	1	0
2	2	2	0
3	2	3	0
4	2	4	0
5	2	5	0
6	2	6	0
9	4	7	0
8	4	8	0
9	4	9	0
10	4	10	0
11	4	11	0
12	4	12	0
13	4	13	0
14	4	14	0
15	6	14	0
16	6	15	0
17	6	16	0
18	6	17	0
19	6	18	0
20	6	19	0

[0085]

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[0086]

21	8	20	0
22	8	21	0
23	8	22	0
24	8	23	0
25	8	24	0
26	8	25	0
27	8	26	0
28	reserved		-1
29			2
30			3
31			4

[0087] 本发明实施例提供了一种自适应调制编码方法,该方法包括:

[0088] 下行方向,基站向终端发送导频信号;基站接收终端根据上述导频信号进行信道 测量后反馈的信道质量指示;基站根据上述信道质量指示从修改后的调制编码方式(MCS) 表中选择调制编码方式,并根据上述调制编码方式下发数据;

[0089] 上行方向,基站接收终端发送的参考信号,基站根据上述参考信号从修改后的调制编码方式 (MCS) 表中选择调制编码方式,并反馈给终端,以便上述终端根据上述调制编码方式向上述基站发送数据。

[0090] 下面将通过几个实施例具体说明如何利用本发明的方法确定自适应调制编码方式,进而完成数据编码及发送。

[0091] 实施例一

[0092] 如图1所示,是本发明下行数据传输实施例一的示意图,在该实施例中,终端接收 基站发送的导频信号,并向基站反馈信道质量,基站依据此信道质量指示,从表1中选择合 适的调制编码方式,下发数据。

[0093] 如表 1 所示,在原有系统的 5 比特 MCS 指示的基础上增加 1 比特,用于指示新增加 的 6 级 MCS,这 6 级 MCS 用于指示 256QAM 的调制方式,如表 1 的 MCS Index 32 到 MCS Index 37。增加后的 MCS 索引用 6 比特指示。

[0094] 其中,基站依据信道质量指示,选择合适的调制编码方式,例如选择的 MCS Index 等于 35,其含义为当前下行数据的调制方式为 256QAM。

[0095] 实施例二

[0096] 如图 2 所示,是本发明下行数据传输实施例二的示意图,在该实施例中,终端接收 基站发送的导频信号,并向基站反馈信道质量,基站依据此信道质量指示,从表 2 中选择合 适的调制编码方式,下发数据。

[0097] 如表 2 所示,在原有系统的 5 比特 MCS 指示的基础上增加 1 比特,用于指示新增加 的 8 级 MCS,这 8 级 MCS 用于指示 256QAM 的调制方式,如表 2 的 MCS Index 32 到 MCS Index 39。增加后的 MCS 索引用 6 比特指示。

[0098] 其中,基站依据信道质量指示,选择合适的调制编码方式,例如选择的 MCS Index 等于 38,其含义为当前下行数据的调制方式为 256QAM。

[0099] 实施例三

[0100] 如图 3 所示,是本发明下行数据传输实施例三的示意图,在该实施例中,终端接收 基站发送的导频信号,并向基站反馈信道质量,基站依据此信道质量指示,从表 3 中选择合 适的调制编码方式,下发数据。 [0101] 基站选择合适的调制编码方式下发数据:此时基站使用与原有系统相同比特数目的 MCS,基站依据表 3 所示的 MCS 1 ndex 重新解释 MCS 的内容。

[0102] 例如基站依据此信道质量指示,选择的 MCS Index 等于 26,在原系统中的含义是 当前下行数据的调制方式为 64QAM,而此时基站利用表 3 的内容重新解释为:当前下行数据 的调制方式为 256QAM。

[0103] 实施例四

[0104] 如图 4 所示,是本发明下行数据传输实施例四的示意图,在该实施例中,终端接收 基站发送的导频信号,并向基站反馈信道质量,基站依据此信道质量指示,从表 4 中选择合 适的调制编码方式,下发数据。

[0105] 基站选择合适的调制编码方式下发数据:此时基站使用与原有系统相同比特数目的 MCS,基站依据表 4 所示的 MCS Index 重新解释 MCS 的内容。

[0106] 例如基站依据此信道质量指示,选择的 MCS Index 等于 23,在原系统中的含义是当前下行数据的调制方式为 64QAM,而此时基站利用表 4 的内容重新解释为;当前下行数据的调制方式为 256QAM。

[0107] 实施例五

[0108] 如图 5 所示,是本发明上行数据传输实施例一的示意图,在该实施例中,基站接收终端发送的参考信号,从表 5 中选择合适的调制编码方式,反馈给终端;终端依据基站反馈的调制编码方式,向基站发送数据。

[0109] 如表 5 所示,在原有系统的 5 比特 MCS 指示的基础上增加 1 比特用于指示新增加 的 5 级 MCS,这 5 级 MCS 用于指示 256QAM 的调整方式,如表 5 的 MCS Index 32 到 MCS Index 36。增加后的 MCS 索引用 6 比特指示。

[0110] 其中,基站接收到参考信号并选择合适的调制编码方式反馈给终端,例如,基站反馈给终端的 MCS Index 等于 33,其含义为当前下行数据的调制方式为 256QAM。终端依据此 MCS index 选择合适的调制编码方式,并发送数据。

[0111] 实施例六

[0112] 如图 6 所示,是本发明上行数据传输实施例二的示意图,在该实施例中,基站接收 终端发送的参考信号,从表 6 中选择合适的调制编码方式,反馈给终端;终端依据基站反馈 的调制编码方式,向基站发送数据。

[0113] 如表 6 所示,在原有系统的 5 比特 MCS 指示的基础上增加 1 比特,用于指示新增加 的 7 级 MCS,这 5 级 MCS 用于指示 256QAM 的调整方式,如表 6 的 MCS Index 32 到 MCS Index 38。增加后的 MCS 索引用 6 比特指示。

[0114] 其中,基站接收到参考信号并选择合适的调制编码方式反馈给终端,例如,基站反 馈给终端的 MCS Index 等于 36,其含义为当前下行数据的调制方式为 256QAM。终端依据此 MCS index 选择合适的调制编码方式,并发送数据。

[0115] 实施例七

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[0116] 如图7所示,是本发明上行数据传输实施例三的示意图,在该实施例中,基站接收终端发送的参考信号,从表7中选择合适的调制编码方式,反馈给终端;终端依据基站反馈的调制编码方式,向基站发送数据。

[0117] 基站选择合适的调制编码方式反馈给终端是指:基站使用与原有系统相同比特数

目的 MCS,基站依据表 7 所示的 MCS Index 重新解释 MCS 的内容。

[0118] 例如基站选择的 MCS Index 等于 26, 在原系统中的含义是当前下行数据的调制方式为 64QAM, 而此时基站利用表 7 的内容重新解释为:当前下行数据的调制方式为 256QAM。 [0119] 实施例八

[0120] 如图 8 所示,是本发明上行数据传输实施例四的示意图,在该实施例中,基站接收终端发送的参考信号,从表 8 中选择合适的调制编码方式,反馈给终端:终端依据基站反馈的调制编码方式,向基站发送数据。

[0121] 基站选择合适的调制编码方式反馈给终端是指,基站使用与原有系统相同比特数目的 MCS,基站依据表 8 所示的 MCS Index 重新解释 MCS 的内容。

[0122] 例如基站选择的 MCS Index 等于 27,在原系统中的含义是当前下行数据的调制方 式为 64QAM,而此时基站利用表 8 的内容重新解释为:当前下行数据的调制方式为 256QAM。 [0123] 上述自适应调制编码方法,可以在现有的最高支持 64QAM 的调制方式的基础上, 最高支持 256QAM 的调制方式;并且,随着技术的发展,还可以支持更高阶数的调制方式;从 而提高数据的传输速率。

[0124] 如图 9 所示,是本发明自适应调制编码装置实施例一的结构示意图,该自适应调制编码装置包括发送模块 11、接收模块 12 和选择下发模块 13,其中:发送模块用于向终端 发送导频信号:接收模块用于接收终端根据上述导频信号进行信道测量后反馈的信道质量 指示:选择下发模块用于根据上述信道质量指示从修改后的调制编码方式(MCS)表中选择 调制编码方式,并根据上述调制编码方式下发数据。

[0125] 其中,上述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式;上述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中,增加的多个 MCS 内容 用新增的一个或多个比特来指示,具体可参见表 1-表 2;或者,上述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表,具体可参见表 3-表 4。

[0126] 该自适应调制编码装置可以位于基站内,在下行传输方向上,可实现选择 256QAM 的调制方式,具体实现方式可参见图 1- 图 4,此处不再赘述。

[0127] 如图 10 所示,是本发明自适应调制编码装置实施例二的结构示意图,该自适应调制编码装置包括接收模块 21 和选择反馈模块 22,其中:接收模块用于接收终端发送的参考 信号;选择反馈模块用于根据上述参考信号从修改后的调制编码方式 (MCS)表中选择调制 编码方式,并反馈给终端,以便上述终端根据上述调制编码方式向上述自适应调制编码装 置发送数据。

[0128] 其中,上述修改后的 MCS 表支持 256 正交幅度调制 (QAM) 的调制方式;上述修改后的 MCS 表为在原 MCS 表的基础上增加了多个 MCS 内容的 MCS 表,其中,增加的多个 MCS 内容 用新增的一个或多个比特来指示,具体可参见表 5-表 6;或者,上述修改后的 MCS 表为对原 MCS 表中的内容进行了重新解释的 MCS 表,具体可参见表 7-表 8。

[0129] 该自适应调制编码装置可以位于基站内,在上行传输方向上,可实现选择 256QAM 的调制方式,具体实现方式可参见图 5-图 8,此处不再赘述。

[0130] 本领域普通技术人员可以理解上述方法中的全部或部分步骤可通过程序来指令 相关硬件完成,上述程序可以存储于计算机可读存储介质中,如只读存储器、磁盘或光盘 等。可选地,上述实施例的全部或部分步骤也可以使用一个或多个集成电路来实现。相应

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. .

地,上述实施例中的各模块/单元可以采用硬件的形式实现,也可以采用软件功能模块的形式实现。本发明不限制于任何特定形式的硬件和软件的结合。

[0131] 以上实施例仅用以说明本发明的技术方案而非限制,仅仅参照较佳实施例对本发 明进行了详细说明。本领域的普通技术人员应当理解,可以对本发明的技术方案进行修改 或者等同替换,而不脱离本发明技术方案的精神和范围,均应涵盖在本发明的权利要求范 围当中。











图 2

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•

•



图 3

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图 4

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3

4
.



图5

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基站



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图 6

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.



基站

终端

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图 7





图 8

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图 10

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Self-adaptive modulation and coding method and apparatus

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Abstract of CN102624481 (A)

The invention provides a self-adaptive modulation and coding method and an apparatus. The method comprises the following steps that: a pilot signal is sent to a terminal; a receiving terminal carries out channel measurement according to the pilot signal and then feedbacks a channel quality indicator; and according to the channel quality indicator, a modulation and coding scheme (MCS) is selected from a modified MCS table and data are issued based on the MCS. According to the self-adaptive modulation and coding method and the apparatus, on the basis of current supporting of a modulation mode with up to 64 quadrature amplitude modulation (QAM), a modulation mode with up to 256 QAM can be supported; and moreover, a modulation mode with a higher order can also be supported; therefore, a data transmission rate can be improved.



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## DESC RIPTIO N C N102624481

[0001]

Art

[0002]

The present invention relates to mobile communication technology, and more particularly to an adaptive modulation and coding method and apparatus

[0003]

BACKGROUND

[0004]

In a mobile communication system, due to the varying characteristics of the wireless fading channel, the communication process is the large number of such uncertainties, on the one hand in order to improve system throughput, the use of higher transmission rates and higher order modulation and less redundant error correcting code communication, so that the wireless fading channel SNR ideal system throughput indeed been greatly improved, but the channel is in deep fading, it can not guarantee reliable communication stably, on the

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other hand, in order to safeguard reliable communications resistance, a lower transmission rate lower order modulation and large redundancy error correction code to communicate, is to protect the wireless channel is in deep fading, reliable and stable communication, however, when the channel SN R is high, since the transmission rate compared with low, limiting the increase system throughput, resulting in a waste of resources in the early development of mobile communication technology, people against wireless fading channel varying characteristics, can only be used to increase the transmission power of the transmitter, the use of low-level large the modulation coding method of redundancy to protect the system when the communication quality of the deep fading channel, and also time to think about how to improve the system throughput, as the technology level of progress, there has to adaptively adjust its transmit power based on the channel state, a modulation and encoding frame length of the data to overcome the time-varying characteristics of a channel, to obtain the best results of communication technique is called adaptive techniques

#### [0005]

Existing standards in the uplink and downlink up to 64 quadrature amplitude modulation (QAM) modulation and coding schemes, in order to improve data transfer rates, compatibility with existing wireless transmission network, up to 256QAM modulation and coding scheme.

However, the prior art implementation of the 256Q AM modulation and coding scheme is not supported.

[0006]

SUMMARY

[0007]

In order to solve the above technical problem, the present invention provides an adaptive modulation and coding method and apparatus, in order to achieve compatibility with existing wireless transmission network, based on up to 256Q A M modulation or higher order modulation and coding scheme, thereby improving data transmission rate.

[0008]

The present invention provides an adaptive modulation and coding, the method comprising

#### [0009]

Transmits a pilot signal to the terminal;

#### [0010]

Receiving terminal according to the channel quality of the pilot signal for channel measurement after the feedback indication;

[0011]

Indicating selection of a modulation coding scheme from the table in the modified modulation and coding scheme (MCS), and the outgoing data of the modulation and coding scheme according to the following based on the channel quality.

#### [0012]

Preferably, the above-described adaptive modulation and coding method may have the following characteristics

#### [0013]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

#### [0014]

Preferably, the above described adaptive modulation and coding method may further have the following

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#### characteristics

#### [0015]

Said modified MCS table based on the original MCS table based on the increase of content of a plurality of MCS MCS table, wherein the increase in the content of a plurality of MCS with the new one or more bits to indicate; or

[0016]

The modified MCS MCS table for the original contents of the table has been re-interpreted MCS table.

[0017]

The present invention also provides an adaptive modulation and coding, the method comprising

[0018]

Receiving a reference signal sent from the terminal;

[0019]

Selected according to the modified reference signal from a modulation and coding scheme (MCS) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

[0020]

Preferably, the above-described adaptive modulation and coding method may have the following characteristics

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#### [0021]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme.

#### [0022]

Preferably, the above-described adaptive modulation and coding method may further have the following characteristics

#### [0023]

Said modified MCS table based on the original MCS table based on the increase of content of a plurality of MCS MCS table, wherein the increase in the content of a plurality of MCS with the new one or more bits to indicate; or

#### [0024]

The modified MCS MCS table for the original contents of the table has been re-interpreted MCS table.

#### [0025]

The present invention also provides an adaptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising

#### [0026]

T ransmitting module for transmitting a pilot signal to the terminal;

[0027]

A receiving module for receiving the terminal after the channel quality indication of the feedback channel based on said measured pilot signals;

#### [0028]

Select delivery module for selecting a modulation and coding method based on the channel quality indication from the modified modulation and coding scheme (MCS) table, and send the data modulation and coding schemes according to the following

#### [0029]

Preferably, the above-described adaptive modulation and coding apparatus may have the following characteristics

#### [0030]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

#### [0031]

The present invention also provides an adaptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising

#### [0032]

A receiving module for receiving a reference signal sent from the terminal;

[0033]

Selection of the feedback module, for selecting a reference signal based on the modulation and coding scheme after modification (MCS) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

#### [0034]

Preferably, the above-described adaptive modulation and coding apparatus may have the following characteristics

#### [0035]

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme.

#### [0036]

Said adaptive modulation and coding method and apparatus, the highest possible on the basis of existing support 64Q A M modulation scheme, the highest support 256Q A M modulation; and can also support higher- order modulation; thereby increasing the data transfer rate.

[0037]

Brief D escription

#### [0038]

Figure 1 is a schematic view of a downlink data transmission embodiment of the present invention is one;

[0039]

Figure 2 is a schematic view of a downlink data transmission embodiment of the present invention II;

#### [0040]

Figure 3 is a schematic diagram of the present invention, a downlink data transmission in the third example;

#### [0041]

Figure 4 is a schematic diagram of the four downlink data transmission embodiment of the present invention;

#### [0042]

Figure 5 is a schematic diagram of the present invention, the uplink data transmission in Embodiment 1;

#### [0043]

Figure 6 is a schematic view of the uplink data transmission embodiment of the present invention II;

#### [0044]

Figure 7 is a schematic diagram of three of the uplink data transmission embodiment of the present invention;

#### [0045]

Figure 8 is a schematic diagram of four uplink data transmission embodiment of the present invention;

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#### [0046]

Figure 9 is a schematic structural view of the present invention is an adaptive modulation and coding apparatus of the embodiment;

[0047]

Figure 10 is a structural schematic view of the second adaptive modulation and coding apparatus according to the present invention.

[0048]

Specific embodiments

[0049]

Below in connection with the accompanying drawings and specific embodiments of the present invention will be further described in detail

[0050]

The present invention provides an adaptive modulation and coding methods in the downlink transmission direction, said method comprising

[0051]

Step a, the base station transmits a pilot signal to the terminal;

[0052]

Step b, the terminal performs channel measurement according to a pilot signal, the feedback channel quality indication;

#### [0053]

Step c, base station receive channel quality indication sent by the terminal, in the modified MCS (modulation and coding scheme, Modulation and Coding Scheme) of the table to select the appropriate modulation and coding schemes, and issued data

[0054]

Further, for step c, the MCS on the basis of the existing system, increasing the number of bits used to support 256Q AM modulation.

[0055]

Specifically, the MCS may be added in Table 1, Table 2, in any one or several, in which each row represents a MCS: MCS Index modulation coding scheme index, modulation order of the modulation scheme.

[0056]

Table 1 New MCS table

[0058]

Specifically, the new MCS also can have the following forms

[0059]

Table 2 N ew MCS table

#### [0061]

Further, for the step c, the number of bits to maintain the existing system MCS index unchanged reinterpretation of the MCS to support 256Q A M modulation.

[0062]

Specifically, the content can be reinterpreted in Table 3 and Table 4, the contents of which increases with the MCS index and TBS Index, the modulation order is gradually increasing, and the modulation order up to 256Q AM (as shown in Table 3, Table 4 The bold part), where TBS Index for the transport block size index.

[0063]

Table 3 reinterpretation of MCS table

[0066]

Specifically, the reinterpretation of the MC S table also can have the following forms

[0067]

Table 4 reinterpretation of MCS table

[0070]

The present invention also provides a method for adaptive modulation and coding on the uplink transmission direction, the method described above package:

[0071]

Step e, the terminal transmits a reference signal to the base station;

[0072]

Step f, the base station based on the reference signal transmitted by the terminal, select the appropriate modulation and coding schemes MCS from the modified table, back to the terminal;

[0073]

Step g the terminal station based on the feedback of the modulation and coding scheme, the transmission data to the base station.

[0074]

Further, for the step f, the MCS on the basis of the existing system, increasing the number of bits used to support 256Q AM modulation.

[0075]

Specifically, the MCS may be added in Table 5, Table 6, any one or more, where each row represents a MCS, Redundancy Version of the redundancy version:

[0076]

Table 5 new MCS table

#### [0079]

Specifically, the new MCS also can have the following forms

[0080]

Table 6 new MCS table

#### [0082]

Further, for the step f, the number of bits to maintain an existing system modulation and coding scheme index is the same, re-interpretation of the MCS to support 256Q A M modulation.

#### [0083]

Specifically, the content can be re-explained in Table 7, Table 8, the content of which increases as the MCS index and TBS Index, and gradually increasing the modulation order, and the modulation order of up to 256QAM (as shown in Table 7, Table 8 The bold part):

#### [0084]

Specifically, the reinterpretation of the MCS table also can have the following forms

#### [0085]

Table 7 reinterpretation of MCS table

#### [0088]

Specifically, the reinterpretation of the MCS table also can have the following forms

#### [0089]

Table 8 reinterpretation of MCS table

#### [0092]

The embodiment provides an adaptive modulation and coding method of the present invention, the method comprising

#### [0093]

The downstream direction, the base station transmits to the terminal of the pilot signal; base station receives the terminal after the channel measurement feedback channel quality indication based on the pilot signal; the base station indicating a selected modulation code from the modified modulation and coding scheme (MCS) table based on the channel quality mode, and send the data according to the above described modulation and coding schemes

#### [0094]

The uplink direction, the base station receives a reference signal sent by the terminal, the base station selects based on the modified reference signal from the modulation and coding scheme (MCS) table, modulation and coding schemes, and feedback to the terminal, the terminal sends to the base station based on the modulation and coding schemes data.

[0095]

Several embodiments will now be specifically described by using the method of the present invention is how to determine the adaptive modulation and coding scheme, and then complete the data coding and the transmission.

[0096]

Example one

[0097]

Figure 1 is a schematic diagram of a downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from T able 1 select the appropriate modulation and coding scheme, issued data.

[0098]

A s shown in Table 1, on the basis of five-bit MCS indicated by the original system adds a bit indicating that a new increase in the six MCS, MCS is used to indicate that six of the 256QAM modulation scheme, MCS Index 32 in Table 1 the MCS Index 37.

MCS index increase after six bits indicate.

[0099]

W herein the base station based on the channel quality indicator, to select the appropriate modulation and coding scheme, such as choosing the MCS Index equal to 35, meaning the downlink data for the current modulation scheme is 256Q A M.

[0100]

#### Example two

#### [0101]

Figure 2 is a schematic diagram of the second downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from Table 2 select the appropriate modulation and coding scheme, issued data

#### [0102]

A s shown, on the basis of five- bit MCS indicated on the increase in the original system 21 bit for indicating the newly added 8 MCS, which is used to indicate eight MCS 256QAM modulation scheme, MCS Index 32 in Table 2 the MCS Index 39.

MCS index increase after six bits indicate.

[0103]

W herein the base station based on the channel quality indicator, to select the appropriate modulation and coding schemes, such as MC S Index equal to 38 selected, meaning the downlink data for the current modulation scheme is 256Q A M.

[0104]

Example three

[0105]

Figure 3 is a schematic diagram of the three downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from Table 3 select the appropriate modulation and coding scheme, issued data

#### [0106]

Send the data to select the appropriate modulation and coding the base station mode. In this case the base station uses the same bit number of the original system MCS, MCS based on the base station as shown in Table 3 Index reinterpreted MCS content.

#### [0107]

For example, a base station according to this channel quality indication, the selected MCS Index equal to 26, meaning in the original system is the current modulation scheme of downlink data for the 64Q A M, but this time the contents of the base station using Table 3 reinterpreted as a current modulation method of downlink data for the 256Q A M.

[0108]

Example four

[0109]

Figure 4 is a schematic diagram of the four downlink data transmission embodiment of the present invention, in this embodiment, the conductive terminal receives the pilot signal transmitted by the base station, a channel quality feedback to the base station, the base station based on the channel quality indication from T able 4 select the appropriate modulation and coding scheme, issued data

[0110]

Send the data to select the appropriate modulation and coding the base station mode: In this case the base station uses the same bit number of the original system MCS, MCS based on the base station as shown in Table 4 Index reinterpreted MCS content.

#### [0111]

For example, a base station according to this channel quality indication, the selected MCS Index equal to 23, meaning in the original system is the current modulation scheme of downlink data for the 64Q A M, but this time the contents of the base station using T able 4 is reinterpreted as a current modulation method of downlink data for the 256Q A M.

[0112]

Example five

#### [0113]

Figure 5 is a schematic diagram of one embodiment of the uplink data transmission of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from T able 5, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

#### [0114]

As shown in Table 5, based on the MCS indicated by the bit 5 of the original system adds an additional bit is used to indicate level 5 MCS, this level of 5 MCS 256Q AM for indicating an adjustment method, such as a table of MCS 5 to Index 32 MCS Index 36.

MCS index increase after six bits indicate.

#### [0115]

W herein the base station receives a reference signal and select the appropriate modulation and coding scheme is fed back to the terminal, e.g., base station back to the MCS Index is equal to the terminal 33, which means the current modulation scheme of the downlink data 256Q A M.

 $T\,erminal$  according to this MC S index to select the appropriate modulation and coding scheme, and sends the data

[0116]

Sixth Embodiment

[0117]

Figure 6 is a schematic diagram of the second uplink data transmission embodiment of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from T able 6, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

[0118]

A s shown in Table 6, on the basis of five-bit MCS indicated by the original system adds a bit indicating that a new increase in the seven MCS, this level of 5 MCS 256QAM for indicating an adjustment method, such as a table of MCS 6 Index 32 the MCS Index 38.

MCS index increase after six bits indicate.

[0119]

W herein the base station receives a reference signal and select the appropriate modulation and coding scheme is fed back to the terminal, e.g., base station back to the MCS Index is equal to the terminal 36, which means the current modulation scheme of the downlink data 256Q AM.

T erminal according to this MCS index to select the appropriate modulation and coding scheme, and sends the data

[0120]

Seventh Embodiment

[0121]

Figure 7 is a schematic diagram of the three uplink data transmission embodiment of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from Table 7, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

[0122]

The base station selects an appropriate modulation and coding scheme is the feedback to the terminal: The base station uses the same number of bits with the original system MCS, the base station based on MCS 7 shown in T able Index reinterpretation MCS content.

[0123]

Such as base stations selected MCS Index is equal to 26, meaning in the original system is the current downlink data modulation scheme for 64Q AM, but this time the base station uses the contents of Table 7 reinterpreted as the current downlink data modulation scheme for 256Q AM.

[0124]

Example eight

#### [0125]

Figure 8 is a schematic diagram of the four uplink data transmission embodiment of the present invention, in this embodiment, the base station receives a reference signal sent by the terminal to select an appropriate modulation and coding scheme from Table 8, the feedback to the terminal; a terminal based on the base station feedback modulation and coding scheme, the transmission data to the base station.

#### [0126]

The base station selects an appropriate modulation and coding scheme is the feedback to the terminal, the base station uses the same number of bits with the original system MCS, MCS Index shown in Table 8, based on the base station to re-interpret the contents of MCS.

#### [0127]

Such as base stations selected MCS Index is equal to 27, meaning in the original system is the current downlink data modulation scheme for 64Q AM, but this time the base station uses the contents of Table 8 reinterpreted as the current downlink data modulation scheme for 256Q AM.

#### [0128]

Said adaptive modulation and coding method, on the basis of existing supports up to 64Q A M modulation scheme, the highest support 256Q A M modulation; and, with the development of technology, you can also support higher- order modulation; thereby improving the data transfer rate.

[0129]

9 is a schematic diagram of a structure of an adaptive modulation and coding apparatus according to the present invention, the adaptive modulation and coding apparatus includes a transmission module 11, reception module 12 and the selection module 13 is issued, wherein: the transmission module is used to the terminal sends a pilot signal; receiving module for receiving terminal channel measurement after feedback channel quality indication based on the pilot signal; select delivery module is used to indicate A ccording to the channel quality C hoose from a revised modulation and coding scheme (MCS) table modulation and coding scheme, and the modulation and coding scheme based on the above data is sent.

#### [0130]

W herein said modified MCS table supports 256 quadrature amplitude modulation (QAM) modulation; above the modified MCS MCS table based on the original table adds multiple MCS MCS table of content, which increased more than MCS contents with the new one or more bits to indicate specifically refer to Table 1 to Table 2; or the revised MCS MCS table for the original contents of the table has been re-interpreted the MCS table, specifically to see Table 3 - Table 4.

#### [0131]

The adaptive modulation and coding apparatus may be located within a base station, in the downlink transmission direction can be selected to achieve the 256Q A M modulation scheme, a particular implementation can be found in Figures 1-4, are not mentioned here.

#### [0132]

A s shown in Figure 10 is a schematic structural view of the present invention, an adaptive modulation coding apparatus according to the second embodiment, the adaptive modulation and coding apparatus comprises a receiving module 21 and the selection of the feedback module 22, wherein: a receiving module for receiving a reference signal sent from the terminal; selected based on the feedback module is used to select the reference signal from the modulation and coding scheme after modification (MCS) table, modulation and coding schemes, and feedback to the terminal, so that the terminal transmits data to the adaptive modulation and coding apparatus according to the modulation coding scheme.

[0133]

W herein said modified MCS table supports 256 quadrature amplitude modulation (QAM) modulation; above the modified MCS MCS table based on the original table adds multiple MCS MCS table of content, which increased more than MCS contents with the new one or more bits to indicate, specifically Table 5- Table 6; or the revised MCS MCS table for the original contents of the table has been re-interpreted the MCS table, specifically to see Table 7- Table 8.

#### [0134]

The adaptive modulation and coding apparatus may be located within the base station on the uplink transmission direction can be selected to achieve the 256Q A M modulation scheme, a particular implementation can be found in Figures 5 to 8 are not described here.

#### [0135]

This will be understood by those of ordinary skill in the above described method may be all or part of the steps by a program instructing related hardware, the above described program may be stored in a computer-readable storage medium, such as read only memory, a magnetic disk or optical disk.

0 ptionally, all or part of the steps of the above embodiments may also be implemented using one or more integrated circuits

A ccordingly, the above-described embodiment, each module / unit may be realized in the form of hardware, the software can also be used in the form of functional modules

The present invention is not limited to any particular form of the combination of hardware and software.

#### [0136]

The above embodiments are merely provided for describing the technical solutions of the present invention, not limitation, with reference to only a preferred embodiment of the present invention has been described in detail.

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O f ordinary skill in the art will appreciate, the technical solutions of the present invention can be modified or replaced with equivalents without departing from the spirit and scope of the technical solutions of the present invention shall be covered by the scope of the claims of the present invention, among the requirements



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[0001]

An adaptive modulation and coding the method comprising

Transmits a pilot signal to the terminal;

Receiving terminal according to the channel quality of the pilot signal for channel measurement after the feedback indication;

Indicating selection of a modulation coding scheme from the table in the modified modulation and coding scheme (MCS), and the outgoing data of the modulation and coding scheme according to the following based on the channel quality.

#### [0002]

The adaptive modulation and coding method as claimed in claim 1, characterized in that

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme

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[0003]

The adaptive modulation and coding method according to claim 1 or claim 2, characterized in that

Said modified MCS table based on the original MCS table based on the increase of content of a plurality of MCS MCS table, wherein the increase in the content of a plurality of MCS with the new one or more bits to indicate; or

The modified MCS MCS table for the original contents of the table has been re-interpreted MCS table.

#### [0004]

An adaptive modulation and coding, the method comprising

Receiving a reference signal sent from the terminal;

Selected according to the modified reference signal from a modulation and coding scheme (MCS) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

#### [0005]

The adaptive modulation and coding method as claimed in claim 4, characterized in that

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme.

#### [0006]

The adaptive modulation and coding method according to claim 4 or claim 5, wherein:

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Said modified MCS table based on the original MCS table based on the increase of content of a plurality of MCS MCS table, wherein the increase in the content of a plurality of MCS with the new one or more bits to indicate; or

The modified MCS MCS table for the original contents of the table has been re-interpreted MCS table.

#### [0007]

A daptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising

Transmitting module for transmitting a pilot signal to the terminal;

A receiving module for receiving the terminal after the channel quality indication of the feedback channel based on said measured pilot signals;

Select delivery module for selecting a modulation and coding method based on the channel quality indication from the modified modulation and coding scheme (MCS) table, and send the data modulation and coding schemes according to the following

#### [0008]

The adaptive modulation and coding apparatus according to claim 7, characterized in that

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme.

#### [0009]

A daptive modulation and coding apparatus of the adaptive modulation and coding apparatus comprising

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A receiving module for receiving a reference signal sent from the terminal;

Selection of the feedback module, for selecting a reference signal based on the modulation and coding scheme after modification (MCS) table, modulation and coding schemes, and the feedback to the terminal, so that the terminal transmits data to the base station in accordance with the modulation and coding scheme.

[0010]

The adaptive modulation and coding apparatus of claim 9, wherein:

MCS table supports 256 of the modified quadrature amplitude modulation (QAM) modulation scheme.



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[Continued on next page]



(57) Abstract: It is described a method for controlling a modulation and coding scheme for a transmis- sion between a base station (101) and a user equipment (102), wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order. The method comprises selecting, by the base station (101), the first modulation and coding scheme table or the second modulation and coding scheme table, and controlling, by the base station (101), the modulation and coding scheme for the transmission between the base station (101) and the user equipment (102) based on the selected modulation and coding scheme table.

(54) Title: CONTROLLING A MODULATION AND CODING SCHEME FOR A TRANSMISSION BETWEEN A BASE STA-

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DESCRIPTION

<u>Title</u>

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Controlling a modulation and coding scheme for a transmission between a base station and a user equipment

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#### 10 Field of invention

The present invention relates to the field of cellular networks, especially to an evolution of LTE networks, and in particular to networks comprising LTE networks and evolved LTE networks.

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#### Art Background

There have been further developments for LTE, for instance relating to a Beyond 4G (B4G) radio system which is assumed to be commercially available in 2020. It might however also be introduced in an evolution of LTE at any date within any new release.

LTE provides a peak bit rate of 30 bps/Hz by using 64QAM modulation and 8x8 MIMO transmission. As a result, B4G may require a higher order modulation, for instance

- 25 256QAM, than 64QAM in order to meet future requirements. Higher order modulations may be relevant for example in relay backhaul due to better channel quality and better radio frequency (RF) properties which are more easily feasible for relays than for user equipments (UEs) or for isolated indoor cells where the UEs are close by and therefore both having a good link to the access point and no or very little interference from other
- 30 access points due to attenuation by the walls.

The modulation order determination of LTE Release 10 is described in TS 36.213 V10.3, chapter 7.1.7 and CQI definition in chapter 7.2.3. In LTE (and LTE-Advanced), theoretical spectral efficiency is restricted by 64QAM modulation. An improved spectral efficiency

35 may be gained with extension to 256QAM.

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In the LTE standard, there is defined a MCS (modulation and coding scheme) index and modulation table and CQI (channel quality indicator) table. These are used for determining and selecting appropriate modulation and coding schemes. The current tables support up to 64QAM. The problem is how to introduce a 256QAM extension or any other higher or-

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5 der modulation extension for LTE while maintaining backward compatibility and avoiding too much complexity.

There may be a need for an improved and flexible system and method being adapted to allow an extension to a higher order modulation while remaining backward compatible for LTE. In particular it is desirable to maintain signaling formats in particular utilize the same

10 LTE. In particular it is desirable to maintain signaling formats in particular utilize the same number of bits as otherwise different encoding schemes need to be used and potentially so called blind decoding has to be applied.

### 15 Summary of the Invention

This need may be met by the subject matter according to the independent claims. Advantageous embodiments of the present invention are described by the dependent claims.

- 20 According to a first aspect of the invention there is provided a method for controlling a modulation and coding scheme for a transmission between a base station and a user equipment, wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a
- 25 second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order. The method comprises selecting, by the base station, the first modulation and coding scheme table or the second modulation and coding scheme table, and controlling, by the base station, the modulation and coding scheme for the transmission between the base station
- 30 and the user equipment based on the selected modulation and coding scheme table.

This aspect of the invention is based on the idea to extend the modulation and coding scheme table to a higher order modulation while remaining backward compatible. The first table may support for instance up to 64QAM (quadrature amplitude modulation) and the

35 second table may support for instance up to 256QAM, or any other higher order modulation extension. It should be noted that although 256QAM is explicitly mentioned herein, any other higher modulation order than that used for the first table may be used, for in-

stance also 128QAM or in general a higher modulation and coding scheme (MCS) which may be characterized by either modulation order or coding scheme of both.

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The idea of this method is to introduce a higher order modulation while still supporting a
 modulation and coding scheme (MCS) table being introduced for a lower modulation or der.

The term "modulation order" in this context may be determined by the number of the different symbols that can be transmitted using it. In general MCS also considers different code rates and thus indicates the average number of payload bits that can be transmitted per symbol. The first maximum modulation order and the second maximum modulation order may be the same or may be different.

The term "modulation and coding scheme table" may refer to the MCS table being defined 15 in LTE and being used for determining and selecting appropriate modulation and coding schemes. The second table may be an extended MCS table being based on the MCS as defined in LTE but comprising entries corresponding to a higher order modulation. For instance, the backward compatibility may be ensured by having a first table exactly as it is currently defined in the LTE standard.

20

The first and the second table may be different in some respects. For instance, one table may be biased more towards low MCS and the second towards high MCS values. For example, one table may have more MCS values below a certain threshold MCS. Also the density of MCS values at lower MCS may be higher in one table or the center of gravity or average of the MCS values may be lower in one table. In one embodiment, one table is a

25 average of the MCS values may be lower in one table. In one embodiment, one table is a mirror image of the other, for instance being mirrored at the middle MCS.

The term "base station" in this context may denote any kind of physical entity being able to communicate with a user equipment or any other network device by selecting a modula-

- 30 tion and coding scheme from such a MCS table. A base station in this context may be any kind of network device providing the required functionality for the method, it may also be a transceiver node in communication with a centralized entity. The base station may be for example a NodeB or eNB.
- 35 The base station may either inform the UE explicitly about a change of the used MCS table or may inform and select the MCS table implicitly as part of the capability enquiry procedure.

According to an embodiment of the invention, the second maximum modulation order is higher than the first maximum modulation order. In particular, the first maximum modulation order corresponds to 64QAM and the second maximum modulation order corre-

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5 sponds to 256QAM.

It should be noted that also other modulation orders may be used, for instance 128QAM.

Furthermore, a few high MCSs may be included in the first table to be able to quickly react 10 in case the channel gets suddenly better.

According to a further embodiment of the invention, the maximum modulation order may correspond to the highest modulation and coding scheme (MCS). Further, the highest modulation and coding scheme may be the same for both tables.

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According to a further embodiment of the invention, the method further comprises determining, by the base station, actual channel conditions of a radio transmission channel being used for the transmission between the base station and the user equipment, determining, by the base station, a maximum supported modulation order based on the deter-

- 20 mined actual channel conditions, and selecting, by the base station, the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum modulation order.
- 25 If the actual channel conditions do not support the higher order modulation or if the user equipment (UE) is not able to support the higher order modulation, the base station may perform the modulation and coding for the transmission based on the first table. If the actual channel conditions are good enough for the higher order modulation and if the UE supports the higher order modulation, the base station may perform the modulation and modulation, the base station may perform the modulation and supports the higher order modulation.
- 30 coding based on the second table supporting a higher order modulation, for instance up to 256QAM.

According to a further embodiment of the invention, the method further comprises transmitting information to the user equipment being indicative for the selected modulation and

35 coding scheme table.

The base station may provide a signal to the UE comprising information about the selected and used MCS table. The UE may then perform, based on this information, further actions, like CQI reports.

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5 According to a further embodiment of the invention, transmitting information to the user equipment is based on radio resource control signalling.

By using a common signalling, the UE may be easily informed about the selected MCS table. This information may also be included in any information signal comprising information for the UE in view of any other resource control.

According to a further embodiment of the invention, transmitting information to the user equipment is based on implicit signalling.

- 15 This may refer to the case, wherein the UE may receive information from the base station and may determine based on this information the selected MCS table. This may be the case for instance as part of the capability enquiry procedure which also makes the capability available to the eNB. During this kind of set up procedure, where the eNB determines capabilities of the UE, the tables may be switched and the UE may be informed implicitly
- 20 without specific signalling.

According to a further embodiment of the invention, the method further comprises receiving confirmation information from the user equipment being indicative for a performed change of the selected modulation and coding scheme table.

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The base station may carry out the change from one table to the selected MCS table after receiving the confirmation signal from the UE. The confirmation signal may thus be indicative for a final change of the MCS tables to be carried out by the base station.

- 30 According to a further embodiment of the invention, the first modulation and coding scheme table and the second modulation and coding scheme table each comprise a common subset of equal entries being arranged at same positions within the first modulation and coding scheme table and the second modulation and coding scheme table. In particular, the method further comprises after transmitting the information to the user
- 35 equipment being indicative for the selected modulation and coding scheme table and before receiving the confirmation information from the user equipment, controlling the modulation and coding scheme for the transmission between the base station and the user

equipment based on the selected modulation and coding scheme table based on the common subset of entries.

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By using common entries in both MCS tables, the base station may use the common entries as long as there is no confirmation signal from the UE. This may provide the advantage that there is no misunderstanding and wrong modulation and coding as both parts (base station and UE) are using the same modulation and coding scheme (although they may possibly use different tables).

10 According to a further embodiment of the invention, controlling an initial transmission between the base station and the user equipment is based on the first modulation and coding scheme table.

The base station and the UE may use the MCS table having the lower maximum modulation order at the start of each communication. This may provide the advantage that each communication starts with the same table and afterwards the base station may decide whether to change the MCS table or not. The change may then be performed based on the actual channel conditions if the UE can support the MCS table supporting the higher order modulation.

20

According to a further embodiment of the invention, the bits of carrying a modulation and coding scheme index are the same for the first modulation and coding scheme table and for the second modulation and coding scheme table.

- 25 Thus, it may be ensured that there is a backward compatibility without having to amend the MCS tables in their existing form nor the coding and transmission mechanisms that are employed to convey the selection out of that table. In a more specific embodiment, the tables may have the same size. In particular, parts of the first MCS table and the second MCS table are equal, providing common entries as explained above. Entries of the first
- 30 MCS table relating to very low modulation orders may be exchanged (redefined) for the second MCS table and may comprise the higher order modulations.

According to a further embodiment of the invention, the actual channel conditions are determined based on a channel quality indicator being selectable based on a first channel

35 quality indicator table supporting the first maximum modulation order or based on a second channel quality indicator table supporting the second maximum modulation order, the method comprising receiving, by the base station, a channel quality indicator from the user equipment, and determining, by the base station, the actual channel conditions of the radio transmission channel being used for the transmission between the base station and the user equipment based on the received channel quality indicator.

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- 5 Like the MCS tables, also the CQI tables may be selected based on the selection of the MCS tables. If there is a switch or change from the first MCS table to the second MCS table, there may also be change from the first CQI table to the second CQI table. The UE may thus determine the CQI based on a table which corresponds to the selected MCS table.
- 10

According to a further embodiment of the invention, the method further comprises selecting, by the base station, the first channel quality indicator table or the second channel quality indicator table based on the selected modulation and coding scheme table, and transmitting information to the user equipment being indicative for the selected channel quality indicator table

15 quality indicator table.

The information of the selected CQI table may be provided to the UE from the base station. The information may also be provided implicitly by informing the user equipment of the selected MCS table.

20

According to a further embodiment of the invention, the first channel quality indicator table and the second channel quality indicator table each comprise a common subset of equal entries being arranged at same positions within the first channel quality indicator table and the second channel quality indicator table.

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Like the MCS tables, also the CQI tables may comprise a common subset. Thus, it may be ensured that, during switching, there are no misunderstandings between the UE and the base station.

- 30 According to a second aspect of the invention, there is provided a base station for controlling a modulation and coding scheme for a transmission between the base station and a user equipment, wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a
- 35 second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order. The base station comprises a selection unit being adapted to select the first modulation and

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coding scheme table or the second modulation and coding scheme table, and a control unit being adapted to control the modulation and coding scheme for the transmission between the base station and the user equipment based on the selected modulation and coding scheme table.

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The base station may be any type of access point or point of attachment, which is capable of providing a wireless access to a cellular network system. Thereby, the wireless access may be provided for a user equipment or for any other network element, which is capable of communicating in a wireless manner. The base station may be a NodeB, eNB, home NodeB or HeNB, or any other kind of access point or also a multihop node or relay. The base station may in particular be used for a B4G, LTE or 3GPP cell and communication.

The base station may comprise a receiving unit, for example a receiver as known by a skilled person. The base station may also comprise a transmitting or sending unit, for example a transmitter. The receiver and the transmitter may be implemented as one single unit, for example as a transceiver. The transceiver or the receiving unit and the sending unit may be adapted to communicate with the user equipment via an antenna.

The base station further comprises a selection unit and a control unit. The selection unit and the control unit may be implemented as single units or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

In one embodiment, the base station may further comprise a determination unit being adapted to determine actual channel conditions of a radio transmission channel being

- 25 used for the transmission between the base station and the user equipment, and being adapted to determine a maximum supported modulation order based on the determined actual channel conditions. The selection unit may be adapted to select the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modula-
- 30 tion order and the second maximum modulation order.

The determination unit may be implemented as a single unit or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

35 The user equipment (UE) may be any type of communication end device, which is capable of connecting with the described base station. The UE may be in particular a cellular mo-

bile phone, a Personal Digital Assistant (PDA), a notebook computer, a printer and/or any other movable communication device.

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The user equipment may comprise a receiving unit or receiver which is adapted for receiv-5 ing signals from the base station. The user equipment may comprise a transmitting unit for transmitting signals. The transmitting unit may be a transmitter as known by a skilled person. The receiver and the transmitting unit may be implemented as one single unit, for example as a transceiver. The transceiver or the receiver and the transmitting unit may be adapted to communicate with the base station via an antenna.

10

The user equipment may further comprise a control unit for controlling and configuring the transmission based on information received from the base station being indicative for a selected MCS table. The control unit may be implemented as a single unit or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

15

According to a third aspect of the invention, there is provided a cellular network system. The cellular network system comprises a base station as described above.

Generally herein, the method and embodiments of the method according to the first aspect may include performing one or more functions described with regard to the second or third aspect or an embodiment thereof. Vice versa, the base station or cellular network system and embodiments thereof according to the second and third aspect may include units or devices for performing one or more functions described with regard to the first aspect or an embodiment thereof.

25

According to a fourth aspect of the herein disclosed subject-matter, a computer program for controlling a modulation and coding scheme for a transmission between a base station and a user equipment is provided, the computer program being adapted for, when executed by a data processor assembly, controlling the method as set forth in the first

30 aspect or an embodiment thereof.

As used herein, reference to a computer program is intended to be equivalent to a reference to a program element and/or a computer readable medium containing instructions for controlling a computer system to coordinate the performance of the above described me-

35 thod.

The computer program may be implemented as computer readable instruction code by use of any suitable programming language, such as, for example, JAVA, C++, and may be stored on a computer-readable medium (removable disk, volatile or non-volatile memory, embedded memory/processor, etc.). The instruction code is operable to program a com-

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5 puter or any other programmable device to carry out the intended functions. The computer program may be available from a network, such as the World Wide Web, from which it may be downloaded.

The herein disclosed subject matter may be realized by means of a computer program respectively software. However, the herein disclosed subject matter may also be realized by means of one or more specific electronic circuits respectively hardware. Furthermore, the herein disclosed subject matter may also be realized in a hybrid form, i.e. in a combination of software modules and hardware modules.

- 15 In the above there have been described and in the following there will be described exemplary embodiments of the subject matter disclosed herein with reference to a cellular network system, a base station and a method of controlling a modulation and coding scheme for a transmission between a base station and a user equipment. It has to be pointed out that of course any combination of features relating to different aspects of the herein dis-
- 20 closed subject matter is also possible. In particular, some embodiments have been described with reference to apparatus type embodiments whereas other embodiments have been described with reference to method type embodiments. However, a person skilled in the art will gather from the above and the following description that, unless otherwise notified, in addition to any combination of features belonging to one aspect also any combina-
- 25 tion between features relating to different aspects or embodiments, for example even between features of the apparatus type embodiments and features of the method type embodiments is considered to be disclosed with this application.

The aspects and embodiments defined above and further aspects and embodiments of the present invention are apparent from the examples to be described hereinafter and are explained with reference to the drawings, but to which the invention is not limited.

#### Brief Description of the Drawing

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Figure 1 shows a cellular network system according to an exemplary embodiment of the present invention.

Figure 2 shows a simulation of spectral efficiency for 64QAM and 256QAM.

Figure 3 shows a simulation of spectral efficiency for 4x4 MIMO and 2x2 MIMO, each for 5 64QAM and 256QAM.

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Figure 4 shows a base station and a user equipment within a cellular network system according to an exemplary embodiment of the invention.

10 It is noted that in different figures, similar or identical elements are provided with the same reference signs.

#### Detailed Description

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In the following, embodiments of the herein disclosed subject matter are illustrated with reference to the drawings and reference to aspects of current standards, such as LTE, and their further developments. However, such reference to current standards is only exemplary and should not be considered as limiting the scope of the claims.

20

Figure 1 shows a cellular network system 100. A user equipment 102 is served by a first cell 103 of the cellular network system. The first cell is assigned to a base station 101.

The transmission and communication between the base station and the user equipment is controlled based on a modulation and coding scheme. The modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a

30 second maximum modulation order. In one embodiment, the second maximum modulation order is higher (for instance up to 256QAM) than the first maximum modulation order (for instance up to 64QAM).

The base station may determine actual channel conditions of the radio transmission chan-

35 nel being used for the transmission between the base station and the user equipment. Then, the base station may determine a maximum supported modulation order based on the determined actual channel conditions and eventually based on information from the user equipment which modulation order can be supported by the user equipment. The base station then selects the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum

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5 modulation order. Thus, the modulation and coding scheme (MCS) for the transmission between the base station and the user equipment is controlled based on the selected modulation and coding scheme table.

The base station may also select the table based on any other information, for instance 10 based on predefined selection criteria.

In LTE (and LTE-Advanced), theoretical spectral efficiency is restricted by 64QAM modulation. Figure 2 presents the simulated LTE-Advanced throughput with 8x8-MIMO and modulation restricted to 64QAM (reference number 201) (coding rate 8/9) in 1-tap Ray-

- 15 leigh channel with no spatial correlation. Spectral efficiency that would be gained with extension to 256QAM is also plotted to Figure 2 for comparison (reference number 202). It can be seen that extension to 256QAM starts to have effect around 25dB SNR range. In these figures the average SINR is plotted against the throughput. Even if the average is below the area whether 256QAM provides gain, due to fading the channel conditions may
- 20 still be good for some time.

Throughput in LTE is restricted by MCS also in a more practical scenario (for example in case of relay backhaul) where there is high spatial channel correlation that restricts the usage of large ranks. This is illustrated in the Figure 3 where spectral efficiencies for 2x2

- and 4x4 MIMO schemes with adaptive rank and MCS selections in high spatial correlation scenario are plotted as a function of average signal-to-noise ratio. For both 2x2 and 4x4 schemes two curves are presented, in one of which MCS is restricted to 64QAM (2x2: 304, 4x4: 302) and another one with MCS set extension to 256QAM (2x2: 303, 4x4: 301). It can be seen that extension to 256QAM increases the throughput already from around
- 30 10dB SNR range in these scenarios. That means the throughput is already compromised well below the maximum throughput that is possible with 64QAM.

The problem is how to introduce 256QAM for LTE to maintain backward compatibility and avoid too much complexity. 256QAM addition might need to be done to both MCS index and modulation table and CQI table defined in LTE standard.

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In Release 10, a new DCI format 2c was added in order to support close loop MIMO with up to 8 layers. One straightforward solution would be to define new DCI format for 256QAM (and use more than 5 bits for the modulation and coding scheme field in the DCI). This is no desirable solution because it doubles the number of DCI formats resulting

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- 5 in a significant complexity increment. In UMTS there was also an extension form 16 QAM to 64 QAM by adding one extra signalling bit [R1-070635 R1-070570]. The extra bit can either be provided by defining an new DCI format at the expense of worse decoding performance and more blind decodings or at lest more optional DCI sizes. Or the bit is "stolen" from some other signaling, limiting the possibilities there, e.g. in HSDPA the bit is
- 10 stolen from the code allocation table which only supports half as many entries if 64QAM is enabled.

Another possible solution would be to take the existing MCS/CQI index table as a basis and change the usage of it so that only a subset of the current MCS values would be

15 used, e.g. drop every third to make room for the additional 256QAM values. This causes a coarser adaptation of the channel conditions and is therefore undesirable. In the following, this method is called sub-sampling.

The idea of the herein described method is to define a new procedure which allows to use 256QAM in good channel conditions using the existing DCI formats. For this purpose, additional new MCS and CQI index tables with extension to 256QAM (Q<sub>m</sub>=8) may be generated. The new tables have the same size as the usual ones. Decision whether original index table or the table with 256QAM extension is used is either determined by the base station (or eNB) and the switching is indicated to the UE with a signalling message or de-

25 cided in implicit way.

In one embodiment, there is a common index area common for both the original table and the table with 256QAM extension where MCS/CQI index, modulation order and TBS index are identical and are also in identical positions in both tables. Only this common MCS in-

30 dex area might be used while switching the tables to avoid ambiguities.

In one embodiment, the MCS/CQI index table with 256QAM extension is formed so that room for the TB (transport block) sizes related to 256QAM is taken from originally low TB sizes. Furthermore, there may be a few common modulation / TB sizes in the common

35 MCS index area from the low range of the modulation set for such situations where extended 256QAM table is in use and channel conditions drop quickly. These indexes can be sub-sampled from the low TBS area. A two step switching procedure may be provided for CQI index table switching in order to make sure the UE is aware of the switching and does not use ambiguous table entries during switching. The MCS table may already be switched to the 256QAM version.

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There could be also a few common modulation / TB sizes in the common MCS index area from the high range of the modulation set for such situations where 256QAM should be used quickly e.g. during initial call setup before an explicit selection takes place or in order to allow quick reaction towards better qualities.

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The herein described second tables for the MCS and CQI index tables may be generated corresponding to 36.213 table 7.1.7-1 but with extension to 256QAM ( $Q_m$ =8). One option is that the original table 7.1.7-1 and table with 256QAM extension are switched by eNB with an RRC-message (alternatively also MAC / or control signalling messages could be

- 15 considered). In this case, algorithm responsible of this switching is eNB vendor specific but will obviously take CQI reports from the UE into account. The UE may be responsible of switching the MCS index table according to the RRC message and sending an acknowledgement to eNB about the received RRC message (the acknowledgement may not be essential, it may help however to avoid backward compatibility issues as a UE not sup-
- 20 porting the switching will not acknowledge the command).

Since it takes about 100-200ms for a RRC message to take effect in the UE (processing delays of higher layers haven't been standardized and depend on how often they have to be retransmitted in case of detection errors) and because (1) RRC messages can get lost

- and (2) there is uncertainty related to the starting time when the new configuration is taken into use by the UE, there may need to be a MCS index area common for both tables, which allows data scheduling also during the time of uncertainty. This may ensure that an MCS from that area is understood correctly no matter whether the switching already took place or not. This common area may be continuous, i.e. has continuous MCS entries to
- 30 allow a fine adaptation during switching as well. MCS index, modulation order and TBS index may be identical in both MCS index tables on this area. For instance, only this common MCS index area can be used during the RRC procedure switching the tables and before eNB has received an acknowledgement from UE that it has received the RRC message switching the MCS index table. The common index area may be used also if
- 35 implicit table switching is used and, in this case, only the common area can be used during the implicit switching procedure.

Additionally, there may be a few common low modulation / TB sizes in the common MCS index area for such situations where extended 256QAM table is in use and channel conditions drop quickly. TB sizes that are required to transmit the switching command may be available in the common MCS index area.

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New TB sizes may be introduced in the MCS / CQI index table with 256QAM extension in order to increase spectral efficiency with 256QAM:

- Room for these new TB sizes can be taken from current low TB sizes (QPSK and possibly low 16QAM)
- The reserved TBS size for QAM may also be in the low modulation common MCS area. This MCS is used for retransmissions with bad channel conditions, in particular if the previous initial transmission was done with a higher MCS, in particular a higher Modulation Order, or with a different number of assigned resources. However, it might not be necessary to also have the reserved entry for 256QAM included.
- There can be also some 256QAM entries in the common area for situations like call setup where 256QAM would be useful to be utilized quickly (with a cost of losing the backwards compatibility and having less entries available in the "ordinary" range). Such a default "compromise" MCS table, where sub-sampling is used to achieve a higher dynamic range can be used as soon as the eNB is aware of the capabilities of the UE. A switch from the legacy table, i.e., with lower maximum modulation order, to that compromise table, i.e., with higher maximum modulation order, could be done implicitly as part of the capability enquiry procedure which also makes the capability available to the eNB. Subsequently, an explicit switching to such a sub-sampled table in case the channel conditions vary so quickly that it is not feasible to do explicit switching.

An example of the MCS index and modulation table with 256QAM extension is shown in
 Table 1. The MCS indexes 12 to 31 refer to the continuous common MCS index area. The
 MCS indexes 0, 5 and 10 refer to a sub-sampled low modulation common MCS index
 area and the MCS indexes 1 to 4, 6 to 9 and 1 refer to the 256QAM extension.

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MCS Index	Modulation Order	TBS Index
I <sub>MCS</sub>	$Q_m$	I <sub>TBS</sub>
0	2	0
1	. 8	26
2	8	27
3	8	28
4	8	29
5	2	5
6	8	30
7	8	31
8	8	32
9	8	33
10	4	9
11	8	reserved
12	4	11
13	4	12
14	4	13
15	4	14
16	4	15
17	6	15
18	6	16
19	6	17
20	6	18
21	6	19
22	6	20
23	6	21
24	6	22
25	6	23
26	6	24
27	6	25
28	6	26
29	2	
30	4	reserved
31	6	

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Table 1: Example for a MCS index table

Similarly to the MCS index table, a new CQI index table with 256QAM extension and with common index area be used. The CQI tables are switched with the same RRC message responsible for MCS index table switching. In another case, the CQI tables may be

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- 5 switched implicitly. The eNB may be responsible of handling possible error situations caused by the unawareness which table the UE is using at an exact time during this RRC procedure. The eNB can for example simply ignore non-common CQI indexes, round them to the closest common index or take a risk and decide to which table they most probably are related to, based on some heuristics. Such error cases can happen because
- 10 contrary to MCS selection, where the eNB initiates the change and can therefore avoid ambiguous entries during switching, for CQI the UE isn't aware of the imminent switching and thus cannot avoid them (unless it avoids them always which is pointless). In order to increase the likelihood that the eNB can pick the right decision in case of ambiguous table entries, the minimum differences in TBS for any MCS index should be maximized. This is
- 15 the case in Table 1, because the entries are arranged in increasing TBS for both the 256 and QAM cases. If the 256 QAM cases were ordered inversely, for MCS index 9 there would be a TBS of 26 (in case of 256QAM) or 8 (in case of QPSK) i.e. a difference of 26-8=18, while in Table 1 the difference is 33-8=25. The higher the difference the less likely the eNB cannot use heuristics (e.g. if the channel really changed by that amount of 25
- 20 steps). For the same reason it may be beneficial to place the reserved 256QAM entry at the highest MCS index, i.e., for MCS index 11 in Table 1. The reserved entry is only relevant for downlink, not for uplink. Therefore, in the uplink table it can be easily dropped and replaced by the normal entry for QAM (in Table 1 with TBS 10).
- In order to avoid ambiguous CQI reports during the switching time, a two step switching procedure can be employed: in a first command the eNB announces the switching. From then on the UE only uses CQI reports from the common MCS area. In a second command the eNB commands to perform the switching. From then on the UE fully uses the high MCS table. Despite the fact that there are now two ambiguous periods, there is no risk for
- 30 misinterpretations: During both ambiguous periods the UE uses either a well defined MCS table (the original one during the first ambiguous period and the final one during the second one) or only MCSs from the common MCS area and in this common area there is no risk of misunderstanding. This is due to the fact that the entries from the common MCS area may be coded identically in both tables. This will make the order of the entries in the
- 35 high MCS area non-consecutive but this is a minor complexity and can be solved e.g. by implementing a lookup-table.

The message flow according to this embodiment can then be like this:

1) From eNB to UE: RRC message to switch MCS table and restrict CQI reporting to the common index area. The eNB only uses common index area for MCS

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- From UE to eNB: confirmation (and implicitly message), the eNB can now use the complete index area of the new MCS table, the eNB knows that the UE will use new CQI table (initially only the common index area).
  - From eNB to UE: confirmation, the UE can now use the full index area of the new CQI table.

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Despite there are actually two handshakes, it might not take 4 messages but only 2 because the middle message may have a double meaning on both CQI and MCS tables.

Another approach to avoid ambiguous CQI reports is to allow the UE to initiate the switching of tables for CQI and the eNB to initiate switching for MCS. Then always the originator of a message switches to corresponding tables and can thus restrict usage to the common index area during the ambiguous period.

A new UE category is needed with 256QAM included in order to indicate UE's capability to support 256QAM. In case the UE does not support 256QAM, the above mentioned process and MCS/CQI index tables with 256QAM extensions are not used. Alternatively the eNB can transmit the switching command, and determine form the response, whether the UE supports 256QAM. In the initial access phase, 256QAM and thus the MCS table of the higher modulation order should not be used since the eNB does not yet know the UEs

25 capabilities.

The process presented above can be used for extensions to even higher MCSs. Also the process can be extended to cover more than two tables to switch between. Common MCSs that are represented in two or more tables should always be at identical positions.

- 30 There can be differences in the size and forming of the common index areas between the tables, for example it can be possible to have different level sub-samplings in different tables. For example, there may be 3 tables, low, mid and high. Then the mid table can include every second MCS entry in the low modulation area, while the high table only uses every 4th entry there (similar to Table 1), and these entries are selected from the ones
- 35 which are also present in the mid table. This is possible because 2 is a divider of 4, therefore the sub sampling of the high table should not select every 3<sup>rd</sup> or 5<sup>th</sup> entry which would

not be compatible. This may also be used for Table 1 that covers a broader range of CQI values.

There are numerous advantages in the proposed solution. The existing DCI format design is unchanged. This allows to support 256 QAM for each DL DCI formats while maintaining the existing DCI blind decoding burden at the UE. The proposed scheme provides easy means for the eNB to avoid complicated error cases due to signaling errors. The proposed design allows to keep the basic functionality of the existing DL resource allocation (CQI/MCS index table) unchanged. Hence, it has only minimal impact to the DL scheduler

10 operation.

Figure 4 shows a cellular network system 400 according to an exemplary embodiment of the invention. The cellular network system comprises a base station 101 and a user equipment 102 being served by the base station.

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In the following, the base station is described with a determination unit. However, it should be noted that the determination unit is optional.

The base station comprises a determination unit 402 being adapted to determine actual channel conditions of a radio transmission channel being used for the transmission between the base station 101 and the user equipment 102, and being adapted to determine a maximum supported modulation order based on the determined actual channel conditions. The base station further comprises a selection unit 403 being adapted to select the first modulation and coding scheme table or the second modulation and coding scheme

25 table based on any predefined criterion or on a comparison of the maximum supported modulation order with the first maximum modulation order and the second maximum modulation order. Moreover, the base station comprises a control unit 404 being adapted to control the modulation and coding scheme for the transmission between the base station and the user equipment based on the selected modulation and coding scheme table.

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The base station may be any type of access point or point of attachment, which is capable of providing a wireless access to a cellular network system. Thereby, the wireless access may be provided for the user equipment, or for any other network element, which is capable of communicating in a wireless manner. The base station may be a NodeB, eNB,

35 home NodeB or HeNB, or any other kind of access point.

The base station may comprise a receiving unit, for example a receiver as known by a skilled person. The base station may also comprise a transmitting or sending unit, for example a transmitter. The receiver and the transmitter may be implemented as one single unit, for example as a transceiver 401. The transceiver or the receiving unit and the sending unit may be adapted to communicate with the user equipment via an antenna.

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The determination unit 402, the selection unit 403 and the control unit 404 may be implemented as single units or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

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The user equipment (UE) may be any type of communication end device, which is capable of connecting with the described base station. The UE may be in particular a cellular mobile phone, a Personal Digital Assistant (PDA), a notebook computer, a printer and/or any other movable communication device.

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The user equipment may comprise a receiving unit or receiver which is adapted for receiving signals from the base station. The user equipment may comprise a transmitting unit for transmitting signals. The transmitting unit may be a transmitter as known by a skilled person. The receiver and the transmitting unit may be implemented as one single unit, for

20 example as a transceiver 405. The transceiver or the receiver and the transmitting unit may be adapted to communicate with the base station via an antenna.

The user equipment may further comprise a control unit 406 for controlling and configuring the transmission based on information received from the base station being indicative for

a selected MCS table. The control unit may be implemented as a single unit or may be implemented for example as part of a standard control unit, like a CPU or a microcontroller.

Having regard to the subject matter disclosed herein, it should be mentioned that, al-

- 30 though some embodiments refer to a "base station", "eNB", etc., it should be understood that each of these references is considered to implicitly disclose a respective reference to the general term "network component" or, in still other embodiments, to the term "network access node". Also other terms which relate to specific standards or specific communication techniques are considered to implicitly disclose the respective general term with the
- 35 desired functionality.

It should further be noted that a base station as disclosed herein is not limited to dedicated entities as described in some embodiments. Rather, the herein disclosed subject matter may be implemented in various ways in various locations in the communication network while still providing the desired functionality.

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According to embodiments of the invention, any suitable entity (e.g. components, units and devices) disclosed herein, e.g. the determination unit, are at least in part provided in the form of respective computer programs which enable a processor device to provide the functionality of the respective entities as disclosed herein. According to other embodi-

10 ments, any suitable entity disclosed herein may be provided in hardware. According to other - hybrid - embodiments, some entities may be provided in software while other entities are provided in hardware.

It should be noted that any entity disclosed herein (e.g. components, units and devices) are not limited to a dedicated entity as described in some embodiments. Rather, the herein disclosed subject matter may be implemented in various ways and with various granularities on device level while still providing the desired functionality. Further, it should be noted that according to embodiments a separate entity (e.g. a software module, a hardware module or a hybrid module) may be provided for each of the functions disclosed

- 20 herein. According to other embodiments, an entity (e.g. a software module, a hardware module or a hybrid module (combined software/hardware module)) is configured for providing two or more functions as disclosed herein.
- It should be noted that the term "comprising" does not exclude other elements or steps. It may also be possible in further refinements of the invention to combine features from different embodiments described herein above. It should also be noted that reference signs in the claims should not be construed as limiting the scope of the claims.

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### List of reference signs:

	101	Base station
5	102	User equipment
	103	Cell
	201	8x8 MIMO for 64QAM
	202	8x8 MIMO for 256QAM
10		
	301	4x4 MIMO for 256QAM
	302	4x4 MIMO for 64QAM
	303	2x2 MIMO for 256QAM
	304	2x2 MIMO for 64QAM
15		
	400	Cellular network system
	401	Transceiver of the base station
	402	Determination unit of the base station
	403	Selection unit of the base station
20	404	Control unit of the base station
	405	Transceiver of the user equipment

Cellular network system

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406 Control unit of the user equipment

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#### CLAIMS:

1. A method for controlling a modulation and coding scheme for a transmission between a base station (101) and a user equipment (102), wherein the modulation and cod-

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5 ing scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a second maximum modulation order, , the method comprising

selecting, by the base station (101), the first modulation and coding scheme table or the second modulation and coding scheme table, and

controlling, by the base station (101), the modulation and coding scheme for the transmission between the base station (101) and the user equipment (102) based on the selected modulation and coding scheme table.

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2. The method as set forth in claim 1, wherein the second maximum modulation order is higher than the first maximum modulation order, in particular wherein the first maximum modulation order corresponds to 64QAM and the second modulation order corresponds to 256QAM.

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 The method as set forth in claim 2, the method further comprising determining, by the base station (101), actual channel conditions of a radio transmission channel being used for the transmission between the base station (101) and the user equipment (102).

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determining, by the base station (101), a maximum supported modulation order based on the determined actual channel conditions, and

selecting, by the base station (101), the first modulation and coding scheme table or the second modulation and coding scheme table based on a comparison of the maximum supported modulation order with the first maximum modulation order and the second

30 maximum modulation order.

4. The method as set forth in any one of the preceding claims, the method further comprising

transmitting information to the user equipment (102) being indicative for the selected modulation and coding scheme table. 5. The method as set forth in claim 4, wherein transmitting information to the user equipment (102) is based on radio resource control signalling.

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6. The method as set forth in any one of the claims 4 or 5, wherein transmitting in-5 formation to the user equipment (102) is based on implicit signalling.

7. The method as set forth in any one of the claims 3 to 5, the method further comprising

receiving a confirmation information from the user equipment (102) being indicative 10 for a performed change of the selected modulation and coding scheme table.

8. The method as set forth in claim 7, wherein the first modulation and coding scheme table and the second modulation and coding scheme table each comprise a common subset of equal entries being arranged at same positions within the first modula-

15 tion and coding scheme table and the second modulation and coding scheme table, in particular wherein the method further comprises,

after transmitting the information to the user equipment (102) being indicative for the selected modulation and coding scheme table and before receiving the confirmation information from the user equipment (102), controlling the modulation and coding scheme

20 for the transmission between the base station (101) and the user equipment (102) based on the selected modulation and coding scheme table based on the common subset of entries.

9. The method as set forth in any one of the preceding claims, wherein controlling an 25 initial transmission between the base station (101) and the user equipment (102) is based on the first modulation and coding scheme table.

The method as set forth in any one of the preceding claims, wherein the bits of carrying a modulation and coding scheme index are the same for the first modulation and coding scheme table and for the second modulation and coding scheme table.

11. The method as set forth in any one of the preceding claims, wherein the actual channel conditions are determined based on a channel quality indicator being selectable based on a first channel quality indicator table supporting the first maximum modulation

35 order or based on a second channel quality indicator table supporting the second maximum modulation order, the method comprising

receiving, by the base station (101), a channel quality indicator from the user equipment (102), and

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determining, by the base station (101), the actual channel conditions of the radio transmission channel being used for the transmission between the base station (101) and the user equipment (102) based on the received channel quality indicator.

12. The method as set forth in claim 11, the method further comprising selecting, by the base station (101), the first channel quality indicator table or the second channel quality indicator table based on the selected modulation and coding

#### 10 scheme table, and

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transmitting information to the user equipment (102) being indicative for the selected channel quality indicator table.

13. The method as set forth in any one of the claims 11 or 12, wherein the first channel
 15 quality indicator table and the second channel quality indicator table each comprise a
 common subset of equal entries being arranged at same positions within the first channel
 quality indicator table and the second channel quality indicator table.

14. A base station (101) for controlling a modulation and coding scheme for a transmission between the base station (101) and a user equipment (102), wherein the modulation and coding scheme is selectable based on a first modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding schemes with a first maximum modulation order or based on a second modulation and coding scheme table comprising entries corresponding to a plurality of modulation and coding scheme

with a second maximum modulation order, the base station (101) comprising
 a selection unit (403) being adapted to select the first modulation and coding
 scheme table or the second modulation and coding scheme table, and

a control unit (404) being adapted to control the modulation and coding scheme for the transmission between the base station (101) and the user equipment (102) based on the selected modulation and coding scheme table.

15. A cellular network system (100), the cellular network system (100) comprising a base station (101) as set forth in claim 14.

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## INTERNATIONAL SEARCH REPORT

International application No PCT/EP2012/052828

A. CLASSIFICATION OF SUBJECT MATTER INV. H04L1/00

ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) HO4L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

EPO-Internal, WPI Data, INSPEC, COMPENDEX

C. DOCUME	INTS CONSIDERED TO BE RELEVANT		
Category*	Citation of document, with indication, where appropriate, of the rel	evant passages	Relevant to claim No.
X	EP 1 903 692 A1 (MATSUSHITA ELEC CO LTD [JP]) 26 March 2008 (2008 column 5, paragraph 17 - column paragraph 25 column 7, paragraphs 31,36 column 9, paragraphs 46,47 column 14, paragraph 90 - column paragraph 93; figures 4,8,10,15,	TRIC IND- -03-26) 6, 15, 17	1-10,14, 15
X	EP 1 845 742 A1 (MATSUSHITA ELEC CO LTD [JP]) 17 October 2007 (20 column 4, paragraph 17 - paragra column 7, paragraph 38 - paragra column 8, paragraph 50 - column paragraph 52 column 12, paragraph 81 column 13, paragraph 82 - paragr figures 13,14	TRIC IND 07-10-17) ph 19 ph 42 9, aph 85; -/	1-3,9, 14,15
X Funt	er documents are listed in the continuation of Box C.	X See patent family annex.	
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Date of the a	actual completion of the international search	Date of mailing of the international sea	rch report
2	8 September 2012	05/10/2012	
Name and n	nailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Papantoniou, Anto	nis
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International application No PCT/EP2012/052828

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## IDENTIFYING MODULATION AND CODING SCHEMES AND CHANNEL QUALITY INDICATORS

#### **CROSS REFERENCES**

[0001] The present Application for Patent claims priority to U.S. Patent Application

5 No. 14/140,098 by Chen et al., entitled "Identifying Modulation and Coding Schemes and Channel Quality Indicators," filed December 24, 2013; and U.S. Provisional Patent Application No. 61/750,601 by Chen et al., entitled "Identifying Modulation and Coding Schemes and Channel Quality Indicators," filed January 9, 2013, assigned to the assignee hereof.

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### BACKGROUND

[0002] The following relates generally to wireless communication, and more specifically to systems and methods to indicate a modulation and coding scheme (MCS) and a channel quality indicator (CQI) value for a wireless channel for wireless communication systems that support a higher order MCS. Wireless communications systems are widely deployed to

- 15 provide various types of communication content such as voice, video, packet data, messaging, broadcast, and so on. These systems may be multiple-access systems capable of supporting communication with multiple users by sharing the available system resources (e.g., time, frequency, and power). Examples of such multiple-access systems include codedivision multiple access (CDMA) systems, time-division multiple access (TDMA) systems,
- 20 frequency-division multiple access (FDMA) systems, and orthogonal frequency-division multiple access (OFDMA) systems.

[0003] Generally, a wireless multiple-access communications system may include a number of base stations, each simultaneously supporting communication for multiple mobile devices. Base stations may communicate with mobile devices on downstream and upstream

25 links. Each base station has a coverage range, which may be referred to as the coverage area of the cell. A base station may grant a mobile device resources for upstream transmissions. The grant may be based on CQI data about the downstream channel. The base station and mobile device may access a CQI reporting table and an MCS table to determine the CQI value from the CQI data as well as the MCS to use for a transmission. As wireless

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communication systems increase their support of additional MCSs, existing CQI tables and MCS tables may not include data entries that account for these additional MCSs that are supported by the communication system.

### SUMMARY

- 5 [0004] The described features generally relate to one or more improved systems, methods, and/or apparatuses for selecting between different modulation and coding scheme (MCS) tables to identify an MCS to use for a transmission as well as selecting between different channel quality indicator (CQI) tables to identify a CQI value about a wireless channel. In one example, the selected MCS table may be used to look-up a size of a transport block that
- 10 is being transmitted. The various MCS and CQI tables may support modulation schemes up to at least 256 Quadrature Amplitude Modulation (QAM).

[0005] In one example, a method for wireless communication is described. The use of at least two CQI tables may be supported. One of the at least two CQI tables may be identified. The identified CQI table may be used to generate a CQI value for a wireless channel.

- 15 [0006] In one configuration, a same number of bits may be used to represent the CQI value regardless of which CQI table is identified. The identification of the CQI table may be based at least in part on an identification of channel state information (CSI), from a plurality of CSI identifications. Each of the plurality of CSI identifications may be associated with a set of subframes, where the set of subframes may be determined based at least in part on a semi-
- 20 static configuration or a semi-static indication.

[0007] In one example, a first CQI table may be identified to use for a first channel state information (CSI) process. A second CQI table may be identified to use for a second CSI process. The second CSI process may be different than the first CSI process.

[0008] Identifying one of the at least two CQI tables may include selecting the CQI table to use to generate the CQI value according to a predefined configuration setting. Identifying one of the at least two CQI tables may include dynamically selecting the CQI table to use to generate the CQI value.

[0009] In one example, a determination may be made as to whether the CQI value is to be transmitted via a control channel or a data channel. Upon determining that the CQI value is

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to be transmitted via the control channel, a first CQI table to use may be identified. A first number of bits may be used to represent the CQI value based on the first CQI table. Upon determining that the CQI value is to be transmitted via the data channel, a second CQI table to use may be identified. A second number of bits may be used to represent the CQI value

5 based on the second CQI table. The second CQI table may be different from the first CQI table. In addition, the second number of bits may be greater than the first number of bits.

[0010] In one configuration, a determination may be made as to whether the CQI value is part of a P-CSI report or an aperiodic channel state information (A-CSI) report. Upon determining that the CQI value if part of the P-CSI report, a first CQI table to use may be

10 identified. Upon determining that the CQI value is part of the A-CSI report, a second CQI table to use may be identified. In one example, the second CQI table may be different from the first CQI table.

[0011] In one example, a first CQI value may be generated using a first CQI table. A second CQI value may be generated using a second CQI table. The first CQI value and the second CQI value may be transmitted via a wireless channel in a single subframe.

**[0012]** The at least two CQI tables may include at least one common data entry. A CQI table may include a listing of CQI values. Each CQI value may be mapped to a spectral efficiency value. In one example, at least one of the identified CQI reporting tables may support 256 Quadrature Amplitude Modulation (QAM).

20 [0013] An apparatus for wireless communication is also described. The apparatus may include a processor and a memory in electronic communication with the processor. Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two CQI reporting tables, identify one of the at least two CQI tables, and use the identified CQI table to generate a CQI value for a wireless

channel.

[0014] An apparatus for wireless communication is further described. The apparatus may include means for supporting the use of at least two CQI reporting tables, means for identifying one of the at least two CQI tables, and means for using the identified CQI table to generate a CQI value for a wireless channel.

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[0015] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable medium storing instructions executable by a processor to support the use of at least two channel CQI reporting tables, identify one of the at least two CQI tables, and use the

5 identified CQI table to generate a CQI value for a wireless channel.

[0016] A method for wireless communication is further described. The use of at least two MCS tables is supported. A transmission may be received via a wireless channel. One of the at least two MCS tables may be identified to use for the received transmission. In one example, the identified MCS table may be used to determine an MCS to use for the received transmission.

10 transmission.

[0017] A first transport block size (TBS) table may be mapped from a first MCS table and a second TBS table may be mapped from a second MCS table. The second TBS table may include at least one TBS that is greater than a maximum TBS in the first TBS table. In one example, a TBS table mapped from the identified MCS table may be identified. The

15 identified TBS table may be used to determine a size of the received transmission.

**[0018]** Identifying one of the at least two MCS tables may include determining a type of transmission used to transmit the received transmission via the wireless channel, and identifying one of the at least two MCS tables to use for the received transmission based at least in part on the type of transmission.

- 20 [0019] Identifying one of the at least two MCS tables may also include identifying a type of control channel used to transmit the received transmission, and identifying one of the at least two MCS tables to use for the received transmission based at least in part on the identified type of control channel. In one example, the identified MCS table may be used to determine an MCS to use for an uplink transmission.
- 25 [0020] A first number of bits may be used to represent a first MCS based on a first MCS table. A second number of bits may be used to represent a second MCS based on a second MCS table. The first number of bits may be the same as the second number of bits. The second number of bits may be greater than the first number of bits.

**[0021]** Identifying one of the at least two MCS tables may further include dynamically selecting the MCS table to use for the received transmission, and/or selecting the MCS table to use for the received transmission according to a predefined configuration setting.

[0022] An apparatus for wireless communication is further described. The apparatus may 5 include a processor and a memory in electronic communication with the processor. Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two MCS tables, receive a transmission via a wireless channel, and identify one of the at least two MCS tables to use for the received transmission.

[0023] A further apparatus for wireless communication is described. The apparatus may include means for supporting the use of at least two MCS tables, means for receiving a transmission via a wireless channel, and means for identifying one of the at least two MCS tables to use for the received transmission.

[0024] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable

15 medium storing instructions executable by a processor to support the use of at least two MCS tables, receive a transmission via a wireless channel, and identify one of the at least two MCS tables to use for the received transmission.

[0025] A further method for wireless communication is also described. The use of at least two MCS tables may be supported. One of the at least two MCS tables may be identified.

20 The identified MCS table may be used to identify an MCS for a transmission.

[0026] In one example, a CQI value may be identified. The identified MCS may be based at least in part on the received CQI value. Using the identified MCS table to identify the MCS may include selecting the MCS for a downlink transmission. Using the identified MCS table to identify the MCS may include selecting the MCS for an uplink transmission.

- 25 [0027] In one configuration, the identification of one of the at least two MCS tables is based at least in part on a downlink control information (DCI) format. A first number of bits may be used to represent a first MCS based on a first MCS table. A second number of bits may be used to represent a second MCS based on a second MCS table. The first number of bits may be the same as the second number of bits. The second number of bits may be
- 30 greater than the first number of bits.
[0028] In one example, a single MCS table may be associated with each assignment of a physical downlink shared channel (PDSCH). At least two codewords to be transmitted via a single physical downlink shared channel (PDSCH) may be identified. The identified one of the at least two MCS tables may be used for downlink transmissions of the at least two adappeds

5 codewords.

[0029] In one example, a type of control channel to be used for the transmission may be identified. One of the at least two MCS tables to use for the transmission may be identified based at least in part on the identified type of control channel.

- [0030] A set of candidates for a control channel transmission may be identified. One of the at least two MCS tables to use for the control channel transmission may be identified based at least in part on the identified set of candidates. A first set of candidates may be associated with a common search space, and a second set of candidates may be associated with a user equipment specific search space. At least one candidate associated with both the common search space and the user equipment search space may be identified. One of the at least two
- 15 MCS tables may be identified based at least in part on a predefined rule.

[0031] In one configuration, a type of the transmission to occur on a wireless channel may be identified. One of the at least two MCS tables to use for the transmission may be identified based at least in part on the identified type of transmission.

[0032] Identifying one of the at least two MCS tables may include dynamically selecting
the MCS table to use for the transmission. The dynamic selection of the MCS table may be performed by an information field in downlink control information, where the information field may select one of a plurality of sets of configurations, where each set of configuration may include parameters including an MCS table indicator and at least one of a rate matching parameter and a quasi-co-location indication parameter. Identifying one of the at least two

25 MCS tables may include selecting the MCS table to use for the transmission according to a predefined configuration setting.

[0033] In one example, an MCS table may include a listing of MCSs. Each MCS may be mapped to at least one of a modulation scheme and a TBS. A first TBS table may be mapped from a first MCS table and a second TBS table may be mapped from a second MCS table.

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The second TBS table may include at least one TBS that is greater than a maximum TBS in the first TBS table. At least one of the identified MCS tables may support 256QAM.

**[0034]** An apparatus for wireless communication is also described. The apparatus may include processor and a memory in electronic communication with the processor.

5 Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two MCS tables, identify one of the at least two MCS tables, and use the identified MCS table to identify an MCS for a transmission.

[0035] A further apparatus for wireless communication is described. The apparatus may include means for supporting the use of at least two MCS tables, means for identifying one of

10 the at least two MCS tables, and means for using the identified MCS table to identify an MCS for a transmission.

[0036] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable medium storing instructions executable by a processor to support the use of at least two MCS

15 tables, identify one of the at least two MCS tables, and use the identified MCS table to identify an MCS for a transmission.

[0037] A further method for wireless communication is also described. The use of at least two CQI tables is supported. CQI data may be received for a wireless channel. One of the at least two CQI tables to use to identify a CQI value may be identified based on the CQI data.

- 20 [0038] In one example, a same number of bits may be used to represent the CQI value regardless of which CQI table is identified. Identifying one of the at least two CQI tables may include selecting the CQI table to use to identify the CQI value according to a predefined configuration setting. Identifying one of the at least two CQI tables may include dynamically selecting the CQI table to use to identify the CQI value.
- 25 [0039] An apparatus for wireless communication is further described. The apparatus may include a processor and a memory in electronic communication with the processor. Instructions may be stored in the memory. The instructions may be executable by the processor to support the use of at least two CQI tables, receive CQI data for a wireless channel, and identify one of the at least two CQI tables to use to identify a CQI value based
- 30 on the CQI data.

**[0040]** A further apparatus for wireless communication is described. The apparatus may include means for supporting the use of at least two CQI tables, means for receiving CQI data for a wireless channel, and means for identifying one of the at least two CQI tables to use to identify a COI value based on the CQI data.

- 5 [0041] A computer program product for managing wireless communications is also described. The computer program product may include a non-transitory computer-readable medium storing instructions executable by a processor to support the use of at least two CQI tables, receive CQI data for a wireless channel, and identify one of the at least two CQI tables to use to identify a CQI value based on the CQI data.
- 10 [0042] Further scope of the applicability of the described methods and apparatuses will become apparent from the following detailed description, claims, and drawings. The detailed description and specific examples are given by way of illustration only, since various changes and modifications within the spirit and scope of the description will become apparent to those skilled in the art.

#### 15 BRIEF DESCRIPTION OF THE DRAWINGS

[0043] A further understanding of the nature and advantages of the present invention may be realized by reference to the following drawings. In the appended figures, similar components or features may have the same reference label. Further, various components of the same type may be distinguished by following the reference label by a dash and a second

20 label that distinguishes among the similar components. If only the first reference label is used in the specification, the description is applicable to any one of the similar components having the same first reference label irrespective of the second reference label.

[0044] FIG. 1 shows a block diagram of a wireless communications system;

[0045] FIG. 2 is a block diagram illustrating one example of a UE in accordance with the

25 present systems and methods;

[0046] FIG. 3 shows a block diagram illustrating a further example of the UE;

[0047] FIG. 4A is a block diagram illustrating a further example of the UE for implementing the functionality of the present systems and methods;

[0048] FIG. 4B is an example of two examples of CQI tables in accordance with the present systems and methods;

[0049] FIG. 4C is an example of two examples of MCS tables in accordance with the present systems and methods;

5 [0050] FIG.5 shows a block diagram of an example of a eNB;

[0051] FIG. 6 shows a block diagram of a further example of the eNB;

[0052] FIG. 7 is a block diagram illustrating one example of the eNB for implementing the functionality of the present systems and methods;

[0053] FIG. 8 is a block diagram of a MIMO communication system including an eNB and a mobile device;

[0054] FIG. 9 is a flow chart of a method for managing wireless communications for higher order MCS by supporting additional CQI tables;

[0055] FIG. 10 is a flow chart of a method for selecting different CQI tables based on a medium of transmission;

15 [0056] FIG. 11 is a flow chart of a method for selecting between different CQI tables based on a reporting schedule of the CQI value;

[0057] FIG. 12 is a flow chart of a method for selecting an MCS table to identify an MCS for a received transmission'

[0058] FIG. 13 is a flow chart of a method for selecting an MCS tables to identify an MCSfor a received transmission as well as a size of the transmission;

[0059] FIG. 14 is a flow chart of a method for selecting between different MCS tables to identify an MCS for a transmission;

[0060] FIG. 15 is a flow chart of a method for mapping different MCS tables to transport block size (TBS) tables and selecting an MCS table based on a downlink control information

25 (DCI) format; and

[0061] FIG. 16 is a flow chart of a method to select a CQI table to identify a CQI value that is based on received CQI data.

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#### DETAILED DESCRIPTION

[0062] Wireless communication standards may support various modulation and coding schemes for downlink and uplink transmissions. In one configuration, Quadrature Phase Shift Keying (QPSK), 16QAM, and 64QAM may be examples of schemes supported by a

- 5 wireless communication standard. In one example, five bits may be used to represent an MCS to be used for a transmission. Using a five bit MCS may provide up to 32 possible MCSs to choose from for a transmission. An MCS table based on a five bit MCS may include a list of MCS indices from 0 to 31. Each index may correspond to at least one modulation scheme to be used for a transmission. In addition, each MCS index may be
- 10 mapped to at least one transport block size (TBS) look-up index. The TBS look-up index may indicate a size of a transport block to be transmitted using the corresponding MCS.

[0063] In addition, a channel quality indicator (CQI) table, may be used to identify a CQI value for a wireless channel. A UE may be configured to report on channel quality using a CQI message. In LTE, both periodic and aperiodic (or event triggered) reporting of CQI is

- 15 supported. CQI messages may be transmitted on the physical uplink control channel (PUCCH) on the primary component carrier, and not on a secondary component carrier (SCC). Alternatively or additionally, PUCCH may be transmitted on a secondary component carrier when two or more carriers do not have ideal backhaul as part of multi-flow operation or when there is a need to balance PUCCH overhead on different carriers. The CQI value
- 20 may then be used to select a particular MCS to use for a transmission on the wireless channel. Currently, a CQI value may be represented using a number of bits, such as four bits. A CQI table may include a listing of CQI indices corresponding to the 16 possible CQI values (if four bits are used to represent the CQI value). Each CQI index value may be mapped to additional data that can be used to select an MCS.
- 25 [0064] In one example, a wireless communication standard may support schemes up to at least 256QAM. Additional MCS tables and CQI tables may be used to allow for these additional modulation schemes. Devices in a wireless communication system may select an appropriate CQI and MCS table to use to identify a CQI value of a wireless channel and the appropriate MCS to use for a transmission on that wireless channel.
- 30 [0065] The following description provides examples, and is not limiting of the scope, applicability, or configuration set forth in the claims. Changes may be made in the function

and arrangement of elements discussed without departing from the spirit and scope of the disclosure. Various examples may omit, substitute, or add various procedures or components as appropriate. For instance, the methods described may be performed in an order different from that described, and various steps may be added, omitted, or combined. Also, features

5 described with respect to certain examples may be combined in other examples.

**[0066]** Referring first to **FIG. 1**, a diagram illustrates an example of a wireless communications system 100. The system 100 includes base stations (or cells) 105, communication devices 115, and a core network 130. The base stations 105 may communicate with the communication devices 115 under the control of a base station

- 10 controller, which may be part of the core network 130 or the base stations 105 in various examples. Base stations 105 may communicate control information and/or user data with the core network 130 through backhaul links 132. In some examples, the base stations 105 may communicate, either directly or indirectly, with each other over backhaul links 134, which may be wired or wireless communication links. The system 100 may support operation on
- 15 multiple carriers (waveform signals of different frequencies). According to various examples, the UE operating in a multicarrier system (also referred to as carrier aggregation) is configured to aggregate certain functions of multiple carriers, such as control and feedback functions, on the same carrier, which may be referred to as a "primary carrier." The remaining carriers that depend on the primary carrier for support are referred to as associated
- 20 secondary carriers. For example, the UE may aggregate control functions such as those provided by the optional dedicated channel (DCH), the nonscheduled grants, a physical uplink control channel (PUCCH), and/or a physical downlink control channel (PDCCH). Multi-carrier transmitters may transmit modulated signals simultaneously on the multiple carriers. For example, each communication link 125 may be a multi-carrier signal modulated
- 25 according to various radio technologies. Each modulated signal may be sent on a different carrier and may carry control information (*e.g.*, reference signals, control channels, etc.), overhead information, data, etc.

[0067] The base stations 105 may wirelessly communicate with the devices 115 via one or more base station antennas. Each of the base station 105 sites may provide communication

30 coverage for a respective geographic area 110. In some examples, base stations 105 may be referred to as a base transceiver station, a radio base station, an access point, a radio

transceiver, a basic service set (BSS), an extended service set (ESS), a NodeB, an evolved NodeB (eNodeB or eNB), Home NodeB, a Home eNodeB, or some other suitable terminology. The coverage area 110 for a base station may be divided into sectors making up only a portion of the coverage area. The system 100 may include base stations 105 of

5 different types (*e.g.*, macro, micro, and/or pico base stations). There may be overlapping coverage areas for different technologies.

**[0068]** In some examples, the system 100 may be an LTE/LTE-A network. In LTE/LTE-A networks, the terms evolved Node B (eNB) and user equipment (UE) may be generally used to describe the base stations 105 and devices 115, respectively. The system 100 may be a

- 10 Heterogeneous LTE/LTE-A network in which different types of eNBs provide coverage for various geographical regions. For example, each eNB 105 may provide communication coverage for a macro cell, a pico cell, a femto cell, and/or other types of cell. A macro cell generally covers a relatively large geographic area (*e.g.*, several kilometers in radius) and may allow unrestricted access by UEs with service subscriptions with the network provider.
- 15 A pico cell would generally cover a relatively smaller geographic area and may allow unrestricted access by UEs with service subscriptions with the network provider. A femto cell would also generally cover a relatively small geographic area (*e.g.*, a home) and, in addition to unrestricted access, may also provide restricted access by UEs having an association with the femto cell (*e.g.*, UEs in a closed subscriber group (CSG), UEs for users
- 20 in the home, and the like). An eNB for a macro cell may be referred to as a macro eNB. An eNB for a pico cell may be referred to as a pico eNB. And, an eNB for a femto cell may be referred to as a femto eNB or a home eNB. An eNB may support one or multiple (*e.g.*, two, three, four, and the like) cells. In one example, an eNB 105 selects between various modulation and coding schemes (MCSs) to use for a transmission to a UE 115. The selected
- 25 MCS may be based at least in part on a channel quality indicator (CQI) value reported from the UE 115. The eNB 105 may select between various CQI tables to identify the table corresponding to the CQI value received from the UE 115. The eNB 105 may also select between various MCS tables to identify the table from which an MCS may be identified for a transmission on the downlink and/or a transmission on the uplink. The selected MCS table
- 30 may be based at least in part on the CQI value received from the UE 115.

[0069] The core network 130 may communicate with the eNBs 105 via a backhaul 132 (*e.g.*, S1, etc.). The eNBs 105 may also communicate with one another, *e.g.*, directly or indirectly via backhaul links 134 (*e.g.*, X2, etc.) and/or via backhaul links 132 (*e.g.*, through core network 130). The wireless system 100 may support synchronous or asynchronous

- 5 operation. For synchronous operation, the eNBs may have similar frame timing, and transmissions from different eNBs may be approximately aligned in time. For asynchronous operation, the eNBs may have different frame timing, and transmissions from different eNBs may not be aligned in time. The techniques described herein may be used for either synchronous or asynchronous operations.
- 10 [0070] The UEs 115 may be dispersed throughout the wireless system 100, and each UE may be stationary or mobile. A UE 115 may also be referred to by those skilled in the art as a mobile station, a subscriber station, a mobile unit, a subscriber unit, a wireless unit, a remote unit, a mobile device, a wireless device, a wireless communications device, a remote device, a mobile subscriber station, an access terminal, a mobile terminal, a wireless terminal, a
- 15 remote terminal, a handset, a user agent, a mobile client, a client, or some other suitable terminology. A UE 115 may be a cellular phone, a personal digital assistant (PDA), a wireless modem, a wireless communication device, a handheld device, a tablet computer, a laptop computer, a cordless phone, a wireless local loop (WLL) station, or the like. A UE may be able to communicate with macro eNBs, pico eNBs, femto eNBs, relays, and the like.
- 20 In one configuration, the UE 115 may be able to select between various CQI tables to identify a table to use to generate the CQI value to report to the eNB 105. In addition, the UE 115 may be able to select between various MCS tables to identify the MCS table to use to identify the MCS that is being used for a downlink transmission and/or to identify the MCS that the UE 115 may use for an uplink transmission.
- 25 [0071] The transmission links 125 shown in network 100 may include uplink transmissions from a mobile device 115 to a base station 105, and/or downlink transmissions, from a base station 105 to a mobile device 115. The downlink transmissions may also be called forward link transmissions while the uplink transmissions may also be called reverse link transmissions. While the wireless system 100 is described in relation to LTE/LTE-Advanced
- 30 architectures, those skilled in the art will readily appreciate, the various concepts presented throughout this disclosure may be extended to other types of wireless networks.

**[0072]** FIG. 2 is a block diagram 200 illustrating one example of a UE 115-a, in accordance with the present systems and methods. The UE 115-a may be an example of the UE 115 of FIG. 1. The UE 115-a may include a UE receiver module 205, a UE table selection module 210, and a UE transmitter module 215. Each of these components may be in communication with each other.

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[0073] These components of the UE 115-a may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated

- 10 circuits. In other examples, other types of integrated circuits may be used (e.g., Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.
- 15 [0074] In one configuration, the receiver module 205 may include a cellular receiver and may receive transmissions from an eNB 105. The UE table selection module 210 may control the selection of a table to use to generate and/or identify certain information. The selection of the table may be based on predefined configuration settings of the UE 115-a. In one example, the selection module 210 may dynamically select the table to use based on one
- 20 or more factors. In some cases, the generated and/or identified information resulting from the selected table may be transmitted via the UE transmitter module 215. Details regarding the UE table selection module 210 will be described below.

[0075] FIG. 3 is a block diagram 300 illustrating one example of a UE 115-b in accordance with the present systems and methods. The UE 115-b may be an example of the UE 115

25 illustrated in FIGS. 1 and/or 2. The UE 115-b may include a UE receiver module 205, a UE table selection module 210-a, and a UE transmitter module 215, as previously described. Each of these components may be in communication with each other.

[0076] These components of the UE 115-b may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to

30 perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated

circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a

5 memory, formatted to be executed by one or more general or application-specific processors.

**[0077]** In one example, the UE 115-b may support the use of at least two CQI tables. In one configuration, the UE table selection module 210-a may include a CQI table selection module 305. The CQI table selection module 305 may identify one of the CQI tables supported by the UE 115-b. The identified table may be used to generate a CQI value for a

- 10 wireless channel. A number of bits may be used to represent the CQI value. In one example, the number of bits used to represent the generated CQI value may remain the same regardless of the CQI table that is identified by the CQI table selection module 305. For example, four bits may be used to represent a CQI value. By using four bits, the CQI value may be one of 16 possible index values on a single CQI table. A CQI index value may be a factor that is
- 15 used to identify an MCS to use for a received transmission and/or a transmission to be transmitted. As wireless communication standards increase the types of MCSs that may be used for transmissions, additional CQI tables may be used to allow the CQI value to still be represented by four bits.

[0078] In addition to supporting the use of multiple CQI tables, the UE 115-b may also

- 20 support the use of at least two MCS tables. The UE table selection module 210-a may include an MCS table selection module 310. Transmission received by the UE receiver module 205 may have been modulated and encoded using a particular MCS at the transmitting device (*e.g.*, an eNB 105). Upon receiving a transmission, the MCS table selection module 310 may select one of the at least two MCS tables to use for the received
- 25 transmission. In one example, the identified MCS table may be used to determine the MCS to apply to the received transmission in order to demodulate and decode the transmitted information. In addition, when the UE 115-b has information to be transmitted via an uplink to the eNB 105, the eNB 105 may assign a particular MCS for the UE 115-b to use for the uplink transmission. The MCS table selection module 310 may select a particular MCS table
- 30 and then identify the assigned MCS to use based on the selected MCS table.

[0079] In addition, the identified MCS table may also be used to identify a size of a received transmission. For example, the transmission may include a transport block (*i.e.*, codeword) of particular size. This may be referred to herein as the transport block size (TBS). In one example, a TBS table that indicates the size of the transport block may be

- 5 mapped from a particular MCS table supported by the UE 115-b. Upon identifying the MCS table to use to determine the MCS to use for the received transmission, the MCS table selection module 310 may use the selected MCS table to look-up the TBS table that has been mapped from the selected MCS table. As a result, the UE 115-b may determine the size of the received transport block.
- [0080] FIG. 4A is a block diagram 400 illustrating one example of a UE 115-c in accordance with the present systems and methods. The UE 115-c may be an example of the UE 115 illustrated in FIGS. 1, 2, and/or 3. In one configuration, the UE 115-c may include a UE receiver module 205, a UE table selection module 210-b, a CQI value generation module 425, an MCS identification module 430, and a UE transmitter module 215. Each of these components may be in communication with each other.

[0081] These components of the UE 115-c may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated

- 20 circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.
- 25 [0082] In one configuration, the UE table selection module 210-b may include a CQI table selection module 305-a. The module 305-a may select one CQI table, from a plurality of CQI tables. The selected table may then be used by the CQI value generation module 425 to generate the CQI value for a wireless channel, such as a downlink channel.

[0083] In one example, the UE 115-c may support the use of a legacy CQI table (*e.g.*, a table used for QPSK, 16QAM, and 64 QAM schemes) and a new CQI table used for higher

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order schemes, such as 256QAM. An example of a legacy CQI table used for lower order schemes is shown below as Table 1.

CQI Index	Modulation Scheme	Code Rate x 1024	Efficiency
0	Out of Range		
1	QPSK.	78	0.1523
2	QPSK	120	0.2344
3	QPSK	193	0.3770
4	QPSK	308	0.6016
5	QPSK	449	0.8770
6	QPSK	602	1.1758
7	16QAM	378	1.4766
8	16QAM	490	1.9141
9	16QAM	616	2.4063
10	64QAM	466	2.7305
11	64QAM	567	3.3223
12	64QAM	666	3.9023
13	64QAM	772	4.5234
14	64QAM	873	5.1152
15	64QAM	948	5.5547

 TABLE 1

[0084] The new table may include enhanced spectral efficiencies as compared to the legacy
table. In one example, the new CQI table may include non-uniform spectral efficiency
entries (e.g., fine granularity at high spectral efficiency and coarse granularity at low spectra
efficiency). The different CQI tables may also be associated with different downlink channel

information (DCI) formats used by the eNB 105. For example, the legacy CQI table may be associated with DCI format 1A while the new CQI table may be associated with DCI format 2D. The different types of control information correspond to different DCI message sizes. DCI is therefore categorized into different DCI formats, where a format corresponds to a

5 certain message size. The Physical Downlink Control Channel (PDCCH) is used to carry downlink control information (DCI) which signals allocation of resources to the UE. In one configuration, the legacy and new CQI tables may have one or more common data entries between them. An example of a new CQI table used for higher order schemes is shown below as Table 2.

CQI Index	Modulation Scheme	Code Rate x 1024	Efficiency
0	Out of Range		
1	QPSK	78	0.1523
2	QPSK	193	0.3770
3	QPSK	449	0.8770
4	16QAM	378	1.4766
5	16QAM	490	1.9141
6	16QAM	616	2.4063
7	64QAM	466	2.7305
8	64QAM	567	3.3223
9	64QAM	666	3.9023
10	64QAM	772	4.5234
11	64QAM	873	5.1152
12	64QAM	948	5.5547
13	256QAM	792	6.0313
14	256QAM	873	6.8203

15	256QAM	948	7.4063

TABLE 2

[0085] In one configuration, each CQI value generated and reported by the UE 115-c may be based on a single CQI table. For example, the UE 115-c may use a single CQI table in accordance with Radio Resource Control (RRC) protocols. As previously described, the UE

5 115-c may support more than one CQI table and may determine which table to use based on various factors.

[0086] In one example, the CQI table selection module may include a channel state information (CSI) reporting identification module 405, and a channel identification module 410. In one configuration, the CSI reporting identification module 405 may identify periodic

- 10 channel state information (P-CSI) from a plurality of P-CSI identifications. In one configuration, two P-CSI sets may be identified. A first P-CSI set may be associated with the legacy CQI table while a second P-CSI set may be associated with the new CQI table. As an example, a first P-CSI set may be associated with a restricted CSI measurement subframe set 1 and a second P-CSI set may be associated with a restricted CSI measurement subframe set
- 15 2, where the two restricted measurement subframe sets are configured by higher layers. As another example, in a time-division-duplex (TDD) system, a first P-CSI set may be associated with a set of measurement subframes where the set of subframes are subject to dynamic change of transmission directions (downlink or uplink), and a second P-CSI set may be associated with a set of downlink subframes for measurements where the set of subframes
- 20 are not subject to dynamic change of transmission directions. Similarly, the CSI reporting identification module 405 may identify aperiodic channel state information (A-CSI) from a plurality of A-CSI identifications. In one configuration, two A-CSI sets may be identified. A first A-CSI set may be associated with the legacy CQI table while a second A-CSI set may be associated with the new CQI table.
- 25 [0087] The CSI module 405 may also identify one or more CSI processes and the CQI table selection module 305-a may select a different CQI table to use for each different CSI process. For example, the CSI reporting identification module 405 may identify a first CSI process and a second CSI process. The CQI table selection module 305-a may identify a first CQI table to use for the first CSI process and a second CQI table to use for the second CSI
- 30 process.

[0088] In one configuration, the CSI reporting identification module 405 may also determine whether a CQI value is to be part of a P-CSI report or whether it is to be a part of an aperiodic CSI (A-CSI) report. Based on this determination, the CQI table selection module 305-a may select a first CQI table to use if the CQI value (based from the first CQI

5 table) is to be part of a P-CSI report, or the module 305-a may select a second CQI table to use if the CQI value (based from the second CQI table) is to be part of an A-CSI report.

[0089] In one example, the CQI table selection module 305-a may also include a channel identification module 410. The module 410 may determine the type of channel to be used to transmit a CQI value. For example, the module 410 may determine whether the CQI value is

- 10 to be transmitted via a control channel or a data channel. If the CQI value is to be transmitted via the control channel, the CQI table selection module 305-a may identify a first CQI table to use to generate the CQI value. In one example, the CQI value generated from the first table may be represented by a first number of bits, such as, but not limited to, four bits. If the CQI value is to be transmitted via the data channel, the CQI table selection module 305-a
- 15 may identify a second CQI table to use. The second table may be different from the first table and the CQI value generated from the second CQI table may be represented by a different number of bits than had the CQI value been generated using the first table. In one example, if the first table is used to generate a 4-bit CQI value, the second table may be used to generate a 5-bit CQI value. In one example, the number of bits used to represent the CQI
- 20 value may remain the same, regardless of the CQI table that is selected to use to generate the value.

[0090] In one example, a first set of ranks (e.g., rank 1) may be associated with a first CQI table, and a second set of ranks (e.g., rank 2 and higher) may be associated with a second CQI table.

25 [0091] In one example, a UE can be dynamically indicated which CQI table to be used. As an example, a 1-bit information field can be included in downlink control information (DCI) to indicate which one of two CQI tables to be used for aperiodic CQI reporting.

[0092] In some examples, the UE 115-c may generate and report multiple CQI values using multiple CQI tables in a single subframe. In one example, a first CQI value may be

30 generated by the CQI value generation module 425 using a first CQI table. The module 425 may also generate a second CQI value using a second CQI table that is different from the first

CQI table. The two CQI values may be transmitted to an eNB 105, for example, during a single subframe via the UE transmitter module 215.

[0093] In one configuration, each CQI table may include a listing of CQI index values. Each index value may represent a CQI value. As standards for wireless communication

- 5 support higher orders of MCSs, additional CQI tables may be used that include these higher order schemes. The use of additional CQI tables may allow for CQI values to continue to use the same bit-width to represent the values. Each CQI index value may be mapped to a spectral efficiency value. As mentioned previously, at least one of the CQI tables may include non-uniform spectral efficiency data entries.
- 10 [0094] In one configuration, the UE table selection module 210-b may also include an MCS table selection module 310-a. The module 310-a may identify one MCS table, from a plurality of MCS tables. The identified table may then be used by the MCS identification module 430 to identify the MCS to use for a received transmission or a transmission that is to be transmitted on a wireless channel, such as an uplink channel.
- 15 [0095] In one example, the UE 115-c may support the use of a legacy MCS table (*e.g.*, a table used for QPSK, 16QAM, and 64 QAM schemes) and a new MCS table used for higher order schemes, such as 256QAM. An example of a legacy MCS table used for lower order schemes is shown below as Table 3. Table 3 illustrates a number of MCS indices, a corresponding modulation order, and a corresponding TBS index for each MCS index value.

MCS Index	Modulation Order	TBS Index
0	2	0
1	2	1
2	2	2
3	2	3
4	2	4
5	2	5
6	2	6

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7	2	7
8	2	8
9	2	9
10	4	9
11	4	10
12	4	11
13	4	12
14	4	13
15	4	14
16	4	15
17	6	15
18	6	16
19	6	17
20	6	18
21	6	19
22	6	20
23	6	21
24	6	22
25	6	23
26	6	24
27	6	25
28	6	26

29	2	
30	4	Reserved
31	6	

#### TABLE 3

[0096] The different MCS tables may be associated with different downlink channel information (DCI) formats. For example, the legacy MCS table may be associated with DCI format 1A while the new MCS table may be associated with DCI format 2D. In one

- 5 configuration, the legacy and new MCS tables may have one or more common data entries between them. In one example, the legacy and new MCS tables may have the same number of entries. In another example, the new MCS table may have a larger number of entries than that of the legacy table (*e.g.*, a 6-bit table may be used for the new MCS table and a 5-bit table may be used for the legacy table). An example of a new 5-bit MCS table used for
- 10 higher order schemes is shown below as Table 4. In this example, four entries (MCS Indexes 28-31) are reserved to possibly indicate four different modulation orders for retransmissions.

MCS Index	Modulation Order	TBS Index
0	2	0
1	2	1
2	2	2
3	2	3
4	2	4
5	2	6
6	2	7
7	2	8
8	2	9
9	4	10

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10	4	11
11	4	12
12	4	13
13	4	14
14	4	15
15	6	16
16	6	17
17	6	18
18	6	19
19	6	20
20	6	21
21	6	22
22	6	23
23	6	24
24	8	25
25	8	26
26	8	27
27	8	28
28	2	
29	4	Reserved
30	6	
31	8	

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[0097] Another example of a new 5-bit MCS table used for higher order schemes is shown below as Table 5. In this example, three entries (MCS Indexes 29-31) are reserved to possibly indicate three different modulation orders for retransmissions in order to make it possible to have 29 explicit entries.

MCS Index	Modulation Order	TBS Index
0	2	0
1	2	1
2	2	2
3	2	3
4	2	4
5	2	5
6	2	6
7	2	7
8	2	8
9	2	9
10	4	10
11	4	11
12	4	12
13	4	13
14	4	14
15	4	15
16	6	16
17	6	17

18	6	18
19	6	19
20	6	20
21	6	21
22	6	22
23	6	23
24	6	24
25	8	25
26	8	26
27	8	27
28	8	28
29	4	
30	6	Reserved
31	8	

#### TABLE 5

**[0098]** In one configuration, each MCS identified by the MCS identification module 430 may be based on a single MCS table. For example, the UE 115-c may use a single MCS table in accordance with Radio Resource Control (RRC) protocols. As previously described,

5 the UE 115-c may support more than one MCS table and may determine which table to use based on various factors.

**[0099]** In one example, the MCS table selection module 310-a may include a transmission type identification module 415, and a control channel identification module 420. In one configuration, the transmission type identification module 415 may identify a type of

10 transmission used to transmit information to the UE 115-c from an eNB 105. Examples of transmission types may include broadcast, random access response, unicast, multi-cast, etc. The module 415 may also identify a type of scheduling used to transmit the received

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transmission. For example, the transmission type identification module 415 may identify a semi-persistent scheduling (SPS) of a service and the MCS table selection module 310-a may select a particular MCS table based on this determination. Thus, based on the identified transmission type, the MCS table selection module 310-a may identify an MCS table to use to identify an MCS for a transmission.

[0100] The control channel identification module 420 may identify a type of control channel used to transmit a received transmission. For example, the module 420 may identify a physical downlink control channel (PDCCH) was used and the MCS table selection module 310-a may identify the legacy MCS table to use to identify the MCS. If the module 420

- 10 identifies the control channel as being an enhanced PDCCH (ePDCCH), the MCS table selection module 310-a may select the new MCS table to use. In addition to the type of channel used for the transmission, the MCS table selection module 310-a may also identify the MCS table to use based on an index of a received subframe or a subframe type (*e.g.*, whether it is is a multimedia broadcast single-frequency network (MBSFN) subframe or not).
- 15 [0101] The MCS identification module 430 may identify the MCS to use for the transmission based on the selected table. The module 430 may also identify the TBS of the transmission. In one example, a first TBS table may be mapped from a first MCS table. In addition, a second TBS table may be mapped from a second MCS table. In one example, the second TBS table may include at least one TBS entry that is greater than a maximum TBS
- 20 entry of the first TBS table. The MCS identification module 430 may use the selected MCS table to look-up the corresponding TBS table and identify the size of the transmission. In one configuration, the MCS identification module 430 may use a first number of bits to represent the MCS identified from a first MCS table. For a different MCS table, the module 430 may use a second number of bits to represent the MCS. The second number of bits may be greater
- 25 than the first number of bits. In one example, the number of bits used to represent the MCS may remain the same regardless of the MCS table that is identified.

[0102] FIG. 4B shows two examples of a CQI table in accordance with the present systems and methods. An example of an old, or legacy, CQI table 450 (*e.g.*, a table used for QPSK, 16QAM, and 64QAM schemes) as well as an example of a new CQI table 460 (*e.g.*, a table

30 used for higher order schemes, such as 256QAM) are shown. In some cases, the old CQI

table 450 and the new CQI table 460 are examples of the old CQI table and the new CQI table of FIG. 4A.

[0103] FIG. 4C shows two examples of an MCS table in accordance with the present systems and methods. An example of an old, or legacy, MCS table 470 (*e.g.*, a table used for

5 QPSK, 16QAM, and 64QAM schemes) as well as an example of a new MCS table 480 (*e.g.*, a table used for higher order schemes, such as 256QAM) are shown. In some cases, the old MCS table 470 and the new MCS table 480 are examples of the old MCS table and the new MCS table of FIG. 4A.

[0104] FIG. 5 is a block diagram 500 illustrating one example of an eNB 105-a, in

10 accordance with the present systems and methods. The eNB 105-a may be an example of the eNB 105 of FIG. 1. The eNB 105-a may include an eNB receiver module 505, a eNB table selection module 510, and a eNB transmitter module 515. Each of these components may be in communication with each other.

[0105] These components of the eNB 105-a may, individually or collectively, be

- 15 implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-
- 20 Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.

[0106] In one configuration, the receiver module 505 may include a cellular receiver and may receive transmissions from a UE 115. The eNB table selection module 510 may control

- 25 the selection of a table to use to generate and/or identify certain information. The selection of the table may be based on predefined configuration settings of the eNB 105-a. In one example, the selection module 510 may dynamically select the table to use based one or more factors. In some cases, the generated and/or identified information resulting from the selected table may be transmitted via the eNB transmitter module 515. Details regarding the
- 30 eNB table selection module 510 will be described below.

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[0107] FIG. 6 is a block diagram 600 illustrating one example to of an eNB 105-b in accordance with the present systems and methods. The eNB 105-b may be an example of the eNB 105 illustrated in FIGS. 1 and/or 5. The eNB 105-b may include an eNB receiver module 505, an eNB table selection module 510-a, and an eNB transmitter module 515, as previously described. Each of these components may be in communication with each other.

[0108] These components of the eNB 105-b may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated

- 10 circuits. In other examples, other types of integrated circuits may be used (e.g., Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.
- 15 [0109] In one example, the eNB 105-b may support the use of at least two CQI tables that may be either predetermined or configured by RRC protocols. In one configuration, the eNB table selection module 510-a may include a CQI table selection module 605. The CQI table selection module 605 may identify one the CQI tables supported by the eNB 105-b. The identified table may be used to identify a CQI value from received CQI data about a wireless
- 20 channel. A number of bits may be used to represent the CQI value. In one example, the number of bits used to represent the identified CQI value may remain the same regardless of the table that is identified by the table selection module 605.

[0110] In addition to supporting the use of multiple CQI tables, the eNB 105-b may also support the use of at least two MCS tables. The eNB table selection module 510-a may

- 25 include an MCS table selection module 610. Transmissions to be transmitted by the eNB transmitter module 515 may be modulated and encoded using a particular MCS. The MCS table selection module 610 may select one of the at least two MCS tables to use for the transmission. In one example, the identified MCS table may be used to determine the MCS to apply to the transmission based on the identified CQI value of the wireless channel that
- 30 will carry the transmission. In addition, when a UE 115 has information to be transmitted via

an uplink to the eNB 105-b, the eNB 105-b may assign a particular MCS for the UE 115 to use for the uplink transmission.

[0111] In one example, the eNB table selection module may also include a TBS table mapping module 615. In one configuration, an MCS table may be mapped to a TBS table to

- 5 allow the UE 115 to determine a size of a transmission. In one example, the TBS table mapping module 615 may map a TBS table that indicates the size of a transport block to be transmitted from a particular MCS table supported by the eNB 105-b. When the UE 115 identifies the MCS table that was used to generate the MCS for the transmission, the UE 115 may also use the selected MCS table to look-up the TBS table that has been mapped from the
- 10 selected MCS table. As a result, the UE 115 may determine the size of a received transport block.

[0112] In one configuration, one or more TBS tables may be mapped from a new MCS table being used to identify MCSs for transmissions via a physical downlink shared channel (PDSCH). New TBS index values in the one or more TBS tables that are mapped from MCS

- 15 index values in the new MCS table may be defined. In one example, the new TBS index values may be, for example, greater than 26, which is a current maximum number of TBS index values mapped from legacy MCS tables. The number of TBS index values may increase to facilitate an increase of downlink and/or uplink transmission rates and/or peak rates. In another example, a first transport block size resulting from a TBS index value
- 20 mapped from the new MCS table may be different from a second TBS resulting from a same TBS index value mapped from the legacy MCS table. As an example, the first TBS may be larger than the second TBS. This may facilitate an increase of downlink and/or uplink transmission rates and/or peak rates.

[0113] FIG. 7 is a block diagram 700 illustrating one example of an eNB 105-c in

- 25 accordance with the present systems and methods. The eNB 105-c may be an example of the eNB 105 illustrated in FIGS. 1, 5, and/or 6. In one configuration, the eNB 105-c may include an eNB receiver module 505, an eNB table selection module 510-b, a CQI value identification module 720, an MCS generation module 725, and an eNB transmitter module 515. Each of these components may be in communication with each other.
- 30 [0114] These components of the UE 115-c may, individually or collectively, be implemented with one or more application-specific integrated circuits (ASICs) adapted to

perform some or all of the applicable functions in hardware. Alternatively, the functions may be performed by one or more other processing units (or cores), on one or more integrated circuits. In other examples, other types of integrated circuits may be used (*e.g.*, Structured/Platform ASICs, Field Programmable Gate Arrays (FPGAs), and other Semi-

5 Custom ICs), which may be programmed in any manner known in the art. The functions of each unit may also be implemented, in whole or in part, with instructions embodied in a memory, formatted to be executed by one or more general or application-specific processors.

[0115] In one configuration, the eNB table selection module 510-b may include a CQI table selection module 605. The eNB 105-c may support the use of a legacy CQI table and new

10 CQI table. The module 605 may select one CQI table, from a plurality of CQI tables used by a UE to generate a CQI value. The selected table may then be used by the CQI value identification module 720 to identify a CQI value from CQI data received for a wireless channel, such as a downlink channel.

[0116] In one example, the eNB 105-c may support the use of a legacy MCS table (e.g., a

- 15 table used for QPSK, 16QAM, and 64 QAM schemes) and a new MCS table used for higher order schemes, such as 256QAM. In one example, the different MCS tables may be associated with different DCI formats. For example, the legacy MCS table may be associated with DCI format 1A while the new MCS table may be associated with DCI format 2D. In one configuration, the legacy and new MCS tables may have one or more common data
- 20 entries between them. In one example, the legacy and new MCS tables may have the same number of entries. In another example, the new MCS table may have a larger number of entries than that of the legacy table (*e.g.*, a 6-bit table may be used for the new MCS table and a 5-bit table may be used for the legacy table).
- [0117] In one configuration, each MCS identified by the MCS generation module 725 may
  be based on a single MCS table. In one configuration, each assignment of a PDSCH by the
  eNB 105-c may be associated with a single MCS table. As previously described, the eNB
  105-c may support the use of more than one MCS table and may determine which table to use
  based on various factors.

[0118] In one example, the MCS table selection module 610-a may include at least one of a
30 DCI format identification module 705, a candidate identification module 710, and a
transmission type identification module 715. The DCI format identification module 705 may

identify the DCI format of the transmission. The MCS table selection module 610-a may select the MCS table to use for the transmission based on the identified DCI format.

[0119] The candidate identification module 710 may identify a set of control channel decoding candidates that are to receive a control channel transmission. One of the MCS

- 5 tables may be identified by the MCS table selection module 610-a based at least in part on the identified set of candidates. As an example, a decoding candidate in a common search space is associated with a legacy MCS table, and a decoding candidate in a UE-specific search space is associated with a new MCS table. If there is an overlap between the common search space and the UE-specific search space, a predefined rule can be used. As an example, if a
- 10 decoding candidate belongs to both the common search space and the UE-specific search space, a legacy MCS table is determined. Alternatively, a new MCS table is determined. The candidate identification module 710 may also identify a type of control channel to be used for the transmission. The MCS table to use may be identified based on the type of control channel. For example, the module 710 may identify a PDCCH to be used for the
- 15 transmission and the MCS table selection module 610-a may identify the legacy MCS table to use to generate the MCS for the transmission. If the module 710 identifies the control channel as being an ePDCCH, the MCS table selection module 610-a may select the new MCS table to use. In addition to the type of channel used for the transmission, the MCS table selection module 610-a may also identify the MCS table to use based on an index of a

20 received subframe.

**[0120]** In one configuration, the transmission type identification module 715 may identify a type of transmission to be used for the transmission. Examples of transmission types may include broadcast, random access response, unicast, multi-cast, etc. The module 715 may also identify a type of scheduling used for the transmission. For example, the transmission

25 type identification module 715 may identify a semi-persistent scheduling (SPS) of a service and the MCS table selection module 610-a may select a particular MCS table based on this determination. Thus, based on the identified transmission type, the MCS table selection module 610-a may identify an MCS table to use to identify an MCS for a transmission.

[0121] The MCS generation module 725 may determine the MCS to use for the
30 transmission based on the selected table as well as the identified CQI value for the wireless channel. In one configuration, the MCS generation module 725 may use a first number of

bits to represent the MCS based on a first MCS table. For a different MCS table, the module 725 may use a second number of bits to represent the MCS. The second number of bits may be greater than the first number of bits. In one example, the number of bits used to represent the MCS may remain the same regardless of the MCS table that is used. The MCS may be

- 5 selected for downlink or uplink transmissions. In one example, multiple transport blocks (e.g., codewords) may be transmitted via a single PDSCH. In one example, the same MCS table may be used by the MCS generation module 725 identify the MCSs for downlink transmissions of each separate transport block. In another example, different MCS tables may be used by the MCS generation module 725 to identify the MCSs to use for downlink
- 10 transmissions of each separate transport block. As an example, a PDSCH transmission of a first set of ranks (e.g., rank 1) may be associated with a first MCS table, and a PDSCH transmission of a second set of ranks (e.g., rank 2 and higher) may be associated with a second MCS table.

[0122] A UE may be under a coordinated multi-point (CoMP) operation. In this case, a

- 15 PDSCH rate-matching and Quasi-co-location Indication (PQI) information field may be included in downlink control information (DCI) to dynamically indicate to the UE which one out of up to four PQI parameters configured by higher layers is to be used for a particular PDSCH transmission. An MCS table indication can be included in some or all sets of PQI parameters configured by higher layers. The indication can be one or more bits. As an
- 20 example, a one-bit indication can indicate whether a legacy or a new MCS table is to be used for the corresponding PDSCH transmission. The inclusion of the MCS table indication in PQI makes it possible to support CoMP for a UE with cells of different releases. That is, some cells may support 256QAM, while other cells may not. In addition, the UE may experience different channel conditions from each of the cells in CoMP and consequently,
- 25 some of the cells may be suitable for enabling 256QAM while other cells may be not. A given cell may be more suitable for enabling 256QAM at one time, but becomes more suitable for disabling 256QAM later. The inclusion of the MCS table indication in PQI is thus beneficial in enabling/disabling 256QAM for different cells and/or different subframes in a dynamic manner.
- 30 [0123] FIG. 8 is a block diagram of a MIMO communication system 800 including an eNB 105-d and a UE 115-d. This system 800 may illustrate aspects of the system 100 of FIG. 1.

The eNB 105-d may be an example of the eNB 105 of FIGS. 1, 5, 6, and/or 7. The UE 115-d may be an example of the UE 115 of FIGS. 1, 2, 3, and/or 4A. The eNB 105-d may be equipped with antennas 834-a through 834-x, and the UE 115-d may be equipped with antennas 852-a through 852-n. In the system 800, the eNB 105-d may be able to send data

- 5 over multiple communication links at the same time. Each communication link may be called a "layer" and the "rank" of the communication link may indicate the number of layers used for communication. For example, in a 2x2 MIMO system where eNB 105-d transmits two "layers," the rank of the communication link between the eNB 105-d and the UE 115-d is two.
- 10 [0124] At the eNB 105-d, a transmit processor 820 may receive data from a data source. The transmit processor 820 may process the data. The transmit processor 820 may also generate reference symbols, and a cell-specific reference signal. A transmit (TX) MIMO processor 830 may perform spatial processing (e.g., precoding) on data symbols, control symbols, and/or reference symbols, if applicable, and may provide output symbol streams to
- 15 the transmit modulators 832-a through 832-x. Each modulator 832 may process a respective output symbol stream (e.g., for OFDM, etc.) to obtain an output sample stream. Each modulator 832 may further process (e.g., convert to analog, amplify, filter, and upconvert) the output sample stream to obtain a downlink signal. In one example, downlink signals from modulators 832-a through 832-x may be transmitted via the antennas 834-a through 834-x,

20 respectively.

**[0125]** At the UE 115-d, the UE antennas 852-a through 852-n may receive the downlink signals from the eNB 105-d and may provide the received signals to the demodulators 854-a through 854-n, respectively. Each demodulator 854 may condition (*e.g.*, filter, amplify, downconvert, and digitize) a respective received signal to obtain input samples. Each

- 25 demodulator 854 may further process the input samples (e.g., for OFDM, etc.) to obtain received symbols. A MIMO detector 856 may obtain received symbols from all the demodulators 854-a through 854-n, perform MIMO detection on the received symbols if applicable, and provide detected symbols. A receive processor 858 may process (e.g., demodulate, deinterleave, and decode) the detected symbols, providing decoded data for the
- 30 UE 115-d to a data output, and provide decoded control information to a processor 880, or memory 882. In one example, the processor 880 may include a UE table selection module

210-c to implement the systems and methods described herein. The UE table selection module 210-c may be examples of the module 210 of FIGS. 2, 3, and/or 4A.

[0126] On the uplink, at the UE 115-d, a transmit processor 864 may receive and process data from a data source. The transmit processor 864 may also generate reference symbols for

- 5 a reference signal. The symbols from the transmit processor 864 may be precoded by a transmit MIMO processor 866 if applicable, further processed by the demodulators 854-a through 854-n (*e.g.*, for SC-FDMA, etc.), and be transmitted to the eNB 105-d in accordance with the transmission parameters received from the eNB 105-d. At the eNB 105-d, the uplink signals from the UE 115-d may be received by the antennas 834, processed by the
- 10 demodulators 832, detected by a MIMO detector 836 if applicable, and further processed by a receive processor. The receive processor 838 may provide decoded data to a data output and to the processor 840. The processor 840 may include an eNB table selection module 510-c to implement the systems and methods described herein. The module 510-c may be an example of the eNB table selection module 510 of FIGS. 5, 6, and/or 7. The components of the UE
- 15 115-d may, individually or collectively, be implemented with one or more Application Specific Integrated Circuits (ASICs) adapted to perform some or all of the applicable functions in hardware. Each of the noted modules may be a means for performing one or more functions related to operation of the system 800.

[0127] Similarly, the components of the eNB 105-d may, individually or collectively, be
 implemented with one or more Application Specific Integrated Circuits (ASICs) adapted to
 perform some or all of the applicable functions in hardware. Each of the noted components
 may be a means for performing one or more functions related to operation of the system 800.

**[0128]** The communication networks that may accommodate some of the various disclosed examples may be packet-based networks that operate according to a layered protocol stack.

- 25 For example, communications at the bearer or Packet Data Convergence Protocol (PDCP) layer may be IP-based. A Radio Link Control (RLC) layer may perform packet segmentation and reassembly to communicate over logical channels. A Medium Access Control (MAC) layer may perform priority handling and multiplexing of logical channels into transport channels. The MAC layer may also use Hybrid ARQ (HARQ) to provide retransmission at
- 30 the MAC layer to improve link efficiency. At the Physical layer, the transport channels may be mapped to Physical channels.

[0129] FIG. 9 is a flow chart illustrating one example of a method 900 for wireless communications. For clarity, the method 900 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 900 is described below with reference to the example CQI tables of FIG. 4B. In one implementation, the UE table

5 selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

[0130] At block 905, the use of at least two CQI tables may be supported. At block 910, one of the at least two CQI tables may be identified. At block 915, the identified CQI table may be used to generate a CQI value for a wireless channel. For example, the CQI value may

10 be generated for a downlink channel. In one example, a same number of bits may be used to represent the CQI value independent of the selected CQI table to use. At least one of the CQI tables may support 256QAM.

**[0131]** Therefore, the method 900 may provide for managing wireless communications for higher order MCS by supporting additional CQI tables. It should be noted that the method

15 900 is just one implementation and that the operations of the method 900 may be rearranged or otherwise modified such that other implementations are possible.

[0132] FIG. 10 is a flow chart illustrating one example of a method 1000 for wireless communications. For clarity, the method 1000 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1000 is described below with

20 reference to the example CQI tables of FIG. 4B. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

[0133] At block 1005, the use of at least two CQI tables may be supported. At block 1010, a determination may be made as to whether a CQI value is to be transmitted via a control

25 channel or a data channel. If it is determined that the CQI value is to be transmitted via a control channel, at block 1015, a first CQI table may be identified. At block 1020, the first CQI table may be used to generate a CQI value represented by a first number of bits.

[0134] If, however, it is determined that the CQI value is to be transmitted via a data channel, at block 1025, a second CQI table may be identified that is different from the first

30 CQI table. At block 1030, the second CQI table may be used to generate a CQI value

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represented by a second number of bits. In one example, the second number of bits is different than the first number of bits. In one configuration, the second number of bits is greater than the first number of bits. In another example, the number of bits used to represent the CQI value remains the same regardless of the CQI table that is identified. At block 1035, the CQI value may be transmitted via a wireless channel.

**[0135]** Thus, the method 1000 may provide for selection of different CQI tables based on whether a CQI value is transmitted via a data channel or control channel. It should be noted that the method 1000 is just one implementation and that the operations of the method 1000 may be rearranged or otherwise modified such that other implementations are possible.

- 10 [0136] FIG. 11 is a flow chart illustrating one example of a method 1100 for wireless communications. For clarity, the method 1100 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1100 is described below with reference to the example CQI tables of FIG. 4B. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to
- 15 control the functional elements of the UE 115 to perform the functions described below.

[0137] At block 1105, the use of at least two CQI tables may be supported. At block 1110, a determination may be made as to whether a CQI value is to be part of P-CSI reporting or A-CSI reporting. If it is determined that the CQI value is to be part of P-CSI reporting, at block 1115, a first CQI table may be identified. At block 1120, the first CQI table may be used to generate a CQI value.

[0138] If, however, it is determined that the CQI value is to be part of A-CSI reporting, at block 1125, a second CQI table may be identified that is different from the first CQI table. At block 1130, the second CQI table may be used to generate a CQI value. In one example, the number of bits used to represent the generated CQI value is the same and is independent

25 of the selected CQI table. At block 1135, the CQI value may be transmitted via a wireless channel.

[0139] Thus, the method 1100 may provide for a selection of different CQI tables based on a reporting schedule of the CQI value. It should be noted that the method 1100 is just one implementation and that the operations of the method 1100 may be rearranged or otherwise

30 modified such that other implementations are possible.

[0140] FIG. 12 is a flow chart illustrating one example of a method 1200 for wireless communications. For clarity, the method 1200 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1200 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the UE table

5 selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

[0141] At block 1205, the use of at least two MCS tables may be supported. At block 1210, a transmission may be received via a wireless channel. For example, the transmission may be received from an eNB 105 via a downlink channel. At block 1215, one of the MCS

- 10 tables may be identified for the received transmission. The identified MCS table may be used to identify an MCS to apply to the received transmission. The identified MCS may indicate a demodulation and decoding scheme to apply to the received transmission. At least one of the MCS tables may support a higher order modulation scheme, such as, but not limited to 256QAM.
- 15 **[0142]** Thus, the method 1200 may provide for a selection of different MCS tables to identify an MCS for the received transmission. It should be noted that the method 1200 is just one implementation and that the operations of the method 1200 may be rearranged or otherwise modified such that other implementations are possible.

[0143] FIG. 13 is a flow chart illustrating one example of a method 1300 for wireless communications. For clarity, the method 1300 is described below with reference to the UE 115 of FIGS. 1, 2, 3, 4A, and/or 8. In some cases, the method 1300 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the UE table selection module 210 of FIGS. 2, 3, 4A, and/or 8 may execute one or more sets of codes to control the functional elements of the UE 115 to perform the functions described below.

- 25 [0144] At block 1305, the use of at least two MCS tables may be supported. At block 1310, a transmission may be received via a wireless channel. At block 1315, one of the MCS tables may be identified to use for the received transmission. At block 1320, the identified MCS table may be used to determine an MCS to use on the received transmission. At block 1325, the identified MCS table may also be used to identify a size of the received
- 30 transmission. As previously explained, each MCS index value included in an MCS table may be mapped to a TBS table that indicates the size of a transport block being transmitted.

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**[0145]** Therefore, the method 1300 may provide for a selection of different MCS tables to identify an MCS for the received transmission as well as a size of the transmission. It should be noted that the method 1300 is just one implementation and that the operations of the method 1300 may be rearranged or otherwise modified such that other implementations are possible.

**[0146]** With regards to the UE 115, the present systems and methods may be implemented as a new capability on the UE 115. In another example, the present systems and methods may be implemented into current UE categories and/or by adding new UE categories. The present systems and methods may increase a maximum size that the UE is able to process in a subframe and/or a transport block currently defined in each UE category may be increased.

**[0147]** In one example, the present systems and methods may be applied to uplink transmissions if higher order MCS (*e.g.*, 256QAM) are supported for the uplink. In one configuration, new MCS tables used to identify MCSs for uplink transmissions may be different from the new MCS tables used to determine MCSs for downlink transmissions.

15 [0148] FIG. 14 is a flow chart illustrating one example of a method 1400 for wireless communications. For clarity, the method 1400 is described below with reference to the eNB 105 of FIGS. 1, 5, 6, 7, and/or 8. In some cases, the method 1400 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the eNB table selection module 510 of FIGS.5, 6, 7, and/or 8 may execute one or more sets of codes to

20 control the functional elements of the eNB 105 to perform the functions described below.

[0149] At block 1405, the use of at least two MCS tables may be supported. At block 1410, one of the MCS tables may be identified. The identified MCS table may be used, at block 1415, to identify an MCS for a transmission. The identified MCS may be for a downlink transmission. In one configuration, the identified MCS may be for an uplink

25 transmission. At least one of the MCS tables may support a higher order modulation scheme, such as, but not limited to 256QAM.

[0150] Thus, the method 1400 may provide for a selection of different MCS tables to identify an MCS for a transmission. It should be noted that the method 1400 is just one implementation and that the operations of the method 1400 may be rearranged or otherwise

30 modified such that other implementations are possible.

[0151] FIG. 15 is a flow chart illustrating one example of a method 1500 for wireless communications. For clarity, the method 1500 is described below with reference to the eNB 105 of FIGS. 1, 5, 6, 7, and/or 8. In some cases, the method 1500 is described below with reference to the example MCS tables of FIG. 4C. In one implementation, the eNB table

5 selection module 510 of FIGS.5, 6, 7, and/or 8 may execute one or more sets of codes to control the functional elements of the eNB 105 to perform the functions described below.

[0152] At block 1505, the use of at least two MCS tables may be supported. At block 1510, each of the MCS tables may be mapped to a TBS table. At block 1520, a DCI format may be identified. At block 1525, one of the MCS tables may be identified based at least in

10 part on the identified DCl format. At block 1530, the identified MCS table may be used to identify an MCS for a transmission.

[0153] Therefore, the method 1500 may provide for mapping different MCS tables to TBS tables and selecting an MCS table based on a DCI format. It should be noted that the method 1500 is just one implementation and that the operations of the method 1500 may be

15 rearranged or otherwise modified such that other implementations are possible.

[0154] FIG. 16 is a flow chart illustrating one example of a method 1600 for wireless communications. For clarity, the method 1600 is described below with reference to the eNB 105 of FIGS. 1, 5, 6, 7, and/or 8. In some cases, the method 1600 is described below with reference to the example CQI tables of FIG. 4B. In one implementation, the eNB table

20 selection module 510 of FIGS.5, 6, 7, and/or 8 may execute one or more sets of codes to control the functional elements of the eNB 105 to perform the functions described below.

[0155] At block 1605, the use of at least two CQI tables may be supported. At block 1610, CQI data for a wireless channel may be received. At block 1615, one of the CQI tables may be identified. The identified table may be used to identify a CQI value based on the received

25 CQI data.

**[0156]** Thus, the method 1600 may provide for a selection of a CQI table to identify a CQI value that is based on received CQI data. It should be noted that the method 1600 is just one implementation and that the operations of the method 1600 may be rearranged or otherwise modified such that other implementations are possible.

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[0157] The detailed description set forth above in connection with the appended drawings describes exemplary examples and does not represent the only examples that may be implemented or that are within the scope of the claims. The term "exemplary" used throughout this description means "serving as an example, instance, or illustration," and not

- 5 "preferred" or "advantageous over other examples." The detailed description includes specific details for the purpose of providing an understanding of the described techniques. These techniques, however, may be practiced without these specific details. In some instances, well-known structures and devices are shown in block diagram form in order to avoid obscuring the concepts of the described examples.
- 10 [0158] Techniques described above may be used for various wireless communications systems such as CDMA, TDMA, FDMA, OFDMA, SC-FDMA, and other systems. The terms "system" and "network" are often used interchangeably. A CDMA system may implement a radio technology such as CDMA2000, Universal Terrestrial Radio Access (UTRA), etc. CDMA2000 covers IS-2000, IS-95, and IS-856 standards. IS-2000 Releases 0
- 15 and A are commonly referred to as CDMA2000 1X, 1X, etc. IS-856 (TIA-856) is commonly referred to as CDMA2000 1xEV-DO, High Rate Packet Data (HRPD), etc. UTRA includes Wideband CDMA (WCDMA) and other variants of CDMA. A TDMA system may implement a radio technology such as Global System for Mobile Communications (GSM). An OFDMA system may implement a radio technology such as Ultra Mobile Broadband
- (UMB), Evolved UTRA (E-UTRA), IEEE 802.11 (Wi-Fi), IEEE 802.16 (WiMAX), IEEE 802.20, Flash-OFDM , etc. UTRA and E-UTRA are part of Universal Mobile Telecommunication System (UMTS). 3GPP Long Term Evolution (LTE) and LTE-Advanced (LTE-A) are new releases of UMTS that use E-UTRA. UTRA, E-UTRA, UMTS, LTE, LTE-A, and GSM are described in documents from an organization named "3rd
- 25 Generation Partnership Project" (3GPP). CDMA2000 and UMB are described in documents from an organization named "3rd Generation Partnership Project 2" (3GPP2). The techniques described herein may be used for the systems and radio technologies mentioned above as well as other systems and radio technologies. The description below, however, describes an LTE system for purposes of example, and LTE terminology is used in much of
- 30 the description below, although the techniques are applicable beyond LTE applications.
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[0159] Information and signals may be represented using any of a variety of different technologies and techniques. For example, data, instructions, commands, information, signals, bits, symbols, and chips that may be referenced throughout the above description may be represented by voltages, currents, electromagnetic waves, magnetic fields or particles, optical fields or particles, or any combination thereof.

**[0160]** The various illustrative blocks and modules described in connection with the disclosure herein may be implemented or performed with a general-purpose processor, a digital signal processor (DSP), an application specific integrated circuit (ASIC), a field

programmable gate array (FPGA) or other programmable logic device, discrete gate or

- 10 transistor logic, discrete hardware components, or any combination thereof designed to perform the functions described herein. A general-purpose processor may be a microprocessor, but in the alternative, the processor may be any conventional processor, controller, microcontroller, or state machine. A processor may also be implemented as a combination of computing devices, e.g., a combination of a DSP and a microprocessor,
- 15 multiple microprocessors, one or more microprocessors in conjunction with a DSP core, or any other such configuration.

[0161] The functions described herein may be implemented in hardware, software executed by a processor, firmware, or any combination thereof. If implemented in software executed by a processor, the functions may be stored on or transmitted over as one or more instructions

- 20 or code on a computer-readable medium. Other examples and implementations are within the scope and spirit of the disclosure and appended claims. For example, due to the nature of software, functions described above can be implemented using software executed by a processor, hardware, firmware, hardwiring, or combinations of any of these. Features implementing functions may also be physically located at various positions, including being
- 25 distributed such that portions of functions are implemented at different physical locations. Also, as used herein, including in the claims, "or" as used in a list of items prefaced by "at least one of" indicates a disjunctive list such that, for example, a list of "at least one of A, B, or C" means A or B or C or AB or AC or BC or ABC (i.e., A and B and C).

[0162] Computer-readable media includes both computer storage media and

30 communication media including any medium that facilitates transfer of a computer program from one place to another. A storage medium may be any available medium that can be

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accessed by a general purpose or special purpose computer. By way of example, and not limitation, computer-readable media can comprise RAM, ROM, EEPROM, CD-ROM or other optical disk storage, magnetic disk storage or other magnetic storage devices, or any other medium that can be used to carry or store desired program code means in the form of

- 5 instructions or data structures and that can be accessed by a general-purpose or specialpurpose computer, or a general-purpose or special-purpose processor. Also, any connection is properly termed a computer-readable medium. For example, if the software is transmitted from a website, server, or other remote source using a coaxial cable, fiber optic cable, twisted pair, digital subscriber line (DSL), or wireless technologies such as infrared, radio, and
- 10 microwave, then the coaxial cable, fiber optic cable, twisted pair, DSL, or wireless technologies such as infrared, radio, and microwave are included in the definition of medium. Disk and disc, as used herein, include compact disc (CD), laser disc, optical disc, digital versatile disc (DVD), floppy disk and blu-ray disc where disks usually reproduce data magnetically, while discs reproduce data optically with lasers. Combinations of the above
- 15 are also included within the scope of computer-readable media.

**[0163]** The previous description of the disclosure is provided to enable a person skilled in the art to make or use the disclosure. Various modifications to the disclosure will be readily apparent to those skilled in the art, and the generic principles defined herein may be applied to other variations without departing from the spirit or scope of the disclosure. Throughout

- 20 this disclosure the term "example" or "exemplary" indicates an example or instance and does not imply or require any preference for the noted example. Thus, the disclosure is not to be limited to the examples and designs described herein but is to be accorded the widest scope consistent with the principles and novel features disclosed herein.
  - [0164] What is claimed is:

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### <u>CLAIMS</u>

1		A method for wireless communications, comprising:
2		supporting the use of at least two channel quality indicator (CQI) tables;
3		dentifying one of the at least two CQI tables; and
4		using the identified CQI table to generate a CQI value for a wireless channel.
1		2. The method of claim 1, wherein a same number of bits is used to
2	represent the C	QI value regardless of which CQI table is identified.
1		3. The method of claim 1, wherein the identification of the CQI table is
2	based at least i	part on an identification of channel state information (CSI), from a plurality
3	of CSI identifi	ations, where the CSI is at least one of a periodic CSI or an aperiodic CSI.
1		4. The method of claim 3, wherein each of the plurality of CSI
2	identifications	s associated with a set of subframes, where the set of subframes are
3	determined ba	ed at least in part on a semi-static configuration or a semi-static indication.
1		5. The method of claim 1, further comprising:
2		dentifying a first CQI table to use for a first channel state information (CSI)
3	process; and	
4		dentifying a second CQI table to use for a second CSI process, the second
5	CSI process be	ng different than the first CSI process.
1		5. The method of claim 1, wherein the identifying one of the at least two
2	CQI tables con	prises:
3		selecting the CQI table to use to generate the CQI value according to a
4	predefined cor	iguration setting.
1		7. The method of claim I, wherein the identifying one of the at least two
2	CQI tables con	prises:
3		dynamically selecting the CQI table to use to generate the CQI value.
1		8. The method of claim 1, further comprising:
2		determining whether the CQI value is to be transmitted via a control channel
3	or a data cham	el; and

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4	upon determining that the CQI value is to be transmitted via the control		
5	channel, identifying a first CQI table to use; and		
6	upon determining that the CQI value is to be transmitted via the data channel,		
7	identifying a second CQI table to use, the second CQI table being different from the first CQI		
8	table.		
1	O The method of claim & further comprising.		
1	9. The memod of claim 8, further comprising:		
2	the COL at a based on the first COL table, and		
3	the CQI value based on the first CQI table; and		
4	upon identifying the second CQI table, using a second number of bits to		
5	represent the CQI value based on the second CQI table, the second number of bits being		
6	greater than the first number of bits.		
1	10. The method of claim 1, further comprising:		
2	determining whether the CQI value is part of a periodic channel state		
3	information (P-CSI) report or an aperiodic channel state information (A-CSI) report;		
4	upon determining that the CQI value if part of the P-CSI report, identifying a		
5	first CQI table to use; and		
6	upon determining that the CQI value is part of the A-CSI report, identifying a		
7	second CQI table to use, the second CQI table being different from the first CQI table.		
1	11 The method of closing 1. South an experiment		
1	11. The method of claim 1, further comprising:		
2	generating a first CQI value using a first CQI table;		
3	generating a second CQI value using a second CQI table; and		
4	transmitting the first CQI value and the second CQI value via a wireless		
5	channel in a single subframe.		
1	12. The method of claim 1, wherein the at least two CQI tables comprise at		
2	least one common data entry.		
1	13. The method of claim 1, wherein a CQI table comprises a listing of CQI		
2	values, each CQI value being mapped to a spectral efficiency value.		
1	14. The method of claim 1, wherein at least one of the identified CQI		
2	reporting tables supports 256 Quadrature Amplitude Modulation (QAM).		

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1	15. An apparatus for wireless communications, comprising:
2	a processor;
3	a memory in electronic communication with the processor; and
4	instructions stored in the memory, the instructions being executable by the
5	processor to:
6	support the use of at least two channel quality indicator (CQI)
7	reporting tables;
8	identify one of the at least two CQI tables; and
9	use the identified CQI table to generate a CQI value for a wireless
10	channel.
1	16. The apparatus of claim 15, wherein a same number of bits is used to
2	represent the CQI value regardless of which CQI table is identified.
1	17. The apparatus of claim 15, wherein the identification of the CQI table
2	is based at least in part on an identification of channel state information (CSI), from a
3	plurality of CSI identifications, where the CSI is at least one of a periodic CSI or an aperiodic
4	CSI.
1	18. The apparatus of claim 17, wherein each of the plurality of CSI
2	identifications is associated with a set of subframes, where the set of subframes are
3	determined based at least in part on a semi-static configuration or a semi-static indication.
1	19. The apparatus of claim 15, wherein the instructions are executable by
2	the processor to:
3	identify a first CQI table to use for a first channel state information (CSI)
4	process; and
5	identify a second CQI table to use for a second CSI process, the second CSI
6	process being different than the first CSI process.
1	20. The apparatus of claim 15, wherein the instructions to identify one of
2	the at least two CQI tables are executable by the processor to:
3	select the CQI table to use to generate the CQI value according to a predefined
4	configuration setting.

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1	21.	The apparatus of claim 15, wherein the instructions to identify one of
2	the at least two CQI ta	bles are executable by the processor to:
3	dynami	ically select the CQI table to use to generate the CQI value.
1	22.	The apparatus of claim 15, wherein the instructions are executable by
2	the processor to:	
3	determ	ine whether the CQI value is to be transmitted via a control channel or a
4	data channel; and	
5	upon de	etermining that the CQI value is to be transmitted via the control
6	channel, identify a firs	st CQI table to use; and
7	upon de	etermining that the CQI value is to be transmitted via the data channel,
8	identify a second CQI	table to use, the second CQI table being different from the first CQI
9	table.	
1	23.	The apparatus of claim 15, wherein the instructions are executable by
2	the processor to:	
3	upon ic	lentifying the first CQI table, use a first number of bits to represent the
4	CQI value based on th	e first CQI table; and
5	upon id	lentifying the second CQI table, use a second number of bits to
6	represent the CQI valu	he based on the second CQI table, the second number of bits being
7	greater than the first n	umber of bits.
1	24.	The apparatus of claim 15, wherein the instructions are executable by
2	the processor to:	
3	determ	ine whether the CQI value is part of a periodic channel state
4	information (P-CSI) re	eport or an aperiodic channel state information (A-CSI) report;
5	upon de	etermining that the CQI value if part of the P-CSI report, identify a first
6	CQI table to use; and	
7	upon d	etermining that the CQI value is part of the A-CSI report, identify a
8	second CQI table to us	se, the second CQI table being different from the first CQI table.
1	25.	The apparatus of claim 15, wherein the instructions are executable by
2	the processor to:	

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3	generate a first CQI value using a first CQI table;
4	generate a second CQI value using a second CQI table; and
5	transmit the first CQI value and the second CQI value via a wireless channel
6	in a single subframe.
1	26. The apparatus of claim 15, wherein the at least two COI tables
2	comprise at least one common data entry.
1	27. The apparatus of claim 15, wherein a CQI table comprises a listing of
2	CQI values, each CQI value being mapped to a spectral efficiency value.
1	28. The apparatus of claim 15, wherein at least one of the identified CQI
2	reporting tables supports 256 Quadrature Amplitude Modulation (QAM).
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1	29. An apparatus for writeless communications, comprising.
2	means for supporting the use of at least two channel quarty indicator (CQI)
3	reporting tables;
4	means for identifying one of the at least two CQI tables; and
5	means for using the identified CQI table to generate a CQI value for a wireless
6	channel.
1	30. The apparatus of claim 29, wherein a same number of bits is used to
2	represent the CQI value regardless of which CQI table is identified.
1	31. The apparatus of claim 29, wherein the identification of the COI table
2	is based at least in part on an identification of channel state information (CSI), from a
3	plurality of CSI identifications, where the CSI is at least one of a periodic CSI or an aperiodic
4	CSI.
1	32. The apparatus of claim 31, wherein each of the plurality of CSI
2	identifications is associated with a set of subframes, where the set of subframes are
3	determined based at least in part on a semi-static configuration or a semi-static indication.
1	33. The apparatus of claim 29, further comprising:
2	means for determining whether the CQI value is to be transmitted via a control
3	channel or a data channel; and

4	upon determining that the CQI value is to be transmitted via the control		
5	channel, means for identifying a first CQI table to use; and		
6	upon determining that the CQI value is to be transmitted via the data channel,		
7	means for identifying a second CQI table to use, the second CQI table being different from		
8	the first CQI table.		
1	24 The envertee of claim 22 firstly a server ising		
1	34. The apparatus of claim 33, further comprising:		
2	upon identifying the first CQI table, means for using a first number of bits to		
3	represent the CQI value based on the first CQI table; and		
4	upon identifying the second CQI table, means for using a second number of		
5	bits to represent the CQI value based on the second CQI table, the second number of bits		
6	being greater than the first number of bits.		
1	35. A computer program product for managing wireless communications,		
2	the computer program product comprising a non-transitory computer-readable medium		
3	storing instructions executable by a processor to:		
4	support the use of at least two channel quality indicator (COI) reporting tables:		
5	identify one of the at least two COI tables: and		
6	use the identified COI table to generate a COI value for a wireless channel.		
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1	36. A method for wireless communications, comprising:		
2	supporting the use of at least two modulation and coding scheme (MCS)		
3	tables;		
4	receiving a transmission via a wireless channel; and		
5	identifying one of the at least two MCS tables to use for the received		
6	transmission.		
1	37. The method of claim 36, further comprising:		
2	using the identified MCS table to determine an MCS to use for the received		
3	transmission		
5			
1	38. The method of claim 36, wherein a first transport block size (TBS)		
2	table is mapped from a first MCS table and a second TBS table is mapped from a second		

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3 -	MCS table, the	e second TBS table comprising at least one TBS that is greater than a maximum
4	TBS in the first	st TBS table.
1		39. The method of claim 38, further comprising:
2		identifying a TBS table mapped from the identified MCS table;
3		using the identified TBS table to determine a size of the received transmission.
1		40. The method of claim 36, wherein the identifying one of the at least two
2	MCS tables co	omprises:
3		determining a type of transmission used to transmit the received transmission
4	via the wireles	ss channel; and
5		identifying one of the at least two MCS tables to use for the received
6	transmission t	based at least in part on the type of transmission.
1		41. The method of claim 36, wherein the identifying one of the at least two
2	MCS tables co	omprises:
3		identifying a type of control channel used to transmit the received
4	transmission;	and
5		identifying one of the at least two MCS tables to use for the received
6	transmission b	based at least in part on the identified type of control channel.
1		42. The method of claim 36, further comprising:
2		using the identified MCS table to determine an MCS to use for an uplink
3	transmission.	
1		43. The method of claim 36, wherein a first number of bits is used to
2	represent a fir	st MCS based on a first MCS table, and a second number of bits is used to
3	represent a sec	cond MCS based on a second MCS table.
1		44. The method of claim 43, wherein the first number of bits is the same as
2	the second nu	mber of bits.
1		45. The method of claim 36, wherein the identifying one of the at least two
2	MCS tables co	omprises:
3		dynamically selecting the MCS table to use for the received transmission.

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1	46. The method of claim 36, wherein identifying one of the at least two
2	MCS tables comprises:
3	selecting the MCS table to use for the received transmission according to a
4	predefined configuration setting.
1	47. An apparatus for wireless communications, comprising:
2	a processor;
3	a memory in electronic communication with the processor; and
4	instructions stored in the memory, the instructions being executable by the
5	processor to:
6	support the use of at least two modulation and coding scheme (MCS)
7	tables;
8	receive a transmission via a wireless channel; and
9	identify one of the at least two MCS tables to use for the received
10	transmission.
_	
1	48. The apparatus of claim 47, wherein the instructions are executable by
2	the processor to:
3	use the identified MCS table to determine an MCS to use for the received
4	transmission.
1	49. The apparatus of claim 47, wherein a first transport block size (TBS)
2	table is mapped from a first MCS table and a second TBS table is mapped from a second
3	MCS table, the second TBS table comprising at least one TBS that is greater than a maximum
4	TBS in the first TBS table.
1	50 The apparatus of claim 49 wherein the instructions are executable by
2	the processor to:
3	identify a TBS table manned from the identified MCS table:
4	use the identified TPS table to determine a size of the received transmission
4	
1	51. The apparatus of claim 47, wherein the instructions to identify one of
2	the at least two MCS tables are executable by the processor to:

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3		determ	ine a type of transmission used to transmit the received transmission via
4	the wireless ch	annel;	and
5		identif	y one of the at least two MCS tables to use for the received transmission
6	based at least	in part c	on the type of transmission.
1		50	The encountry of alaim 47 wherein the instructions to identify and of
1	41	52. - MCC	the apparatus of claim 47, wherein the instructions to identify one of
2	the at least two	5 MCS	tables are executable by the processor to:
3	_	identif	y a type of control channel used to transmit the received transmission;
4	and		
5		identif	y one of the at least two MCS tables to use for the received transmission
6	based at least	in part o	on the identified type of control channel.
1		53.	The apparatus of claim 47, wherein the instructions are executable by
2	the processor t	to <sup>,</sup>	rr ···································
- 3	ine processor	use the	e identified MCS table to determine an MCS to use for an unlink
4	transmission	uby the	
•	transmission.		
1		54.	The apparatus of claim 47, wherein a first number of bits is used to
2	represent a fir	st MCS	based on a first MCS table, and a second number of bits is used to
3	represent a sec	cond M	CS based on a second MCS table.
1		<i>E</i>	The survey of the first state of the first survey of the first state of the state o
1		<i>.</i> .	The apparatus of claim 54, wherein the first number of bits is the same
2	as the second	number	of bits.
1		56.	The apparatus of claim 47, wherein the instructions to identify one of
2	the at least two	o MCS	tables are executable by the processor to:
3		dynam	ically select the MCS table to use for the received transmission.
1		57	The apparatus of claim 47 wherein the instructions to identify one of
ว	the at least two	o MCS	tables are executable by the processor to:
2	the at least two	solect	the MCS table to use for the received transmission according to a
1	nredefined as	nfigured	tion setting
-	proteinet (0)	ungura	oon soung.
1		58.	An apparatus for wireless communications, comprising:

2	means for supporting the use of at least two modulation and coding scheme
3	(MCS) tables;
4	means for receiving a transmission via a wireless channel; and
5	means for identifying one of the at least two MCS tables to use for the
6	received transmission.
1	59 The apparatus of claim 58 further comprising:
2	means for using the identified MCS table to determine an MCS to use for the
3	received transmission.
2	
1	60. The apparatus of claim 58, wherein a first number of bits is used to
2	represent a first MCS based on a first MCS table, and a second number of bits is used to
3	represent a second MCS based on a second MCS table.
1	61 The apparatus of claim 60 wherein the first number of hits is the same
2	as the second number of bits
-	
1	62. A computer program product for managing wireless communications,
2	the computer program product comprising a non-transitory computer-readable medium
3	storing instructions executable by a processor to:
4	support the use of at least two modulation and coding scheme (MCS) tables;
5	receive a transmission via a wireless channel; and
6	identify one of the at least two MCS tables to use for the received
7	transmission.
1	63. A method for wireless communications, comprising:
2	supporting the use of at least two modulation and coding scheme (MCS)
3	tables;
4	identifying one of the at least two MCS tables; and
5	using the identified MCS table to identify an MCS for a transmission.
1	64. The method of claim 63, further comprising:
2	identifying a channel quality indicator (CQI) value, the identified MCS being
3	based at least in part on the received CQI value.

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1	65. The method of claim 63, wherein the using the identified MCS table to	
2	identify the MCS comprises:	
3	selecting the MCS for a downlink transmission.	
1	66. The method of claim 63, wherein the using the identified MCS table to	
2	identify the MCS comprises:	
3	selecting the MCS for an unlink transmission	
5	schooling the meet for an up nik dansmission.	
1	67. The method of claim 63, wherein the identification of one of the at	
2	least two MCS tables is based at least in part on a downlink control information (DCI)	
3	format.	
1	68 The method of claim $63$ wherein a first number of hits is used to	
י ר	represent a first MCS based on a first MCS table, and a second number of bits is used to	
2	represent a mist MCS based on a mist MCS table, and a second mumber of one is used to	
3	represent a second MCS based on a second MCS table.	
1	69. The method of claim 68, wherein the first number of bits is the same as	
2	the second number of bits.	
1	70. The method of claim 63, further comprising:	
2	associating a single MCS table with each assignment of a physical downlink	
3	shared channel (PDSCH).	
1	71. The method of claim 63, further comprising:	
2	identifying at least two codewords to be transmitted via a single physical	
3	downlink shared channel (PDSCH); and	
4	using the identified one of the at least two MCS tables for downlink	
5	transmissions of the at least two codewords.	
1	72. The method of claim 63, further comprising:	
2	identifying a type of control channel to be used for the transmission; and	
3	identifying one of the at least two MCS tables to use for the transmission	
4	based at least in part on the identified type of control channel.	
1	73. The method of claim 63, further comprising:	

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2	identifying a set of candidates for a control channel transmission; and
3	identifying one of the at least two MCS tables to use for the control channel
4	transmission based at least in part on the identified set of candidates.
1	74. The method of claim 73, wherein a first set of candidates are
2	associated with a common search space, and a second set of candidates are associated with a
3	user equipment specific search space.
1	75. The method of claim 74, further comprising:
2	identifying at least one candidate associated with both the common search
3	space and the user equipment search space; and
4	identifying one of the at least two MCS tables based at least in part on a
5	predefined rule.
1	76. The method of claim 63, further comprising:
2	identifying a type of the transmission to occur on a wireless channel; and
3	identifying one of the at least two MCS tables to use for the transmission
4	based at least in part on the identified type of transmission.
1	77. The method of claim 63, wherein the identifying one of the at least two
2	MCS tables comprises:
3	dynamically selecting the MCS table to use for the transmission.
1	78. The method of claim 77, wherein the dynamic selection of the MCS
2	table is performed by an information field in downlink control information, where the
3	information field selects one of a plurality of sets of configurations, where each set of
4	configuration comprises parameters including an MCS table indicator and at least one of a
5	rate matching parameter and a quasi-co-location indication parameter.
1	79. The method of claim 63, wherein identifying one of the at least two
2	MCS tables comprises:
3	selecting the MCS table to use for the transmission according to a predefined
4	configuration setting.

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1		80.	The method of claim 63, wherein an MCS table comprises a listing of		
2	MCSs, each M	4CS be	ing mapped to at least one of a modulation scheme and a transport block		
3	3 size (TBS).				
1		81.	The method of claim 80, wherein a first TBS table is mapped from a		
2	first MCS tabl	le and a	a second TBS table is mapped from a second MCS table, the second TBS		
3	table comprisi	ing at le	east one TBS that is greater than a maximum TBS in the first TBS table.		
1		82.	The method of claim 63, wherein at least one of the identified MCS		
2	tables support	s 256 Q	Quadrature Amplitude Modulation (QAM).		
1		83.	An apparatus for wireless communications, comprising:		
2		a proc	cessor;		
3		a men	nory in electronic communication with the processor; and		
4	-	instru	ctions stored in the memory, the instructions being executable by the		
5	processor to:				
6			support the use of at least two modulation and coding scheme (MCS)		
7	tables;				
8			identify one of the at least two MCS tables; and		
9			use the identified MCS table to identify an MCS for a transmission.		
1		84.	The apparatus of claim 83, wherein the instructions are executable by		
2	the processor	to:			
3		identi	fy a channel quality indicator (CQI) value, the identified MCS being		
4	based at least	in part	on the received CQI value.		
1		85.	The apparatus of claim 83, wherein the instructions to use the		
2	identified MC	S table	to identify the MCS are executable by the processor to:		
3		select	the MCS for a downlink transmission.		
1		86.	The apparatus of claim 83, wherein the instructions to use the		
2	identified MC	'S table	to identify the MCS are executable by the processor to:		
· 3		select	the MCS for an uplink transmission.		

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1 2 3	87. The apparatus of claim 83, wherein the identification of one of the at least two MCS tables is based at least in part on a downlink control information (DCI) format.				
1	88. The apparatus of claim 83, wherein a first number of bits is used to				
2	represent a first MCS based on a first MCS table, and a second number of bits is used to				
3	represent a second MCS based on a second MCS table.				
1	89. The apparatus of claim 83, wherein the first number of bits is the same				
2	as the second number of bits.				
1	90. The apparatus of claim 83, wherein the instructions are executable by				
2	the processor to:				
3	associate a single MCS table with each assignment of a physical downlink				
4	shared channel (PDSCH).				
1	91. The apparatus of claim 83, wherein the instructions are executable by				
2	the processor to:				
3	identify at least two codewords to be transmitted via a single physical				
4	downlink shared channel (PDSCH); and				
5	use the identified one of the at least two MCS tables for downlink				
6	transmissions of the at least two codewords.				
1	92. The apparatus of claim 83, wherein the instructions are executable by				
2	the processor to:				
3	identify a type of control channel to be used for the transmission; and				
4	identify one of the at least two MCS tables to use for the transmission based at				
5	least in part on the identified type of control channel.				
1	93. The apparatus of claim 83, wherein the instructions are executable by				
2	the processor to:				
3	identify a set of candidates for a control channel transmission; and				
4	identify one of the at least two MCS tables to use for the control channel				
5	transmission based at least in part on the identified set of candidates.				

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1	94. The apparatus of claim 93, wherein a first set of candidates are				
2	associated with a common search space, and a second set of candidates are associated with a				
3	user equipment specific search space.				
1					
1	95. The apparatus of claim 94, wherein the instructions are executable by				
2	the processor to:				
3	identify at least one candidate associated with both the common search space				
4	and the user equipment search space; and				
5	identify one of the at least two MCS tables based at least in part on a				
6	predefined rule.				
1	96. The apparatus of claim 83, wherein the instructions are executable by				
2	the processor to:				
3	identify a type of the transmission to occur on a wireless channel; and				
4	identify one of the at least two MCS tables to use for the transmission based at				
5	least in part on the identified type of transmission.				
1	97. The apparatus of claim 83, wherein the instructions to identify one of				
2	the at least two MCS tables are executable by the processor to:				
3	dynamically select the MCS table to use for the transmission.				
1	98. The apparatus of claim 97, wherein the dynamic selection of the MCS				
2	table is performed by an information field in downlink control information, where the				
3	information field selects one of a plurality of sets of configurations, where each set of				
4	configuration comprises parameters including an MCS table indicator and at least one of a				
5	rate matching parameter and a quasi-co-location indication parameter.				
1	99. The apparatus of claim 83, wherein the instructions to identify one of				
2	the at least two MCS tables are executable by the processor to:				
3	select the MCS table to use for the transmission according to a predefined				
4	configuration setting.				

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1	100. The apparatus of claim 83, wherein an MCS table comprises a listing
2	of MCSs, each MCS being mapped to at least one of a modulation scheme and a transport
3	block size (TBS).
1	101. The apparatus of claim 100, wherein a first TBS table is mapped from
2	a first MCS table and a second TBS table is mapped from a second MCS table, the second
3	TBS table comprising at least one TBS that is greater than a maximum TBS in the first TBS
4	
1	102. The apparatus of claim 83, wherein at least one of the identified MCS
2	tables supports 256 Quadrature Amplitude Modulation (QAM).
1	103. An apparatus for wireless communications, comprising:
2	means for supporting the use of at least two modulation and coding scheme
3	(MCS) tables;
4	means for identifying one of the at least two MCS tables; and
5	means for using the identified MCS table to identify an MCS for a
6	transmission.
1	104. The apparatus of claim 103, further comprising:
2	means for selecting the MCS for downlink transmission.
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1	105. The apparatus of claim 103, further comprising:
2	means for selecting the MCS for an uplink transmission.
1	106. A computer program product for managing wireless communications,
2	the computer program product comprising a non-transitory computer-readable medium
3	storing instructions executable by a processor to:
4	support the use of at least two modulation and coding scheme (MCS) tables;
5	identify one of the at least two MCS tables; and
6	use the identified MCS table to identify an MCS for a transmission.
1	107. A method for wireless communications, comprising:
2	supporting the use of at least two channel quality indicator (CQI) tables;
3	receiving CQI data for a wireless channel; and

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4	identifying one of the at least two CQI tables to use to identify a CQI value				
5	based on the CQI data.				
1	10	08.	The method of claim 107, wherein a same number of bits is used to		
2	represent the CQI value regardless of which CQI table is identified.				
1	10	09.	The method of claim 107, wherein the identifying one of the at least		
2	two CQI tables comprises:				
3	se	electin	g the CQI table to use to identify the CQI value according to a		
4	predefined confi	igurati	on setting.		
1	1	10.	The method of claim 107, wherein the identifying one of the at least		
2	two CQI tables c	compri	ISES:		
3	d	ynami	cally selecting the CQI table to use to identify the CQI value.		
1	1	11.	An apparatus for wireless communications, comprising:		
2	a	proce	ssor;		
3	a	memo	ory in electronic communication with the processor; and		
4	in	nstruct	ions stored in the memory, the instructions being executable by the		
5	processor to:				
6			support the use of at least two channel quality indicator (CQI) tables;		
7			receive CQI data for a wireless channel; and		
8			identify one of the at least two CQI tables to use to identify a CQI		
9	9 value based on the CQI data.				
1	1	12.	An apparatus for wireless communications, comprising:		
2	m	neans f	for supporting the use of at least two channel quality indicator (CQI)		
3	tables;				
4	m	neans f	for receiving CQI data for a wireless channel; and		
5	n.	neans i	for identifying one of the at least two CQI tables to use to identify a		
6	CQI value based on the CQI data.				
1	1	13.	A computer program product for managing wireless communications,		
2	the computer program product comprising a non-transitory computer-readable medium				
3	storing instructions executable by a processor to:				

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- 4 support the use of at least two channel quality indicator (CQI) tables;
- 5 receive CQI data for a wireless channel; and

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- 6 identify one of the at least two CQI tables to use to identify a CQI value based
- 7 on the CQI data.





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<u>↓</u>200

FIG. 2





× 400

FIG. 4A

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CQI Index	Modulation Scheme	Code Rate x 1024	Efficiency	
0	Out of Range			
1	QPSK	78	0.1523	
2	QPSK.	120	0.2344	
3	QPSK	193	0.377	
4	QPSK	.308	0.6016	
5	QPSK	449	0.877	
6	QPSK	602	1.1758	
7	16QAM	378	1.4766	
8	16QAM	490	1.9141	
9	16QAM	616	2.4063	
10	64QAM	466	2.7305	
11	64QAM	567	3.3223	
12	64QAM	666	3.9023	
13	64QAM	772	4.5234	
14	64QAM	873	5.1152	
15	64QAM	948	5.5547	

Old CQI Table

× 460

CQI Index	Modulation	Code Rate	Efficiency
10, 22	Scheme	x 1024	[1 + 4]
0	C	Out of Range	
- 1 - 1	QPSK	78	0,1523
2	QPSK	193	0.377
3	QPSK	449	0.877
4	16QAM	378	1.4766
5	16QAM	490	1.9141
6	16QAM	616	2.4063
7	64QAM	466	2.7305
8	64QAM	567	3.3223
9	64QAM	666	3.9023
10	64QAM	772	4.5234
11	64QAM	873	5.1152
12	64QAM	948	5.5547
13	256QAM	792	6.0313
14	256QAM	873	6.8203
15	256QAM	948	7.4063

New CQI Table

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FIG. 4B

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MCS	Modulation	TBS
Index	Order	Index
0	2	0
1	2	1
2	2	2
3	2	3
4	2	4
5	2	5
6	2	6
7	2	7
8	2	8
9	2	9
10	4	9
11	4	10
12	4	11
13	4	12
14	4	13
15	4	14
16	4	15
17	6	15
18	6	16
19	6	17
20	6	18
21	6	19
22	6	20
23	6	21
24	6	22
25	6	23
26	6	24
27	6	25
28	6	26
29	2.	
30	4	Reserved
31	6	

Old MCS Table

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MCS	Modulation	TBS
Index	Order	Index
0	2	0
1	2	1
2	2	2
3	2	3
4	2	4
5	2	5
6	2	6
7	2	7
8	2	8
9	2	9
10	4	10
11	4	11
12	4	12
13	4	13
14	4	14
15	4	15
16	6	16
17	6	17
18	6	18
19	6	19
20	6	20
21	6	21
22	6	22
23	6	23
24	6	24
25	8	25
26	8	26
27	8	27
28	8	28
29	4	
30	6	Reserved
31	8	

New MCS Table

FIG. 4C





FIG. 5

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FIG. 6









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FIG. 9

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FIG. 10

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FIG. 11

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FIG. 12



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FIG. 13

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FIG. 14

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FIG. 15

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FIG. 16

#### INTERNATIONAL SEARCH REPORT

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A. CLASSIFICATION OF SUBJECT MATTER INV. H04L1/00

ADD.

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols) H04L

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where praoticable, search terms used)

EPO-Internal, COMPENDEX, INSPEC, IBM-TDB, WPI Data

C. DOCUMENTS CONSIDERED TO BE RELEVANT						
Category*	Citation of document, with indication, where appropriate, of the re	levant passages	Relevant to claim No.			
x	US 2009/163142 A1 (PI ZHOUYUE [U 25 June 2009 (2009-06-25) page 4, paragraph 40	JS] ET AL)	1-11,13, 15-25, 27, 29-35, 107-113			
	page 3, paragraph 59					
X	US 8 340 212 B2 (FUTAGI SADAKI   25 December 2012 (2012-12-25)	[JP] ET AL)	1-8, 11-13, 15-22, 25-27, 29-33, 35, 107-113			
	column 4, line 59 - column 5, li figures 2,8,9 column 1. line 46 - line 50	ne 31;				
		-/				
X Furth	ner documents are listed in the continuation of Box C.	X See patent family annex.				
* Special ci *A* docume to be c *E* earlier a filing d *L* docume cited to specia *O* docume the prior Date of the c	ategories of cited documents : Int defining the general state of the art which is not considered poplication or patent but published on or after the international ate In which may throw doubts on priority claim(s) or which is establish the publication date of another citation or other reason (as specified) ent referring to an oral disclosure, use, exhibition or other Int published prior to the international filing date but later than prity date claimed actual completion of the international search	<ul> <li>'T' later document published after the inter date and not in conflict with the applica- the principle or theory underlying the ii</li> <li>'X' document of particular relevance; the ol considered novel or cannot be conside step when the document is taken alon</li> <li>'Y' document of particular relevance; the ol considered to involve an inventive step combined with one or more other such being obvious to a person skilled in the '&amp;' document member of the same patent f</li> <li>Date of mailing of the international search</li> </ul>	national filing date or priority ation but cited to understand nvention laimed invention cannot be ered to involve an inventive e laimed invention cannot be o when the document is documents, such combination e art amily roh report			
2	0 May 2014	28/05/2014				
Name and n	aailing address of the ISA/ European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel (+31-70) 340-2040, Fax: (+31-70) 340-3016	Authorized officer Kazaniecki, Danie	1			

#### INTERNATIONAL SEARCH REPORT

International application No PCT/US2013/077844

C(Continua	Ition). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 8 040 912 B2 (IMAMURA DAICHI [JP] ET AL) 18 October 2011 (2011-10-18)	1-8, 11-22, 25-33, 35, 107-113
	column 10, line 41 - column 12, line 63; figure 4	
X	US 2007/070956 A1 (SEKI HIROYUKI [JP]) 29 March 2007 (2007-03-29)	1-8, 11-13, 15-22, 25-27, 29-33, 35, 107-113
	page 4, paragraph 76 - paragraph 78	
x	US 2009/245408 A1 (MUJTABA SYED AON [US] ET AL) 1 October 2009 (2009-10-01)	1-8, 11-22, 25-33, 35, 107-113
	page 3, paragraph 39	
x	US 2008/049813 A1 (KUROSE KENGO [JP] ET AL) 28 February 2008 (2008-02-28)	1-8, 11-13, 15-22, 25-27, 29-33, 35, 107-113
	page 6, paragraph 94	
X	QUALCOMM EUROPE ET AL: "Definition of MIMO operation on Hs-PDSCH, preferred precoding and CQI reporting procedures, modified CQI tables", 3GPP DRAFT; R1-071229, 3RD GENERATION PARTNERSHIP PROJECT (3GPP), MOBILE COMPETENCE CENTRE; 650, ROUTE DES LUCIOLES; F-06921 SOPHIA-ANTIPOLIS CEDEX ; FRANCE, vol. RAN WG1, no. St. Louis, USA; 20070218, 18 February 2007 (2007-02-18), XP050105191, [retrieved on 2007-02-18]	1-8, 11-13, 15-22, 25-27, 29-33, 35, 107-113
	page 1	
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plgeR2022-00457 Apple EX1002 Page 256

BNSDOCID: <WO\_\_\_\_2014109915A1\_I\_>

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#### **INTERNATIONAL SEARCH REPORT**

International application No
PCT/US2013/077844

C(Continua	tion). DOCUMENTS CONSIDERED TO BE RELEVANT	
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 2008/188259 A1 (BLANZ JOSEF J [DE] ET AL) 7 August 2008 (2008-08-07)	1-8, 11-13, 15-22, 25-27, 29-33, 35,
	page 5, paragraph 58	107-113
x	US 2006/287743 A1 (SAMPATH HEMANTH [US] ET AL) 21 December 2006 (2006-12-21)	1-8, 11-22, 25-33, 107-113
	page 5, paragraph 49	
X	"WF on CSI Process Definition Samsung, Intel, Qualcomm, NAL LG Electronics", 3GPP DRAFT; R1-125336 WF ON CSI PROCESS DEFINITION - FINAL, 3RD GENERATION PARTNERSHIP PROJECT (3GPP), MOBILE COMPETENCE CENTRE ; 650, ROUTE DES LUCIOLES ; F-06921 SOPHIA-ANTIPOLIS CEDEX ; FRANCE	1,3-5, 10,15, 17-19, 24,29, 31,32, 35,107, 111-113
	15 November 2012 (2012-11-15), XP050663175, Retrieved from the Internet: URL:http://www.3gpp.org/ftp/tsg_ran/WG1_RL 1/TSGR1_71/Docs/ [retrieved on 2012-11-15] the whole document	
X	US 2011/026623 A1 (SRINIVASA SUDHIR [US] ET AL) 3 February 2011 (2011-02-03) page 14, paragraph 155 page 17, paragraph 202	36-106
x	CN 102 624 481 A (ZTE CORP) 1 August 2012 (2012-08-01) abstract	36-106
x	US 2007/066242 A1 (YI BYOUNG-HA [KR] ET AL) 22 March 2007 (2007-03-22) page 2, paragraph 22	36-106
x	US 2009/010211 A1 (SUMASU ATSUSHI [JP] ET AL} 8 January 2009 (2009-01-08) page 2, paragraph 31	36-106
x	US 2011/235604 A1 (INOUE TAKAMICHI [JP] ET AL) 29 September 2011 (2011-09-29) page 4, paragraph 62 page 10, paragraph 187	36-106
	-/	

Form PCT/ISA/210 (continuation of second sheet) (April 2005)

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IPR2022-00457 Apple EX1002 Page 257

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Electronic Acknowledgement Receipt			
EFS ID:	20776180		
Application Number:	14390904		
International Application Number:			
Confirmation Number:	7239		
Title of Invention:	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment		
First Named Inventor/Applicant Name:	David Hammarwall		
Customer Number:	24112		
Filer:	John R. Owen/Katya Fox		
Filer Authorized By:	John R. Owen		
Attorney Docket Number:	4015-8999 / P41223-US2		
Receipt Date:	24-NOV-2014		
Filing Date:			
Time Stamp:	09:59:34		
Application Type:	U.S. National Stage under 35 USC 371		

## Payment information:

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File Listin	g:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)	
1	Transmittal Letter	IDS Cover Letter pdf	14495	no	1	
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Apple EX1002 Page 258

2	Information Disclosure Statement (IDS)	IDS add	612790		4
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5	Non Patent Literature	R1-130311.pdf	385908	no	4
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6	Non Patent Literature	R1-131328.pdf	594314	no	6
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7	Non Patent Literature	R1-131853.pdf	9fcb1bae715231a51c45fd134b1619fe544b dd7b	no	2
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	99	9314360b8e03740b769bb75a786f3ac9e7f 7f304				
10	Foreign Reference	WQ2014109915A1.pdf	6196390	no	84	

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Hammarwall, et al.

Serial No.: 14/390904

Filed: October 6, 2014

For: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

Attorney's Docket No: 4015-8999 / P41223-US2

MS AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: TBA

Group Art Unit: TBA

Confirmation No.: 7239

#### **INFORMATION DISCLOSURE STATEMENT**

)

In accordance with 37 C.F.R. 1.56, counsel wishes to make of record the attached items of information for the Examiner's consideration in connection with this application. Also enclosed is Form PTO/SB/08a for the Examiner's convenience in making such consideration of record. Inclusion herein of any particular item of information is not to be construed as an admission that same is prior art.

# The Commissioner is hereby authorized to charge any fees that may be required or credit any overpayment to Deposit Account 18-1167.

Respectfully submitted, COATS & BENNETT, P.L.L.C.

Dated: 24 November 2014

<u>/John R. Owen Reg. No. 42055/</u> John R. Owen Registration No.: 42,055 Telephone: (919) 854-1844

PTO-1390 (05-13)
Approved for use through 6/30/2013. OMB 0651-0021
d Tradomark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL LETTER TO THE UNITED STATES		Attorney Docket No. 4015-8999 / P41223-US2	
DESIGNATED/ELECTE	CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		
International Application No. PCT/SE2014/050803	International Filing Date 2014-06-26	Priority Date Claimed 2013-08-09	
Title of Invention Method and Radio Node for Enabling L	Ise of High Order Modulation in a Rad	lio Communication with a User Equipment	
First Named Inventor Hammarwall, David			
Applicant herewith submits to the United St	ates Designated/Elected Office (DO/EO/US	) the following items and other information.	
<ol> <li>This is an express request to begin na 35 U.S.C. 371(f) will not be effective u fee, copy of the International Applicational Appl</li></ol>	ational examination procedures (35 U.S.C. 37 inless the requirements under 35 U.S.C. 371( ion and English translation thereof (if required)	1(f)). NOTE: The express request under c)(1), (2), and (4) for payment of the basic national ), and the oath or declaration of the inventor(s)	
2. A copy of the International Application previously communicated by the Inter	n (35 U.S.C. 371(c)(2)) is attached hereto (not national Bureau or was filed in the United Stat	required if the International Application was tes Receiving Office (RO/US)).	
3. An English language translation of the	e International Application (35 U.S.C. 371(c)(2	))	
a. 🔽 is attached hereto.			
b. has been previously submitted u	nder 35 U.S.C. 154(d)(4).		
4. An oath or declaration of the inventor	(s) (35 U.S.C. 371(c)(4))		
a. 🔽 is attached.			
b. was previously filed in the interna	ational phase under PCT Rule 4.17(IV).		
PCT Article 10 and 24 amondments	ade in the international phase.		
5 Amendments to the claims under PCT	Article 19 are attached (not required if comm	unicated by the International Bureau) (35 U.S.C.	
3. $371(c)(3)).$	A nucle 19 are attached (not required in comm	unicated by the international bureau) (35 0.0.0.	
6. English translation of the PCT Article	19 amendment is attached (35 U.S.C. 371(c)(	3)).	
7. English translation of annexes (Article attached (35 U.S.C. 371(c)(5)).	e 19 and/or 34 amendments only) of the Intern	ational Preliminary Examination Report is	
Cancellation of amendments made in the interr	national phase		
8a. Do not enter the amendment made in	the international phase under PCT Article 19.		
8b. Do not enter the amendment made in	the international phase under PCT Article 34.		
NOTE: A proper amendment made in English instruction from applicant not to enter the amer	under Article 19 or 34 will be entered in the U. adment(s).	S. national phase application absent a clear	
The following items 9 to 17 concern a docu	ment(s) or information included.		
9. 🗸 An Information Disclosure Statement	under 37 CFR 1.97 and 1.98.		
10. 🔽 A preliminary amendment.			
11. 🗸 An Application Data Sheet under 37 C	CFR 1.76.		
12. A substitute specification. NOTE: A s	ubstitute specification cannot include claims.	See 37 CFR 1.125(b).	
13. 🖌 A power of attorney and/or change of	address letter.		
14. A computer-readable form of the sequ	uence listing in accordance with PCT Rule 13t	<i>er</i> .3 and 37 CFR 1.821-1.825.	
15. 🔽 Assignment papers (cover sheet and	<i>document(s))</i> . Name of Assignee:	ktiebolaget L M Ericsson (publ)	
16. 7 37 CFR 3.73(c) Statement (when then	re is an Assignee).		

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Independent c	laims	6	- 3 =	3		x <b>\$420</b>	\$ 1260	
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[Page 2 of 3]

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Name (Print/Type)	John R. Owen	Regist (Attorn	ration No. ley/Agent)	42055

[Page 3 of 3]

#### **Privacy Act Statement**

The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (*i.e.*, GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	4015-8999 / P41223-US2	
		Application Number		
Title of Invention         Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a Us           Equipment         Equipment			ulation in a Radio Communication with a User	
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.				

### Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

#### Inventor Information:

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Legal	Name								
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### **Correspondence Information:**

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	4015-8999 / P41223-US2	
		Application Number		
Title of Invention Method and Radio Node for E Equipment		nabling Use of High Order Mod	ulation in a Radio Communication with a User	
•				
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#### For further information see 37 CFR 1.33(a).

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### **Application Information:**

Title of the Invention	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment			
Attorney Docket Number	4015-8999 / P41223-US2 Small Entity Status Claimed			
Application Type	Nonprovisional			
Subject Matter	Utility			
Total Number of Drawing Sheets (if any)         7			Suggested Figure for Publication (if any)	5
Filing By Reference :				

Only compete this section when filing an application by reference under 35 U.S.C. 111(c) and 37 CFR 1.57(a). Do not complete this section if application papers including a specification and any drawings are being filed. Any domestic benefit or foreign priority information must be provided in the appropriate section(s) below (i.e., "Domestic Benefit/National Stage Information" and "Foreign Priority Information").

For the purposes of a filing date under 37 CFR 1.53(b), the description and any drawings of the present application are replaced by this reference to the previously filed application, subject to conditions and requirements of 37 CFR 1.57(a).

Application number of the previously filed application	Filing date (YYYY-MM-DD)	Intellectual Property Authority or Country i

#### **Publication Information:**

Request Early Publication (Fee required at time of Request 37 CFR 1.219)

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	4015-8999 / P41223-US2
		Application Number	
Title of Invention	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment		

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Prior Application Status	Expired		Remove
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
PCT/SE2014/050803	Claims benefit of provisional	61/863935	2013-08-09
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	4015-8999 / P41223-US2
		Application Number	
Title of Invention	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a Use Equipment		

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	4015-8999 / P41223-US2	
		Application Number		
Title of Invention	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a Use Equipment			

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Application Data Sheet 37 Cr K 1.70		Application Number			
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### METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

#### **Technical field**

The present disclosure relates generally to a radio node of a cellular network, a User Equipment, UE and methods therein, for enabling use of a high order modulation when communicating radio signals.

#### Background

In this field, the term "User Equipment, UE" is commonly used and will be used in this disclosure to represent any wireless terminal or device capable of radio communication with a cellular network including receiving downlink signals transmitted from a serving radio node and sending uplink signals to the radio node. For example, the term User Equipment, UE could be exchanged by the term "wireless device". Further, the term "radio node", also commonly referred to as a base station, e-nodeB, eNB, etc., represents any node of a cellular network that can communicate uplink and downlink radio signals with UEs. The radio nodes described here may, without limitation, include so-called macro nodes and low power nodes such as micro, pico, femto, Wifi and relay nodes, to mention some customary examples. Throughout this disclosure, the term "eNB" is often used but can be exchanged by the term radio node.

Link adaptation in systems according to Long Term Evolution, LTE, is based on adaptive modulation and coding, which controls data rate by adaptively adjusting the modulation scheme and/or channel coding rate according to the radio-link conditions. In this procedure, the Modulation and Coding Scheme, MCS, adopted for Physical Downlink Shared Channel, PDSCH, transmission must be indicated in downlink MCS signaling by the serving radio node to the UE. By uplink signaling, the UE informs the radio node about corresponding radio-link, i.e. channel, conditions through Channel Quality Indicator, CQI signaling, including sending CQI reports to the radio node.

This is generally illustrated in Fig. 1 in which a radio node 100 of a cellular network is serving two UEs denoted UE1 and UE2. In this example, UE1 and UE2 both

report quality measurements made on the channel used by sending CQI reports to the radio node 100 which selects a suitable MCS for each UE based on their CQI reporting and signals the selected MCS to the UEs, respectively. Link adaptation is made in this way for individual UEs on a dynamic basis since the radio-link conditions may change rapidly. The selection of a suitable MCS can thus be made individually for each UE.

In current LTE systems, the set of available modulation schemes for both downlink and uplink includes Quadrature Phase-Shift Keying, QPSK, 16 Quadrature Amplitude Modulation, QAM, and 64QAM, corresponding to two, four and six bits carried per modulation symbol, respectively. In this field, the number of bits carried per modulation symbol is usually referred to as the modulation order,  $Q_m$ .

In brief, the serving radio node selects a suitable MCS based on CQI reporting from the UE and signals the selected MCS to the UE with reference to a predefined MCS index table which is known to the UE. The MCS index table maps MCS indices to modulation order and a Transport Block Size, TBS, index. Further, the UE determines a CQI value based on signal measurements and the CQI is signaled from the UE to the radio node with reference to a likewise predefined CQI index table which maps CQI indices to modulation forms and code rates. In this description, the term "modulation form" is used for short to indicate a modulation format, method or scheme.

In LTE, especially for scenarios with good channel conditions where the Signal-to-Interference-and-Noise Ratio, SINR, is high, e.g. in small-cell environments where the UE is close to its serving radio node, a straightforward means to provide higher data rate for the UE with given transmission bandwidth is to use higher-order modulation that allows for more bits of information to be carried per modulation symbol, as compared to the modulation schemes mentioned above where the highest possible data rate is provided by 64QAM carrying six bits per modulation symbol. However, it is a problem that the control signaling schemes, methods, formats or protocols of today do not support any modulation with higher order than six bits per symbol, as in 64QAM. It is also a problem that additional control

signaling would be required between the UE and the serving radio node if higher data rate is to be achieved by using higher-order modulation.

#### Summary

It is an object of embodiments described herein to address at least some of the problems and issues outlined above. It is possible to achieve this object and others by using a radio node, a UE and methods therein as defined in the attached independent claims.

According to one aspect, a method is performed by a radio node of a cellular network. The radio node is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

In this method, the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. The radio node then instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the second CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table when the second table configuration is applied.

According to another aspect, a radio node of a cellular network is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table

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wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The radio node comprises a logic unit configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE.

The radio node also comprises an instructing unit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. At least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least cone of the first CQI table when the second table configuration is applied.

According to another aspect, a method is performed by a User Equipment, UE, being operable to apply a first table configuration in a radio communication with a radio node of a cellular network. The first table configuration comprises at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. In this method, the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE further applies the second table configuration in the radio communication with the radio node.

According to another aspect, a User Equipment, UE, is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation

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and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The UE comprises a communication unit which is configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE also comprises a logic unit which is configured to apply the second table configuration in the radio communication with the radio node.

When using any of the above methods and nodes, it is possible to achieve a higher data rate in the radio communication between the radio node and the UE by using the higher modulation order of the second table configuration, e.g. when the radio or channel conditions are favorable, instead of being limited to the maximum modulation order of the first table configuration.

A computer program is also provided comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out either of the above methods. A carrier is also provided which contains the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

The above methods and nodes may be configured and implemented according to different optional embodiments to accomplish further features and benefits, to be described below.

#### Brief description of drawings

The solution will now be described in more detail by means of exemplary embodiments and with reference to the accompanying drawings, in which:

Fig. 1 is a communication scenario illustrating how link adaptation can be achieved, according to the prior art.

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Fig. 2 is a table used for MCS signaling from a radio node to a UE, according to a first table configuration.

Fig. 3 is a table used for CQI signaling from a UE to a radio node, according to the first table configuration.

Fig. 4 is a flow chart illustrating a procedure in a radio node, according to some possible embodiments.

Fig. 5 is a block diagram illustrating an example of how a radio node may be configured and operate, according to further possible embodiments.

Fig. 6 is a flow chart illustrating a procedure in a UE, according to some possible embodiments.

Fig. 7 is a block diagram illustrating an example of how a UE may be configured and operate, according to further possible embodiments.

Fig. 8 is an example of a modified table used for MCS signaling from a radio node to a UE, according to according to a second table configuration.

Fig. 9 is an example of a modified table used for CQI signaling from a UE to a radio node, according to the second table configuration.

Fig. 10 is an example of a modified table used for mapping a Transport Block Size, TBS, index to a data rate, according to further possible embodiments.

#### **Detailed description**

In this solution it has been recognized that the above-described control signaling for MCS and CQI indication can be re-designed in order to adopt higher-order modulation schemes in LTE systems. In particular, the MCS and CQI index tables used for such signaling can be modified such that the current maximum modulation order can be increased without requiring any extra signaling bits. In this disclosure, the term higher-order modulation may refer to modulation schemes that are higher than 64QAM, such as e.g. 256QAM allowing eight bits per symbol, or even higher modulation of 512QAM, and so forth.

Briefly described, a first table configuration is initially applied in radio communication between a radio node and a UE. The first table configuration comprises a first MCS table and/or a first CQI table which tables support a certain maximum modulation order, e.g. 6. An example of the first MCS table is shown in Fig. 2 and an example of the first CQI table is shown in Fig. 3. The first MCS table are thus predefined and known to the UE, for example the tables currently used in LTE for signaling between radio nodes and UEs for enabling link adaptation as described above although other MCS and CQI tables are also possible to use in the first table configuration. In these examples it can be seen that the maximum modulation order supported by the first MCS table and the first CQI table is  $Q_m = 6$  which corresponds to 64QAM.

When detecting that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in the above communication, e.g. based on CQI reports from the UE, the radio node instructs the UE to apply a second table configuration that supports the higher modulation order. The second table configuration comprises a second MCS table and/or a second CQI table having additional entries that support the higher modulation order. Examples of how such tables of the second table configuration can be configured will be described in more detail later below. In this solution, at least one entry for at least one modulation order is maintained, i.e. kept, from the table(s) of the first table configuration as a fall-back in case it would become desirable or even necessary to use the least one modulation order of the first table configuration is applied, such as when the radio conditions get worse and only the least one modulation order of the first table configuration, e.g. the lowest modulation order, is possible to use for keeping the radio connection.

It will now be described how link adaptation can be achieved in general according to LTE.

For downlink data transmission in LTE, the radio node typically selects the MCS depending on the CQI feedback transmitted by the UE in the uplink, as illustrated

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in Fig. 1. The CQI feedback indicates the present channel condition and possible data rate, or more specifically a modulation and coding scheme MCS, that can be supported by the downlink channel given the present channel condition and UE receiver.

The LTE specifications are designed to provide signaling between the radio node and the UE. In the downlink, the information about the MCS adopted for PDSCH transmission is indicated by a five-bit field in the Downlink Control Information, DCI, transmitted from the radio node to the UE. This MCS field corresponds to the MCS index table shown in Fig. 2. In this table, there is room for 32 combinations or entries, where 29 entries are used to signal an adopted MCS, each entry corresponding to a modulation order and a Transport Block Size, TBS, while 3 entries are reserved, e.g., to support adaptive retransmissions. All possible TBS can be described by a TBS table mapping a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size (in bits).

In the uplink, the UE reports CQI to assist the serving radio node to select the appropriate MCS to apply for downlink transmissions. Typically, the CQIs are derived from measurements made by the UE on downlink reference signals transmitted by the serving radio node. For example, the reported CQI may represent the highest MCS that is supported for a PDSCH transmission, e.g. with a transport block error rate probability not exceeding 10%. The CQI is signaled from the UE to the radio node with reference to a predefined CQI index table, as shown in Fig. 3. A 4-bit CQI value corresponds to a particular MCS out of 16 combinations corresponding to CQI index 0-15 in the CQI index table. It should be noted that the CQI table is parameterized in terms of coding rate, as opposed to transport block size. Thus, the selected and signaled CQI indicates the highest modulation and coding rate at which the block error rate measured at UE does not exceed 10%. Based on the CQI feedback from the UE and other information, the radio node is able to select a proper MCS index from the MCS table and notify the UE accordingly by MCS signaling.

Current LTE systems support three modulation schemes for both downlink and uplink: QPSK, 16QAM and 64QAM. Accordingly, the MCS index table, the CQI index table and the corresponding fields for indication in DCI are designed for these three modulation schemes. However, higher-order modulation schemes are not supported in current LTE specifications. In order to support higher-order modulation, i.e. higher than the above schemes QPSK, 16QAM and 64QAM, UEs must support an additional MCS/CQI table that also includes specific entries for new modulation schemes. The modification of MCS/CQI table may require redesigning the DCI format and possibly also the Uplink Control Information, UCI, format.

Typically, the additional MCS/CQI tables are used in scenarios with high Signal-to-Noise Ratio, SNR, or SINR which allow for higher-order modulation to be used thanks to the high signal quality. In scenarios with relatively low SNR or SINR, on the other hand, the current MCS/CQI tables supporting QPSK, 16QAM and 64QAM are useful to achieve link robustness. Hence, a solution has been devised with flexibility to adopt appropriate MCS/CQI tables based on channel conditions as follows.

As mentioned above, current LTE systems only support modulation up to 64QAM, while it may be desirable to use higher-order modulation, e.g. 256QAM, to increase the data rate when the signal quality allows. To support higher-order modulation, adaptations and/or extensions to the current control signaling in terms of the MCS index table, the CQI index table and the corresponding fields in DCI/UCI are required. This can be solved by the embodiments described herein.

In this disclosure, an alternative design of an MCS index table and/or of a CQI index table supporting higher-order modulation is described which can be used for LTE systems, which can be supported in addition to basic MCS and CQI tables such as the current design of the MCS index table and the CQI index table shown in Fig. 2 and Fig. 3, respectively.

In the current LTE specification, the MCS and CQI tables support modulation schemes up to 64QAM, e.g. as illustrated in Figs 2 and 3. The proposed new MCS

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and CQI index tables are able to support modulation higher than 64QAM, without necessarily extending the number of bits in the DCI/UCI formats, or the number of entries in the MCS table and in the CQI table, respectively. In this solution, it is possible to select higher-order modulation schemes e.g. in the high-SINR scenarios or generally when a performance related parameter, such as SINR, of signals communicated between a radio node and a UE is above a certain threshold.

In the new MCS/CQI tables, new entries for higher-order modulation are added and designed to provide sufficient resolution to cover the high-SINR region. Meanwhile, a large part of the existing entries in current MCS and/or CQI tables may be preserved. The current MCS and/or CQI tables may be comprised in a first table configuration while the new MCS and/or CQI tables supporting a higherorder modulation may be comprised in a second table configuration. This has the advantage that the number of new MCS/CQI formats a UE and a radio node has to implement may be minimized. In other words, the UE and the radio node need to support only one extra MCS table and/or CQI table of the second table configuration in order to enable the higher-order modulation.

In a possible embodiment, at least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and/or at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table is preserved or maintained from the basic MCS and/or CQI table, to ensure proper communication between the radio node and the UE under poor channel or radio conditions. Thus, a fallback is provided in case it is only possible or desirable to use a modulation order lower than the higher modulation order, e.g. the lowest modulation order, when the second table configuration is applied. This provides flexibility and robustness in case of changing channel or radio conditions, and provides a robust format to, for example, signal control-plane data, and/or to reconfigure the UE to assume the basic MCS and/or CQI table suitable for poor/normal channel or radio conditions. By employing embodiments described herein, the link adaption in LTE systems may be enhanced to support higher-order modulation schemes, which can significantly improve the spectral efficiency e.g. in

high SINR scenarios, while maintaining robustness in case of worsening radio conditions.

It should be noted that although terminology from 3GPP LTE is used in this disclosure to describe various exemplifying embodiments, this should not be seen as limiting the scope of usage to only the aforementioned system. Other wireless systems, including WCDMA, WiMAX, and Ultra Mobile Broadband, UMB, may also benefit from exploiting embodiments described herein.

It should also be noted that terminology such as radio node should be considered non-limiting and in general "radio node" could be considered as device 1 and "UE" could be considered as device 2 and these two devices may communicate with each other over some radio channel in the manner described herein.

In the following, the solution will be explained in more detail by some exemplary embodiments. It should be noted that these embodiments are not mutually exclusive. Components from one embodiment may be utilized in another embodiment wherever appropriate.

The MCS index table and CQI index table used in current LTE specification are shown in Fig. 2 and Fig. 3, respectively. A possible design of alternative MCS and CQI index tables will now be described as well as the mechanism by which the radio node and the UE can switch between the proposed new MCS/CQI tables of the second table configuration and the MCS/CQI tables of the first table configuration. It should be noted that the solution is not limited to the specific examples of MCS/CQI tables described herein and that any MCS/CQI tables may be used in accordance with the embodiments described herein.

An example of a procedure, performed by a radio node of a cellular network when the solution is employed, will now be described with reference to the flow chart in Fig. 4. Some possible but non-limiting embodiments will also be described which may be used for the radio node. In this procedure, it is assumed that the radio node is operable to apply a first table configuration in radio communications with UEs, and that the first table configuration comprises at least one of a first MCS

table and a first CQI table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. As said above, the maximum modulation order supported by the currently used MCS/CQI tables is  $Q_m = 6$  which corresponds to 64QAM, and the currently used MCS/CQI tables may, without limitation, be used as the first table configuration in this solution.

A first **action 400** illustrates that the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. For example, this may be detected when a performance related parameter, e.g. SINR, of signals communicated between the radio node and the second UE is above a certain threshold.

Another action 402 illustrates that the radio node instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied. In other words, the at least one of the second MCS table and the second CQI table includes at least one entry for at least one modulation order, e.g. the lowest modulation order, that is also included in the at least one of the first MCS table and the first CQI table, in order to provide said fall-back. Such a fall-back may be desirable, and even necessary, if the signal quality, e.g. as indicated by the CQI reports from the UE, suddenly deteriorates and no modulation order higher than the lowest one is suitable or even possible to use for achieving robustness.

In a possible embodiment, the radio node may instruct the first UE to apply the second table configuration in the radio communication between the radio node and

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the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold. The performance related parameter may be derived from CQI reports provided by the first UE containing measurements of downlink reference signals transmitted by the radio node.

In another possible embodiment, the radio node may instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold. In yet a possible embodiment, the radio node may in this case instruct the second UE explicitly by sending an instruction to the second UE to apply the first table configuration, or implicitly by not sending an instruction to the second UE to apply the second table configuration which indicates to the second UE that it should apply the first table configuration. Furthermore, the radio node may instruct the first UE as well to apply the first table configuration again, if the performance related parameter of signals between the radio node and the first UE would fall below the threshold. In either of the latter embodiments, the performance related parameter may comprise a Signal-to-Interference-and-Noise Ratio, SINR.

It was mentioned above that the MCS and CQI index tables of the second table configuration may be created by modifying the MCS and CQI index tables of the first table configuration, e.g. the tables shown in Figs 2 and 3, such that the current maximum modulation order can be increased. This may be done without requiring any extra signaling bits. In one possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries for the higher modulation order have been added and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table one of the first MCS table and the first table one of the first MCS table and the first CQI table one of the first MCS table and the first CQI table one of the first MCS table and the first table one of the first MCS table and the first table one of the first MCS table and the first table one of the first MCS table and the first CQI table have been removed in the respective second tables. In another possible embodiment, the at least one modulation order in the at least one of the first MCS table and the first CQI table may comprise a lowest modulation order of the first table configuration. It will be described later below how the above-

mentioned modification of the first MCS table and the first CQI table may be done in more detail with reference to examples shown in Figs 8 and 9.

It was also mentioned above that at least one entry for the at least one modulation order, e.g. the lowest modulation order, in the at least one of the first MCS table and the first CQI table is maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table as a fall-back in case the signal quality deteriorates while using the second table configuration. In another possible embodiment, the first entry for the lowest modulation order in the first MCS table may be maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table may be maintained in the second CQI table as the fall-back. This embodiment will be illustrated in more detail later below.

In another possible embodiment, the number of added entries for the higher modulation order and the number of removed entries for the lowest modulation order may be equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size. Thereby, no extra bits are needed in the signaling of MCS and/or CQI, respectively, for supporting the second table configuration in addition to the first table configuration.

In another possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added. In yet a possible embodiment, the first and second table configurations may further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively. The TBS table maps a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size, e.g. given in number of bits.

A detailed but non-limiting example of how a radio node may be structured with some possible functional entities such as modules, circuits or units, to bring about

the above-described functionality of the radio node, is illustrated by the block diagram in Fig. 5. In this figure, the radio node 500 is operable to apply a first table configuration in radio communications with UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The radio node 500 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the radio node 500 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 4 and the embodiments described above, where appropriate. In order to put any of this into practice, the radio node 500 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the radio node 500 comprises means, such as a **logic unit 500a**, configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node 500 and a first UE 502. This detecting operation may be performed as described for action 400 above.

The radio node 500 also comprises means, such as an **instructing unit 500b**, configured to instruct the first UE 502 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table when the second table configuration is applied. This instructing operation may be performed as described for action 402 above.

An example of a procedure, performed by a UE when the solution is employed, will now be described with reference to the flow chart in Fig. 6. In this procedure, it is assumed that the UE is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

A first **action 600** illustrates that the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. In another **action 602**, the UE applies the second table configuration in the radio communication with the radio node, which may include receiving MCS signaling from the radio node with reference to the second MCS table and/or sending CQI reports to the radio node with reference to the second CQI table, in accordance with the second table configuration.

A detailed but non-limiting example of how a UE may be structured with some possible functional entities such as modules, circuits or units, to bring about the above-described functionality of the UE, is illustrated by the block diagram in Fig. 7. In this figure, the UE 700 is operable to apply a first table configuration in a radio communication with a serving radio node 702 of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The UE 700 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the UE 700 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 6. In order to put any of this into practice, the UE
700 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the UE 700 comprises means, such as a **communication unit 700a**, configured to receive an instruction from the radio node 702 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE 700 also comprises means, such as a **logic unit 700b**, configured to apply the second table configuration in the radio communication with the radio node 702. This is illustrated in the figure by the UE 700 receiving MCS signaling from the radio node 702 with reference to the second MCS table and/or sending CQI reports to the radio node 702, with reference to the second CQI table in accordance with the second table configuration.

It should be noted that Figs 5 and 7 illustrate various functional units in the radio node 500 and the UE 700, respectively, and the skilled person is able to implement these functional units in practice using suitable software and hardware. Thus, the solution is generally not limited to the shown structures of the radio node 500 and the UE 700, and the functional units 500a-b and 700a-b may be configured to operate according to any of the features described in this disclosure, where appropriate.

The functional units 500a-b and 700a-b described above can be implemented in the radio node 500 and the UE 700, respectively, by means of program modules of a respective computer program comprising code means which, when run by the processor P in each node causes the radio node 500 and the UE 700 to perform the above-described actions and procedures. Each processor P may comprise a single Central Processing Unit (CPU), or could comprise two or more processing units. For example, each processor P may include a general purpose microprocessor, an instruction set processor and/or related chips sets and/or a special purpose microprocessor such as an Application Specific Integrated Circuit (ASIC). Each processor P may also comprise a storage for caching purposes.

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Each computer program may be carried by a computer program product in each of the radio node 500 and the UE 700 in the form of a memory having a computer readable medium and being connected to the processor P. The computer program product or memory M in each of the radio node 500 and the UE 700 may thus comprise a computer readable medium on which the computer program is stored e.g. in the form of computer program modules or the like. For example, the memory M in each node may be a flash memory, a Random-Access Memory (RAM), a Read-Only Memory (ROM) or an Electrically Erasable Programmable ROM (EEPROM), and the program modules could in alternative embodiments be distributed on different computer program products in the form of memories within the respective radio node 500 and UE 700.

The solution described herein may be implemented in the respective radio node 500 and UE 700 by a computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the actions according to any of the above embodiments. The solution may also be implemented at the respective radio node 500 and UE 700 in a carrier containing the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

It will now be described in more detail how the first MCS and CQI tables shown in Figs 2 and 3 can be modified to create the second MCS and CQI tables, which is exemplified in the modified MCS table shown in Fig. 8 and in the modified CQI table shown in Fig. 9.

# MCS index table

In an illustrative example, a first MCS table of the above-described first table configuration is used for downlink transmission to one UE, such as UE1 in Fig. 1, and for downlink transmission to another UE, such as UE2 in Fig. 1, a second MCS table of the above-described second table configuration is used.

In another illustrative example, the first MCS table is the current MCS table of the first table configuration in specification as shown in Fig. 2, and the second MCS table is a modified new MCS table of the second table configuration that supports

a modulation order higher than the maximum modulation order supported by the first MCS table, i.e. the second MCS table contains entries for higher-order modulation. An example of a second MCS table is shown in Fig. 8 which has been modified from the first MCS table of Fig. 2.

In another illustrative example, the radio node can use either current MCS table or proposed alternative MCS table for DL transmission. In a further example, the information about the MCS table that is to be used may be part of a DCI message, in which case it typically applies to a single specific subframe, or it may be part of a Radio Resource Control, RRC message or a Medium Access Control, MAC message, in which case it typically applies until further notice.

In another illustrative example, the proposed MCS index table of the second table configuration may have 32+N rows where N≥0 is a non-negative integer. The columns of the table represent the same parameters as those of the MCS index table shown in Fig. 2, which include MCS index  $I_{MCS}$ , modulation order  $Q_m$  and TBS index  $I_{TBS}$ . Each table row or entry, that corresponds to one MCS, is indexed by the MCS index  $I_{MCS}$  and contains a particular combination of modulation order and TBS index.

In another illustrative example, in the proposed MCS index table of the second table configuration, M rows, i.e., M MCS indices may be used to indicate the MCSs including pairs of one higher-order modulation scheme and one TBS index, where M is a non-negative integer with M>N.

In another illustrative example, *32+N-M* MCS entries in Fig. 2 may be re-used in the new MCS table of the second table configuration. In other words, *M-N* MCS entries in Fig. 2 are not included in the new MCS table.

The current TBS table in the specification is illustrated in Table 7.1.7.2.1-1 in the document called 3GPP TS 36.213 V11.2.0 (2013-04). In another example, the rows of TBS values corresponding to the TBS indices contained in said *M-N* MCSs may be removed from the TBS table of the first table configuration. Meanwhile, *M* 

new rows of TBS values corresponding to said *M* MCSs for higher-order modulation are added to said TBS table.

In a further illustrative example, when N=0, the new MCS table of the second table configuration may keep the same size as the MCS table in Fig. 2 and M MCSs for higher-order modulation in Fig. 8 replaces M MCSs in Fig. 2. In a further example, the lowest MCS, corresponding to MCS index 0, may be preserved or kept in the new MCS table of the second table configuration in Fig. 8. In a further example, the subsequent M lowest MCSs (corresponding to MCS indices 1 through M) are excluded in the new MCS table of the second table configuration. An example of such a new MCS table is shown in Fig. 8. Compared to Fig. 2, the MCSs with indices from 1 to 6 for modulation order 2 (QPSK) have been removed in Fig. 8, whereas the lowest MCS is preserved. Instead, 6 MCSs for modulation order 8 (256QAM) have been added to the MCS table in Fig. 8.

In order to create the TBS table of the second table configuration, rows of TBS values corresponding to the removed and added MCSs should be removed and added accordingly from and to the TBS table of the first table configuration. Other MCS entries are re-used in the new MCS table and the indices in this table are rearranged, i.e. renumbered, from beginning. Fig. 10 illustrates an example of a proposed TBS table of the second table configuration, table dimension is 27\*110, with index 21-26 for 256QAM, corresponding to the table in Fig. 9, where "NV" denotes new values. For the example shown in Fig. 10, the rows with index 1-6 for QPSK are removed and 6 new rows of TBS table of the second table configuration shall be consistent with the TBS index in said new MCS table of the second table configuration.

Fig. 10 will now be explained in more detail. Fig. 10 shows the Transport Block Size table in the specification 36.213 Table 7.1.7.2.1-1. The transport block size, i.e. the number of bits carried by a transport block is determined by both adopted MCS and the number of pair of resource blocks which is denoted  $N_{PRB}$  in the table. For each MCS index in the MCS table, there are 110 possible numbers of

PRB, 1-110. This is why the TBS table has a size of 110 columns and 27 rows that correspond to different MCSs, although they are not one-to-one mappings to the MCS table. If new MCS indices are added for 256QAM, the TBS entries for 256QAM need also be calculated and specified. Hence, in the new MCS table, the new entries for 256QAM must contain the TBS indices for corresponding new TBS values.

In another illustrative example, the lowest MCS, i.e. the entry with MCS index 0 in the MCS table of the first table configuration shown in Fig. 2 may be re-used in the new MCS table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition, which may be called a low SINR scenario or similar.

In another illustrative example, when the new MCS table of the second table configuration is in use and the entry for lowest MCS is selected for a transmission, this indicates that it is difficult to support higher-order modulation due to variation of channel quality, bad radio conditions or other issues, and that a more robust MCS is needed. Hence, the MCS table used in the next transmission may automatically fall back to the first MCS table of the first table configuration, which may without limitation be the MCS table of the current standard, which allows for selection of any of the entries of e.g. the lowest modulation order.

In another illustrative example, the MCS field in DCI may be extended by one or more bits to indicate the MCS index when N>0, that is, the new MCS table of the second table configuration may have more than 32 MCS indices. However, this expansion of the MCS table may require one or more extra bits in the DCI.

# **CQI** index table

The design of the new, or second, CQI index table of the second table configuration is similar to that of the new, or second, MCS index table of the second table configuration.

In an illustrative example, a first CQI table of the first table configuration may be used for radio transmission from the first UE to the radio node, while in the radio transmission from the second UE to the radio node, a second CQI table of the second table configuration may be used, or vice versa.

In another illustrative example, said first CQI table and second CQI table are the current CQI table in specification and a modified CQI table that contains entries for higher-order modulation, respectively. An example of a second CQI table of the second table configuration is shown in Fig. 9 which has been modified from the first CQI table of the first table configuration in Fig. 3.

In another illustrative example, a UE can use either the current CQI table of the first table configuration or the new CQI table of the second table configuration for transmission. In further examples, the information about the CQI table that is to be used may be part of a UCI message or part of a RRC or MAC message.

In another illustrative example, the proposed CQI table of the second table configuration has 16+N rows where  $N \ge 0$  is a non-negative integer. The columns of the new CQI table represent the same parameters as those of the CQI table shown in Fig. 3, which include CQI index, modulation form, code rate and efficiency. Each table row or entry, that is one CQI, is indexed by a CQI index and contains a particular combination of a modulation order and code rate. The value of efficiency is calculated based on modulation order and code rate.

In another illustrative example, in the proposed CQI index table of the second table configuration, M rows, i.e., M CQI indices are used to indicate the CQI including pairs of one higher-order modulation scheme, one coding rate and resultant efficiency value, where M is a non-negative integer with M>N. In a further embodiment, the coding rates for higher-order modulation are defined and added to the CQI table.

In another illustrative example, *16+N-M* CQIs in Fig. 3 are re-used in the alternative CQI table of the second table configuration. In a further embodiment,

when N=0, the new CQI table keeps the same size as the CQI table in Fig. 3 and M CQIs for higher-order modulation replaces M CQIs in Fig. 3.

In a further example, the lowest CQI corresponding to CQI index 1 is preserved in the new CQI table of the second table configuration. In a further embodiment, the subsequent *M* lowest CQIs, corresponding to CQI indices 2 through M+1, are excluded in the new CQI table of the second table configuration. An example of such an embodiment is provided in Fig. 9. Compared to Fig. 3, the CQIs with indices from 2 to 5 for modulation order 2 (QPSK) have been removed, whereas the lowest CQI is preserved. Instead, 4 CQIs for modulation order 8 (256QAM) have been added to the table in Fig. 9. Other CQIs are re-used in the table and their indices are rearranged.

As mentioned above, the lowest CQI, i.e. the entry with index 1 in Fig. 3, may be re-used in the new CQI table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition.

In another illustrative example, when the new CQI table of the second table configuration is in use and the entry for lowest CQI is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the CQI table used in next transmission may automatically fall back to the first CQI table of the first table configuration which may without limitation be the CQI table in the current standard.

In another illustrative example, the CQI field in uplink control signaling may be extended by one or more bits to indicate the CQI index when *N*>0, that is, the new CQI table has more than 16 CQIs. However, this expansion of the CQI table may require one or more extra bits in the DCI.

## **Potential advantages**

The embodiments described herein may have the following advantages:

- The process of link adaptation in LTE systems may be enhanced to support higher-order modulation schemes in an efficient manner, which may significantly improve the spectral efficiency in a cellular network, particularly in high SINR or SNR scenarios.
- At least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table may be preserved from the first table configuration as a fallback in the second table configuration to ensure proper communication between the radio node and UE when the radio link experiences very poor channel condition.
- The size of the current MCS table may be preserved, or minimally expanded.
- The implementation effort in the radio node and UEs may be kept to a minimum by sharing the majority of the MCS/CQI entries in the first and second MCS/CQI tables, respectively.
- The solution may be applied for both downlink and uplink transmissions.

While the solution has been described with reference to specific exemplary embodiments, the description is generally only intended to illustrate the inventive concept and should not be taken as limiting the scope of the solution. For example, the terms "radio node", "User Equipment, UE", "table configuration", and "modulation order" have been used throughout this description, although any other corresponding entities, functions, and/or parameters could also be used having the features and characteristics described here. The solution is defined by the appended claims.

## Abbreviations

LTE long-term evolution PDSCH physical downlink shared channel

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- MCS modulation and coding scheme
- eNB E-UTRAN NodeB
- UE user equipment
- CQI channel-quality indicator
- QPSK quadrature phase-shift keying
- 16QAM 16 quadrature amplitude modulation
- 64QAM 64 quadrature amplitude modulation
- SINR signal-to-interference-and-noise ratio
- DCI downlink control information
- GSM global system for mobile communications
- WCDMA wideband code-division multiple access
- WiMAX worldwide interoperability for microwave access
- UMB ultra mobile broadband

# CLAIMS

1. A method performed by a radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- detecting (400) that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- instructing (402) the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table when the second table configuration is applied.

2. A method according to claim 1, wherein the radio node (500) instructs the first UE (502) to apply the second table configuration in the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

3. A method according to claim 2, wherein the radio node (500) instructs a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter

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of signals communicated between the radio node (500) and the second UE is below the threshold.

4. A method according to claim 2 or 3, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

5. A method according to claim 3, wherein the radio node (500) instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.

6. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

7. A method according to any of claims 1-6, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

8. A method according to claim 7, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.

9. A method according to claim 6 and any of claims 7-8, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

10. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of

the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

11. A method according to any of claims 1-10, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

12. A radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node (500) comprising:

- a logic unit (500a) configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- an instructing unit (500b) configured to instruct the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order , and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table and the first CQI table configuration is applied.

13. A radio node (500) according to claim 12, wherein the radio node (500) is configured to instruct the first UE (502) to apply the second table configuration in

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the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

14. A radio node (500) according to claim 13, wherein the radio node (500) is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter of signals communicated between the radio node (500) and the second UE is below the threshold.

15. A radio node (500) according to claim 13 or 14, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

16. A radio node (500) according to claim 14, wherein the radio node (500) is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.

17. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

18. A radio node (500) according to any of claims 12-17, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

19. A radio node (500) according to claim 18, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.

20. A radio node (500) according to claim 17 and any of claims 18-19, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

21. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

22. A radio node (500) according to any of claims 12-21, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

23. A method performed by a User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- receiving (600) an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

- applying (602) the second table configuration in the radio communication with the radio node (702).

24. A User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

- a communication unit (700a) configured to receive an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

- a logic unit (700b) configured to apply the second table configuration in the radio communication with the radio node (702).

25. A computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the method according to any one of claims 1-11 and 23.

26. A carrier containing the computer program of claim 25, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

# ABSTRACT

A method and radio node (500) for enabling higher-order modulation in a radio communication with a first UE (502). A first table configuration comprises at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table which tables support a certain maximum modulation order. When the radio node (500) detects that a modulation order higher than the maximum modulation order of the first table configuration is potentially possible to use in the radio communication, the radio node (500) instructs the first UE (502) to apply a second table configuration which comprises at least one of a second MCS table and a second CQI table which second tables support the higher modulation order. At least one entry for at least one modulation order in the tables of the first table configuration is maintained in the tables of the second table configuration as a fall-back in case it is desirable to use the at least one modulation order of the first table configuration when the second table configuration is applied. Thereby, a higher data rate can be achieved in the radio communication.

(Fig. 5)



Fig. 1 (Prior art)

MCS Index	Modulation Order	TBS Index
I <sub>MCS</sub>	Q <sub>m</sub>	$I_{TBS}$
0	2	0
1	2	1
2	2	2
3	2	3
4	2	4
5	2	5
6	2	6
7	2	7
8	2	8
9	2	9
10	4	9
11	4	10
12	4	11
13	4	12
14	4	13
15	4	14
16	4	15
17	6	15
18	6	16
19	6	17
20	6	18
21	6	19
22	6	20
23	6	21
24	6	22
25	6	23
26	6	24
27	6	25
28	6	26
29	2	
30	4	reserved
31	6	

Fig. 2

CQI index	modulation	code rate x 1024	efficiency
0		out of range	
1	QPSK	78	0.1523
2	QPSK	120	0.2344
3	QPSK	193	0.3770
4	QPSK	308	0.6016
5	QPSK	449	0.8770
6	QPSK	602	1.1758
7	16QAM	378	1.4766
8	16QAM	490	1.9141
9	16QAM	616	2.4063
10	64QAM	466	2.7305
11	64QAM	567	3.3223
12	64QAM	666	3.9023
13	64QAM	772	4.5234
14	64QAM	873	5.1152
15	64QAM	948	5.5547

Fig. 3







Fig. 5









MCS Index	Modulation Order	<u>TBS Index</u>
I <sub>MCS</sub>	Q <sub>m</sub>	I <sub>TBS</sub>
moo		100
0	2	<u>0</u>
<u><u>+</u></u>	2	<u>+</u>
2	2	2
3	2	3
4	2	4
5	2	5
6	2	6
7-1	2	7
8-2	2	8
<del>9</del> -3	2	9
10-4	4	9
11-5	4	10
12-6	4	11
<del>13</del> -7	4	12
14-8	4	13
<del>15</del> -9	4	14
<u>16-10</u>	4	15
<u>17-11</u>	6	15
<del>18-</del> 12	6	16
<del>19</del> -13	6	17
<del>20</del> –14	<u>6</u>	<u>18</u>
<u>21-15</u>	<u>6</u>	<u>19</u>
<del>22</del> -16	<u>6</u>	<u>20</u>
<del>23</del> -17	6	21
<u>24-18</u>	6	22
<del>25-</del> 19	<u>6</u>	<u>23</u>
<del>26</del> -20	6	24
<u> <del>27</del> 21</u>	<u>6</u>	<u>25</u>
<del>28</del> -22	6	26
23	8	New index
24	8	New index
25	8	New index
26	8	New index
27	8	New index
28	8	New index
29	2	
30	4	reserved
31	6	

Fig. 8

<u>CQI index</u>	modulation	<u>code rate x 1024</u>	efficiency
<u>0</u>		out of range	-
<u>1</u>	<u>QPSK</u>	<u>78</u>	<u>0.1523</u>
<u>2</u>	<u>QPSK</u>	<u>120</u>	<u>0.2344</u>
<u> </u>	<u>QPSK</u>	<u>193</u>	<u>0.3770</u>
<u>4</u>	<u>QPSK</u>	<u>308</u>	<u>0.6016</u>
<u>5</u>	<u>QPSK</u>	<u>449</u>	<u>0.8770</u>
<u>6-2</u>	<u>QPSK</u>	<u>602</u>	<u>1.1758</u>
<u>7-3</u>	<u>16QAM</u>	<u>378</u>	<u>1.4766</u>
<u>8–4</u>	<u>16QAM</u>	<u>490</u>	<u>1.9141</u>
<u>9— 5</u>	<u>16QAM</u>	<u>616</u>	<u>2.4063</u>
<u>10_6</u>	<u>64QAM</u>	<u>466</u>	<u>2.7305</u>
<u>11_7</u>	<u>64QAM</u>	<u>567</u>	<u>3.3223</u>
<u>12 8</u>	<u>64QAM</u>	<u>666</u>	<u>3.9023</u>
<u>13-9</u>	<u>64QAM</u>	<u>772</u>	<u>4.5234</u>
<u>14–10</u>	<u>64QAM</u>	<u>873</u>	<u>5.1152</u>
<u>15–11</u>	<u>64QAM</u>	<u>948</u>	<u>5.5547</u>
<u>12</u>	<u>256QAM</u>	<u>New value</u>	<u>New value</u>
<u>13</u>	256QAM	New value	New value
<u><u>14</u></u>	256QAM	New value	New value
<u>15</u>	<u>256QAM</u>	<u>New value</u>	New value

Fig. 9

	14-			-	N <sub>F</sub>	PRB				-
TBS .	1	2	3	4				108	109	110
0	16	32	56	88				2984	2984	3112
4	24	<del>56</del>	88	144				4008	4008	4008
2	32	72	144	176	m	***		4776	4968	4968
3	40	<del>10</del> 4	176	<del>208</del>	775			6200	6456	<del>6456</del>
4	56	120	208	256				7736	7736	7992
5	72	144	224	328	***			9528	9528	9528
6	328	176	256	<del>392</del>	-	्रम्म		11448	11448	11448
71	104	224	328	472	7.4.5	- 12	1.000	12960	13536	13536
8-2	120	256	392	536				15264	15264	15264
<del>9</del> 3	136	296	456	616	44.6	4.44	l Gio	16992	16992	17568
10.4	144	328	504	680				19080	19080	19080
415	176	376	584	776	49.8			22152	22152	22152
<del>12</del> 6	208	440	680	904				24496	24496	25456
137	224	488	744	1000	-99			27376	28336	28336
44.8	256	552	840	1128	44		(4.4)	30576	31704	31704
459	280	600	904	1224				32856	34008	34008
<del>16</del> 10	328	632	968	1288	+ 4.4		140	35160	35160	35160
47.11	336	696	1064	1416	- Are -		·	39232	39232	39232
<del>18</del> 12	376	776	1160	1544			1000	42368	43816	43816
<del>49</del> 13	408	840	1288	1736				46888	46888	46888
20 14	440	904	1384	1864	المدين	- 444	100	51024	51024	51024
24 15	488	1000	1480	1992		1.00	1044	55056	55056	55056
22 16	520	1064	1608	2152	-+9+c	199	iner.	59256	59256	59256
23 17	552	1128	1736	2280				61664	61664	63776
24 18	584	1192	1800	2408	14.84		in	66592	66592	66592
25 19	616	1256	1864	2536	444	1.00	11440	68808	68808	71112
26 20	712	1480	2216	2984				75376	75376	75376
21	NV	NV	NV	NV				NV	NV	NV
22	NV	NV	NV	NV			111	NV	NV	NV
23	NV	NV	NV	NV				NV	NV	NV
24	NV	NV	NV	NV	+++			NV	NV	NV
.25	NV	NV	NV	NV		- an		NV	NV	NV
26	NV	NV	NV	NV	94¥	- 224	1444	NV	NV	NV

Fig. 10

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# PCT REQUEST

0	For receiving Office use only	
0-1	International Application No.	
0-2	International Filing Date	
0-3	Name of receiving Office and "PCT International Application"	
0-4	Form PCT/RO/101 PCT Request	
0-4-1	Prepared Using	PCT Online Filing Version 3.5.000.235 MT/FOP 20020701/0.20.5.20
0-5	Petition	
	The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty	
0-6	Receiving Office (specified by the applicant)	Swedish Patent and Registration Office (RO/SE)
0-7	Applicant's or agent's file reference	P41223W01
I	Title of Invention	METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT
II	Applicant	
II-1	This person is	Applicant only
II-2	Applicant for	All designated States
II-4	Name	
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II-6 II-7	Address State of nationality State of residence	Telefonaktiebolaget L M Ericsson (publ) SE-164 83 Stockholm Sweden SE SE
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# PCT REQUEST

III-1	Applicant and/or inventor	
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IV-1	Agent or common representative; or address for correspondence	
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IV-1-1	Name (LAST, First)	BOU FAICAL, Roger
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)	The receiving Office, the International Searching Authority, the International Bureau and the International Preliminary Examining Authority are authorized to use this e-mail address, if the Office or Authority so wishes, to send notifications issued in respect of this international application:	notifications will be sent)
v	DESIGNATIONS	
V-1	The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.	
VI-1	Priority claim of earlier national application	
VI-1-1	Filing date	09 August 2013 (09.08.2013)
VI-1-2	Number	61/863,935
VI-1-3	Country	US

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# PCT REQUEST

VI-2	Priority document request		
	The International Bureau is requested to obtain from a digital library a certified copy of the earlier application(s) identified above as item(s), using, where applicable, the access code(s) indicated:	VI-1 Access code: 6638	
VI-3	Incorporation by reference :		
	where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confirmation under Rule 20.6, incorporated by reference in this interna- tional application for the purposes of Rule 20.6.	1-	
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)	
VII-1 VIII	International Searching Authority Chosen Declarations	European Patent Office (EPO) (ISA/EP)     Number of declarations	
VII-1 VIII VIII-1	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor	European Patent Office (EPO) (ISA/EP)   Number of declarations   -	
VII-1 VIII-1 VIII-2	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	European Patent Office (EPO) (ISA/EP)   Number of declarations   -   1	
VII-1 VIII-1 VIII-2 VIII-3	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	European Patent Office (EPO) (ISA/EP)   Number of declarations   -   1   1	
VII-1 VIII-2 VIII-3 VIII-4	International Searching Authority Chosen Declarations Declaration as to the identity of the inventor Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application Declaration of inventorship (only for the purposes of the designation of the United States of America)	European Patent Office (EPO) (ISA/EP)   Number of declarations   -   1   1   -   -   -   -   -   -   -   -   -   -   -	

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# PCT REQUEST

VIII-2-1	Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:	In relation to this international application
_	Name (LAST, First)	Telefonaktiebolaget L M Ericsson (publ) is entitled to apply for and be granted a patent by virtue of the following:
VIII-2-1(i i)		ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng
VIII-2-1( vii)		transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)

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VIII-3-1	Declaration: Entitlement to claim priority Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii))	In relation to this international application
	Name	Telefonaktiebolaget L M Ericsson (publ)
		is entitled to claim priority of earlier application No. 61/863,935 by virtue of the following:
VIII-3-1(i i)		ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng
VIII-3-1( vii)		transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)

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# PCT REQUEST

### Print Out (Original in Electronic Form)

IX	Check list	Number of sheets	Electronic file(s) attached
IX-1	Request (including declaration sheets)	6	✓
IX-2	Description	25	✓
IX-3	Claims	6	✓
IX-4	Abstract	1	✓
IX-5	Drawings	7	✓
IX-7	TOTAL	45	
	Accompanying Items	Paper document(s) attached	Electronic file(s) attached
IX-8	Fee calculation sheet	-	✓
IX-18	PCT-SAFE physical media	_	-
IX-19	Other	Pre-conversion	✓
		archive	
IX-20	Figure of the drawings which should accompany the abstract	5	
IX-21	Language of filing of the international application	English	
X-1	Signature of applicant, agent or common representative	/Roger Bou Faical/	
X-1-1	Name (LAST, First)	BOU FAICAL, Roger	
X-1-2	Name of signatory	BOU FAICAL, Roger	
X-1-3	Capacity (if such capacity is not obvious from reading the request)	(Representative)	

## FOR RECEIVING OFFICE USE ONLY

10-1	Date of actual receipt of the purported international application	
10-2	Drawings:	
10-2-1	Received	
10-2-2	Not received	
10-3	Corrected date of actual receipt due to later but timely received papers or drawings completing the purported international application	
10-4	Date of timely receipt of the required corrections under PCT Article 11(2)	
10-5	International Searching Authority	ISA/EP
10-6	Transmittal of search copy delayed until search fee is paid	

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11-1	Date of receipt of the record copy by
	the International Bureau



# Acknowledgement of receipt

We hereby acknowledge receipt of your request for the processing of an international application according to the Patent Cooperation Treaty as follows:

Submission number	1000052941			
PCT application number	PCT/SE2014/050803			
Date of receipt	26 June 2014			
Receiving Office	fice Swedish Patent and Registration Office			
Your reference	P41223WO1			
Applicant	Telefonaktiebolaget L M Ericsson (publ)			
Number of applicants	ts 1			
Country	SE			
Title	METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT			
Documents submitted	eolf-pkda.xml	eolf-requ.xml		
	eolf-appb.xml	eolf-decl.xml		
	eolf-fees.xml	eolf-vlog.xml		
	eolf-othd-000001.pdf (32 p.)	eolf-abst.txt		
	eolf-appb-P000001.pdf (7 p.)	eolf-draw.txt		
	eolf-othd-000002.zip			
Submitted by CN=Angela Norén 12457				
Method of submission	ion Online			
Date and time receipt generated	t 26 June 2014, 17:47:58 (CEST) d			
Digest	BE:02:7A:65:DD:D5:44:CB:8C:0B:98:8B:1D:2D:AD:5E:78:E3:89:CE			
Apple EX1002 Page 318				

/Swedish Patent and Registration Office/

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of Hammarwall, et al.

Serial No.: TBD

Filed: TBD

For: Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment

Attorney's Docket No: 4015-8999 / P41223-US2

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 Examiner: TBD

Group Art Unit: TBD

# PRELIMINARY AMENDMENT

)

Please be advised that this is a U.S. National Stage Filing of PCT Application

# PCT/SE2014/050803.

Prior to examination, please amend the application as indicated below.

## AMENDMENTS TO THE CLAIMS

### 1-26. (Canceled)

- 27. (New) A method performed by a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:
  - detecting that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;
  - instructing the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table;
  - wherein the at least one of the second MCS table and the second CQI table support the higher modulation order;
  - wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.

- 28. (New) The method of claim 27, wherein the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.
- (New) The method of claim 28, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
- 30. (New) The method of claim 28, wherein the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
- 31. (New) The method of claim 30, wherein the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
- 32. (New) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.
- 33. (New) The method of claim 32, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

- 34. (New) The method of claim 27, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.
- 35. (New) The method of claim 34, wherein:

the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback;

an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

- 36. (New) The method of claim 27, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
- 37. (New) The method of claim 27, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.

38. (New) A radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node comprising:

one or more processing circuits configured to function as:

- a logic circuit configured to detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;
- an instructing circuit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table; wherein the at least one of the second MCS table and the second CQI table support the higher modulation order;
- wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied.
- 39. (New) The radio node of claim 38, wherein the radio node is configured to instruct the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.

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- 40. (New) The radio node of claim 39, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio.
- 41. (New) The radio node of claim 39, wherein the radio node is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.
- 42. (New) The radio node of claim 41, wherein the radio node is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.
- 43. (New) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.
- 44. (New) The radio node of claim 43, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.
- 45. (New) The radio node of claim 38, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

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46. (New) The radio node of claim 45, wherein:

the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fallback;

an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fallback.

- 47. (New) The radio node of claim 38, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.
- 48. (New) The radio node of claim 38, wherein the first and second table configurations further comprise a Transport Block Size table corresponding to the first and second MCS tables, respectively.
- 49. (New) A method performed by a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

receiving an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order

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which is higher than the maximum modulation order of the first table configuration;

applying the second table configuration in the radio communication with the radio node.

50. (New) A User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

one or more processing circuits configured to function as:

a communication circuit configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration;

a logic circuit configured to apply the second table configuration in the radio communication with the radio node.

- 51. (New) A computer program product stored in a non-transitory computer readable medium for controlling a radio node of a cellular network, the radio node being operable to apply a first table configuration in radio communications with User Equipments (UEs), the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the radio node, causes the radio node to: detect that a higher modulation order, which is higher than the maximum modulation order of the first table configuration, is potentially possible to use in a radio communication between the radio node and a first UE;
  - instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table;
  - wherein the at least one of the second MCS table and the second CQI table support the higher modulation order;
  - wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fallback in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the second table configuration is applied.

52. (New) A computer program product stored in a non-transitory computer readable medium for controlling a User Equipment (UE), the UE being operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme (MCS) table and a first Channel Quality Indicator (CQI) table, wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the computer program product comprising software instructions which, when run on one or more processing circuits of the UE, causes the UE to:

> receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table, wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration;

> apply the second table configuration in the radio communication with the radio node.

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#### REMARKS

The foregoing claim amendments are submitted prior to examination on the merits. The amendments cancel claims 1-26, and add new claims 27-52. New claims 27-52 are similar to now canceled claims 1-26, but are written to eliminate multiple dependent claims, and to better comport the language of the claims with U.S. practice. No new matter has been added, and the amendments are not submitted for reasons related to patentability over any prior art.

Entry of the amendments is requested prior to examination on the merits.

Respectfully submitted, COATS & BENNETT P.L.L.C.

Date: 6 October 2014

<u>/John R. Owen Reg. No. 42055/</u> John R. Owen Registration No. 42,055 Telephone: (919) 854-1844

DI APPLI	ATION (37 ESIGN APPI CATION DA	CFR 1.6 LICATIO	33) FOR UTILITY OR ON USING AN EET (37 CFR 1.76)	Attorney Docket Number	P41223 WO1	
Title of Invention	METHOD / MODULAT EQUIPMEI	AND RA TON IN NT	DIO NODE FOR ENAI A RADIO COMMUNIC	BLING USE OF H ATION WITH A U	IIGH ORDER ISER	
As the belo	w named in	ventor, i	I hereby declare that:			
This declar	ation		The attached applicat	ion, or		
is directed	ω.		United States application or PCT international application number PCT/SE2014/050803, filed on June 26, 2014			
The above	-identified ap	oplicatio	n was made or authoriz	zed to be made by	y me.	
I believe th in the appli	at I am the c cation.	original i	nventor or an original jo	pint inventor of a	claimed invention	
I believe th in the appli I have rev including th	at I am the c cation. viewed and ne claims, as	unders amend	nventor or an original jo stand the contents of led by any amendment	bint inventor of a t the above ider specifically referr	claimed invention ntified application red to above.	
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I believe th in the appli I have rev including th I am awar defined in information the nationa I hereby ac punishable years, or b LEGAL NAME Inventor:	at I am the c cation. viewed and ne claims, as re of the du 37 CFR which beca I or PCT inte which beca I or PCT inte under 18 U oth. OF INVENTOR David Han	unders amend 156, i ame ava ernation that any .S.C. 10	nventor or an original jo stand the contents of ed by any amendment isclose information wh ncluding for continua illable between the fillr al filling date of the con willful false statement 01 by fine or imprisonn	the above ider specifically referr tich is material t tion-in-part appl g date of the pri tinuation-in-part a made in this deck nent of not more t	claimed invention ntified application red to above. to patentability as ications, materia or application and opplication. aration is than five (5)	

Replaces PTO/AIA/01 (06-12)

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Attorney Docket P.

P41223 WO1

Invention	METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT				
As the belo	w named inv	rentor, i hereb	y declare that:		
This declar is directed	ation to:	The a	ittached application, or d States application or PCT international ation number PCT/SE2014/050803, filed on June		
The above I believe th in the appli I have re- including th I am awar defined in information	-identified ap at I am the o cation. viewed and ne claims, as re of the du 37 CFR which beca	plication was i riginal invento understand t amended by a ty to disclose 1.56, includir me available	made or authorized to be made by me. If or an original joint inventor of a claimed invention the contents of the above identified application, any amendment specifically referred to above. Information which is material to patentability as any for continuation-in-part applications, material between the filing date of the prior application and		
the nations	I or PCT inte	rnational filing	date of the continuation-in-part application.		
I hereby ac punishable years, or b	knowledge t under 18 U. oth.	hat any willful S.C. 1001 by I	false statement made in this declaration is fine or imprisonment of not more than five (5)		
I hereby ac punishable years, or b LEGAL NAME	knowledge ti under 18 U. oth. OF INVENTOR	hat any willful S.C. 1001 by l	false statement made in this declaration is fine or imprisonment of not more than five (5)		
I hereby ac punishable years, or b LEGAL NAME	knowledge ti under 18 U. oth. of INVENTOR Meng Wan	hat any willful S.C. 1001 by I	false statement made in this declaration is fine or imprisonment of not more than five (5) Date (Optional):		

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Stockholm, Sweden on

TELEFONAKTIEBOLAGET L M ERICSSON (PUBL)

By:

Mr. John Han Vice President, Patent Development

18:4 2012

wedenduch Carloser Bv:

Mrs. Lena Lundholm Carlsson CST Manager and PPO

Date: Janhana

Date: January 18th, 2012

I, the undersigned, Anne-Marie Bonde , Notary Public of the City of Stockholm

hereby certify that

John Han

and Lena Lundholm Carlsson

duly authorized to sign for

TELEFONAKTIEBOLAGET L M ERICSSON (PUBL)

have issued and signed the foregoing document Fee 400 - Stockholm [Date] 20.01.2012 Crowns Ex officio:

Signature: Notary Public of the City of Stockholm



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STATE	MENT UNDER 37 CFR 3.73(c)
Applicant/Patent Owner: Telefonaktiebolaget L	M Ericsson (publ)
Application No./Patent No.: TBA	Filed/Issue Date: TBA
Titled: Method and Radio Node for Enabling Use	e of High Order Modulation in a Radio Communication with a User Equipment
Telefonaktiebolaget L M Ericsson (publ)	_, a <u>corporation</u>
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identi	ified above, it is (choose <b>one</b> of options 1, 2, 3 or 4 below):
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2. $\Box$ An assignee of less than the entire right, t	title, and interest (check applicable box):
The extent (by percentage) of its owner holding the balance of the interest must b	rship interest is%. Additional Statement(s) by the owners <u>e submitted</u> to account for 100% of the ownership interest.
There are unspecified percentages of right, title and interest are:	ownership. The other parties, including inventors, who together own the entire
Additional Statement(s) by the owner(s right, title, and interest.	s) holding the balance of the interest <u>must be submitted</u> to account for the entire
3. The assignee of an undivided interest in the	he entirety (a complete assignment from one of the joint inventors was made).
Additional Statement(s) by the owner(s) right, title, and interest.	) holding the balance of the interest <u>must be submitted</u> to account for the entire
complete transfer of ownership interest was made	e). The certified document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 above (no	ot option 4) is evidenced by either (choose <u>one</u> of options A or B below):
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[NOTE Divisio	[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, to record the assignment in the records of the USPTO. See MPEP 302.08]						
The undersign	ed (whose title is	supplied below) is author	orized to act on behalf of the as	signee.			
/John R. O	wen Reg. N	o. 42055/		6 October 2014			
Signature				Date			
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[Page 2 of 2]

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- A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
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P41223 WO1

### ASSIGNMENT

This Assignment is made by:

HAMMARWALL, David

WANG, Meng.

Hällmarksvägen 59 SE-186 53 VALLENTUNA Sweden Signalistgatan 14 SE-169 72 SOLNA Sweden

(hereinafter referred to as "Assignor(s)") in favor, and for the benefit and behoof of, Telefonaktiebolaget L M Ericsson (publ), a corporation duly organized under and pursuant to the laws of Sweden and having its principal place of business at SE-164 83 Stockholm, Sweden (hereinafter referred to as "Assignee").

For good, sufficient and adequate consideration, the receipt of which is hereby acknowledged, the Assignor(s) have, as of the Effective Date, sold, assigned, transferred, and set over, and by these presents, and to the extent any transferable or assignable rights still remain with the inventor, do hereby sell, assign, transfer, and set over, unto the Assignee, its successors, legal representatives, and assigns the entire right, title, and interest in and to the following inventions, application(s) for Letters Patent, and any and all Letters Patent or Patents in all countries and pursuant to all multilateral treaty organizations, including Sweden, the United States of America, the Patent Cooperation Treaty and European Patent Convention, that may be granted therefor and thereon, and in and to any and all divisions, continuations, continuations-in-part, conversions and utility models of said application(s), and reissues and extensions of said Letters Patent or Patents, the same to be held and enjoyed by the Assignee, for its use and behoof and the use and the behoof of its successors, legal representatives, and assigns, to the full end of the term or terms for which Letters Patent, Patents and Utility Models may be granted as fully and entirely as the same would have been held and enjoyed by the Assignor(s) had this sale and assignment not been made:

#### METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

Country Code	Priority Application(s)	Filing Date(s)	
WO	PCT/SE2014/050803	2014-06-26	

including, but not limited to, the application(s) for Letters Patent and Utility Model filed in:

The Effective date is the earliest date of the above listed Filing Date(s).

Assignor(s) hereby authorize and request Assignee's Attorneys to insert the serial number and filing date of said application(s) for Letter Patent or Utility Model, when known. The assignment of the above mentioned rights includes a transfer of the whole right to use a priority (including priority according to any convention, multilateral agreement, bilateral agreement and national law) of the above mentioned application(s) for Letter Patent and Utility Model in all countries and multilateral treaty organizations wherein no residual rights shall remain with the Assignor(s). Assignor(s) hereby request

Page 1 of 5

### ASSIGNMENT

that said Letters Patent, Patent or Utility Model be issued to Assignee as the Assignee of said inventions, the Letters Patent, Patent or Utility Model to be issued for the sole use and behoof of the Assignee, its successors, legal representatives, and assigns. Assignee alone hereinafter has the entire disposal of the invention and possesses entire ownership to any domestic and foreign patents or utility models granted thereinafter. The rights granted hereunder shall include all rights to institute legal actions, obtain remedies and recover and retain damages in respect to said Letters Patent, Patent or Utility Model.

The Assignor(s) and Assignee hereby understand and agree that with the execution of this Assignment, to the extent necessary or appropriate, national and/or regional applications may be filed by the Assignee as the applicant and on behalf of the assignor.

To the extent Assignee is entitled to receive the rights hereunder pursuant to this Assignment, each of the Assignor(s) hereby covenants and agrees to and with the Assignee, its successors, legal representatives, and assigns, that, at the time of the Effective Date, the Assignor(s) were the sole and lawful owners of the entire right, title, and interest in and to the inventions and application(s) for Letters Patent or Utility Models above-mentioned, and that the same are unencumbered, and that the Assignor(s) have good and full right and lawful authority to sell and convey the same in the manner herein set forth.

Further, and for the same consideration, the Assignor(s) hereby covenant(s) and agree(s) to and with the Assignee, its successors, legal representatives, and assigns that the Assignor(s) will, whenever counsel of the Assignee, or the counsel of its successors, legal representatives, and assigns, shall advise that any proceeding in connection with said inventions or said application(s) for Letters Patent or Utility Model, or any proceeding in connection with Letters Patent or Utility Model for said inventions, in any country and any multilateral treaty organization, including interference proceedings, is lawful and desirable, or that any division, continuation, continuation-in-part, conversion or Utility Model of any application(s) for Letters Patent or Utility Model, or any reissue or extension of any Letters Patent to be obtained thereon, is lawful and desirable, sign all papers and documents, take all lawful oaths, and do all acts necessary or required to be done for the procurement, maintenance, enforcement, and defense of Letters Patent or Utility Model for said inventions, without charge to Assignee, its successors, legal representatives, and assigns, but at the cost and expense of the Assignee, its successors, legal representatives, and assigns. If any of the Assignor(s) is prevented by any obstacles from signing said documents in person, this Assignment shall be valid as a Power of Attorney for the Assignee to sign these documents on behalf of any such Assignor(s) or, in the event of the death of the latter, the estate thereof.

This Assignment shall be governed by and construed under, and any dispute, controversy or claim related hereto shall be decided in accordance with, the laws of: **Sweden** 

without regard to the conflicts of laws provisions thereof. Any dispute, controversy or claim arising under, out of or relating to this Assignment and any subsequent amendments of this Assignment, including, without limitation, its formation, validity, binding effect, interpretation, performance, breach or termination, as well as non-contractual claims, shall be referred to and finally determined by arbitration in accordance with the WIPO Arbitration Rules. The arbitral tribunal shall consist of a sole arbitrator. The place of

Page 2 of 5

### ASSIGNMENT

arbitration shall be in the country of the inventor's residence. The language to be used in the arbitral proceedings shall be English.

No modifications shall be made to this Assignment unless in writing and signed by each of the Assignor(s) and Assignee. If any of the provisions of this Assignment shall be deemed invalid or unenforceable, then the entire Assignment shall be construed as if not containing the particular invalid or unenforceable provision or provisions, and the rights and obligations of Assignee and Assignor(s) shall be construed and enforced accordingly. Assignee's failure to exercise any option made available as a result hereof, shall not be construed as a waiver of such provisions, rights, or options, or affect the validity of this Assignment. Assignor covenants and agrees that it will not take any actions in violation of this Assignment.

Subsequent assignment from any of the assignors to the assignee purporting to convey the subject matter specified herein for a particular country, patent office, or jurisdiction shall not invalidate any provision of this assignment and any such subsequent assignment shall act as a further confirmation of the assignment herein.

Date Sphender 18,2019 Signature on behalf of Regner Bur Curch

Assignee

Roger Bou Faical

P41223 WO1

## ASSIGNMENT

# Title: METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

Date August 4, 2014

Signature of Assignor

Date August 4, 2014

Witnessed by

Name:

Address:

HAMMARWALL, David

Paul Teder

Palissadgränd 24 187 46 TÄBY

Sweden

Date August 4, 2014

Witnessed by

Name:

Address:

Håkan Cedlöf

Börjesonsvägen 28 16850 BROMMA

Sweden

P41223 WO1

## ASSIGNMENT

# Title: METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

Date2014-07-03	Signature of Assignor	WANG, Meng
Date	Witnessed by	Ricurdo Hesse
	Name:	RICARDO BUASCO SERVINO
	Address:	USTCOTAGRAMP 5 LOH 1403
		MRLX STOCKHOLM
		SHEDEN
Date. 2014-07-05	Witnessed by	
	Name:	V. MABIL SEBAA.
	Address:	BLARLOCEERAGESIG

Doc code: IDS

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	Application Number		
	Filing Date		
INFORMATION DISCLOSURE	First Named Inventor	Hamn	narwall
(Not for submission under 37 CER 1 99)	Art Unit		
	Examiner Name		
	Attorney Docket Number		4015-8999 / P41223-US2

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	Application Number			
	Filing Date			
INFORMATION DISCLOSURE	First Named Inventor	Hamn	marwall	
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit			
	Examiner Name			
	Attorney Docket Number		4015-8999 / P41223-US2	

	1	3RD GENERATION PARTNERSHIP PROJECT, "LTE; Evolved Universal Terrestrial Radio Access (E-UTRA); Physical layer procedures (3GPP TS 36.213 version 11.2.0 Release 11)", Technical Specification, ETSI TS 136 213 V11.2.0, 2013-04-01, pp. 1-175, ETSI, France					
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Examiner	Examiner Signature Date Considered						
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	Application Number			
	Filing Date			
INFORMATION DISCLOSURE	First Named Inventor Hamm		marwall	
STATEMENT BY APPLICANT (Not for submission under 37 CER 1 99)	Art Unit			
	Examiner Name			
	Attorney Docket Numb	er	4015-8999 / P41223-US2	

		CERTIFICATION	STATEMENT				
Plea	ase see 37 CFR 1	.97 and 1.98 to make the appropriate selection	on(s):				
	That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).						
OF	2						
	That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).						
	See attached ce	rtification statement.					
	The fee set forth	in 37 CFR 1.17 (p) has been submitted here	with.				
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A s forn	ignature of the ap n of the signature.	plicant or representative is required in accord	dance with CFR 1.33, 10.1	8. Please see CFR 1.4(d) for the			
Sig	nature	/John R. Owen Reg. No. 42055/	Date (YYYY-MM-DD)	2014-10-06			
Nar	ne/Print	John R. Owen	Registration Number	42055			
This pub 1.14 app requ Pate FEE	This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. <b>SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria</b> ,						

VA 22313-1450.

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The information provided by you in this form will be subject to the following routine uses:

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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Electronic Patent Application Fee Transmittal					
Application Number:					
Filing Date:					
Title of Invention:	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment				Modulation in a
First Named Inventor/Applicant Name:	David Hammarwall				
Filer:	John R. Owen/Katya Fox				
Attorney Docket Number:	4015-8999 / P41223-US2				
Filed as Large Entity					
U.S. National Stage under 35 USC 371 Filing	Fee	s			
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Basic Filing:					
National Stage Fee		1631	1	280	280
National Stage Search - all other cases		1632	1	600	600
National Stage Exam - all other cases		1633	1	720	720
Pages:			· · · ·		
Claims:					
Claims in excess of 20		1615	6	80	480
Independent claims in excess of 3		1614	3	420	1260
Miscellaneous-Filing:					

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Petition:				
Patent-Appeals-and-Interference:				
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
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Electronic Acknowledgement Receipt			
EFS ID:	20338046		
Application Number:	14390904		
International Application Number:	PCT/SE2014/050803		
Confirmation Number:	7239		
Title of Invention:	Method and Radio Node for Enabling Use of High Order Modulation in a Radio Communication with a User Equipment		
First Named Inventor/Applicant Name:	David Hammarwall		
Customer Number:	24112		
Filer:	John R. Owen/Katya Fox		
Filer Authorized By:	John R. Owen		
Attorney Docket Number:	4015-8999 / P41223-US2		
Receipt Date:	06-OCT-2014		
Filing Date:			
Time Stamp:	15:40:09		
Application Type:	U.S. National Stage under 35 USC 371		

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1	Transmittal of New Application	Transmittal.pdf	217911	no	Δ
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11	Assignee showing of ownership per 37	Assignment.pdf	3822774	no	5
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#### Print Out (Original in Electronic Form)

VIII-3-1	Declaration: Entitlement to claim priority Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii))	In relation to this international application
	Name	Telefonaktiebolaget L M Ericsson (publ)
		application No. 61/863,935 by virtue of the following:
VIII-3-1(i i)		ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng
VIII-3-1( vii)		transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)

РСТ



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Date of availability of d	locument:	25 Sep 2	013 (25.09.2013)
Availability to Office:		IB 102 Ju	2014 (02.07.2014)]

Date of issue of this certificate: 02 Jul 2014 (02.07.2014)

34, chemin des Colombettes 1211 Geneva 20, Switzerland IPR2022-00457 Apple EX1002 Page 353



## NICOLONICKY KANKO DAROBROS

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UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

July 02, 2014

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APPLICATION NUMBER: 61/863,935 FILING DATE: August 09, 2013

THE COUNTRY CODE AND NUMBER OF YOUR PRIORITY APPLICATION, TO BE USED FOR FILING ABROAD UNDER THE PARIS CONVENTION, IS US61/863,935



111

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buid J. Kappes

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	PS54636US00	
		Application Number		
Title of Invention	METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT			
The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.				

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## Inventor Information:

Invent	or	1						Remove	
Legal	Name								
Prefix	Give	en Name		Middle Name	9		Family Na	ame	Suffix
	Davi	d					HAMMARV	VALL	
Resid	ence	Information	(Select One) 🔿	US Residency	$\odot$	Non US Re	sidency C	) Active US Military Service	2
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Inventor 2 Remove									
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	PS54636US00	
		Application Number		
Title of Invention	METHOD AND RADIO NODE COMMUNICATION WITH A L	FOR ENABLING USE OF HIG	H ORDER MODULATION IN A RADIO	

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Title of the Invention	METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT			
Attorney Docket Number	PS54636US00 Small Entity Status Claimed			
Application Type	Provisional			
Subject Matter	Utility			
Total Number of Drawing Sheets (if any)     7     Suggested Figure for Publication (if any)				

### **Publication Information:**

	<b>Request Early Publication</b>	n (Fee required at time	of Request 37 CFR 1.219)
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sarah.rodriguez@valea.se

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Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)		
			IPR2022-00457		
EFS Web 2.2.7		Apple	EX1002 Page 356		

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This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	PS54636US00
		Application Number	
Title of Invention	METHOD AND RADIO NODE COMMUNICATION WITH A L	FOR ENABLING USE OF HIG	H ORDER MODULATION IN A RADIO

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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	PS54636US00			
		Application Number				
Title of Invention         METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT						
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Application Data Sheet 37 CFR 1.76		Attorney Docket Number	PS54636US00
		Application Number	
Title of Invention	METHOD AND RADIO NODE COMMUNICATION WITH A L	FOR ENABLING USE OF HIG	H ORDER MODULATION IN A RADIO

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This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 CFR 1.53(c)

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Inventor 1					Remove	
Given Name	Middle Name	Family Name	e City	State	Country <sub>i</sub>	
David		HAMMARWA	LL Vallentuna		SE	
Inventor 2					Remove	
Given Name	Middle Name	Family Name	e City	State	Country <sub>i</sub>	
Meng		WANG	Solna		SE	
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Entity Status Applicant asserts small entity status under 37 CFR 1.27 or applicant certifies micro entity status under 37 CFR 1.29

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Signature	/Sarah Rodriguez/			Date (YYYY-MM-DD)	2013-08-09
First Name	Sarah	Last Name	RODRIGUEZ	Registration Number (If appropriate)	66219

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# METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

## Technical field

The present disclosure relates generally to a method and a radio node of a cellular network for enabling use of a high order modulation when communicating radio signals with a User Equipment, UE.

## Background and summary

In this field, the term "User Equipment, UE" is commonly used and will be used in this disclosure to represent any user-controlled wireless terminal or device capable of radio communication including receiving downlink signals transmitted from a serving radio node and sending uplink signals to the radio node. Further, the term "radio node", also commonly referred to as a base station, e-nodeB, eNB, etc., represents any node of a wireless communication network that can communicate uplink and downlink radio signals with UEs. The radio nodes described here may, without limitation, include so-called macro nodes and low power nodes such as micro, pico, femto, Wifi and relay nodes, to mention some customary examples. Throughout this disclosure, the term "eNB" is often used but can be exchanged by the term radio node.

Link adaptation in systems according to Long Term Evolution, LTE, is based on adaptive modulation and coding, which controls data rate by adaptively adjusting the modulation scheme and/or channel coding rate according to the radio-link conditions. In this procedure, the MCS (Modulation and Coding Scheme) adopted for PDSCH transmission must be indicated by the eNB to the UE and the UE, by uplink signaling, informs the eNB about corresponding channel conditions through CQI reporting, including sending CQI reports to the eNB. This is generally illustrated in Fig. 1.

In current LTE systems, the set of modulation scheme for both downlink and uplink includes QPSK, 16QAM and 64QAM, corresponding to two, four and six bits per modulation symbol, respectively. In LTE, especially for scenarios with good channel conditions such as high SINR, e.g. in small-cell environments with

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terminals close to cell site, a straightforward means to provide higher date rate with given transmission bandwidth is the use of higher-order modulation that allows for more bits of information to be carried per modulation symbol. Hence, in order to adopt higher-order modulation schemes in LTE systems, there is a need to re-design the control signaling for MCS and CQI indication, in particular, the MCS and CQI index tables. In this disclosure, the term higher-order modulation may refer to modulation schemes that are higher than 64QAM, such as e.g. 256QAM, 512QAM, and so forth.

For downlink data transmission in LTE, the eNB typically selects the MCS depending on the CQI (Channel Quality Indicator) feedback transmitted by the UE in the uplink, as illustrated in Figure 1. The CQI feedback indicates the data rate (or more specifically a modulation and coding scheme MCS) that can be supported by the downlink channel given the present channel condition and UE receiver.

The LTE specifications are designed to provide signaling for indication between eNB and UE. In the downlink, the knowledge about the MCS adopted for PDSCH transmission is indicated by a five-bit field in the DCI (Downlink Control Information). This MCS field corresponds to the MCS index table as shown in Fig. 2. In this table, of 32 combinations, 29 are used to signal a MCS, each corresponding to a modulation and a transport block size (TBS), while 3 are reserved (e.g., to support adaptive retransmissions). All possible TBS can be described by a TBS table mapping a TBS index,  $I_{7785}$ , and an allocation bandwidth into the corresponding transport block size (in bits).

In the uplink, the UE reports CQI to assist eNB to select the appropriate MCS for downlink transmission. Typically, the CQIs are derived from measurements made by the UE on downlink reference signals. It should be noted that the reported CQI represents the highest MCS that is supported for a PDSCH transmission, with a transport block error rate probability not exceeding 10%. The CQI is signaled to the eNB by the means of CQI index table, as shown in Fig. 3. A 4-bit CQI value corresponds to a particular MCS out of 16 combinations in the CQI index table.

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Note that the CQI table is parameterized in terms of coding rate, as opposed to transport block size. However, for a given assumed allocation bandwidth (given by the CQI reference resource), there is a one-to-one mapping between a CQI and a corresponding MCS (i.e., the reported CQI should not be interpreted as the maximum supported code rate, but the maximum supported corresponding MCS).

Current LTE systems support three modulation schemes for both downlink and uplink: QPSK, 16QAM and 64QAM. Accordingly, the MCS index table, CQI index table and the corresponding fields for indication in DCI are designed for these three modulation schemes. However, higher-order modulation schemes are not supported in current LTE specifications. In order to support higher-order modulation, i.e. higher than the above schemes QPSK, 16QAM and 64QAM, UEs must support an additional MCS/CQI table that includes specific entries for new modulation schemes. The modification of MCS/CQI table, naturally, requires redesigning the DCI/UCI formats.

Typically, the additional MCS/CQI tables are used in high SNR region that is suitable for high-order modulation. In the relatively low SNR region, on the other hand, current MCS/CQI tables are desired for link robustness. Hence, some mechanisms with flexibility to adopt appropriate MCS/CQI tables based on channel conditions have become necessary.

In conclusion, current LTE systems support modulation up to 64QAM. To support higher-order modulation, adaptations and/or extensions to the current control signaling in term of MCS index table, CQI index table and the corresponding fields in DCI/UCI are required.

In this disclosure, an alternative design of MCS index table and/or of a CQI index table is described which can be used for LTE systems, which is/are to be supported in addition to a basic (the current) MCS and/or CQI table.

In current LTE specification, the MCS and CQI tables support modulation schemes up to 64QAM. The proposed new MCS and CQI index tables are able to support modulation higher than 64QAM, without necessarily extending the number of bits

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in the DCI/UCI formats, or the number of entries in the MCS table/CQI table, respectively. Typically, higher-order modulation schemes are selected in the high-SINR scenarios or generally when a performance related parameter, such as SINR, of signals communicated between a radio node and a UE is above a certain threshold.

In the new MCS/CQI tables, new entries for higher-order modulation are added and designed to provide sufficient resolution to cover the high-SINR region. Meanwhile, a large part of the existing entries in current MCS and/or CQI tables are preserved. The current MCS and/or CQI tables may be called a first table configuration while the new current MCS and/or CQI tables supporting a higherorder modulation may be called a second table configuration. This has the advantage that the number of new MCS/CQI formats a UE/eNB has to implement is minimized.

An embodiment of the disclosed solution is that the lowest MCS entry in the new MCS table and/or that in CQI table is preserved or kept from the basic MCS and/or CQI table, to ensure proper communication between eNB and UE under poor channel condition, thus providing a fallback in case in case it is only possible to use the lowest modulation order when the second table configuration is applied. This provides resilience to changing channel conditions, and provides a robust format to, for example, signal control-plane data, and/or reconfigure the UE to assume the basic MCS and/or CQI table suitable for poor/normal channel conditions. Through this invention, the link adaption in LTE systems is enhanced to support higher-order modulation schemes, which can significantly improve the spectral efficiency in high SINR scenarios.

### **Detailed description**

It should be noted that although terminology from 3GPP LTE is used in this disclosure to describe various exemplifying embodiments, this should not be seen as limiting the scope of usage to only the aforementioned system. Other wireless systems, including WCDMA, WiMAX, and UMB, may also benefit from exploiting the ideas covered within this disclosure.

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Also note that terminology such as base station/eNB should be considered nonlimiting and does in particular not imply a certain hierarchical relation between the two; in general "eNB" could be considered as device 1 and "UE" could be considered as device 2 and these two devices communicate with each other over some radio channel.

In this section, the solution will be explained in more detail by some exemplary embodiments. It should be noted that these embodiments are not mutually exclusive. Components from one embodiment may be tacitly assumed to be present in another embodiment and it will be obvious to a person skilled in the art how those components may be used in other exemplifying embodiments.

The MCS index table and CQI index table used in current LTE specification are shown in Fig. 2 and Fig. 3, respectively. In the sequel, we describe a design of alternative MCS and CQI index tables as well as the mechanism by which eNB/UE can switch between the proposed new MCS/CQI tables and current MCS/CQI tables, i.e. according to the described second and first table configurations.

### MCS index table

In a possible embodiment, a first MCS table is used for downlink transmission to one UE (such as UE1 in Figure 1), and in the downlink transmission to another UE (such as UE2 in Figure 1), a second MCS table is used. In a further such embodiment the first and second UE may be one and the same UE.

In another embodiment, the first MCS table and second MCS table are either the current MCS table of the first table configuration in specification (see Fig. 2) or a modified new MCS table of the second table configuration table that supports a modulation order higher than the maximum modulation order supported by the first MCS table, i.e. the second MCS table contains entries for higher-order modulation.

In another embodiment, eNB can use either current MCS table or proposed alternative MCS table for DL transmission. In a further embodiment, the information about the MCS table that is (to be) used is part of a DCI message (in

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which case it typically applies to a single specific subframe), or is part of a RRC or MAC message (in which case it typically applies until further notice).

In another embodiment, the proposed MCS index table has 32+N rows where  $N \ge 0$  is a non-negative integer. The columns of the table represent the same parameters as those of the MCS index table shown in Fig. 2, which include MCS index I<sub>MCS</sub>, modulation order Q<sub>m</sub> and TBS index I<sub>TBS</sub>. Each table row, that is one MCS, is indexed by an MCS index and contains a particular combination of modulation order and TBS (transport block size) table index.

In another embodiment, in the proposed MCS index table, *M* rows, i.e., *M* MCS indices are used to indicate the MCSs including pairs of one higher-order modulation scheme and one TBSindex, where *M* is a non-negative integer with M>N.

In another embodiment, *32+N-M* MCS entries in Fig. 2 may be re-used in the new table. In other words, *M-N* MCSs in Fig. 2 are not included in the new table.

The current TBS table in the specification is illustrated in Table 7.1.7.2.1-1 in the document called 3GPP TS 36.213 V11.2.0 (2013-04). In another embodiment, the rows of TBS values corresponding to the TBS indices contained in said *M*-*N* MCSs are removed from the TBS table. Meanwhile, *M* new rows of TBS values corresponding to said *M* MCSs for higher-order modulation are added to said TBS table.

In a further embodiment, when N=0, the new table keeps the same size as Fig. 2 and *M* MCSs for higher-order modulation replaces *M* MCSs in Fig. 2. In a further such embodiment, the lowest MCS (corresponding to MCS index 0) is preserved or kept in the new MCS table. In a further such embodiment, the subsequent *M* lowest MCSs (corresponding to MCS indices 1 through M) are excluded in the new (alternate) MCS table. An example of such an embodiment is shown in Fig. 4. Compared to Fig. 2, the MCSs with indices from 1 to 6 for modulation order 2 (QPSK) have been removed, whereas the lowest MCS is preserved. Instead, 6 MCSs for modulation order 8 (256QAM) have been added to the table in Fig. 4.

Meanwhile in the TBS table, rows of TBS values corresponding to the removed and added MCSs are removed and added accordingly. Other MCSs are re-used in the table and the indices in this table are rearranged from beginning. Fig. 6 illustrates an example of a proposed TBS table (dimension 21\*110) with index 21-26 for 256QAM (corresponding to the table in Fig. 5), where NV = new values. For the example shown in Fig. 6, the rows with index 1-6 for QPSK are removed and 6 new rows of TBS values for 256QAM have been added at the end of the table. This new TBS table shall be consistent with the TBS index in said new MCS table,

In another embodiment, the lowest MCS, i.e. the entry with MCS index 0 in Fig. 2, may be re-used in the new table for any case. This is to ensure that the communication between eNB and UE works properly even when the radio link experiences very poor channel condition, which may be called a low SINR scenario or similar.

In another embodiment, when the new MCS table is in use and the entry for lowest MCS is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the MCS table used in next transmission will automatically fall back to the MCS table in current standard, i.e. to the first MCS table.

In another embodiment, the MCS field in DCI is extended by one or more bits to indicate the MCS index when N>0, that is, the alternative MCS table has more than 32 MCS indices.

# CQI index table

The design of the new, or second, CQI index table of the second table configuration is similar to that of the new, or second, MCS index table of the second table configuration.

In a possible embodiment, a first CQI table may be used for radio transmission from the first UE to eNB, while in the radio transmission from the second UE to eNB, a second CQI table is used, or vice versa. In a further such embodiment said first and second UE may be the same UE.

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In another embodiment, said first CQI table and second CQI table are either current CQI table in specification or a modified CQI table that contains entries for higher-order modulation.

In another embodiment, a UE can use either the current CQI table or the proposed alternative CQI table for transmission. In a further embodiment, the information about the CQI table that is (to be) used is part of a UCI message or part of a RRC or MAC message.

In another embodiment, the proposed CQI index table has 16+N rows where  $N \ge 0$  is a non-negative integer. The columns of the table represent the same parameters as those of the table shown in Fig. 3, which include CQI index, modulation order, code rate and efficiency. Each table row, that is one CQI, is indexed by a CQI index and contains a particular combination of a modulation order and code rate. The value of efficiency is calculated based on modulation order and code rate.

In another embodiment, in the proposed CQI index table, *M* rows, i.e., *M* CQI indices are used to indicate the CQI including pairs of one higher-order modulation scheme, one coding rate and resultant efficiency value, where *M* is a non-negative integer with M > N. In a further embodiment, the coding rates for higher-order modulation are defined and added to the CQI table.

In another embodiment, 16+N-M CQIs in Fig. 3 are re-used in the alternative CQI table. In a further such embodiment, when N=0, it keeps the same size as the table in Fig. 3 and *M* CQIs for higher-order modulation replaces *M* CQIs in Fig. 3. In a further such embodiment, the lowest CQI (corresponding to CQI index 1) is preserved in the proposed CQI table. In a further such embodiment the subsequent *M* lowest CQIs (corresponding to CQI indices 2 through *M*+1) are excluded in the new (alternate) CQI table. An example of such embodiment is provided in Fig. 5. Compared to Fig. 3, the CQIs with indices from 2 to 5 for modulation order 2 (QPSK) have been removed, whereas the lowest CQI is preserved. Instead, 4 CQIs for modulation order 8 (256QAM) have been added to

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the table in Fig. 5. Other CQIs are re-used in the table and their indices are rearranged.

In another embodiment, the lowest CQI, i.e. the entry with index 1 in Fig. 3, must be re-used in the new table for any case. This is to ensure that the communication between eNB and UE works properly even when the radio link experiences very poor channel condition.

In another embodiment, when the new CQI table is in use and the entry for lowest CQI is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the CQI table used in next transmission will automatically fall back to the CQI table in current standard.

In another embodiment, the CQI field in uplink control signaling is extended by one or more bits to indicate the CQI index when N>0, that is, the new CQI index table has more than 16 CQIs.

### **Potential advantages**

The embodiments described herein may have the following advantages:

- The process of link adaptation in LTE systems may be enhanced to support higher-order modulation schemes in an efficient manner, which may significantly improve the spectral efficiency in a cellular network, particularly in high SINR scenarios.
- The lowest MCS entry in MCS table and that in CQI table may be preserved as a fallback to ensure proper communication between eNB and UE when the radio link experiences very poor channel condition.
- The size of the current MCS table may be preserved, or minimally expanded.

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- The implementation effort in eNB and UEs may be kept to a minimum by sharing the majority of the MCS/CQI among the current and a new alternate MCS/CQI tables.
- The solution may be applied in both downlink and uplink.

While the solution has been described with reference to specific exemplary embodiments, the description is generally only intended to illustrate the inventive concept and should not be taken as limiting the scope of the solution. For example, the terms "radio node", "User Equipment, UE", "table configuration", and "modulation order" have been used throughout this description, although any other corresponding entities, functions, and/or parameters could also be used having the features and characteristics described here. The solution is defined by the appended claims.

# Abbreviations

LTE	long-term evolution
PDSCH	physical downlink shared channel
MCS	modulation and coding scheme
eNB	E-UTRAN NodeB
UE	user equipment
CQI	channel-quality indicator
QPSK	quadrature phase-shift keying
16QAM	16 quadrature amplitude modulation
64QAM	64 quadrature amplitude modulation
SINR	signal-to-interference-and-noise ratio
DCI	downlink control information
GSM	global system for mobile communications
WCDMA	wideband code-division multiple access
WiMAX	worldwide interoperability for microwave access

# UMB ultra mobile broadband

Some definitions of possible features and embodiments are outlined below, partly with reference to Figs 7-10.

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1. A method is performed by a radio node (200) of a cellular network, the radio node being operable for applying a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising a first Modulation and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the method comprising:

- detecting (100) that a modulation order higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE, and

- instructing (102) the first UE to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and wherein at least one entry for the lowest modulation order in the first MCS table and/or the first CQI table is kept in the second MCS table and/or the second MCS table and/or the second MCS table and/or the second MCS table and/or the second MCS table and/or the first CQI table is kept in the second MCS table and/or the second MCS table and/or the second CQI table as a fall-back in case it is only possible to use the lowest modulation order when the second table configuration is applied.

2. In a possible embodiment, the radio node instructs the first UE to apply the second table configuration in the radio communication between the radio node and the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold.

3. In another possible embodiment, the radio node instructs a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold.

4. In another possible embodiment, the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

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5. In another possible embodiment, the radio node instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.

6. In another possible embodiment, the second MCS table and/or the second CQI table are modifications of the first MCS table and/or the first CQI table, respectively, where a set of entries for the higher modulation order have been added and a set of entries for a lowest modulation order have been removed.

7. In another possible embodiment, at least one entry for the lowest modulation order in the first MCS table and/or the first CQI table is kept in the second MCS table and/or the second CQI table as a fall-back in case it is only possible to use the lowest modulation order when the second table configuration is applied.

8. In another possible embodiment, the number of added entries for the higher modulation order and the number of removed entries for the lowest modulation order are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

9. In another possible embodiment, the second MCS table and/or the second CQI table are modifications of the first MCS table and/or the first CQI table, respectively, where all entries of the first MCS table and/or the first CQI table have been kept in the second MCS table and/or the second CQI table and a set of entries for the higher modulation order have been added.

10. In another possible embodiment, the first and second table configurations further comprise a Transport Block Size,TBS, table corresponding to the first and second MCS tables, respectively.

11. A radio node (200) of a cellular network is also described, the radio node being operable for applying a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising a first Modulation

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and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the radio node comprising:

- a logic unit (200a) configured to detect that a modulation order higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE, and

- an instructing unit (200b) configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and wherein at least one entry for the lowest modulation order in the first MCS table and/or the first CQI table is kept in the second MCS table and/or the second CQI table and/or the second table configuration order the first cQI table is kept in the second MCS table and/or the second table configuration order to the first modulation order the first cQI table is a fall-back in case it is only possible to use the lowest modulation order when the second table configuration is applied.

12. In further possible embodiments, the radio node is configured to perform the method of any of the above radio node method embodiments, where appropriate.

13. A method is also performed by a User Equipment, UE (400), the UE being operable for applying a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising a first Modulation and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the method comprising:

- receiving (300) an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and

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- applying (302) the second table configuration in the radio communication with the radio node.

14. A User Equipment, UE, (400) is also described, the UE being operable for applying a first table configuration in a radio communication with a radio node (402) of a cellular network, the first table configuration comprising a first Modulation and Coding Scheme, MCS, table and/or a first Channel Quality Indicator, CQI, table wherein the first MCS table and/or the first CQI table support a certain maximum modulation order, the UE comprising:

- a communication unit (400a) configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising a second MCS table and/or a second CQI table wherein the second MCS table and/or the second CQI table support a modulation order higher than the maximum modulation order of the first table configuration, and

- a logic unit (400b) configured to apply the second table configuration in the radio communication with the radio node.

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MCS Index Modulation Order		TBS Index	
MCS	Q <sub>m</sub>	TBS	
0	2	0	
1	2	1	
2	2	2	
3	2	3	
4	2	4	
5	2	5	
6	2	6	
7	2	7	
8	2	8	
9	2	9	
10	4	9	
11	4	10	
12	4	11	
13	4	12	
14	4	13	
15	4	14	
16	4	15	
17	6	15	
18	6	16	
19	6	17	
20	6	18	
21	6	19	
22	6	20	
23	6	21	
24	6	22	
25	6	23	
26	6	24	
27	6	25	
28	6	26	
29	2		
30	4	reserved	
31	6	a for the star	

Fig. 2 Basic MCS index table

CQI index	modulation	code rate x 1024	efficiency	
0		out of range	<b></b>	
1	QPSK	78	0.1523	
2	QPSK	120	0.2344	
3	QPSK	193	0.3770	
4	QPSK	308	0.6016	
5	QPSK	449	0.8770	
6	QPSK	602	1.1758	
7	16QAM	378	1.4766	
8	16QAM	490	1.9141	
9	16QAM	616	2.4063	
10	64QAM	466	2.7305	
11	64QAM	567	3.3223	
12	64QAM	666	3.9023	
13	64QAM	772	4.5234	
14	64QAM	873	5.1152	
15	64QAM	948	5.5547	

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Fig. 3 Basic CQI index table

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MCS Index	Modulation Order	TBS Index
I <sub>MCS</sub>	Q <sub>m</sub>	I <sub>TBS</sub>
<u>0</u>	2	<u>0</u>
<u>1</u>	2	<u>±</u>
2	2	2
3	2	3
<u>4</u>	2	4
5	<u>2</u>	<u>5</u>
<u>6</u>	골	<u>6</u>
<u>7-1</u>	2	<u>7</u>
<u>8-2</u>	2	<u>8</u>
<u>9-3</u>	2	<u>9</u>
<u>10-4</u>	<u>4</u>	<u>9</u>
<u>11-5</u>	<u>4</u>	<u>10</u>
<u>12_6</u>	4	<u>11</u>
<u>13-7</u>	4	<u>12</u>
<u>14-8</u>	<u>4</u>	<u>13</u>
<u> 15-9</u>	4	<u>14</u>
<u> 16- 10</u>	<u>4</u>	<u>15</u>
<u>17_11</u>	<u>6</u>	<u>15</u>
<u>18-12</u>	<u>6</u>	<u>16</u>
<u> <del>19</del>- 13</u>	<u>6</u>	<u>17</u>
<u>20–14</u>	<u>6</u>	<u>18</u>
<u>21-15</u>	<u>6</u>	<u>19</u>
<u>22 16</u>	<u>6</u>	<u>20</u>
<u>23-17</u>	<u>6</u>	<u>21</u>
<u>24-18</u>	<u>6</u>	<u>22</u>
<u>25-19</u>	<u>6</u>	<u>23</u>
<u> 26-20</u>	<u>6</u>	<u>24</u>
<u>27-21</u>	<u>6</u>	<u>25</u>
<u>28-22</u>	<u>6</u>	<u>26</u>
<u>23</u>	<u>8</u>	<u>New index</u>
<u>24</u>	<u>8</u>	New index
<u>25</u>	<u>8</u>	New index
<u>26</u>	<u>8</u>	<u>New index</u>
<u>27</u>	<u>8</u>	<u>New index</u>
<u>28</u>	<u>8</u>	New index
<u>29</u>	2	
<u>30</u>	4	reserved
<u>31</u>	<u>6</u>	

Fig. 4 Example of MCS index table with MCS index 23-28 added for 256QAM

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<u>CQI index</u>	modulation	code rate x 1024	efficiency	
0	out of range			
1	OPSK	78	0 1523	
	<u>GF3R</u>	<u></u>	0.1525	
2	<u>QPSK</u>	<u>120</u>	<u>0.2344</u>	
<u>3</u>	<u>QPSK</u>	<u>193</u>	<u>0.3770</u>	
4	<u>QPSK</u>	<u>308</u>	<u>0.6016</u>	
<u>5</u>	<u>QPSK</u>	449	<u>0.8770</u>	
<u>6–2</u>	QPSK	<u>602</u>	<u>1.1758</u>	
<u>7-3</u>	<u>16QAM</u>	<u>378</u>	<u>1.4766</u>	
<u>8-4</u>	<u>16QAM</u>	<u>490</u>	<u>1.9141</u>	
<u>9— 5</u>	<u>16QAM</u>	<u>616</u>	2.4063	
<u> 10 - 6</u>	<u>64QAM</u>	466	2.7305	
<u>11 7</u>	<u>64QAM</u>	<u>567</u>	<u>3.3223</u>	
<u>12 8</u>	<u>64QAM</u>	<u>666</u>	<u>3.9023</u>	
<u>13 9</u>	64QAM	772	4.5234	
<u>14– 10</u>	<u>64QAM</u>	873	<u>5.1152</u>	
<u>15–11</u>	<u>64QAM</u>	<u>948</u>	<u>5.5547</u>	
	256QAM	New value	New value	
<u>13</u>	<u>256QAM</u>	<u>New value</u>	New value	
<u>14</u>	<u>256QAM</u>	New value	<u>New value</u>	
<u>15</u>	<u>256QAM</u>	<u>New value</u>	<u>New value</u>	

Fig. 5 Example of CQI index table with CQI index 12 - 15 added for 256QAM

	N <sub>PRB</sub>									
TBS	1	2	3	4			1.000	108	109	110
0	16	32	56	88			344	2984	2984	3112
+	24	56	88	144	. सह	-		4008	4008	4008
2	32	72	144	176		127	-	4776	4968	4968
3	40	104	176	208			-	6200	6456	6456
4	56	120	208	256		400		7736	7736	7992
5	72	144	224	328	***	-	m	9528	9528	9528
6	328	176	256	392	····			11448	11448	11448
71	104	224	328	472	443	1.00	A.,.	12960	13536	13536
8-2	120	256	392	536				15264	15264	15264
9-3	136	296	456	616				16992	16992	17568
104	144	328	504	680	شيد	1.0	3	19080	19080	19080
115	176	376	584	776				22152	22152	22152
12.6	208	440	680	904		- unar		24496	24496	25456
137	224	488	744	1000				27376	28336	28336
14 8	256	552	840	1128	424		- ne	30576	31704	31704
159	280	600	904	1224				32856	34008	34008
+6 10	328	632	968	1288	2.0	1.0	· · · ·	35160	35160	35160
1711	336	696	1064	1416				39232	39232	39232
48 12	376	776	1160	1544				42368	43816	43816
<del>19</del> 13	408	840	1288	1736		1	- 64.	46888	46888	46888
20 14	440	904	1384	1864				51024	51024	51024
24 15	488	1000	1480	1992				55056	55056	55056
2216	520	1064	1608	2152		2.00	200	59256	59256	59256
23 17	552	1128	1736	2280			1.00	61664	61664	63776
24 18	584	1192	1800	2408		14		66592	66592	66592
25 19	616	1256	1864	2536	1.15	1.1	2	68808	68808	71112
26 20	712	1480	2216	2984	44.0	1.1		75376	75376	75376
21	NV	NV	NV	NV	44.0			NV	NV	NV
22	NV	NV	NV	NV			2	NV	NV	NV
23	NV	NV	NV	NV				NV	NV	NV
24	NV	NV	NV	NV				NV	NV	NV
25	NV	NV	NV	NV	***			NV	NV	NV
26	NV	NV	NV	NV				NV	NV	NV

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Fig. 6 Example of TBS table (dimension 21\*110) with index 21-26 for 256QAM (corresponding to Fig. 5), NV = new values







Fig. 8









Electronic Acknowledgement Receipt					
EFS ID:	16544384				
Application Number:	61863935				
International Application Number:					
Confirmation Number:	6638				
Title of Invention:	METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT				
First Named Inventor/Applicant Name:	David HAMMARWALL				
Customer Number:	11754				
Filer:	Sarah Janelle Rodriguez/Anne Jansson				
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# Payment information:

Submitted with	Payment	yes	
Payment Type		Credit Card	
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1         Application Data Sheet         ADS.pdf         1993.207 metrodulowaterial interaction and constraint interaction interaction and constraint interaction and interaction page counts, where application is compliant with the condition of as a post Card, as described in MPEP 503.         Interaction as a problem interaction as a problem interaction and application includes the necessary components for a filling date (see PCI Article 11 and MPEP 1810, a Notification on the application includes the necessary components for an international Applic	1Application Data SheetADS.pdf1505207 152852429dfte9ee9a92235313294400no7Warnings:Information:2Provisional Cover Sheet (SB16)SB16.pdf1477173 38988dfcb174bf1d01b224c71b5d32634no3Warnings:Information:SB16.pdf1477173 38988dfcb174bf1d01b224c71b5d32634no3Warnings:Information:Information:Information:Information:Information:3SpecificationSpecification pdf482758po11
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Warnings:       Information:       3       Specification       Specification.pdf       427758       no       15         Warnings:       Information:       15       15       15       15       15       15       15       15       15       15       15       15       15       16	Warnings:     Information:       3     Specification       3   Specification
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3       Specification       AB2738 (Maximum Construction (Maximum Construction)       no       15         Warnings: Information:         4       Drawings-only black and white line drawings       Drawings.pdf       167673 (Maximum Construction)       no       7         Warnings: Information:         Total Files Size (in pytes)       0       7         Warnings: Information:         Total Files Size (in bytes)       20667 (Maximum Construction)       no       2         Warnings: Information:         Total Files Size (in bytes)       3662678         Total Files Size (in bytes)       3662678         Total Files Size (in bytes)       3662678         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111 If a new papilications Under 35 U.S.C. 371         New Application International Application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 500), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application is compliant with the conditions of 35 U.S.C. 371 and other application	3 Specification Specification pdf
Warnings:           Information:         Information:           4         Drawings-only black and white line drawings         Drawings.pdf         167673         no         7           4         Drawings-only black and white line drawings         Drawings.pdf         167673         no         7           Warnings:         Information:         10700000000000000000000000000000000000	
Warnings:         Information:         4       Drawings-only black and white line drawings.pdf       167673 no       7         4       Drawings-only black and white line drawings.pdf       167673 no       7         Warnings:       Information:       1100 no       7         Warnings:       Information:       1000 no       7         5       Fee Worksheet (SB06)       fee-info.pdf       2967 no       2         Warnings:       Information:       1000 no       1000 no       2         Warnings:       Information:       3662678       1000 no       2         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.       3662678         New Applications Under 35 U.S.C. 111       If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371       If a timely submission to enter the national stage of an international application includes the necessary components for a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.	1155aa9608dde1930144712680b29615d8a 66d30
Information:         4       Drawings-only black and white line drawings       Drawings.pdf       167673       no       7         Warnings:       Information:       Information:       Information:       7         5       Fee Worksheet (SB06)       fee-info.pdf       29667       no       2         Warnings:       Information:       7       1000       2         Warnings:       Information:       7       1000       2         Information:       Total Files Size (in bytes)       3662678       1000       2         Marnings:       Information:       3662678       1000       1000       3662678         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.       S       S         New Applications Under 35 U.S.C. 111       If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371       If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 will be issued in addition to the F	Warnings:
4       Drawings-only black and white line drawings       Drawings.pdf       167673       no       7         Warnings:         Information:         5       Fee Worksheet (SB06)       fee-info.pdf       29867       no       2         Warnings:         Information:         Total Files Size (in bytes)       3662678         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicatin, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.         New Application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371         If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New Application is being filed with the USPTO as a Receiving Office         If a new international Application is being filed with the	Information:
University       University         Warnings:       Information:         5       Fee Worksheet (SB06)       fee-infc.pdf       29867       no       2         Warnings:       Information:       no       2         Warnings:       Information:       no       2         Warnings:       Information:       no       2         Warnings:       Information:       3662678         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the application, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111       If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International application under 35 U.S.C. 371       If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/E0/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office       If a new international Application is being filed and the international application includes the necessary	4 Drawings-only black and white line Drawings.pdf 167673 no 7
Warnings:         Information:         5       Fee Worksheet (SB06)       fee-info.pdf       29867 (no)       2         Warnings:         Warnings:       information:       0       2         Warnings:       Information:       3662678       0       2         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111       If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371       If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/D0/E0/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office       If a new international Application is being filed and the international application includes the necessary components for an international Application is being filed and the international application filed with the USPTO as a Receiving Office	d3f8706c0d16033eedc349300c8a0914897 117a7
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5       Fee Worksheet (SB06)       fee-info.pdf       29867 http://www.withing.com/sectors/initial com/sectors/initial       no       2         Warnings:         Information:         Total Files Size (in bytes)       3662678         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111         If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371         If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office If a new international Application is being filed and the international application includes the necessary components for an international Application is being filed and the international application of the International Application Number and of th	Information:
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Warnings:         Information:         Total Files Size (in bytes):       3662678         This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.         New Applications Under 35 U.S.C. 111         If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.         National Stage of an International Application under 35 U.S.C. 371         If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.         New International Application Filed with the USPTO as a Receiving Office         If a new international application is being filed and the international application includes the necessary components for an international Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.	b82d9/aabc784178651388049a22ac932d17 .f778
Information:Total Files Size (in bytes):3662678This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.New Applications Under 35 U.S.C. 111If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.National Stage of an International Application under 35 U.S.C. 371If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.New International Application Filed with the USPTO as a Receiving OfficeIf a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.	Warnings:
Total Files Size (in bytes):3662678This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.New Applications Under 35 U.S.C. 111If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.National Stage of an International Application under 35 U.S.C. 371If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.New International Application Filed with the USPTO as a Receiving Office If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.	Information:
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International application number:

International filing date:

Document type:

Document details:

Country/Office: Number: Filing date: PCT/SE2014/050803

26 June 2014 (26.06.2014)

Certified copy of priority document

US 61/863,935 09 August 2013 (09.08.2013)

Date of receipt at the International Bureau:

02 July 2014 (02.07.2014)

Remark: Priority document submitted or transmitted to the International Bureau in compliance with Rule 17.1(a),(b) or (b-bis)

34, cremin des Golombettes 1211 Geneva 20, Switzerland WWW.WipO.int IPR2022-00457 Apple EX1002 Page 389

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VIII-2-1	Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:	In relation to this international application
	Name (LAST, First)	Telefonaktiebolaget L M Ericsson (publ) is entitled to apply for and be granted a patent by virtue of the following:
VIII-2-1(i i)		ERICSSON AB is entitled as employer of the inventor, HAMMARWALL, David and the inventor WANG, Meng
VIII-2-1( ∨ii)		transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)

РСТ

1/6

# PCT REQUEST

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0	For receiving Office use only			
0-1	International Application No.	PCT/SE2014/050803		
0-2	International Filing Date	26 June 2014 (26.06.2014)		
0-3	Name of receiving Office and "PCT International Application"	RO/SE		
	1			
0-4	Form PCT/RO/101 PCT Request			
0-4-1	Prepared Using	PCT Online Filing Version 3.5.000.235 MT/FOP 20020701/0.20.5.20		
0-5	Petition			
_	The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty			
0-6	Receiving Office (specified by the applicant)	Swedish Patent and Registration Office (RO/SE)		
0-7	Applicant's or agent's file reference	P41223W01		
I	Title of Invention	METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT		
11	Applicant			
II-1	This person is	Applicant only		
11-2	Applicant for	All designated States		
-4	Name	Telefonaktiebolaget L M Ericsson (publ)		
11-5	Address	SE-164 83 Stockholm Sweden		
11-6	State of nationality	SE		
11-7	State of residence	SE		
11-8	Telephone No.	+46 10 719 0000		
11-9	Facsimile No.	+46 10 71 75695		
II-10	e-mail	patent.development@ericsson.com		
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P41223WO1

2/6

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111-1	Applicant and/or inventor			
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		Sweden		
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111-2-3	Inventor for			
111-2-4	Name (LAST, First)	WANG, Meng		
111-2-5	Address	Signalistgatan 14		
		SE-169 72 SOLNA		
		Sweden		
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	address for correspondence			
	has been appointed to act on behalf of	Agent		
	the applicant(s) before the competent			
11/4 4	International Authorities as:			
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	Authority so wishes, to send notifications			
	application:			
v	DESIGNATIONS			
V-1	The filing of this request constitutes			
	under Rule 4.9(a), the designation of all Contracting States bound by the			
	PCT on the international filing date,			
	for the grant of every kind of			
	applicable, for the grant of both			
	regional and national patents.			
VI-1	Priority claim of earlier national application			
VI-1-1	Filing date	09 August 2013 (09.08.2013)		
VI-1-2	Number	61/863,935		
VI-1-3	Country	US		

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VI-2	Priority document request	
	The International Bureau is requested to obtain from a digital library a certified copy of the earlier application(s) identified above as item(s), using, where applicable, the access code(s) indicated:	VI-1 Access code: 6638
VI-3	Incorporation by reference :	
	where an element of the international application referred to in Article 11(1)(iii)(d) or (e) or a part of the description, claims or drawings referred to in Rule 20.5(a) is not otherwise contained in this international application but is completely contained in an earlier application whose priority is claimed on the date on which one or more elements referred to in Article 11(1)(iii) were first received by the receiving Office, that element or part is, subject to confir- mation under Rule 20.6, incorporated by reference in this international application for the purposes of Rule 20.6.	
VII-1	International Searching Authority Chosen	European Patent Office (EPO) (ISA/EP)
VIII	Declarations	Number of declarations
VIII-1	Declaration as to the identity of the inventor	-
VIII-2	Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent	1
VIII-3	Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application	1
VIII-4	Declaration of inventorship (only for the purposes of the designation of the United States of America)	-
VIII-5	Declaration as to non-prejudicial disclosures or exceptions to lack of novelty	-

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VIII-2-1	Declaration: Entitlement to apply for and be granted a patent Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent (Rules 4.17(ii) and 51bis.1(a)(ii)), in a case where the declaration under Rule 4.17(iv) is not appropriate:	In relation to this international application
	Name (LAST, First)	Telefonaktiebolaget L M Ericsson (publ) is entitled to apply for and be granted a patent by virtue of the following:
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VIII-2-1( vii)		transfer of entitlement from ERICSSON AB to Telefonaktiebolaget L M Ericsson (publ) by way of a general Assignment on transfer of ownership to invention already made and to be made in the future by employees of ERICSSON AB, dated 27 February 2003 (27.02.2003)

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VIII-3-1	Declaration: Entitlement to claim priority Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application specified below, where the applicant is not the applicant who filed the earlier application or where the applicant's name has changed since the filing of the earlier application (Rules 4.17(iii) and 51bis.1(a)(iii))	In relation to this international application
	Name	Telefonaktiebolaget L M Ericsson (publ)
		is entitled to claim priority of earlier application No. 61/863,935 by virtue of the following:
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# PCT REQUEST

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IX	Check list	Number of sheets	Electronic file(s) attached		
IX-1	Request (including declaration sheets)	6	✓		
IX-2	Description	25	✓		
IX-3	Claims	6			
IX-4	Abstract	1	✓ ✓		
IX-5	Drawings	7			
IX-7	TOTAL	45			
	Accompanying Items	Paper document(s) attached	Electronic file(s) attached		
IX-8	Fee calculation sheet	-	✓ ✓		
IX-18	PCT-SAFE physical media				
IX-19	Other	Pre-conversion ✓			
IX-20	Figure of the drawings which should accompany the abstract	5			
IX-21	Language of filing of the international application	English			
X-1	Signature of applicant, agent or common representative	/Roger Bou Faical/			
X-1-1	Name (LAST, First)	BOU FAICAL, Roger			
X-1-2	Name of signatory	BOU FAICAL, Roger			
X-1-3	Capacity (if such capacity is not obvious from reading the request)	(Representative)			

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10-6	Transmittal of search copy delayed until search fee is paid				

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РСТ	To:		
NOTIFICATION OF THE RECORDING OF A CHANGE (PCT Rule 92 <i>bis</i> .1 and Administrative Instructions, Section 422) Date of mailing ( <i>day/month/year</i> )	BOU FAICAL, Roger Ericsson AB Patent Unit Kista RAN1 SE-164 80 Stockholm SUÈDE		
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1. The following indications appeared on record concerning:			
$\Box \text{ the applicant} \qquad \qquad \blacksquare \text{ the inventor} \qquad \Box$	the agent	the commo	n representative
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		E-mail address	
2. The International Bureau hereby notifies the applicant that the follow	ving change has been i	recorded concerning:	
$\Box \text{ the person} \qquad \Box \text{ the name} \qquad \textbf{K} \text{ the address}$	s 🗌 the	nationality	the residence
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<ul> <li>A copy of this notification has been sent to:</li> <li>the receiving Office</li> <li>the International Searching Authority</li> <li>the Authority(ies) specified for supplementary search</li> </ul>	the Internation the designated the elected C other:	onal Preliminary Examin ed Offices concerned Offices concerned	ing Authority
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NIC	$\begin{array}{c c} \hline MCS & Index & Modulation Order & TBS Index \\ \hline MCS & Index & Order & TBS Index \\ \hline MCS & Order & Order & TBS Index \\ \hline 0 & 2 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 &$	(57) Abstract: A u lation like 256QAM a first Modulation Indicator, CQI, tab When the radio nov imum modulation of use in the radio con to apply a second MCS table and a sa ulation order. At le the first table conf figuration as a fall- order of the first ta plied. Thereby, a h legacy MCS table provided with the s	a method and radio node (500) for enabling higher-order modu A in LTE. A first table configuration comprises at least one of and Coding Scheme, MCS, table and a first Channel Qualit le which tables support a certain maximum modulation order the (500) detects that a modulation order higher than the max order of the first table configuration is potentially possible t mmunication, the radio node (500) instructs the first UE (502 table configuration which comprises at least one of a secon second CQI table which second tables support the higher mod ast one entry for at least one modulation order in the tables of guration is maintained in the tables of the second table con- back in case it is desirable to use the at least one modulatio table configuration when the second table configuration is ap- igher data rate can be achieved in the radio communication. ( and a new MCS table having entries with 256QAM ar- ignalling to switch between these tables.

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#### **Declarations under Rule 4.17**:

#### Published:

as to applicant's entitlement to apply for and be granted — with international search report (Art. 21(3))

- as to applicant's entitlement to apply for and be grante a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

### METHOD AND RADIO NODE FOR ENABLING USE OF HIGH ORDER MODULATION IN A RADIO COMMUNICATION WITH A USER EQUIPMENT

#### Technical field

The present disclosure relates generally to a radio node of a cellular network, a User Equipment, UE and methods therein, for enabling use of a high order modulation when communicating radio signals.

#### Background

In this field, the term "User Equipment, UE" is commonly used and will be used in this disclosure to represent any wireless terminal or device capable of radio communication with a cellular network including receiving downlink signals transmitted from a serving radio node and sending uplink signals to the radio node. For example, the term User Equipment, UE could be exchanged by the term "wireless device". Further, the term "radio node", also commonly referred to as a base station, e-nodeB, eNB, etc., represents any node of a cellular network that can communicate uplink and downlink radio signals with UEs. The radio nodes described here may, without limitation, include so-called macro nodes and low power nodes such as micro, pico, femto, Wifi and relay nodes, to mention some customary examples. Throughout this disclosure, the term "eNB" is often used but can be exchanged by the term radio node.

Link adaptation in systems according to Long Term Evolution, LTE, is based on adaptive modulation and coding, which controls data rate by adaptively adjusting the modulation scheme and/or channel coding rate according to the radio-link conditions. In this procedure, the Modulation and Coding Scheme, MCS, adopted for Physical Downlink Shared Channel, PDSCH, transmission must be indicated in downlink MCS signaling by the serving radio node to the UE. By uplink signaling, the UE informs the radio node about corresponding radio-link, i.e. channel, conditions through Channel Quality Indicator, CQI signaling, including sending CQI reports to the radio node.

This is generally illustrated in Fig. 1 in which a radio node 100 of a cellular network is serving two UEs denoted UE1 and UE2. In this example, UE1 and UE2 both

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report quality measurements made on the channel used by sending CQI reports to the radio node 100 which selects a suitable MCS for each UE based on their CQI reporting and signals the selected MCS to the UEs, respectively. Link adaptation is made in this way for individual UEs on a dynamic basis since the radio-link conditions may change rapidly. The selection of a suitable MCS can thus be made individually for each UE.

In current LTE systems, the set of available modulation schemes for both downlink and uplink includes Quadrature Phase-Shift Keying, QPSK, 16 Quadrature Amplitude Modulation, QAM, and 64QAM, corresponding to two, four and six bits carried per modulation symbol, respectively. In this field, the number of bits carried per modulation symbol is usually referred to as the modulation order,  $Q_m$ .

In brief, the serving radio node selects a suitable MCS based on CQI reporting from the UE and signals the selected MCS to the UE with reference to a predefined MCS index table which is known to the UE. The MCS index table maps MCS indices to modulation order and a Transport Block Size, TBS, index. Further, the UE determines a CQI value based on signal measurements and the CQI is signaled from the UE to the radio node with reference to a likewise predefined CQI index table which maps CQI indices to modulation forms and code rates. In this description, the term "modulation form" is used for short to indicate a modulation format, method or scheme.

In LTE, especially for scenarios with good channel conditions where the Signal-to-Interference-and-Noise Ratio, SINR, is high, e.g. in small-cell environments where the UE is close to its serving radio node, a straightforward means to provide higher data rate for the UE with given transmission bandwidth is to use higher-order modulation that allows for more bits of information to be carried per modulation symbol, as compared to the modulation schemes mentioned above where the highest possible data rate is provided by 64QAM carrying six bits per modulation symbol. However, it is a problem that the control signaling schemes, methods, formats or protocols of today do not support any modulation with higher order than six bits per symbol, as in 64QAM. It is also a problem that additional control

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signaling would be required between the UE and the serving radio node if higher data rate is to be achieved by using higher-order modulation.

#### Summary

It is an object of embodiments described herein to address at least some of the problems and issues outlined above. It is possible to achieve this object and others by using a radio node, a UE and methods therein as defined in the attached independent claims.

According to one aspect, a method is performed by a radio node of a cellular network. The radio node is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

In this method, the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. The radio node then instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the second CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table when the second table configuration is applied.

According to another aspect, a radio node of a cellular network is operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table

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wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The radio node comprises a logic unit configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE.

The radio node also comprises an instructing unit configured to instruct the first UE to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. At least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least cone of the first CQI table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least cone of the first CQI table when the second table configuration is applied.

According to another aspect, a method is performed by a User Equipment, UE, being operable to apply a first table configuration in a radio communication with a radio node of a cellular network. The first table configuration comprises at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. In this method, the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE further applies the second table configuration in the radio communication with the radio node.

According to another aspect, a User Equipment, UE, is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation

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and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. The UE comprises a communication unit which is configured to receive an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE also comprises a logic unit which is configured to apply the second table configuration in the radio communication with the radio node.

When using any of the above methods and nodes, it is possible to achieve a higher data rate in the radio communication between the radio node and the UE by using the higher modulation order of the second table configuration, e.g. when the radio or channel conditions are favorable, instead of being limited to the maximum modulation order of the first table configuration.

A computer program is also provided comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out either of the above methods. A carrier is also provided which contains the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

The above methods and nodes may be configured and implemented according to different optional embodiments to accomplish further features and benefits, to be described below.

#### Brief description of drawings

The solution will now be described in more detail by means of exemplary embodiments and with reference to the accompanying drawings, in which:

Fig. 1 is a communication scenario illustrating how link adaptation can be achieved, according to the prior art.

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Fig. 2 is a table used for MCS signaling from a radio node to a UE, according to a first table configuration.

Fig. 3 is a table used for CQI signaling from a UE to a radio node, according to the first table configuration.

Fig. 4 is a flow chart illustrating a procedure in a radio node, according to some possible embodiments.

Fig. 5 is a block diagram illustrating an example of how a radio node may be configured and operate, according to further possible embodiments.

Fig. 6 is a flow chart illustrating a procedure in a UE, according to some possible embodiments.

Fig. 7 is a block diagram illustrating an example of how a UE may be configured and operate, according to further possible embodiments.

Fig. 8 is an example of a modified table used for MCS signaling from a radio node to a UE, according to according to a second table configuration.

Fig. 9 is an example of a modified table used for CQI signaling from a UE to a radio node, according to the second table configuration.

Fig. 10 is an example of a modified table used for mapping a Transport Block Size, TBS, index to a data rate, according to further possible embodiments.

### **Detailed description**

In this solution it has been recognized that the above-described control signaling for MCS and CQI indication can be re-designed in order to adopt higher-order modulation schemes in LTE systems. In particular, the MCS and CQI index tables used for such signaling can be modified such that the current maximum modulation order can be increased without requiring any extra signaling bits. In this disclosure, the term higher-order modulation may refer to modulation schemes that are higher than 64QAM, such as e.g. 256QAM allowing eight bits per symbol, or even higher modulation of 512QAM, and so forth.

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Briefly described, a first table configuration is initially applied in radio communication between a radio node and a UE. The first table configuration comprises a first MCS table and/or a first CQI table which tables support a certain maximum modulation order, e.g. 6. An example of the first MCS table is shown in Fig. 2 and an example of the first CQI table is shown in Fig. 3. The first MCS table are thus predefined and known to the UE, for example the tables currently used in LTE for signaling between radio nodes and UEs for enabling link adaptation as described above although other MCS and CQI tables are also possible to use in the first table configuration. In these examples it can be seen that the maximum modulation order supported by the first MCS table and the first CQI table is  $Q_m = 6$  which corresponds to 64QAM.

When detecting that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in the above communication, e.g. based on CQI reports from the UE, the radio node instructs the UE to apply a second table configuration that supports the higher modulation order. The second table configuration comprises a second MCS table and/or a second CQI table having additional entries that support the higher modulation order. Examples of how such tables of the second table configuration can be configured will be described in more detail later below. In this solution, at least one entry for at least one modulation order is maintained, i.e. kept, from the table(s) of the first table configuration as a fall-back in case it would become desirable or even necessary to use the least one modulation order of the first table configuration is applied, such as when the radio conditions get worse and only the least one modulation order of the first table configuration, e.g. the lowest modulation order, is possible to use for keeping the radio connection.

It will now be described how link adaptation can be achieved in general according to LTE.

For downlink data transmission in LTE, the radio node typically selects the MCS depending on the CQI feedback transmitted by the UE in the uplink, as illustrated

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in Fig. 1. The CQI feedback indicates the present channel condition and possible data rate, or more specifically a modulation and coding scheme MCS, that can be supported by the downlink channel given the present channel condition and UE receiver.

The LTE specifications are designed to provide signaling between the radio node and the UE. In the downlink, the information about the MCS adopted for PDSCH transmission is indicated by a five-bit field in the Downlink Control Information, DCI, transmitted from the radio node to the UE. This MCS field corresponds to the MCS index table shown in Fig. 2. In this table, there is room for 32 combinations or entries, where 29 entries are used to signal an adopted MCS, each entry corresponding to a modulation order and a Transport Block Size, TBS, while 3 entries are reserved, e.g., to support adaptive retransmissions. All possible TBS can be described by a TBS table mapping a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size (in bits).

In the uplink, the UE reports CQI to assist the serving radio node to select the appropriate MCS to apply for downlink transmissions. Typically, the CQIs are derived from measurements made by the UE on downlink reference signals transmitted by the serving radio node. For example, the reported CQI may represent the highest MCS that is supported for a PDSCH transmission, e.g. with a transport block error rate probability not exceeding 10%. The CQI is signaled from the UE to the radio node with reference to a predefined CQI index table, as shown in Fig. 3. A 4-bit CQI value corresponds to a particular MCS out of 16 combinations corresponding to CQI index 0-15 in the CQI index table. It should be noted that the CQI table is parameterized in terms of coding rate, as opposed to transport block size. Thus, the selected and signaled CQI indicates the highest modulation and coding rate at which the block error rate measured at UE does not exceed 10%. Based on the CQI feedback from the UE and other information, the radio node is able to select a proper MCS index from the MCS table and notify the UE accordingly by MCS signaling.

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Current LTE systems support three modulation schemes for both downlink and uplink: QPSK, 16QAM and 64QAM. Accordingly, the MCS index table, the CQI index table and the corresponding fields for indication in DCI are designed for these three modulation schemes. However, higher-order modulation schemes are not supported in current LTE specifications. In order to support higher-order modulation, i.e. higher than the above schemes QPSK, 16QAM and 64QAM, UEs must support an additional MCS/CQI table that also includes specific entries for new modulation schemes. The modification of MCS/CQI table may require redesigning the DCI format and possibly also the Uplink Control Information, UCI, format.

Typically, the additional MCS/CQI tables are used in scenarios with high Signal-to-Noise Ratio, SNR, or SINR which allow for higher-order modulation to be used thanks to the high signal quality. In scenarios with relatively low SNR or SINR, on the other hand, the current MCS/CQI tables supporting QPSK, 16QAM and 64QAM are useful to achieve link robustness. Hence, a solution has been devised with flexibility to adopt appropriate MCS/CQI tables based on channel conditions as follows.

As mentioned above, current LTE systems only support modulation up to 64QAM, while it may be desirable to use higher-order modulation, e.g. 256QAM, to increase the data rate when the signal quality allows. To support higher-order modulation, adaptations and/or extensions to the current control signaling in terms of the MCS index table, the CQI index table and the corresponding fields in DCI/UCI are required. This can be solved by the embodiments described herein.

In this disclosure, an alternative design of an MCS index table and/or of a CQI index table supporting higher-order modulation is described which can be used for LTE systems, which can be supported in addition to basic MCS and CQI tables such as the current design of the MCS index table and the CQI index table shown in Fig. 2 and Fig. 3, respectively.

In the current LTE specification, the MCS and CQI tables support modulation schemes up to 64QAM, e.g. as illustrated in Figs 2 and 3. The proposed new MCS

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and CQI index tables are able to support modulation higher than 64QAM, without necessarily extending the number of bits in the DCI/UCI formats, or the number of entries in the MCS table and in the CQI table, respectively. In this solution, it is possible to select higher-order modulation schemes e.g. in the high-SINR scenarios or generally when a performance related parameter, such as SINR, of signals communicated between a radio node and a UE is above a certain threshold.

In the new MCS/CQI tables, new entries for higher-order modulation are added and designed to provide sufficient resolution to cover the high-SINR region. Meanwhile, a large part of the existing entries in current MCS and/or CQI tables may be preserved. The current MCS and/or CQI tables may be comprised in a first table configuration while the new MCS and/or CQI tables supporting a higherorder modulation may be comprised in a second table configuration. This has the advantage that the number of new MCS/CQI formats a UE and a radio node has to implement may be minimized. In other words, the UE and the radio node need to support only one extra MCS table and/or CQI table of the second table configuration in order to enable the higher-order modulation.

In a possible embodiment, at least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and/or at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table is preserved or maintained from the basic MCS and/or CQI table, to ensure proper communication between the radio node and the UE under poor channel or radio conditions. Thus, a fallback is provided in case it is only possible or desirable to use a modulation order lower than the higher modulation order, e.g. the lowest modulation order, when the second table configuration is applied. This provides flexibility and robustness in case of changing channel or radio conditions, and provides a robust format to, for example, signal control-plane data, and/or to reconfigure the UE to assume the basic MCS and/or CQI table suitable for poor/normal channel or radio conditions. By employing embodiments described herein, the link adaption in LTE systems may be enhanced to support higher-order modulation schemes, which can significantly improve the spectral efficiency e.g. in

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high SINR scenarios, while maintaining robustness in case of worsening radio conditions.

It should be noted that although terminology from 3GPP LTE is used in this disclosure to describe various exemplifying embodiments, this should not be seen as limiting the scope of usage to only the aforementioned system. Other wireless systems, including WCDMA, WiMAX, and Ultra Mobile Broadband, UMB, may also benefit from exploiting embodiments described herein.

It should also be noted that terminology such as radio node should be considered non-limiting and in general "radio node" could be considered as device 1 and "UE" could be considered as device 2 and these two devices may communicate with each other over some radio channel in the manner described herein.

In the following, the solution will be explained in more detail by some exemplary embodiments. It should be noted that these embodiments are not mutually exclusive. Components from one embodiment may be utilized in another embodiment wherever appropriate.

The MCS index table and CQI index table used in current LTE specification are shown in Fig. 2 and Fig. 3, respectively. A possible design of alternative MCS and CQI index tables will now be described as well as the mechanism by which the radio node and the UE can switch between the proposed new MCS/CQI tables of the second table configuration and the MCS/CQI tables of the first table configuration. It should be noted that the solution is not limited to the specific examples of MCS/CQI tables described herein and that any MCS/CQI tables may be used in accordance with the embodiments described herein.

An example of a procedure, performed by a radio node of a cellular network when the solution is employed, will now be described with reference to the flow chart in Fig. 4. Some possible but non-limiting embodiments will also be described which may be used for the radio node. In this procedure, it is assumed that the radio node is operable to apply a first table configuration in radio communications with UEs, and that the first table configuration comprises at least one of a first MCS

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table and a first CQI table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order. As said above, the maximum modulation order supported by the currently used MCS/CQI tables is  $Q_m = 6$  which corresponds to 64QAM, and the currently used MCS/CQI tables may, without limitation, be used as the first table configuration in this solution.

A first **action 400** illustrates that the radio node detects that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node and a first UE. For example, this may be detected when a performance related parameter, e.g. SINR, of signals communicated between the radio node and the second UE is above a certain threshold.

Another **action 402** illustrates that the radio node instructs the first UE to apply a second table configuration in the radio communication. The second table configuration comprises at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order. Furthermore, at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first MCS table and the first CQI table when the second table configuration is applied. In other words, the at least one of the second MCS table and the second CQI table includes at least one entry for at least one modulation order, e.g. the lowest modulation order, that is also included in the at least one of the first MCS table and the first CQI table, in order to provide said fall-back. Such a fall-back may be desirable, and even necessary, if the signal quality, e.g. as indicated by the CQI reports from the UE, suddenly deteriorates and no modulation order higher than the lowest one is suitable or even possible to use for achieving robustness.

In a possible embodiment, the radio node may instruct the first UE to apply the second table configuration in the radio communication between the radio node and

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the first UE when a performance related parameter of signals communicated between the radio node and the first UE is above a threshold. The performance related parameter may be derived from CQI reports provided by the first UE containing measurements of downlink reference signals transmitted by the radio node.

In another possible embodiment, the radio node may instruct a second UE to apply the first table configuration in a radio communication between the radio node and the second UE when the performance related parameter of signals communicated between the radio node and the second UE is below the threshold. In yet a possible embodiment, the radio node may in this case instruct the second UE explicitly by sending an instruction to the second UE to apply the first table configuration, or implicitly by not sending an instruction to the second UE to apply the second table configuration which indicates to the second UE that it should apply the first table configuration. Furthermore, the radio node may instruct the first UE as well to apply the first table configuration again, if the performance related parameter of signals between the radio node and the first UE would fall below the threshold. In either of the latter embodiments, the performance related parameter may comprise a Signal-to-Interference-and-Noise Ratio, SINR.

It was mentioned above that the MCS and CQI index tables of the second table configuration may be created by modifying the MCS and CQI index tables of the first table configuration, e.g. the tables shown in Figs 2 and 3, such that the current maximum modulation order can be increased. This may be done without requiring any extra signaling bits. In one possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries for the higher modulation order in the at least one of the first MCS table and the first CQI table. In another possible embodiment, the at least one of the first MCS table and the first CQI table and a set of entries for the higher modulation order in the at least one of the first MCS table and the first CQI table have been removed in the respective second tables. In another possible embodiment, the at least one modulation order of the first MCS table and the first CQI table may comprise a lowest modulation order of the first table configuration. It will be described later below how the above-

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mentioned modification of the first MCS table and the first CQI table may be done in more detail with reference to examples shown in Figs 8 and 9.

It was also mentioned above that at least one entry for the at least one modulation order, e.g. the lowest modulation order, in the at least one of the first MCS table and the first CQI table is maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table as a fall-back in case the signal quality deteriorates while using the second table configuration. In another possible embodiment, the first entry for the lowest modulation order in the first MCS table may be maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table may be maintained in the second CQI table as the fall-back. This embodiment will be illustrated in more detail later below.

In another possible embodiment, the number of added entries for the higher modulation order and the number of removed entries for the lowest modulation order may be equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size. Thereby, no extra bits are needed in the signaling of MCS and/or CQI, respectively, for supporting the second table configuration in addition to the first table configuration.

In another possible embodiment, the at least one of the second MCS table and the second CQI table may be a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained, i.e. kept, in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added. In yet a possible embodiment, the first and second table configurations may further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively. The TBS table maps a TBS index,  $I_{TBS}$ , and an allocation bandwidth into the corresponding transport block size, e.g. given in number of bits.

A detailed but non-limiting example of how a radio node may be structured with some possible functional entities such as modules, circuits or units, to bring about

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the above-described functionality of the radio node, is illustrated by the block diagram in Fig. 5. In this figure, the radio node 500 is operable to apply a first table configuration in radio communications with UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The radio node 500 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the radio node 500 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 4 and the embodiments described above, where appropriate. In order to put any of this into practice, the radio node 500 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the radio node 500 comprises means, such as a **logic unit 500a**, configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node 500 and a first UE 502. This detecting operation may be performed as described for action 400 above.

The radio node 500 also comprises means, such as an **instructing unit 500b**, configured to instruct the first UE 502 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table when the second table configuration is applied. This instructing operation may be performed as described for action 402 above.

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An example of a procedure, performed by a UE when the solution is employed, will now be described with reference to the flow chart in Fig. 6. In this procedure, it is assumed that the UE is operable to apply a first table configuration in a radio communication with a radio node of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

A first **action 600** illustrates that the UE receives an instruction from the radio node to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. In another **action 602**, the UE applies the second table configuration in the radio communication with the radio node, which may include receiving MCS signaling from the radio node with reference to the second MCS table and/or sending CQI reports to the radio node with reference to the second CQI table, in accordance with the second table configuration.

A detailed but non-limiting example of how a UE may be structured with some possible functional entities such as modules, circuits or units, to bring about the above-described functionality of the UE, is illustrated by the block diagram in Fig. 7. In this figure, the UE 700 is operable to apply a first table configuration in a radio communication with a serving radio node 702 of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order.

The UE 700 may be configured to operate according to any of the examples and embodiments of employing the solution as described above and as follows. In particular, the UE 700 may comprise means arranged or configured to perform the actions of the flow chart in Fig. 6. In order to put any of this into practice, the UE

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700 may be implemented with a communication circuit C, a memory M and an operable processor P comprising various functional units as described below.

More specifically, the UE 700 comprises means, such as a **communication unit 700a**, configured to receive an instruction from the radio node 702 to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration. The UE 700 also comprises means, such as a **logic unit 700b**, configured to apply the second table configuration in the radio communication with the radio node 702. This is illustrated in the figure by the UE 700 receiving MCS signaling from the radio node 702 with reference to the second MCS table and/or sending CQI reports to the radio node 702, with reference to the second CQI table in accordance with the second table configuration.

It should be noted that Figs 5 and 7 illustrate various functional units in the radio node 500 and the UE 700, respectively, and the skilled person is able to implement these functional units in practice using suitable software and hardware. Thus, the solution is generally not limited to the shown structures of the radio node 500 and the UE 700, and the functional units 500a-b and 700a-b may be configured to operate according to any of the features described in this disclosure, where appropriate.

The functional units 500a-b and 700a-b described above can be implemented in the radio node 500 and the UE 700, respectively, by means of program modules of a respective computer program comprising code means which, when run by the processor P in each node causes the radio node 500 and the UE 700 to perform the above-described actions and procedures. Each processor P may comprise a single Central Processing Unit (CPU), or could comprise two or more processing units. For example, each processor P may include a general purpose microprocessor, an instruction set processor and/or related chips sets and/or a special purpose microprocessor such as an Application Specific Integrated Circuit (ASIC). Each processor P may also comprise a storage for caching purposes.

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Each computer program may be carried by a computer program product in each of the radio node 500 and the UE 700 in the form of a memory having a computer readable medium and being connected to the processor P. The computer program product or memory M in each of the radio node 500 and the UE 700 may thus comprise a computer readable medium on which the computer program is stored e.g. in the form of computer program modules or the like. For example, the memory M in each node may be a flash memory, a Random-Access Memory (RAM), a Read-Only Memory (ROM) or an Electrically Erasable Programmable ROM (EEPROM), and the program modules could in alternative embodiments be distributed on different computer program products in the form of memories within the respective radio node 500 and UE 700.

The solution described herein may be implemented in the respective radio node 500 and UE 700 by a computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the actions according to any of the above embodiments. The solution may also be implemented at the respective radio node 500 and UE 700 in a carrier containing the above computer program, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

It will now be described in more detail how the first MCS and CQI tables shown in Figs 2 and 3 can be modified to create the second MCS and CQI tables, which is exemplified in the modified MCS table shown in Fig. 8 and in the modified CQI table shown in Fig. 9.

### MCS index table

In an illustrative example, a first MCS table of the above-described first table configuration is used for downlink transmission to one UE, such as UE1 in Fig. 1, and for downlink transmission to another UE, such as UE2 in Fig. 1, a second MCS table of the above-described second table configuration is used.

In another illustrative example, the first MCS table is the current MCS table of the first table configuration in specification as shown in Fig. 2, and the second MCS table is a modified new MCS table of the second table configuration that supports

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a modulation order higher than the maximum modulation order supported by the first MCS table, i.e. the second MCS table contains entries for higher-order modulation. An example of a second MCS table is shown in Fig. 8 which has been modified from the first MCS table of Fig. 2.

In another illustrative example, the radio node can use either current MCS table or proposed alternative MCS table for DL transmission. In a further example, the information about the MCS table that is to be used may be part of a DCI message, in which case it typically applies to a single specific subframe, or it may be part of a Radio Resource Control, RRC message or a Medium Access Control, MAC message, in which case it typically applies until further notice.

In another illustrative example, the proposed MCS index table of the second table configuration may have 32+N rows where  $N \ge 0$  is a non-negative integer. The columns of the table represent the same parameters as those of the MCS index table shown in Fig. 2, which include MCS index  $I_{MCS}$ , modulation order  $Q_m$  and TBS index  $I_{TBS}$ . Each table row or entry, that corresponds to one MCS, is indexed by the MCS index  $I_{MCS}$  and contains a particular combination of modulation order and TBS index.

In another illustrative example, in the proposed MCS index table of the second table configuration, *M* rows, i.e., *M* MCS indices may be used to indicate the MCSs including pairs of one higher-order modulation scheme and one TBS index, where *M* is a non-negative integer with M>N.

In another illustrative example, *32+N-M* MCS entries in Fig. 2 may be re-used in the new MCS table of the second table configuration. In other words, *M-N* MCS entries in Fig. 2 are not included in the new MCS table.

The current TBS table in the specification is illustrated in Table 7.1.7.2.1-1 in the document called 3GPP TS 36.213 V11.2.0 (2013-04). In another example, the rows of TBS values corresponding to the TBS indices contained in said *M-N* MCSs may be removed from the TBS table of the first table configuration. Meanwhile, *M* 

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new rows of TBS values corresponding to said *M* MCSs for higher-order modulation are added to said TBS table.

In a further illustrative example, when N=0, the new MCS table of the second table configuration may keep the same size as the MCS table in Fig. 2 and *M* MCSs for higher-order modulation in Fig. 8 replaces *M* MCSs in Fig. 2. In a further example, the lowest MCS, corresponding to MCS index 0, may be preserved or kept in the new MCS table of the second table configuration in Fig. 8. In a further example, the subsequent *M* lowest MCSs (corresponding to MCS indices 1 through M) are excluded in the new MCS table of the second table configuration. An example of such a new MCS table is shown in Fig. 8. Compared to Fig. 2, the MCSs with indices from 1 to 6 for modulation order 2 (QPSK) have been removed in Fig. 8, whereas the lowest MCS is preserved. Instead, 6 MCSs for modulation order 8 (256QAM) have been added to the MCS table in Fig. 8.

In order to create the TBS table of the second table configuration, rows of TBS values corresponding to the removed and added MCSs should be removed and added accordingly from and to the TBS table of the first table configuration. Other MCS entries are re-used in the new MCS table and the indices in this table are rearranged, i.e. renumbered, from beginning. Fig. 10 illustrates an example of a proposed TBS table of the second table configuration, table dimension is 27\*110, with index 21-26 for 256QAM, corresponding to the table in Fig. 9, where "NV" denotes new values. For the example shown in Fig. 10, the rows with index 1-6 for QPSK are removed and 6 new rows of TBS values for 256QAM have been added at the end of the table. This new TBS table of the second table configuration shall be consistent with the TBS index in said new MCS table of the second table configuration.

Fig. 10 will now be explained in more detail. Fig. 10 shows the Transport Block Size table in the specification 36.213 Table 7.1.7.2.1-1. The transport block size, i.e. the number of bits carried by a transport block is determined by both adopted MCS and the number of pair of resource blocks which is denoted  $N_{PRB}$  in the table. For each MCS index in the MCS table, there are 110 possible numbers of

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PRB, 1-110. This is why the TBS table has a size of 110 columns and 27 rows that correspond to different MCSs, although they are not one-to-one mappings to the MCS table. If new MCS indices are added for 256QAM, the TBS entries for 256QAM need also be calculated and specified. Hence, in the new MCS table, the new entries for 256QAM must contain the TBS indices for corresponding new TBS values.

In another illustrative example, the lowest MCS, i.e. the entry with MCS index 0 in the MCS table of the first table configuration shown in Fig. 2 may be re-used in the new MCS table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition, which may be called a low SINR scenario or similar.

In another illustrative example, when the new MCS table of the second table configuration is in use and the entry for lowest MCS is selected for a transmission, this indicates that it is difficult to support higher-order modulation due to variation of channel quality, bad radio conditions or other issues, and that a more robust MCS is needed. Hence, the MCS table used in the next transmission may automatically fall back to the first MCS table of the first table configuration, which may without limitation be the MCS table of the current standard, which allows for selection of any of the entries of e.g. the lowest modulation order.

In another illustrative example, the MCS field in DCI may be extended by one or more bits to indicate the MCS index when N>0, that is, the new MCS table of the second table configuration may have more than 32 MCS indices. However, this expansion of the MCS table may require one or more extra bits in the DCI.

### CQI index table

The design of the new, or second, CQI index table of the second table configuration is similar to that of the new, or second, MCS index table of the second table configuration.

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In an illustrative example, a first CQI table of the first table configuration may be used for radio transmission from the first UE to the radio node, while in the radio transmission from the second UE to the radio node, a second CQI table of the second table configuration may be used, or vice versa.

In another illustrative example, said first CQI table and second CQI table are the current CQI table in specification and a modified CQI table that contains entries for higher-order modulation, respectively. An example of a second CQI table of the second table configuration is shown in Fig. 9 which has been modified from the first CQI table of the first table configuration in Fig. 3.

In another illustrative example, a UE can use either the current CQI table of the first table configuration or the new CQI table of the second table configuration for transmission. In further examples, the information about the CQI table that is to be used may be part of a UCI message or part of a RRC or MAC message.

In another illustrative example, the proposed CQI table of the second table configuration has 16+N rows where  $N \ge 0$  is a non-negative integer. The columns of the new CQI table represent the same parameters as those of the CQI table shown in Fig. 3, which include CQI index, modulation form, code rate and efficiency. Each table row or entry, that is one CQI, is indexed by a CQI index and contains a particular combination of a modulation order and code rate. The value of efficiency is calculated based on modulation order and code rate.

In another illustrative example, in the proposed CQI index table of the second table configuration, M rows, i.e., M CQI indices are used to indicate the CQI including pairs of one higher-order modulation scheme, one coding rate and resultant efficiency value, where M is a non-negative integer with M>N. In a further embodiment, the coding rates for higher-order modulation are defined and added to the CQI table.

In another illustrative example, *16+N-M* CQIs in Fig. 3 are re-used in the alternative CQI table of the second table configuration. In a further embodiment,

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when N=0, the new CQI table keeps the same size as the CQI table in Fig. 3 and M CQIs for higher-order modulation replaces M CQIs in Fig. 3.

In a further example, the lowest CQI corresponding to CQI index 1 is preserved in the new CQI table of the second table configuration. In a further embodiment, the subsequent *M* lowest CQIs, corresponding to CQI indices 2 through *M*+1, are excluded in the new CQI table of the second table configuration. An example of such an embodiment is provided in Fig. 9. Compared to Fig. 3, the CQIs with indices from 2 to 5 for modulation order 2 (QPSK) have been removed, whereas the lowest CQI is preserved. Instead, 4 CQIs for modulation order 8 (256QAM) have been added to the table in Fig. 9. Other CQIs are re-used in the table and their indices are rearranged.

As mentioned above, the lowest CQI, i.e. the entry with index 1 in Fig. 3, may be re-used in the new CQI table of the second table configuration. This is to ensure that the communication between the radio node and UE works properly even when the radio link experiences very poor channel condition.

In another illustrative example, when the new CQI table of the second table configuration is in use and the entry for lowest CQI is selected, this indicates that it is difficult to support higher-order modulation due to variation of channel quality or other issues. Hence, the CQI table used in next transmission may automatically fall back to the first CQI table of the first table configuration which may without limitation be the CQI table in the current standard.

In another illustrative example, the CQI field in uplink control signaling may be extended by one or more bits to indicate the CQI index when N>0, that is, the new CQI table has more than 16 CQIs. However, this expansion of the CQI table may require one or more extra bits in the DCI.

### **Potential advantages**

The embodiments described herein may have the following advantages:

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- The process of link adaptation in LTE systems may be enhanced to support higher-order modulation schemes in an efficient manner, which may significantly improve the spectral efficiency in a cellular network, particularly in high SINR or SNR scenarios.
- At least one MCS entry, e.g. the lowest MCS entry with MCS index 0, in the MCS table and at least one CQI entry, e.g. the lowest CQI entry for the lowest coding rate of the lowest modulation order with CQI index 1, in the CQI table may be preserved from the first table configuration as a fallback in the second table configuration to ensure proper communication between the radio node and UE when the radio link experiences very poor channel condition.
- The size of the current MCS table may be preserved, or minimally expanded.
- The implementation effort in the radio node and UEs may be kept to a minimum by sharing the majority of the MCS/CQI entries in the first and second MCS/CQI tables, respectively.
- The solution may be applied for both downlink and uplink transmissions.

While the solution has been described with reference to specific exemplary embodiments, the description is generally only intended to illustrate the inventive concept and should not be taken as limiting the scope of the solution. For example, the terms "radio node", "User Equipment, UE", "table configuration", and "modulation order" have been used throughout this description, although any other corresponding entities, functions, and/or parameters could also be used having the features and characteristics described here. The solution is defined by the appended claims.

### Abbreviations

LTE	long-term evolution	
PDSCH	physical downlink shared channel	

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- MCS modulation and coding scheme
- eNB E-UTRAN NodeB
- UE user equipment
- CQI channel-quality indicator
- QPSK quadrature phase-shift keying
- 16QAM 16 quadrature amplitude modulation
- 64QAM 64 quadrature amplitude modulation
- SINR signal-to-interference-and-noise ratio
- DCI downlink control information
- GSM global system for mobile communications
- WCDMA wideband code-division multiple access
- WiMAX worldwide interoperability for microwave access
- UMB ultra mobile broadband

### CLAIMS

1. A method performed by a radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- detecting (400) that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- instructing (402) the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order, and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table when the second table configuration is applied.

2. A method according to claim 1, wherein the radio node (500) instructs the first UE (502) to apply the second table configuration in the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

3. A method according to claim 2, wherein the radio node (500) instructs a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter

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of signals communicated between the radio node (500) and the second UE is below the threshold.

4. A method according to claim 2 or 3, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

5. A method according to claim 3, wherein the radio node (500) instructs the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.

6. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

7. A method according to any of claims 1-6, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

8. A method according to claim 7, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.

9. A method according to claim 6 and any of claims 7-8, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

10. A method according to any of claims 1-5, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of

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the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

11. A method according to any of claims 1-10, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

12. A radio node (500) of a cellular network, the radio node (500) being operable to apply a first table configuration in radio communications with User Equipments, UEs, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the radio node (500) comprising:

- a logic unit (500a) configured to detect that a higher modulation order which is higher than the maximum modulation order of the first table configuration is potentially possible to use in a radio communication between the radio node (500) and a first UE (502), and

- an instructing unit (500b) configured to instruct the first UE (502) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support the higher modulation order , and wherein at least one entry for at least one modulation order in the at least one of the first MCS table and the first CQI table is maintained in the at least one of the second MCS table and the second CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table and the first CQI table as a fall-back in case it is desirable to use the at least one modulation order in the at least one of the first CQI table and the first CQI table configuration is applied.

13. A radio node (500) according to claim 12, wherein the radio node (500) is configured to instruct the first UE (502) to apply the second table configuration in

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the radio communication between the radio node (500) and the first UE (502) when a performance related parameter of signals communicated between the radio node (500) and the first UE (502) is above a threshold.

14. A radio node (500) according to claim 13, wherein the radio node (500) is configured to instruct a second UE to apply the first table configuration in a radio communication between the radio node (500) and the second UE when the performance related parameter of signals communicated between the radio node (500) and the second UE is below the threshold.

15. A radio node (500) according to claim 13 or 14, wherein the performance related parameter comprises a Signal to Interference and Noise Ratio, SINR.

16. A radio node (500) according to claim 14, wherein the radio node (500) is configured to instruct the second UE by sending an instruction to the second UE to apply the first table configuration, or by not sending an instruction to the second UE to apply the second table configuration.

17. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where a set of entries have been added for the higher modulation order and a set of entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table have been removed.

18. A radio node (500) according to any of claims 12-17, wherein the at least one modulation order in the at least one of the first MCS table and the first CQI table comprises a lowest modulation order of the first table configuration.

19. A radio node (500) according to claim 18, wherein the first entry for the lowest modulation order in the first MCS table is maintained in the second MCS table as the fall-back, and an entry for the lowest coding rate of the lowest modulation order in the first CQI table is maintained in the second CQI table as the fall-back.

29

20. A radio node (500) according to claim 17 and any of claims 18-19, wherein the number of added entries for the higher modulation order and the number of removed entries for the at least one modulation order in the at least one of the first MCS table and the first CQI table are equal such that the first and second MCS tables are of equal size and/or the first and second CQI tables are of equal size.

21. A radio node (500) according to any of claims 12-16, wherein the at least one of the second MCS table and the second CQI table is a modification of the at least one of the first MCS table and the first CQI table, respectively, where all entries of the at least one of the first MCS table and the first CQI table have been maintained in the at least one of the second MCS table and the second CQI table and a set of entries for the higher modulation order have been added.

22. A radio node (500) according to any of claims 12-21, wherein the first and second table configurations further comprise a Transport Block Size, TBS, table corresponding to the first and second MCS tables, respectively.

23. A method performed by a User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the method comprising:

- receiving (600) an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

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- applying (602) the second table configuration in the radio communication with the radio node (702).

24. A User Equipment, UE (700), the UE (700) being operable to apply a first table configuration in a radio communication with a radio node (702) of a cellular network, the first table configuration comprising at least one of a first Modulation and Coding Scheme, MCS, table and a first Channel Quality Indicator, CQI, table wherein the at least one of the first MCS table and the first CQI table support a certain maximum modulation order, the UE comprising:

- a communication unit (700a) configured to receive an instruction from the radio node (702) to apply a second table configuration in the radio communication, the second table configuration comprising at least one of a second MCS table and a second CQI table wherein the at least one of the second MCS table and the second CQI table support a higher modulation order which is higher than the maximum modulation order of the first table configuration, and

- a logic unit (700b) configured to apply the second table configuration in the radio communication with the radio node (702).

25. A computer program comprising instructions which, when executed on at least one processor, cause the at least one processor to carry out the method according to any one of claims 1-11 and 23.

26. A carrier containing the computer program of claim 25, wherein the carrier is one of an electronic signal, optical signal, radio signal, or computer readable storage medium.

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Fig. 1 (Prior art)

MCS Index I <sub>MCS</sub>	Modulation Order Q <sub>m</sub>	TBS Index I <sub>TBS</sub> 0	
0	2		
1	2	1	
2	2	2	
3	2	3	
4	2	4	
5	2	5	
6	2	6	
7	2	7	
8	2	8	
9	2	9	
10	4	9	
11	4	10	
12	4	11	
13	4	12	
14	4	13	
15	4	14	
16	4	15	
17	6	15	
18	6	16	
19	6	17	
20 21 22	6	18	
	6	19	
	6	20	
23	6	21	
24	6	22	
25	6	23	
26	6	24	
27	6	25	
28	6	26	
29	2		
30	4	reserved	
31	6		

Fig. 2

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CQI index	modulation	code rate x 1024	efficiency	
0	out of range			
1	QPSK	78	0.1523	
2	QPSK	120	0.2344	
3	QPSK	193	0.3770	
4	QPSK	308	0.6016	
5	QPSK	449	0.8770	
6	QPSK	602	1.1758	
7	16QAM	378	1.4766	
8	16QAM	490	1.9141	
9	16QAM	616	2.4063	
10	64QAM	466	2.7305	
11	64QAM	567	3.3223	
12	64QAM	666	3.9023	
13	64QAM	772	4.5234	
14	64QAM	873	5.1152	
15	64QAM	948	5.5547	

Fig. 3
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Fig. 5







Fig. 7

MCS Index	Modulation Order	TBS Index
I <sub>MCS</sub>	Q <sub>m</sub>	I <sub>TBS</sub>
0	2	0
<u>1</u>	2	<u>1</u>
2	2	2
3	2	3
4	2	4
<u>5</u>	2	<u>5</u>
<u>6</u>	2	<u>6</u>
<u>7-1</u>	<u>2</u>	<u>7</u>
<u>8-2</u>	<u>2</u>	<u>8</u>
<del>9_3</del>	<u>2</u>	<u>9</u>
<u>10-4</u>	4	<u>9</u>
<u>11-5</u>	4	<u>10</u>
<u>12_6</u>	4	<u>11</u>
<u>13-7</u>	4	<u>12</u>
<u>14-8</u>	4	<u>13</u>
<u>15-9</u>	4	<u>14</u>
<u>+6- 10</u>	4	<u>15</u>
<u>17-11</u>	6	<u>15</u>
<u> 18-12</u>	6	<u>16</u>
<u> <del>19</del> 13</u>	<u>6</u>	<u>17</u>
<u>20–14</u>	6	<u>18</u>
<u>21 15</u>	6	<u>19</u>
<u>22 16</u>	6	<u>20</u>
<u>23-17</u>	6	<u>21</u>
<u>24-18</u>	6	<u>22</u>
<u>25-19</u>	<u>6</u>	<u>23</u>
<u> 26-20</u>	<u>6</u>	24
<u>27-21</u>	<u>6</u>	<u>25</u>
<del>28</del> -22	<u>6</u>	<u>26</u>
<u>23</u>	<u>8</u>	New index
<u>24</u>	<u>8</u>	New index
<u>25</u>	8	New index
26	<u>8</u>	New index
27	<u>8</u>	New index
<u>28</u>	<u>8</u>	New index
29	2	
30	4	<u>reserved</u>
31	6	

Fig. 8

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CQI index	modulation	<u>code rate x 1024</u>	<u>efficiency</u>
<u>0</u>		out of range	
<u><u>1</u></u>	<u>QPSK</u>	<u>78</u>	<u>0.1523</u>
2	<u>QPSK</u>	<u>120</u>	<u>0.2344</u>
<u>3</u>	<u>QPSK</u>	<u>193</u>	<u>0.3770</u>
<u>4</u>	<u>QPSK</u>	<u>308</u>	<u>0.6016</u>
<u>5</u>	<u>QPSK</u>	<u>449</u>	<u>0.8770</u>
<u>6–2</u>	<u>QPSK</u>	<u>602</u>	<u>1.1758</u>
<u>7-3</u>	<u>16QAM</u>	<u>378</u>	<u>1.4766</u>
<u>8-4</u>	<u>16QAM</u>	<u>490</u>	<u>1.9141</u>
<u>9—5</u>	<u>16QAM</u>	<u>616</u>	<u>2.4063</u>
<u>10-6</u>	<u>64QAM</u>	<u>466</u>	<u>2.7305</u>
<u>11_7</u>	<u>64QAM</u>	<u>567</u>	<u>3.3223</u>
<u>12 8</u>	<u>64QAM</u>	<u>666</u>	<u>3.9023</u>
<u>13_9</u>	<u>64QAM</u>	<u>772</u>	<u>4.5234</u>
<u>14 10</u>	<u>64QAM</u>	<u>873</u>	<u>5.1152</u>
<u>15 11</u>	<u>64QAM</u>	<u>948</u>	<u>5.5547</u>
<u>_12</u>	<u>256QAM</u>	New value	<u>New value</u>
<u>13</u>	<u>256QAM</u>	<u>New value</u>	<u>New value</u>
<u>14</u>	<u>256QAM</u>	<u>New value</u>	New value
<u>15</u>	<u>256QAM</u>	New value	New value

Fig. 9

## WO 2015/020587

1700		3.2	2		N <sub>F</sub>	PRB		-0.5	4.5	- 0
IBS .	1	2	3	4				108	109	110
0	16	32	56	88				2984	2984	3112
+	24	56	88	144	7.77		711	4008	4008	4008
2	32	72	144	176				4776	4968	4968
3	40	104	176	208	in.			6200	6456	6456
4	56	120	208	256	-	-		7736	7736	7992
5	72	144	224	328		1 8 <del></del> 9		9528	9528	9528
6	328	176	256	392	111		+++	11448	11448	11448
71	104	224	328	472		1 344	3.9	12960	13536	13536
8-2	120	256	392	536	- 24.5	14.4	94.4	15264	15264	15264
9-3	136	296	456	616			- Ade	16992	16992	17568
40.4	144	328	504	680				19080	19080	19080
41.5	176	376	584	776	- 14-	0.000		22152	22152	22152
126	208	440	680	904			حبت	24496	24496	25456
137	224	488	744	1000				27376	28336	28336
14.8	256	552	840	1128	***	1+1	+++	30576	31704	31704
459	280	600	904	1224	444		344	32856	34008	34008
46 10	328	632	968	1288	144		a ŝ â	35160	35160	35160
4711	336	696	1064	1416	+.+		-	39232	39232	39232
18 12	376	776	1160	1544			+++	42368	43816	43816
49 13	408	840	1288	1736	***		14.44	46888	46888	46888
20 14	440	904	1384	1864			4.0	51024	51024	51024
24.15	488	1000	1480	1992	444	·		55056	55056	55056
22 16	520	1064	1608	2152				59256	59256	59256
23 17	552	1128	1736	2280	- 64		344	61664	61664	63776
24 18	584	1192	1800	2408			يد في	66592	66592	66592
25 19	616	1256	1864	2536			444	68808	68808	71112
26 20	712	1480	2216	2984		***		75376	75376	75376
21	NV	NV	NV	NV	144			NV	NV	NV
22	NV	NV	NV	NV	+1.4		4.4.4	NV	NV	NV
23	NV	NV	NV	NV	www		444	NV	NV	NV
24	NV	NV	NV	NV	- 101 -	1 Sans 1		NV	NV	NV
25	NV	NV	NV	NV	211	244	الددلار	NV	NV	NV
26	NV	NV	NV	NV				NV	NV	NV

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	10/06/2014         Total (37 CFR         1.16(i)         Independent (37 OFR 1.16(h))         Application Siz         FIRST PRESEN         Total (37 CFR         1.18(i)         Independent (37 OFR 1.16(h))         FIRST PRESEN         Independent (37 OFR 1.16(h))         Application Siz         Independent (37 OFR 1.16(h))         Independent (37 OFR 1.16(h))         Independent (37 OFR 1.16(h))         Independent (37 OFR 1.16(h))	(Golumn 1) (Golumn 1) CLAIMS REMAINING AFTER AMENDMENT • 26 • 6 • 6 • 6 • 6 • 6 • 6 • 6 •	Minus Minus 16(s)) Minus Minus 16(s)) LE DEPENI	APPLICATI (Column 2) HIGHEST NUMBER PREVIOUSLY PAID FOR ***6 Column 2] HIGHEST NUMBER PREVIOUSLY HIGHEST NUMBER PREVIOUSLY PAID FOR ***	ION AS AMENDED - F (Cotumn 3) PRESENT EXTRA = 0 = 0 = 0 = 1.16(j) PRESENT EXTRA. = = =	PART II RATE (\$) $x \pm 80 =$ $x \pm 420 =$ TOTAL ADD'L FEE RATE (\$) $x \pm =$ $x \pm 2 =$	ADDITIONAL FEE (\$) 0 0 0 ADDITIONAL FEE (\$)

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