## **Electronically Filed**

PRELIMINARY	Attorney Docket No.	REGN-008CIPCON
AMENDMENT	Confirmation No.	To Be Assigned
Under CFR 1.115	First Named Inventor	YANCOPOULOS, GEORGE D.
	Application Number	To Be Assigned
Address to:	Filing Date	17 December 2015
Mail Stop Patent Application	Group Art Unit	To Be Assigned
Commissioner for Patents	Examiner Name	To Be Assigned
P.O. Box 1450	Title: "Use of a VEGF	Antagonist to Treat Angiogenic
Alexandria, VA 22313-1450	Eye Disorders"	

### Sir:

Prior to the examination of the above-referenced application on the merits, please enter the amendments below.

Atty Dkt. No.: REGN-008CIPCON USSN: To Be Assigned

#### **AMENDMENTS TO THE CLAIMS**

1. (**Currently Amended**) A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 2 to 4 weeks after the immediately preceding dose; and

wherein each tertiary dose is administered at least 8 weeks after the immediately preceding dose on an as-needed/pro re nata (PRN) basis, based on visual and/or anatomical outcomes as assessed by a physician or other qualified medical professional;

wherein the VEGF antagonist is a receptor-based chimeric molecule comprising (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130-231 of SEQ ID NO:2; and (3) a multimerization component comprising amino acids 232-457 of SEQ ID NO:2.

- 2. (Original) The method of claim 1, wherein only a single secondary dose is administered to the patient, and wherein the single secondary dose is administered 4 weeks after the initial dose of the VEGF antagonist.
- 3. (Original) The method of claim 1, wherein only two secondary doses are administered to the patient, and wherein each secondary dose is administered 4 weeks after the immediately preceding dose.
  - 4. (Canceled)
  - 5. (Canceled)
- 6. (Original) The method of claim 1, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.
- 7. (Original) The method of claim 6, wherein the angiogenic eye disorder is age related macular degeneration.

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8. (Currently Amended) The method of claim 1, wherein <u>all doses of</u> the VEGF antagonist is an anti-VEGF antibody or fragment thereof, an anti-VEGF receptor antibody or fragment thereof, or a VEGF receptor-based chimeric molecule are administered to the patient by topical administration or by intraocular administration.

#### 9. - 12. (**Canceled**)

- 13 (**Currently Amended**) The method of claim **2 12**, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.
- 14. (Original) The method of claim 13, wherein the intraocular administration is intravitreal administration.

#### 15. - 17. (Canceled)

- 18. (**Currently Amended**) The method of claim <u>13</u> **17**, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.
- 19. (Original) The method of claim 18, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.
- 20. (Original) The method of claim 18, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.
- 21. (New) The method of claim 1, wherein the VEGF antagonist is VEGFR1R2-Fc $\Delta$ C1(a) encoded by the nucleic acid sequence of SEQ ID NO:1.

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USSN: To Be Assigned

#### REMARKS UNDER 37 CFR § 1.115

#### **Formal Matters**

Claims 1-3, 6-8, 13, 14 and 18-21 are pending after entry of the amendments set forth herein.

Claims 4, 5, 9-12 and 15-17 are canceled without prejudice.

Claims 1 and 8 are amended.

Claim 21 is added.

For the convenience of the Examiner, support for the claim amendments is made in part with reference to the allowed claims of the parent application.

The amendments to claim 1 with respect to defining the VEGF antagonists are identical to allowed claim 1 of the parent application and supported within originally pending now cancelled claim 11.

The amendments to claim 1 with respect to the tertiary dose administration are supported in the original application in paragraph [0062] Example 5, Table 2 and in paragraph [0065] Example 6.

The amendments to claim 8 are supported in originally pending now cancelled claim 12.

Formal amendments are made to claims 13 and 18 in view of the cancellation of claims 12 and 17.

Newly added claim 21 is supported in the original specification in original paragraph [0034]. No new matter has been added.

#### **PARENT APPLICATION**

The parent application has been allowed. Further, as indicated above, correspondence and support for the current claims relative to those of the parent application can be reviewed and confirmed. In the event the Examiner has any questions with respect to claim support or other issues in connection with the application, the Examiner is respectfully requested to contact the undersigned attorney at the indicated telephone number to arrange for an interview to expedite this position of this application.

#### **STATEMENT UNDER 37 C.F.R. §§1.56 AND 1.2**

Applicants hereby advise the Examiner of the status of a co-pending application in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 ( see also MPEP §2001.06(b)) as

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USSN: To Be Assigned

discussed in McKesson Info. Soln. Inc., v. Bridge Medical Inc., 487 F.3d 897; 82 USPQ2d 1865 (Fed.

Cir. 2007).

The Applicants wish to bring to the Examiner's attention that a Notice of Allowance was mailed

on October 19, 2015 in co-pending U.S. Patent Application No. 13/940,370, filed July 12, 2013.

This document is (These documents are) available on PAIR, and thus is (are) not provided with

this communication. Please inform the undersigned if there is any difficulty in obtaining the

document(s) from PAIR.

**CONCLUSION** 

Applicant submits that all of the claims are in condition for allowance, which action is requested.

If the Examiner finds that a telephone conference would expedite the prosecution of this application,

please telephone the undersigned at the number provided.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of

\$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due

with any communication for the above referenced patent application, including but not limited to any

necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No.

50-0815, order number REGN-008CIPCON.

Respectfully submitted,

BOZICEVIC, FIELD & FRANCIS LLP

Date: 17 December 2015

By: /Karl Bozicevic, Reg. No. 28,807/

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Electronic Patent Application Fee Transmittal						
Application Number:						
Filing Date:						
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				E DISORDERS	
First Named Inventor/Applicant Name:	GEORGE D. YANCOPOULOS					
Filer:	Karl Bozicevic					
Attorney Docket Number:	REGN-008CIPCON					
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Utility application filing		1011	1	280	280	
Utility Search Fee		1111	1	600	600	
Utility Examination Fee		1311	1	720	720	
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Post-Allowance-and-Post-Issuance:				
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1600

Electronic Acknowledgement Receipt					
EFS ID:	24386727				
Application Number:	14972560				
International Application Number:					
Confirmation Number:	5391				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
First Named Inventor/Applicant Name:	GEORGE D. YANCOPOULOS				
Customer Number:	96387				
Filer:	Karl Bozicevic				
Filer Authorized By:					
Attorney Docket Number:	REGN-008CIPCON				
Receipt Date:	17-DEC-2015				
Filing Date:					
Time Stamp:	13:37:22				
Application Type:	Utility under 35 USC 111(a)				

## **Payment information:**

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The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

File Listing					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl
1	Application Data Sheet	REGN-008CIPCON_ADS_Form.	1823449	no 9	
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2		REGN-008CIPCON_Specificatio n.pdf		yes	24
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	Claims	22	23		
	Abstrac	24	24		
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Information:			105393		
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	Applicant Arguments/Remarks	Made in an Amendment	4	5	
	Claims		2	3	
	Preliminary Ame	endment	1	1	
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	Multip	art Description/PDF files in .	zip description		
		pre_amend.pdf	cca5f4501aa84517fbad59c527d4efda210e 016e		
10		REGN-008CIPCON_2015-12-17_	53261	yes	5
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Warnings:			<b>2</b> f9c		
7	Assignee showing of ownership per 37 CFR 3.73	REGN-008CIPCON_373_c.pdf	32065 1aba633f5f06d9ded97c9cf6a51e9195d60c	no	2
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6	Power of Attorney	REGN-008CIP_assignment.pdf	b00a0da52fe6f20e31aeb6382a263b3bd0d 8b965	no	2
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This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Application Data Sh	eet 37 CFR 1	76	Attorney [	Docket I	Number	REGN-0	008CIPCOI	N		
Application Data on	CCL 37 CITY 1.	.70	Applicatio	n Numb	er					
Title of Invention USE	OF A VEGF ANTA	GON	IST TO TREA	at angi	OGENIC E	YE DISOF	RDERS			
bibliographic data arranged in a This document may be comple	The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76.  This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.									
Secrecy Order 37	CFR 5.2:									
Portions or all of the ap 37 CFR 5.2 (Paper fi										suant to
Inventor Informati	on:									
Inventor 1							Re	emove		
Legal Name										
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George		D.					POULOS			▼
Residence Information	<u> </u>		Residency		lon US Res				ry Service	:
City Yorktown Heights	s	tate/	Province	NY	Country	y of Resi	idence	us		
Mailing Address of Inven	tor:									
Address 1	c/o Regeneron F	³harm	naceuticals, l	nc.						
Address 2	777 Old Saw Mil	II Rive	er Road							
City Tarrytown				S	state/Prov	ince	NY			
Postal Code	10591-6707			Count	ryi	us				
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Customer Number	96387									
Email Address	docket@bozpat	t.com	l				Add E	mail	Remove	Email
Application Information:										
Title of the Invention	USE OF A VEC	3F AN	NTAGONIST	TO TRE	AT ANGIO	GENIC E	YE DISOR	DERS		
Attorney Docket Numbe	REGN-008CIP	CON			Small Ent	ity Statu	s Claime	d 🗌		
Application Type	Nonprovisional									<b>~</b>
Subject Matter	Utility									•
Total Number of Drawin	g Sheets (if any	<sub>'</sub> )	1		Suggeste	ed Figure	e for Pub	lication (	(if any)	1

EFS Web 2.2.12

Application Da	ta Shoot 37 CE	D 1 76	Attorney Docket Nu	mber RE	EGN-008CIPCON
Application ba	La Sileet Si Ci	K 1.70	Application Number		
Title of Invention	USE OF A VEGF A	ANTAGONIS	ST TO TREAT ANGIOG	ENIC EYE [	DISORDERS
Filing By Refe	erence:				
application papers inclu provided in the appropriate of a file.	iding a specification ar riate section(s) below ( ing date under 37 CFR	nd any drawi i.e., "Domest 1.53(b), the	ngs are being filed. Any on the control of the cont	domestic ben Information' rings of the p	CFR 1.57(a). Do not complete this section if nefit or foreign priority information must be "and "Foreign Priority Information").  resent application are replaced by this
Application number o filed application			nditions and requirement e (YYYY-MM-DD)	S OT 37 CFK 1.	intellectual Property Authority or Country
Publication I	nformation:	1			-
Request Early	Publication (Fee r	equired at	time of Request 37 C	FR 1.219)	
35 U.S.C. 122 subject of an	(b) and certify that	t the inven another co	tion disclosed in the a	attached ap	olication not be published under oplication has not and will not be the ernational agreement, that requires
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Customer Number	96387				
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National Stage entry the specific reference	from a PCT applic e required by 35 U	ation. Pro .S.C. 119(		formation i R 1.78.	), 120, 121, 365(c), or 386(c) or indicate n the Application Data Sheet constitutes field blank.
Prior Application	Status Pending		<b>V</b>		Remove

Continuity Type

Continuation of

**Application Number** 

Filing or 371(c) Date

(YYYY-MM-DD)

2013-07-12

**Prior Application Number** 

13940370

Application Da	nta Sheet 37 CFR 1.76	Attorney Docket Number	REGN-008CIPCON
Application ba	ita Sileet Si Ci it 1.70	Application Number	
Title of Invention	USE OF A VEGF ANTAGONI	ST TO TREAT ANGIOGENIC E	YE DISORDERS

Prior Application Status	Expired	•		Remove
Application Number	Continuity Type		Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
13940370	Continuation in part of	~	PCT/US2012/020855	2012-01-11
Prior Application Status	Expired	•		Remove
Application Number	Continuity Type		Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
PCT/US2012/020855	Claims benefit of provisional	~	61432245	2011-01-13
Prior Application Status	Expired	•		Remove
Application Number	Continuity Type		Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
PCT/US2012/020855	Claims benefit of provisional	-	61434836	2011-01-21
Prior Application Status	Expired	v		Remove
Application Number	Continuity Type		Prior Application Number	Filing or 371(c) Date (YYYY-MM-DD)
PCT/US2012/020855	Claims benefit of provisional	-	61561957	2011-11-21

### **Foreign Priority Information:**

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove
Application Number	Country <sup>i</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>i</sup> (if applicable)
Additional Foreign Priority  Add button.	Data may be generated wit	hin this form by selecting the	Add

# Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REGN-008CIPCON	
		Application Number		
Title of Invention USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS			YE DISORDERS	
This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.  NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.				

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REGN-008CIPCON	
		Application Number		
Title of Invention	USE OF A VEGF ANTAGONI	IST TO TREAT ANGIOGENIC EYE DISORDERS		

### **Authorization or Opt-Out of Authorization to Permit Access:**

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant must opt-out of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is ONLY reviewed and processed with the INITIAL filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. Priority Document Exchange (PDX) Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. Search Results from U.S. Application to EPO Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a

	opy of search results from the instant application without delay in a European patent application that claims priority to ne instant application.				
2.	Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)				
	A. Applicant <u>DOES NOT</u> authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.				
	B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.				
	TE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the olication in accordance with 37 CFR 1.14.				

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number	REGN-008CIPCON	
		Application Number		
Title of Invention	USE OF A VEGF ANTAGONI	NTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS		

## **Applicant Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.						
Applicant 1	Applicant 1 Remove					
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.						
Assignee		Legal Representative un	nder 35 U.S.C. 117	Joint Inventor		
Person to whom the invent	tor is obligate	ed to assign.	Person who sho	ws sufficient proprietary interest		
If applicant is the legal repr	esentative,	, indicate the authority to	file the patent applicati	on, the inventor is:		
				▼		
Name of the Deceased or	Legally Inc	apacitated Inventor:				
If the Applicant is an Orga	nization ch	neck here.				
Organization Name	Organization Name REGENERON PHARMACEUTICALS, INC.					
Mailing Address Informa	ation For A	Applicant:				
Address 1	777 Old 3	Saw Mill River Road				
Address 2	Address 2					
City Tarrytown			State/Province	NY		
Country US			Postal Code	10591-6707		
Phone Number			Fax Number			
Email Address						
Additional Applicant Data may be generated within this form by selecting the Add button.						

## **Assignee Information including Non-Applicant Assignee Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.

Application Data Sheet 37 CFR 1.76		Attorney Docket Number		REGN-008CIPCON			
		Application Number					
Title of Invention	Title of Invention USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS						
Assignee 1	1						
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		Application Number		
Title of Invention	USE OF A VEGF ANTAGONI	NIST TO TREAT ANGIOGENIC EYE DISORDERS		

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#### USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

#### **CROSS-REFERENCE TO RELATED APPLICATIONS**

**[0001]** This application is a continuation-in-part of International Patent Application No. PCT/US2012/020855, filed on January 11, 2012, which claims the benefit of US Provisional Application Nos. 61/432,245, filed on January 13, 2011, 61/434,836, filed on January 21, 2011, and 61/561,957, filed on November 21, 2011, the contents of which are hereby incorporated by reference in their entireties.

#### FIELD OF THE INVENTION

[0002] The present invention relates to the field of therapeutic treatments of eye disorders. More specifically, the invention relates to the administration of VEGF antagonists to treat eye disorders caused by or associated with angiogenesis.

#### **BACKGROUND**

Several eye disorders are associated with pathological angiogenesis. For example, the development of age-related macular degeneration (AMD) is associated with a process called choroidal neovascularization (CNV). Leakage from the CNV causes macular edema and collection of fluid beneath the macula resulting in vision loss. Diabetic macular edema (DME) is another eye disorder with an angiogenic component. DME is the most prevalent cause of moderate vision loss in patients with diabetes and is a common complication of diabetic retinopathy, a disease affecting the blood vessels of the retina. Clinically significant DME occurs when fluid leaks into the center of the macula, the light-sensitive part of the retina responsible for sharp, direct vision. Fluid in the macula can cause severe vision loss or blindness. Yet another eye disorder associated with abnormal angiogenesis is central retinal vein occlusion (CRVO). CRVO is caused by obstruction of the central retinal vein that leads to a back-up of blood and fluid in the retina. The retina can also become ischemic, resulting in the growth of new, inappropriate blood vessels that can cause further vision loss and more serious complications. Release of vascular endothelial growth factor (VEGF) contributes to increased vascular permeability in the eye and inappropriate new vessel growth. Thus, inhibiting the angiogenic-promoting properties of VEGF appears to be an effective strategy for treating angiogenic eye disorders.

**[0004]** FDA-approved treatments of angiogenic eye disorders such as AMD and CRVO include the administration of an anti-VEGF antibody called ranibizumab (Lucentis®, Genentech, Inc.) on a monthly basis by intravitreal injection.

[0005] Methods for treating eye disorders using VEGF antagonists are mentioned in, e.g., US 7,303,746; US 7,306,799; US 7,300,563; US 7,303,748; and US 2007/0190058. Nonetheless,

there remains a need in the art for new administration regimens for angiogenic eye disorders, especially those which allow for less frequent dosing while maintaining a high level of efficacy.

#### **BRIEF SUMMARY OF THE INVENTION**

[0006] The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise sequentially administering multiple doses of a VEGF antagonist to a patient over time. In particular, the methods of the invention comprise sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonists. The present inventors have surprisingly discovered that beneficial therapeutic effects can be achieved in patients suffering from angiogenic eye disorders by administering a VEGF antagonist to a patient at a frequency of once every 8 or more weeks, especially when such doses are preceded by about three doses administered to the patient at a frequency of about 2 to 4 weeks. Thus, according to the methods of the present invention, each secondary dose of VEGF antagonist is administered 2 to 4 weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 weeks after the immediately preceding dose. An example of a dosing regimen of the present invention is shown in Figure 1. One advantage of such a dosing regimen is that, for most of the course of treatment (i.e., the tertiary doses), it allows for less frequent dosing (e.g., once every 8 weeks) compared to prior administration regimens for angiogenic eye disorders which require monthly administrations throughout the entire course of treatment. (See, e.g., prescribing information for Lucentis® [ranibizumab], Genentech, Inc.). [0007] The methods of the present invention can be used to treat any angiogenic eye disorder. including, e.g., age related macular degeneration, diabetic retinopathy, diabetic macular edema,

central retinal vein occlusion, corneal neovascularization, etc.

[0008] The methods of the present invention comprise administering any VEGF antagonist to the patient. In one embodiment, the VEGF antagonist comprises one or more VEGF receptor-based chimeric molecule(s), (also referred to herein as a "VEGF-Trap" or "VEGFT"). An exemplary VEGF antagonist that can be used in the context of the present invention is a multimeric VEGF-binding protein comprising two or more VEGF receptor-based chimeric molecules referred to herein as "VEGFR1R2-Fc∆C1(a)" or "aflibercept."

[0009] Various administration routes are contemplated for use in the methods of the present invention, including, e.g., topical administration or intraocular administration (e.g., intravitreal administration).

[0010] Aflibercept (EYLEA™, Regeneron Pharmaceuticals, Inc) was approved by the FDA in November 2011, for the treatment of patients with neovascular (wet) age-related macular degeneration, with a recommended dose of 2 mg administered by intravitreal injection every 4

weeks for the first three months, followed by 2 mg administered by intravitreal injection once every 8 weeks.

[0011] Other embodiments of the present invention will become apparent from a review of the ensuing detailed description.

#### BRIEF DESCRIPTION OF THE FIGURE

**Figure 1** shows an exemplary dosing regimen of the present invention. In this regimen, a single "initial dose" of VEGF antagonist ("VEGFT") is administered at the beginning of the treatment regimen (*i.e.* at "week 0"), two "secondary doses" are administered at weeks 4 and 8, respectively, and at least six "tertiary doses" are administered once every 8 weeks thereafter, *i.e.*, at weeks 16, 24, 32, 40, 48, 56, etc.).

#### **DETAILED DESCRIPTION**

**[0013]** Before the present invention is described, it is to be understood that this invention is not limited to particular methods and experimental conditions described, as such methods and conditions may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only by the appended claims.

[0014] Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. As used herein, the term "about," when used in reference to a particular recited numerical value, means that the value may vary from the recited value by no more than 1%. For example, as used herein, the expression "about 100" includes 99 and 101 and all values in between (*e.g.*, 99.1, 99.2, 99.3, 99.4, etc.).

[0015] Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

#### **DOSING REGIMENS**

**[0016]** The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise sequentially administering to a patient multiple doses of a VEGF antagonist. As used herein, "sequentially administering" means that each dose of VEGF antagonist is administered to the patient at a different point in time, *e.g.*, on different days separated by a predetermined interval (*e.g.*, hours, days, weeks or months). The present invention includes methods which comprise sequentially administering to the patient a single initial dose of a VEGF

antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist.

[0017] The terms "initial dose," "secondary doses," and "tertiary doses," refer to the temporal sequence of administration of the VEGF antagonist. Thus, the "initial dose" is the dose which is administered at the beginning of the treatment regimen (also referred to as the "baseline dose"); the "secondary doses" are the doses which are administered after the initial dose; and the "tertiary doses" are the doses which are administered after the secondary doses. The initial, secondary, and tertiary doses may all contain the same amount of VEGF antagonist, but will generally differ from one another in terms of frequency of administration. In certain embodiments, however, the amount of VEGF antagonist contained in the initial, secondary and/or tertiary doses will vary from one another (e.g., adjusted up or down as appropriate) during the course of treatment.

[0018] In one exemplary embodiment of the present invention, each secondary dose is administered 2 to 4 (*e.g.*, 2, 2½, 3, 3½, or 4) weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 (*e.g.*, 8, 8½, 9, 9½, 10, 10½, 11, 11½, 12, 12½, 13, 13½, 14, 14½, or more) weeks after the immediately preceding dose. The phrase "the immediately preceding dose," as used herein, means, in a sequence of multiple administrations, the dose of VEGF antagonist which is administered to a patient prior to the administration of the very next dose in the sequence with no intervening doses.

[0019] In one exemplary embodiment of the present invention, a single initial dose of a VEGF antagonist is administered to a patient on the first day of the treatment regimen (*i.e.*, at week 0), followed by two secondary doses, each administered four weeks after the immediately preceding dose (*i.e.*, at week 4 and at week 8), followed by at least 5 tertiary doses, each administered eight weeks after the immediately preceding dose (*i.e.*, at weeks 16, 24, 32, 40 and 48). The tertiary doses may continue (at intervals of 8 or more weeks) indefinitely during the course of the treatment regimen. This exemplary administration regimen is depicted graphically in Figure 1.

**[0020]** The methods of the invention may comprise administering to a patient any number of secondary and/or tertiary doses of a VEGF antagonist. For example, in certain embodiments, only a single secondary dose is administered to the patient. In other embodiments, two or more (*e.g.*, 2, 3, 4, 5, 6, 7, 8, or more) secondary doses are administered to the patient. Likewise, in certain embodiments, only a single tertiary dose is administered to the patient. In other embodiments, two or more (*e.g.*, 2, 3, 4, 5, 6, 7, 8, or more) tertiary doses are administered to the patient.

[0021] In embodiments involving multiple secondary doses, each secondary dose may be administered at the same frequency as the other secondary doses. For example, each secondary dose may be administered to the patient 4 weeks after the immediately preceding dose. Similarly, in embodiments involving multiple tertiary doses, each tertiary dose may be administered at the same frequency as the other tertiary doses. For example, each tertiary dose may be administered

to the patient 8 weeks after the immediately preceding dose. Alternatively, the frequency at which the secondary and/or tertiary doses are administered to a patient can vary over the course of the treatment regimen. For example, the present invention includes methods which comprise administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by at least 5 tertiary doses of the VEGF antagonist, wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered from 8 to 12 (e.g., 8, 8½, 9, 9½, 10, 10½, 11, 11½, 12) weeks after the immediately preceding dose. The frequency of administration may also be adjusted during the course of treatment by a physician depending on the needs of the individual patient following clinical examination.

#### **VEGF ANTAGONISTS**

**[0022]** The methods of the present invention comprise administering to a patient a VEGF antagonist according to specified dosing regimens. As used herein, the expression "VEGF antagonist" means any molecule that blocks, reduces or interferes with the normal biological activity of VEGF.

**[0023]** VEGF antagonists include molecules which interfere with the interaction between VEGF and a natural VEGF receptor, e.g., molecules which bind to VEGF or a VEGF receptor and prevent or otherwise hinder the interaction between VEGF and a VEGF receptor. Specific exemplary VEGF antagonists include anti-VEGF antibodies, anti-VEGF receptor antibodies, and VEGF receptor-based chimeric molecules (also referred to herein as "VEGF-Traps").

[0024] VEGF receptor-based chimeric molecules include chimeric polypeptides which comprise two or more immunoglobulin (Ig)-like domains of a VEGF receptor such as VEGFR1 (also referred to as Flt1) and/or VEGFR2 (also referred to as Flk1 or KDR), and may also contain a multimerizing domain (e.g., an Fc domain which facilitates the multimerization [e.g., dimerization] of two or more chimeric polypeptides). An exemplary VEGF receptor-based chimeric molecule is a molecule referred to as VEGFR1R2-FcΔC1(a) which is encoded by the nucleic acid sequence of SEQ ID NO:1. VEGFR1R2-FcΔC1(a) comprises three components: (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130 to 231 of SEQ ID NO:2; and (3) a multimerization component ("FcΔC1(a)") comprising amino acids 232 to 457 of SEQ ID NO:2 (the C-terminal amino acid of SEQ ID NO:2 [i.e., K458] may or may not be included in the VEGF antagonist used in the methods of the invention; see e.g., US Patent 7,396,664). Amino acids 1-26 of SEQ ID NO:2 are the signal sequence.

[0025] The VEGF antagonist used in the Examples set forth herein below is a dimeric molecule comprising two VEGFR1R2-FcΔC1(a) molecules and is referred to herein as "VEGFT." Additional

VEGF receptor-based chimeric molecules which can be used in the context of the present invention are disclosed in US 7,396,664, 7,303,746 and WO 00/75319.

#### ANGIOGENIC EYE DISORDERS

The methods of the present invention can be used to treat any angiogenic eye disorder. The expression "angiogenic eye disorder," as used herein, means any disease of the eye which is caused by or associated with the growth or proliferation of blood vessels or by blood vessel leakage. Non-limiting examples of angiogenic eye disorders that are treatable using the methods of the present invention include age-related macular degeneration (e.g., wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion (CRVO; e.g., macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; e.g., myopic CNV), iris neovascularization, neovascular glaucoma, post-surgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, and diabetic retinopathies.

#### PHARMACEUTICAL FORMULATIONS

The present invention includes methods in which the VEGF antagonist that is administered to the patient is contained within a pharmaceutical formulation. The pharmaceutical formulation may comprise the VEGF antagonist along with at least one inactive ingredient such as, e.g., a pharmaceutically acceptable carrier. Other agents may be incorporated into the pharmaceutical composition to provide improved transfer, delivery, tolerance, and the like. The term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly, in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the antibody is administered. A multitude of appropriate formulations can be found in the formulary known to all pharmaceutical chemists: Remington's Pharmaceutical Sciences (15th ed, Mack Publishing Company, Easton, Pa., 1975), particularly Chapter 87 by Blaug, Seymour, therein. These formulations include, for example, powders, pastes, ointments, jellies, waxes, oils, lipids, lipid (cationic or anionic) containing vesicles (such as LIPOFECTIN™), DNA conjugates, anhydrous absorption pastes, oil-in-water and water-in-oil emulsions, emulsions carbowax (polyethylene glycols of various molecular weights), semi-solid gels, and semi-solid mixtures containing carbowax. Any of the foregoing mixtures may be appropriate in the context of the methods of the present invention, provided that the VEGF antagonist is not inactivated by the formulation and the formulation is physiologically compatible and tolerable with the route of administration. See also Powell et al. PDA (1998) J Pharm Sci

Technol. 52:238-311 and the citations therein for additional information related to excipients and carriers well known to pharmaceutical chemists.

[0028] Pharmaceutical formulations useful for administration by injection in the context of the present invention may be prepared by dissolving, suspending or emulsifying a VEGF antagonist in a sterile aqueous medium or an oily medium conventionally used for injections. As the aqueous medium for injections, there are, for example, physiological saline, an isotonic solution containing glucose and other auxiliary agents, etc., which may be used in combination with an appropriate solubilizing agent such as an alcohol (e.g., ethanol), a polyalcohol (e.g., propylene glycol, polyethylene glycol), a nonionic surfactant [e.g., polysorbate 80, HCO-50 (polyoxyethylene (50 mol) adduct of hydrogenated castor oil)], etc. As the oily medium, there may be employed, e.g., sesame oil, soybean oil, etc., which may be used in combination with a solubilizing agent such as benzyl benzoate, benzyl alcohol, etc. The injection thus prepared can be filled in an appropriate ampoule if desired.

#### MODES OF ADMINISTRATION

**[0029]** The VEGF antagonist (or pharmaceutical formulation comprising the VEGF antagonist) may be administered to the patient by any known delivery system and/or administration method. In certain embodiments, the VEGF antagonist is administered to the patient by ocular, intraocular, intravitreal or subconjunctival injection. In other embodiments, the VEGF antagonist can be administered to the patient by topical administration, *e.g.*, via eye drops or other liquid, gel, ointment or fluid which contains the VEGF antagonist and can be applied directly to the eye. Other possible routes of administration include, *e.g.*, intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, epidural, and oral.

#### AMOUNT OF VEGF ANTAGONIST ADMINISTERED

[0030] Each dose of VEGF antagonist administered to the patient over the course of the treatment regimen may contain the same, or substantially the same, amount of VEGF antagonist.

Alternatively, the quantity of VEGF antagonist contained within the individual doses may vary over the course of the treatment regimen. For example, in certain embodiments, a first quantity of VEGF antagonist is administered in the initial dose, a second quantity of VEGF antagonist is administered in the secondary doses, and a third quantity of VEGF antagonist is administered in the tertiary doses. The present invention contemplates dosing schemes in which the quantity of VEGF antagonist contained within the individual doses increases over time (e.g., each subsequent dose contains more VEGF antagonist than the last), decreases over time (e.g., each subsequent dose contains less VEGF antagonist than the last), initially increases then decreases, initially decreases then increases, or remains the same throughout the course of the administration regimen.

[0031] The amount of VEGF antagonist administered to the patient in each dose is, in most cases, a therapeutically effective amount. As used herein, the phrase "therapeutically effective amount" means a dose of VEGF antagonist that results in a detectable improvement in one or more symptoms or indicia of an angiogenic eye disorder, or a dose of VEGF antagonist that inhibits, prevents, lessens, or delays the progression of an angiogenic eye disorder. In the case of an anti-VEGF antibody or a VEGF receptor-based chimeric molecule such as VEGFR1R2-FcΔC1(a), a therapeutically effective amount can be from about 0.05 mg to about 5 mg, e.g., about 0.05 mg, about 0.1 mg, about 0.15 mg, about 0.2 mg, about 0.25 mg, about 0.3 mg, about 0.35 mg, about 0.4 mg, about 0.45 mg, about 0.5 mg, about 0.5 mg, about 0.66 mg, about 0.67 mg, about 0.75 mg, about 0.8 mg, about 0.85 mg, about 0.9 mg, about 1.0 mg, about 1.05 mg, about 1.1 mg, about 1.15 mg, about 1.2 mg, about 1.25 mg, about 1.3 mg, about 1.35 mg, about 1.4 mg, about 1.45 mg, about 1.5 mg, about 1.55 mg, about 1.6 mg, about 1.65 mg, about 1.7 mg, about 1.75 mg, about 1.8 mg, about 1.85 mg, about 1.9 mg, about 2.0 mg, about 2.05 mg, about 2.1 mg, about 2.15 mg, about 2.2 mg, about 2.25 mg, about 2.35 mg, about 2.35 mg, about 2.4 mg, about 2.45 mg, about 2.5 mg, about 2.55 mg, about 2.6 mg, about 2.65 mg, about 2.7 mg, about 2.75 mg, about 2.8 mg, about 2.85 mg, about 2.9 mg, about 3.0 mg, about 3.5 mg, about 4.0 mg, about 4.5 mg, or about 5.0 mg of the antibody or receptor-based chimeric molecule.

[0032] The amount of VEGF antagonist contained within the individual doses may be expressed in terms of milligrams of antibody per kilogram of patient body weight (*i.e.*, mg/kg). For example, the VEGF antagonist may be administered to a patient at a dose of about 0.0001 to about 10 mg/kg of patient body weight.

#### TREATMENT POPULATION AND EFFICACY

[0033] The methods of the present invention are useful for treating angiogenic eye disorders in patients that have been diagnosed with or are at risk of being afflicted with an angiogenic eye disorder. Generally, the methods of the present invention demonstrate efficacy within 104 weeks of the initiation of the treatment regimen (with the initial dose administered at "week 0"), e.g., by the end of week 16, by the end of week 24, by the end of week 32, by the end of week 40, by the end of week 48, by the end of week 56, etc. In the context of methods for treating angiogenic eye disorders such as AMD, CRVO, and DME, "efficacy" means that, from the initiation of treatment, the patient exhibits a loss of 15 or fewer letters on the Early Treatment Diabetic Retinopathy Study (ETDRS) visual acuity chart. In certain embodiments, "efficacy" means a gain of one or more (e.g., 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 or more) letters on the ETDRS chart from the time of initiation of treatment.

#### **EXAMPLES**

[0034] The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the methods and compositions of the invention, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.

[0035] The exemplary VEGF antagonist used in all Examples set forth below is a dimeric molecule having two functional VEGF binding units. Each functional binding unit is comprised of Ig domain 2 from VEGFR1 fused to Ig domain 3 from VEGFR2, which in turn is fused to the hinge region of a human IgG1 Fc domain (VEGFR1R2-Fc $\Delta$ C1(a); encoded by SEQ ID NO:1). This VEGF antagonist is referred to in the examples below as "VEGFT". For purposes of the following Examples, "monthly" dosing is equivalent to dosing once every four weeks.

## Example 1: Phase I Clinical Trial of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

[0036] In this Phase I study, 21 subjects with neovascular AMD received a single intravitreal (IVT) dose of VEGFT. Five groups of three subjects each received either 0.05, 0.15, 0.5, 2 or 4 mg of VEGFT, and a sixth group of six subjects received 1 mg. No serious adverse events related to the study drug, and no identifiable intraocular inflammation was reported. Preliminary results showed that, following injection of VEGFT, a rapid decrease in foveal thickness and macular volume was observed that was maintained through 6 weeks. At Day 43 across all dose groups, mean excess retinal thickness [excess retinal thickness = (retinal thickness –  $179\mu$ )] on optical coherence tomography (OCT) was reduced from  $119\mu$  to  $27\mu$  as assessed by Fast Macular Scan and from  $194\mu$  to  $60\mu$  as assessed using a single Posterior Pole scan. The mean increase in best corrected visual acuity (BCVA) was 4.75 letters, and BCVA was stable or improved in 95% of subjects. In the 2 highest dose groups (2 and 4 mg), the mean increase in BCVA was 13.5 letters, with 3 of 6 subjects demonstrating improvement of  $\geq 3$  lines.

## Example 2: Phase II Clinical Trial of Repeated Doses of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

[0037] This study was a double-masked, randomized study of 3 doses (0.5, 2, and 4 mg) of VEGFT tested at 4-week and/or 12-week dosing intervals. There were 5 treatment arms in this study, as follows: 1) 0.5 mg every 4 weeks, 2) 0.5 mg every 12 weeks, 3) 2 mg every 4 weeks, 4) 2 mg every 12 weeks and 5) 4 mg every 12 weeks. Subjects were dosed at a fixed interval for the

first 12 weeks, after which they were evaluated every 4 weeks for 9 months, during which additional doses were administered based on pre-specified criteria. All subjects were then followed for one year after their last dose of VEGFT. Preliminary data from a pre-planned interim analysis indicated that VEGFT met its primary endpoint of a statistically significant reduction in retinal thickness after 12 weeks compared with baseline (all groups combined, decrease of  $135\mu$ , p < 0.0001). Mean change from baseline in visual acuity, a key secondary endpoint of the study, also demonstrated statistically significant improvement (all groups combined, increase of 5.9 letters, p < 0.0001). Moreover, patients in the dose groups that received only a single dose, on average, demonstrated a decrease in excess retinal thickness (p < 0.0001) and an increase in visual acuity (p = 0.012) at 12 weeks. There were no drug-related serious adverse events, and treatment with the VEGF antagonists was generally well-tolerated. The most common adverse events were those typically associated with intravitreal injections.

## Example 3: Phase I Clinical Trial of Systemically Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

**[0038]** This study was a placebo-controlled, sequential-group, dose-escalating safety, tolerability and bioeffect study of VEGFT by IV infusion in subjects with neovascular AMD. Groups of 8 subjects meeting eligibility criteria for subfoveal choroidal neovascularization (CNV) related to AMD were assigned to receive 4 IV injections of VEGFT or placebo at dose levels of 0.3, 1, or 3 mg/kg over an 8-week period.

**[0039]** Most adverse events that were attributed to VEGFT were mild to moderate in severity, but 2 of 5 subjects treated with 3 mg/kg experienced dose-limiting toxicity (DLT) (one with Grade 4 hypertension and one with Grade 2 proteinuria); therefore, all subjects in the 3 mg/kg dose group did not enter the study. The mean percent changes in excess retinal thickness were: -12%, -10%, -66%, and -60% for the placebo, 0.3, 1, and 3 mg/kg dose groups at day 15 (ANOVA p< 0.02), and -5.6%, +47.1%, and -63.3% for the placebo, 0.3, and 1 mg/kg dose groups at day 71 (ANOVA p< 0.02). There was a numerical improvement in BCVA in the subjects treated with VEGFT. As would be expected in such a small study, the results were not statistically significant.

## Example 4: Phase III Clinical Trials of the Efficacy, Safety, and Tolerability of Repeated Doses of Intravitreal VEGFT in Subjects with Neovascular Age-Related Macular Degeneration

#### A. Objectives, Hypotheses and Endpoints

**[0040]** Two parallel Phase III clinical trials were carried out to investigate the use of VEGFT to treat patients with the neovascular form of age-related macular degeneration (Study 1 and Study 2). The primary objective of these studies was to assess the efficacy of IVT administered VEGFT

compared to ranibizumab (Lucentis®, Genentech, Inc.), in a non-inferiority paradigm, in preventing moderate vision loss in subjects with all subtypes of neovascular AMD.

**[0041]** The secondary objectives were (a) to assess the safety and tolerability of repeated IVT administration of VEGFT in subjects with all sub-types of neovascular AMD for periods up to 2 years; and (b) to assess the effect of repeated IVT administration of VEGFT on Vision-Related Quality of Life (QOL) in subjects with all sub-types of neovascular AMD.

[0042] The primary hypothesis of these studies was that the proportion of subjects treated with VEGFT with stable or improved BCVA (<15 letters lost) is similar to the proportion treated with ranibizumab who have stable or improved BCVA, thereby demonstrating non-inferiority.

[0043] The primary endpoint for these studies was the prevention of vision loss of greater than or equal to 15 letters on the ETDRS chart, compared to baseline, at 52 weeks. Secondary endpoints were as follows: (a) change from baseline to Week 52 in letter score on the ETDRS chart; (b) gain from baseline to Week 52 of 15 letters or more on the ETDRS chart; (c) change from baseline to Week 52 in total NEI VFQ-25 score; and (d) change from baseline to Week 52 in CNV area.

#### B. Study Design

[0044] For each study, subjects were randomly assigned in a 1:1:1:1 ratio to 1 of 4 dosing regimens: (1) 2 mg VEGFT administered every 4 weeks (2Q4); (2) 0.5 mg VEGFT administered every 4 weeks (0.5Q4); (3) 2 mg VEGFT administered every 4 weeks to week 8 and then every 8 weeks (with sham injection at the interim 4-week visits when study drug was not administered (2Q8); and (4) 0.5 mg ranibizumab administered every 4 weeks (RQ4). Subjects assigned to (2Q8) received the 2 mg injection every 4 weeks to week 8 and then a sham injection at interim 4-week visits (when study drug is not to be administered) during the first 52 weeks of the studies. (No sham injection were given at Week 52).

[0045] The study duration for each subject was scheduled to be 96 weeks plus the recruitment period. For the first 52 weeks (Year 1), subjects received an IVT or sham injection in the study eye every 4 weeks. (No sham injections were given at Week 52). During the second year of the study, subjects will be evaluated every 4 weeks and will receive IVT injection of study drug at intervals determined by specific dosing criteria, but at least every 12 weeks. (During the second year of the study, sham injections will not be given.) During this period, injections may be given as frequently as every 4 weeks, but no less frequently than every 12 weeks, according to the following criteria: (i) increase in central retinal thickness of ≥100 µm compared to the lowest previous value as measured by optical coherence tomography (OCT); or (ii) a loss from the best previous letter score of at least 5 ETDRS letters in conjunction with recurrent fluid as indicated by OCT; or (iii) new or persistent fluid as indicated by OCT; or (iv) new onset classic neovascularization, or new or persistent leak on fluorescein angiography (FA); or (v) new macular hemorrhage; or (vi) 12 weeks

have elapsed since the previous injection. According to the present protocol, subjects must receive an injection at least every 12 weeks.

[0046] Subjects were evaluated at 4 weeks intervals for safety and best corrected visual acuity (BCVA) using the 4 meter ETDRS protocol. Quality of Life (QOL) was evaluated using the NEI VFQ-25 questionnaire. OCT and FA examinations were conducted periodically.

[0047] Approximately 1200 subjects were enrolled, with a target enrollment of 300 subjects per treatment arm.

**[0048]** To be eligible for this study, subjects were required to have subfoveal choroidal neovascularization (CNV) secondary to AMD. "Subfoveal" CNV was defined as the presence of subfoveal neovascularization, documented by FA, or presence of a lesion that is juxtafoveal in location angiographically but affects the fovea. Subject eligibility was confirmed based on angiographic criteria prior to randomization.

**[0049]** Only one eye was designated as the study eye. For subjects who met eligibility criteria in both eyes, the eye with the worse VA was selected as the study eye. If both eyes had equal VA, the eye with the clearest lens and ocular media and least amount of subfoveal scar or geographic atrophy was selected. If there was no objective basis for selecting the study eye, factors such as ocular dominance, other ocular pathology and subject preference were considered in making the selection.

[0050] Inclusion criteria for both studies were as follows: (i) signed Informed consent; (ii) at least 50 years of age; (iii) active primary subfoveal CNV lesions secondary to AMD, including juxtafoveal lesions that affect the fovea as evidenced by FA in the study eye; (iv) CNV at least 50% of total lesion size; (v) early treatment diabetic retinopathy study (ETDRS) best-corrected visual acuity of: 20/40 to 20/320 (letter score of 73 to 25) in the study eye; (vi) willing, committed, and able to return for all clinic visits and complete all study-related procedures; and (vii) able to read, understand and willing to sign the informed consent form (or, if unable to read due to visual impairment, be read to verbatim by the person administering the informed consent or a family member).

[0051] Exclusion criteria for both studies were as follows: 1. Any prior ocular (in the study eye) or systemic treatment or surgery for neovascular AMD except dietary supplements or vitamins. 2. Any prior or concomitant therapy with another investigational agent to treat neovascular AMD in the study eye, except dietary supplements or vitamins. 3. Prior treatment with anti-VEGF agents as follows: (a) Prior treatment with anti-VEGF therapy in the study eye was not allowed; (b) Prior treatment with anti-VEGF therapy in the fellow eye with an investigational agent (not FDA approved, e.g. bevacizumab) was allowed up to 3 months prior to first dose in the study, and such treatments were not allowed during the study. Prior treatment with an approved anti-VEGF therapy in the fellow eye was allowed; (c) Prior systemic anti-VEGF therapy, investigational or FDA/Health Canada approved, was only allowed up to 3 months prior to first dose, and was not allowed during

the study. 4. Total lesion size > 12 disc areas (30.5 mm2, including blood, scars and neovascularization) as assessed by FA in the study eye. 5. Subretinal hemorrhage that is either 50% or more of the total lesion area, or if the blood is under the fovea and is 1 or more disc areas in size in the study eye. (If the blood is under the fovea, then the fovea must be surrounded 270 degrees by visible CNV.) 6. Scar or fibrosis, making up > 50% of total lesion in the study eye. 7. Scar, fibrosis, or atrophy involving the center of the fovea. 8. Presence of retinal pigment epithelial tears or rips involving the macula in the study eye. 9. History of any vitreous hemorrhage within 4 weeks prior to Visit 1 in the study eye. 10. Presence of other causes of CNV, including pathologic myopia (spherical equivalent of -8 diopters or more negative, or axial length of 25 mm or more), ocular histoplasmosis syndrome, angioid streaks, choroidal rupture, or multifocal choroiditis in the study eye. 11. History or clinical evidence of diabetic retinopathy, diabetic macular edema or any other vascular disease affecting the retina, other than AMD, in either eye. 12. Prior vitrectomy in the study eye. 13. History of retinal detachment or treatment or surgery for retinal detachment in the study eye. 14. Any history of macular hole of stage 2 and above in the study eye. 15. Any intraocular or periocular surgery within 3 months of Day 1 on the study eye, except lid surgery, which may not have taken place within 1 month of day 1, as long as it was unlikely to interfere with the injection. 16. Prior trabeculectomy or other filtration surgery in the study eye. 17. Uncontrolled glaucoma (defined as intraocular pressure greater than or equal to 25 mm Hg despite treatment with anti-glaucoma medication) in the study eye. 18. Active intraocular inflammation in either eye. 19. Active ocular or periocular infection in either eye. 20. Any ocular or periocular infection within the last 2 weeks prior to Screening in either eye. 21. Any history of uveitis in either eye. 22. Active scleritis or episcleritis in either eye. 23. Presence or history of scleromalacia in either eye. 24. Aphakia or pseudophakia with absence of posterior capsule (unless it occurred as a result of a yttrium aluminum garnet [YAG] posterior capsulotomy) in the study eye. 25. Previous therapeutic radiation in the region of the study eye. 26. History of corneal transplant or corneal dystrophy in the study eye. 27. Significant media opacities, including cataract, in the study eye which might interfere with visual acuity, assessment of safety, or fundus photography. 28. Any concurrent intraocular condition in the study eye (e.g. cataract) that, in the opinion of the investigator, could require either medical or surgical intervention during the 96 week study period. 29. Any concurrent ocular condition in the study eye which, in the opinion of the investigator, could either increase the risk to the subject beyond what is to be expected from standard procedures of intraocular injection, or which otherwise may interfere with the injection procedure or with evaluation of efficacy or safety. 30. History of other disease, metabolic dysfunction, physical examination finding, or clinical laboratory finding giving reasonable suspicion of a disease or condition that contraindicates the use of an investigational drug or that might affect interpretation of the results of the study or render the subject at high risk for treatment complications. 31. Participation as a subject in any clinical study

within the 12 weeks prior to Day 1. 32. Any systemic or ocular treatment with an investigational agent in the past 3 months prior to Day 1. 33. The use of long acting steroids, either systemically or intraocularly, in the 6 months prior to day 1. 34. Any history of allergy to povidone iodine. 35. Known serious allergy to the fluorescein sodium for injection in angiography. 36. Presence of any contraindications indicated in the FDA Approved label for ranibizumab (Lucentis®). 37. Females who were pregnant, breastfeeding, or of childbearing potential, unwilling to practice adequate contraception throughout the study. Adequate contraceptive measures include oral contraceptives (stable use for 2 or more cycles prior to screening); IUD; Depo-Provera®; Norplant® System implants; bilateral tubal ligation; vasectomy; condom or diaphragm plus either contraceptive sponge, foam or jelly.

[0052] Subjects were not allowed to receive any standard or investigational agents for treatment of their AMD in the study eye other than their assigned study treatment with VEGFT or ranibizumab as specified in the protocol until they completed the Completion/Early Termination visit assessments. This includes medications administered locally (e.g., IVT, topical, juxtascleral or periorbital routes), as well as those administered systemically with the intent of treating the study and/or fellow eye.

[0053] The study procedures are summarized as follows:

[0054] Best Corrected Visual Acuity: Visual function of the study eye and the fellow eye were assessed using the ETDRS protocol (The Early Treatment Diabetic Retinopathy Study Group) at 4 meters. Visual Acuity examiners were certified to ensure consistent measurement of BCVA. The VA examiners were required to remain masked to treatment assignment.

[0055] Optical Coherence Tomography: Retinal and lesion characteristics were evaluated using OCT on the study eye. At the Screen Visit (Visit 1) images were captured and transmitted for both eyes. All OCT images were captured using the Zeiss Stratus OCT™ with software Version 3 or greater. OCT images were sent to an independent reading center where images were read by masked readers at visits where OCTs were required. All OCTs were electronically archived at the site as part of the source documentation. A subset of OCT images were read. OCT technicians were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that OCT technicians at the site remained masked to treatment assignment.

[0056] Fundus Photography and Fluorescein Angiography (FA): The anatomical state of the retinal vasculature of the study eye was evaluated by funduscopic examination, fundus photography and FA. At the Screen Visit (Visit 1) funduscopic examination, fundus photography and FA were captured and transmitted for both eyes. Fundus and angiographic images were sent to an independent reading center where images were read by masked readers. The reading center confirmed subject eligibility based on angiographic criteria prior to randomization. All FAs and

fundus photographs were archived at the site as part of the source documentation. Photographers were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that all photographers at the site remain masked to treatment assignment.

[0057] <u>Vision-Related Quality of Life</u>: Vision-related QOL was assessed using the National Eye Institute 25-Item Visual Function Questionnaire (NEI VFQ-25) in the interviewer-administered format. NEI VFQ-25 was administered by certified personnel at a contracted call center. At the screening visit, the sites assisted the subject and initiated the first call to the call center to collect all of the subject's contact information and to complete the first NEI VFQ-25 on the phone prior to randomization and IVT injection. For all subsequent visits, the call center called the subject on the phone, prior to IVT injection, to complete the questionnaire.

**[0058]** <u>Intraocular Pressure</u>: Intraocular pressure (IOP) of the study eye was measured using applanation tonometry or Tonopen. The same method of IOP measurement was used in each subject throughout the study.

[0059]

#### C. Results Summary (52 Week Data)

**[0060]** The primary endpoint (prevention of moderate or severe vision loss as defined above) was met for all three VEGFT groups (2Q4, 0.5Q4 and 2Q8) in this study. The results from both studies are summarized in Table 1.

Table 1

	Ranibizumab	VEGFT	VEGFT	VEGFT	
	0.5 mg monthly (RQ4)	0.5 mg monthly (0.5Q4)	2 mg monthly (2Q4)	2 mg every 8 weeks <sup>[a]</sup> (2Q8)	
Maintenance of vision* (% patients losing <15 letters) at week 52 versus baseline					
Study 1	94.4%	95.9%**	95.1%**	95.1%**	
Study 2	94.4%	96.3%**	95.6%**	95.6%**	
Mean improvement in vision* (letters) at 52 weeks versus baseline (p-value vs RQ4)***					
Study 1	8.1	6.9 (NS)	10.9 (p<0.01)	7.9 (NS)	
Study 2	9.4	9.7 (NS)	7.6 (NS)	8.9 (NS	

<sup>[</sup>a] Following three initial monthly doses

NS = non-significant

<sup>\*</sup> Visual acuity was measured as the total number of letters read correctly on the Early Treatment Diabetic Retinopathy Study (ETDRS) eye chart.

<sup>\*\*</sup> Statistically non-inferior based on a non-inferiority margin of 10%, using confidence interval approach (95.1% and 95% for Study 1 and Study 2, respectively)

<sup>\*\*\*</sup> Test for superiority

[0061] In Study 1, patients receiving VEGFT 2mg monthly (2Q4) achieved a statistically significant greater mean improvement in visual acuity at week 52 versus baseline (secondary endpoint), compared to ranibizumab 0.5mg monthly (RQ4); patients receiving VEGFT 2mg monthly on average gained 10.9 letters, compared to a mean 8.1 letter gain with ranibizumab 0.5mg dosed every month (p<0.01). All other dose groups of VEGFT in Study 1 and all dose groups in Study 2 were not statistically different from ranibizumab in this secondary endpoint.

[0062] A generally favorable safety profile was observed for both VEGFT and ranibizumab. The incidence of ocular treatment emergent adverse events was balanced across all four treatment groups in both studies, with the most frequent events associated with the injection procedure, the underlying disease, and/or the aging process. The most frequent ocular adverse events were conjunctival hemorrhage, macular degeneration, eye pain, retinal hemorrhage, and vitreous floaters. The most frequent serious non-ocular adverse events were typical of those reported in this elderly population who receive intravitreal treatment for wet AMD; the most frequently reported events were falls, pneumonia, myocardial infarction, atrial fibrillation, breast cancer, and acute coronary syndrome. There were no notable differences among the study arms.

#### Example 5: Phase II Clinical Trial of VEGFT in Subjects with Diabetic Macular Edema (DME)

[0063] In this study, 221 patients with clinically significant DME with central macular involvement were randomized, and 219 patients were treated with balanced distribution over five groups. The control group received macular laser therapy at baseline, and patients were eligible for repeat laser treatments, but no more frequently than at 16 week intervals. The remaining four groups received VEGFT by intravitreal injection as follows: Two groups received 0.5 or 2 mg of VEGFT once every four weeks throughout the 12-month dosing period (0.5Q4 and 2Q4, respectively). Two groups received three initial doses of 2 mg VEGFT once every four weeks (*i.e.*, at baseline, and weeks 4 and 8), followed through week 52 by either once every 8 weeks dosing (2Q8) or as needed dosing with very strict repeat dosing criteria (PRN). Mean gains in visual acuity versus baseline were as shown in Table 2:

Table 2

	n	Mean change in visual acuity at week 24 versus baseline (letters)	Mean change in visual acuity at week 52 versus baseline (letters)
Laser	44	2.5	-1.3
VEGFT 0.5 mg monthly (0.5Q4)	44	8.6**	11.0**
VEGFT 2 mg monthly (2Q4)	44	11.4**	13.1**
VEGFT 2 mg every 8	42	8.5**	9.7**

weeks <sup>[a]</sup> (2Q8)			
VEGFT 2 mg as needed <sup>[a]</sup> (PRN)	45	10.3**	12.0**

<sup>[</sup>a] Following three initial monthly doses

[0064] In this study, the visual acuity gains achieved with VEGFT administration at week 24 were maintained or numerically improved up to completion of the study at week 52 in all VEGFT study groups, including 2 mg dosed every other month

**[0065]** As demonstrated in the foregoing Examples, the administration of VEGFT to patients suffering from angiogenic eye disorders (*e.g.*, AMD and DME) at a frequency of once every 8 weeks, following a single initial dose and two secondary doses administered four weeks apart, resulted in significant prevention of moderate or severe vision loss or improvements in visual acuity.

### Example 6: A Randomized, Multicenter, Double-Masked Trial in Treatment Naïve Patients with Macular Edema Secondary to CRVO

[0066] In this randomized, double-masked, Phase 3 study, patients received 6 monthly injections of either 2 mg intravitreal VEGFT (114 patients) or sham injections (73 patients). From Week 24 to Week 52, all patients received 2 mg VEGFT as-needed (PRN) according to retreatment criteria. Thus, "sham-treated patients" means patients who received sham injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. "VEGFT-treated patients" means patients who received VEGFT intravitreal injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. The primary endpoint was the proportion of patients who gained ≥15 ETDRS letters from baseline at Week 24. Secondary visual, anatomic, and Quality of Life NEI VFQ-25 outcomes at Weeks 24 and 52 were also evaluated.

[0067] At Week 24, 56.1% of VEGFT-treated patients gained ≥15 ETDRS letters from baseline vs 12.3% of sham-treated patients (*P*<0.0001). Similarly, at Week 52, 55.3% of VEGFT-treated patients gained ≥15 letters vs 30.1% of sham-treated patients (*P*<0.01). At Week 52, VEGFT-treated patients gained a mean of 16.2 letters vs 3.8 letters for sham-treated patients (*P*<0.001). Mean number of injections was 2.7 for VEGFT-treated patients vs 3.9 for sham-treated patients. Mean change in central retinal thickness was -413.0 μm for VEGFT-treated patients vs -381.8 μm for sham-treated patients. The proportion of patients with ocular neovascularization at Week 24 were 0% for VEGFT-treated patients and 6.8% for sham-treated patients, respectively; at Week 52 after receiving VEGFT PRN, proportions were 0% and 6.8% for VEGFT-treated and sham-treated. At Week 24, the mean change from baseline in the VFQ-25 total score was 7.2 vs 0.7 for the

<sup>\*\*</sup> p < 0.01 versus laser

VEGFT-treated and sham-treated groups; at Week 52, the scores were 7.5 vs 5.1 for the VEGFT-treated and sham-treated groups.

[0068] This Example confirms that dosing monthly with 2 mg intravitreal VEGFT injection resulted in a statistically significant improvement in visual acuity at Week 24 that was maintained through Week 52 with PRN dosing compared with sham PRN treatment. VEGFT was generally well tolerated and had a generally favorable safety profile.

#### **Example 7: Dosing Regimens**

[0069] Specific, non-limiting examples of dosing regimens within the scope of the present invention are as follows:

[0070] VEGFT 2 mg (0.05 mL) administered by intravitreal injection once every 4 weeks (monthly).

[0071] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0072]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0073]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0074] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0075]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0076]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0077] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

[0078] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on

visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0079]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0080] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0081]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0082] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0083] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0084]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0085]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

[0086] VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

**[0087]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0088]** VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0089]** VEGFT 2 mg (0.05 mL) administered by intravitreal injection as a single initial dose, followed by additional doses administered *pro re nata* (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

**[0090]** Variations on the above-described dosing regimens would be appreciated by persons of ordinary skill in the art and are also within the scope of the present invention. For example, the amount of VEGFT and/or volume of formulation administered to a patient may be varied based on patient characteristics, severity of disease, and other diagnostic assessments by a physician or other qualified medical professional.

**[0091]** Any of the foregoing administration regimens may be used for the treatment of, *e.g.*, agerelated macular degeneration (*e.g.*, wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion (CRVO; *e.g.*, macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; *e.g.*, myopic CNV), iris neovascularization, neovascular glaucoma, post-surgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, etc.

#### **SEQUENCES**

[0092] SEQ ID NO:1 (DNA sequence having 1377 nucleotides):

ATGGTCAGCTACTGGGACACCGGGGTCCTGCTGTGCGCGCTGCTCAGCTGTCTCCAC AGGATCTAGTTCCGGAAGTGATACCGGTAGACCTTTCGTAGAGATGTACAGTGAAATCCCCGA AATTATACACATGACTGAAGGAAGGGAGCTCGTCATTCCCTGCCGGGTTACGTCACCTAACAT CACTGTTACTTTAAAAAAGTTTCCACTTGACACTTTGATCCCTGATGGAAAACGCATAATCTGG GACAGTAGAAAGGGCTTCATCATATCAAATGCAACGTACAAAGAAATAGGGCTTCTGACCTGT GAAGCAACAGTCAATGGGCATTTGTATAAGACAAACTATCTCACACATCGACAAACCAATACAA TCATAGATGTGGTTCTGAGTCCGTCTCATGGAATTGAACTATCTGTTGGAGAAAAGCTTGTCTT AAATTGTACAGCAAGAACTGAACTAAATGTGGGGATTGACTTCAACTGGGAATACCCTTCTTCG AAGCATCAGCATAAGAAACTTGTAAACCGAGACCTAAAAACCCAGTCTGGGAGTGAGATGAAG AAATTTTTGAGCACCTTAACTATAGATGGTGTAACCCGGAGTGACCAAGGATTGTACACCTGTG CAGCATCCAGTGGGCTGATGACCAAGAAGAACAGCACATTTGTCAGGGTCCATGAAAAGGACA AAACTCACACATGCCCACCGTGCCCAGCACCTGAACTCCTGGGGGGGACCGTCAGTCTTCCTCT TCCCCCAAAACCCAAGGACACCCTCATGATCTCCCGGACCCCTGAGGTCACATGCGTGGTG GTGGACGTGAGCCACGAAGACCCTGAGGTCAAGTTCAACTGGTACGTGGACGGCGTGGAGGT GCATAATGCCAAGACAAGCCGCGGGAGGAGCAGTACAACAGCACGTACCGTGTGGTCAGCG TCCTCACCGTCCTGCACCAGGACTGGCTGAATGGCAAGGAGTACAAGTGCAAGGTCTCCAAC AAAGCCCTCCCAGCCCCATCGAGAAAACCATCTCCAAAGCCAAAGGGCAGCCCCGAGAACC ACAGGTGTACACCCTGCCCCCATCCCGGGATGAGCTGACCAAGAACCAGGTCAGCCTGACCT

GCCTGGTCAAAGGCTTCTATCCCAGCGACATCGCCGTGGAGTGGGAGAGCAATGGGCAGCCG
GAGAACAACTACAAGACCACGCCTCCCGTGCTGGACTCCGACGGCTCCTTCTTCCTCTACAGC
AAGCTCACCGTGGACAAGAGCAGGTGGCAGCAGGGGAACGTCTTCTCATGCTCCGTGATGCA
TGAGGCTCTGCACAACCACTACACGCAGAAGAGCCTCTCCCTGTCTCCGGGTAAATGA

[0093] SEQ ID NO:2 (polypeptide sequence having 458 amino acids):

MVSYWDTGVLLCALLSCLLLTGSSSGSDTGRPFVEMYSEIPEIIHMTEGRELVIPCRVTSPNITVTLK KFPLDTLIPDGKRIIWDSRKGFIISNATYKEIGLLTCEATVNGHLYKTNYLTHRQTNTIIDVVLSPSHGI ELSVGEKLVLNCTARTELNVGIDFNWEYPSSKHQHKKLVNRDLKTQSGSEMKKFLSTLTIDGVTRS DQGLYTCAASSGLMTKKNSTFVRVHEKDKTHTCPPCPAPELLGGPSVFLFPPKPKDTLMISRTPEV TCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSVLTVLHQDWLNGKEYKCKV SNKALPAPIEKTISKAKGQPREPQVYTLPPSRDELTKNQVSLTCLVKGFYPSDIAVEWESNGQPEN NYKTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFSCSVMHEALHNHYTQKSLSLSPGK

**[0094]** The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various modifications of the invention in addition to those described herein will become apparent to those skilled in the art from the foregoing description and the accompanying figures. Such modifications are intended to fall within the scope of the appended claims.

#### What is claimed is:

1. A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 2 to 4 weeks after the immediately preceding dose; and

wherein each tertiary dose is administered at least 8 weeks after the immediately preceding dose.

- 2. The method of claim 1, wherein only a single secondary dose is administered to the patient, and wherein the single secondary dose is administered 4 weeks after the initial dose of the VEGF antagonist.
- 3. The method of claim 1, wherein only two secondary doses are administered to the patient, and wherein each secondary dose is administered 4 weeks after the immediately preceding dose.
- 4. The method of claim 3, wherein each tertiary dose is administered 8 weeks after the immediately preceding dose.
- 5. The method of claim 1, wherein at least 5 tertiary doses of the VEGF antagonist are administered to the patient, and wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered 8 or 12 weeks after the immediately preceding dose.
- 6. The method of claim 1, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.
- 7. The method of claim 6, wherein the angiogenic eye disorder is age related macular degeneration.
- 8. The method of claim 1, wherein the VEGF antagonist is an anti-VEGF antibody or fragment thereof, an anti-VEGF receptor antibody or fragment thereof, or a VEGF receptor-based chimeric molecule.

- 9. The method of claim 8, wherein the VEGF antagonist is a VEGF receptor-based chimeric molecule.
- 10. The method of claim 9, wherein the VEGF receptor-based chimeric molecule comprises VEGFR1R2-Fc∆C1(a) encoded by the nucleic acid sequence of SEQ ID NO:1.
- 11. The method of claim 9, wherein the VEGF receptor-based chimeric molecule comprises (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130-231 of SEQ ID NO:2; and (3) a multimerization component comprising amino acids 232-457 of SEQ ID NO:2.
- 12. The method of claim 1, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.
- 13. The method of claim 12, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.
- 14. The method of claim 13, wherein the intraocular administration is intravitreal administration.
- 15. The method of claim 11, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.
- 16. The method of claim 15, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.
- 17. The method of claim 16, wherein the intraocular administration is intravitreal administration.
- 18. The method of claim 17, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.
- 19. The method of claim 18, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.
- 20. The method of claim 18, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.

#### **ABSTRACT**

The present invention provides methods for treating angiogenic eye disorders by sequentially administering multiple doses of a VEGF antagonist to a patient. The methods of the present invention include the administration of multiple doses of a VEGF antagonist to a patient at a frequency of once every 8 or more weeks. The methods of the present invention are useful for the treatment of angiogenic eye disorders such as age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.



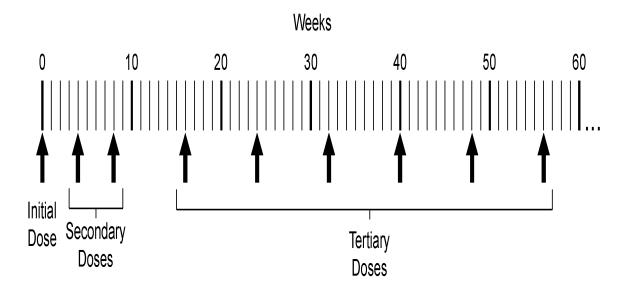


Figure 1

## DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

Title of Invention	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS								
As the below named	As the below named inventor, I hereby declare that:								
This declaration									
	United States application or PCT International application number <u>13/940,370</u>								
	filed on <u>July 12, 2013</u> .								
The above-identified	application was made or authorized to be made by me.								
I believe that I am th	e original inventor or an original joint inventor of a claimed invention in the application.								
I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than (5) years, or both.									
	WARNING:								
Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identify theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.									
LEGAL NAME OF	, ,								
Inventor: <u>YAN</u> Signature: <u></u>	COPOULOS, GEORGE D. Date (Optional): 10/23/13								
Note: An application da Use an additional PTO/	ta sheet (PTO/SB/14 of equivalent), including naming the entire inventive entity, must accompany this form.  AIA/01 form for each additional inventor.								

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#### Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(e)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant ( i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

10.

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#### POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c). I hereby appoint: Practitioners associated with Customer Number: 2 96387 OR Practitioner(s) named below (if more than ten patent practitioners are to be named, then a customer number must be used): Name Registration Name Registration Number Number As attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with any and all patent applications assigned only to the undersigned according to the USPTO assignment records or assignments documents attached to this form in accordance with 37 CFR 3.73(c). Please change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to: The address associated with Customer Number: 96387 OR Firm or Individual Name Address City State Zip Country Telephone Email Assignee Name and Address: REGENERON PHARMACEUTICALS, INC. 777 Old Saw Mill River Road Tarrytown, New York 10591 A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/AIA/98 or equivalent) is required to be Filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of The practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed. SIGNATURE of Assignee of Record The individual whose signature and title is supplied below is authorized to act on behalf of the assignee Signature Name Telephone Title Associate GENERAL

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will very depending upon the Individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

President and

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#### **ASSIGNMENT**

WHEREAS, I, George D. YANCOPOULOS, residing at 1519 Baptist Church Road, Yorktown Heights, NY 10598, am inventor of the invention(s) disclosed and/or claimed in the following patent application:

"USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS"

Serial No. 13/940,370; filed July 12, 2013.

WHEREAS, REGENERON PHARMACEUTICALS, INC., a corporation organized and existing under the laws of the State of New York, with offices at 777 Old Saw Mill River Road, Tarrytown, New York 10591-6707, U.S.A. (HEREINAFTER called "ASSIGNEE") is desirous of acquiring my (our) entire right, title and interest in, to, and under said application(s);

NOW, THEREFORE, in consideration of the sum of One Dollar (\$1.00) to me (us) in hand paid, and other good and valuable consideration, the receipt of which is hereby acknowledged, I (WE), said ASSIGNOR(S), have sold, assigned, transferred and set over, and by these presents do hereby sell, assign, transfer and set over unto said ASSIGNEE, its successors, legal representatives, and assigns, my (our) entire right, title and interest for all countries in and to any and all inventions which are disclosed and claimed, and any and all inventions which are disclosed but not claimed, in the above-described United States application(s), and in and to said United States Application(s) and all divisions, renewals, continuations, and continuations-in-part thereof, and all Patents of the United States which may be granted thereon and all reissues and extensions thereof; and all applications for industrial property protection, including, without limitation, all applications for patents utility models, and designs which may hereafter be filed for said inventions in any country or countries foreign to the United States, together with the right to file such applications and the right to claim for the same the priority rights derived from said United States application(s) under the Patent Laws of the United States, the International Convention of 1883 and later modifications thereof, under the Patent Cooperation Treaty, under the European Patent Convention, or under any other available international agreement or under the domestic laws of the country in which any such application is filed, as may be applicable; and all forms of industrial property protection, including, without limitation, patents, utility models, inventors' certificates and designs which may be granted for said inventions in any country or countries foreign to the United States and all extensions, renewals and reissues thereof;

ATTY DOCKET NO.: 725A-1 ASSIGNMENT Page 2 of 2

AND I (WE) HEREBY authorize and request the Commissioner of Patents and Trademarks of the United States and any Official of any country or countries foreign to the United States whose duty it is to issue patents or other evidence or forms of industrial property protection on applications as aforesaid, to issue the same to the said ASSIGNEE, their successors, legal representatives and assigns, in accordance with this instrument;

AND I (WE) HEREBY covenant and agree that I (WE) have full right to convey the entire interest hereinafter assigned, and that I (WE) have not executed, and will not execute, any agreement in conflict herewith;

AND I (WE) HEREBY further covenant and agree that I (WE) will communicate to said ASSIGNEE, its successors, legal representatives and assigns, any facts known to me (us) respecting said inventions, and testify in any legal proceeding, sign all lawful papers, execute all divisional, continuing, continuation-in-part, reissue and foreign applications, make all rightful oaths, and generally do everything possible to aid said ASSIGNEE, its successors, legal representatives and assigns, to obtain and enforce proper protection for said inventions in all countries.

IN TESTIMONY WHEREOF, I hereunto set my hand and seal the day and year set opposite my signature.

Date: 8,23-15

George D. YANCOPOULOS

PTO/AIA/96 (08-12)
Approved for use through 01/31/2013. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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<u>STATEMENT</u>	UNDER 37 CFR 3.73(c)
Applicant/Patent Owner: George D. Yancopoulos	
Application No./Patent No.: To Be Assigned	Filed/Issue Date: 17 December 2015
Titled: Use of a VEGF Antagonist to Treat Angiogenic Eye	Disorders
REGENERON PHARMACEUTICALS, INC. , a	corporation
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identified above,	it is (chose one of options 1, 2, 3 or 4 below):
1. The assignee of the entire right, title, and interest.	
2. $\hfill\Box$ An assignee of less than the entire right, title, and	interest (check applicable box):
The extent (by percentage) of its ownership balance of the interest <u>must be submitted</u> to acc	interest is%. Additional Statement(s) by the owners holding the count for 100% of the ownership interest.
There are unspecified percentages of owner right, title and interest are:	rship. The other parties, including inventors, who together own the entire
Additional Statement(s) by the owner(s) hold right, title, and interest.	ling the balance of the interest must be submitted to account for the entire
	ety (a complete assignment from one of the joint inventors was made).
The other parties, including inventors, who together own the	
	ling the balance of the interest must be submitted to account for the entire
right, title, and interest.	
complete transfer of ownership interest was made). The certif	.g., bankruptcy, probate), or an undivided interest in the entirety (a field document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 above (not option 4)	is evidenced by either (choose one of options A or B below):
A. An assignment from the inventor(s) of the patent a the United States Patent and Trademark Office at thereof is attached.	application/patent identified above. The assignment was recorded in Reel, Frame, or for which a copy
B. A chain of title from the inventor(s), of the patent app	plication/patent identified above, to the current assignee as follows:
1. From:	To:
The document was recorded in the United	d States Patent and Trademark Office at
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[Page 1 of 2]

[Fage 1 01 2] This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450**.

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	STATEMENT UNDER 37 CFR 3.73	<u>3(c)</u>
3. From:	To:	
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4. From:	To:	
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6. From:	To:	
	The document was recorded in the United States Patent and Trade	mark Office at
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Addition	al documents in the chain of title are listed on a supplemental sheet(s	).
assignee v	d by 37 CFR 3.73(c)(1)(i), the documentary evidence of the chain of tives, or concurrently is being, submitted for recordation pursuant to 37 separate copy (i.e., a true copy of the original assignment document(seaccordance with 37 CFR Part 3, to record the assignment in the reco	CFR 3.11.  s)) must be submitted to Assignment
/Karl Bozicevio	rhose title is supplied below) is authorized to act on behalf of the assign, Reg. No. 28,807/	December 16, 2015
Signature		Date
Karl Bozicevic		28,807
Printed or Typed I	Jame	Title or Registration Number

[Page 2 of 2]

#### **Electronically Filed**

NOTIFICATION OF PRIOR	Attorney Docket	REGN-008CIPCON		
SEQUENCE LISTING	First Named Inventor	YANCOPOULOS, GEORGE D.		
	Application Number	To Be Assigned		
	Filing Date	17 December 2015		
Address to: Mail Stop Patent Application	Confirmation Number	To Be Assigned		
Commissioner for Patents	Group Art Unit	To Be Assigned		
P.O. Box 1450 Alexandria, VA 22313-1450	Examiner Name	To Be Assigned		
	Title: "USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS"			

Sir:

The above-identified patent application contains sequences of nucleic acid and polypeptides. A sequence listing was prepared for parent application, 13/940,370, filed July 12, 2013, in paper and computer-readable format. The sequence information in the paper or compact disk copy of the sequence listing (required by 1.821(c)) of this application is identical to the sequence information in the computer-readable format (CRF) of the above-identified other application. No new matter has been added. Therefore, please transfer to this application, in accordance with 37 CFR § 1.821(e), the fully compliant computer readable copy from applicants' other application. A paper (.pdf) copy of this sequence listing is enclosed.

Applicants respectfully submit that the present patent application is now in compliance with 37 CFR §§ 1.821 - 1.825. The Commissioner is hereby authorized to charge any underpayment of fees associated with this communication, including any necessary fees for extensions of time, or credit any overpayment to Deposit Account No. 50-0815, order number REGN-008CIPCON.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Dated: 17 December 2015 By: /Karl Bozicevic, Reg. No. 28,807/

Karl Bozicevic Registration No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 1900 University Avenue, Suite 200 East Palo Alto, California 94303 Telephone: (650) 327-3400

Facsimile: (650) 327-3231

#### **SCORE Placeholder Sheet for IFW Content**

Application Number: 14972560 Document Date: 12/17/2015

The presence of this form in the IFW record indicates that the following document type was received in electronic format on the date identified above. This content is stored in the SCORE database.

Since this was an electronic submission, there is no physical artifact folder, no artifact folder is recorded in PALM, and no paper documents or physical media exist. The TIFF images in the IFW record were created from the original documents that are stored in SCORE.

### Sequence Listing

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Form Revision Date: August 26, 2013

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

P	ATENT APPL	ICATION F		Applicatio	n or Docket Number 4/972,560	Filing Date 12/17/2015	To be Mailed			
	ENTITY: ☐ LARGE ☐ SMALL ☐ MICRO									
					ATION AS FIL	ED – PAR	RTI			
			(Column 1		(Column 2)		_			
┡	FOR		NUMBER FIL	.ED	NUMBER EXTRA		RATE (\$)	F	EE (\$)	
ᄖ	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A			
	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))	N/A		N/A		N/A			
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A			
	TAL CLAIMS CFR 1.16(i))		mir	us 20 = *			X \$ =			
	EPENDENT CLAIM CFR 1.16(h))	IS	m	inus 3 = *			X \$ =			
If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).										
* If 1	MULTIPLE DEPEN						TOTAL			
Н"	ile dillerence in cold	JIIII 1 13 1633 ti	ian zero, ente	i o iii colulliii 2.			TOTAL			
		(Column 1)	ı	APPLICAT (Column 2)	ION AS AMEN (Column 3)		ART II			
:NT	12/17/2015	CLAIMS REMAINING AFTER AMENDMEN		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIC	DNAL FEE (\$)	
ME	Total (37 CFR 1.16(i))	* 12	Minus	** 20	= 0		x \$80 =		0	
AMENDMENT	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0	x \$420 =			0	
AM	Application Si	ize Fee (37 CF	R 1.16(s))							
	FIRST PRESEN	NTATION OF MU	LTIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))					
							TOTAL ADD'L FE		0	
		(Column 1)	1	(Column 2)	(Column 3)	1				
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	Total (37 CFR 1.16(i))	*	Minus	**	=		X \$ =			
ENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X \$ =			
	Application Si	ize Fee (37 CF	R 1.16(s))			_				
AM	FIRST PRESEN	NTATION OF MU	LTIPLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))					
							TOTAL ADD'L FE			
** If *** I	the entry in column the "Highest Numbe f the "Highest Numb "Highest Number P	er Previously P per Previously F	aid For" IN Th Paid For" IN T	HIS SPACE is less HIS SPACE is less	than 20, enter "20" s than 3, enter "3".		LIE /POLIN ANG/	nn 1.		

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

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217-9197 (toll free).

Reviewer: Saleem, Syed (ASRC)

Timestamp: [year=2015; month=12; day=31; hr=10; min=36; sec=18; ms=261;]

#### Validated By CRFValidator v 1.0.4

Application No: 14972560 Version No: 1.0

Input Set:

Output Set:

**Started:** 2015-12-17 13:38:42.246 **Finished:** 2015-12-17 13:38:42.657

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Total Warnings: 2

Total Errors: 1

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Actual SeqID Count: 2

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W	213	Artificial or Unknown found in $\langle 213 \rangle$ in SEQ ID (1)
W	213	Artificial or Unknown found in <213> in SEO ID (2)

#### SEQUENCE LISTING

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<120> Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders
<130> 725A1
<140> US 14/972,560
<141> 2015-12-17
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<151> 2012-01-11
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	Independent (37 CFR 1.16(h))	*	Minus	***	=	x =		OR	x =	
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APPLICATION	FILING or	GRP ART				
NUMBER	371(c) DATE	UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	TOT CLAIMS	IND CLAIMS
14/972,560	12/17/2015	1629	1600	REGN-008CIPCON	12	1

CONFIRMATION NO. 5391 FILING RECEIPT

96387 Regeneron - Bozicevic, Field & Francis 1900 University Ave Suite 200 East Palo Alto, CA 94303

Date Mailed: 01/06/2016

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Inventor(s)

George D. YANCOPOULOS, Yorktown Heights, NY;

Applicant(s)

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

**Assignment For Published Patent Application** 

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY

Power of Attorney: The patent practitioners associated with Customer Number 96387

Domestic Priority data as claimed by applicant

This application is a CON of 13/940,370 07/12/2013 which is a CIP of PCT/US2012/020855 01/11/2012 which claims benefit of 61/432,245 01/13/2011 and claims benefit of 61/434,836 01/21/2011 and claims benefit of 61/561,957 11/21/2011

**Foreign Applications** for which priority is claimed (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see <a href="http://www.uspto.gov">http://www.uspto.gov</a> for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

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page 1 of 4

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The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 14/972.560** 

**Projected Publication Date:** 04/14/2016

Non-Publication Request: No Early Publication Request: No

Title

USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

**Preliminary Class** 

514

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

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PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

Mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

	Application Number		14972560
INFORMATION BIOOLOGUES	Filing Date		2015-12-17
INFORMATION DISCLOSURE	First Named Inventor	YANC	COPOULOS, GEORGE D.
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit		
(Not for Submission under or of K 1.00)	Examiner Name		
	Attorney Docket Number		REGN-008CIPCON

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Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns Relevant Passa Figures Appear	ges or Relevant
	1	7396664		2008-07-08	Daly et al.		
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Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns Relevant Passa Figures Appear	ges or Relevant
	1	20050163798		2005-07-28	Papadopoulos et al.		
	2	20050260203		2005-11-24	Wiegand et al.		
	3	20060058234		2006-03-16	Daly et al.		
	4	20060172944		2006-08-03	Wiegand et al.		
	5	20070190058		2007-08-16	Shams		

( Not for submission under 37 CFR 1.99)

Application Number		14972560
Filing Date		2015-12-17
First Named Inventor YANG		COPOULOS, GEORGE D.
Art Unit		
Examiner Name		
Attorney Docket Numb	er	REGN-008CIPCON

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Examiner Initial*	Cite No		eign Document mber <sup>3</sup>	Country Code <sup>2</sup> İ	Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	e or	where Rel	or Relevant	T5
	1	00/7	75319	wo		2000-12-14	Regeneron Pharmaceuticals, In	1C.			
	2	201	0-509369	JP		2010-03-25	Genentech, Inc.		See WO 20 for English		×
	3	200	8/063932	wo		2008-05-29	Genentech, Inc.				
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	1	ANONYMOUS "Lucentis (rangibizymab injection) Intravitreal Injection" pp. 103 (June 2006)									
	2	DO et al., "An exploratory study of the safety, tolerability and bioactivity of a single intravitreal injection of vascular endothelial growth factor Trap-Eye in patients with diabetic macular oedema" Br J Opthamol. 93(2):144-1449 (February 2009)									
	3	DO et al., "The DA VINCI Study: phase 2 primary results of VEGF Trap-Eye in patients with diabetic macular edema" Opthamology 118(9):1819-1826 (September 2011)									

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Application Number		14972560
Filing Date		2015-12-17
First Named Inventor YANG		COPOULOS, GEORGE D.
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		THE EYETECH STUDY GROUP, "Anti-Vascular Endothelial Growth Factor Therapy for Subfoveal Choroidal Neovascularization Secondary to Age-related Macular Degeneration" American Academy of Ophthamology, 110 (5):979-986 (May 2003)						
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1	6	HEIER et al., "RhuFab V2 in Wet AMD - 6 Month Continued Improvement Following Multiple Intravitreal Injections" Invest Ophthalmol Vis Sci, 44:E-Abstract 972 (2003)						
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Application Number		14972560
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Application Number		14972560
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First Named Inventor YANG		COPOULOS, GEORGE D.
Art Unit		
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Attorney Docket Numb	er	REGN-008CIPCON

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OR

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after making reasonable inquiry, no item of information contained in the information disclosure statement was known to
any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure
statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

X A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Karl Bozicevic, Reg. No. 28,807/	Date (YYYY-MM-DD)	2016-01-21
Name/Print	Karl Bozicevic	Registration Number	28807

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Electronic Acknowledgement Receipt				
EFS ID:	24690449			
Application Number:	14972560			
International Application Number:				
Confirmation Number:	5391			
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS			
First Named Inventor/Applicant Name:	George D. YANCOPOULOS			
Customer Number:	96387			
Filer:	Karl Bozicevic			
Filer Authorized By:				
Attorney Docket Number:	REGN-008CIPCON			
Receipt Date:	21-JAN-2016			
Filing Date:	17-DEC-2015			
Time Stamp:	17:47:20			
Application Type:	Utility under 35 USC 111(a)			

### **Payment information:**

Submitted with Payment	no
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### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	REGN-008 CIPCON_01-21-2016_ IDS_trans.pdf	53947	. no	2
			60586f015ea709d7906c4c893ebc2434c5b 8c918		
Warnings:					
Information:					

Total Files Size (in bytes): 667972							
Information:							
Warnings:	Warnings:						
2	Form (SB08)	IDS.pdf	8ee47fab552ad07ea5b4147e7da57f555ea 24e49	no	6		
	Information Disclosure Statement (IDS)	REGN-008CIPCON_01-21-2016_	614025				

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#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### **Electronically Filed 1/21/2016**

#### **REGN-008CIPCON** Attorney Docket **INFORMATION** First Named Inventor YANCOPOULOS, GEORGE D. DISCLOSURE STATEMENT **Application Number** 14/972,560 5391 Confirmation No. Commissioner for Patents Filing Date December 17, 2015 P.O. Box 1450 Alexandria, VA 22313-1450 Group Art Unit **Examiner Name** "Use of a VEGF Antagonist to Treat Angiogenic Eye Title: Disorders"

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the document cited therein have been considered and made of record herein.

All of the references identified herein were disclosed in parent application serial number 13/940,370, and as such, copies thereof are not included pursuant to the provisions of 37 CFR § 1.98(d).

#### **Statements**

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	prior to the filing of the information disclosure statement; or
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USSN: 14/972,560 Atty Docket No.: REGN-008CIPCON

IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or IDS Statement under 37 CFR § 1.97(e)(2): No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. <u>Fees</u>  $\boxtimes$ No fee is believed to be due. The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement. The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON. Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP /Karl Bozicevic, Reg. No. 28,807/ Date: <u>January 21, 2016</u> By: \_\_ Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 1900 University Avenue, Suite 200 East Palo Alto, California 94303 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

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APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE
REGN-008CIPCON

14/972,560

12/17/2015

George D. YANCOPOULOS

**CONFIRMATION NO. 5391** 

**PUBLICATION NOTICE** 

96387 Regeneron - Bozicevic, Field & Francis 1900 University Ave Suite 200 East Palo Alto, CA 94303

Title: USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

Publication No.US-2016-0101152-A1

Publication Date:04/14/2016

#### NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

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page 1 of 1

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

	Application Number		14972560		
INFORMATION BIOOLOGUES	Filing Date		2015-12-17		
INFORMATION DISCLOSURE	First Named Inventor	YANC	COPOULOS, GEORGE D.		
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit				
(Not for Submission under or of K 1.00)	Examiner Name				
	Attorney Docket Number		REGN-008CIPCON		

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( Not for submission under 37 CFR 1.99)

Application Number		14972560		
Filing Date		2015-12-17		
First Named Inventor YANC		COPOULOS, GEORGE D.		
Art Unit				
Examiner Name				
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Standard ST.3). 3 For Japa	D Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter office nese patent documents, the indication of the year of the reign of the Emperopriate symbols as indicated on the document under WIPO Standard Solid attached.	eror must precede the seria	al number of the patent doc	ument.				

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( Not for submission under 37 CFR 1.99)

Application Number		14972560		
Filing Date		2015-12-17		
First Named Inventor YANC		COPOULOS, GEORGE D.		
Art Unit				
Examiner Name				
Attorney Docket Number		REGN-008CIPCON		

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Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

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statement. See 37 CFR 1.97(e)(2).

X See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

#### **SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Karl Bozicevic, Reg. No. 28,807/	Date (YYYY-MM-DD)	2016-07-22
Name/Print	Karl Bozicevic	Registration Number	28807

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.** 

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  court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
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- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
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(71) Applicant (for all designated States except US): REGEN-ERON PHARMACEUTICALS, INC. [US/US]; 777 Old Saw Mill River Road, Tarrytown, NY 10591 (US).

(72) Inventors; and

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- (74) Agent: GREGG, Valeta; Regeneron Pharmaceuticals, Inc., 777 Old Saw Mill River Road, Tarrytown, NY 10591 (US).

- (81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, HN, HR, HU, ID, IL, IN, IS, JP, KE, KG, KM, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LV, LY, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NG, NI, NO, NZ, OM, PG, PH, PL, PT, RO, RS, RU, SC, SD, SE, SG, SK, SL, SM, SY, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.
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#### Published:

 without international search report and to be republished upon receipt of that report

For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: METHODS OF TREATING DISEASES WITH A VEGF ANTAGONIST

(57) Abstract: A method of reducing or preventing hypertension associated with administration of a vascular endothelial growth factor (VEGF) antagonist in a human subjects suffering from a disease or condition treatable with a VEGF antagonist in which is it desirable to reduce or prevent hypertension. The method is particularly useful for treatment of patients unresponsive to treatment with a VEGF inhibitor administered intravenously.

#### Methods of Treating Diseases with a VEGF Antagonist

#### **BACKGROUND**

#### Field of the Invention

**[0001]** The field of the invention is related to therapeutic methods of treating diseases in a human subject with a vascular endothelial growth factor (VEGF) antagonist such that side effects, such as an increase in blood pressure, are minimized. The patient population to be treated is a population in which it is desirable to minimize an increase in blood pressure.

#### **Description of Related Art**

**[0002]** Vascular endothelial growth factor (VEGF) has been recognized as a primary stimulus of angiogenesis in pathological conditions. Approaches to methods of blocking VEGF include soluble receptor constructs, antisense molecules, RNA aptamers, and antibodies. See, for example, PCT WO/0075319, for a description of VEGF-receptor based trap antagonists.

**[0003]** Hypertension has been reported at increased frequency and severity in subjects receiving the anti-VEGF humanized monoclonal antibody, bevacizumab (Hurwitz, et al, (2004) N. Engl. J. Med. 350:2335-42).

#### BRIEF SUMMARY OF THE INVENTION

**[0004]** In one aspect, the invention features a method of reducing hypertension associated with administration of a vascular endothelial cell growth factor (VEGF) antagonist, comprising administering the VEGF antagonist subcutaneously to a human subject in which it is desirable to minimize an increase in blood pressure.

**[0005]** More specifically, studies described below demonstrate that the increases in systolic and diasystolic blood pressure associated with intravenous administration of VEGF antagonists is largely eliminated by subcutaneous administration. The method of the invention is particularly useful for patients in which prevention of hypertension is desirable.

[0006] The method of the invention is useful with any VEGF antagonist which is associated with an increase in blood pressure when administered to a patient. In one embodiment, the VEGF antagonist is a high affinity fusion protein dimer (or "trap") comprising a fusion polypeptide having an immunoglobulin-like (Ig) domain 2 of the VEGF receptor Flt1 and Ig domain 3 of the VEGF receptor Flk1 or Flt4, and a multimerizing component. Even more specifically, the VEGF antagonist comprises a fusion polypeptide selected from the group consisting of Flt1D2.Flk1D3.FcΔC1(a) (SEQ ID NOs:1-2), VEGFR1R2-FcΔC1(a) (SEQ ID NOs:3-4), or a functional equivalent thereof. Functionally equivalent molecules include dimeric proteins comprised of two fusion polypeptides which are expressed in a mammalian host cell and contain post-translational modification such as glycosylation, truncation of C-terminal lysine and/or signal

peptide, etc.

[0007] In one aspect of the invention a "non-responder" patient is treated by subcutaneous administration of a vascular endothelial growth factor (VEGF) antagonist administered in a therapeutically effective amount and repeatedly administered over a therapeutically effective period of time. In accordance with the present invention the "non-responders" include individuals in need of treatment with a VEGF antagonist but when treated could not have sufficient amounts of the VEGF antagonist administered intravenously to be effective in that the administration of such caused and undesirable peak in the patient's blood pressure. Accordingly, such non-responders include those which initially suffer from high blood pressure which is not sufficiently controlled such that increasing that blood pressure would create a medical risk to the patient's health and further includes those patient's with normal blood pressure or blood pressure which is controlled within normal levels but when treated with a VEGF antagonist have their blood pressure rise to levels which create a medical risk to the patient.

[0008] Diseases and/or conditions, or recurrences thereof, which are ameliorated, inhibited, or reduced by treatment with a VEGF inhibitor are encompassed by the method of the invention. Such conditions include, for example, cancer, diabetes, vascular permeability, edema, or inflammation such as brain edema associated with injury, stroke, or tumor, edema associated with inflammatory disorders such as psoriasis or arthritis, asthma, edema associated with burns, ascites and pleural effusion associated with tumors, inflammation or trauma, chronic airway inflammation, capillary leak syndrome, sepsis kidney disease associated with increased leakage of protein, eye disorders such as age-related macular degeneration and diabetic retinopathy, abnormal angiogenesis such as polycystic ovary disease, endometriosis and endometrial carcinoma. A VEGF inhibitor may also be used to induce regression or reduction of the size of an existing tumor or metastatic cancer; diabetes, decrease tumor neovascularization, improve transplant corneal survival time, inhibit corneal transplant rejection or corneal lympangiogenesis and angiogenesis. [0009] A subject to be treated is preferably a subject with one of the above-listed conditions who suffers from hypertension, is at risk for development of hypertension or in which the prevention or inhibition of hypertension is desirable, e.g., a subject at risk for cardiovascular disease, a subject over 65 years of age, or a patient who cannot otherwise be treated with an appropriate dose of the VEGF antagonist without developing hypertension.

**[0010]** In a second aspect, the invention features a method of preventing the development of hypertension during treatment with a vascular endothelial growth factor (VEGF) inhibitor in a patient at risk thereof, comprising administering a VEGF antagonist by subcutaneous injection to the patient.

[0011] Other objects and advantages will become apparent from a review of the ensuing detailed description.

#### **DETAILED DESCRIPTION**

**[0013]** Before the present methods and compositions are described, it is to be understood that this invention is not limited to particular methods, and experimental conditions described, as such methods and conditions may vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only by the appended claims.

**[0014]** As used in this specification and the appended claims, the singular forms "a", "an", and "the" include plural references unless the context clearly dictates otherwise. Thus for example, a reference to "a method" includes one or more methods, and/or steps of the type described herein and/or which will become apparent to those persons skilled in the art upon reading this disclosure and so forth.

**[0015]** Unless defined otherwise, all technical and scientific terms used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

#### **General Description**

[0016] In the normal mammal, blood pressure is strictly controlled by a complex system of physiological factors. This is important for survival because high blood pressure (hypertension) can lead to a number of adverse medical events and conditions, such as, for example, stroke, acute coronary syndrome, myocardial infarction, and renal failure. Studies show that VEGF transiently dilates coronary arteries *in vitro* (Ku et. al. (1993) Am J Physiol 265:H585-H592) and induces hypotension (Yang et. al. (1996) J Cardiovasc Pharmacol 27:838-844). Methods for treating eclampsia and preemclampsia are known, for example, US patent application publication 2003/0220262, WO 98/28006, WO 00/13703, which describes a method for treating hypertension comprising administering to a patient an effective amount of an angiogenic factor such as VEGF, or an agonist thereof. US patent application publication 2003/0144298 shows that administration of high levels of a VEGF receptor tyrosine kinase inhibitor leads to a sustained increase in blood pressure in rats when administered chronically.

#### VEGF Antagonists and VEGF-Specific Fusion Polypeptide traps

**[0017]** The method of the invention may be used with any VEGF antagonist which is associated with an increase in blood pressure when administered to a subject. In a preferred embodiment, the VEGF antagonist is a dimeric protein capable of binding VEGF with high affinity composed of two receptor-Fc fusion polypeptides consisting of the principal ligand-binding portions of the human VEGFR1 and VEGFR2 receptor extracellular domains fused to the Fc portion of human IgG1 (the

"VEGF trap"). Specifically, the VEGF "trap" consists of Ig domain 2 from VEGFR1, which is fused to Ig domain 3 from VEGFR2, which in turn is fused to the Fc domain of IgG1.

[0018] In a preferred embodiment, an expression plasmid encoding the VEGF trap is transfected into CHO cells, which secrete VEGF trap into the culture medium. The resulting VEGF trap is a dimeric glycoprotein with a protein molecular weight of 97 kDa and contains ~15% glycosylation to give a total molecular weight of 115 kDa. The fusion polypeptides forming the dimer are posttranslationally modified by glycosylation at one or more Asn residues and/or removal of the terminal Lys.

**[0019]** Since the VEGF trap binds its ligands using the binding domains of high-affinity receptors, it has a greater affinity for VEGF than do monoclonal antibodies. The VEGF trap binds VEGF-A ( $K_D = 1.5 \text{ pM}$ ), PLGF1 ( $K_D = 1.3 \text{ nM}$ ), and PLGF2 ( $K_D = 50 \text{ pM}$ ); binding to other VEGF family members has not yet been fully characterized.

#### **Treatment Population**

[0020] A human subject preferably treated by the method described herein is a subject in which it is desirable to prevent or reduce one or more side effects resulting from treatment with an antiangiogenic agent, such as hypertension, proteinuria. Particularly preferred subjects are those suffering from hypertension, over 65 years of age, or subjects in which reduction of or prevention of undesirable side effects allows an optimal therapeutic dose of the anti-angiogenic agent to be used which otherwise could not be used without placing the subject at risk for an adverse medical event. Patients suffering from renal cell carcinoma, pancreatic carcinoma, advanced breast cancer, colorectal cancer, malignant mesothelioma, multiple myeloma, ovarian cancer, or melanoma may be treated with the combined therapeutics of the invention. Diseases and/or conditions, or recurrences thereof, which are ameliorated, inhibited, or reduced by treatment with the combined therapeutics of the invention include cancer, diabetes, vascular permeability, edema, or inflammation such as brain edema associated with injury, stroke, or tumor, edema associated with inflammatory disorders such as psoriasis or arthritis, asthma, edema associated with burns, ascites and pleural effusion associated with tumors, inflammation or trauma, chronic airway inflammation, capillary leak syndrome, sepsis kidney disease associated with increased leakage of protein, eye disorders such as age-related macular degeneration and diabetic retinopathy, abnormal angiogenesis such as polycystic ovary disease, entometriosis and endometrial carcinoma. A VEGF inhibitor may also be used to induce regression or reduction of the size of an existing tumor or metastatic cancer; diabetes, decrease tumor neovascularization, improve transplant corneal survival time, inhibit corneal transplant rejection or corneal lymphangiogenesis and angiogenesis.

#### **Combination Therapies**

[00231] In numerous embodiments, a VEGF antagonist may be administered in combination with

one or more additional compounds or therapies, including a second VEGF antagonist molecule and/or an antihypertensive agent. Combination therapy includes administration of a single pharmaceutical dosage formulation which contains a VEGF antagonist and one or more additional agents; as well as administration of a VEGF antagonist and one or more additional agent(s) in its own separate pharmaceutical dosage formulation. For example, a VEGF antagonist and a cytotoxic agent, a chemotherapeutic agent or a growth inhibitory agent can be administered to the patient together in a single dosage composition such as a combined formulation, or each agent can be administered in a separate dosage formulation. Where separate dosage formulations are used, the VEGF-specific fusion protein of the invention and one or more additional agents can be administered concurrently, or at separately staggered times, i.e., sequentially.

**[0022]** The term "cytotoxic agent" as used herein refers to a substance that inhibits or prevents the function of cells and/or causes destruction of cells. The term is intended to include radioactive isotopes (e.g. I<sup>131</sup>, I<sup>125</sup>, Y<sup>90</sup> and Re<sup>186</sup>), chemotherapeutic agents, and toxins such as enzymatically active toxins of bacterial, fungal, plant or animal origin, or fragments thereof.

[0023] A "chemotherapeutic agent" is a chemical compound useful in the treatment of cancer. Examples of chemotherapeutic agents include alkylating agents such as thiotepa and cyclosphosphamide (Cytoxan®); alkyl sulfonates such as busulfan, improsulfan and piposulfan; aziridines such as benzodopa, carboquone, meturedopa, and uredopa; ethylenimines and methylamelamines including altretamine, triethylenemelamine, trietylenephosphoramide, triethylenethiophosphaoramide and trimethylolomelamine; nitrogen mustards such as chlorambucil, chlornaphazine, cholophosphamide, estramustine, ifosfamide, mechlorethamine, mechlorethamine oxide hydrochloride, melphalan, novembichin, phenesterine, prednimustine, trofosfamide, uracil mustard; nitrosureas such as carmustine, chlorozotocin, fotemustine, lomustine, nimustine, ranimustine; antibiotics such as aclacinomysins, actinomycin, authramycin, azaserine, bleomycins, cactinomycin, calicheamicin, carabicin, carminomycin, carzinophilin, chromomycins, dactinomycin, daunorubicin, detorubicin, 6-diazo-5-oxo-L-norleucine, doxorubicin, epirubicin, esorubicin, idarubicin, marcellomycin, mitomycins, mycophenolic acid, nogalamycin, olivomycins, peplomycin, potfiromycin, puromycin, quelamycin, rodorubicin, streptonigrin, streptozocin, tubercidin, ubenimex, zinostatin, zorubicin; anti-metabolites such as methotrexate and 5-fluorouracil (5-FU); folic acid analogues such as denopterin, methotrexate, pteropterin, trimetrexate; purine analogs such as fludarabine, 6-mercaptopurine, thiamiprine, thioguanine; pyrimidine analogs such as ancitabine, azacitidine, 6-azauridine, carmofur, cytarabine, dideoxyuridine, doxifluridine, enocitabine, floxuridine; androgens such as calusterone, dromostanolone propionate, epitiostanol, mepitiostane, testolactone; anti-adrenals such as aminoglutethimide, mitotane, trilostane; folic acid replenisher such as frolinic acid; aceglatone; aldophosphamide glycoside; aminolevulinic acid; amsacrine; bestrabucil; bisantrene; edatraxate; defofamine; demecolcine; diaziquone; elfornithine; elliptinium acetate; etoglucid; gallium nitrate; hydroxyurea; lentinan; lonidamine; mitoguazone; mitoxantrone;

mopidamol; nitracrine; pentostatin; phenamet; pirarubicin; podophyllinic acid; 2-ethylhydrazide; procarbazine; PSK®; razoxane; sizofiran; spirogermanium; tenuazonic acid; triaziquone; 2, 2',2"trichlorotriethylamine; urethan; vindesine; dacarbazine; mannomustine; mitobronitol; mitolactol; pipobroman; gacytosine; arabinoside ("Ara-C"); cyclophosphamide; thiotepa; taxanes, e.g. paclitaxel (Taxol®, Bristol-Myers Squibb Oncology, Princeton, N.J.) and docetaxel (Taxotere®; Aventis Antony, France); chlorambucil; gemcitabine; 6-thioguanine; mercaptopurine; methotrexate; platinum analogs such as cisplatin and carboplatin; vinblastine; platinum; etoposide (VP-16); ifosfamide; mitomycin C; mitoxantrone; vincristine; vinorelbine; navelbine; novantrone; teniposide; daunomycin; aminopterin; xeloda; ibandronate; CPT-11; topoisomerase inhibitor RFS 2000; difluoromethylornithine (DMFO); retinoic acid; esperamicins; capecitabine; and pharmaceutically acceptable salts, acids or derivatives of any of the above. Also included in this definition are antihormonal agents that act to regulate or inhibit hormone action on tumors such as anti-estrogens including for example tamoxifen, raloxifene, aromatase inhibiting 4(5)-imidazoles, 4hydroxytamoxifen, trioxifene, keoxifene, LY 117018, onapristone, and toremifene (Fareston); and anti-androgens such as flutamide, nilutamide, bicalutamide, leuprolide, and goserelin; and pharmaceutically acceptable salts, acids or derivatives of any of the above.

[0024] A "growth inhibitory agent" when used herein refers to a compound or composition which inhibits growth of a cell, especially a cancer cell either *in vitro* or *in vivo*. Examples of growth inhibitory agents include agents that block cell cycle progression (at a place other than S phase), such as agents that induce G1 arrest and M-phase arrest. Classical M-phase blockers include the vincas (vincristine and vinblastine), Taxol ®, and topo II inhibitors such as doxorubicin, epirubicin, daunorubicin, etoposide, and bleomycin. Those agents that arrest G1 also spill over into S-phase arrest, for example, DNA alkylating agents such as tamoxifen, prednisone, dacarbazine, mechlorethamine, cisplatin, methotrexate, 5-fluorouracil, and ara-C.

**[0025]** An "antihypertensive agent" when used herein refers to include calcium channel blockers, angiotensin converting enzyme inhibitors (ACE inhibitors), angiotensin II receptor antagonists (A-II antagonists), diuretics,  $\beta$ -adrenergic receptor blockers, vasodilators and  $\alpha$ -adrenergic receptor blockers.

[0026] Calcium channel blockers include amlodipine; bepridil; clentiazem; diltiazem; fendiline; gallopamil; mibefradil; prenylamine; semotiadil; terodiline; verapamil; aranidipine; barnidipine; benidipine; cilnidipine; efonidipine; elgodipine; felodipine; isradipine; lacidipine; lercanidipine; manidipine; nicardipine; nifedipine; nilvadipine; nimodipine; nisoldipine; nitrendipine; cinnarizine; flunarizine; lidoflazine; lomerizine; bencyclane; etafenone; and perhexiline.

**[0027]** Angiotensin converting enzyme inhibitors (ACE-Inhibitors) include alacepril; benazepril; captopril; ceronapril; delapril; enalapril; fosinopril; imidapril; lisinopril; moveltipril; perindopril; quinapril; ramipril; spirapril; temocapril; and trandolapril.

[0028] Angiotensin-II receptor antagonists include, but are not limited to: candesartan (US

5,196,444); eprosartan; irbesartan; losartan; and valsartan.

**[0029]** β-blockers include, but are not limited to: acebutolol; alprenolol; amosulalol; arotinolol; atenolol; befunolol; betaxolol; bevantolot; bisoprolol; bopindolol; bucumolol; bufetolol; bufuralol; bunitrolol; bupranolol; butidrine hydrochloride; butofilolol; carazolol; carteolol; carvedilol; celiprolol; cetamolol; cloranololdilevalol; epanolol; indenolol; labetalol; levobunolol; mepindolol; metipranolol; metoprolol; moprolol; nadoxolol; nebivalol; nipradilol; oxprenolol; penbutolol; pindolol; practolol; pronethalol; propranolol; sotalol; sulfinalol; talinolol; tertatolol; tilisolol; timolol; toliprolol; and xibenolol.

**[0030]**  $\alpha$ -blockers include, but are not limited to: amosulalol; arotinolol; dapiprazole; doxazosin; fenspiride; indoramin; labetolol, naftopidil; nicergoline; prazosin; tamsulosin; tolazoline; trimazosin; and yohimbine.

**[0031]** Vasodilators include cerebral vasodilators, coronary vasodilators and peripheral vasodilators. Cerebral vasodilators include bencyclane; cinnarizine; citicoline,; cyclandelate; ciclonicate; diisopropylamine dichloroacetate; eburnamonine; fasudil; fenoxedil; flunarizine; ibudilast; ifenprodil; lomerizine; nafronyl; nicametate; nicergoline; nimodipine; papaverine; tinofedrine; vincamine; vinpocetine; and viquidil.

[0032] Coronary vasodilators include, but are not limited to: amotriphene; bendazol; benfurodil hemisuccinate; benziodarone; chloracizine; chromonar; clobenfural; clonitrate; cloricromen; dilazep; dipyridamole; droprenilamine; efloxate; erythrityl tetranitrate; etafenone; fendiline; floredil; ganglefene; hexestrol bis(β-diethylaminoethyl) ether; hexobendine; itramin tosylate; khellin; lidoflazine; mannitol hexanitrate; medibazine; nitroglycerin; pentaerythritol tetranitrate; pentrinitrol; perhexiline; pimefylline; prenylamine; propatyl nitrate; trapidil; tricromyl; trimetazidine; trolnitrate phosphate; visnadine.

[0033] Peripheral vasodilators include, but are not limited to: aluminium nicotinate; bamethan; bencyclane; betahistine; bradykinin; brovincamine; bufeniode; buflomedil; butalamine; cetiedil; ciclonicate; cinepazide; cinnarizine; cyclandelate; diisopropylamine dichloroacetate; eledoisin; fenoxedil; flunarizine; hepronicate; ifenprodil; iloprost; inositol niacinate; isoxsuprine; kallidin; kallikrein; moxisylyte; nafronyl; nicametate; nicergoline; nicofuranose; nylidrin; pentifylline; pentoxifylline; piribedil; prostaglandin E<sub>1</sub>; suloctidil; tolazoline; and xanthinol niacinate.
[0034] Diuretics include but are not limited to diuretic benzothiadiazine derivatives, diuretic organomercurials, diuretic purines, diuretic steroids, diuretic sulfonamide derivatives, diuretic uracils and other diuretics such as amanozine; amiloride; arbutin; chlorazanil; ethacrynic acid; etozolin; hydracarbazine; isosorbide; mannitol; metochalcone; muzolimine; perhexiline; ticrynafen; triamterene; and urea.

#### **Methods of Administration**

[0035] The invention provides compositions and methods of treatment with a VEGF antagonist

which avoids, reduces, or eliminates an increase in blood pressure associated with VEGF antagonist administration. Accordingly, in the method of the invention, the VEGF antagonist is administered subcutaneously to the subject in need of such treatment.

**[0036]** A composition useful in practicing the methods of the invention may be a liquid comprising an agent of the invention in solution, in suspension, or both. The term "solution/suspension" refers to a liquid composition where a first portion of the active agent is present in solution and a second portion of the active agent is present in particulate form, in suspension in a liquid matrix. A liquid composition also includes a gel. The liquid composition may be aqueous or in the form of an ointment.

**[0037]** An aqueous suspension or solution/suspension useful for practicing the methods of the invention may contain one or more polymers as suspending agents. Useful polymers include water-soluble polymers such as cellulosic polymers and water-insoluble polymers such as cross-linked carboxyl-containing polymers. An aqueous suspension or solution/suspension of the present invention is preferably viscous or muco-adhesive, or even more preferably, both viscous and mucoadhesive.

**[0038]** Other features of the invention will become apparent in the course of the following descriptions of exemplary embodiments which are given for illustration of the invention and are not intended to be limiting thereof.

#### **EXAMPLES**

[0039] The following example is put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the methods and compositions of the invention, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees Centigrade, and pressure is at or near atmospheric.

# Example 1. Subcutaneous Administration of a VEGF Antagonist

**[0040]** Study 0103. An initial cohort of 3 patients were dosed subcutaneously with 25 ug/kg with the receptor-based VEGF antagonist ("VEGF trap") (SEQ ID NO:4) with a series of six weekly injections. Four weeks later, 3 additional patients were enrolled in the cohort and received six weekly injections of 50 ug/kg. Four weeks later, 3 additional patients were enrolled in the cohort and received six weekly injections of 50 ug/kg. This schedule was repeated, enrolling 3 patients in each cohort dose group of 100 ug/kg, 200 ug/kg, 400 ug/kg and 800 ug/kg. An additional cohort was added and received 800 ug/kg twice per week.

[0041] Patients were required to meet the following criteria for enrollment: (1) patients with

relapsed or refractory solid tumor (other than squamous cell carcinoma of the lung), or non-Hodgkin's lymphoma refractory to at least two standard chemotherapy regimens and rituximab, and at least one measurable site of metastases or residual primary tumor; (2) No history of CNS primary tumor or metastasis; (3) Patients who have failed all curative chemotherapeutic regimens for their underlying disease and who have no standard chemotherapy, immunotherapy, anti-tumor therapy or radiotherapy options available; (4) Adequate organ function; (5) Acceptable laboratory parameters, including coagulation profile and renal function tests.

**[0042]** Both supine and standing blood pressure were monitored at each study visit in the Protocol. In cases of new hypertension or worsening of previously documented hypertension, blood pressure was readily managed with 1 or 2 antihypertensive agents.

[0043] Exploratory analyses of blood pressure dose-response. The dose level cohorts were combined into 4 dose groups to facilitate the use of statistical tools to examine potential trends. Combined dose group 1 includes subjects treated at the 25  $\mu$ g/kg, 50  $\mu$ g/kg, and 100  $\mu$ g/kg dose levels; combined dose group 2 includes subjects treated at the 200  $\mu$ g/kg and 400  $\mu$ g/kg; dose levels; combined dose group 3 includes subjects treated at the 800  $\mu$ g/kg; and combined dose group 4 includes subjects treated at the 800  $\mu$ g/kg twice weekly dose level. Using these combined dose groups, group differences at each time point were assessed using analysis of variance (ANOVA) as a descriptive tool to flag potential trends. Simple linear regression analysis was also applied to test the linear trend. Separate analyses were undertaken for supine and standing, systolic and diastolic blood pressures.

[0044] Standing systolic blood pressure. For change in standing systolic blood pressure, the ANOVA at each time point revealed no dose-related trend toward an increase in standing systolic blood pressure; only the Day 3 out of 14 time assessments appeared to have a nominal p-value less than 0.05. Mean change from baseline determinations obtained in the first 2 weeks of administration are shown in Table 1.

[0045] Standing diastolic blood pressure. For change in standing diastolic blood pressure, the ANOVA on mean values at each time point again revealed no dose-related trend. None of the 14 time assessments had nominal p-value less than 0.05, but 4 of the time assessments time had nominal p-values between 0.05 and 0.1. Mean change from baseline determinations obtained in the first 2 weeks of administration are shown in Table 1.

## Example 2. Intravenous Administration of a VEGF Antagonist

[0046] Study 0202. Patients with refractory solid tumors or non-Hodgkin's lymphoma receiving no concurrent treatment for their cancer are treated with the VEGF trap (SEQ ID NO:4) as follows. An initial cohort of 3 patients received a single dose of 0.3 mg/kg VEGF trap administered intravenously. As the single dose was well tolerated, patients received one additional infusion at the same dose level after a 2-week interval. An additional cohort of 3 patients received 1.0 mg/kg

VEGF trap intravenously following the same schedule. This pattern was repeated with dose level cohorts of 3-6 patients receiving 2.0, 3.0, and 4.0 mg/kg VEGF trap. Blood pressure is monitored and tumor burden is assessed at the beginning and end of the weekly dosing period; patients with stable disease, partial or complete responses may continue dosing for up to an additional 6 months in a continuation study. Mean changes at Day 15 from baseline Day 0 are shown for each dose group in Table 1.

[0047] Study 0305. A second study was conducted following the procedure for study 0202. Patients selected for the study had relapsed or refractory solid tumors (other than squamous cell carcinoma of the lung) who were not expected to benefit from standard therapy, or non-Hodgkin's lymphoma refractory to at least two standard chemotherapy regimens and rituximab, and at least one measurable site of metastasis or residual primary tumor, and had completed Study 0202 without experience dose-limiting toxicity.

**[0048]** Systolic and diastolic blood pressure measurements were obtained as described in Example 1. Mean change from baseline determinations obtained in the first 2 weeks of administration are shown in Table 1 for each study group.

**[0049]** Generally, subcutaneous administration of the VEGF trap resulted in an increased blood pressure of 3.8-8.2% at the highest dose level. Intravenous administration resulted in an increase in blood pressure of over 20% at the highest doses. At comparable doses, a subcutaneously administered dose of 800 ug/kg VEGF trap resulted in a 1.5% increase in diastolic blood pressure, whereas an intravenous dose of 1.0 mg/kg VEGF trap increased diastolic blood pressure 3.7-13.3%.

Table 1.

Summary of Results for Subcutaneous (0103) and Intravenous (0202, 0305) Studies

Study	Dose				
0103	25, 50, 100 μg/kg	200-400 μg/kg	800 µg/kg	1600 μg/kg	
Systolic	-1.92	-0.75	-1.17	8.17	
Diastolic	-2.42	-2.83	1.5	3.83	
0202	0.3 mg/kg	1.0 mg/kg	2.0 mg/kg	3.0 mg/kg	4.0 mg/kg
Systolic	1.0	0	1.2	17	-0.5
Diastolic	1.0	3.17	0.4	5	3.5
0305	0.0	0.3 mg/kg	1.0 mg/kg	3.0 mg/kg	
Systolic	-3.8	3	8.0	22.8	
Diastolic	3.3	11.0	13.3	20.4	

#### What is claimed:

1. Use of a first vascular endothelial growth factor (VEGF) antagonist in the manufacture of a medicament for reducing hypertension associated with administration of a VEGF antagonist, wherein treatment is by subcutaneous administration to a human subject suffering from a disease or condition treatable with a VEGF antagonist in which it is desirable to minimize an increase in blood pressure.

- 2. The use according to claim 1, wherein the VEGF antagonist comprises VEGFR1R2-Fc∆C1(a) (SEQ ID NO:4).
- 3. The use of claim 1 or 2, wherein a second agent is given with the first VEGF antagonist.
- 4. The use according to claim 3, wherein the second agent is an anti-hypertensive agent.
- 5. The use according to claim 4, wherein the anti-hypertensive agent is administered simultaneously or sequentially.
- 6. The use according to any one of the preceding claims, wherein the disease or condition is selected from the group consisting of cancer, diabetes, vascular permeability, edema, ascites and pleural effusion associated with tumors, inflammation or trauma, chronic airway inflammation, capillary leak syndrome, sepsis kidney disease associated with increased leakage of protein, eye disorders, and abnormal angiogenesis.
- 7. The use according to claim 7, wherein the human subject is over 65 years of age or is a patient who cannot otherwise be treated with an appropriate dose of the VEGF antagonist without developing hypertension.
- 3. A method of treatment, comprising:
- (a) identifying a patient as a non-responder with respect to the intravenous administration of a first agent vascular endothelial growth factor (VEGF) antagonist;
- (b) administering to the patient a therapeutically effective amount of a VEGF antagonist by subcutaneous administration;
- (c) monitoring the patient's blood pressure during and after the subcutaneous administration of the VEGF antagonist; and
- (d) repeatedly administering the VEGF antagonist by subcutaneous administration, ptionally administering a second agent.

- 9. The method of claim 8, wherein the VEGF antagonist is as defined in claim 2.
- 10. The method of claim 8 or 9, wherein the second agent is as defined in claim 4.

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Electronic Acknowledgement Receipt					
EFS ID:	26428467				
Application Number:	14972560				
International Application Number:					
Confirmation Number:	5391				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
First Named Inventor/Applicant Name:	George D. YANCOPOULOS				
Customer Number:	96387				
Filer:	Karl Bozicevic				
Filer Authorized By:					
Attorney Docket Number:	REGN-008CIPCON				
Receipt Date:	22-JUL-2016				
Filing Date:	17-DEC-2015				
Time Stamp:	13:23:22				
Application Type:	Utility under 35 USC 111(a)				

# **Payment information:**

Submitted with Payment	no
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# File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Transmittal Letter	REGN-008 CIPCON_2016-07-22_ supp_ids_trans.pdf	53056	no	2
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2	2 Information Disclosure Statement (IDS) REGN-008CIPCON_201607-2	3037bf40b8da358b372ad5bf83d6bcaff4a2 3110	no	4		
Warnings:						
Information	•					

A U.S. Patent Number Citation or a U.S. Publication Number Citation is required in the Information Disclosure Statement (IDS) form for autoloading of data into USPTO systems. You may remove the form to add the required data in order to correct the Informational Message if you are citing U.S. References. If you chose not to include U.S. References, the image of the form will be processed and be made available within the Image File Wrapper (IFW) system. However, no data will be extracted from this form. Any additional data such as Foreign Patent Documents or Non Patent Literature will be manually reviewed and keyed into USPTO systems.

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3	Foreign Reference	WO07022101.pdf	424d20b759e1b30903935bd78aa1577f38a fd4ef	no	17	
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Information:						
	Total Files Size (in bytes): 1651112					

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### **New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### **Electronically Filed 7/22/2016**

INICODRA A TIONI	Attorney Docket	REGN-008CIPCON	
INFORMATION DISCLOSURE STATEMENT  Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	First Named Inventor	YANCOPOULOS, GEORGE D.	
	Application Number	14/972,560	
	Confirmation No.	5391	
	Filing Date	December 17, 2015	
	Group Art Unit	1647	
	Examiner Name	LOCKARD, JON MCCLELLAND	
	Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders"		

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the foreign patents and non-patent literature are also enclosed.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the document cited therein have been considered and made of record herein.

#### **Statements**

PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:

(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or

(ii) Is a communication that was issued by a patent office in a counterpart foreign or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement.

USSN: 14/972,560

Atty Docket No.: REGN-008CIPCON

□ IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or
 □ IDS Statement under 37 CFR § 1.97(e)(2): No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any

individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

#### **Fees**

No fee is believed to be due.

The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: \_\_July 22, 2016\_\_ By: \_\_/Karl Bozicevic, Reg. No. 28,807/\_

Karl Bozicevic Reg. No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 1900 University Avenue, Suite 200 East Palo Alto, California 94303 Telephone: (650) 327-3400 Facsimile: (650) 327-3231

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/972,560	14/972,560 12/17/2015 George D. YANCOPOULOS		REGN-008CIPCON	5391
	7590 08/10/201 ozicevic, Field & Franc		EXAM	IINER
1900 University Suite 200			LOCKARD, JON	MCCLELLAND
East Palo Alto,	CA 94303		ART UNIT	PAPER NUMBER
			1647	
			NOTIFICATION DATE	DELIVERY MODE
			08/10/2016	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@bozpat.com

	Application No. 14/972,560			
Office Action Summary	,	<u> </u>		
Office Action Summary	Examiner JON M. LOCKARD	Art Unit 1647	AIA (First Inventor to File) Status No	
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondenc	ce address	
A SHORTENED STATUTORY PERIOD FOR REPLY THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If NO period for reply is specified above, the maximum statutory period w  - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	36(a). In no event, however, may a reply be tim will apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed the mailing date of D (35 U.S.C. § 133	this communication.	
Status				
1) Responsive to communication(s) filed on <u>17 Description</u> A declaration(s)/affidavit(s) under <b>37 CFR 1.1</b>				
2a) This action is <b>FINAL</b> . 2b) ▼ This	action is non-final.			
3) An election was made by the applicant in response		set forth durin	g the interview on	
the restriction requirement and election;	•			
4) Since this application is in condition for allowar	•		o the merits is	
closed in accordance with the practice under E	x parte Quayle, 1935 C.D. 11, 45	53 O.G. 213.		
Disposition of Claims*				
5) Claim(s) 1-3,6-8,13,14 and 18-21 is/are pendin 5a) Of the above claim(s) is/are withdraw 6) Claim(s) is/are allowed.  7) Claim(s) 1-3,6-8,13,14 and 18-21 is/are rejected so Claim(s) is/are objected to.	vn from consideration.			
9) Claim(s) are subject to restriction and/or				
* If any claims have been determined <u>allowable</u> , you may be eli			way program at a	
participating intellectual property office for the corresponding ap	oplication. For more information, plea	ise see		
http://www.uspto.gov/patents/init_events/pph/index.jsp or send	an inquiry to <a href="mailto:PPHfeedback@uspto.c">PPHfeedback@uspto.c</a>	<u>10V</u> .		
Application Papers  10) ☐ The specification is objected to by the Examine  11) ☐ The drawing(s) filed on 17 December 2015 is/a  Applicant may not request that any objection to the of Replacement drawing sheet(s) including the corrections.	re: a)⊠ accepted or b)□ object drawing(s) be held in abeyance. See	e 37 CFR 1.85(	a).	
Priority under 35 U.S.C. § 119				
12) Acknowledgment is made of a claim for foreign	priority under 35 U.S.C. § 119(a)	-(d) or (f).		
Certified copies:		( ) ( )		
a) ☐ All b) ☐ Some** c) ☐ None of the:				
1. Certified copies of the priority document	s have been received.			
2. Certified copies of the priority documents have been received in Application No				
3. Copies of the certified copies of the priority documents have been received in this National Stage				
application from the International Bureau (PCT Rule 17.2(a)).				
** See the attached detailed Office action for a list of the certified	ed copies not received.			
Attachment(s)				
1) Notice of References Cited (PTO-892)	3) Interview Summary			
2) Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SPaper No(s)/Mail Date	Paper No(s)/Mail Da 3B/08b) 4) Other:	ile		

U.S. Patent and Trademark Office PTOL-326 (Rev. 11-13)

Office Action Summary

Part of Paper No./Mail Date 20160804

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#### **DETAILED ACTION**

#### Status of Application, Amendments, and/or Claims

- 1. The present application is being examined under the pre-AIA first to invent provisions.
- 2. The Preliminary Amendment filed on 17 December 2015 has been entered in full.

Claims 1, 8, 13 and 18 have been amended, claims 4, 5, 9-12 and 15-17 have been cancelled, and claim 21 has been added. Therefore, claims 1-3, 6-8, 13, 14 and 18-21 are pending and the subject of this Office Action.

### Information Disclosure Statement

3. The information disclosure statements (IDS) filed 21 January 2016 and 22 July 2016 have been considered by the examiner.

#### Specification

4. The disclosure is objected to because of the following informalities: An updated status of the parent nonprovisional application should be included in the first sentence of the specification. Appropriate correction is suggested.

#### Double Patenting

5. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the "right to exclude" granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory double patenting rejection is appropriate

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where the claims at issue are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

- 6. A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the reference application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement. A terminal disclaimer must be signed in compliance with 37 CFR 1.321(b).
- 7. The USPTO internet Web site contains terminal disclaimer forms which may be used. Please visit http://www.uspto.gov/forms/. The filing date of the application will determine what form should be used. A web-based eTerminal Disclaimer may be filled out completely online using web-screens. An eTerminal Disclaimer that meets all requirements is auto-processed and approved immediately upon submission. For more information about eTerminal Disclaimers, refer to http://www.uspto.gov/patents/process/file/efs/guidance/eTD-info-I.jsp.
- 8. Claims 1-3, 6-8, 13, 14 and 18-21 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-5 of U.S. Patent No. 7,303,746. Although the conflicting claims are not identical, they are not patentably distinct

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from each other because the claims of the '746 patent are drawn to methods for treating retinal neovascularization, comprising administering a fusion polypeptide which comprises the amino acid sequence of SEQ ID NO:16, which shares 100% sequence identity to the fusion protein of SEQ ID NO:2 (encoded by SEQ ID NO:1) of the instant application. While the '746 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

9. Claims 1-3, 6-8, 13, 14 and 18-21 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-6 of U.S. Patent No. 7,303,747. Although the conflicting claims are not identical as they differ in scope, they are not patentably distinct from each other because claims 1-6 of the '747 patent are drawn to methods for treating or ameliorating an eye disorder, including choroidal neovascularization, vascular leak, or retinal edema, comprising administering a fusion polypeptide capable of binding endothelial growth factor (VEGF) to a patient in need thereof, wherein the fusion polypeptide comprises the amino acid sequence of SEQ ID NO:6, which shares 100% sequence identity to the fusion protein of SEQ ID NO:2 (encoded by SEQ ID NO:1) of the instant application. While the '747 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

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"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

10. Claims 1-3, 6-8, 13, 14 and 18-21 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-11 of U.S. Patent No. 7,306,799. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 1-6 of the '799 patent are drawn to a method for treating an eye disorder, including age-related macular degeneration and diabetic retinopathy, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:6, which shares 100% sequence identity to the fusion protein of SEQ ID NO:2 (encoded by SEQ ID NO:1) of the instant application. While the '799 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

11. Claims 1-3, 6-8, 13, 14 and 18-21 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-15 of U.S. Patent No. 7,521,049. Although the conflicting claims are not identical, they are not patentably distinct from each other because claims 1-15 of the '049 patent are drawn to a method for treating an eye

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disorder, including age-related macular degeneration, diabetic retinopathy, choroidal neovascularization, vascular leak, and/or retinal edema, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:23, which shares 100% sequence identity to the fusion protein of SEQ ID NO:2 (encoded by SEQ ID NO:1) of the instant application. While the '049 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re Aller*, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

12. Claims 1-3, 6-8, 13, 14 and 18-21 are rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1-26 of U.S. Patent No. 9,254,338. Although the conflicting claims are not identical, as they recite different dosing schedules, they are not patentably distinct from each other because claims 1-26 of the '338 patent are drawn to a method for treating an eye disorder, including age-related macular degeneration, diabetic retinopathy, choroidal neovascularization, vascular leak, and/or retinal edema, comprising administering a fusion polypeptide having the amino acid sequence set forth in SEQ ID NO:2, which shares 100% sequence identity to the fusion protein of SEQ ID NO:2 (encoded by SEQ ID NO:1) of the instant application. While the '338 patent does not disclose the dosing schedules set forth in the instant claims, it is routine experimentation to optimize dosages and dosage schedules. The courts have determined that:

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"[W]here the general conditions of a claim are disclosed in the prior art, it is not inventive to discover the optimum or workable ranges by routine experimentation." *In re* 

Aller, 220 F.2d 454, 454, 105 USPQ 223,235, (CCPA 1955).

Therefore, the claims are overlapping in scope.

Summary

13. No claim is allowed.

**Advisory Information** 

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jon M. Lockard whose telephone number is (571) 272-2717. The examiner can normally be reached on Monday through Friday, 8:00 AM to 4:30 PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Joanne Hama, can be reached on (571) 272-2911. The fax number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <a href="http://pair-direct.uspto.gov">http://pair-direct.uspto.gov</a>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at **866-217-9197** (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/JON M LOCKARD/ Examiner, Art Unit 1647 August 4, 2016

/J.L./

#### => DIS HIST

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12 S L10 OR L12

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L1
           1722 S (FLT1 OR VEGFR1 OR (VEGF (W) R1)) (P) (FLK1 OR KDR OR (VEGF (
L2
            16 S L1 (P) ((CHIMER? OR FUSION) (P) VEGF)
L3
             8 DUP REM L2 (8 DUPLICATES REMOVED)
             18 S L1 (P) (CHIMER? OR FUSION)
L4
L5
             9 DUP REM L4 (9 DUPLICATES REMOVED)
L6
             8 S L1 (P) ((EYE OR OCULAR OR MACULAR OR RETINA?) (S) DISORDER)
L7
             2 DUP REM L6 (6 DUPLICATES REMOVED)
L8
             9 S L1 AND ((EYE OR OCULAR OR MACULAR OR RETINA?) (S) DISORDER)
             3 DUP REM L8 (6 DUPLICATES REMOVED)
L9
            12 S L5 OR L9
L10
              E YANCOPOULOS G/AU
          1766 S E4 OR E8
L11
            1 S L1 AND L11
L12
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#### **BIB DATA SHEET**

#### **CONFIRMATION NO. 5391**

SERIAL NUMBER	FILING O			CLASS	GR	OUP ART	UNIT	ATTO	ORNEY DOCKET
14/972,560	12/17/2	_		424		1647		REC	GN-008CIPCON
	RUL	.E							
APPLICANTS REGENERON	APPLICANTS REGENERON PHARMACEUTICALS, INC., Tarrytown, NY								
<b>INVENTORS</b> George D. YA	NCOPOULOS,	Yorktown	Height	s, NY;					
* CONTINUING DATA **********************************									
Verified and /JON M LOCKA	15 USC 119(a-d) conditions met  Yes  No								
Acknowledged Examin	er's Signature	Initials							l
	, CA 94303	& Francis							
TITLE									
USE OF A VE	GF ANTAGON	IST TO TE	REAT A	NGIOGENIC EY	E DIS	SORDER	S		
RECEIVED No	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS  FILING FEE RECEIVED    All Fees								

BIB (Rev. 05/07).

Receipt date: 01/21/2016
Doc code: IDS
Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		14972560	
INFORMATION BIGGI COURT	Filing Date		2015-12-17	
INFORMATION DISCLOSURE	First Named Inventor YANCO		OPOULOS, GEORGE D.	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
(Not lot Submission under 51 51 K 1.55)	Examiner Name			
	Attorney Docket Number	er	REGN-008CIPCON	

				U.S.	PATENTS		Remove	
Examiner Initial*	raminer Cite   Patent Number   Nind   Issue Date   Name of Patentee of Applicant   Rel		Releva		Lines where ges or Relevant			
/J.L./	1	7396664		2008-07-08	Daly et al.			
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			U.S.P.	ATENT APPLI	CATION PUBLICATIONS		Remove	
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Releva		Lines where ges or Relevant
/J.L./	1	20050163798		2005-07-28	Papadopoulos et al.			
***************************************	2	20050260203		2005-11-24	Wiegand et al.			
	3	20060058234		2006-03-16	Daly et al.			
000000000000000000000000000000000000000	4	20060172944		2006-08-03	Wiegand et al.			
/J.L./	5	20070190058		2007-08-16	Shams			

Receipt date: 01/21/2016	Application Number		14972560
INFORMATION BIOOL COURT	Filing Date		2015-12-17
INFORMATION DISCLOSURE	First Named Inventor	YANC	COPOULOS, GEORGE D.
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		
(Not lot Submission under or or it 1.00)	Examiner Name		
	Attorney Docket Number	er	REGN-008CIPCON

/J.L./	6	20030171320	2003-09	9-11	Guyer					
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Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup> i	Kind Code <sup>4</sup>	Publication Date	Name of Patentee Applicant of cited Document	e Or	where Rel	or Relevant	T5
/J	1	00/75319	wo		2000-12-14	Regeneron Pharmaceuticals, In	IC.			
000000000000000000000000000000000000000	2	2010-509369	JP		2010-03-25	Genentech, Inc.		See WO 20 for English		×
/J.L./	3	2008/063932	wo		2008-05-29	Genentech, Inc.				
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/J.L./	1	ANONYMOUS "Lucen	tis (rangibizymab in	jection) l	Intravitreal Inject	tion" pp. 103 (June 20	006)			
носновновновновновновновновновновновновновн	2	DO et al., "An explorate endothelial growth fact (February 2009)								
/J.L./	3	DO et al., "The DA VIN Opthamology 118(9):1	ICI Study: phase 2 819-1826 (Septemb	primary i per 2011	results of VEGF )	Trap-Eye in patients	with dia	betic macul	ar edema"	
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Receipt date: 01/21/2016 Application Number 14972560 Filing Date 2015-12-17 **INFORMATION DISCLOSURE** First Named Inventor YANCOPOULOS, GEORGE D. Art Unit ( Not for submission under 37 CFR 1.99) **Examiner Name** 

REGN-008CIPCON

Attorney Docket Number

# **STATEMENT BY APPLICANT**

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000000000000000000000000000000000000000	5	HEIERet al., " rhuFab V2 (anti-VEGF Antibody) for Treatment of Exudative AMD" Symposium 8:Experimental and Emerging Treatments for Choroidal Neovascularization, 10 pp (2002)	
	6	HEIER et al., "RhuFab V2 in Wet AMD - 6 Month Continued Improvement Following Multiple Intravitreal Injections" Invest Ophthalmol Vis Sci, 44:E-Abstract 972 (2003)	
	7	KRZYSTOLIK et al., "Prevention of Experimental Choroidal NEovascularization With Intravitreal Anti-Vascular Endothelial Growth Factor Antibody Fragment" Arch Ophthamol., 120:338-346 (Mar. 2002)	
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	10	PAI et al., "Current concepts in intravitreal drug therapy for diabetic retinopathy" Saudi Journal of Opthamology 24(4):143-149 (June 30, 2010)	
	11	STEWART, "THe expanding role of vascular endothelial growth factor inhibitors in opthamology" Mayo Clin Proc. 87 (1):77-88 (January 2012)	
	12	THOMAS REUTERS INTEGRITY "VEGF Trap-Eye final phase II results in age-related macular degeneration presented at 2008 Retina Society Meeting" (September 28, 2008)	
/J.L./	13	NGUYEN et al., "A phase I trial of an IV-administered vascular endothelial growth factor trap for treatment in patients with choroidal neovascularization due to age-related macular degeneration" Ophthalmology (Sept 2006) 113 (9):1522e1-1522e14 (epub July 28,2006)	
If you wis	h to ac	dd additional non-patent literature document citation information please click the Add button Add	

Receipt date: 01/21/2016

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

Application Number 14972560

Filing Date 2015-12-17

First Named Inventor YANCOPOULOS, GEORGE D.

Art Unit

Examiner Name

**REGN-008CIPCON** 

Attorney Docket Number

EXAMINER SIGNATURE									
Examiner Signature	/Jon Lockard/	Date Considered	08/04/2016						
	eference considered, whether or not citation is in conformation and not considered. Include copy of this form with								
	D Patent Documents at <u>www.USPTO.GOV</u> or MPEP 901.04. <sup>2</sup> Enter offic nese patent documents, the indication of the year of the reign of the Emp		, ,						

<sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST 16 if possible. <sup>5</sup> Applicant is to place a check mark here if

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English language translation is attached.

Receipt date: 07/22/2016

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)
Approved for use through 07/31/2012. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	Application Number		14972560	
INFORMATION BIGGI COURT	Filing Date		2015-12-17	
INFORMATION DISCLOSURE	First Named Inventor YANCO		OPOULOS, GEORGE D.	
STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)	Art Unit			
(Not lot Submission under 51 51 K 1.55)	Examiner Name			
	Attorney Docket Number	er	REGN-008CIPCON	

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/J.L./	1	2007/022101	wo		A2	2007-02-22	Regeneron Pharmaceuticals, Ir	10.			
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Receipt date: 07	/22/2016	Application Number	14	1972560		
		Filing Date	20	015-12-17		
INFORMATION		First Named Inventor	YANCOF	POULOS, GEORGE D.		
STATEMENT B' ( Not for submission		Art Unit				
( NOT IOF SUBMISSION	ulider 37 CFK 1.33)	Examiner Name				
		Attorney Docket Numb	er RI	EGN-008CIPCON		
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Examiner Signature	/Jon Lockard/			Date Considered	08/04/2016	
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English language translation is attached.

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
14972560	YANCOPOULOS, GEORGE D.
Examiner	Art Unit
JON M LOCKARD	1647

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARC	CHED	
Symbol	Date	Examiner

	US CLASSIFICATION SEARCHE	:D	
Class	Subclass	Date	Examiner
NONE		8/4/2016	JML

SEARCH NOTES		
Search Notes	Date	Examiner
STIC Search of SEQ ID NOs:1-2. See search results in SCORE.	8/4/2016	JML
EAST (USPAT, US-PGPUB, EPO, DERWENT): See attached search history.	8/4/2016	JML
STN (MEDLINE, SCISEARCH, EMBASE, BIOSIS): See attached search history.	8/4/2016	JML
PALM: Inventor search.	8/4/2016	JML

	INTERFERENCE SEARCH		
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner
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U.S. Patent and Trademark Office Part of Paper No.: 20160804

#### **EAST Search History**

#### **EAST Search History (Prior Art)**

/J.L./

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	5484	(flt1 or vegfr1 or (vegf adj r1)) same ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Rt4 vegfr3 or (vegf adj r3)))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:19
L2	1372	11 and ((chimer\$ or fusion) same vegf)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:19
L3	504	I1 same ((chimer\$ or fusion) same vegf)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:21
L4	5364	(flt1 or vegfr1 or (vegf adj r1)) with ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Rt4 vegfr3 or (vegf adj r3)))	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:21
L5	262	4 with ((chimer\$ or fusion) with vegf)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:22
L6	1698	(I4 or I5) and ((eye or ocular or retina\$ or macular) with disorder)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:22
L7	220	(I3 or I5) and ((eye or ocular or retina\$ or macular) with disorder)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:23
L8	9	(I3 or I5) same ((eye or ocular or retina\$ or macular) with disorder)	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:23
L9	388	yancopoulos-g\$.in.	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:23
L10	40	7 and  9	US-PGPUB; USPAT; EPO; DERWENT	OR	ON	2016/08/05 10:24
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8/5/2016 10:25:36 AM

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#### **Inventor Information for 14/972560**

/J.L./

Inventor Name	City	State/Country
YANCOPOULOS, GEORGE D.	YORKTOWN HEIGHTS	NEW YORK
Apple late   Cornents   Petition Info   Atty/Agent Info   Continue	y Data Foreign Cata Inventors Applicants Addin	ess Fees Post Info Pre Gr
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT Application Number Filing Date First Named Inventor Art Unit Everying a Number

of

Sheet

Application Number	14/972,560
Filing Date	December 17, 2015
First Named Inventor	YANCOPOULOS, GEORGE D.
Art Unit	1647
Examiner Name	LOCKARD, JON MCCLELLAND
Attorney Docket Number	REGN-008CIPCON

	U.S. PATENT DOCUMENTS					
Examiner	Cite	Patent Number	Issue Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initial*	No.	Number-Kind Code (if known)	YYYY-MM-DD	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear	
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	U.S. PATENT APPLICATION PUBLICATIONS					
Examiner	Cite	Publication Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
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	FOREIGN PATENT DOCUMENTS					
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		NON PATENT LITERATURE DOCUMENTS	
Examin er Initials*		Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т
	1	Charles, Steve (Guest Lecturer) "VEGF Trap Has Positive DME Data" Tenth Annual Retina Fellows Forum Jan 29 and 30, Chicago, Article Date 03/01/2010	
	2	Dixon et al., "VEGF Trap-Eye for the treatment of neobascular age-related macular degeneration" Expert Opin. Investig. Drugs (2009) 18 (10): 1-8.	
	3	Information from ClinicalTrials.gov archive on the VIEW 2 study (NCT00637377) "VEGF Trap-Eye: Investigation of Efficacy and Safety in Wet AMD (VIEW 2)" version available and updated on 17 March 2008.	
	4	Information from ClinicalTrials.gov archive on the view of NCT00509795 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD)" (12-01-2009)	
	5	Information from ClinicalTrials.gov archive on the view of NCT00789477 "DME and VEGF Trap-Eye: Investigation of Clinical Impact" (11-18-2010)	
	6	Information from ClinicalTrials.gov archive on the view of NCT00509795 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD)" (01-07-2011)	
	7	Mousa and Mousa, "Current Status of Vascular Endothelial Growth Factor Inhibition in Age-Related Macular Degeneration" Biodrugs 2010; 24(3); 183-194.	
	8	Regeneron Pharmaceuticals, Inc. FORM 10-Q, published on 7 November 2007 for the period ending 30 September 2007	
	9	Regeneron, Press release "Regeneron Reports First Quarter 2008 Financial and Operating Results", May 1, 2008.	

Examiner	Date	
Signature	Considered	

EXAMINEE: Initial in reference considered, whether or not citation is in comormance with MPEP 609. Draw line through citation if not in comormance and not considered. Include copy of this form with next communication to applicant.

				Application Number	14/972,560
INFORMATION DISCLOSURE				Filing Date	December 17, 2015
STATEMENT BY APPLICANT				First Named Inventor	YANCOPOULOS, GEORGE D.
			CANI	Art Unit	1647
				Examiner Name	LOCKARD, JON MCCLELLAND
Sheet	2	of	2	Attorney Docket Number	REGN-008CIPCON

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	10	Regeneron Press Release "Bayer and Regeneron Report Positive Top-Line Results of Two Phase 3 Studies with VEGF Trap-Eye in Wet Age-related Macular Degeneration" November 22, 2010			
	11	Regeneron Press Release "Regeneron and Bayer Report Positive Results for VEGF Trap-Eye in Phase 3 Study in Central Retinal Vein Occlusion (CRVO) and in Phase 2 Study in Diabetic Macular Edema (DME)" December 20, 2010			
	12	Simo and Hernandez, "Advances in Medical Treatment of Diabetic Retinopathy" Diabetes Care, Volume 32, Number 8, August 2009			
	13	Slides for the 2008 Retina Society Meeting "VEGF Trap-Eye in Wet AMD CLEAR-IT 2: Summary of One-Year Key Results", September 28, 2008.			
	14	WHO Drug Information, "International Nonproprietary Names for Pharmaceutical Substances (INN)" Vol. 20, No. 2, 2006, pages 115-119.			

Examiner	Date	
Signature	Considered	

EXAMINENT INITIAL IT REFERENCE CONSIDERED, WHETHER OF NOT CITATION IS IN COMPONIANCE WITH IMPER 609. Draw line through citation II not in comformance and not considered. Include copy of this form with next communication to applicant.

Electronic Patent Application Fee Transmittal						
Application Number:	14972560					
Filing Date:	17-Dec-2015					
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	Ge	orge D. YANCOPOL	JLOS			
Filer:	Kaı	l Bozicevic/Kimber	ly Zuehlke			
Attorney Docket Number:	REG	GN-008CIPCON				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
Extension-of-Time:						

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Miscellaneous:				
Submission- Information Disclosure Stmt	1806	1	180	180
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Electronic Acknowledgement Receipt					
EFS ID:	28193852				
Application Number:	14972560				
International Application Number:					
Confirmation Number:	5391				
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
First Named Inventor/Applicant Name:	George D. YANCOPOULOS				
Customer Number:	96387				
Filer:	Karl Bozicevic				
Filer Authorized By:					
Attorney Docket Number:	REGN-008CIPCON				
Receipt Date:	27-JAN-2017				
Filing Date:	17-DEC-2015				
Time Stamp:	17:20:24				
Application Type:	Utility under 35 USC 111(a)				

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8	Non Patent Literature	10.pdf	4d850858e11e81a82ad5ee00551c26af052 7b4c9	no	4
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			355939		
9	Non Patent Literature	REGN_Press_Release_12-20-20 10.pdf	e4129d71798dc8d367ece0908796165da46 5e102	no	4
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10	Non Patent Literature	REGN_Press_Release_First_Qua rter_2008.pdf	bd5f477c693193069b0a17a8c0d3c41b48c d89eb	no	1
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11	Non Patent Literature	REGN-008JP_prior_art_informa tion_5.pdf	1871aa5da800db5b9fc089af0dc5bfdc21cc a5f9		3
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12	Non Patent Literature	REGN-008JP_prior_art_informa tion_6.pdf	05b73549803b67afe5a753bebd2a34ad272 49a4b	no	3
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13	13 Non Patent Literature REGN-008JP_prior_art_informa tion_7.pdf		11fed31a4a57c75188e39d86bddd9541ccd b7ca0	no	3
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17	Fee Worksheet (SB06)	fee-info.pdf	3b1220e0f0557861e69462f99393d29f312f 69b0	no	2
			30788		
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16	Non Patent Literature  WHO_Drug_Information_2006 pdf		Sefa7f255ec64890bd7075102b69355ec8cf 14e6	no	1
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Warnings:		•		•	
15	Non Patent Literature	VEGF_Trap- Eye_in_Wet_AMD_CLEAR-IT_2. pdf	7d74af07276446abffa6530d14d947bedffc 5cb7	no	1
Information:			2768505		
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14	Non Patent Literature	Simo_and_Hernandez_Diabete s_Care_2009.pdf	0499984ab8fc61094abe7f11fae4a5cc97d0 3800	no	1
			125656		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

#### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

#### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

#### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

#### **INFORMATION** DISCLOSURE STATEMENT

**Commissioner for Patents** P.O. Box 1450 Alexandria, VA 22313-1450

Attorney Docket	REGN-008CIPCON	
First Named Inventor	YANCOPOULOS, GEORGE D.	
Application Number	14/972,560	
Confirmation No.	5391	
Filing Date	December 17, 2015	
Group Art Unit	1647	
Examiner Name	LOCKARD, JON MCCLELLAND	
Title: "Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders"		

Sir:

Applicants submit herewith documents which may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56. This submission is not intended to constitute an admission that any document referred to therein is "prior art" for this invention unless specifically designated as such. A listing of the documents is shown on enclosed Form PTO/SB/08A and copies of the non-patent literature are also enclosed.

The Examiner is requested to make the documents listed on the enclosed PTO/SB/08A of record in this application. Applicants would appreciate the Examiner initialing and returning the initialed copy of form PTO/SB/08A, indicating the document cited therein have been considered and made of record herein.

#### **Statements**

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$\boxtimes$	No statement
	PTA Statement under 37 CFR § 1.704(d)(1): Each item of information contained in the information disclosure statement filed herewith:
	(i) Was first cited in any communication from a patent office in a counterpart foreign or international application or from the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to the filing of the information disclosure statement; or
	(ii) Is a communication that was issued by a patent office in a counterpart foreign

or international application or by the Office, and this communication was not received by any individual designated in § 1.56(c) more than thirty days prior to

the filing of the information disclosure statement.

USSN: 14/972,560 Atty Docket No.: REGN-008CIPCON

IDS Statement under 37 CFR § 1.97(e)(1): Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement; or IDS Statement under 37 CFR § 1.97(e)(2): No item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement. <u>Fees</u> No fee is believed to be due.  $\boxtimes$ The appropriate fee set forth in 37 C.F.R. §1.17(p) accompanies this information disclosure statement. The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON. Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP By: Karl Bozicevic, Reg. No. 28,807/ Date: <u>27 January 2017</u> Karl Bozicevic Reg. No. 28,807

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#### **Electronically Filed**

AMENDMENT UNDER	Attorney Docket No.	REGN-008CIPCON
37 C.F.R. §1.111	Confirmation No.	5391
3-1-1-1	First Named Inventor	YANCOPOULOS, GEORGE D.
	Application Number	14/972,560
Address to:	Filing Date	December 17, 2015
Mail Stop Commissioner for Patents	Group Art Unit	1647
P.O. Box 1450 Alexandria, VA 22313-1450	Examiner Name	LOCKARD, JON MCCLELLAND
	Title: "Use of a VEGF Eye Disorders"	Antagonist to Treat Angiogenic

#### Sir:

This amendment is responsive to the Office Action dated August 10, 2016 for which a three-month period for response was given. A petition and fee for a three month extension of time is attached hereto making this response timely filed on or before February 10, 2017.

Amendments to the Claims begin on page 2 of this document.

Remarks/Arguments begin on page 4 of this document.

Atty Dkt. No.: REGN-008CIPCON

USSN: 14/972,560

#### **AMENDMENTS TO THE CLAIMS:**

1. (Previously Presented) A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;

wherein each secondary dose is administered 2 to 4 weeks after the immediately preceding dose; and

wherein each tertiary dose is administered on an as-needed/pro re nata (PRN) basis, based on visual and/or anatomical outcomes as assessed by a physician or other qualified medical professional;

wherein the VEGF antagonist is a receptor-based chimeric molecule comprising (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130-231 of SEQ ID NO:2; and (3) a multimerization component comprising amino acids 232-457 of SEQ ID NO:2.

- 2. (Original) The method of claim 1, wherein only a single secondary dose is administered to the patient, and wherein the single secondary dose is administered 4 weeks after the initial dose of the VEGF antagonist.
- 3. (Original) The method of claim 1, wherein only two secondary doses are administered to the patient, and wherein each secondary dose is administered 4 weeks after the immediately preceding dose.
  - 4. (Canceled)
  - 5. (Canceled)
- 6. (Original) The method of claim 1, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.
- 7. (Original) The method of claim 6, wherein the angiogenic eye disorder is age related macular degeneration.

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8. (Previously Presented) The method of claim 1, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.

#### 9. - 12. (**Canceled**)

- 13 (Previously Presented) The method of claim 2, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.
- 14. (Original) The method of claim 13, wherein the intraocular administration is intravitreal administration.

#### **15.** − **17.** (**Canceled**)

- 18. (Previously Presented) The method of claim 13, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.
- 19. (Original) The method of claim 18, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.
- 20. (Original) The method of claim 18, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.
- 21. (Previously Presented) The method of claim 1, wherein the VEGF antagonist is VEGFR1R2-FcΔC1(a) encoded by the nucleic acid sequence of SEQ ID NO:1.

REMARKS

#### **FORMAL MATTERS:**

Claims 1-3, 6-8, 13, 14 and 18-21 are now pending in this application.

Claims 4, 5, 9-12 and 15-17 were previously canceled without prejudice.

No claims are amended or added.

No new matter is added.

#### **STATEMENT UNDER 37 C.F.R. §§1.56 AND 1.2**

Applicants hereby advise the Examiner of the status of a co-pending application in compliance with the Applicant's duty to disclose under 37 C.F.R. §§1.56 and 1.2 ( see also MPEP §2001.06(b)) as discussed in *McKesson Info. Soln. Inc.*, *v. Bridge Medical Inc.*, 487 F.3d 897; 82 USPQ2d 1865 (Fed. Cir. 2007).

The Applicants wish to bring to the Examiner's attention that U.S. Patent Application 13/940,370, filed July 12, 2013 which issued on February 9, 2016 as U.S. Patent No. 9,254,338.

The Applicants wish to bring to the Examiner's attention that U.S. Patent Application 10/988,243, filed November 12, 2004 which issued on December 4, 2007 as U.S. Patent No. 7,303,746.

The Applicants wish to bring to the Examiner's attention that U.S. Patent Application

11/218,234, filed September 1, 2005 which issued on December 4, 2007 as U.S. Patent No. 7,303,747.

The Applicants wish to bring to the Examiner's attention that U.S. Patent Application

 $11/089,\!803, filed\ March\ 25,\ 2005\ which\ is sued\ on\ December\ 11,\ 2007\ as\ U.S.\ Patent\ No.\ 7,\!306,\!799.$ 

The Applicants wish to bring to the Examiner's attention that U.S. Patent Application 11/998,709, filed November 30, 2007 which issued on April 21, 2009 as U.S. Patent No. 7,521,049.

These documents are available on PAIR, and thus are not provided with this communication. Please inform the undersigned if there is any difficulty in obtaining the documents from PAIR.

Atty Dkt. No.: REGN-008CIPCON

USSN: 14/972,560

#### **DOUBLE PATENTING REJECTIONS - '338 PATENT**

Claims 1-3, 6-8, 13, 14 and 18-21 were rejected on the grounds of non-statutory obviousness-type double patenting over claims 1-26 of issued U.S. Patent 9,254,338.

Without acquiescing to the validity of the rejection, applicants have attached a terminal disclaimer which is specific to U.S Patent 9,254,338 thereby rendering the rejection moot.

#### DOUBLE PATENTING REJECTIONS - '746; '747; '799; AND '049 PATENTS

There are four additional obviousness type double patenting rejections.

In section 8 of the Office Action, claims 1-3, 6-8, 13, 14 and 18-21 are rejected on the grounds of non-statutory obviousness-type double patenting over claims 1-5 of issued U.S. Patent 7,303,746.

In section 9 of the Office Action, claims 1-3, 6-8, 13, 14, and 18-21 were rejected on the grounds of non-statutory obviousness-type double patenting over claims 1-6 of issued U.S. Patent 7,303,747.

In section 10 of the Office Action, claims 1-3, 6-8, 13, 14 and 18-21 were rejected on the grounds of non-statutory obviousness-type double patenting over claims 1-11 of issued U.S. Patent 7,306,799.

In section 11 of the Office Action, claims 1-3, 6-8, 13, 14 and 18-21 were rejected on the grounds of non-statutory obviousness-type double patenting as being unpatentable over claims 1-15 of issued U.S. Patent 7,521,049.

The rejections in sections 8, 9, 10 and 11 are traversed for the reasons indicated below and as further supported by the attached publication.

#### NON-OBVIOUSNESS RESPONSE

None of the '746, '747, '799 or '049 patents disclose the treatment protocol of the pending claims. Thus, based on the working examples set forth in the present application, along with the endorsement of the present invention as set out in the attached peer reviewed publication, as well as the facts and reasoning provided below, the rejection should be reconsidered and withdrawn.

At the time of the invention the well accepted standard of care for the treatment of the neovascular (or wet) form of age-related macular degeneration (AMD) was to administer an antibody formulation (ranibizumab) by injection to the eye once per month (see the attached Heier et al. paper).

This treatment protocol is (1) expensive; (2) painful to the patient; (3) inconvenient for the patient as well as the patient's family; (4) psychologically and physically traumatic to the patient; and

(5) subjects the patient to potential adverse effects such as infection with each treatment event.

Due to all the above factors (1-5) there was a need in the art for alternative treatment protocols whereby the treatment would be carried out with less inconvenience and reduced safety risks to the patient. However, until the present invention once a month treatment remained the standard of care.

There are virtually an infinite number of different treatment protocols that could be tested. A drug could be administered more frequently, or less frequently, relative to the accepted standard of care. Further, different variations in timing between dosing events are possible. Due to the virtually infinite number of combinations, applicants do not believe that the claimed treatment protocol is *prima facie* obvious in view of the prior art standard of care which is administration of the drug once per month. However, notwithstanding that position, any *prima facie* case of obviousness is overcome by the showing of improved unexpected results. Thus, while the rejection is citing case law (In re Aller) which supports the position that where the general conditions of a claim are disclosed within the prior art, it is not inventive to discover optimal ranges, the Examiner is aware that this case law is not applicable to situations where improved unexpected results are shown (MPEP 2145). Such results have been obtained and are described in the working examples of the present application and in the attached publication, portions of which are referred to below.

Applicants do not acquiesce to the *prima facie* obviousness of the claimed invention over the invention claimed within the cited patents. This is because there are virtually an infinite number of different possible treatment protocols. However, notwithstanding any *prima facie* case of obviousness, applicants have demonstrated improved and unexpected results, and based on such, the rejections should be reconsidered and withdrawn.

The attached Heier et al. paper published in December of 2012, and as such is not prior art with respect to the present application filed on January 11, 2012 and claiming priority to November 21, 2011.

The Heier et al. paper shows results of a treatment protocol of the type claimed on over 2,400 patients. The studies summarized in the Heier *et al.* paper correspond to the clinical trials disclosed in Example 4 of the present application which involve the use of the VEGF receptor-based chimeric molecule known as aflibercept or "VEGF Trap." The results clearly show that by administering the VEGF antagonist in accordance with a dosage regimen as claimed in independent claim 1, it is possible to treat angiogenic eye disorders such as AMD while administering doses on a less frequent basis than previously thought possible. This provides enormous benefits to patients, reduces health care cost,

<sup>&</sup>lt;sup>1</sup> Aflibercept is a VEGF receptor-based chimeric molecule as defined in the claims and specifically in claims 1 and 21.

reduces the pain and suffering of the patient, as well as the inconvenience to the patient and their family, and as such provides a major step forward in the treatment of patients suffering from angiogenic eye disorders, which is worthy of patent protection.

The attached Heier et al. article is a peer reviewed article published in "Ophthalmology" which describes the aforementioned clinical trial as follows:

"Patients were randomized in a 1:1:1:1 ratio to the following regimens: 0.5 mg aflibercept every 4 weeks (0.5q4); 2 mg aflibercept every 4 weeks (2q4); 2mg aflibercept every 8 weeks (2q8) after 3 injections at week 0, 4 and 8 (to maintain masking, sham injections were given at the interim 4-week visits after week 8); or 0.5mg ranibizumab every 4 weeks (Rq4). Consecutively enrolled patients were assigned to treatment groups on the basis of predetermined central randomization scheme with balanced allocation, managed by an interactive voice response system."

In the "primary end point analysis" section of the paper, it is indicated that the proportion of patients maintaining vision was similar among all treatment groups and this is dramatically shown within Figure 2. Thus, the results show that the treatment groups which were compared with the monthly treatment groups surprisingly did not obtain an inferior result. As such, the PRN treatment protocol as encompassed by the presently pending independent claim 1 achieves results which are as good or better than the results obtained with monthly treatment.

Within the "Discussion" section of the Heier et al. paper, it is noted that the treatment group treated every two months achieved a visual acuity score within 0.3 letters of the group treated on a monthly basis. See also the results summarized in Table 1, page 15, of the present application. Thus, it is indicated that the treatment group which received the drug far less frequently than the monthly dosing arm achieved remarkably similar improvements without requiring the monthly monitoring and visits to the health care provider.

Similar remarkable results are shown in Example 5 of the present application, which illustrates an administration regimen encompassed by claim 1 (*i.e.*, 3 initial doses of VEGF Trap administered once every four weeks, followed by additional doses administered as needed (PRN)) for the effective treatment of diabetic macular edema (DME). As noted at paragraph [0065] of the present specification: "the administration of VEGFT to patients suffering from angiogenic eye disorders (*e.g.*, AMD and

DME) at a frequency of once every 8 weeks, following a single initial dose and two secondary doses administered four weeks apart, resulted in significant prevention of moderate or severe vision loss or improvements in visual acuity."

An acknowledgement of the unexpected results of the administration regimen of the present invention is echoed in the Heier et al. paper, which points out that less frequent injections should also provide an ocular safety benefit, and that using fewer injections may substantially decrease the cumulative population risk of certain adverse events which can have a considerable impact considering the millions of injections given each year. For example, Heier et al. states on page 2546, middle left column that:

"The demonstration that monthly aflibercept provides similar efficacy and safety as the current approved standard of monthly ranibizumab is important, but the finding that remarkably similar improvement in vision and anatomic measures can be achieved with less than monthly intravitreal aflibercept injections and without requiring monthly monitoring visits provides an important advance for both patients and their treating physicians."

Moreover, the final paragraph of the Heier et al. paper reads as follows:

"In conclusion, intravitreal aflibercept dosed monthly or every 2 months after 3 initial monthly doses resulted in similar visual and anatomic outcomes as ranibizumab dosed monthly, as well as similar safety and tolerability. Intravitreal aflibercept dosed every 2 months has the potential to provide patients, their families and clinicians the opportunity for the optimal vision gains and anatomic disease control they have come to expect from monthly ranibizumab, with a substantially decreased treatment and compliance burden, and a lower cumulative risk of injection-related adverse events."

Based on the above, it is clear that the claimed treatment protocol provides enormous advantages to patients. Further, in view of the disadvantages of carrying out the treatment on a once per month basis, there was a need in the art for alternative treatment protocols. However, this did not occur until the present invention and as such, the claimed treatment protocol is inventive above and beyond the inventions claimed within the patents cited in the obviousness type double patenting rejection. In view of such, those rejections should be reconsidered and withdrawn.

Atty Dkt. No.: REGN-008CIPCON

USSN: 14/972,560

Applicants do not acquiesce to the *prima facie* obviousness of the claimed invention over the invention claimed within the cited patents. This is because there are virtually an infinite number of different possible treatment protocols. However, notwithstanding any *prima facie* case of obviousness, applicants have demonstrated improved and unexpected results, and based on such, the rejections should be reconsidered and withdrawn.

**CONCLUSION** 

Applicant submits that all of the claims are in condition for allowance, which action is requested. If the Examiner finds that a telephone conference would expedite the prosecution of this application,

please telephone the undersigned at the number provided.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No.

50-0815, order number REGN-008CIPCON.

Respectfully submitted, BOZICEVIC, FIELD & FRANCIS LLP

Date: 30 January 201'	7
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By: /Karl Bozicevic, Reg. No. 28,807/
Karl Bozicevic, Reg. No. 28,807

Enclosures: (1) Heier et al.

(2) U.S. Patent No. 9,254,338

(3) Terminal Disclaimer

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US009254338B2

### (12) United States Patent

#### **Yancopoulos**

# (10) Patent No.: US 9,254,338 B2 (45) Date of Patent: Feb. 9, 2016

#### (54) USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

#### (71) Applicant: REGENERON

PHARMACEUTICALS, INC.,

Tarrytown, NY (US)

(72) Inventor: George D. Yancopoulos, Yorktown

Heights, NY (US)

(73) Assignee: Regeneron Pharmaceuticals, Inc.,

Tarrytown, NY (US)

(\*) Notice: Subject to any disclaimer, the term of this

patent is extended or adjusted under 35

U.S.C. 154(b) by 132 days.

(21) Appl. No.: 13/940,370

(22) Filed: Jul. 12, 2013

#### (65) Prior Publication Data

US 2013/0295094 A1 Nov. 7, 2013

#### Related U.S. Application Data

- (63) Continuation-in-part of application No. PCT/US2012/020855, filed on Jan. 11, 2012.
- (60) Provisional application No. 61/432,245, filed on Jan. 13, 2011, provisional application No. 61/434,836, filed on Jan. 21, 2011, provisional application No. 61/561,957, filed on Nov. 21, 2011.

(51)	Int. Cl.	
	A61K 38/18	(2006.01)
	C07K 14/71	(2006.01)
	A61K 47/48	(2006.01)
	A61K 38/17	(2006.01)
	C07K 16/22	(2006.01)

(52) U.S. Cl.

A61K 39/00

CPC ....... A61K 47/48415 (2013.01); A61K 38/179 (2013.01); C07K 14/71 (2013.01); C07K 16/22 (2013.01); A61K 2039/505 (2013.01)

(2006.01)

#### (58) Field of Classification Search

None

See application file for complete search history.

#### (56) References Cited

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Do et al., "An exploratory study of the safety, tolerability and bioactivity of a single intravitreal injection of vascular endothelial growth factor Trap-Eye in patients with diabetic macular oedema" Br J Opthamol. 93(2):144-1449 (Feb. 2009).

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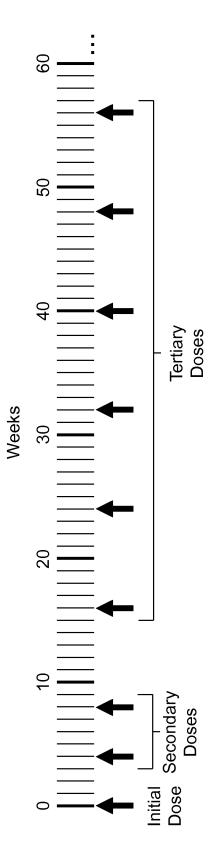
Primary Examiner — Christine J Saoud Assistant Examiner — Jon M Lockard (74) Attorney, Agent, or Firm — Frank Cottingham; Karl

#### (57) ABSTRACT

**Bozicevic** 

The present invention provides methods for treating angiogenic eye disorders by sequentially administering multiple doses of a VEGF antagonist to a patient. The methods of the present invention include the administration of multiple doses of a VEGF antagonist to a patient at a frequency of once every 8 or more weeks. The methods of the present invention are useful for the treatment of angiogenic eye disorders such as age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.

#### 26 Claims, 1 Drawing Sheet



1

# USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application is a continuation-in-part of International Patent Application No. PCT/US2012/020855, filed on Jan. 11, 2012, which claims the benefit of U.S. Provisional Application Nos. 61/432,245, filed on Jan. 13, 2011, 61/434,836, filed on Jan. 21, 2011, and 61/561,957, filed on Nov. 21, 2011, the contents of which are hereby incorporated by reference in their entireties.

#### FIELD OF THE INVENTION

The present invention relates to the field of therapeutic treatments of eye disorders. More specifically, the invention relates to the administration of VEGF antagonists to treat eye disorders caused by or associated with angiogenesis.

#### BACKGROUND

Several eye disorders are associated with pathological angiogenesis. For example, the development of age-related 25 macular degeneration (AMD) is associated with a process called choroidal neovascularization (CNV). Leakage from the CNV causes macular edema and collection of fluid beneath the macula resulting in vision loss. Diabetic macular edema (DME) is another eye disorder with an angiogenic <sup>30</sup> component. DME is the most prevalent cause of moderate vision loss in patients with diabetes and is a common complication of diabetic retinopathy, a disease affecting the blood vessels of the retina. Clinically significant DME occurs when fluid leaks into the center of the macula, the light-sensitive 35 part of the retina responsible for sharp, direct vision. Fluid in the macula can cause severe vision loss or blindness. Yet another eye disorder associated with abnormal angiogenesis is central retinal vein occlusion (CRVO). CRVO is caused by obstruction of the central retinal vein that leads to a back-up 40 of blood and fluid in the retina. The retina can also become ischemic, resulting in the growth of new, inappropriate blood vessels that can cause further vision loss and more serious complications. Release of vascular endothelial growth factor (VEGF) contributes to increased vascular permeability in the 45 eye and inappropriate new vessel growth. Thus, inhibiting the angiogenic-promoting properties of VEGF appears to be an effective strategy for treating angiogenic eye disorders.

FDA-approved treatments of angiogenic eye disorders such as AMD and CRVO include the administration of an 50 anti-VEGF antibody called ranibizumab (Lucentis®, Genentech, Inc.) on a monthly basis by intravitreal injection.

Methods for treating eye disorders using VEGF antagonists are mentioned in, e.g., U.S. Pat. Nos. 7,303,746; 7,306, 799; 7,300,563; 7,303,748; and US 2007/0190058. Nonetheless, there remains a need in the art for new administration regimens for angiogenic eye disorders, especially those which allow for less frequent dosing while maintaining a high level of efficacy.

#### BRIEF SUMMARY OF THE INVENTION

The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise sequentially administering multiple doses of a VEGF antagonist to a patient over time. In particular, the methods of the invention comprise sequentially administering to the patient a

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single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonists. The present inventors have surprisingly discovered that beneficial therapeutic effects can be achieved in patients suffering from angiogenic eye disorders by administering a VEGF antagonist to a patient at a frequency of once every 8 or more weeks, especially when such doses are preceded by about three doses administered to the patient at a frequency of about 2 to 4 weeks. Thus, according to the methods of the present invention, each secondary dose of VEGF antagonist is administered 2 to 4 weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 weeks after the immediately preceding dose. An example of a dosing regimen of the present invention is shown in FIG. 1. One advantage of such a dosing regimen is that, for most of the course of treatment (i.e., the tertiary doses), it allows for less frequent dosing (e.g., once every 8 weeks) compared to prior administration regimens for angiogenic eye disorders which require monthly administrations throughout the entire course of treatment. (See, e.g., prescribing information for Lucentis® [ranibizumab], Genentech, Inc.).

The methods of the present invention can be used to treat any angiogenic eye disorder, including, e.g., age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, corneal neovascularization, etc.

The methods of the present invention comprise administering any VEGF antagonist to the patient. In one embodiment, the VEGF antagonist comprises one or more VEGF receptor-based chimeric molecule(s), (also referred to herein as a "VEGF-Trap" or "VEGFT"). An exemplary VEGF antagonist that can be used in the context of the present invention is a multimeric VEGF-binding protein comprising two or more VEGF receptor-based chimeric molecules referred to herein as "VEGFR1R2-FcAC1(a)" or "aflibercept."

Various administration routes are contemplated for use in the methods of the present invention, including, e.g., topical administration or intraocular administration (e.g., intravitreal administration).

Aflibercept (EYLEA<sup>TM</sup>, Regeneron Pharmaceuticals, Inc) was approved by the FDA in November 2011, for the treatment of patients with neovascular (wet) age-related macular degeneration, with a recommended dose of 2 mg administered by intravitreal injection every 4 weeks for the first three months, followed by 2 mg administered by intravitreal injection once every 8 weeks.

Other embodiments of the present invention will become apparent from a review of the ensuing detailed description.

#### BRIEF DESCRIPTION OF THE FIGURE

FIG. 1 shows an exemplary dosing regimen of the present invention. In this regimen, a single "initial dose" of VEGF antagonist ("VEGFT") is administered at the beginning of the treatment regimen (i.e. at "week 0"), two "secondary doses" are administered at weeks 4 and 8, respectively, and at least six "tertiary doses" are administered once every 8 weeks 60 thereafter, i.e., at weeks 16, 24, 32, 40, 48, 56, etc.).

#### DETAILED DESCRIPTION

Before the present invention is described, it is to be understood that this invention is not limited to particular methods and experimental conditions described, as such methods and conditions may vary. It is also to be understood that the

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terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting, since the scope of the present invention will be limited only by the appended claims.

Unless defined otherwise, all technical and scientific terms 5 used herein have the same meaning as commonly understood by one of ordinary skill in the art to which this invention belongs. As used herein, the term "about," when used in reference to a particular recited numerical value, means that the value may vary from the recited value by no more than 1%. For example, as used herein, the expression "about 100" includes 99 and 101 and all values in between (e.g., 99.1, 99.2, 99.3, 99.4, etc.).

Although any methods and materials similar or equivalent to those described herein can be used in the practice or testing of the present invention, the preferred methods and materials are now described.

#### Dosing Regimens

The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise 20 sequentially administering to a patient multiple doses of a VEGF antagonist. As used herein, "sequentially administering" means that each dose of VEGF antagonist is administered to the patient at a different point in time, e.g., on different days separated by a predetermined interval (e.g., hours, 25 days, weeks or months). The present invention includes methods which comprise sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist.

The terms "initial dose," "secondary doses," and "tertiary doses," refer to the temporal sequence of administration of the VEGF antagonist. Thus, the "initial dose" is the dose which is administered at the beginning of the treatment regimen (also referred to as the "baseline dose"); the "secondary doses" are the doses which are administered after the initial dose; and the "tertiary doses" are the doses which are administered after the secondary doses. The initial, secondary, and tertiary doses may all contain the same amount of VEGF antagonist, but will generally differ from one another in terms of frequency of administration. In certain embodiments, however, the amount of VEGF antagonist contained in the initial, secondary and/or tertiary doses will vary from one another (e.g., adjusted up or down as appropriate) during the course of

In one exemplary embodiment of the present invention, each secondary dose is administered 2 to 4 (e.g., 2, 2½, 3, 3½, or 4) weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 (e.g., 8, 8½, 9, 9½, 10, 10½, 11, 11½, 12, 12½, 13, 13½, 14, 14½, or more) weeks after the immediately preceding dose. The phrase "the immediately preceding dose," as used herein, means, in a sequence of multiple administrations, the dose of VEGF antagonist which is administered to a patient prior to the administration of the very next dose in the sequence with no intervening 55 doses.

In one exemplary embodiment of the present invention, a single initial dose of a VEGF antagonist is administered to a patient on the first day of the treatment regimen (i.e., at week 0), followed by two secondary doses, each administered four weeks after the immediately preceding dose (i.e., at week 4 and at week 8), followed by at least 5 tertiary doses, each administered eight weeks after the immediately preceding dose (i.e., at weeks 16, 24, 32, 40 and 48). The tertiary doses may continue (at intervals of 8 or more weeks) indefinitely 65 during the course of the treatment regimen. This exemplary administration regimen is depicted graphically in FIG. 1.

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The methods of the invention may comprise administering to a patient any number of secondary and/or tertiary doses of a VEGF antagonist. For example, in certain embodiments, only a single secondary dose is administered to the patient. In other embodiments, two or more (e.g., 2, 3, 4, 5, 6, 7, 8, or more) secondary doses are administered to the patient. Likewise, in certain embodiments, only a single tertiary dose is administered to the patient. In other embodiments, two or more (e.g., 2, 3, 4, 5, 6, 7, 8, or more) tertiary doses are administered to the patient.

In embodiments involving multiple secondary doses, each secondary dose may be administered at the same frequency as the other secondary doses. For example, each secondary dose may be administered to the patient 4 weeks after the immediately preceding dose. Similarly, in embodiments involving multiple tertiary doses, each tertiary dose may be administered at the same frequency as the other tertiary doses. For example, each tertiary dose may be administered to the patient 8 weeks after the immediately preceding dose. Alternatively, the frequency at which the secondary and/or tertiary doses are administered to a patient can vary over the course of the treatment regimen. For example, the present invention includes methods which comprise administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by at least 5 tertiary doses of the VEGF antagonist, wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered from 8 to 12 (e.g., 8, 8½, 9, 9½, 10, 10½, 11, 11½, 12) weeks after the immediately preceding dose. The frequency of administration may also be adjusted during the course of treatment by a physician depending on the needs of the individual patient following clinical examination.

#### VEGF Antagonists

The methods of the present invention comprise administering to a patient a VEGF antagonist according to specified dosing regimens. As used herein, the expression "VEGF antagonist" means any molecule that blocks, reduces or interferes with the normal biological activity of VEGF.

VEGF antagonists include molecules which interfere with the interaction between VEGF and a natural VEGF receptor, e.g., molecules which bind to VEGF or a VEGF receptor and prevent or otherwise hinder the interaction between VEGF and a VEGF receptor. Specific exemplary VEGF antagonists include anti-VEGF antibodies, anti-VEGF receptor antibodies, and VEGF receptor-based chimeric molecules (also referred to herein as "VEGF-Traps").

VEGF receptor-based chimeric molecules include chimeric polypeptides which comprise two or more immunoglobulin (Ig)-like domains of a VEGF receptor such as VEGFR1 (also referred to as Flt1) and/or VEGFR2 (also referred to as Flk1 or KDR), and may also contain a multimerizing domain (e.g., an Fc domain which facilitates the multimerization [e.g., dimerization] of two or more chimeric polypeptides). An exemplary VEGF receptor-based chimeric molecule is a molecule referred to as VEGFR1R2-FcΔC1(a) which is encoded by the nucleic acid sequence of SEQ ID NO:1. VEGFR1R2-FcΔC1(a) comprises three components: (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130 to 231 of SEQ ID NO:2; and (3) a multimerization component ("FcΔC1(a)") comprising amino acids 232 to 457 of SEQ ID NO:2 (the C-terminal amino acid of SEQ ID NO:2 [i.e., K458] may or may not be included in the VEGF antago5

nist used in the methods of the invention; see e.g., U.S. Pat. No. 7,396,664). Amino acids 1-26 of SEQ ID NO:2 are the signal sequence.

The VEGF antagonist used in the Examples set forth herein below is a dimeric molecule comprising two VEGFR1R2-FcΔC1(a) molecules and is referred to herein as "VEGFT." Additional VEGF receptor-based chimeric molecules which can be used in the context of the present invention are disclosed in U.S. Pat. Nos. 7,396,664, 7,303,746 and WO 00/75319

#### Angiogenic Eye Disorders

The methods of the present invention can be used to treat any angiogenic eye disorder. The expression "angiogenic eye disorder," as used herein, means any disease of the eye which is caused by or associated with the growth or proliferation of blood vessels or by blood vessel leakage. Non-limiting examples of angiogenic eye disorders that are treatable using the methods of the present invention include age-related macular degeneration (e.g., wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion 20 (CRVO; e.g., macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; e.g., myopic CNV), iris neovascularization, neovascular glaucoma, post-surgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), 25 optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, and diabetic retinopa-

#### Pharmaceutical Formulations

The present invention includes methods in which the VEGF antagonist that is administered to the patient is contained within a pharmaceutical formulation. The pharmaceutical formulation may comprise the VEGF antagonist along with at least one inactive ingredient such as, e.g., a pharma- 35 ceutically acceptable carrier. Other agents may be incorporated into the pharmaceutical composition to provide improved transfer, delivery, tolerance, and the like. The term "pharmaceutically acceptable" means approved by a regulatory agency of the Federal or a state government or listed in 40 the U.S. Pharmacopeia or other generally recognized pharmacopeia for use in animals, and more particularly, in humans. The term "carrier" refers to a diluent, adjuvant, excipient, or vehicle with which the antibody is administered. A multitude of appropriate formulations can be found in the 45 formulary known to all pharmaceutical chemists: Remington's Pharmaceutical Sciences (15th ed, Mack Publishing Company, Easton, Pa., 1975), particularly Chapter 87 by Blaug, Seymour, therein. These formulations include, for example, powders, pastes, ointments, jellies, waxes, oils, lip- 50 ids, lipid (cationic or anionic) containing vesicles (such as LIPOFECTIN<sup>TM</sup>), DNA conjugates, anhydrous absorption pastes, oil-in-water and water-in-oil emulsions, emulsions carbowax (polyethylene glycols of various molecular weights), semi-solid gels, and semi-solid mixtures containing carbowax. Any of the foregoing mixtures may be appropriate in the context of the methods of the present invention, provided that the VEGF antagonist is not inactivated by the formulation and the formulation is physiologically compatible and tolerable with the route of administration. See also 60 Powell et al. PDA (1998) J Pharm Sci Technol. 52:238-311 and the citations therein for additional information related to excipients and carriers well known to pharmaceutical chem-

Pharmaceutical formulations useful for administration by 65 injection in the context of the present invention may be prepared by dissolving, suspending or emulsifying a VEGF

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antagonist in a sterile aqueous medium or an oily medium conventionally used for injections. As the aqueous medium for injections, there are, for example, physiological saline, an isotonic solution containing glucose and other auxiliary agents, etc., which may be used in combination with an appropriate solubilizing agent such as an alcohol (e.g., ethanol), a polyalcohol (e.g., propylene glycol, polyethylene glycol), a nonionic surfactant [e.g., polysorbate 80, HCO-50 (polyoxyethylene (50 mol) adduct of hydrogenated castor oil)], etc. As the oily medium, there may be employed, e.g., sesame oil, soybean oil, etc., which may be used in combination with a solubilizing agent such as benzyl benzoate, benzyl alcohol, etc. The injection thus prepared can be filled in an appropriate ampoule if desired.

#### Modes of Administration

The VEGF antagonist (or pharmaceutical formulation comprising the VEGF antagonist) may be administered to the patient by any known delivery system and/or administration method. In certain embodiments, the VEGF antagonist is administered to the patient by ocular, intraocular, intravitreal or subconjunctival injection. In other embodiments, the VEGF antagonist can be administered to the patient by topical administration, e.g., via eye drops or other liquid, gel, ointment or fluid which contains the VEGF antagonist and can be applied directly to the eye. Other possible routes of administration include, e.g., intradermal, intramuscular, intraperitoneal, intravenous, subcutaneous, intranasal, epidural, and oral.

#### Amount of VEGF Antagonist Administered

Each dose of VEGF antagonist administered to the patient over the course of the treatment regimen may contain the same, or substantially the same, amount of VEGF antagonist. Alternatively, the quantity of VEGF antagonist contained within the individual doses may vary over the course of the treatment regimen. For example, in certain embodiments, a first quantity of VEGF antagonist is administered in the initial dose, a second quantity of VEGF antagonist is administered in the secondary doses, and a third quantity of VEGF antagonist is administered in the tertiary doses. The present invention contemplates dosing schemes in which the quantity of VEGF antagonist contained within the individual doses increases over time (e.g., each subsequent dose contains more VEGF antagonist than the last), decreases over time (e.g., each subsequent dose contains less VEGF antagonist than the last), initially increases then decreases, initially decreases then increases, or remains the same throughout the course of the administration regimen.

The amount of VEGF antagonist administered to the patient in each dose is, in most cases, a therapeutically effective amount. As used herein, the phrase "therapeutically effective amount" means a dose of VEGF antagonist that results in a detectable improvement in one or more symptoms or indicia of an angiogenic eye disorder, or a dose of VEGF antagonist that inhibits, prevents, lessens, or delays the progression of an angiogenic eye disorder. In the case of an anti-VEGF antibody or a VEGF receptor-based chimeric molecule such as VEGFR1R2-FcΔC1(a), a therapeutically effective amount can be from about 0.05 mg to about 5 mg, e.g., about 0.05 mg, about 0.1 mg, about 0.15 mg, about 0.2 mg, about 0.25 mg, about 0.3 mg, about 0.35 mg, about 0.4 mg, about 0.45 mg, about 0.5 mg, about 0.55 mg, about 0.6 mg, about 0.65 mg, about 0.7 mg, about 0.75 mg, about 0.8 mg, about 0.85 mg, about 0.9 mg, about 1.0 mg, about 1.05 mg, about 1.1 mg, about 1.15 mg, about 1.2 mg, about 1.25 mg, about 1.3 mg, about 1.35 mg, about 1.4 mg, about 1.45 mg, about 1.5 mg, about 1.55 mg, about 1.6 mg, about 1.65 mg, about 1.7 mg, about 1.75 mg, about 1.8 mg, about 1.85

mg, about 1.9 mg, about 2.0 mg, about 2.05 mg, about 2.1 mg, about 2.15 mg, about 2.2 mg, about 2.25 mg, about 2.3 mg, about 2.35 mg, about 2.4 mg, about 2.45 mg, about 2.5 mg, about 2.55 mg, about 2.6 mg, about 2.65 mg, about 2.7 mg, about 2.75 mg, about 2.8 mg, about 2.85 mg, about 2.9 mg, about 3.0 mg, about 3.5 mg, about 4.0 mg, about 4.5 mg, or about 5.0 mg of the antibody or receptor-based chimeric molecule.

The amount of VEGF antagonist contained within the individual doses may be expressed in terms of milligrams of antibody per kilogram of patient body weight (i.e., mg/kg). For example, the VEGF antagonist may be administered to a patient at a dose of about 0.0001 to about 10 mg/kg of patient body weight.

Treatment Population and Efficacy

The methods of the present invention are useful for treating angiogenic eye disorders in patients that have been diagnosed with or are at risk of being afflicted with an angiogenic eye disorder. Generally, the methods of the present invention demonstrate efficacy within 104 weeks of the initiation of the  $\,^{20}$ treatment regimen (with the initial dose administered at "week 0"), e.g., by the end of week 16, by the end of week 24, by the end of week 32, by the end of week 40, by the end of week 48, by the end of week 56, etc. In the context of methods for treating angiogenic eye disorders such as AMD, CRVO, and DME, "efficacy" means that, from the initiation of treatment, the patient exhibits a loss of 15 or fewer letters on the Early Treatment Diabetic Retinopathy Study (ETDRS) visual acuity chart. In certain embodiments, "efficacy" means a gain of one or more (e.g., 1, 2, 3, 4, 5, 6, 7, 8, 9, 10, 11 or more) letters on the ETDRS chart from the time of initiation of treatment.

### **EXAMPLES**

The following examples are put forth so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the methods and compositions of the invention, and are not intended to limit the scope of what the inventors regard as their invention. Efforts have 40 been made to ensure accuracy with respect to numbers used (e.g., amounts, temperature, etc.) but some experimental errors and deviations should be accounted for. Unless indicated otherwise, parts are parts by weight, molecular weight is average molecular weight, temperature is in degrees Cen- 45 tigrade, and pressure is at or near atmospheric. The exemplary VEGF antagonist used in all Examples set forth below is a dimeric molecule having two functional VEGF binding units. Each functional binding unit is comprised of Ig domain 2 from VEGFR1 fused to Ig domain 3 from VEGFR2, which in 50 turn is fused to the hinge region of a human IgG1 Fc domain (VEGFR1R2-FcΔC1(a); encoded by SEQ ID NO:1). This VEGF antagonist is referred to in the examples below as "VEGFT". For purposes of the following Examples, "monthly" dosing is equivalent to dosing once every four 55 weeks.

### Example 1

Phase I Clinical Trial of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

In this Phase I study, 21 subjects with neovascular AMD received a single intravitreal (IVT) dose of VEGFT. Five 65 groups of three subjects each received either 0.05, 0.15, 0.5, 2 or 4 mg of VEGFT, and a sixth group of six subjects received

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1 mg. No serious adverse events related to the study drug, and no identifiable intraocular inflammation was reported. Preliminary results showed that, following injection of VEGFT, a rapid decrease in foveal thickness and macular volume was observed that was maintained through 6 weeks. At Day 43 across all dose groups, mean excess retinal thickness [excess retinal thickness=(retinal thickness–179μ)] on optical coherence tomography (OCT) was reduced from 119μ to 27μ as assessed by Fast Macular Scan and from 194μ to 60μ as assessed using a single Posterior Pole scan. The mean increase in best corrected visual acuity (BCVA) was 4.75 letters, and BCVA was stable or improved in 95% of subjects. In the 2 highest dose groups (2 and 4 mg), the mean increase in BCVA was 13.5 letters, with 3 of 6 subjects demonstrating improvement of >3 lines.

### Example 2

Phase II Clinical Trial of Repeated Doses of Intravitreally Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

This study was a double-masked, randomized study of 3 doses (0.5, 2, and 4 mg) of VEGFT tested at 4-week and/or 12-week dosing intervals. There were 5 treatment arms in this study, as follows: 1) 0.5 mg every 4 weeks, 2) 0.5 mg every 12 weeks, 3) 2 mg every 4 weeks, 4) 2 mg every 12 weeks and 5) 4 mg every 12 weeks. Subjects were dosed at a fixed interval for the first 12 weeks, after which they were evaluated every 4 weeks for 9 months, during which additional doses were administered based on pre-specified criteria. All subjects were then followed for one year after their last dose of VEGFT. Preliminary data from a pre-planned interim analysis indicated that VEGFT met its primary endpoint of a statistically significant reduction in retinal thickness after 12 weeks compared with baseline (all groups combined, decrease of 135μ, p<0.0001). Mean change from baseline in visual acuity, a key secondary endpoint of the study, also demonstrated statistically significant improvement (all groups combined, increase of 5.9 letters, p<0.0001). Moreover, patients in the dose groups that received only a single dose, on average, demonstrated a decrease in excess retinal thickness (p<0.0001) and an increase in visual acuity (p=0.012) at 12 weeks. There were no drug-related serious adverse events, and treatment with the VEGF antagonists was generally well-tolerated. The most common adverse events were those typically associated with intravitreal injections.

### Example 3

Phase I Clinical Trial of Systemically Administered VEGF Receptor-Based Chimeric Molecule (VEGFT) in Subjects with Neovascular AMD

This study was a placebo-controlled, sequential-group, dose-escalating safety, tolerability and bioeffect study of VEGFT by IV infusion in subjects with neovascular AMD. Groups of 8 subjects meeting eligibility criteria for subfoveal choroidal neovascularization (CNV) related to AMD were assigned to receive 4 IV injections of VEGFT or placebo at dose levels of 0.3, 1, or 3 mg/kg over an 8-week period.

Most adverse events that were attributed to VEGFT were mild to moderate in severity, but 2 of 5 subjects treated with 3 mg/kg experienced dose-limiting toxicity (DLT) (one with Grade 4 hypertension and one with Grade 2 proteinuria); therefore, all subjects in the 3 mg/kg dose group did not enter

the study. The mean percent changes in excess retinal thickness were: -12%, -10%, -66%, and -60% for the placebo, 0.3, 1, and 3 mg/kg dose groups at day 15 (ANOVA p<0.02), and -5.6%, +47.1%, and -63.3% for the placebo, 0.3, and 1 mg/kg dose groups at day 71 (ANOVA p<0.02). There was a numerical improvement in BCVA in the subjects treated with VEGFT. As would be expected in such a small study, the results were not statistically significant.

### Example 4

Phase III Clinical Trials of the Efficacy, Safety, and Tolerability of Repeated Doses of Intravitreal VEGFT in Subjects with Neovascular Age-Related Macular Degeneration

A. Objectives, Hypotheses and Endpoints

Two parallel Phase III clinical trials were carried out to investigate the use of VEGFT to treat patients with the neovascular form of age-related macular degeneration (Study 1 and Study 2). The primary objective of these studies was to assess the efficacy of IVT administered VEGFT compared to assess the efficacy of IVT administered VEGFT compared to ranibizumab (Lucentis®, Genentech, Inc.), in a non-inferiority paradigm, in preventing moderate vision loss in subjects with all subtypes of neovascular AMD.

The secondary objectives were (a) to assess the safety and tolerability of repeated IVT administration of VEGFT in subjects with all sub-types of neovascular AMD for periods up to 2 years; and (b) to assess the effect of repeated IVT administration of VEGFT on Vision-Related Quality of Life (QOL) 30 in subjects with all sub-types of neovascular AMD.

The primary hypothesis of these studies was that the proportion of subjects treated with VEGFT with stable or improved BCVA (<15 letters lost) is similar to the proportion treated with ranibizumab who have stable or improved 35 BCVA, thereby demonstrating non-inferiority.

The primary endpoint for these studies was the prevention of vision loss of greater than or equal to 15 letters on the ETDRS chart, compared to baseline, at 52 weeks. Secondary endpoints were as follows: (a) change from baseline to Week 52 in letter score on the ETDRS chart; (b) gain from baseline to Week 52 of 15 letters or more on the ETDRS chart; (c) change from baseline to Week 52 in total NEI VFQ-25 score; and (d) change from baseline to Week 52 in CNV area.

B. Study Design 45

For each study, subjects were randomly assigned in a 1:1: 1:1 ratio to 1 of 4 dosing regimens: (1) 2 mg VEGFT administered every 4 weeks (2Q4); (2) 0.5 mg VEGFT administered every 4 weeks (0.5Q4); (3) 2 mg VEGFT administered every 4 weeks (0.5Q4); (3) 2 mg VEGFT administered every 4 weeks to week 8 and then every 8 weeks (with sham injection at the interim 4-week visits when study drug was not administered (2Q8); and (4) 0.5 mg ranibizumab administered every 4 weeks (RQ4). Subjects assigned to (2Q8) received the 2 mg injection every 4 weeks to week 8 and then a sham injection at interim 4-week visits (when study drug is not to be administered) during the first 52 weeks of the studies. (No sham injection were given at Week 52).

The study duration for each subject was scheduled to be 96 weeks plus the recruitment period. For the first 52 weeks (Year 1), subjects received an IVT or sham injection in the 60 study eye every 4 weeks. (No sham injections were given at Week 52). During the second year of the study, subjects will be evaluated every 4 weeks and will receive IVT injection of study drug at intervals determined by specific dosing criteria, but at least every 12 weeks. (During the second year of the 5 study, sham injections will not be given.) During this period, injections may be given as frequently as every 4 weeks, but no

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less frequently than every 12 weeks, according to the following criteria: (i) increase in central retinal thickness of >100 µm compared to the lowest previous value as measured by optical coherence tomography (OCT); or (ii) a loss from the 5 best previous letter score of at least 5 ETDRS letters in conjunction with recurrent fluid as indicated by OCT; or (iii) new or persistent fluid as indicated by OCT; or (iv) new onset classic neovascularization, or new or persistent leak on fluorescein angiography (FA); or (v) new macular hemorrhage; or (vi) 12 weeks have elapsed since the previous injection. According to the present protocol, subjects must receive an injection at least every 12 weeks.

Subjects were evaluated at 4 weeks intervals for safety and best corrected visual acuity (BCVA) using the 4 meter ETDRS protocol. Quality of Life (QOL) was evaluated using the NEI VFQ-25 questionnaire. OCT and FA examinations were conducted periodically.

Approximately 1200 subjects were enrolled, with a target enrollment of 300 subjects per treatment arm.

To be eligible for this study, subjects were required to have subfoveal choroidal neovascularization (CNV) secondary to AMD. "Subfoveal" CNV was defined as the presence of subfoveal neovascularization, documented by FA, or presence of a lesion that is juxtafoveal in location angiographically but affects the fovea. Subject eligibility was confirmed based on angiographic criteria prior to randomization.

Only one eye was designated as the study eye. For subjects who met eligibility criteria in both eyes, the eye with the worse VA was selected as the study eye. If both eyes had equal VA, the eye with the clearest lens and ocular media and least amount of subfoveal scar or geographic atrophy was selected. If there was no objective basis for selecting the study eye, factors such as ocular dominance, other ocular pathology and subject preference were considered in making the selection.

Inclusion criteria for both studies were as follows: (i) signed Informed consent; (ii) at least 50 years of age; (iii) active primary subfoveal CNV lesions secondary to AMD, including juxtafoveal lesions that affect the fovea as evidenced by FA in the study eye; (iv) CNV at least 50% of total lesion size; (v) early treatment diabetic retinopathy study (ETDRS) best-corrected visual acuity of: 20/40 to 20/320 (letter score of 73 to 25) in the study eye; (vi) willing, committed, and able to return for all clinic visits and complete all study-related procedures; and (vii) able to read, understand and willing to sign the informed consent form (or, if unable to read due to visual impairment, be read to verbatim by the person administering the informed consent or a family member).

Exclusion criteria for both studies were as follows: 1. Any prior ocular (in the study eye) or systemic treatment or surgery for neovascular AMD except dietary supplements or vitamins. 2. Any prior or concomitant therapy with another investigational agent to treat neovascular AMD in the study eye, except dietary supplements or vitamins. 3. Prior treatment with anti-VEGF agents as follows: (a) Prior treatment with anti-VEGF therapy in the study eye was not allowed; (b) Prior treatment with anti-VEGF therapy in the fellow eye with an investigational agent (not FDA approved, e.g. bevacizumab) was allowed up to 3 months prior to first dose in the study, and such treatments were not allowed during the study. Prior treatment with an approved anti-VEGF therapy in the fellow eye was allowed; (c) Prior systemic anti-VEGF therapy, investigational or FDA/Health Canada approved, was only allowed up to 3 months prior to first dose, and was not allowed during the study. 4. Total lesion size>12 disc areas (30.5 mm2, including blood, scars and neovascularization) as assessed by FA in the study eye. 5. Subretinal hem-

orrhage that is either 50% or more of the total lesion area, or if the blood is under the fovea and is 1 or more disc areas in size in the study eye. (If the blood is under the fovea, then the fovea must be surrounded 270 degrees by visible CNV.) 6. Scar or fibrosis, making up>50% of total lesion in the study eye. 7. Scar, fibrosis, or atrophy involving the center of the fovea. 8. Presence of retinal pigment epithelial tears or rips involving the macula in the study eye. 9. History of any vitreous hemorrhage within 4 weeks prior to Visit 1 in the study eye. 10. Presence of other causes of CNV, including 10 pathologic myopia (spherical equivalent of -8 diopters or more negative, or axial length of 25 mm or more), ocular histoplasmosis syndrome, angioid streaks, choroidal rupture, or multifocal choroiditis in the study eye. 11. History or clinical evidence of diabetic retinopathy, diabetic macular 15 edema or any other vascular disease affecting the retina, other than AMD, in either eye. 12. Prior vitrectomy in the study eye. 13. History of retinal detachment or treatment or surgery for retinal detachment in the study eye. 14. Any history of macular hole of stage 2 and above in the study eye. 15. Any 20 intraocular or periocular surgery within 3 months of Day 1 on the study eye, except lid surgery, which may not have taken place within 1 month of day 1, as long as it was unlikely to interfere with the injection. 16. Prior trabeculectomy or other filtration surgery in the study eye. 17. Uncontrolled glaucoma 25 (defined as intraocular pressure greater than or equal to 25 mm Hg despite treatment with anti-glaucoma medication) in the study eye. 18. Active intraocular inflammation in either eye. 19. Active ocular or periocular infection in either eye. 20. Any ocular or periocular infection within the last 2 weeks prior to Screening in either eye. 21. Any history of uveitis in either eye. 22. Active scleritis or episcleritis in either eye. 23. Presence or history of scleromalacia in either eye. 24. Aphakia or pseudophakia with absence of posterior capsule (unless it occurred as a result of a yttrium aluminum garnet 35 [YAG] posterior capsulotomy) in the study eye. 25. Previous therapeutic radiation in the region of the study eye. 26. History of corneal transplant or corneal dystrophy in the study eye. 27. Significant media opacities, including cataract, in the study eye which might interfere with visual acuity, assess- 40 ment of safety, or fundus photography. 28. Any concurrent intraocular condition in the study eye (e.g. cataract) that, in the opinion of the investigator, could require either medical or surgical intervention during the 96 week study period. 29. Any concurrent ocular condition in the study eye which, in the 45 opinion of the investigator, could either increase the risk to the subject beyond what is to be expected from standard procedures of intraocular injection, or which otherwise may interfere with the injection procedure or with evaluation of efficacy or safety. 30. History of other disease, metabolic 50 dysfunction, physical examination finding, or clinical laboratory finding giving reasonable suspicion of a disease or condition that contraindicates the use of an investigational drug or that might affect interpretation of the results of the study or render the subject at high risk for treatment complications. 31. Participation as a subject in any clinical study within the 12 weeks prior to Day 1.32. Any systemic or ocular treatment with an investigational agent in the past 3 months prior to Day 1. 33. The use of long acting steroids, either systemically or intraocularly, in the 6 months prior to day 1. 60 34. Any history of allergy to povidone iodine. 35. Known serious allergy to the fluorescein sodium for injection in angiography. 36. Presence of any contraindications indicated in the FDA Approved label for ranibizumab (Lucentis®). 37. Females who were pregnant, breastfeeding, or of childbear- 65 ing potential, unwilling to practice adequate contraception throughout the study. Adequate contraceptive measures

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include oral contraceptives (stable use for 2 or more cycles prior to screening); IUD; Depo-Provera®; Norplant® System implants; bilateral tubal ligation; vasectomy; condom or diaphragm plus either contraceptive sponge, foam or jelly.

Subjects were not allowed to receive any standard or investigational agents for treatment of their AMD in the study eye other than their assigned study treatment with VEGFT or ranibizumab as specified in the protocol until they completed the Completion/Early Termination visit assessments. This includes medications administered locally (e.g., IVT, topical, juxtascleral or periorbital routes), as well as those administered systemically with the intent of treating the study and/or fellow eye.

The study procedures are summarized as follows:

Best Corrected Visual Acuity: Visual function of the study eye and the fellow eye were assessed using the ETDRS protocol (The Early Treatment Diabetic Retinopathy Study Group) at 4 meters. Visual Acuity examiners were certified to ensure consistent measurement of BCVA. The VA examiners were required to remain masked to treatment assignment.

Optical Coherence Tomography: Retinal and lesion characteristics were evaluated using OCT on the study eye. At the Screen Visit (Visit 1) images were captured and transmitted for both eyes. All OCT images were captured using the Zeiss Stratus OCT<sup>TM</sup> with software Version 3 or greater. OCT images were sent to an independent reading center where images were read by masked readers at visits where OCTs were required. All OCTs were electronically archived at the site as part of the source documentation. A subset of OCT images were read. OCT technicians were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that OCT technicians at the site remained masked to treatment assignment.

Fundus Photography and Fluorescein Angiography (FA): The anatomical state of the retinal vasculature of the study eye was evaluated by funduscopic examination, fundus photography and FA. At the Screen Visit (Visit 1) funduscopic examination, fundus photography and FA were captured and transmitted for both eyes. Fundus and angiographic images were sent to an independent reading center where images were read by masked readers. The reading center confirmed subject eligibility based on angiographic criteria prior to randomization. All FAs and fundus photographs were archived at the site as part of the source documentation. Photographers were required to be certified by the reading center to ensure consistency and quality in image acquisition. Adequate efforts were made to ensure that all photographers at the site remain masked to treatment assignment.

Vision-Related Quality of Life: Vision-related QOL was assessed using the National Eye Institute 25-Item Visual Function Questionnaire (NEI VFQ-25) in the interviewer-administered format. NEI VFQ-25 was administered by certified personnel at a contracted call center. At the screening visit, the sites assisted the subject and initiated the first call to the call center to collect all of the subject's contact information and to complete the first NEI VFQ-25 on the phone prior to randomization and IVT injection. For all subsequent visits, the call center called the subject on the phone, prior to IVT injection, to complete the questionnaire.

Intraocular Pressure: Intraocular pressure (IOP) of the study eye was measured using applanation tonometry or Tonopen. The same method of IOP measurement was used in each subject throughout the study.

C. Results Summary (52 Week Data)

The primary endpoint (prevention of moderate or severe vision loss as defined above) was met for all three VEGFT

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groups (2Q4, 0.5Q4 and 2Q8) in this study. The results from both studies are summarized in Table 1.

TABLE 1

	Ranibizumab 0.5 mg monthly (RQ4)	VEGFT 0.5 mg monthly (0.5Q4)	VEGFT 2 mg monthly (2Q4)	VEGFT 2 mg every 8 weeks <sup>[a]</sup> (2Q8)				
			6 patients losing <1 ersus baseline	.5				
Study 1 Study 2	94.4% 94.4%	95.9%** 96.3%**	95.1%** 95.6%**	95.1%** 95.6%**				
Mean improvement in vision* (letters) at 52 weeks versus baseline (p-value vs RQ4)***								
Study 1	8.1	6.9 (NS)	10.9 (p < 0.01)	7.9 (NS)				
Study 2	9.4	9.7 (NS)	7.6 (NS)	8.9 (NS				

<sup>[</sup>a]Following three initial monthly doses

In Study 1, patients receiving VEGFT 2 mg monthly (2Q4) achieved a statistically significant greater mean improvement 25 in visual acuity at week 52 versus baseline (secondary endpoint), compared to ranibizumab 0.5 mg monthly (RQ4); patients receiving VEGFT 2 mg monthly on average gained 10.9 letters, compared to a mean 8.1 letter gain with ranibizumab 0.5 mg dosed every month (p<0.01). All other dose 30 groups of VEGFT in Study 1 and all dose groups in Study 2 were not statistically different from ranibizumab in this secondary endpoint.

A generally favorable safety profile was observed for both VEGFT and ranibizumab. The incidence of ocular treatment 35 emergent adverse events was balanced across all four treatment groups in both studies, with the most frequent events associated with the injection procedure, the underlying disease, and/or the aging process. The most frequent ocular adverse events were conjunctival hemorrhage, macular 40 degeneration, eye pain, retinal hemorrhage, and vitreous floaters. The most frequent serious non-ocular adverse events were typical of those reported in this elderly population who receive intravitreal treatment for wet AMD; the most frequently reported events were falls, pneumonia, myocardial 45 infarction, atrial fibrillation, breast cancer, and acute coronary syndrome. There were no notable differences among the study arms.

### Example 5

### Phase II Clinical Trial of VEGFT in Subjects with Diabetic Macular Edema (DME)

In this study, 221 patients with clinically significant DME with central macular involvement were randomized, and 219 patients were treated with balanced distribution over five groups. The control group received macular laser therapy at baseline, and patients were eligible for repeat laser treatments, but no more frequently than at 16 week intervals. The 60 remaining four groups received VEGFT by intravitreal injection as follows: Two groups received 0.5 or 2 mg of VEGFT once every four weeks throughout the 12-month dosing period (0.5Q4 and 2Q4, respectively). Two groups received three initial doses of 2 mg VEGFT once every four weeks (i.e., at baseline, and weeks 4 and 8), followed through week 52 by either once every 8 weeks dosing (2Q8) or as needed

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dosing with very strict repeat dosing criteria (PRN). Mean gains in visual acuity versus baseline were as shown in Table

TABLE 2

		n	Mean change in visual acuity at week 24 versus baseline (letters)	Mean change in visual acuity at week 52 versus baseline (letters)
)	Laser	44	2.5	-1.3
	VEGFT 0.5 mg monthly (0.5Q4)	44	8.6**	11.0**
	VEGFT 2 mg monthly (2Q4)	44	11.4**	13.1**
	VEGFT 2 mg every 8 weeks <sup>[a]</sup> (2Q8)	42	8.5**	9.7**
	VEGFT 2 mg as needed <sup>[a]</sup> (PRN)	45	10.3**	12.0**

<sup>[</sup>a]Following three initial monthly doses

In this study, the visual acuity gains achieved with VEGFT administration at week 24 were maintained or numerically improved up to completion of the study at week 52 in all VEGFT study groups, including 2 mg dosed every other

As demonstrated in the foregoing Examples, the administration of VEGFT to patients suffering from angiogenic eye disorders (e.g., AMD and DME) at a frequency of once every 8 weeks, following a single initial dose and two secondary doses administered four weeks apart, resulted in significant prevention of moderate or severe vision loss or improvements in visual acuity.

### Example 6

### A Randomized, Multicenter, Double-Masked Trial in Treatment Naïve Patients with Macular Edema Secondary to CRVO

In this randomized, double-masked, Phase 3 study, patients received 6 monthly injections of either 2 mg intravitreal VEGFT (114 patients) or sham injections (73 patients). From Week 24 to Week 52, all patients received 2 mg VEGFT as-needed (PRN) according to retreatment criteria. Thus, "sham-treated patients" means patients who received sham injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. "VEGFT-treated patients" means patients 50 who received VEGFT intravitreal injections once every four weeks from Week 0 through Week 20, followed by intravitreal VEGFT as needed from Week 24 through Week 52. The primary endpoint was the proportion of patients who gained≥15 ETDRS letters from baseline at Week 24. Secondary visual, anatomic, and Quality of Life NEI VFQ-25 outcomes at Weeks 24 and 52 were also evaluated.

At Week 24, 56.1% of VEGFT-treated patients gained>15 ETDRS letters from baseline vs 12.3% of sham-treated patients (P<0.0001). Similarly, at Week 52, 55.3% of VEGFT-treated patients gained≥15 letters vs 30.1% of shamtreated patients (P<0.01). At Week 52, VEGFT-treated patients gained a mean of 16.2 letters vs 3.8 letters for shamtreated patients (P<0.001). Mean number of injections was 2.7 for VEGFT-treated patients vs 3.9 for sham-treated patients. Mean change in central retinal thickness was -413.0 μm for VEGFT-treated patients vs -381.8 μm for shamtreated patients. The proportion of patients with ocular

<sup>\*</sup>Visual acuity was measured as the total number of letters read correctly on the Early Treatment Diabetic Retinopathy Study (ETDRS) eye chart.

\*Statistically non-inferior based on a non-inferiority margin of 10%, using confidence interval approach (95.1% and 95% for Study 1 and Study 2, respectively)

\*\*\*Test for superiority

NS = non-significant

<sup>\*\*</sup>p < 0.01 versus laser

neovascularization at Week 24 were 0% for VEGFT-treated patients and 6.8% for sham-treated patients, respectively; at Week 52 after receiving VEGFT PRN, proportions were 0% and 6.8% for VEGFT-treated and sham-treated. At Week 24, the mean change from baseline in the VFQ-25 total score was 7.2 vs 0.7 for the VEGFT-treated and sham-treated groups; at Week 52, the scores were 7.5 vs 5.1 for the VEGFT-treated and sham-treated groups.

This Example confirms that dosing monthly with 2 mg intravitreal VEGFT injection resulted in a statistically significant improvement in visual acuity at Week 24 that was maintained through Week 52 with PRN dosing compared with sham PRN treatment. VEGFT was generally well tolerated and had a generally favorable safety profile.

### Example 7

### Dosing Regimens

Specific, non-limiting examples of dosing regimens within the scope of the present invention are as follows:

VEGFT 2 mg (0.05 mL) administered by intravitreal injection once every 4 weeks (monthly).

VEGFT 2 mg (0.5 mL) administered by intravitreal injec- 25 tion once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 8 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered pro re nata (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 12 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered pro re 50 nata (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a 60 physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 16 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered pro re nata (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

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VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 20 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered pro re nata (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 24 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered pro re nata (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection once every 8 weeks.

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection on a less frequent basis based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.5 mL) administered by intravitreal injection once every 4 weeks for the first 28 weeks, followed by 2 mg (0.05 mL) via intravitreal injection administered pro re nata (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

VEGFT 2 mg (0.05 mL) administered by intravitreal injection as a single initial dose, followed by additional doses administered pro re nata (PRN) based on visual and/or anatomical outcomes (as assessed by a physician or other qualified medical professional).

Variations on the above-described dosing regimens would be appreciated by persons of ordinary skill in the art and are also within the scope of the present invention. For example, the amount of VEGFT and/or volume of formulation administered to a patient may be varied based on patient characteristics, severity of disease, and other diagnostic assessments by a physician or other qualified medical professional.

Any of the foregoing administration regimens may be used for the treatment of, e.g., age-related macular degeneration (e.g., wet AMD, exudative AMD, etc.), retinal vein occlusion (RVO), central retinal vein occlusion (CRVO; e.g., macular edema following CRVO), branch retinal vein occlusion (BRVO), diabetic macular edema (DME), choroidal neovascularization (CNV; e.g., myopic CNV), iris neovascularization, neovascular glaucoma, post-surgical fibrosis in glaucoma, proliferative vitreoretinopathy (PVR), optic disc neovascularization, corneal neovascularization, retinal neovascularization, vitreal neovascularization, pannus, pterygium, vascular retinopathy, etc.

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Sequences

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SEQ ID NO: 2

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The present invention is not to be limited in scope by the specific embodiments described herein. Indeed, various 55 modifications of the invention in addition to those described herein will become apparent to those skilled in the art from

the foregoing description and the accompanying figures.

Such modifications are intended to fall within the scope of the appended claims.

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	290	_				295					300				
Glu 305	Gln	Tyr	Asn	Ser	Thr 310	Tyr	Arg	Val	Val	Ser 315	Val	Leu	Thr	Val	Leu 320
His	Gln	Asp	Trp	Leu 325	Asn	Gly	Lys	Glu	Tyr 330	Lys	СЛа	Lys	Val	Ser 335	Asn
Lys	Ala	Leu	Pro	Ala	Pro	Ile	Glu	Lys 345	Thr	Ile	Ser	Lys	Ala 350	Lys	Gly
Gln	Pro	Arg 355	Glu	Pro	Gln	Val	Tyr 360	Thr	Leu	Pro	Pro	Ser 365	Arg	Asp	Glu
Leu	Thr		Asn	Gln	Val		Leu	Thr	Cha	Leu			Gly	Phe	Tyr
	370 Ser	Asp	Ile	Ala		375 Glu		Glu	Ser		380 Gly	Gln	Pro	Glu	
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Gln	Lys 450	Ser	Leu	Ser	Leu	Ser 455	Pro	Gly	Lys						

What is claimed is:

- 1. A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist;
  - wherein each secondary dose is administered 2 to 4 weeks after the immediately preceding dose; and
  - wherein each tertiary dose is administered at least 8 weeks <sup>10</sup> after the immediately preceding dose;
  - wherein the VEGF antagonist is a VEGF receptor-based chimeric molecule comprising (1) a VEGFR1 component comprising amino acids 27 to 129 of SEQ ID NO:2; (2) a VEGFR2 component comprising amino acids 130-231 of SEQ ID NO:2; and (3) a multimerization component comprising amino acids 232-457 of SEQ ID NO:2
- 2. The method of claim 1, wherein only a single secondary dose is administered to the patient, and wherein the single  $^{20}$  secondary dose is administered 4 weeks after the initial dose of the VEGF antagonist.
- 3. The method of claim 1, wherein only two secondary doses are administered to the patient, and wherein each secondary dose is administered 4 weeks after the immediately <sup>25</sup> preceding dose.
- **4**. The method of claim **3**, wherein each tertiary dose is administered 8 weeks after the immediately preceding dose.
- 5. The method of claim 1, wherein at least 5 tertiary doses of the VEGF antagonist are administered to the patient, and wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered 8 or 12 weeks after the immediately preceding dose.
- **6**. The method of claim **1**, wherein the angiogenic eye <sup>35</sup> disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.
- 7. The method of claim 6, wherein the angiogenic eye  $^{40}$  disorder is age related macular degeneration.
- **8.** The method of claim **1**, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.
- 9. The method of claim 8, wherein all doses of the VEGF  $\,^{45}$  antagonist are administered to the patient by intraocular administration.
- 10. The method of claim 9, wherein the intraocular administration is intravitreal administration.
- 11. The method of claim 10, wherein all doses of the VEGF 50 antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.
- 12. The method of claim 11, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.

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- 13. The method of claim 11, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.
- 14. A method for treating an angiogenic eye disorder in a patient, said method comprising sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonist:
  - wherein each secondary dose is administered 2 to 4 weeks after the immediately preceding dose; and
  - wherein each tertiary dose is administered at least 8 weeks after the immediately preceding dose;
  - wherein the VEGF antagonist is a VEGF receptor-based chimeric molecule comprising VEGFR1R2-FcΔC1(a) encoded by the nucleic acid sequence of SEQ ID NO:1.
- 15. The method of claim 14, wherein only a single secondary dose is administered to the patient, and wherein the single secondary dose is administered 4 weeks after the initial dose of the VEGF antagonist.
- 16. The method of claim 14, wherein only two secondary doses are administered to the patient, and wherein each secondary dose is administered 4 weeks after the immediately preceding dose.
- 17. The method of claim 16, wherein each tertiary dose is administered 8 weeks after the immediately preceding dose.
- **18**. The method of claim **17**, wherein the angiogenic eye disorder is age related macular degeneration.
- 19. The method of claim 14, wherein at least 5 tertiary doses of the VEGF antagonist are administered to the patient, and wherein the first four tertiary doses are administered 8 weeks after the immediately preceding dose, and wherein each subsequent tertiary dose is administered 8 or 12 weeks after the immediately preceding dose.
- 20. The method of claim 14, wherein the angiogenic eye disorder is selected from the group consisting of: age related macular degeneration, diabetic retinopathy, diabetic macular edema, central retinal vein occlusion, branch retinal vein occlusion, and corneal neovascularization.
- 21. The method of claim 14, wherein all doses of the VEGF antagonist are administered to the patient by topical administration or by intraocular administration.
- $22.\,{\rm The}$  method of claim 21, wherein all doses of the VEGF antagonist are administered to the patient by intraocular administration.
- 23. The method of claim 22, wherein the intraocular administration is intravitreal administration.
- **24**. The method of claim **23**, wherein all doses of the VEGF antagonist comprise from about 0.5 mg to about 2 mg of the VEGF antagonist.
- 25. The method of claim 24, wherein all doses of the VEGF antagonist comprise 0.5 mg of the VEGF antagonist.
- **26**. The method of claim **24**, wherein all doses of the VEGF antagonist comprise 2 mg of the VEGF antagonist.

\* \* \* \* \*

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## TERMINAL DISCLAIMER TO OBVIATE A DOUBLE PATENTING REJECTION OVER A "PRIOR" PATENT

Docket Number (Optional)

REGN-008CIPCON

In re Application of: YANCOPOULOS, GEORGE D.

Application No.: 14/972,560 Filed: December 17, 2015

Check either box 1 or 2 below, if appropriate.

For: Use of a VEGF Antagonist to Treat Angiogenic Eye Disorders

The owner\*, <u>REGENERON PHARMACEUTICALS, INC.</u>, of <u>100</u> percent interest in the instant application hereby disclaims, except as provided below, the terminal part of the statutory term of any patent granted on the instant application which would extend beyond the expiration date of the full statutory term of **prior patent** No. <u>9.254.338</u> as the term of said **prior patent** is presently shortened by any terminal disclaimer. The owner hereby agrees that any patent so granted on the instant application shall be enforceable only for and during such period that it and the **prior patent** are commonly owned. This agreement runs with any patent granted on the instant application and is binding upon the grantee, its successors or assigns.

In making the above disclaimer, the owner does not disclaim the terminal part of the term of any patent granted on the instant application that would extend to the expiration date of the full statutory term of the **prior patent**, "as the term of said **prior patent** is presently shortened by any terminal disclaimer," in the event that said **prior patent** later:

d extend to the expiration date of the full statutory term of the <b>prior patent</b> , as the term of said <b>prior patent</b> is presently shortened by a
inal disclaimer," in the event that said <b>prior patent</b> later:
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is held unenforceable;
is found invalid by a court of competent jurisdiction;
is statutorily disclaimed in whole or terminally disclaimed under 37 CFR 1.321;
has all claims canceled by a reexamination certificate;
is reissued; or
is in any manner terminated prior to the expiration of its full statutory term as presently shortened by any terminal disclaimer.

For submissions on behalf of a business/organization (e.g., corporation, partnership, university, government agency, etc.), the undersigned is empowered to act on behalf of the business/organization.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

made are pullishable by fine of imprisorment, or both, under Section 1001 of	Title 10 of the officed states code and that such will dise
statements may jeopardize the validity of the application or any patent issued	thereon.
2. The undersigned is an attorney or agent of record. Reg. No. 28,80	7

/Karl Bozicevic, Reg. No. 28,807/	January 30, 2017
Signature	Date
Karl Bozicevic	
Typed or printed name	
-	650-833-7735 Telephone Number

Terminal disclaimer fee under 37 CFR 1.20(d) included.

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\*Statement under 37 CFR 3.73(b) is required if terminal disclaimer is signed by the assignee (owner). Form PTO/SB/96 may be used for making this certification. See MPEP § 324.

This collection of information is required by 37 CFR 1.321. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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<b>Electronic Patent Application Fee Transmittal</b>								
Application Number: 14972560								
Filing Date:	17-Dec-2015							
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS							
First Named Inventor/Applicant Name:	Ge	orge D. YANCOPOL	JLOS					
Filer:	Karl Bozicevic							
Attorney Docket Number:	REG	GN-008CIPCON						
Filed as Large Entity								
Filing Fees for Utility under 35 USC 111(a)								
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)			
Basic Filing:								
Pages:								
Claims:								
Miscellaneous-Filing:								
Petition:								
Patent-Appeals-and-Interference:								
Post-Allowance-and-Post-Issuance:								
Extension-of-Time:								

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension - 3 months with \$0 paid	1253 1 1400			1400
Miscellaneous:				
STATUTORY OR TERMINAL DISCLAIMER	1814	1	160	160
	Tot	al in USD	(\$)	1560
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Electronic Acknowledgement Receipt						
EFS ID:	28202797					
Application Number:	14972560					
International Application Number:						
Confirmation Number:	5391					
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS					
First Named Inventor/Applicant Name:	George D. YANCOPOULOS					
Customer Number:	96387					
Filer:	Karl Bozicevic					
Filer Authorized By:						
Attorney Docket Number:	REGN-008CIPCON					
Receipt Date:	30-JAN-2017					
Filing Date:	17-DEC-2015					
Time Stamp:	16:43:32					
Application Type:	Utility under 35 USC 111(a)					

## **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$1560
RAM confirmation Number	013117INTEFSW16441501
Deposit Account	
Authorized User	

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File Listing	:				
Document Number	Document Description	Multi Part /.zip	Pages (if appl.)		
			82307		
1		REGN-008CIPCON_2017-01-30_ amend_oa_08-10-2016.pdf	656c3c055e33d264e082e9218b235e1b2c6 3f69a	yes	9
	Multip	! part Description/PDF files in .	zip description		
	Document De	scription	Start	Ei	nd
	Amendment/Req. Reconsiderati	ion-After Non-Final Reject	1		1
	Claims	;	2		3
	Applicant Arguments/Remarks	Made in an Amendment	4	9	
Warnings:					
Information:					
			719209		
2	Non Patent Literature	Heier_Opth_2012.pdf	f2492a0acdfbc71f426922fd72e43967707d ca0b	no	12
Warnings:		-			
Information:					
			265305		
3	Examination support document	9254338.pdf	086959b0926a7c8e73d6f516178c2e21405 de67d	no	14
Warnings:		<b>+</b>	<u>'</u>	•	
Information:					
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4	Terminal Disclaimer Filed	REGN-008CIPCON_2017-01-30_ terminal_disclaimer.pdf	2827c2ace07ede497bbacb4cf52711cdee8 baacb	no	
Warnings:		1			
Information:					
			32631		
5	Fee Worksheet (SB06)	fee-info.pdf	705348f73b9a4a4116877e636436a88fea7b 3f39	no	2

Warnings:		
Information:		
	Total Files Size (in bytes):	1124276

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### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Application Number	Application/Control No.		Applicant(s)/Patent under Reexamination  YANCOPOULOS, GEORGE D.	
	14/972,560		YANGOPOULOS, C	JEORGE D.
Document Code - DISQ		Internal D	ocument – DC	NOT MAIL

TERMINAL DISCLAIMER		□ DISAPPROVED
Date Filed : 30 January, 2017	This patent is subject to a Terminal Disclaimer	

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U.S. Patent and Trademark Office

PTO/SB/06 (09-11)
Approved for use through 1/31/2014. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office, U.S. DEPART MENT OF COMMENCE

P/							n or Docket Number -/972,560	Filing Date 12/17/2015	To be Mailed
							ENTITY: 🛛 L	ARGE SMA	LL MICRO
				APPLICA	ATION AS FIL	ED – PAR	IT I		
			(Column <sup>-</sup>	)	(Column 2)				
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╚	BASIC FEE (37 CFR 1.16(a), (b),	or (c))	N/A		N/A		N/A		
	SEARCH FEE (37 CFR 1.16(k), (i), (	or (m))	N/A		N/A		N/A		
	EXAMINATION FE (37 CFR 1.16(o), (p),		N/A		N/A		N/A		
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	EPENDENT CLAIM CFR 1.16(h))	S	m	inus 3 = *			X \$ =		
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^ If t	he difference in colu	ımn 1 is less than	zero, ente	r "0" in column 2.			TOTAL		
		(Column 1)		APPLICAT	ON AS AMEN		ART II		
.NT	01/30/2017	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EX	TRA	RATE (\$)	ADDITIO	ONAL FEE (\$)
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	Independent (37 CFR 1.16(h))	* 1	Minus	***3	= 0		x \$420 =		0
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	FIRST PRESEN	ITATION OF MULTI	PLE DEPEN	DENT CLAIM (37 CFF	R 1.16(j))				
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This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS

ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

### NOTICE OF ALLOWANCE AND FEE(S) DUE

96387 7590 03/06/2017 Regeneron - Bozicevic, Field & Francis 201 REDWOOD SHORES PARKWAY SUITE 200 REDWOOD CITY, CA 94065 EXAMINER

LOCKARD, JON MCCLELLAND

ART UNIT PAPER NUMBER

1647

DATE MAILED: 03/06/2017

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO. CONFIRMATION	
14/972,560	12/17/2015	George D. YANCOPOULOS	REGN-008CIPCON	5391

TITLE OF INVENTION: USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS

Ε	APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
	nonprovisional	UNDISCOUNTED	\$960	\$0	\$0	\$960	06/06/2017

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE

### HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

Page 1 of 3

PTOL-85 (Rev. 02/11)

### PART B - FEE(S) TRANSMITTAL

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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

maintenance fee notifica	ations.		No.	•			r domestic mailings of the
CURRENT CORRESPONE	DENCE ADDRESS (Note: Use Bl	lock 1 for any change of address)	Fee paj	e(s) Transmittal. Th bers. Each additiona	is certifi il paper,	cate cannot be used fo	or any other accompanying nt or formal drawing, must
	7590 03/06 ozicevic, Field & O D SHORES PARKV		I h Sta add tra	Cer ereby certify that th tes Postal Service v dressed to the Mai nsmitted to the USP	tificate iis Fee(s) vith suff I Stop I TO (571	of Mailing or Transı ) Transmittal is being icient postage for firs SSUE FEE address ) 273-2885, on the da	mission deposited with the United t class mail in an envelope above, or being facsimile te indicated below.
REDWOOD CI	TY. CA 94065						(Depositor's name)
	,						(Signature)
			L				(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTO	₹	ATTOR	RNEY DOCKET NO.	CONFIRMATION NO.
14/972,560	12/17/2015		George D. YANCOPOUL	os	REC	FN-008CIPCON	5391
TITLE OF INVENTION	N: USE OF A VEGF AN	ΓAGONIST TO TREAT	ANGIOGENIC EYE DIS	ORDERS			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSU	E FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0		\$960	06/06/2017
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LOCKARD, JON	N MCCLELLAND	1647	424-134100	_			
1. Change of correspond	lence address or indicatio	n of "Fee Address" (37	2. For printing on the	patent front page, li	st		
CFR 1.363).  Change of corresp Address form PTO/S	oondence address (or Cha B/122) attached.	inge of Correspondence	(1) The names of up to 3 registered patent attorneys or agents OR, alternatively,				
☐ "Fee Address" inc	dication (or "Fee Address 02 or more recent) attach	" Indication form	(2) The name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.				
			THE PATENT (print or ty	* ′			
PLEASE NOTE: Un recordation as set for	lless an assignee is ident th in 37 CFR 3.11. Com	ified below, no assignee pletion of this form is NO	data will appear on the part of the part of the part of the part of the data will appear on the part of the part o	patent. If an assign assignment.	ee is ide	entified below, the do	ocument has been filed for
(A) NAME OF ASSI			(B) RESIDENCE: (CIT				
Please check the appropr	riate assignee category or	categories (will not be pro-	rinted on the patent): $\Box$	Individual 🖵 Co	orporatio	on or other private gro	oup entity 🚨 Government
4a. The following fee(s)  Issue Fee			b. Payment of Fee(s): (Ple		ny previ	ously paid issue fee s	shown above)
	No small entity discount p		Payment by credit card. Form PTO-2038 is attached.				
Advance Order -	# of Copies	The director is hereby authorized to charge the required fee(s), any deficiency, or credits any overpayment, to Deposit Account Number (enclose an extra copy of this form).					
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_ ~ .	ng micro entity status. Se		NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue fee payment in the micro entity amount will not be accepted at the risk of application abandonment.				D/SB/15A and 15B), issue application abandonment.
☐ Applicant asserting small entity status. See 37 CFR 1.27			NOTE: If the application to be a notification of lo	n was previously un	der micr micro en	o entity status, checki	ng this box will be taken
Applicant changing to regular undiscounted fee status.			<u>NOTE:</u> Checking this box will be taken to be a notification of loss of entitlement to small or micro entity status, as applicable.				
NOTE: This form must l	be signed in accordance v	with 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for sign	nature requirements	and cert	ifications.	
Authorized Signature	2			Date			

Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

Typed or printed name

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Registration No.



### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

FILING DATE ATTORNEY DOCKET NO. APPLICATION NO. FIRST NAMED INVENTOR CONFIRMATION NO. 14/972,560 12/17/2015 George D. YANCOPOULOS REGN-008CIPCON 5391 EXAMINER 96387 7590 03/06/2017 Regeneron - Bozicevic, Field & Francis LOCKARD, JON MCCLELLAND 201 REDWOOD SHORES PARKWAY ART UNIT PAPER NUMBER SUITE 200

REDWOOD CITY, CA 94065

DATE MAILED: 03/06/2017

### Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

### OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

### **Privacy Act Statement**

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c))
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No. 14/972,560	Applicant(s) YANCOPOULOS, GEORGE D.		
Notice of Allowability	Examiner JON M. LOCKARD	Art Unit 1647	AIA (First Inventor to File) Status No	

The MAILING DATE of this communication appears on the cover sheet with the correspondence address I claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included erewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. THIS OTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS. This application is subject to withdrawal from issue at the initiative the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.					
<ul> <li>☑ This communication is responsive to the Response filed 27 January 2017.</li> <li>☑ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/were filed on</li> </ul>					
2. An election was made by the applicant in response to a restriction recrequirement and election have been incorporated into this action.	quirement set forth during the interview on; the restriction				
3. The allowed claim(s) is/are 1-3,6-8,13,14 and 18-21 (renumbered as allowed claim(s), you may be eligible to benefit from the Patent Prose office for the corresponding application. For more information, please send an inquiry to PPHfeedback@uspto.gov.	ecution Highway program at a participating intellectual property				
<ul> <li>4. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S. (Certified copies: <ul> <li>a) ☐ All b) ☐ Some *c) ☐ None of the:</li> <li>1. ☐ Certified copies of the priority documents have been recent to a copies of the priority documents have been recent to a copies of the certified copies of the priority documents have been recent to a copies of the certified copies of the priority documents have been recent to a copies of</li></ul></li></ul>	eived. eived in Application No				
Applicant has THREE MONTHS FROM THE "MAILING DATE" of this cornoted below. Failure to timely comply will result in ABANDONMENT of th					
5. $\square$ CORRECTED DRAWINGS ( as "replacement sheets") must be subm	itted.				
including changes required by the attached Examiner's Amendn Paper No./Mail Date	nent / Comment or in the Office action of				
Identifying indicia such as the application number (see 37 CFR 1.84(c)) sho each sheet. Replacement sheet(s) should be labeled as such in the header					
6. DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGIC attached Examiner's comment regarding REQUIREMENT FOR THE D					
Attachment(s)  1. ☐ Notice of References Cited (PTO-892)  2. ☒ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date  3. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material  4. ☐ Interview Summary (PTO-413), Paper No./Mail Date	5.  Examiner's Amendment/Comment 6. Examiner's Statement of Reasons for Allowance 7. Other				
/J. M. L./ Examiner, Art Unit 1647	/Christine J Saoud/ Primary Examiner, Art Unit 1647				

U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13) 20170216

Notice of Allowability

Part of Paper No./Mail Date

### **EAST Search History**

### **EAST Search History (Interference)**

/J.L./

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	1802	(flt1 or vegfr1 or (vegf adj r1)) same ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Flt4 vegfr3 or (vegf adj r3)))	USPAT	OR	ON	2017/02/16 13:15
L2	458	I1 and ((chimer\$ or fusion) same vegf)	USPAT	OR	ON	2017/02/16 13:16
L3	143	I1 same ((chimer\$ or fusion) same vegf)	USPAT	OR	ON	2017/02/16 13:16
L4	1773 (flt1 or vegfr1 or (vegf adj r1)) with ((flk1 or kdr or vegfr2 or (vegf adj r2)) or (Flt4 vegfr3 or (vegf adj r3)))			OR	ON	2017/02/16 13:16
L5	76	I4 with ((chimer\$ or fusion) with vegf)		OR	ON	2017/02/16 13:17
L6	580	(I4 or I5) and ((eye or ocular or retina\$ or macular) with disorder)	USPAT	OR	ON	2017/02/16 13:17
L7	68	(I3 or I5) and ((eye or ocular or retina\$ or macular) with disorder)	USPAT	OR	ON	2017/02/16 13:17
L8	4	(I3 or I5) same ((eye or ocular or retina\$ or macular) with disorder)	USPAT	OR	ON	2017/02/16 13:17
L9	121	yancopoulos-g\$.in.		OR	ON	2017/02/16 13:18
L10	18	7 and  9		OR	ON	2017/02/16 13:18
L11	4	l10 and (eye ocular macular).clm.	USPAT	OR	ON	2017/02/16 13:18

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Receipt date: 01/27/2017

Sheet

### **INFORMATION DISCLOSURE** STATEMENT BY APPLICANT

of

	Application Number	14/972,560
	Filing Date	December 17, 2015
	First Named Inventor	YANCOPOULOS, GEORGE D.
	Art Unit	1647
	Examiner Name	LOCKARD, JON MCCLELLAND
_	Attorney Docket Number	REGN-008CIPCON

U.S. PATENT DOCUMENTS						
Examiner	Cite	Patent Number	Issue Date	Name of Patentee or	Pages, Columns, Lines, Where	
Initial*	No.		YYYY-MM-DD	Applicant of Cited Document	Relevant Passages or Relevant	
		Number-Kind Code (if known)			Figures Appear	
	1					
	2					

	U.S. PATENT APPLICATION PUBLICATIONS								
Examiner	Cite	Publication Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where				
Initial*	No.		YYYY-MM-DD	Applicant of Cited Document	Relevant Passages or Relevant				
		Number-Kind Code (if known)		''	Figures Appear				
	1								
	2								

	FOREIGN PATENT DOCUMENTS									
Examiner Initial*	Cite No.	Foreign Document Number  Country Code-Number-Kind Code (if known)	Publication Date YYYY-MM-DD	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Т				
	1									
	2									

	NON PATENT LITERATURE DOCUMENTS					
Examin er Initials*			Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т		
/J.L/ 1		1	Charles, Steve (Guest Lecturer) "VEGF Trap Has Positive DME Data" Tenth Annual Retina Fellows Forum Jan 29 and 30, Chicago, Article Date 03/01/2010			
		2	Dixon et al., "VEGF Trap-Eye for the treatment of neobascular age-related macular degeneration" Expert Opin. Investig. Drugs (2009) 18 (10): 1-8.			
3		3	Information from ClinicalTrials.gov archive on the VIEW 2 study (NCT00637377) "VEGF Trap-Eye: Investigation of Efficacy and Safety in Wet AMD (VIEW 2)" version available and updated on 17 March 2008.			
4		4	Information from ClinicalTrials.gov archive on the view of NCT00509795 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD)" (12-01-2009)			
5		5	Information from ClinicalTrials.gov archive on the view of NCT00789477 "DME and VEGF Trap-Eye: Investigation of Clinical Impact" (11-18-2010)			
	Information from ClinicalTrials.gov archive on the view of NCT00509795 "Vascular Endothelial Growth Factor (VEGF) Trap-Eye: Investigation of Efficacy and Safety in Wet Age-Related Macular Degeneration (AMD)" (01-07-2011)					
7		7	Mousa and Mousa, "Current Status of Vascular Endothelial Growth Factor Inhibition in Age-Related Macular Degeneration" Biodrugs 2010; 24(3); 183-194.			
	Regeneron Pharmaceuticals, Inc. FORM 10-Q, published on 7 November 2007 for the period ending 30 September 2007					
/J	.L/	9	Regeneron, Press release "Regeneron Reports First Quarter 2008 Financial and Operating Results", May 1, 2008.			

Examiner Signature	/JON M LOCKARD/	Date Considered	02/16/2017
	enerence considered, whether or not citation is in conformance with MPEP 609.  ppy of this form with next communication to applicant.	Draw line (nrough cil	tation ii not in conformance and not

Receipt date: 01/27/2017

				Application Number	14/972,560
INE	DRMATION DIS	ברו הפ	LIDE	Filing Date	December 17, 2015
•			_	First Named Inventor	YANCOPOULOS, GEORGE D.
STATEMENT BY APPLICANT				Art Unit	1647
				Examiner Name	LOCKARD, JON MCCLELLAND
Sheet	2	of	2	Attorney Docket Number	REGN-008CIPCON

	NON PATENT LITERATURE DOCUMENTS				
Examin er Cite No.		Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Т	
/J.L/ 10		10	Regeneron Press Release "Bayer and Regeneron Report Positive Top-Line Results of Two Phase 3 Studies with VEGF Trap-Eye in Wet Age-related Macular Degeneration" November 22, 2010		
		Regeneron Press Release "Regeneron and Bayer Report Positive Results for VEGF Trap-Eye in Phase 3 Study in Central Retinal Vein Occlusion (CRVO) and in Phase 2 Study in Diabetic Macular Edema (DME)" December 20, 2010			
	Simo and Hernandez, "Advances in Medical Treatment of Diabetic Retinopathy" Diabetes Care, Volume 32, Number 8, August 2009				
4		13	Slides for the 2008 Retina Society Meeting "VEGF Trap-Eye in Wet AMD CLEAR-IT 2: Summary of One-Year Key Results", September 28, 2008.		
/3	, .L/	14	WHO Drug Information, "International Nonproprietary Names for Pharmaceutical Substances (INN)" Vol. 20, No. 2, 2006, pages 115-119.		

EXAMINEE: Initial in reference considered, whether or not citation is in comormance with MPEP 609. Draw line through citation if not in comormance and not considered. Include copy of this form with next communication to applicant.

### **Inventor Information for 14/972560**

/J.L./

Inventor Name	City	State/Country
YANCOPOULOS, GEORGE D.	YORKTOWN HEIGHTS	NEW YORK
Apple Into Contents Petitios Info Atty/Agent Info Continuit		ess Fees Post Info Pre Gra
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## Issue Classification



Application/Control	No

14972560

Applicant(s)/Patent Under Reexamination

 ${\tt YANCOPOULOS}, {\tt GEORGE} \ \, {\tt D}.$ 

Examiner

JON M LOCKARD

Art Unit

1647

CPC							
Symbol				Туре	Version		
A61K	38	/ 179		F	2013-01-01		
C07K	16	1 22		I	2013-01-01		
A61K	2039	7 505		A	2013-01-01		
A61K	47	/ 48415		I	2013-01-01		
C07K	14	71		I	2013-01-01		
A61K	9	/ 0048		I	2013-01-01		
C07K	2319	/ 30		A	2013-01-01		

CPC Combination Sets									
Symbol	Туре	Set	Ranking	Version					

/JON M LOCKARD/ Examiner.Art Unit 1647	02/16/2017	Total Claims Allowed:	
(Assistant Examiner)	(Date)		
/CHRISTINE J SAOUD/ Primary Examiner.Art Unit 1647	02/17/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office Part of Paper No. 20170216

Issue	Class	ifica	tion
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Application/Control No.	Applicant(s)/Patent Under Reexamination
14972560	YANCOPOULOS, GEORGE D.
Examiner	Art Unit

US ORIGINAL CLASSIFICATION									INTERNATIONAL	CLA	SSI	FIC	ATION		
	CLASS		,	SUBCLASS		CLAIMED NON-CLAIF			ON-CLAIM	ED					
424			134.1			Α	6	1	К	38 / 18 (2006.01.01)					
CROSS REF		ERENCE(	S)		С		7	К	14 / 71 (2006.01.01)						
CLASS	SU	BCLASS (ONE	SUBCLAS	S PER BLO	CK)										
424	192.1														
514	1.1	8.1													
530	350														
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JON M LOCKARD

/JON M LOCKARD/ Examiner.Art Unit 1647	02/16/2017	Total Claims Allowed:	
(Assistant Examiner)	(Date)		
/CHRISTINE J SAOUD/ Primary Examiner.Art Unit 1647	02/17/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office Part of Paper No. 20170216

# Issue Classification

Application/Control No.	Applicant(s)/Patent Under Reexamination
14972560	YANCOPOULOS, GEORGE D.
Examiner	Art Unit
JON M LOCKARD	1647

Final	Original		☐ Claims renumbered in the same order as presented by applicant ☐ CPA ☒ T.D. ☐ R.1.47										
	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original

/JON M LOCKARD/ Examiner.Art Unit 1647  (Assistant Examiner)	02/16/2017	Total Claims Allowed:	
/CHRISTINE J SAOUD/	(Date)		
Primary Examiner.Art Unit 1647	02/17/2017	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	NONE

U.S. Patent and Trademark Office Part of Paper No. 20170216

# Search Notes

Application/Control No.	Applicant(s)/Patent Under Reexamination
14972560	YANCOPOULOS, GEORGE D.
Examiner	Art Unit
JON M LOCKARD	1647

CPC- SEARCHED		
Symbol	Date	Examiner

CPC COMBINATION SETS - SEARCHED						
Symbol	Date	Examiner				

	US CLASSIFICATION SEARCHE	ED .	
Class	Subclass	Date	Examiner
NONE		8/4/2016	JML

SEARCH NOTES		
Search Notes	Date	Examiner
STIC Search of SEQ ID NOs:1-2. See search results in SCORE.	8/4/2016	JML
EAST (USPAT, US-PGPUB, EPO, DERWENT): See attached search history.	8/4/2016	JML
STN (MEDLINE, SCISEARCH, EMBASE, BIOSIS): See attached search history.	8/4/2016	JML
PALM: Inventor search.	8/4/2016	JML

	INTERFERENCE SEARCH				
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner		
	STIC Search of SEQ ID NOs:1-2. See search results in SCORE.	2/16/2017	JML		
	EAST (USPAT): See attached search history.	2/16/2017	JML		
	PALM: Inventor search.	2/16/2017	JML		

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### PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

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96387 7590 03/06/2017 Regeneron - Bozicevic, Field & Francis 201 REDWOOD SHORES PARKWAY

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REDWOOD CITY, CA 94065

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Kimberly W Zuehlke	(Depositor's name)
/Kimberly W Zuehlke/	(Signature)
28 March 2017	(Date)

	_						
APPLICATION NO.	FILING DATE		FIRST NAMED INVENTOR			CKET NO.	CONFIRMATION NO.
14/972,560	12/17/2015		George D. YANCOPOULOS			PCON	5391
TITLE OF INVENTION	N: USE OF A VEGF ANT	TAGONIST TO TREAT	ANGIOGENIC EYE DISC	RDERS			
APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE	FEE TOTAL I	FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$960	\$0	\$0		\$960	06/06/2017
EXAM	MINER	ART UNIT	CLASS-SUBCLASS				
LOCKARD, JON	MCCLELLAND	1647	424-134100				
1. Change of correspond	ence address or indicatio	n of "Fee Address" (37	2. For printing on the p	atent front page, list		F	- 445
CFR 1.363).  Change of correspondence of corresp	oondence address (or Cha B/122) attached.	nge of Correspondence	(1) The names of up to or agents OR, alternative	3 registered patent rely,	attorneys —		ottingham
	B/122) attached. lication (or "Fee Address		(2) The name of a singl registered attorney or a	e firm (having as a r	member a 2_	Karl Bo	ozicevic
PTO/SB/47; Rev 03- Number is required	02 or more recent) attach	ed. Use of a Customer	2 registered attorney of a 2 registered patent attor listed, no name will be	meys or agents. If no	o name is 3_		
3. ASSIGNEE NAME A	AND RESIDENCE DATA	A TO BE PRINTED ON	THE PATENT (print or typ	e)			
PLEASE NOTE: Un	less an assignee is ident	ified below, no assignee	data will appear on the pa T a substitute for filing an	tent. If an assigned	e is identified be	elow, the do	ocument has been filed for
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Regenero	n Pharmaceutio	eals. Inc.	Tarrytow	n, New York	· [		
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11 1	riate assignee category or	<u> </u>	• /		•	1 0	up entity 🗖 Governmen
4a. The following fee(s)  Issue Fee	are submitted:	4	<ul> <li>b. Payment of Fee(s): (Plea</li> <li>A check is enclosed.</li> </ul>	se first reapply any	previously pai	d issue fee s	hown above)
	No small entity discount p	permitted)	Payment by credit care	d Form PTO-2038 i	s attached		
Advance Order -			The director is hereby	authorized to charge	the required for	(s), any defi	iciency, or credits any
			overpayment, to Depo	sit Account Number	50-0815	_ (enclose ar	extra copy of this form).
_ ~ .	tus (from status indicate						
Applicant certifyi	ng micro entity status. Se	e 37 CFR 1.29	NOTE: Absent a valid cer fee payment in the micro	tification of Micro I entity amount will n	Entity Status (see of the accepted a	e forms PTC t the risk of	SB/15A and 15B), issue application abandonment.
Applicant asserting	g small entity status. See	37 CFR 1.27	NOTE: If the application was previously under micro entity status, checking this box w to be a notification of loss of entitlement to micro entity status.			11	
Applicant changing to regular undiscounted fee status.  NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or entity status, as applicable.				lement to small or micro			
NOTE: This form must l	be signed in accordance v	vith 37 CFR 1.31 and 1.3	3. See 37 CFR 1.4 for signa	ture requirements a	nd certifications.		
Authorized Signature	/Karl Bozicevi	ic, Reg. No. 28,	807/	Date _ 28 Ma	arch 2017	·	
Typed or printed nam	Maul Basias			Registration No	00.		·
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Page 2 of 3

PTOL-85 Part B (10-13) Approved for use through 10/31/2013.

OMB 0651-0033

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Application Number:  Filing Date:  Itale of Invention:  USE OF A VEGF ANTACOPOULOS  First Named Inventor/Applicant Name:  Filor:  Karl Bozicovic/Komberty Zuehlike  Attorney Docket Number:  Filled as Large Entity  Filing Fees for Utility under 35 USC 111(a)  Pages:  Claims:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Petition:  Post-Allowance-and-Post-issuance:  ### Use Post-Allowance   1479/2560   1479/	Electronic Patent Application Fee Transmittal					
Title of Invention:  USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS  First Named Inventor/Applicant Name:  Filer:  Karl Bozicevic/Kimberly Zuehike  Attorney Docket Number:  REGN - 098CIPCON  Filed as Large Entity  Filing Fees for Utility under 35 USC 111(a)  Description  Fee Code Quantity Amount Sub-Total in USD(s)  Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Application Number:	14972560				
First Named Inventor/Applicant Name:  Filer:  Attorney Docket Number:  Filled as Large Entity  Filling Fees for Utility under 35 USC 111(a)  Description  Fee Code Quantity  Amount Sub-Total in USD(s)  Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Filing Date:	17-Dec-2015				
Filer: Karl Bozicevic/Kimberly Zuehlke  Attorney Docket Number: REGN-008CIPCON  Filed as Large Entity  Filing Fees for Utility under 35 USC 111(a)  Description Fee Code Quantity Amount USD(\$)  Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS				
Attorney Docket Number:  Filed as Large Entity  Filing Fees for Utility under 35 USC 111(a)  Description  Fee Code Quantity Amount Sub-Total in USD(s)  Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	First Named Inventor/Applicant Name:	Georg	e D. YANCOPOU	LOS		
Filed as Large Entity  Filing Fees for Utility under 35 USC 111(a)  Description Fee Code Quantity Amount Sub-Total in USD(s)  Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Filer:	Karl Bo	ozicevic/Kimberl	y Zuehlke		
Filing Fees for Utility under 35 USC 111(a)  Description Fee Code Quantity Amount USD(s)  Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Attorney Docket Number:	REGN-	-008CIPCON			
Description  Fee Code  Quantity  Amount  Sub-Total in USD(s)  Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Filed as Large Entity					
Basic Filing:  Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Filing Fees for Utility under 35 USC 111(a)					
Pages:  Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Description		Fee Code	Quantity	Amount	
Claims:  Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Basic Filing:					
Miscellaneous-Filing:  Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Pages:					
Petition:  Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Claims:					
Patent-Appeals-and-Interference:  Post-Allowance-and-Post-Issuance:	Miscellaneous-Filing:					
Post-Allowance-and-Post-Issuance:	Petition:					
	Patent-Appeals-and-Interference:					
	Post-Allowance-and-Post-Issuance:					
UTILITY APPL ISSUE FEE 1501 1 960 960	UTILITY APPL ISSUE FEE		1501	1	960	960

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	960

Electronic Acknowledgement Receipt		
EFS ID:	28757354	
Application Number:	14972560	
International Application Number:		
Confirmation Number:	5391	
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS	
First Named Inventor/Applicant Name:	George D. YANCOPOULOS	
Customer Number:	96387	
Filer:	Karl Bozicevic	
Filer Authorized By:		
Attorney Docket Number:	REGN-008CIPCON	
Receipt Date:	28-MAR-2017	
Filing Date:	17-DEC-2015	
Time Stamp:	14:53:36	
Application Type:	Utility under 35 USC 111(a)	

## **Payment information:**

Submitted with Payment	yes
Payment Type	CARD
Payment was successfully received in RAM	\$960
RAM confirmation Number	032917INTEFSW14542900
Deposit Account	
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

### **File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			343126		
1	Issue Fee Payment (PTO-85B)	REGN-008CIPCON_2017-03-28_ issue_fee.pdf	a5ff78d66c5b90147590ef1f4f5681b60196a d0a	no	1
Warnings:		•			
Information:					
			30820		
2	Fee Worksheet (SB06)	fee-info.pdf	8e7777ed0de84a0004d386696ef043b77aa 25e70	no	2
Warnings:		•	'	•	
Information:					
		Total Files Size (in bytes)	37	73946	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

### New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

### National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

### Filed Electronically

AMENDMENT UNDER 37 C.F.R. §1.312	Attorney Docket	REGN-008CIPCON
	Confirmation No.	5391
	First Named Inventor	YANCOPOULOS, GEORGE D.
	Application Number	14/972,560
Address to: Mail Stop Issue Fee Commissioner for Patents	Filing Date	December 17, 2015
	Group Art Unit	1647
P.O. Box 1450	Examiner Name	LOCKARD, JON
Alexandria, VA 22313-1450		MCCLELLAND
	Title "Use of a VEGF A	Antagonist to Treat Angiogenic Eye
	Disorders"	

### Sir:

This amendment is responsive to the Notice of Allowance and Fees Due dated March 6, 2017. Applicants submit that the amendments set forth below raise no new issues. Entry of these amendments is thus respectfully requested.

Atty Dkt. No.: REGN-008CIPCON

USSN: 14/972,560

### **AMENDMENTS**

### IN THE SPECIFICATION

Please replace the paragraph [0006] with the following rewritten paragraph:

[0006] The present invention provides methods for treating angiogenic eye disorders. The methods of the invention comprise sequentially administering multiple doses of a VEGF antagonist to a patient over time. In particular, the methods of the invention comprise sequentially administering to the patient a single initial dose of a VEGF antagonist, followed by one or more secondary doses of the VEGF antagonist, followed by one or more tertiary doses of the VEGF antagonists. The present inventors have surprisingly discovered that beneficial therapeutic effects can be achieved in patients suffering from angiogenic eye disorders by administering a VEGF antagonist to a patient at a frequency of once every 8 or more weeks, especially when such doses are preceded by about three doses administered to the patient at a frequency of about 2 to 4 weeks. Thus, according to the methods of the present invention, each secondary dose of VEGF antagonist is administered 2 to 4 weeks after the immediately preceding dose, and each tertiary dose is administered at least 8 weeks after the immediately preceding dose. An example of a dosing regimen of the present invention is shown in the Figure Figure 1. One advantage of such a dosing regimen is that, for most of the course of treatment (i.e., the tertiary doses), it allows for less frequent dosing (e.g., once every 8 weeks) compared to prior administration regimens for angiogenic eye disorders which require monthly administrations throughout the entire course of treatment. (See, e.g., prescribing information for Lucentis® [ranibizumab], Genentech, Inc.).

Please replace the paragraph [0012] with the following rewritten paragraph:

**[0012]** Figure 1 The Figure shows an exemplary dosing regimen of the present invention. In this regimen, a single "initial dose" of VEGF antagonist ("VEGFT") is administered at the beginning of the treatment regimen (*i.e.* at "week 0"), two "secondary doses" are administered at weeks 4 and 8, respectively, and at least six "tertiary doses" are administered once every 8 weeks thereafter, *i.e.*, at weeks 16, 24, 32, 40, 48, 56, etc.).

Atty Dkt. No.: REGN-008CIPCON

USSN: 14/972,560

Please replace the paragraph [0019] with the following rewritten paragraph:

**[0019]** In one exemplary embodiment of the present invention, a single initial dose of a VEGF antagonist is administered to a patient on the first day of the treatment regimen (*i.e.*, at week 0), followed by two secondary doses, each administered four weeks after the immediately preceding dose (*i.e.*, at week 4 and at week 8), followed by at least 5 tertiary doses, each administered eight weeks after the immediately preceding dose (*i.e.*, at weeks 16, 24, 32, 40 and 48). The tertiary doses may continue (at intervals of 8 or more weeks) indefinitely during the course of the treatment regimen. This exemplary administration regimen is depicted graphically in **the Figure Figure 1**.

Atty Dkt. No.: REGN-008CIPCON USSN: 14/972,560

### REMARKS

Amendments have been made to the specification as requested by Examiner Lockard during a telephone interview on April 26, 2017 to delete the phrase "Figure 1" and replace it with --the Figure--. No new matter has been added.

The Commissioner is hereby authorized to charge any underpayment of fees up to a strict limit of \$3,000.00 beyond that authorized on the credit card, but not more than \$3,000.00 in additional fees due with any communication for the above referenced patent application, including but not limited to any necessary fees for extensions of time, or credit any overpayment of any amount to Deposit Account No. 50-0815, order number REGN-008CIPCON.

Respectfully submitted,
BOZICEVIC, FIELD & FRANCIS LLP

Date: \_\_\_\_\_\_ April 26, 2017 By: \_\_/Karl Bozicevic, Reg. No. 28,807/

Karl Bozicevic Registration No. 28,807

BOZICEVIC, FIELD & FRANCIS LLP 201 Redwood Shores Pkwy, Suite 200

Redwood City, California 94065 Telephone: (650) 327-3400 Direct: (650) 833-7735

Facsimile: (650) 327-3231

Electronic Acknowledgement Receipt				
EFS ID:	29035469			
Application Number:	14972560			
International Application Number:				
Confirmation Number:	5391			
Title of Invention:	USE OF A VEGF ANTAGONIST TO TREAT ANGIOGENIC EYE DISORDERS			
First Named Inventor/Applicant Name:	George D. YANCOPOULOS			
Customer Number:	96387			
Filer:	Karl Bozicevic/Kimberly Zuehlke			
Filer Authorized By:	Karl Bozicevic			
Attorney Docket Number:	REGN-008CIPCON			
Receipt Date:	26-APR-2017			
Filing Date:	17-DEC-2015			
Time Stamp:	15:01:14			
Application Type:	Utility under 35 USC 111(a)			

## **Payment information:**

Submitted with Payment	no
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## File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			44629		
1		REGN-008CIPCON_2017-04-26_ 312_amend.pdf	e4a5252b14965b480fd340fd7e56242a55d d15c5	yes	4

	Multipart Description/PDF files in .zip description			
	Document Description	Start	End	
	Amendment after Notice of Allowance (Rule 312)	1	1	
	Specification	2	3	
	Applicant Arguments/Remarks Made in an Amendment	4	4	
Warnings:				

### Information:

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### New Applications Under 35 U.S.C. 111

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### New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/972,560	12/17/2015	George D. YANCOPOULOS	REGN-008CIPCON	5391
	7590 05/02/201 ozicevic, Field & Franc	EXAM	IINER	
	D SHORES PARKWA		LOCKARD, JON	MCCLELLAND
	ITY, CA 94065		ART UNIT	PAPER NUMBER
			1647	
			NOTIFICATION DATE	DELIVERY MODE
			05/02/2017	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@bozpat.com



## **UNITED STATES DEPARTMENT OF COMMERCE U.S. Patent and Trademark Office**

Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

APPLICATION NO./ CONTROL NO.	FILING DATE	FIRST NAMED INVENTOR / PATENT IN REEXAMINATION	ATTORNEY DOCKET NO.
14/072 560	17 December 2015	VANCOPOLILOS GEORGE D	REGN_008CIPCON

		EXAMINER	
generon - Bozicevic, Field & Francis REDWOOD SHORES PARKWAY		JON N	I. LOCKARD
SUITE 200 REDWOOD CITY, CA 94065	CA 94065 ART UNIT PAPE		PAPER
		1647	20170426

DATE MAILED:

# Please find below and/or attached an Office communication concerning this application or proceeding.

**Commissioner for Patents** 

PTO-90C (Rev.04-03)

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.usplo.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/972,560	12/17/2015	George D. YANCOPOULOS	REGN-008CIPCON	5391
	7590 05/15/201 ozicevic, Field & Franc		EXAM	IINER
201 REDWOOD SHORES PARKWAY SUITE 200			LOCKARD, JON MCCLELLAND	
REDWOOD C	ITY, CA 94065		ART UNIT	PAPER NUMBER
			1647	
			NOTIFICATION DATE	DELIVERY MODE
			05/15/2017	ELECTRONIC

### Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

docket@bozpat.com

	Application No.	Applicant(s)			
Decrease to Bula 210 Communication	14/972,560	YANCOPOULOS, GEORGE D.			
Response to Rule 312 Communication	Examiner	Art Unit			
	JON M. LOCKARD	1647			
The MAILING DATE of this communication app	ears on the cover sheet wi	th the correspondence address –			
<ul> <li>I.          \sum The amendment filed on 26 April 2017 under 37 CFR 1.312 has been considered, and has been:</li> <li>a) □ entered.</li> </ul>					
	as assess of the invention				
b) a entered as directed to matters of form not affecting the					
<ul> <li>c)  disapproved because the amendment was filed after         Any amendment filed after the date the issue fee ithe required fee to withdraw the application from its     </li> </ul>	is paid must be accompanied				
d) disapproved. See explanation below.					
e)    entered in part. See explanation below.					
/J. M. L./	/Christine J Saoud/				
Examiner, Art Unit 1647	Primary Examiner,				

U.S. Patent and Trademark Office PTOL-271 (Rev. 04-01) OK TO ENTER: /J.L/

05/08/2017

### Filed Electronically

	Attorney Docket	REGN-008CIPCON
AMENDMENT UNDER 37 C.F.R. §1.312  Address to: Mail Stop Issue Fee Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450	Confirmation No.	5391
	First Named Inventor	YANCOPOULOS, GEORGE D.
	Application Number	14/972,560
	Filing Date	December 17, 2015
	Group Art Unit	1647
	Examiner Name	LOCKARD, JON
		MCCLELLAND
	Title "Use of a VEGF A	Antagonist to Treat Angiogenic Eye
	Disorders"	

Sir:

This amendment is responsive to the Notice of Allowance and Fees Due dated March 6, 2017. Applicants submit that the amendments set forth below raise no new issues. Entry of these amendments is thus respectfully requested.



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/972.560	06/06/2017	9669069	REGN-008CIPCON	5391

96387 7590

05/17/2017

Regeneron - Bozicevic, Field & Francis 201 REDWOOD SHORES PARKWAY SUITE 200 REDWOOD CITY, CA 94065

### ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

### **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

REGENERON PHARMACEUTICALS, INC., Tarrytown, NY George D. YANCOPOULOS, Yorktown Heights, NY;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)