U.S. PTO 12/460941

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v.			

PTO/SB/05 (08-08) Approved for use through 06/30/2010, OMB 0651-0032

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<u>-</u>	UTILITY
	OTILITI
0 0 7 0	PATENT APPLICATION
3	TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	ASD10554P0070US	`
First Inventor	Gerald Hom	
Title	Preferential Vasoconstriction	
Express Mail Label No.	EV 955717223 US	

	PPLICATION ELEMENTS ter 600 concerning utility patent application co	ontents.	ADDRESS TO: Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450					
1. Fee Transr	mittal Form (e.g., PTO/SB/17)		ACCOMPANYING APPLICATION PARTS					
See 37 CF Specificati Both the clai (For informatic		9. Assignment Papers (cover sheet & document(s)) Name of Assignee Alpha Synergy Development, Inc.						
b. A copy (for con i. DEL Signe name	ation [Total Sheetsexecuted (original or copy) from a prior application (37 CFR 1.63(dontinuation/divisional with Box 18 comple LETION OF INVENTOR(S) led statement attached deleting inventor(s) lie in the prior application, see 37 CFR o(d)(2) and 1.33(b).	 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Statement (PTO/SB/08 or PTO-1449) Copies of citations attached 						
7. CD-ROM of Computer	on Data Sheet. See 37 CFR 1.76 or CD-R in duplicate, large table or r Program (Appendix) scape Table on CD		14. 🕢 Return Re	,, ,, ,				
8. Nucleotide and (if applicable, it a. Comb. Spe	 15. Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or equivalent. 17. Other: Petition to Accept Color Drawings or Photographs 							
	tements verifying identity of above copic NG APPLICATION, check appropriate to				elow and in th	ne first sentence of the		
specification followi Continuation Prior application inform			ation-in-part (CIP)					
	19. CO	RRESPON	DENCE ADDRESS			W		
The address ass	sociated with Customer Number:	32	116	OR	Corre	spondence address below		
Name Woo	ood Phillips Katz Clark & Mortimer							
Address 500 West Madison Street								
O Talantana			Illinois		Zip Code	60661		
Country US	MINITARIA	Telephone	(312) 876-1800	Date	Email	docketing@woodphillips.com		
Signature Name (Print/Type)	Mark Potyakov		Date	July 27, 200 Registration (Attorney/A	1 No. _{54 277}			

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Effective on 12/08/2004.				Complete if Known			
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).			Application Numbe	r			
FEE TRANSMITTAL				Filing Date			
For FY 2009			First Named Invent	or Gerald I	Horn		
Applicant claims small entity status. See 37 CFR 1.27				Examiner Name			· · · · · · · · · · · · · · · · · · ·
Applicant claims sma	all entity status	See 37 CFR 1.27		Art Unit		· · · · · · · · · · · · · · · · · · ·	
TOTAL AMOUNT OF PA	YMENT (\$)	765	ı	Attorney Docket No	. ASD105	54P0007	70US
METHOD OF PAYME	NT (chack all	that apply)					
		Money Order	7,,				
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Deposit Account				Deposit Accou		***************************************	os
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Charge fee(s) indicated be	low		Charge fe	e(s) indicated	below, ex	ccept for the filing fee
	additional fee(s) or underpayment	s of fe	e(s) ✓ Credit an	y overpaymen	ts	
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information and authorization	on on P1O-2038.						
FEE CALCULATION							
1. BASIC FILING, SEA	ARCH, AND I FILING F			CH FEES E	XAMINATIO	N EEES	
Auntination Tyro	<u>s</u>	mall Entity		Small Entity	Smal	I Entity	For Boild (A)
Application Type	Fee (\$)		Fee (\$)			e (\$)	Fees Paid (\$)
Utility	330		540	270		10	545
Design	220		100	50		70	
Plant	220		330	165		85	
Reissue	330		540	270	_	25	
Provisional	220	110	0	0	0	0	*
2. EXCESS CLAIM FE Fee Description	EES					Fee (\$)	Small Entity Fee (\$)
Each claim over 20	(including R	eissues)			·	52	26
Each independent c		including Reissue	s)			220	110
Multiple dependent			_			390	195
Total Claims	Extra Clain		<u>Fee</u>	Paid (\$)	<u>n</u>		ependent Claims
12 - 20 or HP = HP = highest number of to		X =				Fee (\$)	Fee Paid (\$)
Indep. Claims	Extra Clain		Fee	Paid (\$)	_		
5 - 3 or HP =		x <u>110</u> =		220			
HP = highest number of ind 3. APPLICATION SIZE	•	pard for, if greater than	1 3.				
If the specification an							
						ntity) for	each additional 50
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)							
4. OTHER FEE(S)	fication ©1	30 fee (no small o	ntity	discount)			Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)							
Other (e.g., late filing surcharge):							
SUBMITTED BY	, <i>p</i> ,	11.00	1.				
Signature	D. WV			Registration No. Attorney/Agent) 54,3	377	Telepho	ne (312) 876-2110
Name (Print/Type) Mark Polyakov Date July 27,2009						ly 27,2009	

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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See 37 CF Specificati Both the clai (For informatic		9. Assignment Papers (cover sheet & document(s)) Name of Assignee Alpha Synergy Development, Inc.						
b. A copy (for con i. DEL Signe name	ation [Total Sheetsexecuted (original or copy) from a prior application (37 CFR 1.63(dontinuation/divisional with Box 18 comple LETION OF INVENTOR(S) led statement attached deleting inventor(s) lie in the prior application, see 37 CFR o(d)(2) and 1.33(b).	 10. 37 CFR 3.73(b) Statement (when there is an assignee) Power of Attorney 11. English Translation Document (if applicable) 12. Information Disclosure Statement (PTO/SB/08 or PTO-1449) Copies of citations attached 						
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specification followi Continuation Prior application inform			ation-in-part (CIP)					
	19. CO	RRESPON	DENCE ADDRESS			W		
The address ass	sociated with Customer Number:	32	116	OR	Corre	spondence address below		
Name Woo	ood Phillips Katz Clark & Mortimer							
Address 500 West Madison Street								
O Talantana			Illinois		Zip Code	60661		
Country US	MINITARIA	Telephone	(312) 876-1800	Date	Email	docketing@woodphillips.com		
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TOTAL AMOUNT OF PA	YMENT (\$)	765	ı	Attorney Docket No	. ASD105	54P0007	70US
METHOD OF PAYME	NT (chack all	that apply)					
		Money Order	7,,				
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Deposit Account				Deposit Accou		***************************************	os
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Charge fee(s) indicated be	low		Charge fe	e(s) indicated	below, ex	ccept for the filing fee
	additional fee(s) or underpayment	s of fe	e(s) ✓ Credit an	y overpaymen	ts	
WARNING: Information on ti	his form may be	come public. Credit c	ard inf	ormation should not b	e included on t	his form. P	rovide credit card
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Auntination Tyro	<u>s</u>	mall Entity		Small Entity	Smal	I Entity	For Boild (A)
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Each independent c		including Reissue	s)			220	110
Multiple dependent			_			390	195
Total Claims	Extra Clain		<u>Fee</u>	Paid (\$)	<u>n</u>		ependent Claims
12 - 20 or HP = HP = highest number of to		X =				Fee (\$)	Fee Paid (\$)
Indep. Claims	Extra Clain		Fee	Paid (\$)	_		
5 - 3 or HP =		x <u>110</u> =		220			
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SUBMITTED BY	, <i>p</i> ,	11.00	1.				
Signature	D. WV			Registration No. Attorney/Agent) 54,3	377	Telepho	ne (312) 876-2110
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APPLICATION DATA SHEET

(Inventor(s) With Representation)

Inventor Information

Inventor One, Given Name:

Family Name:

Postal Address Line One:

Postal Address Line Two:

City:

State or Province:
Postal or Zip Code:
Citizenship Country:

Gerald HORN

1150 Heather Road

Deerfield

Illinois 60015

United States

Correspondence Information

Correspondence Customer Number:

Name Line One:

Address Line One: Address Line Two:

City:

State or Province: Postal Or Zip Code:

Telephone: Facsimile:

32116

Wood, Phillips, Katz, Clark & Mortimer

Citigroup Center, Suite 3800 500 West Madison Street

Chicago Illinois

60661 312-876-1800

312-876-2020

Application Information

This Application is a:

Title Line One:

Title Line Two:

Total Drawing Sheets: Docket Number:

Utility

Preferential Vasoconstriction Compositions

and Methods of Use

/. -

ASD10554P00070US

Claiming Priority of:

US Provisional Application No. 61/137,714

filed August 1, 2008;

US Provisional Application No. 61/192,777

filed September 22, 2008;

US Provisional Application No.61/203,120

filed December 18, 2008; and

US Provisional Application No. 61/207,481

filed February 12, 2009

Representative Information

Registration Number One:

54,377



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