

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0661-0631

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		
	Filing Date		
	First Named Inventor	Derry Shribman	
	Art Unit		
	Examiner Name		
	Attorney Docket Number	19459-6105P	

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	7865585		2011-01-04	Samuels et al	
	2	7120666		2006-10-10	McCanne et al	
	3	7203741		2007-04-10	Marco et al	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20110087733	A1	2011-04-14	Shribman et al	
	2	20030174648		2003-09-18	Wang et al	
	3	20080008089		2008-01-10	Bornstein et al	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Derry Shribman	
Art Unit		
Examiner Name		
Attorney Docket Number	19459-6105P	

4	20040088646		2004-05-06	Yeager et al	
5	20030009583		2003-01-09	Chan et al	
6	20080109466		2008-05-08	Wang Matrix XIN	
7	20070156855		2007-07-05	Johnson Moses	
8	20060235391		2006-09-25	Painter, Christopher et al	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number		
Filing Date		
First Named Inventor	Derry Shribman	
Art Unit		
Examiner Name		
Attorney Docket Number	19459-6105P	

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	
Filing Date	
First Named Inventor	Derry Shribman
Art Unit	
Examiner Name	
Attorney Docket Number	19459-6105P

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).


OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2013-01-12
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>				
<b>Filing Date:</b>				
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION			
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman			
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin			
<b>Attorney Docket Number:</b>	19459-6105P			
Filed as Small Entity				
<b>Utility under 35 USC 111(a) Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
Utility filing Fee (Electronic filing)	4011	1	70	70
Utility Search Fee	2111	1	300	300
Utility Examination Fee	2311	1	360	360
<b>Pages:</b>				
<b>Claims:</b>				
Claims in excess of 20	2202	5	40	200
Independent Claims in Excess of 3	2201	1	210	210
<b>Miscellaneous-Filing:</b>				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Late Filing Fee for Oath or Declaration	2051	1	70	70
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>1210</b>

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	16836738
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	12-SEP-2013
<b>Filing Date:</b>	
<b>Time Stamp:</b>	14:54:42
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1210
RAM confirmation Number	1334
Deposit Account	501304
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)



Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)  
 Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)  
 Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		S0261132.pdf	195030 b628509c396b319f5d935dd9f4c434aa6fec3d85	yes	42
<b>Multipart Description/PDF files in .zip description</b>					
	<b>Document Description</b>		<b>Start</b>		<b>End</b>
	Specification		1		33
	Claims		34		41
	Abstract		42		42
<b>Warnings:</b>					
<b>Information:</b>					
2	Drawings-only black and white line drawings	S0257719.pdf	652512 73e5803d8e0e605fa2c3d1bd4608832b1db68bda	no	15
<b>Warnings:</b>					
The page size in the PDF is too large. The pages should be 8.5 x 11 or A4. If this PDF is submitted, the pages will be resized upon entry into the Image File Wrapper and may affect subsequent processing					
<b>Information:</b>					
3	Application Data Sheet	S0261127.pdf	509401 d0a4178e28c4d5bd7fa1ea59c8c1fe1171cb4e4d	no	6
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied ADS fillable form					
4	Information Disclosure Statement (IDS) Form (SB08)	S0261128.pdf	340681 90af346cf32f544c3402c7a1c2c0da45a692d3df	no	5
<b>Warnings:</b>					
<b>Information:</b>					
This is not an USPTO supplied IDS fillable form					
5	Fee Worksheet (SB06)	fee-info.pdf	39706 c0b89ff30732eb7680a09223878e87a6f3d5a485	no	2
<b>Warnings:</b>					
<b>Information:</b>					

Total Files Size (in bytes):

1737330

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

## SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION

### CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a divisional application of copending U.S. non-provisional patent application entitled "SYSTEM AND METHOD FOR PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION" having serial number 12/836,059, filed July 14, 2010, and claims priority to U.S. provisional patent application entitled "SYSTEM AND METHOD FOR REDUCING INTERNET CONGESTION," having serial number 61/249,624, filed October 8, 2009, both of which are hereby incorporated herein by reference in their entirety.

### FIELD OF THE INVENTION

The present invention is related to Internet communication, and more particularly, to improving data communication speed and bandwidth efficiency on the Internet.

### BACKGROUND OF THE INVENTION

There are several trends in network and Internet usage, which tremendously increase the bandwidth that is being used on the Internet. One such trend is that more and more video is being viewed on demand on the Internet. Such viewing includes the viewing of both large and short video clips. In addition, regular shows and full-featured films may be viewed on the Internet. Another trend that is increasing the traffic on the Internet is that Web sites (such as shopping portals, news portals, and social networks) are becoming global, meaning that the Web sites are serving people in many diverse places on the globe, and thus the data is traversing over longer stretches of the Internet, increasing the congestion.

The increase in bandwidth consumption has created several major problems, a few of which are described below:

The problem for users – the current Internet bandwidth is not sufficient, and thus the effective ‘speed’ experienced by users is slow;

The problem for content owners – the tremendous amount of data being viewed by users is costing large amounts of money in hosting and bandwidth costs; and

The problem for Internet Service Providers (ISPs) – the growth in Internet traffic is requiring the ISPs to increase the infrastructure costs (communication lines, routers, etc.) at tremendous financial expense.

The need for a new method of data transfer that is fast for the consumer, cheap for the content distributor and does not require infrastructure investment for ISPs, has become a major issue which is yet unsolved.

There have been many attempts at making the Internet faster for the consumer and cheaper for the broadcaster. Each such attempt is lacking in some aspect to become a widespread, practical solution, or is a partial solution in that it solves only a subset of the major problems associated with the increase in Internet traffic. Most of the previous solutions require billions of dollars in capital investment for a comprehensive solution. Many of these attempts are lacking in that much of the content on the Internet has become dynamically created per the user and the session of the user (this is what used to be called the “Web2.0” trend). This may be seen on the Amazon Web site and the Salesforce Web site, for example, where most of the page views on these Web sites is tailored to the viewer, and is thus different for any two viewers. This dynamic information makes it impossible for most of the solutions offered to date to store the content and provide it to others seeking similar content.

One solution that has been in use is called a “proxy”. FIG. 1 is a schematic diagram providing an example of use of a proxy within a network 2. A proxy, or proxy server 4, 6, 8 is a device that is placed between one or more clients, illustrated in FIG. 1 as client devices 10, 12, 14, 16, 18, 20, that request data, via the Internet 22, and a Web server or Web servers 30, 32, 34 from which they are requesting the data. The proxy server 4, 6, 8 requests the data from the Web servers 30, 32, 34 on their behalf, and caches the responses from the Web servers 30, 32, 34, to provide to other client devices that make similar requests. If the proxy server 4, 6, 8 is geographically close enough to the client devices 10, 12, 14, 16, 18, 20, and if the storage and bandwidth of the proxy server 4, 6, 8 are large enough, the proxy server 4, 6, 8 will speed up the requests for the client devices 10, 12, 14, 16, 18, 20 that it is serving.

It should be noted, however, that to provide a comprehensive solution for Internet surfing, the proxy servers of FIG. 1 would need to be deployed at every point around the world where the Internet is being consumed, and the storage size of the proxy servers at each location would need to be near the size of all the data stored anywhere on the Internet. The abovementioned would lead to massive costs that are impractical. In addition, these proxy solutions cannot deal well with dynamic data that is prevalent now on the Web.

There have been commercial companies, such as Akamai, that have deployed such proxies locally around the world, and that are serving a select small group of sites on the Internet. If all sites on the Web were to be solved with such a solution, the capital investment would be in the range of billions of dollars. In addition, this type of solution does not handle dynamic content.

To create large distribution systems without the large hardware costs involved with a proxy solution, “peer-to-peer file sharing” solutions have been introduced, such as, for example, BitTorrent. FIG. 2 is a schematic diagram providing an example of a peer-to-peer file transfer network 50. In the network 50, files are stored on computers of consumers, referred to herein as

client devices 60. Each consumer can serve up data to other consumers, via the Internet 62, thus taking the load of serving off of the distributors and saving them the associated costs, and providing the consumer multiple points from which to download the data, referred to herein as peers 70, 72, 74, 76, 78, thus increasing the speed of the download. However, each such peer-to-peer solution must have some sort of index by which to find the required data. In typical peer-to-peer file sharing systems, because the index is on a server 80, or distributed among several servers, the number of files available in the system is not very large (otherwise, the server costs would be very large, or the lookup time would be very long).

The peer-to-peer file sharing solution is acceptable in file sharing systems, because there are not that many media files that are of interest to the mass (probably in the order of magnitude of millions of movies and songs that are of interest). Storing and maintaining an index of millions of entries is practical technically and economically. However, if this system were to be used to serve the hundreds of billions of files that are available on the Internet of today, the cost of storing and maintaining such an index would be again in the billions of dollars. In addition, these types of peer-to-peer file sharing systems are not able to deal with dynamic HTTP data.

In conclusion, a system does not exist that enables fast transmission of most of the data on the Internet, that does not incur tremendous costs, and/or that provides only a very partial solution to the problem of Internet traffic congestion. Thus, a heretofore unaddressed need exists in the industry to address the aforementioned deficiencies and inadequacies.

## **SUMMARY OF THE INVENTION**

The present system and method provides for faster and more efficient data communication within a communication network. Briefly described, in architecture, one embodiment of the system, among others, can be implemented as follows. A network is provided

for accelerating data communication, wherein the network contains: at least one client communication device for originating a data request for obtaining the data from a data server; at least one agent communication device which is assigned to the data server for receiving the data request from the client communication device, wherein the agent keeps track of which client communication devices have received responses to data requests from the assigned data server; at least one peer communication device for storing portions of data received in response to the data request by the at least one client communication device, wherein the portions of data may be transmitted to the at least one client communication device upon request by the client communication device; and at least one acceleration server for deciding which agent communication device is to be assigned to which data server and providing this information to the at least one client communication device.

The present system and method also provides a communication device within a network, wherein the communication device contains: a memory; and a processor configured by the memory to perform the steps of: originating a data request for obtaining data from a data server; being assigned to a data server, referred to as an assigned data server; receiving a data request from a separate device within the network, and keeping track of which client communication devices within the network have received responses to data requests from the assigned data server; and storing portions of data received in response to the originated data request, wherein the portions of data may be transmitted to communication device upon request by the communication device.

Other systems, methods, features, and advantages of the present invention will be or become apparent to one with skill in the art upon examination of the following drawings and detailed description. It is intended that all such additional systems, methods, features, and advantages be included within this description, be within the scope of the present invention, and be protected by the accompanying claims.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

Many aspects of the invention can be better understood with reference to the following drawings. The components in the drawings are not necessarily to scale, emphasis instead being placed upon clearly illustrating the principles of the present invention. Moreover, in the drawings, like reference numerals designate corresponding parts throughout the several views.

FIG. 1 is a schematic diagram providing a prior art example of use of a proxy within a network.

FIG. 2 is a schematic diagram providing a prior art example of a peer-to-peer file transfer network.

FIG. 3 is a schematic diagram providing an example of a communication network in accordance with the present invention.

FIG. 4 is a schematic diagram further illustrating a communication device of the communication network of FIG. 3.

FIG. 5 is a schematic diagram further illustrating the memory of FIG. 4.

FIG. 6 is a schematic diagram further illustrating elements of the acceleration application of FIG. 5, as well as communication paths of the acceleration application.

FIG. 7 is a chart further illustrating two of the main databases utilized within the communication network.

FIG. 8 is a flowchart illustrating operation of the acceleration system initializer module.

FIG. 9 is a flowchart further illustrating communication between different elements of the communication network.



FIG. 10 is a flowchart continuing the flowchart of FIG. 9 and focused on agent response to the HTTP request.

FIG. 11 is a flowchart continuing the flowchart of FIG. 10, which illustrates actions taken upon receipt of the list of peers, or single peer listing, from the agent.

FIG. 12 is a flowchart illustrating steps taken by an agent, client, or peer to determine whether a certain HTTP request is still valid.

FIG. 13 is a flowchart outlining operation of the acceleration server.

FIG. 14 is a flowchart further illustrating TCPIP acceleration in accordance with an alternative embodiment of the invention.

FIG. 15 is a flowchart further illustrating TCPIP acceleration in accordance with an alternative embodiment of the invention, detailing the communication between the client and the TCPIP server (read and write commands) after the connect phase has completed successfully.

## **DETAILED DESCRIPTION**

The present system and method provides for faster and more efficient data communication within a communication network. An example of such a communication network 100 is provided by the schematic diagram of FIG. 3. The network 100 of FIG. 3 contains multiple communication devices. Due to functionality provided by software stored within each communication device, which may be the same in each communication device, each communication device may serve as a client, peer, or agent, depending upon requirements of the network 100, as is described in detail herein. It should be noted that a detailed description of a communication device is provided with regard to the description of FIG. 4.

Returning to FIG. 3, the exemplary embodiment of the network 100 illustrates that one of the communication devices is functioning as a client 102. The client 102 is capable of communication with one or more peers 112, 114, 116 and one or more agents 122. For exemplary purposes, the network contains three peers and one agent, although it is noted that a client can communicate with any number of agents and peers.

The communication network 100 also contains a Web server 152. The Web server 152 is the server from which the client 102 is requesting information and may be, for example, a typical HTTP server, such as those being used to deliver content on any of the many such servers on the Internet. It should be noted that the server 152 is not limited to being an HTTP server. In fact, if a different communication protocol is used within the communication network, the server may be a server capable of handling a different protocol. It should also be noted that while the present description refers to the use of HTTP, the present invention may relate to any other communication protocol and HTTP is not intended to be a limitation to the present invention.

The communication network 100 further contains an acceleration server 162 having an acceleration server storage device 164. As is described in more detail herein, the acceleration server storage device 164 has contained therein an acceleration server database. The acceleration server database stores Internet protocol (IP) addresses of communication devices within the communication network 100 having acceleration software stored therein. Specifically, the acceleration server database contains stored therein a list of communication devices having acceleration software stored therein that are currently online within the communication network 100. For each such agent, the acceleration server assigns a list of IP addresses.

In the communication network 100 of FIG. 3, the application in the client 102 is requesting information from the Web server 152, which is why the software within the communication device designated this communication device to work as a client. In addition, since the agent 122 receives the request from the client 102 as the communication device closest

to the Web server 152, functionality of the agent 122, as provided by the software of the agent 122, designates this communication device to work as an agent. It should be noted, that in accordance with an alternative embodiment of the invention, the agent need not be the communication device that is closest to the Web server. Instead, a different communication device may be selected to be the agent.

Since the peers 112, 114, 116 contain at least portions of the information sought by the client 102 from the Web server 152, functionality of the peers 112, 114, 116, as provided by the software of the peers 112, 114, 116, designates these communication devices to work as peers. It should be noted that the process of designating clients, agents, and peers is described in detail herein. It should also be noted that the number of clients, agents, peers, acceleration servers, Web servers, and other components of the communication network 100 may differ from the number illustrated by FIG. 3. In fact, the number of clients, agents, peers, acceleration servers, Web servers, and other components of the communication network 100 are not intended to be limited by the current description.

Prior to describing functionality performed within a communication network 100, the following further describes a communication device 200, in accordance with a first exemplary embodiment of the invention. FIG. 4 is a schematic diagram further illustrating a communication device 200 of the communication network 100, which contains general components of a computer. As previously mentioned, it should be noted that the communication device 200 of FIG. 4 may serve as a client, agent, or peer.

Generally, in terms of hardware architecture, as shown in FIG. 4, the communication device 200 includes a processor 202, memory 210, at least one storage device 208, and one or more input and/or output (I/O) devices 240 (or peripherals) that are communicatively coupled via a local interface 250. The local interface 250 can be, for example but not limited to, one or more buses or other wired or wireless connections, as is known in the art. The local interface 250

may have additional elements, which are omitted for simplicity, such as controllers, buffers (caches), drivers, repeaters, and receivers, to enable communications. Further, the local interface 250 may include address, control, and/or data connections to enable appropriate communications among the aforementioned components.

The processor 202 is a hardware device for executing software, particularly that stored in the memory 210. The processor 52 can be any custom made or commercially available processor, a central processing unit (CPU), an auxiliary processor among several processors associated with the communication device 200, a semiconductor based microprocessor (in the form of a microchip or chip set), a macroprocessor, or generally any device for executing software instructions.

The memory 210, which is further illustrated and described by the description of FIG. 5, can include any one or combination of volatile memory elements (*e.g.*, random access memory (RAM, such as DRAM, SRAM, SDRAM, *etc.*)) and nonvolatile memory elements (*e.g.*, ROM, hard drive, tape, CDROM, *etc.*). Moreover, the memory 210 may incorporate electronic, magnetic, optical, and/or other types of storage media. Note that the memory 210 can have a distributed architecture, where various components are situated remote from one another, but can be accessed by the processor 202.

The software 212 located within the memory 210 may include one or more separate programs, each of which contains an ordered listing of executable instructions for implementing logical functions of the communication device 200, as described below. In the example of FIG. 4, the software 212 in the memory 210 at least contains an acceleration application 220 and an Internet browser 214. In addition, the memory 210 may contain an operating system (O/S) 230. The operating system 230 essentially controls the execution of computer programs and provides scheduling, input-output control, file and data management, memory management, and communication control and related services. It should be noted that, in addition to the

acceleration application 220, Internet browser 214, and operating system 230, the memory 210 may contain other software applications.

While the present description refers to a request from the client originating from an Internet browser, the present invention is not limited to requests originating from Internet browsers. Instead, a request may originate from an email program or any other program that would be used to request data that is stored on a Web server, or other server holding data that is requested by the client device.

Functionality of the communication device 200 may be provided by a source program, executable program (object code), script, or any other entity containing a set of instructions to be performed. When a source program, then the program needs to be translated via a compiler, assembler, interpreter, or the like, which may or may not be included within the memory 210, so as to operate properly in connection with the operating system 230. Furthermore, functionality of the communication device 200 can be written as (a) an object oriented programming language, which has classes of data and methods, or (b) a procedure programming language, which has routines, subroutines, and/or functions.

The I/O devices 240 may include input devices, for example but not limited to, a keyboard, mouse, scanner, microphone, *etc.* Furthermore, the I/O devices 240 may also include output devices, for example but not limited to, a printer, display, *etc.* Finally, the I/O devices 240 may further include devices that communicate via both inputs and outputs, for instance but not limited to, a modulator/demodulator (modem; for accessing another device, system, or network), a radio frequency (RF) or other transceiver, a telephonic interface, a bridge, a router, *etc.*

When the communication device 200 is in operation, the processor 202 is configured to execute the software 212 stored within the memory 210, to communicate data to and from the memory 210, and to generally control operations of the communication device 200 pursuant to

the software 212. The software 212 and the O/S 230, in whole or in part, but typically the latter, are read by the processor 202, perhaps buffered within the processor 202, and then executed.

When functionality of the communication device 200 is implemented in software, as is shown in FIG. 4, it should be noted that the functionality can be stored on any computer readable medium for use by or in connection with any computer related system or method. In the context of this document, a computer readable medium is an electronic, magnetic, optical, or other physical device or means that can contain or store a computer program for use by or in connection with a computer related system or method. The functionality of the communication device 200 can be embodied in any computer-readable medium for use by or in connection with an instruction execution system, apparatus, or device, such as a computer-based system, processor-containing system, or other system that can fetch the instructions from the instruction execution system, apparatus, or device and execute the instructions. In the context of this document, a "computer-readable medium" can be any means that can store, communicate, propagate, or transport the program for use by or in connection with the instruction execution system, apparatus, or device.

The computer readable medium can be, for example but not limited to, an electronic, magnetic, optical, electromagnetic, infrared, or semiconductor system, apparatus, device, or propagation medium. More specific examples (a non-exhaustive list) of the computer-readable medium would include the following: an electrical connection (electronic) having one or more wires, a portable computer diskette (magnetic), a random access memory (RAM) (electronic), a read-only memory (ROM) (electronic), an erasable programmable read-only memory (EPROM, EEPROM, or Flash memory) (electronic), an optical fiber (optical), and a portable compact disc read-only memory (CDROM) (optical). Note that the computer-readable medium could even be paper or another suitable medium upon which the program is printed, as the program can be electronically captured, via for instance optical scanning of the paper or other medium, then

compiled, interpreted or otherwise processed in a suitable manner if necessary, and then stored in a computer memory.

In an alternative embodiment, where the functionality of the communication device 200 is implemented in hardware, the functionality can be implemented with any or a combination of the following technologies, which are each well known in the art: a discrete logic circuit(s) having logic gates for implementing logic functions upon data signals, an application specific integrated circuit (ASIC) having appropriate combinational logic gates, a programmable gate array(s) (PGA), a field programmable gate array (FPGA), *etc.*

The at least one storage device 208 of the communication device 200 may be one of many different categories of storage device. As is described in more detail herein, the storage device 208 may include a configuration database 280 and a cache database 282. Alternatively, the configuration database 280 and cache database 282 may be located on different storage devices that are in communication with the communication device 200. The description that follows assumes that the configuration database 280 and cache database 282 are located on the same storage device, however, it should be noted that the present invention is not intended to be limited to this configuration.

The configuration database 280 stores configuration data that is common to all elements of the communication network 100 and is used to provide set up and synchronization information to different modules of the acceleration application 220 stored within the memory 210, as is described in further detail herein. The cache database 282 stores responses to HTTP requests that the communication device 200 has dispatched, either for its own consumption or on behalf of other elements of the communication network 100. As is explained in additional detail herein, the responses to HTTP requests are stored within the cache database 282 for future use by this communication device 200, or for other communication devices within the

communication network 100 that need to retrieve this information and will use this communication device as either a peer or an agent.

In addition to the abovementioned, as is explained in further detail herein, the cache database 282 has stored therein a list of URLs that the communication device is aware of (i.e., has seen requests for). For each URL, the cache database 282 has stored therein the URL itself, HTTP headers returned by the Web Server for this URL, when the last time was that the contents of this URL was loaded directly from the Web Server, when the contents of the URL had last changed on the Web Server, as well as a list of chunks that contain the contents of this URL, and the chunks of data themselves. Chunks in the present description are defined as equally sized pieces of data that together form the whole content of the URL. It should be noted that while the present description provides for chunks being equally sized pieces of data, in accordance with an alternative embodiment of the invention, the chunks may instead be of different size.

FIG. 5 is a schematic diagram further illustrating the memory 210 of FIG. 4. As shown by FIG. 5, the memory 210 may be separated into two basic levels, namely, an operating system level 260 and an application level 270. The operating system level 260 contains the operating system 230, wherein the operating system 230 further contains at least one device driver 262 and at least one communication stack 264. The device drivers 262 are software modules that are responsible for the basic operating commands for various hardware devices of the communication device 200, such as the processor 202, the storage device 208 and the I/O devices 240. In addition, the communication stacks 264 provide applications of the communication device 200 with a means of communicating within the network 100 by implementing various standard communication protocols.

The application level 270 includes any application that is running on the communication device 200. As a result, the application level 270 includes the Internet browser 214, which is used to view information that is located on remote Web servers, the acceleration application 220,



as described in more detail below, and any other applications 216 stored on the communication device 200.

As is explained in additional detail below, the acceleration application 220 intercepts the requests being made by applications of the communication device (client) that use the Internet, in order to modify the requests and route the requests through the communication network. There are various methods that may be used to intercept such requests. One such method is to create an intermediate driver 272, which is also located within the memory 210, that attaches itself to all communication applications, intercepts outgoing requests of the communication applications of the communication device 200, such as the Internet browser 214, and routes the requests to the acceleration application 220. Once the acceleration application 220 modifies the requests, routes the requests to other system elements on the communication network 100, and receives replies from other system elements of the communication network 100, the acceleration application 220 returns the replies to the intermediate driver 272, which provides the replies back to the requesting communication application.

FIG. 6 is a schematic diagram further illustrating elements of the acceleration application 220, as well as communication paths of the acceleration application 220. The acceleration application 220 contains an acceleration system initializer module 222, which is called when the acceleration application 220 is started. The acceleration system initializer module 222 is capable of initializing all elements of the communication device 200. The acceleration application 220 also contains three separate modules that run in parallel, namely, a client module 224, a peer module 226, and an agent module 228, each of which comes into play according to the specific role that the communication device 200 is partaking in the communication network 100 at a given time. The role of each module is further described herein.

The client module 224 provides functionality required when the communication device 200 is requesting information from the Web server 152, such as, for example, but not limited to,

Web pages, data, video, or audio. The client module 224 causes the communication device 200 having the client module 224 therein to intercept the information request and pass the information request on to other elements of the communication network 100, such as, servers, agents or peers. This process is further described in detail herein.

The peer module 226 provides functionality required by the communication device 200 when answering other clients within the communication network 100 and providing the other clients with information that they request, which this communication device 200, having this peer module 226 therein, has already downloaded at a separate time. This process is further described in detail herein.

The agent module 228 provides functionality required when other communication devices of the communication network 100 acting as clients query this communication device 200, having this agent module 228 therein, as an agent, to obtain a list of peers within the communication network 100 that contain requested information. This process is further described in detail herein.

The acceleration application 220 interacts with both the configuration database 280 and the cache database 282 of the storage device 208. As previously mentioned herein, the configuration database 280 stores configuration data that may be common to all communication devices of the communication network 100 and is used to provide setup and synchronization information to different modules 222, 224, 226, 228 of the acceleration application 220 stored within the memory 210.

The cache database 282 stores responses to information requests, such as, for example, HTTP requests, that the communication device 200 has dispatched, either for its own consumption or on behalf of other elements of the communication network 100. The responses to HTTP requests are stored within the cache database 282 for future use by this communication device 200, or for other communication devices within the communication network 100 that

need to retrieve this same information and will use this communication device 200 as either a peer or an agent. This process is described in detail herein.

Information stored within the cache database 282 may include any information associated with a request sent by the client. As an example, such information may include, metadata and actual requested data. For example, for an HTTP request for a video, the metadata may include the version of the Web server answering the request from the client and the data would be the requested video itself. In a situation where there is no more room for storage in the cache database, the software of the associated communication device may cause the communication device to erase previous data stored in order to clear room for the new data to store in the cache database. As an example, such previous data may include data that is most likely not to be used again. Such data may be old data or data that is known to no longer be valid. The communication device may choose to erase the least relevant data, according to any of several methods that are well known in the art.

FIG. 7 is a chart further illustrating two of the main databases utilized within the communication network 100, namely, the acceleration server database 164 and the cache database 282. As previously mentioned, the acceleration server database 164 stores IP addresses of communication devices located within the communication network 100, which have acceleration software stored therein. Specifically, the acceleration server database 164 contains stored therein a list of communication devices having acceleration software stored therein that are currently online within the communication network 100. The acceleration server assigns a list of IP addresses to each communication device functioning as an agent. Each communication device will be the agent for any Web servers whose IP address is in the range 'owned' by that communication device. As an example, when a first ever communication device goes online, namely, the first communication device as described herein having the acceleration application 220 therein, the acceleration server assigns all IP addresses in the world to this communication device, and this communication device will be the agent for any Web server. When a second

communication device goes online it will share the IP address list with the first communication device, so that each of the communication devices will be responsible for a different part of the world wide web servers.

The cache database 282 of the communication device 200 has stored therein a list of URLs 286 of which the communication device 200 is aware. The communication device 200 becomes aware of a URL each time that the communication device 200 receives a request for information located at a specific URL. As shown by FIG. 7, for each URL 288 within the list of URLs 286, the cache database 282 stores: the URL itself 290; HTTP headers 292 returned by the Web Server 152 for this URL; when the last time 294 was that the contents of this URL were loaded directly from the Web Server 152; when the contents of the URL last changed 296 on the Web Server 152; and a list of chunks 298 that contain the contents of this URL, and the content of the chunk. As previously mentioned, chunks, in the present description, are defined as equally sized pieces of data that together form the entire content of the URL, namely, the entire content whose location is described by the URL. As a non-limiting example, a chunk size of, for example, 16KB can be used, so that any HTTP response will be split up into chunks of 16KB. In accordance with an alternative embodiment of the invention, if the last chunk of the response is not large enough to fill the designated chunk size, such as 16KB for the present example, the remaining portion of the chunk will be left empty.

For each such chunk 300, the cache database 282 includes the checksum of the chunk 302, the data of the chunk 304 itself, and a list of peers 306 that most likely have the data for this chunk. As is described in additional detail herein, the data for the chunk may be used by other clients within the communication network 100 when other communication devices of the communication network 100 serve as peers to the clients, from which to download the chunk data.

For each chunk, a checksum is calculated and stored along side of the chunk itself. The checksum may be calculated in any of numerous ways known to those in the art. The purpose of having the checksum is to be able to identify data uniquely, whereas the checksum is the “key” to the data, where the data is the chunk. As an example, a client may want to load the contents of a URL, resulting in the agent that is servicing this request sending the checksums of the chunks to the client, along with the peers that store these chunks. It is to be noted that there could be a different peer for every different chunk. The client then communicates with each such peer, and provides the checksum of the chunk that it would like the peer to transmit back to the client. The peer looks up the checksum (the key) in its cache database, and provides back the chunk (data) that corresponds to this checksum (the key). As shown by FIG. 7, for each peer 308 within the list of peers 306, the cache database 282 includes the peer IP address 310, as well as the connection status 312 of the peer, which represents whether the peer 308 is online or not.

In accordance with one embodiment of the invention, the cache database 282 may be indexed by URL and by Checksum. Having the cache database indexed in this manner is beneficial due to the following reason. When the agent is using the cache database, the agent receives a request from a client for the URL that the client is looking for. In such a case the agent needs the cache database to be indexed by the URL, to assist in finding a list of corresponding peers that have the chunks of this URL. When the peers are using this cache database, the peers obtain a request from the client for a particular checksum, and the peers need the database to be indexed by the checksum so that they can quickly find the correct chunk. Of course, as would be understood by one having ordinary skill in the art, the cache database may instead be indexed in any other manner.

Having described components of the communication network 100, the following further describes how such components interact and individually function. FIG. 8 is a flowchart 300 illustrating operation of the acceleration system initializer module 222 (hereafter referred to as the initializer 222 for purposes of brevity). It should be noted that any process descriptions or

blocks in flowcharts should be understood as representing modules, segments, portions of code, or steps that include one or more instructions for implementing specific logical functions in the process, and alternative implementations are included within the scope of the present invention in which functions may be executed out of order from that shown or discussed, including substantially concurrently or in reverse order, depending on the functionality involved, as would be understood by those reasonably skilled in the art of the present invention.

The initializer 222 is the first element of the communication device 200 to operate as the communication device 200 starts up (block 302). As the initializer 222 starts, it first communicates with the acceleration server 162 to sign up with the acceleration server 162. This is performed by providing the acceleration server 162 with the hostname, and all IP addresses and media access control (MAC) addresses of the interfaces on the communication device 200 having the initializer 222 thereon.

In accordance with an alternative embodiment of the invention, as shown by block 304, the initializer 222 checks with the acceleration server 162 whether a more updated version of the acceleration application software is available. This may be performed by any one of many known methods, such as, but not limited to, by providing the version number of the acceleration application software to the acceleration server 162. The message received back from the acceleration server 162 indicates whether there is a newer version of the acceleration application software or not. If a newer version of the acceleration application software exists, the initializer 222 downloads the latest version of the acceleration application software from the acceleration server 162, or from a different location, and installs the latest version on the communication device 200. In addition to the abovementioned, the initializer 222 may also schedule additional version checks for every set period of time thereafter. As an example, the initializer 222 may check for system updates every two days.

As shown by block 306, the initializer 222 then redirects outgoing network traffic from the communication device 200 to flow through the acceleration application 162. As previously mentioned, one way to redirect the outgoing network traffic is to insert an intermediate driver 212 that intercepts and redirects the traffic. It should be noted that there are many other ways to implement this redirection, which are well known to those having ordinary skill in the art.

As shown by block 308, the initializer 222 then launches the client module 224 of the communication device 200, and configures the client module 224 of the communication device 200 to intercept to all outgoing network communications of the communication device 200 and route the outgoing network communications to the client module 224, from the intermediate driver 272 or other routing method implemented. This is performed so that the client module 224 is able to receive all network traffic coming from the network applications, modify the network traffic if necessary, and re-route the traffic. As is known by those having ordinary skill in the art, in order to re-route the traffic, the traffic needs to be modified, as an example, to change the destination of requests.

As shown by block 310, the initializer 222 then launches the agent module 228 and the peer module 226 to run on the communication device 200. The agent module 228 and peer module 226 listen on pre-determined ports of the communication device 200, so that incoming network traffic on these ports gets routed to the agent module 228 and peer module 226. As is explained in further detail herein, the abovementioned enables the communication device 200 to function as an agent and as a peer for other communication devices within the communication network 100, as needed.

FIG. 9 is a flowchart 350 further illustrating communication between different elements of the communication network 100, in accordance with the present system and method for providing faster and more efficient data communication.

As shown by block 352, an application running on the client 200 initiates a request for a resource on a network. Such a request may be, for example, “GET http://www.aol.com/index.html HTTP/1.1”. The request may come from an Internet browser 214 located on the client 200, where the Internet browser 214 is loading a page from the Internet, an application that wants to download information from the Internet, fetch or send email, or any other network communication request.

Through the intermediate driver 272, or other such mechanism as may be implemented that is re-routing the communication to the client module 224 of the client 200, the resource request is intercepted by the client module 224 that is running on the client 200 (block 354). The client module 224 then looks up the IP address of the server 152 that is the target of the resource request (e.g., the IP address of the Web server that is the host of www.aol.com in the example above), and sends this IP address to the acceleration server 162 (block 356) in order to obtain a list of communication devices that the client 200 can use as agents (hereafter referred to as agents). It should be noted that the process of performing an IP lookup for a server is known by one having ordinary skill in the art, and therefore is not described further herein.

In response to receiving the IP address of the server 152, the acceleration server 162 prepares a list of agents that may be suitable to handle the request from this IP address (block 358). The size of the list can differ based on implementation. For exemplary purposes, the following provides an example where a list of five agents is prepared by the acceleration server 162. The list of agents is created by the acceleration server 162 by finding the communication devices of the communication network 100 that are currently online, and whose IP address is numerically close to the IP of the destination Web server 152. A further description of the abovementioned process is described here in.

As shown by block 360, the client module 224 then sends the original request (e.g., “GET http://www.aol.com/index.html HTTP/1.1”) to all the agents in the list received from the



acceleration server 162 in order to find out which of the agents in the list is best suited to be the one agent that will assist with this request.

It should be noted that, in accordance with an alternative embodiment of the invention, the communication device 200 may be connected to a device that is actually requesting data. In such an alternative embodiment, the communication device would be a modular device connected to a requesting device, where the requesting device, such as, for example, a personal data assistant (PDA) or other device, would request data, and the communication device connected thereto, either through a physical connection, wireless connection, or any other connection, would receive the data request and function as described herein. In addition, as previously mentioned, it should be noted that the HTTP request may be replaced by any request for resources on the Web.

FIG. 10 is a flowchart continuing the flowchart 380 of FIG. 9 and focused on agent response to the request. As shown by block 382, upon receiving the request from the client 200, each agent that received the request from the client responds to the client 200 with whether it has information regarding the request, which can help the client to download the requested information from peers in the network. Specifically, each agent responds with whether the agent has seen a previous request for this resource that has been fulfilled. In such a case, the agent may then provide the client with the list of peers and checksums of the chunks that each of them have.

As shown by block 384, the client then decides which of the agents in the list to use as its agent for this particular information request. To determine which agent in the list to use as its agent for the particular information request, the client may consider multiple factors, such as, for example, factoring the speed of the reply by each agent and whether that agent does or does not have the information required. There are multiple ways to implement this agent selection, one practical way being to start a timer of a small window of time, such as, for example, 5ms, after receiving the first response from the agents, and after the small window, choosing from the list

of agents that responded, the agent that has the information about the request, or in the case that none of the agents responded, to choose the first agent from the list received from the acceleration server 162.

As shown by block 386, after selecting an agent, the client notifies the selected agent that it is going to use it for this request, and notifies the other agents that they will not be used for this request. The client then sends the selected agent a request for the first five chunks of data of the original information request (block 388). By specifying to the selected agent the requested chunks by their order in the full response, the client receives the peer list and checksums of the requested chunks from the selected agent. As an example, for the first five chunks the client will ask the selected agent for chunks one through five, and for the fourth batch of five chunks the client will ask the agent for chunks sixteen through twenty. As previously mentioned, additional or fewer chunks may be requested at a single time.

As shown by block 390, after receiving the request from the client, the selected agent determines whether it has information regarding the requested chunks of data by looking up the request in its cache database and determining if the selected agent has stored therein information regarding peers of the communication network that have stored the requested data of the request, or whether the selected agent itself has the requested data of the request stored in its memory. In addition to determining if the selected agent contains an entry for this request in its database, the selected agent may also determine if this information is still valid. Specifically, the selected agent determines whether the data that is stored within the memory of the selected agent or the memory of the peers, still mirrors the information that would have been received from the server itself for this request. A further description of the process utilized by the selected agent to determine if the information is still valid, is described in detail herein.

As shown by block 392, if the information (requested data of the request) exists and is still valid, then the agent prepares a response to the client, which includes for each of the chunks:

(i) the checksum of the chunk; (ii) a list of peers that according to the database of the selected agent contains these chunks; and (iii) if these are the first five chunks of the information, then the selected agent also provides the specific protocol's headers that would have been received from the server, had the initial request from the client been made directly to the server.

As shown by block 394, the list of peers for each chunk is sorted by geographical proximity to the requesting client. In accordance with the present example, only the five closest peers are kept in the list for every chunk, and the rest of the peers are discarded from this list. As shown by block 396, the prepared response, namely, the list of closest peers, is sent back to the client. It should be noted that, if this were the last set of chunks to be provided for this request, then it would be beneficial to include information about this to the client.

If the selected agent discovers that it does not have information about this request, or if the selected agent discovers that the information it has is no longer valid, the selected agent needs to load the information directly from the server in order to be able to provide an answer to the requesting client. As shown by block 400, the selected agent then sends the request directly to the server. The selected agent then stores the information it receives from the server (both the headers of the request, as well as chunks of the response itself) in its database, for this particular response to the client, as well as for future use to other clients that may request this data (block 402). The selected agent then prepares a response (list) for the client, where the response includes the protocol headers (if these are the first five chunks), and the checksums of the five chunks, and provides itself as the only peer for these chunks (block 404). This list is then sent back to the client (block 406).

FIG. 11 is a flowchart 420 continuing the flowchart of FIG. 10, which illustrates actions taken upon receipt of the list of peers, or single peer listing, from the agent. As shown by block 422, the client receives the response from the agent (including the list of chunks and their corresponding data, including peers and other information previously mentioned) and, for each

of the five chunks, the client sends a request to each of the peers listed for the chunk to download the chunk. The chunk request that the client sends to each of the peers is the checksum of the data that the client seeks to receive, which is the key (identifier) of the chunk.

As shown by block 424, the peers then respond regarding whether they still have the data of the chunk. As an example, some of the peers may not currently be online, some may be online but may have discarded the relevant information, and some may still have the relevant information, namely, the chunk. As shown by block 426, the client then selects the quickest peer that responds with a positive answer regarding the requested information, the client lets that peer know that it is chosen to provide the client with the chunk, and the client notifies the other peers that they are not chosen.

As shown by block 428, the chosen peer then sends the chunk to the client. It should be noted that if no peers answer the request of the client, the client goes back to the agent noting that the peers were all negative, and the agent either provides a list of 5 other agents, if they exist, or the agent goes on to download the information directly from the Web server as happens in the case where no peers exist as described above.

The client then stores the chunks in its cache for future use (block 430), when the client may need to provide the chunks to a requesting communication device when acting as a peer for another client that is looking for the same information. As shown by block 432, if some of the chunks were not loaded from any of the peers, the client requests the chunks again from the agent in a next round of requests, flagging these chunks as chunks that were not loadable from the client list of peers. In this situation, the agent will load the data directly from the server and provide it back to the client.

The client then acknowledges to the agent which of the chunks it received properly (block 434). The agent then looks up these chunks in the database of the agent, and adds the

client to the list of peers for these chunks, specifically, since this client is now storing these chunks, and can provide these chunks to other clients that turn to it as a peer (block 436).

As shown by block 438, the client then passes the data on to the Web browser or other application of the client that made the original request, for it to use as it had originally intended. The client then checks whether all of the chunks for this request were received (block 440), by checking the flag set by the agent. Specifically, when the agent is providing the list of the last 5 chunks, the agent includes that information as part of its reply to the client, which is referred to herein as a flag. This information is what enables the client to know that all information has been received for a particular resource request.

If the last received chunks were not the last chunks for this request, the processing flow of the client continues by returning to the functionality of block 384 of FIG. 10, but instead sending the chosen agent a request for the next five chunks of data of the original information request. Alternatively, if all chunks for this request were received, the request is complete, and the flow starts again at block 352 of FIG. 9.

FIG. 12 is a flowchart 500 illustrating steps taken by an agent, client, or peer to determine whether a certain HTTP request is still valid. Specifically, the following provides an example of how the agent, client, or peer can determine whether particular data that is stored within the memory of the agent, or the memory of a peer or client, still mirrors the information that is currently on the Web server. As shown by block 502, the HTTP request is looked up in the cache database of the agent, client or peer that is checking the validity of the HTTP request. As an example, the HTTP protocol, defined by RFC 2616, outlines specific methods that Web servers can define within the HTTP headers signifying the validity of certain data, such as, but not limited to, by using HTTP header information such as “max age” to indicate how long this data may be cached before becoming invalid, “no cache” to indicate that the data may never be cached, and using other information.

As shown by block 504, these standard methods of validation are tested on the HTTP request information in question. As shown by block 506, a determination is made whether the requested information that is stored is valid or not. If the requested information is valid, a “VALID” response is returned (block 508). Alternatively, if the requested information is not valid, an HTTP conditional request is sent to the relevant Web server, to determine if the data stored for this request is still valid (block 510). If the data stored for this request is still valid, a “VALID” response is returned (block 508). Alternatively, if the data stored for this request is not valid, an “INVALID” response is returned (block 514). It should be noted, that the abovementioned description with regard to FIG. 12 is an explanation of how to check if HTTP information is still valid. There are similar methods of determining validity for any other protocol, which may be utilized, and which those having ordinary skill in the art would appreciate and understand.

FIG. 13 is a flowchart 550 outlining operation of the acceleration server, whose main responsibility in the present system and method is to provide clients with information regarding which agents serve which requests, and to keep the network elements all up to date with the latest software updates. As shown by block 552, the acceleration server sends “keep alive” signals to the network elements, and keeps track within its database as to which network elements are online. As shown by block 554, the acceleration server continues to wait for a client request and continues to determine if one is received.

Once a request is received, the acceleration server tests the type of request received (block 556). If the client request is to sign up the client within the network, an event that happens every time that the client starts running on its host machine, then that client is added to the list of agents stored on the acceleration server, sorted by the IP address of the client (block 558).

If the request is to find an agent to use for a particular request, the acceleration server creates a new agent list, which is empty (block 560). The acceleration server then searches the

agent database for the next 5 active agents whose IP address is closest to the IP address of the server who is targeted in the request (block 562). In this context, 192.166.3.103 is closer to 192.166.3.212 than to 192.167.3.104. The acceleration server then sends this agent list to the client (block 564).

If instead, the request is to check the version of the latest acceleration software then the acceleration server sends that network element (client, peer or agent) the version number of the latest existing acceleration software version, and a URL from where to download the new version, for the case that the element needs to upgrade to the new version (block 566).

While the abovementioned example is focused on HTTP requests for data, as previously mentioned, other protocol requests are equally capable of being handled by the present system and method. As an example, in separate embodiments the acceleration method described may accelerate any communication protocol at any OSI layer (SMTP, DNS, UDP, ETHERNET, etc.). In the following alternative embodiment, it is illustrated how the acceleration method may accelerate TCPIP. As is known by those having ordinary skill in the art, TCPIP is a relatively low-level protocol, as opposed to HTTP, which is a high level protocol. For purposes of illustration of TCPIP communication, reference may be made to FIG. 3, wherein the Web server is a TCPIP server.

In TCPIP there are three communication commands that are of particular interest, namely, connect, write, and read. Connect is a command issued by an application in the communication device that is initiating the communication to instruct the TCPIP stack to connect to a remote communication device. The connect message includes the IP address of the communication device, and the port number to connect to. An application uses the write command to instruct the TCPIP stack to send a message (i.e., data) to a communication device to which it is connected. In addition, an application uses the read command to ask the TCPIP stack to provide the message that was sent from the remote communication device to which it is

connected. A communication session typically exists of a connect, followed by a read and write on both sides.

FIG. 14 is a flowchart 600 further illustrating TCPIP acceleration in accordance with this alternative embodiment of the invention. As shown by blocks 601 and 602 when an application of the communication device makes a request to the communications stack to connect with the TCPIP server, that communication is intercepted by the acceleration application.

To find an agent, upon receiving that connect message from the communication device application, which includes the IP address of the TCPIP server and the port to connect to, the acceleration application in the client makes a request to the acceleration server to find out who the agent for the communication with the TCPIP server is. This step is performed in a similar manner to that described with regard to the main HTTP embodiment of the invention (block 604). As shown by block 606, the server then provides the client with a list of agents, for example, a primary agent and four others.

To establish a connection, as shown by block 608, the client issues a TCPIP connect with the primary agent or one of the other agents if the primary agent does not succeed, to create a connection with the agent. The client then sends to the agent the IP address of the TCPIP server and connection port that were provided by the communication device application (block 610). As shown by block 612, that agent in turn issues a TCPIP connect to the TCPIP server to the port it received from the client, to create a connection with the agent.

FIG. 15 is a flowchart 800 further illustrating TCPIP acceleration in accordance with this alternative embodiment of the invention, detailing the communication between the client and the TCPIP server (read and write commands) after the connect phase has completed successfully.



As shown by block 802, if the network application within the client wants to send a message to the TCPIP server, the network application within the client writes the message to the TCPIP stack in the operating system of the client. This WRITE command is received by the acceleration application of the client and handled in the manner described below. If the TCPIP server wants to send a message to the client, the TCPIP server writes the message to the TCPIP stack of TCPIP operating system, on the connection to the agent, since this agent is where the server received the original connection. This WRITE command is received by the acceleration application of the agent and handled in the manner described below.

When the acceleration application of the client receives a message from the network application of the client to be sent to the agent, or when the acceleration application of the agent receives a message from the connection to the TCPIP server that is to be sent to the client, the acceleration application proceeds to send the message to the communication device on the other side. For instance, if the client has intercepted the message from the communication application, the client sends the message to the agent, and if it is the agent that intercepted the message from the connection to the TCPIP server, such as the TCPIP server sending a message that is intended for the communication with client, the agent sends the message to the client in the following manner:

As shown by block 804, the acceleration application breaks up the content of the message to chunks and calculates the corresponding checksums, in the same manner as in the main embodiment described herein. The acceleration application then looks up each checksum in its cache database (block 806). As shown by block 808, the acceleration application checks if the checksum exists in the cache database. If it does, then, as shown by block 810, the acceleration

application prepares a list of peers that have already received the chunk of the checksum in the past (if any), and adds the communication device of the other side to the list of communication devices that have received this chunk (adds it to the peer list of the checksum in its database), to be provided to other communication devices requesting this information in the future. As shown by block 812, the list of peers is sent to the receiving communication device, which, as shown by block 814 retrieves the chunks from the peers in the list received, in the same manner as in the main embodiment.

If the checksum does not exist within the cache database of the sending communication device then, as shown by block 820, the acceleration application adds the checksum and chunk to its cache database, sends the chunk to the communication device on the other side, and adds the other communication device to the list of peers for that checksum in its database.

As shown by block 816, a determination is then made as to whether all chunks have been received. If all chunks have not been received, the process continues on again from block 806.

Once all data has been received, as shown by block 818, the acceleration application passes the data on to the requester. Specifically, in the client, the acceleration application passes on the complete data to the communication application, and in the agent, the acceleration application passes on the complete data to the requesting TCPIP server.

It should be emphasized that the above-described embodiments of the present invention are merely possible examples of implementations, merely set forth for a clear understanding of the principles of the invention. Many variations and modifications may be made to the above-described embodiments of the invention without departing substantially from the spirit and principles of the invention. All such modifications and variations are intended to be included

Attorney Docket No. 19459-6105P

herein within the scope of this disclosure and the present invention and protected by the following claims.

## CLAIMS

We claim:

1. A network for accelerating and making more efficient data communication; comprising:
  - at least one client communication device for originating an original data request for obtaining data from a data server;
  - at least one agent communication device which is assigned to the data server for receiving the data request from the client communication device, wherein the agent keeps track of which client communication devices within the network have received responses to data requests or portions thereof from the assigned data server;
  - at least one peer communication device having stored therein at least a portion of data received in response to a prior data request by a client communication device, wherein the prior data request was for the same data as requested during the originating data request, and wherein the portion of data may be transmitted from the peer communication device to the at least one client communication device upon request by the client communication device; and
  - at least one acceleration server for deciding which agent communication device is to be assigned to which data server and providing this information to the at least one client communication device.
  
2. The network of claim 1, wherein each of the client communication device, peer communication device, and agent communication device contain therein a client module, a peer module, and an agent module, thereby allowing the client communication device, peer

communication device, and agent communication device to serve as any of a client communication device, a peer communication device, and an agent communication device.

3. The network of claim 1, wherein the acceleration server assigns a list of Internet protocol addresses to each agent communication device.

4. The network of claim 1, wherein the acceleration server has stored therein a list of online communication devices, including client communication devices, agent communication devices, and peer communication devices.

5. The network of claim 1, wherein each client communication device, agent communication device, and peer communication device maintain a list of data requests and data responses that the communication device, agent communication device, and peer communication device, respectively are respectively aware of, as well as in which communication device associated data is stored.

6. The network of claim 1, wherein the data request from the client communication device is an HTTP request, and wherein the server is a Web server.

7. The network of claim 6, wherein each client communication device contains a storage device therein that stores a list of Uniform Resource Locators (URLs) that the client communication device is aware of, each agent communication device contains a storage device

therein that stores a list of URLs that the agent communication device is aware of, and wherein each peer communication device contains a storage device therein that stores a list of URLs that the peer communication device is aware of.

8. The network of claim 7, wherein within the storage device storing URLs, with each URL, the storage device also has stored therein at least one of the group consisting of HTTP headers returned by the data server for this URL, a list of chunks that contain the contents of the URL, wherein chunks are pieces of data that together form the entire content of the URL, and the content of the chunk.

9. The network of claim 1, wherein each client communication device contains a storage device, wherein the storage device has stored therein at least one of the group consisting of a list of chunks that contain contents associated with the data request, wherein chunks are pieces of data that together form the entire content associated with the data request, and the content of the chunk, wherein the chunks are equally sized.

10. The network of claim 7, wherein within the storage device storing URLs, with each URL, the storage device also has stored therein a list of chunks that contain the contents of the URL, wherein chunks are pieces of data that together form the entire content of the URL, and with each chunk, the data of the chunk itself, a checksum of the chunk, and a list of peers that most likely have the data for this chunk.

11. The network of claim 1, wherein the network contains multiple peer communication devices, wherein the at least one agent communication device further keeps track of which peer communication devices have at least a portion of the requested data stored therein.

12. The network of claim 1, wherein the at least one acceleration server prepares a list of agent communication devices that may be suitable to handle the data request.

13. The network of claim 12, wherein the list of agent communication devices that may be suitable includes agent communication devices having an IP address that is numerically close to the IP address of the data server.

14. The network of claim 12, wherein the client communication device selects an agent communication device, notifies the selected agent communication device that it is being used for the data request, and notifies any unselected agent communication devices that they are not being used for the data request.

15. The network of claim 1, wherein the agent keeps track of peers and portions of data stored within the peers, and wherein if there is a portion of data necessary to fulfill the original data request, yet the agent is not aware of any peer having the portion of data stored therein, the agent itself queries the server for the missing portion of data and transmits the missing portion of data to the requesting client communication device.

16. The network of claim 1, wherein the agent keeps track of peers and portions of data stored within the peers, and wherein if the at least one agent knows of a specific peer that has a portion of data necessary to fulfill the original data request stored therein, the agent provides the specific peer as the peer to use for the portion of data necessary for fulfilling the original data request.

17. A communication device within a network, comprising:

a memory; and

a processor configured by the memory to perform the steps of:

originating a data request for obtaining data from a data server;

being assigned to a data server, referred to as an assigned data server;

receiving a data request from a separate device within the network, and keeping track of which client communication devices within the network have received responses to data requests from the assigned data server; and

storing portions of data received in response to the originated data request, wherein the portions of data may be transmitted to communication device upon request by the communication device.

18. The communication device of claim 17, wherein the communication device further comprises a storage device that stores a list of Uniform Resource Locators (URLs) that the communication device is aware of.



19. The communication device of claim 18, wherein within the storage device storing URLs, with each URL, the storage device also has stored therein at least one of the group consisting of HTTP headers returned by the data server for this URL, a list of chunks that contain the contents of the URL, wherein chunks are pieces of data that together form the entire content of the URL, and the content of the chunk.

20. The communication device of claim 17, wherein the communication device contains a storage device, wherein the storage device has stored therein at least one of the group consisting of a list of chunks that contain contents associated with the data request, wherein chunks are pieces of data that together form the entire content associated with the data request, and the content of the chunk, wherein the chunks are equally sized, wherein the chunks are equally sized.

21. The communication device of claim 18, wherein within the storage device storing URLs, with each URL, the storage device also has stored therein a list of chunks that contain the contents of the URL, wherein chunks are pieces of data that together form the entire content of the URL, and with each chunk, the data of the chunk itself, a checksum of the chunk, and a list of other communication devices that most likely have the data for this chunk.

22. The communication device of claim 17, wherein the data request is an HTTP request, and wherein the data server is a Web server.

23. The communication device of claim 17, wherein the processor is further configured by the memory to perform the step of keeping track of which other communication devices have at least a portion of the requested data stored therein.

24. A network for accelerating and making more efficient data communication; comprising:

at least one client communication device for originating an original data request for obtaining data from a data server;

at least one agent communication device which is assigned to the data server for receiving the data request from the client communication device, wherein the agent keeps track of which client communication devices within the network have received responses to data requests or portions thereof from the assigned data server; and

at least one acceleration server for deciding which agent communication device is to be assigned to which data server and providing this information to the at least one client communication device.

25. A network for accelerating and making more efficient data communication; comprising:

at least one client communication device for originating an original data request for obtaining data from a data server;

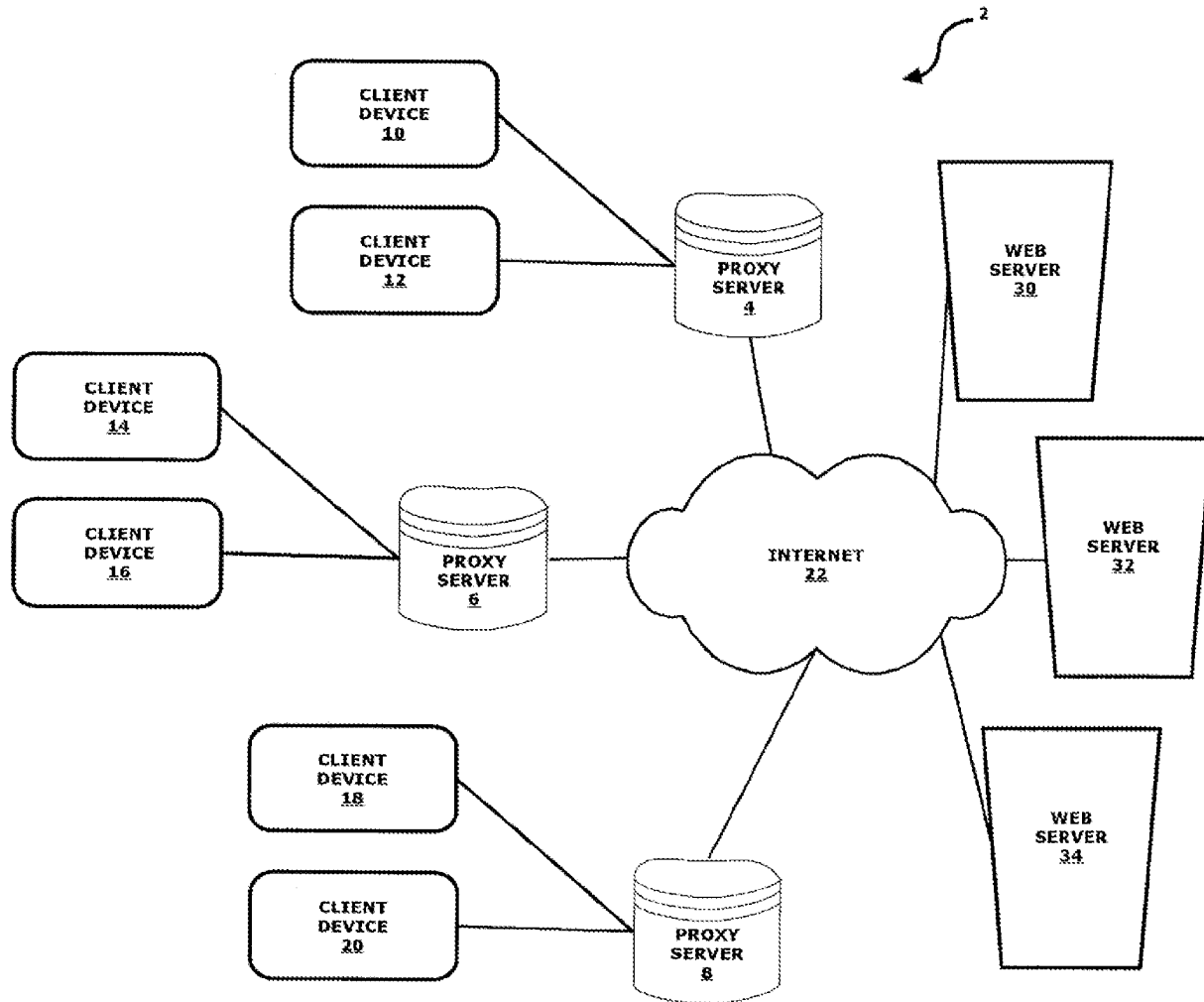
at least one agent communication device which is assigned to the data server for receiving the data request from the client communication device, wherein the

agent keeps track of which client communication devices within the network have received responses to data requests or portions thereof from the assigned data server; and

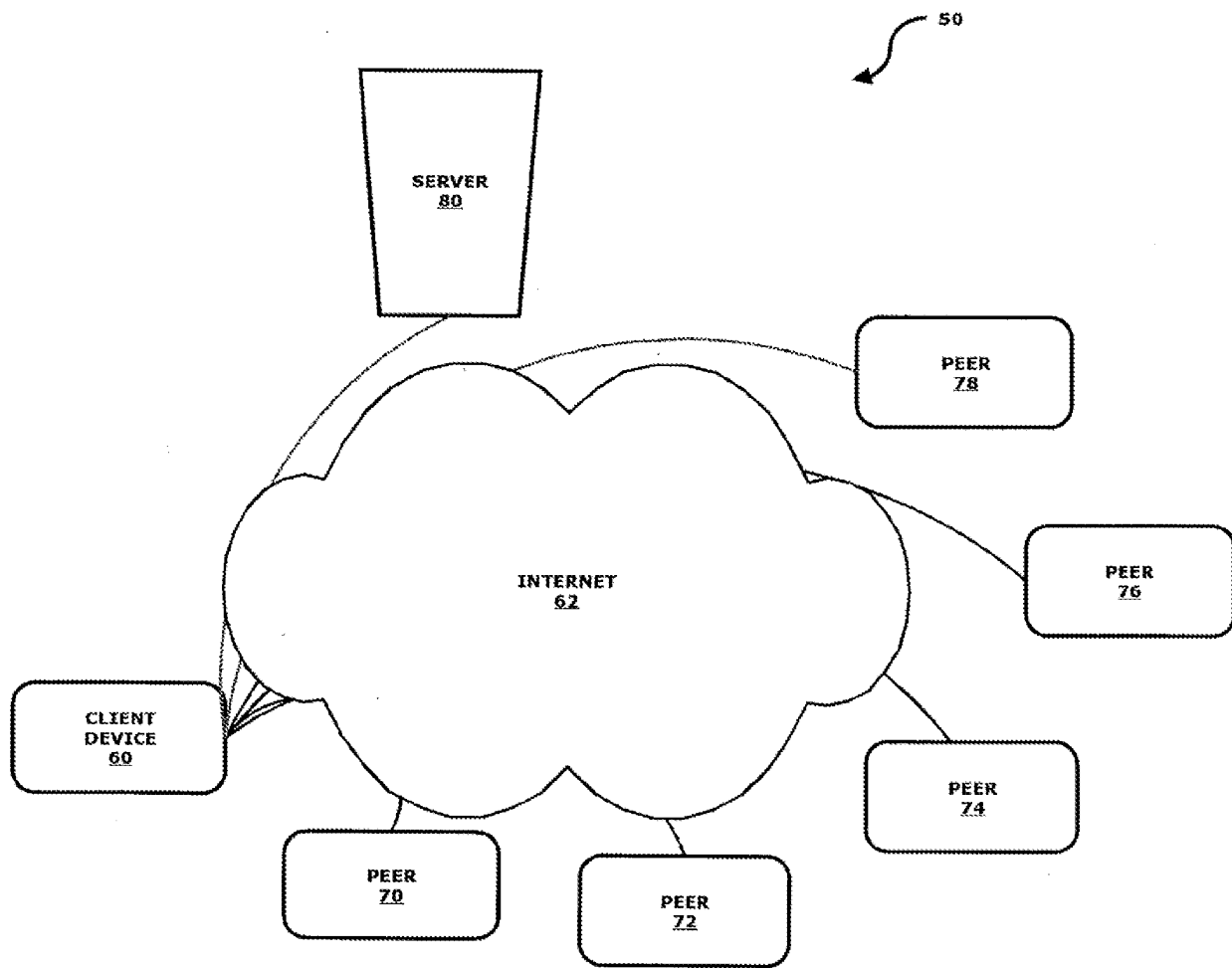
at least one peer communication device having stored therein at least a portion of data received in response to a prior data request by a client communication device, wherein the prior data request was for the same data as requested during the originating data request, and wherein the portion of data may be transmitted from the peer communication device to the at least one client communication device upon request by the client communication device.

### ABSTRACT

A system designed for increasing network communication speed for users, while lowering network congestion for content owners and ISPs. The system employs network elements including an acceleration server, clients, agents, and peers, where communication requests generated by applications are intercepted by the client on the same machine. The IP address of the server in the communication request is transmitted to the acceleration server, which provides a list of agents to use for this IP address. The communication request is sent to the agents. One or more of the agents respond with a list of peers that have previously seen some or all of the content which is the response to this request (after checking whether this data is still valid). The client then downloads the data from these peers in parts and in parallel, thereby speeding up the Web transfer, releasing congestion from the Web by fetching the information from multiple sources, and relieving traffic from Web servers by offloading the data transfers from them to nearby peers.



**FIG. 1**



**FIG. 2**

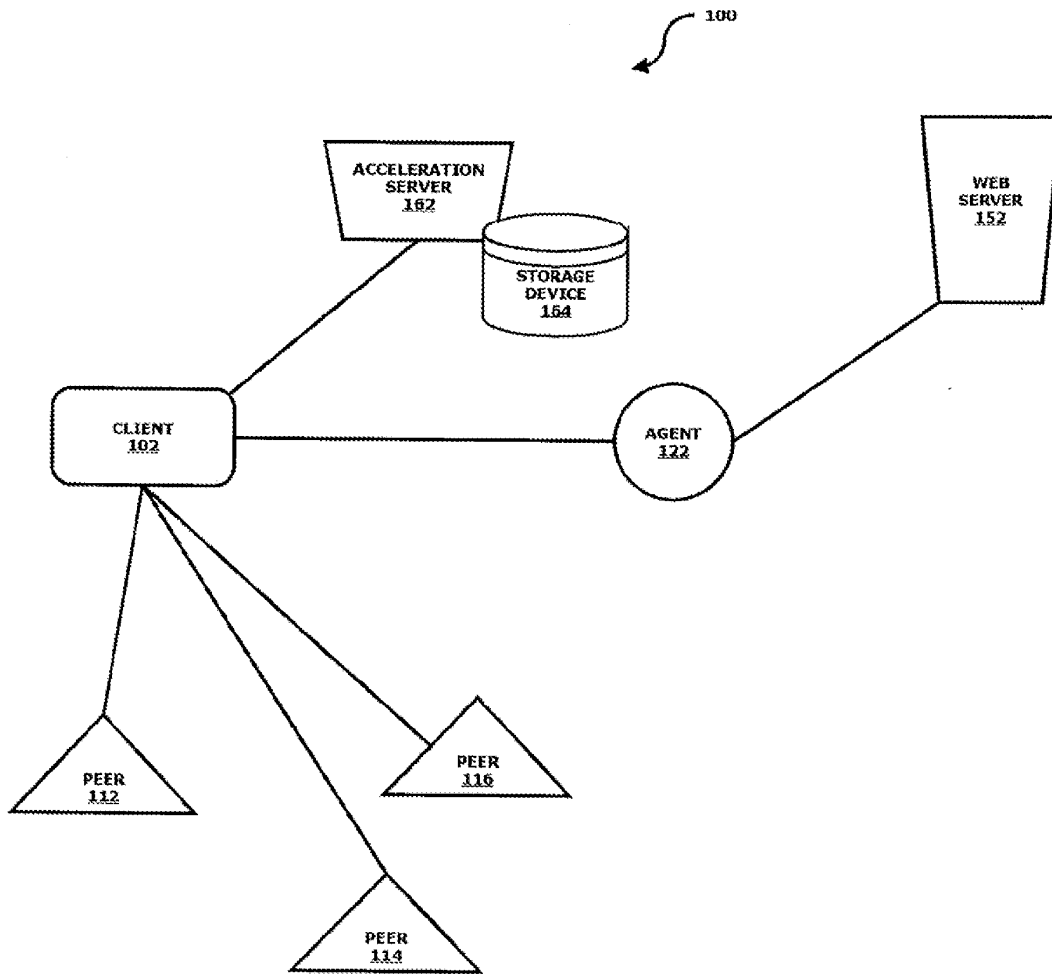
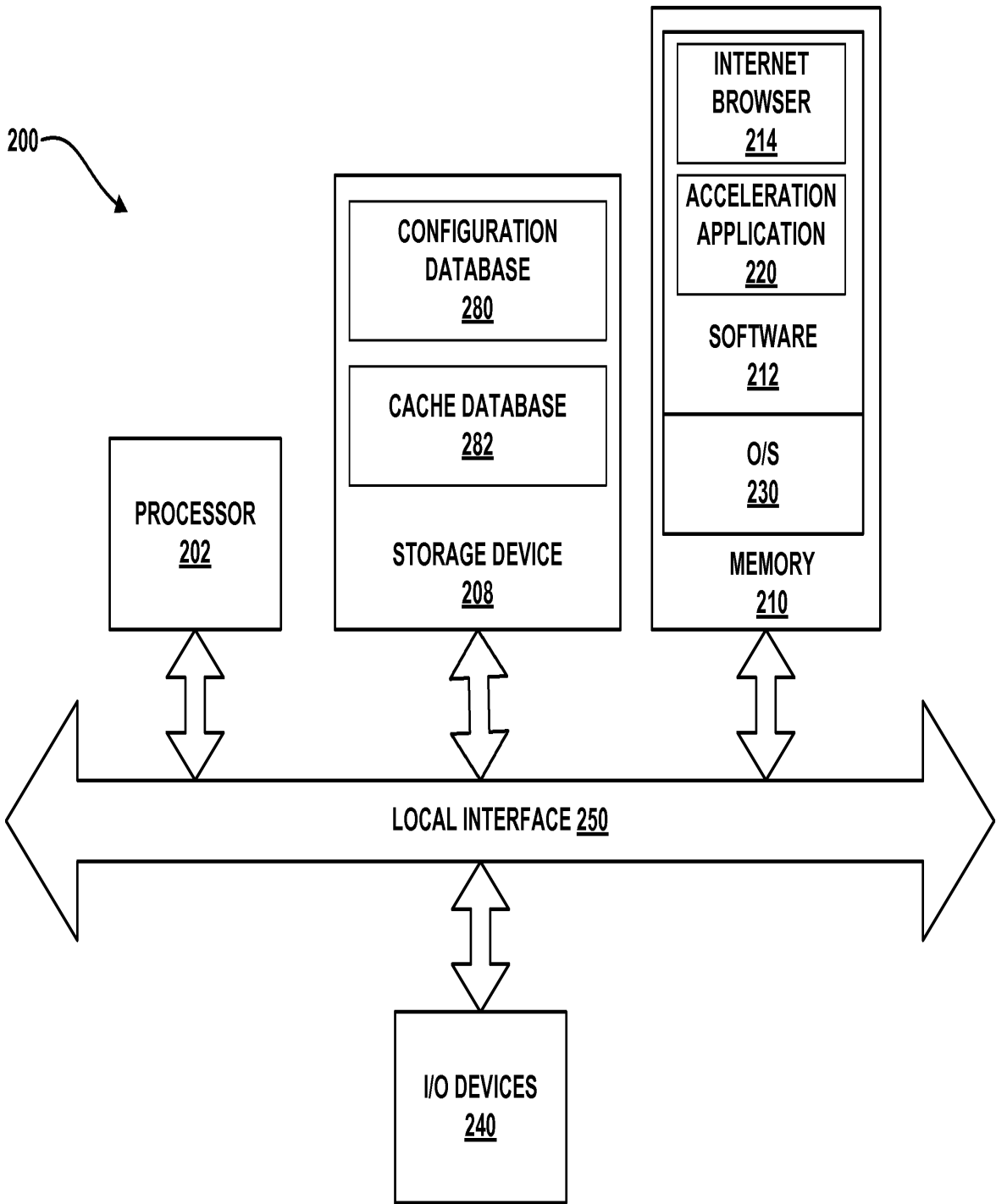
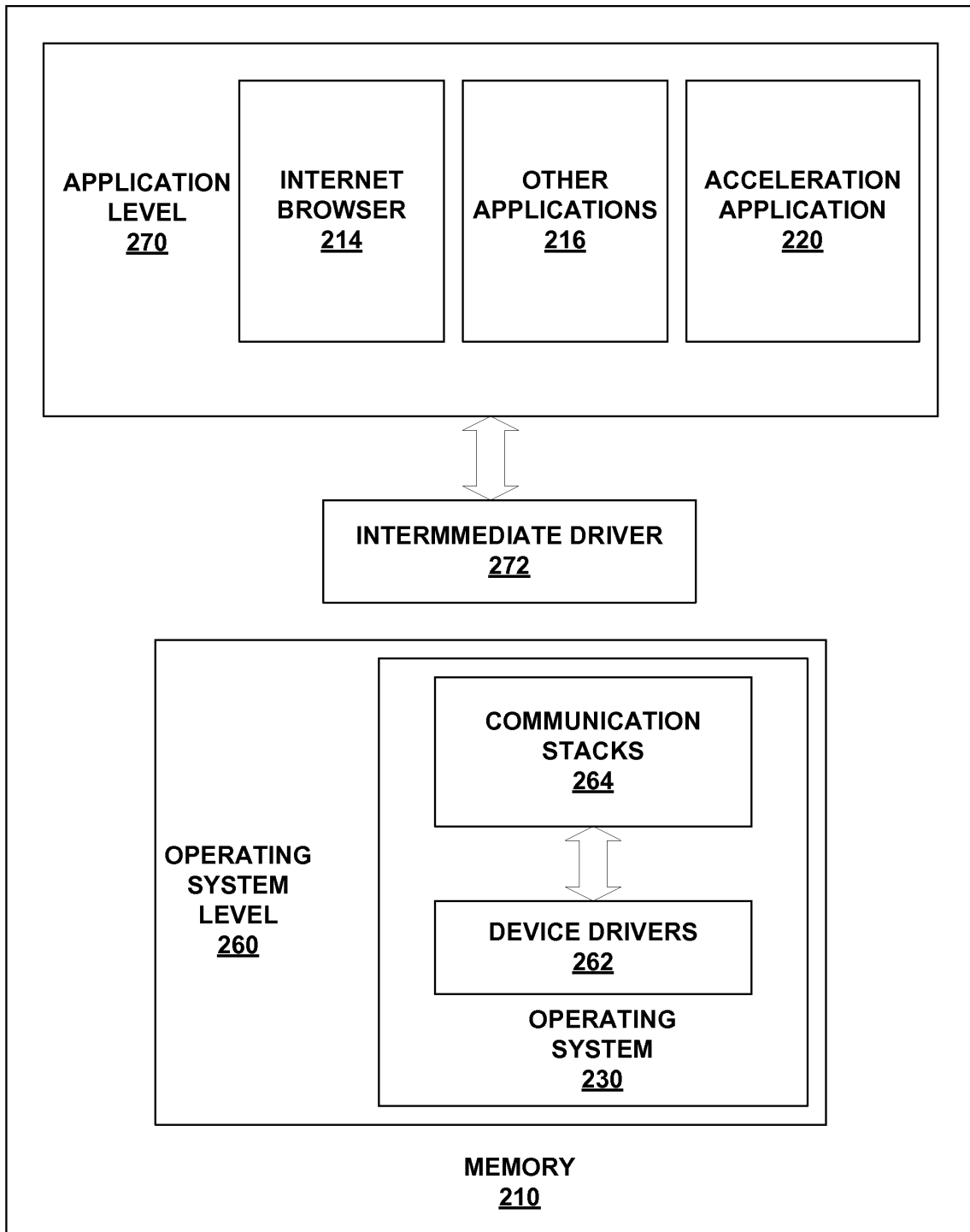


FIG. 3

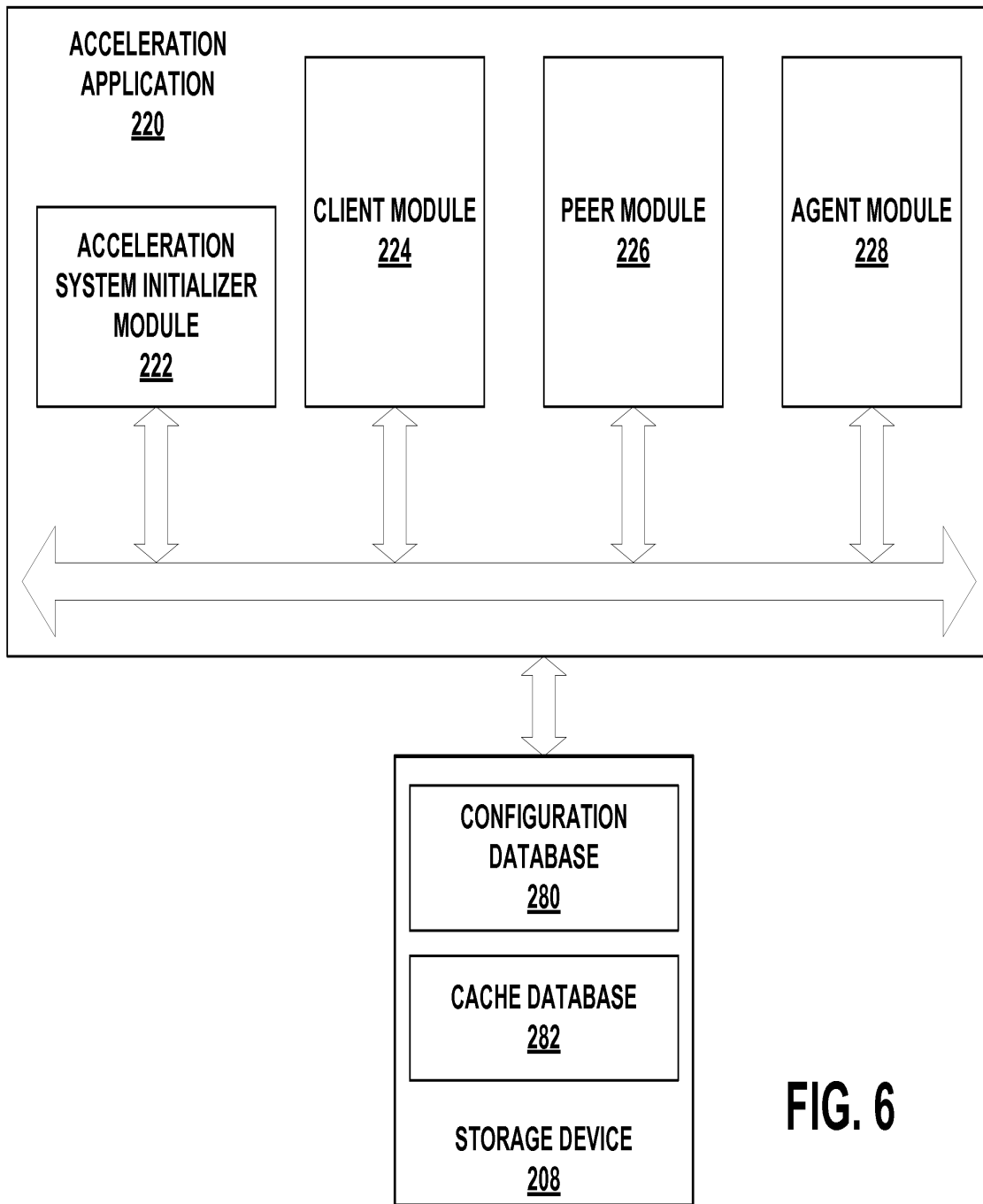


**FIG. 4**



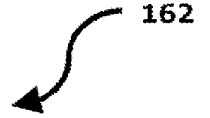


**FIG. 5**



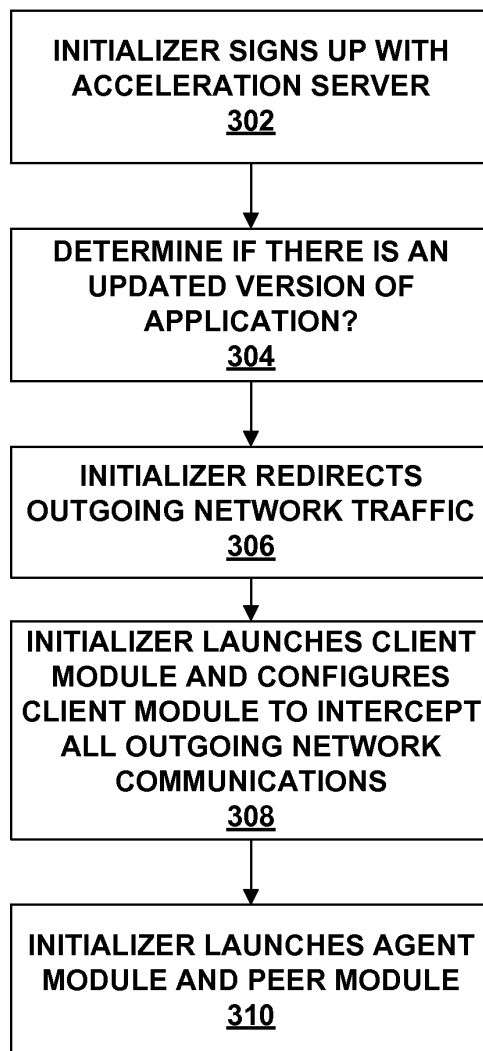
**FIG. 6**

ACCELERATION DATABASE 164			
166	AGENT IP A ONLINE/OFFLINE		
>>> INDEXED BY: AGENT IP ADDRESS			
CACHE DATABASE 282			
286	LIST OF URLS:		
288	URL 1		
	290 URL		
	292 URL HTTP HEADERS		
	294 LAST CHECKED ON SERVER		
	296 LAST CHANGED ON SERVER		
	298 LIST OF CHUNKS FOR THIS URL:		
	300 CHUNK 1		
		302	CHUNK CHECKSUM
		304	CHUNK DATA
		306	LIST OF PEERS:
		308	PEER 1
		310	PEER 1 IP ADDRESS
		312	PEER 2 CONNECTION STATUS

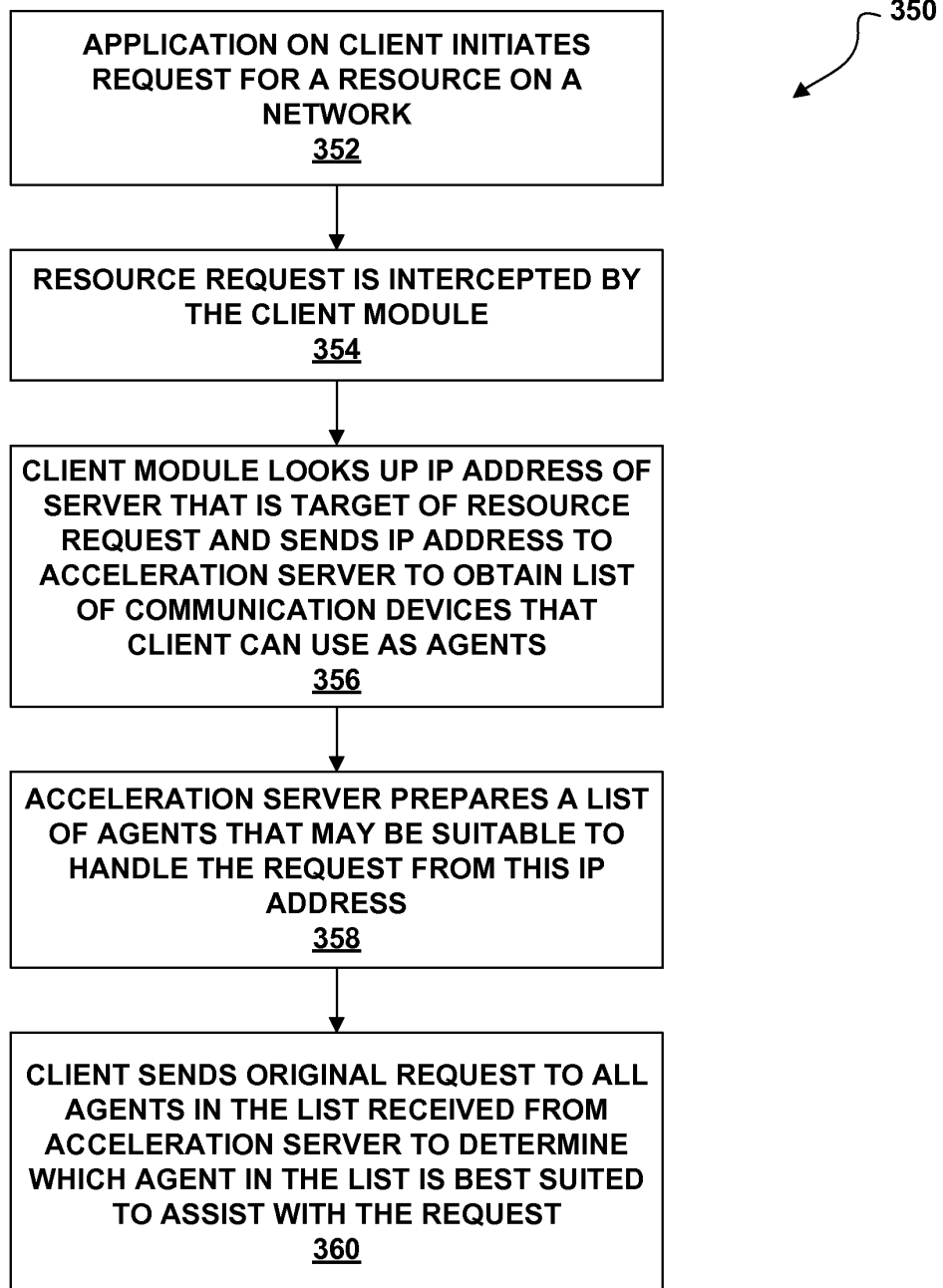


**FIG. 7**

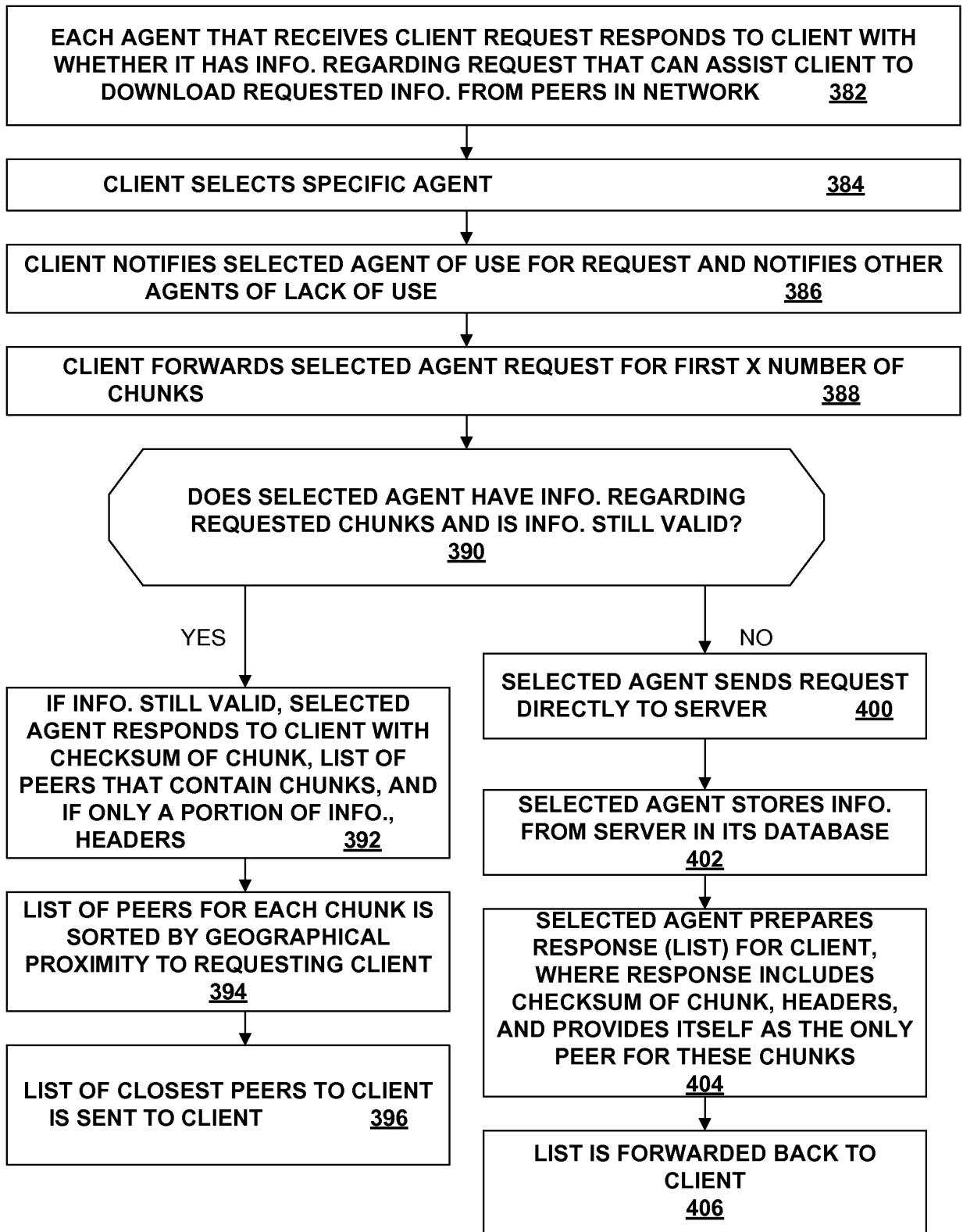
300



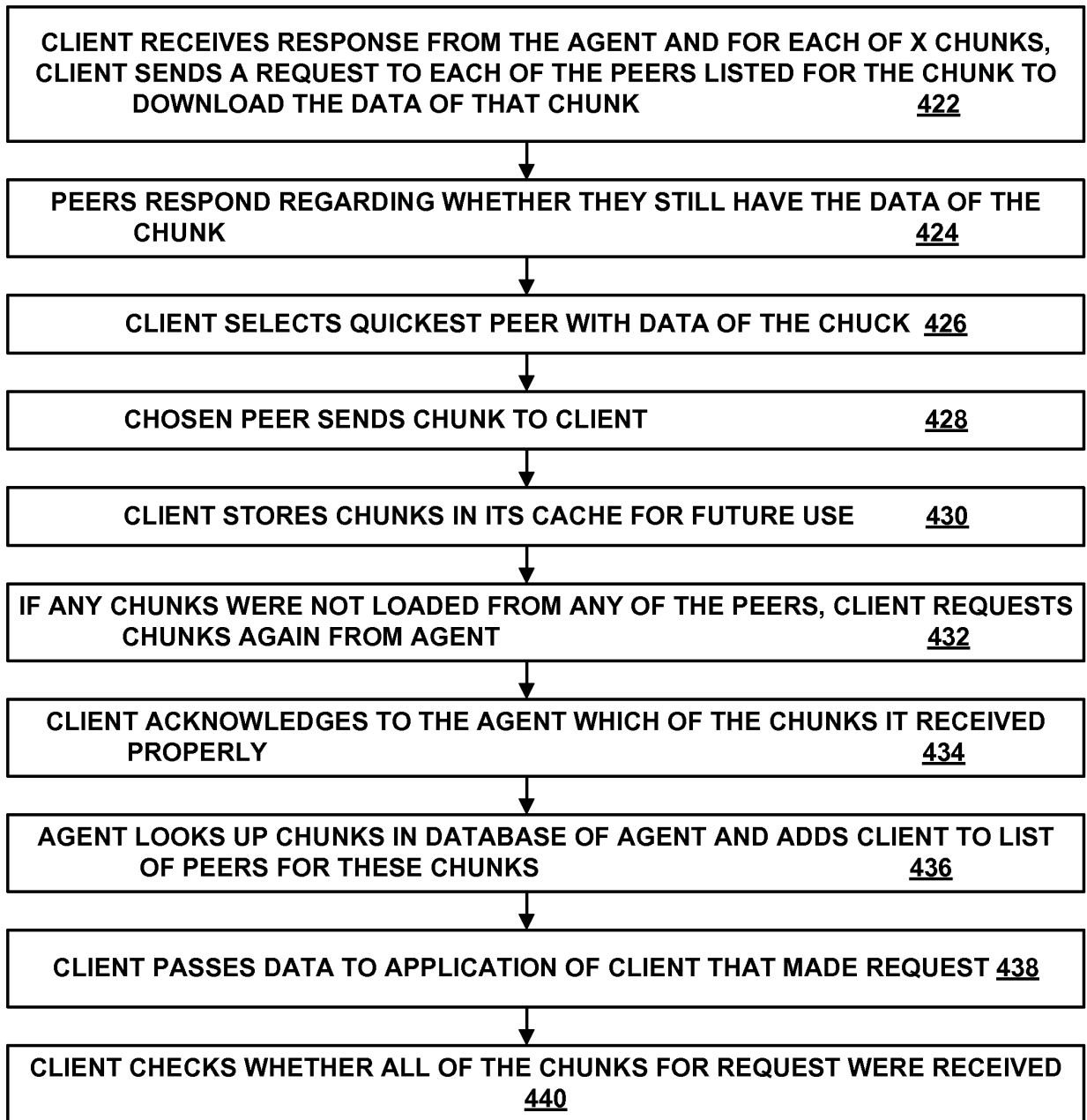
**FIG. 8**



**FIG. 9**

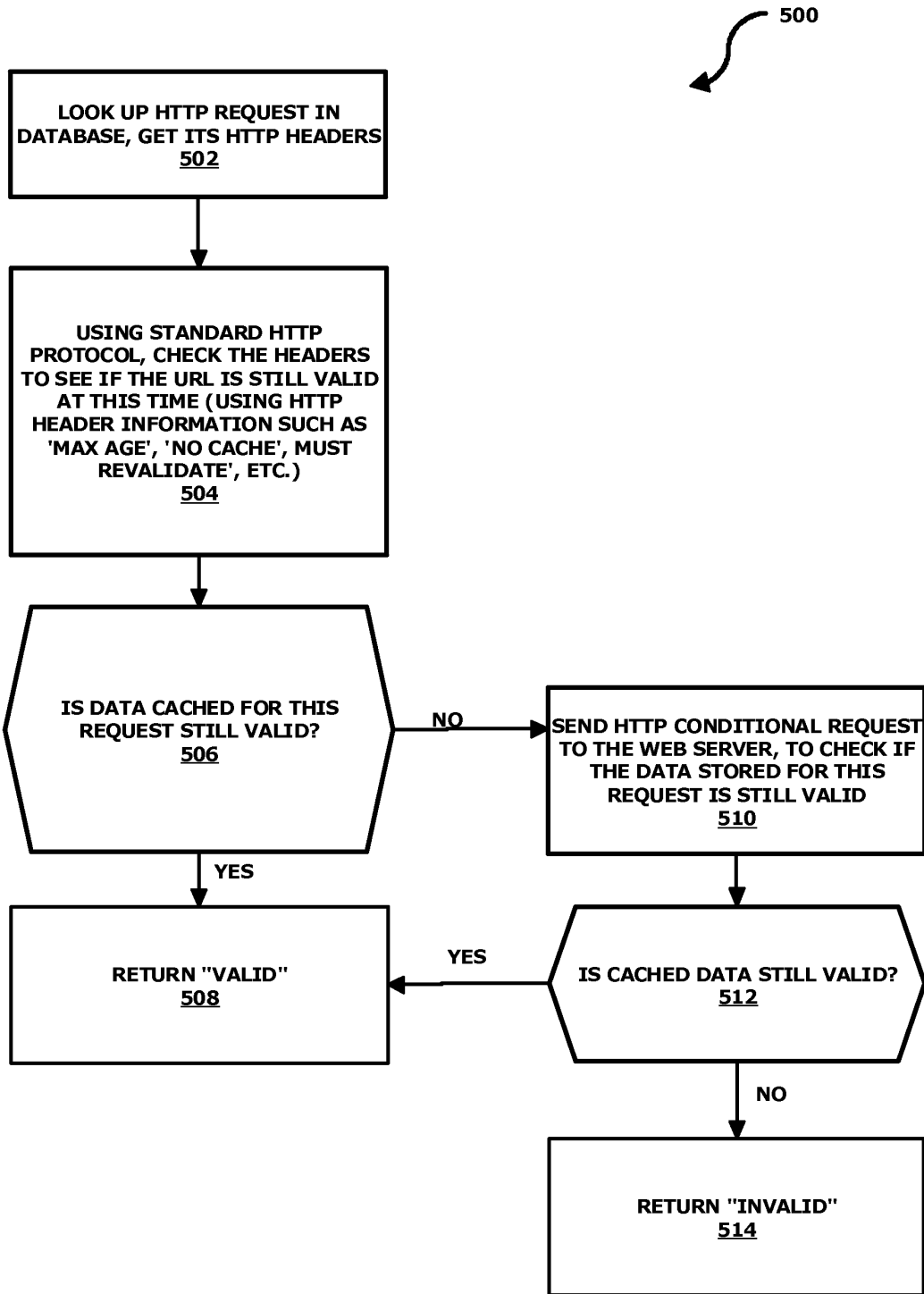


**FIG. 10**



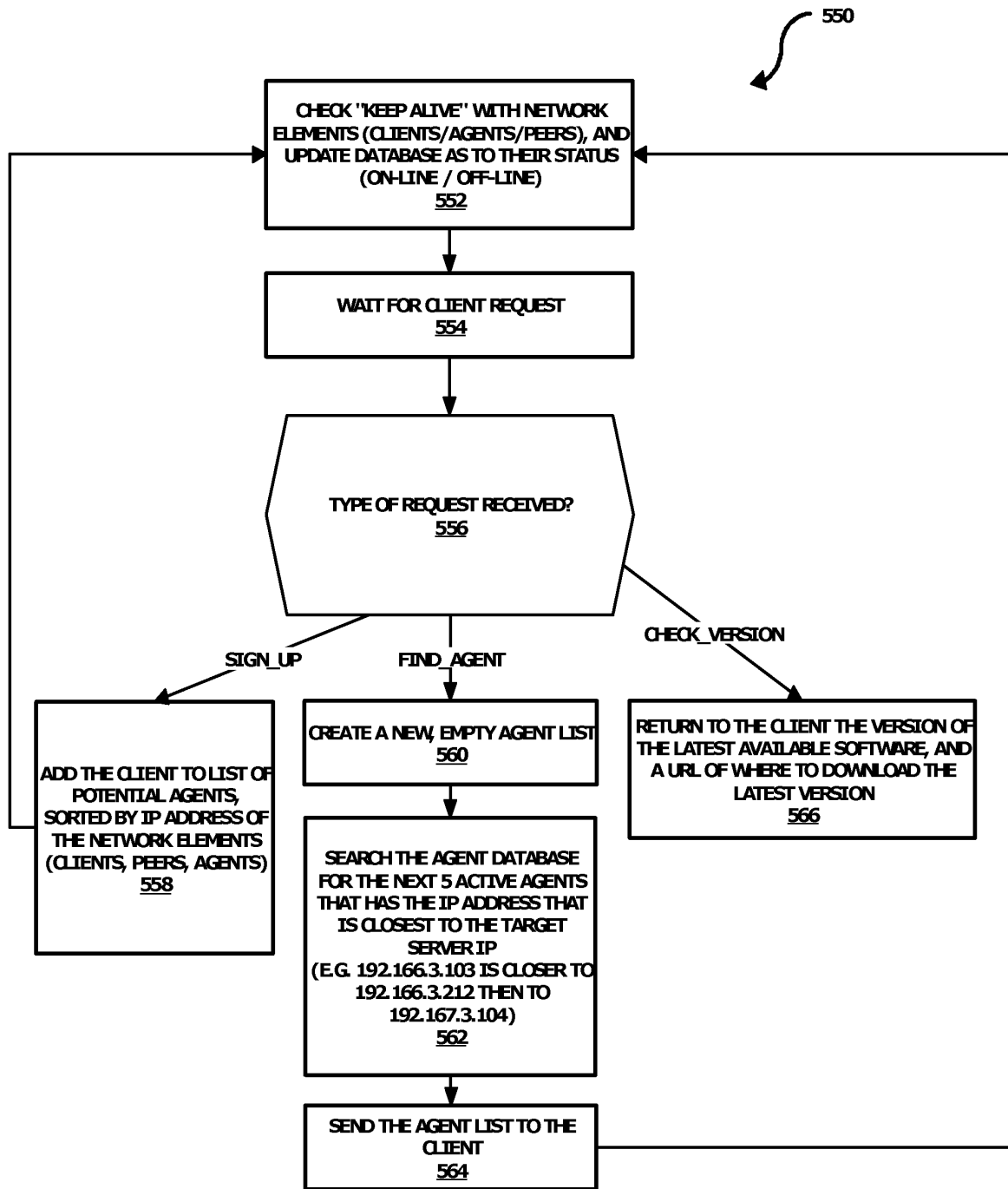
**FIG. 11**

420

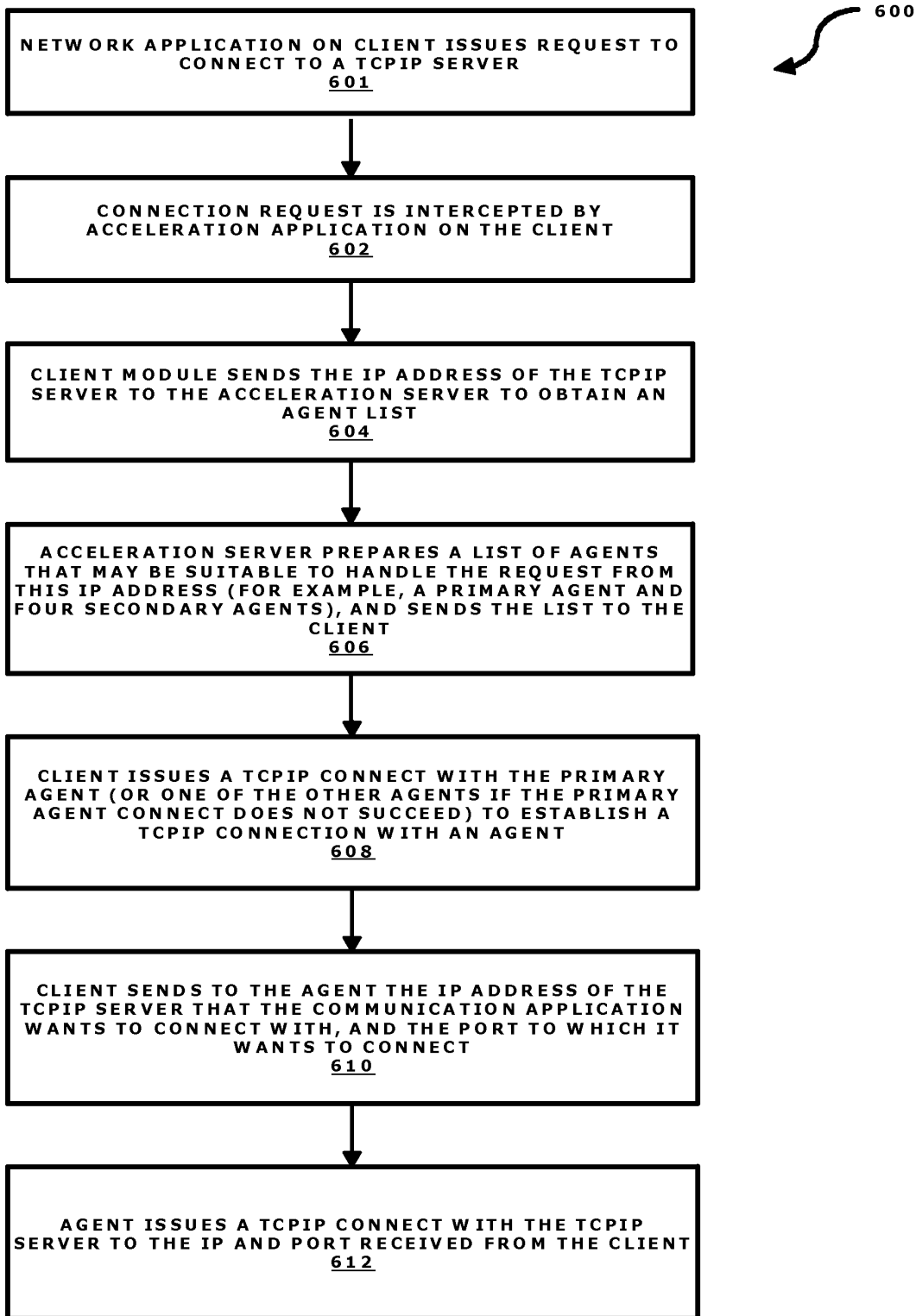


**FIG. 12**





**FIG 13**



**FIG. 14**

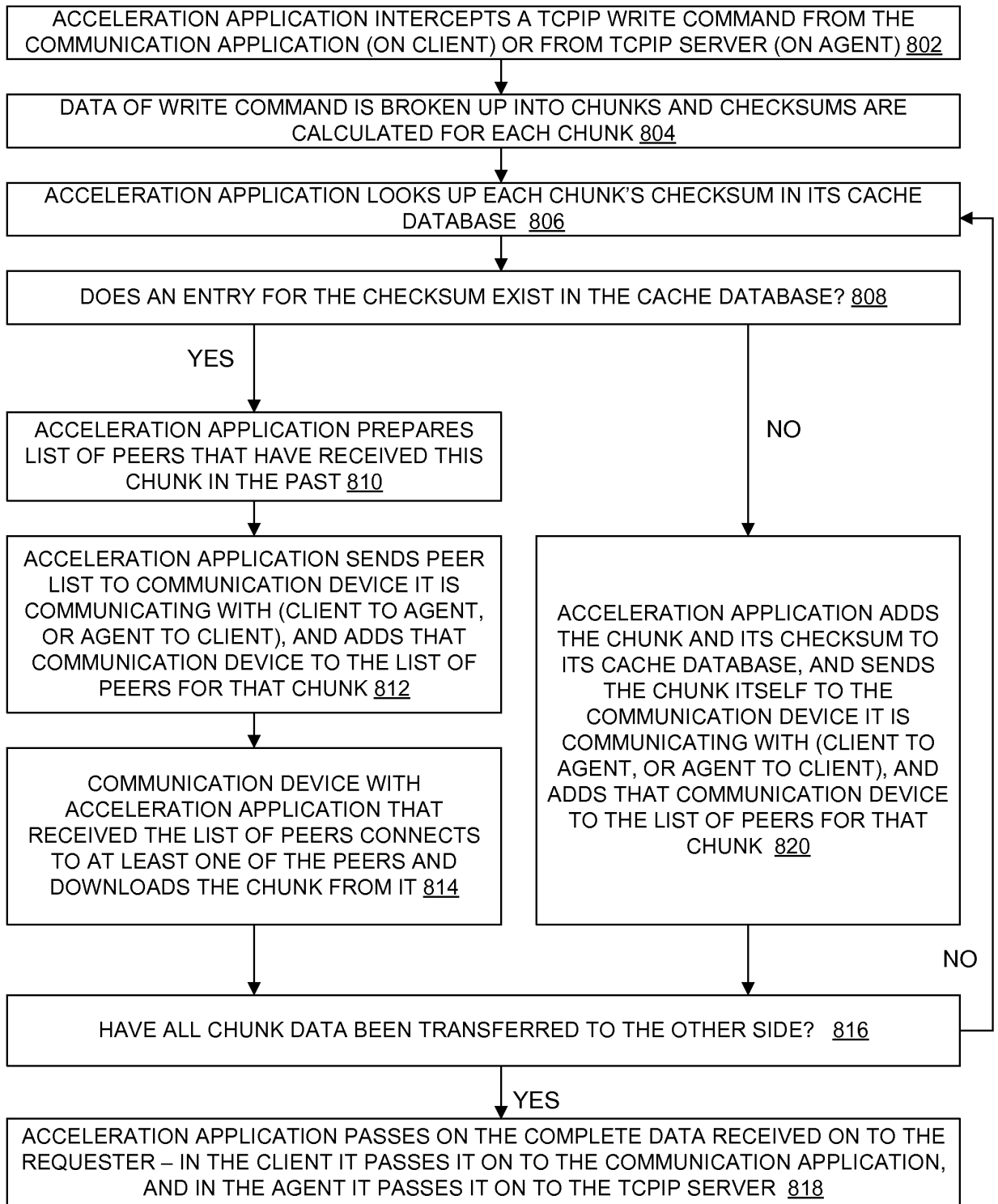


FIG. 15

800

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>	Attorney Docket Number	19459-6105P
	Application Number	
Title of Invention	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION	
<p>The application data sheet is part of the provisional or nonprovisional application for which it is being submitted. The following form contains the bibliographic data arranged in a format specified by the United States Patent and Trademark Office as outlined in 37 CFR 1.76. This document may be completed electronically and submitted to the Office in electronic format using the Electronic Filing System (EFS) or the document may be printed and included in a paper filed application.</p>		

## Secrecy Order 37 CFR 5.2

Portions or all of the application associated with this Application Data Sheet may fall under a Secrecy Order pursuant to 37 CFR 5.2 (Paper filers only. Applications that fall under Secrecy Order may not be filed electronically.)

## Inventor Information:

Inventor 1 <span style="float: right;">Remove</span>				
Legal Name				
Prefix	Given Name	Middle Name	Family Name	Suffix
	Derry		Shribman	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	Netanya	Country of Residence <sup>1</sup>	IL	
Mailing Address of Inventor:				
Address 1	7 Giborei Israel Street, PO BOX 8025			
Address 2	Poleg Industrial Center			
City	Netanya	State/Province		
Postal Code	42507	Country <sup>1</sup>	IL	
Inventor 2 <span style="float: right;">Remove</span>				
Legal Name				
Prefix	Given Name	Middle Name	Family Name	Suffix
	Ofer		Vilenski	
Residence Information (Select One) <input type="radio"/> US Residency <input checked="" type="radio"/> Non US Residency <input type="radio"/> Active US Military Service				
City	Netanya	Country of Residence <sup>1</sup>	IL	
Mailing Address of Inventor:				
Address 1	7 Giborei Israel Street, PO BOX 8025			
Address 2	Poleg Industrial Center			
City	Netanya	State/Province		
Postal Code	42507	Country <sup>1</sup>	IL	
All Inventors Must Be Listed - Additional Inventor Information blocks may be generated within this form by selecting the Add button. <span style="float: right;">Add</span>				

## Correspondence Information:

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>		Attorney Docket Number	19459-6105P
		Application Number	
Title of Invention	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION		
Enter either Customer Number or complete the Correspondence Information section below. For further information see 37 CFR 1.33(a).			
<input type="checkbox"/> An Address is being provided for the correspondence information of this application.			
Customer Number	57449		
Email Address	ipadm@sheehan.com	<input type="button" value="Add Email"/>	<input type="button" value="Remove Email"/>

**Application Information:**

Title of the Invention	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION		
Attorney Docket Number	19459-6105P	Small Entity Status Claimed	<input checked="" type="checkbox"/>
Application Type	Nonprovisional		
Subject Matter	Utility		
Total Number of Drawing Sheets (if any)	15	Suggested Figure for Publication (if any)	

**Publication Information:**

<input type="checkbox"/> Request Early Publication (Fee required at time of Request 37 CFR 1.219)	
<input type="checkbox"/> <b>Request Not to Publish.</b> I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application <b>has not and will not be</b> the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.	

**Representative Information:**

Representative information should be provided for all practitioners having a power of attorney in the application. Providing this information in the Application Data Sheet does not constitute a power of attorney in the application (see 37 CFR 1.32). Either enter Customer Number or complete the Representative Name section below. If both sections are completed the customer Number will be used for the Representative Information during processing.

Please Select One:	<input checked="" type="radio"/> Customer Number	<input type="radio"/> US Patent Practitioner	<input type="radio"/> Limited Recognition (37 CFR 11.9)
Customer Number	57449		

**Domestic Benefit/National Stage Information:**

This section allows for the applicant to either claim benefit under 35 U.S.C. 119(e), 120, 121, or 365(c) or indicate National Stage entry from a PCT application. Providing this information in the application data sheet constitutes the specific reference required by 35 U.S.C. 119(e) or 120, and 37 CFR 1.78.

Prior Application Status	Pending	<input type="button" value="Remove"/>	
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
	Division of	12836059	2010-07-14
Prior Application Status	Expired	<input type="button" value="Remove"/>	

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>		Attorney Docket Number	19459-6105P
		Application Number	
Title of Invention	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION		
Application Number	Continuity Type	Prior Application Number	Filing Date (YYYY-MM-DD)
12836059	non provisional of	61249624	2009-10-08
Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.			

### Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55(d). When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)<sup>1</sup> the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(h)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

Application Number	Country <sup>1</sup>	Filing Date (YYYY-MM-DD)	Access Code <sup>1</sup> (if applicable)

Additional Foreign Priority Data may be generated within this form by selecting the Add button.

### Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March 16, 2013.

NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March 16, 2013, will be examined under the first inventor to file provisions of the AIA.

### Authorization to Permit Access:

Authorization to Permit Access to the Instant Application by the Participating Offices

<b>Application Data Sheet 37 CFR 1.76</b>		Attorney Docket Number	19459-6105P
		Application Number	
Title of Invention	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION		
<p>If checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the World Intellectual Property Office (WIPO), and any other intellectual property offices in which a foreign application claiming priority to the instant patent application is filed access to the instant patent application. See 37 CFR 1.14(c) and (h). This box should not be checked if the applicant does not wish the EPO, JPO, KIPO, WIPO, or other intellectual property office in which a foreign application claiming priority to the instant patent application is filed to have access to the instant patent application.</p> <p>In accordance with 37 CFR 1.14(h)(3), access will be provided to a copy of the instant patent application with respect to: 1) the instant patent application-as-filed; 2) any foreign application to which the instant patent application claims priority under 35 U.S.C. 119(a)-(d) if a copy of the foreign application that satisfies the certified copy requirement of 37 CFR 1.55 has been filed in the instant patent application; and 3) any U.S. application-as-filed from which benefit is sought in the instant patent application.</p> <p>In accordance with 37 CFR 1.14(c), access may be provided to information concerning the date of filing this Authorization.</p>			

### Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.			
<b>Applicant 1</b>			
If the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be identified in this section.			
<input type="button" value="Clear"/>			
<input checked="" type="radio"/> Assignee		<input type="radio"/> Legal Representative under 35 U.S.C. 117	
<input type="radio"/> Person to whom the inventor is obligated to assign.		<input type="radio"/> Person who shows sufficient proprietary interest	
If applicant is the legal representative, indicate the authority to file the patent application, the inventor is:			
<input type="text"/>			
Name of the Deceased or Legally Incapacitated Inventor: <input type="text"/>			
If the Applicant is an Organization check here. <input checked="" type="checkbox"/>			
Organization Name		Holo Networks Ltd.	
<b>Mailing Address Information For Applicant:</b>			
Address 1		7 Giborei Israel Street, PO BOX 8025	
Address 2		Poleg Industrial Center	
City		Netanya	State/Province
Country	IL	Postal Code	42507
Phone Number		Fax Number	

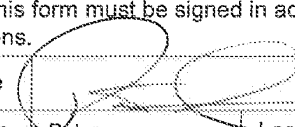
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>Application Data Sheet 37 CFR 1.76</b>		Attorney Docket Number	19459-6105P
		Application Number	
Title of Invention	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION		
Email Address			
Additional Applicant Data may be generated within this form by selecting the Add button.			

**Assignee Information including Non-Applicant Assignee Information:**

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.				
<b>Assignee 1</b>				
Complete this section if assignee information, including non-applicant assignee information, is desired to be included on the patent application publication. An assignee-applicant identified in the "Applicant Information" section will appear on the patent application publication as an applicant. For an assignee-applicant, complete this section only if identification as an assignee is also desired on the patent application publication.				
If the Assignee is an Organization check here. <input type="checkbox"/>				
Prefix	Given Name	Middle Name	Family Name	Suffix
<b>Mailing Address Information For Non-Applicant Assignee:</b>				
Address 1				
Address 2				
City		State/Province		
Country	Postal Code			
Phone Number		Fax Number		
Email Address				
Additional Assignee Data may be generated within this form by selecting the Add button.				

**Signature:**

NOTE: This form must be signed in accordance with 37 CFR 1.33. See 37 CFR 1.4 for signature requirements and certifications.				
Signature 			Date (YYYY-MM-DD)	2013-07-12
First Name	Peter	Last Name	Nieves	Registration Number
				48173
Additional Signature may be generated within this form by selecting the Add button.				



## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

**PATENT APPLICATION FEE DETERMINATION RECORD**

Substitute for Form PTO-875

Application or Docket Number  
14/025,109

**APPLICATION AS FILED - PART I**

(Column 1)		(Column 2)	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
FOR	NUMBER FILED	NUMBER EXTRA	RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)
BASIC FEE (37 CFR 1.16(a), (b), or (c))	N/A	N/A	N/A	70		N/A	
SEARCH FEE (37 CFR 1.16(k), (j), or (m))	N/A	N/A	N/A	300		N/A	
EXAMINATION FEE (37 CFR 1.16(o), (p), or (q))	N/A	N/A	N/A	360		N/A	
TOTAL CLAIMS (37 CFR 1.16(i))	25 minus 20 = *	5	x 40 =	200	OR		
INDEPENDENT CLAIMS (37 CFR 1.16(h))	4 minus 3 =	1	x 210 =	210			
APPLICATION SIZE FEE (37 CFR 1.16(s))	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			0.00			
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.16(j))				0.00			
* If the difference in column 1 is less than zero, enter "0" in column 2.			TOTAL	1140		TOTAL	

**APPLICATION AS AMENDED - PART II**

(Column 1)		(Column 2)	(Column 3)	SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
	Total (37 CFR 1.16(i))	* Minus **	=	x =		OR	x =	
	Independent (37 CFR 1.16(h))	* Minus ***	=	x =		OR	x =	
	Application Size Fee (37 CFR 1.16(s))					OR		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					OR		
			TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)
	Total (37 CFR 1.16(i))	* Minus **	=	x =		OR	x =	
	Independent (37 CFR 1.16(h))	* Minus ***	=	x =		OR	x =	
	Application Size Fee (37 CFR 1.16(s))					OR		
	FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))					OR		
			TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".  
 The "Highest Number Previously Paid For" (Total or Independent) is the highest found in the appropriate box in column 1.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with 7 columns: APPLICATION NUMBER, FILING or 371(c) DATE, GRP ART UNIT, FIL FEE REC'D, ATTY DOCKET NO, TOT CLAIMS, IND CLAIMS. Row 1: 14/025,109, 09/12/2013, 2447, 1210, 19459-6105P, 25, 4

CONFIRMATION NO. 6194

57449
SHEEHAN PHINNEY BASS & GREEN, PA
c/o PETER NIEVES
1000 ELM STREET
MANCHESTER, NH 03105-3701

FILING RECEIPT



Date Mailed: 10/07/2013

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Derry Shribman, Netanya, ISRAEL;
Ofer Vilenski, Netanya, ISRAEL;

Applicant(s)

Hola Networks Ltd., Netanya, ISRAEL

Assignment For Published Patent Application

Hola Networks Ltd., Netanya, ISRAEL

Power of Attorney: None

Domestic Priority data as claimed by applicant

This application is a DIV of 12/836,059 07/14/2010 PAT 8560604
which claims benefit of 61/249,624 10/08/2009

Foreign Applications for which priority is claimed (You may be eligible to benefit from the Patent Prosecution Highway program at the USPTO. Please see http://www.uspto.gov for more information.) - None.

Foreign application information must be provided in an Application Data Sheet in order to constitute a claim to foreign priority. See 37 CFR 1.55 and 1.76.

If Required, Foreign Filing License Granted: 09/27/2013

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US 14/025,109

Projected Publication Date: 01/16/2014

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

**Title**

SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION

**Preliminary Class**

709

**Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications:** No**PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES**

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

---

***SelectUSA***

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit <http://www.SelectUSA.gov> or call +1-202-482-6800.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with 4 columns: APPLICATION NUMBER (14/025,109), FILING OR 371(C) DATE (09/12/2013), FIRST NAMED APPLICANT (Derry Shribman), ATTY. DOCKET NO./TITLE (19459-6105P)

CONFIRMATION NO. 6194

57449
SHEEHAN PHINNEY BASS & GREEN, PA
c/o PETER NIEVES
1000 ELM STREET
MANCHESTER, NH 03105-3701

NOTICE



Date Mailed: 10/07/2013

INFORMATIONAL NOTICE TO APPLICANT

Applicant is notified that the above-identified application contains the deficiencies noted below. No period for reply is set forth in this notice for correction of these deficiencies. However, if a deficiency relates to the inventor's oath or declaration, the applicant must file an oath or declaration in compliance with 37 CFR 1.63, or a substitute statement in compliance with 37 CFR 1.64, executed by or with respect to each actual inventor no later than the expiration of the time period set in the "Notice of Allowability" to avoid abandonment. See 37 CFR 1.53(f).

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- A properly executed inventor's oath or declaration has not been received for the following inventor(s):
Derry Shribman
Ofer Vilenski

Applicant may submit the inventor's oath or declaration at any time before the Notice of Allowance and Fee(s) Due, PTOL-85, is mailed.

### POWER OF ATTORNEY BY APPLICANT

I hereby revoke all previous powers of attorney given in the application identified in either the attached transmittal letter or the boxes below:

Application Number	Filing Date
14/025,109	September 12, 2013

(Note: The boxes above may be left blank if information is provided on form PTO/AIA/82A.)

- I hereby appoint the Patent Practitioner associated with the following Customer Number as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above: 57449
- OR
- I hereby appoint Practitioner(s) named in the attached list (form PTO/AIA/82C) as my/our attorney(s) or agent(s), and to transact all business in the United States Patent and Trademark Office connected therewith for the patent application referenced in the attached transmittal letter (form PTO/AIA/82A) or identified above. (Note: Complete form PTO/AIA/82C.)

Please recognize or change the correspondence address for the application identified in the attached transmittal letter or the boxes above to:

- The address associated with the above-mentioned Customer Number
- OR
- The address associated with Customer Number:

Firm or Individual Name: \_\_\_\_\_

Address: \_\_\_\_\_

City: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

Country: \_\_\_\_\_

Telephone: \_\_\_\_\_ Email: \_\_\_\_\_

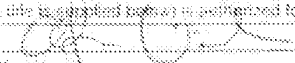
I am the Applicant (if the Applicant is a juristic entity, list the Applicant name in the box)

**Hola Networks Ltd.**

- Inventor or Joint Inventor (title not required below)
- Legal Representative of a Deceased or Legally Incapacitated Inventor (title not required below)
- Assignee or Person to Whom the Inventor is Under an Obligation to Assign (provide signer's title if applicant is a juristic entity)
- Person Who Otherwise Shows Sufficient Proprietary Interest (e.g., a petition under 37 CFR 1.45(b)(2) was granted in the application or is concurrently being filed with this document) (provide signer's title if applicant is a juristic entity)

**SIGNATURE of Applicant for Patent**

The undersigned (whose title is specified below) is authorized to act on behalf of the applicant (e.g., when the applicant is a juristic entity):

Signature:  Date (Optional): \_\_\_\_\_

Name: **Oliver M. Smith**

Title: **CEO**

**NOTE: Signature** - This form must be signed by the applicant in accordance with 37 CFR 1.53. See 37 CFR 1.4 for signature requirements and certifications. If more than one applicant, use multiple forms.

Total of \_\_\_\_\_ forms are submitted.

This form is an information requirement by 37 CFR 1.111, 1.12, and 1.53. This information is required to obtain or maintain benefits in the form of the PTO's electronic application, identification as provided by 37 CFR 1.52 and 37 CFR 1.11 and 1.54. This information is required to take 2 minutes to complete, including gathering, preparing, and entering the required application forms to the USPTO. There will vary depending upon the data base used. For questions on the accuracy of this information to complete this form, contact the USPTO's Public Information Office (PIO) at the following address: U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22304-1450. CONTACT USPTO PUBLIC INFORMATION OFFICE (PIO) AT THE ADDRESS. SEND FOR COMMISSIONER for Patent, P.O. Box 1450, Alexandria, VA 22304-1450.

If you need assistance completing this form, call 1-800-PTO-9195 and select option 2.

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	17141623
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	16-OCT-2013
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	14:13:01
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Oath or Declaration filed	S0276984.pdf	91597 eb0f0e9d6bee87aa47d36dbc22b1e0418023a049	no	1

### Warnings:

### Information:



2	Oath or Declaration filed	S0276982.pdf	91646	no	1
			3b90bbc2171b6194b4ce13b054c4580a4eafa50e		
<b>Warnings:</b>					
<b>Information:</b>					
3	Power of Attorney	S0276985.pdf	111834	no	1
			a0cd1ce6051c5984db26049b9b95d1e46180a372		
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				295077	
<p><b>This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.</b></p> <p><b><u>New Applications Under 35 U.S.C. 111</u></b>  <b>If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.</b></p> <p><b><u>National Stage of an International Application under 35 U.S.C. 371</u></b>  <b>If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.</b></p> <p><b><u>New International Application Filed with the USPTO as a Receiving Office</u></b>  <b>If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.</b></p>					



**DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)**

Title of Invention	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
--------------------	---

As the below named inventor, I hereby declare that:

This declaration is provided to  The attached application, or  United States application or PCT international application number 14/025,109  
filed on September 12, 2013

The above identified application was made or authorized to be made by me


I believe that I am the original inventor or an original joint inventor of a claimed invention in the application.

I hereby acknowledge that any willful false statement made in this declaration is punishable under 18 U.S.C. 1001 by fine or imprisonment of not more than five (5) years, or both.

**WARNING:**

Patents/Applicants is cautioned to avoid submitting personal information in documents filed in a patent application that may constitute identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a credit or charge card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider removing such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.141). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available.

**LEGAL NAME OF INVENTOR**

Inventor: Ofer Vilenski Date (Optional): \_\_\_\_\_  
Signature: 

Name: An application data sheet (PDS) (37 CFR 1.63) or equivalent, including naming the claim inventive entity, must accompany this PDS if it had been previously filed. Use an additional PDS (37 CFR 1.63) form for each additional invention.

The collection of information required by 37 CFR 1.63 and 37 CFR 1.63. The information is required to be made available to the public which is to be used by the USPTO to determine if a petition, withdrawal, or amendment to an application is in compliance with 37 CFR 1.101 and 37 CFR 1.102. The collection is estimated to take 1 hour to complete, including preparing, reviewing, and submitting the completed application form to the USPTO. This may vary depending upon the individual case. Any collection of information that is not required by law or that is not necessary for the collection of information should be removed from the collection of information. For more information, contact the USPTO, Office of Information Management, P.O. Box 1455, Alexandria, VA 22313-1455. (1-800-597-3889) OR COMPLETED FORMAL TO THE DIRECTOR, SEND TO: Commissioner for Patents, P.O. Box 1455, Alexandria, VA 22313-1455.

Use of this form is optional. For more information, contact the USPTO, Office of Information Management, P.O. Box 1455, Alexandria, VA 22313-1455.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/025,109	09/12/2013	Derry Shribman	19459-6105P

**CONFIRMATION NO. 6194**

**POA ACCEPTANCE LETTER**

57449  
SHEEHAN PHINNEY BASS & GREEN, PA  
c/o PETER NIEVES  
1000 ELM STREET  
MANCHESTER, NH 03105-3701



Date Mailed: 10/23/2013

**NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY**

This is in response to the Power of Attorney filed 10/16/2013.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

/snguyen/

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 14/025,109  
Applicant: Hola Networks Ltd.  
Docket No.: 19459-6105  
Title: SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA  
COMMUNICATION

PRELIMINARY AMENDMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir or Madam:

The Applicant hereby submits a preliminary amendment to the pending patent application. Review and consideration of the presently pending claims is respectfully requested.

**Amendments to the Claims** are reflected in the listing of claims, which begins on page 2 of this paper.

**Remarks/Arguments** begin on page 8 of this paper.

AUTHORIZATION TO DEBIT ACCOUNT

It is not believed that extensions of time or fees for net addition of claims are required, beyond those that may otherwise be provided for in documents accompanying this paper. However, in the event that additional extensions of time are necessary to allow consideration of this paper, such extensions are hereby petitioned under 37 C.F.R. § 1.136(a), and any fees required therefore (including fees for net addition of claims) are hereby authorized to be charged to deposit account no. 501304.

Amendment To The Claims

This listing of claims will replace all prior versions, and listings, of claims in the application. Please cancel claims 1-25. Claims 26-66 are new.

Listing of Claims:

1-25. (Cancelled)

26. (New) A method for data communication between a web server storing a content and a client via one or more devices, for use with a first server, and where the web server, the client, the first server, and the devices are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

- (a) each of the devices sending its identifier to the first server;
- (b) the first server storing the identifiers of the devices;
- (c) the client sending its identifier and the web server identifier to the first server;
- (d) the first server selecting one of the devices based on associating the identifiers of the devices with the web server identifier;
- (e) the first server sending the identifier of the selected device to the client;
- (f) the selected device receiving the content from the web server; and
- (g) the client receiving the content from the selected device.

27. (New) The method according to claim 26 wherein the steps are sequentially executed.

28. (New) The method according to claim 26 wherein the web server is Hypertext Transfer Protocol (HTTP) server and responds to HTTP requests from the selected device.

29. (New) The method according to claim 26 wherein the first server is HTTP server and responds to HTTP requests from the client or the devices.
30. (New) The method according to claim 26 wherein the web server is Transmission Control Protocol / Internet Protocol (TCP/IP) server and communicates based on, or according to, using TCP/IP protocol or connection.
31. (New) The method according to claim 26 wherein the first server is a TCP / IP server and communicates based on, or according to, using TCP/IP protocol or connection.
32. (New) The method according to claim 26 wherein the content includes web-page, audio, or video content.
33. (New) The method according to claim 26 wherein the first server selecting one of the devices is based on the web server IP address or URL.
34. (New) The method according to claim 26 wherein the first server selecting one of the devices is based on the selected device IP address.
35. (New) The method according to claim 26 wherein the selected device further storing the content received from the web server.
36. (New) The method according to claim 26 wherein the client sending its identifier and the web server identifier to the first server as part of browser or email application execution.
37. (New) The method according to claim 26 further comprising the step of the client sending its identifier to the first server, and the first server storing the client identifier.
38. (New) The method according to claim 37 further for data communication between a second web server storing a second content and having an identifier in the Internet and a one of the devices via the client, the method further comprising the steps of:
  - (h) one of the devices sending the second web server identifier to the first server;

- (i) the first server sending the identifier of the client to the one of the devices;
- (j) the client receiving the second content from the second web server; and
- (k) the one of the devices receiving the second content from the client.

39. (New) The method according to claim 26 wherein the communication with the web server or the first server is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

40. (New) The method according to claim 26 wherein the communication with the client or the selected device is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

41. (New) The method according to claim 26 wherein the web server identifier, the first server identifier, or the content identification is using a Uniform Resource Locator (URL).

42. (New) The method according to claim 26 wherein the web server identifier, the first server identifier, the client identifier, or any of the device's identifier is using Internet Protocol (IP) address.

43. (New) The method according to claim 26 wherein in step (d) the first server selecting two or more of the devices based on associating the identifiers of the devices with the web server identifier; and in step (e) the first server sending the identifiers of the selected two or more devices to the client.

44. (New) The method according to claim 43 further comprising the step of the client selecting one of the devices as the selected device.

45. (New) The method according to claim 26 further comprising the steps of the client sending a communication port number to the selected device, followed by communication between the client and the selected device using the communication port number.

46. (New) The method according to claim 26 further comprising the step of the client sending the web server identifier to the selected device.



47. (New) The method according to claim 46 further comprising the step of the selected device communicating with the web server.
48. (New) The method according to claim 26 wherein step (d) the first server selecting one of the devices based on the geographical location of the devices.
49. (New) A method for data communication between a web server storing a content and a client via one or more devices, for use with a first server, and where the web server, the client, the first server, and the devices are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:
- (a) each of the devices sending its identifier to the first server;
  - (b) the first server storing the identifiers of the devices;
  - (c) the client sending its identifier and the web server identifier to the first server;
  - (d) selecting one of the devices based on the geographical location of the devices;
  - (e) the first server sending the identifier of the selected device to the client;
  - (f) the selected device receiving the content from the web server; and
  - (g) the client receiving the content from the selected device.
50. (New) The method according to claim 49 wherein in step (d) the first server is selecting one of the devices.
51. (New) The method according to claim 49 wherein in step (d) the client is selecting one of the devices.
52. (New) The method according to claim 49 further for data communication between a second web server storing a second content and having an identifier in the Internet and a one of the devices via the client, the method further comprising the steps of:
- (h) one of the devices sending the second web server identifier to the first server;

- (i) the first server sending the identifier of the client to the one of the devices;
- (j) the client receiving the second content from the second web server; and
- (k) the one of the devices receiving the second content from the client.

53. (New) A method for data communication between a client and a web server storing a content via a device, for use with a first server and a device, and where the web server, the client, the first server, and the device are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

- (a) sending its identifier and the web server identifier to the first server;
- (b) receiving from the first server the identifier of the device;
- (c) sending the web server identifier to the device; and
- (d) receiving the content associated with the web server from the device.

54. (New) The method according to claim 53 wherein the steps are sequentially executed.

55. (New) The method according to claim 53 wherein the first server is HTTP server and responds to HTTP requests.

56. (New) The method according to claim 53 wherein the first server is a TCP / IP server and communicates based on, or according to, using TCP/IP protocol or connection.

57. (New) The method according to claim 53 wherein the content includes web-page, audio, or video content.

58. (New) The method according to claim 53 wherein the steps are part of browser or email application execution.

59. (New) The method according to claim 53 further for data communication with a second web server storing a second content and having an identifier in the Internet and the devices via the client, the method further comprising the steps of:

(e) receiving the second content from the second web server; and

(f) sending the second content to the device.

60. (New) The method according to claim 53 wherein the communication with the web server or the first server is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

61. (New) The method according to claim 53 wherein the communication with the device is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

62. (New) The method according to claim 53 wherein the web server identifier, the first server identifier, or the content identification is using a Uniform Resource Locator (URL).

63. (New) The method according to claim 53 wherein the web server identifier, the first server identifier, the client identifier, or the device identifier is using Internet Protocol (IP) address.

64. (New) The method according to claim 53 wherein step (b) comprising the receiving from the first server the identifiers of two or more devices, and further comprising the step of selecting one out of devices based on associating the identifiers of the devices with the web server identifier.

65. (New) The method according to claim 53 further comprising the steps of sending a communication port number to the device, followed by communication with the device using the communication port number.

66. (New) The method according to claim 53 further comprising the step of sending the web server identifier to the device.

Application No.: 14/025,109

REMARKS

No new matter has been added. Claims 26-66 are pending. The Applicant respectfully submits that the claims 26-66 are in condition for review and respectfully requests allowance.

In light of the foregoing and for at least the reasons set forth above, the Applicant respectfully requests favorable reconsideration and allowance of the present application. If in the opinion of the Examiner, a telephonic conference would expedite the examination of this matter, the Examiner is invited to call the undersigned attorney at (603) 627-8134.

Respectfully submitted,

**SHEEHAN PHINNEY BASS + GREEN PA**



Peter A. Nieves  
Attorney for Applicant  
Reg. No.: 48173

SHEEHAN PHINNEY BASS + GREEN, P.A.  
1000 ELM STREET  
MANCHESTER, NH 03101 U.S.A.  
TEL: 603.668-0300  
FAX: 603.627.8121

## Electronic Patent Application Fee Transmittal

<b>Application Number:</b>	14025109			
<b>Filing Date:</b>	12-Sep-2013			
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION			
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman			
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin			
<b>Attorney Docket Number:</b>	19459-6105P			
Filed as Small Entity				
<b>Utility under 35 USC 111(a) Filing Fees</b>				
<b>Description</b>	<b>Fee Code</b>	<b>Quantity</b>	<b>Amount</b>	<b>Sub-Total in USD(\$)</b>
<b>Basic Filing:</b>				
<b>Pages:</b>				
<b>Claims:</b>				
Claims in excess of 20	2202	15	40	600
<b>Miscellaneous-Filing:</b>				
<b>Petition:</b>				
<b>Patent-Appeals-and-Interference:</b>				
<b>Post-Allowance-and-Post-Issuance:</b>				
<b>Extension-of-Time:</b>				

Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
<b>Miscellaneous:</b>				
<b>Total in USD (\$)</b>				<b>600</b>

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	17741416
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	23-DEC-2013
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	14:07:08
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$600
RAM confirmation Number	460
Deposit Account	501304
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

- Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)
- Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Preliminary Amendment	S0311121.pdf	357365	no	8
			03cb73644d526296e288a32b4eb4b0f5ee5e24a5		

**Warnings:**

**Information:**

2	Fee Worksheet (SB06)	fee-info.pdf	30191	no	2
			a5bd8f2393a5421f096b527e70c34038e6dcf104		

**Warnings:**

**Information:**

**Total Files Size (in bytes):** 387556

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	17741416
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	23-DEC-2013
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	14:07:08
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$600
RAM confirmation Number	460
Deposit Account	501304
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

- Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)
- Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.20 (Post Issuance fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

**File Listing:**

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Preliminary Amendment	S0311121.pdf	357365	no	8
			03cb73644d526296e288a32b4eb4b0f5ee5e24a5		

**Warnings:**

**Information:**

2	Fee Worksheet (SB06)	fee-info.pdf	30191	no	2
			a5bd8f2393a5421f096b527e70c34038e6dcf104		

**Warnings:**

**Information:**

**Total Files Size (in bytes):** 387556

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>PATENT APPLICATION FEE DETERMINATION RECORD</b> Substitute for Form PTO-875	Application or Docket Number <b>14/025,109</b>	Filing Date <b>09/12/2013</b>	<input type="checkbox"/> To be Mailed
---	---	----------------------------------	---------------------------------------

ENTITY:  LARGE  SMALL  MICRO

**APPLICATION AS FILED – PART I**

FOR	NUMBER FILED	NUMBER EXTRA	RATE (\$)	FEE (\$)
<input type="checkbox"/> BASIC FEE <small>(37 CFR 1.16(a), (b), or (c))</small>	N/A	N/A	N/A	
<input type="checkbox"/> SEARCH FEE <small>(37 CFR 1.16(k), (l), or (m))</small>	N/A	N/A	N/A	
<input type="checkbox"/> EXAMINATION FEE <small>(37 CFR 1.16(o), (p), or (q))</small>	N/A	N/A	N/A	
TOTAL CLAIMS <small>(37 CFR 1.16(i))</small>	minus 20 =	*	X \$ =	
INDEPENDENT CLAIMS <small>(37 CFR 1.16(h))</small>	minus 3 =	*	X \$ =	
<input type="checkbox"/> APPLICATION SIZE FEE <small>(37 CFR 1.16(s))</small>	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).			
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENT <small>(37 CFR 1.16(j))</small>				
<small>* If the difference in column 1 is less than zero, enter "0" in column 2.</small>				<b>TOTAL</b>

**APPLICATION AS AMENDED – PART II**

	(Column 1)	(Column 2)	(Column 3)	(Column 4)	(Column 5)	(Column 6)	
<b>AMENDMENT</b>	<b>12/23/2013</b>	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		
	Total (37 CFR 1.16(i))	* 40	Minus	** 25	= 15	X \$40 = 600	
	Independent (37 CFR 1.16(h))	* 3	Minus	***4	= 0	X \$210 = 0	
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))						
	<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
<b>TOTAL ADD'L FEE</b>						<b>600</b>	

	(Column 1)	(Column 2)	(Column 3)	(Column 4)	(Column 5)	(Column 6)	
<b>AMENDMENT</b>		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		
	Total (37 CFR 1.16(i))	*	Minus	**	=	X \$ =	
	Independent (37 CFR 1.16(h))	*	Minus	***	=	X \$ =	
	<input type="checkbox"/> Application Size Fee (37 CFR 1.16(s))						
	<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.16(j))						
<b>TOTAL ADD'L FEE</b>							

\* If the entry in column 1 is less than the entry in column 2, write "0" in column 3.  
 \*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20".  
 \*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

LIE  
/POLIN ANG/

This collection of information is required by 37 CFR 1.16. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**  
 If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

Table with 4 columns: APPLICATION NUMBER (14/025,109), FILING OR 371(C) DATE (09/12/2013), FIRST NAMED APPLICANT (Derry Shribman), ATTY. DOCKET NO./TITLE (19459-6105P)

CONFIRMATION NO. 6194

PUBLICATION NOTICE

57449
SHEEHAN PHINNEY BASS & GREEN, PA
c/o PETER NIEVES
1000 ELM STREET
MANCHESTER, NH 03105-3701



Title:SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION

Publication No.US-2014-0019514-A1

Publication Date:01/16/2014

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

The publication may be accessed through the USPTO's publically available Searchable Databases via the Internet at www.uspto.gov. The direct link to access the publication is currently http://www.uspto.gov/patft/.

The publication process established by the Office does not provide for mailing a copy of the publication to applicant. A copy of the publication may be obtained from the Office upon payment of the appropriate fee set forth in 37 CFR 1.19(a)(1). Orders for copies of patent application publications are handled by the USPTO's Office of Public Records. The Office of Public Records can be reached by telephone at (703) 308-9726 or (800) 972-6382, by facsimile at (703) 305-8759, by mail addressed to the United States Patent and Trademark Office, Office of Public Records, Alexandria, VA 22313-1450 or via the Internet.

In addition, information on the status of the application, including the mailing date of Office actions and the dates of receipt of correspondence filed in the Office, may also be accessed via the Internet through the Patent Electronic Business Center at www.uspto.gov using the public side of the Patent Application Information and Retrieval (PAIR) system. The direct link to access this status information is currently http://pair.uspto.gov/. Prior to publication, such status information is confidential and may only be obtained by applicant using the private side of PAIR.

Further assistance in electronically accessing the publication, or about PAIR, is available by calling the Patent Electronic Business Center at 1-866-217-9197.

Office of Data Management, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0661-0031  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		
	Filing Date		
	First Named Inventor	Derry Shribman	
	Art Unit		
	Examiner Name		
	Attorney Docket Number	19459-6105P	

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	101179389	CN	A	2008-05-14	Wang, Matrix Xin		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		
	Filing Date		
	First Named Inventor	Derry Shribman	
	Art Unit		
	Examiner Name		
	Attorney Docket Number	19459-6105P	

1		<input type="checkbox"/>
If you wish to add additional non-patent literature document citation information please click the Add button		
<b>EXAMINER SIGNATURE</b>		
Examiner Signature		Date Considered
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>		
<p><small><sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="http://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.</small></p>		

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		
	Filing Date		
	First Named Inventor	Derry Shribman	
	Art Unit		
	Examiner Name		
	Attorney Docket Number	19459-6105P	

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).


OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2014-03-26
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



[19] 中华人民共和国国家知识产权局

[51] Int. Cl.

H04L 12/00 (2006.01)

H04L 29/06 (2006.01)



[12] 发明专利申请公布说明书

[21] 申请号 200610143983.X

[43] 公开日 2008年5月14日

[11] 公开号 CN 101179389A

[22] 申请日 2006.11.7  
[21] 申请号 200610143983.X  
[71] 申请人 朗迅科技公司  
地址 美国新泽西州  
[72] 发明人 王欣

[74] 专利代理机构 中国国际贸易促进委员会专利商  
标事务所  
代理人 董莘

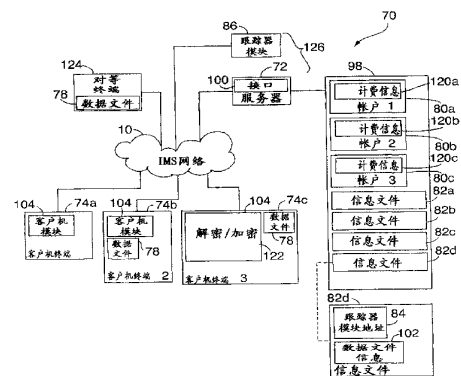
权利要求书 3 页 说明书 18 页 附图 5 页

[54] 发明名称

IMS 网络的对等文件下载系统

[57] 摘要

作为 IMS 网络的一部分，服务器应用与多个客户机/对等终端互联。对于选择数据文件的对等转移，客户机终端在所述服务器应用上建立并存取安全账户。所述客户机终端从所述服务器应用获得一个或多个对等终端的网络位置，所述对等终端在其上存储有数据文件的分段/片段。所述客户机终端联系这些对等终端，以通过 IMS 网络获取来自这些对等终端的数据文件分段。客户机终端和/或对等终端通过安全账户向服务器应用传送结算数据。所述结算数据与转移的数据量和/或数据转移速率相关，并可以用于计费目的。



1、一种经过 IMS 网络转移数据的方法，所述方法包括步骤：

将与存储在第二客户机终端中的数据文件的至少一部分相关的网络位置传送到第一客户机终端，用以将数据文件或者其部分从所述第二客户机终端转移到所述第一客户机终端；

其中所述网络位置被从与所述 IMS 网络接口的服务器应用传送，用以管理对等文件转移。

2、根据权利要求 1 的方法，其中：

所述数据文件以多个数据文件部分的形式被分布在多个第二客户机终端中；并且

所述方法进一步包括：

向所述第一客户机终端传送与所述数据文件部分相关的网络位置，以便经过所述 IMS 网络，从所述第二客户机终端向所述第一客户机终端转移所述数据文件部分。

3、根据权利要求 2 的方法，进一步包括：

通过至少一个安全连接，接收来自所述第一客户机终端和所述第二客户机终端的结算数据，所述结算数据与从所述第二客户机终端向所述第一客户机终端转移数据文件部分相关。

4、根据权利要求 3 的方法，进一步包括：

根据所述结算数据产生计费数据，其中所述结算数据包括每个所述数据文件部分在所述 IMS 网络上转移的速率以及每个所述数据文件部分的数据量中的至少一项。

5、根据权利要求 2 的方法，进一步包括：

从服务器终端向所述第一客户机终端传送跟踪器模块的网络位

置，其中所述跟踪器模块被配置成在所述第一客户机终端访问在所述网络位置上的跟踪器模块时，向所述第一客户机终端传送所述多个数据文件部分的网络位置；并且

跟踪客户机终端的位置，所述客户机终端具有从其它客户机终端接收的数据文件部分，用以提供给请求转移所述数据文件部分的客户机终端，其中所述位置由所述跟踪器模块跟踪。

6、一种经过 IMS 网络转移数据的方法，所述方法包括步骤：

在第一客户机终端中接收与存储在第二客户机终端中的数据文件的至少一部分相关的网络位置，所述位置是从与所述 IMS 网络接口的服务器应用接收的；并且

基于所述位置，经过所述 IMS 网络从所述第二客户机终端获得所述数据文件或者其部分。

7、根据权利要求 6 的方法，其中：

所述数据文件以多个数据文件部分的形式被分布在多个第二客户机终端中；并且

所述方法进一步包括：

根据与从所述服务器应用中接收的数据文件部分相关的网络位置，经过所述 IMS 网络从所述第二客户机终端获得所述数据文件部分。

8、根据权利要求 7 的方法，进一步包括：

向所述服务器应用传送结算数据，其中：

所述结算数据与经过所述 IMS 网络从所述第二客户机终端向所述第一客户机终端转移数据文件部分相关；

在从所述第二客户机终端向所述第一客户机终端转移所述数据文件部分期间或者之后，所述结算数据由所述第一和第二客户机终端自动安全地生成并且被传送；并且

所述结算数据包括经过 IMS 网络获取的每个数据文件部分的速率，以及为获取每个数据文件部分经过 IMS 网络传送的数据量中的至少一项。

9、一种经过网络转移数据的方法，所述方法包括步骤：

在第一客户机终端中获得来自多个第二客户机终端的多个数据文件部分，所述数据文件部分基于从服务器应用中接收的多个网络位置而获得，

其中所述第一客户机终端具有用于与所述服务器应用通信的安全账户，所述安全账户需要用户鉴权以存取所述账户，从而从所述服务器应用接收所述位置。

10、根据权利要求 9 的方法，其中：

每个所述第二客户机终端具有用于与所述服务器应用通信的安全账户；并且

所述方法进一步包括：

自动安全地生成与通过所述网络从所述第二客户机终端向所述第一客户机终端转移所述数据文件部分相关的结算数据；并且

通过安全账户向服务器应用传送结算数据，在从所述第二客户机终端向所述第一客户机终端转移所述数据文件分段期间或者之后，所述结算数据由所述第一和第二客户机终端生成并且被传送。

## IMS 网络的对等文件下载系统

### 技术领域

本发明涉及通信，尤其涉及在基于 IMS 的网络或其它通信网络中的文件转移服务。

### 背景技术

IP 多媒体子系统 (IMS) 是标准化的“下一代”组网体系结构，用以在移动/无线网和固定/有线网中提供多媒体服务。基于 3GPP/3GPP2 的会话初始协议 (SIP) 的标准化实现，IMS 一般对分组数据通信使用互联网协议 (IP)，对语音通信使用基于 IP 语音的通信 (VoIP)。(SIP 是一种信令协议，用以在 IP 网络中建立会话，诸如双向电话呼叫或多方电话会议)。IMS 可以在基于有线及无线的任何分组交换网，诸如 GPRS、UMTS、CDMA2000 及 WiMAX 网络中运行。通过网关支持已有的电路交换电话系统及类似系统 (如 POST, GSM)。IMS 包括会话控制、连接控制、以及带有用户和服务数据的应用服务框架。它使得新的语音与数据服务的集中使用成为可能，同时在用户间促进了这些集中服务的协同操作性。

图 1 以简单形式示出了基于 IMS 的网络 10。IMS 控制体系结构包括归属用户服务器 (HSS) 12 和呼叫会话控制功能 (CSCF) 14，并且通常可以被划分为服务/应用层 16a、IMS 层 16b 和传输层 16c。HSS 12 是所有特定于用户的授权、服务简表及偏好信息的中央存储区。HSS 12 集成了多个模块/单元，它们中有些可能已经存在 (比如在无线网的归属位置寄存器中)，包括用户简表数据库、用户服务许可、鉴权和授权、用户偏好设置和移动鉴权服务器等。当使用多个 HSS 时，就需要用户定位功能 (SLF) 18。CSCF 14 在网络中执行主要的 SIP 信令功能。CSCF 14 包括多种类型的 SIP 服务器，包括代理 CSCF

服务器（设备和控制鉴权的第一个联系点）、协商 CSCF 服务器（所有 SIP 信息的入口点）、以及管理会话控制功能的服务 CSCF 服务器。另外，应用服务器 20 宿留和执行服务，并且利用 SIP 与 CSCF 14 接口。这使得第三方能够在 IMS 基础架构上很容易地集成和部署它们的增值服务。这些服务的实例可以包括与呼叫用户身份相关的服务、呼叫等待、呼叫保持、单键呼叫、语音会议服务、语音邮件、即时信息、呼叫阻塞及呼叫转移。电路交换网网关（CS）22 将 IMS 10 和诸如公共交换电话网（PSTN）的电路交换网 24 连接。网关 22 可能包括出口网关控制功能（BGCF），它是 SIP 服务器，并且该服务器包括基于电话号码的路由功能、连接到网络 24 的信令平面的信令网关（SGW）、用于呼叫控制协议转化的媒体网关控制器功能（MGCF）、以及连接到电路交换网 24 的媒体平面的媒体网关（MGW）。媒体资源功能 26（MRF）可以被提供作为网络中的媒体资源，例如，用于多媒体会议、文本-语音转换和语音识别、以及实时多媒体数据的代码转换，例如在不同的编解码器间的转换。

在传输层 16c 中，IMS 层 16b 可能通过 MRF 26 和/或 IMS 网关 30，连接到核心宽带 IP 网络 28。IMS 网关可能包括 IMS 应用层网关 32（IMS-ALG）和翻译网关 34（TrGW），用于利用诸如 IPv4 和 IPv6 的网络协议的不同版本，与网络实现通信。核心 IP 网 28 同样连接到一个或多个外部 IP 分组数据网 36（IP PDN），如因特网；也可连接到其它网络，如 DSL 和其它有线网络 38、无线局域网 40（WLAN）以及无线网络 42。典型地，一个或多个中间网络单元被用以实现这些连接，例如 WLAN 接入网关（WAG）和/或 WLAN 分组数据网关 44、服务 GPRS 支持节点 46（SGSN）和网关 GPRS 服务节点（GGSN）48、数字用户线接入复用器（DSLAM）和宽带接入服务器（BAS）50。SGSN 46 负责移动性管理和 IP 分组会话管理。它将用户分组业务从无线电网络 42 路由到合适的 GGSN 48，提供对外部分组数据网的接入，这时外部分组数据网是核心网 28。DSLAM 50 是一个网络设备，它通常位于电话公司中心局或在相邻的服务区接口上作为数字环路载

波的一部分，该网络设备从多用户 DSL 连接接收信号，在高速骨干线上利用复用技术汇聚信号。在这种情况下，DSLAM 50 将 DSL 网 38 和核心 IP 网 28 连接。

网络 38、40、42 可以通过不同的控制/功能单元，在功能/逻辑上连接到 CSCF 14。例如，IMS 系统可以包括策略判定功能 52 (PDF)，这个功能允许使用动态策略管理网络接入。附加功能单元 54 (为了简明起见被分组在一起) 可能包括服务策略判定功能 (SPDF)、接入资源与准入控制功能 (A-RACF) 以及网络附加子系统 (NASS)。例如，SPDF 使用策略规则来判定策略，并将根据应用功能得到的会话和媒体相关信息转发到 A\_RACF，以进行准入控制的目的。A-RACF 是执行资源保留准入控制和网络策略组装功能的功能单元。为了简明起见，一些中间单元，例如接入网关和服务器节点没有被示出。关于 IMS 网络运行的进一步说明在文献中可以得到，它们已为本领域技术人员所知。

在用户级，接入终端 56a、56b 为用户提供在网络中与其它用户通信的手段。每个终端都是带有基于硬件和/或软件功能的电子设备，用于在网络中通信，典型地包括用户输入输出单元，如键盘和显示器。实例包括计算机终端、诸如移动电话的无线设备、无线 PDA、诸如那些符合“3-G”或“4-G”标准的具有高速数据转移性能的无线设备、带有“WiFi”的计算机终端等。当一个终端 56a 启动与另一个终端 56b 的通信时，根据其通信协议，网络自动执行各种信令进程，以开启两个终端间的通信信道。

除了用于语音通信目的转移数据，例如 VoIP 数据分组之外，IMS 网络越来越多地用于从一个终端向另一个终端转移大的数据文件。例如，用户可能要求经过 IMS 网络 10，获取电子音乐文件、视频剪辑甚至整部的电影，取代从传统信号源，诸如音像店铺获得这些材料。出于这个目的，参见图 2，IMS 网络 10 可以被配置成用于文件下载。如图所示，多个终端 56a-56e (有时称为“客户机终端”) 以标准形式连接到网络 10。服务器终端 58 也可以以标准形式连接到网络 10。例如，

服务器终端 58 可以是上述的 IMS 应用服务器 20 之一。服务器终端 58 包括数据库和/或大容量存储器 60，诸如硬盘或磁盘阵列。数据库 60 包括多个数据文件 62a-62c。运行时，为获得选择的数据文件 62a，客户机终端 56a 在网络 10 中以标准方式联系服务器终端 58。例如，服务器终端 58 可能是一个用于文件下载的指定的服务器终端，并且通过互连网站点/索引、目录、预编程信息等，客户机终端被告知服务器终端地址。经过一个或多个可选管理功能后（例如，要求客户机终端为文件提供电子付费），被请求的文件 62a 通过网络 10 转移到客户机终端 56a，如标有“A”的箭头所示。

当图 2 中示出的传统服务器-客户配置也能以基本级在 IMS 网络中进行文件下载，服务提供商就无法识别与文件下载相关的业务。就是说，即使文件下载数据占用着相当多的带宽，但它看起来却像其它数据。这样一来，服务提供商无法具体地管理下载业务，也就无法根据数据业务类型进行计费。相反，计费只是与其它数据一样仅仅基于业务量。此外，下载系统/特性依赖于服务器的操作。如果服务器资源的负载过重，文件转移次数会受到影响，这样就要求服务器配备额外的存储空间、处理和带宽资源，或需要为文件下载系统提供一个以上的服务器终端。同理，如果服务器发生故障，整个文件下载系统也就发生故障了。

### 发明内容

本发明涉及在 IMS（IP 多媒体子系统）或其它网络中实现对等文件转移或下载的系统和方法。在系统中，数据文件（或其中的部分）在对等终端间转移，而不是从中心服务器终端转移，这样就降低了由于服务器发生故障或过载导致服务中断的可能性。此外，通过安全账户接入系统要求对于下载数据文件的用户鉴权，从而利用由能够为文件转移/下载服务付费的可信任用户组成网络域。与文件转移相关的结算数据也要通过安全账户生成，从而允许系统具体地跟踪 IMS 网络中的对等数据业务，例如用于计费的目的。



在一个实施例中，系统包括一个或多个服务器应用和多个客户机/对等终端，所有这些设备都经过 IMS 网络通信。对于“终端”，它指的是能够经过网络通信的电子设备。服务器应用是网络连接的基于软件和/或硬件的模块（如服务器终端），并且被配置成执行该系统的一个或多个对等文件转移管理功能。在运行中，为了下载选择的数据文件，客户机终端通过安全账户接入服务器应用。按照来自客户机终端的请求，服务器应用将数据文件的网络位置传送给客户机终端。“网络位置”是指数据文件在 IMS 网络中的地址或者其它位置，其中在对等终端中存储有数据文件等。然后，客户机终端根据该网络位置，例如，从对等终端获得数据文件。

在另一个实施例中，为了对等文件转移，多个数据文件的部分或分段分布在各个对等终端。（这些数据文件部分一起构成一个完整的数据文件，诸如包括多媒体内容的数据文件，例如歌曲或视频。）服务器应用将数据文件部分的网络位置传送给客户机终端，然后将数据文件部分从对等终端通过 IMS 网络转移到客户机终端。

在另一种实施例中，结算数据由客户机终端和/或对等终端产生，并且传送给服务器应用。结算数据涉及数据文件部分从对等终端向客户机终端的转移，并且可以用于跟踪对等文件转移业务。例如，结算数据可以包括数据文件部分在 IMS 网络中转移的速率，和/或数据文件部分中的数据量。结算数据可以在获得数据文件部分期间或之后自动安全地生成。“自动”是指无需用户输入或初始化，“安全地”是指不具有用户干预或修改的可能性，包括在 IMS 网络中可能的安全传输。出于这个目的，可以给客户/对等终端提供一个能够从客户机终端协调的客户机模块（如基于软件和/或硬件的应用或程序），（i）与服务器应用通信，（ii）对等文件转移，以及（iii）生成并传送结算数据。

在另一个实施例中，服务器应用使用结算数据以生成计费数据，例如根据数据转移速率和/或数据转移量。“计费数据”是指与对用户或用户账户的资金或类似费用（如借或贷）进行计费相关的信息/数据。典型地，从对等终端获得文件或文件部分的用户为文件转移付费。传

送文件的对等终端会因为传送文件获得信用。

在另一个实施例中，在 IMS 网络中使用系统用于对等文件转移需要与服务器应用建立安全账户。“安全账户”是指这样一个账户（如按照账户名或其它标识符，组织相关数据的分组），即基于用户鉴权（如账户标识符和口令字保护）和其它可能的安全特性，限制对于所述账户的存取。建立了账户后，为获取数据文件，客户机终端存取服务器应用上的账户。随后，客户机终端存取服务器的数据库，以从服务器获得信息文件。信息文件与数据文件相关，并可能包括与数据文件相关的信息，例如，描述文件的信息和/或关于文件（或其中的部分）能在哪获得的信息。

在另一个实施例中，服务器应用包括服务器终端和跟踪器模块。服务器终端可以是一个包含账户、数据库和信息文件的计算机终端。跟踪器模块是网络连接的基于软件和/或硬件的应用或程序，用作服务器终端的代理，以执行文件转移/下载系统的某些通信及其它功能。例如，信息文件可能包括跟踪器模块的网络地址或其它位置。客户机终端在提供的位置访问跟踪器模块，并从该跟踪器模块获得数据文件的位置，或者如果数据文件被划分成存储在不同对等终端的多个不同部分，从跟踪器模块获得数据文件部分的位置。典型地，跟踪器模块将具有最近更新的关于数据文件的每个片段/部分的信息。

#### 附图说明

参照附图，并阅读以下非限制实施例的描述会更好地理解本发明，其中：

- 图 1 是 IP 多媒体子系统（IMS）网络的示意图；
- 图 2 是按照现有技术的文件转移/下载系统的示意图；
- 图 3 是根据本发明实施例的文件下载系统的示意图；
- 图 4 是文件下载系统的另一个实施例的示意图；
- 图 5 是文件下载系统的另一个实施例的信令图的示意图；
- 图 6A 和 6B 是文件下载系统的实施例的操作流程图。

### 具体实施方式

参照图 3-6B, 对等文件下载系统 70 包括服务器终端 72 以及由 IMS (IP 多媒体子系统) 网络 10 互连的多个客户机或对等终端 74a-74c。为了客户机终端之一 74a 通过系统 70 获取数据文件 78, 客户机终端 74a 通过预先建立的账户 80a 存取服务器终端 72。典型地, 由于使用系统 70 需要建立一个账户, 每个客户机终端 74a-74c 会在服务器上具有安全账户 80a-80c。客户机终端 74a 得到与数据文件 78 相关的信息文件 82d, 信息文件 82d 是服务器上多个信息文件 82a-82d 之一, 每个信息文件用于可被下载的数据文件。信息文件 82d 包括跟踪器模块 86 的网络地址或其它位置 84。客户机终端 74a 访问跟踪器模块, 以获取一个或多个终端 74b、74c 的网络位置 88a、88b (见图 5), 所述终端 74b、74c 具有存储于其中的数据文件 78。客户机终端 74a 选择并联系其中一个终端 74b, 以获取数据文件 78, 也就是说, 在由终端 74a 联系之后, 终端 74b 在 IMS 网络 10 中向终端 74a 传送数据文件 78。客户机终端 74a 将与在网络 10 中转移的数据量相关的结算数据 90 安全地传送给跟踪器模块 86, 跟踪器模块再将其转发给服务器 72, 用于计费目的。结算数据 90 也可以包含这样的指示, 即在客户机终端 74a 中已经得到文件, 并且该文件可以由其它终端从终端 74a 下载。

参考图 4, 系统 70 可以以用于某些对等网络的形式配置, 在这些对等网络中, 用于下载的数据文件 78 被分成具有相同大小的多个片段或分段 92a-92d, 并被分布在多个对等终端 74b-74d 中。在这里, 客户机终端 74a 从服务器终端 72 得到与被选数据文件 78 相关的信息文件 82 (典型地, 对于可以经过系统下载的数据文件, 将存在一个信息文件)。信息文件 82 包含跟踪器模块 86 的网络地址或其它位置 84。客户机终端 74a 访问跟踪器模块 86, 以获得在其上存储有数据文件部分 92a-92d 的终端 74b-74d 的网络位置 88a、88b。 (备选地, 位置也可以作为数据文件部分位置的列表 94 而被包含在信息文件 82

中。)如果多于一个的终端具有相同的数据文件分段,客户机终端 74a 就从可获选项中选择。根据位置,客户机终端 74a 以对等方式从终端 74b-74d 获得数据文件 78 的分段 92a-92d。一旦获得所有的数据文件分段/部分,数据文件分段 92a-92d 就被分类,并且根据客户机终端 74a 可利用的散列信息/数据 96 重新组装成数据文件 78。散列数据是用于验证数据文件分段 92a-92d 的数据,用以将数据文件部分 92a-92d 重新组装成文件 78,和/或为了快速有效的数据库存储和检索,对数据文件部分 92a-92d 进行分类。散列数据 96 可以从与数据文件 78 相关的信息文件 82 中得到。典型地,终端 74a-74d 中的一个或多个也可以传送核算数据 90,该核算数据也可以包含已完成对数据文件分段 92a-92d 的下载并已准备好供其它终端下载的指示。

应当注意的是,典型地,系统 70 也可与 IMS 网络 10 相结合地实施,IMS 网络 10 的实例如前所述。终端 72、74a-74d 是能够在网络 10 中与一个其它终端通信的电子设备,并且例如可以包括计算机终端、诸如传统电话和增强型/多媒体电话的有线连接的通信设备、和/或无线单元,所述无线单元诸如是移动电话、无线 PDA、符合“3-G”或“4-G”标准的带有高速数据转移性能的无线设备、配置有“WiFi”的计算机终端等。终端 72、74a-74d 以标准形式在网络 10 中相互通信,这依赖于 IMS 网络的特殊配置、用于在网络 10 中通信的特殊协议以及用于接入网络的终端类型。在计算机终端和 IMS 网络的情况下,一个终端(如一个客户机终端)向另一个终端(如服务器终端)传送对于数据的请求,并且来自服务器终端的数据以分组形式转移回客户机终端。例如,为了接入互联网的站点,用户会输入站点的 URI(统一资源标识符)/URL(统一资源定位符)或 IP 地址到运行在用户计算机终端的网络浏览器软件程序,如微软的 Internet Explorer™。URL 是符合一个遵守标准格式的字符串,通过其位置描述互联网上的资源(如站点的主页),并且具体说明用于通信的协议、与之通信的主机/服务器、连接到的服务器的网络通信端口、以及通向服务器资源的路径(例如,它的文件名)。一旦输入了 URL,服务器终端就被联系上,

并且如果可以的话，服务器终端就按照特定 URL，向用户终端传送回所请求的数据。例如，如果 URL 与一个站点主页相对应，存储在服务器中的对应于该主页的数据（典型地以 HTML 格式）就被传送回用户终端。数据被网络浏览器转换/译码，以在用户终端的显示器上重新生成图形形式的网页。

服务器终端 72 是一个标准的服务器配置的终端（或终端的分组），具有经过该网络通信的单元，例如一个或多个标准网络适配卡/模块、调制解调器等。服务器终端 72 也包括大容量存储器或其它存储器，这些存储器可以被组织为一个或多个数据库 98，用以存储账户 80a-80c 和信息文件 82a-82d。典型地，服务器终端 72 也可以包括接口 100，用于用户接入服务器 72（从客户机终端 74a-74d）以建立账户，以及启动文件下载等。例如，接口 100 可以是一个以根据现有技术众所周知的标准方式，如 HTML 码实施的互联网站点。

为了在服务器上建立用于对等转移的账户 80a，用户在客户机终端 74a 接入接口 100。例如，如果接口 100 是一个互联网站点等，用户将在客户机终端 74a 上激活网络浏览器程序，并且输入接口 100 的 URL 到网络浏览器。接入到接口 100 之后，用户被提供选项，诸如“建立新的账户”和“存取已有的账户”。用户也会被提供关于系统 70 的信息，包括计费选项和速率。如果选择了“建立新的账户”选项，用户会被提示输入个人信息以及建立账户需要的其它信息，诸如姓名、付费地址、电子邮件地址、例如银行账户借贷卡的付费方式、以及诸如用户名和口令字的安全信息。像用户账户 80a 一样，该信息以标准方式存储为数据库 98 中的一个或多个相关文件。接下来，为了存取账户 80a，用户存取接口 100，选择“进入已有账户”功能（或类似功能），然后输入用户鉴权信息，诸如账户名、口令字和/或其它安全信息。

服务器终端 72 也包括存储在数据库 98 中的信息文件 82a-82d，或另外可接入服务器终端 72 的信息。信息文件 82a-82d 中的每一个对应于系统 70 中的可用于下载/转移的不同数据文件 78（换句话说，每个数据文件 78 具有一个对应的存储于服务器 72 中的信息文件

82a-82d。)。每个信息文件 82a-82d 包括跟踪器模块 86 的网络地址或其它位置 84，例如用以在 IMS 网络 10 中访问跟踪器模块的 URL 或跟踪器模块的 IP 地址。如下文将进一步描述的，跟踪器模块 86 实质上可以是用于通用目的，例如跟踪器模块与一个以上数据文件 78 结合使用，或者它也可以单个专用的或被指派给数据文件 78。如果可应用的话，信息文件 82a-82d 进一步包括关于它们各自的相关数据文件 78 的信息 102，诸如文件名、文件类型、文件大小、主题和内容概要，并且还包括计费信息，诸如获取文件的速率。例如，在歌曲或音乐文件的情况下，文件信息 102 可以包含文件名、歌曲名、与歌曲通常相关的唱片名、艺术家、音乐类型，文件大小、诸如 MP3 的文件类型、以及下载音乐文件的费用。信息文件也可以包含散列数据 96，散列数据 96 与数据文件 78 相关，或者如果数据文件被划分成多个分段进行对等转移，则散列数据 96 与每个数据文件分段 92a-92d 相关。

作为下载数据文件 78 的初始步骤，用户引导客户机终端 74a 通过接口 100 接入服务器终端 72，如图 5 中的步骤 200，选择“存取已有账户”选项或类似选项，然后输入存取用户账户 80a 所需的信息。接下来，用户选择用于选择和/或下载数据文件的选项。选择数据文件 78 可能涉及使用关键字之类搜索数据文件，搜索文件的目录或分组，输入文件名或其它标识符，如果知道的话，或者通过可用数据文件的列表或选择浏览，列表或可用数据文件的选择可能通过分类、类型、类别进行划分。这样，接口 100 可以为此目的提供功能，如标准站点搜索功能、用于浏览的各个链接的网页、一个或多个索引之类。一旦一个特定的数据文件 78 被选择，用户选择“下载”之类的功能，并且需要请求批准用于下载文件的流量速率或费用。然后在步骤 202 中，与被选数据文件 78 相关的信息文件 82a 通过网络 10，从服务器终端 72 转移到客户机终端 74a。

信息文件 82a 用以与信息文件 82a 中标识的跟踪器模块 86 联系并通信的目的。出于这个目的，客户机终端 74a 就会被提供一个客户机模块 104。客户机模块 104 是运行在客户机终端 74a 上的硬件、硬

件/软件、或软件应用/程序，并且被配置成以标准方式经过网络 10 通信，例如客户机模块 104 访问客户机终端的网络通信单元（网络适配卡，调制解调器等），以在网络 10 中发送和接收消息及其它数据。客户机模块 104 可以是在客户机终端用户接口或操作系统后台运行的一个多用途软件程序，和/或它可以提供用户可访问的功能性，诸如显示下载状况、下载历史之类。它也可以像基于客户机终端的接口那样访问服务器终端 72。一般而言，当用户初次建立账户时，都要从服务器 72 下载客户机模块 104。然后客户机模块 104 以标准方式安装在客户机终端中。使用系统 70 可能需要下载并安装客户机模块。备选地，客户机模块可以被“构建”在客户机终端里。例如，在无线设备的情况下，客户机模块可以利用无线单元的无线服务器提供商或指定的第三方所操作的系统 70，被提供在无线设备中，作为其中一个标准的可用功能。

一旦在客户机终端 74a 中接收到信息文件 82a，在步骤 204 中，客户机模块 104 在由信息文件 82 提供跟踪器模块地址/位置 84 上，启动与跟踪器模块 86 的通信。特别地，客户机模块 104 向跟踪器模块 86 传送数据文件标识符 106，并且跟踪器模块利用列表 108 进行响应，在该列表中，终端具有数据文件 78 或者数据文件分段 92a-92d。数据文件标识符 106 唯一地标识被选的数据文件 78，并且典型地是信息文件 82a 中的数据文件信息 102 的一部分。例如，数据文件标识符可以是数据文件的文件名、数据文件的序列号或索引号等。在一些系统里，客户机终端 74a 也可以向跟踪器模块传送联系信息 110。客户机终端联系信息 110 包括跟踪器模块 86 与客户机终端 74a 通信所需的所有信息，诸如客户机终端的网络地址（URL 或 IP 地址）以及/或者客户机终端正在监听的通信端口。

跟踪器模块 86 是一个网络连接的基于软件和/或硬件的应用/程序，用作服务器终端的代理，以实现系统 70 的某些通信和其它功能。例如，可以提供跟踪器模块 86 用以实现对等数据文件转移的对等联系，用以为计费目的搜集核算数据 90，和/或用以跟踪哪些终端经过该系统得到了哪些数据文件（或数据文件分段 92a-92d）。跟踪器模块

86 实施 HTTP 上层的简单通信协议（也就是说，被实施以与所述网络上的通信协议相结合地操作，诸如 HTTP），在这个通信协议中，客户机终端发送文件标识符 106，跟踪器模块利用对等列表 108 做出响应，在所述对等列表 108 中包含具有选择的数据文件 78 或可能是选择的数据文件 78 的分段 92a-92d 的客户机/对等终端 74b、74c 的网络位置/地址 88a、88b。标识在对等列表 108 中的终端可能先前已经过系统 70 下载（或正在下载）所选的数据文件 78（或数据文件分段），其中服务器终端 72 和/或跟踪器模块 86 已经跟踪到影响后来由其它客户机终端启动的对等下载的信息。（换句话说，当客户机终端在系统中下载文件或文件分段时，服务器可能被配置成注意客户机终端当前具有可供用于对等下载的文件或文件分段，包括存储该客户机终端的网络地址，以便后来提供给需要获取数据文件或文件分段的客户机终端。）备选地，数据文件可能源自被标识的对等终端 74b、74c 的其中一个，然后所述被标识的对等终端通知服务器终端 72（例如，从客户机模块以及/或者通过接入接口 100）这个数据文件可用于对等转移。在这种情况下，服务器终端 72 中可能会设置措施以安全维护版权保护文件。

应当注意的是，一个跟踪器模块/应用 86 可能用于所有对等事务，或者由于事务量可能需要一个以上跟踪器模块，那么可以按需为事务指派多个跟踪器模块 86。备选地，可能存在与每个数据文件 78 相关的跟踪器模块 86，所述跟踪器模块专用于涉及该数据文件的事务。在这种情况下，客户机终端就没必要向跟踪器模块传送文件标识符 106。相反，已与特定跟踪器模块联系的客户机终端将暗指可供下载的数据文件。跟踪器模块 86 可以存储在服务器 72 上或另一个网络连接的终端上。

当跟踪器模块 86 从客户机终端 74a 接收到选择的数据文件 78 的识别符 106 和/或客户机模块连接信息 110，跟踪器模块 86 通过向客户机终端 74a 传送对等列表 108 做出响应，参见步骤 206。应当注意的是，对等列表 206 包括一系列具有选择的数据文件 78 或数据文件分段



92a-92d 的一个或多个对等终端的网络位置/地址 88a、88b。这些可以是在系统 70 中具有选择的数据文件 78 或文件分段 92a-92d 的终端所有或者一些子集，或者只有那些当前正在与网络通信的终端。接下来，如果要下载完整文件 78，客户机模块 104（在客户机终端 74a 上运行）选择所列举的对等终端中的一个，或者如果要下载多个文件分段 92a-92d，就选择一个或多个对等终端。选择可以简化为在对等列表中列举的第一有效终端，或者在网络 10 中离客户机终端 74a“最近”的有效对等终端。其它选择准则也是可能的。一旦已经选择对等终端，例如对等终端 74b，对等文件转移就开始了。特别地，在步骤 208 中，客户机模块 104 通过网络 10，启动与在对等列表 108 中提供的对等终端 74b 的位置/地址 88a 上的被选择对等终端 74b 的通信。例如，这可以包括向对等终端 74b 传送对等文件请求消息 112。作为响应，在步骤 210 中，被选择的对等终端 74b 上的客户机模块将存储在对等终端 74b 中的被选择数据文件 78（或数据文件分段 92a）以标准方式传送给客户机终端 74a。数据文件 78（或数据文件分段 92a）在客户机终端 74a 中被接收，并被存储以备检索和后续使用。如果是多个数据文件分段 92a-92d 的形式获得数据文件 78，就对其它对等终端重复这个过程直至得到所有分段。

在转移文件或文件分段期间或者之后，选择的对等终端 74b 和客户机终端 74a 上的两个客户机模块 104 或者其中之一至少周期性地跟踪器模块 86 传送结算数据 90（步骤 212）。结算数据 90 可以包括与被转移文件 78 的数据转移速率相关的数据 114、与被转移的数据量相关的数据 116、用于标识客户机终端 74a、对等终端 74b 的数据 118a、118、和/或与这些终端相关的账户 80a、80b。典型地，转移速率将用于统计目的，数据量将用于计费目的的业务记录，例如计费可以基于转移的数据量而不是转移速率。备选地，用户可以选择突发转移速率，这样就以更高的速率计费，或者如果基于每个文件计费，结算数据可以简单地指示已成功地转移文件。可以根据上载和下载量生成和/或使用结算数据，下载是指在请求的客户机终端 74a 接收数据，上载是指

由对等终端 74b 转移数据。上载量可于作为对等终端计费贷记的基础，例如，用作系统 70 中的数据源的对等终端可以有效被支付或贷记较少的量，从而激励对等终端的用户使之乐意以后给其它对等终端转移文件。典型地，结算数据 90 将会以安全方式，诸如对称加密，传送给跟踪器模块和/或服务器终端，以确保结算数据不被客户机终端 74a 的用户修改。需要理解的是，在这种方式下，结算数据的产生和传输都是自动地执行，不可能有用户介入，并且使用系统用于对等文件转移的先决条件是使用客户机模块（以及结算数据的隔绝/安全生成）。此外，因为要求每个对等用户都建立一个安全账户并使用客户机模块，一个安全/可信任的对等网络也就被建立了，其中对对等数据转移的费用收集都也被保证。在这种情况下，客户机终端 74a 从多个终端 74b-74d 获取数据文件分段 92a-92，每个终端 74a-74d 都可以产生结算数据 90。

如果结算数据 90 被传送给跟踪器模块 86，跟踪器模块就将数据 90 转发给服务器终端 72，如步骤 214 所示。服务器终端 72 使用结算数据 90 来生成计费数据 120a-120c。“计费数据”意指与对于用户或用户账户施加的资金或类似费用进行计费（如借或贷）相关的信息/数据。因此，计费数据 120a-120c 可以基于数据转移速率 114 和/或数据量 116，并且例如可以包括对于在结算数据 90 中识别的账户或者根据结算数据 90 确定的帐户收取或支付费用。例如，如果计费速率基于数据量 116，那么账户 80a 可以如下按照美元帐户计费：

$$\text{\$收费} = (\text{数据量}) \times (\text{\$/单位数据})$$

这个信息作为计费数据 120a-120c 被存储在用户各自的账户 80a-80c 中，系统 70 以标准方式根据用户协议和账户类型收取资金，例如，直接的银行账户负债、信用卡计费之类。系统 70 收取的资金可用于支付版权许可费以及网络服务提供商提供的网络带宽。

应当注意的是，每当对等终端获取一个特殊的数据文件或数据文件分段时，与该数据文件或数据文件分段相关的终端的网络地址或其它位置被跟踪器模块 86 和/或服务器终端 72 跟踪。换句话说，跟踪器模块和/或服务器存储了这样的数据（例如，在数据库或其它存储器

中)，即该数据与具有数据文件（或数据文件的特殊片段/分段）的对等终端的地址/位置相关，如指示对等终端具有可供对等转移的数据文件。随后，当另一个对等终端请求该数据文件或数据分段时，相对于该数据文件搜索或者存取数据库或其它存储器，以编译用于该数据文件的相关对等列表 108。对等列表中包含的内容依赖于终端是否在与网络通信，这样，跟踪器模块和/或服务器终端可以为这种情况执行测试。备选地，如果发现一个对等终端不能用于文件转移，客户机终端可以在该对等列表中选择备选的对等终端。

从客户机终端 74a 和/或客户机模块 104 的角度看，对于转移完整文件 78 的情况，系统 70 的一个实施例的操作如图 6A 所总结的。在步骤 220，客户机终端从跟踪器模块/应用 86 或服务器终端 72 接收选择的数据文件 78 的位置。位置可以是其上存储有数据文件的对等终端 74b 的网络地址或其它位置，或者它可以是数据文件自身的地址或其它位置，例如，对等终端 74b 的子地址或位置。在步骤 222，客户机终端 74a 通过网络 10 从对等终端 74b 获得数据文件 78。在步骤 224，客户机终端 74a 向跟踪器模块/应用 86 和/或服务器终端 72 传送结算数据 90。应当注意的是，结算数据与经过网络从对等终端到客户机终端的数据文件的转移相关，例如，数据转移速率和/或数据转移量。典型地，在数据文件被得到期间或者之后，结算数据将被自动安全地生成并传送。换句话说，一旦得到数据文件，客户机模块就自动（例如，无需用户输入）安全地（例如，用户不可能干涉）产生结算数据，并且自动安全地将它（例如，诸如加密的安全传输）传送到跟踪器模块或服务器。

对于多个数据文件分段 92a-92d 的情况，客户机终端 74a 从跟踪器模块/应用 86 或服务器终端 72 接收选择的数据文件 78 的分段 92a-92d 的位置。例如，这些位置可以是其上存储数据文件分段 92a-92d 的对等终端 74b-74d 的网络地址或其它位置。客户机终端 74a 通过网络 10 从对等终端 74b-74d 获得数据文件分段 92a-92d。终端 74a-74d 向跟踪器模块/应用 86 和/或服务器终端 72 传送结算数据 90。

从跟踪器模块 86 和/或服务器终端 72 的角度看, 系统 70 的实施例的操作如图 6B 所总结的。在步骤 226, 根据来自客户机终端 74a 的请求, 服务器和/或跟踪器模块向客户机终端 74a 传送选择的数据文件 78 的网络地址或其它位置。数据文件 78 存储在对等终端 74b。接着, 在步骤 228, 服务器终端和/或跟踪器模块从客户机终端 74a 和对等终端 74b 的其中一个或二者接收结算数据 90。结算数据 90 与经过网络从对等终端到客户机终端的数据文件转移相关, 例如, 数据转移速率和/或数据转移量。在数据文件分段 92a-92d 的情况下, 根据来自客户机终端 74a 的请求, 服务器和/或跟踪器模块向客户机终端 74a 传送数据文件分段 92a-92d 的网络地址或其它位置。数据文件分段 92a-92d 存储在对等终端 74b-74d 中。典型地, 服务器终端和/或跟踪器模块将从所有终端 74a-74d 接收结算数据 90。

系统 70 可以被提供加密和/或反盗版措施, 从而数据文件 78 或文件分段只能通过系统 70 转移到给其它终端。例如, 系统可以这样设置, 即每当数据文件被复制或转移时, 文件自动地自我加密或者被客户机模块自动地加密, 其中客户机模块 104 具有解密文件用以使用的功能 122。因此, 用户将不能够在系统 70 之外以可用形式转移数据文件 78, 否则就会导致侵犯版权, 违反许可合同之类。

需要理解的是, 数据文件 78 可源自服务器 72。例如, 音乐或其它数据文件 78 可以因不存在版权许可目的而存储在服务器终端 72 上。存储在服务器的文件 (或文件的分段) 第一次被下载, 文件或文件的分段以如前所述形式, 从服务器终端转移到正在下载的客户机终端, 例如, 服务器终端像对等终端那样有效实施地工作。备选地, 文件并非存储在服务器终端 72 并从服务器终端 72 自己转移, 而是专用的“对等”服务器 124 配置为像真正的对等终端 74b, 74c 那样工作, 在第一事例中用于存储并下载数据文件 78。对等服务器 124 的网络地址或其它位置会被提供在对等列表等中, 如前所述。数据文件 78 或数据文件分段从服务器 72 或对等服务器 124 被下载之后, 服务器 72 或对等服务器 124 会从后来的对等列表中排除, 而数据文件 78 被对等地转移。

备选地，在没有对等终端可用于下载特殊的数据文件或文件分段的情况下，服务器和/或对等服务器可以作为备份。

尽管跟踪器模块 86 有效地划分了系统 70 中的通信功能，系统 70 可以被配置为仅单独地利用服务器终端或终端 72 操作，而不脱离本发明的精神和范围。因此，服务器终端就可以被配置成执行如前所述的跟踪器模块的功能，除了对等文件转移外的所有事例中，客户机/对等终端都直接与服务器终端通信。此外，可以按与前述方式不同的方式分配跟踪器模块 86 和服务器终端 72 的功能。因此，跟踪器模块 86 和服务器终端 72 可以共同地或者单独地被表征为“服务器应用”126，意指与网络接口的硬件和/或软件单元，并且被配置成执行一个或多个如前所述服务器和/或跟踪器模块的功能。

在系统 70 中，IMS 网络 10 执行一个或多个信令/通信功能，以根据网络 10 中的通信协议，互联客户机终端 74a-74d 和服务器应用 126。此外，系统 70 可以为网络 10 执行一个或多个如上面所述的服务器应用功能，例如，跟踪器模块和/或服务器终端的功能。例如，IMS 网络可以被配置为 (i) 管理用于接入站点接口 100 并下载文件 78 的客户机终端请求，(ii) 使客户机终端与服务器应用 126 连接，(iii) 连接客户机终端与对等终端以进行文件转移，以及 (iv) 管理客户机终端与服务器应用 126 之间的连接。特别地，在文件 78 被选择用于在客户机终端 74a 中通过安全账户下载之后，IMS 处理来自客户机终端 74a 的下载文件的请求，联系服务器应用 126，获得信息文件 82d，并将其连同服务器应用 126 的地址 84 一起传送给客户机终端 74a 以供下载的目的，例如下载服务器应用 126 的套接字信息。客户机终端 74a 连接到服务器应用 126，开始文件下载，并向 IMS 传送请求，以联系具有数据文件 78 (或其分段 92a-92d) 的对等终端 74b-74d。IMS 定位对等终端 74b-74d，然后建立客户机终端 74a 与对等终端 74b-74d 之间的连接，以便客户机终端 74a 获取数据文件分段。IMS 也可以向服务器应用 126 发送用于更新目的的消息。

需要理解的是，尽管数据文件分段 92a-92d 已经被表征为数据文

件 78 的部分或分段，但是每个数据文件部分自己就是一个数据文件，例如，数据的分组。这样，数据文件部分也可以被表征为数据文件，其中数据文件 78 就是由多个小数据文件或数据文件分段组成的大的复合数据文件。

需要理解的是，系统 70 利用对等网络模型用以文件下载，以及基于账户的客户/服务器网络模型以管理文件下载和收集计费信息。因此，系统在文件转移方面是有效的，同时保持精确性、安全性、以及在网络带宽使用和计费方面的计费能力。

由于可以对于上述 IMS 网络的对等文件下载系统做出某些改变，而不会背离在此涉及的本发明的精神和范围。这就意味着上面所述或附图中显示的所有主题仅应该理解为示例说明本发明构思的实例，而不应该被看作是对于本发明的限制。

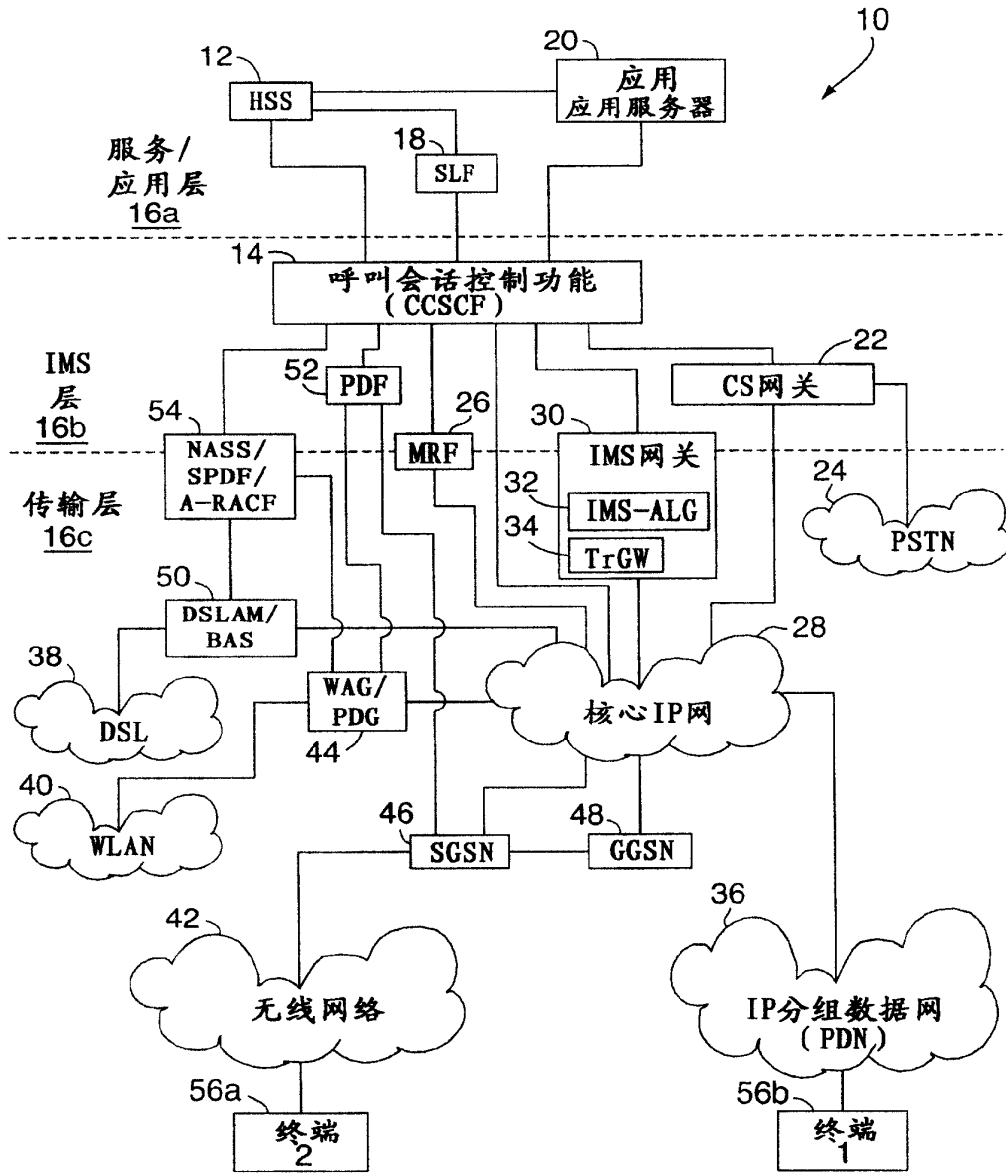


图1

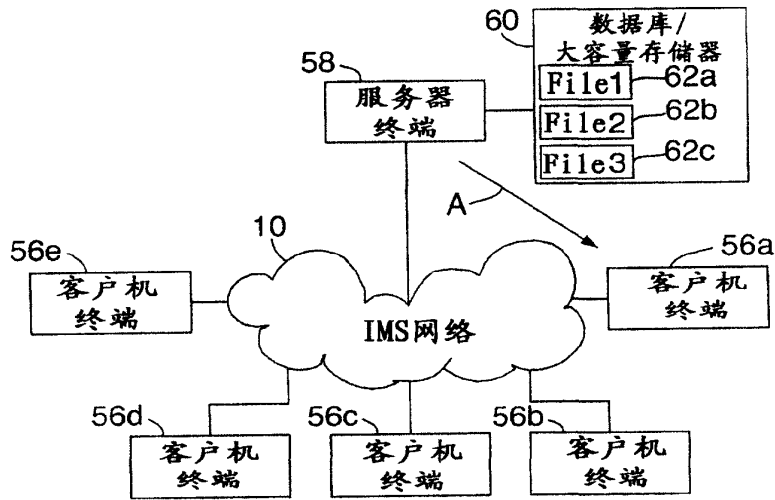


图 2

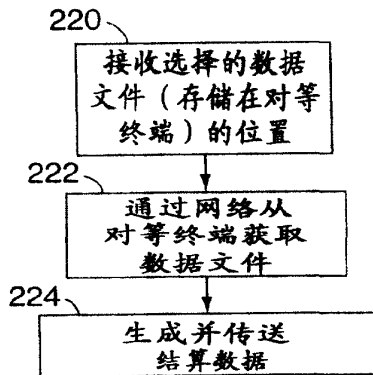


图 6A

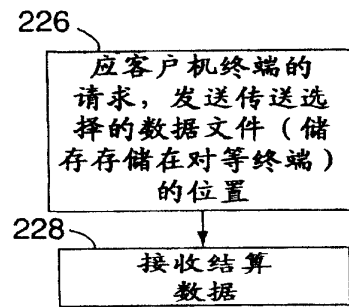
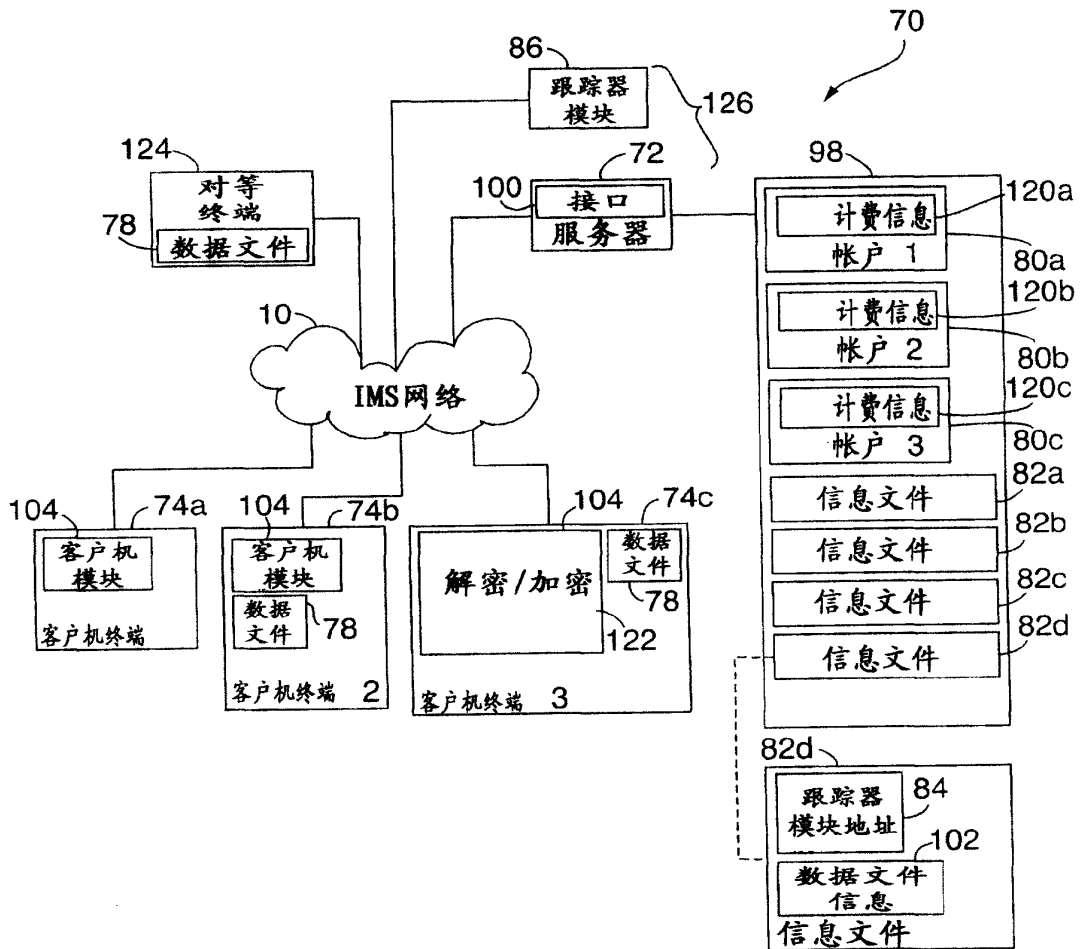


图 6B





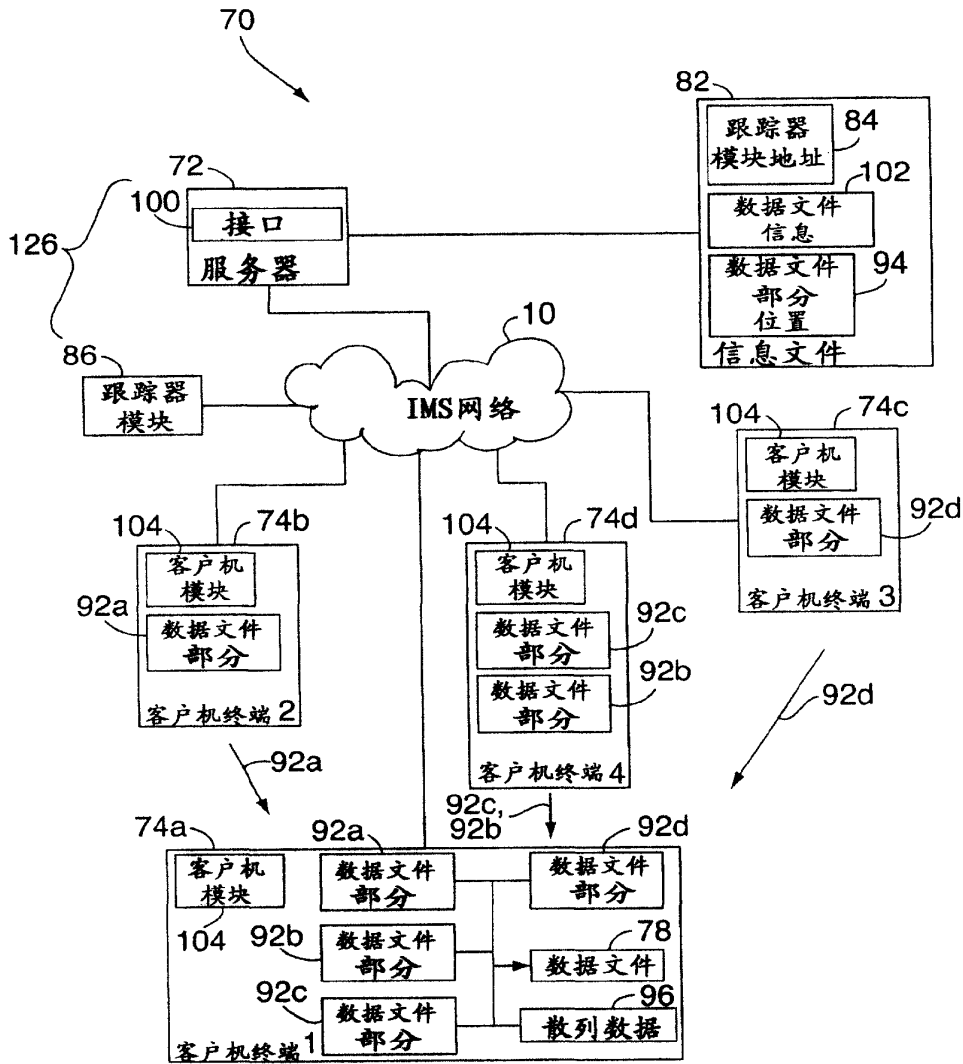


图 4

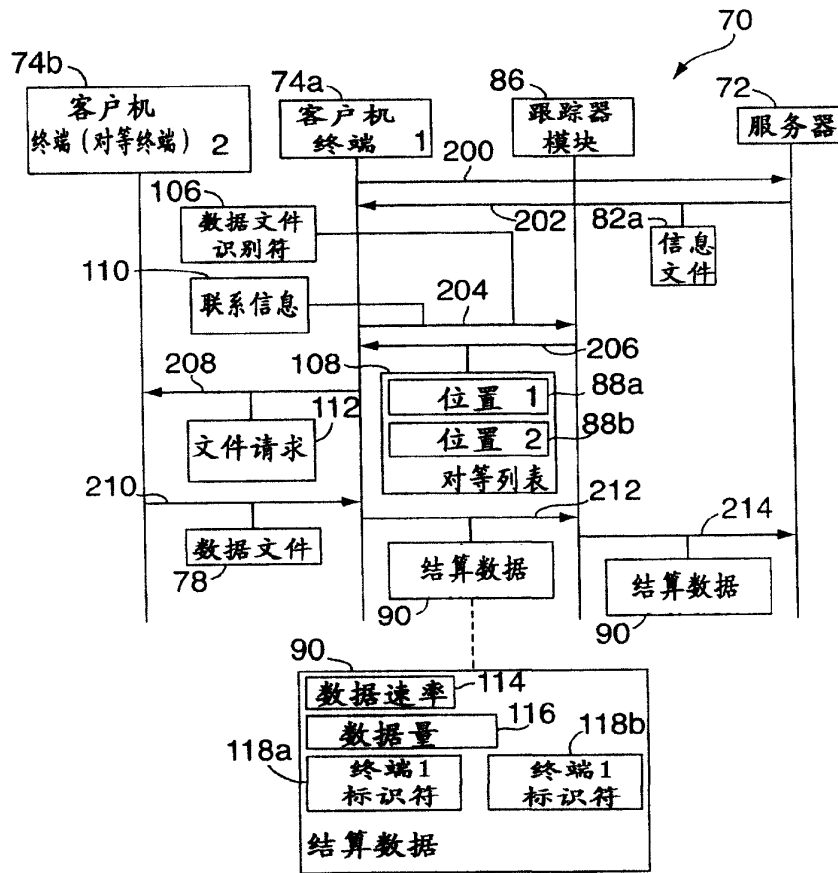


图 5

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	18610705
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	28-MAR-2014
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	12:04:21
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	S0357328.pdf	301319 <small>fb320613ff38bcee7260b8777eacf492196f3f</small>	no	4

### Warnings:

### Information:

This is not an USPTO supplied IDS fillable form

2	Foreign Reference	S0355255.pdf	1759985	no	27
			9ffd1a91a1b8cecee5cc0f692ac23477494487a1		

**Warnings:**

**Information:**

**Total Files Size (in bytes):** 2061304

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SS/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

U.S. PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S. PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20080109446	A	2006-05-06	Wang	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2007-280388	JP	A	2007-10-25	XEROX CORPORATION		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109		
Filing Date	2013-09-12		
First Named Inventor	Derry Shribman		
Art Unit	2459		
Examiner Name	NGUYEN, MINH CHAU		
Attorney Docket Number	19459-6105P		

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

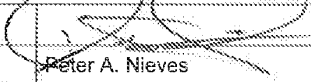
See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2014-11-18
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	19459-6105P

1	KEI SUZUKI, a Study on Cooperative Peer Selection Method in P2P Video Delivery, Vol 109, No . 37, IEICE Technical Report, The Institute of Electronics, Information and Communication Engineers, May 14, 2009, Vol 109	<input type="checkbox"/>
---	--	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.



## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Espacenet

Bibliographic data: JP2007280388 (A) — 2007-10-25

PEER-TO-PEER FILE SHARING SYSTEM AND METHOD USING  
DOWNLOADABLE DATA SEGMENT

**Inventor(s):** ST JACQUES ROBERT J ± (ST JACQUES ROBERT J)

**Applicant(s):** XEROX CORP ± (XEROX CORP)

**Classification:** - international: G06F12/00; G06F13/00  
- cooperative: H04L67/104; H04L67/108; H04L67/32

**Application number:** JP20070091867 20070330

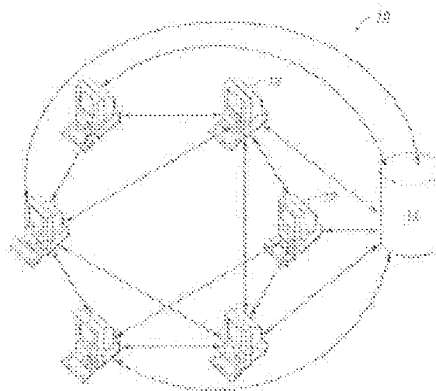
**Priority number (s):** US20060397163 20060404

**Also published as:** JP5065734 (B2) EP1843552 (A1) US2007244894 (A1)  
US7970835 (B2) BRPI0701352 (A)

Abstract of JP2007280388 (A)

**PROBLEM TO BE SOLVED:** To provide a peer-to-peer file sharing system and method which overcomes the problem associated with prior art P2P network system. **SOLUTION:** The peer-to-peer file sharing system includes a network 10 having a plurality of peers 12. And the peers 12 include a processor for performing a set of programmable instructions for executing a set of programmable instructions for performing a peer-to-peer file sharing method including: a step of requesting at least one segment of a file from at least one peer of a plurality of peers 12 of the network; and a step of providing at least a portion of the at least one requested segment to at least one peer 12.

;COPYRIGHT: (C)2008,JPO&INPIT



(19) 日本国特許庁(JP)

(12) 公開特許公報(A)

(11) 特許出願公開番号

特願2007-280388

(P2007-280388A)

(43) 公開日 平成19年10月25日(2007.10.25)

(51) Int. Cl.	F 1	テーマコード (参考)
G06F 13/00 (2006.01)	G06F 13/00 351A	5B082
G06F 12/00 (2006.01)	G06F 12/00 545Z	5B089
	G06F 13/00 520D	

審査請求 未請求 請求項の数 4 O L (全 13 頁)

(21) 出願番号 特願2007-91867(P2007-91867)  
 (22) 出願日 平成19年3月30日(2007.3.30)  
 (31) 優先権主張番号 11/397,163  
 (32) 優先日 平成18年4月4日(2006.4.4)  
 (33) 優先権主張国 米国(US)

(71) 出願人 596170170  
 ゼロックス コーポレーション  
 XEROX CORPORATION  
 アメリカ合衆国 コネチカット州 スタン  
 フォード、ロング・リッジ・ロード 80  
 0  
 (74) 代理人 100075258  
 弁護士 吉田 研二  
 (74) 代理人 100066976  
 弁護士 石田 純  
 (72) 発明者 ロバート ジェイ エスティー ジャック  
 ス  
 アメリカ合衆国 ニューヨーク フェアポ  
 ート エモリー クライス 19  
 Fターム(参考) 5B082 HA05  
 5B089 JA11 KA06

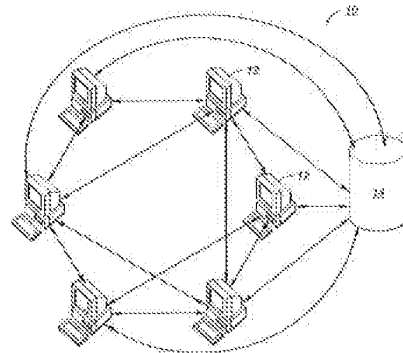
(54) 【発明の名称】 ダウンロード可能データセグメントを使用するピアツーピアファイル共有システムおよび方法

(57) 【要約】

【課題】 先行技術のP2Pネットワークシステムに関連する問題を克服するピアツーピアファイル共有のシステムおよび方法の必要性がある。

【解決手段】 ピアツーピアファイリング共有システムは、複数のピア12を有するネットワーク10を備える。また、ピア12は、ネットワークの複数のピア12のうちの少なくとも1つのピア12にファイルの少なくとも1つのセグメントを要求するステップと、少なくとも1つの要求されたセグメントの少なくとも一部を少なくとも1つのピア12に提供するステップと、を含むピアツーピアファイリング共有の方法を実行するためのプログラマブル命令のセットを実行するプロセッサを備える。

【選択図】 図1



## 【特許請求の範囲】

## 【請求項1】

ピアによってネットワーク上の複数のピアのうちの少なくとも1つのピアにファイルのセグメントを要求するステップと、

前記ネットワーク上の前記複数のピアのうちの前記少なくとも1つのピアによって要求されたセグメントの少なくとも一部を前記ピアに提供するステップと、

を含むことを特徴とするピアツーピアファイリング共有の方法。

## 【請求項2】

請求項1に記載の方法であって、さらに、

前記ピアによって要求されたセグメントの少なくとも一部をダウンロードするステップと、

前記ダウンロードされたセグメントの少なくとも一部をデータベースに格納するステップと、

前記複数のピアの別のピアに、前記ピアによって要求されたセグメントの少なくとも一部を提供するステップと、

前記複数のピアの別のピアにより別のセグメントの少なくとも一部を同時にダウンロードするステップと、

を含むことを特徴とする方法。

## 【請求項3】

複数のピアを有するネットワークと、

ネットワークの複数のピアのうちの少なくとも1つのピアにファイルの少なくとも1つのセグメントを要求するステップと、前記少なくとも1つの要求されたセグメントの少なくとも一部を少なくとも1つのピアに提供するステップと、を含むピアツーピアファイリング共有の方法を実行するためのプログラマブル命令のセットを実行するプロセッサと、

を備えることを特徴とするピアツーピアファイリング共有システム。

## 【請求項4】

請求項3に記載のシステムであって、

前記方法は、

クエリーを前記複数のピアに同報通信するステップと、

前記同報通信されたクエリーに回答して前記複数のピアから少なくとも1つの応答を受け取るステップと、

前記要求されたセグメントの少なくとも一部を提供するように応答するピアを選択するステップであって、前記クエリーは前記要求されたセグメントのパラメータの1つおよび要求されたデータセグメントを記述するフィルタとを含み、前記少なくとも1つの応答はオフセットおよび前記要求されたセグメントの長さを超えない長さを指定するメッセージを含むステップと、

をさらに含むことを特徴とする、システム。

## 【発明の詳細な説明】

## 【技術分野】

## 【0001】

本発明は、ピアツーピアファイル共有システムおよび方法に関する。

## 【背景技術】

## 【0002】

ピアツーピア（P2P）ネットワークシステムにおいて、ファイルの完全コピーを有する1つ以上のピア（提供者）およびダウンロードすることによってファイルを取得することを望むその他のピア（利用者）の間でファイルを共有する方法がある。利用者は、ファイルの全体または一部をダウンロードしてしまうと、ファイルを他の利用者に提供し始めることもある。このようにして、ピアは、ファイルをダウンロードおよびアップロードする「作業」をP2Pネットワークシステムにわたって分散する。ファイルのダウンロードは、最初のバイトから始まり、ファイル全体がダウンロードされるまで、最終バイトまで

順次に進む。

【0003】

前述のピアツーピアファイル共有方法の主要な問題は、利用者が誰もファイルの完全なコピーを持たないことにある。したがって、提供者が使用できなくなった場合、利用者は、ダウンロードを続行するために、完全なファイルが利用できるようになるのを無期限に待ち続けなければならない。ファイルが再び使用可能になった場合、ダウンロードは、前回正常に取り出されたバイトのオフセットから開始する。

【0004】

問題は特に、全ファイルの完全なコピーを有するピアツーピアファイル共有システムのピアがほとんどいない場合に生じる。ファイルが大型ファイルである場合、問題はさらに悪化する。問題は、以下の例によって説明される。

1. ピアAがピアツーピア(P2P)ネットワークシステムに接続し、File1.txt(1024バイト)をネットワークで使用できるようにする。
2. ピアBは、第1バイトから始めてファイルをピアAからダウンロードし始め、512バイトのデータを正常にダウンロードし、データの提供を開始する(この時点でピアは提供者でもあり利用者でもある)。
3. ピアCは、第1バイトから始めてファイルをピアAからダウンロードし始め、256バイトのデータを正常にダウンロードする。
4. ピアAはネットワークから切断する(あるいは、File1.txtの提供を中断する)。
5. ピアCは、ピアBからのデータのダウンロードを続行し、さらに256バイトのデータを正常にダウンロードする(合計512バイト)。

【0005】

この時点において、ピアBは、ピアAがネットワークから切断する前に最初の512バイトをダウンロードすることしかできないので、File1.txtの後半の512バイトのコピーを有する提供者はP2Pネットワークシステム上にいないことになる。ピアBおよびピアCはいずれも、完全なファイルが使用可能になるのを無期限に待つ必要がある。他の利用者がP2Pネットワークに接続してファイルを要求した場合、ピアBおよびピアCは、ダウンロードされたファイルの部分コピーを他のピアに提供することができるが、ファイルの完全コピーを持つ提供者がP2Pネットワークに接続するまでは、利用者は誰もファイルをダウンロードすることができなくなり、データ転送の進行を事実上停止させる。

【0006】

ピアは多くの場合、ファイルの完全コピーが可能な限り長時間P2Pネットワークシステム上に確実にとどまるようにするため、ファイルのダウンロード後に「厚意」としてしばらくの間ファイルを引き続き提供することが期待される。多くの場合、P2Pネットワークシステム上で使用可能なファイルの完全コピーはほとんどない、これはつまり、提供者が切断した場合、P2Pネットワークシステム全体のファイルの可用性を損なうということである。

【発明の開示】

【発明が解決しようとする課題】

【0007】

以上のことから、先行技術のP2Pネットワークシステムに関連する問題を克服するピアツーピアファイル共有のシステムおよび方法の必要性がある。

【課題を解決するための手段】

【0008】

本開示によれば、P2Pネットワークシステム内のピアが不確定の順序で大型ファイルのセグメントをダウンロードして、それらをデータベースに格納し、それらのセグメントをP2Pネットワークシステム内の他のピアが使用できるようにする、ピアツーピアファイル共有のシステムおよび方法が提供される。P2Pネットワークシステム内の少数

のピアのみがいつでもファイルの完全コピーを有することができる可能性を考慮すれば、完全なファイルからの異なるセグメントのサブセットをそれぞれ有する潜在的に多数のピアは、即座にセグメントを他のピアが使用できるようにすることができる。

【0009】

十分な数のピア、および適度な時間を与えられれば、たとえ元の提供者がP2Pネットワークシステムから切断するか、または何らかの他の理由により使用不可能になったとしても、ファイルの完全コピーが存在し、P2Pネットワーク全体を通じて配布される可能性は極めて高い。これにより、それぞれファイルの不完全なコピーを持つ残りのピアは、ファイルのさらに完全なコピーを作成するためにファイルのセグメントを引き続き交換することができる。たとえ使用可能なセグメントのスーパーセットが完全なファイルではない場合であっても、さまざまなピアは、元のファイルのソースが利用できなくなった後に引き続きデータを交換することができ、(最終的にすべてのピアがセグメントの同一セットを有するまで)各ピアでファイルのさらに完全なコピーを作成することができるようになる。

【0010】

本開示によれば、ピアツーピアファイル共有システムは、P2Pネットワークシステム向けに提供される。ファイル共有システムは、ピアツーピアファイリング共有方法を実行するためのプログラマブル命令のセットを実行する複数のピアを有するネットワークおよびプロセッサを含む。方法は、ネットワークの複数のピアのうちの少なくとも1つのピアにファイルの少なくとも1つのセグメントを要求するステップと、少なくとも1つの要求されたセグメントの少なくとも一部を少なくとも1つのピアに提供するステップとを含む。システムはさらに、ファイルの少なくとも1つのセグメントを格納するデータベースを含む。

【0011】

本開示はさらに、ピアツーピアファイリング共有の方法を提供する。方法は、ピアによってネットワーク上の複数のピアのうちの少なくとも1つのピアにファイルのセグメントを要求するステップと、要求されたセグメントの少なくとも一部をネットワーク上の複数のピアのうちの少なくとも1つのピアによってピアに提供するステップとを含む。方法はさらに、ピアによって要求されたセグメントの少なくとも一部をダウンロードするステップと、ダウンロードされたセグメントの少なくとも一部をデータベースに格納するステップとを含む。要求されたセグメントは、要求されたセグメントの長さを指定する表記によって識別される。表記は(x, y)であり、ここでxは要求されたセグメントが開始するオフセットを指定し、yは要求されたセグメントの長さを指定する。

【0012】

方法はさらに、元のソースからまだ取り出されていないセグメントを判別するためにデータベースをスキャンすることによりピアによって要求されたセグメントを選択するステップと、判別されたセグメント内からのランダムオフセット、およびランダムオフセットと判別されたセグメントの終点との間のランダム長さを選択するステップとを含む。

【0013】

本開示によれば、データセグメントが、ファイル、特に大型ファイルのさらに完全なコピーを作成するためにP2Pネットワークシステムの2つ以上のピア間で共有または交換できるようにする、ダウンロード可能なデータセグメントを使用するピアツーピアファイル共有のシステムおよび方法が提供される。

【発明を実施するための最良の形態】

【0014】

ここで、図1および図3を参照すると、本開示による規範的なP2Pネットワークシステムおよび方法のブロック図がそれぞれ示される。全体を通して参照番号10によって指定されている本開示のP2Pネットワークシステムは、6つのピア12、および6つのピア12の各々と通信するデータベース14を含む。各ピア12は、本開示による方法を実行するための少なくとも1つのプロセッサを含む。

## 【0015】

少なくとも1つのプロセッサは、本開示による方法を実行するための本明細書で以下に説明される機能およびステップを実行する。特に、少なくとも1つのプロセッサは、本開示によるシステムおよび方法の機能を実行するための一連のプログラマブル命令を有するアプリケーションソフトウェアを実行する。アプリケーションソフトウェアは、CD-ROM、DVD、ハードドライブ、およびディスクなどのコンピュータ可読媒体に格納されうる。

## 【0016】

本開示によるピアツーピアファイル共有のシステムおよび方法は、ファイルの完全コピーを有する別のピア12が使用不可になるか、または使用不可状態にある場合、ファイルのダウンロードを試みて別のピア12を無期限に待たなければならないというピア12の問題を解決するか、または少なくとも問題を大幅に軽減する。本開示によるシステムおよび方法は、ファイルがP2Pネットワークシステム10のピア12間で共有または転送される方法を要する。

## 【0017】

本開示によれば、ピア12（利用者）は、望ましいファイル内のさまざまなオフセットから選択されたセグメントを要求する（セグメントを選択するメカニズム（たとえば、ファイル内のオフセット、および各セグメントの長さ）は本明細書において以下で詳細に説明される）。セグメントは、各ピア12にローカルなデータベース14に保存され、ピア12（提供者）は直ちに、これらのセグメントに関心のある利用者に提供し始める。セグメントはファイル全体にわたるオフセットから選択されるので、たとえ元の提供者がP2Pネットワークシステム10から切断した場合であっても、ファイルの完全（またはほぼ完全な）コピーが残りの利用者間に存在する可能性はるかに高くなる。利用者は、各利用者が使用可能なセグメントのスーパーセットのコピーを持つまで、ファイルのセグメントを引き続き交換することができる。たとえ各利用者がファイルの完全コピーを有していない場合であっても、ダウンロードの順次的な特性を考慮すれば、従来のP2Pネットワークシステムで可能となるよりもはるかに多くのファイルの部分の有する可能性が高い。

## 【0018】

セグメントは、表記（オフセット、長さ）を使用して例に示される。たとえば、（256、512）はオフセット256で開始してオフセット512で終了するセグメントではなく、オフセット256で開始して長さが512単位のセグメントを示す。最も一般的に使用されるデータ転送の測定単位はバイトであるが、本明細書に説明されるメカニズムは、任意の測定単位に適用されうる（たとえば、ビット、バイト、ワードなど）。

## 【0019】

以下の例は、本開示によるシステムおよび方法の動作を説明する。

1. ピアAがP2Pネットワークシステム10に接続し、File2.txt（1024バイト）をP2Pネットワークシステム10で使用できるようにする。
2. ピアBは、ピアAからファイルをダウンロードし始め、（512、512）のセグメントを正常に取得する。
3. ピアCは、ピアAからファイルをダウンロードし始め、（0、256）のセグメントを正常に取得する。
4. ピアAはP2Pネットワークシステム10から切断する（あるいは、File2.txtの提供を中断する）。
5. ピアBは、ピアCからファイルをダウンロードし始め、（0、256）のセグメントを正常に取得する（ここでピアBはファイルの2つのセグメント（0、256）および（512、512）を有する）。
6. ピアCは、ピアBからファイルをダウンロードし始め、（512、512）のセグメントを正常に取得する（ここでピアCはファイルの2つのセグメント（0、256）および（512、512）を有する）。

## 【0020】

この時点で、P2Pネットワークシステム10にファイルの完全なコピーを有する提供者はいないので(セグメント(256、256)が欠落)、この例は理想的なシナリオを提供しないが、ピアBおよびピアCは他の場合に比べてファイルの大きい部分を有し、背景技術のセクションに示されている例における同等のピアと全く同じバイト数を各々ピアAから転送されているにもかかわらず、各ピアに使用可能なファイルの75%をもたらす。

#### 【0021】

本開示によるシステムおよび方法を使用すれば、前述の例によって分かるように、元の提供者がP2Pネットワークシステム10から切断された後にピア12がファイルのセグメントを引き続き交換し、ピア12がそれぞれデータの異なるセグメントを有するので、File1、1x1の全体的な配布は改善されている。さらに重要なことに、任意の提供者がセグメントを欠落した状態でP2Pネットワークシステム10に接続した場合(必ずしも完全なファイルでなくてもよい)、すべてのピア12は欠落したセグメントを交換して、各ピア12においてファイルの完全コピーを作成することができる。P2Pネットワークシステム10のすべての使用可能なセグメントのスーパーセットがファイルの完全コピーを含む限り、すべてのピア12が作業を続行するため、いずれのピア12もファイル全体を有する必要はない。このことは、すべてのピアがファイルにアクセスするために、ファイルの完全コピーがP2Pネットワークシステム上のどこかに存在する必要があるという点において、従来のP2Pネットワークシステムとは異なる。

#### 【0022】

これは、ほんの(比較的)短期間のみ(各セグメントが少なくとも1つのピアによってダウンロードされるまで)完全なファイルを使用可能にするために、各ピアがダウンロードするファイルのセグメントがインテリジェントに選択され、元の提供者が切断できるようにするか、またはP2Pネットワークシステム10に悪影響を及ぼすことなくファイルの提供を停止できるようにする場合に可能である。ピア12の数が増加すれば、それに応じて、ファイルの完全コピーを含むことが必要とされる提供者の数は減少する。

#### 【0023】

データセグメントは、オフセットおよび長さを使用して定義されたファイルまたはドキュメントのコンテントの順次的サブセットである。オフセットは、セグメントの開始するファイル内の位置を示す(たとえば、「0」のオフセットはファイル内の1番目のバイトを参照し、「99」のオフセットはファイル内の100番目のバイトを参照する)。長さは、オフセットから開始するセグメント内のデータの量を示す(たとえば、「0」のオフセットおよび「5」の長さは、ファイル内のバイト0、1、2、3、および4を参照する)。前述のように、セグメントは本明細書において、表記(オフセット、長さ)を使用して表される。

#### 【0024】

図1に示されるように、「純粋な」P2Pネットワークシステムにおいて、システムおよび使用可能なリソースに関する情報を収集する中央データリポジトリまたはサーバーはない。そのようなシステムにおいて、ピアは、要求をネットワークに同報通信して応答を待つことにより通信する必要がある。利用者はそのような同報通信メッセージを使用して、望ましいリソースのP2Pネットワークシステムに要求を発行し、提供者は、要求されたリソース内のデータの少なくとも一部を提供できるとき、かつそのときに限り応答する。提供者は、同様のメカニズムを使用して使用可能なリソースを通知し、利用者は、リソース内のデータの少なくとも一部を要求するとき、かつそのときに限り応答する。

#### 【0025】

その他のP2Pネットワークシステムは、サーバーを使用する(ローカルリソースを追跡してその他のリソースの要求をドメインネームシステムが機能する方法と同様の階層まで転送する中央に位置する「トラッカー」または分散サーバー)。そのようなシステムにおいて、ピアは、P2Pネットワークシステム10に接続する際に同報通信要求を発行してトラッカーを発見することができる。そのような要求には、トラッカーのみが応答する



(その他のピアは要求を完全に無視する)。提供者は、トラッカーを発見すると、使用可能なリソースをトラッカーに通知する。利用者は、リソースの要求をトラッカーに直接発行し、トラッカーは、要求されたリソースを転送することのできる1つ以上の提供者で応答する。

【0026】

P2Pネットワークシステム10上の任意のピアがトラッカーの役割を引き受けるように選択することが可能であるが、トラッカー、提供者、および利用者の役割は論理的に別個であると見なされる。

【0027】

利用者は、多くの方法でセグメントを選択することができるが、そのうちのいくつかは本明細書において説明される。どのような場合でも、利用者が一度に大量のデータをダウンロードしようと試みることを防ぐため、セグメントの最大長は比較的小さい数値に制限することが推奨される。セグメントが小さくなれば、それに応じて、提供者が利用できなくなる前に利用者がセグメント全体を取り出すことができる可能性も高くなる(ただし、以下に次のサブセクションで説明されるように、利用者は部分的セグメントを容易に処理することができる)。

【0028】

利用者は、完全にランダムなセグメントを選択することができる。利用者は、まだ取り出されていない任意のセグメントをランダムに選択することによって(これはローカルデータベースをスキャンすることにより行うことができる)、これを行う。欠落しているセグメントは、すでに取り出されているセグメント間のバイトの全範囲で見なされ、利用者がまだセグメントを取り出していない場合にはファイル全体を含むことができる。欠落セグメントが見つければ、利用者はセグメント内からのランダムオフセット、オフセットとセグメントの終点との間のランダム長さを選択する。

【0029】

利用者は、固定長のランダムなセグメントを選択することができる。利用者は、固定長を選択することにより(これは転送を開始する前、または構成可能プロパティを通じてランダムに決定される)、これを行う。次に利用者は、以下の計算を行う。

セグメントの数 = 合計長 / セグメント長

オフセット = ランダム(セグメントの数) \* セグメント長

【0030】

もちろん、利用者は、まだ取り出されていないセグメントに対するオフセットが見い出されるまでランダムオフセット選択を繰り返す必要がある。提供者はセグメントの一部のみを自由に転送することができ、利用者はセグメント全体を提供しない提供者を自由に選択することに留意することは重要である。この場合、固定長のランダムセグメントを選択する利用者は、部分的セグメントを一時的にバッファに入れ、セグメントをローカルデータベースに格納する前に、全セグメントが転送されるまで残りのセグメントの後続の要求を発行する必要がある。

【0031】

最後に、利用者は、受け入れ可能なセグメントを示すフィルタを使用して、セグメントを要求することができる。フィルタは、まだ利用者に転送されていないセグメントを表すバイト範囲のサブセットを指定すべきであり、通常はセグメントのリスト(オフセット、長さ)、(オフセット、長さ)、...によって表される。提供者は、リスト内の任意のセグメントのサブセグメントを記述する任意のオフセットおよび長さを自由に選択することができ、それを利用者に提供するよう提案する。これについては、以下で詳細に説明される。

【0032】

セグメントが利用者によって転送するように選択されると、クエリーが同報通信の形式でP2Pネットワークシステム10に発行されるか(「純粋な」P2Pネットワークシステムにおいて)、またはトラッカーへの要求が発行される必要がある。同報通信は、セグ

メントのパラメータ（オフセットおよび長さ、または受け入れ可能なデータセグメントを記述するフィルタ）を含む必要がある。P2Pネットワークシステム10上のピア12は、セグメント内のデータの少なくとも一部を提供できる時、かつそのときに限り応答する。応答は、セグメントのオフセット、および使用可能な長さ（利用者によって要求された長さより小さいかまたはそれと等しい）を含む。

**【0033】**

利用者は、最初に応答する提供者を選択することも、または短時間待機して最善の提供者を選択するように選択することもできる。論理的には、最善の提供者は、要求されたセグメント全体を提供できる、最初に応答する提供者である。最初に応答する提供者は、要求を処理するための最多のリソースおよび/または最小のネットワーク待ち時間を備える可能性が高い。提供者のいずれもセグメント全体を提供することができない場合、利用者は、セグメントの多くを提供できる最初の提供者を論理的に選択する。どの提供者も応答しない場合、利用者は、同じセグメントまたは新しく選択されたセグメントに対する新しい要求をサブミットする前に、ある期間待機するように選択することもできる。

**【0034】**

利用者が提供者を選択すると、利用者は、オフセットおよび長さを含む望ましいセグメントの提供者に要求を直接送信する。ピア間の接続が確立されると、利用者はセグメントをダウンロードし、それを一時バッファに流し込む。転送が完了すると、利用者はセグメントをローカルデータベースに書き込む。

**【0035】**

転送が失敗した場合、利用者は要求を再発行することができる。高い失敗率を持つ提供者は、利用者によって（一時的または恒久的に）ブラックリストに掲載され、ある期間にわたりその提供者からの応答を完全に無視する、または可能であればいつでも単に他の提供者を使用するように、利用者が選択できるようになっている。利用者は、部分的に正常な転送を、より小さいデータセグメントの正常な転送として処理し、部分的セグメントをローカルデータベースに格納して、セグメントの残り（または全く新しいセグメント）に対する新しい要求を発行することができる。

**【0036】**

利用者がセグメント（全体または部分）を正常に転送し、（チェックサムまたは他の何らかの検証のメカニズムを介して）随機的にセグメントの健全性を検証すると、セグメントはデータベース14に格納される。データベース14の実装については確定していないが、単に、各ファイルに格納されたセグメントを識別するために使用されるフラットファイルのセットおよびマニフェストを含むディレクトリであってもよい。これはまた、より堅固であってもよい（各セグメントを表す行、および各行内にBLOBとして格納されたバイナリセグメント自体を備えるテーブル含むOracle（商標）データベースなど）。利用者は、セグメントの提供をより容易にするため、順次セグメントが格納される時にこれらを連結する必要がある。利用者は、（セグメントが取り出される際に）同期的または非同期的に連結を実行するように選択することができる。連結が非同期的に実行される場合、（連結が実行中であることを示すために）セグメントはデータベース内でマーク付けされる必要があり、マーク付けされたセグメント内のデータの要求は、連結が完了するまで遅延される必要がある。そのような遅延された要求は、利用者に別の提供者を選択させる場合もあるが、これは利用者が連結にリソースを浪費している場合に適切である。

**【0037】**

少なくともデータの一部がローカルに使用可能な特定のセグメントに関するクエリーを受け取る提供者は、オフセット、および要求されたセグメントの長さまでの（ただしこれを超えない）長さを含むメッセージで応答する必要がある。利用者がデータセグメントの要求で応答した場合、提供者は、利用者との接続を確立して、セグメント内のデータのアップロードを開始する必要がある。提供者は、どのセグメントがどの利用者に転送されたかに関する別個のデータベースを保持するように選択することもできる。特定の利用者への複数の転送が失敗した場合、提供者はその利用者を一時的にブラックリストに掲載し、

他のより信頼できるピアによりよく対応できるときに、信頼できないピアへのデータ転送を試みてリソースを無駄にしないようにすることができる。

【0038】

提供者はまた、利用者が必要とするデータセグメントを記述するフィルタを含むクエリーを受け取ることもできる。提供者は、フィルタによって受け入れられる要求されたリソースから現在使用可能である任意のセグメントの任意の長さでクエリーに自由に対応することができる。提供者は、P2Pネットワークシステム10で使用可能なセグメントのスーパーセットがファイル全体のコピーを含む可能性を高めるためにあまり頻繁にはアップロードされていないセグメントを選択するため、提供者または他の利用者に送信されたセグメントに関する統計を使用することができるが、これは必須ではない。提供者はまた、ランダムにセグメントを選択することも、または単にセグメントを順次アップロードすることもできる。提供者は、フィルタによって受け入れられる任意のセグメントを全く自由に選択することができる。

10

【0039】

標準的なP2Pファイル転送システムにおいて、リソースから最初のデータがクライアントに正常にダウンロードされたら直ちにリソースの提供を開始することは、利用者の礼儀であると思なされる。この期待は、本開示によるP2Pネットワークシステム10にまで及ぶ。多くのそのようなP2Pネットワークシステムにおいて、リソース全体が利用者に転送された後に一定の時間リソースを引き続き提供することも、礼儀であると思なされる。このことは、リソースの1つ以上の完全コピーがいつでもP2Pネットワークシステム10において使用可能なセグメントのスーパーセット内に存在する可能性がより高いため、本開示によるP2Pネットワークシステム10においてはさほど重要ではない。

20

【0040】

これ以降、ランダムに選択された固定長のセグメントを使用してデータを交換する少数のピア12を含む流れの例について説明される。この例示のために、すべてのデータは、完全バイトの単位で転送される。セグメントは、表記(オフセット、長さ)を使用して定義される(たとえば、(0, 256)はオフセット0で始まり、256バイトのデータを含むセグメントである)。フィルタは、セグメントのリストとして指定される(たとえば{(0, 256)、(512, 256)}、フィルタによって指定されているセグメント内のデータのサブセットを含む任意のセグメントはフィルタによって受け入れられる)。

30

1. ピアAはP2Pネットワークシステム10に接続し、1024バイトのデータを含むファイルであるFile1.txtの提供を開始する。ピアAは、File1.txtの送信元ソースである。

2. ピアBはP2Pネットワークシステム10に接続し、File1.txtのセグメント(0, 256)に対する同報通信クエリーを発行する。

3. ピアAは、全セグメントを提供できることを示してクエリーに回答する。

4. ピアBは、セグメント(0, 256)の要求を直接ピアAに発行する。ピアAは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。

5. ピアCがP2Pネットワークシステム10に接続し、File1.txtのセグメント(512, 256)に対する同報通信クエリーを発行する。

40

6. ピアBはクエリーを無視する。セグメント(512, 256)は使用可能ではない。

ピアAは、全セグメントを提供できることを示してクエリーに回答する。

7. ピアCは、セグメント(512, 256)の要求を直接ピアAに発行する。ピアAは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。

8. ピアBは、フィルタ{(256, 768)}と一致する任意のセグメントに対するクエリーを同報通信する。

9. ピアAは、セグメント(256, 256)を提供できることを示してクエリーに回答する。ピアCは、セグメント(512, 256)を提供できることを示して要求に回答する。

50

10. ピアBは、セグメント(512、256)の要求を直接ピアCに発行する。ピアCは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。

11. ピアCは、フィルタ{(0、512)、(768、256)}と一致する任意のセグメントに対するクエリーを同報通信する。

12. ピアAは、セグメント(768、256)を提供できることを示してクエリーに回答する。ピアBは、セグメント(0、256)を提供できることを示してクエリーに回答する。

13. ピアCは、セグメント(768、256)の要求を直接ピアAに発行する。ピアAは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。ピアCは、セグメント(512、256)および(768、256)の単一のセグメント(512、512)への連結を開始する。

14. ピアBは、フィルタ{(256、256)、(768、256)}と一致する任意のセグメントに対するクエリーを同報通信する。

15. ピアAは、セグメント(256、256)を提供できることを示してクエリーに回答する。ピアCは、セグメント(768、256)を提供できることを示して回答する。

16. ピアBは、セグメント(256、256)の要求を直接ピアAに発行する。ピアAは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。ピアBは、セグメント(0、256)、(256、256)、および(512、256)の単一のセグメント(0、768)への連結を開始する。

17. ピアAはP2Pネットワークシステム10から切断する。ここで、File1.txtの完全コピーを提供するピア12はない。

18. ピアCは、フィルタ{(0、512)}と一致する任意のセグメントに対するクエリーを同報通信する。

19. ピアBは、セグメント(256、256)を提供できることを示してクエリーに回答する。

20. ピアCは、セグメント(256、256)の要求を直接ピアBに発行する。ピアBは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。ピアCは、セグメント(256、256)および(512、512)の単一のセグメント(256、768)への連結を開始する。

21. ピアBは、セグメント(768、256)に対するクエリーを同報通信する。

22. ピアCは、セグメント(768、256)を提供できることを示してクエリーに回答する。

23. ピアBは、セグメント(768、256)の要求を直接ピアCに発行する。ピアCは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。ピアBは、セグメント(0、768)および(768、256)の、完全なFile1.txt(1024バイトのデータを含むファイル)への連結を開始する。

24. ピアCは、セグメント(0、256)に対するクエリーを同報通信する。

25. ピアBは、セグメント(0、256)を提供できることを示してクエリーに回答する。

26. ピアCは、セグメント(0、256)の要求を直接ピアBに発行する。ピアBは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。ピアCは、セグメント(0、256)および(256、768)の、完全なFile1.txt(1024バイトのデータを含むファイル)への連結を開始する。

【0041】

この時点において、ピアBまたはピアCのいずれか一方がファイルの完全コピーをダウンロードする前に、ステップ18においてピアAがP2Pネットワークシステム10から切断したという事実にもかかわらず、ピアBおよびピアCはいずれもFile1.txtの完全コピーを有する。この例は、リソースの完全コピーがP2Pネットワークシステム10から除去された後にファイル転送が継続し、すべてのピア12が完全コピーを取得す

ることができるという理想的な状況を示している。図2は、データ転送を説明する。セグメントは最初、セグメントが転送されたピア12を表すために網掛けされる。

【0042】

この例は、本開示によるダウンロード可能データセグメントを使用するP2Pファイル転送のシステムおよび方法の利点を説明する。ピアAがネットワーク10から切断すると、ピアBおよびピアCは引き続き情報を交換し、断片をまとめてネットワーク10上で使用可能なセグメントのスーパーセットからのファイルの完全コピーを作成することができる。

【0043】

従来のP2Pネットワークシステムにおいて、ピアAがシステムからFile1、ix 10  
iを削除すると、残りのピアは、ピアAまたは別の提供者がリソースの提供を続行するのを（無期限に）待つことを強えられる。一部の従来のP2Pネットワークシステムにおいて、この待機は数日、数週間および可能性もあり、（最悪の場合）リソース全体が2度と使用可能にならないこともある。

【0044】

現在説明されているシステムおよび方法には、他にも利点がある。前述の例において、ピアAは、他のすべてのピアが取得する必要のある極めて重要なリソースを取得する最初のピアである。P2Pネットワークシステム内の複数のピアが大容量のソフトウェアパッチを取得する必要があるシナリオを想定されたい。単一のピアが、ファイアウォール経由で接続してインターネットからパッチをダウンロードすることを許可される。パッチはこ  
20  
こで、P2Pネットワークシステム上の残りのピアに配布される必要がある。このダウンロードはネットワーク内のピアごとに1回しか発生しない。それはつまり、ピア間の今後の配布は最小限となり、このため利用者間でパッチを配布できる従来のP2Pシステムの能力を活用することは最低限に抑えられるということである。

【0045】

そのような従来のP2Pネットワークシステムにおいて、データ転送はデータの第1バイトから開始し、ファイルの終わりまで順次進行する。ごく少数の提供者が完全なファイルにアクセスすることができ、大多数の利用者すべてがほぼ同じ速度でほとんど同時に開始してファイルをダウンロードしている場合、提供者に膨大なストレスをもたらす結果になる（印刷装置の状態の監視または診断の実行など、ピアが厳密にはファイル処理に関連  
30  
しない作業を実行するためにリソースを使用することが予期される場合、潜在的に破壊的なシナリオ）。利用者がすべて、同時にファイルのほぼ同じ部分をダウンロードしたということとはつまり、利用者間の共有はほとんど発生しえない、またはほとんど発生しないということである。

【0046】

しかし、本開示によるシステムおよび方法において、利用者はそれぞれファイルの異なる部分をダウンロードし、それぞれのピアとの別個のセグメントの交換を直ちに開始して、元のコンテンツ提供者のストレスを取り除くことができる。

【0047】

図3は、前述の流れの例と類似した単一の利用者のイベントの流れを概説する。図3によ  
40  
って示される流れにおいて、利用者は、接続し、単一のファイル（または以前開始されたファイルの残り）をダウンロードし、次いでP2Pネットワークシステム10から切断する。ステップ300において、利用者はP2Pネットワークシステム10に接続し、ステップ302においてダウンロードするセグメントを選択する。ステップ304において、利用者は、選択したセグメントを要求してP2Pネットワークシステム10内の他のピアにクエリーを同報通信する。

【0048】

ステップ306において、提供者がクエリーに回答するかどうかは判別される。応答しない場合、利用者はステップ308において短時間待機してから、ステップ302において、同じセグメントまたはダウンロードする別のセグメントを選択する。応答する場合、  
50

利用者は、クエリーに回答した少なくとも1つの提供者に要求を送信する。ステップ312において、利用者は提供者からの着信接続を受け入れ、ステップ314において、利用者はセグメントをバッファにダウンロードする。ダウンロードされたセグメントは次に、ステップ316において利用者によってデータベース14に保存される。

【0049】

ステップ318において、ダウンロードされたセグメントに隣接するセグメントがデータベース14内に存在するかどうかを判別される。存在しない場合、ステップ320において、ファイルが利用者に完全に転送またはダウンロードされたかどうかを判別される。応答する場合、利用者は、ステップ322において、P2Pネットワークシステムから切断する。ステップ318においてダウンロードされたセグメントに隣接するセグメントがあると判別された場合、ファイルが利用者に完全に転送されたかどうかをステップ320において判別される前に、利用者はステップ324においてセグメントを連結する。ステップ320において、ファイルが利用者に完全に転送されていないことが判別された場合、プロセスは、ファイルの別のセグメントが転送またはダウンロードされるように利用者が選択するステップ302に戻る。

【0050】

上記で開示されているさまざまな特徴および機能、あるいはそれらの代替は、他の多くのさまざまなシステムまたはアプリケーションに望ましく組み合わされることが理解されよう。さまざまな現在予測または予測されない代替、変形、変異、または改善は、付属の特許請求の範囲によって網羅されることも意図され、当業者によって引き続き行われうる。

【図面の簡単な説明】

【0051】

【図1】本開示によるP2Pネットワークシステムを示すブロック図である。

【図2】本開示による、ピアがデータを交換する模範的な流れを示す図である。

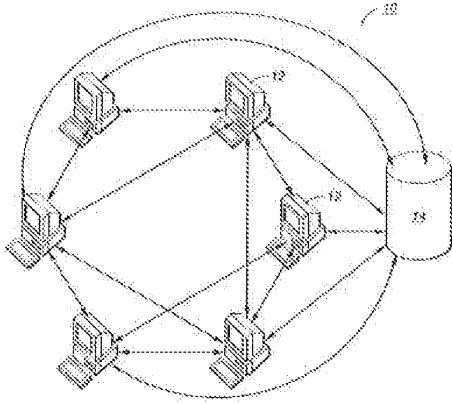
【図3】本開示によるP2Pネットワークシステムのファイル共有方法を示す流れ図である。

【符号の説明】

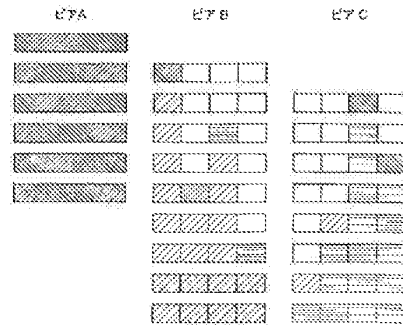
【0052】

10 ネットワーク、12 ピア、14 データベース、18、300、302、304、306、308、310、312、314、316、318、320、322、324 ステップ。

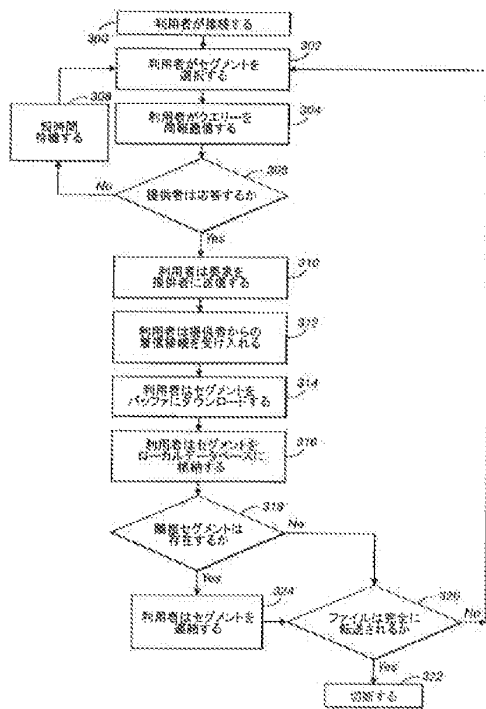
【図1】



【図2】



【図3】



## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	20730691
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	18-NOV-2014
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	15:39:26
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	S0461837.pdf	295721 <small>35d82626ecf1af4c565b29ef2a7fe637e0db aa44</small>	no	4

### Warnings:

### Information:



This is not an USPTO supplied IDS fillable form

2	Foreign Reference	S0461845.pdf	1004444	no	14
			d36848bac1081d28f7ee7a41f84e3c29dedda720		

**Warnings:**

**Information:**

<b>Total Files Size (in bytes):</b>	1300165
-------------------------------------	---------

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	CN101075242	CN	A	2007-11-21	TENGXUN SCIENCE & TECHNOLOGY		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	19459-6105P

1		<input type="checkbox"/>
---	--	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	19459-6105P

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

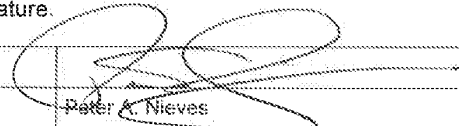
OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2015-01-12
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

[19] 中华人民共和国国家知识产权局

[51] Int. Cl.  
G06F 17/30 (2006.01)  
H04L 12/00 (2006.01)



# [12] 发明专利申请公布说明书

[21] 申请号 200610168299.7

[43] 公开日 2007 年 11 月 21 日

[11] 公开号 CN 101075242A

[22] 申请日 2006.12.25  
[21] 申请号 200610168299.7  
[71] 申请人 腾讯科技(深圳)有限公司  
地址 518044 广东省深圳市福田区振兴路赛格科技园 2 栋东 403 室  
[72] 发明人 方 斌

[74] 专利代理机构 北京德琦知识产权代理有限公司  
代理人 宋志强 麻海明

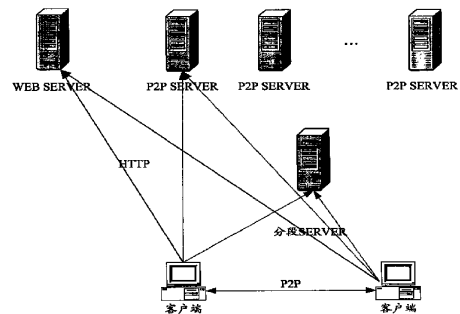
权利要求书 2 页 说明书 10 页 附图 4 页

## [54] 发明名称

获取网页中网页元素的系统及方法

## [57] 摘要

本发明公开了一种获取网页中网页元素的系统，包括：网页服务器，用于提供网页中的网页元素及其标识；P2P 服务器，用于存储下载过的网页元素的标识及对应的下载该网页元素的客户端信息；客户端，用于从 P2P 服务器上查询与网页元素的标识对应的客户端信息，并根据客户端信息以 P2P 方式下载网页元素，以及在下载完网页元素后将该网页元素的标识及对应的本客户端信息发布给 P2P 服务器。本发明还公开了一种获取网页中网页元素的方法。通过本发明的实施，客户端可以不直接从 WEB 服务器下载所需要的网页元素，而是从就近的其它客户端下载，从而加速客户端浏览网页的速度。



1、一种获取网页中网页元素的系统，其特征在于，该系统包括：

网页服务器，用于提供网页中的网页元素及其标识；

点对点 P2P 服务器，用于存储下载过的网页元素的标识及对应的下载该网页元素的客户端信息；

客户端，用于从 P2P 服务器上查询与网页元素的标识对应的客户端信息，并根据客户端信息以 P2P 方式下载网页元素，以及在下载完网页元素后将该网页元素的标识及对应的本客户端信息发布给 P2P 服务器。

2、根据权利要求 1 所述的系统，其特征在于，所述 P2P 服务器为多个，每个 P2P 服务器分别存储不同分段的标识及对应的客户端信息；

该系统进一步包括分段服务器，用于向客户端提供不同分段的标识与 P2P 服务器之间的对应关系；

所述客户端进一步从所述分段服务器上下载所述不同分段的标识与 P2P 服务器之间的对应关系，并根据该对应关系和所要下载网页元素的标识确定对应的 P2P 服务器。

3、根据权利要求 1 所述的系统，其特征在于，所述客户端包括浏览器和加速客户端，其中：

浏览器的代理服务器设置为加速客户端，通过加速客户端获取网页元素；

加速客户端，用于从 P2P 服务器上查询与该网页元素的标识对应的客户端信息，并根据客户端信息以 P2P 方式下载网页元素，以及在下载完网页元素后将该网页元素的标识及对应的本客户端信息发布给 P2P 服务器。

4、根据权利要求 1 所述的系统，其特征在于，所述客户端进一步用于判断所下载的网页元素是否最新，并在不是最新时重新下载该网页元素；和/或，

所述客户端进一步用于对所下载的网页元素进行校验，并在校验没有通

过时重新下载该网页元素。

5、根据权利要求1所述的系统，其特征在于，所述客户端进一步利用HTTP方式下载网页元素，并结合HTTP方式和P2P方式下载的结果得到该网页元素。

6、一种获取网页中网页元素的方法，其特征在于，该方法包括：

客户端从P2P服务器上查询与网页中网页元素的标识对应的客户端信息，并根据客户端信息以P2P方式下载该网页元素；

客户端在下载完网页元素后，向P2P服务器发布该网页元素的标识以及对应的本客户端信息。

7、根据权利要求6所述的方法，其特征在于，该方法预先设置分段服务器和多个P2P服务器，每个P2P服务器分别存储不同分段的标识及对应的客户端信息，分段服务器保存不同分段的标识与P2P服务器之间的对应关系；

该方法还包括：客户端从所述分段服务器上下载所述不同分段的标识与P2P服务器之间的对应关系，并根据该对应关系和所要下载网页元素的标识确定对应的P2P服务器。

8、根据权利要求7所述的方法，其特征在于，所述分段为根据标识的HASH值进行分段。

9、根据权利要求6所述的方法，其特征在于，该方法进一步包括：

客户端判断所下载的网页元素是否最新，并在不是最新时重新下载该网页元素；和/或，

客户端对所下载的网页元素进行校验，并在校验没有通过时重新下载该网页元素。

10、根据权利要求6所述的方法，其特征在于，所述客户端还利用HTTP方式下载网页元素，并结合HTTP方式和P2P方式下载的结果得到该网页元素。



## 获取网页中网页元素的系统及方法

### 技术领域

本发明涉及网页浏览技术领域，特别是一种获取网页中网页元素的系统以及一种获取网页中网页元素的方法。

### 背景技术

随着因特网的发展和网页制作技术的进步，WEB 网页上的网页元素越来越多，例如，有普通的 html 网页、jpg 图片、gif 图片、swf 文件、脚本文件等等。同时，网页元素的尺寸也越来越大。

由于受到硬件条件和网络带宽的限制，海量的用户从少数服务器下载这些网页元素需要花费大量的等待时间。另外，由于用户和 WEB 服务器（SERVER）所处的地域的差异以及运营商的差异，也会导致用户终端浏览网页的速度存在显著的差别，其中有些浏览非常慢。

为了解决 WEB 浏览的速度问题，现有技术中采用了很多方法，例如：

现有技术一：采用 WEB SERVER+内容分发网络（CDN）的方式让用户就近接入，从较近的 CDN 下载网页元素，从而提高网页浏览的速度。

但是，该技术需要投入大量的资金和设备，一般只有大的门户网站才有能力采取这种技术。

现有技术二：使用加速客户端，如谷歌网页加速器（Google Web Accelerator），其利用 Google 自己提供的服务器缓存（cache）网页元素，在用户端将用户的浏览请求重定向到所提供的服务器上，通过访问该服务器上的 cache 加速浏览。

上述方法都是利用服务器 cache 网页内容的方式来加速用户对网页的浏览速度。但是，当用户数量增大时，从服务器下载网页元素的流量也随之增

大，此时很难再保持较快的速度。

## 发明内容

有鉴于此，本发明提出了一种获取网页中网页元素的系统，其目的在于加速用户端获取网页元素的速度。本发明的另一个目的是提出一种获取网页中网页元素的方法。

根据上述目的，本发明提供了一种获取网页中网页元素的系统，该系统包括：

网页服务器，用于提供网页中的网页元素及其标识；

点对点 P2P 服务器，用于存储下载过的网页元素的标识及对应的下载该网页元素的客户端信息；

客户端，用于从 P2P 服务器上查询与网页元素的标识对应的客户端信息，并根据客户端信息以 P2P 方式下载网页元素，以及在下载完网页元素后将该网页元素的标识及对应的本客户端信息发布给 P2P 服务器。

在上述技术方案中，所述 P2P 服务器为多个，每个 P2P 服务器分别存储不同分段的标识及对应的客户端信息；该系统进一步包括分段服务器，用于向客户端提供不同分段的标识与 P2P 服务器之间的对应关系；所述客户端进一步从所述分段服务器上下载所述不同分段的标识与 P2P 服务器之间的对应关系，并根据该对应关系和所要下载网页元素的标识确定对应的 P2P 服务器。

所述客户端包括浏览器和加速客户端，其中：浏览器的代理服务器设置为加速客户端，通过加速客户端获取网页元素；加速客户端，用于从 P2P 服务器上查询与该网页元素的标识对应的客户端信息，并根据客户端信息以 P2P 方式下载网页元素，以及在下载完网页元素后将该网页元素的标识及对应的本客户端信息发布给 P2P 服务器。

所述客户端进一步用于判断所下载的网页元素是否最新，并在不是最新时重新下载该网页元素；和/或，所述客户端进一步用于对所下载的网页元

素进行校验，并在校验没有通过时重新下载该网页元素。

所述客户端进一步利用 HTTP 方式下载网页元素，并结合 HTTP 方式和 P2P 方式下载的结果得到该网页元素。

本发明还提供了一种获取网页中网页元素的方法，该方法包括：

客户端从 P2P 服务器上查询与网页中网页元素的标识对应的客户端信息，并根据客户端信息以 P2P 方式下载该网页元素；

客户端在下载完网页元素后，向 P2P 服务器发布该网页元素的标识以及对应的本客户端信息。

另外，该方法预先设置分段服务器和多个 P2P 服务器，每个 P2P 服务器分别存储不同分段的标识及对应的客户端信息，分段服务器保存不同分段的标识与 P2P 服务器之间的对应关系；该方法还包括：客户端从所述分段服务器上下载所述不同分段的标识与 P2P 服务器之间的对应关系，并根据该对应关系和所要下载网页元素的标识确定对应的 P2P 服务器。

在上述技术方案中，所述分段为根据标识的 HASH 值进行分段。

该方法进一步包括：客户端判断所下载的网页元素是否最新，并在不是最新时重新下载该网页元素；和/或，客户端对所下载的网页元素进行校验，并在校验没有通过时重新下载该网页元素。

优选地，所述客户端还利用 HTTP 方式下载网页元素，并结合 HTTP 方式和 P2P 方式下载的结果得到该网页元素。

从上述方案中可以看出，由于本发明中客户端在需要下载网页元素时从 P2P 服务器上查询与该网页元素 URL 对应的客户端信息，并根据客户端信息建立 P2P 通道以 P2P 方式下载该网页元素，然后根据所下载的网页元素显示网页并在本地缓存所下载的网页元素，以及在下载完网页元素后，向 P2P 服务器发布该网页元素的 URL 以及对应的本客户端信息，以供后来的客户端使用，这样，客户端可以不直接从 WEB SERVER 下载所需要的网页元素，而是从就近的其它客户端下载，这样可以加速客户端下载网页元素的速度，从而可以进一步提高浏览网页的速度。并且，由于采用的是 P2P 技术，

还可以解决海量用户和海量流量以及地域差异的瓶颈。

#### 附图说明

图 1 为本发明实施例中的系统结构示意图；

图 2 为本发明实施例的 P2P SERVER 中保存的客户端信息的示意图；

图 3 为本发明实施例中客户端的结构示意图；

图 4 为本发明实施例中多个 P2P SERVER 与分段 SERVER 的关系示意图；

图 5 为本发明实施例中加速客户端进行下载以及发布的流程示意图；

图 6 为本发明实施例中客户端确认下载网页元素最新的流程示意图。

#### 具体实施方式

为使本发明的目的、技术方案和优点更加清楚，以下举实施例对本发明进一步详细说明。

网页元素可以通过多种标识来区别，例如 URL、IP 地址或者其它的字符串，在下面的描述中以 URL 为例进行说明，但是本发明并不局限与此。

本发明的核心思想是：在一个客户端下载了某个 URL 的网页元素之后，将其缓存在本地，当其它客户端需要下载该 URL 的网页元素时，可以通过 P2P 方式从该客户端下载。换言之，在系统中设置点对点（P2P）Server，其中存储了下载过的网页元素的 URL 及对应的客户端信息，在其它客户端需要访问某个 URL 的网页元素时，从 P2P Server 上查询对应的客户端信息，然后建立 P2P 通道并通过 P2P 方式从对应的客户端下载网页元素，另外，在客户端下载完某个网页元素后，将该网页元素的 URL 以及对应的本客户端信息发布（PUB）给 P2P 服务器。

为了与现有的协议和技术更完美地结合，本发明实施例中的 cache 机制完全遵从 HTTP 协议关于 cache 的规定，亦即在下面的实施例中只加速处理 HTTP 响应头里规定可以 cache 的文件。当然，具体实现时，可以对任何文

件进行下面描述的加速处理。

图 1 所示的是本发明实施例中下载网页元素的系统的结构示意图。

参照图 1，该系统包括 WEB SERVER、P2P SERVER、客户端。另外，该系统还可以进一步包括分段 SERVER。

其中，WEB SERVER 是提供网页、网页中的网页元素的 URL 等等的 HTTP 服务器，WEB SERVER 与现有技术一样，这里不在赘述。

P2P SERVER 存储网页元素的 URL 与下载过该网页元素的客户端信息。优选地，根据客户端自身所处的运营商，分别将客户端信息存储在对应的运营商列表中，这样客户端可以在同一个运营商网内进行 P2P 下载，进一步提高了浏览网页的速度。例如，如图 2 所示，将电信用户的客户端信息存储在电信用户列表中，网通用户的客户端信息存储在网通用户列表中，教育网用户的客户端信息存储在教育网用户列表中。

由于 URL 的数目非常庞大，为了减少 P2P SERVER 需要处理的 URL 数目，可以只在 P2P SERVER 中存储 KEYURL。下面简要说明 KEYURL：在 HTTP 1.1 协议中，为了节约新建 TCP 连接带来的网络和时间开销，规定了持久连接的概念，如果浏览器到 WEB SERVER 的路径上的结点（包括代理服务器）都支持持久连接，那么在该连接中浏览器可能会请求下载多个文件。优选地，本发明实施例中的客户端支持这种持久连接，并且将持久连接中的第一个 URL 称为 KEYURL。进一步，客户端发布和查询客户端信息都是以 KEYURL 来进行的。例如在一个持久连接里浏览器按先后顺序向 WEB SERVER 请求下载了 URL 为 URLA、URLB、URLC 的三个网页元素，则将 URLA 称为 KEYURL。向 SERVER 查询和发布客户端信息都只会对 URLA 进行，但是查询回来的客户端信息对于 URLB 和 URLC 是可复用的。

通常来说，图 2 中用户列表的数目是有限的，如果超过了预定的大小时，P2P SERVER 删除最老的客户端信息，即存储时间最长的客户端信息。另外，同时保存的 KEYURL 数目也是有限的，超过预定的数目之后，则删除最久未更新的条目。

图 1 所示的客户端在下载完成某个 URL 的网页元素时，会将该网页元素的 URL 以及对应的本客户端信息发布给该 SERVER，让 SERVER 记录下自己的网络信息，供后来要下载该网页元素的其他客户端查询使用。新的客户端需要访问某个 URL 下载网页元素时，从 P2P SERVER 上查询已经下载过该网页元素的客户端信息，然后与这些客户端进行 P2P 交互下载网页元素。

在客户端，cache 的文件可以都存放在本地的一个文件夹下，每个 URL 对应于一个文件。文件中存储的内容包括 HTTP 响应头、URL 等数据，另外为了保证系统的安全性还进一步存储了对文件的 HASH 值等相关信息，防止用户篡改 cache 中的数据。Cache 文件格式如表 1 所示：

文件格式	说明
Expires	Cache 条目的过期时间
Last_Modified	HTTP 响应头中的 Last_Modified
LastValidateTime	Cache 条目的上次生成时间
FileDataLen	实际文件部分长度
FileHashLen	文件部分 hash 值的长度
UrlHashLen	URL HASH 值的长度
RespHeadLen	响应头的长度
FileData	实际文件部分
UrlHash	Url Hash 值
FileHash	File Hash 值
RespHead	相应头

表 1

在表 1 中，FileHashLen 表示文件部分 hash 值的长度，UrlHashLen 表示 URL HASH 值的长度，UrlHash 表示 URL 的 Hash 值，FileHash 表示 File 的 Hash 值，其余的都是现有 HTTP 协议中的内容，这里不再赘述。

另外，为了更好地与现有技术接轨，上述客户端可以由现有的浏览器

(Browser) 和新增的加速客户端组成。如图 3 所示, 本发明实施例中的浏览器与现有的浏览器相同, 并且在浏览器中设置该浏览器的 HTTP 代理服务器为加速客户端。而加速客户端执行本发明实施例中客户端的下载并缓存网页元素以及向 P2P SERVER 发布本客户端信息等功能。

由于 URL 的数目至少是上亿级的, 而且 KEYURL 的数目也非常庞大, 如果只有一台 P2P SERVER 处理, 那么处理量非常巨大, 对于浏览速度提高的效果不是很明显。为了进一步提高处理速度, 可以在系统中提供多个 P2P SERVER, 每个 P2P SERVER 处理一部分 KEYURL 的网页元素及其对应的客户端信息。也就是说, 本发明实施例将 URL 分段, 然后各个 P2P SERVER 分别负责一段 URL 的相关处理。分段的方式可以有多种, 例如按照 URL 的字母顺序分段, 即分成 a\*.\*、b\*.\*至 c\*.\*、da\*.\*至 dk\*.\*... 等等, 其中\*为通配符。下面详细介绍按照 URL 的 HASH 值来进行分段的方法。

每个 URL 都包含一个主机名, 例如 `http://game.qq.com/ad.swf` 的主机名为 `game.qq.com`。可以直接对主机名计算 HASH 值, 但是主机名的数量巨大, 如果这么做, 会产生很大的数据量, 为了减少数据量, 这里以采用二级域名 `qq.com` 计算 HASH 值为例说明, 当然也可以采用三级域名等。

为此, 如图 1 所示, 本发明实施例中的系统进一步包括分段 SERVER。分段 SERVER 对上面所述的二级域名的 HASH 值做一个分段, 每台 P2P SERVER 只负责其中一段的用户信息的保存和查询。

例如, 采用的 HASH 算法是映射到整型 (INT) 数据空间, 分段 SERVER 选择分为 4 段: [0-10 亿)、[10 亿-20 亿)、[20 亿-30 亿)以及[30 亿-40 亿), 分别对应于 4 台 P2P SERVER。该分段情况如图 4 所示。

假设 `qq.com` 计算出来的 hash 值落在[10 亿-20 亿)这个分段中, 那么客户端对于二级域名是 `qq.com` 的 URL 的发布和查询请求都会发送到 P2P SERVER B 上来处理。

另外, 为了获取最新并且准确的分段情况, 加速客户端在启动的时候可

以登录到分段 SERVER 获取 URL 与 P2P SERVER 的对应关系或者 URL 二级域名的 HASH 值与 P2P SERVER 的对应关系，如以表格存在的分段表，同时还获取其他的运行时参数，如发布和查询的时间间隔等等。

下面参照图 5，描述本发明实施例中客户端下载网页元素以及发布信息的流程。

如图 5 所示，该流程包括主要包括以下步骤：

步骤 101，加速客户端启动，并监听代理端口，准备处理浏览器的代理请求。

步骤 102，加速客户端登录分段 SERVER，获取分段表和其它运行参数，如发布和查询的时间间隔等。

步骤 103，加速客户端接收到浏览器请求的 URL，例如浏览器请求的 URL 为 `http://game.qq.com/ad.swf`。

步骤 104，计算 URL 二级域名的 HASH 值，根据从分段 SERVER 获取分段表得知其属于 P2P SERVER B 处理，然后向 P2P SERVER B 发送查询种子的请求，即查询与 URL 对应的客户端信息的请求，该请求中至少携带网页元素的 URL。

P2P SERVER B 接收到请求后会将与上述 URL 对应的客户端信息返回给请求的加速客户端。

步骤 105，加速客户端接收到查询种子的回应，根据其中的客户端信息创建 P2P 连接通道，并开始以 P2P 方式下载网页元素。

在执行步骤 104 至步骤 105 的时候，可以同时执行下述步骤 106，以使用 HTTP 方式和 P2P 方式协同下载网页元素。当然以可以只执行步骤 104 至步骤 105。

步骤 106，加速客户端根据网页元素的 URL 启动 HTTP 方式的从 WEB SERVER 下载网页元素。

需要注意的是，当前的 HTTP 标准只能支持 HTTP 方式下载文件时从前



往后下载，所以使用 HTTP 和 P2P 协同下载时，可以选择 HTTP 方式从前往后下载，而 P2P 方式从后往前下载，当两者到达结合点时，下载完成。这样可以避免资源的浪费，节约 HTTP 方式以及 P2P 方式的下载流量。

步骤 107，使用 P2P 方式或者 HTTP 和 P2P 协同的方式下载网页元素完成。

步骤 108，在下载完成之后，加速客户端将所下载的网页元素缓存在本地，并向 P2P SERVER B 发布下载完成信息，即发布 URL 和与其对应的本客户端信息。

另外，下载完成之后，客户端的浏览器可以根据所下载的网页元素浏览该网页。

另外，由于 Web 网页元素的更新非常频繁，需要解决使用 P2P 下载的文件是否是网页中最新的文件的问题，或者 P2P 下载的文件和 HTTP 下载的是否同一个文件的问题。在 HTTP 协议里有个 Last\_Modified 的标识表示文件的修改时间，本发明实施例根据该标识来判断所下载的文件是否是最新的。该流程如图 6 所示，包括以下步骤：

步骤 201，在开始下载的时候，加速客户端设置 Last\_Modified 的初始默认值 time1。

步骤 202，按照上述步骤 104 至 105 以及步骤 106 启动 P2P 以及 HTTP 下载。

步骤 203，判断在交互过程中是否得到新的 Last\_Modified，即所获得的 Last\_Modified 是否与本地 Last\_Modified 不同，如果是，则执行步骤 204 及其后续步骤，否则执行步骤 205 及其后续步骤。

步骤 204，将本地 Last\_Modified 更新为新的 Last\_Modified，并执行步骤 202。

步骤 205，下载完成，执行步骤 206。

步骤 206，判断是否通过 HTTP 方式得到了 Last\_Modified，如果是则执

行步骤 207，否则执行步骤 208。

步骤 207，根据从 HTTP 方式获取的作为标准，验证所下载的文件是否是最新，如果是，则执行步骤 209，确认下载最终完成，并结束流程；否则，执行步骤 204。

步骤 208，判断 HTTP 方式是否超时，如果是，则执行步骤 207，否则再次执行步骤 206。

根据上述流程，可以使得客户端下载的网页元素是 WEB SERVER 上最新的网页元素。

另外，在 P2P 下载方式中，校验问题一直比较突出，常规的做法是 WEB SERVER 保存文件的 HASH 值供客户端下载，然后客户端根据从 WEB SERVER 下载的 HASH 值和对下载文件计算得到的 HASH 值进行验证，如果一致，则验证出下载的文件是正确的，否则下载的文件不正确，需要重新下载。但是在 WEB 浏览的场景下文件太多，WEB SERVER 即使可以保存 HASH 值，客户端获取这些 HASH 值的通讯包量也过大，极大地延迟了下载和浏览速度。

在本发明实施例中，优选地采用多客户端验证的策略，即：在 P2P 方式下载网页元素时同时下载 HASH 值，并且验证所下载的 HASH 值和根据下载数据计算得到的 HASH 值，进一步对于通过 P2P 方式获取到的网页元素，只有经过其他 2 个以上的客户端的 HASH 值验证过以后才能确认是正确的，否则重新下载。

以上所述仅为本发明的较佳实施例而已，并不用以限制本发明，凡在本发明的精神和原则之内，所作的任何修改、等同替换、改进等，均应包含在本发明的保护范围之内。

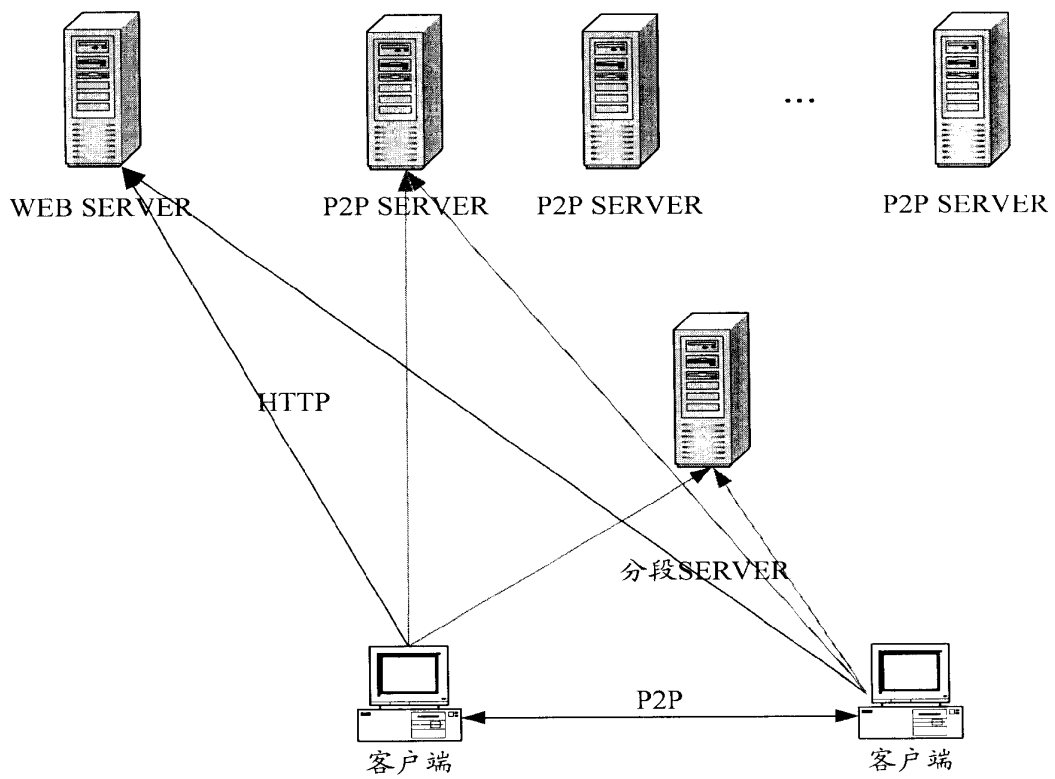


图 1

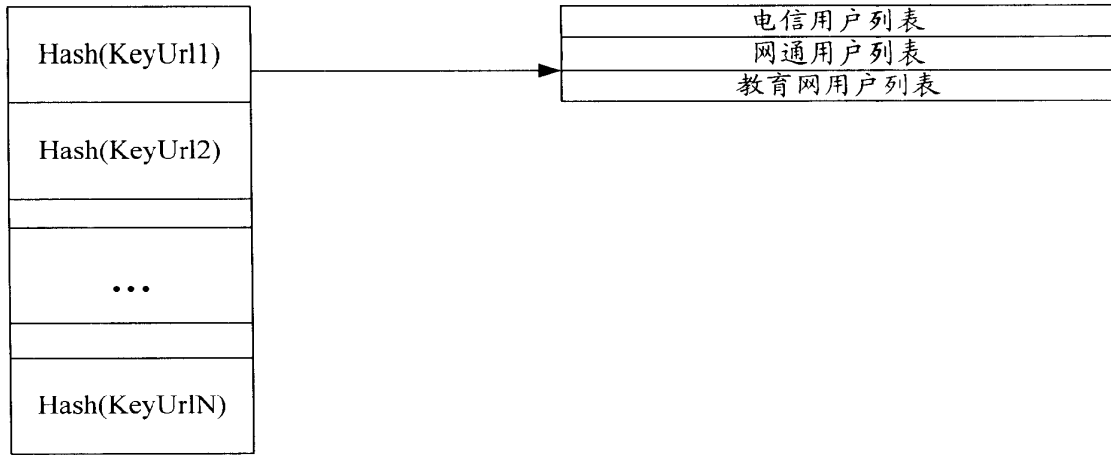


图 2

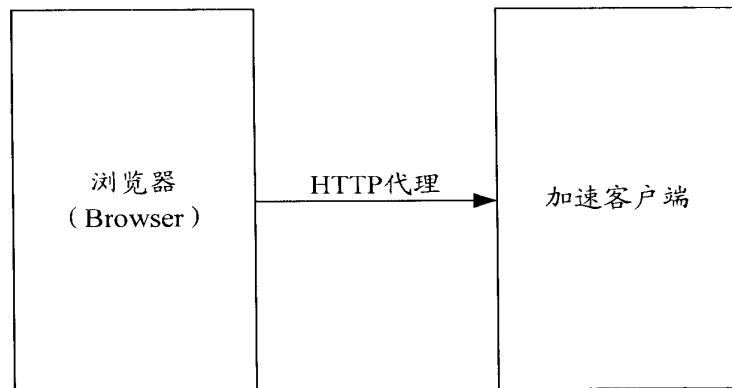


图 3

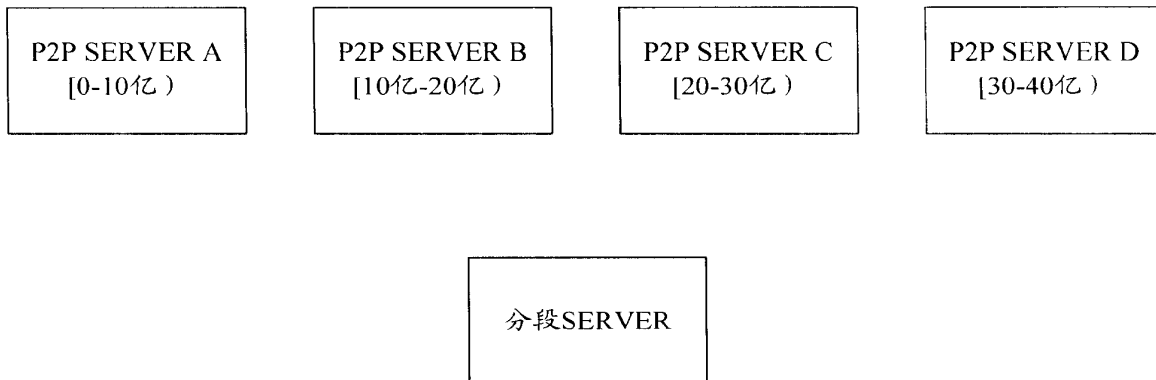


图 4

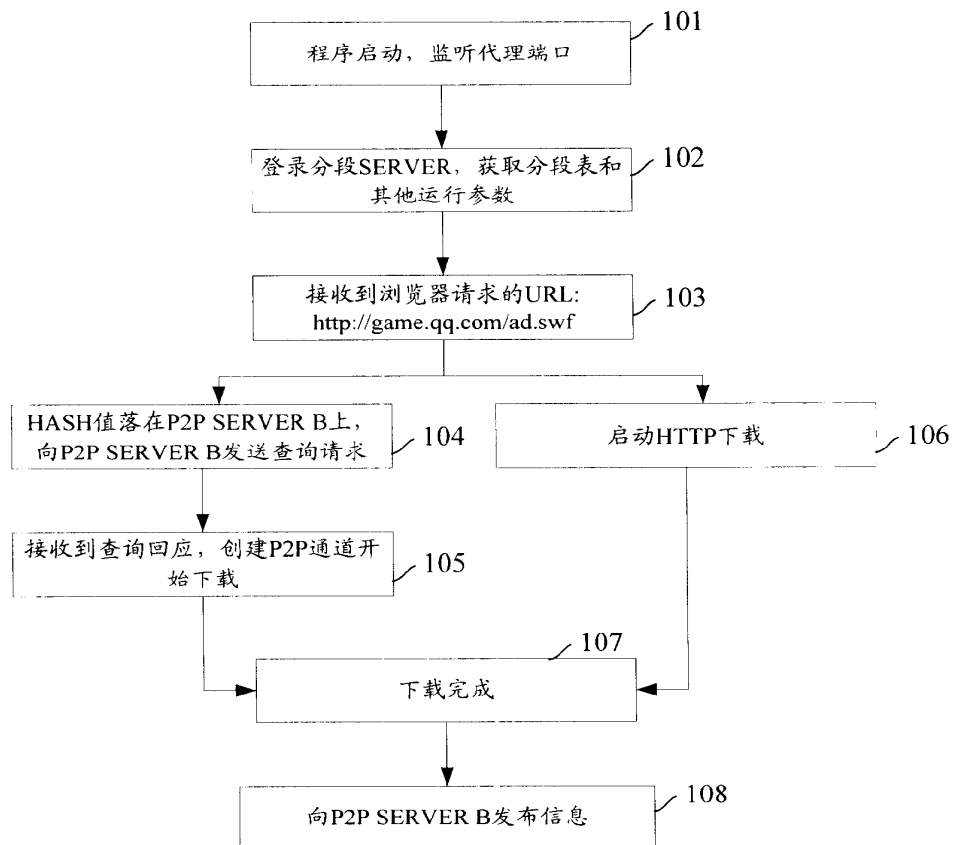


图 5

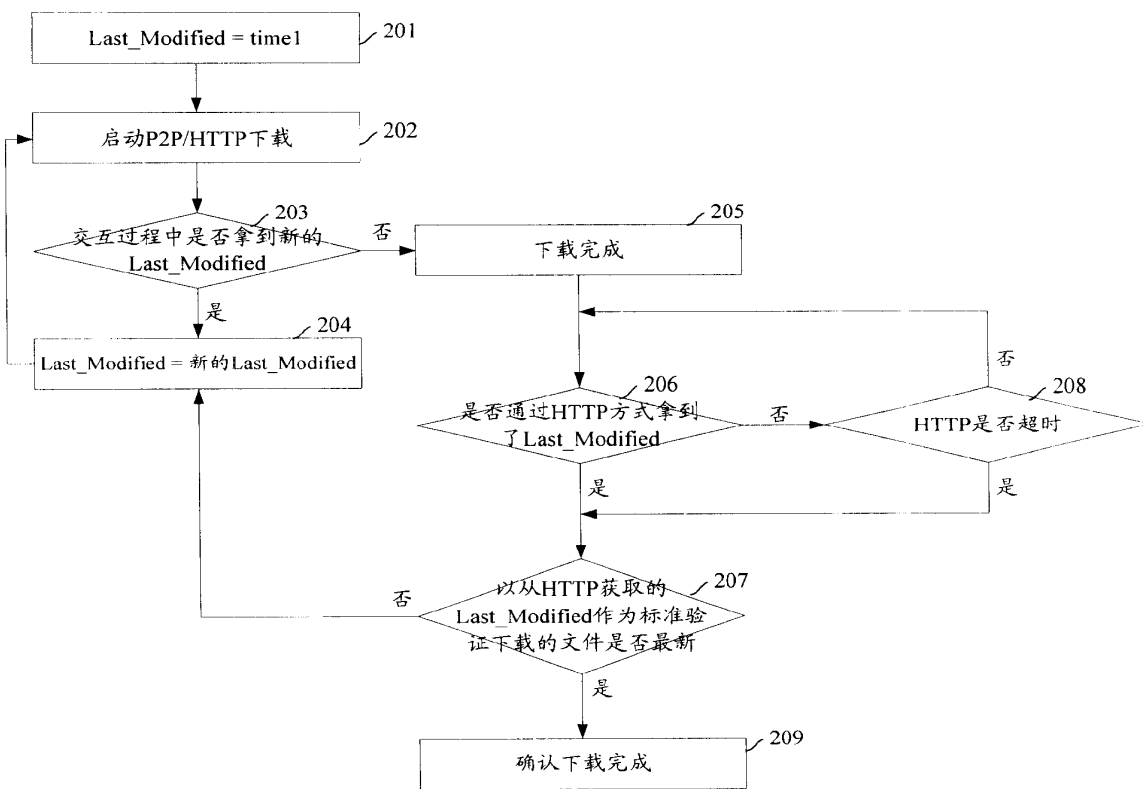


图 6

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	21190715
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	13-JAN-2015
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	09:27:57
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	S0490872.pdf	293417 <small>57029002167701fe06558e390bd6fd528fd6861</small>	no	4

### Warnings:

### Information:

This is not an USPTO supplied IDS fillable form

2	Foreign Reference	S0490904.pdf	753748	no	17
			2fca9d7b44825ed8729d76cf0bfce9a27cfd7331		

**Warnings:**

**Information:**

**Total Files Size (in bytes):** 1047165

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**



Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-18)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	20020133621	A1	2002-09-19	Talmon Marco et al	
	2	20040107242	A1	2004-06-03	John Vert et al	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2343536	RU	C2	2009-10-01	Microsoft Corporation		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS							
---------------------------------	--	--	--	--	--	--	--

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	Date Considered
--------------------	-----------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	19459-6105P

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

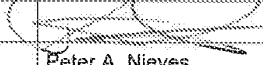
OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2015-01-16
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



ФЕДЕРАЛЬНАЯ СЛУЖБА  
ПО ИНТЕЛЛЕКТУАЛЬНОЙ СОБСТВЕННОСТИ,  
ПАТЕНТАМ И ТОВАРНЫМ ЗНАКАМ

## (12) ОПИСАНИЕ ИЗОБРЕТЕНИЯ К ПАТЕНТУ

(21), (22) Заявка: 2003134811/09, 01.12.2003

(24) Дата начала отсчета срока действия патента:  
01.12.2003(30) Конвенционный приоритет:  
02.12.2002 US 10/307,752

(43) Дата публикации заявки: 27.05.2005

(45) Опубликовано: 10.01.2009 Бюл. № 1

(56) Список документов, цитированных в отчете о  
поиске: RU 2149518 C1, 20.05.2000. RU 2180470  
C2, 10.03.2002. US 6029192 A, 22.02.2000. US  
6269099 A, 31.07.2001.

Адрес для переписки:  
129090, Москва, ул. Б.Спасская, 25, стр.3,  
ООО "Юридическая фирма Городисский и  
Партнеры", пат.пов. Ю.Д.Кузнецову, рег.№ 595

(72) Автор(ы):

**ВЕРТ Джон (US),  
МЕСГАР Юджин (US),  
ЗАРАХОВСКИЙ Юджин (US),  
САРЕТТО Чезаре Джон (US)**

(73) Патентообладатель(и):

**МАЙКРОСОФТ КОРПОРЕЙШН (US)**

RU  
2 3 4 3 5 3 6  
C 2

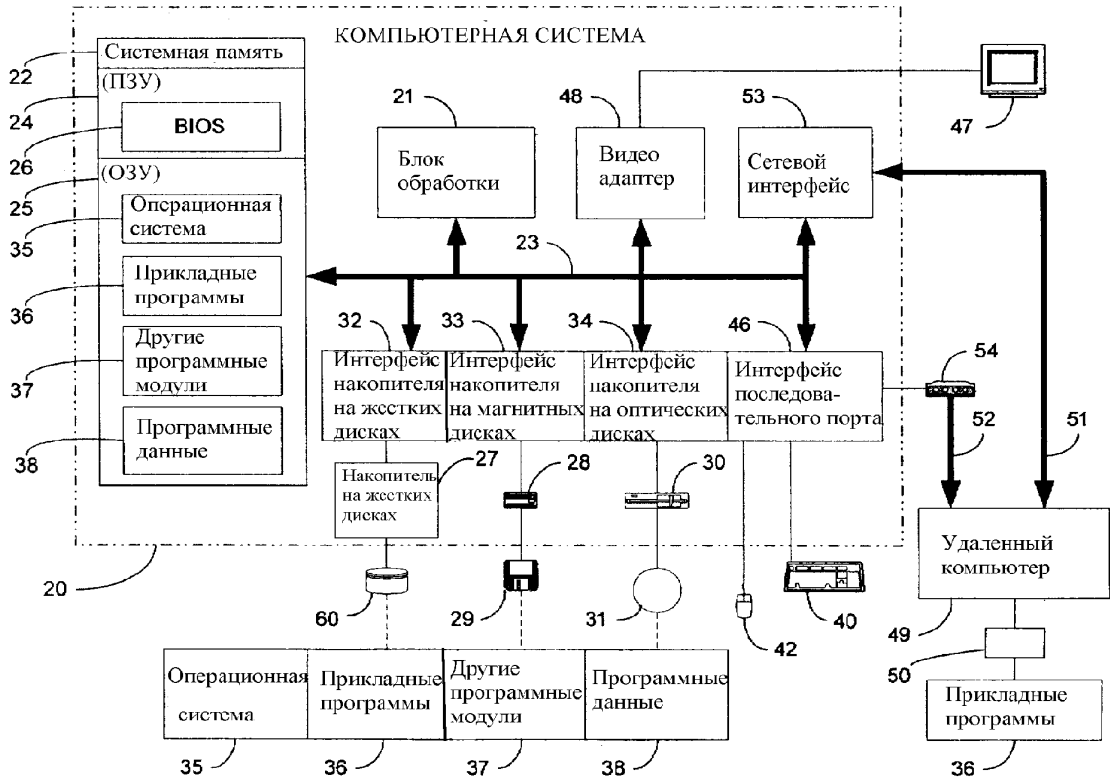
## (54) МЕХАНИЗМ ОДНОРАНГОВОЙ ШИРОКОВЕЩАТЕЛЬНОЙ ПЕРЕДАЧИ ИНФОРМАЦИОННОГО СОДЕРЖАНИЯ

(57) Реферат:

Изобретение относится к способам эффективной пересылки файлов в одноранговой сети. Техническим результатом является повышение скорости пересылки, для обеспечения совместного использования файлов в сети по существу в реальном времени. Узлы в сети могут служить как клиентами, так и серверами, так что бремя пересылки файлов в сети равномерно распределяется по узлам сети, в основном обеспечивая, что процесс распространения файлов выполняется максимально быстро. Каждый узел,

который имеет или получает файл, уведомляет о владении файлом, так что другие узлы могут получить от него файл. В одном из вариантов, узел может одновременно принимать файл путем загрузки от конкретного узла и предоставлять тот же самый файл путем подкачки в другой узел. В данном варианте идентификатор поколения данных предпочтительно ассоциируется с каждой копией файла, чтобы исключить ситуацию, когда два узла, имеющие только часть файла, попытались извлечь остальную часть файла друг у друга. 6 н. и 10 з.п. ф-лы, 5 ил.

RU  
2 3 4 3 5 3 6  
C 2



ФИГ. 1



FEDERAL SERVICE FOR INTELLECTUAL PROPERTY, PATENTS AND TRADEMARKS

(12) ABSTRACT OF INVENTION

(21), (22) Application: 2003134811/09, 01.12.2003

(24) Effective date for property rights: 01.12.2003

(30) Priority: 02.12.2002 US 10/307,752

(43) Application published: 27.05.2005

(45) Date of publication: 10.01.2009 Bull. 1

Mail address: 129090, Moskva, ul. B.Spasskaja, 25, str.3, OOO "Juridicheskaja firma Gorodisskij i Partnery", pat.pov. Ju.D.Kuznetsovu, reg.№ 595

(72) Inventor(s): VERT Dzhon (US), MESGAR Judzhin (US), ZARAKhOVSKIJ Judzhin (US), SARETTO Chezare Dzhon (US)

(73) Proprietor(s): MAJKROSOFT KORPOREJShN (US)

(54) MECHANISM OF PEER BROADCASTING OF INFORMATION CONTENT

(57) Abstract:

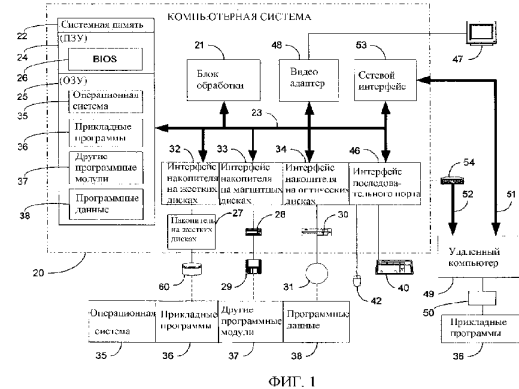
FIELD: physics; computer engineering.

SUBSTANCE: invention is related to methods for efficient sending of files in peer network. Units in network may serve both as clients and servers, therefore burden of files sending in network is evenly distributed among network units, mainly providing for maximum fast process of files distribution. Every unit that has or receives file informs about file in possession, so that other units may receive file from it. In one of versions unit may simultaneously receive file by loading from specific unit and make the same file available by swapping in the other unit. In this version identifier of data generation is preferably associated with every copy of file in order to avoid situation when two units that have only part of file would try the remaining part of

file from each other.

EFFECT: higher speed of sending.

16 cl, 5 dwg



RU 2 3 4 3 5 3 6 C 2

RU 2 3 4 3 5 3 6 C 2

Область техники

Настоящее изобретение относится к групповому соединению в сети, более конкретно, к пересылке файлов в одноранговой (без иерархии и выделенных серверов) сети.

Предшествующий уровень техники

5 По мере того как одноранговые сети становятся все более распространенными в количественном аспекте и по популярности и находят новые применения, все более заметными становятся недостатки в современных одноранговых технологиях. Увеличение вычислительной мощности персональных компьютеров (ПК) также привлекает внимание к этим недостаткам в сопоставлении с отсутствием сопутствующего существенного

10 увеличения ширины полосы, доступной для использования в одноранговых соединениях.

Например, современные способы и методологии пересылки файлов в одноранговых сетях часто оказываются неудобными и недостаточно быстродействующими, создавая препятствия быстрому совместному использованию информации в сети. Когда одноранговая система используется для группового взаимодействия в реальном времени,

15 любая существенная задержка в пересылке файла может привести к ощущению разъединения, когда взаимодействие предположительно в реальном времени начинает ощущаться пользователем скорее как неестественное, менее динамичное взаимодействие. Поэтому важно ускорить пересылку файлов, когда это возможно.

Основная причина медлительности современных протоколов одноранговой пересылки

20 файлов заключается в способе, которым нагрузка пересылки файлов распределяется среди одноранговых узлов. Например, в типовом способе пересылки узел, имеющий файл для совместного с группой использования, просто разветвляет (развертывает веером) этот файл ко всем членам группы путем подкачки (загрузки в удаленные компьютеры) параллельно или последовательно. Это накладывает требование значительной ширины

25 полосы и вызывает неудобства в вычислениях для рассылающего узла, поскольку он должен обслуживать каждого запрашивающего или каждый узел, получающий этот материал, и должен делать это по существу синхронно или одновременно. В результате, скорость пересылки файла относительно всей группы ограничивается вычислительными возможностями и скоростью соединения распределяющего узла.

30 Имеется потребность в механизме одноранговой пересылки файлов, который обеспечивает достаточную скорость пересылки, чтобы обеспечивать групповые взаимодействия по существу в реальном времени с использованием одноранговой сети без чрезмерного прерывания, обусловленного задержкой пересылки файла.

Сущность изобретения

35 Настоящее изобретение направлено на систему, способ и архитектуру для эффективной пересылки файлов в одноранговой сети. Система приспособлена для обеспечения совместного использования файлов по существу в реальном времени, что особенно полезно в интерактивной среде группового взаимодействия. В возможном варианте осуществления изобретения множество узлов одноранговой сети служат в качестве как

40 клиентов, так и серверов, принимая информацию файлов и передавая принятую информацию другим узлам. Время пересылки файлов распределяется в возможном варианте осуществления изобретения более равномерно между узлами сети, так что в типовом случае ни один из узлов не несет непропорциональной нагрузки пересылки файлов. Это полезно в обеспечении того, чтобы файл пересылался к каждому узлу в сети

45 по возможности быстро.

В возможном варианте осуществления изобретения распределение файлов инициируется публикацией метаданных файла. Приняв метаданные файла, каждый узел определяет, желателен ли ему получить соответствующий файл. Если ему желателен получить файл, соответствующий узел или узлы используют модель отдачи для запроса содержимого файла от соответствующих соседних узлов. В возможном варианте

50 осуществления изобретения каждый узел, который имеет или принимает файл, оповещает о своем владении файлом, так что другие узлы могут затем получить файл от этих вторичных узлов. В возможном варианте осуществления изобретения узел может по



существом синхронно получать файл путем потоковой загрузки от конкретного узла и предоставлять тот же самый файл путем подкачки другому узлу. В этом варианте осуществления предпочтительно используется идентификатор поколения данных для того, чтобы воспрепятствовать двум узлам, обладающим одной и той же частью файла, попытаться получить оставшуюся часть файла друг от друга. Дополнительные признаки и преимущества изобретения поясняются в последующем детальном описании иллюстративных вариантов осуществления изобретения со ссылками на чертежи.

Краткое описание чертежей

Хотя формула изобретения детально определяет признаки настоящего изобретения, однако изобретение, вместе с его целями и преимуществами, наилучшим образом может быть понято из последующего детального описания, во взаимосвязи с иллюстрирующими чертежами, на которых представлено следующее:

Фиг. 1 - схематичная диаграмма, иллюстрирующая в общем виде приведенную для примера компьютерную систему, пригодную для реализации варианта осуществления настоящего изобретения;

Фиг. 2 - схематичная диаграмма, иллюстрирующая пример одноранговой сети, обеспечивающей пересылку файлов в соответствии с вариантом осуществления настоящего изобретения;

Фиг. 3 - блок-схема последовательности операций, иллюстрирующая процесс распределения и извлечения файлов для использования в одноранговой сети в соответствии с вариантом осуществления настоящего изобретения;

Фиг. 4 - блок-схема, иллюстрирующая метод присвоения приоритета пересылке файлов для использования в одноранговой сети в соответствии с вариантом осуществления настоящего изобретения;

Фиг. 5 - схематичная диаграмма, показывающая архитектуру узла клиент/сервер в соответствии с вариантом осуществления настоящего изобретения.

Детальное описание изобретения со ссылками на чертежи, где одинаковые ссылочные позиции обозначают подобные элементы, изобретение описано ниже в контексте вычислительной среды. Изобретение описано как реализованное с помощью исполняемых компьютером инструкций, таких как программные модули, исполняемые персональным компьютером (ПК), хотя это не является обязательным требованием для реализации изобретения. В общем случае, программные модули включают в себя подпрограммы, программы, объекты, компоненты, структуры данных и тому подобные средства, которые выполняют конкретные задачи или реализуют некоторые абстрактные типы данных.

Изобретение может быть реализовано в конфигурациях компьютерных систем иных, чем ПК. Например, изобретение может быть реализовано в портативных устройствах, мобильных телефонах, мультипроцессорных системах, в основанных на микропроцессорах или программируемых приборах бытовой электроники, сетевых ПК, миникомпьютерах, универсальных компьютерах и тому подобных средствах. Изобретение также может быть реализовано в распределенных вычислительных средах, где задачи выполняются удаленными устройствами обработки, которые связаны коммуникационной сетью. В распределенной вычислительной среде программные модули могут быть расположены как в локальных, так и в удаленных устройствах памяти.

Таким образом, хотя последующее детальное описание изобретения изложено в контексте приведенного для примера универсального вычислительного устройства, такого как обычный ПК 20, следует иметь в виду, что изобретение может быть воплощено во множество типов вычислительных сред, как изложено выше.

Перед детальным описанием изобретения, ниже со ссылками на фиг. 1, описана вычислительная среда, в которой могут быть реализованы варианты осуществления изобретения. ПК 20 включает в себя блок 21 обработки, системную память 22 и системную шину 23, которая связывает различные системные компоненты, включая системную память, с блоком 21 обработки. Системная шина 23 может быть любой из различных типов шинных структур, включая шину памяти или контроллер памяти, шину периферийных

устройств, локальную шину, использующую любую из разнообразных шинных архитектур. Системная память включает в себя ПЗУ 24 и ОЗУ 25. Базовая система ввода/вывода (BIOS) 26, содержащая базовые подпрограммы, которые способствуют переносу информации между элементами в ПК 20, например при запуске, сохранена в ПЗУ 24.

5 также включает в себя накопитель 27 на жестких дисках для считывания с жесткого диска 60 и записи на него, накопитель 28 на магнитных дисках для считывания со съемного магнитного диска 29 и записи на него, и накопитель 30 на оптических дисках для считывания со съемного оптического диска 31 или записи на оптический диск, такой как, например, ПЗУ-КД (CD-ROM) или иные оптические носители записи.

10 Накопитель 27 на жестких дисках, накопитель 28 на магнитных дисках и накопитель 30 на оптических дисках соединены с системной шиной 23 посредством интерфейса 32 накопителя на жестких дисках, интерфейса 33 накопителя на магнитных дисках и интерфейса 34 накопителя на оптических дисках соответственно. Накопители и связанные с ними считываемые компьютером носители обеспечивают энергонезависимую память

15 исполняемых компьютером инструкций, структур данных, программных модулей и других данных для ПК 20. Хотя описываемая приведенная для примера среда использует один или несколько из упомянутых жесткого диска 60, съемного магнитного диска 29 и съемного оптического диска 31, специалистам в данной области техники должно быть понятно, что в приведенной для примера операционной среде могут использоваться и

20 другие типы считываемых компьютером носителей для хранения данных, которые могут хранить данные, доступные для вычислительного устройства, такие как магнитные кассеты, платы флэш-памяти, цифровые видеодиски, картриджи Бернулли, ОЗУ, ПЗУ и т.п.

Ряд программных модулей могут быть сохранены на жестком диске 60, магнитном диске 29, оптическом диске 31, ПЗУ 24 или ОЗУ 25, включая операционную систему 35, одну или

25 несколько прикладных программ (приложений) 36, другие программные модули 37 и программные данные 38. Пользователь может вводить команды и информацию в ПК 20 посредством клавиатуры 40 или указательного устройства 42. Другие устройства ввода (не показаны) могут включать в себя микрофон, джойстик, игровую панель, спутниковую параболическую антенну, сканер и т.п. Эти и другие устройства ввода часто соединяются

30 с блоком 21 обработки через интерфейс 46 последовательного порта, связанный с системной шиной, но могут быть соединены и посредством других интерфейсов, таких как параллельный порт, игровой порт или универсальная последовательная шина (USB). Монитор 47 или иное устройство отображения также соединено с системной шиной 23 через интерфейс, например, такой как видео адаптер 48. Помимо монитора, ПК в типовом

35 случае включают в себя другие периферийные устройства вывода (не показаны), например, громкоговорители и принтеры.

ПК 20 работает в сетевой среде с использованием фиксированных или переходных логических соединений с одним или более удаленными компьютерами, такими как удаленный компьютер 49. Удаленный компьютер 49 может представлять собой, в общем

40 случае, другой ПК, сервер, маршрутизатор, сетевой ПК, одноранговое устройство или другой обычный сетевой узел или любое другое устройство того типа, как упомянуто выше. Удаленный компьютер 49 в типовом случае включает в себя многие или все из элементов, описанных выше применительно к ПК 20, хотя это не требуется, и на фиг. 1 показано только устройство 50 памяти. Логические соединения, показанные на фиг. 1,

45 включают в себя локальную сеть 51 и глобальную сеть 52. Такие сетевые среды являются общеизвестными в офисах, компьютерных сетях предприятий, интранетах и в Интернет.

При использовании в сетевой среде локальной сети ПК соединяется с локальной сетью 51 через сетевой интерфейс или адаптер 53. При использовании в сетевой среде глобальной сети ПК в типовом случае включает в себя модем 54 или иное средство для

50 установления связи в глобальной сети 52. Модем 54, который может быть внутренним или внешним, соединен с системной шиной 23 через интерфейс 46 последовательного порта. Хотя в реализации возможного варианта осуществления изобретения может быть использован стандартный модем, лучшие рабочие характеристики могут быть обеспечены

при использовании широкополосного соединения, такого как имеющее емкость в пределах от 120 кб/с до 1,5 Мб/с. Программные модули, изображенные по отношению к ПК 20, или их части могут быть сохранены в удаленном устройстве памяти. Следует иметь в виду, что показанные сетевые соединения приведены для примера, и что могут быть  
5 использованы и другие средства установления канала связи между компьютерами. Кроме того, не предусматривается ограничение изобретения конкретным типом сети. Любой тип сети, проводной или беспроводной, постоянной или переходной, с коммутацией каналов или с пакетной коммутацией или другие сетевые архитектуры, могут быть использованы для реализации настоящего изобретения.

10 В последующем описании изобретение будет описываться со ссылками на действия и символические представления операций, которые выполняются одним или несколькими вычислительными устройствами, такими как ПК 20, если не указано иное. Следует иметь в виду, что такие действия и операции, на которые иногда даются ссылки как на выполняемые компьютером, включают в себя манипулирование блоком обработки  
15 компьютера с электрическими сигналами, представляющими данные в структурированной форме. Такое манипулирование преобразует данные или сохраняет их в ячейках системы памяти компьютера, что приводит к перекоифигурированию или иным образом изменяет работу компьютера способом, хорошо известным специалистам в данной области техники. Структуры данных, в которых поддерживаются данные, являются физическими  
20 местоположениями (ячейками) памяти, которые имеют конкретные свойства, определяемые форматом данных. Однако хотя изобретение описано в вышеуказанном контексте, это не означает, что оно должно быть ограничено данным вариантом, так как специалистам в данной области техники должно быть понятно, что действия и операции, описанные ниже, могут также быть реализованы аппаратными средствами.

25 Приведенная для примера одноранговая сеть 201, используемая в соответствии с вариантом осуществления изобретения, схематично представлена на фиг.2. В типовом случае одноранговая сеть, использующая изобретение, будет содержать примерно от 2 до 10 узлов, хотя для реализации изобретения также могут использоваться более крупные сети. Двухнаправленные линии между узлами А-Г одноранговой сети представляют  
30 одноранговые сетевые соединения. Так, например, в показанной конфигурации узел А соединен непосредственно с узлом В, но только опосредованным образом (то есть не через единственный канал) с узлом С. Каждое одноранговое соединение может в действительности состоять из ряда физических и/или логических, лежащих в его основе соединений, таким образом, как это может быть связано с базовой сетью, на которой  
35 строится одноранговая сеть. Заметим, что хотя одноранговая сеть в общем случае будет образована компьютерами, это не является обязательным. Вместо этого, может быть использован любой тип устройств, имеющих соответствующие средства работы в сети, в зависимости от того, какое оборудование выбрано пользователем.

Для повышения эффективности одноранговой сети, каждый компьютер в группе может  
40 также хранить «общие файлы» в базе данных, которая актуализируется вместе с базами данных других членов группы. Эта база данных может актуализироваться множеством разных способов. В одной реализации всякий раз, когда конкретный компьютер изменяет базу данных, он может распространить эти изменения к другим компьютерам в одноранговой сети через соединения, описанные выше. Альтернативно, компьютеры в  
45 группе могут автоматически обновлять свои базы данных после того, как прошел определенный период времени, опрашивая компьютеры, находящиеся в логическом соединении с ними, для получения новых версий базы данных. Хотя одноранговые технологии в общем случае имеют ряд преимуществ, включая независимость от центрального сервера и зачастую лучшее использование ресурсов, настоящее  
50 изобретение может также предусматривать использование центрального сервера или оборудования (не показано), соединенного с одним или несколькими узлами, для хранения данных или для поддержания состояния конкретной одноранговой группы узлов или конкретных автономных (независимых) участников.

Одноранговая сеть 201 может формироваться с использованием существующих одноранговых технологий. Например, в принципе, узел соединяется с сетью 201 посредством установления соединения с одним из существующих узлов. В этот момент новый узел может осуществлять вложение (совмещать передачу запросов и ответов) по соединениям (к другим узлам) существующего узла путем оценки других соединений и узлов, если имеются, для существующего узла и сделать выбор, осуществить ли соединение с некоторыми, всеми или не соединяться ни с одним из этих других узлов. Соединяющийся узел учитывает, в общем случае, стоимость нового соединения в зависимости от преимуществ нового соединения при принятии решения, создать ли дополнительные соединения на основе соединений существующих узлов. Каждый узел одноранговой сети также поддерживает запись мгновенного состояния частей сети, о которых он имеет информацию, чтобы отслеживать соответствующий сегмент участников сети и их статус в любой данный момент времени, как это должно быть понятно для специалистов в данной области техники. Именно совокупность таких разнообразных записей по различным узлам в действительности формирует одноранговую сеть, поскольку соединения между узлами в типовом случае не реализованы аппаратными средствами, а в большинстве случаев являются переходными (неустановившимися, изменяемыми) в смысле соединений с пакетной коммутацией, а не с коммутацией каналов.

В соответствии с одним из аспектов настоящего изобретения, распространение файлов инициируется узлом одноранговой сети, таким как узел А. Как описано ниже более детально, данные файлов пересылаются по сети к соответствующим получающим узлам, в то время как метод рассылки распределяет затраты на пересылку относительно равномерно по сети. В частности, из блок-схемы 301 последовательности операций, показанной на фиг.3, можно видеть, что распространяющий узел сначала на этапе 303 публикует метаданные, связанные с конкретным файлом. Метаданные не являются данными файла, а представляют информацию о самом файле, а также о контексте файла, например, о приоритете по отношению к другим файлам. Метаданные предпочтительно имеют малый объем для лавинной маршрутизации без проблем ко всем узлам по существующим соединениям с использованием минимальной ширины полосы с помощью регулярного метода лавинной маршрутизации одноранговой записи. В соответствии с этим методом все получающие узлы последовательно пересылают метаданные до тех пор, пока данные не достигнут всех узлов сети. Заметим, что когда узел получает дубликат метаданных, например, по другим соединениям с другими узлами, он просто игнорирует дублирующую информацию без ее дальнейшей пересылки и пересылает только первую принятую копию метаданных.

В одном варианте осуществления изобретения метаданные включают в себя имя файла, размер файла, набор характеристик распространения, информацию о сроке окончания действия, любую специфическую для модуля информацию, которую хочет предоставить приложение или модуль, осуществившие публикацию метаданных. Кроме того, метаданные могут также включать в себя любую другую необходимую информацию, такую как дата создания и/или модифицирования, владелец, создатель, приоритет и т.д. Имя файла в метаданных просто является идентификатором, связанным с файлом. Не требуется, чтобы имя файла было абсолютно уникальным, хотя предпочтительным является идентификатор, который в достаточной степени специфичен, так что маловероятно, что он будет связан с каким-либо другим файлом, отличным от требуемого файла в любом узле сети 201. В случае музыкальных файлов имя файла может включать в себя соответствующие метки MP3 ID3. Информация о размере файла обеспечивает индикацию того, какой объем данных содержится в данном файле, и предпочтительно указывается по отношению к размеру файла в сжатом виде, если при пересылке должно использоваться сжатие. Набор характеристик распространения дополнительно обеспечивает рекомендацию или указание на то, каким образом файл должен распространяться. Наконец, информация о сроке окончания действия определяет время, когда файл, будучи пересланным, должен быть удален из памяти каждого получающего узла. Заметим, что публикация исходных

метаданных может включать в себя декларацию, что узел-источник имеет предлагаемый файл, но каждый другой узел не делает данную декларацию до тех пор, пока он в действительности не будет иметь по меньшей мере часть файла, как пояснено более подробно ниже.

5 После того как публикующий узел передал на этапе 303 метаданные, и все узлы одноранговой сети получили метаданные, каждый получающий метаданные узел на этапе 305 принимает решение, запросить или не запрашивать данный файл. Узел может иметь любую из ряда причин для запроса файла. Например, если модуль интерактивного группового взаимодействия в реальном времени, такой как модуль группового восприятия аудиоматериала, вызвал публикацию метаданных файла, и получающий узел активизировал тот же самый тип модуля, затем получающий узел, по всей вероятности, пожелает запросить предлагаемый файл.

Кроме того, в одном из вариантов осуществления изобретения узел осуществляет поиск в своих локальных файлах для определения того, имеет ли он уже копию релевантного файла, и использует любую такую копию вместо запроса загрузки из другого узла. 15 Определение того, является ли локальная копия «той же самой», что и копия, о которой было оповещено, может основываться на множестве факторов. Например, в случае аудиофайлов, одинаковость может быть логически выведена из таких характеристик, как имя файла, исполнитель или иная участвующая сторона, длительность воспроизведения. 20 Однако в другом варианте осуществления изобретения этот локально имеющийся файл не используется для обслуживания запросов других узлов на оповещенный файл. Это связано с тем, что могут иметься различия между локально имеющейся копией и прорекламированной копией, которые могут быть очевидными или неочевидными в узле, являющемся локальным источником, но которые могут воспрепятствовать непрерывности в загрузке, когда имеет место переключение источника в процессе загрузки другим узлом. 25 Такие различия могут состоять в точном методе сжатия, используемом для сокращения файла (например, когда тот же самый файл сжимается различными способами для получения различных конечных версий), или в лежащих в основе данных, использованных для создания файла (например, когда файлы связаны с разными вырезками из одной и той же песни). Решение о том, использовать или не использовать локальный файл в данном контексте, может быть связано с мерой сходства файлов, которая используется. 30

Если получающий узел принял решение запросить файл, то он осуществляет проверку на этапе 307, чтобы определить, объявил ли какой-либо из непосредственно соседних узлов, что у него имеется этот файл. Если один или несколько соседей объявили, что у них имеется этот файл, что означает, что они имеют по меньшей мере часть файла, то 35 данный узел на этапе 309 принимает решение, от какого из соседних узлов запросить файл. Это решение может быть основано на ряде факторов, включая, например, вычислительные характеристики или характеристики соединений (ранее измеренные характеристики пересылки файлов, скорость, надежность и т.д.) для узлов.

40 Поскольку множество узлов могут получить части файла и затем уведомить о том, что они владеют ими, то теоретически возможно, что если соответствующие поставщики для этих узлов испытывают проблемы, то узлы могут попытаться получить файл друг от друга. Это привело бы в результате к взаимоблокировке, поскольку ни один узел не обладает полным файлом. Для преодоления этой проблемы, каждый узел, который уведомляет об 45 обладании файлом, также предпочтительно уведомляет о номере поколения (данных) для этого файла. Любой узел, который имеет или получает весь файл, может уведомить о его версии как о файле поколения 0. Узел, который уведомляет о частичной копии, которую он получил от источника поколения 0, уведомляет о своей версии как о поколении 1. В принципе, каждый узел уведомляет о своей версии как о версии поколения на 1 больше, чем версия, которую он одновременно загружает. Когда один узел-источник завершил свою загрузку всей копии файла, он уменьшает значение своего поколения, о котором он 50 уведомляет, до 0, и это уменьшение (отрицательное приращение) распространяется по цепи узлов, на которые он осуществляет подкачку прямо или опосредованно. Таким

образом, номер поколения, связанный с уведомленными версиями файла, является другим фактором, используемым в одном из вариантов осуществления изобретения, для определения того, от какого узла следует получить файл, причем обычно запрашивается поколение с более низким номером, а поколение с номером, одинаковым с поколением запрашивающего узла, в типовом случае не приемлемо. Если только один соседний узел объявил о наличии у него файла с подходящей версией, то этап 309 опускается для данного запрашивающего узла. На этапе 311 запрашивающий узел запрашивает файл от выбранного соседнего узла, обладающего этим файлом, и начинает принимать файл.

В одном из вариантов осуществления изобретения, как только запрашивающий узел начинает принимать файл, он способен уведомить другие узлы об обладании этим файлом. Это объясняется тем, что запрашивающий узел может предоставить части файла, которые он уже получил, при одновременном продолжении приема файла от своего поставщика примерно с той же скоростью или с более высокой скоростью. Это действие во многих случаях несколько уменьшает время задержки при распространении файлов, поскольку узлу не требуется ожидать завершения приема файла, прежде чем можно будет уведомить об обладании им и предоставить файл другим узлам. Таким образом, на этапе 313 запрашивающий узел передает уведомление своим соседним узлам, что он обладает указанным файлом. На этапе 315 данный узел обслуживает любые принятые запросы на данный файл. Наконец, процесс завершается на этапе 319.

Если на этапе 305 было определено, что данный узел не желает приобретать файл, то процесс переходит к этапу 317, где определяется, послал ли какой-либо узел, соседний с данным узлом, инициативный запрос файла к данному узлу. Поскольку распространение файла обычно осуществляется только на базе запроса, как описано выше, возможно, что узел, которому желательно получить файл, не имеет соседей, которые получают файл и уведомляют о нем по своей собственной инициативе. Тогда, после ожидания в течение соответствующего интервала времени, например, равного одному приращению времени на загрузку файла, такой узел может послать инициативный запрос файла к одному из своих соседей, стимулируя этого соседа извлечь и предоставить данный файл. В возможном варианте осуществления изобретения каждое одноранговое приложение или модуль связаны с конкретной одноранговой сетью, состоящей исключительно или главным образом из узлов, исполняющих одно и то же приложение или модуль. Таким образом, в этом варианте осуществления вероятность потребности в использовании инициативного запроса мала, поскольку каждый узел, вероятно, пожелает иметь файл.

Если на этапе 317 определено, что ни один соседний узел данного узла не послал инициативного запроса к данному узлу, то процесс завершается на этапе 319. Если, напротив, на этапе 317 определено, что один или несколько соседних узлов данного узла послали инициативный запрос на файл к данному узлу, то процесс переходит к этапу 307 и к логически следующим другим этапам. Заметим, что данному узлу может самому потребоваться сделать инициативный запрос на получение файла, в одном из вариантов осуществления изобретения.

Хотя выше детально не рассмотрено, однако имеются ситуации, когда узел делает выбор из множества файлов для подкачки и/или загрузки, и/или когда делается выбор между задачей подкачки и задачей загрузки для конкретного узла. Эти ситуации более детально рассмотрены в отношении обобщенной блок-схемы 401 последовательности операций на фиг.4. Хотя ситуация, представленная на фиг.4, связана с одновременным наличием множества возможностей подкачки (выгрузки) и загрузки, следует иметь в виду, что во многих случаях множества таких возможностей не будет иметься, и в таких ситуациях некоторые из этапов, представленных на блок-схеме 401, могут быть опущены.

На этапе 403 конкретный узел принял запросы на подкачку двух или более конкретных файлов, а также принял уведомления, что два или более других файлов имеются в наличии для подкачки в данный узел. В случае, когда рассматриваемый узел имеет неограниченные возможности подкачки и загрузки, и одновременное исполнение (то есть по существу в одно и то же время или по меньшей мере в течение перекрывающихся

интервалов времени) всех таких действий может выполняться без замедления или задержки любого одного из действий, то все подкачки и загрузки предпочтительно осуществляются одновременно. Если, однако, что является более типичным, ресурсы узла и/или сети являются ограниченными, так что реализуется только наиболее быстрая  
 5 загрузка или подкачка, когда никакая другая загрузка или подкачка не обслуживается, то в общем случае будет производиться только наиболее быстрая возможная загрузка или подкачка путем выбора для обслуживания соответствующего действия (подкачки или загрузки) и файла. Следует иметь в виду, что если каналы подкачки и загрузки являются  
 10 полностью отдельными, как в случае некоторых широкополосных технологий, то установление приоритета для каждого канала может быть выполнено отдельно, а не во взаимодействии, как показано на фиг.4.

На этапе 405 потенциальным действиям подкачки и загрузки файлов присваиваются приоритеты. В частности, каждый файл предпочтительно ассоциируется, например, посредством метаданных, с численно определенным приоритетом, представляющим его  
 15 текущую важность. Приоритеты могут представлять, например, относительный порядок файлов в списке воспроизведения аудиоматериала и могут изменяться по мере изменения списка воспроизведения. В той степени, в которой один или более релевантных приоритетов файлов изменяются в течение подкачки или загрузки и оказывают влияние на то, какой файл является в текущий момент предпочтительным файлом для подкачки или  
 20 загрузки, целевой файл может быть переведен в другое состояние на середине потока, причем перенесенные неполные части установленного ранее предпочтительным файла сохраняются для возможного последующего возобновления пересылки. Если каналы подкачки и загрузки файлов являются полностью отдельными, так что они не влияют друг на друга, то присвоение приоритетов предпочтительно происходит на поканальной основе.  
 25 То есть все потенциальные загрузки файлов по отношению друг к другу и все потенциальные подкачки файлов приоритизируются по отношению друг к другу. Специалисту в данной области техники должно быть понятно, что описываемые методы можно легко модифицировать для использования множества независимых каналов подкачки и множества независимых каналов загрузки.

На этапе 407 производится обслуживание пересылки файла с наивысшим приоритетом. В случае независимых каналов подкачки и загрузки, осуществляется обслуживание подкачки файла с наивысшим приоритетом, а также производится обслуживание загрузки файла с наивысшим приоритетом. Вновь следует отметить, что приоритеты файлов могут  
 35 изменяться в процессе пересылки файлов, что может привести к появлению другого файла, имеющего более высокий приоритет для подкачки или загрузки, чем обслуживаемый в текущий момент файл, как описано выше. Наконец, на этапе 409 процесс завершается.

На фиг.5 показано схематичное представление архитектуры сервер/клиент, которая может быть использована в соответствии с возможным вариантом осуществления изобретения. В частности, в одноранговой сети в соответствии с различными вариантами  
 40 осуществления изобретения, множество узлов работают как клиенты и как серверы, хотя ясно, что один или несколько узлов могут работать только как клиенты или только как серверы. Приведенная для примера архитектура 501 клиент/сервер имеет клиентское оборудование 503 и серверное оборудование 505. Клиентское оборудование 503 является ответственным за запрос желательных файлов, если это необходимо, и за прием таковых.  
 45 Более конкретно, клиентское оборудование 503 предпочтительно включает в себя клиентский интерфейс 507 для приема запроса, такого как от модуля или приложения, на получение конкретного файла, такого как тот, о котором получено уведомление от другого узла. Такие запросы могут быть приоритизированы и поставлены в очередь 511 по приоритету. В этот момент интерфейс 513 соединения узлов используется для выполнения  
 50 запроса и получения желательного файла в соответствующее время на основе присвоенного запросу приоритета.

Серверное оборудование 505 узла предпочтительно содержит файловый интерфейс 515 для приема данных файлов, например, от другого модуля или приложения в узле.

Информация файлов может быть сжата, например, в формат 64k Windows Media © Audio (WMA), или может находиться в унитарном формате для подкачки большого массива данных, или может находиться в потоковом формате для потоковой подкачки. Для ясности внутри интерфейса 515 показаны два интерфейса: интерфейс «опубликования файла» и интерфейс «опубликования потока». Последний используется для облегчения публикации (в сети) потока посредством серверной части 505, в то время как первый используется для облегчения унитарной публикации всего файла, как пояснено выше. Данные файла, принятые в интерфейсе 515, передаются в кэш 517 сервера для временного хранения, и могут быть переупорядочены относительно других ожидающих обработки подкачек в приоритетной очереди 519 сервера. Предпочтительно, файлы наивысшего приоритета принимаются первыми на интерфейсе 515 файлов, хотя приоритеты могут изменять в процессе приема данных файла на интерфейсе 515. Наконец, данные файла предоставляются для доступа в соответствующем приоритетном порядке интерфейсу 513 соединения узла. Понятно, что данные файлов для подкачки могут поступать альтернативным образом от интерфейса 513 соединения узла, например, когда серверное оборудование должно подкачивать те же самые данные к еще одному узлу. В этом случае поступающие данные файлов могут сохраняться как в клиентской приоритетной очереди, так и в серверной приоритетной очереди.

Следует иметь в виду, что описано новое средство для широковещательной пересылки информационного содержания для использования в одноранговой сети. Принимая во внимание многие возможные варианты осуществления, в которых могут быть применены принципы настоящего изобретения, следует иметь в виду, что описанные варианты осуществления со ссылками на чертежи являются только иллюстративными и не должны восприниматься как ограничивающие объем изобретения. Например, специалистам в данной области техники должно быть понятно, что элементы проиллюстрированных вариантов осуществления, показанные в форме программного обеспечения, могут быть реализованы в виде аппаратных средств и наоборот, или что показанные варианты осуществления могут быть модифицированы по своей конфигурации и в деталях без отклонения от сущности изобретения. Поэтому описанное выше изобретение должно принимать во внимание все такие варианты осуществления, которые могут входить в объем нижеследующих пунктов формулы изобретения и их эквивалентов.

#### Формула изобретения

1. Способ пересылки файла между узлами в одноранговой сети, имеющей множество узлов, включающих в себя узел-источник, по меньшей мере, один узел-получатель и соседний узел, причем узел-получатель имеет непосредственное одноранговое соединение с соседним узлом и опосредованное одноранговое соединение с узлом-источником, причем способ включает в себя действия, при которых получают в узле-получателе метаданные, которые были переданы узлом-источником и которые соответствуют файлу, которым владеет узел-источник, принимают в узле-получателе от соседнего узла уведомление о том, что соседний узел извлек файл, которым обладает узел-источник, и определяют в узле-получателе, следует ли извлечь файл, которым обладает узел-источник, из соседнего узла, на основе метаданных, извлекают файл из соседнего узла в узел-получателя, если в узле получателя принято решение извлечь файл, причем действие извлечения файла включает в себя посылку запроса к соседнему узлу на получение файла и получение от соседнего узла в узле-получателе файла путем потоковой подкачки, и транслируют к другим узлам в одноранговой сети уведомления, что узел-получатель владеет файлом, как только узел-получатель начал получать файл путем потоковой подкачки.
2. Способ по п.1, отличающийся тем, что метаданные включают в себя приоритет, связанный с файлом, основанный на текущей полезности файла для осуществляемого, по существу, в реальном времени группового взаимодействия по одноранговой сети.
3. Способ по п.1, отличающийся тем, что уведомление, что узел-получатель владеет



файлом, также включает в себя идентификатор поколения, причем упомянутый идентификатор поколения отличает копию файла, доступную от узла-получателя, от копии файла, доступной от узла-источника.

5 4. Способ по п.3, отличающийся тем, что дополнительно включает трансляцию второго уведомления, что узел-получатель обладает файлом, как только узел-получатель закончил принимать файл путем потоковой подкачки, причем второе уведомление включает в себя второй идентификатор поколения, при этом второй идентификатор поколения не отличает копию файла, доступную от узла-получателя, от копии файла, доступной от узла-источника.

10 5. Способ по п.1, отличающийся тем, что определение в узле-получателе, следует ли извлечь файл, которым обладает узел-источник, из соседнего узла, на основе метаданных, дополнительно включает определение на основе метаданных, что локальный файл существует на узле-получателе, который соответствует файлу, которым обладает узел-источник, и тем самым принятие решения не извлекать файл из соседнего узла.

15 6. Способ по п.2, отличающийся тем, что файл, которым обладает узел-источник, является аудиофайлом.

7. Способ по п.6, отличающийся тем, что текущую полезность файла для осуществляемого, по существу, в реальном времени группового взаимодействия по одноранговой сети определяют на основе уровня файла в списке аудиовоспроизведения, при этом текущий уровень воспроизведения в списке воспроизведения ассоциирован с 20 более высоким приоритетом, чем уровень в списке воспроизведения, который не относится к текущему воспроизведению.

8. Считываемый компьютером носитель, имеющий сохраненные на нем исполняемые компьютером инструкции для выполнения способа по п.1.

25 9. Способ пересылки файлов между узлами в одноранговой сети, имеющей множество узлов, включающих в себя узел-источник, по меньшей мере, один узел-получатель и первый и второй соседние узлы, причем узел-получатель имеет непосредственное одноранговое соединение с первым и вторым соседними узлами и опосредованное одноранговое соединение с узлом-источником, причем способ включает в себя действия, при которых

30 получают в узле-получателе от первого соседнего узла уведомление, что первый соседний узел может предоставить первый файл, причем узел-получатель владеет первыми метаданными, ассоциированными с первым файлом, и первые метаданные содержат первый приоритет, принимают в узле-получателе запрос от второго соседнего узла на подкачку второго файла от узла-получателя к второму соседнему узлу, причем 35 узел-получатель обладает вторыми метаданными, ассоциированными с вторым файлом, причем вторые метаданные включают в себя второй приоритет,

определяют, какой из первого и второго приоритетов соответствует более высокому уровню приоритета,

40 извлекают первый файл из первого соседнего узла, если первый приоритет соответствует более высокому уровню приоритета, чем второй приоритет, и в противном случае осуществляют подкачку второго файла во второй соседний узел, и

транслируют первое уведомление, что узел-получатель может предоставить первый файл, как только узел-получатель начал принимать первый файл, при этом первое уведомление включает в себя первый идентификатор поколения, причем упомянутый 45 первый идентификатор поколения отличает первую копию файла, доступную от узла-получателя, от первой копии файла, доступной от первого соседнего узла.

10. Способ по п.9, отличающийся тем, что первая копия файла, доступная от первого соседнего узла, ассоциирована с вторым идентификатором поколения, причем упомянутый второй идентификатор поколения отличает первую копию файла, доступную от первого 50 соседнего узла, от первой копии файла, доступной от узла-источника.

11. Способ по п.9, отличающийся тем, что дополнительно включает трансляцию второго уведомления, что узел-получатель может предоставить первый файл, как только узел-получатель закончил принимать первый файл, при этом второе уведомление включает в

себя второй идентификатор поколения, причем упомянутый второй идентификатор поколения не отличает первую копию файла, доступную от узла-получателя, от первой копии файла, доступной от первого соседнего узла.

5 12. Способ по п.11, отличающийся тем, что первый и второй файлы являются аудиофайлами.

13. Способ по п.9, отличающийся тем, что первый и второй приоритеты основаны на уровнях соответствующих файлов в списке аудиовоспроизведения, при этом текущий уровень воспроизведения в списке воспроизведения ассоциирован с более высоким приоритетом, чем уровень в списке воспроизведения, который не относится к текущему воспроизведению.

10 14. Считываемый компьютером носитель, имеющий сохраненные на нем исполняемые компьютером инструкции для выполнения способа по п.9.

15 15. Способ пересылки файлов между узлами в одноранговой сети, имеющей множество узлов, включающих в себя узел-получатель и первый и второй соседние узлы, причем узел-получатель имеет непосредственное одноранговое соединение с первым и вторым соседними узлами, причем способ включает в себя действия, при которых

получают в узле-получателе от снабжающего узла часть требуемого файла, имеющего первый идентификатор поколения, и присваивают этой части требуемого файла второй

20 получают в узле-получателе от первого соседнего узла первое уведомление, что первый соседний узел может предоставить требуемый файл, причем первое уведомление содержит идентификатор поколения копии первого соседнего узла,

получают в узле-получателе от второго соседнего узла второе уведомление, что второй соседний узел может предоставить требуемый файл, причем второе уведомление

25 содержит идентификатор поколения копии второго соседнего узла,

определяют, из какого из упомянутых первого соседнего узла и второго соседнего узла следует извлечь оставшуюся часть требуемого файла, на основе идентификаторов поколения первого и второго соседних узлов, извлекают оставшуюся часть требуемого файла из определенного первого или второго соседних узлов.

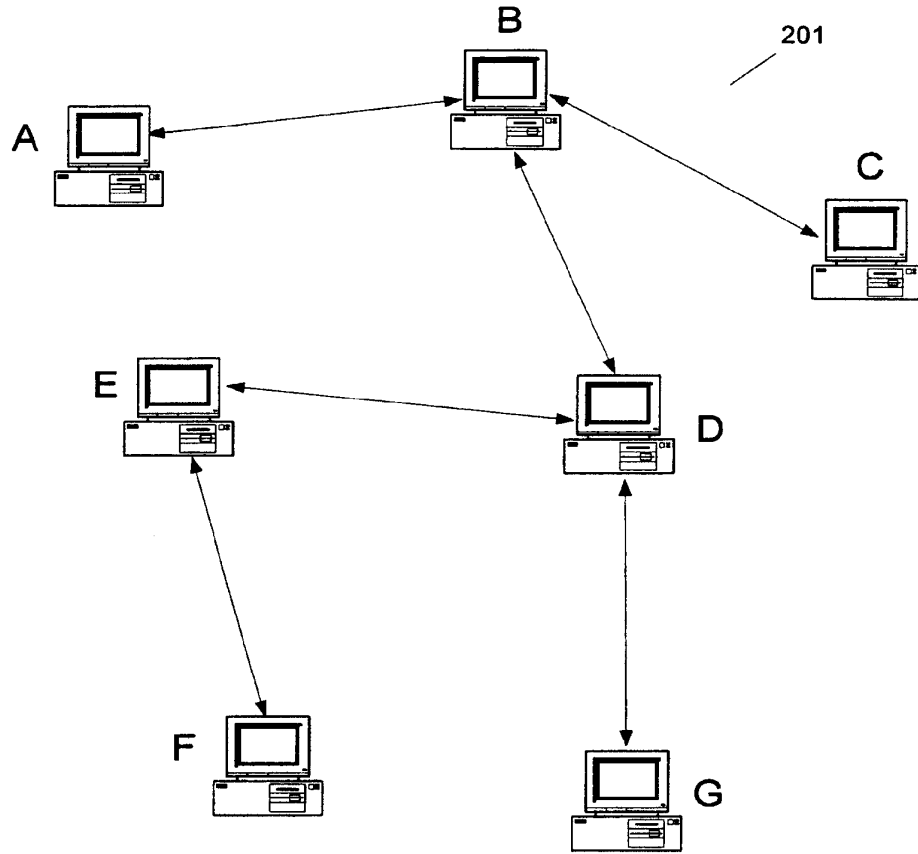
30 16. Считываемый компьютером носитель, имеющий сохраненные на нем исполняемые компьютером инструкции для выполнения способа по п.15.

35

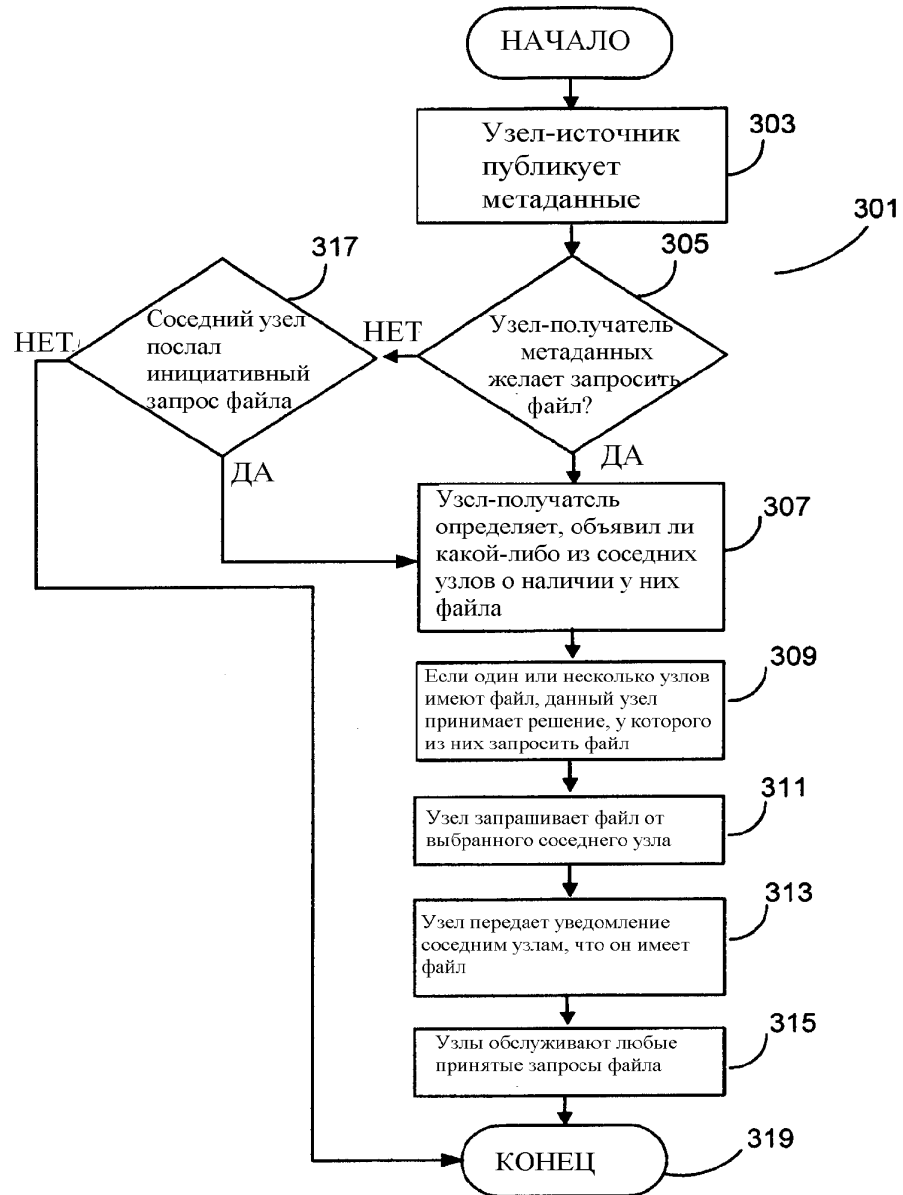
40

45

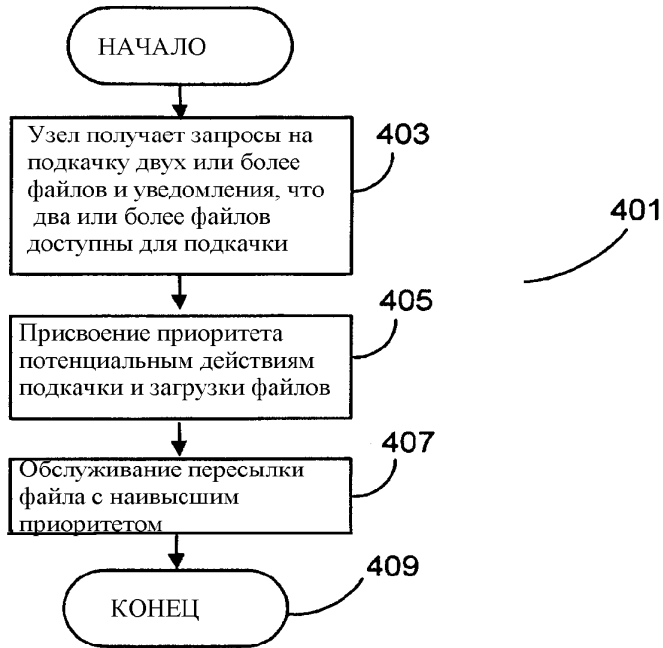
50



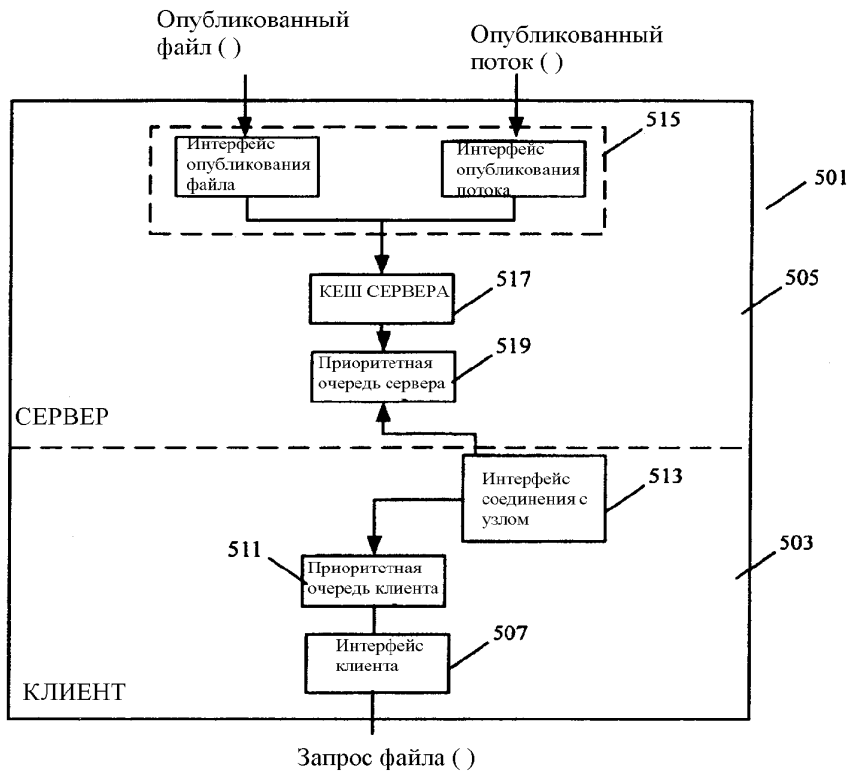
ФИГ. 2



ФИГ. 3



ФИГ. 4



ФИГ. 5

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	21245412
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Peter Anthony Nieves/Karen Morin
<b>Filer Authorized By:</b>	Peter Anthony Nieves
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	20-JAN-2015
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	09:26:41
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	S0493646.pdf	295818 <small>fbf284e00fb655f55e109141c4f37e3d69ce96f</small>	no	4

### Warnings:

### Information:

This is not an USPTO supplied IDS fillable form

2	Foreign Reference	S0493060.pdf	1066703	no	17
			e802bfe70c7fa89521936b57869404e180b138e		

**Warnings:**

**Information:**

**Total Files Size (in bytes):** 1362521

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>POWER OF ATTORNEY OR REVOCAION OF POWER OF ATTORNEY WITH A NEW POWER OF ATTORNEY AND CHANGE OF CORRESPONDENCE ADDRESS</b>	<b>Application Number</b>	14/025,109
	<b>Filing Date</b>	09-12-2013
	<b>First Named Inventor</b>	Derry Shribman
	<b>Title</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT
	<b>Art Unit</b>	2459
	<b>Examiner Name</b>	NGUYEN, MINH CHAU
	<b>Attorney Docket Number</b>	HOLA-005-US2

I hereby revoke all previous powers of attorney given in the above-identified application.

A Power of Attorney is submitted herewith.

**OR**

I hereby appoint Practitioner(s) associated with the following Customer Number as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

131,926

**OR**

I hereby appoint Practitioner(s) named below as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the United States Patent and Trademark Office connected therewith:

Practitioner(s) Name	Registration Number

Please recognize or change the correspondence address for the above-identified application to:

The address associated with the above-mentioned Customer Number.

**OR**

The address associated with Customer Number:

Firm or Individual Name

Address

City

Country

Telephone

State

Zip

Email

I am the:

Applicant/Inventor.

**OR**

Assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) (Form PTO/SB/96) submitted herewith or filed on 10/16/2013.

**SIGNATURE of Applicant or Assignee of Record**

Signature	Date	February 10, 2016
Name	Telephone	
Title and Company		

**NOTE:** Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

\*Total of \_\_\_\_\_ forms are submitted.

This collection of information is required by 37 CFR 1.31, 1.32 and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: **Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	24910230
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	57449
<b>Filer:</b>	Yehuda Binder
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	19459-6105P
<b>Receipt Date:</b>	14-FEB-2016
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	09:47:56
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Power of Attorney	SIGNED-POA.pdf	65055 <small>9b1ce783476329056406ab253a4bd2105dc075fb</small>	no	1

### Warnings:

### Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/025,109	09/12/2013	Derry Shribman	19459-6105P

**CONFIRMATION NO. 6194**

**POWER OF ATTORNEY NOTICE**

57449  
SHEEHAN PHINNEY BASS & GREEN, PA  
c/o PETER NIEVES  
1000 ELM STREET  
MANCHESTER, NH 03105-3701



Date Mailed: 02/26/2016

**NOTICE REGARDING CHANGE OF POWER OF ATTORNEY**

This is in response to the Power of Attorney filed 02/14/2016.

- The Power of Attorney to you in this application has been revoked by the applicant. Future correspondence will be mailed to the new address of record(37 CFR 1.33).

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/sleutchit/



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(C) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
14/025,109	09/12/2013	Derry Shribman	HOLA-005-US2

131926  
May Patents Ltd. c/o Dorit Shem-Tov  
P.O.B 7230  
Ramat-Gan, 5217102  
ISRAEL

**CONFIRMATION NO. 6194**  
**POA ACCEPTANCE LETTER**



Date Mailed: 02/26/2016

**NOTICE OF ACCEPTANCE OF POWER OF ATTORNEY**

This is in response to the Power of Attorney filed 02/14/2016.

The Power of Attorney in this application is accepted. Correspondence in this application will be mailed to the above address as provided by 37 CFR 1.33.

Questions about the contents of this notice and the requirements it sets forth should be directed to the Office of Data Management, Application Assistance Unit, at (571) 272-4000 or (571) 272-4200 or 1-888-786-0101.

/sleutchit/

---



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P. O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/025,109	09/12/2013	Derry Shribman	HOLA-005-US2	6194

131926 7590 03/17/2016  
May Patents Ltd. c/o Dorit Shem-Tov  
P.O.B 7230  
Ramat-Gan, 5217102  
ISRAEL

EXAMINER
----------

NGUYEN, MINH CHAU

ART UNIT	PAPER NUMBER
----------	--------------

2459

MAIL DATE	DELIVERY MODE
-----------	---------------

03/17/2016

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 14/025,109	<b>Applicant(s)</b> SHRIBMAN ET AL.	
	<b>Examiner</b> MINH-CHAU NGUYEN	<b>Art Unit</b> 2459	<b>AIA (First Inventor to File) Status</b> No

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 09/12/2013.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 2a)  This action is **FINAL**.                      2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims\***

- 5)  Claim(s) 26-66 is/are pending in the application.  
5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) \_\_\_\_\_ is/are allowed.
- 7)  Claim(s) 26-66 is/are rejected.
- 8)  Claim(s) \_\_\_\_\_ is/are objected to.
- 9)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

\* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).

**Application Papers**

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on 09/12/2013 is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

- a)  All    b)  Some\*\*    c)  None of the:
1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  
Paper No(s)/Mail Date 01/20/2015, 01/13/2015, 11/18/2014, 03/28/2014, 09/12/2013.
- 3)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 4)  Other: \_\_\_\_\_

The present application is being examined under the pre-AIA first to invent provisions.

### DETAILED ACTION

This Office Action is responsive to application 14/025,109 filed on September 12, 2013. **Claims 26-66** are presented for examination.

### *Claim Rejections - 35 USC § 103*

The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 26-66** are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Garcia-Luna-Aceves et al. (US 2002/0007413) hereinafter "**Garcia**", and in view of **Samuels** et al. (US 7,865,585).

### Claim 26

**Garcia** teaches a method for data communication between a web server storing a content and a client via one or more devices, for use with a first server, and where the web server, the client, the first server, and the devices are communicatively coupled via the Internet and each is identified in the internet using a distinct identifier, the method comprising the steps of:

- (a) each of the devices sending its identifier to the first server (Garcia, 0102, 0113);
- (b) the first server storing the identifiers of the devices (Garcia, 0102, 0113);
- (c) the client sending its identifier and the web server identifier to the first server (Garcia, 0113, 0119-0120);
- (d) the first server selecting one of tile devices based on associating the identifiers of the devices with the web server identifier (Garcia, 0102-0103, 0121, 0153);
- (e) the first server sending the identifier of the selected device to the client (Garcia, 0113-0114, 0119-0121, 0153).

**Garcia** fails to teach (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device.

However, in an analogous art, **Samuels** teaches (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device (Samuels, col. 41, lines 9-29).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the features of (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device, as disclosed by Samuels, into the teachings of Garcia. One would be motivated to support content delivery system.



**Claim 27**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the steps are sequentially executed (Garcia, 0113-0114).

**Claim 28**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the web server is Hypertext Transfer Protocol (HTTP) server and responds to HTTP requests from the selected device (Garcia, 0010).

**Claim 29**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server is HTTP server and responds to HTTP requests from the client, or the devices (Garcia, 0010).

**Claim 30**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the web server is Transmission Control Protocol / Internet Protocol (TCP/IP) server and communicates based on, or according to, using TCP/IP protocol or connection (Garcia, 0096).

**Claim 31**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server is a TCI / IP server and communicates based on, or according to, using TCP/IP protocol or connection (Garcia, 0096).

**Claim 32**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the content includes web-page, audio, or video content (Garcia, 0113, 0153).

**Claim 33**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server selecting one of the devices is based on the web server IP address or URL (Garcia, 0010).

**Claim 34**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server selecting one of the devices is based on the selected device IP address (Garcia, 0102-0103, 0121, 0153).

**Claim 35**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the selected device fresher storing the content received from the web server (Garcia, 0102-0103, 0121, 0153).

**Claim 36**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the client sending its identifier and the web server identifier to the first server as part of browser or email application execution (Garcia, 0010, 0102-0103).

**Claim 37**

**Garcia in combination with Samuels** teach the method according to claim 26 further comprising the step of the client sending its identifier to the first server, and the first server storing the client identifier (Garcia, 0102-0103).

**Claim 38**

**Garcia in combination with Samuels** teach the method according to claim 37 further for data communication between a second, web server storing a second content and having an identifier in the Internet and a one of the devices via the client, the method further comprising the steps of:

(h) one of the devices sending the second web server identifier to the first server (Garcia, 0102, 0113);

(i) the first server sending the identifier of the client to the one of the devices (Garcia, 0113-0114, 0119-0121, 0153);

(j) the client receiving the second content from the second web server (Garcia, 0113-0114, 0119-0121, 0153); and

(k) the one of the devices receiving the second content from the client (Garcia, 0113-0114, 0119-0121, 0153),

**Claim 39**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the communication with the web server or the first server is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards (Garcia, 0126),

**Claim 40**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the communication with the client or the selected device is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards (Garcia, 0126).

**Claim 41**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the web server identifier, the first server identifier, or the content identification is using a Uniform Resource Locator (URL) (Garcia, 0010).

**Claim 42**

**Garcia in combination with Samuels** teach the method according to claim 2.6 wherein the web server identifier, the first server identifier, the client identifier, or any of the device's identifier is using Internet Protocol (IP) address (Garcia, 0102, 0113).

**Claim 43**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein in step (d) the first server selecting two or more of the devices based on associating the identifiers of the devices with the web server identifier (Garcia, 0113-0114, 0119-0121, 0153); and in step (e) the first server sending the identifiers of the selected two or more devices to the client (Garcia, 0113-0114, 0119-0121, 0153).

**Claim 44**

**Garcia in combination with Samuels** teach the method according to claim 43 further comprising the step of the client selecting one of the devices as the selected device (Garcia, 0113-0114, 0119-0121, 0153 ).

**Claim 45**

**Garcia in combination with Samuels** teach the method according to claim 26 further comprising the steps of the client sending a communication port number to the selected device, followed by communication between the client and the selected device using the communication port number (Garcia, 0010).

**Claim 46**

**Garcia in combination with Samuels** teach the method according to claim 26 further comprising the step of the client sending the web server identifier to the selected device (Garcia, 0113-0114, 0119-0121).

**Claim 47**

**Garcia in combination with Samuels** teach the method according to claim 46 further comprising the step of the selected device communicating with the web server (Garcia, 0113-0114, 0119-0121).

**Claim 48**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein step (d) the first server selecting one of the devices based on the geographical location of the devices (Garcia, 0102).

**Claim 49**

**Garcia** teaches a method for data communication between a web server storing a content and a client via one or more devices, for use with a first server, and where the web server, the client, the first server, and the devices are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

(a) each of the devices sending its identifier to the first server (Garcia, 0102, 0113);

(b) the first server storing the identifiers of the devices (Garcia, 0102, 0113);

(c) the client sending its identifier and the web server identifier to the first server (Garcia, 0113, 0119-0120);

(d) selecting one of the devices based on the geographical location of the devices (Garcia, 0102-0103, 0121, 0153);



(e) the first server sending the identifier of the selected device to the client  
(Garcia, 0113-0114, 0119-0121, 0153).

**Garcia** fails to teach (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device.

However, in an analogous art, **Samuels** teaches (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device (Samuels, col. 41, lines 9-29).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the features of (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device, as disclosed by Samuels, into the teachings of Garcia. One would be motivated to support content delivery system.

### **Claim 50**

**Garcia in combination with Samuels** teach the method according to claim 49 wherein in step (d) the first server is selecting one of the devices (Garcia, 0102-0103, 0121, 0153).

**Claim 51**

**Garcia in combination with Samuels** teach the method according to claim 49 wherein in step (d) the client is selecting one of the devices (Garcia, 0102-0103, 0121, 0153).

**Claim 53**

**Garcia** teaches a method for data communication between a client and a web server storing a content via a device, for use with a first server and a device, and where the web server, the client, the first server, and the device are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

(a) sending its identifier and the web server identifier to the first server (Garcia, 0102, 0113, 0119-0121, 0153);

(b) receiving from the first server the identifier of the device (Garcia, 0113-0114, 0119-0121, 0153);

(c) sending the web server identifier to the device (Garcia, 0113, 0119-0120).

**Garcia** fails to teach (d) receiving the content associated with the web server from the device.

However, in an analogous art, **Samuels** teaches receiving the content associated with the web server from the device (Samuels, col. 41, lines 9-29).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the features of receiving the content associated with the web server from the device, as disclosed by Samuels, into the teachings of Garcia. One would be motivated to support content delivery system.

**Claim 59**

**Garcia in combination with Samuels** teach the method according to claim 53 further for data communication with a second web server storing a second content and having an identifier in the Internet and the devices via the client, the method further comprising the steps of: (e) receiving the second content from the second web server (Garcia, 0113-0114, 0119-0121, 0153); and (f) sending the second content to the device (Samuels, col. 41, lines 9-29).

**Claim 52** does not teach or define any new limitation other than above claim 38.

Therefore, claim 52 is rejected for similar reasons.

**Claims 54-58, 60-66** do not teach or define any new limitation other than above claims 27, 29, 31-32, 36, 39-43, 45-46. Therefore, claims 54-58, 60-66 are rejected for similar reasons.

### ***Correspondence Information***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH-CHAU NGUYEN whose telephone number is (571)272-4242. The examiner can normally be reached on 9AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, JEFFREY L. NICKERSON can be reached on (571) 270-3631. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

Application/Control Number: 14/025,109

Page 16

Art Unit: 2459

/MINH-CHAU NGUYEN/

Primary Examiner, Art Unit 2459

<b>Notice of References Cited</b>	Application/Control No. 14/025,109	Applicant(s)/Patent Under Reexamination SHRIBMAN ET AL.	
	Examiner MINH-CHAU NGUYEN	Art Unit 2459	Page 1 of 1

**U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	CPC Classification	US Classification
*	A	US-2002/0007413 A1	01-2002	Garcia-Luna-Aceves, JJ	G06F12/1483	709/229
*	B	US-7,865,585 B2	01-2011	Samuels; Allen	H04L67/2842	709/217
*	C	US-2003/0210694 A1	11-2003	Jayaraman, Suresh	H04L67/1008	370/392
*	D	US-2003/0200307 A1	10-2003	Raju, Jyoti	G06F12/1483	709/224
	E	US-				
	F	US-				
	G	US-				
	H	US-				
	I	US-				
	J	US-				
	K	US-				
	L	US-				
	M	US-				

**FOREIGN PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Country	Name	CPC Classification
	N					
	O					
	P					
	Q					
	R					
	S					
	T					

**NON-PATENT DOCUMENTS**

*		Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)
	U	
	V	
	W	
	X	

\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).)  
Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
 Address: COMMISSIONER FOR PATENTS  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 6194

<b>SERIAL NUMBER</b> 14/025,109	<b>FILING or 371(c) DATE</b> 09/12/2013 <b>RULE</b>	<b>CLASS</b> 709	<b>GROUP ART UNIT</b> 2459	<b>ATTORNEY DOCKET NO.</b> HOLA-005-US2		
<b>APPLICANTS</b> Hola Networks Ltd., Netanya, ISRAEL; <b>INVENTORS</b> Derry Shribman, Netanya, ISRAEL; Ofer Vilenski, Netanya, ISRAEL; <b>** CONTINUING DATA *****</b> This application is a DIV of 12/836,059 07/14/2010 PAT 8560604 which claims benefit of 61/249,624 10/08/2009 <b>** FOREIGN APPLICATIONS *****</b> <b>** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** ** SMALL ENTITY **</b> 09/27/2013						
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Met after Allowance	<b>STATE OR COUNTRY</b> ISRAEL	<b>SHEETS DRAWINGS</b> 15	<b>TOTAL CLAIMS</b> 25	<b>INDEPENDENT CLAIMS</b> 4
Verified and /MINH-CHAU NGUYEN/ Acknowledged _____ Examiner's Signature	_____	Initials				
<b>ADDRESS</b> May Patents Ltd. c/o Dorit Shem-Tov P.O.B 7230 Ramat-Gan, 5217102 ISRAEL						
<b>TITLE</b> SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION						
<b>FILING FEE RECEIVED</b> 1810	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit			

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0661-0631

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14/025109
	Filing Date	09/12/2013
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	MINH-CHAU NGUYEN
	Attorney Docket Number	19459-6105P

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/M.N./	1	7865585		2011-01-04	Samuels et al	
/M.N./	2	7120666		2006-10-10	McCanne et al	
/M.N./	3	7203741		2007-04-10	Marco et al	

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/M.N./	1	20110087733	A1	2011-04-14	Shribman et al	
/M.N./	2	20030174648		2003-09-18	Wang et al	
/M.N./	3	20080008089		2008-01-10	Bornstein et al	



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14/025109
Filing Date	09/12/2013
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	MINH-CHAU NGUYEN
Attorney Docket Number	19459-6105P

/M.N./	4	20040088646		2004-05-06	Yeager et al
/M.N./	5	20030009583		2003-01-09	Chan et al
/M.N./	6	20080109466		2008-05-08	Wang Matrix XIN
/M.N./	7	20070156855		2007-07-05	Johnson Moses
/M.N./	8	20060235391		2006-09-25	Painter, Christopher et al

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1							<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14/025109
Filing Date	09/12/2013
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	MINH-CHAU NGUYEN
Attorney Docket Number	19459-6105P

**EXAMINER SIGNATURE**

Examiner Signature	/Minh Chau Nguyen/	Date Considered	03/07/2016
--------------------	--------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14/025109
Filing Date	09/12/2013
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	MINH-CHAU NGUYEN
Attorney Docket Number	19459-6105P

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).


See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2013-09-12
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
/M.N./	1	CN101075242	CN	A	2007-11-21	TENGXUN SCIENCE & TECHNOLOGY		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	19459-6105P

	1		<input type="checkbox"/>
--	---	--	--------------------------

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Minh Chau Nguyen/	Date Considered	03/07/2016
--------------------	--------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

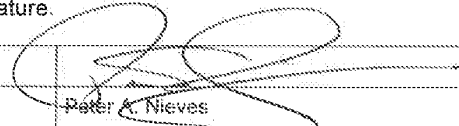
**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2015-01-12
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

U.S.PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S.PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/M.N./	1	20020133621	A1	2002-09-19	Talmon Marco et al	
/M.N./	2	20040107242	A1	2004-06-03	John Vert et al	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS							
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/M.N./	1	2343536	RU	C2	2009-10-01	Microsoft Corporation	<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS							
---------------------------------	--	--	--	--	--	--	--

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1		<input type="checkbox"/>

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Minh Chau Nguyen/	Date Considered	03/07/2016
--------------------	--------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	19459-6105P

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).


OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2015-01-16
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

PTO/SS/08a (01-10)

Approved for use through 07/31/2012. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

U.S. PATENTS						
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

U.S. PATENT APPLICATION PUBLICATIONS						
Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/M.N./	1	20080109446	A	2006-05-06	Wang	

If you wish to add additional U.S. Published Application citation information please click the Add button.

FOREIGN PATENT DOCUMENTS								
Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
/M.N./	1	2007-280388	JP	A	2007-10-25	XEROX CORPORATION		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

NON-PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109		
Filing Date	2013-09-12		
First Named Inventor	Derry Shribman		
Art Unit	2459		
Examiner Name	NGUYEN, MINH CHAU		
Attorney Docket Number	19459-6105P		

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

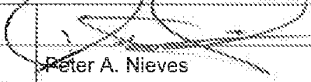
OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2014-11-18
Name/Print	Peter A. Nieves	Registration Number	48173

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	19459-6105P

1	KEI SUZUKI, a Study on Cooperative Peer Selection Method in P2P Video Delivery, Vol 109, No . 37, IEICE Technical Report, The Institute of Electronics, Information and Communication Engineers, May 14, 2009, Vol 109	<input type="checkbox"/>
If you wish to add additional non-patent literature document citation information please click the Add button		
<b>EXAMINER SIGNATURE</b>		
Examiner Signature	/Minh Chau Nguyen/	Date Considered 03/07/2016
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
<small><sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="http://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.</small>		

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



Doc code: IDS

Doc description: Information Disclosure Statement (IDS) Filed

Under the Paperwork Reduction Act of 1996, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

PTO/SB/08a (01-10)

Approved for use through 07/31/2012. OMB 0661-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		14/025109	
	Filing Date		09/12/2013	
	First Named Inventor	Derry Shribman		
	Art Unit	2459		
	Examiner Name	MINH-CHAU NGUYEN		
	Attorney Docket Number	19459-6105P		

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1					

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
/M.N./	1	101179389	CN	A	2008-05-14	Wang, Matrix Xin		<input type="checkbox"/>

If you wish to add additional Foreign Patent Document citation information please click the Add button

**NON-PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14/025109
	Filing Date	09/12/2013
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	MINH-CHAU NGUYEN
	Attorney Docket Number	19459-6105P

1		<input type="checkbox"/>
If you wish to add additional non-patent literature document citation information please click the Add button		
<b>EXAMINER SIGNATURE</b>		
Examiner Signature	/Minh Chau Nguyen/	Date Considered 03/07/2016
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.		
<small> <sup>1</sup> See Kind Codes of USPTO Patent Documents at <a href="http://www.USPTO.GOV">www.USPTO.GOV</a> or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.         </small>		

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14/025109
	Filing Date	09/12/2013
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	MINH-CHAU NGUYEN
	Attorney Docket Number	19459-6105P

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

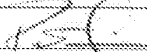
OR

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

- See attached certification statement.
- The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.
- A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2014-03-26
Name/Print	Peter A. Nieves	Registration Number	48173


This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

<b><i>Search Notes</i></b>  	<b>Application/Control No.</b>  14025109	<b>Applicant(s)/Patent Under Reexamination</b>  SHRIBMAN ET AL.
	<b>Examiner</b>  MINH-CHAU NGUYEN	<b>Art Unit</b>  2459

CPC- SEARCHED		
Symbol	Date	Examiner


CPC COMBINATION SETS - SEARCHED		
Symbol	Date	Examiner

US CLASSIFICATION SEARCHED			
Class	Subclass	Date	Examiner
709	201-203, 207	3/7/2016	MN

SEARCH NOTES		
Search Notes	Date	Examiner
Search on EAST	3/7/2016	MN

INTERFERENCE SEARCH			
US Class/ CPC Symbol	US Subclass / CPC Group	Date	Examiner


--	--

<b><i>Index of Claims</i></b> 	<b>Application/Control No.</b> 14025109	<b>Applicant(s)/Patent Under Reexamination</b> SHRIBMAN ET AL.
	<b>Examiner</b> MINH-CHAU NGUYEN	<b>Art Unit</b> 2459

✓	<b>Rejected</b>	-	<b>Cancelled</b>	N	<b>Non-Elected</b>	A	<b>Appeal</b>
=	<b>Allowed</b>	÷	<b>Restricted</b>	I	<b>Interference</b>	O	<b>Objected</b>

Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

CLAIM		DATE									
Final	Original	03/07/2016									
	1	-									
	2	-									
	3	-									
	4	-									
	5	-									
	6	-									
	7	-									
	8	-									
	9	-									
	10	-									
	11	-									
	12	-									
	13	-									
	14	-									
	15	-									
	16	-									
	17	-									
	18	-									
	19	-									
	20	-									
	21	-									
	22	-									
	23	-									
	24	-									
	25	-									
	26	✓									
	27	✓									
	28	✓									
	29	✓									
	30	✓									
	31	✓									
	32	✓									
	33	✓									
	34	✓									
	35	✓									
	36	✓									

<b>Index of Claims</b> 	<b>Application/Control No.</b> 14025109	<b>Applicant(s)/Patent Under Reexamination</b> SHRIBMAN ET AL.
	<b>Examiner</b> MINH-CHAU NGUYEN	<b>Art Unit</b> 2459

✓	<b>Rejected</b>	-	<b>Cancelled</b>	N	<b>Non-Elected</b>	A	<b>Appeal</b>
=	<b>Allowed</b>	÷	<b>Restricted</b>	I	<b>Interference</b>	O	<b>Objected</b>

Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

CLAIM		DATE									
Final	Original	03/07/2016									
	37	✓									
	38	✓									
	39	✓									
	40	✓									
	41	✓									
	42	✓									
	43	✓									
	44	✓									
	45	✓									
	46	✓									
	47	✓									
	48	✓									
	49	✓									
	50	✓									
	51	✓									
	52	✓									
	53	✓									
	54	✓									
	55	✓									
	56	✓									
	57	✓									
	58	✓									
	59	✓									
	60	✓									
	61	✓									
	62	✓									
	63	✓									
	64	✓									
	65	✓									
	66	✓									

**EAST Search History****EAST Search History (Prior Art)**

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
L1	9	((("7865585") or ("7203741")).PN.	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/03/07 13:26
L2	15419	(forward\$4 provid\$4) with content\$1 with server\$1 with client\$1	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/03/07 13:33
L3	5043	2 and (web near1 server) and servers	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/03/07 13:33
L4	1459	3 and (routers proxies)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/03/07 13:34
L5	132	4 and (server with (store\$1 save\$1) with (identifiers ids addresses))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/03/07 13:35
L6	52	5 and (server with select\$4 with (device\$1 router\$1 prox\$3))	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/03/07 13:36
L7	14	6 and @ad<"20091008"	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/03/07 13:36

**EAST Search History (Interference)**

< This search history is empty >

**3/ 7/ 2016 2:22:49 PM**



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: HOLA-005-US2

In re Application of: ) Confirmation No. 6194  
 )  
Derry Shribman *et al.* ) Art Unit: 2459  
 )  
Appln. No.: 14/025,109 ) Examiner: Nguyen, Minh Chau  
 )  
Filed: September 12, 2013 ) Washington, D.C.  
 )  
For: System and Method for a )  
Motion Sensing Device which )  
Provides a Visual or Audible )  
Indication ) May 30, 2016  
 )

**RESPONSE / AMENDMENT:**

Honorable Commissioner for Patents  
U.S. Patent and Trademark Office  
Randolph Building, Mail Stop Amendments  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In response to the Office Action of March 17,  
2016 ("Action"):

**Remarks/Arguments** begin on page 2 of this paper.

**REMARKS / ARGUMENTS**

The examiner's action dated March 17, 2016 ("Action") has been received and its contents carefully noted.

Regarding pages 2-15

Claims 26-66 are rejected under 35 U.S.C. 103(a) as being unpatentable over Garcia-Luna-Aceves *et al.* (US 2002/00074130) hereinafter "Garcia" and in view of Samuels (U.S. Patent 7,865,585) hereinafter "Samuels".

Combining Garcia and Samuels.

It is respectfully submitted that there is no proper basis for combining the disclosures of these references. Applicant submits that the Garcia and Samuels references are directed towards respectively different purposes and are based on respectively different structures, and thus are not analogous and cannot logically be combined.

In one example, the Garcia reference is directed towards cache devices that are **servers, which are end-units** in the network (see Figure 2, elements 218), and the client devices (such as client 105 in Figure 2) are **intentionally approach** these devices (see Figures 3A and 3B) for fetching information therefrom. In contrast, the cache related devices in the Samuels reference are **routing communication appliances** (see Figures 1A-1C) connected along the communication path of the client device 102, so that the communication therethrough is **non-intentional but rather dictated by the connection scheme**. Generally stated, the Garcia reference deals with **adding web caches** and directing client for using these web caches, while the Samuels reference is directed to better utilization and usages of **existing network resources**.

Appln. No. 14/025,109  
Reply to Office action of March 17, 2016

Modifying the Garcia reference to use existing network resources would change the principle of operation from adding dedicated end-units to utilizing existing routing equipments:

If the proposed modification or combination of the prior art would change the principle of operation of the prior art invention being modified, then the teachings of the references are not sufficient to render the claims prima facie obvious. *In re Ratti*, 270 F.2d 810, 123 USPQ 349 (CCPA 1959).

MPEP §2143.01.

Thus, to modify the Garcia system according to the Samuels reference teaching in order to arrive at the claimed invention would not be accordance with recognized principles governing patent application examination.

Moreover, it would not be appropriate to base this rejection on a combination of these references because the systems in Garcia and Samuels are each self-contained and independently operate effectively:

Because each device independently operates effectively, a person having ordinary skill in the art, who was merely seeking to create a better device to drain fluids from a wound, would have no reason to combine the features of both devices into a single device.

*Kinetic Concepts v. Smith and Nephew*, 688 F.3d 1342, at 1369 (CAFC, 2012).

Further, the factual and logical basis for the examiner's view that these two references can be combined is not understood, and is not explained in the Office Action itself. The reasoning provided for combining these two references is only that "... to support content delivery system". It is not clear what is the meaning here of 'support', and why and how the combination is warranted to 'support' content delivery system. Hence such rationale is detached from the specific references, and is not according to MPEP 2143 teaching

that: "Any rationale employed must provide a **link** between the factual findings and the legal conclusion of obviousness." (Emphasis added). Further, clearly 'supporting content delivery system' is a long felt need in any content delivery system, hence serving as a secondary consideration supporting the patentability here.

Regarding claim 26 (Action pages 2-3)

Based on Figure 3A in Garcia, while not detailed in the Action, it is assumed that the claimed client device is equated to client 300 in Figure 3A (or client 400 in Figure 4A), the claimed web server is equated to the web server 302/402, the device is equated to the cache server 306/406, and the claimed first server is equated to the web router 304/404. A clarification is required if this assumption is not proper or accurate.

First, while element (c) in claim 26 teaches that the client device sends its identifier and the web server identifier to **the first server**, Garcia clearly teaches that the client device sends its identifier to **the web server** rather than to the web router (step (1) in Figures 3A/4A). Second, while element (c) in claim 26 teaches that the client device itself sends the identifiers to the first server, Garcia clearly teaches that the web server sends the identifiers to the web router (step (2) in Figures 3A/4A).

Further, while element (d) in claim 26 teaches that devices are selected based on the **web server identifier**, the routing in the Garcia reference is based on (as described in the cited Garcia paragraphs 0102-0103, 0121, and 0153) the identification of the **client device itself**, rather than on any server identifier in general, and the web server identification in particular.

While element (e) in claim 26 explicitly teaches **selecting** a device, its selection is relevant in the Samuels reference, and in particular in the cited passage (Col. 41 lines 9-29), since the **network structure inherently dictates** the appliance 200 as the proxy.

Further, element (f) in claim 26 explicitly teaches that the selected device, such as in response to the selection, receives the content from the web server. Both Samuels and Garcia disclose cache devices that receive the content before any client requesting it.

Regarding claim 27 (Action page 4)

By sequentially executing the steps as recited in claim 27, the selected device receives the content ONLY AFTER and ONLY AS A RESULT of the selection and the identifying the content requested by the client. In contrast, the cache mechanisms disclosed by both Samuels and Garcia refer to receiving and storing information BEFORE the content is required by any client.

Regarding claim 29 (Action page 4)

While the recited paragraph 0010 in Garcia mentions HTTP, it is only mentioned in the context of web server, Garcia is silent regarding the Web Router 304/404 that is equated to the claimed first server being an HTTP server. Further, paragraph 0113 explicitly discloses DNS server, which is typically not an HTTP server.

Regarding claim 33 (Action page 5)

As explained above, Garcia is silent regarding selecting a device based on identification of the web server,

Appln. No. 14/025,109  
Reply to Office action of March 17, 2016

and is in particular silent regarding selecting a device based on the web server IP address or URL as recited in the claim.

Regarding claim 37 (Action page 7)

Garcia is silent regarding generally any server, and in particular regarding the first server, storing the client identifier as recited in the claim.

Regarding claim 38 (Action page 7)

Garcia is silent regarding the limitations recited in the claim. In particular, both Garcia and Samuels teaches ONLY cache devices receiving content from web servers, and ONLY providing stored content to client devices. Both Garcia and Samuels are silent, and actually teaches away, from receiving any content from a client device, as recited in element (k) in the claim.

Regarding claims 43-44 (Action page 9)

Garcia explicitly discloses selecting a SINGLE cache server ('best cache server' in the cited paragraph 0114). Garcia is silent selecting multiple devices as recited in the claim. The selection of any devices is performed by the in the Garcia reference by the Web Router 304/404 and NOT by the client device as recited in claim 44.

Regarding claim 45 (Action page 10)

Garcia is silent generally regarding any port number, and in particular regarding the client device sending a port number as recited in the claim.

Regarding claim 46 (Action page 10)

Appln. No. 14/025,109  
Reply to Office action of March 17, 2016

Garcia is silent generally regarding sending the web server identifier to any device, and in particular regarding the client device sending the web server identifier to the selected device.

Regarding claim 48 (Action page 11)

Garcia is silent generally regarding selecting any device using any criterion other than the client identification, and in particular regarding any selection that is based on any geographical location.

Regarding claim 49 (Action pages 11-12)

In addition to the arguments regarding claim 26 above, Garcia is silent generally regarding selecting any device using other than the client identification, Garcia is silent regarding any physical location of any network apparatus, and in particular regarding any selection that is based on any geographical location, as recited in element (d).

Regarding claim 51 (Action page 13)

Garcia is silent generally regarding any selecting of any device other than in a server in general, and in particular regarding any selection of device by the client device.

Appln. No. 14/025,109  
Reply to Office action of March 17, 2016

The absence of a reply to a specific rejection, issue, or comment, does not signify agreement with that rejection, issue, or comment. In addition, because the arguments made above may not be exhaustive, there may be reasons for patentability of any or all pending claims that have not been expressed.

Nothing in this reply should be understood as conceding any issue with regard to any claim, except as specifically stated in this reply, and the amendment of any claims does not necessarily signify concession of unpatentability to the claim before its amendment.

In view of the foregoing, it is requested that all of the rejections be reconsidered and withdrawn and that the claims be considered allowable.

If the above arguments should not now place the application in the condition for allowance, the examiner is invited to call undersigned counsel to resolve any remaining issues.

Respectfully submitted,

By           /Yehuda Binder/            
          Yehuda Binder  
          Registration No. 73,612

Tel: +972-54-4444577  
Fax: +972-9-7442619



## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	25937940
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	131926
<b>Filer:</b>	Yehuda Binder
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	HOLA-005-US2
<b>Receipt Date:</b>	01-JUN-2016
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	14:54:59
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Applicant Arguments/Remarks Made in an Amendment	Non-Final-OA-reply.pdf	278825 <small>b92d3439d9685c8f58c57719a7a53f5ce992b3f8</small>	no	8

### Warnings:

### Information:

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	NGUYEN, MINH CHAU

**U.S.PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	7970835	B2	2011-28-01	Xerox Corporation	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20080109446	A1	2008-05-08	Wang Matrix XIN	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2i</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2007280388	JP		2007-25-10	Xerox Corporation		
	2	1020090097034	KR		2009-15-09	KT Corporation		×

If you wish to add additional Foreign Patent Document citation information please click the Add button.

**NON-PATENT LITERATURE DOCUMENTS**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	NGUYEN, MINH CHAU

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	Notice of Preliminary Rejection in KR Application No. 10-2012-7011711 dated July 15, 2016	×

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	<input type="text"/>	Date Considered	<input type="text"/>
--------------------	----------------------	-----------------	----------------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)</b>	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	NGUYEN, MINH CHAU

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Yehuda Binder/	Date (YYYY-MM-DD)	2016-08-01
Name/Print	Yehuda BINDER	Registration Number	73612

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

(19) 日本国特許庁(JP)

(12) 公開特許公報(A)

(11) 特許出願公開番号

特開2007-280388  
(P2007-280388A)

(43) 公開日 平成19年10月25日(2007.10.25)

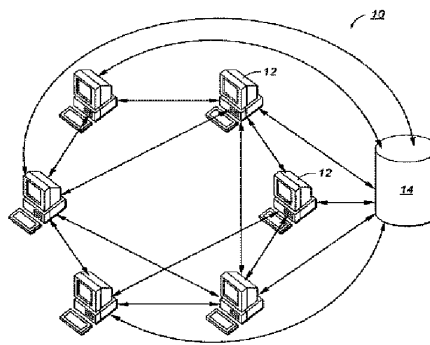
(51) Int. Cl.	F I	テーマコード (参考)
G06F 13/00 (2006.01)	G06F 13/00 351A	5B082
G06F 12/00 (2006.01)	G06F 12/00 545Z	5B089
	G06F 13/00 520D	

審査請求 未請求 請求項の数 4 O L (全 13 頁)

(21) 出願番号 特願2007-91867 (P2007-91867)  
 (22) 出願日 平成19年3月30日(2007.3.30)  
 (31) 優先権主張番号 11/397,163  
 (32) 優先日 平成18年4月4日(2006.4.4)  
 (33) 優先権主張国 米国(US)

(71) 出願人 596170170  
 ゼロックス コーポレイション  
 XEROX CORPORATION  
 アメリカ合衆国 コネチカット州 スタン  
 フォード、ロング・リッジ・ロード 80  
 O  
 (74) 代理人 100075258  
 弁理士 吉田 研二  
 (74) 代理人 100096976  
 弁理士 石田 純  
 (72) 発明者 ロバート ジェイ エスティー ジャック  
 ス  
 アメリカ合衆国 ニューヨーク フェアポ  
 ート エモリー ライズ 19  
 Fターム(参考) 5B082 HA05  
 5B089 JA11 KA06

(54) 【発明の名称】 ダウンロード可能データセグメントを使用するピアツーピアファイル共有システムおよび方法



[0001] 本発明は、ピアツーピアファイル共有システムおよび方法に関する。

[0002] ピアツーピア(P2P)ネットワークシステムにおいて、ファイルの完全コピーを有する1つ以上のピア(提供者)およびダウンロードすることによってファイルを取得することを望むその他のピア(利用者)の間でファイル

を共有する方法がある。利用者は、ファイルの全体または一部をダウンロードしてしまうと、ファイルを他の利用者に提供し始めることもある。このようにして、ピアは、ファイルをダウンロードおよびアップロードする「作業」をP2Pネットワークシステムにわたって分散する。ファイルのダウンロードは、最初のバイトから始まり、ファイル全体がダウンロードされるまで、最終バイトまで順次に進む。

[0003] 前述のピアツーピアファイル共有方法の主要な問題は、利用者が誰もファイルの完全なコピーを持たないことにある。したがって、提供者が使用できなくなった場合、利用者は、ダウンロードを続行するために、完全なファイルが利用できるようになるのを無期限に待ち続けなければならない。ファイルが再び使用可能になった場合、ダウンロードは、前回正常に取り出されたバイトのオフセットから開始する。

[0004] 問題は特に、全ファイルの完全なコピーを有するピアツーピアファイル共有システムのピアがほとんどいない場合に生じる。ファイルが大型ファイルである場合、問題はさらに悪化する。問題は、以下の例によって説明される。

[0035] 1. ピアAがピアツーピア(P2P)ネットワークシステムに接続し、File1.txt(1024バイト)をネットワークで使用できるようにする。

2. ピアBは、第1バイトから始めてファイルをピアAからダウンロードし始め、512バイトのデータを正常にダウンロードし、データの提供を開始する(この時点でピアは提供者でもあり利用者でもある)。

3. ピアCは、第1バイトから始めてファイルをピアAからダウンロードし始め、256バイトのデータを正常にダウンロードする。

4. ピアAはネットワークから切断する(あるいは、File1.txtの提供を中断する)。

5. ピアCは、ピアBからのデータのダウンロードを続行し、さらに256バイトのデータを正常にダウンロードする(合計512バイト)。

[0005] この時点において、ピアBは、ピアAがネットワークから切断する前に最初の512バイトをダウンロードすることしかできないので、File1.txtの後半の512バイトのコピーを有する提供者はP2Pネットワークシステム上にいないことになる。ピアBおよびピアCはいずれも、完全なファイルが使用可能になるのを無期限に待つ必要がある。他の利用者がP2Pネットワークに接続してファイルを要求した場合、ピアBおよびピアCは、ダウンロードされたファイルの部分コピーを他のピアに提供することができるが、ファイルの完全コピーを持つ提供者がP2Pネットワークに接続するまでは、利用者は誰もファイルをダウンロードすることができなくなり、データ転送の進行を事実上停止させる。

[0006] ピアは多くの場合、ファイルの完全コピーが可能な限り長時間P2Pネットワークシステム上に確実にとどまるようにするため、ファイルのダウンロード後に「厚意」としてしばらくの間ファイルを引き続き提供することが期待される。多くの場合、P2Pネットワークシステム上で使用可能なファイルの完全コピーはほとんどない、これはつまり、提供者が切断した場合、P2Pネットワークシステム全体のファイルの可用性を損なうということである。

[0037] 以上のことから、先行技術のP2Pネットワークシステムに関連する問題を克服するピアツーピアファイル共有のシステムおよび方法の必要性がある。

[0008] 本開示によれば、P2Pネットワークシステム内のピアが不確定の順序で大型ファイルのセグメントをダウンロードして、それらをデータベースに格納し、それらのセグメントをP2Pネットワークシステム内の他のピアが使用できるようにする、ピアツーピアファイリング共有のシステムおよび方法が提供される。P2Pネットワークシステム内の少数のピアのみがいつでもファイルの完全コピーを有することができる可能性を考慮すれば、完全なファイルからの異なるセグメントのサブセットをそれぞれ有する潜在的に多数のピアは、即座にセグメントを他のピアが使用できるようにすることができる。

[0038] 十分な数のピア、および適度な時間を与えられれば、たとえ元の提供者がP2Pネットワークシステムから切断するか、または何らかの他の理由により使用不可能になったとしても、ファイルの完全コピーが存在し、P2Pネットワーク全体を通じて配布される可能性は極めて高い。これにより、それぞれファイルの不完全なコピーを持つ残りのピアは、ファイルのさらに完全なコピーを作成するためにファイルのセグメントを引き続き交換することができる。たとえ使用可能なセグメントのスーパーセットが完全なファイルではない場合であっても、さまざまなピアは、元のファイルのソースが利用できなくなった後に引き続きデータを交換することができ、(最終的にすべてのピアがセグメントの同一セットを有するまで)各ピアでファイルのさらに完全なコピーを作成することができるようになる。



[0039] 本開示によれば、ピアツーピアファイル共有システムは、P2Pネットワークシステム向けに提供される。ファイル共有システムは、ピアツーピアファイリング共有方法を実行するためのプログラマブル命令のセットを実行する複数のピアを有するネットワークおよびプロセッサを含む。方法は、ネットワークの複数のピアのうちの少なくとも1つのピアにファイルの少なくとも1つのセグメントを要求するステップと、少なくとも1つの要求されたセグメントの少なくとも一部を少なくとも1つのピアに提供するステップとを含む。システムはさらに、ファイルの少なくとも1つのセグメントを格納するデータベースを含む。

[0040] 本開示はさらに、ピアツーピアファイリング共有の方法を提供する。方法は、ピアによってネットワーク上の複数のピアのうちの少なくとも1つのピアにファイルのセグメントを要求するステップと、要求されたセグメントの少なくとも一部をネットワーク上の複数のピアのうちの少なくとも1つのピアによってピアに提供するステップとを含む。方法はさらに、ピアによって要求されたセグメントの少なくとも一部をダウンロードするステップと、ダウンロードされたセグメントの少なくとも一部をデータベースに格納するステップとを含む。要求されたセグメントは、要求されたセグメントの長さを指定する表記によって識別される。表記は(x, y)であり、ここでxは要求されたセグメントが開始するオフセットを指定し、yは要求されたセグメントの長さを指定する。

[0012] 方法はさらに、元のソースからまだ取り出されていないセグメントを判別するためにデータベースをスキャンすることによりピアによって要求されたセグメントを選択するステップと、判別されたセグメント内からのランダムオフセット、およびランダムオフセットと判別されたセグメントの終点との間のランダム長さを選択するステップとを含む。

[0013] 本開示によれば、データセグメントが、ファイル、特に大型ファイルのさらに完全なコピーを作成するためにP2Pネットワークシステムの2つ以上のピア間で共有または交換できるようにする、ダウンロード可能なデータセグメントを使用するピアツーピアファイル共有のシステムおよび方法が提供される。

[0014] ここで、図1および図3を参照すると、本開示による模範的なP2Pネットワークシステムおよび方法のブロック図がそれぞれ示される。全体を通して参照番号10によって指定されている本開示のP2Pネットワークシステムは、6つのピア12、および6つのピア12の各々と通信するデータベース14を含む。各ピア12は、本開示による方法を実行するための少なくとも1つのプロセッサを含む。

[0015] 少なくとも1つのプロセッサは、本開示による方法を実行するための本明細書で以下に説明される機能およびステップを実行する。特に、少なくとも1つのプロセッサは、本開示によるシステムおよび方法の機能を実行するための一連のプログラマブル命令を有するアプリケーションソフトウェアを実行する。アプリケーションソフトウェアは、CD-ROM、DVD、ハードドライブ、およびディスクケットなどのコンピュータ可読媒体に格納されうる。

本開示によるピアツーピアファイル共有のシステムおよび方法は、ファイルの完全コピーを有する別のピア12が使用不可になるか、または使用不可状態にある場合、ファイルのダウンロードを試みて別のピア12を無期限に待たなければならないというピア12の問題を解決するか、または少なくとも問題を大幅に軽減する。本開示によるシステムおよび方法は、ファイルがP2Pネットワークシステム10のピア12間で共有または転送される方法を変える。

本開示によれば、ピア12（利用者）は、望ましいファイル内のさまざまなオフセットから選択されたセグメントを要求する（セグメントを選択するメカニズム（たとえば、ファイル内のオフセット、および各セグメントの長さ）は本明細書において以下で詳細に説明される）。セグメントは、各ピア12にローカルなデータベース14に保存され、ピア12（提供者）は直ちに、これらのセグメントを関心のある利用者へ提供し始める。セグメントはファイル全体にわたるオフセットから選択されるので、たとえ元の提供者がP2Pネットワークシステム10から切断した場合であっても、ファイルの完全（またはほぼ完全な）コピーが残りの利用者間に存在する可能性ははるかに高くなる。利用者は、各利用者が使用可能なセグメントのスーパーセットのコピーを持つまで、ファイルのセグメントを引き続き交換することができる。たとえ各利用者がファイルの完全コピーを有していない場合であっても、ダウンロードの順次的な特性を考慮すれば、従来のP2Pネットワークシステムで可能となるよりもはるかに多くのファイルの部分の有する可能性が高い。

セグメントは、表記（オフセット、長さ）を使用して例に示される。たとえば、(256, 512)はオフセット256で開始してオフセット512で終了するセグメントではなく、オフセット256で開始して長さが512単位のセグメントを示す。最も一般的に使用されるデータ転送の測定単位はバイトであるが、本明細書に説明されるメカニズムは、任意の測定単位に適用されうる（たとえば、ビット、バイト、ワードなど）。

[0019] 以下の例は、本開示によるシステムおよび方法の動作を説明する。

1. ピアAがP2Pネットワークシステム10に接続し、File 2.txt（1024バイト）をP2Pネット

ワークシステム10で使えるようにする。

2. ピアBは、ピアAからファイルをダウンロードし始め、(512、512)のセグメントを正常に取得する。
3. ピアCは、ピアAからファイルをダウンロードし始め、(0、256)のセグメントを正常に取得する。
4. ピアAはP2Pネットワークシステム10から切断する(あるいは、File2.txtの提供を中断する)。
5. ピアBは、ピアCからファイルをダウンロードし始め、(0、256)のセグメントを正常に取得する(ここでピアBはファイルの2つのセグメント(0、256)および(512、512)を有する)。
6. ピアCは、ピアBからファイルをダウンロードし始め、(512、512)のセグメントを正常に取得する(ここでピアCはファイルの2つのセグメント(0、256)および(512、512)を有する)。

[0020] この時点で、P2Pネットワークシステム10にファイルの完全なコピーを有する提供者はいないので(セグメント(256、256)が欠落)、この例は理想的なシナリオを提供しないが、ピアBおよびピアCは他の場合に比べてファイルの大きい部分を有し、背景技術のセクションに示されている例における同等のピアと全く同じバイト数を各々ピアAから転送されているにもかかわらず、各ピアに使用可能なファイルの75%をもたす。

[0042] 本開示によるシステムおよび方法を使用すれば、前述の例によって分かるように、元の提供者がP2Pネットワークシステム10から切断された後にピア12がファイルのセグメントを引き続き交換し、ピア12がそれぞれデータの異なるセグメントを有するので、File1.txtの全体的な配布は改善されている。さらに重要なことに、任意の提供者がセグメントを欠落した状態でP2Pネットワークシステム10に接続した場合(必ずしも完全なファイルでなくてもよい)、すべてのピア12は欠落したセグメントを交換して、各ピア12においてファイルの完全コピーを作成することができる。P2Pネットワークシステム10のすべての使用可能なセグメントのスーパーセットがファイルの完全コピーを含む限り、すべてのピア12が作業を続行するため、いずれのピア12もファイル全体を有する必要はない。このことは、すべてのピアがファイルにアクセスするために、ファイルの完全コピーがP2Pネットワークシステム上のどこかに存在する必要があるという点において、従来のP2Pネットワークシステムとは異なる。

[0022] これは、ほんの(比較的)短期間のみ(各セグメントが少なくとも1つのピアによってダウンロードされるまで)完全なファイルを使用可能にするために、各ピアがダウンロードするファイルのセグメントがインテリジェントに選択され、元の提供者が切断できるようにするか、またはP2Pネットワークシステム10に悪影響を及ぼすことなくファイルの提供を停止できるようにする場合に可能である。ピア12の数が増加すれば、それに応じて、ファイルの完全コピーを含むことが必要とされる提供者の数は減少する。

[0023] データセグメントは、オフセットおよび長さを使用して定義されたファイルまたはドキュメントのコンテンツの順次的サブセットである。オフセットは、セグメントの開始するファイル内の位置を示す(たとえば、「0」のオフセットはファイル内の1番目のバイトを参照し、「99」のオフセットはファイル内の100番目のバイトを参照する)。長さは、オフセットから開始するセグメント内のデータの量を示す(たとえば、「0」のオフセットおよび「5」の長さは、ファイル内のバイト0、1、2、3、および4を参照する)。前述のように、セグメントは本明細書において、表記(オフセット、長さ)を使用して表される。

[0046] 図1に示されるように、「純粋な」P2Pネットワークシステムにおいて、システムおよび使用可能なリソースに関する情報を収集する中央データリポジトリまたはサーバーはない。そのようなシステムにおいて、ピアは、要求をネットワークに同報通信して応答を待つことにより通信する必要がある。利用者はそのような同報通信メッセージを使用して、望ましいリソースのP2Pネットワークシステムに要求を発行し、提供者は、要求されたリソース内のデータの少なくとも一部を提供できる時、かつそのときに限り応答する。提供者は、同様のメカニズムを使用して使用可能なリソースを通知し、利用者は、リソース内のデータの少なくとも一部を要求するとき、かつそのときに限り応答する。

[0048] その他のP2Pネットワークシステムは、サーバーを使用する(ローカルリソースを追跡してその他のリソースの要求をドメインネームシステムが機能する方法と同様の階層まで転送する中央に位置する「トラッカー」または分散サーバー)。そのようなシステムにおいて、ピアは、P2Pネットワークシステム10に接続する際に同報通信要求を発行してトラッカーを発見することができる。そのような要求には、トラッカーのみが応答する(その他のピアは要求を完全に無視する)。提供者は、トラッカーを発見すると、使用可能なリソースをトラッカーに通知する。利用者は、リソースの要求をトラッカーに直接発行し、トラッカーは、要求されたリソースを転送することができる1つ以上の提供者で応答する。

[0026] P2Pネットワークシステム10上の任意のピアがトラッカーの役割を引き受けるように選択することが可能で

あるが、トラッカー、提供者、および利用者の役割は論理的に別個であると見なされる。

[0027] 利用者は、多くの方法でセグメントを選択することができるが、そのうちのいくつかが本明細書において説明される。どのような場合でも、利用者が一度に大量のデータをダウンロードしようと試みることを防ぐため、セグメントの最大長は比較的小さい数値に制限することが推奨される。セグメントが小さくなれば、それに応じて、提供者が利用できなくなる前に利用者がセグメント全体を取り出すことができる可能性も高くなる（ただし、以下に次のサブセクションで説明されるように、利用者は部分的セグメントを容易に処理することができる）。

[0050] 利用者は、完全にランダムなセグメントを選択することができる。利用者は、まだ取り出されていない任意のセグメントをランダムに選択することによって（これはローカルデータベースをスキャンすることにより行うことができる）、これを行う。欠落しているセグメントは、すでに取り出されているセグメント間のバイトの全範囲であると思われ、利用者がまだセグメントを取り出していない場合にはファイル全体を含むことができる。欠落セグメントが見つげ出されると、利用者はセグメント内からのランダムオフセット、オフセットとセグメントの終点との間のランダム長さをを選択する。

利用者は、固定長のランダムなセグメントを選択することができる。利用者は、固定長を選択することにより（これは転送を開始する前、または構成可能プロパティを通じてランダムに決定される）、これを行う。次に利用者は、以下の計算を行う。

セグメントの数 = 合計長 / セグメント長

オフセット = ランダム (セグメントの数) \* セグメント長

もちろん、利用者は、まだ取り出されていないセグメントに対するオフセットが見い出されるまでランダムオフセット選択を繰り返す必要がある。提供者はセグメントの一部のみを自由に転送することができ、利用者はセグメント全体を提供しない提供者を自由に選択することに留意することは重要である。この場合、固定長のランダムセグメントを選択する利用者は、部分的セグメントを一時的にバッファに入れ、セグメントをローカルデータベースに格納する前に、全セグメントが転送されるまで残りのセグメントの後続の要求を発行する必要がある。

[0031] 最後に、利用者は、受け入れ可能なセグメントを示すフィルタを使用して、セグメントを要求することができる。フィルタは、まだ利用者に転送されていないセグメントを表すバイト範囲のサブセットを指定すべきであり、通常はセグメントのリスト { (オフセット、長さ)、(オフセット、長さ)、... } によって表される。提供者は、リスト内の任意のセグメントのサブセグメントを記述する任意のオフセットおよび長さを自由に選択することができ、それを利用者に提供するよう提案する。これについては、以下で詳細に説明される。

[0032] セグメントが利用者によって転送するように選択されると、クエリーが同報通信の形式で P2P ネットワークシステム 10 に発行されるか（「純粋な」 P2P ネットワークシステムにおいて）、またはトラッカーへの要求が発行される必要がある。同報通信は、セグメントのパラメータ（オフセットおよび長さ、または受け入れ可能なデータセグメントを記述するフィルタ）を含む必要がある。P2P ネットワークシステム 10 上のピア 12 は、セグメント内のデータの少なくとも一部を提供できるとき、かつそのときに限り応答する。応答は、セグメントのオフセット、および使用可能な長さ（利用者によって要求された長さより小さいかまたはそれと等しい）を含む。

[0033] 利用者は、最初に応答する提供者を選択することも、または短時間待機して最善の提供者を選択するように選択することもできる。論理的には、最善の提供者は、要求されたセグメント全体を提供できる、最初に応答する提供者である。最初に応答する提供者は、要求を処理するための最多のリソースおよび/または最小のネットワーク待ち時間を備える可能性が高い。提供者のいずれもセグメント全体を提供することができない場合、利用者は、セグメントの多くを提供できる最初の提供者を論理的に選択する。どの提供者も応答しない場合、利用者は、同じセグメントまたは新しく選択されたセグメントに対する新しい要求をサブミットする前に、ある期間待機するように選択することもできる。

[0034] 利用者が提供者を選択すると、利用者は、オフセットおよび長さを含む望ましいセグメントの提供者に要求を直接送信する。ピア間の接続が確立されると、利用者はセグメントをダウンロードし、それを一時バッファに流し込む。転送が完了すると、利用者はセグメントをローカルデータベースに書き込む。

[0035] 転送が失敗した場合、利用者は要求を再発行することができる。高い失敗率を持つ提供者は、利用者によって（一時的または恒久的に）ブラックリストに掲載され、ある期間にわたりその提供者からの応答を完全に無視する、または可能であればいつでも単に他の提供者を使用するように、利用者が選択できるようになっている。利用者は、部分的に正常な転送を、より小さいデータセグメントの正常な転送として処理し、部分的セグメントをローカルデータベースに格納して、セグメントの残り（または全く新しいセグメント）に対する新しい要求を発行すること

ができる。

[0036] 利用者がセグメント（全体または部分）を正常に転送し、（チェックサムまたは他の何らかの検証のメカニズムを介して）随機的にセグメントの健全性を検証すると、セグメントはデータベース14に格納される。データベース14の実装については確定していないが、単に、各ファイルに格納されたセグメントを識別するために使用されるフラットファイルのセットおよびマニフェストを含むディレクトリであってもよい。これはまた、より堅固であってもよい（各セグメントを表す行、および各行内にBLOBとして格納されたバイナリセグメント自体を備えるテーブルを含むOracle（商標）データベースなど）。利用者は、セグメントの提供をより容易にするため、順次セグメントが格納されるときにこれらを連結する必要がある。利用者は、（セグメントが取り出される際に）同期的または非同期的に連結を実行するように選択することができる。連結が非同期的に実行される場合、（連結が実行中であることを示すために）セグメントはデータベース内でマーク付けされる必要があり、マーク付けされたセグメント内のデータの要求は、連結が完了するまで遅延される必要がある。そのような遅延された要求は、利用者に別の提供者を選択させる場合もあるが、これは利用者が連結にリソースを浪費している場合に適切である。

[0037] 少なくともデータの一部分がローカルに使用可能な特定のセグメントに関するクエリを受け取る提供者は、オフセット、および要求されたセグメントの長さまでの（ただしこれを超えない）長さを含むメッセージで応答する必要がある。利用者がデータセグメントの要求で応答した場合、提供者は、利用者との接続を確立して、セグメント内のデータのアップロードを開始する必要がある。提供者は、どのセグメントがどの利用者に転送されたかに関する別個のデータベースを保持するように選択することもできる。特定の利用者への複数の転送が失敗した場合、提供者はその利用者を一時的にブラックリストに掲載し、他のより信頼できるピアによりよく対応できるときに、信頼できないピアへのデータ転送を試みてリソースを無駄にしないようにすることができる。

[0038] 提供者はまた、利用者が必要とするデータセグメントを記述するフィルタを含むクエリを受け取ることもできる。提供者は、フィルタによって受け入れられる要求されたリソースから現在使用可能である任意のセグメントの任意の長さでクエリに自由に応答することができる。提供者は、P2Pネットワークシステム10で使用可能なセグメントのスーパーセットがファイル全体のコピーを含む可能性を高めるためにあまり頻繁にはアップロードされていないセグメントを選択するため、提供者または他の利用者へ送信されたセグメントに関する統計を使用することができるが、これは必須ではない。提供者はまた、ランダムにセグメントを選択することも、または単にセグメントを順次アップロードすることもできる。提供者は、フィルタによって受け入れられる任意のセグメントを全く自由に選択することができる。

[0039] 標準的なP2Pファイル転送システムにおいて、リソースから最初のデータがクライアントに正常にダウンロードされたら直ちにリソースの提供を開始することは、利用者の礼儀であると思なされる。この期待は、本開示によるP2Pネットワークシステム10にまで及ぶ。多くのそのようなP2Pネットワークシステムにおいて、リソース全体が利用者に転送された後に一定の時間リソースを引き続き提供することも、礼儀であると思なされる。このことは、リソースの1つ以上の完全コピーがいつでもP2Pネットワークシステム10において使用可能なセグメントのスーパーセット内に存在する可能性がより高いため、本開示によるP2Pネットワークシステム10においてはそれほど重要ではない。

[0040] これ以降、ランダムに選択された固定長のセグメントを使用してデータを交換する少数のピア12を含む流れの例について説明される。この例示のために、すべてのデータは、完全バイトの単位で転送される。セグメントは、表記（オフセット、長さ）を使用して定義される（たとえば、（0、256）はオフセット0で始まり、256バイトのデータを含むセグメントである）。フィルタは、セグメントのリストとして指定される（たとえば〔（0、256）、（512、256）〕）、フィルタによって指定されているセグメント内のデータのサブセットを含む任意のセグメントはフィルタによって受け入れられる）。

1. ピアAはP2Pネットワークシステム10に接続し、1024バイトのデータを含むファイルであるFile 1.txtの提供を開始する。ピアAは、File 1.txtの送信元ソースである。
2. ピアBはP2Pネットワークシステム10に接続し、File 1.txtのセグメント（0、256）に対する同報通信クエリを発行する。
3. ピアAは、全セグメントを提供できることを示してクエリに応答する。
4. ピアBは、セグメント（0、256）の要求を直接ピアAに発行する。ピアAは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。
5. ピアCがP2Pネットワークシステム10に接続し、File 1.txtのセグメント（512、256）に対する同報通信クエリを発行する。

6. ピアBはクエリーを無視する。セグメント(512、256)は使用可能ではない。ピアAは、全セグメントを提供できることを示してクエリーに応答する。

7. ピアCは、セグメント(512、256)の要求を直接ピアAに発行する。ピアAは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。

8. ピアBは、フィルタ{(256、768)}と一致する任意のセグメントに対するクエリーを同報通信する。

9. ピアAは、セグメント(256、256)を提供できることを示してクエリーに応答する。ピアCは、セグメント(512、256)を提供できることを示して要求に応答する。

10. ピアBは、セグメント(512、256)の要求を直接ピアCに発行する。ピアCは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。

11. ピアCは、フィルタ{(0、512)、(768、256)}と一致する任意のセグメントに対するクエリーを同報通信する。

12. ピアAは、セグメント(768、256)を提供できることを示してクエリーに応答する。ピアBは、セグメント(0、256)を提供できることを示してクエリーに応答する。

13. ピアCは、セグメント(768、256)の要求を直接ピアAに発行する。ピアAは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。ピアCは、セグメント(512、256)および(768、256)の単一のセグメント(512、512)への連結を開始する。

14. ピアBは、フィルタ{(256、256)、(768、256)}と一致する任意のセグメントに対するクエリーを同報通信する。

15. ピアAは、セグメント(256、256)を提供できることを示してクエリーに応答する。ピアCは、セグメント(768、256)を提供できることを示して応答する。

16. ピアBは、セグメント(256、256)の要求を直接ピアAに発行する。ピアAは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。ピアBは、セグメント(0、256)、(256、256)、および(512、256)の単一のセグメント(0、768)への連結を開始する。

17. ピアAはP2Pネットワークシステム10から切断する。ここで、File1.txtの完全コピーを提供するピア12はない。

18. ピアCは、フィルタ{(0、512)}と一致する任意のセグメントに対するクエリーを同報通信する。

19. ピアBは、セグメント(256、256)を提供できることを示してクエリーに応答する。

20. ピアCは、セグメント(256、256)の要求を直接ピアBに発行する。ピアBは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。ピアCは、セグメント(256、256)および(512、512)の単一のセグメント(256、768)への連結を開始する。

21. ピアBは、セグメント(768、256)に対するクエリーを同報通信する。

22. ピアCは、セグメント(768、256)を提供できることを示してクエリーに応答する。

23. ピアBは、セグメント(768、256)の要求を直接ピアCに発行する。ピアCは、セグメントをピアBに転送する。ピアBは、セグメントをデータベース14に格納する。ピアBは、セグメント(0、768)および(768、256)の、完全なFile1.txt(1024バイトのデータを含むファイル)への連結を開始する。

24. ピアCは、セグメント(0、256)に対するクエリーを同報通信する。

25. ピアBは、セグメント(0、256)を提供できることを示してクエリーに応答する。

26. ピアCは、セグメント(0、256)の要求を直接ピアBに発行する。ピアBは、セグメントをピアCに転送する。ピアCは、セグメントをデータベース14に格納する。ピアCは、セグメント(0、256)および(256、768)の、完全なFile1.txt(1024バイトのデータを含むファイル)への連結を開始する。

[0041] この時点において、ピアBまたはピアCのいずれか一方がファイルの完全コピーをダウンロードする前に、ステ

ップ18においてピアAがP2Pネットワークシステム10から切断したという事実にもかかわらず、ピアBおよびピアCはいずれもFile1.txtの完全コピーを有する。この例は、リソースの完全コピーがP2Pネットワークシステム10から除去された後にファイル転送が継続し、すべてのピア12が完全コピーを取得することができるという理想的な状況を示している。図2は、データ転送を説明する。セグメントは最初、セグメントが転送されたピア12を表すために網掛けされる。

- [0042] この例は、本開示によるダウンロード可能データセグメントを使用するP2Pファイル転送のシステムおよび方法の利点を説明する。ピアAがネットワーク10から切断すると、ピアBおよびピアCは引き続き情報を交換し、断片をまとめてネットワーク10上で使用可能なセグメントのスーパーセットからのファイルの完全コピーを作成することができる。
- [0043] 従来のP2Pネットワークシステムにおいて、ピアAがシステムからFile1.txtを削除すると、残りのピアは、ピアAまたは別の提供者がリソースの提供を継続するのを（無期限に）待つことを強いられる。一部の従来のP2Pネットワークシステムにおいて、この待機は数日、数週間に及ぶ可能性もあり、（最悪の場合）リソース全体が2度と使用可能にならないこともある。
- [0044] 現在説明されているシステムおよび方法には、他にも利点がある。前述の例において、ピアAは、他のすべてのピアが取得する必要のある極めて重要なリソースを取得する最初のピアである。P2Pネットワークシステム内の複数のピアが大容量のソフトウェアパッチを取得する必要があるシナリオを想定されたい。単一のピアが、ファイアウォール経由で接続してインターネットからパッチをダウンロードすることを許可される。パッチはここで、P2Pネットワークシステム上の残りのピアに配布される必要がある。このダウンロードはネットワーク内のピアごとに1回しか発生しない。それはつまり、ピア間の今後の配布は最小限となり、このため利用者間でパッチを配布できる従来のP2Pシステムの能力を活用することは最低限に抑えられるということである。
- [0045] そのような従来のP2Pネットワークシステムにおいて、データ転送はデータの第1バイトから開始し、ファイルの終わりまで順次進行する。ごく少数の提供者が完全なファイルにアクセスすることができ、大多数の利用者すべてがほぼ同じ速度でほとんど同時に開始してファイルをダウンロードしている場合、提供者に膨大なストレスをもたらす結果になる（印刷装置の状態の監視または診断の実行など、ピアが厳密にはファイル処理に関連しない作業を実行するためにリソースを使用することが予期される場合、潜在的に破壊的なシナリオ）。利用者がすべて、同時にファイルのほぼ同じ部分をダウンロードしたということはつまり、利用者間の共有はほとんど発生しえない、またはほとんど発生しないということである。
- [0046] しかし、本開示によるシステムおよび方法において、利用者はそれぞれファイルの異なる部分をダウンロードし、それぞれのピアとの別個のセグメントの交換を直ちに開始して、元のコンテンツ提供者のストレスを取り除くことができる。
- [0047] 図3は、前述の流れの例と類似した単一の利用者のイベントの流れを概説する。図3によって示される流れにおいて、利用者は、接続し、単一のファイル（または以前開始されたファイルの残り）をダウンロードし、次いでP2Pネットワークシステム10から切断する。ステップ300において、利用者はP2Pネットワークシステム10に接続し、ステップ302においてダウンロードするセグメントを選択する。ステップ304において、利用者は、選択したセグメントを要求してP2Pネットワークシステム10内の他のピアにクエリーを同報通信する。
- [0048] ステップ306において、提供者がクエリーに応答するかどうかは判別される。応答しない場合、利用者はステップ308において短時間待機してから、ステップ302において、同じセグメントまたはダウンロードする別のセグメントを選択する。応答する場合、利用者は、クエリーに応答した少なくとも1つの提供者に要求を送信する。ステップ312において、利用者は提供者からの着信接続を受け入れ、ステップ314において、利用者はセグメントをバッファにダウンロードする。ダウンロードされたセグメントは次に、ステップ316において利用者によってデータベース14に保存される。
- [0049] ステップ318において、ダウンロードされたセグメントに隣接するセグメントがデータベース14内に存在するかどうかは判別される。存在しない場合、ステップ320において、ファイルが利用者に完全に転送またはダウンロードされたかどうかは判別される。応答する場合、利用者は、ステップ322において、P2Pネットワークシステムから切断する。ステップ318においてダウンロードされたセグメントに隣接するセグメントがあると判別された場合、ファイルが利用者に完全に転送されたかどうかはステップ320において判別される前に、利用者はステップ324においてセグメントを連結する。ステップ320において、ファイルが利用者に完全に転送されていないことが判別された場合、プロセスは、ファイルの別のセグメントが転送またはダウンロードされるように利用者が選択するステップ302に戻る。
- [0050] 上記で開示されているさまざまな特徴および機能、あるいはそれらの代替は、他の多くのさまざまなシステムま



をさらに含むことを特徴とする、システム。

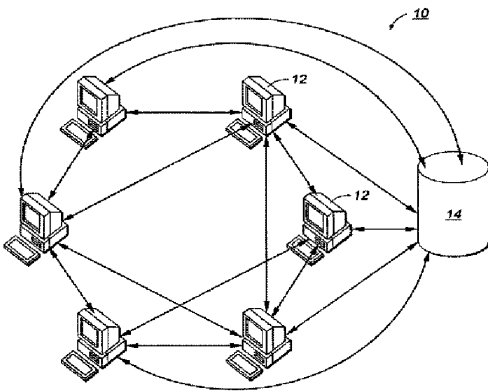
(57) 【要約】

【課題】 先行技術のP2Pネットワークシステムに関連する問題を克服するピアツーピアファイル共有のシステムおよび方法の必要性がある。

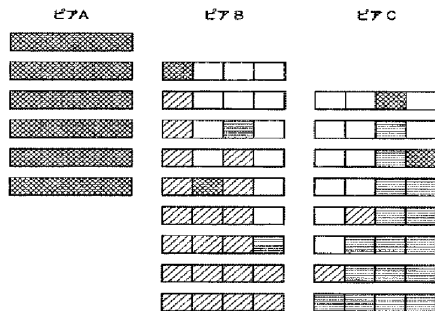
【解決手段】 ピアツーピアファイリング共有システムは、複数のピア12を有するネットワーク10を備える。また、ピア12は、ネットワークの複数のピア12のうちの少なくとも1つのピア12にファイルの少なくとも1つのセグメントを要求するステップと、少なくとも1つの要求されたセグメントの少なくとも一部を少なくとも1つのピア12に提供するステップと、を含むピアツーピアファイリング共有の方法を実行するためのプログラマブル命令のセットを実行するプロセッサを備える。

【選択図】 図1

【図1】

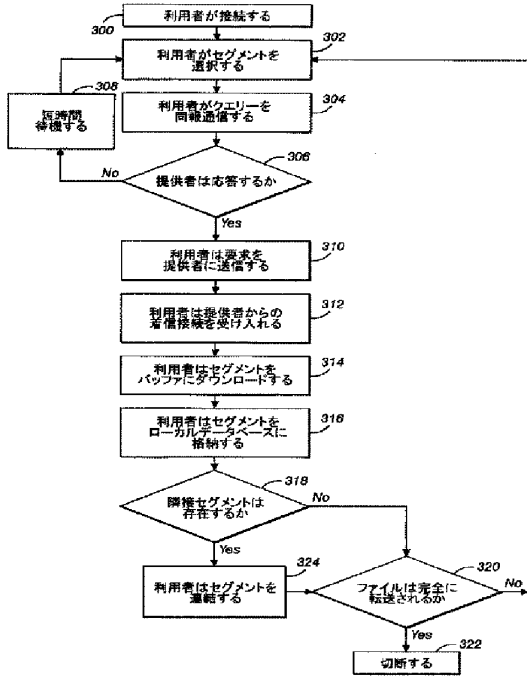


【図2】






【図 3】



공개특허공보 제10-2009-0097034호(2009.09.15.) 1부.

공개특허 10-2009-0097034

	(19) 대한민국특허청(KR)	(11) 공개번호	10-2009-0097034
	(12) 공개특허공보(A)	(43) 공개일자	2009년09월15일
(51) 국제특허분류(Int. Cl.)	H04L 12/24 (2006.01) H04L 12/26 (2006.01) H04L 12/28 (2006.01)		
(71) 출원인	주식회사 케이티		
(21) 출원번호	10-2008-0022180	(72) 발명자	송현선
(22) 출원일자	2008년03월10일		경기 성남시 분당구 정자동 206
심사청구일자	없음	(74) 대리인	유미특허법인
			경기 성남시 분당구 분당동 셋별마을우방아파트 306동1401호
			권순중
			서울 서초구 우면동 5 수목빌라 201호

전체 청구항 수 : 총 12 항

(54) 발명의 명칭 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템

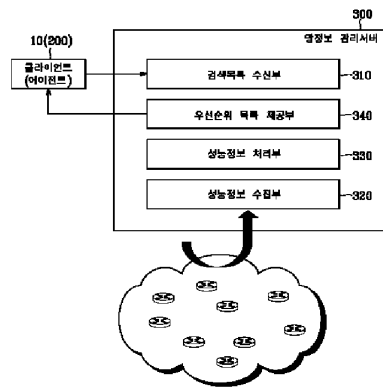
(57) 요약

본 발명은 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템에 관한 것이다.

이러한 본 발명에 따르면 네트워크의 토폴로지정보와 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리 서버가 클라이언트로부터 요청 정보를 갖는 다수의 피어들에 대한 우선순위 목록 요청을 수신하면, 상기 우선순위 목록 요청을 분석하여 클라이언트의 IP 주소와 상기 다수의 피어들의 IP주소를 추출한다. 그리고 상기 클라이언트로부터의 요청 기준에 따라 선정된 피어 순으로 우선순위 목록을 생성하여 상기 클라이언트로 전송한다.

이로써 망정보 관리서버를 통하여 클라이언트에 대한 최적 피어들을 선정하고, 이를 상기 클라이언트에 제공함으로써 네트워크내 P2P 트래픽과 네트워크 사업자간을 통과하는 P2P 트래픽량을 줄일 수 있으며, 이를 통하여 효율적으로 망 자원을 활용하는 효과가 있다.

대표도 - 도2



특허청구의 범위

청구항 1

서비스 서버로부터 분할된 데이터를 다수의 피어들에게 중복하여 배포하고, 상기 다수의 피어들에게 저장된 분할된 데이터를 관리하며, 클라이언트로부터 소정 정보의 요청시 상기 소정 정보의 분할된 데이터를 가지는 피어 목록을 생성하는 에이전트; 및

네트워크의 토폴로지정보와 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하며,

상기 망정보 관리서버는,

상기 피어 목록 중에서 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 제공하는 것을 특징으로 하는 피어 선정 시스템.

청구항 2

제 1 항에 있어서,

상기 망정보 관리서버는,

상기 클라이언트 혹은 에이전트로부터 상기 우선순위 목록 요청을 수신하는 검색 목록 수신부;

상기 클라이언트와 피어 목록에 저장된 피어들 간의 소정 기준에 따른 홉 카운트 정보, 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 성능정보 수집부;

상기 성능정보에 기초하여 상기 클라이언트로부터의 우선 피어를 선정하고, 상기 선정 결과에 따라 우선순위 목록을 생성하는 성능정보 처리부; 및

상기 생성된 우선순위 목록을 상기 클라이언트 혹은 에이전트로 전송하는 우선순위 목록 제공부를 포함하는 피어 선정 시스템.

청구항 3

제 2 항에 있어서,

우선순위 목록 요청은,

상기 클라이언트의 IP 주소와 상기 피어 목록을 포함하며, 상기 피어 목록에는 상기 클라이언트가 요청한 정보를 갖는 상기 피어들의 IP 주소들이 저장된 것을 특징으로 하는 피어 선정 시스템.

청구항 4

제 3 항에 있어서,

상기 성능정보 처리부는,

상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 비교하여 상기 클라이언트로부터 인접한 피어를 선정하는 것을 특징으로 하는 피어 선정 시스템.

청구항 5

제 4 항에 있어서,

상기 성능정보 처리부는,

상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 측정하여 소정 홉 수를 초과하는 피어를 상기 우선순위 목록에서 제외하는 것을 특징으로 하는 피어 선정 시스템.

청구항 6

네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버가 피어를 선정하는 방법에 있어서,

- a) 클라이언트로부터 소정 정보를 갖는 다수의 피어들에 대한 우선순위 목록 요청을 수신하는 단계;
- b) 상기 우선순위 목록 요청을 분석하여 클라이언트의 IP 주소와 상기 다수의 피어들의 IP주소를 추출하는 단계; 및
- c) 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 전송하는 단계를 포함하는 피어 선정 방법.

청구항 7

제 6 항에 있어서,

상기 a) 단계 이전에,

상기 클라이언트가 다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트로부터 자신이 요구한 소정 정보를 저장하고 있는 피어 목록을 수신하는 단계를 더 포함하는 피어 선정 방법.

청구항 8

제 6 항 또는 제 7 항에 있어서,

상기 b) 단계는,

상기 클라이언트의 IP 주소와 피어들의 IP 주소를 토대로 상기 클라이언트와 각 피어 간의 홉 카운트 정보, 링크 속도 정보, 전송 지연 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 단계를 포함하는 피어 선정 방법.

청구항 9

제 8 항에 있어서,

상기 우선순위 목록은,

상기 성능정보의 각 기중치의 합에 기초하여 선정되는 것을 특징으로 하는 피어 선정 방법.

청구항 10

다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트와 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하는 피어 두 피어 통신 시스템이 클라이언트에 대한 피어를 선정하는 방법에 있어서,

- a) 상기 에이전트가 클라이언트로부터 소정 정보의 요청을 수신하고, 상기 소정 정보를 저장하고 있는 피어들을 검색함으로써 생성된 피어 목록을 상기 클라이언트로 송신하는 단계;
- b) 상기 망정보 관리서버가 상기 에이전트로부터 상기 피어 목록에 따른 우선순위 목록 요청을 수신하는 단계; 및
- c) 상기 피어 목록 중에서 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 에이전트를 통하여 상기 클라이언트로 전송하는 단계를 포함하는 피어 선정 방법.

청구항 11

제 10 항에 있어서,

상기 c) 단계는,

상기 클라이언트로부터 홉 수가 적은 피어를 인접한 피어로 선정하는 것을 특징으로 하는 피어 선정 방법.

청구항 12

제 11 항에 있어서,

상기 c) 단계는,

소정 홉 수를 초과하는 피어를 상기 우선순위 목록에서 제외하는 단계를 포함하는 피어 선정 방법.

*명세서*

*발명의 상세한 설명*

*기술분야*

[0001] 본 발명은 피어 투 피어 통신 방식으로 인해 유발되는 인터넷 서비스 사업자 (ISP) 혹은 네트워크 사업자의 네트워크 부하 (load)를 경감하기 위하여 클라이언트로부터의 최적 피어를 선정하여 제공하는 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템에 관한 것이다.

*배경기술*

[0002] 일반적으로 네트워크를 통한 소프트웨어 배포, 파일 공유 또는 스트리밍 서비스를 위해서는 정보를 요구하는 클라이언트와 이를 제공하는 서버 및 이들간의 전달 경로를 구성하는 네트워크가 존재하여야 한다. 그런데 다수의 클라이언트들이 서버에 동시에 접속하여 정보 전달을 요구하는 경우 상기 서버 및 네트워크에서는 병목현상이 발생할 수 있다. 이러한 서버와 네트워크의 병목 문제를 해결하기 위한 방법 중의 하나로 일반 사용자의 PC (Personal Computer)간에 데이터 전송이 가능한 피어 투 피어 (Peer to Peer, 이하 P2P라 명명함) 통신 기법이 이용되고 있다.

[0003] P2P 통신 기법은 파일 등의 단위로 구분된 한 단위의 정보(예: avi, mp4 등) 혹은 여러 단위의 데이터로 이루어진 정보(예: 다수의 GIF 파일로 구성된 웹 페이지 등)를 원래의 크기보다 작은 데이터로 나누어 이를 복수의 컴퓨터로부터 클라이언트로 병렬적으로 전달하는 방식이다. 이러한 P2P 통신 방식에서는 일반 사용자의 컴퓨터가 클라이언트의 역할과 서버의 역할을 동시에 수행한다.

[0004] 종래의 클라이언트와 서버의 구조하에서는 서버의 자원이 한정되어 있으므로 클라이언트의 수가 증가하면 데이터 전달이 느려지는데 비해, P2P 통신 방식에서는 참여하는 모든 클라이언트들이 자원을 공유하므로 클라이언트의 수가 증가될수록 P2P 시스템의 용량 또한 증가하는 장점이 있다.

[0005] 그러나, 네트워크 상의 전체 트래픽 중에서 P2P 통신으로 유발되는 네트워크 트래픽의 비중이 구간별 및 시간별 차이가 있으나, 최대 90% 정도로 알려져 있다. 이로 인하여 P2P 네트워크 트래픽은 전체적인 네트워크 품질 저하의 원인이 되고, 그 구간을 지나가는 여러 응용 트래픽에 영향을 주는 문제점이 있다. 특히 P2P 통신 방식에 있어서 클라이언트들이 피어를 랜덤하게 선택하는 경우 P2P 트래픽으로 인한 네트워크에 큰 부하를 가하게 된다. 따라서, 적절한 피어의 선정 방법을 통해 P2P 트래픽이 네트워크에 미치는 영향을 최소화 하는 방법이 요구되고 있다.

[0006] 한편, 종래 기술로서, 한국공개특허공보 2003-0019900(2003년 3월 7일 공개)에는 분산 처리 및 피어 대 피어 통신을 이용한 네트워크 상의정보 전송 병렬화 방법 및 시스템을 게시한다. 이는 네트워크 상에서 분산 처리 및 P2P 통신 기법을 이용하여 정보를 전달하는 것으로, 클라이언트의 피어 선정시 클라이언트 및 피어의 IP 주소 (Address)에 기초하여 네트워크상에서 양자의 인접성을 추정하였다. 그러나 종래 기술의 인접성 정보에 대한 추정은 네트워크 장애 및 트래픽 상황에 따라 실제 인접성과는 다를 수 있다. 따라서 최선의 P2P 성능을 낼 수 없으며, 인접하지 않은 피어를 선정할 가능성으로 인해 네트워크에 많은 부하를 유발함으로써 타 인터넷 응용에도 영향을 미치게 되며, 네트워크사업자는 이러한 P2P 트래픽으로 인해 과도한 투자 부담을 갖게 되는 문제점이 있다.

*발명의 내용*

*해결 하고자하는 과제*

[0007] 따라서 본 발명은 상기 문제점을 해결하기 위한 것으로 클라이언트의 최적 피어 선정을 지원함으로써 P2P 통신으로 유발되는 네트워크 부하를 경감하고, 클라이언트의 전송 효율을 향상시키는 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템을 제공하는 것이다.

*과제 해결수단*

- [0008] 전문한 기술 과제를 해결하기 위한, 본 발명의 실시 예에 따른 피어 선정 시스템은,
- [0009] 서비스 서버로부터 분할된 데이터를 다수의 피어들에게 중복하여 배포하고, 상기 다수의 피어들에게 저장된 분할된 데이터를 관리하며, 클라이언트로부터 소정 정보의 요청시 상기 소정 정보의 분할된 데이터를 가지는 피어 목록을 생성하는 에이전트; 및 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하며,
- [0010] 상기 망정보 관리서버는 상기 피어 목록 중에서 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 제공한다.
- [0011] 또한 상기 망정보 관리서버는 상기 클라이언트 혹은 에이전트로부터 상기 우선순위 목록 요청을 수신하는 검색 목록 수신부; 상기 클라이언트와 피어 목록에 저장된 피어들 간의 소정 기준에 따른 홉 카운트 정보, 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 성능정보 수집부; 상기 성능정보에 기초하여 상기 클라이언트로부터의 우선 피어를 선정하고, 상기 선정 결과에 따라 우선순위 목록을 생성하는 성능정보 처리부; 및 상기 생성된 우선순위 목록을 상기 클라이언트 혹은 에이전트로 전송하는 우선순위 목록 제공부를 포함한다. 여기서 우선순위 목록 요청은 상기 클라이언트의 IP 주소와 상기 피어 목록을 포함하며, 상기 피어 목록에는 상기 클라이언트가 요청한 정보를 갖는 상기 피어들의 IP 주소들이 저장된다.
- [0012] 그리고 상기 성능정보 처리부는 상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 비교하여 상기 클라이언트로부터 인접한 피어를 선정하고, 상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 측정하여 소정 홉 수를 초과하는 피어를 상기 우선순위 목록에서 제외한다.
- [0013] 한편, 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버가 피어를 선정하는 방법은,
- [0014] a) 클라이언트로부터 소정 정보를 갖는 다수의 피어들에 대한 우선순위 목록 요청을 수신하는 단계; b) 상기 우선순위 목록 요청을 분석하여 클라이언트의 IP 주소와 상기 다수의 피어들의 IP주소를 추출하는 단계; 및 c) 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 전송하는 단계를 포함한다.
- [0015] 그리고 상기 a)단계 이전에 상기 클라이언트가 다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트로부터 자신이 요구한 소정 정보를 저장하고 있는 피어 목록을 수신하는 단계를 더 포함한다.
- [0016] 또한 상기 b) 단계는 상기 클라이언트의 IP 주소와 피어들의 IP 주소를 토대로 상기 클라이언트와 각 피어 간의 홉 카운트 정보, 링크 속도 정보, 전송 지연 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 단계를 포함한다. 여기서 상기 우선순위 목록은 상기 성능정보의 각 가중치의 합에 기초하여 선정된다.
- [0017] 한편, 다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트와 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하는 피어 투 피어 통신 시스템이 클라이언트에 대한 피어를 선정하는 방법은,
- [0018] a) 상기 에이전트가 클라이언트로부터 소정 정보의 요청을 수신하고, 상기 소정 정보를 저장하고 있는 피어들을 검색함으로써 생성된 피어 목록을 상기 클라이언트로 송신하는 단계; b) 상기 망정보 관리서버가 상기 에이전트로부터 상기 피어 목록에 따른 우선순위 목록 요청을 수신하는 단계; 및 c) 상기 피어 목록 중에서 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보

중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 에이전트를 통하여 상기 클라이언트로 전송하는 단계를 포함한다.

*효 과*

[0019] 전술한 구성에 의하여 본 발명의 실시 예에 따른 P2P 통신 시스템은 망정보 관리서버를 통하여 클라이언트에 대한 최적 피어들을 선정하고, 상기 클라이언트에 제공함으로써 네트워크내에서의 P2P 트래픽량뿐만 아니라 네트워크 사업자간을 통과하는 P2P 트래픽량을 줄일 수 있으며, 이를 통하여 효율적으로 망 자원을 활용하는 효과가 있다. 그리고 상기 최적 피어들을 선정시 네트워크 링크 또는 노드의 실제 부하 상황을 반영함으로써, 네트워크 상의 타 애플리케이션에 P2P 트래픽이 미치는 영향을 최소화하는 효과가 있다.

[0020] 또한, 네트워크 사업자가 관리하고 있는 신뢰성 있는 성능정보에 기초하여 선정된 최적 피어들에 대한 정보를 클라이언트에게 제공함으로써 전송효율의 극대화에 따른 서비스의 질을 향상시키는 효과를 기대할 수 있다.

*발명의 실시를 위한 구체적인 내용*

[0021] 아래에서는 첨부한 도면을 참고로 하여 본 발명의 실시 예에 대하여 본 발명이 속하는 기술 분야에서 통상의 지식을 가진 자가 용이하게 실시할 수 있도록 상세히 설명한다. 그러나 본 발명은 여러 가지 상이한 형태로 구현될 수 있으며 여기에서 설명하는 실시 예에 한정되지 않는다. 그리고 도면에서 본 발명을 명확하게 설명하기 위해서 설명과 관계없는 부분은 생략하였으며, 명세서 전체를 통하여 유사한 부분에 대해서는 유사한 도면 부호를 붙였다.

[0022] 명세서 전체에서, 어떤 부분이 어떤 구성요소를 "포함"한다고 할 때, 이는 특별히 반대되는 기재가 없는 한 다른 구성요소를 제외하는 것이 아니라 다른 구성요소를 더 포함할 수 있는 것을 의미한다. 또한, 명세서에 기재된 "...부", "...기", "...모듈" 등의 용어는 적어도 하나의 기능이나 동작을 처리하는 단위를 의미하며, 이는 하드웨어나 소프트웨어 또는 하드웨어 및 소프트웨어의 결합으로 구현될 수 있다.

[0023] 이제 본 발명의 실시 예에 따른 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템에 대하여 도면을 참조로 하여 상세하게 설명한다.

[0024] 도 1은 본 발명의 실시 예에 따른 P2P 통신 시스템을 개략적으로 나타낸 네트워크 구성도이다.

[0025] 첨부된 도 1을 참조하면, 본 발명의 실시 예에 따른 P2P 통신 시스템은 서비스 서버(100), 클라이언트(10), 피어(20), 에이전트(200) 및 망정보 관리서버(300)를 포함한다.

[0026] 서비스 서버(100)는 네트워크를 통한 소프트웨어 배포, 파일 공유 혹은 스트리밍 서비스 등을 위한 정보를 분할하여 소정 크기의 데이터로 저장한다.

[0027] 클라이언트(10)는 에이전트(200)를 통하여 소정의 정보를 요청하는 사용자의 컴퓨터이다.

[0028] 피어(20)는 상기 네트워크 내에 다수로 존재할 수 있으며, 서비스 서버(100)를 대신하여 상기 클라이언트(10)의 정보 요청에 따른 상기 소정 크기의 데이터를 병렬적으로 전송하는 역할을 한다.

[0029] 에이전트(200)는 서비스 서버(100)에 저장되어 있는 분할된 데이터를 다수의 피어(20)에게 중복하여 배포하는 역할과, 각 피어(20)에 저장된 상기 분할된 데이터에 관한 정보를 관리함으로써 특정 데이터들이 어느 피어(20)에 저장되어 있는 지를 감시한다.

[0030] 에이전트(200)는 클라이언트(10)로부터 수신된 상기 정보 요청을 분석하고, 상기 클라이언트(10)가 피어 검색을 요청한 경우 서비스 서버(100)를 대신하여 상기 소정 크기의 데이터를 저장하고 있는 피어(20)들을 검색한다. 그리고 상기 검색된 피어(20)들의 IP 주소와 각 피어(20)들이 가지고 있는 데이터 정보 등을 토대로 피어 목록을 작성한다.

[0031] 망정보 관리서버(300)는 네트워크내 노드가 위치한 지리적인 정보, 노드에 연결된 링크의 대역폭/속도, 라우팅 정책, 피어까지의 거리(Distance), 트래픽 부하가 높은 노드 및 링크 정보등 망에 대한 정보를 관리한다. 특히, 망정보 관리서버(300)는 네트워크의 토폴로지(Topology)정보 및 네트워크 내 각 링크별 트래픽 상황을 관리하며, 이를 반영하여 클라이언트(10)로부터의 최적 피어(20)들을 선정하는 역할을 한다. 여기서 최적 피어(20)는 망정보 관리서버(300)가 클라이언트(10)로부터 파일전송 효율이 가장 좋은 것으로 판단한 특정 피어(20)를 의미한다.

- [0032] 다음, 도 2를 통하여 본 발명의 실시 예에 따른 망정보 관리서버(300)에 대해 설명한다.
- [0033] 도 2는 본 발명의 실시 예에 따른 P2P 시스템의 망정보 관리서버를 개략적으로 나타낸 블록도이다.
- [0034] 첨부된 도 2를 참조하면, 본 발명의 실시 예에 따른 P2P 통신 시스템의 망정보 관리서버(300)는 인터넷 서비스 사업자(ISP) 혹은 네트워크 사업자가 운영하는 NMS(Network Management System)일 수 있으며, 검색목록 수신부(310), 성능정보 수집부(320), 성능정보 처리부(330) 및 우선순위 목록 제공부(340)를 포함한다.
- [0035] 검색목록 수신부(310)는 클라이언트(10) 혹은 에이전트(200)로부터 상기 클라이언트(10)에 대한 최적 피어(20)의 우선순위 목록을 요청 받으면, 에이전트(200)에서 생성된 검색목록을 수신하고, 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 분석한다.
- [0036] 성능정보 수집부(320)는 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 토대로 클라이언트(10)와 각 피어(20)간의 홉 카운트, 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등의 성능정보를 수집한다.
- [0037] 성능정보 처리부(330)는 상기 성능정보에서 클라이언트(10)로부터 각 피어(20)들의 홉 카운트(거리)를 고려하여 인접성을 결정 할 수 있으며, 그 결과에 상기 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등을 고려하여 각 피어(20)의 우선순위를 선정할 수 있다. 또한, 성능정보 처리부(330)는 각 성능정보 가중치의 합에 기초하여 각 피어(20)의 우선순위를 선정할 수 있으며, 상기 선정결과를 토대로 우선순위 목록을 생성한다.
- [0038] 우선순위 목록 제공부(340)는 성능정보 처리부(330)에서 생성된 우선순위 목록을 클라이언트(10)로 송신한다. 여기서, 우선순위 목록 제공부(340)는 상기 검색목록을 에이전트(200)로부터 수신한 경우 에이전트(200)를 통하여 클라이언트(10)에 제공할 수 있다.
- [0039] 한편, 도 3을 통하여 본 발명의 제1 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 설명한다.
- [0040] 도 3은 본 발명의 제1 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 나타낸 흐름도이다.
- [0041] 첨부된 도 3을 참조하면, 본 발명의 제1 실시 예에 따른 P2P 통신 방법을 상기 도 1의 구성을 통하여 설명하면, 에이전트(200)가 서비스 서버(100, 미도시)에 의해 분할된 소정의 데이터를 다수의 피어(20, 미도시)로 중복하여 배포하고 이를 관리한다(S301). 그리고 에이전트(200)가 클라이언트(10)로부터 피어 검색 요청을 수신하면(S302), 클라이언트로부터 요청된 정보를 저장하고 있는 피어(20)들을 검색하여 피어 목록을 생성하고(S303), 상기 피어 목록을 클라이언트(10)로 송신한다(S304).
- [0042] 망정보 관리서버(300)는 상기 피어 목록을 수신한 클라이언트(10)로부터 클라이언트(10) 자신과 최적 피어의 선정을 요구하는 우선순위 목록 요청을 수신한다(S305). 이 때 상기 우선순위 목록 요청에는 클라이언트(10)의 IP 주소(발신지 주소)와 상기 피어 목록에 있는 피어(20)들에 대한 IP 주소(목적지 주소)가 포함된다.
- [0043] 다음, 망정보 관리서버(300)는 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 토대로 클라이언트(10)와 각 피어(20)간의 홉 카운트, 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등의 성능정보를 수집한다(S306). 그리고, 상기 수집된 성능정보에 기초하여 클라이언트로부터 최적의 전송 성능을 가지는 피어(20)들을 선정하여 우선순위 목록을 생성한다(S307). 상기 우선순위 목록은 상기 수집된 성능정보에 따른 가중치의 합에 기초하여 판단될 수 있다.
- [0044] 망정보 관리서버(300)는 상기 생성된 우선순위 목록을 클라이언트(10)로 전송함으로써 클라이언트(10)의 우선순위 목록 요청에 대한 응답을 완료한다(S308).
- [0045] 다음, 망정보 관리서버(300)로부터 우선순위 목록을 수신한 클라이언트(10)는 최적 피어(20)들에게 데이터의 전송을 요청한다(S309). 이 때 클라이언트(10)는 상기 데이터 전송 요청을 수신한 해당 피어(20)들로부터의 데이터 전송이 원활하여(S310), 희망하는 정보를 구성하기 위해 요구되는 다수의 분할된 데이터를 모두 수신하면(S311), 이를 조합하여 원하는 정보로 복원한다(S312).
- [0046] 만약에 상기 S310 단계에서 해당 피어(20)들로부터의 데이터 전송이 원활하지 않다고 클라이언트(10)가 판단하면, 클라이언트(10)는 망정보 관리서버(300)로부터 수신된 상기 우선순위 목록에서 차선의 이웃 피어(20)들에게 데이터 전송을 요구한다(S309).
- [0047] 한편, 도 4를 통하여 본 발명의 제2 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 설명한다.
- [0048] 도 4는 본 발명의 제2 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 나타낸 흐름도이다.



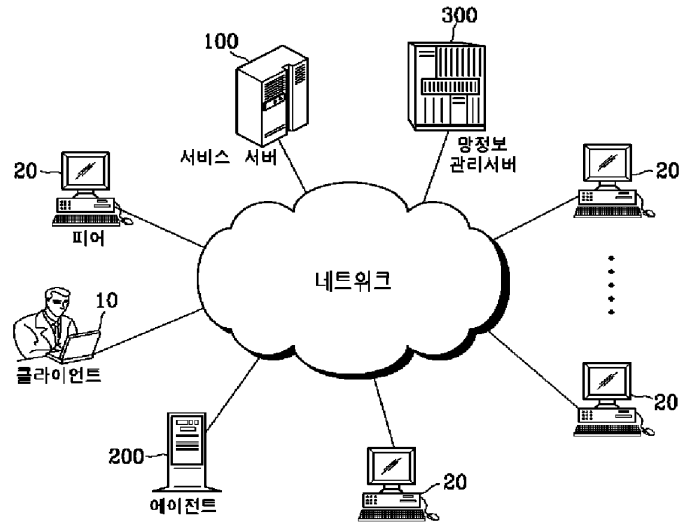
- [0049] 본 발명의 제1 실시 예에서는 에이전트(200)가 클라이언트(10)로부터 피어 검색 요청을 수신하면, 피어 목록을 생성하여 클라이언트(10)로 송신하였으나, 제2 실시 예에서는 에이전트(200)가 망정보 관리서버(300)와 직접 연동되는 점이 다르다.
- [0050] 첨부된 도 4를 참조하면, 본 발명의 제2 실시 예에 따른 P2P 시스템의 에이전트(200)가 서비스 서버(100, 미도시)에 의해 분할된 소경의 데이터를 다수의 피어(20, 미도시)로 중복하여 배포하고 이를 관리한다(S401). 그리고 에이전트(200)는 클라이언트(10)로부터 피어 검색 요청을 수신하면(S402), 클라이언트로부터 요청된 정보를 저장하고 있는 피어(20)들을 검색하여 피어 목록을 생성한다(S403).
- [0051] 그런 다음 에이전트(200)는 상기 클라이언트(10)와 최적 피어의 선정을 요구하는 우선순위 목록 요청을 망정보 관리서버(300)로 송신한다(S404). 이 때 상기 우선순위 목록 요청에는 상기 클라이언트(10)의 IP 주소(발신자 주소)와 상기 피어 목록에 있는 피어(20)들에 대한 IP 주소(목적지 주소)가 포함된다.
- [0052] 다음, 망정보 관리서버(300)는 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 토대로 클라이언트(10)와 각 피어(20)간의 홉 카운트, 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등의 성능정보를 수집한다(S405). 그리고, 상기 수집된 성능정보에 기초하여 클라이언트로부터 최적 피어(20)들을 선정하여 우선순위 목록을 생성한다(S406). 상기 우선순위 목록은 상기 수집된 성능정보에 따른 가중치의 합에 기초하여 판단될 수 있다. 망정보 관리서버(300)는 생성된 우선순위 목록을 에이전트(200)를 통하여 클라이언트(10)로 전송한다(S407).
- [0053] 망정보 관리서버(300)는 상기 생성된 우선순위 목록을 에이전트(200)를 통해클라이언트(10)로 전송함으로써 에이전트(200)의 우선순위 목록 요청에 대한 응답을 완료한다(S408). 이후, S409 단계 내지 S412 단계는 상기 도 3의 S309 단계 내지 S312 단계와 동일함으로 그 설명을 생략한다.
- [0054] 이와 같은 본 발명의 실시 예에 따른 P2P 통신 시스템은 망정보 관리서버(300)를 통하여 클라이언트(10)로부터의 최적 피어(20)들을 선정하여 제공함으로써 네트워크내에서의 P2P 트래픽량뿐만 아니라 네트워크 사업자간을 통과하는 P2P 트래픽량을 줄일 수 있으며, 이를 통하여 효율적으로 망 자원을 활용하는 효과가 있다. 또한, 망정보 관리서버(300)가 상기 클라이언트(10)에 대한 최적 피어(20)들을 선정시 네트워크 링크 또는 노드의 실제 부하 상황을 반영함으로써, 네트워크내 타 애플리케이션에 P2P 트래픽이 미치는 영향을 최소화 할 수 있다.
- [0055] 한편, 클라이언트(10) 혹은 P2P 서비스 서버(100)의 관점에서는 네트워크 사업자가 관리하고 있는 신뢰성 있는 성능정보에 기초하여 최적 피어(20)들에 대한 정보를 제공받음으로써 전송효율의 극대화에 따른 서비스의 질이 향상되는 효과가 있다. 또한 P2P 어플리케이션에서 피어 선정을 위한 오버헤드를 제거할 수 있는 효과를 기대할 수 있다.
- [0056] 본 발명의 실시 예는 이상에서 설명한 장치 및/또는 방법을 통해서만 구현이 되는 것은 아니며, 본 발명의 실시 예의 구성에 대응하는 기능을 실현하기 위한 프로그램, 그 프로그램이 기록된 기록 매체 등을 통해 구현될 수도 있으며, 이러한 구현은 앞서 설명한 실시 예의 기재로부터 본 발명이 속하는 기술분야의 전문가라면 쉽게 구현할 수 있는 것이다.
- [0057] 이상에서 본 발명의 실시 예에 대하여 상세하게 설명하였지만 본 발명의 권리범위는 이에 한정되는 것은 아니고 다음의 청구범위에서 정의하고 있는 본 발명의 기본 개념을 이용한 당업자의 여러 변형 및 개량 형태 또한 본 발명의 권리범위에 속하는 것이다.

*도면의 간단한 설명*

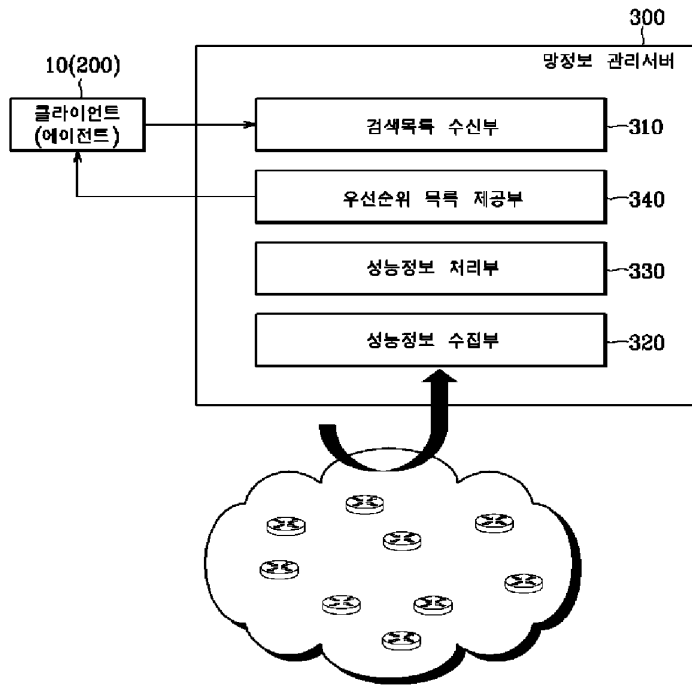
- [0058] 도 1은 본 발명의 실시 예에 따른 P2P 통신 시스템을 개략적으로 나타낸 네트워크 구성도이다.
- [0059] 도 2는 본 발명의 실시 예에 따른 P2P 시스템의 망정보 관리서버를 개략적으로 나타낸 블록도이다.
- [0060] 도 3은 본 발명의 제1 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 나타낸 흐름도이다.
- [0061] 도 4는 본 발명의 제2 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 나타낸 흐름도이다.

도면

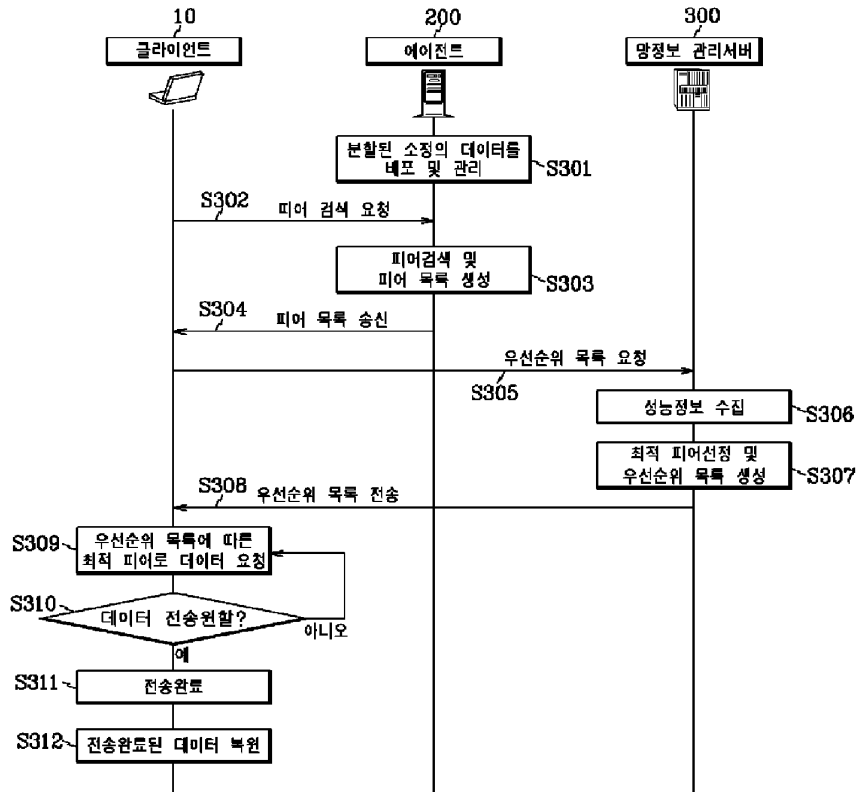
도면1



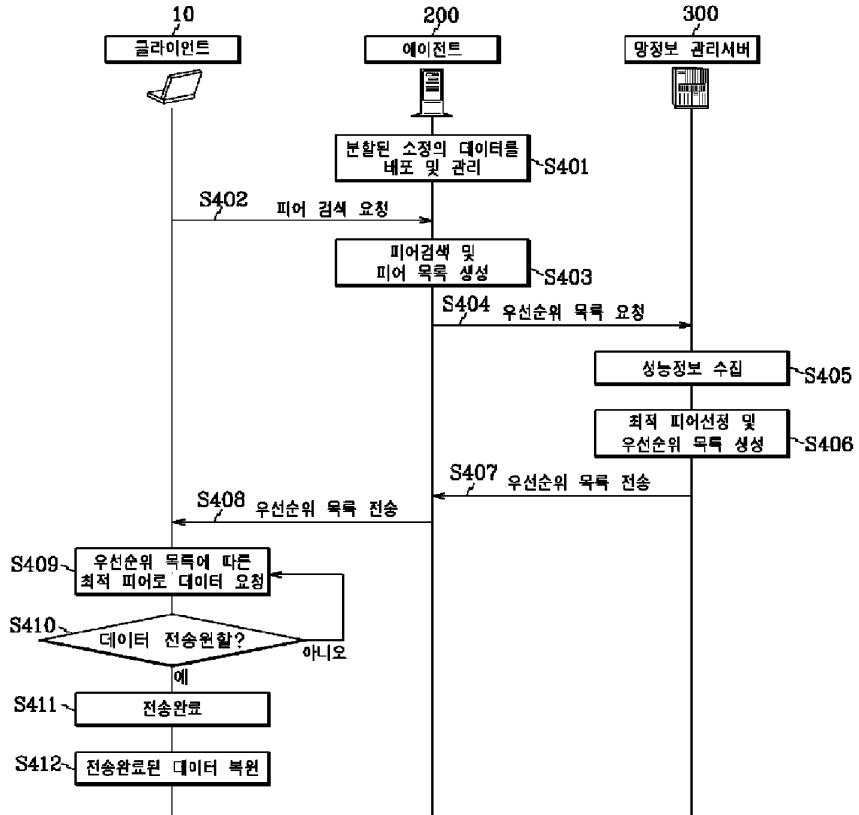
도면2



도면3



도면4



**Bibliographic Data**

<b>Int.Cl.</b>	H04L 12/24   H04L 12/26   H04L 12/28
<b>Published Date</b>	20140818
<b>Registration No.</b>	1014302370000
<b>Registration Date</b>	20140807
<b>Application No.</b>	1020080022180
<b>Application Date</b>	20080310
<b>Unexamined Publication No.</b>	1020090097034
<b>Unexamined Publication Date</b>	20090915
<b>Requested Date of Examination</b>	20130308
<b>Agent.</b>	YOU ME PATENT & LAW FIRM
<b>Inventor</b>	SONG HYEON SUN   KWON SUN JONG
<b>Applicant</b>	KT Corporation
<b>Rightholder</b>	KT Corporation

**발명의 명칭**

피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템

**Title of Invention**

The method for choosing at the peer-to-peer communication and system it spreads.

**요약**

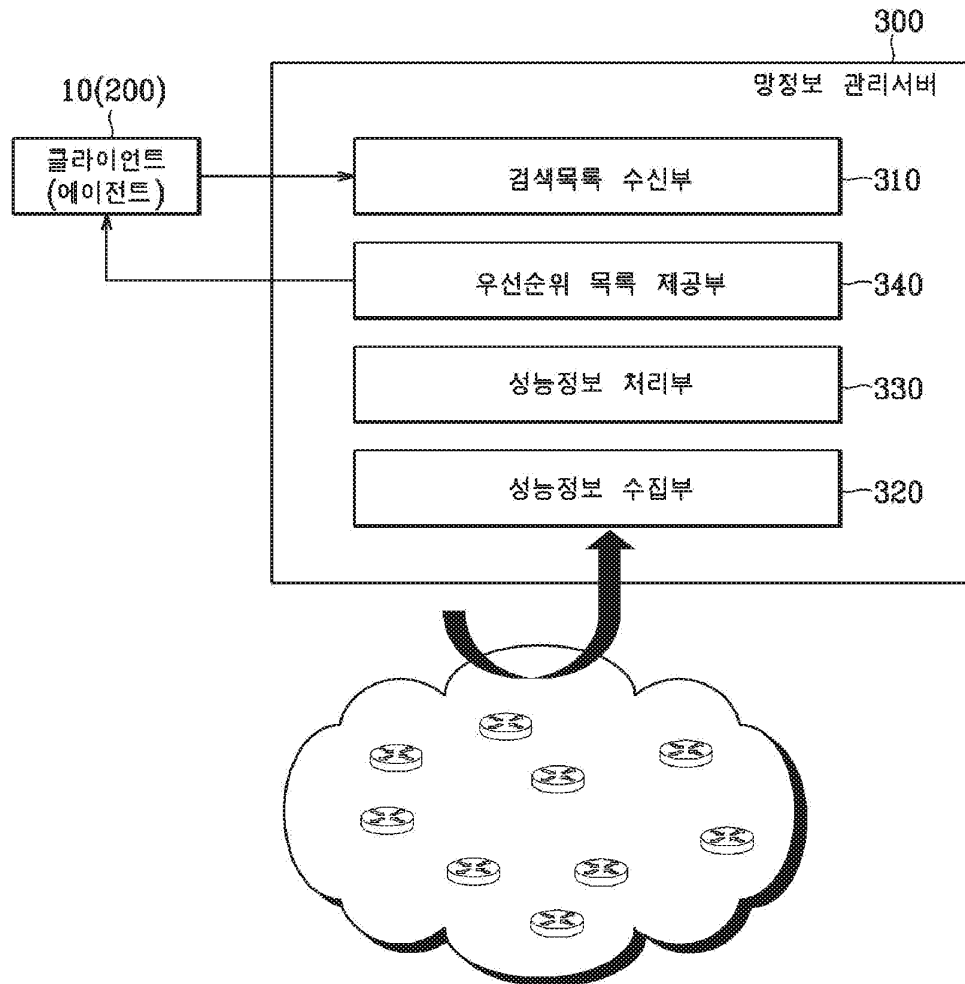
본 발명은 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템에 관한 것이다. 이러한 본 발명에 따르면 네트워크의 토폴로지정보와 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버가 클라이언트로부터 소정 정보를 갖는 다수의 피어들에 대한 우선순위 목록 요청을 수신하면, 상기 우선순위 목록 요청을 분석하여 클라이언트의 IP 주소와 상기 다수의 피어들의 IP주소를 추출한다. 그리고 상기 클라이언트로부터의 소정 기준에 따라 선정된 피어 순으로 우선순위 목록을 생성하여 상기 클라이언트로 전송한다. 이로써 망정보 관리서버를 통하여 클라이언트에 대한 최적 피어들을 선정하고, 이를 상기 클라이언트에 제공함으로써 네트워크내 P2P 트래픽과 네트워크 사업자간을 통과하는 P2P 트래픽량을 줄일 수 있으며, 이를 통하여 효율적으로 망 자원을 활용하는 효과가 있다.

피어 투 피어, 클라이언트, 망정보 관리서버, 에이전트

**Abstract**

The present invention relates to the method for choosing at the peer-to-peer communication and system it spreads. According to the invention, if the network information management server managing the topology information of the network and each per link traffic condition of the network receives the priority list request about the multiple peers having the predetermined information from the client the priority list request is analyzed and the IP address of the client and IP address of the multiple peers are extracted. And it is chosen according to the criteria from the client the priority list is produced to the client and it transmits with the client. Therefore, it has the effect that optimal peers about the client are selected through the network information management server and the P2P traffic volume which passes the in-network P2P traffic and network operator is reduced by providing this to the client can be reduced and through this, the network resource is efficiently utilized. The peer-to-peer, the client, the network information management server, the agent .

대표도면 (Representative drawing)



청구의 범위

Scope of Claims

청구 1항:

Claim 1:

서비스 서버로부터 분할된 데이터를 다수의 피어들에게 중복하여 배포하고, 상기 다수의 피어들에게 저장된 분할된 데이터를 관리하며, 클라이언트로부터 요청된 소정 정보의 분할된 데이터를 가지는 피어 목록을 생성하는 에이전트; 및 네트워크의 토폴로지 정보와 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하며, 상기 망정보 관리서버는, 상기 피어 목록 중에서 상기 클라이언트로부터의 혼잡도 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 제공하는 것을 특징으로 하는 피어 선정 시스템.

The select system which it overlaps data divided from the service server to multiple peers and it distributes ; it manages stored and divided data to multiple peers ; It spreads divided data of on demand predetermined information of the predetermined information from the client to go and it includes the agent producing the list, and the network information management server managing the topology information of the network and each per link traffic condition of the network ; and above network information management server produces the priority list of peers spreading and in which the hop count information from the client and link speed information, the propagation delay time information , and the congestion of the link additionally consider a at least one information among the list among the information and failure information and which are chosen and it provides to the client. It spreads.

**청구 2항:**

제 1 항에 있어서,상기 망정보 관리서버는,상기 클라이언트 혹은 에이전트로부터 상기 우선순위 목록 요청을 수신하는 검색 목록 수신부;상기 클라이언트와 피어 목록에 저장된 피어들 간의 소정 기준에 따른 홉 카운트 정보, 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 성능정보 수집부;상기 성능정보에 기초하여 상기 클라이언트로부터의 우선 피어를 선정하고, 상기 선정 결과에 따라 우선순위 목록을 생성하는 성능정보 처리부; 및상기 생성된 우선순위 목록을 상기 클라이언트 혹은 에이전트로 전송하는 우선순위 목록 제공부를 포함하는 피어 선정 시스템.

**Claim 2:**

As for claim 1, the select system including the priority list providing unit it spreads wherein above net information management server transmits to the client or the agent the priority list that is created with the capability information processing unit: and above produces the priority list according to the result of selection firstly the congestion of the hop count information, the link speed information, the propagation delay time information, the link according to the criteria between the peer stored in the list selects the peer based on the information and the efficiency information collection after capability information among the failure information from the client spreading with the search listing receiving unit: client which receives the priority list request from the client or the agent.

**청구 3항:**

제 2 항에 있어서,우선순위 목록 요청은,상기 클라이언트의 IP 주소와 상기 피어 목록을 포함하며, 상기 피어 목록에는 상기 클라이언트가 요청한 정보를 갖는 상기 피어들의 IP 주소들이 저장된 것을 특징으로 하는 피어 선정 시스템.

**Claim 3:**

As for claim 2, the priority list request, is the IP address of the client and the select system which the above spreads and it contains the list ; and is stored. Spreads and has the information which the client requests in the list it spreads.

**청구 4항:**

제 3 항에 있어서,상기 성능정보 처리부는,상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 비교하여 상기 클라이언트로부터 인접한 피어를 선정하는 것을 특징으로 하는 피어 선정 시스템.

**Claim 4:**

As for claim 3, the select system it spreads wherein the capability information processing unit selects the peer that is adjacent from the client the hop count between each peers and the client are compared.

**청구 5항:**

제 4 항에 있어서,상기 성능정보 처리부는,상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 측정하여 소정 홉 수를 초과하는 피어를 상기 우선순위 목록에서 제외하는 것을 특징으로 하는 피어 선정 시스템.

**Claim 5:**

As for claim 4, the select system it spreads wherein the capability information processing unit excludes from the priority list the peer that exceeds the predetermined hop count the hop count between each peers and the client are measured.

**청구 6항:**

네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버가 피어를 선정하는 방법에 있어서,**a)** 클라이언트로부터 소정 정보를 갖는 다수의 피어들에 대한 우선순위 목록 요청을 수신하는 단계;**b)** 상기 우선순위 목록 요청을 분석하여 클라이언트의 IP 주소와 상기 다수의 피어들의 IP주소를 추출하는 단계; 및**c)** 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 전송하는 단계를 포함하는 피어 선정 방법.

**Claim 6:**

A plurality of method for choosing including the step of receiving the priority list request about the peers, the step of analyzing the b) priority list request and extracting the IP address of the client and IP address of the multiple peers, and the step of the hop count information from the client and link speed information, the propagation delay time information , and the congestion of the link producing the priority list of the peers it spreads es in which the network information management server managing each per link traffic condition of the topology information of the network or the network as to the method for selecting the peer, it has the predetermined information from the client, and the step of the hop count information from the client and link speed information, the propagation delay time information , and the congestion of the link producing the priority list of the peers additionally consider a at least one information among the failure information and are chosen and information and transmitting with the client.



**청구 7항:**

제 6 항에 있어서,상기 a)단계 이전에,상기 클라이언트가 다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트로부터 자신이 요구한 소정 정보를 저장하고 있는 피어 목록을 수신하는 단계를 더 포함하는 피어 선정 방법.

**Claim 7:**

As for claim 6, the method for choosing it spreads further including spreading stores the predetermined information which oneself asks the a) before step from the agent in which the client manages divided data which are stored in multiple peers to receive the list.

**청구 8항:**

제 6 항 또는 제 7 항에 있어서,상기 b) 단계는,상기 클라이언트의 IP 주소와 피어들의 IP 주소를 토대로 상기 클라이언트와 각 피어 간의 홉 카운트 정보, 링크 속도 정보, 전송 지연 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 단계를 포함하는 피어 선정 방법.

**Claim 8:**

As for claim 6 or 7, the method for choosing it spreads which comprises the b) step, is the hop count information of the client based upon the IP address of the IP address of the client and peers and each liver it spreads, the link speed information, the transit delay information, and the congestion of the link is the information, and the step of collecting a at least one capability information among the failure information.

**청구 9항:**

제 8 항에 있어서,상기 우선순위 목록은,상기 성능정보의 각 가중치의 합에 기초하여 선정되는 것을 특징으로 하는 피어 선정 방법.

**Claim 9:**

As for claim 8, the method for choosing which the priority list is chosen based on the sum total of each weighted value of the capability information it spreads.

**청구 10항:**

다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트와 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하는 피어 후 피어 통신 시스템이 클라이언트에 대한 피어를 선정하는 방법에 있어서, a) 상기 에이전트가 클라이언트로부터 소정 정보의 요청을 수신하고, 상기 소정 정보를 저장하고 있는 피어들을 검색함으로써 생성된 피어 목록을 상기 클라이언트로 송신하는 단계; b) 상기 망정보 관리서버가 상기 에이전트로부터 상기 피어 목록에 따른 우선순위 목록 요청을 수신하는 단계; 및 c) 상기 피어 목록 중에서 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 에이전트를 통하여 상기 클라이언트로 전송하는 단계를 포함하는 피어 선정 방법.

**Claim 10:**

The method for choosing it spreads which comprises the step: b) above net information management server of transmitting the list to the client it spreads that is generated it searches peers which the predetermined information is stored the a) agent receives the request of the predetermined information as to the method in which the peer-to-peer mode communication system including the network information management server managing each per link traffic condition of the topology information of the agent managing divided data which are stored in multiple peers and network or the network selects the peer about the client from the client is the hop count information from the client among the step: of spreading and receiving the priority list request according to the list from the agent and c) list and link speed information it spreads, the propagation delay time information, and the congestion of the link is the information, and the step of producing the priority list of the peers which additionally consider a at least one information among the failure information and are chosen and transmitting with the client through the agent.

**청구 11항:**

제 10 항에 있어서,상기 c) 단계는,상기 클라이언트로부터 홉수가 적은 피어를 인접한 피어로 선정하는 것을 특징으로 하는 피어 선정 방법.

**Claim 11:**

As for claim 10, the method for choosing for selecting as the peer in which the c) step is the peer in which the hop count is less adjacent from the client it spreads.

**청구 12항:**

제 11 항에 있어서,상기 c) 단계는,소정 홉 수를 초과하는 피어를 상기 우선순위 목록에서 제외하는 단계를 포함하는 피어 선정 방법.

**Claim 12:**

As for claim 11, the method for choosing including the step it spreads wherein the c) step excludes from the priority list the peer that exceeds the predetermined hop count.

**기술분야**

**Technical Field**

본 발명은 피어 투 피어 통신 방식으로 인해 유발되는 인터넷 서비스 사업자(ISP) 혹은 네트워크 사업자의 네트워크 부하(load)를 경감하기 위하여 클라이언트로부터의 최적 피어를 선정하여 제공하는 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템에 관한 것이다.

The present invention relates to the method for choosing at the peer-to-peer communication which it selects the optimal peer from the client in order to reduce and provides the network overhead (load) of the internet service business carrier (ISP) caused due to the peer-to-peer communication mode or the network operator and system it spreads.

## 배경기술

일반적으로 네트워크를 통한 소프트웨어 배포, 파일 공유 또는 스트리밍 서비스를 위해서는 정보를 요구하는 클라이언트와 이를 제공하는 서버 및 이들간의 전달 경로를 구성하는 네트워크가 존재하여야 한다. 그런데 다수의 클라이언트들이 서버에 동시에 접속하여 정보 전달을 요구하는 경우 상기 서버 및 네트워크에서는 병목현상이 발생할 수 있다. 이러한 서버와 네트워크의 병목 문제를 해결하기 위한 방법 중의 하나로 일반 사용자의 PC(Personal Computer)간에 데이터 전송이 가능한 피어 투 피어(Peer to Peer, 이하 P2P라 명명함) 통신 기법이 이용되고 있다.

## Background Art

Generally, the software distribution through the network, and the client, which is for the file sharing or the streaming service and demands the information the server providing this and the network comprising the transmission path between them have to exist. But multiple clients simultaneously connect to the server and the bottleneck phenomenon can be generated the information transfer in case the demand in the server and network. The peer-to-peer (it hereinafter names with the Peer to Peer because of being the P2P) communication mechanism in which the data transmission is possible is used as one of method for solving the bottleneck of the network and such servers between the PC (Personal Computer) of the general user.

P2P 통신 기법은 파일 등의 단위로 구분된 한 단위의 정보(예; avi, mp4 등) 혹은 여러 단위의 데이터로 이루어진 정보(예; 다수의 GIF 파일로 구성된 웹 페이지 등)를 원래의 크기보다 작은 데이터로 나누어 이를 복수의 컴퓨터로부터 클라이언트로 병렬적으로 전달하는 방식이다. 이러한 P2P 통신 방식에서는 일반 사용자의 컴퓨터가 클라이언트의 역할과 서버의 역할을 동시에 수행한다.

It is the mode which divides information (the web page etc. consisting of example, and the multiple GIF files) consisting of data of the information (the example: avi, the mp4 etc) of the unit or the some unit into data smaller than the original magnitude as long as the P2P communication mechanism is classified into the unit including the file etc. and parallelly delivers this to the client from multiple computers. In such P2P communication method, the computer of the general user simultaneously performs the role and server role of the client.

종래의 클라이언트와 서버의 구조하에서는 서버의 자원이 한정되어 있으므로 클라이언트의 수가 증가하면 데이터 전달이 느려지는데 비해, P2P 통신 방식에서는 참여하는 모든 클라이언트들이 자원을 공유하므로 클라이언트의 수가 증가될수록 P2P 시스템의 용량 또한 증가하는 장점이 있다.

It has the advantage that the number of client the resources of the server is restricted under the structure of the server and conventional clients moreover increase with the capacity of the P2P system as the number of client all clients participating in the P2P communication method share the resources data transfer become slow if it increases are increased.

그러나, 네트워크 상의 전체 트래픽 중에서 P2P 통신으로 유발되는 네트워크 트래픽의 비중이 구간별 및 시간별 차이가 있으나, 최대 90% 정도로 알려져 있다. 이로 인하여 P2P 네트워크 트래픽은 전체적인 네트워크 품질 저하의 원인이 되고, 그 구간을 지나가는 여러 응용 트래픽에 영향을 주는 문제점이 있다. 특히 P2P 통신 방식에 있어서 클라이언트들이 피어를 랜덤하게 선택하는 경우 P2P 트래픽으로 인한 네트워크에 큰 부하를 가하게 된다. 따라서, 적절한 피어의 선정 방법을 통해 P2P 트래픽이 네트워크에 미치는 영향을 최소화 하는 방법이 요구되고 있다.

But each section and hourly difference have the specific gravity of the caused network traffic among the total traffic on the network to the peer-to-peer communication. But it is known as about maximum 90%. Due to this, there is a problem that it is caused by of the network poor quality in which the P2P network traffic is whole and it affects the some application traffic passing by the section. Particularly, the large load is added to the network due to the P2P traffic in case the selection so that clients randomize the peer as to the P2P communication method. Therefore, the method for minimizing the influence that the P2P traffic reaches the network through the method for choosing of the proper peer is required.

한편, 종래 기술로서, 한국공개특허공보 2003-0019900 (2003년 3월 7일 공개)에는 분산 처리 및 피어 대 피어 통신을 이용한 네트워크 상의 정보 전송 병렬화 방법 및 시스템을 개시한다. 이는 네트워크 상에서 분산 처리 및 P2P 통신 기법을 이용하여 정보를 전달하는 것으로, 클라이언트의 피어 선정시

In the meantime, as the prior art, decentralized processing and network top cloth information transmission on parallel method and system spreading and spread and use the communication are notified in KR2003-0019900 A (2003 year March 7 disclosure). This delivered the i

라이언트 및 피어의 IP 주소(Address)에 기초하여 네트워크 상에서 양자의 인접성을 추정하였다. 그러나 종래 기술의 인접성 정보에 대한 추정은 네트워크 장애 및 트래픽 상황에 따라 실제 인접성과는 다를 수 있다. 따라서 최선의 P2P 성능을 낼 수 없으며, 인접하지 않은 피어를 선정할 가능성으로 인해 네트워크에 많은 부하를 유발함으로써 타 인터넷 응용에도 영향을 미치게 되며, 네트워크사업자는 이러한 P2P 트래픽으로 인해 과도한 투자 부담을 갖게 되는 문제점이 있다.

information on the network using the decentralized processing and P2P communication mechanism. It spread of the client and the adjacency of both sides was estimated at on a network in the selection based on the IP address of the peer and client. But according to the estimation about the adjacency information of the prior art is the network failure and traffic condition, it can be actually different from the adjacency. Therefore, there is a problem that the best P2P performance cannot be made and it affects the internet application by inducing the overload due to the possibility of selecting the peer which is not adjacent in the network and the network operator has the excessive investment burden due to such P2P traffic.

**발명의 내용**

**Summary of Invention**

**해결하고자 하는 과제**

**Problem to be solved**

따라서 본 발명은 상기 문제점을 해결하기 위한 것으로 클라이언트의 최적 피어 선정을 지원함으로써 P2P 통신으로 유발되는 네트워크 부하를 경감하고, 클라이언트의 전송 효율을 향상시키는 피어 후 피어 통신에서의 피어 선정 방법 및 그 시스템을 제공하는 것이다.

Therefore, the present invention is to provide the method for choosing at the peer-to-peer communication which reduces the network overhead caused by the peer-to-peer communication by spreading to and for solving the problem supporting the good government and improves the transfer efficiency of the client. And system it spreads.

**과제 해결 수단**

**Means to solve the problem**

전술한 기술 과제를 해결하기 위한, 본 발명의 실시 예에 따른 피어 선정 시스템은,

The select system for solving the above-described technology tasks according to the embodiment of the invention it spreads.

서비스 서버로부터 분할된 데이터를 다수의 피어들에게 중복하여 배포하고, 상기 다수의 피어들에게 저장된 분할된 데이터를 관리하며, 클라이언트로부터 소정 정보의 요청시 상기 소정 정보의 분할된 데이터를 가지는 피어 목록을 생성하는 에이전트; 및 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하며,

Data divided from the service server are overlapped to multiple peers and it distributes and stored and divided data are managed to multiple peers and divided data of on demand predetermined information of the predetermined information is spread from the client to go and the agent producing the list, and the network information management server managing each per link traffic condition of the topology information of the network or the network are included.

상기 망정보 관리서버는 상기 피어 목록 중에서 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 제공한다.

The above above net information management server gets on well and the hop count information from the client and link speed information, the propagation delay time information, and the congestion of the link produce the priority list of the peers which additionally consider a at least one information among the failure information and are chosen and information among the list and the above provides to the client.

또한 상기 망정보 관리서버는 상기 클라이언트 혹은 에이전트로부터 상기 우선순위 목록 요청을 수신하는 검색 목록 수신부; 상기 클라이언트와 피어 목록에 저장된 피어들 간의 소정 기준에 따른 홉 카운트 정보, 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 성능정보 수집부; 상기 성능정보에 기초하여 상기 클라이언트로부터의 우선 피어를 선정하고, 상기 선정 결과에 따라 우선순위 목록을 생성하는 성능정보 처리부; 및 상기 생성된 우선순위 목록을 상기 클라이언트 혹은 에이전트로 전송하는 우선순위 목록 제공부를 포함한다. 여기서 우선순위 목록 요청은 상기 클라이언트의 IP 주소와 상기 피어 목록을 포함하며, 상기 피어 목록에는 상기 클라이언트가 요청한 정보

Moreover, above net information management server comprises the hop count information according to the criteria between search listing receiving unit: peers spreading with the client and are stored in the list receives the priority list request from the client or the agent, the link speed information, the client the capability information processing unit: which firstly the propagation delay time information, and the congestion of the link select the peer based on the information and the efficiency information collection lister capability information collecting a at least one capability information among the failure information

를 갖는 상기 피어들의 IP 주소들이 저장된다.

from the client and produces the priority list according to the result of selection. And above-mentioned generated priority list, or the priority list providing unit transmitted to the agent. Here, the IP address and above of the client the priority list request gets on well and the list is contained and the list is stored. Spreads and has the information which the client requests in the list.

그리고 상기 성능정보 처리부는 상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 비교하여 상기 클라이언트로부터 인접한 피어를 선정하고, 상기 클라이언트와 상기 각 피어들 간의 홉 카운트를 측정하여 소정 홉 수를 초과하는 피어를 상기 우선순위 목록에서 제외한다.

And comparing the capability information processing unit is the client with the hop count between each peers, the adjacent peer is selected from the client and the peer measuring the hop count between each peers and the client and exceeds the predetermined hop count is excluded from the priority list.

한편, 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버가 피어를 선정하는 방법은,

In the meantime, the method in which the network information management server managing each per link traffic condition of the topology information of the network or the network selects the peer

a) 클라이언트로부터 소정 정보를 갖는 다수의 피어들에 대한 우선순위 목록 요청을 수신하는 단계; b) 상기 우선순위 목록 요청을 분석하여 클라이언트의 IP 주소와 상기 다수의 피어들의 IP주소를 추출하는 단계; 및 c) 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 클라이언트로 전송하는 단계를 포함한다.

a) The step of receiving the priority list request about the multiple peers having the predetermined information from the client, the step of analyzing the b) priority list request and extracting the IP address of the client and IP address of the multiple peers, and the step of the hop count information from the c) client and link speed information, the propagation delay time information, and the congestion of the link producing the priority list of the peers are included. The step of the hop count information from the c) client and link speed information, the propagation delay time information, and the congestion of the link producing the priority list of the peers additionally consider a at least one information among the failure information and are chosen and information and transmitting with the client.

그리고 상기 a) 단계 이전에 상기 클라이언트가 다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트로부터 자신이 요구한 소정 정보를 저장하고 있는 피어 목록을 수신하는 단계를 더 포함한다.

And the step of spreading and receiving the list of the predetermined information which oneself requires from the agent in which the client manages divided data which are stored in multiple peers in the a) before step being stored further is included.

또한 상기 b) 단계는 상기 클라이언트의 IP 주소와 피어들의 IP 주소를 토대로 상기 클라이언트와 각 피어 간의 홉 카운트 정보, 링크 속도 정보, 전송 지연 정보, 링크의 혼잡도 정보 및 장애여부 정보 중 어느 하나 이상의 성능정보를 수집하는 단계를 포함한다. 여기서 상기 우선순위 목록은 상기 성능정보의 각 가중치의 합에 기초하여 선정된다.

Moreover, the b) step comprises the step of collecting the congestion of the hop count information, the link speed information, the transit delay information, the link of the client based upon the IP address of the IP address of the client and peers and each ilver is a at least one capability information among the information and failure information it spreads. Here, the priority list is chosen based on the sum total of each weighted value of the capability information.

한편, 다수의 피어들에 저장된 분할된 데이터를 관리하는 에이전트와 네트워크의 토폴로지정보 혹은 네트워크의 각 링크별 트래픽 상황을 관리하는 망정보 관리서버를 포함하는 피어 루피어 통신 시스템이 클라이언트에 대한 피어를 선정하는 방법은,

In the meantime, the agent managing divided data which are stored in multiple peers and the method in which the peer-to-peer mode communication system including the network information management server managing each per link traffic condition of the topology information of the network or the network selects the peer about the client

a) 상기 에이전트가 클라이언트로부터 소정 정보의 요청을 수신하고, 상기 소정 정보를 저장하고 있는 피어들을 검색함으로써

a) The agent receives the request of the predetermined information from the client and by

써 생성된 피어 목록을 상기 클라이언트로 송신하는 단계; b) 상기 망정보 관리서버가 상기 에이전트로부터 상기 피어 목록에 따른 우선순위 목록 요청을 수신하는 단계; 및 c) 상기 피어 목록 중에서 상기 클라이언트로부터의 홉 카운트 정보와 링크 속도 정보, 전송 지연 시간 정보, 링크의 혼잡도 정보 및 장애 여부 정보 중 어느 하나 이상의 정보를 추가로 고려하여 선정된 피어들의 우선순위 목록을 생성하여 상기 에이전트를 통하여 상기 클라이언트로 전송하는 단계를 포함한다.

searching peers which the predetermined information is stored the step of transmitting the list to the client, the b) above net information management server is the step of spreading and receiving the priority list request according to the list from the agent, and the step of the c) the above getting on well and the hop count information from the client and link speed information, the propagation delay time information, and the congestion of the link producing the priority list of the peers are included. The step of the c) the above getting on well and the hop count information from the client and link speed information, the propagation delay time information, and the congestion of the link producing the priority list of the peers additionally consider a at least one information among the failure information and are chosen and information among the list and transmitting through the agent with the client.

### 발명의 효과

### Effects of the Invention

전술한 구성에 의하여 본 발명의 실시 예에 따른 P2P 통신 시스템은 망정보 관리서버를 통하여 클라이언트에 대한 최적 피어들을 선정하고, 상기 클라이언트에 제공함으로써 네트워크 내에서의 P2P 트래픽량뿐만 아니라 네트워크 사업자간을 통과하는 P2P 트래픽량을 줄일 수 있으며, 이를 통하여 효율적으로 망 자원을 활용하는 효과가 있다. 그리고 상기 최적 피어들을 선정시 네트워크 링크 또는 노드의 실제 부하 상황을 반영함으로써, 네트워크상의 타 애플리케이션에 P2P 트래픽이 미치는 영향을 최소화하는 효과가 있다.

The P2P communication system according to a preferred embodiment of the present invention by the above-described configuration has the effect that optimal peers about the client are selected through the network information management server and the P2P traffic volume which passes not only the P2P traffic volume at the in-network but also the network operator liver by providing to the client can be reduced and through this, the network resource is efficiently utilized. And it has the effect that optimal peers the actual load situation of the network link or the node are reflected in the selection. In that way the influence that the P2P traffic reaches the application of on a network is minimized.

또한, 네트워크 사업자가 관리하고 있는 신뢰성 있는 성능정보에 기초하여 선정된 최적 피어들에 대한 정보를 클라이언트에 게 제공함으로써 전송효율의 극대화에 따른 서비스의 질을 향상시키는 효과를 기대할 수 있다.

Moreover, the effect that improves the service quality according to the optimization of the transfer efficiency by providing the information about chosen optimal peers based on the capability information having reliability which the network operator manages to the client can be expected.

### 상세설명

### Detailed Description

아래에서는 첨부한 도면을 참고로 하여 본 발명의 실시 예에 대하여 본 발명이 속하는 기술 분야에서 통상의 지식을 가진 자가 용이하게 실시할 수 있도록 상세히 설명한다. 그러나 본 발명은 여러 가지 상이한 형태로 구현될 수 있으며 여기에서 설명하는 실시 예에 한정되지 않는다. 그리고 도면에서 본 발명을 명확하게 설명하기 위해서 설명과 관계없는 부분은 생략하였으며, 명세서 전체를 통하여 유사한 부분에 대해서는 유사한 도면 부호를 붙였다.

In the lower part, it particularly illustrates for the embodiment of the invention with reference to the attached drawing in the technical field in which the invention belongs so that a person skilled in the art easily performs. But it is not restricted to the embodiment which here it illustrates while the invention can be implemented as the form which the various disagrees. And in drawing, the part which had no concern with the description it specifically illustrated the invention omitted and the reference numeral which was similar was adhered through the specification whole about the part which was similar.

명세서 전체에서, 어떤 부분이 어떤 구성요소를 #34#포함 #34#한다고 할 때, 이는 특별히 반대되는 기재가 없는 한 다른 구성요소를 제외하는 것이 아니라 다른 구성요소를 더 포함할 수 있는 것을 의미한다. 또한, 명세서에 기재된 #34#...부#34#, #34#...기#34#, #34#모듈#34# 등의 용어는 적어도 하나의 기능이나 동작을 처리하는 단위를 의미하며, 이는 하드웨어나 소프트웨어 또는 하드웨어 및 소프트웨어의 결합으로

In the specification whole, when any kind of part any kind of element the "inclusion" it means the other element without the material in which this is specially opposed not being excluded but maying further include the other element. Moreover, the term including the "... part", the "...", the "module" etc. filled in the specification means the unit processing at least one function or

구현될 수 있다.

이제 본 발명의 실시 예에 따른 피어 투 피어 통신에서의 피어 선정 방법 및 그 시스템에 대하여 도면을 참조로 하여 상세하게 설명한다.

도 1은 본 발명의 실시 예에 따른 P2P 통신 시스템을 개략적으로 나타낸 네트워크 구성도이다.

첨부된 도 1을 참조하면, 본 발명의 실시 예에 따른 P2P 통신 시스템은 서비스 서버(100), 클라이언트(10), 피어(20), 에이전트(200) 및 망정보 관리서버(300)를 포함한다.

서비스 서버(100)는 네트워크를 통한 소프트웨어 배포, 파일 공유 혹은 스트리밍 서비스 등을 위한 정보를 분할하여 소정 크기의 데이터로 저장한다.

클라이언트(10)는 에이전트(200)를 통하여 소정의 정보를 요청하는 사용자의 컴퓨터이다.

피어(20)는 상기 네트워크 내에 다수로 존재할 수 있으며, 서비스 서버(100)를 대신하여 상기 클라이언트(10)의 정보 요청에 따른 상기 소정 크기의 데이터를 병렬적으로 전송하는 역할을 한다.

에이전트(200)는 서비스 서버(100)에 저장되어 있는 분할된 데이터를 다수의 피어(20)에게 중복하여 배포하는 역할과, 각 피어(20)에 저장된 상기 분할된 데이터에 관한 정보를 관리함으로써 특정 데이터들이 어느 피어(20)에 저장되어 있는지를 감시한다.

에이전트(200)는 클라이언트(10)로부터 수신된 상기 정보 요청을 분석하고, 상기 클라이언트(10)가 피어 검색을 요청한 경우 서비스 서버(100)를 대신하여 상기 소정 크기의 데이터를 저장하고 있는 피어(20)들을 검색한다. 그리고 상기 검색된 피어(20)들의 IP 주소와 각 피어(20)들이 가지고 있는 데이터 정보 등을 토대로 피어 목록을 작성한다.

망정보 관리서버(300)는 네트워크내 노드가 위치한 지리적인 정보, 노드에 연결된 링크의 대역폭/속도, 라우팅 정책, 피어까지의 거리(Distance), 트래픽 부하가 높은 노드 및 링크 정보 등 망에 대한 정보를 관리한다. 특히, 망정보 관리서버(300)는 네트워크의 토폴로지(Topology)정보 및 네트워크 내 각 링크별 트래픽 상황을 관리하여, 이를 반영하여 클라이언트(10)로부터의 최적 피어(20)들을 선정하는 역할을 한다. 여기서 최적 피어(20)는 망정보 관리서버(300)가 클라이언트(10)로부터 파일전송 효율이 가장 좋은 것으로 판단한 특정 피어(20)를 의미한다.

the operation and this can be implemented as the combination of hardware or software or hardware and software.

Now, it spreads of the peer-to-peer communication according to a preferred embodiment of the present invention and it does about the method for choosing and system with reference to drawing and it illustrates.

Figure 1 is a network configuration which schematically shows the P2P communication system according to the embodiment of the invention.

Referring to Figure 1, it is attached. And the P2P communication system according to the embodiment of the present invention comprises the service server (100), the client (10), and the peer (20), and the agent (200) and network information management server (300).

The service server (100) divides the information including the software distribution through the network, the file sharing or the streaming service etc. and it stores to predetermined size data.

It is the computer of the user in which the client (10) requests the predetermined information through the agent (200).

The peer (20) can exist as the numerously within the network and predetermined size data according to the information request of the client (10) serves to be parallelly transmitted instead of the service server (100).

By controlling the information about the role, of overlapping divided data in which the agent (200) is stored in the service server (100) to multiple peers (20) and distributing and above-mentioned divided data stored in each peer (20) it watches whether specific data are stored in a peer (20) or not.

The agent (200) analyzes the information request received from the client (10) and in case of the client (10) spreading and requesting the search peer (20) storing predetermined size data instead of the service server (100) are searched. And it spreads on data information etc. and of the IP address and each peer (20) of the above-mentioned searched peer (20) the list is prepared.

The geographical information, in which the network information management server (300) the in-network node is positioned the bandwidth / speed of the link, connected to the node the routing policy, the distance to the peer, and the traffic load manage the information about the net including the high node and link information etc. Particularly, the network information management server (300) manages the topology information and in-network each link traffic condition of the network and this is reflected and optimal peer (20) from the client (10) serve to be selected. Here, the optimal peer (20) means the specified peer (20) in which the file transfer efficiency is most good and which the network information management server (300) determines from the client

다음, 도 2를 통하여 본 발명의 실시 예에 따른 망정보 관리서버(300)에 대해 설명한다.

도 2는 본 발명의 실시 예에 따른 P2P 시스템의 망정보 관리서버를 개략적으로 나타낸 블록도이다.

첨부된 도 2를 참조하면, 본 발명의 실시 예에 따른 P2P 통신 시스템의 망정보 관리서버(300)는 인터넷 서비스 사업자(ISP) 혹은 네트워크 사업자가 운용하는 NMS(Network Management System)일 수 있으며, 검색목록 수신부(310), 성능정보 수집부(320), 성능정보 처리부(330) 및 우선순위 목록 제공부(340)를 포함한다.

검색목록 수신부(310)는 클라이언트(10) 혹은 에이전트(200)로부터 상가 클라이언트(10)에 대한 최적 피어(20)의 우선순위 목록을 요청 받으면, 에이전트(200)에서 생성된 검색목록을 수신하고, 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 분석한다.

성능정보 수집부(320)는 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 토대로 클라이언트(10)와 각 피어(20)간의 홉 카운트, 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등의 성능정보를 수집한다.

성능정보 처리부(330)는 상기 성능정보에서 클라이언트(10)로부터 각 피어(20)들의 홉 카운트(거리)를 고려하여 인접성을 결정할 수 있으며, 그 결과에 상가 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등을 고려하여 각 피어(20)의 우선순위를 선정할 수 있다. 또한, 성능정보 처리부(330)는 각 성능정보 가중치의 합에 기초하여 각 피어(20)의 우선순위를 선정할 수 있으며, 상기 선정결과를 토대로 우선순위 목록을 생성한다.

우선순위 목록 제공부(340)는 성능정보 처리부(330)에서 생성된 우선순위 목록을 클라이언트(10)로 송신한다. 여기서, 우선순위 목록 제공부(340)는 상기 검색목록을 에이전트(200)로부터 수신한 경우 에이전트(200)를 통하여 클라이언트(10)에 제공할 수 있다.

한편, 도 3를 통하여 본 발명의 제1 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 설명한다.

도 3은 본 발명의 제1 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 나타낸 흐름도이다.

t (10).

It illustrates for the network information management server (300) according to a preferred embodiment of the present invention through the next fig. 2.

Figure 2 is a block diagram which schematically shows the network information management server of the P2P system according to the embodiment of the invention.

Referring to Figure 2, It is attached. And the network information management server (300) of the P2P communication system followed the embodiment example of the present invention comprises the search listing receiving unit (310) it can be the Internet service business carrier (ISP) or the NMS (Network Management System) which the network operator uses, the efficiency information collection part (320), and the capability information processing unit (330) and the priority list providing unit (340).

If the search listing receiving unit (310) is requested for the priority list of the optimal peer (20) about the client (10) from the client (10) or the agent (200), the search listing generated in the agent (200) is received and the IP address and IP address of the client (10) are analyzed. It spread (20)s.

The efficiency information collection part (320) collects the capability information including the entropy of the hop count, link speed, propagation delay time, link between the client (10) and each peer (20) and failure etc. on the IP address and IP address of the client (10) it spread (20)s.

In the capability information processing unit (330) is the capability information, the adjacency can be determined from the client (10) in consideration of the hop count (distance) of each peer (20) and the priority of each peer (20) can be selected for the result in consideration of the link speed, the propagation delay time, entropy and failure of the link etc. Moreover, the capability information processing unit (330) can select the priority of each peer (20) based on the sum total of each capability information weighted value and the priority list is produced on the result of selection.

The priority list providing unit (340) transmits the priority list generated in the capability information processing unit (330) to the client (10). Here, it can provide to the client (10) through the agent (200) in case the priority list providing unit (340) receives the search listing from the agent (200).

In the meantime, the P2P communication method at the P2P communication system according to the first preferred embodiment of the invention is illustrated through fig. 3.

Figure 3 is a flowchart showing the P2P communication method at the P2P communication system according to the first preferred embodiment of the invention.

첨부된 도 3을 참조하면, 본 발명의 제1 실시 예에 따른 P2P 통신 방법을 상기 도 1의 구성을 통하여 설명하면, 에이전트(200)가 서비스 서버(100, 미도시)에 의해 분할된 소정의 데이터를 다수의 피어(20, 미도시)로 중복하여 배포하고 이를 관리한다(S301). 그리고 에이전트(200)가 클라이언트(10)로부터 피어 검색 요청을 수신하면(S302), 클라이언트로부터 요청된 정보를 저장하고 있는 피어(20)들을 검색하여 피어 목록을 생성하고(S303), 상기 피어 목록을 클라이언트(10)로 송신한다(S304).

If the above illustrates the P2P communication method according to the attached first preferred embodiment of the invention through the configuration of 1 the agent (200) overlaps predetermined data divided with service server (100, and not illustrated) to multiple peer (20, and not illustrated) and it distributes and this is managed (S301). And if it spreads from the client (10) and the agent (200) receives the search request (S302) peer (20) which the information requested from the client is stored are searched and it spreads and the list is produced (S303) and the above spreads and the list is transmitted to the client (10) (S304).

망정보 관리서버(300)는 상기 피어 목록을 수신한 클라이언트(10)로부터 클라이언트(10) 자신과 최적 피어의 선택을 요구하는 우선순위 목록 요청을 수신한다(S305). 이 때 상기 우선순위 목록 요청에는 클라이언트(10)의 IP 주소(발신자 주소)와 상기 피어 목록에 있는 피어(20)들에 대한 IP 주소(목적지 주소)가 포함된다.

The network information management server (300) receives the priority list request requiring the selection of the optimal peer and client (10) oneself from the client (10) spreading and receives the list (S305). At this time, the priority list request includes the IP address (source address) of the client (10) and the IP address (destination address) about the peer (20) in the list it spreads.

다음, 망정보 관리서버(300)는 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 토대로 클라이언트(10)와 각 피어(20)간의 홉 카운트, 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등의 성능정보를 수집한다(S306). 그리고, 상기 수집된 성능정보에 기초하여 클라이언트로부터 최적의 전송 성능을 가지는 피어(20)들을 선정하여 우선순위 목록을 생성한다(S307). 상기 우선순위 목록은 상기 수집된 성능정보에 따른 가중치의 합에 기초하여 판단될 수 있다.

The next network information management server (300) collects the capability information including the entropy of the hop count, link speed, propagation delay time, link between the client (10) and each peer (20) and failure etc. on the IP address and IP address of the client (10) it spread (20)s (S306). And peer (20) having the optimal transmission performance are selected based on the above-mentioned collected capability information from the client and the priority list is produced (S307). The priority list can be determined based on the sum total of the weighted value according to the above-mentioned collected capability information.

망정보 관리서버(300)는 상기 생성된 우선순위 목록을 클라이언트(10)로 전송함으로써 클라이언트(10)의 우선순위 목록 요청에 대한 응답을 완료한다(S308).

The response toward the priority list request of the client (10) is completed since the network information management server (300) transmits the above-mentioned generated priority list with the client (10) (S308).

다음, 망정보 관리서버(300)로부터 우선순위 목록을 수신한 클라이언트(10)는 최적 피어(20)들에게 데이터의 전송을 요청한다(S309). 이 때 클라이언트(10)는 상기 데이터 전송 요청을 수신한 해당 피어(20)들로부터의 데이터 전송이 원활하여(S310), 희망하는 정보를 구성하기 위해 요구되는 다수의 분할된 데이터를 모두 수신하면(S311), 이를 조합하여 원하는 정보로 복원한다(S312).

The client (10) receiving the priority list from the next network information management server (300) requests the electrical transmission of data to optimal peer (20) (S309). Then, the data transmission from correspondence peer (20) in which the client (10) receives the data transmission request is smooth (S310) and if multiple divided data which are required in order to construct the desired information, are altogether received (S311), it restores to the information assembling this and desires (S312).

만약에 상기 S310 단계에서 해당 피어(20)들로부터의 데이터 전송이 원활하지 않다고 클라이언트(10)가 판단하면, 클라이언트(10)는 망정보 관리서버(300)로부터 수신된 상기 우선순위 목록에서 차선의 이웃 피어(20)들에게 데이터 전송을 요구한다(S309).

Once, in the S310 step, if the client (10) determines because data transmission from correspondence peer (20) is not smooth the client (10) demands data transmission from neighbor peer (20) of the lane in the priority list received from the network information management server (300) (S309).

한편, 도 4를 통하여 본 발명의 제2 실시 예에 따른 P2P 통신 시스템에서의 P2P 통신 방법을 설명한다.

In the meantime, the P2P communication method at the P2P communication system according to the second preferred embodiment of the present invention is illustrated through fig. 4.

도 4는 본 발명의 제2 실시 예에 따른 P2P 통신 시스템에서의

Figure 4 is a flowchart showing the P2P communication



P2P 통신 방법을 나타낸 흐름도이다.

본 발명의 제1 실시 예에서는 에이전트(200)가 클라이언트(10)로부터 피어 검색 요청을 수신하면, 피어 목록을 생성하여 클라이언트(10)로 송신하였으나, 제2 실시 예에서는 에이전트(200)가 망정보 관리서버(300)와 직접 연동되는 점이 다르다.

첨부된 도 4를 참조하면, 본 발명의 제2 실시 예에 따른 P2P 시스템의 에이전트(200)가 서비스 서버(100, 미도시)에 의해 분할된 소정의 데이터를 다수의 피어(20, 미도시)로 중복하여 배포하고 이를 관리한다(S401). 그리고 에이전트(200)는 클라이언트(10)로부터 피어 검색 요청을 수신하면(S402), 클라이언트로부터 요청된 정보를 저장하고 있는 피어(20)들을 검색하여 피어 목록을 생성한다(S403).

그런 다음 에이전트(200)는 상기 클라이언트(10)와 최적 피어의 선정을 요구하는 우선순위 목록 요청을 망정보 관리서버(300)로 송신한다(S404). 이 때 상기 우선순위 목록 요청에는 상기 클라이언트(10)의 IP 주소(발신자 주소)와 상기 피어 목록에 있는 피어(20)들에 대한 IP 주소(목적지 주소)가 포함된다.

다음, 망정보 관리서버(300)는 클라이언트(10)의 IP 주소와 피어(20)들의 IP 주소를 토대로 클라이언트(10)와 각 피어(20)간의 홉 카운트, 링크 속도, 전송 지연 시간, 링크의 혼잡도 및 장애여부 등의 성능정보를 수집한다(S405). 그리고, 상기 수집된 성능정보에 기초하여 클라이언트로부터 최적 피어(20)들을 선정하여 우선순위 목록을 생성한다(S406). 상기 우선순위 목록은 상기 수집된 성능정보에 따른 가중치의 합에 기초하여 판단될 수 있다. 망정보 관리서버(300)는 생성된 우선순위 목록을 에이전트(200)를 통하여 클라이언트(10)로 전송한다(S407).

망정보 관리서버(300)는 상기 생성된 우선순위 목록을 에이전트(200)를 통해 클라이언트(10)로 전송함으로써 에이전트(200)의 우선순위 목록 요청에 대한 응답을 완료한다(S408). 이후, S409 단계 내지 S412 단계는 상기 도 3의 S309 단계 내지 S312 단계와 동일하므로 그 설명을 생략한다.

이와 같은 본 발명의 실시 예에 따른 P2P 통신 시스템은 망정보 관리서버(300)를 통하여 클라이언트(10)로부터의 최적 피어(20)들을 선정하여 제공함으로써 네트워크내에서의 P2P 트래픽량뿐만 아니라 네트워크 사업자간을 통과하는 P2P 트래픽량을 줄일 수 있으며, 이를 통하여 효율적으로 망 자원을 활용하는 효과가 있다. 또한, 망정보 관리서버(300)가 상기 클라이언트(10)에 대한 최적 피어(20)들을 선정시 네트워크 링크 또는 노드의 실제 부하 상황을 반영함으로써, 네트워크내 타 애플리케이션에 P2P 트래픽이 미치는 영향을 최소화 할 수 있다.

method at the P2P communication system according to the second preferred embodiment of the present invention.

In the first preferred embodiment of the invention, if it spread from the client (10) and the agent (200) received the search request it spread and the list was produced and it transmitted to the client (10). But the direct connected point of of the agent (200) is different on the second preferred embodiment from the network information management server (300).

The agent (200) of the attached P2P system according to the second preferred embodiment of the present invention overlaps predetermined data divided with service server (100, and not illustrated) to multiple peer (20, and not illustrated) and it distributes and this is managed (S401). And if it spreads from the client (10) and the agent (200) receives the search request (S402) peer (20) which the information requested from the client is stored are searched and it spreads and the list is produced (S403).

Next, the priority list request in which the agent (200) requires the selection of the optimal peer and client (10) is transmitted to the network information management server (300) (S404). At this time, the priority list request includes the IP address (source address) of the client (10) and the IP address (destination address) about the peer (20) in the list it spreads.

The next network information management server (300) collects the capability information including the entropy of the hop count, link speed, propagation delay time, link between the client (10) and each peer (20) and failure etc. on the IP address and IP address of the client (10) it spread (20)s (S405). And optimal peer (20) are selected based on the above-mentioned collected capability information from the client and the priority list is produced (S406). The priority list can be determined based on the sum total of the weighted value according to the above-mentioned collected capability information. The network information management server (300) transmits the generated priority list to the client (10) through the agent (200) (S407).

The response toward the priority list request of the agent (200) is completed since the network information management server (300) transmits the agent (200) the above-mentioned generated priority list with the ask injury client (10) (S408). Then, the S409 step to the S412 step the above omits the description by being identical with the S309 step of 3 to the S312 step.

The P2P communication system according to the embodiment of the invention has the effect that the P2P traffic volume which passes not only the P2P traffic volume at the in-network but also the network operator by selecting optimal peer (20) from the client (10) through the network information management server (300) and providing can be reduced and through this, the network resource is efficiently utilized. Moreover, the network information management server (300) reflects the actual load situation of the network link or the node optimal peer (20) about the client (10) in the selection.

In that way the influence that the P2P traffic reaches the in-network application can be minimized.

한편, 클라이언트(10) 혹은 P2P 서비스 서버(100)의 관점에서는 네트워크 사업자가 관리하고 있는 신뢰성 있는 성능정보에 기초하여 최적 피어(20)들에 대한 정보를 제공받음으로써 전송효율의 극대화에 따른 서비스의 질이 향상되는 효과가 있다. 또한 P2P 어플리케이션에서 피어 선정을 위한 오버헤드를 제거할 수 있는 효과를 기대할 수 있다.

In the meantime, it has the effect that in the viewpoint of the client (10) or the P2P service server (100), the service quality according to the optimization of the transfer efficiency is improved based on the capability information having reliability which the network operator manages by receiving the information about optimal peer (20). Moreover, the effect spreading in the P2P application and can remove the overhead for the selection can be expected.

본 발명의 실시 예는 이상에서 설명한 장치 및/또는 방법을 통해서만 구현이 되는 것은 아니며, 본 발명의 실시 예의 구성에 대응하는 기능을 실현하기 위한 프로그램, 그 프로그램이 기록된 기록 매체 등을 통해 구현될 수도 있으며, 이러한 구현은 앞서 설명한 실시 예의 기재로부터 본 발명이 속하는 기술분야의 전문가라면 쉽게 구현할 수 있는 것이다.

It is not implemented through the apparatus and/or the method which in the above, the embodiment of the invention illustrates and the method can be implemented in the configuration of the embodiment of the invention through the program, for realizing the corresponding function the recording medium etc. and the program is recorded it can implement if it is the expert of the technical Field of the Invention attributed to the material of the embodiment which such implementation before illustrates.

이상에서 본 발명의 실시 예에 대하여 상세하게 설명하였지만 본 발명의 권리범위는 이에 한정되는 것은 아니고 다음의 청구 범위에서 정의하고 있는 본 발명의 기본 개념을 이용한 당업자의 여러 변형 및 개량 형태 또한 본 발명의 권리범위에 속하는 것이다.

In the above, specifically it illustrated for the embodiment of the invention but it belongs to the some deformation and improvement form of the person skilled in the art, using the basic concepts of the invention in which the scope of protection of the present invention is not thus restricted and defined in the following claim s moreover, the scope of protection of the present invention.

## Disclaimer

본 문서는 특허 및 과학기술문헌 전용의 첨단 자동번역 시스템을 이용해 생성되었습니다. 따라서 부분적으로 오역의 가능성이 있으며, 본 문서를 자격을 갖춘 전문 번역가에 의한 번역물을 대신하는 것으로 이용되어서는 안 됩니다. 시스템 및 네트워크의 특성때문에 발생한 오역과 부분 누락, 데이터의 불일치등에 대하여 본원은 법적 책임을 지지 않습니다. 본 문서는 당사의 사전 동의 없이 권한이 없는 일반 대중을 위해 DB 및 시스템에 저장되어 재생, 복사, 배포될 수 없음을 알려드립니다.

(The document produced by using the high-tech machine translation system for the patent and science & technology literature. Therefore, the document can include the mistranslation, and it should not be used as a translation by a professional translator. We hold no legal liability for inconsistency of mistranslation, partial omission, and data generated by feature of system and network. We would like to inform you that the document cannot be regenerated, copied, and distributed by being stored in DB and system for unauthorized general public without our consent.)

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	26516493
<b>Application Number:</b>	14025109
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	6194
<b>Title of Invention:</b>	SYSTEM PROVIDING FASTER AND MORE EFFICIENT DATA COMMUNICATION
<b>First Named Inventor/Applicant Name:</b>	Derry Shribman
<b>Customer Number:</b>	131926
<b>Filer:</b>	Yehuda Binder
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	HOLA-005-US2
<b>Receipt Date:</b>	02-AUG-2016
<b>Filing Date:</b>	12-SEP-2013
<b>Time Stamp:</b>	09:56:38
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	no
------------------------	----

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Information Disclosure Statement (IDS) Form (SB08)	IDS.pdf	1035172  6d18e49790177096794bde2a4456820029ee9081	no	4

### Warnings:

<b>Information:</b>					
2	Foreign Reference	JP_2007-280388-D2.pdf	499402	no	11
			c195dfe87db4ef9f9be1590c74ccee531133e99		
<b>Warnings:</b>					
<b>Information:</b>					
3	Foreign Reference	KR10-2009-0097034-D3.pdf	329618	no	12
			5f29298483d81190dea812453ce67490ee6b88		
<b>Warnings:</b>					
<b>Information:</b>					
4	Foreign Reference	Translation-D3.pdf	526484	no	13
			0eebf93b465df19889468f52f899565f96fd702		
<b>Warnings:</b>					
<b>Information:</b>					
5	Other Reference-Patent/App/Search documents	Preliminary-Rejection.pdf	1396425	no	6
			6c4b995b7dbe3fd68de40dc29fe02f3d5248513b		
<b>Warnings:</b>					
<b>Information:</b>					
6	Other Reference-Patent/App/Search documents	Preliminary-Rejection-translation.pdf	105864	no	5
			f2115f4ffdcdf22ed4fa01c85b103b372845753b		
<b>Warnings:</b>					
<b>Information:</b>					
<b>Total Files Size (in bytes):</b>				3892965	

**This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.**

**New Applications Under 35 U.S.C. 111**

**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

**If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.**



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
14/025,109	09/12/2013	Derry Shribman	HOLA-005-US2	6194

131926 7590 09/08/2016  
May Patents Ltd. c/o Dorit Shem-Tov  
P.O.B 7230  
Ramat-Gan, 5217102  
ISRAEL

EXAMINER
----------

NGUYEN, MINH CHAU

ART UNIT	PAPER NUMBER
----------	--------------

2459

MAIL DATE	DELIVERY MODE
-----------	---------------

09/08/2016

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 14/025,109	<b>Applicant(s)</b> SHRIBMAN ET AL.	
	<b>Examiner</b> MINH-CHAU NGUYEN	<b>Art Unit</b> 2459	<b>AIA (First Inventor to File) Status</b> No

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTHS FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 06/01/2016.  
 A declaration(s)/affidavit(s) under **37 CFR 1.130(b)** was/were filed on \_\_\_\_\_.
- 2a)  This action is **FINAL**.                      2b)  This action is non-final.
- 3)  An election was made by the applicant in response to a restriction requirement set forth during the interview on \_\_\_\_\_; the restriction requirement and election have been incorporated into this action.
- 4)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims\***

- 5)  Claim(s) 26-66 is/are pending in the application.  
5a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 6)  Claim(s) \_\_\_\_\_ is/are allowed.
- 7)  Claim(s) 26-66 is/are rejected.
- 8)  Claim(s) \_\_\_\_\_ is/are objected to.
- 9)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

\* If any claims have been determined allowable, you may be eligible to benefit from the **Patent Prosecution Highway** program at a participating intellectual property office for the corresponding application. For more information, please see [http://www.uspto.gov/patents/init\\_events/pph/index.jsp](http://www.uspto.gov/patents/init_events/pph/index.jsp) or send an inquiry to [PPHfeedback@uspto.gov](mailto:PPHfeedback@uspto.gov).

**Application Papers**

- 10)  The specification is objected to by the Examiner.
- 11)  The drawing(s) filed on \_\_\_\_\_ is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).

**Certified copies:**

- a)  All    b)  Some\*\*    c)  None of the:
1.  Certified copies of the priority documents have been received.
  2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\*\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- 1)  Notice of References Cited (PTO-892)
- 2)  Information Disclosure Statement(s) (PTO/SB/08a and/or PTO/SB/08b)  
Paper No(s)/Mail Date 08/02/2016
- 3)  Interview Summary (PTO-413)  
Paper No(s)/Mail Date. \_\_\_\_\_
- 4)  Other: \_\_\_\_\_

The present application is being examined under the pre-AIA first to invent provisions.

***Remarks***

Applicant's amendment dated June 1, 2016 responding to the March 17, 2016 Non-final Office Action provided in the rejection of claims 26-66. **Claims 26-66** remain pending in the application and which have been fully considered by the examiner.

***Claim Rejections - 35 USC § 103***

The following is a quotation of pre-AIA 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

**Claims 26-66** are rejected under pre-AIA 35 U.S.C. 103(a) as being unpatentable over Garcia-Luna-Aceves et al. (US 2002/0007413) hereinafter "**Garcia**", and in view of **Samuels** et al. (US 7,865,585).

**Claim 26**

**Garcia** teaches a method for data communication between a web server storing a content and a client via one or more devices, for use with a first server, and where the web server, the client, the first server, and the devices are communicatively coupled via



the Internet and each is identified in the internet using a distinct identifier, the method comprising the steps of:

(a) each of the devices sending its identifier to the first server (Garcia, 0102, 0113);

(b) the first server storing the identifiers of the devices (Garcia, 0102, 0113);

(c) the client sending its identifier and the web server identifier to the first server (Garcia, 0113, 0119-0120);

(d) the first server selecting one of tile devices based on associating the identifiers of the devices with the web server identifier (Garcia, 0102-0103, 0121, 0153);

(e) the first server sending the identifier of the selected device to the client (Garcia, 0113-0114, 0119-0121, 0153).

**Garcia** fails to teach (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device.

However, in an analogous art, **Samuels** teaches (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device (Samuels, col. 41, lines 9-29).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the features of (f) the

selected device receiving the content from the web server; and (g) the client receiving the content from the selected device, as disclosed by Samuels, into the teachings of Garcia. One would be motivated to support content delivery system.

**Claim 27**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the steps are sequentially executed (Samuels, col. 41, lines 5-29; col. 84, lines 30-43).

**Claim 28**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the web server is Hypertext Transfer Protocol (HTTP) server and responds to HTTP requests from the selected device (Garcia, 0010).

**Claim 29**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server is HTTP server and responds to HTTP requests from the client, or the devices (Garcia, 0010).

**Claim 30**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the web server is Transmission Control Protocol / Internet Protocol (TCP/IP) server and communicates based on, or according to, using TCP/IP protocol or connection (Garcia, 0096).

**Claim 31**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server is a TCI / IP server and communicates based on, or according to, using TCP/IP protocol or connection (Garcia, 0096).

**Claim 32**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the content includes web-page, audio, or video content (Garcia, 0113, 0153).

**Claim 33**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server selecting one of the devices is based on the web server IP address or URL (Garcia, 0010).

**Claim 34**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the first server selecting one of the devices is based on the selected device IP address (Garcia, 0102-0103, 0121, 0153).

**Claim 35**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the selected device fresher storing the content received from the web server (Garcia, 0102-0103, 0121, 0153).

**Claim 36**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the client sending its identifier and the web server identifier to the first server as part of browser or email application execution (Garcia, 0010, 0102-0103).

**Claim 37**

**Garcia in combination with Samuels** teach the method according to claim 26 further comprising the step of the client sending its identifier to the first server, and the first server storing the client identifier (Samuels, col. 51, lines 37-40).

**Claim 38**

**Garcia in combination with Samuels** teach the method according to claim 37 further for data communication between a second, web server storing a second content and having an identifier in the Internet and a one of the devices via the client, the method further comprising the steps of:

(h) one of the devices sending the second web server identifier to the first server (Garcia, 0102, 0113);

(i) the first server sending the identifier of the client to the one of the devices (Garcia, 0113-0114, 0119-0121, 0153);

(j) the client receiving the second content from the second web server (Garcia, 0113-0114, 0119-0121, 0153); and

(k) the one of the devices receiving the second content from the client (Garcia, 0113-0114, 0119-0121, 0153),

**Claim 39**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the communication with the web server or the first server is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards (Garcia, 0126),

**Claim 40**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the communication with the client or the selected device is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards (Garcia, 0126).

**Claim 41**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein the web server identifier, the first server identifier, or the content identification is using a Uniform Resource Locator (URL) (Garcia, 0010).

**Claim 42**

**Garcia in combination with Samuels** teach the method according to claim 2.6 wherein the web server identifier, the first server identifier, the client identifier, or any of the device's identifier is using Internet Protocol (IP) address (Garcia, 0102, 0113).

**Claim 43**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein in step (d) the first server selecting two or more of the devices based on associating the identifiers of the devices with the web server identifier [i.e. selecting a best cache server based the received IP address of client with using WILD table] (Garcia, 0102-0103, 0114, 0121, 0153). Even though the best cache server is selected, but besides this, Samuels does disclose the selected device receives the content [i.e. "the appliance may select a source to use for receiving the object...the appliance may select the source that responded first..."] (Samuels, col. 41, lines 5-29; col. 84, lines 30-

43); and in step (e) the first server sending the identifiers of the selected two or more devices to the client (Garcia, 0113-0114, 0119-0121, 0153).

#### **Claim 44**

**Garcia in combination with Samuels** teach the method according to claim 43 further comprising the step of the client selecting one of the devices as the selected device [i.e. selecting a best cache server based the received IP address of client with using WILD table] (Garcia, 0102-0103, 0114, 0121, 0153). Even though the best cache server is selected, but besides this, Samuels does disclose the selected device receives the content [i.e. “the appliance may select a source to use for receiving the object...the appliance may select the source that responded first...”] (Samuels, col. 41, lines 5-29; col. 84, lines 30-43).

#### **Claim 45**

**Garcia in combination with Samuels** teach the method according to claim 26 farther comprising the steps of the client sending a communication address to the selected device, followed by communication between the client and the selected device using the communication address [i.e. sending the IP address of the client 300 to a Web router 304] (Garcia, 0113, 0119-0120). Besides this, Samuels does disclose the feature



of communication port number [i.e.” the appliance 200 operate transparently that the appliance does not change any of the source and/or destination address information or port information of a network packet...”, thus the appliance should have or keep the port number of the client] (Samuels, col. 40, lines 48-52).

**Claim 46**

**Garcia in combination with Samuels** teach the method according to claim 26 further comprising the step of the client sending the web server identifier to the selected device (Garcia, 0113-0114, 0119-0121).

**Claim 47**

**Garcia in combination with Samuels** teach the method according to claim 46 further comprising the step of the selected device communicating with the web server (Garcia, 0113-0114, 0119-0121).

**Claim 48**

**Garcia in combination with Samuels** teach the method according to claim 26 wherein step (d) the first server selecting one of the devices based on the geographical location of the devices (Garcia, 0102).

**Claim 49**

**Garcia** teaches a method for data communication between a web server storing a content and a client via one or more devices, for use with a first server, and where the web server, the client, the first server, and the devices are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

(a) each of the devices sending its identifier to the first server (Garcia, 0102, 0113);

(b) the first server storing the identifiers of the devices (Garcia, 0102, 0113);

(c) the client sending its identifier and the web server identifier to the first server (Garcia, 0113, 0119-0120);

(d) selecting one of the devices based on the geographical location of the devices (Garcia, 0102-0103, 0121, 0153);

(e) the first server sending the identifier of the selected device to the client  
(Garcia, 0113-0114, 0119-0121, 0153).

**Garcia** fails to teach (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device.

However, in an analogous art, **Samuels** teaches (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device (Samuels, col. 41, lines 9-29).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the features of (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device, as disclosed by Samuels, into the teachings of Garcia. One would be motivated to support content delivery system.

### **Claim 50**

**Garcia in combination with Samuels** teach the method according to claim 49 wherein in step (d) the first server is selecting one of the devices (Garcia, 0102-0103, 0121, 0153).

**Claim 51**

**Garcia in combination with Samuels** teach the method according to claim 49 wherein in step (d) the client is selecting one of the devices (Garcia, 0102-0103, 0121, 0153).

**Claim 53**

**Garcia** teaches a method for data communication between a client and a web server storing a content via a device, for use with a first server and a device, and where the web server, the client, the first server, and the device are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

(a) sending its identifier and the web server identifier to the first server (Garcia, 0102, 0113, 0119-0121, 0153);

(b) receiving from the first server the identifier of the device (Garcia, 0113-0114, 0119-0121, 0153);

(c) sending the web server identifier to the device (Garcia, 0113, 0119-0120).

**Garcia** fails to teach (d) receiving the content associated with the web server from the device.

However, in an analogous art, **Samuels** teaches receiving the content associated with the web server from the device (Samuels, col. 41, lines 9-29).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate the features of receiving the content associated with the web server from the device, as disclosed by Samuels, into the teachings of Garcia. One would be motivated to support content delivery system.

**Claim 59**

**Garcia in combination with Samuels** teach the method according to claim 53 further for data communication with a second web server storing a second content and having an identifier in the Internet and the devices via the client, the method further comprising the steps of: (e) receiving the second content from the second web server (Garcia, 0113-0114, 0119-0121, 0153); and (f) sending the second content to the device (Samuels, col. 41, lines 9-29).

**Claim 52** does not teach or define any new limitation other than above claim 38.

Therefore, claim 52 is rejected for similar reasons.

**Claims 54-58, 60-66** do not teach or define any new limitation other than above claims 27, 29, 31-32, 36, 39-43, 45-46. Therefore, claims 54-58, 60-66 are rejected for similar reasons.

### ***Response to Arguments***

Applicant's arguments filed June 1, 2016 have been fully considered but they are not persuasive. Specifically, Applicants made the following arguments:

1. **Rejection under 35 U.S.C. 103 – Garcia and Samuels**

**Argument 1**

*Regarding to independent claim 26; Applicant submits that "Garcia and Samuels references are directed towards respectively different purposes and are based on respectively different structures, and thus are not analogous and cannot logically be combined" [see Remarks, page 11].*

In response to applicant's argument that "*references are directed towards respectively different purposes and are based on respectively different structures*" is nonanalogous art, it has been held that a prior art reference must either be in the field of applicant's endeavor or, if not, then be reasonably pertinent to the particular problem with which the applicant was concerned, in order to be relied upon as a basis for rejection of the claimed invention. See *In re Oetiker*, 977 F.2d 1443, 24 USPQ2d 1443 (Fed. Cir. 1992).

**Argument 2**

*Regarding to independent claim 26; Applicant submits that "Based on Figure 3A in Garcia, while not detailed in the Action...A clarification is required if this assumption is not proper or accurate..." [see Remarks, pages 4-5].*

In response to applicant's argument, the Examiner provides interpretations below:

(c) the client sending its identifier and the web server identifier to the first server [i.e. the Web server 302 sends the IP address of the client 300 to a Web router 304. Moreover, when the web server communicates with the web router (by sending client's address), it implies that web server identifier should be included in the communication, thus the web router could identify which web server that have communicated with it] (Garcia, 0113, 0119-0120);

(d) the first server selecting one of tile devices based on associating the identifiers of the devices with the web server identifier [i.e. selecting a best cache server based the received IP address of client with using WILD table] (Garcia, 0102-0103, 0114, 0121, 0153);

(e) the first server sending the identifier of the selected device to the client [i.e. the web router sends the IP address of the best cache server to the client] (Garcia, 0113-0114, 0119-0121, 0153).

Even though **Garcia** does not disclose the features of (f) the selected device receiving the content from the web server; and (g) the client receiving the content from

the selected device. However, **Samuels** does disclose those features, such as (f) the selected device receiving the content from the web server; and (g) the client receiving the content from the selected device [i.e. “the appliance 200 is deployed in line with a WAN link of a router”; and “the appliance 200 behaves as a transparent proxy, by intercepting and forwarding requests and responses transparently to a client and/or server”, thus the appliance/transparent proxy is considered as web server which forwards the request to the server (e.g. it is considered as the selected device receives the content from the web server) and forwards the response to the client (i.e. the client receives the content from the server via the proxy)] (Samuels, col. 41, lines 5-29).

Therefore, the combination of Garcia and Samuels has not failed to disclose or suggest the limitations recited in claim 26.

### **Argument 3**

*Regarding to independent claim 27; Applicant submits that “the selected device receives the content ONLY AFTER and ONLY AS A RESULT of the selection and the identifying the content requested by the client. In contrast, the cache mechanisms disclosed by both Samuels and Garcia refers to receiving and storing information BEFORE the content is required by any client” [see Remarks, page 5].*

In response to applicant's argument, the Examiner disagrees. First, Garcia does disclose the selection a device based on client's identifier (see response to above); and Samuels does disclose the selected device receives the content [i.e. “the appliance may



select a source to use for receiving the object...the appliance may select the source that responded first..."] (Samuels, col. 41, lines 5-29; col. 84, lines 30-43).

Therefore, the combination of Garcia and Samuels has not failed to disclose or suggest the limitations recited in claim 27.

#### **Argument 4**

*Regarding to independent claim 29; Applicant submits that "Garcia is silent regarding the Web Router 304/404 that is equated to the claimed first server being an HTTP Server...DNS server which is typically not an HTTP Server" [see Remarks, page 5].*

In response to applicant's argument, the Examiner disagrees. Garcia does disclose the feature of ***the first server is HTTP server and responds to HTTP requests from the client, or the devices*** [i.e. "The client (web browser) obtains the required information objects from a server (web server) using a request-response dialogue as part of the Hypertext Transfer Protocol (HTTP)"; and "The redirecting Web router then redirects the client (e.g. via an http redirect) to a topologically close information object..."] (Garcia, 0010, 0058).

Therefore, the combination of Garcia and Samuels has not failed to disclose or suggest the limitations recited in claim 29.

#### **Argument 5**

*Regarding to independent claim 33; Applicant submits that "Garcia is silent regarding selecting a device based on identification of the web server, and is in particular silent regarding selecting a device based on the web server IP address or URL as recited in the claim" [see Remarks, pages 5-6].*

In response to applicant's argument, the Examiner disagrees. Again, Garcia does disclose the feature of ***the first server selecting one of the devices is based on the web server IP address or URL*** [i.e. when the web server communicates with the web router (by sending client's address), it implies that web server identifier should be included in the communication, thus the web router could identify which web server that have communicated with it] (Garcia, 0113, 0119-0120).

### **Argument 6**

*Regarding to independent claim 37; Applicant submits that "Garcia is silent regarding generally any server, and in particular regarding the first server, storing the client identifier as recited in the claim" [see Remarks, page 6].*

In response to applicant's argument, the Examiner disagrees. Samuels does disclose the feature of the first server storing the client identifier [i.e. "The appliance may store nay information relating the TCP connection, including...source and destination addresses"] (Samuels, col. 51, lines 37-40).

### **Argument 7**

*Regarding to independent claim 38; Applicant submits that "Garcia is silent regarding the limitations recited in the claim. In particular, both Garcia and Samuels teaches ONLY cache devices receiving content from web servers, and ONLY providing stored content to client devices. Both Garcia and Samuels are silent, and actually teaches away, from receiving any content from a client device, as recited in element (k) in the claim" [see Remarks, page 6].*

In response to applicant's argument, the Examiner disagrees. Garcia does disclose the feature of the one of the devices receiving the content from the client [i.e. according to broadly claim language of "content", thus the request and/or IP address may include in the request, which is being sent to the server, is considered as the content] (Garcia, 0113-0114, 0119-0121, 0153),

### **Argument 8**

*Regarding to independent claims 43-44; Applicant submits that "Garcia explicitly discloses selecting a SINGLE cache server (best cache server' in the cited paragraph 0114). Garcia is silent selecting multiple devices as recited in the claim. The selection of any devices is performed by the in the Garcia reference by the Web Router 304/404 and NOT by the client device as recited in claim 44" [see Remarks, page 6].*

In response to applicant's argument, the Examiner disagrees. Garcia does disclose the selection step [i.e. selecting a best cache server based the received IP address of client with using WILD table] (Garcia, 0102-0103, 0114, 0121, 0153). Even though the best cache server is selected, but besides this, Samuels does disclose the selected device receives the content [i.e. "the appliance may select a source to use for

receiving the object...the appliance may select the source that responded first..."]

(Samuels, col. 41, lines 5-29; col. 84, lines 30-43)

### **Argument 9**

*Regarding to independent claim 45; Applicant submits that "Garcia is silent generally regarding any port number, and in particular regarding the client device sending a port number as recited in the claim" [see Remarks, page 6].*

In response to applicant's argument, the Examiner disagrees. Garcia does disclose the feature of the client sending a communication address to the selected device [i.e. sending the IP address of the client 300 to a Web router 304] (Garcia, 0113, 0119-0120). Besides this, Samuels does disclose the feature of communication port number [i.e. "the appliance 200 operate transparently that the appliance does not change any of the source and/or destination address information or port information of a network packet..."], thus the appliance should have or keep the port number of the client] (Samuels, col. 40, lines 48-52)

### **Argument 10**

*Regarding to independent claim 46; Applicant submits that "Garcia is silent generally regarding sending the web server identifier to any device, and in particular regarding the client device sending the web server identifier to the selected device" [see Remarks, page 7].*

In response to applicant's argument, the Examiner disagrees. Please see the response to argument 2 above for details.

**Argument 11**

*Regarding to independent claim 48; Applicant submits that "Garcia is silent generally regarding selecting any device using any criterion other than the client identification, and in particular regarding any selection that is based on any geographical location" [see Remarks, page 7].*

In response to applicant's argument, the Examiner disagrees. Garcia does disclose the selection step (please see the response to argument 1 above for detail); and Garcia also does disclose the selecting web cache is based on client's IP address and WILD table which is used for mapping the client's IP address. The mapping also require the location of information of objects to addresses of Web caches which is considered as geographical location of the devices (Garcia, 0102).

**Argument 12**

*Regarding to independent claim 49; Applicant submits that "Garcia is silent generally regarding selecting any device using other than the client identification, Garcia is silent regarding any physical location of any network apparatus, and in particular regarding any selection that is based on any geographical location, as recited in element (d)" [see Remarks, page 7].*

In response to applicant's argument, the Examiner disagrees. Garcia does disclose the selection step (please see the response to argument 1 above for detail); and Garcia also does disclose the selecting web cache is based on client's IP address and WILD table which is used for mapping the client's IP address. The mapping also

require the location of information of objects to addresses of Web caches which is considered as geographical location of the devices (Garcia, 0102).

### **Argument 13**

*Regarding to independent claim 51; Applicant submits that "Garcia is silent generally regarding any selecting of any device other than in a server in general, and in particular regarding any selection of device by the client device" [see Remarks, page 7].*

In response to applicant's argument, the Examiner disagrees. Garcia does disclose the feature of the client is selecting one of the devices [i.e. selecting a best cache server (e.g. it implies the best cache server must be selected/determined from a plurality of cache servers) based the received IP address of client with using WILD table] (Garcia, 0102-0103, 0121, 0153).

In addition, in response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., *selecting of any device other than in a server*) are not recited in the rejected claim(s). Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

### ***Conclusion***

Applicant's amendment necessitated the ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

#### ***Correspondence Information***

Any inquiry concerning this communication or earlier communications from the examiner should be directed to MINH-CHAU NGUYEN whose telephone number is (571)272-4242. The examiner can normally be reached on 9AM-4PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, JEFFREY L. NICKERSON can be reached on (571) 270-3631. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/MINH-CHAU NGUYEN/  
Primary Examiner, Art Unit 2459



<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	NGUYEN, MINH CHAU

**U.S. PATENTS**

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/M.N./	1	7970835	B2	2011-28-01	Xerox Corporation	

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S. PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
/M.N./	1	20080109446	A1	2008-05-08	Wang Matrix XIN	

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2i</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
/M.N./	1	2007280388	JP		2007-25-10	Xerox Corporation		
/M.N./	2	1020090097034	KR		2009-15-09	KT Corporation		×

If you wish to add additional Foreign Patent Document citation information please click the Add button.

**NON-PATENT LITERATURE DOCUMENTS**

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT ( Not for submission under 37 CFR 1.99)</b>	Application Number		14025109
	Filing Date		2013-09-12
	First Named Inventor	Derry Shribman	
	Art Unit		2459
	Examiner Name		NGUYEN, MINH CHAU
	Attorney Docket Number		NGUYEN, MINH CHAU

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
/M.N./	1	Notice of Preliminary Rejection in KR Application No. 10-2012-7011711 dated July 15, 2016	×

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature	/Minh Chau Nguyen/	Date Considered	09/05/2016
--------------------	--------------------	-----------------	------------

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	NGUYEN, MINH CHAU

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Yehuda Binder/	Date (YYYY-MM-DD)	2016-08-01
Name/Print	Yehuda BINDER	Registration Number	73612


This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.


The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

<b><i>Index of Claims</i></b> 	<b>Application/Control No.</b> 14025109	<b>Applicant(s)/Patent Under Reexamination</b> SHRIBMAN ET AL.
	<b>Examiner</b> MINH-CHAU NGUYEN	<b>Art Unit</b> 2459

✓	<b>Rejected</b>	-	<b>Cancelled</b>	N	<b>Non-Elected</b>	A	<b>Appeal</b>
=	<b>Allowed</b>	÷	<b>Restricted</b>	I	<b>Interference</b>	O	<b>Objected</b>

<input type="checkbox"/> Claims renumbered in the same order as presented by applicant		<input type="checkbox"/> CPA		<input type="checkbox"/> T.D.		<input type="checkbox"/> R.1.47	
CLAIM		DATE					
Final	Original	03/07/2016	09/02/2016				
	1	-	-				
	2	-	-				
	3	-	-				
	4	-	-				
	5	-	-				
	6	-	-				
	7	-	-				
	8	-	-				
	9	-	-				
	10	-	-				
	11	-	-				
	12	-	-				
	13	-	-				
	14	-	-				
	15	-	-				
	16	-	-				
	17	-	-				
	18	-	-				
	19	-	-				
	20	-	-				
	21	-	-				
	22	-	-				
	23	-	-				
	24	-	-				
	25	-	-				
	26	✓	✓				
	27	✓	✓				
	28	✓	✓				
	29	✓	✓				
	30	✓	✓				
	31	✓	✓				
	32	✓	✓				
	33	✓	✓				
	34	✓	✓				
	35	✓	✓				
	36	✓	✓				

<b><i>Index of Claims</i></b> 	<b>Application/Control No.</b> 14025109	<b>Applicant(s)/Patent Under Reexamination</b> SHRIBMAN ET AL.
	<b>Examiner</b> MINH-CHAU NGUYEN	<b>Art Unit</b> 2459

✓	<b>Rejected</b>	-	<b>Cancelled</b>	N	<b>Non-Elected</b>	A	<b>Appeal</b>
=	<b>Allowed</b>	÷	<b>Restricted</b>	I	<b>Interference</b>	O	<b>Objected</b>

Claims renumbered in the same order as presented by applicant
  CPA
  T.D.
  R.1.47

CLAIM		DATE							
Final	Original	03/07/2016	09/02/2016						
	37	✓	✓						
	38	✓	✓						
	39	✓	✓						
	40	✓	✓						
	41	✓	✓						
	42	✓	✓						
	43	✓	✓						
	44	✓	✓						
	45	✓	✓						
	46	✓	✓						
	47	✓	✓						
	48	✓	✓						
	49	✓	✓						
	50	✓	✓						
	51	✓	✓						
	52	✓	✓						
	53	✓	✓						
	54	✓	✓						
	55	✓	✓						
	56	✓	✓						
	57	✓	✓						
	58	✓	✓						
	59	✓	✓						
	60	✓	✓						
	61	✓	✓						
	62	✓	✓						
	63	✓	✓						
	64	✓	✓						
	65	✓	✓						
	66	✓	✓						

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-14)

Approved for use through 07/31/2016. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

### REQUEST FOR CONTINUED EXAMINATION(RCE)TRANSMITTAL (Submitted Only via EFS-Web)

Application Number	14/025,109	Filing Date	2013-09-12	Docket Number (if applicable)	HOLA-005-US2	Art Unit	2459
First Named Inventor	Derry Shribman			Examiner Name	NGUYEN, MINH CHAU		

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.** Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, to any international application that does not comply with the requirements of 35 U.S.C. 371, or to any design application. The Instruction Sheet for this form is located at WWW.USPTO.GOV.

#### SUBMISSION REQUIRED UNDER 37 CFR 1.114

Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

Consider the arguments in the Appeal Brief or Reply Brief previously filed on \_\_\_\_\_

Other \_\_\_\_\_

Enclosed

Amendment/Reply

Information Disclosure Statement (IDS)

Affidavit(s)/ Declaration(s)

Other \_\_\_\_\_

#### MISCELLANEOUS

Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months \_\_\_\_\_ (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

Other \_\_\_\_\_

#### FEES

**The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.** The Director is hereby authorized to charge any underpayment of fees, or credit any overpayments, to Deposit Account No 506726

#### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

<input checked="" type="checkbox"/>	Patent Practitioner Signature
<input type="checkbox"/>	Applicant Signature

Doc code: RCEX

Doc description: Request for Continued Examination (RCE)

PTO/SB/30EFS (07-14)

Approved for use through 07/31/2016. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Signature of Registered U.S. Patent Practitioner			
Signature	Yehuda Binder/	Date (YYYY-MM-DD)	2016-11-30
Name	BINDER Yehuda	Registration Number	73612

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

*If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.*



## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ATTY.'S DOCKET: HOLA-005-US2

In re Application of: ) Confirmation No. 6194  
Derry Shribman *et al.* ) Art Unit: 2459  
Appln. No.: 14/025,109 ) Examiner: Nguyen, Minh Chau  
Filed: September 12, 2013 ) Washington, D.C.  
For: SYSTEM PROVIDING FASTER )  
AND MORE EFFICIENT )  
DATA COMMUNICATION ) November 30, 2016  
)

**RESPONSE / AMENDMENT:**

Honorable Commissioner for Patents  
U.S. Patent and Trademark Office  
Randolph Building, Mail Stop Amendments  
401 Dulany Street  
Alexandria, VA 22314

Sir:

In response to the Office Action of September 8, 2016 ("Action"), as part of a filed RCE kindly amend the above-identified application as follows:

**Amendments to the Claims** appear in the Listing of Claims that begins on page 2 of this paper.

**Remarks/Arguments** begin on page 12 of this paper.

**Amendments to the claims**

This listing of claims will replace all prior versions, and listings, of claims in the application.

**Listing of claims:**

1-25. (Cancelled)

26. (Currently amended) A method for use with a group of clients for data communication between a web server storing a content and a requesting client via one or more ~~devices~~ clients selected from the group, for use with a first server, and where the web server, the requesting client, the first server, and the ~~devices~~ clients in the group are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

- (a) each of the ~~devices~~ clients in the group sending its identifier to the first server;
- (b) the first server receiving and storing the identifiers of the clients in the group ~~devices~~;
- (c) the requesting client sending its identifier and the web server identifier to the first server;
- (d) the first server selecting one of the ~~devices~~ clients from the group based on associating the identifiers of the ~~devices~~ clients with the web server identifier;
- (e) the first server sending the identifier of the selected ~~device~~ client to the requesting client;
- (f) the selected ~~device~~ client receiving the content from the web server; and

(g) the requesting client receiving the content from the selected client device.

27. (Previously presented) The method according to claim 26, wherein the steps are sequentially executed.

28. (Currently amended) The method according to claim 26, wherein the web server is Hypertext Transfer Protocol (HTTP) server and responds to HTTP requests from the selected client device.

29. (Currently amended) The method according to claim 26, wherein the first server is HTTP server and responds to HTTP requests from the requesting client or the ~~clients in the group devices~~.

30. (Previously presented) The method according to claim 26, wherein the web server is Transmission Control Protocol / Internet Protocol (TCP/IP) server and communicates based on, or according to, using TCP/IP protocol or connection.

31. (Previously presented) The method according to claim 26, wherein the first server is a TCP / IP server and communicates based on, or according to, using TCP/IP protocol or connection.

32. (Previously presented) The method according to claim 26, wherein the content includes web-page, audio, or video content.

33. (Currently amended) The method according to claim 26, wherein the first server selecting one of the ~~devices~~ clients is based on the web server IP address or URL.

34. (Currently amended) The method according to claim 26, wherein the first server selecting one of the ~~devices~~ clients is based on the selected client device IP address.

35. (Currently amended) The method according to claim 26, wherein the selected ~~device~~ client further storing the content received from the web server.

36. (Currently amended) The method according to claim 26, wherein the requesting client sending its identifier and the web server identifier to the first server as part of browser or email application execution.

37. (Currently amended) The method according to claim 26, further comprising the step of the requesting client sending its identifier to the first server, and the first server storing the requesting client identifier.

38. (Currently amended) The method according to claim 37, further for data communication between a second web server storing a second content and having an identifier in the Internet and a one of the ~~devices~~ clients via the requesting client, the method further comprising the steps of:

(h) one of the clients ~~devices~~ sending the second web server identifier to the first server;

(i) the first server sending the identifier of the requesting client to the one of the ~~devices~~ clients;

(j) the requesting client receiving the second content from the second web server; and

(k) the one of the ~~devices~~ clients receiving the second content from the requesting client.

39. (Currently amended) The method according to claim 26, wherein the communication with the web server or the requesting

first server is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

40. (Currently amended) The method according to claim 26, wherein the communication with the requesting client or the selected client ~~device~~ is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

41. (Previously presented) The method according to claim 26, wherein the web server identifier, the first server identifier, or the content identification is using a Uniform Resource Locator (URL).

42. (Currently amended) The method according to claim 26, wherein the web server identifier, the first server identifier, the requesting client identifier, or any of the ~~device's~~ client's identifier is using Internet Protocol (IP) address.

43. (Currently amended) The method according to claim 26, wherein in step (d) the first server selecting two or more of the clients ~~devices~~ based on associating the identifiers of the clients ~~devices~~ with the web server identifier; and in step (e) the first server sending the identifiers of the selected two or more clients ~~devices~~ to the requesting client.

44. (Currently amended) The method according to claim 43, further comprising the step of the requesting client selecting one of the clients ~~devices~~ as the selected client ~~device~~.

45. (Currently amended) The method according to claim 26, further comprising the steps of the requesting client sending a communication port number to the selected client ~~device~~, followed by communication between the requesting client and the selected client ~~device~~ using the communication port number.

Appln. No. 14/025,109

Reply to Office action of September 8, 2016

46. (Currently amended) The method according to claim 26, further comprising the step of the requesting client sending the web server identifier to the selected client ~~device~~.

47. (Currently amended) The method according to claim 46, further comprising the step of the selected client ~~device~~ communicating with the web server.

48. (Currently amended) The method according to claim 26, wherein step (d) the first server selecting one of the clients ~~devices~~ based on the geographical location of the clients ~~devices~~.

49. (Currently amended) A method for use with a group of clients for data communication between a web server storing a content and a requesting client via one or more clients selected from the group devices, for use with a first server, and where the web server, the requesting client, the first server, and the clients in the group devices are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

- (a) each of the clients in the group devices sending its identifier to the first server;
- (b) the first server receiving and storing the identifiers of the clients in the group devices;
- (c) the requesting client sending its identifier and the web server identifier to the first server;
- (d) selecting one of the clients from the group devices based on the geographical location of the clients devices;
- (e) the first server sending the identifier of the selected client device to the requesting client;
- (f) the selected client device receiving the content from the web server; and
- (g) the requesting client receiving the content from the selected client device.

50. (Currently amended) The method according to claim 49, wherein in step (d) the first server is selecting one of the clients devices.



51. (Currently amended) The method according to claim 49, wherein in step (d) the requesting client is selecting one of the clients ~~devices~~.

52. (Currently amended) The method according to claim 49, further for data communication between a second web server storing a second content and having an identifier in the Internet and a one of the clients ~~devices~~ via the requesting client, the method further comprising the steps of:

(h) one of the clients ~~devices~~ sending the second web server identifier to the first server;

(i) the first server sending the identifier of the requesting client to the one of the clients ~~devices~~;

(j) the requesting client receiving the second content from the second web server; and

(k) the one of the clients ~~devices~~ receiving the second content from the requesting client.

53. (Currently amended) A method for data communication between a requesting client and a web server storing a content via a second client device, for use with a first server and a second client device, and where the web server, the requesting client, the first server, and the second client device are communicatively coupled via the Internet and each is identified in the Internet using a distinct identifier, the method comprising the steps of:

- (a) sending its identifier and the web server identifier to the first server;
- (b) receiving from the first server the identifier of the second client device;
- (c) sending the web server identifier to the second client device; and
- (d) receiving the content associated with the web server from the second client device.

54. (Previously presented) The method according to claim 53, wherein the steps are sequentially executed.

55. (Previously presented) The method according to claim 53, wherein the first server is HTTP server and responds to HTTP requests.

56. (Previously presented) The method according to claim 53, wherein the first server is a TCP / IP server and communicates based on, or according to, using TCP/IP protocol or connection.

57. (Previously presented) The method according to claim 53, wherein the content includes web-page, audio, or video content.

58. (Previously presented) The method according to claim 53, wherein the steps are part of browser or email application execution.

59. (Currently amended) The method according to claim 53, further for data communication with a second web server storing a second content and having an identifier in the Internet and the second clients devices via the requesting client, the method further comprising the steps of:

(e) receiving the second content from the second web server;  
and

(f) sending the second content to the second client device.

60. (Previously presented) The method according to claim 53, wherein the communication with the web server or the first server is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

61. (Currently amended) The method according to claim 53, wherein the communication with the second client device is based on, or according to, one out of UDP, DNS, TCP, FTP, POP#, SMTP, or SQL standards.

62. (Previously presented) The method according to claim 53, wherein the web server identifier, the first server identifier, or the content identification is using a Uniform Resource Locator (URL).

63. (Currently amended) The method according to claim 53, wherein the web server identifier, the first server identifier, the requesting client identifier, or the second client device identifier is using Internet Protocol (IP) address.

64. (Currently amended) The method according to claim 53, wherein step (b) comprising the receiving from the first server the identifiers of two or more second clients ~~devices~~, and further comprising the step of selecting one out of second clients ~~devices~~ based on associating the identifiers of the second clients ~~devices~~ with the web server identifier.

65. (Currently amended) The method according to claim 53, further comprising the steps of sending a communication port number to the second client ~~device~~, followed by communication with the second client ~~device~~ using the communication port number.

66. (Currently amended) The method according to claim 53, further comprising the step of sending the web server identifier to the second client ~~device~~.

Appln. No. 14/025,109  
Reply to Office action of September 8, 2016

**REMARKS / ARGUMENTS**

The examiner's action dated September 8, 2016 ("Action") has been received and its contents carefully noted.

Without agreeing with the rejections, and in order to further distinguish over the Garcia reference, the claims were amended to specify that the 'devices' are 'clients'. This is supported throughout the specification, where the devices / clients are referred to as 'peers', such as in clients 70-78 in Figure 2.

According to the Action, the 'device' was equated in the Garcia reference to the cache server 306, which is clearly **a dedicated device and performs a server functionality**. The Garcia reference is silent, and actually teaches away from identifying and using another client device for supporting a content request by a specific client.

Appln. No. 14/025,109  
Reply to Office action of September 8, 2016

The absence of a reply to a specific rejection, issue, or comment, does not signify agreement with that rejection, issue, or comment. In addition, because the arguments made above may not be exhaustive, there may be reasons for patentability of any or all pending claims that have not been expressed.

Nothing in this reply should be understood as conceding any issue with regard to any claim, except as specifically stated in this reply, and the amendment of any claims does not necessarily signify concession of unpatentability to the claim before its amendment.

In view of the foregoing, it is requested that all of the rejections be reconsidered and withdrawn and that the claims be considered allowable.

If the above arguments should not now place the application in the condition for allowance, the examiner is invited to call undersigned counsel to resolve any remaining issues.

Respectfully submitted,

By           /Yehuda Binder/            
          Yehuda Binder  
          Registration No. 73,612

Tel: +972-54-4444577  
Fax: +972-9-7442619

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	HOLA-005-US2

U.S. PATENTS						Remove
Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
	1	8479251	B2	2013-07-02	Feinleib et al	
	2	8499059	B2	2013-07-30	Stoyanov	
	3	7970835	B2	2011-28-01	Xerox Corporation	
	4	8832179	B2	2014-09-09	Owen , et al.	
	5	6173330	B1	2001-09-01	Guo , et al.	
	6	8769035	B2	2014-01-07	Resch , et al.	
	7	8171101	B2	2012-05-01	Gladwin , et al.	
	8	7558942	B2	2009-07-07	Chen , et al.	

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	HOLA-005-US2

9	4937781	A	1990-06-26	Lee , et al.
---	---------	---	------------	--------------

If you wish to add additional U.S. Patent citation information please click the Add button.

**U.S.PATENT APPLICATION PUBLICATIONS**

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	20150067819	A1	2015-03-05	Hola Networks Ltd.	
	2	20120254456	A1	2012-10-04	Visharam Zubair et al.	
	3	20080222291	A1	2008-09-11	Weller et al.	
	4	20100235438	A1	2010-09-16	Narayanan et al.	
	5	20120124239	A1	2012-05-17	Shribman et al.	
	6	20130166768	A1	2013-06-27	Thomson Licensing	
	7	20020065930	A1	2002-30-05	Rhodes, David L.	
	8	20030204602	A1	2003-10-30	Hudson Michael D.	



**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	HOLA-005-US2

9	20120099566	A1	2012-04-26	Laine; Tuomas ; et al.
10	20130201316	A1	2013-08-08	BINDER; Yehuda ; et al.
11	20080125123	A1	2008-05-29	Dorenbosch; Jheroen P. ; et al.
12	20140301334	A1	2014-10-09	Labranche; Miguel ; et al.
13	20070239655	A1	2007-10-11	Agetsuma; Masakuni ; et al.
14	20070226810	A1	2007-09-27	Hotti; Timo
15	20100094970	A1	2010-04-15	Zuckerman; Gal ; et al.
16	20020120874	A1	2002-29-08	Shu, Li ; et al.
17	20100115063	A1	2010-06-05	GLADWIN; S. CHRISTOPHER ; et al.
18	20100154044	A1	2010-17-06	Manku; Tajinder
19	20100293555	A1	2010-15-11	VEPSALAINEN; Ari M.

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**  
( Not for submission under 37 CFR 1.99)

Application Number	14025109
Filing Date	2013-09-12
First Named Inventor	Derry Shribman
Art Unit	2459
Examiner Name	NGUYEN, MINH CHAU
Attorney Docket Number	HOLA-005-US2

20	20130272519	A1	2013-17-10	Huang; Lawrence P.
21	20030115364	A1	2003-06-19	Shu Li et al.
22	20090217122	A1	2009-27-08	Yokokawa; Takashi ; et al.
23	20010033583	A1	2001-25-10	Rabenko, Theodore F. ; et al.

If you wish to add additional U.S. Published Application citation information please click the Add button.

**FOREIGN PATENT DOCUMENTS**

Examiner Initial*	Cite No	Foreign Document Number <sup>3</sup>	Country Code <sup>2</sup>	Kind Code <sup>4</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T <sup>5</sup>
	1	2015034752	WO	A1	2015-03-12	Akamai Technologies INC		
	2	2000/018078	WO	A1	2000-03-30	Sopuch David. J		
	3	0948176	EP	A2	1999-10-06	Siemens Inf &Comm Networks		
	4	2597869	EP	A1	2015-05-29	Sharp Kabushiki Kaisha Osaka-shi		
	5	2010090562	WO	A1	2010-08-12	Telefonaktiebolaget L M Ericsson		

If you wish to add additional Foreign Patent Document citation information please click the Add button.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	HOLA-005-US2

<b>NON-PATENT LITERATURE DOCUMENTS</b>			<input type="button" value="Remove"/>
Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	1	R. Fielding et al, RFC 2616: Hypertext Transfer Protocol -- HTTP/1.1, June 1999, retrieved from the Internet <a href="http://rfc-editor.org">http://rfc-editor.org</a> [retrieved Apr. 15, 2002] (114 pages)	
	2	"On the Leakage of Personally Identifiable Information via Online Social Networks"-Wills et al, AT&T, Apr. 2009 <a href="http://www2.research.att.com/~bala/papers/wosn09.pdf">http://www2.research.att.com/~bala/papers/wosn09.pdf</a> .	

If you wish to add additional non-patent literature document citation information please click the Add button

**EXAMINER SIGNATURE**

Examiner Signature		Date Considered	
--------------------	--	-----------------	--

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> See Kind Codes of USPTO Patent Documents at [www.USPTO.GOV](http://www.USPTO.GOV) or MPEP 901.04. <sup>2</sup> Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>3</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>4</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>5</sup> Applicant is to place a check mark here if English language translation is attached.

<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number	14025109
	Filing Date	2013-09-12
	First Named Inventor	Derry Shribman
	Art Unit	2459
	Examiner Name	NGUYEN, MINH CHAU
	Attorney Docket Number	HOLA-005-US2

**CERTIFICATION STATEMENT**

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

**OR**

That no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(2).

See attached certification statement.

The fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

A certification statement is not submitted herewith.

**SIGNATURE**

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/Yehuda Binder/	Date (YYYY-MM-DD)	2016-11-29
Name/Print	Yehuda BINDER	Registration Number	73612

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. **DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

## Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these records.
2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.



(19)

Europäisches Patentamt  
European Patent Office  
Office européen des brevets



(11)

**EP 0 948 176 B1**

(12)

**EUROPEAN PATENT SPECIFICATION**

(45) Date of publication and mention  
of the grant of the patent:  
**08.11.2006 Bulletin 2006/45**

(51) Int Cl.:  
**H04L 29/06<sup>(2006.01)</sup>**

(21) Application number: **99103162.6**

(22) Date of filing: **18.02.1999**

(54) **System for providing enhanced security for transactions transmitted through a distributed network**

System zur Bereitstellung verbesserter Sicherheit für Transaktionen die über ein verteiltes Netzwerk übertragen werden

Système permettant d'améliorer la sécurité de transactions transmis par un réseau réparti

(84) Designated Contracting States:  
**DE FR GB IT**

(30) Priority: **31.03.1998 US 52812**

(43) Date of publication of application:  
**06.10.1999 Bulletin 1999/40**

(73) Proprietor: **Siemens Information and  
Communication Networks,  
Inc  
Boca Raton,  
FL 33487 (US)**

(72) Inventors:  
• **Shaffer, Shmuel  
Palo Alto,  
CA 94301 (US)**  
• **Beyda, William Joseph  
Cupertino,  
CA 95014 (US)**

(74) Representative: **Morgan, Marc et al  
Siemens Shared Services,  
c/o Siemens AG,  
Postfach 22 16 34  
80506 Munich (DE)**

(56) References cited:  
**EP-A- 0 633 678                   US-A- 5 151 899**  
**US-A- 5 579 307               US-A- 5 608 721**

- **S.M. BELLOVIN: "Security Problems in the TCP/IP Protocol Suite" COMPUTER COMMUNICATION REVIEW, vol. 19, no. 2, 30 April 1989 (1989-04-30), pages 32-48, XP002257014**
- **S. BELLOVIN: "RFC 1948: Defending Against Sequence Number Attacks" RFC1948, [Online] 31 May 1996 (1996-05-31), XP002257015 Retrieved from the Internet: &lt;URL:http://www.faqs.org/rfcs/rfc1948.html &gt; [retrieved on 2003-10-08]**

**EP 0 948 176 B1**

Note: Within nine months from the publication of the mention of the grant of the European patent, any person may give notice to the European Patent Office of opposition to the European patent granted. Notice of opposition shall be filed in a written reasoned statement. It shall not be deemed to have been filed until the opposition fee has been paid. (Art. 99(1) European Patent Convention).

**Description**Field of the Invention

**[0001]** The present invention is directed to the field of network security, and more specifically to preventing third parties from intercepting data transmissions over a network.

Background of the Invention

**[0002]** As the world becomes ever more tightly connected with the proliferation and increasing popularity of the Internet, electronic commerce will become increasingly important in our lives. Banks and other financial institutions allow customers to access their accounts over the Internet; brokerage houses allow clients to trade stocks, mutual funds and other securities over the Internet; and manufacturers, wholesalers, and retailers allow customers to order and pay for products over the Internet. While the most significant financial transactions are still carried out over private electronic data interchange (EDI), bank, and interbank networks, consumer credit card transactions are carried out over the Internet with increasing frequency. While most of these credit card transactions are carried out with some form of encryption, user anxiety remains high because messages can be intercepted by third parties and translated at leisure, using common decryption techniques. Even though a relatively small percentage of Internet transactions contain credit card numbers, one could simply locate a merchant that accepts credit card transactions and search for those transactions having the merchant's IP address. This is guaranteed to lead to the discovery of numerous credit card containing packets which can then be decrypted offline.

**[0003]** "Security Problems in the TCP/IP Protocol Suite" S.M. Bellovin in Computer Communication Review, Volume 19, No. 2, 30<sup>th</sup> April 1989 (1989-04-30) pages 32-48 discloses a method of transmitting data by distributing a sequence of characters among a plurality of packets, assigning each packet a number and transmitting the plurality of packets from a source node to a destination node.

**[0004]** US 5151899 Harvey et al discloses a method of transmitting data by packets wherein a sequence of characters is distributed across a number of packets and each packet is assigned a packet number.

**[0005]** "Internetworking with TCP/IP2, D. E. Comer, Prentice-Hall International Editions, 1991, pages 103-104, ISBN 0-13-474321-0 discloses a method for forcing a packet to follow a particular route for the purpose of testing the route.

Summary of the Invention

**[0006]** According to the invention there is provided a method of transmitting transaction data as recited in

claim 1.

Brief Description of the Drawings

**[0007]** The foregoing aspects and many of the attendant advantages of this invention will become more readily appreciated as the same becomes better understood by reference to the following detailed description, when taken in conjunction with the accompanying drawings, wherein:

FIGURE 1A is a block diagram of a general purpose computer system for implementing the present invention;  
 FIGURE 1B is a diagram of a network for implementing the present invention;  
 FIGURE 2 is a flow chart depicting an overall operation of the present invention;  
 FIGURE 3A-3D depict exemplary Internet packet numbering schemes according to the prior art and the present invention;  
 FIGURE 4 depicts Internet packet routing according to the prior art; and  
 FIGURE 5 depicts the routing of renumbered Internet packets according to the present invention.

Detailed Description of the Preferred Embodiment

**[0008]** The present invention is directed to a system for providing enhanced security to transactions sent from a client computer to a server computer through a distributed network. In accordance with the present invention, the system of the present invention executes on a computer, such as a general purpose personal computer. FIGURES 1A-1B and the following discussion are intended to provide a brief, general description of a suitable computing environment in which the invention may be implemented. Although not required, the invention will generally be implemented as computer-executable instructions, such as program modules, being executed by a personal computer. Generally, program modules include routines, programs, objects, components, data structures, etc. that perform particular tasks or implement particular abstract data types. Moreover, those skilled in the art will appreciate that the invention may be practiced with other computer system configurations, multiprocessor systems, minicomputers, and mainframe computers. The invention may also be practiced in distributed computing environments where tasks are performed by remote processing devices that are linked through a communications network. In a distributed computing environment, program modules may be located in both local and remote memory storage devices.

**[0009]** With reference to FIGURE 1A, an exemplary system for implementing the invention includes a general purpose computing device such as a conventional personal computer 20, including a processing unit 21, a system memory 22, and a system bus 23 that couples var-

ious system components including the system memory to the processing unit 21. The system bus 23 may be any of several types of bus structures including a memory bus or memory controller, a peripheral bus, and a local bus using any of a variety of bus architectures. System timing is provided by a clock signal (not shown) applied to the system bus 23. The system memory includes read only memory (ROM) 24 and random access memory (RAM) 25. A basic input/output system 26 (BIOS) is stored in ROM 24, and contains the basic routines that helps to transfer information between elements within the personal computer 20, such as during start-up. The personal computer 20 further includes storage devices such as a hard disk drive 27 connected to the system bus through a hard drive interface 32 for reading data from and writing data to a hard disk 31. In addition, one or more removable disk drives 30 are connected to the system bus through one or more removable storage interfaces 34 for reading data from or writing data to removable storage 29, such as floppy disks, removable magnetic disks, CD-ROMs or other optical media. The drives and their associated computer-readable media provide nonvolatile storage of computer readable instructions, data structures, program modules and other data for the personal computer 20. Although the exemplary environment described herein employs a hard disk and removable media, it should be appreciated by those skilled in the art that other types of computer-readable media which can store data that is accessible by a computer, such as magnetic cassettes, flash memory cards, digital versatile disks (also known as Digital Video Disks or DVDs), Bernoulli cartridges, random access memories (RAMs), read only memories (ROMs), and the like, may also be used in the exemplary operating environment.

**[0010]** A number of program modules may be stored on the storage devices, including an operating system 35, one or more application programs 36, and program data 38. A user may enter commands and information into the personal computer 20 through input devices such as a keyboard 40 and pointing device 42. Other input devices (not shown) may include a microphone, joystick, game pad, satellite dish, scanner, or the like. These and other input devices are often connected to the processing unit 21 through a serial interface 46 that is coupled to the system bus, but may be connected by other interfaces, such as a parallel port, game port or a universal serial bus (USB). A display device 47, such as a monitor, is also connected to the system bus 23 via a video interface 48. In addition to the monitor, personal computers typically include other peripheral output devices (not shown), such as printers and plotters.

**[0011]** The personal computer 20 may operate in a networked environment using logical connections to one or more remote computers, such as remote computers 49 and 60. Each remote computer 49 or 60 may be another personal computer, a server, a router, a network PC, a peer device or other common network node, and typically includes many or all of the elements described above

relative to the personal computer 20. The logical connections depicted in FIGURE 1A include a local area network (LAN) 51 and a wide area network (WAN) 52. Such networking environments are commonplace in offices, enterprise-wide computer networks, intranets and global networks such as the Internet. As depicted in FIGURE 1A, the remote computer 60 communicates with the personal computer 20 via the local area network 51. The remote computer 49 communicates with the personal computer 20 via the wide area network 52.

**[0012]** When used in a LAN networking environment, the personal computer 20 is connected to the local network 51 through a network interface 53. When used in a WAN networking environment, the personal computer 20 typically includes a modem 54 or other means for establishing communications over the wide area network 52, such as the Internet. The modem 54, which may be internal or external, is connected to the system bus 23 via the serial interface 46. In a networked environment, program modules depicted relative to the personal computer 20, or portions thereof, may be stored in the remote memory storage device. It will be appreciated that the network connections shown are exemplary and other means of establishing a communications link between the computers may be used.

**[0013]** FIGURE 1B depicts an exemplary distributed communications network including a variety of interconnected networks. Geographically distributed networks such as network A 201, network B 202, and network C 203 are interconnected via gateways 210, which provide the necessary interfacing between disparate networks of possibly different bandwidths and packet handling capabilities as well as possibly different architectures. Each of networks A, B, and C may be made up of a number of network nodes 215. The network nodes 215 communicate with each other through physical links as well as through radio or microwave transmissions through relay stations such as a satellite 223.

**[0014]** A user at a terminal or personal computer 205 may access a network through a common access point at a network node 215, a local area network (LAN) 220, 221, a digital PBX 225, or any other common network access points. Two or the more popular local area network architectures are depicted, the ring 220, and the bus 221, but other network topologies may also be employed. While the following discussion is directed to an Internet environment, the teachings of the present invention are applicable to any distributed network environment. In the present invention, personal computer 20 is connected to a distributed network such as the Internet, either directly through to modem 54 to a remote computer 49 which serves as an Internet Service Provider (ISP), an Internet router, or through a LAN 51 to a remote computer 60 which serves as the local area network's Internet gateway 210. Each node in the path between the user's personal computer 20 and the server computer acts as an Internet router. An Internet router typically either maintains a routing table having entries representing every



other router on the network, or has a mechanism for determining a route or for asking an adjacent router for routing information. The routing table will also typically include statistics concerning the link between the node and each other connected node so that information is available as to the "best" path from the node to another node. Typically, routing tables contain entries for a primary route, as well as one or more alternate routes. Alternatively, a routing algorithm may be used to establish an appropriate routing path from the client to the server. Both routing tables and routing algorithms are well known in the art and will not be discussed further. Internet routers and ISPs will be referred to collectively as "routers" in the remainder of the discussion.

**[0015]** A user typically interacts with the Internet through web browser software (not shown) running on a personal computer 20. The web browser software allows the user to access remote server computers on the Internet through a Uniform Resource Locator (URL), which serves as a server's network address. Examples of suitable web browsers include Explorer™, available from Microsoft Corporation, of Redmond, Washington, or Navigator™, available from Netscape Communications of Mountain View, California. When the user enters or selects a URL through the web browser, the URL is forwarded to the router, where a table is checked to determine a "best" path to a next node from the router to the server designated by the URL. Interaction between a web browser (the client) and a server will typically be through use of the Hypertext Transfer Protocol (HTTP), which includes specific communication methods that allow clients to request data from a server and send information to the server. According to the Hypertext Transport Protocol, the client contacts the server at the Internet address as specified in the URL to open the connection. The client then sends a message to the server requesting service as specified by a request header that defines a method requested for the transaction. Typical HTTP methods are the GET, for getting an object from a server, and the POST, for posting data to an object on the server. The server then sends a response to the client consisting of response headers describing the state of the transmission, followed by the actual data. The connection is then closed. In a typical user interaction with the Internet, the user is requesting a web page written in the Hypertext Markup Language (HTML) from a server in the World Wide Web (WWW). Depending on the content of the web page, the user may further interact with the server by sending additional information to the server. All such transmissions to the server will hereinafter be referred to as "transactions."

**[0016]** FIGURE 2 depicts a flow chart describing an overall method of providing enhanced security to a transaction on a computer network according to the present invention. Greater detail is provided in the discussions accompanying FIGURES 3A-3D, 4 and 5. Referring to FIGURE 2, beginning with step 110, transaction data is parceled into a number of different packets. The packets

are then assigned packet numbers at a step 120. Each packet is then assigned a route to its intended destination at a step 130, and the packets are then transmitted from the source to the destination via the assigned route at a step 140.

**[0017]** Referring to FIGURE 3A-3D, the data to be passed between the client computer and a server is typically transmitted as one or more packets. In FIGURE 3A, transaction data 300 may include such information as a 16-digit credit card number, as shown. According to prior art Internet packet routing techniques, the transaction data 300 may be divided into a number of packets. For example, as shown in FIGURE 3B, the transaction data 300 has been divided into eight packets 301-308, each containing two characters of transaction data. Packets 301-308 are assigned packet numbers 1-8, respectively. According to a present embodiment of the invention, the transaction data is also divided into eight packets 331-338, but the packets in the sequence are assigned packets numbers according to a pseudo-random ordering. The sequence is referred to as pseudo-random in that the resulting sequence is preferably an increasing or decreasing series of random numbers. In other words, beginning with a first random number for the first packet, each subsequent packet number is calculated by taking a new random number and either adding or subtracting it from the first random number, depending on whether an increasing or decreasing sequence is desired. For a decreasing sequence, the random number for the first packet should be added to a base value large enough to ensure that subtracting subsequent random numbers will not result in a negative value. Each random number may have the same seed value or may have any number of different seed values. The packet renumbering scheme should be such that no two packets have adjacent numbers in order to increase the effectiveness of the security. In the example shown in FIGURE 3C, the transaction data has been divided into eight packets 331-338 that have been assigned packet numbers in increasing pseudo-random sequence, 17-32-46-53-61-80-89-97. Alternatively, the transaction data may be divided into a larger number of packets. For example, as shown in FIGURE 3D, the transaction data has been divided into 16 packets 311-326, and the packets have been assigned packet numbers 20-34-57-62-81-88-95-101-111-119-132-147-160-173-189-210, as an increasing pseudo-random sequence.

**[0018]** FIGURE 4 illustrates a conventional method of routing of Internet packets. For purposes of illustration, the Internet packets 301-308 are depicted with packet numbers 1-8, respectively, within the packet rather than the corresponding transaction data. As shown in FIGURE 4, a source node A 401 is connected to a destination node E 405 through a number of interconnected network nodes.

**[0019]** From the source node A 401, each packet of the transaction is transferred, in turn, through a number of intermediate nodes B 402, C 403, D 404 before reach-

ing the destination E 405. Generally, unless a failure occurs in a link between nodes in a route after transmission has begun, all packets of a transaction are sent along the same route, which is typically a "best" available route. Upon receipt at the destination node E 405, the server merely has to reassemble the packets in sequence to reconstruct the transaction data.

**[0020]** The problem with the prior art approach to Internet packet routing is that a third party interested in capturing credit card numbers or other sensitive data could simply monitor a selected network node for network traffic containing URLs or other network addresses for destination nodes known to accept the credit card numbers or other sensitive information. For instance, many mail-order companies now offer on-line purchasing of products through the Internet. A third party could monitor a network node for all traffic addressed to the mail-order company. The captured network traffic could then be analyzed at the third party's leisure to locate the credit card numbers. Even where prior art encryption techniques are employed, the third party would still have a considerable amount of time to decrypt the information using common techniques. Even if the third party were to miss a small number of packets in the sequence, because the Internet packets are sequentially numbered, the third party would know which packets are missing and could apply a common number substitution to successively substitute each possible character or permutation of characters that would appear in the missing packet in an attempt to find a valid credit card number.

**[0021]** FIGURE 5 depicts an exemplary routing of transaction data 300 according to an embodiment of the present invention. The transaction data 300 is divided into 8 packets as shown in FIGURE 3C. For purposes of illustration, the Internet packets 331-338 are depicted with packet numbers 17-32-46-53-61-80-89-97, respectively, within the packet rather than the corresponding transaction data. These packets of the transaction data enter a source node A 501, whereupon the packets are sent along different routes to destination node, E 505. In a present embodiment of the invention, the different routes are forced by sending an indication along with each packet to choose randomly from among several possible routes.

**[0022]** For instance, in the example of FIGURE 5, the network includes a number of intermediate nodes B 502, C 503, D 504, F 506, G 507, H 508, I 509, J 510, and K 511, all of which are interconnected such that Internet packets may travel over a number of routes when being sent from the source node to the destination node. For example, one exemplary routing assigned to each of the packets of the transaction data is shown in Table 1 below.

**TABLE 1**

Packet Number	Route
17	A-B-C-J-K-F-E

(continued)

Packet Number	Route
32	A-I-H-G-K-E
46	A-H-K-J-C-D-E
53	A-H-F-K-E
61	A-B-I-C-D-E
80	A-I-C-J-E
89	A-H-F-E
97	A-G-H-F-E

**[0023]** Since each of the packets are transmitted along different routes, it is likely that they will arrive at their destination in an order other than their initial transmission order. However, since the packets have been numbered as either an increasing or decreasing series, the packets merely have to be placed in a corresponding increasing or decreasing order to reconstruct the transaction data.

**[0024]** With the present invention, a third party monitoring transmissions at any given node will only capture a small portion of the total number of packets making up a transaction. For instance, if the third party is monitoring the Internet at node D 504, only 2 of the 8 packets of the transaction data 300 would be captured. Even if the third party knows of the sequencing scheme of the present invention, for example, the packets are numbered in an increasing sequence, only the relative order of the intercepted packets would be known. While the third party could try a highspeed substitution in an attempt to guess the missing digits, the third party would have no way of knowing how many packets were missing, or where to try the substitutions.

**[0025]** As will be appreciated, the present invention increases the security of the transaction data transmitted on a public network by encoding the relative position of a packet within a data stream and by assigning different routes to the packets to lessen the likelihood that packets will be intercepted. Further, as will be appreciated by those skilled in the art, further security can be provided by applying encryption techniques to the data contained within as is well known in the prior art.

#### Claims

1. A method of transmitting transaction data (300) comprising a sequence of characters from a source node to a destination node through a distributed network interconnecting a plurality of network nodes including the source node and the destination node, the method comprising:

- a. distributing the sequence of characters among a plurality of packets (301-308);
- b. assigning each packet of the plurality of pack-

- ets (301-308) a packet number (1-8) **characterised in that** the packet number is assigned according to a pseudo-random function such that the packet numbers form a pseudo-random sequence (17-32-46-57-61-80-89-97); and by the steps of:
- c. assigning to each of the plurality of packets (301-308) a route from the source node (A401) to the destination node (E405);
- d. transmitting the plurality of packets from the source node (A401) to the destination node (E405) on their assigned routes.
- e. receiving the plurality of packets at the destination node (E405); and
- f. arranging, at the destination node (E405), the plurality of packets (301-308) according to the pseudo-random sequence of packet numbers such that the transaction data is reconstructed, **characterised in that** in step c. the packets are each assigned different routes from others of the plurality of packets.
2. The method of claim 1, wherein the packet numbers form a sequence such that the relative position of each packet is known.
3. The method of claim 2, wherein distributing the sequence of characters among a plurality of packets (301-308) comprises distributing into each packet of the plurality of packets, a single character of the sequence of characters.
4. The method of claim 2, wherein the pseudo-random sequence is an increasing sequence of random numbers.
5. The method of claim 4, wherein each packet of the plurality of packets (301-308) is assigned a number according to a pseudo-random function such that the packet numbers form a pseudo-random sequence by:
- a. assigning a first packet of the pseudo-random sequence a random number as its packet number; and
- b. for each subsequent packet number in the pseudo-random sequence, adding a random number to the packet number of preceding packet.
6. The method of claim 2, wherein the pseudo-random sequence is a decreasing sequence of random numbers.
7. The method of claim 6, wherein each packet of the plurality of packets (301-308) is assigned a packet number according to a pseudo-random function such that the packet numbers form a pseudo-random

sequence by:

- a. assigning a first packet of the pseudo-random sequence a random number as its packet number; and
- b. for each subsequent packet number in the pseudo-random sequence, subtracting a random number from the packet number of an immediately preceding packet.

#### Patentansprüche

1. Verfahren zum Übertragen von Transaktionsdaten (300), die eine Folge von Zeichen umfassen, von einem Ursprungsknoten zu einem Zielknoten über ein verteiltes Netz, das eine Vielzahl von Netzknoten einschließlich des Ursprungsknotens und des Zielknotens verbindet, wobei das Verfahren umfasst:
- a. Verteilen der Folge von Zeichen auf eine Vielzahl von Paketen (301-308);
- b. Zuweisen einer Paketnummer (1-8) zu jedem Paket aus der Vielzahl von Paketen (301-308), **dadurch gekennzeichnet, dass** die Paketnummer entsprechend einer pseudozufälligen Funktion zugewiesen wird, derart, dass die Paketnummern eine pseudozufällige Folge (17-32-46-57-61-80-89-97) bilden; und durch die folgenden Schritte:
- c. Zuweisen einer Route vom Ursprungsknoten (A401) zum Zielknoten (E405) zu jedem Paket aus der Vielzahl von Paketen (301-308);
- d. Übertragen der Vielzahl von Paketen vom Ursprungsknoten (A401) zum Zielknoten (E405) über die ihnen zugewiesenen Routen;
- e. Empfangen der Vielzahl von Paketen am Zielknoten (E405); und
- f. Anordnen der Vielzahl von Paketen (301-308) am Zielknoten (E405) entsprechend der pseudozufälligen Folge von Paketnummern, derart, dass die Transaktionsdaten wiederhergestellt werden, **dadurch gekennzeichnet, dass** in Schritt c. den Paketen jeweils Routen zugewiesen werden, die von denen anderer Pakete aus der Vielzahl von Paketen verschieden sind.
2. Verfahren nach Anspruch 1, wobei die Paketnummern eine Folge bilden, derart, dass die relative Position jedes Paketes bekannt ist.
3. Verfahren nach Anspruch 2, wobei das Verteilen der Folge von Zeichen auf eine Vielzahl von Paketen (301-308) derart erfolgt, dass jedem Paket aus der Vielzahl von Paketen ein einziges Zeichen aus der Folge von Zeichen zugeteilt wird.
4. Verfahren nach Anspruch 2, wobei die pseudozufäl-

lige Folge eine wachsende Folge von Zufallszahlen ist.

5. Verfahren nach Anspruch 4, wobei jedem Paket aus der Vielzahl von Paketen (301-308) eine Nummer entsprechend einer pseudozufälligen Funktion zugewiesen wird, derart, dass die Paketnummern eine pseudozufällige Folge bilden, durch:

- a. Zuweisen einer Zufallszahl als Paketnummer zu einem ersten Paket der pseudozufälligen Folge; und
- b. für jede nachfolgende Paketnummer in der pseudozufälligen Folge, Addieren einer Zufallszahl zu der Paketnummer des vorhergehenden Paketes.

6. Verfahren nach Anspruch 2, wobei die pseudozufällige Folge eine fallende Folge von Zufallszahlen ist.

7. Verfahren nach Anspruch 6, wobei jedem Paket aus der Vielzahl von Paketen (301-308) eine Paketnummer entsprechend einer pseudozufälligen Funktion zugewiesen wird, derart, dass die Paketnummern eine pseudozufällige Folge bilden, durch:

- a. Zuweisen einer Zufallszahl als Paketnummer zu einem ersten Paket der pseudozufälligen Folge; und
- b. für jede nachfolgende Paketnummer in der pseudozufälligen Folge, Subtrahieren einer Zufallszahl von der Paketnummer eines unmittelbar vorangehenden Paketes.

#### Revendications

1. Procédé de transmission de données de transaction (300) comprenant une séquence de caractères d'un noeud source à un noeud destinataire par le biais d'un réseau réparti interconnectant une pluralité de noeuds de réseau comprenant le noeud source et le noeud destinataire, le procédé consistant à :

- a. répartir la séquence de caractères entre une pluralité de paquets (301-308) ;
- b. attribuer à chaque paquet de la pluralité de paquets (301-308) un numéro de paquet (1-8) **caractérisé en ce que** le numéro de paquet est attribué selon une fonction pseudo-aléatoire telle que les numéros de paquet forment une séquence pseudo-aléatoire (17-32-46-57-61-80-89-97), et par les étapes consistant à :
- c. attribuer à chaque paquet de la pluralité de paquets (301-308) un trajet du noeud source (A401) au noeud destinataire (E405) ;
- d. transmettre la pluralité de paquets du noeud

source (A401) au noeud destinataire (E405) suivant les trajets qui leur ont été attribués ;

e. recevoir la pluralité de paquets au noeud destinataire (E405), et

f. agencer, au noeud destinataire (E405), la pluralité de paquets (301-308) selon la séquence pseudo-aléatoire de numéros de paquet de telle sorte que les données de transaction soient reconstituées, **caractérisé en ce que**, à l'étape c., il est attribué à chacun des paquets un trajet différent de celui des autres paquets de la pluralité de paquets.

2. Procédé selon la revendication 1, dans lequel les numéros de paquet forment une séquence telle que la position relative de chaque paquet est connue.

3. Procédé selon la revendication 2, dans lequel le fait de répartir la séquence de caractères entre une pluralité de paquets (301-308) consiste à répartir dans chaque paquet de la pluralité de paquets un seul caractère de la séquence de caractères.

4. Procédé selon la revendication 2, dans lequel la séquence pseudo-aléatoire est une séquence croissante de nombres aléatoires.

5. Procédé selon la revendication 4, dans lequel à chaque paquet de la pluralité de paquets (301-308) est attribué un numéro selon une fonction pseudo-aléatoire telle que les numéros de paquet forment une séquence pseudo-aléatoire :

a. en attribuant à un premier paquet de la séquence pseudo-aléatoire un nombre aléatoire comme numéro de paquet, et

b. pour chaque numéro de paquet suivant de la séquence pseudo-aléatoire, en ajoutant un nombre aléatoire au numéro de paquet du paquet précédent.

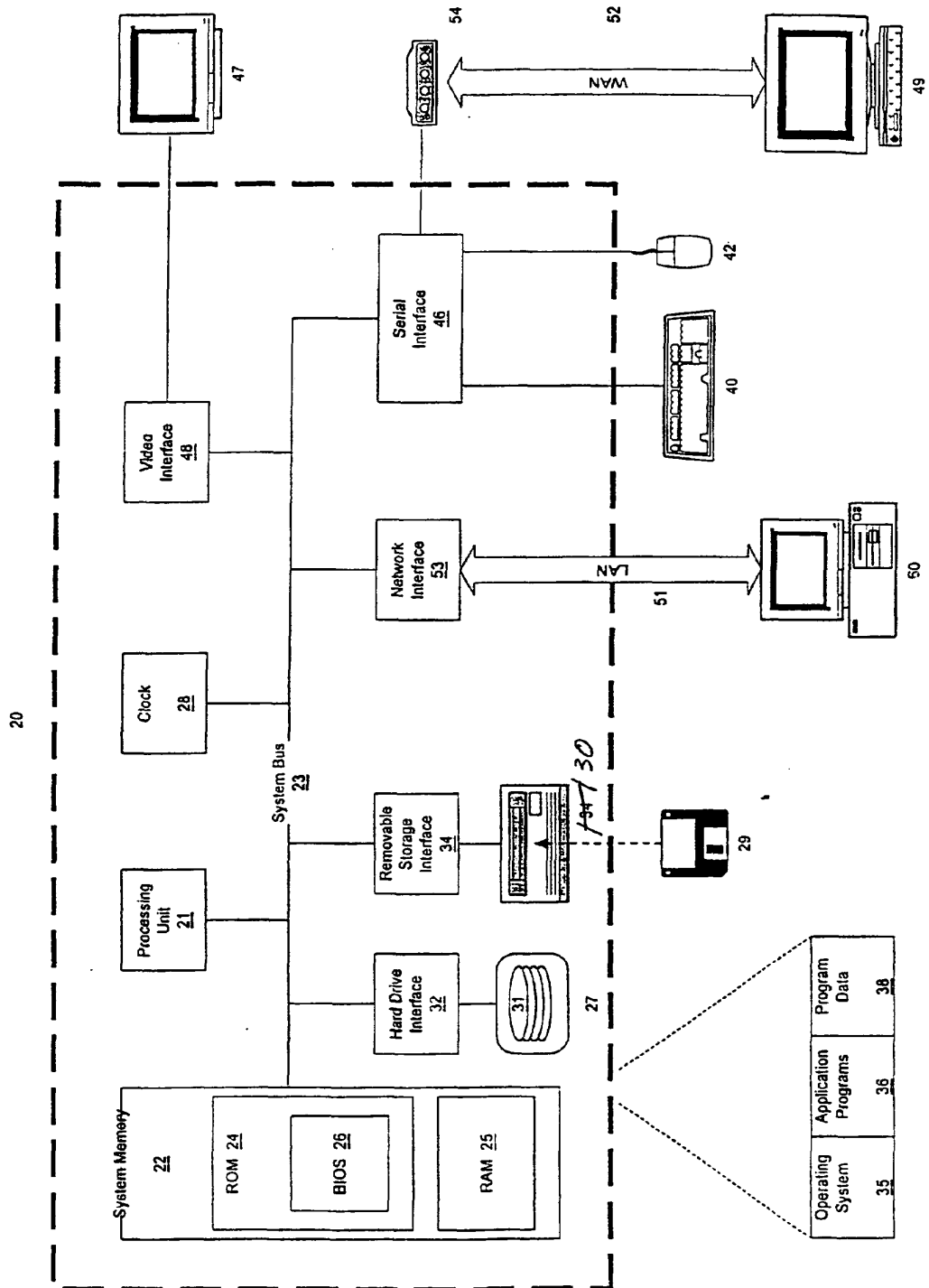
6. Procédé selon la revendication 2, dans lequel la séquence pseudo-aléatoire est une séquence décroissante de nombres aléatoires.

7. Procédé selon la revendication 6, dans lequel à chaque paquet de la pluralité de paquets (301-308) est attribué un numéro de paquet selon une fonction pseudo-aléatoire telle que les numéros de paquet forment une séquence pseudo-aléatoire :

a. en attribuant à un premier paquet de la séquence pseudo-aléatoire un nombre aléatoire comme numéro de paquet, et

b. pour chaque numéro de paquet suivant de la séquence pseudo-aléatoire, en soustrayant un nombre aléatoire du numéro de paquet d'un paquet venant immédiatement avant.

FIGURE 1A



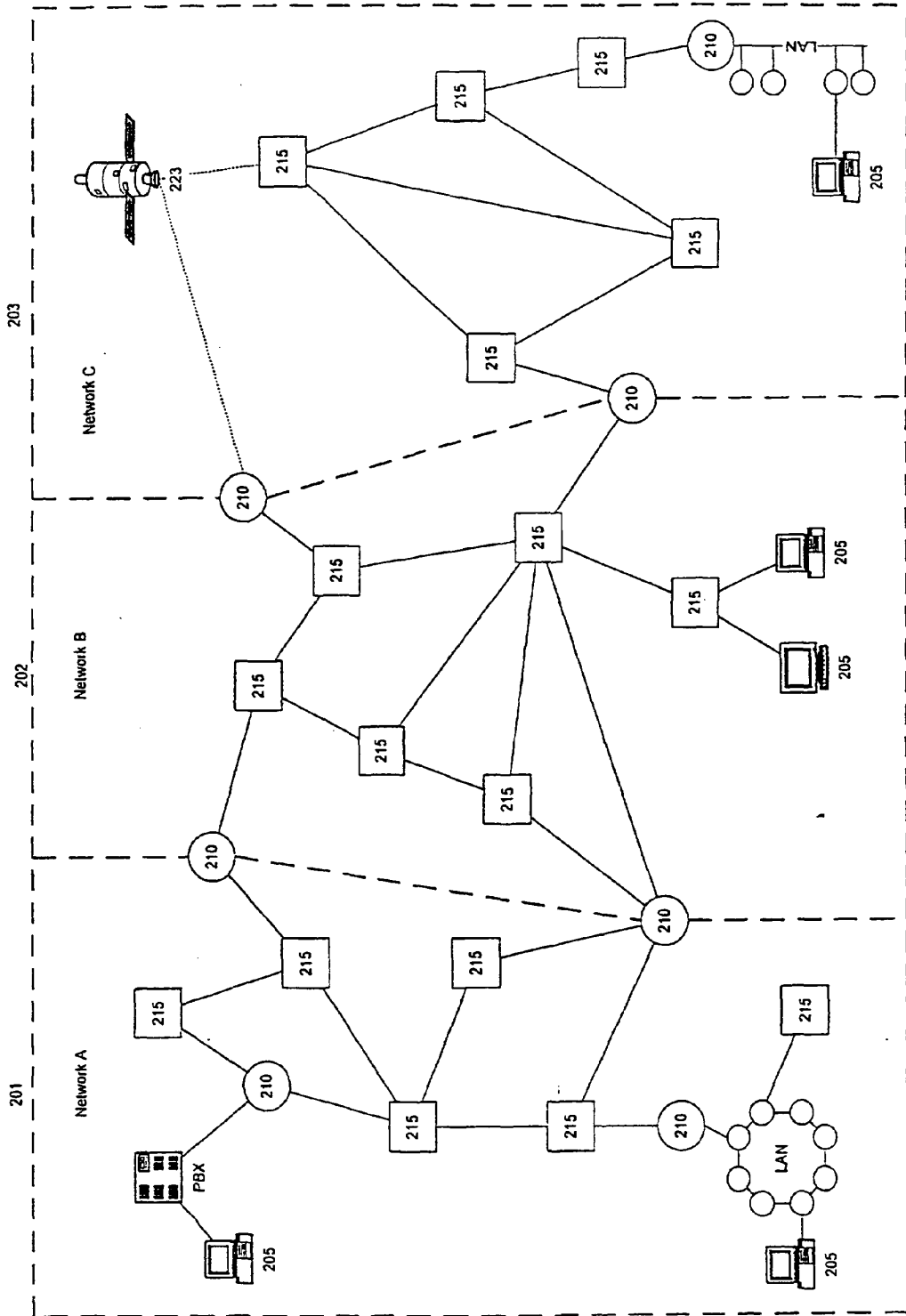
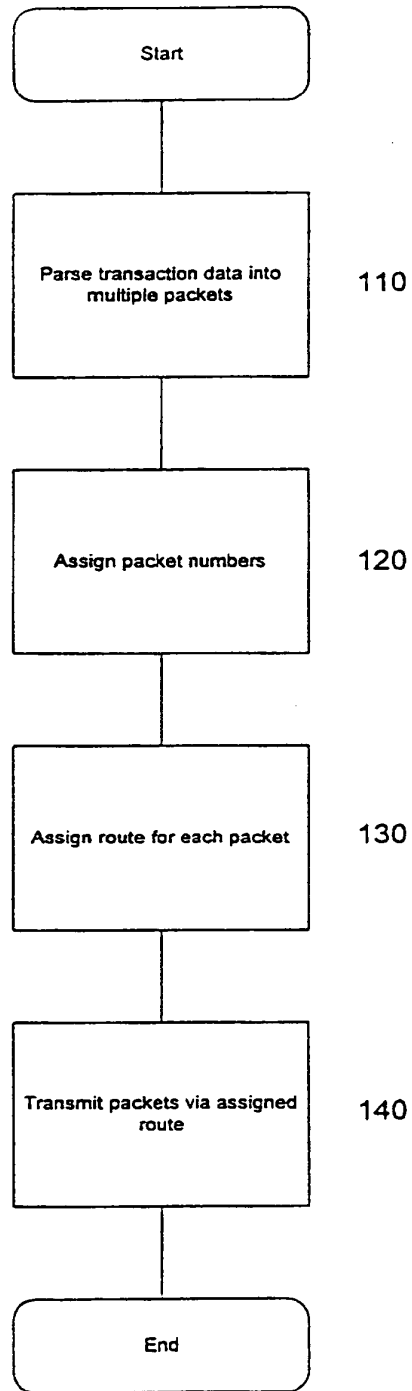


FIGURE 1B

FIGURE 2



300

FIGURE 3A

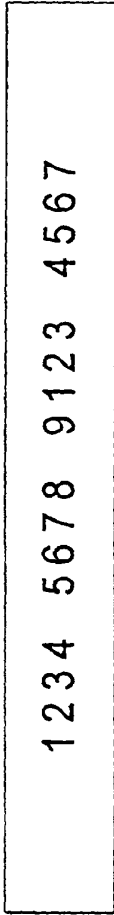


FIGURE 3B

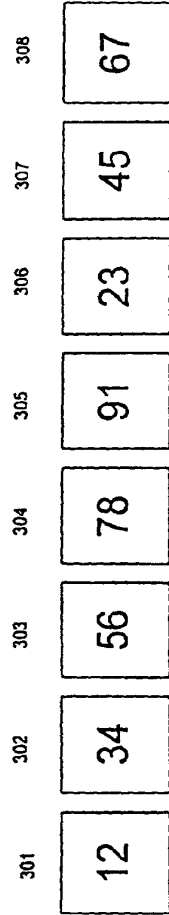


FIGURE 3C

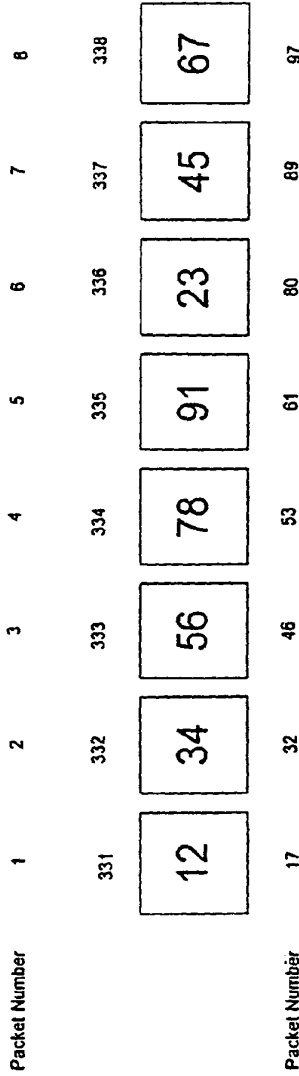


FIGURE 3D

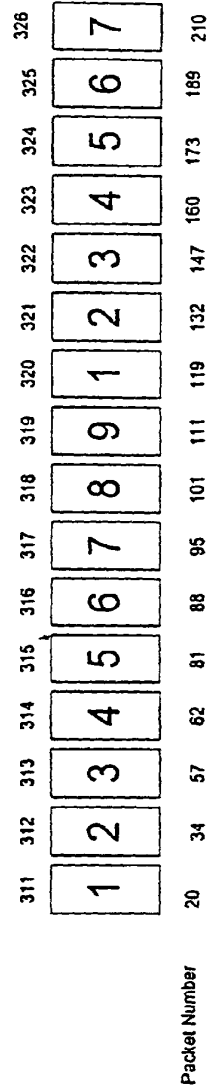




FIGURE 4

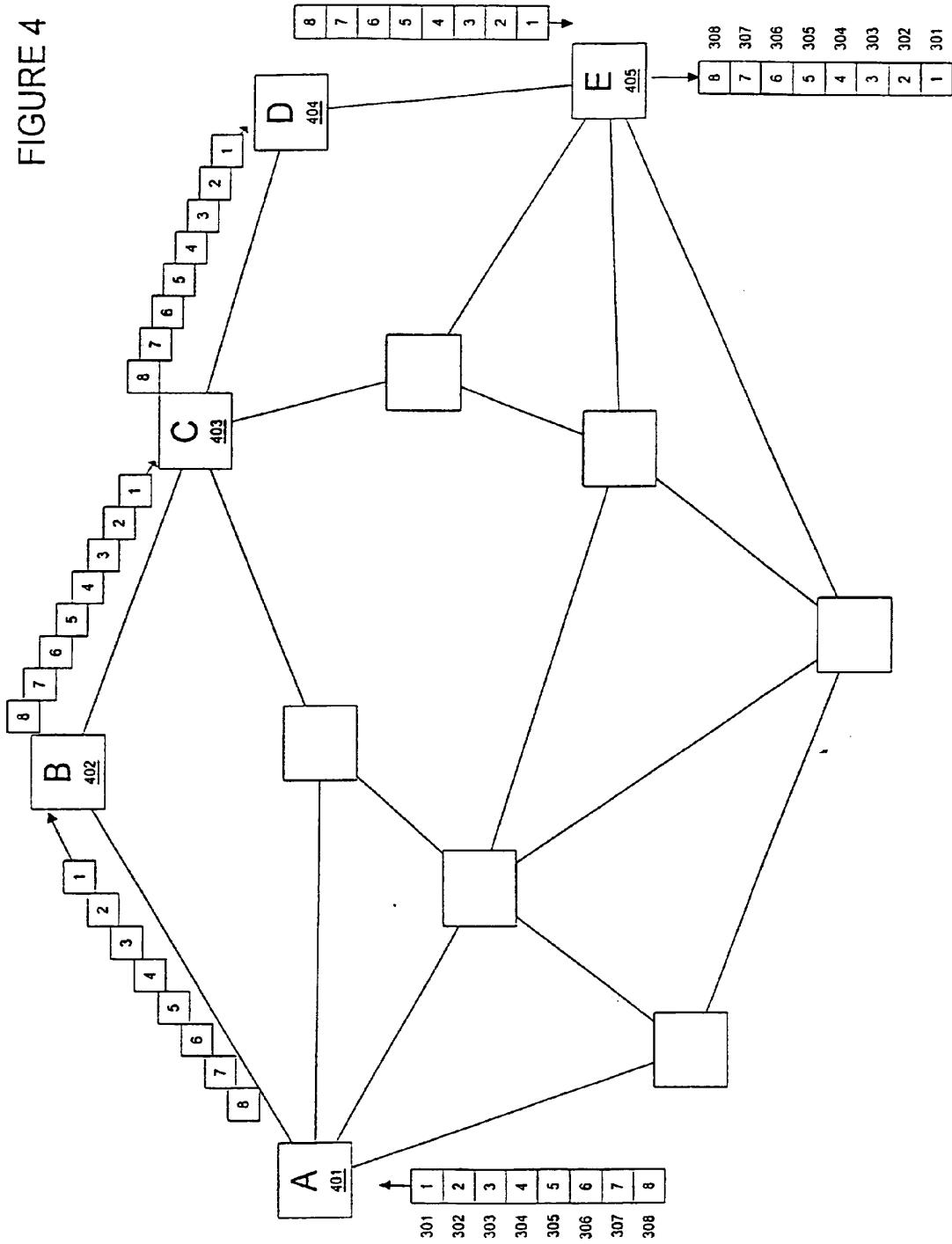
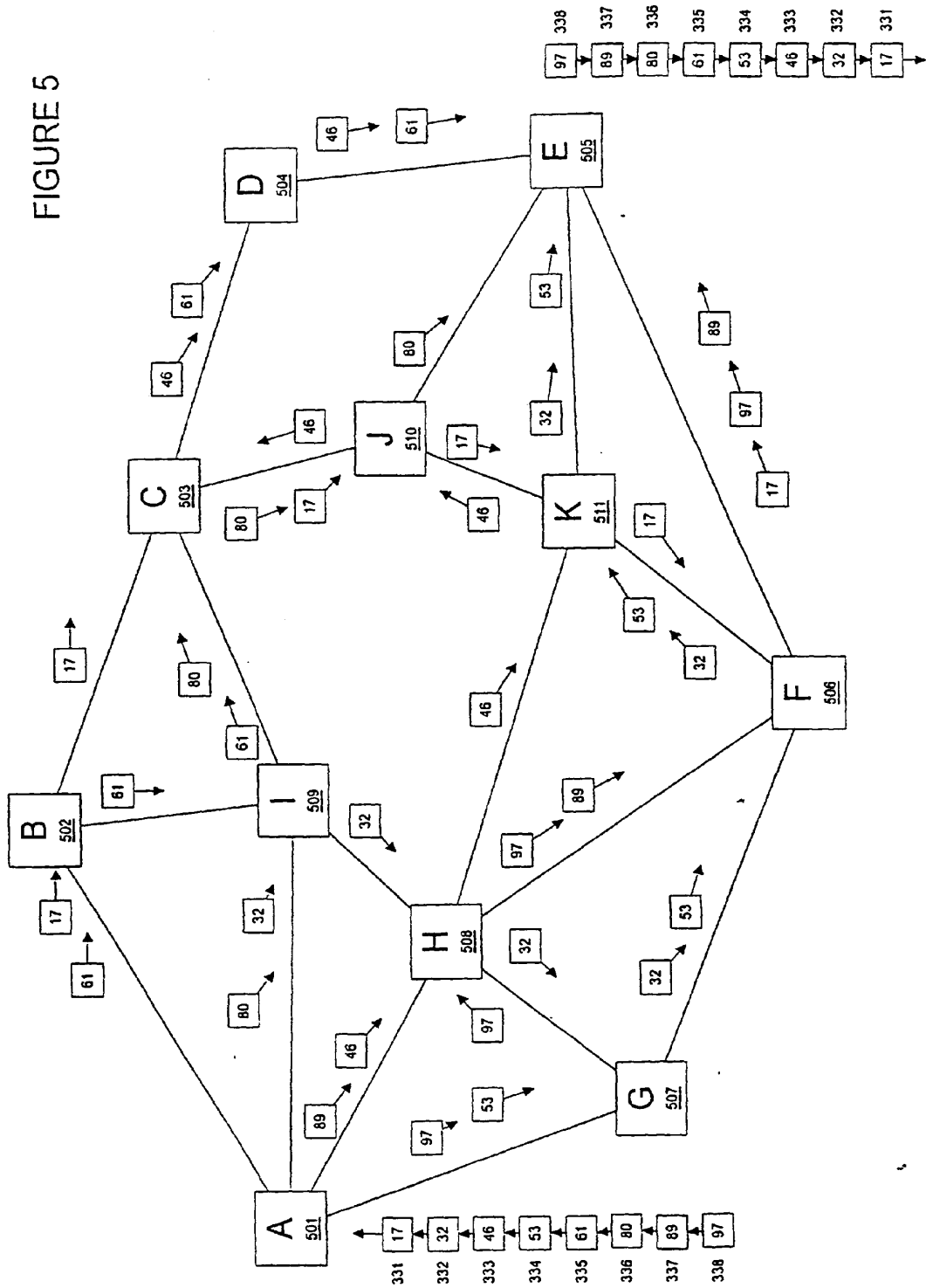


FIGURE 5

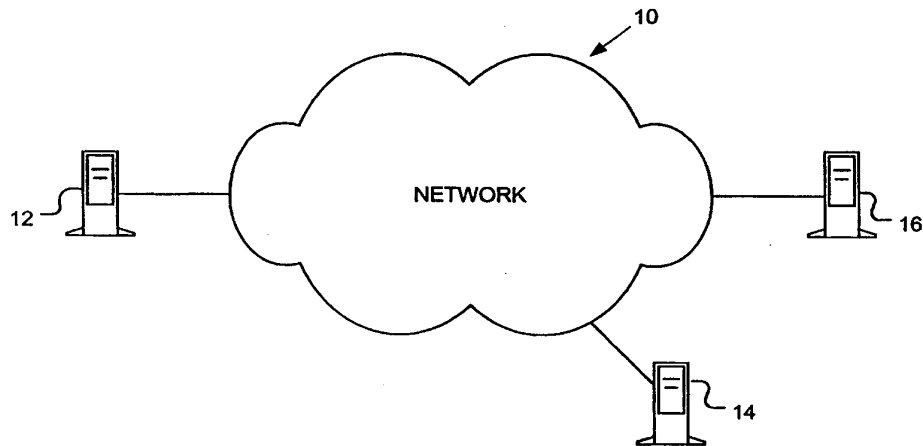




INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<p>(51) International Patent Classification <sup>7</sup> : <b>H04L 29/06</b></p>	<p><b>A1</b></p>	<p>(11) International Publication Number: <b>WO 00/18078</b> (43) International Publication Date: 30 March 2000 (30.03.00)</p>
<p>(21) International Application Number: PCT/CA99/00838 (22) International Filing Date: 16 September 1999 (16.09.99) (30) Priority Data: 09/154,699 17 September 1998 (17.09.98) US (71)(72) Applicant and Inventor: SOPUCH, David, J. [CA/CA]; 2269 Lakeshore West, 31st Floor, Unit 3, Toronto, Ontario M8V 3X6 (CA). (74) Agent: ZISCHKA, Matthew; Smart &amp; Biggar, 438 University Avenue, Suite 1500, Box 111, Toronto, Ontario M5G 2K8 (CA).</p>	<p>(81) Designated States: CA, JP, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report. Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i></p>	

(54) Title: SECURE MESSAGE EXCHANGE METHOD USING INTERMEDIARIES



(57) Abstract

A method of providing a message from a first computing device to a second computing device, using an intermediary is disclosed. The first computing device splits the message to be provided into at least two unrelated message portions; encrypts one of the message portions and provides this encrypted to an intermediate computer. The remaining message portion is provided to the second computing device. The second computing device then obtains the first message portion, preferably from the intermediate computer, and combines the message portions to decrypt the message. Preferably, the message is split into the message portion using computationally simple exclusive-OR techniques. As well, preferably the first message portion is encrypted using the widely supported secure socket layer encryption. Using this method, an operator at the intermediate device cannot obtain the message. A third party can only obtain the message by decrypting the encrypted first message portion and obtaining the second message portion. The method may easily be used to split a message into three or more message portions and provided to the second, recipient computer by way of multiple intermediate computers. Devices using the method are also disclosed.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

<b>AL</b>	Albania	<b>ES</b>	Spain	<b>LS</b>	Lesotho	<b>SI</b>	Slovenia
<b>AM</b>	Armenia	<b>FI</b>	Finland	<b>LT</b>	Lithuania	<b>SK</b>	Slovakia
<b>AT</b>	Austria	<b>FR</b>	France	<b>LU</b>	Luxembourg	<b>SN</b>	Senegal
<b>AU</b>	Australia	<b>GA</b>	Gabon	<b>LV</b>	Latvia	<b>SZ</b>	Swaziland
<b>AZ</b>	Azerbaijan	<b>GB</b>	United Kingdom	<b>MC</b>	Monaco	<b>TD</b>	Chad
<b>BA</b>	Bosnia and Herzegovina	<b>GE</b>	Georgia	<b>MD</b>	Republic of Moldova	<b>TG</b>	Togo
<b>BB</b>	Barbados	<b>GH</b>	Ghana	<b>MG</b>	Madagascar	<b>TJ</b>	Tajikistan
<b>BE</b>	Belgium	<b>GN</b>	Guinea	<b>MK</b>	The former Yugoslav Republic of Macedonia	<b>TM</b>	Turkmenistan
<b>BF</b>	Burkina Faso	<b>GR</b>	Greece	<b>ML</b>	Mali	<b>TR</b>	Turkey
<b>BG</b>	Bulgaria	<b>HU</b>	Hungary	<b>MN</b>	Mongolia	<b>TT</b>	Trinidad and Tobago
<b>BJ</b>	Benin	<b>IE</b>	Ireland	<b>MR</b>	Mauritania	<b>UA</b>	Ukraine
<b>BR</b>	Brazil	<b>IL</b>	Israel	<b>MW</b>	Malawi	<b>UG</b>	Uganda
<b>BY</b>	Belarus	<b>IS</b>	Iceland	<b>MX</b>	Mexico	<b>US</b>	United States of America
<b>CA</b>	Canada	<b>IT</b>	Italy	<b>NE</b>	Niger	<b>UZ</b>	Uzbekistan
<b>CF</b>	Central African Republic	<b>JP</b>	Japan	<b>NL</b>	Netherlands	<b>VN</b>	Viet Nam
<b>CG</b>	Congo	<b>KE</b>	Kenya	<b>NO</b>	Norway	<b>YU</b>	Yugoslavia
<b>CH</b>	Switzerland	<b>KG</b>	Kyrgyzstan	<b>NZ</b>	New Zealand	<b>ZW</b>	Zimbabwe
<b>CI</b>	Côte d'Ivoire	<b>KP</b>	Democratic People's Republic of Korea	<b>PL</b>	Poland		
<b>CM</b>	Cameroon	<b>KR</b>	Republic of Korea	<b>PT</b>	Portugal		
<b>CN</b>	China	<b>KZ</b>	Kazakstan	<b>RO</b>	Romania		
<b>CU</b>	Cuba	<b>LC</b>	Saint Lucia	<b>RU</b>	Russian Federation		
<b>CZ</b>	Czech Republic	<b>LI</b>	Liechtenstein	<b>SD</b>	Sudan		
<b>DE</b>	Germany	<b>LK</b>	Sri Lanka	<b>SE</b>	Sweden		
<b>DK</b>	Denmark	<b>LR</b>	Liberia	<b>SG</b>	Singapore		
<b>EE</b>	Estonia						

## SECURE MESSAGE EXCHANGE METHOD USING INTERMEDIARIES

## FIELD OF THE INVENTION:

5           The present invention relates to methods and devices for exchanging messages, and more particularly to methods and devices for securely exchanging data between computing devices using at least one intermediary.

## 10           BACKGROUND OF THE INVENTION:

          In recent years the use of public computer networks to carry sensitive data has become widespread. The best example of such a public computer network is the public Internet. 15           Because of widespread access availability, the Internet is evolving into a preferred communications network. As such, the Internet is being used for the exchange of sensitive data, that may be of a private nature. Recently, the Internet has been heralded as a vehicle facilitating commercial 20           transactions. Because of the sensitivity of financial information, secure communications using the insecure network is a requirement.

          As a result, many encryption and decryption methods are 25           being developed. One encryption and decryption mechanisms that has gained popularity is the secure sockets layer ("SSL") method pioneered by Netscape Communications of CA as detailed in Freier, A.O., Karlton, P. and Kocher P. "The SSL Protocol Version 3.0", Netscape Communications, November 18, 1996, and 30           U.S. Patent No. 5,657,390 the contents of both of which are

hereby incorporated by reference. SSL encryption allows an end-user to safely exchange encrypted data using a modified hyper text transfer protocol ("HTTP") session using a temporary session key, which need not be stored or entered by the end-user. Moreover, most currently available Internet-  
5 browser applications support SSL encryption. Accordingly, SSL encryption is convenient for end-users.

However, current implementations of SSL encryption  
10 require an end-user to communicate with an SSL capable server, such as the Netscape Commerce Server. Many vendors are not able to, or do not wish to administer an SSL capable server. As such, third party intermediaries such as internet service providers have begun operating SSL capable servers for their  
15 commercial clients that act as vendors.

End-users may provide sensitive information to the SSL capable servers that vendors may then retrieve, by for example, establishing another SSL session with the SSL capable  
20 server. Typically, data received and stored by an SSL server is decrypted and stored at the SSL capable server in plaintext format, until retrieved remotely by the intended message recipient. As such, operators of the SSL capable servers have access to the plaintext message. This may lead to misuse of  
25 the sensitive information by these operators.

One known solution addressing this concern requires double encryption of the message by way of another encryption method. For example, the data provided to the SSL server may  
30 be encrypted so that decryption is only possible using a key

known to the vendor. This, however, requires the vendor to provide a key to the end-user that must be applied by the end-user using, for example, another software application. This application and the key must be supplied to the end-user prior  
5 to SSL session. If the encryption algorithm is complex, the key and software may be quite large and would typically need to be stored at the end-user computing device. All this is quite complex and cumbersome for end-users.

10 Accordingly, a stream-lined secure method of providing data from a first computing device to a second computing device using an intermediary is desirable.

#### SUMMARY OF THE INVENTION:

15

In accordance with an aspect of the present invention, there is provided a method of conveying a message from a first computing device to a second computing device. The method comprises the steps of: a. splitting the message at the first  
20 computing device into at least two independent message portions, wherein each message portion is insufficient to form the message and all the message portions are required to form the message; b. encrypting one of the message portions at the first computing device; c. providing the encrypted message  
25 portion from the first computing to an intermediate computing device; d. providing the remaining message portions to a second computing device; e. providing the first message portion to the second computing device; and f. re-combining the first message portion and the remaining message portions  
30 at the second computing device to form the message.

In accordance with yet another aspect of the present invention, there is provided a computing device comprising: a processor; a computer network interface in communication with the processor; persistent storage memory in communication with the processor, the persistent storage memory comprising processor readable instruction adapting the device to:

5     a. split the message at the first computing device into at least two independent message portions, wherein each message portion is insufficient to form the message and all the message portions are required to form the message; b. encrypt

10    one of the message portions at the computing device; c. provide the encrypted message portion from the computing device to an intermediate computing device using the network interface; and d. provide at least one of the remaining

15    message portions to a second computing device interconnected with the network.

In accordance with yet a further aspect of the invention, there is provided a computer readable medium comprising a software application that, when loaded by a network

20    interconnected computing device adapts the computing device to: a. split a data message at the computing device into at least two message portions, wherein each of the message portions is insufficient to form the message and wherein all

25    the message portions are required to form the message; b. encrypt one of the message portions at the first computing device; c. provide the encrypted message portion from the computing device to an intermediate computing device using the network interface; and d. provide at least one of the

30    remaining message portions to a second computing device



interconnected with the network.

BRIEF DESCRIPTION OF THE DRAWING:

5 In figures which illustrate, by way of example only, -  
embodiments of the present invention,

FIG. 1 illustrates a plurality of network interconnected  
computing devices, exemplary of embodiments of the  
10 present invention;

FIG. 2 illustrates a preferred architecture of one of the  
devices of FIG. 1;

FIG. 3 illustrates an exemplary organization of memory at  
one of the devices of FIG. 1;

15 FIGS. 4 and 5 are flowcharts of methods exemplary of  
embodiments of the present invention; and

FIG. 6 illustrates a further arrangement of computing  
devices, exemplary of an embodiment of the present  
invention.

20

DETAILED DESCRIPTION:

FIG. 1 illustrates a plurality of computing devices 12,  
14 and 16 exemplary of embodiments of the present invention.  
25 Devices 12, 14 and 16 are interconnected by data network 10.

Network 10 is preferably a packet switched data network,  
such as a network adhering to the internet protocol ("IP"),  
allowing devices 12, 14 and 16 to exchange data. Data may be  
30 exchanged between network interconnected computing devices

using the IP protocol as detailed in RFC 791, by way of intermediate routers (not illustrated). Network 10 may for example, be the public Internet, comprised of numerous smaller physical networks all adhering to the internet protocol.

5 Network 10 could, of course, be any other suitable local area, wide area or other computer network, such as a token ring network, or the like.

Each of devices 12, 14 and 16 is preferably a

10 conventional network client or server computing device such as an intel x86 based computer, or any other suitable computing device. In the illustrated embodiments, computing devices 12, 14, and 16 are architecturally substantially similar.

15 Device 12 acts as a network based client, that may be permanently or intermittently connected to network 10. The architecture of device 12 is illustrated in FIG. 2. As illustrated, device 12 comprises a processor 18, in communication with persistent storage memory 20, and a network

20 interface 22. Processor 18 may for example, be a conventional intel x86 class processor, a Motorola 68000 series processor, a RISC processor or any other suitable processor known to those skilled in the art. Persistent storage memory 20 preferably comprises a combination of read only memory, random

25 access memory, disk storage, and the like. Additionally, persistent storage memory 20 further preferably comprises a device capable of reading data from a removable storage medium 28, such as a diskette, CD-ROM or the like for storage in other portions of memory 20. Network interface 22 may be an

30 ethernet interface, a modem, an asynchronous transfer mode or

ISDN interface, or any other suitable interface for connecting device 12 to network 10. A monitor 24 and input device 26, such as a keyboard further preferably form part of device 12 allowing input and display of end-user data.

5

An exemplary organization of persistent storage memory 20 of device 12 is illustrated in FIG. 3. Stored within memory 20 are computer software programs and data that are loaded into working memory of device 12 to permit device 12 to be operable as a network based client computing device. As illustrated, memory 20 stores operating system software 34; application software 36; and data 38. Operating system software 34 may, for example, be Microsoft Windows 95 or 98 software; Microsoft NT Workstation operating system software, UNIX operating system software, or the like. Application software 36 includes network interface software 40, which typically includes an internet protocol suite allowing inter-connection with network 10 and thus communication of operating system 34 with network 10 through the physical network interface 22 (FIG. 1). Application software 36 further preferably includes an internet browser application 42 such as the Microsoft Internet Explorer or Netscape Communicator browser or the like. As such, browser application 42 will be capable of displaying documents written in the hyper-text-markup-language ("HTML"), as for example detailed in C. Musciano, B. Kennedy, HTML: The Definitive Guide, 3ed, (Cambridge, MA: O'Reilly & Associates, 1997), the contents of which are hereby incorporated by reference. Preferably browser application 42 is further capable of executing software applications downloaded through network 10. Most

25

30

preferably, browser application 42 is capable of downloading and executing software written in the Javascript or Java programming languages as, for example, more particularly detailed in D. Flannagan, Javascript: The Definitive Guide (Nutshell Handbook) (Cambridge, MA: O'Reilly & Associates, 5 1997) and P. Niemeyer and J. Peck, Exploring Java, 2ed, (Cambridge, MA: O'Reilly & Associates, 1997), the contents of both of which are hereby incorporated by reference. Such Javascript or Java applications may preferably be downloaded 10 through network 10 into data portion 38 of memory 20 and executed by browser application 42, as required. Additionally, application software 36 may comprise other applications 44 used by an end-user for purposes unrelated to the disclosed methods.

15

Devices 14 and 16 preferably act as network servers. The organization of memories at devices 14 and 16 and specific architecture of these devices are not illustrated. These are, however, similar to the described architecture of device 12 20 and organization of memory 20. However, each of devices 14 and 16 need not store nor execute an internet browser application, as device 12 preferably does. Instead, devices 14 and 16 preferably execute and store within their persistent storage memory, network server applications, such as for 25 example an HTTP server application such as the Apache internet server application; the Netscape Commerce Server application, or the Microsoft Back Office software application, or the like. Additionally, the network server application at device 14 further preferably allows the exchange of encrypted 30 messages using one or more known encryption methods. For

example, the server application at device 14 preferably supports encrypted communication between network interconnected devices using the secure sockets layer ("SSL") described above. As will become apparent, device 16 typically need not allow for exchange of encrypted messages. Also stored within persistent memory at devices 14 and 16 are common gateway interface ("CGI") applications or Java applications or other software that may be executed at devices 14 or 16 in response to network contact of these devices. CGI programming techniques are detailed in S. Gundarvan, CGI Programming on the World Wide Web, (Cambridge, MA: O'Reilly & Associates, 1996), the contents of which are hereby incorporated by reference. As will become apparent, also stored within persistent storage memory of device 16 are HTML documents and software in the form of Java applets, applications or Javascript code that may be downloaded and executed by device 12 to facilitate encryption in accordance with methods exemplary of the present invention.

In operation, after causing device 12 to become network interconnected, an end-user at device 12 wishes to securely provide device 16 with a message. For illustration purposes, devices 14 and 16 are assumed to be permanently interconnected with network 10, and identified by at least one uniform resource locator ("URL"). Of course, device 14 and 16 could be connected to network 10, intermittently as required. Device 16 may, for example, be offering acting as an electronic commerce server, accepting and verifying orders for particular products or services. As noted, orders may include sensitive personal and financial information.

The secure provision of the message may better be understood with reference to FIGS. 1, 4 and 5. Steps 400 performed by device 12 are illustrated in FIG.4. Steps 500 performed by device 16 are illustrated in FIG. 5.

5 Specifically, in steps S402 and S502 device 12 contacts server 16 over network 10 using the HTTP protocol and a known URL identifying an HTML page used as a starting point, to establish an HTTP session between devices 12 and 16. Eventually after following one or more HTML links from the

10 initially presented HTML page, the end-user at device 12 will wish to securely provide a message to device 16. Specifically, in step S402 device 12 receives a series of HTML instructions provided by device 16 in step S504 causing device 12 to request information from an end-user to be securely

15 exchanged. For example, device 16 may preferably provide an HTML document including JavaScript code and a Java Applet in step S504 causing device 12 to first present an HTML form for completion by the end-user. The end-user, in turn, completes the form by presenting data such as the end-user's name;

20 address; credit card number; and presses a submit icon or key thus providing the provided Javascript code with the plaintext data acquired, in step S404. For the purposes of this description, the plaintext data acquired through the presentation and completion of the described form will be

25 referred to as M1.

Most preferably, the provided Javascript code or Java Applet now at device 12 further causes device 12 to split the data M1, in a manner exemplary of the present invention once

30 the form has been completed. A portion of the provided Java

Applet and Javascript code is executed once all the data on the input form has been provided and the end-user is ready to submit the data to devices 14 and 16 in steps S406-S410.

5           The Java Applet executing at device 12 forms two  
independent data portions C1 and C2 from the submitted,  
plaintext data, M1, in step S406. C1 and C2 may be considered  
blocks or streams of ciphertext data. C1 and C2 may be  
combined to form the plaintext data M1, but individually C1 or  
10 C2 do not contain sufficient information to re-create M1. Two  
such data streams C1 and C2 may for example, be formed by  
generating a random or pseudo-random bit stream B1 that is bit  
wise exclusive-OR-ed with the data M1. The pseudo-bit stream  
may be generated using techniques known to those skilled in  
15 the art. One stream is the pseudo-random stream, B1 while the  
other is the resultant exclusive-OR-ed stream (ie. B1 XOR M1).  
Advantageously and unlike many conventional known and  
relatively secure public or private key encryption algorithms,  
splitting data into two streams is computationally simple.  
20 This simplicity allows the required Java Applet or Javascript  
code to be very small and easily and quickly provided to  
device 12 from device 16. Other techniques for splitting M1  
into two or more separate message streams will be understood  
by those skilled in the art, and are for example detailed in  
25 B. Schneier, Applied Cryptography, Protocols, Algorithms, and  
Source Code in C, 2ed, (John Wiley & Sons: New York, 1996), or  
A. Shamir, "How to Share a Secret", Communications of the ACM,  
Nov. 1979, Vol 22, No. 11, the contents of which are hereby  
incorporated by reference.

30

Now, one of the two data streams (C2, for example) is provided in steps S408 and S506 to device 16 over network 10 using, for example, an HTTP connection, typically without encrypting this data stream. This received data stream is  
5 stored at device 16, also in step S506.

In step S410 the other of the two streams (C1) is provided to the Javascript code at device 12, which replaces M1 with C1 in the HTML form. Thereafter in step S410, browser  
10 application 42, under control of the HTML document provided in step S402, establishes an SSL session with intermediate computing device 14 acting as an SSL capable server, and provides C1 to device 14 using the SSL session. Data provided  
15 by way of network 10 during the SSL session is encrypted using an SSL session key, and provided to device 14; and decrypted and stored at device 14, preferably as a file, all using conventional techniques understood by those skilled in the art.

20 Next, in order to retrieve the plaintext message M1, both ciphertext message streams C1 and C2 are required. Thus, upon receipt of the stream containing C2, device 16 under control of software such as a Java application or Java Applet (not illustrated) may accordingly contact device 14 by, for  
25 example, establishing an HTTP or FTP session with device 14 over network 10, and preferably providing a password and identifier; and retrieving the stored file containing C1. While typically, device 16 unlike device 14 is not an SSL capable server, it may include client software capable of  
30 retrieving data from device 14 using an SSL session. Thus,



device 16 could establish an SSL session with device 14 to retrieve the file containing C1. Alternatively, device 14 could provide a message containing C1 to device 16 once received. This could be done by device 14 initiating a  
5 session and providing the file or by way of electronic mail message, sent to or retrieved by device 16, or in any other suitable manner. Once C1 has been received at device 16, the software application at device 16 may re-assemble M1 from C1 and C2 using the inverse operators used to split M1 into C1  
10 and C2 in step S510. Using the example technique, device 16 may bitwise exclusive-OR C1 with C2 to form M1.

Alternatively, streams C1 and C2 may be retrieved remotely from devices 14 and 16, respectively. For example,  
15 an authorized remote user (not illustrated) could establish a connection to network 10, using another computing device and contact device 14, preferably using an SSL session, and device 16 to retrieve C1 and C2.

20 Additionally, and optionally, in order to discover an error in M1, C1 and C2, M1, C1 and C2 may each be appended with a checksum in the form of a CRC, secure hash algorithm, as detailed in B. Schneier, Applied Cryptography, Protocols, Algorithms, and Source Code in C, 2ed, or the like.  
25 Corruption in C1 could thus be detected at device 14 or 16, while corruption of C2 or M1 could be detected at device 16. In response to detecting corruption, device 14 or 16 could request re-transmission of C1, C2 or C1 and C2, from device  
30 12, as required.

As should be appreciated from the above description, in order for a third party to intercept the message M1, the third party will require both C1 and C2. As C1 and C2 are routed to different network interconnected computing devices 14 and 16, typically over different network paths, and often over different physical networks all forming part of network 10, interception of both C1 and C2 on network 10 by a third party is highly unlikely. Further, as C1 is encrypted during transmission, a third party obtaining C1 is further unlikely. Moreover, an operator at server 14 cannot obtain M1, as only C1 has been provided. As there is preferably no statistical correlation between C1 and C2, even a brute force attack on C1 or C2 will not be sufficient to obtain M1. Once M1 has been re-assembled it may be processed as required in step S512 at server 16, or remotely.

As will appreciated, the above example embodiments have been described using a single intermediate computing device. The invention may easily be applied to split the transmitted message into three or more portions, and provide portions to additional intermediaries as illustrated by way of example, with reference to FIG. 6. In the arrangement of FIG. 6, computing device 50 wishes to securely convey a message to computing device 56. Device 50 comprises software similar to that described above, and preferably splits a message M1' into three independent message portions C1', C2' and C3'. This may be done, for example, by splitting message M1' into portions C1' and C2'' using the above described XOR technique. Message C2'' may further be split into message C2' and C3' by again splitting C2'' using the described XOR technique. Message

portion C1' is encrypted and provided by way of a network to device 52. At device 52 it is decrypted and stored. Message portion C2' is optionally also encrypted and provided to device 54, where it is decrypted and stored. Again, SSL sessions between devices 50 and 52 and devices 50 and 54 may facilitate the encrypted exchange of C1' and C2'. Portion C3' is provided by device 50 to device 56, and optionally encrypted. Now, device 56 may obtain portions C1' and C2' from device 52 and 54, respectively. Alternatively, device 54 may obtain message portion C2' from device 52. C1' and C2' could be combined at device 54 and provided to device 56. Alternatively, device 56 could obtain C1' and C2' from device 54 and combine these. In any event, once C1', C2' and C3' are combined at device 56 message M1' may be extracted. Using the example XOR technique,  $M1' = C1' \text{ XOR } (C2' \text{ XOR } C3')$ . Once again, operators at intermediate devices 52, 54 cannot obtain M1' from message portions C1' and C2'.

As will be appreciated the described method can easily be extended to splitting an initial message M into an arbitrary number of intermediate message portions and using an arbitrary number of intermediate devices.

It will be appreciated that the above described embodiments use the Java or Javascript language and SSL encryption, a person skilled in the art will readily appreciate that the described methods may easily be implemented using other known encryption methods and other computer languages. For example, the described Javascript could be replaced with a compiled C application, executing as

a "plug-in" to the network browser 42 or as part of the browser or other application. Moreover, message portions encrypted using the described SSL sessions could be encrypted using any other symmetric or public key encryption methods.

5 For example, the known Pretty-Good-Privacy application - available from Network Associates could be used. As well, while communications with server 16 has been described as not requiring encryption, a person skilled in the art will appreciate that communications with device 16 could also be  
10 encrypted.

Similarly, while the organization of software blocks, and data portions have been illustrated as clearly delineated, a person skilled in the art will appreciate that the delineation  
15 between blocks and data portions is somewhat arbitrary. Numerous other arrangements of software and data are possible. Similarly, while computing device 12, 14 and 16 have been illustrated as substantially similar, a person skilled in the art will appreciate that, in practice, these are typically  
20 quite dissimilar.

It will be further understood that the invention is not limited to the embodiments described herein which are merely illustrative of a preferred embodiments of carrying out the  
25 invention, and which are susceptible to modification of form, arrangement of parts, steps, details and order of operation. The invention, rather, is intended to encompass all modifications within its spirit and scope, as defined by the claims.

## WHAT IS CLAIMED IS:

1. A method of conveying a message from a first computing device to a second computing device, said method comprising the steps of:
  - a. splitting said message at said first computing device into at least two independent message portions, wherein each message portion is insufficient to form said message and all said message portions are required to form said message;
  - b. encrypting one of said message portions at said first computing device;
  - c. providing said encrypted message portion from said first computing to an intermediate computing device;
  - d. providing the remaining message portions to a second computing device;
  - e. providing said first message portion to said second computing device; and
  - f. re-combining said first message portion and said remaining message portions at said second computing device to form said message.
2. The method of claim 1, wherein said remaining message portions are provided to further intermediate computing

devices prior to step d.

3. The method of claim 2, wherein said first message portion is provided to said second computing device by said intermediate computing device.

4. The method of claim 1, wherein said first, second and intermediate computing devices are interconnected with at least one data network, and wherein said first and remaining message portions are provided to said intermediate and second computing device over different data paths on said network.

5. The method of claim 4, wherein said second computing device and said intermediate computing device are interconnected to different physical networks.

6. The method of claim 1, wherein step e. comprises decrypting said encrypted message portion at said intermediate computing device.

7. The method of claim 1, wherein step a. comprises forming a pseudo-random bit stream at said first computing device, and applying said pseudo-random bit stream to said message to form said second message portion, and wherein said first message portion comprises said pseudo-random bit stream.

8. The method of claim 6, wherein step e. further comprises encrypting said decrypted message portion at said intermediate computing device.

9. The method of claim 1, further comprising the step of
- g. obtaining a software application to perform step a. at said first device from said second device.
10. The method of claim 1, wherein said first, second and intermediary computing devices are interconnected with a computer network adhering to an internet protocol, and wherein step c. comprises establishing a connection over said network between said first computing device and said intermediate computing device and said encrypted is provided to said intermediate computing device using said connection.
11. The method of claim 10, wherein data exchanged using said connection is encrypted using a temporary key generated for said connection.
12. The method of claim 11, wherein step e. further comprises establishing a network connection between said first computing device and said second computing device, and wherein said first message portion is provided to said second computing device using said session.
13. The method of claim 1, wherein step e. comprises providing said first message portion to said second computing device as an electronic mail message from said intermediary computing to said second computing device.
14. A computing device comprising:

a processor;

a computer network interface in communication with said processor;

persistent storage memory in communication with said processor, said persistent storage memory comprising processor readable instruction adapting said device to:

a. split said message at said first computing device into at least two independent message portions, wherein each message portion is insufficient to form said message and all said message portions are required to form said message;

b. encrypt one of said message portions at said computing device;

c. provide said encrypted message portion from said computing device to an intermediate computing device using said network interface; and

d. provide at least one of the remaining message portions to a second computing device interconnected with said network.

15. The computing device of claim 14, wherein some of said processor readable instructions are provided to said computing device from said second computing device using said network interface.



16. The computing device of claim 15, wherein said processor readable instructions further comprise a pseudo-random bit stream generator and adapt said processor to apply a pseudo-random bit stream formed by said generator to said data message to form said second message portion, and wherein said first message portion comprises said pseudo-random bit stream.

17. The computing device of claim 16, wherein said network comprises an internet protocol compliant network, and said processor readable instructions further adapt said computing device to communicate over said network using an internet protocol.

18. The device of claim 16, wherein said processor readable instructions further adapt said device to provide said first message portion to said intermediate computer using the http protocol.

19. A computer readable medium comprising a software application that, when loaded by a network interconnected computing device adapts said computing device to:

a. split a data message at said computing device into at least two message portions, wherein each of said message portions is insufficient to form said message and wherein all said message portions are required to form said message;

b. encrypt one of said message portions at said first computing device;

c. provide said encrypted message portion from said computing device to an intermediate computing device using said network interface; and

d. provide at least one of the remaining message portions to a second computing device interconnected with said network.

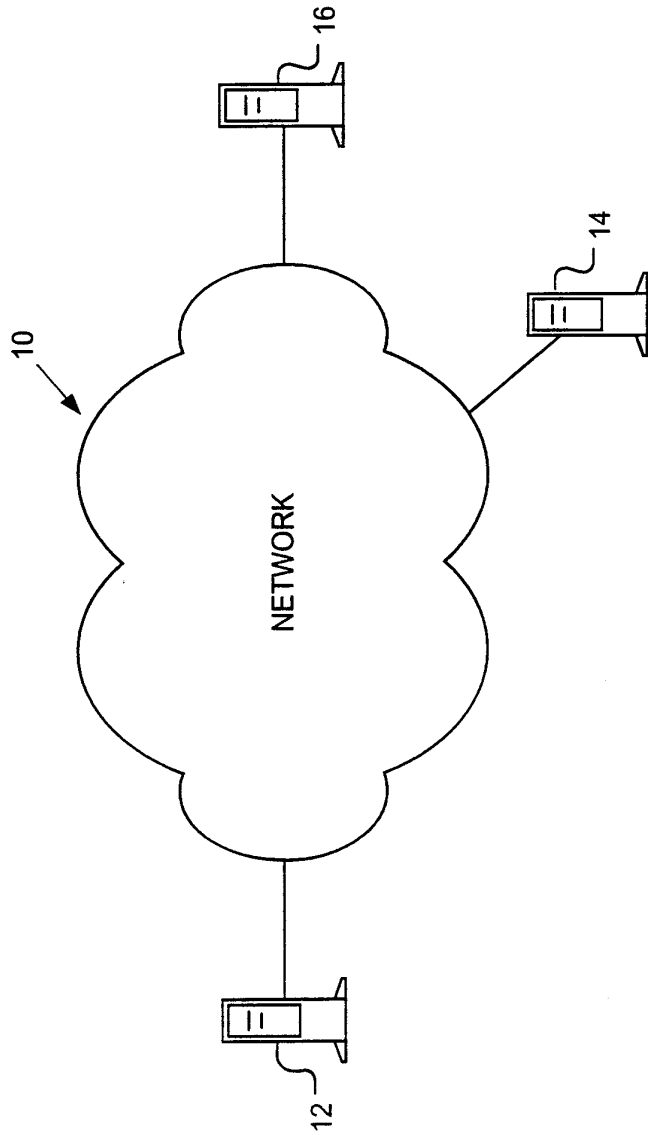


FIG. 1

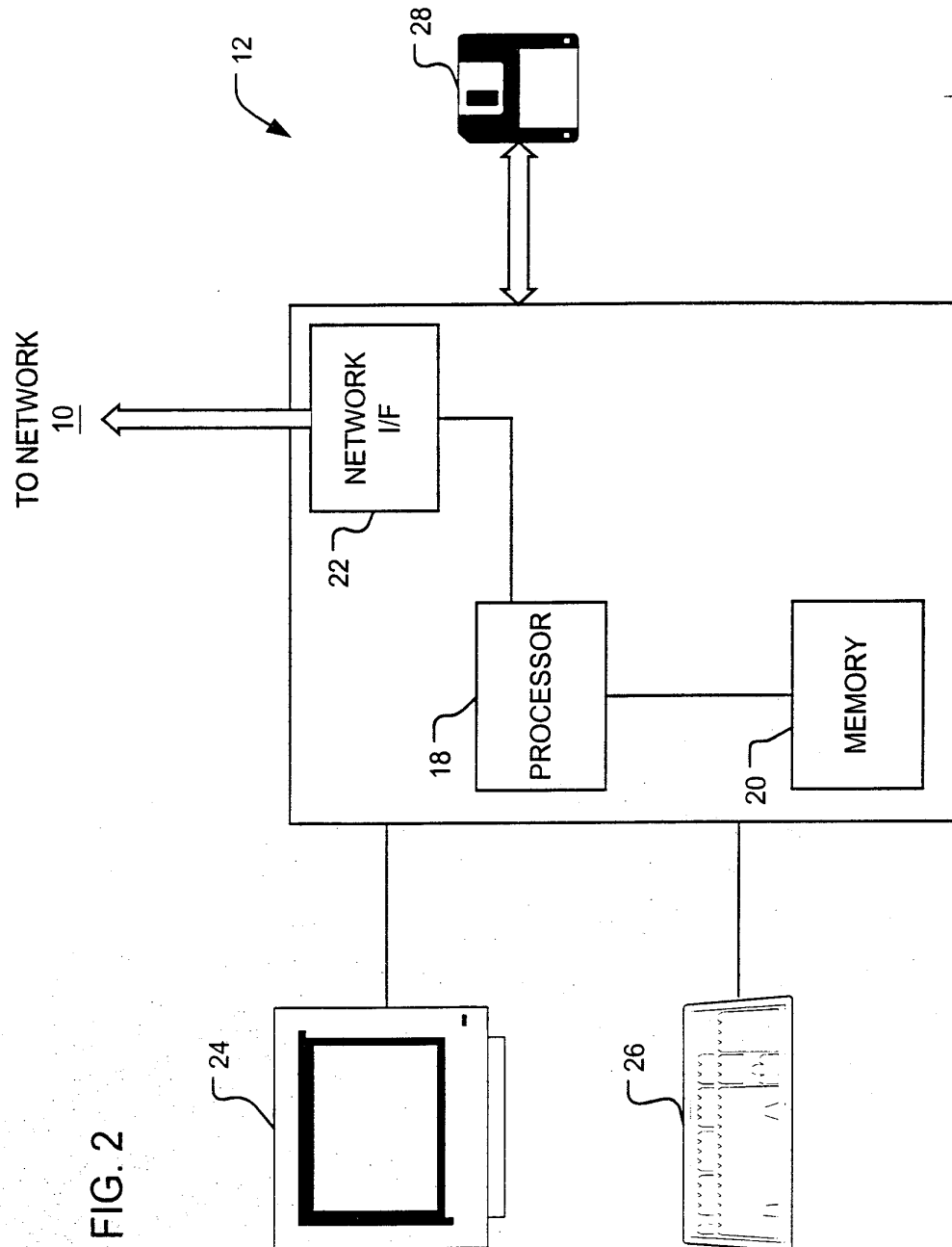


FIG. 2

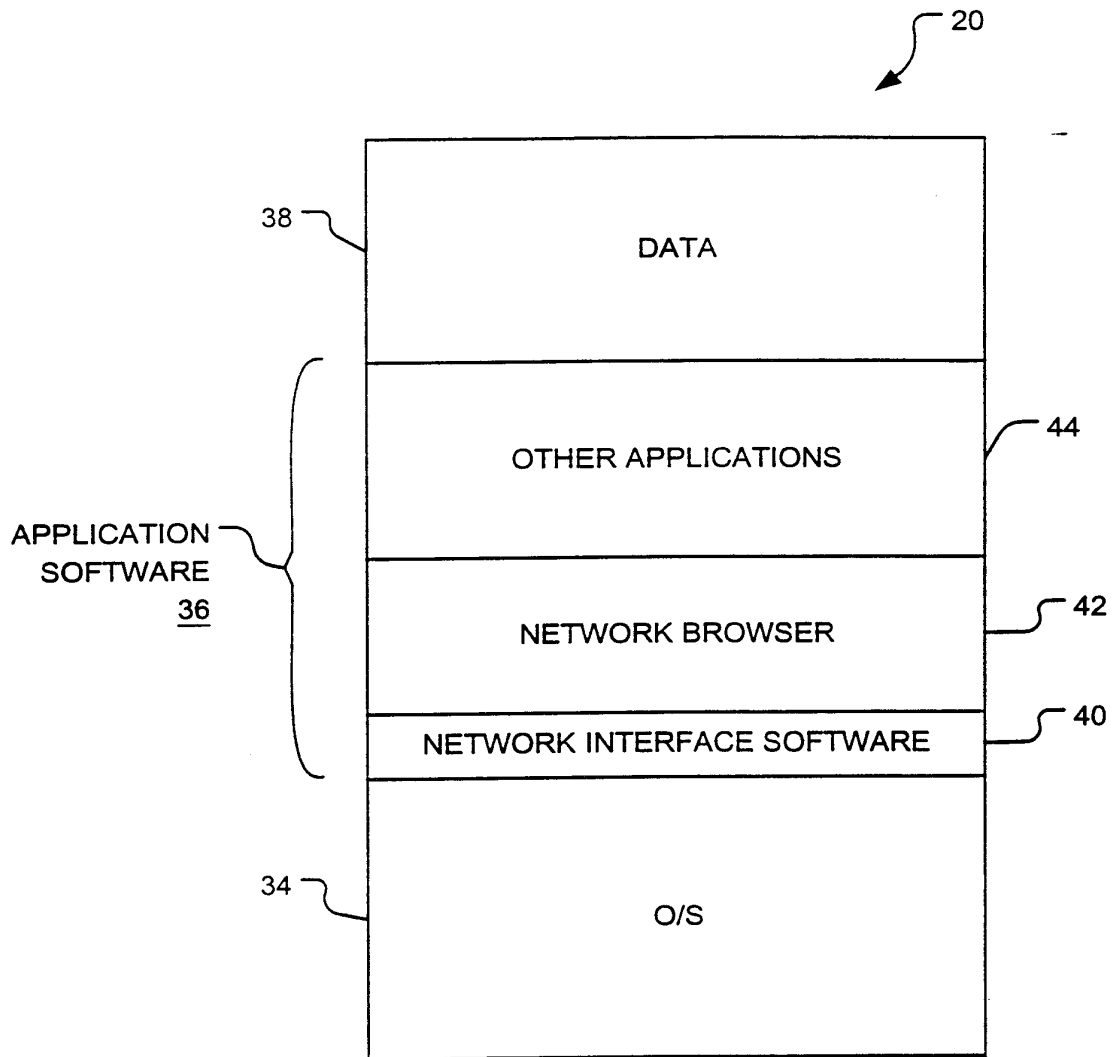


FIG. 3

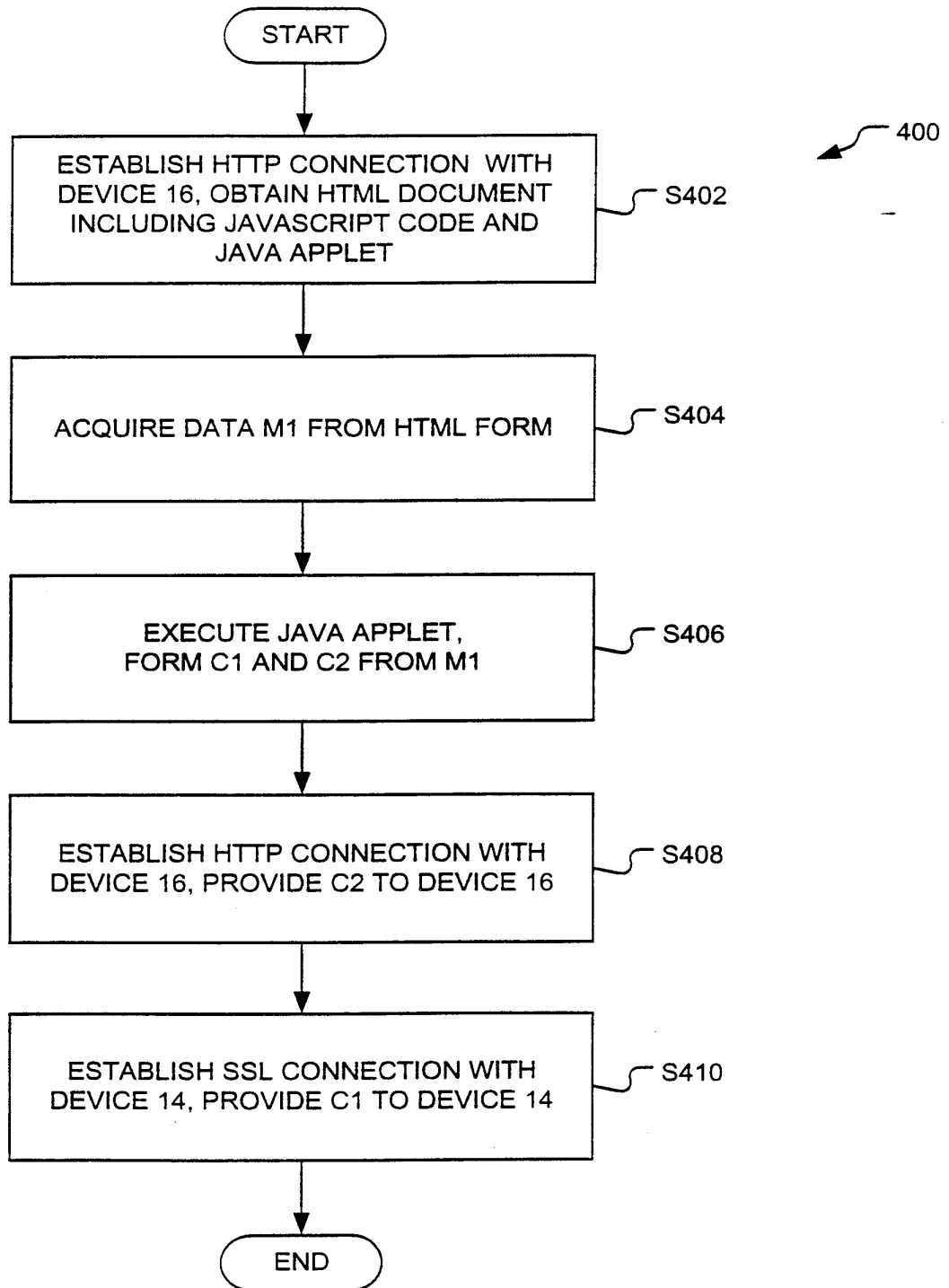
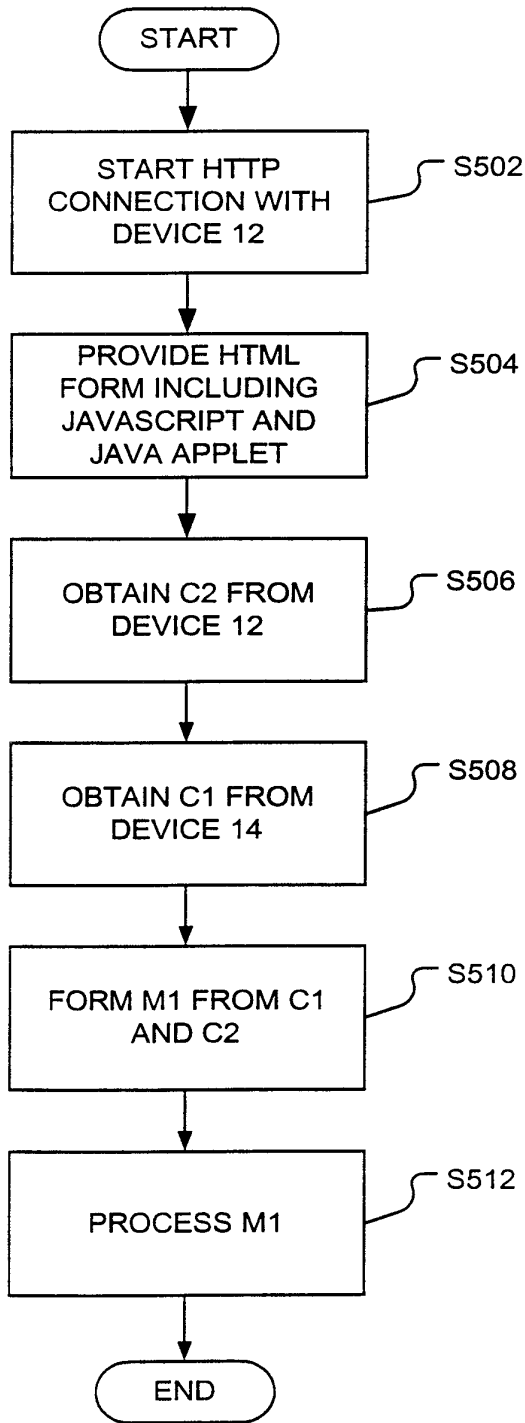


FIG. 4

5/6



500

FIG. 5

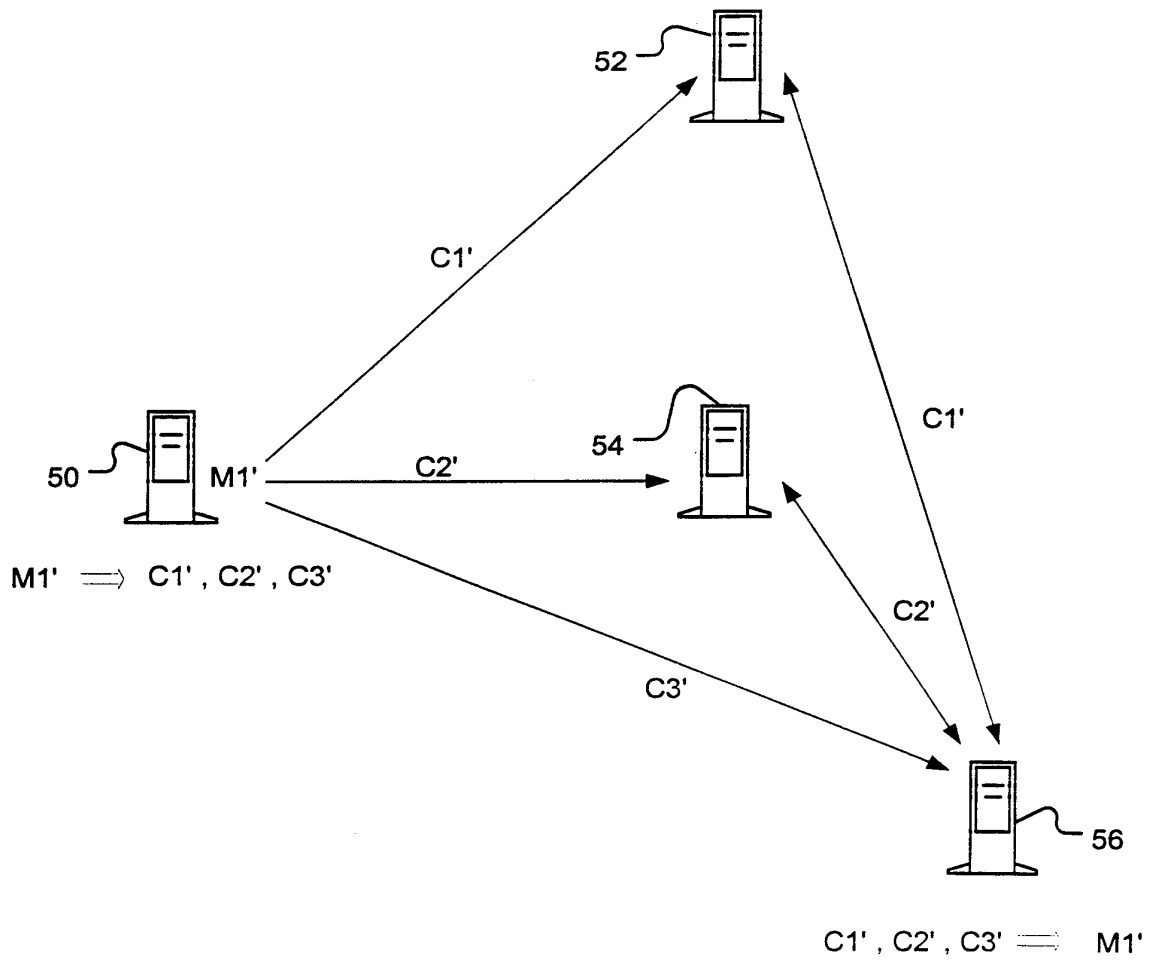


FIG. 6



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/CA 99/00838

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> IPC 7 H04L29/06		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) IPC 7 H04L		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched		
Electronic data base consulted during the international search (name of data base and, where practical, search terms used)		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P, X	GB 2 332 833 A (INTERACTIVE MAGAZINES LIMITED) 30 June 1999 (1999-06-30) abstract page 1, line 1 - line 3 page 1, line 25 - page 2, line 14 claims 1-12	1-19
A	----- WO 96 29667 A (SANDBERG DIMENT ERIK) 26 September 1996 (1996-09-26) abstract page 2, line 1 - line 23 page 4, line 12 - line 24; figure 2 -----	1-19
<input type="checkbox"/> Further documents are listed in the continuation of box C.		
<input checked="" type="checkbox"/> Patent family members are listed in annex.		
* Special categories of cited documents :		
"A" document defining the general state of the art which is not considered to be of particular relevance "E" earlier document but published on or after the international filing date "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "P" document published prior to the international filing date but later than the priority date claimed		
"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art. "&" document member of the same patent family		
Date of the actual completion of the international search	Date of mailing of the international search report	
24 January 2000	02/02/2000	
Name and mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016	Authorized officer  Adkhis, F	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No PCT/CA 99/00838
---

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
GB 2332833 A	30-06-1999	AU 1775099 A WO 9934547 A	19-07-1999 08-07-1999
WO 9629667 A	26-09-1996	US 5826245 A AU 5366096 A	20-10-1998 08-10-1996



(43) International Publication Date  
12 March 2015 (12.03.2015)

- (51) **International Patent Classification:**  
H04N 21/2387 (2011.01) H04N 21/472 (2011.01)  
H04N 21/475 (2011.01)
- (21) **International Application Number:**  
PCT/US2014/053241
- (22) **International Filing Date:**  
28 August 2014 (28.08.2014)
- (25) **Filing Language:** English
- (26) **Publication Language:** English
- (30) **Priority Data:**  
14/017,746 4 September 2013 (04.09.2013) US
- (71) **Applicant:** AKAMAI TECHNOLOGIES, INC.  
[US/US]; 8 Cambridge Center, Cambridge, MA 02142 (US).
- (72) **Inventors:** TAMBLIN, Shaun, H.; C/o Akamai Technologies, Inc., 8 Cambridge Center, Cambridge, MA 02142 (US). ZHANG, Eugene, Y.; C/o Akamai Technologies, Inc., 8 Cambridge Center, Cambridge, MA 02142 (US). NADIG, Prasad; Akamai Technologies, Inc., 8 Cambridge Center, Cambridge, MA 02142 (US).
- (74) **Agents:** MATT, Joshua, T. et al.; Akamai Technologies, Inc., 8 Cambridge Center, Cambridge, MA 02142 (US).
- (81) **Designated States (unless otherwise indicated, for every kind of national protection available):** AE, AG, AL, AM,

AO, AT, AU, AZ, BA, BB, BG, BH, BN, BR, BW, BY, BZ, CA, CH, CL, CN, CO, CR, CU, CZ, DE, DK, DM, DO, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH, GM, GT, HN, HR, HU, ID, IL, IN, IR, IS, JP, KE, KG, KN, KP, KR, KZ, LA, LC, LK, LR, LS, LT, LU, LY, MA, MD, ME, MG, MK, MN, MW, MX, MY, MZ, NA, NG, NI, NO, NZ, OM, PA, PE, PG, PH, PL, PT, QA, RO, RS, RU, RW, SA, SC, SD, SE, SG, SK, SL, SM, ST, SV, SY, TH, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, ZA, ZM, ZW.

- (84) **Designated States (unless otherwise indicated, for every kind of regional protection available):** ARIPO (BW, GH, GM, KE, LR, LS, MW, MZ, NA, RW, SD, SL, ST, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, RU, TJ, TM), European (AL, AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HR, HU, IE, IS, IT, LT, LU, LV, MC, MK, MT, NL, NO, PL, PT, RO, RS, SE, SI, SK, SM, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, KM, ML, MR, NE, SN, TD, TG).

**Declarations under Rule 4.17:**

- as to the identity of the inventor (Rule 4.17(i))
- as to applicant's entitlement to apply for and be granted a patent (Rule 4.17(ii))
- as to the applicant's entitlement to claim the priority of the earlier application (Rule 4.17(iii))

[Continued on next page]

(54) **Title:** SERVER-SIDE SYSTEMS AND METHODS FOR REPORTING STREAM DATA

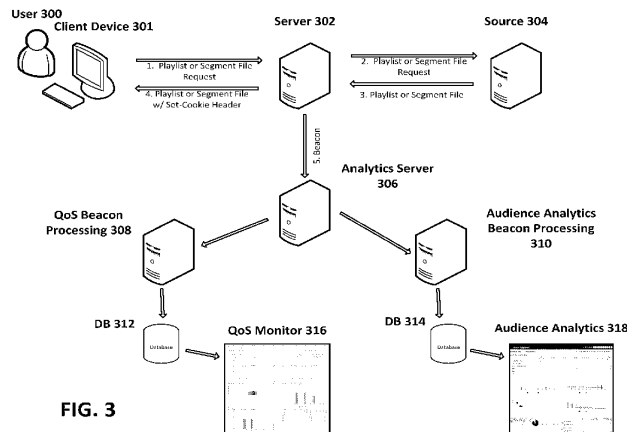


FIG. 3

(57) **Abstract:** According to the disclosure hereof, the functionality of a server can be extended to collect data on content streams that the server is delivering to clients, and to beacon certain data back an analytics system to facilitate monitoring of, reporting on, and analysis of the delivery of content streams. At various stages of the streaming process, a server can read and update state information (for example cookie data) on the requesting client reflecting, for example, status in playing a particular stream. Based on the client's requests and the state information at each stage, the server can beacon appropriate information about the stream and its playback status back to the analytics system. The teachings hereof are particularly useful, without limitation, in streaming media analytics and for segment-based streaming approaches, including over HTTP.

WO 2015/034752 A1



---

**Published:**

— *with international search report (Art. 21(3))*

## SERVER-SIDE SYSTEMS AND METHODS FOR REPORTING STREAM DATA

This patent document contains material which is subject to copyright protection. The  
5 copyright owner has no objection to the facsimile reproduction by anyone of the patent  
document or the patent disclosure, as it appears in Patent and Trademark Office patent files or  
records, but otherwise reserves all copyright rights whatsoever.

### BACKGROUND

#### 10 Technical Field

This patent document relates generally to the delivery of content over computer networks,  
including in particular streaming content from a server to a client, and to the monitoring,  
reporting, and analysis of such content delivery.

15

#### Brief Description of the Related Art

Streaming content from a server to a client device is known in the art, and is often used for  
delivering media, such as streaming audio or video. A variety of techniques are known for  
streaming both live content and on-demand content. For example, real-time message  
20 protocol (RTMP) provides a way for a media server to send content to a client over one or  
more virtual channels and a control channel. More recently, HTTP-based streaming has  
become more widely used. Typically, with HTTP based streaming, a given media stream is  
represented by multiple chunks or segments which can be requested independently by a client  
player. Each segment is downloaded by the client and then played in order. In order to know  
25 what the segments are available and where to find them (e.g., the URIs to use for requesting  
them), a client generally first obtains a file like a playlist or manifest, which contains the  
segment locations or indicates how to construct the segment locations (e.g., how to construct  
the URIs).

For example, HTTP Live Streaming (HLS) is a framework that provides for a master playlist,  
30 media playlist, and media segments. A master playlist contains references to one or more  
media playlists. Typically, the master playlist contains references (URIs) to different  
versions of the same stream, each represented for example by a different media playlist. This  
can be used to provide streams having different resolutions or at multiple different bitrates,

and a client can choose amongst them. A client player may dynamically choose an appropriate bitrate based on network conditions, buffer size/status, and/or device processing power, so as to effect adaptive bitrate streaming.

5 In HLS, a media playlist references (typically by URI) the media segments that make up the stream for the given bitrate, resolution, or the like, and allow the client player to individually request each segment. Like master playlists, media playlists may be implemented as m3u8 files. Media segments contain the actual media data (e.g., video, audio, multimedia container file). For HLS, the media segments are often MPEG-2 transport streams, designated as ts files.

10 Other segmented streaming based approaches include HTTP Dynamic Streaming, Smooth Streaming and MPEG-DASH.

To serve a stream, a given server or collection of replicated servers can be used to stream the content to requesting client devices in accord with the protocols described above. In some cases, however, a distributed computing system such as a “content delivery network” (CDN) is used to stream content across the Internet. A CDN is typically operated and managed by a service provider, who provides the content delivery service on behalf of third parties. A “distributed system” of this type typically refers to a collection of autonomous computers linked by a network or networks, together with the software, systems, protocols and techniques designed to facilitate various services, such as content delivery or the support of outsourced site infrastructure. This infrastructure is shared by multiple tenants, the content providers. The infrastructure is generally used for the storage, caching, or transmission of content – such as streaming media, but potentially also web pages and applications – on behalf of such content providers or other tenants. The platform may also provide ancillary technologies used therewith including, without limitation, DNS query handling, provisioning, data monitoring and reporting, content targeting, personalization, and business intelligence.

In a known system such as that shown in FIG. 1, a distributed computer system 100 is configured as a CDN and has a set of machines 102 distributed around the Internet. Typically, most of the machines are servers located near the edge of the Internet, i.e., at or adjacent end user access networks. A network operations command center (NOCC) 104 may be used to administer and manage operations of the various machines in the system. Third party sites affiliated with content providers, such as web site 106, offload delivery of content

to the distributed computer system 100 and, in particular, to the CDN servers (which are sometimes referred to as "edge" servers in light of the possibility that they are near an "edge" of the Internet). Such servers may be grouped together into a point of presence (POP) 107 at a particular geographic location.

- 5 The CDN servers are typically located at nodes that are publicly-routable on the Internet, within or adjacent nodes that are located in mobile networks, in or adjacent enterprise-based private networks, or in any combination thereof.

Typically, content providers offload their content delivery by aliasing (e.g., by a DNS CNAME) given content provider domains or sub-domains to domains that are managed by  
10 the service provider's authoritative domain name service. The server provider's domain name service directs end user client machines 122 that desire content to the distributed computer system (or more particularly, to one of the CDN servers in the platform) to obtain the content more reliably and efficiently. The CDN servers respond to the client requests, for example by fetching requested content from a local cache, from another CDN server,  
15 from the origin server 106 associated with the content provider, or other source.

Although not shown in detail in FIG. 1, the distributed computer system may also include other infrastructure, such as a distributed data collection system 108 that collects usage and other data from the CDN servers and passes that data to other back-end systems 110, 112, 114 and 116 to facilitate monitoring, logging, alerts, billing, management and other  
20 operational and administrative functions. Distributed network agents 118 monitor the network as well as the server loads and provide network, traffic and load data to a DNS query handling mechanism 115. A distributed data transport mechanism 120 may be used to distribute control information (e.g., metadata to manage content, to facilitate load balancing, and the like) to the CDN servers. The CDN may include a network storage subsystem  
25 (sometimes referred to herein as "NetStorage") which may be located in a network datacenter accessible to the CDN servers and which may act as a source of content, such as described in U.S. Patent No. 7,472,178, the disclosure of which is incorporated herein by reference.

As illustrated in FIG. 2, a given machine 200 in the CDN comprises commodity hardware (e.g., a microprocessor) 202 running an operating system kernel (such as Linux® or variant)  
30 204 that supports one or more applications 206. To facilitate content delivery services, for example, given machines typically run a set of applications, such as an HTTP proxy 207, a

name server 208, a local monitoring process 210, a distributed data collection process 212, and the like. The HTTP proxy 207 typically includes a manager process for managing a cache and delivery of content from the machine. For streaming media, the machine may include one or more media servers, such as a Windows® Media Server (WMS) or Flash server, as required by the supported media formats.

In a typical operation, a content provider identifies a content provider domain or sub-domain that it desires to have served by the CDN. The CDN service provider associates (e.g., via a canonical name, or CNAME, or other aliasing technique) the content provider domain with a CDN hostname, and the CDN provider then provides that CDN hostname to the content provider. When a DNS query to the content provider domain or sub-domain is received at the content provider's domain name servers, those servers respond by returning the CDN hostname. That network hostname points to the CDN, and that hostname is then resolved through the CDN name service. To that end, the CDN name service returns one or more IP addresses. The requesting client application (e.g., browser) then makes a content request (e.g., via HTTP or HTTPS) to a CDN server machine associated with the IP address. The request includes a host header that includes the original content provider domain or sub-domain. Upon receipt of the request with the host header, the CDN server checks its configuration file to determine whether the content domain or sub-domain requested is actually being handled by the CDN. If so, the CDN server applies its content handling rules and directives for that domain or sub-domain as specified in the configuration. These content handling rules and directives may be located within an XML-based "metadata" configuration file, as described in US Patent No. 7,240,100, the teachings of which are hereby incorporated by reference.

The CDN platform can be considered an overlay across the Internet on which communication efficiency can be improved. Improved communications on the overlay can help when a CDN server needs to obtain content from an origin server 106, or otherwise when accelerating non-cacheable content for a content provider customer. Communications between CDN servers and/or across the overlay may be enhanced or improved using improved route selection, protocol optimizations including TCP enhancements, persistent connection reuse and pooling, content & header compression and de-duplication, and other techniques such as those described in U.S. Patent Nos. 6,820,133, 7,274,658, 7,607,062, and 7,660,296, among others, the disclosures of which are incorporated herein by reference.



For live and on-demand streaming delivery, the CDN may include a delivery subsystem leveraging the CDN platform, such as described in U.S. Patent No. 7,296,082, and U.S. Publication Nos. 2011/0173345 and 2012/0265853, the disclosures of which are incorporated herein by reference.

5 Regardless of the particular delivery infrastructure, a streaming content provider often wants to know certain things about the delivery of their content to end-users. For example, the size of the audience for a particular stream, how many plays a stream receives, and other audience metrics may all be important. Quality of service metrics, such as how often a user re-started a stream – also may be important. In some cases, stream metrics can be obtained using a  
10 client-beaconing system, in which a client player sends information about the stream it is playing to some designated machine, which processes this information to generate aggregate statistics on the stream. However, this requires adapting each client player to have appropriate logic, and the universe of players is constantly changing and expanding.

It would be advantageous to have a solution that is able to provide stream monitoring and  
15 reporting and analysis capabilities based on collecting data from the server side, without relying on a beacon sent from the client player. It would be advantageous to have such solution compatible with recent technologies for streaming, such as HTTP streaming and/or other chunk/segment based streaming. Collecting data on such streams at the server is challenging because the server generally has limited knowledge about an individual stream,  
20 as it is typically receiving a multitude of requests from various clients for various segments of streams.

The teachings herein address these needs and also provide other benefits and improvements that will become apparent in view of this disclosure. The teachings herein may be used by a  
25 CDN to provide a monitoring and reporting and analytics system for its participating content providers, but they are not limited to the CDN use case, as they may be implemented in conjunction with any streaming content system.

## SUMMARY

This patent document describes, among other things, systems and methods for collecting and  
30 reporting stream data to facilitate monitoring of, reporting on, and analysis of the delivery of

content streams. In particular, systems and methods are described herein for collecting and reporting data related to quality-of-service and audience statistics for streaming media, though other use cases are possible.

5 In one implementation of the teachings hereof, servers that are streaming content to client players are modified to collect data about the streams. The servers may be CDN servers, though this is not a limitation. The servers send the data to a back-end analytics system, which aggregates and processes the information.

10 At various stages of the streaming process, a server can set, update, and read state information on the requesting client reflecting, for example, its status in playing a particular stream. Based on the client's request and such state information at each stage, the server can beacon appropriate information about the stream back to the analytics system. The state information can be stored on the client in cookies or using other client-side storage mechanisms, including other standards-based approaches that enable a client to store and return state information with requests to servers, either with or without server request. The  
15 teachings hereof apply without limitation to streaming media analytics, and to segment-based streaming approaches including over HTTP.

Assume, for example, that a client player requests a master playlist for an HLS stream from a server. When the server receives the request, the server can read state information (e.g., from the HTTP cookie) on the client, if it exists, or if not, generate and set the state information.  
20 The state information might include such kinds of information as a client identifier, user identifier, a stream identifier (e.g., which might be name of master playlist or derived from it), a time stamp, and/or other things, as will be described in more detail later in this document. The server can respond to the client request, and the server can also generate and send a beacon message to the analytics system in light of the client request.

25 Assume the client then sends a request for a media playlist. The server can read the previously stored state information, update it to reflect current status, and use the information in the request (including the state information) to generate another beacon. Likewise, the receipt of requests for media segments of the stream can cause the server to read and update the state information on the client, and generate beacons.

- The beacon messages can indicate a variety of information at each stage, e.g., indicating perhaps that the client is attempting to play or playing the stream, the status of the playback, identifying the media stream being played, identifying what version of the stream (bitrate), and/or other relevant data. As indicated above, the server can send these messages at certain points (e.g., upon receiving the particular requests for playlists, or media segments, or at certain intervals, etc.) to the analytics system. Hence this approach can be used as an alternative or supplement to client-side systems in which a client application (player) with a plugin or other logic periodically beacons information to the back-end analytics system, potentially alleviating the need for integrating such logic into all client player applications.
- 10 The specific timing and messaging implementation will typically vary with the design goals and the streaming protocol. Thus, the teachings hereof apply to streams or circumstances that employ one playlist, rather than the HLS approach of master and media playlist used in the example above. For example, the teachings can also be applied to HTTP Dynamic Streaming (HDS), Smooth Streaming, or MPEG-DASH, which generally use a reference file referred to as a manifest rather than a playlist.

The teachings hereof also apply to situations where a client makes a series of media segment requests, irrespective of playlist/manifest requests. For example, the server may send beacons in response to media segment requests, updating and setting the client state information after each such request.

- 20 As those skilled in the art will recognize, the foregoing description refers to examples of the invention and is not necessarily meant to reflect all possible embodiments. Other embodiments are described and/or will be apparent in view of the description below and in light of one skilled in the art's understanding of this disclosure. The teachings hereof may be realized in a variety of systems, methods, apparatus, and non-transitory computer-readable
- 25 media.

### **BRIEF DESCRIPTION OF THE DRAWINGS**

The teachings of this document will be more fully understood from the following detailed description taken in conjunction with the accompanying drawings, in which:

FIG. 1 is a diagram illustrating one embodiment of a known distributed computer system configured as a content delivery network (CDN);

FIG. 2 is a diagram illustrating one embodiment of a machine on which a content delivery network server in the system of FIG. 1 can be implemented;

5 FIG. 3 is a diagram illustrating one embodiment of a system for stream monitoring, reporting and analytics;

FIG. 4 illustrates one embodiment of a message sequence amongst certain machines shown in FIG. 3;

10 FIG. 5 is a diagram illustrating one embodiment of logic process flow in the server 302 shown in FIG. 3; and,

FIG. 6 is a block diagram illustrating hardware in a computer system that may be used to implement the teachings hereof.

### DETAILED DESCRIPTION

15 The following description sets forth embodiments of the invention to provide an overall understanding of the principles of the structure, function, manufacture, and use of the methods and apparatus disclosed herein. The systems, methods and apparatus described herein and illustrated in the accompanying drawings are non-limiting examples; the claims  
20 alone define the scope of protection that is sought. The features described or illustrated in connection with one exemplary embodiment may be combined with the features of other embodiments. Such modifications and variations are intended to be included within the scope of the present invention. The allocation of functions to particular computer machines is not limiting, as the functions recited herein may be combined or split amongst different machines in a variety of ways. All patents, publications and references cited herein are  
25 expressly incorporated herein by reference in their entirety.

Introduction

According to this disclosure, a system of servers can collect and beacon data about streams they are delivering. The data can be beacons to a back-end analytics system, so as to facilitate monitoring of, reporting on, and analysis of the content streams for a stream content provider. The back-end analytics system aggregates data from many beacons to determine and report on quality-of-service, audience size, audience engagement, viewing duration, and other audience-related metrics, client player statistics, and other information. Hence, this system can be used to provide real-time and/or post-event reporting of content streams.

Preferably the content streams are media streams delivering audio, video, or multimedia (e.g., container files with encoded audio/video presentations) to a client. Preferably the media streams are segmented media streams using a manifest or playlist(s) to define the location of stream segments. Non-limiting examples of suitable streaming protocols include HTTP Live Streaming (HLS), HTTP Dynamic Streaming (HDS), and Smooth Streaming, and MPEG-DASH. The server-side beaconing approach described in this disclosure alleviates the need for client-side code or integration of plugin or other logic into the client, which is advantageous given the ever-increasing array of client players in use.

The teachings hereof may be implemented in a content delivery network server used for streaming content, or more particularly, in the HTTP proxy servers described earlier with respect to FIGS. 1-2. More specifically, the proxy server application running in the machine 200 can be modified in accordance with the teachings hereof to provide the disclosed functionality.

#### System Architecture

FIG. 3 illustrates one non-limiting embodiment of a system for server-side collection of stream data. In the following description, conventions and nomenclature associated with HTTP and HTTP live streaming (HLS) will be used for illustrative purposes; however, the approach would apply equally for other segmented streaming protocols.

In this embodiment, beaconing logic is placed within content servers that are delivering the streams. The system is designed to have a given content server 302 in the system beacon back relevant information about the stream at certain points in time. The information may be in the comparable format as a client-side plugin generated beacon would generate. In the illustrated embodiment, the logic of when to trigger these beacons is based on server 302

responses to client requests for the master playlist, media playlist, or media segments. In order to keep track of sessions, unique viewers, and determine when to trigger a beacon, two cookies are used in this example. However the number of cookies may vary by implementation.

5 Turning to FIG. 3, user 300 operates a client device 301 running a client media player application. Assume that the client has already obtained the address of a media server via, for example, DNS lookup made by its local DNS server. (In the case of a CDN, the DNS process may have involved an aliasing process to be pointed to the CDN DNS system, and subsequently a particular machine in the CDN, as described earlier in connection with FIGS.  
10 1-2.)

In FIG. 3, the client issues HTTP requests (e.g., HTTP GET request) for playlist files for a given stream, and then the actual media segments for that stream. (The specific request/response sequence will be discussed in more detail below.) When the server 302 receives a request, it serves the playlist or the media segment from cache, as the case may be,  
15 if it has a valid cached copy. If not, the server 302 can go forward in a proxy operation to an origin server or some other remote storage mechanism, designated as source 304. The source 304 responds with the playlist or media segment, as the case may be, and the server 302 transmits it to the client 301 in response to the client's request. When responding, the server 302 sets one or more cookies on the client with state information.

20 At certain times in this flow, generally after receiving a request for a playlist or media segment, the server 302 can send a beacon to a remote machine 306 running an analytics application. This machine 306 ingests the beacon messages and extracts certain information which it transmits to a quality of service (QoS) machine 308, and other information to an audience analytics machine 310. The QoS machine 308 aggregates and collates the data by  
25 stream, storing the data in a database 312 so it may later be queried and used to drive a QoS monitoring application available to content providers via a web portal or other user interface. The audience analytics machine 310 aggregates and collates the data by stream, storing the data in a database 314 so it may later be queried and used to drive an audience analytics monitoring application available to content providers via, e.g., the web portal.

30 The two cookies used in the current embodiment to store state information are now described.

A CLIENT\_VIEWER\_COOKIE is a persistent cookie used to determine unique viewers and is set at the top level of the delivery hostname whenever a request is received without this cookie present. In one implementation, it can contain a client viewer identifier generated by a hash of client IP address and random string. The viewer ID is unique and anonymous.

- 5 A CLIENT\_SESSION\_COOKIE is a session cookie used to keep track of individual stream playback sessions and determine when and how to beacon back data. The cookie is set at the path of the requested object down to the stream identifier level, and may include such fields as a unique session ID (e.g., hash value of client viewer ID and current timestamp at the server 302), current timestamp, master playlist name, and an indication of the state of the
- 10 stream playback, for example.

In this embodiment, the client session ID is set or reset in the following scenarios:

Scenario 1 – New Viewer: A playlist request (e.g., master or media playlist) is received without the CLIENT\_SESSION\_COOKIE present

- Note: If a media playlist request comes in without this cookie it is assumed the user is
- 15 requesting this media playlist directly and therefore this request can be treated as if it were the ‘master’

Scenario 2 – Existing Viewer Requesting New Master Playlist Within Same Event: A master playlist request is received with the CLIENT\_SESSION\_COOKIE present but the requested master filename does not match the originally requested master filename in the cookie

- 20 Note: There is a predefined regex to differentiate a master playlist filename from a media playlist filename

- Scenario 3 – Existing Viewer Restarting Stream After Being Idle For More Than N Seconds: A master playlist request is received with the CLIENT\_SESSION\_COOKIE present AND the requested filename matches the originally requested ‘master’ filename in the cookie AND
- 25 the timestamp is greater than N seconds, where N is configurable (e.g., N = 300 seconds)

Note: There is a predefined regex to differentiate a master playlist filename from a media playlist filename

Note: The assumption is that a new master playlist request after a stream has been idle for more than N seconds indicates the user is restarting the stream and therefore it is considered a new session.

Three types of beacons are being sent from the server 302 to the analytics machine 306 in this embodiment: Attempt, Play Started, and Playing. The parameters included in each beacon  
5 type are explained below in the Beacons section of this document. A typical HLS request flow includes a master playlist request, one or more media playlist requests, and then multiple media segment requests. The first master playlist request/response triggers the “Attempt” beacon and subsequent master playlist requests are ignored from a beaconing standpoint. The  
10 first media playlist request/response triggers the ‘Play Started’ beacon and all subsequent media playlist requests are ignored from a beaconing standpoint. The first media segment request/response after M seconds (where M is configurable; M might be for example 270 seconds) triggers the “Playing” beacon and all subsequent media segment requests are ignored from a beaconing standpoint for the next M seconds. The process of beaconing every  
15 M or more seconds for each stream continues until the stream is stopped and no additional requests are being made.

It is emphasized that a variety of beacon types can be triggered at different points in the request flow, still leveraging the teachings hereof, and the teachings hereof are accordingly not limited to any particular beacon type or beacon timing. Typically the specific beacon  
20 types will be driven by the particular implementation and design goals. Other beacon types might include: an error beacon (generated and sent by the server 302, for example, when the requested content was not available or caused an error of some sort at the server 302 or at the source 304 as a result of the forward request), a bitrate beacon (generated and sent by the server 302, for example, when the client changes the bitrate of the stream, for example by  
25 requesting a media playlist for a different bitrate or media segments of a different bitrate than before, due to adaptive bitrate streaming logic), or a heartbeat beacon (generated and sent by the server 302, for example, in place of Playing beacons but with reduced data payload to lighten downstream system processing burdens). These are merely examples.

Furthermore, the beaconing timing will vary with the particular streaming protocol. For  
30 example, in protocols having a single playlist or manifest (such as HDS, Smooth Streaming, etc.), the server 302 can set cookie(s) upon receiving a request for the playlist/manifest, and



send a “Play Attempt” beacon. As requests for the segments are received with the cookies, the server 302 can send a “Play” beacon, and update the state information in the cookies. The first request for a segment might result in a “Play Started” beacon instead of a “Play” beacon – but again, the particular beacons will vary.

## 5 System Workflow

FIG. 4 illustrates the message sequence amongst a client device 301, the content server 302, and the analytics machine 306 in the current embodiment.

The sequence begins with the client device 301 sending a request for a master playlist to the server 302. Assume that the client device 301 does not have a CLIENT\_VIEWER\_COOKIE or CLIENT\_SESSION\_COOKIE set. The receipt of the master playlist request triggers the server 302 to send a beacon to the analytics machine 306 with certain information, such as a stream identifier, and an indication that there has been an “Attempt” at playing the stream. (A more detailed list of potential information is in the Beacon section of this document, below.) The server 302 serves the master playlist and sets the cookies, CLIENT\_VIEWER\_COOKIE and CLIENT\_SESSION\_COOKIE. The server’s 302 retrieval of the playlist file itself, e.g., from local cache or a source 304, is not shown in FIG. 4 but it would also occur. Note that the specific timing of sending the beacon is merely illustrative – it could occur before or after retrieving and serving the requested content, as required by a particular design implementation. In some embodiments, the beacons can include server 302 performance information (such as start/end timestamps or time deltas indicating how long it took the server 302 to respond to the client’s request), in which case the server 302 should be configured to send the beacon after sending the response. In other embodiments, the “Attempt” or “Play Started” beacons could even be retained until the media segments were requested, and then sent, so as to avoid sending the beacons if the client aborts the streaming process.

Continuing the sequence shown in FIG. 4, the client device 301 sends a request for a media playlist that appeared on the master playlist. The CLIENT\_VIEWER\_COOKIE and CLIENT\_SESSION\_COOKIE are received with this request and, based on the fact that a media playlist request is being made, the information in the media playlist request, and/or information in the cookies, the server 302 generates another beacon to the analytics machine 306. In this example, it sends a beacon indicating “Play Started.” As before, the beacon may

contain information from the request and/or cookies. The server 302 updates the CLIENT\_SESSION\_COOKIE (e.g., with a new timestamp and/or new status indicating that the media playlist had been requested) and sets the updated cookie on the client device, along with serving the requested media playlist.

- 5 Note that in some situations or with some protocols, a client device might simply begin by requesting a non-master playlist (in the context of HLS, this would represent a media playlist without an initial request for a master playlist, in the context of HDS, it would represent a manifest request). The logic of the server 302 can accommodate this scenario. The server 302 can set a CLIENT\_VIEWER\_COOKIE and CLIENT\_SESSION\_COOKIE, and can  
10 send an “Attempt” and/or “Play Started” beacon as a result of such as non-master playlist request.

- Next, the client device 301 sends a request for an actual media segment file (such as a .ts file). The server 302 receives this request along with the CLIENT\_VIEWER\_COOKIE and CLIENT\_SESSION\_COOKIE. The request for the media segment triggers the server 302 to  
15 send a “Playing” beacon to the analytics machine 306. As before, the beacon may contain information from the request and/or cookies. The cookies are updated to reflect the new status, and the media segment is served to the client device 301. Subsequently a client device requests other media segments, as it is now playing the stream. To avoid overloading the analytics machine 306, the server 302 is configured (in this example) to send the “Playing”  
20 beacon no more than every N seconds, which is a configurable value. Thus the server 302 examines the CLIENT\_SESSION\_COOKIE sent with the media segment request, and based on the timestamp, the server 302 determines whether to send a new “Playing” beacon.

- Also shown in FIG. 4 is a second request for a media playlist, e.g., which is intended to illustrate that the client might request a mix of media playlists and/or media segments as the  
25 stream is playing. For example, a client may need to switch to another media playlist because it needs to change bitrate (due to an adaptive bitrate streaming decision that the current bandwidth is suboptimal). The server 302 can be configured to track such media playlist changes and beacon accordingly, ignore such subsequent media playlist requests, or alternatively could examine them and check the timestamp, and send a “Playing” beacon if  
30 the timestamp is less than N seconds (a configurable value). Implementations will vary.

At some point, the client device 301 stops sending requests for media segments, and the server 302 stops sending “Playing” beacons. This may be because the user has stopped the stream, the user has paused the stream, or the stream is finished.

In this embodiment, the end of the stream is not marked by a beacon from the server 302.

- 5 The analytics machine 306 or other downstream processing can be configured to treat the end of the beacons as the end of the stream (e.g., after some time T it is assumed that the stream is over). To separate the stopping or pausing of a stream from the normal end of the stream, the server 302 could be configured to send a “Finished” beacon when the client requests a media segment that is known to be the last media segment in a media playlist.
- 10 FIG. 5 shows an embodiment of logical flow within a server 302. Not all logic with respect to responding to a client request is shown, but rather certain logical flow useful to illustrate the beaconing process. This process flow starts when the server 302 receives a request (e.g., an HTTP ‘Get’) from a client device (500). The server 302 checks whether the client device 300 has a CLIENT\_VIEWER\_COOKIE (504), and if not, generates and sets the
- 15 CLIENT\_VIEWER\_COOKIE on the client, e.g., using the hash approach describe earlier (506). If it is present, the server 302 extracts relevant data such as the viewer id from the CLIENT\_VIEWER\_COOKIE. The server 302 then checks for a CLIENT\_SESSION\_COOKIE – if there is none, then the server 302 generates and sets a CLIENT\_SESSION\_COOKIE on the client (508). The server 302 then sends an “Attempt”
- 20 beacon. It is assumed in this flow that if there is no CLIENT\_SESSION\_COOKIE, then it is an initial request which is reflected as an “Attempt” (presumably the request is for a master playlist, but it could be for a media playlist).

- Continuing with FIG. 5, if the CLIENT\_SESSION\_COOKIE is present, the server 302 extracts relevant data such as the session id. The server 302 checks to see what the client is
- 25 requesting. If the request is for a master playlist (510), the server 302 checks the time stamp from the CLIENT\_SESSION\_COOKIE (512). If the timestamp is less than M seconds, then this is treated as part of a prior streaming session for which the master playlist is merely being re-requested, so another “Attempt” beacon is not sent. If the timestamp is more than M seconds old (where M is configurable; it might be, e.g., 300 seconds, as noted above), then
- 30 the request is treated as a new stream request so the CLIENT\_SESSION\_COOKIE is reset with a new session identifier and the “Attempt” beacon is sent (514, 516).

A request for a media playlist (518) causes the server 302 to check the status stored in the CLIENT\_SESSION\_COOKIE (520). If the status is not in the 'playing' state, then the server 302 updates the CLIENT\_SESSION\_COOKIE with a new timestamp and sets the status to 'Playing' (522). The server 302 sends the "Play Started" beacon (524). If the status is in the 'Playing' state, then the media playlist request is treated as a re-request of a media playlist. As mentioned previously, this kind of request could be ignored from a beaconing standpoint, or examined depending on the particular implementation.

A request for a media segment (516) results in the server 302 checking the timestamp of the CLIENT\_SESSION\_COOKIE to see if it is more than N seconds old (configurable value) (528). As noted above, the value N prevents the server 302 from sending "Playing" beacons too frequently. Hence, if the timestamp is less than N seconds old, the server 302 does not send a beacon. If the timestamp is more than N second old, then the server 302 updates the timestamp in the CLIENT\_SESSION\_COOKIE to the current time, and then sends a "Playing" beacon (530, 532).

#### 15 Exemplary Pseudo-Code

The following pseudo-code describes the server 502 operation shown in the example of FIG. 5.

#### Request Handling Configuration

1. Detect whether the client request is for master playlist
- 20 2. If CLIENT\_VIEWER\_COOKIE cookie is not preset
  - a. Compute the client ID hash based on client IP and random string
  - b. Set CLIENT\_VIEWER\_COOKIE downstream
- 25 3. If CLIENT\_VIEWER\_COOKIE cookie is present
  - a. Extract client ID from the cookie
4. If CLIENT\_SESSION\_COOKIE cookie is not present
  - a. Generate session ID using CLIENT\_VIEWER\_ID and SERVER\_CURRENT\_TIME hash
  - b. Set downstream cookie CLIENT\_SESSION\_COOKIE with session ID
  - 30 c. Initialize A\_VALUE to I
5. If CLIENT\_SESSION\_COOKIE cookie is present
  - a. Extract the time from CLIENT\_SESSION\_COOKIE
  - b. If the request is for master playlist

1. If time in the CLIENT\_SESSION\_COOKIE is over M seconds old
  - a. Set A\_VALUE to I
  - b. Reset CLIENT\_SESSION\_COOKIE with updated timestamp (current time)
2. If the request file name is different than the master playlist name inside the CLIENT\_SESSION\_COOKIE
  - a. Set A\_VALUE to I
  - b. Reset CLIENT\_SESSION\_COOKIE with new master playlist and updated timestamp (current time)
- c. If the request is for media playlist
  1. If CLIENT\_SESSION\_COOKIE is NOT in PLAYING state
    - a. Set A\_VALUE to S
    - b. Reset CLIENT\_SESSION\_COOKIE with update timestamp and set the state to "p"
  2. If CLIENT\_SESSION\_COOKIE is in PLAYING state
    - a. Ignore this additional request for media playlist
- d. If the request is for media segment
  1. Extract stream bitrate of the request
  2. If CLIENT\_SESSION\_COOKIE is in PLAYING state
    - a. If the session time is over N seconds
      - i. Set A\_VALUE to P
    - b. Set down stream CLIENT\_SESSION\_COOKIE with updated time

#### Beacon-generating configuration

1. Extract and construct event name, device types, application names, stream name, device type, application name, user location, timestamp, etc., or other information derived from the URL or HTTP request to create key-value pairs to be inserted into appropriate beacon.
2. If A\_VALUE is I
  - a. Send I beacon // ["Attempt" beacon]
3. If A\_VALUE is S
  - a. Send S beacon // ["Play Started" beacon]

- 4. If A\_VALUE is P
  - a. Send P beacon // ["Playing" beacon]

It should be understood that the particular beaconing logic and flow described above is one example only. As mentioned before, the times and circumstances at which a beacon is generated is usually dependent on particular design goals and implementations, and on the protocol (HLS, HDS, Smooth Streaming) which is being targeted. In some cases, it may make sense to send only subset of the beacons described above, or to supply beacons only triggered by media segment requests, or to adopt some other variant of the examples given above (including sending other/additional types of beacons as taught herein).

10 Cookies & Beacons

The following table provides an example implementation of the cookies mentioned in the description above.

Cookie Name	Type	Attributes
CLIENT_VIEWER_ID	Persistent	CLIENT_VIEWER_ID – Unique ID assign for each client
CLIENT_SESSION_COOKIE	Session	Sid - Unique ID assign for each session Timestamp – timestamp of last cookie update Master – Name of the master playlist associated with this session Status – Status of the session (e.g., Attempt, Play Started, Playing, Bitrate shift, Error, and so on)

The following table provides an example of the contents of the beacon messages that can be sent by the server 302 to the analytics machine 306.

Beacon Types	Description	Attributes
I	Initiate/Attempt	beacon_id=I b=[Analytics Report ID] s=0 [Sequence Number] en=[Event Name] tt=[Stream Title] ac=[Requested File Name]

DOCKET NO.

		sa=[Device Type] d=[Client Viewer ID] c=[Client Session ID] al=[Application Type] cg=[User Agent] geo=[geographic location/country code derived from request]
S	Play Started	beacon_id =S b=[Analytics Report ID] s=1 [Sequence Number] en=[Stream Title] tt=[Stream Title] ac=[Master Playlist Name] sa=[Device Buckets] d=[Client Viewer ID] c=[Client Session ID] al=[Application Bucket] cg=[User Agent]
P	Playing	beacon_id =P b=[Analytics Report ID] s=2 [Sequence Number] en=[Stream Title] tt=[Stream Title] ac=[Master Playlist Name] sa=[Device Buckets] d=[Client Viewer ID] c=[Client Session ID] rb=[Requested Bitrate] al=[Application Bucket] cg=[User Agent] tt=[Stream Title] ac=[Request File Name] cg=[User Agent]

The user agent is an HTTP header that the server 302 can extract from the client request. The user agent string contains information about the client machine and the application that is sending the request. The server 302 can read the user-agent to determine the device and/or application and then place these into predefined categories (e.g., “device type” or “application type”) for the back-end analytics system to use.

For example, the server 302 can map the client request into hardware device buckets representing particular manufacturers, particular models, or device families, or based on operating system, or other categorization of the machine requesting the content. For application types, the server 302 can map the client request into application buckets representing particular players, whether a dedicated media player or a browser, etc.,

browsers, media frameworks, particular application developers/companies, or other aspect of the application that is requesting the content.

#### Examples of Metrics For Reporting/Analytics

The analytics machine 306 and associated processing systems 308, 310 aggregate and process  
 5 the beacon messages from servers 302 to prepare reports, graphs, charts, and other user displays for the delivered media streams. Based on the beacon data, the system can prepare and display metrics such as:

- a. Audience Size
- b. Plays
- 10 c. Play Duration
- d. Audience Size
- e. Plays
- f. Play Duration
- g. Unique Viewers
- 15 h. Bitrate Plays - ability to see distribution of requested bitrates, as well as data indicating bitrate upshifts/downshifts, errors, and the like
- i. Time
- j. Event Name
- k. Stream Name (master playlist)
- 20 l. Device Type
- m. Application Type
- n. Other things like network or internet service provider (ISP) where the server 302 is located
- o. Geography – down to region/state level
- 25 p. Other custom defined dimensions

#### Computer Based Implementation

The client devices, servers, and other computer apparatus described herein may be implemented with conventional computer systems, as modified by the teachings hereof, with the functional characteristics described above realized in special-purpose hardware, general-  
 30 purpose hardware configured by software stored therein for special purposes, or a combination thereof.

Software may include one or several discrete programs. A given function may comprise part of any given module, process, execution thread, or other such programming construct.



Generalizing, each function described above may be implemented as computer code, namely, as a set of computer instructions, executable in one or more microprocessors to provide a special purpose machine. The code may be executed using conventional apparatus – such as a microprocessor in a computer, digital data processing device, or other computing apparatus  
5 – as modified by the teachings hereof. In one embodiment, such software may be implemented in a programming language that runs in conjunction with a proxy on a standard Intel hardware platform running an operating system such as Linux. The functionality may be built into the proxy code, or it may be executed as an adjunct to that code.

While in some cases above a particular order of operations performed by certain  
10 embodiments is set forth, it should be understood that such order is exemplary and that they may be performed in a different order, combined, or the like. Moreover, some of the functions may be combined or shared in given instructions, program sequences, code portions, and the like. References in the specification to a given embodiment indicate that the embodiment described may include a particular feature, structure, or characteristic, but every  
15 embodiment may not necessarily include the particular feature, structure, or characteristic.

FIG. 6 is a block diagram that illustrates hardware in a computer system 600 in which embodiments of the invention may be implemented. The computer system 600 may be embodied in a client, server, personal computer, workstation, tablet computer, wireless device, mobile device, network device, router, hub, gateway, or other device.

20 Computer system 600 includes a microprocessor 604 coupled to bus 601. In some systems, multiple microprocessor and/or microprocessor cores may be employed. Computer system 600 further includes a main memory 610, such as a random access memory (RAM) or other storage device, coupled to the bus 601 for storing information and instructions to be executed by microprocessor 604. A read only memory (ROM) 608 is coupled to the bus 601 for  
25 storing information and instructions for microprocessor 604. As another form of memory, a non-volatile storage device 606, such as a magnetic disk, solid state memory (e.g., flash memory), or optical disk, is provided and coupled to bus 601 for storing information and instructions. Other application-specific integrated circuits (ASICs), field programmable gate arrays (FPGAs) or circuitry may be included in the computer system 600 to perform  
30 functions described herein.

Although the computer system 600 is often managed remotely via a communication interface 616, for local administration purposes the system 600 may have a peripheral interface 612 communicatively couples computer system 600 to a user display 614 that displays the output of software executing on the computer system, and an input device 615 (e.g., a keyboard, mouse, trackpad, touchscreen) that communicates user input and instructions to the computer system 600. The peripheral interface 612 may include interface circuitry and logic for local buses such as Universal Serial Bus (USB) or other communication links.

Computer system 600 is coupled to a communication interface 616 that provides a link between the system bus 601 and an external communication link. The communication interface 616 provides a network link 618. The communication interface 616 may represent an Ethernet or other network interface card (NIC), a wireless interface, modem, an optical interface, or other kind of input/output interface.

Network link 618 provides data communication through one or more networks to other devices. Such devices include other computer systems that are part of a local area network (LAN) 626. Furthermore, the network link 618 provides a link, via an internet service provider (ISP) 620, to the Internet 622. In turn, the Internet 622 may provide a link to other computing systems such as a remote server 630 and/or a remote client 631. Network link 618 and such networks may transmit data using packet-switched, circuit-switched, or other data-transmission approaches.

In operation, the computer system 600 may implement the functionality described herein as a result of the microprocessor executing code. Such code may be read from or stored on a non-transitory computer-readable medium, such as memory 610, ROM 608, or storage device 606. Other forms of non-transitory computer-readable media include disks, tapes, magnetic media, CD-ROMs, optical media, RAM, PROM, EPROM, and EEPROM. Any other non-transitory computer-readable medium may be employed. Executing code may also be read from network link 618 (e.g., following storage in an interface buffer, local memory, or other circuitry).

The client device may be a conventional desktop, laptop or other Internet-accessible machine running a web browser or other rendering engine, but as mentioned above the client may also be a mobile device. Any wireless client device may be utilized, e.g., a cellphone, pager, a personal digital assistant (PDA, e.g., with GPRS NIC), a mobile computer with a smartphone

- client, tablet or the like. Other mobile devices in which the technique may be practiced include any access protocol-enabled device (e.g., iOS™-based device, an Android™-based device, other mobile-OS based device, or the like) that is capable of sending and receiving data in a wireless manner using a wireless protocol. Typical wireless protocols include: WiFi, GSM/GPRS, CDMA or WiMax. These protocols implement the ISO/OSI Physical and Data Link layers (Layers 1 & 2) upon which a traditional networking stack is built, complete with IP, TCP, SSL/TLS and HTTP. The WAP (wireless access protocol) also provides a set of network communication layers (e.g., WDP, WTLS, WTP) and corresponding functionality used with GSM and CDMA wireless networks, among others.
- 5
- 10 In a representative embodiment, the mobile device is a cellular telephone that operates over GPRS (General Packet Radio Service), which is a data technology for GSM networks. Generalizing, a mobile device as used herein is a 3G- (or next generation) compliant device that includes a subscriber identity module (SIM), which is a smart card that carries subscriber-specific information, mobile equipment (e.g., radio and associated signal processing devices), a man-machine interface (MMI), and one or more interfaces to external devices (e.g., computers, PDAs, and the like). The techniques disclosed herein are not limited for use with a mobile device that uses a particular access protocol. The mobile device typically also has support for wireless local area network (WLAN) technologies, such as Wi-Fi. WLAN is based on IEEE 802.11 standards. The teachings disclosed herein are not limited to any particular mode or application layer for mobile device communications.
- 15
- 20

It should be understood that the foregoing has presented certain embodiments of the invention that should not be construed as limiting. For example, certain language, syntax, and instructions have been presented above for illustrative purposes, and they should not be construed as limiting. It is contemplated that those skilled in the art will recognize other possible implementations in view of this disclosure and in accordance with its scope and spirit. The appended claims define the subject matter for which protection is sought.

25

It is noted that trademarks appearing herein are the property of their respective owners and used for identification and descriptive purposes only, given the nature of the subject matter at issue, and not to imply endorsement or affiliation in any way.

30

## CLAIMS

1. A computer-implemented method for monitoring delivery of a content stream having a plurality of segments, comprising:
- 5
- with at least one server that has a microprocessor coupled to a storage device storing computer-readable instructions for execution by the microprocessor:
- receiving a first client request for a first playlist of a content stream, the first playlist
- 10 referencing one or more second playlists;
- generating and setting first state information on the client device;
- receiving a second client request for a second playlist of a content stream, the second playlist referencing one or more segments in the content stream;
- receiving the first state information from the client device with the second client request,
- 15 updating the first state information to create second state information, and setting the second state information on the client device;
- receiving a third client request for a particular segment on the second playlist;
- receiving the second state information from the client device with the third client request;
- generating a message in response to at least one of (i) the first client request; (ii) the
- 20 second client request with the first state information, and (iii) the third client request with the second state information;
- sending the message to a remote machine;
- wherein the message comprises an identifier of the content stream.
- 25
2. The method of claim 1, wherein updating the first state information comprises updating any of a timestamp and a playback status.
3. The method of claim 1, wherein the message comprises at least one of: a client identifier, a user identifier, and a playback status.
- 30
4. The method of claim 1, wherein the at least one server generates a message in response to (i) the first client request, generates a second message in response to (ii) the second client request with the first state information, and generates a third message in response to (iii) the

third client request with the second state information, wherein each of the messages (i) (ii) and (iii) comprise an identifier of the content stream.

5 5. The method of claim 1, wherein the first state information comprises information stored in one or more cookies, and the second state information comprises updated information stored in the one or more cookies.

6. The method of claim 1, wherein the content stream comprises a media stream.

10 7. The method of claim 1, wherein the first, second and third client requests are each HTTP requests.

8. A computer-implemented method for monitoring delivery of a content stream having a plurality of segments, comprising:

15

with at least one server that has a microprocessor and a storage device storing computer-readable instructions for execution by the microprocessor:

receiving a first client request for a file associated with a content stream, the file being any of a playlist referencing one or more segments in the content stream and a manifest

20

referencing one or more segments in the content stream;

generating and setting first state information on the client device;

receiving a second client request for a particular segment referenced in the file;

receiving the first state information from the client device with the second client request;

generating a message in response to at least one of (i) the first client request, and (ii) the

25

second client request with the first state information;

sending the message to a remote machine;

wherein the message comprises an identifier of the content stream.

9. The method of claim 8, further comprising, with the at least one server,

30

upon receipt of the first state information with the second client request, updating the first state information to create second state information; and,

setting the second state information on the client device.

10. The method of claim 9, wherein updating the first state information comprises updating any of a timestamp and a playback status.
11. The method of claim 8, wherein the message comprises at least one of: a client identifier,  
5 a user identifier, and a playback status.
12. The method of claim 8, wherein the at least one server generates a message in response to (i) the first client request, and generates another message in response to (ii) the second client request with the first state information, wherein each of the messages comprise an identifier  
10 of the stream.
13. The method of claim 8, wherein the first state information comprises information stored in one or more cookies.
14. The method of claim 8, wherein the content stream comprises a media stream.  
15
15. The method of claim 8, wherein the first and second client requests are each HTTP requests.  
20
16. A computer-implemented method for monitoring delivery of a content stream having a plurality of segments, comprising:
- with at least one server that has a microprocessor and a storage device storing computer-  
25 readable instructions for execution by the microprocessor:
- receiving a first client request for a first segment of a content stream;
  - receiving first state information from the client device with the first client request,  
updating the first state information to create second state information, and setting the second  
state information on the client device;
  - 30 receiving a second client request for a second segment of the content stream;
  - receiving the second state information from the client device with the second client  
request;

generating a message in response to at least one of (i) the first state information and the first client request with the first state information, and (ii) the second client request with the second state information;

sending the message to a remote machine;

5 wherein the message comprises an identifier of the stream.

17. The method of claim 16, wherein updating the first state information comprises updating any of a timestamp and a playback status.

10 18. The method of claim 16, wherein the message comprises at least one of: a client identifier, a user identifier, and a playback status.

19. The method of claim 16, wherein the at least one server generates a message in response to (i) the first client request with the first state information, and generates another message in  
15 response to (ii) the second client request with the second state information, wherein each of the messages comprise an identifier of the content stream.

20. The method of claim 16, wherein the first state information comprises information stored in one or more cookies, and the second state information comprises updated information  
20 stored in the one or more cookies.

21. The method of claim 16, wherein the content stream comprises a media stream.

22. The method of claim 16, wherein the first and second client requests are each HTTP  
25 requests.

23. A computer-implemented method for monitoring delivery of a content stream having a plurality of segments, comprising:

30 with at least one server that has a microprocessor and a storage device storing computer-readable instructions for execution by the microprocessor:

receiving a first client request for a first segment of a content stream;

generating and setting first state information on the client device;

receiving a second client request for a second segment of the content stream;

receiving the first state information from the client device with the second client request, updating the first state information to create second state information, and setting the second state information on the client device;

- 5 generating a message in response to at least one of (i) the first client request, and (ii) the second client request with the first state information;
- 10 sending the message to a remote machine;
- wherein the message comprises an identifier of the stream.

24. The method of claim 23, wherein updating the first state information comprises updating any of a timestamp and a playback status.

25. The method of claim 23, wherein the message comprises at least one of: a client identifier, a user identifier, and a playback status.

15 26. The method of claim 23, wherein the at least one server generates a message in response to (i) the first client request, and generates another message in response to (ii) the second client request with the first state information, wherein each of the messages comprise an identifier of the content stream.

20 27. The method of claim 23, wherein the first state information comprises information stored in one or more cookies, and the second state information comprises updated information stored in the one or more cookies.

28. The method of claim 23, wherein the content stream comprises a media stream.

25 29. The method of claim 23, wherein the first and second client requests are each HTTP requests.



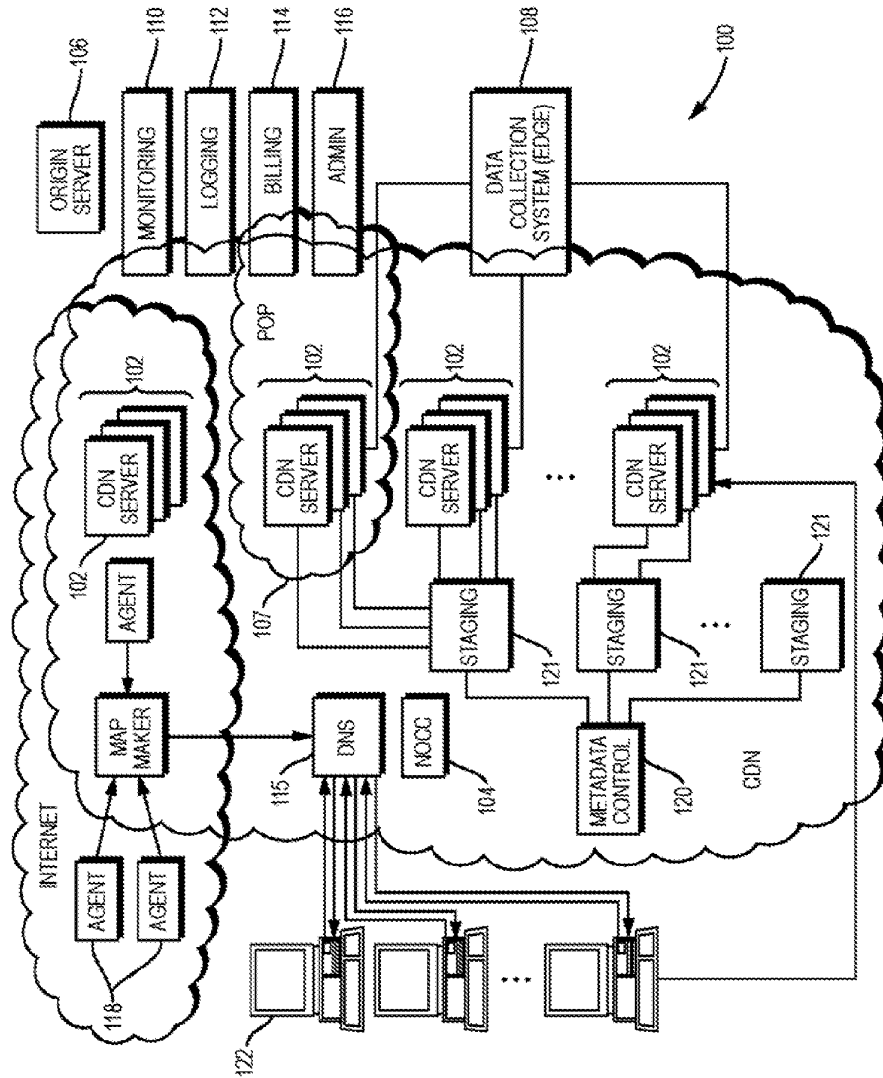


FIG. 1

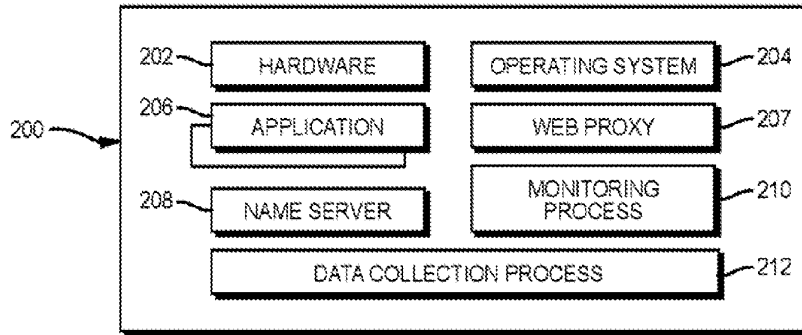
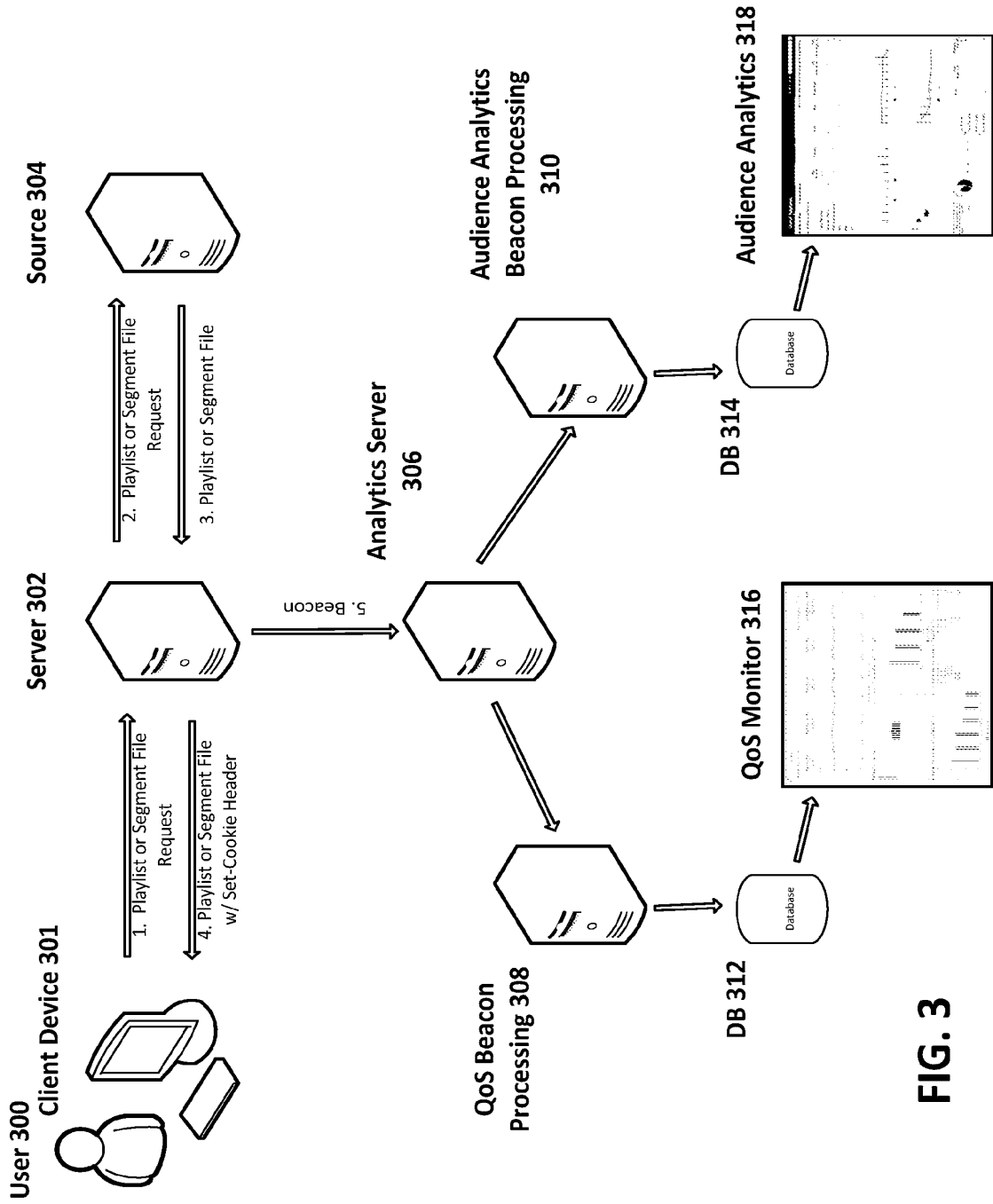


FIG. 2



**FIG. 3**

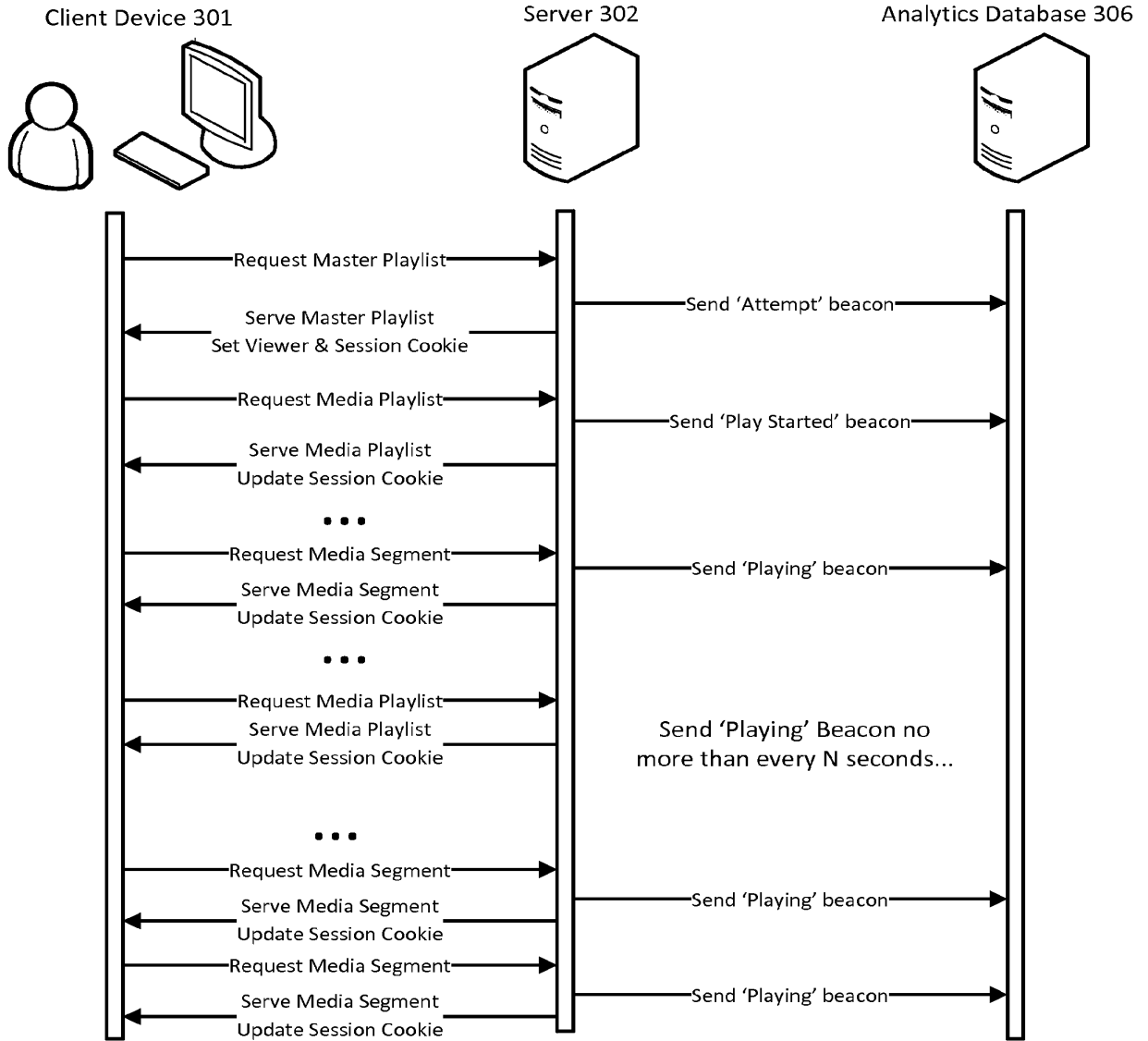
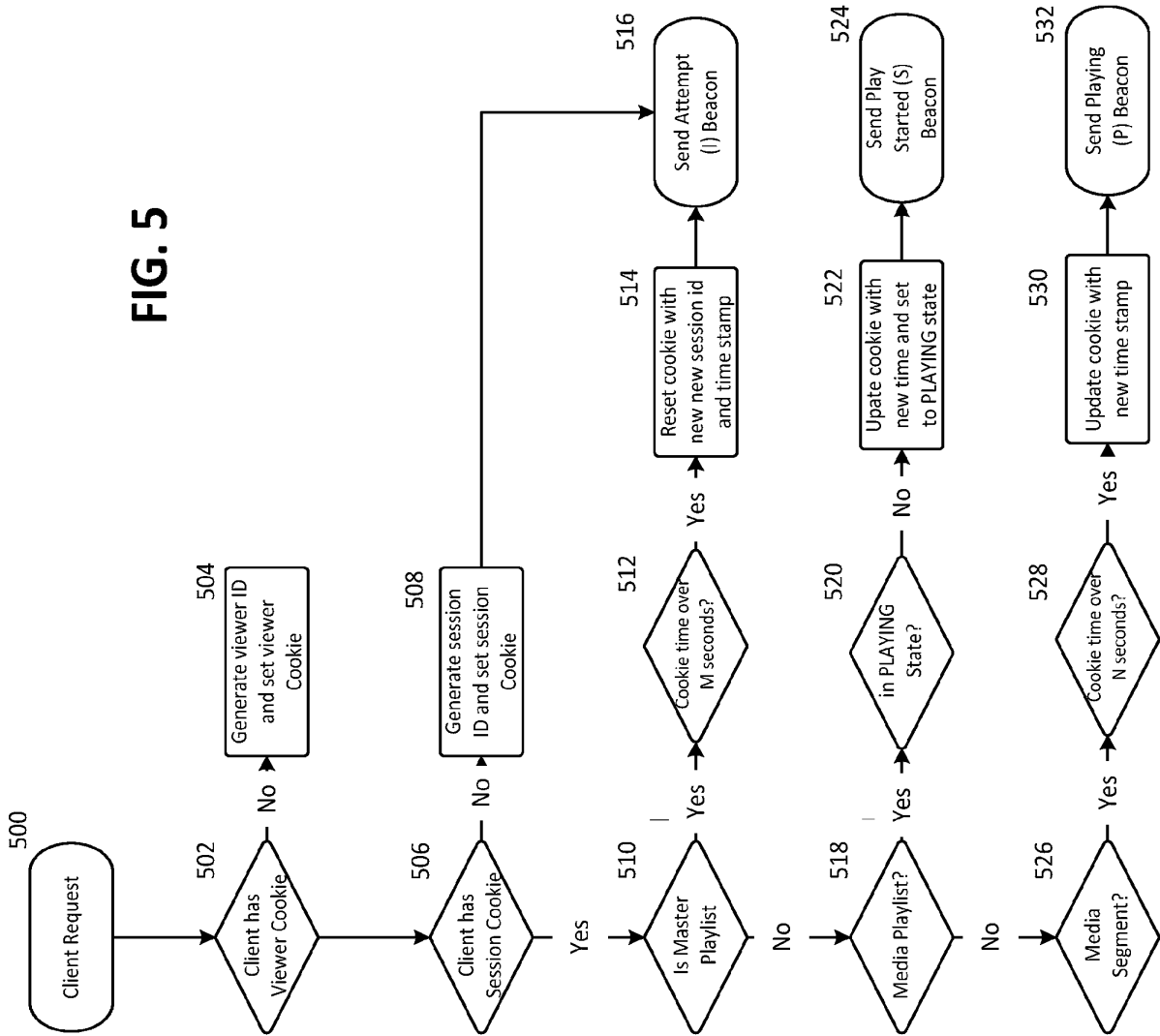


FIG. 4

FIG. 5



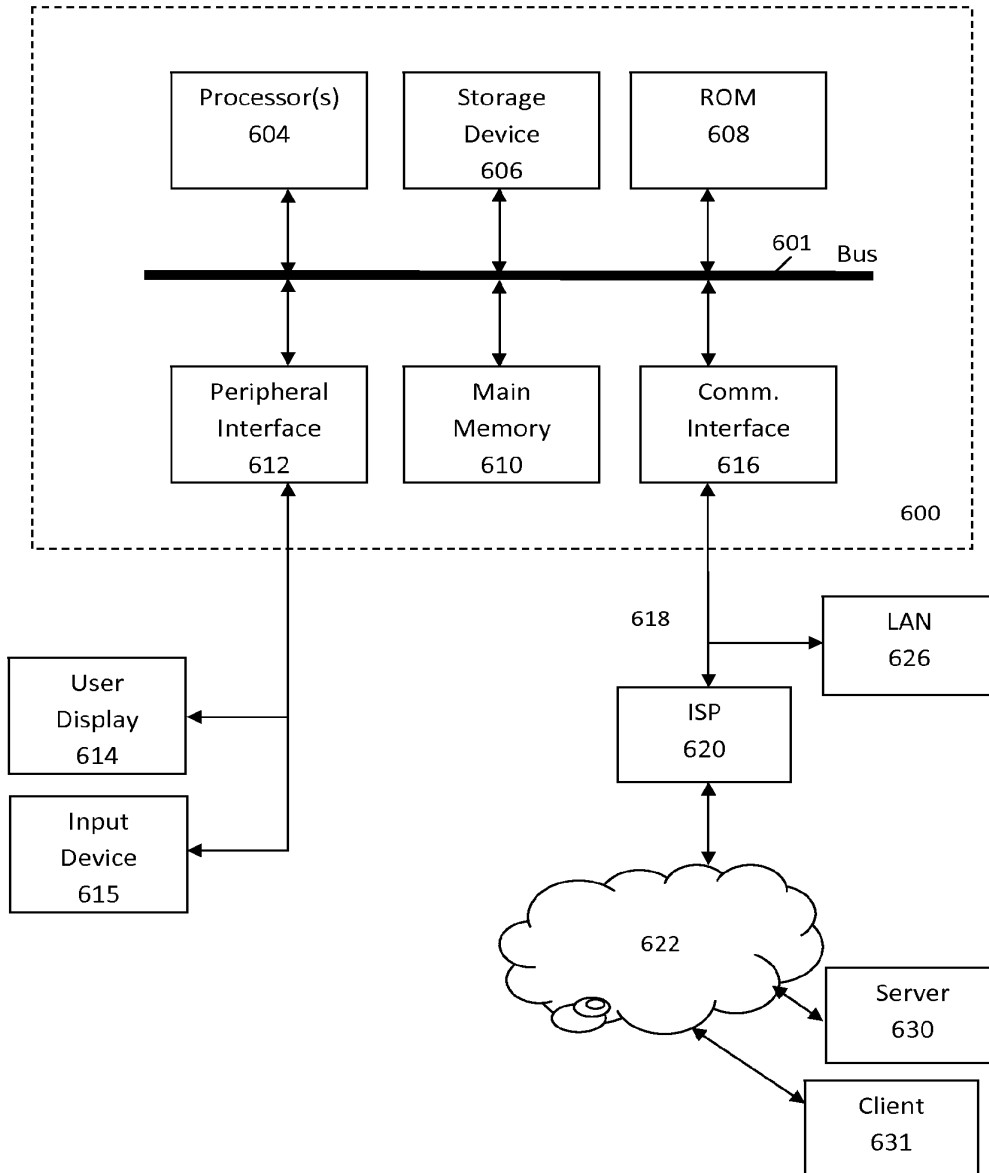



FIG. 6

## INTERNATIONAL SEARCH REPORT

International application No.  
**PCT/US2014/053241**

<b>A. CLASSIFICATION OF SUBJECT MATTER</b> <b>H04N 21/2387(2011.01)i, H04N 21/475(2011.01)i, H04N 21/472(2011.01)i</b>		
According to International Patent Classification (IPC) or to both national classification and IPC		
<b>B. FIELDS SEARCHED</b>		
Minimum documentation searched (classification system followed by classification symbols) H04N 21/2387; G06F 15/16; H04L 29/08; G06F 17/60; H04L 29/06; H04N 21/475; H04N 21/472		
Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched Korean utility models and applications for utility models Japanese utility models and applications for utility models		
Electronic data base consulted during the international search (name of data base and, where practicable, search terms used) eKOMPASS(KIPO internal) & Keywords: content stream, delivery, monitor, playlist, client, request, state information, identifier, and similar terms.		
<b>C. DOCUMENTS CONSIDERED TO BE RELEVANT</b>		
Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 2013-0173819 A1 (HSIN-HUA LEE et al.) 04 July 2013 See paragraphs [0099], [0117]-[0119]; figures 8-9; and claims 1, 20.	1-29
A	US 2013-0110916 A1 (SWAMINATHAN SIVASUBRAMANIAN et al.) 02 May 2013 See paragraph [0054]; figure 9; and claim 5.	1-29
A	US 2005-0216572 A1 (MICHAEL MAN-HAK TSO et al.) 29 September 2005 See paragraphs [0025]-[0028].	1-29
A	US 2013-0097312 A1 (MAINAK MAZUMDAR et al.) 18 April 2013 See paragraphs [0107]-[0119]; and figure 9.	1-29
A	US 2007-0143493 A1 (RICHARD MONTGOMERY MULLING et al.) 21 June 2007 See paragraphs [0039]-[0049]; and figures 1-3.	1-29
<input type="checkbox"/> Further documents are listed in the continuation of Box C. <input checked="" type="checkbox"/> See patent family annex.		
* "A" "E" "L" "O" "P"	Special categories of cited documents: document defining the general state of the art which is not considered to be of particular relevance earlier application or patent but published on or after the international filing date document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified) document referring to an oral disclosure, use, exhibition or other means document published prior to the international filing date but later than the priority date claimed	"T" "X" "Y" "&" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art document member of the same patent family
Date of the actual completion of the international search 15 December 2014 (15.12.2014)		Date of mailing of the international search report <b>15 December 2014 (15.12.2014)</b>
Name and mailing address of the ISA/KR International Application Division Korean Intellectual Property Office 189 Cheongsa-ro, Seo-gu, Daejeon Metropolitan City, 302-701, Republic of Korea Facsimile No. +82-42-472-7140		Authorized officer KANG, Hee Gok Telephone No. +82-42-481-8264 

Form PCT/ISA/210 (second sheet) (July 2009)

**INTERNATIONAL SEARCH REPORT**  
Information on patent family members

International application No.  
**PCT/US2014/053241**

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 2013-0173819 A1	04/07/2013	CN 103188522 A	03/07/2013
		TW 201328332 A	01/07/2013
		US 8719442 B2	06/05/2014
US 2013-0110916 A1	02/05/2013	CN 102047242 A	04/05/2011
		CN 102047242 B	06/08/2014
		EP 2263163 A2	22/12/2010
		EP 2263163 A4	06/02/2013
		JP 2011-518376 A	23/06/2011
		US 2009-248787 A1	01/10/2009
		US 2009-248858 A1	01/10/2009
		US 2011-072110 A1	24/03/2011
		US 2011-072134 A1	24/03/2011
		US 2011-072140 A1	24/03/2011
		US 2011-078240 A1	31/03/2011
		US 2013-013789 A1	10/01/2013
		US 2013-013790 A1	10/01/2013
		US 2013-297717 A1	07/11/2013
		US 2014-143320 A1	22/05/2014
		US 8321568 B2	27/11/2012
		US 8346937 B2	01/01/2013
		US 8352613 B2	08/01/2013
		US 8352614 B2	08/01/2013
		US 8352615 B2	08/01/2013
		US 8402137 B2	19/03/2013
		US 8639817 B2	28/01/2014
		US 8756325 B2	17/06/2014
WO 2009-124011 A2	08/10/2009		
WO 2009-124011 A3	30/12/2009		
US 2005-0216572 A1	29/09/2005	US 6892226 B1	10/05/2005
US 2013-0097312 A1	18/04/2013	AU 2011-305429 A1	14/03/2013
		CA 2810541 A1	29/03/2012
		CN 103119565 A	22/05/2013
		EP 2619669 A1	31/07/2013
		JP 2013-544384 A	12/12/2013
		JP 2014-123385 A	03/07/2014
		JP 5462418 B2	02/04/2014
		US 2012-158954 A1	21/06/2012
		US 2012-239809 A1	20/09/2012
		US 2013-097311 A1	18/04/2013
		US 2014-250223 A1	04/09/2014
		US 8370489 B2	05/02/2013
		US 8713168 B2	29/04/2014
		US 8843626 B2	23/09/2014
		WO 2012-040371 A1	29/03/2012
US 2007-0143493 A1	21/06/2007	EP 1958420 A2	20/08/2008



**INTERNATIONAL SEARCH REPORT**

Information on patent family members

International application No.

**PCT/US2014/053241**

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
		US 7930419 B2	19/04/2011
		WO 2007-064987 A2	07/06/2007
		WO 2007-064987 A3	29/05/2008



(11) **EP 2 597 869 A1**

(12) **EUROPEAN PATENT APPLICATION**  
published in accordance with Art. 153(4) EPC

(43) Date of publication:  
**29.05.2013 Bulletin 2013/22**

(51) Int Cl.:  
**H04N 7/173 (2011.01) G06F 13/00 (2006.01)**  
**H04L 12/56 (0000.00)**

(21) Application number: **11809620.5**

(86) International application number:  
**PCT/JP2011/066279**

(22) Date of filing: **15.07.2011**

(87) International publication number:  
**WO 2012/011450 (26.01.2012 Gazette 2012/04)**

(84) Designated Contracting States:  
**AL AT BE BG CH CY CZ DE DK EE ES FI FR GB GR HR HU IE IS IT LI LT LU LV MC MK MT NL NO PL PT RO RS SE SI SK SM TR**

- **TAKAHASHI, Maki**  
**Osaka 545-8522 (JP)**
- **ITOH, Norio**  
**Osaka 545-8522 (JP)**
- **OGISAWA, Yoshiaki**  
**Osaka 545-8522 (JP)**
- **TOKUMO, Yasuaki**  
**Osaka 545-8522 (JP)**
- **WATANABE, Shuhichi**  
**Osaka 545-8522 (JP)**

(30) Priority: **10.03.2011 JP 2011053614**  
**20.07.2010 JP 2010163367**

(71) Applicant: **Sharp Kabushiki Kaisha**  
**Osaka-shi, Osaka 545-8522 (JP)**

(74) Representative: **Müller - Hoffmann & Partner**  
**Patentanwälte**  
**Innere Wiener Strasse 17**  
**81667 München (DE)**

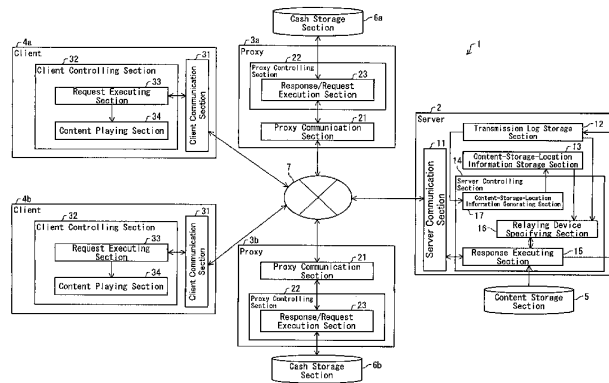
(72) Inventors:  
• **KANEKO, Takashi**  
**Osaka 545-8522 (JP)**

(54) **CONTENT DISTRIBUTION DEVICE, CONTENT PLAYBACK DEVICE, CONTENT DISTRIBUTION SYSTEM, METHOD FOR CONTROLLING A CONTENT DISTRIBUTION DEVICE, CONTROL PROGRAM, AND RECORDING MEDIUM**

(57) A content distributing device in the present invention receives a request message to request to transmit content. Then, in a case where a device transmitted the request message is a relaying device for receiving the content thus requested and possessing and transferring the content to another device, the content distributing

device transmits the content thus requested to the relaying device, or, in a case where a device transmitted the request message is a content playing device for playing the content thus requested, the content distributing device transmits, to the content playing device, an instruction to acquire the content from a relaying device which has transmitted before the content thus requested.

FIG. 1



EP 2 597 869 A1