Apple Inc. (Petitioner) v. LoganTree, LP (Patent Owner)

Petitioner Demonstratives

Case Nos. IPR2022-00037, IPR2022-00040 U.S. Patent No. 6,059,576

Before Hon. Patrick R. Scanlon, Mitchell G. Weatherly, and James A. Worth Administrative Patent Judges



DEMONSTRATIVE EXHIBIT - NOT EVIDENCE

APPLE 1042 Apple v. Logan Tree IPR2022-00040

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FISH.

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FISH.

'040 / '037 Common Issue

49

4: The Testimony of Patent Owner's Declarant is Entitled to no Weight (37 C.F.R. § 42.65(a))

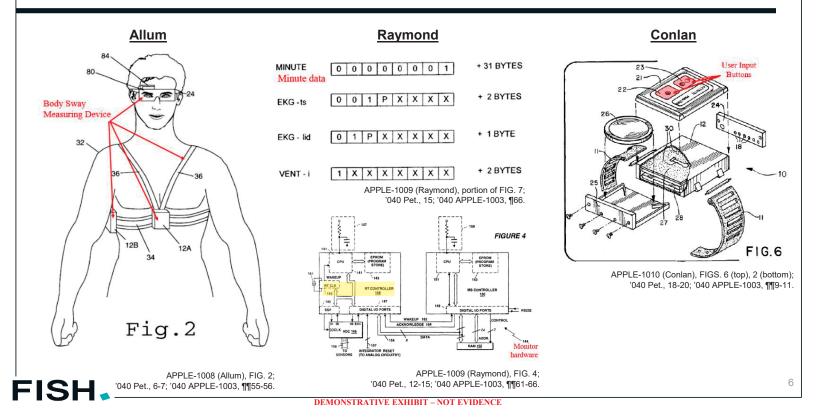
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'040 Issue 1

A POSITA Would have been Motivated to Combine Allum, Raymond, and Conlan







Combination of Allum, Raymond, and Conlan (ARC)

Dr. Kenny's Declaration (APPLE-1003)

67. It would have been obvious to a POSITA to combine the teachings of Allum and Raymond to incorporate Raymond's power supply and RT clock into Allum's measuring device because doing so would have merely involved combining prior art elements according to known methods to yield predictable results, as explained in more detail below.

'040 APPLE-1003, ¶ 67; '040 Pet., 15-18.

70. A POSITA would have also incorporated Raymond's RT clock into Allum's measuring device to initiate data collection sequence and synchronize each data sampling event. APPLE-1009, 10:16-36. For instance, Allum teaches that its device logs the "time '040 APPLE-1003, ¶ 70; '040 Pet., 15-18. 46. Specifically, Conlan's buttons "allow the subject to indicate the occurrence of a particular event" such as "dizziness," and the occurrence is recorded to the memory of the device. *Id*.
79. A POSITA would have modified Allum+Raymond's device to include Conlan's

^{19.} <u>A POSITA would have modified Anum (Kaymond's device to include contails</u>) buttons to direct the device to gather data (e.g., subject's balance or movement) as it relates to a particular event specified by the user input buttons. The labeling or association of movement data with an event would then allow data associated with the event to subsequently be processed or displayed in a particular way. For example, movement data for only the event may be configured to be displayed, stored separately, or provided to the user if the user is only interested in movement data related to the event. The identification of event-specific balance data would allow a clinician to better understand a subject's balance or postural problems (e.g., by contrasting balance data '040 APPLE-1003, ¶ 78-79; '040 Pet, 21-22.

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FISH_

Integration of Conlan's Teachings Enables ARC to Identify/Capture User-Specified Event Information

Petitioner Reply

entitled to little or no weight."). Indeed, as Allum relates to providing "a diagnostic and a rehabilitory tool for *subjects who* are prone to abnormal falling and *who wish to improve their movement control*," providing an additional means of capturing user-specified event information, particularly those related to user feelings prior to falling (e.g., dizziness, nausea), would be consistent with Allum's goals. APPLE-1008, 3:55-64.

'040 Pet. Rep., 3.

Moreover, LoganTree conflates subjects that are "prone to abnormal falling" with subjects that are not "able to sense or feel instability." POR, 21-22. Subjects that are "prone to abnormal falling" are not necessarily subjects that are not "able to sense or feel instability." Indeed, a subject that begins to sense the occurrence of a particular event," such as "dizziness," is capable of pushing a button to indicate the beginning of an event corresponding to dizziness. APPLE-1010, 6:38-46; APPLE-1003, ¶[78]. LoganTree fails to consider that Allum envisions a '040 Pet. Rep., 3.

<u>Allum</u>

The present invention provides a method and apparatus for performing non-invasive, sensitive, and reliable tests for the presence of abnormalities in the postural sway of a human subject during standing or movement tasks. A method or device in accordance with the present invention may be used as both a diagnostic and a rehabilitory tool for subjects who are prone to abnormal falling or who wish to improve their movement control. The present invention may

APPLE-1008, 3:55-62; '040 Pet. Rep., 3.

<u>Conlan</u>

Referring to FIG. 5, the top surface of activity monitor 10 includes a pair of user-input pushbutton switches 22 and 23. These switches, which are preferably membrane type switches, allow the subject to indicate the occurrence of a particular event. For example, upon the occurrence of dizziness or pain, the subject may be instructed to depress one of the push button switches to cause that occurrence to be recorded in the internal memory of the monitor. To enable

APPLE-1010, 6:38-46; '040 Pet. Rep., 3.

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Patent Owner's Arguments are Uncorroborated

Patent Owner Response

Petitioner Reply

dizziness) to the device via manual buttons. LoganTree nor its ex	pert provides any 6:38-46. As Dr. Madisetti explains, Allum does not teach or suggest to a POSITA that it should be
support for asserting that Allum's "design scheme and stated purp	modified to include Conlan's pushbuttons for user input because the design scheme of Allum
incorporate user buttons. Thus, LoganTree's arguments or its exp	neither requires, nor provides a means for, user input about his or her own stability condition. See
should be entitled to little or no weight. 37 C.F.R. §42.65(a) ("Ex	EX2001 at ¶48.
that does not disclose the underlying facts or data on which the op	²
entitled to little or no weight."). Indeed, as Allum relates to provi	48. Allum does not teach or suggest to a POSITA that it should be modified
	'040 Pet. Rep., 3. to include Conlan's pushbuttons for user input because the design scheme of Allum
	neither requires, nor provides a means for, user input about his or her own stability condition. 49. A POSITA would have read Allum to suggest that a designer should refrain from including user inputs for at least two reasons. First, the patients who would use the Allum device are inherently "prone to abnormal falling" as Allum suggests, and likely may not be able to sense or feel instability, as would be required
Does not disclose under (to the extent any such f	lying facts or data
FISH,	DEMONSTRATIVE EXHIBIT - NOT EVIDENCE

TRATIVE EXHIBIT

'040 Issue 2

Allum-Raymond-Conland Renders Obvious "time stamp information" [1d-3]

'040 [1d-3] / '037 [1f]: "storing first event information related to the detected first user-defined event along with *first time stamp information reflecting a time at which the movement data causing the first user-defined event occurred*;"

FISH

Allum Itself Discloses Storing Time Stamp Information

<u>Allum</u>

The number of times that a feedback system issues a warning signal to the subject that his upper body is approaching his cone of stability may be saved in the processor system memory 16, along with the circumstances involved (e.g., time of day, preceding pitch and roll velocities, etc.). This recorded data may be retrieved by an

APPLE-1008, 14:47-52.

Dr. Kenny's Declaration

first user-defined event"). APPLE-1008, 15:48-53. Allum explains that the system processor 14 "*save[s] in the processor system memory*" the "circumstances" of the fall warning, including the "time of day" ("*along with first time stamp information*"). *Id.*, 14:47-52. A POSITA would have understood that the "circumstances" of the fall warning would have included movement data that caused the fall warning to occur such as indication of the body's movement that led to the fall warning being issued. And to the extent Patent Owner argues that '040 APPLE-1003, ¶ 120; '040 Pet., 32-33.

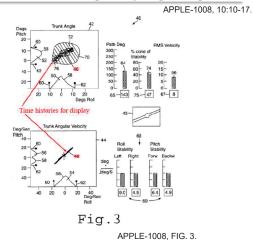
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Allum Itself Discloses Storing Time Stamp Information

<u>Allum</u>

A time history of the subject's angular sway deviations 46 and angular sway velocity 48 in the roll and pitch directions, over a selected examination trial period, is provided in the center of the insert displays 42 and 44, respectively. The displacement in a horizontal direction of an individual point in the time history displays 46 and 48 from the center of the insert displays 42 and 44 represents degrees of roll or degrees per second of roll, respectively. Degrees of pitch and



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Petitioner Reply

One example of the time-histories of movement data is shown below in Allum's FIG. 3. Pet., 10, 17-18, 32-33. Allum explains that *"[a] time history of the subject's angular sway deviations 46 and angular sway velocity 48 in the roll and pitch directions, over a selected examination trial period,* is provided in the center of the insert displays 42 and 44, respectively. The displacement in a horizontal direction of an individual point in the time history displays 46 and 48 from the center of the insert displays 42 and 44 represents degrees of roll or degrees per second of roll, respectively." APPLE-1008, 10:10-17. Display 40 "is preferably updated at a rate of at least four times per second during the examination trial, which may typically last 10-30 seconds." Id., 10:50-53.

'040 Pet. Rep., 8-10.

Allum's Time Stamp Information is not Logged data

<u>Allum</u>

operator on an operator's display unit. Quantified body sway information that may be provided to the operator as part of the operator's display includes: time histories of the subject's angular sway deviations and angular velocity in the roll and pitch directions, histograms of the sway deviations and sway velocities over an examination trial period for the roll and pitch directions, a measurement of the total vectorial angular path transversed by the subject's upper body during the examination trial period, and measures of maximum instability in the roll and pitch directions. The operator's

APPLE-1008, 4:23-32.

Petitioner Reply

The quantified body sway information that is provided for display "includes: *time histories of the subject's angular sway deviations and angular velocity* in the roll and pitch directions, ... *a measurement of the total vectorial angular path transversed by the subject's upper body during the examination trial period*" APPLE-1008, 4:23-32.

'040 Pet. Rep., 7.

1003, ¶[120]. Indeed, the time history information displayed in FIG. 3 would not make sense if it corresponded to the time at which the fall warning is logged, as LoganTree contends.² Accordingly, the "circumstances" data that is stored along

'040 Pet. Rep., 11.

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FISH

Raymond's Real-Time Clock ("RT clock") would have Provided Data Synchronization and Sequencing in Allum's system

Raymond

Although the periodicity of the wakeup pulses (and therefore the sampling rate of the system) is rigidly controlled by the crystal-based oscillator of the RT clock 155, the CPU clock (which must be much faster than the 2.5 KHz rate of the RT clock 155) is controlled by the RC pair 157. This RC clock is not a precision clock, but provides an oscillation rate of approximately 4 MHz. This comparatively fast clock speed allows the CPU 141 to process all of the instructions necessary to accomplish the desired data transfer tasks involved in signaling the ADC 146, receiving data from the ADC 146 and transmitting the data to the MS controller 150.

accurate clock. Because the RT clock 155 synchronizes each data sampling event by initiating the data collection sequence with a wakeup pulse, the maximum sampling rate is a stable 2.5 KHz.

APPLE-1009, 10:16-36.

Dr. Kenny's Declaration

subject's movement. APPLE-1008, 14:47-54, 10:10-13. A POSITA would have understood that data such as "time of day" data would have been provided by a real time clock. However, Allum does not explicitly teach that its device includes a clock. It would have been obvious to a POSITA to incorporate a RT clock in Allum's device so that Allum's device can use a clock for logging time information and so that it can "synchronize[] each data sampling event by initiating the data collection sequence," as taught by Raymond. APPLE-1009, 10:16-36. A POSITA would have certainly understood that Allum's determination of Time of Day could have been accomplished by use of a RT clock, such as described by Raymond. When incorporated into Allum's device, Raymond's RT clock 155 would have provided a wakeup pulse signal to initiate data collection sequencing and synchronization of data sampling events. APPLE-1009, 10:16-36. In addition, a real-time time value would have been obtained from the RT clock to record a particular time-stamp value. As evidenced by Farber, a time-stamp '040 APPLE-1003, ¶¶ 70, 120; '040 Pet., 32-33, 15-18.

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FISH_

Raymond also Discloses Storing Time Stamp Information

Raymond

the like. As the data is collected, it is time stamped, compressed (where appropriate) and uploaded to the database, labeled for the patient in question. The resulting health history is a combined format of objective physical parameters and subjective patient data which is timeindexed for subsequent retrieval and analysis. From these stored datastreams, trends in the data may be identified.

APPLE-1009, 2:23-30.

Dr. Kenny's Declaration

APPLE-1008, 10:10-13, 11:26-50, 17:1-5; APPLE-1009, 1:58-67, 2:17-30. Raymond teaches that "[a]s the data is collected, it is time stamped, compressed (where appropriate) and uploaded to the database, labeled for the patient in question. The resulting health history is a combined format of objective physical parameters and subjective patient data which is time-indexed for subsequent retrieval and analysis." APPLE-1009, 2:23-30. In support of Allum's "time history" of the subject's movement, a POSITA would have incorporated the time-stamping of collected data and stored it in a memory, such as Allum's memory 16, so that the subject's health can be tracked and assessed "over days, months, and years." APPLE-1009, 1:42-57; APPLE-1008, 14:47-54, 10:10-13; APPLE-1003, ¶[71]. A POSITA would have found it predictable to

'040 APPLE-1003, ¶¶ 71, 120; '040 Pet., 18.

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'040 Issue 3

ARC Renders Obvious "interpreting... movement data" [20c]

[20c]: "*interpreting,* using a microprocessor included in the portable, self-contained movement measuring device, *said physical movement data based on user-defined operational parameters and a real-time clock*;"

FISH

Allum Interprets Movement Data Based on User-Defined Operational Parameters

<u>Allum</u>

Signals from the body sway sensors on the subject are provided to a microprocessor based system processor. The system processor is programmed to transform the angular position and velocity information provided by the sensors into useful information formats that are displayed to an operator on an operator's display unit. Quantified body sway

APPLE-1008, 4:18-23; see also 7:21-27.

to indicate to the subject that he is in danger of falling. The sensitivity of the movements of the central bar 100 with respect to angular sway deviations, the sensitivity of the width of the central bar 100 with respect to the angular velocity of the subject's upper body, as well as the proximity to the cone of stability which the subject's upper body deviation angle must approach before the center 108 of the bar 100 flashes a warning, are preferably all variable parameters. These variable parameters represent the visual feedback gain of the system. The visual feedback gain parameters may be set by the operator to help improve the subject's control of sway, and therefore improve the subject's balance control for one or more movement tasks. The visual feedback gain parameters may be fine tuned by repeated movement task examination trials employing the diagnostic information features provided in the operator display 40.

Dr. Kenny's Declaration

115. Allum teaches that the "system processor is programmed to *transform* the angular position and velocity information provided by the sensors into useful information formats" ("*receiving [and] interpreting ... movement data*"). APPLE-1008, 4:18-23, 7:21-25, Abstract. For example, based on the information received from the sensors, processor 14 determines whether to' issue a "warning signal to the subject that his upper body is approaching his cone of stability"—*i.e.*, "that he is in danger of falling." *Id.*, 13:12-16, 14:47-49. This interpretation of the sensor data is based on "variable parameters" ("*user-defined operational parameters*") "set by the operator" including the subject's "cone of stability" and "the proximity to the cone of stability" that triggers a warning. *Id.*, 13:16-32, 15:20-31, 13:52-62.

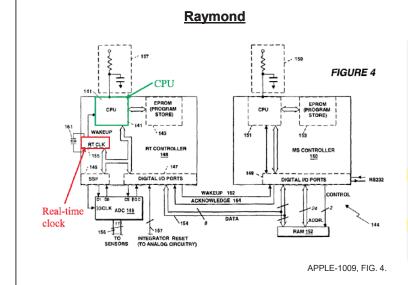
'040 APPLE-1003, ¶ 115; '040 Pet., 29.

17



APPLE-1008, 13:16-42.

Raymond Discloses "interpreting" Based on a Real-Time Clock



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Dr. Kenny's '040 Declaration

126. The RT clock 155 runs continuously and "includes a timer which every thirteenth oscillation [that] provides a 'wakeup' pulse to CPU 141." APPLE-1009, 10:1-15. The wakeup pulse is used to initiate data collection sequence and synchronize each data sampling event. APPLE-1009, 10:16-36.
127. It would have been obvious to a POSITA that, in ARC, the RT clock 155 would similarly be connected to Allum's microprocessor so that the microprocessor can use the time information provided by RT clock 155 to perform time synchronization and support time-stamping of collected data. *See supra* Section VI.C.

'040 APPLE-1003, ¶¶ 126-127; '040 Pet., 48, 16-18.

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DEMONSTRATIVE I

Raymond Discloses "interpreting" Based on a Real-Time Clock

Raymond

Although the periodicity of the wakeup pulses (and therefore the sampling rate of the system) is rigidly controlled by the crystal-based oscillator of the RT clock 155, the CPU clock (which must be much faster than the 2.5 KHz rate of the RT clock 155) is controlled by the RC pair 157. This RC clock is not a precision clock, but provides an oscillation rate of approximately 4 MHz. This comparatively fast clock speed allows the CPU 141 to process all of the instructions necessary to accomplish the desired data transfer tasks involved in signaling the ADC 146, receiving data from the ADC 146 and transmitting the data to the MS controller 150.

accurate clock. Because the RT clock 155 synchronizes each data sampling event by initiating the data collection sequence with a wakeup pulse, the maximum sampling rate is a stable 2.5 KHz.

APPLE-1009, 10:16-36.

Dr. Kenny's Declaration

148. To the extent that Patent Owner argues that Allum does not teach a RT clock, the Allum-Raymond device includes Raymond's RT clock, as explained above in Section VI.C. The RT clock would have been connected to Allum's microprocessor so that the microprocessor can use the time information provided by RT clock to perform time synchronization and time-stamp collected sensor data. Accordingly, in addition to the interpretation of the data based on user-defined operational parameters, the data is interpreted based on timing information provided by the real-time clock.

'040 APPLE-1003, ¶¶ 148, 70-71; '040 Pet., 48, 16-18.

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FISH____

Allum's "interpreting" Aligns with that Described in the '576 Patent

<u>Allum</u>

The number of times that a feedback system issues a warning signal to the subject that his upper body is approaching his cone of stability may be saved in the processor system memory 16, along with the circumstances involved (e.g., time of day, preceding pitch and roll velocities, etc.). This recorded data may be retrieved by an operator or transmitted, via wireless communications, to a central monitoring station. Further, a fall warning may be

APPLE-1008, 14:47-54.

'576 Patent

responds to the data. If a recordable event occurs, the microprocessor **32** retrieves the date/time stamp from the clock **46** and records the event information along with the date/time stamp in memory **50**. In a preferred embodiment,

APPLE-1001, 5:44-47.

Dr. Kenny's Declaration

147. Allum also teaches that, as part of the interpretation of the body sway data, its device logs the "time of day" a "warning" is provided to the subject. APPLE-1008, 14:47-54. A POSITA would have understood that the interpretation of this movement data is done based on time provided by a RT clock. In fact, Allum uses the time of day for interpretation in a similar manner as the '576 Patent, which records a "date/time stamp" "if a recordable event occurs." APPLE-1001, 5:44-47.

148. To the extent that Patent Owner argues that Allum does not teach a RT

'040 APPLE-1003, ¶ 147; '040 Pet., 48.

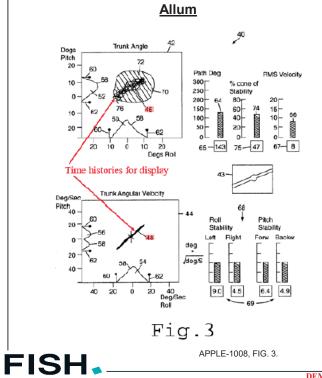
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Allum Discloses the "Analysis" of Movement Data

Allum **Petitioner Reply** Initialize Sensors, Displays, and Interfaces -120 As shown below in Allum's FIG. 6, after collecting and sampling real-time Begin Shell Program -122 Select Task Type 124 User-defined data from sensors 12, the body sway angle and angular velocity can be displayed to * parameters 128 Select Cone of Stability the user and an operator through displays and "a fall warning is provided when the 130 Set Task Parameters subject's trunk sway is within one degree of the cone of stability" (steps 132-146) Begin Real-Time Data Collection and Reduction _132 Movement Zero Upright Position APPLE-1008, 15:24-50, FIGS. 3, 5; Pet., 32. The fall warnings are logged for later data Sample Angular Velocity Sensors retrieval at step 144. APPLE-1008, 15:50-55. Allum discloses that "[t]he number Integrate for Angular Position 140 138 of times that a feedback system issues a warning signal to the subject that his Update Feedback Display (Fig. 5) Update Operator Display (Fig. 3) '040 Pet. Rep, 6. 142 Issue Fall Warnings End Real-Time Data Collection Begin Final Data Reduction and Display Log Fall Warnings 144 ¶[115]. To the extent an "analysis" of the movement data is required (as Display Results and Comparison Data Modify Feedback Gain Sensitivity Detecting a 150 LoganTree contends and Apple does not concede), Allum analyzes the movement first user-+ defined event 156 Store Results in Storage Medium data by comparing the sensor data against the user-defined subject's "cone of 154 Print Results stability" and "proximity to the cone of stability" to determine whether to trigger a Fig.6 warning, as explained above. APPLE-1008, FIG. 6. 21 FISH '040 Pet. Rep, 16. **DEMONSTRATIVE EXHIBIT – NOT EVIDENCE**

Allum Discloses the "Analysis" of Movement Data



Petitioner Reply

circumstances around a fall warning. For example, Allum's system can determine and store data indicating that an event occurred at a certain time by interpreting physical movement data based on the time of day information, and store this information in memory 16 as part of the circumstances around a fall warning. In addition, the physical movement data obtained from Allum's sensors can be interpreted (e.g., translated to a display format) based on the time of day information so that the time history of movement data can be displayed, e.g., as shown in Allum's FIG. 3 (reproduced below).

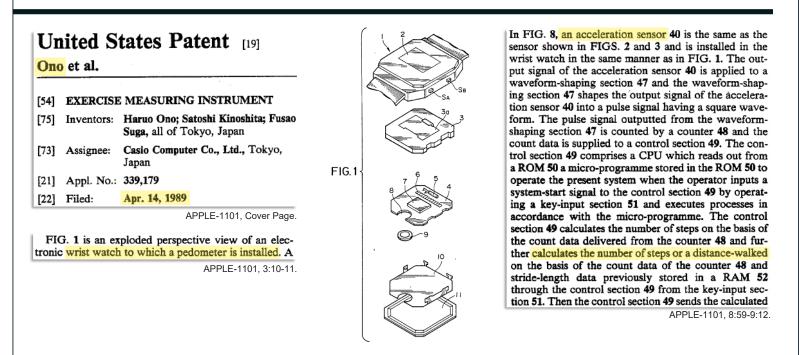
'040 Pet. Rep, 17.

'037 Issue 1

A POSITA Would have been Motivated to Combine Ono and Hutchings



Overview of Ono



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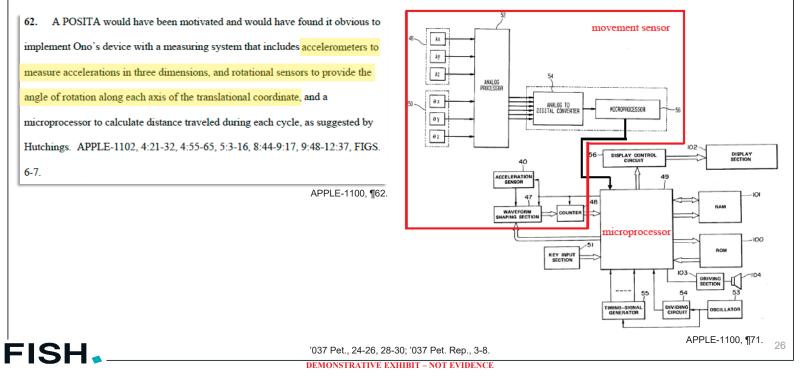
'037 Pet., 18-22, 28-30; '037 Pet. Rep, 4 DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

Overview of Hutchings

United States Patent [19] As mentioned above, in accordance with other embodi-In accordance with one aspect of the invention, a device ments of the invention, measuring system 10 can be located for measuring the performance of a runner utilizes acceler-Hutchings on parts of the body other than the foot of the user and still ometers and rotational sensors to measure the speed, disachieve the same accuracy in measuring the speed, distance tance traveled, and height jumped of a person. It may be traveled, and the height jumped. For example as discussed APPLE-1102, 3:5-8 SYSTEM AND METHOD FOR MEASURING [54] above, the measuring system may be employed at the wrist MOVEMENT OF OBJECTS or the waist of the user as discussed hereinafter. FIG. 7 is a displacement is used to determine the height jumped. One plot illustrating an embodiment of the invention employed set of three-component linear accelerometers and one set of [75] Inventor: Lawrence J. Hutchings, Castro Valley, on the wrist of the user. three-component rotational sensors may be employed to Calif. resolve the absolute motion of a person from the motion of APPLE-1102, 10:43-51. [73] Assignee: Acceleron Technologies, LLC, the foot. Oakland, Calif. APPLE-1102. 3:22-26. In accordance with another embodiment of the invention, [*] Notice: This patent is subject to a terminal disinstead of accelerometers velocity sensors may be claimer. FIG. 7 employed. For a measuring system that employs a velocity [21] Appl. No.: 08/877,342 APPLE-1102, 12:58-60 [22] Filed: Jun. 17, 1997 APPLE-1102, Cover Page. 124 **FISH** 25 '037 Pet., 22-24, 28-30; '037 Pet. Rep., 4-8. DEMONSTRATIVE EXHIBIT - NOT EVIDENCE

The Ono-Hutchings Combination

Dr. Kenny's Declaration



A POSITA would have Implemented Ono's Device with Multidimensional Accelerometers and Rotational Sensors as Suggested by Hutchings

Hutchings

<u>Ono</u>

FIG. 1 is an exploded perspective view of an electronic wrist watch to which a pedometer is installed. A APPLE-1101, 3:10-11.

accordance with the micro-programme. The control section 49 calculates the number of steps on the basis of the count data delivered from the counter 48 and further calculates the number of steps or a distance-walked on the basis of the count data of the counter 48 and stride-length data previously stored in a RAM 52 through the control section 49 from the key-input section 51. Then the control section 49 sends the calculated APPLE-1101, 9:5-12.

is incremented at Step c_{27} . That is, in the data-setting mode (M=-4), the switch S3 is a switch which serves to decide which one of the stride-lengths of the exercise modes such as exercise walking and jogging is to be set, and one of stride-lengths of three exercise-modes can be set by operation of the switch S3.

FISH

APPLE-1101, 18:28-33.

There are other running aids known in the prior art such as pedometers as disclosed in U.S. Pat. No. 4,053,755 to Sherrill. These devices usually count the number of steps taken and for a particular stride length, the approximate distance traversed can be determined.

APPLE-1102, 1:60-64. It is, therefore, a difficult task to determine the correct stride length for an individual runner at various speeds. Thus, pacing timers can provide no more than a constant running pace, and pedometer measurements are only useful as an approximation of distance traversed. Also, ultra sound

APPLE-1102, 2:27-31.

63.

42 18:28-19:6

With the foregoing in mind, the ideal running aid should, therefore: be light in weight; serve a number of useful functions; be inexpensive; provide measurements that are readily available to the user; be reliable and easy to use; and provide accurate measurements of speed, distance traversed, height jumped, and other useful information.

APPLE-1102, 2:40-46, 2:66-3:2.

A specific objective of this invention is to provide a new and improved running and walking measuring system, in which the speed of the runner can be easily and accurately determined.

A further specific objective of this invention is to provide a new and improved running and walking measuring system, in which the distance traversed by the runner can be easily and accurately determined.

APPLE-1102, 2:54-61.

'037 Pet., 18-26, 28-30; '037 Pet. Rep., 3-8.

DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

APPLE-1100, ¶63.

Dr. Kenny's Declaration

In pursuing specific design options for such a device, the POSITA would

measuring devices that are also worn on the user's wrist and are expressly directed

to improving pedometer devices like Ono's that "count the number of steps taken

have explored prior art references like Hutchings that describe movement

and for a particular stride length, the approximate distance traversed can be

determined." APPLE-1102, 1:60-64, 3:32-44, 4:7-26, 10:43-51, FIGS. 7-9;

1102, 2:15-31. The POSITA would have been motivated to use Hutchings'

measurements" of speed and distance traversed without manually setting stride

lengths for different exercise modes. APPLE-1102, 2:45-61; APPLE-1101, 13:40-

measuring system to leverage the stated benefits of providing "accurate

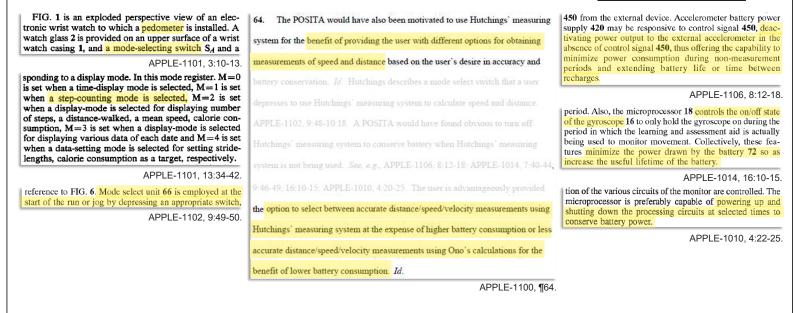
A POSITA would have Implemented Ono's Device with Multidimensional Accelerometers and Rotational Sensors as Suggested by Hutchings

Ono and Hutchings

Dr. Kenny's Declaration

Corroborating Evidence

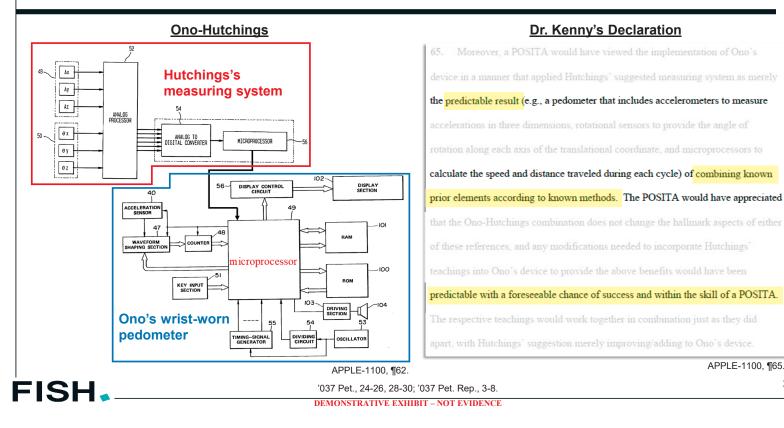
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'037 Pet., 18-26, 28-30; '037 Pet. Rep., 3-8. DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

FISH_

Ono-Hutchings is the Predictable Result of Combining Known Elements



APPLE-1100, ¶65.

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Patent Owner's Uncorroborated Expert Testimony does not Undermine Ono-Hutchings

Patent Owner Response and Sur-Reply

Paper 3, Petition at 26. But as Dr. Madisetti explains, Ono and Hutchings have fundamentally different (and incompatible) architectures. See EX2001 at ¶61. Ono uses a simpler (and lower-'037 POR, 19-20.
physically combined together." Paper 21 at 6. This is a strawman. LoganTree's argument was never physical incompatibility, but rather logical/architectural/system design incompatibility. '037 PO Sur-reply, 3.
at 20. Combining Ono with Hutchings's sensors would not work unless Ono were given a complete, ground-up redesign—something that makes sense only in the context of litigation-driven '037 PO Sur-reply, 3.

FISH

Allied Erecting & Dismantling Co. v. Genesis Attachments, LLC, 825 F.3d 1373, 1380-1381 (Fed. Cir. 2016)

Contrary to Allied's position, "it is not necessary that [Caterpillar and Ogawa] be physically combinable to render obvious the ['489 patent]." *In re Sneed*, 710 F.2d 1544, 1550 (Fed. Cir. 1983); see also *In re Etter*, 756 F.2d 852, 859 (Fed. Cir. 1985) (en banc) ("Etter's assertions that Azure cannot be incorporated in Ambrosio are basically irrelevant, the criterion being not whether the references could be physically combined but whether the claimed inventions are rendered obvious by the teachings of the prior art as a whole."). "The test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference," *In re Keller*, 642 F.2d 413, 425 (CCPA 1981). See also *In re Mouttet*, 686 F.3d 1322, 1332 (Fed. Cir. 2012) (citing *In re Keller*, 642 F.2d at 425), but rather whether "a skilled artisan would have been motivated to combine the teachings of the prior art references to achieve the claimed invention," *Pfizer, Inc. v. Apotex, Inc.*, 480 F.3d 1348, 1361 (Fed. Cir. 2007).

'037 Pet. Rep., 6.

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Patent Owner's Uncorroborated Expert Testimony does not Undermine Ono-Hutchings

Patent Owner Response

POSITA would understand that doing so would significantly increase the complexity and cost of the device while also having a dramatic negative impact on battery life—unacceptable trade-offs '037 POR, 19. changing the sensor architecture, and Petitioner fails to explain how either of these would motivate a POSITA to modify *Ono* with a significantly more complex set of sensors that would require more processing power and memory while draining the batteries much faster. Paper 3, Petition at '037 POR, 19.

FISH

Allied Erecting & Dismantling Co. v. Genesis Attachments, LLC, 825 F.3d 1373, 1380-1381 (Fed. Cir. 2016)

Upon determining that side walls 13a of Caterpillar serve a similar quick release function as the bridge housing of the '489 patent, it would have been obvious to a person of ordinary skill in the art to modify the immobilized jaw of Caterpillar (first jaw 13) in order to provide for a wider range of motion as taught by Ogawa, to make the jaw set more efficient. For example, a wider range of motion would augment the jaw sets' grasping capabilities. See J.A. 66 (asserting a skilled artisan would seek to modify the jaws of Caterpillar in order to provide a "wide range of angular movement"); see also J.A. 23 (referring to the "desirability of allowing for wide openings" (citation omitted)). Although modification of the movable blades may impede the quick change functionality disclosed by Caterpillar, "[a] given course of action often has simultaneous advantages and disadvantages, and this does not necessarily obviate motivation to combine." *Medichem, S.A. v. Rolabo*, *S.L.*, 437 F.3d 1157, 1165 (Fed. Cir. 2006) (citation omitted). As articulated by the

'037 Pet. Rep., 6.

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Patent Owner's Uncorroborated Expert Testimony does not Undermine Ono-Hutchings

Patent Owner Sur-Reply

Regarding "teaching away": Even if a POSITA would have had a motivation to combine Ono with Hutchings, and even if a POSITA would have had a reasonable expectation of success, they would not have done so because the prior art and a POSITA's knowledge teach away from this combination. As LoganTree pointed out in its Response (Paper 17 at 19), integrating Hutchings's complex sensors would have a dramatic negative impact on battery life on the Ono device (among other problems) Petitioner's Reply to this (Paper 21 at 5–6) is confused, and

'037 PO Sur-reply, 4.

Depuy Spine, Inc. v. Medtronic Sofamor Danek, Inc., 567 F.3d 1314, 1327 (Fed. Cir. 2009)

"A reference may be said to teach away when a person of ordinary skill, upon reading the reference, would be discouraged from following the path set out in the reference, or would be led in a direction divergent from the path that was taken by the applicant." *Ricoh Co., Ltd. v. Quanta Computer Inc.,* 550 F.3d 1325, 1332 (Fed.Cir.2008) (quoting *In re Kahn*, 441 F.3d 977, 990 (Fed.Cir.2006)). A reference does not teach away, however, if it merely expresses a general preference for an alternative invention but does not "criticize, discredit, or otherwise discourage" investigation into the invention claimed. *In re Fulton,* 391 F.3d 1195, 1201 (Fed.Cir. 2004). In this case, we agree with the district court that Puno does not merely

'037 Pet. Rep., 6.

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FISH

Ono-Hutchings Enhances Ono

Ono	<u>Hutchings</u>
[22] Filed: Apr. 14, 1989	[22] Filed: Jun. 17, 1997
APPLE-1101, Cover Page.	APPLE-1102, Cover Page
In pedometers described in the above U.S. Pat. Speci- fications, a magnetic sensor or a mechanical sensor is used as a sensor detecting walking or jogging. This results in a relative complexity in the sensor-construc- tion and thereby the devices are made not only large in size but also are easy to be damaged by an external	With the foregoing in mind, the ideal running aid should therefore: be light in weight; serve a number of useful functions; be inexpensive; provide measurements that are readily available to the user; be reliable and easy to use; and provide accurate measurements of speed, distance traversed, height jumped, and other useful information.
shock and the like.	APPLE-1102, 2:40-46
APPLE-1101, 1:11-23. The present invention constructed as mentioned above, allows to firmly measure exercise in walking, jogging and the like with an extremely simple construc- tion and has a merit that the instrument according to the invention can be made compact in size.	A still further objective of this invention is to provide a new and improved running and walking measuring system having the above advantages which is light in weight, relatively inexpensive and convenient to use. APPLE-1102, 2:66-3:2
APPLE-1101, 2:22-26. FIG. 1 is an exploded perspective view of an elec- tronic wrist watch to which a pedometer is installed, A APPLE-1101, 3:10-11.	traveled, and the height jumped. For example as discussed above, the measuring system may be employed at the wrist or the waist of the user as discussed hereinafter. FIG. 7 is a plot illustrating an embodiment of the invention employed on the wrist of the user.
	APPLE-1102, 10:43-51



'037 Pet. Rep., 3-8 DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

'037 Issue 2

Ono-Hutchings Renders Obvious "storing first event information" "along with first time stamp information" [1f], [20f]

'040 [1d-3] / '037 [1f]: "storing first event information *related to* the detected first user-defined event along with first time stamp information *reflecting a time* at which the movement data causing the first user-defined event occurred;"

[20f]: "storing, in said memory, first event information *related to* the detected first user-defined event along with first time stamp information *reflecting a time* at which the movement data causing the first user-defined event occurred."



Ono-Hutchings Renders Obvious "storing first event information"

Petition provides two independent, alternative mappings

- 1. Ono's microprocessor stores in RAM the user-defined operational parameters and the movement data used to detect the user-defined event, both of which are event information related to the detected user-defined event.
- When the user stops the step-counting mode after Ono's microprocessor detects a user-defined event, the microprocessor stores in RAM at least the total step count, total distance-walked, and calorie-consumption, which are event information related to the detected user-defined event.

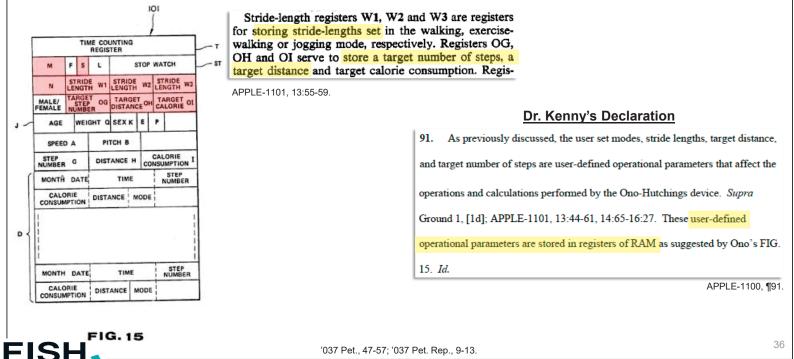
'037 Pet., 47-57; '037 Pet. Rep., 9-13; APPLE-1100, ¶¶91-98.

DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

FISH

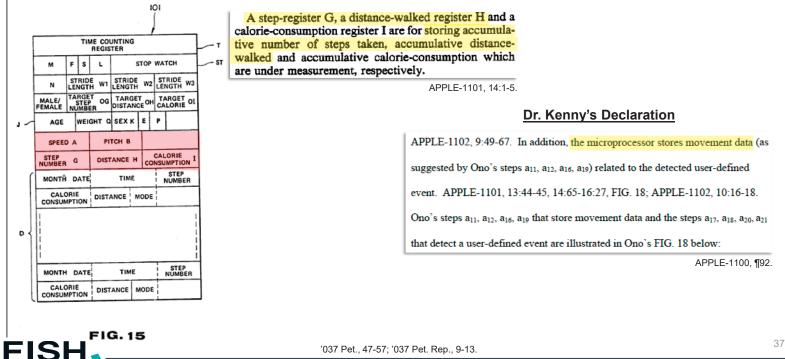
2A: Ono-Hutchings Stores User-Defined Operational Parameters used to Detect, and thus Related to, the Detected User-Defined Event

<u>Ono</u>

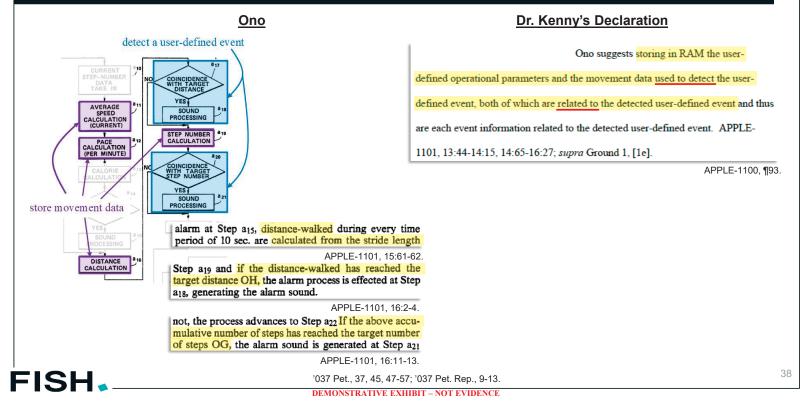


2A: Ono-Hutchings Stores Movement Data used to Detect, and thus Related to, the Detected User-Defined Event

<u>Ono</u>



2A: Ono-Hutchings Stores User-Defined Operational Parameters and Movement Data used to Detect, and thus Related to, the Detected User-Defined Event



2A: Ono-Hutchings Stores User-Defined Operational Parameters and Movement Data used to Detect, and thus Related to, the Detected User-Defined Event

Patent Owner Sur-reply

at 25–26. Although this information may *later* become "related to the detected first use-defined event" (if it is used to detect the event), at this step the event is not yet "detected" and therefore the stored information as described by Ono could not logically be "related" to any "detected event," and thus the microprocessor is not "storing... information related to the detected... event." Paper 17 at 25 ("In short: Ono does not disclose this limitation because no new or separate "first event information" is stored upon the detection of that user-defined event.")

See Paper 21 at 9. This is illogical. The claim language makes clear that the "first event information" being stored must be "related to" a user-defined event that has been detected (past tense). The disclosures in Ono Petitioner points to discuss storing background parameters used to detect a user-defined event. These citations to Ono do not disclose storing of information related to the already-detected user-defined event.

'037 PO Sur-reply, 7-8.

Petitioner Reply

50, 76-77; APPLE-1100, ¶¶93, 122. The fact that Ono stores the event information

used to detect the user-defined event prior to detecting the user-defined event does

not make it any less "related to" the detected user-defined event or any less of an

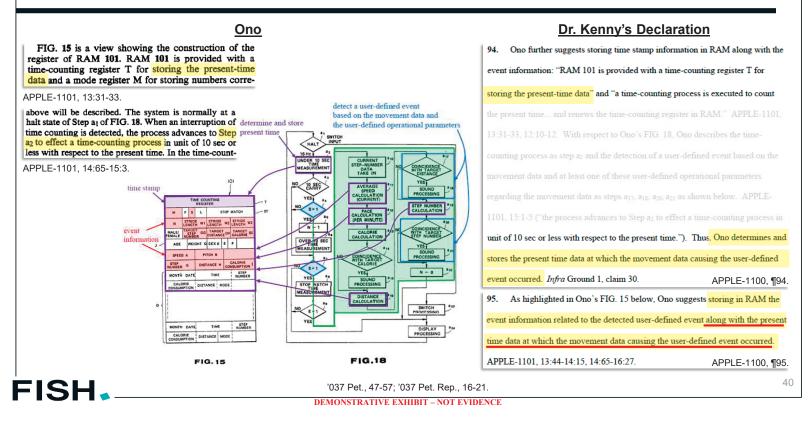
indication that the predetermined threshold is met.

'037 Pet. Rep., 10.

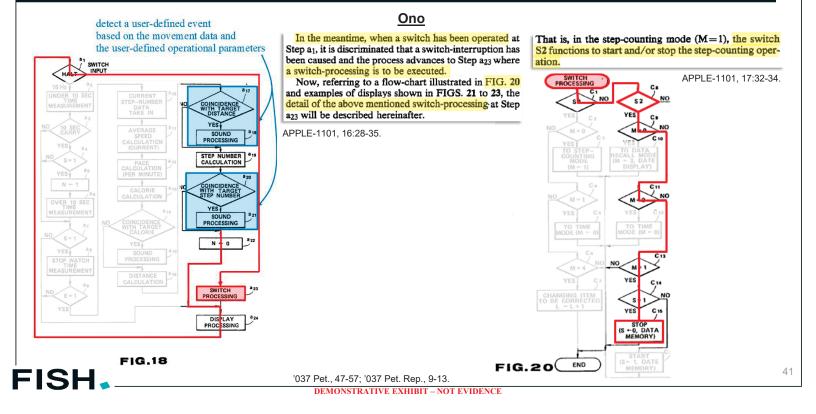
39

FISH

2A: Ono-Hutchings Stores Time Stamp Information



2B: Ono-Hutchings Stores New/Separate Event Information Related to the Already-Detected User-Defined Event



2B: Ono-Hutchings Stores New/Separate Event Information Related to the Already-Detected User-Defined Event

Dr. Kenny's Declaration

96. Ono supports instances where the user stops the step-counting mode operation using switch S₂ after the processor detects that "the distance-walked has reached the target distance OH" and/or that the "accumulated number of steps has reached the target number of steps OG" (user-defined event) and notifies the user by generating the alarm sound, as highlighted by the red path in FIGS. 18 and 20 below. APPLE-1101, 16:28-37, 17:3-59, FIGS. 18, 20.

APPLE-1100, ¶96.

'037 Pet., 47-57; '037 Pet. Rep., 9-13. DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

FISH

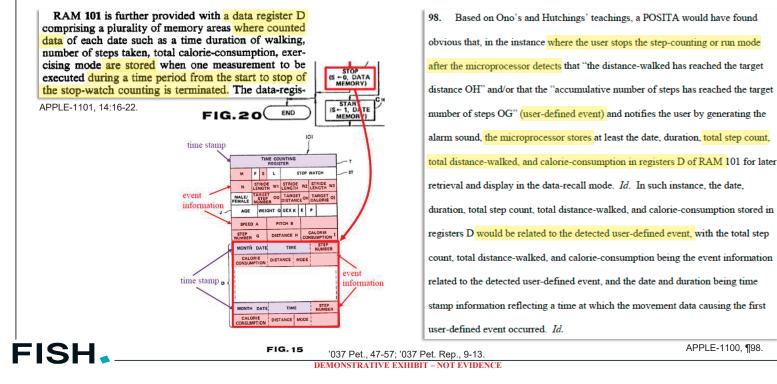
42

2B: Ono-Hutchings Stores New/Separate Event Information Related to the Already-Detected User-Defined Event

Dr. Kenny's Declaration

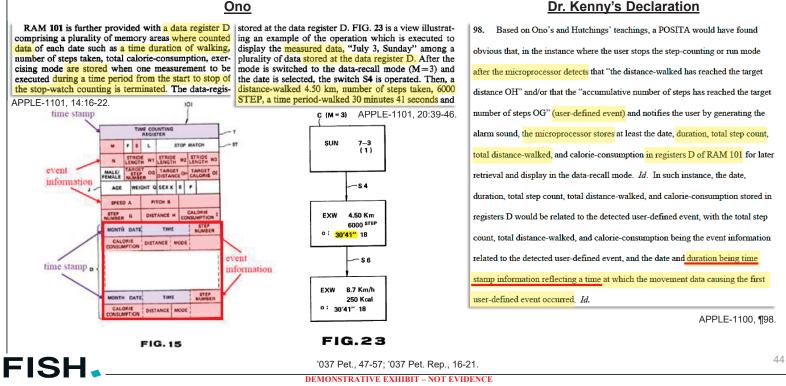
43

<u>Ono</u>



2B: Ono-Hutchings Stores Time Stamp Information

Ono



2B: Duration is "timestamp information reflecting a time"

Corroborating Evidence

[57]

UNITED STATES PATENT OFFICE. of air (RHatm), average temperature of air (T), simulated altitude (Alt), and time stamp relative to start of test (Time). There are three separate graphs that display volumes of

ABSTRACT

In data logging applications an apparatus for real-time

CHARLES AXEL DE CHAPEAUROUGE, OF HAMBURG, GERMANY.

TIME-STAMP.

SPECIFICATION forming part of Letters Patent No. 643,592, dated February 13, 1900. Application fied August 9, 1889. Serial No. 726,688. (No model.)

To all whom it may concern: Be it known that I, CHARLES AXEL DE CHAPEAUROUGE, doctor of medicine, a subject of the Emperor of Germany, residing at Rotherbaumchaussee 63, Hamburg, in the Empire of Germany, have invented certain new and useful Improvements in Time-Measuring Apparatus for Race-Courses, of which the following is a full, clear, and exact description.

Apparatus for Race-Courses, of which the following is a full, clear, and exact description. This invention relates to a time-measure apparatus which is especially suitable for horse-races, and is designed to obtain an exact check regarding the running of the horses. Apparatus as used for this purpose at present, generally in the form of a stop-watch,

and along one side of the top of the box that the center of the strip passes ove numbers of the disk b, which are situat pass near the middle of the front of the The strip h is held in moderate tension weight.

The numbers of the disk b indicate and ister the time which a horse or other c has run in the following manner: The v course as seen by the observer is divided equal distances and distance-posts are vided to indicate such distances. Whe horse starts, the person measuring the and conveniently situated at a raised I presses on an india-rubber ball k of the

APPLE-1120.

stamping data includes a reference time base, a short stopwatch circuit operative for generating a short-time stamp, and a long stopwatch circuit operative for generating at intervals a long-time stamp. Data to be logged with the short-time stamp is combined therewith and is being tuned. For example, video frames of the video content may have time stamps which indicate the elapsed time since the start of the content, e.g., 41 minutes and 32 seconds have elapsed in the video content. Also, key frames may have display and sound reproduction. The audio-video clip includes time stamps that indicate elapsed times within the clip. The process begins streaming the clip at an initial time APPLE-1125, [0047].

Time Stamp 207 may therefore specify an elapsed time from commencement of rendering,

APPLE-1124, 5:24-6:2.



'037 Pet., 47-57; '037 Pet. Rep., 16-21. DEMONSTRATIVE EXHIBIT – NOT EVIDENCE APPLE-1122, 37.

'037 Issue 3

Ono-Hutchings Renders Obvious "interpreting... movement data based on ... a real-time clock" [20c]

[20c]: "*interpreting*, using a microprocessor included in the portable, self-contained movement measuring device, said *physical movement data based on* user-defined operational parameters and *a real-time clock*;"

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Ono-Hutchings Renders Obvious "interpreting... movement data based on... a real-time clock" ([20c])

Ono and Hutchings

manner, at Step a_{11} , a mean walking speed during a time period of 10 sec is calculated from the stride-length

APPLE-1101, 15:36-37.

perform the integration. Once the length of steps is determined, the elapsed time is used to obtain the speed of the person, and the sum of the step lengths is used to obtain APPLE-1102, 3:18-20.

of distances for all cycles. The velocity of travel is the distance of the cycle, or several cycles, divided by the time it takes to travel this distance. The height jump is not

APPLE-1102, 11:1-3.

Dr. Kenny's Declaration

136. Additionally, Ono-Hutchings interprets/analyzes movement data to calculate speed, which is the rate of change of distance with time, and velocity, which is the distance divided by time. APPLE-1101, 15:35-42; APPLE-1102, 3:18-21, 11:1-3. As described in Ono, distance can be determined based on user selected exercise mode and user set stride length, and the time is a "time period of 10 sec." APPLE-1101, 15:61-62. Thus, the microprocessor interprets physical movement data based on user-defined operational parameters, including selection and starting of step-counting, selection of run mode, selection of exercise mode, and setting of stride length, target distance, and target number of steps, and based on 10 seconds having lapse that is determined using a real-time clock.

APPLE-1100, ¶136.

FISH

'037 Pet., 71-73; '037 Pet. Rep., 22-23 DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

Patent Owner's Uncorroborated Argument Ignores Ono's Express Disclosure

Patent Owner Response and Sur-Reply Ono RAM 52 to be described below. Further, the control For the limited purpose of this ground, PO does not dispute that a POSITA could have section 49 sends the time-data, i.e., the present-time data comprising minute-data, hour-data, date-data and month-data to the display section 57 through the disimplemented Ono's device such that it would have a real-time clock. '037 POR, 30. play-control circuit 56 and the display section 57 displays the present-time data. The control section 49 con-1f. Additionally, if the real-time clock is only used only for duration, then there would be no APPLE-1101, 9:14-31, reason to add a real-time clock in the first place-defeating Petitioner's obviousness argument **Dr. Kenny's Declaration** (that "it would have been obvious to implement Ono's device to have a real-time clock," Paper 31. Based upon my knowledge and experience in this field, instead of relying on 21 at 22)-because there would be no need for the clock to be "real-time" if it is only used to the processor to determine the time data from the one Hz signal, a POSITA would measure durations. have found it obvious to consider implementing the Ono-Hutchings device with a '037 PO Sur-reply, 12. discrete, external real-time clock connected to the microprocessor for obtaining "time-data, i.e., the present-time data comprising minute-data, hour-data, date-data APPLE-1100, ¶100. advantageously reducing the calculations that are performed by the microprocessor and conserving power to the system when there are no processing tasks for the microprocessor. See, e.g., APPLE-1009, 9:59-67 (describing using multiple APPLE-1100, ¶102. 48 FISH_ '037 Pet., 58-59. DEMONSTRATIVE EXHIBIT - NOT EVIDENCE

'040 and '037 Common Issue 4

The Testimony of Patent Owner's Declarant is Entitled to no Weight

FISH

The Testimony of Patent Owner's Declarant is Entitled to no Weight

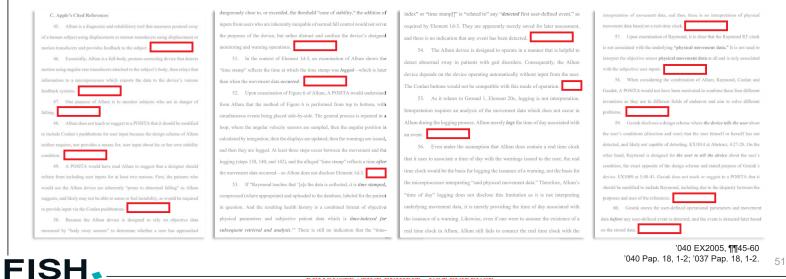
(a) Export tectimony that door not disclose the under	ving facts or data on which the oninion is based is
(a) Expert testimony that does not disclose the underl	
entitled to little or no weight. Testimony on United Sta	tes patent law or patent examination practice will
not be admitted.	
<u>'040 Madisetti Declaration (EX2005)</u>	<u>'040 Petitioner Reply</u>
47. One purpose of Allum is to monitor subjects who are in danger of	VII. DR. MADISETTI'S TESTIMONY SHOULD NOT BE GIVEN ANY WEIGHT
	As explained in more detail below, Dr. Madisetti's testimony is not cited to
falling.	and is not supported by underlying facts. Moreover, when clarification of
48. Allum does not teach or suggest to a POSITA that it should be modified	statements was sought during deposition, Dr. Madisetti refused to provide it.
to include Conlan's pushbuttons for user input because the design scheme of Allum	The POR does not provide a single citation to Dr. Madisetti's declaration,
neither requires, nor provides a means for, user input about his or her own stability	Exhibit 2005. Thus, Exhibit 2005 should not be given any weight as it is unclear
condition.	how his remarks are pertinent to any arguments. And even if consideration were t
	be given to uncited Exhibit 2005, Dr. Madisetti's testimony is not based on
49. A POSITA would have read Allum to suggest that a designer should	underlying facts. In the 60-paragraph declaration, only $\P[32]-[35]$ (which are
refrain from including user inputs for at least two reasons. First, the patients who	directed to disclosure of the '576 Patent) and ¶[59] have any citations. The entire
would use the Allum device are inherently "prone to abnormal falling" as Allum	section (¶[45]-[60]) discussing the prior art references has no citations other than
suggests, and likely may not be able to sense or feel instability, as would be required	two citations in ¶[59]. Per 37 C.F.R. §42.65(a), "[e]xpert testimony that does not
to provide input via the Conlan pushbuttons.	disclose the underlying facts or data on which the opinion is based is entitled to little or no weight."

Madisetti's Prior Art Discussion Consists of Conclusory Statements without Disclosure of Underlying Facts or Data

37 C.F.R. § 42.65(a)

(a) Expert testimony that does not disclose the underlying facts or data on which the opinion is based is entitled to little or no weight. Testimony on United States patent law or patent examination practice will not be admitted.

'040 Madisetti Declaration (EX2005)



Madisetti Refused to Clarify his Testimony

Madisetti Depo. Transcript

16	Q. The claim says that a movement sensor
17	is capable of measuring data. What do you
18	understand is the meaning of "capable of"?
9	MR. KHAN: Yeah, I'm asking what is
10	meant by the words "capable of."
11	THE WITNESS: And I would say just
12	capable of.

APPLE-1040, 78:16-79:12.

'040 Petitioner Reply

Compounding the egregious omissions and lack of evidentiary support was Dr. Madisetti's refusal to answer questions and provide clarification of his statements during his deposition. *See e.g.*, APPLE-1040, 30:3-20 (refusing to provide his understanding of "time-stamp"); APPLE-1040, 52:2-14 (refusing to explain details of a figure he drew and included in his declaration); APPLE-1040, 78:16-84:4 ("capable" means "capable;" "related to" means "related or has a relation to"). For the foregoing reasons, Dr. Madisetti's testimony should not be given any weight.

'040 Pet. Rep., 30.

FISH

Madisetti Refused to Clarify his Testimony

Madisetti Depo. Transcript

BY MR. KHAN:
Q. Thank you. I understand what the
claim says, but I'm asking you for your
interpretation of the term "time stamp," not what
the claim reads.
If you can please answer the question,
which is: What is your understanding of the word
"time stamp"?
MR. PHILLIPS: Objection, form.
Objection, scope.
THE WITNESS: As I said, Counsel, I am
not here to offer a full claim construction
of the term. As I've described, that the
'56 patent in paragraph 32 provides, for
example, the microprocessor is connected to a
real-time clock to provide date and time
information to the microprocessor, Column 5,
35 to 37. These are exemplary, nonlimiting
embodiments that are discussed.
DEMONSTI

'040 Petitioner Reply

Compounding the egregious omissions and lack of evidentiary support was
Dr. Madisetti's refusal to answer questions and provide clarification of his
statements during his deposition. See e.g., APPLE-1040, 30:3-20 (refusing to
provide his understanding of "time-stamp"); APPLE-1040, 52:2-14 (refusing to
explain details of a figure he drew and included in his declaration); APPLE-1040,
78:16-84:4 ("capable" means "capable;" "related to" means "related or has a
relation to"). For the foregoing reasons, Dr. Madisetti's testimony should not be
given any weight.
2040 B-t B-m 20

'040 Pet. Rep., 30.

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Madisetti Refused to Clarify his Testimony

Madisetti Declaration (top) vs. '576 Patent (bottom) Madisetti Depo. Transcript Claimed Invention of the '576 16 Q. So you drew -- you drew this figure; 17 is that correct? Α. 18 Yes. Q. But you don't know where the movement 19 D B 20 data is coming from? A. As I said, Counselor, I don't offer a 21 specific opinion on that. All I'm saying is that 22 the microprocessor receives, interprets, stores, and 1 responds to said movement data that's received based 2 on user-defined operational parameters. 3 Q. So how can it do that if you cannot 4 MOVEMENT SENSOR explain to me where the movement data is coming 5 from? 6 USER INPUTS 7 MR. PHILLIPS: Objection, form. MICROPROCESSOR 0N/0FF MUTE 36 54 8 Objection, scope. 9 THE WITNESS: As I said, Counsel, I MEMORY 50 10 disagree with that characterization. FIG. 4 APPLE-1040, 52:16-53:10; '040 Pet. Rep., 29-30. **FISH** 54 '040 EX2005, 20; EX1001, FIG. 4. DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

Appendix

Additional Slides



Asserted Grounds

	2-0003716	
Grou	nd Claims	35 U.S.C. §103 Combination
1	1, 3-5, 8, 10, 20, 25,	Ono and Hutchings
	30, 39, 41, 42, 61-65	
2	1, 3-5, 8-11, 20, 25,	Ono, Hutchings, and Amano
	30, 36, 39-42, 61-65	
3A	1-5, 8, 10, 20, 25, 30,	Ono, Hutchings, and Conlan
	31, 39, 41, 42, 45-47,	
	49, 61-65	
3B	48, 50, 51	Ono, Hutchings, Conlan, and Hickman
4	1, 3-5, 8, 10, 20, 25,	Ono, Hutchings, and Kaufman
	30, 39, 41, 42, 61-65,	
	144, 147	
5A	1-5, 8-11, 20, 25, 30-	Ono, Hutchings, Amano, Conlan, and
	32, 36, 39-42, 45-47,	Kaufman
	49, 61-65, 144, 147	
5B	48, 50, 51	Ono, Hutchings, Amano, Conlan,
		Kaufman, and Hickman
6A	1-5, 8-11, 20, 25, 30,	Ono, Hutchings, Amano, and Conlan
	31, 36, 39-42, 45-47,	
	49, 61-65	
6B	48, 50, 51	Ono, Hutchings, Amano, Conlan, and
		Hickman
7	1, 3-5, 8-11, 20, 25,	Ono, Hutchings, Amano, and Kaufman
	30, 36, 39-42, 61-65,	
	144, 147	
8A	1-5, 8, 10, 20, 25, 30,	Ono, Hutchings, Conlan, and Kaufman
	31, 39, 41, 42, 45-47,	
	49, 61-65, 144, 147	
8B	48, 50, 51	Ono, Hutchings, Conlan, Kaufman, and
		Hickman

IPR2022-00037 Petition (" '037 Pet.")

IPR2022-00040 Petition (" '040 Pet.")

Ground	Claim(s)	35 U.S.C. § 103 Combination
1	1-5, 8-11, 20, 25, 30, 36, 39-42, 45-51, 61- 63, 144, 147	Allum in view of Raymond and Conlan
1A/2	31, 32	Allum in view of Raymond, Conlan, and optionally de Remer
3	64, 65	Allum in view of Raymond, Conlan, and Gaudet
4	20, 25	Gesink in view of Raymond

'040 Pet., 1-2.

'037 Issue A1

Ono-Hutchings Renders Obvious "movement sensor" and "movement measuring device" [1a], [1k], [20a]

[1a]: "a movement sensor capable of measuring data associated with unrestrained movement in any direction and generating signals indicative of said movement"

'037 [1k] / '040 [1i]: "wherein said movement sensor measures the angle and velocity of said movement."

[20a]: "attaching a portable, self-contained *movement measuring device* to said body part *for measuring unrestrained movement in any direction*;"



Ono-Hutchings Renders Obvious the "movement sensor" and "movement measuring device" ([1a], [1k], [20a])

Dr. Kenny's Declaration

APPLE-1100, ¶67.

Ono's acceleration sensor 40, waveform-

shaping section 47, and counter 48 form a movement sensor that measures data and

on the basis of the count data of the counter 48 and stride-length data previously stored in a RAM 52

APPLE-1101, 9:5-10.

generates signals indicative of movement.

70. Hutchings' measuring system forms a movement sensor capable of

measuring data associated with unrestrained movement in any direction and

APPLE-1100, ¶70.

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generating signals indicative of the movement.

Ono Hutchings displacement is used to determine the height jumped. One model ADXL05. Each accelerometer may convert the meaan exercise measuring instrument comprising: an acceleration sensor to be worn on a body of an exerciser, set of three-component linear accelerometers and one set of sured acceleration into a corresponding signal, which may for outputting a waveform signal representative of an three-component rotational sensors may be employed to be preferably employed by microprocessor 6 to accomplish movement measurements. acceleration which is received by said acceleration resolve the absolute motion of a person from the motion of sensor in response to movements of said exerciser; am-APPLE-1102, 3:22-25 APPI F-1102 5:9-12 APPLE-1101. 2:1-7. those provided by AMP model numbers ACH-04-08. Each employed. In FIG. 6, unit 48 may preferably contain the wrist watch in the same manner as in FIG. 1. The outrotational sensor converts the measured angle into a correlinear accelerometers employed to measure accelerations put signal of the acceleration sensor 40 is applied to a sponding signal, which is employed by a microprocessor 6 Ax, Ay and Az and frequency filters (not shown). Such filters waveform-shaping section 47 and the waveform-shapto calculate information related to the user's movements, APPLE-1102, 8:49-51. ing section 47 shapes the output signal of the accelerasuch as user's speed, distance traveled and the height tion sensor 40 into a pulse signal having a square wave-Unit 50 may preferably contain rotational sensors APPLE-1102, 4:60-64. form. The pulse signal outputted from the waveformemployed to measure $\theta x \ \theta y$ and θz signals. Thus the shaping section 47 is counted by a counter 48 and the In accordance with another embodiment of the invention, rotational sensors provide the angle of rotation along each count data is supplied to a control section 49. The coninstead of accelerometers velocity sensors may be axis of the translational coordinate. The output terminals of employed. For a measuring system that employs a velocity APPLE-1101. 8:60-67. units 48 and 50 are coupled to input terminals of a processor APPI F-1102 12:58-60 accordance with the micro-programme. The control APPLE-1102, 8:56-60. section 49 calculates the number of steps on the basis of the count data delivered from the counter 48 and further calculates the number of steps or a distance-walked

> '037 Pet., 28-30; '037 Pet. Rep., 6-8. DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

Ono-Hutchings Renders Obvious the "movement sensor" and "movement measuring device" ([1a], [1k], [20a])

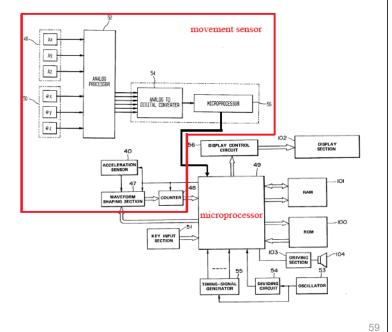
Dr. Kenny's Declaration

71. In accordance with the construction of "movement sensor" discussed in Section V.C, the block diagram below shows an example of a device with a movement sensor (enclosed in red) that includes Ono's acceleration sensor 40,

waveform-shaping section 47, and counter 48, and Hutchings' measuring system:

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Ono-Hutchings movement sensor



APPLE-1100, ¶71; '037 Pet., 28-30; '037 Pet. Rep., 6-8. DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

Ono-Hutchings Renders Obvious "unrestrained movement" ([1a], [20a])

Patent Owner Sur-Reply

First, Petitioner is incorrect—its original Petition had no "clear and detailed explanation" of the "unrestrained movement" limitation. Rather, the cited portion (which contains the only mention of the word "unrestrained" in the body of the Petition) is a *single sentence* (backed only by a long, unexplained string cite) making the bare assertion that "Ono-Hutchings' movement sensor measures unrestrained movement in any direction through accelerometers that measure accelerations in three dimensions, and rotational sensors that provide the angle of rotation along each axis of the translational coordinate." Paper 3 at 28. While this argument may have touched on the "in any direction" limitation, it does not address the "unrestrained" limitation.

'037 PO Sur-reply, 5.

Petition (top) and Dr. Kenny's Declaration (bottom)

If Ono's movement sensor were considered to not be capable of measuring data associated with unrestrained movement in any direction, Ono-Hutchings' movement sensor measures unrestrained movement in any direction through accelerometers that measure accelerations in three dimensions, and rotational sensors that provide the angle of rotation along each axis of the translational coordinate. APPLE-1102, 2:45-61, 3:22-26, 4:44-6:54, 8:44-59, 9:59-10:2, FIG. 6; APPLE-1101, 13:40-42, 18:28-19:6; supra Section III.A.3; infra Ground 1, claim 5; APPLE-1100, ¶68-70. "Each accelerometer may convert the measured '037 Pet., 28-29. 68. If Ono's movement sensor were considered to not be capable of measuring data associated with unrestrained movement in any direction, Ono-Hutchings yields a device including accelerometers to measure accelerations in three dimensions and rotational sensors to provide the angle of rotation along each axis of the translational coordinate. APPLE-1102, 3:22-26 ("One set of threecomponent linear accelerometers and one set of three-component rotational sensors may be employed to resolve the absolute motion of a person ... "), 4:44-6:54, 8:44-59, 9:59-10:2, FIG. 6; supra Section VI.A.3; infra Ground 1, claim 5. "Each APPLE-1100, ¶68.

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Ono-Hutchings's Movement Sensor "measures the angle" ([1k])

Patent Owner Sur-Reply

LoganTree pointed out in its Response that the Petition makes no argument (and cites no evidence showing) that Ono-Hutchings discloses the "movement sensor measures the angle ... of said movement" limitation of Element 1k. *See* Paper 17 at 31. Petitioner's Reply incorrectly '037 PO Sur-reply, 11.

Petitioner Reply

Petition's argument section for element [1k] includes a detailed discussion of velocity, as that was not previously discussed in the Petition, it does so with reference to the Ono-Hutchings's movement sensor as discussed in the Petition's analysis of element [1a]. Petition, 64; APPLE-1100, ¶106. As the Petition had established in the analysis of element [1a] prior to its analysis of element [1k], Hutchings discloses rotational sensors that measure the angle of movement. Petition, 28-29 (citing APPLE-1102, 4:60-65, 5:9-12, 8:49-61), 22-23; APPLE-1100, ¶68-70, 106. LoganTree does not dispute that Hutchings provides such disclosure. POR, 31.

'037 Pet. Rep., 8.

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DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

APPLE-1100, ¶106.

To the extent this limitation requires the movement sensor itself measure the velocity and if Ono-Hutchings' movement sensor (*supra* Ground 1, [1a]) were

'037 Pet., 64.

Petition

Dr. Kenny's Declaration

[1k] wherein said movement sensor measures the angle and velocity of said movement.
106. As discussed above, Ono-Hutchings yields a device with a movement sensor that includes the acceleration sensor (as suggested by Ono), and a set of three-component accelerometers and a set of three-component rotational sensors (as suggested by Hutchings). *Supra* Ground 1, [1a]; *supra* Section VI.A.3; APPLE-1101, 2:1-7, 3:10-4:13, 5:64-6:1, 6:41-48, 7:20-27, 7:61-68, 8:16-42, 8:58-60, 13:18-29, FIG. 14; APPLE-1102, 3:22-26 ("One set of three-component linear accelerometers and one set of three-component rotational sensors may be employed to resolve the absolute motion of a person..."), 4:44-6:54, 8:44-59, 9:59-10:2, FIG. 6. Also discussed above, Hutchings discloses that "[t]he total distance APPLE-1100, ¶106.

Ono-Hutchings's Movement Sensor "measures the angle" ([1k])

Petition's analysis of element [1a]

If Ono's movement sensor were considered to not be capable of measuring data associated with unrestrained movement in any direction, Ono-Hutchings' movement sensor measures unrestrained movement in any direction through accelerometers that measure accelerations in three dimensions, and rotational sensors that provide the angle of rotation along each axis of the translational coordinate. APPLE-1102, 2:45-61, 3:22-26, 4:44-6:54, 8:44-59, 9:59-10:2, FIG. 6; APPLE-1101, 13:40-42, 18:28-19:6; *supra* Section III.A.3; *infra* Ground 1, claim 5; APPLE-1100, ¶68-70. "Each accelerometer may convert the measured acceleration into a corresponding signal," and "[e]ach rotational sensor converts the measured angle into a corresponding signal"; those signals are employed by a microprocessor 6 to calculate information related to the user's movements...."

'037 Pet., 28-29.

Dr. Kenny's analysis of element [1a]

68. If Ono's movement sensor were considered to not be capable of measuring data associated with unrestrained movement in any direction, Ono-Hutchings yields a device including accelerometers to measure accelerations in three dimensions and rotational sensors to provide the angle of rotation along each axis of the translational coordinate. APPLE-1102, 3:22-26 ("One set of three-component linear accelerometers and one set of three-component rotational sensors may be employed to resolve the absolute motion of a person..."), 4:44-6:54, 8:44-59, 9:59-10:2, FIG. 6; *supra* Section VI.A.3; *infra* Ground 1, claim 5. "Each accelerometer may convert the measured acceleration into a corresponding signal, which may be preferably employed by microprocessor 6 to accomplish movement measured angle into a corresponding signal, which is employed by a microprocessor 6 to calculate information related to the user's movements, such as user's speed, distance traveled and the height jumped." APPLE-1102, 4:60-65.

APPLE-1100, ¶68. 62

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'040 Issue A2

A POSITA Would have been Motivated to Combine ARC and de Remer

Claims 31 and 32

[31]: The device of claim 1, wherein said microprocessor is configured to *retrieve said first time stamp information from said real-time clock* based on the detection of the user-defined event.

[32]: The device of claim 31, wherein said microprocessor is configured to *retrieve said first time stamp information from said real-time clock* based on the detection of the user-defined event.

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Overview of de Remer

de Remer

506 to check the state of E1DEQU. During flow block 507, E1DEQU closes the currently open data group record in SCF 76 by placing the current time and date from real-time clock 8 in the ending timestamp field of that data group record. This step is necessary since an

APPLE-1013 (de Remer), 23:16-20.

An embodiment of the invention is a method for creating a control record for use in future machine recovery of gaps in a complete series of journal data formed by a computing machine from a complete series of transactional data. The method includes forming a copy of the series of journal data for transmission to a remote site, forming a plurality of data group records, each data group record identifying a different boundary of each of a plurality of data groups in the series of journal data, detecting the presence of a gap in the copy of the journal data for transmission, and creating one of said data group records for a boundary of each gap.

APPLE-1013, 2:29-40.

FISH__

DEMONSTRATIVE EXHIBIT – NOT EVIDENCE

Dr. Kenny's Declaration

91. De Remer is related to "a method for creating a control record for use in future machine recovery of gaps in a complete series of journal data formed by a computing machine from a complete series of transactional data." APPLE-1013, 2:29-40. To create a record/data log, de Remer teaches "placing the current time and date from real-time clock 8 in the ending timestamp field of that data group record." APPLE-1013, 23:13-23, 9:25-61.

'040 APPLE-1003, ¶91; '040 Pet., 63-64.

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de Remer Discloses how to use RTC Information to Populate a Timestamp Field

de Remer

Assume the system is at block 505. If a shutdown has been requested, control passes to block 507 and E1-DEQU 92 stops. Otherwise control is passed to block 506 to check the state of E1DEQU. During flow block 507, E1DEQU closes the currently open data group record in SCF 76 by placing the current time and date from real-time clock 8 in the ending timestamp field of that data group record. This step is necessary since an open record indicates that E-NET1 is currently running or has improperly stopped.

APPLE-1013, 23:13-23.

Dr. Kenny's Declaration

92. To understand how a timestamp field used by ARC's device would have been populated, a POSITA would have turned to the teachings of de Remer, which explains that date and time information from the RT clock (also disclosed in ARC's device) is used to fill up the fields in a timestamp. APPLE-1013, 23:13-23. Indeed, such a combination would have been obvious because it merely involves combining prior art elements (ARC and de Remer's RT clock and timestamp) according to known methods to yield predictable results (filling the fields of a timestamp using date and time information from the RT clock). Such a combination would have been predictable and foreseeable as a POSITA would have turned to a RT clock of the device in order to obtain time and date information for a timestamp and associate the retrieved first time stamp information with said first user-defined event, as taught by the combination of Arc and de Remer.

'040 APPLE-1003, ¶92; '040 Pet., 64-65.

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A POSITA would have Combined ARC and de Remer to Retrieve first time Stamp Information from the RTC

de Remer

Assume the system is at block 505. If a shutdown has been requested, control passes to block 507 and E1-DEQU 92 stops. Otherwise control is passed to block 506 to check the state of E1DEQU. During flow block 507, E1DEQU closes the currently open data group record in SCF 76 by placing the current time and date from real-time clock 8 in the ending timestamp field of that data group record. This step is necessary since an open record indicates that E-NET1 is currently running or has improperly stopped.

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APPLE-1013, 23:13-23.

Petitioner Reply

Claim 1 of the '576 Patent recites, among other features, "a real-time clock connected to said microprocessor" and "storing ... first time stamp information reflecting a time at which the movement data causing the first user-defined event occurred." Based on these features alone, the '576 Patent inventor would have to consider the problem of how to obtain and store first time stamp information when using a real-time clock. De Remer explains how the date and time information from a RT clock (part of ARC's device) can be used to fill up the fields in a timestamp, and thus is reasonably pertinent to the same or similar problem facing the inventor. APPLE-1013, 23:13-23; APPLE-1003, ¶[170], [92]; Pet., 64. LoganTree's broad characterization of the '576 Patent's "main problem" being "the tracking of a person's movement" fails to recognize all the problems that the inventor would have to address, and fails to address problems related to the recited claim features. POR, 40. For at least this reason, De Rember is analogous art.

'040 Pet. Rep., 24.

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'040 Issue A3

A POSITA Would have been Motivated to Combine ARC and Gaudet

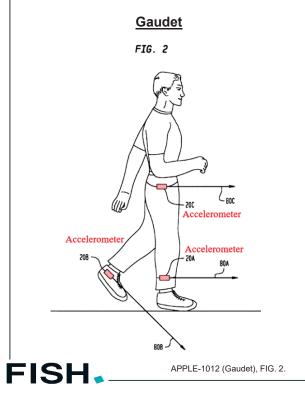
Claims 64 and 65

[64]: The device of claim 1, wherein said movement sensor is configured to *measure a walking distance*.

[65]: The device of claim 64, wherein said device is configured to be wearable by the user, and said movement sensor is configured to *measure said walking distance of said user*.

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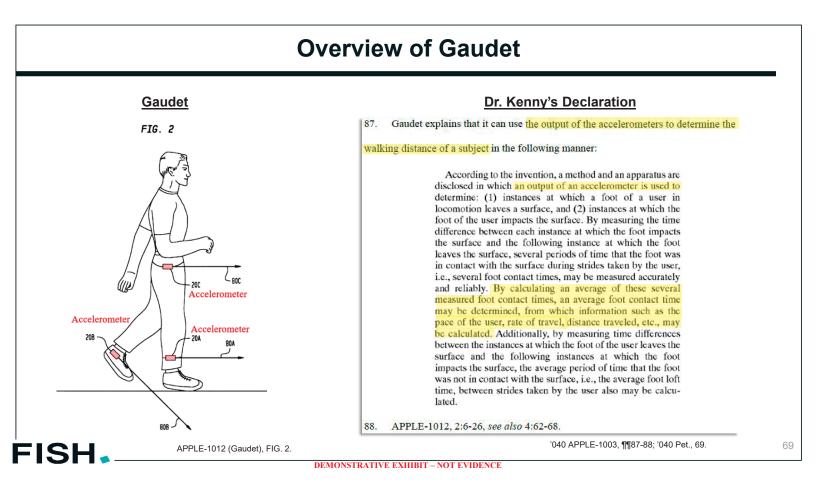
Overview of Gaudet



Dr. Kenny's Declaration

85. Gaudet discloses using accelerometer(s) to sense subject movement in "a direction substantially parallel to the bottom of a subject's foot. APPLE-1012, Abstract. A time interval during which the subject's movement is measured along with data obtained from the accelerometer(s) is used to determine the subject's pace and distance traveled. APPLE-1012, Abstract, 2:6-26, 2:47-53, 15:37-47, 16:19-23, FIGS. 11, 13. ¹⁰⁴⁰ APPLE-1003, ¶85; '040 Pet., 67.
86. In particular, as shown in Gaudet's FIG. 2 below, accelerometer "device 20A is mounted on the ankle of the [subject], [accelerometer] device 20B is mounted on or within the shoe of the user, and [accelerometer] device 20C is mounted on the waist of the user, with the acceleration sensing axises [*sic*] of the devices being oriented as indicated by arrows 80A, 80B and 80C, respectively." APPLE-1012, 4:50-67, 16:45-60.

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A POSITA Would have been Motivated to Combine ARC and Gaudet to Measure Walking Distance

Dr. Kenny's Declaration

subject, as taught by Gaudet. A POSITA would have been combined the teachings of
ARC and Gaudet so that ARC's measuring device can additionally measure and provide
data for movements like walking while using existing hardware (accelerometers), and
because using an accelerometer that is placed on a subject's body and not just in the
subject's shoe would have provided an "affordable, reliable, easy to use and accurate"
measurement of the subject's walking distance and pace. APPLE-1012, 1:35-2:3. Such a
combination would have been predicable and foreseeable because providing additional
data such as the user's walking distance would have been consistent with Allum and
Raymond's objectives to provide a history of the user's movements. In addition, using
accelerometers to measure distance traveled by the user was already well-known and thus
would have been obvious extension of Allum's accelerometers. APPLE-1012, 1:35-67.
The combination of ARC's accelerometers arranged according to Gaudet's teachings
provides a more "affordable, reliable, easy to use and accurate" accelerometer-based
measurement. APPLE-1012, 1:35-2:3.
'040 APPLE-1003, ¶89; '040 Pet., 69-70.

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DEMONSTRATIVE EXHIBIT - NOT EVIDENCE

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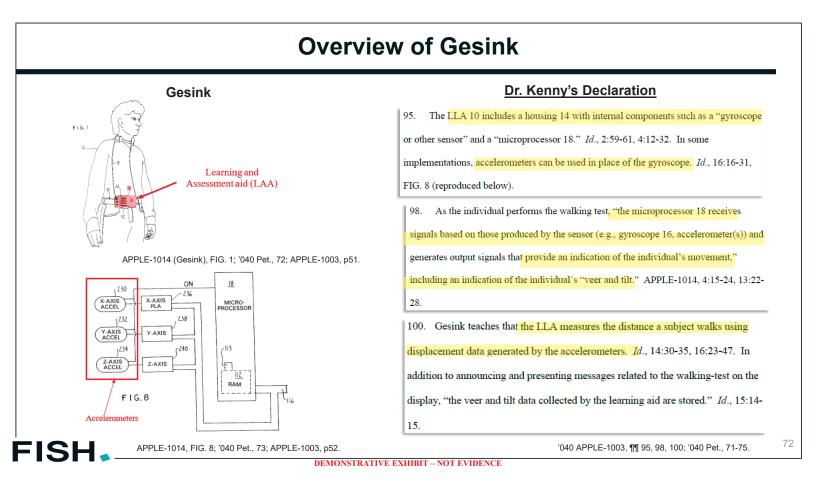
'040 Issue A4

A POSITA Would have been Motivated to Combine Gesink and Raymond

Independent Claim 20 (overlaps with claim 1)

Dependent Claim 25: The method of claim 20 wherein said movement measuring device is an accelerometer.

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A POSITA would have Incorporated Raymond's RTC and Timestamp into Gesink's system

Gesink

In step 172 the microprocessor 18 compares the filtered veer value to the maximum veer value previously entered by the individual 12. If the filtered veer value is greater than the maximum veer value, microprocessor 18 proceeds to execute a step 174 in which an announcement is generated informing the individual 12 that the maximum veer was exceeded and the time into the test at which it was exceeded.

APPLE-1014, 13:53-60.

APPLE-1014, 15:46-50.

turns with some degree of accuracy. Also, by connecting the learning and assessment aid to a computer through data socket 29, an incremental, moment-by-moment analysis of the individual's ambulatory movement can be obtained and recorded. When, for example, this recording is performed for straight-line testing it includes an indication of: the time since the start of the test; the raw, cumulative, veer; the filtered veer; the raw, cumulative, pitch, the incremental change in direction; the incremental change in pitch; and the incremental change in compensation factor used to provide the filtered direction. All of this data can be printed out or displayed in order to enable persons working with the individual 12 using the learning and assessment aid 10 to analyze the individual's ambulatory movement patterns in order to help the individual develop desirable ambulatory motion patterns.

FISH

Dr. Kenny's Declaration

102. A POSITA would have combined Gesink and Raymond to produce the obvious, foreseeable, and predictable result of Gesink's wearable learning aid having a real-time clock for generating time data and supporting the sequencing and time-stamping of data and for the various reasons noted below. '040 APPLE-1003, ¶ 102; '040 Pet., 76.
time data with detected body-motion events. For example, Gesink teaches that when the microprocessor detects that a subject's walking motion has exceeded a maximum veer, it generates an announcement "informing the individual 12 that the maximum veer was exceeded and the time into the test at which it was exceeded."
APPLE-1014, 13:55-59. Gesink also teaches recording an incremental, moment-by-moment analysis of the individual's ambulatory movement including "the time since the start of the test." *Id.*, 15:46-60. That is, all data "regarding the use of the aid 10 by individuals," including the time data, may be stored on the learning aid and "later downloaded to a an [sic] external data storage device." *Id.*, 17:65-67, '040 APPLE-1003, ¶ 104, '040 Pet., 77-78.

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Elapsed Time is "based on ... real-time clock"

Petitioner Reply

LoganTree argues that Gesink and Raymond do not render obvious [20c] because (1) "simply put, elapsed time is not real time, and real-time measurements do not matter when a device is simply calculating elapsed time and synchronization pulses"; and (2) Raymond's RT clock is only associated with subjective user inputs. POR, 44. LoganTree's first argument fails because the elapsed time of a test is *based on* time information provided by a real-time clock. [20c] does not require interpreting physical movement data *in* real time, but cites "based on ... a real-time clock." Because the elapsed test time is determined from the real-time clock, the broad "based on ... real-time clock" claim language is satisfied. APPLE-1014, 13:60-14:2; APPLE-1003, ¶[160].

'040 Pet. Rep., 27.

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Patent Owner Mischaracterizes Raymond

Raymond

The monitor 108 collects objective data on the patient's physical condition via a plurality of automaticallycontrolled physiological sensors. The subjective data logger collects subjective information from the patient by providing the patient with an input device having data prompts such as questions regarding the patient's condition. Within the context of this description, the term "objective" data will refer to that data which is obtained by sensing the patient's physiological parameters. Correspondingly, the term "subjective" data will refer to that data which is input by the patient to the data logger 106. regardless of whether that data pertains to the patient or the patient's environment, and whether or not the information is objective or factual, such as medication dosage or consumption of a particular food.

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APPLE-1009, 5:30-44.

Petitioner Reply

Second, LoganTree's entire argument is based on a mischaracterization of Raymond. LoganTree alleges that "Raymond is designed for *the user to tell the device* about the user's condition." POR, 42-43. But Raymond uses monitor 108 to collect "objective data on the patient's physical condition via a plurality of automatically-controlled physiological sensors," and logger 106 to collect subjective data from a patient. APPLE-1009, 5:12-44. Accordingly, Raymond is not limited to the user telling the device about the user's condition. APPLE-1009, 5:12-44.

'040 Pet. Rep., 26.

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