Petitioner's Demonstratives

Apple Inc. v. MemoryWeb, LLC IPR2022-00031 (US 10,621,228)

Contains Protective Order Materials

37 C.F.R. § 42.5(c)(3)

(3) Late action. A late action will be excused on a showing of good cause or upon a Board decision that consideration on the merits would be in the interests of justice.

Paper 46 at 19-20.

"Patent Owner did not raise the RPI issue in its post-institution Response. We agree with Petitioner that Patent Owner *has thus forfeited any RPI arguments*."

Unified Patents v. JustService.net LLC, IPR2020-01258, 2022 WL 494800, at *1 (PTAB Feb. 16, 2022)

Also Unified Patents Inc. v. Mobility Workx, LLC, IPR2018-01150, 2019 WL 6481774, at *1 (PTAB Dec. 2, 2019); Funai Elec. Co. v. Gold Charm Ltd., No. IPR2015-01468, 2016 WL 7995297, at *22 (PTAB Dec. 27, 2016); Unified Patents Inc. v. Nonend Inventions N.V., IPR2016-00174, Paper 26 at 6-7 (PTAB May 8, 2017)

Paper 46 at 15-16.

MemoryWeb's RPI & Estoppel Arguments in Samsung (September 6, 2022)

"Should the Board determine in a final written decision that Samsung is an unnamed RPI in the Unified IPR, Samsung should be estopped from maintaining the present IPR challenge under Section 315(e)(1)[.]"

Samsung, IPR2022-00222, Paper 19 (PO Response) at 64.

Paper 46 at 6.

"The record overwhelmingly indicates that the **estoppel should apply if the Board rules that Samsung was an unnamed RPI**. For example, like Petitioner here, Unified relied on Okamura as its primary reference. While Unified did not rely on Belitz, Belitz is certainly "published" prior art that Unified could have identified "through prior art searching," just as Samsung had."

Samsung, IPR2022-00222, Paper 19 (PO Response) at 65.

Paper 46 at 6.

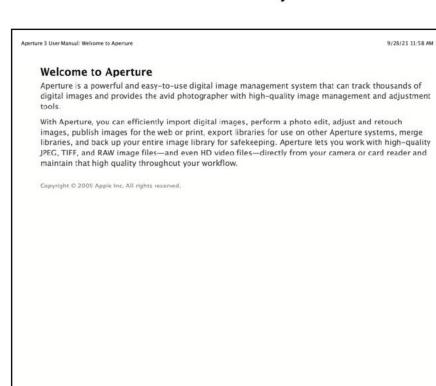
In re Micron Tech., Inc.

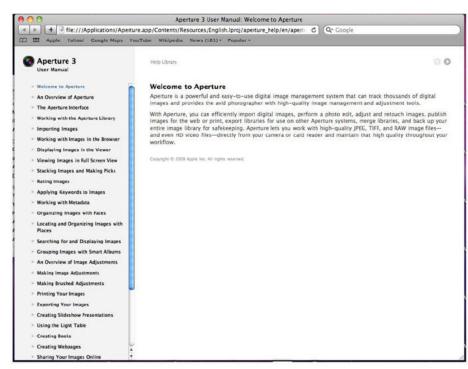
"We also note a scenario that presents at least an obvious starting point for a claim of forfeiture, whether based on timeliness or consent or distinct grounds: a defendant's tactical wait-and-see bypassing of an opportunity to declare a desire for a different forum, where the course of proceedings might well have been altered by such a declaration.

In re Micron Tech., Inc., 875 F.3d 1091, 1102 (Fed. Cir. 2017) (emphasis added).

Paper 46 at 20.

EX1005 – A3UM; EX1003 – Dr. Terveen





EX1003, ¶¶75-76, 94; Petition, 15-16; Reply, 1-2, 8, 13-14; Pet. Opp. Mot. to Exclude, 2

file:///Applications/Aperture.app/Contents/Resources/English.lproj/aperture_help/en/aperture/usermanual/index.html

Page 1 of 1

Petitioner Apple Inc. - Ex. 1005, p. 1

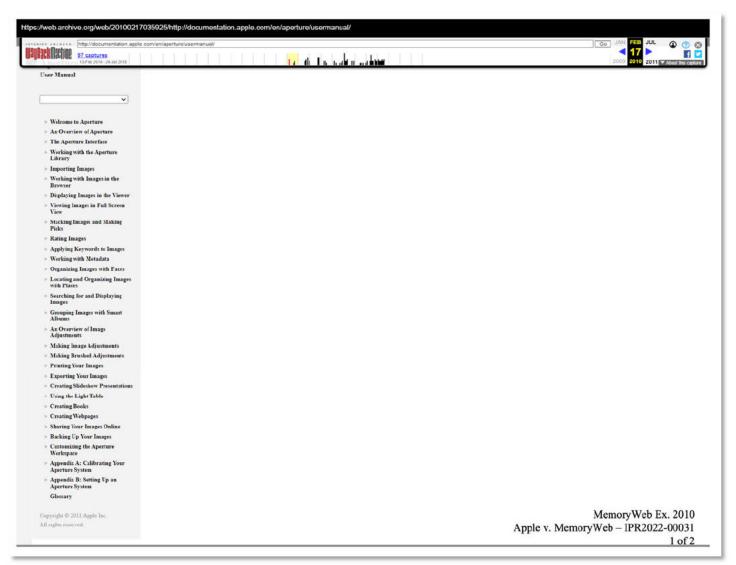
file:///Applications/Aperture.app/Contents/Resources/English.lproj/aperture_help/en/aperture/usermanusl/index.html

Page 1 of 1

Petitioner Apple Inc. - Ex. 1005, p. 1

EX1005, 1; Petition, 25; Reply, 14-15; Pet. Opp. Mot. to Exclude, 8-10

EX2010 – Feb. 17, 2010 Apple.com Archive



EX2010, 1; Pet. Opp. Mot. to Exclude, 5.

MemoryWeb's Original Position on EX1005

"Petitioner has failed to establish that such a POSITA would. Instead, the evidence shows that: (1) a **POSITA** exercising reasonable diligence would not have known to search for Aperture 3 or A3UM, and (2) a **POSITA** exercising reasonable diligence would not have found the website version of A3UM on Apple.com."

PO Response at 17-18.

"Even if Petitioner sufficiently demonstrated the user manual page met the threshold for public accessibility discussed above, Petitioner has not established that the PDF version of A3UM submitted to the Board (Ex. 1005) accurately represents what a visitor to the Aperture 3 user manual page would have seen before June 2010."

PO Response at 23.

Opp. at 6.

Institution Decision re: A3UM

Here, Petitioner submits evidence that A3UM, Apple's Aperture 3 User Manual (Ex. 1005) was publicly available and publicly disseminated as early as February 2010. 4 Petitioner provides the declaration of Mr. Matthew Birdsell (Ex. 1020), a Content Manager at Apple, who testifies that he worked for Apple since 2002 and has been a full-time Apple employee since June 2010. Ex. 1020 ¶¶ 1–2. Mr. Birdsell testifies that he "personally worked on Apple documentation and publications regarding each version of Aperture throughout its lifespan, including Aperture 3." Id. ¶ 2.

In our view, Petitioner provides credible testimonial evidence in the form of Mr. Birdsell's declaration that Apple made the Aperture 3 User Manual (A3UM) available to the public through the Apple website as early as February 2010 in connection with the release of Apple's Aperture 3 product. Mr. Birdsell testifies that he worked for Apple since 2002 and has been a full-time employee at Apple since June 2010. Ex. 1020 ¶ 1. Mr. Birdsell testifies that he has "personally worked on Apple documentation and publications regarding each version of Aperture throughout its lifespan, including Aperture 3," and that he is "personally familiar with the Aperture 3 User Manual that was distributed with the Aperture 3 product," confirming that Exhibit 1005 "is an accurate copy of the Aperture 3 User Manual that was distributed with the initial version of the Aperture 3 product (i.e., version 3.0)." Id. ¶¶ 2, 4.

ID (Paper 12) at 30, 35; Opp. at 6.

35 U.S.C. § 315(d) & (e)

(d) Multiple Proceedings.—Notwithstanding sections 135(a), 251, and 252, and chapter 30, during the pendency of an *inter partes* review, if another proceeding or matter involving the patent is before the Office, the Director may determine the manner in which the *inter partes* review or other proceeding or matter may proceed, including providing for stay, transfer, consolidation, or termination of any such matter or proceeding.

(e) Estoppel.—

(1) Proceedings before the office.—The petitioner in an *inter partes* review of a claim in a patent under this chapter that results in a final written decision under section 318(a), or the real party in interest or privy of the petitioner, may not request or maintain a proceeding before the Office with respect to that claim on any ground that the petitioner raised or reasonably could have raised during that *inter partes* review.

Opp. at 31-32.

Ironburg Inventions Ltd. v. Valve Corp.

"Accordingly, we hold that, provided the other conditions of the statute are satisfied, § 315(e)(2) estops a petitioner as to invalidity grounds a **skilled searcher** conducting a diligent search reasonably could have been expected to discover, as these are grounds that the petitioner 'reasonably could have raised' in its petition."

"If [the skilled searcher] *employed 'scorched earth' tactics* to find the references making up the Non-Petitioned Grounds, then its experience may be *irrelevant* to a determination of what would have been discovered by an *ordinarily skilled searcher acting with merely reasonable diligence*."

Ironburg Inventions Ltd. v. Valve Corp., 64 F.4th 1274, 1298-99 (Fed. Cir. 2023) (emphasis added); Opp. at 4-5.

MemoryWeb's Position Now

"A skilled searcher would have located Aperture 3 and A3UM. Mot., 27-31. The path to locating A3UM is straightforward[.]"

Motion to Terminate Reply at 11.

EX2038 – Director Decision Vacating *Unified* RPI Order

The Board can and should make a determination of the real parties in interest or privity in any proceeding in which that determination may impact the underlying proceeding, for example, but not limited to, a time bar under 35 U.S.C. § 315(b) or an estoppel under 35 U.S.C. § 315(e) that might apply. That is not the situation here. The Board should not have determined whether Apple and Samsung are RPIs in this proceeding given that determination was not necessary to resolve the proceeding.

IV. ORDER

In consideration of the foregoing, it is hereby:

ORDERED that the Board's real party in interest determination in the Final Written Decision (Section I.B.) is vacated; and

FURTHER ORDERED that the Board's Order Identifying Real Party in Interest (Paper 56) is vacated.

EX2038, at 5; Opp. at 15 n.4.

MemoryWeb's Definition of a POSITA (as of June 9, 2011)

IV. LEVEL OF SKILL IN THE ART

Petitioner contends that a person of ordinary skill in the art ("POSITA") "would have had (1) at least a bachelor's degree in computer science, computer engineering, or electrical engineering, and (2) at least one year of experience designing graphical user interfaces for applications such as photo management systems." Petition, 9. For purposes of this proceeding, Patent Owner does not dispute Petitioner's proposed level of skill.

Patent Owner Response, 15; Petition, 9 ("earliest filing date claimed by the '228 patent is June 9, 2011").

Palomar Technologies v. MRSI Systems

"Finally, **every reasonable search** must have a stopping point. Kunin's opinion assumes that a reasonably diligent searcher would have not stopped once Isaacs was located, and would have continued beyond that point. **But without** hindsight analysis, a searcher would have no reason to know that additional helpful references existed and remained undiscovered. Nor, for that matter, would a diligent search necessarily continue if significant prior art had already been located."

"It stands to reason, therefore, that in hindsight there will almost always be a seemingly simple search pathway that could have led a searcher from the patent to the reference."

Palomar Techs., Inc. v. MRSI Sys., LLC, No. 18-10236-FDS, 2020 WL 2115625, *12, 14 (D. Mass. May 4, 2020) (emphasis added); Opp. at 5.

MemoryWeb's Expert (Lhymn) Opining re: Perspective of a Skilled Searcher (as of Sept. 3, 2021)

I. BACKGROUND AND QUALIFICATIONS

- In formulating my opinions, I have relied on my knowledge, training, and experience, which I will summarize below. A copy of my curriculum vitae (CV) is appended to this declaration.
- 6. I am the CEO and Founder of Sherman Patent Search Group ("SPSG"), patent search firm based in Pasadena, California. SPSG is a patent search firm that has technical experience that spans across all technology areas. Currently, I supervise four SPSG employees who run patent research projects.
- I am also currently CEO and Founder of Visualize (VIP), a computer vision A.I. patent search startup headquartered in Pasadena, CA. VIP developed an
- I received a Bachelor's Degree in Mechanical Engineering from Penn State University in 2004.
 - Between 2000 and 2004 is worked an engineer at Bayer Corporation,
 Applied Research Lab (PSU) and Air Products and Chemicals.
 - 10. Between 2004 and 2005, I was employed as a patent examiner in Art Unit 3727 at the United States Patent and Trademark Office. During my time as an examiner, I searched for prior art and issued office actions.

- 11. Between 2005 and 2012, I was employed as a senior patent analyst at Cardinal Intellectual Property Inc. My job responsibilities included performing patent searches, including prior art searches.
- 12. I have extensive experience performing patent searches. Throughout my career, I have personally performed approximately 3,000 searches. I have managed or supervised approximately 7,000 additional patent searches. I have personally performed more than 500 patent searches in the software field. The prior art searches include invalidity, clearance, and patentability searches.
- 13. Based on my education and experience, I am qualified to render opinions on prior art invalidity searches and prior art in the software field, including the prior art at issue here.
 - 1 Q. So what standard do you use to
 - 2 judge whether a search is reasonably
 - 3 diligent?
 - 4 A. So as a skilled searcher, we
 - 5 often -- patent searching is a very complex
 - 6 art form. So it does require dynamics, it
 - 7 requires responding to references that
 - 8 you're seeing, adjusting and iterating; for
 - 9 a skilled searcher to know when a diligent
 - 10 search should, for instance, stop, where
 - 11 reference is located, are the references
 - 12 converging, are we seeing the same
 - 13 references over and over again, are
 - 14 references becoming divergent, becoming
 - 15 more and more irrelevant, away from the
 - 16 core of what we're searching for.

Mr. Lhymn Did Not Use PatWorld in 2021

```
10
            Now, you testified that an
    ordinarily skilled searcher in 2021 based
    on your experience would have used PatWorld
    and that it worked in materially the same
    way on or before September 3, 2021,
15
    correct?
16
       A. Correct.
17
       Q. But your search firm didn't
    actually use PatWorld in 2021, did it?
       A. Back in 2021 we did not. It
19
20
    was readily available at that time. It's
    very common for patent searchers to try out
    different tools over time.
22
23
       Q. And you didn't have experience
24
    with it back in September 2021 personally.
25
       A. I had heard of it at that time.
   We had not subscribed to it or secured a
    license to it at that time.
3
       Q. So you had not used it.
4
       A. Correct. At that time I had
   not used it.
```

23. Specifically, I have been asked to provide an opinion as to whether the A3UM and Belitz references detailed below would have been located by an ordinarily skilled searcher's reasonably diligent search on or before September 3, 2021 (the "Timeframe" or relevant timeframe) by someone searching for prior art in the technical field of the '228 patent.

38. I conducted an investigation in September 2023 to determine whether A3UM and Belitz would have been identified by an ordinarily skilled searcher through reasonable diligence in the Timeframe using available prior art searching resources. As part of this investigation, I ran search strings that a skilled searcher would have generated to identify relevant prior art references for claims 1-19 of the '228 patent through prior art searching tools available and widely used by prior art searchers in the Timeframe (e.g., Patworld, Google, Wayback Machine, and eBay). Based on my experience, all of the search tools used, including Patworld, Google, Wayback Machine, and eBay, work in materially the same way as they did during the Timeframe.

EX1115 at 62:10-63:5; Opp. at 9-10; EX2111 ¶¶ 23, 38.

Mr. Lhymn's Assignment Was Based on Hindsight

Is it your understanding that 13 the opinion you're giving here is about what would be a reasonably diligent search in the context of an invalidity search? A. My search strings only relate to whether a skilled searcher would have located the references Q. And the references are meant to 20 do what? What's their relationship to the 22 '228 patent? 23 The references were provided to me in that those were references that as a skilled searcher, can they be found by a skilled searcher of ordinary skill in a reasonably diligent search within the time frame. That was my assignment.

16. I further understand that one way of showing an ordinarily skilled searcher's reasonably diligent search is to identify the relevant search string and search source that could identify the allegedly unavailable prior art and explain why such a criterion would be part of a skilled searcher's diligent search.

EX1005 (A3UM) vs. EX2107 (Lhymn "Search Result")

EX2107 EX1005 Aperture 3 User Manual: Welcome to Aperture 9/28/21 11:58 AM Welcome to Aperture Aperture is a powerful and easy-to-use digital image management system that can track thousands of digital images and provides the avid photographer with high-quality image management and adjustment With Aperture, you can efficiently import digital images, perform a photo edit, adjust and retouch images, publish images for the web or print, export libraries for use on other Aperture systems, merge libraries, and back up your entire image library for safekeeping. Aperture lets you work with high-quality JPEG, TIFF, and RAW image files—and even HD video files—directly from your camera or card reader and maintain that high quality throughout your workflow. Copyright © 2009 Apple Inc. All rights reserved. Copyright © 2012 Apple Inc. All rights reserved. Aperture 3 Copyright © 2009 Apple Inc. All rights reserved. User Manual MemoryWeb Ex. 2107 Page 1 of 1 file:///Applications/Apenture.app/Contents/Resources/English.lproj/aperture.help/en/aperture/usermanual/index.html Apple v. MemoryWeb - IPR 2022-00031 Petitioner Apple Inc. - Ex. 1005, p. 1

Opp. at 8.

Mr. Lhymn's Patworld Search Did Not Identify A3UM

```
Okay, so you agree that the
   search results in Exhibit 2100 do not
5 include the document that is Exhibit 1005,
   right?
6
           The search results in Exhibit
8 2100 show the Salvador reference, the '543
9 reference, which cites, based on my
10 declaration -- let me just pull that up so
11 I get the exact title correct. The Apple
12 Computer, the technical manual for Aperture
13 Getting Started from 2006.
14
       Q. Which is not A3UM, right?
15
           Those two documents are
16 different, correct.
       Q. And so the list of 141 search
17
18 results does not include the document that
19 is Exhibit 105, A3UM. You agree, right?
20
       A. That's correct.
```

EX1115 at 121:3-20; Opp. at 7, 12.

Mr. Lhymn Did Not Find A3UM (Copyright 2009)

```
Q. All right, Mr. Lhymn, in your
   Exhibit 2105 we talked about the "view as
   PDF" link, correct?
       A. Yes.
           What's the number of the
   exhibit that results if you click on that
   link in 2105?
10
       A. I believe that's 2107.
11
            Looking at 2107, Mr. Lhymn,
12 will you open that up, please.
13
       A. Would you mind dropping that in
14 the chat?
       Q. Sure. Let me know when you can
16 see it, Mr. Lhymn.
17
       A. I have it.
       Q. Looking at the second page of
19 Exhibit 2107, Mr. Lhymn, what's the
   copyright date on that document?
       A. At the bottom of page 2?
21
22
           We're looking at Exhibit 2107,
23 at the top of page 2. Let's make sure
    we're looking at the same document.
25
       A. I have the wrong exhibit up,
  I'm sorry.
           Okay, 2107. 2012.
```

```
Q. Mr. Lhymn, do any of the
exhibits to your declaration contain a copy
of A3UM with a 2009 copyright date?

A. I need to double-check that.
Can you repeat the question one
more time?

MR. BAUGHMAN: Sue, can you
read that back, please.
(Whereupon, the referred to
question was read back by the
reporter.)

A. No, I don't believe so.
```

EX1115 at 135:22-136:8; Opp. at 7, 9.

EX1115 at 137:3-138:2; Opp. at 7.

Lhymn Deposition: Found a Different Document Than EX1005

```
Now, Mr. Lhymn, can I ask you
   to look at your Exhibit 2107 again, page 2.
      A. Yes.
      Q. Does it have a copyright date
  of 2012?
      A Yes
      Q. I'd like you to look at Exhibit
   1005, A3UM.
10
       A. Yes.
11
           Does it have a copyright date
12 of 2009?
       A. That's correct.
13
25
           Mr. Lhymn, is Exhibit 2107 the
1 same document as A3UM, which is Exhibit
   1005?
3
      A. I can confirm the dates are
   different between the two documents.
      Q. So they contain different
  information, right? They contain different
   dates.
      A. I can confirm the dates are
   different.
```

```
Q. Mr. Lhymn, you testified that
Exhibit 2104 indicates to a skilled
searcher that the Apple Aperture manual was
archived as early as February 13, 2010, but
you would agree that Exhibit 2107 is not a
copy of a manual archived on February 13,
22 2010, correct?
A. That is correct.
```

```
Q. Mr. Lhymn, 2107 and A3UM,
10 Exhibit -- sorry. Exhibit 2107 and A3UM,
    Exhibit 1005, have different copyright
12 dates, correct?
       A. Yes, they do.
       Q. In that sense they're different
15 versions.
           MR. CHRISTOPHER: Objection.
16
       A. I can confirm the dates are
18 different.
       Q. And so you got to Exhibit
20 2104 -- excuse me, 2107 from Exhibit 2104,
21 right? That's the path you take in
22 paragraphs 45, 46, 47 and 48, correct?
23
       A. Yes. Ultimately from 2104 we
24 end up at 2107.
       Q. And the copyright date on 2107
1 is 2012, right?
       A. That is correct.
```

EX1115 at 141:2-13, 141:25-142:9, 158:16-23, 157:9-158:2; Opp. at 7, 9.

Lhymn Deposition: EX2107 Is After Critical Date

```
18
       Q. Mr. Lhymn, did you apply a date
                                                          20
                                                                       If you could take a look at
19 restriction in your declaration?
                                                               your paragraph 48, please, we've been
20
       A. It's common for a skilled
                                                              talking about Exhibit 2107 that has a 2012
21 searcher to apply date restrictions based
                                                              copyright date, right?
                                                          23
22 on critical date given to us by the client.
                                                                  A. Yes.
                                                          24
       Q. And did you do so?
23
                                                                       That 2012 document with a
24
           I need to -- I'm going to
                                                              copyright -- sorry, the document with a
25 reference my exhibit again.
                                                              2012 copyright date was archived, according
           I did do so, yes.
                                                             to the link you clicked, in 2017, right?
       Q. Now, Mr. Lhymn, can I ask you
                                                                 A. That is correct, with an
   to look at your Exhibit 2107 again, page 2.
                                                              earliest date of October 11, 2011.
4
       A. Yes.
                                                                  Q. October 11, 2011 is after the
       Q. Does it have a copyright date
                                                              date you have argued is the earliest
   of 2012?
                                                              priority date for the '228 patent, right?
       A. Yes.
                                                           9
                                                                      MR. CHRISTOPHER: Objection to
       Q. I'd like you to look at Exhibit
                                                          10
                                                                   form.
   1005, A3UM.
                                                          11
                                                                  A. It is correct that this date is
10
           Yes.
                                                          12 after the critical date.
11
            Does it have a copyright date
                                                          13
                                                                  Q. The earliest date, October 11,
12 of 2009?
                                                               2011 that you just testified about, is
13
           That's correct.
                                                              after June 9, 2011 that you reference in
                                                          15
                                                          16 paragraph 37 of your declaration.
            So they contain different
5
                                                          17
                                                                       That is correct.
6 information, right? They contain different
   dates.
           I can confirm the dates are
   different.
```

EX1115 at 140:18-141:13, 142:5-9, 142:20-143:17; Opp. at 9.

MemoryWeb's Reply

- A skilled searcher would have learned of Aperture by reviewing the references on the face of the '228 patent or running keyword searches (EX2111, ¶¶34-35, 41-42, 51);
- A simple Google search for the Aperture manual yields a webpage literally titled "How to find the Aperture User Manual" with a link to a Wayback Machine capture of the "index" page on apple.com (EX2111, ¶¶43-45; EX2102; EX2103; *cf.* EX2104 and EX1021, 6);
- The searcher would know a physical copy could be obtained via eBay (EX2111, ¶50); and
- Once an Aperture 3 DVD was acquired (as both parties did in this case), the searcher or a POSITA (e.g., Unified's expert) could access the manual (Mot., 28-29).

MW Reply at 12.

MemoryWeb's Reply

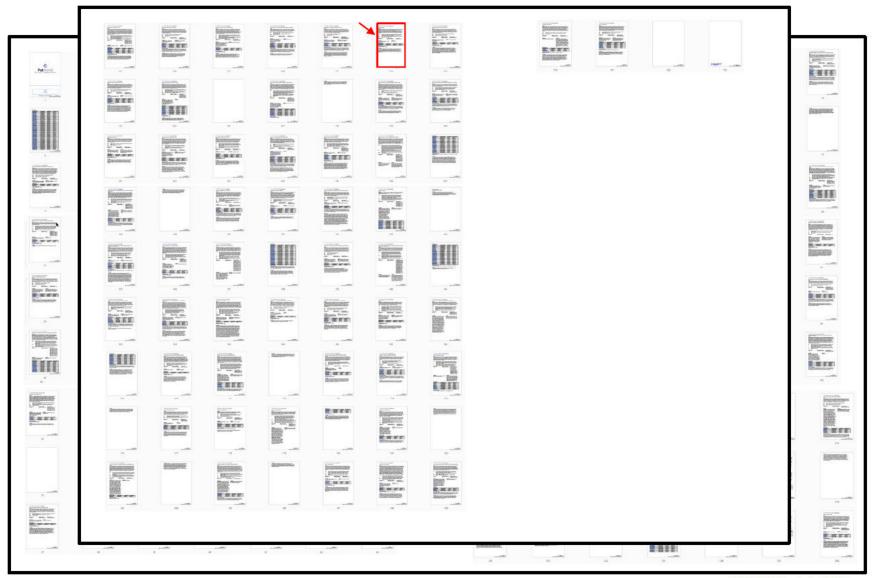
Apple's suggestion that a skilled searcher would not have located A3UM on the DVD (Opp., 11 n.2) is also inconsistent with its argument that "knowledge of the existence of A3UM cannot be disputed" because "falnyone . . . could access and inspect A3UM using the Aperture help window" (Reply, 2-3). Apple also argued a POSITA could locate the HTML files on the DVD. Petition, 1, 14-17; Reply, 2-3, 7-14. In fact, Apple argued that "[t]he artisan would expect an application's help files in HTML format would be in the Resources subfolder . . . and could be opened with a web browser" and that "installing Aperture 3 from the v3.0 Aperture installer DVD is trivial." Reply, 12-13.

MW Reply at 14-15.

Lhymn Deposition: Hindsight Search Methodology

```
15
                                                                And you agree that PatWorld
            And I believe your testimony in
5
                                                       does relevance ranking, right? That's how
   your declaration is that Exhibit 2100 is
                                                       it sorts results, unless you choose to do
   the results of a search that you ran,
                                                       it by title.
                                                   18
   correct?
                                                   19
                                                           Α.
                                                               They do have a relevance
            That is correct.
9
                                                   20
                                                       ranking.
10
            And I think there are 141
                                                   21
                                                                Okay, so you'd expect the lower
    results, but you can check me.
                                                       numbers, like 1, to be more relevant than
12
            That is correct.
                                                       the higher numbers, like 141, right? Based
            And you give testimony in your
                                                       on your search.
                                                   24
    declaration about the 100th result on this
                                                           A. I believe that's what PatWorld
                                                   25
    list, right?
                                                       aims for.
            That is correct
16
17
             That's the Salvador patent that
                                                   13
                                                           Q. So you just kept looking until
    you talk about?
                                                       you can find anywhere in the search results
            Correct. Exhibit 2101.
19
                                                       something that you could argue pointed to
20
             Mr. Lhymn, when you were
                                                   16
                                                       the A3UM document?
    looking at the results -- you did look at
                                                   17
                                                               MR. CHRISTOPHER: Objection to
    these results in Exhibit 2100, right?
                                                   18
                                                           form.
23
       Α.
            Yes.
                                                               My task was to determine if a
             When you were looking at the
24
                                                        skilled searcher -- if an ordinarily
    results between the first result and the
                                                        skilled searcher performing a reasonably
    100th result, what was your assessment
                                                       diligent search in the time frame would
   about whether the results were converging
                                                       have located the Belitz reference in the
   or diverging?
                                                       Aperture 3 manual. My task was not to show
       A. I did not take notes on that.
4
                                                       every other reference that could be
   I do not know.
                                                        relevant. That was not my task.
```

EX2100 - Mr. Lhymn's PatWorld Search



Mr. Lhymn's PatWorld Search

Q. And by the time an ordinarily skilled searcher reached this result in the relevance ranked list that PatWorld provides in Exhibit 2100, they would have looked at 99 other patents and the references that they cite, right?

A. Correct.

EX1115 at 89:10-16, 82:18-83:25; EX2100 at 132; Opp. at 10.

```
18
       Q. By the way, just to be clear,
   you don't talk at all about results 1
    through 99 in your declaration, do you?
21
       A. No. I do not detail those
22 references.
       Q. You don't just not detail them,
23
    you don't say a word about them, do you?
       A. No, I do not. My -- again, my
25
   task was to determine if an ordinarily
   skilled searcher performing a reasonably
   diligent search in the time frame would
   have located Belitz in the Aperture 3
   manual. My assignment was not to discuss
   or analyze every single reference that
   appears in any of the search results.
      Q. But your testimony is that a
9 reasonably skilled searcher would have
   reviewed these as part of a reasonably
11 diligent search.
       A. Yes. At least reviewing the
12
13 information presented in Exhibit 2100,
14 correct.
       Q. So that would include the first
16 hit, which is Exhibit 1106, right?
17
       A. Exhibit 1106 --
            Sorry, that's the patent that
19 ends in '354.
20
       A. Yes, this was the first hit in
    Exhibit 2100.
22
       Q. So as part of a reasonably
    diligent search, an ordinarily skilled
    searcher would review that.
25
       A. Yes.
```

Mr. Lhymn re: When to End a Reasonably Diligent Search

```
Q. Do the results of the search
                                                          8
       Q. So what standard do you use to
                                                             dictate when it's finished, in your mind?
    judge whether a search is reasonably
                                                         10
                                                                 A. That can be one factor, of
3
    diligent?
                                                         11
                                                             course.
4
            So as a skilled searcher, we
                                                         12
                                                                      What are the other factors in
                                                                 O.
    often -- patent searching is a very complex
                                                         13
                                                              deciding that a search is concluded?
    art form. So it does require dynamics, it
                                                         14

 A. Well, as I stated previously, a

    requires responding to references that
                                                         15
                                                              skilled searcher will actively and
    you're seeing, adjusting and iterating; for
                                                              dynamically adjust the search, respond to
    a skilled searcher to know when a diligent
                                                              the references being presented to the
    search should, for instance, stop, where
                                                              searcher during the search, whether the
    reference is located, are the references
                                                              references are converging, repeating
    converging, are we seeing the same
12
                                                             themselves, seeing the same references over
    references over and over again, are
                                                              and over again. Whether the references are
    references becoming divergent, becoming
                                                              diverging based on certain search strings.
    more and more irrelevant, away from the
16
    core of what we're searching for.
                                                         20
                                                                 Q. Mr. Lhymn, when you were
17
            Those are some of the
                                                              looking at the results -- you did look at
    qualitative standards that a skilled
                                                             these results in Exhibit 2100, right?
    searcher uses to determine what is a
                                                         23
                                                                      Yes.
20
    diligent search.
                                                         24
                                                                      When you were looking at the
21
             Mr. Lhymn, do you speak in your
                                                              results between the first result and the
22
    declaration about -- in your opinions about
                                                             100th result, what was your assessment
    a search for the '228 patent whether
23
                                                             about whether the results were converging
    references were converging or diverging in
                                                             or diverging?
    the searches you did?
25
                                                                 A. I did not take notes on that.
       A. No.
1
                                                             I do not know.
```

EX1115 at 24:1-25:1, 50:8-22, 51:20-52:5; Opp. at 12.

Mr. Lhymn: Scope of a Reasonable Search

```
Q. Would you agree that an
ordinary skilled searcher would typically
expect to review a thousand or more
references generated by reasonable search
strings?
A. Yes.
Q. Would you agree that they would
do that in an effort to review the most
relevant -- to find the most relevant prior
art references?
A. Yes.
```

EX1115 at 68:15-25; Opp. at 10.

```
17 Q. And you did that for each of
18 the results, 1 through 99 in this case?
19 A. Yes. Yes. The number of
20 search hits in this particular search
21 string is 141. That's very low. That's a
22 very low number for a skilled searcher to
23 review. I have reviewed search strings
24 upwards of 1,000 hits or more.
```

EX1115, 53:17-24; Opp. at 10.

EX2100 – Mr. Lhymn's Alleged Search Methodology

- Q. Thank you. Is it U.S. Patent 9.767.354? 21 22 A. Yes. 23 Do you agree that that's the patent that is the first hit from the PatWorld search report that is Exhibit 1 2100? 2 Yes. A. How many references does it cite on its face? I don't know the total count. Is it a lot? A. I'm sorry, was there a question? Yes. Is it a lot? 10 A. Yes, I would say it's a lot. Q. In fact, there are nine pages 11 of references here, right? 12 A. Yes. 13
- SSEC USIN

 SSEC U

al e	Enginino / mogoa oca				
	6 (Whereupon, Google Patents				
	7 Screenshot was marked as Exhibit 1107				
	8 for identification as of this date by				
	9 the Reporter.)				
	 Q. If you could please open that 				
	11 up and let me know when you've got it,				
	12 Mr. Lhymn. Thanks.				
	 A. I have it in front of me. 				
	14 Q. Great. Do you recognize the				
	15 format of the page before you?				
	16 A. It appears to be a Google				
	17 Patent screenshot.				
	18 Q. Have you seen the sort of				
	19 rectangle of information that's on the				
	20 right, even if it's not that specific				
	21 information before?				
	22 A. I have seen that.				
	* * *				
	Q. You see there it says, "Patent				
	3 citation 695, non-patent citation 161." Do				
ń	4 you have any reason to doubt that that's an				
П	5 accurate number of patents that are cited				
П	6 and non-patent literature that's cited in				
П	7 this patent?				
П	8 A. I would have no reason to doubt				
П	9 that's correct.				
П					
П	22 Q. So that would be 856, if you				
П	23 add those two together, 856 references				
П	cited on the face of the first hit in the search report, right?				
П					
П					
П					
	 3 suggest the reasonably diligent search 4 would require reading all of them, right? 				
	5 And after that the references cited on the				
100	6 face of those 856 documents, correct?				
	o race of those 650 documents, correct?				

```
(Whereupon, Google Patents
18
        Screenshot, U.S. Patent 10,003,762
        was marked as Exhibit 1109 for
        identification as of this date by the
        Reporter.)
       Q. If you could open that up, it
   should be a little quicker.
24
       A. Okay, I have it up.
       Q. Do you recognize that that
   appears to be a Google Patent search screen
   for the same patent number as the second
   hit on Exhibit 2100, and that is
  10,003,762?
       A. Yes.
       Q. And do you see on the
   right-hand side there's a box there again
   with information about the number of patent
   citations and non-patent literature
   citations?
11
       A. Yes.
       Q. And those numbers are 660.
12
   patent citations and 106 non-patent
   citations, is that right?
15
       A. Correct.
       Q. You don't have reason to
17 dispute the count that Google has for
18
   those.
19

 I don't have reason.

       Q. And you can probably do the
21 math better than I do, but I think those
   add up to 766 references cited, is that
23
   right?
24
       A. Correct.
```

EX1115 at 78:20-79:13, 80:6-82:7, 87:17-88:24, 89:10-16, 81:22-82:7, 82:18-22; Opp. at 10.

A. Correct.

EX2100, Entry 100

100.F#:38878415 | Publication Number: US2008005771A1

Title: Displaying images

Abstract:

Displaying an image is described. A method for displaying an image includes determining, for each of multiple images, metric associated with the image. The multiple images are displayed in a view in which each of the multiple images is associated with at least one visually perceivable attribute that reflects at least the determined metric. The attributes that reflect the metrics are logically independent of the metrics. In one implementation, the metric relates to a significance value associated with the image. In one implementation, the visually perceivable attribute relates to a size with which the multiple images are displayed in relation to each other.

Assignee(s): Inventor(s):

Apple Computer; Apple Computer Inc California; Salvador Richard H

Apple Inc; Salvador Richard H

Family Members:

Publication Number	Application Number	Publication Date	Application Date
US2008005771A1	US20060478761	2008-01-03	2006-06-29
US7859543B2	US20060478761	2010-12-28	2006-06-29

Family Designated States:

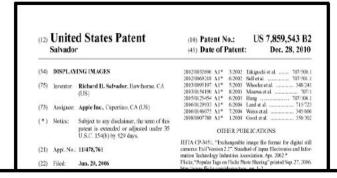
No designated states currently available

First Claim:

1. A method for displaying images, comprising: determining, for each image of a plurality of images, a value associated with said image, wherein said value is associated with a metric; concurrently displaying a plurality of display-images that correspond to said plurality images; wherein each display-image possesses a visually perceivable attribute that reflects the value of the metric for the image that corresponds to the display-image; andwherein said at least one attribute is logically independent of said at least one metric.

Salvador (EX2101)

Salvador (EX2101)



OTHER PUBLICATIONS

JEITA CP-3451, "Exchangeable image file format for digital still cameras: Exif Version 2.2", Standard of Japan Electronics and Information Technology Industries Association, Apr. 2002.*

Flickr, "Popular Tags on Flickr Photo Sharing" printed Sep. 27, 2006, http://www.flickr.com/photos/tags. pp. 1-2.

A ==1 Carrenter Lag Tacketer

%22%22, pp. 1-222.

Apple Computer, Inc. Technical Manual, "Aperture Getting Started" 2006, http://manuals.info.apple.com/en/
Aperture_Getting_Started.
pdf#search=%22%22Introducing%20Aperture%20%22%20%2
B%22Learning%20About%20the%20Aperture%20Interface

1 Q. Did you ever try this link, 2 Mr. Lhymn? 3 A. I did not cut and paste the

A. I did not cut and paste that link into a browser.

Q. Did you type it into a browser?

A. I did not.

5

16

25

Q. Now, you've used Wayback Machine in this declaration, correct?

A. Yes.

10 Q. Did you ever try dropping that11 address into Wayback Machine?

12 A. I did not. For the simple

13 reason that searching in Google for Apple14 Aperture manual produced the first hit

15 being "how to find the Aperture manual."

Q. So if you take the address on the face of Salvador next to this document and you search on it in Wayback Machine you

19 can get it. 20 A. Th

20 A. That is correct. That is one option.

Q. And you agree that this
 document is not what you refer to as A3UM,
 correct?

A. Correct.

Q. So simply from the face of Salvador you could retrieve this document

without further searching in Google.

A. Yes, by cutting and pasting the

URL. This is one option a skilled searcher could take. Again, a skilled searcher has

often multiple options in terms of how to

proceed with a search.

EX1115 at 97:1-15, 101:16-102:8, 148:11-18; EX2101; Opp. at 10-11.

Q. And just to be clear, that

refer to Aperture 3, correct?

I don't know.

appears in that "getting started"

A. That is correct.

that we looked at?

14

15

17

document

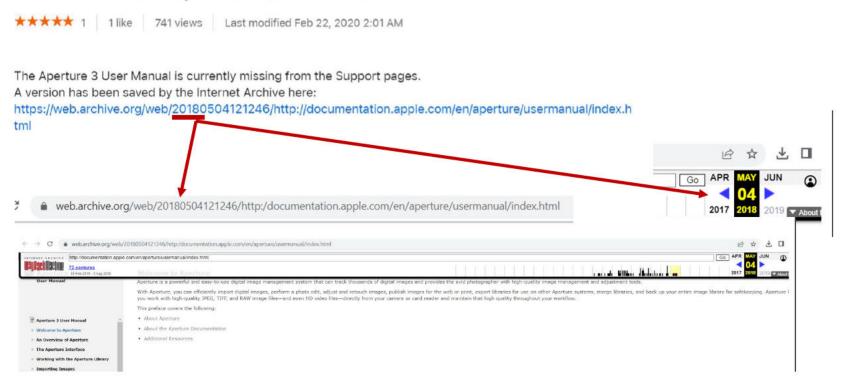
citation on the face of Salvador does not

Q. Do you know if Aperture 3 even

Exhibit 2103 and 2104 – Mr. Lhymn's 2018 Capture

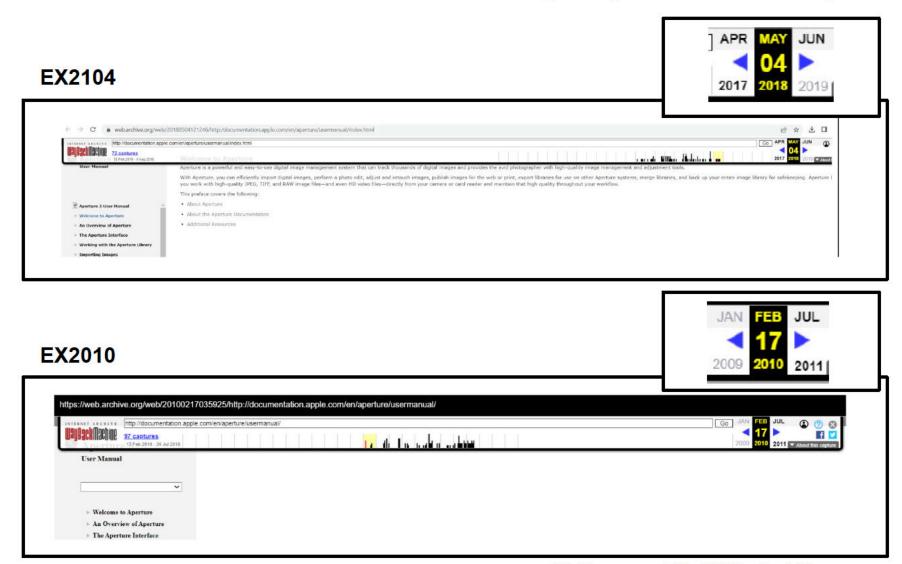


How to find the Aperture User Manual



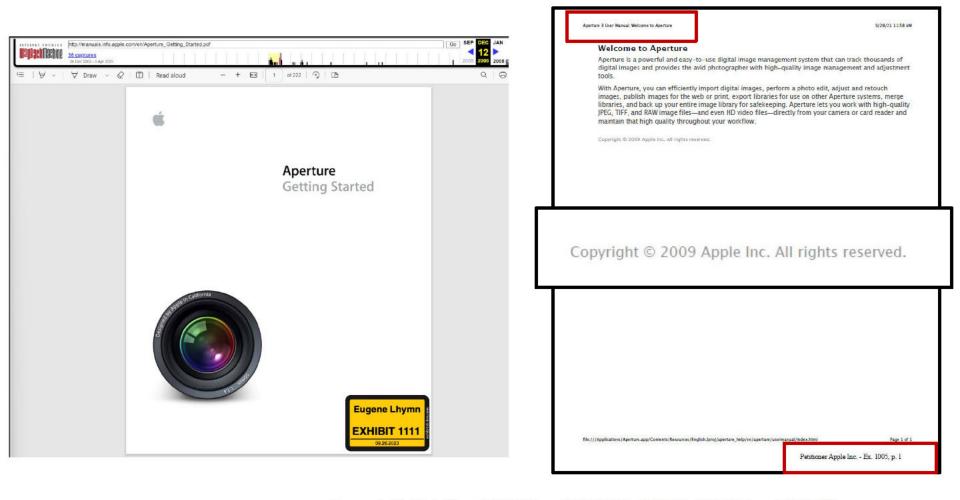
EX2103; EX2104; MW Mot. at 30; MW Reply at 12.

Exhibits 2104 and 2010 Are Meaningfully Different Captures



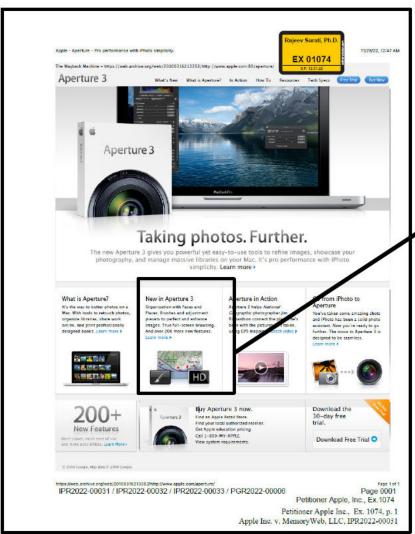
PO Response at 26; MW Reply at 12.

EX1111 (Aperture Getting Started) Is Not EX1005 (A3UM)



Opp. at 10-11 (citing EX1005 and EX1115 at 99:22-101:25 (re: EX1111));

EX1074 (Aperture 3)



New in Aperture 3

Organization with Faces and Places. Brushes and adjustment presets to perfect and enhance images. True full-screen browsing. And over 200 more new features.

Learn more ▶



MW Motion to Terminate Reply at 10.

EX1077 (Macworld, Apple Releases Aperture 3)



Macworld

- Feb 9, 2010 8:40 am
- O Comments
- O Recommendations

•

ShareThis

Apple releases Aperture 3

MW Reply at 10.

Mr. Lhymn's Search - eBay

50. Additionally, as discussed above, a skilled searcher of ordinary skill conducting a reasonably diligent search in the Timeframe would be aware that physical copies of older technical manuals are easily accessible online, via eBay. Physical copies of technical manuals obtained directly from their source can be ideal to a skilled searcher so as to ensure the technical manual is complete. Running the search string "Apple Aperture Manual" in eBay returns numerous listings of Aperture 3 installation DVD's, which contain the official technical manual of Aperture 3. Based on my experience, eBay works in materially the same way as they did during the Timeframe. Ex. 2109 shows that Aperture DVDs were indeed available during the relevant Timeframe.

EX2111, ¶ 50; Opp. at 11.

Mr. Lhymn's Search - eBay

```
21
             Mr. Lhymn, in paragraph 50 you
                                                            Mr. Lhymn, you don't know
                                                 4
    testify that an ordinarily skilled observer
                                                     whether any of these items in Exhibit 2109
    performing a reasonably diligent search
                                                     were actually listed on Ebay on or before
    would know about Ebay as a source of
                                                     September 3, 2021, do you?
prior
                                                             If you go to the top of Exhibit
25
    art, right?
                                                     2109, Ebay does not offer a functionality
      A. Yes.
                                                     where we can search according to the date.
            And then you ran a search
                                                     But if you scroll down through the 11 pages
    string Apple Aperture manual, correct?
                                                     of this exhibit, you can see the months
            That's correct.
4
                                                     moving in chronological order.
                                                 13
5
            It looks different than the one
                                                              So which item here is it that
                                                 14
6
    we just talked about in 49, correct?
                                                     you're relying on for before September
       A. That's correct.
                                                 16
                                                     2021?
8
            But the same as the one in 43,
                                                 17
                                                             Again, as a skilled searcher,
9
    right?
                                                 18
                                                     Exhibit 2104 shows us the Apple Aperture
10
            Yes.
                                                     manual was available and archived as early
11
            And it was similarly motivated,
                                                 20
                                                     as February 2010. That provides an
    in your opinion, by the search for the
                                                     indication to a skilled searcher to pursue
                                                 21
    document listed on the face of Salvador,
                                                     the manual and present that to the client.
14
    right?
15
       A. That's correct.
```

EX1115 at 152:21-153:15, 161:4-22; Opp. at 11.

Mr. Lhymn Did Not Obtain or Inspect Any DVDs From eBay

```
Is your testimony that you know
6
                                                              I think you're testifying that
   it was A3UM with a copyright date of 2009
                                                      a reasonably -- excuse me, an ordinarily
   that was contained on any of the DVDs
                                                     skilled searcher performing a reasonably
   listed in Exhibit 2109?
                                                     diligent search would have retrieved items
10
       A. Based on Exhibit 2104, that
                                                     from Ebay, including from this list in
   told us that the Apple Aperture manual was
                                                      2109, right?
12 archived as early as February 13, 2010.
                                                  8
                                                         A. Yes.
13 It's outside of the purview of a skilled
                                                  9
                                                         Q. But you don't know what they
14 searcher to make a determination whether a
                                                      would have found if they did that, correct?
15 reference is prior art. That gave us
                                                  11
                                                         A. Without physically obtaining
16 enough indication to -- where a skilled
    searcher would pursue obtaining physical --
                                                  12
                                                      the DVDs.
    a physical DVD, an installation DVD of
                                                  13
                                                               And you didn't do that.
    Aperture 3 in this regard.
                                                             In this case we did not. That
                                                  14
20
            Did you do that?
                                                      was outside the scope of my project.
    A. I did not do that in this case.
21
   I did not order a physical DVD.
```

EX1115 at 155:6-22, 159:2-15; Opp. at 11.

MemoryWeb's Statements About the Aperture DVD

Petitioner does not contend that the installation

DVD included any search functionality for locating the HTML file set. Dr. Terveen's suggestion that a POSITA would somehow look for hidden files, locally save and decompress one, then navigate through numerous sub-folders is implausible and does not satisfy the requirements of public accessibility. Ex. 1003 at ¶ 93. A physical analogy would be requiring a person to know about a hidden section of a library (the *pkg. files), move a portion of the hidden library section to another location (decompressing the Archive.pax.gz file), then navigate through thousands of shelves to collect 746 books (the HTML file set).

In sum, Petitioner cannot demonstrate that a skilled artisan exercising reasonable diligence would locate the HTML file set on the Aperture 3 installation

DVD.

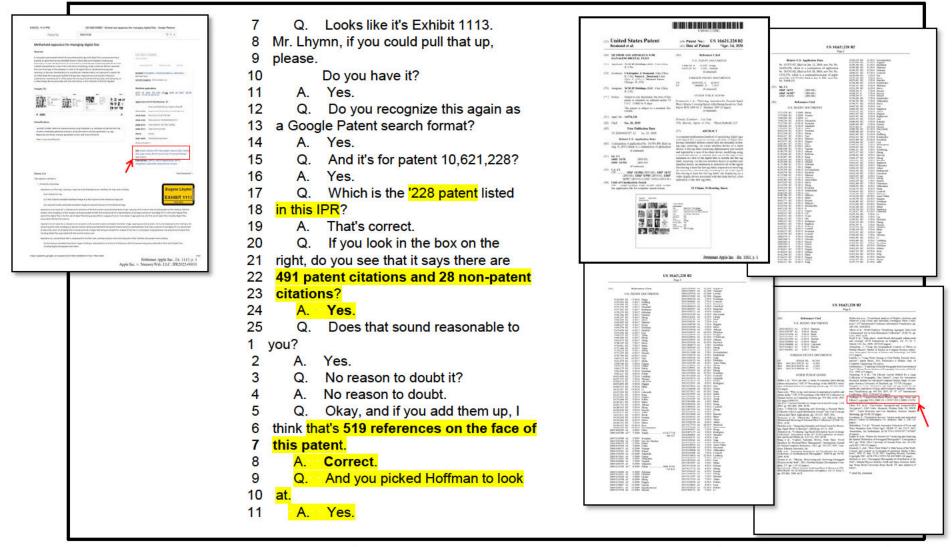
POPR (Paper 8) at 31; Opp. at 8.

MemoryWeb's Statements About the Aperture DVD

Without means to search by subject matter, one would have to take several actions to locate the HTML file set on the Apple website, similar to how one would have to scour the installation DVD (supra § VIII.A.1.a). First, one would have to visit apple.com and select "Introducing Aperture 3" on the homepage. Ex. 1020 at ¶ 19. Petitioner's Wayback Machine printout for the homepage is dated February 17, 2010. Ex. 1021 at 1. However, all references to Aperture 3 were removed from the homepage less than a month later, so there was only a limited window where this was possible. Cf. Ex. 1021 at 1 (Feb. 17, 2010) and Ex. 2013 (Mar. 12, 2010). In any event, a person would still have to navigate through at least four more pages to reach the manual. Ex. 1003 at ¶ 101; Ex. 1020 at ¶ 19.

POPR (Paper 8) at 43, Opp. at 8.

EX1115 - Lhymn Deposition re: Hoffman



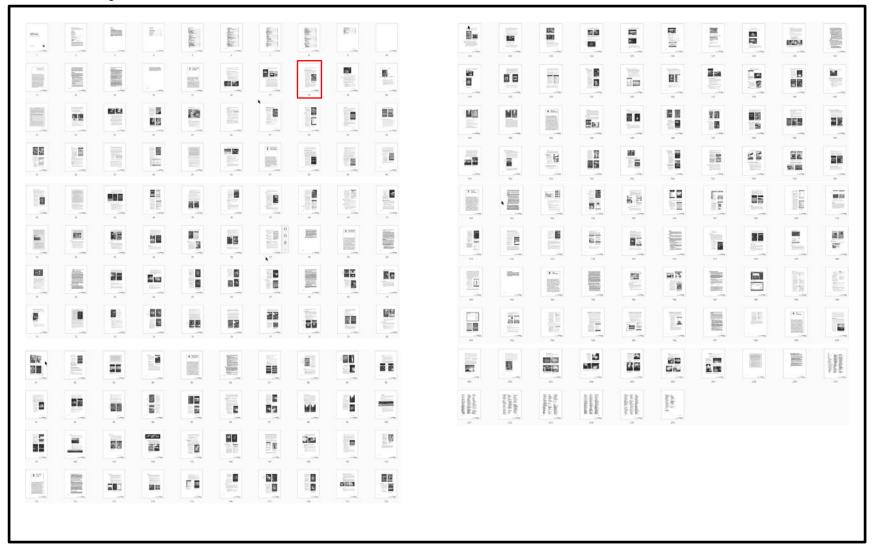
EX1115 at 162:9-17, 163:7-13, 164:1-8, 165:7-166:11; Opp. at 11; EX1113; EX1001 at 1-4.

EX1115 – Lhymn Deposition re: Hoffman

```
How many pages is Hoffman,
19
       Q.
   Mr. Lhymn?
20
21
           According to my PDF reader,
22 216.
23
       Q.
            And you're pointing to page 18
24
   of that?
25
       A. Correct.
       Q. And is it your testimony that
   to perform a reasonably diligent search, an
   ordinarily skilled searcher would have read
   every page of Hoffman and search for every
   product that Hoffman mentions in those 216
   pages?
15
       A. Yes. Yes.
```

EX1115 at 166:19-167:15; Opp. at 11; EX2111, ¶51.

Mr. Lhymn's "Search" - Hoffman



EX2004; MW Reply at 13.

Lhymn Deposition re: Hoffman

```
16
       Q. And would the same be true for
17 the other references among the 518
   references on the face of the '228?
       A. Certainly as a skilled
20 searcher, if we're presented with a search,
    an invalidity search for example, against a
22 certain patent, one of the very first steps
23 we are going to take, and this is something
24 we do every time, is we have to review
25 every single reference on the face of that
   patent. That's highly -- that could be
2 highly useful information to us to
3 understand where -- what references the
   examiner found previously, so on and so
   forth.
           So it's standard practice for a
   skilled searcher to review references cited
   on the face of the patent.
      Q. And then to read every page of
   every reference and search for the products
    they describe?
       A. Sure. During a reasonably
13 diligent search. And again, these are
14 references cited on the face of the patent
15 that we're searching against.
```

EX1115 at 167:16-168:15; Opp. at 11.

Mr. Lhymn's Actual Path to Hoffman

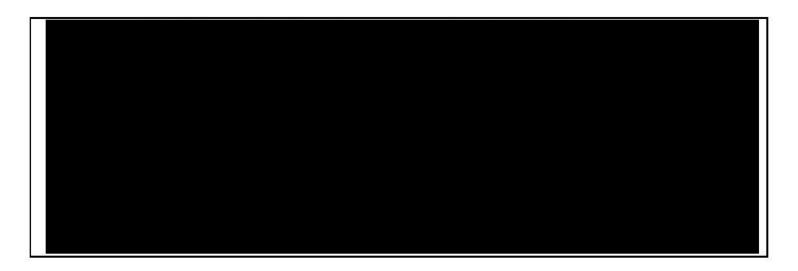
```
Mr. Lhymn, in paragraph 51 you
    talk about a document called Hoffman,
11 right?
       A. Yes.
       Q. And you say it's one of many
14 references -- sorry, it's one of the
15 references cited on the face of the '228,
16 correct?
       A. That's correct.
17
           The references cited I
   reviewed -- I reviewed the Hoffman
   reference on the face of the '228 patent.
10
       Q. How did you find that one to
  review?
       A. Hoffman was presented to me by
13 counsel.
       Q. Any others?
      A. No.
       Q. Just the one. Okay, you say
   you don't recall -- or you don't know how
   many references are cited on the face of
   the '228 patent?
      A. I don't have the patent in
   front of me.
```

EX1115 at 162:9-17, 163:7-13, 164:1-8; Opp. at 11.

EX2080 – Vacated *Unified* RPI Order re:

MemoryWeb: "And, while the Board referred to the RPI Order, such discussion was not determinative of the Board's ultimate findings."

Reply at 4.



EX2080, 10; Opp. at 2.

EX2080 – Vacated *Unified* RPI Order re:

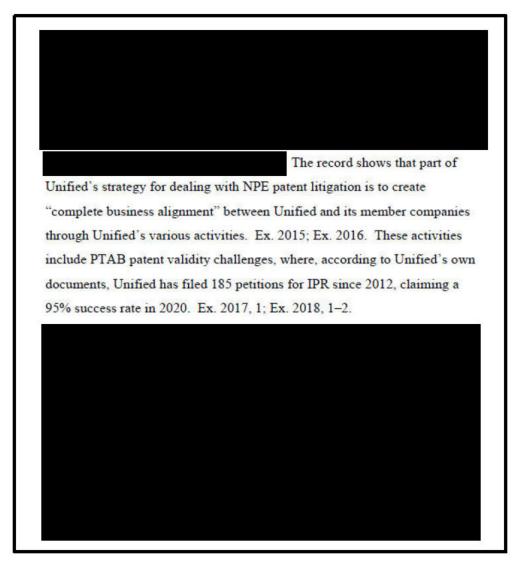
MemoryWeb: "And, while the Board referred to the RPI Order, such discussion was not determinative of the Board's ultimate findings."

Common Members Among Unified, Apple, and Samsung The record in this case indicates that Unified, Apple, and Samsung do not have any board members in common. Ex. 1023 ¶ 23.

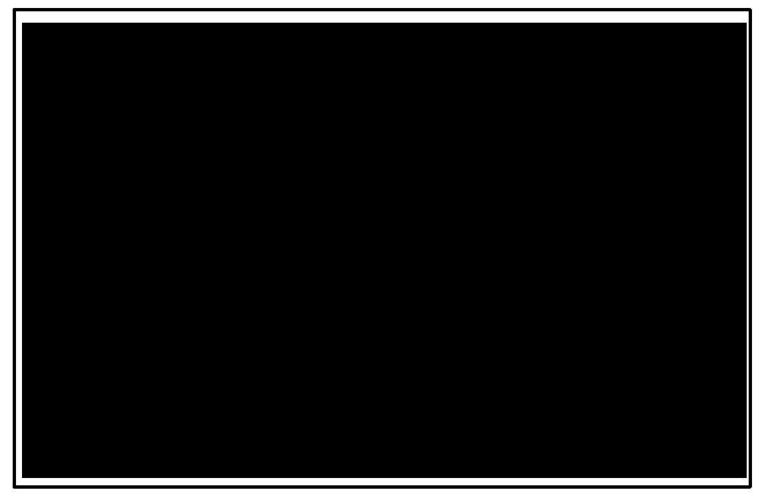
Reply at 4.

EX2080, 24-25; Opp. at 2.

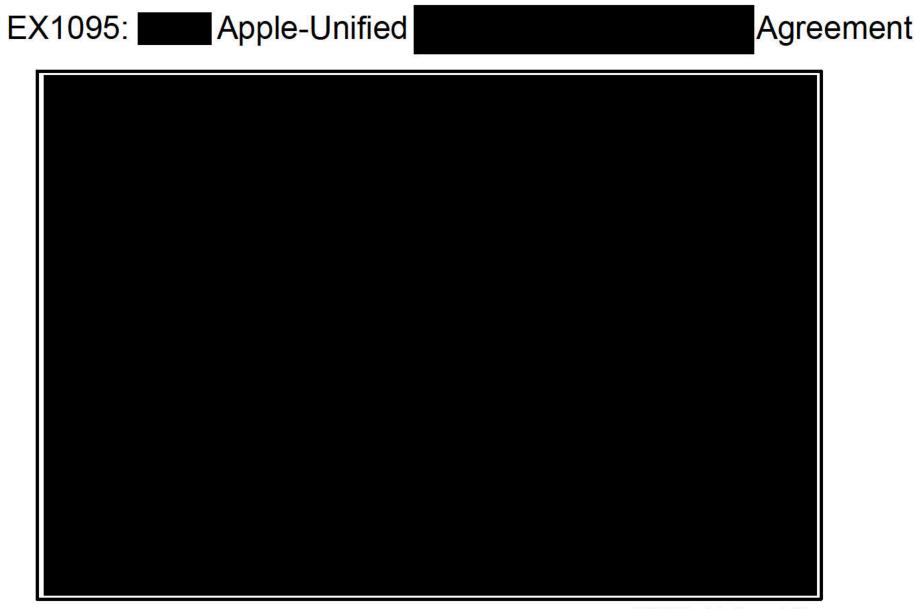
EX2080 – Vacated *Unified* RPI Order re: "Advisory Counsel"



EX2069: Apple-Unified Membership Agreement



EX2069, at 2-3; Opp. at 16.



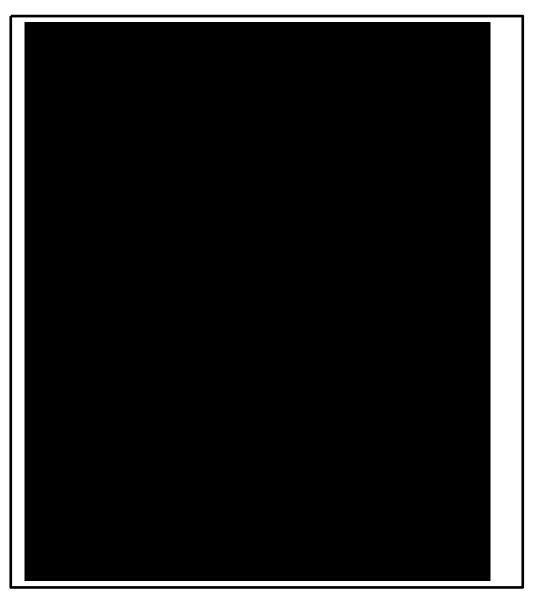
EX1095, at 1; Opp. at 16.

Jakel Declaration re: Advisory Counsel

HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY UNITED STATES PATENT AND TRADEMARK OFFICE BEFORE THE PATENT TRIAL AND APPEAL BOARD UNIFIED PATENTS, LLC Petitioner MEMORY WEB, LLC Patent Owner Case No. IPR2021-01413 Patent 10.621.228 SUPPLEMENTAL DECLARATION OF KEVIN JAKEL

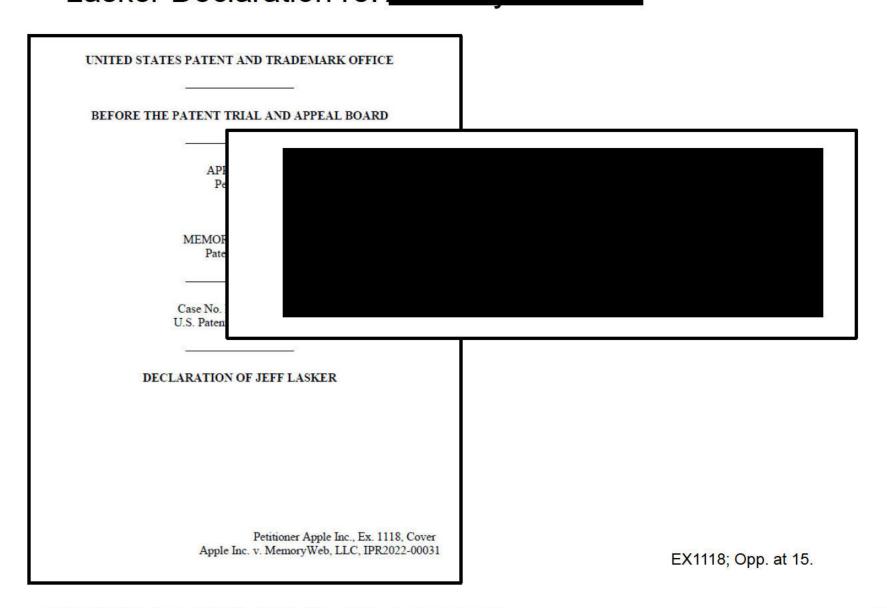
EX2077 at 9 n.1; Opp. at 16.

Jakel Deposition re: Advisory Counsel

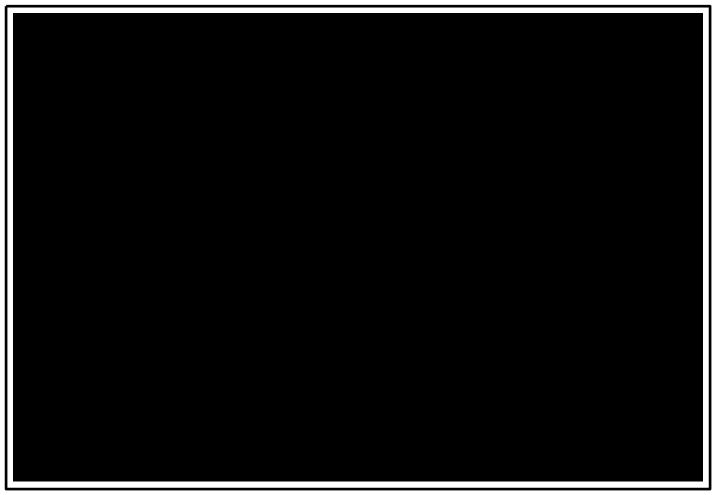


EX2091, at 141:20-142:23; Opp. at 16.

Lasker Declaration re:

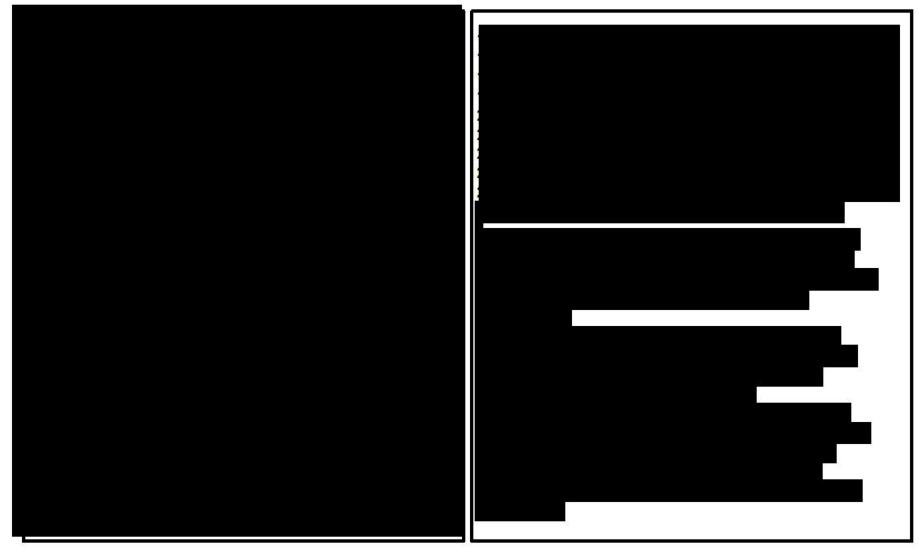


Apple-Unified Membership Agreement

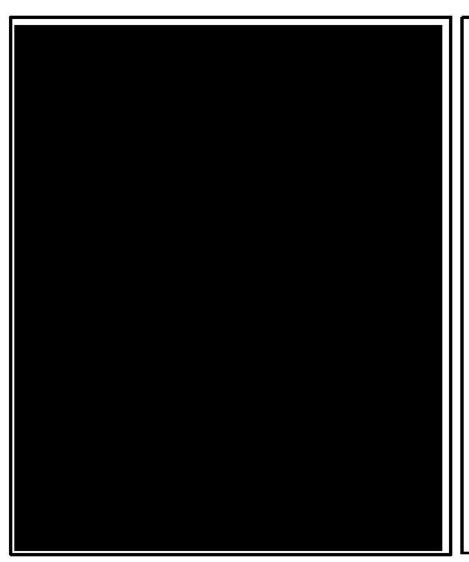


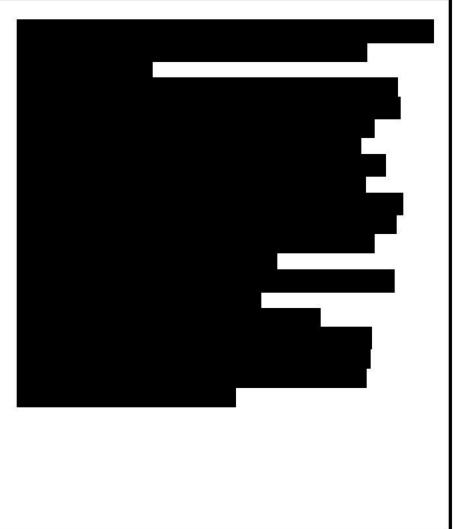
EX2069, at 5; Opp. at 23.

Lasker Declaration re: UNITED STATES PATENT AND TRAI BEFORE THE PATENT TRIAL AND APPLE INC., Petitioner, MEMORYWEB, LLC. Patent Owner. Case No. IPR2022-0003 U.S. Patent No. 10,621,2 DECLARATION OF JEFF L Petitione Apple Inc. v. Memo

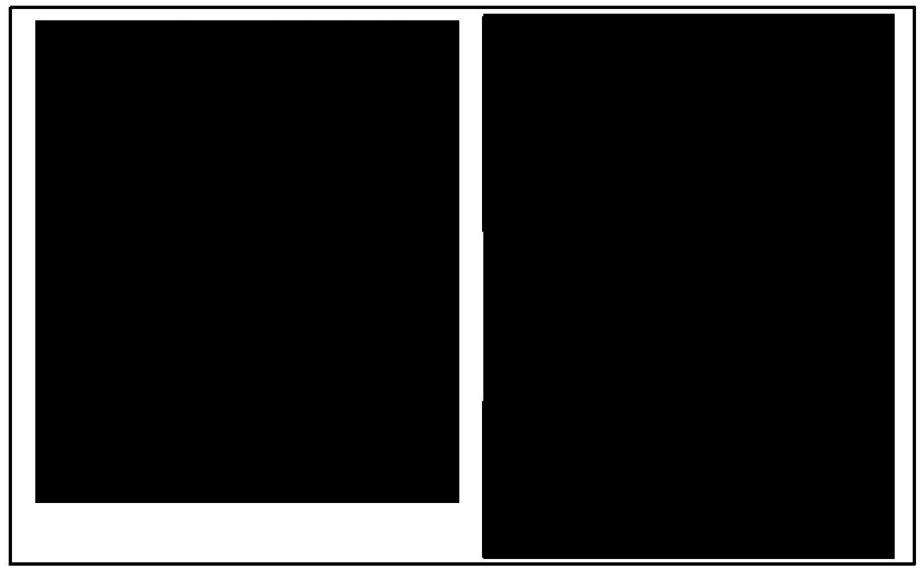


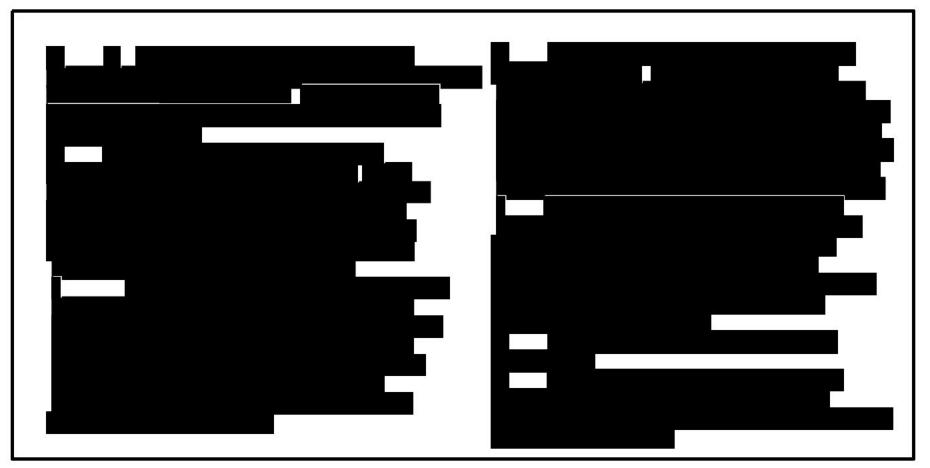
EX2115; EX2117 at 92:16-93:10.



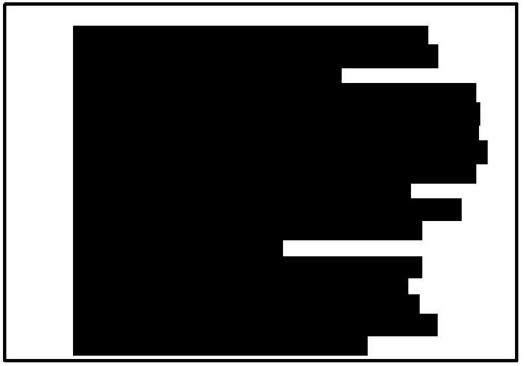


EX2115; EX2117 at 93:16-94:10.

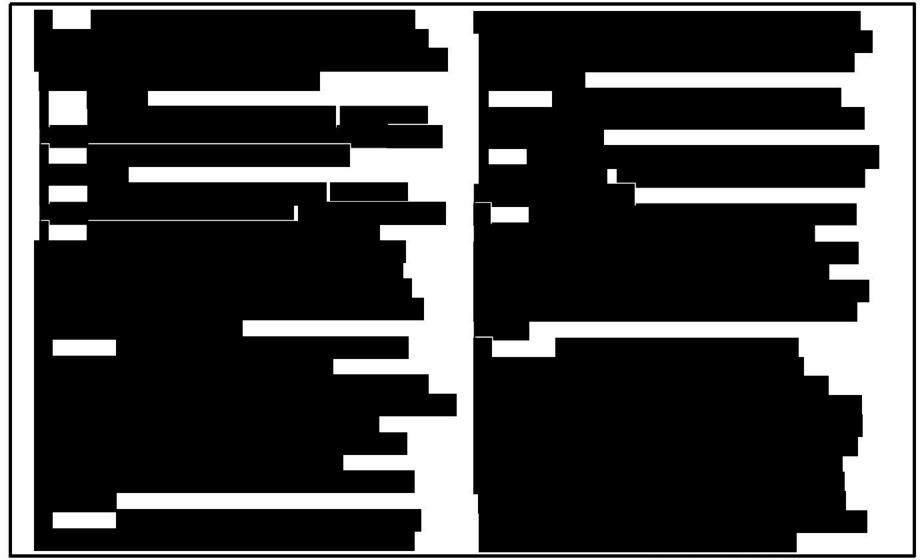




EX2117 at 42:16-43:10, 43:25-44:20.

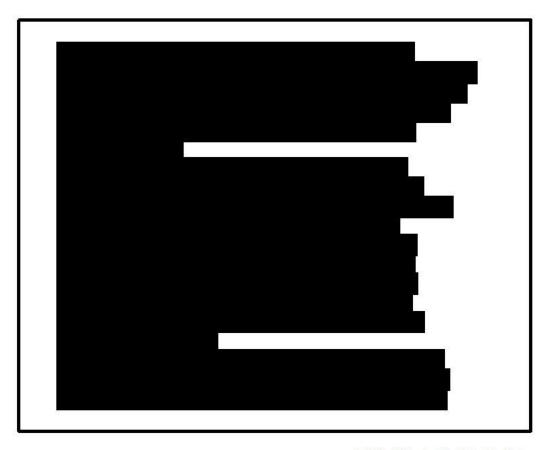


EX2117 at 86:25-87:16.

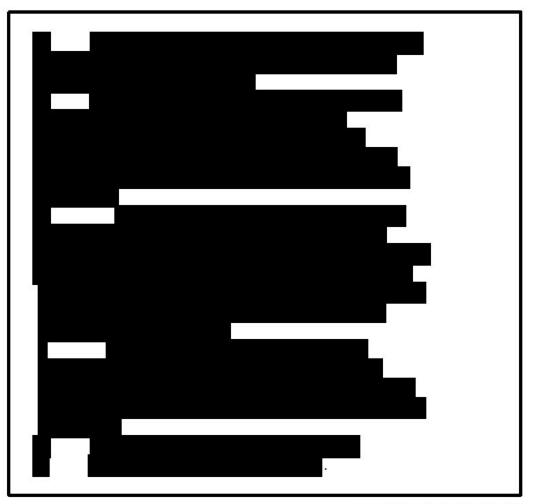


EX2117 at 71:23-74:3.





EX2117 at 81:24-82:17.



EX2117 at 70:14-71:11.



EX2117 at 95:4-96:11.

Jakel Deposition: Unified Has No Obligation to File IPR Challenges

```
12
            Is there any other structure within
13
     Unified Patents that permits Apple to provide input
     to Unified on which patents Unified should
15
     challenge?
16
       A. No. There is no structure in Unified in
17
     any way that allows Apple to provide us any input or
     control, direction over any of our filing
19
     activities.
20
                                         between Apple and
       Q.
            Do
21
     Unified require Unified to file challenges to any
22
     specific patent?
           They don't even require us to file
23
    challenges. So not just -- not just on any patents
     but also there's no requirement that we actually
   file challenges. It's Unified's decision to choose
    to use challenges as a deterrence tool or not.
```

3 Q. My first question, Mr. Jakel, do

5 Unified to file any challenges to patents?
6 A. No. Actually,
7 don't obligate us to do any specific action, at all.
8 We have a whole list of things listed, but we
9 believe that deterrence can be achieved with lots of
10 different things: our tools, our data, our
11 monitoring activity, all the things that we do,
12 filing, patent challenges based on validity is not
13 obligated, but it's one of the tools we think is
14 effective in creating the deterrence that we want to
15 generate for our zones.

EX2091, at 158:12-159:2; Opp. at 24.

EX2068, at 136:3-15; Opp. at 24.

Jakel Declaration: Unified Has Sole Discretion and Control Over IPR Decisions

HIGHLY CONFIDENTIAL - ATTOR

UNITED STATES PATENT AND TR

BEFORE THE PATENT TRIAL AN

UNIFIED PATENTS
Petitioner

V.

MEMORY WEB, I Patent Owner

Case No. IPR2021-03 Patent 10.621.228

SUPPLEMENTAL DECLARATION

22. In every Unified proceeding, past and present, including this one, Unified has not coordinated or communicated with members regarding litigation or the substance of its IPR. As mentioned, Unified contractually prohibits members' influence in Unified's challenges (including when and what patents Unified may challenge), and Unified is free to challenge its members' patents, which it has done. Because Unified does not coordinate with members regarding its filings, it has never needed or attempted to avoid a last-minute statement of coordination.

24. Unified has not acted at another's behest, and it has sole control, direction, and funding over this IPR. No Unified member funded this petition. As a result, no member has control, has opportunity to control, or has coordinated this or any other challenge Unified chooses to pursue.

18. Unified filed this IPR to deter the use of invalid patents in its Content Zone, not to protect the interests of any one member.

Jakel Declaration: Unified Acts Independently

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BEFORE THE PATENT TRIAL AND A

UNIFIED PATENTS, LL

V

MEMORY WEB, LLC Patent Owner

Case No. IPR2021-01413 Patent 10,621,228

SUPPLEMENTAL DECLARATION OF

As stated in Exhibit 1017 (at \$\frac{41}{2}-3). Unified is a first-of-its-kind company whose sole purpose is to deter NPE litigation by protecting technology sectors. Unified bolsters patent quality by deterring assertions of invalid patents by non-practicing entities (NPEs) in various technology zones without any coordination with members. Unified was built to operate independently to serve its deterrence goals. Thus, Unified has sole and absolute discretion over its decision to contest patents. Based on its own analysis. Unified determines which patents are worth pursuing in terms of searching for prior art or taking action, including filing a PGR, IPR, or EPR, or foreign opposition in the case of non-U.S. patents. Unified's decisions to file a PGR, IPR, EPR, or foreign opposition are made independently without the input, assistance, or approval of any of Unified's Members. Unified members are unable to participate or exercise any direction or control over Unified's filings, and Unified does not coordinate with members regarding Unified's filings or members' litigation. Should Unified decide to challenge a patent in a post-grant proceeding. Unified controls every aspect of such a challenge, including controlling which patent and claims to challenge, which prior art to apply and the grounds raised in the challenge, when to bring any challenge, and whether to settle or otherwise end or terminate any challenge.

EX2077; Opp. at 23.

Jakel Declaration: Unified Acts Independently

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MEMORY Patent

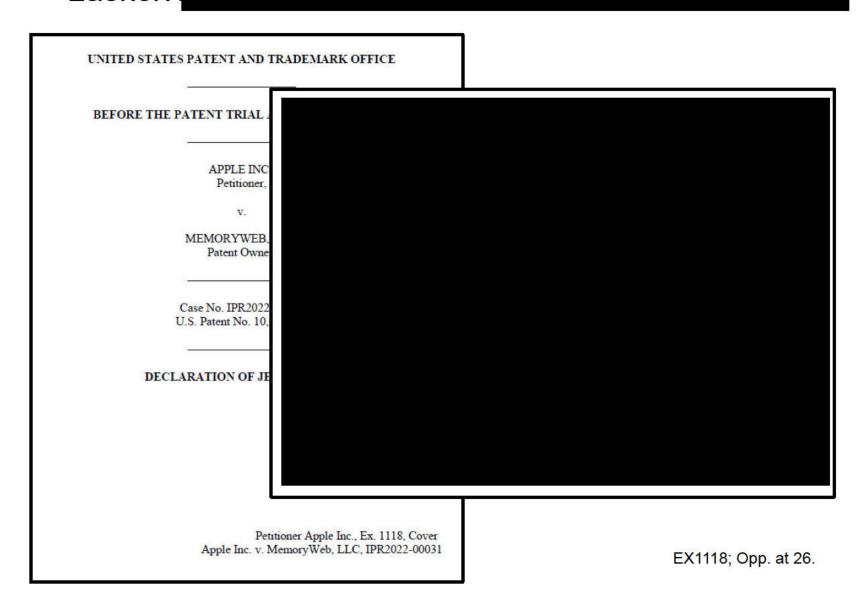
Case No. IPR Patent 10

SUPPLEMENTAL DECLAR

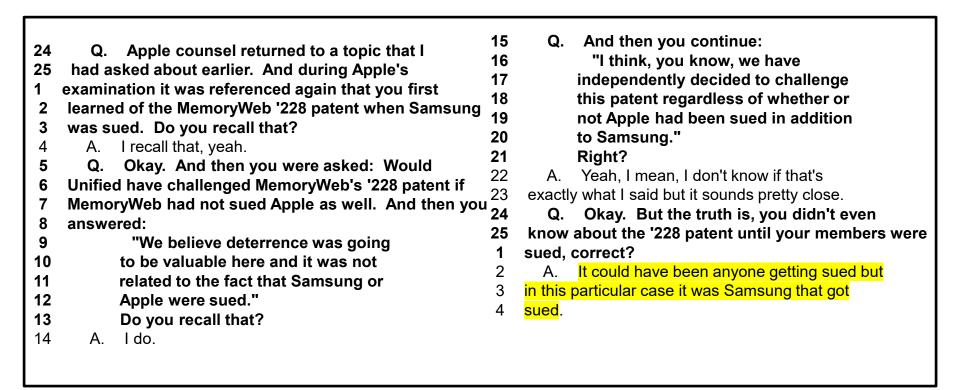
Furthermore, Unified has always acted independently to protect its technology zones. Unified's members are contractually forbidden from influencing Unified's filings, including whether Unified will or will not file a petition, and may be unilaterally dismissed from their membership for even attempting to do so. Unified also often files against patents not asserted against any member. Further, Unified does not consult with members or any third party about any of their challenges or potential litigation strategies. A specific member cannot reasonably expect Unified will undertake any particular action or inaction, at least because Unified has over 3.000 members. Thus, Unified could never coordinate the conflicting interests and expectations of each member. For these reasons, Unified likely takes positions inconsistent with those taken by litigation defendants, including members, such as in claim construction or with respect to motions to amend.

Unified files regardless of whether members are in parallel litigation.

Lasker:



Jakel Deposition: Unified Learned About '228 Patent From Automated Litigation Notice re: Samsung



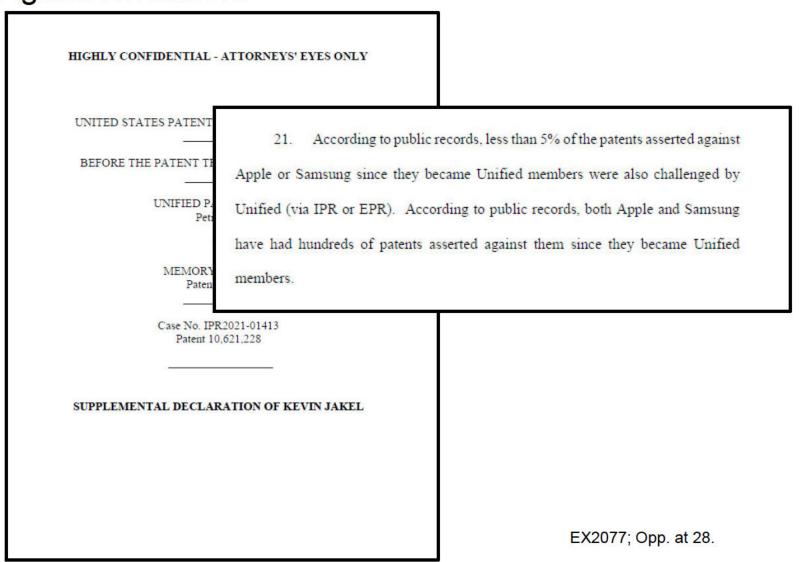
EX2091, at 216:24-218:4; Opp. at 27.

MemoryWeb Acknowledges Unified's IPR Was Not Filed Because the '228 Patent Was Asserted Against Apple

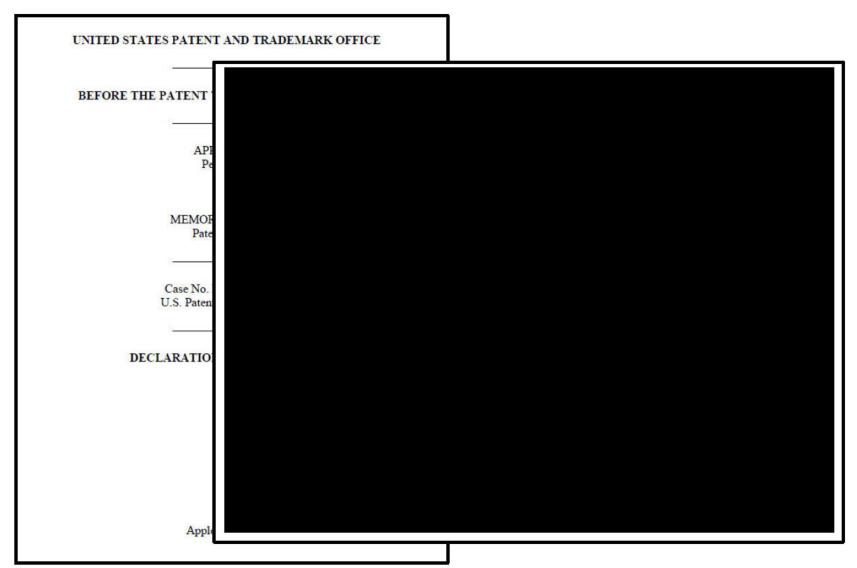
³ Apple notes Unified already knew of the '228 patent when Apple was sued because Samsung was sued one month earlier, but this is a distinction without a difference. Paper 64, 27. Unified filed the Unified IPR within four months of learning of both suits, understanding two paying members could benefit. Paper 57, 2.

MW Reply at 8 n.3.

Jakel Declaration – Unified Does Not Target Patents Filed Against Members



Lasker:



Jakel: No Pre-Filing Communications with Members

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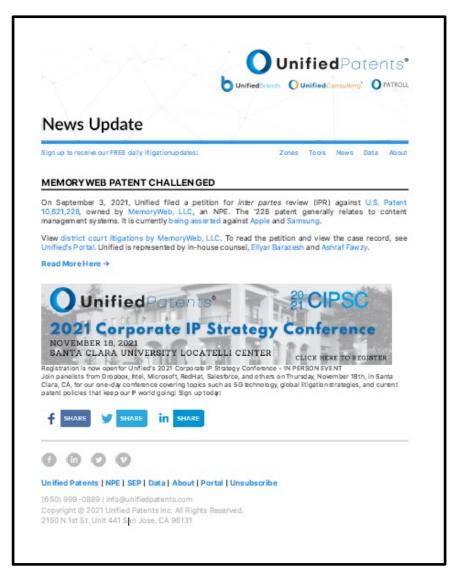
BEFORE THE F

- 15. As with all of Unified's challenges, no member, including Apple and Samsung, received prior notice of Unified's intent to challenge the '228 Patent, and no members, including Apple and Samsung, were given an opportunity to participate in or an opportunity to even know that Unified was contemplating filing an IPR against the '228 Patent.
- 16. Unified has conducted a search for any communications with Unified members prior to the filing of this IPR regarding the '228 Patent, related patents, the IPR, the patent owner, or any related litigation. None exist. Therefore, for at least this reason, Unified had no knowledge of any its 3,000+ members' desires with respect to the '228 patent (and the same continues to be true, as noted below). Unified never conveyed any of its plans regarding the '228 patent to any member at any time nor coordinated with them in any way.

SUPPLEMENT

EX2077; Opp. at 18, 26.

Unified Public News Updates (EX2074 & EX2078)





EX2074 (left); EX2078 (right); MW Mot. at 17.

Jakel: No Relevant Communications Between Apple & Unified

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BEFORE THE PATENT TRIAL AND A

UNIFIED PATENTS, LL
Petitioner

V.

MEMORY WEB, LLC Patent Owner

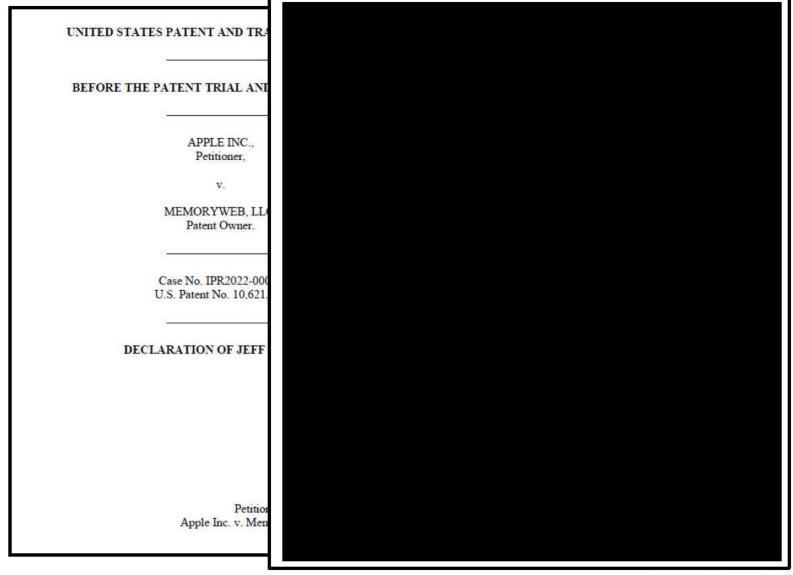
Case No. IPR2021-0141: Patent 10,621,228

SUPPLEMENTAL DECLARATION OF

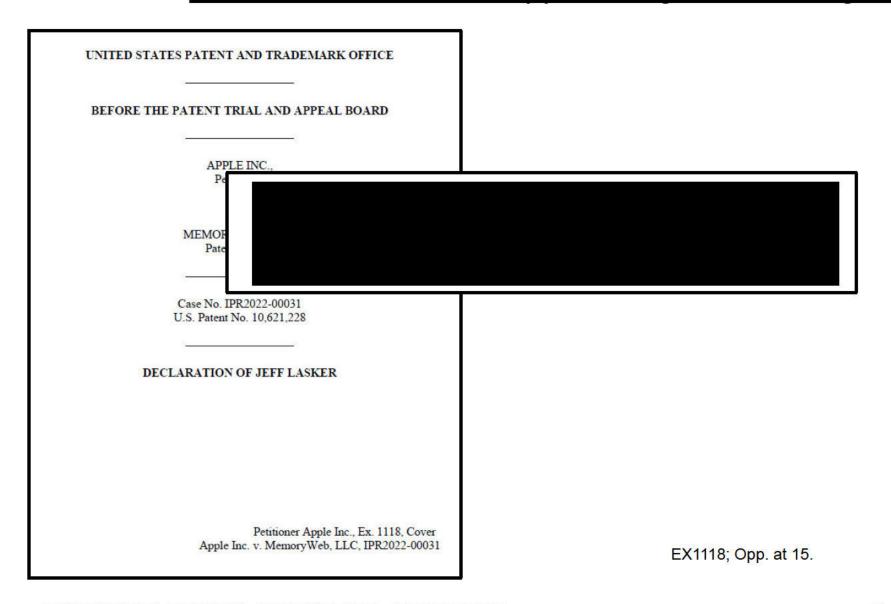
And as with other challenges, after filing the IPR there were no communications with any members (including Apple and Samsung) regarding the '228 Patent, related patents, the IPR, the patent owner, or any related litigation other than public information (e.g., the filing of the IPR petition in this case) or summaries regarding such public information. For example, Unified sends members a "News Update" announcing filed petitions and posts announcements to the general public regarding its public filings on Facebook, LinkedIn, Twitter, and via weekly "Newsletters." Unified sent such a News Update to members and a Newsletter to the thousands of people subscribed to its public mailing list that announced the petition's filing in this proceeding. See EX1028; EX1029; EX1026; EX1027. Unified also posted a public blog post to its website announcing the petition's filing in this proceeding. EX1021. Unified issues such press releases and mass emails for all aspects of its business, including challenges against patents not involved in litigation and non-validity-based activities such as amicus briefing, legal reviews, data analytics, and updates on the patent marketplace.

EX2077; Opp. at 19.

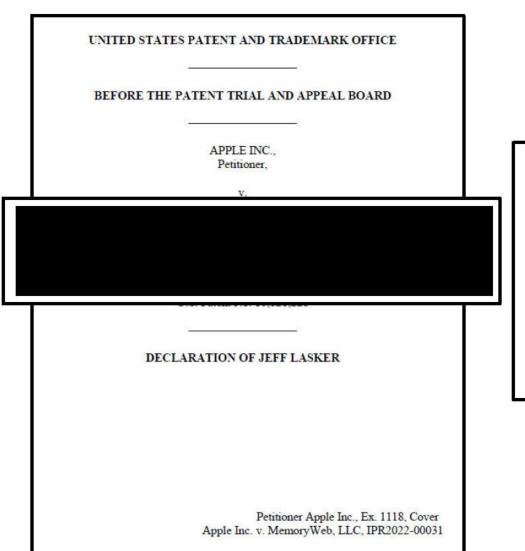
Lasker:



Lasker:



Jakel & Lasker:



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Jakel Deposition:

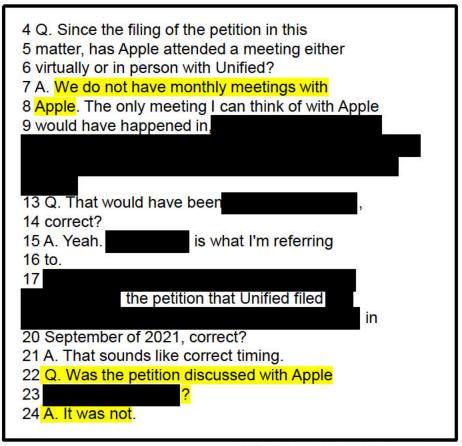
22 Q. Was an prepared 23 for Apple?

24 A. I have looked at everything in my 1 records. We do have a for Apple,

for Apple,
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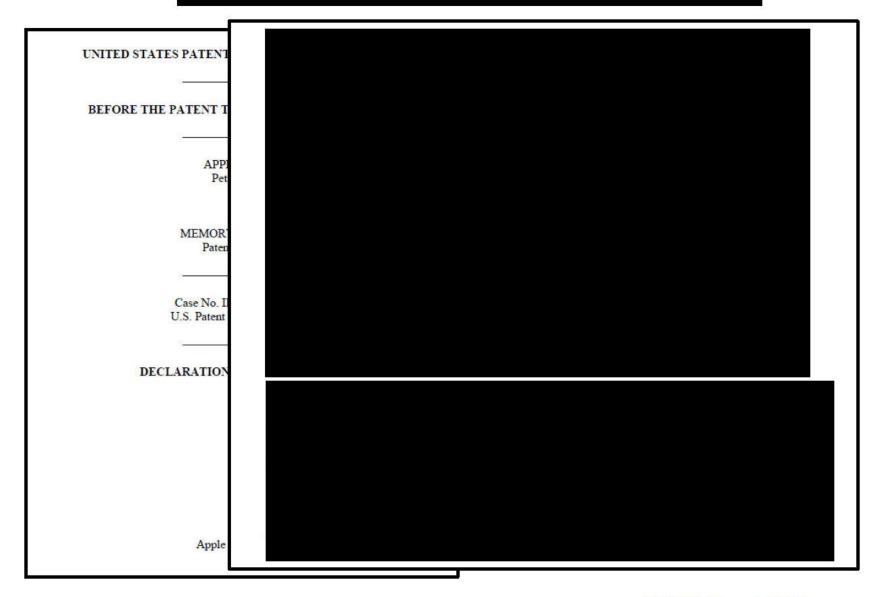
EX1118; EX2068, 49:22-50:5; Opp. at 19-20.

Jakel Deposition: No Meeting with Apple re: Unified '228 IPR

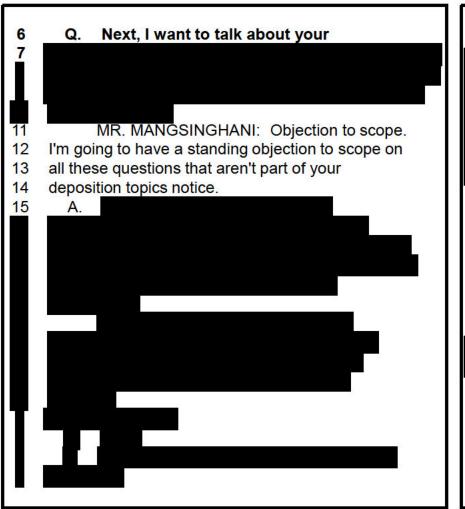


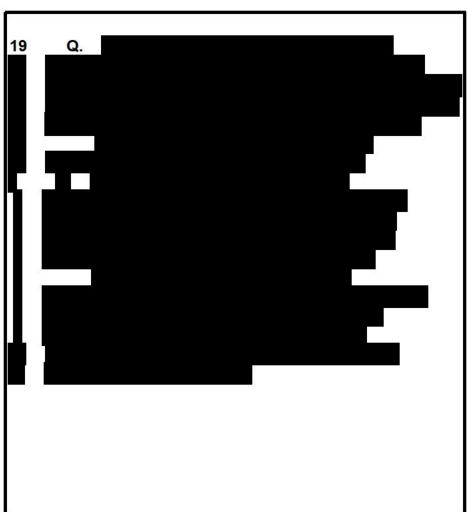
EX2068, at 48:4-24; Opp. at 18.

Lasker:



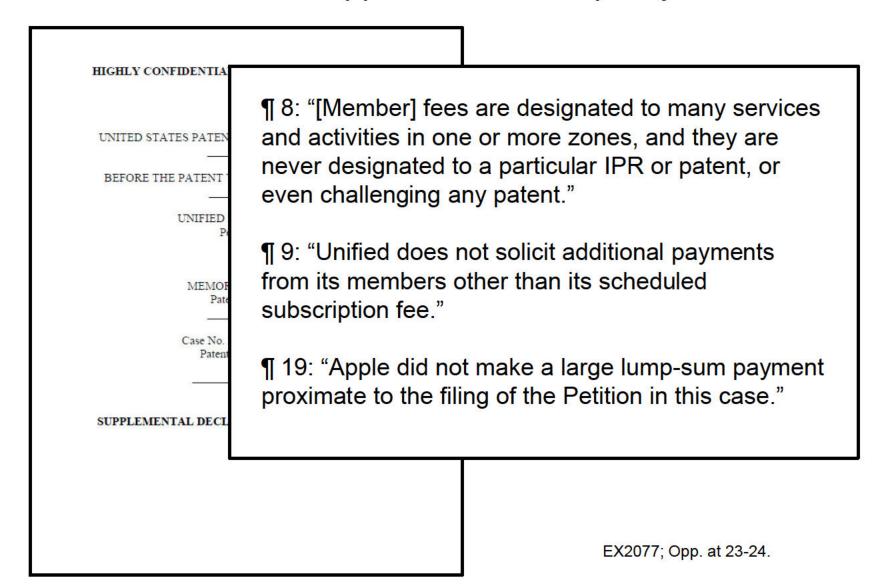
Jakel Deposition: No Substantive Discussion of RPI Issues



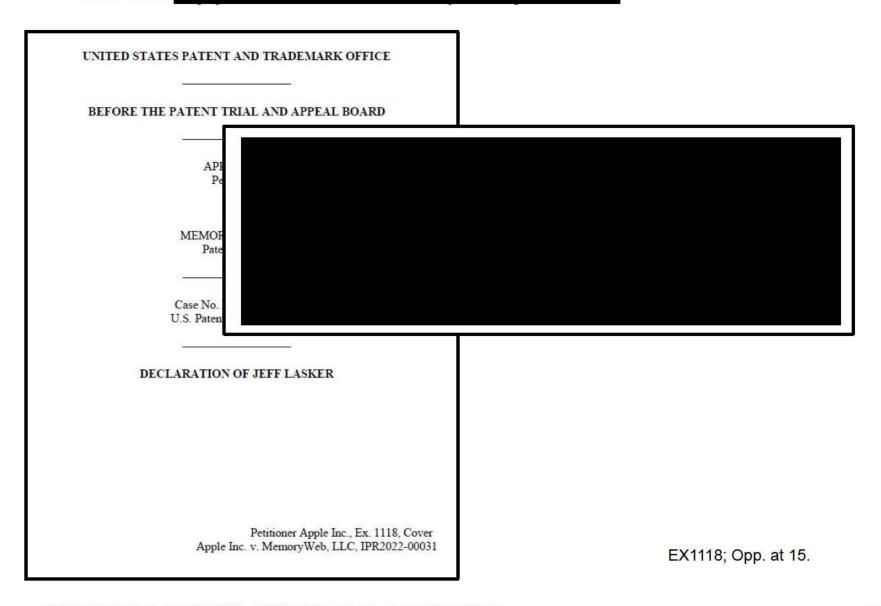


EX2091, at 81:6-82:4, 82:19-83:11; Opp. at 20.

Jakel Declaration re: Apple's Membership Payments



Lasker:

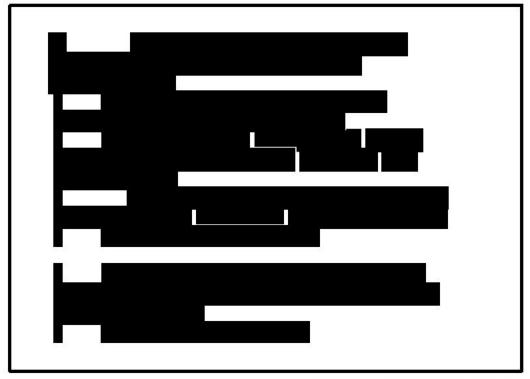


Jakel Deposition: Apple's Membership Payments

```
Q. All right. Now, you talked earlier -- or
  you testified earlier about some of the fees that
   Unified received from its members, including Apple.
    Now, does the amount of fees that Apple pays to
  Unified vary based upon how many patents asserted
    against Apple are challenged by Unified?
      A. No, it does not.
      Q. Is any amount of the annual fee that Apple
10 pays to Unified contingent on Unified challenging
    patents asserted against Apple?
      A. No.
       Q. Is amount that Apple pays Unified
14 contingent on Unified challenging a minimum number
15 of patents that have been asserted against Apple?
16
      A. Nope.
17
       Q. Are payments made by Apple only after
    Unified challenges patents that have been asserted
    against Apple?
      A. Nope.
       Q. So is it fair to say that the payments by
22 Apple are entirely unrelated to whether or not
    Unified challenges patents asserted against Apple?
24
           That is right.
```

EX2091, at 173:2-24; Opp. at 15.

Lasker Deposition:



EX2117 at 82:24-83:9, 85:3-6.

Lasker & Jakel Depositions: No Benefit to Apple from Unified IPR

5

6

9



Jakel:

14 Earlier there was testimony and 15 questioning about whether Unified's challenge to 16 MemoryWeb's patent benefited Apple and Samsung. And 17 the question I have for you is, did -- is that what 18 motivates Unified to file the -- this IPR?

A. So the difficulty with trying to predict at the time of filing whether or not any member or even nonmember, if anyone is actually going to be benefited by the filing of an IPR, it is extremely difficult. There's no time bar in place just using this IPR as an example. There's no time bar in place.

So we don't know if, you know, Apple or Samsung or any other company out there is going to file their -- their own challenge. We don't know what their litigation strategy is. We want to remain independent. And we don't want to have anyone attempting to control Unified. And so we explicitly make sure that we have none of that information.

But we don't know what their claim constructions are. We don't know if they are about to settle. We don't know if their -- you know, what it is that they plan on doing with their litigation strategy. They might even already be licensed to the patent behind the scenes and we just simply don't know.

EX2117 (Lasker) at 66:8-67:7.

EX2091 (Jakel) at 192:14-193:15.

Unified v. Bradium, IPR2018-00952

Trials@uspto.gov 571-272-7822 Paper 31 Date Entered: December 20, 2018

UNITED STATES PA

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Additionally, a benefit to a member from an IPR filed by Petitoiner must be weighed against the benefit that member receives from filing its own IPR in which that member can control the prior art references chosen, the counsel used, the amount of money spent, and whether or not to settle the case.

Bradium at 10.

BRADIUM TECHNOLOGIES LLC, Patent Owner.

> Case IPR2018-00952 Patent 9,253,239 B2

Before BRYAN F. MOORE, BRIAN J. McNAMARA, and MINN CHUNG, Administrative Patent Judges.

Opinion of the Board filed by Administrative Patent Judge MOOI

Opinion Dissenting filed by Administrative Patent Judge CHUNG

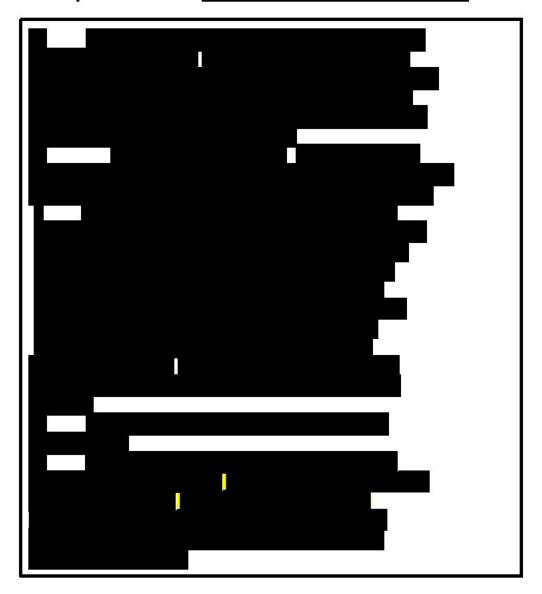
DECISION
Granting Institution of Inter Partes Review
35 U.S.C. § 314

9. We do not find that avoidance of the estoppel implications of an IPR alone, without other facts, is sufficient to find that the two members would benefit from the present IPR such that they should be considered an RPI. Such a benefit speaks to a party being a general non-specific beneficiary, rather than a "clear beneficiary" under AIT (897 F.3d at 1351) and would apply to any entity seeking review of the '239 patent, including members other than the two members cited by Patent Owner, and also to non-members of Petitioner.

Bradium at 10.

Opp. at 25.

Lasker Deposition:



EX2117 at 54:18-55:20.

Jakel Declaration: Unified's Settlement Strategies

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UNITED STATES PATENT AND TRA

BEFORE THE PATENT TRIAL AND

UNIFIED PATENTS, Petitioner

V.

MEMORY WEB, LI Patent Owner

Case No. IPR2021-01-Patent 10,621,228 11. Unified is not a patent aggregator, and Unified has never paid for a license to a patent. Unified's business model is predicated on never paying NPEs for licensing. Unified sees its IPRs to their conclusion unless a patent owner agrees to a royalty-free license for Unified with the right to grant sub-licenses to all zone members—not simply for members in litigation. This royalty-free license is in furtherance of Unified's mission to protect a technology zone as a whole, regardless of whether the organizations are members or non-members, by shattering the value of ongoing assertions and refusing to fund an NPE. As set forth on Unified's website (https://www.unifiedpatents.com/faq), "Unified never pays any money to NPEs." Unified implemented this policy in furtherance of its deterrence objective.

SUPPLEMENTAL DECLARATION OF KEVIN JAKEL

EX2077; Opp. at 23.

Jakel Declaration: No Shared Board Members or Attorney-Client Relationship Between Apple and Unified

HIGHLY CONFIDENTIAL - ATTORNEYS' EYES ONLY

UNITED STATE

BEFORE THE F

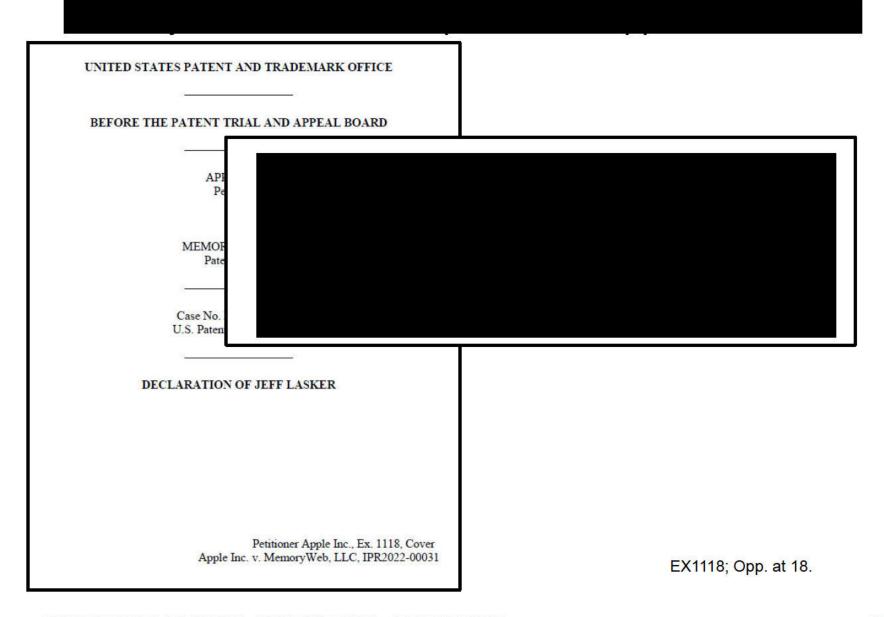
23. Unified and its members, including Apple and Samsung, do not share any individuals on their respective boards of directors. Unified also maintains no corporate relationships between itself and its members and allows for no corporate relationships beyond the membership. Unified has no attorney-client relationship with, and does not act as legal counsel to, members.

Case No. IPR2021-01413 Patent 10,621,228

SUPPLEMENTAL DECLARATION OF KEVIN JAKEL

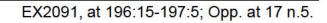
EX2077; Opp. at 18.

Lasker Declaration:



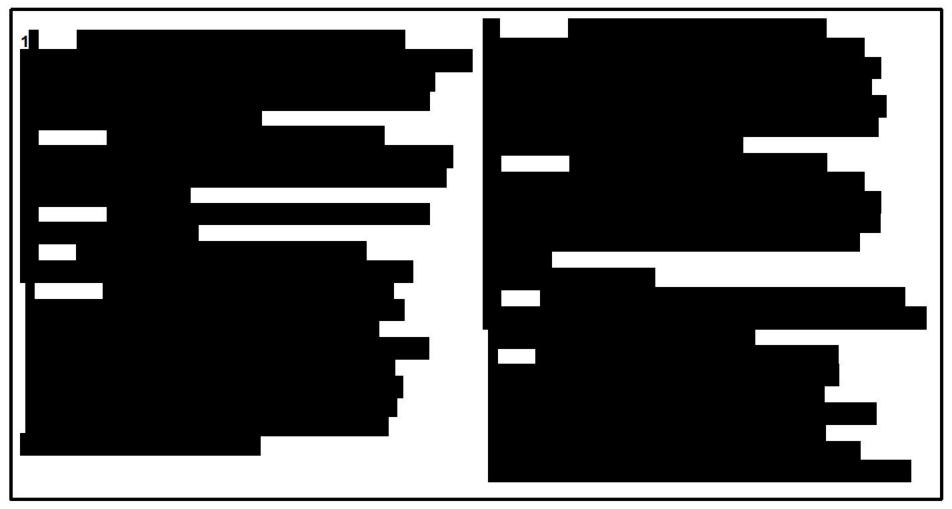
Jakel:





MW Reply at 4 n.2.

Jakel:



EX2091 at 240:14-242:9; Opp. at 17 n.5.

CERTIFICATE OF SERVICE

I hereby certify that on this 17th day of October, 2023, a copy of Petitioner's Demonstratives has been served by electronic mail on the following addresses for patent owner(s):

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Dated: October 17, 2023 Respectfully Submitted,

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