UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

- - - - - - - - - X

CODE200, UAB; TESO LT, UAB; :

METACLUSTER LT, UAB; :

OXYSALES, UAB; AND CORETECH: CASE

LT, UAB, : IPR2022-00861

Petitioners, :

v. : PATENT NO.

BRIGHT DATA LTD., : 10,257,319

Patent Owner. :

- - - - - - - - - - X

Zoom Videotaped Deposition of KEITH TERUYA

Thursday, December 15, 2022

12:05 p.m.

JOB NO. SY006106

Pages 1 Through 128

Reported By: Cassandra E. Ellis, CSR-CA

#14448, CCR-WA #3484, CSR-HI #475, RPR

#823848, CRR, Realtime Systems

Administrator

| | | Page 2 |
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| 1 | Deposition of KEITH TERUYA, | |
| 2 | held pursuant to agreement, before | |
| 3 | Cassandra E. Ellis, Certified | |
| 4 | Shorthand Reporter - California - | |
| 5 | #14448, Certified Shorthand Reporter | |
| 6 | - Hawaii #475, Certified Court | |
| 7 | Reporter - Washington #3484, | |
| 8 | Registered Professional Reporter | |
| 9 | #823848, Certified Realtime Reporter, | |
| 10 | Realtime Systems Administrator. | |
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| 1 APPEARANCES | |
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| P | age 4 |
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| 1 APPEARANCES CONTINUED | |
| 2 ON BEHALF OF PATENT OWNER: | |
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| 1 | PROCEEDINGS | | |
| 2 | THE VIDEOGRAPHER: Good | | |
| 3 | morning. This is the beginning of | | |
| 4 | the media in the deposition of Keith | | |
| 5 | J. Teruya, taken in the matter of | | |
| 6 | before the Patent Trial and Appeal | | |
| 7 | Board, in re: Code200 UAB; TESA | | |
| 8 | Limited, UAB; Metacluster Limited, | | |
| 9 | UAB; Oxysales, UAB; and Coretech | | |
| 10 | Limited UAB, petitioners, versus | | |
| 11 | Bright Data Limited, patent owner, | | |
| 12 | with Case Number IPR2022-00861, | | |
| 13 | Patent Number 10,257,319, held before | | |
| 14 | the Patent Trial and Appeal Board in | | |
| 15 | the United States Patent and | | |
| 16 | Trademark Office. | | |
| 17 | Today's date is December 15th, | | |
| 18 | 2022, and the time on the monitor is | | |
| 19 | approximately 12:05 p.m. My name is | | |
| 20 | Joseph Ellis, I'm the certified legal | | |
| 21 | videographer, the court reporter is | | |
| 22 | Cassandra Ellis, and we are here | | |
| 23 | representing Transperfect Legal | | |
| 24 | Solutions. | | |
| 25 | Counsel appearances will be | | |

| | | Page 7 |
|----|---------------------------------------|--------|
| 1 | noted on the stenographic record | |
| 2 | only. | |
| 3 | Will the court reporter please | |
| 4 | swear in the witness, then you may | |
| 5 | proceed. | |
| 6 | (WITNESS HAS BEEN SWORN). | |
| 7 | MR. DUNHAM: Okay. We can stop | |
| 8 | the video recording, now, if it was | |
| 9 | started, and we'll just proceed with | |
| 10 | audio recording and the stenographer, | |
| 11 | please. | |
| 12 | MR. TOLLIVER: And and | |
| 13 | Mister Mr. Dunham, before we get | |
| 14 | started, just a housekeeping matter, | |
| 15 | I don't know whether you're planning | |
| 16 | on using Agile Law? Last time I | |
| 17 | tried to to log in it, I don't | |
| 18 | know, about 10 or 15 minutes ago, | |
| 19 | it it said it was the | |
| 20 | deposition was not live. I don't | |
| 21 | know if it's currently working or | |
| 22 | not. | |
| 23 | THE WITNESS: I just tried it, | |
| 24 | and it's not. | |
| 25 | MR. TOLLIVER: Okay. | |

MR. DUNHAM: If -- if we need 1 2 it, we will enable it -- for it to be 3 live. I don't -- I don't anticipate needing it, but you never know how 4 5 things will go. 6 MR. TOLLIVER: Okay. MR. BARTLETT: And shall we also state, on the record, that by 9 stipulation of counsel this 10 deposition is also being taken in 11 IPR2022-915 and 916? 12 MR. DUNHAM: Absolutely, and I 13 was just going to do that, so thank 14 you for the house -- housekeep --15 MR. BARTLETT: Okay. Great. 16 MR. DUNHAM: I was going to say 17 that this, by agreement of the 18 parties, is the deposition of 19 Mr. Teruya that's covering, 2.0 potentially, up to six IPR numbers, 21 the 861 and 862, as the court 22 reporter announced, the 915 and 916, 23 and also the 1492, 1493 to -- to 24 whatever in- -- incarnation these end 25 up as, but if -- can I have a verbal

| | | Page 9 |
|----|--|--------|
| 1 | agreement, from counsel, that | |
| 2 | we're we're taking this to cover | |
| 3 | all of those today? | |
| 4 | MR. TOLLIVER: Yes, agreed. | |
| 5 | MR. BARTLETT: Yes. Yes, | |
| 6 | agreed. | |
| 7 | MR. DUNHAM: Great. Thank you, | |
| 8 | gentlemen. | |
| 9 | KEITH TERUYA | |
| 10 | having been sworn, testified as follows: | |
| 11 | EXAMINATION | |
| 12 | BY MR. DUNHAM: | |
| 13 | Q Mr. Teruya, good morning. | |
| 14 | A Good morning. | |
| 15 | Q Can I ask where you are today? | |
| 16 | A I'm in McMinnville, Oregon. | |
| 17 | Q Okay. And I ask just because I | |
| 18 | want to try to keep an eye on the time, | |
| 19 | to be sensitive to when it might be your | |
| 20 | lunchtime and things, because we're in | |
| 21 | quite a few different time zones. | |
| 22 | A I'm on the left coast. | |
| 23 | Q You're almost on the left | |
| 24 | coast, as we say from Alaska. | |
| 25 | A Oh, yes, that's true. Okay. | |

- 1 Q Okay. Thank you, sir.
- 2 Good morning, sir, and thank
- 3 you again. I know we have engaged
- 4 before, in this same format of a
- 5 deposition, so I will just remind you the
- 6 basic rules. I will be asking a series
- 7 of questions, I will do my best to make
- 8 those questions clear and understandable.
- 9 If you have any questions about
- anything I've said, please don't hesitate
- 11 to ask and I'll -- I will do my best to
- 12 either rephrase or clarify my question;
- can we agree on that?
- 14 A Yes.
- 15 Q Thank you. And from time to
- 16 time, your counsel may object. And
- 17 unless you are instructed not to answer,
- 18 even if there's been an objection, you
- 19 need to answer each question; do you
- 20 understand that, as well?
- 21 A Yes.
- 22 Q Okay. As a little bit of
- 23 background, here, do you recall being
- retained as the testifying expert,
- originally by NetNut Limited, in

- 1 connection with the US patent numbers
- 2 10,257,319 and 10,484,510?
- 3 A Before I answer that question,
- 4 may I remind you, in my previous
- 5 depositions with you, that I'm severely
- 6 dyslexic. So if you give me something to
- 7 read, you have to allow me sufficient
- 8 time to review, as well as I have
- 9 difficulty with context, so you need
- 10 to -- you know, I may ask the question
- 11 more than once, in order that I assemble
- the right context for myself.
- The answer to your question is,
- 14 yes.
- 15 O And yes -- and I -- I do
- 16 remember our discussion about the -- the
- most effective way for us to have this
- deposition, and I will do my best to work
- 19 with you on that.
- 20 If -- can we agree to refer
- 21 to the two patents at issue just by
- 22 the last three digits, the '319 and
- 23 the '510 patents?
- 24 A Yes.
- 25 Q Okay. Do you recall submitting

- 1 a declaration in support of a petition in
- the IPR that ends in the number 1492?
- 3 A Not off the top of my head, but
- 4 if you show it to me for review I -- I
- 5 can -- I can comment.
- 6 Q Okay. Do you remember
- 7 submitting declarations on behalf of
- 8 NetNut?
- 9 A Yes.
- 10 Q Okay. Do you also remember
- 11 submitting declarations on behalf of
- 12 Major Data?
- 13 A Yes.
- 14 Q Okay. Do you recall when Major
- 15 Data first contacted you to become
- involved in the declarations for them?
- 17 A I believe it was at the
- 18 beginning of April. I -- I can -- if
- 19 need be, I -- I -- I can -- I can check
- 20 that date by my earliest correspondence
- 21 with them.
- 22 Q Okay. And then, would that be
- 23 April of 2022?
- 24 A Yes.
- 25 Q Okay. And do you remember

- 1 submitting declarations for these two
- patents on behalf of Code200?
- 3 A I don't believe so.
- 4 Q Okay. So who engaged you,
- 5 other than Major Data and NetNut, if
- 6 anyone, for declarations in connection
- 7 with these two patents?
- 8 MR. TOLLIVER: Objection, form.
- 9 A I'm -- I don't -- I don't know
- 10 that I was engaged with any particular
- 11 objective. I -- I was engaged by all
- 12 three parties.
- 14 A And that --
- 15 Q Go ahead, I'm sorry.
- 16 A I -- you know, I have -- I have
- 17 engagement agreements with them all, and
- 18 I've reviewed things, you know, for them
- 19 as far back as -- as that date of
- 20 engagement.
- 21 Q Okay.
- 22 A You know, I would say to NetNut
- 23 much earlier.
- Q Do you recall the names of the
- 25 three parties that engaged you in

- 1 connection with the declarations for
- 2 these two patents?
- 3 A I will -- I would have to
- 4 review my correspondence to get the
- 5 names, because I've interacted with so
- 6 many people and in various firms.
- 7 Q And I'm not asking the names of
- 8 the lawyers, I'm asking the names of the
- 9 parties. So you've mentioned NetNut, and
- 10 we've mentioned Major Data, what other
- 11 party, if any, engaged you to assist with
- the IPRs for these two patents?
- 13 A I -- I was engaged by the
- 14 attorneys so, you know, these -- these
- filings have multiple listings on them,
- so I -- I don't know which specific one
- 17 you're referring to.
- 19 understanding -- and this is a yes or no
- 20 question -- do you have an understanding
- if you've been engaged on behalf of
- 22 Code200 to provide declarations relating
- 23 to these two patents?
- 24 A Yes.
- 25 Q And what is that understanding?

- 1 A Well, that my -- my declaration
- 2 is being used in its IPR filings.
- 3 It's -- it's virtually the same -- it is
- 4 essentially the same declaration that I
- 5 filed under NetNut.
- 7 were first contacted by anyone on behalf
- 8 of Code200 to provide a declaration
- 9 relating to these patents?
- 10 A I -- I believe it was around
- 11 this -- April of this year.
- 12 Q So would that be April of 2022,
- 13 just for clarity?
- 14 A Yes.
- 15 Q Thank you.
- 16 A Yes.
- 17 Q And you just mentioned the
- 18 similarity of the declarations, other
- than identifying the party who engaged
- 20 you, and perhaps the date of the
- 21 declaration, are there any differences
- between the declarations that you've
- 23 submitted on behalf of NetNut, Major
- Data, and Code200 pertaining to these two
- 25 patents?

- 1 A Not that I'm aware of.
- 2 Q And I always ask this, I -- I
- 3 hope to always remember to ask this,
- 4 sitting here today, before we dig in, are
- 5 there any corrections, that you are aware
- of, that you need to make to any of your
- 7 declarations?
- 8 A Nothing substantive.
- 9 Q Are there any corrections, at
- 10 all, that you have in mind?
- 11 A Well, for instance, in, you
- 12 know, the CV block there was a letter
- dropped in, you know, one of the -- one
- of the references of my history that I
- 15 was involved in.
- 16 Q Okay. And how about within
- 17 the -- the substance of the declarations,
- 18 themselves --
- 19 A No.
- 20 are there any corrections
- 21 you wish to make today, before we get
- 22 started?
- 23 A No.
- Q Okay. Thank you. Can -- can
- you tell me how you prepared for your

- 1 deposition today?
- 2 A I reviewed my declaration, and
- 3 I reviewed the references that were used
- 4 in my declaration.
- 5 Q About how many hours did you
- 6 spend preparing for the deposition today?
- 7 A I -- I don't know. I haven't
- 8 added it up.
- 9 Q As you sit here --
- 10 A You know, I would say -- you
- 11 know, I did it over several days, so I
- 12 would do -- you know, I have reading
- difficulties, so I -- I would do small
- 14 blocks of time and, you know, oftentimes
- 15 I would use a reader. So, you know,
- readers take a lot longer than if you,
- 17 you know, read it, because I -- I do it
- both by audio and -- and visual, in order
- to read the documents properly, to make
- 20 sure I have the right context.
- 21 Q In addition to reviewing your
- declarations, and the cited references,
- 23 did you have any discussions or meetings
- 24 with counsel to prepare for the
- 25 deposition today?

- 1 A Yes.
- 2 Q And can you tell me about those
- 3 meetings or discussions, what you had in
- 4 connection with the preparation for
- 5 today?
- 6 A Well, they -- they wanted to
- 7 make sure that I had all the materials
- necessary to do this deposition, and
- 9 review my declaration, and my meetings
- 10 with them were pretty much restricted to
- 11 them asking me if I needed anything.
- 12 Q Okay. Do you recall which
- 13 attorneys you spoke with in connection
- 14 with preparation -- preparing for your
- deposition?
- 16 A Mainly Mr. Tolliver and
- 17 Mr. Bartlett, Mr. Huang was on a few
- 18 calls, I don't recall the others, but my
- interaction, it was mainly with
- 20 Mr. Tolliver.
- 21 Q Okay. Are you aware of any
- relationship between the petitioners,
- 23 Code200 and Major Data?
- 24 A In what way?
- 25 Q In any -- any relationship

- 1 between those particular entities?
- 2 MR. TOLLIVER: Objection, form.
- 3 A In -- in -- can you provide me
- 4 some context of the question? I mean,
- 5 they're both involved in this action,
- 6 right?
- 7 Q Sure. So let -- let me state
- 8 this --
- 9 A So -- all right -- so you know,
- in -- in that form, is -- is that the
- 11 relationship we're talking about?
- 12 Q Okay. Sure. No, it's a fair
- 13 question.
- 14 So other than the fact that
- both Code200 and Major Data are
- involved in these IPRs, are you aware
- of any connection or relationship
- 18 between those entities?
- 19 A No.
- 20 Q I know we've provided you a
- 21 link, to your counsel, with documents
- 22 that we want to use. I wanted to start
- 23 talking a little bit about your
- 24 declarations.
- So do you have any materials in

- 1 front of you, in hard copy, or are you
- 2 going to be working off the electronic
- 3 copies today?
- 4 A I -- I will look at the
- 5 electronic copy.
- 6 (Exhibit No. 1005 re the '319
- 7 patent was identified for the
- 8 record.)
- 9 BY MR. DUNHAM:
- 10 Q Okay. I'd like you to open up
- from the 1492 IPR, Exhibit 1005, and
- that's going to be your declaration
- pertaining to the '319 patent.
- 14 A All right. I have it open.
- MR. TOLLIVER: Tom -- Tom, I'm
- sorry, which -- which -- Exhibit
- 17 1005, which IPR number are -- are we
- in right now?
- 19 MR. DUNHAM: I was using the
- 20 1492, but they're still the same,
- 21 obviously.
- 22 MR. TOLLIVER: Okay. Thank
- 23 you.
- MR. DUNHAM: Sure.
- 25 ///

- 1 BY MR. DUNHAM:
- 2 Q And I'm sorry, Mr. Teruya, do
- 3 you have Exhibit 1005, your declaration
- 4 on the '319 patent; do you have that
- 5 open?
- 6 A Yes.
- 7 Q Great. Could you please turn
- 8 to paragraph number 27.
- 9 A Okay.
- 10 Q I know from our prior
- 11 deposition and your -- and your earlier
- 12 statements sometimes it's helpful for you
- to take a look at things before we
- 14 discuss them. Do you need a minute to
- 15 review this paragraph? I do have some
- 16 questions about it.
- 17 A I -- I -- if I can refer
- 18 back to it, after you ask me the
- 19 question, you know, I -- I know the --
- 20 the basics of the paragraph, so --
- 21 Q Okay. Perfect. Why don't you
- 22 just --
- 23 A -- I wouldn't just -- so the --
- 24 you know, question.
- 25 Q Sure. Sure. I just wanted to

1 make sure I was trying to accommodate

- 2 your request.
- 3 So do you understand, in
- 4 paragraph 27, you've set forth the
- 5 qualifications of a person skilled in the
- 6 art that you believe are appropriate for
- 7 the particular technology at issue in
- 8 these patents as of the date of
- 9 invention?
- 10 A Yes.
- 11 Q Can we call this definition of
- 12 a POSITA, are you comfortable with that?
- 13 A Yeah. Yes. Yes.
- 14 O Great. So is the definition of
- a POSITA, set forth in paragraph 27,
- something that you came up with or is
- this something that the lawyers presented
- 18 to you?
- 19 A It is something -- you -- you
- 20 mean relative to this declaration?
- 21 Q Yes.
- 22 A I'm familiar with the term,
- 23 because of my involvement in other patent
- 24 cases. So, you know, I -- when I came to
- 25 this declaration, it -- it was common

- 1 knowledge to me, because of my
- 2 involvement, over the years, in -- in
- 3 different patent cases.
- 4 Q Sure. And I'm -- I'm sorry,
- 5 let me ask a better question. I was not
- 6 focusing on the word POSITA. What I'm
- 7 particularly interested in is in
- paragraph 27 of your declaration you
- 9 provide what you think are the
- 10 appropriate qualifications that a POSITA
- 11 would have had in connection with these
- 12 IPRs.
- 13 And my question is: Did you
- come up with those particular
- 15 qualifications that are listed here or
- 16 did the attorneys present them to you?
- 17 A I mean, you know, it's a block
- that I've used in declarations, you know,
- in prior declarations, to explain, you
- 20 know, a position, you know, a person
- 21 skilled in the arts. I mean, this --
- it's -- it's not new to me, I've -- I've
- done these technical declarations before,
- 24 so it's to -- you know, it really is kind
- of boilerplate.

1 O Sure. So let -- let me focus

- 2 you to the two different qualifications
- 3 that you've recited.
- 4 A Okay.
- 5 Q Do you see -- do you see in
- 6 paragraph 27 that you offer two
- 7 alternatives, and they're numbered either
- 8 one or two as the qualifications and
- 9 experience that a POSITA would have in
- 10 connection with these patents; do you see
- 11 those two alternatives?
- 12 A Yes.
- 13 Q And that's what I'm interested
- in, specifically. Did you come up with
- the particulars of the two alternatives,
- numbered one and two in paragraph 27, or
- 17 did the lawyers present those particular
- 18 qualifications to you?
- 19 A No, I was asked what I thought,
- 20 you know, a -- a person in the -- skilled
- in the art would need to have at the time
- of the patent in 2009.
- 23 Q Okay.
- 24 A And that was what I said, off
- 25 the top of my head, but it's a pretty

- 1 standard answer. Most of these
- 2 network-related, you know, cases that
- 3 come up, you know, if you ask me about
- 4 cases earlier in the 2000s, I would have
- 5 it -- a different answer for, you know,
- 6 what the person would have, skill-wise,
- 7 because, you know, things change over
- 8 time.
- 9 Q Okay. If we look at the first
- alternative that's presented in paragraph
- 11 27, and that's the one that starts with a
- 12 Bachelor's degree or equivalent in a
- particular field, plus two or more years
- of practical, academic or industry
- 15 experience, do you see that particular
- 16 alternative?
- 17 A Yes.
- 18 Q Would you agree that -- that
- 19 the Bachelor's degree in those fields
- listed here, plus two or more years of
- 21 practical experience would -- would mean
- 22 the person has approximately six years
- 23 total of educational and academic
- 24 experience -- I'm sorry -- of academic
- 25 and industry experience?

- 1 A Yes.
- 2 Q Okay. And I --
- 3 A On its face, more or less, yes.
- 4 Q Sure.
- 5 A You know, the -- the reality is
- 6 you don't go to college and start a
- 7 technical field, you know? You started
- 8 before that.
- 9 Q Okay. I'd like you to look at
- 10 the second alternative, now.
- 11 A Yes.
- 12 Q That's in paragraph 27, which
- is at least three years full-time
- 14 technical experience or an equivalent
- 15 combination of academic study and work;
- do you see that alternative?
- 17 A Yes.
- 18 Q So would you agree that that,
- as it says, is approximately three years
- of full-time experience as -- as listed
- 21 in the particular field?
- 22 A Yes.
- and the patents in suit in this case, did
- 25 you -- did your opinions change whether

- 1 you were looking at this from the
- 2 perspective of a POSITA having the
- 3 experience under the first alternative in
- 4 paragraph 27, as compared to a person
- 5 having experience under the second
- 6 alternative?
- 7 A Can -- can you -- can you ask
- 8 me that again? And I'm -- I'm trying
- 9 to -- I'm trying to get the context of
- 10 what you're looking for.
- 11 Q Sure. So if we -- if -- as
- we've discussed, under the first
- alternative, the person has approximately
- 14 six years of academic and industry
- 15 experience, whereas, under the second
- 16 alternative, the person has at least
- three years of technical experience.
- 18 And my question is: Because of
- the difference in the total amount of
- 20 experience, did that in any way affect
- 21 your analysis of either the patents at
- issue or the prior art references that
- 23 you reviewed?
- MR. TOLLIVER: Objection, form.
- A No, in -- in that -- in that

- 1 context I -- I -- I don't believe so. I
- 2 think that you had to have a certain
- 3 level of fundamental understanding in
- 4 order to, you know, understand the
- 5 prior -- the -- the application and prior
- 6 art. As well as, you know, the
- 7 evaluation at hand.
- 8 I think number two has a faster
- 9 track than number one, mainly because of
- 10 what's taught in academia, always lags
- 11 what is happening day-to-day in the
- 12 industry. So you know, I -- I think
- 13 they're equivalent.
- 14 I think if you take number one
- and, in some cases, depending on, you
- 16 know, timelines of evaluation, it may --
- may take somebody in number one longer
- than somebody in number two.
- 19 Q Now, at the time that you
- 20 prepared your declaration, do you believe
- 21 that you had more experience than the
- 22 POSITA that you've listed here as either
- 23 under option one or option two?
- 24 A Yes, I mean, just
- chronologically, yes.

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1 Q Sure. But -- and so in
```

- 2 connection with the analysis that you
- 3 performed, did you rely on the experience
- 4 that you have as of the time that you
- 5 prepared your declaration?
- 6 A Only to the extent that I was
- 7 given the directive to make the analysis
- 8 based on the level of experience of the
- 9 POSITA, and that I needed to evaluate
- 10 as -- as I investigated what I was being
- 11 asked to do, and the fact is that you
- 12 can't make that evaluation unless you
- 13 know more than what the POSITA is
- 14 supposed to -- to know in order to -- to
- 15 make that evaluation.
- 16 Q And why is it that you could
- 17 not make that evaluation unless you, as
- 18 you said, know more than what a POSITA
- 19 would have known?
- 20 A Because you wouldn't understand
- 21 the limitations. You would not
- 22 understand what that person would need to
- 23 know if you didn't need -- know more than
- 24 the -- than that individual. It would be
- 25 like a manager in a company trying to

1 hire an IT individual and he has no IT

- 2 experience. You know, it just doesn't
- 3 work.
- 4 Q Now, what if the manager in the
- 5 company, as you hypothesized, had the
- 6 same level of experience in IT as the
- 7 person that that manager was seeking to
- 8 hire, is it your position that that
- 9 wouldn't work?
- 10 A Well, I think you would have
- 11 great difficulty, because as things
- 12 progress the limitations of that pairing
- would move more slowly than if you had
- 14 somebody of more experience that would
- evaluate the necessity, perhaps, to hire
- somebody of greater skill.
- 17 How would he know that he
- needed to do that if he didn't know more?
- 19 He would move -- he would move in
- 20 parallel with that individual that was
- 21 his peer in knowledge.
- 22 So it's always better in -- in
- a technical capacity, to have somebody
- 24 with more experience that could provide
- 25 that guidance, mentorship, and evaluation

- 1 for a given situation.
- 2 Q Did the fact that you
- 3 personally have more experience than a
- 4 POSITA, as recited in paragraph 27, did
- 5 that fact help you in terms of your
- 6 analysis of the two patents at issue in
- 7 the prior art references?
- 8 A I don't think so.
- 9 Q Did you rely -- in connection
- 10 with your analysis, did you rely on any
- of the additional experience that you
- 12 have, over and above the experience of a
- POSITA, as recited in paragraph 27?
- 14 A No.
- 15 Q I have some questions now about
- 16 how you analyzed the prior art references
- in this case, and we will get to the
- 18 specific references in due course.
- 19 So in connection with
- 20 performing your analysis, did you
- 21 start by looking at the claims in the
- 22 '319 and '510 patent and then going
- 23 into any particular piece of prior art
- 24 and looking to see if you could find
- 25 those claim limitations in the prior

- 1 art?
- 2 A I had a list of the claims, and
- 3 I had a list of reference materials, and
- 4 I went into the various references to see
- 5 how applicable they were in order to
- 6 determine how those claims applied.
- 7 Q And -- and how did you do that,
- 8 $\,\,$ did you take the list of claims and -- $\,$
- 9 let -- let's take an example, did you
- take, like, claim one of the '319 patent
- and then one of the references, let's say
- 12 Crowds, and did you look at the claim
- limitations in claim one of the '319 and
- 14 try to see if you could find those same
- 15 disclosures in Crowds?
- MR. TOLLIVER: Objection, form.
- 17 A Not exactly. I had a list of
- the claims, I had a general understanding
- of what was being sought, you know, as
- 20 how the -- the claims would relate to any
- 21 prior art, and then I -- I would -- I
- went through each reference to find which
- 23 were more relevant than others to use as
- 24 reference. And then I -- then I went
- 25 back and did an analysis to -- to see

- 1 step-by-step how -- how, you know, it
- 2 would match up with claim -- with the
- 3 claims.
- 5 we're dealing with; correct?
- 6 A Yes.
- 7 Q So like, for example, did you
- 8 take the steps of claim one of the '319
- 9 patent and for each step would you go to
- 10 a particular prior art reference, such as
- 11 Crowds, and look to see if that step was
- 12 present; is that how you performed your
- 13 analysis?
- MR. TOLLIVER: Objection.
- 15 Objection, form. Sorry.
- 16 A No, I -- I -- I had a general
- 17 understanding of flow, and I would take
- that flow to see if that flow was
- 19 consistent with the mech- -- mechanics of
- 20 flow in the references.
- 21 Q And by general understanding of
- 22 flow, are you referring to the flow
- recited in the method steps of the claims
- that you were reviewing?
- 25 A No, it was more in -- it was

- 1 more in the sense of the data interaction
- 2 of how -- how something got from point A
- 3 to point B, and what all the interactive
- 4 points were in between. And that's how I
- 5 determined whether or not something is
- 6 applicable or not.
- 7 Q And wouldn't you --
- 8 A And -- and to, you know, come
- 9 down to, you know, this -- this
- 10 particular technology is -- you know,
- it's -- it's pretty common and it's
- 12 pretty old.
- 13 Q When you say: To determine if
- something was applicable, tell me what
- 15 you mean by that.
- 16 A Well, whether or not they
- 17 were -- the -- their flows were similar
- 18 enough to where it would warrant my
- investigation of that particular
- 20 reference to look at, you know, how
- 21 the -- the claim elements would match up
- 22 with what was going on in the prior art.
- 23 Q So once you determined that a
- 24 particular piece of prior art, to use
- your phrase, was applicable, how did you

- 1 go about comparing the claim limitations
- 2 that you were looking at with that
- 3 particular piece of prior art?
- 4 A I would look at the claim in a
- 5 patent and I would see if the description
- of that particular claim could be --
- 7 could be -- could exist in the prior art.
- 8 Q So -- so -- and I -- I'm just
- 9 trying to make sure I understand your
- 10 analysis. So would you take, for
- 11 example, a look at the first step of the
- 12 claim and then go look in the prior art
- 13 reference to see if that step was
- 14 present?
- MR. TOLLIVER: Objection, form.
- 16 A Not exactly. Not exactly,
- 17 because I would have already made a
- determination that the steps in the
- 19 patent describe its flow. And since I
- 20 already made a determination that the
- 21 flow is applicable, I just made sure that
- 22 those elements were consistent in --
- 23 in -- in the comparison.
- 24 Q And that's what I'm trying to
- 25 understand, is that when you were trying

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1 to make sure the elements were consistent
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- 2 in the comparison did you take a look at
- 3 the particulars of each step of a claim
- 4 and then compare those particulars from
- 5 the claim to the disclosure of a
- 6 particular piece of prior art?
- 7 MR. TOLLIVER: Objection, form.
- $A \qquad I'm not getting the context,$
- 9 because, you know, this is pretty
- 10 obvious. If you compare one thing to
- another you're making a determination
- 12 whether they -- that -- that process, you
- 13 know, exists in both places.
- 14 Now, you know, I -- I don't
- know how to answer your question,
- other than that.
- 17 Q Well, sure, I'm trying to
- 18 understand, for example, when -- when a
- 19 par- -- when a claim element recites
- 20 something very particular, how do you go
- 21 about determining if what that claim
- 22 element recited was in a particular piece
- of prior art?
- 24 A By definition or by function?
- Q What do you mean by definition

- 1 or by function?
- 2 A Well, are -- are -- are you
- 3 asking me by definition or asking me its
- 4 existence by function?
- 5 Q Well, I'm asking how you
- 6 performed your analysis.
- 7 A Well, I'm -- I'm asking you to
- give me some context of it, because those
- 9 two things are completely different.
- 10 Q Well, then, tell me what you
- 11 mean if it was by definition.
- 12 A Well, I'm not understanding
- what you're asking me, in the first
- place, so how can I do that?
- 15 Q Well, you've said: Am I asking
- 16 you by definition or by function, so I --
- 17 A That's right, but you're --
- 18 you're not -- you're not telling me one
- 19 way or the other.
- Q Well, I'm asking you, now, by
- 21 definition.
- 22 A By definition, I would see if
- 23 the definitions are compatible.
- Q Okay. And how about by
- 25 function?

1 A I would see if that function

- 2 exists.
- 4 looking to see if the function exists,
- 5 did you look at the claim limitations,
- 6 see what the functions were, and then go
- 7 to a particular piece of prior art to see
- 8 if those functions were present?
- 9 MR. TOLLIVER: Objection, form.
- 10 A Well, if I'm in the comparison
- I'm looking at it. But as I said, I look
- 12 at the flow, to begin with, and I made a
- 13 selection of the reference, because my
- 14 understanding told me that I -- I believe
- that it was a viable reference to be
- 16 used.
- 17 Q Sure. So I want to go to the
- next step of your analysis now. Let's
- 19 say you've decided that a particular
- 20 reference is a viable reference to be
- 21 used; do you understand where we are
- in -- in this context?
- 23 A Okay.
- 24 Q So then how did you, once
- you've determined that a particular

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1 reference is a viable reference to be
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- 2 used, how did you go about determining if
- 3 each of the particulars recited in the
- 4 steps of the claim was or was not
- 5 disclosed in that particular reference?
- A I first took a 30,000 foot
- 7 view, and I went through the claims, and
- 8 then I reviewed the reference material,
- 9 and then more carefully refined my
- selection of the, say, top five
- 11 references that I picked to see which
- ones I believed might give me the
- opportunity to match up the best in the
- 14 least amount of time.
- 15 Q Okay. And when you were
- actually trying to do that comparison to
- match them up, as you said, did you look
- at the claim limitations and then try to
- find disclosure in a particular reference
- 20 that you would match up?
- 21 A Only at --
- 22 MR. TOLLIVER: Objection to
- form.
- 24 A Only at the end.
- 25 Q And tell me what you mean:

- 1 Only at the end?
- 2 A Well, you drill down. You
- 3 drill down by -- in the selection
- 4 process. And when it's time to actually
- 5 document all the steps con- -- contained
- 6 in the claim on -- on its progression of
- 7 what is being, you know, stated, that's
- 8 the point at which I start looking at,
- 9 you know, all the nuts and bolts, you
- 10 know, how -- how carefully do they match
- 11 up with one another. Do they even exist?
- 12 Do they not exist? You know, I -- that's
- my process. It's a process for me.
- 14 Q And in your process of
- 15 comparing the nuts and bolts, as you say,
- did you read the claim to see what the
- 17 particular nuts and bolts were and then
- 18 go look at the reference to see if those
- same nuts and bolts were present?
- 20 A Of -- of course, otherwise how
- 21 would I have been able to make a
- 22 selection of the references? I had to
- 23 have a basic understanding of, you know,
- 24 what the invention was and what it's
- 25 claiming in order to -- to pick the

- 1 appropriate references.
- 2 Q Okay. Could you please turn to
- 3 paragraph 13 in your declaration and let
- 4 me know when you are there?
- 5 A Okay.
- 6 Q So in performing your analysis,
- 7 in connection with the -- the
- 8 declarations here, did you give all of
- 9 the claim terms their ordinary and
- 10 customary -- customary meaning, in light
- of the specification?
- 12 A I -- I don't know what --
- what -- what do you mean by that?
- 15 A Most of these terms are very
- ordinary and, you know, I mean, you know,
- a web server is a web server, right? Is
- that what you're talking about?
- 19 Q So you're telling me -- let
- 20 me -- I'm sorry, hold on.
- 21 You're telling me a web server
- is a web server, is that what you said?
- 23 A Well, if I read something as a
- 24 web server, my understanding is that it's
- a web server.

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1 Q So you're -- so I -- thank you.
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- 2 So my question for you, then,
- 3 sir, would be: So what's a web server?
- 4 What was your understanding of a web
- 5 server when you analyze these particular
- 6 patents?
- 7 A Really? Are you really asking
- 8 me this?
- 9 Q Sir, it's very serious today.
- 10 This is an important proceeding. Yes.
- 11 A Okay. Web server is something
- that serves HTML pages, media data, sits
- on the internet, is -- conforms to the
- 14 standard of providing, you know, browser
- 15 based content, you know, provides a -- a
- 16 response to requests by clients to
- 17 provide HTTP conforming data.
- 18 Q Okay. And how did you come to
- the understanding of what you were
- looking for when you saw the phrase web
- server in the claims, how did you form
- 22 that understanding you just articulated
- 23 to me?
- 24 A How did I form my understanding
- of a web server?

- 1 Q Yeah, as that term is used in
- 2 these patents?
- 3 A I -- I -- I take that term of a
- 4 web server as a term of understanding
- 5 that I know what a web -- web server is,
- and a web server is pretty common
- 7 knowledge.
- 9 from 2005 to 2015?
- 10 A Not really. Not substantively.
- 11 Q Okay. In connection with your
- 12 analysis, did you apply a specific
- 13 construction for the claim term: First
- 14 client device?
- 15 A Could you -- can you ask me
- 16 that again?
- 17 Q Certainly. So we -- we talked
- about the definition you used for a web
- 19 server in performing your analysis, and
- 20 I'd like to know what was the definition
- 21 that you used for the -- the phrase:
- 22 First client device, as that phrase is
- used, for example, in claim one of the
- 24 '319 patent?
- 25 A I viewed it as a -- a -- a

- 1 device that functions in the role of a
- 2 client.
- 3 Q Okay. And what definition, if
- 4 any, did you apply for the term: Second
- 5 server, as used in claim one of the '319
- 6 patent?
- 7 A I -- I really viewed it as a --
- 8 as a device that -- that is operating in
- 9 the role of the server.
- 10 Q And could that be any kind of
- 11 device that's operating in the role of a
- 12 server or did you have an understanding
- it had to be some particular type of
- 14 device?
- 15 A In -- in what -- what context
- are you asking me that?
- 17 Q In terms of your understanding
- of the -- of the term "second server," as
- used in claim one of the '319 patent?
- 20 A You know, a -- a device,
- 21 meaning -- you know, I -- I define it
- 22 more of a role than a device. A device
- is generally a reference to a -- some
- 24 kind of entity, right, either some
- 25 physical manifestation or a virtual

- 1 manifestation of a -- of an entity,
- 2 whereas, the definitions of -- of client
- 3 or server, really, functional definitions
- 4 role.
- 5 Q So in performing the analysis
- 6 that you did, in connection with the '319
- 7 and '510 patents, for both the servers
- 8 and clients that were recited in the
- 9 claims, were you really applying a -- a
- 10 definition that was functional and
- 11 looking at just the role that a device
- 12 performs, as distinct from looking at the
- 13 characteristics of a device, itself?
- MR. TOLLIVER: Objection, form.
- 15 A Can you provide me some context
- of what you're asking me of -- you know,
- 17 like, am I looking for a specific piece
- of hardware or what -- what are we
- 19 talking about?
- 20 Q Well, I'm asking you to
- 21 explain -- help me understand the
- definition that you used for, say, second
- server, when you did your analysis of the
- 24 case?
- 25 A Okay. Yeah --

1 Q Sir, I'm sorry, please let me

- 2 finish my questions --
- 3 A Okay.
- 5 So let me ask again.
- 6 What I'm trying to
- 7 understand is what was the definition
- 8 that you applied to the term "second
- 9 server," as used in the claims of the
- 10 '319 and '510 patents in connection
- 11 with the analysis that you performed?
- MR. TOLLIVER: Objection, form.
- 13 THE WITNESS: Can you ask me
- 14 that again?
- MR. DUNHAM: Sure.
- 16 BY MR. DUNHAM:
- 17 Q Let me give you a little more
- 18 context, then.
- 19 A Okay.
- 21 and -- and you can correct me if I
- 22 misquote you -- but I believe earlier you
- 23 testified that your understanding of
- 24 second server was a device that is
- 25 operating in the role of a server.

1 And my question is: In

- 2 connection with performing your analysis,
- 3 were you looking for any particular type
- 4 of device or was your focus when you
- 5 reviewed these claims that you're just
- 6 looking for any device that is operating
- 7 in the role of a server?
- 8 MR. TOLLIVER: Objection, form.
- 9 A The -- you know, the -- the
- 10 term of server and client, to me, are,
- 11 you know, role-based definitions.
- 12 They're not -- they're not, you know --
- they're not necessarily tagged to a
- 14 device.
- 15 A device can be a client
- device, if that clients device has a
- 17 client function. But, you know, the
- 18 example is you could take a computer and
- 19 you can function in the role of a client
- 20 device and have a -- another service
- 21 available when -- in -- in that stack of
- 22 the device that is functioning as a
- 23 server in that same physical device, you
- know, as long as it's communicating on a
- 25 network it can -- can serve multiple

1 functions based on the role that has been

- 2 given and installed in that particular
- 3 devi- -- device.
- 4 And it's generally by, you
- 5 know, what software packages are -- are
- 6 put in it, for instance, if you take a
- 7 Windows server 2000 -- or I mean 20 --
- 8 like 2012, 2019, you know, even going
- 9 back to, you know, server 2003, there's a
- 10 menu in there, and you can install
- 11 different roles.
- 12 It automatically functions
- as a client because it's Windows, but
- 14 you can install roles and give that
- device multiple roles, it can be a web
- server, a DNS server, an FTP server,
- 17 proxy server, even a -- a common
- 18 Windows device can be a server and a
- 19 client at the same time. People, you
- 20 know, very commonly use ICS. ICS
- 21 makes their server.
- 22 Q So under the understanding that
- 23 you applied for the terms first client
- device and second server, from the claims
- of the '319 and '510 patents, was it your

- 1 understanding that a single device could
- 2 perform both in the role of a client and
- 3 in the role of a server at the same time?
- 4 A Yes.
- 5 MR. TOLLIVER: Objection.
- 6 Objection, form.
- 7 BY MR. DUNHAM:
- 8 Q And I'm sorry, what was your
- 9 answer, sir?
- 10 A Yes.
- 11 Q Okay. Could you look at --
- 12 turn to -- I'm sorry, paragraph 37 of
- 13 your declaration?
- 14 A Okay.
- 15 Q And I just want to confirm, is
- it -- is it your opinion, sitting here
- today, that claim one of the '319 patent
- 18 recites, quote, little more than the
- 19 basic functionality of a proxy server,
- 20 which was well known to a POSITA well
- 21 before 2009, close quote?
- 22 A It is -- you mean is that what
- I wrote there?
- 24 Q I'm asking if that's still your
- 25 opinion today.

- 1 A Yeah, yeah, it's my opinion.
- 2 Q So is -- is your understanding
- 3 that claim one, in terms of your analysis
- 4 of it, what you were looking for was
- 5 prior art, art that showed the basic
- 6 functionality of a proxy server, is that
- 7 how you analyzed claim one?
- 8 MR. TOLLIVER: Objection, form.
- 9 A No. My analysis of claim one
- 10 that -- and, I mean, before I even
- 11 started to delve into the specifics of,
- 12 you know, the objective, my objective was
- it was -- it was pretty clear that this
- 14 was a, you know, a proxy type service. I
- mean, proxies are very common.
- 16 Q So what did you mean then, sir,
- 17 referring again to paragraph 37 of your
- declaration, what did you me when -- when
- 19 you said claim one recites little more
- 20 than the basic functionality of a proxy
- 21 server?
- 22 A What did I mean? I -- well,
- 23 you know, it's -- to be -- to be honest,
- 24 I -- I thought that, you know, you're
- 25 picking words to -- to change up, to --

- 1 so that you're not describing a proxy
- 2 server, but, really, it's a proxy server.
- 4 then, claim one really recites a proxy
- 5 server but they're just in different
- 6 words, is that what you're saying?
- 7 A Yeah, for the most part.
- 9 of 2009 that a POSITA would also know
- 10 that a proxy server could be placed
- 11 between a requesting client device and a
- web server?
- 13 A Yes.
- 14 Q I'm going to want to come back
- to the declaration that we've just been
- looking at on the '319, but I would ask
- 17 you to take out your declaration now for
- the '510 patent, which would be from the
- 19 1493 IPR, same exhibit number, 1005, and
- I am going to want to go back and forth
- 21 between them a bit, sir. So this would
- 22 be the 1493 IPR, Exhibit 1005, again,
- which is your declaration on the '510
- patent.
- 25 (Exhibit No. 1005 re the '510

Page 52 patent was identified for the 1 2 record.) 3 THE WITNESS: Okay. MR. DUNHAM: And Craig, do you 4 5 have it, too? MR. TOLLIVER: Almost. 6 MR. DUNHAM: Okay. Let me know when you've got it up. It looked 9 like you were still looking for it, 10 so I was holding off. 11 MR. TOLLIVER: Yeah, I know, 12 my -- my screen did something I don't 13 think it was supposed to do. So --14 okay. Go ahead. I have it. Thank 15 you. 16 BY MR. DUNHAM: 17 0 Sure. If we can turn to 18 paragraph 13 of your declaration for the 19 '510 patent, and please let me know when 2.0 you're there. 21 Α Okay. 22 And for context, I'm just 23 trying to make sure I have a complete 24 record, here, sir. 25 So I just want to understand:

- 1 Did you use the same understanding for
- 2 first client device and second server
- 3 when you analyzed the '510 patent claims
- 4 did you use the same understanding that
- 5 you used and just testified about that
- 6 you applied when you analyzed the '319
- 7 patent claims?
- 8 A Yes.
- 9 Q Were there any differences in
- 10 your understanding of first client device
- or second server in the '510 patent
- 12 that -- that you utilized in that
- analysis as compared to the analysis you
- 14 did for the '319 patent?
- 15 A Not that I recall, off the top
- of my head.
- 17 Q And if you could turn to
- paragraph 37 of your declaration in the
- 19 '510 patent. Please let me know when
- 20 you're there?
- 21 A I'm there.
- 22 Q Great. And I have, really, the
- 23 same question I asked you before: Is
- 24 your understanding of the invention of
- claim one of the '510 patent essentially

- 1 the same as your understanding of the
- 2 invention of claim one in the '319
- 3 patent, in that, as you say in your
- 4 declaration, claim one recites little
- 5 more than the basic functionality of a
- 6 proxy server?
- 7 MR. TOLLIVER: Objection, form.
- 8 A I -- I believe so.
- 9 Q And again, I appreciate you
- 10 working with me on that, because, again,
- it's just trying to have a complete
- 12 record.
- I would like now to go back to
- 14 your declaration for the '319 patent, so
- 15 Exhibit 1005 from the 1492 IPR.
- 16 A Okay.
- 17 MR. DUNHAM: Okay. And
- 18 Mr. Teruya, we've been going about an
- 19 hour, and I am always one, as you
- 20 know from prior experience, I like to
- 21 give the witness a break regularly.
- I'm about to start a lengthy line of
- 23 questions, would you like to take a
- 24 short break now?
- THE WITNESS: Okay.

1 MR. DUNHAM: Okay. Okay. Why

- don't we take a 10-minute break,
- 3 then.
- 4 THE WITNESS: All right.
- 5 THE VIDEOGRAPHER: The time is
- 6 12:59 p.m. We are off the record.
- 7 (Recess.)
- 8 THE VIDEOGRAPHER: The time is
- 9 1:11 p.m. We are back on the record.
- 10 Please proceed.
- 11 BY MR. DUNHAM:
- 12 Q Mr. Teruya, right before the
- 13 break I asked you to take out your
- declaration in connection with the '319
- patent and turn to paragraph 165; are you
- there now, sir?
- 17 A Sorry, I didn't hear the
- paragraph, 165?
- 19 Q Oh, yes, sir, could you please
- then turn to paragraph 165 of your '319
- 21 declaration and let me know when you are
- there.
- 23 A Okay.
- Q Okay, I'd like to focus your
- 25 attention on the -- the second sentence

- in paragraph 165, and I'll read it for
- 2 you, it says, quote, that a node can act
- 3 as a client in one relation and as the
- 4 server in another, is consistent with the
- 5 meanings of, quote, client and, quote,
- 6 server as a POSITA knows; do you see that
- 7 sentence, sir?
- 8 A Yes.
- 9 Q What did you mean when you said
- 10 that a node can act as a client in one
- 11 relation, what did you mean by that?
- 12 A In -- in the -- in the act of
- responding and providing information, for
- 14 requesting and receiving information in
- 15 the case of the client.
- 16 Q So what did the word -- you
- mean by the word relation?
- 18 A That the relation as in the
- relationship of the connection, because
- 20 we were talking --
- 21 Q Okay. So --
- 22 A -- about circuits here, right?
- 24 hypothetical, then: If -- if we have
- 25 three nodes in a row, and we'll call

- 1 those nodes node A, node B, and node C,
- 2 does B's relationship relative to C
- 3 dictate whether B would be a client or a
- 4 server?
- 5 MR. TOLLIVER: Objection, form.
- 6 A Well, there's a lot of
- 7 specificity that needs to be provided in
- 8 order to make that determination.
- 9 What -- what is the path of the
- 10 information? What is the objective of --
- of, you know, that architecture? Can you
- describe the architecture and the
- objective of the connectivity?
- 14 Q Sure. Let me ask you this
- 15 way -- and if it's helpful, feel free to
- refer to paragraph 166, as well.
- 17 Is it your opinion that within
- the meaning of the '319 and '510 patents
- 19 that a node that is sending a request is
- 20 a client?
- MR. TOLLIVER: Objection, form.
- 22 A I would say that that's -- that
- 23 could be -- that could be the case in
- 24 a -- in a specific context, yes.
- 25 Q Okay. And if a particular --

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1 A But not the -- but not the only
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- 2 one, of course.
- 4 I'm asking for your understanding of
- 5 client and server as they're used in the
- 6 '319 and '510 patents.
- 7 So let me ask you this: If --
- 8 if a node -- if a first node sends a
- 9 request to a second node, does the fact
- 10 that the second node receives that
- 11 request from the first node make that
- 12 second node a server, within your
- 13 understanding of the -- the terms as
- they're used in the '319 and '510
- 15 patents?
- 16 MR. TOLLIVER: Objection to
- form. Objection, foundation.
- 18 A Can you provide more context to
- that question? And what is the
- 20 architecture?
- 21 Q Sure. If I've got a -- a first
- 22 node that is sending a request to a
- 23 second node, is that first node, under
- your understanding, a client as that term
- 25 is used in the '319 and '510?

- 1 MR. TOLLIVER: Objection, form.
- 2 Objection, foundation.
- 3 A I -- I -- I need more
- 4 specificity of what the request is,
- 5 what -- what is the software stacks we're
- 6 talking about, and what is the -- what --
- 7 what is the architecture? Is it a -- is
- 8 it a connection? You know, is it a
- 9 connection between the two? Is it
- 10 connection lists? Is it a datagram? Is
- 11 it a -- are we talking TCPIP? What
- 12 layer? What -- what are we talking
- 13 about?
- 14 Q So in -- in your understanding
- of the term "first client device" used in
- 16 the '319 patent, does it --
- 17 A Okay.
- 18 Q -- matter if the first client
- device has either a connection or if
- there's a connectionless route that
- 21 messages are coming out of that first
- 22 client device?
- 23 A Well, by the specification of
- the patent we're talking about TCPIP.
- 25 TCPIP is not a connectionless protocol,

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1 so yes, there is a connection that had to
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- 2 have been established in the first place.
- 4 this way: If we have a first node that
- 5 has a TCPIP connection to a second node,
- 6 and that first node sends a request for
- 7 content to the second node, is it your
- 8 understanding that that first node would
- 9 be a client device within the meaning of
- the '319 and '510 patent claims?
- 11 MR. TOLLIVER: Objection, form.
- 12 Objection, foundation.
- 13 A It could be.
- 14 Q Under what circumstances would
- 15 you agree that the first node is a
- 16 client?
- 17 A By -- by function.
- 18 Q Okay. So purely by function
- 19 you would be able to make that
- 20 determination; correct?
- 21 A Well, it -- it would have to
- 22 have a defined function. Defined
- function is generally, you know, derived
- 24 by -- you know, what -- what software and
- 25 process has been loaded to give it that

- definition of role.
- 2 Q Okay. But -- so it would be
- 3 the definition of role that would allow
- 4 you to determine if that first node met
- 5 the phrase client device as used in '319
- and '510; is that correct?
- 7 A Well, it --
- 8 MR. TOLLIVER: Objection, form.
- 9 Objection, foundation. Go ahead.
- 10 A In -- in -- in the
- 11 appropriate context, if you want to, you
- 12 know, provide more context, if it has
- been assigned to be a client then it
- 14 will -- it would have the requisite
- software and definition of functionality
- 16 to act as a client. And, therefore, that
- 17 role of a client would be assumed in that
- 18 case.
- 19 Q I'll ask you to take out or
- open up a copy of the '319 patent,
- 21 please.
- 22 A Okay.
- 23 Q And please let me know when you
- 24 have that available?
- 25 A Well, is it numbered in your

- 1 exhibit list here?
- 2 (Exhibit No. 1001 was
- 3 identified for the record.)
- 4 BY MR. DUNHAM:
- 5 Q It should be Exhibit 1001. It
- 6 should be the very first exhibit.
- 7 A No, the very first exhibit is
- 8 1010.
- 9 Q I'm not sure how you've sorted
- 10 your exhibits, but it's --
- 11 A I haven't, I just opened what
- was sent to me in Box.
- 13 Q Sure. So it's the 1492 IPR,
- Exhibit 1001. And that would be the '319
- patent.
- 16 MR. TOLLIVER: And if I -- if I
- can help, because I have not sorted
- my exhibits, either, on my screen
- it's towards the end of page 1, looks
- 20 like the third from the bottom.
- THE WITNESS: Oh, I see.
- 22 It's -- it's got Shribman next to it.
- Okay.
- 24 BY MR. DUNHAM:
- Q Okay. Do you have the '319

- 1 patent available, sir?
- 2 A Yeah, it's loading now, yes.
- 4 you've done, did you review the '319
- 5 patent in its entirety?
- 6 A Yes.
- 7 Q And did you review each of the
- 8 figures of the '319 patent?
- 9 A Yes, I probably did.
- 10 Q I'm sorry, you did or you
- 11 probably did?
- 12 A I -- I -- I'm sure I did. I'm
- 13 sure I did.
- 14 Q Okay. Just for housekeeping,
- when you reviewed the '510 patent, did
- 16 you review that patent in its entirety?
- 17 A Yes.
- 18 Q And did you review each of the
- figures in the '510 patent?
- 20 A I'm sure I did.
- 21 Q I'd like you to turn to Figure
- 1 of the '319 patent, please.
- 23 A Okay.
- Q Okay. I'd like you to focus on
- 25 the portion of Figure 1 that shows proxy

- 1 server six, between client device 14 and
- 2 client device 16, on the one hand, and
- 3 web server 32 on the other hand; do you
- 4 see that portion of Figure 1?
- 5 A Yes.
- 6 Q According to the '319 patent,
- 7 is it your understanding that client 14
- 8 can send requests for content to proxy
- 9 server six?
- 10 MR. TOLLIVER: Objection, form.
- 11 A I don't recall that terminology
- being used in the claim proxy server.
- 13 Q I'm asking about Figure 1, sir,
- 14 to be clear. So my question is: Do you
- 15 understand -- is it -- strike that.
- 16 With res- -- with reference to
- 17 Figure 1, is it your understanding that a
- 18 POSITA would understand that client
- device 14 can send requests for content
- 20 to proxy server six?
- 21 MR. TOLLIVER: Objection, form.
- 22 A I -- I -- I think it would
- 23 depend on what -- what the structure was
- of that proxy server.
- 25 Q Do you understand -- strike

- 1 that.
- 2 What is your understanding of
- 3 the lines drawn between client device 14
- 4 and proxy server six?
- 5 A That there's a connection that
- 6 exists between proxy server and -- and
- 7 what's -- what is defined to be the
- 8 client device 14 there.
- 9 Q And do you have an
- 10 understanding that client device 14 can
- 11 send requests ultimately directed to web
- 12 server 32, client device 14 can send
- those requests first to proxy server six?
- 14 MR. TOLLIVER: Objection, form.
- 15 A What I'm saying, that -- that
- 16 could be a -- a setup there, we -- we
- don't really have the particulars of the
- 18 specification or what all those
- 19 connections are, proxy server could
- 20 contain a firewall that doesn't allow
- 21 them to do that.
- 22 Q So --
- 23 A Many proxy servers were
- installed in companies so that people
- couldn't get out on the internet.

- 1 O So --
- 2 A So I -- I don't really know
- 3 what the structure of that particular
- 4 proxy server is.
- 5 Q Sir, what is your understanding
- of the line that is on the right-hand
- 7 side of proxy server six that extends out
- 8 of the proxy server six and into the
- 9 internet cloud as shown?
- 10 A It looks like there's a
- 11 connection out to the internet, but we
- don't have any specification as to the
- open courts, what's allowed, what's not
- 14 allowed, we don't -- we don't know.
- 15 Q So sitting here today, is it
- 16 your sworn testimony that you don't
- 17 understand whether client device 14, as
- shown in Figure 1, can send a request to
- web server 32 through proxy server six?
- MR. TOLLIVER: Objection, form.
- 21 A That -- that's not what I said.
- I said that it could, but we need more
- 23 specifics as to what is installed in
- those devices and what is the permission
- 25 profile that has been defined for that

- 1 architecture.
- 2 Q So I'd like you to assume that
- 3 the permission profiles and
- 4 configurations of the device -- client
- 5 device 14, proxy server six, and web
- 6 server 32, shown in Figure 1, are such
- 7 that client device 14 can send requests
- 8 for content to web server 32 through
- 9 proxy server six; do you understand that?
- 10 A Yes.
- 11 Q Okay. At the point in time,
- 12 with that understanding, at the point in
- 13 time when client device 14 sends a
- 14 request to proxy server six, is client
- device 14 operating in the role of a
- 16 client under your understanding of the
- terms of the '319 patent?
- 18 MR. TOLLIVER: Objection, form.
- 19 Objection, foundation.
- 20 A Can you give me more context of
- 21 client device 14? Is client device 14
- 22 defined in the role of a -- of a client
- 23 only device?
- 24 Q I'm asking you in the context
- of client device 14, sending a request

- for content to web server 32, where
- 2 client device 14 sends that request to
- 3 proxy server six, and my question is: In
- 4 that transaction of client device 14,
- 5 sending a request for content that goes
- 6 to proxy server six, is client device 14
- 7 operating in the role of a client the way
- 8 you have understood the terms and the
- 9 '319 and '510 patents?
- 10 MR. TOLLIVER: Objection, form.
- 11 Objection foundation.
- 12 A If -- if client device 14
- has -- has been designated to be a -- to
- 14 function in the role of the client, and
- sends the request to the proxy server,
- 16 yes, it is functioning as a client.
- 17 Q Well, sir, in your answer I
- 18 believe you testified that you said: If
- 19 client device 14 is operating in the role
- of a client; is that correct?
- A Yes.
- 22 Q So does the fact that client
- 23 device 14 sends a request for proxy --
- request for content to proxy server six,
- is that part of determining whether

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1 client device 14 is a client device, or
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- 2 are you saying that client device 14 just
- 3 has to be configured to be a client
- 4 device?
- 5 A Can you run that by me again?
- 6 Q Sure. I'm trying to
- 7 understand, is it your testimony that
- 8 client device 14 is or is not a client
- 9 device based on the way it is
- 10 configured --
- 11 A Okay.
- 13 at what client device 14 is doing, such
- as sending a request for content to proxy
- 15 server six?
- MR. TOLLIVER: Objection, form.
- 17 Objection, foundation.
- 18 A In -- in this diagram, client
- device 14 says it's a client device by
- 20 just stating it's a client device. And
- 21 I'm saying if that client device has been
- designated in the role of a client, then
- 23 sending a request on the basis of that
- 24 role it can be considered to be the
- 25 client.

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1 It doesn't preclude it, it
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- 2 doesn't preclude the client device of
- 3 being able to do something else, because
- 4 it's a device as opposed to the
- 5 definition of what you're asking that
- 6 initiates the request as part of a
- 7 process of a client that ha- -- that is
- 8 functioning in the role of a client.
- 9 Q And is that distinct from a
- 10 client that would be functioning in the
- 11 role of a server?
- 12 A A client defined as a client is
- 13 a client. A client device, that may have
- 14 a -- a server process running on it, can
- operate as a server even though it were
- the -- the physical device happens to be
- tagged as a client device.
- 18 Q So when --
- 19 A But in the role of the client,
- 20 it can -- it's functioning as a client
- 21 making requests.
- 22 Q So in -- in your analysis of
- the claims of the '319 and '510 patents,
- 24 sir, when the claim says first client
- device, is it your understanding that

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1 that must both be a client device and
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- 2 something that's operating in the role of
- 3 a client or were you looking just in --
- 4 at whether something was operating in the
- 5 role of a client?
- 6 MR. TOLLIVER: Objection, form.
- 7 A I -- I took the common
- 8 definition of -- that -- that you -- you
- 9 would use since there was no specificity
- 10 as to the -- the differentiation between
- 11 a -- the device and a role that a client
- device, in my mind, as I was doing my
- evaluation, is that that -- that device
- is operating in the role of a client.
- 15 Q So can you take out the
- MorphMix reference, please. And that's a
- 17 reference you reviewed; right?
- 18 A Yes. Do you want to give me
- 19 that exhibit number?
- 20 (Exhibit No. 1008 was
- identified for the record.)
- MR. DUNHAM: Sure. It's
- Exhibit 1008. I lost my list of
- 24 exhibits, here. Hang on.
- MR. TOLLIVER: Mr. Dunham, when

- 1 I just clicked on Exhibit 1008 it
- 2 tells me: We're sorry, the document
- 3 may be protected and it didn't -- it
- 4 didn't load. That was not the case
- 5 with the others, this is the first
- time it's done that to me.
- 7 THE WITNESS: Well, it kind of
- 8 closed down my access, here.
- 9 MR. DUNHAM: I bet the -- can
- 10 you drop that one in the chat, then?
- 11 It's opening fine for me. And -- and
- 12 Craig, it appears in both IPRs 1492
- and 1493, have you tried both just to
- see if there's just a glitch in the
- download?
- MR. TOLLIVER: That's a good
- point, I was trying 1493. No, it
- 18 says the same thing.
- 19 A Okay, you're -- you're saying
- 20 Exhibit 1008; is that correct?
- 21 Q Yes, sir.
- 22 A Okay. Looks like both Betsy
- and I have dropped it into the chat, if
- you're having any trouble.
- 25 MR. TOLLIVER: As he's looking

1 to the chat, it -- it looks like, for

- 2 whatever reason, certain of the
- 3 exhibits will pull up from the Box,
- 4 the Box site, and -- and certain
- 5 won't, and they say that they're
- 6 protected, at least from -- from
- 7 my -- from my access.
- 8 THE WITNESS: Okay. I -- I
- 9 have it. It keeps spinning. It must
- 10 be large.
- MR. DUNHAM: Let me know when
- 12 you -- when you have it available.
- And you should be able to download it
- from the chat, as well, now.
- 15 And Craig, if it helps, it
- might be because the file size is
- more than typical Gateway limits. If
- 18 you just download all of the exhibits
- 19 from Box you'll get a Zip file that
- 20 expands, and that's how I downloaded
- 21 them, and they work just fine.
- 22 MR. TOLLIVER: I have -- I have
- it up now, but I did it from the
- chat, yep.
- 25 THE WITNESS: What would you --

- 1 BY MR. DUNHAM:
- 2 Q Sorry, Mr. Teruya. Do you have
- 3 MorphMix available, sir?
- 4 A Yes.
- 5 Q You mentioned it must be very
- 6 large. Did you read the entire MorphMix
- 7 reference in connection with the work you
- 8 did in this case?
- 9 A I -- I went -- I went through
- 10 most of it.
- 11 Q And what do you mean by most of
- 12 it?
- 13 A Well, when it got into the
- 14 weeds about statistical analysis and
- 15 things like that, I -- you know, I just
- 16 glossed -- I just skimmed over those
- 17 types of parts. You know, when -- when I
- looked at, you know, the equations of
- 19 participant probabilities and things like
- 20 that, I didn't need to get into those
- 21 things, so I -- I would be more selective
- 22 about my chapter reviews. I looked at --
- 23 I -- I tended to concentrate more on
- 24 reading through sections of -- of
- 25 structure and architecture.

- 1 Q Okay. I'd like you to turn to
- 2 the page in MorphMix that has Figure 5.1,
- 3 and there's two different numbering
- 4 schemes, there's the internal document
- 5 number on the upper left, which would be
- 6 page 98, and then there's the exhibit
- 7 numbering on the bottom right, which
- 8 would be page 118. And I -- I will refer
- 9 to whichever one is easier for you, sir.
- 10 A Okay. 118.
- 11 Q Yes, sir, and it should show
- 12 Figure 5.1.
- 13 A Okay.
- 14 Q Are you at that point?
- 15 A I am.
- Okay. With reference to Figure
- 5.1 of MorphMix, is it your understanding
- that node A sends a request to node B?
- 19 A Is -- is that what's being
- 20 defined here in this overview?
- 21 Q I'm asking you for your
- 22 understanding of what is shown in Figure
- 23 5.1 by the bold line between A -- node A
- and node B.
- 25 A Well, I -- I -- I make the

- 1 observation that it's a connection
- 2 between the two.
- 3 Q And in terms of the disclosure
- 4 of MorphMix, is it your understanding
- 5 that node A can send requests to node B?
- 6 A What -- what are you -- what
- 7 particular paragraph of my declaration
- 3 reference are you asking me about?
- 9 Q I'm asking you about your
- 10 understanding of MorphMix.
- 11 A Okay. I did an analysis of
- 12 MorphMix, and can you refer to my
- declaration as to what the question is of
- 14 what -- what I've determined?
- 15 Q I'm asking you about your
- 16 understanding of the MorphMix reference
- 17 right now. We'll get to your declaration
- 18 later. And my question is: With
- reference to Figure 5.1 of MorphMix, is
- 20 it your understanding that node A can
- send requests to node B?
- 22 A It could, yes.
- 23 Q Okay. And in turn, then, could
- 24 node B send the requests onto node C?
- 25 A Yes.

- 1 O And then would node C then be
- 2 able to send those requests onto the S in
- 3 the circle, the server?
- 4 A Yes.
- 5 O So I want to focus on that
- 6 pathway and what happens when a request
- 7 is sent from node A to node B then on to
- 8 node C and onto the server. So for
- 9 context, that's what I'd like to focus
- 10 on.
- 11 And my -- my first question is:
- 12 At the point in time that node A, as
- shown in Figure 5.1 of MorphMix, sends a
- 14 request to node B, is it your
- understanding that node A is operating in
- the role of a client?
- 17 MR. TOLLIVER: Objection, form.
- 18 Objection, foundation.
- 19 A In -- in this hypothetical,
- let's assign him to be a client, yes.
- 21 Q Well, I'm -- I'm not assigning
- 22 A to be a client, sir. I'm asking --
- 23 A Well, if he's functioning in
- 24 the role of sending a request as a client
- 25 then we have to consider him a client.

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1 Q And -- and I'm just asking for
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- 2 your understanding, sir. So at that --
- 3 A My understanding would be that
- 4 he can do that if he is functioning in
- 5 the role of a client.
- 6 Q Okay. And at the point in
- 7 time, when node B receives that request
- 8 from node A, is node B operating in the
- 9 role of a client or a server?
- 10 MR. TOLLIVER: Objection, form.
- 11 A I can -- let -- let's -- let's
- 12 give it a role. Let's give it a role.
- 13 Q I'm asking for your
- 14 understanding, sir.
- 15 A My understanding is whatever
- the role is defined as, as that request
- 17 comes in, he's going to act upon that
- request appropriate to the role that he
- 19 has been assigned, if he is a -- assigned
- as a role of a proxy that's a passthrough
- 21 proxy then he's going to take that
- request and pass it on to -- to node C.
- 23 If he's a caching proxy, and is
- 24 not making a request that needs to be
- passed on, then he's going to look at his

- 1 cache in B and return that data.
- I don't -- you -- you
- 3 haven't defined for me the specificity
- 4 of role, here, for me to say that it's
- 5 one thing or another.
- 6 Q Sir, are you aware of any
- 7 disclosure in MorphMix where node B would
- 8 cache information that it could return --
- 9 A I -- I --
- 10 Q Sir, please, I allow you to
- finish your answers, I need to ask you to
- 12 slow down and let me ask my questions.
- 13 Thank you.
- 14 Are you aware of any
- disclosure in MorphMix where node B
- operates as a caching proxy, as you
- 17 just testified?
- 18 A Not that I'm aware of.
- 19 Q Are you aware of disclosure in
- 20 MorphMix where node B will receive a
- 21 request from node A and then send that
- request on to node C?
- 23 A That is one of the described
- functions and paths that were defined by
- MorphMix.

- 1 Q Okay. So for that described
- 2 function and path, where node A sends a
- 3 request to node B, under your
- 4 understanding of the terms of the '319
- 5 and '510 patents, would node B be
- 6 operating in the role of a client or in
- 7 the role of a server?
- 8 MR. TOLLIVER: Objection, form.
- 9 Objection, foundation.
- 10 A I -- I believe that node B
- 11 could -- could function as a server.
- 12 Q Okay. And I'm asking about
- during that particular transaction, when
- 14 node A sends a request for node B, is it
- 15 your understanding that at that point in
- time node B is operating in the role of a
- 17 server?
- 18 MR. TOLLIVER: Same objections.
- 19 THE WITNESS: Can you say that
- again, please?
- MR. DUNHAM: Sure.
- 22 BY MR. DUNHAM:
- 23 Q I'm asking about that
- particular point in time, where, as shown
- in Figure 5.1, node A sends a request to

- 1 node B, is it your understanding that
- 2 node B is operating in the role of a
- 3 server?
- 4 MR. TOLLIVER: Same objections.
- 5 A If -- if node B, in the context
- of the '319 patent, is returning data
- 7 from a request coming in, then it's
- 8 operating in the role of a server.
- 9 O So I want to -- I want to walk
- 10 with you, sir, the flow where node A as
- shown in Figure 5.1 of MorphMix is
- sending request to node B, node B sends
- that request on to node C, node C goes
- out and sends that request to the server
- 15 S, I want to first focus on that flow; do
- 16 you understand that?
- 17 A Okay.
- 18 Q So in that flow, when node A
- sends a request to node B, is node B
- 20 operating in the role of a server under
- 21 your understanding of the terms?
- MR. TOLLIVER: Same objections.
- 23 A Role, yes.
- Q Okay. And then when node B
- 25 further, as shown in Figure 5.1, sends

- 1 that request on to node C, is node B
- 2 operating in the role of a client or a
- 3 server?
- 4 MR. TOLLIVER: Same objections.
- 5 A I -- I would say that it would
- 6 have -- it would be functioning in the
- 7 role of a server.
- 8 Q So when B sends the request on
- 9 to C, is it your testimony that B is
- 10 functioning in the role of a server?
- 11 MR. TOLLIVER: Same objections.
- 12 A In -- in -- in that example
- here, in that context, I would say so.
- 14 Q Okay. And then, when node C
- sends a request on to the server S, what
- role is node C operating in?
- 17 A It depends on which side of
- that connection you're looking at.
- 19 Q I'm asking you when node C --
- $A ext{Yes.}$
- 22 S, is node C operating in the role of a
- 23 client or a server under your
- 24 understanding of the terms?
- 25 A Give me --

- 1 MR. TOLLIVER: Same objections.
- 2 A Give me the context. From the
- 3 server it's going -- server S is going to
- 4 look like a client.
- 5 Q Okay. And -- and what other
- 6 context do you have in mind when you say
- 7 with respect --
- 8 A Well, that is the context.
- 9 You -- you know, the other context would
- 10 be what is it operating as, you know,
- 11 what is C operating as? C could have a
- 12 dual role.
- 13 O Sir --
- 14 A Has a dual role. It could act
- as a -- as a client that's making a
- 16 request to the server for -- because --
- 17 you know, MorphMix is getting into
- obfuscation, you -- you could have a
- 19 different stack then that operates to
- 20 pass that data back to B as a server role
- 21 as opposed to a client role in providing
- 22 them anonymity to go to server S, so it
- 23 could have a dual role.
- 24 Q So is it your understanding of
- 25 Figure 5.1 of MorphMix that node C has a

- dual role, some of the time it's a
- 2 client, some of the time it's a server?
- 3 MR. TOLLIVER: Same objections.
- 4 A I -- I -- I don't know that --
- 5 I -- I would say that from -- from
- 6 dataflow I could -- I could see that
- 7 being defined that way, so that -- it's
- 8 not a very clear definition, but I -- I
- 9 could see that.
- 10 Q Well, I'm asking for your
- 11 understanding of the nodes of MorphMix as
- 12 relates to the term first client device
- and second server in the '319 patent.
- 14 Is node C of MorphMix a first
- 15 client device or a second server in
- 16 connection with the relation of MorphMix
- to the asserted patent claims?
- 18 MR. TOLLIVER: Same objections.
- 19 A I would say that point C is --
- is functioning as a client and a server.
- 21 Q When is C, node C functioning
- 22 as a client?
- 23 A When it's making requests to
- server S.
- 25 Q And when is node C functioning

- 1 as a server?
- 2 A Well, they could function as a
- 3 server in the case of sending a request
- 4 onto server S if -- if that request came
- 5 in from node B.
- 6 Q So in -- in the flow of A to B,
- 7 B to C, and C to S, I'm trying to
- 8 understand specifically your
- 9 understanding of whether node C, which is
- 10 receiving the request from B, and sending
- 11 that on to server S, is node C
- 12 functioning -- operating in the role of a
- 13 client or a server?
- 14 MR. TOLLIVER: Same objections.
- 15 A MorphMix is a peer-based
- 16 mixture. If you're -- if we're analyzing
- MorphMix, and you're applying it to the
- 18 '319 patent, then what you have -- have
- to ask me to do is apply the architecture
- of the '319 patent onto MorphMix because
- 21 MorphMix defines itself very differently
- 22 because it has more flexibility in its
- 23 connection capability because of its
- 24 objectives. And what it contains can be
- 25 mapped to '319, but it can function very

- 1 differently at the same time.
- 2 Q Well, sir, then I'll take you
- 3 up on your invitation. Let's take out
- 4 the '319 patent and I'll ask you to refer
- 5 to Figure 1 -- I'm sorry, to claim one,
- 6 and we're also going to look at MorphMix
- 7 Figure 5.1.
- 8 A Okay. Where would you like me
- 9 to go?
- 10 Q Well, I believe you testified
- 11 that you could take the functionality
- shown in the figure of MorphMix and map
- it onto the '319 patent, so I'm -- I'm
- 14 going to ask you, how did you do that?
- 15 A How did I do that? By -- by
- dataflow, as I described to you earlier.
- Where -- where are we going in the '319
- 18 patent?
- 19 Q Claim one, please.
- 20 A Okay. Okay.
- 21 Q So -- so sir, I'm -- I'm
- 22 taking you up on your invitation, sir,
- and when you said that you could map the
- function of MorphMix onto claim one of
- 25 the '319 patent, so I'd like you to show

- 1 me how you've done that.
- 2 A Well, I don't -- I don't
- 3 remember the exact numbers, okay,
- 4 rather -- can we reference Figure 3 in
- 5 the patent?
- 6 Q I -- I'm asking you to -- sir,
- 7 I'm asking you specifically, as you
- 8 said --
- 9 A Because I -- I don't remember
- 10 the numbers that were assigned in the --
- in the Figure 3 that I can match up with
- what's on MorphMix. If I could refer
- back to Figure 3 in the patent that would
- 14 be helpful to me.
- So, I mean, you're free to look
- 16 at anything you like, but is it -- is it
- 17 your testimony that when you compared
- MorphMix to the '319 patent you tried to
- map MorphMix onto Figure 3 of the patent;
- is that what you're saying?
- MR. TOLLIVER: Object.
- 22 Objection to form.
- 23 A No. I'm -- I'm asking
- 24 you if I'm use -- can use it as a
- 25 reference, because you're asking me to

- 1 map it to Figure 5.1, in real-time right
- 2 now, and -- and I need some -- I need
- 3 some reference context for you so I can
- 4 tell you where each one of those pieces
- 5 match up. That's what you asked me to
- 6 do, right?
- 7 Q Sir, did you offer an opinion
- 8 that the MorphMix reference anticipates
- 9 claim one --
- 10 A Yes.
- 11 Q -- of the '319 --
- 12 A Yes, I did.
- ask my question, sir. The court reporter
- 15 can only take down one of us at a time.
- So my question is: Did you
- offer an opinion that MorphMix
- discloses each and every element of
- 19 claim one of the '319 patent?
- 20 A I believe I did.
- 21 Q So I'm asking you, sir, to walk
- 22 me through the steps of claim one of the
- 23 '319 patent and show me where you find
- that corresponding disclosure in
- 25 MorphMix?

- 1 MR. TOLLIVER: Objection, form.
- 2 A Okay. Let me put this example
- 3 out for myself.
- 4 Okay. Okay. Claim one says a
- 5 method for use with the first client
- 6 device, right? In MorphMix the first
- 7 client device is node C.
- 9 client device, under your understanding?
- 10 A The first client device,
- 11 according to claim 1, is issuing a
- 12 request to the -- to the first server.
- 13 Q So how does that make node C of
- 14 MorphMix the first client device?
- 15 A Because it's the only one that
- 16 can talk to the server.
- 17 Q Oh, so that --
- 18 A That's --
- 19 O So is that --
- 20 A That's --
- 21 Q Okay. So is it the fact that
- 22 node C and MorphMix can talk to server S
- is what makes node C a client device?
- MR. TOLLIVER: Objection, form.
- 25 Objection, foundation.

- 1 A I -- you're asking me did it --
- 2 to explain the mapping I came up with,
- and I'm -- I'm trying to do that for you.
- 4 Q Sure. And I'm trying to
- 5 understand the reasoning behind your
- 6 mapping. So my question is --
- 7 A Dataflow.
- \circ 0 So is it the fact that node C
- 9 can send requests to server S in
- 10 MorphMix, is that what makes node C
- 11 correspond to the first client device of
- the -- of the '319 claims?
- 13 MR. TOLLIVER: Same objections.
- 14 A By definition, yes.
- Okay. So let's keep going now
- in the -- the '319 claim. You had -- you
- 17 had identified for me the first client
- device, so keep going, please.
- 19 A Okay. The first server is
- server S, the web server, it receives the
- 21 content requests from the client, right?
- 22 And the -- the second -- the second
- 23 server is -- the second server is B.
- 24 Q And why is node B of MorphMix
- 25 the -- correspond to the second server of

- 1 claim one of the '319 patent?
- 2 MR. TOLLIVER: Same objections.
- 3 A Well, because the -- it's --
- 4 it's described here that it has a
- 5 connection to the client, the second
- 6 server has a connection to the client.
- 7 It's the only node that has a connection
- 8 to the client.
- shown in Figure 5.1 of MorphMix that
- 11 showed connections to node C like the
- node in the upper right; do you see that
- 13 node?
- 14 A Yes.
- 15 Q So is that upper right node
- 16 that also has a connection to -- to node
- 17 C, is that a client or a server?
- 18 MR. TOLLIVER: Same objections.
- 19 A I don't have enough information
- 20 for that. These dark lines between these
- 21 nodes assume that there's some
- 22 connectivity. That is a -- a -- a
- 23 network that, you know, we don't know if
- 24 the connections are -- exist or not.
- 25 It's -- it's just a -- a path definition

- in 5.1, assuming that we take 5.1 as the
- 2 example I would say that the dark lines
- 3 determine the connectivity.
- 4 Q Okay. So let's look at the
- 5 first step of claim one of the '319, it
- 6 says: Receiving from the second server
- 7 the first content identifier; do you see
- 8 that step?
- 9 A Yes.
- 10 Q So tell me where in MorphMix
- 11 with reference to Figure 5.1 you see the
- 12 first client device receiving from the
- 13 second server the first content
- 14 identifier?
- 15 A Between the connection between
- 16 B and C.
- 17 Q So -- so is it your testimony C
- receives the request for content from B,
- 19 B is the second server and C is a first
- 20 client device?
- 21 A Say that again.
- 22 Q Sure. Is it -- is -- with
- 23 reference to the -- the first step of the
- '319 claims, when the first client device
- 25 receives from the second server the first

- 1 content identifier, is it -- is it your
- 2 testimony that C, as shown in MorphMix,
- 3 is the first client device because it's
- 4 receiving a request from node B? That's
- 5 what I'm trying to understand.
- 6 A That -- please say that again.
- 7 I -- you're -- that -- that was confusing
- 8 to me.
- 9 Q Okay. It -- a minute ago did
- 10 you testify that node C is the first
- 11 client device shown in Figure 5.1 of
- 12 MorphMix?
- 13 A Yes, I did.
- 14 Q Was that because node C is able
- 15 to talk to server S?
- 16 A Correct.
- 17 MR. TOLLIVER: Objection, form.
- 18 BY MR. DUNHAM:
- 19 Q And I'm sorry, sir --
- 20 A Well, it has a connection to,
- 21 yes.
- 22 Q Okay.
- 23 A And -- and we're making the
- assumption that it can talk to it, yes.
- 25 Q Well, what is your

- 1 understanding of MorphMix, does node C
- 2 talk to server S or not?
- 3 A You're asking me to lay '319 on
- 4 top of MorphMix. MorphMix has more
- 5 functionality, but in the context of the
- 6 '319 laying on top of MorphMix, I'm
- 7 saying that the client device is defined
- 8 in this particular example as node C.
- 9 Q So, again, I'm trying to
- 10 understand, was your objective then to --
- 11 to -- to lay '319, as you said, onto
- MorphMix, is that what you were trying to
- do when you did your analysis?
- 14 MR. TOLLIVER: Objection, form.
- 15 Objection, foundation.
- 16 A I -- that -- I -- I used it as
- an example, it wasn't like I was, like,
- 18 you know, laying something on top of it.
- 19 I'm -- I was looking at dataflow and
- 20 functionality, I -- I explained that
- 21 earlier. It wasn't a -- not taking a
- 22 cells that I've drawn things out and
- 23 trying to match them on top of each
- 24 other.
- 25 I'm looking at the dataflow and

- 1 what could be supported by these
- 2 references. And I -- I believe that the
- 3 dataflow that's defined by the '319
- 4 patent can certainly be supported in the
- 5 dataflow that is -- is supported by
- 6 MorphMix.
- 7 O So let's look at claim one of
- 8 the '319 patent, and let's look at that
- 9 first step together, and you understand
- 10 this is a method by the first client
- 11 device; correct?
- 12 A That's what it says.
- 13 Q Okay. So in the first step of
- 14 '319 it says receiving, so this would be
- the first client device receiving from
- the second server the first content
- identifier. When we look at MorphMix
- Figure 5.1, why do you conclude that node
- 19 C is the first client device?
- 20 A Because -- because it can get
- 21 the data from server S.
- 22 Q And -- and where in your
- 23 understanding of client device is that a
- 24 device is a client device because it can
- 25 get data from a server?

- 1 A No, it's --
- 2 MR. TOLLIVER: Object. Hold
- 3 on. Hold on. Let me give me one
- 4 second to lodge an objection, sir.
- 5 THE WITNESS: I'm sorry.
- 6 MR. TOLLIVER: Sure.
- Objection, form. Objection,
- 8 foundation.
- 9 THE WITNESS: Do you want to
- 10 ask the question again?
- MR. DUNHAM: Sure. BY MR.
- 12 DUNHAM:
- 13 Q When I asked you about your
- 14 understanding of client device earlier
- 15 you talked about a device that operates
- in the role of a client, and I'm trying
- to understand why do you say that,
- 18 because node C can obtain data from
- 19 server S, that's what makes it a client
- 20 device?
- MR. TOLLIVER: Same objections.
- 22 BY MR. DUNHAM:
- 23 Q And what is the basis for your
- 24 statement that because node C can obtain
- 25 data from node S, that's what makes node

- 1 C a client device?
- 2 MR. TOLLIVER: Same objections.
- 3 A Client devices and server
- 4 devices are request/reply.
- 5 Q So looking at Figure 5.1 of
- 6 MorphMix, do you understand that node B
- 7 sends requests to node C?
- 8 A I -- I assume it can.
- 9 Q Is it your --
- 10 A That -- that is one of
- 11 the possible roles.
- 12 Q Is it further your
- understanding of MorphMix that node C can
- respond to those requests for node B?
- 15 A It -- it could, based on its
- definition in MorphMix, that's correct.
- 17 Q Okay. So in connection with
- the flow, as you've been saying, where
- node B sends a request to node C in
- 20 MorphMix, and thereafter node C responds
- 21 to that request and returns information
- to node B, what role is node B operating
- 23 in?
- 24 A Say that again, please.
- 25 Q Sure. Within the flow, as

- 1 you've used that phrase, and we look at
- 2 the connection between node B and node C
- 3 in Figure 5.1 of MorphMix, when node B
- 4 makes a request for content and sends it
- 5 to node C, and node C thereafter responds
- 6 to that request and sends information
- 7 back to node B, is node B operating in
- 8 the role of a client or a server?
- 9 MR. TOLLIVER: Objection, form.
- 10 Objection, foundation.
- 11 A I'm -- I'm really sorry, run
- 12 that by me again.
- 13 O Sure. In connection with the
- 14 flow of information, as described in
- MorphMix, when node B, as shown in Figure
- 16 5.1 of MorphMix, makes a request to node
- 17 C, and node C thereafter responds to that
- 18 request and sends information back to
- 19 node B, is node B operating in the role
- of a client or a server?
- 21 MR. TOLLIVER: Same objections.
- 22 A You -- you ask me on the one
- hand to give you a referential example of
- 5.1 to Figure 5.1. In your question that
- you gave me right now, you asked me how

- 1 this is working in MorphMix. MorphMix's
- 2 architecture can support what the '319
- 3 patent describes but MorphMix can operate
- 4 differently, as well.
- 5 The '31- -- the -- the
- 6 functional aspects of the '319 patent is
- 7 in many ways a subset of what MorphMix
- 8 can deliver in its ability to do
- 9 connectivity in that some of the MorphMix
- 10 nodes can act as relays, they don't
- 11 necessarily need to get something back in
- 12 MorphMix, they can operate as a relay and
- just pass on path, not necessarily
- operate in -- in the context of server or
- 15 client, but an additional role of relay
- in order to help the context of the
- 17 privacy that it's trying to achieve in
- the main objective of MorphMix.
- 19 Q Sir, I'm going to ask you to
- answer my questions. So I'm going to ask
- it again, politely, but I'm going to ask
- 22 you to answer the question that I'm
- asking you.
- 24 And the question is: As shown
- in Figure 5.1 of MorphMix, when node B

sends a request to node C, and then node

- 2 C responds to that request with
- 3 information, is node B, under your
- 4 understanding, operating in the role of a
- 5 client or a server?
- 6 MR. TOLLIVER: Objection, form.
- 7 Objection, foundation.
- 8 A My opinion is node B in this
- 9 example is the second server.
- 10 Q And when node C receives a
- 11 request from node C and sends it on to
- 12 server S, is it your testimony that you
- believe node C is operating in the role
- 14 of a client?
- 15 A Has a role of a client, yes.
- 16 Q What do you mean by has the
- 17 role of a client?
- 18 A Can -- can have multiple roles,
- it's a -- it's a -- you -- you drop the
- term device, the device isn't necessarily
- 21 a role.
- 22 Q So is it -- I want to make sure
- 23 your sworn -- sworn testimony today, I
- 24 want this to be really clear on the
- 25 record, sir, is that when node B --

- 1 A It --
- 2 of MorphMix shown in Figure
- 3 5.1 sends a request for content to node
- 4 C, is it your testimony that node B is
- 5 operating in the role of a server?
- 6 A It depends on how it was
- 7 defined.
- 8 Q I'm asking you for your
- 9 understanding and analysis --
- 10 A My understanding and analysis
- 11 is --
- 12 Q Sir, please allow me to finish
- my questions. I've asked you multiple
- 14 times --
- 15 A All right.
- 16 Q -- please.
- 17 My question here, under
- oath, today, is when node B, as shown
- in Figure 5.1 of MorphMix, sends a
- 20 request for content to node C, is it
- 21 your testimony that node B is
- 22 operating in the role of a client or a
- 23 server?
- MR. TOLLIVER: Objection, form.
- Objection, foundation. Sorry, I

- 1 might have been muted.
- 2 A I need more clarity here.
- 3 I'm -- I'm sorry, I'm in the weeds here.
- 4 Q Sir, I'm asking you a very
- 5 straightforward question. If you don't
- 6 know the answer you're free to tell me
- 7 that.
- 8 A It -- it -- it --
- 9 Q Sir, allow me to finish my
- 10 question. I just want to have a clear
- 11 record. As shown in Figure 5.1 of
- MorphMix, when node B sends a request for
- content to node C, is node B operating in
- the role of a client or a server?
- MR. TOLLIVER: Same objections.
- 16 A In that -- in that narrow
- 17 context, and defining that as a second
- 18 server, I -- I -- I'm defining it -- node
- 19 B as a second server.
- in the role of a client or a server? I'm
- 22 still asking the same question of you,
- 23 sir.
- MR. TOLLIVER: Objection, form.
- 25 A I'm -- its role in that

Page 103 1 particular dataflow is a server. As --2 as I say, it -- I believe it to be the 3 second server. MR. DUNHAM: Okay. We've been 5 going an hour. Why don't we take a 10-minute break? 6 MR. TOLLIVER: Sounds good. THE VIDEOGRAPHER: The time is 9 2:13 p.m. We are off the record. 10 (Recess.) 11 THE VIDEOGRAPHER: The time is 2:37 p.m. We're back on the record. 12 13 Please proceed. 14 MR. DUNHAM: Mr. Teruya, I'd 15 like you to take out the Crowds 16 reference, Exhibit 1006, please, and 17 let me know when you have it? (Exhibit No. 1006 was 18 identified for the record.) 19 2.0 THE WITNESS: I'm trying to 21 load it up here, give me a minute. 22 Sorry. 23 MR. DUNHAM: No problem, take 24 your time. 25 THE WITNESS: Okay. What page

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1 would you like me to go to?
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- 2 BY MR. DUNHAM:
- 3 Q If you could, sir, please --
- 4 MR. DUNHAM: And I'm sorry,
- 5 Mr. Tolliver, do you have the
- 6 reference, as well?
- 7 MR. TOLLIVER: I do, yes.
- MR. DUNHAM: Great.
- 9 BY MR. DUNHAM:
- 10 Q If you could turn to PDF page 9
- out of 27, and it's the page that shows
- 12 Figure 2?
- 13 A Okay.
- 14 Q Mr. Teruya, in connection with
- the analysis that you performed, did you
- analyze the mapped path shown in Figure 2
- with the numbers 5, 4, 6, to server 5?
- 18 A I did.
- 19 Q Okay. And what is your
- 20 understanding of what is shown in the
- 21 mapped path of 5, 4, 6, 5 in Figure 2 of
- 22 Crowds?
- 23 A It -- it's a path that I --
- I -- I used as a -- as a reference. Hang
- on. I -- my -- my screen just went away

- 1 on that.
- 2 Q Okay. No problem.
- 3 A Let me try to get it back,
- 4 here. Okay. What -- what is your
- 5 question again?
- 6 Q My question was: What is your
- 7 understanding of what is shown in the
- 8 path 5, 4, to 6 to server 5 as depicted
- 9 in Figure 2 of Crowds?
- 10 A It's a connection path to talk
- 11 to server number 5 that, you know, has a
- 12 path from 5 to 4 to 6 to 5.
- 13 Q And is it your understanding
- that the circles shown, for example, 5,
- 4, and 6, that those are Jondo's,
- J-o-n-d-o, Jondo's?
- 17 A Yes.
- 18 Q And is the -- is the square
- around number five, does that mean that
- 20 five is a server in that path of 5, 4, 6,
- 21 5?
- 22 A Yeah, they define those squares
- as web servers, yes.
- Q Okay. And what is your
- 25 understanding of arrows in Figure 2 such

- 1 as the arrow that's shown at the end of
- 2 the dotted line between Jondo5 and
- 3 Jondo4.
- 4 A I -- I view those as being
- 5 the -- the datapath.
- 6 Q Would you agree that the
- 7 arrows -- strike that -- would you agree
- 8 that the arrows shown between Jondo 5 and
- 9 Jondo 4, that represents a request?
- 10 A Yes, it's a request that goes
- 11 from Jondo5 to Jondo 4.
- 12 Q Okay. And then when Jondo 5
- sends that request to Jondo 4, does Jondo
- 14 4, in turn, send that request to Jondo6?
- 15 A Yes.
- 16 O And when Jondo6 receives that
- 17 request from Jondo 4, does Jondo 6 send
- that request on to web server 5?
- 19 A Yes.
- 20 Q Okay. So at the point in time
- when Jondo 5 sends a request to Jondo 4,
- 22 what role is Jondo 5 operating in?
- 23 A Jondo 5?
- Q Yes, sir.
- 25 A I would say that Jondo 5, in

- 1 this example, is probably acting as a
- 2 client.
- 3 Q Okay. And when Jondo 4
- 4 receives a request from Jondo 5, what
- 5 role is Jondo 4 operating in?
- 6 A I believe Jondo 4 is operating
- 7 as a server.
- 9 receives a request from Jondo 4, what
- 10 role is Jondo 6 operating in?
- 11 A It -- it's operating as a
- 12 client.
- 13 Q So can you explain for me why,
- 14 when Jondo 4 receives a request from
- Jondo 5, it's operating in the role of a
- 16 server, but when Jondo 6 receives a
- 17 request from Jondo 4, Jondo 6 is
- 18 operating in the role of a client?
- 19 A Because a client makes -- it --
- it's a client relationship in talking to
- 21 the web server, as I described in
- 22 MorphMix, as well, that -- that -- that
- 23 node number six is making a request and
- 24 getting a reply from the web server,
- server 5.

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1 Q So is any node that makes a
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- 2 request of a web server and gets a reply
- 3 from it, is that node a client?
- 4 MR. TOLLIVER: Objection, form.
- 5 Objection, foundation.
- A I don't know how to answer
- 7 that. I --
- 8 O Well --
- 9 A Any is a big word, right? Any
- is a -- all -- you know, an
- all-encompassing word.
- 12 Q So with respect to the nodes
- shown in Figure 2 of Crowds, is any node
- 14 that makes a request of a web server a
- 15 client, under your understanding of the
- 16 terms?
- 17 MR. TOLLIVER: Objection, form.
- 18 Objection, foundation.
- 19 A Can you say that again?
- 20 Because I -- I need to -- for you --
- 21 based on specificity in what you're
- 22 asking that I -- that I'm having
- 23 difficulty with.
- 24 Q I'm asking you for the nodes,
- 25 the Jondos shown in Figure 2 of Crowds,

- 1 is any Jondo that makes a request of a
- 2 web server a client?
- 3 MR. TOLLIVER: Same objections.
- 4 A It -- in the -- in the example
- 5 you gave me, I defined client six
- 6 operating as a role of the client when
- 7 it's talking to server number five.
- 8 Q Why did you define node 6 as
- 9 operating in the role of a client when
- it's talking to server five?
- 11 A Because you asked me why is it
- 12 a client, and I -- and I gave you a
- relationship between five -- server five
- and -- and node six, and -- and I -- and
- I defined it because of the request reply
- 16 nature with the web server.
- 17 O So does node four -- Jondo 4
- have a request reply relationship with
- 19 Jondo 6?
- 20 A I believe it does.
- 21 Q So with respect to the request
- 22 for reply relationship between Jondo 4
- and Jondo 6, would Jondo 4 be a client
- and Jondo 6 be a server?
- 25 A Well -- ask -- ask me that

- 1 again, please.
- 2 O Sure. With regard to the
- 3 request, reply relationship between Jondo
- 4 and Jondo 6, would Jondo 4 be a client
- 5 and Jondo 6 be a server?
- 6 MR. TOLLIVER: Objection, form.
- 7 A I would consider Jondo 4 --
- 8 Jondo 4 to be a server.
- 9 Q And what would you consider
- Jondo 6 to be within the request reply
- relationship between Jondo 4 and Jondo 6?
- 12 MR. TOLLIVER: Objection, form.
- 13 A Well, I -- I -- I already said
- 14 it was the client.
- 15 Q I'm asking you to --
- 16 A Oh, you're saying between 5 and
- 17 6?
- 18 Q No, I'm asking you to focus on
- 19 the relationship between Jondo 4 --
- 20 strike that, let me start again and give
- 21 you the complete question.
- I'm asking you between the
- 23 request reply relationship between
- Jondo 4 and Jondo 6, would you agree
- Jondo 4 is a client making the request

- 1 and Jondo 6 is the server that's going
- 2 to be responding to that request?
- MR. TOLLIVER: Objection, form.
- 4 A I -- I believe that Jondo 6 can
- 5 have multiple functions as from a role
- 6 standpoint.
- 7 Q Okay. So when -- when Jondo 4
- 8 is making a request of Jondo 6, at that
- 9 point in time is Jondo 6 operating in the
- 10 role of a server?
- 11 A No, I -- I would really
- 12 classify it as a client device.
- O So does Jondo 6 -- in -- strike
- 14 that.
- 15 In the path of 5, 4, 6, 5, does
- Jondo 6 ever have the role of a server?
- 17 A Not -- not -- not in -- in the
- 18 generic context, not in the generic
- 19 context, but it could, it could.
- 20 Q Okay.
- 21 A It depends on -- it depends on
- 22 what passes, it depends on what passes.
- Q Okay. And in the pathway of 5,
- 4, 6, 5 still, does Jondo 4 operate in
- 25 both the role of a client some of the

- 1 time and the server some of the time?
- 2 MR. TOLLIVER: Objection, form.
- 3 THE WITNESS: Ask me again,
- 4 please.
- 5 MR. DUNHAM: Sure.
- 6 BY MR. DUNHAM:
- 7 Q Within the path of 5, 4, 6,
- 8 server 5, does Jondo 4 operate some of
- 9 the time in the role of a client and some
- of the time in the role of a server?
- 11 MR. TOLLIVER: Objection, form.
- 12 A I think that Jondo 4 operates
- more as a -- can be defined more as a
- 14 server in -- in this context, in -- in
- 15 the context of that illustration.
- 16 Q And why is Jondo 4 -- why would
- 17 you define it, in your words, more as a
- 18 server?
- 19 A Because of its role between 5,
- 20 4, and 6 in this example.
- 21 Q And what about the role of 4 in
- this example makes it more of a server,
- in your view?
- 24 A Because it's -- it's servicing
- requests for node number 5.

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1 Q Okay. Would you agree that
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- 2 node 6 is servicing requests for node
- 3 number 4?
- 4 A Not necessarily. It -- it --
- 5 it -- it could act as a passthrough in
- 6 which it's -- it's really the origin of
- 7 the request could be node number 5.
- 9 your sworn testimony today, that node 6
- services requests for node number 4?
- 11 MR. TOLLIVER: Objection, form.
- 12 A Well, it's connected to it.
- 13 Q Yeah, what --
- 14 A At what -- what -- you know, a
- 15 request is -- you know, a -- a
- passthrough is different than a request
- 17 reply architecture at the HTTP level. So
- 18 for instance, if -- you know, node
- 19 number -- node 6 is the requester to
- 20 return the data from the web server,
- server 5, okay? So that's why I define
- 22 it as a client.
- 23 Q But with respect to the
- transaction between node 4 and node 6,
- would you agree that node 6 is returning

- 1 the data that's been requested by node 4?
- 2 MR. TOLLIVER: Objection, form.
- 3 A Returning in what way?
- 4 Q Would you agree that node 6
- 5 responds to requests that have been made
- 6 by node 4?
- 7 A Well, I need more specificity
- 8 with that, because it could be a -- a --
- 9 a passthrough address relay, you know,
- that's not necessarily a request reply
- 11 transaction between node 4. Node 4 could
- be passing, you know, information to node
- 13 6 that needs to -- to traverse the
- 14 connection in order to -- to transact
- something with, you know, server number
- 16 5.
- 17 Q Sir, is it your understanding
- that the arrows between the nodes shown
- in Figure 2 of Crowds represent requests
- 20 for content that ultimately reside --
- resides on the web servers?
- 22 A Yes, it -- this is, you know,
- 23 an example instance, yeah.
- is going to return content to node 5 that

- 1 has been requested by node 5?
- 2 A Yeah, node 4 will return --
- 3 will return the information of the
- 4 request of node number 5.
- 5 Q And would you agree that node 6
- 6 will return the information of the
- 7 request that has been sought by node 4?
- 8 A I -- I would -- I would more
- 9 aptly say that node 6 provides a path for
- 10 that to happen.
- 11 Q So do you believe that the --
- 12 strike that. Let me ask my question
- 13 again. I want to make sure I have a
- 14 clear record.
- Would you agree that node 6
- 16 returns the content that has been
- 17 requested by node 4?
- 18 A It provides a path, as I said.
- 19 Q Does node 4 provide a path for
- content requested by node 5?
- 21 A Yes.
- 22 Q So in that respect, are nodes 4
- and nodes 6 the same?
- 24 A No.
- 25 O What's different between node 4

- 1 and node 6?
- 2 A I -- I believe because node
- 3 number 5 is deemed as a client there's --
- 4 there's more interaction in the setup of
- 5 this connection than there is with node
- 6 number 6.

- 9 know, that's what I surmise from this
- 10 drawing, okay?
- 11 Q So that's your surmise; is that
- 12 correct?
- 13 A Yes.
- 14 Q Okay. Do you understand the
- path of node 4 to node 4 to web server 4
- as shown in Figure 2?
- 17 A Say that again?
- 18 Q Sure. Do you see Jondo 4?
- 19 A Yes.
- 21 semicircular comes around with an
- 22 arrowhead on it where it originates in
- node 4, Jondo 4 and also ends in Jondo 4?
- 24 A Yes.
- 25 Q Did you read the entire Crowds

- 1 reference?
- 2 A Yes. Well, caveat, I didn't --
- 3 I didn't pour through and analyze the
- 4 statistical analysis. I really only
- 5 looked at form, structure, and
- 6 architecture. I mean, I just passed
- 7 through the sections of statistical
- analy- -- analysis in looking at the
- 9 probabilities of anonymity.
- 10 Q What is your understanding of
- 11 what the circle with the arrowhead on it
- represents with Jondo 4?
- 13 A A Jondo in the crowd.
- 14 O Not the circle around the 4,
- 15 sir, I'm sorry, the circle -- the
- semicircle with the arrowhead on Jondo 4,
- 17 what is your understanding of that?
- 18 MR. TOLLIVER: Objection,
- 19 outside the scope of direct.
- 20 A I -- I -- what --
- 21 MR. DUNHAM: Counsel, if I may,
- I'll respond to that objection. The
- 23 witness testified he reviewed the
- 24 entirety of the reference for the
- 25 function and flow, and I'm asking him

- 1 a specific question about the
- 2 function and flow.
- 3 MR. TOLLIVER: And same --
- 4 same -- same objection, outside
- 5 direct. You can answer.
- 6 A I -- I -- I don't recall.
- 8 any of the other mapped paths shown in
- 9 Figure 2, other than the path of Jondo 5
- 10 to Jondo 4 to Jondo 6 to web server 5?
- 11 A Only in -- in context to
- 12 understanding its structure and
- architecture of what it was trying to do.
- 14 Q Okay. So within that context,
- what is your understanding of the
- semicircle shown that starts at Jondo 4
- and returns to Jondo 4?
- 18 A I -- I don't recall at this
- 19 point. It's been awhile since I really
- 20 took this thing apart. And once I
- 21 started to do the analysis of whether --
- 22 whether this, which is, again, like
- MorphMix, a super set of what paths are
- 24 available to answer the claims in the
- 25 '319 patent, you know, the other -- other

- 1 issues or other observations about Crowds
- became less important than how I -- I saw
- 3 the paths able to be supported, the '319
- 4 paths able to be supported by Crowds.
- 5 MR. TOLLIVER: And I -- I
- 6 would -- I would kind -- I would
- just -- I'm sorry, I would kindly ask
- 8 the witness to -- to pause just for a
- 9 moment longer between que- -- after
- 10 the questions to give me a chance to
- 11 lodge any objections, and as to that
- 12 last question I do have the same
- objection as being outside the scope
- of direct.
- 15 BY MR. DUNHAM:
- 16 Q Mr. Teruya, I would ask you to
- turn to paragraph 99 of your declaration
- 18 for the '319 patent, please?
- 19 A Okay. Let me pull that up.
- 20 Q Okay. I have some questions
- about the second sentence, and I'm not
- going to read it verbatim, you're free to
- read as much as you need, but I want to
- 24 help focus you on the part of the
- 25 sentence that I'm interested in, okay?

- 1 A Okay.
- 2 Q Okay. So in paragraph 99 of
- 3 your declaration, in the second sentence,
- 4 you state: To improve performance a
- 5 POSITA could have put some higher powered
- 6 devices in the mix to run as proxy
- 7 servers without, in those instances,
- 8 running their own web browsers, and as I
- 9 said I eliminated some of the words to
- 10 make it a little clearer; do you see that
- 11 sentence?
- 12 A Let -- let -- let me read this,
- if you would.
- 14 O Sure.
- 15 A Okay. What is your question?
- 16 Q Certainly. My question is:
- 17 Are you aware of any disclosure, in
- 18 Crowds, of a Jondo that does not run its
- 19 own web browser?
- 20 A I am not, primarily because the
- 21 browser is used to con- -- for its crowd
- 22 connectivity in Crowds.
- 24 every Jondo that is disclosed in the
- 25 Crowds reference, that Jondo runs its own

- 1 web browser?
- 2 A I believe that Crowds have --
- 3 you know, you -- you -- you could provide
- 4 a path using Crowds where the browser
- 5 becomes benign, you know, by establishing
- 6 a persistent path for those Jondos, but,
- 7 you know, that's a -- that's an
- 8 implementation issue. I think it's an
- 9 implementation issue.
- 10 O So --
- 11 A To -- to improve the -- the
- 12 path performance.
- 2 So -- so is it your testimony
- 14 that -- that in paragraph 99 you're
- describing a way that someone could alter
- 16 Crowds to improve its performance?
- 17 MR. TOLLIVER: Objection, form.
- 18 A Yeah, I -- I would say that,
- 19 yeah, that -- that's true, that -- that
- 20 could be true, yeah.
- 21 Q Okay. And again, just so my
- record is clear, would you agree that
- every Jondo disclosed in Crowds runs its
- 24 own web browser?
- 25 A You know, I'd have to go back

- 1 through Crowds to make sure that there
- 2 wasn't an exclusion that I may have
- 3 missed, but my, you know, initial
- 4 observation is to initiate most
- 5 connections since Crowds was really, you
- 6 know, structured in a way where, you
- 7 know, you -- you'd have a -- a collection
- 8 of computers that make up the crowd that
- 9 the connection mechanism was, you know,
- 10 browser-based.
- 11 MR. DUNHAM: Okay. Counsel,
- why don't we take a break? I'm very
- 13 close to wrapping up, I'd just like to
- 14 look at my notes. And we can either do
- it on a short break, maybe 10 minutes, or
- if the witness wants to take a lunch
- break, whatever is better for the witness
- is fine by me.
- 19 MR. TOLLIVER: I -- I would
- 20 definitely defer to the witness. A
- 21 short break is fine by me.
- MR. DUNHAM: Mr. Teruya, your
- 23 call.
- 24 THE WITNESS: That -- that's
- 25 fine with me, short break.

Page 123 1 MR. DUNHAM: Okay, let's take 2 about 10. 3 THE VIDEOGRAPHER: The time is 4 3:07 p.m. We are off the record. 5 (Recess.) THE VIDEOGRAPHER: The time is 6 3:19 p.m. We're back on the record. 8 Please proceed. 9 MR. DUNHAM: Mr. Teruya, thank 10 you for your time today. I'll pass 11 the witness. 12 MR. TOLLIVER: On behalf of the 13 Code200 the defendant -- or 14 petitioners, I'm sorry, I have no 15 questions. 16 Mr. Bartlett: No redirect from 17 Major Data. 18 MR. DUNHAM: Great. Thank you. 19 Well, sir, again, thank you 2.0 very much. I appreciate your time. 21 Always a pleasure to see you. 22 THE WITNESS: Okay. Thank you. 23 MR. TOLLIVER: Thanks 24 everybody. 25 MR. DUNHAM: Okay. Let's go

| | | Page | 124 |
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| 1 | off the record. | | |
| 2 | THE VIDEOGRAPHER: And with | | |
| 3 | that, that concludes the deposition | | |
| 4 | of Mr. Keith J. Teruya. The time is | | |
| 5 | 3:20 p.m. We are off the record. | | |
| 6 | (Signature having not been | | |
| 7 | waived, the deposition of KEITH | | |
| 8 | TERUYA was concluded at 3:20 p.m.) | | |
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1 CERTIFICATE OF SHORTHAND REPORTER

- 2 I, Cassandra E. Ellis, Registered
- 3 Professional Reporter, the officer before
- 4 whom the foregoing proceedings were taken,
- 5 do hereby certify that the foregoing
- 6 transcript is a true and correct record of
- 7 the proceedings; that said proceedings were
- 8 taken by me stenographically and thereafter
- 9 reduced to typewriting under my supervision;
- 10 and that I am neither counsel for, related
- 11 to, nor employed by any of the parties to
- 12 this case and have no interest, financial or
- 13 otherwise, in its outcome.
- 14 IN WITNESS WHEREOF, I have hereunto set
- my hand this 16th day of December 2022.

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19 _____

- 20 CASSANDRA E. ELLIS, CSR-HI, CSR-VA, CCR-WA,
- 21 RPR, CRR
- 22 REALTIME SYSTEMS ADMINISTRATOR
- 23 NOTARY PUBLIC

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