

UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC. and VIZIO, INC.,
Petitioners,

v.

ANCORA TECHNOLOGIES, INC.,
Patent Owner.

Case IPR2021-01406
U.S. Patent No. 6,411,941

PETITIONER'S UPDATED EXHIBIT LIST

Mail Stop "PATENT BOARD"
Patent Trial and Appeal Board
U.S. Patent & Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

Petitioner serves this Updated Exhibit List to accompany service of Exhibits 1055 (Petitioner's Demonstratives for Oral Argument, Public) and 1056 (Petitioner's Demonstratives for Oral Argument, Confidential).

PETITIONER'S UPDATED EXHIBIT LIST

Exhibit No.	Description
1001	U.S. Patent No. 6,411,941 to Mullor et al. ("941 Patent")
1002	Image File Wrapper of U.S. Patent No. 6,411,941 ("941 Patent File History")
1003	Declaration of Andrew Wolfe, Ph.D. ("Wolfe Decl.")
1004	U.S. Patent No. 4,658,093 ("Hellman")
1005	U.S. Patent No. 5,892,906 ("Chou")
1006	U.S. Patent No. 5,933,498 ("Schneck")
1007	Scheduling Order, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc.</i> , No. 8:19-cv-2192 (C.D. Cal. Mar. 17, 2020) (ECF No. 34)
1008	In re: Coronavirus Public Emergency, Order Concerning Phased Reopening of the Court, General Order No. 20-09, United States District Court for the Central District of California, Aug. 6, 2020
1009	Civil Docket, <i>Ancora Techs., Inc. v. Sony Mobile Commc'ns AB</i> , No. 1:19-cv-01703 (D. Del.)
1010	Civil Docket, <i>Ancora Techs., Inc. v. Lenovo Grp. Ltd.</i> , No. 1:19-cv-01712 (D. Del.)
1011	Claim Construction Order, <i>Ancora Techs., Inc. v. Apple Inc.</i> , No. 4:11-cv-06357 (N.D. Cal. Dec. 31, 2012) (ECF No. 107)
1012	Final Claim Constructions of the Court, <i>Ancora Techs., Inc. v. LG Elecs., Inc.</i> , No. 1:20-cv-00034 (W.D. Tex. June 2, 2020) (ECF No. 69)
1013	Supplemental Claim Construction Order, <i>Ancora Techs., Inc. v. LG Elecs., Inc.</i> , No. 1:20-cv-00034 (W.D. Tex. Aug. 19, 2020) (ECF No. 93)
1014	Joint Claim Construction and Prehearing Statement, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc.</i> , No. 8:19-cv-2192 (C.D. Cal. July 17, 2020) (ECF No. 49)

Exhibit No.	Description
1015	European Patent Application No. EP 0766165A2 (“165 Application”)
1016	U.S. Patent No. 5,724,425 (“425 Patent”)
1017	U.S. Patent No. 6,138,236 (“236 Patent”)
1018	U.S. Patent No. 5,802,592 (“592 Patent”)
1019	U.S. Patent No. 5,835,594 (“594 Patent”)
1020	Telephonic Markman Hearing, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc., et al.</i> , No. 8:19-cv-2192 (C.D. Cal. Oct. 15, 2020) (ECF No. 60)
1021	Telephonic Markman Hearing, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc., et al.</i> , No. 8:19-cv-2192 (C.D. Cal. Oct. 15, 2020) (ECF No. 69)
1022	Complaint, <i>Ancora Techs., Inc. v. TCL Corp., et al.</i> , No. 4:19-cv-00624 (E.D. Tex. Aug. 27, 2019) (ECF No. 1)
1023	U.S. Patent No. 5,734,819 (“Lewis”)
1024	File Wrapper of <i>Ex Parte</i> Reexamination of U.S. Patent No. 6,411,941, Control No. 90/010,560 (“560 Reexam File Wrapper”)
1025	Order Re: Joint Stipulation Re Stay Pending <i>Inter Partes</i> Review, <i>Ancora Techs., Inc. v. Vizio, Inc.</i> , No. 2:21-cv-08534 (C.D. Cal.) (ECF No. 78)
1026	Order Granting Joint Motion to Stay Pending <i>Inter Partes</i> Review, <i>Ancora Techs., Inc. v. Roku, Inc.</i> , No. 6:21-cv-00737 (W.D. Tex.) (ECF No. 33)
1027	Order Granting Joint Motion to Stay Pending <i>Inter Partes</i> Review, <i>Ancora Techs., Inc. v. Nintendo Co., Ltd., et al.</i> , No. 6:21-cv-00738 (W.D. Tex.) (ECF No. 46)
1028	Board Email Authorizing Motion For Additional Discovery, May 27, 2022
1029	Plaintiff’s Responsive Claim Construction Brief, <i>Ancora Techs., Inc., et al. v. LG Electronics, et al.</i> , Case No. 1:20-cv-00034-ADA (W.D. Tex., April 10, 2020) (ECF No. 50)
1030-1032	<i>Intentionally Left Blank</i>
1033	Declaration of Andrew Wolfe, Ph.D., in Support of Petitioners’ Reply to Patent Owner’s Response
1034	Transcript of the Deposition of Miki Mullor, July, 12, 2022 (Confidential)
1035	Transcript of the Deposition of David Martin, Ph.D., July 14, 2022
1036	Plaintiff’s Opening Claim Construction Brief, <i>Ancora Techs., Inc., et al. v. LG Electronics, et al.</i> , Case No. 1:20-cv-00034-ADA (W.D. Tex., March 20, 2020) (ECF No. 44)

Exhibit No.	Description
1037	Denon DP-35F/DP-45F Instruction Manual, Nippon Columbia Co., Ltd.
1038	Excerpt from <i>Dictionary of Computing</i> , 4 th ed., Oxford University Press, 1996
1039	U.S. Patent No. 5,568,552 to Davis
1040	Guttman, B., et al., <i>Computer Security</i> , National Institute of Standards and Technology, 1995)
1041	Kaliski, B., "PKCS #1: RSA Encryption," RFC 2313, The Internet Society, Network Working Group, March 1998
1042	U.S. Patent No. 5,724,425 to Chang et al.
1043	U.S. Patent No. 5,935,246 to Benson
1044-1049	<i>Intentionally Left Blank</i>
1055	Petitioner's Demonstratives for Oral Argument (Public)
1056	Petitioner's Demonstratives for Oral Argument (Confidential)

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C

/Jon E. Wright/

Jon E. Wright, Reg. No. 50,720
Attorney for Petitioner Roku, Inc.

Date: September 29, 2022

1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600

CERTIFICATION OF SERVICE

The undersigned hereby certifies that on September 29, 2022, true and correct copies of the foregoing **PETITIONER'S UPDATED EXHIBIT LIST** and **Exhibits 1055-1056** were served in their entireties on the following counsel for Patent Owner:

David A. Gosse (Lead Counsel)
Nicholas T. Peters (Back-up Counsel)
Karen J. Wang (Back-up Counsel)
FITCH, EVEN, TABIN & FLANNERY LLP
dgosse@fitcheven.com
ntpete@fitcheven.com
kwang@fitcheven.com
ancora-ipr@fitcheven.com

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C

/Jon E. Wright/

Jon E. Wright, Reg. No. 50,720
Attorney for Petitioner Roku, Inc.

Date: September 29, 2022

1100 New York Avenue, N.W.
Washington, D.C. 20005-3934
(202) 371-2600