

**UNITED STATES PATENT AND TRADEMARK OFFICE**

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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ROKU, INC. and VIZIO, INC.,  
Petitioners,

v.

ANCORA TECHNOLOGIES, INC.,  
Patent Owner.

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Case IPR2021-01406  
U.S. Patent No. 6,411,941 B1

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**PATENT OWNER'S SUR-REPLY**

Mail Stop PATENT BOARD  
Patent Trial and Appeal Board  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

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## LIST OF EXHIBITS

EXHIBIT NO.	TITLE
1001	U.S. Patent No. 6,411,941 to Mullor et al. (“941 Patent”)
1002	Image File Wrapper of U.S. Patent No. 6,411,941 (“File History”)
1003	Declaration of Andrew Wolfe, Ph.D. (“Wolfe Decl.”)
1004	U.S. Patent No. 4,658,093 (“Hellman”)
1005	U.S. Patent No. 5,892,906 (“Chou”)
1006	U.S. Patent No. 5,933,498 (“Schneck”)
1007	Reserved
1008	Reserved
1009	Reserved
1010	Reserved
1011	Claim Construction Order, <i>Ancora Techs., Inc. v. Apple Inc.</i> , No. 4:11-cv-06357 (N.D. Cal. Dec. 31, 2012) (ECF No. 107).
1012	Final Claim Constructions of the Court, <i>Ancora Techs., Inc. v. LG Elecs., Inc.</i> , No. 1:20-cv-00034 (W.D. Tex. June 2, 2020) (ECF No. 69).
1013	Supplemental Claim Construction Order, <i>Ancora Techs., Inc. v. LG Elecs., Inc.</i> , No. 1:20-cv-00034 (W.D. Tex. Aug. 19, 2020) (ECF No. 93).
1014	Joint Claim Construction and Prehearing Statement, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc.</i> , No. 8:19-cv-2192 (C.D. Cal. July 17, 2020) (ECF No. 49)
1015	European Patent Application No. EP 0766165A2 (“165 Application”)
1016	U.S. Patent No. 5,724,425 (“425 Patent”)
1017	U.S. Patent No. 6,138,236 (“236 Patent”)
1018	U.S. Patent No. 5,802,592 (“592 Patent”)
1019	U.S. Patent No. 5,835,594 (“594 Patent”)
1020	Telephonic Markman Hearing, <i>Ancora Techs., Inc. v. TCT Mobile (US), Inc., et al.</i> , No. 8:19-cv-2192 (C.D. Cal. Oct. 15, 2020) (ECF No. 60)

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