

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

ROKU, INC. and VIZIO, INC.,
Petitioners,

v.

ANCORA TECHNOLOGIES, INC.,
Patent Owner.

Case IPR2021-01406
U.S. Patent No. 6,411,941 B1

**PATENT OWNER'S SUPPLEMENTAL MANDATORY NOTICES UNDER
37 C.F.R. § 42.8**

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Pursuant to 37 C.F.R. § 42.8, the patent owner, Ancora Technologies Inc. (“Patent Owner”), hereby submits the following Supplemental Mandatory Notices pursuant to C.F.R. § 42.8(a)(2) for the above-captioned proceeding..

A. Real Party-In-Interest - 37 C.F.R. § 42.8(b)(1)

Patent Owner certifies that Ancora Technologies Inc. is the real party-in-interest in this proceeding.

B. Related Matters - 37 C.F.R. § 42.8(b)(2)

Patent Owner asserts that Petitioners infringe the '941 Patent in *Ancora Technologies, Inc. v. VIZIO, Inc.*, No. 6:21-cv-00739 (W.D. Tex.); and *Ancora Technologies, Inc. v. Roku, Inc.*, No. 6:21-cv-00737 (W.D. Tex.).

Patent Owner has also asserted the '941 Patent against other parties in the following currently-pending district-court lawsuits:

- *Ancora Technologies, Inc. v. Nintendo Co., Ltd.*, No. 6:21-cv-00738 (W.D. Tex.)
- *Ancora Technologies, Inc. v. Google, LLC*, No. 6:21-cv-00735 (W.D. Tex.);
- *Ancora Technologies, Inc. v. HTC America, Inc.*, No. 2:16-cv-01919 (W.D. Wash.).

Each of the above cases are stayed, pending the outcome of this IPR and the co-pending IPR2021-01406 and Reexamination No. 90/014,865.

Patent Owner has also asserted the '941 Patent against other parties in the following closed district-court lawsuits:

- *Ancora Techs., Inc. v. TCL Corp. et al.*, 2:20-CV-01252 (C.D. Cal.);
- *Ancora Techs., Inc. v. TCL Corp. et al.*, 4:19-CV-00624 (E.D. Tex.)
- *Ancora Techs., Inc. v. TCT Mobile (US) Inc. et al.*, 8:19-CV-02192 (C.D. Cal.)
- *Ancora Technologies, Inc. v. Sony Corp. et al.*, No. 1:19-cv-01703 (D. Del.);
- *Ancora Technologies, Inc. v. Lenovo Group Limited*, No. 1:19-cv-01712 (D. Del.);
- *Ancora Technologies, Inc. v. LG Electronics, Inc. et al.*, No. 1:20-cv-000384 (W.D. Tex.);
- *Ancora Technologies, Inc. v. Samsung Electronics Co., Ltd. et al.*, No. 6:19-cv-00385 (W.D. Tex.).
- *Ancora Techs., Inc. v. Apple, Inc.*, 4:15-CV-03659 (N.D. Cal.)
- *Ancora Techs., Inc. v. Apple, Inc.*, 4:11-CV-06357 (N.D. Cal.)
- *Ancora Techs., Inc. v. Apple, Inc.*, 2:10-CV-10045 (C.D. Cal.)
- *Ancora Techs., Inc. v. Toshiba Am. Information Sys. Inc.*, 2:09-CV-00270 (W.D. Wash.)
- *Ancora Techs., Inc. v. Toshiba Am. Information Sys. Inc. et al.*, 8:08-CV-00626 (C.D. Cal.)

Other PTAB proceedings involving U.S. Pat. No. 6,411,941 include the following:

Petitioner	Case Number	Status
Apple Inc.	CBM2016-00023	Institution Denied
HTC Corporation and HTC America, Inc.	CBM2017-00054	Institution Denied

Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.	IPR2020-01184	Institution Denied
TCT Mobile (US) Inc., Huizhou TCL Mobile Communication Co., Ltd., and Shenzhen TCL Creative Cloud Technology Co., Ltd.	IPR2020-01609	Terminated
HTC Corporation and HTC America, Inc.	IPR2021-00570	Institution Denied
LG Electronics, Inc. and LG Electronics U.S.A., Inc.	IPR2021-00581	Institution Denied
Samsung Electronics Co., Ltd., and Samsung Electronics America, Inc.	IPR2021-00583	Terminated
Sony Mobile Communications AB, Sony Mobile Communications, Inc., Sony Electronics Inc., and Sony Corporation	IPR2021-00663	Terminated
Roku, Inc. and Vizio, Inc.	IPR2021-01406	Trial Instituted

Other USPTO reexamination proceedings involving the '941 patent include the following:

Requestor	Application Number	Status
Microsoft Corporation	90/010,560	Reexamination Certificate Issued

Requestor	Application Number	Status
Knobbe, Martens, Olson & Bear, LLP	90/014,865	Reexamination Ordered
Knobbe, Martens, Olson & Bear, LLP	90/020,138	Request Denied; Terminated

C. Lead and Back-Up Counsel - 37 C.F.R. § 42.8(b)(3)

The Patent Owner identifies the following lead and back-up counsel:

Lead Counsel	Back-up Counsel
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D. Service Information - 37 C.F.R. § 42.8(b)(4)

Please address all correspondence to the lead and backup counsel at the electronic mail addresses, postal addresses, telephone phone numbers, and facsimile

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