IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

BEFORE THE PATENT TRIAL AND APPEAL BOARD

APPLIED MATERIALS, INC.

Petitioner

v.

OCEAN SEMICONDUCTOR LLC,

Patent Owner.

Case IPR: Unassigned U.S. Patent No. 6,836,691

DECLARATION OF MILTIADIS HATALIS, Ph.D., IN SUPPORT OF PETITION FOR *INTER PARTES* REVIEW OF U.S. PATENT NO. 6,836,691

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Inter Partes Review of U.S. Patent No. 6,836,691 Declaration of Miltiadis Hatalis, Ph.D. (Ex. 1002)

Table of Contents

I.	Overview1				
II.	Experience and Qualifications				
III.	List of Documents Considered in Formulating My Opinion10				
IV.	Person of Ordinary Skill in the Art ("POSA")				
V.	State of the Art Before the May 31, 2003 Filing Date14				
	A.	Background on the Field of Semiconductor Manufacturing Before May 31, 2003			
		1.	Semiconductor Processing is Complex and Costly, and There a Constant Need to Maximize Yield		
		2.	Advanced Process Control and In Situ Data Maximize Chip Yield	16	
		3.	Adjusting Process Recipes Using Metrology Data	17	
		4.	Analyzing Faults Using Metrology Data	18	
VI.	Summary of the '691 Patent			18	
	A.	Brief	Description of Subject Matter	18	
		1.	Independent Claim 1	21	
		2.	Independent Claim 10	21	
		3.	Dependent Claims 2-9, 11-19	22	
VII.	The Basis of My Analysis with Respect to Obviousness				
	A.	Sumi	mary of U.S. Patent No. 7,123,980 ("Funk")	24	
		1.	A Claim of Funk is Supported by its Provisional and PCT Publication	29	
		2.	Funk's Provisional Application Contains Sufficient Written Description to Enable a POSA to Practice the Invention Disclosed in Funk	31	
	B.	Sumi	mary of U.S. Patent No. 6,587,744 ("Stoddard")	36	
	C.	Analysis of Claims 1-19 of the '691 Patent39			
		1.	A POSA Would Have Been Motivated To Combine the APC System of Funk With the Metrology Teachings of Stoddard.		



Inter Partes Review of U.S. Patent No. 6,836,691 Declaration of Miltiadis Hatalis, Ph.D. (Ex. 1002)

	2.	A POSA Would Have Had a Reasonable Expectation of	10
		Success in Combining the Teachings of Stoddard with Funk.	40
	3.	Claims 1 and 10	49
	4.	Claims 2 and 11	60
	5.	Claims 3 and 12	62
	6.	Claims 4 and 13	64
	7.	Claims 5 and 14	67
	8.	Claims 6 and 15	69
	9.	Claims 7 and 16	70
	10.	Claims 8 and 17	71
	11.	Claims 9 and 18	72
	12.	Claim 19	73
	13.	Objective Indicia of Nonobviousness	75
VIII	Conclusion		76



I, Miltiadis Hatalis, Ph.D., hereby declare as follows:

I. OVERVIEW

- 1. I make this declaration in support of Petitioner Applied Materials, Inc.'s petition for *inter partes* review of U.S. Patent No. 6,836,691 (Ex. 1001), which I refer to in my declaration as "the '691 patent." I have been asked to address claims 1-19 of the '691 patent.
- 2. I am over 18 years of age and otherwise competent to make this declaration.
- 3. I am being compensated for my time spent on this matter at my standard consulting rate of \$450 per hour. My compensation is not dependent on the substance of my opinions, my testimony, or the outcome of the *inter partes* review proceeding.
- 4. I am not an employee of Petitioner or any affiliate or subsidiary thereof.
- 5. This declaration summarizes the opinions I have formed to date. I reserve the right to modify my opinions, if necessary, based on further review and analysis of information that I receive subsequent to the filings of this report, including in response to positions that parties to the *inter parties* review proceeding, or their experts, may take that I have not yet seen.



Inter Partes Review of U.S. Patent No. 6,836,691 Declaration of Miltiadis Hatalis, Ph.D. (Ex. 1002)

- 6. I understand that the '691 patent issued on December 28, 2004, and resulted from U.S. Application No. 10/427,620, filed on May 1, 2003.

 I understand that, based on that date, the earliest possible date to which the '691 patent may claim priority is May 1, 2003. I have been asked to provide my analysis of the '691 patent based on prior art and the knowledge in the art before May 1, 2003. I also understand that the '691 patent is currently assigned to Ocean Semiconductor LLC ("Ocean").
- 7. In preparing this declaration, I have reviewed the '691 patent (Ex. 1001) and considered each of the documents cited in this petition, in light of the general knowledge in the art before May 1, 2003. I have also relied upon my experience in the relevant art and considered the viewpoint of a person of ordinary skill in the art ("POSA"; defined in Section IV) before May 1, 2003.
- 8. The claims of the '691 patent are generally directed to methods and systems for collecting metrology data relating to processing workpieces, generating context data (including collection purpose data) for that metrology data, filtering the metrology data based on the collection purpose data, and conducting a process control activity based on the filtered metrology data.
- 9. Broadly, this declaration sets forth my opinion that claims 1-19 of the '691 patent would have been obvious over the prior art.



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