

UNITED STATES PATENT AND TRADEMARK OFFICE  
BEFORE THE PATENT TRIAL AND APPEAL BOARD

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SONY MOBILE COMMUNICATIONS AB, SONY MOBILE  
COMMUNICATIONS, INC., SONY ELECTRONICS INC., and  
SONY CORPORATION,  
Petitioners

v.

ANCORA TECHNOLOGIES, INC.,  
Patent Owner

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Case IPR2021-00663  
Patent No. 6,411,941

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**PATENT OWNER'S PRELIMINARY RESPONSE TO PETITION  
FOR *INTER PARTES* REVIEW UNDER 37 C.F.R. §42.107**

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### Cases

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37 C.F.R. §2.108.....	2

### Other Authorities

Office Patent Trial Practice Guide, 77 Fed. Reg. 48,756, 48,756 (Aug. 14, 2012).....	2
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**Updated List of Exhibits**

<b>Exhibit No.</b>	<b>Description</b>	<b>Date</b>
2001	Scheduling Order, <i>Ancora Technologies, Inc. v. Sony Mobile Communications AB et al.</i> , Case No. 19-1703-CFC (Dkt. #24)	Sept. 16, 2020
2002	Sony's Invalidation Contentions, <i>Ancora Technologies, Inc. v. Sony Mobile Communications AB et al.</i> , Case No. 19-1703-CFC	Dec. 18, 2020
2003	Sony's Appendices A-E to Invalidation Contentions, <i>Ancora Technologies, Inc. v. Sony Mobile Communications AB et al.</i> , Case No. 19-1703-CFC	Dec. 18, 2020
2004	Apple Patent L.R. 3-3 Disclosures, <i>Ancora Technologies, Inc. v. Apple, Inc.</i> , Case No. 4:11-cv-06357 (Dkt. #171-3)	Aug. 25, 2015
2005	Order Setting Patent Case Schedule, <i>Ancora Technologies, Inc. v. HTC America, Inc.</i> , Case No. 2:16-cv-01919 (Dkt. #56)	Mar. 11, 2019
2006	E-mail from Canavera to trials@uspto.gov	Feb. 19, 2021
2007	Complaint, <i>Ancora Technologies, Inc. v. Sony Mobile Communications AB et al.</i> , Case No. 19-1703-CFC (Dkt. #1)	Sept. 11, 2019
2008	Judge Connolly Docket Navigator Statistic	Apr. 15, 2021
2009	Scheduling Order, <i>Ancora Technologies, Inc. v. LG Electronics, Inc. et al.</i> , Case No. 1:20-cv-00034 (WDTX) (Dkt. #129)	Jan. 28, 2021
2010	Expert Report of Suzanne Barber, <i>Ancora Technologies, Inc. v. LG Electronics, Inc. et al.</i> , Case No. 1:20-cv-00034 (WDTX)	Jan. 22, 2021
2011	Declaration of Erez Zadok, <i>Ancora Technologies, Inc. v. LG Electronics, Inc. et al.</i> , Case No. 1:20-cv-00034 (WDTX) (Dkt. #45-1)	Mar. 20, 2020

<b>Exhibit No.</b>	<b>Description</b>	<b>Date</b>
2012	Joint Claim Construction Chart, <i>Ancora Technologies, Inc. v. Sony Mobile Communications AB et al.</i> , Case No. 19-1703-CFC (Dkt. #34, 34-1, 34-2)	April 6, 2021
2013 NEW	Ancora's Preliminary Response to Petition, Case No. IPR2020-01609 (Dkt. 6)	Dec. 17, 2020
2014 NEW	Decision Granting Institution, Case No. IPR2020-01609 (Dkt. 7)	Feb. 16, 2021
2015 NEW	Declaration of Dr. David Martin, Ph.D.	
2016 NEW	<i>Ancora Techs., Inc. v. Apple, Inc.</i> , 744 F.3d 732 (Fed. Cir. 2014)	
2017 NEW	Phil Croucher, "The BIOS Companion," Tri-Tam Enterprises Inc. 1997	
2018 NEW	Telephonic Markman Hearing Tentative Ruling, <i>Ancora Technologies, Inc. v. TCT Mobile (US), Inc. et al.</i> , Case No. 8:19-cv-02192 (CDCA) (Dkt. #60)	Oct. 15, 2020
2019	RESERVED	
2020	RESERVED	
2021	RESERVED	
2022 NEW	US Patent 6,189,146 B1 ("Misra")	Feb. 13, 2001
2023 NEW	US Patent 5,479,639 ("Ewertz")	Dec. 26, 1995
2024 NEW	Microsoft Corporation's Request for <i>Ex Parte</i> Reexamination Image File Wrapper, Control No. 90010560	
2025 NEW	Final Rulings on Claim Construction, <i>Ancora Technologies, Inc. v. TCT Mobile (US), Inc. et al.</i> , Case No. 8:19-cv-02192 (CDCA) (Dkt. #66, #69)	Nov. 12, 2020; Nov. 19, 2020

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