## United States Patent [19]

#### Gorin

#### [54] PLASMA REACTOR APPARATUS AND METHOD

- [75] Inventor: Georges J. Gorin, Pinole, Calif.
- [73] Assignee: Tegal Corp., Novato, Calif.
- [21] Appl. No.: 538,593
- [22] Filed: Oct. 3, 1983
- [51] Int. Cl.<sup>3</sup> ...... H01L 21/312; B44C 1/22; C03C 15/00; C23F 1/02
- [58] Field of Search ...... 204/298, 192 E, 164; 156/643, 646, 345, 657, 656, 659.1, 662, 665; 427/38, 39

#### [56] References Cited

#### **U.S. PATENT DOCUMENTS**

4,233,109	11/1980	Nishizawa	156/345 X
4,349,409	9/1982	Shibayama et al	156/643

#### [45] Date of Patent: Aug. 7, 1984

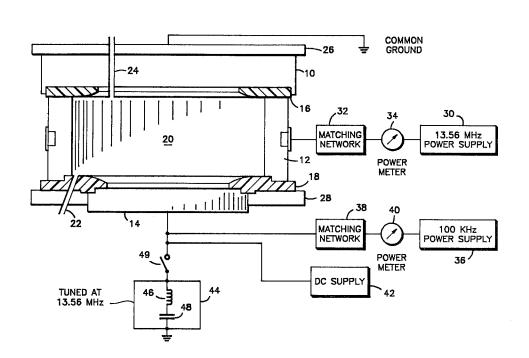
4,352,725	10/1982	Tsukada	204/192 E
4,399,016	8/1983	Tsukada et al	204/192 R

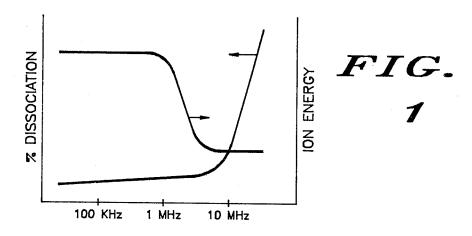
Primary Examiner-William A. Powell

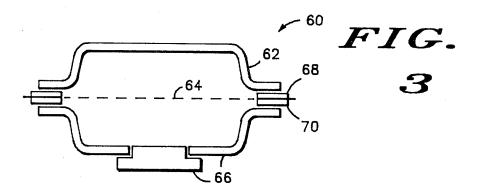
#### [57] ABSTRACT

An improved plasma reactor apparatus and method are disclosed. Improved uniformity of etching and etch rate are achieved in a reactor through the use of electrodes powered at high and low frequencies. In one embodiment of the invention the workpiece which is to be etched rests on an electrode powered at a low AC frequency of about 100 KHz. A second electrode is powered at a high AC frequency of about 13.56 MHz. A third electrode is maintained at ground potential. High and low frequency AC fields acting on a reactant material optimize the dissociation of the reactant material and the ion energy of the plasma generated reactant species.

#### 11 Claims, 4 Drawing Figures







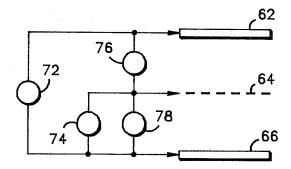
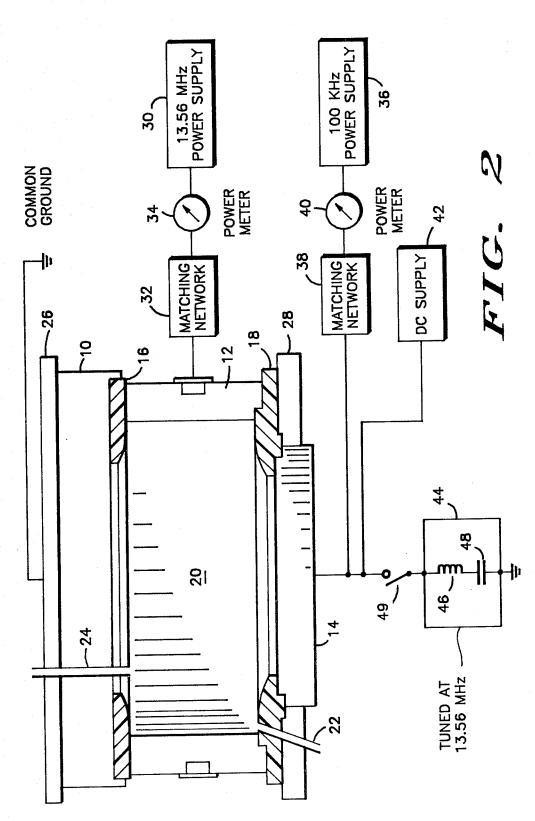


FIG. 4

**DOCKET A L A R M** Find authenticated court documents without watermarks at <u>docketalarm.com</u>.

KF

Α



45

#### PLASMA REACTOR APPARATUS AND METHOD

#### BACKGROUND OF THE INVENTION

5 This invention relates to an improved plasma reactor apparatus and method, and more specifically to a multiple frequency plasma reactor apparatus and to a method for etching workpieces within that apparatus.

Plasma etching and reactive ion etching (RIE) have become important processes in the precision etching of certain workpieces such as in the fabrication of semiconductor devices. Differences in the two processes, which generally can be carried out in the same equipment, result from different pressure ranges employed 15 and from the consequent differences in mean free path of excited reactant species. The two processes will herein be referred to collectively as plasma etching. Plasma etching is a "dry etching" technique and has a number of advantages over conventional wet etching in 20 ally high at low frequencies and falls off rapidly as the which the workpiece is generally immersed in a container of liquid etchant material. Some of the advantages include lower cost, reduced pollution problems, reduced contact with dangerous chemicals, increased dimensional control, increased uniformity, improved etch selectivity, and increased process flexibility. In existing plasma etch systems, however, it has not generally been possible to simultaneously achieve all of these advantages. A need therefore existed for equipment and process which would make several of the foregoing 30 the use of a plasma reactor apparatus employing three advantages simultaneously attainable.

It is therefore an object of this invention to provide an improved plasma reactor apparatus.

It is another object of this invention to provide an improved plasma etch process which enhances process 35 tus includes a first electrode 10, a second electrode 12, flexibility.

It is another object of this invention to provide an improved plasma process which provides a higher degree of control over ion density and ion energy than previously practical.

It is a still further object of this invention to provide an improved plasma reactor apparatus, and a process for practice in that apparatus, which provides an improved uniformity of etch, improved etch selectivity, and improved dimensional control.

#### BRIEF SUMMARY OF THE INVENTION

The foregoing and other objects and advantages are achieved in the present invention through the use of a multiple frequency plasma reactor apparatus. The 50 plasma reactor components together. plasma reactor apparatus includes three electrodes. One of the electrodes is held at ground while the second is selectively coupled to a high frequency AC source and the third is selectively coupled to a low frequency AC source. A plasma generated by the high and/or low 55 frequency electric fields established between the electrodes creates excited species of the reactants injected into the apparatus. The excited species act to precisely etch a workpiece positioned within the reactor.

#### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1 illustrates percentage dissociation and ion energy as a function of plasma frequency;

FIG. 2 illustrates in cross section one embodiment of plasma apparatus in accordance with the invention;

FIG. 3 schematically illustrates a second embodiment of plasma apparatus in accordance with the invention; and

DOCKE

FIG. 4 illustrates coupling of the electrodes and power supplies of the second embodiment of the apparatus.

#### DETAILED DESCRIPTION OF PREFERRED EMBODIMENTS

In the nomenclature associated with plasma reactors and plasma processes, it is common to describe as "high frequency" any frequency greater than about 10 MHz. 10 "Low frequency" is correspondingly used to describe any frequency less than about 1 MHz. The frequency of RF power applied to a reactant gas has an appreciable effect on the plasma that is generated from that gas. FIG. 1 illustrates, for example, the effect of frequency on the amount of dissociation that occurs in the reactant gas making up the plasma. The dissociation remains low until the frequency exceeds about 10 MHz. FIG. 1 also illustrates the effect of frequency on the energy of the ions generated in the plasma. The ion energy is generfrequency is increased. The amount of dissociation of the reactant gas and the energy of the ions within the plasma have a marked effect on the uniformity of etching and also upon the rate of etching. The uniformity of 25 etch is a strong function of the high frequency power while etch rate, for example in the case of oxide etching, is a strong function of low frequency power.

A high degree of etch uniformity and a high etch rate are achieved, in accordance with the invention, through electrodes in combination with both a high frequency power source and a low frequency power source. One embodiment of apparatus in accordance with the invention is illustrated in cross section in FIG. 2. The apparaand a third electrode 14. The first and third electrodes are generally circular and the second electrode is ringshaped. Ring-shaped ceramic insulators 16 and 18 provide electrical isolation between the first and second and the second and third electrodes, respectively. To-40 gether the three electrodes and two ceramic rings bound a generally cylindrical reaction volume 20. Although not shown, the electrodes can be provided with temperature control means such as water cooling.

A gas inlet 22 provides for the ingress of reactants to the reaction volume. A gas outlet 24 provides for the egress of reaction products from the reaction volume under the influence of a vacuum pump (not shown). A top plate 26 and a clamp ring 28 mechanically hold the

Lower electrode 14 is adapted for movement in the vertical direction. The electrode can be lowered to open the apparatus and to allow the placement of a workpiece within reaction volume 20. The workpiece can be placed directly on the electrode, which functions as a workpiece holder, and then the electrode raised to the closed position.

In accordance with the invention, a high frequency power supply 30 and a low frequency power supply 36 are coupled to the second and third electrodes, respec-60 tively, so that high and low frequency electric fields can be established within the reaction volume to act upon reaction gases which enter the reactor through inlet 22. In a preferred embodiment of the invention the top electrode 10 is coupled to ground. This electrode func-65 tions as the common ground for the system, being the ground reference for DC as well as high and low frequency AC supplies. The second electrode, the cylin-

Find authenticated court documents without watermarks at docketalarm.com.

drical ring electrode 12, is coupled to a high frequency AC power supply 30 through a matching network 32. Power, including both forward and reflected power, is monitored on a power meter 34. Lower electrode 14 is coupled to a low frequency AC power supply 36 5 through a matching network 38. Low frequency AC power is monitored on a power meter 40. The high frequency power supply is preferably at a frequency of about 13.56 MHz (a frequency allocated for industrial uses by the FCC) and the low frequency power supply <sup>10</sup> is preferably at a frequency of about 100 KHz.

In one embodiment of the invention the lower electrode is also coupled to a DC supply **42**. Use of a DC power supply allows the amount of DC biasing induced by the plasma to be changed, independently of pressure <sup>15</sup> or power.

In a still further embodiment of the invention, a series circuit 44, including, for example, an inductor 46 and capacitor 48, tuned to the frequency of high frequency power supply 30, is coupled between lower electrode 14<sup>20</sup> and ground. Switch 49 permits the selective coupling of series circuit 44 to electrode 14.

During operation of the apparatus, in accordance with the invention, a workpiece, such as a semiconduc-25 tor wafer, is placed on electrode 14 and the reaction volume is evacuated to a desired low pressure. Reactant gases are then admitted to the reaction chamber and the power supplies are energized. Either one or both AC power supplies can be energized, with or without the DC supply. Either AC supply creates a plasma within the reaction volume so that excited species of the reactant gas are created. Energizing high frequency supply 32 establishes an electric field which exists principally between upper electrode 10 and side electrode 12. Ener-35 gizing low frequency power supply 36 creates a low frequency field which exists principally between lower electrode 14 and upper electrode 10. The combination of the two fields within the reaction volume and in proximity to the workpiece located on the lower elec- 40 trode causes maximum dissociation of the reaction gas as well as imparting a high ion energy to the ions within the plasma.

The selective use of series circuit 44 by the closing of switch 49 has two effects. First, the tuned series circuit, 45 which effectively places the lower electrode 14 at ground with respect to the high frequency supply, changes the electrode area ratio between the high frequency electrode and the ground electrode. This affects the plasma sheath potential above the wafer without 50 physically changing the reactor. Second, coupling the series tank circuit to the lower electrode selectively couples the high frequency supply to the workpiece itself. This allows the optimizing of etch rates and etch selectivity for certain films. For example, the etch rate 55 decreased. of silicon is high at low frequencies and drops off rapidly at high frequencies. In contrast, aluminum etches only slowly at low frequencies but etches rapidly at high frequencies. Thus, by selectively turning on or off the low frequency power supply and by selectively 60 coupling or uncoupling the series circuit, the workpiece can be exposed to a high frequency, low frequency, or mixed frequency plasma.

FIG. 3 schematically illustrates a further embodiment of the invention. In this embodiment a plasma reactor 60 65 includes a first top electrode 62, a screen electrode 64, and a bottom electrode 66. The bottom electrode can also function as a workpiece holder. Insulators 68 and

DOCKE

4

70 electrically isolate the three electrodes from each other.

FIG. 4 schematically illustrates one way in which the three electrodes can be powered. A high frequency power supply 72 such as a supply at 13.56 MHz is coupled between electrodes 62 and 66. A low frequency power supply 74, such as a supply having a frequency of about 100 KHz is coupled between electrodes 64 and 66. In addition, DC supplies 76 and 78 are coupled between electrodes 62 and 64 and between electrodes 64 and 66, respectively. Each of the supplies can be turned on or off or adjusted in power to create the desired plasma and to establish the desired DC bias on one or more electrodes.

The following are non-limiting examples which serve to further illustrate practice of the invention and to disclose preferred embodiments contemplated by the inventor.

#### EXAMPLE I

A plurality of silicon wafers were thermally oxidized to grow a silicon dioxide layer about 500 nm in thickness. Over the oxide layer was formed a layer of polycrystalline silicon, heavily doped with phosphorous and having a thickness of about 500 nm. A patterned photoresist etch mask was then formed on the layer of polycrystalline silicon. The wafers were divided into groups for the patterned plasma etching of the polycrystalline layer in a reactor as illustrated in FIG. 2. The polycrys-30 talline layer was first etched in a mixture of SF<sub>6</sub> plus 10% CCl<sub>3</sub>F. The pressure in the reactor was maintained at 0.25 torr. The AC power between the top and side electrodes was maintained at 100 watts CW at 13.56 MHz. The polycrystalline silicon was etched until end point was detected, approximately 40 seconds. The polycrystalline silicon layer was then given an overetch in CCl<sub>3</sub>F for about 18 seconds. During the overetch the high frequency power was maintained at 100 watts. One group of wafers was overetched with an additional DC bias of 100-150 volts applied to the wafer support electrode; one group was overetched without an additional DC bias. Wafers were examined after the etching. Those wafers overetched without a DC bias exhibited undercutting of the photoresist mask and a negative slope in the etched openings. That is, etched openings were narrower at the top of the polycrystalline silicon layer than at the bottom. Those wafers etched with a DC bias exhibited a decrease in undercutting and an increase in etched opening profile control. Additional groups of wafers were etched with a low frequency (100 KHz) supply coupled to the wafer support electrode. The low frequency plasma increased the anisotrophy of the polycrystalline silicon etch, but the etch selectivity of polycrystalline silicon over silicon dioxide

#### EXAMPLE II

A plurality of silicon wafers were thermally oxidized to grow a 500 nm thick layer of silicon dioxide. A layer of aluminum plus 4% copper was applied over the silicon dioxide to a thickness of 1000 nm. A patterned photoresist mask was formed over the Al/Cu layer. The wafers were divided into two groups for the etching of the alumimum. Both groups were etched in a CCl4 plasma at 0.2–0.3 torr in a reactor as illustrated in FIG. 2. One group was etched using only a 13.56 MHz plasma with the high frequency plasma maintained at 125 watts CW. The second group was etched using an

Find authenticated court documents without watermarks at docketalarm.com.

## DOCKET A L A R M



# Explore Litigation Insights

Docket Alarm provides insights to develop a more informed litigation strategy and the peace of mind of knowing you're on top of things.

## **Real-Time Litigation Alerts**



Keep your litigation team up-to-date with **real-time alerts** and advanced team management tools built for the enterprise, all while greatly reducing PACER spend.

Our comprehensive service means we can handle Federal, State, and Administrative courts across the country.

## **Advanced Docket Research**



With over 230 million records, Docket Alarm's cloud-native docket research platform finds what other services can't. Coverage includes Federal, State, plus PTAB, TTAB, ITC and NLRB decisions, all in one place.

Identify arguments that have been successful in the past with full text, pinpoint searching. Link to case law cited within any court document via Fastcase.

## **Analytics At Your Fingertips**



Learn what happened the last time a particular judge, opposing counsel or company faced cases similar to yours.

Advanced out-of-the-box PTAB and TTAB analytics are always at your fingertips.

## API

Docket Alarm offers a powerful API (application programming interface) to developers that want to integrate case filings into their apps.

#### LAW FIRMS

Build custom dashboards for your attorneys and clients with live data direct from the court.

Automate many repetitive legal tasks like conflict checks, document management, and marketing.

#### FINANCIAL INSTITUTIONS

Litigation and bankruptcy checks for companies and debtors.

### E-DISCOVERY AND LEGAL VENDORS

Sync your system to PACER to automate legal marketing.