

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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**BEFORE THE PATENT TRIAL AND APPEAL BOARD**

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Bank of America, N.A.  
Petitioner

v.

Nant Holdings IP, LLC,  
Patent Owner

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Case No. IPR2021-01080  
U.S. Patent No. 8,463,030

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**PETITIONER'S UPDATED EXHIBIT LIST  
(AS OF DECEMBER 13, 2021)**

## UPDATED EXHIBIT LIST<sup>1</sup>

- 1001 U.S. Patent No. 8,463,030, titled “Image Capture and Identification System and Process” to Wayne C. Boncyk, et al.
- 1002 File history of U.S. Patent No. 8,463,030
- 1003 Declaration of Jeffrey Rodriguez, Ph.D.
- 1004 *Curriculum vitae* of Jeffrey Rodriguez, Ph.D.
- 1005 U.S. Patent No. 6,512,919, titled “Electronic Shopping System Utilizing a Program Downloadable Wireless Videophone” to Nobuo Ogasawara
- 1006 U.S. Patent No. 5,546,475, titled “Produce Recognition System” to Rudolf M. Bolle, et al.
- 1007 L. O’Gorman and R. Kasturi, *Document Image Analysis*, IEEE Computer Society Executive Briefing (IEEE Computer Society Press, 1997)
- 1008 Provisional Application No. 60/246,295
- 1009 Joint Claim Construction and Prehearing Statement, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. June 10, 2021), ECF No. 103

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<sup>1</sup> Citation convention: Where an exhibit contains original page numbering that uniquely identifies each page in the exhibit, this petition uses the original page numbers to refer to the pages in the exhibit; where an exhibit contains original page numbering that does not clearly and uniquely identify each page in the exhibit (such as in the ’030 patent’s file history (Ex.1002)), or does not contain any page numbering, the unique page numbering added to the bottom of the exhibit will be used.

- 1010 Plaintiffs NantWorks, LLC and Nant Holdings IP, LLC Preliminary Infringement Contentions, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. March 4, 2021)
- 1011 Stipulation Regarding Asserted Prior Art, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. June 15, 2021), ECF No. 104
- 1012 Defendants' Preliminary Invalidity Contentions, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. April 8, 2021)
- 1013 Complaint For Patent Infringement, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. August 27, 2020), ECF No. 1
- 1014 First Amended Complaint For Patent Infringement, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. Nov. 11, 2020), ECF No. 40
- 1015 Defendants Bank of America Corporation and Bank of America, N.A.'s Answer to First Amended Complaint, Affirmative Defenses, and Counterclaims, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. Mar. 26, 2021), ECF No. 99
- 1016 Civil Minutes (Scheduling Order), *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. Feb. 25, 2021), ECF No. 91
- 1017 Plaintiffs NantWorks, LLC and Nant Holdings IP, LLC Preliminary Election of Asserted Claims, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. May 11, 2021)
- 1018 Defendants' Preliminary Election of Asserted Prior Art, *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-CV-7872-GW-PVC (C.D. Cal. May 27, 2021)

- 1019 Declaration of Silvia Hall-Ellis, Ph.D. and accompanying Attachments 1a–1f and 2
- 1020 *Ancora Technologies, Inc v. TCT Mobile (US), Inc., et al.*, Case No. 8:19-cv-02192-GW(ADSx), ECF No. 65 (C.D. Cal. Nov. 12, 2020)
- 1021 *BlackBerry Limited v. Facebook, Inc. et al.*, Case No. 2:18-cv-01844-GW(KSx), ECF No. 652 (C.D. Cal. Feb 13, 2020)
- 1022 U.S. Patent No. 6,947,571, entitled “Cell Phones With Optical Capabilities, and Related Applications” to Geoffrey B. Rhoads, et al.
- 1023- Reserved.  
1041
- 1042 Order Granting Defendants’ motion For Clarification Under Fed. R. CIV. P. 60(a), *NantWorks, LLC and Nant Holdings IP, LLC v. Bank of America Corporation and Bank of America, N.A.*, 2:20-cv-07872-GW-PVC (C.D. Cal. Dec. 3, 2021), ECF No. 153

## CERTIFICATE OF SERVICE

Under 37 C.F.R. §§42.6(e), this is to certify that on December 13, 2021, I caused to be served a true and correct copy of the foregoing **Petitioner's Updated Exhibit List, Exhibit 1042** electronically on the Patent Owner at the following email addresses of record:

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