United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	ISSUE DATE	PATENT NO.	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/010 037	09/24/2019	10/12303/	HAPIL0136	7500

38327

09/04/2019

Juan Carlos A. Marquez Marquez Intellectual Property Law Office PLLC 1629 K Street, NW Suite 300 Washington, DC 20006

ISSUE NOTIFICATION

The projected patent number and issue date are specified above.

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(application filed on or after May 29, 2000)

The Patent Term Adjustment is 0 day(s). Any patent to issue from the above-identified application will include an indication of the adjustment on the front page.

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Application Assistance Unit (AAU) of the Office of Data Management (ODM) at (571)-272-4200.

APPLICANT(s) (Please see PAIR WEB site http://pair.uspto.gov for additional applicants):

Takahiro OCHIAI, Chiba, JAPAN; Japan Display Inc., Tokyo, JAPAN; Panasonic Liquid Crystal Display Co., Ltd., Himeji-shi, JAPAN; Tohru SASAKI, Mobara, JAPAN; Tetsuya NAGATA, Mobara, JAPAN;

The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The USA offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to encourage and facilitate business investment. To learn more about why the USA is the best country in the world to develop technology, manufacture products, and grow your business, visit <u>SelectUSA.gov</u>.

IR103 (Rev. 10/09)

Page 1 of 114 Tianma Exhibit 1004

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web. By mail, send to: Mail Stop ISSUE FEE By fax, send to: (571)-273-2885 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. Certificate of Mailing or Transmission 38327 7590 05/20/2019 I hereby certify that this Fee(s) Transmittal is being deposited with the United Juan Carlos A. Marquez States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to Marquez Intellectual Property Law Office PLLC the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. 1629 K Street, NW (Typed or printed name Suite 300 (Signature Washington, DC 20006 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 16/019 937 06/27/2018 Takahiro OCHIAI HARU-0136 7509 TITLE OF INVENTION: LIQUID CRYSTAL DISPLAY DEVICE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE APPLN, TYPE 08/20/2019 UNDISCOUNTED \$1000 \$0.00 \$0.00 \$1000 nonprovisional **EXAMINER** ART UNIT CLASS-SUBCLASS LAU, EDMOND C 2871 349-155000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys 1 Juan Carlos A. Marquez or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence (2) The name of a single firm (having as a member a Address form PTO/SB/122) attached. 2 Marquez IP Law Office, PLLC registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) (1) Japan Display Inc. Tokyo, Japan (2) Panasonic Liquid Crystal Display Co., Ltd. Hyogo-ken, Japan Please check the appropriate assignee category or categories (will not be printed on the patent): 🗖 Individual 🚨 Corporation or other private group entity 🗖 Government XIssue Fee Advance Order - # of Copies 4a. Fees submitted: ☐Publication Fee (if required) 4b. Method of Payment: (Please first reapply any previously paid fee shown above) Electronic Payment via EFS-Web Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 60-0155 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken ☐ Applicant asserting small entity status. See 37 CFR 1.27 to be a notification of loss of entitlement to micro entity status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro Applicant changing to regular undiscounted fee status. entity status, as applicable. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. /juan.carlos.a.marquez/ August 12, 2019 Authorized Signature Date Typed or printed name Juan Carlos A. Marquez Registration No. 34,072

> Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Electronic Patent Application Fee Transmittal						
Application Number:	160	019937				
Filing Date:	27-Jun-2018					
Title of Invention:	LIQUID CRYSTAL DISPLAY DEVICE					
First Named Inventor/Applicant Name:	Takahiro OCHIAI					
Filer:	Juan Carlos A. Marquez/Lily Niu					
Attorney Docket Number:	НА	RU-0136				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
Pages:						
Claims:						
Miscellaneous-Filing:						
Petition:						
Patent-Appeals-and-Interference:						
Post-Allowance-and-Post-Issuance:						
UTILITY APPL ISSUE FEE		1501	1	1000	1000	
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Description	Fee Code	Quantity	Amount	Sub-Total in USD(\$)
Extension-of-Time:				
Miscellaneous:				
	Tot	al in USD	(\$)	1000

Electronic Acknowledgement Receipt				
EFS ID:	36849481			
Application Number:	16019937			
International Application Number:				
Confirmation Number:	7509			
Title of Invention:	LIQUID CRYSTAL DISPLAY DEVICE			
First Named Inventor/Applicant Name:	Takahiro OCHIAI			
Customer Number:	38327			
Filer:	Juan Carlos A. Marquez/Lily Niu			
Filer Authorized By:	Juan Carlos A. Marquez			
Attorney Docket Number:	HARU-0136			
Receipt Date:	12-AUG-2019			
Filing Date:	27-JUN-2018			
Time Stamp:	16:16:27			
Application Type:	Utility under 35 USC 111(a)			

Payment information:

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Submitted with Payment	yes		
Payment Type	EFT		
Payment was successfully received in RAM	\$1000		
RAM confirmation Number	E20198BG16469254		
Deposit Account			
Authorized User			
The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:			

File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
			610716		
1	Issue Fee Payment (PTO-85B)	HARU-136-Issue_fee_form.pdf	60456fc14f98b25bf170d3a78fd93e130f5c0 2e0	no	1
Warnings:		-			
Information:					
			30343		
2	Fee Worksheet (SB06)	fee-info.pdf	82df1913e49d437647fb3085ef1fc188f49d5 02f	no	2
Warnings:		-			
Information:					
		Total Files Size (in bytes)	: 64	41059	

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

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UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/019,937	06/27/2018	Takahiro OCHIAI	HARU-0136	7509
38327 Juan Carlos A.	7590 08/05/201 Marquez	9	EXAM	IINER
	ectual Property Law Of	fice PLLC	LAU, ED:	MOND C
Suite 300			ART UNIT	PAPER NUMBER
Washington, Do	C 20006		2871	
			NOTIFICATION DATE	DELIVERY MODE
			08/05/2019	ELECTRONIC

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

USPTO@dockettrak.com lniu@marqueziplaw.com mail@marqueziplaw.com

	Application No. 16/019,937 Applican OCHIAI e							
Applicant-Initiated Interview Summary	Examiner EDMOND C LAU	Art Unit 2871	AIA (FITF) Status No					
All participants (applicant, applicants representative, PTO	personnel):							
(1) EDMOND C. LAU.	(3)							
(2) <u>Juan Carlos Marquez</u> .	2) <u>Juan Carlos Marquez</u> . (4)							
Date of Interview: 31 July 2019.								
Type: ☑ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant	Type: ☑ Telephonic ☐ Video Conference ☐ Personal [copy given to: ☐ applicant ☐ applicant's representative]							
Exhibit shown or demonstration conducted:	□ No.							
Issues Discussed 101 112 102 103 (For each of the checked box(es) above, please describe below the issue and deta	Others iled description of the discussion)							
Claim(s) discussed: 1.								
Identification of prior art discussed: <u>US 20040084673 A1</u>	o Hirakata et al							
Substance of Interview (For each issue discussed, provide a detailed description and indicate if agreement reference or a portion thereof, claim interpretation, proposed amendments, argum		de: identification	or clarification of a					
Discussed entering proposed amendments under §1.312 substantially change the scope of the claims therefore will continuation may be filed with the proposed claims.								
Applicant recordation instructions: The formal written reply to the last Office action must include the substance of the interview. (See MPEP section 713.04). If a reply to the last Office action has already been filed, applicant is given a non-extendable period of the longer of one month or thirty days from this interview date, or the mailing date of this interview summary form, whichever is later, to file a statement of the substance of the interview.								
Examiner recordation instructions : Examiners must summarize the substance of any interview of record. A complete and proper recordation of the substance of an interview should include the items listed in MPEP 713.04 for complete and proper recordation including the identification of the general thrust of each argument or issue discussed, a general indication of any other pertinent matters discussed regarding patentability and the general results or outcome of the interview, to include an indication as to whether or not agreement was reached on the issues raised.								
☑ Attachment								
/EDMOND C LAU/ Primary Examiner, Art Unit 2871								

U.S. Patent and Trademark Office PTOL-413 (Rev. 8/11/2010)

Interview Summary Paper No. 20190731

Summary of Record of Interview Requirements

Manual of Patent Examining Procedure (MPEP), Section 713.04, Substance of Interview Must be Made of Record

A complete written statement as to the substance of any face-to-face, video conference, or telephone interview with regard to an application must be made of record in the application whether or not an agreement with the examiner was reached at the interview.

Title 37 Code of Federal Regulations (CFR) 1.133 Interviews

Paragraph (b)

In every instance where reconsideration is requested in view of an interview with an examiner, a complete written statement of the reasons presented at the interview as warranting favorable action must be filed by the applicant. An interview does not remove the necessity for reply to Office action as specified in §§ 1.111, 1.135. (35 U.S.C. 132)

37 CFR §1.2 Business to be transacted in writing.

All business with the Patent or Trademark Office should be transacted in writing. The personal attendance of applicants or their attorneys or agents at the Patent and Trademark Office is unnecessary. The action of the Patent and Trademark Office will be based exclusively on the written record in the Office. No attention will be paid to any alleged oral promise, stipulation, or understanding in relation to which there is disagreement or doubt.

The action of the Patent and Trademark Office cannot be based exclusively on the written record in the Office if that record is itself incomplete through the failure to record the substance of interviews.

It is the responsibility of the applicant or the attorney or agent to make the substance of an interview of record in the application file, unless the examiner indicates he or she will do so. It is the examiners responsibility to see that such a record is made and to correct material inaccuracies which bear directly on the question of patentability.

Examiners must complete an Interview Summary Form for each interview held where a matter of substance has been discussed during the interview by checking the appropriate boxes and filling in the blanks. Discussions regarding only procedural matters, directed solely to restriction requirements for which interview recordation is otherwise provided for in Section 812.01 of the Manual of Patent Examining Procedure, or pointing out typographical errors or unreadable script in Office actions or the like, are excluded from the interview recordation procedures below. Where the substance of an interview is completely recorded in an Examiners Amendment, no separate Interview Summary Record is required.

The Interview Summary Form shall be given an appropriate Paper No., placed in the right hand portion of the file, and listed on the "Contents" section of the file wrapper. In a personal interview, a duplicate of the Form is given to the applicant (or attorney or agent) at the conclusion of the interview. In the case of a telephone or video-conference interview, the copy is mailed to the applicants correspondence address either with or prior to the next official communication. If additional correspondence from the examiner is not likely before an allowance or if other circumstances dictate, the Form should be mailed promptly after the interview rather than with the next official communication.

The Form provides for recordation of the following information:

- Application Number (Series Code and Serial Number)
- Name of applicant
- Name of examiner
- Date of interview
- Type of interview (telephonic, video-conference, or personal)
- Name of participant(s) (applicant, attorney or agent, examiner, other PTO personnel, etc.)
- An indication whether or not an exhibit was shown or a demonstration conducted
- An identification of the specific prior art discussed
- An indication whether an agreement was reached and if so, a description of the general nature of the agreement (may be by attachment of a copy of amendments or claims agreed as being allowable). Note: Agreement as to allowability is tentative and does not restrict further action by the examiner to the contrary.
- The signature of the examiner who conducted the interview (if Form is not an attachment to a signed Office action)

It is desirable that the examiner orally remind the applicant of his or her obligation to record the substance of the interview of each case. It should be noted, however, that the Interview Summary Form will not normally be considered a complete and proper recordation of the interview unless it includes, or is supplemented by the applicant or the examiner to include, all of the applicable items required below concerning the substance of the interview.

A complete and proper recordation of the substance of any interview should include at least the following applicable items:

- 1) A brief description of the nature of any exhibit shown or any demonstration conducted,
- 2) an identification of the claims discussed,
- 3) an identification of the specific prior art discussed,
- 4) an identification of the principal proposed amendments of a substantive nature discussed, unless these are already described on the Interview Summary Form completed by the Examiner,
- 5) a brief identification of the general thrust of the principal arguments presented to the examiner,
 - (The identification of arguments need not be lengthy or elaborate. A verbatim or highly detailed description of the arguments is not required. The identification of the arguments is sufficient if the general nature or thrust of the principal arguments made to the examiner can be understood in the context of the application file. Of course, the applicant may desire to emphasize and fully describe those arguments which he or she feels were or might be persuasive to the examiner.)
- 6) a general indication of any other pertinent matters discussed, and
- 7) if appropriate, the general results or outcome of the interview unless already described in the Interview Summary Form completed by the examiner.

Examiners are expected to carefully review the applicants record of the substance of an interview. If the record is not complete and accurate, the examiner will give the applicant an extendable one month time period to correct the record.

Examiner to Check for Accuracy

If the claims are allowable for other reasons of record, the examiner should send a letter setting forth the examiners version of the statement attributed to him or her. If the record is complete and accurate, the examiner should place the indication, Interview Record OK on the paper recording the substance of the interview along with the date and the examiners initials.

PROPOSED CLAIM AMENDMENTS FOR CONSIDERATION UNDER §1.312

FOR U.S. APPLICATION NO. 16/019,937

Proposed Amendment A:

- 1. A liquid crystal display device comprising:
 - a first substrate;
 - a second substrate;

liquid crystal enclosed between the first substrate and the second substrate;

- a scanning line formed between the first substrate and the liquid crystal;
- a drain line crossing the scanning line;
- a thin film transistor having a semiconductor layer and a source electrode, a first insulation film above the semiconductor layer and having a first contact hole and a second contact hole, the semiconductor layer being connected to the drain line via the first contact hole and connected to the source electrode via the second contact hole;

an organic film above the source electrode;

- a second insulation film;
- a common electrode between the organic film and the second insulation film;
- a first pixel electrode above the second insulation film and connected to the source electrode via a third contact hole formed in the second insulation film;
 - a second pixel electrode adjacent to the first pixel electrode; and
 - a spacer disposed between the first substrate and the second substrate,
- wherein the scanning line is between has a first side and a second side opposite to the first side in the plan view, the first pixel electrode is located on the first side and the second pixel electrode is located on the second side.

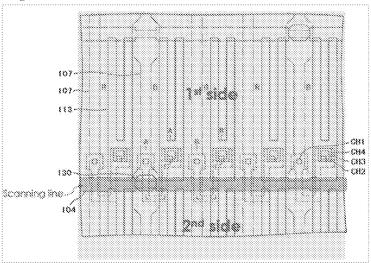
wherein the semiconductor layer overlapped with the scanning line at a first channel region and a second channel region, and a part of the semiconductor layer between the first channel region and the second channel region is located on the second side of the scanning line,

wherein the spacer is overlapped with the semiconductor layer, the drain line, the organic film, and the common electrode,

wherein the first contact hole, the second contact hole, and the third contact hole are located on the first side of the seanning line, and

wherein the part of the semiconductor layer between the first channel region and the second channel region is overlapped with the second pixel electrode.

(supplemental drawing)



Proposed Amendment B:

- 1. A liquid crystal display device comprising:
 - a first substrate;
 - a second substrate;

liquid crystal enclosed between the first substrate and the second substrate;

- a scanning line formed between the first substrate and the liquid crystal;
- a drain line crossing the scanning line;
- a thin film transistor having a semiconductor layer and a source electrode, a first insulation film above the semiconductor layer and having a first contact hole and a second contact hole, the semiconductor layer being connected to the drain line via the first contact hole and connected to the source electrode via the second contact hole;
 - an organic film above the source electrode;
 - a second insulation film;
 - a common electrode between the organic film and the second insulation film;
- a first pixel electrode above the second insulation film and connected to the source electrode via a third contact hole formed in the second insulation film;
 - a second pixel electrode adjacent to the first pixel electrode; and
 - a spacer disposed between the first substrate and the second substrate,
- wherein the scanning line has a first side and a second side opposite to the first side in the plan view, the first pixel electrode is located at a first region on the first side and the second pixel electrode is located at a second region on the second side,

wherein the first side is between the first region and the second side and the second side is between the second region and the first side.

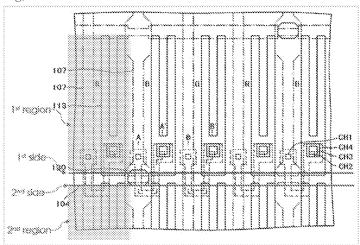
wherein the semiconductor layer overlapped with the scanning line at a first channel region and a second channel region, and a part of the semiconductor layer between the first channel region and the second channel region is located on the second region side of the scanning line,

wherein the spacer is overlapped with the semiconductor layer, the drain line, the organic film, and the common electrode,

wherein the first contact hole, the second contact hole, and the third contact hole are located on the first region-side of the seanning line, and

wherein the part of the semiconductor layer between the first channel region and the second channel region is overlapped with the second pixel electrode.

(supplemental drawing)



UNITED STATES PATENT AND TRADEMARK OFFICE



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

DATE MAILED: 05/20/2019

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

NOTICE OF ALLOWANCE AND FEE(S) DUE

Juan Carlos A. Marquez

Marquez Intellectual Property Law Office PLLC
1629 K Street, NW
Suite 300

Washington, DC 20006

EXAMINER					
LAU, EDMOND C					
ART UNIT PAPER NUMBER					
 2871					

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/019 937	06/27/2018	Takahiro OCHIAI	HARU-0136	7509

TITLE OF INVENTION: LIQUID CRYSTAL DISPLAY DEVICE

APPLN. TYPE	ENTITY STATUS	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	UNDISCOUNTED	\$1000	\$0.00	\$0.00	\$1000	08/20/2019

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

HOW TO REPLY TO THIS NOTICE:

I. Review the ENTITY STATUS shown above. If the ENTITY STATUS is shown as SMALL or MICRO, verify whether entitlement to that entity status still applies.

If the ENTITY STATUS is the same as shown above, pay the TOTAL FEE(S) DUE shown above.

If the ENTITY STATUS is changed from that shown above, on PART B - FEE(S) TRANSMITTAL, complete section number 5 titled "Change in Entity Status (from status indicated above)".

For purposes of this notice, small entity fees are 1/2 the amount of undiscounted fees, and micro entity fees are 1/2 the amount of small entity fees.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Maintenance fees are due in utility patents issuing on applications filed on or after Dec. 12, 1980. It is patentee's responsibility to ensure timely payment of maintenance fees when due. More information is available at www.uspto.gov/PatentMaintenanceFees.

Page 1 of 3

PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), by mail or fax, or via EFS-Web. By mail, send to: Mail Stop ISSUE FEE By fax, send to: (571)-273-2885 Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450 INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 38327 7590 05/20/2019 Certificate of Mailing or Transmission I hereby certify that this Fee(s) Transmittal is being deposited with the United Juan Carlos A. Marquez States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being transmitted to Marquez Intellectual Property Law Office PLLC the USPTO via EFS-Web or by facsimile to (571) 273-2885, on the date below. 1629 K Street, NW (Typed or printed name Suite 300 (Signature Washington, DC 20006 APPLICATION NO. FILING DATE FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 16/019 937 06/27/2018 Takahiro OCHIAI HARU-0136 7509 TITLE OF INVENTION: LIQUID CRYSTAL DISPLAY DEVICE ENTITY STATUS ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE APPLN, TYPE 08/20/2019 UNDISCOUNTED \$1000 \$0.00 \$0.00 \$1000 nonprovisional **EXAMINER** ART UNIT CLASS-SUBCLASS LAU, EDMOND C 2871 349-155000 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). For printing on the patent front page, list (1) The names of up to 3 registered patent attorneys or agents OR, alternatively, ☐ Change of correspondence address (or Change of Correspondence (2) The name of a single firm (having as a member a Address form PTO/SB/122) attached. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/ listed, no name will be printed. SB/47; Rev 03-09 or more recent) attached. Use of a Customer Number is required. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document must have been previously recorded, or filed for recordation, as set forth in 37 CFR 3.11 and 37 CFR 3.81(a). Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) Please check the appropriate assignee category or categories (will not be printed on the patent) : 🗖 Individual 🗖 Corporation or other private group entity 🗖 Government ☐Issue Fee Publication Fee (if required) Advance Order - # of Copies 4a. Fees submitted: 4b. Method of Payment: (Please first reapply any previously paid fee shown above) La Electronic Payment via EFS-Web ☐ Enclosed check ☐ Non-electronic payment by credit card (Attach form PTO-2038) The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment to Deposit Account No. 5. Change in Entity Status (from status indicated above) NOTE: Absent a valid certification of Micro Entity Status (see forms PTO/SB/15A and 15B), issue Applicant certifying micro entity status. See 37 CFR 1.29 fee payment in the micro entity amount will not be accepted at the risk of application abandonment. NOTE: If the application was previously under micro entity status, checking this box will be taken Applicant asserting small entity status. See 37 CFR 1.27 to be a notification of loss of entitlement to micro entity status. NOTE: Checking this box will be taken to be a notification of loss of entitlement to small or micro Applicant changing to regular undiscounted fee status. entity status, as applicable. NOTE: This form must be signed in accordance with 37 CFR 1.31 and 1.33. See 37 CFR 1.4 for signature requirements and certifications. Authorized Signature Date Typed or printed name Registration No.

> Page 2 of 3 OMB 0651-0033

PTOL-85 Part B (08-18) Approved for use through 01/31/2020

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

United States Patent and Trademark Office



UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS

P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
16/019,937	06/27/2018	Takahiro OCHIAI	HARU-0136	7509
38327 75	90 05/20/2019	EXAM	INER	
Juan Carlos A. M	[arquez	LAU, EDMOND C		
Marquez Intellectu	al Property Law Office	PLLC		
1629 K Street, NW	•		ART UNIT	PAPER NUMBER
Suite 300			2871	
Washington, DC 20	0006		DATE MAILED: 05/20/2019)

Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)

(Applications filed on or after May 29, 2000)

The Office has discontinued providing a Patent Term Adjustment (PTA) calculation with the Notice of Allowance.

Section 1(h)(2) of the AIA Technical Corrections Act amended 35 U.S.C. 154(b)(3)(B)(i) to eliminate the requirement that the Office provide a patent term adjustment determination with the notice of allowance. See Revisions to Patent Term Adjustment, 78 Fed. Reg. 19416, 19417 (Apr. 1, 2013). Therefore, the Office is no longer providing an initial patent term adjustment determination with the notice of allowance. The Office will continue to provide a patent term adjustment determination with the Issue Notification Letter that is mailed to applicant approximately three weeks prior to the issue date of the patent, and will include the patent term adjustment on the patent. Any request for reconsideration of the patent term adjustment determination (or reinstatement of patent term adjustment) should follow the process outlined in 37 CFR 1.705.

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 or (571)-272-4200.

OMB Clearance and PRA Burden Statement for PTOL-85 Part B

The Paperwork Reduction Act (PRA) of 1995 requires Federal agencies to obtain Office of Management and Budget approval before requesting most types of information from the public. When OMB approves an agency request to collect information from the public, OMB (i) provides a valid OMB Control Number and expiration date for the agency to display on the instrument that will be used to collect the information and (ii) requires the agency to inform the public about the OMB Control Number's legal significance in accordance with 5 CFR 1320.5(b).

The information collected by PTOL-85 Part B is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450. Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b) (2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether disclosure of these records is required by the Freedom of Information Act.
- 2. A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

	Application No.		Applicant(s) OCHIAI et al.		
Notice of Allowability	Examiner EDMOND C LAU	Art Unit 2871	AIA (FITF) Status		
The MAILING DATE of this communication apperall claims being allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RICE of the Office or upon petition by the applicant. See 37 CFR 1.313	OR REMAINS) CLOSED in this a or other appropriate communicati GHTS. This application is subject	pplication. If no on will be mailed	t included d in due course. THIS		
1. ☐ This communication is responsive to 6/27/2018. ☐ A declaration(s)/affidavit(s) under 37 CFR 1.130(b) was/	were filed on				
2. An election was made by the applicant in response to a rest restriction requirement and election have been incorporated		g the interview	on; the		
3. The allowed claim(s) is/are 1-8. As a result of the allowed c Highway program at a participating intellectual property offichttp://www.uspto.gov/patents/init_events/pph/index.jsp	ce for the corresponding applicati	on. For more in	formation, please see		
4. Acknowledgment is made of a claim for foreign priority unde	er 35 U.S.C. § 119(a)-(d) or (f).				
Certified copies:					
 a) ☑AII b) ☐ Some *c) ☐ None of the: 1. ☐ Certified copies of the priority documents have 2. ☑ Certified copies of the priority documents have 		14709529			
3. Copies of the certified copies of the priority do	• •		e application from the		
International Bureau (PCT Rule 17.2(a)).		no riational otag	о арриоалон понтино		
* Certified copies not received:					
Applicant has THREE MONTHS FROM THE "MAILING DATE" noted below. Failure to timely comply will result in ABANDONM THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.		oly complying wi	th the requirements		
5. CORRECTED DRAWINGS (as "replacement sheets") must	be submitted.				
including changes required by the attached Examiner's Paper No./Mail Date	Amendment / Comment or in the	Office action of	F		
Identifying indicia such as the application number (see 37 CFR 1, sheet. Replacement sheet(s) should be labeled as such in the hea		wings in the fror	nt (not the back) of each		
 DEPOSIT OF and/or INFORMATION about the deposit of B attached Examiner's comment regarding REQUIREMENT F 					
Attachment(s)	5. ☐ Examiner's Ame	d	t		
 Notice of References Cited (PTO-892) Information Disclosure Statements (PTO/SB/08), 	6. ☑ Examiner's Ame				
Paper No./Mail Date		ement of neaso	ns for Allowance		
 3. Examiner's Comment Regarding Requirement for Deposit of Biological Material 4. Interview Summary (PTO-413), 	7. 🗌 Other				
Paper No./Mail Date /EDMOND C LAU/					
Primary Examiner, Art Unit 2871					
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U.S. Patent and Trademark Office PTOL-37 (Rev. 08-13)

Notice of Allowability

Part of Paper No./Mail Date 20190513

DETAILED ACTION

Notice of Pre-AIA or AIA Status

The present application is being examined under the pre-AIA first to invent provisions.

Priority

Acknowledgment is made of applicant's claim for foreign priority under 35 U.S.C. 119 (a)-(d). The certified copy has been filed in parent Application No. 14/709529, filed on 5/12/2015.

Allowable Subject Matter

Claims 1-8 are allowed.

The following is an examiner's statement of reasons for allowance:

Regarding Claim 1. The prior art of record, taken alone or in combination, fails to teach or disclose, in light of the specifications, "the part of the semiconductor layer between the first channel region and the second channel region is overlapped with the second pixel electrode."

Claims 2-8 are allowable due to dependency to claim 1.

US 20040084673 A1 to Hirakata et al. for instance, taken alone or in combination with the prior art of record, fails to teach or disclose, in light of the specifications, the recited claim limitations of claim 1. Specifically, Hirakata discloses various limitations of base claim 1: A liquid crystal display device comprising: a first substrate (Fig. 8B active matrix substrate); a second substrate (Fig. 8B opposite substrate 574); liquid crystal enclosed between the first substrate and the second substrate (Fig. 8B liquid crystal 578); a scanning line formed between the first substrate and the liquid crystal (Fig. 6C gate wirings (also referred to as gate electrodes) 521 to 524a and 524b, See also Fig. 10 gate wiring 808); a drain line crossing the scanning line (Fig. 7C source wirings 555 to 558, and drain wirings 559 to 562, para 131 "Note that, although

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not shown in the figures, the drain wirings 559 and 560 are formed from the same wiring in order to form a CMOS circuit", See also Fig. 10 source wiring 809); a thin film transistor (See Fig. 8B

TFT 704) having a semiconductor layer (See Fig. 8B channel forming regions 613 and 614, a

source region 615, a drain region 616, Loff regions 617 to 620, and an n-type impurity region (a)

621) and a source electrode (Fig. 7C- Fig. 8B drain wiring 562), a first insulation film above the

semiconductor layer and having a first contact hole and a second contact hole (Fig. 7B insulating

film 548), the semiconductor layer being connected to the drain line via the first contact hole and

connected to the source electrode via the second contact hole (See Fig. 8B); an organic film

above the source electrode (Fig. 7D insulating film 564) para 136; a second insulation film (Fig.

8B anodic oxide film 567); a common electrode between the organic film and the second

insulation film (Fig. 8B shielding film 565, para 151); a first pixel electrode above the second

insulation film and connected to the source electrode via a third contact hole formed in the

second insulation film (Fig. 8B pixel electrode 569); a second pixel electrode adjacent to the first

pixel electrode (Fig. 8A pixel electrode 570); and a spacer disposed between the first substrate

and the second substrate (Fig. 8A spacer 568), wherein the scanning line has a first side and a

second side opposite to the first side in the plan view, the first pixel electrode is located on the

first side and the second pixel electrode is located on the second side (See Fig. 8B), wherein the

semiconductor layer overlapped with the scanning line at a first channel region and a second

channel region (Fig. 8B channel forming regions 613 and 614 overlapped with Gate wirings

524a and 524b), and a part of the semiconductor layer between the first channel region and the

second channel region is located on the second side of the scanning line (See Fig. 8B), wherein

the spacer is overlapped with the semiconductor layer, the drain line, the organic film, and the

common electrode (See Fig. 8B), the first contact hole, the second contact hole, and the third

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contact hole are located on the first side of the scanning line (See Fig. 8B). However, Hirakata does not disclose that "wherein the part of the semiconductor layer between the first channel region and the second channel region is overlapped with the second pixel electrode." Therefore, the prior art of record taken alone or in combination fails to teach or disclose, in light of the specifications, the recited claim limitations of claim 1.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to EDMOND C LAU whose telephone number is (571)272-5859. The examiner can normally be reached on M-Th 8am-6pm EST.

Examiner interviews are available via telephone, in-person, and video conferencing using a USPTO supplied web-based collaboration tool. To schedule an interview, applicant is encouraged to use the USPTO Automated Interview Request (AIR) at http://www.uspto.gov/interviewpractice.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael H Caley can be reached on (571) 272-2286. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent

Application Information Retrieval (PAIR) system. Status information for published applications

may be obtained from either Private PAIR or Public PAIR. Status information for unpublished

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applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/EDMOND C LAU/ Primary Examiner, Art Unit 2871

		Nation of Batavana	- Cited		Application/ 16/019,937	Control No.		Applicant(s)/Patent Under Reexamination OCHIAI et al.	
	Notice of References Cited		Examiner EDMOND (CLAU		Art Unit 2871	Page 1 of 1		
				U.S. P	ATENT DOCU	MENTS			
*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name			CPC Classification	US Classification	
*	A	US-20040084673-A1	05-2004	Hirakata,	Yoshiharu			G02F1/13394	257/59
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*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

U.S. Patent and Trademark Office PTO-892 (Rev. 01-2001)

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Notice of References Cited

Part of Paper No. 20190513

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/019,937	OCHIAI et al.
	Examiner	Art Unit
	EDMOND C LAU	2871

CPC						
Symbol	Symbol				Туре	Version
G02F	7	1	7	1339	F	2013-01-01
G02F		1	7	136286	I	2013-01-01
G02F		1		13394	1	2013-01-01
G02F	7	1		136277	I	2013-01-01
G02F		1	7	1368	I	2013-01-01
G02F	7	1		134363	A	2013-01-01
G02F		1		136227	A	2013-01-01
G02F	1	2001		13415	A	2013-01-01
G02F	7	2001	7	13606	A	2013-01-01

CPC Combination Sets				
Symbol	Туре	Set	Ranking	Version

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	8	
/EDMOND C LAU/ Primary Examiner, Art Unit 2871	13 May 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	6

U.S. Patent and Trademark Office

Part of Paper No.: 20190513

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/019,937	OCHIAI et al.
	Examiner	Art Unit
	EDMOND C LAU	2871

INTERNATIONAL CLASSIFICATI	ON		
CLAIMED			
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NON-CLAIMED			

US ORIGINAL CLASSIFICATION					
CLASS	SUBCLASS				
CROSS REFERENCES(S)					

CROSS REFERENCES(S)				
CLASS	SUBCLASS (ONE SUBCLASS PER BLOCK)			

NONE		Total Claims	s Allowed:
(Assistant Examiner)	(Date)	8	
/EDMOND C LAU/ Primary Examiner, Art Unit 2871	13 May 2019	O.G. Print Claim(s)	O.G. Print Figure
(Primary Examiner)	(Date)	1	6

U.S. Patent and Trademark Office

Part of Paper No.: 20190513

	Application/Control No.	Applicant(s)/Patent Under Reexamination
Issue Classification	16/019,937	OCHIAI et al.
	Examiner	Art Unit
	EDMOND C LAU	2871

O S	✓ Claims renumbered in the same order as presented by applicant ☐ CPA ☐ T.D. ☐ R.1.47														
CLAIMS	CLAIMS														
Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original	Final	Original
	1														
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NONE	Total Claims Allowed:			
(Assistant Examiner)	(Date)	8		
/EDMOND C LAU/ Primary Examiner, Art Unit 2871	13 May 2019	O.G. Print Claim(s)	O.G. Print Figure	
(Primary Examiner)	(Date)	1	6	

U.S. Patent and Trademark Office

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	Application/Control No.
Search Notes	16/019,937
	Examiner
	EDMOND C LAU

Application/Control No.	Applicant(s)/Patent Under Reexamination
16/019,937	OCHIAI et al.
Examiner	Art Unit
EDMOND C LAU	2871

CPC - Searched*		
Symbol	Date	Examiner
G02F1/1339	05/13/2019	EL

CPC Combination Sets - Searched*		
Symbol	Date	Examiner

US Classification - Searched*						
Class	Subclass	Date	Examiner			

^{*} See search history printout included with this form or the SEARCH NOTES box below to determine the scope of the search.

Search Notes				
Search Notes	Date	Examiner		
Conducted inventor name search	05/13/2019	EL		
Reviewed parent application 14709529	05/13/2019	EL		
Conducted EAST search	05/13/2019	EL		
Conducted interference search	05/13/2019	EL		

Interference Search						
US Class/CPC Symbol US Subclass/CPC Group Date Examiner						
G02F1	1339	05/13/2019	EL			

/EDMOND C LAU/ Primary Examiner, Art Unit 2871	

Receipt date: 06/27/2018

Doc code: IDS Doc description: Information Disclosure Statement (IDS) Filed

PTO/SB/08a (01-10)

Approved for use through 07/31/2012, OMB 0651-0031

mation Disclosure Statement (IDS) Filed

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number		16019937	
INFORMATION DISCLOSURE	Filing Date		2018-06-27	
	First Named Inventor OCHI		HAI et al.	
STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Art Unit		TBD	
(Not lot Submission under or or it not)	Examiner Name TBD		D	
	Attorney Docket Number		HARU-0136	

				U.S.	PATENTS	R	lemove	
Examiner Cite Initial*		Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document		Passag	Lines where es or Relevant
	1	6762805	B2	2004-07-13	Ishino			
	2	5921917	B2	2005-07-26	Choi et al.			
	3	6999060	B2	2006-02-14	Choo			
	4	7285902	B2	2007-10-23	Koo et al.			
	5	7349038	B2	2008-03-25	Park et al.			
	6	7352429	B2	2008-04-01	Tseng et al.			
	7	7133108	B2	2006-11-07	Shimizu et al.			
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Application Number 16019937

INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)

INFORMATION DISCLOSURE Filing Date 2018-06-27

Application Number 16019937

Filing Date 2018-06-27

First Named Inventor OCHIAI et al.

Art Unit TBD

Examiner Name TBD

Attorney Docket Number

HARU-0136

Examiner Initial*	Cite N	\sim	Publication Number	Kind Code ¹	Publica Date	ition	Name of Pate of cited Docui	entee or Applicant ment	Relev	s,Columns,Lines where vant Passages or Releves es Appear	
	1		20070002219	A1	2007-01	-04	Lee et al. Shin et al.				
	2		20080185589	A1	2008-08-07 Shin et al.				2008-08-07 Shin et al.		
	3		20090284695	A1	2009-11	-19	Kim et al.				
	4		20020044230	A1	2002-04	-18	Yamazaki et al.	-			
	5		20070216627	A1	2007-09)-20	Kim et al.				
	6		20080123007	A1	2008-05	i-29	Cui et al.				
	7		20040084673	A1	2004-05	j-06	Hirakata et al.				
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Examiner Initial*			eign Document nber³	Country Code ² i	/	Kind Code ⁴	Publication Date Name of Patented Applicant of cited Document			Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear	T5
	1	JP 1	11-084386	JP			1999-03-26	Toshiba Corporatio	n	Abstract	×

a har Standard be	date:	: 06/2	27/2018	_ A 1	C N				019,937	- GAU:	2871
				Application Number				16019937			
INICOE		TION		Filing	Date			2018-06-27			
			DISCLOSURE	First I	Named I	nventor	осн	IAI et al.			
			Y APPLICANT under 37 CFR 1.99)	Art Uı	nit			TBD			
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	r Signa	ature	/EDMOND C LAU/					Date Considered	05/13	/2019	
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			nance and not consider		ude copy	of this fo	rm wi	th next communication	to applican	IT.	

Receipt date: 06/27/2018

Application Number 16019937

Filing Date 2018-06-27

First Named Inventor OCHIAI et al.

Art Unit TBD

Examiner Name TBD

CERT	TFIC	ΔΤ	ION	STA	TEM	IENT

Attorney Docket Number

HARU-0136

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a
foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification
after making reasonable inquiry, no item of information contained in the information disclosure statement was known to
any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure
statement. See 37 CFR 1.97(e)(2).

X See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/juan.carlos.a.marquez/	Date (YYYY-MM-DD)	2018-06-27
Name/Print	Juan Carlos A. Marquez	Registration Number	34072

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

Receipt date: 06/27/2018 16/019,937 - GAU: 2871

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 request involving an individual, to whom the record pertains, when the individual has requested assistance from the
 Member with respect to the subject matter of the record.
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- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
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EAST Search History

EAST Search History (Prior Art)

Ref #	Hits	Search Query	DBs	Default Operator	Plurals	Time Stamp
S1	199	(("OCHIAI") near3 ("Takahiro")).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 14:21
S2	187	(("SASAKI") near3 ("Tohru")).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 14:21
S3	141	(("NAGATA") near3 ("Tetsuya")).INV.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 14:21
S4	5	("12".src. and "379363".ap.) or "12379363".RLAN.	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2016/09/01 14:21
S5	3	("13".src. and "600349".ap.) or "13600349".RLAN.	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2016/09/01 14:21
S6	2	("20150241723").PN.	US-PGPUB; USPAT; USOCR; DERWENT	OR	OFF	2016/09/01 14:21
S7	550061	spacer	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:11
S8	33	S1 and S7	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:11
S9	29	S2 and S7	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:11
S10	11	S3 and S7	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:11
S11	13	("20020044230" "20070002219" "20070216627" "20080123007" "20080185589" "20090284695" "6762805" "6921917" "6999060" "7133108" "7285902" "7349038" "7352429").PN. OR ("9036104").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:11
S12	10	("20070002219" "20080185589" "20090284695" "6762805" "6921917" "6999060" "7133108" "7285902" "7349038" "7352429").PN. OR ("8284339").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:12
S13	7909	spacer with (tft (thin adj film))	US-PGPUB; USPAT;	OR	OFF	2016/09/01 15:42

			USOCR			
S14	1698206	semiconductor	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:43
S15	4751	S13 and S14	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:43
S16	746852	liquid adj crystal	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:43
S17	3290	S15 and S16	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:43
S18	59343	((gate scan) adj line) and ((drain source data) adj line)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:44
S19	1519	S17 and S18	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:44
S20	13532396	@pd< = "20080226"	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:46
S21	455	S19 and S20	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:46
S22	7811	(top adj gate) with tft	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:56
S23	5	(top adj gate) with tft with spacer	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:56
S24	9	(bottom adj gate) with tft with spacer	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 15:57
S25	1071	((semiconductor adj layer) with gate with drain) same spacer	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 16:00
S26	391	S25 and S16	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 16:00
S27	84	S26 and S20	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 16:02
S28	448	(black adj matrix) with tft with spacer	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO; DERWENT; IBM_TDB	OR	OFF	2016/09/01 17:27
S29	13532396	@pd<="20080226"	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 17:27
S30	85	S28 and S29	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/01 17:27
S31	13	("20020044230" "20070002219"	US-PGPUB;	OR	OFF	2016/09/27

		"20070216627" "20080123007" "20080185589" "20090284695" "6762805" "6921917" "6999060" "7133108" "7285902" "7349038" "7352429").PN.	USPAT			13:53
S32	596	(top adj gate) and (tft with spacer)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:19
S33	35426	(top adj gate)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	OFF	2016/09/27 14:20
S34	1179	S33 AND ((H01L51/0545).CPC.)	US-PGPUB; USPAT; USOCR; FPRS; EPO; JPO	OR	OFF	2016/09/27 14:23
S35	207	@pd< = "20080226" and S34	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:29
S36	2391	S33 AND ((H01L29/66757 OR H01L51/0541 OR H01L29/78675).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:31
S37	1064	@pd<="20080226" and S36	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:32
S38	2391	S36 AND ((H01L29/66757 OR H01L51/0541 OR H01L29/78675).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:32
S39	109	S35 AND ((H01L29/66757 OR H01L51/0541 OR H01L29/78675).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:32
S40	215	S33 AND ((G02F1/1339).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:33
S41	502	S33 AND ((G02F1/1339 OR G02F1/13394 OR G02F2001/13685 OR G02F2001/13396).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:37
S42	0	S35 AND ((G02F1/1339).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:37
S43	13532396	@pd<="20080226"	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:37
S44	1192	S43 AND ((G02F1/1339).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:37
S45	2294	S43 AND ((G02F1/1339 OR G02F1/13394 OR G02F2001/13685 OR G02F2001/13396).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:37
S46	14	S45 AND ((H01L29/66757 OR H01L51/0541 OR H01L29/78675).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:38
S47	6231	G02F2001/13685.cpc. ((G02F1/1339 OR G02F1/13394 OR G02F2001/13396).CPC.)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:41
S48	2294	@pd< = "20080226" and S47	US-PGPUB;	OR	OFF	2016/09/27

			USPAT; USOCR			14:42
S49	27393	(semiconductor with spacer)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:42
S50	27976	(semiconduct\$4 with spacer)	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:42
S51	80	S48 and S50	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 14:43
S52	17	("4857907" "5345324" "5414547" "5686977" "5986723" "6008874" "6249325").PN. OR ("6762805").URPN.	US-PGPUB; USPAT; USOCR	OR	OFF	2016/09/27 17:19
S56	50	(US-20080013023-\$ or US-20080013022-\$ or US-20070188690-\$ or US-20080007665-\$ or US-20070279374-\$ or US-20070132936-\$ or US-20070019135-\$ or US-20060290856-\$ or US-20060290829-\$ or US-20060197898-\$ or US-20070109469-\$ or US-20070002259-\$ or US-20020182766-\$ or US-20090284695-\$ or US-20080020518-\$ or US-20070069204-\$ or US-20070040984-\$ or US-20070002263-\$ or US-20060289965-\$ or US-20060181665-\$ or US-20050190338-\$ or US-20020044230-\$ or US-20150241723-\$).did. or (US-6762805-\$).did.	USOCR;	OR	ON	2019/05/13 13:30
S57	239	(((("OCHIAI") near3 ("Takahiro"))).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2019/05/13 13:30
S58	227	(((("SASAKI") near3 ("Tohru"))).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2019/05/13 13:30
S59	166	(((("NAGATA") near3 ("Tetsuya"))).INV.	US-PGPUB; USPAT; USOCR	OR	ON	2019/05/13 13:30
S60	2	("20180307072").PN.	US-PGPUB; USPAT; USOCR; DERWENT	OR	ON	2019/05/13 13:30
S61	14	("20020044230" "20040084673" "20070002219" "20070216627" "20080123007" "20080185589" "20090284695" "6762805" "6921917" "6999060" "7133108" "7285902" "7349038" "7352429").PN.	US-PGPUB; USPAT	OR	ON	2019/05/13 14:11

EAST Search History (Interference)

Ref #	Hits	Search Query	1 ;	Default Operator	Plurals	Time Stamp
S53	38638	(top adj gate)	US-	OR	OFF	2018/03/19
			PGPUB;			07:57
			USPAT			***

S54		((G02F1/1339 OR G02F1/13394 OR G02F2001/13685 OR G02F2001/13396).CPC.)	US- PGPUB; USPAT	OR	OFF	2018/03/19 07:58
S55	802	S53 and S54	US- PGPUB; USPAT	OR	OFF	2018/03/19 07:58
S62		((G02F1/1339 OR G02F1/13394 OR G02F2001/13685 OR G02F2001/13396).CPC.)	USPAT	OR	OFF	2019/05/13 14:11
S63	21185	(top adj gate)	USPAT	OR	OFF	2019/05/13 14:11

5/13/2019 2:24:27 PM

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APPLICATION NUMBER

FILING OR 371(C) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE
HARU-0136

16/019,937

06/27/2018

Takahiro OCHIAI

CONFIRMATION NO. 7509

PUBLICATION NOTICE

38327
Juan Carlos A. Marquez
Marquez Intellectual Property Law Office PLLC
1629 K Street, NW
Suite 300
Washington, DC 20006



Title:LIQUID CRYSTAL DISPLAY DEVICE

Publication No.US-2018-0307072-A1

Publication Date: 10/25/2018

NOTICE OF PUBLICATION OF APPLICATION

The above-identified application will be electronically published as a patent application publication pursuant to 37 CFR 1.211, et seq. The patent application publication number and publication date are set forth above.

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Office of Data Managment, Application Assistance Unit (571) 272-4000, or (571) 272-4200, or 1-888-786-0101

PATENT APPLICATION FEE DETERMINATION RECORD Substitute for Form PTO-875										Application or Docket Number 16/019,937		
	APPL	ICATION A			umn 2)		SMALL	ENTITY	OR	OTHER THAN OR SMALL ENTITY		
	FOR	NUMBE	R FILE	D NUMBE	R EXTRA		RATE(\$)	FEE(\$)		RATE(\$)	FEE(\$)	
	BASIC FEE (37 CFR 1.16(a), (b), or (c)) N/A N/A				N/A		1	N/A	300			
	SEARCH FEE (37 CFR 1.16(k), (i), or (m)) N/A N/A		İ	N/A			N/A	660				
	MINATION FEE FR 1.16(o), (p), or (q))	N	/A	١	J/A	l	N/A			N/A	760	
	TOTAL CLAIMS 8 minus 20 = *		l			OR	x 100 =	0.00				
	PENDENT CLAIM FR 1.16(h))	S 1	minus	3 = *						× 460 =	0.00	
APPLICATION SIZE FEE (37 CFR 1.16(s)) If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$310 (\$155 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).											0.00	
MUL	TIPLE DEPENDE	NT CLAIM PRE	SENT (3	7 CFR 1.16(j))							0.00	
* If ti	he difference in col	umn 1 is less th	an zero,	enter "0" in colur	mn 2.		TOTAL		1	TOTAL	1720	
(Column 1) (Column 2) (Column 3) CLAIMS HIGHEST			 [SMALL	ENTITY	OR]	OTHER SMALL	ENTITY				
NT A		REMAINING AFTER AMENDMENT		NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)	
OME	Total (37 CFR 1.16(i))	*	Minus	**	=		X =		OR	x =		
AMENDMENT	Independent (37 CFR 1.16(h))	*	Minus	***	=		X =		OR	x =		
ΑĀ	Application Size Fee	(37 CFR 1.16(s))							1			
	FIRST PRESENTAT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	CFR 1.16(j))				OR			
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
Ь.		(Column 1)		(Column 2)	(Column 3)				,			
NT B		CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA		RATE(\$)	ADDITIONAL FEE(\$)		RATE(\$)	ADDITIONAL FEE(\$)	
IDMENT	Total (37 CFR 1.16(i))	*	Minus	**	=		X =		OR	x =		
	Independent (37 CFR 1.16(h))	*	Minus	***	=		x =		OR	x =		
AME	Application Size Fee	(37 CFR 1.16(s))]			
	FIRST PRESENTAT	TION OF MULTIPL	E DEPEN	DENT CLAIM (37 C	OFR 1.16(j))				OR			
							TOTAL ADD'L FEE		OR	TOTAL ADD'L FEE		
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 APPLICATION NUMBER
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 GRP ART UNIT
 FIL FEE REC'D
 ATTY_DOCKET.NO
 TOT CLAIMS IND CLAIMS

 16/019.937
 06/27/2018
 1720
 HARU-0136
 8
 1

CONFIRMATION NO. 7509 FILING RECEIPT

38327 Juan Carlos A. Marquez Marquez Intellectual Property Law Office PLLC 1629 K Street, NW Suite 300 Washington, DC 20006

Date Mailed: 07/19/2018

Receipt is acknowledged of this non-provisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please submit a written request for a Filing Receipt Correction. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections

Inventor(s)

Takahiro OCHIAI, Chiba, JAPAN; Tohru SASAKI, Mobara, JAPAN; Tetsuya NAGATA, Mobara, JAPAN;

Applicant(s)

Japan Display Inc., Tokyo, JAPAN:

Panasonic Liquid Crystal Display Co., Ltd., Himeji-shi, JAPAN;

Power of Attorney: The patent practitioners associated with Customer Number 38327

Domestic Priority data as claimed by applicant

This application is a CON of 14/709.529 05/12/2015 PAT 10031372

and is a CON of 13/600,349 08/31/2012 PAT 9036104 and is a CON of 12/379,363 02/19/2009 PAT 8284339

Foreign Applications (You may be eligible to benefit from the **Patent Prosecution Highway** program at the USPTO. Please see http://www.uspto.gov for more information.)
JAPAN 2008-044247 02/26/2008 No Access Code Provided

Permission to Access Application via Priority Document Exchange: Yes

Permission to Access Search Results: Yes

Applicant may provide or rescind an authorization for access using Form PTO/SB/39 or Form PTO/SB/69 as appropriate.

page 1 of 3

If Required, Foreign Filing License Granted: 07/18/2018

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US 16/019,937**

Projected Publication Date: 10/25/2018

Non-Publication Request: No

Early Publication Request: No

Title

LIQUID CRYSTAL DISPLAY DEVICE

Preliminary Class

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications: No

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at http://www.uspto.gov/web/offices/pac/doc/general/index.html.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, http://www.stopfakes.gov. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4258).

LICENSE FOR FOREIGN FILING UNDER

Title 35, United States Code, Section 184

Title 37, Code of Federal Regulations, 5.11 & 5.15

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The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign AssetsControl, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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The United States represents the largest, most dynamic marketplace in the world and is an unparalleled location for business investment, innovation, and commercialization of new technologies. The U.S. offers tremendous resources and advantages for those who invest and manufacture goods here. Through SelectUSA, our nation works to promote and facilitate business investment. SelectUSA provides information assistance to the international investor community; serves as an ombudsman for existing and potential investors; advocates on behalf of U.S. cities, states, and regions competing for global investment; and counsels U.S. economic development organizations on investment attraction best practices. To learn more about why the United States is the best country in the world to develop technology, manufacture products, deliver services, and grow your business, visit http://www.SelectUSA.gov or call +1-202-482-6800.

page 3 of 3

CUSTOMER NO. 38327

CONTINUATION/DIVISIONAL APPLICATION TRANSMITTAL (Rule 53(b) Continuation or Divisional) DUPLICATE									
Address to: Commis	sioner o	,	Atto	omey Docket	No:	HARU-0136			
P.O. Box Alexand		22313-1450	First	Named Inver	ntor:	OCHIAI			
	,			Total Pa	ges:	38			
This requests a 🗷 Co	ontinuatio	n or 🗖 Divisiona	al application	n under 37 C	CFR §1.53(k	o) of prior			
application: Application, No.: 14/	709,529			Group Art l	Init	5948			
	y 12, 2015	,		Exami	3000000000	Edmond C. Lau			
■ 1. The entire disclosu			tion is hereby i	ncorporated by	reference.				
☐ 2. Submitted herewith	n is a copy o	of the complete prior	application as	s filed.					
□ 3. This application is CFR 1.53(b)(1). D	filed by fewe ELETE the	er than all the inven following inventor(s	tors named in :):	the prior nonpr		cation, 37			
☐ 4. Submitted herewith	n is a copy o	of the signed Oath/D	Declaration fror	n the prior appl	ication.				
□ 5. Small entity status	was establi	shed in the prior ap	plication, and i	s still proper an	d desired.				
□ 6. A month	1 6. A month Petition for Extension of Time is filed concurrently in the prior application								
■ 7. The amount of \$1,	7. The amount of \$1,720.00 for filing fee is being submitted herewith via the EFS payment system.								
8. The prior application Ltd.									
U.S. Application N 12/379,363 filed o	9. Priority is claimed from U.S. Application No. 14/709,529 filed on May 12, 2015 which claims priority from U.S. Application No. 13/600,349 filed on August 31, 2012, which claims priority from U.S. Application No. 12/379,363 filed on February 19, 2009, which claims priority from Japanese application JP2008-044247 filed on February 26, 2008, the content of which is hereby incorporated by reference into this application.								
■ 10. Other: Information	n Disclosure	Statement with Fo	rm PTO-1449.						
	r is hereby	authorized to charg	je any addition	ial fees associa	ated with this	communication,			
including patent app overpayment to Dep		•	_	er 37 C.F.R.§	1.16 and 1.17	7, or credit any			
THE FILING FEE	IS CALCU	LATED AS FOLL	OWS:	, ,	BASIC FEE	\$1,720.00			
Total Claims:	8	-20 =	0	×\$8	SEARCH FEES): (0 =				
Independent claims:	1	-3 =	0		20 =				
BACON & THOMA	S PLLC		N	lultiple Depen Ado)	dent Claim d \$780.00):				
625 Slaters Lane, 4th Floor Alexandria, Virginia 22314			Total Pages	38 (# × .75 – 100 = extra 50s =)	× 400	0.00			
Tel. No. 703-683-05 Fax No. 703-683-10					Subtotal:	1,720.00			
Please use Cust		lo. 38327	Reducti	on if Small Er	ntity Status: Total:	0.00 1,720.00			
Date:		Name:		Signature:	ı olal.	1,720.00 Reg. No.			
					34,072				

Application Data S	heet 37 CED 1	76 A	ttorney D	Oocke	t Number	HARU-	-0136		
Application Data 3	ileet 37 Cl K 1.	, A	pplicatio	n Nun	nber				
Title of Invention LIQI	UID CRYSTAL DISP	LAY DEV	/ICE						
The application data sheet is p bibliographic data arranged in This document may be comp document may be printed and	a format specified by the leted electronically and	e United : I submitte	States Pated to the C	ent and	Trademark	Office as or	utlined in 37 CFR 1.76.		
Secrecy Order 37	CFR 5.2:								
							Il under a Secrecy Ord		uant to
Inventor Informat		110115 1112	at ian unt	Jei Se	crecy Or	uei may n	ot be liled electrorlical	<u>y.)</u>	
Inventor 1							Remove		
Legal Name									
Prefix Given Name		Middl	le Name			Famil	y Name		Suffix
▼ Takahiro						OCHIA	N .		-
Residence Information	n (Select One)	US Res	sidency	•	Non US F	Residency	Active US Military	Service	
City Chiba		Cou	ntry of R	eside	nce ⁱ		JP		
Mailing Address of Inve	ntor:	y Inc.							
Address 2	3-7-1, Nishi-Shin		inato-ku						
City Tokyo					State/Pr	ovince			
Postal Code	105-003			Cou	ntry i	JP			
Inventor 2			'				Remove		
Legal Name									
Prefix Given Name		Middl	le Name			Famil	y Name		Suffix
▼ Tohru						SASA	(I		-
Residence Information	n (Select One)	US Res	sidency	•	Non US F	Residency	Active US Military	Service	
City Mobara		Cou	ntry of R	eside	nce ⁱ		JP		
1		<u> </u>					, -		
Mailing Address of Inve	ntor:								
Address 1	c/o Japan Displa	y Inc.							
Address 2	3-7-1, Nishi-Shin	bashi, Mi	inato-ku						
City Tokyo	1 1				State/Pr	ovince			
Postal Code	105-003			Cou	ntry i	JP			
Inventor 3			·			•	Remove		
Legal Name									

Approved for use through 04/30/2017. OMB 0651-0032
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Application Da	Application Data Sheet 37 CFR 1.7			et Number	36			
Application Da	ta Sne	et 37 CFR 1.76	Application Nu	ımber				
Title of Invention	LIQUID	CRYSTAL DISPLAY	DEVICE					
Prefix Given Nan	ne	M	Middle Name Famil			nily Name		
Tetsuya					NAGATA			~
Residence Inform	nation (S	Select One) US	Residency	Non US Re	sidency	Active US Milit	ary Service	
City Mobara			Country of Resid	ence ⁱ		JP		
						•		
Mailing Address of	- 11							
Address 1	-	c/o Japan Display Inc						
Address 2		3-7-1, Nishi-Shinbash	ni, Minato-ku	0	- 1	T		
City Tokyo) 	105-003	0	State/Prov	JP			
Postal Code	- - D- Li-			untry i				
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Corresponder	nce In	formation:						
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☐ An Address is	being p	provided for the co	rrespondence l	nformation	of this app	olication.		
Customer Numbe	Г	38327						
Email Address		iuancarlos@marque	ziplaw.com			Add Email	Remove Em	ail
Email Address		mail@marqueziplaw	.com			Add Email	Remove Em	ail
Email Address		Iniu@marqueziplaw.	com				Remove Em	ail
Application li	nform	ation:						
Title of the Invent	ion	LIQUID CRYSTAL D	DISPLAY DEVICE					
Attorney Docket N	lumber	HARU-0136		Small En	tity Status	Claimed		
Application Type		Nonprovisional						·
Subject Matter		Utility						▼
Total Number of F)rawing	Sheets (if any)	6	Suggest	ed Figure	for Publication	(if any)	

PTO/AIA/14 (11-15)
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Application Da	to Cho	ot 27 CEE	Attorney Docket Number			HARU-0136		
Application Da	ila Sile	el 3/ CFR	1.70	Applicatio	n Number			
Title of Invention	LIQUID	CRYSTAL D	ISPLAY I	DEVICE				
E::: - B B - C								
Filing By Refe								
application papers inclu	iding a spe	ecification and	any draw	ings are bein	g filed. Any domesti	c benefit or fo	(a). Do not complete this section if reign priority information must be reign Priority Information").	
For the purposes of a fil reference to the previou							plication are replaced by this	
Application number o filed application	iously	Filing dat	te (YYYY-MM-	DD)	Intell	Intellectual Property Authority or Country		
Publication I	nform	nation:				·		
Request Early	/ Publica	tion (Fee red	quired at	t time of Re	quest 37 CFR 1.2	219)		
35 U.S.C. 122 subject of an	Request Not to Publish. I hereby request that the attached application not be published under 35 U.S.C. 122(b) and certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.							
Representati	ve Info	ormation	1:					
this information in the	e Applicat er Numbe	ion Data She er or complete	et does n e the Rep	ot constitute presentative	a power of attorney Name section belo	y in the applic	rney in the application. Providing cation (see 37 CFR 1.32). ctions are completed the customer	
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Please Select One	:	Custome	r Number	· U	Patent Practition	er 🔵 Li	mited Recognition (37 CFR 11.9)	
Customer Number		38327						
Domestic Benefit/National Stage Information:								
							121, 365(c), or 386(c) or indicate oplication Data Sheet constitutes	
the specific reference	e require	ed by 35 U.S	S.C. 119	(e) or 120, a	and 37 CFR 1.78.	•		
When referring to th	When referring to the current application, please leave the "Application Number" field blank.							
Prior Application	Status	Pending		•			Remove	
Application Nur	mber	Co	ntinuity ⁻	Туре	Prior Applicat	ion Number	Filing or 371(c) Date (YYYY-MM-DD)	
		Continuation	n of	·	14709529		2015-05-12	

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Application D	ata Sheet 37 CFR	1 76				HARU-0136		
Application b	ata Sheet S7 Ci K	1.70	Application Number					
Title of Invention	LIQUID CRYSTAL DIS	PLAY	DEVICE					
Prior Application Status Patented - Remove								
Prior Application	on Status Patented		▼			Rer	nove	
Application Number	Continuity Type	Pri	ior Application Number	Filing Date (YYYY-MM-DD)		Patent Number	Issue Date (YYYY-MM-DD)	
	Continuation of	1360	00349	2012-08-31		9036104	2015-05-19	
Prior Application	on Status Patented		▼			Rer	nove	
Application Number			ior Application Number	Filing Date (YYYY-MM-DD)		Patent Number	Issue Date (YYYY-MM-DD)	
	Continuation of	1237	79363	2009-02-19		8284339	2012-10-09	
	Additional Domestic Benefit/National Stage Data may be generated within this form by selecting the Add button.							

Foreign Priority Information:

This section allows for the applicant to claim priority to a foreign application. Providing this information in the application data sheet constitutes the claim for priority as required by 35 U.S.C. 119(b) and 37 CFR 1.55. When priority is claimed to a foreign application that is eligible for retrieval under the priority document exchange program (PDX)¹ the information will be used by the Office to automatically attempt retrieval pursuant to 37 CFR 1.55(i)(1) and (2). Under the PDX program, applicant bears the ultimate responsibility for ensuring that a copy of the foreign application is received by the Office from the participating foreign intellectual property office, or a certified copy of the foreign priority application is filed, within the time period specified in 37 CFR 1.55(g)(1).

			Remove					
Application Number	Country ⁱ	Filing Date (YYYY-MM-DD)	Access Code ⁱ (if applicable)					
2008-044247	JP	2008-02-26						
Additional Foreign Priority Data may be generated within this form by selecting the Add button.								

Statement under 37 CFR 1.55 or 1.78 for AIA (First Inventor to File) Transition Applications

	This application (1) claims priority to or the benefit of an application filed before March 16, 2013 and (2) also
	contains, or contained at any time, a claim to a claimed invention that has an effective filing date on or after March
	contains, or contained at any time, a claim to a claimed invention that has an ellective hing date on or after ividicing
	40,0040
	16, 2013.
	NOTE: By providing this statement under 37 CFR 1.55 or 1.78, this application, with a filing date on or after March
	16, 2013, will be examined under the first inventor to file provisions of the AIA.
	16, 2016, will be examined under the mat inventor to the provisions of the 70%.

Application Da	ita Shoot 37 CED 1 76	Attorney Docket Number	HARU-0136
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	LIQUID CRYSTAL DISPLAY I	DEVICE	

Authorization or Opt-Out of Authorization to Permit Access:

When this Application Data Sheet is properly signed and filed with the application, applicant has provided written authority to permit a participating foreign intellectual property (IP) office access to the instant application-as-filed (see paragraph A in subsection 1 below) and the European Patent Office (EPO) access to any search results from the instant application (see paragraph B in subsection 1 below).

Should applicant choose not to provide an authorization identified in subsection 1 below, applicant <u>must opt-out</u> of the authorization by checking the corresponding box A or B or both in subsection 2 below.

NOTE: This section of the Application Data Sheet is **ONLY** reviewed and processed with the **INITIAL** filing of an application. After the initial filing of an application, an Application Data Sheet cannot be used to provide or rescind authorization for access by a foreign IP office(s). Instead, Form PTO/SB/39 or PTO/SB/69 must be used as appropriate.

- 1. Authorization to Permit Access by a Foreign Intellectual Property Office(s)
- A. Priority Document Exchange (PDX) Unless box A in subsection 2 (opt-out of authorization) is checked, the undersigned hereby grants the USPTO authority to provide the European Patent Office (EPO), the Japan Patent Office (JPO), the Korean Intellectual Property Office (KIPO), the State Intellectual Property Office of the People's Republic of China (SIPO), the World Intellectual Property Organization (WIPO), and any other foreign intellectual property office participating with the USPTO in a bilateral or multilateral priority document exchange agreement in which a foreign application claiming priority to the instant patent application is filed, access to: (1) the instant patent application-as-filed and its related bibliographic data, (2) any foreign or domestic application to which priority or benefit is claimed by the instant application and its related bibliographic data, and (3) the date of filing of this Authorization. See 37 CFR 1.14(h) (1).
- B. <u>Search Results from U.S. Application to EPO</u> Unless box B in subsection 2 (opt-out of authorization) is checked, the undersigned hereby <u>grants the USPTO authority</u> to provide the EPO access to the bibliographic data and search results from the instant patent application when a European patent application claiming priority to the instant patent application is filed. See 37 CFR 1.14(h)(2).

The applicant is reminded that the EPO's Rule 141(1) EPC (European Patent Convention) requires applicants to submit a copy of search results from the instant application without delay in a European patent application that claims priority to the instant application.

- 2. Opt-Out of Authorizations to Permit Access by a Foreign Intellectual Property Office(s)
 - A. Applicant **DOES NOT** authorize the USPTO to permit a participating foreign IP office access to the instant application-as-filed. If this box is checked, the USPTO will not be providing a participating foreign IP office with any documents and information identified in subsection 1A above.
 - B. Applicant <u>DOES NOT</u> authorize the USPTO to transmit to the EPO any search results from the instant patent application. If this box is checked, the USPTO will not be providing the EPO with search results from the instant application.

NOTE: Once the application has published or is otherwise publicly available, the USPTO may provide access to the application in accordance with 37 CFR 1.14.

Application Da	ata Shoot 37 CED 1 76	Attorney Docket Number	HARU-0136
Application Data Sheet 37 CFR 1.76		Application Number	
Title of Invention	LIQUID CRYSTAL DISPLAY	DEVICE	

Applicant Information:

Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.								
Applicant 1			Remove					
f the applicant is the inventor (or the remaining joint inventor or inventors under 37 CFR 1.45), this section should not be completed. The information to be provided in this section is the name and address of the legal representative who is the applicant under 37 CFR 1.43; or the name and address of the assignee, person to whom the inventor is under an obligation to assign the invention, or person who otherwise shows sufficient proprietary interest in the matter who is the applicant under 37 CFR 1.46. If the applicant is an applicant under 37 CFR 1.46 (assignee, person to whom the inventor is obligated to assign, or person who otherwise shows sufficient proprietary interest) together with one or more joint inventors, then the joint inventor or inventors who are also the applicant should be dentified in this section.								
Assignee Legal Representative under 35 U.S.C. 117 Joint Inventor								
Person to whom the inventor is obli	Person to whom the inventor is obligated to assign. Person who shows sufficient proprietary interest							
If applicant is the legal representat	ive, indicate the authority to	file the patent applicatio	n, the inventor is:					
			▼					
Name of the Deceased or Legally	Incapacitated Inventor:							
If the Applicant is an Organization	n check here.							
Organization Name Japan Dis	splay Inc.							
Mailing Address Information Fe	or Applicant:							
Address 1 3-7-1	, Nishi-Shinbashi, Minato-ku							
Address 2			-					
City Toky)	State/Province						
Country JP		Postal Code	105-003					
Phone Number		Fax Number						
Email Address								
Additional Applicant Data may be generated within this form by selecting the Add button. Add								

Application Data Sheet 37 CFR 1		CED 1 76	Attorney Docket Number		HARU-0136			
Application Da	ita Sneet Si	CFK 1.70	Application Number					
Title of Invention	LIQUID CRYS	ΓAL DISPLAY I	DEVICE	•				
Applicant 2							Remove	
If the applicant is the i The information to be 1.43; or the name and who otherwise shows applicant under 37 CF proprietary interest) to identified in this section	provided in this s l address of the a sufficient propriel R 1.46 (assignee gether with one c	ection is the na ssignee, person ary interest in to be, person to who	me and address in to whom the inv the matter who is om the inventor is	of the legal rep ventor is under the applicant us s obligated to as	resentative v an obligatior inder 37 CFF ssign, or per	who is the a n to assign R 1.46. If th son who ot	applicant of the inventue ne applicate therwise s	under 37 CFR ion, or person nt is an hows sufficient
Assignee		Legal Re	epresentative und	der 35 U.S.C.	117	Join	t Inventor	
Person to whom the inventor is obligated to assign.			Person	who shows	sufficient p	roprietary	interest	
If applicant is the leg	gal representati	ve, indicate th	e authority to fi	le the patent a	application,	the inven	tor is:	
					,	7		
Name of the Decea	Name of the Deceased or Legally Incapacitated Inventor:							
If the Applicant is a	If the Applicant is an Organization check here.							
Organization Name	_		Display Co., Ltd.					
Mailing Address			. , ,					
Address 1	1-6 M	egahida-cho, S	hikama-ku					
Address 2								
City	Himeji	i-shi, Hyogo-ke	n	State/Provin	nce			
Country ^I JP				Postal Code				
Phone Number				Fax Number				
Email Address								
	Additional Applicant Data may be generated within this form by selecting the Add button.							
Assignee Information including Non-Applicant Assignee Information: Providing assignment information in this section does not substitute for compliance with any requirement of part 3 of Title 37 of CFR to have an assignment recorded by the Office.								
Assignee 1								
Complete this section application publication publication as an appl patent application pub	ı. An assignee-ap icant. For an assi	plicant identifie	d in the "Applica	nt Information":	section will a	appear on t	he patent	application
							Remove	
If the Assignee or	Non-Applicant A	Assignee is ar	Organization	check here.				

Application Dat	a Sheet 37	7 CFR 1.76	Attorney Doo Application N	ket Number	r HARU-	-0136			
тррпоцион Би		01111111		Attorney Docket Number		HARU-0136			
		Application Bata officer of Clino							
Title of Invention LIQUID CRYSTAL DISPLAY DEVICE									
Prefix	Given Name		Middle Name		Family Name		Sı	Suffix	
	-		1				-	1	
Mailing Address Information For Assignee including Non-Applicant Assignee:									
Address 1									
Address 2	 								
City				State/Pro	ovince				
Country				Postal Co	de				
Phone Number				Fax Numb	er				
Email Address									
Additional Assignee or Non-Applicant Assignee Data may be generated within this form by selecting the Add button.									
Signature:						Remove			
NOTE: This Application Data Sheet is submisubsection 2 of the also be signed in acceptant to the also be signed in acceptant (e.g., corporate patent practitioner, also power of attorney (e.g., See 37 CFR 1.4	tted with the "Authorizat cordance w Data Sheet on or associat joint inventag, see USPT	e INITIAL filing ion or Opt-Out ith 37 CFR 1.1 must be signe ation). If the ap ors who are the O Form PTO/A	g of the applic t of Authoriza 4(c). d by a patent p plicant is two c applicant, or c NA/81) on beh	cation and e tion to Perr practitioner i or more joint one or more alf of <u>all</u> joir	either box mit Acces if one or m inventors joint inver tinventor-	A or B is a section, nore of the a this form returnation.	not che then the applications be nust be ints wh	ecked in this form must nts is a juristic e signed by a	
Signature /juan.ca	/juan.carlos.a.marquez/				Date	Date (YYYY-MM-DD) 2018-06-27			
First Name Juan	Carlos	Last Name	Marquez		Regis	tration Num	ber	34072	
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LIQUID CRYSTAL DISPLAY DEVICE

CROSS-REFERENCE TO RELATED APPLICATION

This application is a Continuation of U.S. Application No. 14/709,629 filed May 12, 2015, which is a Continuation of U.S. Application 13/600,349 filed August 31, 2012, which is a Continuation of U.S. Application No. 12/379,363 filed on February 19, 2009. The present application claims priority from U.S. Application 13/600,349 field on August 31, 2012, which claims priority from U.S. Application No. 12/379,363 filed on February 19, 2009, which claims priority from Japanese application JP2008-044247 filed on February 26, 2008, the content of which is hereby incorporated by reference into this application.

BACKGROUND OF THE INVENTION

1. Field of the Invention

The present invention relates to a liquid crystal display device, and in particular to a technique for ensuring an appropriate interval between a TFT substrate and an opposed substrate, using a column-type spacer.

2. Description of the Related Art

In a liquid crystal display device, liquid crystal is filled between a TFT substrate with a pixel electrode and a thin film transistor (TFT) formed thereon and an opposed substrate

with a color filter or the like formed thereon, and the liquid crystal particles are controlled by means of an electric field to thereby form an image. The interval between the TFT substrate and the opposed substrate is very small, such as of the order of a few microns. Conventionally, the interval between the TFT substrate and the opposed substrate is determined by dispersing plastic beads and the like. According to this interval setting by dispersing beads, however, the beads may not be dispersed consistently, and in such a case the interval between the TFT substrate and the opposed substrate may not be set as predetermined. In addition, the beads may be dispersed on a pixel electrode, which may cause a problem of light leakage in the vicinity of the beads.

Meanwhile, conventionally, in order to fill liquid crystal, the space between the TFT substrate and the opposed substrate is sealed to be vacant, and liquid crystal is injected into the space by utilizing atmospheric pressure. This method, however, takes time to complete injection of liquid crystal when the interval between the TFT substrate and the opposed substrate is small and the surface of the liquid crystal display is large. As a result, manufacturing throughput is reduced, and manufacturing cost resultantly increases. In order to address the above, there has been developed a technique, e.g., for applying, by dropping, the required amount of liquid crystal onto a TFT substrate and thereafter forming an opposed substrate to seal the liquid crystal in-between.

As described above, conventionally, the interval between

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the TFT substrate and the opposed substrate is maintained by small beads dispersed therein. However, according to the above described liquid crystal dropping method, the dispersed beads may move as the liquid crystal is dropped, which results in an area with many beads and an area with only a few beads. This results in an inconsistent interval between the TFT substrate and the opposed substrate, and an inconsistent interval between the TFT substrate and the opposed substrate in turn results in a problem of reduced image contrast and/or inconsistent pixels in a liquid crystal display device.

In order to address the above described problem with a case in which the interval between a TFT substrate and an opposed substrate is set utilizing beads, there is available a technique for defining the interval between the TFT substrate and the opposed substrate by forming a column on either the TFT substrate or the opposed substrate, as disclosed in Japanese Patent Laidopen Publication No. Hei 11-84386.

The column for defining the interval between the TFT substrate and the opposed substrate is conventionally formed on the opposed substrate. Specifically, in formation of a column on the opposed substrate, the column is formed such that, after the opposed substrate and the TFT substrate are combined to each other, the column abuts on a predetermined position on the TFT substrate. However, should the opposed substrate and the TFT substrate be displaced from each other when being combined to each other, a column resultantly abuts outside the predetermined position on the TFT substrate. This may result in a column

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formed on a pixel electrode or a column falling on a through-hole formed on a line of the TFT substrate. A column formed on a pixel electrode results in light leakage due to orientation disturbance in the portion where such a column is formed. A column falling on a through-hole results in an interval not appropriately defined between the TFT substrate and the opposed substrate.

Japanese Patent Laid-open Publication No. Hei 11-84386 discloses a structure in which a column is formed on either the opposed substrate or the TFT substrate in a position on a capacitance line in order to address orientation disturbance which would be caused in the vicinity of the column, and moreover, the capacitance line is laid extending in the rubbing direction of the alignment film. However, the capacitance line, which is essential in the above described structure disclosed in Japanese Patent Laid-open Publication No. Hei 11-84386, reduces transmittance of the liquid crystal display device. In particular, the capacitance line extending in the rubbing direction of the alignment film, as described in Japanese Patent Laid-open Publication No. Hei 11-84386, further reduces the transmittance.

SUMMARY OF THE INVENTION

An object of the present invention is to realize a liquid crystal display device having a structure in which the interval between the TFT substrate and the opposed substrate is defined

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by a column and oriental disturbance and transmittance reduction due to formation of the column are suppressed.

In order to attain the above described object, according to one aspect of the present invention, a column for defining the interval between the TFT substrate and the opposed substrate is formed on the TFT substrate at a crossing point between a drain electrode and a scanning line. This column is formed at a crossing point between a scanning line and a drain line corresponding to a pixel of a specific color. Further, at a crossing point between a scanning line and a drain line corresponding to a pixel of a specific color, the width of the drain line is formed wider than that in other positions, while the width of the corresponding scanning line is formed narrower than that in other positions.

According to another aspect of the present invention, at a crossing point between a scanning line and a drain line corresponding to a pixel of a specific color, the width of the scanning line is formed wider than that in other positions, while the width of the corresponding drain line is formed narrower than that in other positions. Specifically, the following arrangement is employed.

(1) According to one aspect of the present invention, there is provided a liquid crystal display device having scanning lines extending in a lateral direction and aligned in a longitudinal direction, drain lines extending in the longitudinal direction and aligned in the lateral direction, a TFT substrate having pixels each having a TFT and a pixel electrode and formed in an

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area enclosed by the drain line and the scanning line, the pixels constituting a first pixel, a second pixel, and a third pixel, respectively, depending on a color to which the respective pixel corresponds, and being aligned in the lateral direction, an opposed substrate placed with a predetermined interval with respect to the TFT substrate, and liquid crystal enclosed between the TFT substrate and the opposed substrate, wherein a column for defining the interval between the TFT substrate and the opposed substrate is formed at a crossing point between the drain line and the scanning line corresponding to the first pixel, and a width of the drain line is wider at the crossing point where the column is formed than that of the drain line in another position.

- (2) In the above described liquid crystal display, at a point 15 where the width of the drain line is wider, a width of the scanning line may be narrower than that of the scanning line in another position.
 - (3) In the above described liquid crystal display, a first TFT may be formed at the crossing point between the drain line and the scanning line, where the column is formed, a second TFT may be formed at a position adjacent to the crossing point between the drain line and the scanning line, where the column is formed, the first TFT and the second TFT may be electrically connected to each other, and a channel length of the first TFT may be shorter than that of the second TFT.
 - (4) In the above described liquid crystal display, a channel length of a TFT formed at a crossing point between the drain

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line and the scanning line corresponding to the first pixel may be shorter than that of a TFT formed at a crossing point between the drain line and the scanning line corresponding to the second pixel or the third pixel.

- 5 (5) In the above described liquid crystal display, the liquid crystal display device may be of an IPS method.
- (6) According to another aspect of the present invention, there is provided a liquid crystal display device having scanning lines extending in a lateral direction and aligned in a longitudinal direction, drain lines extending in the longitudinal direction and aligned in the lateral direction, a TFT substrate having pixels each having a TFT and a pixel electrode and formed in an area enclosed by the drain line and the scanning line, the pixels constituting a first pixel, a second pixel, and a third pixel, respectively, depending on a color to which the respective pixel corresponds, and being aligned in the lateral direction, an opposed substrate placed with a predetermined interval with respect to the TFT substrate, and liquid crystal enclosed between the TFT substrate and the opposed substrate, wherein a
 - column for defining the interval between the TFT substrate and the opposed substrate is formed at a crossing point between the drain line and the scanning line corresponding to the first pixel, and a width of the scanning line is wider at the crossing point where the column is formed than that of the scanning line in another position.
 - (7) In the above described liquid crystal display device, at a point where the width of the scanning line is wider, a width

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of the drain line may be narrower than that of the drain line in another position.

- (8) In the above described liquid crystal display device, a first TFT may be formed at the crossing point between the drain line and the scanning line, where the column is formed, a second TFT may be formed at a position adjacent to the crossing point between the drain line and the scanning line, where the column is formed, the first TFT and the second TFT may be electrically connected to each other, and a channel length of the first TFT may be longer than that of the second TFT.
- (9) In the above described liquid crystal display device, a channel length of a TFT formed at a crossing point between the drain line and the scanning line corresponding to the first pixel may be longer than that of a TFT formed at a crossing point between the drain line and the scanning line corresponding to the second pixel or the third pixel.
- (10) In the above described liquid crystal display device, the liquid crystal display device may be of an IPS method.

According to the present invention, as a column for defining the interval between a TFT substrate and an opposed substrate is formed on the TFT substrate side at a crossing point between a scanning line and a drain line, problems due to formation of the column, including reduction of transmittance and light leakage due to orientation disturbance can be reduced.

Further, as the width of the drain line is made wider in a position where the column is formed, the problem of light leakage due to orientation disturbance can be further suppressed. Still

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further, as the width of the scanning line is made narrower in a position where the width of the drain line is wider, increase of parasitic capacitance can be suppressed.

According to the present invention, as the column is formed only at a crossing point between a drain line and a scanning line corresponding to a pixel of a specific color, difference in transmittance or characteristics of TFT's can be compensated for through initial setting, so that color inconsistency due to formation of a column can be prevented.

According to the present invention, as a column is formed at a crossing point between a drain line and a scanning line and the width of the scanning line is made wider in a position where the column is formed than that in other positions, light leakage due to orientation disturbance can be reduced. Further, as the width of the drain line is made narrower in a position where the width of the scanning line is wider, increase of parasitic capacitance can be suppressed.

BRIEF DESCRIPTION OF THE DRAWINGS

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- Fig. 1 is a plan view of a TFT substrate according to a first embodiment;
- Fig. 2 is a plan view of an opposed substrate according to the first embodiment;
- Fig. 3 is a cross sectional view along the line III-III in Fig. 1;
 - Fig. 4 is a cross sectional view along the line IV-IV in

Fig. 1; Fig. 5 is a plan view of a TFT substrate according to a second embodiment; and

Fig. 6 is a cross sectional view along the line VI-VI in Fig. 5.

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DETAILED DESCRIPTION OF THE INVENTION

In the following, embodiments of the present invention will be described in detail, based on a structure of an actual liquid crystal cell.

[First Embodiment]

Fig. 1 is a plan view showing a pixel portion of a TFT substrate to which the present invention is applied; Fig. 2 is a plan view showing an opposed substrate to be combined with the TFT substrate; Fig. 3 is a cross sectional view along the line III-III shown in Fig. 1; and Fig. 4 is a cross sectional view along the line IV-IV shown in Fig. 1.

In Fig. 1, scanning lines 105 extend in the lateral direction and are aligned in the longitudinal direction, and drain lines 107 extend in the longitudinal direction and are aligned in the lateral direction. An area enclosed by the scanning line 105 and the drain line 107 constitutes a pixel. In Fig. 1, a blue pixel B, a green pixel G, and a red pixel R are sequentially aligned in the lateral direction. A liquid crystal display device according to this embodiment is of a so-called IPS method, and adjusts the amount of light to pass through the liquid crystal by rotating the liquid crystal

particle 140 in a direction parallel to the substrate.

In Fig. 1, a comb-electrode which constitutes a pixel electrode 113 is provided inside a pixel enclosed by the scanning line 105 and the drain line 107, and a plane common electrode 111 (not shown) is provided under the comb-electrode with an insulating film in-between. The common electrode 111 is formed on the entire surface on the substrate except a contact hole formed on a line. In the IPS in this embodiment, the liquid crystal particle 140 is controlled by an electric line of force which is generated between the comb-electrode, or the pixel electrode 113, and the common electrode 111 formed on the entire surface of the substrate.

A constant voltage is supplied to the common electrode 111, while a video signal is supplied to the pixel electrode 113 via the drain line 107. The video signal is supplied by a TFT. In Fig. 1, the portion indicated by the dot line constitutes a semiconductor layer 103. The semiconductor layer 103 is formed using poly-Si. A gate line lies under the semiconductor layer 103 with a gate insulating film 104 in-between, so that the gate line functions as the gate electrode of the TFT. In Fig. 1, the semiconductor layer 103 is formed in an inverted-C shape, and the gate electrode lies under the semiconductor layer 103 at two points. As the semiconductor layer 103 above the gate electrode constitutes the channel of the TFT, resultantly, two TFT's are formed in series in each pixel in the structure shown in Fig. 1.

The semiconductor layer 103 is connected to the drain line 107 under the drain electrode via a first contact hole CH1. That

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is, in this embodiment, the drain line 107 functions also as the drain electrode of the TFT. The other end of the semiconductor layer 103 is electrically-conductively connected to the pixel electrode 113 via a second contact hole CH2, a third contact hole CH3, and a fourth contact hole CH4. Therefore, a video signal from the drain line 107 is supplied to the pixel electrode 113 via the TFT.

This embodiment is characterized in that a column 130 for defining the interval between a TFT substrate 100 and an opposed substrate 200 is formed at a position where the scanning line 105 intersects the drain line 107. The plane shape of the column 130 is of an octagon long in the lateral direction, as shown in Fig. 1. In this embodiment, as the column 130 is formed on the TFT substrate 100, a problem due to displacement in position of the column 130 when combining the TFT substrate 100 and the opposed substrate 200 may be less serious, compared to a case in which the column 130 is formed on the opposed substrate 200.

In this embodiment, as the column 130 is formed at a crossing point between the scanning line 105 and the drain line 107, deterioration in transmittance can be suppressed. This is because the crossing point between the scanning line 105 and the drain line 107 originally does not pass light through, and is not utilized in image formation due to a TFT present in the vicinity of the crossing point.

However, as formation of the column 130 may disturb orientation of the liquid crystal in the vicinity of the column 130, in order to prevent this influence, in this embodiment, the

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width of the drain line 107 is made wider in the vicinity of the crossing point with the scanning line 105. Specifically, in this embodiment, the width of the drain line 107 at the crossing point is double or larger the width of the drain line 107 in other positions. Even this arrangement exerts only little influence in terms of reduction of transmittance as the crossing point between the scanning line 105 and the drain line 107 originally does not contribute to image formation.

As the width of the drain line 107 is wider at the crossing point, the scanning line 105 overlaps the drain line 107 at the crossing point in an increased area. This means increase of parasitic capacitance, which brings, e.g., a phenomenon such as increase of a shift voltage or the like when the concerned TFT shifts from ON to OFF or vice versa. In this embodiment, in order to suppress increase of parasitic capacitance in the vicinity of the crossing point, the width of the scanning line 105 in the vicinity of the crossing point is made narrower.

In Fig. 1, a red pixel R, a blue pixel B, and a green pixel G are aligned in the lateral direction. As shown in Fig. 1, the column 130 is formed only at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B. In other words, the column 130 is formed at a crossing point where a TFT for controlling the blue pixel B is formed. As the width of the drain line 107 is wider in a position where the column 130 is formed, the transmittance in the position may be slightly reduced compared to that in a position without the column 130 and the characteristic of the concerned TFT may

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become different from that of other TFT's.

Here, if the column 130 is formed spreading to pixels of three colors, control for color inconsistency or the like is difficult to be properly achieved. In this embodiment, however, column 130 is formed only at a crossing corresponding to the blue pixel B, influence on color inconsistency due to formation of the column 130 is prevented. In this case, transmittance of the blue pixel B alone may become smaller than that of the pixels of other colors, and the characteristic of a TFT which controls the blue pixel B may become different from that of a pixel of another color. This, however, can be addressed through initial setting compensation of the characteristic.

In Fig. 1, a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B appears every three pixel pitch in the lateral direction, and the width of the drain line 107 is wider at all crossing points corresponding to the blue pixel B than that in other positions. Meanwhile, it is unnecessary to form a column 130 at all crossing points corresponding to the blue pixel B. This is because presence of only the number of columns 130 necessary to ensure the interval between the TFT substrate 100 and the opposed substrate 200 is sufficient. In this embodiment, irrespective of the presence or absence of the column 130, the width of the drain line 107 is made wider at all crossing points between the scanning line 105 and the drain line 107 corresponding to the blue pixels B to thereby maintain regularity to make it easier

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to compensate for color inconsistency or the like through initial setting.

In Fig. 1, a semiconductor is formed in an inverted C shape, and a gate line lies under the semiconductor with the gate insulating film 104 in-between. A portion of the semiconductor which intersects the game line constitutes the channel portion of a TFT. Therefore, there are two TFT's in each pixel, namely, a TFT having a channel portion on the drain line 107 and a TFT having a channel in a portion away from the drain line 107.

In Fig. 1, the channel length of a TFT formed on the drain line 107 corresponding to the blue pixel B is shorter than that of a TFT formed on the drain line 107 corresponding to the red pixel R or green pixel G. Therefore, the characteristic of a TFT of the blue pixel B resultantly differs from that of TFT's of other pixels. As described above, an arrangement in which the characteristic of a TFT related to the blue pixel B alone differs from that of TFT related to other pixels makes it possible to compensate for the characteristic through initial setting.

20 Fig. 2 is a plan view of the opposed substrate 200 corresponding to the TFT substrate shown in Fig. 1, viewed from the TFT substrate side. In Fig. 2, "RCF" refers to a red filter; "BCF" refers to a blue filter; and "GCF" refers to a green filter. The respective filters corresponding to a red pixel R, a blue pixel B, and a green pixel G. The filter extends in stripe in the longitudinal direction of the screen. Therefore, pixels in the longitudinal direction on the opposed substrate 200 are not

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discriminated from one another.

A light shielding film BM is formed between filters of respective colors. The light shielding film BM, which is formed on the opposed substrate 200 before forming the color filter, is indicated by the dot line in Fig. 2. The light shielding film BM absorbs external light to enhance contrast of an image. Fig. 2, the column 130 formed on the TFT substrate 100 abuts on the light shielding film BM at the boundary between the red filter and the blue filter and on the color filter. As described above, with the column 130 abutting on the light shielding film BM on the opposed substrate 200, reduction in transmittance can be suppressed. The TFT substrate 100 shown in Fig. 1 and the opposed substrate 200 shown in Fig. 2 are combined to each other and liquid crystal is enclosed between the TFT substrate 100 and the opposed substrate 200, to thereby form a liquid crystal display panel. Fig. 3 is a cross sectional view of the TFT substrate 100 shown in Fig. 1 along the line III-III with the TFT substrate 100 and the opposed substrate 200 combined to each other. In Fig. 3, on the TFT substrate 100, a first base film 101 is formed using SiN, and a second base film 102 is formed thereon, using SiO_2 . Both of the first base film 101 and the second base film 102 serve to prevent impurities from dispersing from the glass substrate into the TFT region.

In Fig. 3, a poly-Si layer is formed as the semiconductor layer 103 on the second base film 102. The poly-Si layer is formed by initially forming a-Si by means of CVD, and then transforming the a-Si into poly-Si by means of laser annealing.

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A gate insulating film 104 is formed using SiO_2 , covering the semiconductor layer 103.

A MoW film, which constitutes a gate line, is formed, coating the gate insulating film 104. Al alloy is used when reduction of resistance of the gate line is required. Either the game electrode or the scanning line 105 is patterned at a photo step. In this embodiment, the scanning line 105 also functions as the gate electrode, as shown in Fig. 1. The semiconductor layer 103 below the gate electrode constitutes the channel portion of a TFT. In Fig. 3, two gate electrodes are formed. Therefore, two TFT's are formed in Fig. 3.

A game electrode having a narrower width corresponds to a TFT formed on the drain line 107, shown in Fig. 1, and a gate electrode having a wider width corresponds to a TFT formed apart from the drain line 107. As shown in Fig. 3, in this embodiment, the channel length of a TFT formed on the drain line 107 is shorter than that of other TFT's. This is because the width of the scanning line 105 is narrower at a crossing point corresponding to the blue pixel B than that in other positions. In this embodiment, the width of the scanning line 105 at the crossing point is a half or narrower than that of the scanning line 105 in other positions.

An inter-layer insulating film 106 is formed using SiO_2 , covering the gate electrode. The inter-layer insulating film 106 insulates the drain line 107 or source electrode 108 from the scanning line 105. Either the drain line 107 or the source line 108 is formed on the inter-layer insulating film 106. The

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drain line 107 and the source electrode 108 are formed simultaneously in the same process. In this embodiment, the drain line 107 serves also as the drain electrode of the TFT.

A contact hole is formed on the inter-layer insulating film 106 and the gate insulating film 104 to connect the drain line 107 or the source line 108 and the semiconductor layer 103. In Fig. 3, the drain line 107 and the semiconductor layer 103 are connected through the first contact hole CH1, and the source electrode 108 and the semiconductor layer 103 are connected through the second contact hole CH2. An inorganic passivation film 109 is formed, using SiN, covering the drain line 107 and the source electrode 108 to protect the TFT.

An organic passivation film 110 is formed on the passivation film. The organic passivation film 110 covers a portion of the TFT, which cannot be covered due to a pin hole or the like formed in the inorganic passivation film 109 to protect the TFT, and also serves as a planarization film. Therefore, the organic passivation film 110 is formed as thick as 1 to 3 μm .

After formation of the organic passivation film 110, a third contact hole CH3 and a fourth contact hole CH4 hole for connecting the pixel electrode 113, to be formed later, and the source electrode 108 of the TFT are formed. The organic passivation film 110 is formed using a photosensitive resin, and can be patterned without use of photo-resist. Initially, the fourth contact hole CH4 is formed on the organic passivation film 110, and the third contact hole CH3 is thereafter formed on

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the inorganic passivation film 109, using the organic passivation film 110 as a resist.

Thereafter, the common electrode 111 is formed, using ITO, or a transparent conductive film, on the planarized organic passivation film 110. The common electrode 111 is formed on the entire surface of the organic passivation film 110 by means of sputtering or the like, and remains plane except in the vicinity of the contact hole after the patterning.

A pixel insulating film 112 is formed using SiN, covering the common electrode 111. A contact hole for electrically-conductively connecting the source electrode 108 of the TFT and the pixel electrode 113 is formed on the pixel insulating film 112. Thereafter, the pixel electrode 113 is formed using ITO, or a transparent conductive film, on the pixel insulating film 112. The pixel electrode 113 is formed by spattering ITO onto the entire surface of the pixel insulating film 112, and then patterning the ITO into a comb-electrode, as shown in Fig. 1.

Fig. 3 shows a cross section of the comb-electrode. With a voltage applied to the pixel electrode 113, an electric line of force is generated between the pixel electrode 113 and the plane common electrode 111, as shown in Fig. 3, which causes the liquid crystal particle 140 to rotate, following the electric line of force. According to the IPS (In Plane Switching) method, transmission of light from the backlight is controlled by rotating the liquid crystal particle 140 to thereby form an image.

A column 130 is formed, using resin, on the pixel insulating film 112 in a position corresponding to a crossing

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point between the scanning line 105 and the drain line 107. The column 130 is formed by coating the pixel insulating film 112 and the pixel electrode 113 with resign and then removing unnecessary resin at photo step. Acrylic resin is used as resin. The height of the column 130 corresponds to the interval between the TFT substrate 100 and the opposed substrate 200, being a few μm .

An alignment film 120 is formed using organic material, covering the pixel electrode 113 and the column 130. In order to align the liquid crystal particles with respect to the alignment film 120, rubbing is carried out. Rubbing is a process of rubbing the alignment film 120 in a constant direction, using cloth. However, presence of the column 130 may leave a portion around the column 130 only insufficiently rubbed. This leads to light leakage from the portion.

In this embodiment, however, as the column 130 is formed at a crossing point between the scanning line 105 and the drain line 107 and the drain line 107 has a wider width at the crossing point, reduction of contrast due to light leakage from an insufficiently rubbed portion, if any, around the column 130 is not caused.

In Fig. 3, the opposed substrate 200 is present on the upper side of the TFT substrate 100. A light shielding film BM is initially formed on the opposed substrate 200. The Light shielding film BM fills up the space between the color filters to thereby enhance image contrast. In this embodiment, a light shielding film BM is formed also on the opposed substrate 200 in

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a position corresponding to where the column 130 is formed, so that light leakage around the column 130 is prevented by the light shielding film MB as well.

After formation of the light shielding film BM, color filters corresponding to the respective pixel colors are formed. In Fig. 3, a blue filter is formed. The surface resulted after formation of the color filter is convexo-concave, and therefore an overcoat film OC is formed on the color filter for planarization. Then, an alignment film 120 is formed, followed by rubbing to align the liquid crystal particles.

Fig. 3 shows a state in which the TFT substrate 100 and the opposed substrate 200, both formed as described above, are combined opposed to each other with the column 130 in-between, and liquid crystal is enclosed between the TFT substrate 100 and the opposed substrate 200. The interval between the TFT substrate 100 and the opposed substrate 200 is defined according to the height of the column 130.

Fig. 4 shows a cross section along the line IV-IV in Fig. 1, of the TFT substrate and the opposed substrate 200 combined to each other. Fig. 4 is a cross sectional view corresponding to the green pixel G. In Fig. 4, a structure of the TFT substrate 100 is similar to that described with reference to Fig. 3 except that the width of the gate electrode of a TFT formed at a crossing point between the scanning line 105 and the drain line 107 is identical to that of a TFT formed away from the crossing point in Fig. 4. This is because, in Fig. 4, the width of the scanning line 105 which constitutes the gate electrode is constant.

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Therefore, the channel lengths of the two TFT's are identical and longer than that of a TFT formed at a crossing point where the column 130 is formed, shown in Fig. 3.

In Fig. 4, a structure of the opposed substrate 200 is identical to that which is described with reference to Fig. 3, except that the color filter is a green filter. In addition, no column 130 is formed in Fig. 4 because a column 130 is formed only at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B in this embodiment.

As described above, according to this embodiment, as the column 130 is formed at a crossing point between the scanning line 105 and the drain line 107, light leakage due to orientation disturbance can be prevented. Also, according to this embodiment, the drain line 107 with the column 130 formed thereon has a wider width at a crossing point with the scanning line 105 than that in other positions, risk of light leakage can be further reduced. Also, according to this embodiment, increase of capacitance between the gate and the drain can be reduced in an area where the width of the drain line 107 is wider, by reducing the width of the scanning line 105.

In this embodiment, as the column 130 is formed at a crossing point between the scanning line 105 and the drain line 107 corresponding to the same color, a problem of color inconsistency or the like can be avoided by compensating for a difference in light transmittance between a portion with the column 130 formed thereon and a portion without a column 130, a

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difference in characteristic between transistors, and so forth through initial setting.

[Second Embodiment]

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Fig. 5 is a plan view showing a second embodiment of the present invention; and Fig. 6 is a cross sectional view along the line VI-VI in Fig. 5. In Fig. 5, a structure in the second embodiment is similar to that of the first embodiment shown in Fig. 1 except a portion where the scanning line 105 intersects the drain line 107. In Fig. 5, the scanning lines 105 extend in the lateral direction and are aligned in the longitudinal direction, and the drain lines 107 extend in the longitudinal direction and are aligned in the lateral direction. A column 130 is formed at a crossing point between the scanning line 105 and the drain line 107, similar to the first embodiment.

In Fig. 5, a column 130 is formed at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B. In Fig. 5, in order to address light leakage due to insufficient rubbing around the column 130, the scanning line 105 is formed to have a wider width at the crossing point than that in other positions. Accordingly, in order to prevent increase of parasitic capacitance of the gate electrode and the drain electrode, the drain electrode is formed to have a narrower width than that in other positions.

The gate line has a wider width at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B, irrespective of the presence or absence of a column 130. Note that "a crossing point between the scanning

line 105 and the drain line 107 corresponding to the blue pixel B" refers to a crossing point where a TFT which controls the blue pixel B is formed.

Also in Fig. 5, two TFT's are formed at a crossing point between the scanning line 105 and the drain line 107 and in the vicinity thereof. In Fig. 5, because the width of the gate electrode is wider in a position where the column 130 is formed, that is, at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B, the channel length of a TFT formed therein is longer than that of the other TFT's. Meanwhile, the gate electrode widths of two TFT's at a crossing point between the scanning line 105 and the drain line 107 corresponding to a pixel of other colors and in the vicinity thereof are identical, and thus these TFT's have identical channel length.

A structure of the opposed substrate 200 corresponding to the TFT substrate 100 shown in Fig. 5 is identical to that shown in Fig. 2. That is, according to this embodiment, as the column 130 is formed at a crossing point between the scanning line 105 and the drain line 107, similar to the first embodiment, a structure of the opposed substrate 200 is identical to that in the first embodiment.

The TFT substrate 100 shown in Fig. 5 and an opposed substrate 200 similar to that which is shown in Fig. 2 are combined to each other, and liquid crystal is enclosed between the TFT substrate 100 and the opposed substrate 200, to thereby form a liquid crystal display panel. Fig. 6 is a cross sectional

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view of the TFT substrate shown in Fig. 5 along the line VI-VI with the TFT substrate and the opposed substrate 200 combined to each other.

In Fig. 6, a process until formation of the gate insulating film 104 and coating of a MoW film to be a gate line is identical to that shown in Fig. 3 in the first embodiment. In Fig. 6, a MoW film, which constitutes either the gate electrode or the scanning line 105, is formed, coating the gate insulating film 104. Thereafter, either the scanning line 105 or the gate electrode is patterned at a photo step. Also in this embodiment, the scanning line 105 functions also as the gate electrode.

As shown in Fig. 5, as the scanning line 105, which constitutes the gate electrode, has a wider width at a crossing point between the scanning line 105 and the drain line 107, the gate electrode at the crossing point is longer in Fig. 6, and the channel length of the TFT is accordingly longer. That is, in Fig. 6, the channel length of a TFT formed at a crossing point between the scanning line 105 and the drain line 107 is longer than that of a TFT formed slightly away from the crossing point between the scanning line 105 and the drain line 107.

Thereafter, an inter-layer insulating film 106 is formed. Note that a process thereafter and a structure related to the thereafter process are identical to that which is described with reference to Fig. 3. An opposed substrate 200 is formed on the TFT substrate 100, with a structure of the opposed substrate 200 being identical to that which is described with reference to Fig. 3. As a wider scanning line 105 is formed under the column 130

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in this embodiment, light leakage due to orientation disturbance, if occurs, in the vicinity of the column 130 is not caused.

In Fig. 5, no column 130 is formed at a crossing point the scanning line 105 and the drain corresponding to a pixel other than the blue pixel B. The cross section along the line D-D' in Fig. 5 is a cross section of the TFT portion corresponding to the red pixel R. sectional view is identical to that in Fig. 4, that is, the cross sectional view along the line IV-IV in Fig. 1 in the first embodiment, with description of the structure not repeated here. Through comparison between the TFT formed at a crossing point between the scanning line 105 and the drain line corresponding to the red pixel R, shown in Fig. 4 and the TFT formed at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B, shown in Fig. 6, it is known that the channel length of the TFT related to the blue pixel B is longer.

Meanwhile, through comparison between the TFT formed at a crossing point between the scanning line 105 and the drain line 107 corresponding to the red pixel R, shown in Fig. 4, and the TFT formed at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B, shown in Fig. 3, it is known that the channel length of the TFT related to the blue pixel B is shorter. This is a significant difference between the first and second embodiments.

As described above, also in this embodiment, as the column 130 is formed at a crossing point between the scanning line 105

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and the drain line 107, light leakage due to orientation disturbance can be avoided. Also, according to this embodiment, a portion of the scanning line 105 at a cross point with the drain line 107, where the column 130 is formed, has a wider width than that in other positions, risk of light leakage can be further reduced. Also, in this embodiment, increase of capacitance between the gate and the drain can be reduced in a portion where the scanning line 105 has a wider width by reducing the width of the drain line 107.

Also in this embodiment, as the column 130 is formed at a crossing point between the scanning line 105 and the drain line 107 corresponding to the same color, a problem of color inconsistency or the like can be avoided by compensating for a difference in light transmittance between a portion with the column 130 formed thereon and a portion without a column 130, a difference in characteristic between transistors, and so forth through initial setting.

Although it is described in the first and second embodiments that the column 130 is formed at a crossing point between the scanning line 105 and the drain line 107 corresponding to the blue pixel B, obviously, the present invention can be similarly applied when the column 130 is formed at a crossing point between the drain line 107 and the scanning line 105 corresponding to either one of the red pixel R or the blue pixel B. Also, although it is described in this embodiment that the IPS has a structure in which the upper comb-electrode is the pixel electrode 113 and the lower plane electrode is the

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common electrode 111, the present invention can be similarly applied to a structure in which the upper column-electrode is the common electrode 111 and the lower plane electrode is the pixel electrode 113.

Further, although it is described in the above that the liquid crystal display device is of a so-called IPS method, application of the present invention is not limited to the IPS method but the present invention can be similarly applied to a so-called TN method, a VA method, and the like.

While there have been described what are at present considered to be certain embodiments of the invention, it will be understood that various modifications may be made thereto, and it is intended that the appended claims cover all such modifications as fall within the true spirit and scope of the invention.

What is Claimed is:

- 1. A liquid crystal display device comprising:
 - a first substrate;
 - a second substrate:

liquid crystal enclosed between the first substrate and the second substrate;

- a scanning line formed between the first substrate and the liquid crystal;
- a drain line crossing the scanning line;
- a thin film transistor having a semiconductor layer and a source electrode,
- a first insulation film above the semiconductor layer and having a first contact

hole and a second contact hole, the semiconductor layer being connected to the drain line via the first contact hole and connected to the source electrode via the second contact hole;

an organic film above the source electrode;

- a second insulation film:
- a common electrode between the organic film and the second insulation film;
- a first pixel electrode above the second insulation film and connected to the source electrode via a third contact hole formed in the second insulation film;
 - a second pixel electrode adjacent to the first pixel electrode; and
 - a spacer disposed between the first substrate and the second substrate,
- wherein the scanning line has a first side and a second side opposite to the first side in the plan view, the first pixel electrode is located on the first side and the second pixel electrode is located on the second side,

wherein the semiconductor layer overlapped with the scanning line at a first channel region and a second channel region, and a part of the semiconductor layer between the first channel region and the second channel region is located on the second side of the scanning line,

wherein the spacer is overlapped with the semiconductor layer, the drain line, the organic film, and the common electrode,

wherein the first contact hole, the second contact hole, and the third contact hole are located on the first side of the scanning line, and

wherein the part of the semiconductor layer between the first channel region and the second channel region is overlapped with the second pixel electrode.

- 2. A liquid crystal display device according to claim 1,
- wherein the scanning line is disposed between the semiconductor layer and the spacer in a cross-section view.
- 3. A liquid crystal display device according to claim 1, wherein the spacer is formed on the first substrate.

- 4. A liquid crystal display device according to claim 1, wherein the second pixel electrode is overlapped with the scanning line.
- 5. A liquid crystal display device according to claim 4, wherein the first pixel electrode is overlapped with the scanning line.
- 6. A liquid crystal display device according to claim 1, wherein the organic film has a through hole, and the third contact hole is located in the through hole in the plan view.
- 7. A liquid crystal display device according to claim 6, wherein the second insulation film contacts the source electrode.
- 8. A liquid crystal display device according to claim 1 wherein the spacer is provided on the second substrate and located away from the third contact hole.

ABSTRACT OF THE DISCLOSURE

A column for defining the interval between a TFT substrate and an opposed substrate is formed at a crossing point between a drain line and a scanning line. At the crossing point where the column is formed, the drain line is formed to have a wider width to prevent light leakage. Further, at the crossing point where the column is formed, the scanning line is formed to have a narrower width to prevent increase of capacitance between the drain line and the scanning line. The column is formed at a crossing point corresponding to a specific color, e.g., a blue pixel B, so that a difference in transmittance and in characteristic of thin film transistors due to formation of the column is initially compensated.

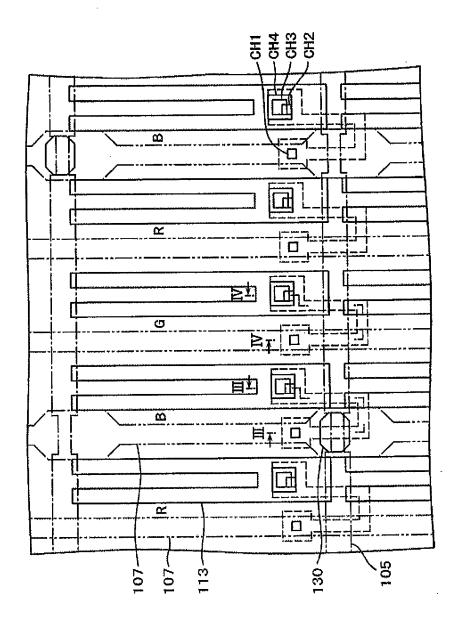
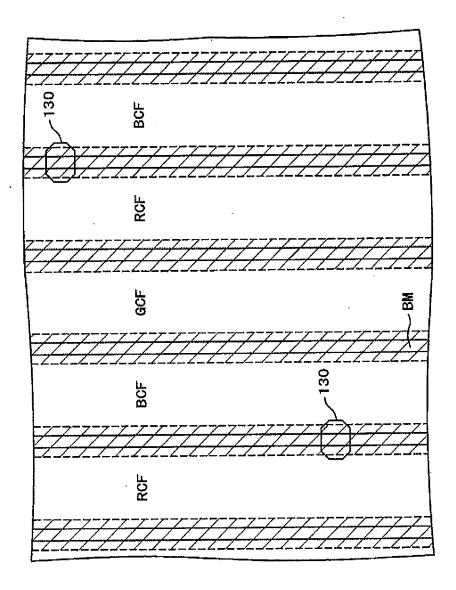


FIG. 1



-1G.2

FIG.3

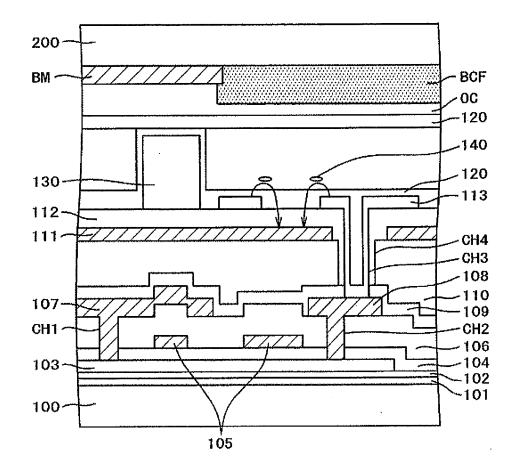
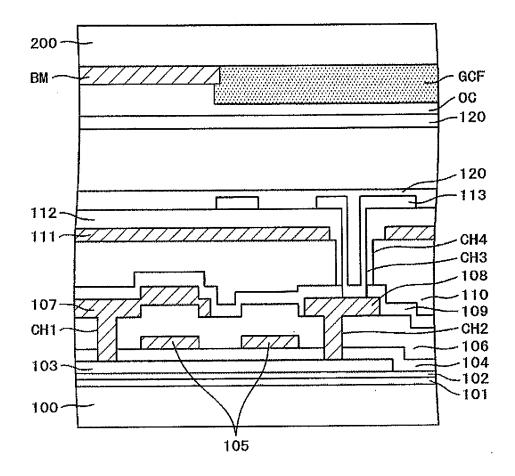


FIG.4



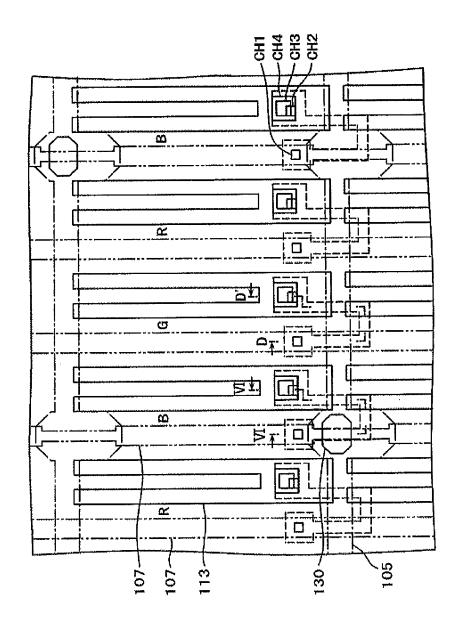
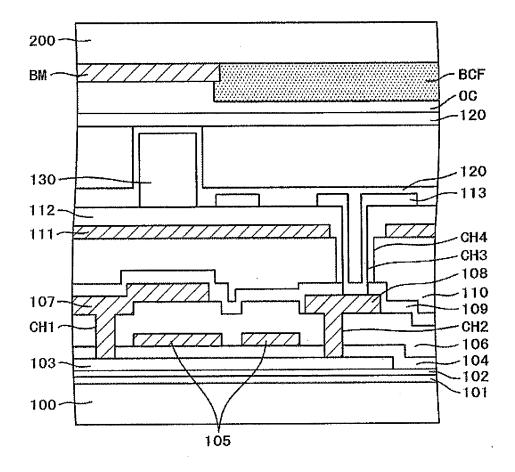


FIG.5

FIG.6



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This declar	to: Ine attached application, or				
	United States application or PCT international application number 14/709,529 flied on May 12, 2015				
The above-i	dentified application was made or authorized to be made by me.				
I believe tha	t i am the original inventor or an original joint inventor of a claimed invention in the application.				
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This declar	
	United States application or PCT International application number 14/709,529 filed on May 12, 2015
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I believe tha	t I am the original inventor or an original joint inventor of a claimed invention in the application,
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Inventor:_ Signature	Tohru Sasaki Date (Optional): July 23, 2015
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This declaration	to: The attached application, or				
	United States application or PCT international application number 14/709,529 filed on May 12, 2015				
The above-i	identified application was made or authorized to be made by me.				
I believe tha	et I am the original inventor or an original joint inventor of a claimed invention in the application.				
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First Named Inventor/Applicant Name:	Taka	ahiro OCHIAI				
Filer:	Juai	n Carlos A. Marque	z/Lily Niu			
Attorney Docket Number:	HAF	HARU-0136				
Filed as Large Entity						
Filing Fees for Utility under 35 USC 111(a)						
Description		Fee Code	Quantity	Amount	Sub-Total in USD(\$)	
Basic Filing:						
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Information:					
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2	Application Data Sheet	HARU-136-ADS.pdf	d84a1d6611cfeaa42e69f0d1c0eebc8a7528 fb05	no	9
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3	Specification	HARU-136-SPECIFICATION.pdf	73365539fbbffaf0f7acf0f3cced5a1c07c410 bb	no	28
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4	Claims	HARU-136-CLAIMS.pdf	7043baf05eb09d6be153e7cfb8be35f6a385 8d87	no	2
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This collection of information is required by 37 CFR 1.131, 1.32, and 1.33. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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I am the Applicat	nt (if the Ap	pplicant is a juristic entity, list th	e Applicant name	e in the box): . 		
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Name	ĸ	ei NAKASHIMA			· · · · · · · · · · · · · · · · · · ·		
		Group Manager:	Japan Dis	<u>.play i</u>	nc.		- lura gorulamente
NOTE: Signs and certificati	Title Trough Muthader Japon Otsplay 140. NOTE: Signature - This form must be signed by the applicant in accordance with 37 CFR 1,33, See 37 CFR 1.4 for signature requirements and certifications, if more than one applicant, use multiple forms.						

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This colection of a population. Confidentially is governed by 95 U.S.C. 122 and 37 CFR 1.11 and 1.14. The collection is sanitated to the Chamber of USFPO to process) an application. Confidentially is governed by 95 U.S.C. 122 and 37 CFR 1.11 and 1.14. The collection upon the Individual case, Any comments on the amount including gathering, preparing, and submitting the completed application for reducing this burden, should be cent to the Chief Information Officer, U.S. Patent and Tradomark Officer, U.S. at time you require to complete this form and/or suggestions for reducing this burden, should be cent to the Chief Information Officer, U.S. Patent and Tradomark Officer, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FRES OR COMPLETED FORMS TO THIS ADDRESS, SEND TO: Commissioner Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450.

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POWER OF ATTORNEY TO PROSECUTE APPLICATIONS BEFORE THE USPTO

I hereby revoke all previous powers of attorney given in the application identified in the attached statement under 37 CFR 3.73(c).

I hereby appoint:

The Practitioner(s) associated with the Customer Number: 38327

as attorney(s) or agent(s) to represent the undersigned before the United States Patent and Trademark Office (USPTO) in connection with the application identified in the attached statement under 37 CFR 3.73(c).

Please recognize or change the correspondence address for the application identified in the attached statement under 37 CFR 3.73(c) to:

The address associated with Customer Number: 38327

Assignée Name and Address:

Panasonic Liquid Crystal Display Co., Ltd. 1-6 Megahida-cho, Shikama-ku, Himeji-shi, Hyogo, 672-8033 Japan

A copy of this form, together with a statement under 37 CFR 3.73(c) (Form PTO/SB/96 or equivalent) is required to be filed in each application in which this form is used. The statement under 37 CFR 3.73(c) may be completed by one of the practitioners appointed in this form, and must identify the application in which this Power of Attorney is to be filed.

SIGNATURE of Assignee of Record

The individual whose signature and title is supplied below is authorized to act on behalf of the assignee.

Signature

Name

Title

Kazuhiko Ishimaru

Manager of Intellectual Property Team

STITES & HARBISON PLLC • 1199 North Fairfax St. • Suite 900 • Alexandria, VA 22314
TEL: 703-739-4900 • FAX: 703-739-9577 • CUSTOMER NO. 38327

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	NT UNDER 37 CFR 3.73(c)
Applicant/Patent Owner: Japan Display Inc. and Pa	anasonic Liquid Crystal Display Co., Ltd.
Application No./Patent No.: 16/019,937	Filed/Issue Date: June 27, 2018
Titled: LIQUID CRYSTAL DISPLAY DEVICE	
Japan Display Inc. and Panasonic Liquid Crystal Display Co., Ltd., a	a corporation
(Name of Assignee)	(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)
states that, for the patent application/patent identified	above, it is (choose one of options 1, 2, 3 or 4 below):
1. The assignee of the entire right, title, and interest of the entire right.	rest.
2. $\hfill \Box$ An assignee of less than the entire right, title,	and interest (check applicable box):
	b interest is%. Additional Statement(s) by the owners bmitted to account for 100% of the ownership interest.
There are unspecified percentages of own right, title and interest are:	ership. The other parties, including inventors, who together own the entire
Additional Statement(s) by the owner(s) ho right, title, and interest.	Iding the balance of the interest must be submitted to account for the entire
3. The assignee of an undivided interest in the e The other parties, including inventors, who together or	ntirety (a complete assignment from one of the joint inventors was made). wn the entire right, title, and interest are:
Additional Statement(s) by the owner(s) hole right, title, and interest.	ding the balance of the interest <u>must be submitted</u> to account for the entire
	e $(e.g., bankruptcy, probate)$, of an undivided interest in the entirety (a The certified document(s) showing the transfer is attached.
The interest identified in option 1, 2 or 3 above (not option	otion 4) is evidenced by either (choose one of options A or B below):
	ent application/patent identified above. The assignment was recorded in se at Reel, Frame, or for which a copy
B. A chain of title from the inventor(s), of the pate	ent application/patent identified above, to the current assignee as follows:
1. From: Takahiro OCHIAI, Tohru SASAKI and	Tetsuya NAGATA To: Hitachi Displays, Ltd.
Reel <u>035619</u> , Frame <u>0657</u>	United States Patent and Trademark Office at, or for which a copy thereof is attached.
2. From: Hitachi Displays, Ltd.	To: Hitachi Displays, Ltd. and IPS Alpha Support Co., Ltd.
	United States Patent and Trademark Office at
Reel <u>035619</u> , Frame <u>0774</u>	, or for which a copy thereof is attached.

[Page 1 of 2]

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STATEMENT L	JNDER 37 CFR 3.73(c)
3. From: IPS Alpha Support Co., Ltd.	To: Panasonic Liquid Crystal Display Co., Ltd.
The document was recorded in the United	
Reel <u>035648</u> , Frame <u>0561</u>	, or for which a copy thereof is attached.
4. From: Hitachi Displays, Ltd.	
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5. From: Japan Display East, Inc.	_{To:} _Japan Display Inc.
The document was recorded in the United Reel 035648, Frame 05600912	d States Patent and Trademark Office at, or for which a copy thereof is attached.
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Additional documents in the chain of title are listed	d on a supplemental sheet(s).
As required by 37 CFR 3.73(c)(1)(i), the documental assignee was, or concurrently is being, submitted for	ary evidence of the chain of title from the original owner to the or recordation pursuant to 37 CFR 3.11.
	ginal assignment document(s)) must be submitted to Assignment d the assignment in the records of the USPTO. See MPEP 302.08]
The undersigned (whose title is supplied below) is authorize	ed to act on behalf of the assignee.
/juan.carlos.a.marquez/	June 27, 2018
Signature	Date
Juan Carlos A. Marquez	34,072
Printed or Typed Name	Title or Registration Number

[Page 2 of 2]

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The **Privacy Act of 1974 (P.L. 93-579)** requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

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- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
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- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspection or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

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EFS ID:	33020710				
Application Number:	16019937				
International Application Number:					
Confirmation Number:	7509				
Title of Invention:	LIQUID CRYSTAL DISPLAY DEVICE				
First Named Inventor/Applicant Name:	Takahiro OCHIAI				
Correspondence Address:	Juan Carlos A. Marquez Marquez Intellectual Property Law Office PLLC 1629 K Street, NW Suite 300 Washington DC 20006 US 202-349-1690 USPTO@dockettrak.com				
Filer:	Juan Carlos A. Marquez/Lily Niu				
Filer Authorized By:	Juan Carlos A. Marquez				
Attorney Docket Number:	HARU-0136				
Receipt Date:	27-JUN-2018				
Filing Date:					
Time Stamp:	14:02:20				
Application Type:	Utility under 35 USC 111(a)				

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Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
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Warnings:		!			
Information:					
			122185		
2	Power of Attorney	HARU-136-POAs.pdf	9acSe202e29cb8a85fefcbb66dd29b9b3a3 96432	no	2
Warnings:					
Information:					
			124396		
3	Assignee showing of ownership per 37 CFR 3.73	HARU-136- Assignee_statement.pdf	fd1577604f1e21c249225ff7291203b5cbe4 1078	no	3
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New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

Doc code: IDS

PTO/SB/08a (01-10)
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	Application Number		16019937	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Not for submission under 37 CFR 1.99)	Filing Date		2018-06-27	
	First Named Inventor OCHIA		HIAI et al.	
	Art Unit		TBD	
	Examiner Name TBD		BD	
	Attorney Docket Number		HARU-0136	

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Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	6762805	B2	2004-07-13	Ishino	
	2	5921917	B2	2005-07-26	Choi et al.	
	3	6999060	B2	2006-02-14	Choo	
	4	7285902	B2	2007-10-23	Koo et al.	
	5	7349038	B2	2008-03-25	Park et al.	
	6	7352429	B2	2008-04-01	Tseng et al.	
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Application Number		16019937		
Filing Date		2018-06-27		
First Named Inventor OCHI		Al et al.		
Art Unit		TBD		
Examiner Name TBD				
Attorney Docket Number		HARU-0136		

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	1		20070002219	A1	2007-01	-04	Lee et al.					
	2		20080185589	A1	2008-08-07 Shin et al.							
	3		20090284695	A1	2009-11	-19	Kim et al.					
	4		20020044230	A1	2002-04	⊢18	Yamazaki et al.					
	5		20070216627	A1	2007-09)-20	Kim et al.					
	6		20080123007	A1	2008-05	i-29	Cui et al.					
	7		20040084673	A1	2004-05	i-06	Hirakata et al.					
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	1	JP ^	11-084386	JP			1999-03-26 Toshiba Corporation		n	Abstract	×	

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		16019937		
Filing Date		2018-06-27		
First Named Inventor OCHIA		Al et al.		
Art Unit		TBD		
Examiner Name TBD				
Attorney Docket Number		HARU-0136		

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Examiner	Signa	ture							Date Consider	ed			
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¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.													

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Not for submission under 37 CFR 1.99)

Application Number		16019937		
Filing Date		2018-06-27		
First Named Inventor OCHI		Al et al.		
Art Unit		TBD		
Examiner Name TBD				
Attorney Docket Number		HARU-0136		

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

OR

That no item of information contained in the information disclosure statement was cited in a communication from a
foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification
after making reasonable inquiry, no item of information contained in the information disclosure statement was known to
any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the information disclosure
statement. See 37 CFR 1.97(e)(2).

X See attached certification statement.

Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature	/juan.carlos.a.marquez/	Date (YYYY-MM-DD)	2018-06-27
Name/Print	Juan Carlos A. Marquez	Registration Number	34072

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. **SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.**

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The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a
 court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement
 negotiations.
- A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a
 request involving an individual, to whom the record pertains, when the individual has requested assistance from the
 Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- 5. A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
- 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

Electronic Acknowledgement Receipt		
EFS ID:	33021653	
Application Number:	16019937	
International Application Number:		
Confirmation Number:	7509	
Title of Invention:	LIQUID CRYSTAL DISPLAY DEVICE	
First Named Inventor/Applicant Name:	Takahiro OCHIAI	
Customer Number:	38327	
Filer:	Juan Carlos A. Marquez/Lily Niu	
Filer Authorized By:	Juan Carlos A. Marquez	
Attorney Docket Number:	HARU-0136	
Receipt Date:	27-JUN-2018	
Filing Date:		
Time Stamp:	14:38:17	
Application Type:	Utility under 35 USC 111(a)	

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File Listing:						
Document Number	Document Description		File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
				126605		
1	1 Transmittal Letter HARU-136-	lU-136-Continuation_IDS. pdf	6a6ae4136c610d97d528f83c5feaa6b7e1a1 3bed	no	3	
Warnings:	•				•	

Information						
Information Disclosure Statement (IDS) Form (SB08)			612693			
	HARU-136-105_5608.pg1	05442d76f7ce4f8c01242e921b90fa0fc9541 842	no	5		
Warnings:						
Information:						
Total Files Size (in bytes): 7392		39298				

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

New Applications Under 35 U.S.C. 111

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

National Stage of an International Application under 35 U.S.C. 371

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

New International Application Filed with the USPTO as a Receiving Office

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re U.S. Patent Application of) Confirmation No. 7509
OCHIAI et al.)
Application Number: 16/019,937)
Filed: June 27, 2018)
For: Liquid Crystal Display Device)
Attorney Docket No. HARU-0136)
Commissioner of Patents	
P.O. Box 1450 Alexandria, VA 22313-1450	

INFORMATION DISCLOSURE STATEMENT

Sir:

The above-referenced application is a Continuation of U.S. Application No. 14/709,529, filed May 12, 2015, which is a Continuation of U.S. Application 13/600,349 filed August 31, 2012, which is a Continuation of U.S. Application No. 12/379,363 filed on February 19, 2009.

Pursuant to 37 C.F.R. §§ 1.56 and 1.97, this Information Disclosure Statement is being submitted in connection with the above-identified patent application. A listing of documents to be published on the face of any patent granted from this application is submitted herewith on the accompanying Form PTO-1449. Any other documents or information submitted for consideration by the Examiner are listed in this paper. A copy of each non-US or foreign patent or non-patent publication or any portion thereof listed or herein identified is submitted herewith.

CERTIFICATION

- 1. This Information Disclosure Statement is being submitted:
 - [X] (a) Concurrently with the above captioned U.S. Continuation application, whereby it is believed that no fee is due; OR
 - [] (b) After three months from the filing date of the above-identified U.S. patent application but before the mailing date of the first Office Action on the merits of the above-identified application, whereby it is believed that no fee is due; OR
 - [] (c) After three months from the filing date of the above-identified U.S. patent application and after the mailing date of the first Office Action on the merits of the above-identified application, but prior to the issuance of any Final Action or Notice of Allowance sent in such application, whereby Applicant(s) hereby submit the requisite certification hereinbelow, or authorization for the payment of the requisite fee is attached; OR
 - [] (d) After the issuance of a Final Action or Notice of Allowance, but before the payment of the Issue Fee, whereby Applicant(s) hereby submit the requisite certification hereinbelow, and the attached authorization for the payment of the requisite fee.
- 2. In accordance with the requirements of 37 C.F.R. §1.97, and Parts 1(c) or 1(d) above, Applicant(s) hereby certify that:
 - [] Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; OR
 - [] No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Please charge any additional fees or credit any overpayments in connection with the submission of this Information Disclosure Statement to Deposit Account No 60-0155.

The Examiner is requested to acknowledge receipt and consideration of the information provided in this paper in accordance with prescribed procedures.

Respectfully submitted,

/juan.carlos.a.marquez/

Juan Carlos A. Marquez Registration Number 34,072

MARQUEZ IP LAW OFFICE, PLLC

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June 27, 2018